## fn make\_private\_group\_by

#### Michael Shoemate

This proof resides in "contrib" because it has not completed the vetting process.

Proves soundness of fn make\_private\_group\_by in mod.rs at commit 0db9c6036 (outdated1).

#### **Vetting History**

• Pull Request #512

### 1 Hoare Triple

#### Precondition

To ensure the correctness of the output, we require the following preconditions:

- Type MS must have trait DatasetMetric.
- Type MI must have trait UnboundedMetric.
- Type MO must have trait ApproximateMeasure.

#### Pseudocode

```
def make_private_group_by(
      input_domain,
      input_metric,
      output_measure,
      global_scale,
      threshold,
  ):
8
      input_expr, keys, aggs, predicate = match_group_by(plan)
10
      t_prior = input_expr.make_stable(input_domain, input_metric)
11
12
      middle_domain, middle_metric = t_prior.output_space()
13
      by = match_grouping_columns(keys) #
14
15
      margin = (
16
17
          middle\_domain
18
          .margins
          .get(by, Margin.default())
19
20
21
      # prepare a joint measurement over all expressions
22
      expr_domain = ExprDomain( #
```

<sup>&</sup>lt;sup>1</sup>See new changes with git diff Odb9c6036..d83e615 rust/src/measurements/make\_private\_lazyframe/group\_by/mod.rs

```
middle_domain,
24
25
           ExprContext.Aggregate(by),
26
27
      m_exprs = make_basic_composition([
28
           make_private_expr(
29
30
                expr_domain,
                PartitionDistance(middle_metric),
31
                output_measure,
32
33
                expr,
34
                global_scale,
35
           ) for expr in aggs
36
37
38
      # reconcile information about the threshold
      dp_exprs = m_exprs.invoke((input_expr, all()))
39
40
       if margin.public_info is not None:
41
           threshold_info = None
42
       elif is_threshold_predicate(predicate) is not None:
43
44
           name, threshold_value = is_threshold_predicate(predicate)
           noise = find_len_expr(dp_exprs, name)[1]
45
           threshold_info = name, noise, threshold_value, False
46
47
       elif threshold is not None:
           name, noise = find_len_expr(dp_exprs, None)[1]
48
           threshold_info = name, noise, threshold_value, True
49
50
           raise f"The key set of {by} is private and cannot be released."
51
      # prepare the final_predicate to be used in the function
53
54
       if threshold_info is not None:
           name, _, threshold_value, is_present = threshold_info
           if not is_present and predicate is not None:
56
57
               final_predicate = threshold_expr.and_(predicate)
           else:
58
59
               final_predicate = threshold_expr
60
61
           final_predicate = predicate
62
63
       # prepare supporting elements
      def function(arg): #
64
65
           output = DslPlan.GroupBy(
               input = arg ,
66
               keys=keys,
67
               aggs=m_exprs((arg, all())),
68
               apply=None,
69
70
               maintain_order=False,
71
72
73
           if final_predicate is not None:
74
               output = DslPlan.Filter(
                   input = output ,
75
76
                   predicate=final_predicate,
77
78
           return output
79
       def privacy_map(d_in): #
80
           mip = margin.get("max_influenced_partitions", default=d_in)
81
           mnp = margin.get("max_num_partitions", default=d_in)
82
83
           mpc = margin.get("max_partition_contributions", default=d_in)
           mpl = margin.get("max_partition_length", default=d_in)
84
           10 = \min(\min, \min, d_{in})
86
           li = min(mpc, mpl, d_in)
87
```

```
11 = 10.\inf_{mul(1i).min}(d_{in})
88
89
           d_out = m_exprs.map((10, 11, 1i))
90
91
           if threshold is not None:
92
                _, plugin, threshold_value = threshold_info
93
                  li >= threshold_value:
94
                    raise f"Threshold must be greater than {li}."
95
                d_instability = f64.inf_cast(threshold_value.inf_sub(li))
96
                delta_single = integrate_discrete_noise_tail(plugin.distribution, plugin.scale,
97
       d_instability)
                delta_joint = 1 - (1 - delta_single).inf_powi(10)
98
                d_out = MO.add_delta(d_out, delta_joint)
99
           elif margin.public_info is None:
               raise "keys must be public if threshold is unknown"
           return d_out
104
       m_group_by_agg = Measurement(
           middle domain.
107
           function,
108
           middle_metric,
           output_measure,
110
           privacy_map,
112
       return t_prior >> m_group_by_agg
113
```

#### Postconditions

Theorem 1.1. For every setting of the input parameters (input\_domain, input\_metric, output\_measure, plan, global\_scale, threshold) to make\_private\_group\_by such that the given preconditions hold, make\_private\_group\_by raises an exception (at compile time or run time) or returns a valid measurement. A valid measurement has the following property:

1. (Privacy guarantee). For every pair of elements x, x' in input\_domain and for every pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_measure, if x, x' are d\_in-close under input\_metric, privacy\_map(d\_in) does not raise an exception, and privacy\_map(d\_in)  $\leq$  d\_out, then function(x), function(x') are d\_out-close under output\_measure.

#### 2 Proof

We now prove the postcondition of make\_private\_group\_by.

*Proof.* Start by establishing properties of the following variables, which hold for any setting of the input arguments.

- By the postcondition of StableDslPlan.make\_stable, t\_prior is a valid transformation. 11
- By the postcondition of match\_grouping\_columns, grouping\_columns holds the names of the grouping columns. margin denotes what is considered public information about the key set, pulled from descriptors in the input domain. 14
- By the postcondition of make\_basic\_composition, m\_exprs is a valid measurement that prepares a batch of expressions that, when executed, satisfies the privacy guarantee of m\_exprs. 23

We now reconcile information about the censoring threshold. 38 In the setting where grouping keys are considered public, no thresholding is necessary. In the setting where grouping keys are considered private

information, threshold information is prepared from either predicate or threshold. By the post-condition of find\_len\_expr, filtering on name can be used to satisfy  $\delta$ -approximate DP.

The final predicate to be applied is the intersection of conditions necessary for filtering, and initial conditions set in the predicate. The thresholding predicate is only added to the final predicate if the threshold is not already present in the predicate.

We now move on to the implementation of the function. 64 The function returns a DslPlan that applies each expression from m\_exprs to arg grouped by keys. threshold\_info is conveyed into the plan, if set, to ensure that the keys are also privatized if necessary. It is assumed that the emitted DSL is executed in the same fashion as is done by Polars. This proof/implementation does not take into consideration side-channels involved in the execution of the DSL.

We now move on to the implementation of the privacy map. 80 The measurement for each expression expects data set distances in terms of a triple:

- $L^0$ : the greatest number of partitions that can be influenced by any one individual. This is no greater than the input distance (an individual can only ever influence as many partitions as they contribute rows), but could be smaller when supplemented by the max\_influenced\_partitions metric descriptor or max\_num\_partitions domain descriptor.
- $L^{\infty}$ : the greatest number of records that can be added or removed by any one individual in each partition. This is no greater than the input distance, but could be tighter when supplemented by the max\_partition\_contributions metric descriptor or the max\_partition\_length domain descriptor.
- $L^1$ : the greatest total number of records that can be added or removed across all partitions. This is no greater than the input distance, but could be tighter when accounting for the  $L^0$  and  $L^{\infty}$  distances.

By the postcondition of the map on m\_exprs, the privacy loss, when grouped data sets may differ by this distance triple, is d\_out.

We now adapt the proof from [Rog23] (Theorem 7). Consider S to be the set of labels that are common between x and x'. Define event E to be any potential outcome of the mechanism for which all labels are in S (where only stable partitions are released). We then lower bound the probability of the mechanism returning an event E. In the following,  $c_j$  denotes the exact count for partition j, and  $Z_j$  is a random variable distributed according to the distribution used to release a noisy count.

$$\Pr[E] = \prod_{j \in x \setminus x'} \Pr[c_j + Z_j \le T]$$

$$\ge \prod_{j \in x \setminus x'} \Pr[\Delta_\infty + Z_j \le T]$$

$$\ge \Pr[\Delta_\infty + Z_j \le T]^{\Delta_0}$$

The probability of returning a set of stable partitions  $(\Pr[E])$  is the probability of not returning any of the unstable partitions. We now solve for the choice of threshold T such that  $\Pr[E] \geq 1 - \delta$ .

$$\Pr[\Delta_{\infty} + Z_j \le T]^{\Delta_0} = \Pr[Z_j \le T - \Delta_{\infty}]^{\Delta_0}$$
$$= (1 - \Pr[Z_j > T - \Delta_{\infty}])^{\Delta_0}$$

Let d\_instability denote the distance to instability of  $T-\Delta_{\infty}$ . By the postcondition of integrate\_discrete\_noise\_tail, the probability that a random noise sample exceeds d\_instability is at most delta\_single. Therefore  $\delta = 1 - (1 - \text{delta\_single})^{\Delta_0}$ . This privacy loss is then added to d\_out.

Together with the potential increase in delta for the release of the key set, then it is shown that function(x), function(x') are  $d_out$ -close under output\_measure.

# References

 $[Rog23] \ \ Ryan \ Rogers. \ A \ unifying \ privacy \ analysis \ framework \ for \ unknown \ domain \ algorithms \ in \ differential \ privacy, \ 2023.$