## fn make\_clamp

#### Sílvia Casacuberta

This proof resides in "contrib" because it has not completed the vetting process.

Proves soundness of fn make\_clamp in mod.rs at commit b42b10272e (outdated1).

## 1 Vetting history

• Pull Request #512

### 2 Versions of definitions documents

When looking for definitions for terms that appear in this document, the following versions of the definitions documents should be used.

- Pseudocode definitions document: This proof file uses the version of the pseudocode definitions document available as of September 6, 2021, which can be found at this link (archived here).
- **Proof definitions document:** This file uses the version of the proof definitions document available as of September 6, 2021, which can be found at this link (archived here).

# 3 Algorithm implementation

### 3.1 Pseudocode in Python

We present a simplified Python-like pseudocode of the Rust implementation below. The necessary definitions for the pseudocode can be found at "List of definitions used in the pseudocode".

The use of code-style parameters in the preconditions section below (for example, input\_domain) means that this information should be passed along to the Transformation constructor.

#### Preconditions

To ensure the correctness of the output, we require the following preconditions:

- User-specified types:
  - Type T must have trait TotalOrd.

<sup>&</sup>lt;sup>1</sup>See new changes with git diff b42b10272e..5223a69c9 rust/src/trans/clamp/mod.rs

```
def make_clamp((L, U): (T, T)):
      input_domain = VectorDomain(AllDomain(T))
      output_domain = VectorDomain(IntervalDomain(L, U))
      input_metric = SymmetricDistance()
      output_metric = SymmetricDistance()
      def stability_map(d_in: u32) -> bool:
          return d_in
      def function(data: Vec[T]) -> Vec[T]:
          def clamp(x: T) -> T:
               return x.total_clamp(L, U)
          return list(map(clamp, data))
14
15
      return Transformation (
16
          input_domain, output_domain, function,
17
          input_metric, output_metric, stability_relation
18
```

#### Postconditions

• Either a valid Transformation is returned or an error is returned.

#### 4 Proof

The necessary definitions for the proof can be found at "List of definitions used in the proofs".

#### 4.1 Symmetric distance

Theorem 4.1. For every setting of the input parameters (L, U) to make\_clamp such that the given preconditions hold, make\_clamp raises an exception (at compile time or run time) or returns a valid transformation with the following properties:

- 1. (Appropriate output domain). For every element v in input\_domain, function(v) is in output\_domain.
- 2. (Domain-metric compatibility). The domain input\_domain matches one of the possible domains listed in the definition of input\_metric, and likewise output\_domain matches one of the possible domains listed in the definition of output\_metric.
- 3. (Stability guarantee). For every pair of elements v, w in input\_domain and for every pair (d\_in,d\_out), where d\_in has the associated type for input\_metric and d\_out has the associated type for output\_metric, if v, w are d\_in-close under input\_metric and Map(d\_in)  $\leq$  d\_out, then function(v), function(v) are d\_out-close under output\_metric.

*Proof.* (Appropriate output domain). In the case of make\_clamp, this corresponds to showing that for every vector v of elements of type T, function(v) is a vector of elements of type T which are contained in the interval [L, U]. For that, we need to show two things: first, that function(v) has type Vec[T]. Second, that they belong to the interval [L, U].

Firstly, that function(v) has type Vec[T] follows from the assumption that element v is in  $input\_domain$  and from the type signature of function in line 10 of the pseudocode (Section 3.1), which takes in an element of type Vec[T] and returns an element of type Vec[T]. If the Rust code compiles correctly, then the type correctness follows from the definition of the type signature enforced by Rust. Otherwise, the code raises an exception for incorrect input type.

Secondly, we need to show that the vector entries belong to the interval [L, U]. For that, it is foremost necessary that  $L \leq U$ . This condition is already checked when declaring output\_domain = VectorDomain(IntervalDomain(L,

U)) in line 3 of the pseudocode. This check already exists via the construction of IntervalDomain, which returns an error if L > U. The rest follows from the definition of clamp in line 11. According to line 11 in the pseudocode, there are 3 possible cases to consider:

- 1. x > U: then clamp(x) returns U.
- 2.  $x \in [L, U]$ : then clamp(x) returns x.
- 3. x < L: then clamp(x) returns L.

In all three cases, the returned value of type T is contained in the interval [L, U]. Hence, the vector function(v) returned in line 13 of the pseudocode is an element of  $output\_domain$ .

Lastly, both L and U have type T by the type signature of make\_clamp. Both the definition of IntervalDomain and that of the clamp function (line 11 in the pseudocode, which uses the min and max functions) require that T implements TotalOrd, which holds by the preconditions.

(Domain-metric compatibility). For make\_clamp, both the input and output cases correspond to showing that VectorDomain(T) is compatible with the symmetric distance metric. This follows directly from the definition of symmetric distance, as stated in "List of definitions used in the pseudocode".

(Stability guarantee). Throughout the stability guarantee proof, we can assume that function(v) and function(w) are in the correct output domain, by the appropriate output domain property shown above.

Since by assumption  $Map(d_{in}) \leq d_{out}$ , by the make\_clamp stability map (as defined in line 7 in the pseudocode), we have that  $d_{in} \leq d_{out}$ . Moreover, v, w are assumed to be  $d_{in}$ -close. By the definition of the symmetric difference metric, this is equivalent to stating that  $d_{Sym}(v, w) = |MultiSet(v)\Delta MultiSet(w)| \leq d_{in}$ .

Let  $\mathcal{X}$  be the domain of all elements of type T. By applying the histogram notation, it follows that

$$d_{Sym}(v,w) = \|h_v - h_w\|_1 = \sum_{z \in \mathcal{X}} |h_v(z) - h_w(z)| \leq \texttt{d\_in} \leq \texttt{d\_out}.$$

By Definition 3.10 in "List of definitions used in the proofs" and the definition of clamp in lines 11-13 in the pseudocode, it follows that the function defined in make\_clamp, which maps elements from VectorDomain to VectorDomain, is a row transform. Therefore, by Lemma 3.13 in "List of definitions used in the proofs", it follows that for every pair of elements v, w in input\_domain,

$$d_{Sum}(\texttt{function}(v),\texttt{function}(w)) \leq d_{Sum}(v,w).$$

Then, by the initial assumptions relation(d\_in, d\_out) = True and d\_in ≤ d\_out, it follows that

$$d_{Sym}(function(v), function(w)) \le d_{Sym}(v, w) \le d_{in} \le d_{out}.$$

Hence.

$$d_{Sum}(function(v), function(w)) \leq d_{out}$$

as we wanted to show.

<sup>&</sup>lt;sup>2</sup>Note that there is a bijection between multisets and histograms, which is why the proof can be carried out with either notion. For further details, please consult https://www.overleaf.com/project/60d214e390b337703d200982.