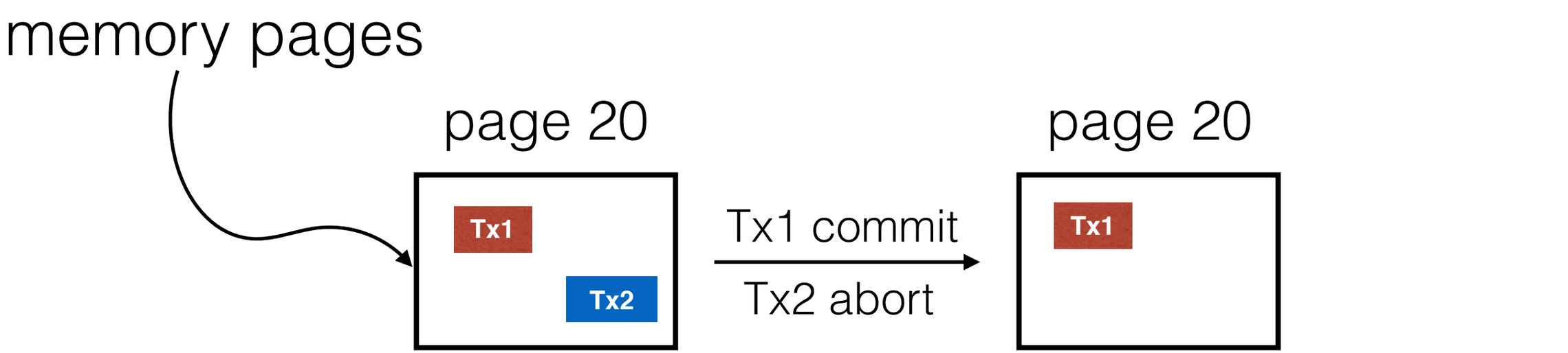
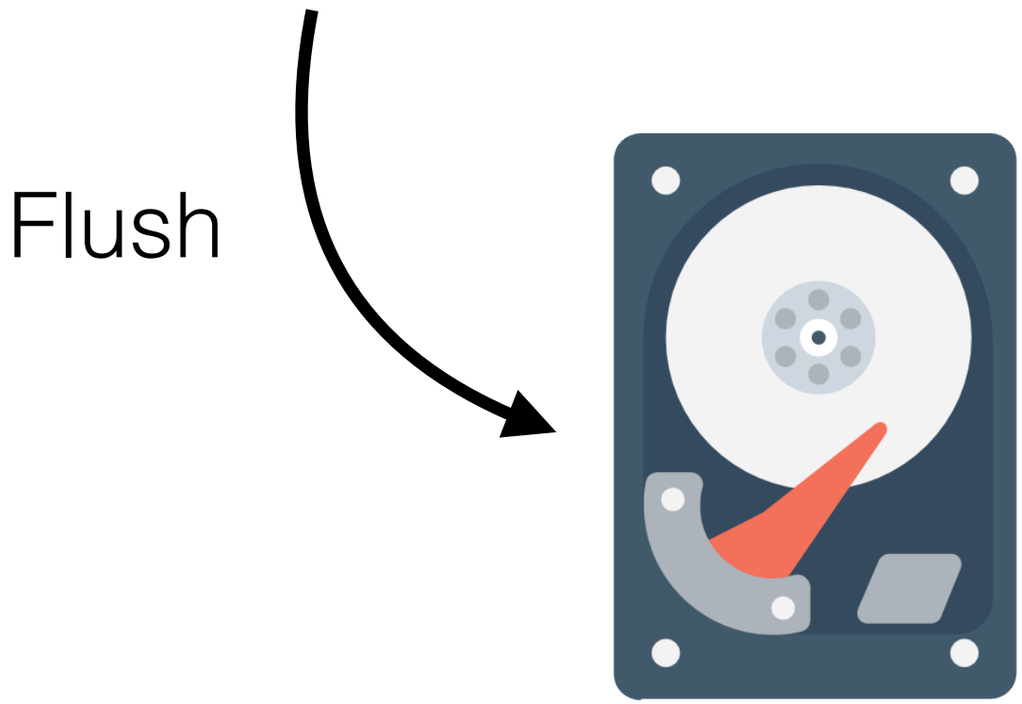
# Overview of ARIES

ctsai@DataLab
Database Systems
2018 Spring

# What we expected




- In page 20 :
  - Tx1 is a winner tx
  - Tx2 is a loser tx



# However…

- Steal

  - Due to buffer management, dirty pages may be flushed to disk before txs commit

  -> The changes made by ***loser*** txs must be UNDO

# However…

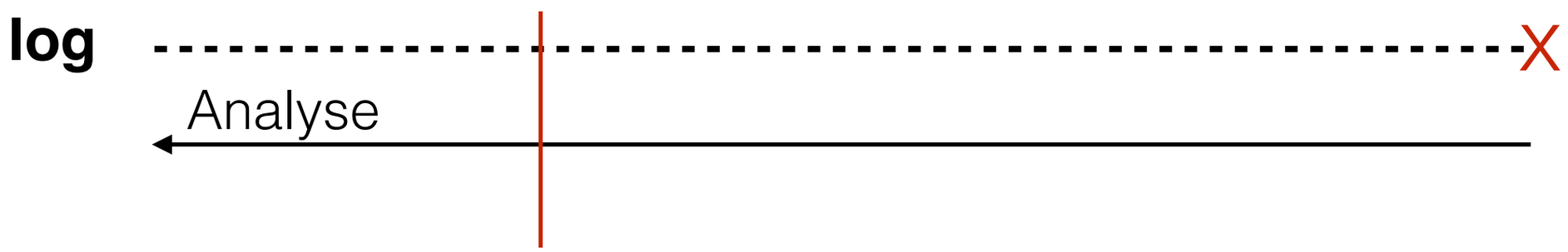
- Steal
- No Force

  - Due to performance reason, dirty page won't be flush immediately after txs commit

  -> The changes made by ***winner*** txs must be REDO

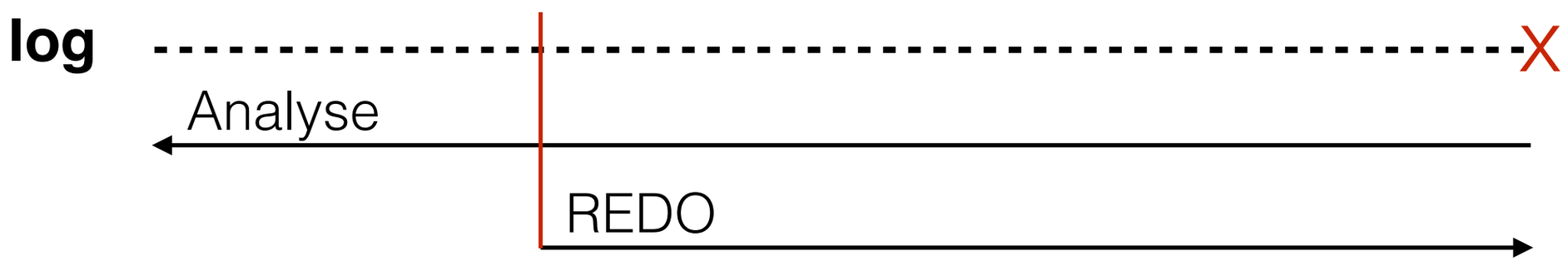
# Three Phases of ARIES

- Analyse Phase
  - Find the earliest possibly start point of dirty page
  - Find loser txs



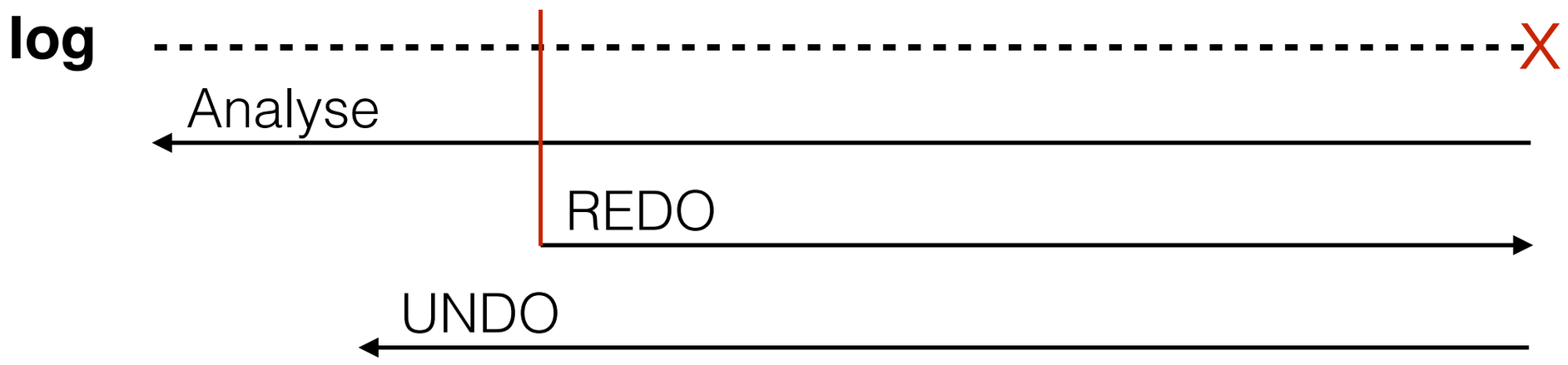
# Three Phases of ARIES

- Analyse Phase
  - Find the earliest possibly start point of dirty page
  - Find loser txs
- REDO Phase
  - Repeat history (***both*** winner and loser changes)
  - Recovery exact page status when the failure occurred



# Three Phases of ARIES

- Analyse Phase
  - Find the earliest possibly start point of dirty page
  - Find loser txs
- REDO Phase
  - Repeat history (***both*** winner and loser changes)
  - Recovery exact page status when the failure occurred
- UNDO Phase
  - Rollback ***loser*** txs changes



# Logs in ARIES

# Physical Log Record

- Record format :

  - Set Value Record:

    <**Op Code**, **txNum**, fileName, blockNum, offset, sqlType, **oldVal**, **newVal** >

  - Index Page Insert/Delete Record :

    <Op Code, txNum, fileName, blockNum, insertSlot, insertKey, insertRidBlkNum, insertRidId>

- REDO :

- UNDO :

# Physical Log Record

- Record format :
  - Set Value Record:

    <**Op Code**, **txNum**, fileName, blockNum, offset, sqlType, **oldVal**, **newVal** >
  - Index Page Insert/Delete Record :

    <Op Code, txNum, fileName, blockNum, insertSlot, insertKey, insertRidBlkNum, insertRidId>
- REDO :
  - Apply newVal to the page
- UNDO :

# Physical Log Record

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  - Set Value Record:

    <**Op Code**, **txNum**, fileName, blockNum, offset, sqlType, **oldVal**, **newVal** >
  - Index Page Insert/Delete Record :

    <Op Code, txNum, fileName, blockNum, insertSlot, insertKey, insertRidBlkNum, insertRidId>
- REDO :
  - Apply newVal to the page
- UNDO :
  - Apply oldVal to the page

# Physical Log Record

- Record format :
  - Set Value Record:

    <**Op Code**, **txNum**, fileName, blockNum, offset, sqlType, **oldVal**, **newVal** >
  - Index Page Insert/Delete Record :

    <Op Code, txNum, fileName, blockNum, insertSlot, insertKey, insertRidBlkNum, insertRidId>
- REDO :
  - Apply newVal to the page
- UNDO :
  - Apply oldVal to the page
  - Append its ***Compensation Log***

# Compensation Log Record

- Feature :
  - REDO-ONLY Physical Log Record
  - UNDO procedure's REDO Log
- Record format :
  - Set Value Clr and Index Page Insert/Delete Clr:

    <**Op Code**, **UndoTxNum**… , **UndoNextLSN** >
- REDO :
  - Apply oldVal to the page
- UNDO :
  - Do nothing

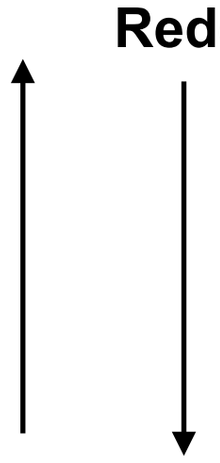
# Why Clr is Redo Only ?

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>




**Crash Here !**

**Analyse** tx1 is a loser tx

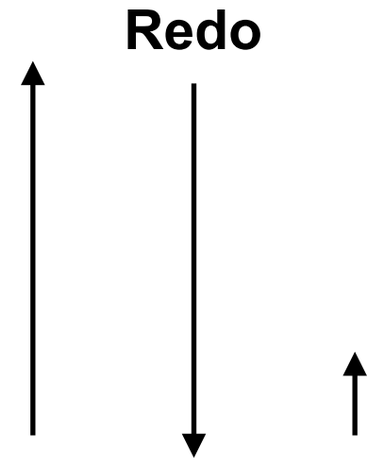
# Why Clr is Redo Only ?

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>




**Crash Here !** **Analyse** **Undo**

[4]<SetValClr 1, Page 20 , 3 , 2 >  // Append Undo [3] Redo log

# Why Clr is Redo Only ?

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>

**Crash Here !**

[4]<SetValClr 1, Page 20 , 3 , 2 >

**Crash Again !**

# Why Clr is Redo Only ?

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

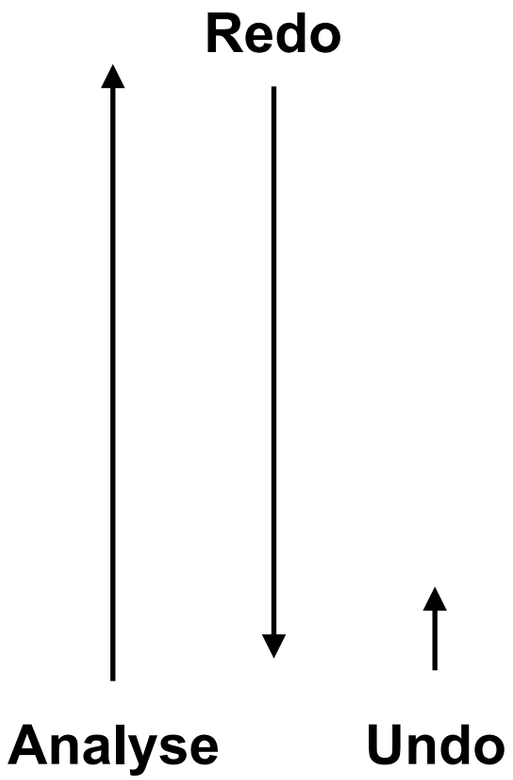
[3] <SetVal , 1 , Page 20 ,  2 , 3>

**Crash Here !**

[4]<SetValClr 1, Page 20 , 3 , 2 >

**Crash Again !**

[5]<SetValClr 1, Page 20 , 2 , 3 >




// Append Undo { Undo [3] Redo log } Redo log

# Why Clr is Redo Only ?

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>

**Crash Here !**

[4]<SetValClr 1, Page 20 , 3 , 2 >

**Crash Again !**

[5]<SetValClr 1, Page 20 , 2 , 3 >

**Crash Again !**

# Why Clr is Redo Only ?

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>

**Crash Here !**

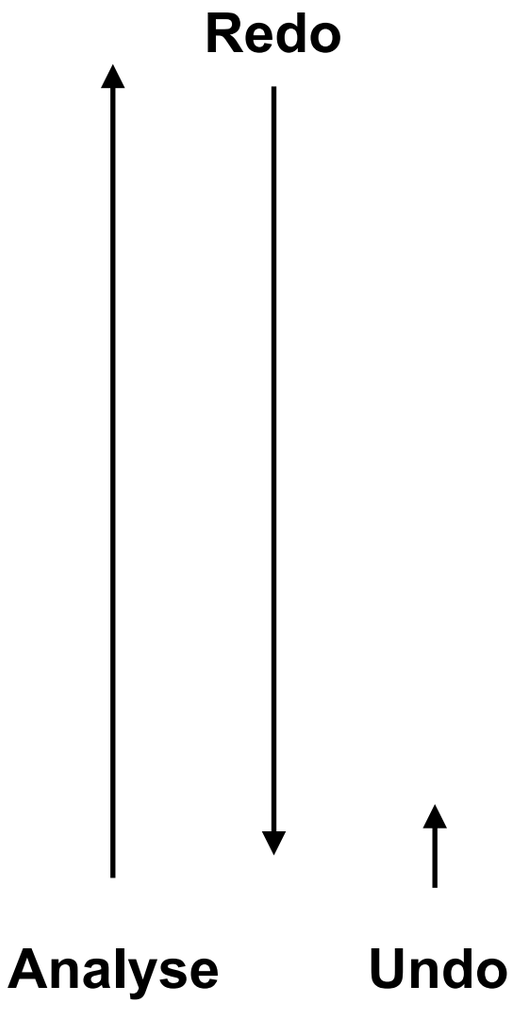
[4]<SetValClr 1, Page 20 , 3 , 2 >

**Crash Again !**

[5]<SetValClr 1, Page 20 , 2 , 3 >

**Crash Again !**

[6]<SetValClr 1, Page 20 , 3 , 2 >




// Append Undo { Undo { Undo [3] Redo log } Redo log}  Redo log

**… WTF**

# Which level of REDO am I Undoing ?

# Clr is Redo Only !

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

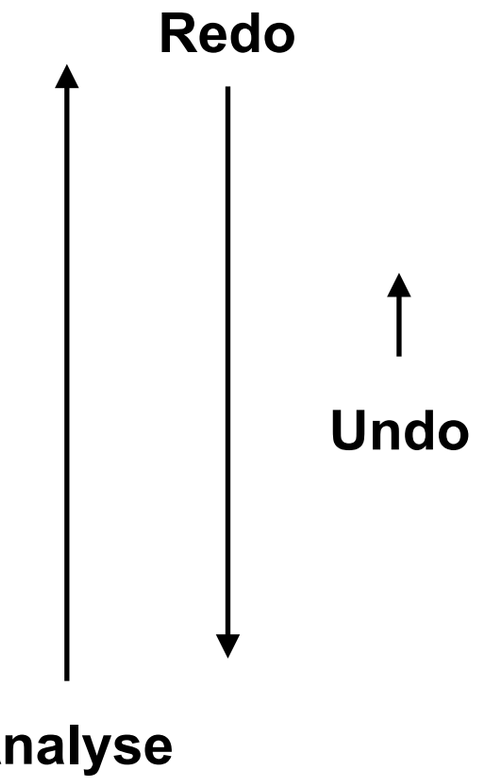
[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>

**Crash Here !**

[4]<SetValClr 1, Page 20 , 3 , 2 >

**Crash Again !**



# Why Clr need UndoNext ?

- Clr without UndoNext :

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>

**Crash Here !**

[4]<SetValClr 1, Page 20 , 3 , 2 >  // Append Undo [3] Redo log

**Crash Again !**

[5]<SetValClr 1, Page 20 , 3 , 2 > // Append Undo [3] Redo log

[6]<SetValClr 1, Page 20 , 2 , 1 > // Append Undo [2] Redo log

[7]<SetValClr 1, Page 20 , 1 , 0 >

# Why Clr need UndoNext ?

- Clr with UndoNext :

  [0] <Start 1>

  [1] <SetVal , 1 , Page 20 ,  0 , 1>

  [2] <SetVal , 1 , Page 20 ,  1 , 2>

  [3] <SetVal , 1 , Page 20 ,  2 , 3>

  **Crash Here !**

  [4]<SetValClr 1, Page 20 , 3 , 2 , [3] >  // Append Undo [3] Redo log

  **Crash Again !**

  [5]<SetValClr 1, Page 20 , 2 , 1 , [2] > // Append Undo [2] Redo log

  [6]<SetValClr 1, Page 20 , 1 , 0 , [1] >

# Why Clr need UndoNext ?

- UndoNext helps skip logs which have been Undone by Redo

[0] <Start 1>

[1] <SetVal , 1 , Page 20 ,  0 , 1>

[2] <SetVal , 1 , Page 20 ,  1 , 2>

[3] <SetVal , 1 , Page 20 ,  2 , 3>

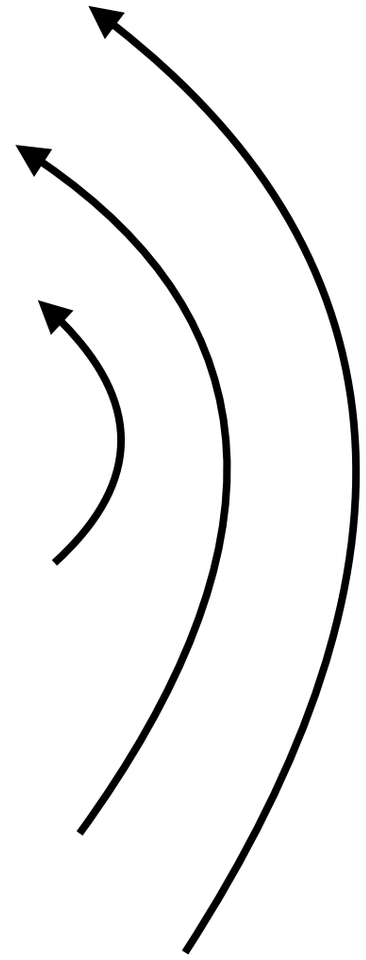
**Crash Here !**

[4]<SetValClr 1, Page 20 , 3 , 2 , [3] >

**Crash Again !**

[5]<SetValClr 1, Page 20 , 2 , 1 , [2] >

[7]<SetValClr 1, Page 20 , 1 , 0 , [1] >



# Logical Log Record

- Record format :

  - Logical - Start Record

    <OP Code, txNum>

  - Record File Insert/Delete End Record :

    <Op Code, txNum,fileName, blockNum, slotId , logicalStartLSN>

  - Index Insert/Delete End Record :

    <Op Code, txNum, tblName, fldName, searchKey,  recordBlockNum, recordSlotId , logicalStartLSN>

- REDO :

  - Do nothing

- UNDO :

  - Undo ***competed*** logical log ***logically***

  - Undo ***partial*** logical log ***physically***

  - Append ***Logical Abort*** log record

# Rollback a competed Logical Log Record

[0] <Start 1>

[1] <LogicalStart, 1 >

[2] <Index Page Insert , 1 , … >

[3] <SetVal , 1 , Page 2 ,  1 , 2>

[4] <SetVal , 1 , Page 20 ,  2 , 3>

[5] <Record File Insert End , 1, … , [2] >

**Crash Here !**

# Rollback a competed Logical Log Record

[0] <Start 1>

[1] <LogicalStart, 1 >

[2] <Index Page Insert , 1 , … >

[3] <SetVal , 1 , Page 2 ,  1 , 2>

[4] <SetVal , 1 , Page 20 ,  2 , 3>

[5] <Record File Insert End , 1, … , [2] >

Logical operations

**Crash Here !**

# Rollback a competed Logical Log Record

[0] <Start 1>

[1] <LogicalStart, 1 >

[2] <Index Page Insert , 1 , … >

[3] <SetVal , 1 , Page 2 ,  1 , 2>

[4] <SetVal , 1 , Page 20 ,  2 , 3>

Physical operations

Logical operations

[5] <Record File Insert End , 1, … , [2] >

**Crash Here !**

# Rollback a competed Logical Log Record

[0] <Start 1>

[1] <LogicalStart, 1 >

[2] <Index Page **Insert** , 1 , … >

[3] <SetVal , 1 , Page 2 ,  1 , 2>

[4] <SetVal , 1 , Page 20 ,  2 , 3>

[5] <Record File Insert End , 1, … , [2] >

**Crash Here !**

[6] <Start 2 >

[7] <LogicalStart, 2 >

[8] <Index Page **Delete** , 2 , … >

[9] <SetVal , 2 , Page 2 ,  2 , 1>

[10] <SetVal , 2 , Page 20 ,  2 , 3>

[11] <Record File Insert End , 2, … , [7]>

[12] <Logical Abort 1 , [1]>

# Rollback a competed Logical Log Record

[0] <Start 1>

[1] <LogicalStart, 1 >

[2] <Index Page **Insert** , 1 , … >

[3] <SetVal , 1 , Page 2 ,  1 , 2>

[4] <SetVal , 1 , Page 20 ,  2 , 3>

[5] <Record File Insert End , 1, … , [2] >

**Crash Here !**

[6] <Start 2 >

[7] <LogicalStart, 2 >

[8] <Index Page **Delete** , 2 , … >

[9] <SetVal , 2 , Page 2 ,  2 , 1>

[10] <SetVal , 2 , Page 20 ,  2 , 3>

Physical operations

Logical operations

[11] <Record File Insert End , 2, … , [7]>

[12] <Logical Abort 1 , [1]>