

Topological data analysis

Lecture 3

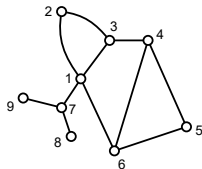
Anton Ayzenberg

ATA Lab, FCS NRU HSE
Noeon Research

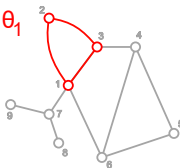
Spring 2024

Faculty of Computer Science / Yandex Data School

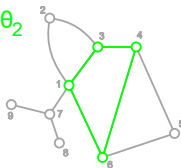
Counting cycles in a graph



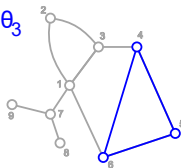
θ_1



θ_2



θ_3



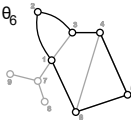
θ_4



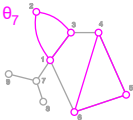
θ_5



θ_6



θ_7



Vector space of cycles

- “The number of cycles” is the number of **basic cycles**.
- Basic cycles = any collection of cycles, from which all other cycles are expressed uniquely as sums over \mathbb{Z}_2 (field with 2 elements).
- In other words, let $Z_1(\Gamma; \mathbb{Z}_2)$ denote the vector space (over \mathbb{Z}_2) of all algebraical cycles.
- Algebraical cycle = collection of edges, such that even number of edges meet in each vertex.
- Then the number of cycles = $\dim Z_1(\Gamma; \mathbb{Z}_2)$.

More abstract characterization

- $C_1(\Gamma; \mathbb{Z}_2) = \mathbb{Z}_2 \langle \text{edges of } \Gamma \rangle$;
- $C_0(\Gamma; \mathbb{Z}_2) = \mathbb{Z}_2 \langle \text{vertices of } \Gamma \rangle$;
- $\partial_1: C_1(\Gamma; \mathbb{Z}_2) \rightarrow C_0(\Gamma; \mathbb{Z}_2)$.
- where $\partial(\{v_1, v_2\}) = v_1 \oplus v_2$.
- Then $\sigma \in C_1(\Gamma; \mathbb{Z}_2)$ is a cycle iff $\partial_1(\sigma) = 0$.
- Therefore $Z_1(\Gamma; \mathbb{Z}_2) = \text{Ker } \partial_1$.
- We define $H_1(\Gamma; \mathbb{Z}_2) = Z_1(\Gamma; \mathbb{Z}_2)$ and **the first Betti number**
 $\beta_1(\Gamma) = \dim H_1(\Gamma; \mathbb{Z}_2)$.

First homology, graph case

- We define $H_1(\Gamma; \mathbb{Z}_2) = Z_1(\Gamma; \mathbb{Z}_2)$ and **the first Betti number** $\beta_1(\Gamma) = \dim H_1(\Gamma; \mathbb{Z}_2)$.
- This number counts cycles in a graph.
- In graph theory it is called **circuit rank**.

Remark

The matrix of $\partial_1: C_1(\Gamma; \mathbb{Z}_2) \rightarrow C_0(\Gamma; \mathbb{Z}_2)$ is the incidence matrix of a graph

$$D_1 = \begin{array}{c|ccc} & \cdots & e & \cdots \\ \hline \vdots & & \vdots & \\ v & \cdots & \varepsilon_{v,e} & \cdots \\ \vdots & & \vdots & \end{array}$$

We have $\beta_1(\Gamma) = \dim \text{Ker } \partial_1 = \# \text{edges} - \text{rk } D_1$. This can be computed by **Gauss algorithm**.

Graph homology from other invariants

We have $\beta_1(\Gamma) = \dim \operatorname{Ker} \partial_1 = \# \text{edges} - \operatorname{rk} D_1$.

Exercise: $\dim \operatorname{Im} \partial_1 (= \operatorname{rk} D_1) = \# \text{vertices} - \# \text{con.components}$.

Corollary

$$\beta_1(\Gamma) = \# \text{edges} - \# \text{vertices} + \# \text{con.components}.$$

Do you recognize the number $\# \text{vertices} - \# \text{con.components}$?

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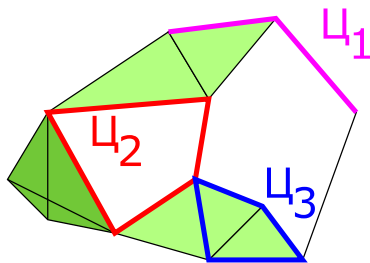
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It follows that $\beta_1(\Gamma)$ equals the number of edges remaining after removal of (any) spanning forest.

First homology of a simp. complex

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	\mathcal{U}_1	\mathcal{U}_2	\mathcal{U}_3
chain?	✓	✓	✓
cycle?	✗	✓	✓
boundary?	✗	✗	✓
homology?	✗	✓	✗

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- $Z_1(K; \mathbb{Z}_2) = \text{Ker } \partial_1: C_1(K; \mathbb{Z}_2) \rightarrow C_0(K; \mathbb{Z}_2)$ the space of 1-cycles.
- $B_1(K; \mathbb{Z}_2) \subset Z_1(K; \mathbb{Z}_2)$: the space generated by boundaries of triangles.

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- $B_1(K; \mathbb{Z}_2) \subset Z_1(K; \mathbb{Z}_2)$: the space generated by boundaries of triangles.
- $B_1(K; \mathbb{Z}_2) = \text{Im } \partial_2: C_2(K; \mathbb{Z}_2) \rightarrow C_1(K; \mathbb{Z}_2)$ where
- $\partial_2(\{v_1, v_2, v_3\}) = \{v_1, v_2\} \oplus \{v_2, v_3\} \oplus \{v_3, v_1\}$ is the boundary of a triangle.
- $H_1(K; \mathbb{Z}_2) = Z_1(K; \mathbb{Z}_2)/B_1(K; \mathbb{Z}_2)$ (the quotient vector space).

Betti number

- $H_1(K; \mathbb{Z}_2) = Z_1(K; \mathbb{Z}_2) / B_1(K; \mathbb{Z}_2)$.
- $\beta_1(K) = \dim H_1(K; \mathbb{Z}_2)$.
- This number counts “the number of 1-dim. holes in K ”.

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The definition is consistent with graphs. For graphs we have no triangles, hence $C_2(\Gamma; \mathbb{Z}_2) = 0$ hence $B_1(\Gamma; \mathbb{Z}_2) = 0$ hence $H_1(\Gamma; \mathbb{Z}_2) = Z_1(\Gamma; \mathbb{Z}_2)$.

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To compute β_1 , one needs $\text{rk } D_1$ (the incidence matrix between edges and vertices) and $\text{rk } D_2$, where D_2 is “the incidence matrix” between triangles and edges.

Exercise: $\beta_1(K) = \# \text{edges} - \text{rk } D_1 - \text{rk } D_2$.

General definitions

Let K be a simplicial complex, and \mathbb{k} be a field.

- $C_j(K; \mathbb{k})$: the \mathbb{k} -vector space spanned freely by j -dimensional simplices of K .
- $C_j(K; \mathbb{k})$ is called the space of j -dim simplicial chains of K .
- $\partial_j: C_j(K; \mathbb{k}) \rightarrow C_{j-1}(K; \mathbb{k})$: j -th boundary operator, also called simplicial differential.
- $\partial_j(\{v_0, v_1, \dots, v_j\}) = \{v_1, \dots, v_j\} - \{v_0, v_2, \dots, v_j\} + \dots + (-1)^j \{v_0, v_1, \dots, v_{j-1}\}.$

Exercise: Prove that $\partial_j \circ \partial_{j+1} = 0$. “There is no boundary of a boundary”.

Boundaries

- $\partial_j(\{v_0, v_1, \dots, v_j\}) = \{v_1, \dots, v_j\} - \{v_0, v_2, \dots, v_j\} + \dots + (-1)^j \{v_0, v_1, \dots, v_{j-1}\}.$
- “There is no boundary of a boundary”.

$$\partial \left(\text{blue diamond} \right) = \text{blue diamond with boundary} \quad \partial \left(\text{cyan line} \right) = \text{cyan dot} - \text{cyan dot} + 1$$

$$\partial \left(\text{blue triangle} \right) = \text{cyan triangle boundary}$$

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- $B_j(K; \mathbb{k}) = \text{Im } \partial_{j+1}$: the vector space of j -dim boundaries.
- Exercise implies $B_j(K; \mathbb{k}) \subseteq Z_j(K; \mathbb{k})$.

Definition

The quotient space $H_j(K; \mathbb{k}) = Z_j(K; \mathbb{k})/B_j(K; \mathbb{k})$ is called the **j -th simplicial homology module** of K .

$$\text{Homology: } H_j(K; \mathbb{k}) = Z_j(K; \mathbb{k}) / B_j(K; \mathbb{k})$$

j -th Betti number

$$\beta_j(K) = \dim H_j(K; \mathbb{k}) = \dim Z_j(K; \mathbb{k}) - \dim B_j(K; \mathbb{k}).$$

- $\beta_j(K)$ counts the number of j -dim holes in K .

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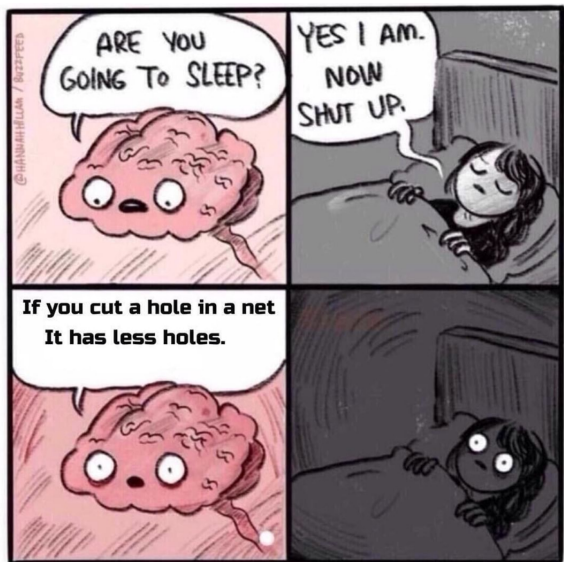
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- $\beta_j(K)$ counts the number of j -dim holes in K .
- **Exercise 1:** $\beta_0(K)$ equals the number of connected components of K .
- **Exercise 2:** $\beta_j(K) = \#j\text{-dim simplices} - \text{rk } D_j - \text{rk } D_{j+1}$, where D_j is the matrix of ∂_j . This is the incidence matrix between j -dim simplices and $(j-1)$ -dim simplices.

Therefore we need $C_{j-1}(K; \mathbb{k})$, $C_j(K; \mathbb{k})$, $C_{j+1}(K; \mathbb{k})$, ∂_j , and ∂_{j+1} to compute $\beta_j(K)$.

Old but instructive meme



Homology is an invariant of homotopy equivalence

- K : a simplicial complex;
- $|K|$: its geometrical realization in some \mathbb{R}^d (a picture).

Fact:

- 1 Homeomorphism. If $|K| \cong |L|$ then $H_j(K; \mathbb{k}) \cong H_j(L; \mathbb{k})$.
- 2 Homotopy equivalence. If $|K| \simeq |L|$ then $H_j(K; \mathbb{k}) \cong H_j(L; \mathbb{k})$.

We will not prove it here, but we will be using this fact.

Examples and calculations

Example 0: if pt is the one-point space, then $\beta_0(\text{pt}) = 1$ and $\beta_j(\text{pt}) = 0$ for $j > 0$.

Homology are invariants

If $X \simeq Y$ then $\beta_j(X) = \beta_j(Y)$. Homology do not depend on triangulations of X and Y .

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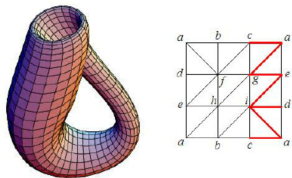
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Example 2: $S^{n-1} = \{x \in \mathbb{R}^n \mid \|x\| = 1\}$. We have $S^{n-1} = \partial D^n$. Exercise: prove that $\beta_j(S^{n-1}) = 1$ if $j = 0$ or $n - 1$, and all other Betti numbers are zero.

Hint: Represent S^{n-1} as the boundary $\partial \Delta^n$ of a simplex. This is a simplicial complex on $n + 1$ vertices whose simplices are $2^{[n+1]} \setminus [n+1]$. Understand the relation between chain spaces of $\partial \Delta^n$ and that of Δ^n .

Examples and calculations



Exercise: Triangulate Klein bottle somehow, and compute its homology with coefficients in \mathbb{Z}_2 , \mathbb{Z}_3 , and \mathbb{Q} .

Exercise: Find $H_j(K; \mathbb{Z}_2)$, where K is the 2-skeleton of 4-dim simplex (simp.comp. on $[5] = \{1, 2, 3, 4, 5\}$, which consists of all subsets of cardinality ≤ 3).

Exercise: Consider the simp.comp. U_3 , whose vertex set is the set of all nonzero vectors of \mathbb{Z}_2^3 (7 vertices in total), and simplices are exactly those subsets, which correspond to linearly independent collections of vectors. Compute $\beta_j(U_3; \mathbb{Z}_2)$.

Künneth formula

Consider the generating function of Betti numbers

$$P(X; t) = \sum_j \beta_j(X) t^j,$$

called **Poincaré polynomials** (or Hilbert–Poincaré polynomials) of a space X .

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Example: $T^n = S^1 \times \cdots \times S^1$ is called the n -dimensional torus. We have $P(T^n; t) = (1 + t)^n$, so $\beta_j(T^n) = \binom{n}{j}$.

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- Then every j -cycle in L is also a j -cycle in K that is $Z_j(L; \mathbb{k}) \subset Z_j(K; \mathbb{k})$.
- And every j -boundary in L is also a j -boundary in K that is $B_j(L; \mathbb{k}) \subset B_j(K; \mathbb{k})$.

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- We have a linear map

$$\begin{array}{ccc} H_j(L; \mathbb{k}) & \xrightarrow{f_{L \subset K}} & H_j(K; \mathbb{k}) \\ \parallel & & \parallel \\ Z_j(L; \mathbb{k})/B_j(L; \mathbb{k}) & \longrightarrow & Z_j(K; \mathbb{k})/B_j(K; \mathbb{k}) \end{array}$$

called **the induced map of inclusion** $L \hookrightarrow K$.

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