

# Lecture Notes on Category Theory

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# Chapter 1

## Category

### 1.1 Small Category

Before we define a category in full generality, we shall focus our attention on the notion of *small category*. This notion is interesting to us because while it essentially describes the notion of *category* itself, it remains simple enough to be compared with various other algebraic structures. For example, consider the case of a monoid: a monoid is essentially a set  $M$  together with a binary relation  $\circ$  defined on  $M$  which is associative, and an element  $e$  of  $M$  which acts as an identity element for  $\circ$ . In short a monoid is a tuple  $(M, \circ, e)$  containing some data, and which satisfies certain properties. The same is true of a *small category*: it is also a tuple containing some data, and which satisfies certain properties:

**Definition 1** We call small category any tuple  $(\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  with:

- (1)  $\text{Ob}$  is a set
- (2)  $\text{Arr}$  is a set
- (3)  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$  is a function
- (4)  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$  is a function
- (5)  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  is a function
- (6)  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$  is a partial function
- (7)  $g \circ f$  is defined  $\Leftrightarrow \text{cod}(f) = \text{dom}(g)$
- (8)  $\text{cod}(f) = \text{dom}(g) \Rightarrow \text{dom}(g \circ f) = \text{dom}(f)$
- (9)  $\text{cod}(f) = \text{dom}(g) \Rightarrow \text{cod}(g \circ f) = \text{cod}(g)$
- (10)  $\text{cod}(f) = \text{dom}(g) \wedge \text{cod}(g) = \text{dom}(h) \Rightarrow (h \circ g) \circ f = h \circ (g \circ f)$
- (11)  $\text{dom}(\text{id}(a)) = a = \text{cod}(\text{id}(a))$
- (12)  $\text{dom}(f) = a \Rightarrow f \circ \text{id}(a) = f$
- (13)  $\text{cod}(f) = a \Rightarrow \text{id}(a) \circ f = f$

where (7) – (13) hold for all  $f, g, h \in \text{Arr}$  and  $a \in \text{Ob}$ :

So if  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  is a small category, we have two sets  $\text{Ob}$  and  $\text{Arr}$  together with some structure defined on these sets. This feels very much like a monoid, except that we have two sets instead of one and it all looks more complicated. The set  $\text{Ob}$  is called the *set of objects* of the small category  $\mathcal{C}$  and is denoted  $\text{Ob } \mathcal{C}$ , while the set  $\text{Arr}$  is called the *set of arrows* of the small category  $\mathcal{C}$  and is denoted  $\text{Arr } \mathcal{C}$ . An element  $x \in \text{Ob } \mathcal{C}$  is called an *object* of  $\mathcal{C}$ , while an element  $f \in \text{Arr } \mathcal{C}$  is called an *arrow* of  $\mathcal{C}$ .

As part of the structure defined on the small category  $\mathcal{C}$ , we have two functions  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$  and  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$ . Hence, given an arrow  $f$  of the small category  $\mathcal{C}$ , we have two objects  $\text{dom}(f)$  and  $\text{cod}(f)$  of the small category  $\mathcal{C}$ . The object  $\text{dom}(f)$  is called the *domain* of  $f$ . The object  $\text{cod}(f)$  is called the *codomain* of  $f$ . Note that an arrow  $f$  of the small category  $\mathcal{C}$  is simply an element of the set  $\text{Arr } \mathcal{C}$ . So it is itself a set but it may not be a function. The words *domain* and *codomain* are therefore overloaded as we are using them in relation to a set  $f$  which is possibly not a function. Whenever  $f$  is an arrow of the small category  $\mathcal{C}$  and  $a, b$  are objects, it is common to use the notation  $f : a \rightarrow b$  as a notational shortcut for the equations  $\text{dom}(f) = a$  and  $\text{cod}(f) = b$ . Once again, it is important to guard against the possible confusion induced by the notation  $f : a \rightarrow b$  which does not mean that  $f$  is function. It simply means that  $f$  is an arrow with domain  $a$  and codomain  $b$  in the small category  $\mathcal{C}$ .

One of the main ingredients of the structure defining a small category  $\mathcal{C}$  is the partial function  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$ , called the *composition operator* in the small category  $\mathcal{C}$ . The domain of this partial function is made of all ordered pairs  $(g, f)$  of arrows in  $\mathcal{C}$  for which  $\text{cod}(f) = \text{dom}(g)$ . As already indicated in definition (1), we use the infix notation  $g \circ f$  rather than  $\circ(g, f)$  and the arrow  $g \circ f$  is called the *composition* of  $g$  and  $f$ . Once again, we should remember that the notation  $g \circ f$  does not mean that  $g$  or  $f$  are functions. They are simply arrows in the small category  $\mathcal{C}$ . One key property of the composition operator  $\circ$  is the associativity postulated by (10) of definition (1). Note that if  $f : a \rightarrow b$  and  $g : b \rightarrow c$ , then from properties (8) and (9) of definition (1) we obtain  $g \circ f : a \rightarrow c$ . Furthermore, if  $h : c \rightarrow d$  we have  $h \circ g : b \rightarrow d$  and the arrows  $(h \circ g) \circ f$  and  $h \circ (g \circ f)$  are therefore well-defined arrows with domain  $a$  and codomain  $d$ . This shows that the expression involved in the associativity condition (10) of definition (1) is always meaningful, involving terms which are well-defined provided  $g \circ f$  and  $h \circ g$  are themselves well-defined, i.e. provided  $\text{cod}(f) = \text{dom}(g)$  and  $\text{cod}(g) = \text{dom}(h)$ .

Finally, as part of the structure defining the small category  $\mathcal{C}$ , we have a function  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  called the *identity operator* on the small category  $\mathcal{C}$ . Hence, for every object  $a$  of  $\mathcal{C}$  we have an arrow  $\text{id}(a)$  called the *identity at  $a$* . Looking at property (11) of definition (1) we see that  $\text{id}(a) : a \rightarrow a$ . In other words, the arrow  $\text{id}(a)$  has domain  $a$  and codomain  $a$ . Furthermore, looking at properties (12) and (13) of definition (1), for every arrow  $f : a \rightarrow b$ , the composition arrows  $\text{id}(b) \circ f$  and  $f \circ \text{id}(a)$  are well-defined and both equal to  $f$ .

## 1.2 Category

The notion of *small category* defined in definition (1) is similar to that of any other algebraic structure the reader may be familiar with. It can safely be encoded in set theory as a tuple (which is a set) containing data (which are other sets) which satisfies certain properties. In set theory, everything is a set. A small category  $\mathcal{C}$  is a set, its collection of objects  $\text{Ob } \mathcal{C}$  is a set, its arrows  $\text{Arr } \mathcal{C}$  form a set, the functions  $\text{dom}$ ,  $\text{cod}$ ,  $\text{id}$  and the composition operator  $\circ$  are all sets (functions are typically encoded as sets of ordered pairs).

Category theory falls outside of set theory. While the definition of a *category* we provide below is formally identical to that of a small category, the object we are defining can no longer be encoded in general as an object of set theory. For example, say we want to speak about the *universe of all sets* or the *universe of all monoids*. These *universes* which are known as *classes* cannot be represented as sets. They are not objects of set theory. Or say we are working within the formal framework of a proof assistant such as Coq, Agda or Lean. These tools are based on type theory and do not fall within the scope of set theory. When defining a *category*, we assume some form of meta-theoretic context, some form of logic, some way of reasoning about objects which may not be sets, where some meaning is attached to the words *tuple*, *collection*, *equality* and *map*. This may sound all very fuzzy, yet we cannot be more formal at this stage.

**Definition 2** We call category any tuple  $(\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  such that:

- (1)  $\text{Ob}$  is a collection with equality
- (2)  $\text{Arr}$  is a collection with equality
- (3)  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$  is a map
- (4)  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$  is a map
- (5)  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  is a map
- (6)  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$  is a partial map
- (7)  $g \circ f$  is defined  $\Leftrightarrow \text{cod}(f) = \text{dom}(g)$
- (8)  $\text{cod}(f) = \text{dom}(g) \Rightarrow \text{dom}(g \circ f) = \text{dom}(f)$
- (9)  $\text{cod}(f) = \text{dom}(g) \Rightarrow \text{cod}(g \circ f) = \text{cod}(g)$
- (10)  $\text{cod}(f) = \text{dom}(g) \wedge \text{cod}(g) = \text{dom}(h) \Rightarrow (h \circ g) \circ f = h \circ (g \circ f)$
- (11)  $\text{dom}(\text{id}(a)) = a = \text{cod}(\text{id}(a))$
- (12)  $\text{dom}(f) = a \Rightarrow f \circ \text{id}(a) = f$
- (13)  $\text{cod}(f) = a \Rightarrow \text{id}(a) \circ f = f$

where (7) – (13) hold for all  $f, g, h \in \text{Arr}$  and  $a \in \text{Ob}$ :

So let  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  be a category: then  $\mathcal{C}$  is a *tuple* but it is no longer a tuple in a set-theoretic sense. We assume given some logical framework where the notion of *tuple* is clear, even if not formally defined. Furthermore, We are no longer imposing that  $\text{Ob}$  should be a set, but are instead using the phrase *collection with equality*, whatever this may mean in our given logical context. So we shall still make use of the notation  $\text{Ob } \mathcal{C}$  but this will now refer to the *collection* of all *objects* of the category  $\mathcal{C}$ .

**Notation 1** *Let  $\mathcal{C}$  be a category. Its collection of objects is denoted  $\text{Ob } \mathcal{C}$ .*

In fact, if  $a$  is an object of the category  $\mathcal{C}$ , we shall abuse notations somewhat by writing ' $a \in \text{Ob } \mathcal{C}$ ' or even simply ' $a \in \mathcal{C}$ ' to express the fact that  $a$  is an object of  $\mathcal{C}$ , being understood that this use of the set membership symbol ' $\in$ ' does not mean anything is a set. Since we are stepping out of set theory, the objects of the category  $\mathcal{C}$  may not be sets themselves. They are simply members of the *collection*  $\text{Ob } \mathcal{C}$ .

**Notation 2** *Let  $\mathcal{C}$  be a category. We write  $a \in \mathcal{C}$  as a shortcut for  $a \in \text{Ob } \mathcal{C}$ .*

However, properties (7) – (13) of definition (2) are all referring to equalities between objects such that  $\text{cod}(f) = \text{dom}(g)$ . So it must be the case that the notion of *equality* be meaningful on the collection  $\text{Ob } \mathcal{C}$ . This explains our use of the phrase *collection with equality*: given  $a, b \in \mathcal{C}$ , the statement  $a = b$  while not a set-theoretic equality is nonetheless assumed to be defined.

Similarly, the *collection* of *arrows* of the category  $\mathcal{C}$  shall still be denoted  $\text{Arr } \mathcal{C}$ , but is no longer required to be a set. If  $f$  is an arrow of the category  $\mathcal{C}$  then  $f$  itself may not be a set and we may still write ' $f \in \text{Arr } \mathcal{C}$ ' simply to indicate that  $f$  is a *member* of the *collection*  $\text{Arr } \mathcal{C}$ . Properties (10), (12) and (13) of definition (2) are all referring to equalities between arrows so the *collection*  $\text{Arr } \mathcal{C}$  must have some notion of *equality* defined on it.

**Notation 3** *Let  $\mathcal{C}$  be a category. Its collection of arrows is denoted  $\text{Arr } \mathcal{C}$ .*

Since  $\text{Ob}$  and  $\text{Arr}$  are no longer sets in general, the *maps*  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$ ,  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$ ,  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  and the partial map  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$  cannot possibly be *functions* in the set-theoretic sense. So there must be some meaning to the word *map* (from one *collection* to another) in whatever logical framework we are working in. The *collection*  $\text{Arr} \times \text{Arr}$  is not a set, and is simply the *collection* of all 2-dimensional *tuples* made from  $\text{Arr}$ . Our using the word *map* rather than *function* in definition (2) is simply an attempt at reminding ourselves of the fact these are not set-theoretic functions, eventhough the words *map* and *function* are perfectly interchangeable in standard (set-theoretic) mathematics. Given  $f \in \text{Arr } \mathcal{C}$ , we shall still call the object  $\text{dom}(f)$  the *domain* of  $f$  and the object  $\text{cod}(f)$  the *codomain* of  $f$ .

**Notation 4** *Let  $\mathcal{C}$  be a category. The domain of an arrow  $f \in \text{Arr } \mathcal{C}$  is denoted  $\text{dom}(f)$ , while its codomain is denoted  $\text{cod}(f)$ .*

**Remark:** Notation (4) is potentially ambiguous as a mathematical object  $f$  could in principle be an arrow in several categories, and the designations  $\text{dom}(f)$  and  $\text{cod}(f)$  do not specify which category is being referred to.

Given  $a, b \in \mathcal{C}$ , we shall still use the notation  $f : a \rightarrow b$  as a notational shortcut for  $\text{dom}(f) = a$  and  $\text{cod}(f) = b$ . Hence we state:

**Notation 5** Let  $\mathcal{C}$  be a category. We write  $f : a \rightarrow b$  or  $f : a \rightarrow b @ \mathcal{C}$  as a shortcut for  $f \in \text{Arr } \mathcal{C}$  together with  $\text{dom}(f) = a$  and  $\text{cod}(f) = b$ .

**Remark:** The qualification  $@ \mathcal{C}$  in notation (5) may be useful to disambiguate between several categories in a given context.

The partial map  $\circ$  is still the *composition operator* and the arrow  $g \circ f$  shall still be called the *composition* of  $g$  and  $f$ , provided it is defined.

**Notation 6** Let  $\mathcal{C}$  be a category. The composition operator on  $\mathcal{C}$  is denoted  $\circ$ , and the composition of two arrows  $g$  and  $f$  is denoted  $g \circ f$  or  $g \circ f @ \mathcal{C}$ .

**Remark:**  $\circ$  may also be ambiguous in a context with several categories. It is also the common symbol to refer to standard function composition.

The map  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  is still the *identity operator* on the category  $\mathcal{C}$ , and for all  $a \in \mathcal{C}$ , the arrow  $\text{id}(a) : a \rightarrow a$  is known as the *identity at  $a$* .

**Notation 7** Let  $\mathcal{C}$  be a category. We write  $\text{id}(a)$  or  $\text{id}(a) @ \mathcal{C}$  to denote the identity at  $a$  in the category  $\mathcal{C}$ .

For all arrows  $f : a \rightarrow b$ , it is still the case that the arrows  $\text{id}(b) \circ f$  and  $f \circ \text{id}(a)$  are well-defined and both equal to  $f$ . Just as in the case of a small category, whenever  $f : a \rightarrow b$ ,  $g : b \rightarrow c$  and  $h : c \rightarrow d$ , all the terms involved in the equation  $(h \circ g) \circ f = h \circ (g \circ f)$  of definition (2) are well defined.

**Proposition 1** A small category is a category.

**Proof**

When considering a small category  $\mathcal{C}$ , we are implicitly working within a set theoretic framework in which equality between any two sets is always meaningful and elements of sets are themselves sets. So any set can be viewed as a *collection with equality* and hence a small category satisfies definition (2).  $\diamond$

## 1.3 Equality of Categories

Whichever logical framework we are working from, we saw that when defining a category  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$ , some notion of equality had to be defined on the collections  $\text{Ob}$  and  $\text{Arr}$ . Now if  $\mathcal{C}' = (\text{Ob}', \text{Arr}', \text{dom}', \text{cod}', \text{id}', \circ')$  is another category, the question may arise as to whether  $\mathcal{C} = \mathcal{C}'$ . Or indeed, we may simply be asking whether the collections  $\text{Ob}$  and  $\text{Ob}'$  are the same, or whether  $\text{dom} = \text{dom}'$  etc. It is very difficult for us to carry out any sort of formal reasoning on things without equality. So having equality defined on  $\text{Ob}$  and  $\text{Arr}$  is necessary for definition (2) to even make sense, but it is not enough for us to formally prove anything about categories. Hence we shall assume:



**Axiom 1** *A notion of equality exists for collections.*

It is implicit in the statement of axiom (1) that the notion of equality between *collections* should be reflexive, symmetric and transitive. Furthermore:

**Axiom 2** *Two collections with identical members are equal and conversely.*

In particular, if  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  is a category and we have another partial map  $\circ' : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$  such that  $g \circ' f$  is defined if and only if  $g \circ f$  is defined, then axiom (2) allows us to argue that the domain of  $\circ'$  is the same collection as the domain of  $\circ$ .

**Axiom 3** *Let  $A$  be a collection and  $B$  be a collection with equality. Then two maps  $F, G : A \rightarrow B$  are equal if and only if  $F(x) = G(x)$  for all  $x$  in  $A$ .*

In particular, if  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  is a category and  $\text{dom}' : \text{Arr} \rightarrow \text{Ob}$  is another map such that  $\text{dom}'(x) = \text{dom}(x)$  for every object  $x \in \mathcal{C}$ , then  $\text{dom}' = \text{dom}$ . Or if  $\circ' : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$  is another partial map with the same domain as that of  $\circ$  and such that  $g \circ' f = g \circ f$  when defined, then  $\circ' = \circ$ .

**Axiom 4** *Two tuples with identical entries are equal.*

So if  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  and  $\mathcal{C}' = (\text{Ob}', \text{Arr}', \text{dom}', \text{cod}', \text{id}', \circ')$  are two categories such that  $\text{Ob} = \text{Ob}'$ ,  $\text{Arr} = \text{Arr}'$ ,  $\text{dom} = \text{dom}'$ ,  $\text{cod} = \text{cod}'$ ,  $\text{id} = \text{id}'$  and  $\circ = \circ'$  then we have the equality  $\mathcal{C} = \mathcal{C}'$ .

**Notation 8** *If  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are maps between collections, we denote  $g \circ f$  the map  $g \circ f : A \rightarrow C$  defined by  $(g \circ f)(a) = g(f(a))$  for all  $a \in A$ .*

We shall need the following criterium to determine if a collection is a set:

**Axiom 5** *Let  $\mathcal{C}$  be a collection for which there exists a set  $A$  such that every member of  $\mathcal{C}$  is an element of  $A$ . Then  $\mathcal{C}$  is itself a set.*

**Remark:** We do not attempt to provide a formal proof of axiom (5), in part because we do not have a formal notion of *collection*. However, if our collection  $\mathcal{C}$  is a collection of sets, it is probably specified as a predicate  $\mathcal{C}(x)$  with free variable  $x$  (and possibly others), the assumption  $\mathcal{C} \subseteq A$  for some set  $A$ , allows us to write  $\mathcal{C} = \{ x \in A \mid \mathcal{C}(x) \}$  and the existence of  $\mathcal{C}$  as a set is guaranteed by the *axiom schema of specification*, which is a common axiom schema of Zermelo-Fraenkel set theory.

**Axiom 6** *Let  $\mathcal{C}$  be a collection of sets for which there exists an injective map  $f : \mathcal{U} \rightarrow \mathcal{C}$  defined on the collection of all sets  $\mathcal{U}$ . Then  $\mathcal{C}$  is not a set.*

**Remark:** Heuristically, a proof of axiom (6) goes as follows: Assume  $\mathcal{C}$  is indeed a set. Then the collection  $\{ f(x) \mid x \in \mathcal{U} \}$  being a sub-collection of  $\mathcal{C}$  is also a set by virtue of axiom (5). The injectivity of  $f$  allows us to define a map  $f^{-1} : \{ f(x) \mid x \in \mathcal{U} \} \rightarrow \mathcal{U}$ , whose range is the whole of  $\mathcal{U}$ . The *axiom schema of substitution*, (another common axiom schema of ZF set theory), allows us to conclude that  $\mathcal{U}$  is itself a set, which is a contradiction.

## 1.4 Category of Sets

**Definition 3** We call **Set** the category  $\mathbf{Set} = (\mathbf{Ob}, \mathbf{Arr}, \mathbf{dom}, \mathbf{cod}, \mathbf{id}, \circ)$  where

- (1)  $\mathbf{Ob} = \{ x \mid x \text{ is a set} \}$
- (2)  $\mathbf{Arr} = \{ (a, b, f) \mid a, b \in \mathbf{Ob}, f \text{ is a function } f : a \rightarrow b \}$
- (3)  $\mathbf{dom}(a, b, f) = a$
- (4)  $\mathbf{cod}(a, b, f) = b$
- (5)  $\mathbf{id}(a) = (a, a, i(a))$
- (6)  $(b, c, g) \circ (a, b, f) = (a, c, g \circ f)$

where (3) – (6) hold for all sets  $a, b, c$  and functions  $f : a \rightarrow b$ ,  $g : b \rightarrow c$ ,  $i(a) : a \rightarrow a$  denotes the usual identity function on  $a$ , and  $g \circ f$  denotes the usual function composition defined by  $(g \circ f)(x) = g(f(x))$ , for all  $x \in a$ .

The collection of objects of the category **Set** is defined to be the class of all sets. We are using the set comprehension notation  $\{ x \mid x \text{ is a set} \}$  to denote this class, but this is an abuse of notation as  $\mathbf{Ob}$  is not a set but a proper class. One could think of a class as a predicate  $P(x)$  of first order logic with one free variable. From this point of view  $\mathbf{Ob}$  becomes the predicate  $\mathbf{Ob}(x) = \top$ , i.e. the predicate which returns true for all  $x$ . Every set satisfies the predicate  $\mathbf{Ob}$ , so every set is a member of the class  $\mathbf{Ob}$ . The class  $\mathbf{Ob}$  is not a set because the set-theoretic statement  $\exists y, \forall z, z \in y \Leftrightarrow \mathbf{Ob}(z)$  can be proven false. In other words, there exists no set  $y$  whose elements  $z$  are exactly the sets which satisfy the predicate  $\mathbf{Ob}$ . There exists no set which contains all sets.

The collection of arrows of the category **Set** is defined to be the class of triples  $(a, b, f)$  where  $a, b$  are sets and  $f$  is a function  $f : a \rightarrow b$ . This last notation is a common set-theoretic shortcut to express the fact that  $f$  is a function with domain  $a$  and range **which is a subset of**  $b$ . A function is any set  $f$  whose elements are ordered pairs  $(x, y)$  and which is functional, i.e. for which the following implication holds for all sets  $x, y, y'$ :

$$(x, y) \in f \wedge (x, y') \in f \Rightarrow y = y'$$

The *domain* of a function  $f$  is the set of all sets  $x$  for which there exists a set  $y$  with  $(x, y) \in f$ . The *range* of a function  $f$  is the set of all sets  $y$  for which there exists a set  $x$  with  $(x, y) \in f$ . If  $x$  belongs to the domain of a function  $f$ , the notation ' $f(x)$ ' commonly refers to the unique set  $y$  with  $(x, y) \in f$ .

Now, as already pointed out the notation  $f : a \rightarrow b$  only requires that the range of  $f$  should be a subset of  $b$ . There is no requirement that the range of  $f$  should be equal to  $b$ . So if  $f : a \rightarrow b$  and  $b \subseteq c$  then  $f : a \rightarrow c$ . This explains why the collection of arrows  $\mathbf{Arr}$  is defined as a class of triples  $(a, b, f)$  rather than a class of functions  $f$ . Knowing the function  $f$  does not tell you which *codomain* it should have. Any set  $b$  which is a superset of its range is a possible codomain. So we keep the set  $b$  together with the function  $f$  in the triple  $(a, b, f)$  so as to remember which codomain is intended for this particular arrow of the

category **Set**. Incidentally, we also keep the range  $a$  of the function  $f$  in the triple  $(a, b, f)$  but this is not necessary, as the knowledge of  $f$  does allow us to recover its domain  $a$ . However, the triple  $(a, b, f)$  is convenient, allowing us to treat *domain* and *codomain* uniformly. In fact, it is worth pausing for a second and emphasize the difference between  $f$  and  $(a, b, f)$ :

**Definition 4** *Given a function  $f : a \rightarrow b$  between two sets  $a$  and  $b$  we say that  $f$  is the untyped function while the triple  $(a, b, f)$  is called the typed function.*

Once again, it should be remembered that the collection of arrows  $\text{Arr}$  is not a set but a proper class, corresponding to the predicate  $\text{Arr}(x)$ :

$$\text{Arr}(x) = \exists a \exists b \exists f, x = (a, b, f) \wedge f : a \rightarrow b$$

Informally, this predicates expresses the fact that  $x$  is a typed function. The maps  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$  and  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$  for the category **Set** are defined respectively by  $\text{dom}(a, b, f) = a$  and  $\text{cod}(a, b, f) = b$ . This looks simple enough, but for those who worry about foundational issues, we should just note that these are also proper classes which can be encoded as predicates. For example:

$$\text{dom}(x) = \exists u \exists v, x = (u, v) \wedge \text{Arr}(u) \wedge (\exists a \exists b \exists f, u = (a, b, f) \wedge v = a)$$

In other words, any set  $x$  satisfies the predicate  $\text{dom}(x)$  if and only if it is an ordered pair  $(u, v)$  where  $u$  satisfies the predicate  $\text{Arr}(u)$  and for which there exist sets  $a, b, f$  with  $u = (a, b, f)$  and  $v = a$ . In short,  $(u, v)$  satisfies the predicate  $\text{dom}$  if and only if  $u$  is an arrow  $u = (a, b, f)$  and  $v = a$ .

We defined the identity operator  $\text{id}$  by  $\text{id}(a) = (a, a, i(a))$  and the composition operator  $\circ$  by  $(b, c, g) \circ (a, b, f) = (a, c, g \circ f)$  where  $g \circ f$  is the usual function composition and  $i(a) : a \rightarrow a$  is the usual identity function. As before, these defined maps are not functional sets of ordered pairs but rather proper classes which we could also encode as precicates of first order logic. One important point to note is the fact that (6) of definition (3) only defines the composition arrow  $(b, c, g) \circ (a, b, f)$  where  $f : a \rightarrow b$  and  $g : b \rightarrow c$ . In other words, the composition  $(d, c, g) \circ (a, b, f)$  with  $f : a \rightarrow b$  and  $g : d \rightarrow c$  is only defined when  $b = d$ . Furthermore, the usual function composition  $g \circ f$  is a function  $g \circ f : a \rightarrow c$  which from (2) of definition (3) means that the composed arrow  $(b, c, g) \circ (a, b, f) = (a, c, g \circ f)$  is indeed a member of the collection  $\text{Arr}$ , and the partial map  $\circ$  thus defined is indeed a partial map  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$ .

**Proposition 2** *The category **Set** of definition (3) is a category.*

### Proof

Now that we have defined the data  $(\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  of the category **Set**, it is time to check this data actually forms a category. We need to check that conditions (7) – (13) of definition (2) are satisfied.

(7): suppose  $f^*$  and  $g^*$  are two members of the collection  $\text{Arr}$ . We need to check that  $g^* \circ f^*$  is defined if and only if  $\text{cod}(f^*) = \text{dom}(g^*)$ . Using (2) of definition (3),  $f^*$  can be written  $f^* = (a, b, f)$  for some function  $f : a \rightarrow b$  and

$g^*$  can be written  $g^* = (d, c, g)$  for some function  $g : d \rightarrow c$ . However, from (6) of definition (3), the arrow  $(d, c, g) \circ (a, b, f)$  is only defined in the case when  $b = d$ . Furthermore, from (4) of definition (3) we have  $\text{cod}(f^*) = b$  and from (3) of definition (3) we have  $\text{dom}(g^*) = d$ . We conclude that  $g^* \circ f^*$  is defined if and only if  $\text{cod}(f^*) = \text{dom}(g^*)$  as required.

(8): Let  $f^*, g^* \in \text{Arr}$  such that  $\text{cod}(f^*) = \text{dom}(g^*)$ . We need to show that  $\text{dom}(g^* \circ f^*) = \text{dom}(f^*)$ . As before,  $f^*$  and  $g^*$  can be written as  $f^* = (a, b, f)$  and  $g^* = (b, c, g)$  where  $f : a \rightarrow b$  and  $g : b \rightarrow c$ . We have  $g^* \circ f^* = (a, c, g \circ f)$ . Using (3) of definition (3) we obtain  $\text{dom}(g^* \circ f^*) = a = \text{dom}(f^*)$ .

(9): Let  $f^*, g^* \in \text{Arr}$  such that  $\text{cod}(f^*) = \text{dom}(g^*)$ . We need to show that  $\text{cod}(g^* \circ f^*) = \text{cod}(g^*)$ . As before, we have  $g^* \circ f^* = (a, c, g \circ f)$  and  $g^* = (b, c, g)$ . Using (4) of definition (3) we obtain  $\text{cod}(g^* \circ f^*) = c = \text{cod}(g^*)$ .

(10): Let  $f^*, g^*, h^* \in \text{Arr}$  with  $\text{cod}(f^*) = \text{dom}(g^*)$  and  $\text{cod}(g^*) = \text{dom}(h^*)$ . We need to show the equality:  $(h^* \circ g^*) \circ f^* = h^* \circ (g^* \circ f^*)$ . However,  $f^*, g^*, h^*$  can be decomposed as  $f^* = (a, b, f)$ ,  $g^* = (b, c, g)$  and  $h^* = (c, d, h)$  with  $f : a \rightarrow b$ ,  $g : b \rightarrow c$ , and  $h : c \rightarrow d$ . We have:

$$\begin{aligned}
(h^* \circ g^*) \circ f^* &= ((c, d, h) \circ (b, c, g)) \circ (a, b, f) \\
(6) \text{ of Def (3)} \rightarrow &= (b, d, h \circ g) \circ (a, b, f) \\
(6) \text{ of Def (3)} \rightarrow &= (a, d, (h \circ g) \circ f) \\
\text{assoc of usual composition} \rightarrow &= (a, d, h \circ (g \circ f)) \\
(6) \text{ of Def (3)} \rightarrow &= (c, d, h) \circ (a, c, g \circ f) \\
(6) \text{ of Def (3)} \rightarrow &= (c, d, h) \circ ((b, c, g) \circ (a, b, f)) \\
&= h^* \circ (g^* \circ f^*)
\end{aligned}$$

(11): Let  $a$  be a set. We need to show that  $\text{dom}(\text{id}(a)) = a = \text{cod}(\text{id}(a))$ . This follows immediately from  $\text{id}(a) = (a, a, i(a))$  which is (5) of definition (3).

(12): Let  $f^* = (a, b, f)$  be an arrow with  $\text{dom}(f^*) = a$ . We need to show that  $f^* \circ \text{id}(a) = f^*$ , which follows from:

$$\begin{aligned}
f^* \circ \text{id}(a) &= (a, b, f) \circ \text{id}(a) \\
(5) \text{ of Def (3)} \rightarrow &= (a, b, f) \circ (a, a, i(a)) \\
(6) \text{ of Def (3)} \rightarrow &= (a, b, f \circ i(a)) \\
\text{usual right-identity} \rightarrow &= (a, b, f) \\
&= f^*
\end{aligned}$$

(13): Let  $f^* = (b, a, f)$  be an arrow with  $\text{cod}(f^*) = a$ . We need to show that  $\text{id}(a) \circ f^* = f^*$ , which follows from:

$$\begin{aligned}
\text{id}(a) \circ f^* &= \text{id}(a) \circ (b, a, f) \\
(5) \text{ of Def (3)} \rightarrow &= (a, a, i(a)) \circ (b, a, f) \\
(6) \text{ of Def (3)} \rightarrow &= (b, a, i(a) \circ f) \\
\text{usual left-identity} \rightarrow &= (b, a, f) \\
&= f^*
\end{aligned}$$

This completes our proof of properties (7) – (13).  $\diamond$

**Notation 9** We shall often refer to an arrow  $(a, b, f)$  of the category **Set** i.e. a typed function, simply as its untyped counterpart  $f$ . The context should make it clear that  $f$  actually refers to a typed function.

**Remark** So on top of its usual set-theoretic meaning for untyped functions, the notation  $f : a \rightarrow b$  may also have its categorical meaning for typed functions, expressing the fact that  $f$  is an arrow of the category **Set** with domain  $a$  and codomain  $b$ , i.e. that  $f$  is really the typed function  $(a, b, f)$ .

Whenever  $f : a \rightarrow b$  is an arrow of the category **Set** and  $x \in a$ , the notation  $f(x)$  is not strictly speaking meaningful since  $f$  is not a function but a typed function, i.e a tuple  $(a, b, f)$ . However, it is natural enough to set:

**Notation 10** If  $f : a \rightarrow b$  is an arrow of the category **Set**, for all  $x \in a$  we shall write  $f(x)$  as a shortcut for  $f(x)$  where  $f$  is the underlying untyped function.

Functions in set theory are just sets, and equality between functions is simply the standard equality between sets. As it turns out, if  $f$  and  $g$  are two functions with the same domain  $a$ , then the set equality  $f = g$  is equivalent to the *extensional* equality  $\forall x \in a, f(x) = g(x)$ :

**Proposition 3** Let  $f, g$  be two functions with identical domain  $a$ . We have:

$$f = g \Leftrightarrow \forall x \in a, f(x) = g(x)$$

**Proof**

( $\Rightarrow$ ): We assume that  $f = g$  and  $x \in a$ . We need to show that  $f(x) = g(x)$ . However  $f(x)$  is defined as the unique set  $y$  such that  $(x, y) \in f$ , while  $g(x)$  is the unique set  $y$  such that  $(x, y) \in g$ . Having assumed that  $f = g$ , both  $f(x)$  and  $g(x)$  are the unique set  $y$  such that  $(x, y) \in f$ . So we must have  $f(x) = g(x)$  by uniqueness.

( $\Leftarrow$ ): We assume that  $f(x) = g(x)$  for all  $x \in a$ . We need to show that  $f = g$ . Hence we need to show that  $f \subseteq g$  and  $g \subseteq f$ . By symmetry, we can focus on proving  $f \subseteq g$  as the same proof will carry over for  $g \subseteq f$ . So suppose  $z \in f$ . We need to show that  $z \in g$ . Having assumed that  $f$  is a function, the element  $z$  must be an ordered pair  $z = (x, y)$ . Hence we have  $(x, y) \in f$ . Having assumed that the domain of  $f$  is  $a$ , this shows that  $x \in a$ . Furthermore, from  $(x, y) \in f$  we obtain  $f(x) = y$ . Hence, by assumption we obtain  $g(x) = y$ . However  $g(x)$  is the unique set  $y'$  with  $(x, y') \in g$ . Hence, we have  $(x, y) \in g$  and finally  $z \in g$ .  $\diamond$

An important question which will invariably arise is deciding when two arrows of the category **Set** are equal. Although we have defined an arrow to be a typed function  $(a, b, f)$ , as indicated in notation (9) we will often refer to such arrow simply as  $f$ . However, we should not forget that the equality  $f = g$  between underlying untyped functions is not enough for two arrows  $(a, b, f)$  and  $(c, d, g)$  to be equal. The equality between untyped functions will ensure that they have the same domain and the same range, but it does not tell us anything about the intended codomains of their respective typed functions.

**Proposition 4** *If two functions  $f, g$  are equal, they have the same domain.*

**Proof**

We assume  $f, g$  are functions and  $f = g$ . We need to show  $f$  and  $g$  have the same domain. By symmetry, it is sufficient to show that the domain of  $f$  is a subset of that of  $g$ . So let  $x$  be an element of the domain of  $f$ . There exists some  $y$  with  $(x, y) \in f$ . From  $f = g$  we obtain  $(x, y) \in g$  and consequently  $x$  is also an element of the domain of  $g$ .  $\diamond$

**Proposition 5** *If two functions  $f, g$  are equal, they have the same range.*

**Proof**

We assume  $f, g$  are functions and  $f = g$ . We need to show  $f$  and  $g$  have the same range. By symmetry, it is sufficient to show that the range of  $f$  is a subset of that of  $g$ . So let  $y$  be an element of the range of  $f$ . There exists some  $x$  with  $(x, y) \in f$ . From  $f = g$  we obtain  $(x, y) \in g$  and consequently  $y$  is also an element of the range of  $g$ .  $\diamond$

**Proposition 6** *Let  $f : a \rightarrow b$  and  $g : c \rightarrow d$  be two arrows of the category **Set**. Then  $f = g$  if and only if  $a = c$ ,  $b = d$  and  $f(x) = g(x)$  for all  $x \in a$ .*

**Proof**

Let  $f^* : a \rightarrow b$  and  $g^* : c \rightarrow d$ , that is  $f^* = (a, b, f)$  (for some  $f$ ) and  $g^* = (c, d, g)$  (for some  $g$ ) be two arrows of the category **Set**. We call these arrows  $f^*$  and  $g^*$  in this proof so as to be very precise on the distinction between an arrow (a typed function) and its underlying function (an untyped function). Looking at definition (3),  $f$  and  $g$  are functions  $f : a \rightarrow b$  and  $g : c \rightarrow d$ . So the domain of  $f$  is the set  $a$  while the domain of  $g$  is the set  $c$ , and the range of  $f$  is a subset of  $b$  while the range of  $g$  is a subset of  $d$ . First we assume that  $f^* = g^*$ . Then we have the equality between triples  $(a, b, f) = (c, d, g)$ . Hence we obtain immediately  $a = c$  and  $b = d$  as requested. However, we also obtain the equality between underlying functions  $f = g$ . Using proposition (3), we see that  $f(x) = g(x)$  for all  $x \in a$ . By virtue of notation (10),  $f^*(x)$  and  $g^*(x)$  are notational shortcuts for  $f(x)$  and  $g(x)$  respectively. Hence we have  $f^*(x) = g^*(x)$  for all  $x \in a$  as requested. We now assume that  $a = c$ ,  $b = d$  and  $f^*(x) = g^*(x)$  for all  $x \in a$ . We need to show that  $f^* = g^*$ , or in other words  $(a, b, f) = (c, d, g)$ . Hence it remains to show that  $f = g$ . This follows from proposition (3) and the fact that  $f(x) = g(x)$  for all  $x \in a$ .  $\diamond$

**Proposition 7** *The category **Set** is not small.*

**Proof**

Assume **Set** is a small category. Then  $\mathbf{Set} = (\mathbf{Ob}, \mathbf{Arr}, \mathbf{dom}, \mathbf{cod}, \mathbf{id}, \circ)$  where the entries of the tuple satisfy conditions (1) – (13) of definition (1). In particular  $\mathbf{Ob}$  is a set. Using axiom (4), from the equality  $\mathbf{Set} = (\mathbf{Ob}, \mathbf{Arr}, \mathbf{dom}, \mathbf{cod}, \mathbf{id}, \circ)$  we obtain in particular  $\mathbf{Ob} \mathbf{Set} = \mathbf{Ob}$ . This is not a standard equality between sets since  $\mathbf{Ob} \mathbf{Set}$  was defined in (3) as the collection  $\{ x \mid x \text{ is a set} \}$  and not

as a set. However, it is an equality between collections and using axiom (2), it follows that the set  $\text{Ob}$  and the collection  $\{ x \mid x \text{ is a set} \}$  have identical members. So we have found a set  $\text{Ob}$  whose members are all possible sets. This is a contradiction as no such set exists.  $\diamond$

**Remark:** These notes do not assume any specific formal foundations and the proof of proposition (7) is therefore standing on shaky grounds. However, we feel some form of formal reasoning is better than nothing at all.

## 1.5 Opposite Category

**Definition 5** Let  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  be a category. We call opposite category of  $\mathcal{C}$ , the category denoted  $\mathcal{C}^{op}$  and defined by:

$$\mathcal{C}^{op} = (\text{Ob}, \text{Arr}, \text{cod}, \text{dom}, \text{id}, \circ')$$

where the composition operator  $\circ'$  is defined by  $f \circ' g = g \circ f$ , for all  $f, g \in \text{Arr}$ .

So if  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  is a category, the opposite category  $\mathcal{C}^{op}$  is almost identical, except for the composition operator  $\circ'$  which is a flipped version of  $\circ$ , and for  $\text{dom}$  and  $\text{cod}$  which have been swapped with each other. The collection of objects of  $\mathcal{C}^{op}$  is the same as that of  $\mathcal{C}$ , giving us the equality  $\text{Ob } \mathcal{C}^{op} = \text{Ob } \mathcal{C}$ . Likewise, the collection of arrows of  $\mathcal{C}^{op}$  is the same as that of  $\mathcal{C}$ , giving us this other equality  $\text{Arr } \mathcal{C}^{op} = \text{Arr } \mathcal{C}$ . If we denote  $\text{dom}' : \text{Arr} \rightarrow \text{Ob}$  and  $\text{cod}' : \text{Arr} \rightarrow \text{Ob}$  the domain and codomain maps on  $\mathcal{C}^{op}$ , then  $\text{dom}' = \text{cod}$  and  $\text{cod}' = \text{dom}$ . The identity operator  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  is the same for both  $\mathcal{C}$  and  $\mathcal{C}^{op}$ , and the composition arrow  $f \circ' g$  in  $\mathcal{C}^{op}$  is defined whenever the composition arrow  $g \circ f$  in  $\mathcal{C}$  is defined, and we have  $f \circ' g = g \circ f$ .

**Proposition 8** Let  $\mathcal{C}$  be a category. Then  $\mathcal{C}^{op}$  of definition (5) is a category.

### Proof

We need to check that the data  $\mathcal{C}^{op} = (\text{Ob}, \text{Arr}, \text{cod}, \text{dom}, \text{id}, \circ')$  of definition (5) forms a category, having assumed that the underlying data for  $\mathcal{C}$  does. We have indeed two collections  $\text{Ob}$  and  $\text{Arr}$  with maps between them  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$ ,  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$ ,  $\text{id} : \text{Ob} \rightarrow \text{Arr}$  and partial map  $\circ' : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$ . So it remains to show that conditions (7) – (13) of definition (2) are satisfied. For the purpose of this proof, we shall denote  $\text{dom}' = \text{cod}$  and  $\text{cod}' = \text{dom}$ .

(7): We need to check that  $f \circ' g$  is defined if and only if  $\text{cod}'(g) = \text{dom}'(f)$  which is  $\text{dom}(g) = \text{cod}(f)$ . However by definition, we have set  $f \circ' g$  to be defined whenever  $g \circ f$  is itself defined, and since  $\mathcal{C}$  is a category, this is in turn equivalent to  $\text{cod}(f) = \text{dom}(g)$ . Hence, we are done.

(8): We need to check that  $\text{dom}'(f \circ' g) = \text{dom}'(g)$  which can be written as  $\text{cod}(g \circ f) = \text{cod}(g)$  and which is true since  $\mathcal{C}$  is a category.

(9): We need to check that  $\text{cod}'(f \circ' g) = \text{cod}'(f)$  which can be written as  $\text{dom}(g \circ f) = \text{dom}(f)$  and which is true since  $\mathcal{C}$  is a category.

(10): Given arrows  $h, g, f$  with  $\text{cod}'(h) = \text{dom}'(g)$  and  $\text{cod}'(g) = \text{dom}'(f)$ , we need to check that  $(f \circ' g) \circ' h = f \circ' (g \circ' h)$ . However, our assumption can

be written as  $\text{dom}(h) = \text{cod}(g)$  and  $\text{dom}(g) = \text{cod}(f)$  and having assumed that  $\mathcal{C}$  is a category, by property (10) of definition (2) we have:

$$\begin{aligned} (f \circ' g) \circ' h &= h \circ (f \circ' g) \\ &= h \circ (g \circ f) \\ \mathcal{C} \text{ is a category} \rightarrow &= (h \circ g) \circ f \\ &= f \circ' (h \circ g) \\ &= f \circ' (g \circ' h) \end{aligned}$$

(11): We need to check that  $\text{dom}'(\text{id}(a)) = a = \text{cod}'(\text{id}(a))$  for all  $a \in \mathcal{C}$ , which follows from  $\text{dom}' = \text{cod}$ ,  $\text{cod}' = \text{dom}$  and the fact that  $\mathcal{C}$  is a category.

(12): We need to check that  $f \circ' \text{id}(a) = f$  whenever  $\text{dom}'(f) = a$ , that is  $\text{id}(a) \circ f = f$  whenever  $\text{cod}(f) = a$ , which follows from  $\mathcal{C}$  being a category.

(13): We need to check that  $\text{id}(a) \circ' f = f$  whenever  $\text{cod}'(f) = a$ , that is  $f \circ \text{id}(a) = f$  whenever  $\text{dom}(f) = a$ , which follows from  $\mathcal{C}$  being a category.

This completes our proof of properties (7) – (13).  $\diamond$

**Proposition 9** *Let  $\mathcal{C}$  be a category. Then the opposite category of  $\mathcal{C}^{op}$  is  $\mathcal{C}$ , i.e.*

$$(\mathcal{C}^{op})^{op} = \mathcal{C}$$

**Proof**

Let  $\mathcal{C} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  be a category. From definition (5), we have  $\mathcal{C}^{op} = (\text{Ob}, \text{Arr}, \text{cod}, \text{dom}, \text{id}, \circ')$  and consequently:

$$(\mathcal{C}^{op})^{op} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ'')$$

In order to show that  $(\mathcal{C}^{op})^{op} = \mathcal{C}$ , by virtue of axiom (4) we simply need to show that the partial maps  $\circ, \circ'' : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$  are equal. Given two arrows  $f$  and  $g$ , the composition arrow  $g \circ'' f$  is defined if and only if  $f \circ' g$  is defined, which is itself equivalent to  $g \circ f$  being defined. By virtue of axiom (2), both  $\circ$  and  $\circ''$  are therefore defined on the same collection of arrow tuples  $(g, f)$ . Furthermore, whenever  $g \circ f$  is defined, we have  $g \circ'' f = f \circ' g = g \circ f$ . Using axiom (3) we conclude that  $\circ'' = \circ$  as requested.  $\diamond$

## 1.6 Canonical Product of Categories

**Definition 6** *We call canonical product of categories  $\mathcal{C}_1$  and  $\mathcal{C}_2$  the category denoted  $\mathcal{C}_1 \times \mathcal{C}_2$  and defined by  $\mathcal{C}_1 \times \mathcal{C}_2 = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  where:*

- (1)  $\text{Ob} = \{ (x_1, x_2) \mid x_1 \in \text{Ob } \mathcal{C}_1, x_2 \in \text{Ob } \mathcal{C}_2 \}$
- (2)  $\text{Arr} = \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}_1, f_2 \in \text{Arr } \mathcal{C}_2 \}$
- (3)  $\text{dom}(f_1, f_2) = (\text{dom}(f_1), \text{dom}(f_2))$
- (4)  $\text{cod}(f_1, f_2) = (\text{cod}(f_1), \text{cod}(f_2))$
- (5)  $\text{id}(x_1, x_2) = (\text{id}(x_1), \text{id}(x_2))$
- (6)  $(g_1, g_2) \circ (f_1, f_2) = (g_1 \circ f_1, g_2 \circ f_2)$



where (3) and (4) hold for all  $f_1 \in \text{Arr } \mathcal{C}_1$  and  $f_2 \in \text{Arr } \mathcal{C}_2$ , (5) holds for all  $x_1 \in \text{Ob } \mathcal{C}_1$  and  $x_2 \in \text{Ob } \mathcal{C}_2$ , and (6) holds for all  $f_1, g_1 \in \text{Arr } \mathcal{C}_1$  and  $f_2, g_2 \in \text{Arr } \mathcal{C}_2$  for which  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined.

So if  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are two categories, the objects of  $\mathcal{C}_1 \times \mathcal{C}_2$  are the collection of all tuples  $(x_1, x_2)$  where  $x_1$  is an object of  $\mathcal{C}_1$  and  $x_2$  is an object of  $\mathcal{C}_2$ . The set comprehension notation  $\{ (x_1, x_2) \mid x_1 \in \text{Ob } \mathcal{C}_1, x_2 \in \text{Ob } \mathcal{C}_2 \}$  is of course an abuse of notation as it does not in general represent a set but a collection. We could also have denoted this collection  $\text{Ob } \mathcal{C}_1 \times \text{Ob } \mathcal{C}_2$  using a cartesian product notation, keeping in mind that this is a product of two collections.

Similarly, the arrows of  $\mathcal{C}_1 \times \mathcal{C}_2$  are the collection of all tuples  $(f_1, f_2)$  where  $f_1$  is an arrow of  $\mathcal{C}_1$  and  $f_2$  is an arrow of  $\mathcal{C}_2$ , a collection which could reasonably be denoted  $\text{Arr } \mathcal{C}_1 \times \text{Arr } \mathcal{C}_2$  instead of the set-comprehension notation.

It should be clear from definition (6) that the notations  $\text{dom}$ ,  $\text{cod}$ ,  $\text{id}$  and  $\circ$  are overloaded, referring either to  $\mathcal{C}_1$ ,  $\mathcal{C}_2$  or  $\mathcal{C}_1 \times \mathcal{C}_2$ . Given our definitions of  $\text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2)$  and  $\text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2)$ , given that we have  $\text{dom} : \text{Arr } \mathcal{C}_1 \rightarrow \text{Ob } \mathcal{C}_1$  and  $\text{dom} : \text{Arr } \mathcal{C}_2 \rightarrow \text{Ob } \mathcal{C}_2$  it should be clear that (3) of definition (6) defines a map  $\text{dom} : \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2) \rightarrow \text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2)$ , and  $\text{cod} : \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2) \rightarrow \text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2)$  follows from (4). Furthermore from  $\text{id} : \text{Ob } \mathcal{C}_1 \rightarrow \text{Arr } \mathcal{C}_1$  and  $\text{id} : \text{Ob } \mathcal{C}_2 \rightarrow \text{Arr } \mathcal{C}_2$  we obtain  $\text{id} : \text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2) \rightarrow \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2)$  using (5). Finally using (6), given the partial maps  $\circ : \text{Arr } \mathcal{C}_1 \times \text{Arr } \mathcal{C}_1 \rightarrow \text{Arr } \mathcal{C}_1$  and  $\circ : \text{Arr } \mathcal{C}_2 \times \text{Arr } \mathcal{C}_2 \rightarrow \text{Arr } \mathcal{C}_2$  we obtain a partial map  $\circ : \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2) \times \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2) \rightarrow \text{Arr } \mathcal{C}_1 \times \mathcal{C}_2$ .

**Proposition 10** *The canonical product  $\mathcal{C}_1 \times \mathcal{C}_2$  of definition (6) is a category.*

### Proof

We need to check that the data  $\mathcal{C}_1 \times \mathcal{C}_2 = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  of definition (6) forms a category, having assumed  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are categories. We have established that  $\text{Ob}$  and  $\text{Arr}$  are collections, that  $\text{dom}$ ,  $\text{cod}$ ,  $\text{id}$  are maps with the appropriate signatures and  $\circ$  is a partial map with the appropriate signature. It remains to check properties (7) – (13) of definition (2).

(7): Let  $f, g \in \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2)$ . We need to show that  $g \circ f$  is defined if and only if  $\text{cod}(f) = \text{dom}(g)$ . Let  $f_1, g_1 \in \text{Arr } \mathcal{C}_1$  and  $f_2, g_2 \in \text{Arr } \mathcal{C}_2$  such that  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$ . Then  $\text{cod}(f) = (\text{cod}(f_1), \text{cod}(f_2))$  and  $\text{dom}(g) = (\text{dom}(g_1), \text{dom}(g_2))$ . So we need to show that  $g \circ f$  is defined if and only if  $\text{cod}(f_1) = \text{dom}(g_1)$  and  $\text{cod}(f_2) = \text{dom}(g_2)$ . However since  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are categories,  $\text{cod}(f_1) = \text{dom}(g_1)$  is equivalent to  $g_1 \circ f_1$  being defined, and  $\text{cod}(f_2) = \text{dom}(g_2)$  is equivalent to  $g_2 \circ f_2$  being defined. So we need to show that  $g \circ f$  is defined if and only if both  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined which follows exactly from definition (6).

(8): Let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  be arrows in  $\mathcal{C}_1 \times \mathcal{C}_2$  with the equality  $\text{cod}(f) = \text{dom}(g)$ , i.e. for which  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined. We need to check the equality  $\text{dom}(g \circ f) = \text{dom}(f)$  which goes as follows:

$$\begin{aligned} \text{dom}(g \circ f) &= \text{dom}((g_1, g_2) \circ (f_1, f_2)) \\ \text{(6) of def. (6)} \rightarrow &= \text{dom}(g_1 \circ f_1, g_2 \circ f_2) \end{aligned}$$

$$\begin{aligned}
(3) \text{ of def. (6)} &\rightarrow = (\text{dom}(g_1 \circ f_1), \text{dom}(g_2 \circ f_2)) \\
\mathcal{C}_1, \mathcal{C}_2 \text{ categories, (8) of def. (2)} &\rightarrow = (\text{dom}(f_1), \text{dom}(f_2)) \\
(3) \text{ of def. (6)} &\rightarrow = \text{dom}(f_1, f_2) \\
&= \text{dom}(f)
\end{aligned}$$

(9): Let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  be arrows in  $\mathcal{C}_1 \times \mathcal{C}_2$  with the equality  $\text{cod}(f) = \text{dom}(g)$ , i.e. for which  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined. We need to check the equality  $\text{cod}(g \circ f) = \text{cod}(g)$  which goes as follows:

$$\begin{aligned}
\text{cod}(g \circ f) &= \text{cod}((g_1, g_2) \circ (f_1, f_2)) \\
(6) \text{ of def. (6)} &\rightarrow = \text{cod}(g_1 \circ f_1, g_2 \circ f_2) \\
(4) \text{ of def. (6)} &\rightarrow = (\text{cod}(g_1 \circ f_1), \text{cod}(g_2 \circ f_2)) \\
\mathcal{C}_1, \mathcal{C}_2 \text{ categories, (9) of def. (2)} &\rightarrow = (\text{cod}(g_1), \text{cod}(g_2)) \\
(4) \text{ of def. (6)} &\rightarrow = \text{cod}(g_1, g_2) \\
&= \text{cod}(g)
\end{aligned}$$

(10): Let  $f = (f_1, f_2)$ ,  $g = (g_1, g_2)$  and  $h = (h_1, h_2)$  be arrows in  $\mathcal{C}_1 \times \mathcal{C}_2$  with the equalities  $\text{cod}(f) = \text{dom}(g)$  and  $\text{cod}(g) = \text{dom}(h)$ , i.e. for which the composition arrows  $g_1 \circ f_1$ ,  $g_2 \circ f_2$ ,  $h_1 \circ g_1$  and  $h_2 \circ g_2$  are defined. We need to check the equality  $(h \circ g) \circ f = h \circ (g \circ f)$  which goes as follows:

$$\begin{aligned}
(h \circ g) \circ f &= ((h_1, h_2) \circ (g_1, g_2)) \circ (f_1, f_2) \\
(6) \text{ of def. (6)} &\rightarrow = (h_1 \circ g_1, h_2 \circ g_2) \circ (f_1, f_2) \\
(6) \text{ of def. (6)} &\rightarrow = ((h_1 \circ g_1) \circ f_1, (h_2 \circ g_2) \circ f_2) \\
\mathcal{C}_1, \mathcal{C}_2 \text{ categories, (10) of def. (2)} &\rightarrow = (h_1 \circ (g_1 \circ f_1), h_2 \circ (g_2 \circ f_2)) \\
(6) \text{ of def. (6)} &\rightarrow = (h_1, h_2) \circ ((g_1 \circ f_1), (g_2 \circ f_2)) \\
(6) \text{ of def. (6)} &\rightarrow = (h_1, h_2) \circ ((g_1, g_2) \circ (f_1, f_2)) \\
&= h \circ (g \circ f)
\end{aligned}$$

(11): Let  $a = (a_1, a_2)$  be an object in  $\mathcal{C}_1 \times \mathcal{C}_2$ . We need to check that  $\text{dom}(\text{id}(a)) = a = \text{cod}(\text{id}(a))$  which goes as follows:

$$\begin{aligned}
\text{dom}(\text{id}(a)) &= \text{dom}(\text{id}(a_1, a_2)) \\
(5) \text{ of def. (6)} &\rightarrow = \text{dom}(\text{id}(a_1), \text{id}(a_2)) \\
(3) \text{ of def. (6)} &\rightarrow = (\text{dom}(\text{id}(a_1)), \text{dom}(\text{id}(a_2))) \\
\mathcal{C}_1, \mathcal{C}_2 \text{ categories, (11) of def. (2)} &\rightarrow = (a_1, a_2) \\
&= a
\end{aligned}$$

$$\begin{aligned}
\text{cod}(\text{id}(a)) &= \text{cod}(\text{id}(a_1, a_2)) \\
(5) \text{ of def. (6)} &\rightarrow = \text{cod}(\text{id}(a_1), \text{id}(a_2)) \\
(4) \text{ of def. (6)} &\rightarrow = (\text{cod}(\text{id}(a_1)), \text{cod}(\text{id}(a_2))) \\
\mathcal{C}_1, \mathcal{C}_2 \text{ categories, (11) of def. (2)} &\rightarrow = (a_1, a_2) \\
&= a
\end{aligned}$$

(12): Let  $f = (f_1, f_2)$  be an arrow and  $a = (a_1, a_2)$  be an object in  $\mathcal{C}_1 \times \mathcal{C}_2$  such that  $\text{dom}(f) = a$ . We need to show that  $f \circ \text{id}(a) = f$  which goes as follows: Using (3) of definition (6) and the condition  $\text{dom}(f) = a$  we obtain the equation  $(\text{dom}(f_1), \text{dom}(f_2)) = (a_1, a_2)$ . Hence, we have:

$$\begin{aligned}
f \circ \text{id}(a) &= (f_1, f_2) \circ \text{id}(a_1, a_2) \\
(5) \text{ of def. (6)} \rightarrow &= (f_1, f_2) \circ (\text{id}(a_1), \text{id}(a_2)) \\
(6) \text{ of def. (6)} \rightarrow &= (f_1 \circ \text{id}(a_1), f_2 \circ \text{id}(a_2)) \\
\mathcal{C}_1 \text{ category, } \text{dom}(f_1) = a_1 \rightarrow &= (f_1, f_2 \circ \text{id}(a_2)) \\
\mathcal{C}_2 \text{ category, } \text{dom}(f_2) = a_2 \rightarrow &= (f_1, f_2) \\
&= f
\end{aligned}$$

(13): Let  $f = (f_1, f_2)$  be an arrow and  $a = (a_1, a_2)$  be an object in  $\mathcal{C}_1 \times \mathcal{C}_2$  such that  $\text{cod}(f) = a$ . We need to show that  $\text{id}(a) \circ f = f$  which goes as follows: Using (4) of definition (6) and the condition  $\text{cod}(f) = a$  we obtain the equation  $(\text{cod}(f_1), \text{cod}(f_2)) = (a_1, a_2)$ . Hence, we have:

$$\begin{aligned}
\text{id}(a) \circ f &= \text{id}(a_1, a_2) \circ (f_1, f_2) \\
(5) \text{ of def. (6)} \rightarrow &= (\text{id}(a_1), \text{id}(a_2)) \circ (f_1, f_2) \\
(6) \text{ of def. (6)} \rightarrow &= (\text{id}(a_1) \circ f_1, \text{id}(a_2) \circ f_2) \\
\mathcal{C}_1 \text{ category, } \text{cod}(f_1) = a_1 \rightarrow &= (f_1, \text{id}(a_2) \circ f_2) \\
\mathcal{C}_2 \text{ category, } \text{cod}(f_2) = a_2 \rightarrow &= (f_1, f_2) \\
&= f
\end{aligned}$$

This completes our proof of properties (7) – (13).  $\diamond$

## 1.7 Hom-sets of a Category

**Definition 7** Let  $\mathcal{C}$  be a category and  $a, b \in \mathcal{C}$ . We call hom-set of  $\mathcal{C}$  associated with the ordered pair  $(a, b)$  the collection denoted  $\mathcal{C}(a, b)$  and defined as:

$$\mathcal{C}(a, b) = \{ f \in \text{Arr } \mathcal{C} \mid f : a \rightarrow b \}$$

In other words the collection  $\mathcal{C}(a, b)$  is the collection of all arrows  $f$  in  $\mathcal{C}$  such that  $\text{dom}(f) = a$  and  $\text{cod}(f) = b$ . Note that despite being called a 'hom-set', the collection  $\mathcal{C}(a, b)$  is generally not a set but an arbitrary collection.

**Proposition 11** Let  $\mathcal{C}$  be a category and  $a, b \in \mathcal{C}$ . Then  $\mathcal{C}^{op}(a, b) = \mathcal{C}(b, a)$ .

**Proof**

Denoting  $\text{dom}' = \text{cod}$  and  $\text{cod}' = \text{dom}$  we have:

$$\begin{aligned}
\mathcal{C}^{op}(a, b) &= \{ f \in \text{Arr } \mathcal{C}^{op} \mid f : a \rightarrow b @ \mathcal{C}^{op} \} \\
\text{def. (5)} \rightarrow &= \{ f \in \text{Arr } \mathcal{C} \mid f : a \rightarrow b @ \mathcal{C}^{op} \}
\end{aligned}$$

$$\begin{aligned}
\text{def. (5)} \rightarrow &= \{ f \in \text{Arr } \mathcal{C} \mid \text{dom}'(f) = a, \text{cod}'(f) = b \} \\
&= \{ f \in \text{Arr } \mathcal{C} \mid \text{cod}(f) = a, \text{dom}(f) = b \} \\
&= \{ f \in \text{Arr } \mathcal{C} \mid f : b \rightarrow a @ \mathcal{C} \} \\
&= \mathcal{C}(b, a)
\end{aligned}$$

◇

**Proposition 12** *Let  $\mathcal{C}_1, \mathcal{C}_2$  be two categories and  $a, b \in \mathcal{C}_1 \times \mathcal{C}_2$ . Then:*

$$\mathcal{C}_1 \times \mathcal{C}_2(a, b) = \mathcal{C}_1(a_1, b_1) \times \mathcal{C}_2(a_2, b_2)$$

where it is understood that  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$ .

**Proof**

Let  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$  be objects in the product category  $\mathcal{C}_1 \times \mathcal{C}_2$ :

$$\begin{aligned}
\mathcal{C}_1 \times \mathcal{C}_2(a, b) &= \mathcal{C}_1 \times \mathcal{C}_2[(a_1, a_2), (b_1, b_2)] \\
\text{def. (7)} \rightarrow &= \{ f \in \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2) \mid f : (a_1, a_2) \rightarrow (b_1, b_2) \} \\
\text{def. (6)} \rightarrow &= \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}_1, f_2 \in \text{Arr } \mathcal{C}_2 \\
&\quad, (f_1, f_2) : (a_1, a_2) \rightarrow (b_1, b_2) \} \\
&= \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}_1, f_2 \in \text{Arr } \mathcal{C}_2 \\
&\quad, \text{dom}(f_1, f_2) = (a_1, a_2) \\
&\quad, \text{cod}(f_1, f_2) = (b_1, b_2) \} \\
(3) \text{ and } (4) \text{ of def. (6)} \rightarrow &= \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}_1, f_2 \in \text{Arr } \mathcal{C}_2 \\
&\quad, (\text{dom}(f_1), \text{dom}(f_2)) = (a_1, a_2) \\
&\quad, (\text{cod}(f_1), \text{cod}(f_2)) = (b_1, b_2) \} \\
&= \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}_1, f_2 \in \text{Arr } \mathcal{C}_2 \\
&\quad, f_1 : a_1 \rightarrow b_1, f_2 : a_2 \rightarrow b_2 \} \\
\text{product of collections} \rightarrow &= \{ f_1 \in \text{Arr } \mathcal{C}_1 \mid f_1 : a_1 \rightarrow b_1 \} \\
&\quad \times \{ f_2 \in \text{Arr } \mathcal{C}_2 \mid f_2 : a_2 \rightarrow b_2 \} \\
\text{def. (7)} \rightarrow &= \mathcal{C}_1(a_1, b_1) \times \mathcal{C}_2(a_2, b_2)
\end{aligned}$$

◇

**Proposition 13** *For all sets  $a, b$ , the hom-set  $\mathbf{Set}(a, b)$  is given by:*

$$\mathbf{Set}(a, b) = \{ (a, b, f) \mid f \text{ is a function } f : a \rightarrow b \}$$

**Proof**

$$\begin{aligned}
\mathbf{Set}(a, b) &= \{ f \in \text{Arr } \mathbf{Set} \mid f : a \rightarrow b \} \leftarrow \text{def. (7)} \\
\text{notation (5)} \rightarrow &= \{ f \in \text{Arr } \mathbf{Set} \mid \text{dom}(f) = a, \text{cod}(f) = b \}
\end{aligned}$$

$$\begin{aligned}
(2) \text{ of def. (3)} &\rightarrow = \{ (x, y, f) \mid x, y \text{ sets, } f \text{ is a function } f : x \rightarrow y \\
&\quad , \text{ dom}(x, y, f) = a , \text{ cod}(x, y, f) = b \} \\
(3) \text{ and (4) of def. (3)} &\rightarrow = \{ (x, y, f) \mid x, y \text{ sets, } f \text{ is a function } f : x \rightarrow y \\
&\quad , x = a , y = b \} \\
&= \{ (a, b, f) \mid f \text{ is a function } f : a \rightarrow b \}
\end{aligned}$$

◇

## 1.8 Locally Small Category

**Definition 8** A category  $\mathcal{C}$  is said to be locally small if and only if the hom-set  $\mathcal{C}(a, b)$  associated with every ordered pair of objects  $(a, b)$  is actually a set.

**Proposition 14** A small category is locally small.

**Proof**

Let  $\mathcal{C}$  be a small category and  $a, b \in \mathcal{C}$ . We need to show that  $\mathcal{C}(a, b)$  is a set. Using axiom (5), it is sufficient to find a set  $A$  such that every member of  $\mathcal{C}(a, b)$  is an element of  $A$ . Take  $A = \text{Arr } \mathcal{C}$ . Then from definition (1)  $A$  is indeed a set, and every member of  $\mathcal{C}(a, b)$  is an element of  $A$  by virtue of definition (7). ◇

**Proposition 15** A category  $\mathcal{C}$  is locally small if and only if  $\mathcal{C}^{op}$  is locally small.

**Proof**

The category  $\mathcal{C}$  being locally small is equivalent to  $\mathcal{C}(a, b)$  being a set for all  $a, b \in \text{Ob } \mathcal{C}$ . Since  $\text{Ob } \mathcal{C} = \text{Ob } \mathcal{C}^{op}$  and  $\mathcal{C}^{op}(a, b) = \mathcal{C}(b, a)$  from proposition (11), this is in turn equivalent to  $\mathcal{C}^{op}(a, b)$  being a set for all  $a, b \in \text{Ob } \mathcal{C}^{op}$ . Hence, it is equivalent to  $\mathcal{C}^{op}$  being locally small. ◇

**Proposition 16** The product  $\mathcal{C}_1 \times \mathcal{C}_2$  of locally small categories is locally small.

**Proof**

Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be two locally small categories. We need to show that the canonical product  $\mathcal{C}_1 \times \mathcal{C}_2$  is itself locally small. In other words, given  $a, b \in \mathcal{C}_1 \times \mathcal{C}_2$  we need to show that the collection  $\mathcal{C}_1 \times \mathcal{C}_2(a, b)$  is actually a set. However from proposition (12) we have  $\mathcal{C}_1 \times \mathcal{C}_2(a, b) = \mathcal{C}_1(a_1, b_1) \times \mathcal{C}_2(a_2, b_2)$  where  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$ . So the proposition follows from the fact that both  $\mathcal{C}_1(a_1, b_1)$  and  $\mathcal{C}_2(a_2, b_2)$  are sets,  $\mathcal{C}_1$  and  $\mathcal{C}_2$  being locally small. ◇

**Proposition 17** The category **Set** is locally small.

**Proof**

Given two sets  $a, b$ , we need to show that the hom-set **Set**( $a, b$ ) is actually a set. Using axiom (5), it is sufficient to show that this collection is a sub-collection of another collection which is known to be a set. Now any (untyped) function  $f : a \rightarrow b$  is a set of ordered pairs  $(x, y)$  where  $x \in a$  and  $y \in b$  (and with

additional properties). In particular, a function  $f : a \rightarrow b$  is a subset of the cartesian product  $a \times b$ , i.e.  $f \subseteq a \times b$ . Equivalently,  $f$  is an element of the power set  $\mathcal{P}(a \times b)$ , i.e.  $f \in \mathcal{P}(a \times b)$ . Consequently, we have:

$$\begin{aligned} \text{Set}(a, b) &= \{ (a, b, f) \mid f \text{ is a function } f : a \rightarrow b \} \leftarrow \text{prop. (13)} \\ &\subseteq \{ (a, b, f) \mid f \in \mathcal{P}(a \times b) \} \\ &= \{ (x, y, f) \mid x \in \{a\}, y \in \{b\}, f \in \mathcal{P}(a \times b) \} \\ &= \{a\} \times \{b\} \times \mathcal{P}(a \times b) \end{aligned}$$

◇

## 1.9 Isomorphism

**Definition 9** Let  $\mathcal{C}$  be a category and  $f : a \rightarrow b$  for some  $a, b \in \mathcal{C}$ . We say that  $g : b \rightarrow a$  is a left-inverse of  $f$ , if and only if  $g \circ f = \text{id}(a)$ .

**Definition 10** Let  $\mathcal{C}$  be a category and  $f : a \rightarrow b$  for some  $a, b \in \mathcal{C}$ . We say that  $g : b \rightarrow a$  is a right-inverse of  $f$ , if and only if  $f \circ g = \text{id}(b)$ .

**Remark:** Comparing definitions (9) and (10), given a category  $\mathcal{C}$  and given  $f, g \in \text{Arr } \mathcal{C}$ ,  $g$  is a left-inverse of  $f$  if and only if  $f$  is a right-inverse of  $g$ .

**Definition 11** Let  $\mathcal{C}$  be a category and  $f : a \rightarrow b$  for some  $a, b \in \mathcal{C}$ . We say that  $g : b \rightarrow a$  is an inverse of  $f$ , if and only if it is a left and right-inverse of  $f$ .

**Remark :** Given a category  $\mathcal{C}$  and  $f, g \in \text{Arr } \mathcal{C}$ ,  $g$  is an inverse of  $f$  if and only if  $f$  is an inverse of  $g$ .

**Definition 12** Let  $\mathcal{C}$  be a category and  $f \in \text{Arr } \mathcal{C}$ . We say that  $f$  is an isomorphism if and only if  $f$  has an inverse.

**Proposition 18** Let  $\mathcal{C}$  be a category and  $f \in \text{Arr } \mathcal{C}$ . If  $f$  has a right-inverse and a left-inverse, then these are equal.

**Proof**

Let  $a = \text{dom}(f)$  and  $b = \text{cod}(f)$ . Suppose  $g : b \rightarrow a$  is a left-inverse of  $f$  and  $h : b \rightarrow a$  is a right-inverse of  $f$ . We need to show that  $g = h$ :

$$\begin{aligned} g &= g \circ \text{id}(b) \leftarrow (12) \text{ of def (2)} \\ h \text{ is right inverse } \rightarrow &= g \circ (f \circ h) \\ \circ \text{ assoc } \rightarrow &= (g \circ f) \circ h \\ g \text{ is left inverse } \rightarrow &= \text{id}(a) \circ h \\ (12) \text{ of def (2)} \rightarrow &= h \end{aligned}$$

◇

**Proposition 19** *Let  $\mathcal{C}$  be a category. If  $f \in \text{Arr } \mathcal{C}$  has an inverse, it is unique.*

**Proof**

Suppose  $g, h \in \text{Arr } \mathcal{C}$  are both inverses of  $f$ . Then in particular  $g$  is a left-inverse of  $f$  and  $h$  is a right-inverse of  $f$ . From proposition (18), we have  $g = h$ .  $\diamond$

**Notation 11** *Given a category  $\mathcal{C}$ , an inverse of  $f \in \text{Arr } \mathcal{C}$  is denoted  $f^{-1}$ .*

**Proposition 20** *Let  $\mathcal{C}$  be a category and  $f \in \text{Arr } \mathcal{C}$ . Then  $f$  is an isomorphism if and only if  $f$  has a left-inverse and a right-inverse.*

**Proof**

Suppose  $f$  is an isomorphism. From definition (12),  $f$  has an inverse. Hence from definition (11), there is some  $g \in \text{Arr } \mathcal{C}$  which is both a left-inverse and a right-inverse of  $f$ . So in particular,  $f$  has a left-inverse and a right-inverse. Conversely, suppose  $f$  has a left-inverse  $g$  and a right-inverse  $h$ . from proposition (18) we have  $g = h$ . So  $g$  is in fact both a left-inverse and a right-inverse of  $f$ . From definition (11),  $g$  is in fact an inverse of  $f$  and from definition (12) we conclude that  $f$  is an isomorphism.  $\diamond$

**Proposition 21** *Let  $\mathcal{C}$  be a category,  $f : a \rightarrow b$  and  $g : b \rightarrow c$  where  $a, b, c \in \mathcal{C}$ . If  $f$  and  $g$  are isomorphisms then  $g \circ f$  is an isomorphism and:*

$$(g \circ f)^{-1} = f^{-1} \circ g^{-1}$$

**Proof**

We assume that  $f$  and  $g$  are isomorphisms with inverses denoted  $f^{-1}$  and  $g^{-1}$  respectively. We need to show that  $g \circ f$  is an isomorphism, i.e. that it has an inverse and that the inverse is actually  $f^{-1} \circ g^{-1}$ . So we need to show that  $f^{-1} \circ g^{-1}$  is both a left-inverse and a right-inverse of  $g \circ f$ . Note that since  $f : a \rightarrow b$  and  $g : b \rightarrow c$ , we have  $f^{-1} : b \rightarrow a$  and  $g^{-1} : c \rightarrow b$  and consequently  $f^{-1} \circ g^{-1} : c \rightarrow a$ . The proof for left-inverse goes as follows:

$$\begin{aligned} (f^{-1} \circ g^{-1}) \circ (g \circ f) &= f^{-1} \circ (g^{-1} \circ g) \circ f \leftarrow \circ \text{assoc} \\ g^{-1} \text{ left-inverse of } g &\rightarrow = f^{-1} \circ \text{id}(b) \circ f \\ (12) \text{ or } (13) \text{ of def. (2)} &\rightarrow = f^{-1} \circ f \\ f^{-1} \text{ left-inverse of } f &\rightarrow = \text{id}(a) \end{aligned}$$

The proof of right-inverse goes as follows:

$$\begin{aligned} (g \circ f) \circ (f^{-1} \circ g^{-1}) &= g \circ (f \circ f^{-1}) \circ g^{-1} \leftarrow \circ \text{assoc} \\ f^{-1} \text{ right-inverse of } f &\rightarrow = g \circ \text{id}(b) \circ g^{-1} \\ (12) \text{ or } (13) \text{ of def. (2)} &\rightarrow = g \circ g^{-1} \\ g^{-1} \text{ right-inverse of } g &\rightarrow = \text{id}(c) \end{aligned}$$

$\diamond$

**Proposition 22** *Let  $\mathcal{C}$  be a category and  $a \in \mathcal{C}$ . Then  $\text{id}(a)$  is an isomorphism.*

**Proof**

From  $\text{id}(a) \circ \text{id}(a) = \text{id}(a)$ ,  $\text{id}(a)$  is both a left and right inverse of itself.  $\diamond$

**Proposition 23** *Let  $\mathcal{C}$  be a category. If  $f \in \text{Arr } \mathcal{C}$  is an isomorphism, so is  $f^{-1}$ .*

**Proof**

If  $f$  is an isomorphism with inverse  $f^{-1}$ ,  $f$  is an inverse of  $f^{-1}$ .  $\diamond$

**Definition 13** *Let  $\mathcal{C}$  be a category and  $a, b \in \mathcal{C}$ . We say that the object  $a$  is isomorphic to the object  $b$  if and only if there exists an isomorphism  $f : a \rightarrow b$ .*

**Notation 12** *We write  $a \simeq b$  to say that  $a$  is isomorphic to  $b$ .*

**Proposition 24** *Let  $\mathcal{C}$  be a category. The relation  $\simeq$  is an equivalence on  $\text{Ob } \mathcal{C}$ .*

**Proof**

We need to show that  $\simeq$  is reflexive, symmetric and transitive. Given  $a \in \mathcal{C}$ , from proposition (22) the arrow  $\text{id}(a) : a \rightarrow a$  is an isomorphism, so  $a \simeq a$  and  $\simeq$  is reflexive. Given  $a, b \in \mathcal{C}$  with  $a \simeq b$ , there exists an isomorphism  $f : a \rightarrow b$ . From proposition (23) the arrow  $f^{-1} : b \rightarrow a$  is also an isomorphism. So  $b \simeq a$  and  $\simeq$  is symmetric. Suppose  $a, b, c \in \mathcal{C}$  with  $a \simeq b$  and  $b \simeq c$ . There exist isomorphisms  $f : a \rightarrow b$  and  $g : b \rightarrow c$ . From proposition (21) the arrow  $g \circ f$  is an isomorphism from  $a$  to  $c$ . So  $a \simeq c$  and  $\simeq$  is transitive.  $\diamond$



## Chapter 2

# Functor

### 2.1 Functor

**Definition 14** We call functor from categories  $\mathcal{C}$  to  $\mathcal{D}$  any tuple  $(F_0, F_1)$  with:

- (1)  $F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}$  is a map
- (2)  $F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  is a map
- (3)  $F_1(f) : F_0(a) \rightarrow F_0(b)$
- (4)  $F_1(\text{id}(a)) = \text{id}(F_0(a))$
- (5)  $F_1(g \circ f) = F_1(g) \circ F_1(f)$

where (3) – (5) hold for all  $a, b, c \in \mathcal{C}$ ,  $f : a \rightarrow b$  and  $g : b \rightarrow c$ .

**Notation 13** We shall use  $F : \mathcal{C} \rightarrow \mathcal{D}$  as a notational shortcut for the statement that  $F$  is a functor from the category  $\mathcal{C}$  to the category  $\mathcal{D}$ .

**Notation 14** If  $F = (F_0, F_1)$  is a functor from  $\mathcal{C}$  to  $\mathcal{D}$ , we shall also commonly denote  $F_0$  and  $F_1$  simply by  $F$ .

So if  $F$  is a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  we effectively have a map  $F : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}$  acting on objects, and a map  $F : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  acting on arrows. These two maps satisfy the consistency condition (3) of definition (14) i.e. that if  $f$  is an arrow  $f : a \rightarrow b$  in  $\mathcal{C}$ , then  $F(f)$  must be an arrow  $F(f) : F(a) \rightarrow F(b)$  in  $\mathcal{D}$ . Furthermore, the functor  $F$  must preserve the identity operators on  $\mathcal{C}$  and  $\mathcal{D}$  which is condition (4) of definition (14): for all objects  $a \in \mathcal{C}$ , we must have  $F(\text{id}(a)) = \text{id}(F(a))$ . Note that since  $\text{id}(a) : a \rightarrow a$ , by consistency we have  $F(\text{id}(a)) : F(a) \rightarrow F(a)$ , and since  $\text{id}(F(a)) : F(a) \rightarrow F(a)$  the equality makes sense. Another way to express the preservation of identity operators by  $F$  is simply  $F \circ \text{id} = \text{id} \circ F$  or  $F_1 \circ \text{id} = \text{id} \circ F_0$  to be more explicit. However, we should remember that the notation ' $\circ$ ' in these equality does not refer to the

composition operator  $\circ$  of either  $\mathcal{C}$  or  $\mathcal{D}$ , nor does it in general refer to the usual function composition since  $\text{id}$ ,  $F_0$  and  $F_1$  are maps between collections and not functions between sets. Now going back to our functor  $F$ , it must also preserve the composition operators on  $\mathcal{C}$  and  $\mathcal{D}$ , which is condition (5) of definition (14): For all objects  $a, b, c \in \mathcal{C}$  and arrows  $f : a \rightarrow b$  and  $g : b \rightarrow c$ , we must have  $F(g \circ f) = F(g) \circ F(f)$ . Note that given these assumptions, the composition arrow  $g \circ f$  is well-defined, and by consistency we have  $F(f) : F(a) \rightarrow F(b)$  and  $F(g) : F(b) \rightarrow F(c)$ , so  $F(g) \circ F(f)$  is also well-defined. Furthermore, since  $g \circ f : a \rightarrow c$  by consistency we have  $F(g \circ f) : F(a) \rightarrow F(c)$  and since  $F(g) \circ F(f) : F(a) \rightarrow F(c)$ , the equality  $F(g \circ f) = F(g) \circ F(f)$  makes sense.

## 2.2 Identity Functor

**Definition 15** Let  $\mathcal{C}$  be a category. We call identity functor on  $\mathcal{C}$  the functor  $F : \mathcal{C} \rightarrow \mathcal{C}$  defined by  $F = (F_0, F_1)$  with:

$$(1) \quad F_0(a) = a$$

$$(2) \quad F_1(f) = f$$

where (1) holds for all  $a \in \text{Ob } \mathcal{C}$  and (2) holds for all  $f \in \text{Arr } \mathcal{C}$ .

**Notation 15** The identity functor on a category  $\mathcal{C}$  is denoted  $I_{\mathcal{C}}$ .

We shall now check our definition is indeed that of a functor.

**Proposition 25** The identity functor on a category  $\mathcal{C}$  is a functor  $I_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$ .

**Proof**

Let  $\mathcal{C}$  be a category and  $I_{\mathcal{C}} = (F_0, F_1)$  be the identity functor on  $\mathcal{C}$ . We need to check that properties (1) – (5) of definition (14) are satisfied:

(1):  $F_0$  is indeed a map  $F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{C}$  from (1) of definition (15).

(2):  $F_1$  is indeed a map  $F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{C}$  from (2) of definition (15).

(3): We have  $F_1(f) : F_0(a) \rightarrow F_0(b)$  whenever  $f : a \rightarrow b$ , since:

$$F_1(f) = f, \quad F_0(a) = a, \quad F_0(b) = b$$

(4): We have  $F_1(\text{id}(a)) = \text{id}(F_0(a))$  for all  $a \in \mathcal{C}$ , since:

$$F_1(\text{id}(a)) = \text{id}(a), \quad F_0(a) = a$$

(5):  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  whenever  $f : a \rightarrow b$  and  $g : b \rightarrow c$ , since:

$$F_1(g \circ f) = g \circ f, \quad F_1(g) = g, \quad F_1(f) = f$$

◇

## 2.3 Constant Functor

**Definition 16** Let  $\mathcal{C}$  be a category and  $c \in \mathcal{C}$ . We call constant functor on  $\mathcal{C}$  at  $c$  the functor  $F : \mathcal{C} \rightarrow \mathcal{C}$  defined by  $F = (F_0, F_1)$  with:

$$\begin{aligned} (1) \quad & F_0(a) = c \\ (2) \quad & F_1(f) = \text{id}(c) \end{aligned}$$

where (1) holds for all  $a \in \text{Ob } \mathcal{C}$  and (2) holds for all  $f \in \text{Arr } \mathcal{C}$ .

**Notation 16** The constant functor on a category  $\mathcal{C}$  at  $c \in \mathcal{C}$  is denoted  $K_c$ .

We shall now check our definition is indeed that of a functor.

**Proposition 26** Given a category  $\mathcal{C}$  and  $c \in \mathcal{C}$ ,  $K_c$  is a functor  $K_c : \mathcal{C} \rightarrow \mathcal{C}$ .

**Proof**

Let  $\mathcal{C}$  be a category,  $c \in \mathcal{C}$  and  $K_c = (F_0, F_1)$  be the constant functor on  $\mathcal{C}$  at  $c$ .

We need to check that properties (1) – (5) of definition (14) are satisfied:

- (1):  $F_0$  is indeed a map  $F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{C}$  from (1) of definition (16).
- (2):  $F_1$  is indeed a map  $F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{C}$  from (2) of definition (16).
- (3): We have  $F_1(f) : F_0(a) \rightarrow F_0(b)$  whenever  $f : a \rightarrow b$ , since:

$$F_1(f) = \text{id}(c), \quad F_0(a) = c, \quad F_0(b) = c$$

- (4): We have  $F_1(\text{id}(a)) = \text{id}(F_0(a))$  for all  $a \in \mathcal{C}$ , since:

$$F_1(\text{id}(a)) = \text{id}(c), \quad F_0(a) = c$$

- (5):  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  whenever  $f : a \rightarrow b$  and  $g : b \rightarrow c$ , since:

$$F_1(g \circ f) = \text{id}(c), \quad F_1(g) = \text{id}(c), \quad F_1(f) = \text{id}(c)$$

and  $\text{id}(c) = \text{id}(c) \circ \text{id}(c)$  from (12) (or (13)) of definition (2).  $\diamond$

## 2.4 Functor and Opposite Category

**Proposition 27** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. Then  $F$  is also a functor  $F : \mathcal{C}^{op} \rightarrow \mathcal{D}^{op}$ .

**Proof**

We need to check that properties (1) – (5) of definition (14) are satisfied:

(1):  $F_0$  is indeed a map  $F_0 : \text{Ob } \mathcal{C}^{op} \rightarrow \text{Ob } \mathcal{D}^{op}$  since  $F$  is a functor and  $F_0$  is therefore a map  $F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}$ . Furthermore, we have  $\text{Ob } \mathcal{C}^{op} = \text{Ob } \mathcal{C}$  and  $\text{Ob } \mathcal{D}^{op} = \text{Ob } \mathcal{D}$  by virtue of definition (5).

(2):  $F_1$  is indeed a map  $F_1 : \text{Arr } \mathcal{C}^{op} \rightarrow \text{Arr } \mathcal{D}^{op}$  since  $\text{Arr } \mathcal{C}^{op} = \text{Arr } \mathcal{C}$  and  $\text{Arr } \mathcal{D}^{op} = \text{Arr } \mathcal{D}$  from definition (5), and  $F_1$  is a map  $F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ .

(3): We have  $F_1(f) : F_0(a) \rightarrow F_0(b) @ \mathcal{D}^{op}$  whenever  $f : a \rightarrow b @ \mathcal{C}^{op}$ . Indeed, the assumption  $f : a \rightarrow b @ \mathcal{C}^{op}$  is equivalent to  $f : b \rightarrow a @ \mathcal{C}$ .  $F$  being a functor, this implies  $F_1(f) : F_0(b) \rightarrow F_0(a) @ \mathcal{D}$  which is equivalent to  $F_1(f) : F_0(a) \rightarrow F_0(b) @ \mathcal{D}^{op}$ .

(4): We have  $F_1(\text{id}(a) @ \mathcal{C}^{op}) = \text{id}(F_0(a)) @ \mathcal{D}^{op}$  for all  $a \in \mathcal{C}^{op}$ . This follows from the equality  $F_1(\text{id}(a) @ \mathcal{C}) = \text{id}(F_0(a)) @ \mathcal{D}$  and the fact that the notions of objects and identity coincide on a category and its opposite.

(5): We have  $F_1(g \circ f @ \mathcal{C}^{op}) = F_1(g) \circ F_1(f) @ \mathcal{D}^{op}$  when  $f : a \rightarrow b @ \mathcal{C}^{op}$  and  $g : b \rightarrow c @ \mathcal{C}^{op}$ . Indeed, the assumptions  $f : a \rightarrow b @ \mathcal{C}^{op}$  and  $g : b \rightarrow c @ \mathcal{C}^{op}$  are equivalent to  $f : b \rightarrow a @ \mathcal{C}$  and  $g : c \rightarrow b @ \mathcal{C}$ . Hence we have:

$$\begin{aligned} F_1(g \circ f @ \mathcal{C}^{op}) &= F_1(f \circ g @ \mathcal{C}) \leftarrow \text{def. (5)} \\ \text{(5) of def. (14)} \rightarrow &= F_1(f) \circ F_1(g) @ \mathcal{D} \\ \text{def. (5)} \rightarrow &= F_1(g) \circ F_1(f) @ \mathcal{D}^{op} \end{aligned}$$

◇

Proposition (27) illustrates the fact that the knowledge of a functor  $F$  by itself does not tell us what its domain and codomain are. In fact, we have not even defined what the *domain* or *codomain* of a functor should be. By virtue of notation (13), writing  $F : \mathcal{C} \rightarrow \mathcal{D}$  is simply a notational shortcut for the statement that  $F$  is a functor between  $\mathcal{C}$  and  $\mathcal{D}$ . This is very similar to writing  $f : a \rightarrow b$  for an untyped function  $f$ . Now we cannot simply define the domain and codomain of a functor  $F$  to be the categories  $\mathcal{C}$  and  $\mathcal{D}$  respectively, whenever  $F : \mathcal{C} \rightarrow \mathcal{D}$ . This is because the categories  $\mathcal{C}$  and  $\mathcal{D}$  are not unique, as whenever the statement  $F : \mathcal{C} \rightarrow \mathcal{D}$  holds, the statement  $F : \mathcal{C}^{op} \rightarrow \mathcal{D}^{op}$  also holds. To resolve this issue, similarly to (4), we define:

**Definition 17** *Given a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between categories  $\mathcal{C}, \mathcal{D}$ , we say that  $F$  is the untyped functor while the triple  $(\mathcal{C}, \mathcal{D}, F)$  is called the typed functor.*

## 2.5 Composition of Functors

**Definition 18** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{E}$  be functors where  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  are categories. We call composition of  $G$  and  $F$  the functor  $H : \mathcal{C} \rightarrow \mathcal{E}$  defined by:*

$$\begin{aligned} (1) \quad H_0(a) &= G_0(F_0(a)) \\ (2) \quad H_1(f) &= G_1(F_1(f)) \end{aligned}$$

where (1) holds for all  $a \in \text{Ob } \mathcal{C}$  and (2) holds for all  $f \in \text{Arr } \mathcal{C}$ .

**Notation 17** *The composition of two functors  $G$  and  $F$  is denoted  $GF$  or  $G \circ F$ .*

**Remark:** In view of notation (8), (1) and (2) of definition (18) could equally have been written  $H_0 = G_0 \circ F_0$  and  $H_1 = G_1 \circ F_1$ . Note that the overloaded symbol ' $\circ$ ' has many possible meanings: it is the usual symbol for set-theoretic function composition, it also refers to composition of maps between collections

as per notation (8), it is the generic symbol for the composition operator in an arbitrary category as per notation (6), and finally it is also used to denote composition of functors as per notation (17).

**Proposition 28** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{E}$  be functors where  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  are categories. Then  $G \circ F$  is indeed a functor  $G \circ F : \mathcal{C} \rightarrow \mathcal{E}$ .*

**Proof**

We need to check that properties (1) – (5) of definition (14) are satisfied:

(1):  $(GF)_0 = G_0 \circ F_0$  is indeed a map  $(GF)_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{E}$ , since:

$$F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}, \quad G_0 : \text{Ob } \mathcal{D} \rightarrow \text{Ob } \mathcal{E}$$

(2):  $(GF)_1 = G_1 \circ F_1$  is indeed a map  $(GF)_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{E}$ , since:

$$F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}, \quad G_1 : \text{Arr } \mathcal{D} \rightarrow \text{Arr } \mathcal{E}$$

(3): We have  $(GF)_1(f) : (GF)_0(a) \rightarrow (GF)_0(b)$  whenever  $f : a \rightarrow b$ , since:

$$\begin{aligned} f : a \rightarrow b &\Rightarrow F_1(f) : F_0(a) \rightarrow F_0(b) \leftarrow (3) \text{ of def. (14)} \\ (3) \text{ of def. (14)} &\Rightarrow G_1(F_1(f)) : G_0(F_0(a)) \rightarrow G_0(F_0(b)) \\ (1) \text{ and } (2) \text{ of def. (18)} &\Rightarrow (GF)_1(f) : (GF)_0(a) \rightarrow (GF)_0(b) \end{aligned}$$

(4): We have  $(GF)_1(\text{id}(a)) = \text{id}((GF)_0(a))$  for all  $a \in \mathcal{C}$ , since:

$$\begin{aligned} (GF)_1(\text{id}(a)) &= G_1(F_1(\text{id}(a))) \leftarrow (2) \text{ of def. (18)} \\ (4) \text{ of def. (14)} &\Rightarrow G_1(\text{id}(F_0(a))) \\ (4) \text{ of def. (14)} &\Rightarrow \text{id}(G_0(F_0(a))) \\ (1) \text{ of def. (18)} &\Rightarrow \text{id}((GF)_0(a)) \end{aligned}$$

(5):  $(GF)_1(g \circ f) = (GF)_1(g) \circ (GF)_1(f)$  whenever  $f : a \rightarrow b$  and  $g : b \rightarrow c$ :

$$\begin{aligned} (GF)_1(g \circ f) &= G_1(F_1(g \circ f)) \leftarrow (2) \text{ of def. (18)} \\ (5) \text{ of def. (14)} &\Rightarrow G_1(F_1(g) \circ F_1(f)) \\ (5) \text{ of def. (14)} &\Rightarrow G_1(F_1(g)) \circ G_1(F_1(f)) \\ (2) \text{ of def. (18)} &\Rightarrow (GF)_1(g) \circ (GF)_1(f) \end{aligned}$$

◇

## 2.6 Equality between Functors

One question which we have not yet considered is that of equality between functors. Given two categories  $\mathcal{C}$  and  $\mathcal{D}$ , and two functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$ , it will sometimes be convenient to argue that these two functors are equal. For one

thing, we would like to state that functor composition is associative, a statement which is not meaningful without a notion of equality between functors. Such equality is not obvious and may depend on the specifics of the logical context in which we are working. Equality between sets is given but anything else requires careful consideration. Using the principles of section (1.3), we are able to state:

**Proposition 29** *Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be two functors between categories  $\mathcal{C}, \mathcal{D}$  with:*

- (1)  $\forall a \in \text{Ob } \mathcal{C} \ , \ F(a) = G(a)$
- (2)  $\forall f \in \text{Arr } \mathcal{C} \ , \ F(f) = G(f)$

*Then  $F = G$ , i.e. the two functors  $F$  and  $G$  are equal.*

**Proof**

Let  $F = (F_0, F_1)$  and  $G = (G_0, G_1)$  be two functors between  $\mathcal{C}$  and  $\mathcal{D}$  such that  $F_0(a) = G_0(a)$  for all  $a \in \text{Ob } \mathcal{C}$  and  $F_1(f) = G_1(f)$  for all  $f \in \text{Arr } \mathcal{C}$ . We need to show that  $F = G$ , which is  $(F_0, F_1) = (G_0, G_1)$ . In order to show this equality, using axiom (4) it is sufficient to prove that  $F_0 = G_0$  and  $F_1 = G_1$ . However, by virtue of definition (14), both  $F_0$  and  $G_0$  are maps  $F_0, G_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}$ , and both  $F_1, G_1$  are maps  $F_1, G_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ . Hence, using axiom (3), in order to show that  $F_0 = G_0$ , it is sufficient to prove that  $F_0(a) = G_0(a)$  for all  $a \in \text{Ob } \mathcal{C}$ , and this is true by assumption. Likewise, in order to show that  $F_1 = G_1$  it is sufficient to prove that  $F_1(f) = G_1(f)$  for all  $f \in \text{Arr } \mathcal{C}$  which also true by assumption.  $\diamond$

**Proposition 30** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$ ,  $G : \mathcal{D} \rightarrow \mathcal{E}$  and  $H : \mathcal{E} \rightarrow \mathcal{F}$  be functors between categories  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  and  $\mathcal{F}$ . Then we have the equality:*

$$(H \circ G) \circ F = H \circ (G \circ F)$$

*i.e. functor composition is associative.*

**Proof**

Using proposition (29) we simply need to show that the two functors  $(H \circ G) \circ F$  and  $H \circ (G \circ F)$  act equally on both objects and arrows of the category  $\mathcal{C}$ . For  $a \in \text{Ob } \mathcal{C}$ , this goes as follows:

$$\begin{aligned} ((H \circ G) \circ F)(a) &= (H \circ G)(F(a)) \leftarrow \text{def. (18)} \\ \text{def. (18)} \rightarrow &= H(G(F(a))) \\ \text{def. (18)} \rightarrow &= H((G \circ F)(a)) \\ \text{def. (18)} \rightarrow &= (H \circ (G \circ F))(a) \end{aligned}$$

and likewise for  $f \in \text{Arr } \mathcal{C}$ :

$$\begin{aligned} ((H \circ G) \circ F)(f) &= (H \circ G)(F(f)) \leftarrow \text{def. (18)} \\ \text{def. (18)} \rightarrow &= H(G(F(f))) \\ \text{def. (18)} \rightarrow &= H((G \circ F)(f)) \\ \text{def. (18)} \rightarrow &= (H \circ (G \circ F))(f) \end{aligned}$$

$\diamond$

**Proposition 31** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor between categories  $\mathcal{C}, \mathcal{D}$ . Then:*

$$I_{\mathcal{D}} \circ F = F$$

where  $I_{\mathcal{D}} : \mathcal{D} \rightarrow \mathcal{D}$  is the identity functor on  $\mathcal{D}$  as per definition (15).

**Proof**

Using proposition (29) we simply need to show that the two functors  $I_{\mathcal{D}} \circ F$  and  $F$  act equally on both objects and arrows of the category  $\mathcal{C}$ . For  $a \in \text{Ob } \mathcal{C}$ :

$$\begin{aligned} (I_{\mathcal{D}} \circ F)(a) &= I_{\mathcal{D}}(F(a)) \leftarrow (1) \text{ of def. (18)} \\ (1) \text{ of def. (15)} \rightarrow &= F(a) \end{aligned}$$

and likewise for  $f \in \text{Arr } \mathcal{C}$ :

$$\begin{aligned} (I_{\mathcal{D}} \circ F)(f) &= I_{\mathcal{D}}(F(f)) \leftarrow (2) \text{ of def. (18)} \\ (2) \text{ of def. (15)} \rightarrow &= F(f) \end{aligned}$$

◇

**Proposition 32** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor between categories  $\mathcal{C}, \mathcal{D}$ . Then:*

$$F \circ I_{\mathcal{C}} = F$$

where  $I_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$  is the identity functor on  $\mathcal{C}$  as per definition (15).

**Proof**

Using proposition (29) we simply need to show that the two functors  $F \circ I_{\mathcal{C}}$  and  $F$  act equally on both objects and arrows of the category  $\mathcal{C}$ . For  $a \in \text{Ob } \mathcal{C}$ :

$$\begin{aligned} (F \circ I_{\mathcal{C}})(a) &= F(I_{\mathcal{C}}(a)) \leftarrow (1) \text{ of def. (18)} \\ (1) \text{ of def. (15)} \rightarrow &= F(a) \end{aligned}$$

and likewise for  $f \in \text{Arr } \mathcal{C}$ :

$$\begin{aligned} (F \circ I_{\mathcal{C}})(f) &= F(I_{\mathcal{C}}(f)) \leftarrow (2) \text{ of def. (18)} \\ (2) \text{ of def. (15)} \rightarrow &= F(f) \end{aligned}$$

◇

## 2.7 Hom-functor of a Locally Small Category

**Definition 19** *Let  $\mathcal{C}$  be a locally small category. We call hom-functor associated with  $\mathcal{C}$  the functor  $F : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathbf{Set}$  defined by  $F = (F_0, F_1)$  with:*

$$\begin{aligned} (1) \quad F_0(a_1, a_2) &= \mathcal{C}(a_1, a_2) \\ (2) \quad F_1(f_1, f_2)(h) &= f_2 \circ h \circ f_1 \end{aligned}$$

where (2) holds for  $a_1, a_2, b_1, b_2 \in \mathcal{C}$ ,  $f_1 : b_1 \rightarrow a_1$ ,  $h : a_1 \rightarrow a_2$  and  $f_2 : a_2 \rightarrow b_2$ .

**Notation 18** Given a locally small category  $\mathcal{C}$ , the hom-functor  $F = (F_0, F_1)$  associated with  $\mathcal{C}$  is denoted  $\mathcal{C} = (\mathcal{C}_0, \mathcal{C}_1)$ .

**Remark:** Using the notation  $\mathcal{C}$  to denote both the locally small category  $\mathcal{C}$  and its associated hom-functor may appear confusing, but the notation actually makes sense since the equation  $F_0(a_1, a_2) = \mathcal{C}(a_1, a_2)$  simply becomes the tautology  $\mathcal{C}(a_1, a_2) = \mathcal{C}(a_1, a_2)$ . In other words, using  $\mathcal{C}$  to denote the hom-functor makes it very easy to remember that when applied to the object  $(a_1, a_2)$  of the category  $\mathcal{C}^{op} \times \mathcal{C}$ , we simply obtain the hom-set  $\mathcal{C}(a_1, a_2)$  of the locally small category  $\mathcal{C}$ .

Given a locally small category  $\mathcal{C}$ , definition (19) defines a tuple  $F = (F_0, F_1)$  where  $F_0$  appears to be a map defined on  $\text{Ob } \mathcal{C} \times \text{Ob } \mathcal{C}$  with values in **Set**, and  $F_1$  appears to be a map defined on  $\text{Arr } \mathcal{C} \times \text{Arr } \mathcal{C}$  with values in some functional space (since it takes an  $h$  as argument). Looking at this, it is far from obvious that definition (19) defines a functor  $F : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathbf{Set}$ . Hence we state:

**Proposition 33** Let  $\mathcal{C}$  be a locally small category. Then the hom-functor  $F$  associated with  $\mathcal{C}$  is indeed a functor  $F : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathbf{Set}$ .

**Proof**

We need to check that properties (1) – (5) of definition (14) are satisfied:

(1): We need to show that  $F_0$  is a map  $F_0 : \text{Ob } (\mathcal{C}^{op} \times \mathcal{C}) \rightarrow \text{Ob } \mathbf{Set}$ . Having defined  $F_0(a_1, a_2) = \mathcal{C}(a_1, a_2)$  and the category  $\mathcal{C}$  being locally small, we see that  $F_0(a_1, a_2)$  is a set for all  $a_1, a_2 \in \mathcal{C}$ . So  $F_0$  is defined as a map  $F_0 : (\text{Ob } \mathcal{C}) \times (\text{Ob } \mathcal{C}) \rightarrow \text{Ob } \mathbf{Set}$ , and it remains to check that the collections  $(\text{Ob } \mathcal{C}) \times (\text{Ob } \mathcal{C})$  and  $\text{Ob } (\mathcal{C}^{op} \times \mathcal{C})$  actually coincide, which goes as follows:

$$\begin{aligned} (\text{Ob } \mathcal{C}) \times (\text{Ob } \mathcal{C}) &= \{ (a_1, a_2) \mid a_1 \in \text{Ob } \mathcal{C}, a_2 \in \text{Ob } \mathcal{C} \} \\ \text{def. (5)} \rightarrow &= \{ (a_1, a_2) \mid a_1 \in \text{Ob } \mathcal{C}^{op}, a_2 \in \text{Ob } \mathcal{C} \} \\ (1) \text{ of def. (6)} \rightarrow &= \text{Ob } (\mathcal{C}^{op} \times \mathcal{C}) \end{aligned}$$

(2): We need to show that  $F_1$  is a map  $F_1 : \text{Arr } (\mathcal{C}^{op} \times \mathcal{C}) \rightarrow \text{Arr } \mathbf{Set}$ . Having defined  $F_1(f_1, f_2)$  for any  $f_1 : b_1 \rightarrow a_1$  and  $f_2 : a_2 \rightarrow b_2$  where  $a_1, a_2, b_1, b_2$  are arbitrary objects in  $\mathcal{C}$ , we see that  $F_1$  is a map defined on  $(\text{Arr } \mathcal{C}) \times (\text{Arr } \mathcal{C})$ . So we need to check that the collections  $(\text{Arr } \mathcal{C}) \times (\text{Arr } \mathcal{C})$  and  $\text{Arr } (\mathcal{C}^{op} \times \mathcal{C})$  actually coincide, which goes as follows:

$$\begin{aligned} (\text{Arr } \mathcal{C}) \times (\text{Arr } \mathcal{C}) &= \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}, f_2 \in \text{Arr } \mathcal{C} \} \\ \text{def. (5)} \rightarrow &= \{ (f_1, f_2) \mid f_1 \in \text{Arr } \mathcal{C}^{op}, f_2 \in \text{Arr } \mathcal{C} \} \\ (2) \text{ of def. (6)} \rightarrow &= \text{Arr } (\mathcal{C}^{op} \times \mathcal{C}) \end{aligned}$$

However, given arrows  $f_1, f_2$  in  $\mathcal{C}$ , we still need to check that  $F_1(f_1, f_2)$  is a member of the collection  $\text{Arr } \mathbf{Set}$ . In other words, we need to check that  $F_1(f_1, f_2)$  is a typed function. Introducing the notations  $a_1, a_2, b_1, b_2$  such that  $f_1 : b_1 \rightarrow a_1$  and  $f_2 : a_2 \rightarrow b_2$ , our definition states that  $F_1(f_1, f_2)(h)$  is defined for any  $h : a_1 \rightarrow a_2$ . In other words,  $F_1(f_1, f_2)(h)$  is defined for any  $h$  which belongs to the hom-set  $\mathcal{C}(a_1, a_2)$ . Having assumed that  $\mathcal{C}$  is a locally small category, the collection  $\mathcal{C}(a_1, a_2)$  is in fact a set, and  $F_1(f_1, f_2)$  is therefore an untyped



function with domain  $\mathcal{C}(a_1, a_2)$ , defined by  $F_1(f_1, f_2)(h) = f_2 \circ h \circ f_1$ . Note that since  $f_1 : b_1 \rightarrow a_1$  and  $f_2 : a_2 \rightarrow b_2$ , the composition  $f_2 \circ h \circ f_1$  is a well-defined arrow in  $\mathcal{C}$  whenever  $h \in \mathcal{C}(a_1, a_2)$ . This arrow has domain  $b_1$  and codomain  $b_2$  and we see that  $F_1(f_1, f_2)(h)$  is in fact an element of the hom-set  $\mathcal{C}(b_1, b_2)$ . So  $F_1(f_1, f_2)$  is an untyped function  $F_1(f_1, f_2) : \mathcal{C}(a_1, a_2) \rightarrow \mathcal{C}(b_1, b_2)$ . Now looking at definition (3), an arrow of the category **Set** is a typed function  $(a, b, f)$  where  $a$  and  $b$  are sets and  $f$  is an untyped function  $f : a \rightarrow b$ . Hence, strictly speaking our definition of  $F_1(f_1, f_2)$  is not a member of  $\text{Arr } \mathbf{Set}$  but simply an untyped function  $F_1(f_1, f_2) : \mathcal{C}(a_1, a_2) \rightarrow \mathcal{C}(b_1, b_2)$ . However, the typed function  $(\mathcal{C}(a_1, a_2), \mathcal{C}(b_1, b_2), F_1(f_1, f_2))$  is an arrow of the category **Set** and we have agreed in notation (9) to simply refer to this arrow as  $F_1(f_1, f_2)$ , as the domain  $\mathcal{C}(a_1, a_2)$  and intended codomain  $\mathcal{C}(b_1, b_2)$  can easily be inferred from the formula  $F_1(f_1, f_2)(h) = f_2 \circ h \circ f_1$  for all  $h : a_1 \rightarrow a_2$ . This completes our proof that  $F_1$  is a map  $F_1 : \text{Arr } (\mathcal{C}^{op} \times \mathcal{C}) \rightarrow \text{Arr } \mathbf{Set}$ .

(3): We need to check that  $F_1(f) : F_0(a) \rightarrow F_0(b)$  whenever  $a, b \in \mathcal{C}^{op} \times \mathcal{C}$  and  $f : a \rightarrow b$ . So let  $a = (a_1, a_2) \in \mathcal{C}^{op} \times \mathcal{C}$ ,  $b = (b_1, b_2) \in \mathcal{C}^{op} \times \mathcal{C}$  and let  $f$  be an arrow  $f = (f_1, f_2) : (a_1, a_2) \rightarrow (b_1, b_2) @ \mathcal{C}^{op} \times \mathcal{C}$ . From the equalities  $F_0(a) = \mathcal{C}(a_1, a_2)$  and  $F_0(b) = \mathcal{C}(b_1, b_2)$ , it is clear that we simply need to check  $F_1(f_1, f_2) : \mathcal{C}(a_1, a_2) \rightarrow \mathcal{C}(b_1, b_2)$ . However, we have already established this fact in part (2) of this proof, provided we show that  $f_1 : b_1 \rightarrow a_1 @ \mathcal{C}$  together with  $f_2 : a_2 \rightarrow b_2 @ \mathcal{C}$ . Hence we need  $(f_1, f_2) \in \mathcal{C}(b_1, a_1) \times \mathcal{C}(a_2, b_2)$ , knowing that  $(f_1, f_2) \in (\mathcal{C}^{op} \times \mathcal{C})[(a_1, a_2), (b_1, b_2)]$  by assumption. It is therefore sufficient to prove that the two collections  $\mathcal{C}(b_1, a_1) \times \mathcal{C}(a_2, b_2)$  and  $\mathcal{C}^{op} \times \mathcal{C}[(a_1, a_2), (b_1, b_2)]$  coincide, which goes as follows:

$$\begin{aligned} \mathcal{C}^{op} \times \mathcal{C}[(a_1, a_2), (b_1, b_2)] &= \mathcal{C}^{op}(a_1, b_1) \times \mathcal{C}(a_2, b_2) \leftarrow \text{prop. (12)} \\ \text{prop. (11)} \rightarrow &= \mathcal{C}(b_1, a_1) \times \mathcal{C}(a_2, b_2) \end{aligned}$$

(4): We need to check that  $F_1(\text{id}(a)) = \text{id}(F_0(a))$  whenever  $a \in \mathcal{C}^{op} \times \mathcal{C}$ . So let  $a = (a_1, a_2) \in \mathcal{C}^{op} \times \mathcal{C}$ . Since  $F_0(a) = \mathcal{C}(a_1, a_2)$ , we need to check that  $F_1(\text{id}(a)) = \text{id}(\mathcal{C}(a_1, a_2))$ . This is an equality between two arrows of the category **Set**, with identical domain and codomain, namely the set  $\mathcal{C}(a_1, a_2)$ . Given  $h \in \mathcal{C}(a_1, a_2)$ , from proposition (6) it is sufficient to check that  $F_1(\text{id}(a))(h) = h$ :

$$\begin{aligned} F_1(\text{id}(a))(h) &= F_1(\text{id}(a_1, a_2))(h) \\ \text{(5) of def. (6)} \rightarrow &= F_1(\text{id}(a_1) @ \mathcal{C}^{op}, \text{id}(a_2))(h) \\ \text{def. (5)} \rightarrow &= F_1(\text{id}(a_1) @ \mathcal{C}, \text{id}(a_2))(h) \\ \text{(2) of def. (19)} \rightarrow &= \text{id}(a_2) \circ h \circ \text{id}(a_1) \\ \text{(12) of def. (2)} \rightarrow &= \text{id}(a_2) \circ h \\ \text{(13) of def. (2)} \rightarrow &= h \end{aligned}$$

(5): We need to check that  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  whenever  $f : a \rightarrow b$ ,  $g : b \rightarrow c$  and  $a, b, c \in \mathcal{C}^{op} \times \mathcal{C}$ . So let  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$ ,  $c = (c_1, c_2)$  be objects in  $\mathcal{C}^{op} \times \mathcal{C}$ , and  $f = (f_1, f_2) : a \rightarrow b$  and  $g = (g_1, g_2) : b \rightarrow c$ . We have  $g \circ f : a \rightarrow c$  and consequently  $F_1(g \circ f) : F_0(a) \rightarrow F_0(c)$ . Hence the arrows

$F_1(g \circ f)$  and  $F_1(g) \circ F_1(f)$  are two arrows in **Set**, with domain  $F_0(a) = \mathcal{C}(a_1, a_2)$  and codomain  $F_0(c) = \mathcal{C}(c_1, c_2)$ . From proposition (6), in order to prove the equality  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  it is therefore sufficient to show that the underlying functions coincide for all  $h \in \mathcal{C}(a_1, a_2)$  which goes as follows:

$$\begin{aligned}
F_1(g \circ f)(h) &= F_1((g_1, g_2) \circ (f_1, f_2))(h) \\
(6) \text{ of def. (6)} &\rightarrow F_1(g_1 \circ f_1 @ \mathcal{C}^{\circ p}, g_2 \circ f_2)(h) \\
\text{crucially, def. (5)} &\rightarrow F_1(f_1 \circ g_1, g_2 \circ f_2)(h) \\
(2) \text{ of def. (19)} &\rightarrow (g_2 \circ f_2) \circ h \circ (f_1 \circ g_1) \\
\text{associativity of } \circ \text{ in } \mathcal{C} &\rightarrow g_2 \circ (f_2 \circ h \circ f_1) \circ g_1 \\
(2) \text{ of def. (19)} &\rightarrow g_2 \circ F_1(f_1, f_2)(h) \circ g_1 \\
(2) \text{ of def. (19)} &\rightarrow F_1(g_1, g_2)(F_1(f_1, f_2)(h)) \\
&= F_1(g)(F_1(f)(h)) \\
&= (F_1(g) \circ F_1(f))(h)
\end{aligned}$$

◇

## 2.8 Canonical Product of Functors

**Definition 20** We call canonical product of two functors  $F_1 : \mathcal{C}_1 \rightarrow \mathcal{D}_1$  and  $F_2 : \mathcal{C}_2 \rightarrow \mathcal{D}_2$  the functor  $G : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}_1 \times \mathcal{D}_2$  defined by  $G = (G_0, G_1)$  with:

$$\begin{aligned}
(1) \quad G_0(a_1, a_2) &= (F_1(a_1), F_2(a_2)) \\
(2) \quad G_1(f_1, f_2) &= (F_1(f_1), F_2(f_2))
\end{aligned}$$

where  $\mathcal{C}_1, \mathcal{C}_2, \mathcal{D}_1, \mathcal{D}_2$  are arbitrary categories, (1) holds for all  $a_1 \in \text{Ob } \mathcal{C}_1$  and  $a_2 \in \text{Ob } \mathcal{C}_2$ , and (2) holds for all  $f_1 \in \text{Arr } \mathcal{C}_1$  and  $f_2 \in \text{Arr } \mathcal{C}_2$ .

**Remark:** In accordance with notation (14), we are using the same notations  $F_1$  and  $F_2$  in definition (20) to describe the actions of these functors both on objects and on arrows. The alternative would be to use the notations  $(F_1)_0$ ,  $(F_1)_1$ ,  $(F_2)_0$  and  $(F_2)_1$  which would arguably be harder to read.

**Notation 19** The canonical product of functors  $F_1$  and  $F_2$  is denoted  $F_1 \times F_2$ .

**Proposition 34** Let  $F_1 : \mathcal{C}_1 \rightarrow \mathcal{D}_1$  and  $F_2 : \mathcal{C}_2 \rightarrow \mathcal{D}_2$  be two functors. Then the product of  $F_1$  and  $F_2$  is indeed a functor  $F_1 \times F_2 : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}_1 \times \mathcal{D}_2$ .

### Proof

Let  $G = (G_0, G_1)$  denote the product functor  $F_1 \times F_2$ . We need to check that properties (1) – (5) of definition (14) are satisfied, which goes as follows:

(1):  $G_0$  is indeed a map  $G_0 : \text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2) \rightarrow \text{Ob } (\mathcal{D}_1 \times \mathcal{D}_2)$ : firstly,  $G_0$  is defined on the collection of all  $(a_1, a_2)$  where  $a_1 \in \text{Ob } \mathcal{C}_1$  and  $a_2 \in \text{Ob } \mathcal{C}_2$ . According to definition (6), this is precisely the collection  $\text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2)$ . Furthermore  $G_0(a_1, a_2)$  is defined as  $(F_1(a_1), F_2(a_2))$  and since  $F_1 : \mathcal{C}_1 \rightarrow \mathcal{D}_1$  while

$F_2 : \mathcal{C}_2 \rightarrow \mathcal{D}_2$ , we have  $F_1(a_1) \in \text{Ob } \mathcal{D}_1$  together with  $F_2(a_2) \in \text{Ob } \mathcal{D}_2$ . Hence we see that  $G_0(a_1, a_2)$  is indeed a member of the collection  $\text{Ob } (\mathcal{D}_1 \times \mathcal{D}_2)$ .

(2):  $G_1$  is indeed a map  $G_1 : \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2) \rightarrow \text{Arr } (\mathcal{D}_1 \times \mathcal{D}_2)$ :  $G_1$  is defined on the collection of all  $(f_1, f_2)$  where  $f_1 \in \text{Arr } \mathcal{C}_1$  and  $f_2 \in \text{Arr } \mathcal{C}_2$ . According to definition (6), this is precisely the collection  $\text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2)$ . Furthermore  $G_1(f_1, f_2)$  is defined as  $(F_1(f_1), F_2(f_2))$  and since  $F_1 : \mathcal{C}_1 \rightarrow \mathcal{D}_1$  while we have  $F_2 : \mathcal{C}_2 \rightarrow \mathcal{D}_2$ , we see that  $F_1(f_1) \in \text{Arr } \mathcal{D}_1$  and  $F_2(f_2) \in \text{Arr } \mathcal{D}_2$ . Hence we conclude that  $G_1(f_1, f_2)$  is indeed a member of the collection  $\text{Arr } (\mathcal{D}_1 \times \mathcal{D}_2)$ .

(3): We need to show that  $G_1(f) : G_0(a) \rightarrow G_0(b)$  whenever  $f : a \rightarrow b$ : let  $f \in \text{Arr } (\mathcal{C}_1 \times \mathcal{C}_2)$  such that  $\text{dom}(f) = a$  and  $\text{cod}(f) = b$ . Then  $f = (f_1, f_2)$  for some  $f_1 \in \text{Arr } \mathcal{C}_1$  and  $f_2 \in \text{Arr } \mathcal{C}_2$ . Furthermore, since  $a, b \in \text{Ob } (\mathcal{C}_1 \times \mathcal{C}_2)$ , we have  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$  for some  $a_1, b_1 \in \text{Ob } \mathcal{C}_1$  and  $a_2, b_2 \in \text{Ob } \mathcal{C}_2$ :

$$\begin{aligned} (a_1, a_2) &= a \\ &= \text{dom}(f) \\ &= \text{dom}(f_1, f_2) \\ (3) \text{ of def. (6)} &\rightarrow = (\text{dom}(f_1), \text{dom}(f_2)) \end{aligned}$$

Hence we have  $a_1 = \text{dom}(f_1)$  and  $a_2 = \text{dom}(f_2)$  and similarly:

$$\begin{aligned} (b_1, b_2) &= b \\ &= \text{cod}(f) \\ &= \text{cod}(f_1, f_2) \\ (4) \text{ of def. (6)} &\rightarrow = (\text{cod}(f_1), \text{cod}(f_2)) \end{aligned}$$

from which we conclude that  $b_1 = \text{cod}(f_1)$  and  $b_2 = \text{cod}(f_2)$ . Hence we see that  $f_1 : a_1 \rightarrow b_1 @ \mathcal{C}_1$  and  $f_2 : a_2 \rightarrow b_2 @ \mathcal{C}_2$ . Since  $F_1$  and  $F_2$  are functors, using (3) of definition (14) we obtain  $F_1(f_1) : F_1(a_1) \rightarrow F_1(b_1) @ \mathcal{D}_1$  and likewise  $F_2(f_2) : F_2(a_2) \rightarrow F_2(b_2) @ \mathcal{D}_2$ . In order to show that  $G_1(f) : G_0(a) \rightarrow G_0(b)$ , since we already know that  $G_1(f) \in \text{Arr}(\mathcal{D}_1 \times \mathcal{D}_2)$ , it remains to show that  $\text{dom}(G_1(f)) = G_0(a)$  and  $\text{cod}(G_1(f)) = G_0(b)$ , which goes as follows:

$$\begin{aligned} \text{dom}(G_1(f)) &= \text{dom}(G_1(f_1, f_2)) \\ (2) \text{ of def. (20)} &\rightarrow = \text{dom}(F_1(f_1), F_2(f_2)) \\ (3) \text{ of def. (6)} &\rightarrow = (\text{dom}(F_1(f_1)), \text{dom}(F_2(f_2))) \\ F_1(f_1) : F_1(a_1) \rightarrow F_1(b_1) &\rightarrow = (F_1(a_1), \text{dom}(F_2(f_2))) \\ F_2(f_2) : F_2(a_2) \rightarrow F_2(b_2) &\rightarrow = (F_1(a_1), F_2(a_2)) \\ (1) \text{ of def. (20)} &\rightarrow = G_0(a_1, a_2) \\ &= G_0(a) \end{aligned}$$

$$\text{cod}(G_1(f)) = \text{cod}(G_1(f_1, f_2))$$

$$\begin{aligned}
(2) \text{ of def. (20)} &\rightarrow = \text{cod}(F_1(f_1), F_2(f_2)) \\
(4) \text{ of def. (6)} &\rightarrow = (\text{cod}(F_1(f_1)), \text{cod}(F_2(f_2))) \\
F_1(f_1) : F_1(a_1) \rightarrow F_1(b_1) &\rightarrow = (F_1(b_1), \text{cod}(F_2(f_2))) \\
F_2(f_2) : F_2(a_2) \rightarrow F_2(b_2) &\rightarrow = (F_1(b_1), F_2(b_2)) \\
(1) \text{ of def. (20)} &\rightarrow = G_0(b_1, b_2) \\
&= G_0(b)
\end{aligned}$$

(4): We have  $G_1(\text{id}(a)) = \text{id}(G_0(a))$  for all  $a = (a_1, a_2) \in \mathcal{C}_1 \times \mathcal{C}_2$ :

$$\begin{aligned}
G_1(\text{id}(a)) &= G_1(\text{id}(a_1, a_2)) \\
(5) \text{ of def. (6)} &\rightarrow = G_1(\text{id}(a_1), \text{id}(a_2)) \\
(2) \text{ of def. (20)} &\rightarrow = (F_1(\text{id}(a_1)), F_2(\text{id}(a_2))) \\
(4) \text{ of def. (14)} &\rightarrow = (\text{id}(F_1(a_1)), \text{id}(F_2(a_2))) \\
(5) \text{ of def. (6)} &\rightarrow = \text{id}(F_1(a_1), F_2(a_2)) \\
(1) \text{ of def. (20)} &\rightarrow = \text{id}(G_0(a_1, a_2)) \\
&= \text{id}(G_0(a))
\end{aligned}$$

(5): We need to show that  $G_1(g \circ f) = G_1(g) \circ G_1(f)$  for all  $f : a \rightarrow b @ \mathcal{C}_1 \times \mathcal{C}_2$  and  $g : b \rightarrow c @ \mathcal{C}_1 \times \mathcal{C}_2$ . So let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  with  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$  and  $c = (c_1, c_2)$ . Following the same details as in (3), we have  $f_1 : a_1 \rightarrow b_1$  and  $f_2 : a_2 \rightarrow b_2$  and similarly  $g_1 : b_1 \rightarrow c_1$  and  $g_2 : b_2 \rightarrow c_2$ :

$$\begin{aligned}
G_1(g \circ f) &= G_1((g_1, g_2) \circ (f_1, f_2)) \\
(6) \text{ of def. (6)} &\rightarrow = G_1(g_1 \circ f_1, g_2 \circ f_2) \\
(2) \text{ of def. (20)} &\rightarrow = (F_1(g_1 \circ f_1), F_2(g_2 \circ f_2)) \\
(5) \text{ of def. (14)} &\rightarrow = (F_1(g_1) \circ F_1(f_1), F_2(g_2) \circ F_2(f_2)) \\
(6) \text{ of def. (6)} &\rightarrow = (F_1(g_1), F_2(g_2)) \circ (F_1(f_1), F_2(f_2)) \\
(2) \text{ of def. (20)} &\rightarrow = G_1(g_1, g_2) \circ G_1(f_1, f_2) \\
&= G_1(g) \circ G_1(f)
\end{aligned}$$

◇

## 2.9 Cartesian Functor

**Definition 21** The cartesian functor  $F : \mathbf{Set} \times \mathbf{Set} \rightarrow \mathbf{Set}$  is defined by:

$$\begin{aligned}
(1) \quad F_0(a_1, a_2) &= a_1 \times a_2 \\
(2) \quad F_1(f_1, f_2)(x_1, x_2) &= (f_1(x_1), f_2(x_2))
\end{aligned}$$

where (1) holds for all sets  $a_1, a_2$ , and (2) holds for all sets  $a_1, a_2, b_1, b_2$  as well as  $f_1 : a_1 \rightarrow b_1$ ,  $f_2 : a_2 \rightarrow b_2$  together with  $x_1 \in a_1$  and  $x_2 \in a_2$ .

**Remark:** Recall that given two sets  $a_1$  and  $a_2$ , the cartesian product  $a_1 \times a_2$  of  $a_1$  and  $a_2$  is the set of all ordered pairs  $(x_1, x_2)$  where  $x_1 \in a_1$  and  $x_2 \in a_2$ :

$$a_1 \times a_2 = \{(x_1, x_2) \mid x_1 \in a_1, x_2 \in a_2\}$$

**Notation 20** *The cartesian functor is denoted  $(\times)$  as an infix operator.*

**Remark:** So if  $F : \mathbf{Set} \times \mathbf{Set} \rightarrow \mathbf{Set}$  is the cartesian functor, we shall typically write  $a_1 \times a_2$  and  $f_1 \times f_2$  instead of  $F_0(a_1, a_2)$  and  $F_1(f_1, f_2)$  respectively.

**Remark:** If  $f_1$  and  $f_2$  are arrows of the category  $\mathbf{Set}$ , strictly speaking from definition (3)  $f_1$  and  $f_2$  are typed functions  $(a, b, f)$  where  $f : a \rightarrow b$  is untyped. In particular  $f_1$  and  $f_2$  are sets and the cartesian product  $f_1 \times f_2$  is meaningful, so we have a notational conflict with  $f_1 \times f_2$ , the cartesian functor evaluated at  $(f_1, f_2)$ . Similarly to the composition  $\circ$ , the symbol  $\times$  is highly overloaded and may also be used to denote the canonical product of two categories as in definition (6), or the canonical product of two functors as in notation (19).

**Proposition 35** *The cartesian functor  $(\times)$  is a functor  $(\times) : \mathbf{Set} \times \mathbf{Set} \rightarrow \mathbf{Set}$ .*

**Proof**

Let  $F = (F_0, F_1)$  denote the cartesian functor  $(\times)$ . We need to check that properties (1) – (5) of definition (14) are satisfied:

(1):  $F_0$  is indeed a map  $F_0 : \text{Ob}(\mathbf{Set} \times \mathbf{Set}) \rightarrow \text{Ob } \mathbf{Set}$ , since  $F_0$  is defined on the collection of all  $(a_1, a_2)$  where  $a_1$  and  $a_2$  are sets and this collection is indeed the collection of all objects of  $\mathbf{Set} \times \mathbf{Set}$ . Furthermore  $F_0(a_1, a_2) = a_1 \times a_2 \in \mathbf{Set}$ .

(2):  $F_1$  is indeed a map  $F_1 : \text{Arr}(\mathbf{Set} \times \mathbf{Set}) \rightarrow \text{Arr } \mathbf{Set}$ :  $F_1(f_1, f_2)$  is defined on the collection of all  $(f_1, f_2)$  where  $f_1 : a_1 \rightarrow b_1$  and  $f_2 : a_2 \rightarrow b_2$  for arbitrary  $a_1, a_2, b_1, b_2$ . So it is defined on the collection of all  $(f_1, f_2)$  where  $f_1, f_2$  are arrows in  $\mathbf{Set}$ , and this collection is indeed  $\text{Arr}(\mathbf{Set} \times \mathbf{Set})$ . Furthermore, if we have  $f_1 : a_1 \rightarrow b_1$  and  $f_2 : a_2 \rightarrow b_2$ , then  $F_1(f_1, f_2)(x_1, x_2)$  is defined for all  $(x_1, x_2)$  where  $x_1 \in a_1$  and  $x_2 \in a_2$ . So  $F_1(f_1, f_2)$  is a function defined on the cartesian product  $a_1 \times a_2$ . Since  $F_1(f_1, f_2)(x_1, x_2)$  is defined as  $(f_1(x_1), f_2(x_2))$  we see that  $F_1(f_1, f_2)$  is in fact a function  $F_1(f_1, f_2) : a_1 \times a_2 \rightarrow b_1 \times b_2$ . However, definition (21) does not spell out the fact that  $b_1 \times b_2$  is the intended codomain of  $F_1(f_1, f_2)$ , but this is pretty clear from the context. Furthermore, definition (21) does not explicitly define a typed function  $(a_1 \times a_2, b_1 \times b_2, F_1(f_1, f_2))$  of  $\mathbf{Set}$ , but only an untyped function  $F_1(f_1, f_2)$ . This is also fair enough given the context and in line with notation (9). We conclude that  $F_1(f_1, f_2) \in \text{Arr } \mathbf{Set}$ .

(3): We need to show that  $F_1(f) : F_0(a) \rightarrow F_0(b)$  whenever  $f : a \rightarrow b$ : let  $f \in \text{Arr}(\mathbf{Set} \times \mathbf{Set})$  such that  $\text{dom}(f) = a$  and  $\text{cod}(f) = b$ . Then  $a = (a_1, a_2)$  for some sets  $a_1, a_2$ ,  $b = (b_1, b_2)$  for some sets  $b_1, b_2$  and  $f = (f_1, f_2)$  for some arrows  $f_1 : a_1 \rightarrow b_1$  and  $f_2 : a_2 \rightarrow b_2$ . We just established in (2) the fact that  $F_1(f_1, f_2) : a_1 \times a_2 \rightarrow b_1 \times b_2$ , which is  $F_1(f) : F_0(a) \rightarrow F_0(b)$  as requested.

(4): We need to show that  $F_1(\text{id}(a)) = \text{id}(F_0(a))$  for all  $a \in \mathbf{Set} \times \mathbf{Set}$ . So let  $a = (a_1, a_2)$ . We need to show that  $F_1(\text{id}(a)) = \text{id}(a_1 \times a_2)$ . We already know that  $F_1(\text{id}(a)) : a_1 \times a_2 \rightarrow a_1 \times a_2$ . So we simply need to check that the

underlying function is the usual identity on the set  $a_1 \times a_2$ . Let  $(x_1, x_2) \in a_1 \times a_2$ :

$$\begin{aligned}
F_1(\text{id}(a))(x_1, x_2) &= F_1(\text{id}(a_1, a_2))(x_1, x_2) \\
(5) \text{ of def. (6)} \rightarrow &= F_1(\text{id}(a_1), \text{id}(a_2))(x_1, x_2) \\
(2) \text{ of def. (21)} \rightarrow &= (\text{id}(a_1)(x_1), \text{id}(a_2)(x_2)) \\
(5) \text{ of def. (3)} \rightarrow &= ((a_1, a_1, i(a_1))(x_1), (a_2, a_2, i(a_2))(x_2)) \\
\text{notation (10)} \rightarrow &= (i(a_1)(x_1), i(a_2)(x_2)) \\
i(a)(x) = x \rightarrow &= (x_1, x_2)
\end{aligned}$$

(5): We need to show that  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  for  $f : a \rightarrow b @ \mathbf{Set} \times \mathbf{Set}$  and  $g : b \rightarrow c @ \mathbf{Set} \times \mathbf{Set}$ . So let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  with  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$  and  $c = (c_1, c_2)$ . Then we have  $f_1 : a_1 \rightarrow b_1$  and  $f_2 : a_2 \rightarrow b_2$  and similarly  $g_1 : b_1 \rightarrow c_1$  and  $g_2 : b_2 \rightarrow c_2$ . We know that  $F_1(f) : a_1 \times a_2 \rightarrow b_1 \times b_2$  and  $F_1(g) : b_1 \times b_2 \rightarrow c_1 \times c_2$ . Hence using proposition (6), in order to show that  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  it is sufficient to prove that the underlying functions coincide on the set  $a_1 \times a_2$ . Given  $(x_1, x_2) \in a_1 \times a_2$ , we have:

$$\begin{aligned}
F_1(g \circ f)(x_1, x_2) &= F_1((g_1, g_2) \circ (f_1, f_2))(x_1, x_2) \\
(6) \text{ of def. (6)} \rightarrow &= F_1(g_1 \circ f_1, g_2 \circ f_2)(x_1, x_2) \\
(2) \text{ of def. (21)} \rightarrow &= ((g_1 \circ f_1)(x_1), (g_2 \circ f_2)(x_2)) \\
\circ \text{ in } \mathbf{Set} \rightarrow &= (g_1(f_1(x_1)), g_2(f_2(x_2))) \\
(2) \text{ of def. (21)} \rightarrow &= F_1(g_1, g_2)(f_1(x_1), f_2(x_2)) \\
(2) \text{ of def. (21)} \rightarrow &= F_1(g_1, g_2)(F_1(f_1, f_2)(x_1, x_2)) \\
\circ \text{ in } \mathbf{Set} \rightarrow &= (F_1(g_1, g_2) \circ F_1(f_1, f_2))(x_1, x_2) \\
&= (F_1(g) \circ F_1(f))(x_1, x_2)
\end{aligned}$$

◇

## 2.10 Category of Small Categories

We are now familiar with the notion of *category* as defined in (2) as well as that of functor as defined in (14). Thanks to definition (18), we know how to *compose functors*, and we also have a notion of *identity functor* as defined in (15). So it is very tempting at this stage to wonder whether the *collection of all categories* could be turned into a category itself, in which the objects are categories and the arrows are functors. However, we know from set theory that assuming the existence of the *set of all sets* leads to a contradiction. Those familiar with proof assistants such that Coq, Agda and Lean will also be used to the idea that *the type of all types* does not exist, as we have *universes* and *type levels* instead. So we shall not attempt to define *the category of all categories* here. Instead, we shall focus on a collection which is a lot smaller by considering only those categories which are *small*, as per definition (1).

**Definition 22** We call **cat** the category  $\mathbf{cat} = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  where

- (1)  $\text{Ob} = \{ \mathcal{C} \mid \mathcal{C} \text{ is a small category} \}$
- (2)  $\text{Arr} = \{ (\mathcal{C}, \mathcal{D}, F) \mid \mathcal{C}, \mathcal{D} \in \text{Ob} \text{ and } F : \mathcal{C} \rightarrow \mathcal{D} \}$
- (3)  $\text{dom}(\mathcal{C}, \mathcal{D}, F) = \mathcal{C}$
- (4)  $\text{cod}(\mathcal{C}, \mathcal{D}, F) = \mathcal{D}$
- (5)  $\text{id}(\mathcal{C}) = (\mathcal{C}, \mathcal{C}, I_{\mathcal{C}})$
- (6)  $(\mathcal{D}, \mathcal{E}, G) \circ (\mathcal{C}, \mathcal{D}, F) = (\mathcal{C}, \mathcal{E}, G \circ F)$

where (3) – (6) hold for all small categories  $\mathcal{C}, \mathcal{D}, \mathcal{E}$ , and functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{E}$ ,  $I_{\mathcal{C}}$  is the identity functor of definition (15) and  $G \circ F$  is the composition of  $G$  and  $F$  as per definition (18).

**Remark:** Similarly to definition (3) where the arrows of the category **Set** are not functions but *typed functions*, the arrows of the category **cat** are not functors but *typed functors* as per definition (17).

The definition of **cat** is formally very similar to that of the category **Set**. However, we still need to do our due diligence and check it forms a category. Before we do so, we shall emphasize a couple of results:

**Proposition 36** Let  $\mathcal{C}$  be a small category. Then  $\mathcal{C}$  is a set.

**Proof**

According to definition (1), a small category is a tuple  $(\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  in which all the entries are sets, functions between sets (which are also sets) or a partial function between sets (which is also a set). Hence all the entries of this tuple are in fact sets which makes the tuple itself a set.  $\diamond$

**Proposition 37** A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between small categories  $\mathcal{C}, \mathcal{D}$  is a set.

**Proof**

Using definition (14), a functor  $F$  is a tuple  $F = (F_0, F_1)$  where  $F_0$  and  $F_1$  are maps  $F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}$  and  $F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ . When both  $\mathcal{C}$  and  $\mathcal{D}$  are small, the collections  $\text{Ob } \mathcal{C}$ ,  $\text{Ob } \mathcal{D}$ ,  $\text{Arr } \mathcal{C}$  and  $\text{Arr } \mathcal{D}$  are sets and  $F_0, F_1$  are therefore maps between sets, i.e. functions which are also sets. So  $F = (F_0, F_1)$  is a tuple where both entries are sets, which makes  $F$  itself a set.  $\diamond$

**Proposition 38** The category **cat** of definition (22) is a category.

**Proof**

Given  $(\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  of definition (22), we need to check that this data satisfies condition (1) – (13) of definition (2).

(1): The collection  $\text{Ob} = \{ \mathcal{C} \mid \mathcal{C} \text{ is a small category} \}$  should be a collection with equality. However, from proposition (36) every small category is a set and  $\text{Ob}$  is therefore a collection of sets for which set equality is defined.

(2): The collection  $\text{Arr} = \{ (\mathcal{C}, \mathcal{D}, F) \mid \mathcal{C}, \mathcal{D} \in \text{Ob} \text{ and } F : \mathcal{C} \rightarrow \mathcal{D} \}$  should be a collection with equality. It is sufficient for us to establish that all members

of this collection are sets. We have already seen from proposition (36) that a small category is a set, so each triple  $(\mathcal{C}, \mathcal{D}, F)$  is also a set provided we can show that any functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between two small categories is a set, which is exactly proposition (37).

(3):  $\text{dom}$  should be a map  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$ . The equation  $\text{dom}(\mathcal{C}, \mathcal{D}, F) = \mathcal{C}$  holds for all small categories  $\mathcal{C}, \mathcal{D}$  and functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ . So  $\text{dom}$  is indeed defined on the collection  $\text{Arr}$  as requested. Furthermore  $\text{dom}(f) \in \text{Ob}$  for all  $f$ .

(4):  $\text{cod}$  should be a map  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$  which is the case as per (3).

(5):  $\text{id}$  should be a map  $\text{id} : \text{Ob} \rightarrow \text{Arr}$ . The equation  $\text{id}(\mathcal{C}) = (\mathcal{C}, \mathcal{C}, I_{\mathcal{C}})$  holds for all small category  $\mathcal{C}$ . So  $\text{id}$  is indeed defined on  $\text{Ob}$  as requested. So it remains to show that  $(\mathcal{C}, \mathcal{C}, I_{\mathcal{C}}) \in \text{Arr}$  for all  $\mathcal{C}$ , which the case since the identity functor  $I_{\mathcal{C}}$  is a functor  $I_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$  as per proposition (25).

(6):  $\circ$  should be a partial map  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$ . From definition (22),  $g \circ f$  is defined whenever  $f$  and  $g$  are of the form  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g = (\mathcal{D}, \mathcal{E}, G)$  where  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{E}$ . So  $g \circ f$  is defined on a sub-collection of  $\text{Arr} \times \text{Arr}$  as requested. So it remains to show that  $g \circ f \in \text{Arr}$  when defined. However,  $g \circ f$  is defined as  $(\mathcal{C}, \mathcal{E}, G \circ F)$  where  $G \circ F$  is the functor composition, so it remains to show that  $G \circ F : \mathcal{C} \rightarrow \mathcal{E}$  which follows from proposition (28).

(7):  $g \circ f$  should be defined exactly when  $\text{cod}(f) = \text{dom}(g)$ . From definition (22),  $g \circ f$  is defined exactly when  $f$  is of the form  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g$  is of the form  $(\mathcal{D}, \mathcal{E}, G)$ . Since  $\text{cod}(f) = \mathcal{D}$  and  $\text{dom}(g) = \mathcal{D}$ , we see that  $g \circ f$  is defined for all arrows  $f, g$  for which  $\text{cod}(f) = \text{dom}(g)$  as requested.

(8): We should have  $\text{dom}(g \circ f) = \text{dom}(f)$  when  $g \circ f$  is defined. So let  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g = (\mathcal{D}, \mathcal{E}, G)$ . Then we have  $g \circ f = (\mathcal{C}, \mathcal{E}, G \circ F)$  and consequently  $\text{dom}(g \circ f) = \mathcal{C}$  which is  $\text{dom}(f)$  as requested.

(9): We should have  $\text{cod}(g \circ f) = \text{cod}(g)$  when  $g \circ f$  is defined. So let  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g = (\mathcal{D}, \mathcal{E}, G)$ . Then we have  $g \circ f = (\mathcal{C}, \mathcal{E}, G \circ F)$  and consequently  $\text{cod}(g \circ f) = \mathcal{E}$  which is  $\text{cod}(g)$  as requested.

(10): We should have  $(h \circ g) \circ f = h \circ (g \circ f)$  whenever  $g \circ f$  and  $h \circ g$  are well defined. So let  $f = (\mathcal{C}, \mathcal{D}, F)$ ,  $g = (\mathcal{D}, \mathcal{E}, G)$  and  $h = (\mathcal{E}, \mathcal{F}, H)$ . We have:

$$\begin{aligned}
(h \circ g) \circ f &= ((\mathcal{E}, \mathcal{F}, H) \circ (\mathcal{D}, \mathcal{E}, G)) \circ (\mathcal{C}, \mathcal{D}, F) \\
(6) \text{ of def. (22)} \rightarrow &= (\mathcal{D}, \mathcal{F}, H \circ G) \circ (\mathcal{C}, \mathcal{D}, F) \\
(6) \text{ of def. (22)} \rightarrow &= (\mathcal{C}, \mathcal{F}, (H \circ G) \circ F) \\
\text{functor } \circ \text{ assoc, prop (30)} \rightarrow &= (\mathcal{C}, \mathcal{F}, H \circ (G \circ F)) \\
(6) \text{ of def. (22)} \rightarrow &= (\mathcal{E}, \mathcal{F}, H) \circ (\mathcal{C}, \mathcal{E}, G \circ F) \\
(6) \text{ of def. (22)} \rightarrow &= (\mathcal{E}, \mathcal{F}, H) \circ ((\mathcal{D}, \mathcal{E}, G) \circ (\mathcal{C}, \mathcal{D}, F)) \\
&= h \circ (g \circ f)
\end{aligned}$$

(11): We should have  $\text{dom}(\text{id}(\mathcal{C})) = \mathcal{C} = \text{cod}(\text{id}(\mathcal{C}))$  whenever  $\mathcal{C} \in \text{Ob}$ :

$$\begin{aligned}
\text{dom}(\text{id}(\mathcal{C})) &= \text{dom}(\mathcal{C}, \mathcal{C}, I_{\mathcal{C}}) \leftarrow (5) \text{ of def. (22)} \\
(3) \text{ of def. (22)} \rightarrow &= \mathcal{C} \\
(4) \text{ of def. (22)} \rightarrow &= \text{cod}(\mathcal{C}, \mathcal{C}, I_{\mathcal{C}}) \\
(5) \text{ of def. (22)} \rightarrow &= \text{cod}(\text{id}(\mathcal{C}))
\end{aligned}$$



(12): We should have  $f \circ \text{id}(\mathcal{C}) = f$  whenever  $\text{dom}(f) = \mathcal{C}$  so let  $f = (\mathcal{C}, \mathcal{D}, F)$ :

$$\begin{aligned}
f \circ \text{id}(\mathcal{C}) &= (\mathcal{C}, \mathcal{D}, F) \circ \text{id}(\mathcal{C}) \\
(5) \text{ of def. (22)} \rightarrow &= (\mathcal{C}, \mathcal{D}, F) \circ (\mathcal{C}, \mathcal{C}, I_{\mathcal{C}}) \\
(6) \text{ of def. (22)} \rightarrow &= (\mathcal{C}, \mathcal{D}, F \circ I_{\mathcal{C}}) \\
\text{prop. (32)} \rightarrow &= (\mathcal{C}, \mathcal{D}, F) \\
&= f
\end{aligned}$$

(13): We should have  $\text{id}(\mathcal{D}) \circ f = f$  whenever  $\text{cod}(f) = \mathcal{D}$  so let  $f = (\mathcal{C}, \mathcal{D}, F)$ :

$$\begin{aligned}
\text{id}(\mathcal{D}) \circ f &= \text{id}(\mathcal{D}) \circ (\mathcal{C}, \mathcal{D}, F) \\
(5) \text{ of def. (22)} \rightarrow &= (\mathcal{D}, \mathcal{D}, I_{\mathcal{D}}) \circ (\mathcal{C}, \mathcal{D}, F) \\
(6) \text{ of def. (22)} \rightarrow &= (\mathcal{C}, \mathcal{D}, I_{\mathcal{D}} \circ F) \\
\text{prop. (31)} \rightarrow &= (\mathcal{C}, \mathcal{D}, F) \\
&= f
\end{aligned}$$

◇

**Proposition 39** *The category **cat** is not small.*

**Proof**

Suppose **cat** is a small category. Then **cat** = (Ob, Arr, dom, cod, id,  $\circ$ ) where Ob is a set by virtue of definition (1). Using axiom (4) we obtain  $\text{Ob } \mathbf{cat} = \text{Ob}$  and it follows that  $\text{Ob } \mathbf{cat}$  is itself a set. In order to obtain a contradiction, using axiom (6), it is sufficient to construct an injective map  $f : \mathcal{U} \rightarrow \text{Ob } \mathbf{cat}$  defined on the collection of all sets  $\mathcal{U}$ . Given a set  $x$  consider the small category  $\mathcal{C}_x = (\{x\}, \{x\}, \text{dom}, \text{cod}, \text{id}, \circ)$  with a single object  $x$  and a single arrow  $x$ , where  $\text{dom}(x) = x = \text{cod}(x)$  and  $\text{id}(x) = x$  and  $x \circ x = x$ . Being a small category, from definition (22) we see that  $\mathcal{C}_x$  is a member of the collection  $\text{Ob } \mathbf{cat}$ . We then define a map  $f : \mathcal{U} \rightarrow \text{Ob } \mathbf{cat}$  by setting  $f(x) = \mathcal{C}_x$ . This map is injective as the equality  $f(x) = f(y)$  clearly implies  $x = y$ . ◇

**Proposition 40** *The category **cat** is locally small.*

**Proof**

From definition (8) we need to show that given two small categories  $\mathcal{C}$  and  $\mathcal{D}$ , the hom-set  $\mathbf{cat}(\mathcal{C}, \mathcal{D})$  is actually a set. In order to do so, we shall use axiom (5) and argue that the collection  $\mathbf{cat}(\mathcal{C}, \mathcal{D})$  is a sub-collection of some set. An member of this collection is a triple  $(\mathcal{C}, \mathcal{D}, F)$  where  $F$  is a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ . Now every functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is an ordered pair  $F = (F_0, F_1)$  where  $F_0$  and  $F_1$  are maps  $F_0 : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{D}$  and  $F_1 : \text{Arr } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ . Since  $\mathcal{C}$  and  $\mathcal{D}$  are small categories, all the collections  $\text{Ob } \mathcal{C}$ ,  $\text{Ob } \mathcal{D}$ ,  $\text{Arr } \mathcal{C}$  and  $\text{Arr } \mathcal{D}$  are sets, and  $F_0$  and  $F_1$  are in fact (untyped) functions between sets and in particular  $F_0$  is a subset of the set  $\text{Ob } \mathcal{C} \times \text{Ob } \mathcal{D}$  and  $F_1$  is a subset of the set  $\text{Arr } \mathcal{C} \times \text{Arr } \mathcal{D}$ . In other words,  $F_0$  is an element of the power set  $\mathcal{P}(\text{Ob } \mathcal{C} \times \text{Ob } \mathcal{D})$  and  $F_1$  is an

element of the power set  $\mathcal{P}(\text{Arr } \mathcal{C} \times \text{Arr } \mathcal{D})$ , which means that  $F = (F_0, F_1)$  is an element of the cartesian product:

$$A = \mathcal{P}(\text{Ob } \mathcal{C} \times \text{Ob } \mathcal{D}) \times \mathcal{P}(\text{Arr } \mathcal{C} \times \text{Arr } \mathcal{D})$$

Furthermore, recall from proposition (36) that the small categories  $\mathcal{C}$  and  $\mathcal{D}$  are sets and  $\{\mathcal{C}\}$  and  $\{\mathcal{D}\}$  are therefore well-defined singleton sets. Having established that  $F$  is an element of the set  $A$  above, we see that the triple  $(\mathcal{C}, \mathcal{D}, F)$  is an element of the set  $\{\mathcal{C}\} \times \{\mathcal{D}\} \times A$ . So given small categories  $\mathcal{C}$  and  $\mathcal{D}$  we have found a set containing every member of the collection  $\mathbf{cat}(\mathcal{C}, \mathcal{D})$ .

◇

## Chapter 3

# Natural Transformation

### 3.1 Natural Transformation

**Definition 23** Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. We call natural transformation from the typed functor  $(\mathcal{C}, \mathcal{D}, F)$  to the typed functor  $(\mathcal{C}, \mathcal{D}, G)$  any map  $\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  with the following properties:

- (1)  $\alpha(a) : F(a) \rightarrow G(a)$
- (2)  $G(f) \circ \alpha(a) = \alpha(b) \circ F(f)$

where (1) holds for all  $a \in \text{Ob } \mathcal{C}$  and (2) holds for all  $a, b \in \text{Ob } \mathcal{C}$  and  $f : a \rightarrow b$ .

**Remark:** It is very common to casually say that  $\alpha$  is a natural transformation between  $F$  and  $G$ , i.e. to refer only to the untyped functors  $F$  and  $G$  rather than spell out the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ . This is fine as long as the typed functors under consideration are clear from the context. It should be remembered however that being a natural transformation is a statement about typed functors, not untyped functors, as the knowledge of which categories  $\mathcal{C}$  and  $\mathcal{D}$  are involved crucially matters and these categories are not determined from  $F$  and  $G$  alone, which are also functors from  $\mathcal{C}^{op} \rightarrow \mathcal{D}^{op}$  (proposition (27)).

**Notation 21** We shall use  $\alpha : (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$  as a notational shortcut for the statement that  $\alpha$  is a natural transformation between the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ . We shall write  $\alpha : F \Rightarrow G$  when the context is clear.

**Remark:** A mental picture of a natural transformation  $\alpha : F \Rightarrow G$  where  $F$  and  $G$  are two functors between categories  $\mathcal{C}$  and  $\mathcal{D}$  is as follows:

$$\begin{array}{ccc} & F & \\ \mathcal{C} & \xrightarrow{\quad} & \mathcal{D} \\ & \Downarrow \alpha & \\ & G & \end{array}$$

**Remark:** Given  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and  $\alpha : F \Rightarrow G$ , given  $a, b \in \text{Ob } \mathcal{C}$  and  $f : a \rightarrow b$ , since  $F$  and  $G$  are functors we have  $F(f) : F(a) \rightarrow F(b)$ ,  $G(f) : G(a) \rightarrow G(b)$  and from (1) of definition (23),  $\alpha(a) : F(a) \rightarrow G(a)$  and  $\alpha(b) : F(b) \rightarrow G(b)$ . It follows that both arrows  $G(f) \circ \alpha(a)$  and  $\alpha(b) \circ F(f)$  are well defined arrows in  $\mathcal{D}$  (from  $F(a)$  to  $G(b)$ ), and the equality (2) of definition (23) is always meaningful.

**Remark:** Equality (2) of definition (23) is commonly visualized as:

$$\begin{array}{ccccc} a & & F(a) & \xrightarrow{\alpha(a)} & G(a) \\ f \downarrow & & F(f) \downarrow & & \downarrow G(f) \\ b & & F(b) & \xrightarrow{\alpha(b)} & G(b) \end{array}$$

This diagram is called the *naturality square* of the natural transformation  $\alpha$  relative to  $f : a \rightarrow b$ . Equality (2) is informally expressed by saying that *the naturality square commutes*, i.e. that both arrows obtained by composition along the two paths from  $F(a)$  to  $G(b)$  are equal.

**Definition 24** Given  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and  $\alpha : F \Rightarrow G$ , given  $a \in \mathcal{C}$  we call component at  $a$  of the natural transformation  $\alpha$ , the arrow  $\alpha(a) : F(a) \rightarrow G(a)$ .

**Remark:** The component  $\alpha(a)$  of  $\alpha$  at  $a \in \mathcal{C}$  is an arrow in the category  $\mathcal{D}$ .

**Notation 22** The component  $\alpha(a)$  of  $\alpha$  at  $a$  is commonly denoted  $\alpha_a$ .

## 3.2 Identity Natural Transformation

**Definition 25** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor where  $\mathcal{C}, \mathcal{D}$  are categories. We call identity natural transformation on  $F$ , the natural transformation  $\iota_F : F \Rightarrow F$ :

$$(1) \quad \iota_F(a) = \text{id}(F(a))$$

where (1) holds for all  $a \in \mathcal{C}$ .

**Remark:** A mental picture of the identity natural transformation  $\iota_F : F \Rightarrow F$  where  $F$  is a functor between categories  $\mathcal{C}$  and  $\mathcal{D}$  is as follows:

$$\begin{array}{ccc} & F & \\ \mathcal{C} & \begin{array}{c} \curvearrowright \\ \Downarrow \iota_F \\ \curvearrowleft \end{array} & \mathcal{D} \\ & F & \end{array}$$

**Proposition 41** The identity natural transformation  $\iota_F : F \Rightarrow F$  on a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories is indeed a natural transformation.

**Proof**

We need to check that  $\iota_F$  is a map defined on  $\text{Ob } \mathcal{C}$  which satisfies (1) and (2)

of definition (23). The fact that it is map defined on  $\text{Ob } \mathcal{C}$  is clear, since  $\iota_F(a)$  is defined for all  $a \in \mathcal{C}$ , that is for all  $a \in \text{Ob } \mathcal{C}$ .

(1): We have  $\iota_F(a) : F(a) \rightarrow F(a)$  for all  $a \in \mathcal{C}$ , since  $\iota_F(a) = \text{id}(F(a))$

(2): We need to check that  $F(f) \circ \iota_F(a) = \iota_F(b) \circ F(f)$  for all  $f : a \rightarrow b$  where  $a, b \in \mathcal{C}$ . So we need to show that  $F(f) \circ \text{id}(F(a)) = \text{id}(F(b)) \circ F(f)$ , which follows from (12) and (13) of definition (2) and the fact that  $F(f) : F(a) \rightarrow F(b)$ .

◇

### 3.3 Natural Transformation, Opposite Category

Given categories  $\mathcal{C}$  and  $\mathcal{D}$ , and a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , we saw in proposition (27) that  $F$  is also a functor  $F : \mathcal{C}^{op} \rightarrow \mathcal{D}^{op}$ . Now consider two functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and a natural transformation  $\alpha : F \Rightarrow G$ . By virtue of notation (21),  $\alpha$  is a natural transformation between the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ , which are clearly understood from the context. But the notation is nonetheless ambiguous as it could also indicate that  $\alpha$  is a natural transformation between  $(\mathcal{C}^{op}, \mathcal{D}^{op}, F)$  and  $(\mathcal{C}^{op}, \mathcal{D}^{op}, G)$  among others. The two statements are not identical and in fact as it turns out, we have the equivalence:

**Proposition 42** *Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors between categories  $\mathcal{C}, \mathcal{D}$ . Then:*

$$\alpha : (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$$

*is equivalent to:*

$$\alpha : (\mathcal{C}^{op}, \mathcal{D}^{op}, G) \Rightarrow (\mathcal{C}^{op}, \mathcal{D}^{op}, F)$$

*In other words, being a natural transformation  $\alpha : F \Rightarrow G$  (w.r. to  $\mathcal{C}$  and  $\mathcal{D}$ ) is equivalent to being a natural transformation  $\alpha : G \Rightarrow F$  (w.r. to  $\mathcal{C}^{op}$  and  $\mathcal{D}^{op}$ ):*

$$\begin{array}{ccc} \begin{array}{ccc} & F & \\ \curvearrowright & & \curvearrowright \\ \mathcal{C} & \Downarrow \alpha & \mathcal{D} \\ \curvearrowleft & & \curvearrowleft \\ & G & \end{array} & \Leftrightarrow & \begin{array}{ccc} & G & \\ \curvearrowright & & \curvearrowright \\ \mathcal{C}^{op} & \Downarrow \alpha & \mathcal{D}^{op} \\ \curvearrowleft & & \curvearrowleft \\ & F & \end{array} \end{array} \quad (3.1)$$

#### Proof

from proposition (9) we have  $(\mathcal{C}^{op})^{op} = \mathcal{C}$  and  $(\mathcal{D}^{op})^{op} = \mathcal{D}$  and it is therefore sufficient to prove the implication  $\Rightarrow$ . So we assume that  $\alpha$  is a natural transformation  $\alpha : (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$ , and we need to show that it is also a natural transformation  $\alpha : (\mathcal{C}^{op}, \mathcal{D}^{op}, G) \Rightarrow (\mathcal{C}^{op}, \mathcal{D}^{op}, F)$ . Looking at definition (23), we first need to establish that  $\alpha$  is a map  $\alpha : \text{Ob } \mathcal{C}^{op} \rightarrow \text{Arr } \mathcal{D}^{op}$ . However, it is certainly a map  $\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  by assumption and from definition (5) we have  $\text{Ob } \mathcal{C} = \text{Ob } \mathcal{C}^{op}$  and  $\text{Arr } \mathcal{D} = \text{Arr } \mathcal{D}^{op}$ . Next we need to show property (1) of definition (23), namely that  $\alpha(a) : G(a) \rightarrow F(a) @ \mathcal{D}^{op}$  for all  $a \in \text{Ob } \mathcal{C}^{op}$ . This follows immediately from the fact that  $\alpha(a) : F(a) \rightarrow G(a) @ \mathcal{D}$  for all  $a \in \text{Ob } \mathcal{C}$ . So it remains to show property (2) of definition (23). So we assume that  $a, b \in \text{Ob } \mathcal{C}^{op} = \text{Ob } \mathcal{C}$  and  $f : a \rightarrow b @ \mathcal{C}^{op}$ . We need to show that

$F(f) \circ \alpha(a) @ \mathcal{D}^{op} = \alpha(b) \circ G(f) @ \mathcal{D}^{op}$ , i.e. the following square commutes:

$$\begin{array}{ccccc}
 @ \mathcal{C}^{op} & a & G(a) & \xrightarrow{\alpha(a)} & F(a) \\
 & f \downarrow & G(f) \downarrow & & \downarrow F(f) \\
 & b & G(b) & \xrightarrow{\alpha(b)} & F(b) \\
 & & @ \mathcal{D}^{op} & & 
 \end{array}$$

A formal proof goes as follows:

$$\begin{aligned}
 F(f) \circ \alpha(a) @ \mathcal{D}^{op} &= \alpha(a) \circ F(f) @ \mathcal{D} \leftarrow \text{def. (5)} \\
 \text{def. (23), } \alpha : F \Rightarrow G, f : b \rightarrow a @ \mathcal{C} \rightarrow &= G(f) \circ \alpha(b) @ \mathcal{D} \\
 \text{def. (5)} \rightarrow &= \alpha(b) \circ G(f) @ \mathcal{D}^{op}
 \end{aligned}$$

◇

**Remark:** proving that the above naturality square in relation to  $\mathcal{C}^{op}$  and  $\mathcal{D}^{op}$  commutes amounts to proving the same square commutes, in relation to  $\mathcal{C}$  and  $\mathcal{D}$  after all the arrows have been reversed, and this follows from  $\alpha : F \Rightarrow G$ .

Proposition (42) illustrates the fact that the knowledge of a natural transformation  $\alpha$  by itself does not tell us what its intended domain and codomain are. This situation is similar to that encountered with untyped functions and untyped functors. In line with definitions (4) and (17), we define:

**Definition 26** *Given a natural transformation  $\alpha : (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$  where  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$  are typed functors,  $\alpha$  is called the untyped natural transformation while  $(F, G, \alpha)$  is called the typed natural transformation.*

**Remark:** It may appear surprising that a typed natural transformation is defined as a triple  $(F, G, \alpha)$  which does not contain the data allowing us to recover the categories  $\mathcal{C}$  and  $\mathcal{D}$ . We are adopting this simpler definition as opposed to the expected  $((\mathcal{C}, \mathcal{D}, F), (\mathcal{C}, \mathcal{D}, G), \alpha)$  because it allows us to define the functor category of definition (28) while keeping notations light.

### 3.4 Composition of Natural Transformations

**Definition 27** *Let  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$  be natural transformations where  $F, G$  and  $H$  are functors  $F, G, H : \mathcal{C} \rightarrow \mathcal{D}$  and  $\mathcal{C}, \mathcal{D}$  are categories. We call composition of  $\beta$  and  $\alpha$  the natural transformation  $\beta \circ \alpha : F \Rightarrow H$  with:*

$$(1) \quad (\beta \circ \alpha)(a) = \beta(a) \circ \alpha(a)$$

where (1) holds for all  $a \in \mathcal{C}$ .

**Remark:** If  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$  are natural transformations then for all  $a \in \mathcal{C}$  we have  $\alpha(a) : F(a) \rightarrow G(a)$  and  $\beta(a) : G(a) \rightarrow H(a)$ , and  $\beta(a) \circ \alpha(a)$  is therefore a well-defined arrow in  $\mathcal{D}$ .

**Proposition 43** *Let  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$  be natural transformations where  $F, G$  and  $H$  are functors  $F, G, H : \mathcal{C} \rightarrow \mathcal{D}$  and  $\mathcal{C}, \mathcal{D}$  are categories. Then  $\beta \circ \alpha$  is indeed a natural transformation  $\beta \circ \alpha : F \Rightarrow H$ .*

**Proof**

We need to check that  $\beta \circ \alpha$  is a map defined on  $\text{Ob } \mathcal{C}$  which satisfies (1) and (2) of definition (23). As noted above, since  $\alpha(a) : F(a) \rightarrow G(a)$  and  $\beta(a) : G(a) \rightarrow H(a)$ , the expression  $\beta(a) \circ \alpha(a)$  is a well-defined arrow in  $\mathcal{D}$  and  $(\beta \circ \alpha)(a)$  is thus well-defined for all  $a \in \mathcal{C}$ . So  $\beta \circ \alpha$  is a map defined on  $\text{Ob } \mathcal{C}$ .

(1): We need to check that  $(\beta \circ \alpha)(a) : F(a) \rightarrow H(a)$  for all  $a \in \mathcal{C}$ , which is clear since  $(\beta \circ \alpha)(a) = \beta(a) \circ \alpha(a)$ ,  $\alpha(a) : F(a) \rightarrow G(a)$  and  $\beta(a) : G(a) \rightarrow H(a)$ .

(2): We need  $H(f) \circ (\beta \circ \alpha)(a) = (\beta \circ \alpha)(b) \circ F(f)$  for all  $a, b \in \mathcal{C}$  and  $f : a \rightarrow b$ , which goes as follows:

$$\begin{aligned}
H(f) \circ (\beta \circ \alpha)(a) &= H(f) \circ (\beta(a) \circ \alpha(a)) \leftarrow (1) \text{ of def. (27)} \\
\circ \text{ associative in } \mathcal{D} &\rightarrow = (H(f) \circ \beta(a)) \circ \alpha(a) \\
(2) \text{ of def. (23), } \beta : G \Rightarrow H &\rightarrow = (\beta(b) \circ G(f)) \circ \alpha(a) \\
\circ \text{ associative in } \mathcal{D} &\rightarrow = \beta(b) \circ (G(f) \circ \alpha(a)) \\
(2) \text{ of def. (23), } \alpha : F \Rightarrow G &\rightarrow = \beta(b) \circ (\alpha(b) \circ F(f)) \\
\circ \text{ associative in } \mathcal{D} &\rightarrow = (\beta(b) \circ \alpha(b)) \circ F(f) \\
(1) \text{ of def. (27)} &\rightarrow = (\beta \circ \alpha)(b) \circ F(f)
\end{aligned}$$

◇

**Remark:** Showing that  $\beta \circ \alpha$  is a natural transformation is essentially about proving the equality  $H(f) \circ \beta(a) \circ \alpha(a) = \beta(b) \circ \alpha(b) \circ F(f)$ , where we no longer care about brackets as composition is associative in  $\mathcal{D}$ . Informally, this equality amounts to saying that the big rectangle below commutes:

$$\begin{array}{ccccccc}
a & & F(a) & \xrightarrow{\alpha(a)} & G(a) & \xrightarrow{\beta(a)} & H(a) \\
f \downarrow & & F(f) \downarrow & & \downarrow G(f) & & \downarrow H(f) \\
b & & F(b) & \xrightarrow{\alpha(b)} & G(b) & \xrightarrow{\beta(b)} & H(b)
\end{array}$$

We formally proved the commutativity of this rectangle using the commutativity of the individual squares. Forgetting about associativity details:

$$H(f) \circ \beta(a) \circ \alpha(a) = \beta(b) \circ G(f) \circ \alpha(a) = \beta(b) \circ \alpha(b) \circ F(f)$$

We obtain a proof which is a lot simpler.

### 3.5 Equality between Natural Transformations

Now that we have a notion of composition between natural transformations, we would like to argue that this operation is associative. However, the statement

is not meaningful unless we are very clear as to what it means for two natural transformations to be equal. Now if  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  are two functors between categories  $\mathcal{C}$  and  $\mathcal{D}$ , and if  $\alpha, \beta : F \Rightarrow G$  are natural transformations between the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ , then  $\alpha, \beta : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ , i.e. both  $\alpha$  and  $\beta$  are maps from the collection  $\text{Ob } \mathcal{C}$  to the collection  $\text{Arr } \mathcal{D}$ . Furthermore since  $\mathcal{D}$  is a category, from (2) of definition (2) there is a clear notion of equality defined on  $\text{Arr } \mathcal{D}$ . Hence given  $a \in \text{Ob } \mathcal{C}$ , it is perfectly meaningful to ask whether  $\alpha(a) = \beta(a)$ . In fact, using the extensionality axiom (3) we have:

**Proposition 44** *Let  $\alpha, \beta : F \Rightarrow G$  be two natural transformations between functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, such that:*

$$\forall a \in \text{Ob } \mathcal{C}, \alpha(a) = \beta(a)$$

*Then  $\alpha = \beta$ , i.e. the two natural transformations  $\alpha$  and  $\beta$  are equal.*

**Proof**

This is an immediate consequence of the extensionality axiom (3).  $\diamond$

**Proposition 45** *Let  $\alpha : F \Rightarrow G, \beta : G \Rightarrow H, \gamma : H \Rightarrow J$  be natural transformations between functors  $F, G, H, J : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories. Then:*

$$(\gamma \circ \beta) \circ \alpha = \gamma \circ (\beta \circ \alpha)$$

*i.e. composition of natural transformations is associative.*

**Proof**

Using proposition (44) it is sufficient to show that for all  $a \in \mathcal{C}$ , the component at  $a$  of both natural transformations  $(\gamma \circ \beta) \circ \alpha$  and  $\gamma \circ (\beta \circ \alpha)$  are equal:

$$\begin{aligned} ((\gamma \circ \beta) \circ \alpha)(a) &= (\gamma \circ \beta)(a) \circ \alpha(a) \leftarrow (1) \text{ of def. (27)} \\ (1) \text{ of def. (27)} \rightarrow &= (\gamma(a) \circ \beta(a)) \circ \alpha(a) \\ \circ \text{ assoc in } \mathcal{D} \rightarrow &= \gamma(a) \circ (\beta(a) \circ \alpha(a)) \\ (1) \text{ of def. (27)} \rightarrow &= \gamma(a) \circ (\beta \circ \alpha)(a) \\ (1) \text{ of def. (27)} \rightarrow &= (\gamma \circ (\beta \circ \alpha))(a) \end{aligned}$$

$\diamond$

**Proposition 46** *Let  $\alpha : F \Rightarrow G$  be a natural transformation between functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories. Then, we have:*

$$\iota_G \circ \alpha = \alpha$$

*where  $\iota_G : G \Rightarrow G$  is the identity natural transformation as per definition (25).*

**Proof**

Using proposition (44) it is sufficient to show that for all  $a \in \mathcal{C}$ , the component at  $a$  of both natural transformations  $\iota_G \circ \alpha$  and  $\alpha$  are equal:

$$\begin{aligned} (\iota_G \circ \alpha)(a) &= \iota_G(a) \circ \alpha(a) \leftarrow (1) \text{ of def. (27)} \\ (1) \text{ of def. (25)} \rightarrow &= \text{id}(G(a)) \circ \alpha(a) \\ (13) \text{ of def. (2)} \rightarrow &= \alpha(a) \end{aligned}$$



◇

**Proposition 47** *Let  $\alpha : F \Rightarrow G$  be a natural transformation between functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories. Then, we have:*

$$\alpha \circ \iota_F = \alpha$$

where  $\iota_F : F \Rightarrow F$  is the identity natural transformation as per definition (25).

**Proof**

Using proposition (44) it is sufficient to show that for all  $a \in \mathcal{C}$ , the component at  $a$  of both natural transformations  $\alpha \circ \iota_F$  and  $\alpha$  are equal:

$$\begin{aligned} (\alpha \circ \iota_F)(a) &= \alpha(a) \circ \iota_F(a) \leftarrow (1) \text{ of def. (27)} \\ (1) \text{ of def. (25)} \rightarrow &= \alpha(a) \circ \text{id}(F(a)) \\ (12) \text{ of def. (2)} \rightarrow &= \alpha(a) \end{aligned}$$

◇

### 3.6 Functor Category

In this section, given two categories  $\mathcal{C}$  and  $\mathcal{D}$ , we define a new category denoted  $[\mathcal{C}, \mathcal{D}]$  and called the *functor category between  $\mathcal{C}$  and  $\mathcal{D}$* . Heuristically, the functor category between  $\mathcal{C}$  and  $\mathcal{D}$  is the category in which the objects are the functors between  $\mathcal{C}$  and  $\mathcal{D}$ , and the arrows are the natural transformations between them.

**Definition 28** *We call functor category between the categories  $\mathcal{C}$  and  $\mathcal{D}$ , the category denoted  $[\mathcal{C}, \mathcal{D}]$  and defined by  $[\mathcal{C}, \mathcal{D}] = (\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  where:*

- (1)  $\text{Ob} = \{ F \mid F : \mathcal{C} \rightarrow \mathcal{D} \}$
- (2)  $\text{Arr} = \{ (F, G, \alpha) \mid F, G : \mathcal{C} \rightarrow \mathcal{D} \text{ and } \alpha : F \Rightarrow G \}$
- (3)  $\text{dom}(F, G, \alpha) = F$
- (4)  $\text{cod}(F, G, \alpha) = G$
- (5)  $\text{id}(F) = (F, F, \iota_F)$
- (6)  $(G, H, \beta) \circ (F, G, \alpha) = (F, H, \beta \circ \alpha)$

where (3)–(6) hold for all functors  $F, G, H : \mathcal{C} \rightarrow \mathcal{D}$  and natural transformations  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$ ,  $\iota_F : F \Rightarrow F$  is the identity natural transformation of definition (25) and  $\beta \circ \alpha$  is the composition of  $\beta$  and  $\alpha$  of definition (27).

**Remark:** The objects of the category  $[\mathcal{C}, \mathcal{D}]$  are untyped functors, not typed functors. This makes the notations lighter without changing the essence of the category being defined: there is an obvious one-to-one mapping between the collections  $\{ F \mid F : \mathcal{C} \rightarrow \mathcal{D} \}$  and  $\{ (\mathcal{C}, \mathcal{D}, F) \mid F : \mathcal{C} \rightarrow \mathcal{D} \}$ , given  $\mathcal{C}$  and  $\mathcal{D}$ . There is also a one-to-one mapping between a collection containing triples of the form  $(F, G, \alpha)$  and a collection containing triples of the form  $((\mathcal{C}, \mathcal{D}, F), (\mathcal{C}, \mathcal{D}, G), \alpha)$ . This explains why we decided to define a *typed natural transformation* simply as  $(F, G, \alpha)$  rather than  $((\mathcal{C}, \mathcal{D}, F), (\mathcal{C}, \mathcal{D}, G), \alpha)$  in definition (26).

**Proposition 48** *The functor category  $[\mathcal{C}, \mathcal{D}]$  for categories  $\mathcal{C}, \mathcal{D}$  is a category.*

**Proof**

Given  $(\text{Ob}, \text{Arr}, \text{dom}, \text{cod}, \text{id}, \circ)$  of definition (28), we need to check that this data satisfies condition (1) – (13) of definition (2).

(1): The collection  $\text{Ob} = \{ F \mid F : \mathcal{C} \rightarrow \mathcal{D} \}$  should be a collection with equality. This is the case by virtue of proposition (29), where two functors are equal if and only if they coincide on all objects and all arrows.

(2): The collection  $\text{Arr} = \{ (F, G, \alpha) \mid F, G : \mathcal{C} \rightarrow \mathcal{D} \text{ and } \alpha : F \Rightarrow G \}$  should be a collection with equality. This is the case by virtue of proposition (44), where two natural transformations  $\alpha, \beta : F \Rightarrow G$  are equal if and only if they coincide on all objects of  $\mathcal{C}$ . Having equality for functors and natural transformations, we conclude from axiom (4) that we also have equality for triples  $(F, G, \alpha)$ .

(3):  $\text{dom}$  should be a map  $\text{dom} : \text{Arr} \rightarrow \text{Ob}$ . The equation  $\text{dom}(F, G, \alpha) = F$  holds for all functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and natural transformations  $\alpha : F \Rightarrow G$ . So  $\text{dom}$  is indeed defined on the collection  $\text{Arr}$  as requested. Also  $\text{dom}(f) \in \text{Ob}$ .

(4):  $\text{cod}$  should be a map  $\text{cod} : \text{Arr} \rightarrow \text{Ob}$  which is the case as per (3).

(5):  $\text{id}$  should be a map  $\text{id} : \text{Ob} \rightarrow \text{Arr}$ . The equation  $\text{id}(F) = (F, F, \iota_F)$  holds for all functors  $F : \mathcal{C} \rightarrow \mathcal{D}$ . So  $\text{id}$  is indeed defined on  $\text{Ob}$  as requested. So it remains to show that  $(F, F, \iota_F) \in \text{Arr}$  for all  $F$ , which the case since the identity natural transformation  $\iota_F$  of definition (25) is a natural transformation  $\iota_F : F \Rightarrow F$  as per proposition (41).

(6):  $\circ$  should be a partial map  $\circ : \text{Arr} \times \text{Arr} \rightarrow \text{Arr}$ . From definition (28),  $g \circ f$  is defined whenever  $f$  and  $g$  are of the form  $f = (F, G, \alpha)$  and  $g = (G, H, \beta)$  where  $F, G, H : \mathcal{C} \rightarrow \mathcal{D}$ ,  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$ . So  $g \circ f$  is defined on a sub-collection of  $\text{Arr} \times \text{Arr}$  as requested. So it remains to show that  $g \circ f \in \text{Arr}$  when defined. However,  $g \circ f$  is defined as  $(F, H, \beta \circ \alpha)$  where  $\beta \circ \alpha$  is the composition of natural transformation, so it remains to show that  $\beta \circ \alpha : F \Rightarrow H$  which follows from proposition (43).

(7):  $g \circ f$  should be defined exactly when  $\text{cod}(f) = \text{dom}(g)$ . From definition (28),  $g \circ f$  is defined exactly when  $f$  is of the form  $f = (F, G, \alpha)$  and  $g$  is of the form  $(G, H, \beta)$ . Since  $\text{cod}(f) = G$  and  $\text{dom}(g) = G$ , we see that  $g \circ f$  is defined for all arrows  $f, g$  for which  $\text{cod}(f) = \text{dom}(g)$  as requested.

(8): We should have  $\text{dom}(g \circ f) = \text{dom}(f)$  when  $g \circ f$  is defined. So let  $f = (F, G, \alpha)$  and  $g = (G, H, \beta)$ . Then we have  $g \circ f = (F, H, \beta \circ \alpha)$  and consequently  $\text{dom}(g \circ f) = F$  which is  $\text{dom}(f)$  as requested.

(9): We should have  $\text{cod}(g \circ f) = \text{cod}(g)$  when  $g \circ f$  is defined. So let  $f = (F, G, \alpha)$  and  $g = (G, H, \beta)$ . Then we have  $g \circ f = (F, H, \beta \circ \alpha)$  and consequently  $\text{cod}(g \circ f) = H$  which is  $\text{cod}(g)$  as requested.

(10): We should have  $(h \circ g) \circ f = h \circ (g \circ f)$  whenever  $g \circ f$  and  $h \circ g$  are well defined. So let  $f = (F, G, \alpha)$ ,  $g = (G, H, \beta)$  and  $h = (H, J, \gamma)$ . We have:

$$\begin{aligned}
(h \circ g) \circ f &= ((H, J, \gamma) \circ (G, H, \beta)) \circ (F, G, \alpha) \\
(6) \text{ of def. (28)} \rightarrow &= (G, J, \gamma \circ \beta) \circ (F, G, \alpha) \\
(6) \text{ of def. (28)} \rightarrow &= (F, J, (\gamma \circ \beta) \circ \alpha) \\
\text{nats } \circ \text{ assoc, prop (45)} \rightarrow &= (F, J, \gamma \circ (\beta \circ \alpha))
\end{aligned}$$

$$\begin{aligned}
(6) \text{ of def. (28)} &\rightarrow = (H, J, \gamma) \circ (F, H, \beta \circ \alpha) \\
(6) \text{ of def. (28)} &\rightarrow = (H, J, \gamma) \circ ((G, H, \beta) \circ (F, G, \alpha)) \\
&= h \circ (g \circ f)
\end{aligned}$$

(11): We should have  $\text{dom}(\text{id}(F)) = F = \text{cod}(\text{id}(F))$  whenever  $F \in \text{Ob}$ :

$$\begin{aligned}
\text{dom}(\text{id}(F)) &= \text{dom}(F, F, \iota_F) \leftarrow (5) \text{ of def. (28)} \\
(3) \text{ of def. (28)} &\rightarrow = F \\
(4) \text{ of def. (28)} &\rightarrow = \text{cod}(F, F, \iota_F) \\
(5) \text{ of def. (28)} &\rightarrow = \text{cod}(\text{id}(F))
\end{aligned}$$

(12): We should have  $f \circ \text{id}(F) = f$  when  $\text{dom}(f) = F$  so let  $f = (F, G, \alpha)$ :

$$\begin{aligned}
f \circ \text{id}(F) &= (F, G, \alpha) \circ \text{id}(F) \\
(5) \text{ of def. (28)} &\rightarrow = (F, G, \alpha) \circ (F, F, \iota_F) \\
(6) \text{ of def. (28)} &\rightarrow = (F, G, \alpha \circ \iota_F) \\
\text{prop. (47)} &\rightarrow = (F, G, \alpha) \\
&= f
\end{aligned}$$

(13): We should have  $\text{id}(G) \circ f = f$  when  $\text{cod}(f) = G$  so let  $f = (F, G, \alpha)$ :

$$\begin{aligned}
\text{id}(G) \circ f &= \text{id}(G) \circ (F, G, \alpha) \\
(5) \text{ of def. (28)} &\rightarrow = (G, G, \iota_G) \circ (F, G, \alpha) \\
(6) \text{ of def. (28)} &\rightarrow = (F, G, \iota_G \circ \alpha) \\
\text{prop. (46)} &\rightarrow = (F, G, \alpha) \\
&= f
\end{aligned}$$

◇

### 3.7 Natural Isomorphism

**Definition 29** Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. We say that  $\alpha : F \Rightarrow G$  is a natural isomorphism if and only if the arrow  $(F, G, \alpha)$  of the functor category  $[\mathcal{C}, \mathcal{D}]$  of definition (28) is an isomorphism.

**Proposition 49** Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. Then  $\alpha : F \Rightarrow G$  is a natural isomorphism if and only if there exists a natural transformation  $\beta : G \Rightarrow F$  such that  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$ .

#### Proof

We first show the *only if* part. So we assume that  $\alpha : F \Rightarrow G$  is a natural isomorphism. From definition (29), it follows that  $f = (F, G, \alpha)$  is an isomorphism of the functor category  $[\mathcal{C}, \mathcal{D}]$ . Thus, from definition (12), the arrow  $f$  has an inverse. Hence there exists some  $g = (F', G', \beta)$  arrow in  $[\mathcal{C}, \mathcal{D}]$  which is both

a left and right-inverse of  $f$ . Since  $f : F \rightarrow G$  we must have  $g : G \rightarrow F$  and consequently  $g = (G, F, \beta)$  with  $\beta : G \Rightarrow F$ . So we have found a natural transformation  $\beta : G \Rightarrow F$  and it remains to show that  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$ . Since  $g$  is a left-inverse of  $f$ , we have:

$$\begin{aligned} (F, F, \iota_F) &= \text{id}(F) \leftarrow (5) \text{ of def. (28)} \\ g \text{ left-inverse of } f &\rightarrow = g \circ f \\ &= (G, F, \beta) \circ (F, G, \alpha) \\ (6) \text{ of def. (28)} &\rightarrow = (F, F, \beta \circ \alpha) \end{aligned}$$

and it follows that  $\beta \circ \alpha = \iota_F$  as requested. Since  $g$  is a right-inverse of  $f$ :

$$\begin{aligned} (G, G, \iota_G) &= \text{id}(G) \leftarrow (5) \text{ of def. (28)} \\ g \text{ right-inverse of } f &\rightarrow = f \circ g \\ &= (F, G, \alpha) \circ (G, F, \beta) \\ (6) \text{ of def. (28)} &\rightarrow = (G, G, \alpha \circ \beta) \end{aligned}$$

and it follows that  $\alpha \circ \beta = \iota_G$  which completes the proof of the *only if* part.

We now show the *if* part. So we assume the existence of a natural transformation  $\beta : G \Rightarrow F$  such that  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$ . We need to show that  $\alpha$  is a natural isomorphism, i.e. that  $f = (F, G, \alpha)$  has an inverse in the functor category  $[\mathcal{C}, \mathcal{D}]$ . Consider the arrow  $g = (G, F, \beta)$ . From definition (28), this is an arrow of  $[\mathcal{C}, \mathcal{D}]$  with domain  $G$  and codomain  $F$ . We can complete the proof by showing that  $g$  is an inverse of  $f$ , i.e. that  $g \circ f = \text{id}(F)$  and  $f \circ g = \text{id}(G)$ . This follows easily reversing the above derivations with  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$ .  $\diamond$

**Proposition 50** *Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. Then  $\alpha : F \Rightarrow G$  is a natural isomorphism if and only if for all  $a \in \mathcal{C}$ , the component  $\alpha(a) : F(a) \rightarrow G(a)$  is an isomorphism.*

**Proof**

We first show the *only if* part. So we assume that  $\alpha$  is a natural isomorphism and we need to show that  $\alpha(a) : F(a) \rightarrow G(a)$  is an isomorphism for all  $a \in \mathcal{C}$ . Using proposition (49), there exists a natural transformation  $\beta : G \Rightarrow F$  such that  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$ . It follows that  $\beta(a)$  is the inverse of  $\alpha(a)$ , since:

$$\begin{aligned} \beta(a) \circ \alpha(a) &= (\beta \circ \alpha)(a) \leftarrow (1) \text{ of def. (27)} \\ &= (\iota_F)(a) \\ (1) \text{ of def. (25)} &\rightarrow = \text{id}(F(a)) \end{aligned}$$

and:

$$\begin{aligned} \alpha(a) \circ \beta(a) &= (\alpha \circ \beta)(a) \leftarrow (1) \text{ of def. (27)} \\ &= (\iota_G)(a) \\ (1) \text{ of def. (25)} &\rightarrow = \text{id}(G(a)) \end{aligned}$$

Since  $\alpha(a) : F(a) \rightarrow G(a)$  has an inverse, it is an isomorphism as requested.

We now show the *if* part. So we assume that  $\alpha : F \Rightarrow G$  is a natural transformation such that  $\alpha(a) : F(a) \rightarrow G(a)$  is an isomorphism for all  $a \in \mathcal{C}$ . We need to show that  $\alpha$  is in fact a natural isomorphism. From proposition (49) it is sufficient to prove the existence of a natural transformation  $\beta : G \Rightarrow F$  such that  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$ . By assumption, given  $a \in \mathcal{C}$ , there exists  $\beta(a) : G(a) \rightarrow F(a)$  which is an inverse of  $\alpha(a)$ . In fact using proposition (19) this inverse is unique and collecting all  $\beta(a)$ 's for  $a \in \text{Ob } \mathcal{C}$  we obtain a map  $\beta : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ . We do not know at this stage whether  $\beta$  is a natural transformation  $\beta : G \Rightarrow F$  but let us assume that it is. Then we have:

$$\begin{aligned} (\beta \circ \alpha)(a) &= \beta(a) \circ \alpha(a) \leftarrow (1) \text{ of def. (27)} \\ \beta(a) \text{ left-inverse of } \alpha(a) &\rightarrow = \text{id}(F(a)) \\ (1) \text{ of def. (25)} &\rightarrow = (\iota_F)(a) \end{aligned}$$

and:

$$\begin{aligned} (\alpha \circ \beta)(a) &= \alpha(a) \circ \beta(a) \leftarrow (1) \text{ of def. (27)} \\ \beta(a) \text{ right-inverse of } \alpha(a) &\rightarrow = \text{id}(G(a)) \\ (1) \text{ of def. (25)} &\rightarrow = (\iota_G)(a) \end{aligned}$$

These equalities being true for all  $a \in \text{Ob } \mathcal{C}$ , from proposition (44) we obtain the equalities of natural transformations  $\beta \circ \alpha = \iota_F$  and  $\alpha \circ \beta = \iota_G$  as requested.

It remains to show that  $\beta : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  is indeed a natural transformation  $\beta : G \Rightarrow F$ , i.e. that properties (1) and (2) of definition (23) are satisfied. We already know that  $\beta(a) : G(a) \rightarrow F(a)$ , being the inverse of  $\alpha(a) : F(a) \rightarrow G(a)$ . So (1) is done, and it remains to show property (2). So let  $f : a \rightarrow b$  where  $a, b \in \mathcal{C}$ . We need to show the equality  $F(f) \circ \beta(a) = \beta(b) \circ G(f)$ :

$$\begin{array}{ccccc} a & & G(a) & \xrightarrow{\beta(a)} & F(a) & \xrightarrow{\alpha(a)} & G(a) \\ f \downarrow & & G(f) \downarrow & & \downarrow F(f) & & \downarrow G(f) \\ b & & G(b) & \xrightarrow{\beta(b)} & F(b) & \xrightarrow{\alpha(b)} & G(b) \end{array}$$

The naturality squares are presented for convenience, and the proof is:

$$\begin{aligned} F(f) \circ \beta(a) &= \text{id}(F(b)) \circ (F(f) \circ \beta(a)) \\ \beta(b) \text{ inverse of } \alpha(b) &\rightarrow = (\beta(b) \circ \alpha(b)) \circ (F(f) \circ \beta(a)) \\ \circ \text{ assoc} &\rightarrow = \beta(b) \circ (\alpha(b) \circ F(f)) \circ \beta(a) \\ \alpha \text{ natural} &\rightarrow = \beta(b) \circ (G(f) \circ \alpha(a)) \circ \beta(a) \\ \circ \text{ assoc} &\rightarrow = (\beta(b) \circ G(f)) \circ (\alpha(a) \circ \beta(a)) \\ \beta(a) \text{ inverse of } \alpha(a) &\rightarrow = (\beta(b) \circ G(f)) \circ \text{id}(G(a)) \\ &= \beta(b) \circ G(f) \end{aligned}$$

◇

### 3.8 Right-multiplication by Functor

Let  $T : \mathcal{B} \rightarrow \mathcal{C}$  and  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors where  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\alpha : F \Rightarrow G$  be a natural transformation. Then the situation is as follows:

$$\mathcal{B} \xrightarrow{T} \mathcal{C} \begin{array}{c} \xrightarrow{F} \\ \Downarrow \alpha \\ \xrightarrow{G} \end{array} \mathcal{D}$$

In particular the functors  $F \circ T : \mathcal{B} \rightarrow \mathcal{D}$  and  $G \circ T : \mathcal{B} \rightarrow \mathcal{D}$  are well-defined by virtue of definition (18). Furthermore, since  $\alpha$  is a natural transformation  $\alpha : F \Rightarrow G$ , using definition (23), for all  $a \in \mathcal{C}$  we have  $\alpha(a) : F(a) \rightarrow G(a)$ . It follows that for all  $a \in \mathcal{B}$  we have  $\alpha(T(a)) : F(T(a)) \rightarrow G(T(a))$ . So the question arises as to whether the expression  $\alpha(T(a))$  for all  $a \in \mathcal{B}$  defines a natural transformation between  $F \circ T$  and  $G \circ T$ . As we shall see the answer is 'yes' so we shall define a new natural transformation denoted  $\alpha T$ :

$$\mathcal{B} \xrightarrow{T} \mathcal{C} \begin{array}{c} \xrightarrow{F} \\ \Downarrow \alpha \\ \xrightarrow{G} \end{array} \mathcal{D} \rightarrow \mathcal{B} \begin{array}{c} \xrightarrow{F \circ T} \\ \Downarrow \alpha T \\ \xrightarrow{G \circ T} \end{array} \mathcal{D}$$

**Definition 30** Let  $T : \mathcal{B} \rightarrow \mathcal{C}$  and  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors where  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\alpha : F \Rightarrow G$  be a natural transformation. We denote  $\alpha T : F \circ T \Rightarrow G \circ T$  the natural transformation defined by, given  $a \in \text{Ob } \mathcal{B}$ :

$$(1) \quad (\alpha T)(a) = \alpha(T(a))$$

**Proposition 51**  $\alpha T$  of (30) is a natural transformation  $\alpha T : F \circ T \Rightarrow G \circ T$ .

**Proof**

We need to show that  $\alpha T$  is a map  $\alpha T : \text{Ob } \mathcal{B} \rightarrow \text{Arr } \mathcal{D}$  which satisfies properties (1) and (2) of definition (23). If we explicit the functor  $T$  as the ordered pair  $T = (T_0, T_1)$  (definition (14)), it is implicit in definition (30) that given  $a \in \text{Ob } \mathcal{B}$ ,  $(\alpha T)(a)$  is defined as  $\alpha(T_0(a))$ . Since  $\alpha : F \Rightarrow G$ , in particular  $\alpha$  is a map  $\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  while  $T_0$  is a map  $T_0 : \text{Ob } \mathcal{B} \rightarrow \text{Ob } \mathcal{C}$ . It follows that  $\alpha(T_0(a))$  is a well defined arrow in  $\mathcal{D}$  and we see that  $\alpha T : \text{Ob } \mathcal{B} \rightarrow \text{Arr } \mathcal{D}$ .

(1): We need to show that  $(\alpha T)(a) : (F \circ T)(a) \rightarrow (G \circ T)(a)$  for all  $a \in \mathcal{B}$ . This is the same as showing that  $\alpha(T(a)) : F(T(a)) \rightarrow G(T(a))$ , which is the case since  $\alpha(a) : F(a) \rightarrow G(a)$  for all  $a \in \mathcal{C}$  ( $\alpha$  being a natural transformation).

(2): We need to show that the naturality square commutes, namely that given  $a, b \in \mathcal{B}$  and  $f : a \rightarrow b$ , we have  $(G \circ T)(f) \circ (\alpha T)(a) = (\alpha T)(b) \circ (F \circ T)(f)$ .

$$\begin{array}{ccccc} a & & (F \circ T)(a) & \xrightarrow{(\alpha T)(a)} & (G \circ T)(a) \\ f \downarrow & & (F \circ T)(f) \downarrow & & \downarrow (G \circ T)(f) \\ b & & (F \circ T)(b) & \xrightarrow{(\alpha T)(b)} & (G \circ T)(b) \end{array}$$

However, this equality is the same as  $G(T(f)) \circ \alpha(T(a)) = \alpha(T(b)) \circ F(T(f))$ , and is simply the equality expressing the commutativity of the naturality square of  $\alpha$ , associated with  $T(a), T(b) \in \mathcal{C}$  and  $T(f) : T(a) \rightarrow T(b)$ .

$$\begin{array}{ccccc} T(a) & & F(T(a)) & \xrightarrow{\alpha(T(a))} & G(T(a)) \\ T(f) \downarrow & & F(T(f)) \downarrow & & \downarrow G(T(f)) \\ T(b) & & F(T(b)) & \xrightarrow{\alpha(T(b))} & G(T(b)). \end{array}$$

◇

### 3.9 Left-multiplication by Functor

Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and  $T : \mathcal{D} \rightarrow \mathcal{E}$  be functors where  $\mathcal{C}$ ,  $\mathcal{D}$  and  $\mathcal{E}$  are categories, and let  $\alpha : F \Rightarrow G$  be a natural transformation. Then the situation is as follows:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \xrightarrow{T} \mathcal{E} \\ & \Downarrow \alpha & \\ \mathcal{C} & \xrightarrow{G} & \mathcal{D} \end{array}$$

In particular the functors  $T \circ F : \mathcal{C} \rightarrow \mathcal{E}$  and  $T \circ G : \mathcal{C} \rightarrow \mathcal{E}$  are well-defined by virtue of definition (18). Furthermore, since  $\alpha$  is a natural transformation  $\alpha : F \Rightarrow G$ , using definition (23), for all  $a \in \mathcal{C}$  we have  $\alpha(a) : F(a) \rightarrow G(a)$ .  $T$  being a functor, it follows that  $T(\alpha(a)) : T(F(a)) \rightarrow T(G(a))$ . So the question arises as to whether the expression  $T(\alpha(a))$  for all  $a \in \mathcal{C}$  defines a natural transformation between  $T \circ F$  and  $T \circ G$ . As we shall see the answer is 'yes' so we shall define a new natural transformation denoted  $T\alpha$ :

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \xrightarrow{T} \mathcal{E} \\ & \Downarrow \alpha & \\ \mathcal{C} & \xrightarrow{G} & \mathcal{D} \end{array} \rightarrow \begin{array}{ccc} \mathcal{C} & \xrightarrow{T \circ F} & \mathcal{E} \\ & \Downarrow T\alpha & \\ \mathcal{C} & \xrightarrow{T \circ G} & \mathcal{E} \end{array}$$

**Definition 31** Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  and  $T : \mathcal{D} \rightarrow \mathcal{E}$  be functors where  $\mathcal{C}$ ,  $\mathcal{D}$  and  $\mathcal{E}$  are categories, and let  $\alpha : F \Rightarrow G$  be a natural transformation. We denote  $T\alpha : T \circ F \Rightarrow T \circ G$  the natural transformation defined by, given  $a \in \text{Ob } \mathcal{C}$ :

$$(1) \quad (T\alpha)(a) = T(\alpha(a))$$

**Proposition 52**  $T\alpha$  of (31) is a natural transformation  $T\alpha : T \circ F \Rightarrow T \circ G$ .

**Proof**

We need to show that  $T\alpha$  is a map  $T\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{E}$  which satisfies properties (1) and (2) of definition (23). If we explicit the functor  $T$  as the ordered pair  $T = (T_0, T_1)$  (definition (14)), it is implicit in definition (31) that given

$a \in \text{Ob } \mathcal{C}$ ,  $(T\alpha)(a)$  is defined as  $T_1(\alpha(a))$ . Since  $\alpha : F \Rightarrow G$ , in particular  $\alpha$  is a map  $\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$  while  $T_1$  is a map  $T_1 : \text{Arr } \mathcal{D} \rightarrow \text{Arr } \mathcal{E}$ . It follows that  $T_1(\alpha(a))$  is a well defined arrow in  $\mathcal{E}$  and we see that  $T\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{E}$ .

(1): We need to show that  $(T\alpha)(a) : (T \circ F)(a) \rightarrow (T \circ G)(a)$  for all  $a \in \mathcal{C}$ . This is the same as showing that  $T(\alpha(a)) : T(F(a)) \rightarrow T(G(a))$ , which is the case since  $\alpha(a) : F(a) \rightarrow G(a)$  for all  $a \in \mathcal{C}$  and  $T$  is a functor.

(2): We need to show that the naturality square commutes, namely that given  $a, b \in \mathcal{C}$  and  $f : a \rightarrow b$ , we have  $(T \circ G)(f) \circ (T\alpha)(a) = (T\alpha)(b) \circ (T \circ F)(f)$ .

$$\begin{array}{ccc} a & (T \circ F)(a) & \xrightarrow{(T\alpha)(a)} (T \circ G)(a) \\ f \downarrow & (T \circ F)(f) \downarrow & \downarrow (T \circ G)(f) \\ b & (T \circ F)(b) & \xrightarrow{(T\alpha)(b)} (T \circ G)(b) \end{array}$$

However, this equality is the same as  $T(G(f)) \circ T(\alpha(a)) = T(\alpha(b)) \circ T(F(f))$ , and is simply a lifting by the functor  $T$  of the equality expressing the naturality of  $\alpha$ , associated with  $a, b \in \mathcal{C}$  and  $f : a \rightarrow b$ .

$$\begin{array}{ccc} a & T(F(a)) & \xrightarrow{T(\alpha(a))} T(G(a)) \\ f \downarrow & T(F(f)) \downarrow & \downarrow T(G(f)) \\ b & T(F(b)) & \xrightarrow{T(\alpha(b))} T(G(b)). \end{array}$$

However, we crucially need  $T$  to be a functor for this square to commute:

$$\begin{aligned} T(G(f)) \circ T(\alpha(a)) &= T(G(f) \circ \alpha(a)) \leftarrow \text{(5) of def. (14)} \\ \text{(2) of def. (23)} \rightarrow &= T(\alpha(b) \circ F(f)) \\ \text{(5) of def. (14)} \rightarrow &= T(\alpha(b)) \circ T(F(f)) \end{aligned}$$

◇



# Chapter 4

## Adjunction

In this chapter, we are interested in pairs of functors as follows:

$$\begin{array}{ccc} & F & \\ \mathcal{C} & \xrightarrow{\quad} & \mathcal{D} \\ & G & \end{array}$$

### 4.1 Unit of a Pair of Functors

**Definition 32** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. We call unit of the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{D}, \mathcal{C}, G)$ , a natural transformation  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  such that for all  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and  $f : c \rightarrow G(d)$ , there exists a unique  $g : F(c) \rightarrow d$  such that  $f = G(g) \circ \eta_c$ .

**Remark:** The equality  $f = G(g) \circ \eta_c$  can be visualized with the diagram:

$$\begin{array}{ccc} c & \xrightarrow{\eta_c} & G(F(c)) \\ & \searrow f & \downarrow G(g) \\ & & G(d) \end{array}$$

**Remark:** Recall from definition (23) that  $\eta$  being a natural transformation is a statement about typed functors, not just functors. The same is true of a unit. Being a unit of  $F$  and  $G$  viewed as functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  is not the same as being a unit of  $F$  and  $G$  viewed as functor  $F : \mathcal{C}^{op} \rightarrow \mathcal{D}^{op}$  and  $G : \mathcal{D}^{op} \rightarrow \mathcal{C}^{op}$ . It is important to remember which categories are being considered, hence typed functors. The order also matters. Being a unit of  $F$  and  $G$  is not the same as being a unit of  $G$  and  $F$ .

**Notation 23** Whenever the categories  $\mathcal{C}$  and  $\mathcal{D}$  are clearly understood from the context, we shall simply say that  $\eta$  is a unit of the ordered pair  $(F, G)$ .

**Proposition 53** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  be a unit of  $(F, G)$ . Then, for all  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and  $g_1, g_2 : F(c) \rightarrow d$ , we have the implication:

$$G(g_1) \circ \eta_c = G(g_2) \circ \eta_c \Rightarrow g_1 = g_2$$

**Proof**

This is an immediate consequence of the uniqueness property of definition (32). Consider the arrow  $f = G(g_1) \circ \eta_c$ . Since  $g_1 : F(c) \rightarrow d$  and  $G$  is a functor, we have  $G(g_1) : G(F(c)) \rightarrow G(d)$  and since  $\eta_c : c \rightarrow G(F(c))$ ,  $f$  is a well-defined arrow  $f : c \rightarrow G(d)$  in the category  $\mathcal{C}$ . Having assumed that  $\eta$  is a unit of  $(F, G)$ , from definition (32) there exists a unique arrow  $g : F(c) \rightarrow d$  in the category  $\mathcal{D}$  such that  $f = G(g) \circ \eta_c$ . However the equality  $f = G(g) \circ \eta_c$  is satisfied by the arrow  $g = g_1$  since we defined  $f$  as  $f = G(g_1) \circ \eta_c$ . Now if we assume that  $G(g_1) \circ \eta_c = G(g_2) \circ \eta_c$ , then the equality  $f = G(g) \circ \eta_c$  is also satisfied by  $g_2$ . By uniqueness, it follows that  $g_1 = g_2$ .  $\diamond$

**Proposition 54** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  be a unit of  $(F, G)$ . Then we have:

$$(G \circ F)(f) \circ \eta_a = \eta_b \circ f$$

for all objects  $a, b \in \mathcal{C}$  and arrow  $f : a \rightarrow b$ .

**Proof**

This is an immediate consequence of definition (23) and the fact that  $\eta$  is a natural transformation  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$ , so the following square commutes:

$$\begin{array}{ccc} a & \xrightarrow{\eta_a} & G(F(a)) \\ f \downarrow & & \downarrow (G \circ F)(f) \\ b & \xrightarrow{\eta_b} & G(F(b)) \end{array}$$

$\diamond$

Given functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  where  $\mathcal{C}, \mathcal{D}$  are categories, if  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  is a unit of  $(F, G)$ , then a question arises as to whether this unit is unique. As the following proposition shows, the answer is 'no' in general as every natural isomorphism  $\alpha : F \Rightarrow F$  potentially gives rise to a new unit.

**Proposition 55** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  be a unit of  $(F, G)$ . Then, a natural transformation  $\eta' : I_{\mathcal{C}} \Rightarrow G \circ F$  is a unit of  $(F, G)$  if and only if there exists a natural isomorphism  $\alpha : F \Rightarrow F$  such that:

$$\eta' = (G\alpha) \circ \eta$$

**Remark:** If  $\alpha : F \Rightarrow F$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$ , then  $G\alpha$  is the natural transformation  $G\alpha : G \circ F \Rightarrow G \circ F$  as per definition (31), and if  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  then the

composition  $(G\alpha) \circ \eta$  is a well-defined natural transformation from the identity functor  $I_{\mathcal{C}}$  to the functor  $G \circ F$  as per definition (27).

**Proof**

First we show the *if* part. So we assume that  $\eta' = (G\alpha) \circ \eta$  for some natural isomorphism  $\alpha : F \Rightarrow F$ . We need to show that  $\eta'$  is a unit of  $(F, G)$ . As already indicated  $\eta'$  is a well-defined natural transformation  $\eta' : I_{\mathcal{C}} \Rightarrow G \circ F$ . Hence we simply need to show that it satisfies the universal property of definition (32). So let  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and  $f : c \rightarrow G(d)$ . We need to show the existence of a unique  $g : F(c) \rightarrow d$  such that  $f = G(g) \circ \eta'_c$ . However, by assumption we have:

$$\begin{aligned} \eta'_c &= ((G\alpha) \circ \eta)_c \\ (1) \text{ of def. (27)} \rightarrow &= (G\alpha)_c \circ \eta_c \\ (1) \text{ of def. (31)} \rightarrow &= G(\alpha_c) \circ \eta_c \end{aligned}$$

So we need to prove the existence of a unique arrow  $g : F(c) \rightarrow d$  such that  $f = G(g) \circ G(\alpha_c) \circ \eta_c$ , which is  $f = G(g \circ \alpha_c) \circ \eta_c$  since  $G$  is a functor:

Existence: having assumed  $\eta$  is a unit of  $(F, G)$ , since  $f : c \rightarrow G(d)$  using definition (32) there exists a (unique)  $h : F(c) \rightarrow d$  with  $f = G(h) \circ \eta_c$ . However, by assumption  $\alpha : F \Rightarrow F$  is a natural isomorphism. From proposition (50), every component of  $\alpha$  is an isomorphism and in particular  $\alpha_c : F(c) \rightarrow F(c)$  has an inverse  $\alpha_c^{-1} : F(c) \rightarrow F(c)$ . Defining  $g = h \circ \alpha_c^{-1}$  we obtain an arrow  $g : F(c) \rightarrow d$  such that  $f = G(g \circ \alpha_c) \circ \eta_c$  as requested.

Uniqueness: Suppose  $g_1, g_2 : F(c) \rightarrow d$  are two arrows in  $\mathcal{D}$  such that  $G(g_1 \circ \alpha_c) \circ \eta_c = f = G(g_2 \circ \alpha_c) \circ \eta_c$ . Having assumed  $\eta$  is a unit of  $(F, G)$  using proposition (53) we obtain  $g_1 \circ \alpha_c = g_2 \circ \alpha_c$  and composing both sides to the right by  $\alpha_c^{-1}$  we conclude that  $g_1 = g_2$  as requested.

We now prove the *only if* part. So we assume that  $\eta' : I_{\mathcal{C}} \Rightarrow G \circ F$  is a unit of  $(F, G)$  and we need to show the existence of a natural isomorphism  $\alpha : F \Rightarrow F$  such that  $\eta' = (G\alpha) \circ \eta$ . So let  $c \in \mathcal{C}$ . First we need to define  $\alpha_c : F(c) \rightarrow F(c)$ . Define  $d = F(c) \in \mathcal{D}$ . Then we have  $\eta'_c : c \rightarrow G(d)$ . Since  $\eta$  is a unit of  $(F, G)$  there exists a unique arrow  $g : F(c) \rightarrow d$  such that  $\eta'_c = G(g) \circ \eta_c$ . Define  $\alpha_c$  to be precisely this unique arrow  $g$ . Then we have  $\alpha_c : F(c) \rightarrow F(c)$  and:

$$\eta'_c = G(\alpha_c) \circ \eta_c \tag{4.1}$$

Collecting all these arrows  $\alpha_c : F(c) \rightarrow F(c)$  for  $c \in \mathcal{C}$ , we obtain a map  $\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ . Next we need to show that  $\alpha$  is a natural transformation, so it remains to show that condition (2) of definition (23) is satisfied. So let  $a, b \in \mathcal{C}$  and  $f : a \rightarrow b$ . We need to show the equality  $F(f) \circ \alpha_a = \alpha_b \circ F(f)$ :

$$\begin{array}{ccccc} a & & F(a) & \xrightarrow{\alpha_a} & F(a) \\ f \downarrow & & F(f) \downarrow & & \downarrow F(f) \\ b & & F(b) & \xrightarrow{\alpha_b} & F(b) \end{array}$$

Define  $c = a \in \mathcal{C}$  and  $d = F(b) \in \mathcal{D}$ . Then both arrows  $F(f) \circ \alpha_a$  and  $\alpha_b \circ F(f)$  have domain  $F(c)$  and codomain  $d$  in  $\mathcal{D}$ . Applying proposition (53) since  $\eta$  is a unit of  $(F, G)$ , in order to show that these are equal it is sufficient to prove:

$$G(F(f) \circ \alpha_a) \circ \eta_c = G(\alpha_b \circ F(f)) \circ \eta_c$$

The proof goes as follows:

$$\begin{aligned} G(F(f) \circ \alpha_a) \circ \eta_c &= G(F(f) \circ \alpha_a) \circ \eta_a \\ G \text{ functor} \rightarrow &= G(F(f)) \circ G(\alpha_a) \circ \eta_a \\ \text{eqn. (4.1)} \rightarrow &= G(F(f)) \circ \eta'_a \\ \text{prop. (54), } \eta' \text{ unit} \rightarrow &= \eta'_b \circ f \\ \text{eqn. (4.1)} \rightarrow &= G(\alpha_b) \circ \eta_b \circ f \\ \text{prop. (54), } \eta \text{ unit} \rightarrow &= G(\alpha_b) \circ G(F(f)) \circ \eta_a \\ G \text{ functor} \rightarrow &= G(\alpha_b \circ F(f)) \circ \eta_a \\ &= G(\alpha_b \circ F(f)) \circ \eta_c \end{aligned}$$

So we have now proved that  $\alpha$  is a natural transformation  $\alpha : F \Rightarrow F$ . However, we aim to show that it is actually a natural isomorphism. Using proposition (50), it is sufficient to prove that each component  $\alpha_c : F(c) \rightarrow F(c)$  for  $c \in \mathcal{C}$  is an isomorphism in  $\mathcal{D}$ . So let  $c \in \mathcal{C}$ . Define  $d = F(c) \in \mathcal{D}$ . Then we have  $\eta_c : c \rightarrow G(d)$ . Having assumed that  $\eta'$  is a unit of  $(F, G)$  there exists a unique arrow  $g : F(c) \rightarrow d$  such that  $\eta_c = G(g) \circ \eta'_c$ . Define  $\beta_c$  to be precisely this unique arrow  $g$ . Then we have  $\beta_c : F(c) \rightarrow F(c)$  and:

$$\eta_c = G(\beta_c) \circ \eta'_c \tag{4.2}$$

We claim that  $\beta_c$  is an inverse of  $\alpha_c$ . So we need to prove that  $\beta_c \circ \alpha_c = \text{id}(F(c))$  and  $\alpha_c \circ \beta_c = \text{id}(F(c))$ . In order to show that  $\beta_c \circ \alpha_c = \text{id}(F(c))$ , since both arrows are from  $F(c)$  to  $d = F(c)$  and  $\eta$  is a unit of  $(F, G)$ , applying proposition (53) it is sufficient to prove that:

$$G(\beta_c \circ \alpha_c) \circ \eta_c = G(\text{id}(F(c))) \circ \eta_c$$

The proof goes as follows:

$$\begin{aligned} G(\beta_c \circ \alpha_c) \circ \eta_c &= G(\beta_c) \circ G(\alpha_c) \circ \eta_c \leftarrow G \text{ functor} \\ \text{eqn. (4.1)} \rightarrow &= G(\beta_c) \circ \eta'_c \\ \text{eqn. (4.2)} \rightarrow &= \eta_c \\ \text{identity} \rightarrow &= \text{id}(G(F(c))) \circ \eta_c \\ G \text{ functor} \rightarrow &= G(\text{id}(F(c))) \circ \eta_c \end{aligned}$$

In order to show that  $\alpha_c \circ \beta_c = \text{id}(F(c))$ , since both arrows are from  $F(c)$  to  $d = F(c)$  and  $\eta'$  is assumed to be a unit of  $(F, G)$ , applying proposition (53) it

is sufficient to prove that:

$$G(\alpha_c \circ \beta_c) \circ \eta'_c = G(\text{id}(F(c))) \circ \eta'_c$$

The proof goes as follows:

$$\begin{aligned} G(\alpha_c \circ \beta_c) \circ \eta'_c &= G(\alpha_c) \circ G(\beta_c) \circ \eta'_c \leftarrow G \text{ functor} \\ \text{eqn. (4.2)} \rightarrow &= G(\alpha_c) \circ \eta_c \\ \text{eqn. (4.1)} \rightarrow &= \eta'_c \\ \text{identity} \rightarrow &= \text{id}(G(F(c))) \circ \eta'_c \\ G \text{ functor} \rightarrow &= G(\text{id}(F(c))) \circ \eta'_c \end{aligned}$$

So we now know that  $\alpha : F \Rightarrow F$  is a natural isomorphism and it remains to show that  $\eta' = (G\alpha) \circ \eta$ . Using proposition (44), this equality between natural transformations is obtained if we can show that all components are equal:

$$\begin{aligned} \eta'_c &= G(\alpha_c) \circ \eta_c \leftarrow \text{eqn. (4.1)} \\ (1) \text{ of def. (31)} \rightarrow &= (G\alpha)_c \circ \eta_c \\ (1) \text{ of def. (27)} \rightarrow &= (G\alpha \circ \eta)_c \end{aligned}$$

◇

## 4.2 Count of a Pair of Functors

**Definition 33** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. We call count of the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{D}, \mathcal{C}, G)$ , a natural transformation  $\epsilon : F \circ G \Rightarrow I_{\mathcal{D}}$  such that for all  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and  $g : F(c) \rightarrow d$ , there exists a unique  $f : c \rightarrow G(d)$  such that  $g = \epsilon_d \circ F(f)$ .

**Remark:** The equality  $g = \epsilon_d \circ F(f)$  can be visualized with the diagram:

$$\begin{array}{ccc} F(G(d)) & \xrightarrow{\epsilon_d} & d \\ \uparrow F(f) & \nearrow g & \\ F(c) & & \end{array}$$

**Remark:** Just like for *units*, being a *count* is a statement relating typed functors (not just functors), and the order between  $F$  and  $G$  matters.

**Notation 24** Whenever the categories  $\mathcal{C}$  and  $\mathcal{D}$  are clearly understood from the context, we shall simply say that  $\epsilon$  is a count of the ordered pair  $(F, G)$ .

**Proposition 56** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\epsilon : F \circ G \Rightarrow I_{\mathcal{D}}$  be a counit of  $(F, G)$ . Then, for all  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and  $f_1, f_2 : c \rightarrow G(d)$ , we have the implication:*

$$\epsilon_d \circ F(f_1) = \epsilon_d \circ F(f_2) \Rightarrow f_1 = f_2$$

**Proof**

This is an immediate consequence of the uniqueness property of definition (33). Consider the arrow  $g = \epsilon_d \circ F(f_1)$ . Since  $f_1 : c \rightarrow G(d)$  and  $F$  is a functor, we have  $F(f_1) : F(c) \rightarrow F(G(d))$  and since  $\epsilon_d : F(G(d)) \rightarrow d$ ,  $g$  is a well-defined arrow  $g : F(c) \rightarrow d$  in the category  $\mathcal{D}$ . Having assumed that  $\epsilon$  is a counit of  $(F, G)$ , from definition (33) there exists a unique arrow  $f : c \rightarrow G(d)$  in the category  $\mathcal{C}$  such that  $g = \epsilon_d \circ F(f)$ . However the equality  $g = \epsilon_d \circ F(f)$  is satisfied by the arrow  $f = f_1$  since we defined  $g$  as  $g = \epsilon_d \circ F(f_1)$ . Now if we assume that  $\epsilon_d \circ F(f_1) = \epsilon_d \circ F(f_2)$ , then the equality  $g = \epsilon_d \circ F(f)$  is also satisfied by  $f_2$ . By uniqueness, it follows that  $f_1 = f_2$ .  $\diamond$

TODO

**Proposition 57** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  be a unit of  $(F, G)$ . Then we have:*

$$(G \circ F)(f) \circ \eta_a = \eta_b \circ f$$

for all objects  $a, b \in \mathcal{C}$  and arrow  $f : a \rightarrow b$ .

**Proof**

This is an immediate consequence of definition (23) and the fact that  $\eta$  is a natural transformation  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$ , so the following square commutes:

$$\begin{array}{ccc} a & \xrightarrow{\eta_a} & G(F(a)) \\ f \downarrow & & \downarrow (G \circ F)(f) \\ b & \xrightarrow{\eta_b} & G(F(b)) \end{array}$$

$\diamond$

Given functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  where  $\mathcal{C}, \mathcal{D}$  are categories, if  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  is a unit of  $(F, G)$ , then a question arises as to whether this unit is unique. As the following proposition shows, the answer is 'no' in general as every natural isomorphism  $\alpha : F \Rightarrow F$  potentially gives rise to a new unit.

**Proposition 58** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, and let  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  be a unit of  $(F, G)$ . Then, a natural transformation  $\eta' : I_{\mathcal{C}} \Rightarrow G \circ F$  is a unit of  $(F, G)$  if and only if there exists a natural isomorphism  $\alpha : F \Rightarrow F$  such that:*

$$\eta' = (G\alpha) \circ \eta$$

**Remark:** If  $\alpha : F \Rightarrow F$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$ , then  $G\alpha$  is the natural transformation  $G\alpha : G \circ F \Rightarrow G \circ F$  as per definition (31), and if  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  then the composition  $(G\alpha) \circ \eta$  is a well-defined natural transformation from the identity functor  $I_{\mathcal{C}}$  to the functor  $G \circ F$  as per definition (27).

**Proof**

First we show the *if* part. So we assume that  $\eta' = (G\alpha) \circ \eta$  for some natural isomorphism  $\alpha : F \Rightarrow F$ . We need to show that  $\eta'$  is a unit of  $(F, G)$ . As already indicated  $\eta'$  is a well-defined natural transformation  $\eta' : I_{\mathcal{C}} \Rightarrow G \circ F$ . Hence we simply need to show that it satisfies the universal property of definition (32). So let  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and  $f : c \rightarrow G(d)$ . We need to show the existence of a unique  $g : F(c) \rightarrow d$  such that  $f = G(g) \circ \eta'_c$ . However, by assumption we have:

$$\begin{aligned} \eta'_c &= ((G\alpha) \circ \eta)_c \\ (1) \text{ of def. (27)} &\rightarrow = (G\alpha)_c \circ \eta_c \\ (1) \text{ of def. (31)} &\rightarrow = G(\alpha_c) \circ \eta_c \end{aligned}$$

So we need to prove the existence of a unique arrow  $g : F(c) \rightarrow d$  such that  $f = G(g) \circ G(\alpha_c) \circ \eta_c$ , which is  $f = G(g \circ \alpha_c) \circ \eta_c$  since  $G$  is a functor:

Existence: having assumed  $\eta$  is a unit of  $(F, G)$ , since  $f : c \rightarrow G(d)$  using definition (32) there exists a (unique)  $h : F(c) \rightarrow d$  with  $f = G(h) \circ \eta_c$ . However, by assumption  $\alpha : F \Rightarrow F$  is a natural isomorphism. From proposition (50), every component of  $\alpha$  is an isomorphism and in particular  $\alpha_c : F(c) \rightarrow F(c)$  has an inverse  $\alpha_c^{-1} : F(c) \rightarrow F(c)$ . Defining  $g = h \circ \alpha_c^{-1}$  we obtain an arrow  $g : F(c) \rightarrow d$  such that  $f = G(g \circ \alpha_c) \circ \eta_c$  as requested.

Uniqueness: Suppose  $g_1, g_2 : F(c) \rightarrow d$  are two arrows in  $\mathcal{D}$  such that  $G(g_1 \circ \alpha_c) \circ \eta_c = f = G(g_2 \circ \alpha_c) \circ \eta_c$ . Having assumed  $\eta$  is a unit of  $(F, G)$  using proposition (53) we obtain  $g_1 \circ \alpha_c = g_2 \circ \alpha_c$  and composing both sides to the right by  $\alpha_c^{-1}$  we conclude that  $g_1 = g_2$  as requested.

We now prove the *only if* part. So we assume that  $\eta' : I_{\mathcal{C}} \Rightarrow G \circ F$  is a unit of  $(F, G)$  and we need to show the existence of a natural isomorphism  $\alpha : F \Rightarrow F$  such that  $\eta' = (G\alpha) \circ \eta$ . So let  $c \in \mathcal{C}$ . First we need to define  $\alpha_c : F(c) \rightarrow F(c)$ . Define  $d = F(c) \in \mathcal{D}$ . Then we have  $\eta'_c : c \rightarrow G(d)$ . Since  $\eta$  is a unit of  $(F, G)$  there exists a unique arrow  $g : F(c) \rightarrow d$  such that  $\eta'_c = G(g) \circ \eta_c$ . Define  $\alpha_c$  to be precisely this unique arrow  $g$ . Then we have  $\alpha_c : F(c) \rightarrow F(c)$  and:

$$\eta'_c = G(\alpha_c) \circ \eta_c \tag{4.3}$$

Collecting all these arrows  $\alpha_c : F(c) \rightarrow F(c)$  for  $c \in \mathcal{C}$ , we obtain a map  $\alpha : \text{Ob } \mathcal{C} \rightarrow \text{Arr } \mathcal{D}$ . Next we need to show that  $\alpha$  is a natural transformation, so it remains to show that condition (2) of definition (23) satisfied. So let  $a, b \in \mathcal{C}$  and  $f : a \rightarrow b$ . We need to show the equality  $F(f) \circ \alpha_a = \alpha_b \circ F(f)$ :

$$\begin{array}{ccccc} a & & F(a) & \xrightarrow{\alpha_a} & F(a) \\ f \downarrow & & F(f) \downarrow & & \downarrow F(f) \\ b & & F(b) & \xrightarrow{\alpha_b} & F(b) \end{array}$$

Define  $c = a \in \mathcal{C}$  and  $d = F(b) \in \mathcal{D}$ . Then both arrows  $F(f) \circ \alpha_a$  and  $\alpha_b \circ F(f)$  have domain  $F(c)$  and codomain  $d$  in  $\mathcal{D}$ . Applying proposition (53) since  $\eta$  is a unit of  $(F, G)$ , in order to show that these are equal it is sufficient to prove:

$$G(F(f) \circ \alpha_a) \circ \eta_c = G(\alpha_b \circ F(f)) \circ \eta_c$$

The proof goes as follows:

$$\begin{aligned} G(F(f) \circ \alpha_a) \circ \eta_c &= G(F(f) \circ \alpha_a) \circ \eta_a \\ G \text{ functor} \rightarrow &= G(F(f)) \circ G(\alpha_a) \circ \eta_a \\ \text{eqn. (4.1)} \rightarrow &= G(F(f)) \circ \eta'_a \\ \text{prop. (54), } \eta' \text{ unit} \rightarrow &= \eta'_b \circ f \\ \text{eqn. (4.1)} \rightarrow &= G(\alpha_b) \circ \eta_b \circ f \\ \text{prop. (54), } \eta \text{ unit} \rightarrow &= G(\alpha_b) \circ G(F(f)) \circ \eta_a \\ G \text{ functor} \rightarrow &= G(\alpha_b \circ F(f)) \circ \eta_a \\ &= G(\alpha_b \circ F(f)) \circ \eta_c \end{aligned}$$

So we have now proved that  $\alpha$  is a natural transformation  $\alpha : F \Rightarrow F$ . However, we aim to show that it is actually a natural isomorphism. Using proposition (50), it is sufficient to prove that each component  $\alpha_c : F(c) \rightarrow F(c)$  for  $c \in \mathcal{C}$  is an isomorphism in  $\mathcal{D}$ . So let  $c \in \mathcal{C}$ . Define  $d = F(c) \in \mathcal{D}$ . Then we have  $\eta_c : c \rightarrow G(d)$ . Having assumed that  $\eta'$  is a unit of  $(F, G)$  there exists a unique arrow  $g : F(c) \rightarrow d$  such that  $\eta_c = G(g) \circ \eta'_c$ . Define  $\beta_c$  to be precisely this unique arrow  $g$ . Then we have  $\beta_c : F(c) \rightarrow F(c)$  and:

$$\eta_c = G(\beta_c) \circ \eta'_c \tag{4.4}$$

We claim that  $\beta_c$  is an inverse of  $\alpha_c$ . So we need to prove that  $\beta_c \circ \alpha_c = \text{id}(F(c))$  and  $\alpha_c \circ \beta_c = \text{id}(F(c))$ . In order to show that  $\beta_c \circ \alpha_c = \text{id}(F(c))$ , since both arrows are from  $F(c)$  to  $d = F(c)$  and  $\eta$  is a unit of  $(F, G)$ , applying proposition (53) it is sufficient to prove that:

$$G(\beta_c \circ \alpha_c) \circ \eta_c = G(\text{id}(F(c))) \circ \eta_c$$

The proof goes as follows:

$$\begin{aligned} G(\beta_c \circ \alpha_c) \circ \eta_c &= G(\beta_c) \circ G(\alpha_c) \circ \eta_c \leftarrow G \text{ functor} \\ \text{eqn. (4.1)} \rightarrow &= G(\beta_c) \circ \eta'_c \\ \text{eqn. (4.2)} \rightarrow &= \eta_c \\ \text{identity} \rightarrow &= \text{id}(G(F(c))) \circ \eta_c \\ G \text{ functor} \rightarrow &= G(\text{id}(F(c))) \circ \eta_c \end{aligned}$$

In order to show that  $\alpha_c \circ \beta_c = \text{id}(F(c))$ , since both arrows are from  $F(c)$  to  $d = F(c)$  and  $\eta'$  is assumed to be a unit of  $(F, G)$ , applying proposition (53) it



is sufficient to prove that:

$$G(\alpha_c \circ \beta_c) \circ \eta'_c = G(\text{id}(F(c))) \circ \eta'_c$$

The proof goes as follows:

$$\begin{aligned} G(\alpha_c \circ \beta_c) \circ \eta'_c &= G(\alpha_c) \circ G(\beta_c) \circ \eta'_c \leftarrow G \text{ functor} \\ \text{eqn. (4.2)} \rightarrow &= G(\alpha_c) \circ \eta_c \\ \text{eqn. (4.1)} \rightarrow &= \eta'_c \\ \text{identity} \rightarrow &= \text{id}(G(F(c))) \circ \eta'_c \\ G \text{ functor} \rightarrow &= G(\text{id}(F(c))) \circ \eta'_c \end{aligned}$$

So we now know that  $\alpha : F \Rightarrow F$  is a natural isomorphism and it remains to show that  $\eta' = (G\alpha) \circ \eta$ . Using proposition (44), this equality between natural transformations is obtained if we can show that all components are equal:

$$\begin{aligned} \eta'_c &= G(\alpha_c) \circ \eta_c \leftarrow \text{eqn. (4.1)} \\ (1) \text{ of def. (31)} \rightarrow &= (G\alpha)_c \circ \eta_c \\ (1) \text{ of def. (27)} \rightarrow &= (G\alpha \circ \eta)_c \end{aligned}$$

◇

### 4.3 Adjunction

**Definition 34** We call adjunction any tuple  $(\mathcal{C}, \mathcal{D}, F, G, \eta)$  where:

- (1)  $\mathcal{C}, \mathcal{D}$  are categories
- (2)  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a functor
- (3)  $G : \mathcal{D} \rightarrow \mathcal{C}$  is a functor
- (4)  $\eta : I_{\mathcal{C}} \Rightarrow G \circ F$  is a natural transformation

such that for all objects  $c \in \mathcal{C}$ ,  $d \in \mathcal{D}$  and arrow  $f : c \rightarrow G(d)$ , there exists a unique arrow  $g : F(c) \rightarrow d$  satisfying the equality  $f = G(g) \circ \eta_c$ .

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