# fn sample\_bernoulli\_float

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This proof resides in "contrib" because it has not completed the vetting process.

Warning 1 (Code is not constant-time). sample\_bernoulli\_float takes in a boolean constant\_time parameter to protect against timing attacks on the Bernoulli sampling procedure. However, the current implementation does not guard against other types of timing side-channels that can break differential privacy, e.g., non-constant time code execution due to branching.

# PR History

• Pull Request #473

This document proves that the implementation of sample\_bernoulli\_float in mod.rs at commit f5bb719 (outdated¹) satisfies its proof definition.

sample\_bernoulli\_float considers the binary expansion of prob into an infinite sequence a\_i, like so:  $\operatorname{prob} = \sum_{i=0}^{\infty} \frac{a_i}{2^{i+1}}$ . The algorithm samples  $I \sim Geom(0.5)$  using an internal function sample\_geometric\_buffer, then returns  $a_I$ .

## 0.1 Hoare Triple

#### Preconditions

- User-specified types:
  - Variable prob must be of type T
  - Variable constant\_time must be of type bool
  - Type T has trait Float. Float implies there exists an associated type T::Bits (defined in FloatBits) that captures the underlying bit representation of T.
  - Type T::Bits has traits PartialOrd and ExactIntCast<usize>
  - Type usize has trait ExactIntCast<T::Bits>

#### Pseudocode

```
# returns a single bit with some probability of success
def sample_bernoulli_float(prob: T, constant_time: bool) -> bool:
    if prob == 1: #
        return True
```

<sup>&</sup>lt;sup>1</sup>See new changes with git diff f5bb719..af962ed rust/src/traits/samplers/bernoulli/mod.rs

```
# prepare for sampling first heads index by coin flipping
6
      max_coin_flips = usize.exact_int_cast(T.EXPONENT_BIAS) + usize.exact_int_cast(
         T.MANTISSA_BITS
8
         #
10
      # find number of bits to sample, rounding up to nearest byte (smallest sample size)
11
12
      buffer_len = max_coin_flips.inf_div(8) #
13
      # repeatedly flip fair coin and identify 0-based index of first heads
14
      first_heads_index = sample_geometric_buffer( #
15
16
          buffer_len, constant_time
17
18
      # if no events occurred, return early
19
20
      if first_heads_index is None: #
          return False
21
22
      # find number of zeroes in binary rep. of prob
23
      leading_zeroes = (
24
          T.EXPONENT_BIAS - 1 - prob.raw_exponent()
25
26
27
      # case 1: index into the leading zeroes
28
      if first_heads_index < leading_zeros: #</pre>
29
          return False
30
31
      # case 2: index into implicit bit directly to left of mantissa
32
      if first_heads_index == leading_zeroes: #
33
34
          return prob.raw_exponent() != 0
35
      # case 3: index into out-of-bounds/implicitly-zero bits
36
      if first_heads_index > leading_zeroes + T.MANTISSA_BITS: #
37
          return False
38
39
      # case 4: index into mantissa
40
      mask = 1 << (T.MANTISSA_BITS + leading_zeroes - first_heads_index)</pre>
41
      return (prob.to_bits() & mask) != 0
```

#### Postcondition

**Definition 0.1.** For any setting of the input parameters prob of type T restricted to [0, 1], and constant\_time of type bool, sample\_bernoulli\_float either

- raises an exception if there is a lack of system entropy,
- returns out where out is  $\top$  with probability prob, otherwise  $\bot$ .

If constant\_time is set, the implementation's runtime is constant.

### 0.2 Proof

*Proof.* To show the correctness of sample\_bernoulli we observe first that the base-2 representation of prob is of the form

```
leading_zeroes || implicit_bit || mantissa || trailing_zeroes
```

and is represented exactly as a normal floating-point number. The IEEE-754 standard represents a normal floating-point number using an exponent E, and a mantissa m, using a base-2 analog of scientific notation.

**Definition 0.2** (Floating-Point Number). A  $(k,\ell)$ -bit floating-point number z is represented as

$$z = (-1)^s \cdot (B.M) \cdot (2^E)$$

where

- s is used to represent the sign of z
- B is the implicit bit; 1 for normal floating-point numbers and 0 for subnormal floating point numbers
- $M \in \{0,1\}^k$  is a k-bit string representing the part of the mantissa to the right of the radix point, i.e.,

$$1.M = \sum_{i=1}^{k} M_i 2^{-i}$$

•  $E \in \mathbb{Z}$  represents the *exponent* of z. When  $\ell$  bits are allocated to representing E, then  $E \in [-(2^{\ell-1} - 2), 2^{\ell-1}] \cap \mathbb{Z}$ . Note that the range of E is  $2^{\ell} - 2$  rather than  $2^{\ell}$  as the remaining to numbers are used to represent special floating point values. When  $E = -(2^{\ell-1} - 2)$ , then the floating point number is considered *subnormal*.

We now use the technique for arbitrarily biasing a coin in 2 expected tosses as a building block. Recall that we can represent the probability prob as  $\operatorname{prob} = \sum_{i=0}^\infty \frac{a_i}{2^{i+1}}$  for  $a_i \in \{0,1\}$ , where  $a_i$  is the zero-indexed i-th significant bit in the binary expansion of prob. Then let  $I \sim Geom(0.5)$  and observe that the random variable  $a_I$  is an exact Bernoulli sample with probability prob since  $P(a_I=1) = \sum_{i=0}^\infty P(a_i=1|I=i)P(I=i) = \sum_{i=1}^\infty a_i \cdot \frac{1}{2^{i+1}} = \operatorname{prob}$ . It is therefore sufficient to show that for any  $(k,\ell)$ -bit float  $\operatorname{prob} = \sum_{i=0}^\infty \frac{a_i}{2^{i+1}}$ , sample\_bernoulli returns the value  $a_I$  with  $I \sim Geom(0.5)$ .

First, we observe that by line 3, if prob = 1.0 then sample\_bernoulli returns true which is correct by definition of a Bernoulli random variable. Otherwise, the variable max\_coin\_flips is computed to be the value T::EXPONENT\_BIAS+T::MANTISSA\_BITS which equals  $2^{\ell-1}-1+k$  for any  $(k,\ell)$ -bit float. Since prob has finite precision, there is some j for which  $a_i=0$  for all i>j. For all  $(k,\ell)$ -bit floating-point numbers,  $j\leq 2^{\ell-1}-1+k$  by definition. Then sample\_bernoulli calls sample\_geometric\_buffer with a buffer of length  $\lceil \frac{\max_{coin_flips}}{8} \rceil$  bytes (as shown in lines 9 and 12) which returns None if and only if  $I>8 \cdot \lceil \frac{2^{\ell-1}-1+k}{8} \rceil$ , where  $I\sim Geom(0.5)$  (by Theorem 2.1). In this case, since I>j this index appears in the trailing\_zeroes part of the binary expansion of prob and should always return false, i.e.,  $a_I=0$  for all I>j. We can therefore restrict our attention to when sample\_geometric\_buffer returns an index  $I\leq \max_{coin_flips}$  and show that sample\_bernoulli always returns  $a_I$ .

Assuming that sample\_geometric\_buffer returns some I < j, sample\_bernoulli computes the number of leading zeroes in the binary expansion of prob to be leading\_zeroes = T::EXPONENT\_BIAS - 1 - raw\_exponent(prob), where raw\_exponent(prob) is the value stored in the  $\ell$  bits of the exponent. This value is correct by the specification of a  $(k,\ell)$ -bit float. sample\_bernoulli then matches on the value first\_heads\_index corresponding to  $I \sim Geom(0.5)$  returned by the function sample\_geometric\_buffer:

#### Case 1 (first\_heads\_index < leading\_zeroes).

This corresponds to sample\_geometric\_buffer returning a value I such that  $a_I$  indexes into the leading\_zeroes part of the prob variable's binary expansion. Therefore, for any  $I < \text{leading\_zeroes}$ , it follows that  $a_I = 0$  and we should return false. In this case, sample\_bernoulli returns false.

# $\mathbf{Case}\ \mathbf{2}\ (\mathtt{first\_heads\_index} == \mathtt{leading\_zeroes}).$

This corresponds to sample\_geometric\_buffer returning a value I such that  $a_I$  indexes into the implicit\_bit part of the prob variable's binary expansion. When prob is a normal floating point value, i.e.,  $E \neq -(2^{\ell-1}-2)$  then the implicit bit  $a_I = 1$ . Otherwise, when prob is a subnormal floating point value, i.e.,  $E = -(2^{\ell-1}-2)$ , the implicit bit  $a_I = 0$ . Since raw\_exponent(prob) corresponds to the exponent E for any  $(k, \ell)$ -bit floating point number prob, sample\_bernoulli returns true when raw\_exponent(prob)  $\neq 0$  and false otherwise.

Case 3 (leading\_zeroes+T::MANTISSA\_BITS < I). This corresponds to the case where sample\_geometric\_buffer returns a value I where I > j, but  $I < \max\_{coin\_flips}$  and therefore  $a_I$  indexes into the trailing zeroes. In this case, sample\_bernoulli returns false since  $a_I = 0$  for all bits in the trailing\_zeroes part of prob's

binary expansion.

Case 4 (leading\_zeroes < first\_heads\_index < leading\_zeroes + T::MANTISSA\_BITS). This corresponds to sample\_geometric\_buffer returning a value I such that  $a_I$  indexes into the mantissa

Inis corresponds to sample\_geometric\_buffer returning a value I such that  $a_I$  indexes into the mantissa part of the prob variable's binary expansion. In this case, sample\_bernoulli left-shifts the value 1 by (MANTISSA\_BITS + leading\_zeroes - first\_heads\_index) digits, the index into the mantissa corresponding to the digit  $a_I$  in the binary representation of prob. Since the operation between the left-shifted 1 and the binary representation of prob at that position is a bitwise AND, if the bit in question is 1 (matching the left-shifted 1), sample\_bernoulli will return true. Otherwise, sample\_bernoulli will return false.

Therefore, for any value of prob, the function sample\_bernoulli either raises an exception or returns the value true with probability exactly prob.