

PREDICTING
SOLID-STATE QUBIT
CANDIDATES

by

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Part I

Theory

Chapter 1

Semiconductor quantum platforms

This chapter will provide a brief overview of the current state-of-the-art in quantum technological advances. This will not only give us insights in how the technology is being used today, but also grant us the opportunity to discuss key concepts that are fundamental to understand for this thesis. Thereafter we will look into how materials are built up, and what the characteristics of a semiconductor is.

1.1 Quantum technologies

Quantum technology (QT) refers to practical applications and devices that utilize the principles of quantum physics as a foundation. Technologies in this spectrum are based on concepts such as *superposition*, *entanglement* and *coherence*, which are all closely related to one another.

A quantum superposition refers to that any two or more quantum eigenstates can be added together into another valid quantum state, such that every quantum state can be represented as a sum, or a superposition, of two or more distinct states. This is according to the wave-particle duality which states that every particle or another quantum entity may be described as either a particle or a wave. When measuring the state of a system residing in a superposition of eigenstates, however, the system falls back to one of the basis states that formed the superposition, destroying the original configuration.

Quantum entanglement refers to when a two- or many-particle state cannot be expressed independently of the state of the other particles, even when the particles are separated by a significant distance. As a result, the many-particle state is termed an entangled state [1].

Quantum coherence arises if two waves coherently interfere with each other and generate a superposition of the two states with a phase relation. Likewise, loss of coherence is known as *decoherence*.

Another concept that the reader should be familiar with is the famous Heisenberg uncertainty principle. It states that

$$\sigma_x \sigma_p \leq \frac{\hbar}{2}, \quad (1.1)$$

where σ_x is the standard deviation for the position and σ_p is the standard deviation in momentum. This means that we cannot accurately predict both the position and momentum of a particle at the same time. Thus, we often calculate the probability for a particle to be in a state which results in concepts such as an electron sky surrounding an atom core. However, remember that equation (1.1) is an inequality, which means that it is possible to create a state where neither the position nor the momentum is well defined.

1.1.1 Quantum computation

The start of the digital world's computational powers can be credited to Alan Turing. In 1937, Turing [2] published a paper where he described the *Turing machine*, which is regarded as the foundation of computation and computer science. It states that only the simplest form of calculus, such as boolean Algebra (1 for true and 0 for false), is actually computable. This required developing hardware that could handle classical logic operations, and was the basis of transistors that are either in the state ON or OFF depending on the electrical signal. Equipped with a circuit consisting of wires and transistors, commonly known as a computer, we could develop software to solve all kinds of possible applications.

Driven by the development of software, conventional computers have in accordance to Moore's law [3], doubled the amount of transistors on integrated circuit chips every two years as a result of smaller transistors. Furthermore, the clock frequency has enhanced with time, resulting in a doubling of computer performance every 18 months [4]. Alas, miniaturization cannot go on forever as transistors are mass-produced at 5 nm today and are expected to reach a critical limit of 3 nm in the following years [5].

To sustain the digital world's increasing computational demand, other alternatives than the conventional classical computer must be explored. This is where quantum computation comes into the picture. The term quantum computer is a device that exploits quantum properties to solve certain computational problems more efficiently than allowed by Boolean logic [6].

The idea is to pass information in the form of a quantum bit, or *qubit* for short. They are the building blocks of quantum computers, and as opposed to the conventional 0 or 1-bits that classical computers are based on, they can inhabit any superposition of the states 0 or 1. This is illustrated in figure 1.1.

The architecture of a gate-based quantum computer is dependent on a set of quantum logic gates that perform unitary transformations on sets of

qubits [7, 8]. Other implementations of quantum computers exist, such as the adiabatic quantum computer. This approach is not based on gates, but on defining the answer of a problem as the ground state of a complex network of interactions between qubits, and then controlling the interactions to adiabatically evolve the system to the ground state [9].

It has been demonstrated that exponentially complex problems can be reduced to polynomially complex problems for quantum computers [4]. For example, a quantum search algorithm found by Grover [10] offers a quadratic speed-up compared to classical algorithms, while Shor's quantum integer factorization algorithm [11] presents an exponential speed-up. Intriguingly, Google reported in 2019 that they ran a random number generator algorithm on a superconducting processor containing 53 qubits in 200 seconds, which would most likely take several times longer for a classical supercomputer to solve [12]. It is anticipated that quantum computers will excel in exceedingly complex problems, while many simpler tasks may not see any speed-up at all compared to the classical regime. Hence, quantum- and classical computers are envisioned to coexist for each their purpose.

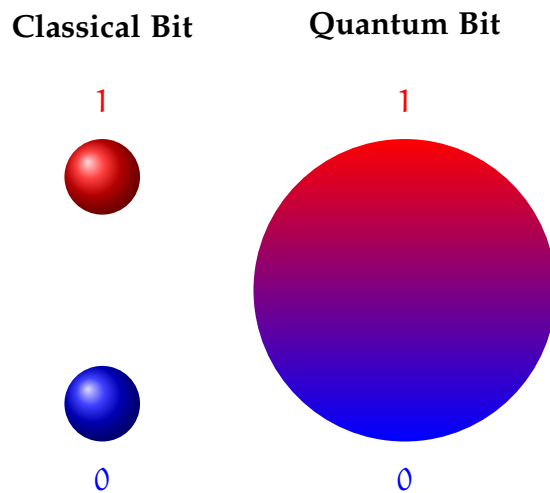


Figure 1.1: Conceptual illustration of the two-level classical bit, which are restricted to the boolean states 1 (true) or 0 (false), and the quantum bit that can be in any superposition of the states 0 or 1.

Quantum computing is a highly sought-after goal, but there are extensive challenges that need to be addressed. Controlling a complex many-qubit system is difficult, since it is not always possible to establish interactions between qubits [7] and maintain entanglement over both time and distance. Additionally, decoherence and other quantum noise occurs as a result of the high volatility of quantum states, making quantum state manipulation prone to errors. The *quantum error correction* protocols and the theory of *threshold theorem* deals with this vulnerability, stating that noise most likely does not pose any fundamental barrier to the performance of large-scale computations [4].

1.1.2 Quantum computing requirements

As ever-promising the concepts of quantum technology are, the physical realizations are in the preliminary stage of development. Here we will concretize critical principles for a physical realisation of a quantum platform.

“I always said that in some sense, these criteria are exactly the ones that you would teach to kindergarten children about computers, quantum or otherwise” DiVincenzo [13]

DiVincenzo formulated in the year of 2000 seven basic criteria for a physical qubit system with a logic-based architecture [7].

1. A scalable physical system with well characterized qubits
2. The ability to initialize the state of the qubits to a simple initial system
3. Have coherence times that are much longer than the gate operation time
4. Have a universal set of quantum gates
5. Have the ability to perform qubit-specific measurements
6. The ability to convert stationary qubits to flying qubits
7. The ability to faithfully transmit flying qubits between specified locations

The first five criteria (1-5) must be met for a quantum platform to be considered a quantum computer, while the two last criteria (6-7) were added for quantum communication, since its applications provide a unique advantage compared to its classical counterpart.

1.1.3 Quantum communication

Quantum communication refers to the transfer of a state of one quantum system to another. Since information can be stored in qubits, we picture *flying qubits* that transfer information from one location to another [14]. The benefits of using flying qubits are in particular valued in quantum cryptography, since the quantum nature of qubits can be exploited to add extra layers of security [4].

Consider the example of encrypting a digitally transmitted conversation. It is difficult to avoid someone eavesdropping on a conversation, however, the problem is diminished if the eavesdropper does not speak the language, keeping the information in the conversation safe. This is the original idea

of encryption, such that the information has been encrypted into something incomprehensible for any eavesdropper. A common practice is to encrypt information and share a public key, which everyone can read, and a private key, only known for the sender and receiver of information. This should be sufficient to keep the information secure, given that the complexity of the private key is impenetrable.

Importantly, we live in a digital world where most of our actions are increasingly being stored as information, and we could imagine that the eavesdropper in the latter example stored the conversation. Even if the content of the conversation was encrypted, it still presents a challenge, since encrypted information stored today could be deciphered in ten or twenty years' time. Consequently, finding an encryption method that could make information either impossible to eavesdrop on or make the security unbreakable forever is very desirable. This is the ultimate goal of quantum cryptography [4].

Consider the example of information encoded into a qubit as a superposition of two quantum states. Now, if a wild eavesdropper would try to measure the information, the nature of quantum physics tells us that the original configuration would be destroyed and the receiver would be alerted of the eavesdropper. Furthermore, if the eavesdropper would try to make a copy of the message, the copying itself would be limited of the no-cloning theorem [15] which declare that quantum states cannot be copied.

A clever approach to ensure confidentiality is to send the encryption key before sending the actual encrypted information. If the key is received unperturbed, the key remains secret and can be safely employed. If it turns out perturbed, confidentiality is still intact since the key does not contain any information and can be discarded. This approach is termed the *quantum key distribution* (QKD) [15, 16]. It should be noted that this requires both the sender and receiver to have access to methods for sending, receiving and storing qubit states, such as a quantum computer. Additionally, the sender and receiver will need to initially exchange a common secret which is later expanded, making quantum key *expansion* a more exact term for QKD [4, 16].

Most applications and experiments use optical fibers for sending information via photons, with the distance regarded as the main limitation. This is because classical repeaters are unable to enhance quantum information because of the no-cloning theorem, making photon loss in optical fiber cables inevitable. Thus, quantum communication must reinvent the repeater concept, using hardware that preserves the quantum nature [17] and are compatible with wavelengths used in telecommunication. Nonetheless, secure QKD up to 400 km has recently been demonstrated using optical fibres in academic prototypes [18].

1.1.4 Quantum sensing

Measurements are part of our digital world today to a great extent. There would be no way to exchange goods, services or information without reliable and precise measurements [17]. Thus, improving the accuracy of sensors for every measurement done is desirable. One method to improve measurement accuracy, resolution and sensitivity can be by utilizing quantum sensors. Quantum sensors exploit quantum properties to measure a physical quantity [19]. This is possible because quantum systems are highly susceptible to perturbations to its surroundings, and can be used to detect physical properties such as either temperature or an electrical or magnetic field [19].

For a quantum system to be able to function as a quantum sensors, a few criterias needs to be met. Firstly, the quantum system needs to have discrete and resolvable energy levels. The quantum system also needs to be controllably initialised into a state that can be identified and coherently manipulated by time-dependent fields. Lastly, the quantum system needs to be able to interact with the physical property one wants to measure through a coupling parameter [19].

It is also possible to also exploit quantum entanglement to improve the precision of a measurement. This gain of precision is used to reach what is called the Heisenberg-limit, which states that the precision scales as the number of particles N in an idealized quantum system [17, 19], while the best classical sensors scale with \sqrt{N} .

1.1.5 Available quantum platforms

Many different quantum platforms have been physically implemented, and this section will serve as a brief overview of the current status. For a more thorough review of qubit implementations, the reader is directed to Refs. [8, 17].

Superconducting circuits can be used in quantum computing, since electrons in superconducting materials can form Cooper pairs via an effective electron-electron attraction when the temperature is lower than a critical limit. Below the limit, electrons can move without resistance in the material [20]. Exploiting this intrinsic coherence, qubits can be made by forming microwave circuits based on loops of two superconducting elements separated by an insulator, also known as Josephson tunnel junctions [17]. Today, superconducting Josephson junctions are the most widely used quantum platform, but they requires very low temperature (mK) to function, making them costly to use. Additionally, the current devices experience a relatively short coherence time, causing challenges in scaling up.

Single photons is an eligible quantum platform that can be implemented as qubits with one-qubit gates being formed by rotations of the photon polarization. Its use in fiber optics are less prone to decoherence, but faces challenges since the more complex photon-photon entanglement and control of multi-qubits is strenuous [8].

By fixing the nuclear spin of solid-state systems, it is possible to implement a quantum platform that experience long spin coherence. This enables the manipulation of qubits that utilize electromagnetic fields, making one-qubit gates realizable.

The isolated atom platform is characterized by its well-defined atom isolation. Here, every qubit is based on energy levels of a trapped ion or atom. Quantum entanglement can be achieved through laser-induced spin coupling, however scaling up to large atom numbers induce problems in controlling large systems and cooling of the trapped atoms or ions.

A quantum dot (QD) can be imagined as an artificial atom which is confined in a solid-state host. As an example, a quantum dot can occur when a hole or an electron is trapped in the localized potential of a semiconductor's nanostructure. QDs exhibit similar coherence potential as the isolated atom platform, but without the drawback of confining and cooling of the given atom or ion [17]. Moreover, it is possible to limit decoherence due to nuclear spins by dynamic decoupling of nuclear spin noise and isotope purification [8].

A QD can normally be defined lithographically using metallic gates, or as self-assembled QDs where a growth process creates the potential that traps electrons or holes. The difference between them is a question of controllability and temperature, since the metallic gates is primarily controlled electrically and operate at < 1 K, while self-assembly QDs are primarily controlled optically at ~ 4 K [8]. Despite requiring very low temperatures, QDs have the potential for fast voltage control and optical initialization. As with trapped ions, electrostatically defined quantum dots experience a short-range exchange interaction, imposing a limitation for quantum computing and quantum error correction protocols. A potential solution could include photonic connections between quantum dots. On the contrary, self-assembled quantum dots couple strongly to photons due to their large size in comparison to single atoms. However, the size and shapes of self-assembled quantum dots are decided randomly during the growth process, causing an unfavourable large range of optical absorption and emission energies [8].

Lastly, we will turn towards point defects in bulk semiconductors as a

physical implementation of a quantum platform. Point defects share many of the attributes of quantum dots, such as discrete optical transitions and controllable coherent spin states, but are vulnerable to small changes in the lattice of the semiconductor. Thus, it can be difficult to isolate a point defect from the surrounding environment. However, one can utilize the strength of the solid-state semiconductor host to isolate to some extent the point defect, yielding extended coherence times and greater optical homogeneity than other quantum dot systems. Before we dwell into the intricacies of point defect qubits as a building block for QT, we will provide the necessary background for the crystal- and electronic structure of semiconductors.

1.2 A brief overview of materials science

The interactions between atoms and characteristics of matter form the foundation of materials science. The applications of materials science are extensive, with examples such as a bottle of water or a chair to sit in.

Solid materials, like plastic bottles, are formed by densely packed atoms. These atoms can randomly occur through the material without any long-range order, which would categorize the material as an *amorphous solid*. Amorphous solids are frequently used in gels, glass and polymers [21]. However, the atoms can also be periodically ordered in small regions of the material, classifying the material as a *polycrystalline solid*. All ceramics are polycrystalline with a broad spectrum of applications ranging from kitchen-porcelain to orthopedical bio-implants [22]. A third option is to have these atoms arranged with infinite periodicity, making the material a *crystalline solid* or more commonly named a *crystal*. The three options are visualised in figure 1.2. Hereon, we will focus on crystalline solids.

The periodicity in a crystal is defined in terms of a symmetric array of points in space called the *lattice*, which can be simplified as either a one-dimensional array, a two-dimensional matrix or a three-dimensional vector space, depending on the material. At each lattice point we can add an atom to make an arrangement called a *basis*. The basis can be one atom or a cluster of atoms having the same spatial arrangement. Every crystal has periodically repeated building blocks called *cells* representing the entire crystal. The smallest cell possible is called a *primitive cell*, but such a cell only allows lattice points at its corners and it is often quite rigid to work with when the structure becomes complex. As a solution, we will consider the *unit cell*, which allows lattice points on face centers and body centers.

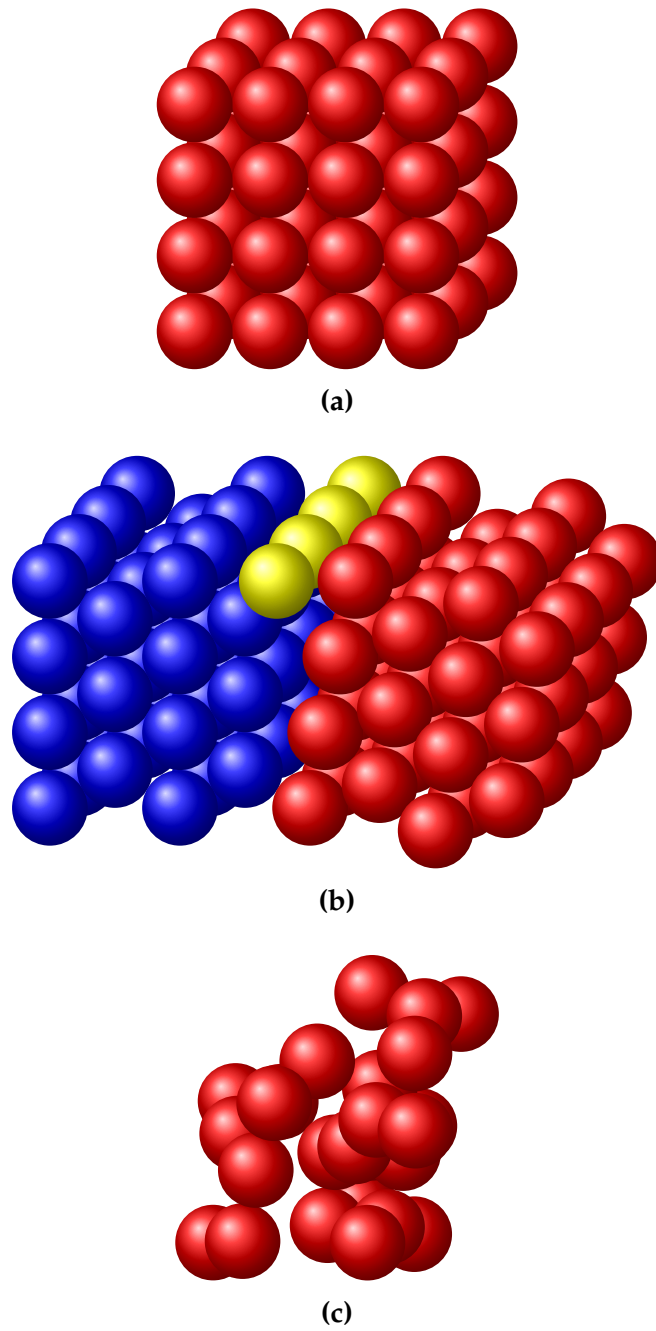


Figure 1.2: Schematic representation of different degrees of ordered structures, where (a) is a crystalline of a simple cubic lattice, (b) is a polycrystalline hexagonal lattice, and (c) is an amorphous lattice.

One example of a crystal structure is the perovskite structure. Compounds with this structure are characterized by having an ABX_3 stoichiometry whose symmetries belong to one of 15 space groups identified by Lufaso & Woodward [23], such as the cubic, orthorhombic and tetragonal. For our purpose, we will be looking into when the X atom is oxygen, and refer to the oxygen-perovskite ABO_3 . The A atom is nine- to 12-fold coordinated by oxygen, while the B atom is sixfold coordinated by oxygen, and the BO_6 octahedra are connected to the corners in all three directions as visualized in figure 1.3.

The motivation behind the research on perovskites is related to the large amount of available ABO_3 chemistries, where a significant portion of these take the perovskite structure. Perovskites have a broad specter of applications, ranging from high-temperature superconductors [24] and ionic conductors [25] to multiferroic materials [26]. Additionally, adding a perovskite-type compound to solar cells has reportedly resulted in higher performance efficiencies while being cheap to produce and simple to manufacture [27, 28]. However, this includes the use of hybrid organic-inorganic compounds and excludes the use of oxygen.

Her kan jeg skrive videre om NV-1 strukturen og defekten, da den blir brukt senere.

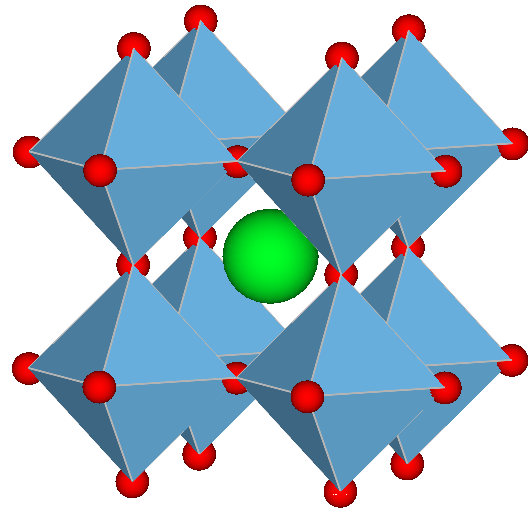


Figure 1.3: A crystal structure of $SrTiO_3$ which is a cubic perovskite. The red atoms are oxygen, whereas the green atom is strontium, and inside every corner-sharing BO_6 octahedral unit is a titanium atom.

1.3 Introduction to semiconductor physics

Isolated atoms have distinct energy levels, where the Pauli exclusion principle [29] states for fermions that each energy level can at most accommodate two electrons of opposite spin. In a solid, the discrete energy levels of the isolated atom spread into continuous energy bands since the wavefunctions of the electrons in the neighboring atoms overlap. Hence, an electron is not necessarily localized at a particular atom anymore. Every material has a unique band structure, similar to every human having their unique fingerprint.

Knowing which energy bands are occupied by electrons is the key in understanding the electrical properties of solids. The highest occupied electron band at 0 K is called the valence band (VB), while the lowest unoccupied electron band is called the conduction band (CB). The energy gap of forbidden energy levels between the maximum VB and the minimum CB is known as the band gap, and its energy is denoted as E_g . If a material can be classified as a semiconductor depends on the band gap and the electrical conductivity. As an example, Silicon is commonly thought of as a semiconductor, and has a band gap of about 1.12 eV at 275 K [30].

To be able to accelerate electrons in a solid using an electrical field, they must be able to move into new energy states. At 0 K, the entire valence band of a semiconductor is full with electrons and there are no available states nearby, making it impossible for current to flow through the material. This can be solved by using either thermal or optical energy to excite electrons from the valence band to the conduction band, in order to *conduct* electricity. At room temperature, some semiconductors will have electrons excited to the conduction band solely from thermal energy matching the energy band gap [21].

In some scenarios, thermal or optical energy is not sufficient for an excitation since the energy bands are also dependent on the crystal momentum. A difference in the momentum of the minimal-energy state in the conduction band and the maximum-energy state in the valence band results in an *indirect bandgap* as seen in figure 1.4a. If there is no difference at all, the material has a *direct bandgap*, which is visualized in figure 1.4b.

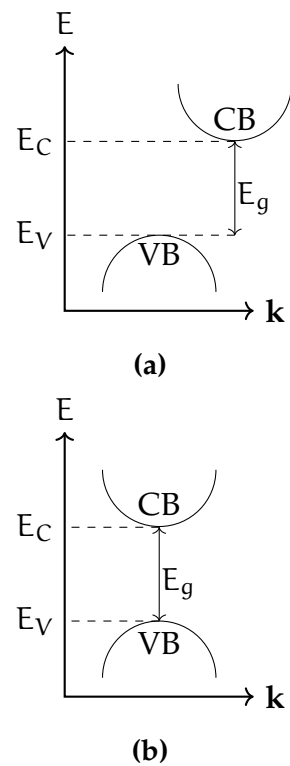


Figure 1.4: A schematic drawing of an (a) indirect- and a (b) direct bandgap.

Electrons in semiconductor materials can be described according to the Fermi-Dirac distribution

$$f(E) = \frac{1}{1 + e^{(E-E_F)/kT}},$$

where k is Boltzmann's constant, T is temperature, E is the energy and E_F is the Fermi level. The Fermi-Dirac distribution gives the probability that a state will be occupied by an electron, and at $T = 0$ K, every energy state lower than E_F is occupied by electrons while the opposite is true for energy states above E_F [21].

1.4 Point defects in semiconductors

In real life, a perfect crystal without any symmetry-breaking flaw does not exist. These flaws are known as defects and can occur up to three dimensions. An example one-dimensional defect is known as a *line defect*, while two dimensional defects can be *planar defects*, and in three dimensions we have *volume defects*. Lastly, defects can also occur in zero dimensions and are then termed *point defects*. Point defects normally occur as either vacancies, interstitial placement inbetween lattice sites or as substitution of another existing atom in the lattice.

Defects can greatly influence both the electronic and optical properties of a material. A substitutional defect can at first be regarded as an impurity or an antisite, but they can also be intentionally inserted, an approach known as *doping*. Doping can result in an excess of electrons or holes, making the semiconductor either an n- or p-type, respectively. Consequently, the semiconductor will have energy levels in the (forbidden) band gap that originates from the defects. If the energy levels introduced are closer than ~ 0.2 eV to the band edges, they are termed *shallow* defects.

Shallow defects can contribute with either excess electrons to the conduction band, or excess holes to the valence band. However, the induced charge carriers (electrons or holes) interact strongly with the band edges, resulting in a delocalized wavefunction regarding the position in the lattice.

For the opposite case, if the energy levels rests closer to the middle of the semiconductor's gap, the introduced defects are known as *deep level* defects. Deep levels normally occur due to either dangling bonds or impurities, and have highly localized electron wavefunctions. This might assure the isolation required for long coherence times, which is an appealing promise in quantum technological advances.

Deep levels are in general unfortunate in semiconductors since they can interact with the charge carriers, potentially destroying the desired electronic or

optical property of the material. Deep level defects can function as electron-hole recombination centers, or to trap charge carriers, yielding the commonly used name deep level *traps*. Both situations results in a lower concentration of charge carriers, which showcase why deep levels can be unwanted in semiconductor devices.

1.5 Qubit host candidates

Weber *et al.* [6] proposed in 2010 four criteria that should be met for a solid-state semiconductor material hosting a qubit defect. An ideal crystalline host should have [6]

- (H1) A wide band gap to accomodate a deep center.
- (H2) Small spin-orbit coupling in order to avoid unwanted spin flips in the defect bound states.
- (H3) Availability as high-quality, bulk, or thin-film single crystals.
- (H4) Constituent elements with naturally occuring isotopes of zero nuclear spin.

Host candidates that satisfy criteria (H1) and (H2) can be found by studying the electronic structure of the compounds. What tends to happen for defects in semiconductors, is that the electronic states associated with the defect have energies that lie within the (forbidden) band gap of the semiconductor. This is schematically drawn and explained for diamond in figure (1.5). A large band gap can accomodate multiple highly isolated states and make them confined and isolated from interactions with the environment, which is favorable for longer coherence times. However, the desired band gap depends on the application, since the Fermi level of large band gap materials is challenging to control [31].

Table (1.1) lists several material host candidates that exhibit promising band gap capable of accommodating a deep level defect. The spin-orbit splitting is an indication of the strength of the spin-orbit interaction, and is taken at the Γ point from the valence-band splitting. A smaller value may indicate less susceptibility to decoherence.

Criterion (H3) is important for scalability and further potential for a large-scale fabrication. The given candidate hosts provided in table (1.1) can all be grown as single crystals, but with varying quality and size.

Normally, nuclear spin is a major source of decoherence for all semiconductor-based quantum technologies. This would exclude the use of all elements in odd groups in the periodic table, since these elements exhibit nonzero nuclear

spin. As a result, the spin-coherence time of a paramagnetic deep center [6] might increase. However, nuclear spin can also induce additional quantum degrees of freedom for applications in the right configuration [31]. Therefore, criterion (H4) is not a strict requirement but is a general recommendation for reducing decoherence time.

Weber *et al* [6] use criteria (H1) – (H4) to specifically find analogies to the NV^{-1} center in other material systems, thus leaving the discussion of other criteria out, such as the choice of crystal system. The atomic configuration and crystal structure of a material strongly influences the properties of a defect, since a defect's orbital and spin structure is dependent on its spatial symmetry [31]. In particular, it is the point group that decides which multiplicity a given energy level should have [32]. A higher defect symmetry group generally facilitates degenerate states, which may give rise to high spin states according to Hund's rules. This is a favorable trend, since high spin states allows for coherent spin control in addition to the chance of generating spin-photon entanglement [31, 33]. Inversion symmetry in the host crystal can also be beneficial, resulting in reduced inhomogenous broadening and spectral diffusion of optical transitions as a consequence of being generally insensitive to external electric fields [31].

Material	Band gap E_g (eV)	Spin-orbit splitting Δ_{so} (meV)	Stable spinless nuclear isotopes?
3C-SiC	2.39	10	Yes
4C-SiC	3.26 [35]	6.8	Yes
6C-SiC	3.02	7.1	Yes
AlN	6.13	19 [36]	No
GaN	3.44	17.0	No
AlP	2.45	50 [37]	No
GaP	2.27	80	No
AlAs	2.15	275	No
ZnO	3.44 [38]	-3.5	Yes
ZnS	3.72 [39]	64	Yes
ZnSe	2.82	420	Yes
ZnTe	2.25	970	Yes
CdS	2.48	67	Yes
C (Diamond)	5.5	6	Yes
Si	1.12	44	Yes

Table 1.1: Table taken from Gordon *et al.* [34] that lists a number of tetrahedrally coordinated hosts whose band gaps are larger than 2.0 (eV), and compares it to diamond and Si. All experimental values are from Ref. [30], except for where explicitly cited otherwise. For materials where more than one structure is stable in room temperature, the most dominant room-temperature phase's band parameters are chosen.

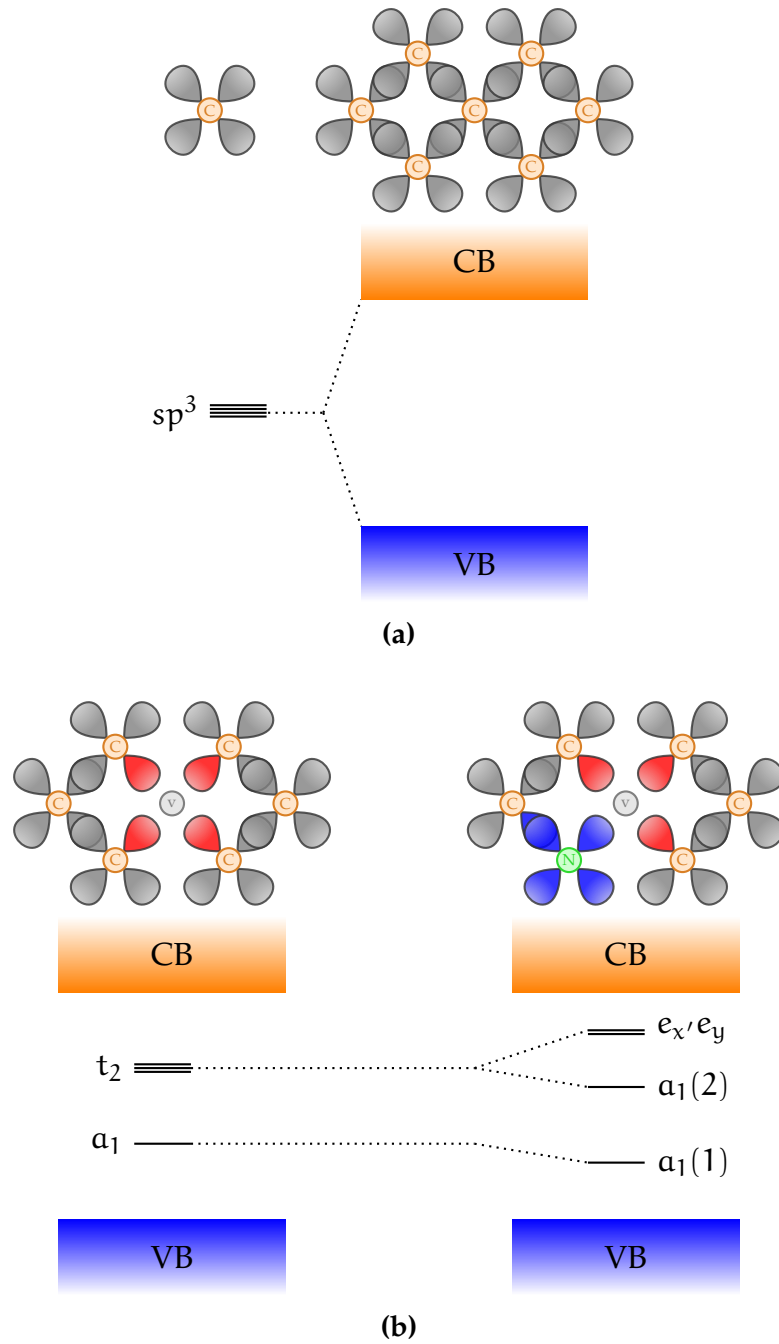


Figure 1.5: A schematic representation of the electronic structure of a point defect in a tetrahedrally coordinated semiconductor, exemplified by diamond. Panel (a) shows the electronic states that correspond to the difference for an isolated atom and a lattice of atoms, as a superposition of sp^3 orbitals that generates valence and conduction bands. In panel (b), a vacancy has been created by removing a carbon atom, and the four orbitals interact with each other resulting in two new states with α_1 and t_2 symmetry. The carbon atoms interact stronger with each other than the remaining four orbitals, which is why the two new states rests within the semiconductor's band gap. Figure used from Ref. [34].

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