Directed homotopy type theory

Paige Randall North

Utrecht University

1 December 2022

Outline

Introduction

Directed homotopy theory

A first attempt (the hom-type former)

A second attempt (modal version)

Outline

Introduction

Directed homotopy theory

A first attempt (the hom-type former)

A second attempt (modal version)

Goal

To develop a directed type theory.

Goal

To develop a directed type theory.

Goal

To develop a directed type theory.

To formalize theorems about:

Higher category theory

Goal

To develop a directed type theory.

- Higher category theory
- Directed homotopy theory

Goal

To develop a directed type theory.

- Higher category theory
- Directed homotopy theory
 - Concurrent processes
 - Rewriting
 - Neural networks
 - **.**..

Goal

To develop a directed type theory.

- Higher category theory
- Directed homotopy theory
 - Concurrent processes
 - Rewriting
 - Neural networks
 - **.**..

The Id-type

Id-type

In MLTT, the *identity type* internalizes the notion of equality.

- ▶ There is a type $Id_T(a, b)$ for any type T and a, b : T
- ▶ Inductively generated by $refl_a$: $Id_T(a, a)$

The Id-type

Id-type

In MLTT, the *identity type* internalizes the notion of equality.

- ▶ There is a type $Id_T(a, b)$ for any type T and a, b : T
- ▶ Inductively generated by $refl_a : Id_T(a, a)$

We can show:

- ▶ The relation $Id_T(a, b)$ is (reflexive), transitive, and symmetric
- The identity type can be iterated:
 - $p, q : \operatorname{Id}_{\mathcal{T}}(a, b), \quad \alpha, \beta : \operatorname{Id}_{\operatorname{Id}_{\mathcal{T}}(a, b)}(p, q), \quad \dots$
- ▶ It is possible for $Id_{Id_T(a,b)}(p,q)$ to be empty.

Thus the Id-type constructor endows each type with the structure of an ∞ -groupoid, or *space*.

The Id-type

Id-type

In MLTT, the *identity type* internalizes the notion of equality.

- ▶ There is a type $Id_T(a, b)$ for any type T and a, b : T
- ▶ Inductively generated by $refl_a : Id_T(a, a)$

We can show:

- ▶ The relation $Id_T(a, b)$ is (reflexive), transitive, and symmetric
- ► The identity type can be iterated:

$$p, q : \operatorname{Id}_{\mathcal{T}}(a, b), \quad \alpha, \beta : \operatorname{Id}_{\operatorname{Id}_{\mathcal{T}}(a, b)}(p, q), \quad \dots$$

▶ It is possible for $Id_{Id_{\tau}(a,b)}(p,q)$ to be empty.

Thus the Id-type constructor endows each type with the structure of an ∞ -groupoid, or *space*.

→ homotopy type theory

What does directed mean? (Syntactically)

Id-type is symmetric/undirected

For any type T, and terms a, b : T, there is a function

$$i: \operatorname{Id}_{\mathcal{T}}(a,b) \to \operatorname{Id}_{\mathcal{T}}(b,a)$$

so that any path $p : Id_T(a, b)$ can be inverted to obtain a path $ip : Id_T(b, a)$.

What does directed mean? (Syntactically)

Id-type is symmetric/undirected

For any type T, and terms a, b : T, there is a function

$$i: \operatorname{Id}_{\mathcal{T}}(a,b) \to \operatorname{Id}_{\mathcal{T}}(b,a)$$

so that any path $p : Id_T(a, b)$ can be inverted to obtain a path $ip : Id_T(b, a)$.

Can think of identity terms as undirected paths

What does directed mean? (Syntactically)

Id-type is symmetric/undirected

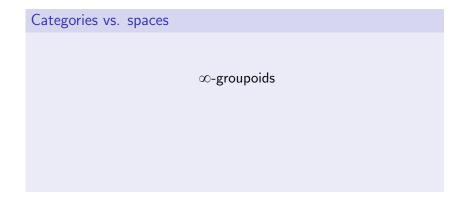
For any type T, and terms a, b : T, there is a function

$$i: \operatorname{Id}_{\mathcal{T}}(a,b) \to \operatorname{Id}_{\mathcal{T}}(b,a)$$

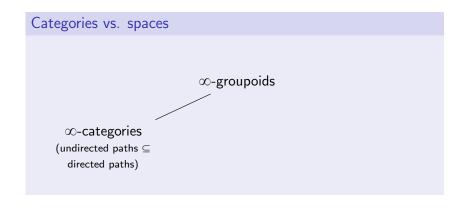
so that any path $p : Id_T(a, b)$ can be inverted to obtain a path $ip : Id_T(b, a)$.

- Can think of identity terms as undirected paths
- ► Can we design a type former of *directed* paths that resembles Id but without its inversion operation *i*?

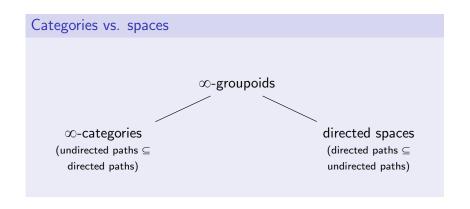
What does directed mean? (Semantically)



What does directed mean? (Semantically)



What does directed mean? (Semantically)



Outline

Introduction

Directed homotopy theory

A first attempt (the hom-type former)

A second attempt (modal version)

Directed spaces

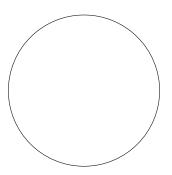
Rough definition

A space together with a subset of its paths that are marked as 'directed'

Directed spaces

Rough definition

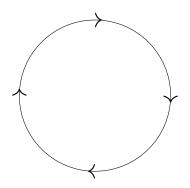
A space together with a subset of its paths that are marked as 'directed'

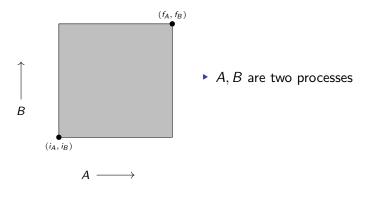


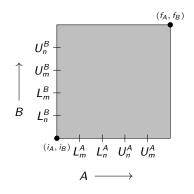
Directed spaces

Rough definition

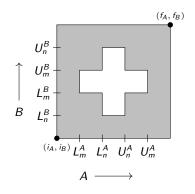
A space together with a subset of its paths that are marked as 'directed'



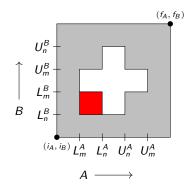




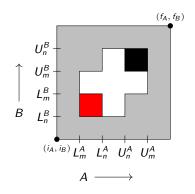
- ► A, B are two processes
- ▶ *m*, *n* are two memory locations
- which can be locked (L) or unlocked (U) by each process



- ► A, B are two processes
- ▶ *m*, *n* are two memory locations
- which can be locked (L) or unlocked (U) by each process

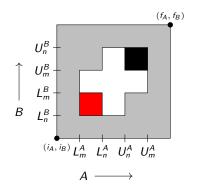


- ► A, B are two processes
- ▶ *m*, *n* are two memory locations
- which can be locked (L) or unlocked (U) by each process



- ► A, B are two processes
- ▶ *m*, *n* are two memory locations
- which can be locked (L) or unlocked (U) by each process

Concurrent processes can be represented by directed spaces.



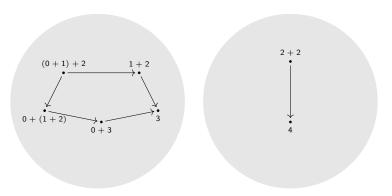
- ► A, B are two processes
- ▶ *m*, *n* are two memory locations
- which can be locked (L) or unlocked (U) by each process

Fundamental questions:

- Which states are safe?
- Which states are reachable?

Application: Term rewriting systems

Consider expressions in the monoid $N = (\mathbb{N}, 0, +)$.



▶ Interested in families D(n) indexed by $n \in N$ for which rewrite rules $n \to m$ induce rewrites $D(n) \to D(m)$

Outline

Introduction

Directed homotopy theory

A first attempt (the hom-type former)

A second attempt (modal version)

Rules for hom: core and op

$$\frac{T \text{ type}}{T^{\text{core}} \text{ type}}$$

$$\frac{\textit{T} \; \mathsf{type}}{\textit{T}^{\mathsf{op}} \; \mathsf{type}}$$

$$\frac{T \text{ type} \qquad t: T^{\mathsf{core}}}{\mathit{it}: T}$$

$$\frac{T \text{ type} \qquad t : T^{\text{core}}}{i^{\text{op}}t : T^{\text{op}}}$$

Rules for hom: formation

Id formation

$$\frac{T \ \, \mathsf{type} \quad \, s:T \quad \, t:T}{\mathsf{Id}_T(s,t) \ \, \mathsf{type}}$$

hom formation

$$\frac{T \quad \mathsf{type} \quad s: T^{\mathsf{op}} \quad t: T}{\mathsf{hom}_T(s,t) \quad \mathsf{type}}$$

Rules for hom: introduction

Id introduction

$$\frac{T \text{ type } t: T}{r_t: \text{Id}_T(t, t) \text{ type}}$$

hom introduction

$$\frac{\textit{T} \; \; \mathsf{type} \quad \; t: \textit{T}^{\mathsf{core}}}{1_t: \mathsf{hom}_{\mathcal{T}}(\textit{i}^{\mathsf{op}}\textit{t}, \textit{it}) \; \; \mathsf{type}}$$

Rules for hom: right elimination and computation

Id elimination and computation

$$\begin{split} & T \quad \mathsf{type} \\ & \frac{s: T, t: T, f: \mathsf{Id}_T(s, t) \vdash D(f) \quad \mathsf{type} \quad \quad s: T \vdash d(s) : D(r_s)}{s: T, t: T, f: \mathsf{Id}_T(s, t) \vdash j(d, f) : D(f)} \\ & s: T \vdash j(d, r_s) \equiv d(s) : D(r_s) \end{split}$$

hom right elimination and computation

Rules for hom: left elimination and computation

Id elimination and computation

$$T \text{ type}$$

$$\frac{s: T, t: T, f: \operatorname{Id}_{T}(s, t) \vdash D(f) \text{ type } s: T \vdash d(s): D(r_{s})}{s: T, t: T, f: \operatorname{Id}_{T}(s, t) \vdash j(d, f): D(f)}$$

$$s: T \vdash j(d, r_{s}) \equiv d(s): D(r_{s})$$

hom left elimination and computation

Syntactic results

▶ Transport: for a dependent type $t : T \vdash S(t)$:

```
\begin{aligned} t: T^{\mathsf{core}}, t': T, f: \mathsf{hom}_{\mathcal{T}}(i^{\mathsf{op}}t, t'), s: S(it) \\ &\vdash \mathsf{transport}_{\mathsf{R}}(s, f): S(t') \end{aligned}
```

Syntactic results

▶ Transport: for a dependent type $t : T \vdash S(t)$:

```
\begin{aligned} t: T^{\mathsf{core}}, t': T, f: \mathsf{hom}_{\mathcal{T}}(i^{\mathsf{op}}t, t'), s: S(it) \\ &\vdash \mathsf{transport}_{\mathsf{R}}(s, f): S(t') \end{aligned}
```

► Composition: for a type *T*:

```
r: T^{op}, s: T^{core}, t: T, f: \mathsf{hom}_{\mathcal{T}}(r, is), g: \mathsf{hom}_{\mathcal{T}}(i^{op}s, t) \\ \vdash \mathsf{comp}_{\mathsf{R}}(f, g): \mathsf{hom}_{\mathcal{T}}(r, t)
```

The interpretation

- ▶ Dependent types are represented by functors $T : \Gamma \rightarrow Cat$.
- $ightharpoonup T^{core}$ is represented by the objects of T
- ▶ T^{op} is represented by the opposite of T
- ▶ hom is represented by hom : $T^{op} \rightarrow T \rightarrow Set$
- ▶ 1• is represented by the identity morphisms
- There are two computation rules since in $\Sigma_{x,y\, \mathsf{hom}(x,y)}$, given a $f: \mathsf{hom}(x,y)$, there are two arrows from an identity to $f: \mathsf{postcomposing}\ 1_x$ with f and precomposing 1_y with f

The interpretation

- ▶ Dependent types are represented by functors $T : \Gamma \to Cat$.
- $ightharpoonup T^{core}$ is represented by the objects of T
- ▶ T^{op} is represented by the opposite of T
- ▶ hom is represented by hom : $T^{op} \rightarrow T \rightarrow Set$
- ▶ 1. is represented by the identity morphisms
- There are two computation rules since in $\Sigma_{x,y \text{ hom}(x,y)}$, given a f: hom(x,y), there are two arrows from an identity to f: postcomposing 1_x with f and precomposing 1_y with f

Point

In this model, terms of hom-types are not always invertible, so they are not always invertible in the type theory.

Outline

Introduction

Directed homotopy theory

A first attempt (the hom-type former)

A second attempt (modal version)

Problems with the first attempt

The functions op, core are problematic.

- ▶ There are no introduction rules for T^{core} or T^{op}
- Including the identity type causes the hom type to collapse to the identity type on elements of T^{core}.
- We need a op function on the universe; e.g. the 1-functor op: Cat → Cat. This does not exist for 2-categories and up.

Modal directed homotopy type theory

Solution

The solution is to properly account for core, op, etc.

- syntactically: modal type theory
- semantically: multisided weak factorization systems (jww van den Berg, McCloskey)

(The theory of multisided weak factorization systems accounts for multiple fibrations – e.g. Grothendieck fibrations, opfibrations, isofibrations – in one category and how they interact, inspired by the two-sided fibrations of Street.)

Modal directed type theory

The idea:

▶ Forget about having a type constructors $T \mapsto T^{op}$, T^{core}

$$x: R^{op}, y: S^{core} \vdash T$$

Instead op and core should be descriptions of how variables can be used.

$$x : R, y : S \vdash T$$

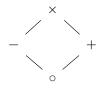
 Compare with linear / n-use type theory (Reed, McBride, Licata-Shulman-Riley, Abel...)

$$x\stackrel{\scriptscriptstyle 0}{:}R,y\stackrel{\scriptscriptstyle 3}{:}S\vdash T$$

A modal approach

Following Licata-Shulman-Riley's (2017) modal framework for the sequent calculus.

four modes that form a lattice:



- with an multiplication
 - + is the identity
 - \circ is almost an absorbing element: $\circ a = a \circ = \circ$ except $\circ \times = \times$
 - \star x is almost an absorbing element: $\star a = a \times = \times$ except $\star \circ = \circ$
 - ightharpoonup is a root of unity: --=+.
- This is a sub-monoidal category of [Cat, Cat]

Orientations

Contexts are annotated by orientations. We write:

$$w \stackrel{\times}{:} A, x \stackrel{+}{:} B, y \stackrel{-}{:} C, z \stackrel{\circ}{:} D \vdash T$$

or

$$w : A, x : B, y : C, z : D \vdash_{x,y^-,z^{\circ}} T$$

Orientations

Contexts are annotated by *orientations*. We write:

$$w\stackrel{\times}{:} A, x\stackrel{+}{:} B, y\stackrel{-}{:} C, z\stackrel{\circ}{:} D \vdash T$$

or

$$w : A, x : B, y : C, z : D \vdash_{x,y^-,z^{\circ}} T$$

Orientations on contexts inherit an order from the lattice, so we use the following rule.

$$\frac{\Gamma \vdash_{\ell} \mathcal{J} \qquad m \leqslant \ell \ \Gamma\text{-ort}}{\Gamma \vdash_{m} \mathcal{J}} \text{ Ort-Subst}$$

Structural rules

$$\frac{\Gamma, x : \sigma, \Delta \operatorname{ctx}}{\Gamma, x : \sigma, \Delta \vdash_{x} x : \sigma} \operatorname{Var}$$

$$\frac{\Gamma, \Delta \vdash_{\ell, m} \mathcal{J} \qquad \Gamma \vdash_{\ell} \rho \operatorname{type}}{\Gamma, x : \rho, \Delta \vdash_{\ell, m} \mathcal{J}} \operatorname{Weak}$$

$$\frac{\Gamma, x : \rho, \Delta \vdash_{\ell, m} \mathcal{J}}{\Gamma, \Delta \vdash_{\ell} U : \rho \qquad n \leqslant \ell \ \Gamma \operatorname{-ort} \qquad n \leqslant \omega \cdot \ell \ \Gamma \operatorname{-ort}}{\Gamma, \Delta [U/x] \vdash_{n, m} T[U/x]} \operatorname{Subst}$$

The new hom-type

hom formation

$$\frac{\Gamma \vdash_{\ell} A \text{ type}}{\Gamma, x: A, y: A \vdash_{\ell, x^-, y} \mathsf{hom}_A(x, y) \text{ type}} \mathsf{hom}\text{-}\mathsf{FORM}$$

hom introduction

$$\frac{\Gamma \vdash_{\ell} A \text{ type}}{\Gamma, x : A \vdash_{\ell, x^{\circ}} 1_{x} : \mathsf{hom}_{A}(x, x) \text{ type}} \mathsf{hom}\text{-}\mathsf{INTRO}$$

The new Id-type

Id° formation

$$\frac{\Gamma \vdash_{\ell} A \text{ type}}{\Gamma, x: A, y: A \vdash_{\ell, x^{\circ}, y^{\circ}} \mathsf{Id}^{\circ}_{A}(x, y) \text{ type}} \mathsf{Id}^{\circ}\text{-}\mathsf{FORM}$$

Id° introduction

$$\frac{\Gamma \vdash_{\ell} A \text{ type}}{\Gamma, x : A \vdash_{\ell, x^{\circ}} \mathsf{refl}_{x} : \mathsf{Id}^{\circ}_{A}(x, x) \text{ type}} \ \mathsf{Id}^{\circ}\text{-}\mathsf{INTRO}$$

The new Id-type

Id[×] formation

$$\frac{\Gamma \vdash_{\ell} A \text{ type}}{\Gamma, x : A, y : A \vdash_{\ell, x^{\times}, y^{\times}} \mathsf{Id}^{\times}(x, y) \text{ type}} \mathsf{Id}^{\times}\text{-}\mathsf{FORM}$$

Id× introduction

$$\frac{\Gamma \vdash_{\ell} A \text{ type}}{\Gamma, x : A \vdash_{\ell, x^{\times}} \text{refl}_{x} : \text{Id}^{\times}(x, x) \text{ type}} \text{ Id}^{\times}\text{-INTRO}$$

Inside the type theory

What can we do?

- Find inclusions Id^o(a, b) → hom(a, b) → Id[×](a, b), but not hom(a, b) → Id^o(a, b).
- Transport and compose.

What can't we do?

▶ Form all Σ types (F types in LSR). For example, the one you should get from $a: A \vdash_{a \vdash op(a)} 1$ is A^{op} .

Future work

- Connect this formally with the intended semantics (jww van den Berg-McCloskey and Ahrens-van der Weide)
- Understand which Σ types exist.
- ► Π-types, directed univalence, higher inductive types, etc...

Thank you!