



RL and AGI

Why traditional Reinforcement Learning will probably not yield Artificial General Intelligence (S. A. Alexander)

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Summary of the paper [1]

In RL, we (traditionally) use real numbers as rewards. They are constrained, which can prevent learning some tasks. Alternatives exist, which would remove one obstacle toward AGI.

Plan:

- The Archimedean Property
- Examples of tasks we cannot solve
- Possible solutions

The Archimedean Property (Maths!)

Archimedean Property

Let $r > 0$ be any positive real number.

For every real number y , there is some natural number n such that $nr > y$.

Generalized Archimedean Structures

A **significantly-ordered structure** is a collection X with an ordering \ll . For $x_1, x_2 \in X$, we say x_1 is **significantly less** than x_2 if $x_1 \ll x_2$.

A significantly-ordered structure is **Archimedean** if:
for every X -sequence $x_0 \ll x_1 \ll x_2 \ll \dots$, for every $y \in X$, there is some i such that $y \ll x_i$.

Example

$x_0 = 1, x_1 = 2, x_2 = 4, \dots$

I cannot find y greater than any number in the X -sequence

x_0

x_1

x_2

\dots

$y?$

x_i

Examples of tasks with non-Archimedean structures

Known examples (maths again)

Sets

Suppose $x_i \ll x_{i+1} \iff$ “the set x_{i+1} contains all elements of set x_i ”.

There is a sequence x_0, x_1, \dots of sets and $y = \bigcup_{i=0}^{\infty} x_i$.

Thus, $x_0 \ll x_1 \ll \dots \ll y$

Asymptotic complexities

Suppose $x_i \ll x_{i+1} \iff \Theta(x_i) < \Theta(x_{i+1})$.

$x_0 = \Theta(n^0), x_1 = \Theta(n^1), x_2 = \Theta(n^2), \dots$ and $y = \Theta(2^n)$

Speculative examples (not maths) i

Musical beauty

Agent's actions = one for each piano key + “stand and bow”, reward is based on song's beauty.

S_0, S_1, \dots is a sequence of songs where $S_i \ll S_{i+1}$. If the rewards are real-valued, we cannot assign a reward to a “perfect” song y .

Robot surgeon

Actions = medical procedures. Assume there is a sequence of bad procedures B_i , each worse than the previous, but better than killing the patient. We cannot find y the “reward” for killing the patient such that $B_0 \ll B_1 \ll \dots \ll y$.

Delayed gratification

2 buttons in a room: red = +1; when blue is pushed for the i -th time, infinite reward. If we approximate by a large number k , the agent might learn to push red for $k + 1$ times.

Possible solutions

Method 1: Preference-based RL [2]

Instead of giving a real-valued reward for each $\langle \text{State}, \text{Action} \rangle$, we give **preferences** over the set of actions. No need for real numbers, no problem!

Method 2: Rewards with other number systems

The author describes 3 other number systems we can use instead of real numbers:

- Formal Laurent series
- Hyperreals
- Surreals

Method 2.1: Formal Laurent Series

Definition

$$S = \sum_{i=-m}^{\infty} a_i \epsilon^i$$

ϵ^{-1} is a “1st-order infinite number” (bigger than every real)

ϵ^1 is a “1st-order infinitesimal number” (smaller than every real)

ϵ^2 is a “2nd-order infinitesimal number” (smaller than every 1st-order)

Comparison between 2 series

$$A = 5\epsilon^{-1} - 2\epsilon^0 + 3\epsilon^1 + 4\epsilon^2$$

$$B = 5\epsilon^{-1} - 2\epsilon^0 + 1\epsilon^1 + 4\epsilon^2 + 5\epsilon^6$$

$$\implies A > B$$

(But ... they still have limitations)

- Used in the field of *non-standard analysis* (computing on infinite and infinitesimal numbers)
- We need a specific mathematical object to construct them
- The existence was proven ... but we cannot exhibit one
- \implies not really useful in RL

- Every known extension of \mathbb{R} which are non-Archimedean are surreals
(including formal Laurent series and hyperreals)
- Surreals are a union of hierarchy S_a where a is an ordinal number
- We need symbolic machinery to compute these sets

Questions?

References



Samuel Allen Alexander. “The Archimedean trap: Why traditional reinforcement learning will probably not yield AGI”. In: *arXiv preprint arXiv:2002.10221* (2020).



Christian Wirth et al. “A survey of preference-based reinforcement learning methods”. In: *The Journal of Machine Learning Research* 18.1 (2017), pp. 4945–4990.