

fn match_truncation_predicate

Michael Shoemate

April 22, 2025

This proof resides in “**contrib**” because it has not completed the vetting process.

Proves soundness of `match_truncation_predicate` in `mod.rs` at commit `f5bb719` (outdated¹).

1 Hoare Triple

Precondition

None

Function

```
1 def match_truncation_predicate(  
2     predicate: Expr, identifier: Expr  
3 ) -> Optional[Vec[Bound]]:  
4     if (  
5         isinstance(predicate, FunctionExpr)  
6         and predicate.function == BooleanFunction.AllHorizontal  
7     ):  
8         # propagate errors  
9         bounds = [  
10             match_truncation_predicate(expr, identifier) for expr in predicate.input  
11         ]  
12  
13         # propagate nones  
14         if not all(bounds): #  
15             return None  
16  
17         # appears to differ from Rust, but is equivalent  
18         # because options don't need to be flattened in Python  
19         return bounds  
20  
21     elif isinstance(predicate, BinaryExpr) and predicate.op == Operator.And:  
22         left = match_truncation_predicate(predicate.left, identifier)  
23         right = match_truncation_predicate(predicate.right, identifier)  
24         if left is None or right is None: #  
25             return None  
26         return left + right  
27  
28     elif isinstance(predicate, BinaryExpr):  
29         left, right = predicate.left, predicate.right  
30         if predicate.op == Operator.Lt:  
31             over, threshold, offset = left, right, 0
```

¹See new changes with `git diff f5bb719..82d185fa rust/src/transformations/make_stable_lazyframe/truncate/matching/mod.rs`

```

32     elif predicate.op == Operator.LtEq:
33         over, threshold, offset = left, right, 1
34     elif predicate.op == Operator.Gt:
35         over, threshold, offset = right, left, 0
36     elif predicate.op == Operator.GtEq:
37         over, threshold, offset = right, left, 1
38     else:
39         return None
40
41     if not isinstance(over, Expr.Window): #
42         return None
43
44     threshold_value = literal_value_of(threshold, u32)
45     if threshold_value is None:
46         raise ValueError(
47             f"literal value for truncation threshold ({threshold}) must be representable
as a u32"
48         )
49
50     # account for distinction between gt and ge
51     threshold_value = threshold_value.inf_add(offset) #
52
53     num_groups = match_num_groups_predicate(
54         over.function, over.partition_by, identifier, threshold_value
55     )
56     per_group = match_per_group_predicate(
57         over.function, over.partition_by, identifier, threshold_value
58     )
59
60     if num_groups is None and per_group is None: #
61         raise ValueError(
62             f"expected a predicate that limits per_group contributions (via int_range)
or num_groups contributions (via rank). Found {over.function}"
63         )
64
65     return [num_groups or per_group] #

```

Postcondition

Theorem 1.1 (Postcondition). For a given filter predicate and identifier expression, returns an error if the predicate contains a mis-specified truncation, none if the predicate is not a truncation, otherwise the per-identifier bounds on user contribution.

Proof. Truncation predicates are rooted by one of three expressions:

1. `AllHorizontal`, which is a vector-valued “and” expression. If all elements of the vector are truncation predicates, as checked on line 14, their intersection is also a truncation.
2. `BinaryExpr` where the operator is “and”. If both operands are truncation predicates, as checked on line 24, their intersection is also a truncation.
3. `BinaryExpr` where the operator is a comparison. The logic for this case is more involved.

In the comparison case, the algorithm first matches through the comparison operator to identify what should be the “over” and “threshold” expressions. Since window expressions are not valid row-by-row functions, they are unambiguously truncation expressions. Therefore, if the “over” expression is a window expression, as checked on line 41, then any further failures to match the truncation predicates can now be raised as errors.

`threshold_value` on line 51 is resolved to the literal `u32` upper bound on contributions.

By the postconditions of `match_num_groups_predicate`, and `match_per_group_predicate`, `num_groups` and `per_group` are optional bounds on the number of groups and row contributions per-group, respectively. If both are not defined, then the predicate is not a truncation, and an error is raised on line 60.

Otherwise, the matched bound is returned on line 65, satisfying the postcondition.

□