

VARIATION OF THE HOLOMORPHIC DETERMINANT BUNDLE

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ABSTRACT. In this paper, we prove that the Grothendieck-Riemann-Roch formula in Deligne cohomology computing the determinant of the cohomology of a holomorphic vector bundle on the fibers of a proper submersion between abstract complex manifolds is invariant by deformation of the bundle.

1. INTRODUCTION

The Grothendieck-Riemann-Roch theorem is one of the cornerstones of modern algebraic geometry, and can be stated in its initial form as follows:

Theorem 1.1. [7] *For any smooth quasi-projective variety X over a field of characteristic zero, the morphism $\mathcal{F} \rightarrow \mathrm{ch}(\mathcal{F}) \mathrm{Td}(X)$ from the Grothendieck group $K(X)$ of coherent sheaves on X to the Chow ring $\mathrm{CH}(X)$ of X commutes with proper push-forward.*

Since Serre's fundamental papers on coherent sheaves [17] [16], it has become natural and useful to translate results from algebraic to analytic geometry. Concerning the Grothendieck-Riemann-Roch theorem, this has been the object of many researches from early sixties till eighties, starting with the case of analytic immersions [1] and pursuing with the index theorem for vector bundles and coherent analytic sheaves (see [2], [14], [21], [22]). The outcome of these works is O'Brian-Toledo-Tong's proof of the Grothendieck-Riemann-Roch theorem in Hodge cohomology for arbitrary proper holomorphic maps between complex manifolds [13]. By completely different methods, Levy [12] succeeded in proving the analogous statement in De Rham cohomology, where the Chern classes are constructed by means of locally-free resolutions in the category of real-analytic coherent sheaves.

From the middle of the eighties, some new ideas about the Grothendieck-Riemann-Roch theorem emerged after the seminal article of Quillen [15] introducing canonical hermitian metrics on determinant bundles associated with the cohomology of a vector bundle on the fibers of a holomorphic submersion (see [18, Chap. VI]). Building on initial results in the case of families of curves (see [15], [4], [8]), Bismut, Gillet and Soulé [5] proved that, for locally Kähler fibrations, the curvature of this determinant bundle is exactly given by the component of degree two of the Grothendieck-Riemann-Roch theorem at the level of differential forms. This theorem has been extended to all degrees in [6] provided that the higher direct images of the bundle are locally free. Quite recently, Bismut succeeded in removing the Kählerianity assumption on the morphism and obtained the following result:

Theorem 1.2. [3] *For any proper holomorphic submersion $f: X \rightarrow Y$ between complex manifolds and for any holomorphic vector bundle \mathcal{E} on X such that the sheaves $R^i f_* \mathcal{E}$ are locally*

free on Y , the Grothendieck-Riemann-Roch equality for the couple (\mathcal{E}, f) holds in the Bott-Chern cohomology ring of Y .

On abstract complex manifolds, the finest known cohomology theory where Chern classes exist for holomorphic vector bundles is Deligne-Beilinson cohomology. The ultimate goal of our program would be to prove the Grothendieck-Riemann-Roch theorem in this cohomology. The statement does not immediately make sense even for holomorphic vector bundles, because Chern classes must be defined for the direct images sheaves $R^i f_* \mathcal{E}$. The problem of defining Chern classes of coherent sheaves in Deligne cohomology is solved on compact manifolds in [10]. The corresponding Grothendieck-Riemann-Roch theorem is proved only for projective morphisms between complex compact manifolds.

In this paper, we focus only on the component of degree two on the base of the Grothendieck-Riemann-Roch theorem in Deligne cohomology for holomorphic vector bundles. Our main result describes completely the variation of the determinant bundle:

Theorem 1.3. *Let $f: X \rightarrow Y$ be a proper holomorphic submersion between complex manifolds X, Y and let $(\mathcal{E}_t)_{t \in \Delta}$ be a holomorphic family of holomorphic vector bundles on X parameterized by the complex unit disc Δ . Then there exists a unique analytic curve α from Δ to $\text{Pic}^0(Y)$ vanishing at 0 such that for any t in Δ ,*

$$c_1^D(\alpha(t)) = [f_* \{[\text{ch}^D(\mathcal{E}_t) - \text{ch}^D(\mathcal{E}_0)] \text{td}^D(T_{X/Y})\}]^{(2)}$$

in the rational Deligne cohomology group $H_D^2(Y, \mathbb{Q}(1))$. Besides, for any s and t in Δ ,

$$c_1^D[\det Rf_* \mathcal{E}_s] - c_1^D[\det Rf_* \mathcal{E}_t] = \alpha(s) - \alpha(t)$$

in $\text{Pic}(Y)$. In particular, the class $c_1^D[\det Rf_ \mathcal{E}_t] - [f_* \{\text{ch}^D(\mathcal{E}_t) \text{td}^D(T_{X/Y})\}]^{(2)}$ in $H_D^2(Y, \mathbb{Q}(1))$ is independent of t .*

Even if $\text{Pic}(Y)$ is not a complex manifold, it is possible to give a precise definition of an analytic curve with values in $\text{Pic}(Y)$ that matches with the usual one when the image of $H^1(Y, \mathbb{Z}_Y)$ is discrete in $H^1(Y, \mathcal{O}_Y)$.

On the one hand, Theorem 1.3 is motivated by Teleman's program on the classification of class VII surfaces (see [19], [20]). On the other hand, it is a significant step towards the general Grothendieck-Riemann-Roch theorem in Deligne cohomology, at least in degree two. For instance, we have the following result:

Theorem 1.4. *Let Y and F be complex manifolds such that F is compact, and let $p: Y \times F \rightarrow Y$ be the first projection. Then, for any \mathcal{L} in $\text{Pic}^0(Y \times F)$*

$$c_1^D[\det Rp_* \mathcal{L}] = [p_* \{\text{ch}^D(\mathcal{L}) \text{td}^D(T_{Y \times F/Y})\}]^{(2)}$$

in the rational Deligne cohomology group $H_D^2(Y, \mathbb{Q}(1))$.

The paper is organized as follows: in §2, we recall the theory of determinants for coherent analytic sheaves (see [11] and [5, §3]) and we prove in Proposition 2.4 a base change formula for determinant bundles. We also prove a folklore result (Lemma 2.2) saying that the first

Chern class of a coherent sheaf in Hodge cohomology is the same as the first Chern class of its determinant. In §3, we recall the basics of Deligne cohomology (see [9] and [23, Chap. 12]) and Lemma 3.1 is the main ingredient of the proof of Theorem 1.3. Then we discuss analytic curves with values in the Picard group of a complex manifold. Lastly, §4 is devoted to the proofs of Theorem 1.3 and Theorem 1.4.

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2. HOLOMORPHIC DETERMINANT BUNDLES

Let \mathcal{F} be a coherent analytic sheaf on a connected complex manifold X . The determinant of \mathcal{F} , denoted by $\det \mathcal{F}$, is a holomorphic line bundle on X defined as follows:

- If \mathcal{F} is torsion-free, there exists a Zariski-open subset U of X such that \mathcal{F} is locally free on U and $X \setminus U$ has codimension at least two in X . Then the top exterior power of $\mathcal{F}|_U$ is a holomorphic line bundle on U , which extends uniquely to a line bundle $\det \mathcal{F}$ on X .
- If \mathcal{F} is a torsion sheaf, let Z be the maximal closed hypersurface contained in $\text{supp } \mathcal{F}$, and let $(Z_i)_{i \in I}$ be the irreducible components of Z . For any index i , if \mathcal{I}_{Z_i} denotes the ideal sheaf of Z_i , let m_i be the smallest integer m such that $\mathcal{I}_{Z_i}^m \mathcal{F}$ vanishes generically on Z_i . Then $\det \mathcal{F} = \bigotimes_i \mathcal{O}_X(m_i Z_i)$.
- If \mathcal{F} is arbitrary and $\mathcal{F}_{\text{tors}}$ is its maximal torsion subsheaf, then $\mathcal{F}/\mathcal{F}_{\text{tors}}$ is torsion-free and $\det \mathcal{F} = \det(\mathcal{F}/\mathcal{F}_{\text{tors}}) \otimes \det \mathcal{F}_{\text{tors}}$.

The main property of determinants is the following: for any bounded complex \mathcal{K}^\bullet of coherent sheaves on X , using additive notation for line bundles, we have a canonical isomorphism

$$\sum_i (-1)^i \det \mathcal{K}^i \simeq \sum_i (-1)^i \det \mathcal{H}^i(\mathcal{K}^\bullet).$$

For any bounded complex \mathcal{K}^\bullet on X with coherent cohomology, the determinant of \mathcal{K}^\bullet is defined by the formula $\det \mathcal{K}^\bullet = \sum_i (-1)^i \det \mathcal{H}^i(\mathcal{K}^\bullet)$. Two quasi-isomorphic bounded complexes with coherent cohomology have canonically isomorphic determinants.

Lemma 2.1. *Let $\varphi: X \rightarrow Y$ be a holomorphic map between connected complex manifolds. Then for any bounded complex \mathcal{K}^\bullet of analytic sheaves on Y with coherent cohomology, if $\mathbb{L}\varphi^*$ denotes the derived pullback by φ , then $\varphi^* \det \mathcal{K}^\bullet \simeq \det(\mathbb{L}\varphi^* \mathcal{K}^\bullet)$.*

Proof. By dévissage, we are reduced to prove the lemma when \mathcal{K}^\bullet is a single coherent sheaf in degree zero. For any Stein subset U of Y , let \mathcal{E}^\bullet be a locally free resolution of $\mathcal{K}|_U$. Then we have canonical isomorphisms

$$\varphi^* \det \mathcal{K}|_U \simeq \varphi^* \left[\sum_i (-1)^i \det(\mathcal{E}^i) \right] = \sum_i (-1)^i \det(\varphi^* \mathcal{E}^i) = \det(\mathbb{L}\varphi^* \mathcal{K}|_U)$$

which can be glued together to give a global isomorphism on X between $\varphi^* \det \mathcal{K}$ and $\det(\mathbb{L}\varphi^* \mathcal{K})$. \square

For any coherent sheaf \mathcal{F} on X , we denote by $c_i^H(\mathcal{F})$ the Chern classes of \mathcal{F} in $H^i(X, \Omega_X^i)$ and by $\text{ch}^H(\mathcal{F})$ its Chern character in the total Hodge cohomology ring of X .

Lemma 2.2. *For any complex manifold X and any coherent analytic sheaf \mathcal{F} on X , we have $c_1^H(\mathcal{F}) = c_1^H(\det \mathcal{F})$ in $H^1(X, \Omega_X^1)$.*

Proof. We can assume that \mathcal{F} is either a torsion sheaf or a torsion-free sheaf. Besides, it is enough to prove that $c_1^H(\mathcal{F}|_U) = c_1^H(\det \mathcal{F}|_U)$ where U is a Zariski open subset of X such that $\text{codim}_X(X \setminus U) \geq 2$. Therefore, we have to deal with two different cases:

– First case: the sheaf \mathcal{F} is a torsion sheaf whose support is a smooth hypersurface. By dévissage, it is possible to assume without loss of generality that $\mathcal{J}_Z \mathcal{F} = 0$, so that $\det \mathcal{F} = \mathcal{O}_X(Z)$. Then, using the Grothendieck-Riemann-Roch theorem in Hodge cohomology for immersions [13], we get $c_1^H(\mathcal{F}) = [Z]_H = c_1^H(\mathcal{O}_X(Z)) = c_1^H(\det \mathcal{F})$.

– Second case: the sheaf \mathcal{F} is locally free. Then we know that $c_1^H(\mathcal{F}) = c_1^H(\det \mathcal{F})$. \square

Let $f: X \rightarrow Y$ be a proper holomorphic submersion between two connected complex manifolds X and Y , and let \mathcal{E} be a locally free sheaf on X . By Grauert-Riemenschneider's theorem, the bounded complex $Rf_* \mathcal{E}$ has coherent cohomology.

Definition 2.3. The determinant of the cohomology $\lambda(\mathcal{E}, f)$ attached to the couple (\mathcal{E}, f) is the class of $\det(Rf_* \mathcal{E})$ in $\text{Pic}(Y)$.

We now state and prove a base change theorem for the determinant of the cohomology. Let T be a complex manifold and $u: T \rightarrow Y$ be a closed immersion, and consider the cartesian diagram

$$\begin{array}{ccc} Z & \xrightarrow{v} & X \\ g \downarrow & \square & \downarrow f \\ T & \xrightarrow{u} & Y \end{array}$$

Proposition 2.4. *For any vector bundle \mathcal{E} on X , $u^* \lambda(\mathcal{E}, f) = \lambda(v^* \mathcal{E}, g)$ in $\text{Pic}(T)$.*

Proof. In the bounded derived category of Y , by using the projection formula twice, we have

$$\begin{aligned} Rf_* \mathcal{E} \otimes_{\mathcal{O}_Y} u_* \mathcal{O}_T &\simeq Rf_* (\mathcal{E} \otimes_{\mathcal{O}_X} f^* u_* \mathcal{O}_T) \simeq Rf_* (\mathcal{E} \otimes_{\mathcal{O}_X} v_* g^* \mathcal{O}_T) \simeq Rf_* Rv_* (v^* \mathcal{E} \otimes_{\mathcal{O}_Z} g^* \mathcal{O}_T) \\ &\simeq Ru_* Rg_* (v^* \mathcal{E} \otimes_{\mathcal{O}_Z} g^* \mathcal{O}_T) \simeq u_* [Rg_* (v^* \mathcal{E})]. \end{aligned}$$

This proves that for any integer i

$$\mathcal{H}^i(\mathbb{L}u^* Rf_* \mathcal{E}) \simeq R^i g_* (v^* \mathcal{E}).$$

Then we can conclude using Lemma 2.1. \square

3. DELIGNE COHOMOLOGY

For any complex manifold X and any nonnegative integer p , the Deligne complex $\mathbb{Z}_{D,X}(p)$ is the complex

$$\mathbb{Z}_X \xrightarrow{(2i\pi)^p} \mathcal{O}_X \xrightarrow{\partial} \Omega_X^1 \xrightarrow{\partial} \dots \xrightarrow{\partial} \Omega_X^{p-1},$$

where the sheaf \mathbb{Z}_X sits in degree zero. The integral Deligne cohomology groups of X are defined by the formula $H_D^k(X, \mathbb{Z}(p)) = \mathbb{H}^k(X, \mathbb{Z}_{D,X}(p))$. Similar definitions hold for the rational Deligne complex $\mathbb{Q}_{D,X}(p)$ as well as for the rational Deligne cohomology groups $H_D^k(X, \mathbb{Q}(p))$.

For any locally-free sheaf \mathcal{E} on X , we will denote by $c_i^D(\mathcal{E})$ the rational Chern classes of \mathcal{E} in $H_D^{2i}(X, \mathbb{Q}(i))$, and by $\text{ch}^D(\mathcal{E})$ the Chern character of \mathcal{E} . Recall that $H_D^2(X, \mathbb{Z}(1))$ is the Picard group of X , and that the kernel of the first Chern class morphism

$$(1) \quad c_1^D: \text{Pic}(X) \simeq H_D^2(X, \mathbb{Z}(1)) \rightarrow H_D^2(X, \mathbb{Q}(1))$$

is exactly the maximal torsion subgroup of $\text{Pic}(X)$.

There is a natural cup-product in Deligne cohomology (cf [9]). Besides, for any nonnegative integer p , the morphism $\partial: \Omega_X^{p-1} \rightarrow \Omega_X^p$ induces a morphism from $\mathbb{Z}_{D,X}(p)$ to $\Omega_X^p[-p]$. Hence for any nonnegative integer k , we obtain a map from $H_D^{k+p}(X, \mathbb{Z}(p))$ to $H^k(X, \Omega_X^p)$ which is compatible with cup-products on both sides.

We now give the key lemma of the proof of Theorem 1.3.

Lemma 3.1. *Let Δ be the complex unit disc, let X be a complex manifold and let α be a class in $H_D^2(X \times \Delta, \mathbb{Q}(1))$ whose image in $H^1(X \times \Delta, \Omega_{X \times \Delta}^1)$ vanishes. Then there exists a class β in $H_D^2(X, \mathbb{Q}(1))$ such that $\alpha = \text{pr}_1^* \beta$.*

Proof. Let δ be the morphism obtained by the composition

$$\mathbb{Q}_{D, X \times \Delta}(1) \rightarrow \Omega_{X \times \Delta}^1[-1] \rightarrow \mathcal{O}_X \boxtimes \Omega_\Delta^1[-1].$$

Then we have an exact sequence

$$0 \rightarrow \text{pr}_1^{-1} \mathbb{Q}_{D, X}(1) \rightarrow \mathbb{Q}_{D, X \times \Delta}(1) \xrightarrow{\delta} \mathcal{O}_X \boxtimes \Omega_\Delta^1[-1] \rightarrow 0$$

which yields the long exact sequence

$$H_D^2(X, \mathbb{Q}(1)) \xrightarrow{\text{pr}_1^*} H_D^2(X \times \Delta, \mathbb{Q}(1)) \xrightarrow{\delta} H^1(X \times \Delta, \mathcal{O}_X \boxtimes \Omega_\Delta^1).$$

The lemma follows. \square

To end this section, we discuss the notion of analytic curve in the Picard group of a complex manifold.

For an arbitrary X , the group $\text{Pic}^0(X) = H^1(X, \mathcal{O}_X)/H^1(X, \mathbb{Z}_X)$ is generally not a complex manifold since $H^1(X, \mathbb{Z}_X)$ is not always discrete in $H^1(X, \mathcal{O}_X)$. However, we can give the following definition:

Definition 3.2. For any complex manifold X , a curve $\gamma: \Delta \rightarrow \text{Pic}(X)$ is analytic if the curve $t \rightarrow \gamma(t) - \gamma(0)$ can be lifted to a holomorphic map with values in $H^1(X, \mathcal{O}_X)$.

Then we have:

Lemma 3.3. (i) *If $\gamma: \Delta \rightarrow \text{Pic}(X)$ is an analytic curve, then γ is entirely determined by $\gamma(0)$ and by the curve $c_1^D \circ \gamma$ from Δ to $H_D^2(X, \mathbb{Q}(1))$, where c_1^D is given by (1).*

(ii) *For any class α in $\text{Pic}(X \times \Delta)$ the curve $\gamma: \Delta \rightarrow \text{Pic}(X)$ defined by $\gamma(t) = \alpha|_{X \times \{t\}}$ is analytic.*

Proof. (i) Assume that γ is analytic, that $\gamma(0) = 0$ and that for any t in Δ , the image of $\gamma(t)$ in $H_D^2(X, \mathbb{Q}(1))$ vanishes. If $\tilde{\gamma}$ is a lift of γ from Δ to $H^1(X, \mathcal{O}_X)$ such that $\tilde{\gamma}(0) = 0$, then $\tilde{\gamma}(\Delta)$ lies in the image of $H^1(X, \mathbb{Q}_X)$ in $H^1(X, \mathcal{O}_X)$. Therefore $\tilde{\gamma}$ vanishes since it has countable image.

(ii) Let $(U_i)_{i \in I}$ be a Stein cover of X such that all finite intersections of the U_i 's are contractible. Then α can be written as a Čech cohomology class in $H_D^2(X \times \Delta, \mathbb{Z}(1))$ for the covering $(U_i \times \Delta)_{i \in I}$. This means that we can find a one-cochain $u_{ij}(z, t)$ with values in $\mathcal{O}_{X \times \Delta}$ and a two-cochain $c_{ijk}(z, t)$ with values in $\mathbb{Z}_{X \times \Delta}$ such that α is represented by the couple (u_{ij}, c_{ijk}) . Then we have $u_{ij} + u_{jk} + u_{ki} = c_{ijk}$ on $U_i \cap U_j \cap U_k$. Since $c_{ijk}(z, t) = c_{ijk}(z, 0)$, we get that for any t in Δ , $\alpha|_{X \times t} - \alpha|_{X \times 0}$ is represented by the couple $(u_{ij}(z, t) - u_{ij}(z, 0), 0)$. The one cochain $(u_{ij}(z, t) - u_{ij}(z, 0))$ is a one-cocycle with values in \mathcal{O}_X depending holomorphically on the variable t . This proves the result. \square

4. PROOF OF THE THEOREMS

Proof of Theorem 1.3

Let us consider the line bundle $\lambda(\mathcal{E}, f \times \text{id})$ on $Y \times \Delta$. By Proposition 2.4, its restriction to a slice $Y \times \{t\}$ is $\lambda(\mathcal{E}_t, f)$. Using Lemma 3.3, we obtain that the curve $t \rightarrow \lambda(\mathcal{E}_t, f)$ is analytic.

Let us now consider the class α in $H_D^2(Y \times \Delta, \mathbb{Q}(1))$ defined by

$$\alpha = c_1^D(\lambda(\mathcal{E}, f \times \text{id})) - [(f \times \text{id})_*(\text{ch}^D(\mathcal{E}) \text{td}^D(T_{X \times \Delta/Y \times \Delta}))]^{(2)}.$$

By Lemma 2.2,

$$c_1^H(\lambda(\mathcal{E}, f \times \text{id})) = \sum_p (-1)^p c_1^H[R^p(f \times \text{id})_* \mathcal{E}]$$

in $H^1(Y \times \Delta, \Omega_{Y \times \Delta}^1)$. Therefore, thanks to the Grothendieck-Riemann-Roch theorem in Hodge cohomology [13], α maps to zero in $H^1(Y \times \Delta, \Omega_{Y \times \Delta}^1)$. By Lemma 3.1, we obtain that for all t in Δ , $\alpha|_{Y \times \{t\}} = \alpha|_{Y \times \{0\}}$ in $H_D^2(Y, \mathbb{Q}(1))$.

It remains to compute $\alpha|_{Y \times \{t\}}$. If we denote by $[\cdot]_D$ the Deligne cohomology class of an analytic cycle, for any class β in the rational Deligne cohomology ring of $X \times \Delta$, we have

$$\begin{aligned} \{(f \times \text{id})_* \beta\}_{|Y \times \{t\}} &= \text{pr}_{1*} \{(f \times \text{id})_* \beta \cdot [Y \times \{t\}]_D\} \\ &= \text{pr}_{1*} (f \times \text{id})_* \{\beta \cdot (f \times \text{id})^* [Y \times \{t\}]_D\} \\ &= f_* \text{pr}_{1*} \{\beta \cdot [X \times \{t\}]_D\} \\ &= f_*(\beta|_{X \times \{t\}}). \end{aligned}$$

Therefore we get $\alpha|_{Y \times \{t\}} = c_1^D(\lambda(\mathcal{E}_t, f)) - [f_* \{\text{ch}^D(\mathcal{E}_t) \text{td}^D(T_{X/Y})\}]^{(2)}$. Remark now that for all t in Δ ,

$$c_1^D(\lambda(\mathcal{E}_t, f)) - c_1^D(\lambda(\mathcal{E}_0, f)) = [f_* \{[\text{ch}^D(\mathcal{E}_t) - \text{ch}^D(\mathcal{E}_0)] \text{td}^D(T_{X/Y})\}]^{(2)}.$$

Thus the curve $t \rightarrow [f_* \{[\text{ch}^D(\mathcal{E}_t) - \text{ch}^D(\mathcal{E}_0)] \text{td}^D(T_{X/Y})\}]^{(2)}$ in $H_D^2(Y, \mathbb{Q}(1))$ can be lifted to the analytic curve $\alpha: t \rightarrow \lambda(\mathcal{E}_t, f) - \lambda(\mathcal{E}_0, f)$ in $\text{Pic}^0(Y)$. This finishes the proof. \square

Proof of Theorem 1.4

It is a direct consequence of Theorem 1.3. Indeed, since \mathcal{L} lies in $\text{Pic}^0(Y \times F)$, there exists a holomorphic family of holomorphic line bundles joining $\mathcal{O}_{Y \times F}$ to \mathcal{L} . Thanks to Theorem 1.3, we are reduced to the case $\mathcal{L} = \mathcal{O}_{Y \times F}$. In this case, Theorem 1.4 is straightforward since

$$\det \text{Rp}_*(\mathcal{O}_{Y \times F}) \simeq \det [\mathcal{O}_Y \otimes_{\mathbb{C}_Y}^{\mathbb{L}} \text{R}\Gamma(F, \mathcal{O}_F)] \simeq \mathcal{O}_Y$$

and $p_*[\text{td}^D(\text{T}_{Y \times F/Y})] = p_*[1 \boxtimes \text{td}^D(F)]$ is concentrated in degree zero.

□

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