



# Ontologies: Ancient and Modern

Professor Nigel Shadbolt  
School of Electronics and Computer  
Science  
University of Southampton



# The work of many people...

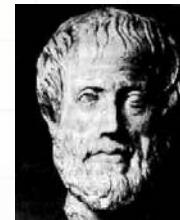
- Harith Alani
- Steve Harris
- Nick Gibbins
- Yannis Kalfoglou
- David Dupplaw
- Bo Hu
- Paul Lewis
- Srinandan Dashamapatra
- Hugh Glaser
- Les Carr
- David de Roure
- Wendy Hall
- Mike Brady
- David Hawkes
- Yorick Wilks
- :
- :

# Structure

- A little history
- Ontologies and Knowledge Engineering
- Ontologies in the age of the WWW
- Ontologies in AKT
- Enduring problems and challenges
- Future progress

# Ontologies – Realist Stance

- We engage with a reality directly
  - Reality consists of pre existing objects with attributes
  - Our engagement may be via reflection, perception or language
- Philosophical exponents
  - Aristotle
  - Leibnitz
  - the early Wittgenstein
  - :
- Language and logic pictures the world
- Seen as a way of accounting for common understanding
- Promises a language for science



# Constructivist Stance

- There is no simple mapping into external objects and their attributes in the world
- We construct objects and their attributes
  - This construction may be via intention and perception, it may be culturally and species specific
- Philosophical exponents
  - Husserl
  - Heidegger
  - Later Wittgenstein
  - :
- Language as games, complex procedures, contextualised functions that construct a view of the world



# Ontologies - Current Context

- The large metaphysical questions remain
  - What is the essence of being and being in the world
- Our science and technology is moving questions that were originally only philosophical in character into practical contexts
  - Akin to what happened with natural philosophy from the 17<sup>th</sup> century – chemistry, physics and biology
- As our science and technology evolves new philosophical possibilities emerge
  - Particularly when we look at *knowledge* and *semantic* based processing
  - We will return to this...

# Knowledge Engineering: Evolution

general-purpose  
search engines  
(GPS)

first-generation  
rule-based systems  
(MYCIN, XCON)

emergence of  
structured methods  
(early KADS)

mature  
methodologies  
(CommonKADS)

---

1965

1975

1985

1995

*=> from art to discipline =>*

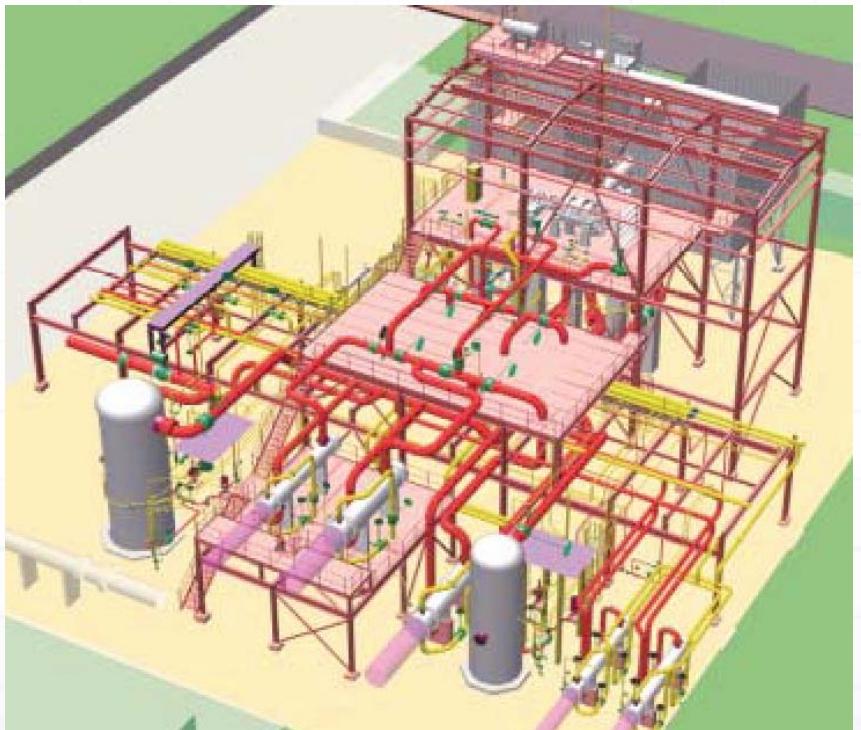
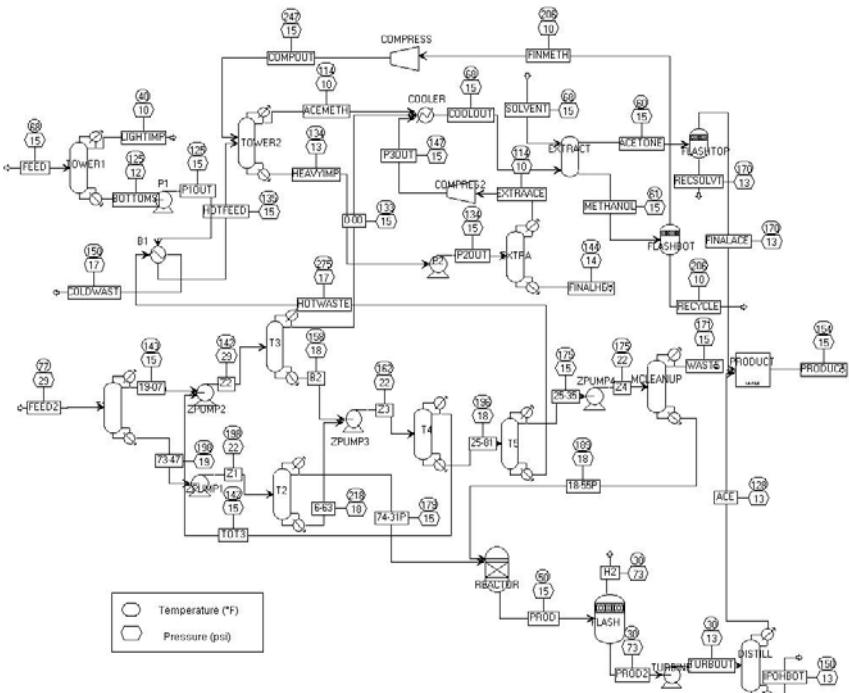
# Knowledge Engineering: Principles

- Knowledge engineering is not about transfer but about modelling aspects of human knowledge
- The knowledge level principle: first concentrate on the conceptual structure of knowledge and leave the programming details for later
- Knowledge has a stable internal structure that can be analysed by distinguishing specific knowledge types and roles

# Ontologies in Knowledge Engineering

- A variety of tools developed to support the acquisition and modelling of knowledge structures
- Many of the patterns developed could be viewed as abstract conceptual structures – ontologies were there throughout and became more prominent
- There were explicit ontologies for modelling domain classes and their relationships
- There were claims and counter claims about how task neutral such conceptual structures could be

# Constraint and Frame Oriented Knowledge-Based System



McBrien, A.M., Madden, J and Shadbolt, N.R. (1989). Artificial Intelligence Methods in Process Plant Layout. *Proceedings of the 2nd International Conference on Industrial and Engineering Applications of AI and Expert Systems*, pp364-373, ACM Press

# Perceptually Oriented Knowledge-Based System



Bull, H.T, Lorrimer-Roberts, M.J., Pulford, C.I., Shadbolt, N.R., Smith, W. and Sunderland, P. (1995) Knowledge Engineering in the Brewing Industry. *Ferment* vol.8(1) pp.49-54.

## And then the Semantic Web

- Fundamentally changed the way we thought about KA and knowledge management
- Suggested a different way in which knowledge intensive components could be deployed
- Also brought together a community unencumbered by close attention either to AI or Knowledge Engineering
- New funding opportunities...



# Advanced Knowledge Technologies IRC



AKT started Sept 00, 6 years, £8.8 Meg, EPSRC

[www.aktors.org](http://www.aktors.org)

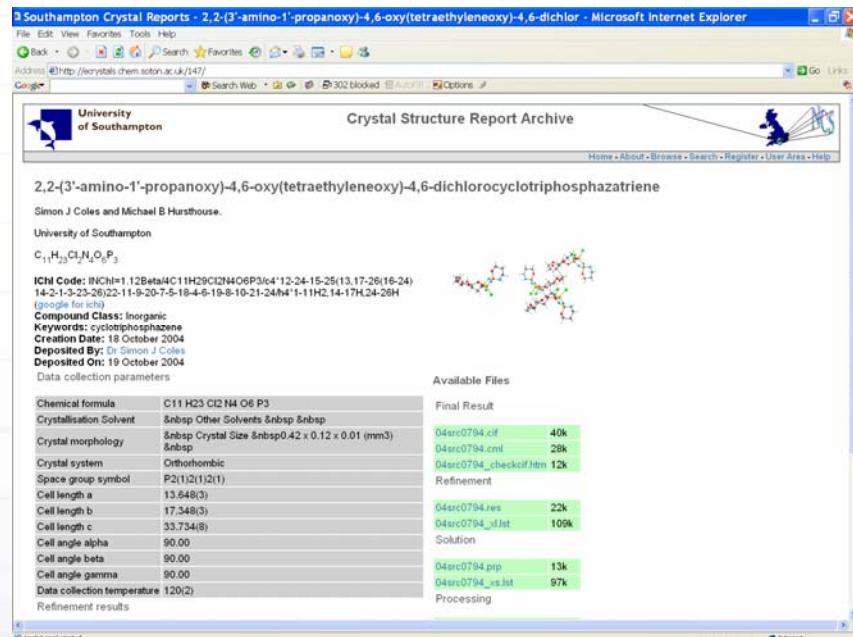
Around 65 investigators and research staff

# Ontological Lessons Learnt

- The content is primary
  - It needs rich semantic annotation via ontologies
  - Services emerge/designed to exploit the content
- Lightweight ontologies work
  - In support of rapid interoperability
- Ontologies as mediators
  - Aggregation as a key capability
- Ontologies are socio technical
  - Act as declarative agreements on complex social practice

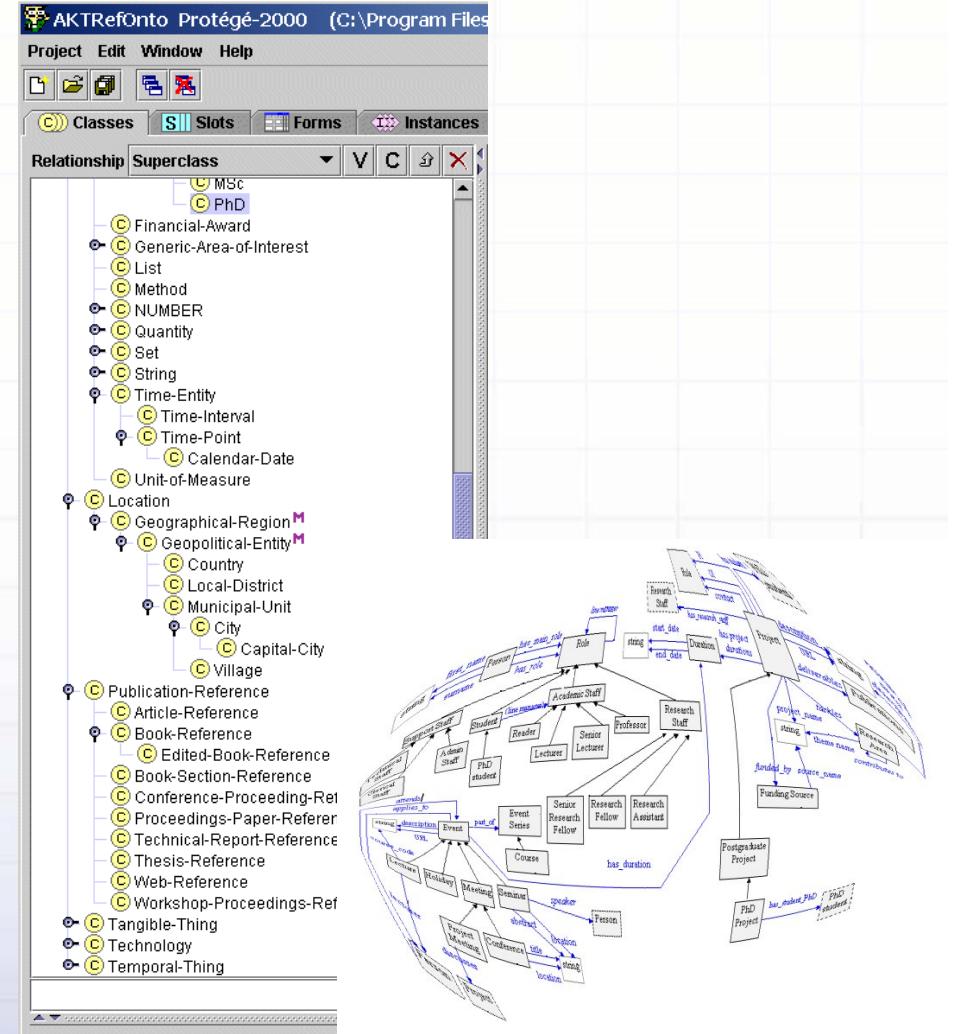
# Primacy of content - eCrystal

- Simple but powerful use of existing conceptual structures
  - Domain markup language
  - Close to a realist interpretation of an ontology
  - Protégé Requirements
    - Import of simple CML schema

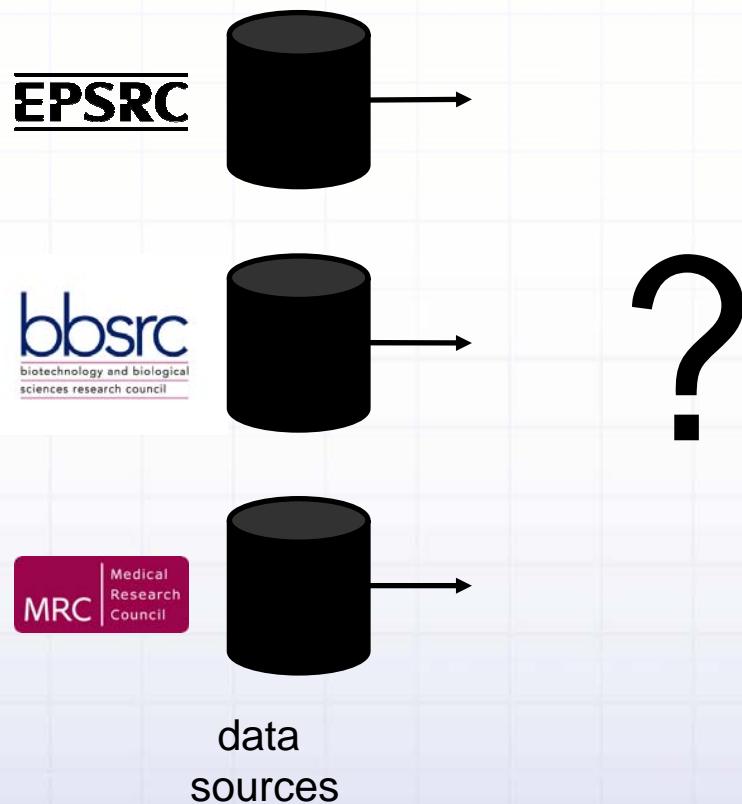


# The AKT Ontology

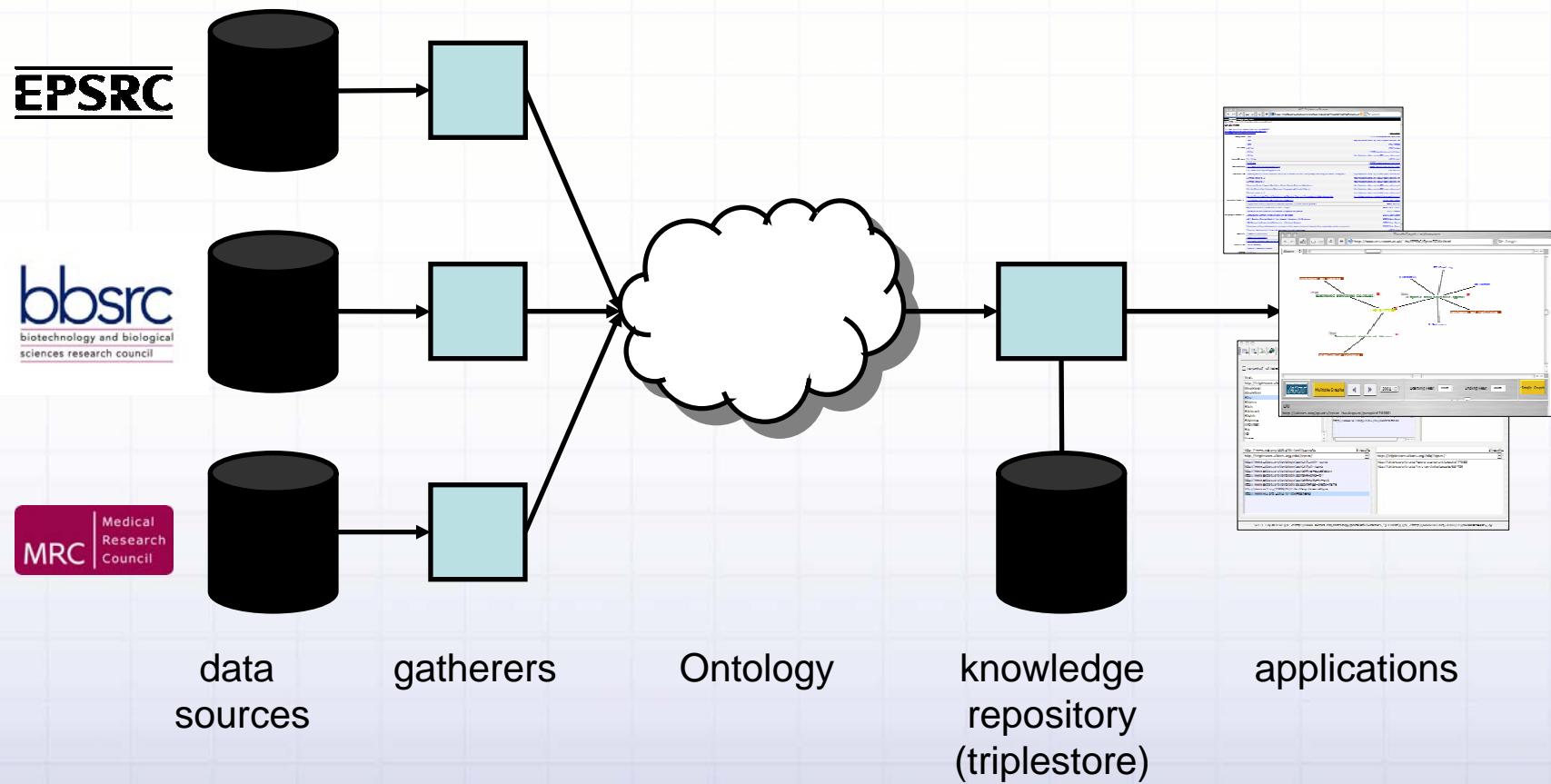
- Designed as a learning case for AKT
- Adopted for our own Semantic Web experiments including CS AKTive
- Uses a number of Upper Ontology fragments
- Reused in many contexts



# Mediation and Aggregation: UK Research Councils



# A Proposed Solution



# Mediation and Aggregation: UK Research Councils

Index of /demo/EPSRC/data/raw - Mozilla {Build ID: 2004092716}

```
"GrantRefNumber","HoldingOrganisationId","HoldingOrganisationName","Holding
"GR/E79682/01",1,"Bolton Institute",3460,"Civil & Environmental Eng Subject
"GR/F27789/01",1,"Bolton Institute",3460,"Civil & Environmental Eng Subject
"GR/G55372/01",1,"Bolton Institute",3460,"Civil & Environmental Eng Subject
"GR/H48736/01",1,"Bolton Institute",3615,"Mechanical & Automobile Eng Subje
"GR/M26633/01",12,"University of Dundee",3251,"Applied Computing",1,"Amenda
"GR/G02024/01",16,"University of Warwick",1197,"Physics",2,"Standard",0,0,,
"GR/J20449/01",1,"Bolton Institute",3615,"Mechanical & Automobile Eng Subje
"GR/J42854/01",1,"Bolton Institute",3615,"Mechanical & Automobile Eng Subje
"GR/J46951/01",1,"Bolton Institute",4088,"Unknown",2,"Standard",0,0,1
"GR/J91289/01",1,"Bolton Institute",3460,"Civil & Environmental Eng Su
"GR/K12007/01",1,"Bolton Institute",6298,"Faculty of Technology",2,"St
"GR/K47405/01",1,"Bolton Institute",3615,"Mechanical & Automobile Eng
"GR/K90364/01",16,"University of Warwick",5210,"Chemistry",2,"Standard
"GR/K87319/02",1,"Bolton Institute",6298,"Faculty of Technology",1,"Am
"GR/K87531/01",1,"Bolton Institute",6298,"Faculty of Technology",1,"Am
"GR/L56770/01",1,"Bolton Institute",5026,"Unknown",2,"Standard",1,0,,1
"GR/L84711/01",1,"Bolton Institute",5026,"Unknown",2,"Standard",1,0,,1
"GR/L98435/01",1,"Bolton Institute",6298,"Faculty of Technology",2,"St
"GR/N01088/01",1,"Bolton Institute",6298,"Faculty of Technology",2,"St
"GR/P00260/01",1,"Bolton Institute",6521,"DTA Department",2,"Standard
"GR/G42501/01",16,"University of Warwick",5210,"Chemistry",1,"Amendabl
"GR/N31122/01",1,"Bolton Institute",6298,"Faculty of Technology",1,"Am
"GR/R19397/01",1,"Bolton Institute",6298,"Faculty of Technology",2,"St
"GR/S24350/01",1,"Bolton Institute",15858,"Computing & Electronic Tech
```

Processed RDF information  
 Uniform format for files

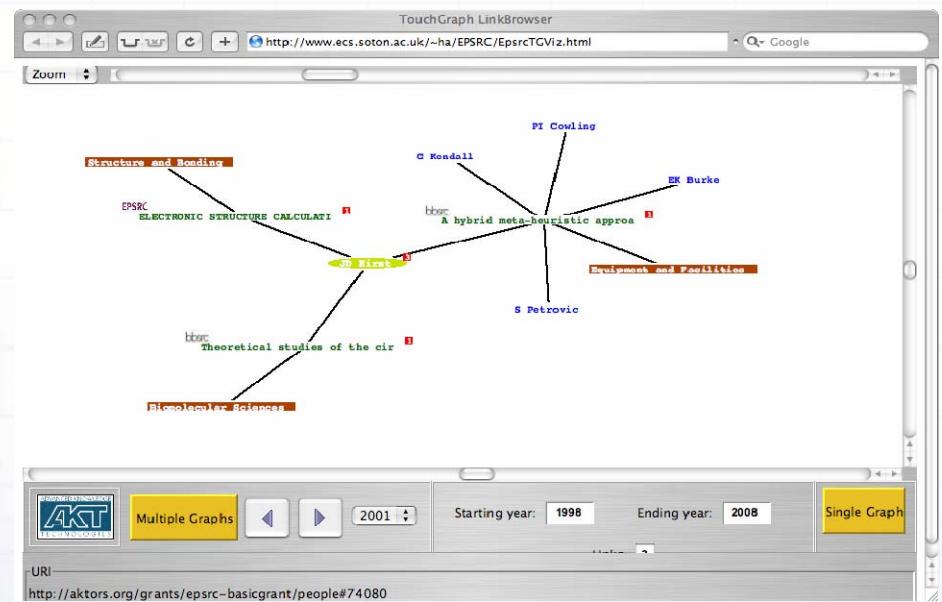
Raw CSV data  
 Heterogeneous tables

Mozilla {Build ID: 2004092716}

```
<owl:imports rdf:resource="http://www.aktors.org/ontology/extension"/>
</owl:Ontology>
- <rdf:Description rdf:about="http://aktors.org/grants/bbsrc/#B15240">
  - <support:has-pretty-name>
    Theoretical studies of the circular dichroism of peptides and proteins
  </support:has-pretty-name>
  - <support:has-time-interval>
    - <support:Time-Interval
      rdf:about="http://www.aktors.org/ontology/date#2001-08-06/2004-08-06">
        - <support:begins-at-time-point>
          - <support:Calendar-Date
            rdf:about="http://www.aktors.org/ontology/date#2001-08-06">
              <support:has-pretty-name>2001-08-06</support:has-pretty-name>
              <support:day-of>06</support:day-of>
              <support:month-of>08</support:month-of>
              <support:year-of>2001</support:year-of>
            </support:Calendar-Date>
          </support:begins-at-time-point>
        - <support:ends-at-time-point>
          - <support:Calendar-Date
            rdf:about="http://www.aktors.org/ontology/date#2004-08-06">
```

# An Application Service

- Relatively simple could yield real information integration and interoperability benefits
- Reuse was real but again lightweight
- Ontology winnowing would be very useful
- Protégé Requirement
  - Stats packages for ontologies – how to map back from implemented ontologies to the statistics of use

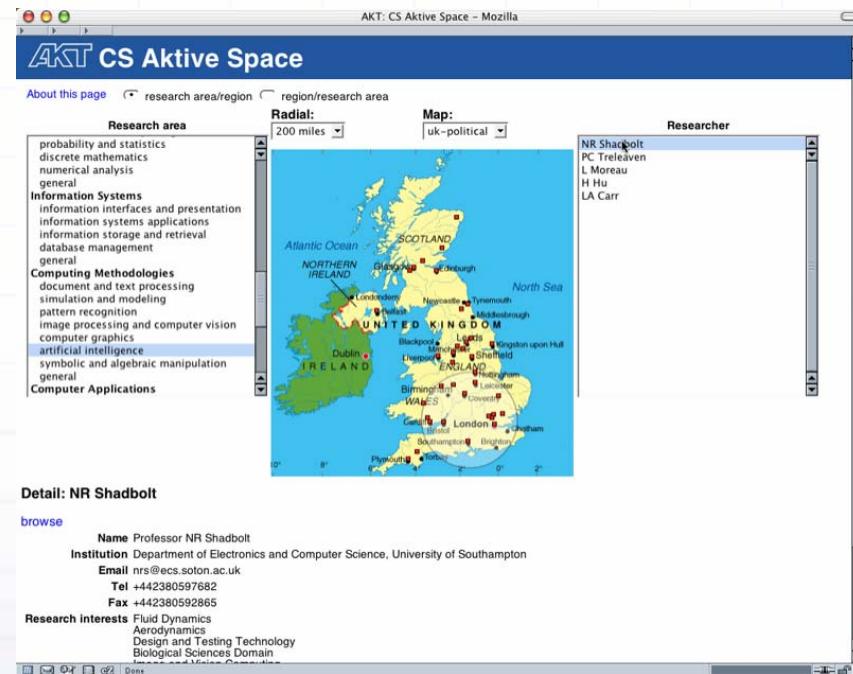


# Mediation and Aggregation: CS AKTive Space

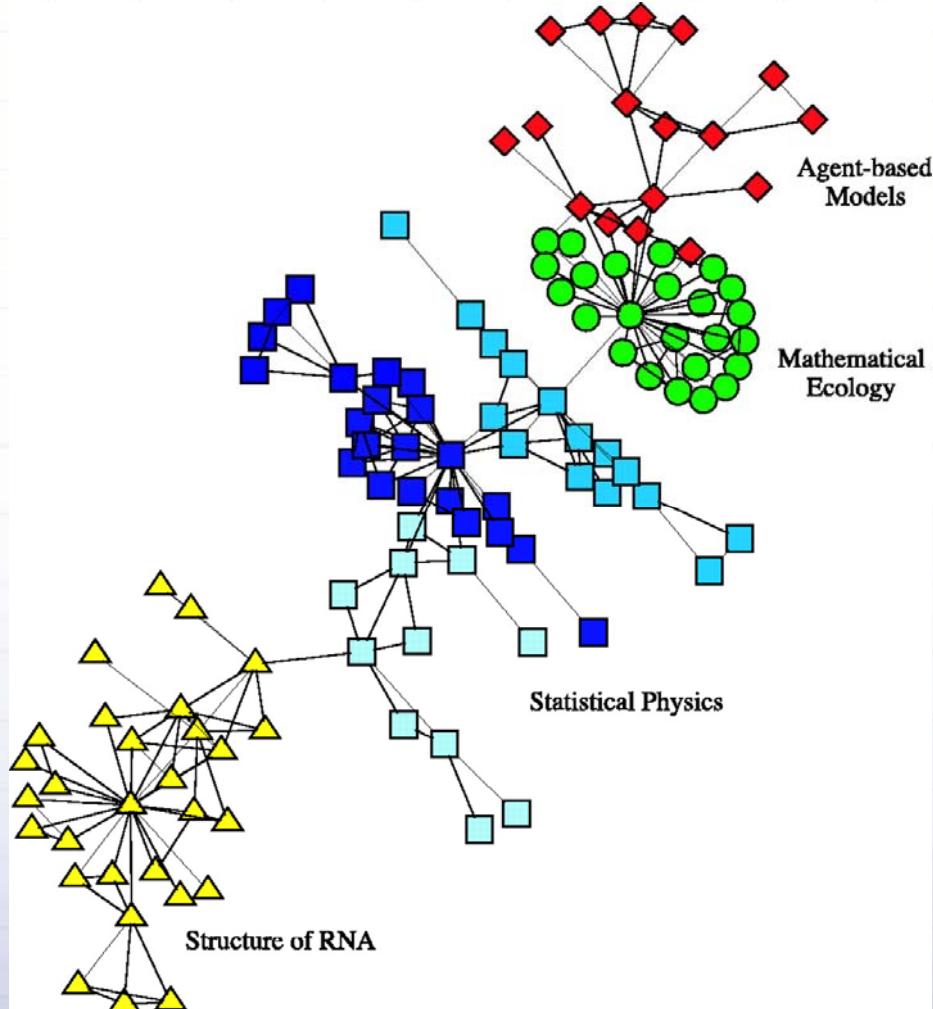
- 24/7 update of content
- Content continually harvested and acquired against community agreed ontology
- Easy access to information gestalts - who, what, where
- Hot spots
  - Institutions
  - Individuals
  - Topics
- Impact of research
  - citation services etc
  - funding levels
  - Changes and deltas
- Dynamic Communities of Practice...

# Mediation and Aggregation: CS AKTive Space

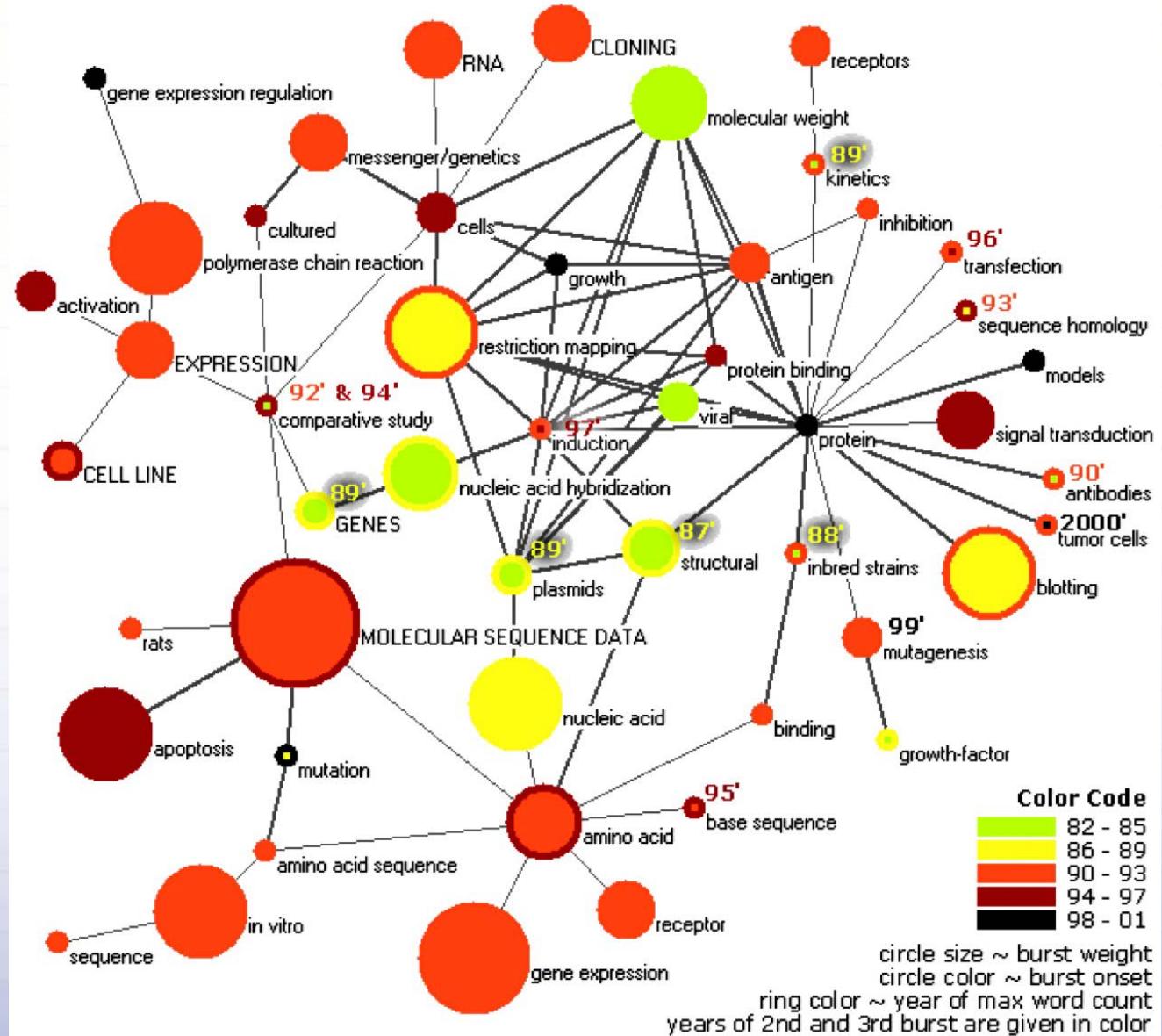
- Content harvested and published from multiple Heterogeneous Sources
- Higher Education directories
- 2001 RAE submissions
- UK EPSRC project database (all grants awarded by EPSRC in the past decade)
- Detailed data on personnel, projects and publications harvested for:
  - all AKT partners
  - all 5 or 5\* CS departments in the UK
  - Automatic NL mining: Armadillo
- Additional resources
  - All UK administrative areas (from ISO3166-2)
  - All UK settlements listed in the UN LOCODE service
  - (and they're all integrated via the AKT reference ontology)
- Protégé Requirement
  - Support between a frame and DL oriented perspective



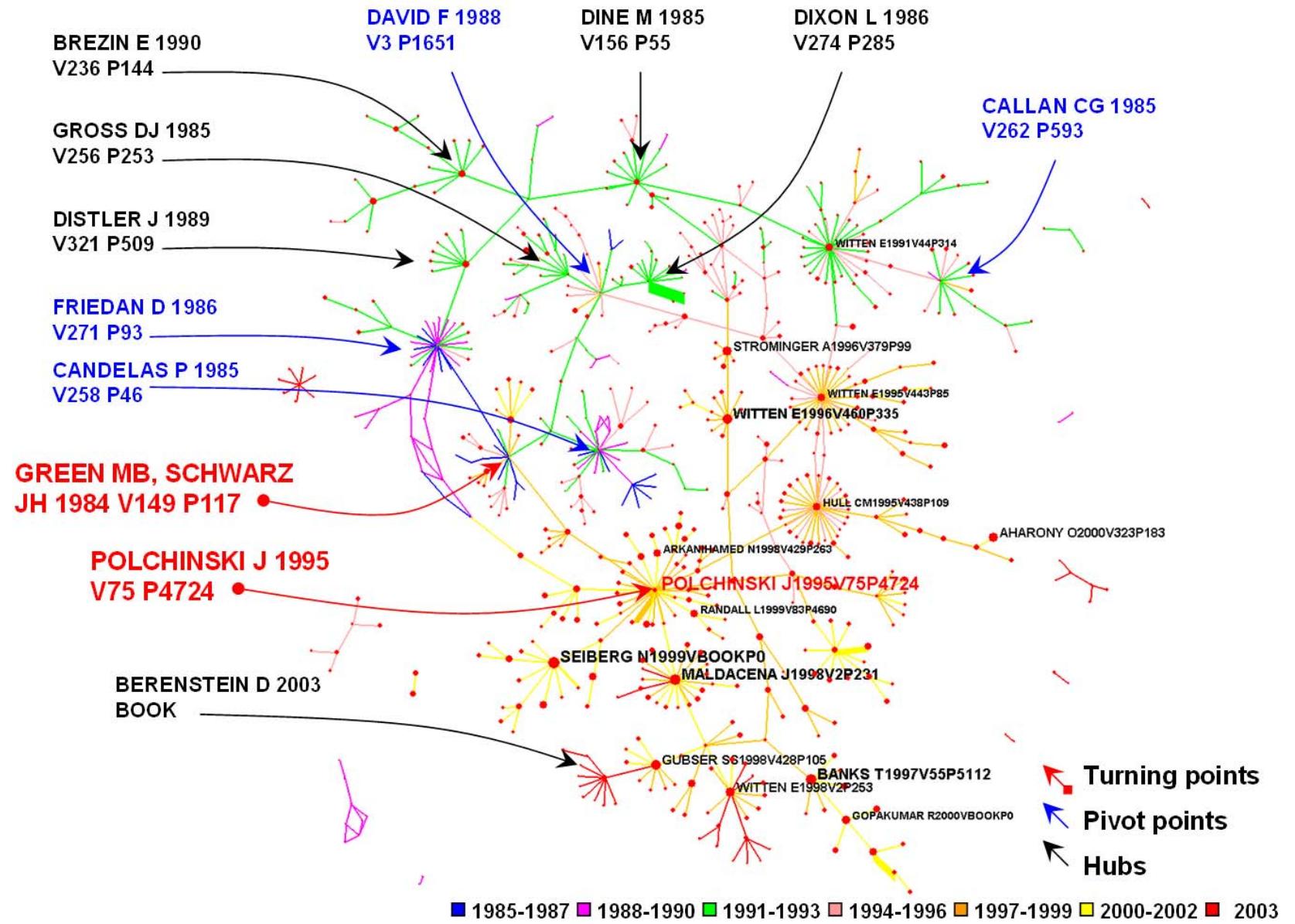
# Extending the model – knowledge mapping: author mapping



# Extending the model – knowledge mapping: topic bursts



# Extending the model – knowledge mapping: pathfinder



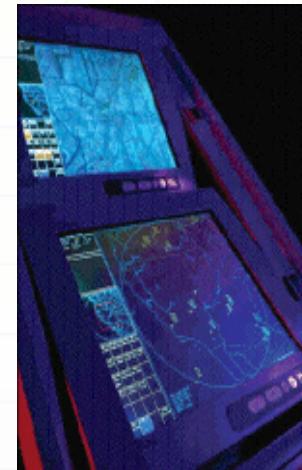
# DTC Project: OOTW

- improved situational awareness in the coordination, planning and deployment of humanitarian aid operations
- integrating operationally-relevant information
- discovery and exploitation of novel information sources



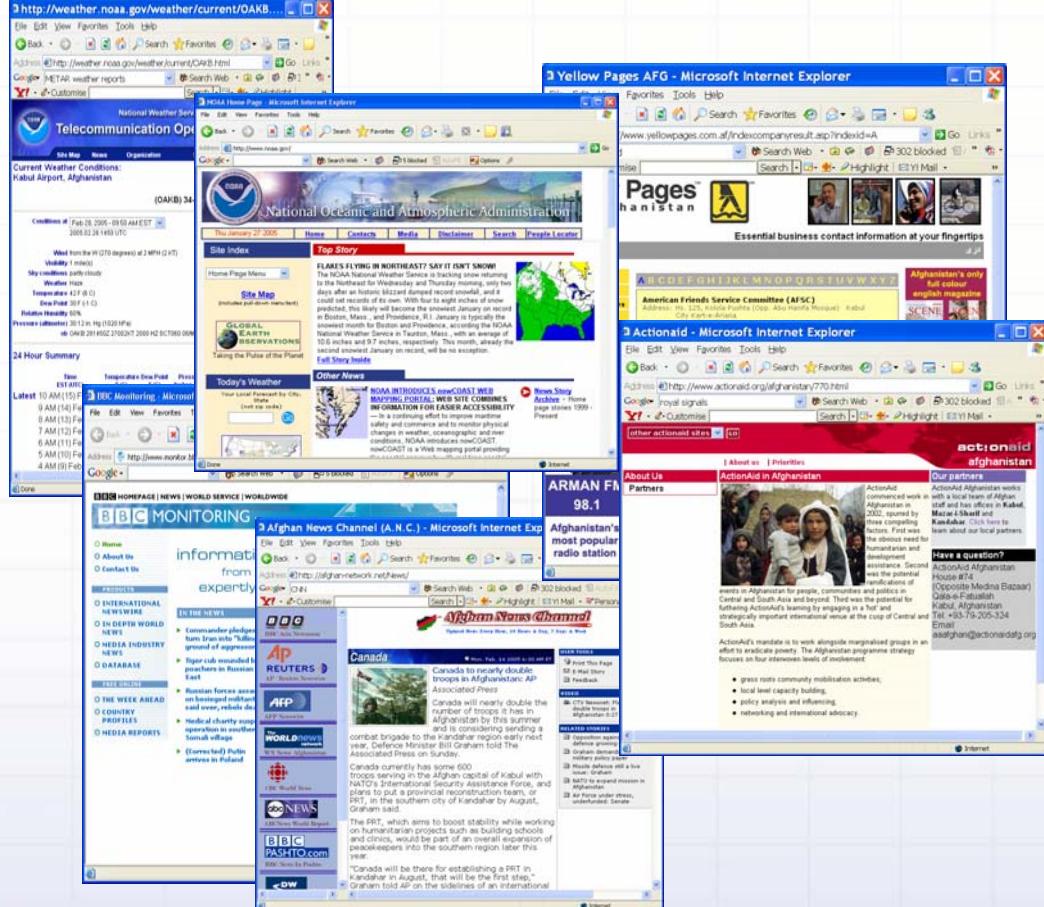
# Capability Requirements

- Event notification
- Facilitation of agent communication networks
- Coordination, planning and deployment of humanitarian aid efforts
- Collaboration of military and humanitarian aid operatives
- Semantically-enriched decision support



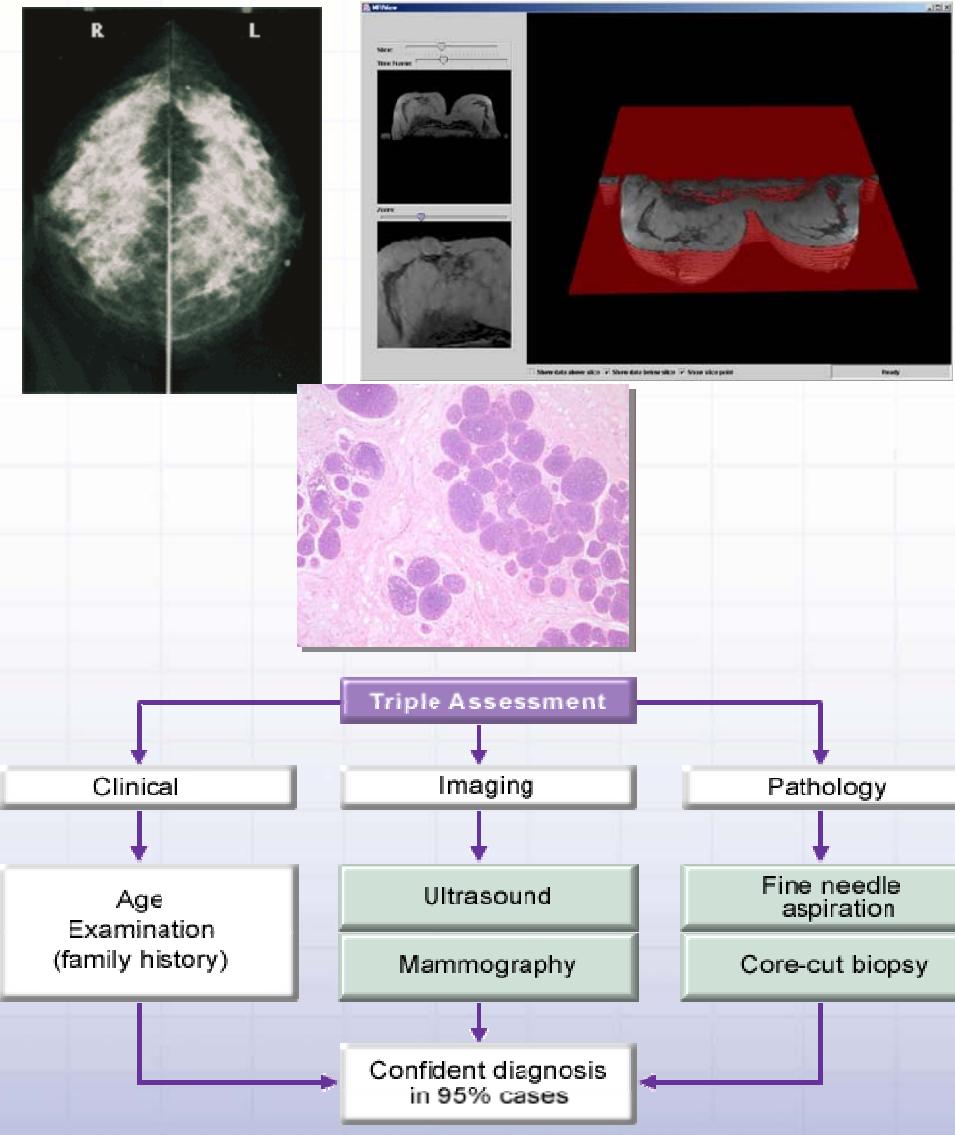
# Information Resources

- exploitation of semantically heterogeneous and physically disparate information sources, e.g.
  - tactical datalinks
  - METAR weather reports
  - BBC monitoring service
  - other news feeds
  - NGO reports
  - institutional websites, e.g. NGDC, NOAA, SPC



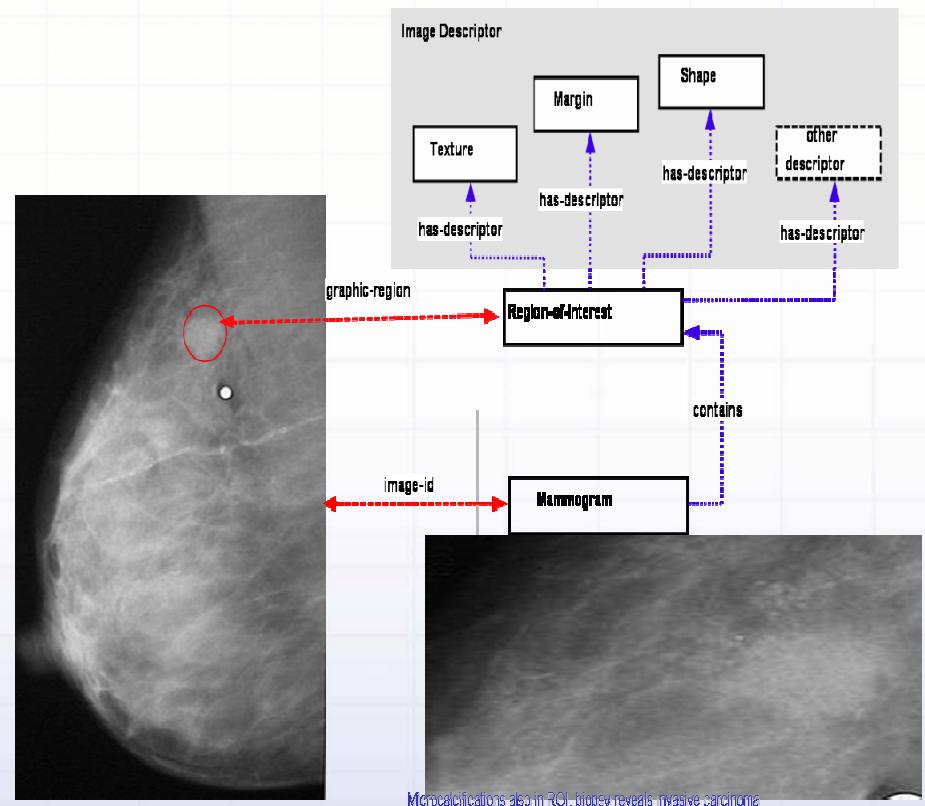
# Complex Ontologies: MIAKT

- Multiple stakeholders
- Multiple viewpoints and ontologies (some implicit)
  - Breast imaging – X-ray, ultrasound, MRI
  - Clinical examination
  - Microscopy – cells and tissues (also, hormone receptors)
- Local dialects in use
- Variation between countries due to factors such as insurance claims!
- Protégé Requirement - Support for multimedia annotation
- Protégé Requirement - Supporting and Mapping Between Multiple Perspectives

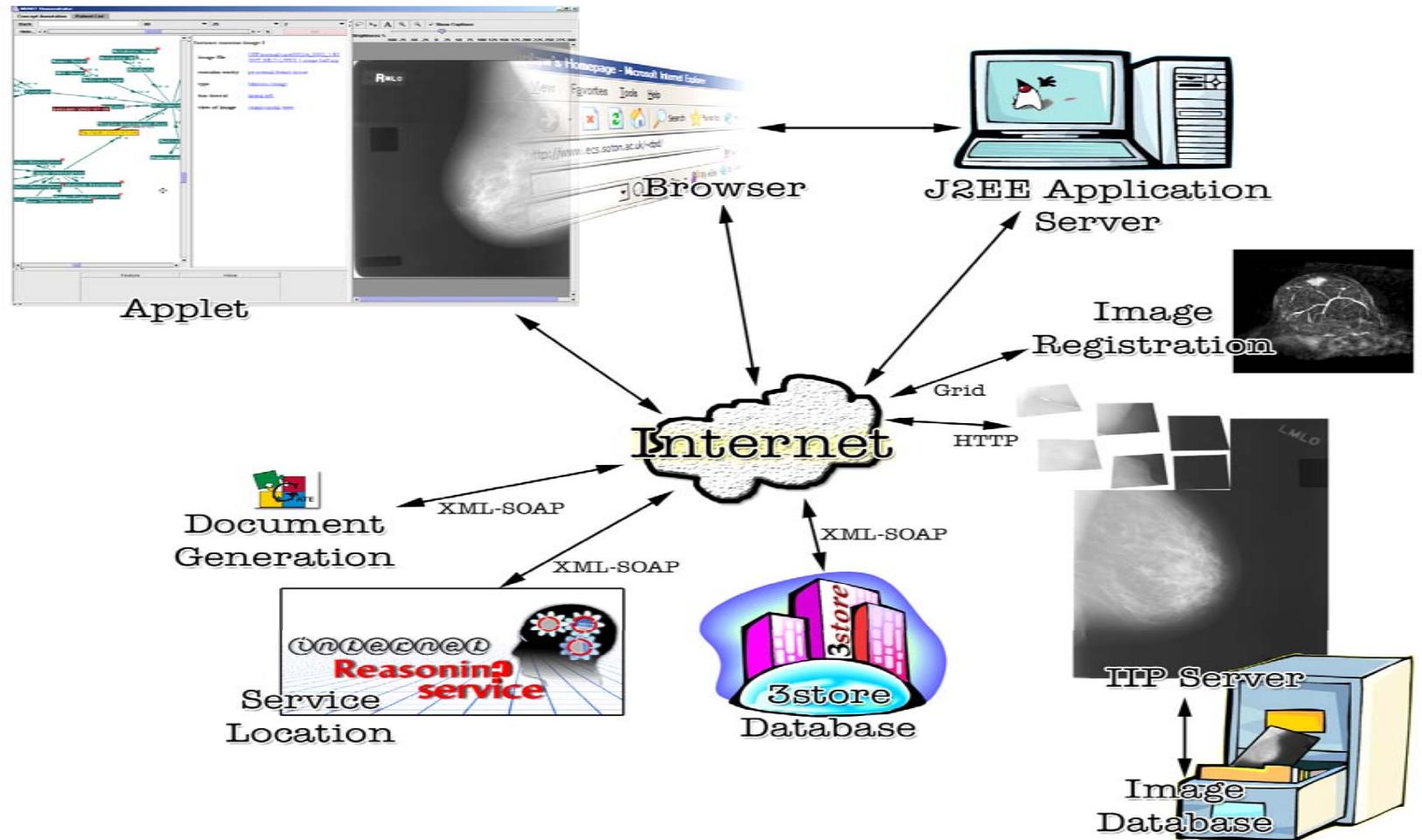


# Ontologies in MIAKT

- Information indexed against ontologies can be retrieved via concept labels
- Image retrieval for annotated images
- Recognition of “significant” condition necessary
- Labels are outcome of classification
- Entered into ontology as declarative concepts



# The MIAKT Framework



# Patient Cases in RDF

```
<rdf:Description rdf:about="#g1p78_patient">
  <rdf:type rdf:resource="#Patient"/>
  <NS2:has_date_of_birth>01.01.1923</NS2:has_date_of_birth>
  <NS2:involved_in_ta rdf:resource="#ta_soton_000130051992"/>
</rdf:Description>

<rdf:Description rdf:about="#ta_soton_000130051992">
  <rdf:type rdf:resource="#Multi_Disciplinary_Meeting_TA"/>
  <NS2:involve_patient rdf:resource="#g1p78_patient"/>
  <NS2:consist_of_subproc rdf:resource="#oe_00103051992"/>
  <NS2:consist_of_subproc rdf:resource="#hp_00117051992"/>
  <NS2:consist_of_subproc rdf:resource="#ma_00127051992"/>
  <NS2:has_overall_impression rdf:resource="#assessment_b5_malignant"/>
  <NS2:has_overall_diagnosis>invasive carcinoma</NS2:has_overall_diagnosis>
</rdf:Description>

<rdf:Description rdf:about="#oe_00103051992">
  <rdf:type rdf:resource="#Physical_Exam"/>
  <NS2:has_date>03.05.1992</NS2:has_date>
  <NS2:produce_result rdf:resource="#oereport_glp78_1"/>
  <NS2:carried_out_on rdf:resource="#g1p78_patient"/>
</rdf:Description>

<rdf:Description rdf:about="#oereport_glp78_1">
  <NS2:type rdf:resource="#Lateral_OE_Report"/>
  <NS2:contains_roi rdf:resource="#oe_roi_00103051992"/>
  <NS2:has_lateral rdf:resource="#lateral_left"/>
</rdf:Description>
```

# MIAKT Services

- Image Analysis Services
  - Oxford's XRay Mammogram Analyser
  - KCL MRI Mammogram Analyser/Classifier
- Classification Services
  - Abnormality Naïve Bayes Classifier (Soton)
  - MRI Lesion Classifier (KCL)
- Patient Data Retrieval Services (OU)
  - For example, "Find Patients With Same Age"
- Image Registration (KCL)
  - GRID service invoked via web-service
- Natural Language Report Generation (Sheffield)
  - Generate a patient report from RDF description
- UMLS Lookup (Sheffield)
  - Lookup term definitions in the UMLS
- Patient Records also accessed through web-service (Soton)
  - Web-service enabled AKT 3store

**MAKT Demonstrator**

**Concept Browser**

Top

- BC\_Domain\_Top
  - Medical\_Exam
  - MRI\_Contrast\_Media
  - MetaData
  - Medical\_Descriptor
  - Breast\_Disease
  - Medical\_Image
  - Triple\_Assessment\_Proc
  - Lateral\_Side
  - MRI\_Process\_Descriptor
  - Patient
- Image\_Descriptor
  - Position\_Descriptor
  - Density\_Descriptor
  - MRI\_Static\_Enhanc...
  - Mammo\_View\_Des...
  - Depth\_Descriptor
  - Mammo\_Texture\_D...
  - Shell\_Descriptor
  - Ass\_Tissue\_Descri...
  - Distribution\_Descri...
  - Morphologic\_Descri...
- Clinician
- Region\_Of\_Interest
- Findings

**BC Domain Top**

**Instances of Patient**   **Instance 00071\_patient**

Help   Class Definition

Close this tab

Invoke task: Find Patients With Same Age

**Instance**  
**00071\_patient**

type [Patient](#)  
 has\_age 57  
 involved\_in\_ta [ta-soton-1070478266177](#)

Invoke task: Find TripleAssessments with same Lateral View

**Instance**  
**ta-soton-1070478266177**

type [Triple\\_Assessment\\_Proc](#)  
 consist\_of\_subproc [00071\\_mammography](#)  
 involve\_patient [00071\\_patient](#)

Invoke task: Find Mammograms with same Lateral View

**Instance**  
**00071\_mammography**

produce\_result [image\\_00071\\_right\\_mlo](#)  
 produce\_result [image\\_00071\\_right\\_cc](#)  
 produce\_result [image\\_00071\\_left\\_mlo](#)  
 produce\_result [image\\_00071\\_left\\_cc](#)  
 type [Mammography](#)  
 carried\_out\_on [00071\\_patient](#)  
 has\_date 27 12 1992

**Right\_Lateral\_Image**   **Right\_CC\_Image**

**Left\_Lateral\_Image**   **Left\_CC\_Image**

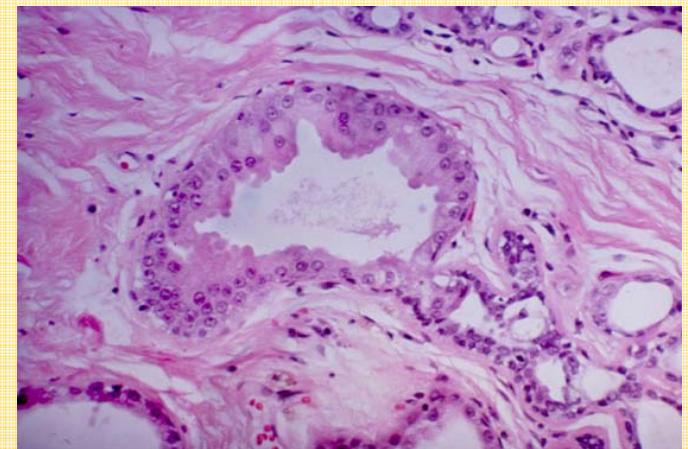
# What are the ontological classes in MIAKT?

- After Dasmahapatra and O'Hara 2005
- They are end-products of epistemological and/or decision-making procedures
- One needs to “recognise” instances of a particular class as such
- Information indexed against an ontology can be treated declaratively (Tarski, OWL), but ...
- ... they come into being procedurally against social and institutional norms

# Institutional Norms

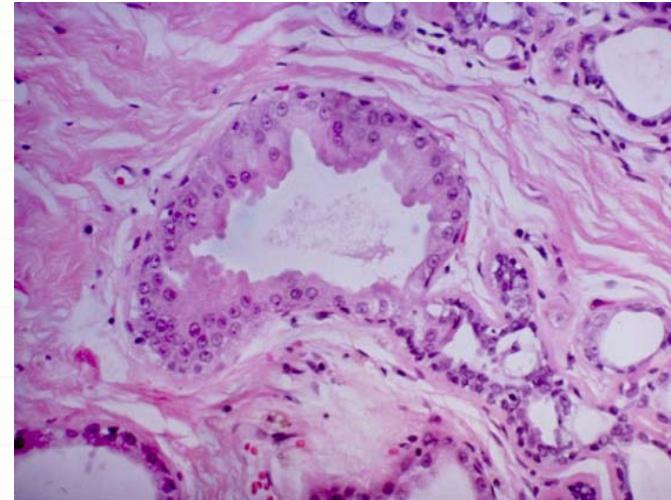
- NHS guidelines suggests for identification of apocrine cells (common false positive):

“Recognition of the dusty blue cytoplasm, with or without cytoplasmic granules with Giemsa stains or pink cytoplasm on Papanicolaou or haematoxylin and eosin stains coupled with a prominent central nucleolus is the key to identifying cells as apocrine.”



- Common false-positives in FNAC is misdiagnosis of apocrine cells as malignant condition (pleomorphic appearance signals malignancy; morphological characteristics trad. distinguishing classification criteria for pathologists)
- For KR support, need to record not just the label relevant for diagnosis (“apocrine cells”) but also the means by which such a labelling was achieved

# Formalised Procedures

$$\forall x (\text{fixed-sample}(x) \wedge \exists y_+ [\text{stain}(y_+) \wedge \text{stained-with}(x, y_+) \rightarrow \\ \text{Some-Pathological-Concept}(x) \longleftrightarrow \exists z_+ (\text{colour}(z_+) \wedge \\ \exists w (\text{cytoplasm}(w) \wedge \text{contains}(x, w) \wedge \text{has-colour}(w, z_+))))]$$


- For laboratory practice  $L(x, t)$  that specimen  $x$  is subjected to in context  $t$  (time, state variables for exptal/clinical conditions) a predicative attribute  $P(x)$  is identified with behavioural response  $B(x, t)$  leading to an implicit definition of  $P(x)$

# Procedures for Reproducibility

- Specific criteria for identifying histopathological slides as instances of particular lesions – rule following props – make concept labelling reproducible

Standardised Protocol 6 Pathologists		Non-standard Protocol 5 Pathologists	
# Agree	% of cases	# Agree	% of cases
6/6	58	5/5	0
5/6	71	4/5	20
4/6	92	3/5	50

For Ductal Carcinoma in situ,  
 Atypical ductal hyperplasia,  
 procedural criteria reduces  
 inter-expert variability

Criteria of Page et al (Cancer 1982; **49**:751-758; Cancer 1985; **55**:2698-2708), reported by Fechner in MJ Silverstein (1997). Ductal Carcinoma In Situ of the Breast

# Norms and Rule-following

- Concept use in medical practice requires the **recognition** of instances as **instances** of appropriate classes
- Classes are assigned as **proxies** of groups of instances to respond in coherent ways to patterns of questioning
- Class ascription needs to be **reproducible**
- Reproducibility is enhanced by rule-following

# So Ironically...

- What was regarded as an implausible philosophical account of ontology (realist) now finds a new embodiment
  - Machines are able to support Tarski semantics
- There is a coming together of a procedural/constructivist account within an apparently traditional formal semantics
- There is a place for a denotational semantics that support ontologies
- But do not expect the meanings to remain stable – they are constructed – they have always been
- Need to understand how meaning will become more richly constructed by our machines and systems in the future

# And Finally Requirements on any Ontology Engineering Framework

- Maintenance
  - How to support dynamic evolution
- Viewpoints
  - Mapping within and between perspectives
- Context
  - Design Rationale
- Reuse
  - Disaggregating, modularity, patterns
- Multimedia
  - Annotation and feature extraction
- Rules and procedures
  - Objects/Descriptions & Rules/Procedures

# Real ontologists ....

- Real ontologists consider themselves well dressed if their socks match.
- Real ontologists have a non-technical vocabulary of 800 words.
- Real ontologists give you the feeling you're having a conversation with an dial tone.
- Real ontologists wear badges so they don't forget who they are.
- Real ontologists don't find the above at all funny.

