# fn score\_candidates\_map

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This proof resides in "contrib" because it has not completed the vetting process.

Proves soundness of score\_candidates\_map in mod.rs at commit f5bb719 (outdated<sup>1</sup>). score\_candidates\_map returns a specific function that can be used to prove stability of the quantile scoring transformation.

## 1 Hoare Triple

#### Precondition

 ${\tt alpha\_den} > {\tt alpha\_num}.$ 

#### **Function**

```
def score_candidates_map(alpha_num, alpha_den, known_size) -> Callable[[int], int]:
    def stability_map(d_in):
        if known_size:
            return T.inf_cast(d_in // 2).inf_mul(alpha_den)
    else:
        abs_dist_const: u64 = max(alpha_num, alpha_den - alpha_num) #
        return T.exact_int_cast(d_in).alerting_mul(abs_dist_const)

return stability_map
```

#### Postcondition

Theorem 1.1. Define functionas follows:

```
function(x) = score \ candidates_i(x, candidates, alpha_num, alpha_den, size_limit)
```

The function calls score\_candidates with fixed choices of candidates, alpha\_num, alpha\_den and size\_limit.

If the input domain is the set of all vectors with non-null elements of type TI, input metric is either SymmetricDistance or InsertDeleteDistance, and the postcondition of functionis satisfied, then functionis stable.

This means that, for any two elements x, x' in the input\_domain, where x, x' share the same length if known\_length is true, and any pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_metric, then when x, x' are d\_in-close under input\_metric and stability\_map(d\_in)  $\leq$  d\_out, function(x), function(x') are d\_out-close under output\_metric.

The sensitivity of this function differs depending on whether the size of the input vector is known. First, consider the case where the size is unknown.

<sup>&</sup>lt;sup>1</sup>See new changes with git diff f5bb719..c7d74e0 rust/src/transformations/quantile\_score\_candidates/mod.rs

**Lemma 1.2.** If  $d_{Sym}(x, x') = 1$ , then  $d_{\infty}(\text{function}(x), \text{function}(x')) \leq \max(\alpha_{num}, \alpha_{den} - \alpha_{num})$ . Proof. Assume  $d_{Sym}(x, x') = 1$ .

$$\begin{split} &d_{\infty}(\operatorname{function}(x)_i, \operatorname{function}(x')_i) \\ &= \max_i |\operatorname{function}(x)_i - \operatorname{function}(x')_i| & \text{by definition of } d_{\infty} \\ &= \max_i |\operatorname{abs\_diff}(\alpha_{den} \cdot \min(\#(x < C_i), l), \alpha_{num} \cdot \min(|x| - \#(x = C_i), l)) & \text{by definition of function} \\ &= \operatorname{abs\_diff}(\alpha_{den} \cdot \min(\#(x' < C_i), l), \alpha_{num} \cdot \min(|x'| - \#(x' = C_i), l))| \\ &= \alpha_{den} \cdot \max_i ||\min(\#(x < C_i), l) - \alpha \cdot \min(|x| - \#(x = C_i), l)|| \\ &= |\min(\#(x' < C_i), l) - \alpha \cdot \min(|x'| - \#(x' = C_i), l)|| \\ &\leq \alpha_{den} \cdot \max_i ||\#(x < C_i) - \alpha \cdot (|x| - \#(x = C_i))|| \\ &= |\#(x' < C_i) - \alpha \cdot (|x| - \#(x' = C_i))|| \end{split}$$

Consider each of the three cases of adding or removing an element in x.

Case 1. Assume x' is equal to x, but with some  $x_j < C_i$  added or removed.

$$= \alpha_{den} \cdot \max_{i} ||(1 - \alpha) \cdot \#(x < C_i) - \alpha \cdot \#(x > C_i)|$$

$$- |(1 - \alpha) \cdot (\#(x < C_i) \pm 1) - \alpha \cdot \#(x > C_i)||$$

$$\leq \alpha_{den} \cdot \max_{i} ||(1 - \alpha) \cdot \#(x < C_i) - \alpha \cdot \#(x > C_i)|$$

$$- (|(1 - \alpha) \cdot \#(x < C_i) - \alpha \cdot \#(x > C_i)| + |\pm (1 - \alpha)|)|$$
 by triangle inequality
$$= \alpha_{den} \cdot \max_{i} |1 - \alpha|$$
 scores cancel
$$= \alpha_{den} - \alpha_{num}$$
 since  $\alpha \leq 1$ 

Case 2. Assume x' is equal to x, but with some  $x_j > C_i$  added or removed.

$$\begin{split} &=\alpha_{den}\cdot\max_{i}||(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot\#(x> C_{i})|\\ &-|(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot(\#(x> C_{i})\pm 1)||\\ &\leq\alpha_{den}\cdot\max_{i}||(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot\#(x> C_{i})|\\ &-(|(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot\#(x> C_{i})|+|\pm\alpha|)| & \text{by triangle inequality}\\ &=\alpha_{den}\cdot\max_{i}|\alpha| & \text{scores cancel}\\ &=\alpha_{num} & \text{since }\alpha\geq0 \end{split}$$

Case 3. Assume x' is equal to x, but with some  $x_j = C_i$  added or removed.

$$=\alpha_{den}\cdot\max_{i}||(1-\alpha)\cdot\#(x< C_i)-\alpha\cdot\#(x> C_i)|$$
 
$$-|(1-\alpha)\cdot\#(x< C_i)-\alpha\cdot\#(x> C_i)||$$
 no change in score

Take the union bound over all cases.

$$\leq \max(\alpha_{num}, \alpha_{den} - \alpha_{num})$$

Now consider the case where the dataset size is known.

**Lemma 1.3.** If  $d_{CO}(x, x') \leq 1$ , then  $d_{\infty}(\text{function}(x), \text{function}(x')) \leq \alpha_{den}$ . *Proof.* Assume  $d_{CO}(x, x') \leq 1$ .

$$\begin{split} &d_{\infty}(\operatorname{function}(x),\operatorname{function}(x')) \\ &= \max_{i} |\operatorname{function}(x)_{i} - \operatorname{function}(x')_{i}| & \text{by definition of } d_{\infty} \\ &= \max_{i} |\operatorname{abs\_diff}(\alpha_{den} \cdot \min(\#(x < C_{i}), l), \alpha_{num} \cdot \min(|x| - \#(x = C_{i}), l)) & \text{by def. of function} \\ &- \operatorname{abs\_diff}(\alpha_{den} \cdot \min(\#(x' < C_{i}), l), \alpha_{num} \cdot \min(|x'| - \#(x' = C_{i}), l))| \\ &= \alpha_{den} \cdot \max_{i} ||\min(\#(x < C_{i}), l) - \alpha \cdot \min(|x'| - \#(x = C_{i}), l)|| \\ &- |\min(\#(x' < C_{i}), l) - \alpha \cdot \min(|x'| - \#(x' = C_{i}), l)|| \\ &= \alpha_{den} \cdot \max_{i} ||\#(x < C_{i}) - \alpha \cdot (|x| - \#(x' = C_{i}))|| \\ &- |\#(x' < C_{i}) - \alpha \cdot (|x| - \#(x' = C_{i}))|| \end{split}$$

Consider each of the four cases of changing a row in x.

Case 1. Assume x' is equal to x, but with some  $x_j < C_i$  replaced with  $x'_j > C_i$ .

$$\begin{split} &=\alpha_{den}\cdot\max_{i}||(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot\#(x> C_{i})|\\ &-|(1-\alpha)\cdot(\#(x< C_{i})-1)-\alpha\cdot(\#(x> C_{i})+1)|| \quad \text{by definition of function}\\ &\leq\alpha_{den}\cdot\max_{i}||(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot\#(x> C_{i})|\\ &-(|(1-\alpha)\cdot\#(x< C_{i})-\alpha\cdot\#(x> C_{i})|+|1|)| \quad \quad \text{by triangle inequality}\\ &=\alpha_{den}\cdot\max_{i}|1| \quad \qquad \text{scores cancel}\\ &=\alpha_{den} \end{split}$$

Case 2. Assume x' is equal to x, but with some  $x_j > C_i$  replaced with  $x'_j < C_i$ .

$$= \alpha_{den}$$

by symmetry, follows from Case 1.

Case 3. Assume x' is equal to x, but with some  $x_j \neq C_i$  replaced with  $C_i$ .

$$\leq \max(\alpha_{num}, \alpha_{den} - \alpha_{num})$$

equivalent to one removal (see make\_quantile\_score\_candidates)

Case 4. Assume x' is equal to x, but with some  $x_j = C_i$  replaced with  $x'_j \neq C_i$ .

$$\leq \max(\alpha_{num}, \alpha_{den} - \alpha_{num})$$

equivalent to one addition (see make\_quantile\_score\_candidates)

Take the union bound over all cases.

$$d_{\infty}(x_i, x_i') \le \max(\alpha_{den}, \max(\alpha_{num}, \alpha_{den} - \alpha_{num})) = \alpha_{den}$$
  
since  $\max(\alpha, 1 - \alpha) \le 1$ 

*Proof of postcondition*. Assume the input domain is the set of all vectors with non-null elements of type TI, input metric is either SymmetricDistance or InsertDeleteDistance, and the postcondition of functionis satisfied.

First, consider the case where the size is unknown. Take any two members s, s' in the input\_domain and any pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_metric. Assume s, s' are d\_in-close under input\_metric and that stability\_map(d\_in)  $\leq$  d\_out.

$$\begin{split} \mathbf{d\_out} &= \max_{s \sim s'} d_{\infty}(s,s') & \text{where } s = \mathtt{function}(x) \\ &= \max_{s \sim s'} \max_{i} |s_{i} - s'_{i}| & \text{by definition of LInfDistance, without monotonicity} \\ &\leq \sum_{j} \max_{Z_{j} \sim Z_{j+1}} \max_{i} |s_{i,j} - s_{i,j+1}| & \text{by path property } d_{Sym}(Z_{i}, Z_{i+1}) = 1, x = Z_{0} \text{ and } x' = Z_{\mathtt{d\_in}} \\ &\leq \sum_{j} \max(\alpha_{num}, \alpha_{den} - \alpha_{num}) & \text{by } 1.2 \\ &\leq \mathtt{d\_in} \cdot \max(\alpha_{num}, \alpha_{den} - \alpha_{num}) \end{split}$$

This formula matches the stability map in the case where the dataset size is unknown.

Now, consider the case where the size is known. Take any two elements s,s' in the input\_domain and any pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_metric. Assume s,s' are d\_in-close under input\_metric and that stability\_map(d\_in)  $\leq$  d\_out.

$$\begin{split} \mathbf{d\_out} &= \max_{s \sim s'} d_{\infty}(s,s') \\ &= \max_{s \sim s'} \max_{i} |s_{i} - s'_{i}| & \text{by definition of LInfDistance, without monotonicity} \\ &\leq \sum_{j} \max_{Z_{j} \sim Z_{j+1}} \max_{i} |s_{i,j} - s_{i,j+1}| & \text{by path property } d_{CO}(Z_{i},Z_{i+1}) = 1, x = Z_{0} \text{ and } Z_{\mathtt{d\_in}} = x' \\ &\leq \sum_{j} \alpha_{den} & \text{by 1.3} \\ &\leq (\mathtt{d\_in}//2) \cdot \alpha_{den} \end{split}$$

This formula matches the stability map in the case where the dataset size is known.

It is shown that function(x), function(x') are  $d_out$ -close under  $output_metric$  for any choice of input arguments.