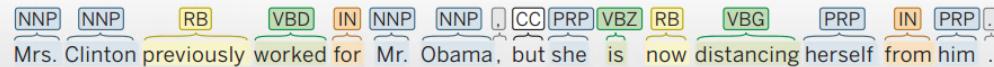
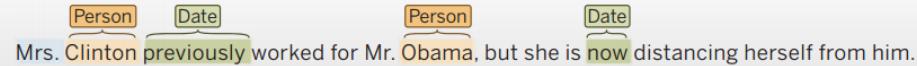
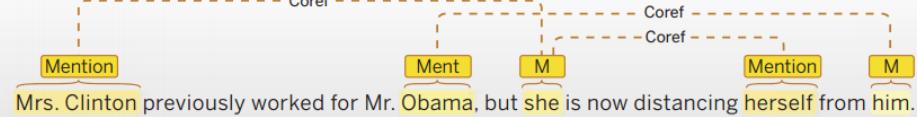
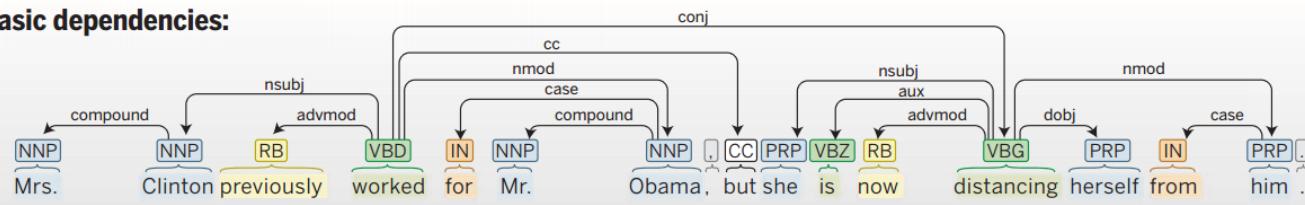


**Part of speech:****Named entity recognition:****Co-reference:****Basic dependencies:**

# Lecture 3: Natural Language Processing

Pilsung Kang

School of Industrial Management Engineering

Korea University

# AGENDA

01 **Introduction to NLP**

---

02 **Lexical Analysis**

---

03 **Syntax Analysis**

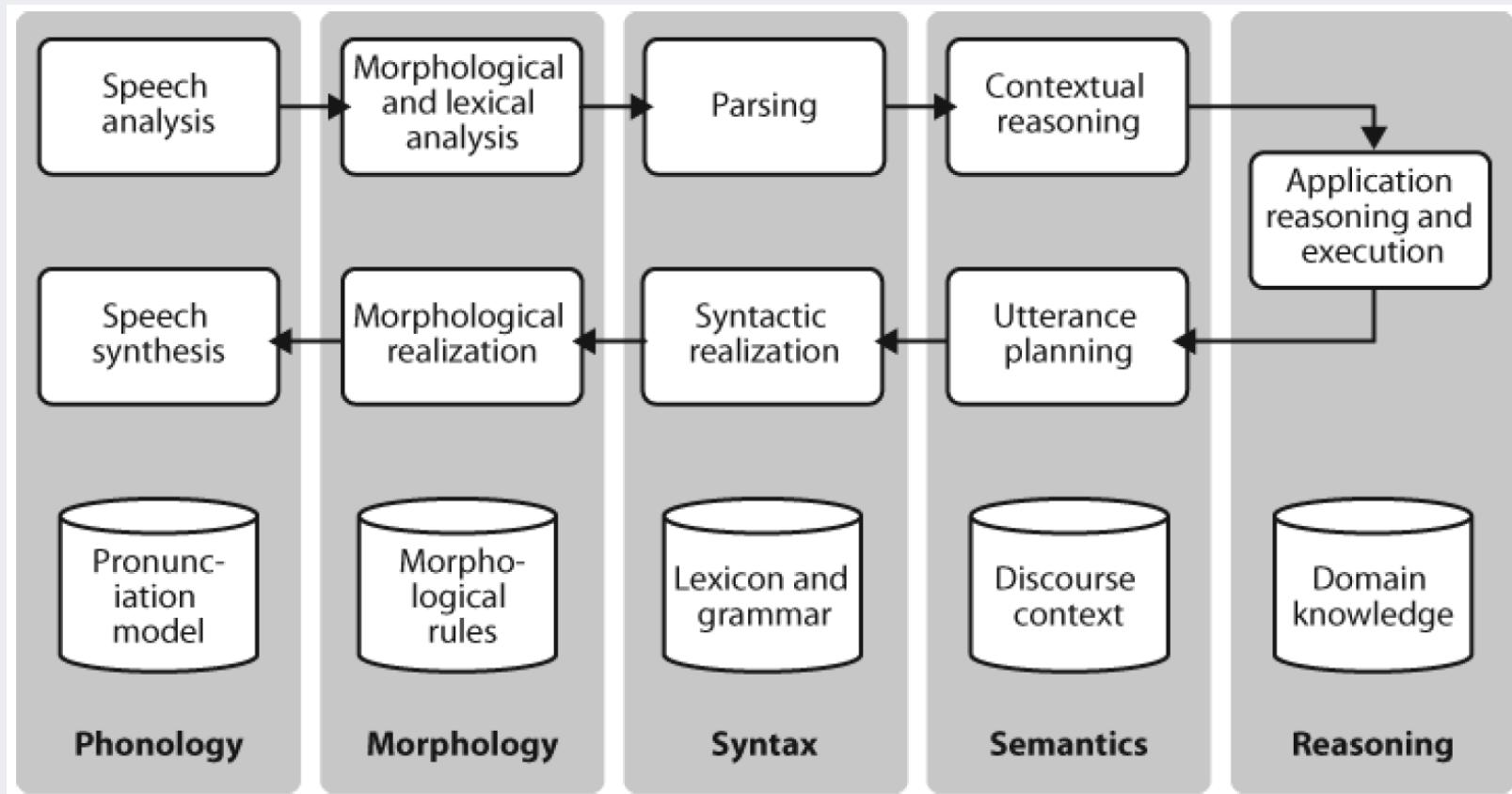
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04 **Other Topics in NLP**

---

# Natural Language Processing

- Natural language processing sequence



# Natural Language Processing

- Phonology is the first gate of AI solutions

음성 인식 기술 비교		애플	구글	마이크로소프트	아마존
명칭	시리(Siri)	구글 나우(Now)	코타나(Cortana)	알렉사(Alexa)	
출시일	2011년 10월	2012년 7월	2014년 4월	2014년 11월	
사용 가능 언어	영어·중국어·한국어 등 13개 언어	영어·일본어·스페인어·한국어 등 9개 언어	영어·중국어·스페인어 등 7개 언어(※한국어 사용 불가)	영어(※한국어 사용 불가)	
사용처	아이폰·아이패드에 탑재	구글 안드로이드 스마트폰에 탑재	윈도10 운영체제(OS)에 탑재	음성 인식용 스피커 에코에 탑재	
주요 기능	-음성으로 스마트폰 조작 -자주 사용하는 앱 추천	-음성으로 스마트폰 조작 -구글의 검색·지도 등 다른 서비스와 연동	-평온·당황 등의 감정을 이모티콘으로 표현 -윈도10 OS를 쓰는 기기에 모두 기본 탑재	-가정용 제품으로 설계 -생활 소음 속에서도 목소리 잘 인식 -아마존 서비스와 연동해 물건 주문 가능	

# Natural Language Processing

- Text to Speech Example

# Natural Language Processing

- We should have more “good” data for morphology & parsing

Research

JAMA | Original Investigation | INNOVATIONS IN HEALTH CARE DELIVERY

## Development and Validation of a Deep Learning Algorithm for Detection of Diabetic Retinopathy in Retinal Fundus Photographs

Varun Gulshan, PhD; Lily Peng, MD, PhD; Marc Coram, PhD; Martin C. Stumpe, PhD; Derek Wu, BS; Arunachalam Narayanaswamy, PhD; Subhashini Venugopalan, MS; Kasumi Widner, MS; Tom Madams, MEng; Jorge Cuadros, OD, PhD; Ramasamy Kim, OD, DNB; Rajiv Raman, MS, DNB; Philip C. Nelson, BS; Jessica L. Mega, MD, MPH; Dale R. Webster, PhD

**IMPORTANCE** Deep learning is a family of computational methods that allow an algorithm to program itself by learning from a large set of examples that demonstrate the desired behavior, removing the need to specify rules explicitly. Application of these methods to medical imaging requires further assessment and validation.

**OBJECTIVE** To apply deep learning to create an algorithm for automated detection of diabetic retinopathy and diabetic macular edema in retinal fundus photographs.

**DESIGN AND SETTING** A specific type of neural network optimized for image classification called a deep convolutional neural network was trained using a retrospective development data set of 128 175 retinal images, which were graded 3 to 7 times for diabetic retinopathy, diabetic macular edema, and image gradability by a panel of 54 US licensed ophthalmologists and ophthalmology senior residents between May and December 2015. The resultant algorithm was validated in January and February 2016 using 2 separate data sets, both graded by at least 7 US board-certified ophthalmologists with high intragrader consistency.

← Editorial  
+ Supplemental content

# Natural Language Processing

- We should have more “good” data for morphology & parsing

Table. Baseline Characteristics<sup>a</sup>

Characteristics	Development Data Set	EyePACS-1 Validation Data Set	Messidor-2 Validation Data Set
No. of images	128 175	9963	1748
No. of ophthalmologists	54	8	7
No. of grades per image	3-7	8	7
Grades per ophthalmologist, median (interquartile range)	2021 (304-8366)	8906 (8744-9360)	1745 (1742-1748)

Patient demographics



<sup>a</sup> Summary of image characteristics and available demographic information in the development and clinical validation data sets (EyePACS-1 and Messidor-2). Abnormal images were oversampled for the development set for algorithm training. The clinical validation sets were not enriched for abnormal images.

<sup>b</sup> Unique patient codes (deidentified) were available for 89.3% of the development set ( $n = 114\,398$  images).

<sup>c</sup> Individual-level data including age and sex were available for 66.1% of the development set ( $n = 84\,734$  images).

<sup>d</sup> Image quality was assessed for a subset of the development set.

<sup>e</sup> Referable diabetic retinopathy, defined as the presence of moderate and worse diabetic retinopathy and/or referable diabetic macular edema according to the International Clinical Diabetic Retinopathy Scale,<sup>14</sup> was calculated for each ophthalmologist before combining them using a majority decision. The 5-point grades represent the grade that received the highest number of votes for diabetic retinopathy alone. Hence, the sum of moderate, severe, and proliferative diabetic retinopathy for the 5-point grade differs slightly from the count of referable diabetic retinopathy images.

# Natural Language Processing

- Natural language processing example (What We Imagine)

# Natural Language Processing

- Natural language processing example (Where we are)

# Natural Language Processing

- Natural language processing example (대국민 사기극??)

# Natural Language Processing

Witte (2006)

- Classical categorization of NLP

## Classical Categorization

To deal with the complexity of natural language, it is typically regarded on several levels (cf. Jurafsky & Martin):

Phonology the study of linguistic sounds

Morphology the study of meaningful components of words

Syntax the study of structural relationships between words

Semantics the study of meaning

Pragmatics the study of how language is used to accomplish goals

Discourse the study of larger linguistic units

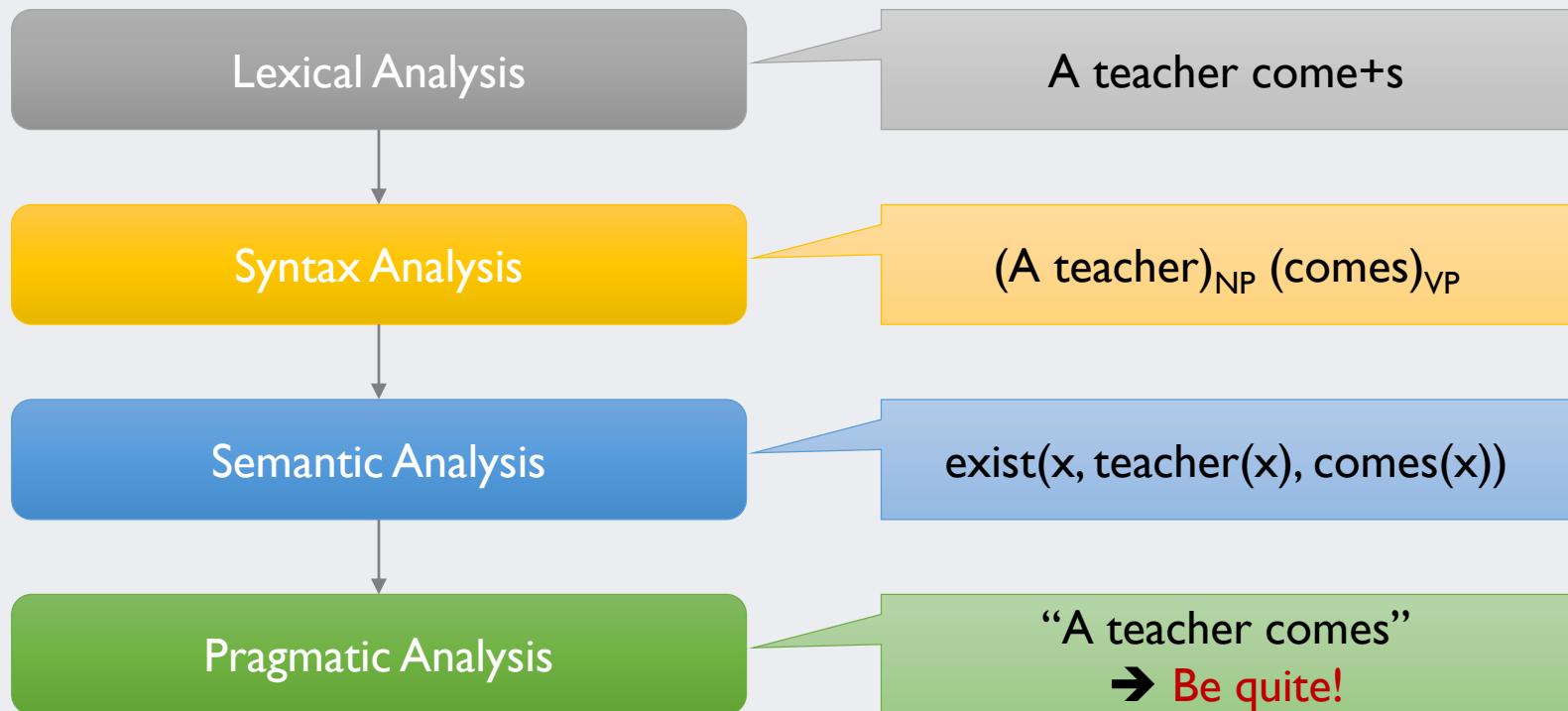
## Importance for Text Mining

- Phonology only concerns spoken language
- Discourse, Pragmatics, and even Semantics is still rarely used

# Natural Language Processing

Witte (2006)

- An example of NLP



# Is NLP Easy? No!

Witte (2006)

- Why is NLP hard?

## Difference to other areas in Computer Science

Computer scientist are used to dealing with precise, closed, artificial structures

- e.g., we build a “mini-world” for a database rather than attempting to model every aspect of the real world
- programming languages have a simple syntax (around 100 words) and a precise semantic

This approach does not work for natural language:

- tens of thousands of languages, with more than 100 000 words each
- complex syntax, many ambiguities, constantly changing and evolving

A corollary is that a TM system will never get it “100% right”

# Is NLP Easy? No!

Witte (2006)

- Ambiguity of a natural language

Ambiguity appears on every analysis level

The classical examples:

- *He saw the man with the telescope.*
- *Time flies like an arrow. Fruit flies like a banana.*

And those are simple...

This does not get better with real-world sentences:

- *The board approved [its acquisition] [by Royal Trustco. Ltd.] [of Toronto] [for \$27 a share] [at its monthly meeting].*

(cf. Manning & Schütze)

# Is NLP Easy? No!

Witte (2006)

- Complex and subtle relationship between concepts in texts
  - ✓ “AOL merges with Time-Warner”
  - ✓ “Time-Warner is bought by AOL”
- Ambiguity and context sensitivity
  - ✓ automobile = car = vehicle = Hyundai



vs.



# Research Trends in NLP

Witte (2006)

- From rule-based approaches to statistical approaches

## The classical way: until late 1980's

Rule-based approaches:

- are too rigid for natural language
- suffer from the *knowledge acquisition bottleneck*
- cannot keep up with changing/evolving language  
ex. “*to google*”

## The statistical way: since early 1990's

“Statistical NLP” refers to all quantitative approaches, including Bayes' models, Hidden Markov Models (HMMs), Support Vector Machines (SVMs), Clustering, ...

- more robust & more flexible
- need a *Corpus* for (supervised or unsupervised) learning

But real-world systems typically combine both.

# Research Trends in NLP

Collobert et al. (2011)

- From statistical approaches to machine-learning (deep-learning) approaches

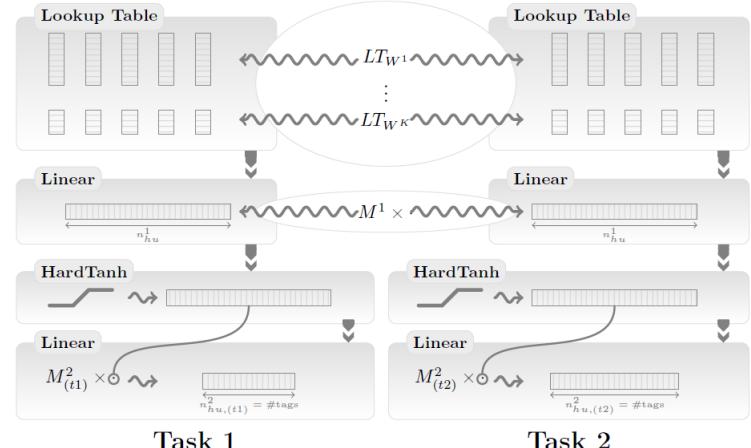
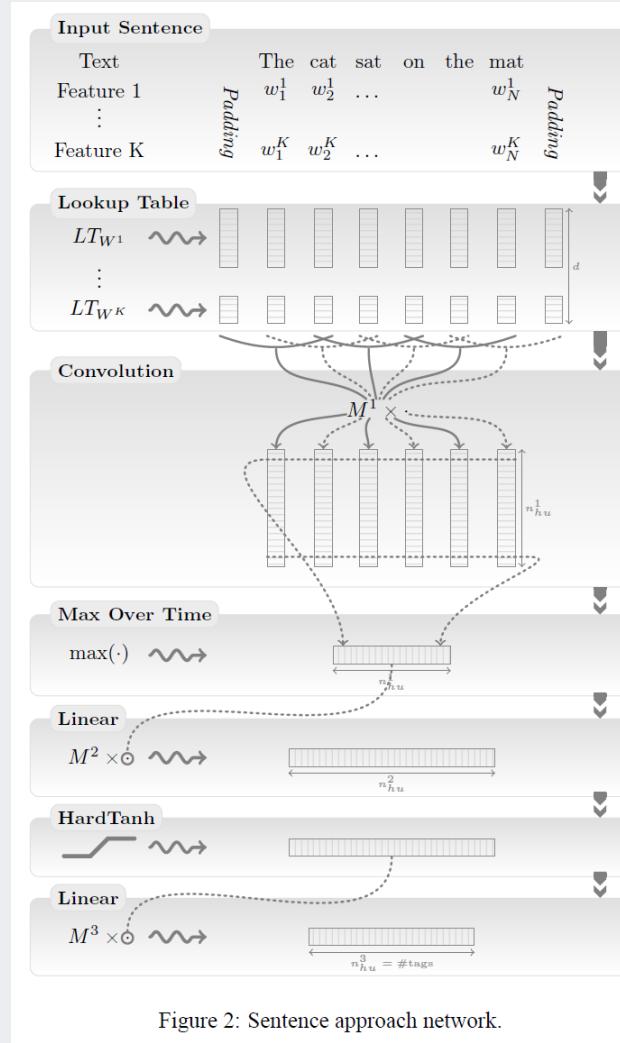
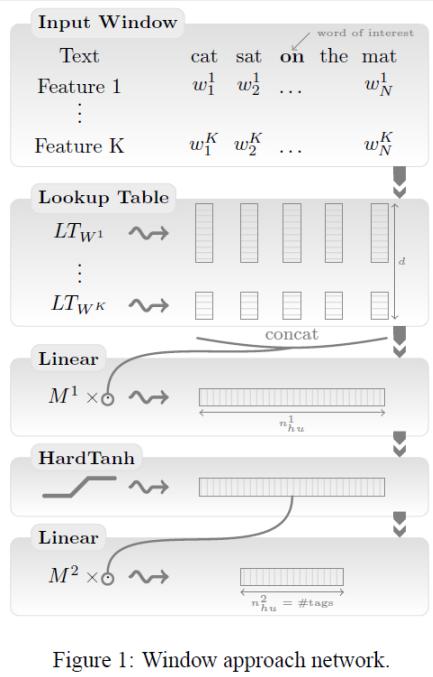


Figure 5: Example of multitasking with NN. Task 1 and Task 2 are two tasks trained with the window approach architecture presented in Figure 1. Lookup tables as well as the first hidden layer are shared. The last layer is task specific. The principle is the same with more than two tasks.

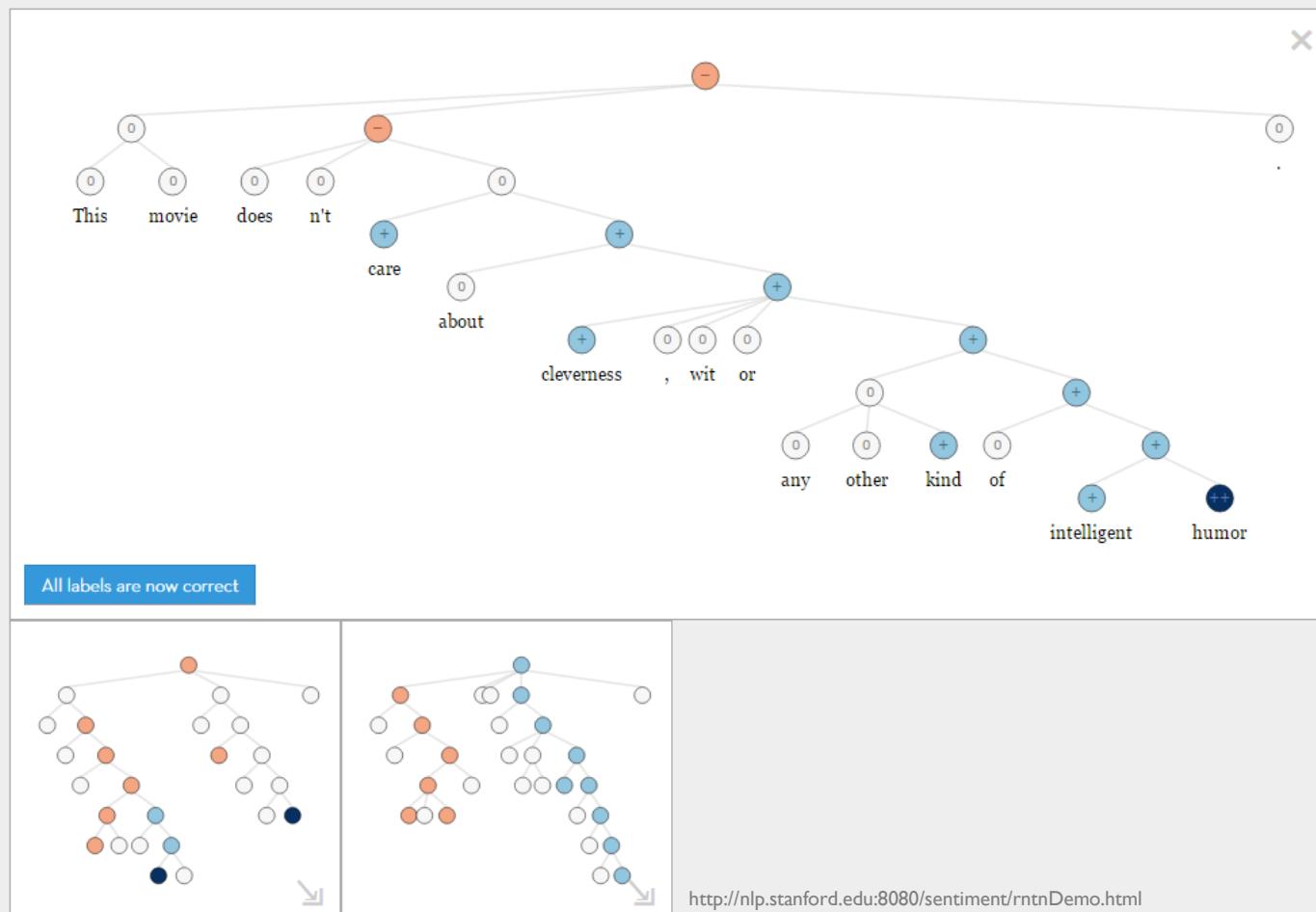
# Research Trends in NLP

Socher et al. (2013)

- From statistical approaches to machine-learning (deep-learning) approaches

## Sentiment Trees

You can double-click on each tree figure to see its expanded version with greater details. There are 5 classes of sentiment classification: very negative, negative, neutral, positive, and very positive.



# Research Trends in NLP

Wu et al. (2016)

- From statistical approaches to machine-learning (deep-learning) approaches

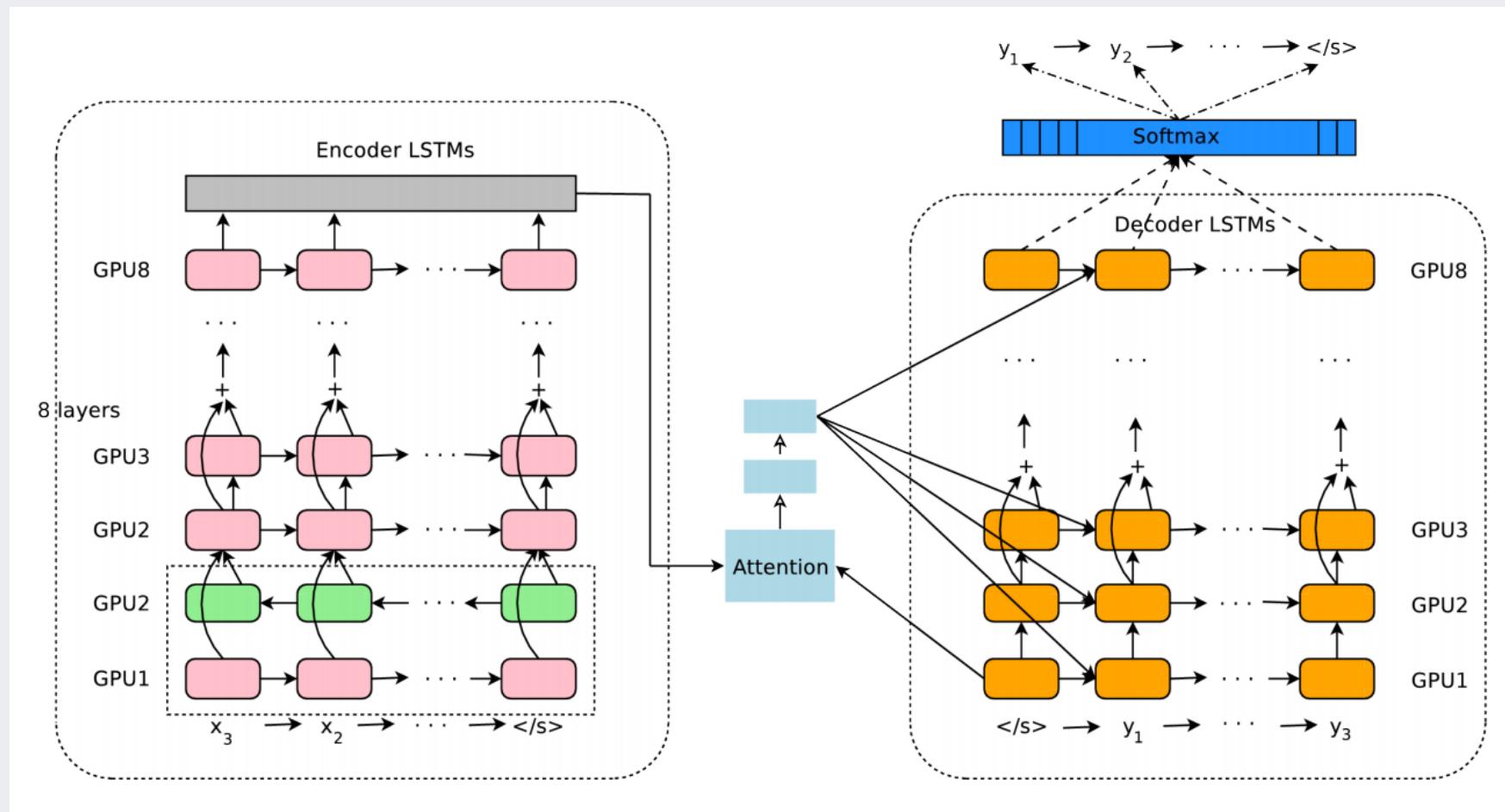
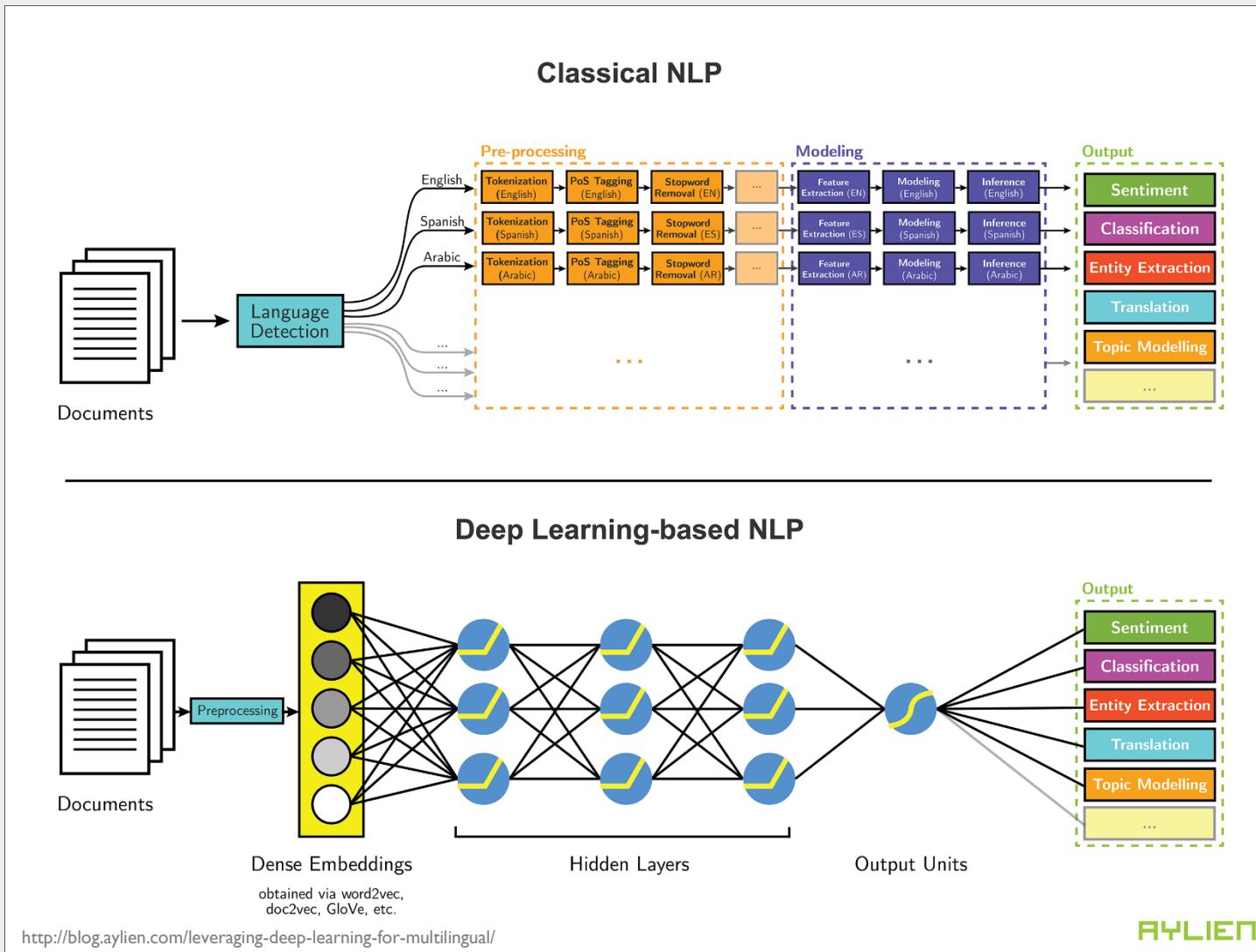


Figure 1: The model architecture of GNMT, Google's Neural Machine Translation system. On the left

# Research Trends in NLP

- End-to-End Multi-Task Learning



# Research Trends in NLP

- Statistical translation vs. deep learning-based translation

## Translate Text

**Input**  
Enter or paste text from a passage.

Korean

**Output**  
Copy output from this field to clipboard.

English

**Text**    Rest API

흔히 기업·가계 등 경제 주체와 금융시장이 가장 싫어하는 건 '불확실성'이라고 한다. 주요국 주식시장은 트럼프 미국 대통령 당선에 따른 경제회복 기대감으로 아직 상승하고 있지만, 정책당국과 경제연구기관·학계 모두 우리 경제 안팎에 '불확실성 충격'이 염습하고 있다고 한목소리를 낸다. 트럼프발 정책 불확실성과 혼선, 미·중 통상마찰 심화 등 정치경제적 혼돈과 급변이 '불확실성 시대'의 실체로 지목된다. 전문가들은 "지금의 불확실성은 통제하기 어려운 요인에서 비롯되고 있다"며 "사전에 결과를 예측하기도 어렵고, 시장의 예측과 상반되는 결과가 나타날 가능성이 크므로 다양한 관점에서의 정보 획득이 필요한 때"라고 말한다.

**Text**    JSON

Often, companies and households as the economic and financial market hates the most is uncertainty it is called " X-inneciency. Brazilian stocks fell as hopes for an economic recovery with Trump in the US presidential election is still rising, but both government policy-makers and economic research institutes and universities have shelters the 'uncertainty shock' and our economy. With TeuReomPeuBal, the China-US trade policy uncertainty and confusion entity of class variation " era of uncertainty with a deep political and economic chaos. Experts said the current uncertainty is difficult to control factors arising from competing, and

# Research Trends in NLP

- Statistical translation vs. deep learning-based translation

The screenshot shows the Google Translate website interface. At the top, there's a navigation bar with the Google logo, a grid icon, and a '로그인' (Login) button. Below the navigation is a red header bar with the word '번역' (Translation). On the left, there's a language selection dropdown showing '한국어' (Korean) as the source language. To its right are buttons for '영어' (English), '독일어' (German), and '한국어 - 감지됨' (Korean - detected). In the center, there are two more language dropdowns: one for '영어' (English) and another for '한국어' (Korean) which also includes '일본어' (Japanese). A blue '번역하기' (Translate) button is positioned between these dropdowns. The main content area contains a text box with Korean text on the left and its English translation on the right. The Korean text discusses economic entities like corporations and households hating uncertainty, mentioning the US stock market rise after Bush's election and various policy makers calling for uncertainty both inside and outside the economy. The English translation follows this structure, explaining the 'uncertainty age' and experts' views on its challenges. At the bottom of the text box, there are several small icons: a double arrow, a square, a double arrow pointing left, a double arrow pointing right, and a share symbol. On the far right, there's a '수정 제안하기' (Propose edit) button with a pencil icon.

번역

즉석 번역 사용 안함

한국어 영어 독일어 한국어 - 감지됨 ▾

영어 한국어 일본어 ▾ 번역하기

흔히 기업·가계 등 경제 주체와 금융시장이 가장 싫어하는 건 '불확실성'이라고 한다. 주요국 주식시장은 트럼프 미국 대통령 당선에 따른 경제회복 기대감으로 아직 상승하고 있지만, 정책당국과 경제연구기관·학계 모두 우리 경제 안팎에 '불확실성 충격'이 엄습하고 있다고 한목소리를 낸다. 트럼프발 정책 불확실성과 혼선, 미-중 통상마찰 심화 등 정치경제적 혼돈과 급변이 '불확실성 시대'의 실체로 지목된다. 전문가들은 "지금의 불확실성은 통제하기 어려운 요인에서 비롯되고 있다"며 "사전에 결과를 예측하기도 어렵고, 시장의 예측과 상반되는 결과가 나타날 가능성이 크므로 다양한 관점에서의 정보 획득이 필요한 때"라고 말한다.

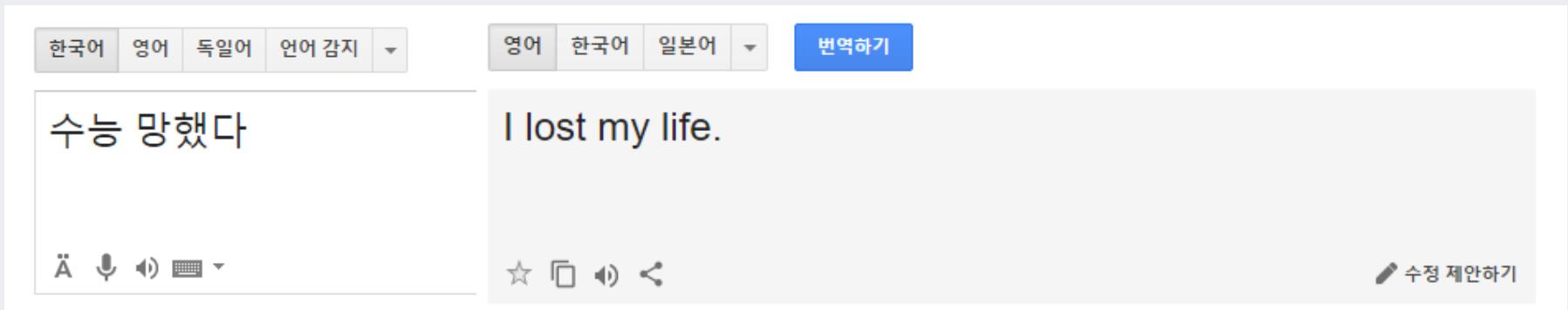
It is often said that economic entities such as corporations and households and financial markets hate the 'uncertainty'. Although the stock market of major countries is still rising due to the expectation of economic recovery following the election of US President George W. Bush, policy makers, economic research institutes and academics both call for a "shock of uncertainty" inside and outside of our economy. Uncertainty, confusion, deepening frictions between the US and China, and political and economic chaos and sudden changes are pointing to the reality of the 'uncertainty age'. Experts say that "uncertainty now comes from factors that are difficult to control", "it is difficult to predict the outcome in advance, and there is a high possibility that the results will be in conflict with the market forecast. It says.

348/5000

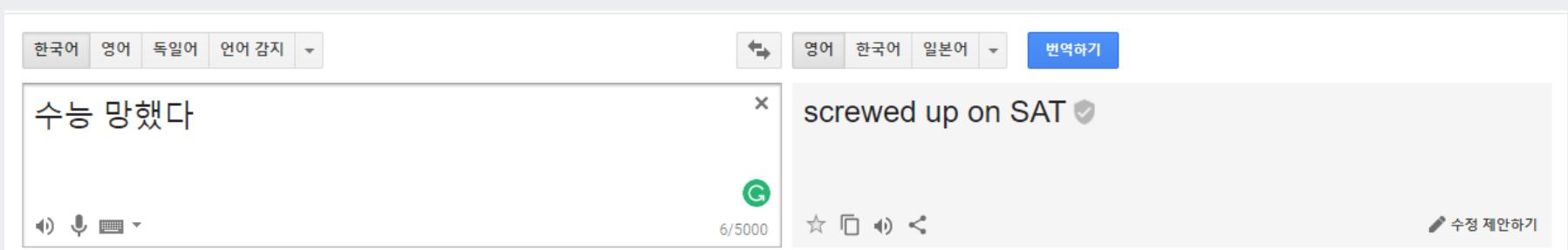
수정 제안하기

# Research Trends in NLP

- Provide your inputs to improve the machine translator!



- 2018.03.06



# Research Trends in NLP

- Provide your inputs to improve the machine translator!

A screenshot of a machine translation application. At the top, there is a language selection bar with tabs for 한국어, 영어, 독일어, 언어 감지, and a dropdown arrow. To the right of the bar are two small square icons with arrows pointing left and right, followed by another language selection bar with tabs for 영어, 한국어, 일본어, and a dropdown arrow. A blue button labeled '번역하기' (Translate) is positioned to the right of this bar. Below the bars, the input text '수능 만점' is entered into a text input field. To the right of the input field is the translated output 'Seoul National University'. At the bottom of the input field, there are three small icons: a speaker, a microphone, and a keyboard. To the right of the output, there are five small icons: a star, a square, a speaker, a left arrow, and a right arrow. On the far right, there is a blue pencil icon followed by the text '수정 제안하기' (Propose edit).

- 2018.03.06.

A screenshot of a machine translation application, identical in layout to the one above. The input text '수능 만점 받았다' is shown in the input field, and the output 'I got a full-time exam.' is shown in the output field. The bottom of the input field shows a green circular icon with a white letter 'G' and the text '10/5000'. The bottom of the output field shows five small icons: a star, a square, a speaker, a left arrow, and a right arrow. On the far right, there is a blue pencil icon followed by the text '수정 제안하기' (Propose edit).

# AGENDA

01 Introduction to NLP

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02 Lexical Analysis

---

03 Syntax Analysis

---

04 Other Topics in NLP

---

# Lexical Analysis

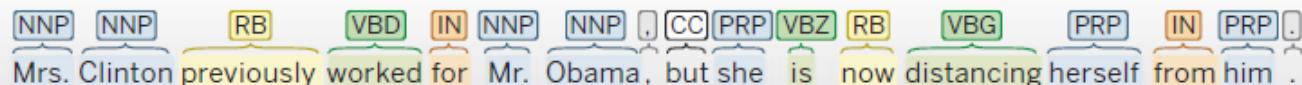
- Goals of lexical analysis
  - ✓ Convert a sequence of characters into a sequence of **tokens**, i.e., meaningful character strings.
    - In natural language processing, **morpheme** is a basic unit
    - In text mining, **word** is commonly used as a basic unit for analysis
- Process of lexical analysis
  - ✓ Tokenizing
  - ✓ Part-of-Speech (POS) tagging
  - ✓ Additional analysis: named entity recognition (NER), noun phrase recognition, sentence split, chunking, etc.

# Lexical Analysis

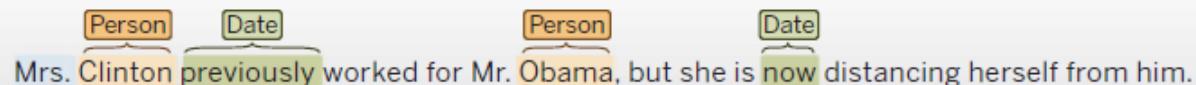
Hirschberg and Manning (2015)

- Examples of Linguistic Structure Analysis

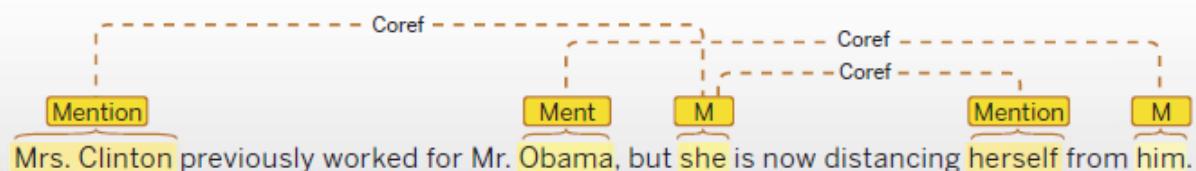
## Part of speech:



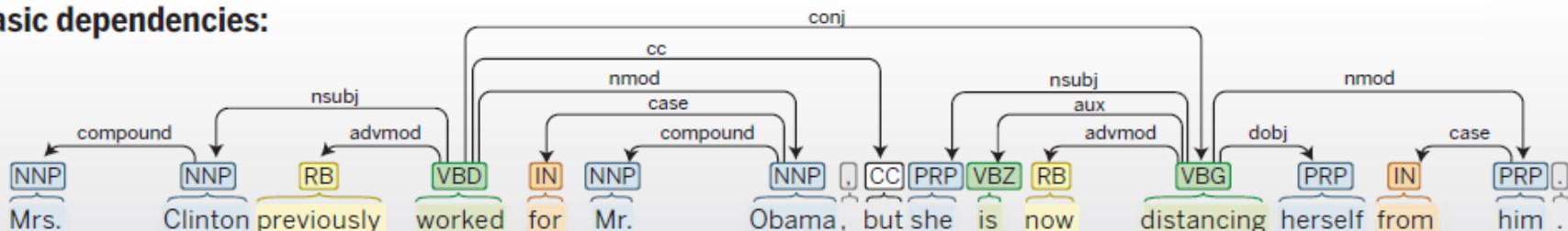
## Named entity recognition:



## Co-reference:



## Basic dependencies:



**Fig. 1. Many language technology tools start by doing linguistic structure analysis.** Here we show output from Stanford CoreNLP. As shown from top to bottom, this tool determines the parts of speech of each word, tags various words or phrases as semantic named entities of various sorts, determines which entity mentions co-refer to the same person or organization, and then works out the syntactic structure of each sentence, using a dependency grammar analysis.

# Lexical Analysis I: Sentence Splitting

Witte (2016)

- Sentence is very important in NLP, but it is **not critical** for some Text Mining tasks

## Mark Sentence Boundaries

Detects sentence units. Easy case:

- often, sentences end with “.”, “!”, or “?”

Hard (or annoying) cases:

- difficult when a “.” do not indicate an EOS:  
“*MR. X*”, “*3.14*”, “*Y Corp.*”, ...
- we can detect common abbreviations (“U.S.”), but what if a sentence ends with one?  
“...announced today by the U.S. *The...*
- Sentences can be *nested* (e.g., within quotes)

## Correct sentence boundary is important

for many downstream analysis tasks:

- POS-Taggers maximize probabilities of tags within a sentence
- Summarization systems rely on correct detection of sentence

# Lexical Analysis 2: Tokenization

- Text is split into basic units called Tokens

- ✓ word tokens, number tokens, space tokens, ...

Diagram illustrating the tokenization process:

```

> crude[[1]]
<<PlainTextDocument (metadata: 15)>>
Diamond Shamrock Corp said that
effective today it had cut its contract prices for crude oil by
1.50 dlr$ a barrel.
The reduction brings its posted price for West Texas
Intermediate to 16.00 dlr$ a barrel, the copany said.
"The price reduction today was made in the light of falling
oil product prices and a weak crude oil market," a company
spokeswoman said.
Diamond is the latest in a line of U.S. oil companies that
have cut its contract, or posted, prices over the last two days
citing weak oil markets.
Reuter
  
```

The text above is shown in a central box with two arrows pointing down to the code examples below.

<code>&gt; MC_tokenizer(crude[[1]])</code>	<code>&gt; scan_tokenizer(crude[[1]])</code>	
<pre>[1] "diamond"      "shamrock"     "Corp"        "said"       "that" [6] "effective"    "today"        "it"          "had"        "cut" [11] "its"          "contract"     "prices"      "for"        "crude" [16] "oil"          "by"           ""            ""           "" [21] ""             ""             "dlrs"        "a"          "barrel" [26] ""             ""             ""            ""           "" [31] "The"          "reduction"   "brings"      "its"        "posted" [36] "price"        "for"         "west"        "Texas"      "Intermediate" [41] "to"           ""             ""            ""           "" [46] ""             ""             "dlrs"        "a"          "barrel" [51] ""             "the"          "copany"     "said"       "" [56] ""             ""             ""            ""           "" [61] "The"          "price"        "reduction"  "today"      "was" [66] "made"         "in"           "the"        "light"      "of" [71] "falling"      "oil"          "product"    "prices"    "and" [76] "a"            "weak"         "crude"      "oil"        "market" [81] ""             ""             "a"          "company"   "spokeswoman" [86] "said"         ""             ""           ""           "" [91] ""             "Diamond"      "is"          "the"        "latest" [96] "in"           "a"            "line"       "of"         "U" [101] "s"            ""             "oil"        "companies" "that" [106] "have"        "cut"          "its"        "contract"  "" [111] "or"          "posted"       ""           "prices"    "over" [116] "the"          "last"         "two"        "days"      "citing" [121] "weak"         "oil"          "markets"    ""           "" [126] "Reuter"</pre>	<pre>[1] "Diamond"      "Shamrock"     "Corp"        "said"       "that" [6] "effective"    "today"        "it"          "had"        "cut" [11] "its"          "contract"     "prices"      "for"        "crude" [16] "oil"          "by"           "1.50"       "dlrs"      "a" [21] "barrel."     "The"          "reduction"  "brings"    "its" [26] "posted"       "price"        "for"        "West"      "Texas" [31] "Intermediate" "to"           "16.00"      "dlrs"      "a" [36] "barrel,"      "the"          "copany"     "said."     "\The" [41] "price"        "reduction"   "today"      "was"       "made" [46] "in"           "the"          "light"      "of"        "falling" [51] "oil"          "product"     "prices"    "and"      "a" [56] "weak"         "crude"        "oil"        "market,\"" "is" [61] "company"      "spokeswoman" "said."      "diamond"   "line" [66] "the"          "latest"       "in"         "a"         "that" [71] "of"           "U.S."         "oil"        "companies" "or" [76] "have"         "cut"          "its"        "contract," "last" [81] "posted,"      "prices"      "over"      "the"      "weak" [86] "two"          "days"        "citing"    "oil"      "oil" [91] "markets."     "Reuter"      ""           ""           ""</pre>	
MC	Scan	
Space	Not removed	Removed
Punctuation	Removed	Not removed
Numbers	Removed	Not removed
Special characters	Removed	Not removed

Page number: 29/81

# Lexical Analysis 2: Tokenization

- Even tokenization can be difficult

- ✓ Is John's sick one token or two?

- If one → problems in parsing (where is the verb?)
    - If two → what do we do with John's house?

- ✓ What to do with hyphens?

- database vs. data-base vs. data base

- ✓ What to do with “C++”, “A/C”, “:-)”, “...”, “ㅋㅋㅋㅋㅋㅋㅋㅋ”?

- ✓ Some languages do not use whitespace (e.g., Chinese)

2013年5月，习主席在视察成都战区时，郑重提出在适当时候召开全军政治工作会议，并明确提出到古田召开这次会议，以更好弘扬我党我军的光荣传统和优良作风。6月，总政治部向中央军委提交《关于筹备召开全军政治工作会议的请示》，提出要通过召开会议形成一个指导性文件。习主席随即批示同意，明确要求这个文件要充分体现深厚的历史积淀和政治意蕴，能够管一个时期，起到历史性作用。

- Consistent tokenization is important for all later processing steps.

# Lexical Analysis 3: Morphological Analysis

Witte (2016)

- Morphological Variants: Stemming and Lemmatization

## Morphological Variants

Words are changed through a morphological process called *inflection*:

- typically indicates changes in case, gender, number, tense, etc.
- example *car* → *cars*, *give* → *gives*, *gave*, *given*

Goal: “normalize” words

## Stemming and Lemmatization

Two main approaches to normalization:

**Stemming** reduce words to a *base form*

**Lemmatization** reduce words to their *lemma*

Main difference: stemming just finds **any** base form, which doesn't even need to be a word in the language! Lemmatization find the actual *root* of a word, but requires morphological analysis.

# Lexical Analysis 3: Morphological Analysis

Witte (2016)

- Stemming

## Stemming

Commonly used in Information Retrieval:

- Can be achieved with rule-based algorithms, usually based on suffix-stripping
- Standard algorithm for English: the *Porter* stemmer
- Advantages: simple & fast
- Disadvantages:
  - Rules are language-dependent
  - Can create words that do not exist in the language, e.g., *computers* → *comput*
  - Often reduces different words to the same stem, e.g., *army, arm* → *arm*
  - *stocks, stockings* → *stock*
- Stemming for German: German stemmer in the full-text search engine *Lucene*, *Snowball* stemmer with German rule file

# Lexical Analysis 3: Morphological Analysis

Witte (2016)

- Lemmatization

## Lemmatization

Lemmatization is the process of deriving the base form, or *lemma*, of a word from one of its inflected forms. This requires a morphological analysis, which in turn typically requires a *lexicon*.

- Advantages:
  - identifies the *lemma* (root form), which is an actual word
  - less errors than in stemming
- Disadvantages:
  - more complex than stemming, slower
  - requires additional language-dependent resources
- While stemming is good enough for Information Retrieval, Text Mining often requires lemmatization
  - Semantics is more important (we need to distinguish an *army* and an *arm!*)
  - Errors in low-level components can multiply when running downstream

# Lexical Analysis 3: Morphological Analysis

- Stemming vs. Lemmatization

Word	Stemming	Lemmatization
Love	Lov	Love
Loves	Lov	Love
Loved	Lov	Love
Loving	Lov	Love
Innovation	Innovat	Innovation
Innovations	Innovat	Innovation
Innovate	Innovat	Innovate
Innovates	Innovat	Innovate
Innovative	Innovat	Innovative

# Lexical Analysis 3: Morphological Analysis

- Stemming vs. Lemmatization with crude example

```
> crude[[1]]  
<<PlainTextDocument (metadata: 15)>>  
Diamond Shamrock Corp said that  
effective today it had cut its contract prices for crude oil by  
1.50 dtrs a barrel.  
The reduction brings its posted price for West Texas  
Intermediate to 16.00 dtrs a barrel, the company said.  
"The price reduction today was made in the light of falling  
oil product prices and a weak crude oil market," a company  
spokeswoman said.  
Diamond is the latest in a line of U.S. oil companies that  
have cut its contract, or posted, prices over the last two days  
citing weak oil markets.  
Reuter
```

Stemming

```
> stemCorpus[[1]]  
<<PlainTextDocument (metadata: 7)>>  
diamond shamrock corp said that  
effect today it had cut it contract price for crude oil by  
dlrs a barrel  
the reduct bring it post price for west texas  
intermedi to dtrs a barrel the copani said  
the price reduct today was made in the light of falling  
oil product price and a weak crude oil market a company  
spokeswoman said  
diamond is the latest in a line of us oil compani that  
hav cut it contract or post price over the last two days  
cit weak oil markets  
reuter
```

Lemmatization

```
> LemmaCorpus1  
[1] "diamond shamrock corp say that effective today it have  
cut it contract price for crude oil by dlr a barrel the reduc  
tion bring it post price for w texa intermediate to dlr a b  
arrel the copany say the price reduction today be make in th  
e light have fall oil product price and a weak crude oil mar  
ket a company spokeswoman say diamond be the late in a line  
have us oil company that have cut it contract or post price  
ov the last two day cite weak oil market reut"
```

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

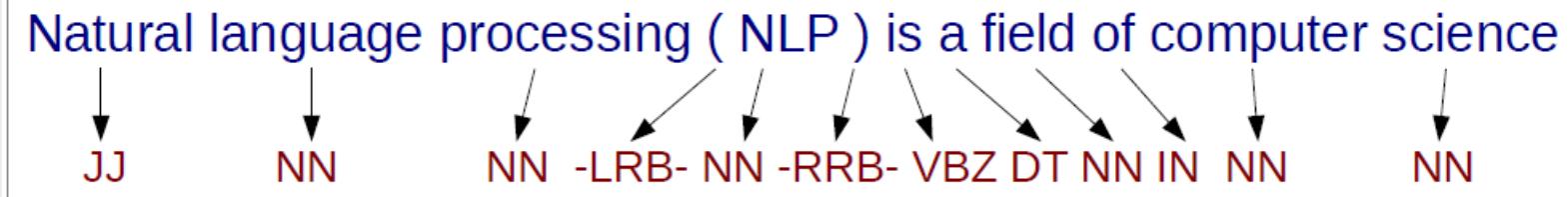
Witte (2016)

- Part of speech (POS) tagging

- ✓ Given a sentence X, predict its part of speech sequence Y

- Input: tokens that sentence may have ambiguity
    - Output: most appropriate tag by considering its definition and contexts (relationship with adjacent and related words in phrases, sentence, or paragraph)

- ✓ A type of “structured” prediction



- Different POS tags for the same token

- ✓ I love you. → “love” is a verb

- ✓ All you need is love. → “love” is noun

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- POS Tagging

## POS-Tagging

A statistical POS Tagger scans tokens and assigns **POS Tags**.

*A black cat plays.* . . . → *A/DT black/JJ cat/NN plays/VB...*

- relies on different word order probabilities
- needs a manually tagged corpus for machine learning

Note: *this is not parsing!*

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Tagsets: English

## Penn Treebank

TAG	DESCRIPTION	EXAMPLE
<b>CC</b>	conjunction, coordinating	<i>and, or, but</i>
<b>CD</b>	cardinal number	<i>five, three, 13%</i>
<b>DT</b>	determiner	<i>the, a, these</i>
<b>EX</b>	existential there	<i><u>there</u> were six boys</i>
<b>FW</b>	foreign word	<i>mais</i>
<b>IN</b>	conjunction, subordinating or preposition	<i>of, on, before, unless</i>
<b>JJ</b>	adjective	<i>nice, easy</i>
<b>JJR</b>	adjective, comparative	<i>nicer, easier</i>
<b>JJS</b>	adjective, superlative	<i>nicest, easiest</i>
<b>LS</b>	list item marker	
<b>MD</b>	verb, modal auxiliary	<i>may, should</i>
<b>NN</b>	noun, singular or mass	<i>tiger, chair, laughter</i>
<b>NNS</b>	noun, plural	<i>tigers, chairs, insects</i>
<b>NNP</b>	noun, proper singular	<i>Germany, God, Alice</i>
<b>NNPS</b>	noun, proper plural	<i>we met two <u>Christmases</u> ago</i>
<b>PDT</b>	predeterminer	<i><u>both</u> his children</i>
<b>POS</b>	possessive ending	<i>'s</i>
<b>PRP</b>	pronoun, personal	<i>me, you, it</i>
<b>PRP\$</b>	pronoun, possessive	<i>my, your, our</i>
<b>RB</b>	adverb	<i>extremely, loudly, hard</i>
<b>RBR</b>	adverb, comparative	<i>better</i>
<b>RBS</b>	adverb, superlative	<i>best</i>
<b>RP</b>	adverb, particle	<i>about, off, up</i>
<b>SYM</b>	symbol	<i>%</i>
<b>TO</b>	infinitival to	<i>what <u>to</u> do?</i>
<b>UH</b>	interjection	<i>oh, oops, gosh</i>
<b>VB</b>	verb, base form	<i>think</i>
<b>VBD</b>	verb, 3rd person singular present	<i>she <u>thinks</u></i>
<b>VBP</b>	verb, non-3rd person singular present	<i>I <u>think</u></i>
<b>VBN</b>	verb, past tense	<i>they <u>thought</u></i>
<b>VBN</b>	verb, past participle	<i>a <u>sunken</u> ship</i>
<b>VBG</b>	verb, gerund or present participle	<i><u>thinking</u> is fun</i>
<b>WDT</b>	wh-determiner	<i>which, whatever, whichever</i>
<b>WP</b>	wh-pronoun, personal	<i>what, who, whom</i>
<b>WP\$</b>	wh-pronoun, possessive	<i>whose, whomever</i>
<b>WRB</b>	wh-adverb	<i>where, when</i>
.	punctuation mark, sentence closer	<i>.?*</i>
,	punctuation mark, comma	<i>,</i>
:	punctuation mark, colon	<i>:</i>
(	contextual separator, left paren	<i>(</i>
)	contextual separator, right paren	<i>)</i>

## UCREL CLAWS7 Tagset

APPG	possessive pronoun, pre-nominal (e.g. my, your, our)
AT	article (e.g. the, no)
AT1	singular article (e.g. a, an, every)
BCL	before-clause marker (e.g. in order (that),in order (to))
CC	coordinating conjunction (e.g. and, or)
CCB	adversative coordinating conjunction (but)
CS	subordinating conjunction (e.g. if, because, unless, so, for)
CSA	(as conjunction)
CSN	than (as conjunction)
CST	that (as conjunction)
CSW	whether (as conjunction)
DA	after-determiner or post-determiner capable of pronominal function (e.g. such, former, same)
DAT	singular after-determiner (e.g. little, much)
DA2	plural after-determiner (e.g. few, several, many)
DAR	comparative after-determiner (e.g. more, less, fewer)
DAT	superlative after-determiner (e.g. most, least, fewest)
DB	before determiner or pre-determiner capable of pronominal function (all, half)
DB2	plural before-determiner ( both)
DD	determiner (capable of pronominal function) (e.g any, some)
DD1	singular determiner (e.g. this, that, another)
DD2	plural determiner (these, those)
DDQ	wh-determiner (which, what)
DDQE	wh-determiner, genitive (whose)
DDQV	wh-ever determiner, (whichever, whatever)
EX	existential there
FO	formula
FU	unclassified word
FW	foreign word
GE	germanic genitive marker - (' or's)
IF	for (as preposition)
II	general preposition
IO	of (as preposition)
IW	with, without (as prepositions)
JJ	general adjective
JJR	general comparative adjective (e.g. older, better, stronger)
JJT	general superlative adjective (e.g. oldest, best, strongest)
JK	catenative adjective (able in be able to, willing in be willing to)
MC	cardinal number,neutral for number (two, three..)
MC1	singular cardinal number (one)
MC2	plural cardinal number (e.g. sixes, sevens)
MCGE	genitive cardinal number, neutral for number (two's, 100's)
MCNC	hyphenated number (40-50, 1770-1827)
MD	ordinal number (e.g. first, second, next, last)
MF	fraction,neutral for number (e.g. quarters, two-thirds)
NI	singular noun of direction (e.g. north, southeast)
NN	common noun, neutral for number (e.g. sheep, cod, headquarters)
NNI	singular common noun (e.g. book, girl)
NN2	plural common noun (e.g. books, girls)
NNA	following noun of title (e.g. M.A.)
NNB	preceding noun of title (e.g. Mr., Prof.)
NNL1	singular locative noun (e.g. Island, Street)
NNL2	plural locative noun (e.g. Islands, Streets)
NNO	numerical noun, neutral for number (e.g. dozen, hundred)
NNO2	numerical noun, plural (e.g. hundreds, thousands)
NNT1	temporal noun, singular (e.g. day, week, year)
NNT2	temporal noun, plural (e.g. days, weeks, years)
NNU	unit of measurement, neutral for number (e.g. in, cc)
NNU1	singular unit of measurement (e.g. inch, centimetre)
NNU2	plural unit of measurement (e.g. ins., feet)
NP	proper noun, neutral for number (e.g. IBM, Andes)
NP1	singular proper noun (e.g. London, Jane, Frederick)
NP2	plural proper noun (e.g. Browns, Reagans, Koreas)
NPD1	singular weekday noun (e.g. Sunday)
NPD2	plural weekday noun (e.g. Sundays)
NPM1	singular month noun (e.g. October)
NPM2	plural month noun (e.g. Octobers)
PN	indefinite pronoun, neutral for number (none)
PN1	indefinite pronoun, singular (e.g. anyone, everything, nobody, one)
PNQO	objective wh-pronoun (whom)
PNQS	subjective wh-pronoun (who)
PNQV	wh-ever pronoun (whoever)
PNX1	reflexive indefinite pronoun (oneself)
PPQE	nominal possessive personal pronoun (e.g. mine, yours)

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Tagsets: Korean

## 한글 형태소 품사 (Part Of Speech, POS) 태그표

한글 형태소의 품사를 체언, 용언, 관형사, 부사, 감탄사, 조사, 어미, 접사, 어근, 부호, 한글 이외'와 같이 나누고 각 세부 품사를 구분한다.

대분류	세종 품사 태그		심광섭 품사 태그		KKMA 단일 태그 V 1.0							
	태그	설명	Class	설명	묶음 1	묶음 2	태그	설명	학점태그	저장사전		
체언	NNG	일반 명사	N	NN	명사	NN	NNG	보통 명사	noun.dic	어말 어미	EF	
	NNP	고유 명사		NNP	고유 명사		NNP	고유 명사			EM	
	NNB	의존 명사		NX	의존 명사		NNB	일반 의존 명사			ET	
	NR	수사		UM	단위 명사		NNM	단위 의존 명사			E	
	NP	대명사		NU	수사		NR	수사			EF	
용언	VV	동사	V	VV	동사	verb.dic	VV	동사	verb.dic	접두사	EC	
	VA	형용사		AJ	형용사		VA	형용사			ETN	
	VX	보조 동사		VX	보조 동사		VX	보조 동사			ETM	
	VCP	긍정 지정사, 서술격 조사 '이다'		VC	긍정 지정사, 서술격 조사 '이다'		VCP	긍정 지정사, 서술격 조사 '이다'			XPN	
	VCN	부정 지정사		VCN	부정 지정사, 협용사 '아니 다'		VCN	부정 지정사, 협용사 '아니 다'			PF	
관형사	MM	관형사	MD	DT	일반 관형사	MD	MDT	일반 관형사	raw.dic	접미사	XSN	
	DN	수 관형사		MDN	수 관형사		MDN	수 관형사			SN	
부사	MAG	일반 부사	MA	AD	부사	MA	MAG	일반 부사	raw.dic		XSV	
	MAJ	접속 부사		MAC	접속 부사		MAC	접속 부사			SA	
감탄사	IC	감탄사	I	IC	감탄사	I	IC	감탄사	I		SF	
조사	JKS	주격 조사	JO	JK	주격 조사	J	JKS	주격 조사	raw.dic	부호 외래어	SP	
	JKC	보격 조사		JK	보격 조사		JKC	보격 조사			SS	
	JKG	관형격 조사		JK	관형격 조사		JKG	관형격 조사			SE	
	JKO	목적격 조사		JK	목적격 조사		JKO	목적격 조사			SO	
	JKB	부사격 조사		JK	부사격 조사		JKM	부사격 조사			SW	
	JKV	호격 조사		JK	호격 조사		JKI	호격 조사			NF	
	JKQ	인용격 조사		JK	인용격 조사		JKQ	인용격 조사			NV	
	JX	보조사		JK	보조사		JX	보조사			NA	
선어말 어미	JC	접속 조사	EP	JC	접속 조사	EP	JC	접속 조사	raw.dic	분석 불능	SL	
	EP	선어말 어미		EP	선어말 어미		EP	선어말 어미			SH	
											SN	

어말 어미	EF	종결 어미	EM	어말 어미	E	EFN	평서형 종결 어미	EF
						EFQ	의문형 종결 어미	
						EFO	명령형 종결 어미	
	EC	연결 어미	ETN	ETN	ET	EFA	청유형 종결 어미	
						EFI	감탄형 종결 어미	
						EFR	준형형 종결 어미	
접두사	ET	체언 접두사	XP	접두사	X	XPN	체언 접두사	XP
						XPN	용언 접두사	
						XSN	명사 파생 접미사	
	ETD	동사 파생 접미사	XSV	동사화 접미사	X	XSN	명사 파생 접미사	
						XSV	동사 파생 접미사	
						XSA	형용사 파생 접미사	
접미사	X	형용사화 접미사	XS	접미사	X	XSA	부사화 접미사	XS
						XSM	부사-파생 접미사	
						XSO	카타-접미사	
	X	기타 접미사	X	접미사	X	XRO	기타기호	
						XRO	기타기호 (논리수학기호, 화폐기호)	
						XRO	기타기호 (논리수학기호, 화폐기호)	
부호	SY	마침표를음표, 느낌표	S	부호 외래어	S	SF	마침표를음표, 느낌표	Symbol class
						SP	쉼표, 가운데점, 콜론, 빛금	
						SS	따옴표, 괄호표, 줄표	
	SE	줄임표	SE	부호 외래어	S	SE	줄임표	
						SO	불임표(물결, 숨김, 빠짐)	
						SW	기타기호 (논리수학기호, 화폐기호)	
분석 불능	U	미등록어	U	분석 불능	U	UN	명사추정범주	N/A
						UV	용언추정범주	
	O	분석불능범주	O	한글 이외	O	UE	분석불능범주	
						OL	외국어	
한글 이외	O	한자	O	한글 이외	O	OH	한자	N/A
						ON	숫자	
	O	숫자	O	한글 이외	O	NR	숫자	

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

Witte (2016)

- POS Tagging Algorithms

## Fundamentals

POS-Tagging generally requires:

Training phase where a manually annotated corpus is processed by a machine learning algorithm; and a

Tagging algorithm that processes texts using learned parameters.

Performance is generally good (around 96%) when staying in the same domain.

## Algorithms used in POS-Tagging

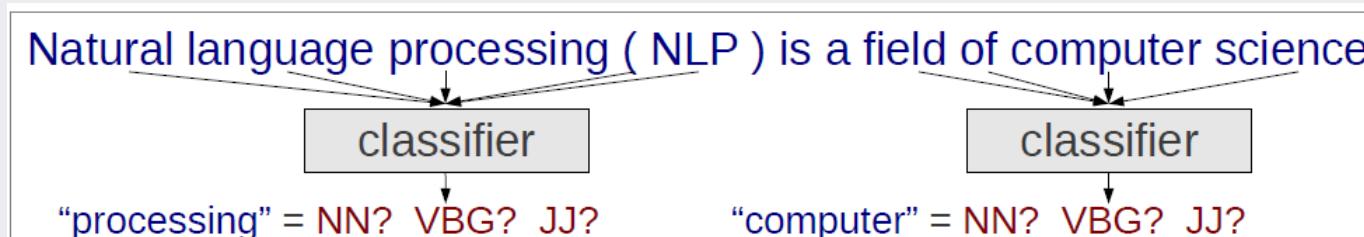
There is a multitude of approaches, commonly used are:

- Decision Trees
- Hidden Markov Models (HMMs)
- Support Vector Machines (SVM)
- Transformation-based Taggers (e.g., the Brill tagger)

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- POS Tagging Algorithms

- ✓ Pointwise prediction: predict each word individually with a classifier (e.g. Maximum Entropy Model, SVM)



- ✓ Probabilistic models

- Generative sequence models: Find the most probable tag sequence given the sentence (Hidden Markov Model; HMM)
- Discriminative sequence models: Predict whole sequence with a classifier (Conditional Random Field; CRF)

- ✓ Neural network-based models

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Pointwise Prediction: Maximum Entropy Model

- ✓ Encode features for tag prediction

- Information about word/context: suffix, prefix, neighborhood word information
    - eg:  $f_i(w_j, t_j) = 1$  if suffix( $w_j$ ) = “ing” &  $t_j$  = VBG, 0 otherwise

- ✓ Tagging Model

$$p(t|C) = \frac{1}{Z(C)} \exp\left(\sum_{i=1}^n \lambda_i f_i(C, t)\right) \quad p(t_1, \dots, t_n | w_1, \dots, w_n) \approx \prod_{i=1}^n p(t_i | w_i)$$

- $f_i$  is a feature
    - $\lambda_i$  is a weight (large value implies informative features)
    - $Z(C)$  is a normalization constant ensuring a proper probability distribution
    - Makes no independence assumption about the features

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Pointwise Prediction: Maximum Entropy Model

- ✓ An example

```
48 # POS Tagging with MaxEnt
49 install.packages("openNLP")
50 library(openNLP)
51
52 s1 <- paste(c("Pierre Vinken, 61 years old, will join the board as a ",
53             "nonexecutive director Nov. 29.\n",
54             "Mr. Vinken is chairman of Elsevier N.V., ",
55             "the Dutch publishing group."),
56             collapse = ""))
57 s1 <- as.string(s1)

> s1
Pierre Vinken, 61 years old, will join the board as a nonexecutive director Nov. 29.
Mr. Vinken is chairman of Elsevier N.V., the Dutch publishing group.
```

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Pointwise Prediction: Maximum Entropy Model

✓ An example

```
59 ## Need sentence and word token annotations.  
60 s2 <- annotate(s1, list(Maxent_Sent_Token_Annotator(), Maxent_Word_Token_Annotator()))  
61  
62 ## POS tag probabilities as (additional) features.  
63 s3 <- annotate(s1, Maxent_POS_Tag_Annotator(probs = TRUE), s2)
```

```
> s3  
#> #> id type start end features  
#> 1 sentence 1 84 constituents=<<integer,18>>  
#> 2 sentence 86 153 constituents=<<integer,13>>  
#> 3 word 1 6 POS=NNP, POS_prob=0.9476405  
#> 4 word 8 13 POS=NNP, POS_prob=0.9692841  
#> 5 word 14 14 POS=., POS_prob=0.9884445  
#> 6 word 16 17 POS=CD, POS_prob=0.9926943  
#> 7 word 19 23 POS=NNS, POS_prob=0.9893489  
#> 8 word 25 27 POS=JJ, POS_prob=0.9693832  
#> 9 word 28 28 POS=., POS_prob=0.9873552  
#> 10 word 30 33 POS=MD, POS_prob=0.9460105  
#> 11 word 35 38 POS=VB, POS_prob=0.9865564  
#> 12 word 40 42 POS=DT, POS_prob=0.9692801  
#> 13 word 44 48 POS>NN, POS_prob=0.9928681  
#> 14 word 50 51 POS=IN, POS_prob=0.9592474  
#> 15 word 53 53 POS=DT, POS_prob=0.9890297  
#> 16 word 55 66 POS=JJ, POS_prob=0.7213763  
#> 17 word 68 75 POS>NN, POS_prob=0.987327  
#> 18 word 77 80 POS=NNP, POS_prob=0.9581523  
#> 19 word 82 83 POS=CD, POS_prob=0.9502215  
#> 20 word 84 84 POS=., POS_prob=0.9943433  
#> 21 word 86 88 POS=NNP, POS_prob=0.9762001  
#> 22 word 90 95 POS=NNP, POS_prob=0.9904051  
#> 23 word 97 98 POS=VBZ, POS_prob=0.9820713  
#> 24 word 100 107 POS>NN, POS_prob=0.8300819  
#> 25 word 109 110 POS=IN, POS_prob=0.9838273  
#> 26 word 112 119 POS=NNP, POS_prob=0.9231359  
#> 27 word 121 124 POS=NNP, POS_prob=0.9969889  
#> 28 word 125 125 POS=., POS_prob=0.9762171  
#> 29 word 127 129 POS=DT, POS_prob=0.9811851  
#> 30 word 131 135 POS=JJ, POS_prob=0.8021723  
#> 31 word 137 146 POS>NN, POS_prob=0.9669352  
#> 32 word 148 152 POS>NN, POS_prob=0.9940887  
#> 33 word 153 153 POS=., POS_prob=0.9898899
```

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Probabilistic Model for POS Tagging
  - ✓ Find the **most probable tag sequence** given the sentence

Natural language processing ( NLP ) is a field of computer science



$$\underset{Y}{\operatorname{argmax}} P(Y|X)$$

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

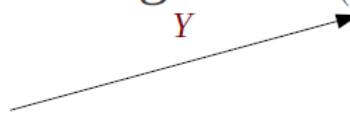
- Generative Sequence Model
  - ✓ Decompose probability using Baye's Rule

$$\operatorname{argmax}_Y P(Y|X) = \operatorname{argmax}_Y \frac{P(X|Y)P(Y)}{P(X)}$$

$$= \operatorname{argmax}_Y P(X|Y)P(Y)$$

Model of word/POS interactions  
“natural” is probably a JJ

Model of POS/POS interactions  
NN comes after DET



# Lexical Analysis 4: Part-of-Speech (POS) Tagging

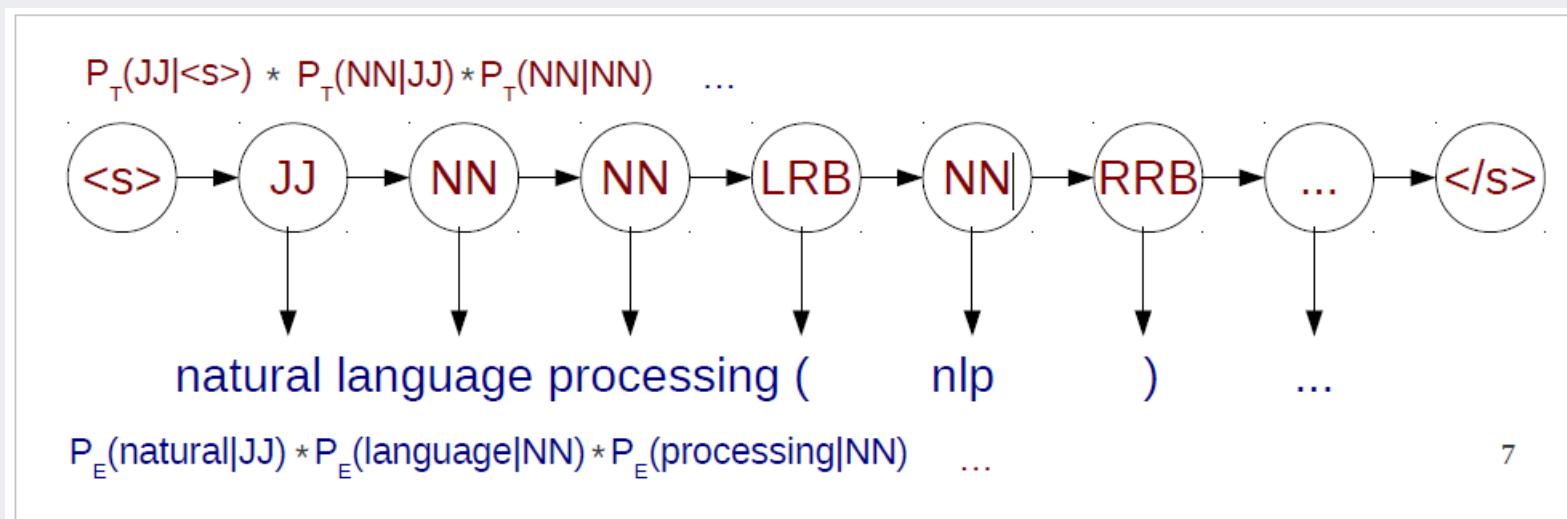
- Generative Sequence Model: Hidden Markov Model

- ✓ POS → POS **transition** probabilities

$$P(Y) \approx \prod_{i=1}^{I+1} P_T(y_i|y_{i-1})$$

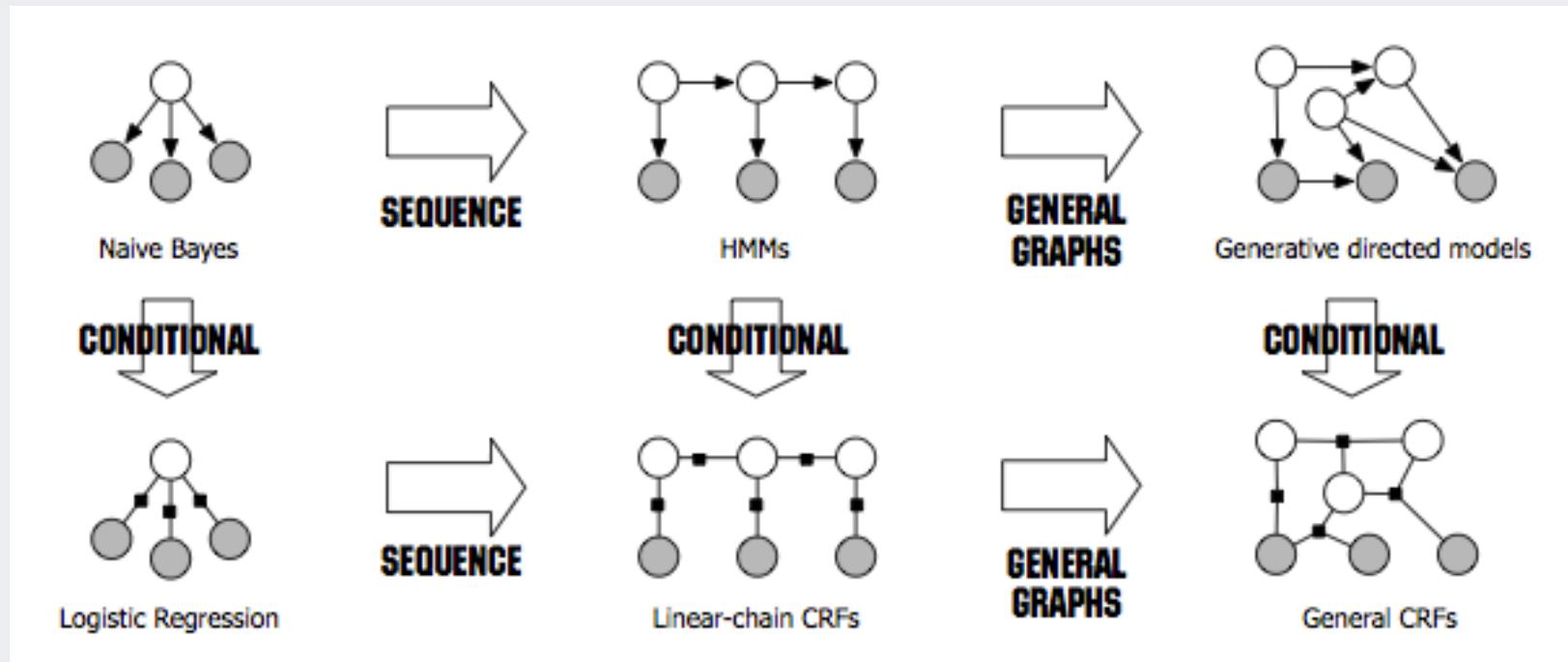
- ✓ POS → Word **emission** probabilities

$$P(X|Y) \approx \prod_1^I P_E(x_i|y_i)$$



# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Discriminative Sequence Model: Conditional Random Field (CRF)
  - ✓ Relieve that constraint that a tag is generated by the previous tag sequence
  - ✓ Predict the whole tag set at the same time, not sequentially

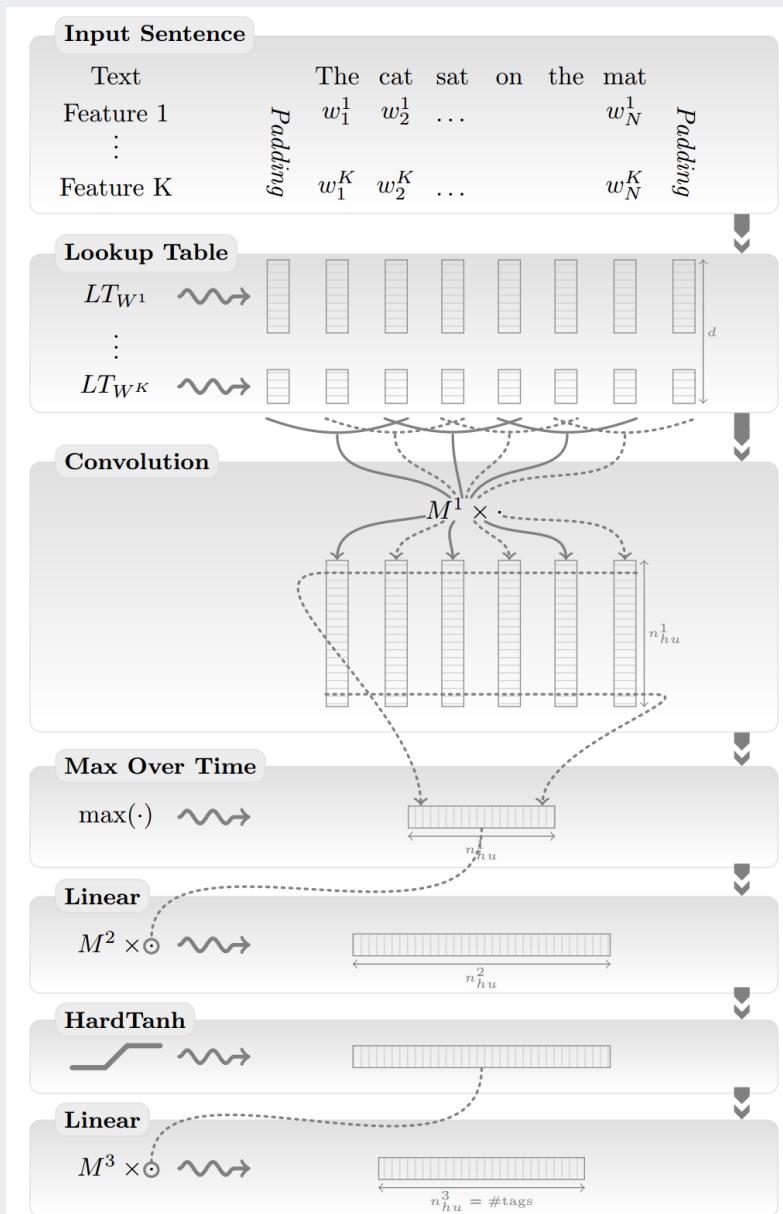
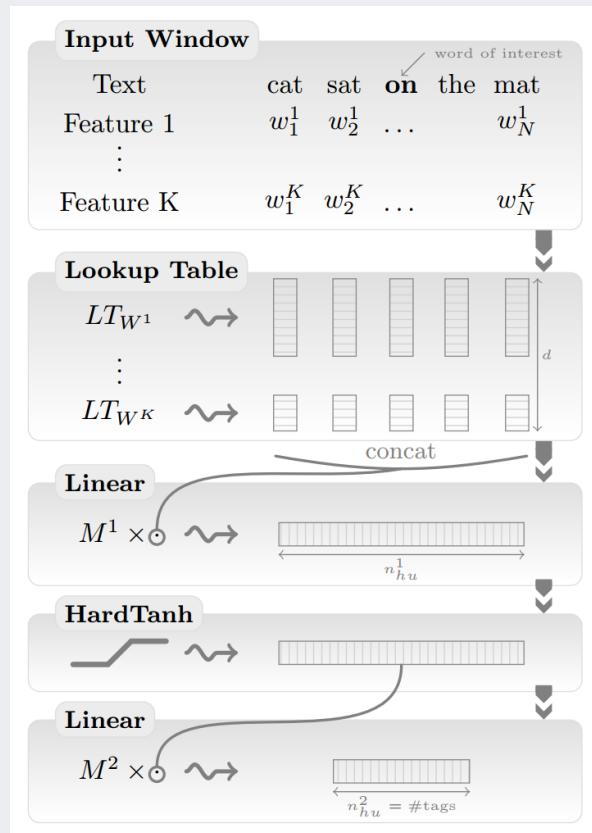


<http://people.cs.umass.edu/~mccallum/papers/crf-tutorial.pdf>

# Lexical Analysis 4: Part-of-Speech (POS) Tagging

Collobert et al. (2011)

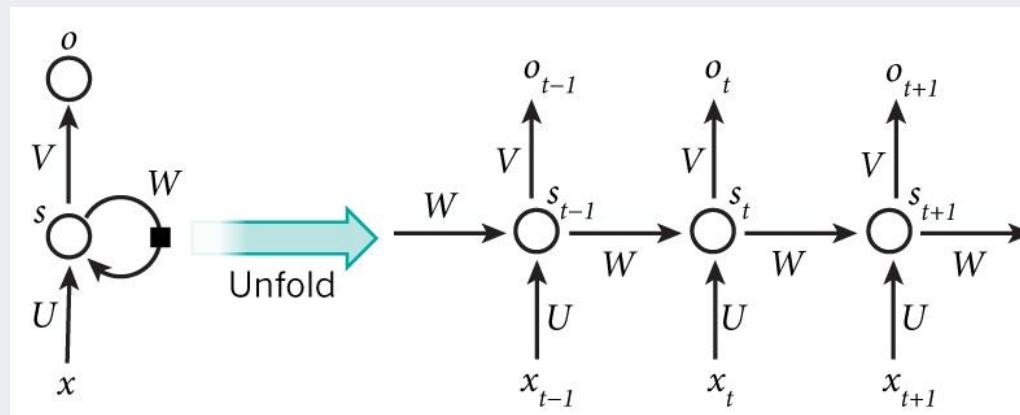
- Neural Network-based Models
- ✓ Window-based vs. sentence-based



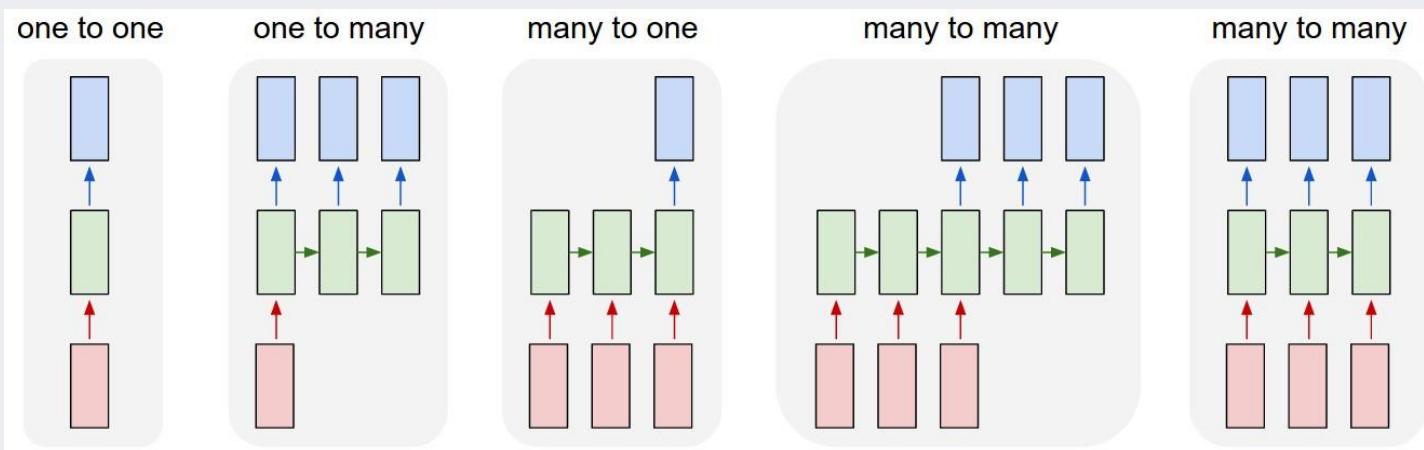
# Lexical Analysis 4: Part-of-Speech (POS) Tagging

- Neural network-based models

- ✓ Recurrent neural networks: have a feedback loop within the hidden layer

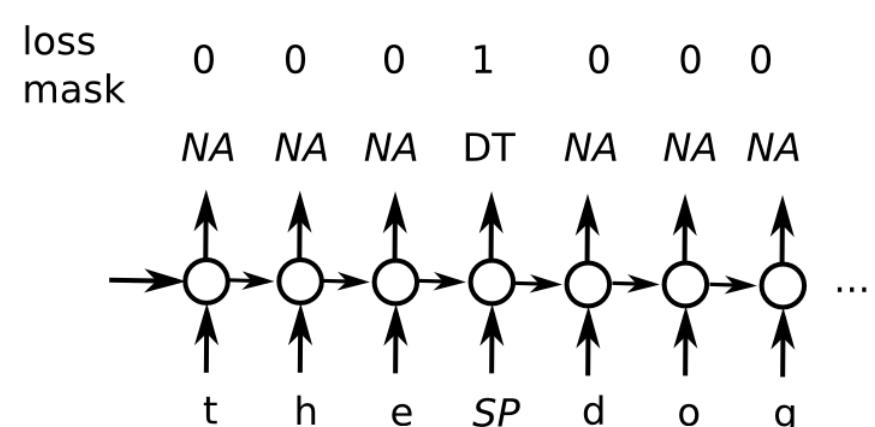
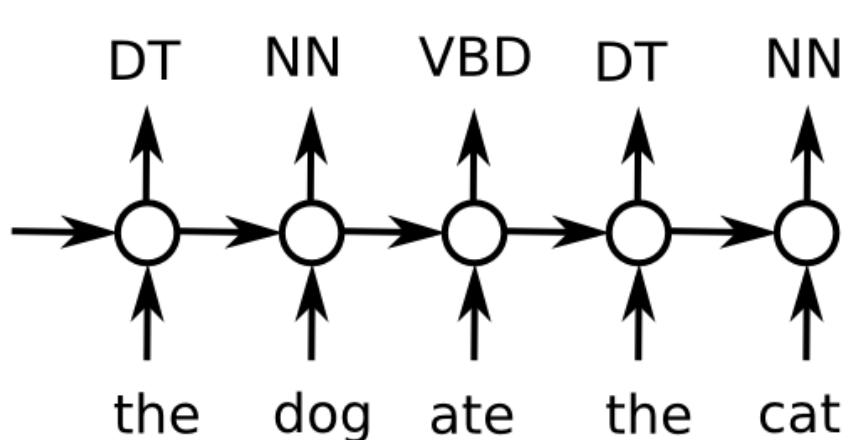


- ✓ Input-Output mapping of RNNs



# Lexical Analysis 4: Part-of-Speech (POS) Tagging

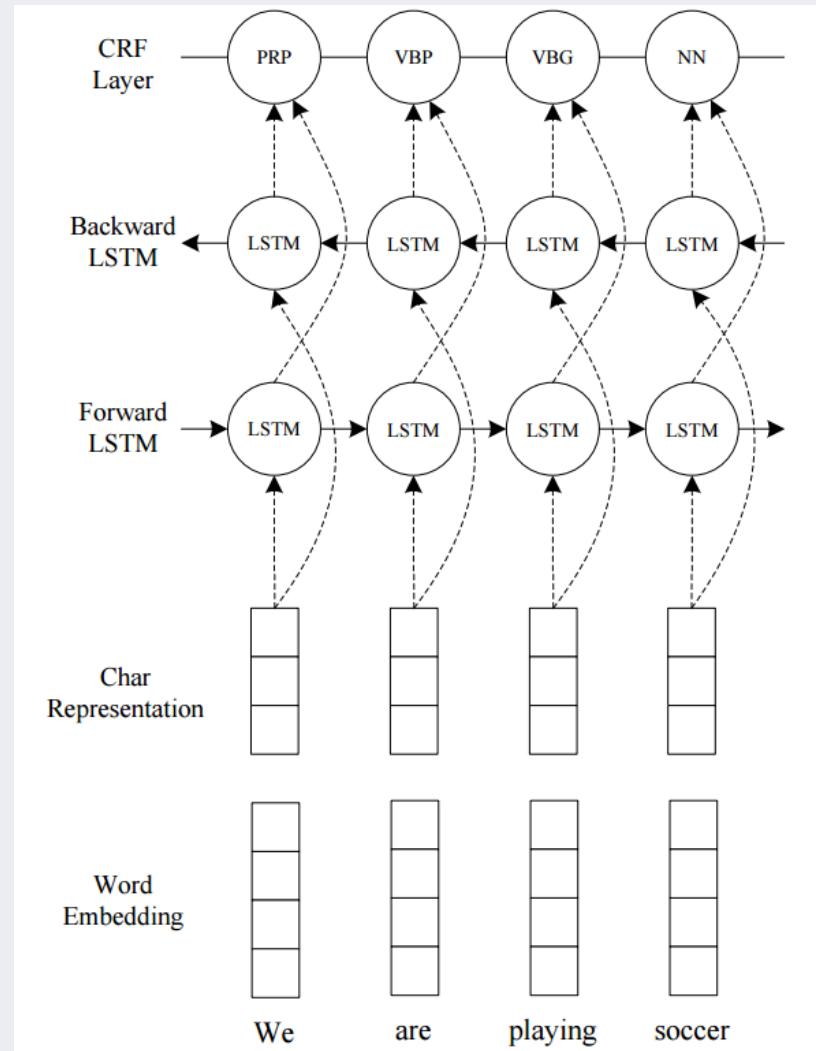
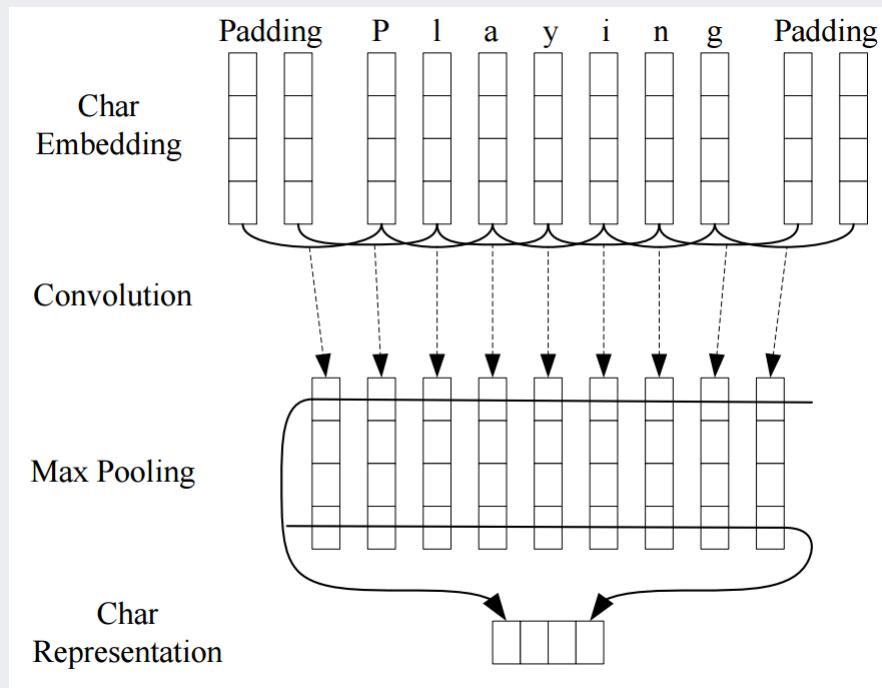
- Neural network-based models: Recurrent neural networks



# Lexical Analysis 4: Part-of-Speech (POS) Tagging

Ma and Hovy (2016)

- Hybrid model: LSTM(RNN) + ConvNet + CRF



# Lexical Analysis 4: Part-of-Speech (POS) Tagging

Ma and Hovy (2016)

- Hybrid model: LSTM(RNN) + ConvNet + CRF

✓ Experimental results

Dataset		WSJ	CoNLL2003
Train	SENT	38,219	14,987
	TOKEN	912,344	204,567
Dev	SENT	5,527	3,466
	TOKEN	131,768	51,578
Test	SENT	5,462	3,684
	TOKEN	129,654	46,666

Table 2: Corpora statistics. SENT and TOKEN refer to the number of sentences and tokens in each data set.

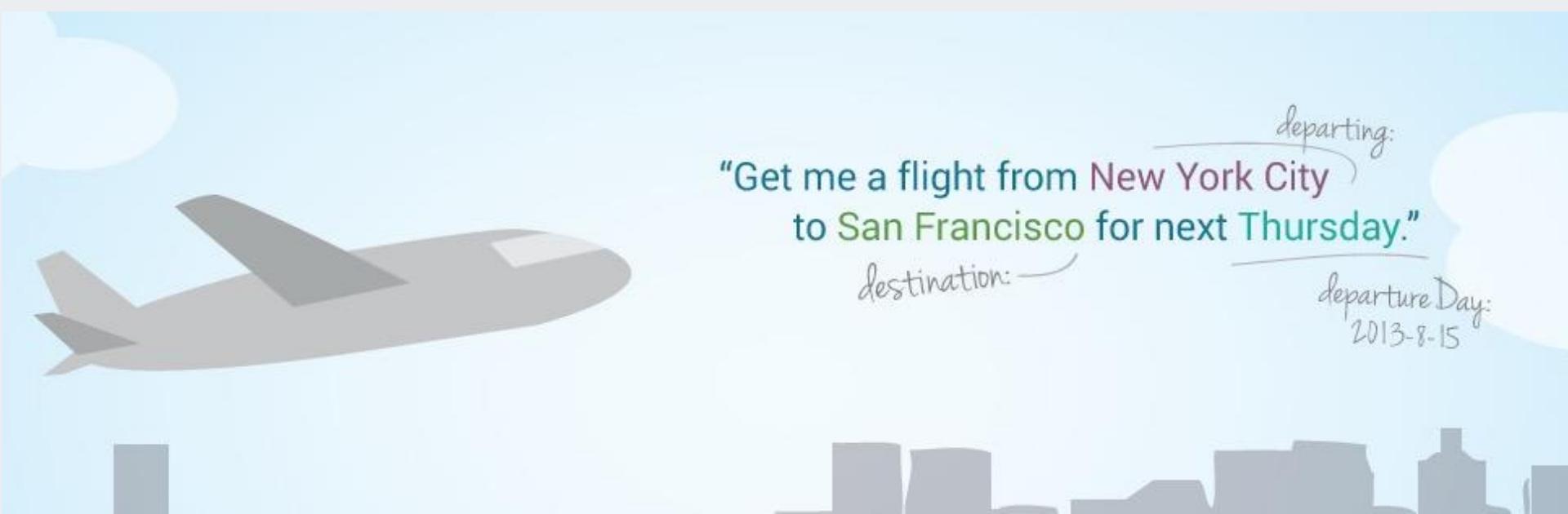
Model	F1
Chieu and Ng (2002)	88.31
Florian et al. (2003)	88.76
Ando and Zhang (2005)	89.31
Collobert et al. (2011) <sup>‡</sup>	89.59
Huang et al. (2015) <sup>‡</sup>	90.10
Chiu and Nichols (2015) <sup>‡</sup>	90.77
Ratinov and Roth (2009)	90.80
Lin and Wu (2009)	90.90
Passos et al. (2014)	90.90
Luo et al. (2015)	91.20
<b>This paper</b>	<b>91.21</b>

Model	POS		NER					
	Dev	Test	Dev			Test		
			Acc.	Acc.	Prec.	Recall	F1	Prec.
BRNN	96.56	96.76	92.04	89.13	90.56	87.05	83.88	85.44
BLSTM	96.88	96.93	92.31	90.85	91.57	87.77	86.23	87.00
BLSTM-CNN	97.34	97.33	92.18	93.60	92.89	88.77	90.26	89.51
BRNN-CNN-CRF	97.46	97.55	94.85	94.63	94.74	91.35	91.06	91.21

# Lexical Analysis 5: Named Entity Recognition

- Named Entity Recognition: NER

- ✓ a subtask of information extraction that seeks to locate and classify elements in text into pre-defined categories such as the names of persons, organizations, locations, expressions of times, quantities, monetary values, percentages, etc.



# Lexical Analysis 5: Named Entity Recognition

## Approaches for NER: Dictionary/Rule-based

- List lookup: systems that recognizes only entities stored in its lists
  - ✓ **Advantages:** simple, fast, language independent, easy to retarget.
  - ✓ **Disadvantages:** collection and maintenance of list cannot deal with name variants and cannot resolve ambiguity
- Shallow Parsing Approach
  - ✓ Internal evidence – names often have internal structure. These components can be either stored or guessed.
    - Location: Cap Word + {Street, Boulevard, Avenue, Crescent, Road}
    - e.g.: Wall Street

# Lexical Analysis 5: Named Entity Recognition

## Approaches for NER: Model-based

- **MITIE**
  - ✓ An open sourced information extraction tool developed by MIT NLP lab.
  - ✓ Available for English and Spanish
  - ✓ Available for C++, Java, R, and Python
- **CRF++**
  - ✓ NER based on conditional random fields
  - ✓ Supports multi-language models
- **Convolutional neural networks**
  - ✓ I-of-M coding, Word2Vec, N-Grams can be used as encoding methods

# AGENDA

01 Introduction to NLP

---

02 Lexical Analysis

---

03 Syntax Analysis

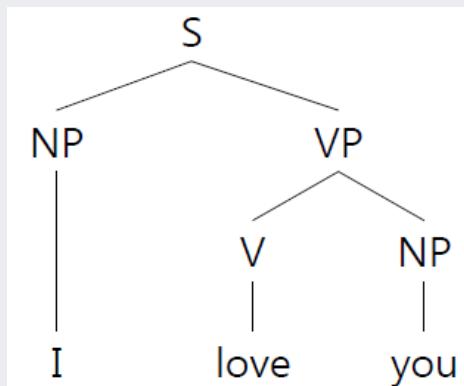
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04 Other Topics in NLP

---

# Syntax Analysis

- Syntax Analysis
  - ✓ Process of analyzing a string of symbols conforming to the rules of a formal grammar

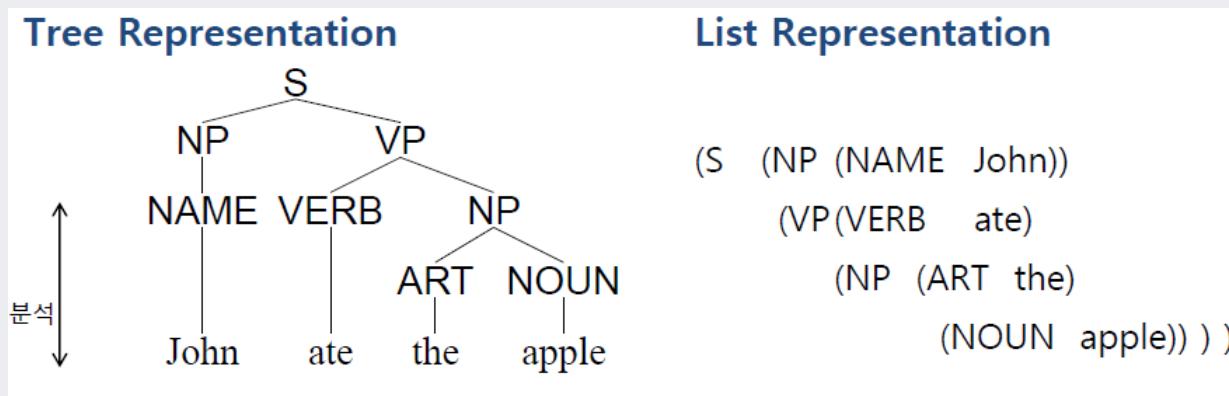


- Parser
  - ✓ An algorithm that computes a structure for an input string given a grammar
  - ✓ All parsers have two fundamental properties
    - **Directionality:** the sequence in which the structures are constructed (e.g., top-down or bottom-up)
    - **Search strategy:** the order in which the search space of possible analysis explored (e.g., depth-first, breadth-first)

# Syntax Analysis

- Parsing Representation

- ✓ Tree vs List

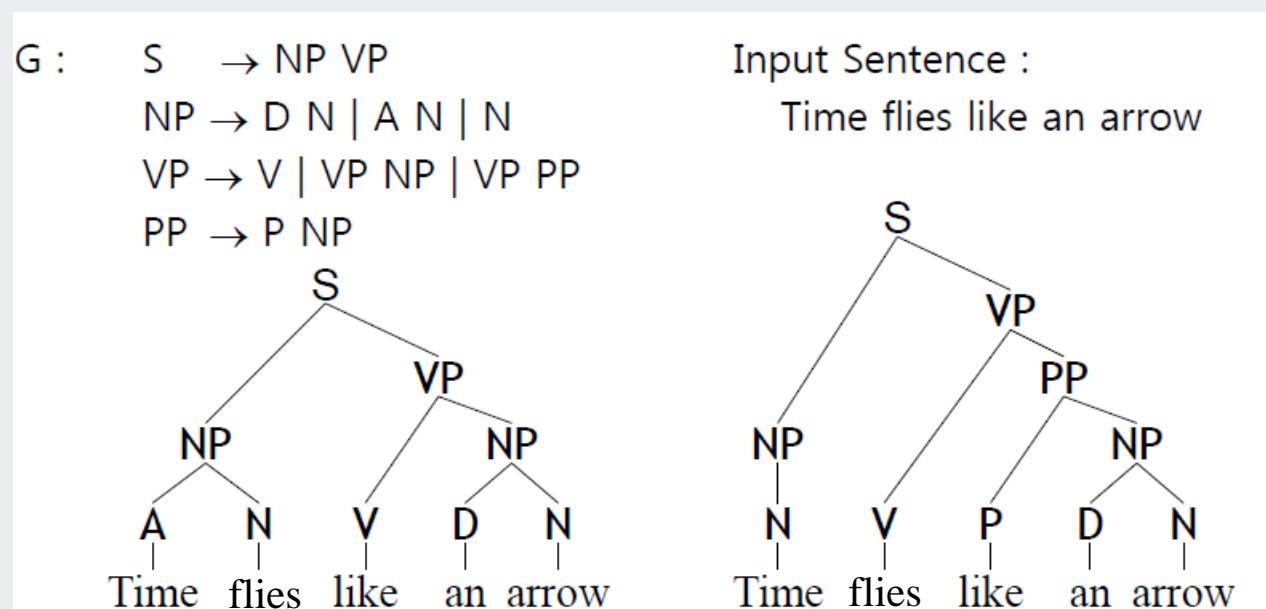


- ✓ Meaning

- S (Sentence) consists of NP (Noun Phrase) and VP (Verb Phrase)
- NP consists of Name (John)
- VP consists of VERB (ate) and the other NP
- NP consists of ART (the) and Noun (apple)

# Syntax Analysis

- Not a single parsing tree due to language ambiguity
- Lexical ambiguity
  - ✓ One word can be used for multiple parts of speech
  - ✓ Lexical ambiguity causes structural ambiguity

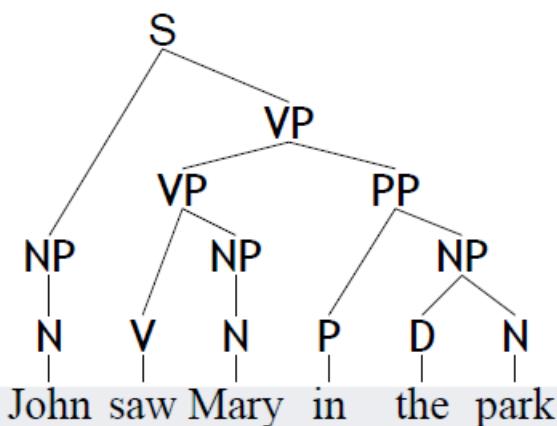


# Syntax Analysis

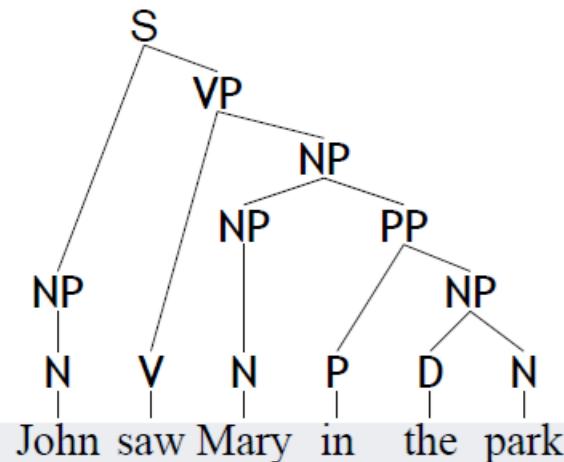
- Structural Ambiguity

- ✓ One sentence can be understood in different ways

G :    S     $\rightarrow$  NP VP  
          NP  $\rightarrow$  N | D N | NP PP  
          VP  $\rightarrow$  V NP | VP PP  
          PP  $\rightarrow$  P NP



Input Sentence :  
John saw Mary in the park.



# AGENDA

01 Introduction to NLP

---

02 Lexical Analysis

---

03 Syntax Analysis

---

04 Other Topics in NLP

---

# Language Modeling

Jurafsky, Language Modeling

- Probabilistic Language Model

- ✓ Assign a probability to a sentence (not POS tags, but the sentence itself)

- Applications

- ✓ Machine Translation

- $P(\text{high wind tonight}) > P(\text{large wind tonight})$

- ✓ Spell correction

- The office is about fifteen **minuets** from my house
    - $P(\text{about fifteen minutes from}) > P(\text{about fifteen minuets from})$

- ✓ Speech recognition

- $P(\text{I saw a van}) \gg P(\text{eyes awe of an})$

- ✓ Summarization, question-answering, etc.

# Language Modeling

Jurafsky, Language Modeling

- **Probabilistic Language Modeling**

- ✓ Compute the probability of a sentence or sequence of words

$$P(W) = P(w_1, w_2, w_3, \dots, w_n)$$

- ✓ Related task: probability of an upcoming word

$$P(w_5|w_1, w_2, w_3, w_4)$$

- ex) I love you more than I can \_\_\_\_\_? (swim? say?)

- **How to compute  $P(W)$**

- ✓ What is  $P(\text{its, water, is, so, transparent, that})$ ?

- ✓ Chain Rules of Probability:

$$P(w_1, w_2, w_3, \dots, w_n) = P(w_1)P(w_2|w_1)P(w_3|w_1, w_2)\dots P(w_n|w_1, \dots, w_{n-1})$$

$$P(\text{its water is so trasparent"}) = P(\text{its}) \times P(\text{water}|\text{its}) \times P(\text{is}|\text{its water})$$

$$\times P(\text{so}|\text{its water is}) \times P(\text{transparent}|\text{its water is so})$$

# Language Modeling

Jurafsky, Language Modeling

- **Markov Assumption**

- ✓ Consider only  $k$  previous words when estimating the conditional probability

$$P(w_1 w_2 \dots w_n) \approx \prod_i P(w_i | w_{i-k} \dots w_{i-1}) \quad P(w_i | w_1 w_2 \dots w_{i-1}) \approx \prod_i P(w_i | w_{i-k} \dots w_{i-1})$$

- ✓ Simplest case: Unigram model

$$P(w_1 w_2 \dots w_n) \approx \prod_i P(w_i)$$

- ✓ An example of automatically generated sentences from a unigram model

```
fifth, an, of, futures, the, an, incorporated, a,  
a, the, inflation, most, dollars, quarter, in, is,  
mass
```

```
thrift, did, eighty, said, hard, 'm, july, bullish
```

```
that, or, limited, the
```

# Language Modeling

Jurafsky, Language Modeling

- Bigram model

- ✓ Condition on the previous word

$$P(w_i | w_1 w_2 \dots w_{i-1}) \approx P(w_i | w_{i-1})$$

```
texaco, rose, one, in, this, issue, is, pursuing, growth, in,  
a, boiler, house, said, mr., gurria, mexico, 's, motion,  
control, proposal, without, permission, from, five, hundred,  
fifty, five, yen  
  
outside, new, car, parking, lot, of, the, agreement, reached  
  
this, would, be, a, record, november
```

- N-gram models

- ✓ Can extend to trigrams, 4-grams, 5-grams

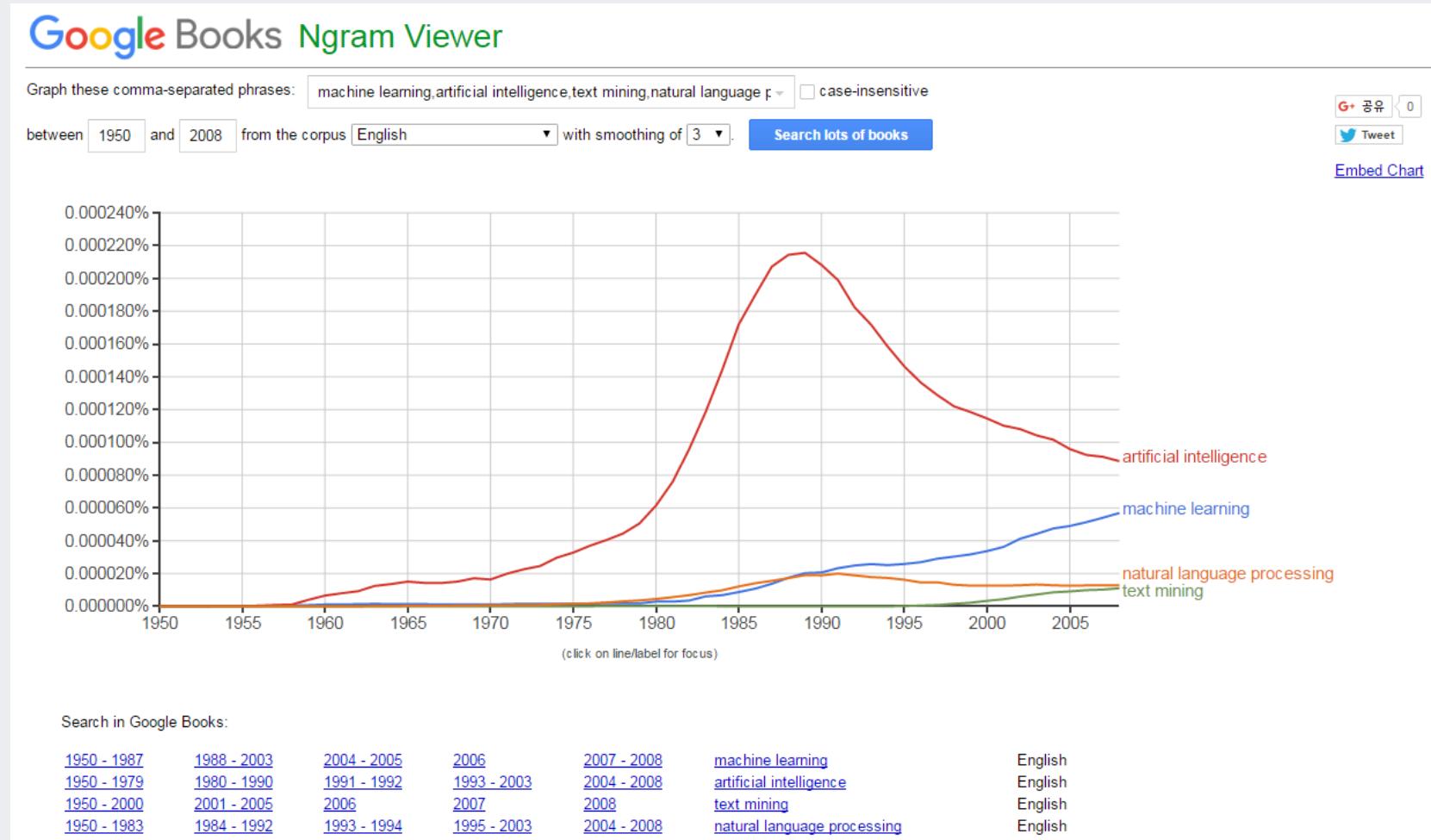
- In sufficient model of language because language has long-distance dependencies
- “**The computer** when I had just put into the machine room on the fifth floor **crashed**.”

- ✓ We can often get away with N-gram models

# Language Modeling

- Google Books N-Gram

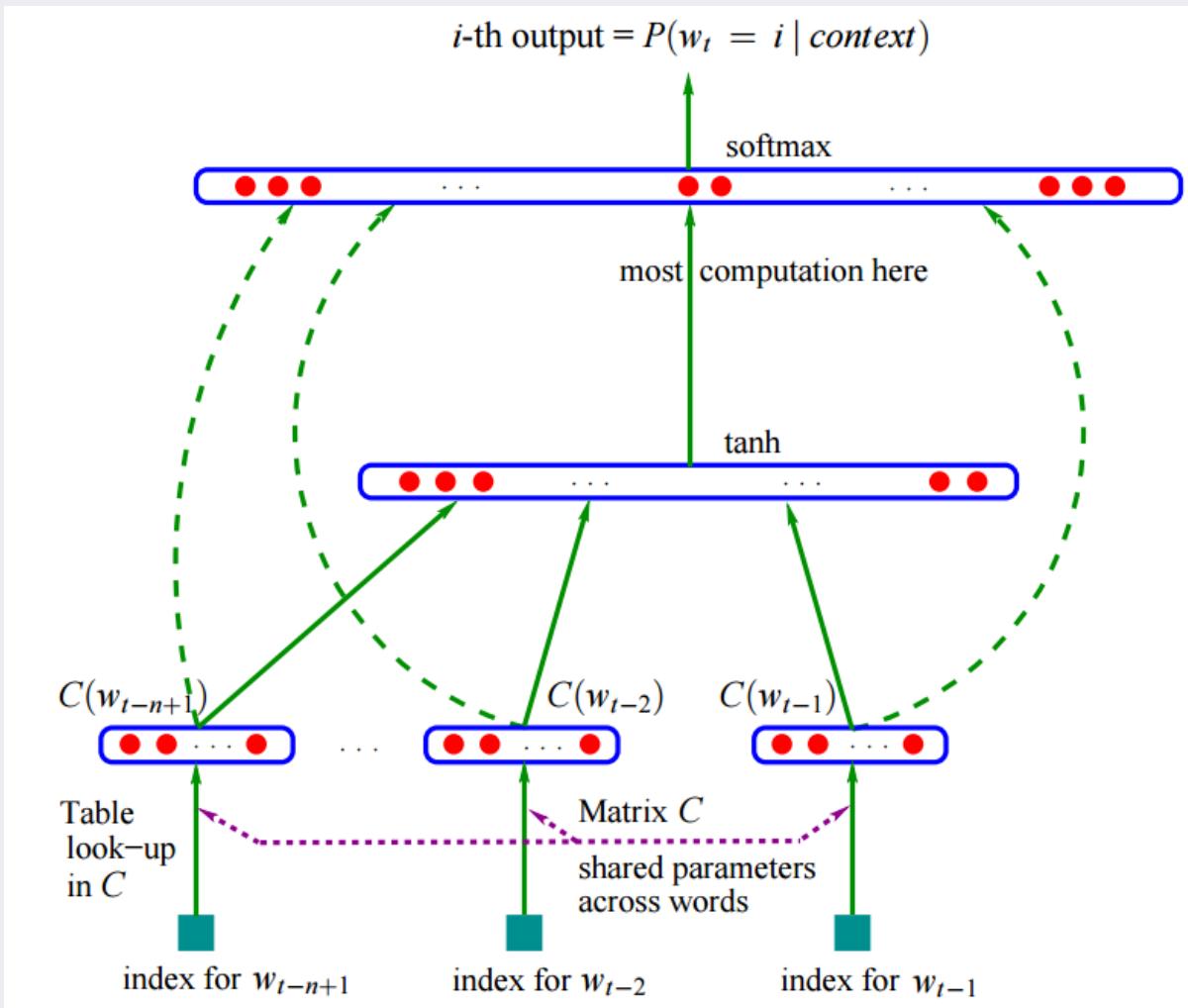
- ✓ 1,024 billion words & 1.1 billion 5-grams that appeared at least 40 times (2006)



# Language Modeling

Bengio et al. (2003)

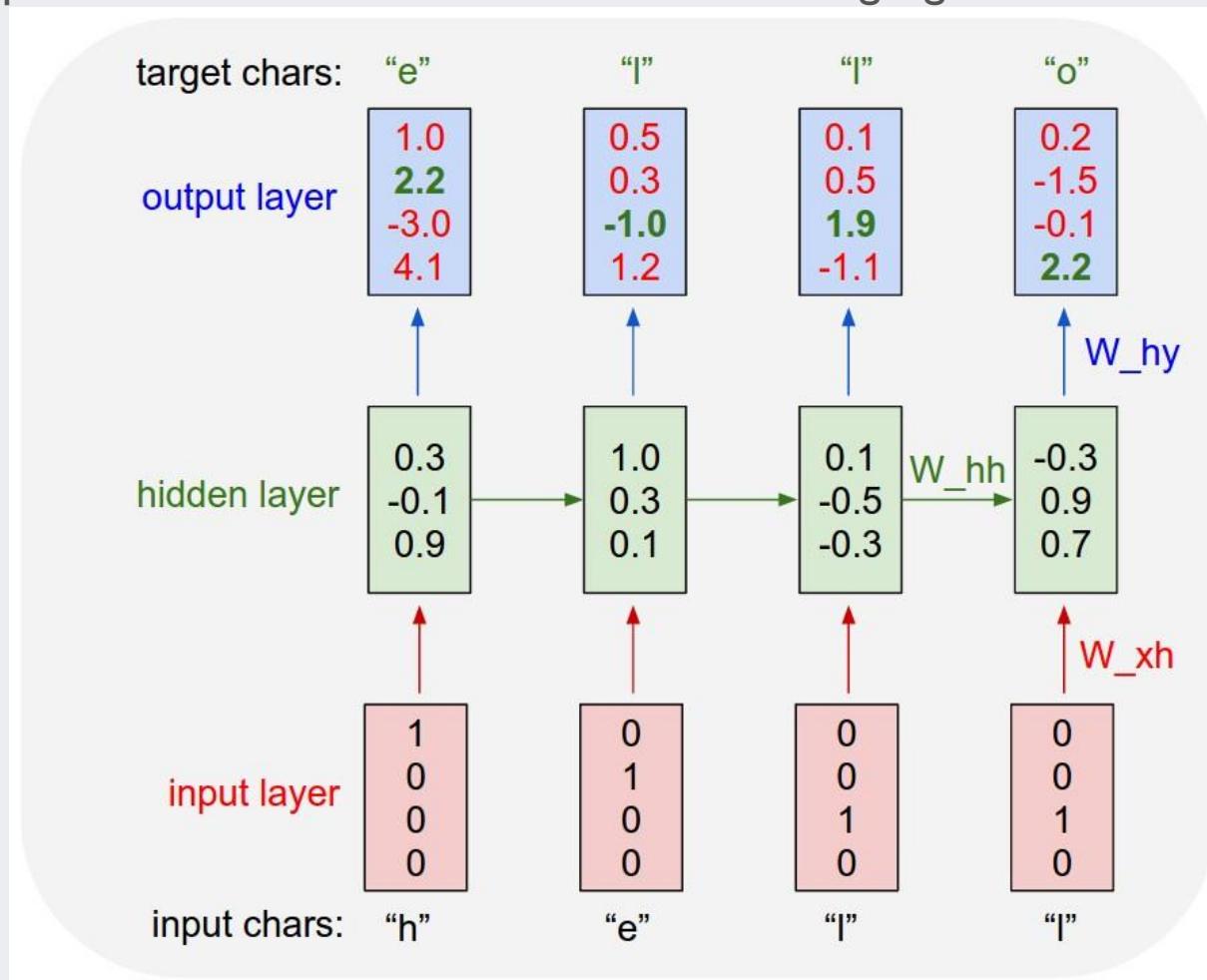
- Neural Network-based Language Model



# Language Modeling

Mikolov et al. (2010)

- Recurrent Neural Network (RNN)-based Language Model
  - ✓ A simplified RNN structure for character-level language model



# Language Modeling

- Recurrent Neural Network (RNN)-based Language Model
  - ✓ Character-level RNN vs Word-level RNN

## Char-RNN

ESCALUS:  
What is our honours, such a Richard story  
Which you mark with bloody been Thillld we'll adverses:  
That thou, Aurtructs a greques' great  
Jmander may to save it not shif theseen my news  
Clisters it take us?  
Say the dulterout apy showd. They hance!

AnBESS OF GUCESTER:  
Now, glarding far it prick me with this queen.  
And if thou met were with revil, sir?

KATHW:  
I must not my naturation disery,  
And six nor's mighty wind, I fairs, if?

Messenger:  
My lank, nobles arms;

<https://github.com/hunkim/word-rnn-tensorflow>

## Word-RNN

LEONTES:  
Why, my Irish time?  
And argue in the lord; the man mad, must be deserved a spirit as drown the warlike Pray him, how seven in.  
KING would be made that, methoughts I may married a Lord dishonour  
Than thou that be mine kites and sinew for his honour  
In reason prettily the sudden night upon all shalt bid him thus again. times than one from mine unaccustom'd sir.

LARTIUS:  
O,'tis aediles, fight!  
Farewell, it himself have saw.

SLY:  
Now gods have their VINCENTIO:  
Whipt fearing but first I know you you, hinder truths.

ANGELO:  
This are entitle up my dearest state but deliver'd.

DUKE look dissolved: seemeth brands  
That He being and  
full of toad, they knew me to joy.

# Language Modeling

Lee (2017)

- Recurrent Neural Network (RNN)-based Language Model

- ✓ Character-level RNN (Korean)

- 이광수 장편소설 「무정」(총 323,660 음절, 1,680개 단어)
    - 특징: 1917년 작품이라 한자어가 많이 쓰였음, 큰따옴표와 줄바꿈을 포함한 대화체 문장이 많으며, 중고교생 대상으로 읽히는 작품이라 중간중간 괄호 속에 편집자 주석이 끼어 있음

형식은, 아뿔싸! 내가 어찌하여 이러한 생각을 하는가, 내 마음이 이렇게 약하던가 하면서 두 주먹을 불끈 쥐고 전신에 힘을 주어 이러한 약한 생각을 떼어 버리려 하나, 가슴속에는 이상하게 불길이 확확 일어난다. 이때에,

“미스터 리, 어디로 가는가” 하는 소리에 깜짝 놀라 고개를 들었다. (중략) 형식은 얼마큼 마음에 수치한 생각이 나서 고개를 돌리며, “아직 그런 말에 익숙지를 못해서……” 하고 말끝을 못 맺는다. “대관절 어디로 가는 길인가? 급지 않거든 점심이나 하세그려.”

“점심은 먹었는걸.”

“그러면 맥주나 한잔 먹지.”

“내가 술을 먹는가.”

(중략)

“요— 오메데토오(아— 축하하네). 이이나즈케(약혼한 사람)가 있나 보네그려. 음 나루호도(그려려니). 그러구도 내게는 아무 말도 없단 말이야. 예, 여보게” 하고 손을 후려친다.

# Language Modeling

Lee (2017)

Iter 0 :

랫萬게좁뉘뿔름끈玄른작밭裸觀갈나맡文풀조바늠형伍下잇별흘툄혈調記운피悲렙司狼독벗칼둡걷착날完잣老  
엇낫業4改'촉수를낮뱅잇쯤죽道년友련친씩았융타雲채發造거크휘亨律與命텐암면형평琵해落유 리벤產이馨텐

Iter 1300 : 를 웃 사가 려만다밤 말어변 대니 심로 려이, 순 과 이을 죄사글를 . 사람을 영채와 이니아베을 니러,  
다가 달고 면 를 아잘 하 기 성구을 을 실틈으로 아잠 고 이 그와 매못 더 (띄어쓰기)

Iter 4900 : 를 왔다내 루방덩이종 은 얼에는 집어흔영채는 아무 우선을 에서가며 건들하아버전는 애양을 자에  
운 모양이 랐다. 은 한다선과 '마는 .식세식가들어 ,

형식다

"내었다.있이 문 (줄바꿈)

Iter 100000 : 면서 치현분들더 중 한통 선교잤다.

"처럼 우셨다시가..... 것이 말사도? 여자려겠습니다" 하는 마음(裸生)은 이런 적드렸다. 그 말이 얼굴이 딸로  
나고 얼굴이 마음불 하고 따라 선

Iter 300000 : 씻었다. 선형은 형식의 형식은 빛이 가슴을 오고 걸현감에는 일이 는 눈과 의고 아이얗어 알으로  
자기의 구원을 내어려가 여러 짓을 쾌처게 안아 말고였는 악한 순간에 속으로 두 학교에

Iter 500000 : 본다. 성학과 평양으로 새로도 처음의 타던 공격하였다. '영채의 꽁인의 생각을 하면 때에 기생의  
이는 것 보더니 나는 듯이 제인 소세건과 영채의 모양이를 대하였다. 형식을 생각하여

Iter 750000 : 으로 유안하였다. 더할까 하는 세상이 솔이요, 알고 게식도 들어울는 듯하였다. 태에그려 깔깔고  
웃는 듯이 흔반다. 우선형은 사람을 어려보낸다.

"그려가?" (간접 인용)

한다. 영채는 손을 기쁘

Iter 1000000 : 에 돌내면서,

"여러 넣어오습데다. 그 말대 아무도 좀 집림과 시오 백매, 저는 열녀더러, 기린 소년이가 아니라."

"어리지요."

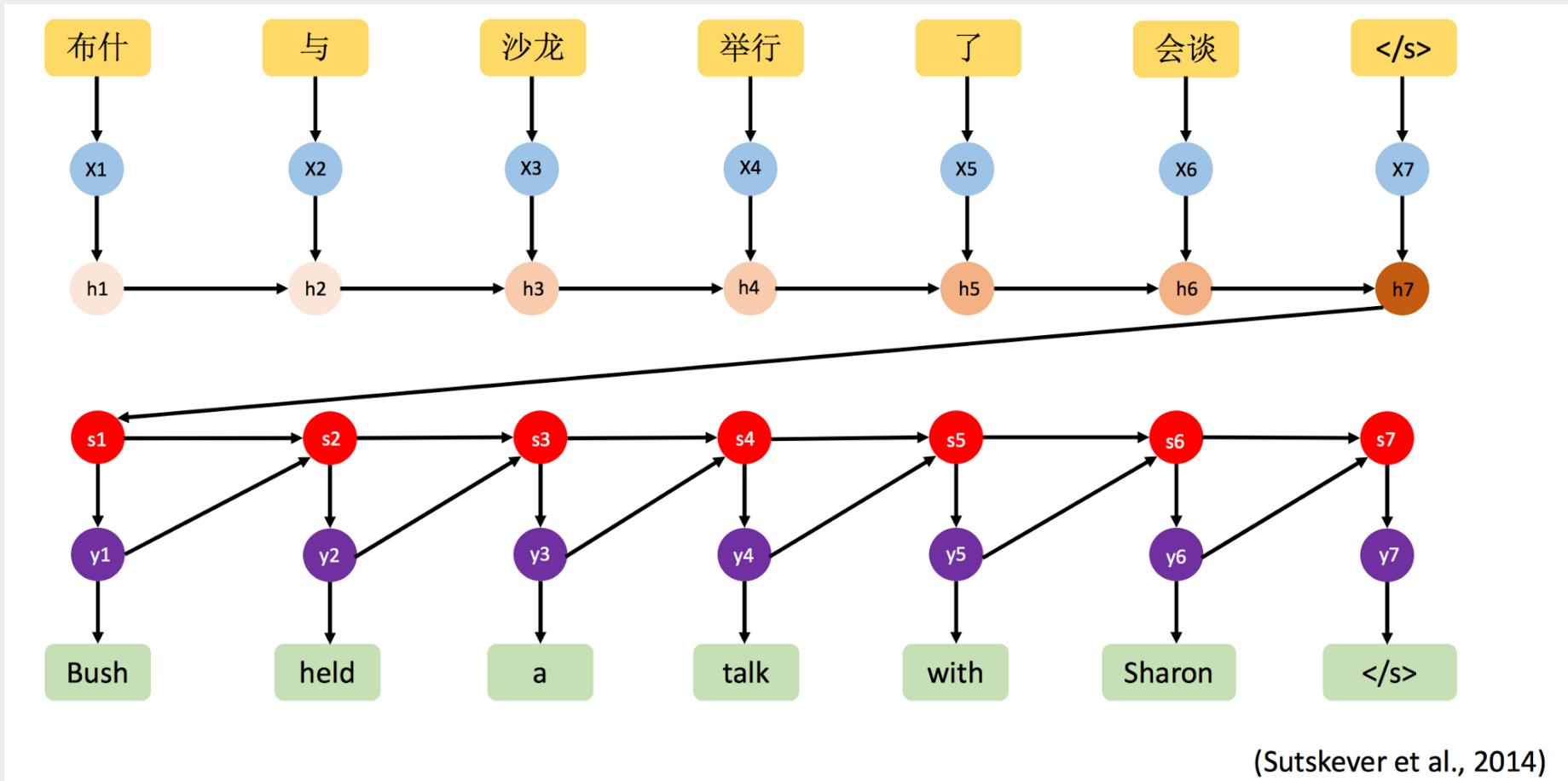
노파도 놀라며,

"저희마다가 말없습니까."

"아니 (대화체)

# Language Modeling

- Sequence to Sequence (Seq2Seq) Learning

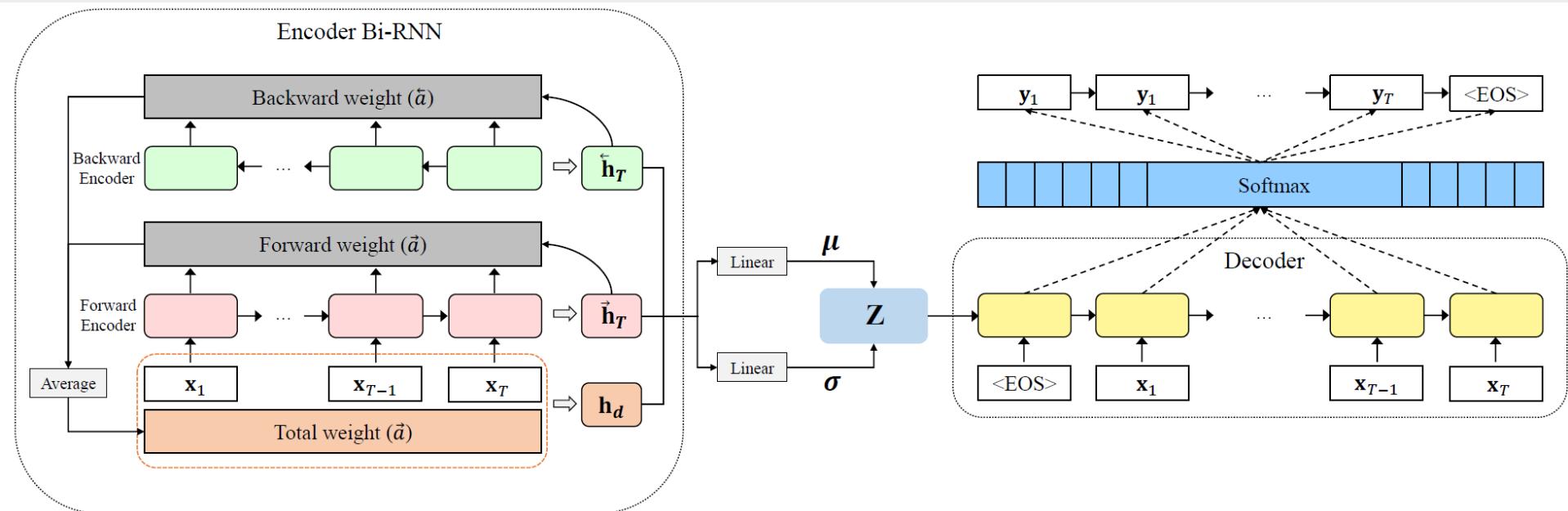


<https://medium.com/@Synced/history-and-frontier-of-the-neural-machine-translation-dc981d25422d>

# Language Modeling

Lee (2018+)

- Sequence to Sequence (Seq2Seq) Learning



# Language Modeling

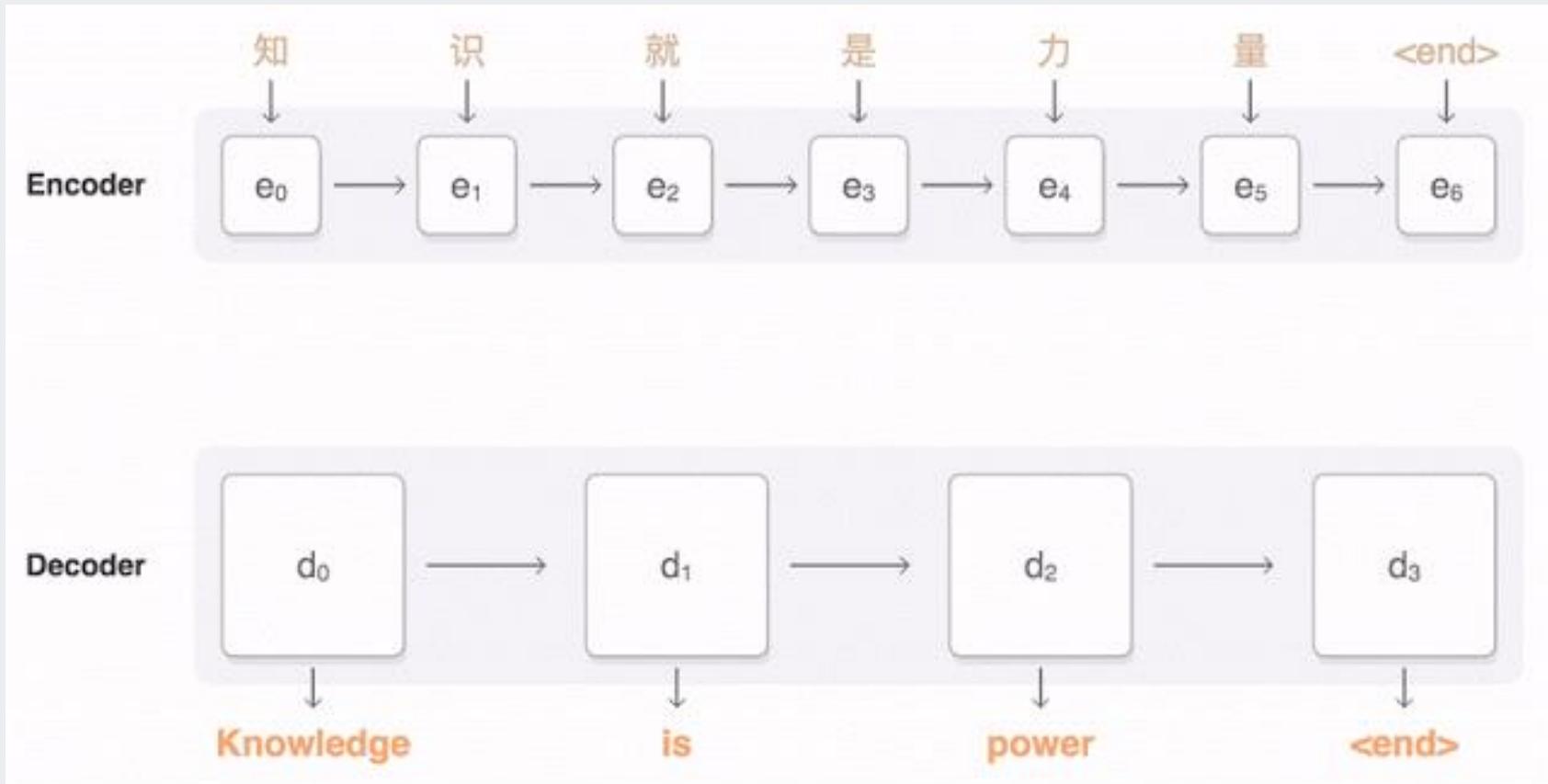
Lee et al. (2018)

- Sequence to Sequence (Seq2Seq) Learning

	Q: Inventories increased across divisions, but were compensated by advance payments received and a better operational ____.			
Level 1	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“performance”	“performance”	“performance”	“service”
Q: Click here for instructions on how to enable javascript in your ____.				
Level 2	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“browser”	“browser”	“browser”	“system”
Q: David Rhodes and Robert Hendricks (Montreal process technical advisory group tac) described tac’s work on a framework of criteria and indicators that provide a common of ____ management of temperate and boreal forests.				
Level 3	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“sustainable”	“the”	“the”	“sustainable”
Q: Such distinctive homes can attract interest from far beyond your ____ market.				
Level 2	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“local”	“local”	“local”	“own”
Q: The list is sorted by country so you shouldn’t have a problem to find a ____ near you.				
Level 3	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“vendor”	“destination”	“few”	“hotel”
Q: If you have text in any page of your site that contain any of the keywords below, you can add your contextual listing there. It’s free and your listing will appear online in ____.				
Level 3	Truth	RNN-SVAE	RNN-VAE	RNN-AE
	“real time containing hyperlink to your page”	“real time containing hyperlink to your page”	“real time containing your account” to your account	“real time http www ‘UNK’ com au account”
Q: Energy star is a registered trademark of the US environmental ____.				
Level 3	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“protection agency”	“protection agency”	“protection agency”	“insurance program”
Q: Encrypt within the veritas net backup policy eliminating a seperate process or an extra dedicated ____.				
Level 3	Truth	RNN-AE	RNN-VAE	RNN-SVAE
	“device to manage”	“to the application”	“to the enviroment”	“device to manage”

# Language Modeling

- Attention for Seq2Seq learning



<https://medium.com/@Synced/history-and-frontier-of-the-neural-machine-translation-dc981d25422d>

# Language Modeling

- Attention for Seq2Seq learning

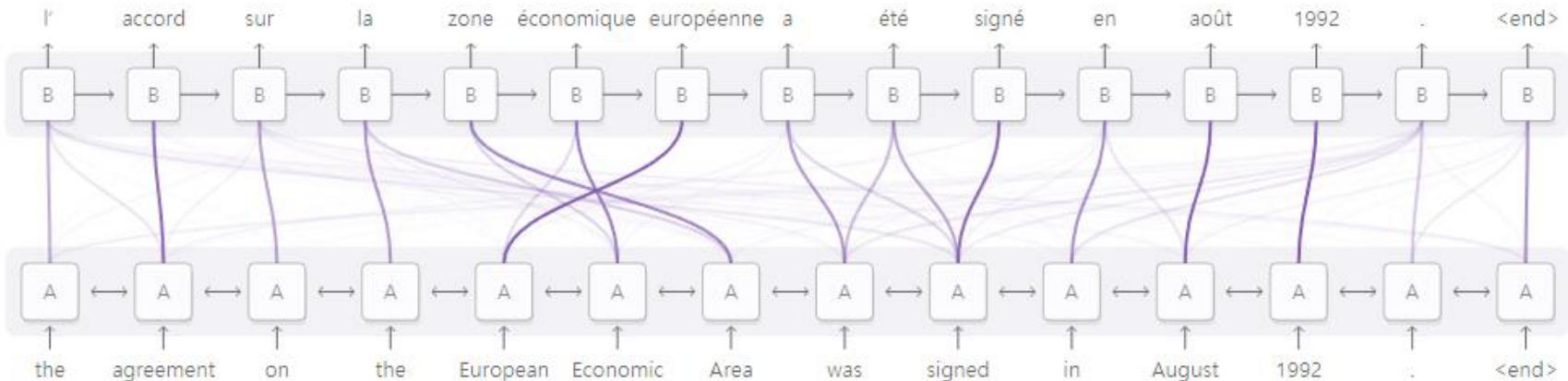


Diagram derived from Fig. 3 of [Bahdanau, et al. 2014](#)

<https://distill.pub/2016/augmented-rnns/#attentional-interfaces>



ANY  
questions?

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