# Lecture Notes on Category Theory

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## Chapter 1

# Category

## 1.1 Small category

Before we define a category in full generality, we shall focus our attention on the notion of  $small\ category$ . This notion is interesting to us because while it essentially describes the notion of category itself, it remains simple enough to be compared with various other algebraic structures. For example, consider the case of a monoid: a monoid is essentially a set M together with a binary relation  $\circ$  defined on M which is associative, and an element e of M which acts as an identity element for  $\circ$ . In short a monoid is a tuple  $(M, \circ, e)$  containing some data, and which satisfies certain properties. The same is true of a  $small\ category$ : it is also a tuple containing some data, and which satisfies certain properties:

**Definition 1** We call small category any tuple (Ob, Arr, dom, cod, id,  $\circ$ ) with:

- (1) Ob is a set
- (2) Arr is a set
- (3)  $\operatorname{dom}: \operatorname{Arr} \to \operatorname{Ob} \ is \ a \ function$
- (4)  $\operatorname{cod}: \operatorname{Arr} \to \operatorname{Ob} \ is \ a \ function$
- (5)  $id : Ob \rightarrow Arr is a function$
- (6)  $\circ : Arr \times Arr \rightarrow Arr \text{ is a partial function}$
- (7)  $g \circ f \text{ is defined } \Leftrightarrow \operatorname{cod}(f) = \operatorname{dom}(g)$
- (8)  $\operatorname{cod}(f) = \operatorname{dom}(g) \Rightarrow \operatorname{dom}(g \circ f) = \operatorname{dom}(f)$
- (9)  $\operatorname{cod}(f) = \operatorname{dom}(g) \implies \operatorname{cod}(g \circ f) = \operatorname{cod}(g)$
- (10)  $\operatorname{cod}(f) = \operatorname{dom}(g) \wedge \operatorname{cod}(g) = \operatorname{dom}(h) \Rightarrow (h \circ g) \circ f = h \circ (g \circ f)$
- (11)  $\operatorname{dom}(\operatorname{id}(a)) = a = \operatorname{cod}(\operatorname{id}(a))$
- (12)  $\operatorname{dom}(f) = a \implies f \circ \operatorname{id}(a) = f$
- (13)  $\operatorname{cod}(f) = a \Rightarrow \operatorname{id}(a) \circ f = f$

where (7) - (13) hold for all  $f, g, h \in Arr$  and  $a \in Ob$ :

So if  $\mathcal{C} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ)$  is a small category, we have two sets  $\mathrm{Ob}$  and  $\mathrm{Arr}$  together with some structure defined on these sets. This feels very much like a monoid, except that we have two sets instead of one and it all looks more complicated. The set  $\mathrm{Ob}$  is called the *set of objects* of the small category  $\mathcal{C}$  and is denoted  $\mathrm{Ob}\ \mathcal{C}$ , while the set  $\mathrm{Arr}$  is called the *set of arrows* of the small category  $\mathcal{C}$  and is denoted  $\mathrm{Arr}\ \mathcal{C}$ . An element  $x \in \mathrm{Ob}\ \mathcal{C}$  is called an *object* of  $\mathcal{C}$ , while an element  $f \in \mathrm{Arr}\ \mathcal{C}$  is called an *arrow* of  $\mathcal{C}$ .

As part of the structure defined on the small category  $\mathcal{C}$ , we have two functions dom: Arr  $\to$  Ob and cod: Arr  $\to$  Ob. Hence, given an arrow f of the small category  $\mathcal{C}$ , we have two objects  $\mathrm{dom}(f)$  and  $\mathrm{cod}(f)$  of the small category  $\mathcal{C}$ . The object  $\mathrm{dom}(f)$  is called the domain of f. The object  $\mathrm{cod}(f)$  is called the codomain of f. Note that an arrow f of the small category  $\mathcal{C}$  is simply an element of the set Arr  $\mathcal{C}$ . So it is itself a set but it may not be a function. The words domain and codomain are therefore overloaded as we are using them in relation to a set f which is possibly not a function. Whenever f is an arrow of the small category  $\mathcal{C}$  and a,b are objets, it is common to use the notation  $f: a \to b$  as a notational shortcut for the equations  $\mathrm{dom}(f) = a$  and  $\mathrm{cod}(f) = b$ . Once again, it is important to guard against the possible confusion induced by the notation  $f: a \to b$  which does not mean that f is function. It simply means that f is an arrow with domain a and codomain b in the small category  $\mathcal{C}$ .

One of the main ingredients of the structure defining a small category  $\mathcal{C}$  is the partial function  $\circ: Arr \times Arr \to Arr$ , called the *composition operator* in the small category  $\mathcal{C}$ . The domain of this partial function is made of all ordered pairs (q, f) of arrows in  $\mathcal{C}$  for which  $\operatorname{cod}(f) = \operatorname{dom}(q)$ . As already indicated in definition (1), we use the infix notation  $g \circ f$  rather than  $\circ (g, f)$  and the arrow  $g \circ f$  is called the *composition* of g and f. Once again, we should remember that the notation  $g \circ f$  does not mean that g or f are functions. They are simply arrows in the small category  $\mathcal{C}$ . One key property of the composition operator  $\circ$  is the associativity postulated by (10) of definition (1). Note that if  $f: a \to b$  and  $g: b \to c$ , then from properties (8) and (9) of definition (1) we obtain  $g \circ f : a \to c$ . Furthermore, if  $h : c \to d$  we have  $h \circ g : b \to d$  and the arrows  $(h \circ g) \circ f$  and  $h \circ (g \circ f)$  are therefore well-defined arrows with domain a and codomain d. This shows that the expression involved in the associativity condition (10) of definition (1) is always meaningful, involving terms which are well-defined provided  $g \circ f$  and  $h \circ g$  are themselves well-defined, i.e. provided cod(f) = dom(g) and cod(g) = dom(h).

Finally, as part of the structure defining the small category  $\mathcal{C}$ , we have a function  $\mathrm{id}:\mathrm{Ob}\to\mathrm{Arr}$  called the *identity operator* on the small category  $\mathcal{C}$ . Hence, for every object a of  $\mathcal{C}$  we have an arrow  $\mathrm{id}(a)$  called the *identity at a*. Looking at property (11) of definition (1) we see that  $\mathrm{id}(a):a\to a$ . In other words, the arrow  $\mathrm{id}(a)$  has domain a and codomain a. Furthermore, looking at properties (12) and (13) of definition (1), for every arrow  $f:a\to b$ , the composition arrows  $\mathrm{id}(b)\circ f$  and  $f\circ\mathrm{id}(a)$  are well-defined and both equal to f.

## 1.2 Category

The notion of *small category* defined in definition (1) is similar to that of any other algebraic structure the reader may be familiar with. It can safely be encoded in set theory as a tuple (which is a set) containing data (which are other sets) which satisfies certain properties. In set theory, everything is a set. A small category  $\mathcal{C}$  is a set, its collection of objects Ob  $\mathcal{C}$  is a set, its arrows Arr  $\mathcal{C}$  form a set, the functions dom, cod, id and the composition operator  $\circ$  are all sets (functions are typically encoded as sets of ordered pairs).

Category theory falls outside of set theory. While the definition of a category we provide below is formally identical to that of a small category, the object we are defining can no longer be encoded in general as an object of set theory. For example, say we want to speak about the universe of all sets or the universe of all monoids. These universes which are known as classes cannot be represented as sets. They are not objects of set theory. Or say we are working within the formal framework of a proof assistant such as Coq, Agda or Lean. These tools are based on type theory and do not fall within the scope of set theory. When defining a category, we assume some form of meta-theoretic context, some form of logic, some way of reasoning about objects which may not be sets, where some meaning is attached to the words tuple, collection, equality and map. This may sound all very fuzzy, yet we cannot be more formal at this stage.

**Definition 2** We call category any tuple (Ob, Arr, dom, cod, id,  $\circ$ ) such that:

```
(1)
            Ob is a collection with equality
 (2)
            Arr is a collection with equality
 (3)
            dom : Arr \rightarrow Ob \ is \ a \ map
 (4)
            \operatorname{cod}:\operatorname{Arr}\to\operatorname{Ob}\ is\ a\ map
 (5)
            id: Ob \rightarrow Arr is a map
 (6)
            \circ: Arr \times Arr \rightarrow Arr is a partial map
 (7)
            g \circ f is defined \Leftrightarrow cod(f) = dom(g)
            cod(f) = dom(g) \implies dom(g \circ f) = dom(f)
 (8)
            cod(f) = dom(g) \implies cod(g \circ f) = cod(g)
 (9)
(10)
            cod(f) = dom(g) \wedge cod(g) = dom(h) \Rightarrow (h \circ g) \circ f = h \circ (g \circ f)
            dom(id(a)) = a = cod(id(a))
(11)
```

where (7) - (13) hold for all  $f, g, h \in Arr$  and  $a \in Ob$ :

 $dom(f) = a \implies f \circ id(a) = f$ 

 $cod(f) = a \implies id(a) \circ f = f$ 

(12)

(13)

So let  $\mathcal{C} = (\text{Ob, Arr, dom, cod, id, }\circ)$  be a category: then  $\mathcal{C}$  is a tuple but it is no longer a tuple in a set-theoretic sense. We assume given some logical framework where the notion of tuple is clear, even if not formally defined. Furthermore, We are no longer imposing that Ob should be a set, but are instead using the phrase collection with equality, whatever this may mean in our given logical context. So we shall still make use of the notation Ob  $\mathcal{C}$  but this will now refer to the collection of all objects of the category  $\mathcal{C}$ .

**Notation 1** Let C be a category. Its collection of objects is denoted Ob C.

In fact, if a is an object of the category  $\mathcal{C}$ , we shall abuse notations somewhat by writing  $a \in \mathcal{O}$  or even simply  $a \in \mathcal{C}$  to express the fact that a is an object of  $\mathcal{C}$ , being understood that this use of the set membership symbol ' $\in$ ' does not mean anything is a set. Since we are stepping out of set theory, the objects of the category  $\mathcal{C}$  may not be sets themselves. They are simply members of the collection Ob  $\mathcal{C}$ .

**Notation 2** Let C be a category. We write  $a \in C$  as a shortcut for  $a \in Ob C$ .

However, properties (7) - (13) of definition (2) are all referring to equalities between objects such that cod(f) = dom(g). So it must be the case that the notion of equality be meaningful on the collection Ob  $\mathcal{C}$ . This explains our use of the phrase collection with equality: given  $a, b \in \mathcal{C}$ , the statement a = b while not a set-theoretic equality is nonetheless assumed to be defined.

Similarly, the *collection* of arrows of the category  $\mathcal{C}$  shall still be denoted Arr  $\mathcal{C}$ , but is no longer required to be a set. If f is an arrow of the category  $\mathcal{C}$  then f itself may not be a set and we may still write ' $f \in \text{Arr } \mathcal{C}$ ' simply to indicate that f is a member of the collection Arr  $\mathcal{C}$ . Properties (10), (12) and (13) of definition (2) are all referring to equalities between arrows so the collection Arr  $\mathcal{C}$  must have some notion of equality defined on it.

**Notation 3** Let C be a category. Its collection of arrows is denoted Arr C.

Since Ob and Arr are no longer sets in general, the maps dom: Arr  $\rightarrow$  Ob,  $cod: Arr \rightarrow$  Ob,  $id: Ob \rightarrow$  Arr and the partial map  $\circ: Arr \times Arr \rightarrow$  Arr cannot possibly be functions in the set-theoretic sense. So there must be some meaning to the word map (from one collection to another) in whatever logical framework we are working in. The collection Arr  $\times$  Arr is not a set, and is simply the collection of all 2-dimensional tuples made from Arr. Our using the word map rather than function in definition (2) is simply an attempt at reminding ourselves of the fact these are not set-theoretic functions, eventhough the words map and function are perfectly interchangeable in standard (set-theoretic) mathematics. Given  $f \in Arr \mathcal{C}$ , we shall still call the object dom(f) the domain of f and the object cod(f) the codomain of f.

**Notation 4** Let C be a category. The domain of an arrow  $f \in Arr C$  is denoted dom(f), while its codomain is denoted cod(f).

**Remark**: Notation (4) is potentially ambiguous as a mathematical object f could in principle be an arrow in several categories, and the designations dom(f) and cod(f) do not specify which category is being referred to.

Given  $a, b \in \mathcal{C}$ , we shall still use the notation  $f : a \to b$  as a notational shortcut for dom(f) = a and cod(f) = b. Hence we state:

**Notation 5** Let C be a category. We write  $f: a \to b$  or  $f: a \to b @ C$  as a shortcut for  $f \in Arr C$  together with dom(f) = a and cod(f) = b.

**Remark**: The qualification @ C in notation (5) may be useful to disambiguate between several categories in a given context.

The partial map  $\circ$  is still the *composition operator* and the arrow  $g \circ f$  shall still be called the *composition* of g and f, provided it is defined.

**Notation 6** *Let* C *be a category. The composition operator on* C *is denoted*  $\circ$ , and the composition of two arrows q and f is denoted  $q \circ f$  or  $q \circ f \otimes C$ .

**Remark**:  $\circ$  may also be ambiguous in a context with several categories. It is also the common symbol to refer to standard function composition.

The map id : Ob  $\rightarrow$  Arr is still the *identity operator* on the category  $\mathcal{C}$ , and for all  $a \in \mathcal{C}$ , the arrow  $id(a) : a \rightarrow a$  is known as the *identity at a*.

**Notation 7** Let C be a category. We write id(a) or id(a) @ C to denote the identity at a in the category C.

For all arrows  $f: a \to b$ , it is still the case that the arrows  $\mathrm{id}(b) \circ f$  and  $f \circ \mathrm{id}(a)$  are well-defined and both equal to f. Just as in the case of a small category, whenever  $f: a \to b$ ,  $g: b \to c$  and  $h: c \to d$ , all the terms involved in the equation  $(h \circ g) \circ f = h \circ (g \circ f)$  of definition (2) are well defined.

**Proposition 1** A small category is a category.

## Proof

When considering a small category C, we are implicitely working within a set theoretic framework in which equality between any two sets is always meaningful and elements of sets are themselves sets. So any set can be viewed as a *collection* with equality and hence a small category satisfies definition (2).  $\diamond$ 

## 1.3 Equality of categories

Whichever logical framework we are working from, we saw that when defining a category  $\mathcal{C} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ)$ , some notion of equality had to be defined on the collections Ob and Arr. Now if  $\mathcal{C}' = (\mathrm{Ob}', \mathrm{Arr}', \mathrm{dom}', \mathrm{cod}', \mathrm{id}', \circ')$  is another category, the question may arise as to whether  $\mathcal{C} = \mathcal{C}'$ . Or indeed, we may simply be asking whether the collections Ob and Ob' are the same, or whether dom = dom' etc. It is very difficult for us to carry out any sort of formal reasoning on things without equality. So having equality defined on Ob and Arr is neccessary for definition (2) to even make sense, but it is not enough for us to formally prove anything about categories. Hence we shall assume:

**Axiom 1** A notion of equality exists for collections.

It is implicit in the statement of axiom (1) that the notion of equality between *collections* should be reflexive, symmetric and transitive. Furthermore:

**Axiom 2** Two collections with identical members are equal and conversely.

In particular, if  $\mathcal{C} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ)$  is a category and we have another partial map  $\circ'$ :  $\mathrm{Arr} \times \mathrm{Arr} \to \mathrm{Arr}$  such that  $g \circ' f$  is defined if and only if  $g \circ f$  is defined, then axiom (2) allows us to argue that the domain of  $\circ'$  is the same collection as the domain of  $\circ$ .

**Axiom 3** Let A be a collection and B be a collection with equality. Then two maps  $F, G: A \to B$  are equal if and only if F(x) = G(x) for all x in A.

In particular, if  $\mathcal{C} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ)$  is a category and  $\mathrm{dom}' : \mathrm{Arr} \to \mathrm{Ob}$  is another map such that  $\mathrm{dom}'(x) = \mathrm{dom}(x)$  for every object  $x \in \mathcal{C}$ , then  $\mathrm{dom}' = \mathrm{dom}$ . Or if  $\circ' : \mathrm{Arr} \times \mathrm{Arr} \to \mathrm{Arr}$  is another partial map with the same domain as that of  $\circ$  and such that  $g \circ' f = g \circ f$  when defined, then  $\circ' = \circ$ .

Axiom 4 Two tuples with identical entries are equal.

So if  $C = (Ob, Arr, dom, cod, id, \circ)$  and  $C' = (Ob', Arr', dom', cod', id', \circ')$  are two catgeories such that Ob = Ob', Arr = Arr', dom = dom', cod = cod', id = id' and o = o' then we have the equality C = C'.

**Notation 8** If  $f: A \to B$  and  $g: B \to C$  are maps between collections, we denote  $g \circ f$  the map  $g \circ f: A \to C$  defined by  $(g \circ f)(a) = g(f(a))$  for all  $a \in A$ .

We shall need the following criterium to determine if a collection is a set:

**Axiom 5** Let C be a collection for which there exists a set A such that every member of C is an element of A. Then C is itself a set.

**Remark**: We do not attempt to provide a formal proof of axiom (5), in part because we do not have a formal notion of *collection*. However, if our collection  $\mathcal{C}$  is a collection of sets, it is probably specified as a predicate  $\mathcal{C}(x)$  with free variable x (and possibly others), the assumption  $\mathcal{C} \subseteq A$  for some set A, allows us to write  $\mathcal{C} = \{ x \in A \mid \mathcal{C}(x) \}$  and the existence of  $\mathcal{C}$  as a set is guaranteed by the *axiom schema of specification*, which is a common axiom schema of Zermelo-Fraenkel set theory.

**Axiom 6** Let C be a collection of sets for which there exists a injective map  $f: \mathcal{U} \to \mathcal{C}$  defined on the collection of all sets  $\mathcal{U}$ . Then C is not a set.

**Remark**: Heuristically, a proof of axiom (6) goes as follows: Assume  $\mathcal{C}$  is indeed a set. Then the collection  $\{f(x) \mid x \in \mathcal{U}\}$  being a sub-collection of  $\mathcal{C}$  is also a set by virtue of axiom (5). The injectivity of f allows us to define a map  $f^{-1}: \{f(x) \mid x \in \mathcal{U}\} \to \mathcal{U}$ , whose range is the whole of  $\mathcal{U}$ . The axiom schema of substitution, (another common axiom schema of ZF set theory), allows us to conclude that  $\mathcal{U}$  is itself a set, which is a contradiction.

## 1.4 Category of sets

**Definition 3** We call **Set** the category **Set** =  $(Ob, Arr, dom, cod, id, \circ)$  where

- (1)  $Ob = \{ x \mid x \text{ is a set } \}$
- (2) Arr =  $\{(a, b, f) \mid a, b \in Ob, f \text{ is a function } f : a \rightarrow b \}$
- (3) dom(a, b, f) = a
- (4)  $\operatorname{cod}(a, b, f) = b$
- (5) id(a) = (a, a, i(a))
- (6)  $(b, c, g) \circ (a, b, f) = (a, c, g \circ f)$

where (3) – (6) hold for all sets a,b,c and functions  $f:a \to b, g:b \to c$ ,  $i(a):a \to a$  denotes the usual identity function on a, and  $g \circ f$  denotes the usual function composition defined by  $(g \circ f)(x) = g(f(x))$ , for all  $x \in a$ .

The collection of objects of the category **Set** is defined to be the class of all sets. We are using the set comprehension notation  $\{x \mid x \text{ is a set }\}$  to denote this class, but this is an abuse of notation as Ob is not a set but a proper class. One could think of a class as a precicate P(x) of first order logic with one free variable. From this point of view Ob becomes the predicate Ob(x) = T, i.e. the predicate which returns true for all x. Every set satisfies the predicate Ob, so every set is a member of the class Ob. The class Ob is not a set because the set-theoretic statement  $\exists y, \forall z, z \in y \Leftrightarrow Ob(z)$  can be proven false. In other words, there exists no set y whose elements z are exactly the sets which satisfy the predicate Ob. There exists no set which contains all sets.

The collection of arrows of the category **Set** is defined to be the class of triples (a, b, f) where a, b are sets and f is a function  $f: a \to b$ . This last notation is a common set-theoretic shortcut to express the fact that f is a function with domain a and range **which is a subset of** b. A function is any set f whose elements are ordered pairs (x, y) and which is functional, i.e. for which the following implication holds for all sets x, y, y':

$$(x,y) \in f \land (x,y') \in f \Rightarrow y = y'$$

The domain of a function f is the set of all sets x for which there exists a set y with  $(x,y) \in f$ . The range of a function f is the set of all sets y for which there exists a set x with  $(x,y) \in f$ . If x belongs to the domain of a function f, the notation f(x) commonly refers to the unique set y with  $f(x,y) \in f$ .

Now, as already pointed out the notation  $f: a \to b$  only requires that the range of f should be a subset of b. There is no requirement that the range of f should be equal to b. So if  $f: a \to b$  and  $b \subseteq c$  then  $f: a \to c$ . This explains why the collection of arrows Arr is defined as a class of triples (a, b, f) rather than a class of functions f. Knowing the function f does not tell you which codomain it should have. Any set b which is a superset of its range is a possible codomain. So we keep the set b together with the function f in the triple (a, b, f) so as to remember which codomain is intended for this particular arrow of the

category **Set**. Incidentally, we also keep the range a of the function f in the triple (a, b, f) but this is not necessary, as the knowledge of f does allow us to recover its domain a. However, the triple (a, b, f) is convenient, allowing us to treat *domain* and *codomain* uniformly. In fact, it is worth pausing for a second and emphasize the difference between f and (a, b, f):

**Definition 4** Given a function  $f: a \to b$  between two sets a and b we say that f is the untyped function while the triple (a, b, f) is called the typed function.

Once again, it should be remembered that the collection of arrows Arr is not a set but a proper class, corresponding to the predicate Arr(x):

$$Arr(x) = \exists a \, \exists b \, \exists f, \ x = (a, b, f) \land f : a \rightarrow b$$

Informally, this predicates expresses the fact that x is a typed function. The maps dom: Arr  $\rightarrow$  Ob and cod: Arr  $\rightarrow$  Ob for the category **Set** are defined respectively by dom(a,b,f)=a and cod(a,b,f)=b. This looks simple enough, but for those who worry about foundational issues, we should just note that these are also proper classes which can be encoded as predicates. For example:

$$dom(x) = \exists u \,\exists v \,, \ x = (u, v) \,\land \, \operatorname{Arr}(u) \,\land \, (\, \exists a \,\exists b \,\exists f \,, \ u = (a, b, f) \,\land \, v = a \,\,)$$

In other words, any set x satisfies the predicate dom(x) if and only if it is an ordered pair (u, v) where u satisfies the predicate Arr(u) and for which there exist sets a, b, f with u = (a, b, f) and v = a. In short, (u, v) satisfies the predicate dom if and only if u is an arrow u = (a, b, f) and v = a.

We defined the identity operator id by  $\mathrm{id}(a)=(a,a,i(a))$  and the composition operator  $\circ$  by  $(b,c,g)\circ(a,b,f)=(a,c,g\circ f)$  where  $g\circ f$  is the usual function composition and  $i(a):a\to a$  is the usual identity function. As before, these defined maps are not functional sets of ordered pairs but rather proper classes which we could also encode as precicates of first order logic. One important point to note is the fact that (6) of definition (3) only defines the composition arrow  $(b,c,g)\circ(a,b,f)$  where  $f:a\to b$  and  $g:b\to c$ . In other words, the composition  $(d,c,g)\circ(a,b,f)$  with  $f:a\to b$  and  $g:d\to c$  is only defined when b=d. Furthermore, the usual function composition  $g\circ f$  is a function  $g\circ f:a\to c$  which from (2) of definition (3) means that the composed arrow  $(b,c,g)\circ(a,b,f)=(a,c,g\circ f)$  is indeed a member of the collection Arr, and the partial map  $\circ$  thus defined is indeed a partial map  $\circ$ : Arr  $\times$  Arr

**Proposition 2** The category **Set** of definition (3) is a category.

### Proof

Now that we have defined the data (Ob, Arr, dom, cod, id,  $\circ$ ) of the category **Set**, it is time to check this data actually forms a category. We need to check that conditions (7) - (13) of definition (2) are satisfied.

(7): suppose  $f^*$  and  $g^*$  are two members of the collection Arr. We need to check that  $g^* \circ f^*$  is defined if and only if  $\operatorname{cod}(f^*) = \operatorname{dom}(g^*)$ . Using (2) of definition (3),  $f^*$  can be written  $f^* = (a, b, f)$  for some function  $f : a \to b$  and

 $g^*$  can be written  $g^* = (d, c, g)$  for some function  $g: d \to c$ . However, from (6) of definition (3), the arrrow  $(d, c, g) \circ (a, b, f)$  is only defined in the case when b = d. Furthermore, from (4) of definition (3) we have  $\operatorname{cod}(f^*) = b$  and from (3) of definition (3) we have  $\operatorname{dom}(g^*) = d$ . We conclude that  $g^* \circ f^*$  is defined if and only if  $\operatorname{cod}(f^*) = \operatorname{dom}(g^*)$  as required.

(8): Let  $f^*, g^* \in \text{Arr}$  such that  $\text{cod}(f^*) = \text{dom}(g^*)$ . We need to show that  $\text{dom}(g^* \circ f^*) = \text{dom}(f^*)$ . As before,  $f^*$  and  $g^*$  can be written as  $f^* = (a, b, f)$  and  $g^* = (b, c, g)$  where  $f : a \to b$  and  $g : b \to c$ . We have  $g^* \circ f^* = (a, c, g \circ f)$ . Using (3) of definition (3) we obtain  $\text{dom}(g^* \circ f^*) = a = \text{dom}(f^*)$ .

(9): Let  $f^*, g^* \in \text{Arr}$  such that  $\operatorname{cod}(f^*) = \operatorname{dom}(g^*)$ . We need to show that  $\operatorname{cod}(g^* \circ f^*) = \operatorname{cod}(g^*)$ . As before, we have  $g^* \circ f^* = (a, c, g \circ f)$  and  $g^* = (b, c, g)$ . Using (4) of definition (3) we obtain  $\operatorname{cod}(g^* \circ f^*) = c = \operatorname{cod}(g^*)$ .

(10): Let  $f^*, g^*, h^* \in \text{Arr}$  with  $\text{cod}(f^*) = \text{dom}(g^*)$  and  $\text{cod}(g^*) = \text{dom}(h^*)$ . We need to show the equality:  $(h^* \circ g^*) \circ f^* = h^* \circ (g^* \circ f^*)$ . However,  $f^*, g^*, h^*$  can be decomposed as  $f^* = (a, b, f), g^* = (b, c, g)$  and  $h^* = (c, d, h)$  with  $f: a \to b, g: b \to c$ , and  $h: c \to d$ . We have:

$$(h^* \circ g^*) \circ f^* = ((c, d, h) \circ (b, c, g)) \circ (a, b, f)$$

$$(6) \text{ of Def } (3) \to = (b, d, h \circ g) \circ (a, b, f)$$

$$(6) \text{ of Def } (3) \to = (a, d, (h \circ g) \circ f)$$
assoc of usual composition  $\to = (a, d, h \circ (g \circ f))$ 

$$(6) \text{ of Def } (3) \to = (c, d, h) \circ (a, c, g \circ f)$$

$$(6) \text{ of Def } (3) \to = (c, d, h) \circ ((b, c, g) \circ (a, b, f))$$

$$= h^* \circ (g^* \circ f^*)$$

(11): Let a be a set. We need to show that dom(id(a)) = a = cod(id(a)). This follows immediately from id(a) = (a, a, i(a)) which is (5) of definition (3).

(12): Let  $f^* = (a, b, f)$  be an arrow with  $dom(f^*) = a$ . We need to show that  $f^* \circ id(a) = f^*$ , which follows from:

$$f^* \circ \mathrm{id}(a) = (a,b,f) \circ \mathrm{id}(a)$$

$$(5) \text{ of Def } (3) \to = (a,b,f) \circ (a,a,i(a))$$

$$(6) \text{ of Def } (3) \to = (a,b,f \circ i(a))$$
usual right-identity  $\to = (a,b,f)$ 

$$= f^*$$

(13): Let  $f^* = (b, a, f)$  be an arrow with  $cod(f^*) = a$ . We need to show that  $id(a) \circ f^* = f^*$ , which follows from:

$$\operatorname{id}(a) \circ f^* = \operatorname{id}(a) \circ (b, a, f)$$

$$(5) \text{ of Def } (3) \to = (a, a, i(a)) \circ (b, a, f)$$

$$(6) \text{ of Def } (3) \to = (b, a, i(a) \circ f)$$

$$\operatorname{usual left-identity} \to = (b, a, f)$$

$$= f^*$$

This completes our proof of properties (7) - (13).  $\diamond$ 

**Notation 9** We shall often refer to an arrow (a,b,f) of the category **Set** i.e. a typed function, simply as its untyped counterpart f. The context should make it clear that f actually refers to a typed function.

**Remark** So on top of its usual set-theoretic meaning for untyped functions, the notation  $f: a \to b$  may also have its categorical meaning for typed functions, expressing the fact that f is an arrow of the category **Set** with domain a and codomain b, i.e. that f is really the typed function (a, b, f).

Whenever  $f: a \to b$  is an arrow of the category **Set** and  $x \in a$ , the notation f(x) is not strictly speaking meaningful since f is not a function but a typed function, i.e a tuple (a, b, f). However, it is natural enough to set:

**Notation 10** If  $f: a \to b$  is an arrow of the category **Set**, for all  $x \in a$  we shall write f(x) as a shortcut for f(x) where f is the underlying untyped function.

Functions in set theory are just sets, and equality between functions is simply the standard equality between sets. As it turns out, if f and g are two functions with the same domain a, then the set equality f = g is equivalent to the *extensional* equality  $\forall x \in a$ , f(x) = g(x):

**Proposition 3** Let f, g be two functions with identical domain a. We have:

$$f = g \iff \forall x \in a , f(x) = g(x)$$

## **Proof**

 $(\Rightarrow)$ : We assume that f=g and  $x\in a$ . We need to show that f(x)=g(x). However f(x) is defined as the unique set y such that  $(x,y)\in f$ , while g(x) is the unique set y such that  $(x,y)\in g$ . Having assumed that f=g, both f(x) and g(x) are the unique set y such that  $(x,y)\in f$ . So we must have f(x)=g(x) by uniqueness.

(⇐): We assume that f(x) = g(x) for all  $x \in a$ . We need to show that f = g. Hence we need to show that  $f \subseteq g$  and  $g \subseteq f$ . By symmetry, we can focus on proving  $f \subseteq g$  as the same proof will carry over for  $g \subseteq f$ . So suppose  $z \in f$ . We need to show that  $z \in g$ . Having assumed that f is a function, the element z must be an ordered pair z = (x, y). Hence we have  $(x, y) \in f$ . Having assumed that the domain of f is a, this shows that  $x \in a$ . Furthermore, from  $(x, y) \in f$  we obtain f(x) = y. Hence, by assumption we obtain g(x) = y. However g(x) is the unique set y' with  $(x, y') \in g$ . Hence, we have  $(x, y) \in g$  and finally  $z \in g$ . ♦

An important question which will invariably arise is deciding when two arrows of the category **Set** are equal. Although we have defined an arrow to be a typed function (a, b, f), as indicated in notation (9) we will often refer to such arrow simply as f. However, we should not forget that the equality f = g between underlying untyped functions is not enough for two arrows (a, b, f) and (c, d, g) to be equal. The equality between untyped functions will ensure that they have the same domain and the same range, but it does not tell us anything about the intended codomains of their respective typed functions.

**Proposition 4** If two functions f, g are equal, they have the same domain.

## Proof

We assume f,g are functions and f=g. We need to show f and g have the same domain. By symmetry, it is sufficient to show that the domain of f is a subset of that of g. So let x be an element of the domain of f. There exists some y with  $(x,y) \in f$ . From f=g we obtain  $(x,y) \in g$  and consequently x is also an element of the domain of g.  $\diamond$ 

**Proposition 5** If two functions f, g are equal, they have the same range.

### Proof

We assume f,g are functions and f=g. We need to show f and g have the same range. By symmetry, it is sufficient to show that the range of f is a subset of that of g. So let g be an element of the range of g. There exists some g with g with g and consequently g is also an element of the range of g.  $\diamond$ 

**Proposition 6** Let  $f: a \to b$  and  $g: c \to d$  be two arrows of the category **Set**. Then f = g if and only if a = c, b = d and f(x) = g(x) for all  $x \in a$ .

#### Proof

Let  $f^*: a \to b$  and  $g^*: c \to d$ , that is  $f^* = (a, b, f)$  (for some f) and  $g^* = (c, d, g)$ (for some g) be two arrows of the category **Set**. We call these arrows  $f^*$  and  $q^*$  in this proof so as to be very precise on the distinction between an arrow (a typed function) and its underlying function (an untyped function). Looking at definition (3), f and q are functions  $f: a \to b$  and  $q: c \to d$ . So the domain of f is the set a while the domain of g is the set c, and the range of f is a subset of bwhile the range of g is a subset of d. First we assume that  $f^* = g^*$ . Then we have the equality between triples (a, b, f) = (c, d, g). Hence we obtain immediately a = c and b = d as requested. However, we also obtain the equality between underlying functions f = g. Using proposition (3), we see that f(x) = g(x) for all  $x \in a$ . By virtue of notation (10),  $f^*(x)$  and  $g^*(x)$  are notational shortcuts for f(x) and g(x) respectively. Hence we have  $f^*(x) = g^*(x)$  for all  $x \in a$  as requested. We now assume that a = c, b = d and  $f^*(x) = g^*(x)$  for all  $x \in a$ . We need to show that  $f^* = g^*$ , or in other words (a, b, f) = (c, d, g). Hence it remains to show that f = g. This follows from proposition (3) and the fact that f(x) = g(x) for all  $x \in a$ .  $\diamond$ 

Proposition 7 The category Set is not small.

### Proof

Assume **Set** is a small category. Then **Set** = (Ob, Arr, dom, cod, id,  $\circ$ ) where the entries of the tuple satisfy conditions (1) – (13) of definition (1). In particular Ob is a set. Using axiom (4), from the equality **Set** = (Ob, Arr, dom, cod, id,  $\circ$ ) we obtain in particular Ob **Set** = Ob. This is not a standard equality between sets since Ob **Set** was defined in (3) as the collection  $\{x \mid x \text{ is a set }\}$  and not

as a set. However, it is an equality between collections and using axiom (2), it follows that the set Ob and the collection  $\{x \mid x \text{ is a set }\}$  have identical members. So we have found a set Ob whose members are all possible sets. This is a contradiction as no such set exists.  $\diamond$ 

**Remark**: These notes do not assume any specific formal foundations and the proof of proposition (7) is therefore standing on shaky grounds. However, we feel some form of formal reasoning is better than nothing at all.

## 1.5 Opposite category

**Definition 5** Let  $C = (Ob, Arr, dom, cod, id, \circ)$  be a category. We call opposite category of C, the category denoted  $C^{op}$  and defined by:

$$\mathcal{C}^{op} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{cod}, \mathrm{dom}, \mathrm{id}, \circ')$$

where the composition operator  $\circ'$  is defined by  $f \circ' g = g \circ f$ , for all  $f, g \in Arr$ .

So if  $\mathcal{C} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ)$  is a category, the opposite category  $\mathcal{C}^{op}$  is almost identical, except for the composition operator  $\circ'$  which is a flipped version of  $\circ$ , and for dom and cod which have been swapped with each other. The collection of objects of  $\mathcal{C}^{op}$  is the same as that of  $\mathcal{C}$ , giving us the equality  $\mathrm{Ob}\ \mathcal{C}^{op} = \mathrm{Ob}\ \mathcal{C}$ . Likewise, the collection of arrows of  $\mathcal{C}^{op}$  is the same as that of  $\mathcal{C}$ , giving us this other equality  $\mathrm{Arr}\ \mathcal{C}^{op} = \mathrm{Arr}\ \mathcal{C}$ . If we denote  $\mathrm{dom}': \mathrm{Arr} \to \mathrm{Ob}$  and  $\mathrm{cod}': \mathrm{Arr} \to \mathrm{Ob}$  the domain and codomain maps on  $\mathcal{C}^{op}$ , then  $\mathrm{dom}' = \mathrm{cod}$  and  $\mathrm{cod}' = \mathrm{dom}$ . The identity operator id :  $\mathrm{Ob} \to \mathrm{Arr}$  is the same for both  $\mathcal{C}$  and  $\mathcal{C}^{op}$ , and the composition arrow  $f \circ' g$  in  $\mathcal{C}^{op}$  is defined whenever the composition arrow  $g \circ f$  in  $\mathcal{C}$  is defined, and we have  $f \circ' g = g \circ f$ .

**Proposition 8** Let C be a category. Then  $C^{op}$  of definition (5) is a category.

### Proof

We need to check that the data  $\mathcal{C}^{op} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{cod}, \mathrm{dom}, \mathrm{id}, \circ')$  of definition (5) forms a category, having assumed that the underlying data for  $\mathcal{C}$  does. We have indeed two collections  $\mathrm{Ob}$  and  $\mathrm{Arr}$  with maps between them  $\mathrm{cod} : \mathrm{Arr} \to \mathrm{Ob}$ ,  $\mathrm{dom} : \mathrm{Arr} \to \mathrm{Ob}$ ,  $\mathrm{id} : \mathrm{Ob} \to \mathrm{Arr}$  and partial map  $\circ' : \mathrm{Arr} \times \mathrm{Arr} \to \mathrm{Arr}$ . So it remains to show that conditions (7) - (13) of definition (2) are satisfied. For the purpose of this proof, we shall denote  $\mathrm{dom}' = \mathrm{cod}$  and  $\mathrm{cod}' = \mathrm{dom}$ .

- (7): We need to check that  $f \circ' g$  is defined if and only if  $\operatorname{cod}'(g) = \operatorname{dom}'(f)$  which is  $\operatorname{dom}(g) = \operatorname{cod}(f)$ . However by definition, we have set  $f \circ' g$  to be defined whenever  $g \circ f$  is itself defined, and since  $\mathcal C$  is a category, this is in turn equivalent to  $\operatorname{cod}(f) = \operatorname{dom}(g)$ . Hence, we are done.
- (8): We need to check that  $\operatorname{dom}'(f \circ' g) = \operatorname{dom}'(g)$  which can be written as  $\operatorname{cod}(g \circ f) = \operatorname{cod}(g)$  and which is true since  $\mathcal{C}$  is a category.
- (9): We need to check that  $\operatorname{cod}'(f \circ' g) = \operatorname{cod}'(f)$  which can be written as  $\operatorname{dom}(g \circ f) = \operatorname{dom}(f)$  and which is true since  $\mathcal{C}$  is a category.
- (10): Given arrows h, g, f with  $\operatorname{cod}'(h) = \operatorname{dom}'(g)$  and  $\operatorname{cod}'(g) = \operatorname{dom}'(f)$ , we need to check that  $(f \circ' g) \circ' h = f \circ' (g \circ' h)$ . However, our assumption can

be written as dom(h) = cod(g) and dom(g) = cod(f) and having assumed that  $\mathcal{C}$  is a category, by property (10) of definition (2) we have:

$$(f \circ' g) \circ' h = h \circ (f \circ' g)$$

$$= h \circ (g \circ f)$$

$$\mathcal{C} \text{ is a category} \to = (h \circ g) \circ f$$

$$= f \circ' (h \circ g)$$

$$= f \circ' (g \circ' h)$$

- (11): We need to check that  $\operatorname{dom}'(\operatorname{id}(a)) = a = \operatorname{cod}'(\operatorname{id}(a))$  for all  $a \in \mathcal{C}$ , which follows from  $\operatorname{dom}' = \operatorname{cod}$ ,  $\operatorname{cod}' = \operatorname{dom}$  and the fact that  $\mathcal{C}$  is a category.
- (12): We need to check that  $f \circ' \operatorname{id}(a) = f$  whenever  $\operatorname{dom}'(f) = a$ , that is  $\operatorname{id}(a) \circ f = f$  whenever  $\operatorname{cod}(f) = a$ , which follows from  $\mathcal{C}$  being a category.
- (13): We need to check that  $id(a) \circ' f = f$  whenever cod'(f) = a, that is  $f \circ id(a) = f$  whenever dom(f) = a, which follows from  $\mathcal{C}$  being a category. This completes our proof of properties (7) (13).  $\diamond$

**Proposition 9** Let C be a category. Then the opposite category of  $C^{op}$  is C, i.e.

$$(\mathcal{C}^{op})^{op} = \mathcal{C}$$

### Proof

Let  $C = (Ob, Arr, dom, cod, id, \circ)$  be a category. From definition (5), we have  $C^{op} = (Ob, Arr, cod, dom, id, \circ')$  and consequently:

$$(\mathcal{C}^{op})^{op} = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ'')$$

In order to show that  $(\mathcal{C}^{op})^{op} = \mathcal{C}$ , by virtue of axiom (4) we simply need to show that the partial maps  $\circ, \circ'' : \operatorname{Arr} \times \operatorname{Arr} \to \operatorname{Arr}$  are equal. Given two arrows f and g, the composition arrow  $g \circ'' f$  is defined if and only if  $f \circ' g$  is defined, which is itself equivalent to  $g \circ f$  being defined. By virtue of axiom (2), both  $\circ$  and  $\circ''$  are therefore defined on the same collection of arrow tuples (g, f). Furthemore, whenever  $g \circ f$  is defined, we have  $g \circ'' f = f \circ' g = g \circ f$ . Using axiom (3) we conclude that  $\circ'' = \circ$  as requested.  $\diamond$ 

## 1.6 Canonical product of categories

**Definition 6** We call canonical product of categories  $C_1$  and  $C_2$  the category denoted  $C_1 \times C_2$  and defined by  $C_1 \times C_2 = (Ob, Arr, dom, cod, id, \circ)$  where:

- (1) Ob = {  $(x_1, x_2) | x_1 \in Ob C_1, x_2 \in Ob C_2$  }
- (2)  $\operatorname{Arr} = \{ (f_1, f_2) \mid f_1 \in \operatorname{Arr} C_1, f_2 \in \operatorname{Arr} C_2 \}$
- (3)  $dom(f_1, f_2) = (dom(f_1), dom(f_2))$
- (4)  $\operatorname{cod}(f_1, f_2) = (\operatorname{cod}(f_1), \operatorname{cod}(f_2))$
- (5)  $id(x_1, x_2) = (id(x_1), id(x_2))$
- (6)  $(g_1, g_2) \circ (f_1, f_2) = (g_1 \circ f_1, g_2 \circ f_2)$

where (3) and (4) hold for all  $f_1 \in \operatorname{Arr} \mathcal{C}_1$  and  $f_2 \in \operatorname{Arr} \mathcal{C}_2$ , (5) holds for all  $x_1 \in \operatorname{Ob} \mathcal{C}_1$  and  $x_2 \in \operatorname{Ob} \mathcal{C}_2$ , and (6) holds for all  $f_1, g_1 \in \operatorname{Arr} \mathcal{C}_1$  and  $f_2, g_2 \in \operatorname{Arr} \mathcal{C}_2$  for which  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined.

So if  $C_1$  and  $C_2$  are two categories, the objects of  $C_1 \times C_2$  are the collection of all tuples  $(x_1, x_2)$  where  $x_1$  is an object of  $C_1$  and  $x_2$  is an object of  $C_2$ . The set comprehension notation  $\{ (x_1, x_2) \mid x_1 \in \text{Ob } C_1 , x_2 \in \text{Ob } C_2 \}$  is of course an abuse of notation as it does not in general represent a set but a collection. We could also have denoted this collection Ob  $C_1 \times \text{Ob } C_2$  using a cartesian product notation, keeping in mind that this is a product of two collections.

Similarly, the arrows of  $C_1 \times C_2$  are the collection of all tuples  $(f_1, f_2)$  where  $f_1$  is an arrow of  $C_1$  and  $f_2$  is an arrow of  $C_2$ , a collection which could reasonably be denoted Arr  $C_1 \times$  Arr  $C_2$  instead of the set-comprehension notation.

It should be clear from definition (6) that the notations dom, cod, id and  $\circ$  are overloaded, referring either to  $\mathcal{C}_1$ ,  $\mathcal{C}_2$  or  $\mathcal{C}_1 \times \mathcal{C}_2$ . Given our definitions of Ob  $(\mathcal{C}_1 \times \mathcal{C}_2)$  and Arr  $(\mathcal{C}_1 \times \mathcal{C}_2)$ , given that we have dom: Arr  $\mathcal{C}_1 \to \operatorname{Ob} \mathcal{C}_1$  and dom: Arr  $\mathcal{C}_2 \to \operatorname{Ob} \mathcal{C}_2$  it should be clear that (3) of definition (6) defines a map dom: Arr  $(\mathcal{C}_1 \times \mathcal{C}_2) \to \operatorname{Ob} (\mathcal{C}_1 \times \mathcal{C}_2)$ , and cod: Arr  $(\mathcal{C}_1 \times \mathcal{C}_2) \to \operatorname{Ob} (\mathcal{C}_1 \times \mathcal{C}_2)$  follows from (4). Furthermore from id: Ob  $\mathcal{C}_1 \to \operatorname{Arr} \mathcal{C}_1$  and id: Ob  $\mathcal{C}_2 \to \operatorname{Arr} \mathcal{C}_2$  we obtain id: Ob  $(\mathcal{C}_1 \times \mathcal{C}_2) \to \operatorname{Arr} (\mathcal{C}_1 \times \mathcal{C}_2)$  using (5). Finally using (6), given the partial maps  $\circ$ : Arr  $\mathcal{C}_1 \times \operatorname{Arr} \mathcal{C}_1 \to \operatorname{Arr} \mathcal{C}_1$  and  $\circ$ : Arr  $\mathcal{C}_2 \times \operatorname{Arr} \mathcal{C}_2 \to \operatorname{Arr} \mathcal{C}_2$  we obtain a partial map  $\circ$ : Arr  $(\mathcal{C}_1 \times \mathcal{C}_2) \to \operatorname{Arr} (\mathcal{C}_1 \times \mathcal{C}_2) \to \operatorname{Arr} \mathcal{C}_1 \times \mathcal{C}_2$ .

**Proposition 10** The canonical product  $C_1 \times C_2$  of definition (6) is a category.

### Proof

We need to check that the data  $C_1 \times C_2 = (\text{Ob, Arr, dom, cod, id, }\circ)$  of definition (6) forms a category, having assumed  $C_1$  and  $C_2$  are categories. We have established that Ob and Arr are collections, that dom, cod, id are maps with the appropriate signatures and  $\circ$  is a partial map with the appropriate signature. It remains to check properties (7) - (13) of definition (2).

(7): Let  $f,g \in \operatorname{Arr}(\mathcal{C}_1 \times \mathcal{C}_2)$ . We need to show that  $g \circ f$  is defined if and only if  $\operatorname{cod}(f) = \operatorname{dom}(g)$ . Let  $f_1, g_1 \in \operatorname{Arr} \mathcal{C}_1$  and  $f_2, g_2 \in \operatorname{Arr} \mathcal{C}_2$  such that  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$ . Then  $\operatorname{cod}(f) = (\operatorname{cod}(f_1), \operatorname{cod}(f_2))$  and  $\operatorname{dom}(g) = (\operatorname{dom}(g_1), \operatorname{dom}(g_2))$ . So we need to show that  $g \circ f$  is defined if and only if  $\operatorname{cod}(f_1) = \operatorname{dom}(g_1)$  and  $\operatorname{cod}(f_2) = \operatorname{dom}(g_2)$ . However since  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are categories,  $\operatorname{cod}(f_1) = \operatorname{dom}(g_1)$  is equivalent to  $g_1 \circ f_1$  being defined, and  $\operatorname{cod}(f_2) = \operatorname{dom}(g_2)$  is equivalent to  $g_2 \circ f_2$  being defined. So we need to show that  $g \circ f$  is defined if and only if both  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined which follows exactly from definition (6).

(8): Let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  be arrows in  $\mathcal{C}_1 \times \mathcal{C}_2$  with the equality  $\operatorname{cod}(f) = \operatorname{dom}(g)$ , i.e. for which  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined. We need to check the equality  $\operatorname{dom}(g \circ f) = \operatorname{dom}(f)$  which goes as follows:

$$dom(g \circ f) = dom((g_1, g_2) \circ (f_1, f_2))$$
(6) of def. (6)  $\rightarrow$  = dom(( $g_1 \circ f_1, g_2 \circ f_2$ ))

(3) of def. (6) 
$$\rightarrow$$
 =  $(\text{dom}(g_1 \circ f_1), \text{dom}(g_2 \circ f_2))$   
 $C_1, C_2$  categories, (8) of def. (2)  $\rightarrow$  =  $(\text{dom}(f_1), \text{dom}(f_2))$   
(3) of def. (6)  $\rightarrow$  =  $\text{dom}(f_1, f_2)$   
=  $\text{dom}(f)$ 

(9): Let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  be arrows in  $\mathcal{C}_1 \times \mathcal{C}_2$  with the equality  $\operatorname{cod}(f) = \operatorname{dom}(g)$ , i.e. for which  $g_1 \circ f_1$  and  $g_2 \circ f_2$  are defined. We need to check the equality  $\operatorname{cod}(g \circ f) = \operatorname{cod}(g)$  which goes as follows:

$$cod(g \circ f) = cod((g_1, g_2) \circ (f_1, f_2))$$
(6) of def. (6)  $\to = cod((g_1 \circ f_1, g_2 \circ f_2))$ 
(4) of def. (6)  $\to = (cod(g_1 \circ f_1), cod(g_2 \circ f_2))$ 

$$C_1, C_2 \text{ categories, (9) of def. (2)} \to = (cod(g_1), cod(g_2))$$
(4) of def. (6)  $\to = cod(g_1, g_2)$ 

$$= cod(g)$$

(10): Let  $f = (f_1, f_2)$ ,  $g = (g_1, g_2)$  and  $h = (h_1, h_2)$  be arrows in  $\mathcal{C}_1 \times \mathcal{C}_2$  with the equalities  $\operatorname{cod}(f) = \operatorname{dom}(g)$  and  $\operatorname{cod}(g) = \operatorname{dom}(h)$ , i.e. for which the composition arrows  $g_1 \circ f_1$ ,  $g_2 \circ f_2$ ,  $h_1 \circ g_1$  and  $h_2 \circ g_2$  are defined. We need to check the equality  $(h \circ g) \circ f = h \circ (g \circ f)$  which goes as follows:

$$(h \circ g) \circ f = ((h_1, h_2) \circ (g_1, g_2)) \circ (f_1, f_2)$$

$$(6) \text{ of def. } (6) \to = (h_1 \circ g_1, h_2 \circ g_2) \circ (f_1, f_2)$$

$$(6) \text{ of def. } (6) \to = ((h_1 \circ g_1) \circ f_1, (h_2 \circ g_2) \circ f_2)$$

$$C_1, C_2 \text{ categories, } (10) \text{ of def. } (2) \to = (h_1 \circ (g_1 \circ f_1), h_2 \circ (g_2 \circ f_2))$$

$$(6) \text{ of def. } (6) \to = (h_1, h_2) \circ ((g_1 \circ f_1), (g_2 \circ f_2))$$

$$(6) \text{ of def. } (6) \to = (h_1, h_2) \circ ((g_1, g_2) \circ (f_1, f_2))$$

$$= h \circ (g \circ f)$$

(11): Let  $a = (a_1, a_2)$  be an object in  $C_1 \times C_2$ . We need to check that dom(id(a)) = a = cod(id(a)) which goes as follows:

$$dom(id(a)) = dom(id(a_1, a_2))$$
(5) of def. (6)  $\rightarrow$  =  $dom(id(a_1), id(a_2))$ 
(3) of def. (6)  $\rightarrow$  =  $(dom(id(a_1)), dom(id(a_2)))$ 

$$C_1, C_2 \text{ categories, (11) of def. (2)} \rightarrow$$
 =  $(a_1, a_2)$ 
=  $a$ 

$$cod(id(a)) = cod(id(a_1, a_2))$$
(5) of def. (6)  $\rightarrow$  =  $cod(id(a_1), id(a_2))$ 
(4) of def. (6)  $\rightarrow$  =  $(cod(id(a_1)), cod(id(a_2)))$ 

$$C_1, C_2 \text{ categories, (11) of def. (2)} \rightarrow$$
 =  $(a_1, a_2)$ 

(12): Let  $f = (f_1, f_2)$  be an arrow and  $a = (a_1, a_2)$  be an object in  $\mathcal{C}_1 \times \mathcal{C}_2$  such that dom(f) = a. We need to show that  $f \circ \text{id}(a) = f$  which goes as follows: Using (3) of definition (6) and the condition dom(f) = a we obtain the equation  $(\text{dom}(f_1), \text{dom}(f_2)) = (a_1, a_2)$ . Hence, we have:

$$f \circ \mathrm{id}(a) = (f_1, f_2) \circ \mathrm{id}(a_1, a_2)$$

$$(5) \text{ of def. } (6) \to = (f_1, f_2) \circ (\mathrm{id}(a_1), \mathrm{id}(a_2))$$

$$(6) \text{ of def. } (6) \to = (f_1 \circ \mathrm{id}(a_1), f_2 \circ \mathrm{id}(a_2))$$

$$C_1 \text{ category, } \mathrm{dom}(f_1) = a_1 \to = (f_1, f_2 \circ \mathrm{id}(a_2))$$

$$C_2 \text{ category, } \mathrm{dom}(f_2) = a_2 \to = (f_1, f_2)$$

$$= f$$

(13): Let  $f = (f_1, f_2)$  be an arrow and  $a = (a_1, a_2)$  be an object in  $\mathcal{C}_1 \times \mathcal{C}_2$  such that  $\operatorname{cod}(f) = a$ . We need to show that  $\operatorname{id}(a) \circ f = f$  which goes as follows: Using (4) of definition (6) and the condition  $\operatorname{cod}(f) = a$  we obtain the equation  $(\operatorname{cod}(f_1), \operatorname{cod}(f_2)) = (a_1, a_2)$ . Hence, we have:

This completes our proof of properties (7) - (13).  $\diamond$ 

## 1.7 Hom-sets of a category

**Definition 7** Let C be a category and  $a, b \in C$ . We call hom-set of C associated with the ordered pair (a, b) the collection denoted C(a, b) and defined as:

$$C(a,b) = \{ f \in Arr C \mid f : a \to b \}$$

In other words the collection C(a, b) is the collection of all arrows f in C such that dom(f) = a and cod(f) = b. Note that despite being called a 'hom-set', the collection C(a, b) is generally not a set but an arbitary collection.

**Proposition 11** Let C be a category and  $a, b \in C$ . Then  $C^{op}(a, b) = C(b, a)$ .

## Proof

Denoting dom' = cod and cod' = dom we have:

$$\mathcal{C}^{op}(a,b) = \{ f \in \operatorname{Arr} \mathcal{C}^{op} \mid f : a \to b @ \mathcal{C}^{op} \}$$

$$\operatorname{def.} (5) \to = \{ f \in \operatorname{Arr} \mathcal{C} \mid f : a \to b @ \mathcal{C}^{op} \}$$

$$\operatorname{def.}(5) \to = \{ f \in \operatorname{Arr} \mathcal{C} \mid \operatorname{dom}'(f) = a , \operatorname{cod}'(f) = b \}$$

$$= \{ f \in \operatorname{Arr} \mathcal{C} \mid \operatorname{cod}(f) = a , \operatorname{dom}(f) = b \}$$

$$= \{ f \in \operatorname{Arr} \mathcal{C} \mid f : b \to a @ \mathcal{C} \}$$

$$= \mathcal{C}(b, a)$$

 $\Diamond$ 

**Proposition 12** Let  $C_1$ ,  $C_2$  be two categories and  $a, b \in C_1 \times C_2$ . Then:

$$C_1 \times C_2(a, b) = C_1(a_1, b_1) \times C_2(a_2, b_2)$$

where it is understood that  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$ .

## Proof

Let  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$  be objects in the product category  $C_1 \times C_2$ :

$$\begin{array}{rclcrcl} \mathcal{C}_{1} \times \mathcal{C}_{2} \left( a, b \right) & = & \mathcal{C}_{1} \times \mathcal{C}_{2} \left[ \left( a_{1}, a_{2} \right), \left( b_{1}, b_{2} \right) \right] \\ \mathrm{def.} \; (7) \to & = & \left\{ \; f \in \mathrm{Arr} \; \left( \mathcal{C}_{1} \times \mathcal{C}_{2} \right) \; \middle| \; f : \left( a_{1}, a_{2} \right) \to \left( b_{1}, b_{2} \right) \; \right\} \\ \mathrm{def.} \; (6) \to & = & \left\{ \; \left( f_{1}, f_{2} \right) \; \middle| \; f_{1} \in \mathrm{Arr} \; \mathcal{C}_{1} \; , \; f_{2} \in \mathrm{Arr} \; \mathcal{C}_{2} \right. \\ & \left. \; \; \left( f_{1}, f_{2} \right) \; \middle| \; f_{1} \in \mathrm{Arr} \; \mathcal{C}_{1} \; , \; f_{2} \in \mathrm{Arr} \; \mathcal{C}_{2} \right. \\ & \left. \; \; \left( dom(f_{1}, f_{2}) = \left( a_{1}, a_{2} \right) \right. \\ & \left. \; \; \left( dom(f_{1}, f_{2}) = \left( b_{1}, b_{2} \right) \; \right. \right\} \\ (3) \; \mathrm{and} \; (4) \; \mathrm{of} \; \mathrm{def.} \; (6) \to & = & \left\{ \; \left( f_{1}, f_{2} \right) \; \middle| \; f_{1} \in \mathrm{Arr} \; \mathcal{C}_{1} \; , \; f_{2} \in \mathrm{Arr} \; \mathcal{C}_{2} \right. \\ & \left. \; \; \left( dom(f_{1}) \; , \, dom(f_{2}) \; \right) = \left( a_{1}, a_{2} \right) \right. \\ & \left. \; \; \left( cod(f_{1}) \; , \, cod(f_{2}) \; \right) = \left( b_{1}, b_{2} \right) \; \right\} \\ & = & \left\{ \; \left( f_{1}, f_{2} \right) \; \middle| \; f_{1} \in \mathrm{Arr} \; \mathcal{C}_{1} \; , \; f_{2} \in \mathrm{Arr} \; \mathcal{C}_{2} \right. \\ & \left. \; \; f_{1} : a_{1} \to b_{1} \; , \; f_{2} : a_{2} \to b_{2} \; \right\} \\ & \mathrm{product} \; \mathrm{of} \; \mathrm{collections} \to & = & \left\{ \; f_{1} \in \mathrm{Arr} \; \mathcal{C}_{1} \; \middle| \; f_{1} : a_{1} \to b_{1} \; \right\} \\ & \times \; \left\{ \; f_{2} \in \mathrm{Arr} \; \mathcal{C}_{2} \; \middle| \; f_{2} : a_{2} \to b_{2} \; \right\} \\ & \mathrm{def.} \; (7) \to & = \; \mathcal{C}_{1}(a_{1}, b_{1}) \times \mathcal{C}_{2}(a_{2}, b_{2}) \end{array}$$

 $\Diamond$ 

**Proposition 13** For all sets a, b, the hom-set  $\mathbf{Set}(a, b)$  is given by:

$$\mathbf{Set}(a,b) = \{ (a,b,f) \mid f \text{ is a function } f : a \to b \}$$

Proof

$$\mathbf{Set}(a,b) = \{ f \in \operatorname{Arr} \mathbf{Set} \mid f : a \to b \} \leftarrow \operatorname{def.}(7)$$
notation (5)  $\to = \{ f \in \operatorname{Arr} \mathbf{Set} \mid \operatorname{dom}(f) = a , \operatorname{cod}(f) = b \}$ 

```
\begin{array}{rcl} \text{(2) of def. (3)} \ \to & = & \{ \ (x,y,f) \mid x,y \ \text{sets,} \ f \ \text{is a function} \ f:x\to y \\ & , \ \operatorname{dom}(x,y,f) = a \ , \ \operatorname{cod}(x,y,f) = b \ \} \\ \text{(3) and (4) of def. (3)} \ \to & = & \{ \ (x,y,f) \mid x,y \ \text{sets,} \ f \ \text{is a function} \ f:x\to y \\ & , \ x = a \ , \ y = b \ \} \\ & = & \{ \ (a,b,f) \mid f \ \text{is a function} \ f:a\to b \ \} \end{array}
```

 $\Diamond$ 

## 1.8 Locally small category

**Definition 8** A category C is said to be locally small if and only if the hom-set C(a,b) associated with every ordered pair of objects (a,b) is actually a set.

**Proposition 14** A small category is locally small.

### Proof

Let  $\mathcal{C}$  be a small category and  $a, b \in \mathcal{C}$ . We need to show that  $\mathcal{C}(a, b)$  is a set. Using axiom (5), it is sufficient to find a set A such that every member of  $\mathcal{C}(a, b)$  is an element of A. Take  $A = \operatorname{Arr} \mathcal{C}$ . Then from definition (1) A is indeed a set, and every member of  $\mathcal{C}(a, b)$  is an element of A by virtue of definition (7).  $\diamond$ 

**Proposition 15** A category C is locally small if and only if  $C^{op}$  is locally small.

### Proof

The category  $\mathcal{C}$  being locally small is equivalent to  $\mathcal{C}(a,b)$  being a set for all  $a,b \in \text{Ob } \mathcal{C}$ . Since  $\text{Ob } \mathcal{C} = \text{Ob } \mathcal{C}^{op}$  and  $\mathcal{C}^{op}(a,b) = \mathcal{C}(b,a)$  from proposition (11), this is in turn equivalent to  $\mathcal{C}^{op}(a,b)$  being a set for all  $a,b \in \text{Ob } \mathcal{C}^{op}$ . Hence, it is equivalent to  $\mathcal{C}^{op}$  being locally small.  $\diamond$ 

**Proposition 16** The product  $C_1 \times C_2$  of locally small categories is locally small.

### Proof

Let  $C_1$  and  $C_2$  be two locally small categories. We need to show that the canonical product  $C_1 \times C_2$  is itself locally small. In other words, given  $a, b \in C_1 \times C_2$  we need to show that the collection  $C_1 \times C_2(a, b)$  is actually a set. However from proposition (12) we have  $C_1 \times C_2(a, b) = C_1(a_1, b_1) \times C_2(a_2, b_2)$  where  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$ . So the proposition follows from the fact that both  $C_1(a_1, b_1)$  and  $C_2(a_2, b_2)$  are sets,  $C_1$  and  $C_2$  being locally small.  $\diamond$ 

Proposition 17 The category Set is locally small.

## Proof

Given two sets a, b, we need to that the hom-set  $\mathbf{Set}(a, b)$  is actually a set. Using axiom (5), it is sufficient to show that this collection is a sub-collection of another collection which is known to be a set. Now any (untyped) function  $f: a \to b$  is a set of ordered pairs (x, y) where  $x \in a$  and  $y \in b$  (and with additional properties). In particular, a function  $f:a\to b$  is a subset of the cartesian product  $a\times b$ , i.e.  $f\subseteq a\times b$ . Equivalently, f is an element of the power set  $\mathcal{P}(a\times b)$ , i.e.  $f\in\mathcal{P}(a\times b)$ . Consequently, we have:

```
\begin{aligned} \mathbf{Set}(a,b) &= \left\{ \; (a,b,f) \mid f \text{ is a function } f: a \to b \; \right\} \; \leftarrow \; \text{prop. (13)} \\ &\subseteq \; \left\{ \; (a,b,f) \mid f \in \mathcal{P}(a \times b) \; \right\} \\ &= \; \left\{ \; (x,y,f) \mid x \in \{a\} \; , \; y \in \{b\} \; , \; f \in \mathcal{P}(a \times b) \; \right\} \\ &= \; \left\{ a \right\} \times \{b\} \times \mathcal{P}(a \times b) \end{aligned}
```

 $\Diamond$ 

## Chapter 2

## **Functor**

## 2.1 Functor

**Definition 9** We call functor from categories C to D any tuple  $(F_0, F_1)$  with:

- (1)  $F_0: \mathrm{Ob}\ \mathcal{C} \to \mathrm{Ob}\ \mathcal{D}$  is a map
- (2)  $F_1: \operatorname{Arr} \mathcal{C} \to \operatorname{Arr} \mathcal{D} \text{ is a map}$
- (3)  $F_1(f): F_0(a) \to F_0(b)$
- (4)  $F_1(id(a)) = id(F_0(a))$
- $(5) F_1(g \circ f) = F_1(g) \circ F_1(f)$

where (3) – (5) hold for all  $a, b, c \in C$ ,  $f: a \to b$  and  $g: b \to c$ .

**Notation 11** We shall use  $F: \mathcal{C} \to \mathcal{D}$  as a notational shortcut for the statement that F is a functor from the category  $\mathcal{C}$  to the category  $\mathcal{D}$ .

**Notation 12** If  $F = (F_0, F_1)$  if a functor from C to D, we shall also commonly denote  $F_0$  and  $F_1$  simply by F.

So if F is a functor  $F: \mathcal{C} \to \mathcal{D}$  we effectively have a map  $F: \operatorname{Ob} \mathcal{C} \to \operatorname{Ob} \mathcal{D}$  acting on objects, and a map  $F: \operatorname{Arr} \mathcal{C} \to \operatorname{Arr} \mathcal{D}$  acting on arrows. These two maps satisfy the consistency condition (3) of definition (9) i.e. that if f is an arrow  $f: a \to b$  in  $\mathcal{C}$ , then F(f) must be an arrow  $F(f): F(a) \to F(b)$  in  $\mathcal{D}$ . Furthermore, the functor F must preserve the identity operators on  $\mathcal{C}$  and  $\mathcal{D}$  which is condition (4) of definition (9): for all objects  $a \in \mathcal{C}$ , we must have  $F(\operatorname{id}(a)) = \operatorname{id}(F(a))$ . Note that since  $\operatorname{id}(a): a \to a$ , by consistency we have  $F(\operatorname{id}(a)): F(a) \to F(a)$ , and since  $\operatorname{id}(F(a)): F(a) \to F(a)$  the equality makes sense. Another way to express the preservation of identity operators by F is simply  $F \circ \operatorname{id} = \operatorname{id} \circ F$  or  $F_1 \circ \operatorname{id} = \operatorname{id} \circ F_0$  to be more explicit. However, we should remember that the notation ' $\circ$ ' in these equality does not refer to the

composition operator  $\circ$  of either  $\mathcal{C}$  or  $\mathcal{D}$ , nor does it in general refer to the usual function composition since id,  $F_0$  and  $F_1$  are maps between collections and not functions between sets. Now going back to our functor F, it must also preserve the composition operators on  $\mathcal{C}$  and  $\mathcal{D}$ , which is condition (5) of definition (9): For all objects  $a, b, c \in \mathcal{C}$  and arrows  $f: a \to b$  and  $g: b \to c$ , we must have  $F(g \circ f) = F(g) \circ F(f)$ . Note that given these assumptions, the composition arrow  $g \circ f$  is well-defined, and by consistency we have  $F(f): F(a) \to F(b)$ and  $F(g): F(b) \to F(c)$ , so  $F(g) \circ F(f)$  is also well-defined. Furthermore, since  $g \circ f : a \to c$  by consistency we have  $F(g \circ f) : F(a) \to F(c)$  and since  $F(g) \circ F(f) : F(a) \to F(c)$ , the equality  $F(g \circ f) = F(g) \circ F(f)$  makes sense.

#### 2.2 Identity functor

**Definition 10** Let C be a category. We call identity functor on C the functor  $F: \mathcal{C} \to \mathcal{C}$  defined by  $F = (F_0, F_1)$  with:

$$(1) F_0(a) = a$$

(1) 
$$F_0(a) = a$$
  
(2)  $F_1(f) = f$ 

where (1) holds for all  $a \in Ob \mathcal{C}$  and (2) holds for all  $f \in Arr \mathcal{C}$ .

**Notation 13** The identity functor on a category C is denoted  $I_C$ .

We shall now check our definition is indeed that of a functor.

**Proposition 18** The identity functor on a category C is a functor  $I_C : C \to C$ .

### Proof

Let  $\mathcal{C}$  be a category and  $I_{\mathcal{C}} = (F_0, F_1)$  be the identity functor on  $\mathcal{C}$ . We need to check that properties (1) - (5) of definition (9) are satisfied:

- (1):  $F_0$  is indeed a map  $F_0$ : Ob  $\mathcal{C} \to \text{Ob } \mathcal{C}$  from (1) of definition (10).
- (2):  $F_1$  is indeed a map  $F_1$ : Arr  $\mathcal{C} \to \operatorname{Arr} \mathcal{C}$  from (2) of definition (10).
- (3): We have  $F_1(f): F_0(a) \to F_0(b)$  whenever  $f: a \to b$ , since:

$$F_1(f) = f$$
,  $F_0(a) = a$ ,  $F_0(b) = b$ 

(4): We have  $F_1(\operatorname{id}(a)) = \operatorname{id}(F_0(a))$  for all  $a \in \mathcal{C}$ , since:

$$F_1(id(a)) = id(a), F_0(a) = a$$

(5):  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  whenever  $f: a \to b$  and  $g: b \to c$ , since:

$$F_1(g \circ f) = g \circ f, \ F_1(g) = g, \ F_1(f) = f$$

0

## 2.3 Constant functor

**Definition 11** Let C be a category and  $c \in C$ . We call constant functor on C at c the functor  $F: C \to C$  defined by  $F = (F_0, F_1)$  with:

$$(1) F_0(a) = c$$

(2) 
$$F_1(f) = id(c)$$

where (1) holds for all  $a \in Ob \mathcal{C}$  and (2) holds for all  $f \in Arr \mathcal{C}$ .

**Notation 14** The constant functor on a category C at  $c \in C$  is denoted  $K_c$ .

We shall now check our definition is indeed that of a functor.

**Proposition 19** Given a category C and  $c \in C$ ,  $K_c$  is a functor  $K_c : C \to C$ .

#### Proof

Let  $\mathcal{C}$  be a category,  $c \in \mathcal{C}$  and  $K_c = (F_0, F_1)$  be the constant functor on  $\mathcal{C}$  at c. We need to check that properties (1) - (5) of definition (9) are satisfied:

- (1):  $F_0$  is indeed a map  $F_0$ : Ob  $\mathcal{C} \to \text{Ob } \mathcal{C}$  from (1) of definition (11).
- (2):  $F_1$  is indeed a map  $F_1: Arr \mathcal{C} \to Arr \mathcal{C}$  from (2) of definition (11).
- (3): We have  $F_1(f): F_0(a) \to F_0(b)$  whenever  $f: a \to b$ , since:

$$F_1(f) = id(c), F_0(a) = c, F_0(b) = c$$

(4): We have  $F_1(\operatorname{id}(a)) = \operatorname{id}(F_0(a))$  for all  $a \in \mathcal{C}$ , since:

$$F_1(id(a)) = id(c), F_0(a) = c$$

(5):  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  whenever  $f: a \to b$  and  $g: b \to c$ , since:

$$F_1(g \circ f) = id(c), \ F_1(g) = id(c), \ F_1(f) = id(c)$$

and  $id(c) = id(c) \circ id(c)$  from (12) (or (13)) of definition (2).  $\diamond$ 

## 2.4 Functor and opposite category

**Proposition 20** Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. Then F is also a functor  $F: \mathcal{C}^{op} \to \mathcal{D}^{op}$ .

### Proof

We need to check that properties (1) - (5) of definition (9) are satisfied:

- (1):  $F_0$  is indeed a map  $F_0$ : Ob  $\mathcal{C}^{op} \to \text{Ob } \mathcal{D}^{op}$  since F is a functor and  $F_0$  is therefore a map  $F_0$ : Ob  $\mathcal{C} \to \text{Ob } \mathcal{D}$ . Furthermore, we have Ob  $\mathcal{C}^{op} = \text{Ob } \mathcal{C}$  and Ob  $\mathcal{D}^{op} = \text{Ob } \mathcal{D}$  by virtue of definition (5).
- (2):  $F_1$  is indeed a map  $F_1$ : Arr  $\mathcal{C}^{op} \to \operatorname{Arr} \mathcal{D}^{op}$  since Arr  $\mathcal{C}^{op} = \operatorname{Arr} \mathcal{C}$  and Arr  $\mathcal{D}^{op} = \operatorname{Arr} \mathcal{D}$  from definition (5), and  $F_1$  is a map  $F_1$ : Arr  $\mathcal{C} \to \operatorname{Arr} \mathcal{D}$ .

- (3): We have  $F_1(f): F_0(a) \to F_0(b) @ \mathcal{D}^{op}$  whenever  $f: a \to b @ \mathcal{C}^{op}$ . Indeed, the assumption  $f: a \to b @ \mathcal{C}^{op}$  is equivalent to  $f: b \to a @ \mathcal{C}$ . F being a functor, this implies  $F_1(f): F_0(b) \to F_0(a) @ \mathcal{D}$  which is equivalent to  $F_1(f): F_0(a) \to F_0(b) @ \mathcal{D}^{op}$ .
- (4): We have  $F_1(\mathrm{id}(a) @ \mathcal{C}^{op}) = \mathrm{id}(F_0(a)) @ \mathcal{D}^{op}$  for all  $a \in \mathcal{C}^{op}$ . This follows from the equality  $F_1(\mathrm{id}(a) @ \mathcal{C}) = \mathrm{id}(F_0(a)) @ \mathcal{D}$  and the fact that the notions of objects and identity coincide on a category and its opposite.
- (5): We have  $F_1(g \circ f @ \mathcal{C}^{op}) = F_1(g) \circ F_1(f) @ \mathcal{D}^{op}$  when  $f: a \to b @ \mathcal{C}^{op}$  and  $g: b \to c @ \mathcal{C}^{op}$ . Indeed, the assumptions  $f: a \to b @ \mathcal{C}^{op}$  and  $g: b \to c @ \mathcal{C}^{op}$  are equivalent to  $f: b \to a @ \mathcal{C}$  and  $g: c \to b @ \mathcal{C}$ . Hence we have:

$$F_1(g \circ f @ \mathcal{C}^{op}) = F_1(f \circ g @ \mathcal{C}) \leftarrow \text{def. (5)}$$

$$(5) \text{ of def. (9)} \rightarrow = F_1(f) \circ F_1(g) @ \mathcal{D}$$

$$\text{def. (5)} \rightarrow = F_1(g) \circ F_1(f) @ \mathcal{D}^{op}$$

 $\Diamond$ 

Proposition (20) illustrates the fact that the knowledge of a functor F by itself does not tell us what its domain and codomain are. In fact, we have not even defined what the *domain* or *codomain* of a functor should be. By virtue of notation (11), writing  $F: \mathcal{C} \to \mathcal{D}$  is simply a notational shortcut for the statement that F is a functor between  $\mathcal{C}$  and  $\mathcal{D}$ . This is very similar to writing  $f: a \to b$  for an untyped function f. Now we cannot simply define the domain and codomain of a functor F to be the categories  $\mathcal{C}$  and  $\mathcal{D}$  respectively, whenever  $F: \mathcal{C} \to \mathcal{D}$ . This is because the categories  $\mathcal{C}$  and  $\mathcal{D}$  are not unique, as whenever the statement  $F: \mathcal{C} \to \mathcal{D}$  holds, the statement  $F: \mathcal{C}^{op} \to \mathcal{D}^{op}$  also holds. To resolve this issue, similarly to (4), we define:

**Definition 12** Given a functor  $F : \mathcal{C} \to \mathcal{D}$  between categories  $\mathcal{C}$ ,  $\mathcal{D}$ , we say that F is the untyped functor while the triple  $(\mathcal{C}, \mathcal{D}, F)$  is called the typed functor.

## 2.5 Composition of functors

**Definition 13** Let  $F: \mathcal{C} \to \mathcal{D}$  and  $G: \mathcal{D} \to \mathcal{E}$  be functors where  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  are categories. We call composition of G and F the functor  $H: \mathcal{C} \to \mathcal{E}$  defined by:

(1) 
$$H_0(a) = G_0(F_0(a))$$

(2) 
$$H_1(f) = G_1(F_1(f))$$

where (1) holds for all  $a \in \text{Ob } \mathcal{C}$  and (2) holds for all  $f \in \text{Arr } \mathcal{C}$ .

**Notation 15** The composition of two functors G and F is denoted GF or  $G \circ F$ .

**Remark**: In view of notation (8), (1) and (2) of definition (13) could equally have been written  $H_0 = G_0 \circ F_0$  and  $H_1 = G_1 \circ F_1$ . Note that the overloaded symbol ' $\circ$ ' has many possible meanings: it is the usual symbol for set-theoretic function composition, it also refers to composition of maps between collections

as per notation (8), it is the generic symbol for the composition operator in an arbitrary category as per notation (6), and finally it is also used to denote composition of functors as per notation (15).

**Proposition 21** Let  $F: \mathcal{C} \to \mathcal{D}$  and  $G: \mathcal{D} \to \mathcal{E}$  be functors where  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  are categories. Then  $G \circ F$  is indeed a functor  $G \circ F: \mathcal{C} \to \mathcal{E}$ .

### Proof

We need to check that properties (1) - (5) of definition (9) are satisfied:

(1): 
$$(GF)_0 = G_0 \circ F_0$$
 is indeed a map  $(GF)_0 : Ob \ \mathcal{C} \to Ob \ \mathcal{E}$ , since:

$$F_0: \mathrm{Ob}\ \mathcal{C} \to \mathrm{Ob}\ \mathcal{D},\ G_0: \mathrm{Ob}\ \mathcal{D} \to \mathrm{Ob}\ \mathcal{E}$$

(2): 
$$(GF)_1 = G_1 \circ F_1$$
 is indeed a map  $(GF)_1 : Arr \mathcal{C} \to Arr \mathcal{E}$ , since:

$$F_1: \operatorname{Arr} \mathcal{C} \to \operatorname{Arr} \mathcal{D}, \ G_1: \operatorname{Arr} \mathcal{D} \to \operatorname{Arr} \mathcal{E}$$

(3): We have 
$$(GF)_1(f): (GF)_0(a) \to (GF)_0(b)$$
 whenever  $f: a \to b$ , since:

$$f: a \to b \implies F_1(f): F_0(a) \to F_0(b) \leftarrow (3) \text{ of def. } (9)$$

(3) of def. (9) 
$$\to$$
  $\Rightarrow$   $G_1(F_1(f)):G_0(F_0(a))\to G_0(F_0(b))$ 

(1) and (2) of def. (13) 
$$\rightarrow \Leftrightarrow (GF)_1(f): (GF)_0(a) \rightarrow (GF)_0(b)$$

(4): We have 
$$(GF)_1(\operatorname{id}(a)) = \operatorname{id}((GF)_0(a))$$
 for all  $a \in \mathcal{C}$ , since:

$$(GF)_1(\mathrm{id}(a)) = G_1(F_1(\mathrm{id}(a))) \leftarrow (2) \text{ of def. } (13)$$

(4) of def. (9) 
$$\rightarrow = G_1(id(F_0(a)))$$

(4) of def. (9) 
$$\rightarrow$$
 = id(  $G_0(F_0(a))$ )

(1) of def. (13) 
$$\rightarrow$$
 = id((GF)<sub>0</sub>(a))

(5): 
$$(GF)_1(g \circ f) = (GF)_1(g) \circ (GF)_1(f)$$
 whenever  $f: a \to b$  and  $g: b \to c$ :

$$(GF)_1(g \circ f) = G_1(F_1(g \circ f)) \leftarrow (2) \text{ of def. } (13)$$

(5) of def. (9) 
$$\rightarrow = G_1(F_1(g) \circ F_1(f))$$

(5) of def. (9) 
$$\rightarrow = G_1(F_1(g)) \circ G_1(F_1(f))$$

(2) of def. (13) 
$$\rightarrow = (GF)_1(g) \circ (GF)_1(f)$$

 $\Diamond$ 

## 2.6 Equality between functors

One question which we have not yet considered is that of equality between functors. Given two categories  $\mathcal{C}$  and  $\mathcal{D}$ , and two functors  $F, G : \mathcal{C} \to \mathcal{D}$ , it will sometimes be convenient to argue that these two functors are equal. For one

thing, we would like to state that functor composition is associative, a statement which is not meaningful without a notion of equality between functors. Such equality is not obvious and may depend on the specifics of the logical context in which we are working. Equality between sets is given but anything else requires careful consideration. Using the principles of section (1.3), we are able to state:

**Proposition 22** Let  $F, G : \mathcal{C} \to \mathcal{D}$  be two functors between categories  $\mathcal{C}, \mathcal{D}$  with:

(1) 
$$\forall a \in \text{Ob } \mathcal{C} , F(a) = G(a)$$

(2) 
$$\forall f \in \text{Arr } \mathcal{C} , \ F(f) = G(f)$$

Then F = G, i.e. the two functors F and G are equal.

## Proof

Let  $F = (F_0, F_1)$  and  $G = (G_0, G_1)$  be two functors between  $\mathcal{C}$  and  $\mathcal{D}$  such that  $F_0(a) = G_0(a)$  for all  $a \in \operatorname{Ob} \mathcal{C}$  and  $F_1(f) = G_1(f)$  for all  $f \in \operatorname{Arr} \mathcal{C}$ . We need to show that F = G, which is  $(F_0, F_1) = (G_0, G_1)$ . In order to show this equality, using axiom (4) it is sufficient to prove that  $F_0 = G_0$  and  $F_1 = G_1$ . However, by virtue of definition (9), both  $F_0$  and  $G_0$  are maps  $F_0, G_0 : \operatorname{Ob} \mathcal{C} \to \operatorname{Ob} \mathcal{D}$ , and both  $F_1, G_1$  are maps  $F_1, G_1 : \operatorname{Arr} \mathcal{C} \to \operatorname{Arr} \mathcal{D}$ . Hence, using axiom (3), in order to show that  $F_0 = G_0$ , it is sufficient to prove that  $F_0(a) = G_0(a)$  for all  $a \in \operatorname{Ob} \mathcal{C}$ , and this is true by assumption. Likewise, in order to show that  $F_1 = G_1$  it is sufficient to prove that  $F_1(f) = G_1(f)$  for all  $f \in \operatorname{Arr} \mathcal{C}$  which also true by assumption.  $\diamond$ 

**Proposition 23** Let  $F: \mathcal{C} \to \mathcal{D}$ ,  $G: \mathcal{D} \to \mathcal{E}$  and  $H: \mathcal{E} \to \mathcal{F}$  be functors between categories  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  and  $\mathcal{F}$ . Then we have the equality:

$$(H\circ G)\circ F=H\circ (G\circ F)$$

i.e. functor composition is associative.

### Proof

Using proposition (22) we simply need to show that the two functors  $(H \circ G) \circ F$  and  $H \circ (G \circ F)$  act equally on both objects and arrows of the category C. For  $a \in Ob C$ , this goes as follows:

$$((H \circ G) \circ F)(a) = (H \circ G)(F(a)) \leftarrow \text{def. (13)}$$

$$\text{def. (13)} \rightarrow = H(G(F(a)))$$

$$\text{def. (13)} \rightarrow = H((G \circ F)(a))$$

$$\text{def. (13)} \rightarrow = (H \circ (G \circ F))(a)$$

and likewise for  $f \in Arr \mathcal{C}$ :

$$((H \circ G) \circ F)(f) = (H \circ G)(F(f)) \leftarrow \text{def. (13)}$$

$$\text{def. (13)} \rightarrow = H(G(F(f)))$$

$$\text{def. (13)} \rightarrow = H((G \circ F)(f))$$

$$\text{def. (13)} \rightarrow = (H \circ (G \circ F))(f)$$

 $\Diamond$ 

**Proposition 24** Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor between categories  $\mathcal{C}$ ,  $\mathcal{D}$ . Then:

$$I_{\mathcal{D}} \circ F = F$$

where  $I_{\mathcal{D}}: \mathcal{D} \to \mathcal{D}$  is the identity functor on  $\mathcal{D}$  as per definition (10).

### Proof

Using proposition (22) we simply need to show that the two functors  $I_{\mathcal{D}} \circ F$  and F act equally on both objects and arrows of the category  $\mathcal{C}$ . For  $a \in \text{Ob } \mathcal{C}$ :

$$(I_{\mathcal{D}} \circ F)(a) = I_{\mathcal{D}}(F(a)) \leftarrow (1) \text{ of def. (13)}$$
 (1) of def. (10)  $\rightarrow$  =  $F(a)$ 

and likewise for  $f \in Arr \mathcal{C}$ :

$$(I_{\mathcal{D}} \circ F)(f) = I_{\mathcal{D}}(F(f)) \leftarrow (2) \text{ of def. (13)}$$
(2) of def. (10)  $\rightarrow = F(f)$ 

 $\Diamond$ 

**Proposition 25** Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor between categories  $\mathcal{C}$ ,  $\mathcal{D}$ . Then:

$$F \circ I_{\mathcal{C}} = F$$

where  $I_{\mathcal{C}}: \mathcal{C} \to \mathcal{C}$  is the identity functor on  $\mathcal{C}$  as per definition (10).

## Proof

Using proposition (22) we simply need to show that the two functors  $F \circ I_{\mathcal{C}}$  and F act equally on both objects and arrows of the category  $\mathcal{C}$ . For  $a \in \text{Ob } \mathcal{C}$ :

$$(F \circ I_{\mathcal{C}})(a) = F(I_{\mathcal{C}}(a)) \leftarrow (1) \text{ of def. (13)}$$
 (1) of def. (10)  $\rightarrow$  =  $F(a)$ 

and likewise for  $f \in Arr \mathcal{C}$ :

$$(F \circ I_{\mathcal{C}})(f) = F(I_{\mathcal{C}}(f)) \leftarrow (2) \text{ of def. (13)}$$
 (2) of def. (10)  $\rightarrow$  =  $F(f)$ 

 $\Diamond$ 

## 2.7 Hom-functor of a locally small category

**Definition 14** Let C be a locally small category. We call hom-functor associated with C the functor  $F: C^{op} \times C \to \mathbf{Set}$  defined by  $F = (F_0, F_1)$  with:

(1) 
$$F_0(a_1, a_2) = \mathcal{C}(a_1, a_2)$$

(2) 
$$F_1(f_1, f_2)(h) = f_2 \circ h \circ f_1$$

where (2) holds for  $a_1, a_2, b_1, b_2 \in C$ ,  $f_1 : b_1 \to a_1$ ,  $h : a_1 \to a_2$  and  $f_2 : a_2 \to b_2$ .

**Notation 16** Given a locally small category C, the hom-functor  $F = (F_0, F_1)$  associated with C is denoted  $C = (C_0, C_1)$ .

**Remark**: Using the notation  $\mathcal{C}$  to denote both the locally small category  $\mathcal{C}$  and its associated hom-functor may appear confusing, but the notation actally makes sense since the equation  $F_0(a_1, a_2) = \mathcal{C}(a_1, a_2)$  simply becomes the tautology  $\mathcal{C}(a_1, a_2) = \mathcal{C}(a_1, a_2)$ . In other words, using  $\mathcal{C}$  to denote the hom-functor makes it very easy to remember that when applied to the object  $(a_1, a_2)$  of the category  $\mathcal{C}^{op} \times \mathcal{C}$ , we simply obtain the hom-set  $\mathcal{C}(a_1, a_2)$  of the locally small category  $\mathcal{C}$ .

Given a locally small category  $\mathcal{C}$ , definition (14) defines a tuple  $F = (F_0, F_1)$  where  $F_0$  appears to be a map defined on Ob  $\mathcal{C} \times \text{Ob } \mathcal{C}$  with values in **Set**, and  $F_1$  appears to be a map defined on Arr  $\mathcal{C} \times \text{Arr } \mathcal{C}$  with values in some functional space (since it takes an h as argument). Looking at this, it is far from obvious that definition (14) defines a functor  $F: \mathcal{C}^{op} \times \mathcal{C} \to \text{Set}$ . Hence we state:

**Proposition 26** Let C be a locally small category. Then the hom-functor F associated with C is indeed a functor  $F: C^{op} \times C \to \mathbf{Set}$ .

## Proof

We need to check that properties (1) - (5) of definition (9) are satisfied:

(1): We need to show that  $F_0$  is a map  $F_0$ : Ob  $(\mathcal{C}^{op} \times \mathcal{C}) \to \text{Ob Set}$ . Having defined  $F_0(a_1, a_2) = \mathcal{C}(a_1, a_2)$  and the category  $\mathcal{C}$  being locally small, we see that  $F_0(a_1, a_2)$  is a set for all  $a_1, a_2 \in \mathcal{C}$ . So  $F_0$  is defined as a map  $F_0$ : (Ob  $\mathcal{C}$ )  $\times$  (Ob  $\mathcal{C}$ )  $\to$  Ob Set, and it remains to check that the collections (Ob  $\mathcal{C}$ )  $\times$  (Ob  $\mathcal{C}$ ) and Ob  $(\mathcal{C}^{op} \times \mathcal{C})$  actually coincide, which goes as follows:

$$(\operatorname{Ob} \mathcal{C}) \times (\operatorname{Ob} \mathcal{C}) = \{ (a_1, a_2) \mid a_1 \in \operatorname{Ob} \mathcal{C}, a_2 \in \operatorname{Ob} \mathcal{C} \}$$

$$\operatorname{def.} (5) \to = \{ (a_1, a_2) \mid a_1 \in \operatorname{Ob} \mathcal{C}^{op}, a_2 \in \operatorname{Ob} \mathcal{C} \}$$

$$(1) \text{ of def.} (6) \to = \operatorname{Ob} (\mathcal{C}^{op} \times \mathcal{C})$$

(2): We need to show that  $F_1$  is a map  $F_1$ : Arr  $(\mathcal{C}^{op} \times \mathcal{C}) \to \operatorname{Arr} \mathbf{Set}$ . Having defined  $F_1(f_1, f_2)$  for any  $f_1 : b_1 \to a_1$  and  $f_2 : a_2 \to b_2$  where  $a_1, a_2, b_1, b_2$  are arbitrary objects in  $\mathcal{C}$ , we see that  $F_1$  is a map defined on  $(\operatorname{Arr} \mathcal{C}) \times (\operatorname{Arr} \mathcal{C})$ . So we need to check that the collections  $(\operatorname{Arr} \mathcal{C}) \times (\operatorname{Arr} \mathcal{C})$  and  $\operatorname{Arr} (\mathcal{C}^{op} \times \mathcal{C})$  actually coincide, which goes as follows:

$$(\operatorname{Arr} \mathcal{C}) \times (\operatorname{Arr} \mathcal{C}) = \{ (f_1, f_2) \mid f_1 \in \operatorname{Arr} \mathcal{C}, f_2 \in \operatorname{Arr} \mathcal{C} \}$$

$$\operatorname{def.} (5) \to = \{ (f_1, f_2) \mid f_1 \in \operatorname{Arr} \mathcal{C}^{op}, f_2 \in \operatorname{Arr} \mathcal{C} \}$$

$$(2) \text{ of def.} (6) \to = \operatorname{Arr} (\mathcal{C}^{op} \times \mathcal{C})$$

However, given arrows  $f_1, f_2$  in  $\mathcal{C}$ , we still need to check that  $F_1(f_1, f_2)$  is a member of the collection Arr **Set**. In other words, we need to check that  $F_1(f_1, f_2)$  is a typed function. Introducing the notations  $a_1, a_2, b_1, b_2$  such that  $f_1 : b_1 \to a_1$  and  $f_2 : a_2 \to b_2$ , our definition states that  $F_1(f_1, f_2)(h)$  is defined for any  $h : a_1 \to a_2$ . In other words,  $F_1(f_1, f_2)(h)$  is defined for any h which belongs to the hom-set  $\mathcal{C}(a_1, a_2)$ . Having assumed that  $\mathcal{C}$  is a locally small category, the collection  $\mathcal{C}(a_1, a_2)$  is in fact a set, and  $F_1(f_1, f_2)$  is therefore an untyped

function with domain  $C(a_1, a_2)$ , defined by  $F_1(f_1, f_2)(h) = f_2 \circ h \circ f_1$ . Note that since  $f_1: b_1 \to a_1$  and  $f_2: a_2 \to b_2$ , the composition  $f_2 \circ h \circ f_1$  is a well-defined arrow in C whenever  $h \in C(a_1, a_2)$ . This arrow has domain  $b_1$  and codomain  $b_2$  and we see that  $F_1(f_1, f_2)(h)$  is in fact an element of the hom-set  $C(b_1, b_2)$ . So  $F_1(f_1, f_2)$  is an untyped function  $F_1(f_1, f_2): C(a_1, a_2) \to C(b_1, b_2)$ . Now looking at definition (3), an arrow of the category **Set** is a typed function (a, b, f) where a and b are sets and f is an untyped function  $f: a \to b$ . Hence, strictly speaking our definition of  $F_1(f_1, f_2)$  is not a member of Arr **Set** but simply an untyped function  $F_1(f_1, f_2): C(a_1, a_2) \to C(b_1, b_2)$ . However, the typed function  $(C(a_1, a_2), C(b_1, b_2), F_1(f_1, f_2))$  is an arrow of the category **Set** and we have agreed in notation (9) to simply refer to this arrow as  $F_1(f_1, f_2)$ , as the domain  $C(a_1, a_2)$  and intended codomain  $C(b_1, b_2)$  can easily be inferred from the formula  $F_1(f_1, f_2)(h) = f_2 \circ h \circ f_1$  for all  $h: a_1 \to a_2$ . This completes our proof that  $F_1$  is a map  $F_1: Arr (C^{op} \times C) \to Arr$ **Set**.

(3): We need to check that  $F_1(f): F_0(a) \to F_0(b)$  whenever  $a, b \in \mathcal{C}^{op} \times \mathcal{C}$  and  $f: a \to b$ . So let  $a = (a_1, a_2) \in \mathcal{C}^{op} \times \mathcal{C}$ ,  $b = (b_1, b_2) \in \mathcal{C}^{op} \times \mathcal{C}$  and let f be an arrow  $f = (f_1, f_2): (a_1, a_2) \to (b_1, b_2) @ \mathcal{C}^{op} \times \mathcal{C}$ . From the equalities  $F_0(a) = \mathcal{C}(a_1, a_2)$  and  $F_0(b) = \mathcal{C}(b_1, b_2)$ , it is clear that we simply need to check  $F_1(f_1, f_2): \mathcal{C}(a_1, a_2) \to \mathcal{C}(b_1, b_2)$ . However, we have already established this fact in part (2) of this proof, provided we show that  $f_1: b_1 \to a_1 @ \mathcal{C}$  together with  $f_2: a_2 \to b_2 @ \mathcal{C}$ . Hence we need  $(f_1, f_2) \in \mathcal{C}(b_1, a_1) \times \mathcal{C}(a_2, b_2)$ , knowing that  $(f_1, f_2) \in (\mathcal{C}^{op} \times \mathcal{C})[(a_1, a_2), (b_1, b_2)]$  by assumption. It is therefore sufficient to prove that the two collections  $\mathcal{C}(b_1, a_1) \times \mathcal{C}(a_2, b_2)$  and  $\mathcal{C}^{op} \times \mathcal{C}[(a_1, a_2), (b_1, b_2)]$  coincide, which goes as follows:

$$C^{op} \times C[(a_1, a_2), (b_1, b_2)] = C^{op}(a_1, b_1) \times C(a_2, b_2) \leftarrow \text{prop. (12)}$$
  
 $\text{prop. (11)} \rightarrow = C(b_1, a_1) \times C(a_2, b_2)$ 

(4): We need to check that  $F_1(\operatorname{id}(a)) = \operatorname{id}(F_0(a))$  whenever  $a \in \mathcal{C}^{op} \times \mathcal{C}$ . So let  $a = (a_1, a_2) \in \mathcal{C}^{op} \times \mathcal{C}$ . Since  $F_0(a) = \mathcal{C}(a_1, a_2)$ , we need to check that  $F_1(\operatorname{id}(a)) = \operatorname{id}(\mathcal{C}(a_1, a_2))$ . This is an equality between two arrows of the category **Set**, with identical domain and codomain, namely the set  $\mathcal{C}(a_1, a_2)$ . Given  $h \in \mathcal{C}(a_1, a_2)$ , from proposition (6) it is sufficient to check that  $F_1(\operatorname{id}(a))(h) = h$ :

$$F_{1}(\mathrm{id}(a))(h) = F_{1}(\mathrm{id}(a_{1}, a_{2}))(h)$$

$$(5) \text{ of def. } (6) \to = F_{1}(\mathrm{id}(a_{1}) @ \mathcal{C}^{op}, \mathrm{id}(a_{2}))(h)$$

$$\mathrm{def. } (5) \to = F_{1}(\mathrm{id}(a_{1}) @ \mathcal{C}, \mathrm{id}(a_{2}))(h)$$

$$(2) \text{ of def. } (14) \to = \mathrm{id}(a_{2}) \circ h \circ \mathrm{id}(a_{1})$$

$$(12) \text{ of def. } (2) \to = \mathrm{id}(a_{2}) \circ h$$

$$(13) \text{ of def. } (2) \to = h$$

(5): We need to check that  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  whenever  $f: a \to b$ ,  $g: b \to c$  and  $a, b, c \in \mathcal{C}^{op} \times \mathcal{C}$ . So let  $a = (a_1, a_2), b = (b_1, b_2), c = (c_1, c_2)$  be objects in  $\mathcal{C}^{op} \times \mathcal{C}$ , and  $f = (f_1, f_1): a \to b$  and  $g = (g_1, g_2): b \to c$ . We have  $g \circ f: a \to c$  and consequently  $F_1(g \circ f): F_0(a) \to F_0(c)$ . Hence the arrows

 $F_1(g \circ f)$  and  $F_1(g) \circ F_1(f)$  are two arrows in **Set**, with domain  $F_0(a) = \mathcal{C}(a_1, a_2)$  and codomain  $F_0(c) = \mathcal{C}(c_1, c_2)$ . From proposition (6), in order to prove the equality  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  it is therefore sufficient to show that the underlying functions coincide for all  $h \in \mathcal{C}(a_1, a_2)$  which goes as follows:

$$F_{1}(g \circ f)(h) = F_{1}((g_{1}, g_{2}) \circ (f_{1}, f_{2}))(h)$$

$$(6) \text{ of def. } (6) \rightarrow = F_{1}(g_{1} \circ f_{1} @ \mathcal{C}^{op}, g_{2} \circ f_{2})(h)$$

$$\text{crucially, def. } (5) \rightarrow = F_{1}(f_{1} \circ g_{1}, g_{2} \circ f_{2})(h)$$

$$(2) \text{ of def. } (14) \rightarrow = (g_{2} \circ f_{2}) \circ h \circ (f_{1} \circ g_{1})$$

$$\text{associativity of } \circ \text{ in } \mathcal{C} \rightarrow = g_{2} \circ (f_{2} \circ h \circ f_{1}) \circ g_{1}$$

$$(2) \text{ of def. } (14) \rightarrow = g_{2} \circ F_{1}(f_{1}, f_{2})(h) \circ g_{1}$$

$$(2) \text{ of def. } (14) \rightarrow = F_{1}(g_{1}, g_{2})(F_{1}(f_{1}, f_{2})(h))$$

$$= F_{1}(g)(F_{1}(f)(h))$$

$$= (F_{1}(g) \circ F_{1}(f))(h)$$

 $\Diamond$ 

## 2.8 Canonical product of functors

**Definition 15** We call canonical product of two functors  $F_1 : \mathcal{C}_1 \to \mathcal{D}_1$  and  $F_2 : \mathcal{C}_2 \to \mathcal{D}_2$  the functor  $G : \mathcal{C}_1 \times \mathcal{C}_2 \to \mathcal{D}_1 \times \mathcal{D}_2$  defined by  $G = (G_0, G_1)$  with:

(1) 
$$G_0(a_1, a_2) = (F_1(a_1), F_2(a_2))$$

(2) 
$$G_1(f_1, f_2) = (F_1(f_1), F_2(f_2))$$

where  $C_1, C_2, D_1, D_2$  are arbitrary categories, (1) holds for all  $a_1 \in Ob C_1$  and  $a_2 \in Ob C_2$ , and (2) holds for all  $f_1 \in Arr C_1$  and  $f_2 \in Arr C_2$ .

**Remark**: In accordance with notation (12), we are using the same notations  $F_1$  and  $F_2$  in definition (15) to describe the actions of these functors both on objects and on arrows. The alternative would be to use the notations  $(F_1)_0$ ,  $(F_1)_1$ ,  $(F_2)_0$  and  $(F_2)_1$  which would arguably be harder to read.

**Notation 17** The canonical product of functors  $F_1$  and  $F_2$  is denoted  $F_1 \times F_2$ .

**Proposition 27** Let  $F_1: \mathcal{C}_1 \to \mathcal{D}_1$  and  $F_2: \mathcal{C}_2 \to \mathcal{D}_2$  be two functors. Then the product of  $F_1$  and  $F_2$  is indeed a functor  $F_1 \times F_2: \mathcal{C}_1 \times \mathcal{C}_2 \to \mathcal{D}_1 \times \mathcal{D}_2$ .

### Proof

Let  $G = (G_0, G_1)$  denote the product functor  $F_1 \times F_2$ . We need to check that properties (1) - (5) of definition (9) are satisfied, which goes as follows:

(1):  $G_0$  is indeed a map  $G_0$ : Ob  $(\mathcal{C}_1 \times \mathcal{C}_2) \to \text{Ob } (\mathcal{D}_1 \times \mathcal{D}_2)$ : firstly,  $G_0$  is defined on the collection of all  $(a_1, a_2)$  where  $a_1 \in \text{Ob } \mathcal{C}_1$  and  $a_2 \in \text{Ob } \mathcal{C}_2$ . According to definition (6), this is precisely the collection Ob  $(\mathcal{C}_1 \times \mathcal{C}_2)$ . Furthermore  $G_0(a_1, a_2)$  is defined as  $(F_1(a_1), F_2(a_2))$  and since  $F_1 : \mathcal{C}_1 \to \mathcal{D}_1$  while

 $F_2: \mathcal{C}_2 \to \mathcal{D}_2$ , we have  $F_1(a_1) \in \text{Ob } \mathcal{D}_1$  together with  $F_2(a_2) \in \text{Ob } \mathcal{D}_2$ . Hence we see that  $G_0(a_1, a_2)$  is indeed a member of the collection  $\text{Ob } (\mathcal{D}_1 \times \mathcal{D}_2)$ .

- (2):  $G_1$  is indeed a map  $G_1$ : Arr  $(C_1 \times C_2) \to \text{Arr } (\mathcal{D}_1 \times \mathcal{D}_2)$ :  $G_1$  is defined on the collection of all  $(f_1, f_2)$  where  $f_1 \in \text{Arr } C_1$  and  $f_2 \in \text{Arr } C_2$ . According to definition (6), this is precisely the collection  $\text{Arr } (C_1 \times C_2)$ . Furthermore  $G_1(f_1, f_2)$  is defined as  $(F_1(f_1), F_2(f_2))$  and since  $F_1 : \mathcal{C}_1 \to \mathcal{D}_1$  while we have  $F_2 : \mathcal{C}_2 \to \mathcal{D}_2$ , we see that  $F_1(f_1) \in \text{Arr } \mathcal{D}_1$  and  $F_2(f_2) \in \text{Arr } \mathcal{D}_2$ . Hence we conclude that  $G_1(f_1, f_2)$  is indeed a member of the collection  $\text{Arr } (\mathcal{D}_1 \times \mathcal{D}_2)$ .
- (3): We need to show that  $G_1(f): G_0(a) \to G_0(b)$  whenever  $f: a \to b$ : let  $f \in \operatorname{Arr} (\mathcal{C}_1 \times \mathcal{C}_2)$  such that  $\operatorname{dom}(f) = a$  and  $\operatorname{cod}(f) = b$ . Then  $f = (f_1, f_2)$  for some  $f_1 \in \operatorname{Arr} \mathcal{C}_1$  and  $f_2 \in \operatorname{Arr} \mathcal{C}_2$ . Furthermore, since  $a, b \in \operatorname{Ob} (\mathcal{C}_1 \times \mathcal{C}_2)$ , we have  $a = (a_1, a_2)$  and  $b = (b_1, b_2)$  for some  $a_1, b_1 \in \operatorname{Ob} \mathcal{C}_1$  and  $a_2, b_2 \in \operatorname{Ob} \mathcal{C}_2$ :

$$(a_1, a_2) = a$$
  
=  $dom(f)$   
=  $dom(f_1, f_2)$   
(3) of def. (6)  $\rightarrow$  =  $(dom(f_1), dom(f_2))$ 

Hence we have  $a_1 = \text{dom}(f_1)$  and  $a_2 = \text{dom}(f_2)$  and similarly:

$$(b_1, b_2) = b$$
  
=  $\cot(f)$   
=  $\cot(f_1, f_2)$   
(4) of def. (6)  $\rightarrow$  =  $(\cot(f_1), \cot(f_2))$ 

from which we conclude that  $b_1 = \operatorname{cod}(f_1)$  and  $b_2 = \operatorname{cod}(f_2)$ . Hence we see that  $f_1: a_1 \to b_1 @ \mathcal{C}_1$  and  $f_2: a_2 \to b_2 @ \mathcal{C}_2$ . Since  $F_1$  and  $F_2$  are functors, using (3) of definition (9) we obtain  $F_1(f_1): F_1(a_1) \to F_1(b_1) @ \mathcal{D}_1$  and likewise  $F_2(f_2): F_2(a_2) \to F_2(b_2) @ \mathcal{D}_2$ . In order to show that  $G_1(f): G_0(a) \to G_0(b)$ , since we already know that  $G_1(f) \in \operatorname{Arr}(\mathcal{D}_1 \times \mathcal{D}_2)$ , it remains to show that  $\operatorname{dom}(G_1(f)) = G_0(a)$  and  $\operatorname{cod}(G_1(f)) = G_0(b)$ , which goes as follows:

$$dom (G_{1}(f)) = dom (G_{1}(f_{1}, f_{2}))$$
(2) of def. (15)  $\rightarrow = dom (F_{1}(f_{1}), F_{2}(f_{2}))$ 
(3) of def. (6)  $\rightarrow = (dom (F_{1}(f_{1})), dom (F_{2}(f_{2})))$ 

$$F_{1}(f_{1}) : F_{1}(a_{1}) \rightarrow F_{1}(b_{1}) \rightarrow = (F_{1}(a_{1}), dom (F_{2}(f_{2})))$$

$$F_{2}(f_{2}) : F_{2}(a_{2}) \rightarrow F_{2}(b_{2}) \rightarrow = (F_{1}(a_{1}), F_{2}(a_{2}))$$
(1) of def. (15)  $\rightarrow = G_{0}(a_{1}, a_{2})$ 

$$= G_{0}(a)$$

$$cod (G_{1}(f)) = cod (G_{1}(f_{1}, f_{2}))$$

$$(2) \text{ of def. } (15) \rightarrow = \operatorname{cod} (F_1(f_1), F_2(f_2))$$

$$(4) \text{ of def. } (6) \rightarrow = (\operatorname{cod} (F_1(f_1)), \operatorname{cod} (F_2(f_2)))$$

$$F_1(f_1) : F_1(a_1) \rightarrow F_1(b_1) \rightarrow = (F_1(b_1), \operatorname{cod} (F_2(f_2)))$$

$$F_2(f_2) : F_2(a_2) \rightarrow F_2(b_2) \rightarrow = (F_1(b_1), F_2(b_2))$$

$$(1) \text{ of def. } (15) \rightarrow = G_0(b_1, b_2)$$

$$= G_0(b)$$

(4): We have  $G_1(\mathrm{id}(a)) = \mathrm{id}(G_0(a))$  for all  $a = (a_1, a_2) \in C_1 \times C_2$ :

$$G_{1}(id(a)) = G_{1}(id(a_{1}, a_{2}))$$

$$(5) \text{ of def. } (6) \rightarrow = G_{1}(id(a_{1}), id(a_{2}))$$

$$(2) \text{ of def. } (15) \rightarrow = (F_{1}(id(a_{1})), F_{2}(id(a_{2})))$$

$$(4) \text{ of def. } (9) \rightarrow = (id(F_{1}(a_{1})), id(F_{2}(a_{2})))$$

$$(5) \text{ of def. } (6) \rightarrow = id(F_{1}(a_{1}), F_{2}(a_{2}))$$

$$(1) \text{ of def. } (15) \rightarrow = id(G_{0}(a_{1}, a_{2}))$$

$$= id(G_{0}(a))$$

(5): We need to show that  $G_1(g \circ f) = G_1(g) \circ G_1(f)$  for all  $f: a \to b @ \mathcal{C}_1 \times \mathcal{C}_2$  and  $g: b \to c @ \mathcal{C}_1 \times \mathcal{C}_2$ . So let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  with  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$  and  $c = (c_1, c_2)$ . Following the same details as in (3), we have  $f_1: a_1 \to b_1$  and  $f_2: a_2 \to b_2$  and similarly  $g_1: b_1 \to c_1$  and  $g_2: b_2 \to c_2$ :

$$G_{1}(g \circ f) = G_{1}((g_{1}, g_{2}) \circ (f_{1}, f_{2}))$$
(6) of def. (6)  $\rightarrow = G_{1}(g_{1} \circ f_{1}, g_{2} \circ f_{2})$   
(2) of def. (15)  $\rightarrow = (F_{1}(g_{1} \circ f_{1}), F_{2}(g_{2} \circ f_{2}))$   
(5) of def. (9)  $\rightarrow = (F_{1}(g_{1}) \circ F_{1}(f_{1}), F_{2}(g_{2}) \circ F_{2}(f_{2}))$   
(6) of def. (6)  $\rightarrow = (F_{1}(g_{1}), F_{2}(g_{2})) \circ (F_{1}(f_{1}), F_{2}(f_{2}))$   
(2) of def. (15)  $\rightarrow = G_{1}(g_{1}, g_{2}) \circ G_{1}(f_{1}, f_{2})$   
 $= G_{1}(g) \circ G_{1}(f)$ 

**\rightarrow** 

## 2.9 Cartesian Functor

**Definition 16** The cartesian functor  $F : \mathbf{Set} \times \mathbf{Set} \to \mathbf{Set}$  is defined by:

(1) 
$$F_0(a_1, a_2) = a_1 \times a_2$$

(2) 
$$F_1(f_1, f_2)(x_1, x_2) = (f_1(x_1), f_2(x_2))$$

where (1) holds for all sets  $a_1, a_2$ , and (2) holds for all sets  $a_1, a_2, b_1, b_2$  as well as  $f_1: a_1 \to b_1$ ,  $f_2: a_2 \to b_2$  together with  $x_1 \in a_1$  and  $x_2 \in a_2$ .

**Remark**: Recall that given two sets  $a_1$  and  $a_2$ , the cartesian product  $a_1 \times a_2$  of  $a_1$  and  $a_2$  is the set of all ordered pairs  $(x_1, x_2)$  where  $x_1 \in a_1$  and  $x_2 \in a_2$ :

$$a_1 \times a_2 = \{(x_1, x_2) \mid x_1 \in a_1, x_2 \in a_2\}$$

**Notation 18** The cartesian functor is denoted  $(\times)$  as an infix operator.

Remark: So if  $F : \mathbf{Set} \times \mathbf{Set} \to \mathbf{Set}$  is the cartesian functor, we shall typicallly write  $a_1 \times a_2$  and  $f_1 \times f_2$  instead of  $F_0(a_1, a_2)$  and  $F_1(f_1, f_2)$  respectively. Remark: If  $f_1$  and  $f_2$  are arrows of the category  $\mathbf{Set}$ , strictly speaking from definition (3)  $f_1$  and  $f_2$  are typed functions (a, b, f) where  $f : a \to b$  is untyped. In particular  $f_1$  and  $f_2$  are sets and the cartesian product  $f_1 \times f_2$  is meaningful, so we have a notational conflict with  $f_1 \times f_2$ , the cartesian functor evaluated at  $(f_1, f_2)$ . Similarly to the composition  $\circ$ , the symbol  $\times$  is highly overloaded and may also be used to denote the canonical product of two categories as in definition (6), or the canonical product of two functors as in notation (17).

**Proposition 28** The cartesian functor  $(\times)$  is a functor  $(\times)$ : **Set**  $\times$  **Set**  $\rightarrow$  **Set**.

#### Proof

Let  $F = (F_0, F_1)$  denote the cartesian functor (×). We need to check that properties (1) - (5) of definition (9) are satisfied:

- (1):  $F_0$  is indeed a map  $F_0$ : Ob  $(\mathbf{Set} \times \mathbf{Set}) \to \mathbf{Ob} \ \mathbf{Set}$ , since  $F_0$  is defined on the collection of all  $(a_1, a_2)$  where  $a_1$  and  $a_2$  are sets and this collection is indeed the collection of all objects of  $\mathbf{Set} \times \mathbf{Set}$ . Furthemore  $F_0(a_1, a_2) = a_1 \times a_2 \in \mathbf{Set}$ .
- (2):  $F_1$  is indeed a map  $F_1$ : Arr  $(\mathbf{Set} \times \mathbf{Set}) \to \mathbf{Arr} \mathbf{Set}$ :  $F_1(f_1, f_2)$  is defined on the collection of all  $(f_1, f_2)$  where  $f_1 : a_1 \to b_1$  and  $f_2 : a_2 \to b_2$  for arbitrary  $a_1, a_2, b_1, b_2$ . So it is defined on the collection of all  $(f_1, f_2)$  where  $f_1, f_2$  are arrows in  $\mathbf{Set}$ , and this collection is indeed  $\mathbf{Arr} (\mathbf{Set} \times \mathbf{Set})$ . Furthemore, if we have  $f_1 : a_1 \to b_1$  and  $f_2 : a_2 \to b_2$ , then  $F_1(f_1, f_2)(x_1, x_2)$  is defined for all  $(x_1, x_2)$  where  $x_1 \in a_1$  and  $x_2 \in a_2$ . So  $F_1(f_1, f_2)$  is a function defined on the cartesian product  $a_1 \times a_2$ . Since  $F_1(f_1, f_2)(x_1, x_2)$  is defined as  $(f_1(x_1), f_2(x_2))$  we see that  $F_1(f_1, f_2)$  is in fact a function  $F_1(f_1, f_2) : a_1 \times a_2 \to b_1 \times b_2$ . However, definition (16) does not spell out the fact that  $b_1 \times b_2$  is the intended codomain of  $F_1(f_1, f_2)$ , but this is pretty clear from the context. Furthermore, definition (16) does not explicitly define a typed function  $(a_1 \times a_2, b_1 \times b_2, F_1(f_1, f_2))$  of  $\mathbf{Set}$ , but only an untyped function  $F_1(f_1, f_2)$ . This is also fair enough given the context and in line with notation (9). We conclude that  $F_1(f_1, f_2) \in \mathbf{Arr} \mathbf{Set}$ .
- (3): We need to show that  $F_1(f): F_0(a) \to F_0(b)$  whenever  $f: a \to b$ : let  $f \in \text{Arr } (\mathbf{Set} \times \mathbf{Set})$  such that dom(f) = a and cod(f) = b. Then  $a = (a_1, a_2)$  for some sets  $a_1, a_2, b = (b_1, b_2)$  for some sets  $b_1, b_2$  and  $f = (f_1, f_2)$  for some arrows  $f_1: a_1 \to b_1$  and  $f_2: a_2 \to b_2$ . We just established in (2) the fact that  $F_1(f_1, f_2): a_1 \times a_2 \to b_1 \times b_2$ , which is  $F_1(f): F_0(a) \to F_0(b)$  as requested.
- (4): We need to show that  $F_1(\operatorname{id}(a)) = \operatorname{id}(F_0(a))$  for all  $a \in \operatorname{\mathbf{Set}} \times \operatorname{\mathbf{Set}}$ . So let  $a = (a_1, a_2)$ . We need to show that  $F_1(\operatorname{id}(a)) = \operatorname{id}(a_1 \times a_2)$ . We already know that  $F_1(\operatorname{id}(a)) : a_1 \times a_2 \to a_1 \times a_2$ . So we simply need to check that the

underlying function is the usual identity on the set  $a_1 \times a_2$ . Let  $(x_1, x_2) \in a_1 \times a_2$ :

```
F_{1}(id(a))(x_{1},x_{2}) = F_{1}(id(a_{1},a_{2}))(x_{1},x_{2})
(5) \text{ of def. } (6) \rightarrow = F_{1}(id(a_{1}),id(a_{2}))(x_{1},x_{2})
(2) \text{ of def. } (16) \rightarrow = (id(a_{1})(x_{1}),id(a_{2})(x_{2}))
(5) \text{ of def. } (3) \rightarrow = ((a_{1},a_{1},i(a_{1}))(x_{1}),(a_{2},a_{2},i(a_{2}))(x_{2}))
notation (10) \rightarrow = (i(a_{1})(x_{1}),i(a_{2})(x_{2}))
i(a)(x) = x \rightarrow = (x_{1},x_{2})
```

(5): We need to show that  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  for  $f: a \to b @$  **Set** × **Set** and  $g: b \to c @$  **Set** × **Set**. So let  $f = (f_1, f_2)$  and  $g = (g_1, g_2)$  with  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$  and  $c = (c_1, c_2)$ . Then we have  $f_1: a_1 \to b_1$  and  $f_2: a_2 \to b_2$  and similarly  $g_1: b_1 \to c_1$  and  $g_2: b_2 \to c_2$ . We know that  $F_1(f): a_1 \times a_2 \to b_1 \times b_2$  and  $F_1(g): b_1 \times b_2 \to c_1 \times c_2$ . Hence using proposition (6), in order to show that  $F_1(g \circ f) = F_1(g) \circ F_1(f)$  it is sufficient to prove that the underlying functions coincide on the set  $a_1 \times a_2$ . Given  $(x_1, x_2) \in a_1 \times a_2$ , we have:

```
F_{1}(g \circ f)(x_{1}, x_{2}) = F_{1}((g_{1}, g_{2}) \circ (f_{1}, f_{2}))(x_{1}, x_{2})
(6) \text{ of def. } (6) \rightarrow = F_{1}(g_{1} \circ f_{1}, g_{2} \circ f_{2})(x_{1}, x_{2})
(2) \text{ of def. } (16) \rightarrow = ((g_{1} \circ f_{1})(x_{1}), (g_{2} \circ f_{2})(x_{2}))
\circ \text{ in } \mathbf{Set} \rightarrow = (g_{1}(f_{1}(x_{1})), g_{2}(f_{2}(x_{2})))
(2) \text{ of def. } (16) \rightarrow = F_{1}(g_{1}, g_{2})(f_{1}(x_{1}), f_{2}(x_{2}))
(2) \text{ of def. } (16) \rightarrow = F_{1}(g_{1}, g_{2})(F_{1}(f_{1}, f_{2})(x_{1}, x_{2}))
\circ \text{ in } \mathbf{Set} \rightarrow = (F_{1}(g_{1}, g_{2}) \circ F_{1}(f_{1}, f_{2}))(x_{1}, x_{2})
= (F_{1}(g) \circ F_{1}(f))(x_{1}, x_{2})
```

 $\Diamond$ 

## 2.10 Category of small categories

We are now familiar with the notion of category as defined in (2) as well as that of functor as defined in (9). Thanks to definition (13), we know how to compose functors, and we also have a notion of identity functor as defined in (10). So it is very tempting at this stage to wonder whether the collection of all categories could be turned into a category itself, in which the objects are categories and the arrows are functors. However, we know from set theory that assuming the existence of the set of all sets leads to a contradiction. Those familiar with proof assistants such that Coq, Agda and Lean will also be used to the idea that the type of all types does not exist, as we have universes and type levels instead. So we shall not attempt to define the category of all categories here. Instead, we shall focus on a collection which is a lot smaller by considering only those categories which are small, as per definition (1).

**Definition 17** We call **cat** the category **cat** =  $(Ob, Arr, dom, cod, id, \circ)$  where

- (1) Ob = {  $C \mid C \text{ is a small category }}$
- (2) Arr = {  $(C, D, F) \mid C, D \in Ob \ and \ F : C \to D }$
- (3)  $\operatorname{dom}(\mathcal{C}, \mathcal{D}, F) = \mathcal{C}$
- (4)  $\operatorname{cod}(\mathcal{C}, \mathcal{D}, F) = \mathcal{D}$
- (5)  $id(\mathcal{C}) = (\mathcal{C}, \mathcal{C}, I_{\mathcal{C}})$
- (6)  $(\mathcal{D}, \mathcal{E}, G) \circ (\mathcal{C}, \mathcal{D}, F) = (\mathcal{C}, \mathcal{E}, G \circ F)$

where (3) - (6) hold for all small categories  $C, \mathcal{D}, \mathcal{E}$ , and functors  $F : C \to \mathcal{D}$  and  $G : \mathcal{D} \to \mathcal{E}$ ,  $I_{\mathcal{C}}$  is the identity functor of definition (10) and  $G \circ F$  is the composition of G and F as per definition (13).

**Remark**: Similarly to definition (3) where the arrows of the category **Set** are not functions but *typed functions*, the arrows of the category **cat** are not functors but *typed functors* as per definition (12).

The definition of **cat** is formally very similar to that of the category **Set**. However, we still need to do our due diligence and check it forms a category. Before we do so, we shall emphasize a couple of results:

**Proposition 29** Let C be a small category. Then C is a set.

## Proof

According to definition (1), a small category is a tuple (Ob, Arr, dom, cod, id,  $\circ$ ) in which all the entries are sets, functions between sets (which are also sets) or a partial function between sets (which is also a set). Hence all the entries of this tuple are in fact sets which makes the tuple itself a set.  $\diamond$ 

**Proposition 30** A functor  $F: \mathcal{C} \to \mathcal{D}$  between small categories  $\mathcal{C}, \mathcal{D}$  is a set.

## Proof

Using definition (9), a functor F is a tuple  $F = (F_0, F_1)$  where  $F_0$  and  $F_1$  are maps  $F_0 : \text{Ob } \mathcal{C} \to \text{Ob } \mathcal{D}$  and  $F_1 : \text{Arr } \mathcal{C} \to \text{Arr } \mathcal{D}$ . When both  $\mathcal{C}$  and  $\mathcal{D}$  are small, the collections  $\text{Ob } \mathcal{C}$ ,  $\text{Ob } \mathcal{D}$ ,  $\text{Arr } \mathcal{C}$  and  $\text{Arr } \mathcal{D}$  are sets and  $F_0$ ,  $F_1$  are therefore maps between sets, i.e. functions which are also sets. So  $F = (F_0, F_1)$  is a tuple where both entries are sets, which makes F itself a set.  $\diamond$ 

**Proposition 31** The category cat of definition (17) is a category.

### **Proof**

Given  $(Ob, Arr, dom, cod, id, \circ)$  of definition (17), we need to check that this data satisfies condition (1) - (13) of definition (2).

- (1): The collection  $Ob = \{ C \mid C \text{ is a small category } \}$  should be a collection with equality. However, from proposition (29) every small category is a set and Ob is therefore a collection of sets for which set equality is defined.
- (2): The collection Arr =  $\{ (\mathcal{C}, \mathcal{D}, F) \mid \mathcal{C}, \mathcal{D} \in \text{Ob and } F : \mathcal{C} \to \mathcal{D} \}$  should be a collection with equality. It is sufficient for us to establish that all members

of this collection are sets. We have already seen from proposition (29) that a small category is a set, so each triple  $(\mathcal{C}, \mathcal{D}, F)$  is also a set provided we can show that any functor  $F: \mathcal{C} \to \mathcal{D}$  between two small categories is a set, which is exactly proposition (30).

- (3): dom should be a map dom : Arr  $\rightarrow$  Ob. The equation dom $(\mathcal{C}, \mathcal{D}, F) = \mathcal{C}$  holds for all small categories  $\mathcal{C}, \mathcal{D}$  and functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ . So dom is indeed defined on the collection Arr as requested. Furthermore dom $(f) \in$  Ob for all f.
  - (4): cod should be a map cod : Arr  $\rightarrow$  Ob which is the case as per (3).
- (5): id should be a map id : Ob  $\rightarrow$  Arr. The equation  $id(\mathcal{C}) = (\mathcal{C}, \mathcal{C}, I_{\mathcal{C}})$  holds for all small category  $\mathcal{C}$ . So id is indeed defined on Ob as requested. So it remains to show that  $(\mathcal{C}, \mathcal{C}, I_{\mathcal{C}}) \in$  Arr for all  $\mathcal{C}$ , which the case since the identity functor  $I_{\mathcal{C}}$  is a functor  $I_{\mathcal{C}} : \mathcal{C} \to \mathcal{C}$  as per proposition (18).
- (6):  $\circ$  should be a partial map  $\circ$ : Arr  $\times$  Arr. From definition (17),  $g \circ f$  is defined whenever f and g are of the form  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g = (\mathcal{D}, \mathcal{E}, G)$  where  $F : \mathcal{C} \to \mathcal{D}$  and  $G : \mathcal{D} \to \mathcal{E}$ . So  $g \circ f$  is defined on a sub-collection of Arr  $\times$  Arr as requested. So it remains to show that  $g \circ f \in$  Arr when defined. However,  $g \circ f$  is defined as  $(\mathcal{C}, \mathcal{E}, G \circ F)$  where  $G \circ F$  is the functor composition, so it remains to show that  $G \circ F : \mathcal{C} \to \mathcal{E}$  which follows from proposition (21).
- (7):  $g \circ f$  should be defined exactly when  $\operatorname{cod}(f) = \operatorname{dom}(g)$ . From definition (17),  $g \circ f$  is defined exactly when f is of the form  $f = (\mathcal{C}, \mathcal{D}, F)$  and g is of the form  $(\mathcal{D}, \mathcal{E}, G)$ . Since  $\operatorname{cod}(f) = \mathcal{D}$  and  $\operatorname{dom}(g) = \mathcal{D}$ , we see that  $g \circ f$  is defined for all arrows f, g for which  $\operatorname{cod}(f) = \operatorname{dom}(g)$  as requested.
- (8): We should have  $dom(g \circ f) = dom(f)$  when  $g \circ f$  is defined. So let  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g = (\mathcal{D}, \mathcal{E}, G)$ . Then we have  $g \circ f = (\mathcal{C}, \mathcal{E}, G \circ F)$  and consequently  $dom(g \circ f) = \mathcal{C}$  which is dom(f) as requested.
- (9): We should have  $\operatorname{cod}(g \circ f) = \operatorname{cod}(g)$  when  $g \circ f$  is defined. So let  $f = (\mathcal{C}, \mathcal{D}, F)$  and  $g = (\mathcal{D}, \mathcal{E}, G)$ . Then we have  $g \circ f = (\mathcal{C}, \mathcal{E}, G \circ F)$  and consequently  $\operatorname{cod}(g \circ f) = \mathcal{E}$  which is  $\operatorname{cod}(g)$  as requested.
- (10): We should have  $(h \circ g) \circ f = h \circ (g \circ f)$  whenever  $g \circ f$  and  $h \circ g$  are well defined. So let  $f = (\mathcal{C}, \mathcal{D}, F), g = (\mathcal{D}, \mathcal{E}, G)$  and  $h = (\mathcal{E}, \mathcal{F}, H)$ . We have:

$$(h \circ g) \circ f = ((\mathcal{E}, \mathcal{F}, H) \circ (\mathcal{D}, \mathcal{E}, G)) \circ (\mathcal{C}, \mathcal{D}, F)$$

$$(6) \text{ of def. } (17) \rightarrow = (\mathcal{D}, \mathcal{F}, H \circ G) \circ (\mathcal{C}, \mathcal{D}, F)$$

$$(6) \text{ of def. } (17) \rightarrow = (\mathcal{C}, \mathcal{F}, (H \circ G) \circ F)$$

$$\text{functor } \circ \text{ assoc, prop } (23) \rightarrow = (\mathcal{C}, \mathcal{F}, H \circ (G \circ F))$$

$$(6) \text{ of def. } (17) \rightarrow = (\mathcal{E}, \mathcal{F}, H) \circ (\mathcal{C}, \mathcal{E}, G \circ F)$$

$$(6) \text{ of def. } (17) \rightarrow = (\mathcal{E}, \mathcal{F}, H) \circ ((\mathcal{D}, \mathcal{E}, G) \circ (\mathcal{C}, \mathcal{D}, F))$$

$$= h \circ (g \circ f)$$

(11): We should have dom (id( $\mathcal{C}$ )) =  $\mathcal{C}$  = cod (id( $\mathcal{C}$ )) whenever  $\mathcal{C} \in Ob$ :

$$\operatorname{dom}(\operatorname{id}(\mathcal{C})) = \operatorname{dom}(\mathcal{C}, \mathcal{C}, I_{\mathcal{C}}) \leftarrow (5) \text{ of def. (17)}$$
(3) of def. (17)  $\rightarrow = \mathcal{C}$ 
(4) of def. (17)  $\rightarrow = \operatorname{cod}(\mathcal{C}, \mathcal{C}, I_{\mathcal{C}})$ 

(12): We should have  $f \circ id(\mathcal{C}) = f$  whenever  $dom(f) = \mathcal{C}$  so let  $f = (\mathcal{C}, \mathcal{D}, F)$ :

$$f \circ \mathrm{id}(\mathcal{C}) = (\mathcal{C}, \mathcal{D}, F) \circ \mathrm{id}(\mathcal{C})$$
(5) of def. (17)  $\rightarrow$  =  $(\mathcal{C}, \mathcal{D}, F) \circ (\mathcal{C}, \mathcal{C}, I_{\mathcal{C}})$   
(6) of def. (17)  $\rightarrow$  =  $(\mathcal{C}, \mathcal{D}, F \circ I_{\mathcal{C}})$   
prop. (25)  $\rightarrow$  =  $(\mathcal{C}, \mathcal{D}, F)$   
=  $f$ 

(13): We should have  $id(\mathcal{D}) \circ f = f$  whenever  $cod(f) = \mathcal{D}$  so let  $f = (\mathcal{C}, \mathcal{D}, F)$ :

$$id(\mathcal{D}) \circ f = id(\mathcal{D}) \circ (\mathcal{C}, \mathcal{D}, F)$$
(5) of def. (17)  $\rightarrow = (\mathcal{D}, \mathcal{D}, I_{\mathcal{D}}) \circ (\mathcal{C}, \mathcal{D}, F)$ 
(6) of def. (17)  $\rightarrow = (\mathcal{C}, \mathcal{D}, I_{\mathcal{D}} \circ F)$ 

$$prop. (24) \rightarrow = (\mathcal{C}, \mathcal{D}, F)$$

$$= f$$

 $\Diamond$ 

Proposition 32 The category cat is not small.

#### Proof

Suppose **cat** is a small category. Then **cat** = (Ob, Arr, dom, cod, id,  $\circ$ ) where Ob is a set by virtue of definition (1). Using axiom (4) we obtain Ob **cat** = Ob and it follows that Ob **cat** is itself a set. In order to obtain a contradiction, using axiom (6), it is sufficient to construct an injective map  $f: \mathcal{U} \to \text{Ob } \text{cat}$  defined on the collection of all sets  $\mathcal{U}$ . Given a set x consider the small category  $\mathcal{C}_x = (\{x\}, \{x\}, \text{dom}, \text{cod}, \text{id}, \circ)$  with a single object x and a single arrow x, where dom(x) = x = cod(x) and id(x) = x and  $x \circ x = x$ . Being a small category, from definition (17) we see that  $\mathcal{C}_x$  is a member of the collection Ob **cat**. We then define a map  $f: \mathcal{U} \to \text{Ob } \text{cat}$  by setting  $f(x) = \mathcal{C}_x$ . This map is injective as the equality f(x) = f(y) clearly implies x = y.  $\diamond$ 

**Proposition 33** The category cat is locally small.

#### Proof

From definition (8) we need to show that given two small categories  $\mathcal{C}$  and  $\mathcal{D}$ , the hom-set  $\mathbf{cat}(\mathcal{C}, \mathcal{D})$  is actually a set. In order to do so, we shall use axiom (5) and argue that the collection  $\mathbf{cat}(\mathcal{C}, \mathcal{D})$  is a sub-collection of some set. An member of this collection is a triple  $(\mathcal{C}, \mathcal{D}, F)$  where F is a functor  $F : \mathcal{C} \to \mathcal{D}$ . Now every functor  $F : \mathcal{C} \to \mathcal{D}$  is an ordered pair  $F = (F_0, F_1)$  where  $F_0$  and  $F_1$  are maps  $F_0 : \mathrm{Ob} \ \mathcal{C} \to \mathrm{Ob} \ \mathcal{D}$  and  $F_1 : \mathrm{Arr} \ \mathcal{C} \to \mathrm{Arr} \ \mathcal{D}$ . Since  $\mathcal{C}$  and  $\mathcal{D}$  are small categories, all the collections  $\mathrm{Ob} \ \mathcal{C}$ ,  $\mathrm{Ob} \ \mathcal{D}$ ,  $\mathrm{Arr} \ \mathcal{C}$  and  $\mathrm{Arr} \ \mathcal{D}$  are sets, and  $F_0$  and  $F_1$  are in fact (untyped) functions between sets and in particular  $F_0$  is a subset of the set  $\mathrm{Ob} \ \mathcal{C} \times \mathrm{Ob} \ \mathcal{D}$  and  $F_1$  is a subset of the set  $\mathrm{Arr} \ \mathcal{C} \times \mathrm{Arr} \ \mathcal{D}$ . In other words,  $F_0$  is an element of the power set  $\mathcal{P}(\mathrm{Ob} \ \mathcal{C} \times \mathrm{Ob} \ \mathcal{D})$  and  $F_1$  is an

element of the power set  $\mathcal{P}(\operatorname{Arr} \mathcal{C} \times \operatorname{Arr} \mathcal{D})$ , which means that  $F = (F_0, F_1)$  is an element of the cartesian product:

$$A = \mathcal{P}(\text{Ob } \mathcal{C} \times \text{Ob } \mathcal{D}) \times \mathcal{P}(\text{Arr } \mathcal{C} \times \text{Arr } \mathcal{D})$$

Furthermore, recall from proposition (29) that the small categories  $\mathcal{C}$  and  $\mathcal{D}$  are sets and  $\{\mathcal{C}\}$  and  $\{\mathcal{D}\}$  are therefore well-defined singleton sets. Having established that F is an element of the set A above, we see that the triple  $(\mathcal{C}, \mathcal{D}, F)$  is an element of the set  $\{\mathcal{C}\} \times \{\mathcal{D}\} \times A$ . So given small categories  $\mathcal{C}$  and  $\mathcal{D}$  we have found a set containing every member of the collection  $\mathbf{cat}(\mathcal{C}, \mathcal{D})$ .

## Chapter 3

## **Natural Transformation**

#### 3.1 Natural Transformation

**Definition 18** Let  $F, G : \mathcal{C} \to \mathcal{D}$  be functors where  $\mathcal{C}$  and  $\mathcal{D}$  are categories. We call natural transformation from the typed functor  $(\mathcal{C}, \mathcal{D}, F)$  to the typed functor  $(\mathcal{C}, \mathcal{D}, G)$  any map  $\alpha : \text{Ob } \mathcal{C} \to \text{Arr } \mathcal{D}$  with the following properties:

(1) 
$$\alpha(a): F(a) \to G(a)$$

(2) 
$$G(f) \circ \alpha(a) = \alpha(b) \circ F(f)$$

where (1) holds for all  $a \in Ob \mathcal{C}$  and (2) holds for all  $a, b \in Ob \mathcal{C}$  and  $f : a \to b$ .

Remark: It is very common to casually say that  $\alpha$  is a natural transformation between F and G, i.e. to refer only to the untyped functors F and G rather than spell out the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ . This is fine as long as the typed functors under consideration are clear from the context. It should be remembered however that being a natural transformation is a statement about typed functors, not untyped functors, as the knowledge of which categories  $\mathcal{C}$  and  $\mathcal{D}$  are involved crucially matters and these categories are not determined from F and G alone, which are also functors from  $\mathcal{C}^{op} \to \mathcal{D}^{op}$  (proposition (20).

**Notation 19** We shall use  $\alpha : (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$  as a notational shortcut for the statement that  $\alpha$  is a natural transformation between the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ . We shall write  $\alpha : F \Rightarrow G$  when the context is clear.

**Remark**: A mental picture of a natural transformation  $\alpha : F \Rightarrow G$  where F and G are two functors between categories C and D is as follows:



**Remark**: Given  $F, G: \mathcal{C} \to \mathcal{D}$  and  $\alpha: F \Rightarrow G$ , given  $a, b \in \text{Ob } \mathcal{C}$  and  $f: a \to b$ , since F and G are functors we have  $F(f): F(a) \to F(b)$ ,  $G(f): G(a) \to G(b)$  and from (1) of definition (18),  $\alpha(a): F(a) \to G(a)$  and  $\alpha(b): F(b) \to G(b)$ . It follows that both arrows  $G(f) \circ \alpha(a)$  and  $\alpha(b) \circ F(f)$  are well defined arrows in  $\mathcal{D}$  (from F(a) to G(b)), and the equality (2) of definition (18) is always meaningful. **Remark**: Equality (2) of definition (18) is commonly visualized as:

$$\begin{array}{ccc}
a & F(a) \xrightarrow{\alpha(a)} G(a) \\
f \downarrow & F(f) \downarrow & \downarrow G(f) \\
b & F(b) \xrightarrow{\alpha(b)} G(b)
\end{array}$$

This diagram is called the *naturality square* of the natural transformation  $\alpha$  relative to  $f: a \to b$ . Equality (2) is informally expressed by saying that the naturality square commutes, i.e. that both arrows obtained by composition along the two paths from F(a) to G(b) are equal.

**Definition 19** Given  $F, G : \mathcal{C} \to \mathcal{D}$  and  $\alpha : F \Rightarrow G$ , given  $a \in \mathcal{C}$  we call component at a of the natural transformation  $\alpha$ , the arrow  $\alpha(a) : F(a) \to G(a)$ .

**Remark**: The component  $\alpha(a)$  of  $\alpha$  at  $a \in \mathcal{C}$  is an arrow in the category  $\mathcal{D}$ .

**Notation 20** The component  $\alpha(a)$  of  $\alpha$  at a is commonly denoted  $\alpha_a$ .

## 3.2 Identity natural transformation

**Definition 20** Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor where  $\mathcal{C}, \mathcal{D}$  are categories. We call identity natural transformation on F, the natural transformation  $\iota_F: F \Rightarrow F$ :

(1) 
$$\iota_F(a) = \mathrm{id}(F(a))$$

where (1) holds for all  $a \in \mathcal{C}$ .

**Remark**: A mental picture of the identity natural transformation  $\iota_F : F \Rightarrow F$  where F is a functor between categories  $\mathcal{C}$  and  $\mathcal{D}$  is as follows:

$$\mathcal{C}$$
 $\downarrow_{\iota_F}$ 
 $\mathcal{D}$ 

**Proposition 34** The identity natural transformation  $\iota_F : F \Rightarrow F$  on a functor  $F : \mathcal{C} \to \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories is indeed a natural transformation.

#### Proof

We need to check that  $\iota_F$  is a map defined on Ob  $\mathcal{C}$  which satisfies (1) and (2)

of definition (18). The fact that it is map defined on Ob  $\mathcal{C}$  is clear, since  $\iota_F(a)$  is defined for all  $a \in \mathcal{C}$ , that is for all  $a \in \mathcal{O}$ b  $\mathcal{C}$ .

- (1): We have  $\iota_F(a): F(a) \to F(a)$  for all  $a \in \mathcal{C}$ , since  $\iota_F(a) = \mathrm{id}(F(a))$
- (2): We need to check that  $F(f) \circ \iota_F(a) = \iota_F(b) \circ F(f)$  for all  $f: a \to b$  where  $a, b \in \mathcal{C}$ . So we need to show that  $F(f) \circ \operatorname{id}(F(a)) = \operatorname{id}(F(b)) \circ F(f)$ , which follows from (12) and (13) of definition (2) and the fact that  $F(f): F(a) \to F(b)$ .  $\diamond$

### 3.3 Natural transformation, opposite category

Given categories  $\mathcal{C}$  and  $\mathcal{D}$ , and a functor  $F: \mathcal{C} \to \mathcal{D}$ , we saw in proposition (20) that F is also a functor  $F: \mathcal{C}^{op} \to \mathcal{D}^{op}$ . Now consider two functors  $F, G: \mathcal{C} \to \mathcal{D}$  and a natural transformation  $\alpha: F \Rightarrow G$ . By virtue of notation (19),  $\alpha$  is a natural transformation between the typed functors  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$ , which are clearly understood from the context. But the notation is nonetheless ambiguous as it could also indicate that  $\alpha$  is a natural transformation between  $(\mathcal{C}^{op}, \mathcal{D}^{op}, F)$  and  $(\mathcal{C}^{op}, \mathcal{D}^{op}, G)$  among others. The two statements are not identical and in fact as it turns out, we have the equivalence:

**Proposition 35** Let  $F, G : \mathcal{C} \to \mathcal{D}$  be functors between categories  $\mathcal{C}, \mathcal{D}$ . Then:

$$\alpha: (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$$

is equivalent to:

$$\alpha: (\mathcal{C}^{op}, \mathcal{D}^{op}, G) \Rightarrow (\mathcal{C}^{op}, \mathcal{D}^{op}, F)$$

In other words, being a natural transformation  $\alpha : F \Rightarrow G$  (w.r. to C and D) is equivalent to being a natural transformation  $\alpha : G \Rightarrow F$  (w.r. to  $C^{op}$  and  $D^{op}$ ):

$$\mathcal{C} \underbrace{ \downarrow \alpha}_{G} \mathcal{D} \quad \Leftrightarrow \quad \mathcal{C}^{op} \underbrace{ \downarrow \alpha}_{F} \mathcal{D}^{op} \tag{3.1}$$

#### Proof

from proposition (9) we have  $(C^{op})^{op} = \mathcal{C}$  and  $(\mathcal{D}^{op})^{op} = \mathcal{D}$  and it is therefore sufficient to prove the implication  $\Rightarrow$ . So we assume that  $\alpha$  is a natural transformation  $\alpha: (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$ , and we need to show that it is also a natural transformation  $\alpha: (\mathcal{C}^{op}, \mathcal{D}^{op}, G) \Rightarrow (\mathcal{C}^{op}, \mathcal{D}^{op}, F)$ . Looking at definition (18), we first need to establish that  $\alpha$  is a map  $\alpha: \text{Ob } \mathcal{C}^{op} \to \text{Arr } \mathcal{D}^{op}$ . However, it is certainly a map  $\alpha: \text{Ob } \mathcal{C} \to \text{Arr } \mathcal{D}$  by assumption and from definition (5) we have  $\text{Ob } \mathcal{C} = \text{Ob } \mathcal{C}^{op}$  and  $\text{Arr } \mathcal{D} = \text{Arr } \mathcal{D}^{op}$ . Next we need to show property (1) of definition (18), namely that  $\alpha(a): G(a) \to F(a) @ \mathcal{D}^{op}$  for all  $a \in \text{Ob } \mathcal{C}^{op}$ . This follows immediately from the fact that  $\alpha(a): F(a) \to G(a) @ \mathcal{D}$  for all  $a \in \text{Ob } \mathcal{C}$ . So it remains to show property (2) of definition (18). So we assume that  $a, b \in \text{Ob } \mathcal{C}^{op} = \text{Ob } \mathcal{C}$  and  $f: a \to b @ \mathcal{C}^{op}$ . We need to show that

 $F(f) \circ \alpha(a) \otimes \mathcal{D}^{op} = \alpha(b) \circ G(f) \otimes \mathcal{D}^{op}$ , i.e. the following square commutes:

$$\begin{array}{ccc}
 & a & G(a) \xrightarrow{\alpha(a)} F(a) \\
 & & \downarrow & & \downarrow & \downarrow \\
 & & b & G(b) \xrightarrow{\alpha(b)} F(b)
\end{array}$$

A formal proof goes as follows:

$$F(f) \circ \alpha(a) @ \mathcal{D}^{op} = \alpha(a) \circ F(f) @ \mathcal{D} \leftarrow \text{ def. (5)}$$
(2) of def. (18),  $\alpha : F \Rightarrow G$ ,  $f : b \rightarrow a @ \mathcal{C} \rightarrow = G(f) \circ \alpha(b) @ \mathcal{D}$   

$$\det. (5) \rightarrow = \alpha(b) \circ G(f) @ \mathcal{D}^{op}$$

 $\Diamond$ 

**Remark**: proving that the above naturality square in relation to  $C^{op}$  and  $D^{op}$  commutes amounts to proving the same square commutes, in relation to C and D after all the arrows have been reversed, and this follows from  $\alpha: F \Rightarrow G$ .

Proposition (35) illustrates the fact that the knowledge of a natural transformation  $\alpha$  by itself does not tell us what its intended domain and codomain are. This situation is similar to that encountered with untyped functions and untyped functors. In line with definitions (4) and (12), we define:

**Definition 21** Given a natural transformation  $\alpha : (\mathcal{C}, \mathcal{D}, F) \Rightarrow (\mathcal{C}, \mathcal{D}, G)$  where  $(\mathcal{C}, \mathcal{D}, F)$  and  $(\mathcal{C}, \mathcal{D}, G)$  are typed functors,  $\alpha$  is called the untyped natural transformation while  $(F, G, \alpha)$  is called the typed natural transformation.

**Remark**: It may appear surprising that a typed natural transformation is defined as a triple  $(F, G, \alpha)$  which does not contain the data allowing us to recover the categories  $\mathcal{C}$  and  $\mathcal{D}$ . We are adopting this simpler definition as opposed to the expected  $((\mathcal{C}, \mathcal{D}, F), (\mathcal{C}, \mathcal{D}, G), \alpha)$  because it allows us to define the functor category of definition (23) while keeping notations light.

## 3.4 Composition of natural transformations

**Definition 22** Let  $\alpha: F \Rightarrow G$  and  $\beta: G \Rightarrow H$  be natural transformations where F, G and H are functors  $F, G, H: \mathcal{C} \to \mathcal{D}$  and  $\mathcal{C}, \mathcal{D}$  are categories. We call composition of  $\beta$  and  $\alpha$  the natural transformation  $\beta \circ \alpha: F \Rightarrow H$  with:

(1) 
$$(\beta \circ \alpha)(a) = \beta(a) \circ \alpha(a)$$

where (1) holds for all  $a \in \mathcal{C}$ .

**Remark**: If  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$  are natural transformations then for all  $a \in \mathcal{C}$  we have  $\alpha(a) : F(a) \to G(a)$  and  $\beta(a) : G(a) \to H(a)$ , and  $\beta(a) \circ \alpha(a)$  is therefore a well-defined arrow in  $\mathcal{D}$ .

**Proposition 36** Let  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$  be natural transformations where F, G and H are functors  $F, G, H : \mathcal{C} \to \mathcal{D}$  and  $\mathcal{C}, \mathcal{D}$  are categories. Then  $\beta \circ \alpha$  is indeed a natural transformation  $\beta \circ \alpha : F \Rightarrow H$ .

#### Proof

We need to check that  $\beta \circ \alpha$  is a map defined on Ob  $\mathcal{C}$  which satisfies (1) and (2) of definition (18). As noted above, since  $\alpha(a) : F(a) \to G(a)$  and  $\beta(a) : G(a) \to H(a)$ , the expression  $\beta(a) \circ \alpha(a)$  is a well-defined arrow in  $\mathcal{D}$  and  $(\beta \circ \alpha)(a)$  is thus well-defined for all  $a \in \mathcal{C}$ . So  $\beta \circ \alpha$  is a map defined on Ob  $\mathcal{C}$ .

- (1): We need to check that  $(\beta \circ \alpha)(a) : F(a) \to H(a)$  for all  $a \in \mathcal{C}$ , which is clear since  $(\beta \circ \alpha)(a) = \beta(a) \circ \alpha(a)$ ,  $\alpha(a) : F(a) \to G(a)$  and  $\beta(a) : G(a) \to H(a)$ .
- (2): We need  $H(f) \circ (\beta \circ \alpha)(a) = (\beta \circ \alpha)(b) \circ F(f)$  for all  $a, b \in \mathcal{C}$  and  $f: a \to b$ , which goes as follows:

$$H(f) \circ (\beta \circ \alpha)(a) = H(f) \circ (\beta(a) \circ \alpha(a)) \leftarrow (1) \text{ of def. (22)}$$

$$\circ \text{ associative in } \mathcal{D} \to = (H(f) \circ \beta(a)) \circ \alpha(a)$$

$$(2) \text{ of def. (18)}, \beta : G \Rightarrow H \to = (\beta(b) \circ G(f)) \circ \alpha(a)$$

$$\circ \text{ associative in } \mathcal{D} \to = \beta(b) \circ (G(f) \circ \alpha(a))$$

$$(2) \text{ of def. (18)}, \alpha : F \Rightarrow G \to = \beta(b) \circ (\alpha(b) \circ F(f))$$

$$\circ \text{ associative in } \mathcal{D} \to = (\beta(b) \circ \alpha(b)) \circ F(f)$$

$$(1) \text{ of def. (22)} \to = (\beta \circ \alpha)(b) \circ F(f)$$

**\** 

**Remark**: Showing that  $\beta \circ \alpha$  is a natural transformation is essentially about proving the equality  $H(f) \circ \beta(a) \circ \alpha(a) = \beta(b) \circ \alpha(b) \circ F(f)$ , where we no longer care about brackets as composition is associative in  $\mathcal{D}$ . Informally, this equality amounts to saying that the big rectangle below commutes:

$$\begin{array}{ccc} a & F(a) \xrightarrow{\alpha(a)} G(a) \xrightarrow{\beta(a)} H(a) \\ f \downarrow & F(f) \downarrow & \downarrow G(f) & \downarrow H(f) \\ b & F(b) \xrightarrow{\alpha(b)} G(b) \xrightarrow{\beta(b)} H(b) \end{array}$$

We formally proved the commutativity of this rectangle using the commutativity of the individual squares. Forgetting about associativity details:

$$H(f) \circ \beta(a) \circ \alpha(a) = \beta(b) \circ G(f) \circ \alpha(a) = \beta(b) \circ \alpha(b) \circ F(f)$$

We obtain a proof which is a lot simpler.

## 3.5 Equality between natural transformations

Now that we have a notion of composition between natural transformations, we would like to argue that this operation is associative. However, the statement

is not meaningful unless we are very clear as to what it means for two natural transformations to be equal. Now if  $F,G:\mathcal{C}\to\mathcal{D}$  are two functors between categories  $\mathcal{C}$  and  $\mathcal{D}$ , and if  $\alpha,\beta:F\Rightarrow G$  are natural transformations between the typed functors  $(\mathcal{C},\mathcal{D},F)$  and  $(\mathcal{C},\mathcal{D},G)$ , then  $\alpha,\beta:\mathrm{Ob}\ \mathcal{C}\to\mathrm{Arr}\ \mathcal{D}$ , i.e. both  $\alpha$  and  $\beta$  are maps from the collection  $\mathrm{Ob}\ \mathcal{C}$  to the collection  $\mathrm{Arr}\ \mathcal{D}$ . Furthermore since  $\mathcal{D}$  is a category, from (2) of definition (2) there is a clear notion of equality defined on  $\mathrm{Arr}\ \mathcal{D}$ . Hence given  $a\in\mathrm{Ob}\ \mathcal{C}$ , it is perfectly meaningful to ask whether  $\alpha(a)=\beta(a)$ . In fact, using the extentionality axiom (3) we have:

**Proposition 37** Let  $\alpha, \beta : F \Rightarrow G$  be two natural transformations between functors  $F, G : \mathcal{C} \to \mathcal{D}$  where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, such that:

$$\forall a \in \text{Ob } \mathcal{C} , \ \alpha(a) = \beta(a)$$

Then  $\alpha = \beta$ , i.e. the two natural transformations  $\alpha$  and  $\beta$  are equal.

#### Proof

This is an immediate consequence of the extensionality axiom (3).  $\diamond$ 

**Proposition 38** Let  $\alpha: F \Rightarrow G$ ,  $\beta: G \Rightarrow H$ ,  $\gamma: H \Rightarrow J$  be natural transformations between functors  $F, G, H, J: \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories. Then:

$$(\gamma \circ \beta) \circ \alpha = \gamma \circ (\beta \circ \alpha)$$

i.e. composition of natural transfromations is associative.

#### Proof

Using proposition (37) it is sufficient to show that for all  $a \in \mathcal{C}$ , the component at a of both natural transformations  $(\gamma \circ \beta) \circ \alpha$  and  $\gamma \circ (\beta \circ \alpha)$  are equal:

$$\begin{array}{rcl} (\ (\gamma \circ \beta) \circ \alpha \ )(a) & = & (\gamma \circ \beta)(a) \circ \alpha(a) \ \leftarrow \ (1) \ \text{of def.} \ (22) \\ (1) \ \text{of def.} \ (22) \ \rightarrow & = & (\gamma(a) \circ \beta(a)) \circ \alpha(a) \\ \circ \ \text{assoc in} \ \mathcal{D} \ \rightarrow & = & \gamma(a) \circ (\beta(a) \circ \alpha(a)) \\ (1) \ \text{of def.} \ (22) \ \rightarrow & = & \gamma(a) \circ (\beta \circ \alpha)(a) \\ (1) \ \text{of def.} \ (22) \ \rightarrow & = & (\gamma \circ (\beta \circ \alpha))(a) \end{array}$$

 $\Diamond$ 

**Proposition 39** Let  $\alpha : F \Rightarrow G$  be a natural transformation between functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories. Then, we have:

$$\iota_G \circ \alpha = \alpha$$

where  $\iota_G: G \Rightarrow G$  is the identity natural transformation as per definition (20).

#### **Proof**

Using proposition (37) it is sufficient to show that for all  $a \in \mathcal{C}$ , the component at a of both natural transformations  $\iota_G \circ \alpha$  and  $\alpha$  are equal:

$$(\iota_{G} \circ \alpha)(a) = \iota_{G}(a) \circ \alpha(a) \leftarrow (1) \text{ of def. (22)}$$

$$(1) \text{ of def. (20)} \rightarrow = \text{id}(G(a)) \circ \alpha(a)$$

$$(13) \text{ of def. (2)} \rightarrow = \alpha(a)$$

 $\Diamond$ 

**Proposition 40** Let  $\alpha : F \Rightarrow G$  be a natural transformation between functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{C}, \mathcal{D}$  are categories. Then, we have:

$$\alpha \circ \iota_F = \alpha$$

where  $\iota_F: F \Rightarrow F$  is the identity natural transformation as per definition (20).

#### Proof

Using proposition (37) it is sufficient to show that for all  $a \in \mathcal{C}$ , the component at a of both natural transformations  $\alpha \circ \iota_F$  and  $\alpha$  are equal:

$$(\alpha \circ \iota_F)(a) = \alpha(a) \circ \iota_F(a) \leftarrow (1) \text{ of def. (22)}$$
(1) of def. (20)  $\rightarrow = \alpha(a) \circ \operatorname{id}(F(a))$   
(12) of def. (2)  $\rightarrow = \alpha(a)$ 

 $\Diamond$ 

### 3.6 Functor category

In this section, given two categories  $\mathcal{C}$  and  $\mathcal{D}$ , we define a new category denoted  $[\mathcal{C}, \mathcal{D}]$  and called the *functor category between*  $\mathcal{C}$  and  $\mathcal{D}$ . Heuristically, the functor category between  $\mathcal{C}$  and  $\mathcal{D}$  is the category in which the objects are the functors between  $\mathcal{C}$  and  $\mathcal{D}$ , and the arrows are the natural transformations between them.

**Definition 23** We call functor category between the categories  $\mathcal{C}$  and  $\mathcal{D}$ , the category denoted  $[\mathcal{C}, \mathcal{D}]$  and defined by  $[\mathcal{C}, \mathcal{D}] = (\mathrm{Ob}, \mathrm{Arr}, \mathrm{dom}, \mathrm{cod}, \mathrm{id}, \circ)$  where:

- (1)  $Ob = \{ F \mid F : \mathcal{C} \to \mathcal{D} \}$
- (2)  $\operatorname{Arr} = \{ (F, G, \alpha) \mid F, G : \mathcal{C} \to \mathcal{D} \text{ and } \alpha : F \Rightarrow G \}$
- (3)  $\operatorname{dom}(F, G, \alpha) = F$
- (4)  $\operatorname{cod}(F, G, \alpha) = G$
- (5)  $id(F) = (F, F, \iota_F)$
- (6)  $(G, H, \beta) \circ (F, G, \alpha) = (F, H, \beta \circ \alpha)$

where (3)-(6) hold for all functors  $F, G, H : \mathcal{C} \to \mathcal{D}$  and natural transformations  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$ ,  $\iota_F : F \Rightarrow F$  is the identify natural transformation of definition (20) and  $\beta \circ \alpha$  is the composition of  $\beta$  and  $\alpha$  of definition (22).

**Remark**: The objects of the category  $[\mathcal{C}, \mathcal{D}]$  are untyped functors, not typed functors. This makes the notations lighter without changing the essence of the category being defined: there is an obvious one-to-one mapping between the collections  $\{F \mid F : \mathcal{C} \to \mathcal{D}\}$  and  $\{(\mathcal{C}, \mathcal{D}, F) \mid F : \mathcal{C} \to \mathcal{D}\}$ , given  $\mathcal{C}$  and  $\mathcal{D}$ . There is also a one-to-one mapping between a collection containing triples of the form  $(F, G, \alpha)$  and a collection containing triples of the form  $((\mathcal{C}, \mathcal{D}, F), (\mathcal{C}, \mathcal{D}, G), \alpha)$ . This explains why we decided to define a *typed natural transformation* simply as  $(F, G, \alpha)$  rather than  $((\mathcal{C}, \mathcal{D}, F), (\mathcal{C}, \mathcal{D}, G), \alpha)$  in definition (21).

**Proposition 41** The functor category [C, D] for categories C, D is a category.

#### Proof

Given  $(Ob, Arr, dom, cod, id, \circ)$  of definition (23), we need to check that this data satisfies condition (1) - (13) of definition (2).

- (1): The collection  $Ob = \{ F \mid F : \mathcal{C} \to \mathcal{D} \}$  should be a collection with equality. This is the case by virtue of proposition (22), where two functors are equal if and only if they coincide on all objects and all arrows.
- (2): The collection Arr =  $\{ (F, G, \alpha) \mid F, G : \mathcal{C} \to \mathcal{D} \text{ and } \alpha : F \Rightarrow G \}$  should be a collection with equality. This is the case by virtue of proposition (37), where two natural transformations  $\alpha, \beta : F \Rightarrow G$  are equal if and only if they coincide on all objects of  $\mathcal{C}$ . Having equality for functors and natural transformations, we conclude from axiom (4) that we also have equality for triples  $(F, G, \alpha)$ .
- (3): dom should be a map dom : Arr  $\to$  Ob. The equation dom $(F, G, \alpha) = F$  holds for all functors  $F, G : \mathcal{C} \to \mathcal{D}$  and natural transformations  $\alpha : F \Rightarrow G$ . So dom is indeed defined on the collection Arr as requested. Also dom $(f) \in$  Ob.
  - (4): cod should be a map cod : Arr  $\rightarrow$  Ob which is the case as per (3).
- (5): id should be a map id : Ob  $\rightarrow$  Arr. The equation  $\mathrm{id}(F) = (F, F, \iota_F)$  holds for all functors  $F: \mathcal{C} \rightarrow \mathcal{D}$ . So id is indeed defined on Ob as requested. So it remains to show that  $(F, F, \iota_F) \in \mathrm{Arr}$  for all F, which the case since the identity natural transformation  $\iota_F$  of definition (20) is a natural transformation  $\iota_F: F \Rightarrow F$  as per proposition (34).
- (6):  $\circ$  should be a partial map  $\circ$ : Arr  $\times$  Arr  $\to$  Arr. From definition (23),  $g \circ f$  is defined whenever f and g are of the form  $f = (F, G, \alpha)$  and  $g = (G, H, \beta)$  where  $F, G, H : \mathcal{C} \to \mathcal{D}$ ,  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$ . So  $g \circ f$  is defined on a subcollection of Arr  $\times$  Arr as requested. So it remains to show that  $g \circ f \in$  Arr when defined. However,  $g \circ f$  is defined as  $(F, H, \beta \circ \alpha)$  where  $\beta \circ \alpha$  is the composition of natural transformation, so it remains to show that  $\beta \circ \alpha : F \Rightarrow H$  which follows from proposition (36).
- (7):  $g \circ f$  should be defined exactly when  $\operatorname{cod}(f) = \operatorname{dom}(g)$ . From definition (23),  $g \circ f$  is defined exactly when f is of the form  $f = (F, G, \alpha)$  and g is of the form  $(G, H, \beta)$ . Since  $\operatorname{cod}(f) = G$  and  $\operatorname{dom}(g) = G$ , we see that  $g \circ f$  is defined for all arrows f, g for which  $\operatorname{cod}(f) = \operatorname{dom}(g)$  as requested.
- (8): We should have  $\operatorname{dom}(g \circ f) = \operatorname{dom}(f)$  when  $g \circ f$  is defined. So let  $f = (F, G, \alpha)$  and  $g = (G, H, \beta)$ . Then we have  $g \circ f = (F, H, \beta \circ \alpha)$  and consequently  $\operatorname{dom}(g \circ f) = F$  which is  $\operatorname{dom}(f)$  as requested.
- (9): We should have  $\operatorname{cod}(g \circ f) = \operatorname{cod}(g)$  when  $g \circ f$  is defined. So let  $f = (F, G, \alpha)$  and  $g = (G, H, \beta)$ . Then we have  $g \circ f = (F, H, \beta \circ \alpha)$  and consequently  $\operatorname{cod}(g \circ f) = H$  which is  $\operatorname{cod}(g)$  as requested.
- (10): We should have  $(h \circ g) \circ f = h \circ (g \circ f)$  whenever  $g \circ f$  and  $h \circ g$  are well defined. So let  $f = (F, G, \alpha), g = (G, H, \beta)$  and  $h = (H, J, \gamma)$ . We have:

```
\begin{array}{rcl} (h\circ g)\circ f &=& ((H,J,\gamma)\circ (G,H,\beta))\circ (F,G,\alpha)\\ (6) \text{ of def. } (23) &\to& =& (G,J,\gamma\circ\beta)\circ (F,G,\alpha)\\ (6) \text{ of def. } (23) &\to& =& (F,J,(\gamma\circ\beta)\circ\alpha)\\ \text{nats}\circ \operatorname{assoc, prop}\ (38) &\to& =& (F,J,\gamma\circ (\beta\circ\alpha)) \end{array}
```

(6) of def. (23) 
$$\rightarrow$$
 =  $(H, J, \gamma) \circ (F, H, \beta \circ \alpha)$   
(6) of def. (23)  $\rightarrow$  =  $(H, J, \gamma) \circ ((G, H, \beta) \circ (F, G, \alpha))$   
=  $h \circ (g \circ f)$ 

(11): We should have dom (id(F)) =  $F = \operatorname{cod}(\operatorname{id}(F))$  whenever  $F \in \operatorname{Ob}$ :

$$\operatorname{dom}(\operatorname{id}(F)) = \operatorname{dom}(F, F, \iota_F) \leftarrow (5) \text{ of def. } (23)$$

- (3) of def. (23)  $\rightarrow$  = F
- (4) of def. (23)  $\rightarrow = \operatorname{cod}(F, F, \iota_F)$
- (5) of def. (23)  $\rightarrow$  = cod (id(F))

(12): We should have  $f \circ id(F) = f$  when dom(f) = F so let  $f = (F, G, \alpha)$ :

$$f \circ \mathrm{id}(F) = (F, G, \alpha) \circ \mathrm{id}(F)$$
 (5) of def. (23)  $\rightarrow$  =  $(F, G, \alpha) \circ (F, F, \iota_F)$  (6) of def. (23)  $\rightarrow$  =  $(F, G, \alpha \circ \iota_F)$ 

b) of def. (23) 
$$\rightarrow$$
 =  $(F, G, \alpha)$   $\rightarrow$  prop. (40)  $\rightarrow$  =  $(F, G, \alpha)$  =  $f$ 

(13): We should have  $id(G) \circ f = f$  when cod(f) = G so let  $f = (F, G, \alpha)$ :

$$\mathrm{id}(G)\circ f \quad = \quad \mathrm{id}(G)\circ (F,G,\alpha)$$

- (5) of def. (23)  $\rightarrow = (G, G, \iota_G) \circ (F, G, \alpha)$
- (6) of def. (23)  $\rightarrow$  =  $(F, G, \iota_G \circ \alpha)$

prop. (39) 
$$\rightarrow$$
 =  $(F, G, \alpha)$   
=  $f$ 

 $\Diamond$ 

## Chapter 4

# Adjunction

### 4.1 Definition

**Definition 24** We call adjunction an ordered pair (F,G) where F is a functor  $F: \mathcal{C} \to \mathcal{D}$  and G is a functor  $G: \mathcal{D} \to \mathcal{C}$  while  $\mathcal{C}$  and  $\mathcal{D}$  are two locally-small categories for which there exists a natural isomorphism:

$$\alpha : \mathcal{D} \circ (F \times I_{\mathcal{D}}) \Rightarrow \mathcal{C} \circ (I_{\mathcal{C}^{op}} \times G)$$

in the functor category  $[\mathcal{C}^{op} \times \mathcal{D}, \mathbf{Set}]$ , where F also denotes  $F : \mathcal{C}^{op} \to \mathcal{D}^{op}$ .

# Bibliography

[1] Richard Bird, Oege de Moor, (1997). Algebra of Programming