

## An Introduction to Delta Lakes

& Delta Lake-Houses

Paul Andrew | Technical Architect in Azure CoE



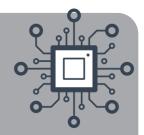












## An Introduction to Delta Lakes

& Delta\* Lake-Houses

\* We are <u>not</u> talking about the delta of changed records since our data processing last ran.

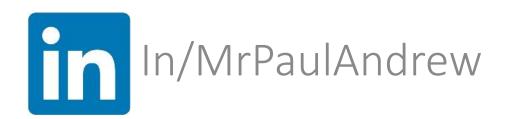
Uppercase  $\Delta$ Lowercase  $\delta$  or  $\delta$ 

### Paul Andrew Technical Architect in Azure CoE

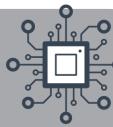


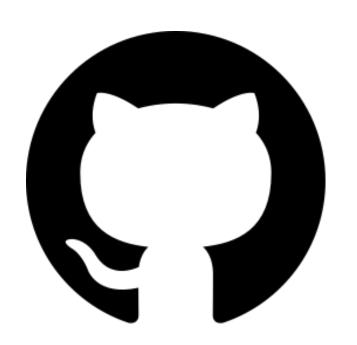












### https://github.com/mrpaulandrew

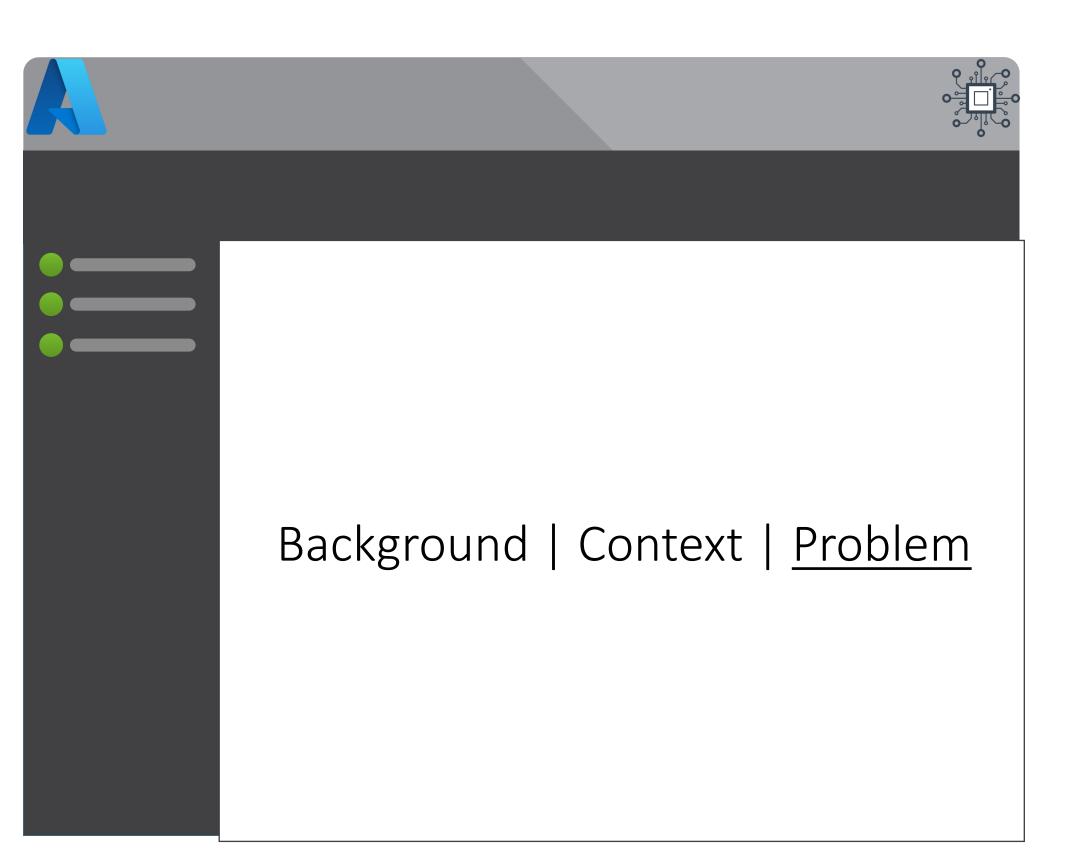
### CommunityEvents

Demo code, content and slides from various community events.

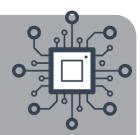
O++

{Event/Location}-{Month}-{Year}

# Part 1.0 – Theory





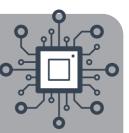






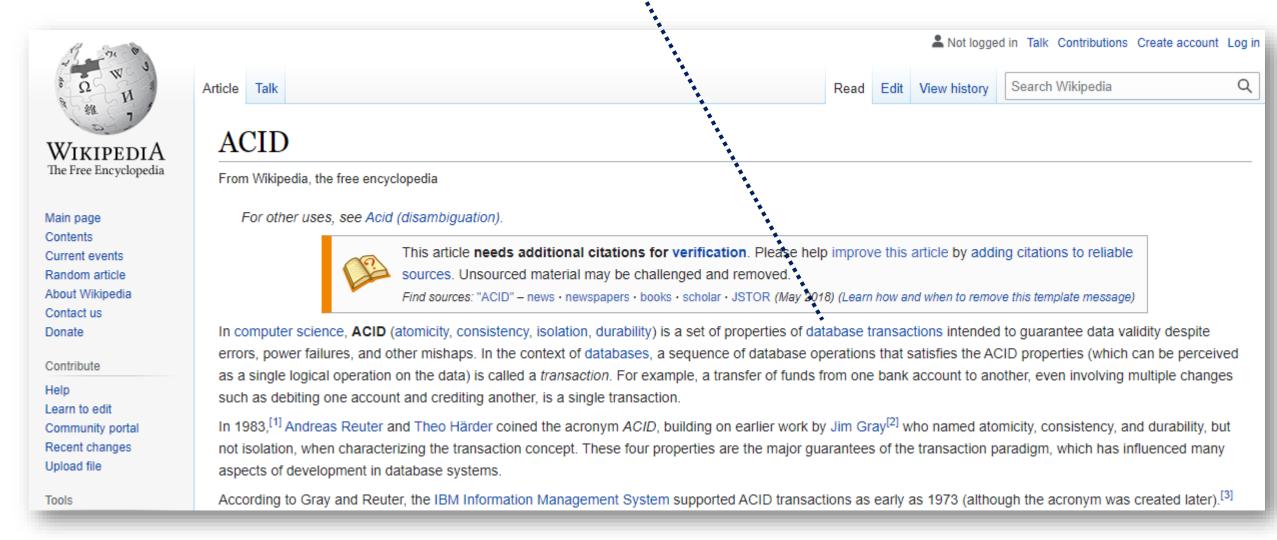


### ACID – In Computer Science



Atomicity
Consistency
Isolation
Durability

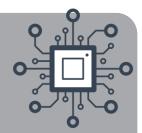
"is a set of properties of database transactions intended to guarantee data validity"



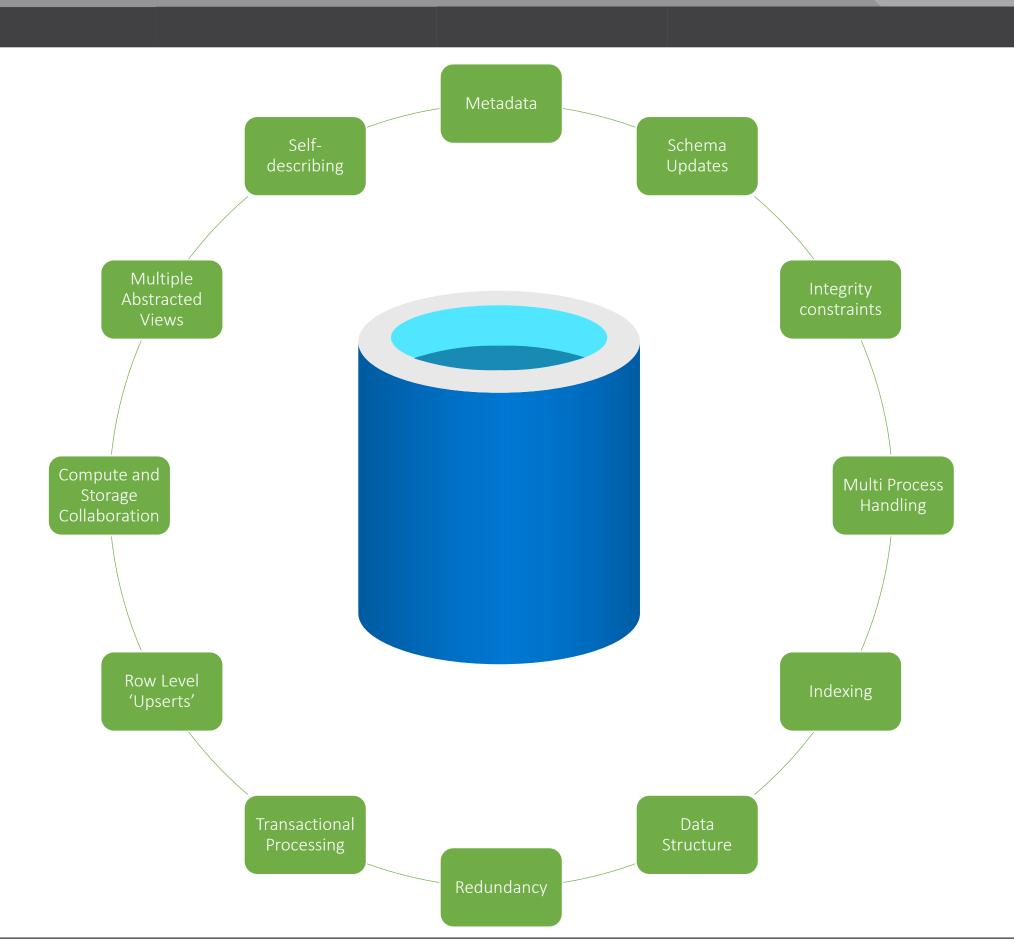
https://en.wikipedia.org/wiki/ACID



### Databases



DataBase
Management
System





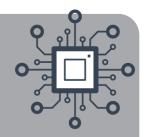








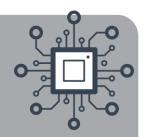
## Databases

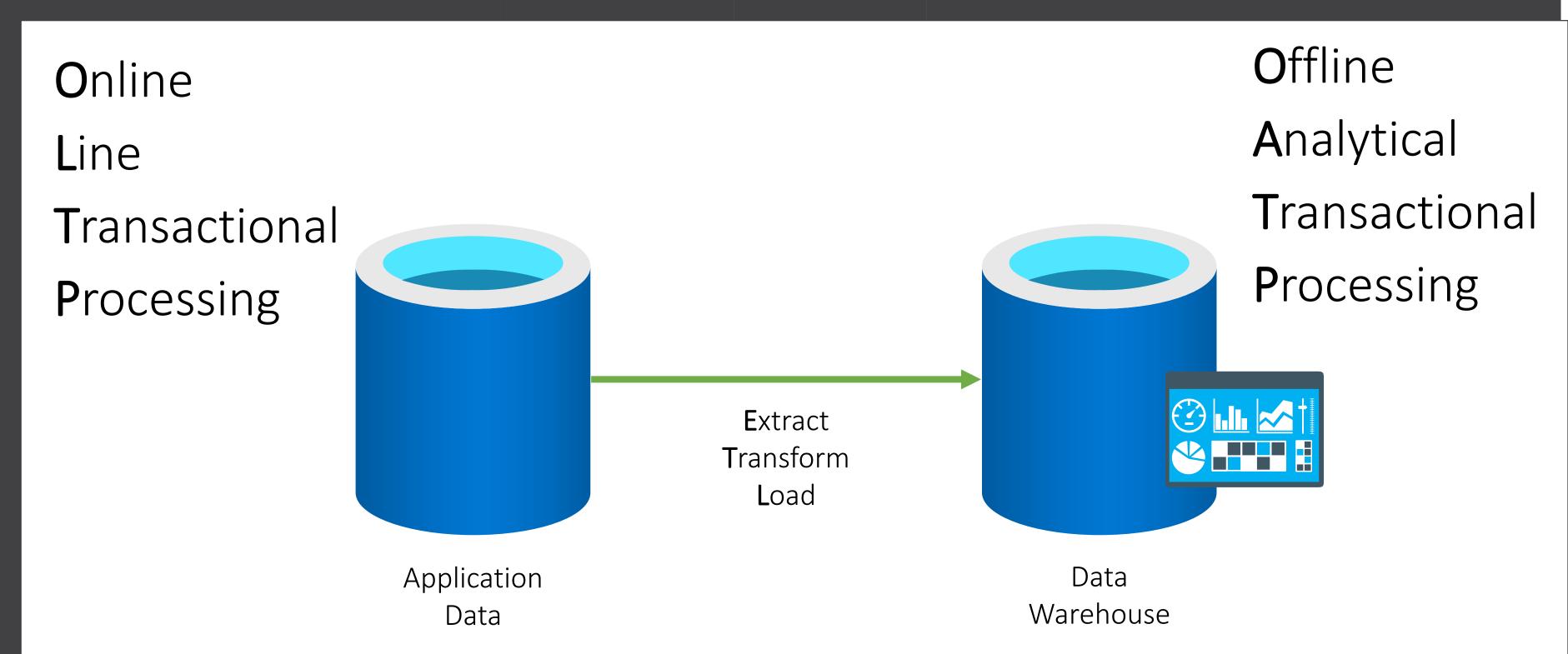






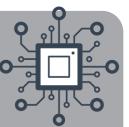
### Creating a Data Warehouse



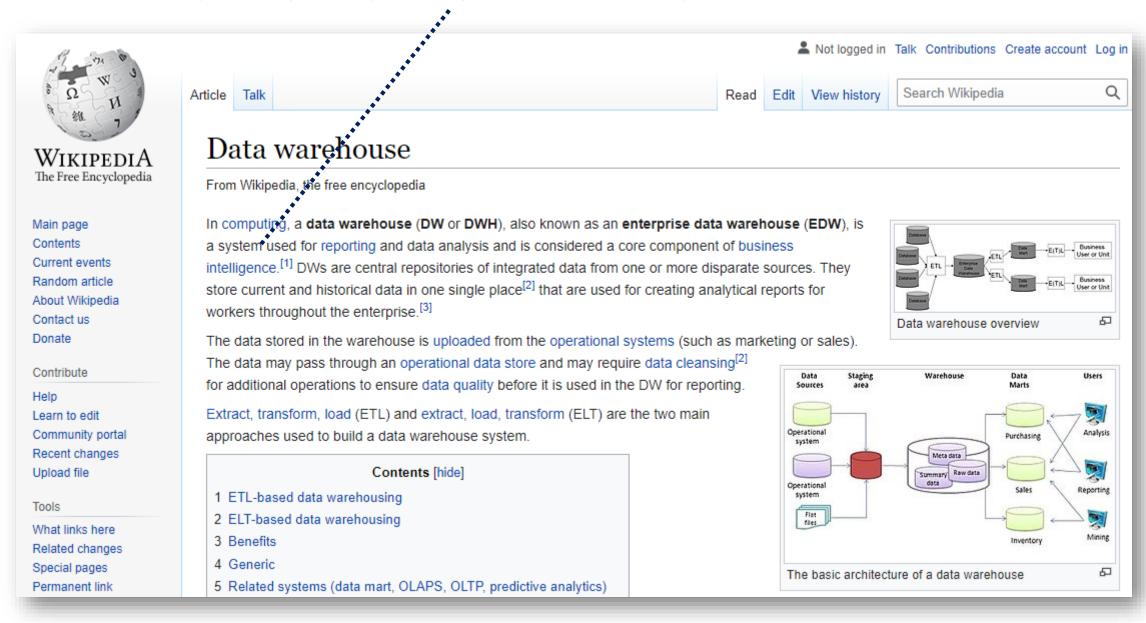




### Creating a Data Warehouse



"a system for reporting and data analysis"



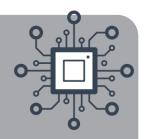
Offline
Analytical
Transactional
Processing

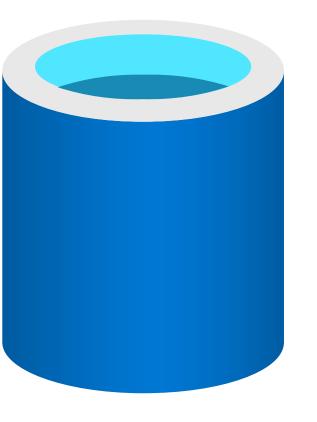


https://en.wikipedia.org/wiki/Data\_warehouse



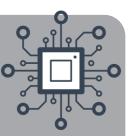
## Databases

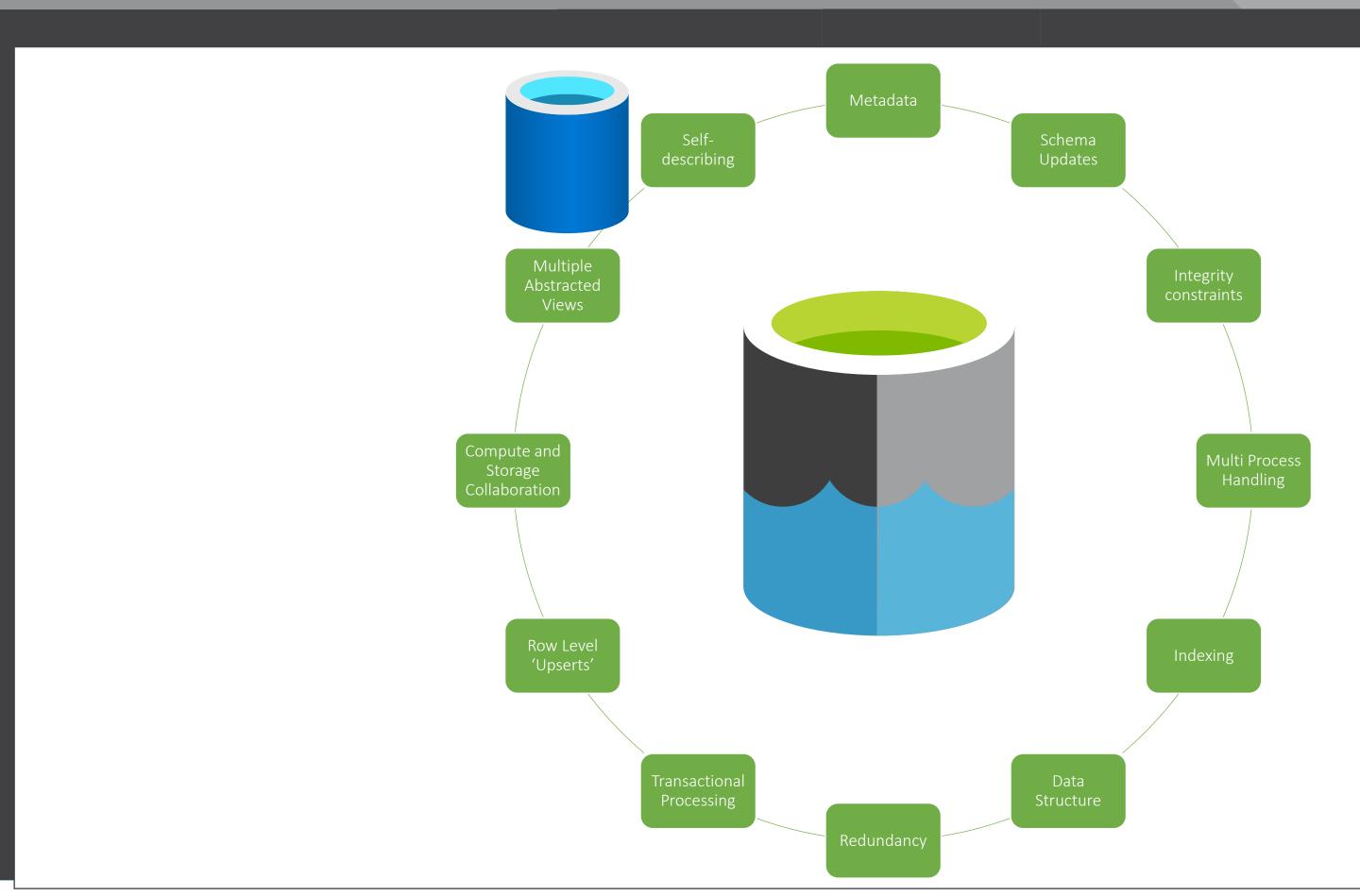






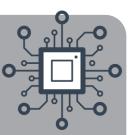
### Data Lakes

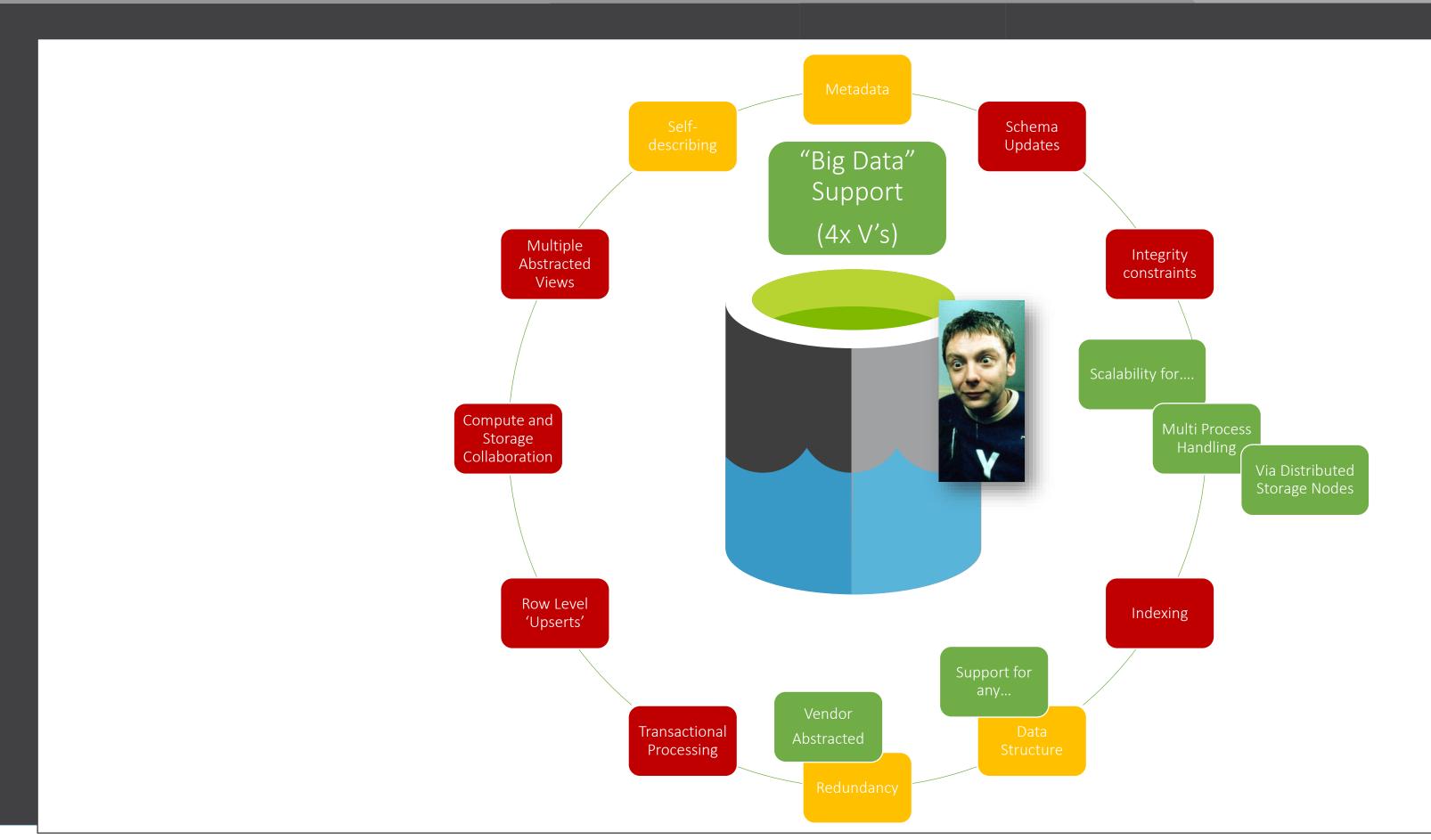






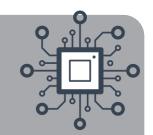
### Data Lakes







### Problem Summary



Data Lakes are good, but they still lack some of the basic <u>ACID</u> functionality needed for data processing.

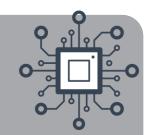
We are/were trying to use Data Lakes for everything (to replace Databases).



Scales Up	Scales Out
Natural Home for Structured Data	Any Data Structure
Storage Limits	No Storage Limits
Transactional Resilience	No Transactional Handling
Storage & Compute Coupled	Storage & Compute Decoupled



### Problem Summary



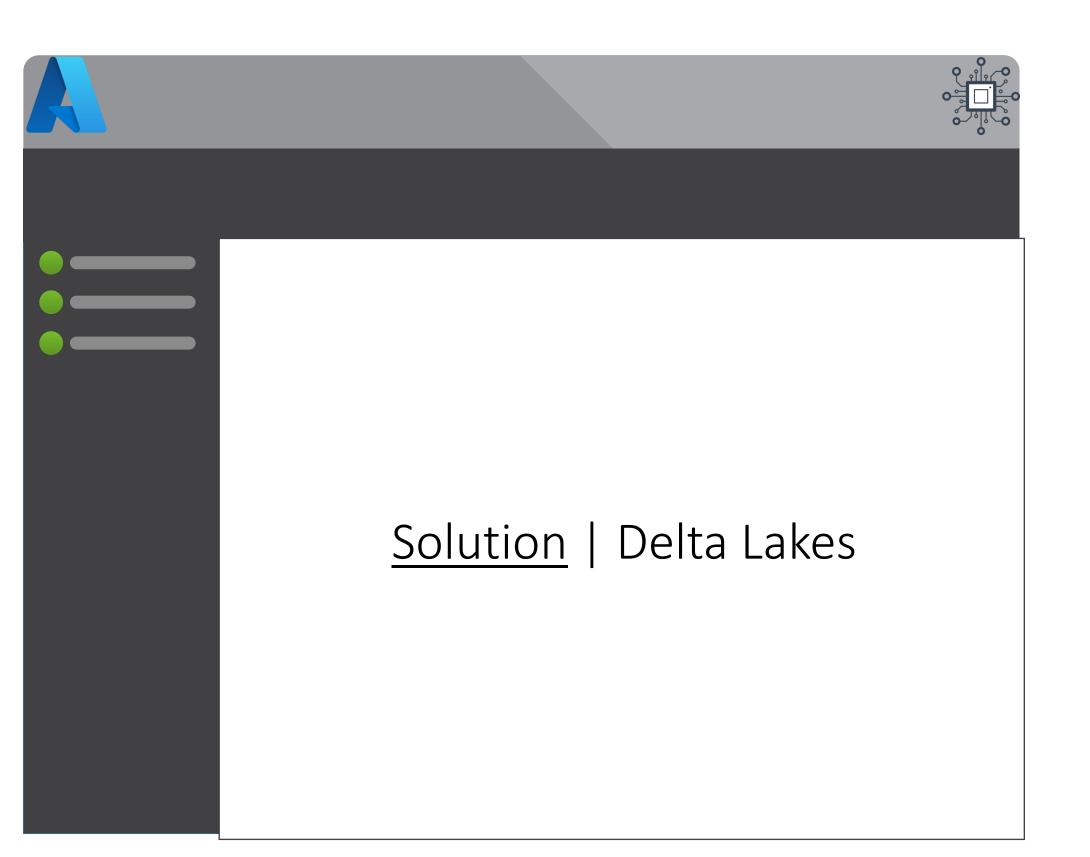
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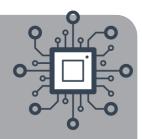


Scales Up	Scales Out
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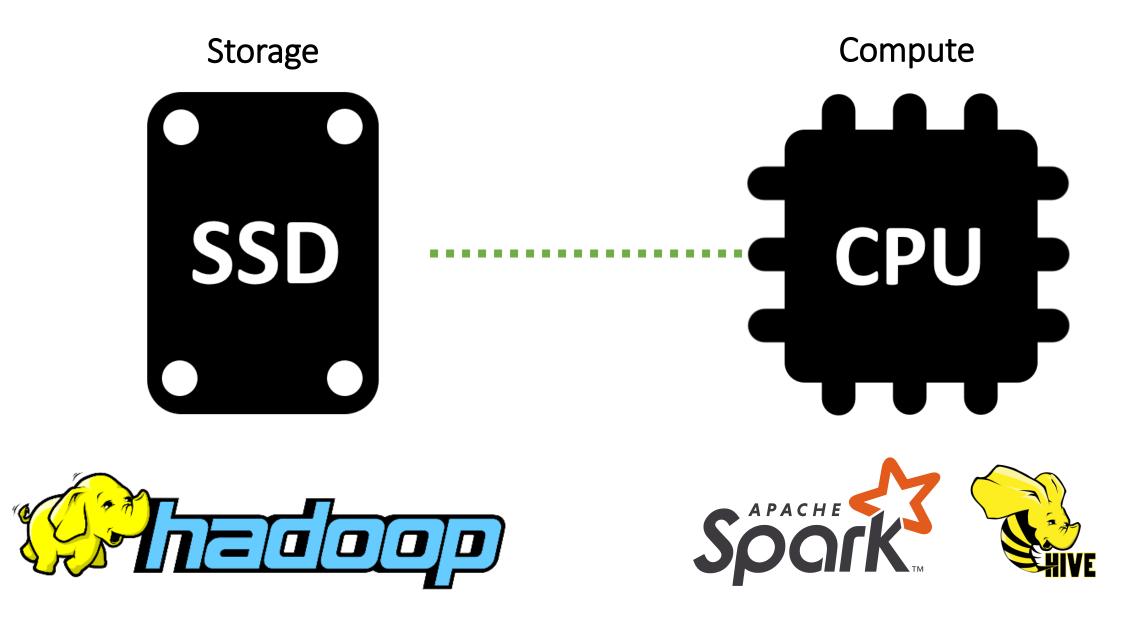
# Part 1.1 – Theory







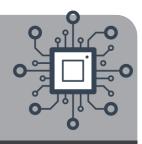
### Enable ACID transactional support for Data Lakes...

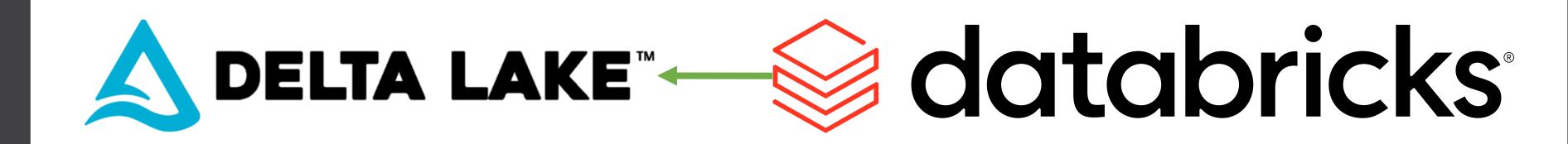


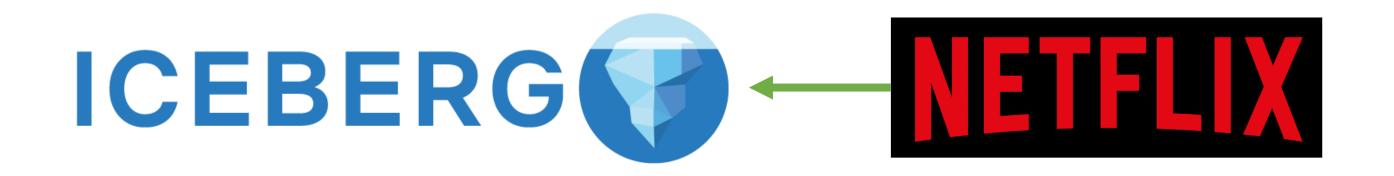
Storage & Compute <del>Decoupled</del> Working Together Again As Friends!



## ACID Data Frameworks for Data Lakes

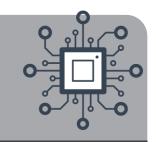


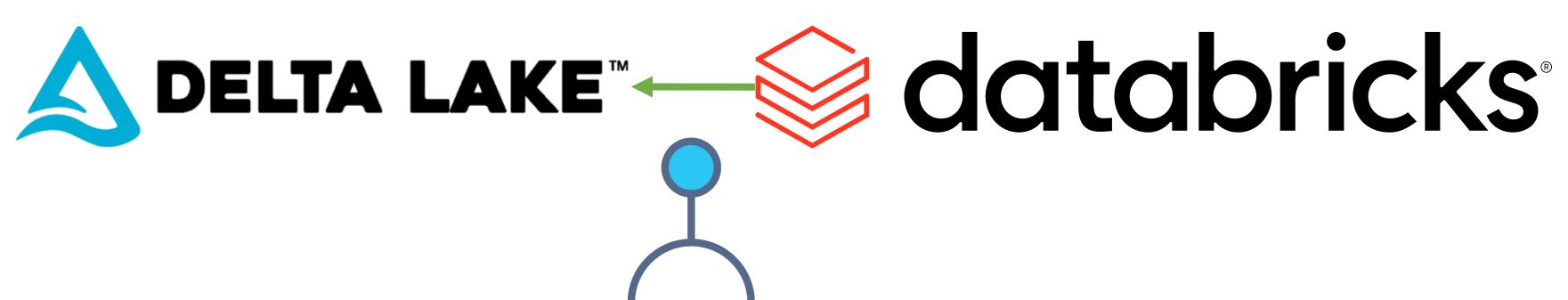






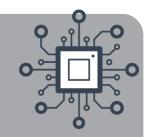








### What is Delta Lake?





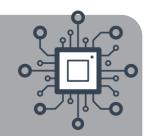


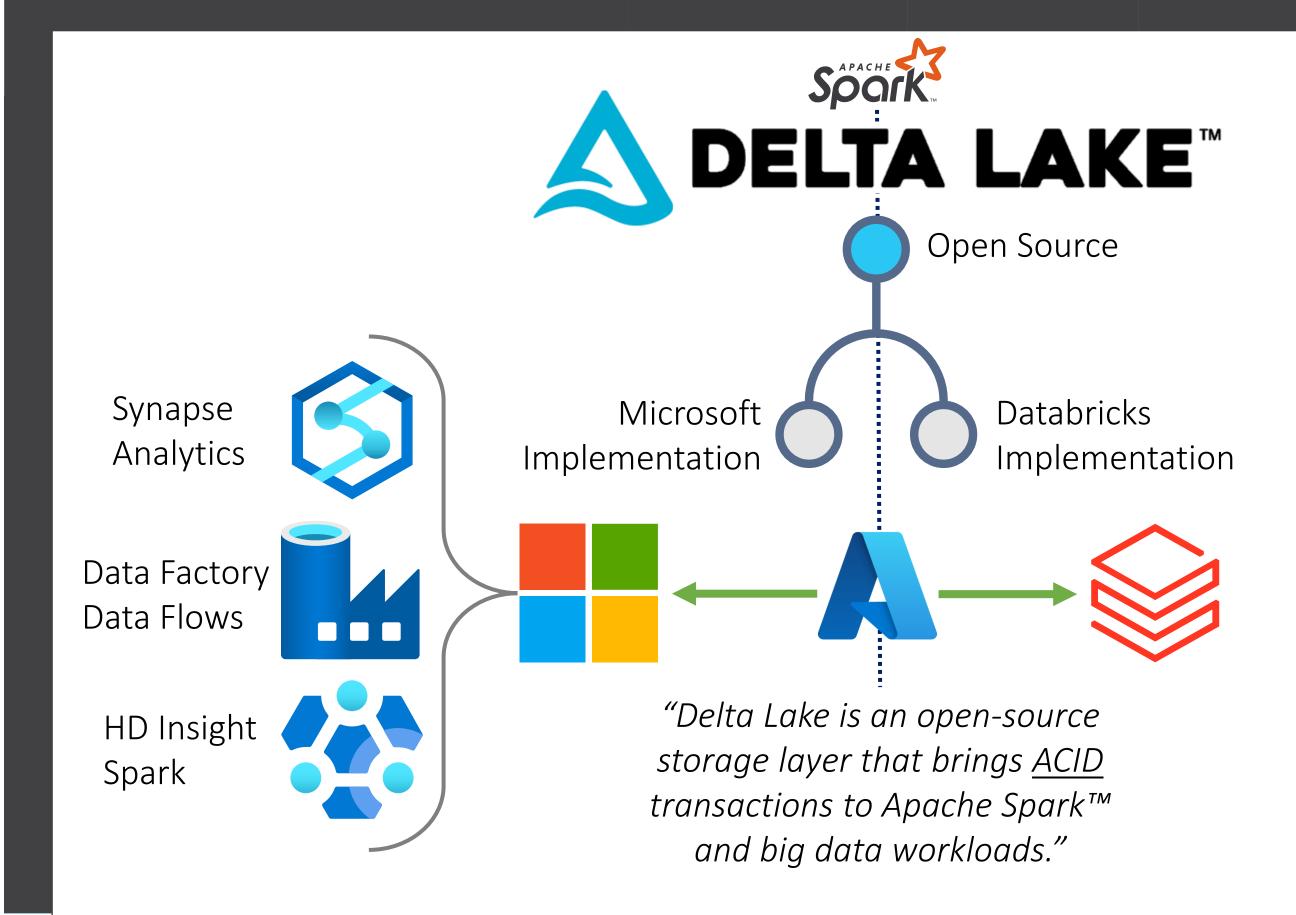


databricks



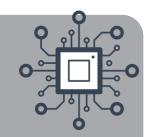
### What is Delta Lake?

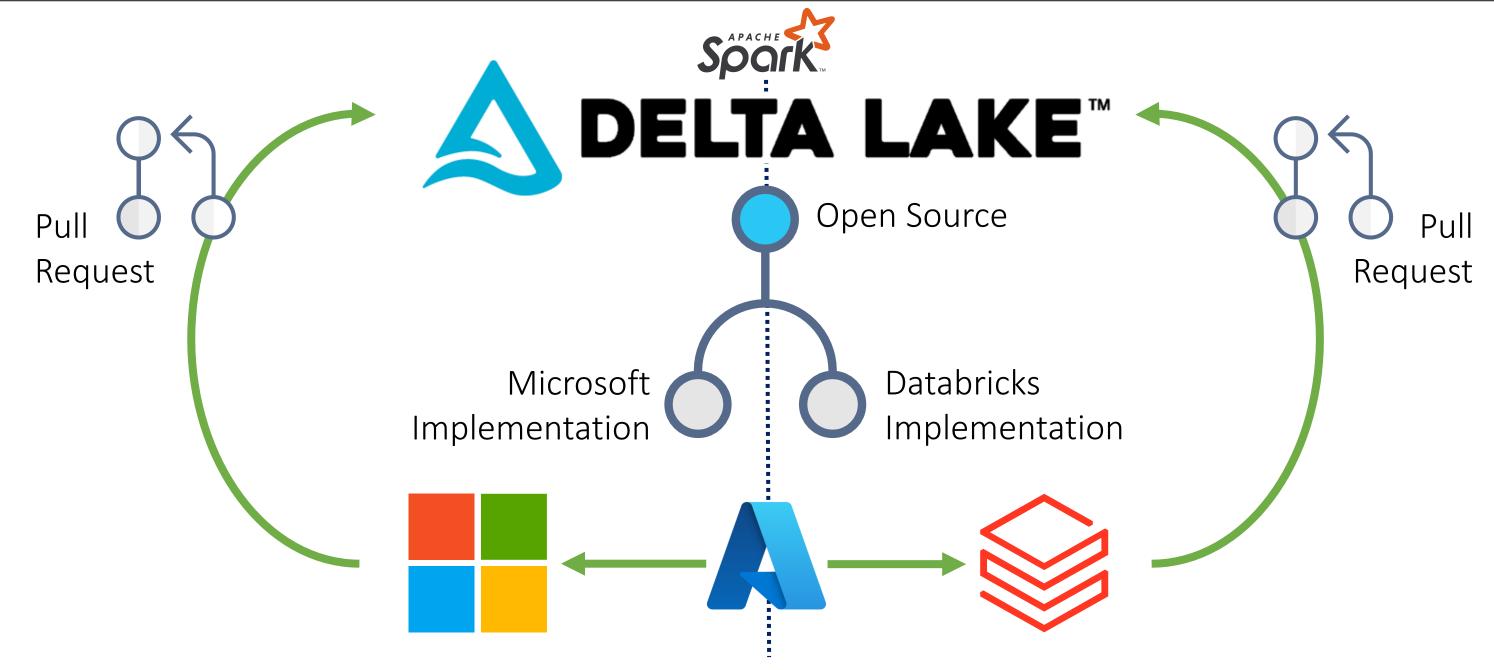






### What is Delta Lake?

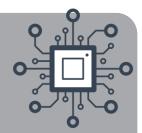


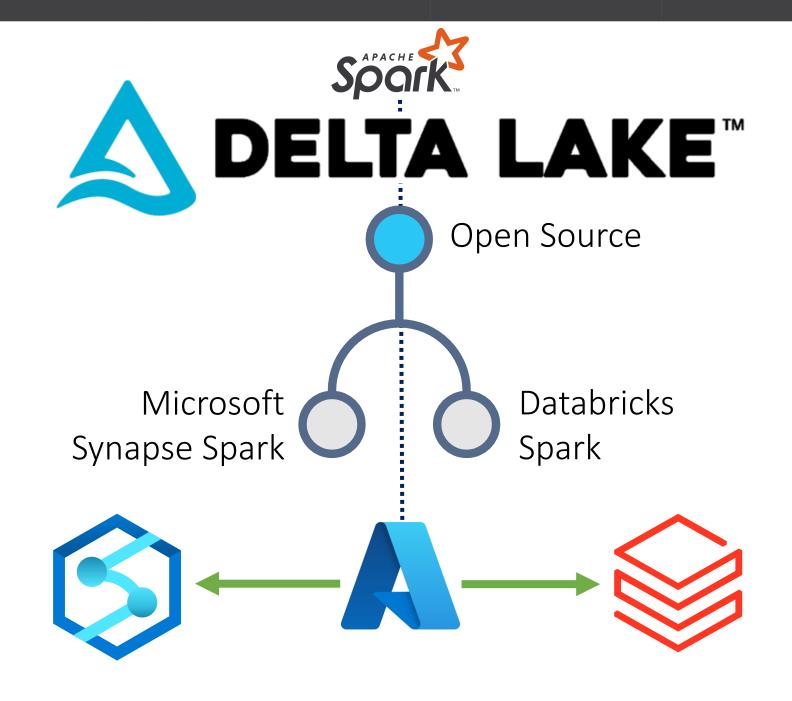


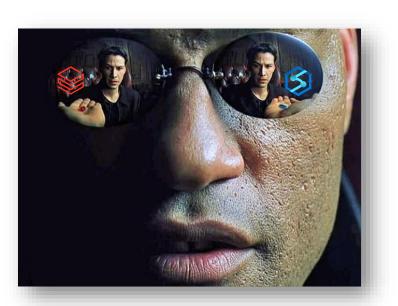
"Delta Lake is an open-source storage layer that brings <u>ACID</u> transactions to Apache Spark™ and big data workloads."



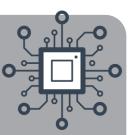
### Which Spark Implementation is Better?

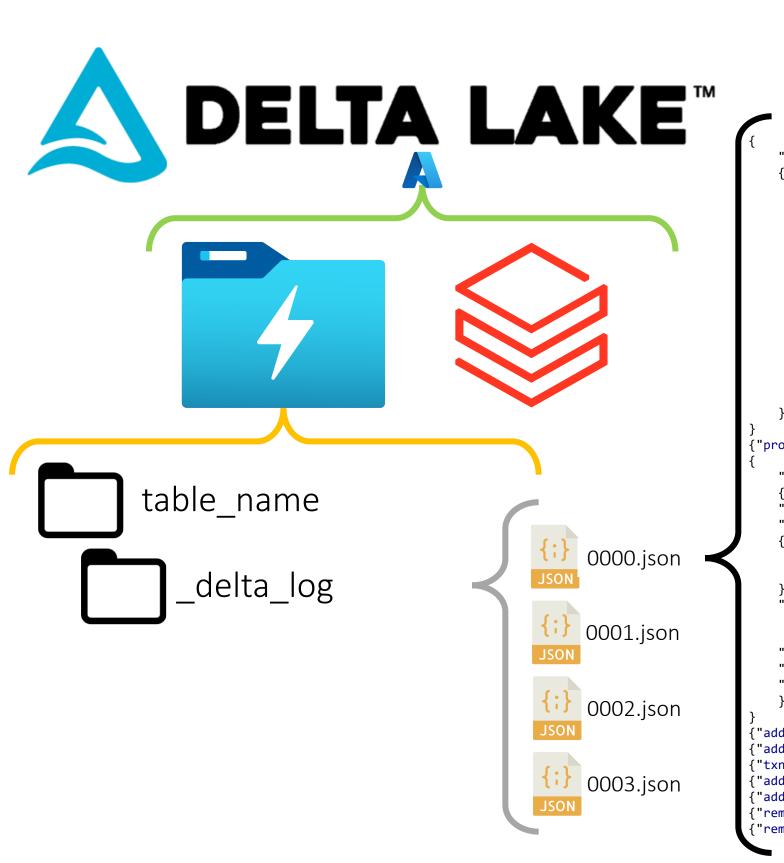






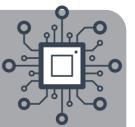


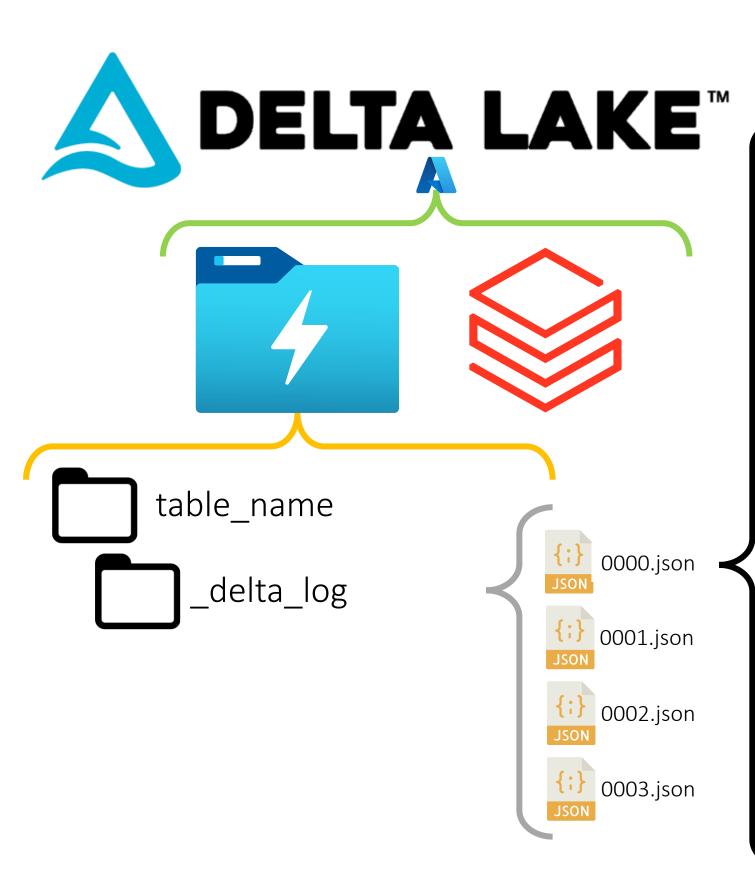




```
"commitInfo":
        "timestamp":1628596034417,
        "operation": "WRITE",
        "operationParameters":
            "mode":"ErrorIfExists",
            "partitionBy":"[]"
        "isBlindAppend":true,
        "operationMetrics":
                "numFiles":"6",
                "numOutputBytes":"2407",
                "numOutputRows":"5"
{"protocol":{"minReaderVersion":1, "minWriterVersion":2}}
    "metaData":
    "id": "58e5de01-de72-4d5b-a208-d0b4ae919efe",
    "format":
        "provider": "parquet",
        "options":{}
    "schemaString":
                "{\"type\":\"struct\",\"fields\":[{\"name\":\"id\",\"type\":\"long\",
                  \"nullable\":true,\"metadata\":{}}]}",
    "partitionColumns":[],
    "configuration":{},
    "createdTime":1628596029470
{"add":{"path":"part-00000.snappy.parquet","size":262,"modificationTime":1628596034000}]
{"add":{"path":"part-00001.snappy.parquet","size":429,"modificationTime":1628596034000}}
{"txn":{"appId":"731b2c96-bf64-445c-8ca8-cd6cad6735e2","lastUpdated":1628596094191}}
{"add":{"path":"part-00000.snappy.parquet","size":429,"modificationTime":1628596094000}}
{"add":{"path":"part-00001.snappy.parquet","size":429,"modificationTime":1628596094000}}
{"remove":{"path":"part-00150.snappy.parquet","deletionTimestamp":1628596098597}}
{"remove":{"path":"part-00128.snappy.parquet","deletionTimestamp":1628596098597}}
```







### **Breaking Down Transactions Into Atomic Commits**

Whenever a user performs an operation to modify a table (such as an INSERT, UPDATE or DELETE), Delta Lake breaks that operation down into a series of discrete steps composed of one or more of the actions below.

Add file – adds a data file.

Remove file – removes a data file.

**Update metadata** – Updates the table's metadata (e.g., changing the table's name, schema or partitioning).

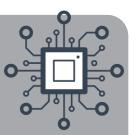
**Set transaction** — Records that a structured streaming job has committed a micro-batch with the given ID.

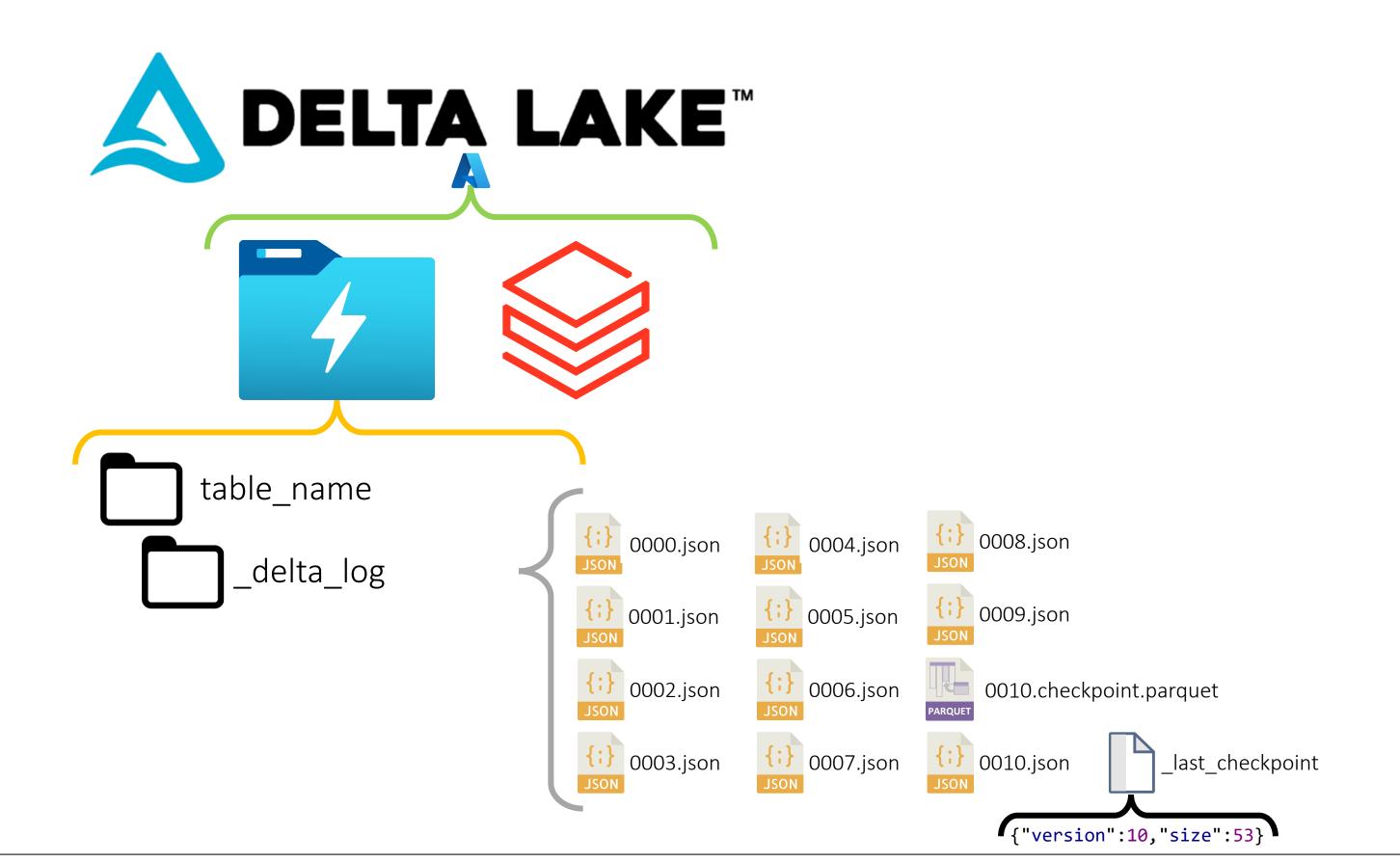
**Change protocol** – enables new features by switching the Delta Lake transaction log to the newest software protocol.

**Commit info** – Contains information around the commit, which operation was made, from where and at what time.

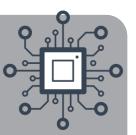
Source: https://databricks.com/blog

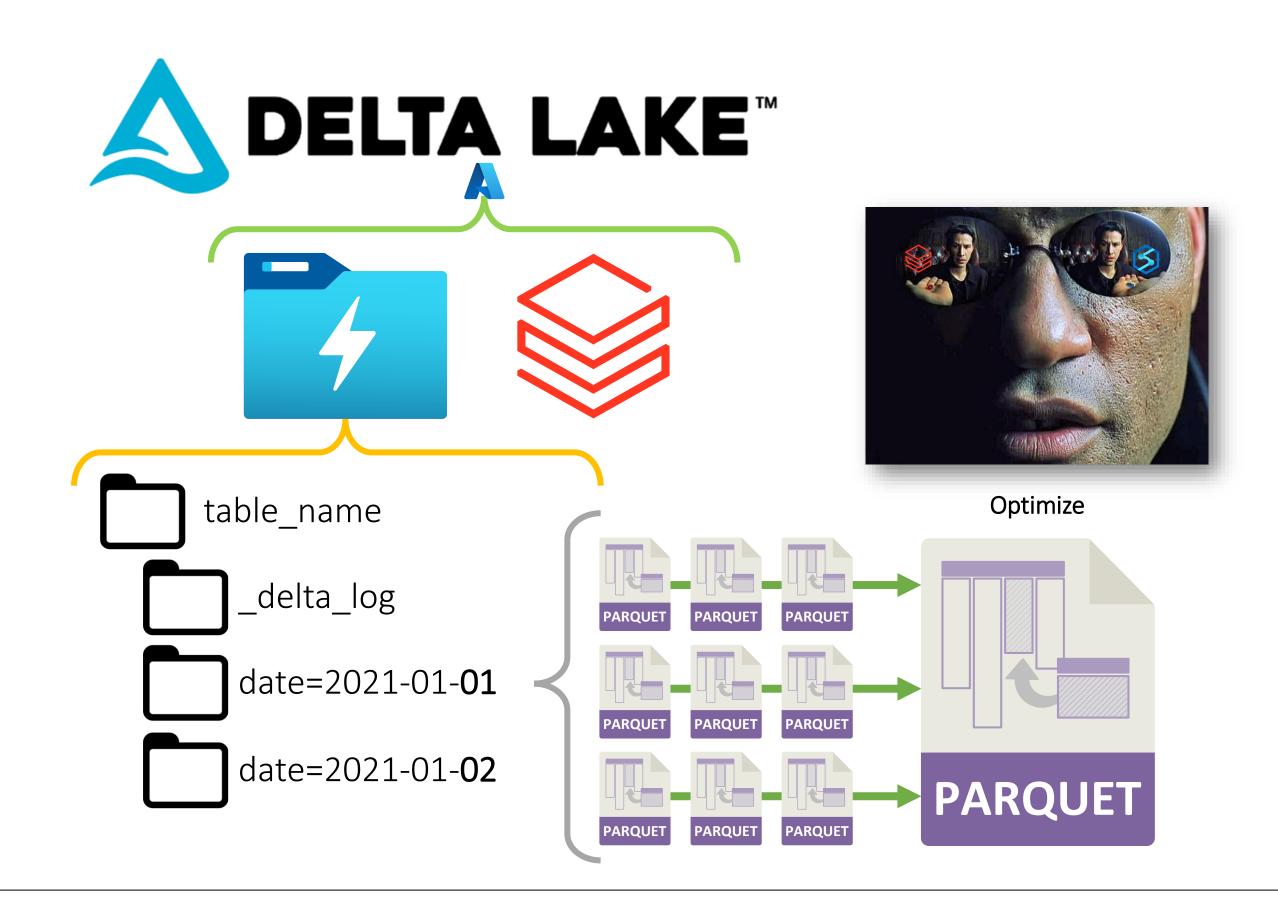




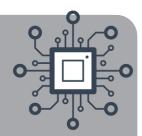


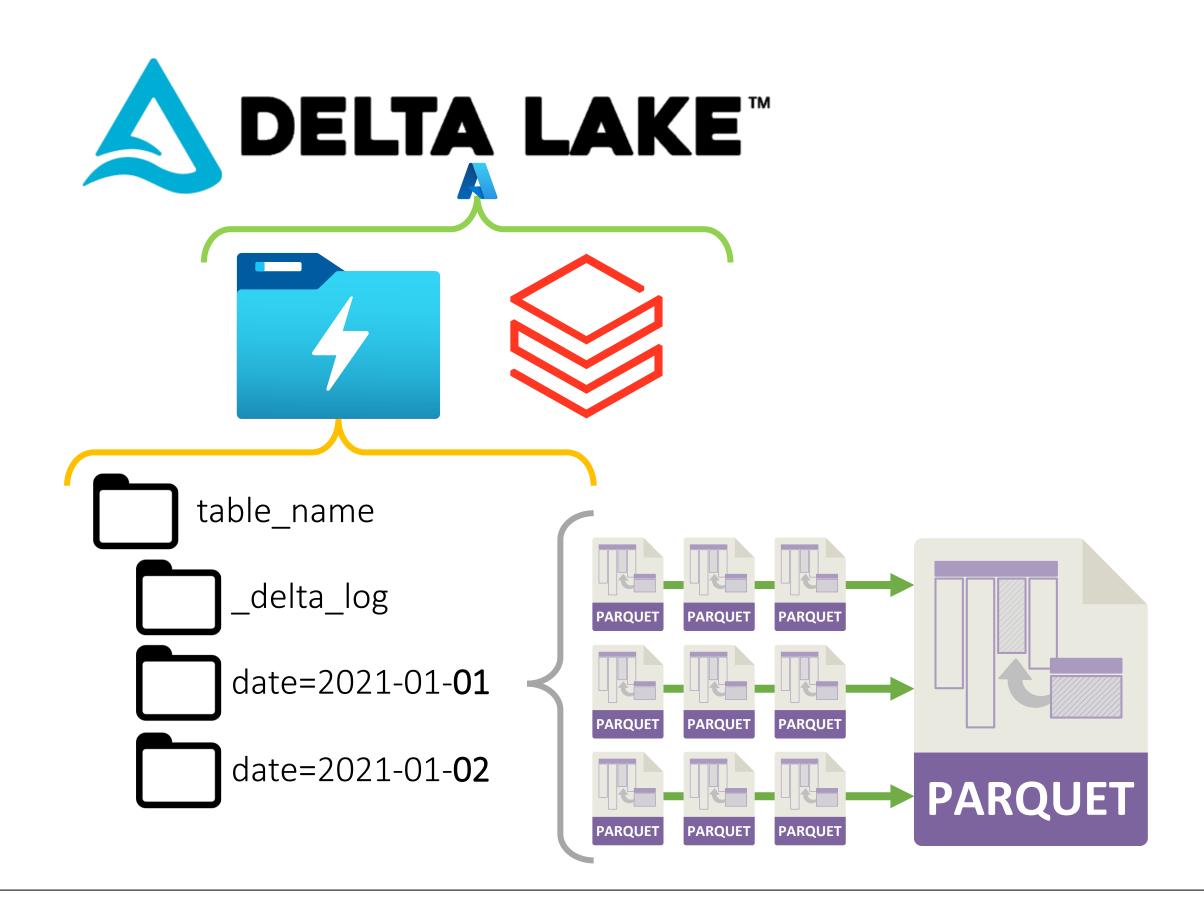




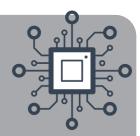


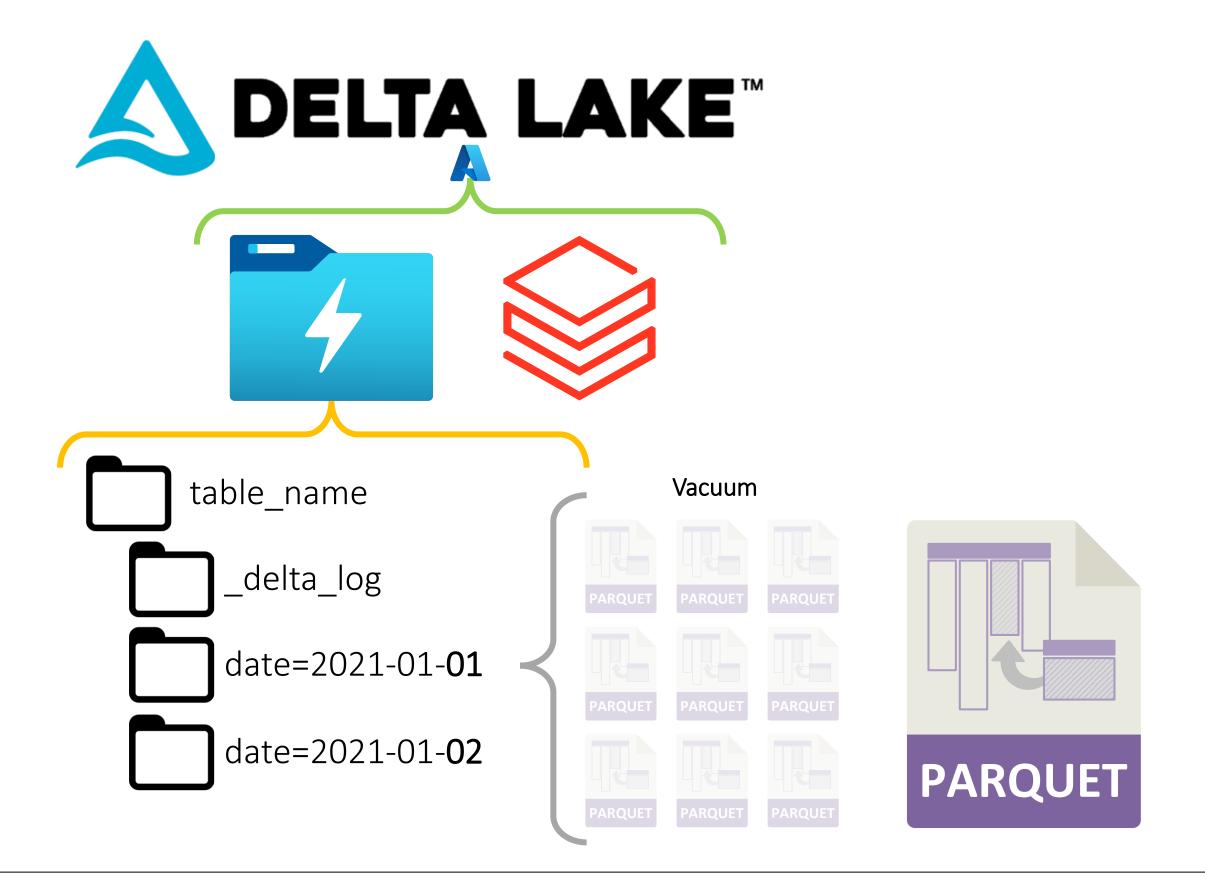






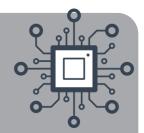


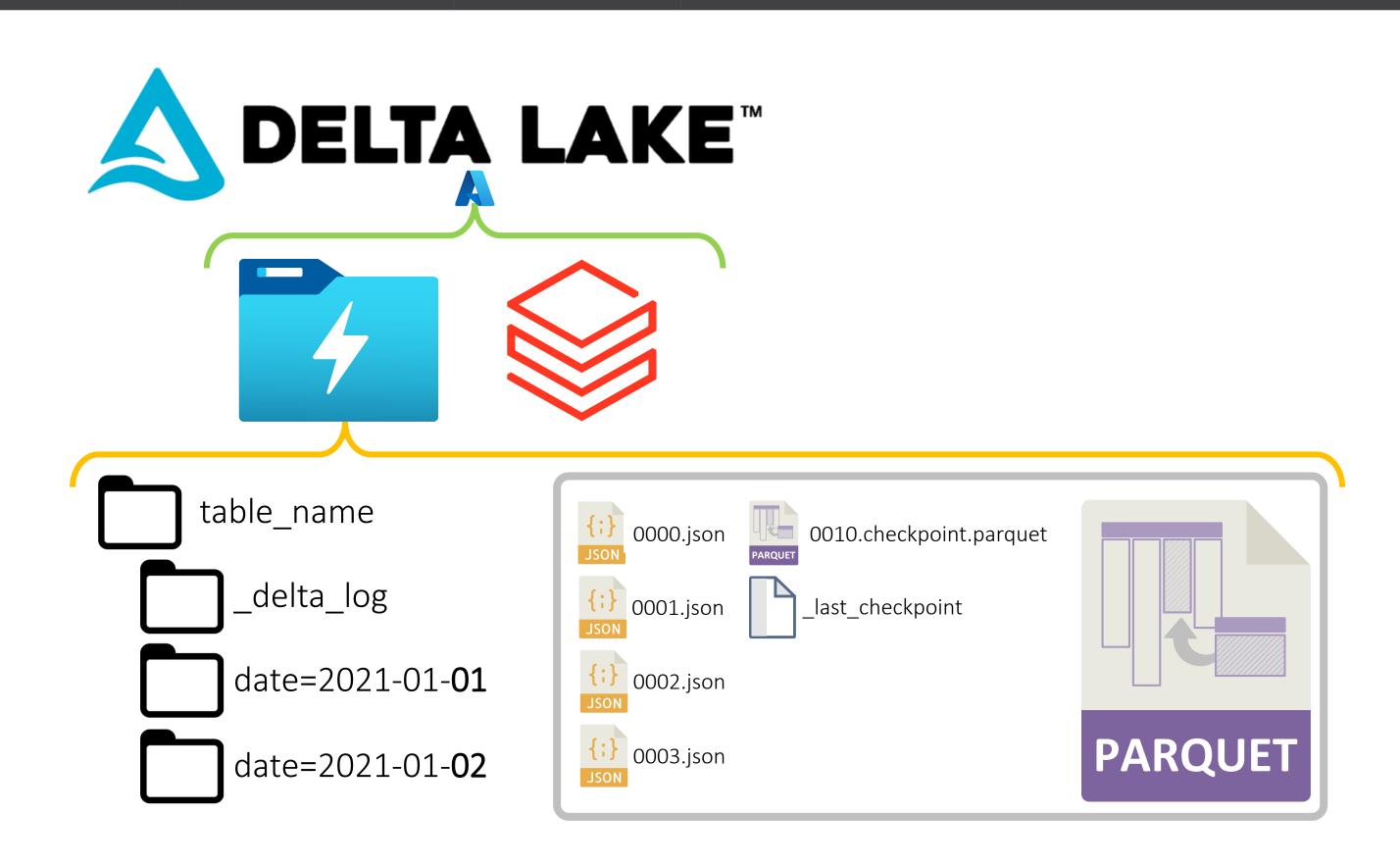






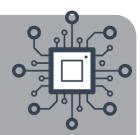
### Delta Table – On Disk





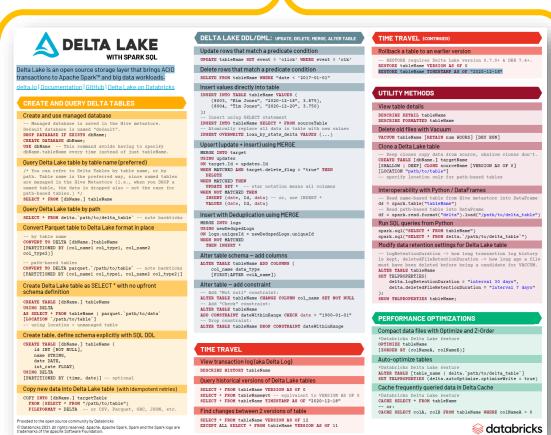


### Delta Table - Querying











Delta Lake is an open source storage layer that brings ACID transactions to Apache Spark™ and big data workloads.

delta.io | Documentation | GitHub | API reference | Databricks

### **READS AND WRITES WITH DELTA LAKE**

### Read data from pandas DataFrame

```
df = spark.createDataFrame(pdf)
# where pdf is a pandas DF
# then save DataFrame in Delta Lake format as shown below
```

### Read data using Apache Spark™

### Save DataFrame in Delta Lake format

```
(df.write.format("delta")
  .mode("append"|"overwrite")
  .partitionBy("date") # optional
  .option("mergeSchema", "true") # option - evolve schema
  .saveAsTable("events") | .save("/path/to/delta_table")
)
```

### Streaming reads (Delta table as streaming source)

```
# by path or by table name
df = (spark.readStream
   .format("delta")
   .schema(schema)
   .table("events") | .load("/delta/events")
)
```

### Streaming writes (Delta table as a sink)

```
streamingQuery = (
df.writeStream.format("delta")
   .outputMode("append"|"update"|"complete")
   .option("checkpointLocation", "/path/to/checkpoints")
   .trigger(once=True|processingTime="10 seconds")
   .table("events") | .start("/delta/events")
)
```

### CONVERT PAROUET TO DELTA LAKE

### Convert Parquet table to Delta Lake format in place

```
deltaTable = DeltaTable.convertToDelta(spark,
   "parquet.`/path/to/parquet_table`")

partitionedDeltaTable = DeltaTable.convertToDelta(spark,
   "parquet.`/path/to/parquet_table`", "part int")
```

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### WORKING WITH DELTA TABLES

```
# A DeltaTable is the entry point for interacting with
tables programmatically in Python — for example, to
perform updates or deletes.
from delta.tables import *

deltaTable = DeltaTable.forName(spark, tableName)
deltaTable = DeltaTable.forPath(spark,
delta.`path/to/table`)
```

### DELTA LAKE DDL/DML: UPDATES, DELETES, INSERTS, MERGES

### Delete rows that match a predicate condition

```
# predicate using SQL formatted string
deltaTable.delete("date < '2017-01-01'")
# predicate using Spark SQL functions
deltaTable.delete(col("date") < "2017-01-01")</pre>
```

### Update rows that match a predicate condition

### Upsert (update + insert) using MERGE

### Insert with Deduplication using MERGE

```
(deltaTable.alias("logs").merge(
    newDedupedLogs.alias("newDedupedLogs"),
    "logs.uniqueId = newDedupedLogs.uniqueId")
.whenNotMatchedInsertAll()
.execute()
```

### TIME TRAVEL

### View transaction log (aka Delta Log)

fullHistoryDF = deltaTable.history()

### Ouery historical versions of Delta Lake tables

### TIME TRAVEL (CONTINUED)

### Find changes between 2 versions of a table

```
df1 = spark.read.format("delta").load(pathToTable)
df2 = spark.read.format("delta").option("versionAsOf",
2).load("/path/to/delta_table")
df1.exceptAll(df2).show()
```

### Rollback a table by version or timestamp

```
deltaTable.restoreToVersion(0)
deltaTable.restoreToTimestamp('2020-12-01')
```

### **UTILITY METHODS**

### Run Spark SQL queries in Python

```
spark.sql("SELECT * FROM tableName")
spark.sql("SELECT * FROM delta.`/path/to/delta_table`")
spark.sql("DESCRIBE HISTORY tableName")
```

### Compact old files with Vacuum

```
deltaTable.vacuum() # vacuum files older than default
retention period (7 days)
deltaTable.vacuum(100) # vacuum files not required by
versions more than 100 hours old
```

### Clone a Delta Lake table

```
deltaTable.clone(target="/path/to/delta_table/",
isShallow=True, replace=True)
```

### Get DataFrame representation of a Delta Lake table

df = deltaTable.toDF()

### Run SQL queries on Delta Lake tables

```
spark.sql("SELECT * FROM tableName")
spark.sql("SELECT * FROM delta.`/path/to/delta_table`")
```

### **PERFORMANCE OPTIMIZATIONS**

### Compact data files with Optimize and Z-Order

```
*Databricks Delta Lake feature spark.sql("OPTIMIZE tableName [ZORDER BY (colA, colB)]")
```

### Auto-optimize tables

```
*Databricks Delta Lake feature. For existing tables:
spark.sql("ALTER TABLE [table_name |
delta.`path/to/delta_table`]
SET TBLPROPERTIES (delta.autoOptimize.optimizeWrite = true)
To enable auto-optimize for all new Delta Lake tables:
spark.sql("SET spark.databricks.delta.properties.
defaults.autoOptimize.optimizeWrite = true")
```

### Cache frequently queried data in Delta Cache

```
*Databricks Delta Lake feature

spark.sql("CACHE SELECT * FROM tableName")
-- or:

spark.sql("CACHE SELECT colA, colB FROM tableName

WHERE colNameA > 0")
```







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delta.io | Documentation | GitHub | Delta Lake on Databricks

### **CREATE AND OUERY DELTA TABLES**

### Create and use managed database

```
-- Managed database is saved in the Hive metastore.

Default database is named "default".

DROP DATABASE IF EXISTS dbName;

CREATE DATABASE dbName;

USE dbName -- This command avoids having to specify dbName.tableName every time instead of just tableName.
```

### Query Delta Lake table by table name (preferred)

```
/* You can refer to Delta Tables by table name, or by
path. Table name is the preferred way, since named tables
are managed in the Hive Metastore (i.e., when you DROP a
named table, the data is dropped also — not the case for
path-based tables.) */
SELECT * FROM [dbName.] tableName
```

### Query Delta Lake table by path

```
SELECT * FROM delta. path/to/delta_table -- note backticks
```

### Convert Parquet table to Delta Lake format in place

```
-- by table name

CONVERT TO DELTA [dbName.]tableName

[PARTITIONED BY (col_name1 col_type1, col_name2
col_type2)]

-- path-based tables

CONVERT TO DELTA parquet.`/path/to/table` -- note backticks

[PARTITIONED BY (col_name1 col_type1, col_name2 col_type2)]
```

### Create Delta Lake table as SELECT \* with no upfront schema definition

```
CREATE TABLE [dbName.] tableName
USING DELTA
AS SELECT * FROM tableName | parquet.`path/to/data`
[LOCATION `/path/to/table`]
-- using location = unmanaged table
```

### Create table, define schema explicitly with SQL DDL

```
CREATE TABLE [dbName.] tableName (
   id INT [NOT NULL],
   name STRING,
   date DATE,
   int_rate FLOAT)
USING DELTA
[PARTITIONED BY (time, date)] -- optional
```

### Copy new data into Delta Lake table (with idempotent retries)

```
COPY INTO [dbName.] targetTable

FROM (SELECT * FROM "/path/to/table")

FILEFORMAT = DELTA -- or CSV, Parquet, ORC, JSON, etc.
```

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### **DELTA LAKE DDL/DML:** UPDATE, DELETE, MERGE, ALTER TABLE

### Update rows that match a predicate condition

```
UPDATE tableName SET event = 'click' WHERE event = 'clk'
```

### Delete rows that match a predicate condition

DELETE FROM tableName WHERE "date < '2017-01-01"

### Insert values directly into table

```
INSERT INTO TABLE tableName VALUES (
      (8003, "Kim Jones", "2020-12-18", 3.875),
      (8004, "Tim Jones", "2020-12-20", 3.750)
);
-- Insert using SELECT statement
INSERT INTO tableName SELECT * FROM sourceTable
-- Atomically replace all data in table with new values
INSERT OVERWRITE loan_by_state_delta VALUES (...
```

### Upsert (update + insert) using MERGE

```
MERGE INTO target
USING updates
ON target.Id = updates.Id
WHEN MATCHED AND target.delete_flag = "true" THEN
DELETE
WHEN MATCHED THEN
UPDATE SET * -- star notation means all columns
WHEN NOT MATCHED THEN
INSERT (date, Id, data) -- or, use INSERT *
VALUES (date, Id, data)
```

### Insert with Deduplication using MERGE

```
MERGE INTO logs
USING newDedupedLogs
ON logs.uniqueId = newDedupedLogs.uniqueId
WHEN NOT MATCHED
   THEN INSERT *
```

### Alter table schema - add columns

```
ALTER TABLE tableName ADD COLUMNS (
col_name data_type
[FIRST|AFTER colA name])
```

### Alter table - add constraint

```
-- Add "Not null" constraint:

ALTER TABLE tableName CHANGE COLUMN col_name SET NOT NULL
-- Add "Check" constraint:

ALTER TABLE tableName

ADD CONSTRAINT dateWithinRange CHECK date > "1900-01-01"
-- Drop constraint:

ALTER TABLE tableName DROP CONSTRAINT dateWithinRange
```

### TIME TRAVEL

### View transaction log (aka Delta Log)

DESCRIBE HISTORY tableName

### Query historical versions of Delta Lake tables

```
SELECT * FROM tableName VERSION AS OF 0

SELECT * FROM tableName@v0 -- equivalent to VERSION AS OF 0

SELECT * FROM tableName TIMESTAMP AS OF "2020-12-18"
```

### Find changes between 2 versions of table

```
SELECT * FROM tableName VERSION AS OF 12
EXCEPT ALL SELECT * FROM tableName VERSION AS OF 11
```

### TIME TRAVEL (CONTINUED)

### Rollback a table to an earlier version

```
-- RESTORE requires Delta Lake version 0.7.0+ & DBR 7.4+.

RESTORE tableName VERSION AS OF 0

RESTORE tableName TIMESTAMP AS OF "2020-12-18"
```

### **UTILITY METHODS**

### View table details

```
DESCRIBE DETAIL tableName
DESCRIBE FORMATTED tableName
```

### Delete old files with Vacuum

VACUUM tableName [RETAIN num HOURS] [DRY RUN]

### Clone a Delta Lake table

```
-- Deep clones copy data from source, shallow clones don't.

CREATE TABLE [dbName.] targetName

[SHALLOW | DEEP] CLONE sourceName [VERSION AS OF 0]

[LOCATION "path/to/table"]

-- specify location only for path-based tables
```

### Interoperability with Python / DataFrames

```
-- Read name-based table from Hive metastore into DataFrame
df = spark.table("tableName")
-- Read path-based table into DataFrame
df = spark.read.format("delta").load("/path/to/delta_table")
```

### Run SOL queries from Python

```
spark.sql("SELECT * FROM tableName")
spark.sql("SELECT * FROM delta.`/path/to/delta_table`")
```

### Modify data retention settings for Delta Lake table

```
-- logRetentionDuration -> how long transaction log history
is kept, deletedFileRetentionDuration -> how long ago a file
must have been deleted before being a candidate for VACCUM.
ALTER TABLE tableName
SET TBLPROPERTIES(
    delta.logRetentionDuration = "interval 30 days",
    delta.deletedFileRetentionDuration = "interval 7 days"
);
SHOW TBLPROPERTIES tableName;
```

### PERFORMANCE OPTIMIZATIONS

### Compact data files with Optimize and Z-Order

```
*Databricks Delta Lake feature
OPTIMIZE tableName
[ZORDER BY (colNameA, colNameB)]
```

### Auto-optimize tables

```
*Databricks Delta Lake feature

ALTER TABLE [table_name | delta.`path/to/delta_table`]

SET TBLPROPERTIES (delta.autoOptimize.optimizeWrite = true)
```

### Cache frequently gueried data in Delta Cache

```
*Databricks Delta Lake feature

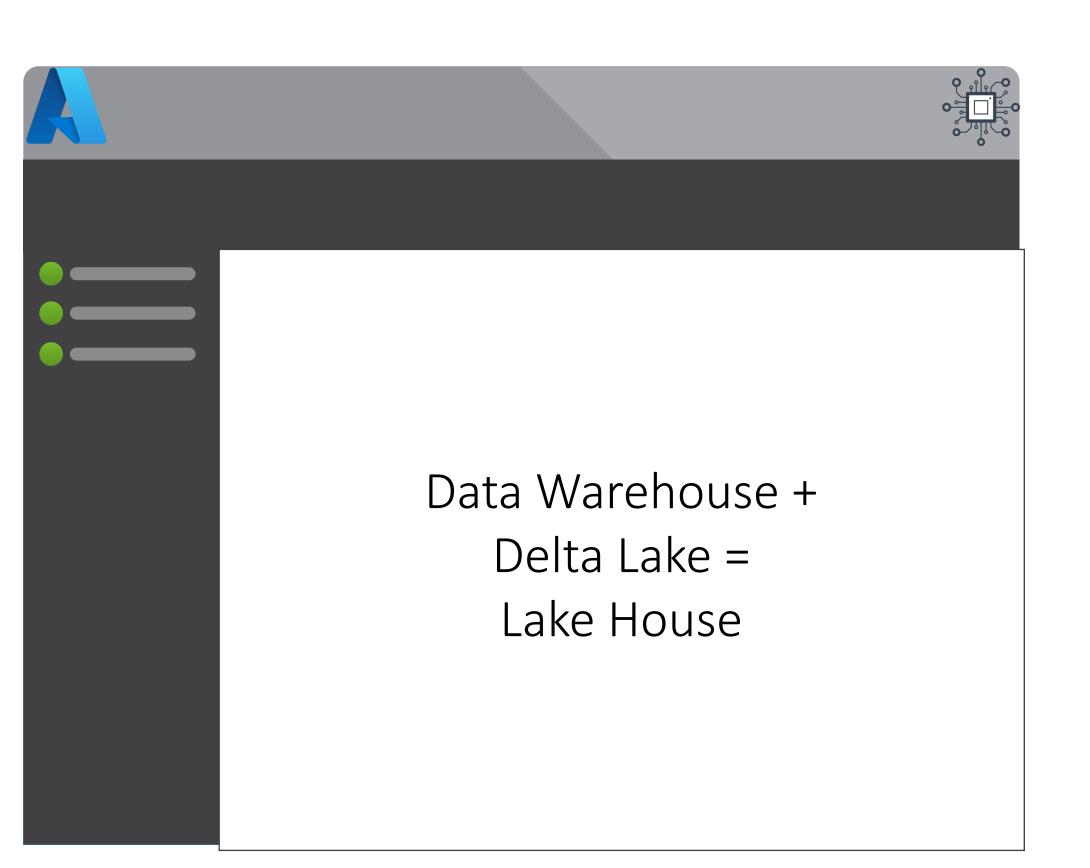
CACHE SELECT * FROM tableName
-- or:

CACHE SELECT colA, colB FROM tableName WHERE colNameA > 0
```



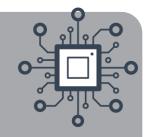


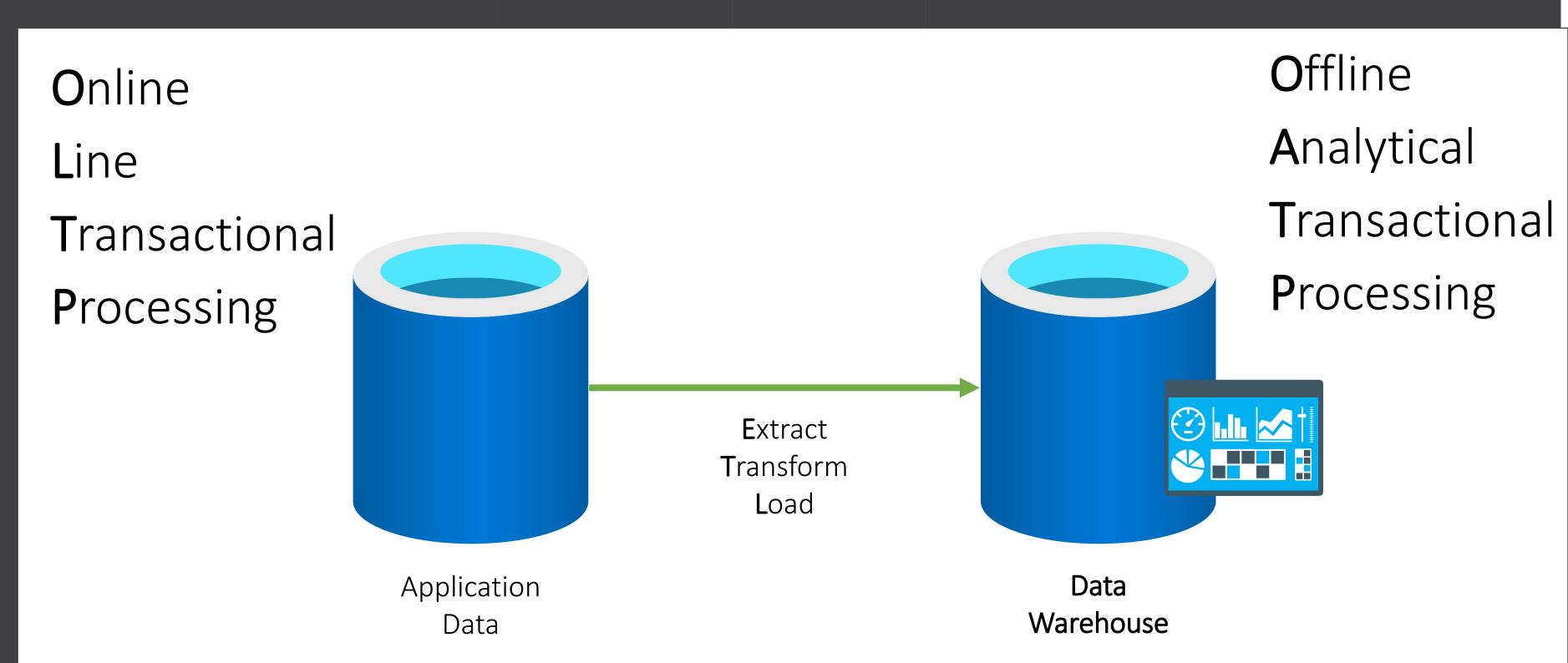
# Part 1.2 — Theory





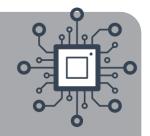
### Data Warehouse

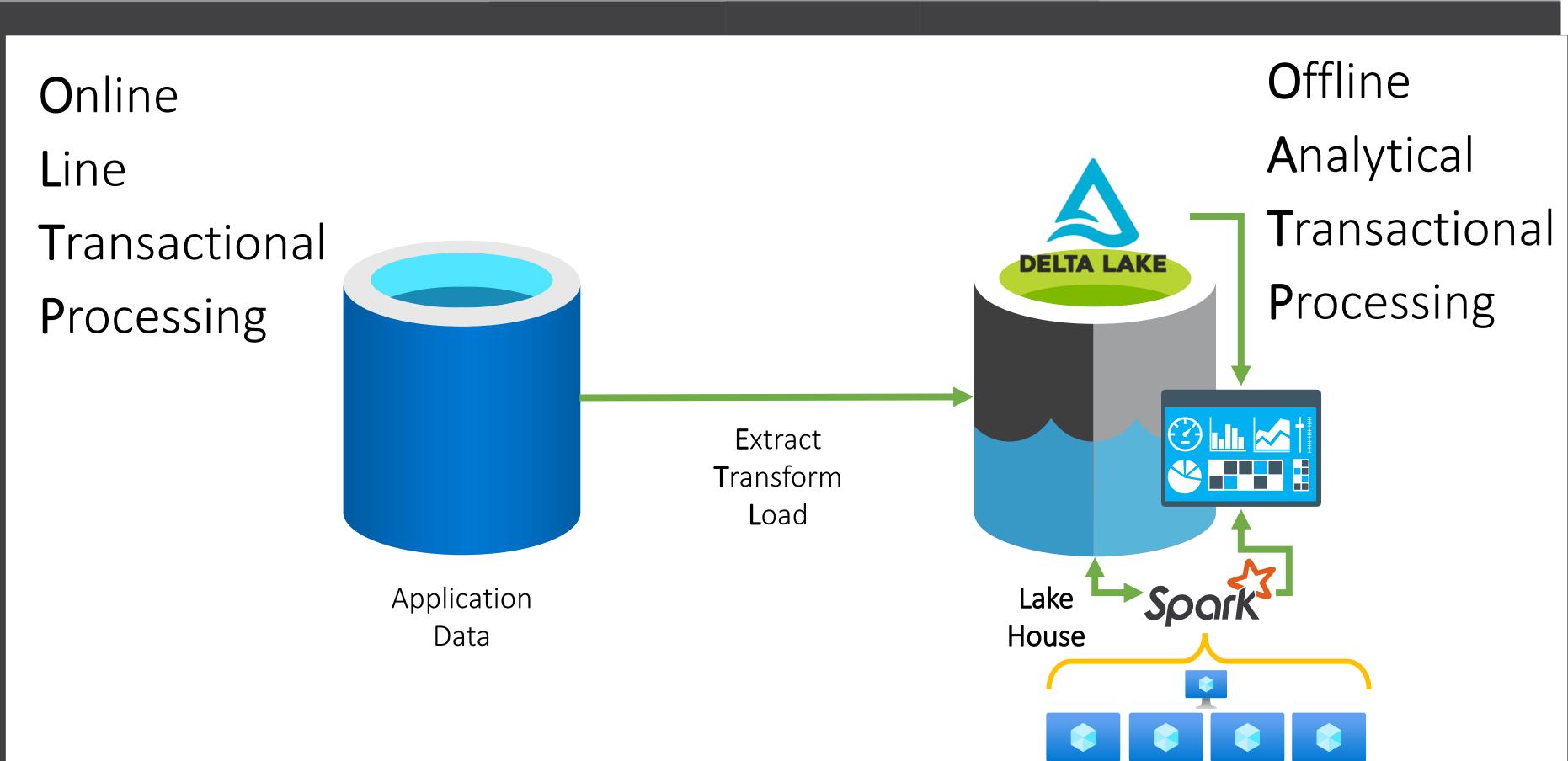




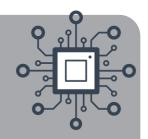


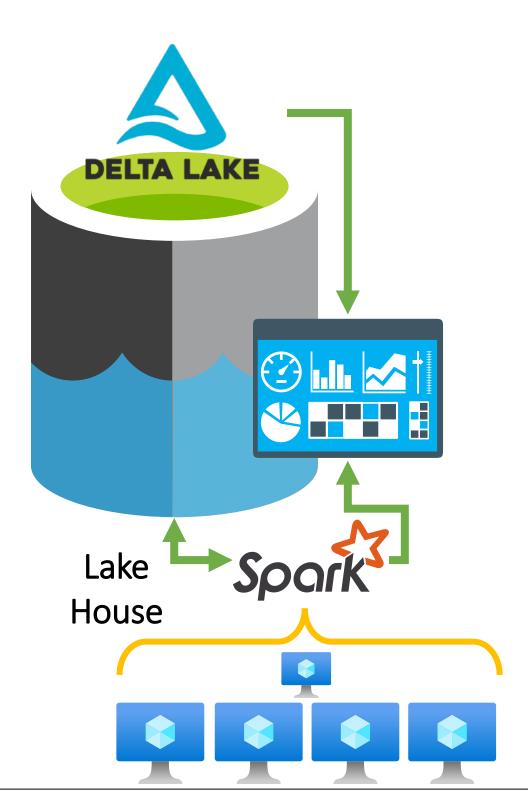
### Lake House



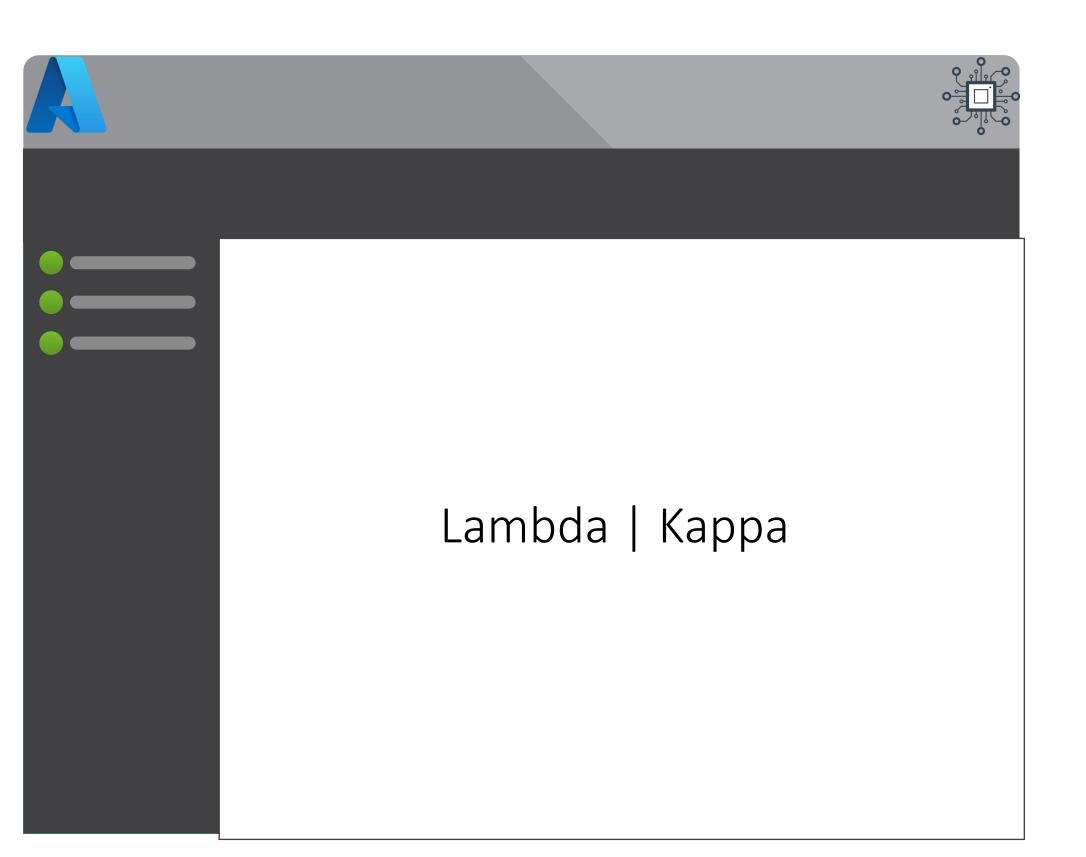




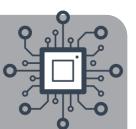




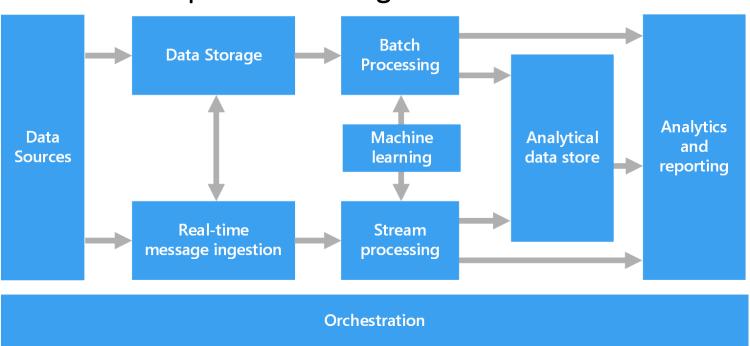
## Part 1.3 — Theory

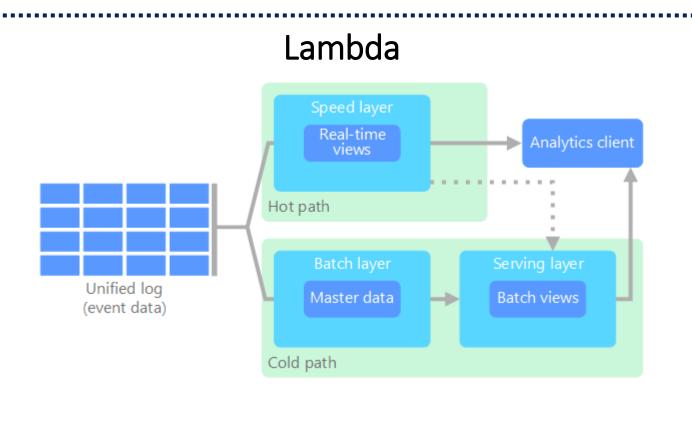


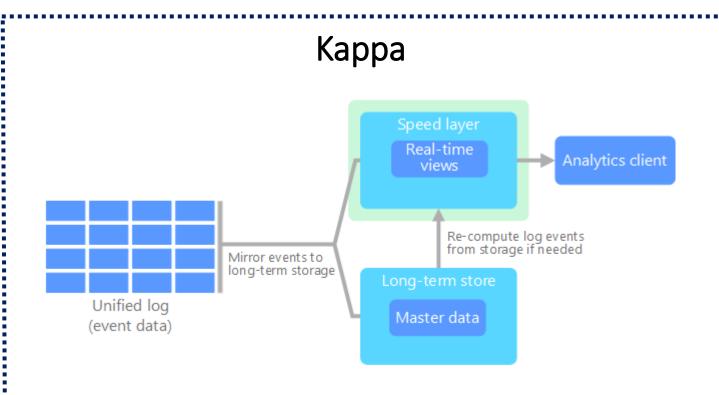




#### Components of a Big Data Architecture

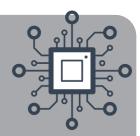


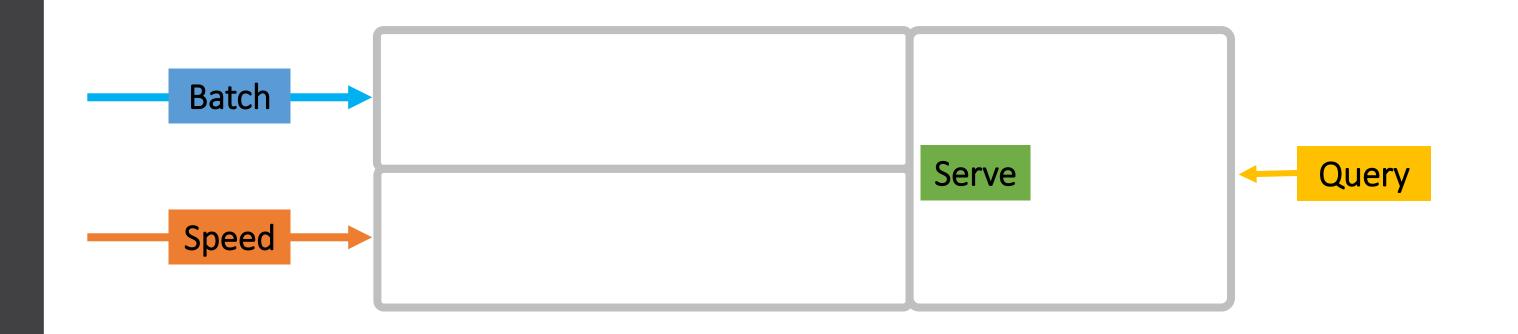




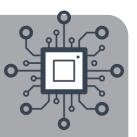
https://docs.microsoft.com/en-us/azure/architecture/data-guide/big-data/

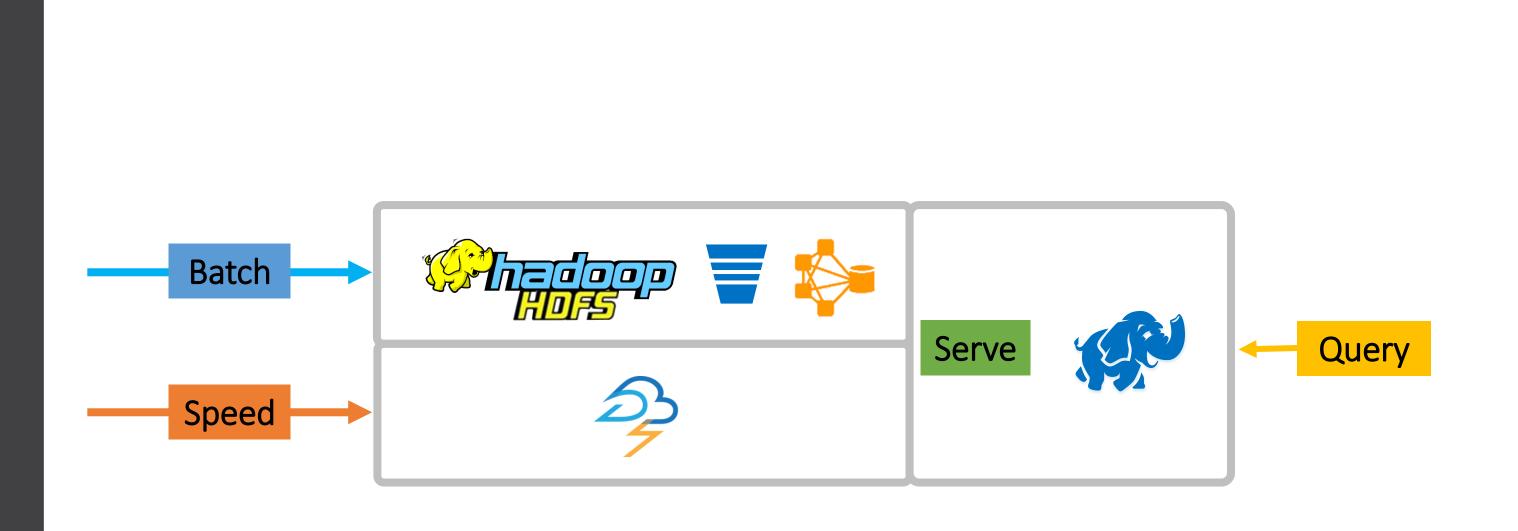


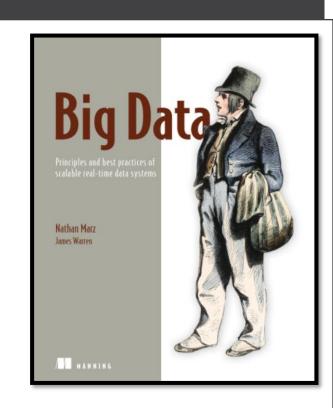




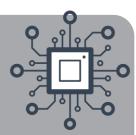


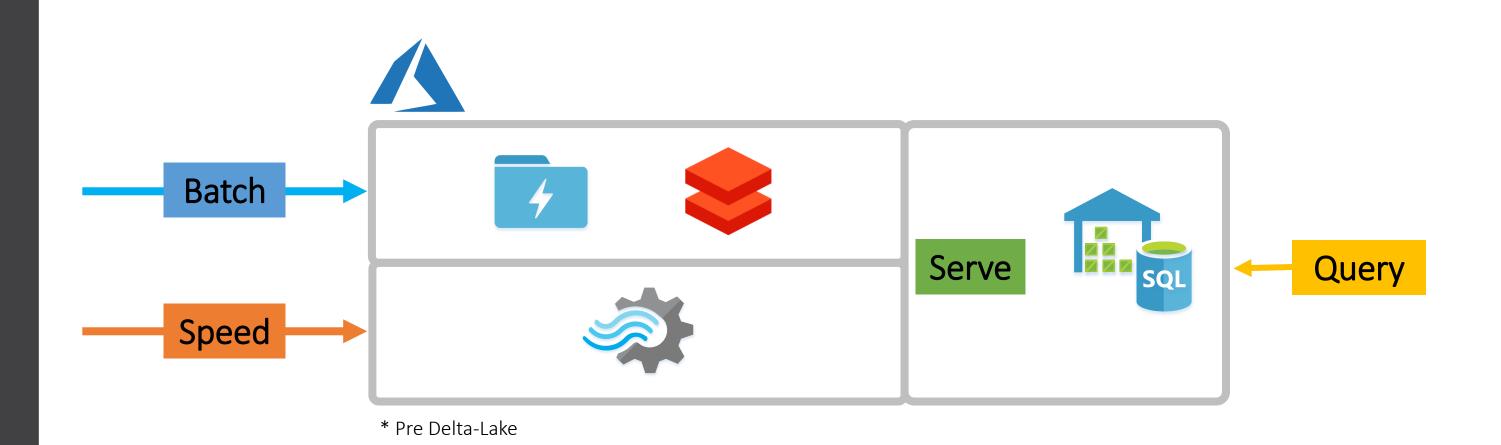




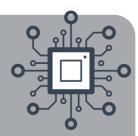


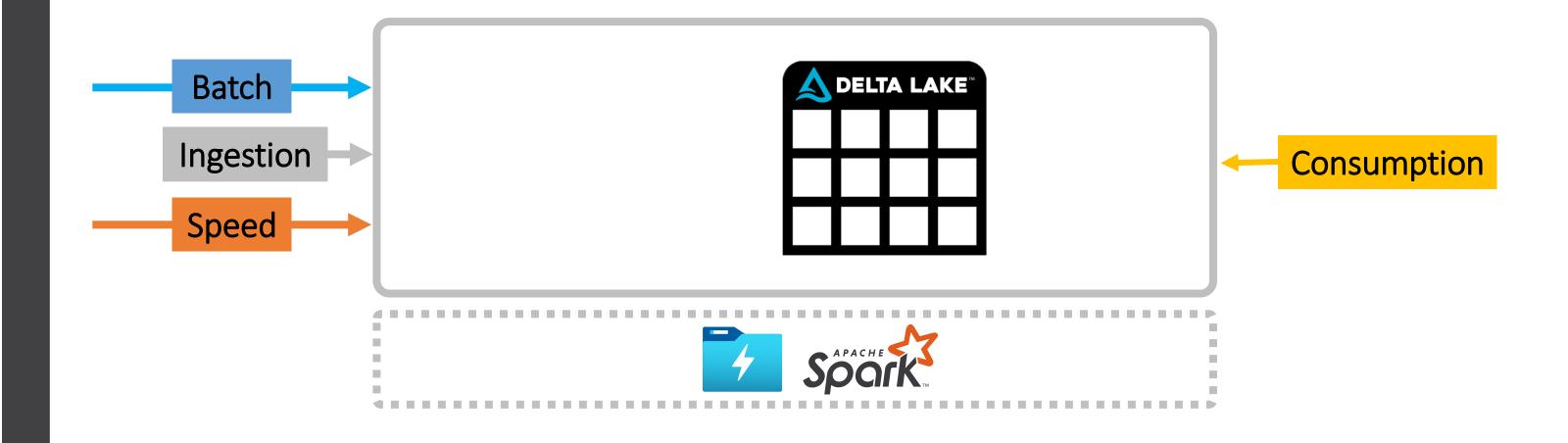






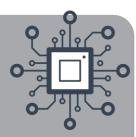


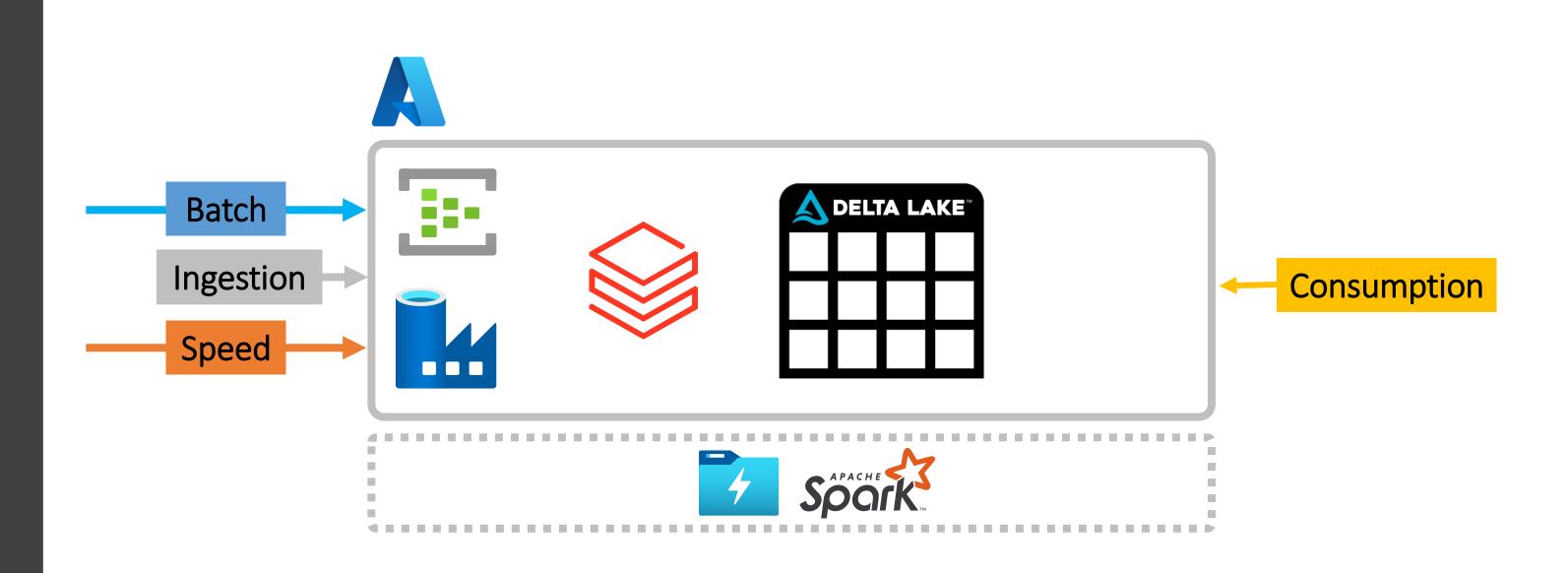






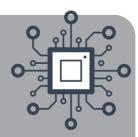
### Lambda & <u>Kappa</u> Architectures

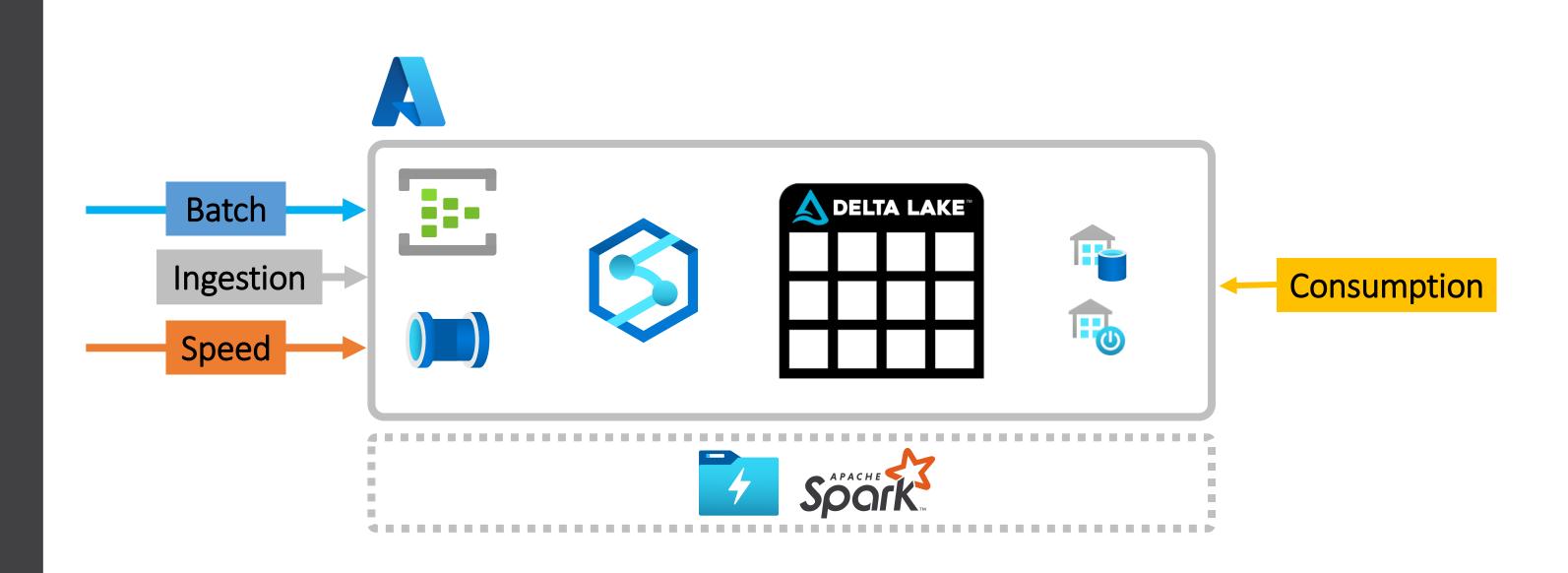






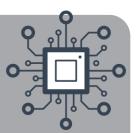
### Lambda & <u>Kappa</u> Architectures





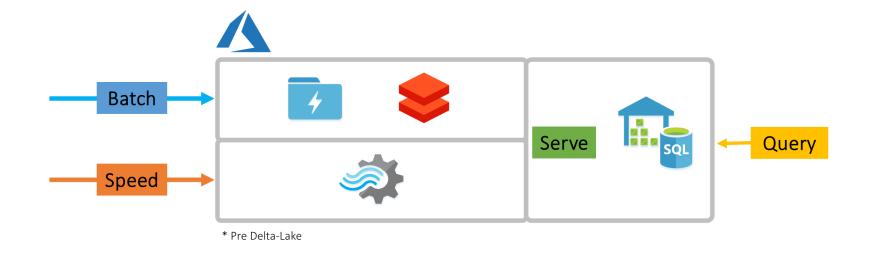


### Delta Lake in the Context of Lambda & Kappa

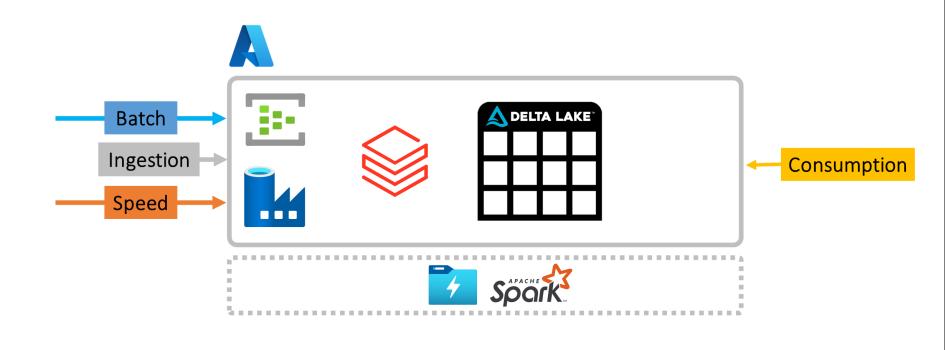


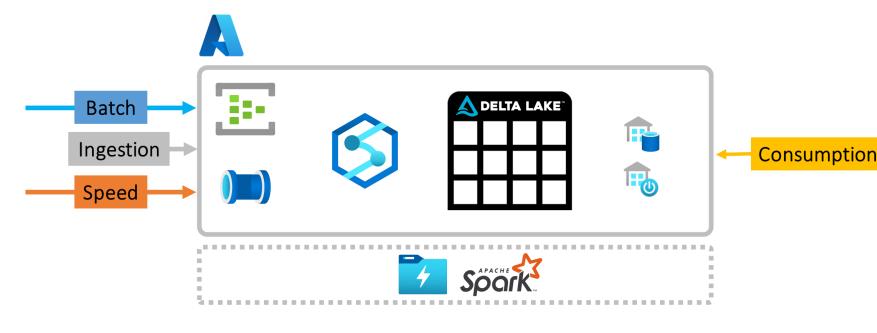




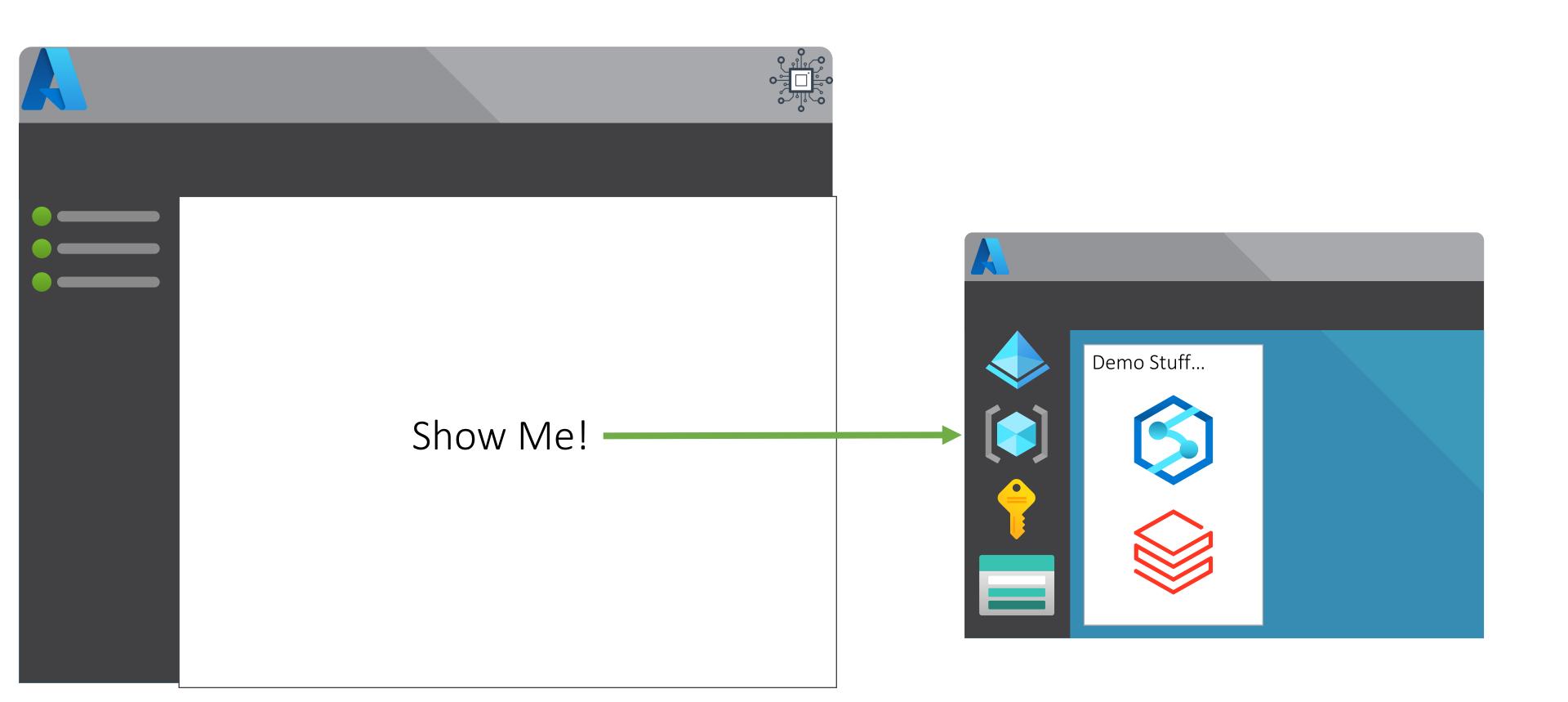


#### Kappa



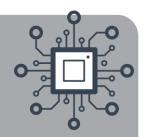


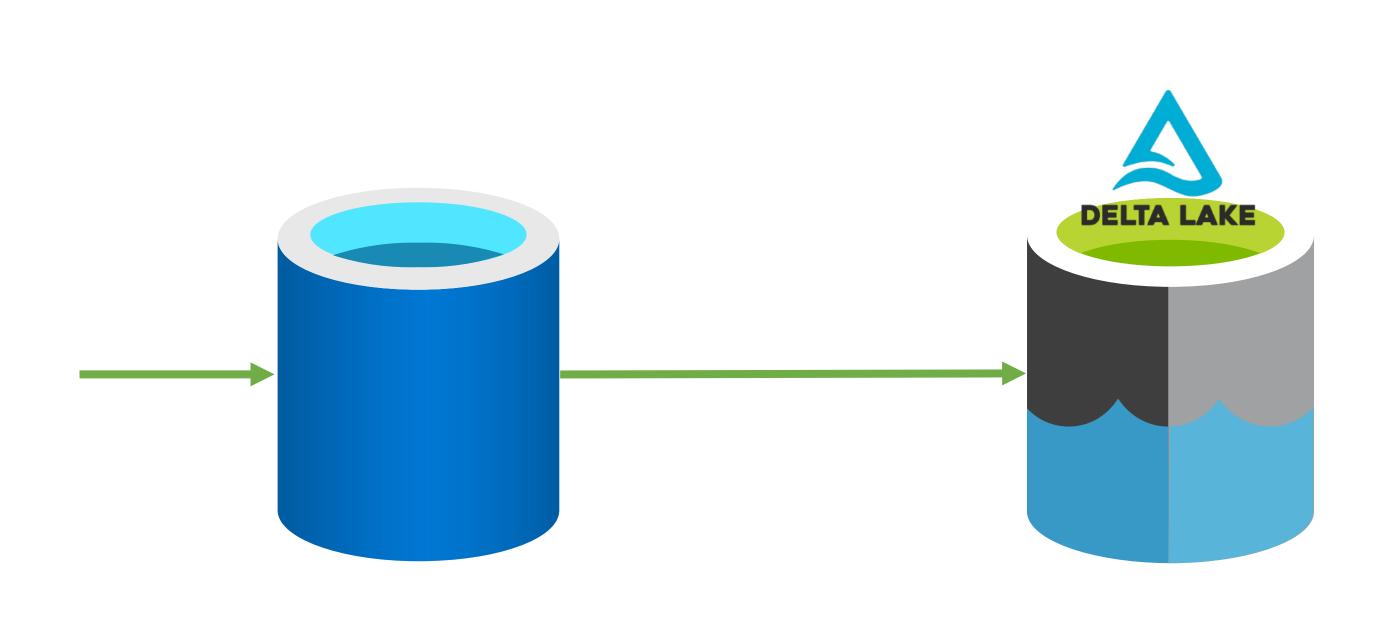
### Part 2.0 — Practice





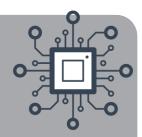
### Consuming Our Lake House

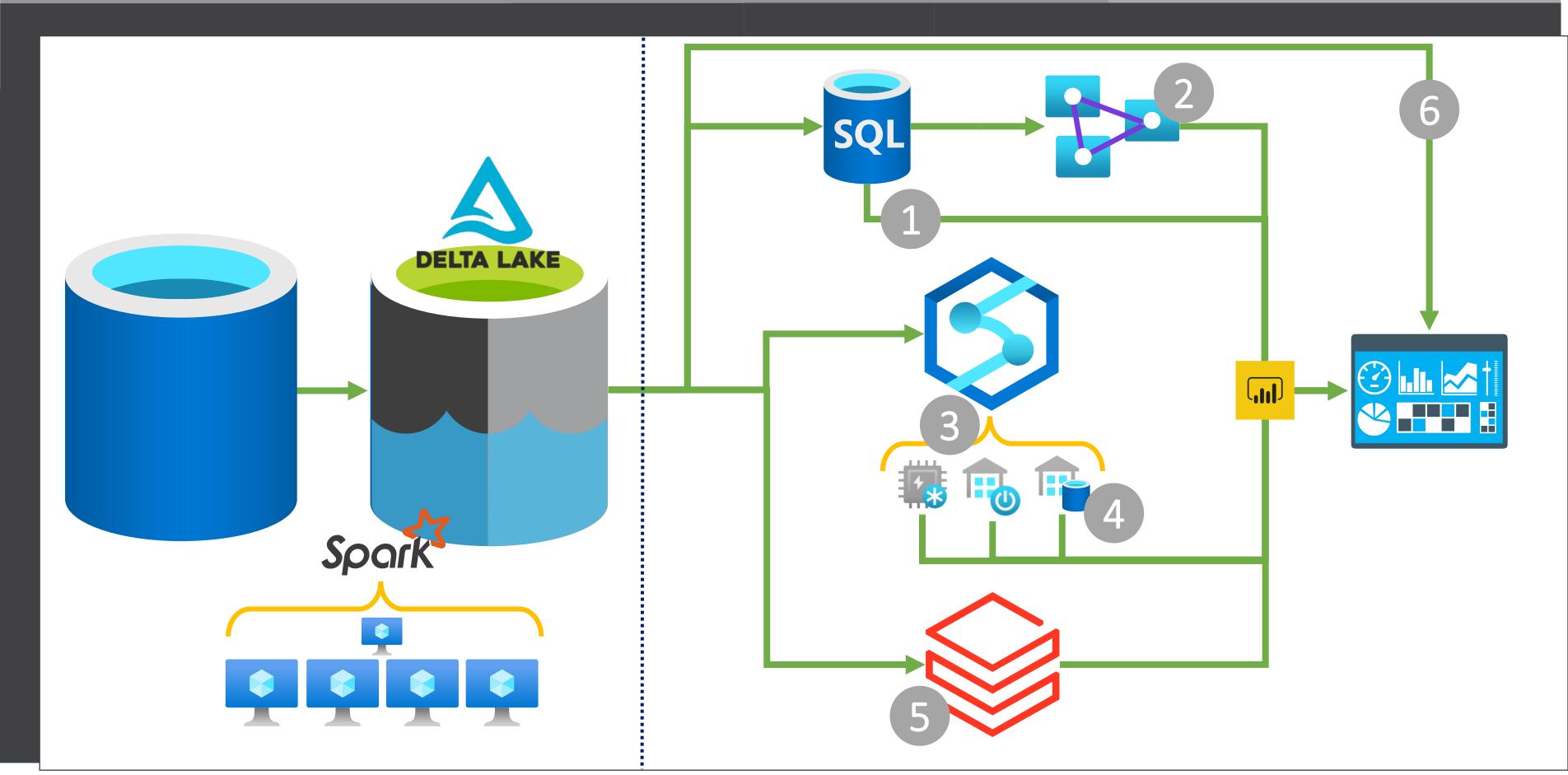




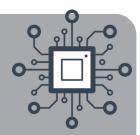


### Consuming Our Lake House in Azure









Diving Into Delta Lake: Unpacking The Transaction Log

https://databricks.com/blog/2019/08/21/diving-into-delta-lake-unpacking-the-transaction-log.html

Query Delta Lake files (preview) using serverless SQL pool in Azure Synapse Analytics

https://docs.microsoft.com/en-us/azure/synapse-analytics/sql/query-delta-lake-format

#### **Delta Lake Cheat Sheet**

https://github.com/delta-io/delta/blob/master/examples/cheat\_sheet/delta\_lake\_cheat\_sheet.pdf

How Interchangeable Are Delta Tables Between Azure Databricks and Azure Synapse Analytics?

https://mrpaulandrew.com/2021/01/21/how-interchangeable-are-delta-tables-between-azure-databricks-and-azure-synapse-analytics/

#### Lambda & Kappa Architecture with Azure Databricks

https://nileshprajapati.net/blog/2020/lambda-and-kappa-architecture-with-databricks/

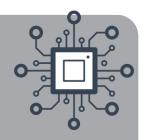
#### Big Data Architectures

https://docs.microsoft.com/en-us/azure/architecture/data-guide/big-data/

#### Simon Whitley on YouTube

https://www.youtube.com/channel/UCmRI-X6XoeH2dQE4BShRU9Q





# Thank you for listening...

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