# An introduction to homotopy type theory

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16 September 2020

## Outline

Type theory

Homotopy type theory

Direction

# Constructivism (1930 - 1970)

## Moral 1: Proofs should be programs.

The proof of a statement like "For every foo, there exists a bar," should be an algorithm that constructs a bar from a foo.

(Avoid axiom of choice and the law of excluded middle.)

## Moral 2: Identify propositions with underlying math objects.

For example, a theorem like "A space X is contractible," is identified with the space of pairs (x,s) where  $x \in X$  and s is a section of  $\pi_x$ ,

$$\bullet \longrightarrow X^{[0,1]}$$

$$\downarrow ev_0 \times ev_1$$

$$* \times X \xrightarrow{\times \times id_X} X \times X$$

and proofs of this theorem are identified with points of the space.

# Types as propositions, sets, or program specifications

Types	Propositions	Sets	Program specifications
A TYPE	A is a proposition	A is a set	A is a program specification
a : A	a is a witness of $A$	$a \in A$	a meets A
	$A \wedge B$	$A \times B$	Do $A$ and $B$
	$A \vee B$	A + B	Do A or B
	$A \Longrightarrow B$	$A \rightarrow B$	Turn any program that does $A$
			into a program that does $B$
	T	*	Do nothing
	⊥	Ø	Do the impossible
	$\neg A$	$A \rightarrow \emptyset$	Turn any program that does $A$
			into an impossible program

# Types as propositions, sets, or program specifications

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	$A \vee B$	A + B	Do A or B
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#### Dependent types

- Types and terms often depend on 'contexts'.
- $ightharpoonup n: \mathbb{N} \vdash 2n: \mathbb{N}$
- ▶  $n : \mathbb{N} \vdash \mathsf{isEven}(2n)$
- $\triangleright x: X \vdash \mathsf{Sections}(\pi_X)$

# Martin-Löf Type Theory (1970's)

System of dependent type theory with type and term formers generally given *inductively* by 4 rules.

▶ **B**-formation

$$\overline{\Gamma \vdash \mathbb{B} \,\, \mathrm{TYPE}}$$

B-introduction

$$\overline{0:\mathbb{B}}$$
  $\overline{1:\mathbb{B}}$ 

B-elimination

$$\frac{\Gamma, b: \mathbb{B} \vdash D(b) \qquad \Gamma \vdash z: D(0) \qquad \Gamma \vdash o: D(1)}{\Gamma, b: \mathbb{B} \vdash j(b): D(b)}$$

▶ B-computation

$$\frac{\Gamma, b: \mathbb{B} \vdash D(b) \qquad \Gamma \vdash z: D(0) \qquad \Gamma \vdash o: D(1)}{\Gamma \vdash j(0) \equiv z: D(0) \qquad \Gamma \vdash j(1) \equiv o: D(1)}$$



# Another example: coproduct

+-formation

$$\frac{\Gamma \vdash A \text{ TYPE} \qquad \Gamma \vdash B \text{ TYPE}}{\Gamma \vdash A + B \text{ TYPE}}$$

+-introduction

$$\frac{\Gamma \vdash a : A}{\Gamma \vdash \mathsf{inl}(a) : A + B} \quad \frac{\Gamma \vdash b : B}{\Gamma \vdash \mathsf{inr}(b) : A + B}$$

+-elimination

$$\frac{\Gamma, p : A + B \vdash D(p) \text{ TYPE}}{\Gamma, a : A \vdash d(a) : D(\text{inI}(a)) \qquad \Gamma, b : B \vdash e(b) : D(\text{inr}(b))}{\Gamma, p : A + B \vdash f(p) : D(p)}$$

+-computation

$$\frac{\Gamma, p : A + B \vdash D(p) \text{ TYPE}}{\Gamma, a : A \vdash d(a) : D(\mathsf{inl}(a)) \qquad \Gamma, b : B \vdash e(b) : D(\mathsf{inr}(b))}$$

$$\frac{\Gamma, a : A \vdash d(a) \equiv f(\mathsf{inl}(a)) : D(\mathsf{inl}(a))}{\Gamma, b : B \vdash e(b) \equiv f(\mathsf{inr}(b)) : D(\mathsf{inr}(b))}$$

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## Another example: natural numbers

N-formation

$$\overline{\Gamma \vdash \mathbb{N} \text{ TYPE}}$$

▶ N-introduction

$$\frac{\Gamma \vdash n : \mathbb{N}}{0 : \mathbb{N}} \quad \frac{\Gamma \vdash s(n) : \mathbb{N}}{\Gamma \vdash s(n) : \mathbb{N}}$$

▶ N-elimination

$$\frac{\Gamma, n : \mathbb{N} \vdash D(n)}{\Gamma, n : \mathbb{N}, p : D(n) \vdash q(n, p) : D(s(n))}$$
$$\frac{\Gamma, n : \mathbb{N} \vdash f(n) : D(n)}{\Gamma, n : \mathbb{N} \vdash f(n) : D(n)}$$

▶ N-computation

$$\frac{\Gamma, n : \mathbb{N} \vdash D(n)}{\Gamma, n : \mathbb{N}, p : D(n) \vdash q(n, p) : D(s(n))}$$

$$\frac{\Gamma \vdash z : D(0) \qquad \Gamma, n : \mathbb{N}, p : D(n) \vdash q(n, p) : D(s(n))}{\Gamma, n : \mathbb{N} \vdash f(s(n)) \equiv q(n, f(n)) : D(s(n))}$$

# The weird example: equality

Id-formation

$$\frac{A \text{ TYPE} \quad a: A \quad b: A}{\mathsf{Id}_{A}(a, b) \text{ TYPE}}$$

Id-introduction

$$\frac{a:A}{r_a: \mathsf{Id}_A(a,a)}$$

Id-elimination

$$\frac{a: A, b: A, p: \mathsf{Id}_{A}(a, b) \vdash D(a, b, p)}{a: A \vdash d(a): D(a, a, r(a))}$$

$$\frac{a: A, b: A, p: \mathsf{Id}_{A}(a, b) \vdash j(a, b, p): D(a, b, p)}{a: A, b: A, p: \mathsf{Id}_{A}(a, b) \vdash j(a, b, p): D(a, b, p)}$$

Id-computation

$$\frac{a: A, b: A, p: Id_{A}(a, b) \vdash D(a, b, p)}{a: A \vdash d(a): D(a, a, r(a))}$$
$$\frac{a: A \vdash j(a, a, r(a)) \equiv d(a): D(a, a, r(a))}{a: A \vdash j(a, a, r(a)) \equiv d(a): D(a, a, r(a))}$$

$$\frac{\Gamma, n : \mathbb{N} \vdash D(n)}{\Gamma, n : \mathbb{N}, p : D(n) \vdash q(n, p) : D(sn)}$$
$$\frac{\Gamma, n : \mathbb{N} \vdash f(n) : D(n)}{\Gamma, n : \mathbb{N} \vdash f(n) : D(n)}$$

$$\frac{m: \mathbb{N}, n: \mathbb{N} \vdash D(n)}{m: \mathbb{N}, n: \mathbb{N}, p: D(n) \vdash q(n, p): D(sn)}$$
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$$\frac{m: \mathbb{N}, n: \mathbb{N}, p: \mathbb{N} \vdash q(n, p): \mathbb{N}}{m: \mathbb{N}, n: \mathbb{N} \vdash \mathsf{sum}(m, n): \mathbb{N}}$$

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$$\frac{m: \mathbb{N} \vdash m: \mathbb{N}}{m: \mathbb{N}, n: \mathbb{N} \vdash sum(m, n): \mathbb{N}}$$

We can define addition:  $m : \mathbb{N}, n : \mathbb{N} \vdash \text{sum}(m, n) : \mathbb{N}$  using  $\mathbb{N}$ -elimination.

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$$\frac{n: \mathbb{N} \vdash D(n)}{n: \mathbb{N}, p: D(n) \vdash q(n, p): D(sn)}$$
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$$m: \mathbb{N}, n: \mathbb{N} \vdash sum(m, n): \mathbb{N}$$

$$\frac{n: \mathbb{N} \vdash 0 + n = n}{n: \mathbb{N}, p: 0 + n = n \vdash q(n, p): 0 + sn = sn}$$

$$n: \mathbb{N} \vdash f(n): 0 + n = n$$

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We can show that Id is symmetric:

$$a: A, b: A, p: Id_A(a, b) \vdash p^{-1}: Id_A(b, a).$$

$$\frac{a: A, b: A, p: Id_{A}(a, b) \vdash D(a, b, p)}{a: A \vdash d(a): D(a, a, r_{a})}$$

$$\frac{a: A, b: A, p: Id_{A}(a, b) \vdash j(a, b, p): D(a, b, p)}{a: A, b: A, p: Id_{A}(a, b) \vdash j(a, b, p): D(a, b, p)}$$

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 $a: A, b: A, p: \operatorname{Id}_{A}(a, b) \vdash \operatorname{Id}_{A}(b, a)$  $a: A \vdash d(a): \operatorname{Id}_{A}(a, a)$ 

 $\overline{a: A, b: A, p: Id_A(a, b) \vdash j(a, b, p): Id_A(b, a)}$ 

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$$a: A, b: A, p: \mathrm{Id}_A(a, b) \vdash \mathrm{Id}_A(b, a)$$
  
 $a: A \vdash r_a: \mathrm{Id}_A(a, a)$ 

$$a:A,b:A,p:\mathsf{Id}_A(a,b) \vdash j(a,b,p):\mathsf{Id}_A(b,a)$$

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We can show that Id is transitive:

$$a:A,b:A,c:A,p: \operatorname{Id}_A(a,b),q: \operatorname{Id}_A(b,c) \vdash p*q: \operatorname{Id}_A(a,c).$$

$$a : A, b : A, c : A, p : Id_A(a, b), q : Id_A(b, c) \vdash Id_A(a, c)$$
  
 $b : A \vdash p : Id_A(a, b)$ 

$$a:A,b:A,p: \mathrm{Id}_A(a,b) \vdash p*q: \mathrm{Id}_A(a,c)$$

## Outline

Type theory

Homotopy type theory

Directions

## From sets to homotopy types

#### Axiom: Uniqueness of Identity Proofs

For any p, q : a = b, we have p = q.

## Set model (folk)

Sets obey the rules of Martin-Löf type theory. Depedent types  $a:A \vdash B(a)$  TYPE correspond to indexed families  $\{B(a)\}_{a \in A}$  or functions  $B \to A$ .

$$Id_X(x,y) = \begin{cases} * & \text{if } x = y \\ \emptyset & \text{otherwise} \end{cases}$$

## Groupoid model (Hofmann & Streicher)

Groupoids obey the rules of Maritn-Löf type theory. Depedent types  $a: A \vdash B(a)$  TYPE correspond to functors  $A \to \mathcal{G}rp$  or isofibrations  $B \to A$ .

$$\operatorname{\mathsf{Id}}_{\mathcal{G}}(X,Y) = \operatorname{\mathsf{hom}}_{\mathbb{G}}(X,Y)$$

# Voevodsky's Univalent Foundations



#### Univalence axiom

There are universe types, and  $(X =_U Y) \rightarrow (X \simeq Y)$  is an equivalence.

#### Simplicial set model

Kan complexes obey the rules of Martin-Löf type theory. Depedent types  $a: A \vdash B(a)$  TYPE correspond to Kan fibrations  $B \rightarrow A$ .

 $\operatorname{Id}_{\mathcal{K}}(x,y)$  is the Kan complex of paths from x to y

# Dependent sums and products

We can take the dependent sum of  $a:A \vdash B(a)$  to get  $\vdash \Sigma_{a:A}B(a)$ . It has a canonical element for each pair a:A, b:B(a).

We can take the dependent product of  $a: A \vdash B(a)$  to get  $\vdash \Pi_{a:A}B(a)$ . It has a canonical element for each  $a: A \vdash b(a): B(a)$ .

Types	Propositions		Program specifications
$\Sigma_{a:A}B(a)$	$\exists_{a:A}B(a)$	$\bigcup_{a:A} B(a)$	Do $B(a)$ for some $a$
$\Pi_{a:A}B(a)$	$\forall_{a:A}B(a)$	$\Pi_{a:A}B(a)$	Do $B(a)$ for all $a$

# Connection with model category theory

Id-elimination

$$a:A,b:A,p: \operatorname{Id}_{A}(a,b) \vdash D(a,b,p)$$

$$a:A \vdash d(a):D(a,a,r(a))$$

$$a:A,b:A,p: \operatorname{Id}_{A}(a,b) \vdash j(a,b,p):D(a,b,p)$$

$$A \xrightarrow{d} D$$

$$\downarrow r \qquad \qquad \downarrow \pi$$

$$\operatorname{Id}(A) = \operatorname{Id}(A)$$

## Theorem (Gambino-Garner)

Any model of type theory comes with a weak factorization system  $(\mathcal{D}^{\square},^{\square}(\mathcal{D}^{\square})).$ 

# Connection with model category theory

Id-elimination

$$\begin{aligned} a:A,b:A,p: \mathrm{Id}_A(a,b) &\vdash D(a,b,p) \\ \underline{a:A\vdash d(a):D(a,a,r(a))} \\ \overline{a:A,b:A,p: \mathrm{Id}_A(a,b) \vdash j(a,b,p):D(a,b,p)} \end{aligned}$$

$$A \xrightarrow{d} D \\ \downarrow^r \qquad \downarrow^\pi \\ \mathrm{Id}(A) \xrightarrow{B} B$$

## Theorem (Gambino-Garner)

Any model of type theory comes with a weak factorization system  $(\mathcal{D}^{\square},^{\square}(\mathcal{D}^{\square})).$ 

## Outline

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# Synthetic homotopy theory

- Constructivize and computer-check classical homotopy theory.
  - Homotopy groups of spheres
  - Blakers-Massey theorem
  - Localization (Christensen)
  - Symmetry Book
- Invent homotopical mathematics
  - Higher groups (Buchholtz & Rijke)

# Homotopy levels

## Homotopy levels

A type is of h-level 0 if it is contractible and of h-level n+1 if its identity types are of h-level n. (So empty or contractible types are level 1, 'sets' are level 2, 'groupoids' are level 3...)

## Groups

A group is a set S with a specified element, unary and binary operations, and witnesses for the axioms.

$$Grp := \sum_{S:U,w:ishlevel(2,U),e:S,m:S\times S\to S,i:S\to S} \prod_{r,s,t:S} m(s,e) = s$$

$$\times m(e,s) = s \times m(s,i(s)) = s \times m(i(s),s) = e \times \dots$$

The type of groups is a 3-type.

# Homotopical higher category theory

We could define a *category* as having a set of objects and a set of morphisms.

## Univalent category

A univalent category consists of a type of objects O and a set  $\mathsf{hom}(X,Y)$  for every X,Y:O

- satisfying the axioms of a category, and
- ▶ such that the  $(X = Y) \rightarrow (X \cong Y)$  is an equivalence.

Under the simplicial set interpretation, these correspond to complete Segal spaces.

#### Proposotion

For two univalent categories  $\mathcal{C}, \mathcal{D}$ , the morphism  $(\mathcal{C} = \mathcal{D}) \to \mathcal{C} \simeq \mathcal{D}$  is an equivalence.

# Flavours of type theory

- Cubical type theory
  - Semantics in cubical sets
  - ▶ The Univalence Axiom is a theorem (more constructive).
- ▶ Type theory for  $(\infty, 1)$ -categories
- Directed homotopy type theory
- Two-level type theory
- Propositional type theory
- Modal type theories

## Initiality conjecture

#### Conjecture

A type theory T is the initial object of its models.

- Done for individual basic type theories
- Define models for different type theories by hand
- Problem is largely to define the terms in the conjecture.