

3503 Graph Theory and Combinatorics Notes  
Based on the spring 2013 lectures  
by Dr J Talbot.

To those who understands and accepts that  
the way and only way to learn mathematics  
is to solve mathematics problems and to do them  
honestly and faithfully.

Eric Oscar

9/1/13

## Graph theory + Combinatorics

Introduction; an example:



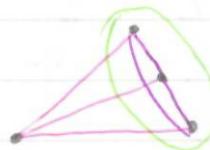
$$G = (V, E)$$

$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{5, 6\}\}$$

Notation:

# = "the number of"



Ramsey Theory.

$$\begin{aligned} \text{So } K_5 &= \text{ (Diagram of } K_5 \text{)} &= \# \text{edges in } K_5 \\ &&= \# \text{unordered pairs from } \{1, 2, 3, 4, 5\} \\ &&= \binom{5}{2} = \frac{5 \times 4}{2} = 10. \end{aligned}$$

Def: If  $X$  is a set then  $|X|$  is the size or cardinality of  $X$ .

Def: For any  $k \geq 1$  we define  $k$  factorial to be  $k! = k \cdot (k-1) \cdot \dots \cdot 2 \cdot 1$ . We define  $0! = 1$ .

$$X = \{1, 2, 3, 4, \dots, 10\}.$$

How many cyclic permutations of  $X$  are there?

$$\begin{array}{c} 9 \\ 8 \quad 2 \\ 7 \quad 3 \\ 6 \quad 5 \quad 4 \end{array} = \begin{array}{c} 6 \quad 7 \quad 8 \quad 9 \\ 5 \quad 4 \quad 1 \\ 3 \quad 2 \end{array}$$

$$\# \text{ cyclic perms} = 9! = \frac{10!}{10}.$$

$$\begin{array}{ccccccccc} 1 & 2 & 3 & 4 & 5 & \dots & 10 \\ 2 & 3 & 4 & & \dots & 10 & 1 \\ 3 & 4 & & & & 10 & 2 & 1 \\ \vdots & & & & & & & \\ 10 & 1 & \dots & & & & & 9 \end{array}$$

A family of subsets of  $X$ .

$$\text{eg. } \mathcal{A} = \{\{1, 2, 3\}, \{2, 4, 5\}\}.$$

A family of subsets of  $X$  is intersecting if  $A, B \in \mathcal{A} \Rightarrow A \cap B \neq \emptyset$ .

$$\# \text{ subsets of } X = 2^n$$

 times.

$$B \in \mathcal{A} \Rightarrow X - B = B^c.$$

$|A| \leq 2^{n-1}$  Because have at most one of each complementary pairs :  $(B, X - B)$ .

$$[x] = \{1, 2, \dots, n\}$$

$$\begin{array}{ll} \lfloor x \rfloor & \lceil x \rceil \\ \text{floor} & \text{ceiling} \end{array}$$

$$A = \{A \subseteq [n] : x \in A\}.$$

$$|A| = 2^{n-1} = \# \text{subset of an } (n-1) \text{-set.}$$

$$|X|.$$

$$\begin{aligned} 4! &= 4 \times 3 \times 2 \times 1 = 24 \\ 0! &= 1 \end{aligned}$$

-/-

### Lemma 1.1

(i) #  $k$ -tuples from  $X = [n] = n^k$ .

(ii) #  $k$ -tuples with distinct elements from  $X$  is  $n(n-1)\dots(n-k+1)$ .

Proof:

(i)  $n$  choices for each  $k$  positions

(ii)  $n$  choices for 1st entry

$n-1$  " " 2nd entry etc.

$n - (k-1)$  choices for  $k^{\text{th}}$  entry

Def:  $\binom{X}{k} := \{A \subseteq X : |A| = k\}$

e.g.  $\binom{5}{2} = 10$

$$\binom{[5]}{2} = \{12, 13, 14, 15, 23, 24, 25, 34, 35, 45\}.$$

$$= \{\{1, 2\}, \{1, 3\}, \dots, \{4, 5\}\}.$$

Lemma 1.2: If  $|X| = n$ , and  $0 \leq k \leq n$  then

$$|\binom{X}{k}| = \binom{n}{k}$$

Each  $k$ -set from  $X$  correspond to  $k!$  different  $k$ -tuples of distinct elements. Hence, Lemma 1.1 (ii)

$$\Rightarrow |\binom{X}{k}| = \frac{n(n-1)\dots(n-k+1)}{k!}$$

$$= \frac{n!}{k!(n-k)!}$$

-/-

## Probabilistic Method:

Idea: Want an example of some mathematical object. Invent a probabilistic "experiment" where  $\Pr(\text{that the experiment generates a good example})$ .

$0! = 1 \Rightarrow \binom{n}{n} = 1$  and  $\binom{n}{0} = 1$  (there is only one way to choose a set with no elements). By convention we define  $\binom{n}{k} = 0$  for  $k \in \mathbb{Z} - \{0, 1, \dots, n\}$ , i.e. define  $\binom{n}{k} = 0$  if  $k < 0$  or  $k > n$  integer.

Def: The powerset of a set  $X$  is:

$$\mathcal{P}(X) = \{A : A \subseteq X\}$$

Lemma 1.3. If  $|X| = n \geq 0$  and  $0 \leq k \leq n$  then  
c)  $|\mathcal{P}(X)| = 2^n$ .

i)  $\binom{n}{k} = \binom{n}{n-k}$

ii)  $\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$

Proof:

i)  $n$  elements in or out



ii) Observe that  $\frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!}$

So  $B \rightarrow X - B$  is a bijection.

$$\text{iii)} \quad \binom{n+1}{k} = \binom{n}{k} + \binom{n}{k+1} = \# \text{ subset of } \{n+1\}$$

of size  $k$ , containing  $n+1$

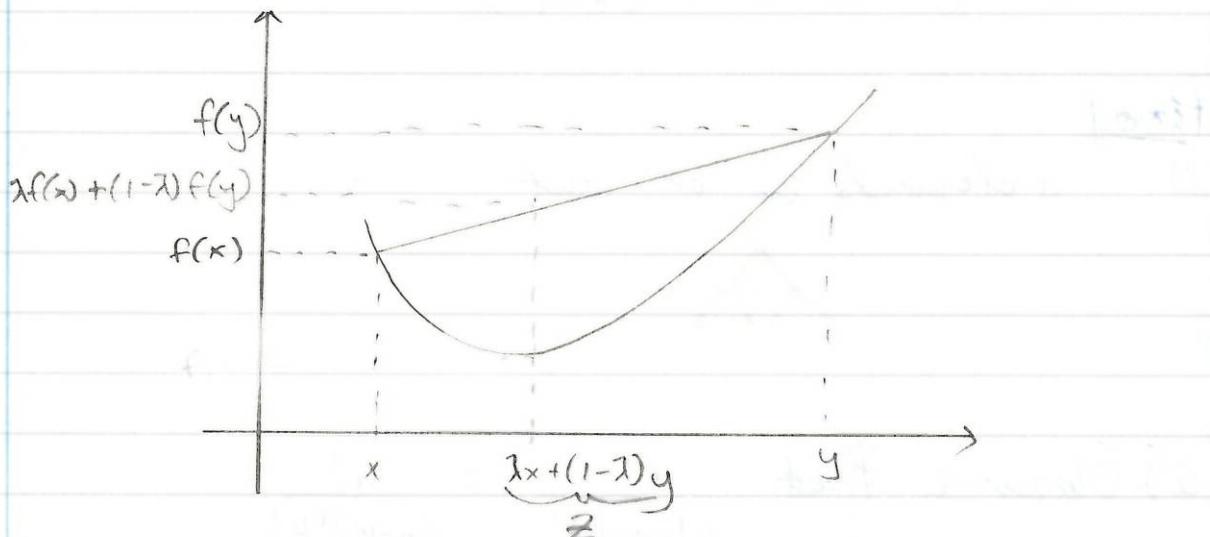
$$\left| \binom{\{n+1\}}{k} \right| \quad \begin{matrix} // \\ \# \text{ subset of } \\ \{n+1\} \text{ of size } k! \\ \text{not containing } \\ n+1 \end{matrix}$$

$x \in \mathbb{R}$ ,  $s \geq 0$  integer

$$\binom{x}{s} = \begin{cases} \frac{x(x-1)\dots(x-s+1)}{s!}, & x \geq s-1 \\ 0 & x < s-1. \end{cases}$$

Def:  $f: (a, b) \rightarrow \mathbb{R}$  convex iff  $\forall x, y \in (a, b)$   
 $\lambda \in [0, 1]$

$$f(\lambda x + (1-\lambda)y) \leq \lambda f(x) + (1-\lambda)f(y). \quad \textcircled{1}$$



Lemma 1.4 : If  $f(a, b) \rightarrow \mathbb{R}$  diff<sup>ble</sup>  $f'(x)$  non-decreasing on  $(a, b)$  then  $f$  is convex on  $(a, b)$

Proof : Let  $x, y \in (a, b)$ ,  $\lambda \in [0, 1]$ ,  $x < y$  if  $z = \lambda x + (1 - \lambda)y$  apply Mean-Value theorem there exist  $\xi_1 \in (x, z)$ ,  $\xi_2 \in (z, y)$  st

$$\frac{f(z) - f(x)}{z - x} = f'(\xi_1), \quad \frac{f(y) - f(z)}{y - z} = f'(\xi_2)$$

.. Rearrange to give ① using  $f'(\xi_1) \leq f'(\xi_2)$ .

Lemma 1.5 :  $s \geq 1$ ,  $\varphi_s : \mathbb{R} \rightarrow \mathbb{R}$ ,  $\varphi_s(x) = \binom{x}{s}$ , then  $\varphi_s(x)$  is convex.

Proof : By induction on  $s$ , show  $\varphi'_s(x), \varphi''_s(x) \geq 0$  for  $x \in (s-1, \infty)$ .  $\varphi'_s(x), \varphi''_s(x) \geq 0$

$\therefore$  True for  $s=1$   $\varphi_s(x) = \frac{x(x-1)\dots(x-s+1)}{s!}$

Fact  $s\varphi_s(x) = (x-s+1)\varphi_{s-1}(x)$

Differentiate  $s\varphi'_s(x) = \varphi_{s-1}(x) + (x-s+1)\varphi'_{s-1}(x) \geq 0$   
 (by induction step on  $s-1$ )

Similarly for  $\varphi''_s(x)$ .

$$s\varphi''_s(x) = 2\varphi'_{s-1}(x) + (x-s+1)\varphi''_{s-1}(x) \geq 0.$$

$\therefore \varphi'_s(x), \varphi''_s(x) \geq 0 \Rightarrow$  (by lemma 1.4),  $\varphi_s(x)$  is convex  $\square$

Thm 1.6 (Jensen's Inequality): If  $\varphi : (a, \infty) \rightarrow \mathbb{R}$  is convex,  $x_1, \dots, x_n > a$ ,  $\lambda_1, \dots, \lambda_n \in [0, 1]$ ,  $\sum_{i=1}^n \lambda_i = 1$  then  $\varphi\left(\sum_{i=1}^n \lambda_i x_i\right) \leq \sum_{i=1}^n \lambda_i \varphi(x_i)$

Proof: True for  $n=1, n=2$  (by induction).

Now suppose  $n \geq 3$ , assume  $\lambda_{n-1} + \lambda_n > 0$ .

$$y_i = \begin{cases} x_i & 1 \leq i \leq n-2 \\ \frac{\lambda_{n-1}x_{n-1} + \lambda_n x_n}{\lambda_{n-1} + \lambda_n} & i=n-1. \end{cases}$$

$y_1, \dots, y_{n-1} > a$ ,  $\mu_1, \dots, \mu_{n-1} \in [0, 1]$ ,  $\sum_{i=1}^{n-1} \mu_i = 1$   
 $\therefore$  Apply induction hypothesis for  $n-1$ .

$$\Rightarrow \varphi\left(\sum_{i=1}^{n-1} \mu_i y_i\right) \leq \sum_{i=1}^{n-1} \mu_i \varphi(y_i)$$

$$\Rightarrow \varphi\left(\sum_{i=1}^n \lambda_i x_i\right) \leq \sum_{i=1}^{n-2} \lambda_i \varphi(x_i)$$

$$+ (\lambda_{n-1} + \lambda_n) \varphi\left(\frac{\lambda_{n-1}x_{n-1} + \lambda_n x_n}{\lambda_{n-1} + \lambda_n}\right)$$

Convexity  $\Rightarrow$  result.

□

Corollary 1.7: Let  $s \geq 1$  be an integer  
 $\lambda_1, \dots, \lambda_n \in [0, 1]$  with  $\sum_{i=1}^n \lambda_i = 1$  and  $x_1, \dots, x_n > 0$

(Simple Cauchy-Schwarz):  $\frac{1}{n} \left( \sum_{i=1}^n x_i \right)^2 \leq \sum_{i=1}^n x_i^2$

$$(\text{Bin Coeff Convexity}) \quad \left( \frac{1}{n} \sum_{i=1}^n x_i \right) \leq \frac{1}{n} \sum_{i=1}^n \binom{x_i}{s}$$

Proof : Directly from Th<sup>m</sup> 1.6 by convexity of  $f(x) = x^s$  and  $f(x) = \binom{x}{s}$



$$\text{Lemma 1.8: } \frac{(n-s+1)^s}{s!} \leq \binom{n}{s} \leq \frac{n^s}{s!}$$

$$\frac{n(n-1)\dots(n-s+1)}{s!}$$

## Graphs

vertices → edges

Def : A graph is a pair  $G = (V, E)$  of sets, with  $E \subseteq \binom{V}{2}$ . The elements of  $V$  are vertices and the elements of  $E$  are edges.

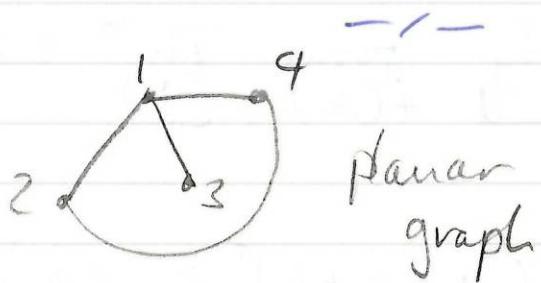
We denote the vertices and edges of a graph  $G$  by  $V(G)$  and  $E(G)$  respectively.

Def : The order of a graph is the number of vertices  $|V|$ . The size of a graph is the number of edges  $|E|$ .

Def : If  $G$  is a graph and  $v \in V(G)$  then the neighbourhood (or neighborhood) of  $v$  is

$$N(v) = \{u \in V(G) : uv \in E(G)\}.$$

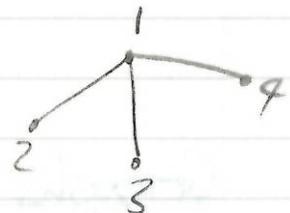
The degree of a vertex  $v \in V$  is the size of its neighbourhood  $d(v) = |\Gamma^2(v)|$ .



Eg:  $G([4], \{12, 13, 14\})$

$$\Gamma^2(1) = \{2, 3, 4\}.$$

$$d(1) = 3.$$



Lemma 1.9 (Handshake lemma): For any graph  $G = (V, E)$ :

$$\sum_{v \in V} d(v) = 2|E|. \quad (*)$$

Proof: Each edge has two endpoints so to count twice in the LHS of (\*)

11/1/13.

Last time :  $G = (V, E)$ ,  $\sum_{v \in V} d(v) = 2|E|$ .  
a graph.

Lemma 1.10 : In any graph the number of vertices of odd degree is even.

Proof :  $G = (V, E)$ ,  $V = A \cup B$ . disjoint union.

$$A : \{v : d(v) \text{ odd}\}, B : \{v : d(v) \text{ even}\}$$

$$\sum_{v \in V} d(v) = 2|E| \text{ is even (via Handshake Lemma)}$$

$\sum_{v \in B} d(v)$  is even since it is a sum of even numbers.

Hence  $\sum_{v \in A} d(v) = 2|E| - \sum_{v \in B} d(v)$  is even.

Hence  $|A|$  is even □

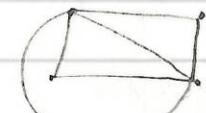
#### 1.4 Special Graphs

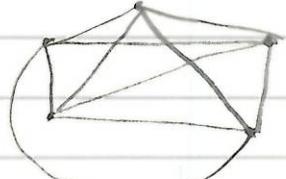
We define  $[n] = \{1, 2, \dots, n\}$ .

1) the complete graph of order  $n \geq 2$  :  $K_n$

$$V = [n], E = \binom{[n]}{2}$$

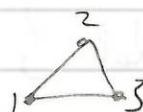
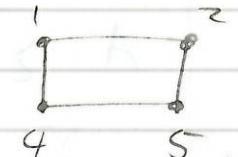
Eg:  $K_n$ ;  $K_1$ : ,  $K_3$ : 

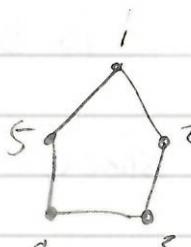
$K_4$ : 

$K_5$ : 

2) The cycle of length  $n \geq 3$ :  $C_n$ .

$$V = [n], E = \{\{c, c+1\} : c = 1, 2, \dots, n-1\} \cup \{1, n\}$$

Eg:  $C_n$ ;  $C_3$ : ,  $C_4$ : 

$C_5$ : 

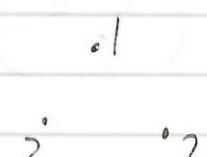
3) The path of length  $n$ :  $P_n$  ( $n$  edges and  $n+1$  vertices).

$$V = \{0, 1, 2, \dots, n\}, E = \{\{c-1, c\} : c \in [n]\}$$

Eg:  $P_n$ :  $P_2$ : ,  $P_3$ : 

4) The empty graph of order  $n$ :  $E_n$ .

$$V = [n], E = \emptyset$$

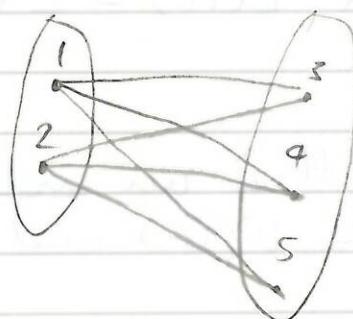
Eg:  $E_3$ : 

5) The complete bipartite graph with classes  $a$  and  $b$  is  $K_{a,b}$ :

$$V = \{1, 2, \dots, a\} \cup \{a+1, a+2, \dots, a+b\}$$

$$E = \{\{i, j\} : 1 \leq i \leq a, a+1 \leq j \leq a+b\}.$$

Eg:  $K_{a,b}$ ;  $K_{2,3}$ :

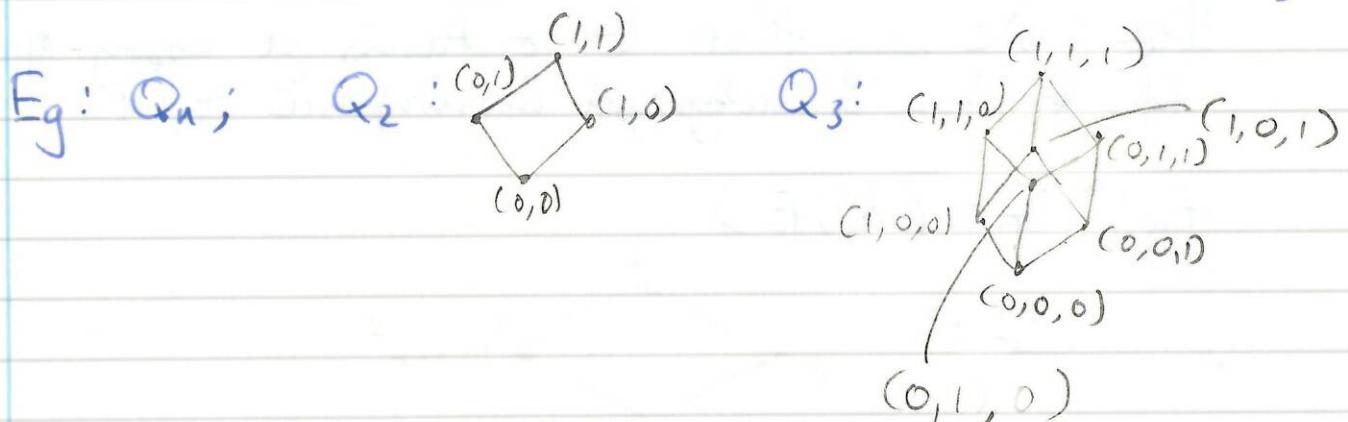


6) The (discrete) hypercube of dimension  $n$ :  $Q_n$ .

$$V(Q_n) = \{0, 1\}^n$$

$$\text{where } \{0, 1\}^n = \{(x_1, \dots, x_n) : x_i \in \{0, 1\} \text{ for } i\}$$

$E(Q_n) = \{xy : x \text{ and } y \text{ differ in exactly one coordinate}\}$ .



Note :  $\Phi([n]) = \{A : A \subseteq [n]\} \xrightarrow{\text{bijection}} \{0, 1\}^n$

$A \rightarrow \{x_1, \dots, x_n\}$   $x_i = 1$  iff  $i \in A$ .

— — —

## 1.5 Subgraphs.

Def : If  $G$  and  $H$  are graphs satisfying  $V(H) \subset V(G)$  and  $E(H) \subset E(G)$  then  $H$  is a subgraph of  $G$ .

Def : We say that  $H$  is an induced subgraph of  $G$  if  $V(H) \subset V(G)$  and  $E(H) = E(G) \cap \binom{V(H)}{2}$

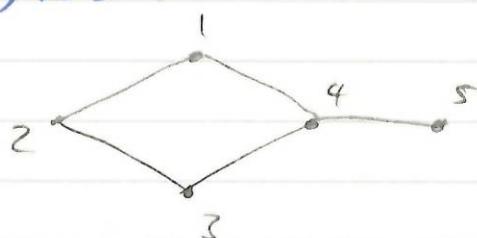
Def : If  $G = (V, E)$  is a graph and  $A \subset V$  then  $G[A]$  is the subgraph induced by  $A$ : its vertex set is  $V(G[A]) = A$  and the edge set is  $E(G[A]) = \binom{A}{2} \cap E(G)$ .

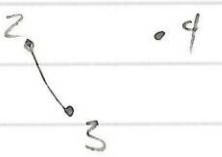
Def : Graphs  $G$  and  $H$  are isomorphic iff there is a bijection  $f : V(G) \rightarrow V(H)$  such that  $v w \in E(G) \iff f(v)f(w) \in E(H)$ .

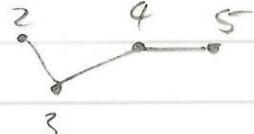
Def : We say that  $G$  contains a copy of  $H$  if  $G$  has a subgraph isomorphic to  $H$ .

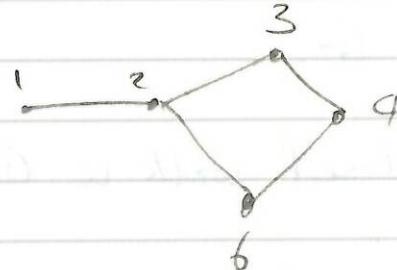
Eg :  $G = (V, E)$ .

$G :$

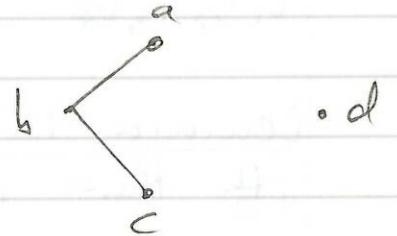


$H_1$ :  is a subgraph of  $G$ , not induced.

$H_2$ :  is an induced subgraph.

$H_3$ :   $H_3$  and  $G$  are isomorphic.

$G$  contains a copy of  $H$  =



## 1.6: Components + connectedness.

Def: A path in a graph  $G$  is a subgraph isomorphic to  $P_t$  for some  $t \geq 0$ .

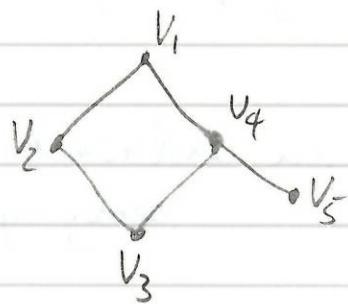
Def: An  $x-y$  path in  $G$  is simply a path that starts at  $x$  and ends at  $y$ .

Def: A walk in  $G$  is a sequence of vertices (not necessarily distinct)  $v_0, v_1, \dots, v_t$  such that  $v_{i-1}v_i \in E$  for all  $i \in \{1, \dots, t\}$ . The walk is closed if  $v_0 = v_t$ .

Def: A walk in which every edge is used more than once (but vertices may be revisited) is called a tour.

Eg :

$$G =$$



$v_1, v_4, v_5$  is a path in  $G$ .

$v_1, v_4, v_5, v_4, v_3, v_2, v_1$  is a closed walk in  $G$ .

$v_1, v_2, v_3, v_4$  is a tour in  $G$ .

Lemma 1.11 : There is an  $x-y$  path in  $G$  iff there is an  $x-y$  walk in  $G$

Proof : ( $\Rightarrow$ ) A path is a walk.

( $\Leftarrow$ ) Take a shortest walk from  $x$  to  $y$ . If any vertex is revisited we could shorten this walk. Hence it is a path.  $\square$

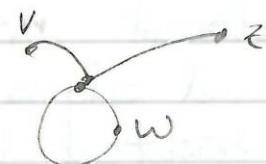
Lemma 1.12 : Define a relation  $\sim$  on  $V(G)$  by  $v \sim w$  iff there is a walk from  $v$  to  $w$  in  $G$ . This is an equivalence relation.

Proof : Reflexive  $v \sim v$  take walk  $v$ .

Symmetric  $v \sim w \Rightarrow \exists$  walk  $v$  to  $w$ , reverse it.

Transitivity  $v \sim w$  and  $w \sim z$  then concatenate the  $v \sim w$  and  $w \sim z$  walks to give a  $v \sim z$  walk.  $\square$

Note; this lemma does not work for a path, take:

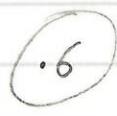
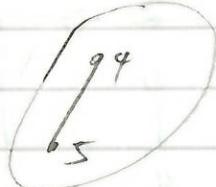
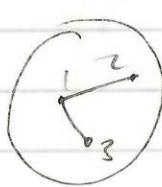


→ →

Def:  $\pi$  induces a partition of  $V(G) = V_1 \cup V_2 \cup \dots \cup V_k$  each  $V_i$  is a component

Eg:

$G:$

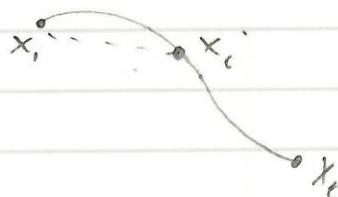


Def:  $G$  is connected iff there is a single component.

Lemma 1.13:  $P = x_1, x_2, \dots, x_e$  is a path in  $G$ . If  $P$  is the shortest  $x_1 - x_e$  path in  $G$  then  $x_1 - x_i$  and  $x_i - x_e$  are shortest  $x_1 - x_i$  and  $x_i - x_e$  paths in  $G$  for each  $1 < i < e$ .

Proof: If not; could shorten  $P$

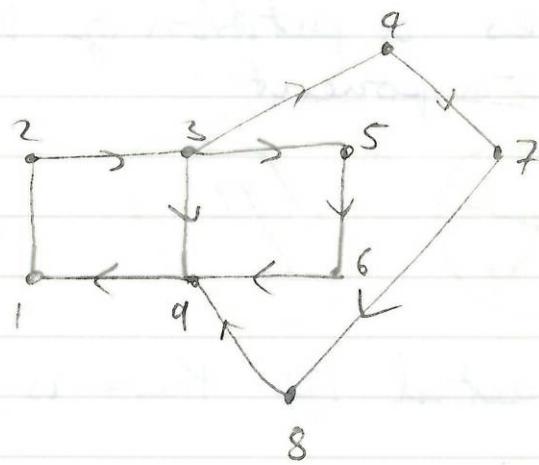
□



## L7 Enter circuits

Def: An Euler circuit in a graph  $G$  is a closed  $v_0, v_1, \dots, v_t, v_0$  containing all vertices and edges of  $G$ , the vertices may be repeated but each edge is used exactly once.

Eg:



16/1/13

### 1.7. Euler Circuits

start = end

no repeated edges

An Euler Circuit in a graph is a closed tour containing all vertices and edges of  $G$ .

Thm 1.14. A graph  $G$  has Euler circuit iff it is connected and all vertices have even degree.

Proof ( $\Rightarrow$ ) Assume  $G$  has an Euler circuit  $T = V_0 V_1 \dots V_k$ .  $V_0 = V_k$  So  $G$  is certainly connected. Follow  $T$  counting the contribution to the degree of each vertex we visit. Add 2 each time (except at start + end). Hence all degrees are even.

( $\Leftarrow$ ) So suppose  $G$  is connected and all vertices have even degree. Take a longest tour  $T = V_0 V_1 \dots V_k$  in  $G$ .

Claim:  $V_0 = V_k$ , if not let  $j = \#\{i : V_i = V_k\}$   
then if  $V_0 \neq V_k$  then we have used  $2j - 2 + 1 = 2j - 1$  edges incident to  $V_k$ .

$\therefore$  An unused edge  $V_k V^*$   $\Rightarrow T' = V_0 \dots V_k V^*$  is a longer tour  $\nexists$  Hence  $V_0 = V_k$

If there is an unused edge say  $e = uv$ , there two cases to consider.

Case ①  $u$  or  $v$  is in  $T$ , say  $u = V_i \therefore T' = u V_i \dots V_k \dots$   
 $\therefore V_0 V_1 \dots V_{i-1}$  is a longer tour  $\nexists$

Case ②  $u, v \notin T$ .  $G$  is connected so  $\exists$  a  $V_0 - u$ -path in  $G$ . Consider the first edge in this path that leaves  $T$  but this gives us case ① again  $\times$

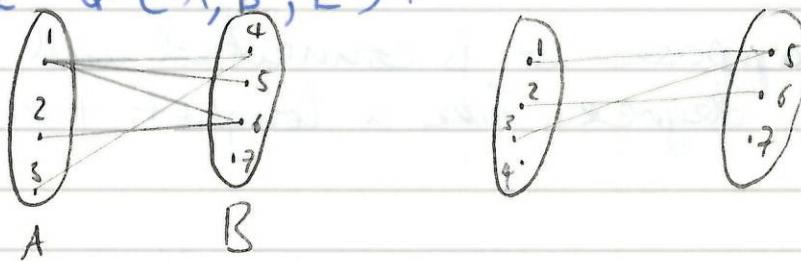
All vertices have degree  $\geq 2$  so they are visited by  $T$ .

□

## 1.8 Bipartite Graphs

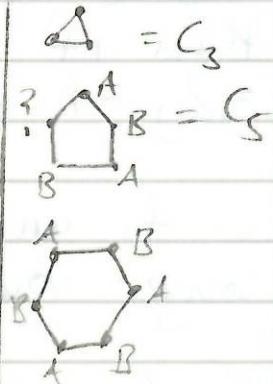
Def: A graph  $G$  is bipartite if  $V(G) = A \cup B$  and  $E(G) \subseteq \{ab : a \in A, b \in B\}$ . We say that  $A, B$  is a bipartition and sometimes write  $G = (A, B; E)$  to emphasise this.

Eg:  $G = (V, E), V(G) = A \cup B, E(G) \subseteq \{ab : a \in A, b \in B\}$   
i.e.  $G = (A, B; E)$ .



Th<sup>m</sup> 1.15: A graph is bipartite iff it contains no odd cycles

Proof: ( $\Rightarrow$ ) Suppose  $G$  is bipartite with bipartition  $V = A \cup B$ . If  $C = v_1 \dots v_\ell$  is a cycle in  $G$  and w.l.o.g.  $v_1 \in A$  then  $v_3, v_5, \dots \in A$ ,  $v_2, v_4, v_6, \dots \in B$ . Hence we must  $\ell$  is even.



( $\Leftarrow$ ). Suppose  $G = (V, E)$  is connected (otherwise repeat this argument for each connected component)

For  $x, y \in V$ , let  $d(x, y)$  = length of a shortest  $x - y$  path.

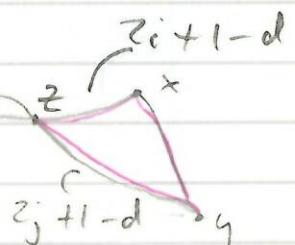
Fix a vertex  $w \in V$

Define  $A = \{v : d(v, w) \text{ is odd}\}$

$B = \{v : d(v, w) \text{ is even}\}$

Note  $V(G) = A \cup B$ . Need to check  $A$  and  $B$  do not contain any edges. Suppose there is an edge  $xy$  inside  $A$  (i.e.  $x, y \in A$ ).

Let  $P_{wx}$  be a shortest  $w - x$ -path  
 "  $P_{wy}$  " " "  $w - y$ -path



Let  $z$  be the last common vertex of  $P_{wx}$  and  $P_{wy}$ .

Then the part of  $P_{wx}$  from  $w$  to  $z$  is a shortest  $w - z$  path  
 " " " "  $P_{wz}$  " " " " " "  $w - z$  path

Now suppose  $d(w, x) = 2i+1$ ,  $d(w, y) = 2j+1$ ,  
 i, j integers. Then the cycle that follows  $P_{wx}$  from  $z$  to  $x$ , then  $xy$ , then  $P_{wy}$  from  $y$  to  $z$  has  
 length  $= 2i+1-d+1+2j+1-d$   
 $= 2(i+j+1-d)+1$  is odd  $\times$ .

Hence  $G$  is bipartite



## 1.9 Graph colouring

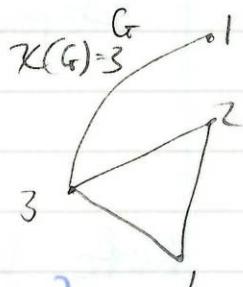
A set  $A \subseteq V$  is independent iff it contains

$$c: A \rightarrow [k] \quad \forall v, w \in A \Rightarrow c(v) \neq c(w)$$

$k$ -colourable  $\equiv$   $k$ -partite

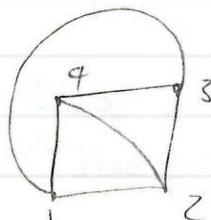
$2$ -colourable  $\equiv$  bipartite

Chromatic number of  $G$



$$\chi(G) = \min \{k : \exists k\text{-colouring of } G\}$$

Eg :



$$\begin{aligned}\chi(K_4) &= 4 \\ \chi(K_{2e}) &= 2 \\ \chi(K_{2e+1}) &= 3\end{aligned}$$

Def: If  $G$  is a graph then  $A \subseteq V(G)$  is an independent set.

iff there are no edges with both endpoints in  $A$

Def: For  $k \in \mathbb{N}$  a  $k$ -colouring of graph  $G$  is  $c: V(G) \rightarrow [k]$  such that if  $v, w \in V$  then  $c(v) \neq c(w)$

Def: A graph  $G$  is said to be  $k$ -colourable iff it has a  $k$ -colouring. Note that a graph is bipartite iff it is  $2$ -colourable.

Def: A graph  $G$  is said to be  $k$ -partite iff there is a partition  $V(G) = V_1 \cup V_2 \cup \dots \cup V_k$ , of  $V(G)$  into independent sets. Note that a graph is  $k$ -partite iff it is  $k$ -colourable.

Def: We define the chromatic number of  $G$  to be

$$\chi(G) = \min \{k \geq 1 : G \text{ is } k\text{-colourable}\}.$$

If  $H$  is a subgraph of  $G$  then  $\chi(H) \leq \chi(G)$ .

Def: We define the maximum degree of  $G$  to be

$$\Delta(G) = \max \{\deg(v) : v \in V(G)\}.$$

Th<sup>m</sup> 1.6 : If  $G$  is a graph then  $\chi(G) \leq \Delta(G) + 1$   
 $(\Delta(G) = \max \{d(v) : v \in V(G)\})$

Proof : Let  $V = \{v_1, \dots, v_n\}$ . Let  $k = \Delta(G) + 1$   
Define a  $k$ -colouring  $c: V(G) \rightarrow [k]$  as follows  
 $c(v_i) = 1$ . If  $v_1, \dots, v_{i-1}$  have been coloured.

Let  $C = \{c \in [k] : \exists j \in [i-1] \text{ st } v_j \in \Gamma(v_i) \text{ and } c(v_j) = c\}$

Define  $c(v_i) = \min [k] \setminus C$ . This is well-defined  
since  $|C| \leq d(v_i) \leq \Delta(G) = k-1$ .  
So  $[k] \setminus C = \emptyset$   $\square$

"Greedy Algorithm"

## 1.10 Large girth + Chromatic number.

Def: If  $G$  is a graph containing cycles then girth of  $G$   
is the length of the shortest cycle. We denote this  
by  $g(G)$ . If  $G$  contains no cycles then we denote  
 $g(G) = \infty$ .

Thm 1.7 (Erdős's) For  $k, c \geq 3$   $\exists G$  a graph  
with  $\chi(G) \geq k$ ,  $g(G) \geq c$ .

Def: For a graph  $G$  we define the independence  
number of  $G$  to be :

$$\alpha(G) = \max \{|A| : A \subset V(G) \text{ is independent}\}$$

Lemma 1.18 For any graph  $G$ ,  $K(G) \geq n/\alpha(G)$ .

Proof: If  $c: V(G) \rightarrow [k]$  is a  $k$ -colouring of  $G$ , then each colour class  $c^{-1}(i) = \{v \in V(G) : c(v)=i\}$  is an independent set, so  $|c^{-1}(i)| \leq \alpha(G)$ .  $(*)$

But  $V(G) = c^{-1}(1) \cup c^{-1}(2) \cup \dots \cup c^{-1}(k)$   
 $\text{so } \sum_{i=1}^k |c^{-1}(i)| = n.$

Hence  $(*) \Rightarrow k\alpha(G) \geq n \Rightarrow k \geq n/\alpha(G)$

Thus  $K(G) \geq n/\alpha(G)$  □

We will give a probabilistic of Theorem 1.7, we will only be interested in the simplified type of probability space: finite (and discrete).

A probability space is a pair  $(\mathcal{S}, P)$  where  $\mathcal{S}$  is a finite set of outcomes (e.g.  $\{H, T\}$  or  $\{1, 2, \dots, 6\}$ ) and  $P: \mathcal{S} \rightarrow [0, 1]$  st  $\sum_{y \in \mathcal{S}} P[y] = 1$ . For  $A \subset \mathcal{S}$  we define  $P[A] = \sum_{y \in A} P[y]$ .

A random variable is a function  $X: \mathcal{S} \rightarrow \mathbb{R}$ . For example, if our probability space is  $(\{1, 2, \dots, 6\}, P_0)$ , where  $P_0(y) = 1/6$  for all  $y \in \{6\}$  then we could have

$$X_1(y) = \begin{cases} 1, & y = 1, 3, 5 \\ 0, & \text{otherwise} \end{cases}$$

$$X_2(y) = \begin{cases} 1, & y \geq 4 \\ 0, & \text{otherwise} \end{cases}$$

Def: The expectation of a random variable is simply its average value.  
 If  $O_x = \{X(y) | y \in \mathbb{R}\}$  is the set of values taken by  $X$  then

$$E[X] = \sum_{z \in O_x} z P(X=z)$$

Eg: A die ( $\Omega, P_a$ ),  $\Omega = \{1, 2, 3, 4, 5, 6\}$ .  
 $P_a(i) = \frac{1}{6} \quad 1 \leq i \leq 6$ .

$$X_1(y) = \begin{cases} 1, & y \in \{1, 3, 5\} \\ 0, & \text{o/w} \end{cases}$$

$$X_2(y) = \begin{cases} 1, & y \geq 4 \\ 0, & \text{o/w} \end{cases}$$

$$E[X] = \sum_{z \in O_x} z P(X=z), \quad O_x = \{X(y) | y \in \mathbb{R}\}$$

Lemma 1.19 (Linearity of Expectation). If  $X_1, \dots, X_n$  are random variables then:

$$E\left[\sum_{i=1}^n X_i\right] = \sum_{i=1}^n E[X_i]$$

Proof: Follows from def" of expectation.

Thm 1.20. If  $G$  has edges then  $G$  contains a bipartite subgraph with at least  $\lceil e/2 \rceil$  edges

Proof: Consider a random bipartition of  $V = A \cup B$ . For each vertex  $v \in V$  flip an independent fair coin, if Heads then put  $v$  in  $A$ , if Tails then put  $v$  in  $B$ .

For an edge  $uv \in E$  let  $X_{uv} = \begin{cases} 1, & uv \text{ goes from } A \text{ to } B \\ 0, & \text{o/w.} \end{cases}$

Let  $X = \sum_{uv \in E(G)} X_{uv}$ , then  $E[X] = \sum_{uv \in E(G)} E[X_{uv}]$

$$= \sum_{uv \in E(G)} P(uv \text{ goes from } A \text{ to } B)$$

$$P(uv \text{ goes from } A \text{ to } B) = \frac{1}{2}.$$

$$\text{Hence } E[X] = \sum_{uv \in E(G)} \frac{1}{2} = \frac{e}{2}.$$

Thus  $\exists$  a bipartition  $V = A \cup B$  with at least  $e/2$  edges between  $A$  and  $B$ . Hence (since the number of edges is an integer) at least  $\lceil e/2 \rceil$  edges between  $A$  and  $B$ .  $\square$

18/1/13

Theorem 1.17: For all  $k, \ell \geq 3$   $\exists$  graph  $G$  with  $\chi(G) \geq k$  and  $g(G) \geq \ell$ .

For a graph  $G$  we define the independence number of  $G$  to be  $\alpha(G) = \max\{|A| : A \subseteq V(G)$  is an independent set}

Lemma 1.18 :  $\chi(G) \geq \frac{|V(G)|}{\alpha(G)}$

$G(n, p)$  = random graphs on  $[n]$  (Erdős - Rényi)

$V(G) = [n]$ , for each  $ij$  ( $1 \leq i < j \leq n$ ) flip an independent coin with prob(Heads) =  $p$ . Insert the edge  $ij$  in  $E(G)$  iff the coin is Heads.

$$n=4 \quad H = \begin{array}{c} 1 \\ | \\ 2 \end{array} \quad \begin{array}{c} 3 \\ | \\ 4 \end{array}$$

$$G \in \mathcal{G}(4, p) \quad P(G = H) = p^2(1-p)^4$$

Lemma 1.21 : (Markov) : If  $X$  is non-negative,  $\lambda > 0$

$$P[X \geq \lambda] \leq \frac{E[X]}{\lambda}$$

Proof: Let  $X$  take values of  $O_x$

$$E[X] = \sum_{y \in O_x} y P[\bar{X}=y] \geq \sum_{y \geq \lambda} \lambda P[\bar{X}=y]$$

$$= \lambda \sum_{y \geq x} P[X=y]$$

$$= \lambda P[X \geq y].$$

□

The probability space we will consider is called  $G(n, p)$ : the space of Erdős - Renyi random graphs. The underlying set of outcomes is:

$$\Omega = \{G \mid V(G) = [n], E(G) = \binom{[n]}{2}\}$$

For a graph  $H \in \Omega$  the probability,  $P[H]$ , is simply the probability that the following random process produces the graph  $H$ .

Generating a random in  $G(n, p)$ :

Start with the empty graph  $E_n$ . For each pair of vertices  $i, j \in \binom{[n]}{2}$  toss a coin  $C_{ij}$  that has probability  $p$  of being Heads. If the coin Heads then insert the edge  $ij$  otherwise do not insert the edge  $ij$ . Repeat with independent coins for each pair of vertices.

Lemma 1.22 Let  $G \in G(n, p)$  and  $X_t = \# t\text{-cycles in } G$ . Then

$$E[\bar{X}_t] = \left( \frac{n(n-1) \dots (n-t+1)}{t} \right) p^t.$$

Proof: Fix  $t$ -cycle  $C$ , let  $Y_C = \begin{cases} 1, & C \text{ is in } G \\ 0, & \text{o/w.} \end{cases}$

$$\bar{X}_t = \sum_{\text{a cycle}} Y_C \Rightarrow E[\bar{X}_t] = \sum_{C \text{ a } t\text{-cycle}} E[Y_C]$$

$$= \sum_{C \text{ a } t\text{-cycle}} P[C \text{ is in } G]$$

But  $P[C \text{ is in } G] = p^t$  for any  $t$ -cycle  $C$ .

$$E[\bar{X}_t] = p^t \times \# \text{ possible } t\text{-cycle in } G.$$

Any  $t$ -tuple of distinct vertices  $v_1, \dots, v_t$  gives rise to  $t$ -cycle.

$$\# \text{ such } t\text{-tuples} = n(n-1)(n-t+1).$$

$$v_1 \dots v_t \quad v_t v_{t-1} \dots v_1$$

$$v_2 \dots v_t v_1 \quad v_{t-1} v_{t-2} \dots v_1$$

⋮

$$v_t v_1 \dots v_{t-1} \quad v_1 v_t v_{t-1} \dots v_1$$

$2t$  different  $t$ -tuples gives the same  $t$ -cycle.

$$\begin{matrix} v_t & v_1 \\ v_2 & \\ \vdots & \end{matrix}$$

Hence # possible  $\ell$ -cycle =  $\frac{n(n-1)\dots(n-\ell+1)}{2\ell}$ .

①  $\Rightarrow$  result.  $\square$ .

Proof of Theorem 1.17 Let  $k, \ell$  be given. Call a cycle short if it has length  $\leq \ell$ .

Claim: If  $\exists$  a graph  $G$  with  $n$  vertices and at most  $n/2$  short cycles with  $\alpha(G) < n/2k$  then  $\exists G'$  with  $\chi(G') > k$  and  $g(G') > \ell$ .

Proof: Remove a vertex from each short cycle to give  $G'$ .

$$|V(G')| \geq n/2, g(G') > \ell, \alpha(G') \leq \alpha(G) < n/2k$$

$$\text{Thus } \chi(G') \geq \frac{|V(G')|}{\alpha(G')}$$

lemma 1.8.

$$\text{So } \chi(G') > \frac{n/2}{2 \cdot n} = k \quad \square$$

Now need to find  $\exists G$  with  $|V(G)| = n$ , at most  $n/2$  short cycles and  $\alpha(G) < n/2k$ .

Let  $n \geq 36\ell^2$ ,  $\frac{n^{1/2}}{8\log n} \geq 2k$ .

$$\textcircled{1}'$$

$$\text{Let } p = \frac{1}{n^{1-\frac{1}{2}c}}$$

Let  $G \in \mathcal{G}(n, p)$ . Let  $X_t$  be the number of  $t$ -cycles in  $G$ .

$$\text{Lemma 1.22} \Rightarrow E[X_t] = \frac{n(n-1)\dots(n-t+1)}{2^t} p^t$$

$\bar{X} = \sum_{t=3}^{\ell} X_t$  be the number of short cycles in  $G$ .

$$E[\bar{X}] \stackrel{L\&E}{=} \sum_{t=3}^{\ell} \frac{n(n-1)\dots(n-t+1)}{2^t} p^t$$

$$\leq \sum_{t=3}^{\ell} \frac{n^t}{2^t n^{t(1-\frac{1}{2}c)}} \leq c_n^k \leq \frac{n}{6} \text{ by ①}$$

$$P(\bar{X} \geq n/2) \stackrel{\text{Markov}}{\leq} \frac{E[\bar{X}]}{(n/2)} \leq \frac{1}{3}.$$

So we have  $P(G \text{ has } \leq n/2 \text{ short cycles}) \geq 2/3$ .

Next: need to show  $P(\alpha(G) \geq n/2k) \leq 1/3$ .

Because then  $P(\alpha(G) < n/2k) \geq 2/3$

And then  $P(G \text{ satisfies conditions of the claim}) \geq 1/3$

$\therefore \exists$  a graph  $G$  with those properties. □

23/1/13

Proof of Thm 1.17:

Last time: Need to show  $\exists G$  with  $\leq n/2$  short cycles and  $\alpha(G) \geq n/2k$ .

Let  $G \in \mathcal{L}_r(n, p)$ .  $n \geq 36c^2$ ,  $\frac{n^{1/2}}{8\log n} \geq 2k$ .

$$p = \frac{1}{n^{1/2}}$$

A = "G has  $\geq n/2$  short cycles"

B = " $\alpha(G) \geq n/2k$ "

$P(A) \leq \frac{1}{3}$ . If we show  $P(B) \leq \frac{1}{3}$  then  $P(\text{not } A \text{ and not } B) \geq \frac{1}{3} > 0$ .

Let  $s = (\frac{4}{p})\log n + 1$

$$\frac{n/2k}{n^{1/2}} \geq \frac{8n \log n}{n^{1/2}} = \frac{8}{p} \log n \geq s$$

$P(B) \leq P(\alpha(G) \geq s) = P(\exists \text{ an ind. set of size } s)$

For a set  $T \subset V(G)$ ,  $|T| = s$  let  
 $E_T = "T \text{ is an ind. set}"$

If  $E_1, \dots, E_t$  are events

$$P\left(\bigcup_{i=1}^t E_i\right) \leq \sum_{i=1}^t P(E_i)$$

$$P(B) = P\left(\bigcup_{T \in \binom{V}{s}} E_T\right) \leq \sum_{T \in \binom{V}{s}} P(E_T) = \binom{n}{s} (1-p)^{\binom{s}{2}}$$

(s)

$$\leq n^s e^{-p\binom{s}{2}}$$

$$P(B) \leq n^s e^{-p\binom{s}{2}} = \left(ne^{-p\frac{s-1}{2}}\right)^s$$

$$= \left(ne^{-2\log n}\right)^s = \frac{1}{n^s} \leq \frac{1}{3} \quad \text{for } n \text{ large}$$

□ ○

-/-

$\alpha(G) \geq s \iff$  the max size of an ind set  
in  $G$  is  $\geq s$ .

-/-

## 2. Extremal Graph Theory

2.1 Hamilton Cycles : A Ham cycle in a graph is a cycle containing all vertices of  $G$  (exactly once)

$$\delta(G) = \min \{d(v) : v \in V(G)\}$$

↗ minimum degree of  $G$

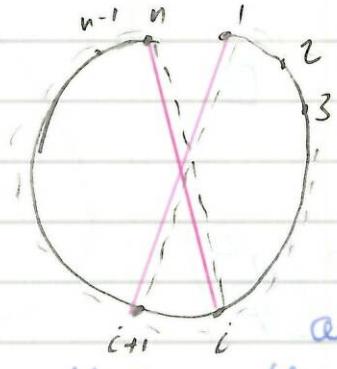
$u, v$  adjacent iff  $uv \in E(G)$  otherwise they are non-adjacent.

Thm 2.1 (Dirac 1952) : If  $G$  has  $n \geq 3$  vertices and  $\delta(G) \geq n/2$  then  $G$  contains a Ham. cycle.

Thm 2.2. (Ore 1960) If  $G$  has  $n \geq 3$  vertices and every pair of non-adj. vertices  $u, v$  satisfy  $d(u) + d(v) \geq n$  then  $G$  has a Ham. cycle.

Proof: (By contradiction) Assume  $G$  satisfies the conditions of Thm 2.2 but does not contain a Ham. cycle. If there is an edge that can be added to  $G$  without creating a Ham. cycle then do so, repeat until can't add any more edges.

Now know that  $G$  contains a Ham cycle with one edge removed.



So wlog let  $V(G) = [n]$  and  $12, 23, 34, \dots, n-1n \in E(G)$ ,  $1i \notin E(G)$ . Note from any  $i=3, \dots, n-1$  we cannot have both  $1(i+1) \in E(G)$  and  $i \in E(G)$ , because otherwise we would have Ham. cycle:  $1(i+1)(i+2)\dots ni(i+1)(i+2)\dots 2$ . Consider  $d(1) + d(n)$  since we have at most one edge from each pair.

$$\left. \begin{array}{l} 13, 2n \\ 14, 3n \\ \vdots \\ 1n-1, n-2n \end{array} \right\} \text{gives } \leq n-3 \text{ edges.}$$

Hence (since  $12 \in E(G)$  and  $n-1n \in E(G)$ ) we have  $1n \notin E(G)$ .

$$d(1) + d(n) \leq n-3 + 2 = n+1$$



## 2.2. Forbidden subgraphs.

Given  $G$  and  $H$ , we say  $G$  is  $H$ -free if  $G$  has no subgraph isomorphic to  $H$ .

$$ex(n, H) = \max \left\{ |E(G)| : G(V, E), |V|=n, \begin{matrix} G \text{ is } H\text{-free} \end{matrix} \right\}.$$

Lemma 2.3 If  $G$  and  $H$  are graphs  $\chi(H) > \chi(G)$  then  $G$  is  $H$ -free.

Proof: If  $G$  contains  $H$  then any colouring of  $G$  gives a colouring of  $H$  hence  $\chi(H) \leq \chi(G)$ .

Theorem 2.4 (Mantel 1907)

$$\text{If } n \geq 1 \text{ then } ex(n, K_3) = \left\lfloor \frac{n^2}{4} \right\rfloor$$

Floor      Ceiling

Proof: Take  $K_{\left\lfloor \frac{n}{2} \right\rfloor, \lceil \frac{n}{2} \rceil}$  the complete bipartite graph with vertex classes of size  $\left\lfloor \frac{n}{2} \right\rfloor$  and  $\lceil \frac{n}{2} \rceil$

This is  $K_3$ -free (by Lemma 2.3) and has  $\left\lfloor \frac{n^2}{4} \right\rfloor$  edges hence  $ex(n, K_3) \geq \left\lfloor \frac{n^2}{4} \right\rfloor$

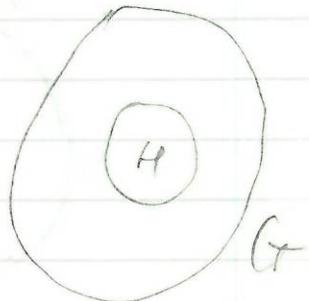
Now let  $G$  be  $K_3$ -free of order  $n$ .

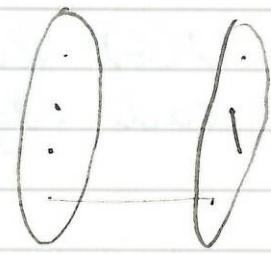
Need to show that  $|E(G)| \leq \left\lfloor \frac{n^2}{4} \right\rfloor$

Let  $A \subseteq V(G)$  be a largest independent set in  $G$ .  $|A| = a$ .

— — — — —

□

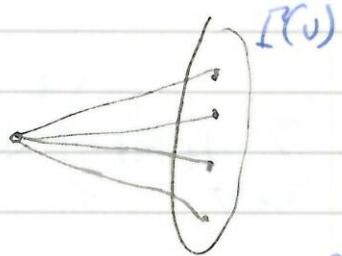




$$A \quad V-A$$

Consider  $\sum_{v \in V-A} d(v) \geq |E(G)|$

Since we count every at least once (in fact we count those in  $V-A$  twice).



Since  $G$  is  $K_3$ -free,  $\Gamma(v)$  is an independent set for each  $v \in V$ . Hence  $d(v) = |\Gamma(v)| \leq a$ . Since no independent set is larger than  $a$ .

$$|E(G)| \leq \sum_{v \in V-A} d(v) \leq (n-a)a \leq \frac{n^2}{4}$$

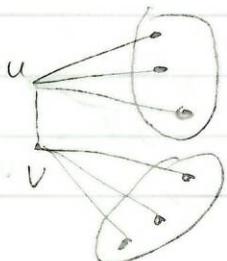
(by basic calculus)  
 $|V-A|=n-a$ .

So  $|E(G)| \leq \frac{n^2}{4}$ . Since  $|E(G)|$  is an integer we have  $|E(G)| \leq \lfloor \frac{n^2}{4} \rfloor$ . Thus  $\text{ex}(n, K_3) \leq \lfloor \frac{n^2}{4} \rfloor$

Proof [2nd] :  $K_{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil}$  is  $K_3$ -free  $\Rightarrow \text{ex}(n, K_3) \geq \lfloor \frac{n^2}{4} \rfloor$ .

Let  $G$  have order  $n$ , be  $K_3$ -free -

Let  $|E(G)| = e$ . If  $uv \in E(G)$  then  $\Gamma(u) \cap \Gamma(v) = \emptyset$ . Since  $G$  is  $K_3$ -free. So  $d(u) + d(v) \leq n-2+2=n$ .



$$\text{So } \sum_{uv \in E(G)} (d(u) + d(v)) \leq en.$$

Note, if we fix a vertex  $x \in V(G)$  then " $d(x)$ " occurs once in this sum for each edge containing  $x$ , i.e. it occurs  $d(x)$  times.

$$\text{So } \sum_{x \in V(G)} (d(x))^2 = \sum_{uv \in E(G)} d(u) + d(v) \leq en.$$

Know  $\sum_{x \in V} d(x) = 2e$ .

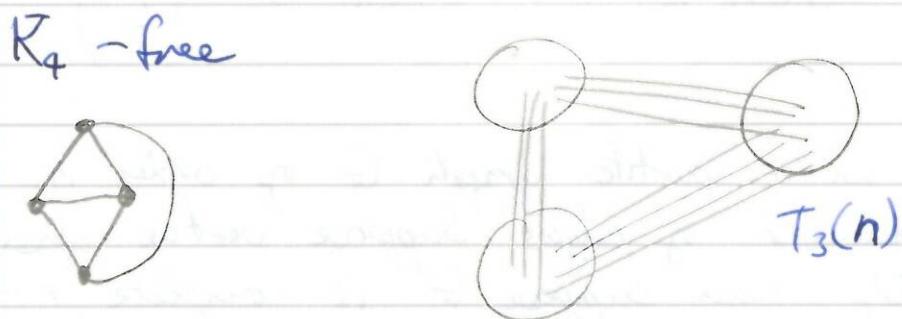
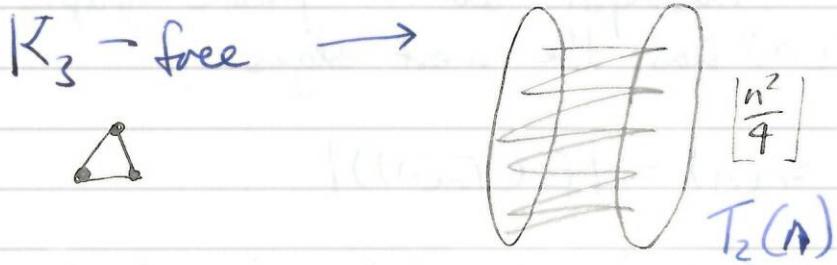
$$\text{Cauchy-Schwarz: } \frac{1}{n} \left( \sum_{x \in V} d(x) \right)^2 \leq \sum_{x \in V} (d(x))^2$$

$$\text{So } \frac{4e^2}{n} \leq en.$$

$$\Rightarrow e \leq n^2/4$$

□

25/11/13

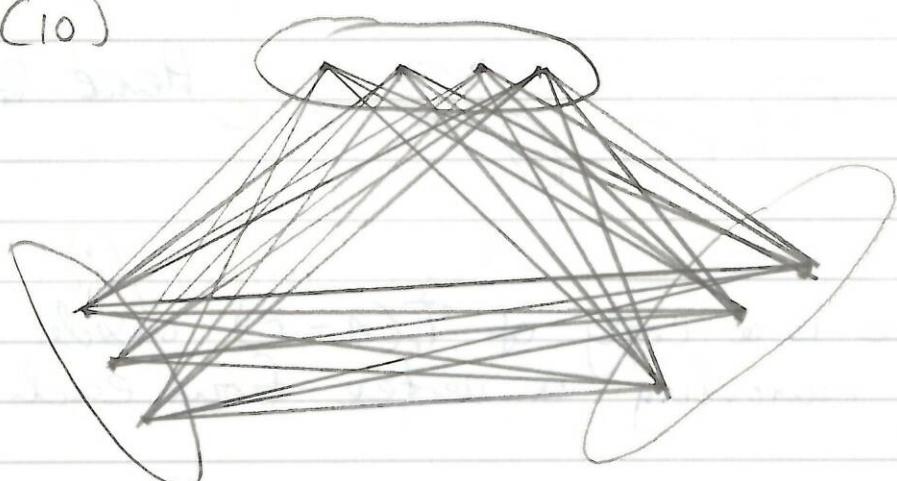


$G$  is  $r$ -partite :  $V(G) = V_1 \cup V_2 \cup \dots \cup V_r$

$$E(G) = \{vw : v \in V_i, w \in V_j \text{ if } i \neq j\}$$

Turán graph :  $T_r(n)$  is the complete  $r$ -partite graph, of order  $n$  with vertex classes as equal as possible.  $\equiv$  all vertex class sizes differ by at most one.

Eg  $T_3(10)$



Lemma 2.5: Amongst all  $r$ -partite graphs with  $n$  vertices  $T_r(n)$  has the most edges.

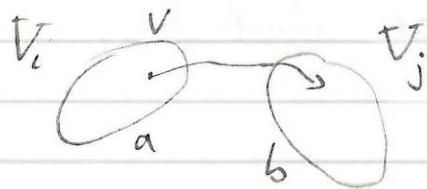
Moreover if  $e_r(n) = |E(T_r(n))|$

$$\text{then } e_r(n) = e_r(n-r) + (r-1)(n-r) + \binom{r}{2}$$

Proof: Take an  $r$ -partite graph  $G$  of order  $n$ , with maximum number of edges. Suppose vertex classes are  $V_1, \dots, V_r$ . Can suppose  $G$  is complete  $r$ -partite.

If  $G \neq T_r(n)$  then  $\exists V_i, V_j$  vertex classes with  $|V_i|=a, |V_j|=b$  and  $a \geq b+2$ .

Remove a vertex  $v$  from  $V_i$  and add a vertex to  $V_j$ , and take the complete  $r$ -partite graph on these new vertex classes.



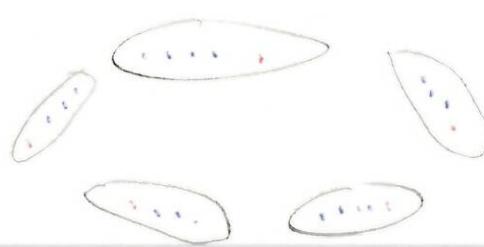
$$\begin{aligned} \text{lose : } & n-a \\ \text{Gain : } & n-(b+1) \\ \text{Change : } & (n-(b+1)) - (n-a) \\ & = a - b - 1 \geq 1 \end{aligned}$$



Hence  $G = T_r(n)$



There is a copy of  $T_r(n-r)$  inside  $T_r(n)$  given by removing a vertex from each class.



Colour the  $r$  vertices in  $T_r(n) \setminus T_r(n-r)$  red.

Colour the rest blue.

Number of blue-blue edges =  $t_r(n-r)$

$$\text{“ “ red-red “ } = \binom{r}{2}$$

$$\text{“ “ blue-red “ } = (n-r)(r-1)$$

Since each blue vertex is joined to every red vertex except the one in "the vertex" class

D

Th 2.6. If  $2 \leq r \leq n$  and  $G$  is  $K_{r+1}$  free, of order  $n$  with  $\text{ex}(n, K_{r+1})$  edges then  $G$  is  $T_r(n)$

Proof: (Induction on  $n$ ). If  $n \leq r$  then  $\text{ex}(n, K_{r+1}) = \binom{n}{2}$  and  $T_r(n) = K_n$  so result holds. So suppose  $n \geq r+1$ .

Let  $G$  have  $n$  vertices and  $\text{ex}(n, K_{r+1})$  edges. Then by maximality of  $|E(G)|$  there is a copy  $K$  of  $K_r$   $V(K) = \{v_1, \dots, v_r\}$ . By our ind. hyp.  $G - K$  has  $t_r(n-r)$  edges and each  $v \in V(G - K)$  has at most  $r-1$  neighbours in  $V(K)$

$$\text{So } |E(G)| \leq \binom{r}{2} + t_r(n-r) + (n-r)(r-1)$$

$\uparrow$                        $\uparrow$                        $\uparrow$   
 \* edges in  $K$           # edges in  $G - K$           # edges from  $G - K$  to  $K$

$$\text{So } |E(G)| \leq t_r(n) \text{ (by Lemma 2.5)}$$

By maximality of  $|E(G)|$  must have equality above.

For equality to hold each  $v \in V(G - K)$  must have exactly  $r-1$  vertices neighbour in  $V(K)$ .

For  $1 \leq i \leq r$  let  $W_i = \{v \in V(G) : vv_i \notin E(G)\}$ .  
Note  $v_i \in W_i$  for each  $i$ , and  $v_i \notin W_j$  for  $i \neq j$ .  
If  $v \in V(G - K)$  then  $v$  has exactly  $r-1$  neighbours in  $V(K)$  and hence there is a unique  $1 \leq i \leq r$  such that  $vv_i \notin E(G)$ , hence  $v \in W_i$  for some unique  $i$ . Thus  $W_1 \cup W_2 \cup \dots \cup W_r$  is a partition of  $V(G)$ .

If  $u, v \in W_i$  and  $w \in E(G)$  then  $\overset{\text{omitted}}{u, v, v_1, v_2, \dots, \tilde{v}_i, v_{i+1}, \dots, v_r}$  from a  $K_{r+1}$  \*

Hence  $G$  is an  $r$ -partite graph with vertex classes  $W_1, \dots, W_r$ . Then by lemma 2.5 and maximality of  $|E(G)|$  we must have  $G = T_r(n)$

□

30/1/12

Homework due next wed 12pm.

Def: If  $G = (V, E)$  is a graph then the complement of  $G$ , is  $G^c = (V, \binom{V}{2} - E)$

Theorem 2.7 (Caro and Wei) If  $G$  is a graph of order  $n$  with vertex degree  $d_1, \dots, d_n$  then

$$\chi(G) \geq \sum_{i=1}^n \frac{1}{d_i + 1}$$

In particular if all vertices have degree  $d$  then

$$\chi(G) \geq \frac{n}{d+1}$$

$$a+b, \quad a, b \mapsto \frac{a+b}{2}, \frac{a+b}{2}$$

$$\frac{1}{a} + \frac{1}{b} \geq \frac{2}{a+b} + \frac{2}{a+b}$$

Proof:  $V(G) = [n]$  choose  $\pi \in S_n$  uniformly at random. Let  $A_i$  be the event " $\pi(i) < \pi(j)$  for every  $j \in \Gamma(i)$ ".

i.e  $A_i$  holds iff amongst  $\{i\} \cup \Gamma(i)$ ,  $i$  is "first" under the ordering given by  $\pi$ .

Let  $J = \{i \in V(G) : A_i \text{ holds}\}$ .

Suppose  $a, b \in U$  and  $ab \in E$  so  $a \in \Gamma(b)$  and  $b \in \Gamma(a)$ .

But  $A_a \Rightarrow \pi(a) < \pi(b)$

While  $A_b \Rightarrow \pi(b) < \pi(a)$   $\times$  Hence  $U$  is an independant set.

$P(A_i \text{ holds}) = P(\text{In a random ordering of } \{i\} \cup \Gamma(i) \text{ the elements } i \text{ is first})$

$= \frac{1}{d+1}$  (since each of the  $d+1$  elements is equally likely to be first).

Since  $U$  is an independant set

$$\mathbb{E} \alpha(G) \geq |U|$$

$$\text{so } \alpha(G) \geq |U| = \sum_{i=1}^n P(A_i \text{ holds})$$

$$= \sum_{i=1}^n \frac{1}{d+1} \quad \square$$

$$C_5 =$$



$$\text{ex}(n, C_5), \text{ex}(n, H)$$

-/-

Turán density of  $H$  is  $\pi(H) = \lim_{n \rightarrow \infty} \frac{ex(n, H)}{\binom{n}{2}}$

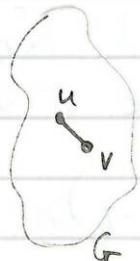
Lemma 2.8 : For any graph  $H$ ,  $\pi(H)$  is well-defined  
 Also  $\pi(K_{r+1}) = 1 - \frac{1}{r}$ ,  $r \geq 2$ .

Proof : N.T.S  $\left\{ \frac{ex(n, H)}{\binom{n}{2}} \right\}_{n=1}^{\infty}$  is monotonic decreasing  
 is bounded below by zero, it must converge.

Let  $G$  be  $H$ -free, order  $n$ , with  $ex(n, H)$  edges :

$$\sum_{v \in V(G)} |E(G-v)| \leq ex(n-1, H) \quad \begin{matrix} \text{since } G-v \text{ has order } n-1 \text{ and is} \\ \text{H-free.} \end{matrix}$$

$\approx (n-2)ex(n, H)$



$$2 \frac{ex(n, H)}{n(n-1)} \leq \frac{2ex(n-1, H)}{(n-2)(n-1)}$$

$$\text{i.e. } \frac{ex(n, H)}{\binom{n}{2}} \leq \frac{ex(n-1, H)}{\binom{n-1}{2}} \quad \square$$

Now show  $\pi(K_{r+1}) = 1 - \frac{1}{r}$  is true.

Turán Th  $\Rightarrow ex(n, K_{r+1}) = tr(n)$

$\uparrow$  # edges in a complete  $r$ -partite graph with vertex classes of size  $\lceil \frac{n}{r} \rceil$  or  $\lfloor \frac{n}{r} \rfloor$

$$\binom{r}{2} \left\lfloor \frac{n}{r} \right\rfloor^2 \leq tr(n) \leq \binom{r}{2} \left\lceil \frac{n}{r} \right\rceil^2$$

$$\frac{\binom{r}{2} \left(\frac{n-r}{r}\right)^2}{\binom{n}{2}} \leq \frac{tr(n)}{\binom{n}{2}} \leq \frac{\binom{r}{2} \left(\frac{n-r}{r}\right)^2}{\binom{n}{2}}$$

$$\left(\frac{r-1}{r}\right) \left(\frac{(n-r)^2}{n(n-1)}\right) \leq \frac{tr(n)}{\binom{n}{2}} \leq \left(\frac{r-1}{r}\right) \left(\frac{(n+r)^2}{n(n-1)}\right)$$

as  $n \rightarrow \infty$ , since  $r$  is fixed

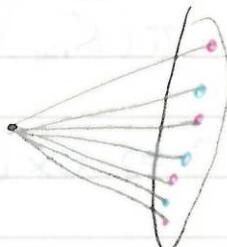
$$\text{Hence } \lim_{n \rightarrow \infty} \frac{tr(n)}{\binom{n}{2}} = \pi(K_{r+1}) = 1 - \frac{1}{r}. \quad \square$$

Thm 2.9: (Kővári - Sós - Turán 1954).  $K_{r,s}$  = complete bipartite graph with class size  $r$  and  $s$ .

$$ex(n, K_{r,s}) \leq \frac{1}{2} (r-1)^{\frac{1}{r}} n^{2-\frac{1}{r}} + \frac{1}{2} (s-1)n.$$

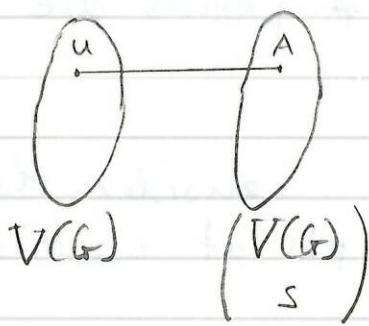
Proof: Let  $G$  be  $K_{r,s}$ -free, order  $n$  with  $e$  edges. If  $u \in V(G)$  and  $A = \{v_1, \dots, v_s\} \in \binom{V(G)}{s}$  then  $u$  covers  $A$  if  $uv_1, uv_2, \dots, uv_s \in E(G)$ . So  $u$  covers  $\binom{d(u)}{s}$ ,  $s$ -sets

How many different vertices can cover the same  $s$ -set  $A$ ?



Since  $G$  is  $K_{r,s}$ -free at most  $r-1$  vertices can cover the same  $s$ -set.

Form a bipartite graph  $H$ .



Edge from  $u \in V(G)$  to  $A \in \binom{V(G)}{s}$   
iff  $u$  covers  $A$ .

Now count the number of edges in  $H$ .

$$|E(H)| = \sum_{u \in V(G)} d_H(u) = \sum_{u \in V(G)} \binom{d_G(u)}{s} \quad |E(H)| = \sum_{A \in \binom{V(G)}{s}} d_H(A)$$

Thus  $\sum_{u \in V(G)} \binom{d(u)}{s} \leq (r-1) \binom{n}{s}$

$$\leq \sum_{A \in \binom{V(G)}{s}} (r-1)$$

$\sum_{u \in V(G)} d(u) = 2e$ . By convexity of binomial coefficients and Jensen's Inequality:

$$n \binom{2e/n}{s} \leq (r-1) \binom{n}{s}$$

Let  $\alpha > 0$  be defined by  $e = n^{2-\alpha}$

So  $n \binom{2n^{1-\alpha}}{s} \leq (r-1) \binom{n}{s}$

$$(2n^{1-\alpha} - s + 1)^s \leq (r-1) n^{s-1}$$

$$2n^{1-\alpha} - s + 1 \leq (r-1)^{1/s} n^{(1-\alpha)/s}$$

$$e = n^{2-\alpha} \leq \frac{1}{2} (r-1)^{1/s} n^{2-1/s} + \frac{(s-1)n}{2}$$

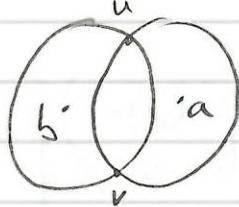
Use:

$$\frac{(a-b+1)^b}{b!} \leq \binom{a}{b} \leq \frac{a^b}{b!}$$

□

Corollary 2.10. (Erdős)  $X \subseteq \mathbb{R}^2$ ,  $|X|=n$ , then at most  $\frac{n^{3/2}}{\sqrt{2}} + \frac{n}{2}$  pairs of points are at unit distance.

Proof: Let  $X$  be as above. Consider the graph on  $X$  formed by pairs of pts at unit distance



Claim: this graph is  $K_{3,2}$  - free

Proof: Two unit circles meet at most twice. \*

So \* pairs of pts at unit distance

$$= |E(G)|$$

$$\leq \text{ex}(n, K_{3,2})$$

$$\leq \frac{\sqrt{2}}{2} n^{3/2} + \frac{n}{2} . \quad \square$$

Theorem 2.11 (Erdős - Stone) If  $\chi(H)=r$  then  $\pi(H)=1-\frac{1}{r-1}$  (e.g.  $\pi(K_5)=\frac{1}{2}$ ).

Proof: Let  $H$  be given.

Suppose  $\chi(H)=r \geq 2$ . So  $H$  is  $r$ -partite, so  $T_{r-1}(n)$  is  $H$ -free. So

$$ex(n, H) \geq |E(T_{r-1}(n))| = t_{r-1}(n).$$

$$\frac{ex(n, H)}{\binom{n}{2}} \geq \frac{t_{r-1}(n)}{\binom{n}{2}} \rightarrow 1 - \frac{1}{r-1}$$

$$\text{Hence } \pi(H) \geq 1 - \frac{1}{r-1}$$

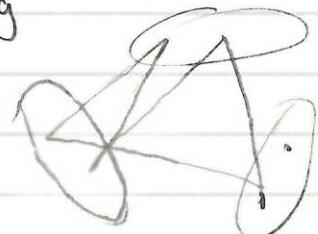
Let  $K_r(t)$  is the complete  $r$ -partite graph with  $t$  vertices in each class (it has  $rt$  vertices).

If  $t \geq |V(H)|$  then  $K_r(t)$  contains a copy of  $H$ .

$$\text{Hence } \pi(H) \leq \pi(K_r(t))$$

So sufficient to prove that  
 $\pi(K_r(t)) \leq 1 - \frac{1}{r-1}$

Eg



$C_5 \subset K_3(3)$



1/2/13.

Thm 2.11 (Erdős - Stone) If  $\chi(H) = r$  then  $\pi(H) = 1 - \frac{1}{r-1}$ .

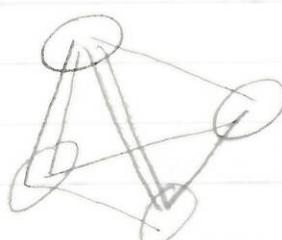
Lemma 2.12 : If  $0 < c$ ,  $\epsilon < 1$  and  $n > \frac{2}{\epsilon}(1 + \frac{1}{c})$ . If  $G$  is a graph with  $n$  vertices and at least  $(c + \epsilon)\binom{n}{2}$  edges then  $G$  contains a subgraph  $G'$  of order  $\epsilon^{\frac{1}{2}n}$  with  $\delta(G') \geq cn'$ .

Theorem 2.13 : Let  $r, t \geq 1$ ,  $0 < \epsilon < \frac{1}{r}$ . Then  $\exists n_0(r, t, \epsilon)$  st if  $G$  has  $n \geq n_0(r, t, \epsilon)$  vertex and  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$ , then  $G$  contains  $K_r(t)$ .

$$\text{density} = \frac{|E(G)|}{\binom{n}{2}}$$

Proof of Th<sup>m</sup> 2.11 : Know  $T_{r,t}(n)$  is  $H$ -free so  $\pi(H) \geq \pi(K_r) = 1 - \frac{1}{r-1}$ . Also if  $t \geq |V(H)|$  then  $H \subseteq K_r(t)$ . so  $\pi(H) \leq \pi(K_r(t))$

$\chi(H) = r \Rightarrow H =$   
Then  $K_r(t)$  contains  $H$



no edges inside  
classes

So need to show  $\pi(K_r(t)) \leq 1 - \frac{1}{r-1}$ . Suppose this fails to hold then  $\exists \epsilon > 0$  st  $\pi(K_r(t)) > 1 - \frac{1}{r-1} + 3\epsilon$

given by Th<sup>m</sup> 2.13

Let  $n \geq \frac{n_0(r, t, \epsilon)}{\epsilon^{1/2}}$  and let  $G$  be a free graph of order  $n$  and at least  $(1 - \frac{1}{r+1} + 2\epsilon) \binom{n}{2}$  edges.

By lemma 2.12 with  $c = 1 - \frac{1}{r+1} + \epsilon$ ,  $G$  contains a subgraph  $G'$  of order  $n' \geq \epsilon^{1/2}n \geq n_0(r, t, \epsilon)$  and  $S(G') \geq (1 - \frac{1}{r+1} + \epsilon)n'$  vertices. So Th<sup>m</sup> 2.13  $\Rightarrow K_r(t) \subset G'$ . Since  $G' \subseteq G$  is  $K_r(t)$ -free.  $\square$

Proof of lemma 2.12. We find  $G'$  as follows. Let  $G_n = G$ . If the  $S(G_n) \geq cn$  then let  $G' = G_n$ . Otherwise  $S(G_n) < cn$ , so remove a vertex of min. degree to give  $G_{n-1}$ . If  $S(G_{n-1}) \geq c(n-1)$  then  $G = G_{n-1}$  otherwise repeat. Construct a sequence  $G_n, G_{n-1}, \dots, G_s$ , where  $G_k$  has order  $k$  and  $G_{k-1}$  from  $G_k$  by deleting a vertex of min. degree. We claim this process terminates at some  $k \geq \epsilon^{1/2}n$ . Since otherwise if  $s = \lceil \epsilon^{1/2}n \rceil$  then:

$$\begin{aligned} |E(G_s)| &> |E(G)| - \sum_{k=s+1}^n c k \geq \\ &\geq (c+\epsilon) \binom{n}{2} - c \left( \binom{n+1}{2} - \binom{s+1}{2} \right) \\ &\geq \epsilon \binom{n}{2} - cn + c \binom{s+1}{2} \end{aligned}$$

$$\sum_{k=1}^n k = \binom{n+1}{2}$$

By our choice of  $s = \lceil \epsilon^{1/2}n \rceil$  and  $n$  satisfies:

$$n > \frac{2}{\epsilon} (1 + \frac{1}{c}) \Rightarrow \binom{s+1}{2} > \frac{s^2}{2} \geq \frac{\epsilon n^2}{2} > \left(1 + \frac{1}{c}\right)n = n + \frac{n}{c}$$

Hence  $|E(G_S)| > \varepsilon \binom{n}{2} + n$ .

$$\text{so } \varepsilon \binom{n}{2} + n \leq \binom{|S|}{2} \leq \frac{(\varepsilon^{\gamma_2} n + 1)(\varepsilon^{\gamma_2} n)}{2}$$

$$\text{so } \varepsilon n^2 - \varepsilon n + 2n \leq \varepsilon n^2 + \varepsilon^{\gamma_2} n. \\ 2 \leq \varepsilon^{\gamma_2} + \varepsilon < 2. \quad \#$$

□

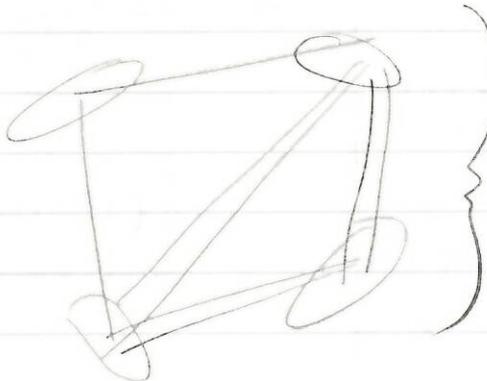


6/2/13

Th<sup>m</sup>: 2.11 (Erdős-Stone) If  $K(H) = r$  then  
 $\pi(H) = 1 - 1/r - 1$

Th<sup>m</sup> 2.13: Let  $r \geq 2$ ,  $t \geq 1$  and  $0 < \epsilon < 1/r$ . There exist  $n_0(r, \epsilon, t)$  such that if  $G$  has  $n \geq n_0$  vertices and  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$  then  $G$  contains a copy of  $K_r(t)$

$$\delta(G) = \min_{v \in V(G)} d(v)$$



$r$  classes  
 $t$  vertices  
in each class all edges  
between.

Proof (of Thm 2.13) Induction on  $r$ .

$$r=2 \quad K_2(t) = K_{t,t} \quad \text{Kovari-Sos-Turán theorem}$$

$$\text{So } ex(n, K_2(t)) \leq \frac{1}{2}(t-1)^{\frac{t}{2}} n^{2-\frac{t}{2}} + \frac{1}{2}(t-1)n^{t-1} \\ \leq t n^{2-\frac{t}{2}} \quad \oplus$$

Given  $\epsilon > 0$  and  $t \geq 1$  define  $n_0(2, \epsilon, t)$  so that for  $n \geq n_0$  we have  $\epsilon > 2\epsilon/n^{1/t}$  (\*). Let  $G$  be a graph with  $n \geq n_0$  vertices and  $\delta(G) \geq \epsilon n$ . Then  $G$  has at least  $\epsilon n^2/2 \geq \epsilon n^{2-1/t}$  by (\*) so  $|E(G)| > ex(n, K_2(t))$  by  $\oplus$ , so  $G$  contains

$K_r(\epsilon)$ .

Now suppose  $r \geq 3$ ,  $\epsilon \geq 1$  and  $0 < \epsilon < 1/r$  is given, and the result holds for  $r-1$ . Let  $G$  have  $n$  vertices,  $S(G) \geq \left(1 - \frac{1}{r-1} + \epsilon\right)n$ . We need to show that for  $n$  sufficiently large,  $G$  contains  $K_r(\epsilon)$ .

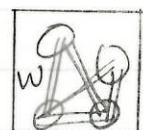
Let  $\omega = \left\lceil \frac{2\epsilon}{\epsilon} \right\rceil$  and let  $n \geq n_0(r-1, \omega, \epsilon)$

Since  $S(G) \geq \left(1 - \frac{1}{r-1} + \epsilon\right)n$

$$> \left(1 - \frac{1}{r-2} + \epsilon\right)n$$

$G$

$S \in \{\}$



$K_{r-1}(\omega)$

$(V \setminus w) \setminus S$

$(r-1)\omega$

$n - |S| - |w|$

We know  $G$  contains a copy of  $K_{r-1}(\omega)$  with vertex  $w$ ,  $|w| = (r-1)\omega$ .

Let  $S' = \{v \in V \setminus w : v \text{ has } \geq (r-2)\omega + \epsilon \text{ neighbour inside } w\}$

Notice if  $v \in S'$  then  $v$  has  $\geq \epsilon$  neighbours in each vertex class  $w$ , so  $v$  is adjacent to all the vertices of a copy of  $K_{r-1}(\epsilon)$ .

Claim:  $|S'| \rightarrow \infty$  as  $|w| \rightarrow \infty$ , in particular if  $n$  is sufficiently large then  $|S'| > (\epsilon-1) \left(\frac{\omega}{\epsilon}\right)^{r-1}$

Call a vertex  $v \in S$  good for a copy  $\hat{R}$  of  $K_{r-1}(t)$  in  $W$  if  $v$  is adjacent to every vertex in  $\hat{R}$

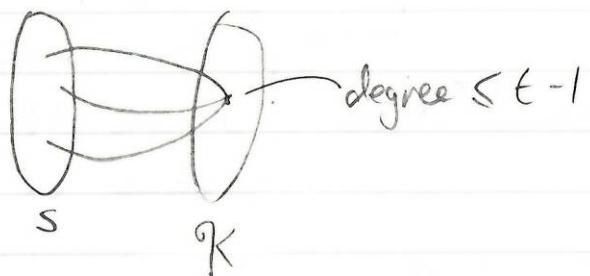
If  $G$  is  $K_r(t)$ -free, then for each copy of  $K_{r-1}(t)$  in  $W$  there are at most  $t-1$  good vertices in  $S$ .

By definition of  $S$ , every vertex in  $S$  is good for at least one copy of  $K_{r-1}(t)$  in  $W$ .

Qn: How many copies of  $K_{r-1}(t)$  are there in  $W$ ?

$$\text{Ans: } \binom{\omega}{t}^{r-1}$$

So we have the following bipartite graph  $H$ :



$\mathcal{K} = \{\hat{R} : \hat{R} \text{ is a copy of } K_{r-1}(t) \text{ in } W\}$   
 $v \in S$  is joined by an edge in  $H$  to  $\hat{R} \in \mathcal{K}$  iff  $v$  is good for  $\hat{R}$ .

$$|S| \leq \sum_{v \in S} d_H(v) = |E(H)|$$

$$= \sum_{K \in \mathcal{K}} d_H(K) \leq (t-1) \binom{\omega}{t}^{t-1}$$

$$\text{So } |S| \leq (t-1) \binom{\omega}{t}^{t-1}$$

Contradicting the Claim  $\times$

Need to prove the claim. Let  $e(W, V \setminus W)$  be the number of edges from  $W$  to  $V \setminus W$ . We know  $S(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$ .

There are at most  $|W|^2/2$  edges inside  $W$ .

$$e(W, V \setminus W) = \sum_{v \in V \setminus W} d(v) - \underline{2e(W)} \quad \# \text{edges inside } W.$$

$$\geq |W|n \left(1 - \frac{1}{r-1} + \epsilon\right) - |W|^2 \quad \text{①}$$

Recall  $S = \{v \in V \setminus W : v \text{ has } \geq (r-2)\omega + t \text{ neighbours in } W\}$

If  $v \in (V \setminus W) \setminus S$  then  $v$  has  $< (r-2)\omega + t$  neighbours in  $W$ .

If  $v \in S$       "    "    "     $\leq |W|$

$$e(W, V \setminus W) < \underbrace{((r-2)\omega + t)(n - |W| - |S|)}_{|W| - (\omega - t)} + |S||W| \quad \text{②}$$

$$|\omega| = (r-1)\omega.$$

$$\begin{aligned} e(\omega, v \cdot \omega) &< n((r-2)\omega + \epsilon) - |\omega|^2 \\ &\quad + |\omega|(c\omega - \epsilon) - |\dot{S}| \text{HWP} \\ &\quad + |\dot{S}| \text{HWP} + |S|(\omega - \epsilon). \end{aligned}$$

$$\text{So } ① + ② \Rightarrow$$

$$\begin{aligned} |\omega| \ln \left( 1 - \frac{1}{r-1} + \epsilon \right) - |\omega|^2 \\ < n((r-2)\omega + \epsilon) - |\omega|^2 + |S|(\omega - \epsilon) \\ &\quad + |\omega|(\omega - \epsilon). \end{aligned}$$

$$\begin{aligned} \omega n(r-2 + (r-1)\epsilon) &< n((r-2)\omega + \epsilon) \\ &\quad + |S|(\omega - \epsilon) \\ &\quad + \omega(r-1)(\omega - \epsilon) \end{aligned}$$

$$|S| > n \left( \frac{\epsilon(r-1)(\omega - \epsilon)}{\omega - \epsilon} \right) - (r-1)\omega.$$

$\underbrace{\qquad}_{>0}$

Since  $r \geq 3$ ,  $\omega \geq 2\epsilon/\epsilon$  this coefficient  $n$  is  $> 0$

□



8/2/13

$$ex(n, H) = \max \{ |E| : G = (V, E), |V|=n, G \text{ } H\text{-free} \}$$

Turán result

1) Turán's Theorem :  $ex(n, K_{r+1}) = t_r(n)$

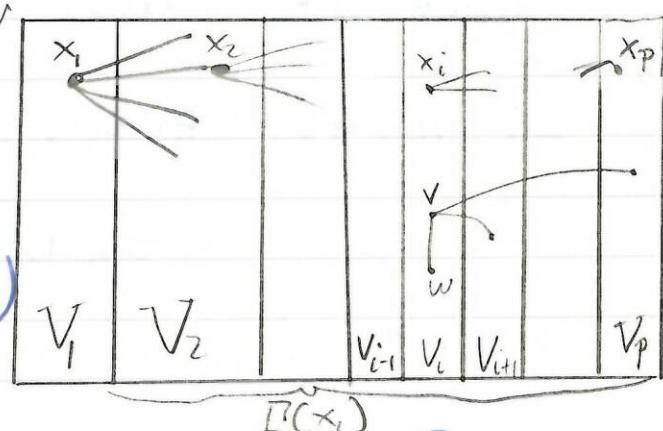
2)  $\pi(H) = \lim_{n \rightarrow \infty} \frac{ex(n, H)}{\binom{n}{2}}$  exists,

$$K(H) = r \geq 2 \Rightarrow \pi(H) = 1 - \frac{1}{r-1} \quad (\text{Erdős - Stone})$$

3) Stability

Theorem 2.14 (Füredi 2010) : If  $G$  is  $K_{r+1}$ -free, order  $n$  with at least  $ex(n, K_{r+1}) - \epsilon$  for some  $\epsilon > 0$  then  $\exists H \subseteq G$  s.t.  $|E(H)| \geq |E(G)| - \epsilon$  and  $K(H) = r$

Proof: Let  $G = (V, E)$  be  $K_{r+1}$ -free,  $|V| = n$  and  $|E| = ex(n, K_{r+1}) - \epsilon$ . Choose  $x_1 \in V$  of max degree. Let  $V_1 = V \setminus \{x_1\}$  neighbours of  $x_1$ .



Now consider the graph  $G_2 = G[V \setminus V_1]$ .

Choose  $x_2 \in G_2$  of max degree. Let  $V_2 = V(G_2) \setminus \{x_2\}$ . Repeat until have no vertices left: suppose we choose  $x_1, x_2, \dots, x_p$ .

By construction  $x_1, x_2, \dots, x_p$  from a clique (i.e. a copy of  $K_p$ ). Hence  $p \leq r$ .

Let  $d_i = d(x_i)$ ,  $d = d(x_2)$  etc. to give  $d_1, d_2, \dots, d_p$ .

Note that  $d_i = |V_{i+1}| + |V_{i+2}| + \dots + |V_p|$ .

Note for  $v \in V_i$  define  $\overrightarrow{d}(v) = \#\{w : w \in E, w \in V_i \cup V_{i+1} \cup \dots \cup V_p\}$

If  $v \in V_i$   $\overrightarrow{d}(v) \leq d_i$  (by maximality of degree of  $x_i$  in  $G_c$ )

$$\begin{aligned} |E(G)| + \text{edges inside classes} &= \sum_{i=1}^p \sum_{v \in V_i} \overrightarrow{d}(v) \leq \sum_{i=1}^p d_i \cdot |V_i| = \sum_{i=1}^p |V_i|(|V_{i+1}| + \dots + |V_p|) \\ &= |E(K(V_1, V_2, \dots, V_p))| \\ &\quad \text{by lemma 2.5} \leq |E(T_p(n))| \end{aligned}$$

where  $K(V_1, V_2, \dots, V_p)$  is the complete  $p$ -partite graph with vertex classes  $V_1, V_2, \dots, V_p$ .

So  $|E(G)| + \text{edges inside classes}$

$$\leq E_p(n) \leq E_r(n) \quad \text{since } p \leq r$$

But  $|E(G)| \geq \text{ex}(n, K_{r+1}) - \epsilon = E_r(n) - \epsilon$ .

$\Rightarrow$  #edges inside class  $\leq \epsilon$ .

Let  $H$  be  $G$  with all edges inside classes removed.  
So  $|E(H)| \geq |E(G)| - \epsilon$  and  $H \subseteq K(V_1, \dots, V_p)$  is  $p$ -partite.  $\square$

22/2/13

### 3) Set system

$$[n] = \{1, 2, 3, \dots, n\}$$

$$\mathcal{P}(X) = \{A : A \subseteq X\}$$

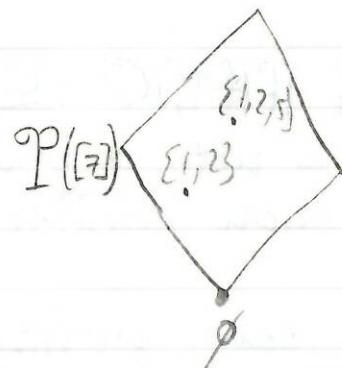
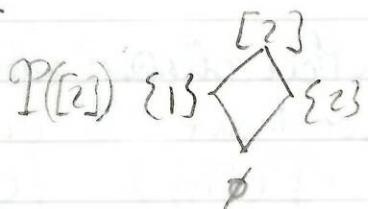
$${X \choose k} = \{A : A \subseteq X, |A|=k\}.$$

$$X = [n].$$

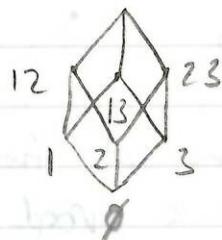
A family  $\mathcal{A} \subseteq \mathcal{P}([n])$  is a chain if  $A, B \in \mathcal{A}$   
 $A \subseteq B$  or  $B \subseteq A$ .

[7]

Eg:



[3]



edges = covering relation.

A family  $\mathcal{A} \subseteq \mathcal{P}([n])$  is an antichain if  $\forall A, B \in \mathcal{A}$   
 $A \subseteq B \Rightarrow A = B$   
or  $A \neq B$ ,  $A, B \in \mathcal{A}$  st  $A \not\propto B$  and,  $B \not\propto A$ .

Examples of antichains:

$$\binom{[7]}{3}, \binom{[n]}{k}, \{123, 45, 1247\}$$

Lemma 3.1: If  $\mathcal{A}$  is an antichain and  $\mathcal{C}$  is a chain then  $|\mathcal{A} \cap \mathcal{C}| \leq 1$ .

Proof: If  $|\mathcal{A} \cap \mathcal{C}| \geq 2$ , let  $A, B \in \mathcal{A} \cap \mathcal{C}$ ,  $A \neq B$ . Then  $A, B \in \mathcal{C}$  is a chain  $\Rightarrow$  w.l.o.g  $A \subset B$ . But then  $A, B \in \mathcal{A}$  is an antichain  $\Rightarrow A = B$

\*  $\square$

Lemma 3.2: If  $\mathcal{C} \subseteq \mathcal{P}([n])$  is a chain then  $|\mathcal{C}| \leq n+1$ .

Proof: If  $A, B \in \mathcal{C}$  and  $|A| = |B|$  then  $A = B$  (otherwise  $\mathcal{C}$  is not a chain). Hence we have  $\leq$  one set of each possible size from  $\mathcal{P}([n])$ .  $\therefore |\mathcal{C}| \leq n+1$ .

[We can partition  $\mathcal{P}([n])$  into  $n+1$  anti-chains  
 $\mathcal{P}([n]) = \binom{[n]}{0} \cup \binom{[n]}{1} \cup \dots \cup \binom{[n]}{n}$ ]

since  $\mathcal{C}$  contains at most one set from each anti-chain,  $|\mathcal{C}| \leq n+1$ . This is an alternative proof of lemma 3.2.

We observe that  $|\binom{[n]}{\lfloor \frac{n}{2} \rfloor}| = \binom{n}{\lfloor \frac{n}{2} \rfloor}$

which is the largest of the binomial coefficients raised to power  $n$ , then we get. . . ]

$$\binom{[n]}{k} \quad n=4 \quad \binom{4}{0} = 1 \quad \binom{4}{3} = 4$$

$$\binom{4}{1} = 4 \quad \binom{4}{4} = 1$$

$$\binom{4}{2} = 6$$

$$n=5 \quad \binom{5}{0} = 1 \quad = \binom{5}{5}$$

$$\binom{5}{1} = 5 \quad = \binom{5}{4}$$

$$\binom{5}{2} = 10 \quad = \binom{5}{3}$$

$$\binom{[n]}{\lfloor \frac{n}{2} \rfloor} = \text{has size } \binom{n}{\lfloor \frac{n}{2} \rfloor}$$

Theorem 3.3 (Sperner): If  $A$  is an anti-chain  
in  $\mathcal{P}([n])$  then  $|A| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$

Lemma 3.4: If  $n \geq 1$  then  $\mathcal{P}([n])$  can be  
partitioned  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  chains

Lemma 3.4 + Lemma 3.1  $\Rightarrow$  Theorem 3.3.

A chain  $C \subseteq P([n])$  is symmetric iff

(i)  $C = \{C_1, \dots, C_k\}$ ,  $|C_{i+1}| = |C_i| + 1$ ,  $i=1, \dots, k-1$

(ii)  $|C_1| + |C_k| = n \Rightarrow |C_1| \leq \lfloor \frac{n}{2} \rfloor$ ,  $|C_k| \geq \lfloor \frac{n}{2} \rfloor$

e.g. in  $P([3])$   $\{\emptyset, 1, 12, 123\}$ ,  $\{2, 23\}$   
in  $P([4])$   $\{\emptyset, 1, 12, 124\}$ ,  $\{13\}$ .

Note that any symmetric chain  $C \subseteq P([n])$  meets "the" middle layer  $\binom{[n]}{\lfloor \frac{n}{2} \rfloor}$

Since  $\binom{[n]}{\lfloor \frac{n}{2} \rfloor}$  is itself an antichain, we know that any symmetric chain contains exactly one set from  $\binom{[n]}{\lfloor \frac{n}{2} \rfloor}$  by lemma 3.1.

Proof of Lemma 3.4 (Induction on  $n$ )  $n=1$   
 $P([1]) = \{\emptyset, 1\}$  is a symmetric chain. Now suppose  $n \geq 2$  and result holds for  $n-1$ . So  $\exists$  a partition of  $P([n-1])$  into sym chains.

$$P([n-1]) = C_1 \cup C_2 \cup \dots \cup C_t.$$

$$C_i = \{C_1^i, C_2^i, \dots, C_{k_i}^i\}.$$

From two new chains for  $C_i$  (if  $k_i \geq 2$ )

$$C_i' = \{C_1^i \cup \{n\}, C_2^i \cup \{n\}, \dots, C_{k_i-1}^i \cup \{n\}\}$$

$$C_i'' = \{C_1^i, C_2^i, \dots, C_{k_i-1}^i, C_{k_i}^i \cup \{n\}\}$$

Note that  $C'_i$ ,  $C''_i$  are both chains and in fact both symmetric chains in  $P([n])$ . Moreover  $P([n]) = C'_1 \cup C''_1 \cup C'_2 \cup C''_2 \cup \dots \cup C''_n$

So the result holds  $\square$



27/2/13

Homework 18, 19, 20, 21 for next Wednesday.

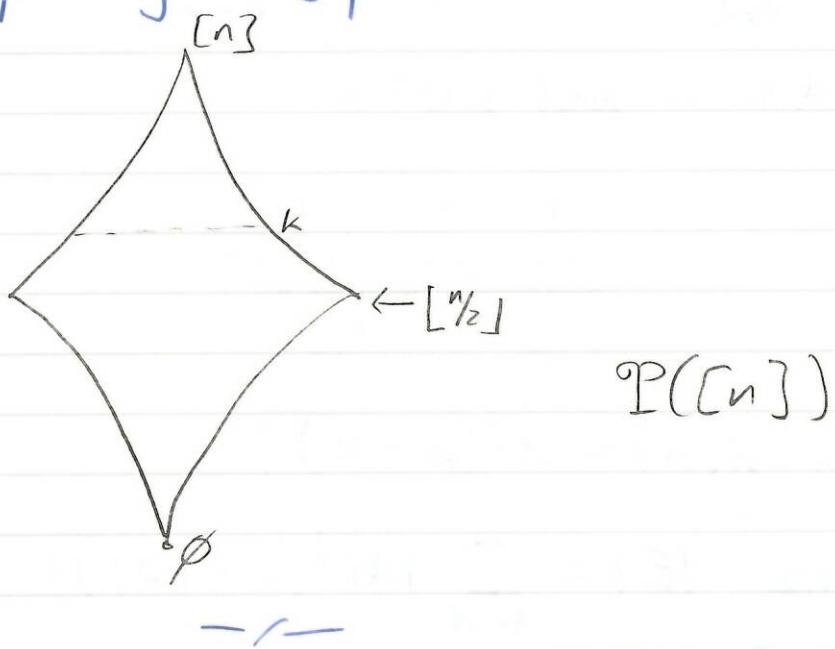
Thm 3.3 (Sperner), If  $\mathcal{A} \subseteq \mathcal{P}([n])$  is an antichain then let  $I \leq \binom{[n]}{\lfloor \frac{n}{2} \rfloor}$  (best possible:  $\mathcal{A} = \binom{[n]}{\lfloor \frac{n}{2} \rfloor}$ )

:  $\mathcal{A}$  is antichain  $\Leftrightarrow \forall A, B \in \mathcal{A}. A \subseteq B \Rightarrow A = B$

Thm 3.5 (LYM) If  $\mathcal{A} \subseteq \mathcal{P}([n])$  is an antichain then

$$\sum_{A \in \mathcal{A}} \frac{1}{\binom{n}{|A|}} \leq 1$$

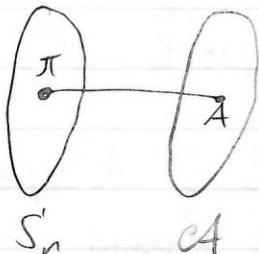
Note that since  $\binom{n}{\lfloor \frac{n}{2} \rfloor} \geq \binom{n}{k}$  any  $0 \leq k \leq n$  LYM - inequality  $\Rightarrow$  Sperner's Theorem.



Proof (of LYM). Let  $\mathcal{A} \subseteq \mathcal{P}([n])$  be an antichain.  $S_n$  = permutations of  $[n]$ .

Construct a bipartite  $G = (S_n, \mathcal{A}; E)$

Where  $\pi \in S_n$  is joined by an edge to  $A \in \mathcal{A}$  iff all the elements of  $A$  appear before the elements of  $A^c$  in  $\pi$ .  $S_n$



$$n=8, \quad \pi = 13456872$$

$$A = 134$$

$\pi A$  is an edge.

$$n=7$$

$$A = 237$$

$$\pi = 723\boxed{4}651$$

$\pi A$  is an edge.

but if  $B = 2367$  then  $\pi B$  is not an edge.

Double counting:

$$\sum_{\pi \in S_n} d(\pi) = |E| = \sum_{A \in \mathcal{A}} d(A)$$

If  $A \in \mathcal{A}$  and  $|A|=k$

$$k! \times (n-k)!$$

$\underbrace{\dots}_{K} \quad \underbrace{\dots}_{n-k}$   
 $A \qquad A^c$

$$\text{then } d(A) = k!(n-k)!$$

$$\text{Hence } |E| = \sum_{A \in \mathcal{A}} |A|!(n-|A|)!$$

Now if  $\pi \in S_n$ , and  $\pi A$  is an edge and  $\pi B$  is another edge then either  $A \subset B$  or  $B \subset A$   
so  $A = B$

$\therefore$  At most one edge from  $\pi$   $\therefore d(\pi) \leq 1$

$$\text{So } |E| = \sum_{\pi \in S_n} d(\pi) \leq \sum_{\pi \in S_n} 1 = n!$$

$$\text{So } \sum_{A \in \mathcal{A}} \frac{|A|!(n-|A|)!}{n!} \leq 1$$

$$\text{So } \sum_{A \in \mathcal{A}} \frac{1}{\binom{n}{|A|}} \leq 1.$$

□

$\mathcal{A}$  is intersecting  $\Leftrightarrow A, B \in \mathcal{A} \wedge A \cap B \neq \emptyset$ .

e.g.  $\{12, 13, 23\}$ .

Thm 3.6. If  $\mathcal{A} \subseteq \mathcal{P}([n])$  is intersecting then  $|\mathcal{A}| \leq 2^{n-1}$ .

Proof: Since  $A \in \mathcal{A} \Rightarrow A^c \notin \mathcal{A}$ , hence  $|\mathcal{A}| \leq 2^{n-1}$ .

□

Examples:  $\mathcal{A}^* = \{A \subseteq [n] : 1 \in A\}$ ,  $|\mathcal{A}^*| = 2^{n-1}$

$\mathcal{B} = \{B \subseteq [n] : |B \cap [3]| \geq 2\}$ .

$$|\mathcal{B}| = 4 \times 2^{n-3} \\ = 2^{n-1}$$

Since  $B$  consists of  $B = \hat{B} \cup B'$ , where  
 $\hat{B} \in \{12, 13, 23, 123\}$   
 $B' \subseteq \{4, 5, \dots, n\}$ .

$\mathcal{C} = \{C \subseteq [n] : |C \cap [5]\| \geq 3\}$

If  $C \in \mathcal{E}$  then  $C = \hat{C} \cup C'$

$$\hat{C} \in \{123, 124, 125, 134, 135, 145, 234, 235, 245, 345, 1234, 1235, 1245, 1345, 2345, 12345\}$$

$$\text{and } C' \subseteq \{6, 7, \dots, n\}$$

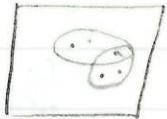
$$\therefore |\mathcal{E}| = 16 \times 2^{n-5} \\ = 2^{n-1}$$

$$\text{In general } \mathcal{D}_k = \{D \subseteq [n] : |D \cap [2k+1]| > k+1\}$$

$$\mathcal{D}_0 = A^*, \mathcal{D}_1 = B, \mathcal{D} = E \quad \begin{array}{|l} \text{$D$ is intersecting} \\ \text{and $|\mathcal{D}| = 2^{n-1}$} \end{array}$$

If  $cA \subseteq \binom{[n]}{k}$  is intersecting, how large can  $|cA|$  be?

If  $2k > n$  then  $\binom{[n]}{k}$  is intersecting



Theorem 3.7: (Erdos - Ko - Rado 1961). If  $2k \leq n$  and  $cA \subseteq \binom{[n]}{k}$  is intersecting then  $|cA| \leq \binom{n-1}{k-1}$

Note:  $cA^* = \{A \in \binom{[n]}{k} : 1 \in A\}$ .  $|cA^*| = \binom{n-1}{k-1}$

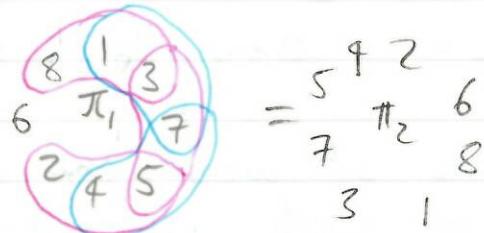
Proof: (Katona). Let  $n \geq 2k$  and  $cA \subseteq \binom{[n]}{k}$  be intersecting.

Let  $\mathcal{E}_n$  be the family of cyclic permutations of  $[n]$ . By this we mean two permutations of  $[n]$  are considered the same, if when

written around a circle, we can form one to the other by rotation.

e.g:  $n=8$ ,

~~137~~    ~~168~~  
~~357~~    ~~138~~  
~~457~~  
~~245~~  
~~246~~  
~~268~~



$$= \begin{matrix} 5 & 4 & 2 \\ 7 & \pi_2 & 6 \\ & 3 & 1 \end{matrix}$$

$$\begin{matrix} 8 & 3 & 1 \\ 6 & \pi_3 & 7 \\ 2 & 4 & 5 \end{matrix}$$

$$\pi_1 = \pi_2 \neq \pi_3.$$

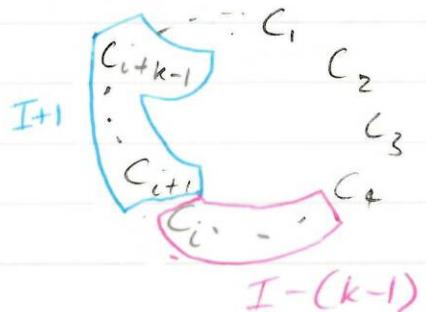
$$|\mathcal{C}_n| = \frac{n!}{n} = (n-1)!$$

Given a cyclic permutation  $\pi$  and a set  $A$  etc.  
Say  $A$  is an interval in  $\pi$  if the elements of  $A$  appears consecutively.

Lemma 3.8 If  $\pi \in \mathcal{C}_n$  is a cyclic permutation of  $[n]$  and  $\mathcal{I} = \{I_1, \dots, I_t\}$  are intersecting intervals from  $\pi$  each of length  $k$  ( $n \geq 2k$ ) then  $t \leq k$ .

Proof: Let  $I = \{c_i, c_{i+1}, \dots, c_{i+k-1}\} \in \mathcal{I}$

Note  $I$  meets at most  $2k-2$  other intervals for  $\pi$ .



Namely:  $I+1, I+2, \dots, I+(k-1)$  where  $I+j = \{c_{i+j}, c_{i+j+1}, \dots, c_{i+j+k-1}\}$   
 $I-1, I-2, \dots, I-(k-1)$

But  $I+1$  and  $I-(k-1)$  are disjoint as are  $I+j$  and  $I-(k-1)$  any  $1 \leq j \leq k-1$

Hence there at most one of  $I+j$  and  $I-(k-j)$  in  $\mathcal{X}$  for each  $1 \leq j \leq k-1$

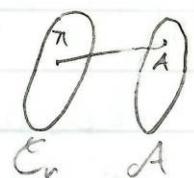
Thus  $|\mathcal{X}| \leq 1 + (k-1) = k$ .

□

Proof of EKR. (ctd). Define a bipartite graph  $G = (\mathcal{C}_n, \mathcal{A}; E)$ .

Join  $\pi \in \mathcal{C}_n$  to  $A \in \mathcal{A}$  iff  $A$  is an interval in  $\pi$ .

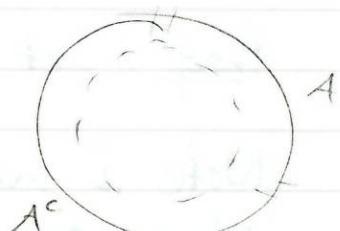
If  $\pi \in \mathcal{C}_n$  then  $d(\pi) = \# \text{ intervals of } \pi$   
 that belong to  $\mathcal{A}$



So  $A \in \mathcal{A}$  then  $d(A) = k!(n-k)!$

Double Counting:

$$\sum_{\pi \in \mathcal{C}_n} d(\pi) = |E| = \sum_{A \in \mathcal{A}} d(A)$$



$$k | \mathcal{E}_n | \geq | E | = | eA | k! (n-k)!$$

$$\text{So } | eA | \leq \frac{k(n-1)!}{k!(n-k)!} = \binom{n-1}{k-1} = \frac{k}{n} \binom{n}{k}$$

□

$n > 2k \Rightarrow$  Unique best-family.



1/3/13

(left)

## Compressions

$cA \subseteq P([n])$  if  $1 \leq i < j \leq n$ , and  $A \in eA$

$$C_{ij} = \begin{cases} (A - \{j\}) \cup \{i\} & j \in A, i \notin A \\ A & \text{otherwise} \end{cases}$$

$$\begin{aligned} A &= 246, & C_{34}(246) &= 236 \\ A &= \{236, 246\}, & C_{34}(125) &= 125 \\ && C_{34}(123) &= 123 \\ && C_{34}(134) &= 134 \end{aligned} \quad \left. \begin{array}{l} \text{different} \\ \text{examples} \end{array} \right\}$$

$cA \subseteq P([n])$

If  $1 \leq i < j \leq n$  then

$$C_{ij}(cA) = \{C_{ij}(A) : A \in eA\} \cup \{A : A \in eA \text{ and } C_{ij}(A) \in cA\}$$

example:  $eA = \{146, 236, 246, 124\}$

$$C_{34}(eA) = \{136, 236, 123, 246\} = eA'$$

$$C_{26}(eA') = \{123, 236, 246, 135\} = eA''$$

$$C_{16}(eA'') = \{123, 124, 136, 236\} = eA'''$$

$$C_{46}(eA''') = \{123, 124, 134, 234\} = \widehat{eA}$$

If  $C_{ij}(eA) = eA$  ( $1 \leq i < j \leq n$ , ( $eA \subseteq P([n])$ )) then we say  $eA$  is compressed.

Lemma 3.9 :  $cA \subseteq \binom{[n]}{k}$  and  $1 \leq i < j \leq n$ .

(i)  $C_{ij}(cA) \subseteq \binom{[n]}{k}$

(ii)  $|C_{ij}(cA)| = |cA|$

(iii) If  $cA$  is intersecting then so is  $C_{ij}(cA)$

(iv) Repeating apply  $i-j$ -compression we will eventually reach  $\tilde{cA}$  s.t  $C_i(\tilde{cA}) = cA$  &  $1 \leq i \leq n$ .  
→ a compressed family.

Proof:

(i)+(ii) Follow instantly from definition of  $C_{ij}$

(iii) Suppose  $cA$  is intersecting

Now suppose  $\exists A, B \in C_{ij}(cA)$  such that  $A \cap B = \emptyset$   
 $cA$  is intersecting  $\Rightarrow$  Not both  $A, B$  are in  $cA$   
Since every "new" set in  $C_{ij}(cA)$  contains  $i$ ,  
so  $A, B$  are not both new. So wlog  $A \in cA$   
and  $B \notin cA$ .

$$So \quad C = (B - \{i\}) \cup \{j\} \in cA.$$

Since  $A \cap B = \emptyset$  and  $A \cap C \neq \emptyset$  we must  
hence  $j \in A, i \notin A$ .

Hence, by definition of  $C_{ij}(cA)$ :

$$D = C_{ij}(A) \in cA.$$

$$D = (A - \{j\}) \cup \{i\}$$

$$So \quad C \cap D \subseteq (B - \{i\}) \cap (A - \{j\}) \\ \subseteq A \cap B = \emptyset \quad \#$$

Since  $C, D \in cA$

□

(iv) Definition  $\omega(cA) = \sum_{A \in cA} \sum_{a \in A} a$ .

If  $C_{ij}(cA) \neq cA$  then  $\omega(C_{ij}(cA)) < \omega(cA) - (j-i)$   
 $\omega \geq 0$ . So apply all  $i-j$ -compressions repeatedly  
 we eventually reach a compressed family.  $\square$

Proof of EKR: Induction on  $n \geq 2r$   $n=2$  ✓  
 $n > 2$ . Let  $cA \subseteq \binom{[n]}{k}$  be intersecting..

If  $n=2k$  then  $\binom{n-1}{k-1} = \frac{1}{2}\binom{n}{k}$  and  $(A \in cA \Rightarrow A^c \notin cA) \Rightarrow |cA| \leq \frac{1}{2}\binom{n}{k}$  ✓

So suppose  $n \geq 2k+1$ . Now by applying compressions  
 we may suppose  $cA$  is compressed.

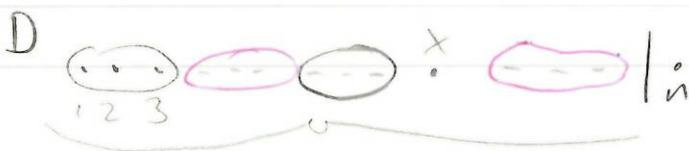
Let  $B = \{A \in cA : n \notin A\}$ ,  $E = \{A \in cA : n \in A\}$   
 $B \subseteq \binom{[n-1]}{k-1}$  Ind. hyp  $n \geq 2k+1 \Rightarrow |B| \leq \binom{n-1-1}{k-1} = \binom{n-2}{k-1}$

$$\text{Note : } \binom{n-1}{k-1} = \binom{n-2}{k-1} + \binom{n-2}{k-2}$$

Consider  $D = \{C \setminus \{n\} : C \in E\}$ .  
 so  $D \subseteq \binom{[n-1]}{k-1}$ . If we show that  $D$  is intersecting  
 then our ind. hyp  $\Rightarrow |D| \leq \binom{n-1-1}{k-1-1} = \binom{n-2}{k-2}$ .

$cA$  is compressed. Suppose  $D, E \in D$  s.t.  $D \cap E = \emptyset$ .

Then  $D \cup \{n\}, E \cup \{n\} \in cA$ .



Since  $|D| = k-1 = |E|$  and  $n \geq 2k+1$  so  
 $\exists x \in [n-1] \setminus (D \cup E)$

Since  $cA$  is compressed.  $C_{xu}(D \cup \{n\}) = (D \setminus \{n\}) \cup \{x\}$   
 $\in cA$ . But  $C_{xu}(D) \cap (E \cup \{u\}) = \emptyset$ .  $\#$  since  
 $cA$  is intersecting.  $\square$

6/3/13.

Homework: Qu 26, 27, 28, 31 next Wed.

### The Linear Algebra Method.

Lemma 3.10: If  $v_1, v_2, \dots, v_m \in V$ ,  $V$  vector space of dimension  $d$ , and  $v_1, \dots, v_m$  are linearly independent then  $m \leq d$ .

- - -

### Linear Independent:

$v_1, \dots, v_m \in V$ ,  $V$  a vector space over a field  $\mathbb{F}$ , are LI iff  $\sum_{i=1}^m \lambda_i v_i = 0 \Rightarrow \lambda_i = 0 \forall i$

- - -

Thm 3.11: If  $A = \{A_1, \dots, A_m\} \subseteq P([n])$  with  $|A_i|$  is odd  $\forall i$ , and  $|A_i \cap A_j|$  is even  $\forall i \neq j$  then  $m \leq n$ .

Proof: For  $A_i \in A$  consider its incidence vector.  
 $v_i \in \mathbb{F}_2^n$ .

[Recall  $\mathbb{F}_2$  is a field with 2 elements]

$$v_{ij} = \begin{cases} 1 & , j \in A_i \\ 0 & , \text{ otherwise} \end{cases}$$

e.g.  $n=6$ ,  $A_2 = \{135\}$

$$v_2 = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \begin{array}{l} V_{21} \\ V_{22} \\ V_{23} \\ V_{24} \\ V_{25} \\ V_{26} \end{array}$$

So we have  $m$  vectors  $\underline{v}_1, \dots, \underline{v}_m$ .

Consider  $\underline{v}_i \cdot \underline{v}_j = \sum_{k=1}^n v_{ik} v_{jk} = |A_i \cap A_j| = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases}$

So  $\underline{v}_1, \dots, \underline{v}_m$  are orthogonal.

$\Rightarrow \underline{v}_1, \dots, \underline{v}_m$  are linearly independent.

Lemma 3.10  $\Rightarrow m \leq \dim(\mathbb{F}_2^n) = n$ .

□

Th<sup>m</sup>: (Fisher Inequality): If  $\mathcal{A} = \{A_1, \dots, A_m\} \subseteq \mathcal{P}([n])$  and  $\exists 1 \leq k \leq n$  st.  $\forall i \neq j$   $|A_i \cap A_j| = k$  then  $m \leq n$ .

Proof: let  $\mathcal{A}$  be given with the above properties.

For  $A_i \in \mathcal{A}$  let  $\underline{v}_i$  be its incidence vector

$$v_{ij} = \begin{cases} 1, & j \in A_i \\ 0, & \text{otherwise} \end{cases}$$

Want to show  $\{\underline{v}_1, \dots, \underline{v}_m\}$  is LI.

Suppose for a contradiction  $\exists \lambda_1, \dots, \lambda_m \in \mathbb{R}$  not all zero with  $\sum_{i=1}^m \lambda_i v_i = 0$ .

$$0 = 0 \cdot 0 = \left( \sum_{i=1}^m \lambda_i v_i \right) \left( \sum_{j=1}^m \lambda_j v_j \right)$$

$$= \sum_{i=1}^m \lambda_i^2 v_i \cdot v_i + \sum_{i \neq j} \lambda_i \lambda_j v_i \cdot v_j$$

$$\text{Note : } v_i \cdot v_j = \begin{cases} |A_i| & i=j \\ k & i \neq j \end{cases}$$

$$\dots = \sum_{i=1}^m \lambda_i^2 |A_i| + k \sum_{i \neq j} \lambda_i \lambda_j$$

$$= \sum_{i=1}^m \underbrace{\lambda_i^2}_{\geq 0} \underbrace{(|A_i| - k)}_{\geq 0} + k \underbrace{\left( \sum_{i=1}^m \lambda_i \right)^2}_{\geq 0}$$

Since  $\textcircled{1} + \textcircled{2} = 0$ , and  $\textcircled{1} \geq 0, \textcircled{2} \geq 0$  must have  $\textcircled{1} = \textcircled{2} = 0$ .

$\textcircled{1} = 0 \Rightarrow$  whenever  $|A_i| \neq k$  we must have  $\lambda_i = 0$ .

Also, since  $|A_i \cap A_j| = k \forall i \neq j$  we have  $|A_i| \geq k$   $\forall i$  with equality at most once.

Hence, all but one  $\lambda_i$  must be zero.

$\textcircled{2} \Rightarrow \sum_{i=1}^m \lambda_i = 0$ , this is impossible since exactly one  $\lambda_i$  is non-zero. Hence  $\{v_1, \dots, v_m\}$  is LI.

and lemma 3.10  $\Rightarrow m \leq \dim(\mathbb{R}^n) = n$   $\#$

□

## Ramsey Theory.

Let  $s, t \geq 2$  be integers.

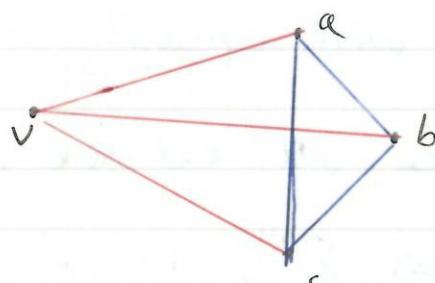
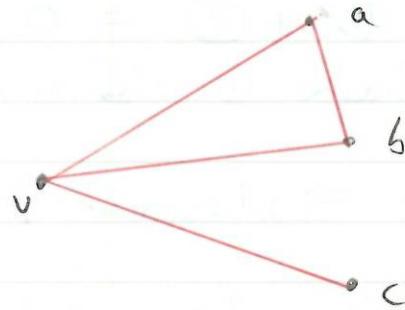
$R(s, t) = \min\{n : \text{Whenever } K_n \text{ has its edges coloured red and blue there is always a red } K_s \text{ or a blue } K_t\}$ .

Prop 9.1  $R(3, 3) = 6$ .

Proof : (1)  $R(3, 3) \leq 6$ . Take a red-blue colouring of the edges of  $K_6$ .

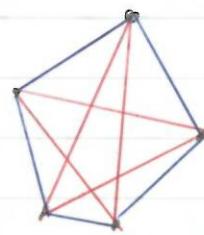
Let  $v \in V(K_6)$ . Since  $d(v) = 5$  w.l.o.g  $v$  is incident to at least 3 red edges with endpoints  $a, b, c$ . Either one of  $ab, ac, bc$  is red or they are all blue.

$\Rightarrow$  Either have a red  $K_3$  or blue  $K_3$ .



(2)  $R(3,3) > 5$ .

Consider the following colouring, no red  
 $K_3$  or blue  $K_3 \Rightarrow R(3,3) > 5$ .



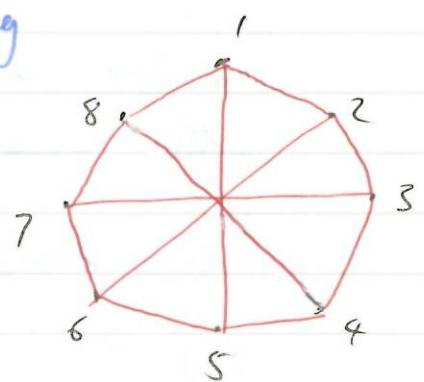
Prop^n 4.2.  $R(3,4) = 9$ ,

Proof: (1)  $R(3,4) > 8$ .

Consider the red-blue edge colouring taking  $V(K_8) = [8]$ ,

$$\text{Red edges} = \{i i+1 : 1 \leq i \leq 8\}$$

$$= \{i i+4 : 1 \leq i \leq 4\}$$



No other edges are blue. No red  $K_3$  and no blue  $K_4$ .

(2)  $R(3,4) \leq 9$ .

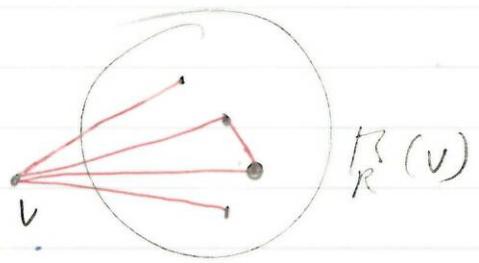
Take a red-blue edges colouring of  $K_9$ .

Let  $v \in V(K_9)$   $\Gamma_R(v) = \{w : vw \text{ is red}\}$ ,  $d_R(v) = |\Gamma_R(v)|$

$\Gamma_B(v) = \{w : vw \text{ is blue}\}$ ,  $d_B(v) = |\Gamma_B(v)|$

$$\text{So } d_R(v) + d_B(v) = d(v) = 8.$$

If  $\exists v \in V(K_9)$  with  $d_R(v) \geq 4$ , then either  $\Gamma_R(v)$  contains a red edge. So wlog can assume



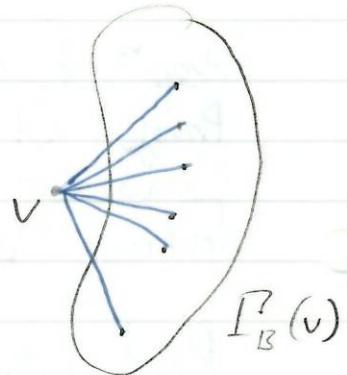
$$d_R(v) \leq 3 \quad \forall v \in V(K_9)$$

$$\Rightarrow d_B(v) \geq 5 \quad \forall v \in V(K_9)$$

If  $\exists v \in V(K_9)$  st  $d_B(v) \geq 6 = R(3, 3)$

$\Rightarrow \Gamma_B^r(v)$  contains a red  $K_3$  or  
a blue  $K_3$ .

In former case have red  $K_3$ , in  
latter case have blue  $K_3$



Only remaining case is if  $d_B(v) = 5$ ,  $\forall v \in V(K_9)$

But  $\sum_{v \in V(K_9)} d_B(v) = 2 \times \# \text{ blue edges}$

So  $\sum_{v \in V(K_9)} d_B(v) = 5 \times 9 = 45$  is impossible

□

8/3/13

Let  $s, t \geq 2$ .

$R(s, t) = \min\{n \in \mathbb{N} : \text{Every red-blue colouring of the edges of } K_n \text{ contains a red } K_s \text{ or a blue } K_t\}$ .

Theorem 4.3 (Ramsey)

If  $s, t \geq 2$  then  $R(s, t)$  is finite and satisfies

$$R(s, t) \leq \binom{s+t-2}{s-1}$$

Proof: Induction on  $s+t$ ,  $R(2, t) = t$ ,  $R(s, 2) = s$ .  
So result holds if  $s$  or  $t$  is 2.

So now suppose  $s, t \geq 3$  and the result holds for smaller  $s+t$ .

Let  $n = R(n-1, t) + R(s, t-1)$ . This exists by our inductive hypothesis.

Claim:  $R(s, t) \leq n$ .

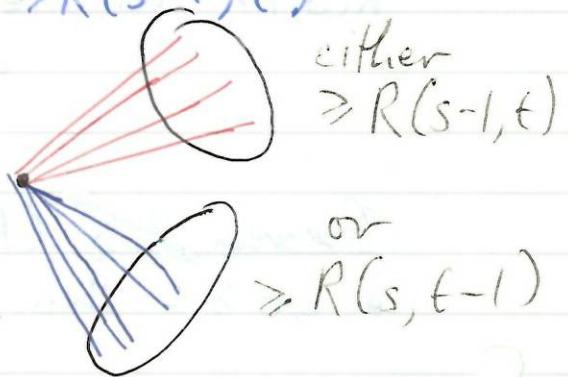
Proof: Take a red-blue colouring of the edges of  $K_n$ .

Let  $v \in V(K_n)$

Define:  $\Gamma_R(v) = \{w : vw \text{ is red}\}$   $d_R(v) = |\Gamma_R(v)|$   
and  $\Gamma_B(v) = \{w : vw \text{ is blue}\}$   $d_B(v) = |\Gamma_B(v)|$

So  $d_R(v) + d_B(v) = d(v) = n-1$ . Now since  $n = R(s-1, t) + R(s, t-1)$  we must have either  $d_R(v) \geq R(s-1, t)$  or  $d_B(v) \geq R(s, t-1)$   
 $\Rightarrow$  w.l.o.g suppose  $d_R(v) \geq R(s-1, t)$

Then either  $\Gamma_R(v)$  contains a red  $K_{s-1}$ , which together with  $v$  forms a  $K_s$ , or  $\Gamma_R(v)$  contains a blue  $K_t$ .



Hence:

$$R(s, t) \leq n = R(s-1, t) + R(s, t-1)$$

$$\begin{aligned} & \leq \binom{s-1+t-2}{s-1-1} + \binom{s+t-1-2}{s-1} \\ & = \binom{s+t-2}{s-1} \quad \square \end{aligned}$$

Prop: 4.4 :  $R(4, 4) = 18$ . x is a quadratic residue mod n.  
if  $\exists y$  st  $x \equiv y^2 \pmod{n}$

Proof:  $R(4, 4) > 17$

Let  $n = 17$ . Colour the edges of  $K_{17}$  as follows:  
 $V(K_{17}) = \{0, 1, 2, \dots, 16\}$ .

Colour  $xy$  red iff  $x-y$  is a quadratic residue mod 17. (Paley graph).

All other edges are blue. Can check that there is red  $K_4$  and no blue  $K_4$ .

$$R(4,4) \leq R(3,4) + R(4,3) = 9 + 9 = 18 \text{ (Using proof of Theorem 4.3 and } R(3,4) = 9\text{.)}$$

$$\therefore R(4,4) = 18 \quad \square.$$

$$43 \leq R(5,5) \leq 49. \quad n = 45, K_n$$

$$2^{\frac{(45)^2}{2}}$$

Theorem 4.5 (No proof) (Coulom 2009). There exist  $c > 0$  a constant such that

$$R(s,s) \leq \frac{1}{s^{\log s - \log \log s}} \binom{2s-2}{s-1} \quad \left| \begin{array}{l} (\sqrt{2})^s < R(s,s) \\ \leq \binom{s+s-2}{s-1} \\ \leq \binom{2s}{s-1} < \binom{2s}{s} \leq 4^s \end{array} \right.$$

Let  $s_1, s_2, \dots, s_k \geq 2$  define

$R(s_1, s_2, \dots, s_k) = \min \{n : \text{Whenever the edges of } K_n \text{ are coloured with colours } c_1, c_2, \dots, c_k, \text{ there is always a } c_i\text{-coloured } K_{s_i} \text{ for some } 1 \leq i \leq k\}$

$$R_2(s_1, s_2) = R(s_1, s_2)$$

-/-

Thm: 4.12 For all  $k \geq 2$  and  $s_1, s_2, \dots, s_k \geq 2$ ,  $R_k(s_1, s_2, \dots, s_k)$  is finite.

Proof: Induction on  $k$ . Ramsey's Thm  $\Rightarrow$  true for  $k=2$ , so let  $k \geq 3$ . Suppose  $s_1, s_2, \dots, s_k \geq 2$  are given.

Let  $n = R_{k-1}(s_1, s_2, \dots, s_{k-1}, R(s_{k-1}, k))$

Claim :  $R_k(s_1, \dots, s_k) \leq n$ .

Take a colouring of the edges of  $K_n$  with colours  $c_1, c_2, \dots, c_k$ .

Now suppose we cannot distinguish between colours  $c_{k-1}$  and  $c_k$ .

In this way we have a colouring of the edges of  $K_n$  with  $k-1$  colours:  $c_1, c_2, \dots, c_{k-2}$  and " $c_{k-1}$  or  $c_k$ ".

By definition of  $R_{k-1}(s_1, s_2, \dots, R(s_{k-1}, s_k))$  we either have  $c_i$ -coloured  $K_{s_i}$  for some  $1 \leq i \leq k-2$  or we have a copy of  $K_{R(s_{k-1}, s_k)}$  coloured with colours  $c_{k-1}$  and  $c_k$ .

But then Ramsey's theorem implies that this contains a  $c_{k+1}$ -coloured  $K_{s_{k-1}}$  or a  $c_k$ -coloured  $K_{s_k}$ .  $\square$ .

$$R_k(s) = R_k(\underbrace{s, \dots, s}_k)$$

13/3/13

$s, t \geq 2$

$R(s, t) = \min \{n : \text{Every colouring of the edges of } K_n \text{ with red and blue contains a red } K_s \text{ or a blue } K_t\}$ .

$$\sqrt{2}^s < R(s, s) \leq \binom{2s-2}{s-1} \leq 4^s$$

Thm 4.6 If  $n \geq s \geq 2$  satisfy

$$\binom{n}{s} \frac{2}{2^{\binom{s}{2}}} < 1$$

then  $R(s, s) > n$ .

Proof: Let  $n, s$  satisfy  $\textcircled{P}$  we need to prove there is a red-blue colouring of the edges of  $K_n$  with no monochromatic  $K_s$ .

Monochromatic  
= "all the  
same colour"

Define a random colouring as follows. Flip independent fair coins for each edge.

If coin is Heads colours edge red.  
" Tails " " blue "

Consider  $X = *$  of mono. copies of  $K_s$ .

Claim  $\mathbb{E}[X] < 1$ .

$\Rightarrow \exists$  a colouring with no mono.  $K_s$ . Hence  $R(s, s) > n$ .

Fix  $A \subset V(K_n)$ .  $|A| = s$ . Let  $X_A = \begin{cases} 1, & A \text{ forms mono } K_s \\ 0, & \text{otherwise} \end{cases}$ .

$$\begin{aligned} P(X_A = 1) &= P\left(\substack{\text{All edges between} \\ \text{vertices in } A \text{ are red}}\right) + P\left(\substack{\text{All edges between} \\ \text{vertices in } A \text{ are blue}}\right) \\ &= \frac{2}{2^{\binom{s}{2}}} \quad (\text{there are } \binom{s}{2} \text{ edges to consider}). \end{aligned}$$

$$\begin{aligned} X &= \sum_{\substack{A \subset V(K_n) \\ |A|=s}} X_A \Rightarrow \mathbb{E}[X] = \sum_{\substack{A \subset V(K_n) \\ |A|=s}} \Pr(X_A = 1) \\ &= \binom{n}{s} \frac{2}{2^{\binom{s}{2}}} < 1 \end{aligned}$$

by  $\oplus$ .  $\square$ .

$$\binom{n}{s} \frac{2}{2^{\binom{s}{2}}} < 1 \quad - \quad (*)$$

- - -  
- / -

Corollary 4.7 If  $s \geq 2$ ,  $R(s, s) \geq 2^{\frac{s}{2}}$ .

Pf:  $R(s, s) = 2$ ,  $R(3, 3) = 6 \geq 2^{\frac{3}{2}}$

Let  $s \geq 4$  and  $n = \lfloor 2^{\frac{s}{2}} \rfloor - 1$  need to show  $\textcircled{*}$  holds  
 $s! > 2^s$ .

$$\binom{n}{s} < \frac{n^s}{2^s} \cdot \frac{2}{2^{\binom{s}{2}}} \leq \frac{2^{\frac{s^2+1}{2}}}{2^{\frac{s^2+s}{2}}} = \frac{1}{2^{\frac{s}{2}-1}} \leq \frac{1}{2} < 1$$

□

$$2^{\frac{s}{2}} \leq R(s, s) \leq \frac{4^s}{s}$$

-/-

Fermat's last theorem: If  $n \geq 3$  there are no non trivial integer solutions to  $x^n + y^n = z^n$ .

Proof (Exercise)

Th<sup>m</sup> 4.9 For every  $n \geq 1$  there exists  $p_n$  such that if  $p \geq p_n$  is prime the congruence  $x^n + y^n \equiv z^n \pmod{p}$  has no non trivial solution.

Th<sup>m</sup> 4.10. (Schur) For any  $k \geq 1$   $\exists S(k)$  such that in any  $k$ -colouring of the integers  $\{1, 2, 3, \dots, S(k)\}$  there is a monochromatic solution to  $u + v = w$  (i.e.  $u, v, w$  all the same colour).

Proof : Recall  $R_k(3) = \min \{n : \text{Every } k\text{-colouring of the edges } K_n \text{ contains a mono. } K_3\}$ .

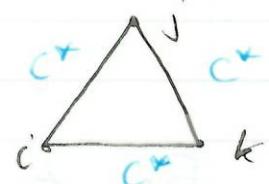
Set  $n = R_k(3)$ .

Consider a  $k$ -colouring of  $\{1, 2, \dots, n\}$  called  $c$ .

Define a  $k$ -colouring of the edges of  $K_n$  (with  $V(K_n) = \{1, 2, \dots, n\}$ ).

For  $ij \in E(K_n), i < j \quad c'(ij) = c(j-i)$

By definition of  $R_k(3)$  there is a mono.  $K_3$ .



Say with vertices  $i < j < k$ .

So  $c'(ij) = c'(ik) = c'(jk) = c^*$

$\Rightarrow c(j-i) = c(k-i) = c(k-j) = c^*$ .  
 $u=j-i, \quad w=k-i, \quad v=k-j$

So  $u+v=w$  and  $c(u) = c(v) = c(w) = c^*$

Hence  $S(k)$  is well-defined and satisfies  
 $S(k) \leq n = R_k(3)$



Lemma 4.11: If  $p$  is prime and  $\mathbb{Z}_p^* = \{1, 2, \dots, p-1\}$ , then  $\mathbb{Z}_p^*$  is a cyclic group. i.e  $\exists g \in \mathbb{Z}_p^*$  st  $\{g^1, g^2, \dots, g^{p-1}\} = \mathbb{Z}_p^*$ .

Example:  $p=7, g=3 : 3, 2, 6, 4, 5, 1$

Thm 4.9:  $\forall n \geq 1 \exists p_n$  st. if  $p \geq p_n$  is prime there are non-trivial solutions to  $x^n + y^n = z^n \pmod{p}$

Pf: Let  $n \geq 1$  be given. Take  $p \geq S(n)$  (given by Schur's Thm) with  $p$  prime.

— — —

$$u+v=w.$$

$$u=g^{m_u+c_u}, w=g^{m_w+c_w} \quad \text{For any } m \exists c \text{ st } m_u=a_u n + c_u$$

$$v=g^{m_v+c_v} \quad 0 \leq c_k \leq n-1.$$

— — —

By the Lemma 4.11  $\exists$  generator  $g$  for  $\mathbb{Z}_p^*$

So for any  $x \in \mathbb{Z}_p^* \exists m$  st  $x = g^m \pmod{p}$ .

Now define a colour for  $x$ , by  $c(x) = i$  where  $m = a_i n + c$ ,  $0 \leq c \leq n-1$ .

So we have an  $n$ -colouring of  $\{1, 2, \dots, p-1\}$

Since  $p-1 \geq S(n)$ .  $\exists u, v, w$  st  $u+v=w$ .  
st  $c(w) = c(v) = c(w) = c$ .

$\therefore u = g^{au^n+c}$ . Let  $x = g^a$ ,  $y = g^a v$ ,  $z = g^a w$ .

$$v = g^{av^n+c} \quad x^n + y^n = ug^{-c} + vg^{-c}$$

$$w = g^{aw^n+c} \quad = g^{-c}(u+v)$$

$$= g^{-c} w$$

$$= g^{aw_0^n} = z^n. \quad \square$$

15/3/13

Thm: (Green + Tao 2009):

The primes contains arbitrarily long APs.

AP = arithmetic progression.

Thm: (Van der Waerden):

$\forall t, k \geq 1 \exists W(t, k)$  such that every  $k$ -colouring of  $[W(t, k)]$  contains a MAP of length  $t$ .

$a, atd, at+2d, \dots, at+(t-1)d$   
AP length  $t$ .

MAP = monochromatic AP.

Proof: Induction on  $t$ .

$$W(1, k) = 1$$

$W(2, k) = k+1$ , since if we colour  $[k+1]$  with  $k$  colours, some colour is used twice  $\Rightarrow$  MAP length 2.

Eg:

① 2 3 4 ⑤ 6 7 8 ⑨

MAP length 3.

1 5 9  
3 6 9 f=9  
7 8 9 9

So now let  $t \geq 3$  suppose  $W(t-1, k)$  exists for all choices of  $k$ .

Claim: For  $1 \leq r \leq k$

$\exists n_r(t, k)$  such that if  $[n_r(t, k)]$  are  $k$ -coloured  $\exists$  either a MAP of length  $t$  or  $\exists r$  CFAPs of length  $t-1$ .

If  $P_1, \dots, P_r$  are MAPs each of a different colour and with the property that the next term in each  $P_i$  is the same, say  $f$ . Then we say  $P_1, \dots, P_r$  are colour-focused APs (CFAPs) with focus  $f$ .

Take the Claim with  $r = k$ . If

we  $k$ -colour  $[n_k(t, k)]$  then either we have a MAP length  $t$  or have  $P_1, \dots, P_k$  CFAPs length  $t-1$ .

So one of the  $P_i$ 's has the same colour as their common focus thus we have a MAP length  $\epsilon$ . Hence can take  $W(\epsilon, k) = n_k(\epsilon, k)$ .

□

Proof of Claim. Induction on  $r$ ,  $r=1$ . Take  $n_r(\epsilon, k) = W(\epsilon-1, k)$ . Now suppose  $2 \leq r \leq k$  and  $n_{r-1}(\epsilon, k)$  exists,  $n = n_{r-1}(\epsilon, k)$ .

Let  $n_r(\epsilon, k) = W(\epsilon-1, k^{2n}) 2n$ .

Take a  $k$ -colouring of  $[W(\epsilon-1, k^{2n}) 2n]$ ,  $n = n_{r-1}(\epsilon, k)$ . Assume there is no MAP length  $\epsilon$ .

$$[W(\epsilon-1, k^{2n}) 2n] = B_1 \cup B_2 \cup \dots \cup B_i \cup \dots B_{W(\epsilon-1, k^{2n})}$$

$$\text{where } B_1 = \{1, \dots, 2n\} \\ B_2 = \{2n+1, \dots, 4n\} \text{ etc...}$$

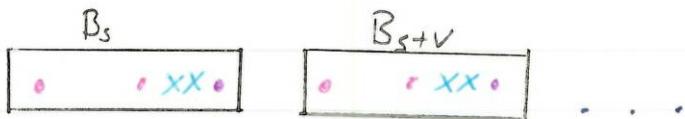
$B_i$  has been coloured with  $k$ -colours,  $\therefore$  there are  $k^{2n}$  different ways a block could be coloured.

By def<sup>n</sup> of  $W(\epsilon-1, k^{2n})$  have  $B_s, B_{s+v}, B_{s+2v}, \dots, B_{s+(\epsilon-2)v}$  identically coloured blocks.

Each  $B_i$  has length  $2n_{r-1}(\epsilon, k)$ .  $\therefore$  Each  $B_s$  contains  $P_1, \dots, P_{r-1}$  CF APs of length  $\epsilon-1$ . together with their joins.

$$P_i = a_i, a_i + d_i, a_i + 2d_i, \dots, a_i + (\epsilon-2)d_i \quad 1 \leq i \leq r-1$$

Common focus is  $f$ .



Since  $B_s, B_{s+v}, \dots, B_{s+(t-2)v}$  are all coloured identically the following are CFAPs.

$$P_i' = a_i, a_i + (d_i + 2nv), a_i + 2(d_i + 2nv), \dots, a_i + (t-2)(d_i + 2nv)$$

Clearly all MAPs length  $t-1$  different colours.  
Focus is  $f + (t-1)2nv$ .

Moreover  $P_r' = f, f+2nv, f+4nv, \dots, f+(t-2)2nv$  is another MAP of length  $t-1$  and a different colour to  $P_1', \dots, P_{t-1}'$ .

So  $P_1', \dots, P_{t-1}'$  are  $r$  colour-focussed APs length  $t-1$  with common focus  $f + (t-1)2nv$ .  
Thus setting  $n_t(r, k) = W(t-1, k^{2n})$  will do  $\square$ .

