

## SINGULAR LINES OF TRILINEAR FORMS

JAN DRAISMA AND RON SHAW

ABSTRACT. We prove that an alternating  $e$ -form on a vector space over a quasi-algebraically closed field always has a singular  $(e - 1)$ -dimensional subspace, provided that the dimension of the space is strictly greater than  $e$ . Here an  $(e - 1)$ -dimensional subspace is called singular if pairing it with the  $e$ -form yields zero. By the theorem of Chevalley and Warning our result applies in particular to finite base fields. Our proof is most interesting in the case where  $e = 3$  and the space has odd dimension  $n$ ; then it involves a beautiful equivariant map from alternating trilinear forms to polynomials of degree  $\frac{n-1}{2} - 1$ . We also give a sharp upper bound on the dimension of subspaces all of whose 2-dimensional subspaces are singular for a non-degenerate trilinear form. In certain binomial dimensions the trilinear forms attaining this upper bound turn out to form a single orbit under the general linear group, and we classify their singular lines.

## 1. INTRODUCTION AND THE MAIN THEOREM

While alternating bilinear forms on an  $n$ -dimensional vector space  $V$  are very well understood in terms of their ranks and orbits—the forms of rank at most  $2k$  form a Zariski-closed set in which those of rank exactly  $2k$  form a single orbit for each  $k = 0, \dots, \lfloor n/2 \rfloor$ —*trilinear* and higher alternating multilinear forms on  $V$  are much harder to grasp. For instance, being of rank at most  $k$ , that is, being expressible as the sum of at most  $k$  decomposable alternating forms, is no longer necessarily a closed condition. Even the generic rank of trilinear forms is not known exactly, although tight asymptotic results have recently been obtained [1]. As for orbits, trilinear forms have been classified on spaces of dimension up to seven over arbitrary fields [6, 11], as well as in dimensions 8 over the complex or real numbers [7, 9]. In dimension 8 there are 23 orbits over the complex numbers, and the Hasse diagram of their orbit closures is known explicitly [8]. For trilinear forms on  $\mathbb{C}^9$  the number of orbits is infinite, but the invariant ring of the action of  $\mathrm{SL}_9$  on them is well understood—in particular, it is free—and this contributes to the classification in [13]. Beyond that, there seems little hope of a full classification.

This paper settles a question, put forward as conjecture A in [12], about the geometry of trilinear forms in arbitrary dimension. To state our main result we introduce some notation and terminology. Write  $\langle \cdot, \cdot \rangle : V \times V^* \rightarrow K$  for the natural pairing of  $V$  with its dual  $V^*$  to the ground field  $K$ , and  $\bigwedge^d V$  for  $d$ -th exterior power of  $V$ . Recall that for  $e \geq d$  there is a natural bilinear map  $\bigwedge^d V \times \bigwedge^e(V^*) \rightarrow \bigwedge^{e-d}(V^*)$  determined by

$$(v_1 \wedge \cdots \wedge v_d, y_1 \wedge \cdots \wedge y_e) \mapsto \sum_{\pi: [d] \rightarrow [e]} \mathrm{sgn}(\pi) \left( \prod_{i=1}^d \langle v_i, y_{\pi(i)} \rangle \right) \bigwedge_{j \notin \mathrm{im}(\pi)} y_j.$$

---

Draisma was partially supported by the MSRI programme on tropical geometry and by DIAMANT, an NWO mathematics cluster.

Here the sum is taken over all injections  $\pi : [d] := \{1, \dots, d\} \rightarrow [e]$ , of which the sign  $\text{sgn } \pi$  is defined to be the sign of the unique extension of  $\pi$  to a permutation  $\pi' : [e] \rightarrow [e]$  that is strictly increasing on  $\{d+1, \dots, e\}$ . Moreover, the last wedge is taking in order of increasing index  $j$ . For  $d = e = 1$  this pairing reduces to  $\langle \cdot, \cdot \rangle$ , and we will use the latter notation for general  $d \leq e$ , as well. Whenever  $d = e$  the pairing  $\langle \cdot, \cdot \rangle$  is a non-degenerate  $K$ -valued pairing, by which we identify  $(\bigwedge^e V)^*$  with  $\bigwedge^e(V^*)$ . Elements of either of these spaces, or of the space of alternating multilinear forms  $V^e \rightarrow K$ , are called *alternating  $e$ -forms on  $V$* .

Let  $\omega$  be an alternating  $e$ -form. An element  $\lambda \in \bigwedge^d V$  with  $d \leq e$  is called *singular for  $\omega$*  or  *$\omega$ -singular* if  $\langle \lambda, \omega \rangle = 0 \in \bigwedge^{e-d} V^*$ . Similarly, a  $d$ -dimensional subspace  $U$  of  $V$  is called *singular for  $\omega$*  if  $\langle \bigwedge^d U, \omega \rangle = \{0\}$ , that is, if the one-dimensional subspace  $\bigwedge^d U$  of  $\bigwedge^d V$  is spanned by an  $\omega$ -singular element. More generally, suppose that  $d, e, f$  are natural numbers with  $f \leq e$ . Then a  $d$ -dimensional subspace  $U$  of  $V$  is called  *$f$ -singular for  $\omega$*  if  $\bigwedge^f U$  consists entirely of  $\omega$ -singular elements, or, equivalently, if every  $f$ -dimensional subspace of  $U$  is  $\omega$ -singular. For  $d < f$  this is automatically true, and for  $f = d$  this reduces to the statement that  $U$  is  $\omega$ -singular.

For instance, a vector  $v \in V$  is singular for an alternating bilinear form  $\omega$  if and only if  $\omega(v, w) = 0$  for all  $w$ , that is, if and only if  $v$  lies in the radical of  $\omega$ . Similarly, a two-dimensional subspace  $U$  of  $V$  is singular for a trilinear form  $\omega$  if and only if  $\omega(u, u', v) = 0$  for all  $u, u' \in U$ . In projective terminology, as in [12], such  $U$  are called *singular lines*. We will use both projective terminology (point, line) and vector space terminology (one-dimensional subspace, two-dimensional subspace).

Notice that there is some asymmetry in these notions, which we could have avoided by allowing that  $e < d$  and by calling a the *pair*  $\lambda \in \bigwedge^d V$ ,  $\omega \in \bigwedge^e V^*$  singular. However, in this paper we will be primarily interested in questions of the following flavour: fixing an alternating  $e$ -form  $\omega$ , what can we say about the  $d$ -singular subspaces of  $V$  for some  $d \leq e$ ? This justifies the present notions.

**Theorem 1.1** (Main theorem). *Let  $K$  be a quasi-algebraically closed field, that is, every non-constant homogeneous multivariate polynomial of degree less than the number of its variables has a non-zero  $K$ -valued root. Let  $e$  be an integer with  $e \geq 3$ , and let  $V$  be a vector space over  $K$  of dimension at least  $e + 1$ . Then every alternating  $e$ -form on  $V$  has a singular  $(e - 1)$ -dimensional space.*

The conclusion of the theorem holds in particular for finite fields, which are quasi-algebraically closed by the Theorem of Chevalley and Warning [5, 14]. Note that the statement is false if  $V$  has dimension  $e$ : an  $e$ -form spanning the one-dimensional space  $\bigwedge^e V^*$  does not have singular  $(e - 1)$ -spaces. Also, the following construction shows that the statement is, in general, false for trilinear forms over non-quasi-algebraically closed fields.

**Example 1.2.** Consider a real Euclidean space  $E$  of dimension 7 and inner product denoted by  $\cdot$ . It is known, see [3], that there exist vector cross products  $a \times b \in V$  which are bilinear and which satisfy the axioms

$$\begin{aligned} (1) \quad & a \times b \cdot a = 0, \quad a \times b \cdot b = 0, \\ (2) \quad & a \times b \cdot a \times b = (a \cdot a)(b \cdot b) - (a \cdot b)^2. \end{aligned}$$

It follows that  $\omega(a, b, c) := a \times b \cdot c$  defines an alternating trilinear form on  $E$ , and (2) implies that  $a \times b \neq 0$  for all linearly independent  $a, b$ . Hence there are no 2-dimensional  $\omega$ -singular subspaces.

Such exceptional alternating trilinear forms are of great interest and are well-known, see for example [2], to be related to the composition algebra  $\mathbb{O}$  of the real octonions. With respect to an orthonormal basis  $\{x_1, \dots, x_7\}$  of  $E^*$  one such  $\omega \wedge^3 E^*$  is given by

$$(3) \quad \omega := f_{124} + f_{235} + f_{346} + f_{457} + f_{561} + f_{672} + f_{713},$$

where  $f_{ijk} = x_i \wedge x_j \wedge x_k$ . It is known, see [4, Theorem 1], that the stabiliser  $\mathrm{GL}(E)_\omega$  of  $\omega$  in  $\mathrm{GL}(E)$  is a subgroup of  $\mathrm{SO}(E) \cong \mathrm{SO}(7)$  which is isomorphic to the compact exceptional real Lie group  $G_2$ , and that  $\mathrm{GL}(E)_\omega$  acts transitively on the set of 2-dimensional vector subspaces of  $E$ . Now, from (3), the linear form  $\omega(e_1, e_2, \cdot)$  is nonzero. Consequently, by the afore-mentioned transitivity, for any 2-dimensional space  $\mathbb{R}a \oplus \mathbb{R}b \subseteq E$  the form  $\omega(a, b, \cdot)$  is nonzero, thus recovering the fact that  $\omega$  has no singular lines.

This paper is organised as follows. In Section 2 we collect some results on divided powers of alternating forms of even degree, which we use in Section 3 to prove our main theorem. It turns out that the proof is most interesting for trilinear forms in odd dimensions  $n$ , where we prove that the singular lines either sweep out the entire projective  $(n-1)$ -space or else a hypersurface of degree  $\frac{n-1}{2} - 1$ . Finally, in Section 4 we study 2-singular subspaces for a trilinear form on a vector space  $V$ . In particular, we give a sharp upper bound in terms of  $\dim V$  on the dimension of such subspaces (assuming that  $\omega$  is non-degenerate), and study the trilinear forms in certain binomial dimensions attaining this bound.

#### ACKNOWLEDGMENTS

We thank Arjeh Cohen for useful discussions on the topic of this paper.

#### 2. DIVIDED POWERS IN THE GRASSMANN ALGEBRA

For an  $n$ -dimensional vector space  $V$  over a field  $K$  let  $\bigwedge V = \bigoplus_{d=0}^n \bigwedge^d V$  denote the Grassmann algebra of  $V$ . This is an associative  $K$ -algebra in which the multiplication, denoted  $\wedge$ , takes  $\bigwedge^d V \times \bigwedge^e V$  into  $\bigwedge^{d+e} V$ . Let  $e_1, \dots, e_n$  be a basis of  $V$ , and for a  $d$ -element subset  $I = \{i_1 < \dots < i_d\}$  of  $[n]$  write  $e_I := e_{i_1} \wedge \dots \wedge e_{i_d}$ . These elements form a basis of  $\bigwedge^d V$ . Now assume that  $d$  is even, and let  $\omega \in \bigwedge^d V$ . For every natural number  $k$  we define an element  $\omega^{(k)}$  of  $\bigwedge^{kd} V$  as follows. Write  $\omega = \sum_{I \subseteq [n], |I|=d} \alpha_I e_I$  and set

$$(4) \quad \omega^{(k)} := \sum_{I \subseteq [n], |I|=kd} \left( \sum_{\{I_1, \dots, I_k\}, \bigcup_j I_j = I, |I_j|=d} \left( \prod_j \alpha_{I_j} \right) e_{I_1} \wedge \dots \wedge e_{I_k} \right).$$

The second sum is over all unordered partitions of  $I$  into  $k$   $d$ -element subsets. It is important that these partitions are taken unordered, so that a permutation of the  $I_j$  does not yield further terms in the second sum. Note that the expression being summed is well-defined as interchanging two consecutive factors  $e_{I_j}$ s does not change the sign of the wedge-product—here we use that  $d$  is even.

**Lemma 2.1.** *For even  $d$  the map  $\bigwedge^d V \rightarrow \bigwedge^{kd} V$ ,  $\omega \mapsto \omega^{(k)}$  has the following properties:*

- (1)  $\omega \wedge \omega \wedge \dots \wedge \omega$ , where the number of factors is  $k$ , equals  $(k!) \omega^{(k)}$ ;
- (2) the map  $\omega \mapsto \omega^{(k)}$  does not depend on the choice of the basis  $e_1, \dots, e_n$ ;
- (3) for any  $K$ -linear map  $A : V \rightarrow W$  of vector spaces we have  $((\bigwedge^d A)\omega)^{(k)} = (\bigwedge^{kd} A)(\omega^{(k)})$ ; and
- (4) if  $d = 2$  and  $\dim V = 2k$ , then  $\omega^{(k)}$  is zero if and only if  $\omega$  does not have full rank.

*Proof.* Property (1) is obvious: multiplying by  $k!$  has the same effect as summing, in (4), over all ordered partitions.

Property (2) is clear in characteristic zero by property (1). Now if we express  $e_1, \dots, e_n$  by an invertible matrix  $g$  in a second basis  $e'_1, \dots, e'_n$ , then the fact that  $\omega^{(d)}$  does not change when  $K$  has characteristic 0 translates into identities among certain polynomial expressions over  $\mathbb{Z}$  in  $\det(g)^{-1}$  and the  $g_{ij}$ . These identities hold over any field, which proves the basis-independence over any  $K$ .

The basis independence implies property (3): choose a basis  $e_1, \dots, e_m, \dots, e_n$  of  $V$  such that  $e_{m+1}, \dots, e_n$  span  $\ker(A)$ , and extend  $Ae_1, \dots, Ae_m$  to a basis of  $W$ . In these bases it is trivial to verify that  $((\bigwedge^d A)\omega)^{(k)} = (\bigwedge^{kd} A)\omega^{(k)}$ .

Property (4) also follows from basis independence. Indeed, one can choose a basis  $e_1, \dots, e_{2m}, \dots, e_{2k}$  of  $V$  with  $m \leq k$  such that  $\omega = \sum_{i=1}^m e_{2i-1} \wedge e_{2i}$ . If  $m < d$  then all terms in (4) are zero. If  $m = d$  then the expression equals  $e_1 \wedge \dots \wedge e_{2d} \neq 0$ .  $\square$

**Remark 2.2.** (1) We call  $\omega^{(k)}$  the  $k$ -th *divided power* of  $\omega$ .

(2) If  $d = 2$  and  $n = 2k$ , then the  $k$ -th divided power of  $\omega$  is known as its *Pfaffian*.

(3) In our application below, this lemma will be applied to  $V^*$ .

### 3. PROOF OF THE MAIN THEOREM

We first prove our main theorem for trilinear forms. Here we distinguish two cases, according to the parity of  $\dim V$ .

**Proposition 3.1.** *Let  $V$  be a vector space of even dimension over any field and let  $\omega \in \bigwedge^3 V^*$ . Then every one-dimensional subspace of  $V$  is contained in an  $\omega$ -singular two-dimensional subspace of  $V$ .*

*Proof.* For any one-dimensional subspace  $\langle u \rangle$  of  $V$  the alternating bilinear form  $\langle u, \omega \rangle \in \bigwedge^2(V^*)$  has rank at most  $\dim V - 1$ , as  $u$  is in its radical. But the rank of an alternating bilinear form is even, so the rank of  $\langle u, \omega \rangle$  is at most  $\dim V - 2$ . Hence there exists a  $u'$ , linearly independent of  $u$ , such that  $\langle u \wedge u', \omega \rangle = 0$ .  $\square$

**Theorem 3.2.** *Let  $V$  be a vector space of odd dimension  $n \geq 5$  over a field  $K$  and let  $\omega \in \bigwedge^3 V^*$ . Then the union of all  $\omega$ -singular lines is either all of  $V$  or a hypersurface defined by a homogeneous polynomial in  $K[V]$  of degree  $(n-1)/2 - 1$ .*

In particular, if  $K$  is quasi-algebraically closed, then this hypersurface contains  $K$ -rational points, since  $(n-1)/2 - 1$  is greater than zero and less than  $n$ , the number of variables.

*Proof.* For any non-zero  $u \in V$  consider the alternating bilinear form  $\omega_u := \langle u, \omega \rangle \in \bigwedge^2 V^*$ . This is an element of  $\bigwedge^2(u^0) \subseteq \bigwedge^2(V^*)$ , where  $u^0$  is the annihilator of  $u$

in  $V^*$ . Setting  $k := (n-1)/2$ , the  $k$ -th divided power  $\omega_u^{(k)}$  of  $\omega_u$  lies in the one-dimensional subspace  $\bigwedge^{n-1}(u^0)$  of the  $n$ -dimensional space  $\bigwedge^{n-1}(V^*)$ . By choosing a basis in the one-dimensional space  $\bigwedge^n(V^*)$  the space  $\bigwedge^{n-1}(V^*)$  can be identified with  $(V^*)^* = V$ . Under this identification the one-dimensional subspace  $\bigwedge^{n-1}(u^0)$  corresponds to the one-dimensional subspace  $Ku$ , and hence  $\omega_u^{(k)}$  corresponds to a multiple  $f_\omega(u)u$  of  $u$ . Now  $f_\omega(u)$  is either zero or a homogeneous polynomial in  $u$  of degree  $k-1 = (n-1)/2-1$ , which as  $n > 3$  is strictly positive. Its non-zero roots are precisely the vectors  $u \neq 0$  for which  $\omega_u$  does not have full rank, by property (4) in Lemma 2.1 applied to the even-dimensional space  $u^0$ . These, in turn, are precisely the vectors  $u \neq 0$  for which there exists a  $u' \in V$ , linearly independent of  $u$ , for which  $\langle u \wedge u', \omega \rangle = 0$ —that is, the vectors  $u \neq 0$  lying in some two-dimensional  $\omega$ -singular space.  $\square$

Now we can prove the main theorem in full generality.

*Proof of the main theorem.* Let  $\omega$  be an alternating  $e$ -form on a space of dimension larger than  $e$ , and assume that  $e \geq 3$ . We have to prove that there exist  $(e-1)$ -dimensional  $\omega$ -singular spaces. Choose an  $(e-3)$ -dimensional subspace  $U$  of  $V$ , let  $\lambda \in \bigwedge^{e-3} V$  span  $\bigwedge^{e-3} U$ , and consider  $\omega' := \langle \lambda, \omega \rangle \in \bigwedge^3(V/U)^*$ . By Proposition 3.1 and Theorem 3.2 the space  $V/U$ , which is of dimension greater than 3, contains an  $\omega'$ -singular two-dimensional space  $V'$ . The pre-image of  $V'$  in  $V$  is an  $(e-1)$ -dimensional  $\omega$ -singular space.  $\square$

**Remark 3.3.** The following remarks all concern trilinear forms.

- (1) The map  $\bigwedge^3 V^* \rightarrow S^{(n-1)/2-1} V^*$  sending  $\omega$  to  $f_\omega$  is  $\mathrm{GL}(V)$ -equivariant by construction. This map may prove useful in the further study of alternating trilinear forms.
- (2) If  $K$  is finite and  $n$  is odd, the theorem of Chevalley and Warning allows one to add another  $(n+1)/2$  linear equations, which then still have a non-zero common root with  $f$ . Hence every space of vector dimension  $(n-1)/2$  intersects some singular line.
- (3) Suppose that  $K$  is algebraically closed. Then every line intersects some singular lines. If  $f$  is non-zero, then a general line has  $(n-1)/2-1$  intersections with singular lines.
- (4) From the classification in [6] one can deduce that for trilinear forms on spaces of dimensions 5 and 7 the polynomial  $f$  is identically zero if and only if  $\omega$  has a singular one-dimensional space, that is, if and only if  $\omega \in \bigwedge^3 U^*$  for some proper subspace  $U^*$  of  $V^*$ . The implication  $\Rightarrow$  clearly always holds, but the converse does not. Indeed, consider the form

$$\omega = x_1 \wedge x_2 \wedge x_3 + x_4 \wedge x_5 \wedge x_6 + x_7 \wedge x_8 \wedge x_9,$$

where  $x_1, \dots, x_9$  are a basis of a 9-dimensional space  $V^*$ . For general  $v$  the radical of  $\omega_v$  is three-dimensional, hence  $f_\omega$  is identically zero, but  $\omega$  does not have a singular point.

- (5) In the previous example  $\omega$  equals  $\omega_1 + \omega_2 + \omega_3$  for a suitable decomposition  $V = V_1 \oplus V_2 \oplus V_3$  and  $\omega_i \in V_i^* = (V_j \oplus V_k)^0$  for all distinct  $i, j, k$ . One may be led to think that  $f_\omega$  is identically zero if and only if  $\omega$  is the sum of forms  $\omega_i$ , where each  $\omega_i \in V_i^* = (\bigoplus_{j \neq i} V_j)^*$  for some non-trivial vector space decomposition  $V = \bigoplus_i V_i$ . This is, however, not true: take

$V$  equal to a simple Lie algebra of odd dimension  $n$  and rank  $l$ , say in characteristic zero. For instance, one may take  $V = \mathfrak{sl}_m$  with  $m$  even, so that  $n = m^2 - 1$  and  $l = m - 1$  are odd. Let  $\omega$  be the trilinear form on  $V$  defined by  $\omega(u, v, w) = \kappa([u, v], w)$ , where  $[\cdot, \cdot]$  is the Lie bracket and  $\kappa$  is the Killing form. This form is alternating as the Killing form is invariant ( $\kappa([u, v], w) + \kappa(v, [u, w]) = 0$ ) and the Lie bracket is alternating. Now for all  $u$  the space of elements  $v$  having zero Lie bracket with  $u$  has dimension at least  $l$ . Hence if  $l > 1$ , then the alternating bilinear form  $\omega_u$  has a radical. We conclude that  $f_\omega = 0$ . On the other hand,  $\omega$  cannot be split as a sum of  $\omega_i$ s as above. Indeed,  $\omega$  does not have singular one-dimensional spaces, as  $\kappa$  is a non-degenerate symmetric bilinear form and the centre of  $V$  is trivial. Hence  $\omega$  is non-degenerate in the sense of [10], and by the results of that paper the finest decomposition of  $V$  and of  $\omega$  as above would be unique. Then, since  $\omega$  is  $V$ -invariant, the  $V_i$  would have to be ideals in  $V$ , which would contradict the fact that  $V$  is simple. Concluding, at present we have no better geometric description for  $f_\omega \equiv 0$  than “the union of all singular lines is  $\mathbb{P}V$ ”.

#### 4. TWO-SINGULAR SUBSPACES FOR ALTERNATING TRILINEAR FORMS

Recall that a subspace  $U$  of a vector space  $V$  is called 2-singular for an alternating trilinear form  $\omega$  if all 2-dimensional subspaces of  $U$  are  $\omega$ -singular; in particular, we consider to be 2-singular all subspaces of dimension at most one, as well as all  $\omega$ -singular 2-dimensional subspaces. Here we present a result on the possible dimensions of such a space  $U$ . The kernel of  $V \mapsto \bigwedge^2 V^*$ ,  $v \mapsto \langle v, \omega \rangle$  is called the *radical* of  $\omega$ ; and  $\omega$  is called *non-degenerate* if its radical is trivial.

**Theorem 4.1.** *Assume that  $\dim V \geq 3$  and let  $s \geq 2$  be the natural number for which  $\binom{s}{2} < n := \dim V \leq \binom{s+1}{2}$ . Then no non-degenerate trilinear form on  $V$  can have a 2-singular space of codimension strictly smaller than  $s$ ; but there exist non-degenerate trilinear forms on  $V$  having 2-singular spaces of codimension exactly  $s$ . Moreover, if  $n = \binom{s+1}{2}$ , then the non-degenerate trilinear forms having a 2-singular space of codimension  $s$  form a single  $\mathrm{GL}(V)$ -orbit.*

Note that if  $V$  is three-dimensional this theorem reduces to the known fact that there exist non-degenerate trilinear forms on  $V$ , and that these form a single orbit. For the next interesting case  $n = \binom{4}{2} = 6$  see Example 4.2 below.

*Proof.* Suppose that  $U$  is a 2-singular subspace for the non-degenerate trilinear form  $\omega$  on  $V$ . Then we have a linear map  $U \rightarrow \bigwedge^2(V/U)^*$ ,  $u \mapsto \langle u, \omega \rangle$ , whose kernel is contained in the radical of  $\omega$ , hence zero by assumption. Hence we find that  $r := \dim U \leq \binom{n-r}{2} = \dim \bigwedge^2(V/U)^*$ , or  $s' \geq n - \binom{s'}{2}$  where  $s' := n - r$ , or  $\binom{s'+1}{2} \geq n$ , so that the codimension  $s'$  of  $U$  is at least  $s$ , as claimed.

Now let  $U$  be a subspace of  $V$  of codimension  $s$ . For the remainder of this proof it is convenient to choose a vector space complement  $W$  of  $U$  in  $V$ . We may then identify  $W^*$  with the annihilator of  $U$  in  $V^*$ , and vice versa. Since  $\dim U \leq \dim \bigwedge^2 W^*$ , there exist injective linear maps  $L : U \rightarrow \bigwedge^2 W^*$ . In fact we may choose such an injection  $L$  to have the property that the intersection of the radicals of all images  $L(u)$  is trivial. For if  $s$  is even, then we may take  $L$  such that some  $L(u)$  is a non-degenerate alternating 2-form, while if  $s$  is odd, then

$s, \binom{s}{2} \geq 3$  by the dimension restriction on  $V$  and we can ensure that  $\text{im}(L)$  contains two alternating forms of rank  $s - 1$  whose radicals are distinct.

We also view  $L$  as an element of  $U^* \otimes \bigwedge^2 W^*$  and hence as an element  $\omega = \omega_L$  of  $\bigwedge^3 V^*$  by means of the (injective) linear map  $U^* \otimes \bigwedge^2 W^* \rightarrow \bigwedge^3 V^*$  determined by  $\xi \otimes \zeta \mapsto \xi \wedge \zeta$ . Then  $\omega$  has  $U$  as a 2-singular subspace, and we claim that  $\omega$  is non-degenerate. For this we have to prove that the linear map  $H : V \rightarrow \bigwedge^2 V^*$ ,  $v \mapsto \langle v, \omega \rangle$  is injective. This  $H$  maps  $U$  into  $\bigwedge^2 W^*$  and  $W$  into  $U^* \otimes W^*$ , considered as a subspace of  $\bigwedge^2 V^*$  by the injective linear map determined by  $\xi \otimes \zeta \mapsto \xi \wedge \zeta$ . Since the two subspaces  $\bigwedge^2 W^*$  and  $U^* \otimes W^*$  of  $\bigwedge^2 V^*$  intersect trivially, the injectivity of  $H$  is equivalent to the joint injectivity of  $H|_U$  and of  $H|_W$ . Now  $H|_U = L$  is injective by assumption, and  $H(w) = 0$  implies that  $w$  lies in the radical of  $L(u)$  for all  $u \in U$ , a contradiction to the choice of  $L$ . This proves that  $\omega$  is non-degenerate.

Finally suppose that  $n = \binom{s+1}{2}$ , so that  $\dim U = \binom{s}{2}$ . Then we need to show that all non-degenerate trilinear forms  $\omega'$  on  $V$  having a 2-singular subspace of codimension  $s$  are in the  $\text{GL}(V)$ -orbit of the form  $\omega$  constructed above. First we move a 2-singular codimension- $s$  subspace for  $\omega'$  to  $U$  by an element of  $\text{GL}(V)$ . Then  $\omega'$  determines a linear isomorphism  $L' : U \rightarrow \bigwedge^2 W^*$ , and we still have the group of upper triangular linear maps

$$g = \begin{bmatrix} A & B \\ 0 & C \end{bmatrix} \in \text{GL}(V) = \text{GL}(U \oplus W)$$

with  $A \in \text{GL}(U)$ ,  $B \in \text{Hom}(W, U)$ , and  $C \in \text{GL}(W)$  to move  $\omega'$  to  $\omega$ . First we take  $B = 0$  and  $C = I$  and observe that acting with  $g$  on  $\omega'$  corresponds to replacing  $L'$  by  $L' \circ A^{-1}$ . Hence by taking  $A = L'^{-1}L$  we move  $\omega'$  such that  $L'$  becomes equal to  $L$ .

Now  $\omega, \omega' \in (U^* \otimes \bigwedge^2 W^*) \oplus \bigwedge^3 W^*$  have the same component  $L$  in the first summand, but  $\omega'$  may have a non-zero component  $\mu'$  in the second summand while  $\omega$  does not. Take  $A = C = I$  in the element  $g$  and verify that  $g$  then acts trivially on  $U$  and on  $W^*$ , while it sends an element  $\xi$  of  $U^*$  to  $\xi - \xi \circ B \in U^* \oplus W^* = V^*$ . Hence  $g$  fixes  $\mu' \in \bigwedge^3 W^*$  and maps  $L$  to  $L - L \circ B$ , with the slight abuse of notation that the latter expression stands for the *image* of  $L \circ B$  under the projection  $W^* \otimes \bigwedge^2 W^* \rightarrow \bigwedge^3 W^*$ . By surjectivity of  $L$  we may choose  $B$  such that this image coincides with  $\mu'$ , so that  $g$  maps  $\omega'$  to  $\omega$ . This completes the proof that  $\omega'$  lies in the orbit of  $\omega$ .  $\square$

We conclude by determining the singular lines of  $\omega$  in the orbit described above. We think of  $U$  as equal to  $\bigwedge^2 W^*$ , and then the alternating trilinear form  $\omega$  is determined by

$$\begin{aligned} \omega(\mu_1, \mu_2, \cdot) &= 0 \text{ for } \mu_1, \mu_2 \in \bigwedge^2 W^*, \\ \omega(\mu, w_1, w_2) &= \mu(w_1, w_2) \text{ for } \mu \in \bigwedge^2 W^*, w_1, w_2 \in W, \text{ and} \\ \omega(w_1, w_2, w_3) &= 0 \text{ for } w_1, w_2, w_3 \in W. \end{aligned}$$

In addition to the 2-dimensional subspaces of  $U = \bigwedge^2 W^*$  also the 2-dimensional subspaces of the form  $K\mu_1 \oplus K(\mu_2 + w_2)$  with  $\mu_1, \mu_2 \in \bigwedge^2 W^*$  and  $w_2$  in the radical of  $\mu_1$  are singular. We claim that these are the only singular lines. Indeed, consider a 2-dimensional subspace of the form  $K(\mu_1 + w_1) \oplus K(\mu_2 + w_2)$  with  $w_1, w_2$  linearly independent. Then choose any alternating bilinear form  $\mu_3$  on  $W$  such that

$\mu_3(w_1, w_2) \neq 0$ . Then we have  $\omega(\mu_1 + w_1, \mu_2 + w_2, \mu_3) = \mu_3(w_1, w_2) \neq 0$ , so the line is non-singular. This argument also implies that  $U$  is the only codimension- $s$  subspace that is 2-singular: any other subspace  $U'$  with this property cannot have a projection along  $U$  onto  $W$  that is more than 1-dimensional, and hence  $U'$  must intersect  $U$  in a codimension-1 subspace. But if  $\mu + w \in U'$  with  $w \neq 0$ , then the elements of  $U \cap U'$  must all have  $w$  in their radicals. The space of alternating bilinear forms on  $W$  having  $w$  in their radicals is  $\bigwedge^2(W/Kw)^*$  and has dimension  $\binom{s-1}{2}$ . Hence this space cannot contain a codimension-1 subspace of  $\bigwedge^2 W^*$ .

**Example 4.2.** In the last part of Theorem 4.1 the smallest dimension of interest is  $n = 6$ , a representative of the single  $\mathrm{GL}(V)$ -orbit being the form

$$\omega = x_2 \wedge x_3 \wedge x_4 + x_1 \wedge x_3 \wedge x_5 + x_1 \wedge x_2 \wedge x_6,$$

for which the 3-dimensional subspace  $U := \langle e_4, e_5, e_6 \rangle$  is the unique 2-singular subspace of codimension  $s = 3$ . In this example the map  $L : U \rightarrow \bigwedge^2 W^*$  in the preceding proof is chosen to be that which sends  $e_4, e_5, e_6$  to  $x_2 \wedge x_3, x_1 \wedge x_3, x_1 \wedge x_2$ , respectively. As pointed out in [12, Section 3], in the case  $K = \mathrm{GF}(2)$  a trilinear form belonging to the same orbit as  $\omega$  arises from the cubic equation of the 35-set  $\psi \subset \mathrm{PG}(5, 2)$  supporting a non-maximal partial spread  $\Sigma_5$  of five planes in  $\mathrm{PG}(5, 2)$ . The unique projective plane  $U$  singled out as being 2-singular for  $\omega$  is in fact one of the planes of  $\Sigma_5$ , and can also be picked out geometrically by the property that each of the seven planes  $\notin \Sigma_5$  which lie in  $\psi$  meets  $U$  in a line and meets each of the four other planes  $\in \Sigma_5$  in a point.

## REFERENCES

- [1] Hirotachi Abo, Giorgio Ottaviani, and Chris Peterson. Non-defectivity of Grassmannians of planes. 2009. Preprint, available from <http://arxiv.org/abs/0901.2601>.
- [2] J.C. Baez. The octonions. *Bull. Amer. Math. Soc. (N.S.)*, 39:145–205, 2002.
- [3] R.B. Brown and A. Gray. Vector cross products. *Comment. Math. Helv.*, 42:222–236, 1967.
- [4] R.L. Bryant. Metrics with exceptional holonomy. *Ann. of Math.*, 126:525–576, 1987.
- [5] Claude Chevalley. Démonstration d’une hypothèse de M. Artin. *Abhandlungen aus dem Mathematischen Seminar der Universität Hamburg*, 11:73–75, 1936.
- [6] Arjeh M. Cohen and Aloysius G. Helminck. Trilinear alternating forms on a vector space of dimension 7. *Commun. Algebra*, 16(1):1–25, 1988.
- [7] Dragomir Ž. Djoković. Classification of trivectors of an eight-dimensional real vector space. *Linear Multilinear Algebra*, 13:3–39, 1983.
- [8] Dragomir Ž. Djoković. Closures of equivalence classes of trivectors of an eight-dimensional complex vector space. *Can. Math. Bull.*, 26:92–100, 1983.
- [9] G.B. Gurevich. Classification des trivecteurs ayant le rang huit. *C. R. (Dokl.) Acad. Sci. URSS*, 2:353–356, 1935. Russian; French text 355–356.
- [10] Jan Hora. Orthogonal decompositions and canonical embeddings of multilinear alternating forms. *Linear Multilinear Algebra*, 52(2):121–132, 2004.
- [11] Jan A. Schouten. Klassifizierung der alternierenden Grössen dritten Grades in sieben Dimensionen. *Rend. Circ. Mat. Palermo*, 55:137–156, 1931.
- [12] Ron Shaw. Trivectors and cubics:  $\mathrm{PG}(5, 2)$  aspects. 2008. Preprint, available from <http://www.hull.ac.uk/php/masrs/recentpublications.html>.
- [13] Ernest B. Vinberg and Alexander G. Elashvili. Classification of trivectors of a 9-dimensional space. *Sel. Math. Sov.*, 7(1):63–98, 1988. Translation from *Tr. Semin. Vektorn. Tensorn. Anal. Prilozh. Geom. Mekh. Fiz.* **18**, 197–233.
- [14] Ewald Warning. Bemerkung zur vorstehenden Arbeit von Herrn Chevalley. *Abhandlungen aus dem Mathematischen Seminar der Universität Hamburg*, 11:76–83, 1936.



(Jan Draisma) DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE, TECHNISCHE UNIVERSITEIT EINDHOVEN, P.O. BOX 513, 5600 MB EINDHOVEN, THE NETHERLANDS, AND CENTRUM VOOR WISKUNDE EN INFORMATICA, AMSTERDAM, THE NETHERLANDS

*E-mail address:* `j.draisma@tue.nl`

(Ron Shaw) CENTRE FOR MATHEMATICS, UNIVERSITY OF HULL, COTTINGHAM ROAD, HULL HU6 7RX, UNITED KINGDOM

*E-mail address:* `r.shaw@hull.ac.uk`