



Introduction to SHADOW and ShadowOui

Manuel Sanchez del Rio

srio@lbl.gov



U.S. DEPARTMENT OF
ENERGY

Office of
Science



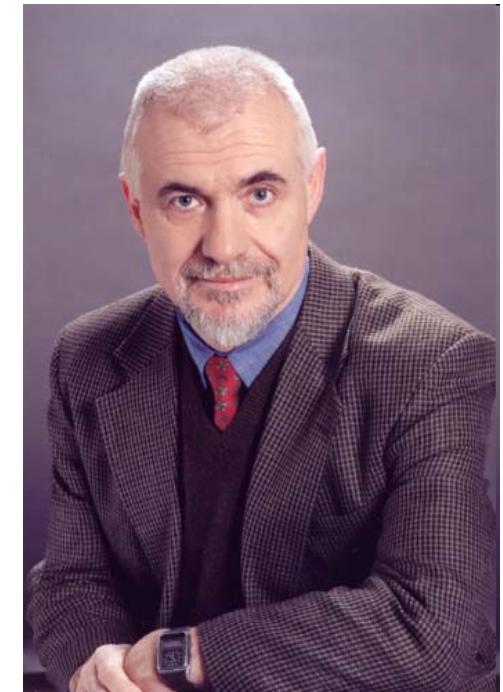
Outlook

- History
- What you must know of SHADOW and ShadowOui does not do it for you
- Exercises
 - Sources: Geometrical, Undulator Gaussian, Undulator Full
 - Mirror systems and aberrations
 - Crystal monochromators
 - Lenses
 - Complete Beamline ISN



Birth of SHADOW (~1980)

- Scientific motivation:
Grating monochromator design, TGM, ERG, toroidal, spherical mirrors.
- Monte Carlo ray tracing program **designed** to simulate X-ray optical systems
- Requirements
 - Accuracy and reliability
 - Easy to use
 - Flexibility
 - Economy of computer resources
 - VAX-11 Computers
- Efficient MC approach
 - Reduced number of rays
 - Exact simulation of SR sources
 - Vector calculus
 - Modular
 - User-interface
 - Available to users
- Two years development
- Fortran 77+VAX/VMS extensions



Ray tracing of recent VUV monochromator designs
F. Cerrina
Department of Electrical and Computer Engineering
University of Wisconsin, Madison WI 53706

Abstract

A new optical ray-tracing program is presented and some applications discussed. A Monte-Carlo modelling of several types of sources is implemented, and in particular the Synchrotron Radiation source is modelled exactly. The program is written specifically for grazing optics, although gaussian optics can be treated as well. Diffraction from gratings, both ruled and holographic, is included as well as Bragg diffraction from crystals. The reflectivity of mirror surfaces and transmission of filters is treated exactly and locally, solving the Fresnel equations for each ray. The interactive nature of the program and its fast execution time allow the simulation of real-life situations quickly and efficiently. Applications to the Toroidal Grating Monochromator (TGM), Grating Crystal Monochromator (GCM), and Extended Range Grasshopper (ERG) are presented.



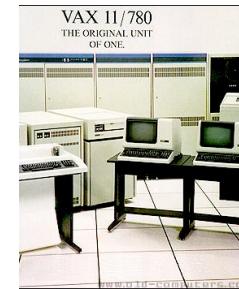
68 / SPIE Vol. 503 Application, Theory, and Fabrication of Periodic Structures (1984)

Introduction to Shadow and ShadowOui OASYS 2nd School ANL-APS December 11 2019



Shadow 1

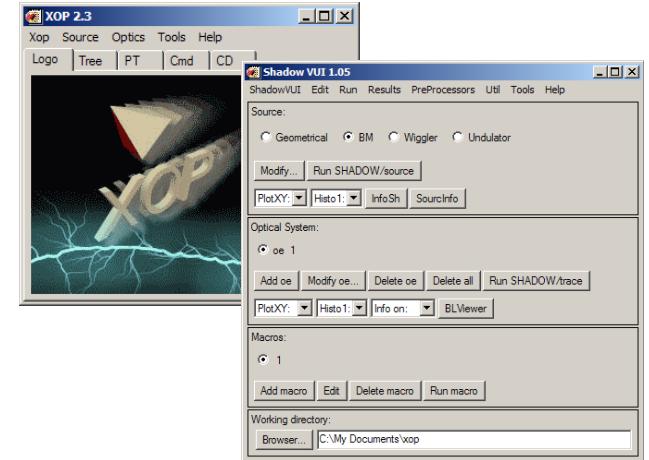
VAX-VMS 1980-1990



Shadow 2

1990 Unix workstations

XOP interface



Shadow 3

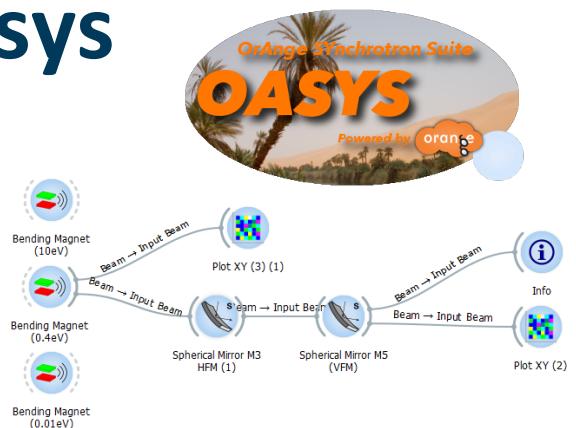
2010 F90+Python binding

2014 Orange interface: Oasys

Shadow 4

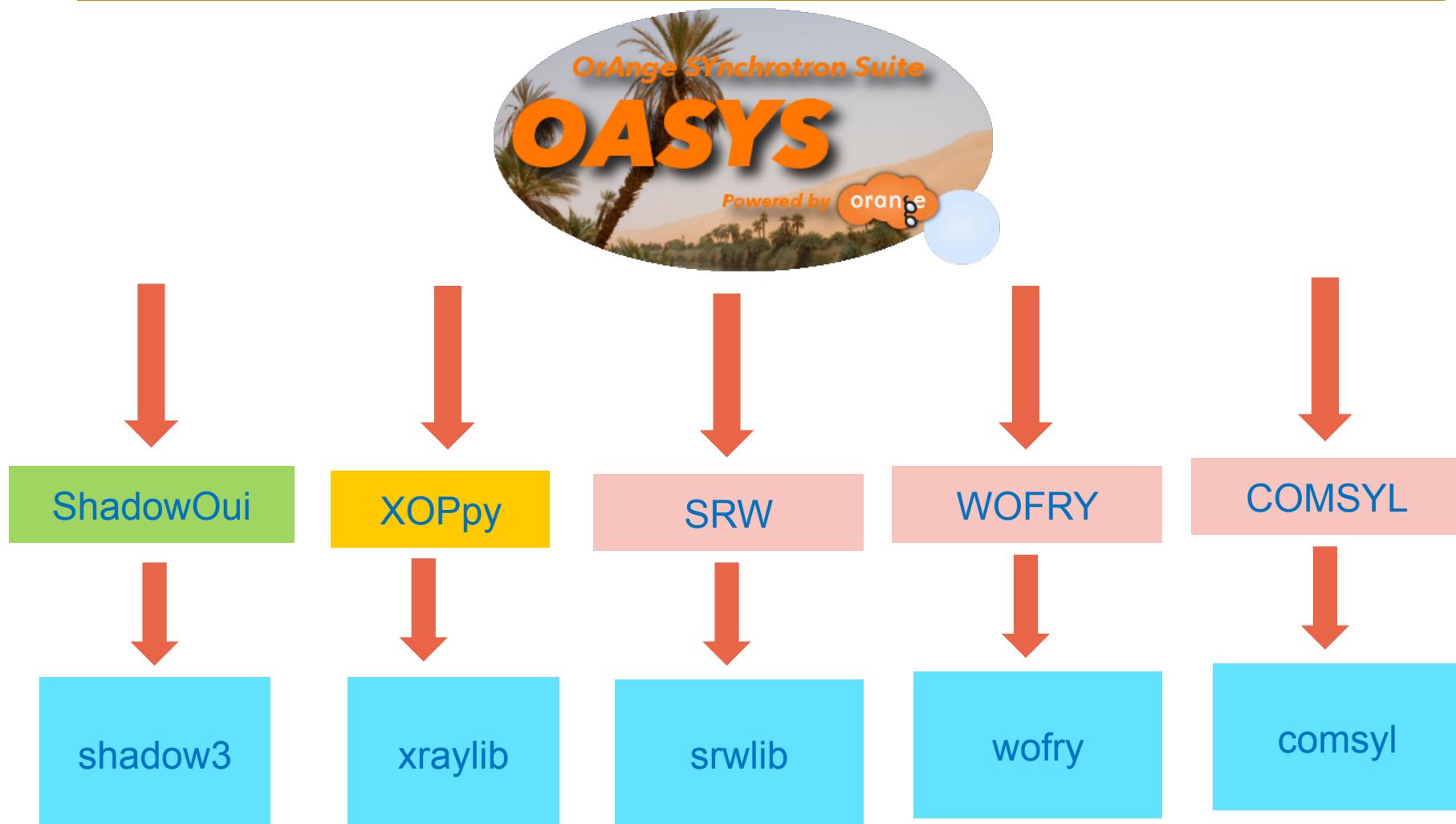
2020? Full python version

Exploit Oasys framework



OrAnge SYnchrotron Suite (OASYS)

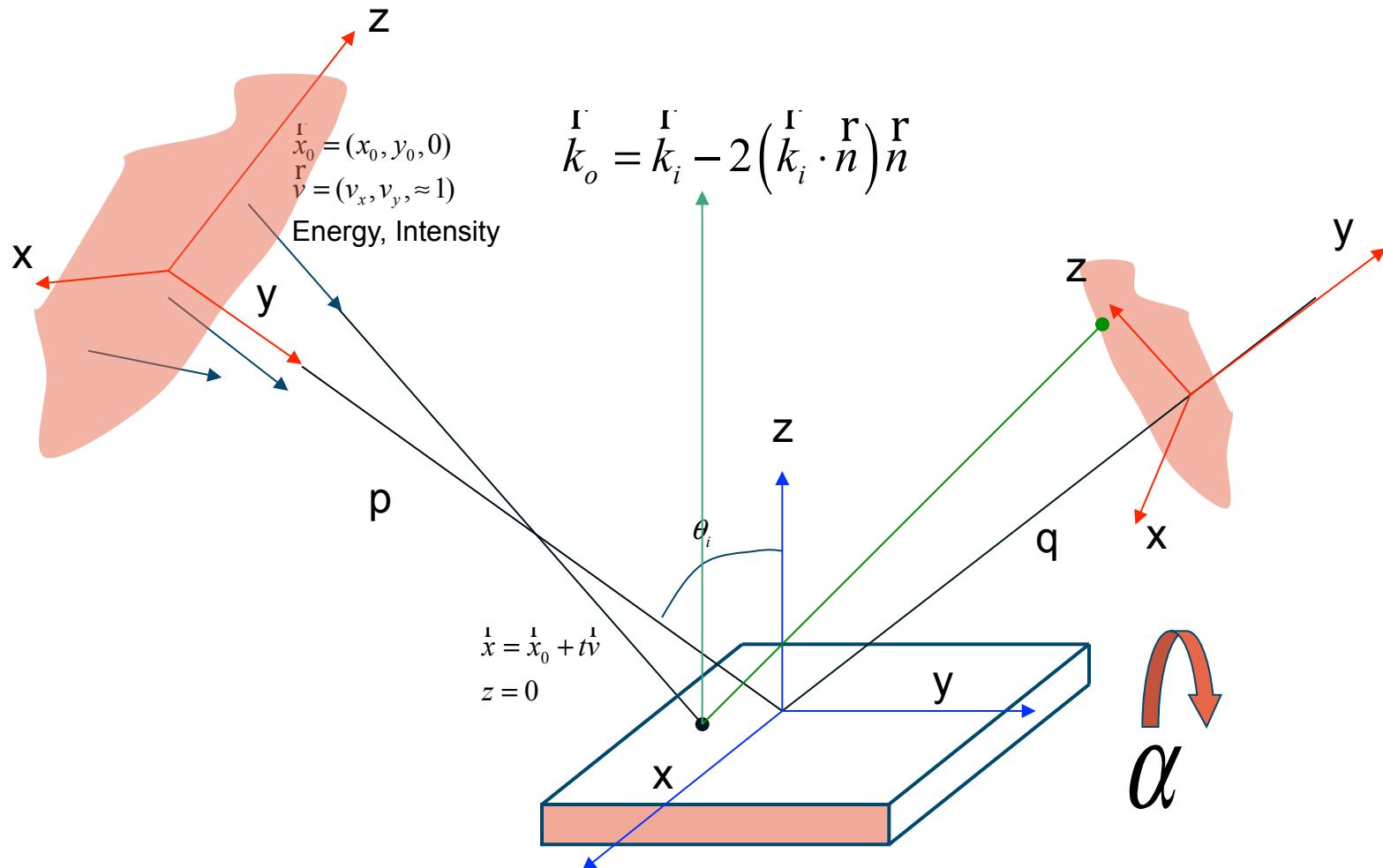
<https://www.aps.anl.gov/Science/Scientific-Software/OASYS>



Ray tracing



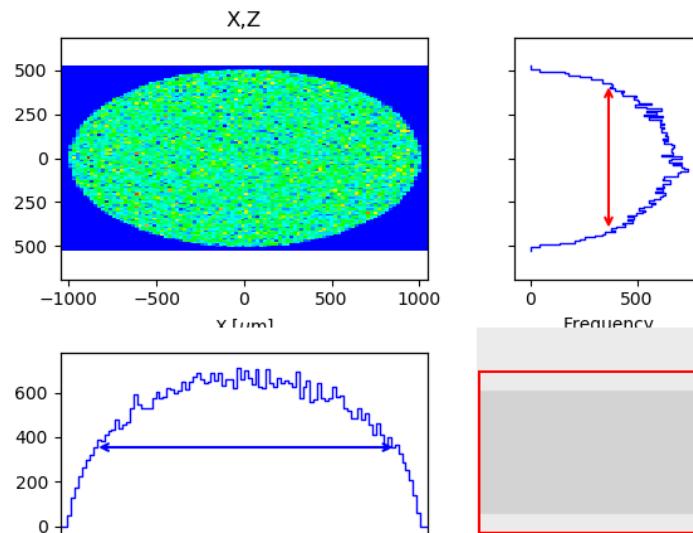
Trace (the beamline)



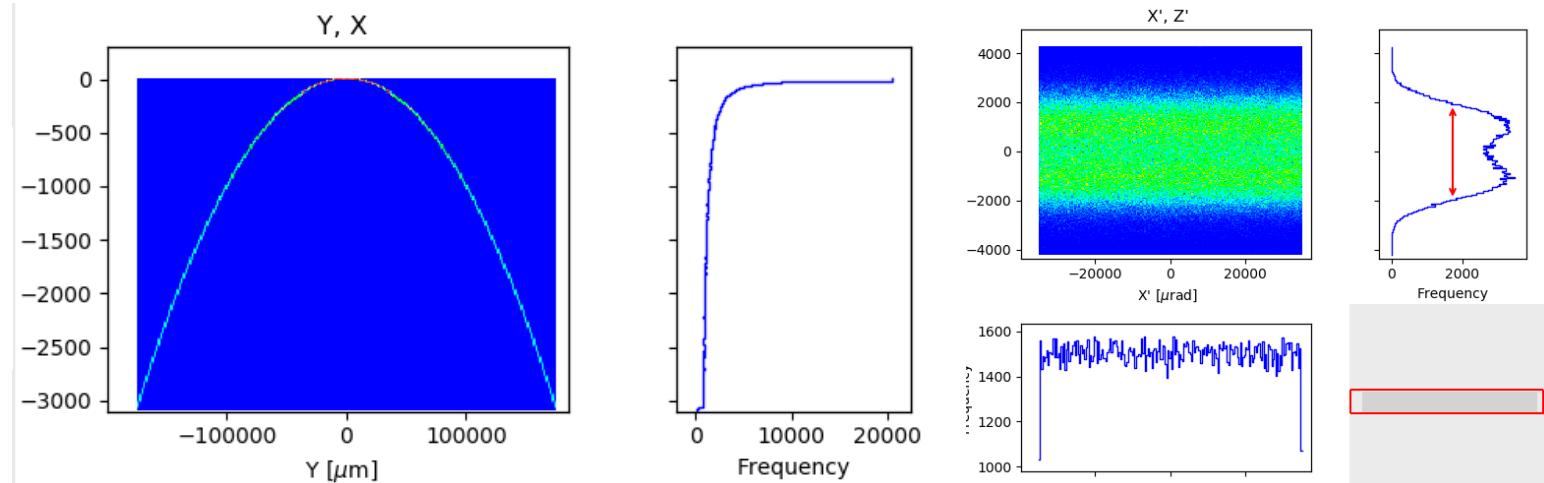
ShadowOUI Sources I



Geometrical Source



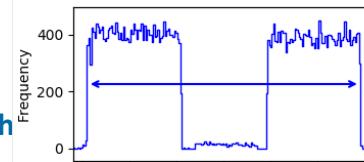
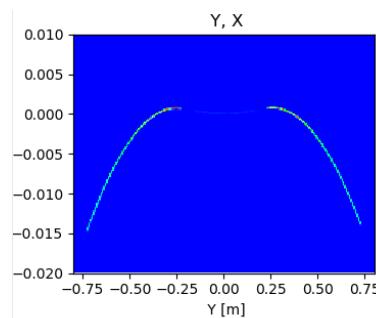
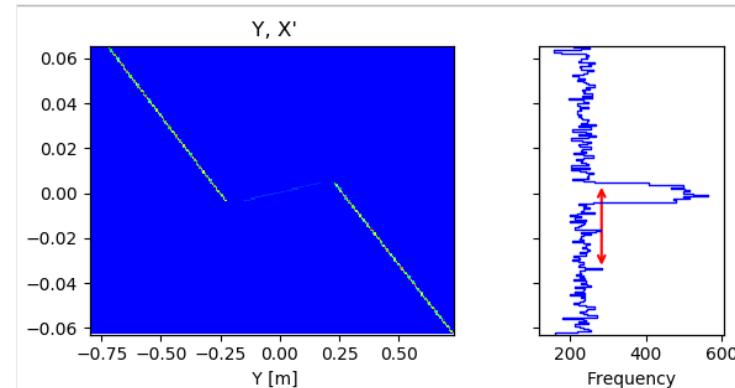
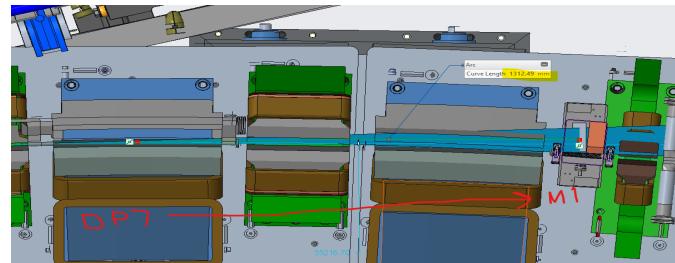
Bending Magnet



Sources II (Wiggler)



Wiggler



Sources III (Undulator)



Undulator Gaussian

Single e⁻

Divergence:

$$\sigma_r = 0.69 \sqrt{\frac{\lambda}{L}} \approx \sqrt{\frac{\lambda}{2L}}$$

Size:

$$\sigma_r = \frac{2.704}{4\pi} \sqrt{\lambda L} \approx \sqrt{\frac{\lambda L}{2\pi^2}}$$

Fake Geometrical Source
(Gaussian spatial + Gaussian divergency)

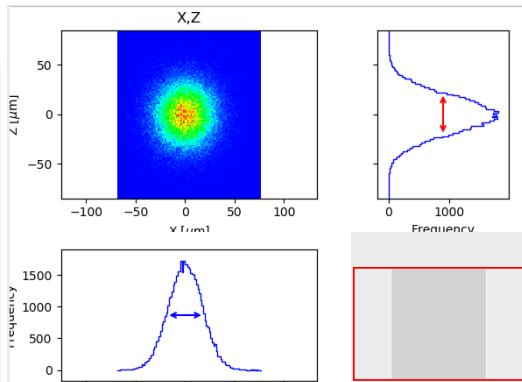
Photon source:

$$\Sigma_x^2 = \sigma_{x,elec}^2 + \sigma_{x,photon}^2$$

$$\Sigma_{x'}^2 = \sigma_{x',elec}^2 + \sigma_{x',photon}^2$$

$$\Sigma_z^2 = \sigma_{z,elec}^2 + \sigma_{z,photon}^2$$

$$\Sigma_{z'}^2 = \sigma_{z',elec}^2 + \sigma_{z',photon}^2$$



Plots Output

System Output

Photon single electron emission at wavelength 15.382655 A:

sigma_u: 12.2503 um
sigma_uprime: 18.8919 urad

Electron sizes:

sigma_x: 12.1 um

sigma_z: 14.7 um

sigma_x': 5.7 urad

sigma_z': 4.7 urad

Photon source sizes (convolution):

Sigma_x: 17.2186 um

Sigma_z: 19.1353 um

Sigma_x': 19.7331 urad

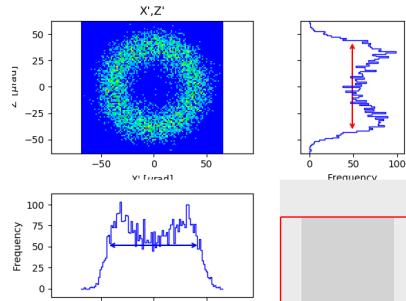
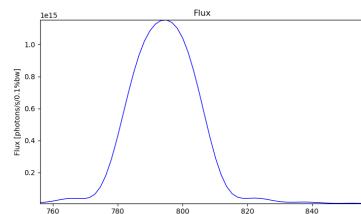
Sigma_z': 19.4678 urad



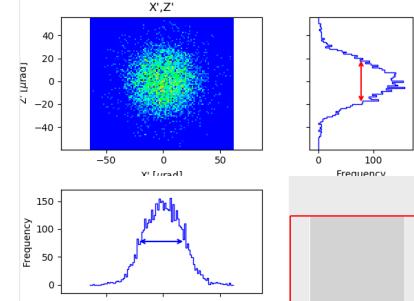
Full Undulator

Full Undulator

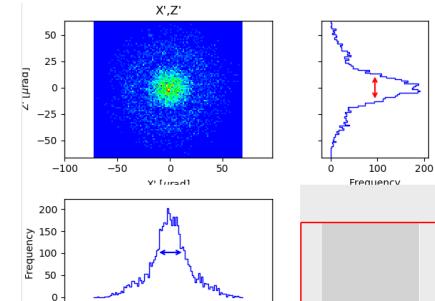
789.938eV



806.060eV



814.121eV



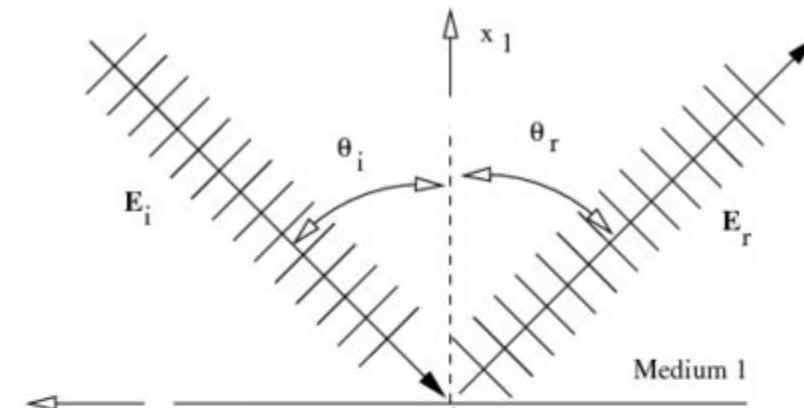
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Optical Elements (mirrors)

Geometrical model

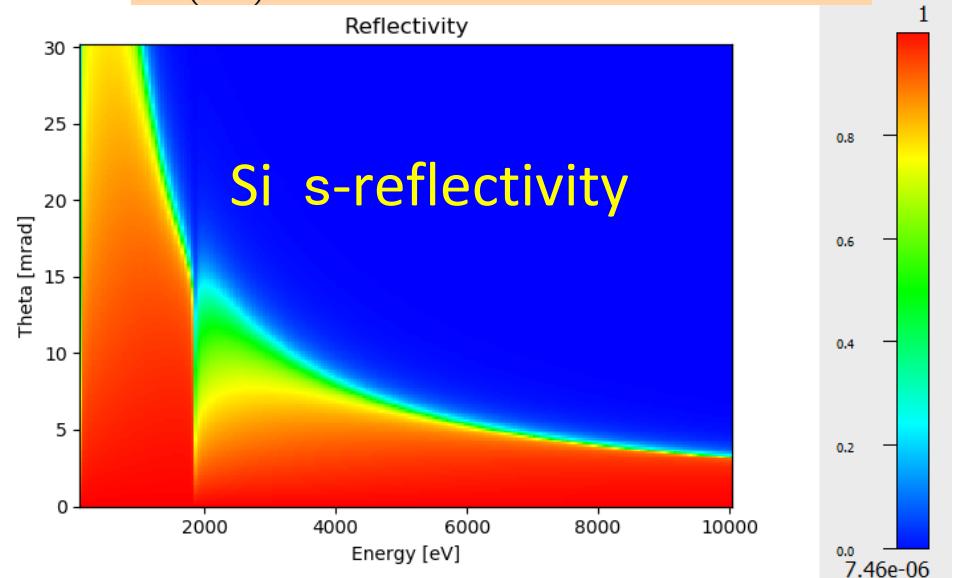


$$\hat{d}_s = 2 \left(\hat{d}_n \cdot \hat{d}_i \right) \hat{d}_n - \hat{d}_i,$$

Physical model

Fresnel equations give the reflectivity as a function of angle and photon energy. As a consequence, one gets the critical angle:

$$1 = \left(\frac{n_1}{n_2} \right)^2 \cos^2 \theta_c \Leftrightarrow \sin \theta_c = \sqrt{2\delta - \delta^2} \approx \sqrt{2\delta}$$



Optical Elements (gratings)

Geometrical model

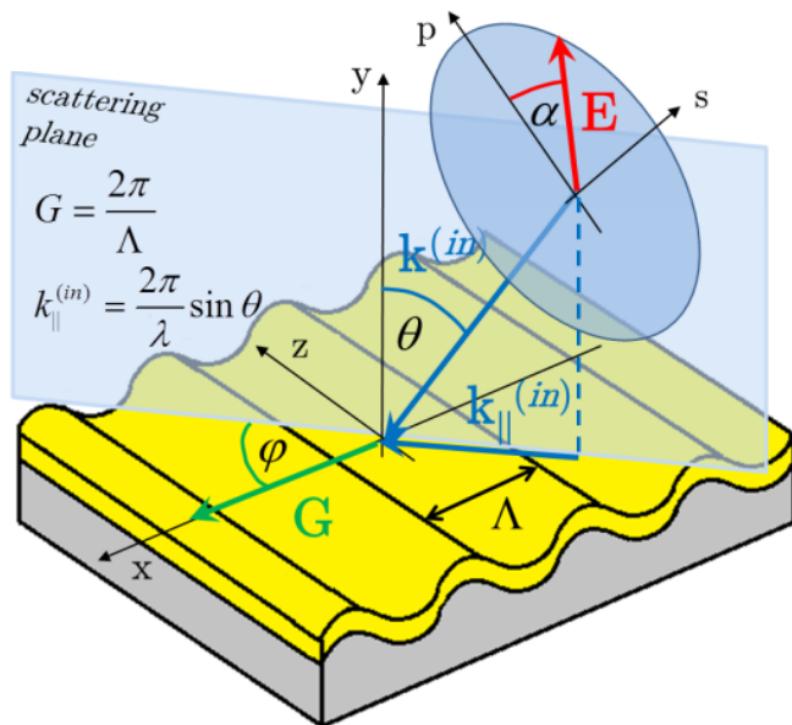


Figure from doi.org/10.5772/51044

Physical model

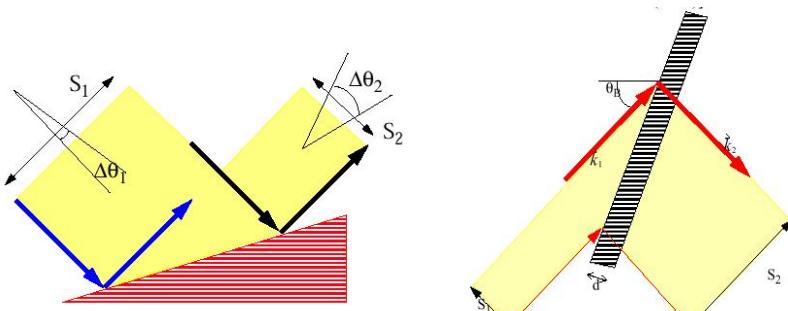
No model (yet) in SHADOW for grating efficiency

SHADOW uses Fresnel equations like for mirrors



Optical Elements (crystals)

Geometrical model

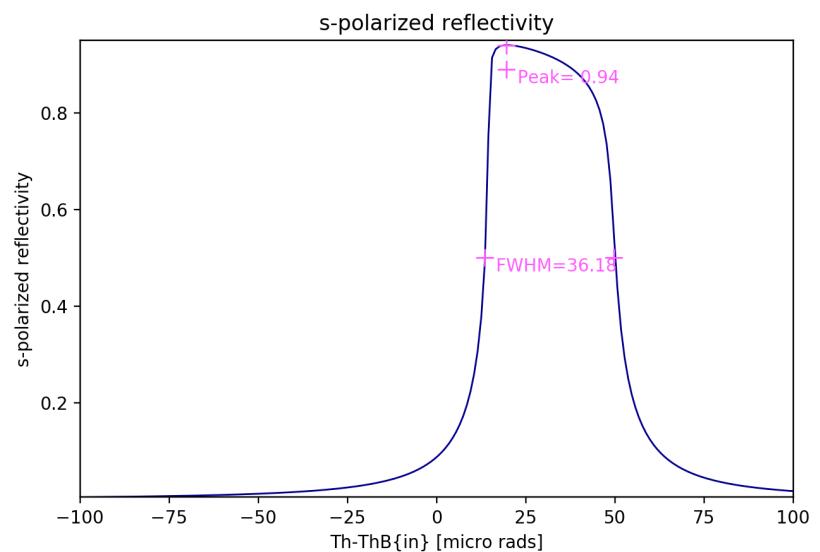


$$|\vec{k}_1| = |\vec{k}_2|$$

$$\vec{k}_{2,||} = \vec{k}_{1,||} + \vec{G}_{||}$$

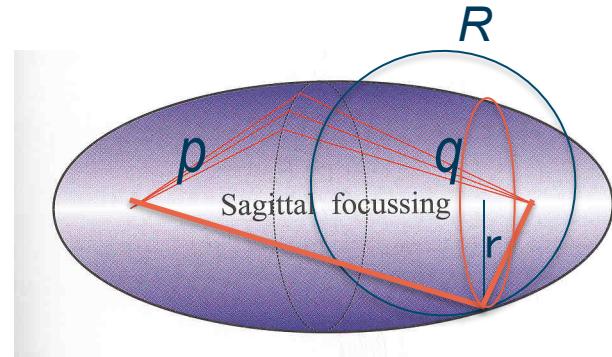
Physical model

$$r^{\text{Bragg}}(\alpha_Z) \equiv \frac{1}{|b|} \frac{I^H}{I^0} = \frac{1}{|b|} \left| \frac{x_1 x_2 (c_1 - c_2)}{c_2 x_2 - c_1 x_1} \right|^2$$



Mirrors and aberrations

- Ellipsoid: Point to point focusing
- Paraboloid: Collimating
- Focalization in two planes
 - Tangential or Meridional (ellipse or parabola)
 - Sagittal (circle)
- Demagnification: $M=p/q$
- Easier manufacturing:
 - 2D: Ellipsoid => Toroid
 - Only one plane: cylinder Ellipsoid (ellipse)=> cylinder (circle)
 - Sagittal radius: non-linear (ellipsoid) => constant (cylinder) or linear (cone),
- All mirrors produce aberrations



$$\frac{1}{p} + \frac{1}{q} = \frac{2}{R \sin \theta}$$

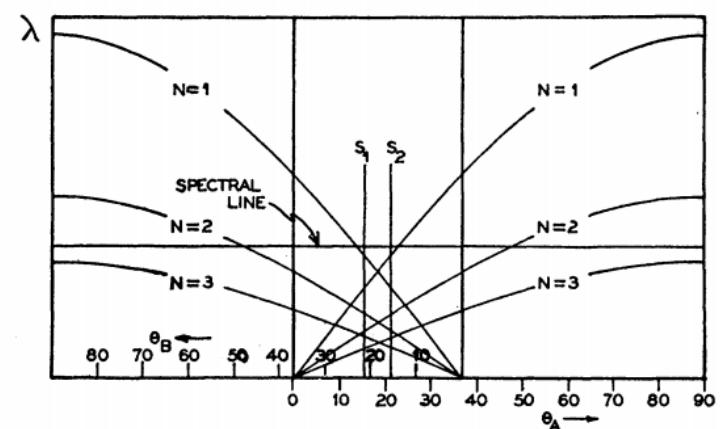
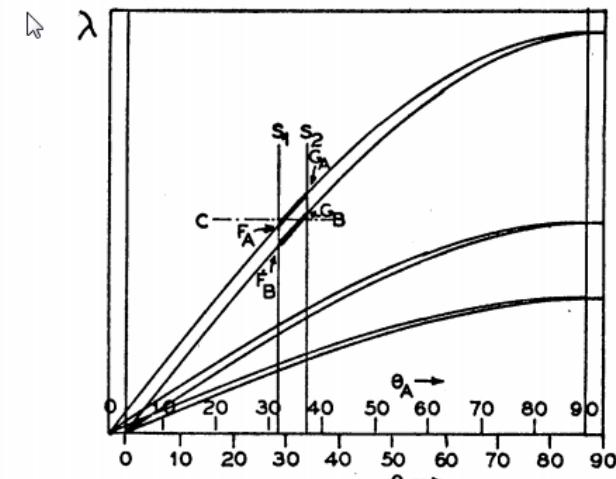
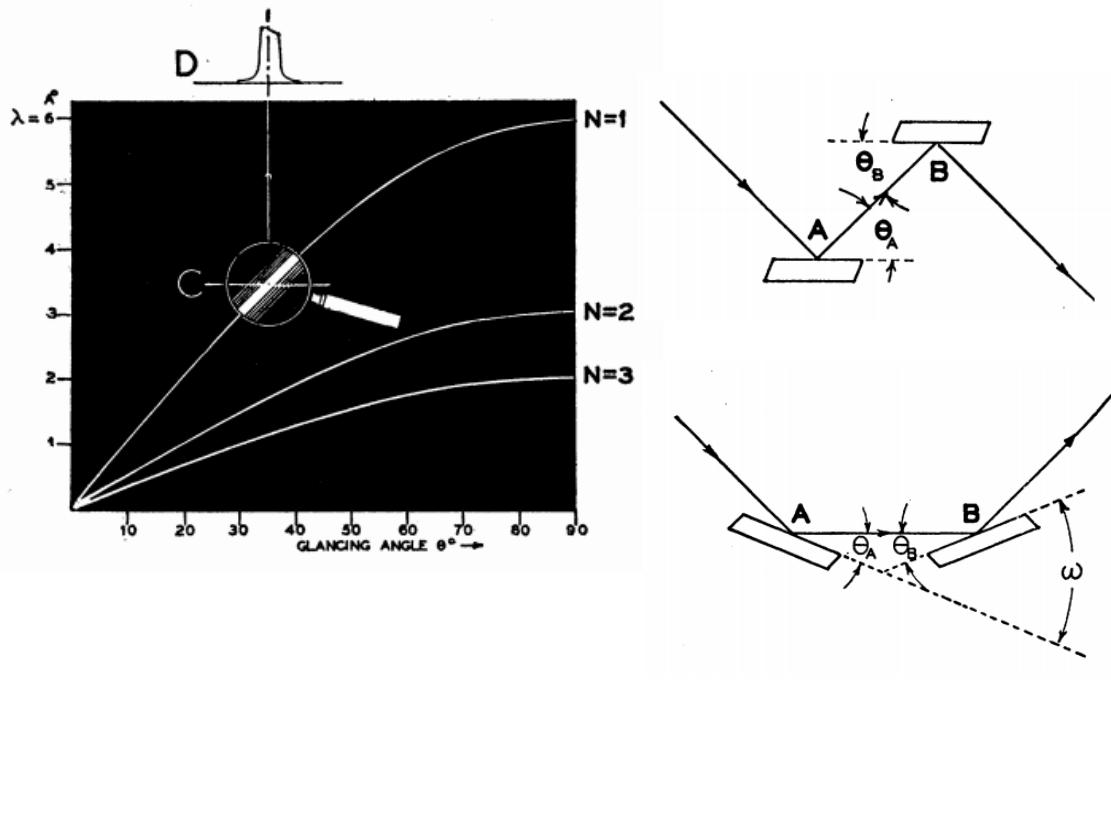
$$\frac{1}{p} + \frac{1}{q} = \frac{2 \sin \theta}{\rho}$$

Crystal monochromators ex17_crystalmono.ows

Theory of the Use of More Than Two Successive X-Ray Crystal Reflections to Obtain Increased Resolving Power

J W. M. DuMond Phys. Rev. 52, 872 – (1937)

<http://dx.doi.org/10.1103/PhysRev.52.872>



Crystal curvature and spectral resolution

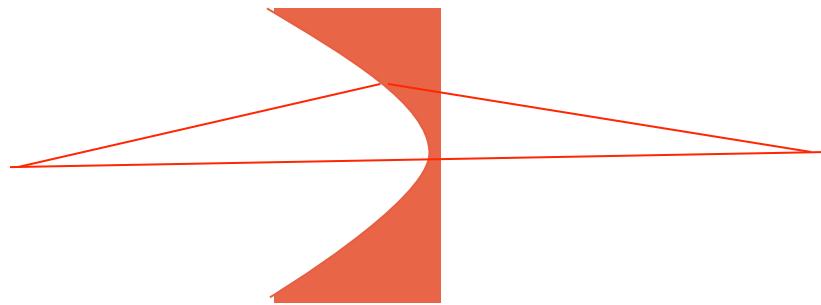
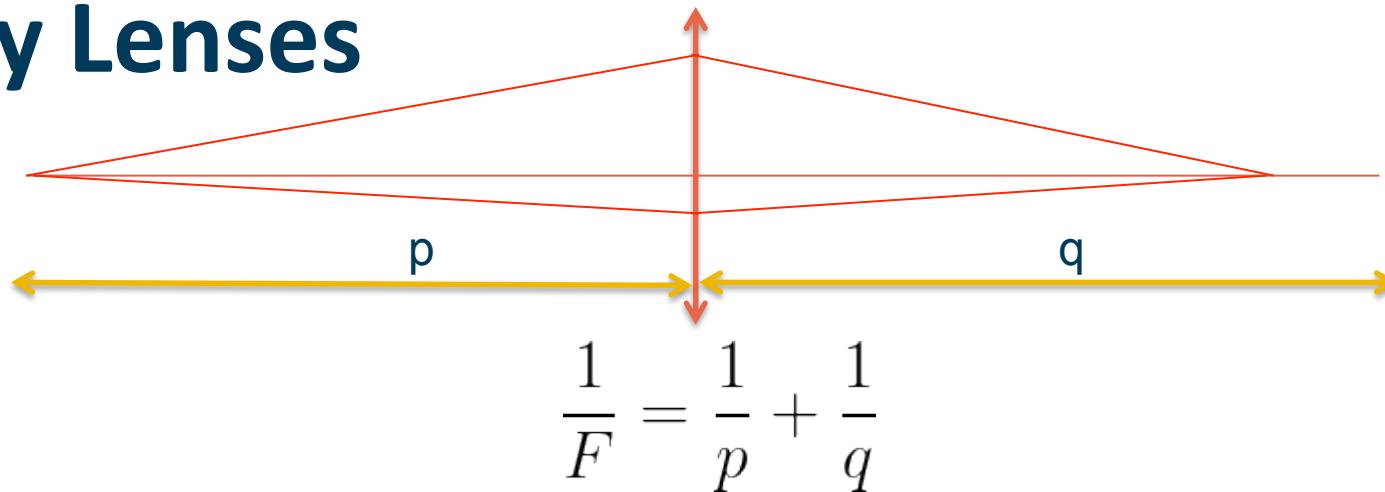
$$\frac{\Delta\lambda}{\lambda_0} = \frac{\Delta E}{E_0} = (\Delta_{src} + \omega_D) \cot \theta_0$$

$$\frac{\Delta\lambda}{\lambda_0} = \frac{\Delta E}{E_0} \approx \sqrt{\omega_D^2 + (\Delta_{geom} + \Delta_{ss})^2} \cot \theta_0 = \sqrt{\omega_D^2 + \left[\left(\frac{p}{R \sin \theta_1} - 1 \right) \Delta_{src} + \frac{s_1}{p} \right]^2} \cot \theta_0$$

Zero for Rowland mounting:
 $p = R \sin(q)$ that for Bragg
symmetric reflection means
1:1 magnification

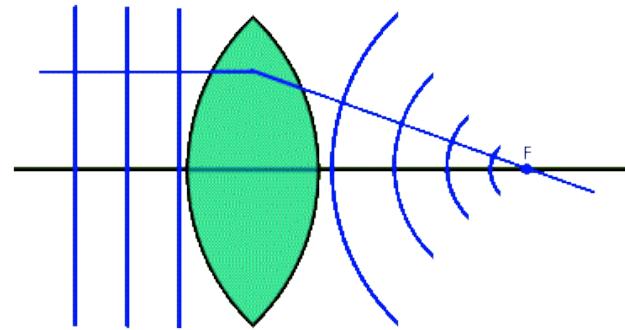


X-ray Lenses



$$\sin \theta_1 = (1 - \delta) \sin \theta_2$$

$$\frac{1}{F} = (n - 1) \left(\frac{1}{R_1} - \frac{1}{R_2} + \frac{(n - 1)d}{nR_1R_2} \right) \approx \frac{\delta}{R_1}$$



$$E = E_0 e^{i \frac{kr^2}{2f}}$$

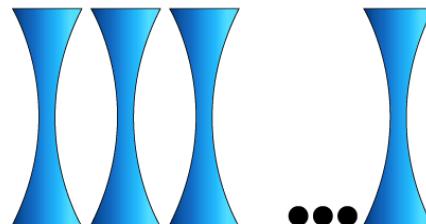
CRL (COMPOUND REFRACTIVE LENSES) = replicate N lenses

Single interface

Lens

Compound Refractive
Lens (CRL)

Transfocator

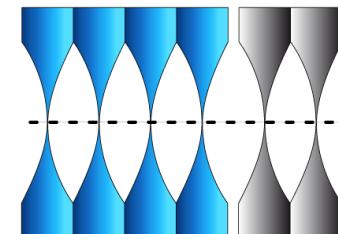


$$F = \frac{R}{\delta}$$

$$F = \frac{R}{2\delta}$$

$$F = \frac{R}{2N\delta}$$

$$\frac{1}{F} = \frac{1}{F_1} + \frac{1}{F_2} + \dots$$

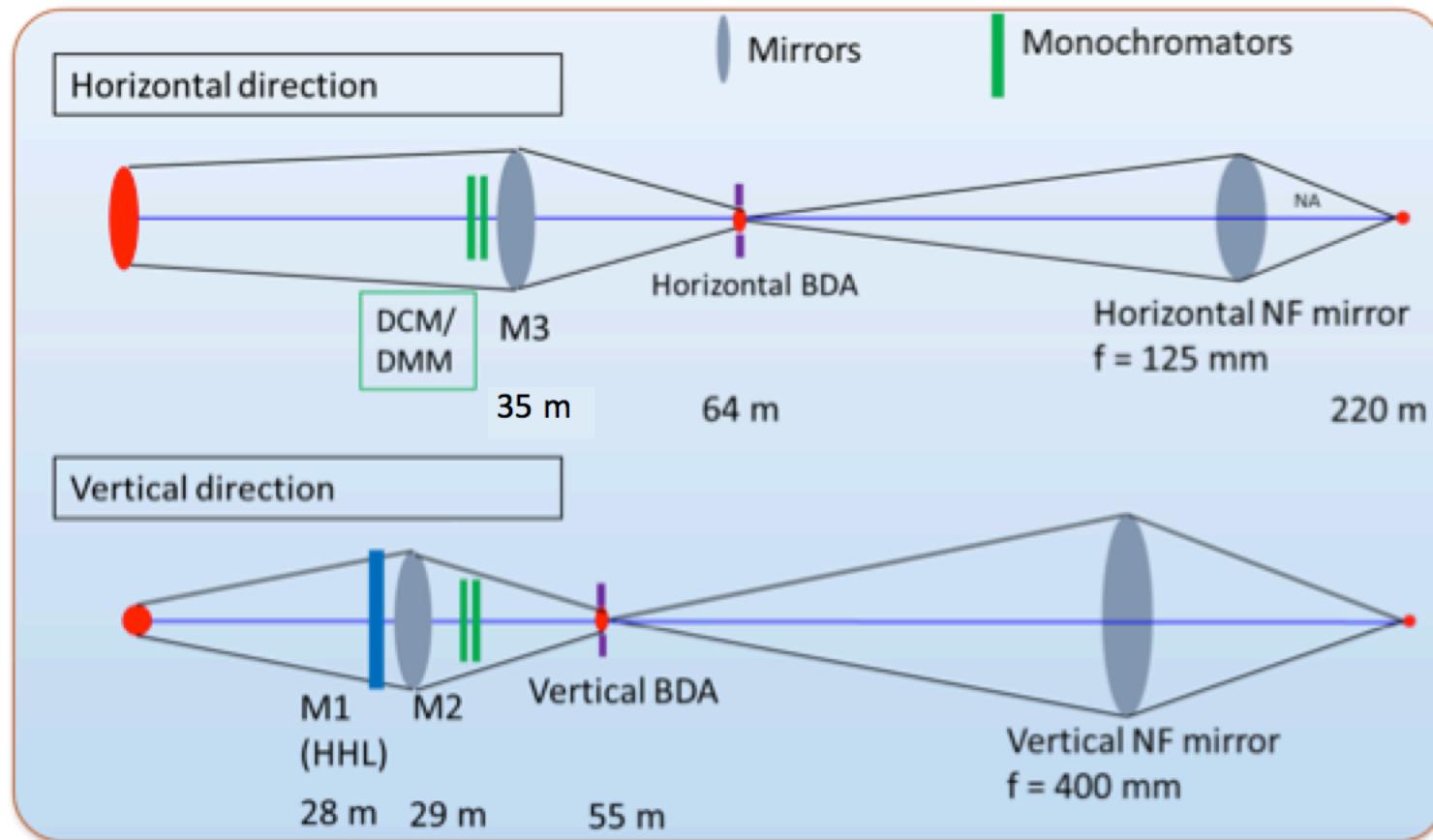


1996 Experimental demonstration of CRL

- A. Snigirev *et al* Nature 384 (1996) 49

2011 Transfocator

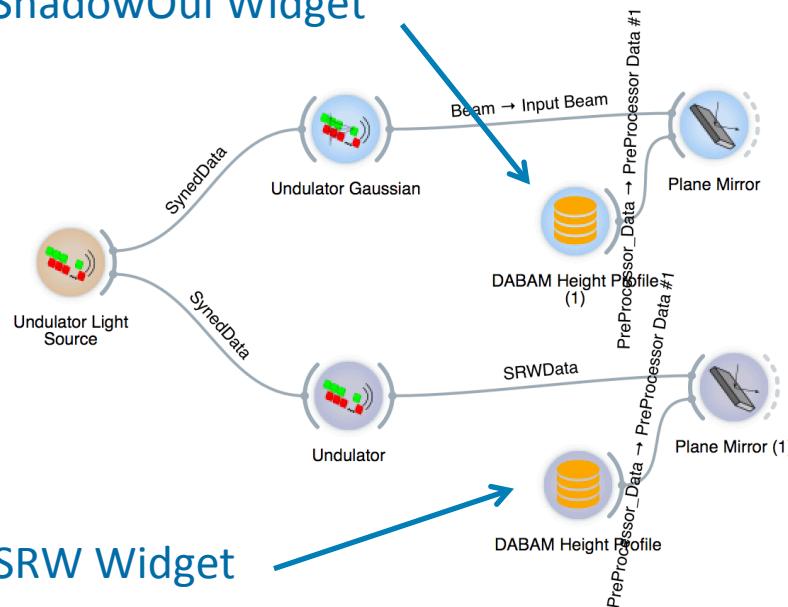
Beamline ISN



Surface Errors: DABAM

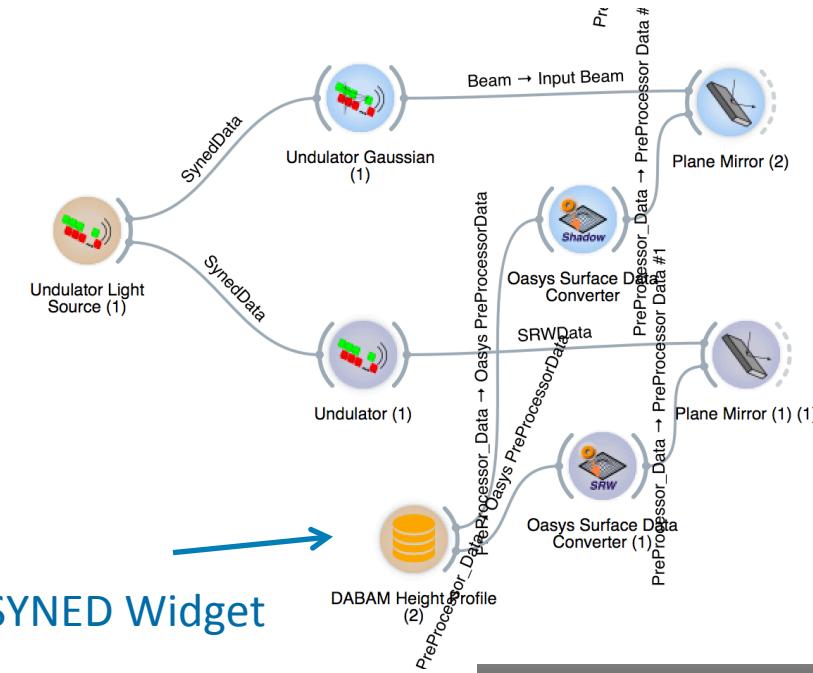
Direct link

ShadowOui Widget



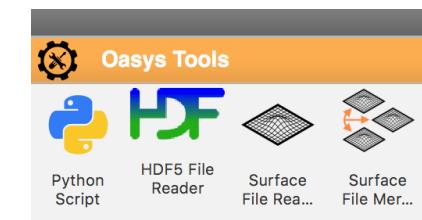
SRW Widget

Common format



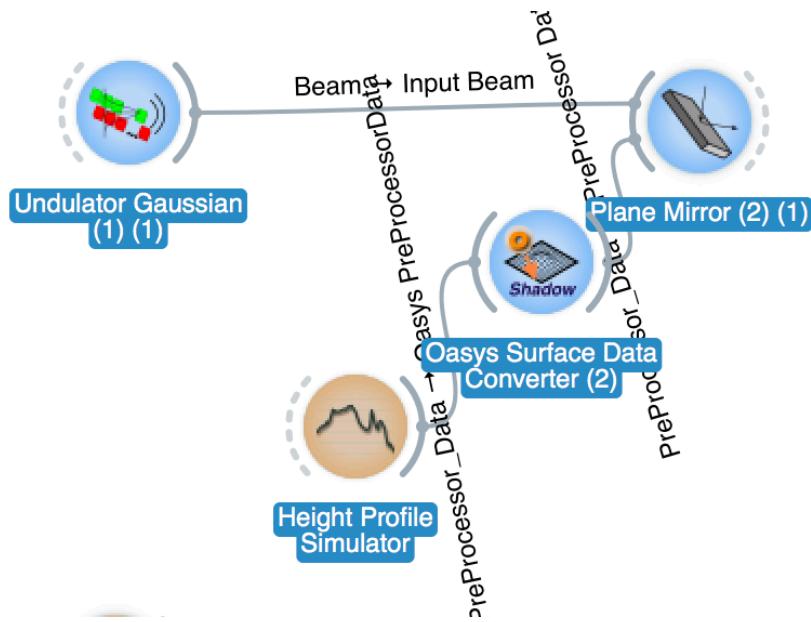
SYNED Widget

Outputs
Output File Name <input type="text" value="mirror.hdf5"/>



Surface Errors

Height Profile Simulator



Pre-DABAM

