# fn make\_canonical\_noise

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This proof resides in "contrib" because it has not completed the vetting process.

Proves soundness of make\_canonical\_noise.

The constructor privatizes a float scalar with noise calibrated to satisfy a fixed privacy guarantee d\_out at a fixed sensitivity d\_in.

# 1 Hoare Triple

### **Preconditions**

#### Compiler-verified

- Argument input\_domain of type AtomDomain<f64>.
- Argument input\_metric of type AbsoluteDistance<f64>.
- Argument d\_in of type f64
- Argument d\_out of type (f64, f64) corresponding to epsilon and delta.

#### **Human-verified**

None

### Pseudocode

```
def make_canonical_noise(
      input_domain: AtomDomain[f64],
      input_metric: AbsoluteDistance[f64],
      d_in: f64,
      d_out: tuple[f64, f64],
5
6):
      assert not input_domain.nan(), "input data must be non-nan" #
      assert not d_in.is_sign_negative() and d_in.is_finite() #
      tradeoff, fixed_point = approximate_to_tradeoff(d_out)
10
      r_d_in = RBig.try_from(d_in)
11
12
      def function(arg: f64) -> f64: #
13
14
              arg = RBig.try_from(arg)
1.5
          except Exception:
16
              arg = RBig(0)
17
18
```

```
canonical_rv = CanonicalRV( #
19
               shift=arg, scale=r_d_in, tradeoff=tradeoff, fixed_point=fixed_point
20
21
           return PartialSample.new(canonical_rv).value() #
23
       def privacy_map(d_in_p: f64) -> f64: #
24
           assert 0 <= d_in_p <= d_in</pre>
25
           if d_in == 0:
26
               return (0.0, 0.0)
27
           return d_out
28
29
       return Measurement.new(
30
           input_domain,
31
32
           function,
33
           input_metric,
           output_measure=approximate(max_divergence()),
34
35
           privacy_map=privacy_map,
36
```

## Postcondition

Theorem 1.1. For every setting of the input parameters (input\_domain, input\_metric, d\_in, d\_out) to make\_canonical\_noise such that the given preconditions hold, make\_canonical\_noise raises an exception (at compile time or run time) or returns a valid measurement. A valid measurement has the following properties:

- 1. (Data-independent runtime errors). For every pair of elements x, x' in input\_domain, function(x) returns an error if and only if function(x') returns an error.
- 2. (Privacy guarantee). For every pair of elements x, x' in input\_domain and for every pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_measure, if x, x' are d\_in-close under input\_metric, privacy\_map(d\_in) does not raise an exception, and privacy\_map(d\_in)  $\leq$  d\_out, then function(x), function(x') are d\_out-close under output\_measure.

We now prove each part in the postcondition.

### Data-independent runtime errors

Proof of Theorem 1.1, Part 1. PartialSample.value, hereafter referred to as just value (a function) can only fail when the pseudorandom byte generator used in its implementation fails due to lack of system entropy. This is usually related to the computer's physical environment and not the dataset. This is the only source of errors in the function.

## Privacy guarantee

Proving the privacy guarantee of Theorem 1.1 is more involved, and we need to establish several definitions and lemmas first.

In the pseudocode, d\_in and d\_out are used to create a tradeoff function. The following defines the corresponding noise distribution.

**Definition 1.2** (Awan and Vadhan 2023, Definition 3.7). Let f be a symmetric nontrivial tradeoff function, and let  $c \in [0, 1/2)$  be the unique fixed point of f: f(c) = c. We define  $F_f : \mathbb{R} \to \mathbb{R}$  as

$$F_f(x) = \begin{cases} f(1 - F_f(x+1)) & x < -1/2 \\ c \cdot (1/2 - x) + (1 - c)(x+1/2) & -1/2 \le x \le 1/2 \\ 1 - f(F_f(x-1)) & x > 1/2. \end{cases}$$

For more context on the definition of a tradeoff function, refer to Awan and Vadhan 2023. We will first prove that the mechanism function adds noise from this distribution.

**Lemma 1.3.** Condition on the assumption that value does not raise an exception. Should value raise an exception, the function will return an error, as discussed in Proof Part 1.

function on Line 13 returns  $\arg + d_{in} \cdot N$  rounded to the nearest f64 in postprocessing, where N is a sample from some random variable  $F_f(\cdot)$ , as defined in Definition 1.2, and f is the tradeoff function tradeoff.

*Proof.* The code block on Line 14 converts float arg to a rational bignum. Due to line 7, the input domain excludes nan values, so if the input is a member of the input domain, then the cast will never fail.

Line 8 ensures that d\_in is well-formed (distances cannot be negative).

approximate\_to\_tradeoff has no user preconditions, so by its postcondition, tradeoff is a symmetric nontrivial tradeoff function and fixed\_point is the fixed-point of tradeoff.

On Line 19, by the definition of CanonicalRV, canonical\_rv is a random variable representing  $F_f(\cdot)$  (as is defined in Definition 1.2) scaled by d\_in and shifted by arg. That is, canonical\_rv represents the distribution of  $arg + d_in \cdot N$ .

Line 22 then constructs a sampler for the random variable (PartialSample) and .value draws a sample, rounded to the nearest floating point number. By the postcondition of PartialSample.value, the returned value is a post-processing rounding to the nearest float of an infinite-precision sample from the canonical\_rv random variable.

Now that we have shown that function adds noise from the distribution  $F_f(\cdot)$ , we can prove that the privacy guarantee is satisfied when noise from  $F_f(\cdot)$  is added.

Recall several definitions from Awan and Vadhan 2023. First, we need to define a canonical noise distribution.

**Definition 1.4** (Awan and Vadhan 2023, Definition 3.1). Let f be a symmetric nontrivial tradeoff function. A continuous distribution function F is a canonical noise distribution (CND) for f if

- (1) for every statistic  $S: X^n \to \mathbb{R}$  with sensitivity  $\Delta > 0$ , and  $N \sim F(\cdot)$ , the mechanism  $S(X) + \Delta N$  satisfies f-DP. Equivalently, for every  $m \in [0,1]$ ,  $T(F(\cdot), F(\cdot m)) \ge f$ ,
- (2)  $f(\alpha) = T(F(\cdot), F(\cdot 1))(\alpha)$  for all  $\alpha \in (0, 1)$ ,
- (3)  $T(F(\cdot), F(\cdot 1))(\alpha) = F(F^{-1}(1 \alpha) 1)$  for all  $\alpha \in (0, 1)$ ,
- (4) F(x) = 1 F(-x) for all  $x \in \mathbb{R}$ ; that is, F is the cdf of a random variable which is symmetric about zero.

Then,  $F_f$ , the noise added in function, is a canonical noise distribution:

**Theorem 1.5** (Awan and Vadhan 2023, Theorem 3.9). Let f be a symmetric nontrivial tradeoff function and let  $F_f$  be as in 1.2. Then  $F_f$  is a canonical noise distribution for f.

Using these definitions, and Lemma 1.3, we can prove the privacy guarantee in Theorem 1.1.

Proof of Theorem 1.1 Part 2. Since tradeoff is a symmetric nontrivial tradeoff function, and by the definition of CanonicalRV that  $F_f$  is as in Definition 1.2, then by Theorem 1.5,  $F_f$  is a canonical noise distribution for f.

Therefore by Definition 1.4, for every statistic  $S: X^n \to \mathbb{R}$  with sensitivity  $\Delta > 0$ , and  $N \sim F(\cdot)$ , the mechanism  $S(X) + \Delta N$  satisfies f-DP. By Lemma 1.3, function returns  $S(X) + \Delta N$ , where S(X) is  $\operatorname{\mathtt{arg}}$ ,  $\Delta$  is  $\operatorname{\mathtt{d_in}}$ . Since tradeoff is an equivalent but conservative representation of the privacy parameters  $\operatorname{\mathtt{d_out}}$ , the mechanism satisfies  $\operatorname{\mathtt{d_out}}$ -DP when input datasets may differ by at most  $\operatorname{\mathtt{d_in}}$ .

This guarantee is then reflected in the privacy map on line 24. If d\_in\_p is no greater than d\_in, then the privacy loss is d\_out.

Therefore, for every pair of elements x, x' in input\_domain and for every pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_measure, if x, x' are d\_in-close under the absolute distance input\_metric, privacy\_map(d\_in) does not raise an exception, and privacy\_map(d\_in)  $\leq$  d\_out, then function(x), function(x') are d\_out-close under output\_measure.

# References

Awan, Jordan and Salil Vadhan (2023). "Canonical Noise Distributions and Private Hypothesis Tests". In: *The Annals of Statistics* 51.2, pp. 547–572.