

# Eine Woche, ein Beispiel

## 11.19. Basic sheaf calculation

Goal: Motivate  $f_*$ ,  $f^*$ ,  $f_!$ ,  $f^!$  by connecting them with (co)homology theory

After story:

- $\rightsquigarrow$  calculation of  $\text{Perv}_\Delta(\mathbb{C}P^1)$
- $\rightsquigarrow$  generalize Morse theory
- $\rightsquigarrow$  Characteristic classes / cycles
- $\rightsquigarrow$  index theorem

Minor advantages from my talk:

- offers examples for derived category.  
(more geometrical compared with examples about quiver reps)
- the first step toward 6-fctor formalism:
  - formal nonsense: adjointness, open-closed, SES(triangles)
  - application: **Riemann-Roch, Serre duality, index theorem (guess)**  
 $\rightsquigarrow$  understand cpt RS, Weil conj, ...
  - glue: open-closed, cellular fibration, Morse theory, ...
  - covering: (étale) descent, ramification, ...  
Three types: closed immersion, submersion, covering.

Usual setting:  $X \in \text{Top}$

$\text{Obj}(\text{Sh}(X)) = \{\text{sheaves of abelian gps}\}$

e.g.  $\text{Sh}(\mathbb{R}^n) = \text{Abel}$

$$\mathbb{Q}_{\mathbb{R}^n} \longleftrightarrow \mathbb{Q}$$

0. sheaf

1.  $f_*$ , skyscraper sheaf & global sections
2.  $f^*$ , constant sheaf & stalks
3.  $Rf_*$  & cohomology
4.  $f_!$  & global sections with cpt supp
5.  $Rf_!$  & cohomology with cpt supp
6.  $f^!$  & homology
- $\otimes$  - & product structure on cohomology
- $\text{Hom}(-, -)$  & Poincaré duality.

Ref:

[Vakil] Vakil, The Rising Sea: Foundations of Algebraic Geometry, 2016

[IHPS] Laurentiu G. Maxim, Intersection Homology & Perverse Sheaves with Applications to Singularities, 2019

## 0. Sheaf

Recall the definition of

- presheaf
- sheaf
- stalk
- global section
- cohomology

$\mathcal{F}$

$\mathcal{F}$

$\mathcal{F}_x$

$$\mathcal{F}(X) = \Gamma(X; \mathcal{F}) = H^0(X; \mathcal{F})$$

$$R^n \Gamma(X; \mathcal{F}) = H^n(X; \mathcal{F})$$

<https://mathoverflow.net/questions/4214/equivalence-of-grothendieck-style-versus-cech-style-sheaf-cohomology>

If  $X$  is paracompact and Hausdorff, Čech cohomology coincides with Grothendieck cohomology for ALL SHEAVES

<https://math.stackexchange.com/questions/1794725/detail-in-the-proof-that-sheaf-cohomology-singular-cohomology>

<https://math.stackexchange.com/questions/3305512/cech-cohomology-and-the-simplicial-cohomology-of-the-nerve-of-an-open-cover>

Recall examples of sheaves:

- complicated  $\left\{ \begin{array}{l} \cdot \mathcal{E}_X: \text{sheaf of cont fcts on } X \\ \cdot \mathcal{O}_X: \text{structure sheaf on } X \\ \cdot \underline{\mathbb{Q}}_X: \text{constant sheaf on } X \end{array} \right. \quad \text{e.g., } X: \text{cplx mfld, scheme, ...}$
- $\text{sky}_p(\mathbb{Q})$ : skyscraper sheaf of  $p \in X$  on  $X$ .

$E_x$ . For  $X = \mathbb{C}$  as cplx mfld,  $x=0$ , compute

$$(\underline{\mathbb{Q}}_X)_x \subseteq (\mathcal{O}_X)_x \subseteq (\mathcal{E}_X)_x \quad \& \quad (\text{sky}_p(\mathbb{Q}))_x.$$

1.  $f_*$ , skyscraper sheaf & global sections

Setting  $X, Y \in \text{Top}$ ,  $\mathcal{F} \in \text{Sh}(Y)$ ,  $f: Y \rightarrow X$  cont

Def.  $f_*\mathcal{F} \in \text{Sh}(X)$  is given by  

$$f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$$

This defines a functor  

$$f_*: \text{Sh}(Y) \rightarrow \text{Sh}(X)$$

$$\begin{array}{ccc} \mathcal{F} & & f_*\mathcal{F} \\ | & & | \\ Y & \xrightarrow{f} & X \\ & & \cup \\ & & U \end{array}$$

E.g. For  $p \in X$ ,  $\iota_p: \{p\} \hookrightarrow X$ ,  $\iota_{p*}\mathbb{Q}_{\{p\}} = \text{sky}_p(\mathbb{Q})$   
 For  $\pi: Y \rightarrow \{*\}$ ,  $\pi_*\mathcal{F} = \mathcal{F}(Y) = \Gamma(Y; \mathcal{F})$

Ex (hard?) For  $j: \mathbb{C} \rightarrow \mathbb{CP}^1$ , compute  $j_*\mathbb{Q}_{\mathbb{C}}$ .

- ☐ It is a constant sheaf on  $\mathbb{CP}^1$ .
- ☐ It is not a constant sheaf on  $\mathbb{CP}^1$ , and  $(j_*\mathbb{Q}_{\mathbb{C}})_{\infty} = \mathbb{Q}$ .
- ☐ It is not a constant sheaf on  $\mathbb{CP}^1$ , and  $(j_*\mathbb{Q}_{\mathbb{C}})_{\infty} = 0$ .
- ☐ All the above is wrong.
- ☐ I don't know, but I don't want to make a wrong choice.

2.  $f^*$ , constant sheaf & stalks

In [Vakil, Chapter 2], it is  $f^{-1}$ , the inverse image functor.

Setting  $X, Y \in \text{Top}$ ,  $\mathcal{F} \in \text{Sh}(X)$ ,  $f: Y \rightarrow X$  cont

Def.  $f^*\mathcal{F} \in \text{Sh}(Y)$  is given by sheafification of

$$f^{*,\text{pre}}\mathcal{F}(U) = \varinjlim_{f(U) \subseteq V} \mathcal{F}(V)$$

This defines a functor

$$f^*: \text{Sh}(X) \longrightarrow \text{Sh}(Y)$$

$$\begin{array}{ccc} f^*\mathcal{F} & & \mathcal{F} \\ | & & | \\ Y & \xrightarrow{f} & X \\ \cup & & \\ U & & \end{array}$$

Recall:

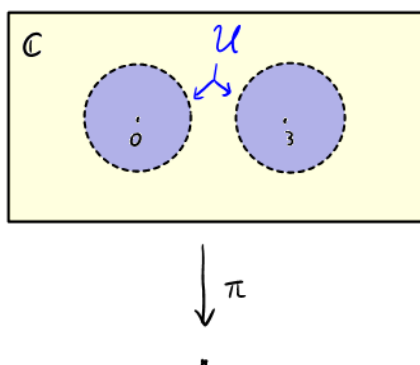
$$\mathcal{F}^{\text{sh}}(U) = \left\{ (x_p)_p \in \prod_{p \in U} \mathcal{F}_p \mid \begin{array}{l} \forall x_0 \in U, \exists U_{x_0} \subseteq U \text{ nbhd of } x_0, \\ s \in \mathcal{F}(U) \text{ st.} \\ s_p = x_p \quad \forall p \in U_{x_0} \end{array} \right\}$$

By definition,  $(\mathcal{F}^{\text{sh}})_p = \mathcal{F}_p$ .

Universal property:

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{f \in \text{Mor}_{\text{PSh}}} & \mathcal{G} \\ \text{sh} \downarrow & \nearrow \exists! f^{\text{sh}} \in \text{Mor}_{\text{Sh}} & \\ \mathcal{F}^{\text{sh}} & & \end{array} \quad \mathcal{G} : \text{sheaf}$$

Ex. For  $\pi: \mathbb{C} \rightarrow \{*\}$ ,  $U = B_1(0) \cup B_1(3)$ , which one is correct:



- ☐  $(\pi^{*,\text{pre}}\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}, \quad (\pi^*\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}.$
- ☐  $(\pi^{*,\text{pre}}\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}^2, \quad (\pi^*\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}.$
- ☐  $(\pi^{*,\text{pre}}\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}, \quad (\pi^*\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}^2.$
- ☐  $(\pi^{*,\text{pre}}\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}^2, \quad (\pi^*\underline{\mathbb{Q}}_{\{*\}})(U) = \mathbb{Q}^2.$
- ☐ All the above is wrong.

E.g. For  $p \in X$ ,  $\iota_p: \{p\} \hookrightarrow X$ ,  $\iota_p^* \mathcal{F} = \mathcal{F}_p$   
 For  $\pi: Y \rightarrow \{*\}$ ,  $\pi^* \underline{\mathcal{Q}}_{\{*\}} = \underline{\mathcal{Q}}_Y$   
 For  $U \subset X$  open,  $j: U \hookrightarrow X$ ,  $j^* \mathcal{F} = \mathcal{F}|_U$   
 People generalize the last notation to arbitrary subset:  
 For  $Y \subset X$ ,  $\iota_Y: Y \hookrightarrow X$ ,  $\iota_Y^* \mathcal{F} \triangleq \mathcal{F}|_Y$

Q: For  $U \subset X$  open, how to express  $\mathcal{F}(U)$  by factors?

A:

$$\begin{array}{ccc} U & \xhookrightarrow{\iota_U} & X \\ \pi_U \searrow & & \swarrow \pi_X \\ & \{*\} & \end{array}$$

$$\mathcal{F}(U) = \pi_{U,*} \underbrace{\iota_U^* \mathcal{F}}_{\mathcal{F}|_U}$$

Prop. One has the adjunction  $f^* \dashv f_*$ , i.e.,

$$\begin{array}{ccc} \mathcal{G} & & \mathcal{F} \\ | & & | \\ Y & \xrightarrow{f} & X \end{array}$$

$$\mathrm{Mor}_{\mathrm{Sh}(Y)}(f^*\mathcal{F}, \mathcal{G}) \cong \mathrm{Mor}_{\mathrm{Sh}(X)}(\mathcal{F}, f_*\mathcal{G}) \quad + \text{ naturality}$$

Hint. [Vakil, 2.7.B] Show that both side give the same information, i.e.,

$$\phi_{uv} \in \mathrm{Mor}_{\mathcal{A}_b}(\mathcal{F}(u), \mathcal{G}(v)) \quad \begin{array}{l} \text{for each pair } (v, u) \\ \text{s.t. } f(v) \subset u \\ + \text{ compatibility} \end{array}$$

Cor.  $f^*$  is right adjoint,  $f_*$  is left adjoint.

Rmk.  $f^*$  is an exact functor.

Hint: exactness can be checked on stalks!

▽ After "polished" (because of the structure sheaf),  $f^*$  is again only right adjoint.

### 3. $Rf_*$ & cohomology

Recall that cohomology is usually a derived object:

- It is (often) computed by resolutions;
- Input  $\mathcal{F}$ , output a complex (before  $\text{Ker}/\text{Im}$  procedure)
- SES induces LES: for

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

one has

$$\hookrightarrow H^2(X; \mathcal{F}) \longrightarrow \dots$$

$$\hookrightarrow H^1(X; \mathcal{F}) \longrightarrow H^1(X; \mathcal{G}) \longrightarrow H^1(X; \mathcal{H})$$

$$0 \longrightarrow H^0(X; \mathcal{F}) \longrightarrow H^0(X; \mathcal{G}) \longrightarrow H^0(X; \mathcal{H})$$

$\pi_* \mathcal{F} \quad \pi_* \mathcal{G} \quad \pi_* \mathcal{H}$

$$\pi: X \longrightarrow \{\ast\}$$

- can be viewed as right derived functor of

$$H^0(X, -) = \Gamma(X, -) = \pi_*$$

one gets

$$H^n(X, -) = R^n \Gamma(X, -) = R^n \pi_*$$

We denote the complex (before the  $\text{Ker}/\text{Im}$  procedure) as

$$R\Gamma(X, -) = R\pi_*$$

up to homotopy equiv & quasi-iso, i.e., in the derived category of  $\{\ast\}$ .

$$\mathcal{D}(X) = \mathcal{D}(\text{Sh}(X)) = \text{"derived category of sheaves over } X\text{"}$$

$$= \text{"complexes of sheaves over } X, \text{ up to } \dots\text{"}$$

$$= \{ \dots \rightarrow \mathcal{F}^{-2} \rightarrow \mathcal{F}^{-1} \rightarrow \mathcal{F}^0 \rightarrow \mathcal{F}^1 \rightarrow \dots \} \hat{=} \{\mathcal{F}^\bullet\}$$

Setting  $X, Y \in \text{Top}$ ,  $\mathcal{F} \in \text{Sh}(Y)$ ,  $f: Y \rightarrow X$  cont

Def.  $Rf_* \mathcal{F} =$  "derived pushforward of  $\mathcal{F}$ "  
 $= f_* \mathcal{I}'$

Here,  $\mathcal{I}'$  is the injective resolution of  $\mathcal{F}$ :  
 $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^0 \rightarrow \mathcal{I}^1 \rightarrow \mathcal{I}^2 \rightarrow \dots$   
 $(\Rightarrow \mathcal{F} \xrightarrow{\text{quasi-iso}} \mathcal{I}')$

$$\begin{array}{ccc} \mathcal{F} & & Rf_* \mathcal{F} \\ | & & | \\ Y & \xrightarrow{f} & X \\ & & \cup \\ & & \mathcal{U} \end{array}$$

This defines a functor  
 $Rf_*: \mathcal{D}(Y) \rightarrow \mathcal{D}(X)$

The derived pushforward is hard to compute.

just like cohomology, and even worse, since we need more information  
 Luckily, the following proposition helps us to cheat a little bit.

Prop. [Vakil, 18.8, p497]

$R^n f_* \mathcal{F}$  is given by the sheafification of  
 $(R^n f_* \mathcal{F})(\mathcal{U}) = H^n(f^{-1}(\mathcal{U}), \mathcal{F}|_{f^{-1}(\mathcal{U})})$

$\uparrow$  sometimes omit

e.g. one can compute the stalk

$$(R^n f_* \mathcal{F})_x = \varinjlim_{x \in \mathcal{U}} H^n(f^{-1}(\mathcal{U}), \mathcal{F}|_{f^{-1}(\mathcal{U})})$$

$\mathcal{F}$   
 $|$

Cor For  $\pi: X \rightarrow \{*\}$ ,  
 $R^n \pi_* \mathcal{F} = H^n(X; \mathcal{F})$

E.g. For  $\pi: \mathbb{CP}^1 \rightarrow \{*\}$ ,

$$R^n \pi_* \mathbb{Q}_{\mathbb{CP}^1} = H^n(\mathbb{CP}^1; \mathbb{Q}) = \begin{cases} \mathbb{Q} & n = 0, 2 \\ 0 & \text{otherwise.} \end{cases}$$

Therefore,  $\leftarrow$  [all objects in  $\mathcal{D}(*)$  are proj, we work over  $\mathbb{Q}$ ]

$$R \pi_* \mathbb{Q}_{\mathbb{CP}^1} = \mathbb{Q} \oplus \mathbb{Q}[-2]$$

$$= [0 \rightarrow \dots \rightarrow \mathbb{Q} \rightarrow 0 \rightarrow \mathbb{Q} \rightarrow 0 \rightarrow \dots]$$

-1      0      1      2      3      4



Ex.

For  $j : \mathbb{C} \longrightarrow \mathbb{CP}^1$ , what is true about  $Rj_* \underline{\mathbb{Q}}_{\mathbb{C}}$ ?

☐  $(R^1 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = 0, \quad (R^2 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = \mathbb{Q}.$

☐  $(R^1 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = \mathbb{Q}, \quad (R^2 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = 0.$

☐  $(R^1 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = 0, \quad (R^2 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = 0.$

☐  $(R^1 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = \mathbb{Q}, \quad (R^2 j_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = \mathbb{Q}.$

☐ What the hell is that?

In fact,  $(Rj_* \underline{\mathbb{Q}}_{\mathbb{C}})_{\infty} = \mathbb{Q} \oplus \mathbb{Q}[-1].$

$i : \mathbb{P}^1 \rightarrow \mathbb{CP}^1$  is exact, so  $Ri_* = i^*.$

4.  $f_!$ , extension by zeros & global sections with cpt supp

$$\begin{array}{ccc} \mathcal{F} & & f_! \mathcal{F} \\ | & & | \\ Y & \xrightarrow{f} & X \\ & & \cup \\ & & \mathcal{U} \end{array}$$

Setting  $X, Y \in \text{Top}$ ,  $\mathcal{F} \in \text{Sh}(Y)$ ,  $f: Y \rightarrow X$  cont

Def.  $f_! \mathcal{F} \in \text{Sh}(X)$  is given by

$$f_! \mathcal{F}(\mathcal{U}) = \{s \in \mathcal{F}(f^{-1}(\mathcal{U})) \mid f|_{\text{supp}(s)}: \text{supp}(s) \rightarrow \mathcal{U} \text{ is proper}\}$$

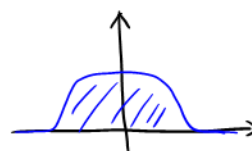
$(f_* \mathcal{F})(\mathcal{U})$

This defines a functor  
 $f_!: \text{Sh}(Y) \rightarrow \text{Sh}(X)$

Recall:  $\text{supp}(s) = \overline{\{x \in f^{-1}(\mathcal{U}) \mid s_x \neq 0\}}$   
 proper: preimage of cpt set is cpt.

Rmk. By def,  $(f_! \mathcal{F})(\mathcal{U}) \subseteq (f_* \mathcal{F})(\mathcal{U})$ , one has natural transformation  $f_! \rightarrow f_*$ .  
 When  $f$  is proper,  $f_! = f_*$ .

E.g. For  $p \in X$ ,  $\iota_p: \{p\} \hookrightarrow X$ ,  $\iota_{p,!} \mathbb{Q}_{\{p\}} = \iota_{p,*} \mathbb{Q}_{\{p\}} = \text{sky}_p(\mathbb{Q})$   
 For  $\pi: Y \rightarrow \{*\}$ ,  $\pi_* \mathcal{F} = \Gamma_c(Y; \mathcal{F}) = H_c^0(Y; \mathcal{F})$   
 cpt<sup>↑</sup> supp fcts on  $Y$



Ex.

Do you know what is  $\Gamma_c(\mathbb{C}, \underline{\mathbb{Q}}_{\mathbb{C}})$  and  $\Gamma_c(\mathbb{CP}^1, \underline{\mathbb{Q}}_{\mathbb{CP}^1})$ ?

☐  $\Gamma_c(\mathbb{C}, \underline{\mathbb{Q}}_{\mathbb{C}}) = \mathbb{Q}$ ,  $\Gamma_c(\mathbb{CP}^1, \underline{\mathbb{Q}}_{\mathbb{CP}^1}) = \mathbb{Q}$ .

☐  $\Gamma_c(\mathbb{C}, \underline{\mathbb{Q}}_{\mathbb{C}}) = \mathbb{Q}$ ,  $\Gamma_c(\mathbb{CP}^1, \underline{\mathbb{Q}}_{\mathbb{CP}^1}) = 0$ .

☐  $\Gamma_c(\mathbb{C}, \underline{\mathbb{Q}}_{\mathbb{C}}) = 0$ ,  $\Gamma_c(\mathbb{CP}^1, \underline{\mathbb{Q}}_{\mathbb{CP}^1}) = \mathbb{Q}$ .

☐  $\Gamma_c(\mathbb{C}, \underline{\mathbb{Q}}_{\mathbb{C}}) = 0$ ,  $\Gamma_c(\mathbb{CP}^1, \underline{\mathbb{Q}}_{\mathbb{CP}^1}) = 0$ .

☐ Could you explain the notation again?

E.g. 4.3. For  $U \xrightarrow{j} X$  open,  $j_! \mathcal{F}$  is the classical "extension by zero":

$$(j_! \mathcal{F})^{\text{pre}}(V) = \begin{cases} \mathcal{F}(U) & V \subseteq U \\ 0 & \text{otherwise} \end{cases}$$

e.p.  $(j_! \mathcal{F})_p = \begin{cases} \mathcal{F}_p & p \in U \\ 0 & p \notin U \end{cases}$

In general, [IHPS, p82]

$$(f_! \mathcal{F})_p = \Gamma_c(f^{-1}(p); \mathcal{F}|_{f^{-1}(p)})$$

This comes from the proper base change formula:

$$L_p^* f_! \mathcal{F} \cong \pi_! L_p^* \mathcal{F}$$

$$\begin{array}{ccc} f^{-1}(p) & \xrightarrow{\tilde{\gamma}_p} & Y \\ \pi \downarrow & & \downarrow f \\ \{p\} & \xrightarrow{\gamma_p} & X \end{array}$$

Rmk. In Eq. 4.3,  $j_!$  is exact. (Check the stalks!)

In general,  $f_!$  is only left adjoint.

e.p. when  $f: Y \rightarrow X$  is proper, then  $f_! = f_*$  is usually not right adjoint. Notice that  $Rf_! \dashv f^!$ , and we don't have  $f_! \dashv f^!$ .

<https://math.stackexchange.com/questions/3132036/direct-image-functor-f-left-exact>  
the same method here argues why  $f_!$  is left exact.

Sidemark:

<https://math.stackexchange.com/questions/4671873/compare-two-definition-of-ri-deri-ved-pushforward-with-proper-support>  
it gives another definition of  $f_!$  in étale case.

[https://en.wikipedia.org/wiki/Borel%E2%80%93Moore\\_homology](https://en.wikipedia.org/wiki/Borel%E2%80%93Moore_homology)  
<https://mathoverflow.net/questions/249342/two-points-of-view-about-borel-moore-homology>