Synchronization

ECE 469, Mar 01

Aravind Machiry



Threads



• Separate the concepts of a "thread of control" (PC, SP, registers) from the rest of the process (address space, resources, accounting, etc.)

- Modern OSes support two entities:
 - the task (process), which defines an address space, a resource container, accounting info
 - the thread (lightweight process), which defines a single sequential execution stream within a task (process)

Programming with Threads



- Flexible, but error-prone, since there no protection between threads
 - In C/C++,
 - automatic variables are private to each thread
 - global variables and dynamically allocated memory (malloc) are shared

Need synchronization!

The need for synchronization!

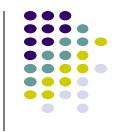


- Cooperating processes may share data via
 - shared address space (code, data, heap) by using threads
 - Files
 - (Sending messages)
- What can happen if processes try to access shared data (address) concurrently?
 - Sharing bank account with sibling:

At 3pm: If (balance > \$10) withdraw \$10

How hard is the solution?

"Too much milk" Problem



Person A

- 1. Look in fridge: out of milk
- 2. Leave for Walmart
- 5. Arrive at Walmart
- 6. Buy milk
- 7. Arrive home

Person B

- 3. Look in fridge: out of milk
- 4. Leave for Walmart
- 8. Arrive at Walmart
- 9. Buy milk
- 10. Arrive home
- How to put in a locking mechanism?

Possible Solution 1



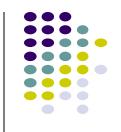
```
Person A

if ( noMilk ) {
    if (noNote) {
       leave note;
      buy milk;
      remove note;
    }
}
```

```
Person B

if ( noMilk ) {
    if (noNote) {
        leave note;
        buy milk;
        remove note;
    }
}
```

Will this work?



```
Person A

1.if ( noMilk ) {
2.if (noNote) {
5.leave note;
buy milk;
remove note;
}
```

Person B

```
3.if ( noMilk ) {
    4.if (noNote) {
      6.leave note;
    buy milk;
    remove note;
}
```

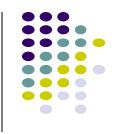
 Process can get context switched after checking milk and note, but before leaving note

Possible Solution 2



```
Person A
                               Person B
leave noteA
                          leave noteB
                          if (no noteA) {
if (no noteB) {
  if (noMilk) {
                            if (noMilk) {
                              buy milk
    buy milk
remove noteA
                          remove noteB
```

Will this work?



```
Person A
                               Person B
leave noteA
                          leave noteB
                          if (no noteA) {
if (no noteB) {
  if (noMilk) {
                            if (noMilk) {
    buy milk
                              buy milk
remove noteA
                          remove noteB
```

 We may not have Milk: Both process can leave note and skip buying milk

Possible Solution 3



Process A

Process B

```
leave noteA
while (noteB)
  do nothing;
if (noMilk)
  buy milk;
remove noteA
```

```
leave noteB
if (noNoteA) {
   if (noMilk) {
     buy milk
   }
}
remove noteB
```

Works, but complicated!



Process A

```
leave noteA

while (noteB)

do nothing;

if (noMilk)

buy milk;

remove noteA
```

Process B

```
leave noteB
if (noNoteA) {
   if (noMilk) {
     buy milk
   }
}
remove noteB
```

- A's code is different from B's
- busy waiting is a waste

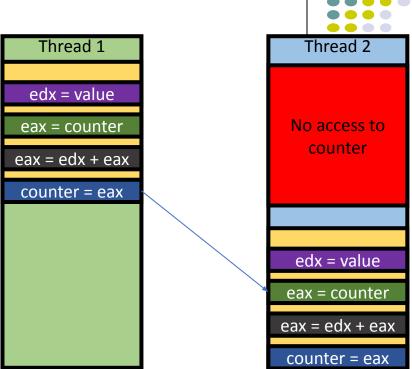
How can we solve this?



- Root cause: Data Race
- A thread's execution result could be inconsistent if other threads intervene its execution...
- counter += value

• counter = eax;

- What we need?
 - Exclusive access to counter (shared variable)

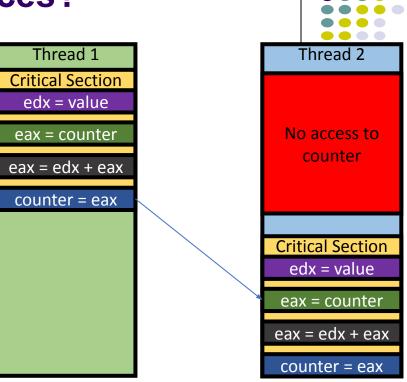




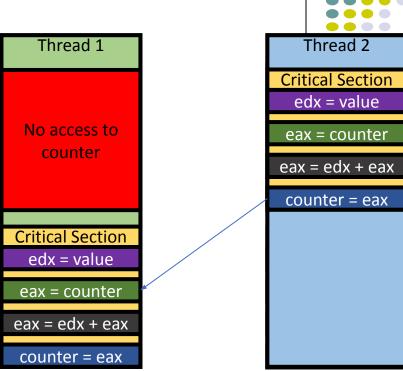
 Critical section – a section of code, or collection of operations, in which only one process shall be executing at a given time

 Mutual exclusion (Mutex) - mechanisms that ensure that only one person or process is doing certain things at one time (others are excluded)

- Mutual Exclusion / Critical Section
 - Combine multiple instructions as a chunk
 - Let only one chunk execution runs
 - Block other executions



- Mutual Exclusion / Critical Section
 - Combine multiple instructions as a chunk
 - Let only one chunk execution runs
 - Block other executions



Mutex Considerations



- Mutex can synchronize multiple threads and yield consistent result
 - No read before previous thread store the shared data
- Making the entire program as critical section is meaningless
 - Running time will be the same as single-threaded execution
- Apply critical section as short as possible to maximize benefit of having concurrency
 - Non-critical sections will run concurrently!

Implementing Mutual exclusion



 Data races occur because of scheduler interleaving executing of different threads

How to avoid this? Prevent interleaving

Preventing Interleaving



- •cli, in a single processor computer
 - Clear interrupt bit
- CPU will never get interrupt until it runs sti
 - Set interrupt bit

- There will be no other execution
 - Any problems?

- counter += value
 - cli
 - \cdot edx = value;
 - eax = counter;
 - \bullet eax = edx + eax;
 - counter = eax;
 - sti

Preventing Interleaving



- •cli, in a single processor computer
 - Clear interrupt bit
- CPU will never get interrupt until it runs sti
 - Set interrupt bit

- There will be no other execution
 - Any problems?
 - Multi CPU?
 - cli/sti available in Ring O

- counter += value
 - cli
 - \cdot edx = value;
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Mutual Exclusion through locks



- Lock
 - Prevent others enter the critical section
- Unlock
 - Release the lock, let others acquire the lock

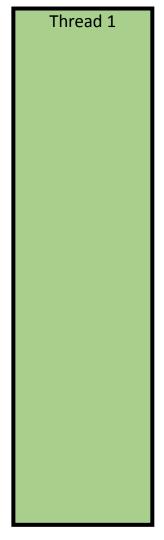
- counter += value
 - lock()
 - edx = value;
 - eax = counter;
 - \bullet eax = edx + eax;
 - counter = eax;
 - unlock()

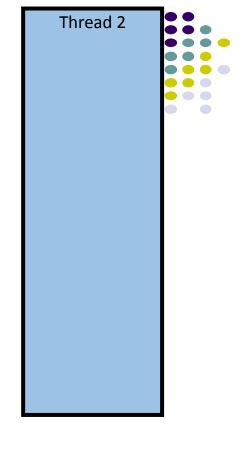
Mutual Exclusion through locks

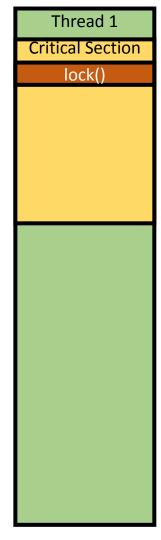


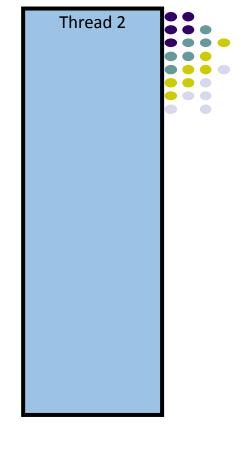
- Lock
 - Prevent others enter the critical section
- How?
 - Check if any other execution in the critical section
 - If it is, wait; busy-waiting with while()
 - If not, acquire the lock!
 - Others cannot get into the critical section
 - Run critical section
 - Unlock, let other execution know that I am out!

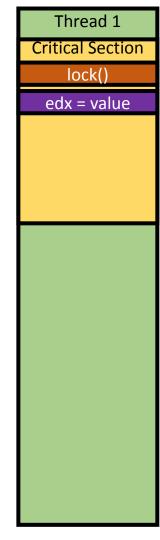
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 - lock()
 - \bullet edx = value;
 - eax = counter;
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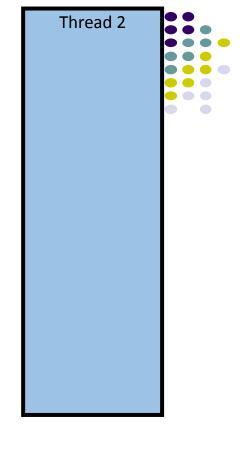


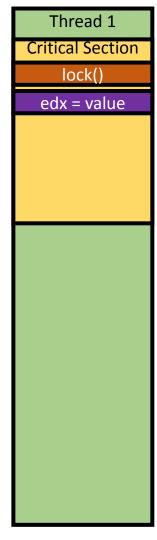


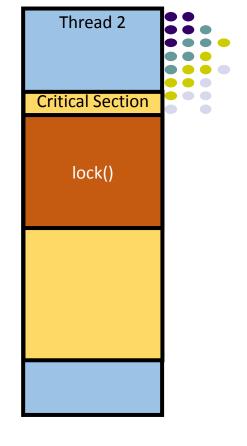


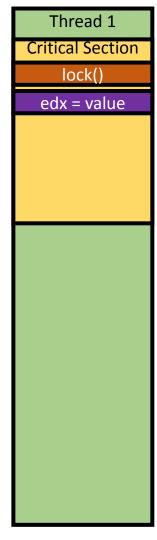


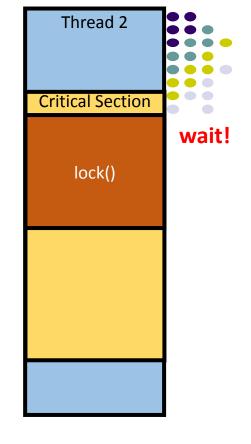




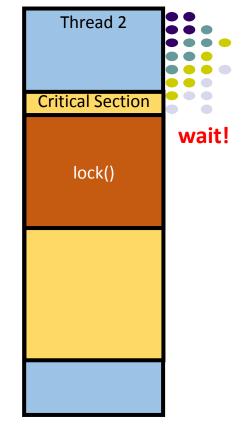


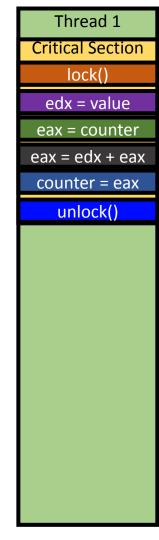


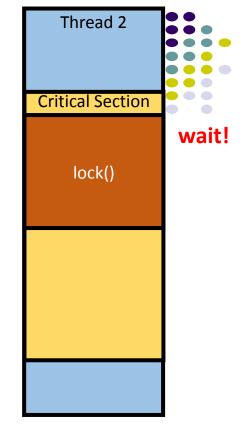


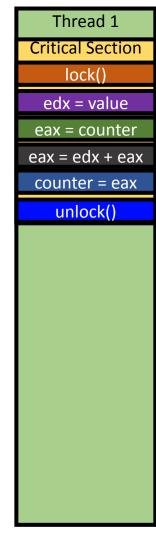


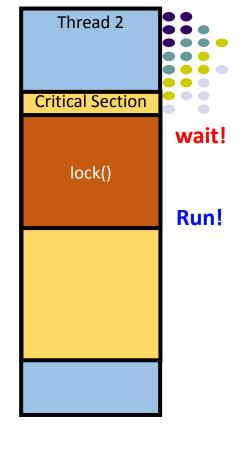


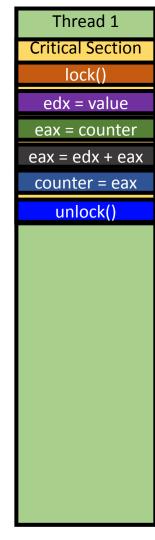


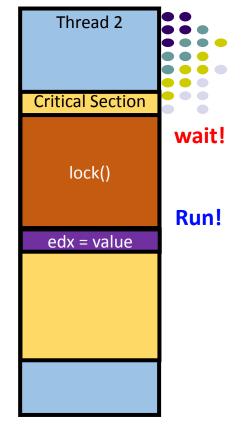


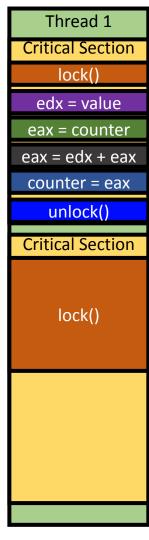


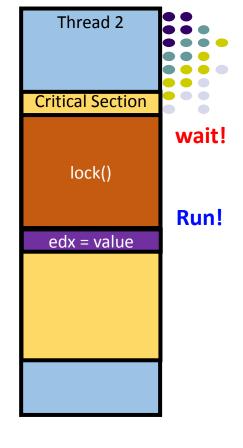




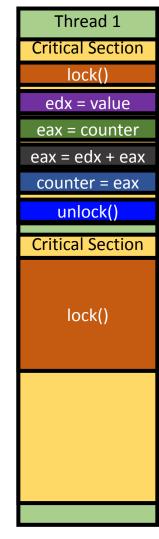


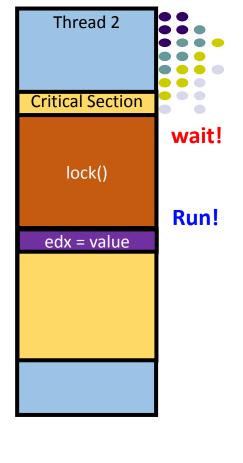




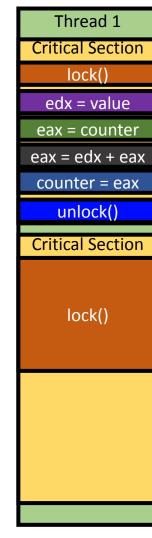


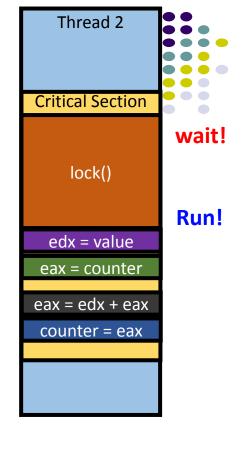
wait!



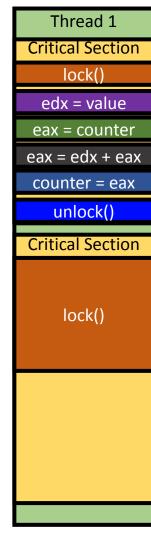


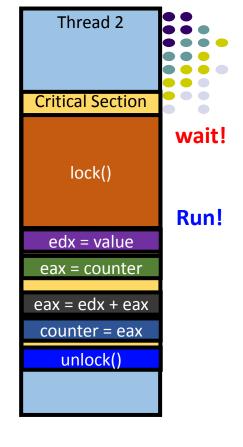
wait!





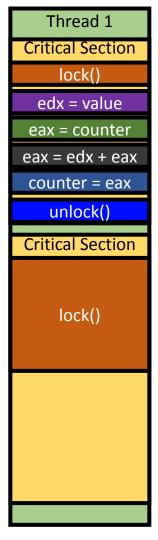
wait!

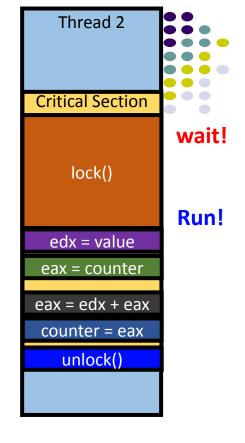




wait!

Run!

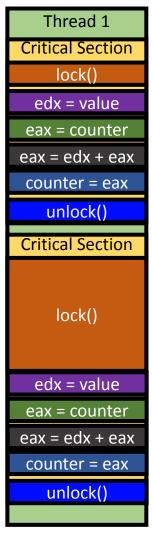


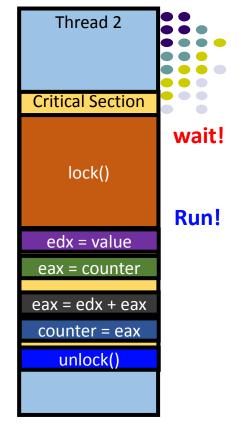


Mutex Example

wait!

Run!





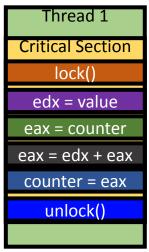
Implementing lock

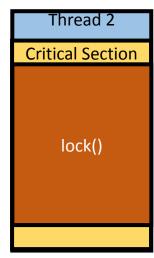


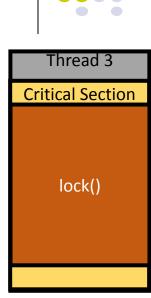
Only one can run in critical section

- Others must wait!
 - Until nobody runs in critical section

- How can we create such
 - Lock() / Unlock() ?







- Spinlock
 - Run a loop to check if critical section is empty
 - Set a lock variable, e.g., lock
 - Lock semantic
 - Nobody runs critical section if *lock == 0, so one can run the section
 - At the start of the section, set *lock = 1
 - Somebody runs in critical section if *lock == 1, so one must wait
 - lock(lock)
 - Wait until | becomes 0, e.g., while (*lock == 1);
 - Then, nobody runs in the critical section!
 - set *lock = 1
 - unlock(lock)
 - Set *lock = 0

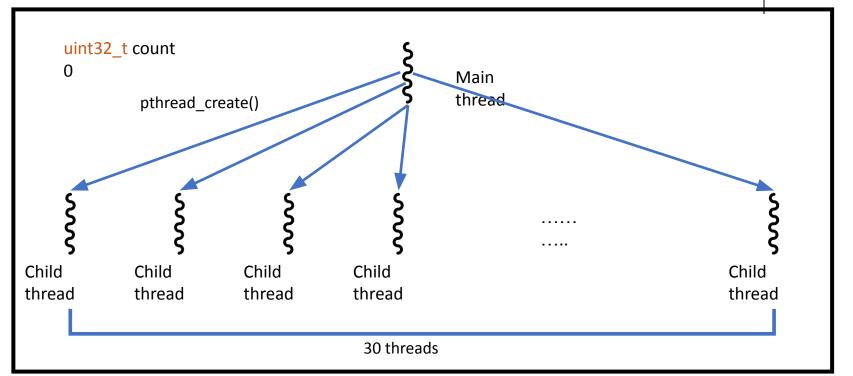
```
void
bad_lock(volatile uint32_t *lock) {
    while (*lock == 1);
    *lock = 1;
}
```



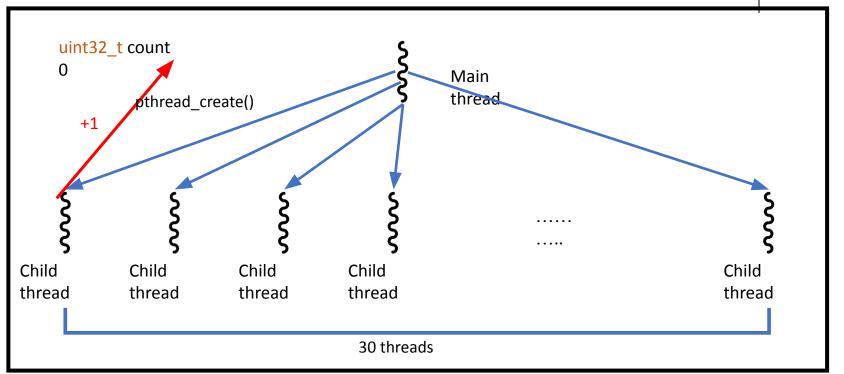
- https://github.com/purs3lab/ee469_examples/tree/master/lock_example
- Run 30 threads, each count upto 50
- Build code
 - \$ make

- Run code
 - \$./lock xchg # shows the result of using xchg lock

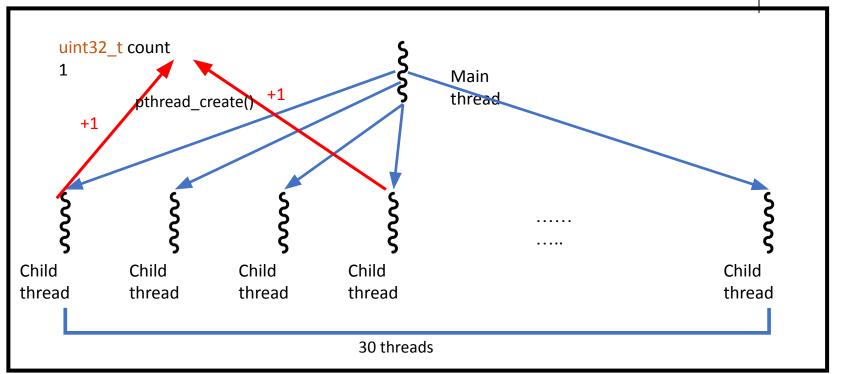




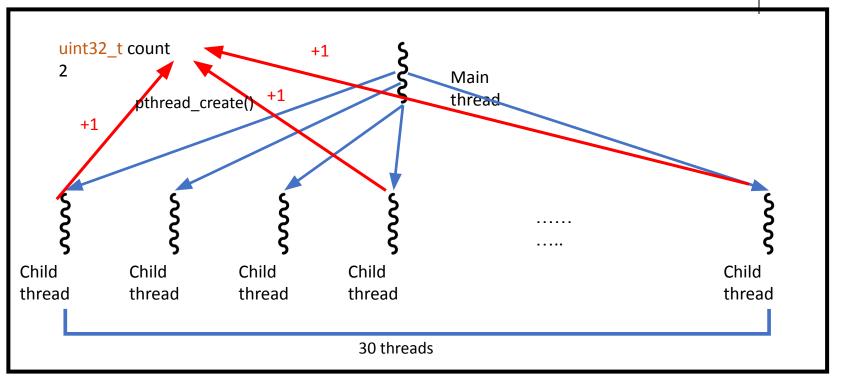




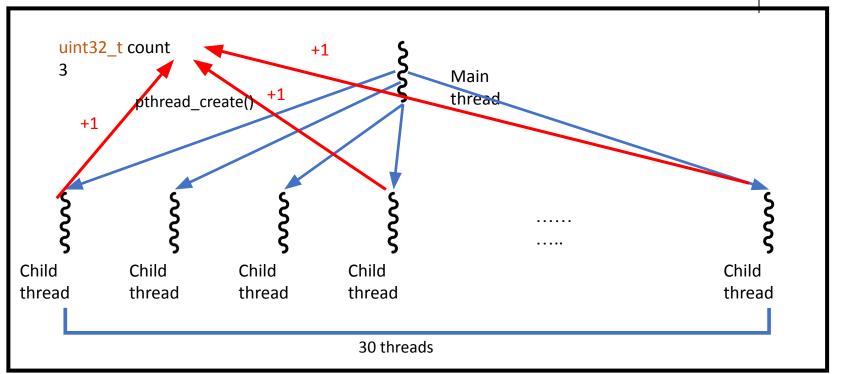














Running

```
$ ./lock no # using no lock at all
$ ./lock bad # using a bad lock implementation
$ ./lock xchg # using xchg lock
$ ./lock cmpxchg # using lock cmpxchg
$ ./lock tts # using soft test-and-test & set with xchg
$ ./lock backoff # using exponential backoff cmpxchg
$ ./lock mutex # using pthread mutex
```



- What will happen if we implement lock
 - As bad_lock / bad_lock?
- bad_lock
 - Wait until lock becomes 0 (loops if 1)
 - And then, set lock as 1
 - Because it was 0, we can set it as 1
 - Others must wait!
- bad_unlock
 - Just set *lock as 0

```
void *
count_bad_lock(void *args) {
    for (int i=0; i < N_COUNT; ++i) {
        bad_lock(&lock);
        sched_yield();
        count += 1;
        bad_unlock(&lock);
    }
}</pre>
```

```
void
bad_lock(volatile uint32_t *lock) {
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}

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 Sets lock=1 to block others
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 - Just set *lock as 0

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 Sets lock=1 to block others
- bad unlock
 - Just set *lock as 0

Sets lock=0 to release

```
void
bad lock(volatile uint32_t *lock) {
    while (*lock == 1);
    *lock = 1;
}

void
bad_unlock(volatile uint32_t *lock) {
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}
```



```
void
bad_lock(volatile uint32_t *lock) {
    while (*lock == 1);
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```



```
mov (%rdi),%eax
cmp $0x1,%eax
je 0x400b60 <bad_lock>
movl $0x1,(%rdi)
```

```
void
bad_lock(volatile uint32_t *lock) {
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```
LOAD mov (%rdi),%eax
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LOAD mov (%rdi),%eax
cmp $0x1,%eax Another thread might get
je 0x400b60 <bad_lock?eather.
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There is a room for race condition!

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    while (*lock == 1);
    *lock = 1;
}
```

Recall: Why does this work for humans?



 Human can perform test (look for other person & milk) and set (leave note) at the same time.

Atomic Test and Set



- We need a way to test
 - if lock == 0
- And we would like to set
 - lock = 1
- And do this atomically

- Hardware support is required
 - xchq in x86 does this
 - An atomic test-and-set operation

```
mov (%rdi),%eax
cmp $0x1,%eax
je 0x400b60 <bad_lock>
movl $0x1,(%rdi)
```

Not like these four instructions...

xchg: Atomic Value Exchange in x86



- xchg [memory], %reg
 - Exchange the content in [memory] with the value in %reg atomically
- E.g.,
 - mov \$1, %eax
 - xchg \$lock, %eax
- This will set %eax as the value in lock
 - %eax will be 0 if lock==0, will be 1 if lock==1
- At the same time, this will set lock = 1 (the value was in eax)
- CPU applies 'lock' at hardware level (cache/memory) to do this
 - Hardware guarantees no data race when running xchg

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 - Hardware guarantees no data race when running xchg

xchg: Atomic Value Exchange in x86



- E.g.,
 - mov \$1, %eax
 - xchq \$lock, %eax Swap lock and eax atomically
- This will set %eax as the value in lock
 - %eax will be 0 if lock==0, will be 1 if lock==1
- How can we determine if a thread acquired the lock?
 - if eax == 0
 - This means the lock was 0, and after running xchq, lock will be 1 (eax was 1)
 - We acquired the lock!!! (lock was 0 and now the lock is 1)
 - if eax == 1
 - This means the lock was 1, and after running xchg, lock will be 1
 - We did not acquired the lock (it was 1)
 - lock == 1 means some other thread acquired this...

Lock using xchg

- xchg_lock
 - Use atomic 'xchg' instruction to
 - Load and store values atomically
 - Set value to 1, and compare ret
 - If 0, then you can acquire the lock
 - If 1, lock as 1, you must wait
- xchg unlock
 - Use atomic 'xchg'
 - Set value to 0
 - Do not need to check
 - You are the only thread that runs in the
 - Critical section..

```
void *
count_xchg_lock(void *args) {
    for (int i=0; i < N_COUNT; ++i) {
        xchg_lock(&lock);
        sched_yield();
        count += 1;
        xchg unlock(&lock);</pre>
```

```
void
xchg_lock(volatile uint32_t *lock) {
    while(xchg(lock, 1));
}
void
xchg_unlock(volatile uint32_t *lock) {
    xchg(lock, 0);
}
```

Lock using xchg

- xchg_lock
 - Use atomic 'xchg' instruction to
 - Load and store values atomically
 - Set value to 1, and compare ret
 - If 0, then you can acquire the lock
 - If 1, lock as 1, you must wait
- xchg unlock
 - Use atomic 'xchg'
 - Set value to 0
 - Do not need to check
 - You are the only thread that runs in the
 - Critical section..

```
void
xchg_lock(volatile uint32_t *lock) {
    while(xchg(lock, 1));
}
void
xchg_unlock(volatile uint32_t *lock) {
    xchg(lock, 0);
}
```

Does xchg_lock works?



Yes!!

```
Running 30 threads each counting to 50 using xchg lock
Result:1500, Time taken: 2358.591000 ms
```

Any issues?

Issues with xchg_lock



- xchg will always update the value
 - If lock == 0
 - lock = 1
 - eax = 0
 - If lock == 1
 - lock = 1
 - eax = 1
- We use while() to check the value in lock
 - Will be cached into L1 cache of the CPU
- After updating a value in cache
 - We need to invalidate the cache in other CPUs...

Issues with xchg_lock



- xchg will always update the value
 - If lock == 0
 - lock = 1 Swap with eax == 1, update lock to 1
 - eax = 0
 - If lock == 1
 - lock = 1
 - eax = 1 Swap with eax == 1, update lock to 1
- We use while() to check the value in lock
 - Will be cached into L1 cache of the CPU
- After updating a value in cache
 - We need to invalidate the cache in other CPUs...

No need to write when lock == 1



- Let's not do that
 - xchg can't do that

No need to write when lock == 1



- Let's not do that
 - xchg can't do that
- New method: Test and test-and-set
 - Check the value first (if lock == 0) □ TEST
 - If it is,
 - Do test-and-set
 - Otherwise (if lock == 1),
 - Do nothing
 - DO NOT UPDATE lock if lock == 1 (No cache invalidate)

Lock using test and set

- tts xchg lock
- Algorithm
 - Wait until lock becomes 0
 - After lock == 0
 - xchg (lock, 1)
 - This only updates lock = 1 if lock was 0
- void count tts xchg lock(void *args) { for (int i=0; i < N COUNT; ++i) {</pre> tts xchg lock(&lock); sched yield(); count += 1;xchg unlock(&lock);
- - while and xchg are not atomic
 - Load/Store must happen at
 - The same time!

```
    Why xchg, why not *lock = 1 directly tts_xchg_lock(volatile uint32_t *lock) {

                                               while (1)
                                                    while(*lock == 1);
                                                       xchg(lock, 1) == 0) {
                                                        break;
```

Lock using test and set

- tts xchg lock
- Algorithm
 - Wait until lock becomes 0
 - After lock == 0
 - xchg (lock, 1)
 - This only updates lock = 1 if lock was 0
- - while and xchg are not atomic
 - Load/Store must happen at
 - The same time!

```
void
count tts xchg lock(void *args) {
    for (int i=0; i < N COUNT; ++i) {</pre>
        tts xchg lock(&lock);
        sched yield();
        count += 1;
        xchg unlock(&lock);
```

```
    Why xchg, why not *lock = 1 directly tts_xchg_lock(volatile uint32_t *lock) {

                                               while (1)
                                                    while(*lock == 1);
                                                    if (xchg(lock, 1) == 0) {
                                                        break;
```

Test and Set in x86



- cmpxchg [update-value], [memory]
 - Compare the value in [memory] with %eax
 - If matched, exchange value in [memory] with [update-value]
 - Otherwise, do not perform exchange
- cmpxchg(lock, 0, 1)
 - Arguments: Lock, test value, update value
 - Returns old value of lock

Test

Test-and-set

Lock using cmpxchg_lock

- Cmpxchg_lock
 - Use cmpxchg to set lock = 1
 - Do not update if lock == 1
 - Only write 1 to lock if lock == 0

- Xchg_unlock
 - Use xchg unlock to set lock = 0
 - Because we have 1 writer and
 - This always succeeds…

```
void *
count_cmpxchg_lock(void *args) {
    for (int i=0; i < N_COUNT; ++i) {
        cmpxchg_lock(&lock);
        sched_yield();
        count += 1;
        xchg_unlock(&lock);
    }
}</pre>
```

```
void
cmpxchg_lock(volatile uint32_t *lock) {
    while(cmpxchg(lock, 0, 1));
}

void
xchg_unlock(volatile uint32_t *lock) {
    xchg(lock, 0);
}
```

Lock using cmpxchg_lock

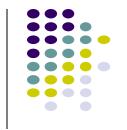
- Cmpxchg_lock
 - Use cmpxchg to set lock = 1
 - Do not update if lock == 1
 - Only write 1 to lock if lock == 0

- Xchg_unlock
 - Use xchg unlock to set lock = 0
 - Because we have 1 writer and
 - This always succeeds…

```
void *
count_cmpxchg_lock(void *args) {
    for (int i=0; i < N_COUNT; ++i) {
        cmpxchg_lock(&lock);
        sched_yield();
        count += 1;
        vxchg_unlock(&lock);
    }
}</pre>
```

```
void
cmpxchg_lock(volatile uint32_t *lock) {
    while(cmpxchg(lock, 0, 1));
}
void
xchg_unlock(volatile uint32_t *lock) {
    xchg(lock, 0);
}
```

Reading fine print: x86 is too COMPLEX!



This [cmpxchg]instruction can be used with a LOCK prefix to allow the instruction to be executed atomically To simplify the interface to the processors bus the destination operand receives a write cycle without regard to the result of the comparison. The destination operand is written back if the comparison fails; otherwise, the source operand is written into the destination. (The processor never produces a locked read without also producing a locked write.)

Cmpxchg designed to be Test and Test & Set instruction However, Intel CPU gets too complex, so they decided to always update value regardless the result of comparision

tts_xchg_lock v/s cmpxchg_lock

tts_xchg_lock is faster then cmpxchg_lock

Not everything in hardware is fast!



Observation 2: AddressSanitizer, despite being a software-only approach, performs on par with ICC-MPX and better than GCC-MPX. This unexpected result testifies that the HW-assisted performance improvements of MPX are offset by its complicated design. At the same time,

Using hardware features smartly

- backoff_cmpxchg_lock(lock)
- Try cmpxchg
 - If succeeded, acquire the lock.
 - If failed
 - Wait 1 cycle (pause) for 1st trial
 - Wait 2 cycles for 2nd trial
 - Wait 4 cycles for 3rd trial
 - ...
 - Wait 65536 cycles for 17th trial..
 - Wait 65536 cycles for 18th trial..

```
void
backoff_cmpxchg_lock(volatile uint32_t *lock) {
    uint32_t backoff = 1;
    while(cmpxchg(lock, 0, 1)) {
        for (int i=0; i<backoff; ++i) {
            _asm volatile("pause");
        }
        if (backoff < 0x10000) {
            backoff <<= 1;
        }
    }
}</pre>
```

https://en.wikipedia.org/wiki/Exponential backoff

Summary



- Mutex is implemented with Spinlock
 - Waits until lock == 0 with a while loop (that's why it's called spin)
- Naïve code implementation //lock no Running 30 threads each counting to 50 using no lock Load/Store must be atomic Result:1400. Time taken: 3.913000 ms xchg is a "test and set" atom //lock bad Running 30 threads each counting to 50 using bad lock • Consistent, however, many (Result:1465, Time taken: 2.256000 ms ./lock xcha Lock cmpxchg is a "test and Running 30 threads each counting to 50 using xchg lock But Intel implemented this a Result: 1500, Time taken: 853.585000 ms ./lock cmpxchg We can implement test-and-Running 30 threads each counting to 50 using cmpxchg lock Result:1500, Time taken: 12997.561000 ms Faster! /lock tts Running 30 threads each counting to 50 using tts lock We can also implement expd Result:1500, Time taken: 1.779000 ms Much faster! Faster Than p./lock backoff Running 30 threads each counting to 50 using backoff lock Result:1500. Time taken: 0.939000 ms /lock mutex Running 30 threads each counting to 50 using mutex lock Time taken: 5.313000 ms





 We may want to have more than one thread/process to execute at same time

Producer while (1) { produce an item; lock(); insert(item to pool); unlock(); }

Consumer

```
While (1) {
    lock();
    remove(item from pool);
    unlock();
    consume the item;
}
```

How many producers/consumers can run at a given time?



Producer

```
while (1) {
    produce an item;

lock();
    insert(item to pool);
    unlock();
}
```

Consumer

```
While (1) {

lock();

remove(item from pool);

unlock();

consume the item;
}
```

What we want!

 To be more efficient we want to be able to allow more than one producer/consumer, i.e., equal to the number of items that can be inserted into/removed from the pool

Producer while (1) { produce an item; lock(); insert(item to pool); unlock(); }

Consumer

```
While (1) {

lock();

remove(item from pool);

unlock();

consume the item;
}
```

Semaphore



A semaphore is like an **integer**, with three differences:

When you create the semaphore, you can initialize its value to any integer, but after that the only operations you are **allowed to perform** are **increment** (increase by one) and **decrement** (decrease by one). You cannot read the current value of the semaphore.

When a thread decrements the semaphore, if the result is negative, the thread blocks itself and cannot continue until another thread increments the semaphore.

When a thread increments the semaphore, if there are other threads waiting, one of the waiting threads gets unblocked.

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Semaphore operations



```
wait(S) {
  while (S<=0);
    S--;
  }
}</pre>
```



```
Producer

while (1) {

produce an item;

lock();

lock();

insert(item to pool);

unlock();

unlock();

consumer

While (1) {

lock();

remove(item from pool);

unlock();

consume the item;

}
```

Init: FULL = 0; **EMPTY = N**;



```
Producer

while (1) {

produce an item;

lock();

lock();

insert(item to pool);

unlock();

signal(FULL);

}

Consumer

While (1) {

lock();

remove(item from pool);

unlock();

consume the item;

}
```

<u>Init:</u> FULL = 0; **EMPTY = N**;



```
Producer

while (1) {

produce an item;

wait(EMPTY);

lock();

insert(item to pool);

unlock();

signal(FULL);

}

Consumer

While (1) {

lock();

remove(item from pool);

unlock();

consume the item;

}
```

<u>Init:</u> FULL = 0; **EMPTY = N**;



```
Producer

while (1) {

produce an item;

wait(EMPTY);

lock();

insert(item to pool);

unlock();

signal(FULL);

}

Consumer

While (1) {

wait(FULL);

lock();

remove(item from pool);

unlock();

consume the item;

}
```

Init: FULL = 0; **EMPTY = N**;

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```
Producer
                                             Consumer
                                              While (1) {
 while (1) {
                                                 wait(FULL);
    produce an item;
                                                 lock();
    wait(EMPTY);
                                                 remove(item from pool);
    lock();
                                                 unlock();
    insert(item to pool);
                                                 signal(EMPTY);
    unlock();
                                                 consume the item;
    signal(FULL);
```

Init: FULL = 0; **EMPTY = N**;

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Is Semaphore good for producers/consumers?



Need to know the size of buffer!

How to accommodate dynamic pool size?