

## 1. Speech multimodal api

我們用的是python中一個叫作SpeechRecognition的package,他可以接google的api、ibm的、microsoft bing的、因為之前申請了azure的帳號，所以我們最後使用microsoft的api，主要就是先判斷messenger傳過來的訊息是否為audio形式，如果是的話，就把他下載下來轉成wav檔，透過SpeechRecognition把他轉成NL在當成input餵進去model裏面這樣。

程式如下：

先把speech\_recognition叫出來，接下來把facebook傳過來的語音url轉成wav檔(透過transcribe還有speech\_recognition吃wav檔)，之後在把他轉成他可以吃的形式(speech\_recognition.AudioFile)最後透過recognize\_bing(微軟azure api)去進行語音辨識得到我們的nl\_input。

```
elif message['type'] == 'audio':
    audio_url = message['data']
    r = speech_recognition.Recognizer()
    path = transcribe(audio_url)
    with speech_recognition.AudioFile(path) as source:
        audio = r.record(source)
    #r.recognize_google(audio, language='')
    try:
        #nl_input = STT.transcribe(audio_url)
        nl_input = r.recognize_bing(audio, key = BING_KEY)
        if nl_input == "" or nl_input == None:
            return
        # if 'DISPLAY_STT_RESULT' in os.environ and os.envi
        print(nl_input)
```

## 2. Reinforcement learning based dialogue policy:

Environment State的設定包含使用者曾經講過的slot & intent tag,與System所做的history action及user simulator對於system的行為所給予的reward,根據User & System曾經的互動,學習應該做的Dialogue Policy.

這次為了做reinforcement learning,User simulator做了更細微的reward 更動,如果system confirm一些跟當前對話無關的intent or slot tags,或是再次詢問了user simulator已經給過的slot tag,我們會給負的reward,如果system confirm到正確的intent or slot就會給予

獎勵.希望藉由更詳細的更動讓reinforcement learning 學得更快.  
前面會先跑5000次對話,全部都是random的explore,但會有基本的邏輯(不會以A intent配B intent的slot作出詢問, ex: What currency whould you like to know about this stock information. intent: query, slot: country (get\_exchange\_rate的slot) ),再來random的機率會線性下降,讓model慢慢掌握抉擇system action的工作,超過10000次之後就完全是model在做選擇。

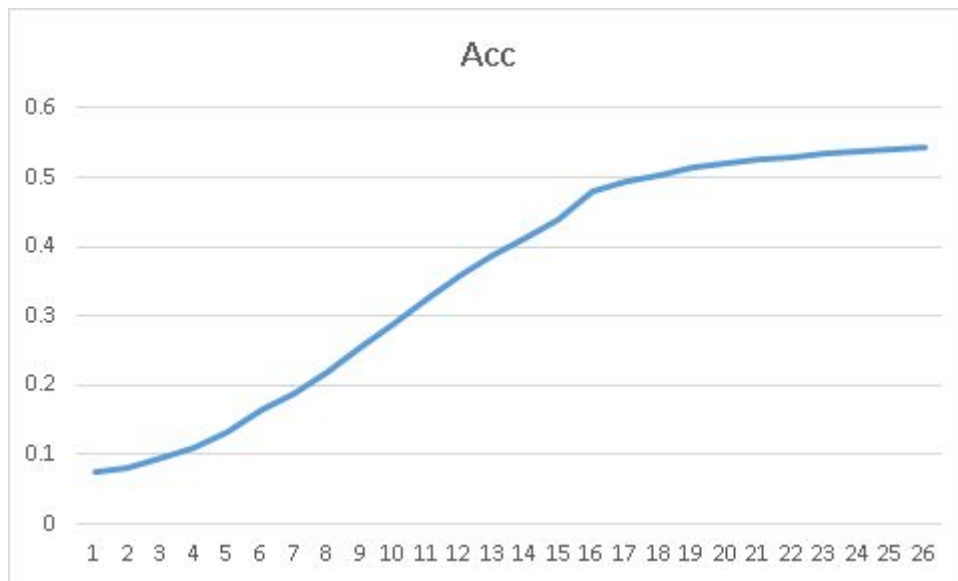
```
self.biases = {
    'w_s': tf.Variable(tf.random_normal([len(self.map.slot)])),
    'w_i': tf.Variable(tf.random_normal([len(self.map.intent)])),
    'w_sa': tf.Variable(tf.random_normal([4]))
}
self.layer = {
    'l1': tf.Variable(tf.random_normal([len(self.map.slot) + len(self.map.intent), self.n_hidden])),
    'l2': tf.Variable(tf.random_normal([len(self.map.slot) + len(self.map.intent), self.n_hidden])),
    'l3': tf.Variable(tf.random_normal([len(self.map.slot) + len(self.map.intent), self.n_hidden]))
}
self.layer_biases = {
    'b1': tf.Variable(tf.random_normal([self.n_hidden])),
    'b2': tf.Variable(tf.random_normal([self.n_hidden])),
    'b3': tf.Variable(tf.random_normal([self.n_hidden]))
}
self.w1 = tf.nn.relu(tf.matmul(self.w_in, self.layer['l1']) + self.layer_biases['b1'])
self.w2 = tf.nn.relu(tf.matmul(self.w_in, self.layer['l2']) + self.layer_biases['b2'])
self.w3 = tf.nn.relu(tf.matmul(self.w_in, self.layer['l3']) + self.layer_biases['b3'])

self.o1 = tf.negative(tf.log(tf.sigmoid(tf.matmul(self.w1, self.weights['w_s']) + self.biases['w_s'])))
self.o2 = tf.negative(tf.log(tf.sigmoid(tf.matmul(self.w2, self.weights['w_i']) + self.biases['w_i'])))
self.o3 = tf.negative(tf.log(tf.sigmoid(tf.matmul(self.w3, self.weights['w_sa']) + self.biases['w_sa'])))

self.c1 = tf.reduce_sum(tf.matmul([self.o1], self.w_s))
self.c2 = tf.reduce_sum(tf.matmul([self.o2], self.w_i))
self.c3 = tf.reduce_sum(tf.matmul([self.o3], self.w_sa))

self.t1 = tf.train.RMSPropOptimizer(0.0025, 0.99, 0.0, 1e-6).minimize(self.c1)
self.t2 = tf.train.RMSPropOptimizer(0.0025, 0.99, 0.0, 1e-6).minimize(self.c2)
self.t3 = tf.train.RMSPropOptimizer(0.0025, 0.99, 0.0, 1e-6).minimize(self.c3)
```





### 3. NN-based NLG

- implementation:  
我們的 model 參考自 tgen, A statistical natural language generator for spoken dialogue systems([連結](#))架構上使用 sequence to sequence recurrent neural network 並以 tensorflow 實作
- training data  
dialogue acts: 包含hello(), inform\_no\_match(), confirm\_intent([slot]), confirm\_slot([slot]), request([slot]), 及 response([slot]) 配上相對應的slots

dialogue acts

```
request(time_end)
request(stock_name)
confirm_slot(types=cash)
confirm_intent(query)
hello()
confirm_slot(time_start='2017-01-22')
inform_no_match()
request(date)
confirm_slot(country2='AWG')
hello()
inform_no_match()
request(country1)
confirm_slot(stock_name='Huttig Building Products')
request(action)
confirm_intent(exchange)
confirm_intent(exchange)
confirm_intent(USDx)
confirm_slot(time_end='2017-05-31')
confirm_slot(types=account)
confirm_slot(country2='XOF')
request(date)
hello()
hello()
request(date)
confirm_slot(stock_name='Alexco Resource Corp')
hello()
hello()
request(country1)
confirm_intent(query)
hello()
```

text:

```
ending in ?  
can you yell me the stock name ?  
use cash ?  
you want to know the stock price ?  
Hello, welcome to Finbot. I can: 1. excahnge between two currencies 2. query US stock  
prices 3. get exchange rate between Taiwan and foreign money 4. check the USDX index How  
may I help you?  
do you want to see from 2017-01-22 ?  
not found .  
on which day ?  
do you want to exchange to AWG ?  
Hello, welcome to Finbot. I can: 1. excahnge between two currencies 2. query US stock  
prices 3. get exchange rate between Taiwan and foreign money 4. check the USDX index How  
may I help you?  
no find .  
against which currency ?  
do you want to see Huttig Building Products ?  
what to do to this currency ?  
you want to know the exchange rate ?  
you want to know the exchange rate ?  
you want to know the USDX ?  
do you want to see until 2017-05-31 ?  
do you want to use account ?  
to XOF ?  
on which day ?  
Hello, welcome to Finbot. I can: 1. excahnge between two currencies 2. query US stock  
prices 3. get exchange rate between Taiwan and foreign money 4. check the USDX index How  
may I help you?  
Hello, welcome to Finbot. I can: 1. excahnge between two currencies 2. query US stock  
prices 3. get exchange rate between Taiwan and foreign money 4. check the USDX index How
```

- training/testing split vs blue score:

90% for training 0.310810689481

80% for training 0.205758816209

70% for training 0.0926496039644



- testing result:

```

Sorry, find .
Hello, welcome to Finbot. I can: 1. excahnge between two currencies query US stock prices
3. get exchange rate between Taiwan and foreign money 4. check the USDx index How may I
help you?
you to know the exchange rate ?
you want to know the stock price .
X say you did ?
exchange ? know to the you rate want
you want exchange do to to ? X
Sorry, I cannot find matching .
Hello, welcome to Finbot. I can: 1. excahnge between two currencies 2. query US stock
prices 3. get exchange rate between Taiwan and foreign money 4. check the USDx index How
may I help you?
trade foreign want money to ? you
? to the you want USDx know
I two Finbot. query USDx currencies excahnge 4. help index I exchange money 3. Hello,
between welcome 1. prices to US check can: the get and 2. may between rate Taiwan foreign
How stock you?
not .
stock ? name
and welcome you? I 1. two index currencies between US rate How help 4. the can: Hello,
check USDx money may Taiwan get between exchange I excahnge foreign to stock 2. 3. query
prices Finbot.
you want to know the stock price .
not .
until X ?
money want foreign you . trade to
find no .
which currency against is ? it
not found .

```

#### 4. Performance for simulated dialogues :

```

(['[DM] turn0 user:', 'What is the exchange rate of GIP?'])
(['[DM] turn1 system:', "intent: USDx , request(['time_start'])"])
(['[DM] turn2 user:', 'What the fuck are you saying,Give me the exchange rate'])
(['[DM] turn3 system:', "intent: exchange , request(['country2'])"])
(['[DM] turn4 user:', 'GIP'])
(['[DM] turn5 system:', "intent: exchange , request(['country1'])"])
(['[DM] turn6 user:', 'TMT'])
(['[DM] turn7 system:', "intent: exchange , response({'country1': 1, 'country2': 1})"])
(['[DM] turn8 user:', 'Thanks'])
reward: 16

```

```

(['DM] turn0 user:', 'Give me the USDX until 2017-03-26.')
(['DM] turn1 system:', "intent: USDX request(['time_start'])")
(['DM] turn2 user:', '2017-02-21')
(['DM] turn3 system:', "intent: USDX request(['time_end'])")
(['DM] turn4 user:', 'The time period ends in 2017-03-26.')
(['DM] turn5 system:', "USDX response({'time_start': 2017-02-21, 'time_end': 2017-03-26})")
(['DM] turn6 user:', 'Thanks')
reward: 23
(['DM] turn0 user:', 'Give me the USDX until 2017-03-27.')
(['DM] turn1 system:', "intent: get_exchange_rate confirm_slot({'types': 1})")
(['DM] turn2 user:', "I don't understand what you are talking about.")
(['DM] turn3 system:', "intent: exchange confirm_slot({'country2': 1})")
(['DM] turn4 user:', 'What the fuck are you saying, Give me the USDX.')
(['DM] turn5 system:', "intent: USDX request(['time_end'])")
(['DM] turn6 user:', 'Ends in 2017-03-27.')
(['DM] turn7 system:', "intent: USDX request(['time_start'])")
(['DM] turn8 user:', 'In 2017-01-20.')
(['DM] turn9 system:', "intent: USDX response({'time_start': 2017-01-20, 'time_end': 2017-03-27})")
(['DM] turn10 user:', 'Thanks')
reward: 9
(['DM] turn0 user:', 'I want to know the USDX')
(['DM] turn1 system:', "intent: USDX request(['time_start'])")
(['DM] turn2 user:', 'The time period starts in 2017-01-13.')
(['DM] turn3 system:', "intent: USDX request(['time_end'])")
(['DM] turn4 user:', 'The time period ends in 2017-03-28.')
(['DM] turn5 system:', "intent: USDX response({'time_start': 2017-01-13, 'time_end': 2017-03-28})")
(['DM] turn6 user:', 'Thanks')
reward: 23

```

avg\_reward: 13.5723

succ\_rate: 0.5113

avg\_turns: 6.7894

avg\_turnacc: 0.87292420078228117

用 user simulator 跑了大概 10000 筆資料結果如上，跟 rl train 出來的結果效果是略低，但還在誤差值裡面。

Facebook Bot: <https://www.facebook.com/Finbot-1892124104332642/>

因為還沒通過上市申請，如果助教要測請給我們 facebook 名子，加入測試人員 account，Bot 就會回了。