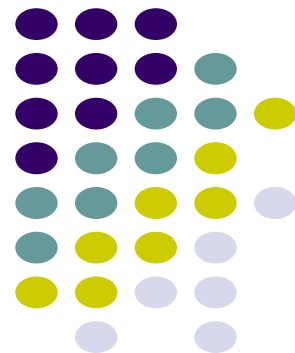


# Demand Paging and Page Replacement Algorithms

---

ECE 469, March 22

Aravind Machiry

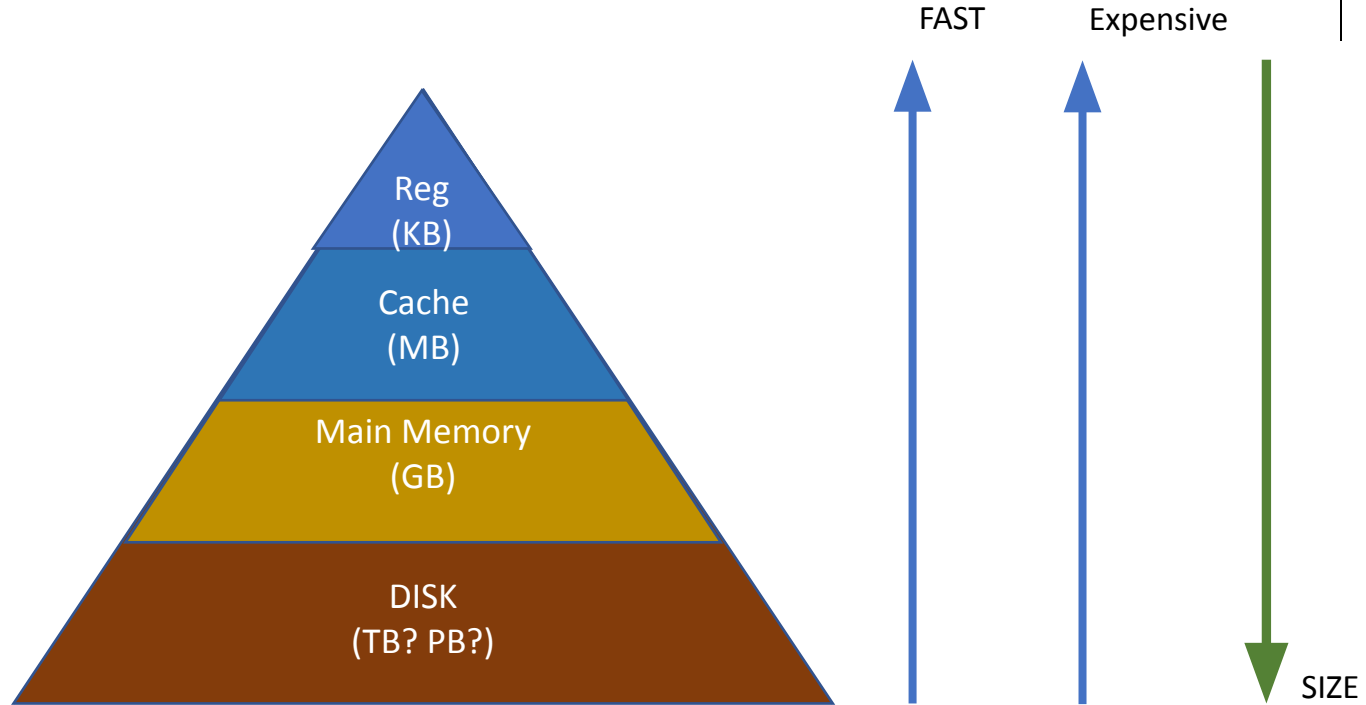


# Handling low memory



- Suppose you have 8GB of main memory
- Can you run a program that its program size is 16GB?
  - Yes, you can load them part by part
  - This is because we do not use all of data at the same time
- Can your OS do this execution seamlessly to your application?

# Memory Hierarchy

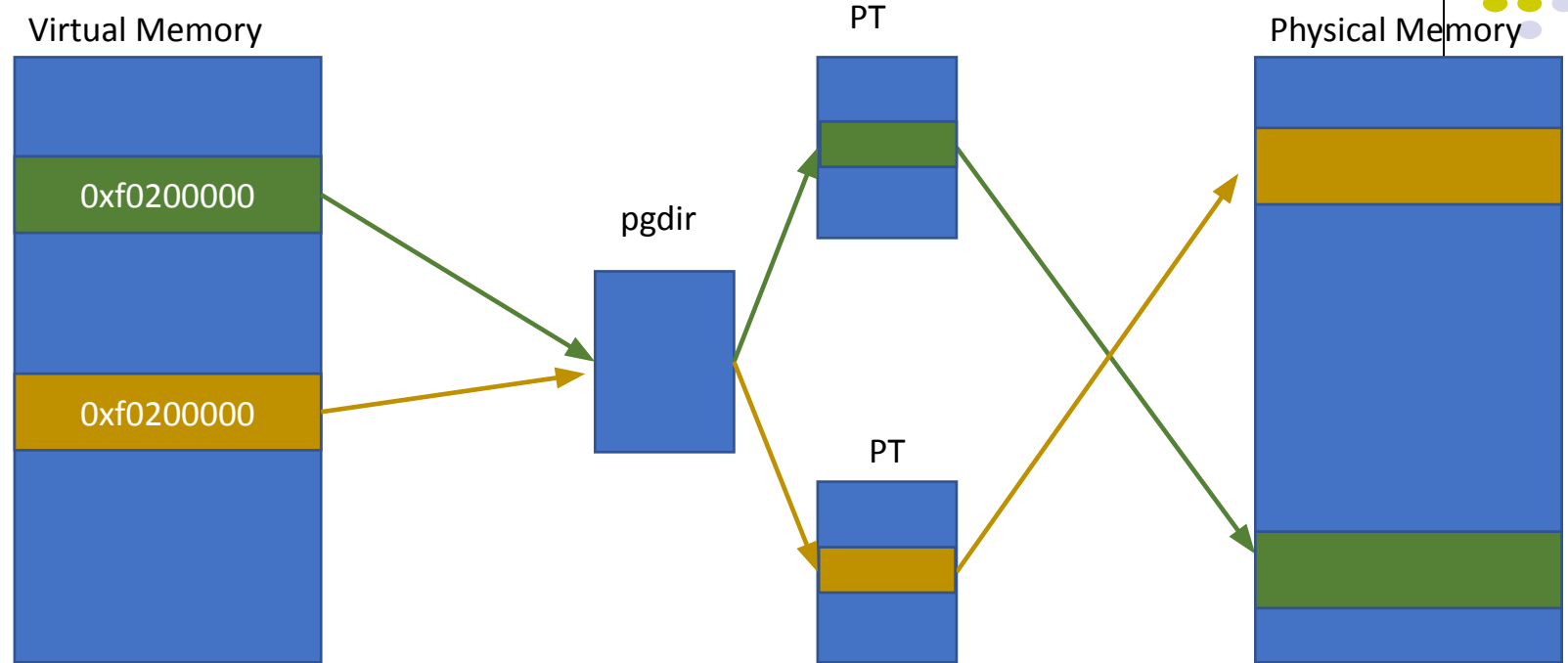


# Memory Swapping

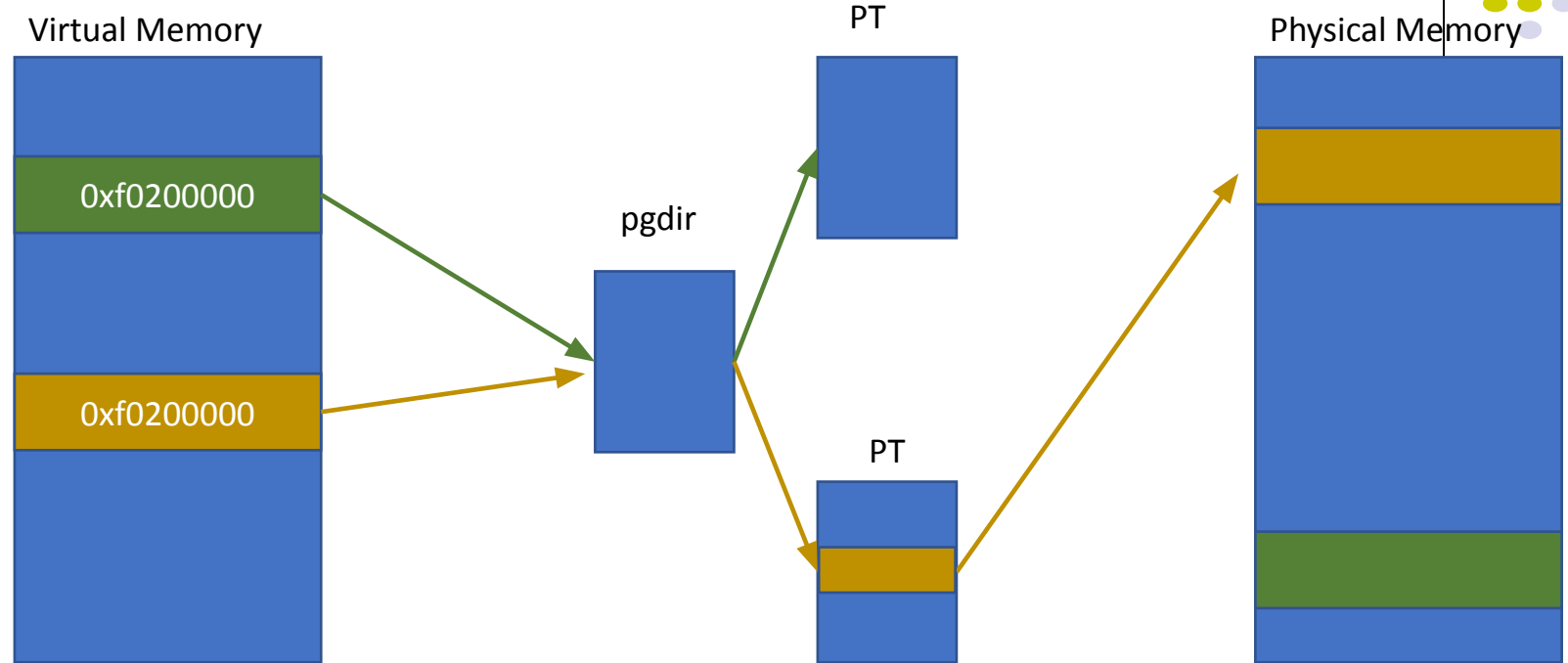


- Use disk as backing store under memory pressure

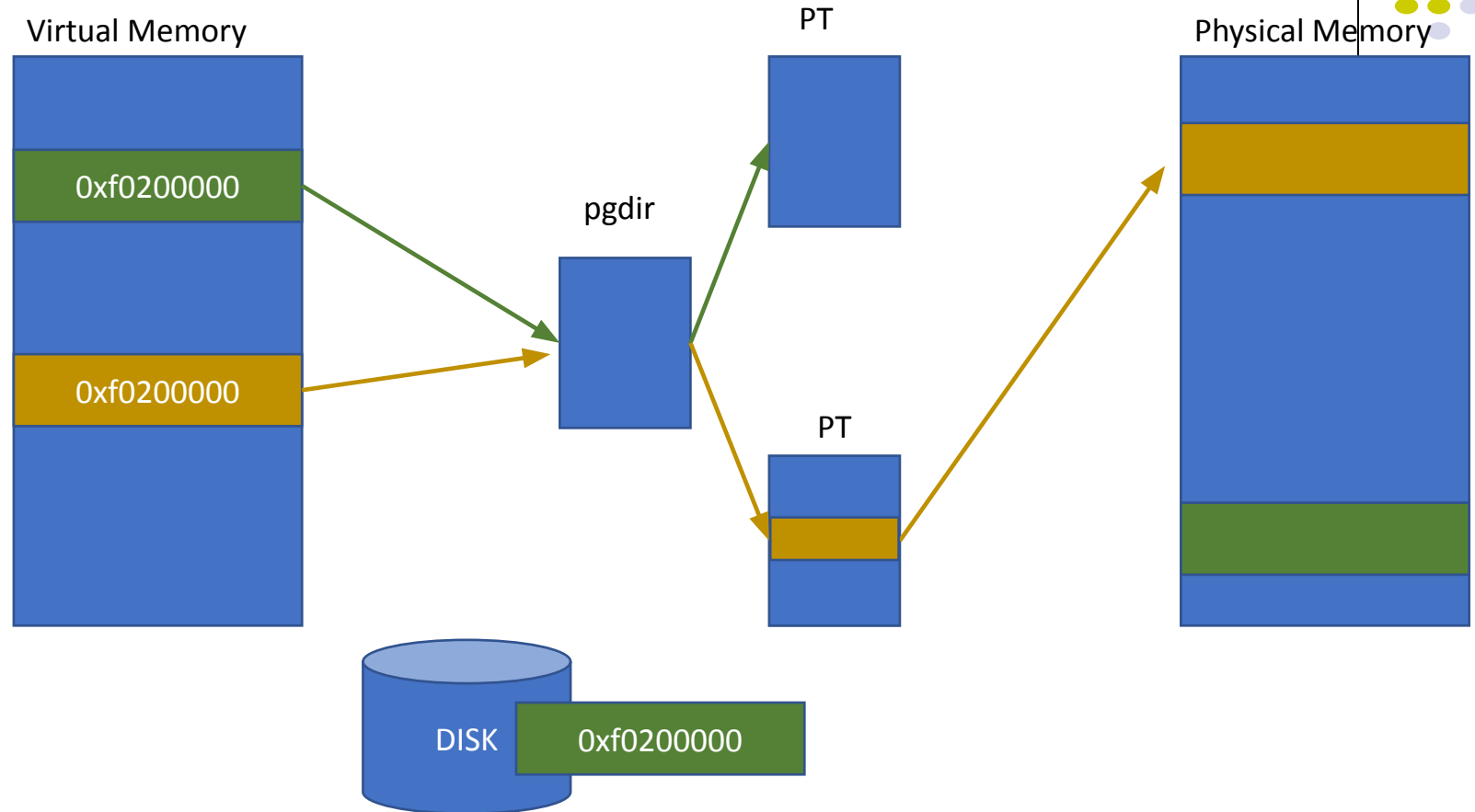
# Memory Swapping



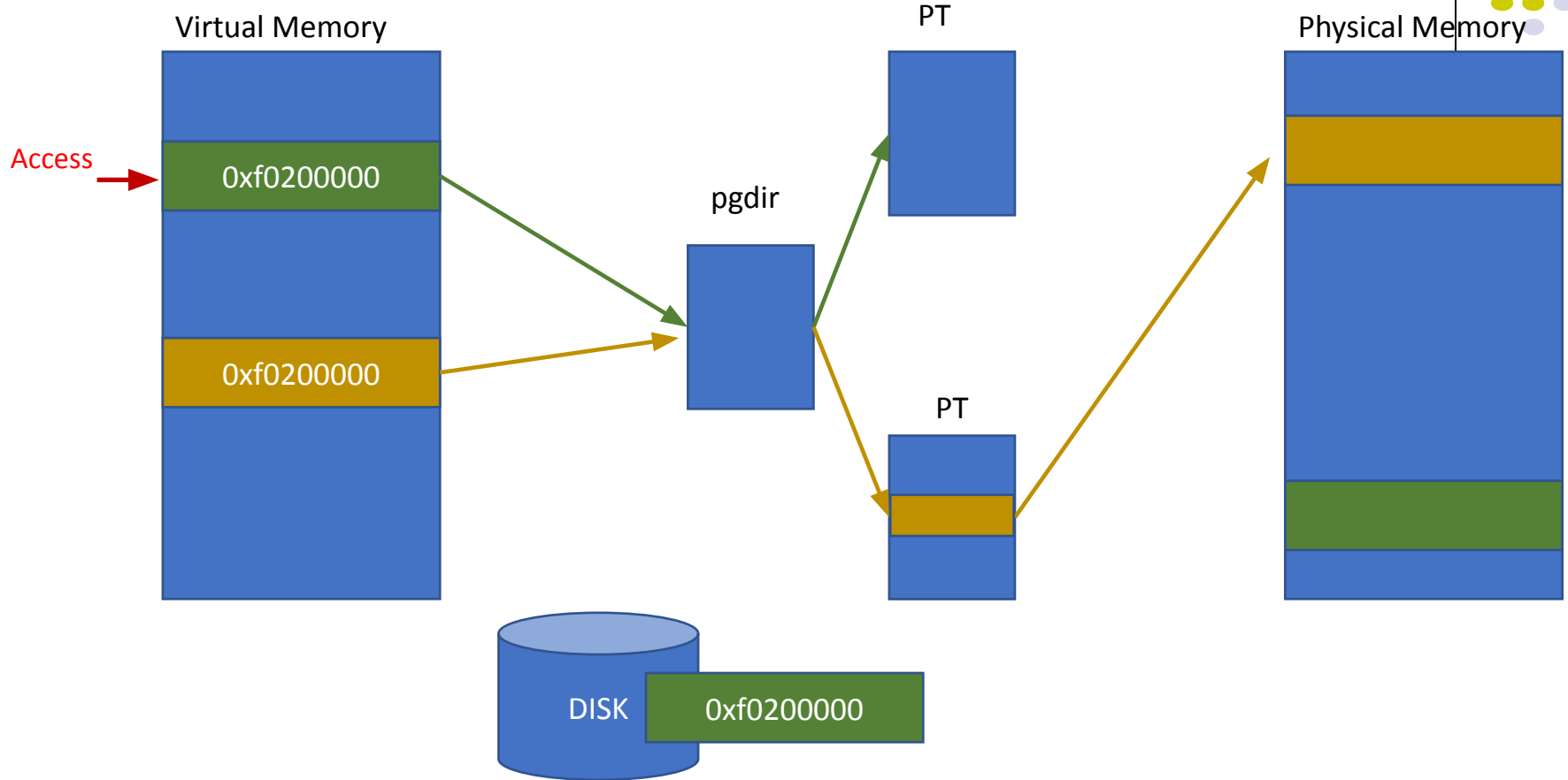
# Memory Swapping - Removing a page



# Memory Swapping - Removing a page

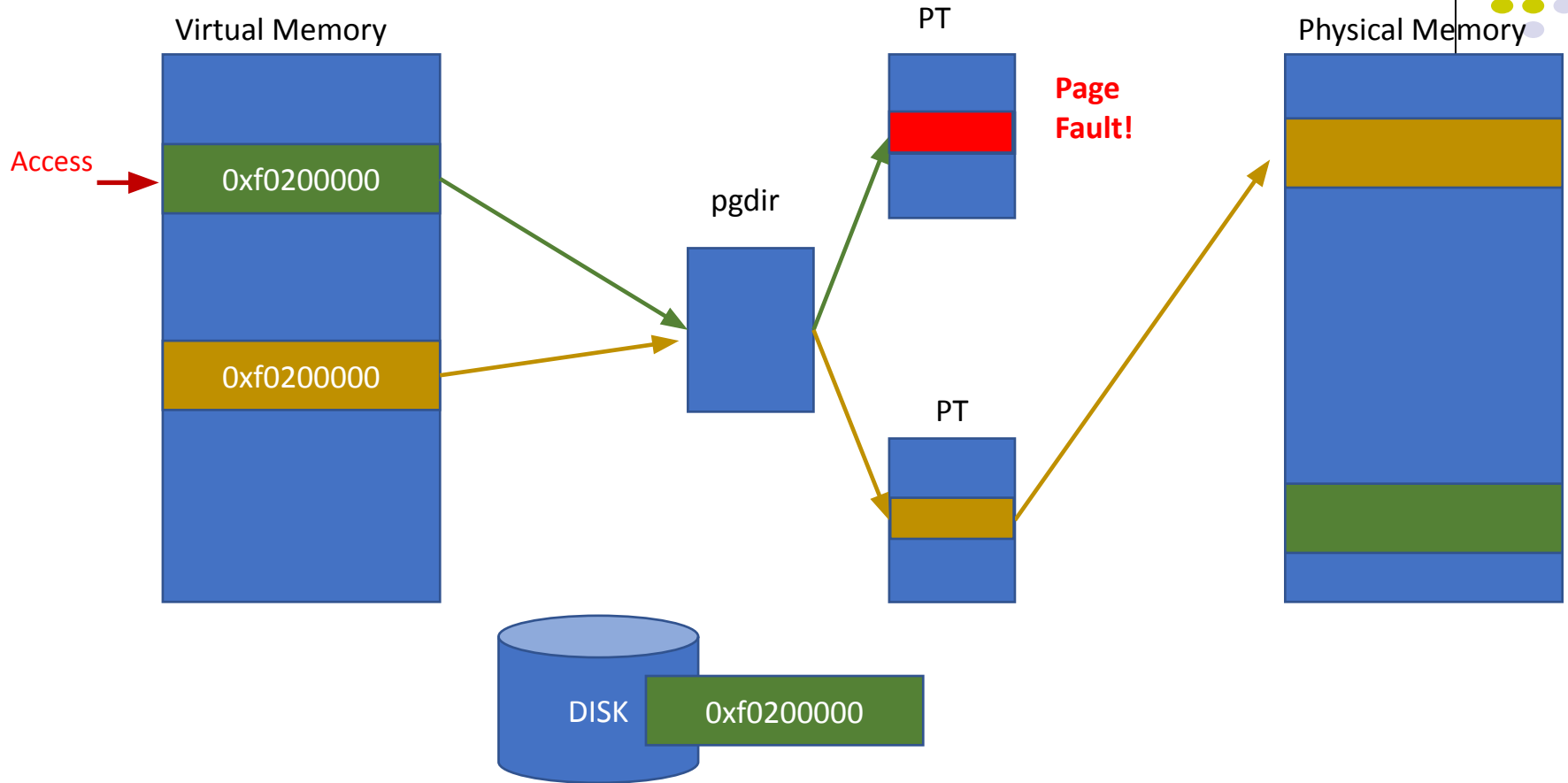


# Memory Swapping - Removing a page





# Memory Swapping - Removing a page



# Swapping - Transparently load page from disk

- Page fault handler



# Swapping - Transparently load page from disk



- Page fault handler
  - Read CR2 (get address, 0xf0200000)

# Swapping - Transparently load page from disk



- Page fault handler
  - Read CR2 (get address, `0xf0200000`)
  - Read error code

# Swapping - Transparently load page from disk



- Page fault handler
  - Read CR2 (get address, `0xf0200000`)
  - Read error code
- If error code says that the fault is caused by non-present page and

# Swapping - Transparently load page from disk



- Page fault handler
  - Read CR2 (get address, `0xf0200000`)
  - Read error code
- If error code says that the fault is caused by non-present page and
- The faulting page of the current process is stored in the disk

# Swapping - Transparently load page from disk



- Page fault handler
  - Read CR2 (get address, `0xf0200000`)
  - Read error code
- If error code says that the fault is caused by non-present page and
- The faulting page of the current process is stored in the disk
  - Lookup disk if it swapped put `0xf0200000` of this environment (process)

# Swapping - Transparently load page from disk



- Page fault handler
  - Read CR2 (get address, `0xf0200000`)
  - Read error code
- If error code says that the fault is caused by non-present page and
- The faulting page of the current process is stored in the disk
  - Lookup disk if it swapped put `0xf0200000` of this environment (process)
    - This must be per process because virtual address is per-process resource



# Swapping - Transparently load page from disk



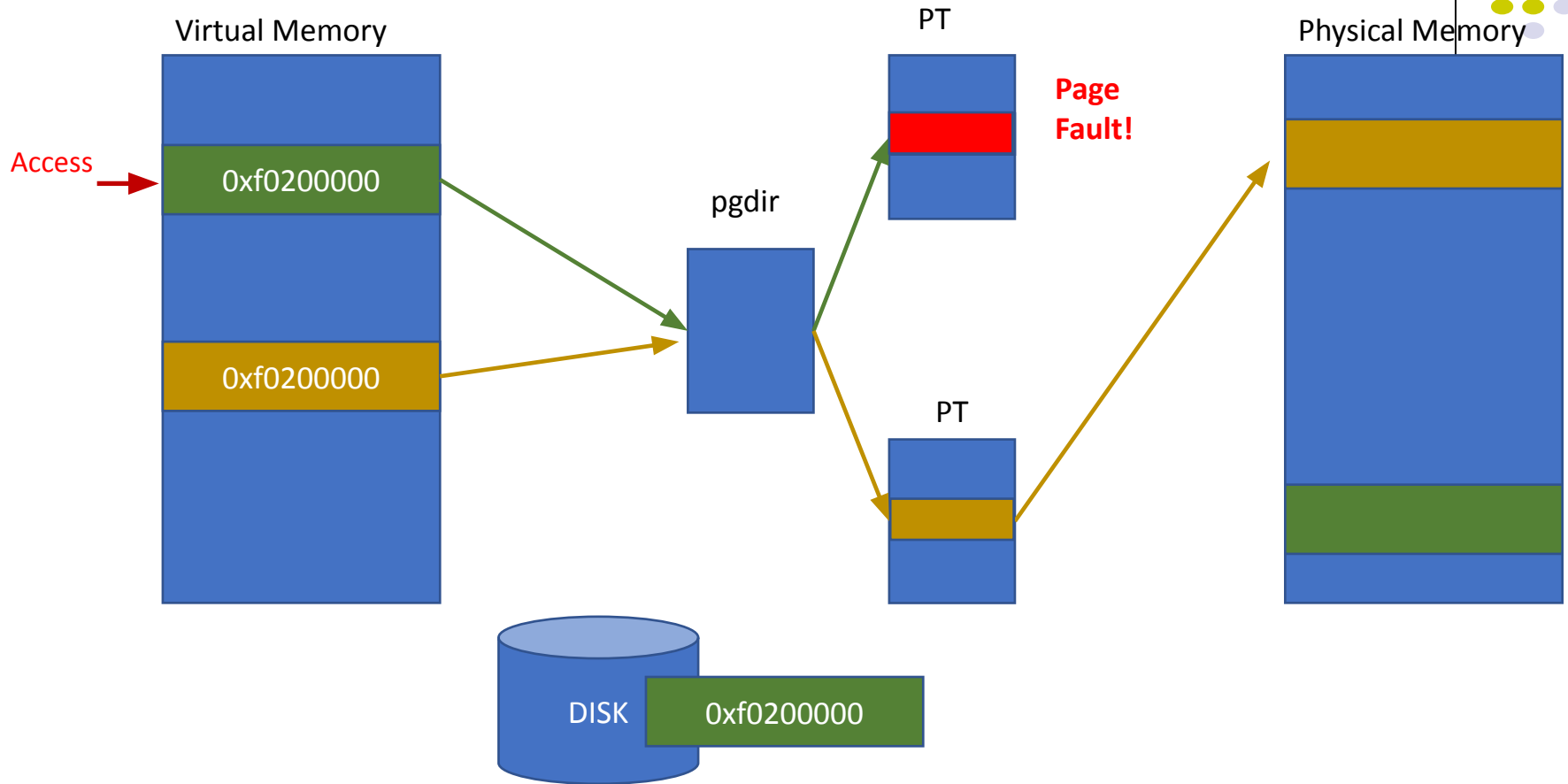
- Page fault handler
  - Read CR2 (get address, 0xf0200000)
  - Read error code
- If error code says that the fault is caused by non-present page and
- The faulting page of the current process is stored in the disk
  - Lookup disk if it swapped put 0xf0200000 of this environment (process)
    - This must be per process because virtual address is per-process resource
- Load that page into physical memory

# Swapping - Transparently load page from disk

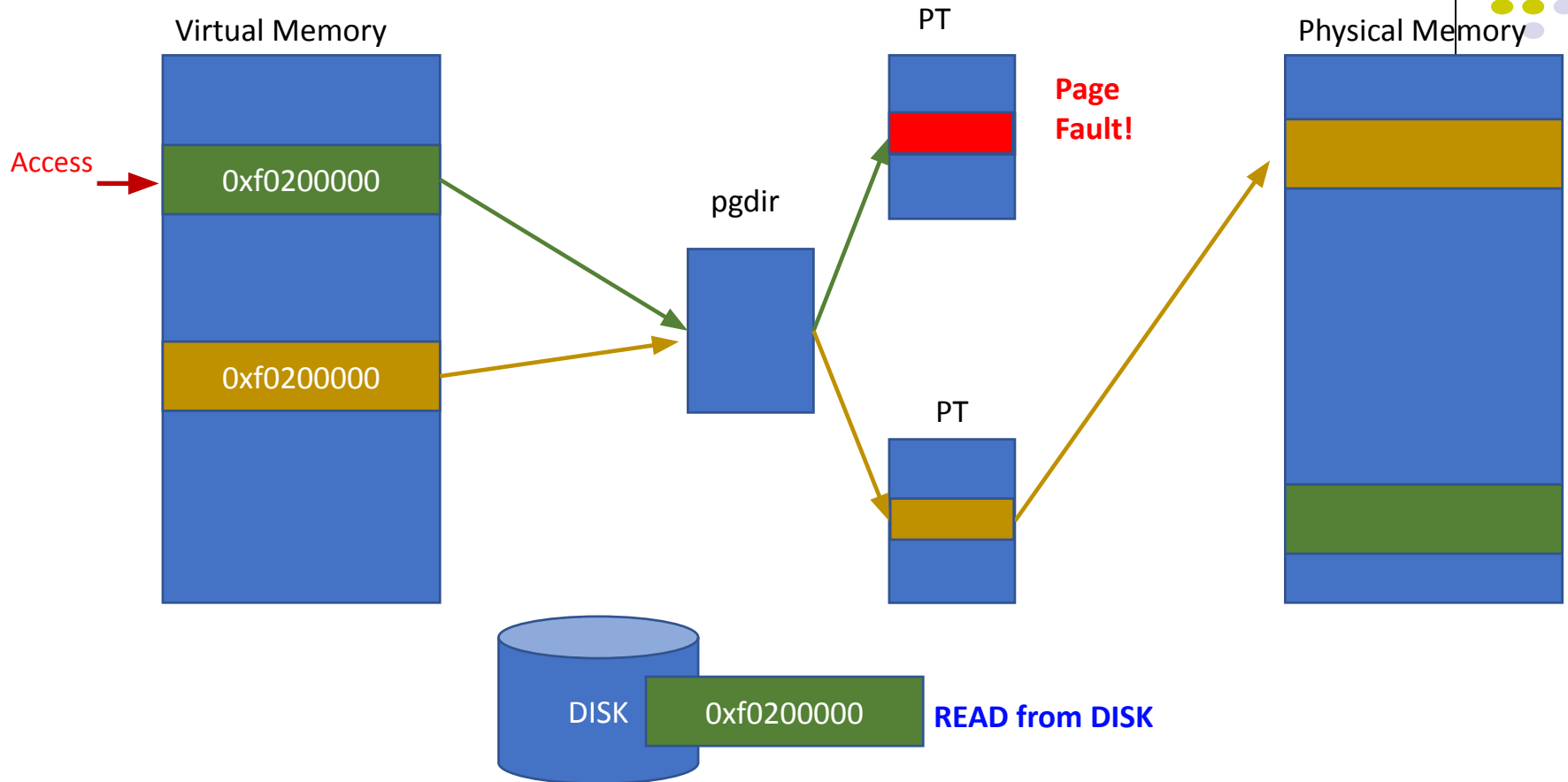


- Page fault handler
  - Read CR2 (get address, 0xf0200000)
  - Read error code
- If error code says that the fault is caused by non-present page and
- The faulting page of the current process is stored in the disk
  - Lookup disk if it swapped put 0xf0200000 of this environment (process)
    - This must be per process because virtual address is per-process resource
- Load that page into physical memory
- Map it and then continue!

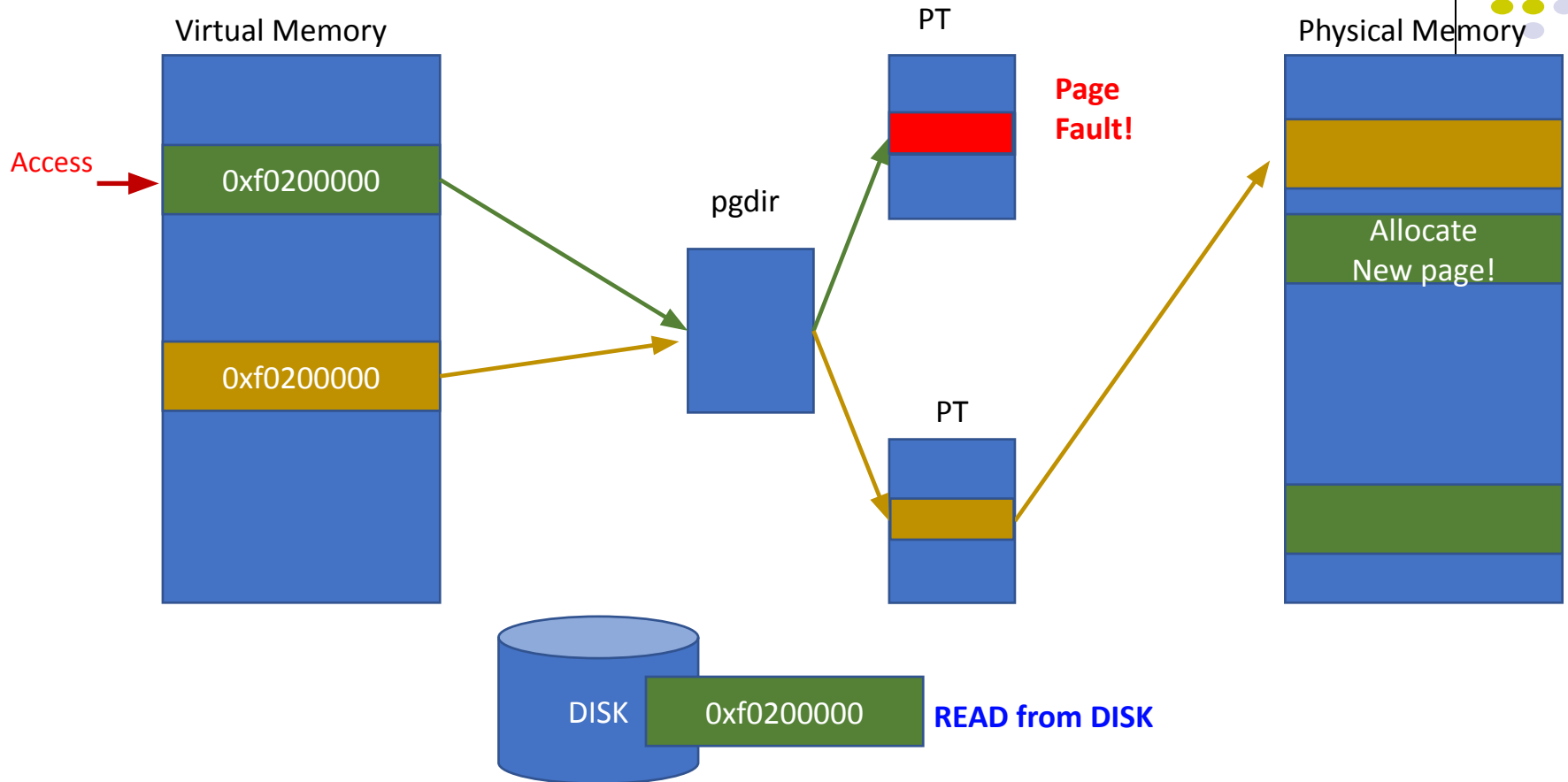
# Swapping - Transparently load page from disk



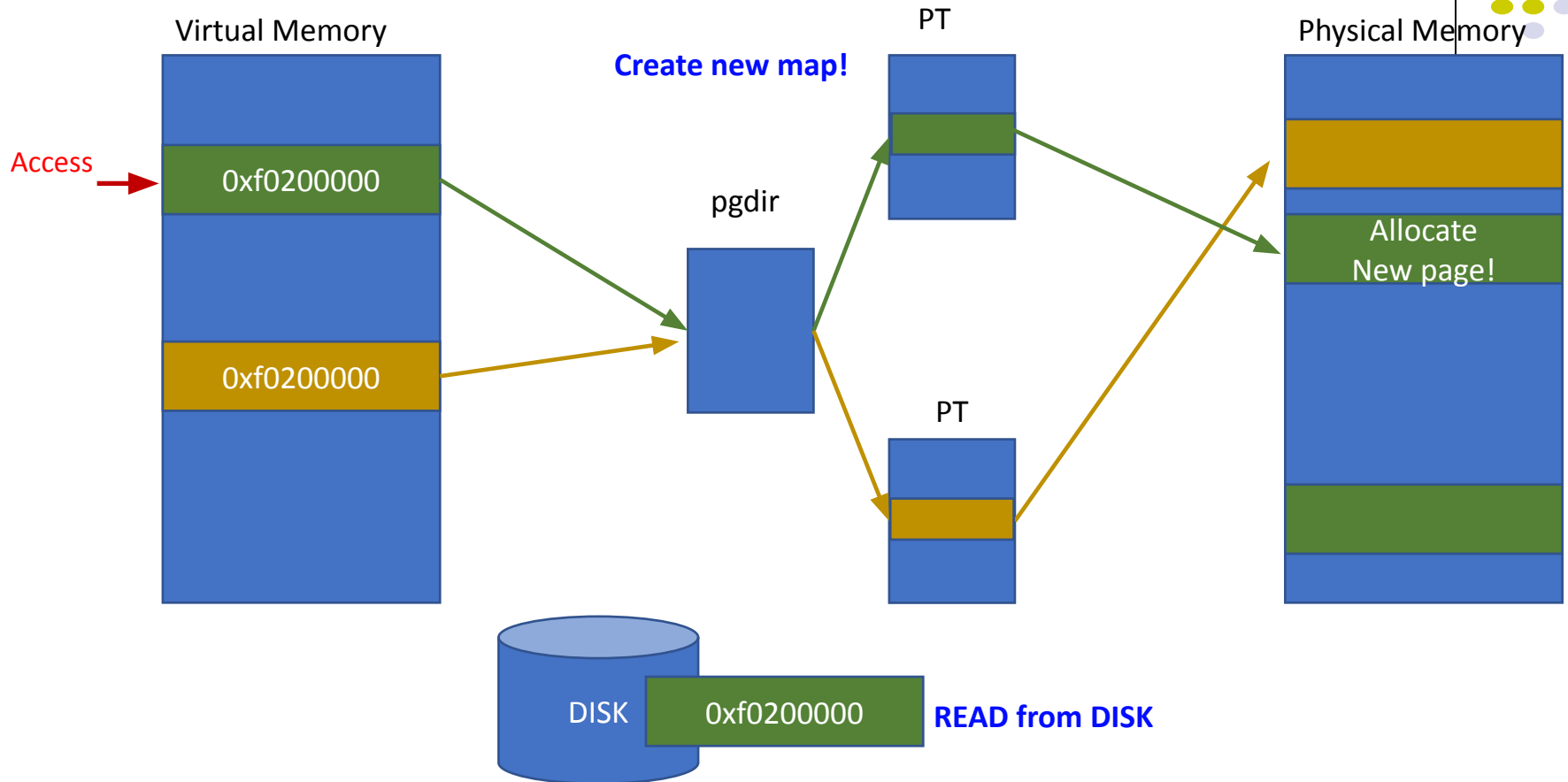
# Swapping - Transparently load page from disk



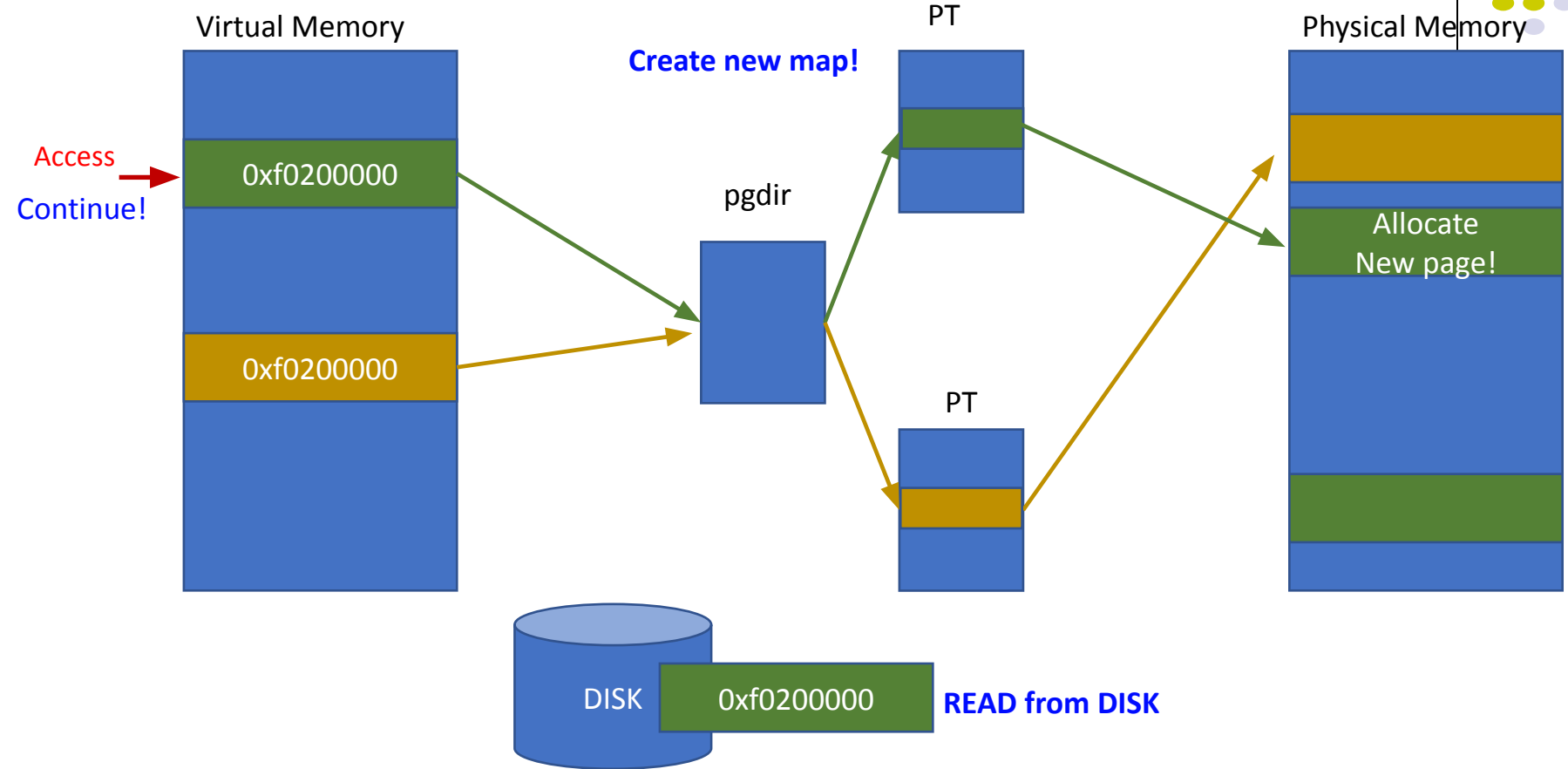
# Swapping - Transparently load page from disk



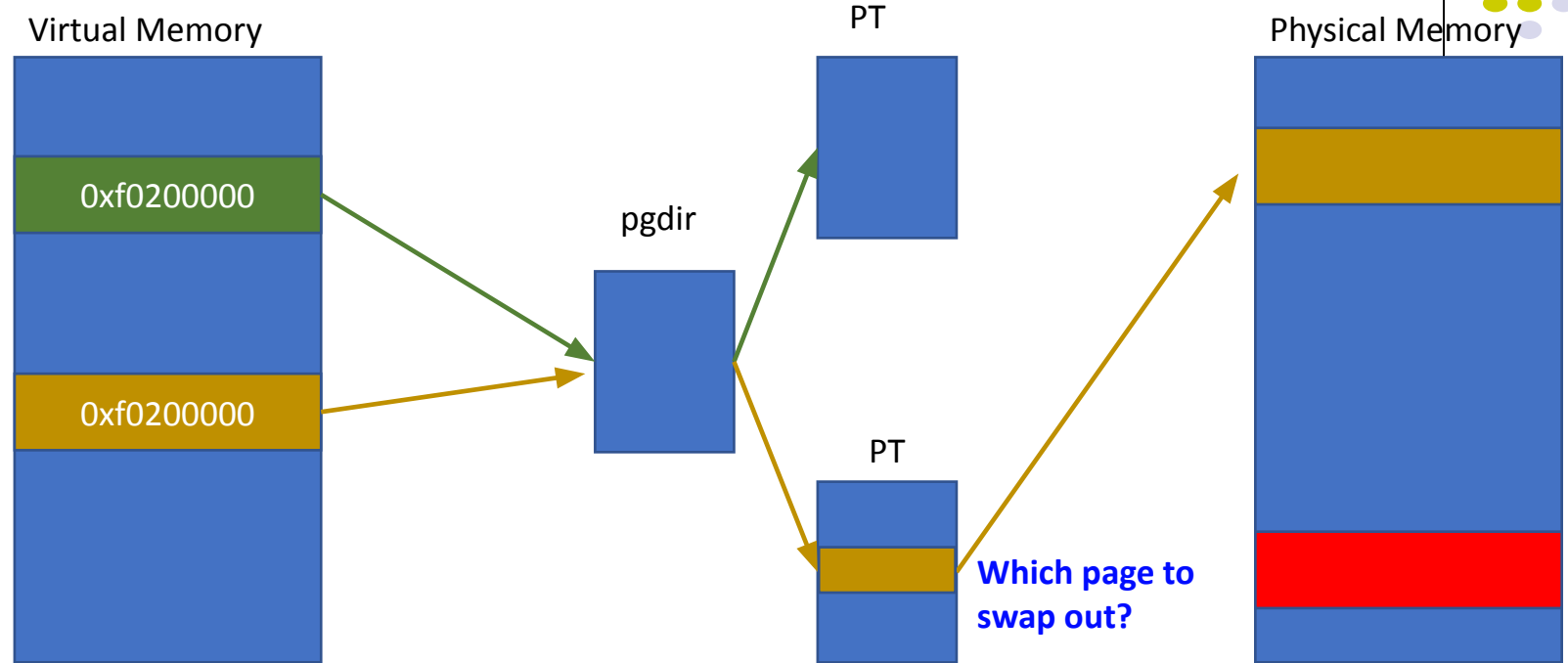
# Swapping - Transparently load page from disk



# Swapping - Transparently load page from disk



# Selecting Page to Swap out!





# System at Full Memory Capacity

- Expect to run with all phy. pages in use
- Every “page-in” requires an eviction



# System at Full Memory Capacity



- Expect to run with all phy. pages in use
- Every “page-in” requires an eviction
- Goal of page replacement
  - Maximize hit rate -> kick out the page that's least useful

# System at Full Memory Capacity



- Expect to run with all phy. pages in use
- Every “page-in” requires an eviction
- Goal of page replacement
  - Maximize hit rate -> kick out the page that's least useful
    - Challenge: how do we determine utility?
      - Kick out pages that aren't likely to be used again

# System at Full Memory Capacity



- Expect to run with all phy. pages in use
- Every “page-in” requires an eviction
- Goal of page replacement
  - Maximize hit rate -> kick out the page that's least useful
    - Challenge: how do we determine utility?
      - Kick out pages that aren't likely to be used again
- Page replacement is a difficult policy problem

# Finding Least Useful Page is Hard

- Don't know future!



# Finding Least Useful Page is Hard



- Temporal Locality:
  - Past behavior is a good indication of future behavior! (e.g. LRU)
- Perfect (past) reference stream hard to get
  - Every memory access would need bookkeeping
  - Is this feasible (in software? In hardware?)

# Finding Least Useful Page is Hard



- Temporal Locality:
  - Past behavior is a good indication of future behavior! (e.g. LRU)
- Perfect (past) reference stream hard to get
  - Every memory access would need bookkeeping
  - Is this feasible (in software? In hardware?)
- Minimize overhead
  - If no memory pressure, ideally no bookkeeping
  - In other words, make the common case fast (page hit)

# Finding Least Useful Page is Hard



- Get imperfect information, while guaranteeing foreground perf
  - What is minimum hardware support that need to added?



# Definitions (or Jargons asked during interviews)



- **Pressure** – the demand for some resource (often used when demand exceeds supply)  
ex: the system experienced memory pressure
- **Eviction** – throwing something out  
ex: cache lines and memory pages got evicted
- **Pollution** – bringing in useless pages/lines  
ex: this strategy causes high cache pollution



# Definitions

- **Thrashing** – extremely high rate of moving things in and out (usually unnecessarily)
- **Locality** – re-use – it makes the world go rounds!
- **Temporal Locality** – re-use in time
- **Spatial Locality** – re-use of close by locations

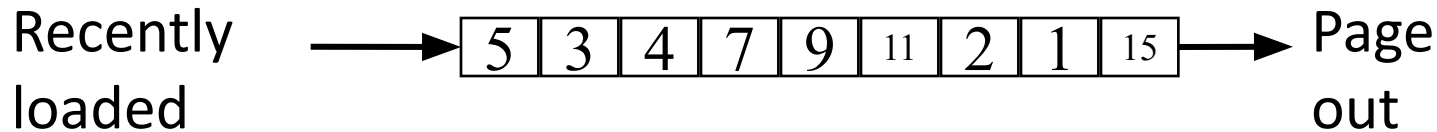
# Performance metric for Page Replacement algorithms



- Give a sequence of memory accesses, minimize the # of page faults
  - Similar to cache miss rate
  - What about hit latency and miss latency?



# First In First Out (FIFO)



- Algorithm
  - Throw out the oldest page
- Pros
  - Low-overhead implementation
- Cons
  - No frequency/no recency □ may replace the heavily used pages



# First In First Out (FIFO)

- For a given set of page references, what happens when we increase the physical memory?



# First In First Out (FIFO)

- For a given set of page references, what happens when we increase the physical memory?
  - Expected: Number of page faults decreases.
- Are your sure!?



# Belady's anomaly

**Belady's anomaly:** Laszlo Belady states that it is possible to have more page faults when increasing the number of page frames.

Previously, it was believed that an increase in the number of page frames would always provide the same number or fewer page faults.

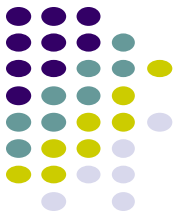
# Example

Page Requests

**321032432104**







## Example (Page Faults in Red)

Page Requests – 3 frames

**Total Page Faults: 9**

	3	2	1	0	3	2	4	3	2	1	0	4
Frame 1	3	3	3	0	0	0	4	4	4	4	4	4
Frame 2		2	2	2	3	3	3	3	3	1	1	1
Frame 3			1	1	1	2	2	2	2	2	0	0



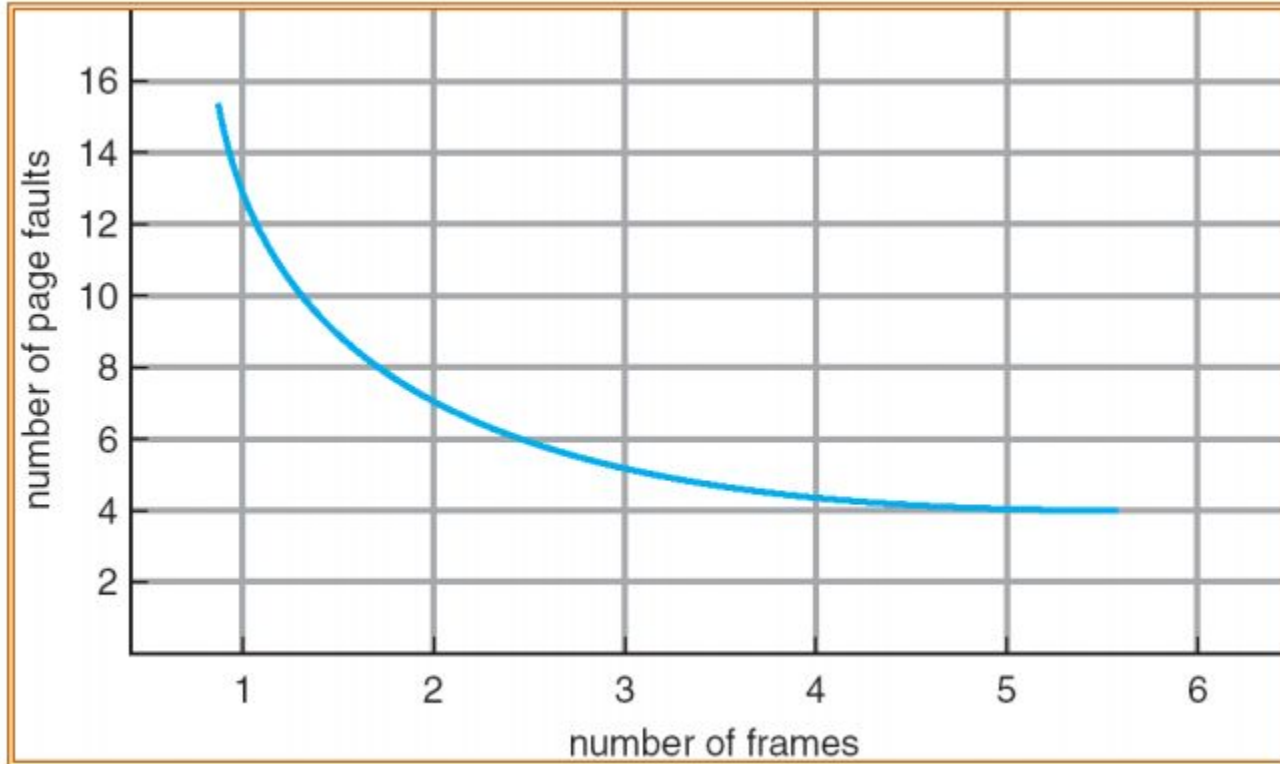
# Example (Page Faults in Red)

Page Requests – 4 frames

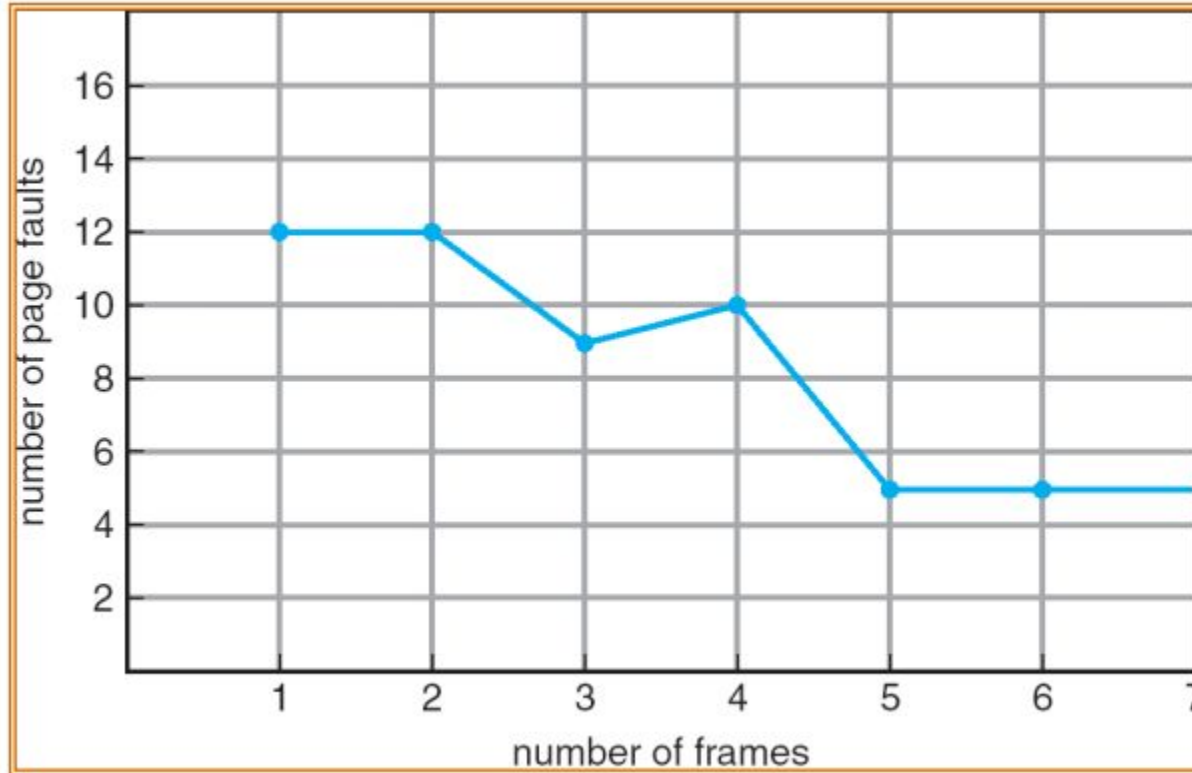
**Total Page Faults: 10**

	3	2	1	0	3	2	4	3	2	1	0	4
Frame 1	3	3	3	3	3	3	4	4	4	4	0	0
Frame 2		2	2	2	2	2	2	3	3	3	3	4
Frame 3			1	1	1	1	1	1	2	2	2	2
Frame 4				0	0	0	0	0	0	1	1	1

# Ideal curve of # of page faults v.s. # of physical pages



# FIFO illustrating Belady's anomaly





# Optimal or MIN

- Algorithm (also called Belady's Algorithm)
  - Replace the page that won't be used for the **longest** time
- Pros
  - Minimal page faults (can you prove it?)
  - Used as an **off-line** algorithm for perf. analysis
- Cons
  - **No on-line** implementation
- What was the CPU scheduling algorithm of similar nature?



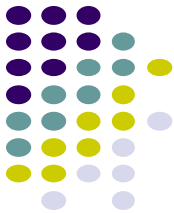
# Predicting Future based on Past

- “Principle of locality”
  - Recency:
    - Page **recently** used are likely to be used again in the near future
  - Frequency:
    - Pages **frequently** used (recently) are likely to be used frequently again in the near future
- Is this temporal or spatial locality?

# How to record locality?

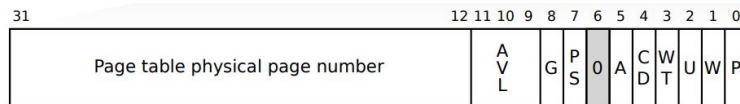
- Software Solution!?



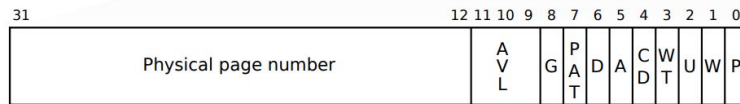


# How to record locality?

- Can hardware give any hints?



PDE



PTE

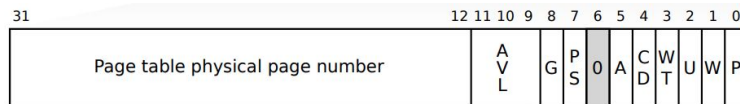
- P Present
- W Writable
- U User
- WT 1=Write-through, 0=Write-back
- CD Cache disabled
- A Accessed
- D Dirty
- PS Page size (0=4KB, 1=4MB)
- PAT Page table attribute index
- G Global page
- AVL Available for system use



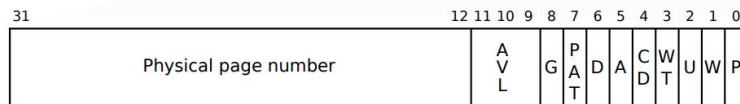


# How to record locality?

- Can hardware give any hints?



PDE



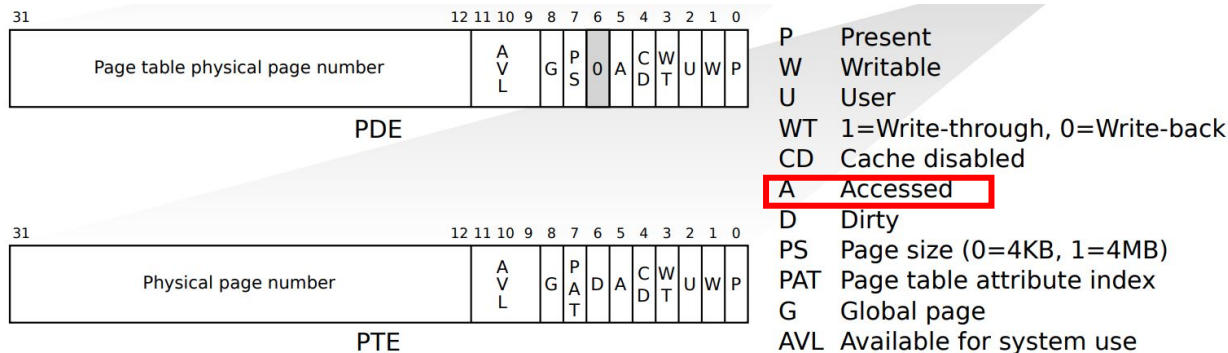
PTE

P Present  
W Writable  
U User  
WT 1=Write-through, 0=Write-back  
CD Cache disabled  
**A Accessed**  
D Dirty  
PS Page size (0=4KB, 1=4MB)  
PAT Page table attribute index  
G Global page  
AVL Available for system use



# How to record locality?

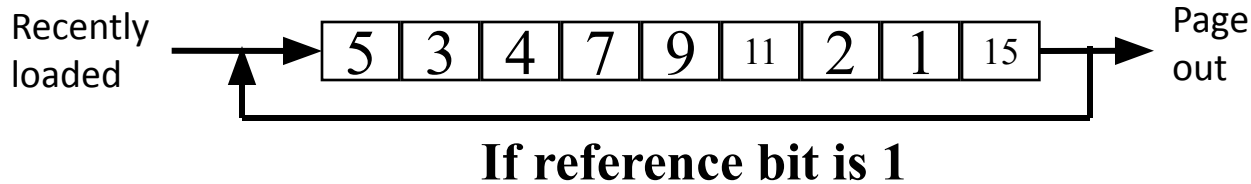
- Can hardware give any hints?



**Accessed or Reference bit:** A hardware bit that is set whenever the page is referenced (read or written)

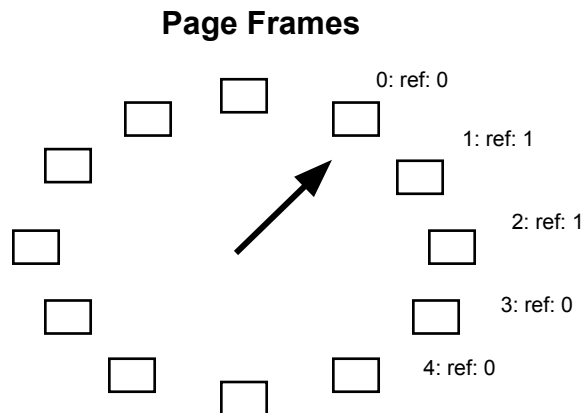


# FIFO with Second Chance



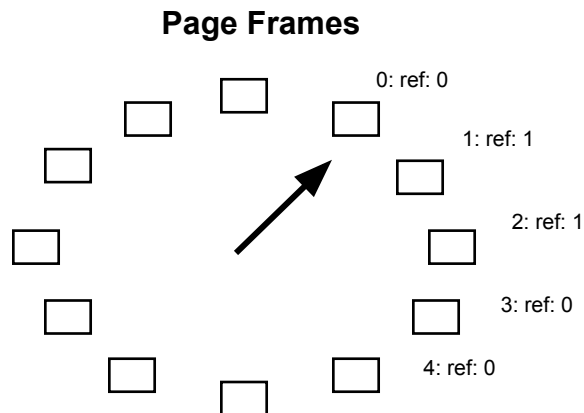
- Algorithm
  - Check the reference-bit of the oldest page (first in)
  - If it is 0, then replace it
  - If it is 1, clear the referent-bit, put it to the end of the list, and continue searching
- Pros
  - Fast
  - Frequency ☐ do not replace a heavily used page
- Cons
  - The worst case may take a long time

# Clock: a simple FIFO with 2nd chance

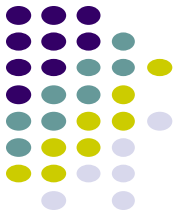


- FIFO clock algorithm
  - Maintain the list of page frames
  - Hand points to the oldest page
  - On a page fault, follow the hand to inspect pages
- Second chance
  - If the reference bit is 1, set it to 0 and advance the hand
  - If the reference bit is 0, use it for replacement
- What is the difference between Clock and the previous one?
  - Mechanism vs. policy?

# Clock: a simple FIFO with 2nd chance



- What happens if all reference bits are 1?
- What does it suggest if observing clock hand is sweeping very fast?
- What does it suggest if clock hand is sweeping very slow?



# Least Recently Used (LRU)

- Algorithm
  - Replace page that hasn't been used for the longest time
- Advantage: with locality, LRU approximates Optimal



# Implementing LRU: software

- A doubly linked list of pages
- Every time page is referenced, move it to the front of the list
- **Page replacement**: remove the page from back of list
  - Avoid scanning of all pages
- **Problem**: too expensive
  - Requires 6 pointer updates for each page reference info
  - High contention on multiprocessor

# Least Recently Used (LRU)



- What hardware mechanisms are required to implement LRU?





# Implementing LRU: hardware/software

- A timestamp for each page
- Every time page is referenced, save system clock into the timestamp of the page
- Page replacement: scan through pages to find the one with the oldest clock
- **Problem:** have to search all pages/counters!

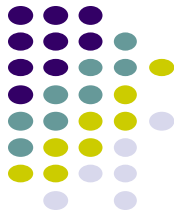


# Approximate LRU

	Most recently used	Least recently used	
Exact LRU	<div></div>		N categories
	pages in order of last reference		
Crude LRU	<div></div>	<div></div>	2 categories (roughly)
	pages referenced since the last page fault	pages not referenced since the last page fault	
8-bit count	<div><div>0</div><div>1</div><div>2</div><div>3</div></div>	...	<div><div>254</div><div>255</div></div>
			256 categories

Keep 8-bit counter for each page in a table in memory

# Approximate LRU



**Initial**

00000000
00000000
00000000
00000000

**Page Table**

Ref	Frame #
0	3
0	2
0	0
0	1

**Initial**

# Approximate LRU



Initial	Interval 1
00000000	00000000
00000000	00000000
00000000	<u>1</u> 0000000
00000000	00000000

Page Fault Victim?

Interval 1

Page Table

Ref	Frame #		Ref	Frame #
0	3	➡	0	3
<u>1</u>	<u>2</u>		0	2
0	0		0	0
0	1		0	1

# Approximate LRU



Initial	Interval 1	Interval 2
00000000	00000000	00000000
00000000	00000000	<u>1</u> 0000000
00000000	<u>1</u> 0000000	<u>1</u> 1000000
00000000	00000000	00000000

Page Fault Victim?

Interval 2

Page Table

Ref	Frame #		Ref	Frame #
0	3	➡	0	3
<u>1</u>	<u>2</u>		0	2
0	0		0	0
<u>1</u>	<u>1</u>		0	1

# Approximate LRU



Initial

00000000
00000000
00000000
00000000

Interval 1

00000000
00000000
<u>1</u> 0000000
00000000

Interval 2

00000000
<u>1</u> 0000000
<u>1</u> 1000000
00000000

Interval 3

<u>1</u> 0000000
01000000
<u>1</u> 1100000
00000000

Page Fault Victim?

Interval 3

Page Table

Ref	Frame #
0	3
1	2
1	0
0	1



Ref	Frame #
0	3
0	2
0	0
0	1

# Approximate LRU



Initial

00000000
00000000
00000000
00000000

Interval 1

00000000
00000000
<u>1</u> 0000000
00000000

Interval 2

00000000
<u>1</u> 0000000
<u>1</u> 1000000
00000000

Interval 3

<u>1</u> 0000000
01000000
<u>1</u> 1100000
00000000

Interval 4

01000000
<u>1</u> 0100000
01110000
<u>1</u> 0000000

Page Fault Victim?

Interval 4

Page Table

Ref	Frame #
1	3
0	2
0	0
1	1



Ref	Frame #
0	3
0	2
0	0
0	1

# Approximate LRU



Initial	Interval 1	Interval 2	Interval 3	Interval 4	Interval 5
00000000	00000000	00000000	<u>1</u> 0000000	01000000	<u>1</u> 0100000
00000000	00000000	<u>1</u> 0000000	01000000	<u>1</u> 0100000	01010000
00000000	<u>1</u> 0000000	<u>1</u> 1000000	<u>1</u> 1100000	01110000	01110000
00000000	00000000	00000000	00000000	<u>1</u> 0000000	01000000

Page Fault Victim?

**Interval 5**

Page Table

Ref	Frame #		Ref	Frame #
0	3	➡	0	3
0	2		0	2
<u>1</u>	<u>0</u>		0	0
0	1		0	1



# Approximate LRU



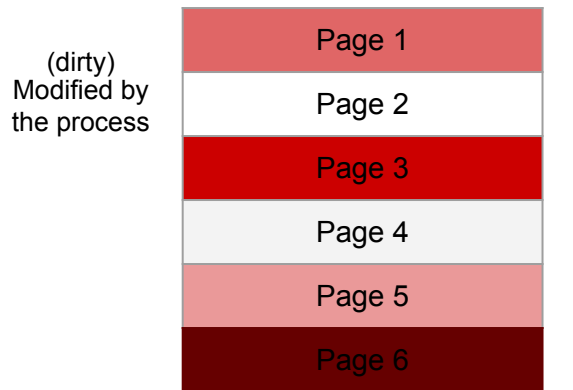
Initial	Interval 1	Interval 2	Interval 3	Interval 4	Interval 5
00000000	00000000	00000000	<u>1</u> 0000000	01000000	<u>1</u> 0100000
00000000	00000000	<u>1</u> 0000000	01000000	<u>1</u> 0100000	01010000
00000000	<u>1</u> 0000000	<u>1</u> 1000000	<u>1</u> 1100000	01110000	01110000
00000000	00000000	00000000	00000000	<u>1</u> 0000000	01000000


- Algorithm
  - At regular interval, OS shifts reference bits (in PTE) into counters (and clear reference bits)
  - Replacement: Pick the page with the “smallest counter”
- How many bits are enough?
  - In practice 8 bits are quite good
- Pros: **Require one reference bit, small counter/page**
- Cons: **Require looking at many counters (or sorting)**


# Which page to evict? (Victim page)



Heat map of the page usages.



 Accessed Heavily

 Accessed Rarely

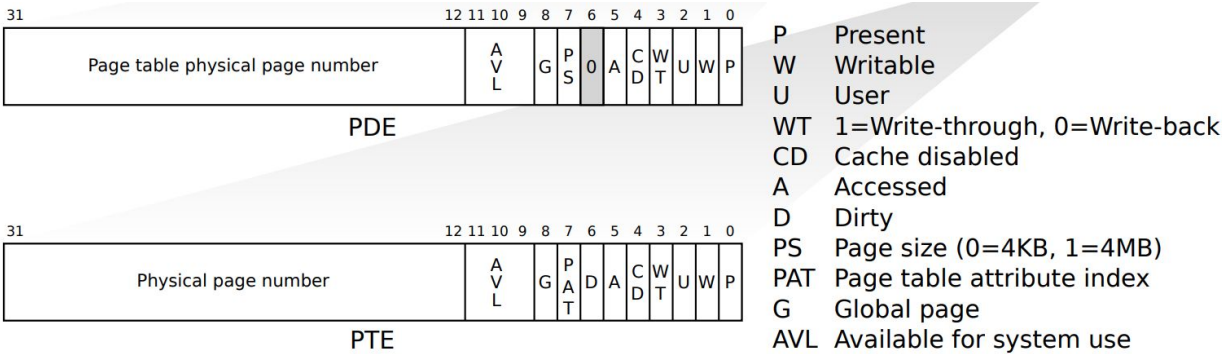
# We have focused on miss rate. What about miss latency?



- Key observation: it is cheaper to pick a “clean” page over a “dirty” page
  - Clean page does not need to be swapped to disk
- Challenge:
  - How to get this info?

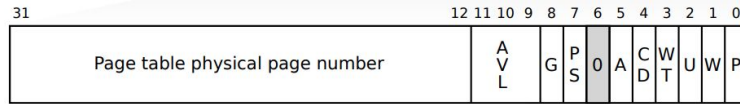


# Let's look back at PTE entries!

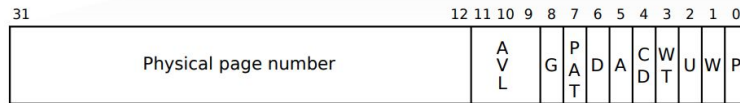




# Dirty Bit - Modified bit



PDE



PTE

- P Present
- W Writable
- U User
- WT 1=Write-through, 0=Write-back
- CD Cache disabled
- A Accessed
- D Dirty**
- PS Page size (0=4KB, 1=4MB)
- PAT Page table attribute index
- G Global page
- AVL Available for system use

# Enhanced FIFO with 2nd Chance



Same as the basic FIFO with 2<sup>nd</sup> chance, except that it considers both (reference bit, modified bit)

Ref, Mod	Needed Soon?	Replacement Cost?	Preference
0, 0	Unlikely	Low (Drop the page)	😍
0, 1	Unlikely	High (Write to disk)	😊
1, 0	Likely	Low (Drop the page)	😊
1, 1	Likely	High (Write to disk)	😞

# Enhanced FIFO with 2nd Chance



- On page fault, follow hand to inspect pages:
  - Round 1:
    - If bits are (0,0), take it
    - if bits are (0,1), record 1<sup>st</sup> instance
    - Clear ref bit for (1,0) and (1,1), if (0,1) not found yet
  - At end of round 1, if (0,1) was found, take it
  - If round 1 does not succeed, try 1 more round

# Summary: Page Replacement Algorithms



- Optimal
- FIFO
- Random
- Approximate LRU (NRU)
- FIFO with 2<sup>nd</sup> chance
- Clock: a simple FIFO with 2<sup>nd</sup> chance
- Enhanced FIFO with 2<sup>nd</sup> chance