

# Minimax with Alpha-Beta Pruning for Chess AI

## Abstract

This report details the design and implementation of a chess-playing AI using Python with Pygame. The Minimax algorithm using Alpha-Beta Pruning optimizes decisions in competitive games by minimizing the number of nodes that need to be evaluated. The use of a JSON-based caching system for storing already computed moves minimizes redundant recalculations of identically game states. This also provides some intuition about how to design an efficient game-playing AI because it helps improve responsiveness.

## Introduction

Chess is undoubtedly the perfect testing ground for artificial intelligence because it is rather complex and requires strategic foresight. Since every game state has several possible moves, AI systems have to simulate potential outcomes in order to make decisions that best fit the situation of the game. This creates decision trees so vast that modern processors often find them overwhelming. The Minimax algorithm is thus commonly applied in game AI to evaluate the best possible moves while considering what the opponent might do.

Although Minimax is a very powerful algorithm, it is computationally very expensive. Therefore, the crucial techniques are Alpha-Beta Pruning that help to eliminate branches in the decision tree that do not influence the final decision. The combination of Minimax and Alpha-Beta Pruning is responsible for the efficiency of chess AIs without exhaustive computation.

Besides that, chess AI uses a JSON-based caching system which improves its ability to remember the moves in any game state previously computed. The more the memory, the more extended the time will take by the AI not to re-compute the same move many times, and therefore a better response time and final performance, especially for much longer games.

## Literature Survey

Based on several studies and implementations, the fact remains that the Minimax algorithm with Alpha-Beta Pruning is a very effective approach to countering the challenges of computing issues related to board games, in this case, chess. The origins trace back to the foundational work of John von Neumann in his 1944 book *Theory of Games and Economic Behavior*, co-authored with Oskar Morgenstern. This groundbreaking work established the methodology used in deciding in adversarial situations, thus forming the foundation for the algorithms that game AI uses to play. The theory of Minimax is a model of a rational player

that maximizes his payoffs while minimizing those of the opponent. It is a two-player zero-sum strategy strong enough to describe detailed games such as chess where predicting opponents' moves plays a key role (1944 von Neumann and Morgenstern).

Even though Minimax is efficient, the basic algorithm requires an exhaustive search of all the possible moves and countermoves; therefore, the more the depth of the possible moves, the more intensive the computation. To overcome this challenge, subsequent developments included Alpha-Beta Pruning, a technique that discards some branches in the decision tree without influencing the final decision. This development was further formalized by Donald Knuth and Ronald Moore in their seminal 1975 paper *An Analysis of Alpha-Beta Pruning*. Applying Alpha-Beta Pruning, the algorithm only evaluates fewer nodes. Essentially, the search space is narrowed down dramatically, thus enabling the decision process to be many times faster (Knuth and Moore, 1975).

In the modern applications of Minimax with Alpha-Beta Pruning, processing efficiency and real-time gameplay capability are presented at new levels. For instance, in their 2002 paper on the Deep Blue chess machine, Campbell, Hoane, and Hsu employed an improved variant of such an approach. Deep Blue was able to check millions of positions per second, and it was one of the first AI systems to be able to beat a world chess champion. The effectiveness of Minimax with Alpha-Beta Pruning has been utilized successfully in competitive gaming environments (Campbell et al., 2002).

Therefore, recent AI for board game research is a development of those foundations using a memory system and heuristic enhancement. In a totally different example, David Silver and colleagues at DeepMind are able to successfully train multiple AI systems in games of chess and shogi using reinforcement learning. They introduce even more practical heuristics and improvement in memory capabilities to improve adaptability and efficiency of AI. Such AI systems can avoid redundant calculations, as they contain data about previously encountered game states; thereby, optimizing decision-making in real-time scenarios. Enhancements by Silver et al. showed that such algorithms combined with learned strategies would make game-playing AIs have human-level or superhuman performance (Silver et al., 2018).

These studies together highlight that advanced responsive game AIs cannot function in isolation; such systems need an optimal mix of efficient search algorithms in the nature of Minimax together with Alpha-Beta Pruning and effective caching strategies. Games like chess demand considerable computational complexity, along with the need for both the optimal management of the enormous game space and avoidance of similar computation for identical game states. The synergy of reduced search complexity and memory efficiency is at the heart of strategic game AI systems today.

## Problem Statement

Chess is perfectly suited to being the standard that AI uses for testing. Since chess intrinsically includes complexity coupled with high-level strategic forecasting, this makes it perfect to be won successfully. Any given game position contains a number of different moves, which would make these algorithms in AI simulate several different moves in order to obtain an outcome that it considered to be the best one it can achieve; by today's standards, it translates to an incredible amount of decision trees. Each branch in the decision tree is a potential sequence of moves, with each level being a future move by the AI or its opponent. Now the more the game progresses and the deeper the tree, the number of branches increases exponentially, which is a problem for AI systems to explore this breadth of possibilities within a reasonable time frame.

In managing this complexity, the Minimax algorithm has become one of the foundational approaches to game AI, especially in games with clear win-loss states like chess. The Minimax algorithm is a recursive decision-making algorithm intended for two-player, zero-sum games, where the gain of one player equals the loss of the other. This algorithm allows an AI to evaluate moves based on the principle that the other player will also make the best possible moves, therefore projecting a back-and-forth of maximizing advantage in favor of the AI and minimizing losses that could occur for the opponent as a means of predicting the best possible scenario (von Neumann and Morgenstern, 1944). A strategy that closely represents human competitive decision-making, Minimax assesses each move based on responses from possible opponents.

However, though Minimax is very effective, the problem is that it computes everything. A standard version of the Minimax algorithm requires checking every possible move and counter-move, in this case, making an exponential number of computations almost impossible for real-time processing during games as complex as chess. To overcome these inefficiencies, a core optimization technique called Alpha-Beta Pruning is involved. Alpha-Beta Pruning is designed to overcome the computational overhead of Minimax by cutting off branches in the decision tree that cannot possibly influence the final outcome. This approach relies on the setting of two threshold values: alpha, representing the minimum score the maximizing player can achieve, and beta, representing the maximum score the minimizing player can achieve. At every step where the algorithm makes a different move, it compares possible outcome values against alpha and beta. In such a situation where the results of some branches are either less significant than alpha or greater in value than beta, a branch is cut off and considered void as that move shall not affect the decision making process. Therefore, all the unwanted branches are ignored and this procedure of branch removal has paved the path for efficient moves processing during Minimax application without impacting the decision's quality because of Alpha-Beta Pruning.

Besides these search optimizations, the modern chess AI generally adds memory systems that facilitate it to perform even better. For this project, a caching system based on JSON has

been used to store the computed moves for the same board configuration that has already been encountered and calculated. Every unique board is stored in a JSON file with its optimal move. Thus, the AI can retrieve its stored solutions once the same configuration is again encountered. This method boosts performance immensely, especially in longer games where some states might come up multiple times. In this approach, the AI avoids repeated calculations by making a stored move rather than computing it every time to respond faster with smoother games (Silver et al., 2018).

Caching systems using memory-based are especially useful when identical game states come up in different points of the match. Instead of recomputing the same move, the AI can easily retrieve the stored solution, thus reducing the response time and also cutting down on the system processing load. In other words, the caching mechanism basically acts as an added efficiency layer, conserving resources through the reuse of previous calculated results. The more game states the computer remembers, the less it needs to perform computations over time, so the AI gets progressively faster over time because it depends on its own memory to remember repeated scenarios.

This setup with the Minimax algorithm, Alpha-Beta Pruning, and caching system ensures that chess AI navigates the very complex decision space of chess in an efficient manner. The AI is then capable of computing optimal moves but also in control of the computational demands that arise within the game. In this regard, the outcome would be an effective and responsive AI that will work in real-time games without computation exhaustion.

## Proposed System

The proposed chess AI system is structured in a strategic form and is based on the Minimax algorithm, which is the foundational approach to decision making in two-player games. In this context, Minimax is used to simulate an all-encompassing decision-making process in which the AI calculates possible moves based on its anticipation of the opponent's responses. The moves are analyzed against the possible counter-moves of the opponent, leading to a multi-layer decision tree that reflects the multitude of possible move sequences that would follow. However, if not controlled, this sheer number of possible moves as well as possible counter-moves can cause this tree to grow exponentially, hence a demand for high levels of computation. To deal with the problem of exhaustive computation, Alpha-Beta Pruning is incorporated into the Minimax algorithm.

This optimization technique of Minimax improves the efficiency through the pruning or elimination of decision tree branches that would have no effect on a final decision. The search has the introduction of two values-threshold values, named alpha and beta-that show if further exploration is needed about the branch. Alpha gives the maximum score the AI could get at that level while beta represents the minimum score the opponent could enforce. If such a branch yields a value greater than this alpha-beta range, that branch is cut off in the search as this move is irrelevant to an optimum strategy. This highly reduces the computational overhead since the AI can determine all these moves much quicker; it

ensures the AI responds nearly instantly without checking out all possible paths. The other mechanism the AI uses, aside from the combination of Minimax and Alpha-Beta Pruning, is JSON-based caching, which further optimizes the decision-making process.

The memory system saves particular game states with their best moves in JSON format. All the different board configurations experienced in the course of a game are saved as distinct JSON objects. This forms a library of computed states and the associated moves. This enables it to fetch stored decisions rather than computing them every time there is a similar game state. The caching mechanism also proves to be useful in such a scenario where configurations sometimes occur again during complex games of chess. The availability of previously computed moves gives the AI an easy option to avoid redundant calculations to improve response time and thereby reduce processing demands, which can sometimes take very long. The overall architecture of the AI system is a conglomeration of components, each serving a function that together enables real-time gameplay.

Pygame, a Python library for building games, acts as a graphical interface for the game of chess, offering a user-friendly interface through which players can interact with the AI. The core of the decision-making process is the Minimax algorithm with Alpha-Beta Pruning, in which the AI evaluates its moves strategically and efficiently. Last but not least, a JSON-based memory system, providing a layer of caching, minimizes redundant computation and allows for quicker responses while conserving computational resources. Hence, it balances complex calculations versus the performance in real time for producing an adaptive and efficient chess playing agent. Therefore, the structure of the system as well, combining elements that are based on Pygame visualization, Minimax with Alpha-Beta Pruning, and JSON memory handling allow balancing complexities in chess as per the requirements that support the strategic depth but not by compromising in speed or the resource efficiency.

## Working/Implementation

The underlying mechanism for the chess AI's decision-making process is the Minimax algorithm. It enables the AI to evaluate all possible moves in terms of the sequence of responses that an opponent might make and simulate several turns ahead. This "thinking ahead" is done by building a decision tree, where every node represents a possible game state after a particular move. As Minimax traverses this tree, it presumes that any player would play an optimal move so as to maximize their gain or minimize the loss which they may lose. For this particular example, this algorithm wants AI to achieve the highest possible payoff that AI may receive while minimizing the opponent's gain on the same cycle. For this, the AI will have to balance offense with defense at all points within the cycle of decision making.

However, one major drawback of Minimax is that the computation of all possible sequences of moves in a game as complex as chess is computationally very intensive. The number of potential moves increases exponentially with each additional level of depth in the decision tree. The computation of every path would require enormous processing power and time,

making it almost impossible for real-time application. In an attempt to solve this, Alpha-Beta Pruning is embedded in the Minimax algorithm. Alpha-Beta Pruning is actually an optimization of the Minimax search, using alpha and beta values as conditions that determine which branches would be "pruned" and which would have no influence on the decision. Alpha-Beta Pruning Introduces Threshold Values Alpha is the best score the AI, (maximizing player) is sure to get whereas beta represents the maximum possible score the opponent, which is a minimizing player might enforce.

While Minimax is investigating each of the branches on the tree, it's constantly updating alpha and beta values. It then stops checking further moves down that branch when it reaches a branch that produces a result outside this alpha-beta range, namely too advantageous or too disadvantageous. The unpromising branches are then discarded, saving it from unnecessary calculations and reducing the search space remarkably. It goes further into more in-depth analysis to make informed strategic decisions instead of incurring proportional computational costs. With the integration of Alpha-Beta Pruning and Minimax, it ensures that the chess AI would focus on only those moves most likely to alter the outcome of the game by balancing calculation depth against performance efficiency. The complexity of the game and its stage dictate dynamic adjustment to the depth of the search tree of the Minimax algorithm.

For instance, in the case where the opening or middle game contains more pieces and many move options, it makes the algorithm limit its search depth in the hope that the process becomes faster. Conversely, an endgame with fewer pieces on the board indicates fewer move options. However, the possibility of finding an acceptable time is greater. This deeper search in endgame situations enables the AI to make very accurate evaluations of potential moves, which is especially crucial for finding paths to checkmate or draws. This chess AI balances computational efficiency with strategic foresight in its adjustment of search depth based on game complexity, thereby improving its adaptability at different phases of the game. Another feature that makes this AI efficient is its caching system, which is implemented in a JSON file.

The memory bank holds previously computed game states for each unique configuration along with its optimal move. When the AI encounters the same game state it has already analyzed, it will get the stored move directly from the JSON file rather than calculating the best move again from scratch. Such saving is highly useful for a game like chess. Many times the same type of board positions repeat and those include opening sequences one and all are aware of and simple endgames, as well. Rather than the computation time spent every now and then in figuring the outcome of the identical structure of board, some earlier calculated decision is regained by the AI from the cache and that too saving time consumed in precious processing. Beyond making quick decisions, this approach enhances the strategic soundness of the AI as well.

Once the AI identifies the best move for any state of a game, then it will always return the same move every time that it re-enters that state, equal other things. The strength is in longer games where perhaps part of the strategy includes reverting to earlier

configurations. The one is that when more game states are stored as time moves on, the cache grows and can be used for making the AI more effective and precise in later matches because more precomputed moves could be retrieved more quickly.

## Result Analysis

It measured the response time and computation efficiency of the chess AI, specifically by comparing how the AI performed with Alpha-Beta Pruning disabled and enabled and also compared with JSON-based caching. The number of moves calculated across different configurations shows the number of optimizations done significantly enhanced the response time and reduced the computational load especially in more complex game states.

## Evaluation Results and Observations

**Alpha-Beta Pruning:** Upon using Alpha-Beta Pruning with the Minimax algorithm, it saved AI a lot of time for the calculations because decisions taken this way were optimized. It had to perform the full computation for any possible move in its decision tree in the absence of Alpha-Beta Pruning, implying unaffordable computational costs. With pruning on, the AI very efficiently ignored branches of the tree that would not have an impact on the final decision; hence, evaluated fewer moves. For instance:

- In certain stages of the game, only 1,395 moves were calculated instead of the thousands that would have otherwise been the case without pruning.

- In other cases, even when the game complexity was increased, the AI could limit calculations up to about 2,871 and 3,424 moves, and hence proved that Alpha-Beta Pruning minimizes the unnecessary computation.

**JSON-based Caching System:** This caching system, based on JSON, further enhanced the performance of the AI by storing game states that had already been evaluated and their corresponding moves. When the AI encountered a game state it had already analyzed, it retrieved the optimal move directly from memory instead of recomputing it. The caching approach was very effective during the mid- and late-game phases where game states tend to recur more frequently due to repeated moves or similar configurations:

- The testing revealed several "matching entries" found by the AI in its memory, meaning a seen game state previously. The AI used such stored moves to prevent redundant calculations so that the response was, in turn, smoother and faster altogether.

This was a memory system that could enable the AI to reply rapidly even in situations involving thousands of possible moves because, for instance, 9,730 and 10,078 moves have been calculated at different levels. The AI thus made an adaptive response time wherein it improved as more knowledge was stored.

### Response Time Analysis

This integration of Alpha-Beta Pruning with JSON-based caching resulted in significant improvement to the response time of AI. Pruning strategy maximized the decision-making by focusing on the most significant moves only, and on the other hand, added a memory component with help of the caching system where the chances of repeated states being recalculated were brought down. All these were ensured by features together toward more efficient gameplay, with the ability of AI being able to handle complex situations in real-time without becoming lagged or overloading any system resources.

```
(env) [nnisarggada@mogal ~/repos/NyChess]$ python main.py
pygame 2.6.1 (SDL 2.28.4, Python 3.12.7)
Hello from the pygame community. https://www.pygame.org/contribute.html
Found matching entry
Found matching entry
Found matching entry
No. of moves calculated: 9730
No. of moves calculated: 10078
No. of moves calculated: 1395
No. of moves calculated: 2871
No. of moves calculated: 3424
```

Figure 1 Computed moves during the game



Figure 2 A screenshot of the game



In summary, the performance evaluation shows real benefits of including both Alpha-Beta Pruning and caching. These optimizations allow for high strategic depth without decreasing the speed, making it fast and responsive and effective within different phases of the game.

## Conclusion and Future Work

This chess AI project effectively demonstrates how integrating the Minimax algorithm with Alpha-Beta Pruning and a JSON-based caching system can create a highly efficient and responsive opponent. The Minimax algorithm provides a foundation for strategic decision-making, while Alpha-Beta Pruning optimizes this process by significantly reducing the number of moves the AI needs to evaluate. This pruning allows the AI to focus on the most impactful moves, thereby enabling faster decision-making even in complex game states.

The addition of a JSON-based caching system further enhances the AI's performance by allowing it to store and recall previously evaluated game states and moves. When the AI encounters a familiar game configuration, it can retrieve the optimal move directly from its cache, bypassing the need for recalculations. This caching mechanism not only speeds up the AI's response time but also conserves computational resources, especially valuable during extended games where similar states frequently recur.

Looking forward, future improvements could focus on incorporating machine learning techniques, such as reinforcement learning, to allow the AI to adapt its playstyle based on an opponent's moves or personalizing strategies over time. Enhanced caching methods, such as more sophisticated data structures or neural network-assisted memory, could further refine the AI's efficiency. These combined approaches could also extend beyond chess, potentially applying this powerful decision-making framework to other complex, strategy-based games that benefit from deep, adaptive gameplay strategies.

## References

- John von Neumann, 'Theory of Games and Economic Behavior,' 1944. Available at: <https://www.pnas.org/content/40/10/1164>
- Donald E. Knuth, Ronald W. Moore, 'An Analysis of Alpha-Beta Pruning,' 1975. Available at: <https://dl.acm.org/doi/10.1145/321879.321880>
- Murray Campbell, A.J. Hoane, Feng-hsiung Hsu, 'Deep Blue,' Artificial Intelligence, 2002. Available at: [https://doi.org/10.1016/S0004-3702\(02\)00187-1](https://doi.org/10.1016/S0004-3702(02)00187-1)
- David Silver et al., 'Mastering Chess and Shogi by Self-Play,' Science, 2018. Available at: <https://www.science.org/doi/10.1126/science.aar6404>
- Knuth, D. E., & Moore, R. W. (1975). An Analysis of Alpha-Beta Pruning. SIAM Journal on Computing, 4(3), 293-315. Available at: <https://dl.acm.org/doi/10.1145/321879.321880>
- Campbell, M., Hoane, A. J., & Hsu, F.-h. (2002). Deep Blue. Artificial Intelligence, 134(1-2), 57-83. Available at: [https://doi.org/10.1016/S0004-3702\(02\)00187-1](https://doi.org/10.1016/S0004-3702(02)00187-1)
- Silver, D., Schrittwieser, J., Simonyan, K., et al. (2018). Mastering Chess and Shogi by Self-Play with a General Reinforcement Learning Algorithm. Science, 362(6419), 1140-1144. Available at: <https://www.science.org/doi/10.1126/science.aar6404>