

Arithmetic with Free Algebras and Hereditarily Finite Sets: a Natural Bridge between Numeric and Symbolic Computations

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Motivation

- we answer positively two questions that one might be curious about:
 - can we do arithmetic directly with some “symbolic” mathematical objects - e.g. binary trees, balanced parenthesis languages, hereditarily finite sets?
 - is this alternative arithmetic efficient enough to be practical?
- to this end, we will use some isomorphisms of free algebras
 - free algebras are widely used in programming languages: they correspond to recursive data types like lists or trees
 - bijections from free algebras provide compact representations for non-free data types like sets, multisets, graphs
 - also, code representations for Turing-equivalent computational mechanisms (e.g. combinators)
- we believe in easily replicable research (by contrast: “cold fusion” :-))
- \Rightarrow “literate programming”: the code extracted from these slides runs!
- \Rightarrow a guided tour to an executable specification of an alternative way to perform and explain some of our most basic computations

A Freedom Quote

No one is more of a slave than he who thinks himself free without being so.

JOHANN WOLFGANG VON GOETHE, The Maxims and Reflections of Goethe

Outline

- 1 Free algebras and data types
- 2 The Peano algebra AlgU
- 3 Successor and predecessor in the two-successor algebra AlgB
- 4 Binary Arithmetic in AlgB
- 5 Successor and predecessor in the algebra of binary trees AlgT
- 6 Arithmetic computations in AlgT
- 7 Representing lists, sets, multisets
- 8 Arithmetic with Hereditarily Finite Sets
- 9 Arithmetic with balanced parenthesis languages
- 10 Computing with the Calkin-Wilf bijection
- 11 Conclusion

Definition

Let σ be a signature consisting of an alphabet of constants (called generators) and an alphabet of function symbols (called constructors) with various arities. The free algebra A_σ of signature σ is defined inductively as the smallest set such that:

- 1 if c is a constant of σ then $c \in A_\sigma$
- 2 if f is an n -argument function symbol of σ , then
 $\forall i, 0 \leq i < n, t_i \in A_\sigma \Rightarrow f(t_0, \dots, t_i, \dots, t_{n-1}) \in A_\sigma$.

- alternatively, free algebras can be seen as *initial objects* in the category of algebraic structures
- free algebras can be axiomatized in predicate logic by defining constructors, deconstructors and recognizers
- conversely, the language of predicate logic itself is built from:
 - function constructors (generating the *Herbrand Universe*)
 - predicate constructors (generating the *Herbrand Base*)

Free algebras as data types

the Haskell declarations

```
data AlgU = U | S AlgU deriving (Eq,Read,Show)
data AlgB = B | O AlgB | I AlgB deriving (Eq,Read,Show)
data AlgT = T | C AlgT AlgT deriving (Eq,Read,Show)
```

correspond, respectively to

- the free algebra AlgU with a single generator U and unary constructor S (that can be seen as part of the language of Peano arithmetic, or the decidable $(W)S1S$ system)
- the free algebra AlgB with single generator B and two unary constructors O and I (corresponding to the language of the decidable system $(W)S2S$ as well as “bijective base-2” number notation)
- the free algebra AlgT with single generator T and one binary constructor C (essentially the same thing as the *free magma* generated by T).

Magmas: a “classic” set-theoretical view

Definition

A set M with a (total) binary operation $$ is called a magma.*

Definition

*A morphism between two magmas M and M' is a function $f : M \rightarrow M'$ such that $f(x * y) = f(x) * f(y)$.*

Definition

*The set $M(X)$ with the composition operation $(w, w') \rightarrow w * w'$ is called the free magma generated by X .*

Morphisms of magmas

Proposition

Let M be a magma. Then every mapping $u : X \rightarrow Y$ can be extended in a unique way to a morphism of $M(X)$ into Y , denoted $M(u)$.

If $v : Y \rightarrow Z$ then the morphism $M(v) \circ M(u)$ extends $v \circ u : X \rightarrow Z$ and therefore $M(v) \circ M(u) = M(v \circ u)$.

Proposition

If $u : X \rightarrow Y$ is respectively injective, surjective, bijective then so is $M(u)$.

It follows that

Proposition

If $X = \{x\}$ and $Y = \{y\}$ and $u : X \rightarrow Y$ is the bijection such that $f(x) = y$, then $M(u) : M(X) \rightarrow M(Y)$ is a bijective morphism (i.e. an isomorphism) of free magmas.

The AlgT datatype as a free magma

$$\text{data AlgT} = T \mid C \text{ AlgT AlgT}$$

We will identify the data type `AlgT` with the free magma generated by the set $\{T\}$ and denote its binary operation $x * y$ as $C \ x \ y$. It corresponds to the free algebra defined by the signature $\{T/0, \ C/2\}$.

Proposition

Let X be an algebra defined by a constant t and a binary operation c . Then there's a unique morphism $f : \text{AlgT} \rightarrow X$ that verifies

$$f(T) = t \tag{1}$$

$$f(C(x, y)) = c(f(x), f(y)) \tag{2}$$

Moreover, if X is a free algebra then f is an isomorphism.

- it also occurs under a few alternate names:
 - the *one successor* free algebra
 - unary natural numbers
 - the language of the monoid $\{0\}^*$
 - the language of the decidable systems WS1S and S1S
- it is defined by the signature $\{U/0, S/1\}$, where U is a constant (seen as zero) and S is the unary successor function symbol
- we denote it $\text{Alg}U$ and identify it with its corresponding Haskell data type

`data AlgU = U | S AlgU`

The data type AlgU as a free algebra

Proposition

Let X be an algebra defined by a constant u and a unary operation s . Then there's a unique morphism $f : \text{AlgU} \rightarrow X$ that verifies

$$f(U) = u \tag{3}$$

$$f(S(x)) = s(f(x)) \tag{4}$$

Moreover, if X is a free algebra then f is an isomorphism.

Note that following the usual identification of data types and initial algebras, AlgU corresponds to the **initial algebra** “ $1 + _$ ” through the operation $g = \langle U, S \rangle$ seen as a bijection $g : 1 + \mathbb{N} \rightarrow \mathbb{N}$.

The *two successor* free algebra

- it also occurs under a few alternate names:
 - bijective base-2 natural numbers
 - the language of the monoid $\{0,1\}^*$
 - the language of the decidable systems WS2S and S2S
- it is defined by the signature $\{B/0, O/1, I/1\}$ where
 - B is a constant (seen as denoting the empty sequence)
 - O, I are two unary successor function symbols
- we denote AlgB this algebra and identify it with its corresponding Haskell data type

`data AlgB = B | O AlgB | I AlgB`

The data type AlgB as a free algebra

Proposition

Let X be an algebra defined by a constant b and a two unary operations o, i . Then there's a unique morphism $f : \text{AlgB} \rightarrow X$ that verifies

$$f(B) = b \tag{5}$$

$$f(O(x)) = o(f(x)) \tag{6}$$

$$f(I(x)) = i(f(x)) \tag{7}$$

Moreover, if X is a free algebra then f is an isomorphism.

Borrowing Arithmetic from the Peano Algebra

- we know how to do (unary) arithmetic in Peano algebra AlgU
- defining **isomorphisms** between AlgU , AlgB and AlgT will enable such arithmetic operations on AlgB and AlgT
- we need to define bijections that commute with
 - the successor operation
 - the predecessor operation
 - the predicate recognizing the zero element U
- one can think about these functions as bijective Gödel numberings connecting objects of AlgB and AlgT to natural numbers, seen as objects of AlgU
- one can also think about emulating constructor operations in one algebra with equivalent (possibly more complex) computations in another algebra

A Freedom Quote

Freedom's just another word for nothing left to lose.

KRIS KRISTOFFERSON, "Me and Bobby McGee"

- \Rightarrow no information will be lost by “commuting” between algebras - we will ensure that our morphisms are bijections

Successor and predecessor in AlgB

The intuition for designing these operations is their conventional arithmetic interpretation, as 0 for B, $\lambda x.2x + 1$ for O and $\lambda x.2x + 2$ for I.

-- successor

sB B = O B -- 1 --

sB (O x) = I x -- 2 --

sB (I x) = O (sB x) -- 3 --

-- predecessor

sB' (O B) = B -- 1' --

sB' (O x) = I (sB' x) -- 3' --

sB' (I x) = O x -- 2' --

Correctness of our successor and predecessor emulation

Proposition

Let \mathbb{B} be the set of terms of the initial algebra AlgB and $\mathbb{B}^+ = \mathbb{B} - \{B\}$. Then $s_B: \mathbb{B} \rightarrow \mathbb{B}^+$ is a bijection and $s_B': \mathbb{B}^+ \rightarrow \mathbb{B}$ is its inverse.

Proof.

(Sketch). We proceed by structural induction. Clearly the proposition holds for the base case as $s_B'(s_B B) = s_B'(O B) = B$ and $s_B(s_B'(O B)) = s_B B = O B$. The result follows from the inductive hypothesis by observing that exactly one rule matches each expression and an application of rule “ $- 2 -$ ” is undone by “ $- 2' -$ ” and an application of rule “ $- 3 -$ ” is undone by rule “ $- 3' -$ ” and viceversa. □

The isomorphism between AlgU and AlgB

The functor $u2b$ defined as

$$u2b :: \text{AlgU} \rightarrow \text{AlgB}$$
$$u2b\ U = B$$
$$u2b\ (S\ x) = sB\ (u2b\ x)$$

and its inverse

$$b2u :: \text{AlgB} \rightarrow \text{AlgU}$$
$$b2u\ B = U$$
$$b2u\ x = S\ (b2u\ (sB'\ x))$$

define an isomorphism between the two algebras which allows us to see AlgB as a model for an axiomatization of arithmetic on \mathbb{N} .

We can thus generate the stream enumerating the terms of AlgB as follows:

$$\text{binNats} = \text{iterate}\ sB\ B$$

```
> take 8 binNats
```

$$[B, O\ B, I\ B, O\ (O\ B), I\ (O\ B), O\ (I\ B), I\ (I\ B), O\ (O\ (O\ B))]$$

A Freedom Quote

Freedom is something that dies unless it's used.

HUNTER S. THOMPSON, Ancient Gonzo Wisdom

⇒ we will use the free algebra $\text{Alg } B$ to define binary arithmetic

Other arithmetic operations, can be defined in terms of sB , sB' and structural recursion. For instance, the addition $addB$ operation looks as follows:

$$addB\ B\ y = y$$

$$addB\ x\ B = x$$

$$addB(O\ x)\ (O\ y) = I\ (addB\ x\ y)$$

$$addB(O\ x)\ (I\ y) = O\ (sB\ (addB\ x\ y))$$

$$addB(I\ x)\ (O\ y) = O\ (sB\ (addB\ x\ y))$$

$$addB(I\ x)\ (I\ y) = I\ (sB\ (addB\ x\ y))$$

- performance moves from $O(n)$ in the Peano algebra to $O(\log(n))$
- effort is now proportional to the size of the binary representation!

Conversion between ordinary and binary tree naturals

```
data AlgT = T | C AlgT AlgT
```

```
type N = Integer
```

```
n2t :: N → AlgT
```

```
n2t 0 = T
```

```
n2t x | x > 0 = C (n2t (nC' x)) (n2t (nC'' x)) where  
  nC' x | x > 0 = if odd x then 0 else 1 + (nC' (x `div` 2))  
  nC'' x | x > 0 =  
    if odd x then (x-1) `div` 2 else nC'' (x `div` 2)
```

```
t2n :: AlgT → N
```

```
t2n T = 0
```

```
t2n (C x y) = nC (t2n x) (t2n y) where  
  nC x y = 2x*(2*y+1)
```

The intuitions behind the arithmetic operations on AlgT

The intuitions we have used for designing the successor (s) and predecessor operations (s') in AlgT and their helper functions d and d' : **their “conventional” arithmetic interpretations!**

- $\lambda x. x + 1$ for s
- $\lambda x. x - 1$ for s' assuming $x > 0$
- 0 for T
- $\lambda x. \lambda y. 2^x(2y + 1)$ for C
- $\lambda x. 2x$ for d (assuming $x > 0$)
- $\lambda x. x/2$ (assuming x even and $x > 0$) for d'

(somewhat) related:

- hereditary base- k notation in the proof of **Goodstein's theorem**
- good old **floating point** + recursion on the representation of the exponent
- run-length compression of 0's in a binary string

Defining the Successor and Predecessor on AlgT

This time, the definitions of successor s and predecessor s' , together with the helper functions d and d' are mutually recursive:

$$s \ T = C \ T \ T \quad \text{-- 1 --}$$

$$s \ (C \ T \ y) = d \ (s \ y) \quad \text{-- 2 --}$$

$$s \ z = C \ T \ (d' \ z) \quad \text{-- 3 --}$$

$$s' \ (C \ T \ T) = T \quad \text{-- 1' --}$$

$$s' \ (C \ T \ y) = d \ y \quad \text{-- 3' --}$$

$$s' \ z = C \ T \ (s' \ (d' \ z)) \quad \text{-- 2' --}$$

$$d \ (C \ a \ b) = C \ (s \ a) \ b \quad \text{-- 4 --}$$

$$d' \ (C \ a \ b) = C \ (s' \ a) \ b \quad \text{-- 4' --}$$

Correctness of the successor and predecessor definitions

Proposition

Let \mathbb{T} be the set of terms of the initial algebra AlgT and $\mathbb{T}^+ = \mathbb{T} - \{T\}$. Then $s: \mathbb{T} \rightarrow \mathbb{T}^+$ is a bijection and $s': \mathbb{T}^+ \rightarrow \mathbb{T}$ is its inverse.

To prove this we will use the structural induction principle on AlgT :

Proposition

Let $P(x)$ be a predicate about the terms of AlgT . If P holds for the generator $T \in \text{AlgT}$ and from $P(x)$ and $P(y)$ one can conclude $P(C \ x \ y)$, then P holds for all terms of AlgT .

The Proof

Proof.

By induction on the structure of the terms of AlgT . Observe that f is the inverse of f' if and only if $\forall u \in \mathbb{T}, \forall v \in \mathbb{T}^+, f u = v \iff f' v = u$. We will show this for the base case and the inductive steps for both s and s' as well as d and d' .

Observe that if s and s' are inverses, then d and d' are also inverses. This reduces to: $d y = z \iff d' z = y$, or equivalently, that $d (C a b) = C c d \iff d' (C c d) = C a b$, which further reduces to $C (s a) b = C c d \iff C (s' c) d = C a b$ and $s a = c \iff s' c = a$, which holds based on the inductive hypothesis for s and s' .

Our main induction proof, by case analysis: rules k and k' are such that rule “ $- k -$ ” is the unique match for function f if and only if rule “ $- k' -$ ” is the unique match for function f' . □

The Proof - continued

We will show that $s\ u = v \iff s'\ v = u$, assuming it holds inductively for all a, b such that $v = C\ a\ b$. Note that case $k = 1, 2, 3, 4$ corresponds to the application of rules “- k -” and “- k' -” in the definitions of s , s' and d , d' .

- ① $s\ u = s\ T = C\ T\ T = v \iff s'\ v = s'\ (C\ T\ T) = T = u$
- ② $s\ u = s\ (C\ T\ y) = d\ (s\ y) = v \iff s\ y = d'\ v$
 $s'\ v = C\ T\ y$ where $y = s'\ (d'\ v) \iff s\ y = d'\ v$, given that d and d' are inverses under the inductive hypothesis covering their calls to s and s' .
- ③ $v = s\ u \iff v = C\ T\ y$ where $y = d'\ u$
 $u = s'\ v \iff v = C\ T\ y$ where $u = d\ y$, which holds, given that
- ④ d and d' are inverses under the inductive hypothesis covering their calls to s and s' .

The isomorphism between AlgU and AlgT

The functor u2b defined as

$$\text{u2t} :: \text{AlgU} \rightarrow \text{AlgT}$$
$$\text{u2t } U = T$$
$$\text{u2t } (S \ x) = s \ (\text{u2t } x)$$

and its inverse

$$\text{t2u} :: \text{AlgT} \rightarrow \text{AlgU}$$
$$\text{t2u } T = U$$
$$\text{t2u } x = S \ (\text{t2u } (s' \ x))$$

define an isomorphism which allows us to see AlgT as a model for an axiomatization of arithmetic on \mathbb{N} . The infinite stream `treeNats` of binary trees, corresponding to successive natural numbers is defined as:

$$\text{treeNats} = \text{iterate } s \ T$$

```
> take 5 treeNats
```

```
[T, C T T, C (C T T) T, C T (C T T), C (C (C T T) T) T]
```

Can we do arithmetic computations in AlgT?

- as we have emulated the successor operations we can do easily (**slow**) unary arithmetic
- defining a AlgB “view” over the free algebra AlgT enables **fast arithmetic computations with binary trees**
- complexity will be comparable to operations acting on conventional bitstring representations

projection functions (c' , c'') and a recognizer of non-empty trees $c_$:

$$c', c'' :: \text{AlgT} \rightarrow \text{AlgT}$$
$$c' (C \ x \ _) = x$$
$$c'' (C \ _ \ y) = y$$
$$c_ :: \text{AlgT} \rightarrow \text{Bool}$$
$$c_ (C \ _ \ _) = \text{True}$$
$$c_ T = \text{False}$$

Emulating AlgB in AlgT

$\text{data AlgB} = B \mid O \text{ AlgB} \mid I \text{ AlgB}$

$\text{data AlgT} = T \mid C \text{ AlgT AlgT}$

constructors (o, i) , destructors (o', i') and recognizers $(o_, i_)$:

$o, o', i, i' :: \text{AlgT} \rightarrow \text{AlgT}$

$o_, i_ :: \text{AlgT} \rightarrow \text{Bool}$

$o = C \ T$

$o' \ (C \ T \ y) = y$

$o_ \ (C \ x \ _) = x == T$

$i = s \ . \ o$

$i' = o' \ . \ s'$

$i_ \ (C \ x \ _) = x \neq T$

The isomorphism between AlgB and AlgT

$\text{b2t} :: \text{AlgB} \rightarrow \text{AlgT}$

$\text{b2t } B = T$

$\text{b2t } (O \ x) = o \ (\text{b2t } x)$

$\text{b2t } (I \ x) = i \ (\text{b2t } x)$

$\text{t2b} :: \text{AlgT} \rightarrow \text{AlgB}$

$\text{t2b } T = B$

$\text{t2b } x \mid o_x = O \ (\text{t2b } (o' \ x))$

$\text{t2b } x \mid i_x = I \ (\text{t2b } (i' \ x))$

Efficient arithmetic in AlgT: addition

We are now ready for the magic: arithmetic operations working directly on binary trees.

`add T y = y`

`add x T = x`

`add x y | o_ x && o_ y = i (add (o' x) (o' y))`

`add x y | o_ x && i_ y = o (s (add (o' x) (i' y)))`

`add x y | i_ x && o_ y = o (s (add (i' x) (o' y)))`

`add x y | i_ x && i_ y = i (s (add (i' x) (i' y)))`

Efficient arithmetic in AlgT: subtraction

sub x T = x

sub y x | o_ y && o_ x = s' (o (sub (o' y) (o' x)))

sub y x | o_ y && i_ x = s' (s' (o (sub (o' y) (i' x))))

sub y x | i_ y && o_ x = o (sub (i' y) (o' x))

sub y x | i_ y && i_ x = s' (o (sub (i' y) (i' x)))

Efficient arithmetic in AlgT: comparison

`cmp T T = EQ`

`cmp T _ = LT`

`cmp _ T = GT`

`cmp x y | o_ x && o_ y = cmp (o' x) (o' y)`

`cmp x y | i_ x && i_ y = cmp (i' x) (i' y)`

`cmp x y | o_ x && i_ y = strengthen (cmp (o' x) (i' y)) LT`

`cmp x y | i_ x && o_ y = strengthen (cmp (i' x) (o' y)) GT`

`strengthen EQ stronger = stronger`

`strengthen rel _ = rel`

Efficient arithmetic in AlgT: multiplication

we optimize a bit, using the arithmetic interpretation of our binary trees

`multiply T _ = T`

`multiply _ T = T`

`multiply x y = C (add (c' x) (c' y)) (add a m) where`

`(x',y') = (c'' x,c'' y)`

`a = add x' y'`

`m = s' (o (multiply x' y'))`

A Freedom Quote

Liberty, when it begins to take root, is a plant of rapid growth.

GEORGE WASHINGTON

\Rightarrow a $O(1)$ complexity power of 2 operation \exp_2 is simply:

$$\exp_2 x = C \ x \ T$$

this leads to a compact representation of towers of exponents of 2 (tetration):

$$2^{2^{\dots^2}} \Rightarrow C (C (C (\dots (C \ T \ T))) , T)$$

An emergent property: operations with towers of exponents

- our tree representation supports operations with gigantic, tower of exponent numbers
- with conventional bitstring representations, such numbers would overflow even if each atom in the known universe were used as bit ...

iterating `exp2` 7 times):

```
> take 7 (iterate exp2 T)
[T,C T T,C (C T T) T,C (C (C T T) T) T,
 C (C (C (C T T) T) T) T,C (C (C (C (C T T) T) T) T) T,
 C (C (C (C (C (C T T) T) T) T) T) T]
```

```
> map t2n it
[0,1,2,4,16,65536,20035299304068...
 -- 2-pages of digits --
 ...339445587895905719156736]
```

note: “it” represents in Haskell the result of the previous query

A Freedom Quote

Every general increase of freedom is accompanied by some degeneracy, attributable to the same causes as the freedom.

CHARLES HORTON COOLEY, Human Nature and the Social Order

- this can indeed happen, the worse case is $2^{2^{\dots 2^n}} - 1$
- it means that we can (sometime) fall back to the same thing as with the usual binary string computations
- good news - from a result proven by Legendre on the number of occurrences of a prime p in $n!$:
 - the average number of iterations for successor and predecessor in AlgB for k between 0 and $2^n - 1$ is $1 + \frac{2^n - 1}{2^n} < 2$
 - the analysis for AlgT is more convoluted but (empirically) the complexity of s and s' is close to a constant factor

Representing lists

we encode lists by repeated application of constructors and destructors

```
to_list :: AlgT → [AlgT]
```

```
to_list T = []
```

```
to_list x = (c' x) : (to_list (c'' x))
```

```
from_list :: [AlgT] → AlgT
```

```
from_list [] = T
```

```
from_list (x:xs) = C x (from_list xs)
```

```
> n2t 888
```

```
C (C T (C T T)) (C T (C T (C T (C (C T T) (C T T)))))
```

```
> to_list it
```

```
[C T (C T T), T, T, T, C T T, T]
```

```
> from_list it
```

```
C (C T (C T T)) (C T (C T (C T (C (C T T) (C T T)))))
```

```
> t2n it
```

```
888
```

Representing multisets

to encode multisets we go through a bijection between list and multisets

```
list2mset, mset2list :: [AlgT] → [AlgT]
```

```
list2mset ns = tail (scanl add T ns)
mset2list ms = zipWith sub ms (T:ms)
to_mset :: AlgT → [AlgT]
to_mset = list2mset . to_list
```

```
from_mset :: [AlgT] → AlgT
from_mset = from_list . mset2list
```

```
> (map t2n . list2mset . map n2t) [2,0,1,2]
[2,2,3,5]
> (map t2n . mset2list . map n2t) it
[2,0,1,2]
```

Representing sets

```
list2set, set2list :: [AlgT] → [AlgT]
```

```
list2set = (map s') . list2mset . (map s)
```

```
set2list = (map s') . mset2list . (map s)
```

```
to_set :: AlgT → [AlgT]
```

```
to_set = list2set . to_list
```

```
from_set :: [AlgT] → AlgT
```

```
from_set = from_list . set2list
```

```
> (map t2n . list2set . map n2t) [2,0,1,2]
```

```
[2,3,5,8]
```

```
> (map t2n . set2list . map n2t) it
```

```
[2,0,1,2]
```


Hereditarily Finite Sets

`data HFS = H [HFS] deriving (Eq, Read, Show)`

Ackermann's encoding of Hereditarily Finite Sets as natural numbers:

$$f(x) = \text{if } x = \{\} \text{ then } 0 \text{ else } \sum_{a \in x} 2^{f(a)}$$

same in Haskell - quite easy to invert

```
hfs2nat t = rank set2nat t
rank g (H ts) = g (map (rank g) ts)
set2nat ns = sum (map (2^) ns)
```

- **not a free algebra anymore** - sets are constrained to have distinct elements and assumed to be canonically represented using an ordering relation between elements
- but Ackermann's mapping allows us to exploit the bijection with \mathbb{N} and define operations that are total on canonically represented sets

A Freedom Quote

For you who no longer possess it, freedom is everything, for us who do, it is merely an illusion.

EMIL CIORAN, History & Utopia

- we can derive arithmetic operations on Hereditarily Finite Sets through a series of transformations to the free algebra \mathbf{AlgT}
- the derivation steps proceed along the lines of Ackermann's bijection

The acyclic digraph representing a Hereditarily Finite Set

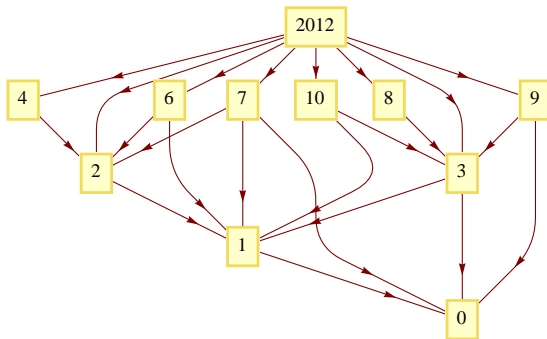


Figure : 2012 as a Hereditarily Finite Set through Ackermann's bijection

Defining Successor s_H and Predecessor s_H' on a multiway tree representation of Hereditarily Finite Sets

$s_H (H \text{ } xs) = H \text{ (lift (H []) } xs)$

$s_H' (H (x:xs)) = H (lower \text{ } x \text{ } xs)$

$lift \text{ } k \text{ } (x:xs) \mid k = x = lift \text{ } (s_H \text{ } k) \text{ } xs$

$lift \text{ } k \text{ } xs = k:xs$

$lower (H []) \text{ } xs = xs$

$lower \text{ } k \text{ } xs = lower \text{ } l \text{ } (l:xs) \text{ where } l = s_H' \text{ } k$

Emulating the two successor algebra AlgB

-- "empty" and its recognizer

$\text{eH} = \text{H } []$

$\text{eH_ } x = x == \text{H } []$

-- constructors

$\text{oH } (\text{H } xs) = \text{sH } (\text{H } (\text{map } \text{sH } xs))$

$\text{iH} = \text{sH } . \text{oH}$

-- destructors

$\text{oH'} \ x \mid \text{oH_ } x = \text{H } (\text{map } \text{sH'} \text{ } ys) \text{ where } \text{H } ys = \text{sH'} \ x$

$\text{iH'} \ x = \text{oH'} \ (\text{sH'} \ x)$

-- recognizers

$\text{oH_ } (\text{H } (x:_)) = \text{eH_ } x$

$\text{iH_ } x = \text{not } (\text{eH_ } x \mid \mid \text{oH_ } x)$

\Rightarrow (fast) arithmetic computations are similar to those on AlgB , AlgT

A Catalan isomorphism: modeling AlgT with a balanced parenthesis language

```
data Par = L | R deriving (Eq, Show, Read)

-- deconstructs a list of balanced parentheses into (head,tail)
decons (L:ps) = (reverse hs, ts) where
    (hs,ts) = count_pars 0 ps []
    count_pars 1 (R:ps) hs = (R:hs,L:ps)
    count_pars k (L:ps) hs = count_pars (k+1) ps (L:hs)
    count_pars k (R:ps) hs = count_pars (k-1) ps (R:hs)

-- constructs a list of balanced parentheses from (head,tail)
cons (xs, L:ys) = L:xs ++ ys

-- constructor + recognizer for empty
eP = [L,R]
eP_ x = (x == eP)
```

Successor (sP) and Predecessor (sP')

-- successor

$sP\ z \mid eP_z = \text{cons}\ (eP, eP)$ -- 1 --

$sP\ z \mid eP_x = dP\ (sP\ y)\ \text{where}\ (x, y) = \text{decons}\ z$ -- 2 --

$sP\ z = \text{cons}\ (eP, dP'\ z)$ -- 3 --

-- predecessor

$sP'\ z \mid eP_x \ \&\&\ eP_y = eP\ \text{where}\ (x, y) = \text{decons}\ z$ -- 1' --

$sP'\ z \mid eP_x = dP\ y\ \text{where}\ (x, y) = \text{decons}\ z$ -- 3' --

$sP'\ z = \text{cons}\ (eP, sP'\ (dP'\ z))$ -- 2' --

-- double

$dP\ z = \text{cons}\ (sP\ a, b)\ \text{where}\ (a, b) = \text{decons}\ z$ -- 4 --

-- half of non-zero even

$dP'\ z = \text{cons}\ (sP'\ a, b)\ \text{where}\ (a, b) = \text{decons}\ z$ -- 4' --

Enumerating Positive Rationals with the Calkin-Wilf tree

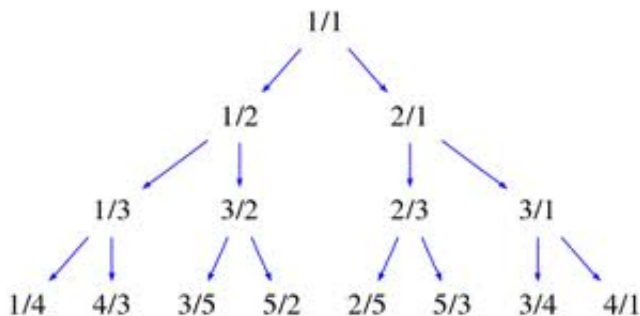


Figure : The Calkin-Wilf Tree

The Calkin-Wilf bijection: encoding paths as AlgB elements

Positive rationals in \mathbb{Q}^+ are represented as pairs of positive co-prime natural numbers. We first show the bijection using ordinary integers.

$\mathbb{N} \rightarrow \mathbb{Q}^+$ using the path in the Calkin-Wilf tree starting with the root

$$n2q\ 0 = (1, 1)$$

$$n2q\ x \mid \text{odd } x = (f_0, f_0 + f_1) \text{ where}$$

$$(f_0, f_1) = n2q\ (\text{div } (x-1) \ 2)$$

$$n2q\ x \mid \text{even } x = (f_0 + f_1, f_1) \text{ where}$$

$$(f_0, f_1) = n2q\ ((\text{div } x \ 2) - 1)$$

$\mathbb{Q}^+ \rightarrow \mathbb{N}$ using the path in the Calkin-Wilf tree ending with the root

$$q2n\ (1, 1) = 0$$

$$q2n\ (a, b) = f \text{ ordrel where}$$

$$\text{ordrel} = \text{compare } a \ b$$

$$f \text{ GT} = 2 * (q2n\ (a - b, b)) + 2$$

$$f \text{ LT} = 2 * (q2n\ (a, b - a)) + 1$$

Rationals with binary trees in AlgT

both natural numbers and rationals are represented as binary trees in AlgT

$\mathbb{N} \rightarrow \mathbb{Q}^+$ using the path in the Calkin-Wilf tree starting with the root

$t2q\ T = (o\ T, o\ T)$

$t2q\ n \mid o_n = (f0, add\ f0\ f1)$ where $(f0, f1) \models t2q\ (o'\ n)$

$t2q\ n \mid i_n = (add\ f0\ f1, f1)$ where $(f0, f1) \models t2q\ (i'\ n)$

$\mathbb{Q}^+ \rightarrow \mathbb{N}$ using the path in the Calkin-Wilf tree ending with the root

$q2t\ q \mid q = (o\ T, o\ T) = T$

$q2t\ (a, b) = f\ ordrel$ where

$ordrel = cmp\ a\ b$

$f\ GT = i\ (q2t\ (sub\ a\ b, b))$

$f\ LT = o\ (q2t\ (a, sub\ b\ a))$

> (t2n . q2t . t2q . n2t) 1234567890
1234567890

a few more steps are needed:

- extending the bijection to signed rationals
- implementing various operations
- the code, as a Scala package is at:

`http://logic.cse.unt.edu/tarau/research/2012/AlgT.scala`

Conclusion

- it is possible to implement interesting (and efficient) arithmetic computations on top of free algebras corresponding to data types like binary trees
- isomorphisms between free algebras provide bridges connecting “numeric” and “symbolic” objects
- interesting properties emerge: ability to work with huge numbers – represented as towers of exponents of 2
- such computations can be extended also to non-free data-types like hereditarily finite sets
- computations can be extended to rationals – resulting in a practical arithmetic package

the (self-contained) Haskell code shown in these slides is at:

http://logic.cse.unt.edu/tarau/research/2012/slides_SYNASC_freealg.hs