#### Defence of Master's Thesis

# User Position Prediction in 6-DoF Mixed Reality Applications using Recurrent Neural Networks

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Oleksandra Baga

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I earned B.Eng at Beuth Hochschule für Technik in 2021.

I studied Embedded Systems



I work as a student assistant at Fraunhofer Institute

Master thesis is created in cooperation with the Fraunhofer Institute.

# Introduction and fundamentals

The goal of the research

01

02

# Dataset and data exploration

Obtaining, analyze and preprocessing of 6-DoF dataset

#### **Models**

Analyzed RNN variants

03

04

#### **Evaluation**

**Evaluation metrics** 

#### **Experiments**

Results of experiments with different RNN variants

05

06

#### **Analyze**

Discussion of the obtained results and steps for finishing the research

# Introduction

Mixed reality breaks down the border between the virtual and real world and tricks human's senses.



# Introduction

Virtual objects with feeling of the size and density can be placed on the real table in the user's room, picked up with a hand and moved to another place.



### 3-DoF vs 6-DoF

Term **degrees of freedom** describes how users interact and move.

Within 3-DoF space user has only three possibilities: **look left, right, up down and pivot left and right.** 

User can not move throughout the virtual space  $\rightarrow$  only **rotation** can be tracked.

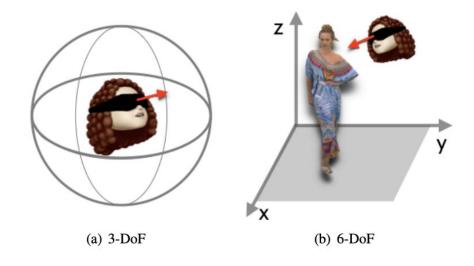


Fig.3. Users viewing point are inward in 3-DoF and outward in 6-DoF

### 3-DoF vs 6-DoF

The 6-DoF means **tracking both position and rotation** and reflects the human's movement in a real life.

#### In 6-DoF VR user moves, walks, jumps!

Previous algorithms are done only for rotational data and thus **new** approaches for user movement prediction must be created!

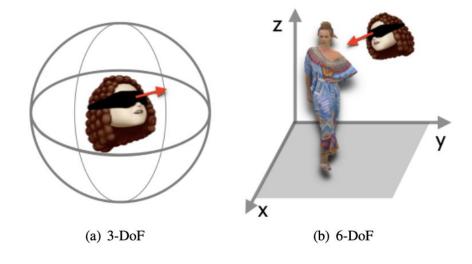


Fig.3. Users viewing point are inward in 3-DoF and outward in 6-DoF

# **Problem statement**

In the real world there is no time delay between action taken and reaction observed.

In VR Application there is always a delay!

A delay between the physical movement and the display output is defined as **motion-to-photon (M2P) latency.** 

Display lag can produce spatial disorientation and dizziness  $\rightarrow$  **Motion sickness**.

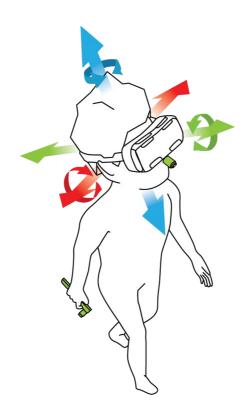


Fig.4. Pose tracking in virtual reality

#### **Motivation**

Recently the new technique of the rendering on a cloud server was presented by the researches.

Thus it makes possible to decrease the computational load from client by offloading the task to a powerful server.

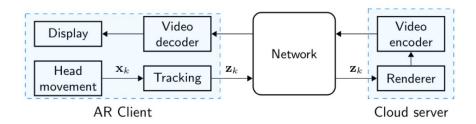


Fig.5. High level operation of a cloud-based volumetric streaming system.

#### **Motivation**

But using a cloud increases network latency and processing delays due to uploading a data to a server, rendering and sending data back to a device.

The increased M2P latency can be compensated by applying a prediction algorithm!

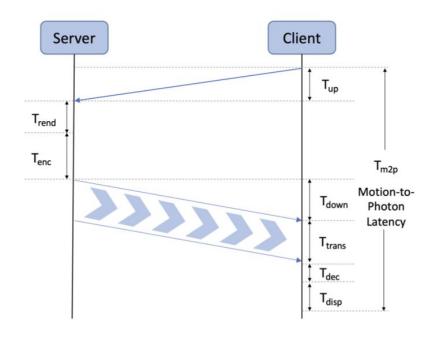


Fig.6. Components of the motion-to-photon latency for a remote rendering system.

Introduction and fundamentals

01

02

Dataset and data exploration

Obtaining, analyze and preprocessing of 6-DoF dataset

Models

03

04

**Evaluation** 

**Experiments** 

05

Analyze

# **Obtaining 6-DoF Dataset**

The user position and orientation were obtained with Unity application developed for HoloLens 2.

A volumetric animated object placed 3 metres ahead of the user in the MR environment.



Microsoft

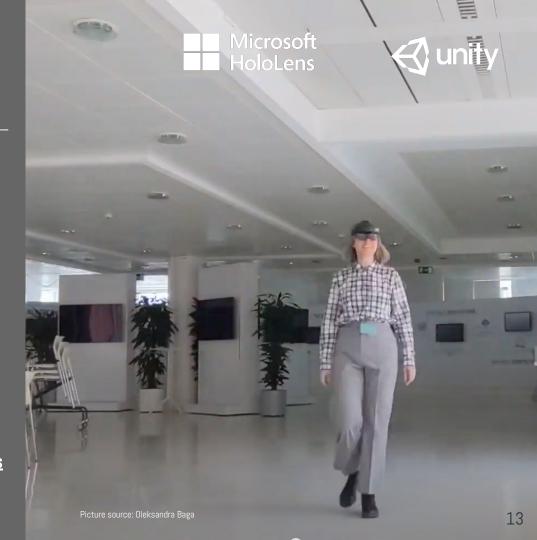
# Obtaining 6-DoF Dataset

During data recording, users freely walked wearing HMD in laboratory space.

The 6-DoF dataset has 10 features used in training process:

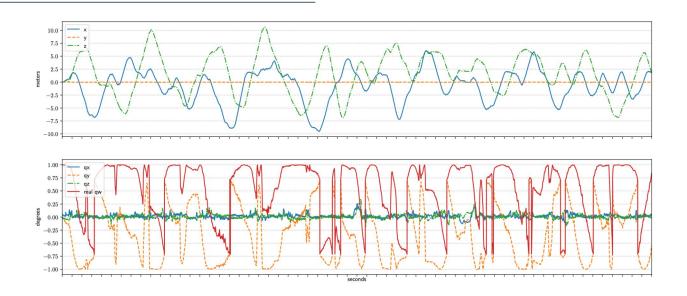
- position (x, y, z)
- orientation (qx, qy, qz, qw)
- velocity (x, y, z).

No personal data was recorded during these sessions and all traces are obtained anonymously.



# Interpolated dataset

# Data exploration



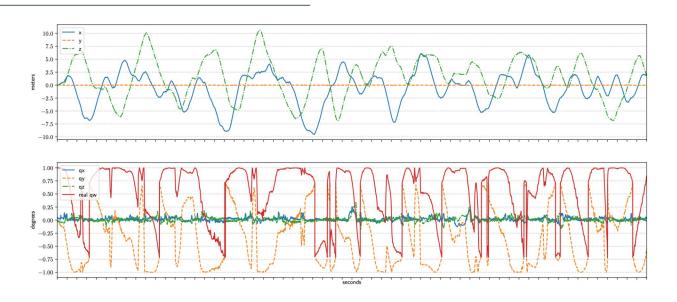
All traces were recorded over 10 minutes long on average 12 minutes.

A user rarely moves along the y-axis.

The y-axis shows the vertical movement that the users could make if they sit down or stand up what requires more effort.

#### Data exploration

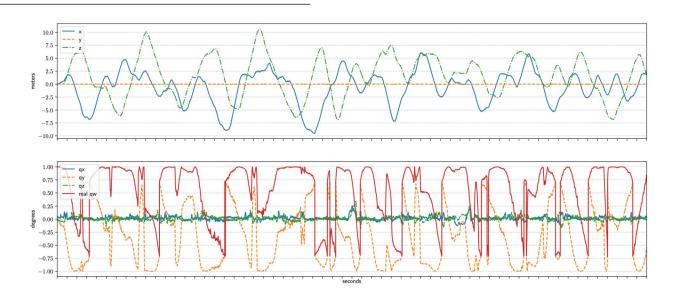
# Interpolated dataset



Due to signal processing and propagation delays, distance in time between two consecutive samples was either increased or decreased.

### Data preprocessing

# Interpolated dataset

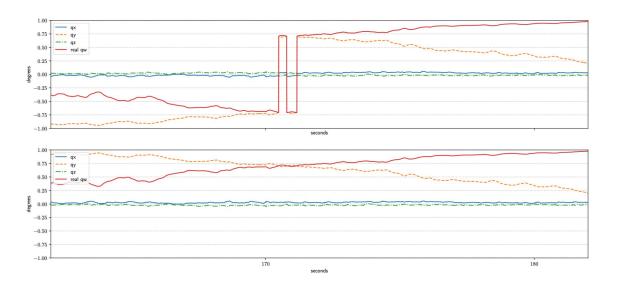


The position and velocity data were upsampled using linear interpolation. SLURP used for quaternions.

The components qy and qw of quaternion have sharp change of sign making it harder for a model to learn.

#### Data preprocessing

# Flipped negative quaternions



Flipping the sign will not affect the rotation, but it will ensure that there are no large jumps in 4D vector space between the two neighboring quaternions with similar rotation.

Introduction and fundamentals

01

02

Dataset and data exploration

Models

Analyzed RNN variants

03

04

**Evaluation** 

**Experiments** 

05

06

Analyze

# **Model Inputs**

The obtained 6-DoF dataset has 10 features used in training process:

- $\rightarrow$  position (x, y, z)
- → orientation (qx, qy, qz, qw)
- $\rightarrow$  velocity (x, y, z).

With  $batch\_first = true$  the input and output tensors were provided as **(batch, seq, feature)**.

velocity x

velocity v

velocity z

seq = 20 rows = 100 ms

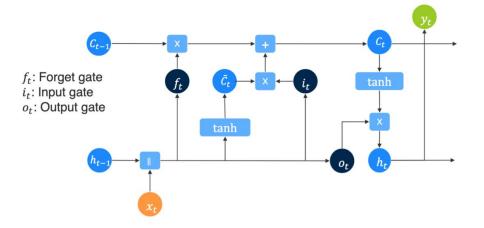
		5-6	( <del>-</del> 2		79	http://	(C-1)			
0.0	0.004954389	0.003402365	0.01010712	0.0522510460919448	-0.0092471243083722	-0.0147093988998279	0.998482825319659	0.3015088	0.2081023	0.586858
5000000.0	0.0048331026666666	0.0033084996666666	0.0105062066666666	0.0528291300322694	-0.0094015253918042	-0.0147654075580485	0.9984501375031132	0.1943642	0.1336575666666666	0.41589388
10000000.0	0.0047118163333333	0.0032146343333333	0.0109052933333333	0.053407194836499	-0.0095559230697573	-0.0148214108678517	0.9984170880217684	0.0872196	0.0592128333333333	0.24492976
15000000.0	0.00459053	0.003120769	0.01130438	0.0539852402952434	-0.0097103172863047	-0.0148774088089516	0.998383676887596	-0.019925	-0.0152319	0.07396564
20000000.0	0.0044855761666666	0.0030990528333333	0.0115486099999999	0.0545632313576858	-0.0098646897875236	-0.0149333515881849	0.9983499069412224	-0.02151515833333333	-0.0145975916666666	0.0737863916666666
25000000.0	0.00438062233333333	0.0030773366666666	0.0117928399999999	0.0549670346500581	-0.0099280877120111	-0.014740475521052	0.9983299938184644	-0.0231053166666666	-0.01396328333333333	0.0736071433333333
30000000.0	0.0042756685	0.0030556205	0.01203707	0.0553708266921017	-0.0099914836044761	-0.0145475964369255	0.9983098763634082	-0.024695475	-0.013328975	0.0734278949999999
35000000.0	0.0041707146666666	0.0030339043333333	0.0122813	0.0557746074011709	-0.0100548774519432	-0.0143547143752826	0.9982895545801708	-0.02628563333333333	-0.012694666666666	0.073248646666666
4000000.0	0.0040657608333333	0.0030121881666666	0.01252553	0.0561783766946222	-0.0101182692414372	-0.0141618293756015	0.998269028472912	-0.0278757916666666	-0.0120603583333333	0.0730693983333333
45000000.0	0.003960807	0.002990472	0.01276976	0.0565821344898146	-0.0101816589599834	-0.0139689414773605	0.9982482980458324	-0.02946595	-0.01142605	0.07289015 19
50000000.0	0.00390328375	0.00300229975	0.0128401975	0.0568467445693149	-0.010241445519636	-0.0137800200359769	0.998235278615892	-0.023180431	-0.0082128855	0.0574620875

#### Model Architecture: LSTM

LSTM stands for Long Short-Term Memory and can predict future values based on previous sequential data and learn long-term dependencies.

The **input gate** decides what information will be stored in long term memory.

The **forget gate** decides which information from long term memory be kept or discarded.



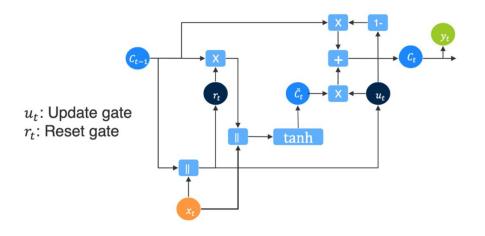
The **output gate** produces new short term memory which will be passed on to the cell in the next time step.

# Model Architecture: GRU

GRU stands for Gated recurrent unit and according to researchers is 29.29% faster than LSTM in training speed for processing the same dataset.

The **update gate** sets amount of previous information to pass along the next state.

The **reset gate** decides whether the previous cell state is important or not.



With powerful update gate the model can decide to copy all the information from the past and eliminate the risk of vanishing gradient.

# How the training and evaluation was done?

All evaluated models are implemented in Python with PyTorch.

Using **loss function**, PyTorch will adjust model weight parameters during training loop in such way that value of loss function **tends to be 0.00**.

With the **train() mode** the network's weights will be updated in order to reduce the training loss

The **eval() mode** turns off the calculation of the gradients.

During training on every epoch the training loss and validation loss both must decrease and stabilise at a specific point  $\rightarrow$  **Early stopping algorithm.** 

# **Model parameters**

#### Train/val loop

Model is trained on 60%, validated on 20% of dataset.

The rest 20% used for test.

No shuffle is applied during dataset slicing.



#### **Datasets**

Original interpolated dataset

Flipped negative quaternions

Position and rotation only

Normalized position [0..1]

Best: Flipped negative quaternions

# **Model parameters**

#### **Learning rate**

Too large learning rate **overshoots** the local minimum in a cost function.

Too small learning rate does not let to learn the dependencies in the dataset.

Finally the adaptively reducing LR is applied.



#### Weight decay

WD is a regularisation technique used to avoid overfitting: the weights are multiplied by a factor less than  $1 \rightarrow$  prevents the weights from growing too large.

Learning rate and weight decay of Adam Optimizer have highest influence!

After grid of parameter were tried, LR is set to 1e-4 and weight decay to 1e-12

# How the hyperparameters were found?

The hyperparameters search is done using GPU cluster of Fraunhofer HHI.

Every training during 500 epochs took:

- 2 hours with up to 95% of CPU usage
- 15-20 minutes on GPU cluster

The grid search is used to search exhaustively through a manually specified subset of the hyperparameter.

For every evaluated model on average over hundred jobs were launched for the initial hyperparameters search and few dozens for parameter tuning.

Introduction and fundamentals

01

02

Dataset and data exploration

Models

03

04

**Evaluation** 

**Evaluation metrics** 

**Experiments** 

05

06

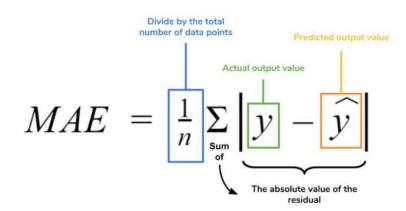
Analyze

# Goal of evaluation

This master thesis aims to evaluate whether RNN modification as LSTM, GRU and bidirectional variant are <u>able to</u> reduce the positional and rotation error for given look ahead time of 100 ms.

# **Evaluation metrics**

Mean Absolute Error (MAE) measures the average magnitude of the errors without considering their direction.



# **Evaluation metrics**

#### Root mean squared error (RMSE)

squares errors before averages them.

$$RMSE = \sqrt{\sum_{i=1}^{n} \frac{(\hat{y}_i - y_i)^2}{n}}$$

Euclidean distances is used for position.

Angular distance between two quaternions is used for rotation.

#### Baseline model

Simple model that acts as a reference.

By comparing the metrics it can be understood how reasonable it is to implement and use the chosen approach.

#### Baseline model

Evaluation metrics have value in units of the original dataset.

If a model predicts the prices of apartments in Berlin, then deviation from real price of 1000€ is a very good result

MAE: position: 0.067m

rotation: 14.61°

Model that predicts the price of average lunch in Berlin's restaurant with error of 1000€ works terrible.

RMSE: position: 0.068m

rotation: 21.24°

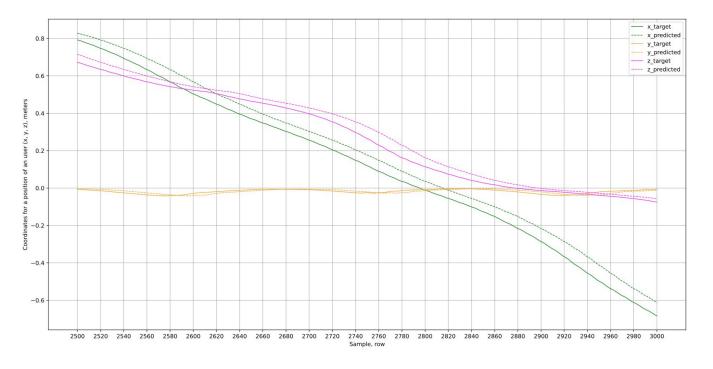
# Baseline: x, y z axes

MAE: position: 0.067m

rotation: 14.61°

RMSE: position: 0.068m

rotation: 21.24°



A baseline in forecast performance provides a point of comparison.

The plot of a baseline model predictions shows that the model is n-step behind reality.

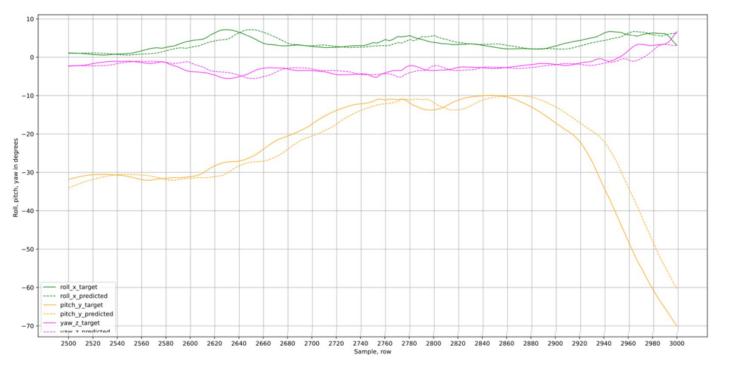
# Baseline: roll, pitch, yaw

MAE: position: 0.067m

rotation: 14.61°

RMSE: position: 0.068m

rotation: 21.24°



A baseline in forecast performance provides a point of comparison.

The plot of a baseline model predictions shows that the model is n-step behind reality.

Introduction and fundamentals

01

02

Dataset and data exploration

Models

03

04

**Evaluation** 

**Experiments** 

Results of experiments of different proprocessed RNN variants

05

 $06^{-6}$ 

Analyze

# Overview of experiments

Criterion: MSELoss

Optimizer: Adam

	MAEpos	RMSEpos	MAErot	RMSErot
Baseline	0.067	0.068	14.61	21.24
LSTM1 interpolated original	0.019	0.028	16.92	23.28
LSTM1 flipped negative quaternions	0.013	0.015	13.49	18.47
LSTM1 position only	0.078	0.091	-	-
LSTM1 rotation only	-	_	11.81	16.64
LSTM1 normalised [01]	0.056	0.197	9.87	12.72
LSTM2 Relu flipped	0.055	0.185	22.86	30.88
LSTM3 Mish flipped	0.012	0.014	13.18	17.28
LSTM4 layered flipped	0.055	0.185	42.0	48.0
GRU1 flipped	0.009	0.011	8.68	12.29
Bi-GRU flipped	0.030	0.041	30,19	33.78

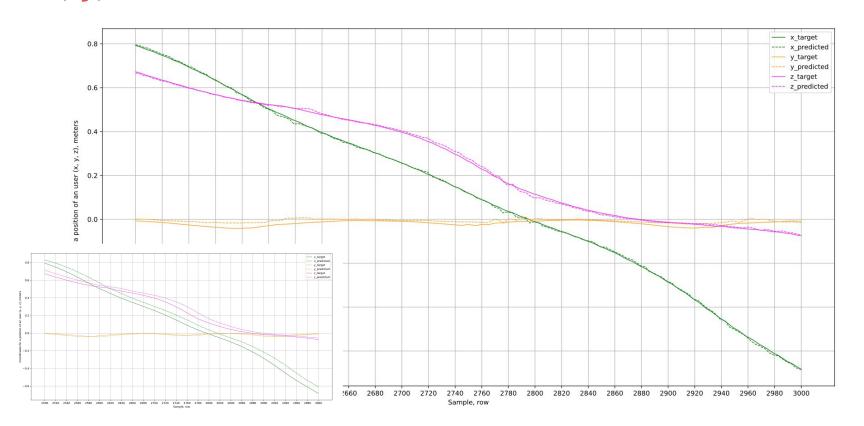
# LSTM3 with Mish(): x, y, z-axis

MAE: position: 0.012m↓

rotation: 13.18°↓

RMSE: position: 0.014m↓

rotation: 17,28°↓



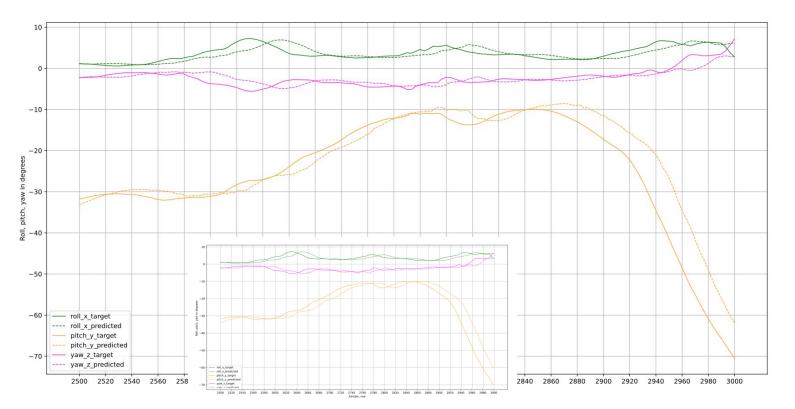
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MAE: position: 0.012m↓

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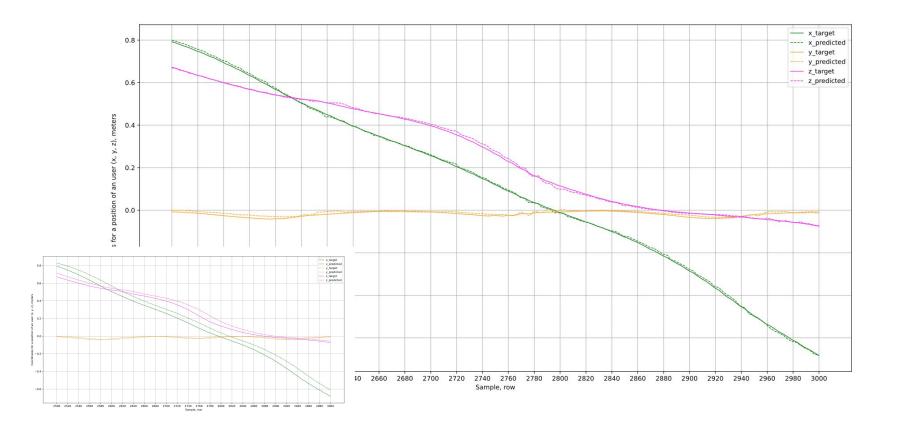


GRU1: x, y, z-axis

MAE: position: 0.009m↓ RMSE: position: 0.011m↓

rotation: 8.68°↓

rotation: 12,29°↓

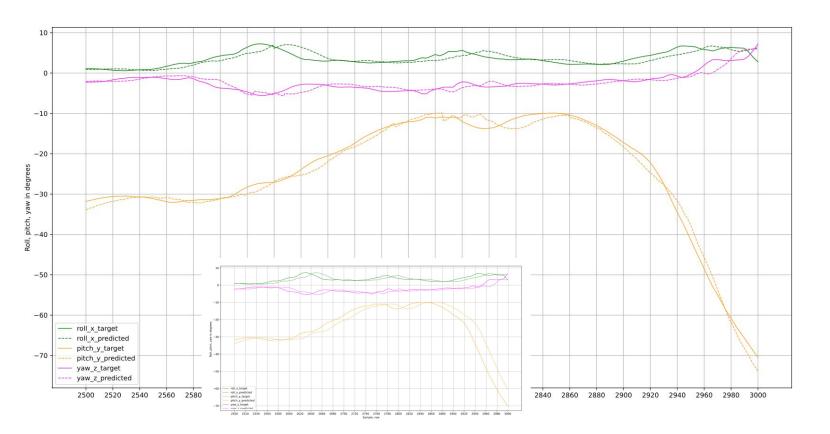


# **GRU1:** roll, pitch, yaw

MAE: position: 0.009m↓ rotation: 8.68°↓

RMSE: position: 0.011m↓

rotation: 12,29°↓



Introduction and fundamentals

01

02

Dataset and data exploration

Models

04

**Evaluation** 

**Experiments** 

05

06

**Analyze** 

Discussion of the obtained results and steps for finishing the research

# Conclusion & Analysis 1/4

The experiments done on a real head motion dataset collected from Microsoft HoloLens.

Proposed models can predict significant better than a Baseline model.

It is reasonable to build the best model in the prediction engine of the cloud-based streaming service.

# Conclusion & Analysis 2/4

**LSTM improves** position error by **80%** and rotation error by **7,5%** compared to a Baseline.

Using Mish activation function **improves** position prediction by **82%** rotation prediction by **10%**.

Best performance is with **GRU-based model**: Position error is **improved** by **85%** and rotation error by **40%**!

Compared to LSTM prediction, GRU performs better by **24%** for position and by **36%** for rotation.

→same behaviour was found by other researchers\*

<sup>\*</sup> described in section "Related works" in my master thesis.

# Conclusion & Analysis 3/4

Any variant of layered architecture
needs significantly more time to train
and can not catch the spatial
dependencies despite complex
architecture →
higher training and validation errors.

The bidirectional GRU **could not improve** metrics\* of the unidirectional model.

OPTIMAL SOLUT MODEL OVERFIT

<sup>\*</sup> same behaviour was found by other researchers

# Conclusion & Analysis 4/4

For the prediction of rotational data the positional data can be eliminated from a dataset →user behaviour during dataset recording.

Users tended to turn their head always in direction to a VV because they were told to keep their sight mainly on this object.

# Thank you for your attention



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