Learning Distributed Document Representations for Multi-Label Document Categorization

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Outline

Document Categorization is the task of assigning categories to documents

Why need Multi-Label Document Categorization?

- Text Documents usually belong to more than one conceptual class. For E.g. an article on Music Piracy
- Wide range of real-world applications :
  - Web-page tagging
  - Medical Patient Record Management
  - Wikipedia Article Management
  - Document Recommendation etc.

Introduction to Multi-Label Document Categorization

Multi-label classification belongs to a general class of *supervised learning* algorithms where, given,

- A set of documents $D = \{d_1, \ldots, d_{|D|}\}$
- A set of categories $C = \{c_1, \ldots, c_{|C|}\}$
- Training data for n ($n < |D|$) documents, $\mathcal{T} = \{l_{d_1}, \ldots, l_{d_n}\}$

Example :

Documents	**Sports**	**Music**	**Arts**	**Technology**	**Literature**	**Politics**
d_1	0	0	1	0	1	0
d_2	0	1	1	0	0	1
d_3	1	0	0	1	0	1
d_4	×	×	×	×	×	×
d_5	×	×	×	×	×	×

Using $\mathcal{T}$, D and C the learning algorithm learns a multi-label classifier $\mathcal{H}$ to estimate category label vectors, l_{d_j} ($j > n$) for the test documents.

Introduction to Multi-Label Document Categorization

Document Categorization task has the following two components :

1. *Learning Document Representations*
   - Each document $d_i \in D$ is represented using a vector $v_{d_i} \in \mathbb{R}^k$
   - Embedding documents in a k-dimensional space is called the *Vector Space Model*
   - The set D can be represented by a matrix $\mathrm{D} \in \mathbb{R}^{k \times |D|}$
   - Vectors (v_{d_i}) should encode the semantic content of the documents
2. *Learning Algorithm*
   - Algorithm to learn the multi-label classifier $\mathcal{H}$

Background on Learning Algorithms

1. *Learning Multiple Binary Classifiers*
   Algorithms that treat each category assignment independently and learn multiple binary classifiers, one for each category, to make the category assignments
   - Logistic Regression
   - Support Vector Machines (SVM)
   - Neural Networks, E.g. CLASSI, NNet.PARC
   - Naive Bayes

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   - Neural Networks, E.g. CLASSI, NNet.PARC
   - Naive Bayes
2. *Learning Single Joint Classifier*
   Algorithms that jointly assign all the categories to a document d_i, i.e. estimate the complete label vector l_{d_i} using a single classifier
   - k-Nearest Neighbor (k-NN)
   - Linear Least Square Fit
   - Decision Trees
   - Generative Probabilistic Models

Background on Text Representation

Bag of Words Model

- Document d_i represented by $v_{d_i} \in \mathbb{R}^{|V|}$
- Each element in v_{d_i} denotes presence/absence of each word
- Weighing techniques employed to give importance to important terms
  - Term Frequency (*tf*)
  - Inverse Document Frequency (*idf*)
  - Term Frequency - Inverse Document Frequency (*tf-idf*) : *tf* $\times$ *idf*

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Drawbacks of the Bag-of-Words model

- High-dimensionality
- Sparsity
- Inability to encode word contexts
- Ignores word order
- Lack of similarity measures

Techniques to deal with sparsity and high-dimensionality in BOW

- Information Gain

$$G(t) = -\sum_{i=1}^{|C|} P(c_i)\log P(c_i) + P(t)\sum_{i=1}^{|C|} P(c_i|t)\log P(c_i|t) + P(\sim t)\sum_{i=1}^{|C|} P(c_i|\sim t)\log P(c_i|\sim t) \quad (1)$$

- Mutual Information

$$I(t,c) = \log\frac{P(t \wedge c)}{P(t)\times P(c)}, \qquad I_{avg}(t) = \sum_{i=1}^{|C|} P(c_i)I(t,c_i) \quad (2)$$

- Latent Semantic Indexing (LSI)

$$X = TSD^T \quad (3)$$

X is the Term-Document Matrix

Distributed Word Representations

Representation of each word w_i using vector $v_{w_i} \in \mathbb{R}^k$ ($k \in [50, 300]$)

Need for Distributed Word Representations

- Curse of Dimensionality
  - One-hot representations grow with the size of vocabulary
  - Parameters in language modeling grow exponentially with the size of vocabulary

Distributed Word Representations

Representation of each word w_i using vector $v_{w_i} \in \mathbb{R}^k$ ($k \in [50, 300]$)

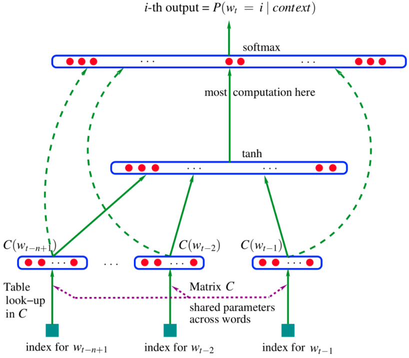
Need for Distributed Word Representations

- Curse of Dimensionality
  - One-hot representations grow with the size of vocabulary
  - Parameters in language modeling grow exponentially with the size of vocabulary
- No Word Similarity Measure
  - One-hot representations are orthogonal representations
  - Cannot capture semantic similarity between words

Neural Probabilistic Language Model

Bengio et al. [2] developed *Neural Probabilistic Language Model (NPLM)* to learn

1. Distributed word vectors
2. Probability function to learn a statistical model of language



$$P(w_t|w_1^{t-1}) \approx P(w_t|w_{t-n+1}^{t-1}) \quad (4)$$

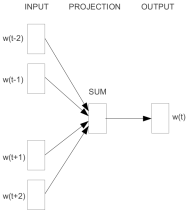
$$y = b + U tanh(d + Hx), \quad y \in \mathbb{R}^{|V|} \quad (5)$$

$$P(w_t|w_{t-1}, \ldots, w_{t-n+1}) = \frac{e^{y_{w_t}}}{\sum_i e^{y_i}} \quad (6)$$

Log-Linear Models

Proposed by Mikolov et al. [10] to predict words in the context using word vectors

1. Continuous Bag-of-Words Model



$$h = w_{t-k} + \ldots + w_{t-1} + w_{t+1} + \cdots + w_{t+k} \tag{7}$$

$$y = b + Uh, \quad y \in \mathbb{R}^{|V|} \tag{8}$$

$$P(w_t | w_{t-k}, \ldots, w_{t+k}) = \frac{e^{y_{w_t}}}{\sum_i e^{y_i}} \tag{9}$$

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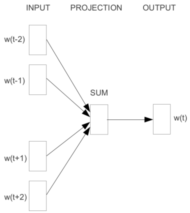

$$h = w_{t-k} + \ldots + w_{t-1} + w_{t+1} + \cdots + w_{t+k} \quad (7)$$

$$y = b + Uh, \quad y \in \mathbb{R}^{|V|} \quad (8)$$

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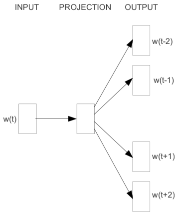
2. Skip-Gram Model



$$P(w_{t+j} | w_t) = \frac{e^{(v_{w_t} \cdot v_{w_{t+j}})}}{\sum_i e^{(v_{w_t} \cdot v_{w_i})}} \quad (10)$$

Motivation for learning distributed document representations

1. Lack of semantic similarity measures. Therefore, cannot handle synonyms
2. Drawbacks in BOW like sparsity, high-dimensionality, inability to encode context information and consider word ordering
3. Compositionality of word vectors beyond weighted average [12, 18, 17, 6, 11]
4. Recursive Tensor Neural Network (RTNN) [16] for learning sentence representations using the syntactic dependency has issues
    - Parsing, a computationally expensive step required for each sentence
    - Composing sentence vectors to represent documents is not straight-forward

Our Model for Learning Document Representations

Inspired by the log-linear models to learn word vectors, we present model, to learn universal distributed representations for documents and words

Hypothesis

> *Document Representations that encode semantic content of the document should be able to predict words in the document*

Our model,

1. Learns distributed representations for document (and words) that encode the different semantic content in the documents
2. Embeds documents and words in the same k-dimensional space such that semantically similar entities have similar vector representations

Our Model for Learning Document Representations

We present an unsupervised neural network model that,

1. Represents each document $d_i \in D$ by a vector $\mathrm{v}_i^D \in \mathbb{R}^k$
2. Each word $w_i \in W$, is represented by a vector $\mathrm{v}_i^W \in \mathbb{R}^k$

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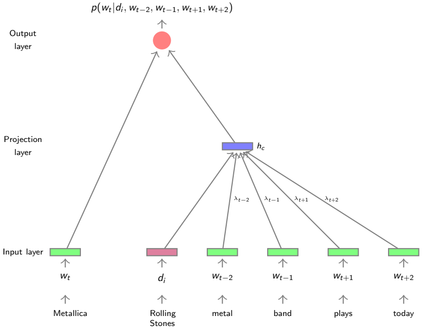
2. Each word $w_i \in W$, is represented by a vector $\mathrm{v}_i^W \in \mathbb{R}^k$
3. Given a sequence of words, $(w_{t-c}, \ldots, w_{t+c})$ in document d_i, estimates

$$p(w_t | d_i, w_{t-c}, \ldots, w_{t-1}, w_{t+1}, \ldots, w_{t+c})$$

4. Maximizes probability of predicting the middle word correctly to learn vectors

Our Model for Learning Document Representations



Context Representation :

$$h_c = \mathrm{v}^D_{d_i} + \lambda_{t-c}\mathrm{v}^W_{w_{t-c}} + \ldots + \lambda_{t-1}\mathrm{v}^W_{w_{t-1}} + \lambda_{t+1}\mathrm{v}^W_{w_{t+1}} + \ldots + \lambda_{t+c}\mathrm{v}^W_{w_{t+c}} \quad (11)$$

Probability Estimation :

$$s_{w_i} = \sigma(\mathrm{v}^W_{w_i} \cdot h_c), \quad \sigma(x) = \frac{1}{1+e^{-x}} \quad (12)$$

$$p(w_t|d_i, w_{t-c}, \ldots, w_{t-1}, w_{t+1}, \ldots, w_{t+c}) = \frac{e^{s_{w_t}}}{\sum_{i\in V} e^{s_{w_i}}} \quad (13)$$

Training Objective

1. Training data $\mathcal{T} = \{d_i^{(m)}, w_{t-c}^{(m)}, \ldots, w_{t+c}^{(m)}\}_{m=1}^{m=M}$

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2. Learn optimum parameter set $\Theta = (\mathrm{D}, \mathrm{W}, \Lambda)$, i.e. document and word vectors and the neural network weights Λ
3. Maximize average log-probability of predicting w_t correctly in each sequence in $\mathcal{T}$

$$\hat{\Theta} = \arg\max_{\Theta} \; l(\mathcal{T}, \Theta) \tag{14}$$

$$l(\mathcal{T}, \Theta) = \frac{1}{M} \sum_{m=1}^{M} \log \left[p(w_t^{(m)} | d_i^{(m)}, w_{t-c}^{(m)}, \ldots, w_{t-1}^{(m)}, w_{t+1}^{(m)}, \ldots, w_{t+c}^{(m)}) \right] \tag{15}$$

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4. Use Stochastic Gradient Descent (SGD) to update parameters

$$\theta_i^{(x)} = \theta_i^{(x-1)} + \gamma \frac{\partial l(\mathcal{T}, \Theta)}{\partial \theta_i} \tag{16}$$

Noise Contrastive Estimation

1. Soft-max computation is expensive, $\mathcal{O}(V)$
2. Speed-ups using **Hierarchical soft-max** [15] and **Importance sampling** to approximate the likelihood gradient [3, 1]
    - Finding well-performing trees in Hierarchical soft-max is not trivial
    - Importance sampling suffers from stability issues
3. **Noise Contrastive Estimation** (NCE) [8] fits unnormalized probabilities
    - Reduces the problem of *probability density estimation* to *probabilistic binary classification*
    - Adaptation to NPLM [14] and learning word embeddings [13] show significant training time speed-ups

Noise Contrastive Estimation (contd.)

1. Given a sequence of words $(w_{t-c}, \ldots, w_{t+c})$ in document d_i
   - *Earlier objective* : Maximize $p(w_t | d_i, w_{t-c}, \ldots, w_{t-1}, w_{t+1}, \ldots, w_{t+c})$
   - *New objective* : Build binary classifier to distinguish between correct middle word w_t and random corrupt word

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For NCE Binary Classification Objective :

1. New labeled training data : $\mathcal{T} = \{d_i^{(m)}, w_{t-c}^{(m)}, \ldots, w_{t+c}^{(m)}, Y^{(m)} = 1\}_{m=1}^{m=M}$
2. For every positive training sequence, n negative training sequences introduced where,
   - The observed middle word w_t is replaced by a corrupt word w_x drawn from a noise distribution $P_n(w)$
   - E.g. $\{d_i, w_{t-c}, \ldots, w_{t-1}, w_x, w_{t+1}, \ldots, w_{t+c}, Y = 0\}$
3. Complete training data : $\mathcal{T} = \{d_i^{(m)}, w_{t-c}^{(m)}, \ldots, w_{t+c}^{(m)}, Y^{(m)}\}_{m=1}^{m=M+nM}$

Noise Contrastive Estimation (contd.)

Given a sequence of words $(w_{t-c}, \ldots, w_{t+c})$ in document d_i, our new objective is to predict whether the sequence is legitimate

Noise Contrastive Estimation (contd.)

Given a sequence of words $(w_{t-c}, \ldots, w_{t+c})$ in document d_i, our new objective is to predict whether the sequence is legitimate

- We build a probabilistic binary classifier to predict the label Y

$$P(Y = 1|d_i, w_{t-c}, \ldots, w_{t+c}, \Theta) = \sigma(\mathrm{v}^{W}_{w_t} \cdot h_c) \tag{17}$$

$$P(Y = 0|d_i, w_{t-c}, \ldots, w_{t+c}, \Theta) = 1 - \sigma(\mathrm{v}^{W}_{w_t} \cdot h_c) \tag{18}$$

$$P(Y|d_i, w_{t-c}, \ldots, w_{t+c}, \Theta) = [\sigma(\mathrm{v}^{W}_{w_t} \cdot h_c)]^{Y}[1 - \sigma(\mathrm{v}^{W}_{w_t} \cdot h_c)]^{1-Y} \tag{19}$$

Learning Objective with NCE

Given the training data $\mathcal{T} = \{d_i^{(m)}, w_{t-c}^{(m)}, \ldots, w_{t+c}^{(m)}, Y^{(m)}\}_{m=1}^{m=M+nM}$, we maximize the log-likelihood of observing it

$$\hat{\Theta} = \arg\max_{\Theta} \; l(\mathcal{T}, \Theta) \tag{20}$$

$$l(\mathcal{T}, \Theta) = \sum_{m=1}^{M+nM} \log P_{\Theta}(Y_m = Y^{(m)}) \tag{21}$$

The logarithm of the probability estimate is given by,

$$\log P_{\Theta}(Y_m = Y^{(m)}) = Y^{(m)} \log \sigma(\mathrm{v}^{W}_{w_t^{(m)}} \cdot h_c^{(m)}) + (1 - Y^{(m)}) \log(1 - \sigma(\mathrm{v}^{W}_{w_t^{(m)}} \cdot h_c^{(m)})) \tag{22}$$

$P_{\Theta}(Y_m)$ is a shorthand notation for $P(Y_m | d_i^{(m)}, w_{t-c}^{(m)}, \ldots, w_{t+c}^{(m)}, \Theta)$
Y_m is the predicted label

Parameter Estimation

We use SGD to learn parameters i.e. document and word vectors and the neural network weights

$$\theta_i^{(x)} = \theta_i^{(x-1)} + \gamma \frac{\partial l(\mathcal{T}, \Theta)}{\partial \theta_i} \tag{23}$$

$d = \mathrm{v}_{w_t}^{W} \cdot h_c$, is the pre-sigmoid activation

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Gradient of $\log P_\Theta(Y_m = Y^{(m)})$ with respect to parameter θ,

$$\frac{\partial \log P_\Theta(Y_m = Y^{(m)})}{\partial \theta} = \left[Y^{(m)} \frac{1}{\sigma(d^{(m)})} - (1 - Y^{(m)}) \frac{1}{(1 - \sigma(d^{(m)}))} \right] \frac{\partial \sigma(d^{(m)})}{\partial \theta} \tag{24}$$

$$\frac{\partial \log P_\Theta(Y_m = Y^{(m)})}{\partial \theta} = \left[Y^{(m)} \frac{1}{\sigma(d^{(m)})} - (1 - Y^{(m)}) \frac{1}{(1 - \sigma(d^{(m)}))} \right] \left[\sigma(d^{(m)})(1 - \sigma(d^{(m)})) \right] \frac{\partial d^{(m)}}{\partial \theta} \tag{25}$$

$$\frac{\partial \log P_\Theta(Y_m = Y^{(m)})}{\partial \theta} = \left[Y^{(m)} - \sigma(d^{(m)}) \right] \frac{\partial d^{(m)}}{\partial \theta} \tag{26}$$

$$\frac{\partial \log P_\Theta(Y_m = Y^{(m)})}{\partial \theta} = \left[Y^{(m)} - \sigma(\mathrm{v}^W_{w_t^{(m)}} \cdot h_c^{(m)}) \right] \frac{\partial (\mathrm{v}^W_{w_t^{(m)}} \cdot h_c^{(m)})}{\partial \theta} \tag{27}$$

$d = \mathrm{v}^W_{w_t} \cdot h_c$, is the pre-sigmoid activation

Update rule for Parameters

1. Document Vector :

$$(\mathrm{v}^{D}_{d_i^{(m)}})^{(i+1)} = (\mathrm{v}^{D}_{d_i^{(m)}})^{(i)} + \gamma \left[(Y^{(m)} - \sigma(\mathrm{v}^{W}_{w_t^{(m)}} \cdot h_c^{(m)}))\mathrm{v}^{W}_{w_t^{(m)}} - \beta \mathrm{v}^{D}_{d_i^{(m)}} \right] \tag{28}$$

2. Middle Word Vector :

$$(\mathrm{v}^{W}_{w_t^{(m)}})^{(i+1)} = (\mathrm{v}^{W}_{w_t^{(m)}})^{(i)} + \gamma \left[(Y^{(m)} - \sigma(\mathrm{v}^{W}_{w_t^{(m)}} \cdot h_c^{(m)}))h_c^{(m)} - \beta \mathrm{v}^{W}_{w_t^{(m)}} \right] \tag{29}$$

3. Context Word Vectors :

$$(\mathrm{v}^{W}_{w_{t+j}^{(m)}})^{(i+1)} = (\mathrm{v}^{W}_{w_{t+j}^{(m)}})^{(i)} + \gamma \left[(Y^{(m)} - \sigma(\mathrm{v}^{W}_{w_t^{(m)}} \cdot h_c^{(m)}))\lambda_{t+j}\mathrm{v}^{W}_{w_t^{(m)}} - \beta \mathrm{v}^{W}_{w_{t+j}^{(m)}} \right] \tag{30}$$

4. Neural Network Weights :

$$\lambda_{t+j}^{(i+1)} = \lambda_{t+j}^{(i)} + \gamma \left[(Y^{(m)} - \sigma(\mathrm{v}^{W}_{w_t^{(m)}} \cdot h_c^{(m)}))(\mathrm{v}^{W}_{w_t^{(m)}} \cdot \mathrm{v}^{W}_{w_{t+j}^{(m)}}) - \beta \lambda_{t+j} \right] \tag{31}$$

Algorithm for learning Document Representations

Input: $D,\ k,\ c,\ n,\ \beta,\ \gamma,\ epochs$

Output: Document Vectors D, Word Vectors W

$V \leftarrow Extractfrom(D)$

$\mathrm{D} \leftarrow random(\mathbb{R}^{k\times|D|})$

$\mathrm{W} \leftarrow random(\mathbb{R}^{k\times|V|})$

$\mathcal{T} \leftarrow Extractfrom(D, c, n)$ ▷ $|\mathcal{T}| = M + nM$

$\Lambda \leftarrow \mathbf{1}^{2c}$ ▷ $2c$-sized vector of 1s

while $epochs \geq 1$ **do**

  for all $\{d_i, w_{t-c}, \ldots, w_{t+c}, Y\} \in \mathcal{T}$ **do**

    $h_c \leftarrow \mathrm{v}^D_{d_i} + \lambda_{t-c}\mathrm{v}^W_{w_{t-c}} + \ldots + \lambda_{t+c}\mathrm{v}^W_{w_{t+c}}$

    $\mathrm{v}^D_{d_i} \leftarrow \mathrm{v}^D_{d_i} + \gamma\left[(Y - \sigma(\mathrm{v}^W_{w_t}\cdot h_c))\mathrm{v}^W_{w_t} - \beta\mathrm{v}^D_{d_i}\right]$

    $\mathrm{v}^W_{w_t} \leftarrow \mathrm{v}^W_{w_t} + \gamma\left[(Y - \sigma(\mathrm{v}^W_{w_t}\cdot h_c))h_c - \beta\mathrm{v}^W_{w_t}\right]$

    for all $j \in \{t-c, \ldots, t-1, t+1, \ldots, t+c\}$ **do**

      $\mathrm{v}^W_{w_{t+j}} \leftarrow \mathrm{v}^W_{w_{t+j}} + \gamma\left[(Y - \sigma(\mathrm{v}^W_{w_t}\cdot h_c))\lambda_{t+j}\mathrm{v}^W_{w_t} - \beta\mathrm{v}^W_{w_{t+j}}\right]$

      $\lambda_{t+j} \leftarrow \lambda_{t+j} + \gamma\left[(Y - \sigma(\mathrm{v}^W_{w_t}\cdot h_c))(\mathrm{v}^W_{w_t}\cdot\mathrm{v}^W_{w_{t+j}}) - \beta\lambda_{t+j}\right]$

    $epochs \leftarrow epochs - 1$

return D, W

Hyper-parameters of the Model

1. Embedding Dimensionality (k)

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1. Embedding Dimensionality (k)
2. Window Size (c)
3. Number of Negative Samples (n)
4. Number of Epochs ($epochs$)
5. Learning Rate (γ)

Hyper-parameters of the Model

1. Embedding Dimensionality (k)
2. Window Size (c)
3. Number of Negative Samples (n)
4. Number of Epochs ($epochs$)
5. Learning Rate (γ)
6. Regularization Constant (β)

Document Categorization using Logistic Regression

Given,

1. Set of documents, $D = \{d_1, \ldots, d_{|D|}\}$
2. Set of categories, $C = \{c_1, \ldots, c_{|C|}\}$
3. Training Data, $\mathcal{T} = \{d_i^{(m)}, c_j^{(m)}, y^{(m)}\}_{m=1}^{m=T}$, $y^{(m)} \in \{0, 1\}$

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3. Training Data, $\mathcal{T} = \{d_i^{(m)}, c_j^{(m)}, y^{(m)}\}_{m=1}^{m=T}$, $y^{(m)} \in \{0, 1\}$

The task is to assign categories to a new document d_x
To model document category relation

1. Each $d_i \in D$ is represented using $\mathrm{v}_{d_i}^{D} \in \mathbb{R}^k$
2. Represent each $c_i \in C$ using $\mathrm{v}_{c_i}^{C} \in \mathbb{R}^k$
3. Learn a probabilistic logistic classifier to assign categories

Logistic Classifier for Categorization

Given document category pair, $\{d_i, c_j\}$,

- We build a probabilistic logistic classifier to predict the label y

$$P(y = 1|d_i, c_j, \mathrm{D}, \mathrm{C}) = \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C}) \tag{32}$$

$$P(y = 0|d_i, c_j, \mathrm{D}, \mathrm{C}) = 1 - \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C}) \tag{33}$$

$$P(y|d_i, c_j, \mathrm{D}, \mathrm{C}) = \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C})^{y}(1 - \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C}))^{1-y} \tag{34}$$

$$\log P(y|d_i, c_j, \mathrm{D}, \mathrm{C}) = y \log \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C}) + (1 - y) \log(1 - \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C})) \tag{35}$$

Learning Category Embeddings

Given the training data $\mathcal{T} = \{d_i^{(m)}, c_j^{(m)}, y^{(m)}\}_{m=1}^{m=T}$, learn category embeddings ($\Theta = C$) by maximizing log-likelihood of training data

$$\hat{\Theta} = \arg\max_{\Theta} \; l(\mathcal{T}, \Theta) \tag{36}$$

$$l(\mathcal{T}, \Theta) = \sum_{m=1}^{T} \log P_{\mathrm{D,C}}(y_m = y^{(m)}) \tag{37}$$

$P_{\mathrm{D,C}}(y_m = y^{(m)})$ is a shorthand notation for $P(y_m = y^{(m)} | d_i, c_j, \mathrm{D}, \mathrm{C})$
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Learning Category Embeddings

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Similar to learning document embeddings, category embeddings updates are given by,

$$(\mathrm{v}^C_{c_j^{(m)}})^{(i+1)} = (\mathrm{v}^C_{c_j^{(m)}})^{(i)} + \gamma \left[(y^{(m)} - \sigma(\mathrm{v}^D_{d_i^{(m)}} \cdot \mathrm{v}^C_{c_j^{(m)}}))\mathrm{v}^D_{d_i^{(m)}} - \beta \mathrm{v}^C_{c_j^{(m)}} \right] \tag{38}$$

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Algorithm for learning Document Representations

Algorithm 1 Learning Category Vector Representations

1: **Input:** D, C, $\mathcal{T}$, k, β, γ
2: **Output:** Category Vectors C
3: $\mathrm{C} \leftarrow random(\mathbb{R}^{k \times |C|})$
4: **while** not converged **do**
5: **for all** $\{d_i, c_j, y\} \in \mathcal{T}$ **do**
6: $$\mathrm{v}_{c_j}^{C} \leftarrow \mathrm{v}_{c_j}^{C} + \gamma \left[(y - \sigma(\mathrm{v}_{d_i}^{D} \cdot \mathrm{v}_{c_j}^{C}))\mathrm{v}_{d_i}^{D} - \beta \mathrm{v}_{c_j}^{C} \right]$$
7: **return** C

Advantages of Multinomial Logistic Regression Algorithm

1. Predicting relation between a document-category tuple is $\mathcal{O}(1)$
2. Categories are embedded in the same space as words and documents
3. Though learns multiple category vectors, exploits the low-rank structure in the document-category relation
4. Easy incorporation of additional relational data of documents for more accurate categorization as shown in Gupta and Singh [7]
5. Usage of SGD makes algorithm completely online

Performance Evaluation : Datasets

1. **Reuters-21578** : Standard dataset for categorization evaluation

	$\|D\|$	$\|C\|$	$\|V\|$	**Data Points**	**Sparsity**
Train Set	7,767	90	39,853	9,585	0.0137
Test Set	3,019	90	39,853	3,745	0.0138

2. **Wikipedia Datasets** : Extracted for 4 top categories

	$\|D\|$	$\|C\|$	$\|V\|$	**Data Points**	**Sparsity**
Physics	4,229	2,999	81,614	14,070	0.0010
Biology	1,604	2,051	63,767	5,908	0.0018
Sports	1,529	2,829	59,058	3,745	0.0008
Mathematics	1,193	1,519	43,398	3,916	0.0013

Experimental Setup

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For document categorization evaluation, 80% *of the documents are used for training and the rest are equally divided for test and validation purposes*

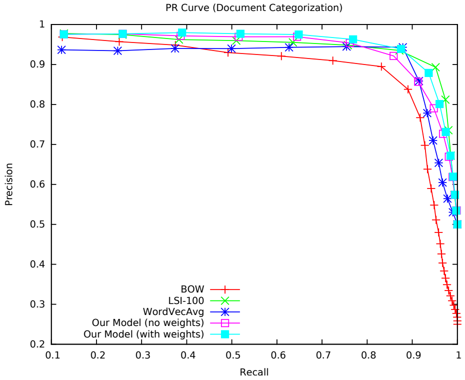
Baselines

1. **Bag-of-Words** : Most widely used representation with *tf-idf* weighing
2. **Latent Semantic Indexing** : Most effective dimensionality reduction technique for text. $k = 100$ is used for LSI.
3. **Word Vector Averaging** : Document representation by averaging word vectors with *tf-idf* weighting
4. **Probabilistic Matrix Factorization** : Simple matrix factorization of the document-category relation matrix

Document Categorization Performance Evaluation Reuters-21578

Reuters-21578	P	R	**F1**
BOW	77.8	91.5	84.1
LSI-100	84.8	96.7	90.4
WordVecAvg	94.1	88.1	91.0
SVM (poly) [9]	-	-	86.0
SVM (rbf) [9]	-	-	86.4
CMLF (CRF) [5]	-	-	87.0
Binary-MFoM [4]	-	-	88.4
MC-MFoM [4]	-	-	88.8
Our Model (no weight)	92.1	86.1	89.0
Our Model (with weights)	94.1	89.3	**91.7**

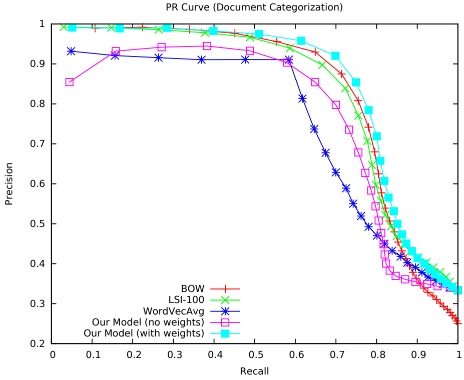
Precision/Recall/F1 for Document Categorization on Reuters-21578



Document Categorization Performance Evaluation
Physics - Wikipedia

Physics (Wikipedia)	P	R	**F1**
BOW	87.8	70.1	77.9
LSI-100	83.4	69.5	75.8
WordVecAvg	91.0	59.1	71.7
Our Model (no weights)	86.1	64.6	73.8
Our Model (with weights)	88.6	72.4	**79.7**

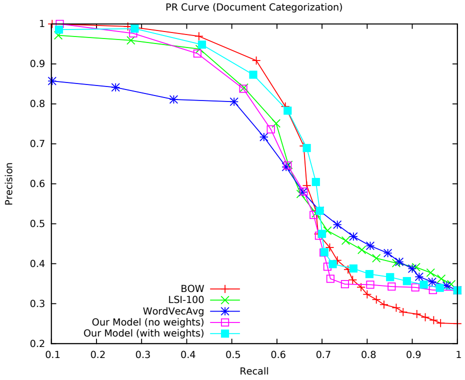
Precision/Recall/F1 for Document Categorization on Physics dataset



Document Categorization Performance Evaluation Biology - Wikipedia

Biology (Wikipedia)	P	R	**F1**
BOW	90.3	59.5	**69.0**
LSI-100	82.1	51.6	63.4
WordVecAvg	79.4	50.4	61.6
Our Model (no weights)	80.3	53.8	64.4
Our Model (with weights)	79.7	59.0	67.8

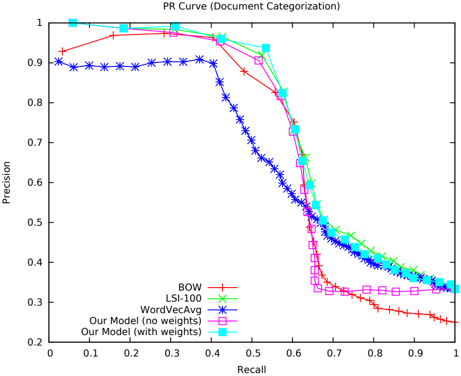
Precision/Recall/F1 for Document Categorization on Biology dataset



Document Categorization Performance Evaluation Mathematics - Wikipedia

Mathematics (Wikipedia)	P	R	F1
BOW	65.6	65.1	65.3
LSI-100	89.7	50.3	64.4
WordVecAvg	90.5	40.3	55.7
Our Model (no weights)	78.4	57.4	66.3
Our Model (with weights)	85.3	56.8	**68.2**

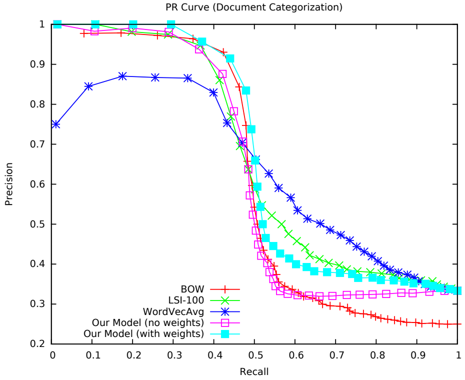
Precision/Recall/F1 for Document Categorization on Mathematics dataset



Document Categorization Performance Evaluation
Sports - Wikipedia

Sports (Wikipedia)	P	R	**F1**
BOW	91.7	41.3	56.9
LSI-100	91.2	40.1	55.7
WordVecAvg	81.8	37.5	51.4
Our Model (no weights)	80.5	40.1	53.6
Our Model (with weights)	82.1	44.0	**57.3**

Precision/Recall/F1 for Document Categorization on Sports dataset



Imputing Missing Categories in Wikipedia

1. Real-life databases contain missing information
2. Wikipedia is a large-scale database with non-expert annotators

We evaluate our model on imputing missing categories in the Wikipedia datasets

	Physics			Biology			Mathematics			Sports			**Combined**		
	P	R	**F1**	P	R	**F1**	P	R	**F1**	P	R	**F1**	P	R	**F1**
PMF	73.0	64.3	68.4	72.1	47.5	57.3	41.6	58.2	48.5	51.3	35.6	42.0	63.0	54.8	58.6
LSI-100	59.5	82.3	69.0	49.9	71.6	58.8	47.1	73.0	57.3	43.1	68.2	52.8	52.5	76.3	62.2
BOW	76.1	79.4	77.7	69.7	67.7	68.7	70.9	63.5	67.0	64.8	49.3	56.0	72.5	69.4	70.9
WordVecAvg	88.0	63.5	73.8	80.7	50.3	61.9	71.8	46.7	56.6	87.2	35.4	50.3	84.2	53.4	65.4
Our Model (without weights)	88.6	69.1	77.7	80.5	55.3	65.6	74.3	53.1	61.9	84.7	40.2	54.5	85.4	58.5	69.2
Our Model (with weights)	89.9	74.5	**81.5**	84.9	63.8	**72.9**	79.9	60.7	**69.0**	81.1	45.6	**58.4**	86.3	65.2	**74.3**

Estimating Similarity between Categories and Words

1. We embed words, document and categories in the same k-dimensional space
2. This allows us to estimate similarity between entities non directly related

Category	Nearest Neighboring Words
Evolutionary Biology	gene, phylogenetics, speciation, ancestor, Darwin, lineage, evolutionary, interbreeding
Statistical Mechanics	ergodicity, Eigenstate, Universality, DMFT, Markovian, Parisi, Combinatorics
Thermodynamics	Convection, ecosystem, Enthalpy, Joule, calorimetric, compressible, Thermodynamic
Trade	import, Pledges, Tariff, Trade, competitiveness, toll, billion, basket, Ditch, Worldwide
Money-FX	Borrowing, franc, banker, Currency, banks, nervous, sideways, Markets, FORWARD
Virology	nucleoside, ribozyme, adenoviruses, Virology, retroviruses, poliovirus, Viroid
Neurobiology	purinergic, cyclase, vertebral, Ehrlich, nexus, steroid, lean, gendered, reticular
Physical Exercise	Fitness, aerobics, metabolic, workout, Exercise, Stretching, pelvic, Physiology, fibers
Algebra	subalgebra, Algebras, nilpotent, adjoints, octonions, bicommutant, diagonalizable
Theoretical Physicists	Dipankar, DSc, Hubert, Aneesur, Uri, Ignaz, Chia, Stig, Diderot, Dannie
Mathematical Physics	covectors, pseudotensor, spacelike, dyadic, Curl, torque, contractions, wavefunctions
Sports Venues	stadion, decoration, tracks, seating, buildings, parcourse, architectural, arenas, circular
Indian Mathematics	utkrama, ecliptic, Siddhanta, Hellenistic, Brahmi, sexagesimal, scribe, Islamic, Sanskrit

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6. Learned distributed representations allow semantic similarity estimation

Future Work

1. Improving compositionality of Word Vectors
2. Joint Document Representation Learning and Document Categorization
3. Supervised Multi-view Relational Learning

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Thank You!

Questions?