fn make_stable_truncate

Michael Shoemate

May 21, 2025

This proof resides in "contrib" because it has not completed the vetting process.

Proves soundness of make_stable_truncate in mod.rs at commit f5bb719 (outdated1).

1 Hoare Triple

Precondition

Caller Verified

None

Function

```
def make_stable_truncate(
      input_domain: DslPlanDomain,
      input_metric: FrameDistance[SymmetricIdDistance],
      plan: DslPlan,
  ) -> Transformation[
      DslPlanDomain,
      DslPlanDomain,
      FrameDistance[SymmetricIdDistance],
      FrameDistance[SymmetricDistance],
9
10 ]:
      # the identifier is protected from changes, so we can use the identifier from the input
11
      metric
12
      # instead of the identifier from the middle_metric to match truncations
      input, truncations, truncation_bounds = match_truncations(
14
          plan, input_metric[0].identifier
15
16
17
      if truncations.is_empty():
          return ValueError("failed to match truncation")
18
19
      t_prior = input.make_stable(input_domain, input_metric)
20
      middle_domain, middle_metric = t_prior.output_space()
21
22
      for bound in truncation_bounds:
23
          for key in bound.by:
              # raises if the key is not infallible row-by-row
25
               make_stable_expr(
26
                   WildExprDomain(
27
28
                       columns=middle_domain.series_domains,
                       context = Context. RowByRow,
```

 $^{^1\}mathrm{See}$ new changes with git diff f5bb719..cde9e54 rust/src/transformations/make_stable_lazyframe/truncate/mod.rs

```
30
31
                   PartitionDistance(middle_metric[0]),
32
                   key,
33
               )
34
      output_domain = middle_domain.clone()
35
36
       for truncation in truncations: #
           output_domain = truncate_domain(output_domain, truncation)
37
38
      def function(plan: DslPlan) -> DslPlan:
39
           for truncation in truncations:
40
               match truncation:
41
                   case Truncation.Filter(predicate):
42
                        plan = DslPlan.Filter(
43
44
                            input=plan,
45
                            predicate=predicate,
46
47
                   case Truncation.GroupBy(keys, aggs):
48
                        plan = DslPlan.GroupBy(
49
50
                            input=plan,
                            keys=keys,
                            aggs=aggs,
52
                            apply=None,
53
                            maintain_order=False,
54
                            options=GroupbyOptions.default(),
55
56
           return plan
57
58
       def stability_map(id_bounds: Bounds) -> Bounds:
59
60
           total_num_ids = id_bounds.get_bound({}).per_group
61
62
           # each truncation is used to derive row bounds
63
           new_bounds = []
64
65
           for truncation_bound in truncation_bounds: #
               # each truncation is used to derive row bounds
66
67
               new_bounds.append(
                   truncate_id_bound( #
68
69
                        id_bounds.get_bound(truncation_bound.by), #
70
                        truncation_bound,
71
                        total_num_ids,
72
               )
73
           return Bounds(new_bounds)
74
75
      t_truncate = Transformation.new(
76
77
           middle_domain,
           output_domain,
78
           Function.new(function),
79
80
           middle_metric,
           FrameDistance(SymmetricDistance),
81
82
           StabilityMap.new_fallible(stability_map),
83
      return t_prior >> t_truncate
```

Postcondition

Theorem 1.1. For every setting of the input parameters (input_domain, input_metric, plan) to make_stable_truncate such that the given preconditions hold,

make_stable_truncate raises an exception (at compile time or run time) or returns a valid transformation. A valid transformation has the following properties:

1. (Appropriate output domain). For every element x in input_domain, function(x) is in output_domain

or raises a data-independent runtime exception.

2. (Stability guarantee). For every pair of elements x, x' in input_domain and for every pair (d_in,d_out), where d_in has the associated type for input_metric and d_out has the associated type for output_metric, if x, x' are d_in-close under input_metric, stability_map(d_in) does not raise an exception, and stability_map(d_in) \leq d_out, then function(x), function(x') are d_out-close under output_metric.

Appropriate Output Domain. By line 26, the grouping keys are stable row-by-row transformations of the data, therefore the preconditions of 36 are satisfied. By the postcondition of 36, for every element x in input_domain, function(x) is in output_domain or raises a data-independent runtime exception.

Stability guarantee. By line 60, total_num_ids is the total number of ids an individual may contribute to a dataset:

$$d_{\text{SymId}}(\text{function}(x), \text{function}(x')) \le \text{total_num_ids}.$$
 (1)

By the postcondition of match_truncations, for each truncation_bound on line 65,

$$\max_{id} ||d_{\mathrm{Sym}}(\mathtt{function}(x)_{id,g},\mathtt{function}(x')_{id,g})||_{\infty} \leq \mathtt{truncation.per_group},$$

$$\max_{id} ||d_{\mathrm{Sym}}(\mathtt{function}(x)_{id,g},\mathtt{function}(x')_{id,g})||_{0} \leq \mathtt{truncation.num_groups},$$

where g denotes the group when partitioned by truncation_bound.by.

The preconditions of 68 are satisfied on line 69 (id_bound.by is equal to truncation.by), so by the postcondition of truncate_id_bound,

```
||d_{\mathrm{Sym}}(\mathtt{function}(x)_g,\mathtt{function}(x')_g)||_{\infty} \leq \mathtt{row\_bound.per\_group},
||d_{\mathrm{Sym}}(\mathtt{function}(x)_g,\mathtt{function}(x')_g)||_{0} \leq \mathtt{row\_bound.num\_groups},
```

where row_bound denotes the return value.

For each truncation on line 65, truncate_id_bound on line 68 computes upper bounds on the resulting distance between adjacent datasets. All acquired bounds are valid upper bounds on the distance between the two datasets, by the postcondition of match_truncations, that each truncation does not invalidate the truncation bounds of the previous truncations.

It is shown that for every pair of elements x, x' in input_domain and for every pair (d_in,d_out), where d_in has the associated type for input_metric and d_out has the associated type for output_metric, if x, x' are d_in-close under input_metric, stability_map(d_in) does not raise an exception, and stability_map(d_in) \leq d_out, then function(x), function(x') are d_out-close under output_metric.