## fn make\_stable\_truncate

## Michael Shoemate

May 11, 2025

This proof resides in "contrib" because it has not completed the vetting process.

Proves soundness of make\_stable\_truncate in mod.rs at commit f5bb719 (outdated1).

# 1 Hoare Triple

#### Precondition

Caller Verified

None

### **Function**

```
def make_stable_truncate(
      input_domain: DslPlanDomain,
      input_metric: FrameDistance[SymmetricIdDistance],
      plan: DslPlan,
  ) -> Transformation[
      DslPlanDomain,
      DslPlanDomain,
      FrameDistance[SymmetricIdDistance],
      FrameDistance[SymmetricDistance],
9
10 ]:
      # the identifier is protected from changes, so we can use the identifier from the input
11
      metric
12
      # instead of the identifier from the middle_metric to match truncations
      input, truncations, truncation_bounds = match_truncations(
14
          plan, input_metric[0].identifier
15
16
17
      if truncations.is_empty():
          return ValueError("failed to match truncation")
18
19
      t_prior = input.make_stable(input_domain, input_metric)
20
      middle_domain, middle_metric = t_prior.output_space()
21
22
      for bound in truncation_bounds:
23
          for key in bound.by:
              # raises if the key is not infallible row-by-row
25
               make_stable_expr(
26
                   WildExprDomain(
27
28
                       columns=middle_domain.series_domains,
                       context = Context. RowByRow,
```

 $<sup>^1\</sup>mathrm{See}$  new changes with git diff f5bb719..45fc63a rust/src/transformations/make\_stable\_lazyframe/truncate/mod.rs

```
30
31
                   PartitionDistance(middle_metric[0]),
32
                   key,
33
               )
34
      output_domain = middle_domain.clone()
35
36
       for truncation in truncations: #
           output_domain = truncate_domain(output_domain, truncation)
37
38
      def function(plan: DslPlan) -> DslPlan:
39
           for truncation in truncations:
40
               match truncation:
41
                   case Truncation.Filter(predicate):
42
                        plan = DslPlan.Filter(
43
44
                            input=plan,
45
                            predicate=predicate,
46
47
                   case Truncation.GroupBy(keys, aggs):
48
                        plan = DslPlan.GroupBy(
49
50
                            input=plan,
                            keys=keys,
                            aggs=aggs,
52
                            apply=None,
53
                            maintain_order=False,
54
                            options=GroupbyOptions.default(),
55
56
           return plan
57
58
       def stability_map(id_bounds: Bounds) -> Bounds:
59
60
           total_num_ids = id_bounds.get_bound({}).per_group
61
62
           # each truncation is used to derive row bounds
63
           new_bounds = []
64
65
           for truncation_bound in truncation_bounds: #
               # each truncation is used to derive row bounds
66
67
               new_bounds.append(
                   truncate_id_bound( #
68
69
                        id_bounds.get_bound(truncation_bound.by), #
70
                        truncation_bound,
71
                        total_num_ids,
72
               )
73
           return Bounds(new_bounds)
74
75
      t_truncate = Transformation.new(
76
77
           middle_domain,
           output_domain,
78
           Function.new(function),
79
80
           middle_metric,
           FrameDistance(SymmetricDistance),
81
82
           StabilityMap.new_fallible(stability_map),
83
      return t_prior >> t_truncate
```

## Postcondition

Theorem 1.1. For every setting of the input parameters (input\_domain, input\_metric, plan) to make\_stable\_truncate such that the given preconditions hold,

make\_stable\_truncate raises an exception (at compile time or run time) or returns a valid transformation. A valid transformation has the following properties:

1. (Appropriate output domain). For every element x in input\_domain, function(x) is in output\_domain

or raises a data-independent runtime exception.

2. (Stability guarantee). For every pair of elements x, x' in input\_domain and for every pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_metric, if x, x' are d\_in-close under input\_metric, stability\_map(d\_in) does not raise an exception, and stability\_map(d\_in)  $\leq$  d\_out, then function(x), function(x') are d\_out-close under output\_metric.

Appropriate Output Domain. By line 26, the grouping keys are stable row-by-row transformations of the data, therefore the preconditions of 36 are satisfied. By the postcondition of 36, for every element x in input\_domain, function(x) is in output\_domain or raises a data-independent runtime exception.

Stability guarantee. By line 60, total\_num\_ids is the total number of ids an individual may contribute to a dataset:

$$d_{\text{SymId}}(\text{function}(x), \text{function}(x')) \le \text{total\_num\_ids}.$$
 (1)

By the postcondition of match\_truncations, for each truncation\_bound on line 65,

$$\max_{id} ||d_{\mathrm{Sym}}(\mathtt{function}(x)_{id,g},\mathtt{function}(x')_{id,g})||_{\infty} \leq \mathtt{truncation.per\_group},$$

$$\max_{id} ||d_{\mathrm{Sym}}(\mathtt{function}(x)_{id,g},\mathtt{function}(x')_{id,g})||_{0} \leq \mathtt{truncation.num\_groups},$$

where g denotes the group when partitioned by truncation\_bound.by.

The preconditions of 68 are satisfied on line 69 (id\_bound.by is equal to truncation.by), so by the postcondition of truncate\_id\_bound,

```
||d_{\mathrm{Sym}}(\mathtt{function}(x)_g,\mathtt{function}(x')_g)||_{\infty} \leq \mathtt{row\_bound.per\_group},
||d_{\mathrm{Sym}}(\mathtt{function}(x)_g,\mathtt{function}(x')_g)||_{0} \leq \mathtt{row\_bound.num\_groups},
```

where row\_bound denotes the return value.

For each truncation on line 65, truncate\_id\_bound on line 68 computes upper bounds on the resulting distance between adjacent datasets. All acquired bounds are valid upper bounds on the distance between the two datasets, by the postcondition of match\_truncations, that each truncation does not invalidate the truncation bounds of the previous truncations.

It is shown that for every pair of elements x, x' in input\_domain and for every pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_metric, if x, x' are d\_in-close under input\_metric, stability\_map(d\_in) does not raise an exception, and stability\_map(d\_in)  $\leq$  d\_out, then function(x), function(x') are d\_out-close under output\_metric.