## System Description: DeepLLM, Casting Dialog Threads into Logic Programs

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```
code at https://github.com/ptarau/recursors/
    demo at https://deepllm.streamlit.app/
emo at https://deep-auto-quests.streamlit.app/
```

#### Overview

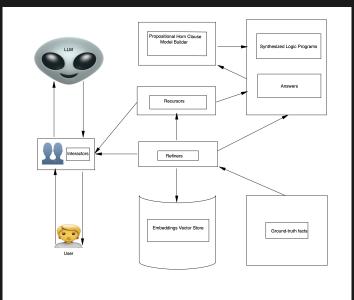
- DeepLLM is a system that automates deep step-by step reasoning in an LLM dialog thread by recursively exploring alternatives (OR-nodes) and expanding details (AND-nodes) up to a given depth
- starting from a single succinct task-specific initiator we steer the automated dialog thread to stay focussed on the task by synthesizing a prompt that summarizes the depth-first steps taken so far
- semantic similarity to ground-truth facts or oracle advice from another LLM instance is used to restrict the search space and validate the traces of justification steps returned as focussed and trustable answers
- Applications:
  - consequence predictions
  - causal explanations
  - step by step guidance to achieve a goal
  - topic-focussed exploration of scientific literature
  - supporting arguments for a thesis
  - recommendation systems



### Introduction to DeepLLM

- <u>Purpose: enhancing interactions with large language models (LLMs).</u>
- It steers the LLMs' dialog thread by casting it into a Logic Program
- Dually: it tweaks logic programming for the LLM context
- Aims to streamline information retrieval and interaction with LLMs
- Addresses the complexity of prompt engineering
- Streamlines user engagement with LLMs across various applications

## DeepLLM: System Architecture



### DeepLLM: key components

- Active components ("Agents"): interactors, recursors, refiners.
  - Interactors manage input prompts and task breakdown
  - Recursors handle iterative exploration of subtasks
  - Refiners enhance clarity and relevance of LLM responses
- Resources:
  - Ground truth facts: sentences collected from online sources or local
  - Vector store: enables "semantic search" via embeddings of sentences

## A first example: AGI as seen by GPT4

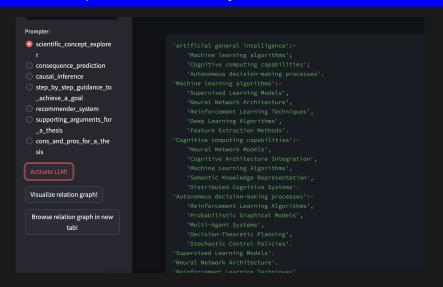


Figure: A Logic Program: AGI as seen by GPT4

## Graph of Relations defining AGI



Figure: Relations defining AGI

## Logic Programming: Propositional Horn Clauses in Prolog

```
pet :- doa.
pet :- snake.
dog :- barks, walks, bites.
cat :- purrs, walks, hisses.
snake: - hisses, slither, bites.
walks :- true.
purrs :- true.
bites :- true.
hisses :- true.
bites :- false.
barks :- false.
```

```
?- pet.
true % pet -> walks, purrs, hisses -> cat
```

## DeepLLM: Logic Programming, but done differently!

- SLD-resolution's clause selection via unification is replaced by LLM-driven dynamic clause head creation with an option of focusing by proximity of embeddings to ground truth facts
- as dialog units are sentences, the underlying logic is propositional
- client-side management (via the API) of the LLM's memory is based on the equivalent of a goal stack and a goal trace recording our steps on the current search path
- instead of variable bindings, answers are traces of justification steps clearly explaining where they are derived from
- when their depth-limit is reached, the items on the goal stack are interpreted as "abducibles", statements that can be hypothetically assumed and then checked against "integrity constraints"

## Keeping the ground truth in mind

- our depth-bounded refinement steps support compilation of the dialog threads to a Horn Clause program to be explored with logic programming solvers
- modular, task specific, customizable prompt engineering primitives are aggregated together for "AND-step" and "OR-step" prompts
- normalized semantic similarity measures of embeddings can be made available when generating probabilistic logic programs
- sentences in authoritative documents or collections seen as "ground-truth facts" can be used to select abducibles via semantic similarity or advice of an LLM-based oracle

Overall, our approach exploits synergies between structured prompt engineering, logic-guided recursion over LLM queries and semantic search in an embeddings vector store.

#### Interactors

- the LLM API: same client-server interface for local and outside LLM
- prompt templates example:
  - AND-OR prompt patterns for causal reasoning

```
causal prompter = dict(
   name='causal',
   and p="""We need causal explanations in this context: "$context"
       Generate 3-5 explanations of 2-4 words each for the causes of "$q".
       Itemize your answer, one reason for "$q" per line.
       No explanations needed, just the 2-4 words noun phrase,
       nothing else."",
   or p=""We need causal explanations in this context: "$context"
       Generate 2-3 alternative explanations citing facts that might
       cause "$a".
       Itemize your answer, one noun phrase per line.
       No explanations needed, just the noun phrase, nothing else.
```

#### Recursors

 $\bullet$  OR-prompter expanding the head h in a series of alternatives

$$a_1,\ldots,a_n$$
:

- $h : -a_1$ .
- $h : -a_2$ .
- ...
- $\bullet$   $h:-a_m.$

more concisely expressed with a disjunctive body as:

$$\bullet$$
  $h : -a_1; a_2; ...; a_m.$ 

the result of an AND-prompter:

$$\bullet$$
  $h : -b_1, b_2, \ldots, b_n$ .

 when a depth limit is reached, the remaining unexplored goals are considered as abducibles

#### Refiners

- The Embeddings Vector Store
  - Filtering abducibles with semantic distance to ground-truth facts
- Refining decisions with LLM-based oracles
  - The LLM-based True/False Decider
  - The LLM-based Rater

# The Model builder: fast Propositional Horn Clause Satisfiability solvers

- loops in the generated clauses would create problems with Prolog's depth-first execution model
- ullet also, satisfiability of propositional Horn clause logic is in P (actually, there's a linear graph-based algorithm by Dowling and Galier)
- implement a simple solver, propagating truth from facts to rules!

## Implementing the The Model builder

- given a Horn Clause  $h:-b_1,b_2,...,b_n$ , when all  $b_i$  are known to be true (i.e., in the model), h is also added to the model
- if integrity constraints (Horn clauses of the form  $false: -b_1, b_2, ..., b_n$ ) have also been generated by the oracle agents monitoring our refiners, in the advent that all  $b_1, b_2, ..., b_n$  end up in the model,  $b_1, b_2, ..., b_n$  implying false signals a contradiction, thus unsatisfiability of the Horn formula associated to the generated program
- options to handle it:
  - return the logically correct answer: no solutions
  - stop as soon as a proof of the original goal emerges, assuming that contradictions far away are not affecting the result

## An alternative (not in the paper, but implemented): a torch-based GPU-friendly solver

- https://github.com/ptarau/recursors/tree/main/ tenslogic
- based on Sakama, Inoue and Sato's tensor fixpoint computation
- to take advantage of GPUs, we use torch
- while complexity is not linear anymore, GPU-acceleration makes it practical for mid-size LLM-generated programs
- future work:
  - use of sparse tensors for reduced complexity
  - adaptation to work with vector embeddings and soft unification

#### Toward Trustable Generative Al

- trustable Al: requirement for wide adoption of today's LLMs in medical, educational, defense and several other business applications
- likely subject of upcoming government regulations
- ⇒ DeepLLM provides a principled approach toward trustable generative AI:
  - oracles enforcing consistency and factuality
  - focussed reasoning steps enforced by casting AND/OR steps into a propositional Horn clause program

### How DeepLLM enhances a user's LLM Interactions?

- Reduces complexity of prompt engineering
- Automates decomposition of tasks into simpler sub-tasks
- Refines prompts for more accurate user intent capture
- Generates dynamic responses for a wide range of queries
- Improves user experience with concise and relevant information

#### **Future Work**

- Granularity Refiners
  - higher granularity: one can work with sentences/statements instead of noun phrases
  - lower granularity,: SVO triplets
- Question generators
  - asking LLMs to generate follow-up questions, recursively
- Diversifiers and Harmonizers
  - to restrict unwanted "hallucinatory" generation twists diversifiers and harmonizers can be expressed as additional integrity constraints
  - diversifier: no two OR-nodes are too close semantically
  - harmonizer: no two AND-nodes are too far semantically
  - implemented with semantic distances in the embeddings vector store
- Extended Implementation Techniques
  - fixpoint computation with torch tensors (actually already working!)
  - extend the power of the underlying logic language: ASP, probabilistic LP, Symmetric LP - based on Dual Horn clauses - to be out soon!

#### Conclusion

- automation of deep step-by step reasoning in LLM dialog threads while staying focussed on the task at hand
- we have made LLMs function as de facto logic programming engines that mimic SLD-resolution as they invent propositional Horn programs
- instead of trying to parse sentences into logic formulas, we have accommodated our logic engine to fit the natural language reasoning patterns LLMs have been trained on
- semantic similarity to ground-truth facts and oracle advice from another LLM instance has been used to restrict the search space and validate the traces of justification steps returned as answers
- focussed, controllable output, enabling:
  - deep investigations into details of specific scientific domains
  - expert-level causal reasoning or consequence predictions
- a method to extract hallucination-free focussed knowledge as a logic program that encapsulates trustable AI in a clearly expressed and easily verifiable framework

## Questions?

Thank you for listening!

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Links, ready to try out!