# On diregular digraphs with degree two and excess three

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# Abstract

Moore digraphs, that is digraphs with out-degree d, diameter k and order equal to the Moore bound  $M(d,k) = 1 + d + d^2 + \ldots + d^k$ , arise in the study of optimal network topologies. In an attempt to find digraphs with a 'Moore-like' structure, attention has recently been devoted to the study of small digraphs with minimum out-degree d such that between any pair of vertices u, v there is at most one directed path of length  $\leq k$  from u to v; such a digraph has order  $M(d,k) + \epsilon$  for some small excess  $\epsilon$ . Sillasen et al. have shown that there are no digraphs with out-degree two and excess one [26, 23]. The present author has classified all digraphs with out-degree two and excess two [27, 28]. In this paper it is proven that there are no diregular digraphs with out-degree two and excess three for  $k \geq 3$ , thereby providing the first classification of digraphs with order three away from the Moore bound for a fixed out-degree.

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# 1. Introduction

The undirected degree/diameter problem asks for the largest possible order of a graph G with given maximum degree d and diameter k. This problem has applications in the design of efficient networks. A natural upper bound on the order of such a graph is

$$|V(G)| \le 1 + d + d(d-1) + d(d-1)^2 + \ldots + d(d-1)^{k-1},$$

where the right-hand side of the inequality is the (undirected) Moore bound. A graph is Moore if it attains this upper bound. A graph is Moore if and only if it is regular with degree d, has diameter k and girth 2k+1. The girth condition implies that a Moore graph is k-geodetic, i.e. any two vertices are connected by at most one path of length not exceeding k. In the classic paper [18] Hoffman and Singleton show that for diameter k=2 the Moore bound is achieved only for degrees d=2,3,7 and possibly 57. The unique Moore graphs for k=2 and d=2,3 and 7 are the 5-cycle, the Petersen graph and the Hoffman-Singleton graph respectively. The existence of a Moore graph (or graphs) with diameter k=2 and degree d=57 is a famous open problem. It was later shown by other authors [11, 2] that for diameters  $k \geq 3$  Moore graphs exist only in the trivial case d=2.

Given the scarcity of Moore graphs, it is of great interest to find graphs with a 'Moore-like' structure. A survey of this problem is given in [22]. Graphs with maximum degree d, diameter k and order  $\delta$  less than the Moore bound for some small defect  $\delta$  have been studied intensively. In such graphs paths with length  $\leq k$  between pairs of vertices are not necessarily unique; associated with each vertex u is a repeat multiset R(u), such that  $v \in V(G)$  appears t times in R(u) if and

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only if there are t+1 distinct  $\leq k$ -paths between u and v. An important result in this direction is that the only graphs with defect one are cycles of length 2k [12, 3, 19].

Alternatively, one can preserve the k-geodecity condition and ask for the smallest d-regular graphs with girth 2k + 1. This is known as the degree/girth problem. A survey of this problem is given in [14]. A graph with minimal order subject to the above conditions is called a cage.

The directed version of the degree/diameter problem was posed in [7]. The Moore bound for a digraph with maximum out-degree d and diameter k is given by

$$M(d,k) = 1 + d + d^2 + \dots + d^k.$$

Similarly to the undirected case, a digraph is Moore if and only if it is out-regular with degree d, has diameter k and is k-geodetic, i.e. for any (ordered) pair of vertices u, v there is at most one directed path from u to v with length  $\leq k$ . Using spectral analysis, it was shown in [7] that Moore digraphs exist only in the trivial cases d=1 and k=1, the Moore digraphs being directed cycles of length k+1 and complete digraphs of order d+1 respectively.

There is an extensive literature on digraphs with maximum out-degree d, diameter k and order  $M(d,k)-\delta$  for small defects  $\delta$ . Such digraphs arise from removing the k-geodecity condition in the requirements for a digraph to be Moore. As in the undirected case, each vertex u is associated with a repeat multiset R(u), defined in the obvious manner. A digraph with defect  $\delta=1$  is an almost Moore digraph; for such a digraph, in place of a set-valued function R, we can think of a repeat function  $r:V(G)\to V(G)$ . In contrast to the undirected problem, for diameter k=2 there exists an almost Moore digraph for every value of d [15]. It is known that there are no almost Moore digraphs with d=2 and  $k\geq 3$  [20], d=3 and  $k\geq 3$  [5] or diameters k=3 and 4 [8, 9, 10]. It is also shown in [21] that there are no digraphs with degree d=2 and defect  $\delta=2$  for diameters  $k\geq 3$ .

Approaching the problem of approximating Moore digraphs from a different perspective, there are several different ways to adapt the undirected degree/girth problem to the directed case, as the connection between k-geodecity and the girth does not hold in the directed setting. The directed degree/girth problem, which concerns the minimisation of the order of out-regular digraphs with given girth, is well developed (see [24] for an introduction). A related problem is considered in [1]. However, the extremal digraphs considered in these problems are in general not k-geodetic; in fact, in the directed degree/girth problem, it is conjectured that extremal orders are achieved by circulant digraphs [6].

If we wish to retain the k-geodecity condition, but relax the requirement that the diameter should equal k, we obtain the following problem: What is the smallest possible order of a k-geodetic digraph with minimum degree d? A k-geodetic digraph G with minimum out-degree d and order  $M(d,k) + \epsilon$  is called a  $(d,k,+\epsilon)$ -digraph, where  $\epsilon > 0$  is the excess of G. With each vertex u of a  $(d,k,+\epsilon)$ -digraph we can associate the set  $O(u) = \{v \in V(G) : d(u,v) \geq k+1\}$  of vertices that cannot be reached by  $\leq k$ -paths from u; any element of this set is an outlier of u. It is known that (d,k,+1)-digraphs are out-regular with degree d [26]. For digraph G with excess  $\epsilon = 1$ , the set-valued function O can be construed as an outlier function o, where for each vertex u of G the outlier o(u) of u is the unique vertex of G with  $d(u,o(u)) \geq k+1$ . We will refer to a  $(d,k,+\epsilon)$ -digraph with smallest possible excess as a (d,k)-geodetic-cage.

The first paper to consider this problem was [26], in which Sillasen proves that there are no diregular (2, k, +1)-digraphs for  $k \geq 2$ . Strong conditions on non-diregular digraphs with excess one were also derived in this paper. These results were later strengthened [23] to show that any digraph with excess  $\epsilon = 1$  must be diregular, thereby completing the proof of the nonexistence of (2, k, +1)-digraphs. It is also known that (d, k, +1)-digraphs do not exist for k = 2 and d > 7 [23]

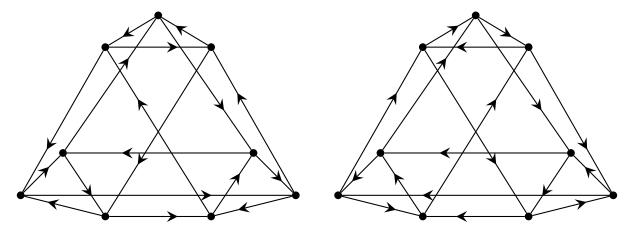


Figure 1: The two (2,2)-geodetic-cages

or k=3,4 for d>1. In [13] it is shown that for all d and k there exists a diregular k-geodetic digraph with degree d, so that geodetic cages exist for all values of d and k, and that for fixed k the Moore bound can be approached asymptotically by arc-transitive k-geodetic digraphs as  $d\to\infty$ . Some small k-geodetic digraphs are constructed in the same paper and lower bounds for particular values of d and k established.

In [27] the present author has proven that for  $k \geq 2$  any (2, k, +2)-digraphs must be diregular. Using an approach similar to that of [21] this analysis was completed in [28] by showing that there are no diregular (2, k, +2)-digraphs for  $k \geq 3$  and classifying the diregular (2, 2, +2)-digraphs up to isomorphism. There are exactly two (2, 2, +2)-digraphs, which are displayed in Figure 1; these represent the only known non-trivial geodetic cages. New results have allowed the method of [28] to be extended to excess  $\epsilon = 3$ . In this paper, we therefore present a complete classification of diregular (2, k, +3)-digraphs for  $k \geq 3$ .

#### 2. The Neighbourhood Lemma

Let us first establish our notation. G will stand for a diregular  $(d, k, +\epsilon)$ -digraph, i.e. a diregular digraph with degree d and order  $M(d, k) + \epsilon = 1 + d + \ldots + d^k + \epsilon$  that is k-geodetic, so that for all  $u, v \in V(G)$  if there is a path P from u to v of length  $\leq k$  then it is the unique such path. For vertices u, v we will write  $u \to v$  to indicate that there is an arc from u to v in G. The set of out-neighbours of a vertex u of G is  $N^+(u) = \{v \in V(G) : u \to v\}$ ; similarly  $N^-(u) = \{v \in V(G) : v \to u\}$  is the set of in-neighbours of u. More generally, for l > 0  $N^l(u)$  will stand for the set of vertices that are end-points of paths of length l with initial point u and  $N^{-l}(u)$  for the set of vertices that are the initial points of l-paths that terminate at u. Trivially  $N^0(u) = \{u\}$ ,  $N^1(u) = N^+(u)$  and  $N^{-1}(u) = N^-(u)$ . For  $0 \leq l \leq k$  the set of vertices that lie within a distance l from a vertex u will be denoted by  $T_l(u)$ ; hence  $T_l(u) = \bigcup_{l=0}^l N^i(u)$ . The set  $T_{k-1}(u)$  will be written as T(u) for short and will be indicated in diagrams by a triangle based at the vertex u. For each vertex u of G there are exactly  $\epsilon$  vertices that lie at distance l from l the set l will be defined as l the vertices of l and each element of l to be the multiset l when l is a set of vertices of l, then we define l is an outlier of l. We have l and l is a set of vertices of l, then we define l is an outlier of l. We have l and l is a set of vertices of l, then we define l is an outlier of l. We have l and l is a set of vertices of l, then we define l is an outlier of l is an outlier l and l is a set of vertices of l, then we define l is an outlier of l is an outlier l in l

For digraphs with order close to the Moore bound there is a useful interplay between the combinatorial notions of repeat and outlier and the symmetries of the digraph. For digraphs with defect  $\delta = 1$ , the repeat function r was shown to be a digraph automorphism in [4] by a counting argument.

This can also be proven by a short matrix argument [16]. In her thesis [25] Sillasen extended this result for almost Moore digraphs to digraphs with larger defects, showing that for any vertex u in a diregular digraph with defect  $\delta \geq 2$  the multiset equation  $N^+(R(u)) = R(N^+(u))$  holds. This relationship is known as the Neighbourhood Lemma.

In [26] Sillasen demonstrated that there is a strong analogy between the structure of almost Moore digraphs and digraphs with excess  $\epsilon = 1$  by proving, by an argument similar to that presented in [16], that the outlier function o of a diregular (d,k,+1)-digraph is an automorphism. We now complete this line of reasoning by showing that a Neighbourhood Lemma holds for digraphs with small excess  $\epsilon \geq 2$ .

**Lemma 1** (Neighbourhood Lemma). Let G be a diregular  $(d, k, +\epsilon)$ -digraph for any  $d, k \geq 2$  and  $\epsilon \geq 1$ . Then for any vertex u of G we have  $O(N^+(u)) = N^+(O(u))$  as multisets.

It is pleasing to regard the Neighbourhood Lemma for diregular digraphs with small excess as a limiting case of Lemmas 2 and 3 of [27] for non-diregular digraphs.

## 3. Main Result

For the remainder of this paper G will be a diregular (2, k, +3)-digraph for some  $k \geq 3$ . Geodetic cages for degree d=2 and k=2 have been found to have excess two [27, 28]; for completeness, we mention that there are (2,2,+3)-digraphs, both diregular and non-diregular. We will now complete the classification of diregular (2,k,+3)-digraphs by showing that for  $k \geq 3$  diregular  $(2,k,+\epsilon)$ -digraphs have excess  $\epsilon \geq 4$ . Our argument for k=3 is too lengthy to include here, so we will merely state the following Theorem.

**Theorem 1.** There are no diregular (2,3,+3)-digraphs.

As a diregular (2,3,+5)-digraph is constructed in [13], extremal diregular  $(2,3,+\epsilon)$ -digraphs have excess 4 or 5.

We employ the following labelling convention for vertices at distance  $\leq k$  from a vertex u of G. The out-neighbours of u will be labelled according to  $N^+(u) = \{u_1, u_2\}$  and vertices at a greater distance from u are labelled inductively as follows:  $N^+(u_1) = \{u_3, u_4\}, N^+(u_2) = \{u_5, u_6\}, N^+(u_3) = \{u_7, u_8\}$  and so on. Since the vertex  $u_{45}$  will play a part in our argument, the reader is urged to familiarise themselves with this scheme. See Figure 2 for an example.

A first step in previous studies [20, 28, 21] of digraphs with degree two and order close to the Moore bound has been to establish the existence of a pair of vertices with exactly one out-neighbour in common. The argument of [28] can be generalised to show that for degree two such a pair exists for any even excess  $\epsilon$ . For  $\epsilon = 3$ , we can establish the existence of the necessary pair as follows.

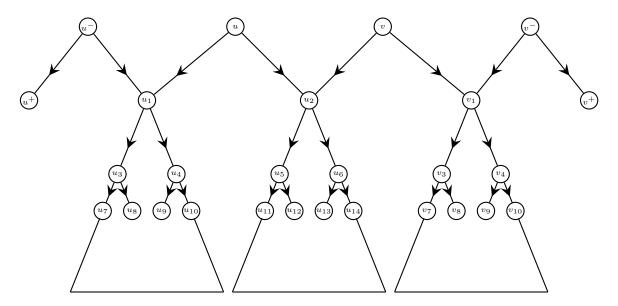


Figure 2: Configuration for  $k \geq 3$ 

**Theorem 2.** For  $k \geq 3$ , any diregular (2, k, +3)-digraph G contains a pair of vertices u, v with exactly one common out-neighbour.

Proof. Let G be a diregular (2, k, +3)-digraph without the required pair of vertices. Then all outneighbourhoods are either disjoint or identical. Then by Heuchenne's condition G is the line digraph of a digraph H with degree two [17]. H must be at least (k-1)-geodetic. As 2|V(H)| = |V(G)|, H must be a (2, k-1, +2)-digraph. Since the line digraphs of the (2, 2)-geodetic-cages are not 3-geodetic and there are no (2, k, +2)-digraphs for  $k \geq 3$  [28], we have a contradiction.

There is no guarantee that distinct vertices do not have identical out-neighbourhoods; witness the geodetic-cage on the left of Figure 1. However, we can say a great deal about the outlier sets of such vertices. The proof of the following lemma is practically identical to that of the corresponding result for  $\epsilon = 2$  in [28] and is omitted.

**Lemma 2.** Let z, z' be vertices of a  $(d, k, +\epsilon)$ -digraph H for some  $\epsilon \ge 1$ . If  $N^+(z) = N^+(z')$ , then there exists a set X of  $\epsilon - 1$  vertices of H such that  $O(z) = \{z'\} \cup X$ ,  $O(z') = \{z\} \cup X$ .

We now fix an arbitrary pair of vertices u, v of G with a unique out-neighbour in common. We will assume that  $u_2 = v_2$ , so that, following the vertex labelling convention established earlier, we have the situation shown in Figure 2. We will also write  $N^-(u_1) = \{u, u^-\}, N^-(v_1) = \{v, v^-\}, N^+(u^-) = \{u_1, u^+\}$  and  $N^+(v^-) = \{v_1, v^+\}$ . It is easily seen that  $u^- \neq v, v^- \neq u$ .

We can make some immediate deductions concerning the position of the vertices u, v and  $u_2$  in the diagram in Figure 2.

**Lemma 3.**  $v \in N^{k-1}(u_1) \cup O(u)$  and  $u \in N^{k-1}(v_1) \cup O(v)$ . If  $v \in O(u)$ , then  $u_2 \in O(u_1)$  and if  $u \in O(v)$ , then  $u_2 \in O(v_1)$ .

Proof. v cannot lie in T(u), or the vertex  $u_2$  would be repeated in  $T_k(u)$ . Also,  $v \notin T(u_2)$ , or there would be a  $\leq k$ -cycle through v. Therefore, if  $v \notin O(u)$ , then  $v \in N^{k-1}(u_1)$ . Likewise for the other result. If  $v \in O(u)$ , then neither in-neighbour of  $u_2$  lies in  $T(u_1)$ , so that  $u_2 \in O(u_1)$ .

The following lemma is the main tool in our analysis.

**Lemma 4** (Contraction Lemma). Let  $w \in T(v_1)$ , with  $d(v_1, w) = l$ . Suppose that  $w \in T(u_1)$ , with  $d(u_1, w) = m$ . Then either  $m \le l$  or  $w \in N^{k-1}(u_1)$ . A similar result holds for  $w \in T(u_1)$ .

Proof. Let w be as described and suppose that m > l. Consider the set  $N^{k-m}(w)$ . By construction,  $N^{k-m}(w) \subseteq N^k(u_1)$ , so by k-geodecity  $N^{k-m}(w) \cap T(u_1) = \varnothing$ . At the same time, we have  $l+k-m \le k-1$ , so  $N^{k-m}(w) \subseteq T(v_1)$ . This implies that  $N^{k-m}(w) \cap T(v_2) = N^{k-m}(w) \cap T(u_2) = \varnothing$ . As  $V(G) = \{u\} \cup T(u_1) \cup T(u_2) \cup O(u)$ , it follows that  $N^{k-m}(w) \subseteq \{u\} \cup O(u)$ . Therefore  $|N^{k-m}(w)| = 2^{k-m} \le 4$ , so either m = k-1 or m = k-2. Suppose that m = k-2; then  $N^2(w) = \{u\} \cup O(u)$ . Neither v nor  $v_1$  lies in  $N^2(w)$ , so that neither v nor  $v_1$  lies in O(u). By k-geodecity and Lemma 3,  $v \in N^{k-1}(u_1)$  and  $v_1 \in T(u_1)$ , so that  $v_1$  appears twice in  $T_k(u_1)$ . Thus m = k-1.

Corollary 1. If  $w \in T(v_1)$ , then either  $w \in \{u\} \cup O(u)$  or  $w \in T(u_1)$  with  $d(u_1, w) = k - 1$  or  $d(u_1, w) \leq d(v_1, w)$ .

This allows us to restrict the possible positions of  $u_1$  and  $v_1$  in Figure 2.

Corollary 2.  $v_1 \in N^{k-1}(u_1) \cup O(u)$  and  $u_1 \in N^{k-1}(v_1) \cup O(v)$ .

*Proof.* We prove the first inclusion. By Corollary 1,  $v_1 \in \{u\} \cup O(u) \cup \{u_1\} \cup N^{k-1}(u_1)$ . By k-geodecity  $v_1 \neq u$  and by construction  $v_1 \neq u_1$ .

Corollary 3. If  $v_1 \notin O(u)$ , then  $O(u) = \{v, v_3, v_4\}$ , with a similar result for v.

*Proof.* Similar to the corresponding result in [28].

**Lemma 5.** For  $k \geq 3$ , either  $v_1 \in O(u)$  or  $u_1 \in O(v)$ .

*Proof.* Suppose that  $O(u) = \{v, v_3, v_4\}, O(v) = \{u, u_3, u_4\}$ . By the Neighbourhood Lemma,

$$O({u_1, u_2}) = O(N^+(u)) = N^+(O(u)) = {u_2, v_1, v_7, v_8, v_9, v_{10}}$$

and

$$O({v_1, u_2}) = O(N^+(v)) = N^+(O(v)) = {u_2, u_1, u_7, u_8, u_9, u_{10}}.$$

By Corollary 2,  $v_1 \in N^{k-1}(u_1)$  and  $u_1 \in N^{k-1}(v_1)$ , so we must have  $u_1, v_1 \in O(u_2)$ . As  $O(u_2) \subset N^+(O(u))$ , it follows that  $u_1 \in N^2(v_1)$ , so  $k \leq 3$ . Now set k = 3. We can put  $u_9 = v_1, v_9 = u_1$ . As  $N^2(v_1) \cap O(u) = \emptyset$  and  $u \notin N^2(v_1)$ ,  $\{v_7, v_8, v_{10}\} = \{u_7, u_8, u_{10}\}$ .  $u_{10} \in N^2(v_1)$  implies that there are two distinct  $\leq 3$ -paths from  $u_4$  to  $u_{10}$ , contradicting 3-geodecity.

We will now identify an outlier of u and v using the Neighbourhood Lemma.

**Theorem 3.** For  $k \geq 3$ ,  $v_1 \in O(u)$  and  $u_1 \in O(v)$ .

Proof. Assume for a contradiction that  $O(v) = \{u, u_3, u_4\}$  and  $v_1 \in O(u)$ . Let  $k \geq 4$ . v can reach  $u_1$  by a  $\leq k$ -path, so by Corollary 2  $u_1 \in N^{k-1}(v_1)$ . Suppose that  $x \in (T_{k-2}(u_1) - \{u_1\}) \cap N^{k-1}(v_1)$  and write  $N^+(x) = \{x_1, x_2\}$ . Clearly  $x_1, x_2 \notin \{u, u_3, u_4\}$ , so  $x_1, x_2 \in T_k(v)$ . However, by k-geodecity  $x_1, x_2 \notin T(u_2) \cup T(v_1)$ , so we are forced to conclude that  $x_1 = x_2 = v$ , which is absurd. It follows from the Contraction Lemma that for any vertex  $w \in T_{k-2}(u_1) - \{u_1, u_3, u_4\}$  we have  $d(u_1, w) = d(v_1, w)$ . In particular,  $N^2(u_1) = N^2(v_1)$ . However, as  $u_1 \in N^{k-1}(v_1)$ , this implies the existence of a (k-1)-cycle through  $u_1$ .

Now set k=3. We can put  $v_9=u_1$ .  $N^2(u_1)\cap O(v)=\varnothing$ , so  $N^2(u_1)\subset \{v,v_3,v_4,v_7,v_8,v_{10}\}$ .  $v_4$  has paths of length 3 to every vertex in  $N^2(u_1)$ , so  $v_4,v_{10}\not\in N^2(u_1)$ , yielding  $N^2(u_1)=\{v,v_3,v_7,v_8\}$ . Without loss of generality,  $u_7=v_3$ .  $u_7\not\to u_8$ , so  $u_8=v$  and  $N^+(v_3)=N^+(u_7)=N^+(u_4)$ , which is impossible.

The next stage of our approach is to show that exactly one member of  $N^+(v_1)$  is also an outlier of u and similarly for v. This will be accomplished by analysing the possible positions of  $u_3, u_4, v_3, v_4$  in Figure 2. The possibilities are described in the following lemma.

**Lemma 6.** For  $k \ge 4$ ,  $\{u_3, u_4\} \subset \{v_3, v_4\} \cup O(v)$  and  $\{v_3, v_4\} \subset \{u_3, u_4\} \cup O(u)$ .

Proof. Let  $u_3 \notin N^+(v_1) \cup O(v)$ . By Corollary 1 and Theorem 3,  $u_3 \in N^{k-1}(v_1)$ . By k-geodecity,  $u_7, u_8 \notin T(u_2) \cup T(v_1)$ . Also for  $k \geq 4$  we cannot have  $v \in N^+(u_3)$ . Therefore  $O(v) = \{u_1, u_7, u_8\}$ . Hence v can reach  $u_4$  by a  $\leq k$ -path. We cannot have  $u_4 \in N^{k-1}(v_1)$ , or the same argument would imply that  $N^+(u_4) \subset O(v) = \{u_1, u_7, u_8\}$ . By Corollary 1 we can assume that  $u_4 = v_4$ . As  $u \notin O(v)$ ,  $u \in N^{k-1}(v_1)$ . Since  $u_4 = v_4$ , to avoid k-cycles we must conclude that  $u \in N^{k-2}(v_3)$ . Likewise  $u_3 \in N^{k-2}(v_3)$ . However, as there is a path  $u \to u_1 \to u_3$ ,  $v_3$  has a (k-2)-path and a k-path to  $u_3$ , which violates k-geodecity.

Firstly, we show using the Neighbourhood Lemma that O(u) does not contain both out-neighbours of  $v_1$  and vice versa.

**Lemma 7.** For  $k \ge 4$ ,  $N^+(u_1) \cap N^+(v_1) \ne \emptyset$ .

*Proof.* Suppose that  $\{u_3, u_4\}$  and  $\{v_3, v_4\}$  are disjoint. Then by Theorem 3 and Lemma 6 we have  $O(u) = \{v_1, v_3, v_4\}, O(v) = \{u_1, u_3, u_4\}$ . The Neighbourhood Lemma yields

$$N^+(O(v)) = \{u_3, u_4, u_7, u_8, u_9, u_{10}\} = O(v_1) \cup O(u_2).$$

Recall that  $N^-(u_1) = \{u^-, u\}, N^-(v_1) = \{v^-, v\}, N^+(u^-) = \{u_1, u^+\}, N^+(v^-) = \{v_1, v^+\}$ . Then as  $u_2 \neq u^+, v^+$ , it follows by Theorem 3 that  $u^+ \in O(u)$  and  $v^+ \in O(v)$ . If  $u^+ = v_1$ , then, as  $T(u_2) \cap (T(u_1) \cup T(v_1)) = \emptyset$ , examining  $T_k(u^-)$  we see that we would have  $T(u_2) \subseteq \{u^-\} \cup O(u^-)$ , so that  $M(2, k - 1) \leq 4$ , which is impossible. Without loss of generality,  $u^+ = v_3, v^+ = u_3$ . Then  $v_1$  and  $u^-$  have  $v_3$  as a unique common out-neighbour, so by Theorem 3

$$u_1 \in O(v_1) \subset \{u_3, u_4, u_7, u_8, u_9, u_{10}\},\$$

which contradicts k-geodecity.

It will now be demonstrated that u cannot reach both out-neighbours of  $v_1$  by  $\leq k$ -paths, so that O(u) contains exactly one out-neighbour of  $v_1$ , again with a similar result for v.

**Lemma 8.** For  $k \geq 4$ ,  $N^+(u_1) \neq N^+(v_1)$ .

Proof. Let  $N^+(u_1) = N^+(v_1) = \{u_3, u_4\}$ . If u can reach v by a  $\leq k$ -path, so that  $v \in N^{k-1}(u_1)$ , then there would be a k-cycle through v, so  $v \in O(u)$  and  $u \in O(v)$ . Hence by Lemmas 2 and 3, there exists a vertex x such that  $O(u_1) = \{v_1, u_2, x\}$  and  $O(v_1) = \{u_1, u_2, x\}$ . Since  $u_1, v_1 \notin T(u_2)$ ,  $u_3, u_4 \in O(u_2)$ . Applying Theorem 3 to the pairs  $(u, u^-)$  and (u, v), we see that  $u^+, v_1 \in O(u)$ . As  $N^+(u_1) = N^+(v_1)$ , we cannot have  $u^+ \in \{v, v_1\}$ . Therefore  $O(u) = \{v, v_1, u^+\}$  and similarly  $O(v) = \{u, u_1, v^+\}$ .

Suppose that  $u^+ = v^+$ . Then  $u^-$  and  $v^-$  have a single common out-neighbour, so that  $v_1 \in O(u^-)$ ,  $u_1 \in O(v^-)$ . Hence  $u_1 \in O(v) \cap O(v_1) \cap O(v^-)$ . As G is diregular, a simple counting argument shows that every vertex is an outlier of exactly three distinct vertices. As  $u_2 \notin \{v, v_1, v^-\}$ , it follows that  $u_2$  can reach  $u_1$  by a k-path; likewise  $u_2$  can reach  $v_1$ . Therefore  $u^-, v^- \in N^{k-1}(u_2)$ ; however, as  $u^+ = v^+$ , this is impossible. Hence  $u^+ \neq v^+$ .

The Neighbourhood Lemma gives

$$N^+(O(u)) = \{v_1, u_2, u_3, u_4\} \cup N^+(u^+) = O(u_1) \cup O(u_2)$$

and

$$N^+(O(v)) = \{u_1, u_2, u_3, u_4\} \cup N^+(v^+) = O(v_1) \cup O(u_2).$$

It follows that  $O(u_2)$  contains a vertex  $z \in N^+(u^+) \cap N^+(v^+)$ . Therefore  $u^+, v^+ \notin T(u_2)$ . Examining  $T_k(u^-)$ , we see that  $u^+$  does not lie in  $T(u_1) - \{u_1\} = T(v_1) - \{v_1\}$ . As already mentioned,  $u^+ \neq v, v_1$ . Therefore v cannot reach  $u^+$  by a  $\leq k$ -path, so  $u^+ \in O(v) = \{u, u_1, v^+\}$ , a contradiction.

Since u, v was an arbitrary pair of vertices with a unique common out-neighbour, Lemmas 6, 7 and 8 imply the following result.

**Corollary 4.** For  $k \geq 4$ , if u, v are vertices with a single out-neighbour  $u_2$  in common, then  $v_1 \in O(u), u_1 \in O(v)$  and  $|O(u) \cap N^+(v_1)| = |O(v) \cap N^+(u_1)| = 1$ .

Thanks to Corollary 4 we can assume that  $u_3 = v_3$ ,  $u_4 \neq v_4$ ,  $v_1, v_4 \in O(u)$  and  $u_1, u_4 \in O(v)$ . Repeated applications of Corollary 4 allow us to prove that there are no diregular (2, k, +3)-digraphs for  $k \geq 4$  by inductively identifying outliers of  $u_2$ .

**Theorem 4.** There are no diregular (2, k, +3)-digraphs for  $k \geq 4$ .

Proof. Let  $k \geq 5$ . As  $u_3 \in N^+(u_1) \cap N^+(v_1)$ ,  $u_3 \in O(u_2)$ . The pair  $(u_1, v_1)$  have  $u_3$  as a unique common out-neighbour, so by Corollary 4 we can assume that  $u_9 = v_9$ ,  $u_{10} \neq v_{10}$ .  $u_4, v_4, u_9 \notin T(u_2)$ , so  $u_9 \in O(u_2)$ . The pair  $(u_4, v_4)$  have  $u_9$  as a unique common out-neighbour, so we can assume that  $u_{21} = v_{21}, u_{22} \neq v_{22}$ . As  $u_{10}, v_{10}, u_{21} \notin T(u_2)$ ,  $u_{21} \in O(u_2)$ . Continuing further we see that  $u_{45} \in O(u_2)$ . In fact, it follows inductively that  $O(u_2)$  contains at least k-1 distinct vertices, which is impossible, as G has excess  $\epsilon = 3$ .

Now set k=4. By the foregoing reasoning, we can write  $O(u_2)=\{u_3,u_9,u_{21}\},O(u)=\{v_1,v_4,z\},O(v)=\{u_1,u_4,z'\}$  for some vertices z,z' and assume that  $u_3=v_3,u_9=v_9,u_{21}=v_{21}$  and that  $u_{22}$  and  $v_{22}$  have a single common out-neighbour. Trivially  $u,v,u_1,v_1,u_4,v_4\notin O(u_2)$ . Taking into account adjacencies among  $u,v,u_1$  and  $v_1$ , we can assume that  $u_{23}\to u,u_{25}\to v_1,u_{27}\to v$  and  $u_{29}\to u_1$ . As  $u_1\to u_4,\ u_4\notin N^3(u_6)$ . If  $u_4\in N^2(u_{11})$ , then  $u_{11}$  has two distinct  $\leq 4$ -paths to  $u_4$ . Thus  $u_4\in N^2(u_{12})$ . However, now there are distinct  $\leq 4$ -paths from  $u_{12}$  to  $u_9$ , violating 4-geodecity.

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