REPORT 1

# **Predictive Maintainance using Deep Learning**

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1. Introduction

In the era of Industry 4.0, sensors are installed on machinery for real-time data collection, processing, and automation. The collected data availed to build deep learning models for predictive maintenance and condition monitoring. One such sensor is the vibration sensor. They are used to detect several abnormalities in industrial machinery. In this task, a test setup is constructed, which simulates an industrial machine, and the data is collected using a vibration sensor installed upon it. Further, the collected data processed and benefited to build deep learning models and conduct investigations associated with the estimation of speed of rotors and rotating unbalance.

2. Method

The FCN (Fully Convolutional Network) has been a state-of-the-art solution for semantic segmentation, image classification, and object detection. Over the years, it has attained compelling results and acts as an efficient feature extractor[1]. Nowadays, for predictive maintenance and condition monitoring tasks, FCN is used broadly. In this task, the classification models are constructed using FCN. The proposed model architecture mainly has three blocks. Each block has a 1D convolution layer followed by batch normalization and ReLU activation layers. The batch normalization layer helps in improving training speed and generalization. Each convolution block has 64 filters and the convolution is performed by a 1D kernel of size 5. After these three blocks, features are fed to the global average pooling layer, which reduces the number of learnable parameters. Next, its output is passed through the softmax layer to obtain final labels. The architecture of the model is illustrated in figure 1.

$$y = W \circledast x + b$$

$$s = BN(y)$$

$$h = ReLU(s)$$
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The time series data collected from the vibration sensor is 3D. Initially, the data is preprocessed using the StandardScaler method<sup>1</sup> and fed to the model for training. The StandardScaler standardizes features by subtracting the mean and scaling to unit variance. The standard score z of a sample x is calculated using equation 2, where  $\mu$  is the mean and s is the standard deviation.

$$z = \frac{(x - \mu)}{s} \tag{2}$$

<sup>&</sup>lt;sup>1</sup>Scikit-learn documentation provides detailed information about the StandardScalar preprocessing method (Last accessed on 20.03.2023).

The ADAM[2] optimization algorithm is used to train the model, with an initial learning rate of 0.001. The sparse categorical crossentropy loss function is used to compute the training and validation loss. Later, the model is evaluated by sparse categorical accuracy metric during training, validation, and testing. TensorBoard<sup>2</sup> is used to observe the loss and accuracy metrics during training and validation at each epoch. Keras callbacks are used for early stopping, to reduce the learning rate, and to save the best model using monitored quantity, such as validation loss. It is recommended to use tf. data APIs from Tensorflow to construct the input pipeline, as they are efficient and don't overflow the memory.

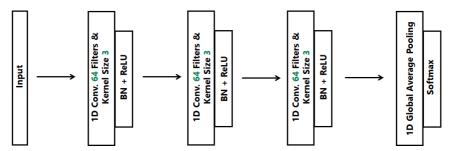


Figure 1. Architecture of the model using FCN for time series classification.

## 3. Experiments

This section describes experiments that were carried out and their outcomes. In the first experiment, the data collected by attaching distinct rotating unbalance is used to train a classification model. In the second experiment, another classification model is trained using the data collected at different speeds.

#### 3.1 Estimation of the Rotating Unbalance

One of the main reasons for vibrations in industrial machinery is the rotating unbalance. If these machines are not maintained, the unbalance causes high vibrations, noise, and ultimately failure of the complete system which creates a hazardous environment inside the industrial plant. In this experiment, a classification model is trained using time series data collected using a vibration sensor placed near the rotor, to which four unbalances of distinct weight are attached. The unbalances are A1 (Aluminum block of 22.35 gms), A2 (Aluminum block of 23.05 gms), A1+A2 (Combined two Aluminum blocks A1 and A2 weighing 45.40 gms in total), and S1 (Steel block of 30.50 gms). The trained Rotating Unbalance Classifier is an optimal fit as learning curves of training and validation losses both decrease and stabilize, as shown in figure 2. On the test dataset, the model is 99.16% accurate. Test results are illustrated by plotting a confusion matrix shown in figure 3.

The trained Rotating Unbalance Classifier is generalized well and optimally fit as learning curves of training and validation losses both decrease and stabilize, shown in figure 2.

#### 3.2 Estimation of the Speed

Speed estimation is one of the vital tasks in condition monitoring to determine the status of industrial machines. Bearing faults, aging spare parts, electrical failures, and other anomalies can decrease the speed of rotors. In this experiment, a classification model is trained using time series data collected at distinct speeds, such as 600 RPM, 800 RMP, 1000 RPM, and 1200 RPM, with block of Rotating Unbalance A1 attached to the rotor. The trained Speed Classifier is an optimal fit as learning curves

 $<sup>^2</sup> Tensor Board is \ Tensor Flow's \ visualization \ toolk it for \ machine \ learning \ experiments \ (Last \ accessed \ on \ 24.03.2023).$ 

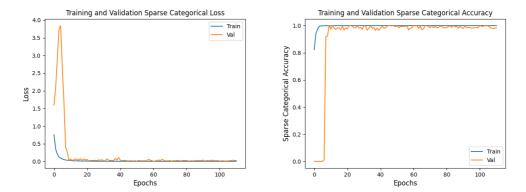


Figure 2. Training and validation learning curves while training Rotating Unbalance Classifier.

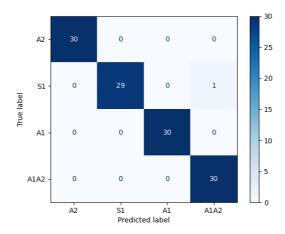


Figure 3. Illustration of the performance of the Rotating Unbalance Classifier on the test dataset using a confusion matrix.

of training and validation losses both decrease and stabilize, shown in figure 4. On the test dataset, the model is 100% accurate. Test results are illustrated by plotting a confusion matrix shown in figure 5.

4. Conclusion

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The primary goal of this task is to draft a proof-of-concept for predictive maintenance and condition monitoring of industrial machines using deep learning models. Therefore, a test setup simulating an industrial machine is constructed. Later, classification models are trained using the time series data collected from the vibration sensor installed upon it. The investigations reveal that the trained Rotating Unbalance Classifier and Speed Classifier are almost 100% accurate on unseen test data.

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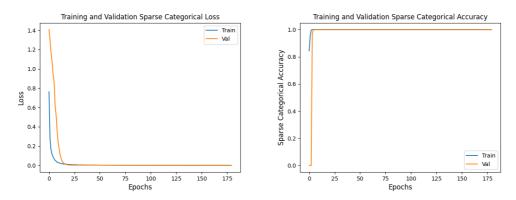


Figure 4. Training and validation learning curves while training Speed Classifier.

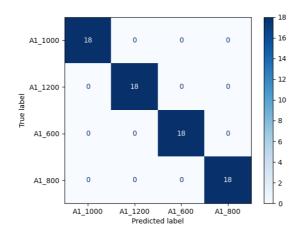


Figure 5. Illustration of the performance of the Speed Classifier on the test dataset using a confusion matrix.

References

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[1] Jonathan Long, Evan Shelhamer, and Trevor Darrell. *Fully Convolutional Networks for Semantic Segmentation*. 2014. DOI: 10.48550/ARXIV.1411.4038. URL: https://arxiv.org/abs/1411.4038.

[2] Diederik P. Kingma and Jimmy Ba. *Adam: A Method for Stochastic Optimization*. 2017. arXiv: 1412.6980 [cs.LG].