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# The Compact Muon Solenoid Experiment

# **Analysis Note**



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27 October 2010

# Opposite sign di-lepton SUSY search at $\sqrt{s}=7$ TeV in 2011 data

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Abstract

We present a search for Supersymmetry in opposite sign di-lepton final states using the data from 2011 proton-proton-running of the Large Hadron Collider. The final state signature consists of leptons, several hard jets and missing transverse energy. Since we observe good agreement of data to simulation and our background prediction methods, we conclude that there is no sign of flavour correlated dilepton production accompanied by high jet activity and large missing transverse energy in the dataset of 204  $\rm pb^{-1}$ . We report an upper limit on the flavour correlated production of a new physics model within acceptance of our event selection.

Preliminary version

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# 8 1 Disclaimer

Please note that most of the methods used in this analysis have also been documented in SUS-08-004, SUS-09-002 (AN-2009/83), AN-2010/167 and AN-2010/373.

# 61 2 Introduction

- The standard model of particle physics (SM) leads to a number of unsolved issues like the hierarchy problem and it provides no solution for pressing questions arising from astrophysical observations, most notably dark matter. In Supersymmetry (SUSY) a natural candidate for dark matter can be found if R-parity conservation is assumed. Supersymmetric particles (sparticles) have not been observed up to now which implies that they have to be heavy. On the other hand to provide a solution to the hierarchy problem their masses have to be in the TeV range. These prejudices lead to a signature of (many) hard jets and large missing transverse energy.
- Of special interest are robust signatures in leptonic final states which can be probed with the CMS experiment. If R-parity is conserved the lightest neutralino escapes detection and no mass peaks can be observed in SUSY decay chains. A key point after discovery will be the determination of the sparticle properties.
- The purpose of this analysis is to observe or exclude a significant excess of di-leptons over the various backgrounds.

  The dataset consists of 204 pb<sup>-1</sup> of proton-proton collisions collected by CMS during LHC running in 2011.
- In Sec. 3 we define the signal benchmark points, that are used in this analysis. Section 4 describes the technical details of the object selection and in Sec. 5 we discuss lepton efficiencies used in the background prediction. Section 7.4 describes a method to determine the contribution of non-prompt leptons in the final event selection. In Sec. we define the signal regions, including a discussion of the main Standard Model backgrounds and their yields. Section 7.1 deals with the background prediction methods, which are used to predict the number of Standard Model backgrounds in the signal regions. The results are presented in Sec. 8. Finally we set a limit and conclude.

# 79 **3 Signal**

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The CMS minimal supergravity low-mass benchmark points have been designed to cover different decay modes of the neutralinos within supersymmetry. The mass spectra of the benchmark points have been calculated using the Softsusy code [1]. All branching ratios have been calculated with the SUSYHit program [2] and the events are simulated using Pythia [3]. The k-factor for the cross section at 7 TeV is calculated using a modified version of Prospino 2 [4]. In mSUGRA observable signal is produced strongly followed be (very) long decay chains leading to several hard jets (at least two). The escaping neutralino leads to missing transverse energy. This fact allows to define a search region to observe an excess over the SM and is used as main event selection as described in Section 6.

- 8 Our signal region are defined as follows
  - Two isolated opposite sign same flavour leptons within acceptance of  $p_{T,1} > 20$  GeV and  $p_{T,2} > 10$  GeV.
    - The preselection region requires in addition  $H_T$  i 100 GeV,  $\not\!\!E_T > 100$  GeV

- The 2010 signal region  $H_T$  i, 350 GeV,  $E_T > 150$  GeV
- We define a high  $H_T$  signal region  $H_T$  if 600 GeV,  $\not\!\!E_T > 100$  GeV
- We define a high  $\not\!\!E_T$  signal region  $H_T$  ; 250 GeV,  $\not\!\!E_T > 250$  GeV
- This definition is based on our prejudice of heavy objects being pair produced decaying subsequently through a cascade including an opposite sign lepton pair.

# 96 4 Physics objects

### 97 4.1 PAT workflow

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From the AOD samples so called Pat-Tuples have been created using the following tags of the Physics Analysis
Toolkit (PAT) in CMSSW\_4\_2\_X.

```
cmsrel CMSSW_4_2_3
100
   cd CMSSW_4_2_3/src
101
   cmsenv
102
   addpkg PhysicsTools/PatAlgos
                                     V08-06-25
103
   addpkg PhysicsTools/PatExamples V00-05-17
104
105
   # deterministic calculation of FastJet corrections
106
   addpkg RecoJets/Configuration
                                                                V02-04-16
   addpkg RecoJets/JetAlgorithms
                                                                V04-01-00
108
   addpkg RecoJets/JetProducers
                                                                V05-05-03
109
110
   addpkg MuonAnalysis/MuonAssociators
                                                             V01-13-00
111
   addpkg PhysicsTools/Configuration
                                                                  V00-10-14
112
113
   addpkg RecoTauTag/RecoTau RecoTauDAVerticesPatch_V5
   addpkg RecoTauTag/TauTagTools RecoTauDAVerticesPatch_V5
115
   addpkg RecoTauTag/Configuration RecoTauDAVerticesPatch_V5
116
117
   cvs co -d__temp__ -r1.1 UserCode/SuSyAachen/Configuration/python/pfTools.py
   /bin/mv __temp__/pfTools.py PhysicsTools/PatAlgos/python/tools
119
   rm -r __temp__
120
121
   cvs co -rV00-04-48 -dSuSyAachen UserCode/SuSyAachen
123
```

All physics objects necessary for this analysis are included in the Pat-Tuples.

#### 125 **4.2 Datasets**

We perform the analysis on events triggered by leptonic, hadronic and cross-object triggers and therefore use several primary datasets reconstructed in CMSSW\_4\_2\_X. All datasets used are listed in Tab. 1.

We select only events that enter the officially produced good-run-list

```
/afs/cern.ch/cms/CAF/CMSCOMM/COMM_DQM/certification/Collisions11/7TeV/Reprocessing/
Cert_160404-163869_7TeV_May10ReReco_Collisions11_JSON.txt
```

and the total integrated luminosity amounts to

$$\mathcal{L}_{int} = (204 \pm 8) \text{ pb}^{-1}.$$
 (1)

Table 1: Datasets used in this analysis.

DBS datasetpath				
/DoubleElectron/Run2011A-May10ReReco-v1/AOD				
/DoubleElectron/Run2011A-PromptReco-v4/AOD				
/DoubleMu/Run2011A-May10ReReco-v1/AOD				
/DoubleMu/Run2011A-PromptReco-v4/AOD				
/MuEG/Run2011A-May10ReReco-v1/AOD				
/MuEG/Run2011A-PromptReco-v4/AOD				
/ElectronHad/Run2011A-May10ReReco-v1/AOD				
/ElectronHad/Run2011A-PromptReco-v4/AOD				
/MuHad/Run2011A-May10ReReco-v1/AOD				
/MuHad/Run2011A-PromptReco-v4/AOD				
/HT/Run2011A-May10ReReco-v1/AOD				
/HT/Run2011A-PromptReco-v4/AOD				

#### **4.3** Simulated datasets

All simulated samples from CMSSW\_4\_2\_X are listed in Tab 2. Please note that for the final version the proper Madgraph samples will be used (as soon as they are available).

Table 2: Used CMSSW datasets from 4\_2\_X.

DBS datasetpath	No. events	$\sigma_{LO}$ [pb]	Name
/LMX_SUSY_sftsht_7TeV-pythia6/Fall10-START38_V12-v1/AODSIM	200000	varies	SUSY LMX
/TTJets_TuneZ2_7TeV-madgraph-tauola/Fall10-START38_V12-v2/AODSIM	1443404	90	tt+jets
/DYJetsToLL_TuneZ2_M-50_7TeV-madgraph-tauola/Fall10-START38_V12-v2/AODSIM	1084921	2350	Z+jets
/WToENu_TuneZ2_7TeV-pythia6/Fall10-START38_V12-v1/AODSIM	189069	8057	W+jets
/WToMuNu_TuneZ2_7TeV-pythia6/Fall10-START38_V12-v1/AODSIM	189069	8057	W+jets
/WToTauNu_TuneZ2_7TeV-pythia6/Fall10-START38_V12-v1/AODSIM	189069	8057	W+jets
/QCD_TuneD6T_HT-100To250_7TeV-madgraph/Fall10-START38_V12-v1/AODSIM	10842371	7000000	QCD
/QCD_TuneD6T_HT-250To500_7TeV-madgraph/Fall10-START38_V12-v1/AODSIM	4873036	171000	QCD
/QCD_TuneD6T_HT-500To1000_7TeV-madgraph/Fall10-START38_V12-v1/AODSIM	4034762	520	QCD
/QCD_TuneD6T_HT-1000ToInf_7TeV-madgraph/Fall10-START38_V12-v1/AODSIM	1541261	83	QCD

Each dataset is scaled to the desired luminosity if not illustrated differently. Additionally a k-factor has been applied for some of the datasets. The MC is reweighted to correct for the difference in the vertex multiplicity distribution as described in [25].

# 4.4 Common event selection

To select good collision events we require the event to contain a good primary vertex, which has to pass the following conditions

```
140 !isFake
141 ndof > 4
142 abs(z) <= 24
143 position.Rho <= 2.</pre>
```

# 4.5 Muons

The acceptance of the muons is restricted to  $p_T > 5$  GeV and  $|\eta| < 2.4$ . Each muon has to be identified as a global muon and tracker muon. The track of the muon in the inner tracker has to have at least 11 hits and a  $\chi^2/ndf$  of the global muon track below 10. The impact parameter of the muon track with respect to the position of the first deterministic annealing (DA) vertex is required to be below  $200~\mu m$  in x-y and within 1 cm in z. Additionally we

require the muons to be well measured by the request that the relative error from the track-fit is below 10%. The combined energy sum in cone of size dR = 0.3 around each muon has to be smaller than 15% of its energy. All cuts are summarised in Table 3.

Table 3: Overview of the muon selection.

Name	Name Pat memberfunction	
$p_T$	pt()	$\geq 5.$
$ \eta $	abs(eta())	$\leq 2.4$
GlobalPromptTight	muonID( 'GlobalMuonPromptTight' )	
TrackerMuon	isTrackerMuon()	
Number of hits	track.numberOfValidHits	≥ 11
Good track fit	track.ptError()/track.pt()	$\leq 0.1$
Impact parameter	abs(dxy(pv))	$\leq 0.02$
Impact parameter	Impact parameter abs(dz(pv))	
Isolation	Isolation (isolationR03().hadEt + isolationR03().emEt + isolationR03().sumPt) / pt	

# 4.6 Electrons

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The acceptance of the electrons is restricted to  $p_T > 10$  GeV and  $|\eta| < 2.5$ . We restrict ourselves to the ECALs fiducial volume, thus exclude electrons within  $1.4442 < \eta < 1.566$ .

Additionally, a conversion rejection is performed requiring that the electron track has maximally one lost hit in the tracker. We remove electrons from conversions via partner track finding, i.e. if there is a general track within Dist < 0.02 cm and  $\Delta \cot \theta < 0.02$  [?]. The impact parameter of the electron track with respect to the position of the primary DA vertex position is required to be below  $400~\mu \text{m}$  in x-y and smaller than 1 cm in z. The cuts are summarised in Table 4.

Table 4: Overview of the electron selection.

Name	Pat memberfunction	Cut
$p_T$	pt()	$\geq 5$ .
$ \eta $	abs(eta())	$\leq 2.4$
Identification	WP95	
Lost hits	gsfTrack->trackerExpectedHitsInner().numberOfHits()	≤ 1
Partner track finding	$!( Dist and \Delta\cot\theta )$	; 0.02
Impact parameter	abs(dxy(pv))	$\leq 0.04$
Impact parameter	abs(dz(pv))	≤ 1
Isolation Barrel	(dr03HcalTowerSumEt + max( 0., dr03EcalRecHitSumEt-1. ) + dr03TkSumPt) / pt	$\leq 0.15$
Isolation Barrel	(dr03HcalTowerSumEt + dr03EcalRecHitSumEt + dr03TkSumPt) / pt	$\leq 0.15$

# 4.7 Light lepton isolation

A combined relative lepton isolation has been used. The isolation uses information from both calorimeters and the silicon tracker. The isolation value (*Iso*) is given by the ratio of the sum of all  $p_T$  objects within a cone in  $\eta$ - $\phi$ -space of  $\Delta R = \sqrt{\Delta \eta^2 + \Delta \phi^2} < 0.4$  around the lepton and the lepton  $p_T$ . It has been pre-calculated in PAT using

$$Iso = \frac{\left[\sum_{photons} p_T + \sum_{neutral\ hadrons} p_T + \sum_{charged\ hadrons} p_T\right]_{dR<0.4}}{p_T}$$
(2)

where the first sum runs over the transverse momentum of all particle flow photons, the second sum runs over the transverse momentum of all neutral hadrons and the third sum runs over the transverse momentum deposited as

- 163 charged hadrons within the cone.
- The isolation for prompt muons obtained from the sPlot technique (Sec.??) is shown Figure ?? and the cut value is
- chosen to be Iso < 0.2. The distribution for electrons is displayed in Figure ?? and the cut is placed at Iso < 0.2
- to obtain a similar rejection and efficiency for electrons and muons. The background shapes evaluated from data
- are discussed in Sec. 7.4.

#### 168 4.8 Taus

- $p_T > 20 \text{ GeV}$
- $|\eta| < 2.3$
- charge == 1
- muon and electron discrimination
- isolation by leading pion
- TaNC 1.0% WP

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# 4.9 Jets and missing transverse energy

- The anti-kt jet algorithm [6] with a cone size of 0.5 in  $\Delta R$  is used. Jets are clustered from all reconstructed particle flow particles. We remove jets that are within  $\Delta R < 0.4$  to a lepton passing our full lepton selection.
- Thus we obtain fully lepton cleaned jets in our final selection. The jets are corrected up to level 3 using MC jet
- energy corrections [17] from the Summer11 (GlobalTag: GR\_R\_42\_V12, START42\_V12) production. To correct
- for PileUp the L1FastJet subtraction using the latest JetMET prescription is applied.
- Each corrected jet is required to have a  $p_T$  above 30 GeV and the jet axis has to be within  $|\eta| < 3$ . This relatively
- tight  $\eta$  cut is used to be able to include tracker information in the jet identification for a large part of the  $\eta$  acceptance
- and not to rely on the hadronic calorimeter only. Thus, one is able to fully profit from the particle flow algorithm.
- Each jet has to pass the "FIRSTDATA" "LOOSE" Particle Flow Jet ID criteria, which are used to suppress fake,
- noise, and badly reconstructed jets, while still retaining as much real jets as possible [18].
- The missing transverse energy (MET) is based on the sum of all particle momenta reconstructed using the particle flow event reconstruction (pfMET).

# 88 4.10 Trigger

- We collect events using three different trigger streams:
  - (20,10) GeV ee,  $e\mu$ ,  $\mu\mu$  events are selected using the lepton trigger selection.
- (10,10), (10,5), (5,5) GeV ee,  $e\mu$ ,  $\mu\mu$  events are selected using the lepton  $H_T$  cross object trigger selection.
  - (20,20), (20,20), (20,20) GeV  $\mu\tau$ ,  $e\tau$ ,  $\tau\tau$  events are selected using the leptonic tau trigger selection.

#### 3 4.10.1 Lepton trigger selection

- To collect events for the (20,10) GeV di-lepton selection we use an OR of the following double lepton high level trigger (HLT) paths
  - HLT\_Ele17\_CaloIdL\_CaloIsoVL\_Ele8\_CaloIdL\_CaloIsoVL\_v\*
- HLT\_Ele17\_CaloIdT\_TrkIdVL\_CaloIsoVL\_TrkIsoVL\_Ele8\_CaloIdT\_TrkIdVL\_CaloIsoVL\_TrkIsoVL\_v\*
- HLT\_Mu8\_Ele17\_CaloIdL\_v\*
- HLT\_Mu17\_Ele8\_CaloIdL\_v\*
- HLT\_Mu10\_Ele10\_CaloIdL\_v\*

- HLT\_DoubleMu6\*
- HLT\_DoubleMu7\_v\*
- HLT\_Mu13\_Mu7\_v\*
- 204 We check that the prescale of each trigger is set to one for all run ranges.
- <sup>205</sup> We measure the eficiency in an orthogonal event selection using events triggered by purely hadronic triggers.

Table 5: Double lepton high level trigger efficiencies.

HLT path	Thresh. [GeV]	Pathname	$\epsilon$
$H_T$	100	HLT_HT100U	$99.8 \pm 0.1\%$
$H_T$	140	HLT_HT140U	$99.7 \pm 0.1\%$
$H_T$	150	HLT_HT150U	$99.7 \pm 0.1\%$

The measured efficiencies are listed in Table 5. We obtain an efficiency of  $(99.7 \pm 0.1)\%$ ,  $((99.7 \pm 0.1)\%)$ ,  $(99.7 \pm 0.1)\%)$  for the ee  $(e\mu, \mu\mu)$  trigger with respect to the final di-lepton selection, respectively.

# 4.10.2 Lepton $H_T$ cross trigger trigger selection

- To collect events for low lepton  $p_T$  range (e 10,  $\mu$  5) GeV di-lepton plus  $H_T$  cross-object triggers are used. We use an OR of the following double lepton  $H_T$  HLT paths
- HLT\_DoubleEle8\_CaloIdL\_TrkIdVL\_HT160\_v\*
- HLT\_DoubleEle8\_CaloIdL\_TrkIdVL\_HT150\_v\*
- HLT\_DoubleMu3\_HT160\_v\*
- HLT\_DoubleMu3\_HT150\_v\*

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- HLT\_Mu3\_Ele8\_CaloIdL\_TrkIdVL\_HT160\_v\*
- HLT\_Mu3\_Ele8\_CaloIdL\_TrkIdVL\_HT150\_v\*
- We check that the prescale of each trigger is set to one for all run ranges.
- We measure the leptonic eficiency in event selection using events triggered by purely hadronic  $(H_T)$  triggers.
- Only triggers with an HT threshold of at least 160 GeV are used to ensure that the hadronic threshold of the cross
- trigger is exceeded. The hadronic efficiency is measured using events collected by di-lepton triggers. We assume
- no correlation between the hadronic and leptonic part of the trigger and give the total efficiency as a product of both.
- TODO: atm only efficiencies of lepton part!

Table 6: Double lepton  $H_T$  cross object trigger high level trigger efficiencies.

HLT path	Pathname	$\epsilon$
$ee + H_T$	HLT_DoubleEle8_CaloIdL_TrkIdVL_HT160_v*	93.85 %
	or HLT_DoubleEle8_CaloIdL_TrkIdVL_HT150_v*	
$e\mu + H_T$	$e\mu + H_T$ HLT_Mu3_Ele8_CaloIdL_TrkIdVL_HT160_v*	
	or HLT_Mu3_Ele8_CaloIdL_TrkIdVL_HT150_v*	
$\mu\mu + H_T$	$\mu\mu + H_T$ HLT_DoubleMu3_HT160_v*	
	or HLT_DoubleMu3_HT150_v*	

The measured efficiencies are listed in Table 6. We obtain an efficiency of (XX)%, ((XX)%, (XX)%) for the ee  $(e\mu, \mu\mu)$  trigger with respect to the final di-lepton selection, respectively.

# 226 4.10.3 Tau trigger selection

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To collect event including taus in the final state we use an OR of the following double lepton high level trigger (HLT) paths

- HLT\_Ele15\_CaloIdVT\_TrkIdT\_LooseIsoPFTau15\_v\*
- HLT\_Ele15\_CaloIdVT\_CaloIsoT\_TrkIdT\_TrkIsoT\_LooseIsoPFTau15\_v\*
- HLT\_IsoMu12\_LooseIsoPFTau10\_v\*
  - HLT\_IsoMu15\_LooseIsoPFTau15\_v\*
- HLT\_HT200\_DoubleLooseIsoPFTau10\_Trk3\_PFMHT35\_v\*
  - HLT\_HT250\_DoubleIsoPFTau10\_Trk3\_PFMHT35\_v\*
- <sup>235</sup> We check that the prescale of each trigger is set to one for all run ranges.
- We measure the eficiency in an orthogonal event selection using events triggered by purely hadronic triggers.

HLT path	Thresh. [GeV]	Pathname	$\epsilon$
$H_T$	100	HLT_HT100U	$99.8 \pm 0.1\%$
$H_T$	140	HLT_HT140U	$99.7 \pm 0.1\%$
$H_T$	150	HLT HT150U	$99.7 \pm 0.1\%$

Table 7: Tau high level trigger efficiencies.

The measured efficiencies are listed in Table 7. We obtain an efficiency of  $(99.7 \pm 0.1)\%$ ,  $((99.7 \pm 0.1)\%)$ ,  $(99.7 \pm 0.1)\%)$  for the ee  $(e\mu, \mu\mu)$  trigger with respect to the final di-lepton selection, respectively.

# 5 Efficiency for electrons and muons

The efficiency ratio is derived directly from the data but selection of an inclusive Z boson sample. For this we select di-lepton events (ee,  $\mu\mu$ ) with (20,10) GeV including the lepton trigger paths listed in Sec.??.

We apply an cut in the invariant mass of  $60 < m_{ll} < 120$  GeV to obtain a pure Z boson sample. The differnt flavour subtraction described in Sec. 7.1 relies on the knowledge of the electron to muon efficiency ratio, which we derive from this sample as

$$r_{\mu e} = \sqrt{\frac{n_{\mu \mu}}{n_{ee}}} = 1.13 \pm 0.07 \tag{3}$$

Table 8: Muon to electron reconstruction efficiency ratio obtained from a Z boson selection on data and Z + jets Monte Carlo simulation.

	Data	Monte Carlo
$\overline{\text{ratio } r_{\mu e}}$	$1.13 \pm 0.005({\rm stat}) \pm 0.07({\rm syst})$	$1.11 \pm 0.003({\rm stat})$

Since we measure the efficiency on a Z sample, which has a different jet multiplicity compared to a ttbar sample, we need to assign a systematic uncertainty in the extrapolation. We test the dependence in simulation by comparing a top MC sample with Z-boson simulation. While the absolute efficiency drops, the ratio of the electron to muon efficiency is approximately constant (within 6%), meaning that the loss in efficiency is the same for both lepton flavours. We therefore assume 6% additional systematic uncertainty on the ratio.

# 6 Event selection

For all event selections presented later on the main backgrounds are

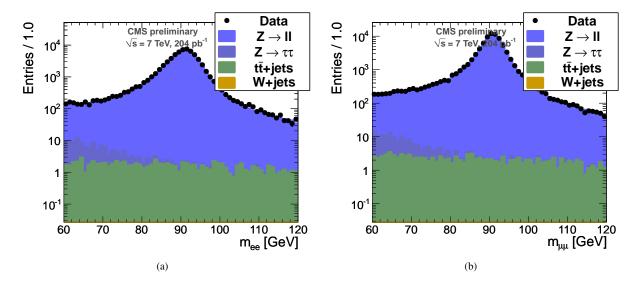


Figure 1: Invariant mass distributions for a (20,10) GeV di-lepton selection after leptonic trigger requirement.

**Top pairs** Top pair events are the dominant SM background to the search, since they contain real opposite sign leptons, missing transverse energy and a non negligible jet activity. This background is estimated by the opposite flavour subtraction.

**Z+jets** Events with a Z Boson contain two opposite sign leptons and can contain a high jet activity, but the missing transverse energy is always instrumental and therefore the background can be reduced completely. This backround can be estimated using the JZB method [22] and is found to be very small in the signal region.

**W+jets** Events with a W Boson contain real missing transverse energy and can contain a high jet activity, but do only contain one lepton. Therefore the background can be measured using the fake lepton component. This background is estimated by the isolation template method.

**Diboson** Events with two gauge bosons do contribute to the background. Due to the low cross-section of the process their contribution is found to be negligible. This background is estimated from MC.

**QCD** Although the di-jet cross-section is huge, this background is found to be negligible in MC, since all cuts act very well on QCD (no isolated leptons, no missing transverse energy and a steeply falling  $H_T$  distribution). This background is estimated by the isolation template method.

#### 6.1 Preselection

For now we select only events using the lepton trigger selection, but lower  $p_{\perp}$  leptons can be added without any changes to the presented methods. We start from a common preselection defined as

- Two leptons of opposite sign with the thresholds of  $p_T > 20$  GeV for the hardest and  $p_T > 10$  GeV for the second lepton.
- At least two jets and a  $H_T > 100$  GeV.
- A missing transverse energy of at least  $E_T > 100$  GeV.

The region is expected to be dominated by events with di-leptonic top decays. The yields in data and simulation are given in Tab. 9

# **6.2** Definition of the signal regions

To be prepared for a much larger luminosity we define tighter signal regions for an integrated luminosity of up to  $1 \text{ fb}^{-1}$ .

Table 9: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 100 \text{ GeV}$  and  $\not\!E_T > 100 \text{ GeV}$ . The errors reflect the Monte Carlo statistics only.

Process	ee	$\mu\mu$	$e\mu$	total
W + jets	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
$Z \rightarrow ll + \mathrm{jets}$	$0.16 \pm 1.76$	$0.76 \pm 2.05$	$0.04 \pm 1.86$	$0.95 \pm 3.28$
Z  ightarrow  au au	$0.27 \pm 0.89$	$0.87 \pm 1.08$	$1.18\pm1.17$	$2.33 \pm 1.82$
$t ar{t}$	$33.49 \pm 1.3$	$43.98 \pm 1.49$	$77.11 \pm 1.97$	$154.58 \pm 2.8$
Total background	$33.92 \pm 2.36$	$45.61 \pm 2.76$	$78.33 \pm 2.95$	$157.86 \pm 4.68$
Data	44	47	101	192

### **6.2.1 2010** signal region

For reference we keep the signal region used in 2010. It is defined by tightening both  $H_T$  and  $E_T$  from the preselection region to  $H_T > 350$  GeV and  $E_T > 150$  GeV.

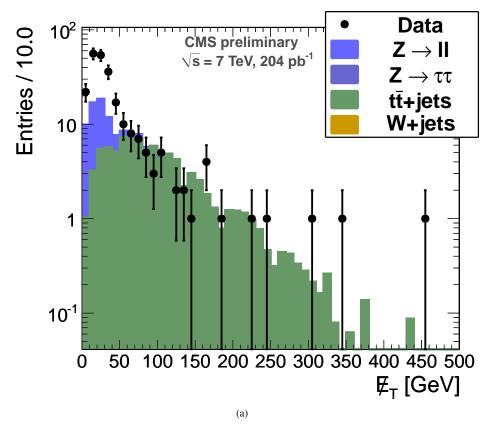


Figure 2:  $\not\!\!E_T$  distribution for all events passing di-lepton selection and satisy  $H_T > 350$  GeV. For the final  $\not\!\!E_T$  selection (150) GeV the selection is dominated by  $t\bar t$ .

The  $\not\!\!E_T$  distribution after application of the  $H_T$  cut is shown in Fig 2(a) and the yield split by flavour for a cut at 150 GeV is listed in Tab. 10. It is seen that the yield in simulation is higher than in the data for this selection.

# **6.2.2** High $H_T$ signal region

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A signal region with high  $H_T$  is defined by tightening the  $H_T$  from the preselection region to  $H_T > 600 \text{ GeV}$  and  $E_T > 100 \text{ GeV}$ .

The  $E_T$  distribution after application of the  $H_T$  cut is shown in Fig 4(a) and the yield split by flavour for a cut at 100 GeV is listed in Tab. 11. It is seen that the yield in the data is lower than expected from the simulation.

Table 10: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 350 \text{ GeV}$  and  $E_T > 150 \text{ GeV}$ . The errors reflect the Monte Carlo (scaled to  $204 \text{ pb}^{-1}$ ) statistics only.

Process	ee	$\mu\mu$	$e\mu$	total
W + jets	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
$Z \rightarrow ll + \text{jets}$	$0.0 \pm 1.97$	$0.0 \pm 1.97$	$0.0 \pm 1.97$	$0.0 \pm 0.27$
Z  ightarrow  au au	$0.0 \pm 1.0$	$0.0 \pm 1.0$	$0.0 \pm 1.0$	$0.0 \pm 0.27$
$tar{t}$	$3.44 \pm 0.42$	$4.26 \pm 0.47$	$7.46 \pm 0.63$	$15.17 \pm 0.9$
Total background	$3.44 \pm 2.25$	$4.26 \pm 2.26$	$7.46 \pm 2.3$	$15.17 \pm 0.98$
Data	3	3	4	10

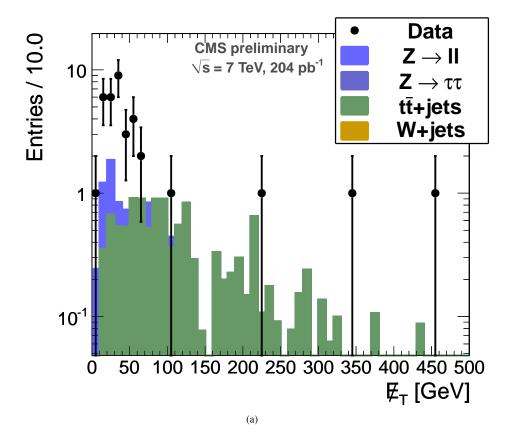


Figure 3:  $\not\!\!E_T$  distribution for all events passing di-lepton selection and satisfy  $H_T > 600$  GeV. For the final  $\not\!\!E_T$  selection (100) GeV the selection is dominated by  $t\bar t$ .

### 6.2.3 High $E_T$ signal region

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A signal region with high  $E_T$  is defined by slightly tightening the  $H_T$  and a much tighter  $E_T$  selection compared to the pre-selection region to  $H_T > 250$  GeV and  $E_T > 250$  GeV.

The  $E_T$  distribution after application of the  $H_T$  cut is shown in Fig 4(a) and the yield split by flavour for a cut at 250 GeV is listed in Tab. 12. It is seen that the yield in data is higher compared to simulation for this signal region.

# 7 Background prediction methods

We use three background prediction methods to estimate all backgrounds in the signal regions. Events where a fake or heavy flavour lepton fakes the signature of a di-lepton event (i.e. W+jets, Single Top, QCD) are estimated using the fake rate method presented in Sec. ??. Top pair, WW and  $Z \to \tau\tau$  events are estimated from the  $e\mu$ -control sample (Sec. 7.1).  $Z \to ll$  ( $l = e, \mu$ ) is estimated by extrapolating in  $H_T$  and  $\not\!\!E_T$ .

Table 11: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 600 \text{ GeV}$  and  $\not\!E_T > 100 \text{ GeV}$ . The errors reflect the Monte Carlo (scaled to 204 pb<sup>-1</sup>) statistics only.

Process	ee	$\mu\mu$	$e\mu$	total
W + jets	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
$Z \rightarrow ll + \text{jets}$	$0.08 \pm 1.47$	$0.0 \pm 1.97$	$0.0 \pm 1.97$	$0.08 \pm 3.15$
Z  ightarrow  au  au	$0.0 \pm 1.0$	$0.0 \pm 1.0$	$0.0 \pm 1.0$	$0.0 \pm 0.27$
$t ar{t}$	$1.11 \pm 0.23$	$1.69 \pm 0.31$	$2.7 \pm 0.41$	$5.5 \pm 0.56$
Total background	$1.19 \pm 1.8$	$1.69 \pm 2.23$	$2.7 \pm 2.25$	$5.58 \pm 3.22$
Data	2	0	2	4

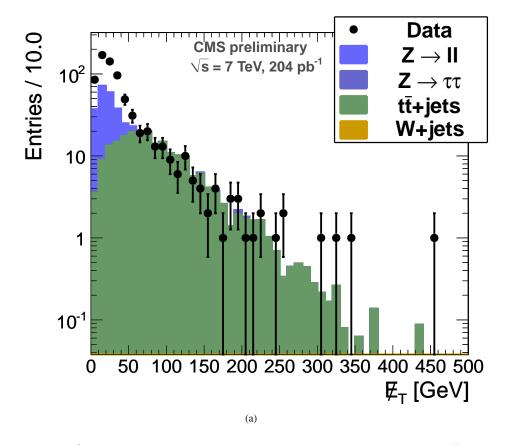


Figure 4:  $\not\!\!E_T$  distribution for all events passing di-lepton selection and satisfy  $H_T > 250$  GeV. For the final  $\not\!\!E_T$  selection (250) GeV the selection is dominated by  $t\bar t$ .

#### 7.1 Different flavour subtraction

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Since all signal regions are expected to be dominated by  $t\bar{t}$ -production we use the different flavour control sample  $(e\mu)$  to predict backgrounds in which leptons uncorrelated in flavour are being produced. It relies only on the knowledge of the ratio of electron to muon reconstruction efficiency  $r_{e\mu}$ , which we derive in Sec. 5.

Under the assumption of lepton universality The following two formulas hold for any background where di-leptons are being produced uncorrelated (e.g. top-pairs events,  $Z \to \tau^+\tau^- \to l^+l^-$ , WW-production):

$$n_{ee} = \frac{1}{2} n_{e\mu} r_{\mu e}, \quad n_{\mu\mu} = \frac{1}{2} \frac{n_{e\mu}}{r_{\mu e}}.$$

A closure test of the method has been performed using a simulated top-pair sample (including pileup) and we observe a good agreement between prediction and MC truth:

$$n_{ee} = ??.9 \pm 2.8 (\text{stat.}) \ (??.2 \text{ MC}), \quad n_{\mu\mu} = ??.8 \pm 3.3 (\text{stat.}) \ (??.5 \text{ MC}).$$

Later in this note we are only interested in an excess of  $ee + \mu\mu$  and in this extrapolation the ratio largely cancels as long as the differences in lepton efficiencies are not large.

Table 12: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 250 \text{ GeV}$  and  $\not\!E_T > 250 \text{ GeV}$ . The errors reflect the Monte Carlo (scaled to 204 pb<sup>-1</sup>) statistics only.

Process	ee	$\mu\mu$	$e\mu$	total
W + jets	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
$Z \rightarrow ll + \mathrm{jets}$	$0.0 \pm 1.97$	$0.0 \pm 1.97$	$0.0 \pm 1.97$	$0.0 \pm 0.27$
Z  o  au au	$0.0 \pm 1.0$	$0.0 \pm 1.0$	$0.0 \pm 1.0$	$0.0 \pm 0.27$
t ar t	$0.4 \pm 0.16$	$1.0 \pm 0.23$	$1.68 \pm 0.28$	$3.08 \pm 0.4$
Total background	$0.4 \pm 2.22$	$1.0 \pm 2.22$	$1.68 \pm 2.23$	$3.08 \pm 0.56$
Data	3	0	3	6

One can define the quantity S

$$S = r_{\mu e} n_{ee} - n_{e\mu} + \frac{1}{r_{\mu e}} n_{\mu\mu} \tag{4}$$

to measure the total flavour assymmetry. It is expected to be 0 for flavour symmetric processes, but becomes positive for processes with higher flavour correlated yield and negative for processes with higher  $e\mu$  yield.

# 7.2 Z boson prediction

Backgrounds containing a real di-lepton pair of same flavour (ee and  $\mu\mu$ ) are estimated by a partly data-driven extrapolation in  $H_T$  and  $\not\!\!E_T$ . We start by extraction of the Z yield using a fit to the invariant mass distribution in the preselection region ( $H_T>100~{\rm GeV}$ ) and  $\not\!\!E_T>100~{\rm GeV}$ ). From the fit we extract a yield of

$$n_{Z,control} = 5.4 \pm 3.4_{stat} \tag{5}$$

in  $ee + \mu\mu$  modes. This yield is used to derive a prediction in the signal regions

$$n_{Z,sig} = \epsilon_{H_T} \; \epsilon_{E_T} \; n_{Z,control}, \tag{6}$$

where  $\epsilon_{H_T}$  and  $\epsilon_{
ot\!\!\!E_T}$  describe an extrapolation in  $H_T$  and  $ot\!\!\!E_T$  respectively.

The extrapolation in  $H_T$  is performed fully data-driven by fitting the Z yield without  $\not\!E_T$  cut. For this we select events for  $H_T$   $\ifmmode 100$  GeV,  $H_T$   $\ifmmode 250$  GeV, which correspond to the  $H_T$  tresholds used in various signal regions. By using the ratio of the yields in these regions we drive the efficiency of the  $H_T$  cut

$$\epsilon_{H_T} = \frac{n_{Z,H_T,signal}}{n_{Z,H_T,control}}. (7)$$

The yields and efficiencies for all regions are summarised in Tab. ??.

Table 13: Z yield in.

$H_T$ thresh. [GeV]	Yield $n_Z$	$\epsilon_{H_T}$
100	$3191 \pm 57$	1.
250	$158 \pm 13$	$0.050 \pm 0.0057$
350	$51 \pm 7$	$0.016 \pm 0.0033$
600	$21 \pm 5$	$0.0066 \pm 0.0021$

The extrapolation in  $\not\!\!E_T$  is performed from simulation after applying the  $\not\!\!E_T$  and  $H_T$  cut of the preselection. We find that suppression in  $\not\!\!E_T$  is reduced after application of a higher  $H_T$  selection, but the simulation is statistically limited in this region. The systematic uncertainty on this extrapolation is assumed to be 50%.

We derive a extrapolation factor of

$$\epsilon_{E_T, 100 \to 150} = 0.5 \pm 0.25$$
 (8)

- for a  $H_T$  threshold of 250 GeV and 350 GeV. For higher  $E_T$  selections no events are selected according to simulation, thus we derive an upper limit on the number of events in that region.
- Please note that this factor can also be larger than one for a case where only the  $H_T$  but not the  $E_T$  cut is increased.
- For all signal regions the expected Z yield is rather low, so that a large systematic uncertainty can be accommodated.

# **7.3 Preselection region**

In the pre-selection region ( $H_T$   $\dot{\iota}$ 100 GeV and  $E_T$   $\dot{\iota}$  100 GeV) we perform a full shape analysis of the different ( $e\mu$ ) an same flavour (ee,  $\mu\mu$ ) lepton pairs.

A data to MC comparison of all same flavour events is shown in Fig. 5(a) for all same flavour and in Fig. 5(b) for the different flavour pairs. It is seen that data and simulation do agree relatively good.

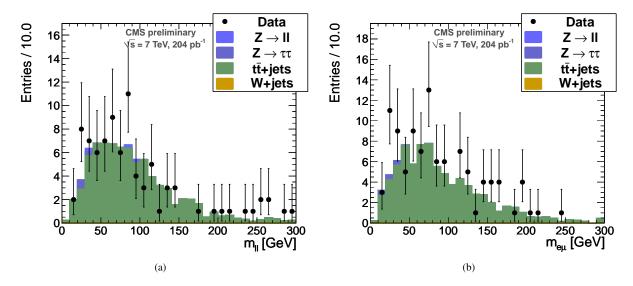


Figure 5: Data to MC comparison for events in the pre-selection region (HT > 100 GeV,  $E_T > 100 \text{ GeV}$ ) for an integrated luminosity of 204 pb<sup>-1</sup>. (a) shows the  $ee + \mu\mu$ -pairs and (b)  $e\mu$ -pairs.

In this region we perform a full shape analysis of the same and different flavour lepton pairs. The fit of the  $e\mu$  lepton pairs is shown in Fig. 6(b). This background is described by

$$B(m_{ll}) = m_{ll}^a \cdot e^{-b \cdot m_{ll}}. (9)$$

The green band represents the statistical uncertainty on the shape.

The same flavour lepton pairs are fitted by the background shape from  $e\mu$  with the normalisation fixed within the statistical plus systematical uncertainty from the  $e\mu$ -pairs.

For a potential signal the an edge model is fitted and the Z contribution is modelled by a Breit-Wigner convoluted with a Gaussian.

The fit of the  $ee + \mu\mu$  lepton pairs is shown in Fig. 6(a).

It is seen that no significant contribution above the background is observed.

# 7.4 Fake background measurement

The fake lepton background can be predicted by measuring the fake rate for electrons and muons and counting the number of events in the signal region with only candidate id criteria on one of the leptons.

The fake rate is determined as tight-to-loose ratio for leptons on a QCD dominated data sample (selection:  $H_T$  –  $p_{\perp lepton} > 200~{\rm GeV}$ ,  $E_T$  < 20 GeV,  $n_{leptons} = 1$ ). The loose lepton candidate selection is obtained by demanding all usual lepton id criteria except isolation. Figures 7(a) and 7(b) show the fake rate in dependence of the lepton  $p_{\perp}$ .

To determine the number of expected events that are caused by fake leptons in the signal region, the id criteria cut for one lepton is loosened to select all lepton candidates (no isolation cut). The obtained signal yields are multiplied with the probability that the lepton candidate is not a prompt lepton, but passes the tight lepton selection,  $P_{fake}$ :

$$P_{fake} = \frac{FR}{1 - FR}$$

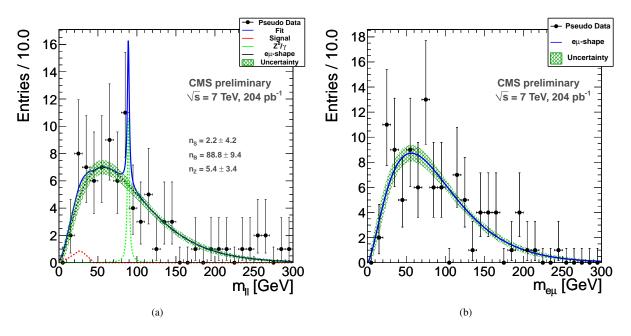


Figure 6: Data to MC comparison for events in the pre-selection region (HT>100 GeV,  $E_T>100$  GeV) for an integrated luminosity of 204 pb $^{-1}$ . (a) shows the  $ee+\mu\mu$ -pairs and (b)  $e\mu$ -pairs.

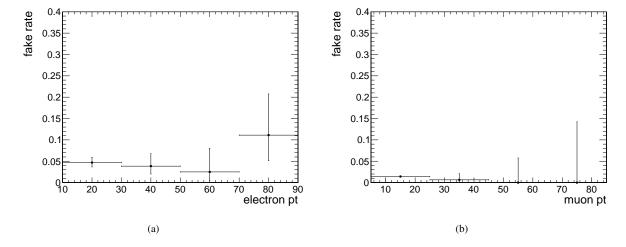


Figure 7: Fake rates for electrons (a) and muons (b).

Table 14 shows the number of expected background events in the previously defined signal and control regions that are determined using this method.

Table 14: Expected background events due to fake leptons.

Region	ee	$e\mu$	$\mu\mu$
lepton $p_{\perp} > (20, 10) \; \mathrm{GeV}$			
$H_T > 100 \text{ GeV}, \cancel{E}_T > 100 \text{ GeV}$	0.68	9.26??	1.01
$H_T > 350 \text{ GeV}, \not\!\!E_T > 150 \text{ GeV}$	0.14	0.52??	0.07
$H_T > 600 \text{ GeV}, \not\!\!E_T > 100 \text{ GeV}$	0.05	0.25??	0.01
$H_T > 250 \text{ GeV}, \cancel{E}_T > 250 \text{ GeV}$	0.00	0.31??	0.01

# 8 Results

In Tab. 15 the yields and the background predictions from the three methods are listed for the signal region used for the analysis of the 2010 data. Good agreement between prediction and observation is seen.

Table 15: Number of predicted and observed events in the 2010 signal region, defined as:  $H_T > 350$  GeV and  $\vec{E}_T > 150$  GeV.

	2010 signal region			
Process	ee	$\mu\mu$	$e\mu$	$ee + \mu\mu$
Prediction from $e\mu$	$1.8^{+1.4}_{-0.8}$	$2.3^{+1.8}_{-1.1}$	-	$4^{+3.2}_{-1.9}$
Prediction for $Z \rightarrow ll$	small	small	-	$0.042 \pm 0.021$
Fake leptons	to	be	added	-
Total predicted	$1.8^{+1.4}_{-0.8}$	$2.3_{-1.1}^{+1.8}$	-	$4^{+3.2}_{-1.9}$
Total observed	3	3	4	6
SM MC	$3.44 \pm 2.25$	$4.26 \pm 2.26$	$7.46 \pm 2.3$	$15.17 \pm 0.98$

In Tab. 16 the yields and the background predictions from the three methods are listed for the signal region defined by a tight  $E_T$  selection. We observe good agreement between prediction and observation.

Table 16: Number of predicted and observed events in the high  $\not\!\!E_T$  signal region, defined as:  $H_T > 250$  GeV and  $\vec{\not\!E}_T > 250$  GeV.

	High $\not\!\!E_T$ signal region			
Process	ee	$\mu\mu$	$e\mu$	$ee + \mu\mu$
Prediction from $e\mu$	$1.3^{+1.3}_{-0.7}$	$1.7^{+1.7}_{-0.9}$	-	$3^{+3.0}_{-1.6}$
Prediction for $Z \rightarrow ll$	small	small	-	< 0.064
Fake leptons	to	be	added	-
Total predicted	$1.3^{+1.3}_{-0.7}$	$1.7^{+1.7}_{-0.9}$	-	$3^{+3.0}_{-1.6}$
Total observed	3	0	3	3
SM MC	$0.4 \pm 2.22$	$1.0 \pm 2.22$	$1.68 \pm 2.23$	$3.08 \pm 0.56$

Tab. 17 compares the yields and the background predictions from the three background prediction methods for the signal region defined by a tight  $H_T$  selection. A good agreement between prediction and observation can be seen.

We find in no signal region any significant excess of same flavour oposite sign lepton pair events.

# **9** Extention to $\tau$ Channels

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So far only the production of light lepton  $(e,\mu)$  has been discussed. These encompassed both their direct production and the detection of leptonically decaying  $\tau$  leptons. In this section we discuss the inclusion of hadronically decaying  $\tau$  leptons as final states. Thus, three additional search channels can be defined:  $e\tau$ ,  $\mu\tau$  and  $\tau\tau$ . Note, that as an extention to the light lepton search these channels are defined strictly exclusive with respect to the light lepton channels.

Table 17: Number of predicted and observed events in the high  $H_T$  signal region, defined as:  $H_T > 600$  GeV and  $\vec{E}_T > 100$  GeV.

	High $H_T$ signal region			
Process	ee	$\mu\mu$	$e\mu$	$ee + \mu\mu$
Prediction from $e\mu$	$0.9^{+1.2}_{-0.6}$	$1.1^{+1.1}_{-0.7}$	-	$2^{+2.7}_{-1.3}$
Prediction for $Z \rightarrow ll$	small	small	-	$0.038 \pm 0.019$
Fake leptons	to	be	added	-
Total predicted	$0.9^{+1.2}_{-0.6}$	$1.1^{+1.1}_{-0.7}$	-	$2^{+2.7}_{-1.3}$
Total observed	2	0	2	2
SM MC	$1.19 \pm 1.8$	$1.69 \pm 2.23$	$2.7 \pm 2.25$	$5.58 \pm 3.22$

In contrast to the light lepton case, due to the possibility of leptonic  $\tau$  decay, flavor symmetry  $\tau$  production leads to measured final states in all three dilepton channels. This is especially significant due to the large differences in detection efficiency between hadronic  $\tau$  decays and light leptons.

For brevity form here on we consider only hadronically decaying  $\tau$  as measured  $\tau$ .

#### 356 9.1 $\tau$ Id

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# 9.2 Preduction of missidentified Quark/Gluon Jets

One of the most important backgrounds is induced by quark or gluon jets that are mistaken to originate from hadronic  $\tau$  decays. Even though sophisticated  $\tau$  identification algorithms are in place the abundance of such jets at proton–proton collisions lead to a leading contribution of misidentified jets (fakes) to the overall background.

We derive this contribution using the tight to loose ratio method. Where loose candidates are any AK5 jets that satisfy:

- - $|\eta| < 2.3$

•  $p_T > 15 \text{ GeV}$ 

- charge == 1
- muon and electron discrimination
- isolation by leading pion

The addition of the TaNC WP with an expected fake rate of 1% yields the full  $\tau$  object definition and the tight selection. In a Background dominated region (HT > 250 GeV and  $E_T < 20$  GeV) the ratio of the numer of tight candidates to those passing the loose definition is calculated in bins of transverse momentum and pyseudo rapidity as shown in Fig. ??.

A prediction of the number of misidentified jets can later be derived by selecting jets that pass the loose but not the tight selection criteria in the signal region and applying for the measured tight to loose ration  $f(p_T, |\eta|)$  a weight of  $\frac{f}{1-f}$ .

# 9.3 Results

# **9.3.1 2010 signal region**

The  $\not\!E_T$  distribution after application of the  $H_T$  cut is shown in Fig 9(a) and the yield split by flavour for a cut at 150 GeV is listed in Tab. 18.

# 9.3.2 High $H_T$ signal region

The  $\not\!\!E_T$  distribution after application of the  $H_T$  cut is shown in Fig 10(a) and the yield split by flavour for a cut at 100 GeV is listed in Tab. 19.

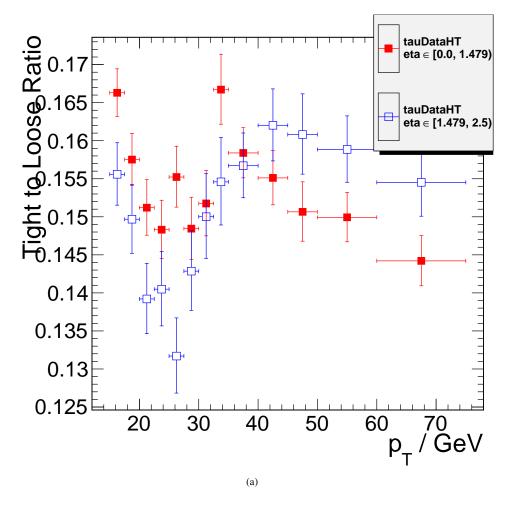


Figure 8: Ratio of jets passing the tight to jets passing the loose definition in the region of  $HT>250~{\rm GeV}$  and  $E_T<20~{\rm GeV}$ . Filled points denote this ration in the barrel and open points in the endcap region.

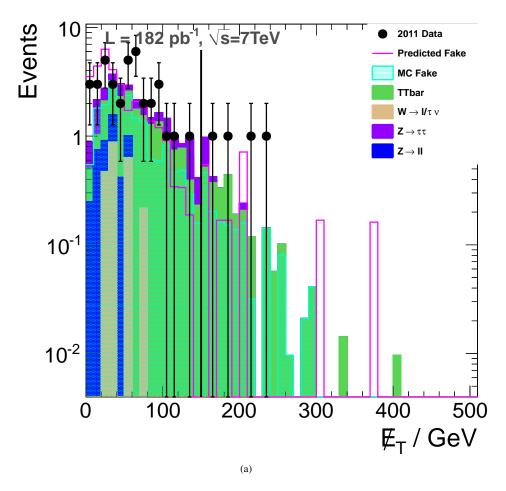


Figure 9:  $E_T$  distribution for all events passing  $e\tau$  or  $\mu\tau$  selection and satisfy  $H_T>350$  GeV. For the final  $E_T$  selection (150) GeV the selection is dominated by  $t\bar{t}$ .

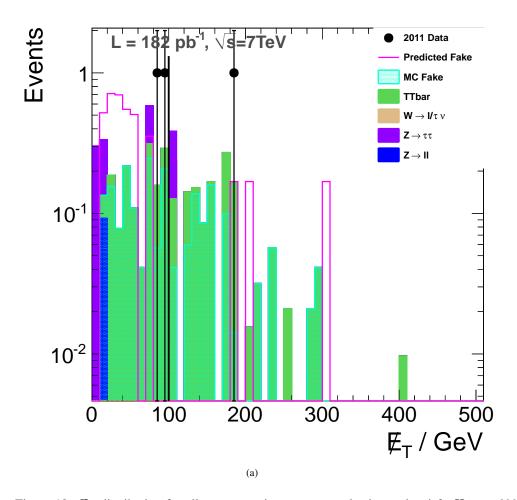


Figure 10:  $\not\!\!E_T$  distribution for all events passing  $e\tau$  or  $\mu\tau$  selection and satisfy  $H_T>600$  GeV. For the final  $\not\!\!E_T$  selection (100) GeV the selection is dominated by  $t\bar{t}$ .

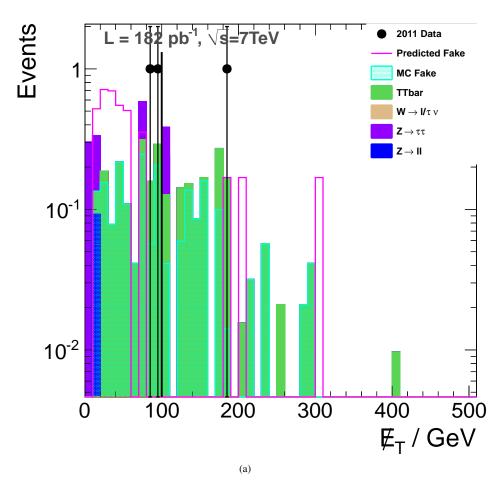


Figure 11:  $\not\!\!E_T$  distribution for all events passing  $e\tau$  or  $\mu\tau$  selection and satisfy  $H_T>250$  GeV. For the final  $\not\!\!E_T$  selection (250) GeV the selection is dominated by  $t\bar{t}$ .

Table 18: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 350 \text{ GeV}$  and  $\not\!E_T > 150 \text{ GeV}$ .

Sample	$e\tau$	$\mu \tau$	total
$Z \rightarrow 11$	< 0.1	< 0.1	< 0.1
Z  ightarrow  au au	< 0.1	0.1	0.1
TTbar	1.2	1.3	2.5
W  ightarrow 1/ au ~ u	0.2	< 0.1	0.2
$\sum SM$	1.4	1.4	2.8
2011 Data	2.0	2.0	4.0
Predicted Fake	0.7	1.2	1.9
Prompt TTbar	0.6	0.5	1.0
MC Fake	0.9	0.8	1.6

Table 19: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 600 \text{ GeV}$  and  $\not\!E_T > 100 \text{ GeV}$ .

Sample	$\mathrm{e} au$	$\mu \tau$	total
$Z \rightarrow ll$	< 0.1	< 0.1	< 0.1
$Z \to \tau\tau$	0.1	< 0.1	0.1
TTbar	0.8	0.4	1.2
W  ightarrow 1/ au ~ u	0.2	< 0.1	0.2
$\sum SM$	1.1	1.4	1.5
2011 Data	0	1	1
Predicted Fake	0.2	0.3	0.5
Prompt TTbar	0.5	0.1	0.6
MC Fake	0.3	0.1	0.4

# 9.3.3 High $E_T$ signal region

The  $E_T$  distribution after application of the  $H_T$  cut is shown in Fig 11(a) and the yield split by flavour for a cut at 250 GeV is listed in Tab. 20.

Table 20: Summary of number of events expected from Monte Carlo simulations in the signal region of  $H_T > 250$  GeV and  $\not\!E_T > 250$  GeV.

Sample	$e\tau$	$\mu \tau$	total
$Z \rightarrow ll$	< 0.1	< 0.1	< 0.1
Z  ightarrow  au au	0.1	< 0.1	0.1
TTbar	0.1	0.1	0.2
W  ightarrow 1/ au  u	0.2	< 0.1	0.2
$\sum SM$	0.4	0.1	0.5
2011 Data	0.0	0.0	0.0
Predicted Fake	< 0.1	0.3	0.3
Prompt TTbar	< 0.1	0.1	0.2
MC Fake	0.2	0.1	0.3

# 386 10 Limit

# 387 11 Conclusion

# 88 12 Acknowledgements

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