#### Statistical Analysis Report (SAR)

# Effect of socioeconomic status of neighborhoods in mortality mortality rates after brain injury: retrospective cohort

**DOCUMENT: SAR-2023-004-BH-v01** 

From: Felipe Figueiredo To: Brennan Hickson

2023-02-10

## **TABLE OF CONTENTS**

1 ABBREVIATIONS	
2 CONTEXT	2
2.1 Objectives	2
3 METHODS	2
4 RESULTS	2
4.1 Study population and follow up	2
4.2 Effect of SES on mortality	8
5 OBSERVATIONS AND LIMITATIONS	10
6 CONCLUSIONS	10
7 REFERENCES	10
8 APPENDIX	11
8.1 Exploratory data analysis	11
8.2 Modeling strategy	14
8.3 Availability	17
8.4 Analytical dataset	17

#### Statistical Analysis Report (SAR)

# Effect of socioeconomic status of neighborhoods in mortality mortality rates after brain injury: retrospective cohort

#### Document version

Version	Alterations
01	Initial version

#### 1 ABBREVIATIONS

- FIM: Functional Independence Measure
- HR: hazards ratio
- SD: standard deviation
- SES: socioeconomic status

#### 2 CONTEXT

## 2.1 Objectives

To determine the effect of socioeconomic status of the neighborhood on mortality of patients with brain injury.

#### 3 METHODS

The data procedures, design and analysis methods used in this report are fully described in the annex document **SAP-2023-004-BH-v01**.

This analysis was performed using statistical software R version 4.2.3.

#### 4 RESULTS

### 4.1 Study population and follow up

There initially were 76,665 observations on 19,303 study participants considered for inclusion. After excluding follow up measurements during the COVID-19 pandemic to mitigate confounding on mortality causes there were 51,308 observations left in the study sample. After applying the inclusion criteria for the study period between 2010-

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			_
https://philsf-biostat.github.io/		1	2023	2 / 17

#### Statistical Analysis Report (SAR)

01-01 and 2018-12-31 and considering the status at the last available follow up time for each individual a total of 7,415 participants were included in the analysis.

The epidemiological profile of the participant included in the study was a male participant (5,421 (73%)) with an average (SD) age of 31 (20) years. The average (SD) time of follow up was 3.05 (1.94) years.

Races were not homogeneously available in the study population with 4,941 (67%) individuals being white; 3,170 (43%) were single (never married) at the time of injury, and most participants were well educated with 3,366 (46%) at greater than high school level. A total of 4,377 (59%) were employed and 3,466 (48%) participants lived in an urban area.

**Table 1** Epidemiological, demographic and clinical characteristics of study participants.

Characteristic	N = 7,415
SES quintiles, n (%)	
Prosperous	1,352 (22%)
Comfortable	1,239 (20%)
Mid-Tier	1,124 (18%)
At-Risk	1,168 (19%)
Distressed	1,194 (20%)
Unknown	1,338
Mortality, n (%)	1,004 (14%)
Time of follow up (years), Mean (SD)	3.05 (1.94)
Sex:, n (%)	
Male	5,421 (73%)
Female	1,988 (27%)

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	3 / 17

Characteristic	N = 7,415
Unknown	6
What is your race?, n (%)	
White	4,941 (67%)
Black	1,144 (15%)
Hispanic	952 (13%)
Other	368 (5.0%)
Unknown	10
What is your marital status?, n (%)	
Single (Never Married)	3,170 (43%)
Married	2,595 (35%)
Divorced	921 (12%)
Separated	244 (3.3%)
Widowed	454 (6.1%)
Other	15 (0.2%)
Unknown	16
Age at injury, Mean (SD)	31 (20)
Unknown	19
Substance Problem Use, n (%)	2,691 (38%)

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	4 / 17

Characteristic	N = 7,415	
Unknown	264	
Education, n (%)		
Greater Than High School	3,366 (46%)	
Less Than High School	1,380 (19%)	
High School/GED	2,615 (36%)	
Unknown	54	
At time of injury, what was your employment status?, n (%)		
Employed	4,377 (59%)	
Unemployed	795 (11%)	
Other	2,209 (30%)	
Unknown	34	
Urbanization based on zip code of address at discharge., n (%)		
Suburban	2,191 (30%)	
Rural	1,635 (22%)	
Urban	3,466 (48%)	
Unknown	123	
Prior to this injury, has a physician ever told you that you have a seizure disorder?, n (%) 52 (5.6%)		
Unknown	6,488	

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	5 / 17

Characteristic	N = 7,415
Spinal cord injury:, n (%)	434 (5.9%)
Unknown	19
Cause of injury:, n (%)	
Vehicular	3,327 (45%)
Violence	650 (8.8%)
Falls	2,544 (34%)
Other	873 (12%)
Unknown	21
Primary rehabilitation payor:, n (%)	
Private Insurance	3,872 (52%)
Public Insurance	2,916 (39%)
Other	600 (8.1%)
Unknown	27
Residence after rehab discharge:, n (%)	
Private Residence	5,857 (79%)
Other	1,537 (21%)
Unknown	21
Days From Injury to Rehab Discharge, Mean (SD)	44 (35)

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	6 / 17

#### Statistical Analysis Report (SAR)

Characteristic	N = 7,415
FIM Motor at Discharge:, Mean (SD)	52 (18)
FIM Cognitive at Discharge:, Mean (SD)	19 (7)

The observed overall mortality was 13.5% in the study period. The distribution of cases appear homogeneous across SES quintiles (Figure 1), ranging from 10.6% to 13.5%. We will test the effect of SES quintiles on the hazard rate in the next section. See also Figure A2 in the appendix for the distribution of sexes in each SES quintile in the study population.

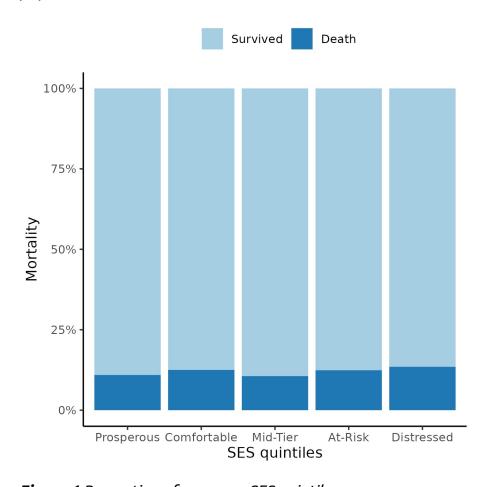


Figure 1 Proportion of cases per SES quintiles.

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat github io/		1	2023	7 / 17

#### Statistical Analysis Report (SAR)

## 4.2 Effect of SES on mortality

The previous seizure disorder diagnosis was missing for most of the study population and was not included in the model as a covariate to preserve study power. After excluding participants with missing data from other variables a total of 5,834 complete cases were available for analysis. The cause of injury and both FIM scales were removed from the model due to violations to the proportional hazards assumption (see Figure A4 in the appendix for the Schoenfeld residuals and p-values for each variable in the full model).

The survival curves of both sexes by SES quintiles can be seen in Figure 2. Overall, the distressed neighborhoods appear to have a lower survival probability then other neighborhoods. This appears to be true for both sexes, and males had a higher risk of dying than females in all neighborhoods. This plot was cropped at 50% survival for presentation purposes, see Figure A3 in the appendix for an uncropped version.

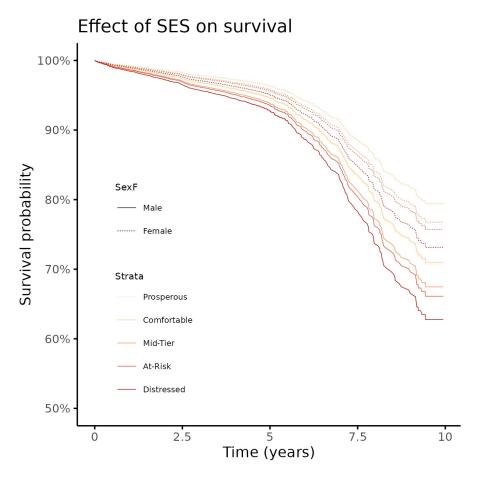


Figure 2 Survival of participants, by sex and by SES quintiles.

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			_
https://philsf-biostat.github.io/		1	2023	8 / 17

#### Statistical Analysis Report (SAR)

When considering only the crude effect of SES on mortality neighborhood to which the individuals were discharged was associated with mortality (Table 2). Participants who were discharged to an at-risk neighborhood had increased chance of dying (HR = 1.29, 95% CI = 1.02 to 1.63), when compared to those discharged to a prosperous neighborhood. Participants discharged to a distressed neighborhood also had a higher mortality risk (HR = 1.38, 95% CI = 1.10 to 1.73), when compared to those discharged to a prosperous neighborhood.

**Table 2** Effect of SES on mortality; HR estimates were adjusted for sex, race, age, substance abuse, education, employment status, urbanization, spinal cord injury, rehabilitation payer, residence after rehab discharge and days from injury to discharge.

(	Crude estimate		Adjusted estimate			Late deaths		
HR <sup>1</sup>	95% CI <sup>1</sup>	p-value	HR¹	95% CI <sup>1</sup>	p-value	HR¹	95% CI <sup>1</sup>	p-value
_	_		_	_		_	_	
1.18	0.94 to 1.49	0.152	0.99	0.78 to 1.25	0.930	1.00	0.75 to 1.33	0.985
1.00	0.78 to 1.27	0.982	1.14	0.88 to 1.46	0.318	1.08	0.79 to 1.47	0.625
1.29	1.02 to 1.63	0.034	1.20	0.94 to 1.53	0.154	1.32	0.99 to 1.76	0.058
1.38	1.10 to 1.73	0.006	1.35	1.05 to 1.72	0.018	1.25	0.93 to 1.69	0.139
	HR¹   1.18  1.00  1.29	1.18 0.94 to 1.49 1.00 0.78 to 1.27 1.29 1.02 to 1.63	HR¹ 95% CI¹ p-value  — —  1.18 0.94 to 1.49 0.152  1.00 0.78 to 1.27 0.982  1.29 1.02 to 1.63 0.034	HR <sup>1</sup> 95% CI <sup>1</sup> p-value HR <sup>1</sup> — — —  1.18 0.94 to 1.49 0.152 0.99  1.00 0.78 to 1.27 0.982 1.14  1.29 1.02 to 1.63 0.034 1.20	HR <sup>1</sup> 95% Cl <sup>1</sup> p-value HR <sup>1</sup> 95% Cl <sup>1</sup> — — — —  1.18 0.94 to 1.49 0.152 0.99 0.78 to 1.25  1.00 0.78 to 1.27 0.982 1.14 0.88 to 1.46  1.29 1.02 to 1.63 0.034 1.20 0.94 to 1.53	HR <sup>1</sup> 95% CI <sup>1</sup> p-value HR <sup>1</sup> 95% CI <sup>1</sup> p-value  — — — — — —  1.18 0.94 to 1.49 0.152 0.99 0.78 to 1.25 0.930  1.00 0.78 to 1.27 0.982 1.14 0.88 to 1.46 0.318  1.29 1.02 to 1.63 0.034 1.20 0.94 to 1.53 0.154	HR¹ 95% Cl¹ p-value HR¹ 95% Cl¹ p-value HR¹  — — — — — — — —  1.18 0.94 to 1.49 0.152 0.99 0.78 to 1.25 0.930 1.00  1.00 0.78 to 1.27 0.982 1.14 0.88 to 1.46 0.318 1.08  1.29 1.02 to 1.63 0.034 1.20 0.94 to 1.53 0.154 1.32	HR¹ 95% Cl¹ p-value HR¹ 95% Cl¹ p-value HR¹ 95% Cl¹  — — — — — — — — — — — — — — — — — — —

<sup>&</sup>lt;sup>1</sup>HR = Hazard Ratio, CI = Confidence Interval

After controlling for all relevant covariates, this effect can only be consistently detected for the distressed neighborhoods, that exhibit a 35% increased risk of dying than prosperous neighborhoods (HR = 1.35, 95% CI = 1.05 to 1.72). The point estimates for all adjusted estimates of SES effect range from 0.99 to 1.35, relative to the prosperous neighborhood, and that represents a narrower range of point estimates than the crude estimates (from 1.00 to 1.38).

When considering only late deaths the SES effect is not significantly associated with mortality, and estimates relative to a prosperous neighborhood range from 1.00 to 1.32 (which is an even narrower range than the crude estimates). The adjusted estimates of all-time mortality can be compared with late mortality where in all neighborhoods the CI of the adjusted estimate is contained within the late death CI's, except for mid-tier and distressed neighborhoods that exceed the respective upper ranges of confidence by a

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			_
https://philsf-biostat.github.io/		1	2023	9 / 17

#### Statistical Analysis Report (SAR)

diminute margin (presumably due to precision and study power). The respective point estimates in most cases do not change by a large amount, where most differences fall under at most 0.1 HR, with the exception of at-risk neighborhoods. In the latter both the difference and the CI indicate higher estimates which, although not consistently detectable, is in line with the crude estimate for these neighborhoods. It can be concluded that effect of SES on the risk of late death is not substantially different from all-time death in the study population, after controlling for all covariates.

#### 5 OBSERVATIONS AND LIMITATIONS

#### Recommended reporting guideline

The adoption of the EQUATOR network (<a href="http://www.equator-network.org/">http://www.equator-network.org/</a>) reporting guidelines have seen increasing adoption by scientific journals. All observational studies are recommended to be reported following the STROBE guideline (von Elm et al, 2014).

#### 6 CONCLUSIONS

The epidemiological profile of the study participant is an 31 years old white male, that has greater than high school level of education, is actively employed and lives in an urban setting.

There appears to be a crude effect of SES on mortality, where participants that were discharged to either a comfortable or a distressed neighborhood had increased risk of death when compared to those discharged to a prosperous neighborhood. After controlling for other variables there is no association between SES and mortality.

Both early deaths and late deaths appear to occur at similar rates across all neighborhoods.

#### 7 REFERENCES

- SAP-2023-004-BH-v01 Analytical Plan for Effect of socioeconomic status of neighborhoods in mortality mortality rates after brain injury: retrospective cohort
- von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP; STROBE Initiative. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) Statement: guidelines for reporting observational studies. Int J Surg. 2014 Dec;12(12):1495-9 (<a href="https://doi.org/10.1016/j.ijsu.2014.07.013">https://doi.org/10.1016/j.ijsu.2014.07.013</a>).

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	10 / 17

# Statistical Analysis Report (SAR)

# 8 APPENDIX

# 8.1 Exploratory data analysis

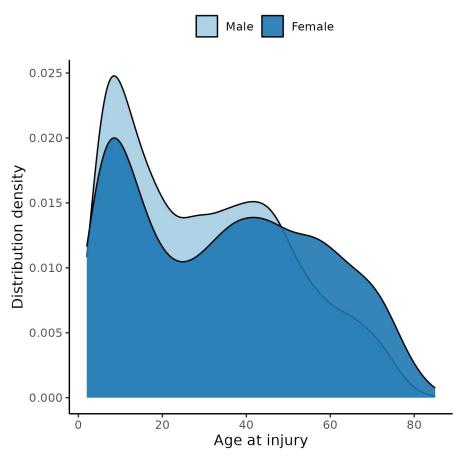


Figure A1 Distribution of age in the study population.

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	11 / 17

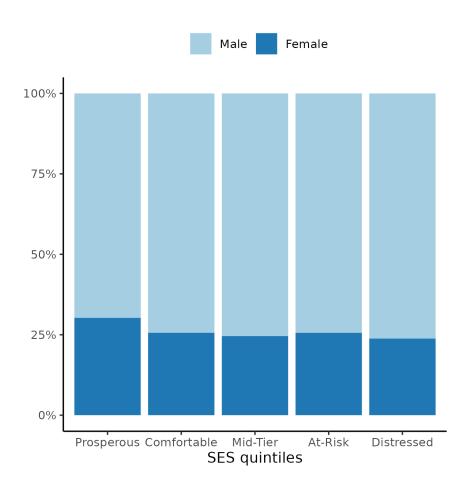
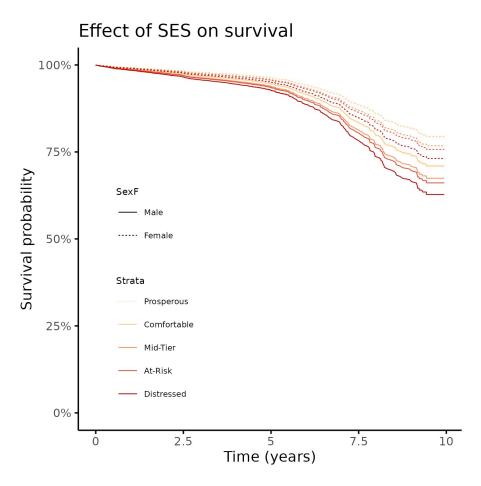


Figure A2 Distribution of SES in the study population.

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	12 / 17



**Figure A3** Alternative version of figure 2.

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	13 / 17

#### Statistical Analysis Report (SAR)

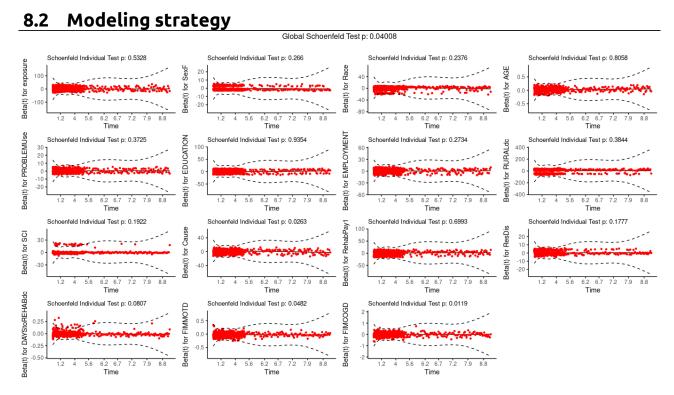


Figure A4 Schoenfeld residuals for the full model.

**Table A1** Alternative version of Table 2, showing effects from all covariates included in the model.

		Crude estimate		e Adjusted estimate			Late deaths		
Characteristic	HR¹	95% CI <sup>1</sup>	p-value	HR¹	95% CI <sup>1</sup>	p-value	HR¹	95% CI <sup>1</sup>	p-value
SES quintiles									
Prosperous	-	_		_	_		_	_	
Comfortable	1.18	0.94 to 1.49	0.152	0.99	0.78 to 1.25	0.930	1.00	0.75 to 1.33	0.985
Mid-Tier	1.00	0.78 to 1.27	0.982	1.14	0.88 to 1.46	0.318	1.08	0.79 to 1.47	0.625
At-Risk	1.29	1.02 to 1.63	0.034	1.20	0.94 to 1.53	0.154	1.32	0.99 to 1.76	0.058
Distressed	1.38	1.10 to 1.73	0.006	1.35	1.05 to 1.72	0.018	1.25	0.93 to 1.69	0.139

	- 1					
FF	Consultoria	AM RIA	actatictica	A FN	Idemin	בוחחו
	CONSULCOING	CIII DIO		C LP	ociiio	wyiu

CNPJ: 42.154.074/0001-22

https://philsf-biostat.github.io/

	Version	Year	Page
SAR	1	2023	14 / 17

		Crude estin	nate		Adjusted estir	nate	Late deaths		
Characteristic	HR¹	95% CI <sup>1</sup>	p-value	HR <sup>1</sup>	95% CI <sup>1</sup>	p-value	HR <sup>1</sup>	95% CI <sup>1</sup>	p-value
Sex:									
Male				_	_		-	_	
Female				0.67	0.56 to 0.80	<0.001	0.65	0.53 to 0.81	<0.001
What is your race?									
White				_	_		_	_	
Black				0.81	0.64 to 1.02	0.070	0.83	0.63 to 1.10	0.193
Hispanic				0.60	0.43 to 0.83	0.002	0.69	0.47 to 1.02	0.063
Other				0.70	0.47 to 1.05	0.088	0.75	0.47 to 1.20	0.227
Age at injury				1.04	1.04 to 1.05	<0.001	1.04	1.04 to 1.05	<0.001
Substance Problem Use									
No				_	_		_	_	
Yes				1.25	1.04 to 1.50	0.017	1.28	1.03 to 1.58	0.028
Education									
Greater Than High School				_	_		_	_	
Less Than High School				1.23	0.98 to 1.54	0.070	1.26	0.97 to 1.64	0.087
High School/GED				1.36	1.15 to 1.61	<0.001	1.29	1.05 to 1.59	0.016
At time of injury, what was your employment status	s?								

FF Consultoria em Bioestatística e Epidemiologia	Version	Year	Page	
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	15 / 17

		Crude estim	iate		Adjusted estir	nate	Late deaths			
Characteristic	HR¹	95% CI <sup>1</sup>	p-value	HR¹	95% CI <sup>1</sup>	p-value	HR¹	95% CI¹	p-value	
Employed				_	_		_	_		
Unemployed				1.78	1.34 to 2.35	<0.001	1.71	1.24 to 2.38	0.001	
Other				2.05	1.67 to 2.51	<0.001	1.92	1.51 to 2.45	<0.001	
Urbanization based on zip code of address at discharge.										
Suburban				_	_		_	_		
Rural				1.02	0.82 to 1.28	0.851	1.16	0.89 to 1.51	0.267	
Urban				1.08	0.90 to 1.31	0.405	1.09	0.87 to 1.37	0.461	
Spinal cord injury:										
No				_	_		_	_		
Yes				1.19	0.85 to 1.67	0.312	1.34	0.90 to 2.00	0.153	
Primary rehabilitation payor:										
Private Insurance				_	_		_	_		
Public Insurance				1.42	1.18 to 1.71	<0.001	1.57	1.25 to 1.97	<0.001	
Other				1.16	0.80 to 1.66	0.434	1.32	0.88 to 2.00	0.183	
Residence after rehab discharge:										
Private Residence				_	_		_	_		
Other				1.70	1.44 to 2.01	<0.001	1.39	1.12 to 1.71	0.002	

FF Consultoria em Bioestatística e Epidemiologia		Version	Year	Page
CNPJ: 42.154.074/0001-22	SAR			
https://philsf-biostat.github.io/		1	2023	16 / 17

#### Statistical Analysis Report (SAR)

		Crude estimate			Adjusted estir	nate		Late deaths		
Characteristic	HR <sup>1</sup>	95% CI <sup>1</sup>	p-value	HR <sup>1</sup>	95% CI <sup>1</sup>	p-value	HR <sup>1</sup>	95% CI <sup>1</sup>	p-value	
Days From Injury to Rehab Discharge				1.00	1.00 to 1.01	0.009	1.00	1.00 to 1.00	0.396	

## 8.3 Availability

All documents from this consultation were included in the consultant's Portfolio.

The portfolio is available at:

https://philsf-biostat.github.io/SAR-2023-004-BH/

# 8.4 Analytical dataset

Table A2 shows the structure of the analytical dataset.

**Table A2** Analytical dataset structure

id	exposure	outcome	Time	SexF	Race	Mar	AGE	PROBLEMUse	EDUCATION	EMPLOYMENT	RURALdc	PriorSeiz	SCI	Cause	RehabPay1	ResDis	DAYStoREHABdc	FIMMOTD	FIMCOGD
1																			
2																			
3																			
_																			
N																			

Due to confidentiality the data-set used in this analysis cannot be shared online in the public version of this report.