#### Language Technology

http://cs.lth.se/edan20/

Chapter 8: Part-of-Speech Tagging Using Machine-Learning Techniques

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#### Motivation

The analysis of sentences often involves the analysis of words. We can divide it in three main tasks:

- Identify the type of word, for instance noun or verb using the classical grammar;
- ② Identify a group or segment, a noun group for instance: The big table,
- Identify a name (a proper noun) for instance are these three words, Kjell Olof Andersson,

This lecture will show you how to solve the first one, part-of-speech tagging, and you will write a program for the second one, chunking, in a next laboratory assignment.

## Part-of-Speech Annotation (CoNLL 2000)

Annotation of: He reckons the current account deficit will narrow to only # 1.8 billion in September. We set aside the last column for now.

Не	PRP	B-NF
reckons	VBZ	B-VF
the	DT	B-NF
current	JJ	I-NP
account	NN	I-NP
deficit	NN	I-NP
will	MD	B-VF
narrow	VB	I-VP
to	TO	B-PF
only	RB	B-NF
#	#	I-NP
1.8	CD	I-NP
billion	CD	I-NP
in	IN	B-PF
September	NNP	B-NF



# Designing a Part-of-Speech Tagger

We will now create part-of-speech taggers, where we will examine three architectures:

- A feed-forward pipeline with a one-hot encoding of the words;
- A feed-forward pipeline with word embeddings: We will replace the one-hot vectors with GloVe embeddings;
- A recurrent neural network, either a simple RNN or a LSTM, with word embeddings.



## Features for Part-of-Speech Tagging

The word *visit* is ambiguous in English:

I paid a visit to a friend -> noun

I went to visit a friend -> verb

The context of the word enables us to tell, here an article or the infinitive marker

To train and apply the model, the tagger extracts a set of features from the surrounding words, for example, a sliding window spanning five words and centered on the current word.

We then associate the feature vector  $(w_{i-2}, w_{i-1}, w_i, w_{i+1}, w_{i+2})$  with the part-of-speech tag  $t_i$  at index i.

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#### Training Set

Part-of-speech taggers use a training set where every word is hand-annotated (Penn Treebank and CoNLL 2008).

Index	Word	Hand annotation	Index	Word	Hand annotation
1	Battle	JJ	19	of	IN
2	-	HYPH	20	their	PRP\$
3	tested	JJ	21	countrymen	NNS
4	Japanese	JJ	22	to	TO
5	industrial	JJ	23	visit	VB
6	managers	NNS	24	Mexico	NNP
7	here	RB	25	,	1
8	always	RB	26	a	DT
9	buck	VBP	27	boatload	NN
10	up	RP	28	of	IN
11	nervous	JJ	29	samurai	FW
12	newcomers	NNS	30	warriors	NNS
13	with	IN	31	blown	VBN
14	the	DT	32	ashore	RB
15	tale	NN	33	375	CD (
16	of	IN	34	years	NNS
17	the	DT	35	ago	RB
18	first	JJ	36		

# Architecture 1: Part-of-Speech Tagging with Linear Classifiers

Linear classifiers are efficient devices to carry out part-of-speech tagging:

- The lexical values are the input data to the tagger.
- The parts of speech are assigned from left to right by the tagger.

ID	FORM	PPOS	
	BOS	BOS	Padding
	BOS	BOS	
1	Battle	NN	
2	-	HYPH	
3	tested	NN	
 17	 the	DT	
18	first	JJ.	
19	of	IN	
20	their	PRP\$	
21	countrymen	NNS	Input features
22	to	TO	
23	visit	VB	Predicted tag
24	Mexico		<b>↓</b>
25			
26	a		
27	boatload		
34	years		
35	ago		
36			With the same
	EOS		Padding
	EOS		

#### Feed-Forward Structure

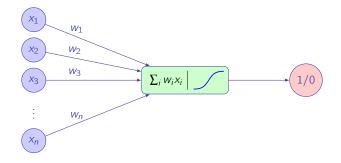
As input, the classifier uses:  $(w_{i-2}, w_{i-1}, w_i, w_{i+1}, w_{i+2})$  to predict the part-of-speech tag  $t_i$  at index i.

Here:

(countrymen, to, visit, Mexico, ",") to predict VB

ID	FORM	PPOS	
1 2 3  17 18 19	BOS BOS Battle - tested  the first of	BOS BOS NN HYPH NN  DT JJ IN	Padding
20 21 22 23 24	their countrymen to visit Mexico	PRP\$ NNS TO VB	Input features  Predicted tag
25 26 27  34 35 36	a boatload  years ago		
-	EOS EOS		Padding

## Feed Forward (Binary)

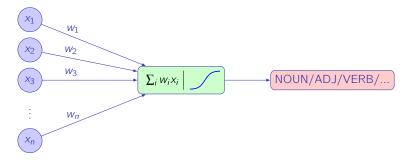


#### Logistic function



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# Feed Forward (Multinomial)



#### Softmax



#### Feature Vectors

ID		Fe	ature vectors:	X		PPOS: ŷ
	$W_{i-2}$	$w_{i-1}$	$w_i$	$w_{i+1}$	$W_{i+2}$	
1	BOS	BOS	Battle	-	tested	NN
2	BOS	Battle	-	tested	Japanese	HYPH
3	Battle	-	tested	Japanese	industrial	JJ
19	the	first	of	their	countrymen	IN
20	first	of	their	countrymen	to	PRP\$
21	of	their	countrymen	to	visit	NNS
22	their	countrymen	to	visit	Mexico	TO
23	countrymen	to	visit	Mexico	,	VB
24	to	visit	Mexico	,	a	NNP
25	visit	Mexico	,	a	boatload	,
34	ashore	375	years	ago		NNS
35	375	years	ago		EOS	RB
36	years	ago		EOS	EOS	



## Word Encoding: One hot encoding

The feature space is defined by all the word values and a word has one dimension

This is a sparse representation

We use DictVectorizer() to encode them:

```
from sklearn.feature_extraction import DictVectorizer
v = DictVectorizer(sparse=False)
X_{cat} = [\{'w_1': 'the', 'w_2': 'first', 'w_3': 'of'\},
         {'w_1': 'first', 'w_2': 'of', 'w_3': 'the'},
         {'w_1': 'of', 'w_2': 'the', 'w_3': 'countrymen'},
         {'w_1': 'the', 'w_2': 'countrymen', 'w_3': 'to'}]
X = v.fit_transform(X_cat)
X
array([[0., 0., 1., 0., 1., 0., 0., 0., 1., 0., 0.],
       [1., 0., 0., 0., 0., 1., 0., 0., 0., 1., 0.]
       [0., 1., 0., 0., 0., 0., 1., 1., 0., 0., 0.]
       [0., 0., 1., 1., 0., 0., 0., 0., 0., 0., 1.]
```

#### Word Encoding

```
v.transform([{'w_1': 'the', 'w_2': 'of', 'w_3': 'Mexico'}])
array([[0., 0., 1., 0., 0., 1., 0., 0., 0., 0., 0.]])
v.get_feature_names()
['w_1=first',
'w 1=of'.
 'w 1=the'.
 'w_2=countrymen',
 'w 2=first'.
 'w 2=of'.
 w_2=the,
 'w_3=countrymen',
 'w 3=of'.
 'w 3=the'.
 'w 3=to']
```

Notebook on GitHub:

ilppp/programs/ch08/python/lr\_pos\_tagger\_simple.ipyn



#### Architecture 1: A Feed-Forward Neural Network

We use a Keras feed-forward architecture corresponding to a logistic regression:

```
np.random.seed(0)
model = models.Sequential([Dense(NB_CLASSES,
                                  input_dim=X.shape[1],
                                  activation='softmax')))
model.compile(loss='sparse_categorical_crossentropy',
              optimizer=OPTIMIZER,
              metrics=['accuracy'])
model.summary()
model.fit(X, y, epochs=EPOCHS, batch_size=BATCH_SIZE)
model.save('out.model')
```

# Encoding the y Vector

In the previous examples, we used categorical\_crossentropy This requires that all the targets are encoded with one-hot vectors For instance:

- determiner: [1, 0, 0, 0]
- noun: [0, 1, 0, 0]
- verb: [0, 0, 1, 0]
- adjective: [0, 0, 0, 1]

With sparse\_categorical\_crossentropy, we can use numerical indices:

- determiner: 1
- noun: 2
- verb: 3
- adjective: 4



#### Preprocessing

Preprocessing is more complex though: Four steps:

- Read the corpus
  train\_sentences, dev\_sentences, test\_sentences, \
  column\_names = load\_ud\_en\_ewt()
- ② Store the rows of the CoNLL corpus in dictionaries
   conll\_dict = CoNLLDictorizer(column\_names, col\_sep='\t')
   train\_dict = conll\_dict.transform(train\_sentences)
   test\_dict = conll\_dict.transform(test\_sentences)
- Extract the features and store them in dictionaries
  context\_dictorizer = ContextDictorizer()
  context\_dictorizer.fit(train\_dict)
  X\_dict, y\_cat = context\_dictorizer.transform(train\_dict)
- Vectorize the symbols
  # We transform the X symbols into numbers
  dict\_vectorizer = DictVectorizer()
  X\_num = dict\_vectorizer.fit\_transform(X\_dict)



#### Code Example

Jupyter Notebook: https://github.com/pnugues/edan95/blob/master/programs/4.1-nn-pos-tagger.ipynb

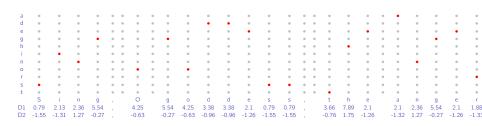


## Architecture 2: Dense Word Encoding

One-hot encoding is results in sparse matrices

An alternative is to use dense vectors, for instance from a principal component analysis. Compare the character encodings of:

Sing, O Goddess, the anger...



Notebook, where we use GloVe: ilppp/programs/ch08/python/lr\_pos\_tagger\_emb.ipynb



## Architecture 2: Using Embeddings

We replace the one-hot vectors with embeddings, the rest being the same Word embeddings are dense vectors obtained by a principal component analysis or another method.

They can be trained by the neural network or pretained In this implementation:

- We use pretrained embeddings from the GloVe project;
- Our version of GloVe is lowercased, so we set all the characters in lowercase;
- We add the embeddings as an Embedding layer at the start of the network;
- We initialize the embedding layer with GloVe and make it trainable or not.

It would be possible to use a randomly initialized matrix as embinstead

#### The Embedding Layer

```
embedding_matrix = np.random.random(
                              (len(vocabulary_words) + 1,
                              EMBEDDING_DIM))
for word in vocabulary_words:
    if word in embeddings_dict:
        embedding_matrix[word_idx[word]] = embeddings_dict[word]
model = models.Sequential([
    Embedding(cnt_uniq,
              EMBEDDING_DIM,
              trainable=True,
              embeddings_initializer=
                      initializers.Constant(embedding_matrix),
              input_length=2 * W_SIZE + 1),
    Flatten(),
    Dense(NB_CLASSES, activation='softmax')
```

#### Code Example

Jupyter Notebook: https://github.com/pnugues/edan95/blob/master/programs/4.2-nn-pos-tagger-embeddings.ipynb



#### Architecture 3: Recurrent Structure

As input, the classifier uses:

$$(w_{i-2}, w_{i-1}, w_i, w_{i+1}, w_{i+2}, t_{i-2}, t_{i-1})$$
, to predict the part-of-speech tag  $t_i$  at index  $i$ .

Here:

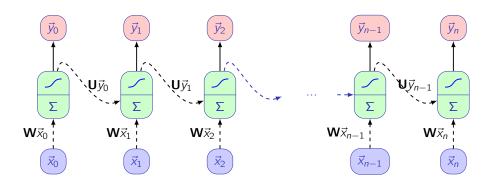
(countrymen, to, visit, Mexico, ",", NNS, TO) to predict VB

ID	FORM	PPOS	
	BOS BOS	BOS BOS	Padding
1 2 3  17 18	Battle - tested the first	NN HYPH NN  DT JJ	
19 20	of their	IN PRP\$	
21 22	countrymen to	NNS TO	Input features
23 24 25	visit Mexico ,	VB	Predicted tag ↓
26 27 	a boatload 		
34 35 36	years ago		
	EOS EOS		Padding

#### Feature Vectors

ID	Feature vectors: X							PPOS: ŷ
	$W_{i-2}$	$w_{i-1}$	$w_i$	$w_{i+1}$	$W_{i+2}$	$t_{i-2}$	$t_{i-1}$	
1	BOS	BOS	Battle	-	tested	BOS	BOS	NN
2	BOS	Battle	-	tested	Japanese	BOS	NN	HYPH
3	Battle	-	tested	Japanese	industrial	NN	HYPH	JJ
19	the	first	of	their	countrymen	DT	JJ	IN
20	first	of	their	countrymen	to	JJ	IN	PRP\$
21	of	their	countrymen	to	visit	IN	PRP\$	NNS
22	their	countrymen	to	visit	Mexico	PRP\$	NNS	TO
23	countrymen	to	visit	Mexico	,	NNS	TO	VB
24	to	visit	Mexico	,	а	TO	VB	NNP
25	visit	Mexico	,	a	boatload	VB	NNP	,
34	ashore	375	years	ago		RB	CD	NNS
35	375	years	ago		EOS	CD	NNS	RB
36	years	ago		EOS	EOS	NNS	RB	

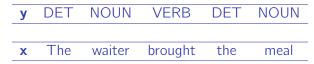
#### The RNN Architecture



## Input Format for RNNs

The input format is different from feed forward networks.

We need to build two lists: one for the input and the other for the output



All the vectors in a same batch must have the same length. We pad them:

у	PAD	PAD	PAD	DET	NOUN	VERB	DET	NOUN
X	PAD	PAD	PAD	The	waiter	brought	the	meal

We could apply the padding after



## Building the Sequences

```
def build_sequences(corpus_dict, key_x='form', key_y='pos',
                  tolower=True):
   X, Y = [], []
    for sentence in corpus_dict:
        x, y = [], []
        for word in sentence:
            x += [word[key_x]]
            y += [word[key_y]]
        if tolower:
            x = list(map(str.lower, x))
        X += [x]
        Y += [V]
    return X, Y
```

At this point, we have  $\mathbf{x}$  and  $\mathbf{y}$  vectors of symbols



#### **Building Index Sequences**

0 is for the padding symbol and 1 for the unknown words

```
idx_word = dict(enumerate(vocabulary_words, start=2))
idx_pos = dict(enumerate(pos, start=2))
word_idx = {v: k for k, v in idx_word.items()}
pos_idx = {v: k for k, v in idx_pos.items()}
```

At this point, we have **x** and **y** vectors of numbers



# Padding the Index Sequences

X = pad\_sequences(X\_idx)

We build the complete  $\mathbf{X}_{idx}$  and  $\mathbf{Y}_{idx}$  matrices for the whole corpus And we pad the matrices:

```
Y = pad_sequences(Y_idx)

# The number of POS classes and 0 (padding symbol)
Y_train = to_categorical(Y, num_classes=len(pos) + 2)
```

pad\_sequences can have an argument that specifies the maximal length maxlen (MAX\_SEQUENCE\_LENGTH).

The padded sentences must have the same length in a batch. This is automatically computed by Keras

## Recurrent Neural Networks (RNN)

```
model = models.Sequential([
    Embedding(len(vocabulary_words) + 2,
              EMBEDDING_DIM,
              mask_zero=True,
              embeddings_initializer=
                      initializers.Constant(embedding_matrix)
              trainable=True,
              input_length=None),
    SimpleRNN(100, return_sequences=True),
    # Bidirectional(SimpleRNN(100, return_sequences=True)),
    Dense(NB_CLASSES + 2, activation='softmax')])
```

#### **Parameters**

Keras functions have many parameters. In case of doubt, read the documentation A few useful parameters:

- mask\_zero=True is to tell whether or not the input value 0 is a special "padding" value;
- eturn\_sequences=True tells whether to return the last output in the output sequence, or the full sequence. In sequences, it is essential;
- recurrent\_dropout=0.3 tells how much to drop for the linear transformation of the recurrent state.



#### Code Example

Jupyter Notebook: 4.3-rnn-pos-tagger.ipynb



## Long Short-Term Memory (LSTM)



## Segment Recognition

```
Group detection – chunking –:
```

```
Brackets: [NG] The government NG] has [NG] other agencies and instruments NG] for pursuing [NG] these other objectives NG].
```

- Tags: The/I government/I has/O other/I agencies/I and/I instruments/I for/O pursuing/O these/I other/I objectives/I ./O
- Brackets: Even [ $N_G$  Mao Tse-tung  $N_G$ ] [ $N_G$  's China  $N_G$ ] began in [ $N_G$  1949  $N_G$ ] with [ $N_G$  a partnership  $N_G$ ] between [ $N_G$  the communists  $N_G$ ] and [ $N_G$  a number  $N_G$ ] of [ $N_G$  smaller, non-communists parties  $N_G$ ].
  - Tags: Even/O Mao/I Tse-tung/I 's/B China/I began/O in/O 1949/I with/O a/I partnership/I between/O the/I communists/I and/O a/I number/I of/O smaller/I ,/I non-communists/I proces/I ./O

#### Segment Categorization

Tages extendible to any type of chunks: nominal, verbal, etc. For the IOB scheme, this means tags such as I.Type, O.Type, and B.Type, Types being NG, VG, PG, etc. In CoNLL 2000, ten types of chunks

Word	POS	Group	Wo	ord	POS	Group
Не	PRP	B-NP	to		TO	B-PP
reckons	VBZ	B-VP	onl	'y	RB	B-NP
the	DT	B-NP	£		#	I-NP
current	JJ	I-NP	1.8	}	CD	I-NP
account	NN	I-NP	bill	ion	CD	I-NP
deficit	NN	I-NP	in		IN	B-PP
will	MD	B-VP	Se <sub>l</sub>	otember	NNP	B-NP
narrow	VB	I-VP				O

Noun groups (NP) are in red and verb groups (VP) are in blue,

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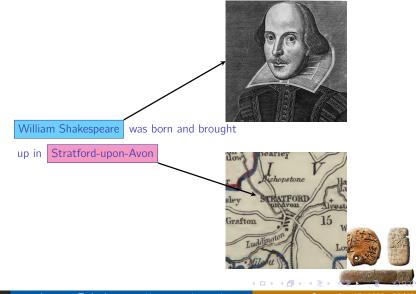
#### **IOB** Annotation for Named Entities

	NLL 2002			oNLL 200	
Words	Named entities	Words	POS	Groups	Named entities
Wolff	B-PER	U.N.	NNP	I-NP	I-ORG
	0	official	NN	I-NP	0
currently	0	Ekeus	NNP	I-NP	I-PER
a	0	heads	VBZ	I-VP	0
journalist	0	for	IN	I-PP	0
in	0	Baghdad	NNP	I-NP	I-LOC
Argentina	B-LOC			0	0
	0				
played	0				
with	0				
Del	B-PER				
Bosque	I-PER				
in	0				
the	0				
final	0				
years	0				
of	0				
the	0				
seventies	0				
in	0				
Real	B-ORG				
Madrid	I-ORG				
	0				

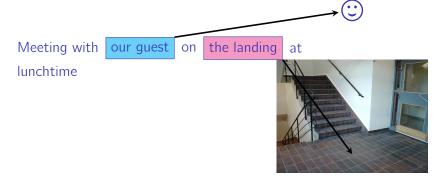
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#### Named Entities: Proper Nouns



### Others Entities: Common Nouns





#### **Evaluation**

There are different kinds of measures to evaluate the performance of machine learning techniques, for instance:

- Precision and recall in information retrieval and natural language processing;
- The receiver operating characteristic (ROC) in medicine.

	<b>Positive examples:</b> <i>P</i>	Negative examples: N
Classified as P	True positives: A	False positives: B
Classified as N	False negatives: C	True negatives: D

More on the receiver operating characteristic here: http:

//en.wikipedia.org/wiki/Receiver\_operating\_characteristic



### Recall, Precision, and the F-Measure

The **accuracy** is  $\frac{|A \cup D|}{|P \cup N|}$ .

**Recall** measures how much relevant examples the system has classified correctly, for P:

$$Recall = \frac{|A|}{|A \cup C|}.$$

**Precision** is the accuracy of what has been returned, for *P*:

$$Precision = \frac{|A|}{|A \cup B|}.$$

Recall and precision are combined into the **F-measure**, which is defined as the harmonic mean of both numbers:

$$F = \frac{2 \cdot \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}.$$



#### **Evaluation**

Accuracy, precision, and recall.

For noun groups with the predicted output:

Word	POS	Group	Word	POS	Group
Не	PRP	B-NP	to	TO	B-PP
reckons	VBZ	B-VP	only	RB	B-NP
the	DT	B-NP	${\pounds}$	#	I-NP
current	JJ	B-NP	1.8	CD	B-NP
account	NN	I-NP	billion	CD	I-NP
deficit	NN	I-NP	in	IN	B-PP
will	MD	B-VP	September	NNP	B-NP
narrow	VB	I-VP			0

Accuracy =  $\frac{14}{16}$ , recall =  $\frac{2}{4}$  = 0.5, precision =  $\frac{2}{6}$  = 0.33 harmonic mean =  $2 \times \frac{0.33 \times 0.5}{0.33 + 0.5} = 0.4$ 



### Course Content: 2022

The rest of the slides in this document is not part of the course in 2022.

You can nonetheless read it if you are curious.



### Conditional Random Fields

A tagger produces a sequence of tags, where a given tag obviously depends on the previous ones.

For instance, a preposition cannot follow a determiner

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We can model the tag transitions probabilities using **conditional random fields** (CRF)

The simplest form is the **linear chain**.

If y denotes the output, here a sequence of tags, and x, a sequence of inputs, consisting for instance of the words and the characters, we try to maximize

$$P(\mathbf{y}|\mathbf{x})$$
,

i.e.

$$\hat{\mathbf{y}} = \underset{\mathbf{y}}{\operatorname{arg\,max}} P(\mathbf{y}|\mathbf{x}).$$



# Conditional Random Fields (II)

As we want the output sequence to depend on the input and on previously predicted output, we rewrite  $P(\mathbf{y}|\mathbf{x})$  as a joint probability,  $P(\mathbf{y},\mathbf{x})$ , and, more precisely, as:

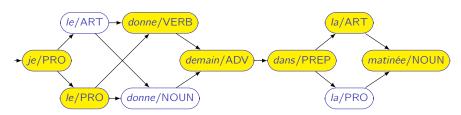
$$P(\mathbf{y}|\mathbf{x}) = \frac{P(\mathbf{y}, \mathbf{x})}{\sum_{\mathbf{y}' \in Y} P(\mathbf{y}', \mathbf{x})},$$

$$= \frac{\prod_{\mathbf{y}' \in Y} \exp(w_1 \cdot f(y_t, \mathbf{x}, t)) \cdot \prod_{\mathbf{y}' \in Y} \exp(w_2 \cdot f(y_t, y_{t+1}))}{\sum_{\mathbf{y}' \in Y} P(\mathbf{y}', \mathbf{x})},$$

where  $\mathbf{y}'$  denotes a sequence, Y, the set of all the possible sequences, and  $\sum_{\mathbf{y}' \in Y} P(\mathbf{y}', \mathbf{x})$  is a normalizing factor to have a sum of probabilities of one

## Viterbi (Informal)

Je le donne demain dans la matinée 'I give it tomorrow in the morning'





## Viterbi (Informal)

The term brought by the word *demain* has still the memory of the ambiguity of *donne*:  $P(adv|verb) \times P(demain|adv)$  and  $P(adv|noun) \times P(demain|adv)$ .

This is no longer the case with dans.

According to the noisy channel model and the bigram assumption, the term brought by the word dans is  $P(dans|prep) \times P(prep|adv)$ .

It does not show the ambiguity of le and donne.

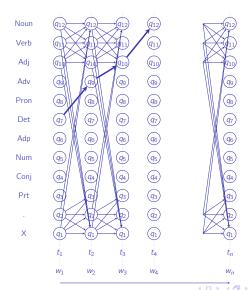
The subsequent terms will ignore it as well.

We can discard the corresponding paths.

The optimal path does not contain nonoptimal subpaths.



### Trellis Representation





## Filling the Trellis

$i \setminus \delta$	$\delta_1$	$\delta_2$	$\delta_3$	$\delta_4$	$\delta_5$	$\delta_6$	$\delta_7$	$\delta_8$
PREP	0	1						
ADV	0	1						
PRO	0	// <sub>1</sub>						
VERB	0							
NOUN	0 //	/ /						
ART	0							
<g>&gt;</g>	1.0	0	0	0	0	0	0	0
	<s></s>	Je	le	donne	demain	dans	la	matinée

To fill the  $\delta_3$  column, for each cell j, we compute

$$\max_{i} P(j|i) \times P(le|j) \times \delta_2(i).$$

The pronoun cell, for instance, is filled with

$$\max_{i} P(PRO|i) \times P(le|PRO) \times \delta_2(i).$$



# Supervised Learning: A Summary

Needs a manually annotated corpus called the **Gold Standard**The Gold Standard may contain errors (*errare humanum est*) that we ignore

A classifier is trained on a part of the corpus, the **training set**, and evaluated on another part, the **test set**, where automatic annotation is compared with the "Gold Standard"

**N-fold cross validation** is used avoid the influence of a particular division Some algorithms may require additional optimization on a development set

Classifiers can use statistical or symbolic methods

