

# TOPOLOGICAL PROPERTIES OF PUNCTUAL HILBERT SCHEMES OF ALMOST-COMPLEX FOURFOLDS (I)

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**ABSTRACT.** In this article, we study topological properties of Voisin's punctual Hilbert schemes of an almost-complex fourfold  $X$ . In this setting, we compute their Betti numbers and construct Nakajima operators. We also define tautological bundles associated with any complex bundle on  $X$ , which are shown to be canonical in  $K$ -theory.

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## 2. INTRODUCTION

Our aim in this paper is to extend some properties of the cohomology of punctual Hilbert schemes on smooth projective surfaces to the case of almost-complex compact manifolds of dimension four.

Let  $X$  be a smooth complex projective surface. For any integer  $n \in \mathbb{N}^*$ , the punctual Hilbert scheme  $X^{[n]}$  is defined as the set of all 0-dimensional subschemes of  $X$  of length  $n$ . A theorem of Fogarty [Fo] states that  $X^{[n]}$  is a smooth irreducible projective variety of complex dimension  $2n$ . The Hilbert-Chow map  $HC : X^{[n]} \longrightarrow S^n X$  defined by  $HC(\xi) = \sum_{x \in \text{supp}(\xi)} \ell_x(\xi)x$  is a desingularization of the symmetric product  $S^n X$ . This implies that the varieties  $X^{[n]}$  can be seen as smooth compactifications of the sets of distinct unordered  $n$ -tuples of points in  $X$ .

Voisin constructed in [Vo 1] punctual Hilbert schemes  $X^{[n]}$  when  $X$  is only supposed to be a smooth almost-complex compact fourfold. This construction produces almost-complex Hilbert schemes  $X^{[n]}$  which are differentiable manifolds of dimension  $4n$  endowed with a stable almost-complex structure. Moreover there exists a continuous Hilbert-Chow map  $HC : X^{[n]} \longrightarrow S^n X$  whose fibers are homeomorphic to the fibers of the Hilbert-Chow map in the integrable case.

Using ideas of Voisin concerning relative integrable structures, we generalize to the almost-complex setting some results already known in the integrable case. In this paper, we will mainly focus on the additive structure of the cohomology groups of  $X^{[n]}$  with rational coefficients. We first expose Voisin's construction and we study the local topological structure of the Hilbert-Chow map. This allows us to compute the Betti Numbers of  $X^{[n]}$ , thus extending Göttsche's formula [Gö], [Gö-So] to the almost-complex case.

**Theorem 1.** *Let  $(X, J)$  be an almost-complex compact fourfold and, for any positive integer  $n$ , let  $(b_i(X^{[n]}))_{i=0, \dots, 4n}$  be the sequence of Betti numbers of the almost-complex Hilbert scheme  $X^{[n]}$ . Then the generating function for these Betti numbers is given by the formula*

$$\sum_{n=0}^{\infty} \sum_{i=0}^{4n} b_i(X^{[n]}) t^i q^n = \prod_{m=1}^{\infty} \frac{[(1 + t^{2m-1} q^m)(1 + t^{2m+1} q^m)]^{b_1(X)}}{(1 - t^{2m-2} q^m)(1 - t^{2m+2} q^m)(1 - t^{2m} q^m)^{b_2(X)}}.$$

The proof of Theorem 1 relies on a topological version of the decomposition theorem of [De-Be-Be-Ga] for semi-small maps, which is due to Le Potier [LP].

The second part of the paper is devoted to the definition and the study of the Nakajima operators  $q_i(\alpha)$  of an arbitrary almost-complex compact fourfold  $X$ . These operators are obtained as actions by correspondence of incidence varieties, constructed in the almost-complex setting. The incidence varieties are stratified topological spaces locally modelled on analytic spaces. We prove in this context the Nakajima commutation relations [Na]:

**Theorem 2.** *For any pair  $(i, j)$  of integers and any pair  $(\alpha, \beta)$  of cohomology classes in  $H^*(X, \mathbb{Q})$  we have*

$$[q_i(\alpha), q_j(\beta)] = i \delta_{i+j, 0} \left( \int_X \alpha \beta \right) \text{id}.$$

It follows from Theorems 1 and 2 that the Nakajima operators produce an irreducible representation of the Heisenberg super-algebra  $\mathcal{H}(H^*(X, \mathbb{Q}))$  on  $\bigoplus_{n \in \mathbb{N}} H^*(X^{[n]}, \mathbb{Q})$ .

In the last part, we explain how to construct tautological complex bundles  $E^{[n]}$  on the almost-complex Hilbert schemes  $X^{[n]}$  starting from a complex vector bundle  $E$  on  $X$ . To do so, we use variable holomorphic structures on  $E$  in the same spirit as the variable holomorphic structures on  $X$  used in Voisin's construction to define  $X^{[n]}$ .

If  $X$  is projective, Nakajima's theory as well as the tautological bundles are the fundamental tools to describe the ring structure of  $H^*(X^{[n]}, \mathbb{Q})$  (see [Le]). In a forthcoming paper, we will use the analogous almost-complex objects we have constructed here to compute the ring structure of  $H^*(X^{[n]}, \mathbb{Q})$  when  $X$  is a compact symplectic fourfold with vanishing first Betti number.

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### 3. THE HILBERT SCHEME OF AN ALMOST-COMPLEX COMPACT FOURFOLD

**3.1. Voisin's construction.** In this section, we recall Voisin's construction of the almost-complex Hilbert scheme and establish some complementary results. Let  $(X, J)$  be an almost-complex compact fourfold. The symmetric product  $S^n X$  will be endowed with the sheaf  $\mathcal{C}_{S^n X}^\infty$  of  $\mathcal{C}^\infty$  functions on  $X^n$  invariant under  $\mathfrak{S}_n$ . Let us introduce the incidence set

$$(1) \quad Z_n = \{(\underline{x}, p) \in S^n X \times X, \text{ such that } p \in \underline{x}\}.$$

**Definition 3.1.** For  $\varepsilon > 0$ , let  $\mathcal{B}_\varepsilon$  be the set of pairs  $(W, J^{\text{rel}})$  such that

- (i)  $W$  is a neighbourhood of  $Z_n$  in  $S^n X \times X$ ,
- (ii)  $J^{\text{rel}}$  is a relative integrable complex structure on the fibers of  $\text{pr}_1 : W \rightarrow S^n X$  depending smoothly on the parameter  $\underline{x}$  in  $S^n X$ ,
- (iii) if  $g$  is a fixed riemannian metric on  $X$ ,  $\sup_{\underline{x} \in S^n X, p \in W_{\underline{x}}} \|J_{\underline{x}}^{\text{rel}}(p) - J_{\underline{x}}(p)\|_g \leq \varepsilon$ .

For  $\varepsilon$  small enough,  $\mathcal{B}_\varepsilon$  is connected and weakly contractible (i.e.  $\pi_i(\mathcal{B}_\varepsilon) = 0$  for  $i \geq 1$ ). We choose such a  $\varepsilon$  and write  $\mathcal{B}$  instead of  $\mathcal{B}_\varepsilon$ .

Let  $\pi : (W_{\text{rel}}^{[n]}, J^{\text{rel}}) \rightarrow S^n X$  be the relative Hilbert scheme of  $(W, J^{\text{rel}})$  over  $S^n X$ . The fibers of  $\pi$  are the smooth analytic sets  $(W_{\underline{x}}^{[n]}, J_{\underline{x}}^{\text{rel}})$ ,  $\underline{x} \in S^n X$ . Let  $HC_{\text{rel}} : W_{\text{rel}}^{[n]} \rightarrow S_{\text{rel}}^n W$  be the relative Hilbert-Chow morphism over  $S^n X$ .

**Definition 3.2.** The *topological Hilbert scheme*  $X_{J^{\text{rel}}}^{[n]}$  is  $(\pi, \text{pr}_2 \circ HC_{\text{rel}})^{-1}(\Delta_{S^n X})$ , where  $\Delta_{S^n X}$  is the diagonal of  $S^n X$ . More explicitly,

$$X_{J^{\text{rel}}}^{[n]} = \{(\xi, \underline{x}) \text{ such that } \underline{x} \in S^n X, \xi \in (W_{\underline{x}}^{[n]}, J_{\underline{x}}^{\text{rel}}) \text{ and } HC(\xi) = \underline{x}\}.$$

To put a differentiable structure on  $X_{J^{\text{rel}}}^{[n]}$ , Voisin uses specific relative integrable structures which are invariant by a compatible system of retractions on the strata of  $S^n X$ . These relative structures are differentiable for a differentiable structure  $\mathfrak{D}_J$  on  $S^n X$  which depends on  $J$  and is weaker than the quotient differentiable structure, i.e.  $\mathfrak{D}_J \subseteq \mathcal{C}_{S^n X}^\infty$ . The main result of Voisin is the following:

**Theorem 3.3.** [Vo 1], [Vo 2]

- (i)  $X^{[n]}$  is a  $4n$ -dimensional differentiable manifold, well-defined modulo diffeomorphisms homotopic to identity.
- (ii) The Hilbert-Chow map  $HC : X^{[n]} \rightarrow (S^n X, \mathfrak{D}_J)$  is differentiable and its fibers  $HC^{-1}(\underline{x})$  are homeomorphic to the fibers of the usual Hilbert-Chow morphism for any integrable structure near  $\underline{x}$ .
- (iii)  $X^{[n]}$  can be endowed with a stable almost-complex structure, hence defines an almost-complex cobordism class. When  $X$  is symplectic,  $X_{J^{\text{rel}}}^{[n]}$  is symplectic.

The first point is the analogue of Fogarty's result [Fo] in the differentiable case. In this article we will not use differentiable properties of  $X^{[n]}$  but only topological ones, which allows us to

work with  $X_{J^{\text{rel}}}^{[n]}$  for any  $J^{\text{rel}}$  in  $\mathcal{B}$ . Without any assumption on  $J^{\text{rel}}$ , the point (i) in Theorem 3.3 has the following topological version:

**Proposition 3.4.** *If  $J^{\text{rel}} \in \mathcal{B}$ ,  $X_{J^{\text{rel}}}^{[n]}$  is a  $4n$ -dimensional topological manifold.*

*Proof.* Let  $\underline{x}_0 \in S^n X$ . There exist holomorphic relative coordinates  $(z_{\underline{x}}, w_{\underline{x}})$  for  $J_{\underline{x}}^{\text{rel}}$  in a neighbourhood of  $\underline{x}_0$  which depend smoothly on  $\underline{x}$ . For every  $\underline{x}$  near  $\underline{x}_0$ , the map  $p \mapsto (z_{\underline{x}}(p), w_{\underline{x}}(p))$  is a biholomorphism between  $(W_{\underline{x}}, J_{\underline{x}}^{\text{rel}})$  and its image in  $\mathbb{C}^2$  with the standard complex structure. Let us write  $(z_{\underline{x}}(p), w_{\underline{x}}(p)) = \tilde{\phi}(x, p)$ , where  $\phi$  is a smooth function defined for  $x$  near a lift  $x_0$  of  $\underline{x}_0$ , invariant under the action of the stabilizer of  $x_0$  in  $\mathfrak{S}_n$ .

We write  $x_0 = (y_1, \dots, y_1, \dots, y_k, \dots, y_k)$  where the points  $y_j$  are pairwise distinct and each  $y_j$  appears  $n_j$  times. We will identify small distinct neighbourhoods of  $y_j$  in  $X$  with distinct balls  $B(y_j, \varepsilon)$  in  $\mathbb{C}^2$ .  $\phi$  is defined on  $B(y_1, \varepsilon)^{n_1} \times \dots \times B(y_k, \varepsilon)^{n_k} \times \cup_{j=1}^k B(y_j, \varepsilon)$  and is  $\mathfrak{S}_{n_1} \times \dots \times \mathfrak{S}_{n_k}$  invariant. We can also suppose that  $\phi(x_0, \cdot) = \text{id}$ . We introduce new holomorphic coordinates by the formula  $\tilde{\phi}(x, p) = \phi(x, p) - D_1 \phi(x_0, y_j)(x - x_0)$  if  $p \in B(y_j, \varepsilon)$ . Let

$$\Gamma: B(y_1, \varepsilon)^{n_1} \times \dots \times B(y_k, \varepsilon)^{n_k} \longrightarrow (\mathbb{C}^2)^n$$

be defined by

$$\Gamma(x_1, \dots, x_n) = (\tilde{\phi}(x_1, \dots, x_n, x_1), \dots, \tilde{\phi}(x_1, \dots, x_n, x_n)).$$

The map  $\Gamma$  is  $\mathfrak{S}_{n_1} \times \dots \times \mathfrak{S}_{n_k}$  equivariant and has for differential at  $x_0$  the identity map, so it induces a local homeomorphism  $\gamma$  of  $S^n X$  around  $\underline{x}_0$ . The image of the chart of  $(X^{[n]}, J^{\text{rel}})$  over a neighbourhood of  $\underline{x}_0$  will be the classical Hilbert scheme  $(\mathbb{C}^2)^{[n]}$  over a neighbourhood of  $\underline{x}_0$ . The chart and its inverse are given by the formulae  $\varphi(\xi) = \phi(\underline{x}, \cdot)_*$ , where  $HC(\xi) = \underline{x}$ , and  $\varphi^{-1}(\eta) = (\phi(\underline{y}, \cdot)^{-1})_* \eta$ , where  $\underline{y} = \gamma^{-1}(HC(\eta))$ .  $\square$

**Remark 3.5.** Let  $J_0^{\text{rel}}$  and  $J_1^{\text{rel}}$  be two relative integrable complex structures, and let  $\phi_0, \phi_1, \gamma_0$  and  $\gamma_1$  be defined as above. Then  $X_{J_0^{\text{rel}}}^{[n]}$  and  $X_{J_1^{\text{rel}}}^{[n]}$  are homeomorphic over a neighbourhood of  $\underline{x}_0$ . If  $\phi(\underline{x}, p) = \phi_1^{-1}(\gamma_1^{-1} \gamma_0(\underline{x}), \phi_0(\underline{x}, p))$  and  $\gamma(\underline{x}) = \gamma_1^{-1} \gamma_0(\underline{x})$ , then there is a commutative diagram

$$\begin{array}{ccccccc} X_{J_1^{\text{rel}}}^{[n]} & \xleftarrow{\quad} & HC^{-1}(V_{\underline{x}_0}) & \xrightarrow[\sim]{\phi_*} & HC^{-1}(\tilde{V}_{\underline{x}_0}) & \xrightarrow{\quad} & X_{J_1^{\text{rel}}}^{[n]} \\ \downarrow HC & & \downarrow & & \downarrow & & \downarrow HC \\ S^n X & \supseteq & V_{\underline{x}_0} & \xrightarrow[\sim]{\gamma} & \tilde{V}_{\underline{x}_0} & \subseteq & S^n X \end{array}$$

and  $\gamma$  is a stratified isomorphism.

**3.2. Göttsche's formula.** We will now turn our attention to the cohomology of  $X_{J^{\text{rel}}}^{[n]}$ . The first step is the computation of the Betti numbers of  $X^{[n]}$ . We first recall the proof of Göttsche and Soergel ([Gö-So]) and then we show how to adapt it in the non-integrable case.

Let  $X$  and  $Y$  be irreducible algebraic complex varieties and  $f: Y \rightarrow X$  be a proper morphism. We assume that  $X$  is stratified in such a way that  $f$  is a topological fibration over each stratum  $X_\nu$ . We denote by  $d_\nu$  the real dimension of the largest irreducible component of the fiber. If  $Y_\nu = f^{-1}(X_\nu)$ ,  $\mathcal{L}_\nu = R^{d_\nu} f_* \mathbb{Q}_{Y_\nu}$  will be the associated monodromy local system on  $X_\nu$ .

**Definition 3.6.** – The map  $f$  is a *semi-small morphism* if for all  $\nu$ ,  $\text{codim}_X X_\nu \geq d_\nu$ .

– A stratum  $X_\nu$  is *essential* if  $\text{codim}_X X_\nu = d_\nu$ .

**Theorem 3.7.** [De-Be-Be-Ga] *If  $Y$  is rationally smooth and  $f: Y \rightarrow X$  is a proper semi-small morphism, there exists a canonical quasi-isomorphism  $Rf_* \mathbb{Q}_Y \xrightarrow{\sim} \bigoplus_{\nu \text{ essential}} j_{\nu*} IC_{\overline{X}_\nu}^\bullet(\mathcal{L}_\nu)[-d_\nu]$  in the bounded derived category of  $\mathbb{Q}$ -constructible sheaves on  $X$ , where  $IC_{\overline{X}_\nu}^\bullet(\mathcal{L}_\nu)$  is the intersection complex on  $\overline{X}_\nu$  associated to the monodromy local system  $\mathcal{L}_\nu$  and  $j_\nu: \overline{X}_\nu \rightarrow X$  is the inclusion. In particular,  $H^k(Y, \mathbb{Q}) = \bigoplus_{\nu \text{ essential}} IH^{k-d_\nu}(\overline{X}_\nu, \mathcal{L}_\nu)$ .*

**Remark 3.8.** A simple proof of Theorem 3.7 is done in [LP] and can be found in [Gri]. Furthermore, this proof shows that  $Rf_* \mathbb{Q}_Y \simeq \bigoplus_{\nu \text{ essential}} j_{\nu*} IC_{\overline{X}_\nu}^\bullet(\mathcal{L}_\nu)[-d_\nu]$  under the following weaker topological hypotheses:  $Y$  is a rationally smooth topological space,  $X$  is a stratified topological space and  $f: Y \rightarrow X$  is a proper map which is locally equivalent over  $X$  to a semi-small map between complex analytic varieties.

If  $X$  is a quasi-projective surface, the Hilbert-Chow morphism is semi-small with irreducible fibers (see [Br]), so that the monodromy local systems are trivial, and  $X^{[n]}$  is smooth. The decomposition theorem gives Göttsche's formula for  $b_i(X^{[n]})$ . We now show that Göttsche's formula holds more generally for almost-complex Hilbert schemes.

**Theorem 3.9** (Göttsche's formula). *If  $(X, J)$  is an almost-complex compact fourfold, then for any integrable complex structure  $J^{\text{rel}}$ ,*

$$\sum_{n=0}^{\infty} \sum_{i=0}^{4n} b_i(X_{J^{\text{rel}}}^{[n]}) t^i q^n = \prod_{m=1}^{\infty} \frac{[(1 + t^{2m-1} q^m)(1 + t^{2m+1} q^m)]^{b_1(X)}}{(1 - t^{2m-2} q^m)(1 - t^{2m+2} q^m)(1 - t^{2m} q^m)^{b_2(X)}}.$$

*Proof.* By Remark 3.8, it suffices to check that  $HC: X_{J^{\text{rel}}}^{[n]} \rightarrow S^n X$  is locally equivalent to a semi-small morphism. The proof of Proposition 3.4 shows that  $HC: X_{J^{\text{rel}}}^{[n]} \rightarrow S^n X$  is locally equivalent to  $HC: U^{[n]} \rightarrow S^{[n]} U$  where  $U$  is an open set in  $\mathbb{C}^2$ . Thus the decomposition theorem applies and the computations are the same as in the integrable case.  $\square$

**3.3. Variation of the relative integrable structure.** Theorem 3.9 implies in particular that the Betti numbers of  $X_{J^{\text{rel}}}^{[n]}$  are independent of  $J^{\text{rel}}$ . We now prove a stronger result, namely that the Hilbert schemes corresponding to different relative integrable complex structures are homeomorphic.

**Proposition 3.10.**

- (i) *Let  $(J_t^{\text{rel}})_{t \in B(0, r) \subseteq \mathbb{R}^d}$  be a smooth path in  $\mathcal{B}$ . Then the associated relative Hilbert scheme  $(X^{[n]}, \{J_t^{\text{rel}}\}_{t \in B(0, r)})$  over  $B(0, r)$  is a topological fibration.*
- (ii) *If  $J_0^{\text{rel}}, J_1^{\text{rel}} \in \mathcal{B}$ , then there exist canonical isomorphisms  $H^*(X_{J_0^{\text{rel}}}^{[n]}, \mathbb{Q}) \simeq H^*(X_{J_1^{\text{rel}}}^{[n]}, \mathbb{Q})$  and  $K(X_{J_0^{\text{rel}}}^{[n]}) \simeq K(X_{J_1^{\text{rel}}}^{[n]})$ .*

In order to prove Proposition 3.10, we first establish the following result:

**Proposition 3.11.** *Let  $(J_t^{\text{rel}})_{t \in B(0,r) \subseteq \mathbb{R}^d}$  be a family of smooth relative complex structures in a neighbourhood of  $Z_n$  varying smoothly with  $t$ . Then there exist  $\varepsilon > 0$ , a neighbourhood  $W$  of  $Z_n$  in  $S^n X \times X$  and a continuous map  $\psi : (t, \underline{x}, p) \mapsto \psi_{t,\underline{x}}(p)$  from  $B(0,\varepsilon) \times W$  to  $X$  such that:*

- (i)  $\psi_{0,\underline{x}}(p) = p$ ,
- (ii) For fixed  $(t, \underline{x})$ ,  $\psi_{t,\underline{x}}$  is a biholomorphism between a neighbourhood of  $\underline{x}$  and a neighbourhood of  $S^n \psi_{t,\underline{x}}(\underline{x})$ , endowed with the structures  $J_{0,\underline{x}}^{\text{rel}}$  and  $J_{t,\psi_{t,\underline{x}}(\underline{x})}^{\text{rel}}$ ,
- (iii) For all  $t$  in  $B(0,\varepsilon)$ , the map  $\underline{x} \mapsto S^n \psi_{t,\underline{x}}(\underline{x})$  is a homeomorphism of  $S^n X$ .

*Proof.* We can choose a family of maps  $\theta_t$  varying smoothly with  $t$  such that for all  $\underline{x}$  in  $S^n X$  and  $t$  in  $B(0,r)$ ,  $\theta_{t,\underline{x}}$  is a biholomorphism between two neighbourhoods of  $\underline{x}$  endowed with the structures  $J_{t,\underline{x}}^{\text{rel}}$  and  $J_{0,\underline{x}}^{\text{rel}}$ , and such that for all  $\underline{x}$  in  $S^n X$ ,  $\theta_{0,\underline{x}} = \text{id}$ . We take, as in the proof of Proposition 3.4, a system  $(\phi_{\underline{x}}^i)_{1 \leq i \leq N}$  of holomorphic relative coordinates for  $J_0^{\text{rel}}$  with respect to a covering  $(\tilde{U}_i)_{1 \leq i \leq N}$  of  $S^n X$  such that  $\underline{x} \mapsto S^n \phi_{\underline{x}}^i(\underline{x})$  is a homeomorphism between  $\tilde{U}_i$  and its image  $\tilde{V}_i$  in  $S^n \mathbb{C}^2$ . We define holomorphic relative coordinates  $(\phi_{t,\underline{x}}^i)_{1 \leq i \leq N}$  for  $J_t^{\text{rel}}$  by the formula  $\phi_{t,\underline{x}}^i(p) = \phi_{\underline{x}}^i(\theta_{t,\underline{x}}(p))$ . For small  $t$ , after shrinking  $\tilde{U}_i$  if necessary,  $\underline{x} \mapsto S^n \phi_{t,\underline{x}}^i(\underline{x})$  is still a homeomorphism: indeed the map  $\underline{x} \mapsto S^n \phi_{t,\underline{x}}^i(\underline{x})$  is obtained from the  $\mathfrak{S}_{n_1} \times \cdots \times \mathfrak{S}_{n_k}$  equivariant smooth map  $(x_1, \dots, x_n) \mapsto (\phi_t(x_1, \dots, x_n, x_1), \dots, \phi_t(x_1, \dots, x_n, x_n))$ . Then we use the fact that a sufficiently small smooth perturbation of a smooth diffeomorphism remains a smooth diffeomorphism.

Let  $\tilde{Z}_n \subseteq S^n \mathbb{C}^2 \times \mathbb{C}^2$  be the incidence variety of  $\mathbb{C}^2$ . The map  $\check{\phi}_t^i : (x, p) \mapsto (S^n \phi_{t,\underline{x}}^i(\underline{x}), \phi_{t,\underline{x}}^i(p))$  is a homeomorphism between two neighbourhoods of  $Z_n$  and  $\tilde{Z}_n$  over  $\tilde{U}_i$  and  $\tilde{V}_i$ . If we define  $\check{\psi}_t : (x, p) \mapsto (S^n \psi_{t,\underline{x}}(\underline{x}), \psi_{t,\underline{x}}(p))$ , the condition (ii) of the proposition means that  $\check{\phi}_t^i \circ \check{\psi}_t \circ (\check{\phi}_0^i)^{-1}$  is of the form  $(\underline{y}, p) \mapsto (S^n u_{t,\underline{y}}(\underline{y}), u_{t,\underline{y}}(p))$  where  $\underline{y} \in \tilde{V}_i$  and  $u_{t,\underline{y}}$  is a biholomorphism between a neighbourhood of  $\underline{y}$  and its image (both endowed with the standard complex structure of  $\mathbb{C}^2$ ), varying smoothly with  $t$  and  $\underline{y}$ . The condition (i) means that  $u_{0,\underline{y}} = \text{id}$ . Thus  $(\psi_t)_{\|t\| \leq \varepsilon}$  can be constructed on small open sets of  $S^n X$ . Since biholomorphisms close to identity form a contractible set, we can, using cut-off functions, glue together the local solutions on  $S^n X$  to obtain a global one. The map  $\underline{x} \mapsto S^n \psi_{t,\underline{x}}(\underline{x})$  is induced by a smooth  $\mathfrak{S}_n$ -equivariant map of  $X^n$  into  $X^n$  (and is a small perturbation of the identity map if  $\|t\|$  is small enough), thus a  $\mathfrak{S}_n$ -equivariant diffeomorphism of  $X^n$ . We have therefore defined a family of maps  $(\psi_t)_{\|t\| \leq \varepsilon}$  satisfying the conditions (i), (ii) and (iii).  $\square$

We can now prove Proposition 3.10.

*Proof of Proposition 3.10.* (i) We have

$$(X^{[n]}, \{J_t^{\text{rel}}\}_{t \in B(0,r)}) = \left\{ (\xi, \underline{x}, t) \text{ such that } \underline{x} \in S^n X, t \in B(0,r), \xi \in (W_{\underline{x}}^{[n]}, J_{t,\underline{x}}^{\text{rel}}), HC(\xi) = \underline{x} \right\}.$$

A topological trivialization of this family over  $B(0,r)$  near zero is given by the map

$$\Gamma : X_{J_0^{\text{rel}}}^{[n]} \times B(0,\varepsilon) \longrightarrow (X^{[n]}, \{J_t^{\text{rel}}\}_{t \in B(0,\varepsilon)})$$

defined by  $\Gamma(\xi, \underline{x}, t) = (\psi_{t,\underline{x}*}\xi, \psi_{t,\underline{x}}(\underline{x}), t)$ , where  $\psi$  is given by Proposition 3.11. This proves that the relative Hilbert scheme is locally topologically trivial over  $B(0,r)$ .

(ii) The set  $\mathcal{B}$  being connected, point (i) shows that  $X_{J_0^{\text{rel}}}^{[n]}$  and  $X_{J_1^{\text{rel}}}^{[n]}$  are homeomorphic. Since  $\pi_1(\mathcal{B}) = 0$ , if we consider two paths  $(J_{0,t}^{\text{rel}})_{0 \leq t \leq 1}$  and  $(J_{1,t}^{\text{rel}})_{0 \leq t \leq 1}$  between  $J_0^{\text{rel}}$  and  $J_1^{\text{rel}}$ , we can find a smooth family  $(J_{s,t}^{\text{rel}})_{\substack{0 \leq s \leq 1 \\ 0 \leq t \leq 1}}$  which is an homotopy between the two paths. The relative associated Hilbert scheme over  $[0,1] \times [0,1]$  is locally topologically trivial, hence globally trivial since  $[0,1] \times [0,1]$  is contractible. This shows that the homeomorphisms between  $X_{J_0^{\text{rel}}}^{[n]}$  and  $X_{J_1^{\text{rel}}}^{[n]}$  constructed by the procedure above belong to a canonical homotopy class.  $\square$

As a consequence of this proposition, there exists a ring  $H^*(X^{[n]}, \mathbb{Q})$  (resp.  $K(X^{[n]})$ ) such that for any  $J^{\text{rel}}$  close to  $J$ ,  $H^*(X^{[n]}, \mathbb{Q})$  (resp.  $K(X^{[n]})$ ) and  $H^*(X_{J^{\text{rel}}}^{[n]}, \mathbb{Q})$  (resp.  $K(X_{J^{\text{rel}}}^{[n]})$ ) are canonically isomorphic.

In the sequel, we will deal with products of Hilbert schemes. We can of course consider products of the type  $(X_{J_n^{\text{rel}}}^{[n]}) \times (X_{J_m^{\text{rel}}}^{[m]})$ , but in practice it is necessary to work with pairs of relative integrable complex structures parametrized by  $(\underline{x}, \underline{y})$  in  $S^n X \times S^m X$ . Let us introduce the incidence set

$$(2) \quad Z_{n \times m} = \{(\underline{x}, \underline{y}, p) \text{ in } (S^n X \times S^m X) \times X \text{ such that } p \in \underline{x} \cup \underline{y}\}.$$

Let  $W$  be a small neighbourhood of  $Z_{n \times m}$  and let  $J^{1,\text{rel}}$  and  $J^{2,\text{rel}}$  be two relative integrable complex structures on the fibers of  $\text{pr}_1 : W \longrightarrow S^n X \times S^m X$ .

**Definition 3.12.** The product Hilbert scheme  $(X^{[n] \times [m]}, J^{1,\text{rel}}, J^{2,\text{rel}})$  is defined by

$$(X^{[n] \times [m]}, J^{1,\text{rel}}, J^{2,\text{rel}}) = \left\{ (\xi, \eta, \underline{x}, \underline{y}) \text{ such that } \underline{x} \in S^n X, \underline{y} \in S^m X, \xi \in (W_{\underline{x}, \underline{y}}^{[n]}, J_{\underline{x}, \underline{y}}^{1,\text{rel}}), \right. \\ \left. \eta \in (W_{\underline{x}, \underline{y}}^{[m]}, J_{\underline{x}, \underline{y}}^{2,\text{rel}}), HC(\xi) = \underline{x}, HC(\eta) = \underline{y} \right\}.$$

The same definition holds for products of the type  $(X^{[n_1] \times \dots \times [n_k]}, J^{1,\text{rel}}, \dots, J^{k,\text{rel}})$ .

If there exist two relative integrable complex structures  $J_n^{\text{rel}}$  and  $J_m^{\text{rel}}$  in neighbourhoods of  $Z_n$  and  $Z_m$  such that  $J_{\underline{x}, \underline{y}}^{1,\text{rel}} = J_{n, \underline{x}}^{\text{rel}}$  and  $J_{\underline{x}, \underline{y}}^{2,\text{rel}} = J_{m, \underline{y}}^{\text{rel}}$  in small neighbourhoods of  $\underline{x}$  and  $\underline{y}$ , we have

$$(X^{[n] \times [m]}, J^{1,\text{rel}}, J^{2,\text{rel}}) = X_{J_n^{\text{rel}}}^{[n]} \times X_{J_m^{\text{rel}}}^{[m]}.$$

If  $(J_t^{1,\text{rel}}, J_t^{2,\text{rel}})_{t \in B(0,r)}$  is a smooth family of relative integrable complex structures, it can be shown as in Propositions 3.11 and 3.10 that the family  $(X^{[n] \times [m]}, \{J_t^{1,\text{rel}}\}_{t \in B(0,r)}, \{J_t^{2,\text{rel}}\}_{t \in B(0,r)})$  is topologically trivial over  $B(0,r)$ . Thus the product Hilbert schemes  $(X^{[n] \times [m]}, J^{1,\text{rel}}, J^{2,\text{rel}})$  is

isomorphic to products  $X_{J_n^{\text{rel}}}^{[n]} \times X_{J_m^{\text{rel}}}^{[m]}$  of usual Hilbert schemes. If the structures  $J^{1,\text{rel}}$  and  $J^{2,\text{rel}}$  are equal,  $(X^{[n] \times [m]}, J^{1,\text{rel}}, J^{2,\text{rel}})$  consists of pairs of schemes of given support (parametrized by  $S^n X \times S^m X$ ) for the *same* integrable structure. These product Hilbert schemes are therefore well adapted for the study of incidence relations.

#### 4. INCIDENCE VARIETIES AND NAKAJIMA OPERATORS

**4.1. Construction of incidence varieties.** If  $J$  is an integrable complex structure on  $X$ , the *incidence variety*  $X^{[n',n]}$  is classically defined by

$$X^{[n',n]} = \{(\xi, \xi') \text{ such that } \xi \in X^{[n]}, \xi' \in X^{[n']} \text{ and } \xi \subseteq \xi'\}.$$

The incidence variety  $X^{[n',n]}$  is never smooth unless  $n' = n + 1$  (see [Ti]). We have three maps  $\lambda : X^{[n',n]} \rightarrow X^{[n]}$ ,  $\nu : X^{[n',n]} \rightarrow X^{[n']}$  and  $\rho : X^{[n',n]} \rightarrow S^{n'-n} X$  given by  $\lambda(\xi, \xi') = \xi$ ,  $\nu(\xi, \xi') = \xi'$  and  $\rho(\xi, \xi') = \text{supp}(\mathcal{I}_\xi / \mathcal{I}_{\xi'})$ . Note that, by definition,  $(\lambda, \nu)$  is injective.

If  $J$  is not integrable, we can define  $X^{[n',n]}$  using the relative construction. Let  $J_{n \times (n'-n)}^{\text{rel}}$  be a relative integrable complex structure in a neighbourhood of  $Z_{n \times (n'-n)}$  in  $S^n X \times S^{n'-n} X \times X$  (see (2)).

**Definition 4.1.** The incidence variety  $(X^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}})$  is defined by

$$(X^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}}) = \left\{ (\xi, \xi', \underline{x}, \underline{y}) \text{ such that } \underline{x} \in S^n X, \underline{y} \in S^{n'-n} X, \xi \in (W_{\underline{x}}^{[n]}, J_{n \times (n'-n), \underline{x}, \underline{y}}^{\text{rel}}), \right. \\ \left. \xi' \in (W_{\underline{x} \cup \underline{y}}^{[n']}, J_{n \times (n'-n), \underline{x}, \underline{y}}^{\text{rel}}), \xi \subseteq \xi', HC(\xi) = \underline{x}, \rho(\xi, \xi') = \underline{y} \right\}.$$

Let  $J_{n \times n'}^{\text{rel}}$  be a relative integrable complex structure in a neighbourhood of  $Z_{n \times n'}$  such that for every  $\underline{u} \in S^n X$  and  $\underline{v} \in S^{n'-n} X$ ,  $J_{n \times n', \underline{u}, \underline{u} \cup \underline{v}}^{\text{rel}} = J_{n \times (n'-n), \underline{u}, \underline{v}}^{\text{rel}}$ . Then

$$(X^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}}) \subseteq (X^{[n] \times [n']}, J_{n \times n'}^{\text{rel}}, J_{n \times n'}^{\text{rel}}).$$

If  $\{J_{t, n \times n'}^{\text{rel}}\}_{t \in B(0, r)}$  is a smooth family of relative complex structures, we can take, as in Proposition 3.10, a topological trivialization of  $(X^{[n] \times [n']}, \{J_{t, n \times n'}^{\text{rel}}\}_{t \in B(0, r)}, \{J_{t, n \times n'}^{\text{rel}}\}_{t \in B(0, r)})$ . If we define  $J_{t, n \times (n'-n)}^{\text{rel}}$  in a neighbourhood of  $Z_{n \times (n'-n)}$  by the formula  $J_{t, n \times (n'-n), \underline{u}, \underline{v}}^{\text{rel}} = J_{t, n \times n', \underline{u}, \underline{u} \cup \underline{v}}^{\text{rel}}$ , then we can choose the trivialization so that the subfamily  $(X^{[n',n]}, \{J_{t, n \times (n'-n)}^{\text{rel}}\}_{t \in B(0, r)})$  is sent to the product  $U^{[n',n]} \times B(0, \varepsilon)$ , where  $U$  is an open set of  $\mathbb{C}^2$ . This means that the family

$$\left\{ \left( X^{[n',n]}, \{J_{t, n \times (n'-n)}^{\text{rel}}\}_{t \in B(0, r)} \right), \left( X^{[n] \times [n']}, \{J_{t, n \times n'}^{\text{rel}}\}_{t \in B(0, r)}, \{J_{t, n \times n'}^{\text{rel}}\}_{t \in B(0, r)} \right) \right\}$$

is locally, hence globally topologically trivial over  $B(0, r)$ .

The natural morphism from  $(X^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}})$  to  $S^n X \times S^{n'-n} X$  is locally equivalent over  $S^n X \times S^{n'-n} X$  to the natural morphism  $U^{[n',n]} \rightarrow S^n U \times S^{n'-n} U$ . This enables us to define a stratification on  $X^{[n',n]}$  by patching together the analytic stratifications of a collection of  $U_i^{[n',n]}$ .



In this way,  $(X^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}})$  becomes a stratified  $CW$ -complex such that for each stratum  $S$ ,  $\dim(\overline{S} \setminus S) \leq \dim S - 2$ . In particular, each stratum has a homology class.

Let us introduce the following notations:

- (i) The inverse image of the small diagonal of  $S^n X$  by  $HC: X_{J_n^{\text{rel}}}^{[n]} \longrightarrow S^n X$  will be denoted by  $(X_0^{[n]}, J_n^{\text{rel}})$ .
- (ii) The inverse image of the small diagonal of  $S^{n'-n} X$  by  $\rho: (X^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}}) \longrightarrow S^{n'-n} X$  will be denoted by  $(X_0^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}})$ .

In the integrable case,  $X_0^{[n',n]}$  is stratified by analytic sets  $(Z_l)_{l \geq 0}$  defined by

$$(3) \quad Z_l = \{(\xi, \xi') \in X_0^{[n',n]} \text{ such that if } x = \rho(\xi, \xi'), \ell_x(\xi) = l\};$$

$Z_0$  is irreducible of complex dimension  $n' + n + 1$ , and all the other  $Z_l$  have smaller dimensions (see [Le]). By the same argument as above, this stratification also exists in the almost complex case. We prove the topological irreducibility of  $Z_0$  in the following lemma:

**Lemma 4.2.** *Let  $[\overline{Z}_0]$  be the fundamental homology class of  $\overline{Z}_0$ . Then*

$$H_{2(n'+n+1)}(X_0^{[n',n]}, J_{n \times (n'-n)}^{\text{rel}}, \mathbb{Z}) = \mathbb{Z} \cdot [\overline{Z}_0].$$

*Proof.* It is enough to prove that the Borel-Moore homology group  $H_{2(n'+n+1)}^{\text{lf}}(Z_0, \mathbb{Z})$  is  $\mathbb{Z}$ , since all the remaining strata  $(Z_l)_{l \geq 1}$  have dimensions smaller than  $2(n' + n + 1) - 2$ . Let

$$\begin{aligned} \tilde{Z}_0 = \{ & (\xi, \eta, \underline{x}, p) \text{ such that } \underline{x} \in S^n X, p \in X, \xi \in (W_{\underline{x}, (n'-n)p}^{[n]}, J_{n \times (n'-n)}^{\text{rel}}, \underline{x}, (n'-n)p), HC(\xi) = \underline{x}, \\ & \eta \in (W_{\underline{x}, (n'-n)p}^{[n'-n]}, J_{n \times (n'-n)}^{\text{rel}}, \underline{x}, (n'-n)p), HC(\eta) = (n' - n)p \}. \end{aligned}$$

There is a natural inclusion  $Z_0 \hookrightarrow \tilde{Z}_0$  given by  $(\xi, \xi', \underline{x}, (n' - n)p) \longrightarrow (\xi, \xi'_p, \underline{x}, p)$ . Remark that  $\tilde{Z}_0$  is compact. Since  $\dim(\tilde{Z}_0 \setminus Z_0) \leq 4n + 2(n' - n - 1) = 2(n' + n - 1)$ , it suffices to show that  $H_{2(n'+n+1)}(\tilde{Z}_0, \mathbb{Z}) = \mathbb{Z}$ .  $\tilde{Z}_0$  is a product-type Hilbert scheme homeomorphic to  $X_{J_n^{\text{rel}}}^{[n]} \times (X_0^{[n'-n]}, J_{n'-n}^{\text{rel}})$ . Since  $(X_0^{[n'-n]}, J_{n'-n}^{\text{rel}})$  is by Briançon's theorem [Br] a topological fibration on  $X$  whose fiber is homeomorphic to an irreducible algebraic variety of complex dimension  $n' - n - 1$ , we obtain the result.  $\square$

**4.2. Nakajima operators.** We are now going to construct Nakajima operators  $q_n(\alpha)$  in the almost-complex context. If  $n' > n$ , let us define

$$(4) \quad Q^{[n',n]} = \overline{Z}_0 \subseteq (X^{[n] \times [n']} \times X, J_{n \times n'}^{\text{rel}}, J_{n \times n'}^{\text{rel}}) \times X,$$

where the map on the last coordinate is given by the relative residual morphism and  $Z_0$  is defined by (3). Since the pair  $(Q^{[n',n]}, X^{[n] \times [n']} \times X)$  is topologically trivial when  $J_{n \times n'}^{\text{rel}}$  varies, the cycle class  $[Q^{[n',n]}] \in H_{2(n'+n+1)}(X^{[n]} \times X^{[n']} \times X, \mathbb{Z})$  is independent of  $J_{n \times n'}^{\text{rel}}$ .

**Definition 4.3.** Let  $\alpha \in H^*(X, \mathbb{Q})$  and  $j \in \mathbb{N}^*$ . We define the Nakajima operators  $\mathfrak{q}_j(\alpha)$  and  $\mathfrak{q}_{-j}(\alpha)$  as follows:

$$\begin{aligned} \mathfrak{q}_j(\alpha) : \bigoplus_{n \in \mathbb{N}} H^*(X^{[n]}, \mathbb{Q}) &\longrightarrow \bigoplus_{n \in \mathbb{N}} H^*(X^{[n+j]}, \mathbb{Q}) \\ \tau &\longmapsto PD^{-1} \left[ \text{pr}_{2*} \left( [Q^{[n+j, n]}] \cap (\text{pr}_3^* \alpha \cup \text{pr}_1^* \tau) \right) \right] \\ \mathfrak{q}_{-j}(\alpha) : \bigoplus_{n \in \mathbb{N}} H^*(X^{[n+j]}, \mathbb{Q}) &\longrightarrow \bigoplus_{n \in \mathbb{N}} H^*(X^{[n]}, \mathbb{Q}) \\ \tau &\longmapsto PD^{-1} \left[ \text{pr}_{1*} \left( [Q^{[n+j, n]}] \cap (\text{pr}_3^* \alpha \cup \text{pr}_2^* \tau) \right) \right] \end{aligned}$$

where  $\text{pr}_1, \text{pr}_2$  and  $\text{pr}_3$  are the projections from  $X^{[n]} \times X^{[n+j]} \times X$  to each factor and  $PD$  is the Poincaré duality isomorphism between cohomology and homology. We also set  $\mathfrak{q}_0(\alpha) = 0$

**Remark 4.4.** Let  $|\alpha|$  be the degree of  $\alpha$ , then  $\mathfrak{q}_j(\alpha)$  maps  $H^i(X^{[n]}, \mathbb{Q})$  to  $H^{i+|\alpha|+2j-2}(X^{[n+j]}, \mathbb{Q})$ .

We now prove the following extension to the almost-complex case of Nakajima's theorem [Na]:

**Theorem 4.5.** For  $i, j \in \mathbb{Z}$  and  $\alpha, \beta \in H^*(X, \mathbb{Q})$  we have

$$\mathfrak{q}_i(\alpha)\mathfrak{q}_j(\beta) - (-1)^{|\alpha||\beta|}\mathfrak{q}_j(\beta)\mathfrak{q}_i(\alpha) = i\delta_{i+j,0} \left( \int_X \alpha\beta \right) \text{id}$$

*Proof.* We adapt Nakajima's proof to our situation. The most interesting case is the computation of  $[\mathfrak{q}_{-i}(\alpha), \mathfrak{q}_j(\beta)]$  when  $i$  and  $j$  are positive. We introduce the classes  $[P_{ij}]$ , resp.  $[Q_{ij}]$  in

$$\begin{aligned} H_*(X^{[n]}, \mathbb{Q}) \otimes H_*(X^{[n-i]}, \mathbb{Q}) \otimes H_*(X^{[n-i+j]}, \mathbb{Q}) \otimes H_*(X, \mathbb{Q}) \otimes H_*(X, \mathbb{Q}), \quad \text{resp.} \\ H_*(X^{[n]}, \mathbb{Q}) \otimes H_*(X^{[n+j]}, \mathbb{Q}) \otimes H_*(X^{[n-i+j]}, \mathbb{Q}) \otimes H_*(X, \mathbb{Q}) \otimes H_*(X, \mathbb{Q}), \end{aligned}$$

as follows:

$$\begin{aligned} [P_{ij}] &:= p_{13*} \left[ p_{124}^* [Q^{[n, n-i]}] \cdot p_{235}^* [Q^{[n-i+j, n-i]}] \right], \quad \text{resp.} \\ [Q_{ij}] &:= p_{13*} \left[ p_{124}^* [Q^{[n+j, n]}] \cdot p_{235}^* [Q^{[n+j, n-i+j]}] \right], \end{aligned}$$

where  $Q^{[r,s]}$  is defined in (4). Then  $\mathfrak{q}_j(\beta)\mathfrak{q}_{-i}(\alpha)$ , resp.  $\mathfrak{q}_{-i}(\alpha)\mathfrak{q}_j(\beta)$ , is given by

$$\begin{aligned} \tau &\longmapsto PD^{-1} \left[ \text{pr}_{3*} \left( [P_{ij}] \cap (\text{pr}_5^* \beta \cup \text{pr}_4^* \alpha \cup \text{pr}_1^* \tau) \right) \right], \quad \text{resp.} \\ \tau &\longmapsto PD^{-1} \left[ \text{pr}_{3*} \left( [Q_{ij}] \cap (\text{pr}_5^* \alpha \cup \text{pr}_4^* \beta \cup \text{pr}_1^* \tau) \right) \right]. \end{aligned}$$

First we deform all the relative integrable complex structures into a single one parametrized by  $S^n X \times S^{n-i} X \times S^{n-i+j} X \times S^2 X$ . Let  $J_{n \times (n-i) \times (n-i+j) \times 2}^{\text{rel}}$  be a relative integrable structure in a neighbourhood of  $Z_{n \times (n-i) \times (n-i+j) \times 2}$ , and let

$$Y = \left( X^{[n] \times [n-i] \times [n-i+j] \times [1] \times [1]}, J_{n \times (n-i) \times (n-i+j) \times 2}^{\text{rel}} \leftarrow 5 \text{ times} \right)$$

be the product Hilbert scheme obtained by taking the same structure  $J_{n \times (n-i) \times (n-i+j) \times 2}^{\text{rel}}$  five times (see Definition 3.12), where  $J_{n \times (n-i) \times (n-i+j) \times 2}^{\text{rel}}$  is identified with its pullback by

$$\mu: S^n X \times S^{n-i} X \times S^{n-i+j} X \times X \times X \longrightarrow S^n X \times S^{n-i} X \times S^{n-i+j} X \times S^2 X.$$

Then, via the canonical isomorphism

$$H_*(Y, \mathbb{Q}) \simeq H_*(X^{[n]}, \mathbb{Q}) \otimes H_*(X^{[n-i]}, \mathbb{Q}) \otimes H_*(X^{[n-i+j]}, \mathbb{Q}) \otimes H_*(X, \mathbb{Q}) \otimes H_*(X, \mathbb{Q}),$$

since incidence varieties vary trivially in families, the class  $p_{124}^*[Q^{[n, n-i]}]$  is the homology class of the cycle

$$A = \left\{ (\xi, \xi', \xi'', s, t) \text{ such that } \xi' \subseteq \xi \text{ and } \rho(\xi', \xi) = s \right\}.$$

In the same way,  $p_{235}^*[Q^{[n-i+j, n-i]}]$  is the homology class of the cycle

$$B = \left\{ (\xi, \xi', \xi'', s, t) \text{ such that } \xi' \subseteq \xi'' \text{ and } \rho(\xi', \xi'') = t \right\}.$$

We now study the intersection of the cycles  $A$  and  $B$ . Let  $p \in A \cap B$ . We choose relative holomorphic coordinates  $\phi_{\underline{x}, \underline{y}, \underline{z}, s, t}$  for  $J_{n \times (n-i) \times (n-i+j) \times 2}^{\text{rel}}$  such that

$$(\underline{x}, \underline{y}, \underline{z}, s, t) \longmapsto (S^n \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(\underline{x}), S^{n-i} \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(\underline{y}), S^{n-i+j} \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(\underline{z}), \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(s), \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(t))$$

is a local homeomorphism. The associated map given by

$$(\xi, \underline{x}, \xi', \underline{y}, \xi'', \underline{z}, s, t) \longmapsto (\phi_{\underline{x}, \underline{y}, \underline{z}, s, t} \xi, \phi_{\underline{x}, \underline{y}, \underline{z}, s, t} \xi', S^{n-i+j} \phi_{\underline{x}, \underline{y}, \underline{z}, s, t} \xi'', \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(s), \phi_{\underline{x}, \underline{y}, \underline{z}, s, t}(t))$$

is a homeomorphism from a neighbourhood of  $p$  to its image in  $(\mathbb{C}^2)^{[n]} \times (\mathbb{C}^2)^{[n-i]} \times (\mathbb{C}^2)^{[n-i+j]} \times \mathbb{C}^2 \times \mathbb{C}^2$  which maps  $A$  and  $B$  to the classical cycles  $p_{124}^{-1}Q^{[n, n-i]}$  and  $p_{235}^{-1}Q^{[n-i+j, n-i]}$ . In the integrable case, we know that in the open set  $\{s \neq t\}$ ,  $p_{124}^{-1}Q^{[n, n-i]}$  and  $p_{235}^{-1}Q^{[n-i+j, n-i]}$  intersect generically transversally. Using relative holomorphic coordinates as above, this property still holds in our context. If  $(A \cap B)_{s \neq t} = C_{ij}$ , we can write  $[A] \cdot [B] = [\overline{C_{ij}}] + \iota_* R$  where  $\iota: Y_{\{s=t\}} \hookrightarrow Y$  is the natural injection and  $R \in H_{2(2n-i+j+2)}(Y_{\{s=t\}}, \mathbb{Q})$ . We can do the same in

$$Y' = \left( X^{[n] \times [n+j] \times [n-i+j] \times [1] \times [1]}, J_{n \times (n+j) \times (n-i+j) \times 2}^{\text{rel}} \leftarrow 5 \text{ times} \right)$$

with the cycles  $A'$  and  $B'$  defined by

$$\begin{aligned} A' &= \left\{ (\xi, \xi', \xi'', s, t) \text{ such that } \xi \subseteq \xi', \rho(\xi, \xi') = s \right\} \\ B' &= \left\{ (\xi, \xi', \xi'', s, t) \text{ such that } \xi'' \subseteq \xi', \rho(\xi'', \xi') = t \right\}. \end{aligned}$$

We put  $D_{ij} = (A' \cap B')_{s \neq t}$ . Then  $[A'] \cdot [B'] = [\overline{D_{ij}}] + \iota'_* R'$ , where  $\iota': Y'_{\{s=t\}} \hookrightarrow Y'$  is the injection and  $R' \in H_{2(2n-i+j+2)}(Y'_{\{s=t\}}, \mathbb{Q})$ . The class  $R$  (resp.  $R'$ ) can be chosen supported in  $A \cap B \cap Y_{\{s=t\}}$  (resp. in  $A' \cap B' \cap Y'_{\{s=t\}}$ ).

The following lemma describes the situation outside the diagonal  $\{s = t\}$ .

**Lemma 4.6.**  $p_{1345*} \left( [\overline{C_{ij}}] \cap (\text{pr}_5^* \beta \cup \text{pr}_4^* \alpha) \right) = (-1)^{|\alpha| |\beta|} p_{1345*} \left( [\overline{D_{ij}}] \cap (\text{pr}_5^* \alpha \cup \text{pr}_4^* \beta) \right).$

*Proof.* Let us introduce the incidence varieties

$$T = \left\{ (\underline{x}, \underline{y}, \underline{z}, s, t) \in S^n X \times S^{n-i} X \times S^{n-i+j} X \times X \times X \text{ such that } \underline{x} = \underline{y} + is, \underline{z} = \underline{y} + jt \right\}$$

$$T' = \left\{ (\underline{x}, \underline{y}, \underline{z}, s, t) \in S^n X \times S^{n+j} X \times S^{n-i+j} X \times X \times X \text{ such that } \underline{y} = \underline{x} + js = \underline{z} + it \right\}$$

Let  $\Omega, \Omega'$  be two small neighbourhoods of  $T$  and  $T'$  and  $W$  a neighbourhood of  $Z_{n \times (n-i+j) \times 2}$  such that if  $(\underline{x}, \underline{y}, \underline{z}, s, t) \in \Omega$  (resp.  $\Omega'$ ),  $\underline{y} \in W_{\underline{x}, \underline{z}, s, t}$ . Let  $J_{n \times (n-i+j) \times 2}^{\text{rel}}$  be a relative integrable complex structure on  $W$ . After shrinking  $\Omega$  and  $\Omega'$  if necessary, we can consider two relative structures  $J_{n \times (n-i) \times (n-i+j) \times 2}^{\text{rel}}$  and  $J_{n \times (n+j) \times (n-i+j) \times 2}^{\text{rel}}$  such that

$$\begin{cases} \forall (\underline{x}, \underline{y}, \underline{z}, s, t) \in \Omega, & J_{n \times (n-i) \times (n-i+j) \times 2, \underline{x}, \underline{y}, \underline{z}, s, t}^{\text{rel}} = J_{n \times (n-i+j) \times 2, \underline{x}, \underline{z}, s, t}^{\text{rel}} \\ \forall (\underline{x}, \underline{y}, \underline{z}, s, t) \in \Omega', & J_{n \times (n+j) \times (n-i+j) \times 2, \underline{x}, \underline{y}, \underline{z}, s, t}^{\text{rel}} = J_{n \times (n-i+j) \times 2, \underline{x}, \underline{z}, s, t}^{\text{rel}} \end{cases}$$

Let  $U$  (resp.  $U'$ ) be the points of  $Y$  (resp.  $Y'$ ) lying over  $\Omega$  (resp.  $\Omega'$ ). We define two maps  $u$  and  $v$  as follows:

$$u : U \longrightarrow (X^{[n] \times [n-i+j] \times [1] \times [1]}, J_{n \times (n-i+j) \times 2}^{\text{rel}} \leftarrow 4 \text{ times}), \quad (\xi, \xi', \xi'', s, t) \longmapsto (\xi, \xi'', s, t),$$

$$v : U' \longrightarrow (X^{[n] \times [n-i+j] \times [1] \times [1]}, J_{n \times (n-i+j) \times 2}^{\text{rel}} \leftarrow 4 \text{ times}), \quad (\xi, \xi', \xi'', s, t) \longmapsto (\xi, \xi'', s, t)$$

If we take homeomorphisms between  $X^{[n]} \times X^{[n-i]} \times X^{[n-i+j]} \times X^2$ ,  $X^{[n]} \times X^{[n+j]} \times X^{[n-i+j]} \times X^2$ ,  $X^{[n]} \times X^{[n-i+j]} \times X^2$  and  $X^{[n] \times [n-i] \times [n-i+j] \times [1] \times [1]}$ ,  $X^{[n] \times [n+j] \times [n-i+j] \times [1] \times [1]}$ ,  $X^{[n] \times [n-i+j] \times [1] \times [1]}$ ,

$u$  and  $v$  can be extended to global maps which are in the homotopy class of  $p_{1345}$ . As in the integrable case, there is an isomorphism  $\phi : C_{ij} \xrightarrow{\sim} D_{ij}$  given as follows: if  $(\xi, \xi', \xi'', s, t) \in C_{ij}$  with  $HC(\xi') = \underline{y}$ ,  $HC(\xi) = \underline{y} + is$ ,  $HC(\xi'') = \underline{y} + jt$ , then  $\phi(\xi, \xi', \xi'', s, t) = (\xi, \tilde{\xi}, \xi'', t, s)$  where  $\tilde{\xi}$  is defined by  $\tilde{\xi}|_p = \xi'|_p$  if  $p \in \underline{y}$ ,  $p \notin \{s, t\}$ ,  $\tilde{\xi}|_s = \xi|_s$  and  $\tilde{\xi}|_t = \xi''|_t$ . All these schemes are considered for the structure  $J_{n \times (n-i+j) \times 2, \underline{x}, \underline{z}, s, t}^{\text{rel}}$ . Let  $\partial C_{ij} = \overline{C_{ij}} \setminus C_{ij}$ ,  $\partial D_{ij} = \overline{D_{ij}} \setminus D_{ij}$  and  $S = u(\partial C_{ij}) = v(\partial D_{ij})$ . We define  $\pi : Y' \rightarrow Y$  by  $\pi(\xi, \xi', \xi'', s, t) = (\xi, \xi', \xi'', t, s)$ . We have the following diagram, where all the maps are proper:

$$\begin{array}{ccc} Y \setminus \partial C_{ij} \supseteq C_{ij} & \xrightarrow[\simeq]{\phi} & D_{ij} \subseteq Y' \setminus \partial D_{ij} \\ & \searrow u \quad \swarrow v \circ \pi & \\ & X^{[n] \times [n-i+j] \times [1] \times [1]} \setminus S & \end{array}$$

Thus we obtain in the Borel-Moore homology group  $H_{2(2n-i+j+2)}^{\text{lf}}(X^{[n] \times [n-i+j] \times [1] \times [1]} \setminus S, \mathbb{Q})$  the equality

$$u_*([C_{ij}] \cap (\text{pr}_5^* \beta \cup \text{pr}_4^* \alpha)) = v_*([D_{ij}] \cap (\text{pr}_4^* \beta \cup \text{pr}_5^* \alpha)).$$

Since  $\dim S \leq 2(2n - i + j + 2) - 2$ , we get

$$p_{1345*}([\overline{C_{ij}}] \cap (\text{pr}_5^* \beta \cup \text{pr}_4^* \alpha)) = (-1)^{|\alpha| |\beta|} p_{1345*}([\overline{D_{ij}}] \cap (\text{pr}_5^* \alpha \cup \text{pr}_4^* \beta)).$$

□

By this lemma, in  $[\mathbf{q}_{-i}(\alpha), \mathbf{q}_j(\beta)]$ , the terms coming from  $\overline{C_{ij}}$  and  $\overline{D_{ij}}$  cancel out. It remains to deal with the excess intersection components along the diagonals  $Y_{\{s=t\}}$  and  $Y'_{\{s=t\}}$ . We introduce the locus

$$\Gamma = \left\{ (\xi, \underline{x}, \xi'', \underline{z}, s, t) \in X^{[n] \times [n-i+j] \times [1] \times [1]} \text{ such that } s = t, \xi|_p = \xi''|_p \text{ for } p \neq s \right. \\ \left. \text{and } HC(\xi'') = HC(\xi) + (j-i)s \text{ if } j \geq i, \text{ } HC(\xi) = HC(\xi'') + (i-j)s \text{ if } j \leq i \right\}.$$

$\Gamma$  contains  $u(A \cap B)$  and  $v(A' \cap B')$ . As before, the dimension count can be done as in the integrable case:  $\dim \Gamma < 2(2n - i + j + 2)$  if  $i \neq j$  and if  $i = j$ ,  $\Gamma$  contains a  $2(2n + 2)$ -dimensional component, namely  $\Delta_{X^{[n]}} \times \Delta_X$ . All other components have lower dimensions.

Thus, if  $i \neq j$ ,  $p_{1345*}(\iota_* R) = 0$  and  $p_{1345*}(\iota'_* R') = 0$  since they are supported in  $\Gamma$  and have degree  $2(2n - i + j + 2)$ . If  $i = j$ , then  $p_{1345*}(\iota_* R)$  and  $p_{1345*}(\iota'_* R')$  are proportional to the fundamental class of  $\Delta_{X^{[n]}} \times \Delta_X$ . Now  $p_{45*}([\Delta_{X^{[n]}} \times \Delta_X] \cap (\text{pr}_4^* \alpha \cup \text{pr}_5^* \beta)) = \int_X \alpha \beta \cdot [\Delta_{X^{[n]}}]$

and we obtain  $[\mathbf{q}_{-i}(\alpha), \mathbf{q}_i(\beta)] = \mu \int_X \alpha \beta \cdot \text{id}$  where  $\mu \in \mathbb{Q}$ . The computation of the multiplicity  $\mu$  is a local problem on  $X$  which is solved in [Gro], [El-St]. It turns out that  $\mu = -i$ .  $\square$

**Remark 4.7.** The proof remains quite similar for  $i > 0, j > 0$ . There is no excess term in this case. Indeed,  $Y = X^{[n] \times [n+i] \times [n+i+j] \times [1] \times [1]}$ ,  $\Gamma = X^{[n+i+j, n]} \subseteq X^{[n] \times [n+i] \times [n+i+j] \times [1] \times [1]}$  and  $\dim \Gamma = 2(2n + i + j + 1) < 2(2n + i + j + 2)$ .

Theorem 4.5 gives a representation in  $\mathbb{H} := \bigoplus_{n \in \mathbb{N}} H^*(X^{[n]}, \mathbb{Q})$  of the Heisenberg super-algebra  $\mathcal{H}(H^*(X, \mathbb{Q}))$ .

**Proposition 4.8.**  $\mathbb{H}$  is an irreducible  $\mathcal{H}(H^*(X, \mathbb{Q}))$ -module generated by the vector 1.

This is a consequence of Theorem 4.5 and Göttsche's formula (Theorem 3.9), as shown by Nakajima [Na].

## 5. TAUTOLOGICAL BUNDLES

**5.1. Construction of the tautological bundles.** Our aim in this section is to associate to any complex vector bundle  $E$  on an almost-complex compact fourfold  $X$  a collection of complex vector bundles  $E^{[n]}$  on  $X^{[n]}$  which generalize the tautological bundles already known in the algebraic context. The vector bundles  $E^{[n]}$  are constructed using an auxiliary relative holomorphic structure on  $E$ . However, the classes  $E^{[n]}$  in  $K(X^{[n]})$  are canonical. Finally, we compare the classes  $E^{[n]}$  and  $E^{[n+1]}$  in  $K(X^{[n]})$  and  $K(X^{[n+1]})$  through the incidence variety  $X^{[n+1, n]}$ .

In the classical case, let  $E$  be an algebraic vector bundle on an algebraic surface  $X$ . For  $n \in \mathbb{N}$ , let  $p: X^{[n]} \times X \rightarrow X^{[n]}$  and  $q: X^{[n]} \times X \rightarrow X$  be the two projections and let  $Y_n \subseteq X^{[n]} \times X$  be the incidence locus. Then  $p|_{Y_n}: Y_n \rightarrow X^{[n]}$  is finite. The tautological vector bundle  $E^{[n]}$  is defined by  $E^{[n]} = p_*(q^* E \otimes \mathcal{O}_{Y_n})$  and satisfies: for all  $\xi$  in  $X^{[n]}$ ,  $E|_{\xi}^{[n]} = H^0(\xi, i_{\xi}^* E)$ . Our first aim is to generalize this construction in the almost-complex case.

Let  $(X, J)$  be an almost-complex compact fourfold,  $Z_n \subseteq S^n X \times X$  the incidence locus,  $W$  a small neighbourhood of  $Z_n$  and  $J_n^{\text{rel}}$  a relative integrable structure on  $W$ . The fibers of  $\text{pr}_1 : W \rightarrow S^n X$  are smooth analytic sets. We endow  $W$  with the sheaf  $\mathcal{A}_W$  of continuous functions which are smooth on the fibers of  $\text{pr}_1$ . We can consider the sheaf  $\mathcal{A}_{W, \text{rel}}^{0,1}$  of relative  $(0,1)$ -forms on  $W$ . There exists a relative  $\bar{\partial}$ -operator  $\bar{\partial}^{\text{rel}} : \mathcal{A}_W \rightarrow \mathcal{A}_{W, \text{rel}}^{0,1}$  which induces for each  $\underline{x} \in S^n X$  the usual operator  $\bar{\partial} : \mathcal{A}_{W_{\underline{x}}} \rightarrow \mathcal{A}_{W_{\underline{x}}}^{0,1}$  given by the complex structure  $J_{n, \underline{x}}^{\text{rel}}$  on  $W_{\underline{x}}$ .

**Definition 5.1.** Let  $E$  be a complex vector bundle on  $X$ .

- (i) A relative connection  $\bar{\partial}_E^{\text{rel}}$  on  $E$  compatible with  $J_n^{\text{rel}}$  is a  $\mathbb{C}$ -linear morphism of sheaves  $\bar{\partial}_E : \mathcal{A}_W(\text{pr}_2^* E) \rightarrow \mathcal{A}_W^{0,1}(\text{pr}_2^* E)$  satisfying  $\bar{\partial}_E^{\text{rel}}(\varphi s) = \varphi \bar{\partial}_E^{\text{rel}} s + \bar{\partial}_E^{\text{rel}} \varphi \otimes s$  for all sections  $\varphi$  of  $\mathcal{A}_W$  and  $s$  of  $\mathcal{A}_W(\text{pr}_2^* E)$ .
- (ii) A relative connection  $\bar{\partial}_E^{\text{rel}}$  is integrable if  $(\bar{\partial}_E^{\text{rel}})^2 = 0$ .

If  $\bar{\partial}_E^{\text{rel}}$  is an integrable connection on  $E$  compatible with  $J_n^{\text{rel}}$ , we can apply the Kozsul-Malgrange integrability theorem with continuous parameters in  $S^n X$  (see [Vo 3]). Thus, for every  $\underline{x} \in S^n X$ ,  $E|_{W_{\underline{x}}}$  is endowed with the structure of a holomorphic vector bundle over  $(W_{\underline{x}}, J_{n, \underline{x}}^{\text{rel}})$  and this structure varies continuously with  $\underline{x}$ . Furthermore,  $\ker \bar{\partial}_E^{\text{rel}}$  is the sheaf of relative holomorphic sections of  $E$ . Therefore, there is no difference between relative integrable connections on  $E$  compatible with  $J_n^{\text{rel}}$  and relative holomorphic structures on  $E$  compatible with  $J_n^{\text{rel}}$ .

Taking relative holomorphic coordinates for  $J_n^{\text{rel}}$ , we can see that relative integrable connections exist on  $W$  over small open sets of  $S^n X$ . By a partition of unity on  $S^n X$ , it is possible to build global ones. The space of holomorphic structures on a complex vector bundle over a ball in  $\mathbb{C}^2$  is contractible. Therefore the space of relative holomorphic structures on  $E$  compatible with  $J_n^{\text{rel}}$  is also contractible.

We proceed now to the construction of the tautological bundle  $E^{[n]}$  on  $X_{J_n^{\text{rel}}}^{[n]}$ . Let  $\bar{\partial}_E^{\text{rel}}$  be a relative holomorphic structure on  $E$  adapted to  $J_n^{\text{rel}}$ . Taking relative holomorphic coordinates, we get a vector bundle  $E_{\text{rel}}^{[n]}$  over  $W_{\text{rel}}^{[n]}$  satisfying: for each  $\underline{x}$  in  $S^n X$ ,  $E_{\text{rel}}^{[n]}|_{W_{\underline{x}}^{[n]}} = E|_{W_{\underline{x}}}$ , where  $E|_{W_{\underline{x}}}$  is endowed with the holomorphic structure given by  $\bar{\partial}_{E, \underline{x}}^{\text{rel}}$ .

**Definition 5.2.** Let  $i : X_{J_n^{\text{rel}}}^{[n]} \rightarrow W_{\text{rel}}^{[n]}$  be the canonical injection. The complex vector bundle  $(E^{[n]}, J_n^{\text{rel}}, \bar{\partial}_E^{\text{rel}})$  on  $X_{J_n^{\text{rel}}}^{[n]}$  is defined by  $E^{[n]} = i^* E_{\text{rel}}^{[n]}$ .

In the sequel, we consider the class of  $E^{[n]}$  in  $K(X^{[n]})$ , which we prove below to be independent of the structures used in the construction.

**Proposition 5.3.** The class of  $E^{[n]}$  in  $K(X^{[n]})$  is independent of  $(J_n^{\text{rel}}, \bar{\partial}_E^{\text{rel}})$ .

*Proof.* Let  $(J_{0,n}^{\text{rel}}, \bar{\partial}_{E,0}^{\text{rel}})$  and  $(J_{1,n}^{\text{rel}}, \bar{\partial}_{E,1}^{\text{rel}})$  be two relative holomorphic structures on  $E$ ,  $(J_{t,n}^{\text{rel}}, \bar{\partial}_{E,t}^{\text{rel}})$  be a smooth path between them, and  $W_{\text{rel}}^{[n]}$  be the relative Hilbert scheme over  $S^n X \times [0, 1]$  for

the family  $(J_{t,n}^{\text{rel}})_{0 \leq t \leq 1}$ . There exists a vector bundle  $(\tilde{E}_{\text{rel}}^{[n]}, \{J_{t,n}^{\text{rel}}\}_{0 \leq t \leq 1}, \{\bar{\partial}_{E,t}^{\text{rel}}\}_{0 \leq t \leq 1})$  over  $W_{\text{rel}}^{[n]}$  such that for all  $t$  in  $[0, 1]$ ,  $\tilde{E}_{\text{rel}|W_{\text{rel},t}^{[n]}}^{[n]} = (E_{\text{rel}}^{[n]}, J_{t,n}^{\text{rel}}, \bar{\partial}_{E,t}^{\text{rel}})$ . If  $\mathfrak{X} = (X^{[n]}, \{J_{t,n}^{\text{rel}}\}_{0 \leq t \leq 1}) \subseteq W_{\text{rel}}^{[n]}$  is the relative Hilbert scheme over  $[0, 1]$ , then  $\tilde{E}_{\text{rel}|\mathfrak{X}}^{[n]}$  is a complex vector bundle on  $\mathfrak{X}$  whose restriction to  $\mathfrak{X}_t$  is  $(E_{\text{rel}}^{[n]}, J_{t,n}^{\text{rel}}, \bar{\partial}_{E,t}^{\text{rel}})$ . Now  $\mathfrak{X}$  is topologically trivial over  $[0, 1]$  by Proposition 3.10. Since  $K(\mathfrak{X}_0 \times [0, 1]) \simeq K(\mathfrak{X}_0)$ , we get the result.  $\square$

If  $\mathbb{T} = X \times \mathbb{C}$  is the trivial complex line bundle on  $X$ , the tautological bundles  $\mathbb{T}^{[n]}$  already convey geometric informations on  $X^{[n]}$ . Let  $\partial X^{[n]} \subseteq X^{[n]}$  be the inverse image of the big diagonal of  $S^n X$  by the Hilbert-Chow morphism. We have  $\dim \partial X^{[n]} = 4n - 2$  and  $H_{4n-2}(\partial X^{[n]}, \mathbb{Z}) \simeq \mathbb{Z}$  (this can be proved as in Lemma 4.2).

**Lemma 5.4.**  $c_1(\mathbb{T}^{[n]}) = -\frac{1}{2} PD^{-1}([\partial X^{[n]}])$  in  $H^2(X^{[n]}, \mathbb{Q})$ .

*Proof.* Let  $U = \{(x_1, \dots, x_n) \in X^{[n]} \text{ such that for all } (i, j) \text{ with } i \neq j, x_i \neq x_j\}$ . Then  $X^{[n]} \setminus \partial X^{[n]}$  is canonically isomorphic to  $U/\mathfrak{S}_n$ . If  $\sigma : U \rightarrow X^{[n]} \setminus \partial X^{[n]}$  is the associated quotient map,  $\sigma^* \mathbb{T}^{[n]} \simeq \bigoplus_{i=1}^n \text{pr}_i^* \mathbb{T}$ , so that  $\sigma^* \mathbb{T}^{[n]}$  is trivial. Since  $\sigma$  is a finite covering map,  $c_1(\mathbb{T}^{[n]})|_{X^{[n]} \setminus \partial X^{[n]}}$  is a torsion class, so it is zero in  $H^2(X^{[n]} \setminus \partial X^{[n]}, \mathbb{Q})$ . This implies that  $c_1(\mathbb{T}^{[n]}) = \mu PD^{-1}([\partial X^{[n]}])$  where  $\mu \in \mathbb{Q}$ . To compute  $\mu$ , we argue locally on  $S^n X$  around a point in the stratum

$$S = \{\underline{x} \in S^n X \text{ such that } x_i \neq x_j \text{ except for one pair } \{i, j\}\}.$$

This reduces the computation to the case  $n = 2$ . Then  $U^{[2]} = Bl_{\Delta}(U \times U)/\mathbb{Z}_2$ , where  $U \subseteq X$  is endowed with an integrable complex structure and  $\Delta$  is the diagonal of  $U$ . If  $E \subseteq Bl_{\Delta}(U \times U)$  is the exceptional divisor and  $\pi : Bl_{\Delta}(U \times U) \rightarrow U^{[2]}$  is the projection, then  $\pi^*([\partial U^{[2]}]) = 2[E]$  and  $\pi^* c_1(\mathbb{T}^{[2]}) = c_1(\pi^* \mathbb{T}^{[2]}) = c_1(\mathcal{O}(-E)) = -[E]$  in  $H^2(Bl_{\Delta}(U \times U), \mathbb{Z})$ . This gives the value  $\mu = -1/2$ .  $\square$

**5.2. Tautological bundles and incidence varieties.** We want to compare the tautological bundles  $E^{[n]}$  and  $E^{[n+1]}$  through the incidence variety  $X^{[n+1,n]}$ . In the integrable case,  $X^{[n+1,n]}$  is smooth. If  $D \subseteq X^{[n+1,n]}$  is the divisor  $\bar{Z}_1$  (see (3)), we have an exact sequence (see [Da], [Le]):

$$(5) \quad 0 \longrightarrow \rho^* E \otimes \mathcal{O}_{X^{[n+1,n]}}(-D) \longrightarrow \nu^* E^{[n+1]} \longrightarrow \lambda^* E^{[n]} \longrightarrow 0,$$

where  $\lambda : X^{[n+1,n]} \rightarrow X^{[n]}$ ,  $\nu : X^{[n+1,n]} \rightarrow X^{[n+1]}$  and  $\rho : X^{[n+1,n]} \rightarrow X$  are the two natural projections and the residual map.

In the almost-complex case,  $X^{[n+1,n]}$  is a topological manifold of dimension  $4n + 4$ . If we choose a relative integrable structure  $J_{n+1}^{\text{rel}}$  with additional properties as given in [Vo 1],  $X^{[n+1,n]}$  can be endowed with a differentiable structure, but we will not need it here.

Let  $J_n^{\text{rel}}$  and  $J_{n+1}^{\text{rel}}$  be two relative integrable structures in small neighbourhoods of  $Z_n$  and  $Z_{n+1}$ . We extend them to relative structures  $\check{J}_n^{\text{rel}}$  and  $\check{J}_{n+1}^{\text{rel}}$  in small neighbourhoods of  $Z_{n \times (n+1)}$ . Then  $(X^{[n] \times [n+1]}, \check{J}_n^{\text{rel}}, \check{J}_{n+1}^{\text{rel}}) = X_{J_n^{\text{rel}}}^{[n]} \times X_{J_{n+1}^{\text{rel}}}^{[n+1]}$ . If  $J_{n \times (n+1)}^{\text{rel}}$  is a relative integrable structure in a small neighbourhood of  $Z_{n \times (n+1)}$  and  $J_{n \times 1}^{\text{rel}}$  is defined by  $J_{n \times 1, \underline{x}, p}^{\text{rel}} = J_{n \times (n+1), \underline{x}, \underline{x} \cup p}^{\text{rel}}$ , then we have a diagram:

$$\begin{array}{ccccc}
 & & & & X_{J_{n+1}^{\text{rel}}}^{[n+1]} \\
 & & & \nearrow \nu & \uparrow \text{pr}_1 \\
 X_{J_{n \times 1}^{\text{rel}}}^{[n+1, n]} & \hookrightarrow & (X^{[n] \times [n+1]}, J_{n \times (n+1)}^{\text{rel}}, J_{n \times (n+1)}^{\text{rel}}) & \xrightarrow[\simeq]{\Phi} & (X^{[n] \times [n+1]}, \check{J}_n^{\text{rel}}, \check{J}_{n+1}^{\text{rel}}) \\
 & \searrow \lambda & & & \downarrow \text{pr}_2 \\
 & & & & X_{J_n^{\text{rel}}}^{[n]}
 \end{array}$$

where  $\Phi$  is a homeomorphism uniquely determined up to homotopy. We will denote by  $D$  the inverse image of the incidence locus of  $S^n X \times X$  by the map  $X^{[n+1, n]} \longrightarrow S^n X \times X$ , so that  $D = \overline{Z}_1$  where  $Z_1$  is defined by (3). The cycle  $D$  has a fundamental homology class in  $H_{4n+2}(X^{[n+1, n]}, \mathbb{Z})$ . Furthermore, there exists a unique complex line bundle  $F$  on  $X^{[n+1, n]}$  such that  $PD(c_1(F)) = -[D]$ .

**Proposition 5.5.** *In  $K(X^{[n+1, n]})$ , the following identity holds:  $\nu^* E^{[n+1]} = \lambda^* E^{[n]} + \rho^* E \otimes F$ .*

*Proof.* Let  $\overline{\partial}_{E, n \times 1}^{\text{rel}}$ ,  $\overline{\partial}_{E, n}^{\text{rel}}$  and  $\overline{\partial}_{E, n+1}^{\text{rel}}$  be relative holomorphic structures on  $E$  compatible with  $J_{n \times 1}^{\text{rel}}$ ,  $J_n^{\text{rel}}$  and  $J_{n+1}^{\text{rel}}$ . For each  $(\underline{x}, p) \in S^n X \times X$ , we consider the exact sequence (5) on  $(W_{\underline{x}, p}, J_{n \times 1, \underline{x}, p}^{\text{rel}})$  for the holomorphic vector bundle  $(E|_{W_{\underline{x}, p}}, \overline{\partial}_{E, n \times 1, \underline{x}, p}^{\text{rel}})$ . Putting these exact sequences in families over  $S^n X \times X$ , and restricting it to  $X^{[n+1, n]}$ , we get an exact sequence  $0 \longrightarrow \rho^* E \otimes G \longrightarrow A \longrightarrow B \longrightarrow 0$ , where  $G$  is a complex line bundle on  $X^{[n+1, n]}$  and  $A$  and  $B$  are two vector bundles on  $X^{[n+1, n]}$  such that for all  $(\underline{x}, p)$  in  $S^n X \times X$ :

$$(6) \quad A|_{\xi, \xi', \underline{x}, p} = \left( E|_{\xi'}^{[n+1]}, \overline{\partial}_{E, n \times 1, \underline{x}, p}^{\text{rel}}, J_{n \times 1, \underline{x}, p}^{\text{rel}} \right), \quad B|_{\xi, \xi', \underline{x}, p} = \left( E|_{\xi}^{[n]}, \overline{\partial}_{E, n \times 1, \underline{x}, p}^{\text{rel}}, J_{n \times 1, \underline{x}, p}^{\text{rel}} \right).$$

Now,  $\Phi$  is given by  $\Phi(\xi, \xi', \underline{u}, \underline{v}) = (\phi_{\underline{u}, \underline{v}*} \xi, S^n \phi_{\underline{u}, \underline{v}}(\underline{u}), \psi_{\underline{u}, \underline{v}*} \xi', S^{n+1} \psi_{\underline{u}, \underline{v}*}(\underline{v}))$ . Thus

$$\begin{aligned}
 \nu^* E|_{\xi, \xi', \underline{x}, p}^{[n+1]} &= \left( E|_{\psi_{\underline{x}, \underline{x} \cup p}*}^{[n+1]} \xi', \overline{\partial}_{E, n+1, S^{n+1} \psi_{\underline{x}, \underline{x} \cup p}(\underline{x} \cup p)}^{\text{rel}}, J_{n+1, S^{n+1} \psi_{\underline{x}, \underline{x} \cup p}(\underline{x} \cup p)}^{\text{rel}} \right), \\
 \lambda^* E|_{\xi, \xi', \underline{x}, p}^{[n]} &= \left( E|_{\phi_{\underline{x}, \underline{x} \cup p}*}^{[n]} \xi, \overline{\partial}_{E, n, S^n \phi_{\underline{x}, \underline{x} \cup p}(\underline{x})}^{\text{rel}}, J_{n, S^n \phi_{\underline{x}, \underline{x} \cup p}(\underline{x})}^{\text{rel}} \right).
 \end{aligned}$$

As in Proposition 5.3, the classes  $A$  and  $B$  in  $K(X^{[n+1, n]})$  are independent of the structures used to define them. If  $J_{n \times (n+1)}^{\text{rel}} = \check{J}_{n+1}^{\text{rel}}$  and for all  $(\underline{x}, p)$  in  $S^n X \times X$ ,  $\overline{\partial}_{E, n \times 1, \underline{x}, p}^{\text{rel}} = \overline{\partial}_{E, n \times 1, \underline{x} \cup p}^{\text{rel}}$ , we can



take  $\psi_{\underline{u}, \underline{v}} = \text{id}$  in a neighbourhood of  $\underline{v}$ . Thus  $A = \nu^* E^{[n+1]}$ . On the other way, if  $J_{n \times (n+1)}^{\text{rel}} = \check{J}_n^{\text{rel}}$  and for all  $(\underline{x}, p)$  in  $S^n X \times X$ ,  $\overline{\partial}_{E, n \times 1, \underline{x}, p}^{\text{rel}} = \overline{\partial}_{E, n, \underline{x}}^{\text{rel}}$  in a neighbourhood of  $\underline{x}$ , we can take  $\phi_{\underline{u}, \underline{v}} = \text{id}$  in a neighbourhood of  $\underline{u}$ . Thus  $B = \lambda^* E^{[n]}$ . This proves that  $\nu^* E^{[n+1]} - \lambda^* E^{[n]} = \rho^* E \otimes G$  in  $K(X^{[n+1, n]})$ . If  $\mathbb{T}$  is the trivial complex line bundle on  $X$ ,  $\nu^* \mathbb{T}^{[n+1]} \simeq \lambda^* \mathbb{T}^{[n]} \oplus \rho^* \mathbb{T}$  on  $X^{[n+1, n]} \setminus D$ . Thus  $G$  is trivial outside  $D$ . This yields  $PD(c_1(G)) = \mu[D]$ , where  $\mu \in \mathbb{Q}$  and the computation of  $\mu$  is local, as in Lemma 5.4, so that  $\mu = -1$ .  $\square$

If  $X$  is a projective surface, the subring of  $H^*(X^{[n]}, \mathbb{Q})$  generated by the classes  $\text{ch}_k(E^{[n]})$  (where  $E$  runs through all the algebraic vector bundles on  $X$ ) is called the *ring of algebraic classes* of  $X^{[n]}$ . If  $(X, J)$  is an almost-complex compact fourfold, we can in the same manner consider the subring of  $H^*(X^{[n]}, \mathbb{Q})$  generated by the classes  $\text{ch}_k(E^{[n]})$ , where  $E$  runs through all the complex vector bundles on  $X$ . If  $X$  is projective, this ring is much bigger than the ring of the algebraic classes. In a forthcoming paper, we will show that it is indeed equal to  $H^*(X^{[n]}, \mathbb{Q})$  if  $X$  is a symplectic compact fourfold satisfying  $b_1(X) = 0$ , and we will describe the ring structure of  $H^*(X^{[n]}, \mathbb{Q})$ .

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