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# **PyElly User's Manual**

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Clinton P. Mah

Walnut Creek, CA 94595

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# 1. Introduction

PyElly is an open-source software toolkit for creating computer scripts to analyze and rewrite English and other natural language text. This processing will of course fall far short of the talking robot fantasies of Hollywood, but with only modest effort, you can still quickly put together many nontrivial linguistic applications short of full understanding. In particular, PyElly as a preprocessor can help to clean up the pesky low-level details of language that often make text data mining difficult.

PyElly can also be helpful if you want some firsthand experience with the mechanics of computational linguistics. You can quickly write scripts to do tasks like conjugating French verbs, rephrasing information requests into a formal query language, compressing messages for texting, extracting names and other entities from a text stream, or even re-creating the storied Doctor simulation of Rogerian psychoanalysis.

We have been building such natural language applications since computers were only a millionth as powerful as they are today. The overall problem of processing natural language remains quite challenging, however, and most toolkits to support this often require heavy lifting to develop the logic and interpretive framework to accomplish something rather basic. PyElly aims to expedite such busy work through ready-made tools and resources, all integrated within a single free open-source package.

Why do we need yet another natural language processing toolkit? To begin with, a complete natural language solution is still far off, and so we can gain from a diversity of reliable methods to address the problems of language. Also, though PyElly is all new code, it is really a legacy system, with some major components dating back more than 40 years. This sounds quite ancient, but language changes slowly, and mature linguistic software resources can be helpful even with today's text data.

The impetus for PyElly and its predecessors came from observing that many natural language systems tend to face similar issues. For example, information retrieval and machine learning with text data can both work better when we can reduce the words in the text to their roots. So, instead of contending with variants like `RELATION`, `RELATIONAL`, `RELATIVELY`, and `RELATING`, a system could just keep track only of `RELATE`. This is of course the familiar stemming problem, but available free resources to match up such word variants are often disappointing.

A stemmer is of course not hard to build, but it takes time and commitment to do a good job, and no one really wants to start from scratch for every new project. That is true of many other low-level language processing capabilities as well. So, it seems prudent to pull together at least some kind of reusable software library here, but even more helpful would be to integrate all those tools and resources more closely. PyElly does that.

The current implementation of PyElly is intended primarily for educational use and so was written entirely in Python, currently a favored first programming language in high schools. This should allow students to adapt and incorporate PyElly code into class projects that have to be completed fairly quickly. PyElly can be of broader interest,

though, because of its range of natural language support, including stemming, tokenizing, entity extraction, vocabulary management, sentence recognition, idiomatic transformation, rule-driven syntactic analysis, and ambiguity handling.

Effective use of PyElly revolves around classical rule-based computational linguistics. It will require some language expertise because you need to be able to define all the details of the language processing that you want, but much of the basics here have been prebuilt in PyElly if you are working with English input. The standard PyElly distribution also includes the language definition scripts for eight different nontrivial example applications to get you started in constructing your own.

The current PyElly package consists of a set of Python modules in sixty-four source files. The code should run on any computer platform with a Python 2.7 interpreter, including Windows 8 and 10, Linux, Mac OS X and other flavors of Unix, IOS for iPhone and iPad, and Android. The PyElly source is downloadable from GitHub under a standard BSD license; you may freely modify and extend it as needed. Though intended mainly for education, commercial usage is unrestricted.

For recognizing just a few dozen sentences, PyElly is probably overkill; you could handle them directly by writing custom code in any standard programming language. More often, however, the possible input sentences will be too many to list out fully, and so you must characterize them more generally through rules describing how the words you expect to see are formed, how they combine in text, and how they are to be interpreted. PyElly lets you manage such systematic language definition cleanly.

PyElly is a kind of translator: it reads in plain text, analyzes it, and writes out a transformation according to the rules that you supply. So an English sentence like “She goes slowly” might be rewritten in French as “Elle va lentement” or in Chinese as 她走慢慢地. Or you might reduce the original sentence to just “slow” by stripping out suffixes and words of low content. Or you may want to rephrase the sentence as a question like “Does she go slowly?” All such rewriting falls within the purview of PyElly.

PyElly rules encode linguistic knowledge of various types. The main ones will define a grammar and vocabulary for the sentences of an input language plus associated semantic procedures for rewriting those sentences to get target output. Creating such rules requires some trial and error, but usually should be no more difficult than setting up macros in a word processor. PyElly will get you started properly here and then will provide debugging aids to help track down problems that may arise.

Many natural language systems, especially those in academic research, aim at the most thorny problems in language interpretation. These are opportunities for impressive processing gymnastics and often lead to dense theoretical papers without necessarily producing anything for everyday use. PyElly tries here to be simple and pragmatic instead. In response to classically tough sentences like “Time flies like an arrow,” it is quite all right for your system to respond just with “Huh?”

PyElly is compact enough to run on mobile devices with no cloud connection, if necessary. Excluding the Python environment, compiled PyElly code along with encoded rules and other data for an application should typically require less than 500 Kbytes of storage, depending on the number of rules actually defined. A major project may involve hundreds of grammar rules and thousands of vocabulary elements, but some useful text analyses require just a few dozen rules and little explicit domain vocabulary.

What is a grammar, and what is a vocabulary? A vocabulary establishes the range of words you want to recognize; a grammar defines how those words can be arranged into sentences of interest. You may also specify idiomatic rephrasing of particular input word sequences prior to analysis as well as define patterns for making sense of various entities not listed in your vocabulary. For example, you can recognize 800 telephone numbers or Russian surnames ending in -OV or -OVA without having to specify them one by one.

This manual will explain how to do all of this and also introduce some basics of language and language processing that every PyElly user should know. To take advantage of PyElly, you should be able to create and edit text files for the platform of your choice and set up file directories there so that PyElly can find your rules. PyElly will have to be run from a command line. For advanced work, you should also be comfortable with Python coding. In an ideal world, an interactive development environment (IDE) could make everything easier here, but that is yet to be.

Currently, PyElly is biased toward English input, although it can read and process Unicode characters from the Latin-1 Supplement, Latin Extender A, and parts of Latin Extender B plus some extra punctuation and spaces. These include most of the letters with diacritical marks used in European languages written with Latin alphabets. For example, PyElly knows that é is a vowel, that ß is a letter, that Æ is the uppercase form of æ, and that ² is a digit. This can be helpful even for nominally English data, since we often encounter terms with foreign spellings like NÉE or RÉSUMÉ or ÆGIS.

As any beginning student of a new language soon learns, its rules are always messy. Irregularities will trip up someone trying to speak or write mechanically from a simple grammar. PyElly users will face the same challenge, but by working generally at first and dealing with exceptions as they show up by special rules, we can evolve our language processing competence to reach some useful level of parlance. There is no royal road to natural language processing, but persistence can lead to progress over time. PyElly will help you keep on track in making a sustained effort here.

You need not be an experienced linguist or computer programmer to develop PyElly applications; and I have tried to write this manual to be understandable by non-experts. The only requirements for users are basic computer literacy as expected of 21st-Century high school graduates, linguistic knowledge as might be picked up from a first course in a foreign language, and willingness to learn. You can start out with simpler kinds of PyElly processing and move on to more complex operations as you gain experience.

In addition to this introduction, the PyElly User's Manual consists of fourteen other major sections plus six appendices. Sections 2 through 7 should be read in sequence as they provide a tutorial on how to get started on using PyElly. Sections 8 through 11 deal

with advanced features that will be helpful for developing complex applications. Section 12 explains PyElly parsing, Section 13 lays out some practical strategies and tips for PyElly application development, and Section 14 describes a variety of current and possible future PyElly applications. Section 15 wraps everything up.

PyElly (“Python Elly”) was inspired by the Eliza system created by Joseph Weizenbaum over 50 years ago for modeling natural language conversation, but PyElly code has a different genesis. Its implementation in Python is the latest in a series of related natural language toolkits going back four generations: Jelly (Java, 1999), nlf (C, 1984 and 1994), the Adaptive Query Facility (FORTRAN, 1981), and PARLEZ (PDP-11 assembly language, 1977). The core PyElly parsing algorithm and the ideas of cognitive and generative semantics come from Vaughan Pratt’s LINGOL (LISP, 1973). Frederick Thompson’s REL system (1972) also influenced the design of PyElly.

The PyElly website is at <https://sites.google.com/site/pyellynaturallanguage/> . This shows some results of actual PyElly processing with language definition rules from several PyElly example applications. The latest PyElly source code, example language definition files, and documentation is available from <https://github.com/prohippo/pyelly.git> . This site is also where you can find the latest version of this user’s manual in PDF format.



## 2. The Syntax of a Language

A language is as a way of stringing together words or other symbols to form sentences that other people can make sense of in some context. In general, not all combinations of symbols will make a meaningful sentence; for example, “Cat the when” is nonsense in English. To define a language that you want PyElly to process, you must first identify those combinations of symbols that do make sense and then assign suitable interpretations to them.

If a language is small enough, such as the repertory of obscene gestures, we can simply list all its possible “sentences” and say in expletives what each of them means. Most nontrivial natural languages, though, have so many possible sentences that this approach is impractical. Instead one must note that a language tends to have regular structures; and by identifying those structures, a computational linguist can formally characterize the language much more concisely than by listing all possible sentences.

The structural description of a language is called a grammar. It establishes what the building blocks of a language are and how they form simple structures, which in turn combine into more complex structures. Almost everyone has studied grammar and words in school, but formal grammars go into much greater detail. They commonly are organized around sets of syntactic rules describing all the particular kinds of language structure found in sentences. Such syntactic rules will provide a basis for both generating and recognizing the sentences of a language with a computer.

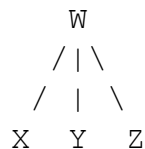
In linguistics, a grammar expresses of how one or more structures can come together to produce a new composite structure. This is described through syntactic rules, commonly written with an arrow notation as follows:

$$W \rightarrow X \ Y \ Z$$

This rule states that a *W*-structure can be composed of an *X*-structure followed by a *Y*-structure followed by a *Z*-structure; for example, a noun phrase in English can consist of a number, followed by an adjective, and followed by a noun:

$$\text{NOUNPHRASE} \rightarrow \text{NUMBER} \ \text{ADJECTIVE} \ \text{NOUN}$$

There is nothing mysterious here; it is like the kind of sentence diagramming once taught in junior high school and now coming back into vogue. We could draw the following equivalent diagram on a blackboard for the syntactic rule above.



where the  $W$  can in turn be part of a higher-level structure and  $X$ ,  $Y$ , and  $Z$  can also split out further into various substructures. Using a tree to describe syntactic structure is fine, but the arrow notation will be more compact and easier to enter from a keyboard, especially as the syntax of sentences grow more complex.

The structure-types  $W$ ,  $X$ ,  $Y$ ,  $Z$ , and so forth roughly correspond to the parts of speech from our school days, but will be treated more generally in PyElly. In this user manual, we shall refer to them as syntactic types or syntactic categories. The ones that you need for a PyElly grammar will depend on your particular target application. Some applications may require up to almost a hundred different syntactic categories, going far beyond `NOUN` and `VERB`.

Syntactic rules can be much more complicated than  $W \rightarrow X \ Y \ Z$ , but PyElly will work with just three forms:

```
X->word
X->Y
X->Y Z
```

where  $X$ ,  $Y$ , and  $Z$  are syntactic types and `word` is a word or some other kind of vocabulary element like a number or some arbitrary alphanumeric identifier.

These three types are enough to express a more complex rule like

```
R->A B C
```

This is done by dividing the more complex rule into multiple simpler rules

```
R->A T
T->B C
```

where  $T$  is a unique intermediate syntactic structure introduced solely to stand for a  $B$  followed by a  $C$ . It is not a part of speech.

Here is a set of PyElly grammar rules that might be employed to describe the structure of the sentence "It is red."

```
SENTENCE->SUBJECT PREDICATE
SUBJECT->PRONOUN
PRONOUN->it
PREDICATE->COPULA ADJECTIVE
COPULA->is
ADJECTIVE->red
```

This uses all three forms of PyElly restricted syntax rules. The ordering of the rules does not matter. The names of syntactic types like `SUBJECT` or `COPULA` are arbitrary except for a few reserved names to be explained below.

The structure of a sentence as implied by these rules can be expressed graphically as a labeled tree diagram, where the root type is `SENTENCE` and where branching corresponds to splitting into constituent substructures. By convention, the tree is always shown upside down, and so the lowest part of a tree will show the individual words of the sentence. For example, the sentence “It is red” would have the following diagram:



The derivation of such a diagram for a sentence from a given set of syntactic rules is called “parsing.” The diagram itself is called a “parse tree,” and its labeled parts are called the “phrase nodes” of the parse tree; for example, the `PREDICATE` phrase node in the tree above encompasses the actual sentence fragment “is red.” Given our syntax rules above, PyElly can automatically parse our sentence; and the resulting tree diagram then provides a starting point for interpreting the sentence.

Our simple grammar above so far can describe only a single sentence, but we can extend its coverage by adding rules for other kinds of structures and vocabulary. For example, the added syntax rules

```

SUBJECT->DETERMINER NOUN
DETERMINER->an
NOUN->apple
  
```

will let PyElly analyze the sentence “An apple is red.” We can continue to build up our grammar here by adding other rules; for example,

```

PREDICATE->VERB
VERB->falls
  
```

will also put “It falls” and “An apple falls” into the language PyElly can recognize. We can continue in this way to encompass still more types of grammatical structure and still more vocabulary. Such new rules can be added in any order you want.

The key idea here is that a few rules can be combined in various ways to describe many different sentences. There is still the problem of choosing the proper mix of rules to describe a language in the most natural and efficient way, but we do fairly well by simply adding one or two rules at a time as done above. In some complex applications, we may eventually need hundreds of such rules, but these can still be worked out in small steps.

Technically speaking, PyElly grammar rules as described here define a “context-free language.” Such a grammar mainly describes the relationships between adjacent parts of a sentence; and it is harder to correlate the possibilities for a given structure with the possibilities for a widely separated parallel structure in a parse tree. For example, consider the context-free rule with the parallel structures SUBJECT and PREDICATE.

```
SENTENCE->SUBJECT PREDICATE
```

In languages like English, subjects and predicates have to agree with each other according to the attributes of person and number: “We fall” versus “He falls”. When grammatically acceptable SUBJECT and PREDICATE structures have to be formed in correlated ways, context-free rules here force us to work harder to restrict a SENTENCE to have only certain combinations of subjects and predicates in agreement. We might have to write multiple explicitly correlated rules like

```
SENTENCE->SUBJECT1 PREDICATE1  
SENTENCE->SUBJECT2 PREDICATE2  
SENTENCE->SUBJECT3 PREDICATE3
```

where SUBJECT<sub>*i*</sub> would always agree properly with PREDICATE<sub>*i*</sub>, but this has the disadvantage of greatly multiplying the number of rules we have to define. A good natural language toolkit should make our job easier than this.

Even though English and other natural languages are not context-free in the theoretical sense, we still want to treat them that way for practical reasons. By doing so, we can take advantage of the many sophisticated techniques developed for parsing artificial computer languages, which do tend to be context-free. This is the approach taken in PyElly and its predecessors.

For convenience, PyElly also incorporates semantic checking of intermediate results in parsing and allows some shortcuts to make grammars more concise (see Section 8). These extensions can be put on top of a plain context-free parser to give it some context-sensitive capabilities, although some kinds of sentences still cannot be handled by PyElly. (The classic problematic context-sensitive examples are the parallel subjects and predicates, in sentences like “He and she got cologne and perfume, respectively.”)

The syntax of natural language can get quite complicated in general; but we usually can break this down in terms of simpler structures. The challenge of defining a PyElly grammar is to capture enough of such simpler structures in grammar rules to support a proper analysis of any input sentence that we are likely to see.

A PyElly sentence analysis can actually get more complicated than the examples above. For example, the leaves of a parse tree may be more than single words. PyElly vocabulary rules can combine multiple input words into a single parse tree leaf token or split off parts of an input word into separate tokens. PyElly can also control when particular PyElly syntax rules apply by subdividing syntactic categories. Finally, PyElly has to handle the unavoidable ambiguity in analyses when working with a natural language. This user manual will go into detail on these matters in later subsections, but for simple applications, the basic framework described in this section should be enough.

You must be able to understand most of the discussion in this section in order to proceed further with PyElly. A good text for those interested in learning more about language and formal grammars is John Lyon's book *Introduction to Theoretical Linguistics* (Cambridge University Press, 1968). This is written for college-level readers, but covers to the basics that you will need to know.

### 3. The Semantics of a Language

The notion of meaning has always been difficult to talk about. It can be complicated even for individual sentences in a language, because meaning involves not only their grammatical structure, but also where and when it is used and who is using it. A simple expression like “Thank you” can take on different significance, depending on whether the speaker is a thug collecting extortion money, the senior correspondent at a White House press conference, or a disaster victim after an arduous rescue.

Practical computer natural language applications cannot deal with all the potential meanings of sentences, since this would require modeling almost everything in a person's view of world and self. A more practical approach is to ask what meanings will actually be appropriate for a computer to understand in a particular application. If the role of a system in a user organization is to provide, say, only information about employee benefits from a policy manual, then it probably has no reason to figure out references to subjects like sex, golf, or the current weather.

Here we shall limit the scope of semantics even more drastically: PyElly will deal with the meaning of sentences only to the extent of being able to translate them into some other language and to evaluate the options when we have more than one possible translation. This has the advantage of making semantics less mysterious while allowing us still to implement useful kinds of language processing.

For example, the meaning of the English sentence “I love you” could be expressed in French as “Je t'aime.” Or we might translate the English “How much does John earn?” into a data base query language “SELECT SALARY FROM PAYROLL WHERE EMPLOYEE=JOHN.” Or we could convert the statement “I feel hot” into an IoT command line like

```
set thermostat /relative /fahrenheit:-5
```

In a sense, we have cheated here, avoiding the problem of meaning in one language by passing it off to another language. Such a translation, however, can be quite useful if there is a processor that understands the second language, but not the first. This is definitely a modest approach to semantics; but it beats talking endlessly about the philosophical meaning of meaning without ever accomplishing anything in real code.

As noted before, the large number of possible sentences in a natural language prevents us from compiling a table to map each input into its corresponding output. Instead, we must break the problem down and take the semantics of the various constituent structures defined by a grammar and combine their individual interpretations to derive the overall meaning of a given sentence.

With PyElly, we define the semantics of a sentence structure as procedures associated with each of the grammatical rules describing the structure. There will actually be two different kinds of semantic procedures here: those for writing out translations will be called “generative,” while those for evaluating alternative translations will be called

“cognitive.” At this stage, however, we shall focus on the generative, and leave the cognitive until Section 10, since these two aspects of semantics operate quite differently.

A successful PyElly sentence analysis will produce a parse tree describing its syntactic structure. Each phrase node of that tree will be due to a particular grammatical rule, and associated with that rule will be a generative semantic procedure defining its meaning. You will have to supply such a procedure for each of your grammar rules, though often you can just take the defaults defined by PyElly for its three types of grammar rules.

The top phrase node of a complete PyElly parse tree will always be that of the type SENT (=“sentence”). The generative procedure for that phrase node will always be called by PyElly to begin the translation of the original input sentence. This should then set off a cascade of other procedure calls through the various lower constituent structures of the sentence to produce a final output. The actual ordering of calls to subconstituent procedures will be determined by the logic of the procedures at each level of the tree.

A PyElly generative semantic procedure basically will work on the text characters in a series of output buffers. This will involve standard text editing operations commonly supported in word processing programs: inserting and deleting, buffer management, searching, substitution, and transfers between buffers. Consistent with PyElly semantics being procedures, there will also be local and global variables, structured programming control structures, subprocedures, simple lookup, and set manipulation.

Communication between different semantic procedures will be through local and global variables as well as by inspecting the current content of buffers. The value of any local or global variable will always be a string of arbitrary Unicode characters, possibly the null string. Global variables will be accessible to all procedures and will remain defined even across the processing of successive sentences, serving as a longer-term memory for PyElly translations.

Local variables will have a limited scope such as in programming languages like C or PASCAL. They are defined in the procedure where they are declared and also in those procedures called as subroutines either directly or indirectly. When there are multiple active declarations of a variable with a given name visible to a semantic procedure, the most recent one applies. Upon exit from a procedure, all of its local variables immediately become undefined.

Let us look at some actual semantic procedures to see how a PyElly translation would actually work. Suppose that we have the five grammar rules

```
SENT->SUBJECT PREDICATE
SUBJECT->PRONOUN
PRONOUN->we
PREDICATE->VERB
VERB->know
```

With these rules, we can implement a simple translator from English into French with the five semantic procedures below, defined respectively for each rule above. For the time being, the commands in the procedures will be expressed in ordinary English. These commands will control the entry of text into some output area, such as a text field in a window of a computer display. Here is what our procedures do:

For a SENT consisting of a SUBJECT and PREDICATE: first run the procedure for the SUBJECT, insert a space into the output being generated, and then run the procedure for the PREDICATE.

For a SUBJECT consisting of a PRONOUN: just run the procedure for the PRONOUN.

For the PRONOUN *we*, insert *nous* into the output being generated.

For a PREDICATE consisting of a VERB, just run the procedure for the VERB.

For the VERB *know*, insert *connaiss*.

With this particular set of semantic procedures, the English sentence “we know” will be translated to *nous* followed by a space followed by *connaiss*. You can easily verify this by starting with the semantic procedure for SENTENCE and tracing through the recursive cascade of procedure executions.

Each syntactic rule in a grammar must have a semantic procedure, even though the procedure might be quite trivial such as above when a SUBJECT is just a PRONOUN or a PREDICATE is just a VERB. This is because we need to make a connection at each level from SENTENCE all the way down to individual input words like *we* and *know*. These connections will give us a framework to extend our translation capabilities just by adding more syntactic rules plus their semantic procedures; for example,

PRONOUN->*they*

For the PRONOUN *they*: insert *ils*.

You may have noticed, however, our example here is incorrect. More so than English, French verbs must agree in person and number with their subject, and so the translation of *know* with the SUBJECT *we* should be *connaissons* (first person plural) instead of *connaiss* (the infinitive). Yet we cannot simply change the VERB semantic procedure above to “insert *connaissons*” because this would be wrong if the PRONOUN for SUBJECT becomes *they* (third person plural).

We need more elaborate semantic procedures here to get correct agreement. This is where various other PyElly generative semantic commands have to come in; and we can use local variables to pass information about number and person between the semantic procedures for our syntactic structures to govern their translations (see Section 6). Nevertheless, the overall PyElly framework of semantic procedures attached to each syntactic rule and called recursively will remain the same.



(If you want to look ahead to see how this all might be done properly in PyElly, you can peek ahead to Subsection 6.2. Understanding the details of the syntactic rules and their generative semantics will require you to go through Sections 4 and 5, however.)

Semantic procedures must always be coded carefully for proper interaction and handling of details in all contexts. We have to anticipate all the ways that constituent structures can come together in a sentence and provide for all the necessary communication between them at the right time. We can make the problem easier here by taking care to have lower-level structures be parts of only a few higher-level structures, but this will require some advance planning.

Writing syntactic rules and their semantic procedures is actually a kind of programming and will require some programming skills. It will be harder than you first might think when you try to deal with the complexity of natural languages like English or French. PyElly, however, is designed to help you to do this kind of programming in a highly structured way, and it should be easier than trying to accomplish the same kind of translation in a language like Python or even LISP.

The idea of procedural semantics was introduced by Vaughan Pratt in his LINGOL system. Procedures are not the only way of dealing with meaning, but they fit in well with what we do in computational linguistics and particularly with a framework where we want to rewrite input text from one language to another. The availability of various programming language features like variables in PyElly semantic procedures also provides a way of modeling context of language going beyond what we can describe with syntactic rules alone.

## 4. Defining Tables of PyElly Rules

By now, you should understand the idea of grammar rules and semantic procedures. This section will go into the mechanics of how to define them for PyElly in text files to be read in by PyElly at startup. To implement different applications such as translating English to French or rewriting natural language questions as structured data base queries, you just need to provide the appropriate files of rules for PyElly to load.

PyElly rules fall into seven main types: (1) grammar, (2) vocabulary, (3) macro substitutions, (4) patterns for determining syntactic types, (5) personal names and name components, (6) morphology, and (7) punctuation and sentence delineation. The grammar of a language for an application tends to reflect the capabilities supported by a target system, while a vocabulary tends to be geared toward a particular context of use; macros support particular users of a system, and special patterns and name components tend to be specific to given applications. Having separate tables of rules make it easier to tailor PyElly operation for different environments while allowing parts of language definitions to be reused.

This section will focus on the grammar, special pattern, and macro rule tables, required by most PyElly applications. Some of the finer details of syntactic rules will be postponed to Section 8, “Advanced Programming: Grammar.” The creation and use of tables for vocabulary, names, and morphology will be described in Section 9, “Advanced Programming: Vocabulary.”

To make PyElly do something, you have to set up an application defined by a specific set of language definition rules organized into tables. The current PyElly package implements each type of rule table as a Python class with an initialization procedure that reads in its rules from an external text source file. The text input files for rules governing a particular application *A* should be named as follows:

`A.g.elly` for syntactic rules and their semantic procedures in a grammar.

`A.m.elly` for macro substitutions.

`A.p.elly` for special patterns.

You may replace the *A* here with whatever name you choose for your application, subject to the file-naming conventions for your computer platform. Only the `A.g.elly` file is mandatory for any PyElly application; the other two may be omitted if you have no use for either substitutions or patterns. Section 7 will explain how PyElly will look for various language definition files for an application and read them in.

The rest of this section will describe the required formats of the definitions in the input files `A.g.elly`, `A.m.elly`, and `A.p.elly`. Normally you would create these files with a text editor or a word processor. The NotePad accessory on a Windows PC or TextEdit on a Mac will be quite adequate, although you may have to rename your files afterward because they insist on writing out files only with extensions like `.txt`.

An important element of most language rules will be syntactic structure names, seen in Section 2. We also call them “syntactic types” or “parts of speech,” but they will be more general than what we learned in grade school. The current implementation of PyElly in Python can handle up to 96 different syntactic types in its input files. Six of these types, however will be predefined by PyElly with special meanings.

SENT	Short for SENTence. Every grammar must have at least one rule of the form <code>SENT-&gt;X</code> or <code>SENT-&gt;X Y</code> . PyElly translation will always start by executing the semantic procedure for a <code>SENT</code> structure.
END	For internal purposes only. Avoid using it.
UNKN	Short for UNKNown. This structure type is automatically assigned to strings not known to PyElly through its various lookup options. (See Subsection 9.1 for more on this.)
...	For an arbitrary sequence of words in a sentence. This is for applications where much of the text input to process is unimportant. (See Section 8 for more details.)
PUNC	For punctuation. (See Section 11.)
SEPR	For inferred sentence separators. (See Subsection 12.6.)

You will of course have to make up your own names for any other syntactic types needed for a PyElly application. Names may be arbitrarily long in their number of characters but may include only ASCII letters, digits, and periods ( . ); upper and lower case will be the same. You do not have to use traditional grammatical names like `NOUN`, but why be unnecessarily obscure here?

You may want to keep your syntactic type names unique in their first four characters, however. This is because PyElly will truncate names to that many characters in its formatted diagnostic output like parse trees (see Section 12, “PyElly Parsing”). The resulting tree will be confusing if you have syntactic types like `NOUN` and `NOUNPHRASE`. When reading in grammar rules, PyElly will warn you about any such situation.

Here are some trivial, but functional, examples of grammar, macro, and pattern definition files:

```
# PyElly Definition File
# example.g.elly
g:sent->ss          # top-level rule
—
g:ss->unkn
—
g:ss->ss unkn
—
```

```
# PyElly Definition File
# example.m.elly
i'm->i am      # contraction
```

```
# PyElly Definition File
# example.p.elly
0 &# number -1  # simple integer
```

These rules will be explained in separate subsections below. Lines beginning with '#', disregarding any leading space characters, will be taken as comments and ignored. A comment can also be at the end of an input line, but this has to start with '#'. The extra spaces are necessary because the # character is special in PyElly pattern matching.

## 4.1 Grammar (A.g.elly)

An A.g.elly text file may have four different types of definitions: (1) syntactic rules with their associated semantic procedures, (2) individual words with their associated semantic procedures, (3) general semantic subprocedures callable from elsewhere, and (4) initializations of global variables at startup. These definitions will be respectively identified in the A.g.elly file by special markers at the start of a line: G:, D:, P:, or I:. The definitions may appear in any order; markers can be upper or lower case.

### 4.1.1 Syntactic Rules

These must be entered as text in a strict line format. Syntactic rule definitions will follow the general outline as shown here in a monospaced font for easier reading:

```
G:X->Y          # a marker + a syntax rule
-              # a single <UNDERSCORE>,
               # omitted if no semantic
               # procedure follows
.              #
.              # the body of a generative
.              # semantic procedure
               #
__             # a double <UNDERSCORE>,
               # mandatory definition terminator
```

- In a G: line, PyElly will allow spaces anywhere except after the G, within a syntactic structure name, or between the '-' and '>' of a rule.
- The same formatting applies for a PyElly syntactic rule of the form X->Y Z.
- A generative semantic procedure for a syntactic rule will always appear between the line starting with a single underscore ( ) and the line starting with a double underscore ( ).

- d. A cognitive semantic procedure may appear before the single underscore, but this will be described later in Section 10 (“Logic for PyElly Cognitive Semantics”).
- e. The actual basic actions for generative semantics will be covered in Section 5 (“Operations for PyElly Generative Semantics”).
- f. If a semantic procedure is omitted, various defaults apply; see Section 6 (“PyElly Programming Examples”). In this case, the first single underscore delimiter is optional.

### 4.1.2 Grammar-Defined Words

It is usually a good idea to keep the vocabulary for a PyElly application separate from a grammar as much as possible. For scalability, PyElly will keep its vocabulary mainly in an external data store; and Section 9 will describe how to set this up. Some single-word definitions, however, may also appear alongside the syntactic rules in a grammar definition file. These will be called internal dictionary rules.

In particular, some words like THE, AND, and NOTWITHSTANDING are associated with a language in general instead of any specific content. These are probably best defined as part of a grammar file anyway. In other cases, there may also be so few words in a defined vocabulary for an application that we may as well include them all internally in a grammar rather than externally.

The form of an internal dictionary rule is similar to that for a grammatical rule:

```
D:w<-X          # a marker + a word "w"
                  #   + a structure type X
—                # a single <UNDERSCORE>
.                #
.                # a generative semantic procedure
.                #
—                # a double <UNDERSCORE>,
                  #   mandatory definition terminator
```

- a. The `D:` is mandatory in order to distinguish a word rule from a grammatical rule of the form `X->Y`.
- b. To suggest the familiar form of printed dictionaries, the word `w` being defined appears first, followed by its structure type `x` (i.e., part of speech). Note that the direction of the arrow `<-` is reversed from that of syntax rules.
- c. The `w` must be a single word, number, or other text token, possibly hyphenated, or a single punctuation mark, or a short bracketed text segment like `(s)`. Multi-word terms in an application must be defined in PyElly's external vocabulary or stitched together by grammar rules, macro substitution rules, or other mechanisms discussed in Section 9.
- d. The single and double underscore separators for semantics are the same as for syntactic rules. A word definition may also have both cognitive and generative semantics to establish its meaning for PyElly.
- e. A given word may have multiple internal dictionary rules and so be ambiguous.
- f. A given internal dictionary word may also be defined elsewhere in PyElly (see Section 9). These definitions may differ.

### 4.1.3 Generative Semantic Subprocedures

Every PyElly generative semantic procedure for a rule will be written in a special PyElly programming language for text manipulation. This language also allows for named subprocedures, which need not be attached to a specific syntax rule or internal dictionary rule. Such subprocedures may be called in a generative semantic procedure for a PyElly rule or by another subprocedure. Their definitions may appear anywhere in a \*.g.elly grammar file.

A subprocedure will take no arguments and return no values. All communication between semantic procedures must be through global or local variables or from the text written into PyElly output buffers (see Section 5 for details). Calls to subprocedures may be recursive, but you will be responsible for avoiding infinite recursion here.

A subprocedure definition will have the following form:

```
P:nm          # a marker + procedure name "nm"
—            # a single <UNDERSCORE>,
             # mandatory
             #
             #
             # generative semantic procedure body
             #
             #
             # double <UNDERSCORE> delimiter,
—            # mandatory
```

- Note the absence of any arrow, either  $\rightarrow$  or  $\leftarrow$ , in the first definition line.
- A procedure name  $n$  should be a unique string of alphanumeric characters without any spaces. It can be of any non-zero length. The case of letters is unimportant. Duplicate definitions for the same procedure name will be reported as an error.
- The underscore separators are the same as for syntactic rules and word definitions and will both be mandatory for a subprocedure definition.
- A subprocedure definition may have only generative semantics. Cognitive semantics will never apply to a subprocedure and will always be ignored if specified.

### 4.1.4 Global Variable Initializations

PyElly global variables in a generative semantic procedure can be set in various ways. When such variables store important parameters referenced in a particular application grammar, it is helpful to be able to define them within the definition file for that grammar. In that way, the definition will be more readily referenced and more easily maintained. The startup initialization of global variable  $x$  to the string  $s$  is accomplished by a  $I:x=s$  line in a grammar definition file:

```
I:x=s
```

One must have one `I :` line for each global variable being initialized. Note that an `I :` line always stands by itself; there is no associated generative semantic procedure as in the case of `G :`, `D :`, and `P :` lines. An `I :` line may appear anywhere in a grammar definition file, but for clarity, it should be before any reference to it in a semantic procedure. For readability, you may freely put spaces around the variable name `x` and after the `=` sign here. For example,

```
I:iterate      = abcdefghijklm
I:joiner      = svnm
```

In the first initialization above, the `iterate` global variable is set to `abcdefghijklm`. A string value may have embedded space characters, but all leading and trailing spaces will be ignored and multiple consecutive embedded spaces will be collapsed into one.

## 4.2 Special Patterns For Text Elements (`A.p.elly`)

Many elements of text are too numerous to list out in a dictionary, but are recognizable by their form; for example, Social Security numbers, web addresses, or Icelandic surnames. PyElly allows you to identify such elements in input text by specifying the patterns that they conform to. That is how PyElly now deals with ordinary decimal numbers in input text to be translated.

PyElly special patterns serve to assign a syntactic structure type (part of speech) to a single word or other token in its input text. This will supplement any explicit definition in a grammar's internal dictionary (see Subsection 4.1.2) or in its external vocabulary table (see Subsection 9.4). For example, you can make '123' a `NOUN` by a `D :` rule in a grammar table, but PyElly can still infer that it is a structural type `NUM` from a pattern.

In general, we may have to compare multiple patterns in various orders to identify a particular kind of text element. PyElly coordinates this kind of processing through a finite-state automaton (FSA), which should be familiar to every aspiring computational linguist. This is not a physical machine, but a software algorithm working from a predefined set of rules telling it how to proceed step by step in matching up patterns from left to right against input text.

The key concept in an FSA is that of a state, which sums up how far along a text string the FSA has so far managed to match and what patterns it should look for next. An FSA will typically have multiple states, but one and only one will always be the starting state when the FSA is looking at the front of an input text with nothing yet matched. The total number of different states must be limited, hence the finiteness of an automaton.

In any given state, a PyElly FSA will have a list of patterns with possible wildcards to check against the input text at its current position. A wildcard will be a pattern element able to match against more than one text character; for example, any digit 0-9. PyElly wildcards are similar to the wildcards used in regular expressions, but are defined specifically for natural language processing; they will be listed below. This departs from the usual kind of an FSA; which typically allow no wildcards in its patterns.

Each pattern at a state will trigger a certain action to take upon any match. Usually, this means moving forward in its input string and going on to a next state according to its predefined rules. There may be more than one such next state because a string at a given FSA state can match more than one pattern with wildcards. This is a complication, but everything is still equivalent to a regular FSA. It just lets our rule sets be more compact.

Some matches will have no next state in a PyElly FSA table, but instead will specify a syntactic structure type. If an FSA has also reached the end of an input token, then a match is complete, and PyElly can assign the given syntactic type to the token being matched. At this point, a normal FSA would be done, but PyElly will also have to examine all the matching possibilities arising from multiple next states for an FSA.

PyElly continues until it has checked all reachable states and patterns or until the FSA runs out of input. PyElly then will return a positive match length if any final state has been reached at the end of a token; 0, otherwise. See Section 12 for more details.

PyElly identifies each FSA current and next state by a unique non-negative integer, where the initial state is always 0. The absence of a next state after a match is indicated by -1. At each state, what to look for next is defined as a pattern of literal characters and wildcards. A \*.p.elly definition file will consist of separate lines each specifying a possible pattern for a given state, an optional PyElly syntactic structure type associated with any match, and a next state upon a match. These specifications comprise the table of pattern rules that a PyElly FSA will work with.

Here is a simple PyElly file of an FSA with pattern rules:

```
# simple FSA to recognize syntactic structure types
# example.p.elly
#
# each input record is a 4-tuple
# STATE PATTERN SYNTAX NEXT

0 #, - 1
0 ##, - 1
0 ###, - 1
1 ###, - 1
1 #### $ NUM -1
0 &# - 2
2 . - 3
2 $ NUM -1
3 &# $ NUM -1
3 $ NUM -1
```

This recognizes numbers like 1024, 3.1416, and 1,001,053 as tokens of syntactic type NUM. A pattern rule in its most basic form will be a single line with four parts:

STATE	PATTERN	SYNTACTIC TYPE	NEXT
-------	---------	----------------	------



- a. The first part is an integer  $\geq 0$  representing a current PyElly automaton state.
- b. The second part is a `PATTERN`, which may be an arbitrary sequences of letters, numbers, and certain punctuation, including hyphen (-), comma (,), period (.), slash (/). If these are present, they must be matched exactly within a word being analyzed.
- c. A `PATTERN` may have explicit characters and also wildcards, which can match various substrings in a token string. PyElly wildcards in a pattern will be as follows:

#	will match a single digit 0 - 9
@	will match a single letter a - z or A - Z, possibly with diacritics
!	will match a single uppercase letter, possibly with diacritics
i	will match a single lowercase letter, possibly with diacritics
?	will match a single digit or letter
*	will match a n arbitrary sequence of non-blank characters, including a null sequence
&?	will match one or more letters or digits in a sequence
&#	will match one or more digits in a sequence
&@	will match one or more letters in a sequence
^	will match a single vowel
%	will match a single consonant
'	will match an apostrophe appearing either as ' (ASCII) or ' (Unicode right single quotation mark) or ' (Unicode prime)
\$	will match the end of a word, but does not add to the extent of any matching

- d. If a character to be matched is also a PyElly wildcard, then you must escape it in a `*.p.elly` pattern with a double backslash; for example, `\\*` to match `*` literally.
- e. A special `PATTERN` consisting only of `\0` (ASCII NUL) will cause an automaton to move to a next state without any matching. This pattern does not require a double backslash.
- f. Brackets [ and ] in a pattern will enclose an optional subsequence to match; only one level of bracketing is allowed and only alphanumeric characters are allowed inside the brackets. The pattern `[a]b` will match the string `ab` or the string `b`.
- g. A pattern with a wildcard other than `\0` or `$` by itself must always match at least one character; for example, the pattern `[a]*` will be rejected.
- h. All final state patterns not ending with the `*` or `$` wildcards will have a wildcard `$` appended automatically. Patterns for a non-final state will be left alone.
- i. The third part of a pattern rule is a syntactic type (part of speech) like `NOUN`, indicating a possible final state. A '-' here means that no type is specified.
- j. The fourth part is the next state to go to upon matching a specified pattern. This will be an integer  $\geq -1$ . A `-1` here will imply a final state and should have a syntactic type.

For example, the pattern `###-##-####$` matches Social Security numbers, while the pattern `(###)###-####$` matches a telephone number with an area code, but without separating spaces. See Subsection 9.2.1 for more on possible number patterns. To match a character like `&` or `$` in text, it may be specified explicitly for matching in a pattern by escaping it with backslashes: `\\&`. This then will not be interpreted as a wildcard.

PyElly also treats lowercase alphabetic characters as semi wild in effect. A lowercase letter in a pattern will match the same letter in text input irrespective of case. An uppercase letter in a pattern, however, will match only the uppercase version of the letter in text input. So, put an uppercase letter into a pattern only if you mean it.

### 4.3 Macro Substitutions (**A.m.elly**)

Macro substitution is a way of automatically replacing specific substrings in an input stream by other substrings. This is a useful capability in a language translator, and so PyElly has it as yet another integrated tool, adapting code from Kernighan and Plauger, *Software Tools*, Addison-Wesley, 1976.

The main difference in PyElly macro substitution versus *Software Tools* is that substrings to be replaced can be described with wildcards along with explicit characters to match and that the substrings to replace parts of the original string can specify the parts of the original string that matched consecutive sequences of wildcards.

Macro substitution is convenient for handling idioms, synonyms, abbreviations, and alternative spellings and for doing syntactic transformations awkward with the only context-free grammar rules. A PyElly macro substitution rule is generally as follows:

`P_Q_R->A B C D`

- a. Each macro definition must be a single line. Since macros will be defined in their own `*.m.elly` file, we need no marker at the beginning of each line.
- b. The left side of a substitution will be a pattern containing literal Unicode characters and PyElly wildcards. It must not be empty. The wildcards will be the same as in the special patterns described by the preceding subsection (3.2), plus a `_` space wildcard and a `~` nonalphanumeric wildcard. You must use the `_` wildcard to match each single space in a pattern on the left side, including any spaces within pattern brackets `[ ]`. Any pattern not ending in a `_` or `*` wildcard, is assumed to end with `$`. There will also be semi wild matching of lower alphabetic characters in patterns as described in the preceding subsection for FSA matching. As a special case a `~` wildcard will not match an ampersand in text, since this is a stylized abbreviation of the Latin *et*.
- c. The right side of a substitution may contain space characters as word separators; or it may be empty. Upper and lower case will be significant on the right side. It will be significant on the left side only for semi wild matching.
- d. Input text matching the pattern on the left side will be replaced by the right side. This is the macro substitution defined by a rule.

- e. A `\\1`, `\\2`, `\\3`, and so forth, on the right stands respectively for the first, second, third, and so forth, parts of text matched by wildcard patterns on the left. PyElly allows up to nine bindings for a pattern. Each binding applies to a sequence of contiguous wildcards, except for `_` and `'`, which will always be bound to a single character. For example, matching the pattern `#@abc@#` will associate the first digit and letter of a match with `\\1` and the last letter and digit with `\\2`. Matching the pattern `#a'_*` with a string will associate the character before `a` with `\\1`, a space with `\\2`, and any string after the space with `\\3`.
- f. Characters used as wildcards can be matched literally by escaping them with `\\`; for example `\\?` matches a question mark. This applies only the left part of macro rules.
- g. When any macro is matched and its substitution done, then all macros will be checked again against the modified result for further possible substitutions. When a macro eliminates its match entirely, though, substitutions will be ended at that position.
- h. The order of macro definitions is significant. Those defined first in a definition file will always be applied first, possibly affecting the applicability of those defined afterward. Macros starting with a wildcard will always be checked after all others, however.
- i. Macros have no associated semantic procedures because they run outside of PyElly syntax-driven parsing and rewriting.

Macro substitutions will be trickier to manage than grammatical rules because you can define them to work at cross-purposes. You can get into an infinite loop of substitutions if you are careless. Nevertheless, macros can greatly simplify a language definition when you use them properly and keep their patterns fairly short.

They will be applied to the current PyElly input text buffer at the current position each time before the extraction of the next token to be processed. This can override any tokenization rules in effect and can modify any previous stemming of words. All of that can add up to substantial overhead if you define many macros because all possible substitutions will always be tried out at each possible token position.

Here are some actual PyElly macro substitution rules from an application trying to compress input as much as possible while keeping it readable:

```
*'ll -> \\1
percent* -> %
will_not -> wont
data_base -> database
greater than or equal to -> >=
carry_ing -> with
receiv[e]* -> rcv\\1
#*_@[.]m$ -> \\1\\3m
```

The last macro rule above will replace “10 p.m” with “10pm” to save space.

The substitution part of a macro rule may include a `\\s` special character, indicating the `u'\\u001E'` Unicode character, which is ASCII RS (record separator). For example,

```
,_@@&ing_that -> ,\\s \\ling that
```

will insert `RS` into the PyElly text input buffer after finding a comma ( , ) when followed by a participial -ING THAT expression. PyElly automatically recognizes `RS` as the syntactic category `SEPR`, but your grammar rules then must do something with this.

You often can use macro substitutions to handle idioms or other irregular forms that are exceptions to general language rules or patterns of words with dependencies often hard to capture in PyElly context-free syntax rules. Just rewrite them into a less unambiguous form that your grammar rules will recognize. This could be an arbitrary string of letters or digits not normally readable by a person; for example, `didn't -> DDNT`.

Macros will always be applied after any inflectional stemming, but also before any morphological stemming (see Subsection 9.1) with an unknown text element. Use them with care; PyElly will warn you when something is possibly dangerous, but will not stop you from doing something to put PyElly into an infinite loop of substitutions.

## 5. Operations for PyElly Generative Semantics

In Section 3, we saw examples of generative semantic procedures expressed in English. PyElly requires, however, that they be written in a special structured programming language for editing text in a series of output buffers. This language has conditional and iterative control structures, but generally operates at the nitty-gritty level of manipulating a few characters at a time.

Basically, PyElly generative semantics manages buffers and moves around text in them. The semantic procedures for various parts of a PyElly sentence all have to put their contributions for a translation into the right place at the right time. Proper coordination is critical; you have to plan everything out and control the interactions of all procedures.

Every generative semantic procedure will be a sequence of simple commands, each consisting of an operation name possibly followed by arguments separated by blanks. These various operations are described below in separate subsections. For clarity, the operation names are always shown in uppercase here, but lower or mixed case will be fine. Comments below begin with ' # ' and are not part of a command.

### 5.1 Insertion of Strings

These operations put a literal string at the end of the current PyElly output buffer:

APPEND any string	# put "any string" into current buffer
BLANK	# put a space character into buffer
SPACE	# same as BLANK
LINEFEED	# start new line in buffer, add space
OBTAIN	# copy in the text for the first token # at the sentence position of the # phrase constituent being translated

### 5.2 Subroutine Linkage

For calling procedures of subconstituents for a phrase and returning from such calls:

LEFT	# calls the semantic procedure # for subconstituent structure # Y when a rule is of the form # X->Y or X->Y Z.
RIGHT	# calls the semantic procedure # for subconstituent structure # Z for a rule of the form # X->Y Z, but Y for rule X->Y

```
RETURN                # returns to caller, not needed at
                      # the end of a procedure

FAIL                  # rejects the current parsing of an
                      # input sentence and returns to the
                      # first place where there is a choice
                      # of different parsings for a part of
                      # an input structure
```

### 5.3 Buffer Management

Processing starts with a single output text buffer. Spawning other buffers can help to keep the output of different semantic procedures separate for adjustments before joining everything together. You can set aside the current buffer, start working in a new buffer and then return to the old buffer to shift text back and forth between them.

```
SPLIT                 # creates a new buffer and
                      # directs processing to it

BACK                  # redirects processing to end
                      # of previous buffer while
                      # preserving the new buffer

MERGE                 # appends content of a new
                      # buffer to the previous one,
                      # deallocating the new one
```

These allow a semantic procedure to be executed for its side effects without immediately putting anything into the current output buffer. Splitting and merging will work when nested recursively, but for clarity, put a corresponding `SPLIT` and `MERGE` in the same procedure. The `MERGE` operation can also be combined with string substitution:

```
MERGE /string1/string2/ # as above, except that all occurrences
                        # of "string1" in the new buffer will be
                        # be changed to "string2"
                        # (the divider / here may be replaced by
                        # any char not in string1 or string2)
```

### 5.4 Local Variable Operations

Local variables can store a Unicode string value. Variable names may have one or more letters or digits. They will be declared within the scope of a semantic procedure and will automatically disappear upon a return from the procedure.

```
VARIABLE xv=string    # declare and set variable xv to
                      # "string"; if the "=string" part
                      # is missing, then initialization
                      # is to a null string ""
```

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```
SET xv=string          # assigns "string" to the most recent
                        # declaration of local variable xv,
                        # defining xv if necessary; if the
                        # "=string" part is missing, then
                        # assignment is to a null string ""
```

A string may contain any printing characters, but trailing spaces will be dropped. To handle single space characters specified by their ASCII names, you may use the following special forms:

```
VARIABLE xv SP         # define variable xv as single
                        # space char

SET xv SP              # set variable xv as single
                        # space char, defining xv
                        # if necessary
```

Note the absence of the equal sign (=) here. PyElly will recognize `SP`, `HT`, `LF`, and `CR` as space characters here and nothing else. This form can also be used with the `IF`, `ELIF`, `WHILE`, and `BREAKIF` semantic operations described below. You may write `VAR` as shorthand for `VARIABLE`; they are equivalent.

Some operations have a local variable as their second argument. These support assignment, concatenation of strings, and queuing.

```
ASSIGN  xv=zv          # assigns the strings value of local
                        # variable zv to the local
                        # variable xv in their most
                        # recent declarations

QUEUE   qv=xv          # appends the string stored for local
                        # variable xv to any string stored for
                        # variable xv

UNQUEUE xv=qv n        # removes the first n chars of the
                        # string stored in local variable
                        # qv and assigns them to local
                        # variable xv; if n is unspecified,
                        # the character count defaults to 1;
                        # if qv has fewer than n chars, then
                        # xv is just set to the value of qv
                        # and qv is set to the null string
```

The equal sign (=) and righthand local variable name is required for `UNQUEUE` and `QUEUE`. If a lefthand local variable is undefined here, it will become automatically defined in the scope of the current generative semantic procedure.

## 5.5 Set-Theoretic Operations with Local Variables

PyElly allows for manipulation of sets of strings, represented as their concatenation into a single string with commas between individual strings. For example, the set {"1","237","ab","uooo"} would be represented as the single string "1,237,ab,uooo". When local variables have been set to such list values, you can apply PyElly set-theoretic operations to them.

```

UNITE x<<z           # takes the union of the list values
                     # of local variables x and z
                     # and saves the result in x

INTERSECT x<<z       # intersects the list values
                     # of local variables x and z
                     # and saves the result in x

COMPLEMENT x<<z      # restricts the list values of
                     # of local variable x to those
                     # not in the list value for
                     # local variable z and saves
                     # the result in x

```

## 5.6 Global Variable Operations

Global variables are permanently allocated in a language definition and are accessible to all semantic procedures through two restricted operations:

```

PUT x y              # store the value of local
                     # variable x in global
                     # variable y

GET x y              # the inverse of PUT

```

There is no limit on the total number of global variables. The global variables `gp0`, `gp1`, ... can be defined and set from a PyElly command line (see Section 7); you can define other global variables yourself in semantic procedures by doing a `PUT` or a `GET` with a new global variable name. You can also set global variables with the `I :` option in a grammar rule file.

## 5.7 Control Structures

Only two structures are supported in generative semantics: the `IF-ELIF-ELSE` conditional and the `WHILE` loop; they are as follows:



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```
IF x=string          # if local variable x has
                    # value string, execute the
                    # following block of code

ELIF x=string        # follows an IF; the test is
                    # made if all preceding
                    # tests failed and will
                    # control execution of
                    # following block of code
                    # (more than one ELIF can
                    # follow an IF)

ELSE                 # the alternative to take
                    # unconditionally after all
                    # preceding tests have failed

WHILE x=string       # the following block of code
                    # is repeatedly executed
                    # while the local variable
                    # x is equal to string

END                  # delimits a block of code and
                    # terminates an IF-ELIF-ELSE
                    # sequence or a WHILE loop
```

An **END** must terminate every **IF-ELIF-ELSE** sequence and every **WHILE** loop. PyElly will report a table definition error if any required **END** is missing for a control structure.

As in Subsection 5.4, we can check for single space characters here. For example,

```
IF x SP              # check if local variable x is
                    # a space character

ELIF x SP            #

WHILE x SP           #
```

Instead of **SP**, you may also have **HT**, **LF**, **NL**, or **CR**. ; **NL** is the same as **LF**. New lines in a PyElly output buffer are always represented as a **LF** followed by an **SP**.

A tilde (~) preceding the variable name **x** reverses the logical sense of comparison in all the checks above.

```
IF ~x=string         # test if x ≠ string
```

The **IF** and **ELIF** commands also have a form that allow for the testing a variable against a list of strings. PyElly allows for

```
IF      x=s, t, u          # test if x == s or x == t or x == u
ELIF    x=s, t, u          # test if x == s or x == t or x == u
```

The multiple strings to be compared against here must be separated by a comma (,) followed by a space. The space is essential for PyElly to recognize the listing here. The tests here can be negated with a tilde (~) also. The checking of multiple space characters SP, HT, NL, and CR as described above is unsupported.

Within a WHILE loop, you may also have

```
BREAK          # unconditionally break out
                # of current WHILE loop

BREAKIF x=string  # if local variable x has
                  # value string, break out of
                  # current WHILE loop
```

The condition for BREAKIF can be negated with a preceding tilde (~) as above. You can check for a single space character also.

## 5.8 Character Manipulation

These work with the current and next output buffers as indicated by < or > in a command; x specifies a source or target local variable to work with.

```
EXTRACT > x n      # drops the last n chars of
                   # the current output buffer and
                   # sets local variable x to the
                   # string of dropped characters

EXTRACT x < n      # drops the first n chars of
                   # the next output buffer and
                   # sets local variable x to the
                   # string of dropped characters

INSERT < x          # insert the chars of local
                   # variable x to the end of the
                   # current output buffer

INSERT x >         # insert the chars of local
                   # variable x to the start of the
                   # next output buffer

PEEK x <           # get a single char from
                   # start of next output buffer
                   # without removing it
```

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```
PEEK > x          # gets a single char from
                   # end of current output buffer
                   # without removing it

DELETE n <         # deletes up to n chars from the
                   # start of the next output buffer

DELETE n >         # deletes up to n chars from the
                   # end of the current output
                   # buffer

STORE x k          # save last deletion in a current
                   # procedure in local variable
                   # except for last k chars when
                   # k > 0 or the first k chars
                   # when k < 0; if unspecified,
                   # k defaults to 0

SHIFT n <          # shifts up to n chars from
                   # the start of the next output
                   # buffer to the end of the
                   # current output buffer

SHIFT n >          # shifts up to n chars from
                   # the end of the current output
                   # buffer to the start of the
                   # next output buffer
```

If *n* is omitted for EXTRACT operation, it is assumed to be 1; if the < or > are omitted from a DELETE or a SHIFT, then < is assumed. These commands must always have at least one argument. All deleted text can be recovered by a STORE command.

The DELETE operation also has two variants

```
DELETE FROM s      # this deletes an indefinite
                   # number of chars starting from
                   # the string s in the current
                   # buffer up to the end

DELETE TO s         # this deletes an indefinite
                   # number of chars up to and
                   # including the string s
                   # in the next buffer
```

If the argument *s* is omitted for DELETE FROM or DELETE TO, it is taken to be the string consisting of a single space character. If *s* is not found in the current or the next buffer for DELETE FROM or DELETE TO, all of that buffer will be deleted. As with the regular DELETE operation, any characters removed by this command can be recovered by the STORE command.

## 5.9 Insert String From a Table Lookup

This operation that uses the value of a local variable to select a string for appending at the end of the current output buffer. It has the form

```
PICK x table           # select from table according
                        # to the value of x
```

The table argument is a literal string of the form

```
(v1=s1#v2=s2#v3=s3#...vn=sn#)
```

where the # character must be used to terminate each table option. If the value of given local variable *x* is equal to substring *vi* for a table option, then substring *si* will be inserted. If there is no match, nothing will be inserted, but when *vn* is null, then *sn* will be inserted if the variable *x* matches no other *vi*.

For example, the particular `PICK` operation

```
PICK x (uu=aaaa#vv=bbbb#ww=cccc#=dddd#)
```

in a generative semantic procedure or in a vocabulary table entry is equivalent to the PyElly code

```
IF    x=uu
    APPEND aaaa
ELIF  x=vv
    APPEND bbbb
ELIF  x=ww
    APPEND cccc
ELSE
    APPEND dddd
END
```

but the `IF-ELSE` form will take up multiple lines. PyElly will compile a `PICK` operation to use a Python hash object for faster lookup.

The operation

```
PICK x (=dddd#)
```

will append `dddd` for any *x*, including *x* being set to the null string.

## 5.10 Buffer Searching

There is a general search operation in forward and reverse forms. These assume existence of a current and a new buffer as the result of executing `SPLIT` and `BACK`.

```
FIND s <                # the contents of the new
                        # buffer will be shifted to the
                        # current buffer up to and including
                        # the first occurrence of string s

FIND s >                # as above, but the transferring
                        # will be in the other direction
                        # past first occurrence of s
```

Substring `s` must be given and may contain no spaces. If it `s` not found in a buffer scan, the entire contents of the source buffer will be moved. If final `<` or `>` is omitted, then `>` is assumed. Note that `s` can include the characters `<` or `>`, which can look confusing, but will have the correct interpretation in what to search for.

A more specialized search allows you to align your current and new buffers at the start of an output line as marked by a previously executed `LINEFEED` command.

```
ALIGN <                # shift chars in new buffer to current
                        # until a \n inserted by LINEFEED is
                        # found; the current buffer should
                        # end with \n followed by a space

ALIGN >                # as above, but transfer will be in the
                        # other direction; any matching \n and
                        # following space will be left in the
                        # current buffer, however
```

`ALIGN` will be like `FIND` in that the entire source buffer be moved if no `\n` is found; but if found, the current buffer will always end up with `<nl><sp>` as its last two chars.

## 5.11 Execution Monitoring

To track the execution of semantic procedures when debugging them, you can use the command:

```
TRACE                  # show processing status in tree
```

In the semantic procedure for a phrase, this will print to the standard error stream the starting token position of the phrase in a sentence, its syntax type, the index number of the syntactic rule, the degree of branching of the rule, the generative semantic stack depth, the output buffer count, the number of characters in the current buffer:

```
TRACE @0 type=field rule=127 (1-br) stk=9 buf=1 (2 chars)
```

We see here that PyElly is running the semantics for the 1-branch rule 127 associated with a phrase of type `FIELD` at token position 0; it is executing at the 9th level of calls with a single buffer containing only two characters. If a subprocedure named `pn` (see Subsection 5.13) has called the current generative semantic procedure either directly or indirectly, then this will be identified also. The output above then becomes

```
TRACE @0 type=field rule=127 (1-br) stk=9 in (pn) buf=1 (2 chars)
```

If there are multiple named subprocedures in the chain of calls for the current generative semantic procedure, then only the most recent will be reported.

To show the current string value of a local variable `x`, you can insert this command into a semantic procedure:

```
SHOW x message ....      # show value of local variable x
```

This writes the ID number of the phrase being interpreted, the name of the variable being shown, its current string value, and an optional identifying message to the standard error stream. For example,

```
SHOW @phr 108 : [message ....] VAR x= [012345]
```

The message string is optional here; it may contain spaces.

To see up to the last `n` chars of the current and up to the first `n` of the next output buffer at the current point of running generative semantics, you can use a third command

```
VIEW n                    # show n chars of current + next buffers
```

When executed in a generative semantic procedure, `VIEW 3` will write the following kind of message to the standard error stream:

```
VIEW = 0 @phr 6 : [u'u', u'n', u'>'] | [u'<', u's', u's'']
```

This first gives the index number of the current output buffer and the ID number of the phrase being interpreted. The vertical bar (`|`) separates the list of Unicode characters ending the current buffer from the list starting the next buffer. Set `n` to get as wide a window here as you need. Run a sequence of `VIEW`s to monitor progress in accumulating rewritten text for PyElly output.

## 5.12 Capitalization

PyElly has only two commands to handle upper and lower case in output.

```
CAPITALIZE                # capitalize the first char
                           # in the next buffer after a
                           # split and back operation
```

This operates only on the next output buffer. If you fail to do a `SPLIT` and `BACK` operation to create a next output buffer before running this command, you will get a null pointer exception, which will halt PyElly.

```
UNCAPITALIZE          # uncapitalize the first char
                      # in the next buffer after a
                      # split and back operation
```

The restrictions for `CAPITALIZE` apply here also.

## 5.13 Semantic Subprocedure Invocation

If `DO` is the name of a semantic subprocedure defined with `P :` in a PyElly grammar table, then it can be called from any generative semantic procedure for a rule or another subprocedure by giving the name in parentheses:

```
(DO)                  # call the procedure called DO
```

The subprocedure name must be defined somewhere in a PyElly `A.g.elly` file. This definition does not have to come before the call. When a subprocedure finishes running, execution will return to just after where it was called. Any local variables in the subprocedure will then become undefined.

A subprocedure call will always take no arguments. If you want to pass parameters, you must do so through local or global variables or in an output buffer. Similarly, results from a subprocedure can be returned only by putting them into an output buffer or passing them in a local or global variable.

The null subprocedure call `()` with no name is always defined; it is equivalent to a generative semantic procedure consisting of just a `RETURN`. This is normally used only for PyElly vocabulary definitions with no associated generative semantics (see Subsection 9.4).

## 6. Simple PyElly Examples of Language Rules

We are now ready to look at some simple examples of semantic procedures for PyElly syntax rules, employing the mechanisms and operations defined in the preceding sections. Sections 8 and 9 will discuss more advanced capabilities.

### 6.1 Default Semantic Procedures

The notes in the Section 4.1.1 of this manual mentioned that omitting the semantic procedure for a syntax rule would result in a default procedure being assigned to it. Now we can finally define those default procedures. A rule of the form  $G : X \rightarrow Y \ Z$  will have the default

```
— LEFT
  RIGHT
```

Note that a `RETURN` command is unnecessary here as it is implicit upon reaching the end of the procedure. You can always put one in yourself, however.

A rule of the form  $G : X \rightarrow Y$  has the default semantic procedure

```
— LEFT
—
```

A rule of the form  $D : w \leftarrow X$  has the default

```
— OBTAIN
—
```

These are automatically defined by PyElly as subprocedures without names. They do nothing except to implement the calls and returns needed minimally to maintain communication between the semantic procedures for the syntactic rules associated with the structure of a sentence derived by a PyElly analysis.

In the first example of a default semantic procedure above, a call to the procedure for the left constituent structure  $X$  comes first, followed immediately by a call to the procedure for the right constituent  $Y$ . If you wanted instead to call the right constituent first, then you would have to supply your own explicit semantic procedure, writing

```
— RIGHT
  LEFT
—
```



In the second example above of a default semantic procedure, there is only one constituent in the syntactic rule, and this can be called as a left constituent or a right constituent; that is, a `RIGHT` call here will be interpreted as the same as `LEFT`.

In the third example of a default semantic procedure above, which defines a grammatical word, there is neither a left nor a right constituent; and so we can execute only an `OBTAIN`. Either a `LEFT` or a `RIGHT` command here would result in an error.

## 6.2 A Simple Grammar with Semantics

We now give an example of a nontrivial PyElly grammar. The problem of making subjects and predicates agree in French came up previously in Section 3. Here we make a start at a solution by handling *elison* and the present tense of first conjugation verbs in French and of the irregular verb *AVOIR* “to have.” For the relationship between a subject and a predicate in the simplest possible sentence, we have the following syntactic rule plus semantic procedure.

```
G:SENT->SUBJ PRED
—
VAR PERSON=3      # can be 1, 2, or 3
VAR NUMBER=s      # singular or plural
LEFT              # for subject
SPLIT
RIGHT             # for predicate
BACK
IF PERSON=1
  IF NUMBER=s
    EXTRACT X <   # letter at start of predicate
    IF X=a, e, è, é, i, o, u
      DELETE 1    # elison j'
      APPEND '     #
    ELSE
      BLANK       # otherwise, predicate is separate
    END
    INSERT < X    # put predicate letter back
  END
ELSE
  BLANK          # predicate is separate
END
ELSE
  BLANK          # predicate is separate
END
MERGE            # combine subject and predicate
APPEND !
—
```

The two local variables `NUMBER` and `PERSON` are for communication between the semantic procedures for `SUBJ` and `PRED`; they are set by default to “singular” and “third

person”. The semantic procedure for `SUBJ` is called first with `LEFT`; then the semantic procedure for `PRED` is called with `RIGHT`, but with its output in a separate buffer. This lets us adjust the results of the two procedures before we actually merge them; here the commands in the conditional `IF-ELSE` clauses are to handle a special case of *elison* in French when the subject is first person singular and the verb begins with a vowel.

```
G:SUBJ->PRON
```

```
—
```

The above rule allows a subject to be a pronoun. The default semantic procedure for a syntactic rule of the form `X->Y` as described above applies here, since none is supplied explicitly.

```
D:i<-PRON
```

```
—
```

```
  APPEND je
  SET PERSON=1
```

```
—
```

```
D:you<-PRON
```

```
—
```

```
  APPEND vous
  SET PERSON=2
  SET NUMBER=p
```

```
—
```

```
D:it<-PRON
```

```
—
```

```
  APPEND il
```

```
—
```

```
D:we<-PRON
```

```
—
```

```
  APPEND nous
  SET PERSON=1
  SET NUMBER=p
```

```
—
```

```
D:they<-PRON
```

```
—
```

```
  APPEND ils
  SET NUMBER=p
```

```
—
```

These internal dictionary grammar rules define a few of the personal pronouns in English for translation. The semantic procedure for each rule appends the French equivalent of a pronoun and sets the `PERSON` and `NUMBER` local variables appropriately. Note that, if the defaults values for these variables apply, we can omit an explicit `SET`.

Continuing, we fill out the syntactic rules for our grammar.

```
G:PRED->VERB
```

```
—
```

This defines a single `VERB` as a possible `PRED`; the default semantic procedure applies again, since no procedure is supplied explicitly here.

Now we are going to define two subprocedures needed for the semantic procedures of our selection of French verbs.

```
P:plural
—
  PICK PERSON (1=ons#2=ez#3=ent#)
—
P:1cnjg
—
  IF NUMBER=s
    PICK PERSON (1=e#2=es#3=e#)
  ELSE
    (plural)
  END
—
```

Semantic subprocedures `plural` and `1cnjg` choose an inflectional ending for the present tense of French verbs. The first applies to most verbs; the second, to first conjugation verbs only. We need to call them in several places below and so define the subprocedures just once for economy and clarity.

```
D:sing<-VERB
—
  APPEND chant      # root of verb
  (1cnjg)           # for first conjugation inflection
—
D:have<-VERB
—
  IF NUMBER=s
    PICK PERSON (1=ai#2=ais#3=a#)
  ELSE
    IF PERSON=3
      APPEND ont     # 3rd person plural is irregular
    ELSE
      APPEND av      # 1st and 2nd person are regular
      (plural)
    END
  END
—
```

We are defining only two verbs to translate here. Other regular French verbs of the first conjugation can be added by following the example above for “sing”. Their semantic procedures will all append their respective French roots to the current output buffer and call the subprocedure `1cnjg`.

The verb AVOIR is more difficult to handle because it is irregular in most of its present tense forms, and so its semantic procedure must check for many special cases. Every irregular verb must have its own special semantic procedure, but there are usually only a few dozen such verbs in any natural language.

Here is how PyElly will actually process input text with this simple grammar. The English text typed in for translation is shown in uppercase on one line, and the PyElly translation in French is shown in lowercase on the next line.

**YOU SING**  
**vous chantez!**

**THEY SING**  
**ils chantent!**

**I HAVE**  
**j'ai!**

**WE HAVE**  
**nous avons!**

**THEY HAVE**  
**ils ont!**

The example of course is extremely limited as translations go, but the results are correct French, unlike those in Section 3. For more substantial processing, we would also take English inflectional stemming into account, use macro substitutions to take care of irregularities on the English side like `has`, and handle other subtleties. We also have to deal with various tenses other than present as well as aspect, mood, and so forth. You should, however, be able to envision now what a full PyElly grammar should look like for rewriting English as French; it will take much more work just to make the rules fairly complete, but this would be similar to what we already have seen above.

## 7. Running PyElly From a Command Line

We have so far described how to set up definition text files to create the various tables to guide PyElly operation. This section will show you how to run PyElly for actual language analysis, but first we will have to take care of some preliminary setup. That should be fairly straightforward, but computer novices may want to get some technical help here.

To begin with PyElly was written entirely in version 2.7 Python, which seems to be the most widely preinstalled by computer operating systems. The latest version of Python is 3.\*, but unfortunately, this is incompatible with 2.7. So make sure you have the right version here. Python is free software, and you can download a 2.7 release from the Web, if needed. The details for doing so will depend on your computing platform.

There is a problem with Unicode output when running version 2.7 of Python. If you try to redirect such output to a file, you may encounter a `UnicodeEncodeError` because of the defaults of your Python system configuration. To avoid this error, put the following line into the initialization shell file that will run each time you log in.

```
export PYTHONIOENCODING=utf8
```

The shell files for PyElly integration testing will do this setup, but you will have to take care of it yourself whenever running PyElly application directly from a command line.

Once you have the latest version Python 2.7.\* ready to go, you can download the full PyElly package from GitHub. This is open-source software under a BSD license, which means that you can do anything you want with PyElly as long as you identify in your own documentation where you got it. All PyElly Python source code is free, but still under copyright.

The Python code making up PyElly currently consists of 64 modules comprising about 16,000 source lines altogether. A beginning PyElly user really needs to be familiar with only three of the modules.

**ellyConfiguration.py** - defines the default environment for PyElly processing. Edit this file to customize PyElly to your own needs. Most of the time, you can leave this module alone.

**ellyBase.py** - sets up and manages the processing of individual sentences from standard input. You can run this for testing or make it a part of a programming interface if you want to embed PyElly in a larger application.

**ellyMain.py** - runs PyElly from a command line. This is built on top of EllyBase and is set up to extract individual sentences from continuous text in standard input.

The ellyBase module reads in \*.\*.elly language definition files to generate the various tables to guide PyElly analysis of input data. Section 4 introduced three of them. For a given application A, these will be A.g.elly, A.p.elly, and A.m.elly, with only the

`A.g.elly` file mandatory. Subsequent sections of this user manual will describe the other `*.*.elly` definition files.

The PyElly tables created for an application `A` will be automatically saved in two files: `A.rules.elly.bin` and `A.vocabulary.elly.bin`. The first is a Python pickled file, which is not really binary since you can look at it with a text editor, but this will be hard for people to read. The second is an actual binary database file produced by SQLite from definitions in a given `A.v.elly` (see Subsection 9.4 for an explanation).

If the `*.elly.bin` files exist, `ellyBase` will compare their creation dates with the modification dates of corresponding `A.*.elly` definition files and create new tables only if one or more definition files have changed. If a `*.rules.elly.bin` is more recent than a `*.vocabulary.elly.bin` file, then the latter will be recompiled regardless of whether it is more recent than its corresponding `*.elly.bin` file. Otherwise, the existing PyElly language rule tables will be reloaded from the `*.elly.bin` files.

The files `*.rules.elly.bin` record which version of PyElly they were created under. If this does not agree with the current version of PyElly, then PyElly will immediately exit with an error message that the rule file is inconsistent. To proceed, you must then delete all of your `*.elly.bin` files so that they will be regenerated automatically from your latest language definition files.

In most cases, `ellyBase` will try to substitute a file `default.x.elly` if an `A.x.elly` file is missing. This may not be what you want. You can override this behavior just by creating an empty `A.x.elly` file. The standard PyElly download package includes eight examples of definition files for simple applications to show you how to set everything up (see Section 14).

You can see what `ellyBase` does by running it directly with the command line:

```
python ellyBase.py [name [depth]]
```

This will first generate the PyElly tables for the specified application and provide a detailed dump of grammar rules allowing you to see any problems in a language definition. The default application here will be `test` if none is specified. Resulting tables will be saved as `*.elly.bin` files that PyElly can subsequently load directly to start up faster. If you do run the `test` application here, `ellyBase` will respond:

```
release= PyElly v1.4.16.1
system = test
. . .

>
```

(Initialization output has been omitted here, replaced by the ellipsis.)

After initializing, ellyBase will prompt for one sentence per input line, which it will then try to translate. Its output will be a rewritten sentence in brackets if translation is successful; or just `????` on failure. It will also show all parse trees built for the syntactic analysis plus a detailed summary of internal details of parsing. The optional `depth` argument above will limit how far down the reporting of parse trees will go (see `-d` for ellyMain below).

For an application with batch processing of input sentences not necessarily on separate lines, you normally will invoke ellyMain from a command line. The `ellyMain.py` file is a straight Python script that reads in general text and allows you to specify various options for PyElly language processing. Its full command line is as follows in usual Unix or Linux documentation format:

```
python ellyMain.py [ -b ][ -d n ][ -g v0,v1,v2,... ][ -p ][ -noLang ] [name] < text
```

where `name` is an application identifier like `A` above and `text` is an input source for PyElly to translate. If the identifier is omitted, the application defaults to `test`.

The commandline flags here are all optional. They will have the following interpretations in PyElly ellyMain:

<code>-b</code>	operate in batch mode with no prompting; PyElly will otherwise run in interactive mode with prompting when its text input comes from a user terminal.
<code>-d n</code>	set the maximum depth for showing a PyElly parse tree to an integer <code>n</code> . This can be helpful when input sentences are quite long, and you do not want to see a full PyElly parse tree. Set <code>n = 0</code> to disable parse trees completely. See Section 12 for more details.
<code>-g v0,v1,v2, . .</code>	define the PyElly global variables <code>gp0</code> , <code>gp1</code> , <code>gp2</code> , ... for PyElly semantic procedures with the respective specified string values <code>v0</code> , <code>v1</code> , <code>v2</code> , ...
<code>-p</code>	show cognitive semantic plausibility scores along with translated output. If semantic concepts are defined, PyElly will also give the contextual concept of the last disambiguation according to the order of interpretation by generative semantics. This is intended mainly for debugging, but may be of use in some applications (see <code>disambig</code> , described in Section 14).
<code>-noLang</code>	do not assume that input text will be in English; the main effect is to turn off English inflectional stemming (See Section 11).

When ellyMain starts up in interactive mode, you will see a banner in the following form with some diagnostic output replaced by `. . .:`

**PyElly v1.4.16.1, Natural Language Filtering**  
**Copyright 2014–2017 under BSD open-source license by C.P. Mah**  
**All rights reserved**

**reading test definitions**  
**recompiling grammar rules**  
    . . .  
**recompiling vocabulary rules**  
    . . .

**Enter text with one or more sentences per line.**  
**End input with E-O-F character on its own line.**

**>>**

You may now enter multiline text at the >> prompt. PyElly will process this exactly as it would handle text from a file or a pipe. Sentences can extend over several lines, or a single line can contain several sentences. PyElly will automatically find the sentence boundaries according to its current rules and divide up the text for analysis.

As soon as PyElly reads in a full sentence, it will try to write a translation to its output. In interactive mode, this will be after the first linefeed after the sentence because PyElly has to read in full lines. A linefeed will NOT break out of the ellyMain input processing loop, but consecutive linefeeds will terminate a sentence even without punctuation. End all input with an EOF (control-D on Unix and Linux, control-Z in Windows). A keyboard interrupt (control-C) will break out of ellyMain with no further processing. PyElly \*.\*.elly language definition files should be UTF-8 with arbitrary Unicode except in grammar symbol names. As text input to translate, however, PyElly will accept only ASCII, Latin-1 Supplement, Latin Extender A, some Extender B characters plus some other Unicode punctuation, and Greek lowercase; all other input characters will be converted to spaces or to underscores. The chinese application described in Section 14 uses definition files with both traditional and simplified Chinese characters in UTF-8.

All PyElly translation output will be UTF-8 characters written to the standard output stream, which you may redirect to save to a file or pipe to modules outside of PyElly. PyElly parse trees and error messages will also be in UTF-8 and will go to the standard error stream, which you can also redirect. Historically, the predecessors of PyElly have been filters, which in Unix terminology means a program that reads from standard input and writes a translation to standard output.

Here is an example of interactive PyElly translation with a minimal set of language rules (echo.\*.elly) defining a simple echoing application with inflectional stemming:

```
>> Who gets the gnocchi?  
  
=[who get -s the gnocchi?]
```



where the second line is actual output from `ellyMain`. PyElly by default converts upper case to lower, and will strip off English inflectional endings as well as -ER and -EST. You can get stricter echoing by turning off inflectional stemming and morphological analysis.

By default, PyElly will look for the definition files for an application in your current working directory. You can change this by editing the value for the symbol `baseSource` in `ellyConfiguration.py`. The various PyElly applications described in Section 14 are distributed in the `applcn` subdirectory under the main directory of Python source files, resources, and documentation. Configure here to your particular working environment.

PyElly \*.py modules by default should be in your working directory, too. You can change where to look for them, but that involves resetting environment variables for Python itself. PyElly is written as separate Python modules to be found and linked up whenever you start up PyElly. This is in contrast to other programming languages where modules can be prelinked in a few executable files or packaged libraries.

There is a stripped-down implementation of top-level PyElly processing called `ellySurvey.py`, which ignores sentence boundaries and omits the parsing and rewriting of input text. Instead, this produces a listing of all the tokens found by PyElly along with source tags indicating how each was derived. This is invoked with the command line:

```
python ellySurvey.py [name] < text
```

where `name` is an application identifier and `text` is an input source to translate. If the identifier is omitted, the application defaults to `test`.

The `ellySurvey` listing of tokens will have the following source tags:

Ee	by entity extraction
Fa	by finite automaton for application
Id	in internal dictionary for application
Pu	by punctuation recognizer
Un	unknown
Vt	in external vocabulary table for application

A token can have more than one source if your language rules have multiple definitions for it; for example, a term may be in both your internal grammar dictionary and your external vocabulary table, and it might also be recognized by entity extraction as well as by the finite state automaton built into PyElly. Here is an example of a token listing with the marking application:

```
Id on/On
Ee 09/16/____
Pu ,
Id his
Vt country
Vt take
FaId -ed
Id in
Vt at least
Fa 1500
Vt refugee/refugees
FaId -s
Vt flee/fleeing
FaId -ing
Vt war
Pu .
```

A token is shown in its analyzed form as it would appear in a PyElly parse tree; if this differs from its original input form after possible macro and other transformations, then that form is also given on the same line, separated by a slash (/). The listing makes it easier to find problems in tokenization or vocabulary lookup. Unknown tokens are identified in the listing, since you often want to define them explicitly.

If you are processing some large text corpus for the first time, you should always run `ellySurvey` first. This should help identify the problems in that data solvable just by vocabulary definitions, which should then make subsequent grammar definitions easier.

On the whole, PyElly gives you many options for processing natural language input. You must, however, be comfortable with computing at the level of command lines in order to run PyElly in `ellyMain.py` or `ellyBase.py` or `ellySurvey.py`. There is as yet no graphical user interface for PyElly. The current PyElly implementation may be a challenge to computer novices unfamiliar with Python or with commandline invocation.

## 8. Advanced Capabilities: Grammar

As noted above, PyElly language analysis is built around a parser for context-free languages to take advantage of extensive technology developed for parsing computer programming languages. So far, we have stayed strictly context-free except for macro substitution prior to parsing and use of local variables shared by generative semantic procedures to control translation.

You can actually accomplish a great deal with such basics alone, but for more challenging language analysis, PyElly supports other grammatical capabilities. These include extensions to grammar rules like syntactic and semantic features and the special . . . syntactic type mentioned earlier. Other extensions related to vocabularies are covered in the next section.

The handling of sentences and punctuation in continuous text is also normally a topic of grammar, but PyElly breaks this out as a separate level of processing for modularity. The details on this will be discussed in Section 11.

### 8.1 Syntactic Features

PyElly currently allows for only 96 distinctive syntactic types, including predefined types like `SENT` and `UNKN`. If needed, you get more types by redefining the variable `NMAX` in the PyElly file `symbolTable.py`, but there is a more convenient option here. PyElly also lets you qualify syntactic types through syntactic features, which in effect greatly multiplies the total number of syntactic types available.

Syntactic features are binary tags for syntactic types, allowing them to be divided into subcategories; they are best known from Noam Chomsky's seminal work *Syntactic Structures* (1957). Currently, PyElly allows up to sixteen syntactic features associated with a subset of syntactic types. You can define those subsets and name the features however you want. You can get more than sixteen syntactic features by redefining the variable `FMAX` in `symbolTable.py`, but this is not recommended.

The advantage of syntactic features is that grammar rules can disregard them. For example, a `DEFINITE` syntactic feature would allow definite noun phrases to be identified in a grammar rule without having to introduce a new structural type like `DNP`. Instead, we would have something like `NP[:DEFINITE]`. A grammar syntax rule like `PRED->VP NP` will apply to `NP[:DEFINITE]` as well as to plain `NP`. With a new syntax type like `DNP`, we would also have to add the rule `PRED->VP DNP`.

PyElly syntactic features are expressed by an optional bracketed qualifier appended to a syntactic structural type specified in a rule. The qualifier takes the form

```
[oF1, F2, F3, ..., Fn]
```

where “o” is a single-character identifier for a set of feature names for a specific subset of syntactic types and  $F_1, \dots, F_n$  are the actual names composed of alphanumeric characters, possibly preceded by a prefix ‘-’ or ‘+’. For clarity, set identifiers are usually punctuation characters, but should never be from the set { ‘+’, ‘-’, ‘\*’, ‘[’, ‘]’, ‘,’ }.

Allowing multiple sets of feature names is for convenience only. Each set will have to refer to the same  $F_{MAX}$  feature bits defined for each phrase node in a PyElly parse tree. When defining multiple name sets, make sure that their usage is consistent. PyElly will reject a syntactic type occurring with syntactic feature names from more than one set because features with the same name in different rules may refer to different bits.

Bracketed syntactic features in language rules must follow a syntactic type name with no intervening space. Spaces may follow a comma in a list of syntactic feature names for easier reading, but any before or after a starting left bracket ( [ ) will be seen as an error.

A syntax rule with feature names might appear as follows:

```
G:NP[:DEFINITE,*RIGHT]->THE NP[:~DEFINITE,*RIGHT]
```

This specifies a rule of the form  $NP \rightarrow THE \ NP$ , but with additional restrictions on applicability. The NP as specified on the right side of the rule must have the feature  $*RIGHT$ , but not  $DEFINITE$ . If the condition is met, then the resulting NP structure as specified on the left of the rule is defined with the features  $DEFINITE$  and  $*RIGHT$ . The ‘:’ is the feature class identifier here for the  $DEFINITE$  and  $*RIGHT$  feature names.

PyElly sets have no upper limit on the number of different sets, but it is probably a good idea to have only five or six. Just remember that syntactic features are supposed to simplify grammars; and too many will make inheritance difficult. A syntactic feature name should include only ASCII letters and digits, with the case of letters no mattering.

The special feature name  $*RIGHT$  (or equivalently  $*R$ ) will be defined automatically for all syntactic feature sets. Setting this feature on the left side of a syntactic rule will have the side effect of making that constituent structure inherit any and all syntactic features of its rightmost immediate subconstituent as specified in the rule. This provides a convenient mechanism for passing syntactic features up a parse tree without having to say what exactly they are.

The special feature name  $*LEFT$  (or equivalently  $*L$ ) will also be defined automatically. This will work like  $*RIGHT$ , except that inheritance will be from the leftmost immediate subconstituent. It is permissible to inherit both ways. The  $*LEFT$  and  $*RIGHT$  syntactic features for a phrase node will be mutually exclusive, however; setting one will turn off the other. With a one-branch rule,  $*LEFT$  and  $*RIGHT$  will be the same for inheritance, though remaining distinct as syntactic features.

A third special feature name  $*UNIQUE$  (or equivalently  $*U$  or  $*X$ ) will also be in every PyElly syntactic feature set. Its main purpose is to prevent a phrase from matching any other phrase in PyElly ambiguity checking while parsing; it cannot be inherited. It can,

however, be a regular syntactic feature at a leaf node where there is no inheritance. No starred (\*) special syntactic features should ever be redefined by a PyElly user. They will always be counted in the total number of syntactic features available for any grammar.

A feature  $F$  can be marked with a '-' on the left side of a grammatical rule; for example,  $X[:*L, -F] \rightarrow Y[:F, -G]$ . This has a different interpretation than that for a feature on the right side of a rule, such as  $G$  for the syntactic category  $Y$  in the example rule. It serves to turn off a particular feature that might have been inherited, in this case  $F$ .

You may also turn off a feature that was just set as in  $Z[:*R, -*R] \rightarrow W[:H]$ ; this makes sense only with the special  $*L$  and  $*R$  syntactic features, which have side effects when turned on that will persist even after they are turned back off. The result here is that the resulting  $Z$  phrase node will inherit the feature  $H$ , but will not have  $*R$  also turned on in the end. The action is inherent to the PyElly implementation of feature inheritance, but can be helpful when you use the  $*L$  and  $*R$  features to distinguish leaf phrase nodes.

## 8.2 The . . . Syntactic Type

When the . . . type shows up in a grammar, PyElly automatically defines a syntax rule that allows phrases to be empty. If you could write it out, the rule would take the form

```
...->
```

This is sometimes called a zero rule, which PyElly will not allow you to specify explicitly in a \*.g.elly file for any syntactic type on the left. In strict context-free grammars, any rule having a syntactic structural type going to an empty phrase is forbidden. Such rules are allowed only in so-called type 0 grammars, the most unrestricted of all; but the languages described by such grammars tend to be avoided in text processing because of the difficulty in parsing them.

With . . . as a special syntactic type, however, PyElly achieves much of the power of type 0 grammars without giving up the parsing advantages of context-free grammars. The advantage with using . . . in PyElly is that it allows a grammar to be more compact when this syntactic type is applicable. For example, suppose that we have the rules

```
z->x a
z->x b
z->x c
z->x d
z->a
z->b
z->c
z->c
x->unkn
x->x unkn
```

where `unkn` is the predefined PyElly syntactic category introduced in Section 4 (this will be explained more fully in Section 9.1). Now if `x` is not of interest to us in an eventual translation, then we can replace all the above with just the rules

```
z->... a
z->... b
z->... c
z->... d
...->unkn
...->... unkn
```

The `...` type was intended specifically to support keyword parsing, which tries to recognize a limited number of words in input text and more or less ignores anything else. A PyElly grammar to support such parsing can always be written without `...`, but may be unwieldy. The `doctor` application for PyElly illustrates how this kind of keyword grammar would be set up; it includes syntax rules like the following:

```
g:ss->x ...
—
g:x[@*right]-> ... key
—
g:...->unkn ...
```

The syntactic type `key` here represents all the various kinds of key phrases to recognize in a psychiatric dialog; for example, “mother” and “dream”. We can get away with only one syntactic type here because, with about a dozen syntactic features available for it, we can distinguish between 4095 different kinds of key phrases.

The actual responses of our script will be produced by semantic procedures for the rules defining `x[@*right]` phrases. Note that different responses to the same keyword must be listed as separate rules with the same syntactic category and features. A simplified listing of grammar rules here might be

```
g:sent[@*right]->ss
—
g:x->... key
—
g:key[@ 0,1]->fmly
—
g:ss[.*right]->x[@ 0, 1,-2,-3,-4,-5,-6] ...
—
  append TELL ME MORE ABOUT YOUR FAMILY
—
g:ss[.*right]->x[@ 0, 1,-2,-3,-4,-5,-6] ...
—
  append WHO ELSE IN YOUR FAMILY
—
d:mother <- fmly
```

```

—
g:...->unkn
—
g:...->unkn ...
—

```

This defines two different possible responses for `key[@ 0,1]` in our input. PyElly ambiguity handling will then automatically alternate between them (see Section 10).

The grammar here is incomplete, recognizing only sentences with a single keyword and nothing else. To allow for sentences without a keyword, we also need a rule like

```

g:ss->...
—

```

The `...` syntactic type also has the restriction that you cannot specify syntactic features for it. If you do put something like `... [ . F1, F2, F3 ]` in a PyElly rule, it be treated as just `...`. This is mainly to help out the PyElly parser, which is already working quite hard here; but it also is because PyElly needs to use such features for its own internal purposes (see Subsection 12.3.3).

PyElly will also block you from defining a rule like

```

g:...->...
—

```

or like

```

g:X->... ...
—

```

where `x` is any PyElly syntactic type, including `...`

The `...` syntactic type can be quite tricky to use effectively in a language description, but it is also tricky for PyElly to handle as an extension to its basic context-free parsing. The various restrictions here are a reasonable compromise to let us do most of what we really need to do. See Subsection 12.3.3 for details on how PyElly parsing actually handles grammar rules containing the syntactic type `...`

## 9. Advanced Capabilities: Vocabulary

PyElly operates by reading in, analyzing, and rewriting out sentences. To do this, it requires syntactic and semantic information for every text element that it encounters: words, names, numbers, identifiers, punctuation, and so forth. Certain text elements like punctuation will be fairly limited, but defining all the rest can be a big undertaking even for fairly simple applications.

In all our PyElly examples here so far, we have already seen several ways of defining text elements in a language.

- An explicit `D:` rule in a grammar.
- Assignment of syntactic information through matching of specified patterns.
- Using the predefined `UNKN` syntactic type when a definition is lacking.

These are fine with small vocabularies, but useful natural language applications must deal with hundreds or even tens of thousands of distinct terms. These may not fall into obvious patterns; and stuffing them all into a `*.g.elly` grammar file will demand more keyboard entry than most people care to do. Treating most text elements as `UNKN` is always a fallback option, but this works well only with simple grammars.

There is no perfect solution here. PyElly can only try to provide a user enough vocabulary definition options to make the overall task a little less painful. So, in addition to the methods above, PyElly also incorporates builtin morphological analysis of unknown words to infer a syntactic type, plug-in code for recognizing complex entities like numbers, time, and dates, and vocabulary tables loaded from external databases. These will be described in separate subsections below, but we first should explain better how the `UNKN` syntactic type works.

### 9.1 More on the UNKN Syntactic Type

We have run across the `UNKN` syntactic type several times already in this manual. Whenever text element `xxxx` in its input cannot be otherwise identified by PyElly, it will be assigned the type `UNKN`. In effect, PyElly generates a temporary rule of the form:

```
D:xxxx <- UNKN
—
OBTAIN
—
```

Such a rule is in effect only while PyElly is processing the current input sentence.

By itself, `UNKN` solves nothing. It just gives PyElly a way of working with unknown elements, and you still are responsible for supplying the grammar rules and associated semantics to tell PyElly how to interpret a sentence having `UNKN` as one of its subconstituents. The simplest possibility here is to make some guesses; for example,



G:NOUN->UNKN

---

G:VERB->UNKN

---

These two rules allow an unknown word to be treated as either a noun or a verb. So, when given a sentence containing unknown `xxxx`, PyElly can try to analyze it as either a noun or a verb. If only one results in a successful parse, then we have managed to get past the problem of having no definition for `xxxx`. If neither works out, we have lost nothing; if both work out, then PyElly can try to figure out which is the more plausible using the cognitive semantic facilities described in Section 10.

## 9.2 Breaking Down Unknown Words

An unknown word can also be resolved by looking at how it is put together. For example, the word `UNREALIZABLE` may be missing from a vocabulary, but it could be broken down into `UN+ +REAL -IZE -ABLE`, allowing us to identify it as an adjective based on the root word `REAL`, which is more likely to be defined already in a vocabulary. PyElly develops this idea will be further, and this will be described in the immediately following subsections.

Text document search engines fifty years ago were already using word analysis to reduce the size of their keyword indexes. This was to manage the many variations a search term might take: `MYSTERY` versus `MYSTERIES` as well as `MYSTIFY`, `MYSTICISM`, and `MYSTERIOUS`. Since these all revolve around a common concept, many system builders opt to reduce them all to the single term `MYSTERY` in a search index. This is also helpful for maximizing the number of relevant documents retrieved for a query.

Consequently, many kinds of rule- and table-driven word stemming exist. These can often be rather crude like the Porter algorithm, but we can do it much more reliably if we work long enough at refining the rules for stemming. For English at least, PyElly now has two quite separate PyElly tools for analyzing the structure of words as a way of dealing with unknown terms.

---

### 9.2.1 Inflectional Stemming

An inflection is a change in the form of a word reflecting its grammatical use in a sentence. Indo-European languages, which include English, tend to be highly inflected; and in instances like Russian, the form of most words can vary greatly to indicate person, number, tense, aspect, mood, and case. Modern English, however, has kept only a few of the inflections of Old English, and so it has been easier to formulate rules to characterize how a particular word can vary.

PyElly inflectional stemming currently recognizes only five endings for English: `-S`, `-ED`, `-ING`, `-N`, and `-T`. These each have their own associated stemming logic and also share additional logic related to recovering the uninflected form of a word. All that logic is

based on American English spelling rules and recognizing special cases. PyElly coordinates its execution through the module `inflectionStemmerEN.py`.

If an unknown word ends in -S, -ED, -ING, -N, or -T, PyElly will apply the logic for the ending to see whether it is an inflection and, if so, what the uninflected word should be. Though such logic is necessarily incomplete, it has been refined by forty years of use in various systems and is generally accurate for American spellings of most English words. For example,

```
winnings ==> win -ing -s
placed   ==> place -ed
judging  ==> judge -ing
cities   ==> city -s
bring    ==> bring
sworn    ==> swear -n
meant    ==> mean -t
```

PyElly stemming will automatically prepend a hyphen (-) on any split off word ending so that it can be recognized. The original word in the PyElly input stream is then replaced by the uninflected word followed by the removed endings as shown. Each ending will be taken as a separate token in PyElly parsing.

In some applications, you may just want to ignore the removed word endings, but these can be quite valuable for figuring out unknown words. The -ED, -ING, -N, and -T endings indicate a verb, and you can provide grammar rules to exploit that syntactic information. For example,

```
D:-ED <- ED
-----
D:-T   <- ED
-----
D:-N   <- ED
-----
G:VERB[|ED]->UNKN ED
-----
```

To use English inflectional stemming in PyElly, setting the `language` variable in the `ellyConfiguration.py` file to `EN`. To override such stemming just for a particular word, define that word in a vocabulary table entry so that it will also be known in its inflected form. This does not work for `D`: internal dictionary entries.

The logic for an ending `x` is defined by a text file `x.sl` loaded by PyElly at runtime. You can also define your own inflectional stemming logic by editing the current `*.sl` files or by writing new ones. The current files for English are `Stbl.sl`, `EDtbl.sl`, `INGtbl.sl`, `Ntbl.sl`, `Ttbl.sl`, `rest-tbl.sl`, `spec-tbl.sl`, and `undb-tbl.sl`. To do inflectional stemming for a new language `ZZ`, you will have to write the `*.sl` files and a `inflectionStemmerZZ.py`. Use `inflectionStemmerEN.py` as a model here.

Here is a segment of actual logic from `Stbl.sl`, which tells PyElly what to check in identifying a -S inflectional ending when it is preceded by an IE. The literal strings for comparison in the logic below have their characters in reverse order because PyElly will be matching from the end of a word towards its start.

```
IF ei
  IF tros {SU}
  IF koo {SU}
  IF vo {SU}
  IF rola {SU}
  IF ppuy {SU}
  IF re
    IF s
      IF im {SU 2 y}
      END {FA}
    IF to {SU}
    END {SU 2 y}
  IF t
    IS iu {SU 2 y}
    LEN = 6 {SU}
    END
  END {SU 2 y}
```

This approximately translates to

```
if you see an IE at the current character position, back up and
  if you then see SORT, succeed.
  if you then see OOK, succeed.
  if you then see OV, succeed.
  if you then see ALOR, succeed.
  if you then see YUPP, succeed.
  if you then see ER, back up and
    if you then see S, back up and
      if you then see MI, succeed, but drop the word's last two letters and add Y.
      otherwise fail.
    if you then see OT, then succeed.
    otherwise succeed, but drop the word's last two letters and add Y.
  if you then see T, then back up and
    if you then see a I or a U, then succeed, but drop the word's last two letters and add Y.
    if the word's length is 6 characters, then succeed.
  otherwise succeed, but drop the word's last two letters and add Y.
```

This stemming logic is equivalent to a finite state automaton (FSA). Its operation should be fairly transparent, although the total number of different rules for English inflections has grown to be quite extensive. You may nevertheless eventually run into a case that is handled incorrectly and that you will want to add to the rules. Make sure, however, to test out every change so that you can avoid making other things worse.

---

## 9.2.2 Morphology

Morphology in general is about how words are put together, including processes like BLACK + BIRD ==> BLACKBIRD, EMBODY + -MENT ==> EMBODIMENT, and KOREAN + POP ==> K-POP. PyElly morphological analysis is currently limited to that involving the addition of prefixes or suffixes to a root, which is not necessarily a word.

The morphology component of PyElly started out as a simple FSA stemmer that served just to remove common endings from English words, including -S, -ED, and -ING. It has now evolved to focus on non-inflectional endings and to output the actual affixes removed as well as the final root form.

Earlier above, we saw “unrealizable” broken down into UN+, +REAL, -IZE, and -ABLE. True morphological analysis here would also tell us that the -IZE suffix changes the word REAL into a verb, the -ABLE suffix changes the verb REALIZE into an adjective again, and the prefix UN+ negates the sense of the adjective REALIZABLE. This is what PyElly can now do, which is useful in figuring out the syntactic type of unknown words.

For an application A, PyElly will work with prefixes and suffixes through two language rule tables defined by files `A.ptl.elly` and `A.stl.elly`, respectively. These are akin to the grammar, macro substitution, and word pattern tables already described. We have two separate files here because suffixes tend to be more significant for analyses than prefixes, and it is common to do nothing at all with prefixes.

PyElly morphological analysis will be applied only words that otherwise would be assigned the UNKN syntactic type after all other lookup and pattern matching has been done. The result will be similar to what we see with inflectional stemming; and to take advantage of them, you will also have to add the grammar rules to recognize prefixes and suffixes and incorporate them into an overall analysis of an input sentence.

---

### 9.2.2.1 Word Endings (`A.stl.elly`)

PyElly suffix analysis will be done after any removal of inflectional endings. For application A, the `A.stl.elly` file guiding this will contain a series of patterns and actions like the following:

```
abular 2 2 le.
dacy 1 2 te. 1
entry 1 4 .
gual 2 3 . 0a
ilitation 2 6 &,
ion 2 0 .
lenger 2 5 . 0e
oarsen 1 5 .
```

```
piracy 1 4 te. 1
santry 1 4
tention 1 3 d.
uriate 2 2 y.
worship 0 0 .
|carriage 0 0 .
|safer 1 5 . 0e
```

Each line of a \*.stl.elly file defines a single pattern and actions upon matching. Its format is as follows from left to right:

- A word ending to look for. This does not have to correspond exactly to an actual morphological suffix; the actions associated with an ending will define that suffix. The vertical bar (|) at the start of a pattern string matches the start of a word.
- A single digit specifying a contextual condition for an ending to match: 0= always do no stemming for this match, 1= no conditions, 2= the ending must be preceded by a consonant, and 3= the ending must be preceded by a consonant or U.
- A number specifying how many of the characters of the matched characters to keep as a part of a word after removal of a morphological suffix. A starting vertical bar (|) in a listed ending will count as one character here.
- A string specifying what letters to add to a word after removal of a morphological suffix. An & in this string is conditional addition of e in English words, applying a method defined in English inflectional stemming.
- A period (.) indicates that no further morphological analysis be applied to the result of matching a suffix rule and carrying out the associated actions; a comma (,) here means to continue morphological analysis recursively.
- A number indicating how many of the starting characters of the unkept part of a matching ending to drop to get a morphological suffix to be reported in an analysis.
- A string specifying what letters to add to the front of the reduced unkept part of a matching ending in order to make a complete morphological suffix.

In applying such pattern rules to analyze a word, PyElly will always take the longest match. For example, if the end of a word matches the LINGER pattern above, then PyElly will ignore the shorter matches of a ENGER pattern or a GER pattern.

In the LINGER rule above, PyElly will accept a match at the end of word only if preceded by a consonant in the word. On a match, the rule specifies to keep 5 of the matched characters in the resulting root word. From the rest of a matched ending, PyElly will drop no characters, but add an E in front to get the actual suffix removed.

So the word CHALLENGER will be analyzed as follows according to the suffix patterns above:

<b>CHAL Lenger</b>	(split off matched ending and check preceding letter)
<b>CHALLENGE R</b>	(move five characters of matched ending to resulting word)
<b>CHALLENGE ER</b>	(add E to remaining matched ending to get actual suffix -ER)

The period (.) in the action for Lenger specifies no further morphological analysis. With a comma (,), PyElly would continue, possibly producing a sequence of different suffixes by reapplying its rules to the word resulting from preceding analyses. This can continue indefinitely, with the only restriction being that PyElly will stop trying to remove endings when a word is shorter than three letters.

To recognize the stripped off morphological suffixes in a grammar, you should define rules like

```
D:-ion <- SUFFIX[:NOUN]
```

—

and then add G: grammar rules for dealing with these syntactic types as in the case of inflections. For example,

```
G:NOUN->UNKN SUFFIX[:NOUN]
```

—

A full grammar would of course have to be ready to deal with many different morphological suffixes.

The PyElly file `default.stl.elly` is a comprehensive compilation of English word endings evolving over the past fifty years and covering most of the non-foreign irregular forms listed in WordNet exception files. If there is more than one possible analysis, PyElly can make no rule, so that RENT is not reduced to REND. If you actually want to force a decision here, then you must supply your own grammar rule to do it.

The `default.stl.elly` file also includes transformations of English irregular inflectional forms, which actually involve no suffix removal. For example, DUG becomes DIG -ED. This cannot be handled by PyElly inflectional stemming logic.

---

#### 9.2.2.2 Word Beginnings (A.pt1.elly)

For prefixes, PyElly works with patterns exactly as with suffixes, except that they are matched from the beginning of a word. For example

```
contra 1 0 .
hydro 1 0 .
non 2 0 .
noness 1 3 .
pseudo 1 0 .
quasi 1 0 .
```

```
retro 1 0 .
tele 1 0 .
trans 1 0 .
under 1 0 .
```

The format for patterns and actions here is the same as for word endings. As with endings, PyElly will take the action for the longest pattern matched at the beginning of a word being analyzed.

Prefixes will be matched after suffixes and inflections have been removed. Removing a prefix must leave at least three characters in the remaining word. Actions associated with the match of a prefix will typically be much simpler than those for suffixes, and rules for prefixes will tend to be as simple as those in the example above.

PyElly removal of prefixes will be slightly different from for suffixes. With suffixes, the word **STANDING** becomes analyzed as **STAND -ING**, but with the prefix rules above, **UNDERSTAND** would become **UNDER+ +STAND**. Note that a trailing + is used to mark a removed prefix instead of a leading – for suffixes.

In the overall scheme of PyElly processing of an unknown word, inflections are checked first, then suffixes, and finally prefixes. If there is any overlap between the suffixes and the prefixes here, then inflections and suffixes takes priority.

For example, **NONFUNCTIONING** becomes **NON+ +FUNCT -ION -ING** with the morphology rules above. A grammar would then have to stitch these parts back together in an analysis.

For prefixes here, you will need a dictionary rule like

```
D:non+ <- PREFIX[+NEG]
```

—

and you should by now know how to supply the required grammar rules yourself.

## 9.3 Entity Extraction

In computational linguistics, an entity is some phrase in text that stands for something specific that we can talk about. This is often a name like **George R. R. Martin** or **North Carolina** or a title like **POTUS** or **the Bambino**; but it also can be insubstantial like **Flight VX 84**, **888-CAR-TALK**, **2.718281828**, **NASDAQ APPL**, or **orotidine 5'-phosphate**.

The main problem with entities is that almost none of them will normally be found in a predefined vocabulary. People seem to handle them in stride while reading text, however, even when they are unsure what a given entity means exactly. This is in fact the purpose of much text that we read: to inform us about something we might be unfamiliar with. A fully competent natural language system must be able to function in this kind of situation.

At the beginning of the 21st Century, systems for automatic entity extraction from text were all the rage for a short while. Various commercial products with impressive capabilities came on the market, but unfortunately, just identifying entities is insufficient to build a compelling application, and so entity extraction systems mostly fell by the wayside in the commercial marketplace. In a tool like PyElly, however, some builtin entity extraction support can be quite valuable.

---

### 9.3.1 Numbers

PyElly no longer has a predefined `NUM` syntactic type. The PyElly predecessor written in C did have compiled code for number recognition, but this covered only a few possible formats and was dropped later in Jelly and PyElly for a more flexible solution. If you want PyElly to recognize literal numbers in text input, you must make use of special patterns in files `*.p.elly` as described in Section 4.2.

PyElly, however, also has gone further here. It also has some builtin capabilities for automatic normalizations of number references so that you need fewer patterns to recognize them. In particular,

- Automatic stripping out of commas in numbers as an alternative to doing this with special pattern matching:

1,000,000 ==> 1000000.

- Automatic mapping of spelled out numbers to a numerical form:

one hundred forty-third ==> 143rd

fifteen hundred and eight ==> 1508

Here you still need patterns to recognize the rewritten numbers so that PyElly can process them. You can disable all such number rewriting by setting the variable `ellyConfiguration.rewriteNumbers` to `False`.

---

### 9.3.2 Dates and Times

Dates and Times could be handled as PyElly patterns, but their forms can vary so much that this would take an extremely complicated finite-state automaton. For example, here are just two of many possible kinds of dates:

the Fourth of July, 1776  
2001/9/11

To recognize such entities, the PyElly module `extractionProcedure.py` defines some date and time extraction methods written in Python that can be called automatically when processing input text.



To make such methods available to PyElly, they just have to be listed in the `ellyConfiguration.py` module. Here is some actual Python code to do so:

```
import extractionProcedure

extractors = [ # list out extraction procedures
    [ extractionProcedure.date , 'date' ] ,
    [ extractionProcedure.time , 'time' ]
]
```

You can disable date or time extraction by just removing its method name from the extractors list. The second element in each listed entry is a syntax specification string, generally indicating a syntactic category plus syntactic features to be given to a successfully extracted entity; these should be coordinated with other PyElly grammar rules. An optional third element is a semantic feature specification string, which can be '-' for no features. An optional fourth element is an integer plausibility scoring.

The date and time methods above are part of the standard PyElly distribution. These will do some normalization of text before trying to recognize dates and times. Dates will be rewritten in the form

`mm/dd/yyyyXX`

For example, 09/11/2001ad. Times will be converted to a 24-hour notation

`hh:mm:ssZZZ`

For example, 15:22:17est. If date or time extraction is turned on, then your grammar rules should expect to expect to see these forms when a generative semantic procedure executes an `OBTAIN` command. The `XX` epoch indicator in a date and the `ZZZ` zone indicator in a time may be omitted in PyElly input.

---

### 9.3.3 Names of Persons (`A.n.elly`)

Natural language text often contains the names of persons and of things. These can be handled in various ways within PyElly, but names generally will present unique problems for both syntactic and semantic analysis. For example, entirely new names or old names with unusual spellings often show up in text, but it is hard to anticipate them in a vocabulary table or even a pattern table. Also, a name can appear in multiple forms in the same text: Joanne Rowling, J.K. Rowling, Rowling, Ms. Rowling.

To help out here, PyElly incorporates a capability for heuristically recognizing personal names and their variations in natural language text. This will automatically be configured into PyElly whenever a user runs an application `A` that includes a `A.n.elly` rule file. Name recognition will run independently of other PyElly language analysis, but will create parse tree leaf nodes with the syntactic type `NAME` for any names that it is able to identify.

Each rule in an `A.n.elly` file will be in one of two forms:

`X : T`

`=PPPP`

The first form associates a type with a specified name component; it consists of a pattern `X` for a component followed by a colon (`:`) with optional spaces around it and followed by a name component type `T`. The second form lists a phonetic pattern `PPPP` (see below) that will be used to validate inferred component types that are otherwise unknown; the pattern must be preceded by an equal sign (`=`) with no space after it.

---

### 9.3.3.1 Explicit Name Component Patterns and Types

PyElly predefines 12 component types for name recognition; these are not syntactic categories. Currently these are indicated by three-letter identifiers as follows:

REJ	reject name with this component
STP	stop any scan for a name
TTL	a title like “Captain”
HON	an honorific like “The Honorable”
PNM	a personal name
SNM	a surname
XNM	a personal name or surname
SNG	possible single name
INI	an initial like “C.”
REL	a relation like “von”
CNJ	a conjunction like ‘y’
GEN	a generation tag like ‘Jr.’

One of these types must be the `T` part of a `X : T` rule in an `A.n.elly`. Anything else will cause an error exception during table generation. The case of an identifier here will be unimportant.

The `X` pattern part of a `X : T` rule must be a string of ASCII letters possibly including spaces; a string without spaces can also optionally start or end with a `+` or `-`. The possibilities here are

## PyElly User's Manual

abc de	matches the exact string "abc de"
abc-	matches a string of letters starting with "abc"
abc+	matches a string of letters starting with "abc", but the rest of the string must also match another table entry
-abc	matches a string of letters ending with "abc"
+abc	matches a string of letters ending with "abc", but the rest of the string must also match another table entry

The `x` part of a type rule will always delineate a single name component, although this might have multiple parts like `de la.as` in `George de la Tour`. The basic idea here is to provide the various possible parts of a name, which will then be combined by hard-coded PyElly logic to report actual names and name fragments in input text within the existing framework of PyElly entity extraction.

Here some name rules in a PyElly `*.n.elly` file:

```
# simple name table definition
# example.n.elly

John   : PNM
Smith  : SNM
Kelly  : XNM
Mr.    : TTL
Sir    : TTL
III    : GEN
de la  : REL
Fitz-  : SNM
+son   : SNM
-aux   : SNM
y      : CNJ
prince: SNG
university : REJ
```

With these rules, “Fitzgerald” and “FitzABBA” will be recognized as surname components, while “Peterson” will be recognized as a surname only if “Peter” is also recognized as a name component. Upper and lower case will not matter in the rules here, nor will the ordering of the rules. Comments for documentation take the same form as in other PyElly rule files, a line starting with ‘#’ or the rest of a line after ‘#’.

---

### 9.3.3.2 Implicit Name Components

In any PyElly application that has to recognize personal names, the most reliable approach is to maintain lists of the most commonly expected name components. These are fairly easy to compile with the resources available on the Worldwide Web, but no listing here will ever be complete. Various rules of thumb can help us to find unknown names, but this is guessing, and we really have to make only a few mistakes here.

For example, if every capitalized word is a possible name component, then we can get text items like ABC, The, University, Gminor. and Ltd. Such results will diminish the value of the true names that we do find. So, we have to be quite strict about the criteria for judging a string to be a possible name component:

1. The string is alphabetic, with at least four letters. Anything shorter can be listed explicitly in a name table to eliminate guessing.
2. Its first letter is capitalized. An explicitly known name component can leave off capitals, but any inference of a name must have as much support as possible.
3. Its adjacent digraphs (e.g. `ab`, `bc`, and `cd` in the string `abcd`) are all in common digraphs for first names in the 2010 U.S. census when a candidate string has six or fewer characters. It may have all but one of its digraphs be common when a string has seven or more characters.
4. It occurs along with at least one explicitly known name component. That is, a name cannot consist completely of inferred name components.
5. If the first three conditions above are met, and its phonetic signature matches the signature for common name components explicitly known, then an inferred name component can also be used to corroborate another inferred component with respect to condition 4.

A PyElly phonetic signature is based on a kind of Soundex encoding of a name component. This is a method of approximating the pronunciation of names in English by mapping its consonants to phonological equivalence classes. That is a big mouthful, but in classical Soundex, its six equivalence classes are actually understandable:

- { **B**, **F**, **P**, **V** }
- { **C**, **G**, **J**, **K**, **Q**, **S**, **X**, **Z** }
- { **D**, **T** }
- { **L** }
- { **M**, **N** }
- { **R** }

In Soundex, all consonants of an equivalence class map into its representative letter, shown **boldface** above. All other letters are ignored, and two consecutive letters going to the same class will have only a single representative: “Brandt” becomes **BRNT**.

Soundex also prepends the first letter of a name to get the complete code **bBRNT**, but PyElly simplifies that scheme by prepending an ‘a’ only if the first letter is a true vowel. Otherwise, no extra letter is added.

To be more phonetic, PyElly will split the biggest Soundex equivalence class so that hard-C and hard-G are together with in a new class with representative **K** and soft-C and soft-G are together in another new class with representative **S**. This complicates the mapping of consonants to equivalence classes, but is still fairly easy to implement. So “Eugene” becomes **aSN** and Garibaldi becomes **KRPLT**.

PyElly will also encode the letters ‘h’, ‘w’, or ‘y’ when used as semi-consonants as **H**, **W**, or **Y**. So both “Rowen” and “Rowan” become **RWM**, while “Foyer” becomes **FYR**, and “Ayer” becomes **aYR**. The three extra semi-consonant equivalence classes here allow for finer phonetic distinctions than with plain Soundex.

Finally, PyElly will transform certain letter combinations the spelling of names in English to get phonetic signatures better representing their pronunciation. For example, “Alex” becomes **aLKS**, “Eustacia” becomes **YSTS**, and “Wright” becomes **RT**.

The necessary phonetic signatures for supporting inferred name component will have to be listed in the definition file for a PyElly name table. They should appear one per line starting with an equal sign (=) to distinguish them from the listing of explicit name components and their types. For example,

```
=aSN
=KRPLT
```

After getting known or inferred name components, PyElly will string together as many as possible to make a complete name. This will be done under the following constraints:

- a. Any particular name component type may occur only once, unless they are consecutive.
- b. A **TTL** name component will always start the accumulation of the next name; a **GEN** will always end any name being accumulated.
- c. A **CNJ** or **REL** cannot be at the end of a name.
- d. There must be at least one instance of **PNM**, **SNM**, **XNM**, or **SNG**, or a **TTL** and an **INI**.
- e. A name with a single component must be a **SNG** or locally known (see below).

When any complete name is accepted, all of its individual components will be remembered in a non-persistent local PyElly table. This will be kept only until the end of the current PyElly session.

Name recognition is implemented as part of PyElly entity extraction. When the `ellyBase` module sees a `*.n.elly` definition file to load, it will automatically put the `nameRecognition.scan` on its list of extractors with the `NAME` syntactic type. Any recognized name will then enter PyElly sentence analysis just like any other kind of entity. In particular, any longer text element found at the sentence position for a recognized name will supersede the name.

---

### 9.3.4 Defining Your Own Entity Extractors

You can write your own entity extraction methods in Python and add them to the extractors list for PyElly in `ellyConfiguration.py`. This should be done as follows:

1. The name of a method can be anything legal in Python for such names.
2. The method should be defined at the level of a module, outside of any Python class. This should be in a separate Python source file, which can then be imported into `ellyConfiguration.py`.
3. The method takes a single argument, a list of individual Unicode characters taken from the current text being analyzed. PyElly will prepare that list. The method may alter the list as a side effect, but you will have to be careful in how you do this if you want the changes to persist after returning from the method. That is because Python always passes arguments to a method by value.
4. The method returns the count of characters found for an entity or 0 if nothing is found. The count will always be from the start of an input list after any rewriting. If no entity is at the current position input text, return 0.
5. If a non-zero character count is returned, these characters are used to generate a parse tree leaf node of a syntactic type specified in the `ellyConfiguration.py` extractors list.
6. PyElly will always apply entity extraction methods in the order that they appear in the extractors list. Note that any rewriting of input by a method will affect what a subsequent method will see. All extractor methods will be tried.
7. An extraction method will usually do additional checks beyond simple pattern matching. Otherwise, you may as well just use PyElly finite-state automata described in Section 4.2.
8. Install a new method by editing the extractors list in the PyElly module `ellyConfiguration.py` to append a method and a syntax specification to the list. You will have to import the actual module containing your method. For example, to add a method called `yourModule.yourExtractor` to the default list of PyElly entity extractors

```
import yourModule

extractors = [ # entity extraction procedures to use
    [ extractionProcedure.date , 'date' ] ,
    [ extractionProcedure.time , 'time' ] ,
    [ yourModule.yourExtractor , 'type' ]
]
```

The module `extractionProcedure.py` defines the method `stateZIP`, which looks for a U.S. state name followed by a five- or nine-digit postal ZipCode. This will give you a model for writing your own extraction methods; it is currently not installed.

## 9.4 PyElly Vocabulary Tables (**A.v.elly**)

PyElly can maintain large vocabulary tables in external files created and managed with the SQLite package, a standard part of Python libraries. PyElly formerly used Berkeley Database here, but changes in its open-source licensing made it awkward for unencumbered educational use. For more details here, please refer to Appendix C.

You can run PyElly without vocabulary tables, but these can make life easier for you even when working with only a few hundred different terms. They provide the most convenient way to handle multi-word terms and terms including punctuation. They also can be more easily reused with different grammar tables and generally will be more compact and easier to set up than D: rules of a grammar. Without them, PyElly will be limited to fairly simple applications.

PyElly vocabulary table entries will be in a `*.v.elly` definition file where each entry can be only a single text line and can have only extremely limited semantics. This is mainly so that one may generate large numbers of entries automatically through scripts. For example, the PyElly distribution file `default.v.elly` has 155,229 entries generated with bash shell scripts from WordNet 3.0 data files.

Each vocabulary entry in a PyElly `*.v.elly` definition file should be a single text line that usually takes one of the following formats:

```
TERM : SYNTAX
```

```
TERM : SYNTAX =TRANSLATION
```

```
TERM : SYNTAX x=Tx, y=Ty, z=Tz
```

```
TERM : SYNTAX (procedure)
```

Other forms will be described later in Subsection 10.3.2.

The `TERM : SYNTAX` part is mandatory for vocabulary entry. A `TERM` can be

Lady Gaga

Lili St. Cyr

Larry O'Doule

“The Robe”

ribulose biphosphate carboxylase oxygenase

The ‘`:`’ is required to let PyElly know when a term ends; no wildcards are allowed in a `TERM`, and it must start with a letter, digit, or the character ‘`.`’ (period) or ‘`“`’ (left double quote) or ‘`,`’ (comma). Upper and lower case here will not matter, since PyElly will ignore this when matching up entries with input text. Warning: rules for vocabulary table entries differ from those for internal dictionaries and other ways to look up terms.

`SYNTAX` is just the usual PyElly specification of syntactic type plus optional syntactic features; for example, `VERB [ ^PTCL ]`.

The final translation part of a vocabulary entry is optional and can take one of the forms shown above. If the translation is omitted, then the generative semantic procedure for the entry will be just the operation `OBTAIN`. This is equivalent to no translation at all.

An explicit `TRANSLATION` is a literal string to be used in rewriting a vocabulary entry; the ‘`=`’ is mandatory here. The `x=Tx`, `y=Ty`, `z=Tz` alternate form is a generalization of the simpler `TRANSLATION`; it maps to the generative semantic operation

```
PICK lang (x=Tx#y=Ty#z=Tz#)
```

It is possible here that one of the `x` or `y` or `z` in the translation options of a vocabulary entry can be the null string. In this case, the `PICK` operation will treat the corresponding translation as the default to be taken when the value of the `lang` PyElly local variable matches none of the other specified options.

A (procedure) in parentheses is a call to a generative semantic subprocedure defined elsewhere in a `*.g.elly` grammar rule file.

Here are some full examples of possible vocabulary table entries in a `*.v.elly` file:

```
Lady Gaga : noun =Stefani Joanne Angelina Germanotta
Lili St. Cyr : noun[:name] 0
horse : noun FR=cheval, ES=caballo, CN=馬, RU=лошадь
twerk : verb[|intrans]
```

All references to syntactic types, syntactic and semantic features, and procedures will be stored in a vocabulary table as an encoded numerical form according to a symbol table associated with a PyElly grammar.



Syntactic features must be immediately after a syntactic category name with no space in between. Otherwise, PyElly will be unable to differentiate between syntactic and semantic features in a `*.v.elly` file. Individual syntactic or semantic features inside of brackets may be preceded by a space, however.

Unlike the dictionary definitions of words in a grammar, there are no permanent rules associated with the terms in a vocabulary table. When a term is found in a vocabulary table, PyElly automatically generates a temporary internal dictionary rule to define that term. This rule will persist only for the duration of the current sentence analysis.

Often, a vocabulary table may have overlapping entries like

```
manchester : noun [^city]
manchester united : noun [^pro,soccer,team]
```

PyElly will always take the longest matching entry consistent with the analysis of an input sentence and ignore any shorter matches.

When comparing a vocabulary entry with text, the entry must match in the text up to a nonalphanumeric delimiter. That is, the entry “jigsaw puzzle” will match the text “jigsaw puzzle?”, but not “jigsaw puzzlement”. A exception is made here, though, for inflectional endings and the English possessive endings -’S and -S’. This will let “jigsaw puzzle” match “jigsaw puzzles”, “jigsaw puzzled”, “jigsaw puzzling”, “jigsaw puzzle’s”, and “jigsaw puzzles”. PyElly will take these endings into account automatically.

An external vocabulary entry can contain arbitrary characters that PyElly normally would take to mean that a word or other token has ended and that another has started. For example, the vocabulary entry “R&B” can be matched as a whole in text even though PyElly would analyze “R&B” in text as separate “R”, “&”, and “B” without the vocabulary entry. A vocabulary entry may not match across sentences, however.

For a given application A, PyElly first will look for vocabulary definitions in `A.v.elly`. If this is missing, `default.v.elly` is taken, which includes most of the nouns, verbs, adjectives, and adverbs in WordNet 3.0. Always define `A.v.elly` if you do not want such a huge vocabulary, which can take a long time to load. PyElly will save a compiled vocabulary data base for an application A in the file `A.vocabulary.elly.bin`. If you change `A.v.elly`, PyElly will automatically recompile any `A.vocabulary.elly.bin` at startup. Recompile will also happen if `A.g.elly` has changed. Otherwise, PyElly will just read in the last saved `A.vocabulary.elly.bin`.

Note that the `A.vocabulary.elly.bin` file created by PyElly must always be paired only with the `A.rules.elly.bin` file it was created with. This is because syntactic types and features are encoded as numbers in `*.elly.bin` files, which may be inconsistent when they are created at different times. If you want to reuse language rules, always start from the `*.*.elly` files. If PyElly has to recompile `A.rules.elly.bin` at startup, then it will also automatically recompile `A.vocabulary.elly.bin`.

## 10. Logic for PyElly Cognitive Semantics

The generative semantic part of a grammar rule tells PyElly how to translate its input into output, while the cognitive semantic part evaluates the plausibility for any particular translation. Generative semantics is always the final step in PyElly processing; cognitive semantics will run each time a new phrase node is created in PyElly sentence analysis. This gets ready to resolve any subsequent ambiguities.

With a large grammar, we cannot expect every input sentence to break down in only one way into constituents according to the rules of that grammar. In most languages, for example, a particular word might be assigned multiple parts of speech, and each possibility here can result in a different syntactic analysis for an input sentence. All such alternate analyses must be evaluated to find the best interpretation of a sentence.

PyElly takes a wait-and-see approach in ambiguous situations. Multiple interpretations might exist at lower levels of a parse tree, but some could end up not fitting into any final analysis for an entire sentence. In this case, an ambiguity will resolve itself within a bigger context, and so we really want to hold off any decision on interpretation until as late as possible.

PyElly looks at differing interpretations only when it comes across two or more phrase nodes of the same syntactic type with the same syntactic features over the same tokens of an input sentence, and without the `*unique` feature set. At that point, PyElly will compare the cognitive semantic plausibility scores already computed for each alternate phrase node and then go forward only with the highest ranking of them to reach a full sentence analysis.

There is only one exception to this requirement for exact matching of syntactic category and features. At the end of processing a sentence, PyElly may have two or more phrase nodes of type `SENT` over the entire sentence, but with different syntactic features. PyElly will then ignore any differing features here and just choose one interpretation according to its semantic plausibility.

PyElly will see ambiguity only when its language rules actually allow for it. For instance, “I love rock” could be about music or landscaping in normal human understanding, but if the grammar rules of a language definition fail to produce two different syntactic analyses with co-extensive phrase nodes of the same type and same features, PyElly will see only a single interpretation. If you want to find ambiguity here, you must provide PyElly with two separate vocabulary entries for “rock.”

Let us consider the following simple set of grammar rules:

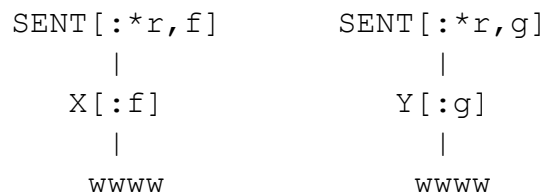
```

g:sent[:*r]->x
—
g:sent[:*r]->y
—
d:www<-x[:f]
—
(xgen)
—
d:www<-y[:g]
—
(ygen)
—

```

where `www` is an internal dictionary word associated with two different syntactic types `x` and `y`. A sentence consisting only of the word `www` will therefore be ambiguous at the lowest level of analysis because the generative semantics for the overall sentence must call either `(xgen)` or `(ygen)` as a subprocedure, but not both.

Two PyElly sentence analyses are possible here, given the rules for inheriting syntactic features through the predefined `*R` syntactic feature described in Subsection 8.1:



There are no PyElly ambiguities here, however, because none of the constituents in the two alternate analyses of the sentence “`www`” have the same syntactic type and the same syntactic features. We do, however, end up with two possible parse trees for the type `SENT` at the end of processing and will then choose one of them from which to produce a translation here regardless of syntactic features.

The choice between alternate sentence analyses or between different interpretations of individual phrases will be through a numerical plausibility score assigned to each phrase node in a parse tree. A score of 0 here will be neutral, increasingly positive will be more plausible, and increasingly negative will be more implausible. Various characteristics of a phrase node can be examined to decide whether to increase or decrease its plausibility. The highest plausibility score wins.

This section of the PyElly User's Manual tells how to assign a score to each possible subtree in a PyElly sentence analysis from the rules from a PyElly language definition used to generate an analysis. You can choose to write language descriptions without such scoring, but plausibility is built into the PyElly parsing algorithm and provides another way to control how PyElly runs.

The PyElly plausibility score for an analyzed constituent of a sentence will always be an integer value. The score for a particular phrase node generally will add up the scores of

its immediate phrase subconstituents plus an adjustment from the cognitive semantics of the grammatical rule combining those subconstituents into one resulting phrase.

For example, suppose that we have a constituent described by a grammar rule  $A \rightarrow X \ Y$ . We will expect that plausibility scores were computed already for subconstituent  $X$  and for subconstituent  $Y$  in earlier PyElly parsing. So we can then run the cognitive semantic logic for the grammar rule  $A \rightarrow X \ Y$ , producing an adjustment to the summed plausibility scores for  $X$  and  $Y$  to get an overall plausibility score for our phrase of type  $A$ .

With competing analyses, if only one phrase has the top score, PyElly chooses it and is done. If more than one phrase has the highest score, then PyElly will arbitrarily pick one of them. When there are multiple choices and they differ by at most one between the highest score and the next highest, PyElly will also tag the rule for the phrase that was picked. This will then favor a different choice the next time a similar ambiguity arises.

## 10.1 The Form of Cognitive Semantic Clauses

PyElly allows us to define special logic for determining the contribution of a grammar rule to an overall plausibility score for a phrase that it describes. The logic consists of a series of clauses; each one specifying the conditions under which the clause will apply. PyElly cognitive semantics will check the clauses in sequence, the first to have all its conditions satisfied will be applied. All other clauses will be disregarded.

In a `*.g.elly` file providing grammar rules for a PyElly language description, the clauses in the cognitive semantic logic for a rule will come just after the `G:` or a `D:` line introducing the rule and will end at the first `_` or `__` line (see Section 4). Each clause will be a single line separated into two parts by the character sequence `'>>'`, with its left part containing zero or more conditions for applicability and its right part specifying an action to take and an adjustment to apply. For example, here is a rule with three cognitive semantic clauses, but no explicit generative semantics:

```
G:NP->ADJ NOUN
  L[^EXTENS] R[^ABSTRACT]>>*R-
                    R[^GENERIC] >>*L+
                    >>*R
_
```

The `'>>'` is mandatory in any clause even if there are no conditions. If one or more conditions are specified, they must all be satisfied for the clause to take effect. Spaces between the conditions of the left part of a clause are optional.

The conditions here can be of three types: (1) testing the semantic features associated with the constituents to be combined by a grammar rule into a single phrase; (2) checking the starting position of the constituents, the number of characters in resulting phrase, and the total number of tokens covered by the constituents combined; and (3) measuring the semantic distance between the concepts associated with each constituent in the case of a 2-branch rule.

Having nothing on the left side of a clause is an always-satisfied condition, and this will always make any following ones irrelevant. It is possible also that no listed clauses for a rule apply. In that case, a zero plausibility adjustment is assumed for a phrase. That is, the sum of subconstituent plausibility scores will be reported for the phrase.

Each of the three types of conditions for a clause will have a distinct form (see below). You may freely mix all the different types in the left side of a single clause. The conditions will be independent and may appear in any order. Be careful, however, to avoid contradictory conditions, which can never be satisfied.

A special cognitive semantic clause allows for tracing the execution of logic in which it appears. It will have the fixed form

`?>>?`

The `?` condition on the left side is always False, so that right side of the clause will never be run. This clause will have the side-effect, however, of getting PyElly to identify the phrase and generating rule being processed. Here is a sample trace message:

```
tracing phrase 0 : rule= 29 with current bias=0
cog sem at clause 4 of 4
l: phrase 1 @0: type=0 syn[00 00] sem[00 00] : bia=0 use=0
r: phrase 2 @1: type=0 syn[00 00] sem[00 00] : bia=0 use=0
raw plausibility= 0
adjustment= 1 sem[00 00]
3 token(s) spanned @0
```

This specifies the phrase node where the cognitive semantic logic is being executed, the grammar rule generating the node, and the subconstituents involved. If an action is then taken subsequently for the  $k$ th clause out of  $n$  in the logic being traced, then this will be reported as in the second line. In general, this will be as follows:

```
cog sem at clause  $k$  of  $n$ 
```

Whether or not the action for any clause is taken, tracing output will then show the total computed plausibility increment plus the resulting semantic features (see Subsection 10.2.3 below) for a phrase.

```
incremental scoring= -2 sem[24 00]
```

Cognitive semantic tracing will show how a PyElly parse tree is being assembled node by node. Generative semantic tracing will show the order of execution for individual semantic procedures and subprocedures after a parse tree is completely built.

A grammar rule may have cognitive semantic clauses even if it has no explicit generative semantic procedure. In this case, the listing of clauses will be terminated by a double underscore (`__`) line without a preceding single underscore (`_`).

## 10.2 Kinds of Cognitive Semantic Conditions

PyElly cognitive semantics mainly shows up in the grammar rule logic for evaluating the plausibility of phrase nodes in an analysis, but can appear elsewhere in PyElly as well. Four different kinds of conditions are currently supported: semantic features, starting token position and token count, and semantic concepts. Cognitive semantic logic can also have no conditions, which allows a grammar rule to have fixed scoring.

---

### 10.2.1 Fixed Scoring

The simplest and most common cognitive semantic clause will have no condition. This is always satisfied and will specify fixed positive or negative adjustment for a grammar rule when computing plausibility scores in a phrase analysis. Such clauses may take one of the following forms:

```
>>-
>>+
>>+++
>>-----
>>+5
>>-20
```

The initial + or - signs are mandatory in the scoring. A string of n +'s or -'s is equivalent to +n or -n. Here is an example of use in a grammar rule:

```
G:NP->ADJ UNKNOWN
>>--      # cognitive semantics disfavoring this rule by -2

- RIGHT   # generative semantics
LEFT      #

—
```

If no cognitive semantic clauses are specified for a grammar rule, this is equivalent to

```
>>+0
```

a special case of fixed scoring. Note that the “+” is necessary here if you actually want to be explicit here about a zero score. This can be expressed more simply, however, by specifying no scoring increment or decrement, which defaults to zero.

---

### 10.2.2 Starting Position, Token Count, and Character Count

Each PyElly phrase node in a parse tree records its starting token position and the number of input tokens and the number of text characters that it encompasses. A cognitive semantic scoring for a phrase can be conditional on whether its token position

`p` or comparing its token count `n` or its character count `c` to some reference value. This will happen on the left side of the `>>` in a clause. For example,

```
p<1 >> -1
n>1>>+1
n<8>>+1
c>4>>-1
n>1n<8 >> +2
n>2 n<7 >> +1
```

A test of starting token position is with `p<` or `p>`, of token count with `n<` or `n>`, and character count with `c<` or `c>`. In the last clause above, a phrase will be scored as +1 only if its token count is `7 > n > 2`. You may insert spaces in any clause for readability, but no spaces are allowed before or after a `<` or a `>` in any comparison.

Normally a leaf node in a parse tree will have a token count of 1, but a leaf node with the . . . syntactic type may have a count of 0. Note also that a multiword vocabulary table entry like `flash flood` is always counted as a single token. Character count will include embedded spaces in multiword vocabulary, but will count no text spaces between separate tokens.

---

### 10.2.3 Semantic Features

Semantic features are similar to syntactic features as defined above in Section 8, but play no role in distinguishing between different grammar rules. They are assigned to particular phase nodes and are specified in the same bracketed notation as syntactic features; for example:

```
[ &ANIMATE, ARMORED ]
```

The `&` is the feature set identifier, and `ANIMATE` and `ARMORED` are two specific features. Semantic features will have completely separate lookup tables from syntactic features. In particular, a syntactic feature set and a semantic feature set can have the same set identifier without any conflict, but always make the identifiers different just for clarity.

As with syntactic features, you may have up to 16 semantic feature names, with the rules for legal names being the same. Semantic feature names must include only ASCII letters or digits, with the case of letters not mattering, just as with syntactic feature names.

Unlike syntactic features, however, they will have only one predefined feature name: `*CAPITAL` or equivalently `*C`, which indicates that a phrase is capitalized and so is probably a name or a proper noun. This name is reserved in every semantic feature set.

### 10.2.3.1 Semantic Features in Cognitive Semantic Clauses

A cognitive semantic clause for a 2-branch splitting  $G$ : grammar rule will have the following general form when semantic features appear:

$$L[oLF_1, \dots, LFn] \ R[oRF_1, \dots, RFn] >> x[oF_1, \dots, Fn] \#$$

Semantic features can appear on both the left and the right side of a clause. The symbol “o” is a feature set identifier; “x” may be either  $*L$  or  $*R$ , and the “#” is a fixed scoring action as in Subsection 10.1 above; for example,  $+++$  or  $-3$ .

Here is an example:

$$l[!coord]r[\$*c] >> *r-2$$

This tells PyElly that a phrase with a left part marked as `coord` and a capitalized right part inherit the semantic features from the right part and will lose two points from its semantic plausibility score.

As with syntactic features, a semantic feature  $F$  can be preceded by a ‘-’ in a clause. On the left side, this means that feature  $F$  must not be associated with a matching phrase structure. On the right side, this means that any inherited  $F$  must be turned off in the new phrase being created for a grammar rule.

The prefixes  $L$  and  $R$  on the left side of a clause specify the constituent substructures to be tested, respectively left and right descendant. You may test none, one, or both descendants in a 2-branch rule; this is the most common kind of condition when you want something different from fixed scoring.

The “x” prefix on the right is optional for specifying inheritance of features. An  $*L$  means to copy the semantic features of the left subconstituent into the current phrase;  $*R$  means to copy the right. You cannot have both; a missing “x” means no inheritance at all. Any explicit semantic feature appearing in the right part of the clause will indicate any additional features to turn on or off for a phrase node.

A full cognitive semantic clause for a 1-branch extending  $G$ : grammar rule will have the following general form in its semantic features:

$$L[oLF_1, \dots, LFn] >> x[oF_1, \dots, Fn] \#$$

Here is an example:

$$L[^{animate}] >> *L[^{actor}] + 1$$



A  $\mathcal{D}$ : grammar rule defines a phrase without any constituent substructures. The semantic features in a clause must take the form

```
>>[oF1, ..., Fn] #
```

That is, you can set semantic features for a  $\mathcal{D}$ : rule, but may not test or inherit any. Here is an example

```
>>[^animate]+1
```

For both splitting and extending grammar rules, any of the left side of a cognitive semantic clause can be omitted. If all are omitted here, then a clause always applies. It becomes a case of fixed scoring.

---

### 10.2.3.2 Semantic Features in Generative Semantics

PyElly also allows a generative semantic procedure to look at the semantic features for the phrase node to which it is attached. This is done in a special form of the IF command where the testing of a local variable is replaced by the checking of semantic features as done in cognitive semantics. For example,

```
IF  [&F1, -F2, F4]  
    (do-SOMETHING)  
END
```

The testing here is like that on syntactic features to determine the applicability of a 1- or 2-branch grammar rule in PyElly parsing. The IF here cannot be negated with  $\sim$ . If you want negation, you have to specify it for the individual features.

---

### 10.2.4 Semantic Concepts

PyElly is currently being used experimentally in applying conceptual information from WordNet to infer the intended sense of ambiguous words in English text. This now an option for cognitive semantics in PyElly and may appear on both the left and right sides of a clause attached to a grammar rule and in other places.

PyElly allows you to establish a set of concepts each identified by a unique alphanumeric string and related to one other by a conceptual hierarchy defined in the language description for an application. This can be done any way that you want, but WordNet provides a good starting point here since it contains over two hundred thousand different related synonym sets (or synsets) as potential concepts to work with.

(WordNet is produced manually by professional lexicographers affiliated with the Cognitive Science Laboratory at Princeton University and is an evolving linguistic resource now at version 3.1. [George A. Miller (1995). WordNet: A Lexical Database for

English. Communications of the ACM Vol. 38, No. 11: 39-41.] This notice is required by the WordNet license.)

In WordNet, each possible dictionary sense of a term will be represented as a set of synonyms (synset) in a given language. This can be uniquely identifiable as an offset into one of four WordNet data files associated with the main parts of speech—`data.noun`, `data.verb`, `data.adj`, and `data.adv`.

For disambiguation experiments in PyElly, trying to work with all the synsets of WordNet is too cumbersome. So we instead focused on concepts from a small subset of WordNet synsets related to interesting kinds of ambiguity in English. We can identify each such concept as an 8-digit decimal string combining the unique WordNet offset for its corresponding synset plus a single appended letter to indicate its part of speech. For example,

```
13903468n : (=STAR) a plane figure with 5 or more points; often used as an emblem  
01218092a : (=LOW) used of sounds and voices; low in pitch or frequency
```

The standard WordNet letter abbreviation for a part of speech is `n` = noun, `v` = verb, `a` = adjective, `r` = adverb.

For any set of such concepts, we can then map selected semantic relations for them from WordNet into a simple PyElly conceptual hierarchy structure, which will be laid out in a PyElly language definition file `A.h.elly`. The current `disambig` example application in the PyElly package has a hierarchy with over 800 such related concepts, all taken from WordNet 3.1.

You can of course also define your own hierarchy of concepts with their special hierarchy of semantic relations. The only restriction here is that each concept name must be an alphanumeric string like `aAA0123bcdefoo`. Upper and lower case will be ignored in letters. Such semantic concepts can be explicitly employed by cognitive semantic clauses on their left side and can be explicitly employed in one way and implicitly employed in two ways on the right side.

---

#### 10.2.4.1 Concepts in Cognitive Semantic Logic

Semantic concepts serve to provide another aspect to consider when computing plausibility scores to choose between alternate interpretations in case of ambiguity. This will happen in the cognitive semantic logic associated with each syntax rule, and the concepts will have different roles when they are on the left and on the right sides of a cognitive semantic clause.

---

#### 10.2.4.1.1 Concepts on the Left Side of Cognitive Semantic Clauses

The left half of a clause is for testing its applicability to a particular phrase, and PyElly allows the semantic concepts associated with its subconstituents to be checked out. The syntax here is similar to how you test semantic features of subconstituents, except you will use parentheses ( ) to enclose a concept name instead of the [ ] around semantic features. Here is an example of a concept check:

```
L(01218092a) R(13903468n) >>+
```

This checks whether the left subconstituent of a phrase has a concept on a path down from concept 01218092a in a conceptual hierarchy and whether the right subconstituent has a concept on a path down from concept 13903468n. The ordering of testing here does not matter, and you may omit either the L or the R test or both.

You can mix concept testing with semantic feature testing in the conditional part of a cognitive semantic clause. For example,

```
L(01218092a) L[^PERSON] >>++
```

You may also specify more than one concept per test. For example,

```
L(00033319n,08586507n) >>+
```

Here, PyElly will check for either L(00033319n) or L(08586507n).

You of course can define more self-descriptive concept names for your own application. You are not limited to WordNet 3.1 synset ID's.

---

#### 10.2.4.1.2 Concepts on the Right Side of Cognitive Semantic Clauses

A single concept can be explicitly appended on the right side of a clause with a separating space. For example,

```
>>+ CONCEPT
```

This must always come after a plausibility scoring expression. If you want a neutral scoring here, you must specify it explicitly here as

```
>>+0 CONCEPT
```

Normally, this kind of concept reference will be useful only for the cognitive semantics of D: dictionary rules of a grammar, but nothing keeps you from trying it out in G: rules as well.

Concepts can also be referenced implicitly of the right side of a clause. When a subconstituent of a phrase has an associated concept, the `*L` or `*R` inheritance actions specified by a clause will apply to concepts as well. So, a clause like

```
>> *L++
```

will cause not only the inheritance of semantic features from a left subconstituent, but also the inheritance of any semantic concept from that left subconstituent. That is also true for `*R` with a right subconstituent.

To use semantic concepts on the right side of a clause, you generally must use the `*L` or `*R` mechanism even if you have no semantic features defined. This must be done to pass concepts in a parse tree for later checking. Note that you cannot have both `*L` and `*R` in a cognitive semantic clause.

Semantic concepts also implicitly come into play in two ways when PyElly is computing a plausibility score for a phrase:

1. When a subconstituent has a semantic concept specified, PyElly will check whether it is on a downward path from a concept previously seen in the current or an earlier sentence. PyElly will maintain a record of such previous concepts to check against. If such a path is found, the plausibility score of a phrase will be incremented by one. If a phrase has one subconstituent, the total increment possible here is 0 or 1; if the phrase has two, the total increment could be 0, 1, or 2.
2. If a phrase has two subconstituents with semantic concepts, PyElly will compute a semantic distance between their two concepts in our inverted tree by following the upward paths for each concept until they intersect. The distance here will be the number of levels from the top of the tree to the point of intersection. If the intersection is at the very top, then the distance will be zero. The lower the intersection in the tree, the higher the semantic relatedness. This distance will be added to the plausibility score of a phrase containing the two subconstituents.

If no semantic concepts are specified in the subconstituents of a phrase, then a semantic plausibility score will be computed exactly as before.

---

#### 10.2.4.2 Language Definition Files for Semantic Concepts

To use semantic concepts, you must define them in a PyElly language definition. For an application `A`, this must happen in the files `A.h.elly`, `A.g.elly`, or `A.v.elly`. They can be omitted entirely if you have no interest in them.

---

##### 10.2.4.2.1 Conceptual Hierarchy Definition (`A.h.elly`)

This specifies all the concepts in a language definition and their semantic relationships. You can define everything arbitrarily, but to ensure consistency, start from some

existing language database like WordNet. Here are some entries from `disambig.h.elly`, a conceptual hierarchy definition file based on WordNet 3.1 concepts for a PyElly example application:

```
14831008n > 14842408n
14610438n > 14610949n
00033914n > 13597304n
05274844n > 05274710n
07311046n > 07426451n
07665463n > 07666058n
04345456n > 02818735n
03319968n > 03182015n
08639173n > 08642231n
00431125n > 00507565n
```

The “>” separates two concept names to be interpreted as a link in a conceptual hierarchy, where the left concept is the parent and the right concept is a child. In this particular definition file, each concept name is an offset in a WordNet 3.1 part of speech data file plus a single letter indicating which part of speech (n, v, a, r). Both offset and part of speech are necessary to identify any WordNet concept uniquely.

For convenience, a `*.h.elly` file may also have entries of the form

```
=xxxx yyyy
=zzzz wwww
```

These let you to define equivalences of concept names, where the right concept becomes the same as the left. For example, the entries make `yyyy` to be the same as `xxxx` and `wwww` to be the same as `zzzz`. The left concept must occur elsewhere in the hierarchy definition, though. An equivalence can be specified anywhere in a `*.h.elly` file. It specifies only a convenient alias for a concept name without defining a new concept, which can be helpful in documentation of semantic relationships.

---

#### 10.2.4.2.2 Semantic Concepts in Grammar Rules (`A.g.elly`)

This was already discussed briefly in Subsection 10.3.2 above. Here is an example of a grammar dictionary rule with cognitive semantics referencing semantic concepts:

```
D:xxxx <- NOUN
  >>+0 CONCEPT

— APPEND xxxx-C
—
```

Similarly with a regular syntax rule:

```
G:X -> Y Z
>>*L+0 CONCEPT
```

```
—
RIGHT
SPACE
LEFT
—
```

Note here that the `*L` action will also cause any concept associated with `Y` to be inherited by `X`, but the explicit assignment of `CONCEPT` here will always override any such inheritance.

## 10.3 Adding Cognitive Semantics to Other PyElly Tables

Earlier sections described various PyElly language definition tables, but without mention of cognitive semantics, since they were as yet undefined. Because cognitive semantics helps in resolving ambiguity, however, we now need to take care of that omission in how we define PyElly language elements.

Currently, vocabulary tables, pattern tables, and entity extractors all optionally allow fixed plausibility scoring and semantic features in definitions. You can also specify semantic concepts in vocabulary tables; but elsewhere, you can bring them in only through special grammatical rules for the syntactic types associated with them.

### 10.3.1 Cognitive Semantics for Vocabulary Tables

Vocabulary table rules can include all basic cognitive semantics, but no logic. This is because vocabulary can appear only at the bottom of a PyElly parse tree.

---

#### 10.3.1.1 Semantic Features in Vocabulary Table Entries (A.v.elly)

In addition to the rule forms listed in Subsection 9.4, PyElly also recognizes

```
TERM : SYNTAX SEMANTIC-FEATURES PLAUSIBILITY

TERM : SYNTAX SEMANTIC-FEATURES PLAUSIBILITY =TRANSLATION

TERM : SYNTAX SEMANTIC-FEATURES PLAUSIBILITY x=Tx, y=Ty, z=Tz

TERM : SYNTAX SEMANTIC-FEATURES PLAUSIBILITY (procedure)
```

`SEMANTIC-FEATURES` are the bracketed semantic features for cognitive semantics (see Subsection 10.2); it can be “0” or “-” if no features are set. `PLAUSIBILITY` is an integer value for scoring a phrase formed from a vocabulary entry; this value may include an attached semantic concept name separated by a “/” (see Subsection 10.3). Both

SEMANTIC-FEATURES and PLAUSIBILITY may be omitted, but if either is present, then the other must be also.

Here are some expansions of vocabulary definitions from Subsection 9.4:

```
Lady Gaga : noun [^celeb] =Stefani Joanne Angelina Germanotta
Lili St. Cyr : noun[:name] [^celeb] 0
horse : noun [$animate] FR=cheval, ES=caballo, CN=馬, RU=лошадь
twerk : verb[|intrans] [^sexy] (xxxx)
```

Semantic features are helpful in distinguishing words with multiple senses as multiple vocabulary table entries; for example,

```
bank : noun [^institution]
bank : noun [^geology]
bank : verb [|intrans]
```

If the word BANK shows up in input text, then all of these entries will be tried out in possible PyElly analyses, with the most plausible taken for final PyElly output.

---

### 10.3.1.2 Semantic Concepts in Vocabulary Table Entries (A.v.elly)

For a vocabulary table entry, we extend the plausibility field in an A.v.elly input file to allow appending a concept name separated by a “/” (See Subsection 9.4 above). Omitting a concept name here will be equivalent to a null concept.

Here are some entries from `disambig.v.elly`, a vocabulary table definition file making use of the concepts above.

```
finances : noun[:*unique] - 0/13377127n =funds0n
monetary resource : noun[:*unique] - 0/13377127n =funds0n
cash in hand : noun[:*unique] - 0/13377127n =funds0n
pecuniary resource : noun[:*unique] - 0/13377127n =funds0n
assets : noun[:*unique] - 0/13350663n =assets0n
reaction : noun[:*unique] - 0/00860679n =reaction0n
response : noun[:*unique] - 0/00860679n =reaction0n
covering : noun[:*unique] - 0/09280855n =covering0n
natural covering : noun[:*unique] - 0/09280855n =covering0n
cover : noun[:*unique] - 0/09280855n =covering0n
```

The \*UNIQUE syntactic feature in each entry is to disable PyElly ambiguity resolution at lower levels of sentence analysis, a requirement for the `disambig` example application. The translation provided for each entry above is the WordNet offset designation for a particular word sense plus a single letter specifying its part of speech.

You may name the concepts in your own A.h.elly hierarchy definitions however you wish, but with two exceptions: the name “-” will be reserved to denote a null concept

explicitly in grammar rules; and the name “^” will be reserved for the top of a hierarchy to which every other concept is linked eventually. You must have “^” somewhere in a `A.h.elly` hierarchy definition file for it to be accepted by `vocabularyTable.py` as a language definition file.

### 10.3.2 Cognitive Semantics for Pattern Rules

PyElly pattern rules for determining the syntactic type of an arbitrary string were first presented in Section 4.2. With the definition of semantic features and plausibility scores here, we can now also talk about how pattern rules can specify them. This can be done by inserting one or two extra fields into a pattern rule specification. The four-part format defined in Section 4.2 is still valid.

```
STATE      PATTERN      SYNTACTIC TYPE      NEXT
```

PyElly will allow two other formats as well.

```
STATE      PATTERN      SYNTACTIC TYPE      SEMANTIC FEATURES      NEXT
```

```
STATE      PATTERN      SYNTACTIC TYPE      SEMANTIC FEATURES      SCORE      NEXT
```

- The `STATE`, `PATTERN`, `SYNTACTIC TYPE`, and `NEXT` are the same as before.
- `SEMANTIC FEATURES` is a bracketed list of feature names as seen in a vocabulary rule or in the cognitive semantics of a grammar rule. These features are optional and may be set or left unset.
- `SCORE` is a possibly signed integer value to be assigned as an initial plausibility for a token matching a pattern in a final state.
- If a `SCORE` is specified in a pattern rule, then the `SEMANTIC FEATURES` must also be present. As in the case of a vocabulary entry; a simple `-` place holder can be specified here to indicate no setting of features.

Here some examples of some pattern rules with cognitive semantics:

```
0 &#@$ XID [!nom] -1
3 \#&#$ ORD - 2 -1
3 \#&#&#$ ORD - -1 -1
11 &@mab$ PHRM[:sgl] [$cancer] 1 -1
```

Note that PyElly allows basic cognitive semantics only at a final state of a pattern-matching automaton. A final state is always indicated with a `-1` as the next state of a pattern rule, which will always be the last part of a rule. An error will be flagged for cognitive semantics if there is a next state.

Assigning a base cognitive semantic score allows you to favor or disfavor a syntactic type assigned to a token by pattern matching versus by another possibility like lookup in an internal dictionary or in an external vocabulary table. Semantic features allow pattern-matched interpretations of a token to be tested by the cognitive semantic logic in rules when ambiguity resolution is required.



### 10.3.3 Cognitive Semantics for Entity Extraction

You can define the cognitive semantics for an entity extractor listed in the PyElly module `ellyConfiguration.py`. This can be done by adding one or two optional elements in a list entry for an extractor to specify semantic features or a plausibility scoring. For example, we can rewrite the listing of Subsection 9.3.2 as

```
import extractionProcedure

extractors = [ # list out extraction procedures
    [ extractionProcedure.date , 'date' , '-' , 0 ] ,
    [ extractionProcedure.time , 'time' , '^x' ]
]
```

Note that semantic features must be specified as a bracketed string in a third list element and a plausibility scoring must be specified as an integer in a fourth list argument. Semantic features may not be omitted if a plausibility scoring is present; but these can be specified as a non-committal ‘-’ as done in vocabulary table entries.

Name recognition operates the same way as entity extraction. When turned on by a commandline flag, PyElly will insert the method `nameRecognition.scan` into the `extractors` array in the PyElly module `ellyConfiguration.py`, but without specifying any cognitive semantics.

You can insert the method yourself while specifying cognitive semantics, but PyElly does it because it must at the same time also initialize a name table from the `A.n.elly` definition file for a given application A. This has to be properly coordinated with the initialization of other language definition tables.

If you absolutely must have cognitive semantics for name recognition, you should leave `ellyConfiguration.py` alone. Instead edit `ellyBase.py` to add semantic features or plausibility scoring where it appends to the `ellyConfiguration.extractors` array during initializations. You can also just define a grammar rule with the cognitive semantics you want as an extension of any NAME leaf node of a parse tree.

## 11. Sentences and Punctuation

Formal grammars typically describe the structure only of single sentences in a language. PyElly accordingly is set up to analyze one sentence at a time through its `ellyBase` module. In real-world text, however, sentences are all jumbled together, and we have to divide them up properly before doing anything with them. That task is harder than one might think; for example,

```
I met Mr. J. Smith at 10 p.m. in St. Louis.
```

This sentence contains six periods (.), but only the final one should stop the sentence. It is not hard to recognize non-stopping exceptions, but this is yet one more detail to take care of on top of the many other basic tasks of natural language processing.

Furthermore, we have to deal with special instances of punctuation like

```
He said "No."  
(Turn to page 6.)
```

where punctuation after the stop (.) really should be tacked onto the sentence that it ends. PyElly is prebuilt to handle all of this.

PyElly divides text input into sentences with its `ellySentenceReader` module, which looks for various patterns of punctuation to detect sentence boundaries in text. While doing this, PyElly also normalizes each sentence to make it easier to process. The algorithm is simple and tends to find too many sentences, but we can always help PyElly out with various special-case logic to give it more smarts .

Currently, the PyElly `stopException` module lets a user provide a list of patterns to determine whether a particular instance of punctuation like a period (.) should actually stop a sentence. The PyElly `exoticPunctuation` module tries to normalize various kinds of unorthodox punctuation found in informal text. This solution is imperfect, but we can extend or modify it as needed. See Subsection 11.2 below for details.

The approach of PyElly here is to provide sentence recognition a notch or two better than what one can cobble together just using Python regular expressions or the standard sentence recognition methods provided by libraries in a language like Java. If you really need more than this, then there are other resources available; for example, NLTK can be trained on sample data to discover its own stop exceptions. Builtin PyElly sentence recognition should be adequate for most applications, however.

PyElly sentence reading currently operates as a pipeline configured as follows:

```
raw text => ellyCharInputStream => ellySentenceReader => ellyBase
```

where `raw text` is an input stream of Unicode encoded as UTF-8 and read in line by line in the Python `readline()` method. The `ellyCharInputStream` module is a filter that removes extra white space, substitutes for Unicode characters not recognized by PyElly,

converts hyphens used as dashes when appropriate, and replaces single new line characters with spaces. The `ellyCharInputStream` and `ellySentenceReader` modules both operate at the character level and together will divide input text into individual sentences for subsequent PyElly processing.

A single input line could contain multiple sentences, or a single sentence may extend across multiple input lines. There is also no limit on how long an input line may be; it could be an entire paragraph terminated by a final linefeed as found in many word processing files. PyElly can also read text divided into short lines terminated by linefeeds, carriage returns, or carriage returns plus linefeeds. It currently will not splice back a hyphenated word split across two lines, however.

The `ellySentenceReader` module currently recognizes five kinds of sentence stopping punctuation: period (.), exclamation point (!), question mark (?), colon (:), and semicolon (;). By default, any of these followed by whitespace except for a Unicode thin space will indicate the end of a sentence. A blank line consisting of two new line characters together will imply the end of a sentence without any final punctuation.

The `ellyMain` module, the standard top-level module for PyElly, employs `ellySentenceReader`. You can run `ellyMain` interactively from a keyboard, but since it expects general text input, you may have to add an extra `<RETURN>` to get the module to recognize the end of a sentence and start processing.

## 11.1 Basic PyElly Punctuation

The PyElly `punctuationRecognizer` module automatically defines a small set of single Unicode characters as punctuation for text. These include the stop punctuation already recognized by `ellySentenceReader`, plus comma (,), bracketing and parentheses ([ ]), apostrophe ('), and double-quote (") in ASCII, and a few non-ASCII Unicode characters like (") and (") seen in formatted text. See the definitions in the Python source file `punctuationRecognizer.py` for more details.

The `punctuationRecognizer` module is a builtin extension of the grammar rules in a `X.g.elly` definition file for an PyElly application `X`. It has the effect of automatically creating default internal dictionary entries for single-character punctuation in every PyElly application. This is currently biased toward English, but can be adapted for other languages by changing the `punctuationRecognizer` table and recompiling or by adding explicit internal dictionary rules to override the table.

The `punctuationRecognizer` module can be replaced in PyElly by a stub with an empty table and a `match()` method that always returns `False`. In that case, you will have to supply all your own punctuation rules, but most of the time, you can just take the PyElly defaults. This was the approach in all eleven of the functioning example applications in the current PyElly distribution package.

All predefined PyElly single- and multi-character punctuation will be associated with the syntactic type `PUNC`. If you want to make your own system of punctuation, define

your own syntactic types here and then use them in your grammar and vocabulary rules. You can even reuse `PUNC`, but remember that this will come with prior definitions.

PyElly also will qualify the syntactic type `PUNC` with syntactic features under the specific ID `[ | ]` and semantic features under the specific ID `[ ! ]`. You should not use these feature ID's plus names in your own grammar and vocabulary rules unless you really understand the trouble you might get into; the names currently are as follows:

syntactic feature	Indication
<b>START</b>	can start a sentence
<b>STOP</b>	can stop a sentence
<b>*L</b>	is a left bracket or quotation mark (special use of predefined feature name)
<b>*R</b>	is a right bracket or quotation mark (special use of predefined feature name)
<b>QUO</b>	is quotation mark
<b>COM</b>	is comma
<b>HYPH</b>	is hyphen
<b>EMB</b>	can be included in a bracketed expression

semantic feature	Indication
<b>BRK</b>	can divide a sentence without ending it

Your language definition files should expect these builtin definitions. In particular, if you plan on defining your own syntactic features under the ID `[ | ]`, then you will have to make their names different from those defined for punctuation. There will be fewer free feature name slots available.

Remember that punctuation, like all other input text elements, may be translated by PyElly into something else in its output, but may be kept unchanged. You will have to decide on the proper action and lay out the necessary rules for PyElly.

All PyElly predefined punctuation will translate into itself with neutral cognitive semantic plausibility. You can override this action by defining a vocabulary rule with a different rewriting for a specific punctuation, but if this has the same syntactic features as a default rule, make sure that the new rule has a positive semantic plausibility increment so that PyElly will always choose it instead of the default.

You can also use the `ellyCharInputStream` module to change the form of punctuation in text before it is looked up. This is how PyElly currently handles ellipsis.

## 11.2 Extending Stop Punctuation Recognition

The division of text into sentences by `ellySentenceReader` can currently be modified in two ways: by the `stopException` module that recognizes special cases when certain punctuation should not terminate a sentence and by the `exoticPunctuation` module that checks for cases where sentence punctuation can be more than a single character.

---

### 11.2.1 Stop Punctuation Exceptions (`A.sx.elly`)

When PyElly starts up an application `A`, its `stopException` module will try to read in a file called `A.sx.elly`, or failing that, `default.sx.elly`. This file specifies various patterns for when a regular stop punctuation character ( `. ! ? : ;` ) should not terminate a sentence. These patterns will only be checked when the punctuation is followed by a space character in input text.

A pattern in a `*.sx.elly` file must each be expressed in the following form:

```
l...lp|r
```

where `p` is the punctuation character for the exception, `l...l` is a sequence of literal characters or wildcards for the immediate left context of `p`, and `r` is a single literal character or wildcard for the immediate right context of `p` plus the space after it. The vertical bar ( `|` ) marks the start of a right context and must be present.

The `l` and `r` parts of a pattern may have only certain Elly wildcards:

- @ matches a single letter
- # matches a single digit
- ~ matches a single nonalphanumeric character
- ! matches an uppercase letter (exclamation point)
- i matches a lowercase letter (inverted exclamation point)

You may also have `*` wildcard at the right end of a left context, as long there is something else to match. The right context of an exception pattern may be omitted.

Here are some examples of actual exception patterns from `default.sx.elly`:

```
@. |
!*:|!
dr. |
mr. |
mrs. |
u.s.s. |
```

The first pattern above picks up initials, which consist of a single letter followed by a period and a space character. The second lets a sentence continue past a colon (:) and a space when this is preceded by a capitalized word, indicated by `!*`, and followed by a capital letter, indicated by the `!` wildcard alone. The other patterns match formal titles for names and work as you expect them to. The file `default.sx.elly` has an extensive list of stop exceptions that might be helpful for handling typical text. You may enlarge this or make up your own list for an application.

As with PyElly patterns elsewhere, lowercase letters in a pattern will match the same letter in text irrespective of case. So the third pattern above will match `DR.`, `Dr.`, `dR.`, and `dr.`. If the exception pattern were `Dr. |`, then it would match only `DR.` and `Dr.`. Uppercase in a pattern must match uppercase in text.

Note also that ordering makes a difference in the listing of patterns here. PyElly will always take the first match from a listing, which should do the right thing. The problem is when a longer and a shorter pattern can both match input text. You probably would want to list the longer pattern first, or otherwise it may never be matched.

PyElly will apply some additional conditions before accepting a match of the left context pattern of stop exception rule. It will limit the range of a left context to the longest sequence of characters preceding a candidate stop punctuation that can appear in a simple token. Rule patterns cannot refer to anything outside that range. In particular, a space wildcard can never be matched.

If a left context pattern ends with a `*` wildcard, then will be compared without the `*` wildcard against the starting characters of the actual left context in input text. If this matches, then any extra characters in the left context will have to be alphanumeric in order to make a full pattern match.

If a left context pattern has no `*` wildcard, then it will be compared against the ending characters in the actual input left context. If this matches, then if the first element of matching input text is alphanumeric, then any preceding input character may not be alphanumeric or ampersand (&). For example, with the rule `dr. |`, the input text `XDR.` will match the left context pattern by itself, but PyElly will reject this because of the `X`.

The handling of right context patterns will examine only one text character at most, but this will be the first character after the candidate stop punctuation and its following space. The `*` wildcard is forbidden in a right context pattern; and there must be an actual input text character here if a right context pattern is specified.

As of PyElly release v1.3.23, the `stopException` module includes hardwired logic to bypass the stop exception table in a few special cases. This currently is only to handle the abbreviations A.M. and P.M. in time expressions, which requires going beyond the simple pattern matching in the stop exception table. The hardwired logic cannot be overridden except by changing the Python code in the class method `nomatch()`.

---

### 11.2.2 Bracketing Punctuation

Some punctuation can show up in complementary pairs to bracket segments of text. The most common of these are parentheses and brackets like ( and ) or [ and ] and quotation marks like ` and ". The PyElly `ellySentenceReader` module recognizes such paired punctuation and will adjust its sentence boundaries accordingly. For example, the text segment

```
(He walks along.)
```

should be a sentence even though the period (.) here is not followed by a space. The closing right parenthesis will also be included in identified sentence.

Paired quotation marks are handled in the same way, but there is an added complication here because some text may use the same character for left and right quotation marks. For example,

```
"He walks along."
```

To handle this situation, PyElly automatically interprets " at the beginning of a sentence or preceded by a space as ` and at the end of a sentence or followed by a space as ". It does not replace the original character, however. You can do the replacement yourself with macro substitutions if you really want to.

Within paired bracketing of any type, colons (:) and semicolons (;) will not be stopping punctuation and are treated more like a comma (,). The other usual stopping punctuation (.?! ) will also be ignored if there are fewer than 3 space characters seen so far within the brackets, not counting the spaces after a previously ignored (.?! ) punctuation marks. This is to avoid highly fragmented sentence analysis.

PyElly currently puts a 80-character limit on any bracketing. If a matching character pair like ("") is farther apart than that, then no bracketing is recognized. You can change this limit by editing the parameter `NLM` in `ellyCharInputStream.py`.

The bracketing logic in PyElly is heuristic and may have to be tuned for a particular application. No information should be lost here, however. The result will just be to divide text input into a different set of sentences, which may or may not matter. For example, a long quotation in input text may be broken up instead being taking as a single segment of text for analysis.

---

### 11.2.3 Exotic Punctuation

This is for dealing with punctuation like !!! or !?. The capability is coded into the PyElly `exoticPunctuation` module, and its behavior cannot be modified except by changing the Python logic of the module. This change will be easy, though.

The basic procedure here is to look for contiguous sequences of certain punctuation characters in an input stream. These are then automatically collapsed into a single character to be passed on to the `ellySentenceReader` module. The main `ellyBase` part of PyElly should therefore always see only standard punctuation.

### 11.3 How Punctuation Affects Parsing

Typical input sentences processed by PyElly may currently include all kinds of punctuation, including those recognized by `stopException` as not breaking a sentence. When PyElly breaks a sentence into parts for analysis, a single punctuation character by default will be taken as a token. PyElly will assign common English punctuation to the predefined syntactic type `PUNC` unless you provide vocabulary table rules or `D`: grammar rules or FSA pattern rules specifying otherwise.

For example, you might put `DR .` into your vocabulary table, perhaps as the syntactic type `TITLE`. Since this will take three characters from an input stream, including the period, PyElly will no longer see the punctuation here. PyElly tokenization will always take longest possible match when multiple PyElly rules can apply; a token including punctuation like quotation marks will probably be longer than anything else.

Identifying punctuation in an input sentence is just the start of PyElly analysis, however. The grammar rules for a PyElly application will then have to describe how to fit the punctuation into the overall analysis of a sentence and how eventually to translate it. This will be entirely your responsibility; and it can get complicated.

In simple text processing applications taking only a sentence at a time and only a small amount of text, you might choose just to ignore all punctuation by making them disappear in macro substitutions, but more usually, punctuation occurrences in sentence will provide important clues about the boundaries of phrases in text input that can greatly help in keeping your syntactic analyses manageable.

When you choose to work with sentence punctuation, you will need at least one grammar rule like

```
g:SENT->SENT PUNC [|STOP]
```

---

for handling stop punctuation terminating a sentence, although the syntactic feature reference is often unnecessary. The setting of syntactic features by the PyElly `punctuationRecognizer` module will have no on sentence analysis when these are unreferenced by grammar rules.

PyElly parsing will fail if any part of a sentence cannot be put into a single coherent syntactic and semantic analysis; and punctuation handling will be a highly probable point of failure here. Watch out for sentences broken in two by incorrectly interpreted punctuation; this cannot be corrected with macro substitutions since these rules must always operate within the sentence boundaries already found.



## 12. PyElly Tokenization and Parsing

Parsing and tokenization are usually invisible in PyElly operation, which should help to simplify the development of natural language applications. Still, we do sometimes need to look under the hood, either when something goes wrong or when efficiency becomes an issue. So this section will take a deep dive into how PyElly analyzes a sentence, a procedure that has evolved over the decades to its present elaboration.

PyElly follows the approach of compiler-compilers like YACC. Compilers are the indispensable programs that translate code written in a high-level programming language like Java or C++ into the low-level machine instructions that a computer can execute directly. In the early days of computing, all compilers were written from scratch; and the crafting of individual compilers was complicated and slow. The results were often unreliable.

To streamline and rationalize compiler development for a proliferation of new languages and new target machines, compiler-compilers were invented. These provided prefabricated and pretested components that could be quickly customized and bolted together to make new compilers. Such standard components typically included a lexical analyzer based on a finite-state automaton and a parser of languages describable by a context-free grammar.

Using a compiler-compiler of course limits the options of programming language designers. They have to be willing to work with the constraint of context-free languages; and the individual tokens in that language (variables, constants, and so forth) have to be recognizable by a simple finite-state automaton. Such restrictions are significant, but being able to have a reliable compiler running in weeks instead of months is so advantageous that almost everyone can accept the tradeoffs.

The LINGOL system of Vaughan Pratt directly adapted compiler-compiler technology to help build natural language processors. Natural languages are not context-free, but life is more simple if we can parse them as if they were and then take care of context sensitivities through other means like local variables in semantic procedures attached to syntax rules. PyElly follows the LINGOL plan and takes it even further.

### 12.1 A Bottom-Up Framework

A parser analyzes an input sentence and builds a description of its structure. As noted earlier, this structure can be represented as a kind of tree, where the root of the tree is a phrase node of the syntactic type `SENT` and the branching of the tree shows how complex structures break down into simpler structures. A tool like PyElly must be able to build such trees incrementally for a sentence, starting either at the bottom with the basic tokens from the sentence or at the top by putting together different possible structures with `SENT` as root and then matching them up with the parts of the sentence.

One can debate whether bottom up or top down is better, but both should produce the same parse tree in the end. We can have it both ways by adopting a basic bottom-up

framework with additional checks to prevent a parse tree phrase node from being generated if it would not show up in a top-down analysis. PyElly does this through a true/false matrix  $m(x,y)$  telling whether a syntactic type  $y$  could eventually satisfy a goal of  $x$  at parse position; it is automatically compiled when PyElly loads its grammar rules.

LINGOL and subsequently PyElly both take this restricted bottom-up approach. Doing so is quite efficient, and the various resulting subtrees can provide helpful information when parsing fails or when a translation turns out wrong. Bottom-up is also more convenient for computing plausibility scores with PyElly cognitive semantics.

The PyElly bottom-up algorithm operates on a queue that lists the newly created phrase nodes of a parse tree. These still need to be processed to create the phrase nodes at the next higher levels of our tree. Initially, the queue is empty, but we then read the next token in an input sentence and look it up to get some new bottom-level parse tree nodes to prime our queue. A token can be a single word or phrase, a number, punctuation, an arbitrary alphanumeric identifier, or a complex entity like a calendar date.

PyElly parsing then runs in a loop, taking the node at the front of its queue and applying grammar rules to create new nodes to be appended to the back of the queue for further action. This process keeps going until the queue finally empties out. At that point, PyElly will then try to read the next token from a sentence to refill the queue and proceed as before. Parsing will stop after every token in sentence has been seen or when current grammar rules cannot generate any more phrase nodes past a given token position.

There is one special circumstance when a new node will not be added to the end of a queue. If there is already a phrase node of the same syntactic type with the same syntactic features built up from the same sentence tokens and if the new node does not have the `*UNIQUE` syntactic feature, PyElly will note an ambiguity here and will attach the new node as an alternative to the already processed node instead of queueing it separately for further tree building.

This consolidation of new ambiguous nodes serves to reduce the total number of nodes generated for the parsing of a single sentence. Otherwise, PyElly would have to build parallel tree structures for both the old node and the new node without necessarily any benefit. The `*UNIQUE` syntactic feature will allow you to override the handling of ambiguities here if you really want to do so.

In any event, PyElly immediately computes the cognitive semantic plausibility score of each new phrase as it is generated in bottom-up parse tree building. Whenever an ambiguity is found, PyElly will find the alternative with the highest plausibility and use it in all later processing of a sentence. All the other alternatives will, however, be retained for reporting, for possible backup on a semantic failure, or for automatically adjusting biases to insure that the same rule will not always be taken when there are multiple rules with the same semantic plausibility.

## 12.2 Token Extraction and Lookup

PyElly token lookup is complicated because it can happen in many different ways: external vocabulary tables, FSA pattern rules, entity extraction, the internal dictionary rules for a grammar, and builtin rules like those for punctuation recognition. These possibilities must also interact with macro substitution, inflectional stemming, and morphological analysis; and so it can be hard to follow what is going on here.

PyElly breaks up a sentence into a sequence of tokens, each a single- or multi-word term, a common expression, a word fragment, a name or other complex entity, a string of defined format like a number, or punctuation. PyElly parsing goes from left to right in a sentence, applying its language rules to get the longest possible token at the next sentence position. For an application *A*, the full lookup procedure is currently as follows:

1. If number rewriting is enabled, rewrite any spelled-out number like SIXTEEN HUNDRED in the current sentence position as digits plus any ordinal suffix like -ST, -RD, or -TH. A spelled-out fraction like THREE-EIGHTHS becomes 3/8THS.
2. Apply macro substitution rules at the current position.
3. Look up the next input text in the external vocabulary table for *A*; put matches into the PyElly parsing queue as parse tree leaf phrase nodes if consistent with top-down parsing expectations at the current position according to the current grammar rules for *A*. PyElly has special punctuation rules on how far ahead to scan for a match.
4. Try also to match up the next input up to the next space or other separator with the FSA pattern table for *A*; queue up matches as leaf phrase nodes at the current position if they are consistent with top-down parsing expectations (derivability).
5. Try entity extraction at the current position; put matches as phrase nodes into the PyElly parsing queue if consistent with top-down expectations at the current position. Entities must be within a single sentence; otherwise, PyElly puts no restrictions like above on what extraction code (written in Python) can do for matching. An entity string can include punctuation and spaces.
6. If steps 2, 3, or 4 have queued up phrase nodes, keep only those with the longest extent; discard all phrase nodes of shorter extent for subsequent processing.
7. If any queued phrases are longer than the next simple input token, we are done with the generation of leaf phrase nodes and ready to start the main parsing loop.
8. Otherwise, extract the next token of alphanumeric plus embeddable punctuation characters from PyElly input with inflectional stemming and macro substitution.
9. If inflectional stemming is enabled, then apply it to the next token. Put any inflectional endings back into PyElly input.
10. Apply macro substitution rules again. These may override inflectional stemming.

11. Look up the input token as a single word in both external vocabulary table and the internal dictionary for A. Queue up a phrase node for any matches here if consistent with top-down expectations and the match is as long as what has been seen so far.
12. If we have queued phrases from any of the preceding steps, we are done with tokenization and ready to go into the PyElly main parsing loop.
13. Otherwise, morphologically analyze our current single-word token with the rules defined for A. Put any suffixes found here back into PyElly input. If analysis resulted in a new token, look it up in the external vocabulary table and in the internal dictionary for A. If found and consistent with top-down expectations and long enough, queue up phrase nodes for each match.
14. If there are any queued up phrase nodes, we are done.
15. Otherwise, if nothing has been queued up yet, check if the next token is standard punctuation. If so and the punctuation is consistent with top-down expectations, enqueue a phrase node of syntactic type `PUNC` and quit tokenization.
16. If nothing has yet been queued up, then create a phrase node of `UNKN` type for the next single token up to a break character. This will be without any top-down consistency check.

The lookup process should produce a queue of at least one phrase node for the next token. We then will start in on parse tree building with queued up nodes and continue until the queue is exhausted. When that happens, it is time to look for another token until all of an input sentence has been processed.

## 12.3 Building a Parse Tree

Given bottom-level phrase nodes in the PyElly parsing queue, we can start to build up a parse tree from them. The basic algorithm here is from LINGOL, but it is similar to other bottom-up parsing procedures in systems driven by context-free grammars. The next subsection will cover the details of the basic algorithm's main loop, and the two following subsections will describe PyElly extensions to that algorithm.

---

### 12.3.1 Context-Free Analysis Main Loop

At each step in parsing, we first enqueue the lowest-level phrase nodes for the next piece of an input sentence, with any ambiguities already identified and resolved. Then for each queued phrase node, we find all the ways that the node will fit into a parse tree currently being built. This is called “ramification” in PyElly source code commentary.

For newly enqueued phrase node, PyElly ramification will go through three steps when the syntactic type of the node is `X`:

1. Look for grammar rules of the form  $Z \rightarrow Y \ X$  that have earlier found a  $Y$  and has set a goal of an  $X$  in the current position. For each such goal found, create a new phrase node of type  $Z$ , which will be at the same starting position as phrase  $Y$ .
2. Look for rules of the form  $Z \rightarrow X$ . For each such rule, create a new node of type  $Z$  at the same starting position and with the same extent in a sentence as  $X$ .
3. Look for rules of the form  $Z \rightarrow X \ Y$ . For each such rule, set a goal at the next position to look for a  $Y$  to make a  $Z$  at the same starting position as  $X$ .

A new phrase node will be vetoed in steps 1 and 2 if inconsistent with a top-down algorithm. The same derivability matrix was employed in token lookup. Each newly created node will be queued up for processing by taking the three steps above. When all the phrase nodes ending at the current sentence position have been ramified, PyElly parsing advances to the next position.

The main difference between PyElly basic parsing here and similar bottom-up context-free parsing elsewhere is in the handling of ambiguities. Artificial languages generally forbid any ambiguities in their grammar, but natural languages are full of them and so we have to be ready to handle them. In PyElly, the solution is to resolve ambiguities outside of its ramification steps.

PyElly sees an ambiguity only when two phrase nodes of the same syntactic types and features cover the same tokens in a sentence. For example, the single word THOUGHT could be either a noun or the past tense of a verb. This will have to be resolved at some point, but if they are marked with different syntactic categories or have different syntactic features, PyElly will put off making any resolution.

It is possible that a parsing ambiguity may found for a phrase node after it has already been ramified. This is no problem if that previous node has a higher plausibility than the new phrase node producing the ambiguity; but if the new phrase is more semantically plausible, then it must replace the old phrase, and the plausibility scores of all of its ramifications must also be adjusted upward to reflect the replacement. Such changes of the plausibility of other phrase nodes may in turn require adjustment of their previous ramifications as well. PyElly handles all of this automatically.

---

### 12.3.2 Special PyElly Modifications

Except for ambiguity handling, basic PyElly parsing is fairly generic. We can be more efficient here by anticipating how grammar rules for natural language might benefit from special handling as compared to those for context-free artificial languages. The first extension of the core algorithm is the introduction of syntactic features as an extra condition on whether or not a rule is applicable for some aspect of ramification.

On the right side of a rule like  $Z \rightarrow X$  or  $Z \rightarrow X \ Y$ , you can specify what syntactic features must and must not be turned on for a queued phrase node of syntactic type  $X$  to be

matched in steps 2 and 3 above and for a queued phrase node of type  $\Upsilon$  to satisfy a goal based on a rule  $Z \rightarrow X \ \Upsilon$  in step 1. This extra checking involves extra code, but it is straightforward to implement with bit operations.

There is also a special constraint applying to words split into a root and an inflectional ending or suffix (for example, HIT -ING). The parser will set flags in the first of the resulting phrase nodes so that only step 3 of ramification will be taken for the root part and only step 1 will be taken for each inflection part. A parse tree will therefore grow more slowly than otherwise expected, making for faster parsing and less overflow risk.

---

### 12.3.3 Type 0 Grammar Extensions

The introduction of the PyElly . . . syntactic type complicates parsing, but handling the type 0 grammar rules currently allowed by PyElly turns out to require only two localized changes to its core context-free algorithm.

1. Just before processing a new token at the next position of an input sentence, generate a new phrase node for the grammar rule . . . [ . 1 ]  $\rightarrow$  . Enqueue the node and get its ramifications immediately.
2. Just after processing the last token of an input sentence, generate a new phrase node for the grammar rule . . . [ . 2 ]  $\rightarrow$  . Enqueue it and get its ramifications immediately.

Those reading this manual closely will note that the two rules here have syntactic features associated with . . . , which Section 8 said was not allowed. That restriction is still true, and that is because PyElly reserves the syntactic features of . . . to make the type 0 logic handling work properly as done above.

The difficulty here is that the . . . syntactic type is prone to producing ambiguities. This will be especially bad if the PyElly parser cannot distinguish between a . . . phrase that is empty and one that includes actual pieces of a sentence. So PyElly itself keeps track by using syntactic features here, but keeps that information invisible to users.

The solution will propagate up the syntactic feature [ . 1 ] to indicate an empty phrase due to case 1 and the feature [ . 2 ] to indicate an empty phrase due to case 2. Though invisible, a grammar will still need to guide this explicitly through setting \*LEFT or \*RIGHT in rules for syntactic feature inheritance when a rule involves . . .

## 12.4 Success and Failure in Parsing

For any application, PyElly automatically defines the special grammar rule:

```
g: SENT  $\rightarrow$  SENT END
```

—

This rule will never be realized in an actual phrase node, but the basic PyElly parsing algorithm uses this rule to set up a goal for the syntactic type `END` in phase 3 of ramification. After a sentence has been fully parsed, PyElly will look for an `END` goal at the position after the last token extracted from the sentence. If no such goal is found, then we know that parsing has failed; otherwise, we can then run the generative semantics for the `SENT` phrase node that generated the `END` goal just found.

There may be more than one `END` goal in the final position, indicating that their respective generating `SENT` phrase nodes were not collapsed as an ambiguity because of syntactic feature mismatch. As a special case, PyElly will still compare their cognitive semantic plausibility scores select the most plausible and run its generative semantic procedure to get the interpretation for a sentence. This is equivalent to making actual phrase nodes based on the implicit PyElly `SENT->SENT END` rule, which would trigger PyElly ambiguity handling as just described.

Failure in parsing gives us no generative semantic procedure to run, and our only recourse then is to dump out intermediate results and hope that someone can spot some helpful clue in the fragments. If the failure is due to something happening in semantic interpretation, though, PyElly can automatically try to recover by backing up in a parse tree to look for an ambiguity and selecting a different alternative at that point.

## 12.5 Parse Data Dumps and Tree Diagrams

PyElly can produce dumps of parsing data, including all the complete or partial parse trees built up for a sentence. In a successful analysis, this helps in verifying that PyElly is running as expected. In a failed analysis, the partial parse trees will provide clues about what went wrong. For example, you can see where the building of a parse tree had to stop and whether this was due to a missing rule or unexpected input text.

All this information is written to the standard error stream. Such output originally was an informal debugging aid, but has proven so useful that it is now built into PyElly operation. The most important part of parse data dumps are the trees. These will be presented horizontally, with their highest nodes on the left and with branching laid out vertically. For example, here is a simple 3-level subtree with 4 phrase nodes:

```
sent:0000——ss:8001Tnoun:8000 @0 [nnnn]
      6 = 3      4 = 2|      1 = 1
                      Lverb:0000 @1 [vvvv]
                        2 = -1
```

Each phrase node in a tree display will have the form

```
type:hxxx
  n =  p
```

Where `type` is the name of a syntactic type truncated to 4 characters, `hxxx` is hexadecimal for the associated feature bits (16 are assumed), `n` is a phrase sequence

number indicating the order in which it was generated in a parse, and *p* is the numerical semantic plausibility score computed for the node. The nodes are connected by Unicode drawing characters to show the kind of branching in grammar structures.

To interpret the feature bits *hhhh* here, you should look at the encoding of feature names produced by `grammarTable.py`. The feature encoded as 0 will be the leftmost bit and will show up as the hexadecimal 8000; the feature encoded as 1 will be 4000. In the above example, the top-level node here for type `sent` is

```
sent:0000
  6 =  3
```

This node above has no syntactic features turned on; its node sequence ID number is 6, and its plausibility score is +3. Similarly, the node for type `ss` at the next level is

```
ss:8001
  4 =  2
```

The actual sentence tokens for a PyElly will be in brackets on the far right, preceded by its sentence position, which starts from 0. In the example above, the tokens are the “words” `nnnn` and `vvvv` in sentence positions 0 and 1, respectively. Every parse tree branch will end on the far right with a position and token, plus a semantic concept if your grammar includes them.

With the analysis of a word into components becoming separate tokens, representing prefixes or suffixes, we can get trees like

```
sent:0000—ss:0000—ss:0000—unit:0000—unkn:0000 @0 [it]
  11 =  0   10 =  0   2 =  0   1 =  0   0 =  0
                        |
                        unit:0000—unkn:0000 @1 [live]
                        9 =  0   4 =  0
                                |
                                suffix:0000 @2 [-s]
                                8 =  0
```

When a grammar includes . . . rules, the display will be slightly more complicated, but still follows the same basic format.

```
sent:0000—sent:C000—ss:C000—x:A400—...:4000 @0 []
  11 =  4   8 =  4   7 =  4   3 =  4   0 = -2
                        |
                        key:2400 @0 [hello]
                        2 =  2
                        |
                        ...:4000 @1 []
                        6 = -2
                        |
                        punc:2000 @1 [.]
                        9 =  0
```



The empty phrases number 0 and number 6 have sentence positions 0 and 1, but these positions are shared by two actual sentence elements HELLO and period (.), as you would expect. Note that the hidden syntactic flags of the . . . type will show up in a displayed tree; just ignore them.

All the examples here show a single analysis of a complete sentence, where PyElly start from for semantic interpretation. This minimal dump will not show any rejected alternative analyses, which might have been generated for ambiguities or for dead ends that led to no full analysis of a sentence recognized as a syntactic type SENT.

When a PyElly analysis fails or when you are unsure whether an analysis is correct, however, you may want to see all the intermediate results of parsing, including ambiguities and dead ends. PyElly can do a full tree dump here showing all phrase nodes in an analysis in the order of their generation.

In a full dump, PyElly first looks for the node with the highest sequence ID number not yet shown in any parse tree for the current dump. PyElly then shows the subtree under that node as done above for the subtree under `sent` and loops back in this way until all phrase nodes are accounted for. For a long sentence, we may have tens of thousands of phrase nodes, but each node will show up in only one subtree.

In addition to all the trees and subtrees, a PyElly full dump will also show the goals at the final position in a sentence analysis, all grammar and internal dictionary rules applied plus the phrases nodes generated, and all ambiguities found in the process. This information should allow you to reconstruct how PyElly parsed a sentence.

Full dumps are default when you are running `ellyBase.py` from a command line, regardless of whether PyElly succeeded or failed on an input sentence. With `ellyMain.py`, you will see only a minimal dump if PyElly succeeded, but a full dump if PyElly has failed.

For example, suppose that we have the following trivial grammar, which allows for sentences consisting of either a NOUN plus a VERB or a VERB plus a NOUN:

```
# trivial grammar
p:do
-   left
    space
    right
-
g:sent->noun verb
-   (do)
-
g:sent->verb noun
>>-
-   (do)
-

```

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```

g:noun->noun sufx
-
  (do)
-
g:verb->verb sufx
-
  (do)
-
d:dog <- noun
-
d:dog <- verb
-
d:-s <- sufx
-
d:bark <- verb
-
d:bark <- noun
-

```

Here is an example of an ellyBase full dump for an analysis of a short sentence:

```
> dogs bark.
```

```
parse FAILED!  
dump all
```

```

dumping from phrase 8 @0: typ=0 syn[00 00] sem[00 00] : bia=-1 use=0
sent:0000-verb:0000-verb:0000 @0 [dog]
  8 = -1 | 4 = 0 | 1 = 0
          |      |
          |      |└sufx:0000 @1 [-s]
          |      | 2 = 0
          |└noun:0000 @2 [bark]
          6 = 0

```

```

dumping from phrase 7 @0: typ=0 syn[00 00] sem[00 00] : bia=0 use=0
sent:0000 noun:0000 noun:0000 @0 [dog]
  7 = 0 | 3 = 0 | 0 = 0
        |       |
        |       | suffix:0000 @1 [-s]
        |       | 2 = 0
        |       |
        |       | verb:0000 @2 [bark]
        |       | 5 = 0

```

rules invoked and associated phrases

```
rule      2: [7]
rule      3: [8]
rule      4: [3]
rule      5: [4]
rule      6: [0]
rule      7: [1]
rule      8: [2]
rule      9: [5]
rule     10: [6]
```

3 final goals at position= 3 / 3

```
goal 8: sufx typ=6 for [phrase 5 @2: typ=5 syn[00 00] sem[00 00] : bia=0 use=0] rul=5
goal 9: sufx typ=6 for [phrase 6 @2: typ=4 syn[00 00] sem[00 00] : bia=0 use=0] rul=4
goal 10: end typ=1 for [phrase 7 @0: typ=0 syn[00 00] sem[00 00] : bia=0 use=0] rul=1
```

9 phrases altogether

```

ambiguities
sent 0000: 7 (+0/0) 8 (-1/0)

4 raw tokens= [[dog]] [[-s]] [[bark]] [[.]]
9 phrases, 11 goals

```

Parsing fails for the input “dogs bark.” because our simple grammar expects no punctuation at the end of a sentence. In the full dump, we first see the two subtrees for the first three tokens of the input sentence. The final position with goals are defined is 3, and the goals there are listed; the position of the actual last token seen is also 3, but this may not always be so. In the list of ambiguities we have phrases 7 and 8, both of type SENT with no syntactic features set; phrase 7 starts the listing because it has a higher semantic plausibility score as result of the cognitive semantics for rule 3.

If a semantic concept is defined for a phrase at a leaf node in a parse tree, a PyElly tree dump will show the concept immediately after the bracketed token at the end of an output line. For example, the augmented printout for tree the first tree from the example above might become

```

sent:0000 noun:0000 noun:0000 @0 [dog] 02086723N
  4 = 0      2 = 0      0 = 0
      |          |
      |          | suffix:0000 @1 [-s]
      |          | 1 = 0
      |          |
      |          | verb:0000 @2 [bark] 01049617V
      |          | 3 = 0

```

where 02086723N and 011049617V are WordNet-derived concept names as described in Subsection 10.4.1 above. If no concept is defined for a leaf node, then the tree output will remain the same as before. This is the case for the suffix -S here.

## 12.6 Parsing Resource Limits

PyElly is written in Python, a scripting language that can be interpreted on the fly. In this respect, it is closer to the original LINGOL system written in LISP than to any of its predecessors written in Java, C, or FORTRAN. PyElly takes advantage of Python object-oriented programming and list processing with automatic garbage collection.

Unless you are running on a platform with extremely tight main memory, PyElly should be able to handle sentences containing over a hundred tokens with little difficulty. Writing grammar rules to describe long sentences efficiently will take extra effort, however, requiring you to control the combinations of all the possible ambiguous interpretations. Too many combinations here will result in phrase node overflow.

When grammar rules allow for high degrees of ambiguity, the total number of phrase nodes allocated in generating a complete parse for a sentence can grow exponentially with the number of distinct tokens in the input. This can slow processing noticeably or even crash your computer. Be careful especially when using the predefined `*unique` syntactic feature or when many words have to be treated syntactically as UNKN.

In the worst case, PyElly may generate all possible parse trees for a sentence. Typical analyses will be reduced somewhat, though, by immediate resolution of ambiguities of the same syntactic type along with the same syntactic features, but sentences with many competing ambiguous interpretations can still clog up PyElly processing. Inflectional stemming or morphological analysis will of course produce extra tokens beyond the basic count of words and punctuation for a sentence.

The PyElly `ellyConfiguration` module as of v1.2.2 imposes a 50,000 node limit on the total number of phrases available for a sentence parse tree. This is quite generous, since parsing can seem exceedingly slow when there are only 10,000 phrase nodes. When sentence analysis hits the node cutoff, PyElly will throw an overflow exception and quit processing; this will produce no translation and show no parse trees.

If you really must, you can raise the phrase node limit by editing the `phraseLimit` parameter definition in the file `ellyConfiguration.py`. This probably will not help much, however. Node overflow is a warning that your grammar and vocabulary really need to be rethought and tightened up. A better approach here might be to use syntactic features strategically to restrict the applicability of syntax rules and to define more terms explicitly, especially those spanning multiple words. Treating a phrase like `in the course of` as a single token can by itself reduce the phrase node count for a long sentence by many thousands.

As of v1.3.5, you can also use special control characters to limit the number of phrase nodes generated for a PyElly parse tree. Such a control character in PyElly input will have no output translation; it serves only as a separator to make the analyses of two segments of input text independent. Currently, the only recognized separator is the Unicode character `u'\u001E'`, or ASCII RS (record separator). It is automatically defined in the internal dictionary of all PyElly grammars as the syntactic type `SEPR`.

To be effective, `SEPR` must never be in any grammar rule leading to ambiguity in a sentence analysis, and it is best used at the level of the `SENT` syntactic type. In that case, it will let you to divide a single long, complex sentence into syntactically independent parts to lessen the explosion of phrase nodes arising from their combinatorics of ambiguity. Your grammar rules will be responsible, however, for stitching back the results of such separated analyses to get proper output for the original input sentence.

Because ASCII control characters other than HT, CR, and LF are uncommon in digital natural language text data, PyElly will always filter them out in its normalization of input. An ASCII RS can be inserted into text input, however, by a PyElly macro substitution; just specify it as `\\S` in the right side of a macro rule (see Subsection 4.3). You can of course change PyElly Python code to define other control characters.

Outside of phrase and goal nodes, the main defined resource restrictions in PyElly are on the total number of syntactic types (96) and the total number of different syntactic features or of different semantic features for a phrase node (both 16). These are fixed to allow for preallocation of various arrays used by the PyElly parser for faster lookup. They also make the formatting of parse trees easier.

You can change these limits on syntactic types and syntactic and semantic feature counts by editing the definitions in the PyElly `symbolTable.py` module. The current numbers should be quite enough for ordinary applications, however. If you increase the feature count past 16, you also must change the `parseTreeWithDisplay.py` module so that its formatting of parse trees will allow more than four hexadecimal digits to represent syntactic features. This will be non-trivial.

You are better off increasing the number of allowable syntactic types, although this may make your language definition rules harder to understand. We are taught in grammar school that there are only eight parts of speech, but it is often advantageous to define many more syntactic types so that individual syntactic rules can be more specific. This will help us to manage the ever present ambiguity problem in natural language processing, although grammars will become harder to read. Experiment to explore the various possibilities within PyElly.

## 13. Developing Language Rules and Troubleshooting

PyElly rewrites input sentences according to the rules that you provide. A natural language application can involve up to eight different `*.*.elly` text files for rule definition, with some containing hundreds or even thousands of rules. There are plenty of ways to go wrong here; and so we all have to be systematic in developing PyElly applications, taking advantage of all the tools available when problems arise.

Even when trying to do something simple, you need to be always alert and cultivate good habits. In general, the best way to use PyElly is to approach a solution bit by bit, trying to take care of just one sentence at a time. Let PyElly to check everything out for you at each step and go no further until everything is clean and satisfactory. Remember that a change in your rules can break previous analyses. Always expect the worst.

Application building will never be a slamdunk, but remember that you are already a natural language expert! Despite enormous advances in hardware and software, an intelligent young child nowadays still knows more about natural language than Siri or Watson. If you can harness some basic analytical skills and add some programming chops to this innate expertise, then you should do well with PyElly. Just keep your goals clear and proceed slowly and carefully.

Start with the simplest sentences requiring only a few rules. Once these can be handled successfully, move on to more complex sentences, adding more rules as needed to describe them. With a modular PyElly rule framework, you should be able to build on your previous rules without having to change them constantly. This is one big advantage of processing sentences recursively around the syntactic structures of sentences.

When testing out a new sentence, you should not only verify that PyElly is producing the right output, but also inspect its parse tree dump to see that it is doing what you expect from your current grammar rules. If everything is all right, then add the sentence and its translation to a list to be run in regression testing with `ellyMain.py` later. Such testing will take only seconds or minutes, but must be done.

### 13.1 Pre-Checks on Language Rule Files

As your language definition files get longer, PyElly can help to verify that each of them is set up correctly and makes sense by itself before you try to bring everything together. This can be done by running the unit tests of the modules to read in definition files and check the acceptability of tables of rules. Running `ellyBase.py` or `ellyMain.py` will also do some of this, but you can sort out issues more easily when looking at only one definition file at a time. For example, with application `X`, you can run any or all of the following unit tests from your command line:

```
python grammarTable.py X
python vocabularyTable.py X
python patternTable.py X
python macroTable.py X
python nameTable.py X
python conceptualHierarchy.py X
```

Each command will read in the corresponding `X.*.elly` file, check for errors, and point out other possible problems. If a table or a hierarchy can be successfully generated, PyElly will also dump this out entirely for inspection, except for external vocabularies, which can be too big for a full listing.

The vocabulary, pattern, macro, and name table unit tests will also prompt you for additional examples to run against their rules for further verification of correct lookup or matching. This can be helpful when debugging a PyElly language definition with a specific problematic bit of text.

PyElly error messages from language definition modules will always be written to `sys.stderr` and will have a first line starting with `**`. They may be followed by a description line starting with `*` showing the input text triggering the problem. For example, here is an error message for a bad FSA rule for assigning a part of speech:

```
** pattern error: bad link
*   at [ 0 *bbbb* ZED start ]
```

PyElly will continue to process an input rule definition file after finding an error so as to catch as many definition problems as possible in one pass. No line numbers are given in error messages because PyElly normalizes all its input to simplify processing for definition modules. This will strip out comments and will eliminate any blank lines, thus changing the numbering of lines.

Once each separate table has been validated in isolation, you can run `ellyBase.py` to load everything together. Its unit test will run a cross-table check on the consistency of your syntactic categories and of your syntactic and semantic features. For application `X`, do this with the shell command

```
python ellyBase.py X
```

This is also how you would normally test the rewriting of individual test sentences, but the information provided by PyElly from the loading of language rules is a good way to look for omissions or typos in your language rules, which can be hard to track down otherwise. Running `ellyMain.py` will skip this detailed kind of checking.

## 13.2 A General Application Development Approach

For those wanting more specifics on setting up PyElly language definition files, here is a reasonable way to build a completely new application *X* step by step.

1. Set up initially empty `X.g.elly`, `X.m.elly`, `X.stl.elly`, `X.n.elly`, and `X.v.elly` files. For the other PyElly language definition files, taking the defaults should be all right as least to start with.
2. Select some representative target sentences for your PyElly application to rewrite. Five or six should be enough to start with. You can add more as you go.
3. Write *G*: grammar rules in `X.g.elly` to handle to handle one of your target sentences; leave out the cognitive and generative semantics for now. Just check for correctness of the rules by running the PyElly module `grammarTable.py` with *X* as an argument.
4. Add the words of a target sentence as *D*: internal dictionary rules in `X.g.elly` or as vocabulary entries in `X.v.elly`. Run `grammarTable.py` or `vocabularyTable.py` with *X* as an argument to verify correctness of language definition files as your working vocabulary changes.
5. Run PyElly module `ellyBase.py` with *X* as an argument to verify that your language definition files can be loaded together. Enter single target sentences as input and inspect the parse data dumps to check that analyses are correct. Ignore any generated output for now.
6. Write the generative semantic procedures for your grammar rules and check for correctness by running `grammarTable.py`. If you have problems with a particular semantic procedure, copy its code to a text file and run the PyElly module `generativeProcedure.py` with the name of that text file as an argument.
7. When everything checks out, run `ellyBase.py` with *X* as an argument and verify that PyElly translates a target sentence as you want.
8. When everything is working for a target sentence, repeat the above from step 2 with other sentences. Always test your new system against all previous target sentences to ensure that everything is still all right after any change of language rules. You can create `X.main.txt` and `X.main.key` files to automate such testing with `doTest`.

## 13.3 Miscellaneous Tips

This subsection is a grab bag of advice about developing nontrivial PyElly grammars and vocabularies. It distills various lessons learned about rule-based language definition since PARLEZ, the original PyElly ancestor. In writing language rules for a new application, expect to make some mistakes; but try to avoid repeating old ones.



1. PyElly has over ten thousand lines of Python code, exclusive of commentary. It is set up to translate natural language strings into other kinds of strings and nothing more. In other words, it will not by itself let you replicate Watson or Siri. Go ahead and push the limits, but be aware that PyElly is not magic and that you are limited in how many rules you can develop effectively in a short period of time.
2. The PyElly `ellyMain.py` module is the better choice to rewrite batches of raw text data because of its sentence recognition. If you are working with only one input sentence at a time, run `ellyBase.py`. This is friendlier for interactive processing and also provides more diagnostic information for its translations.
3. PyElly analysis always revolves around sentences, but remember that you can define them however you want and need not follow what you learned in 8th grade. It is all right to break sentences at colons and semicolons or even at certain subordinate conjunctions. Shorter sentences will greatly simplify your grammar rules.
4. In developing a grammar, less is better. You are more likely to get into trouble with as you add syntactic categories and rules. In many applications, for example, you can ignore language details like gender, number, tense, and subject-verb agreement. Avoid defining rules for what does not matter to you.
5. Get the syntax of a target input language right before worrying about the semantics. PyElly automatically supplies you with stubs for both cognitive and generative semantics in grammar rules. You can replace the stubs later with full-fledged logic and procedures after you can successfully parse sentences.
6. Natural language always has regular and irregular forms. Tackle the regular first in your grammar rules and make sure you have a good handle on them before taking on the irregular. The latter can often be handled by macro substitutions: for example, just change DIDN'T to DID NOT or if tense is unimportant, DO NOT.
7. Writing semantic procedures for a grammar is a kind of programming. Therefore, follow good software engineering practices. Divide the task of rewriting text into smaller parts that can be finished quickly and individually tested. Test thoroughly as you go; never put it off until all your language rules have all been written out.
8. Make your generative semantic procedures short, fewer than twenty lines if possible; this will make it easier to verify the correctness of your code visually. If a long procedure is unavoidable, run it first in a separate file with the unit test for the PyElly module `generativeProcedure.py`. Throw in some `TRACE`, `SHOW`, and `VIEW` commands temporarily to monitor what that code is doing.
9. Be liberal with named generative semantic subprocedures to shorten large blocks of code. A subprocedure may have as few as only two or three commands. Calling such a subprocedure could actually take more memory than equivalent inline commands,

but clarity and ease of maintenance will trump efficiency here. Common code used in multiple procedures should always be broken out as a named subprocedure.

10. Group the rules for syntactic types into different levels where the semantic procedures at each level will do similar things. A possible succession of levels here might be (1) sentence types, (2) subject and predicate types, (3) noun and verb phrase types, and (4) noun and verb types with inflections. This is also a good way to organize the definition of local variables for communication between different generative semantic procedures.
11. Macro substitutions will usually be easier to use than syntax rules plus semantic procedures, but they have to be fairly specific about the words that they apply to. Syntax rules are better for patterns that apply to broad categories of words.
12. Macro substitution can be dangerous. Watch out for infinite loops of substitutions, which can easily arise with `*` wildcards. Macros can also interact unexpectedly; in particular, make sure that no macro is reversing what another is doing, which can also lead to infinite loops.
13. Macros can match multiple tokens in input when a pattern for a substitution includes a `'_'` wildcard, which will match any space character, including ASCII HT, NL, CR, US, and SP or Unicode NBSP. Any punctuation in a multi-token pattern must be one that may be embedded in single token; for example, the commas in `1,000,000`. Literal spaces are prohibited in macro patterns; you must use the `'_'` wildcard for matching the spaces in multiword expressions.
14. When binding wildcard matches to the substitution elements `\\1`, `\\2`, `\\3`, and so forth, remember that each `'_'` wildcard is always bound separately, and you must count the elements that way; for example, the two alphabetic segments of a string matching the pattern `'&@_&@'` will be `\\1` and `\\3`.
15. Try to avoid macros in which the result of substitution is longer than the original substring being replaced. These are sometimes necessary, but be careful here; PyElly will warn you if it comes across such a macro, but will allow it.
16. The ordering of rules in a macro substitution table is important. Rules at the top of a list can change the input text that a macro further down the list is looking for.
17. PyElly macro substitution comes after transformations of spelled out numbers, but before external vocabulary lookup, and entity extraction. It comes again just before the next token is taken from its input buffer after any inflectional stemming and again just before the next token is taken with morphological prefixes and suffixes split off. This will allow macros to undo any word analyses in special cases. Watch out here; macros actions can undo, but cannot themselves be easily undone.
18. Macro substitutions and transformations can change the spelling of words in sentences being processed. Make sure that your internal dictionary and the external vocabulary rules for an application take such changes into account. Sometimes you

can change the spelling of a word in a specific context to tell PyElly how to interpret it; for example, you can indicate that an instance of WHAT is a conjunction by rewriting it as `cnjWHAT` or `WHĀT`, which then has to be added to your vocabulary.

19. Avoid macros for matching literal phrases like “International Monetary Fund.” Unless you need the wild card matching in macros or want to use only part of a match, use vocabulary tables instead. This will be faster.
20. Macros can be slow because all rules will be checked again after any successful substitution except when an entire match is deleted by a rule. Each substitution will involve much copying and recopying of text in a buffer.
21. Vocabulary building should be the last thing you do. You should define at least a few terms to support early testing, but hold off on the bulk of your vocabulary. Adding vocabulary is easy; adding grammar is complicated and will have many side effects.
22. For large ambiguous grammars, predefine as much vocabulary as possible in order to keep parsing reasonably fast and parse trees smaller. Try to avoid the `UNKN` syntactic type in rules. Use `ellySurvey.py` to find out what tokens appear in the text data you want to process and what status they have with current language definition rules. The file `default.v.elly` (WordNet 3.0) is a good source of possible vocabulary rules specifying parts of speech for words and phrases. It may be missing important terms for a particular content area, however. Add in your own terms as needed, especially multiword terms.
23. Syntactic and semantic features can be quite helpful. The former lets you be more selective about which rules to apply to an analysis; the latter lets you better choose between different interpretations in case of ambiguity. These can reduce the total number of syntax rules, but will add a different complexity to your grammar.
24. PyElly will allow a syntactic category to be associated with only one set of syntactic feature names. Different syntactic categories may share the same set of syntactic features, however. This is necessary when features are inherited across categories.
25. Features are encoded in a grammar table rule and saved in a parse tree node only as *n* anonymous bits. The same feature name may be in different feature sets, but will not necessarily refer to the same feature bit. This can make for bad surprises when trying to inherit syntactic and semantic features through the `*L` or `*R` mechanisms; Make sure that inheritance is only with phrases having the same set of feature names. PyElly will check here, but only when feature names are referenced in rules.
26. You must always say whether feature inheritance in a parse tree is from a left or a right descendant. There is nothing by default for either syntactic or semantic features. All the features from a designated descendant will be inherited, but you can always explicitly turn off particular inherited features afterward.
27. You should avoid defining new feature names beginning with `*`. PyElly will allow this, but it can lead to confusion because such names will normally identify syntactic

and semantic features predefined by PyElly. Also, do not forget the '\*' when you do use one of these PyElly-defined feature names.

28. The \*l, \*r, and \*x syntactic features can be used in ways that disregard their side effects in building parse trees for sentence analysis. This option should be tried only when you have run short of syntactic features in a grammar; and it is most useful at the leaf level of parse tree nodes, where no inheritance is possible anyway.
29. To dump out the entire saved grammar rule file for application A, run `grammarTable.py` with A as an argument. This will also show generative and cognitive semantics, which `ellyBase.py` omits in its diagnostic output.
30. Keep PyElly parse data dumps enabled in PyElly language analysis and learn to read them. This will be the easiest and most helpful way to obtain diagnostic information when an application is not working as expected (usually the case). Full dumps will show all subtrees generated for any ambiguous analyses, even those not incorporated into actual PyElly output; it provides detailed parsing information.
31. PyElly will cut a tree display off at 25 levels of nodes by default. You can adjust this limit in the `ellyMain` and the `ellyBase` command lines, but deeper trees will be hard to read when output must be broken up to fit into the maximum width of a display.
32. If you run into a parsing problem with a long input sentence, try to produce the same problem in with a shorter version of that sentence. This will help to isolate the issue and also make your parse tree dumps easier to read.
33. When a parse fails, the last token in the listing shown in a parse tree dump will show approximately where the failure occurred. It may be a few tokens before, however. Check for a token for which no phrase node was generated. Look also at the last set of goals generated in a bottom-up parsing and see what token position they occur in.
34. If you are working with English input and have not defined syntax rules for handling the inflectional endings -S, -ED, and -ING, a parse will fail on them. The file `default.p.elly` can define certain endings as the syntactic category `SUFFIX`, but you still need something in your grammar rules to do something with them.
35. To verify the execution of a generative semantic procedure, put in a `TRACE` command. This will write to the standard error stream whenever it is run. In a procedure attached to a phrase node, `TRACE` will show the syntactic type and starting position of that phrase node and the grammar rule governing the node. In a named subprocedure, PyElly will also show the first attached generative procedure calling a chain of subprocedures.
36. To see the value of local variables during execution for debugging, use the `SHOW` generative semantic command, which writes to the standard error stream. Remember that both local and global variables will always have string values, with an empty string possible. Local variables may be from further up in a parse tree.

37. To see the contents of your current and your next output buffer at some point in a generative semantic procedure, use the `VIEW` command. This is also a good way to learn what the various PyElly semantic commands do.
38. Minimize the number of `TRACE`, `SHOW`, or `VIEW` commands at one time. Being overwhelmed with too much instrumentation can be as problematic as having too little information. Clean up such commands when no longer needed.
39. Punctuation is tricky to handle. Remember that a hyphen will normally be treated as a token break; for example, `GOOD-BYE` currently becomes `GOOD`, `-`, and `BYE` unless specified as a single term by entity extraction or external vocabulary lookup. An underscore or an apostrophe is not normally a word break.
40. Quotation marks can be quite troublesome, since this may involve special Unicode forms as well as ambiguous uses of the ASCII apostrophe character. PyElly predefines quotation marks, including Unicode variations for formatted text, but these all must still be properly handled by your grammar rules.
41. Default vocabulary rules for punctuation can be overridden by `D`: rules defined by a PyElly application, but only when their cognitive semantics make these particular interpretations preferred by PyElly.
42. Macro substitution will not apply across sentence boundaries. To override punctuation otherwise seen as a sentence stop, define PyElly stop exception rules (described in Subsection 11.1.1). Note that macros can already deal with embedded periods and commas, since these will be seen as non-stopping punctuation.
43. Ambiguity is often seen as a problem in language processing, but PyElly embraces it. Deliberate ambiguity can simplify a grammar. For example, the English word `IN` can be either a preposition or a verb particle. Define rules for both usages with appropriate cognitive semantic plausibility scores and let PyElly figure out which one to apply. To avoid parse node overflow, though, be careful not to overdo this.
44. Ambiguous grammar rules and alternative external vocabulary definitions should be assigned different plausibility scores according to their probability in actual text. Otherwise, PyElly may switch between them arbitrarily when analyzing different sentences in the same session, which is probably not what you want.
45. When assigning plausibility scores to rules, try to keep adjustments either mostly positive or mostly negative. Otherwise, they can cancel each other out in unexpected and often unfortunate ways. Plausibility for a phrase is computed by adding up all the plausibility scores for a phrase and all its immediate constituents.
46. To see what is going on in cognitive semantic logic for a particular phrase node, put `?>>?` as the first clause. This will turn on tracing for subsequent clauses in a rule and write to the standard error stream to verify that the logic is being executed. It will identify which clause is actually used to compute plausibility. This can help to track the generation of new phrase nodes and see what grammar rules are used.

47. When a problem arises in a particular input sentence, run the sentence by itself with `ellyBase.py` to get more diagnostic information. This will show what grammar rule is tied to each phrase node and what ambiguities it is associated with.
48. A common failing is when the wrong interpretation gets the highest plausibility score. To remedy this, identify the phrase nodes contributing most to that score and check their ambiguous counterparts for a better interpretation. This should tell you where plausibility scoring needs adjustment in grammar or vocabulary rules. You may have to go up or down in a parse tree to find which ambiguities to focus on.
49. The predefined `*unique` syntactic feature allows you to control where PyElly ambiguity resolution happens. A phrase marked with that feature will remain unresolved in PyElly parsing even when there is another phrase of the same syntactic type and with the same syntactic features covering the same set of input sentence tokens. This feature cannot be inherited.
50. Ambiguity will produce many possible parsings of a sentence, often leading to exponential growth in total processing. A sentence with four points of ambiguity, each with two possible interpretations, has sixteen possible analyses. The problem worsens in longer sentences with more room for ambiguity. To minimize this problem, avoid input tokens taken to be `UNKN`, and be sparing with the syntactic feature `*unique` in grammar rules. When reasonable, recognize multi-world phrase as a single token. Use RS control characters to partition analyses if possible.
51. The PyElly name recognition capability is based on the idea that we can list out the most common names in a particular domain of text input and that other names will be lexically and phonetically similar and can be contextually inferred. This will reduce the number of `UNKN` tokens seen by PyElly and will help in recognizing isolated surnames after the appearance of a full name.
52. The `name.n.elly` definition file is actually quite diverse, being based on U.S. Census data, but if you are working primarily with foreign names in a particular language like Arabic, Chinese, or Russian, you probably want to extend your tables with sample names from other sources. Do not expect to get away with only a little work here. Current PyElly phonetic signatures reflect American pronunciation and may have to be adjusted to make inferences about foreign names more reliable.
53. Experiment. PyElly offers an abundance of language processing capabilities, and there is often more than one way to do something. Find out what works for you and share your ideas with others.
54. Fix any language definition problems due to typos first. It is easy to make mistakes in keying in names of syntactic categories or names of semantic or syntactic features. Always check the complete listing of grammar symbols in `ellyBase` diagnostic output to verify that there are no unintended ones due to typos.
55. PyElly uses `#` to mark comments in rule files and also as a wildcard matching numeric characters `'0'` through `'9'`. This is a hazard. To be safe, use a backslash (`\`) to

escape a single '#' wildcard in a rule file to make it unambiguous. A space must appear before and after a '#' marking a comment in the middle of an input line.

56. Whenever a grammar or a vocabulary is complex, something will almost always be wrong with it. Check underlying analyses to make sure the PyElly is really doing what you expect; it is not enough for just the final output to look correct. Watch out especially for ambiguous phrases with the same plausibility score.
57. You need to test thoroughly any language definition being developed. Always collect sentences plus their expected translations to cover each grammar rule and each dictionary entry in a language definition. Repeat some sentences in such a set to check for possible variations in the resolution of ambiguities depending on context.
58. In natural language processing, you have to be systematic and committed for the long haul; always rerun your test sets after any significant change in language definition rules. A slight changes in your language definition rules can result in PyElly being unable to translate a test sentences successfully handled previously. Always check as soon as possible after adjustment of rules; waiting here can multiply your problems, which then can be quite difficult to sort out. Be especially careful if you change a `default.*.elly` file because it may affect more than one application and may cause noticeable problems in only some of them.
59. Being able to rewrite  $n$  sentences properly will not guarantee that you can handle the next new sentence. To increase the probability of success here, we have to keep challenging an application with a larger and more diverse sets of target sentences. The `marking` example application can give us an idea of how hard we have to work here. It currently has been tested with over 875 real-world sentences, but it is still easy to find new sentences where its rules will fail. Natural language is complex and hard to model regardless of the approach taken to process it.
60. You may have to scrap some earlier language definitions to make a clean start on handling especially problematic language features. Learn from failures and always be ready to change your processing strategy as needed. PyElly rules can be complicated and interact in unexpected ways.

An adult learning a second language soon discovers how hard it is hard to achieve even a minimum level of fluency. The same is true for building a non-trivial natural language system, even in the age of Siri, Watson, and Google Translate. Tools like PyElly can take you a long way to credible functionality, but you still need to take care of many messy details along the way. It is amazing how we humans can pick up most of this with little apparent effort, but 12 years of parental teaching and formal schooling may have helped.

## 14. PyElly Applications

PyElly is a collection of basic natural language processing capabilities developed independently over many decades. Its applications mainly deal only with various low-level details of language, but together, they cover a wide range of linguistic competence that can be quite helpful in support of NLP in general. Within its limitations, you can produce useful results quite quickly from raw text data.

The best way to show what can be done here is to present a representative sample of actual PyElly applications, both simple and complex. Most of these can be implemented by other means, but it is significant that PyElly can readily handle all of them within its framework of rewriting one language into another according to user-provided rules. PyElly is no one-trick pony.

### 14.1 Current Example Applications

The example applications included in the PyElly distribution package fall into two classes: those used for debugging and validation only and those demonstrating potentially useful NLP functionality. PyElly integration testing consists of running debugging and validation applications plus all of the functional applications on preset test data input files and checking that the results are as expected (See Appendix D).

Below, we shall describe each current example application provided with PyElly and list the language definition files provided with them. These files are in the subdirectory `applcn` of the unpacked distribution package. You can look at the rules in these files to see how to develop rules for your own PyElly applications.

Six example applications are just for support, debugging, or validation:

**default** (`.g,.m,.p,.ptl,.stl,.sx,.v`) - not really an application, but a set of language definition files that will be substituted by PyElly if your application does not specify one explicitly. These include rules for sophisticated morphological stemming, basic stop punctuation exceptions, and vocabulary rules covering most of the terms in WordNet 3.0.

```
input:  - -
output: - -
```

**echo** (`.g,.m,.p,.stl,.v`) - a minimal application that echoes its input after being analyzed by PyElly into separate tokens. It will by default only show inflectional stemming of English words and do basic number transformations. You can disable that stemming in your `ellyMain.py` command line (see Section 7) and replacing the `.stl` file containing rules for the English comparative endings `-er` and `-est`.

```
input:  Her faster reaction startled him two times.
output: her fast -er reaction startle -ed him 2 time -s.
```



**test** (.g,.m,.p,.ptl,.stl,.v) - for testing with a vocabulary of mostly short fake words for faster keyboard entry; its grammar defines only simple phrase structures with a minuscule vocabulary. This essentially replicates the basic testing done to validate PyElly through its early alpha versions and after introduction of various new modules extending PyElly capabilities.

```
input:  nn ve on september 11, 2001.
output: nn ve+on 09/11/2001.
```

**stem** (.g,.m,.p,.v) - to check that PyElly inflectional stemming is properly integrated with both internal and external vocabulary lookup. Such stemming happens across multiple PyElly modules, which requires integration testing to detect the various ways in which problems may arise here.

```
input:  Dog's xx xx xx.
output: dog-'s xx xx xx.
```

**fail** (.g,.m,.p,.v) - to check that PyElly generative semantic FAIL command is properly implemented. This will involve some complicated context switching, which has been problematic.

```
input:  xyz.
output: generative semantic FAIL
        generative semantic FAIL
        /Test 1/== aaxyz
```

The sample output here shows two warning messages from PyElly that the generative semantic FAIL command has been executed, causing a translation to back up to a point of ambiguity in a sentence analysis. This eventually leads to the output as shown above.

**bad** (.g,.h,.m,.n,.p,.sx,.v) - deliberately malformed language rules to test PyElly error detection, reporting, and recovery. No grammar or vocabulary table will be generated here because of the malformed rules, and so PyElly will do no translation here. The files `bad.main.txt` and `bad.main.key` are supplied for use with `doTest`, but are both empty.

```
input:  - -
output: - -
```

The integration test here is just to verify that PyElly can process its ill-formed input crashing. This is helpful in verifying the robustness of PyElly processing.

A second, more substantial, class of applications are mostly derived from various demonstrations developed for PyElly or its predecessors. These have nontrivial examples of language definitions, illustrate the diversity of PyElly capabilities, and provide a basis for broad integration testing of PyElly. Most of the applications are only skeletal prototypes, but you can flesh them out for more operational usage by adding your own vocabulary and grammar rules.

**indexing** (.g,.p,.ptl,.v) - to check removal of purely grammatical words (stopwords), stemming, morphological analysis, and dictionary lookup. By obtaining roots of content words from arbitrary input text, it could predigest input English text for information searching, statistical data mining, or machine learning systems. This application was written for PyElly. It uses `default.stl.elly`.

```
input:  We never had the satisfaction.
output: - - - - satisfy -
```

Note that PyElly will replace each non-content word and each broken-out word prefix or suffix from its input with a hyphen (-) in its output to give an idea of the extent of original text translated by PyElly.

**texting** (.g,.m,.p,.ptl,.stl,.v) - with a big grammar and nontrivial generative semantic procedures. This implements a more or less readable text compression similar to that seen in mobile messaging. This was a demonstration written originally for the Jelly predecessor of PyElly and shows how a full-fledged compression application might be approached.

```
input:  Government is the problem.
output: govt d'prblm.
```

**doctor** (.g,.m,.ptl,.stl,.v) - This has a big grammar taking advantage of PyElly ambiguity handling to choose one of several scripted responses for user input containing specific keywords. It uses the PyElly . . . syntactic type to set up grammar rules to emulate Weizenbaum's Doctor program for Rogerian psychoanalysis, incorporating the full keyword-based script published by him in 1966. The language definition rules were first written for the nlf predecessor of PyElly and then adapted for Jelly and now PyElly.

```
input:  My mother is always after me.
output: CAN YOU THINK OF A SPECIFIC EXAMPLE.
```

**chinese** (.g,.m,.p,.ptl,.v) - a test of PyElly Unicode handling, translating simple English into either traditional [tra] or simplified [sim] Chinese characters. Both the grammar and the vocabulary of this application are still in progress.

```
input:  they sold those three big cars.
output: [sim]他们卖了那三辆大汽车.
output: [tra]他們賣了那三輛大汽車.
```

In actual operation, only one form of Chinese output will be shown at a time. You get traditional character output when `ellyMain.py` is run with the flag `-g tra`. The default is simplified output as with the option `-g sim`. The current integration test is with traditional characters. Work on this application started in Jelly, but this was greatly expanded in PyElly where most of the rule development was done.

**querying** (.g,.m,.ptl,.stl,.v) - heuristically rewrites English queries into SQL commands directed at a structured database of Soviet Cold War aircraft organized into multiple tables. This is a reworking of language definition files for the very first nontrivial application written for the PARLEZ and AQF predecessors of PyElly; it was updated in PyElly to produce SQL output.

```
input:  how high can the foxbat fly?
output: from Ai a,AiPe b
        select ALTD
        where NTNM=foxbat,a.NTNM=b.NTNM
        ;
```

Table and field names here are abbreviations: Ai is “aircraft,” AiPe is “aircraft performance,” ALTD is “altitude,” NTNM is “NATO name,” and so forth. The original AQF system aimed to make such cryptic names transparent to database users as well as to hide the mechanics of query formation.

**marking** (.g,.m,.p,.v) - rewrite raw text with shallow XML tagging, a canonic PyElly application. This shows how a data scientist might preprocess unrestricted raw text for easier mining. It has evolved to have the most complex grammar and vocabulary rules of all PyElly example applications and is especially notable in its extensive cognitive semantics.

```
input:  The rocket booster will carry two satellites into orbit.
output: <sent>
        <nclu><det>the</det><noun>rocket booster</noun></nclu>
        <vclu><aux>will</aux><verb>carry</verb></vclu>
        <nclu><num>2</num><noun>satellite -s</noun></nclu>
        <nclu><prep>into</prep><noun>orbit</noun></nclu>
        <punc>.</punc></sent>
```

This application is still evolving in its language definition rules. It is the biggest part of the PyElly integration test suite with a large and growing input set of sample text from the Worldwide Web. Such test data has been quite challenging and should give you a better appreciation of the complexity of processing any natural language. See Appendix F for more discussion.

**name** (.g,.m,.n,.p,.ptl,.stl,.v) - identify personal names in part or in whole within raw text both by lookup and by inference. This capability could eventually be merged with other example applications like **marking**, but it has been kept separate as an integration test validating the special name recognition modules. It became available in PyElly entity extraction as of release v1.1.

```
input:  John Adams married Abigail Smith of Weymouth in 1764.
output: "John Adams"
        "Abigail Smith"
```

The application uses a rule table based on 2010 U.S. Census data. It recognizes the 1,000 most common surnames, the 900 most common male names, and the 1,000

most common female names; and it can infer other name components from context and from their similarity to known names. This heuristic logic is still experimental; it is a reworking of a name searching demonstration built in the 1990's using C.

**disambig** (.g,.h,.stl,.v) - disambiguation with a PyElly conceptual hierarchy by checking the semantic context of an ambiguous term. This is only a first try and still crude compared to the other applications listed here. It was written mainly as an integration test focusing on cognitive semantics. Its output is a numerical scoring of semantic relatedness between pairs of possibly ambiguous terms in its input and showing the generality of their intersection in a conceptual hierarchy. Output will show with the actual WordNet 3.1 concepts assigned to input words by PyElly.

```
input:  bass fish.  
output: 11 00015568N=animal0n: bass0n/[bass] fish0n/[fish]
```

This uses the PyElly output option to show the plausibility of a translation along with the translation itself, which is right of the second colon (:) in the output line. The plausibility will be left of that colon. The output score seen above is 11, which is high, and the output also includes the concepts associated with a sentence analysis. The example above shows that the intersection of `bass` and `fish` is under the WordNet concept `00015568n`, which bears the label `animal0n`.

These eight example applications show just some of the simple translations of natural language you can do. All the processing is still rather basic—no deep learning or detailed world models, but it calls for extensive linguistic competence and should give you helpful examples in how to produce useful results over a broad range of text data.

## 14.2 Building Your Own Applications

The PyElly example applications are just a few possibilities for NLP short of full understanding. The purpose of PyElly from an educational standpoint is to encourage you to create your own unique projects. This will require thinking and tinkering, but then you will be the one learning, not some neural net.

In general, a good candidate application for PyElly implementation should meet the following conditions:

1. Your input data is UTF-8 Unicode text divisible into sentences consisting of ASCII, Latin 1 Supplement, Latin Extender A and B, and special punctuation characters. This need not be English, but that is where PyElly offers the most builtin support.
2. Your intended output will be translations of fairly short input sentences into arbitrary Unicode text in UTF-8 encoding, not necessarily in sentences.
3. No world knowledge is required in the translation of input to output except for what might be expected in a basic dictionary.

4. You understand what your translation would involve and accomplish at least on a broad scale and mainly need some support in automating it.
5. Your defined vocabulary is limited enough for you to specify manually with the help of a text editor like vi or emacs, and you can tolerate everything else being treated as the `UNKN` syntactic type.
6. Your computing platform has Python 2.7.\* installed. This will be needed both to develop your language rules and to run your intended application.
7. You are comfortable with trial and error development of language definition files and are willing to put up with idiosyncratic non-commercial software.

Familiarity with the Python language will help here, but is not critical. You will, however, definitely have to write the code for PyElly cognitive and generative semantics. All PyElly rule definition will generally be nontrivial, but it will be in a highly restricted framework, which should be straightforward for someone with basic coding experience.

To build a PyElly application `A`, you first need to define its rules through various language definition files. In the extreme case, these would include `A.g.elly` (grammar), `A.v.elly` (vocabulary), `A.m.elly` (macro substitutions), `A.p.elly` (syntactic type patterns), `A.n.elly` (name components), `A.ptl.elly` (prefix removal rules), `A.stl.elly` (suffix removal rules), `A.h.elly` (semantic concept hierarchy), and `A.sx.elly` (stop punctuation exceptions). Only `A.g.elly` is mandatory; the rest can be either be empty files or omitted. If you do omit a definition file, the respective substitution from `default` will be loaded instead.

Here are three fairly simple application projects you can quickly build as a way of getting to know PyElly better:

- A translator from English to pig Latin.
- A bowdlerizer to replace objectionable terms in text with sanitized ones.
- A part of speech tagger for English words using only morphological analysis.

If you are more ambitious, you might try to tackle some applications comparable to the ones included in the PyElly distribution package. These probably cannot be completed as a short-term project, but the goal here would be mainly to show the possibilities for a particular translation approach.:

**translit** - transliterate English words into a non-Latin alphabet or into syllabic or ideographic representation. Some research will be needed to make the output plausible as a demonstration to be seen by native speakers of a target language.

**editing** - detect and rewrite verbose English into concise English, correcting common misspellings and removing clichés.

**anonymizing** - remove identifying elements from text: names, telephone numbers, addresses, and so forth.

**nomenclature** - identify standard chemical names in text. This is to allow for more accurate processing of technical text, as such names are often mangled otherwise. This will probably require writing some special PyElly entity extraction modules.

**preprocessing** - a reformatter of raw text data into more structured input friendlier to the input layer of a deep neural network. This is probably more appropriate for long-term research, but it should be instructive to see whether computational linguistics can somehow reduce the dimensionality of text input data for a particular learning problem.

You might also try combining the rules from several current example applications, like the grammar rules of **marking** with the name recognition of **name** with the big WordNet vocabulary of **default**. In the example applications already implemented, PyElly grammar rules have only been in the hundreds and vocabulary rules only in the thousands, but these can be extended further with the investment of a few months or even a few weeks of work.

If your application requires change to a `default.*.elly` definition file, make sure that other applications referring to it will still work. To be fully safe, always delete every saved `*.elly.bin` file after the change so that PyElly rebuilds them all. Then rerun all your integration tests.

Eventually, someone could write an application for non-English input. PyElly currently can recognize input characters from the first four Unicode blocks plus a few extras as text, allowing to you process French, Spanish, German, Czech, Hungarian, and other Western European language as well as Pinyin for Chinese. You need new rules for inflectional and morphological analysis for other inflected languages, though, as PyElly has resources so far only for American English.

## 15. Going Forward

As evident from this long user's manual, PyElly offers many tools and resources for practical computational linguistics. Most of them are still evolving, and their documentation probably also could be better; but the system has been under testing since 2013 and has become fairly reliable and is available to run right now. You need to understand its limitations, but within them, you can achieve useful results. Natural language text data will remain important in the coming decades, and PyElly will give you another processing option here in dealing with all its messy details.

### 15.1 What PyElly Tries To Do

PyElly is old-fashioned in its use of manually crafted rules to describe text data for processing. This contrasts with current practices favoring unsupervised automatic machine learning of language structure from large samples of text data. Machine learning reduces human labor of course; but a rule-based approach can still be advantageous if someone else has already laid out most of the rules in a usable form.

In particular, PyElly provides various integrated prebuilt capabilities like English inflectional stemming and morphological analysis, American name recognition, and sentence delineation. None of these are “game-changing” technologies, but they do provide non-trivial basic support. Even when your primary analysis will rely mainly on machine learning, a little linguistic sophistication about your data can reduce the dimensionality of your problem space and speed your finding of practical solutions.

The challenge is that natural language operates at many different levels: orthography (spelling), morphology, lexicography (parts of speech), syntax, semantics, and pragmatics (word ordering for emphasis). Competence in processing text at higher levels depends on doing it first at lower levels, where a system like PyElly is already strong. So if we want to build higher-level NLP systems quickly, we should not condemn ourselves to reinvent the wheel at every level at every turn.

In particular, take the problems of punctuation, capitalization, and diacritical marks in raw text data. A data scientist might be tempted to ignore all of this to simplify processing, but this throws away information that people still do preserve in most new text now being generated. With such information, we can better break up text into sentences and better judge the roles of words in text. You can tell if a word is being used denotatively in a name like “Tenderweet Clams™” or connotatively in a description. That can make a difference in most data mining applications.

The structure of text data is complex, and exploiting its content depends on how well a machine can actually read it. For simple processing, treating text as a bag of words is often good enough, but only for a basement level of understanding. To do better, we must be smarter about language than an eight-year-old. It is tempting just to let a neural net take care of all linguistic details, but if English, Japanese, Arabic, Mayan, and Turkish are all the same to that neural net, then it is probably missing a lot.

For English in particular, even simple knowledge about its -S, -ED, and -ING endings can help in processing it more effectively. Add in all the other kinds of knowledge built into PyElly or captured by PyElly rules, and we can quickly get ahead of the game in data mining. In theory, a deep learning can learn all of this automatically, but the number of different rules that have to be learned for any natural language is much larger than most people expect. Otherwise, why do most non-native speakers have limited facility with a language even after years of being surrounded by it?

PyElly will let users control what aspects of their text data to focus on. For example, to process text from a large corpus of recipes, we might want to identify beforehand basic elements such as equipment, measurements, cooking techniques, common ingredients, and descriptions of texture and taste. This can greatly reduce noise in deep learning so that we can avoid getting terms like “one tsp” as a major feature for distinguishing recipes. Machine learning should never be made harder than it needs to be.

Any PyElly application will of course translate its text input imperfectly, but even this will often be a significant improvement over an approach ignoring the structure of its text data. If a PyElly translation needs to improve, you can always add rules to address specific deficiencies. You can also change PyElly code itself. In line with modern software engineering, PyElly will never be completely finished.

Remember that PyElly applications are never meant to pass any kind of Turing test. PyElly works with minimal world knowledge and lacks full reasoning and common sense, limiting its degree of language understanding. This sounds like a handicap, but it means that PyElly can focus on what it is good at. Think of it as being like a high-end food processor in the kitchen, which can make a skilled chef more productive, but will be by itself no guarantee of Michelin three-star cuisine at every meal.

PyElly has already gone far beyond its original PARLEZ roots. In its future, we hope to improve various non-intrinsic capabilities like entity extraction, handling of semantic concepts, and name recognition. Basic PyElly algorithms could also be better tuned for efficiency and resilience, especially in ambiguity resolution. Eventually this should all lead to a successor to PyElly. We are still learning about natural languages in general and how to process them in particular.

A major breakthrough would be welcome of course, but PyElly and its predecessors have been more about continually accumulating and integrating various kinds of basic linguistic competence over time. As we gain experience in building more applications, this will guide PyElly development in new directions. Progress may seem slow, but we are engaged with NLP for the long term; and whatever we learn stays learned.

## 15.2 Practical Experience in PyElly Usage

The `PyEllymarking` example application can give you an idea of what to expect in a big NLP project. Its original goal was to test the limits of PyElly by attempting a shallow XML markup of actual raw English text from the Worldwide Web. At the onset, it was unclear how best to attack this problem and how usable PyElly would be for processing



fairly complex input. Shallow markup seemed likely to require at least an order of magnitude more rules than previous PyElly example applications.

Appendix F describes the details of how the `marking` application evolved. Our focus here will be on PyElly itself and its performance on a challenging real-world task. The basic approach was to start out with a simple grammar and vocabulary and then try to mark up a large set of target sentences. PyElly will often fail on unfamiliar input, but by adjusting its rules, we can often get an acceptable markup for problematic sentences. Those changes in rules of course had to preserve any previous successful markups.

The `marking` language definition at first consisted only of 132 grammar rules, 161 vocabulary rules, 28 pattern rules, and 0 macro substitution rules. This had been checked out beforehand with some simple input before graduating to real Web text. The first sample from the Web to mark up consisted of 22 segments of text taken from different sources with 129 sentences altogether. Every segment was processed as a single continuous input in which PyElly had to figure out the sentence boundaries.

The hope was that PyElly language definition rules would steadily expand and improve as they evolved to produce acceptable markups for each new segment. Ideally, markup failures should decline with more rules covering more language features. No such decline showed up, however. Furthermore, the processing of real text was a good way of uncovering many previously undetected problems in PyElly Python code. It meant that problematic sentences often took days of work to get a proper rewriting into XML.

Despite the hurdles, however, PyElly eventually processed all 129 sentences of the first Web sample successfully. This showed that PyElly was capable of heavy-duty work, although the viability of the markup example application was still not yet established. More testing was needed, and so another five samples with 759 sentences were eventually collected from eighty Web sources. These took about two years to mark up successfully. It required the `marking` language definition to grow to 612 grammar rules, 6,157 vocabulary rules, 97 pattern rules, and 50 macro substitution rules.

We still cannot say that the `marking` application is finished. The failures when processing the last segment of the sixth sample for the first time followed about the same pattern as seen with the first segment of the first sample. So, we are at a decision point. We can collect more data from the Web and just carry on, but even with a hundred more text segments to process, we may not yet see closure. Natural language does seem to be that complicated.

As it stands, however, PyElly still has room for more language definition rules. The loading of rules from definition files has so far been manageable for the `marking` application, and processing with them has not pushed PyElly anywhere near a breaking point. Sentences now take an average of about 2 seconds for markup, although most sentences can be processed in less than a second. This performance is reasonable for Python code running interpretively without concerted optimization.

On the whole, the results of the markup experiment have been mainly positive. It has been a good way of learning about the vagaries of English text on the Web, it has helped to test more parts of PyElly more thoroughly, it has validated PyElly as an integrated tool able to go beyond operating in a sandbox, and it shows that shallow markup is an achievable goal, although creating a shrink wrap markup product will still take much more work.

So it seems appropriate to continue presenting the `marking` application with more Web text until something force us to halt. This would let us do more stress testing and validating of PyElly Python code. At the present stage, we may not want to do all the work to get acceptable markups for every sentence in the new text segments, but would at least adjust rules to avoid any PyElly parsing failures here. In this way, the PyElly `marking` application should continue to improve and PyElly itself should gain credibility as an NLP toolkit.

## 15.3 Next Steps

The entire PyElly open-source package is free and compact enough for home use. It will operate at a more detailed level than other natural language toolkits, but this should help students and experimenters to get a better feel for the nuts and bolts of natural language processing and to discover how basic capabilities work. Unrestricted text data will always be hard to handle, and NLP practitioners need to learn where all the potholes lie on the road and how to maneuver around them.

PyElly can be intimidating in its complexity with so many different kinds of language rules somehow coordinating to produce a result. This complexity is appropriate for natural language, however. No one sat down to design a language like English, Chinese, Amharic, or Quechua from logical first principles. They evolved haphazardly over thousands of years according to changing local requirements. So we should not expect that any set of rules for processing them will be simple.

The good news is that the rule-based translation framework of PyElly seems to hold up at least to a level of many hundreds of grammar rules and many thousands of vocabulary rules, not to mention all the other PyElly rule types in a single application. We really need all of these rules even to accomplish even the most elementary kinds of sentence rewriting. This situation may change as the number of rules and rule types grows, but that is where we need to keep working.

It is possible that we could spend another forty years just tinkering with various computational linguistic tools. In the 1980's, the artificial intelligence community thought that they had everything they needed to understand natural language within a decade or so, but no one should be that confident today, even with deep learning. A more realistic goal is for us just to continue making progress through sustained labor as well as seeking sudden inspiration. We can all help to blaze some trails here; and the more people working here, the better.

A more general takeaway here is that, for any nontrivial natural language processing, we also need to spend some serious time with linguistic details. Our own human skills for language understanding are complicated and need to be reflected in our processing algorithms. The `marking` samples show clearly that even apparently simple text analysis of real-world data will require having many language or their equivalent. This even if the analysis will deploy deep neural nets or other machine learning. Real linguistics is far from being obsolete yet for data scientists.

PyElly provides a framework to exploit human expertise in the processing of natural language. Yes, an unsupervised neural net in theory can learn everything automatically with enough training data, but one is asking here for the equivalent of the twelve years of exposure to language for a human child in the home, the streets, in schools, and in various media. Except in cases of child abuse, much of such exposure also will involve extensive supervised learning, even with a neural net.

Try PyElly on your favorite text corpora and see whether its translations can improve how your current or future data analysis and mining technology performs. Share with others any new PyElly rules you develop or old rules you have refined. Any criticisms or suggestions about PyElly itself will be welcome, and of course, you may freely improve on the PyElly open-source Python code and share this with everyone else. You might even decide to build your own comprehensive open-source natural language processing tool. Happy explorations in any event!

## Appendix A. Python Implementation

This appendix is for Python programmers. You can run PyElly without knowing its underlying implementation, but at some point, you may want to modify PyElly or embed it within some larger information system. The Python source code for PyElly is released under a BSD license, which allows you to change it freely as needed. You can download it from

`https://github.com/prohippo/pyelly.git`

PyElly was written in Python 2.7.5 under Mac OS X 10.9 and 10.10; it will not run under earlier versions of Python because of changes in the language. To implement its external vocabulary tables, PyElly v1.2+ no longer requires the Berkeley Database (BDb) database manager or the `bsddb3` third-party BDb Python API wrapper. The PyElly code with BDb in release v1.1 or earlier should be discarded because a GPL copyleft license would be required for it, greatly limiting freedom of use. Vocabulary table lookup code has also evolved greatly in PyElly v1.2 and v1.3.

Currently, the PyElly v1.3+ source code consists of 64 Python modules, each a text file named with the suffix `.py`. All modules were written to be self-documenting through the standard Python `pydoc` utility. When executed in the directory of PyElly modules, the command

```
pydoc -w x
```

will create an `x.HTML` file describing the Python module `x.py`.

The code was written neither for speed of execution nor for space efficiency. This is normal in Python development practice, however, because it is an interpreted language, and it is consistent with PyElly's emphasis on quickly putting together a broad range of functionality for doing useful language processing right now. Although the code has become fairly stable through extensive testing, it remains experimental and still keeps many debugging print statements that can be reactivated by uncommenting them.

The algorithms underlying PyElly have become somewhat intricate after decades of tinkering. Section 12 of this manual does describe the bottom-up parsing approach of PyElly, but other important aspects of the system have to be gleaned from source code. The most notable of these are wildcard string matching, macro substitution, the non-deterministic finite automaton for token pattern matching, compiling PyElly code for generative and cognitive semantics, and multi-element vocabulary table lookup.

Here is a listing of all 64 current PyElly Python modules grouped by functionality. Some non-code definition and data files are also included below when integral to the operation or builtin unit testing of the modules there. They are shown in the dark-shaded rows of the tables.

## PyElly User's Manual

Inflectional Stemmer (English)	
<code>ellyphemmer.py</code>	base class for inflection stemming
<code>inflectionStemmerEN.py</code>	English inflection stemming
<code>stemLogic.py</code>	class for stemming logic
<code>Stbl.sl</code>	remove -S ending
<code>EDtbl.sl</code>	remove -ED ending
<code>Ttbl.sl</code>	remove -T ending, equivalent to -ED
<code>Ntbl.sl</code>	remove -N ending, a marker of a past participle
<code>INGtbl.sl</code>	remove -ING ending
<code>rest-tbl.sl</code>	restore root as word
<code>spec-tbl.sl</code>	restore special cases
<code>undb-tbl.sl</code>	undouble final consonant of stemming result

Tokenization	
<code>ellyphToken.py</code>	class for linguistic tokens in PyElly analysis
<code>ellyphBuffer.py</code>	for manipulating text input
<code>ellyphBufferEN.py</code>	manipulating text input with English inflection stemming
<code>substitutionBuffer.py</code>	manipulating text input with macro substitutions
<code>macroTable.py</code>	for storing macro substitution rules
<code>patternTable.py</code>	extraction and syntactic typing by FSA with pattern matching

## PyElly User's Manual

Parsing	
<code>symbolTable.py</code>	for names of syntactic types, syntactic features, generative semantic subprocedures, global variables
<code>syntaxSpecification.py</code>	syntax specification for PyElly grammar rules
<code>featureSpecification.py</code>	syntactic and semantic features for PyElly grammar rules
<code>grammarTable.py</code>	for grammar rules and internal dictionary entries
<code>grammarRule.py</code>	for representing syntax rules
<code>derivabilityMatrix.py</code>	for establishing derivability of one syntax type from another so that one can make bottom-up parsing do nothing that top-down parsing would not
<code>ellyphits.py</code>	bit-handling for parsing and semantics
<code>parseTreeBase.py</code>	low-level parsing structures and methods
<code>parseTreeBottomUp.py</code>	bottom-up parsing structures and methods
<code>parseTree.py</code>	the core PyElly parsing algorithm
<code>parseTreeWithDisplay.py</code>	parse tree with methods to dump data for diagnostics

Semantics	
<code>generativeDefiner.py</code>	define generative semantic procedure
<code>generativeProcedure.py</code>	generative semantic procedure
<code>cognitiveDefiner.py</code>	define cognitive semantic logic
<code>cognitiveProcedure.py</code>	cognitive semantic logic
<code>semanticCommand.py</code>	cognitive and generative semantic operations
<code>conceptualHierarchy.py</code>	concepts for cognitive semantics

Sentences and Punctuation	
<code>ellyphCharInputStream.py</code>	single char input stream reading with <code>unread()</code> and reformatting
<code>ellyphSentenceReader.py</code>	divide text input into sentences
<code>stopExceptions.py</code>	recognize stop punctuation exceptions in text
<code>exoticPunctuation.py</code>	recognize nonstandard punctuation
<code>punctuationRecognizer.py</code>	define single-character punctuation defaults for English

## PyElly User's Manual

Morphology	
<code>treeLogic.py</code>	binary decision logic base class for affix matching
<code>suffixTreeLogic.py</code>	for handling suffixes
<code>prefixTreeLogic.py</code>	for handling prefixes
<code>morphologyAnalyzer.py</code>	do morphological analysis of tokens

Entity Extraction	
<code>entityExtractor.py</code>	runs Python entity extraction procedures
<code>extractionProcedure.py</code>	some predefined Python entity extraction procedures
<code>simpleTransform.py</code>	basic support for text transformations and handling of spelled out numbers
<code>dateTransform.py</code>	extraction procedure to recognize and normalize dates
<code>timeTransform.py</code>	extraction procedure to recognize and normalize times of day
<code>nameRecognition.py</code>	identify personal names
<code>digraphEN.py</code>	letter digraphs to establish plausibility of possible new name component
<code>phondexEN.py</code>	get phonetic encoding of possible name component
<code>nameTable.py</code>	defines specific name components

Top Level	
<code>ellyConfiguration.py</code>	define PyElly parameters for input translation
<code>ellySession.py</code>	save parameters of interactive session
<code>ellyDefinition.py</code>	language rules and vocabulary saving and loading
<code>ellyPickle.py</code>	basic loading and saving of Elly language definition objects
<code>interpretiveContext.py</code>	handles integration of sentence parsing and interpretation
<code>ellyBase.py</code>	principal module for processing single sentences
<code>ellyMain.py</code>	top-level main module with sentence recognition
<code>ellySurvey.py</code>	top-level vocabulary analysis and development tool
<code>dumpEllyGrammar.py</code>	methods to dump out an entire grammar table

External Database	
<code>vocabularyTable.py</code>	interface to external vocabulary database
<code>vocabularyElement.py</code>	internal binary form of external vocabulary record

Test Support	
<code>parseTest.py</code>	support unit testing of parse tree modules
<code>stemTest.py</code>	test stemming with examples from standard input
<code>procedureTestFrame.py</code>	support unit test of semantic procedures
<code>generativeDefinerTest.txt</code>	to support unit test for building of generative semantic procedures
<code>cognitiveDefinerTest.txt</code>	to support unit test for building of cognitive semantic procedures
<code>suffixTest.txt</code>	to support comprehensive unit test with list of cases to handle
<code>morphologyTest.txt</code>	to support unit test with prefix and suffix tree logic plus inflectional stemming
<code>sentenceTestData.txt</code>	to support unit test of sentence extraction
<code>testProcedure.*.txt</code>	to run with the <code>generativeProcedure.py</code> unit test to verify correct implementation of generative semantic operations
<code>*.main.txt</code>	Input text for integration testing
<code>*.main.key</code>	Expected output text for integration testing with provided input

All `*.py` and `*.sl` files listed above are distributed together in a single directory along with `*.main.*` integration test files. The `*.txt` files for unit testing will be in a subdirectory `forTesting`.

The first `vo.1beta` version of the Python code in PyElly was written in 2013 with some preparatory work done in November and December of 2012. This was an extensive reworking and expansion of the Java code for its Jelly predecessor, making it no longer compatible with Jelly language definition files. PyElly `v1.0` moved beyond beta status as of December 14, 2014, but active development continues. The latest release is `v1.4.16.1`.

The emphasis in PyElly is now moving away from adding on new Python modules and moving towards better reliability and usability. Existing modules will continue to evolve, but mainly to provide better support for building real-world applications to process unrestricted natural language text. This will involve the eventual construction of big grammars and big vocabularies, which should be the ultimate test of any natural language toolkit.



## Appendix B. Historical Background

PyElly natural language tools have evolved greatly in the course of being completely written or rewritten five times in five different languages over the past forty years. Nevertheless, it retains much of the flavor of the original PDP-11 assembly language implementation of PARLEZ. Writing such low-level code forced PyElly software architecture to be simple, a help in porting the system to later computing platforms.

The PARLEZ system, for example, had its own stripped-down custom language for generative semantics because nothing else was available at the time. That solution is platform-independent, however, and so has been carried along with only a few changes and additions in systems up to and including PyElly for generating translated text output. And, sorry, arithmetic is still unsupported.

PyElly departs in major ways even from its immediate predecessor Jelly.

- The inflectional stemmer has simplified its basic operations and has eliminated internal recursive calling. Stemming logic is now in editable text files for loading at run-time. The number of special cases recognized in English has been greatly expanded. The -N and -T English inflectional past tense endings were added.
- Morphological analysis from Jelly was enhanced to include proper identification of removed prefixes and suffixes as well as returning stems (lemmas). This results in a true analytic stemmer, appropriate to a general natural language tool. English suffix recognition was greatly expanded and now covers most WordNet “exceptions.”
- The syntactic type recognizer was upgraded to employ an explicit non-deterministic finite-state automaton with transitions made when an initial part of an input string matches a specified pattern with possible wildcards at a state. A special null pattern was added for more flexibility in defining automata state changes.
- New execution control options were added to generative semantics. Local and global variables were changed to store string values, and list and queue operations were defined for local variables. Deleted buffer text can now be recovered in a local variable. Support for debugging and tracing semantic procedures was expanded.
- Semantic concepts were added to cognitive semantics for ambiguity handling. This makes use of a new semantic hierarchy with information derived from WordNet.
- Vocabulary tables were made more easily managed by employing the SQLite package to manage persistent external data. An external vocabulary rule was limited to a single input line to facilitate automatic compilation of definitions en masse.
- Support for recognizing and remembering personal names or name fragments is now available in PyElly entity extraction. This is based on 2010 U.S. Census data.
- A new interpretive context class was introduced to coordinate execution of generative semantic procedures and consolidate data structures for parsing and rewriting.

- Handling of Unicode was improved. UTF-8 is now allowed in all language definition files and in interactive PyElly input and output. Non-data parts of rules are still ASCII.
- Ambiguity resolution was completely overhauled with expanded cognitive semantics.
- Control character recognition in input allows for closer management of the explosion of phrase nodes due to ambiguity.
- Sentence and punctuation processing is cleaner and more comprehensive. Unicode formatted punctuation like “ and ” and small Greek letters can now be recognized.
- The PyElly command line interface was reworked to support new initialization and rewriting options.
- Error handling and reporting has been broadened for the definition of language rules. Warnings have also been added for common problems in definitions.
- New unit tests have been attached to major modules. Integration tests are with existing example applications developed to exercise a broad range of PyElly features.
- New example applications have also been written to show the broad range of PyElly processing. These also serve as integration tests to validate PyElly natural language capabilities; older example applications from Jelly and earlier systems were converted to run in PyElly.
- Many bugs from Jelly or earlier were uncovered by extensive testing and fixed.

Jelly is now superseded and retired, reflecting the current greater importance of scripting languages like Python in software development and education.

PyElly is by no means perfect or complete and might be rewritten in yet another programming language as computing practices change. The goal here, however, is less a long-term utopian system than an integrated set of reliable natural language processing tools and resources immediately helpful to people building practical natural language applications. Many PyElly tools and resources will seem dated to some technologists; but these have had time to mature and prove their usefulness and to continue evolving. There is no point in continually having to reinvent or relearn such capabilities.

Going forward, we want to implement and demonstrate a robust capability to process arbitrary English text in a nontrivial way. Currently, this goal has been explored in the context of the `marking` example application begun just after the v1.0 release of PyElly. The language definition problem in `marking` is quite complex and has been helpful in exercising almost all of PyElly except for conceptual hierarchies.

This PyElly User's Manual revises, reorganizes, and greatly extends the earlier one for Jelly, but still retains major parts from the original PARLEZ Non-User's Guide, as it was printed out on an early dot-matrix printer. Editing for clarity, accuracy, and completeness continues. Check <https://github.com/prohippo/pyelly.git> for the latest PDF for the manual.

## Appendix C. Berkeley Database and SQLite

Berkeley Database is an open-source database package available by license from Oracle Corporation, which in 2006 bought SleepyCat, the company holding the BDb copyright. BDb was the original basis for PyElly external vocabulary tables, but has been replaced by SQLite because of changes in Oracle licensing policy for BDb.

The current PyElly v1.2+ vocabulary tables with SQLite should incur no noticeable performance penalty despite having to access all persistent data through an SQL interface instead of function calls. SQLite will allow PyElly to remain under a BSD license, since SQLite is included in the Python 2.7.\* and 3.\* libraries. It does not have to be downloaded separately.

If running with Berkeley Database is really important, you can download it yourself and return to the former PyElly vocabulary table code in v1.1. The latest versions of BDb do come with a full GNU copyleft license, though, with possibly entailing unattractive legal implications. The apparent intent of Oracle here is to compel many users of BDb to buy a commercial license instead of using free open-source code.

The Python source of `vocabularyTable.py` in the previous PyElly v1.1 release has NOT been updated, however, to display a GNU copyleft license as required for use of Bdb. That will not happen unless there is a reason to fork off a specific BDb version of PyElly in the future.

Downloading Berkeley Database and making it available in Python is a complex process depending on your target operating system. You will typically need Unix utilities to unpack, compile, and link source code. For background on Berkeley Database, see

[http://en.wikipedia.org/wiki/Berkeley\\_DB](http://en.wikipedia.org/wiki/Berkeley_DB)

For software downloads, you must go to the Oracle website

<http://www.oracle.com/technetwork/database/database-technologies/berkeleydb/downloads/index.html>

to get the latest Berkeley Database distribution file. The instructions for doing so on a Unix system can be viewed in a browser by opening the Berkeley Database documentation file:

`db-*/docs/installation/build_unix.html`

The installation procedure should be fairly straightforward for anyone familiar with Unix. An actual MacOS X walkthrough of such compilation and installation can be found on the Web at

<https://code.google.com/p/tonatiuh/wiki/InstallingBerkeleyDBForMac>

To access Berkeley Database from Python, you must next download and install the bsddb3 package from the web. This is available from

<https://pypi.python.org/pypi/bsddb3>

The entire installation procedure turns out to be quite complicated, however, and difficult to carry out directly from a command line. The problem is with dependencies where a module A cannot be installed unless module B is first installed. Unfortunately, such dependencies can cascade unpredictably in different environments, so that one fixed set of instructions cannot always guarantee success.

To avoid missteps and all the ensuing frustrations, the best approach is use a software package manager that will trace out all module dependencies and formulate a workable installation path automatically. On MacOS X, several package managers are available, but the current favorite is `homebrew`. See this link for general details:

[http://en.wikipedia.org/wiki/Homebrew\\_\(package\\_management\\_software\)](http://en.wikipedia.org/wiki/Homebrew_(package_management_software))

As it turns out, `homebrew` will also handle the installation of Berkeley Database and the upgrading of Python on MacOS X to version 2.7.5 (recommended for `bsddb3`). If you have a MacOS system with Xcode already installed, you can follow these steps to download the `homebrew` package and use its `brew` and `pip` commands to get Berkeley Database:

```
# get homebrew
ruby -e "$(curl -fsSL https://raw.githubusercontent.com/Homebrew/install/master/install)"

# get latest Python
brew install python --framework

# get BdB
brew install berkeley-db
sudo BERKELEYDB_DIR=/usr/local/Cellar/berkeley-db/5.3.21/ pip install bsddb3
```

(This procedure is subject to change. See the latest pertinent webpages for the most current information.)

This web page explains what is going on here:

<http://stackoverflow.com/questions/16003224/installing-bsddb-package-python>

The `homebrew` package manager is helpful because it maintains a shared community library of tested installation “formulas” to work with. These resources are specific to MacOS X, however, making `homebrew` inapplicable to Windows or even Linux or other Unix operating systems. If you are running on a non-MacOS X platform, you have to turn to other software package managers; see

[http://en.wikipedia.org/wiki/List\\_of\\_software\\_package\\_management\\_systems](http://en.wikipedia.org/wiki/List_of_software_package_management_systems)

Some of these managers implement parallels to `homebrew` commands, but you will have to check what parts are actually equivalent.

## Appendix D. PyElly System Testing

After any major change to PyElly source code, you should thoroughly validate the resulting system. Check that every module passes muster with the PyElly interpreter and run the current suite of unit and integration tests in the PyElly package.

The following PyElly Python modules have builtin unit tests, executed with the command `python M.py`, where `M` is the module name:

<code>cognitiveDefiner</code>	<code>cognitiveProcedure</code>	<code>conceptualHierarchy</code>
<code>conceptualWeighting</code>	<code>dateTransform</code>	<code>derivabilityMatrix</code>
<code>dumpEllyGrammar</code>	<code>ellyBits</code>	<code>ellyBuffer</code>
<code>ellyBufferEN</code>	<code>ellyChar</code>	<code>ellyCharInputStream</code>
<code>ellyDefinition</code>	<code>ellyDefinitionReader</code>	<code>ellySentenceReader</code>
<code>ellySurvey</code>	<code>ellyWildcard</code>	<code>entityExtractor</code>
<code>extractionProcedure</code>	<code>featureSpecification</code>	<code>generativeDefiner</code>
<code>generativeProcedure</code>	<code>grammarRule</code>	<code>grammarTable</code>
<code>inflectionStemmerEN</code>	<code>macroTable</code>	<code>morphologyAnalyzer</code>
<code>nameRecognition</code>	<code>nameTable</code>	<code>parseTreeBase</code>
<code>parseTreeBottomUp</code>	<code>parseTreeWithDisplay</code>	<code>patternTable</code>
<code>phondexEN</code>	<code>prefixTreeLogic</code>	<code>punctuationRecognizer</code>
<code>simpleTransform</code>	<code>stemLogic</code>	<code>stopExceptions</code>
<code>substitutionBuffer</code>	<code>suffixTreeLogic</code>	<code>syntaxSpecification</code>
<code>timeTransform</code>	<code>treeLogic</code>	<code>vocabularyTable</code>

Most of these unit tests are self-contained with predefined input test data, but some also will read `sys.stdin` to get additional input for testing:

<code>ellyBase</code>	<code>ellyBufferEN</code>	<code>ellyCharInputStream</code>
<code>entityExtractor</code>	<code>inflectionStemmerEN</code>	<code>macroTable</code>
<code>morphologyAnalyzer</code>	<code>nameRecognizer</code>	<code>nameTable</code>
<code>patternTable</code>	<code>phondexEN</code>	<code>stemLogic</code>
<code>substitutionBuffer</code>	<code>suffixTreeLogic</code>	<code>vocabularyTable</code>

Running your own examples with the unit test of one of these modules can help you track down a specific problem in a language description. Enter as many inputs as you want; just type a `<RETURN>` by itself to terminate the input loop here. Manually entered test examples will be optional for pre-release PyElly unit validation, however.

The following PyElly modules have specific test input files included in the standard distribution from GitHub. Their associations are as follows:

<code>cognitiveDefiner:</code>	<code>cognitiveDefinerTest.txt</code>
<code>ellySentenceReader:</code>	<code>sentenceTestData.txt</code>
<code>generativeDefiner:</code>	<code>generativeDefinerTest.txt</code>
<code>generativeProcedure:</code>	<code>testProcedure.*.txt</code>
<code>morphologicalAnalyzer:</code>	<code>suffixTest.txt</code>

In unit testing, these files are either specified in a commandline argument or read from redirected standard input. See the Python code for each tested PyElly module to see how to do this. Unit test output can usually be quickly evaluated by inspection.

For integration testing, the `doTest` shell script with argument `A` will run `ellyMain.py` with preselected parameters for application `A` while reading input from `A.main.txt`. In full integration testing, run `doTest` with each of the PyElly applications having language definition files in the `applcn` subdirectory of the PyElly download package:

```
./doTest echo
./doTest test
./doTest stem
./doTest fail
./doTest indexing
./doTest texting
./doTest doctor
./doTest chinese
./doTest querying
./doTest marking
./doTest name
./doTest disambig
```

The `doTest` script will automatically compare PyElly output for `A.main.txt` input with the corresponding `A.main.key` file with the builtin `diff` shell command. For example, `doTest querying` might produce

```
test application= querying, input= querying.main.txt

real      0m0.404s
user      0m0.345s
sys       0m0.040s

<  ACTUAL
>  EXPECTED

...
```

This reports the running time of the test along with any significant output differences found by `diff` listed out below. A successful test should produce no differences.

The comparison here is always with the PyElly translation as the first argument to `diff` (**ACTUAL**) and with the `.key` file (**EXPECTED**) as the second. The `doTest` script will try to ignore any PyElly output that not part of a translation. If your application produces any extra output, however, such as from PyElly execution monitoring commands in your rule semantics, then it may show up as a difference. Please make the appropriate adjustments in your interpretation of the test results.

The `bad` application will always fail to generate a language definition because its rules are all deliberately malformed. Nevertheless, you should run it with `doTest` as part of integration testing to verify that PyElly can recover from and report on errors in rules. There should be no uncaught exceptions arising from rule input. Error messages from table building also should be appropriate.

Integration testing will be the main form of regression testing to verify that a new version of PyElly or application rules can still handle what it used to do correctly. This is important to do frequently, even with only small changes in PyElly code or in application rules. Otherwise, translation problems can quickly accumulate and compound, making debugging quite difficult.

If you do change PyElly code or either the language definitions for a test application or its integration test input, you should also update the `*.main.key` files to reflect any expected changes these will make in PyElly translations.

With `doTest`, you can also process input from a particular file `x.main.txt` while running with the language definition files for an application A. Do this with the command line for `doTest`:

```
./doTest A x
```

The `marking` integration test in the PyElly package now includes five extra test input files `marking.more*.main.txt`, to run in the above way for extended testing. There are also corresponding `marking.more*.main.key` files to compare with the translations done by PyElly with the `marking` language definition rules. This splitting of test data into multiple files is mainly historic; but it allows for faster checking when trying to fix an isolated translation problem found in integration testing.

The broad suite of integration test applications serves to validate the range of actual processing demonstrated historically in PyElly and its predecessors. The test instances are still limited, however, so that success at one point is in no guarantee that a given language definition will still work with all future uncontrolled input. We can only achieve a reasonable level of competence at some point with a given set of PyElly application rules and then continually strive to do better.

Although all the other tests are necessary, the `marking` integration test currently is the most important. It has by far the most grammar and vocabulary rules and includes more test sentences than all the other integration tests combined. Its input has actually been collected from across the Web; this is not a bunch of sentences cooked up with nice linguistic characteristics. Such “wild” data has always been a challenge for any natural language system to process and so provides a serious shakedown of both PyElly code and language definition rules for an application.

Upcoming PyElly work will push testing with even more “wild” data in `marking` and other applications. Fortunately or unfortunately, finding sentence examples to break PyElly processing is still quite easy with any current application. So validation always has to be tentative when building up any particular kind of language competence; but then that is how human beings naturally learn language anyway. The `marking` test set currently includes over 875 sentences and takes about ten minutes to run.

Work on the `marking`, `chinese`, and `name` example applications will also expand the number of examples for integration tests with those applications. The main goal here is

to see how far we can go with PyElly before language definitions become too complicated or too bloated for most users. So far, experience with `marking` has been encouraging; despite many unexpected issues and many bugs uncovered, the basic PyElly approach to natural language has held up. Problems of phrase node overflow with long sentences has been the most serious problem so far.

The unpredictability of the `marking` test data has been quite helpful in putting stress on PyElly. This has helped to uncover many bugs in PyElly itself as well as forcing the evolution of language rules to handle the range of expression found in actual English text. More data from the Web is being obtained as time permits to continue such testing going forward into 2018.

A new release of PyElly will be uploaded to the Web only after it passes all current unit and integration tests and the `pylint` tool has checked every modified Python file for common problems in source code. The `ellyMain.py` module should also be run with the `bad` language rules to verify that this will not crash PyElly. PyElly will receive a new version number only for significant code changes, which will usually mean that previously saved language rule tables have to be regenerated. Any change in how PyElly works will also have to be described in an update of this PyElly User's Manual; that should be done even for releases with no change in version number.



## Appendix E. PyElly as a Learning Framework

PyElly is about doing computational linguistics with rules. It calls for some serious digging into the structure of text, which many data scientists nowadays would rather avoid. With some kinds of text processing, minimizing prior language expertise can be a good strategy for system building; but natural language in general will always be hard, and usually defy simple solutions. We need to be both smart and knowledgeable to do NLP as effectively as we want. PyElly brings many resources together to let students experiment freely and to give practitioners proven capabilities right out of the box.

The PyElly toolkit currently includes a finite-state automaton, macro substitutions with wildcard matching, bottom-up parsing driven by a grammar, ambiguity handling through semantics, support for large external dictionaries with multiword terms, basic text entity extraction for dates and times, punctuation handling and sentence demarcation, and special logic for recognizing the names of people. You can combine these resources in various ways to build many kinds of sophisticated text processing systems like the example applications in the PyElly distribution package.

The PyElly tools should all be familiar to computer science students and remain useful despite the new technologies like automatic programming and artificial intelligence with deep learning. Not every data scientist has to be an expert on low-level text processing, but at least a few on a major project should be conversant about it, if only to temper any idea that we can easily breeze through it without hard thinking. Students and practitioners both need to learn some humility here firsthand.

With PyElly, a student will see how computational linguistics actually works, whether this is in analyzing the structure of sentences or just extracting stemmed keywords. All the rules for PyElly language processing will be fully visible, never buried in the entrails of some deep neural network. Appropriate rules for a new application will take time to compile and develop, and analyses with them may be messy, but such difficulty is inherent to all natural languages and is what makes processing them so complicated.

PyElly encourages students to play with language and makes it easy to build toy applications that nevertheless carry out real, nontrivial NLP. Such simple exercises may of course be of little interest for academic research or for commercial exploitation, but it is excellent for learning and opens the door to more substantial undertakings down the road. It could conceivably even be fun, even for students uninterested in eventually becoming a computational linguist.

A good way to employ PyElly in secondary schools is to let students take on individual or group projects focused on a single narrow NLP task like those described in Section 14, not necessarily covering all the supported features of PyElly. You probably want to avoid something as complex as the `PyElly marking` example application, but something like `chinese` or `texting` is quite doable. Stay away from projects involving extensive extra-linguistic background research, since that alone could easily eat up all available time; let students focus instead on learning specifically about natural language and NLP.

A reasonable PyElly project should take from two to six weeks and may involve defining about a hundred rules altogether. Experimentation is important; no one should be satisfied with initial success in processing a given set of text data input. Instead, students should try to expand the coverage of their applications as much as possible and to see what happens when small changes are made to language definition rules. It is all too easy to get rules tangled up, and future practitioners need to know the hazards.

Unsuccessful projects can be almost as valuable for learning as successful ones. Natural language processing with unrestricted text is hard. The many varieties of grammatical structure and the exponential possibilities for alternate interpretations of a sentence with ambiguities can seem overwhelming. This problem will not go away when someone resorts to approaches like unsupervised deep learning. So, students need to confront and eventually perhaps to understand at least the nature of difficulties arising here.

As always, it is best to start with something simple and gradually build it up step by step to into something more elaborate. This can take quite a while. Students should expect to make many mistakes and to spend plenty of time in debugging, reworking, and clarifying their language descriptions. They should nevertheless try to process as much real-world input text as they can. That experience will undoubtedly be where most important NLP learning will happen with PyElly or any other system.

## Appendix F. A Shallow XML Markup Application

The `marking` example application sets up PyElly to process actual raw text from the Worldwide Web. Its original goal was just to define enough language rules to analyze a diverse target set of 22 text segments in English, containing a total of 2,766 words in 129 sentences. This was to show how PyElly might do shallow XML markup of Web text without trying to compile all the rules needed in a full-fledged application to handle arbitrary input.

Shallow markup will generally be simpler than a full grammatical analysis. It would identify only basic noun phrases like `THE SIX RED APPLES` and basic verb phrases like `HAS NOT YET BEEN FOUND` along with assigning traditional parts of speech like noun, verb, adjective, and so forth to the words and punctuation in a sentence. This would also tag low-level text entities like numbers, dates, and times, but would ignore more complex grammatical structures like relative clauses, participial phrase modifiers, or direct or indirect quotations.

Here is an example of a shallow XML markup for a simple sentence:

**Several armed men promised they would reunite on September 11th, 2021.**

```
<sent>
<nclu><quan>several</quan><adj>arm -ed</adj><noun>men</noun></nclu>
<vclu><verb>promise -ed</verb></vclu>
<pro>they</pro>
<vclu><aux>would</aux><verb>reunite</verb></vclu>
<nclu><date><prep>on</prep>09/11/2021</date></nclu></sent>
```

where each basic noun phrase is tagged as `nclu` and each basic verb phrase is tagged as `vclu`. The analysis tags the word `SEVERAL` as a `quan` (quantifier) for a noun phrase and `THEY` as a `pro` (pronoun). The preposition `ON` is tagged as a `prep` (preposition) attached to a `date` element, which PyElly itself will recognize as an entity and mark syntactically as a noun phrase. The word `WOULD` is an `aux` (auxiliary) in a basic verb phrase. Finally all the elements are grouped together under the tag `sent` for a sentence.

This is far from understanding what the sentence means. Reliably tagging each word with its part of speech and then grouping those words into basic phrases, however, is a good start and will provide nontrivial information to support and guide deeper sentence analysis. Eventually, it would be useful to have a compact set of PyElly rules able to mark up most English Web text as a kind of preprocessing for deeper NLP.

There is of course no single way to mark up a given sentence, but our particular scheme here should be adequate for a proof of principle. It is consistent with how most of us understand the grammar of English and can readily be handled in a PyElly framework of language rules. As it turns out, the main problem of markup will not be in setting the form of output, but in making sense of our highly unpredictable input. The challenge quickly become evident when we try to describe our target set of text for PyElly.

Text from the Web usually lacks the editorial control of published books and periodicals. Sentences often run on and on, capitalization and punctuation are irregular, typos are missed, and obscure jargon appears without warning. Writing often may be word salads thrown together with little regard for readability by human or by machine. Words are like ADVANCE, BEST, DIRECT, INITIAL, LEAN, OKAY, READY, SMART, WARM, and ZERO can assume multiple parts of speech, and there are often many of them in a sentence with different interpretations that a reader must somehow sort through.

Having only a finite number of sentences does limit the problem of markup. We would want to keep all our language rules as general as possible here, but when such rules inevitably fail, we can add exception rules specifically to deal with the failures. This is really how human children learn language; and PyElly with all its various kinds of rules is meant to be used in such an iterative way.

The `marking` example application started out with a series of grammatical rules just for the syntactic type NCLU and another series for VCLU, corresponding to our tagging. An NCLU in the most general case would have the form QUAN + DET + NUM + ADJ + NOUN; for example, ALL THE SIX RED BALLS. A VCLU in the most general case would have the form AUX + NEG + HAVE + VERB; for example, WILL NOT HAVE KNOWN. Some of the components in an NCLU or a VCLU may of course be missing in an actual instance of a phrase.

Compiling such rules here will be fairly easy if we postpone worrying about cognitive semantics. The actual rules here will have the form NCLU->xN NCLU or the form VCLU->xV VCLU; for example, NCLU->DET NCLU. We can use syntactic features with the NCLU and VCLU syntactic types to control the order in which their rules will be applied. Complications will arise later, but we can take care of them as they show up.

A sentence analysis for markup will have an even simpler structure. Its rules will take the form SS->ELEM SS, where SENT->SS and where ELEM->NCLU, ELEM->VCLU, and ELEM->x for conjunctions, interjections, and miscellaneous syntactic types as well as punctuation. It should be easy to visualize how all of this will work with elementary text as might be written for the youngest of schoolchildren. That is the level of grammatical complexity we aim for in our `marking` application rules.

There is still the matter of vocabulary. In a minimal language definition, we might first try to define only functional words like THE, OF, AND, and NOT along with inflectional word endings like -S, -ED, and -ING and morphological word endings like -LY, -MENT, and -ION. The idea is that most words in a sentence can be unknown (UNKN). We can then deal with them through general rules like VERB->UNKN -ED, ADJ->UNKN -ICAL, and ADV->ADJ -LY. For example, an unknown word XXXXICALLY would be recognized as an adverb.

When we try to process our target sample of 129 sentences, the minimal approach almost immediately fails which is unsurprising. A natural language like English is complicated and requires sustained effort plus a bit of luck to get a workable description of any sort. The big question here is whether we can actually keep making progress over

time to address individual issues as they arise in our processing. In the worst case, fixing one problem might break a solution for a previous problem, trapping us in a welter of rules out of control.

Fortunately, PyElly passed this test, even though our wild target set uncovered problems in the processing of every segment of text in our target data. These included bugs in PyElly Python code, various errors in the definition of rules, unexpected Unicode characters, new kinds of text entities not covered by existing rules, idiomatic expressions requiring special rules for handling, and suboptimal cognitive semantic scoring. The solutions required constant reworking of both PyElly modules and marking rules, but our incremental strategy survived here. It was possible eventually to process all of our 129 target sentences with a single set of rules.

That result would be enough to claim a limited victory, but all the trouble we had run into inspired no confidence in being able to mark up new text. So testing continued with another 13 text segments were collected from the web, containing 1,773 words in 91 sentences. Ideally, PyElly would have sailed through most of this sample, but the bad news was that the former pattern of failure repeated with each new text segment. We again had to change both PyElly modules and marking rules constantly to able to mark up all 220 of our collected sentences.

Living to fight another day was encouraging, though. PyElly itself was getting better along with our expansion of rules for markup. So we got four more text samples from the Web to test shallow markup further. The new data included 7 segments with 1,289 words in 62 sentences, 10 segments with 1,149 words in 61 sentences, 13 segments with 2,752 words in 133 sentences, and 37 segments with 8,033 words in 403 sentences. We still saw the same pattern of failure with every segment in that new data, but these now could be attacked systematically to get acceptable markups for all sentences in the end.

We cannot yet claim to have a viable shallow markup application, although the rate of failure may have fallen slightly in processing the last text sample. Our PyElly approach has held up overall, however; and there are no obvious obstacles to keep us from improving our shallow markup further. It is mainly a matter of time: getting more wild Web text and evolving our language rules. Until some serious roadblock pops up, the path to achieving a respectable level of markup competence seems wide open.

The overall problem in PyElly analysis comes now boils down to basic combinatorics. Having more grammar and vocabulary rules is inevitable, but we must be careful here to hold down the overall number of ambiguities in sentence analyses. The resources required to keep track of all the possible interpretations of a sentences can grow exponentially. That will slow down parsing dramatically and increase the chance that will PyElly be unable to pick the best markup with cognitive semantic scoring.

As it turns out, the easiest way to reduce ambiguity is for PyElly to have a big external vocabulary table, with as many multi-word terms as possible. For example, a term like DEPARTMENT OF JUSTICE would be a single token in a text analysis instead of three separate tokens. That will mean fewer degrees of freedom in all in parsing a sentence, and the resulting multi-word tokens will probably be less ambiguous in their parts of

speech. Finding only four or five such terms in a text segment can cut in half the time needed for PyElly to process it.

To identify possible single- and multi-word vocabulary for PyElly, we only have to look at a large digital dictionary of English. WordNet in particular works out quite well here because of its comprehensiveness, its organization into ASCII text files, and its licensing. The file `default.v.elly` distributed with PyElly is essentially all of WordNet 3.0 except for numerical terms already handled elsewhere in PyElly and terms including punctuation other than period (.), apostrophe ('), or hyphen (-).

The `marking` example application uses only a subset of `default.v.elly` because the full file takes a long time to load. The current `marking` vocabulary table was selected using various online lists of the most frequent English words that were also defined in WordNet. Then certain words in the target text sample, but not in WordNet, were also added; these included irregular forms of nouns and verbs, some frequent inflected forms, common names, specialized jargon, and neologisms not yet in WordNet. These additions have been kept separate in the `marking.v.elly` definition file.

To obtain multi-word vocabulary, we can just look for WordNet terms that have its first two words already in the `marking` table. This yields a list that needs manual pruning to get rid of rare or obscure terms that will clutter up a vocabulary table without much payback, but this has to be done only once. Currently, the `marking` vocabulary has over 20,000 entries, with about 60 percent having two or more words. In comparison, the application has just over 600 grammar rules, about 100 pattern rules, and about 50 macro substitution rules.

Here are some actual markups for sentences taken at random from the various target samples. The first is for text from Robert Louis Stevenson's "Treasure Island":

**He had taken me aside one day and promised me a silver fourpenny on the first of every month if I would only keep my 'weather-eye open for a seafaring man with one leg' and let him know the moment he appeared.**

```
<sent>
<pro>he</pro>
<vclu><aux>had</aux><verb>taken</verb></vclu>
<pro>me</pro>
<adv>aside</adv>
<nclu><num>1</num><noun>day</noun></nclu>
<conj>and</conj>
<vclu><verb>promise -ed</verb></vclu>
<pro>me</pro>
<nclu><det>a</det><adj>silver</adj><noun>fourpenny</noun></nclu>
<nclu><prep>on</prep><noun>the 1st</noun></nclu>
<nclu><prep>of</prep><quan>every</quan><noun>month</noun></nclu>
<conj>if</conj>
<pro>i</pro>
<vclu><aux>would</aux><adv>only</adv><verb>keep</verb></vclu>
<nclu><dem>my</dem>
<punc>'</punc>
<noun>weather-eye</noun><adj>open</adj>
<prep>for</prep><det>a</det><noun>seafaring man</noun>
<prep>with</prep><num>1</num><noun>leg</noun>
<punc>'</punc></nclu>
```

```
<conj>and</conj>
<vclu><verb>let</verb></vclu>
<pro>him</pro>
<vclu><verb>know</verb></vclu>
<nclu><det>the</det><noun>moment</noun></nclu>
<pro>he</pro>
<vclu><verb>appear -ed</verb></vclu>
<punc>.</punc></sent>
```

Our second example comes from a website for the Mennonite Church:

**Mennonites value the sense of family and community that comes with a shared vision of following Jesus Christ, accountability to one another and the ability to agree and disagree in love.**

```
<sent>
<nclu><noun>mennonite -s</noun></nclu>
<vclu><verb>value</verb></vclu>
<nclu><det>the</det><noun>sense</noun></nclu>
<nclu><prep>of</prep><noun>family</noun></nclu>
<conj>and</conj>
<nclu><noun>community</noun></nclu>
<>that</>
<vclu><verb>come -s</verb></vclu>
<nclu><prep>with</prep><det>a</det><adj>share -ed</adj><noun>vision</noun></nclu>
<vclu><prep>of</prep><verb>follow -ing</verb></vclu>
<nclu><noun>jesus christ</noun></nclu>
<punc>,</punc>
<nclu><noun>accountability</noun></nclu>
<pro><prep>to</prep>one another</pro>
<conj>and</conj>
<nclu><det>the</det><noun>ability</noun></nclu>
<vclu>to<verb>agree</verb></vclu>
<conj>and</conj>
<vclu><verb>disagree</verb></vclu>
<nclu><prep>in</prep><noun>love</noun></nclu>
<punc>.</punc></sent>
```

A third example comes from a conspiracy theory blog that loves quotation marks:

**There are a lot of people hearing alarm bells going off in their heads with the recent announcement of multiple Walmart Supercenters closing, all at once, in multiple states (some of which are states being prepared for the Jade Helm military exercises), all claiming a "plumbing" issue, with recent news of some type of underground tunnel projects involving Walmart and DHS.**

```
<sent>
<vclu><adv>there</adv><verb>are</verb></vclu>
<nclu><quan>a lot of</quan><noun>people</noun></nclu>
<vclu><verb>hear -ing</verb></vclu>
<nclu><noun>alarm bell -s</noun></nclu>
<vclu><verb>go -ing off</verb></vclu>
<nclu><prep>in</prep><dem>their</dem><noun>head -s</noun></nclu>
<nclu><prep>with</prep><det>the</det><adj>recent</adj><noun>announcement</noun></nclu>
<nclu><prep>of</prep><quan>multiple</quan><noun>walmart supercenter -s</noun></nclu>
<vclu><verb>close -ing</verb></vclu>
<punc>,</punc>
<adv>all at once</adv>
<punc>,</punc>
<nclu><prep>in</prep><quan>multiple</quan><noun>state -s</noun></nclu>
<punc></punc>
<conj>some of which</conj>
<vclu><verb>are</verb></vclu>
```

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```
<nclu><noun>state -s</noun></nclu>
<vclu><aux>being</aux><verb>prepare -ed</verb></vclu>
<nclu><prep>for</prep><det>the</det><noun>jade helm military exercise -s</noun></nclu>
<punc>)</punc>
<punc>,</punc>
<nclu><quan>all</quan></nclu>
<vclu><verb>claim -ing</verb></vclu>
<nclu><det>a</det>
<punc>"</punc>
<noun>plumbing</noun>
<punc>"</punc></nclu>
<vclu><verb>issue</verb></vclu>
<punc>,</punc>
<nclu><prep>with</prep><adj>recent</adj><noun>news</noun></nclu>
<nclu><prep>of</prep><quan>some</quan><noun>type</noun></nclu>
<nclu><prep>of</prep><adj>underground</adj><noun>tunnel project -s</noun></nclu>
<vclu><verb>involve -ing</verb></vclu>
<nclu><noun>walmart</noun></nclu>
<conj>and</conj>
<nclu><noun>dhs</noun></nclu>
<punc>.</punc></sent>
```

A fourth and final example comes from a Wikipedia article about a highway:

**The route reaches a roundabout where it intersects Route VV and Prathersville Road, the latter providing access to the southbound direction of US 63.**

```
<sent>
<nclu><det>the</det><noun>route</noun></nclu>
<vclu><verb>reach -s</verb></vclu>
<nclu><det>a</det><noun>roundabout</noun></nclu>
<conj>where</conj>
<pro>it</pro>
<vclu><verb>intersect -s</verb></vclu>
<nclu><noun>route vv</noun></nclu>
<conj>and</conj>
<nclu><noun>prathersville road</noun></nclu>
<punc>,</punc>
<nclu><det>the</det><noun>latter</noun></nclu>
<vclu><verb>providing</verb></vclu>
<nclu><noun>access</noun></nclu>
<nclu><prep>to</prep><det>the</det><adj>southbound</adj><noun>direction</noun></nclu>
<nclu><prep>of</prep><noun>us 63</noun></nclu>
<punc>.</punc></sent>
```

As you can see, actual text from the Web is much more complex than the earlier example of a sentence made up to illustrate shallow markup. Although analyzing 875+ real sentences like the above four examples turned out to be a major challenge, they can be analyzed through some fairly general rules, although these often had to be adjusted.

The underlying reasons for markup difficulty were quite varied:

- Function words like A, AND, OF, and THE are part of the structure of English and not indicative of the content of any text. These are not in WordNet, which lists only nouns, verbs, adjectives, and adverbs. In PyElly, function words will usually be defined in the internal dictionary of a grammar. They tend to be quite frequent in text; but many are infrequent and can often be overlooked in a language definition. A sentence containing undefined function words will almost always be parsed wrong by PyElly because it is impossible to guess that something infrequent is a preposition, conjunction, or whatever. A user has to tell PyElly about the word in a rule. Sometimes, a word sequence like AS WELL AS or AS A RESULT OF should taken together a compound function word in a good markup.



- Words like ACCESS, FACE, NEED, and WAGE can assume more than one part of speech, and it may be hard to tag them correctly all the time. PyElly cognitive semantics are supposed to take care of this problem, but sometimes the logic can get so complex that it may not always produce a desired result. The solution here is to reorganize syntax rules so as to get simpler cognitive semantics, but that will result in more grammar rules, which also harder to read because of their usage of syntactic features and of nonstandard syntactic categories to distinguish between different rules.
- Punctuation can often be difficult, especially enclosing punctuation like parentheses and quotation marks. For example, in the noun phrase A (RED) KNIT CAP, (RED) is obviously meant as an adjective; but we need to introduce some special rules here so that the PyElly parser will handle this. Otherwise, KNIT CAP will its own noun phrase and A (RED) will probably be a bad construction. The extra rules are not hard to define, but if you did not anticipate this situation, then markup will fail.
- Our original concepts of NCLU and VCLU phrases as described above turned out to be extremely limited; For example, the basic NCLU does not cover common kinds of English expressions like ACT 2, Sagittarius A\*, US 63, OR VERSION 10.1.2B. These and many other similar unanticipated forms will derail a proper markup. We need more rules here; and many of them will have to be pattern rules with wildcards needed to be interpreted by the PyElly finite state automaton.
- Some function words like THAT, FOR, TO, FIRST, and ONE are extra difficult to tag because they have unusual patterns of usage unlike any other function words in English. For example, TO can identify the English infinitive form of a verb, and FOR can be a conjunction in some contexts. In the `marking` example application, we see only a handful of difficult function words, but they are quite common in text and have to be described explicitly through PyElly rules applying to them only. Proper handling here often will have to coordinate grammar, vocabulary, and macro substitution rules closely.
- Many idiomatic expressions need to be recognized explicitly as content bearing in a proper markup. For example: FROM BEGINNING TO END, ROCKET SCIENCE, PURCHASING POWER, FOR EXAMPLE, BETTER OFF, and BEAT AROUND THE BUSH are respectively an adverb, a noun, another noun, another adverb, an adjective, and a verb. WordNets lists many of these expressions, but not all. PURCHASING POWER had to be added to the PyElly vocabulary table for marking to avoid the complications of trying to handle this phrase only through syntax rules.
- Names like JOHN JONES need special treatment because they generally do not follow the same rules as ordinary words; for example, we probably do not want to see JONES split into JONE -S. PyElly can extract personal names from text as text, but to keep everything simple, the `marking` rules just list names and name components as straight vocabulary. When new names occur in text for markup, they often need to be identified in this way.
- Unicode is often a problem. Hyphens are often replaced inappropriately by the Unicode en dash. Other troublesome Unicode characters are ellipsis, non-breaking space, narrow space, trademark <sup>TM</sup>, Greek letters, diacritical marks, musical accidentals like  $\flat$ , and numerical exponents as in CM<sup>2</sup>. These all have to be recognized and handled properly within PyElly Python code.

These various issues with Web text data are not peculiar to PyElly. Working within the definition rules for PyElly just helped to reveal their presence, which might easily have been missed in a black approach to natural language where details are left to some machine learning framework to work out. Leaving the dirty work to the robot does save labor, but this more or less assumes that the messiness of actual text data can be safely ignored. Such confidence is probably premature.

With PyElly, we ourselves can take time to explore the structure of natural language. If nothing else, our experience with the `marking` example application shows us that we should not expect smooth sailing in real-world text processing. There is still much to learn here, because we have looked at fewer than a thousand Web sentences so far. Doing another thousand sentences would be quite easy, however, if done just as a stress test without trying to change either PyElly code or language definition rules to get acceptable markups of all the data.

A achievable short-term goal is for PyElly to be able to mark up each input sentence in new text data without some error in PyElly Python code or a parsing failure. The bugs found in PyElly code will be fixed, but changes to language rules will be limited to the `marking` vocabulary table and pattern table. The new sentence markups need not be good; they just have to look plausible. If PyElly can get that far with new data, then maybe we can better justify devoting more time to evaluating the quality of markup.

It is likely that more data issues like those listed above will be discovered as we process further samples of actual Web text. An important open question is whether the number of new data issues will actually fall off as result of the changes made in order to get some kind of markup of each new sentence. If the new issues remain at the same level or even increase, then we can surmise that no one is really close to declaring victory in natural language processing.