

Eine Woche, ein Beispiel

1.14. reminder on Morse theory

Ref:

https://bastian.riek.me/blog/posts/2019/morse_theory/

<https://oldbookstonew.blogspot.com/>

Contains the following books:

[MilnorMT]: Morse Theory by Milnor

[MilnorCC]: Characteristic Classes by Stasheff and Milnor

[MilnorSing]: singular points of complex hypersurfaces by Milnor

[Maxim20]: notes on vanishing cycles and applications

<https://people.math.wisc.edu/~lmaxim/vanishing.pdf>

1. Calculations of index

E.g.

$$f: \mathbb{R}^2 \longrightarrow \mathbb{R}^3 \xrightarrow{g = \|\cdot\|^2} \mathbb{R}$$

$$(u_1, u_2) \longmapsto (u_1, u_2, 1)$$

$$x = (x_1, x_2, x_3) \longmapsto \langle x, x \rangle = x_1^2 + x_2^2 + x_3^2$$

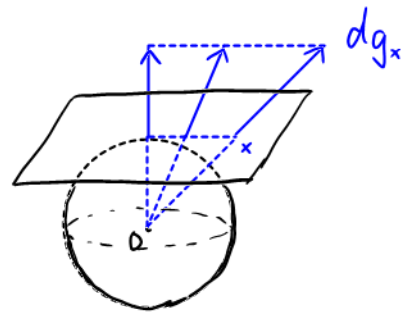
$$x = (u_1, u_2, 1)$$

$$\frac{\partial x}{\partial u_1} = (1, 0, 0)$$

$$\frac{\partial x}{\partial u_2} = (0, 1, 0)$$

$$\frac{\partial^2 x}{\partial u_i \partial u_j} = (0, 0, 0) \quad \forall i, j$$

$$g_{ij} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$



$$g(x) = \langle x, x \rangle$$

$$dg_x = 2x dx = \sum_i 2x_i dx_i$$

$$dg_x(\vec{v}) = 2 \langle x, \vec{v} \rangle \quad \vec{v} \in T_x \mathbb{R}^3$$

$$f(u) = \langle u, u \rangle + 1$$

$$df_u = 2u du = \sum_i 2u_i du_i$$

$$df_u(\vec{v}) = 2 \langle u, \vec{v} \rangle \quad \vec{v} \in T_u \mathbb{R}^2$$

$$f(u_1, u_2) = u_1^2 + u_2^2 + 1$$

$$\frac{\partial f}{\partial u_1} = 2u_1$$

$$\frac{\partial f}{\partial u_2} = 2u_2$$

$$\left(\frac{\partial f}{\partial u_i \partial u_j} \right)_{i,j} = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$$

$$\frac{\partial f}{\partial u_i} = df_u(\vec{e}_i) = 2 \langle u, \vec{e}_i \rangle = 2u_i$$

$$\frac{\partial f}{\partial u_i} = \frac{\partial g}{\partial x} \cdot \frac{\partial x}{\partial u_i} = \sum_j \frac{\partial g}{\partial x_j} \cdot \frac{\partial x_j}{\partial u_i}$$

$$= \sum_j 2x_j \cdot \delta_{ij}$$

$$= 2u_i$$

have one critical pt $(0, 0, 1) \in \mathbb{R}^3$, with Morse index 0.
(attach one 0-cell.)

E.g.

$$f: \mathbb{R}^2 \xrightarrow{x} \mathbb{R}^3 \xrightarrow{g=\|\cdot\|^2} \mathbb{R}$$

$$(u_1, u_2) \mapsto (u_1, u_2, u_1^2 + u_2^2 + t)$$

$$x = (x_1, x_2, x_3) \mapsto \langle x, x \rangle = x_1^2 + x_2^2 + x_3^2$$

$$x = (u_1, u_2, u_1^2 + u_2^2 + t)$$

$$\frac{\partial x}{\partial u_1} = (1, 0, 2u_1)$$

$$\frac{\partial x}{\partial u_2} = (0, 1, 2u_2)$$

$$\frac{\partial^2 x}{\partial u_i \partial u_j} = \begin{pmatrix} (0, 0, 2), & (0, 0, 0) \\ (0, 0, 0), & (0, 0, 2) \end{pmatrix}$$

function
vector $\rightarrow x \cdot \frac{\partial^2 x}{\partial u_i \partial u_j} = \begin{pmatrix} 2x_3 & 0 \\ 0 & 0 \end{pmatrix}$

$$g_{ij} = \begin{pmatrix} 1+4u_1^2 & 4u_1u_2 \\ 4u_1u_2 & 1+4u_2^2 \end{pmatrix}$$



$t=1$ case

$$g(x) = \langle x, x \rangle$$

$$dg_x = 2x dx = \sum_i 2x_i dx_i$$

$$dg_x(\vec{v}) = 2 \langle x, \vec{v} \rangle$$

$$\vec{v} \in T_x \mathbb{R}^3$$

$$f(u) = \langle u, u \rangle + (u_1^2 + u_2^2 + t)^2$$

$$df_u = 2u du + 2(u_1^2 + u_2^2 + t)(2u_1 du_1 + 2u_2 du_2)$$

$$= (2u_1^2 + 2u_2^2 + t + 1)(2u du)$$

$$df_u(\vec{v}) = (2u_1^2 + 2u_2^2 + t + 1) 2 \langle u, \vec{v} \rangle$$

$$\vec{v} \in T_u \mathbb{R}^2$$

$$f(u_1, u_2) = u_1^2 + u_2^2 + (u_1^2 + u_2^2 + t)^2$$

$$\frac{\partial f}{\partial u_1} = 2u_1 + 2(u_1^2 + u_2^2 + t) \cdot 2u_1$$

$$\frac{\partial f}{\partial u_2} = 2u_2 + 2(u_1^2 + u_2^2 + t) \cdot 2u_2$$

$$\left(\frac{\partial^2 f}{\partial u_i \partial u_j} \right)_{i,j} = \begin{pmatrix} 2+12u_1^2+4(u_2^2+t) & 8u_1u_2 \\ 8u_1u_2 & 2+12u_2^2+4(u_1^2+t) \end{pmatrix}$$

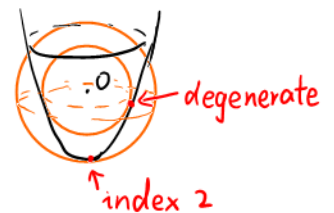
$$\stackrel{u_1=u_2=0}{=} \begin{pmatrix} 2+4t & 0 \\ 0 & 2+4t \end{pmatrix}$$

$$= df_u(\vec{e}_1)$$

$$= df_u(\vec{e}_2)$$

At the critical pt $(0, 0, t) \in \mathbb{R}^3$, one has

$$\begin{cases} \text{index } 0 & t > -\frac{1}{2} \\ \text{degenerated critical pt} & t = -\frac{1}{2} \\ \text{index } 2 & t < -\frac{1}{2} \end{cases}$$



$t < -\frac{1}{2}$ case

E.g. Fix $a \in \mathbb{R}^r$.
For

$$\begin{array}{ccccc} f: \mathbb{R}^k & \xrightarrow{x} & \mathbb{R}^r & \xrightarrow{g = \|\cdot - a\|^2} & \mathbb{R} \\ u & \longmapsto & x(u) & & \\ & & x & \longmapsto & \langle x-a, x-a \rangle \end{array}$$

one gets

$$\begin{aligned} \frac{\partial f}{\partial u_i} &= \left\langle \frac{\partial}{\partial u_i} (x-a), x-a \right\rangle + \left\langle x-a, \frac{\partial}{\partial u_i} (x-a) \right\rangle \\ &= 2 \left\langle x-a, \frac{\partial x}{\partial u_i} \right\rangle \end{aligned}$$

coordinate calculation:

$$\begin{aligned} \frac{\partial f}{\partial u_i} &= \sum_j \frac{\partial g}{\partial x_j} \frac{\partial x_j}{\partial u_i} \\ &= \sum_j 2(x_j - a_j) \frac{\partial x_j}{\partial u_i} \\ &= 2 \left\langle x-a, \frac{\partial x}{\partial u_i} \right\rangle \\ \frac{\partial^2 f}{\partial u_i \partial u_j} &= 2 \left\langle \frac{\partial x}{\partial u_j}, \frac{\partial x}{\partial u_i} \right\rangle + 2 \left\langle x-a, \frac{\partial^2 x}{\partial u_i \partial u_j} \right\rangle \\ &= 2 (g_{ij} - (a-x) \cdot \vec{t}_{ij}) \end{aligned}$$

\uparrow
 $\Phi(u_i, u_j)$

Q: What happens in the cplx case?

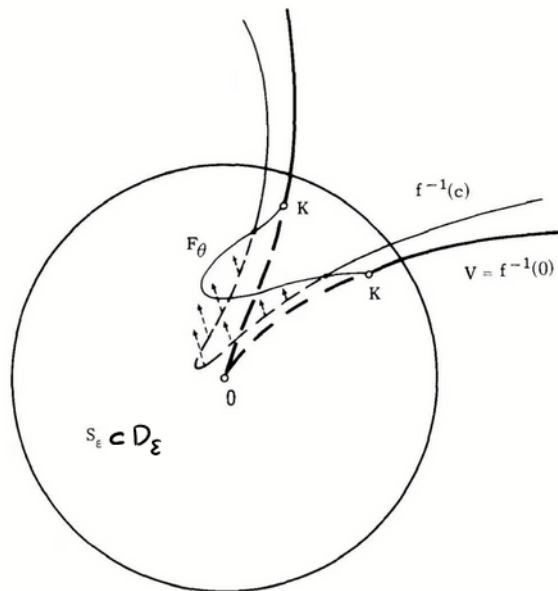


Figure 4.

from [MilnorSing, p54]

$$f^{-1}(c) \cap D_\epsilon \stackrel{\text{diff}}{\cong} \{z \in F_\theta \mid |f(z)| > |c|\} \stackrel{\text{diff}}{\cong}_{|c| \ll 1} F_\theta$$