# CS2040S Data Structures and Algorithms

Welcome!



### How to Search!

### Algorithm Analysis

- Big-O Notation
- Model of computation

#### Searching

#### Peak Finding

- 1-dimension
- 2-dimensions

### Admin

#### **Zoom Chat**

60% of respondants said chat was distracting

< 20% of respondants said chat was useful

#### Conclusion:

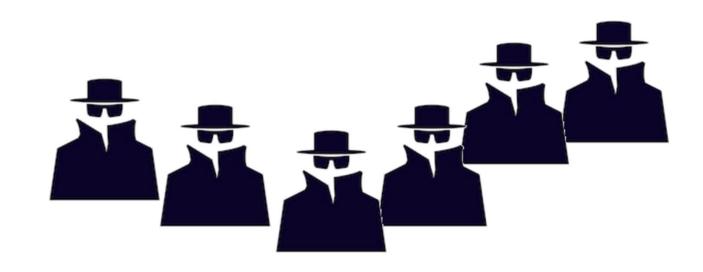
Please do not chat during class on Zoom.

If possible, I will leave it enabled for urgent questions, if you want to ask me a question, and for chatting before and after class.

But if there is random chatter, I will disable.

### Puzzle of the Week (Contest)

There are N students in CS2040S and K of them are spies. Your job is to identify all the spies.



### Puzzle of the Week (Contest) \_\_\_

#### To catch a spy:





You can send some students on a mission.



If all K spies are on the mission, they will meet.

You learn if the meeting occurred or not.



You learn nothing else.





### Puzzle of the Week (Contest) \_\_\_\_

#### **Advanced version:**





Each student you send on a mission costs 1 SGD.









### Puzzle of the Week

#### Find:



The best strategy you can to catch all the spies. (Write a program!)









### Puzzle of the Week

#### Prove:



#### Assume:

N = 1,024 and K = 17.









You need at least 122 missions to identify all the spies.

#### **Announcements**

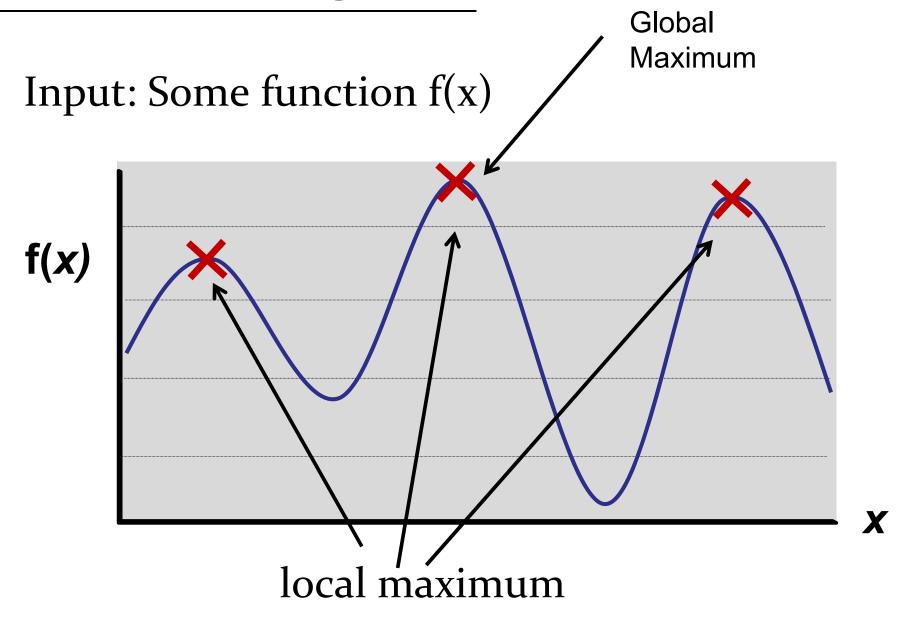
#### Competition:

#### Find the spies!

#### Open on Coursemology this week:

- Optional.
- Write a program to implement your best spy catching strategy.
- We will test it on various spy rings.
- Fewest missions / fewest SGD wins!
- (And a small bonus for participating.)

### Peak Finding



### Peak Finding

### Global Maximum for Optimization problems:

- Find a good solution to a problem.
- Find a design that uses less energy.
- Find a way to make more money.
- Find a good scenic viewpoint.
- Etc.

#### Why local maximum?

- Finds a *good enough* solution.
- Local maxima are close to the global maximum?
- Much, much faster.

### Global Maximum

Input: Array A[o..n-1]

Output: global maximum element in A

How long to find a global maximum?

Input: Array A[o..n-1]

Output: maximum element in A

- 1.  $O(\log n)$
- O(n)
- 3.  $O(n \log n)$
- 4.  $O(n^2)$
- 5.  $O(2^n)$

### Global Maximum

Unsorted array: A [0..n-1]

```
7 4 9 2 11 6 23 4 28 8 17 5
```

```
FindMax(A,n)

max = A[1]

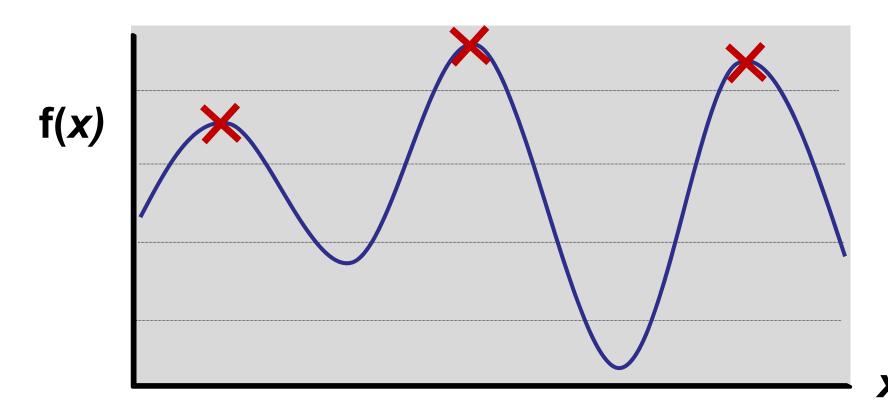
for i = 1 to n do:
    if (A[i]>max) then max=A[i]
```

Time Complexity: O(n)

Too slow!

### Peak (Local Maximum) Finding

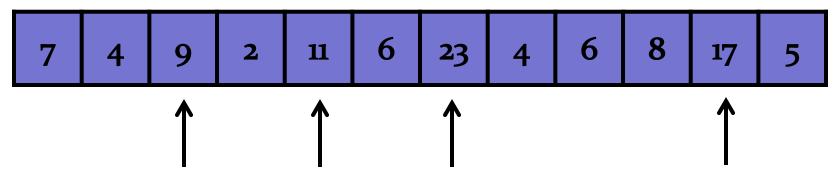
Input: Some function f(x)



Output: A local maximum

### Peak Finding

Input: Some function array A[o..n-1]



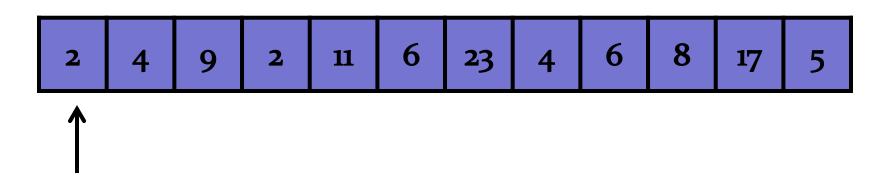
Output: a local maximum in A

$$A[i-1] \le A[i]$$
 and  $A[i+1] \le A[i]$ 

Assume that

$$A[-1] = A[n] = -MAX_INT$$

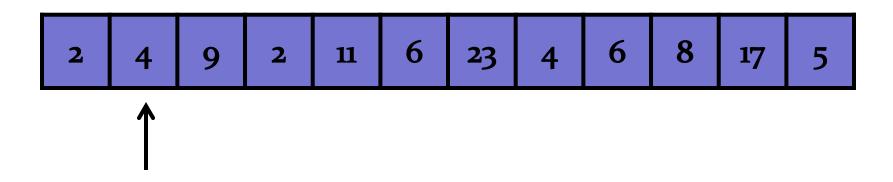
Input: Some array A [0..n-1]



#### **FindPeak**

- Start from A[1]
- Examine every element
- Stop when you find a peak.

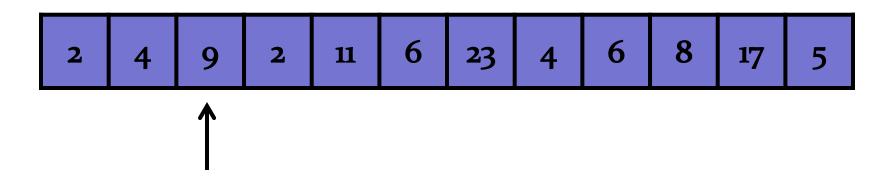
Input: Some array A [0..n-1]



#### **FindPeak**

- Start from A[1]
- Examine every element
- Stop when you find a peak.

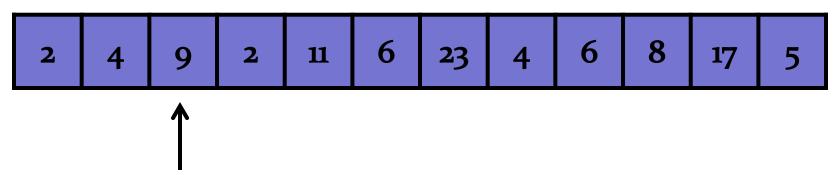
Input: Some array A [0..n-1]



#### **FindPeak**

- Start from A[1]
- Examine every element
- Stop when you find a peak.

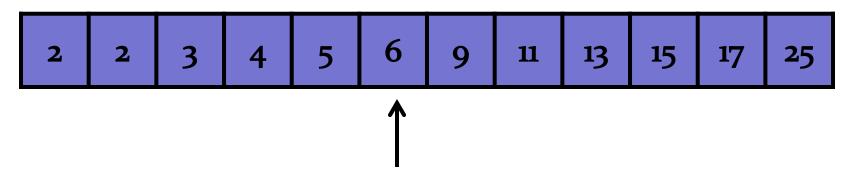
Input: Some array A [ 0 . . n−1 ]



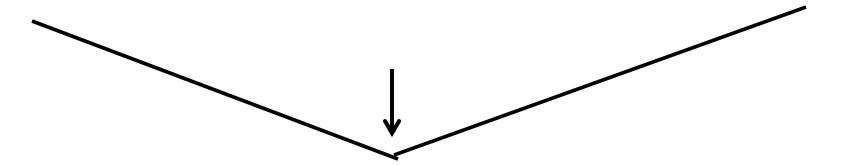
Running time: n

Simple improvement?

Input: Some array A [ 0 . . n−1 ]

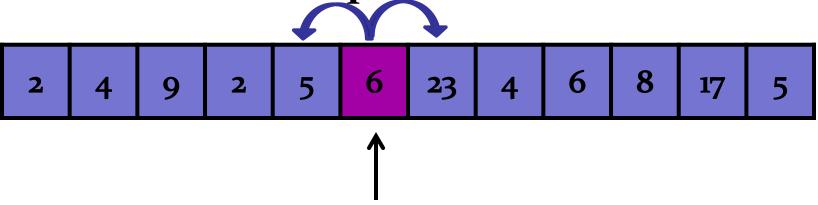


Start in the middle!



Worst-case: n/2

#### **Reduce-and-Conquer**

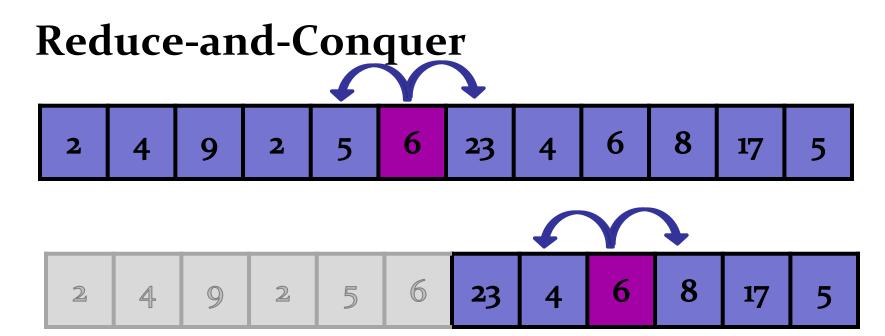


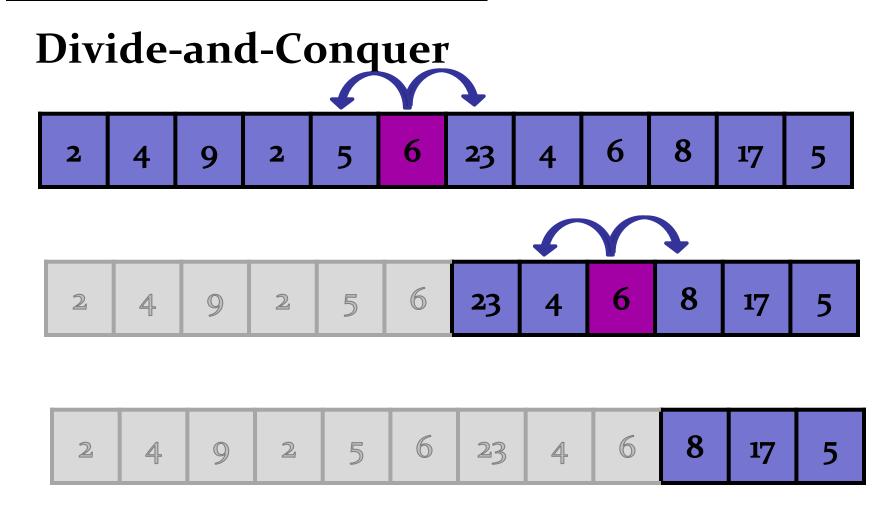
Start in the middle

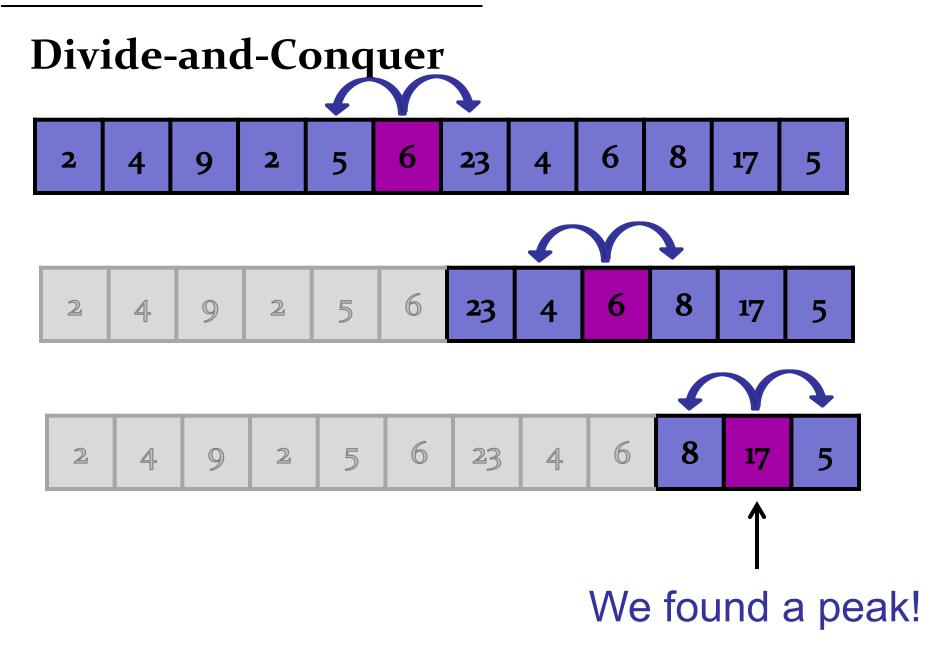
$$5 < 6? \leftarrow OK$$
 $6 > 23? \leftarrow NO$ 

Recurse!

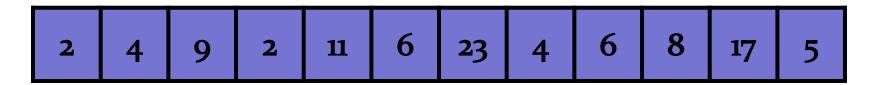








Input: Some array A[o..n-1]



FindPeak(A, n)

if A[n/2] is a peak then return n/2

else if A[n/2+1] > A[n/2] then

Search for peak in right half.

else if A[n/2-1] > A[n/2] then

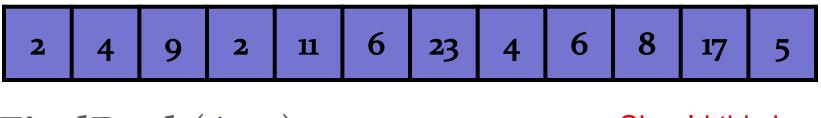
Search for peak in left half.

Input: Some array A[o..n-1]

```
FindPeak(A, n)
    if A[n/2] is a peak then return n/2
    else if A[n/2+1] > A[n/2] then
        FindPeak (A[n/2+1..n], n/2)
    else if A[n/2-1] > A[n/2] then
        FindPeak (A[1..n/2-1], n/2)
```

# is open

### Is this correct?



```
FindPeak(A, n)

if A[n/2] is a peak then return n/2

else if A[n/2+1] > A[n/2] then

FindPeak (A[n/2+1..n], n/2)

else if A[n/2-1] > A[n/2] then

Missing else condition?

FindPeak (A[1..n/2-1], n/2)
```

Should this be >=? No: recurse on the larger half.

```
FindPeak(A, n)

if A[n/2] is a peak then return n/2

else if A[n/2+1] > A[n/2] then

FindPeak (A[n/2+1..n], n/2)

else if A[n/2-1] > A[n/2] then

FindPeak (A[1..n/2-1], n/2)
```

Missing else condition? No: else we have found a peak!

```
FindPeak(A, n)
    if A[n/2] is a peak then return n/2
    else if A[n/2+1] > A[n/2] then
        FindPeak (A[n/2+1..n], n/2)
    else if A[n/2-1] > A[n/2] then
        FindPeak (A[1..n/2-1], n/2)
```

Missing else condition? No: else we have found a peak!

```
FindPeak(A, n)
    if A[n/2+1] > A[n/2] then
        FindPeak (A[n/2+1..n], n/2)
    else if A[n/2-1] > A[n/2] then
        FindPeak (A[1..n/2-1], n/2)
    else A[n/2] is a peak; return n/2
```

Key property → invariant:

If we recurse in the right half, then there exists a peak in the right half.



#### Key property:

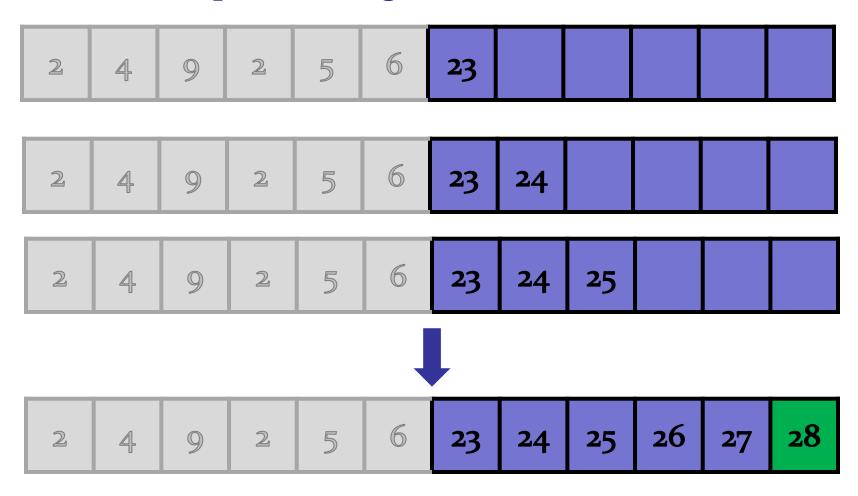
 If we recurse in the right half, then there exists a peak in the right half.

#### **Explanation:**

- Assume there is "no peak" in the right half.
- Given: A[middle] < A[middle + 1]</li>
- Since no peaks, A[middle+1] < A[middle+2]</li>
- Since no peaks, A[middle+2] < A[middle+3]</li>
- **-** ...
- Since no peaks, A[n-1] < A[n]  $\leftarrow$  PEAK!!

Recurse on right half, since 23 > 6.

Assume no peaks in right half.



#### Key property:

 If we recurse in the right half, then there exists a peak in the right half.

#### **Explanation:**

- Assume there is "no peak" in the right half.
- Given: A[middle] < A[middle + 1]</li>
- Since no peaks, A[middle+1] < A[middle+2]</li>
- Since no peaks, A[middle+2] < A[middle+3]</li>
- **-** ...
- Since no peaks, A[n-1] < A[n]  $\leftarrow$  PEAK!!

#### Key property:

 If we recurse in the right half, then there exists a peak in the right half.

#### Induction:

- Assume there is "no peak" in the right half.
- Inductive hypothesis:

```
For all (j > middle): A[j-1] < j
```

#### Key property:

 If we recurse in the right half, then there exists a peak in the right half.

#### Induction:

- Assume there is "no peak" in the right half.
- Inductive hypothesis:

```
For all (j > middle): A[j-1] < j
```

- Base case: j = middle+1

Because we recursed on the right half, we know that A[middle] < A[middle + 1].

#### Key property:

 If we recurse in the right half, then there exists a peak in the right half.

#### **Induction:**

- Assume there is "no peak" in the right half.
- Inductive hypothesis:

For all (j > middle): A[j-1] < j

Induction: j > middle+1

By induction,  $A[j-2] \le A[j-1]$ .

If A[j-1] >= A[j], then A[j-1] is a peak  $\rightarrow$  contradiction.

#### Key property:

 If we recurse in the right half, then there exists a peak in the right half.

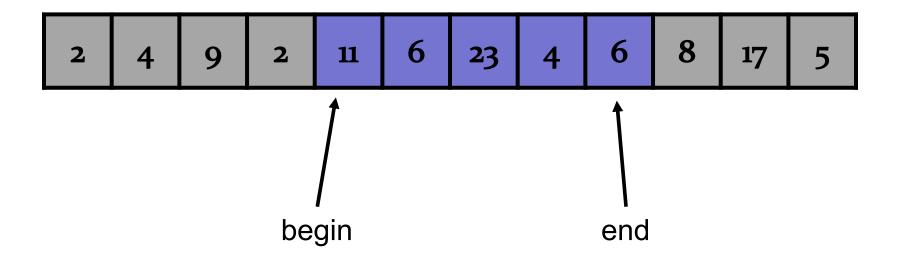
#### Induction:

- Assume there is "no peak" in the right half.
- Inductive hypothesis:

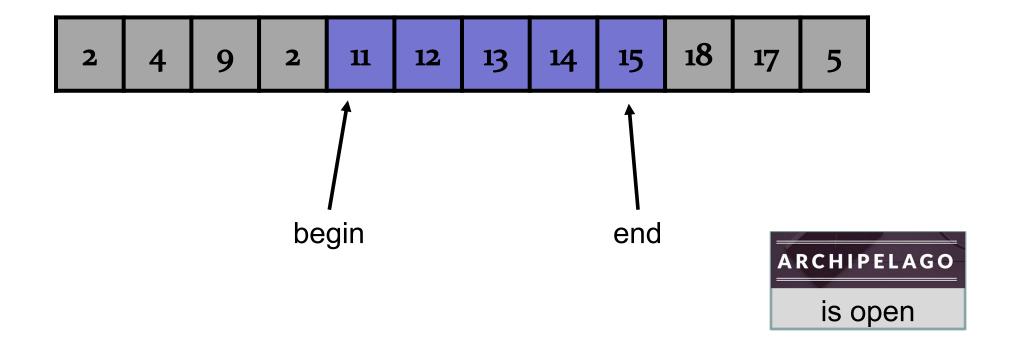
```
For all (j > middle): A[j-1] < j
```

- Conclusion: A[n-2] < A[n-1]
  - $\rightarrow$  A[n-1] is a peak  $\rightarrow$  contradiction.

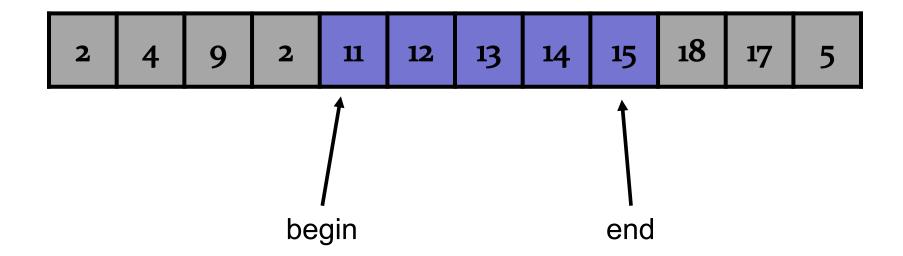
#### **Correctness:**



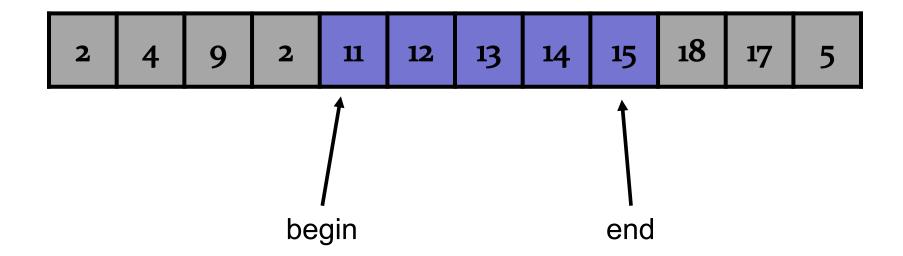
Is this good enough to prove the algorithm works?



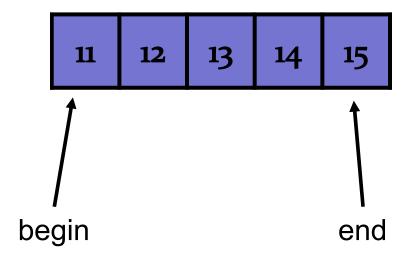
Not good enough to prove the algorithm works!



Not good enough to prove the algorithm works!

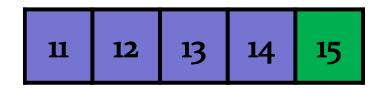


Not good enough to prove the algorithm works!



Not good enough to prove the algorithm works!

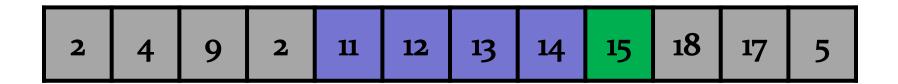
There exists a peak in the range [begin, end].



Run peak finding algorithm > returns 15

Not good enough to prove the algorithm works!

There exists a peak in the range [begin, end].



Run peak finding algorithm > returns 15

But 15 is NOT a peak!

If the recursive call finds a peak, is it still a peak after the recursive call returns?

#### **Correctness:**

1. There exists a peak in the range [begin, end].

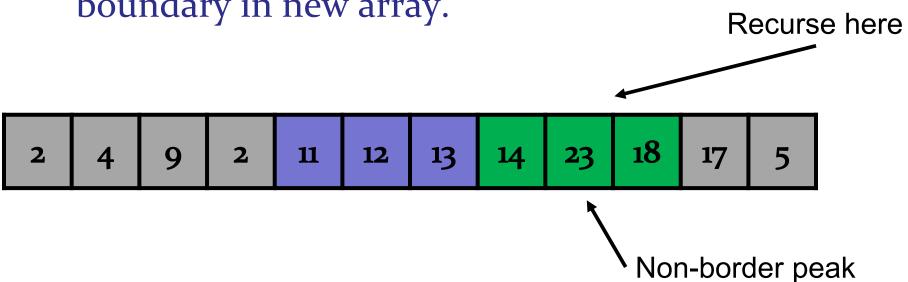
2. Every peak in[begin, end] is a peak in [1, n].

#### Key property:

- If we recurse in the right half, then every peak in the right half is a peak in the array.

Proof: use the invariant (inductively)

Immediately true for every peak that is not at a boundary in new array.

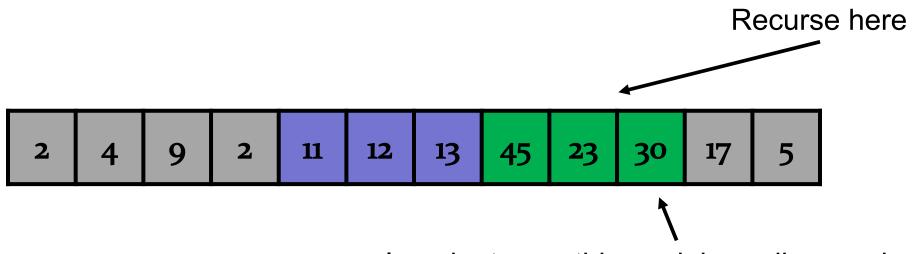


#### Key property:

 If we recurse in the right half, then every peak in the right half is a peak in the array.

Proof: use the invariant (inductively)

- True by invariant for current array.



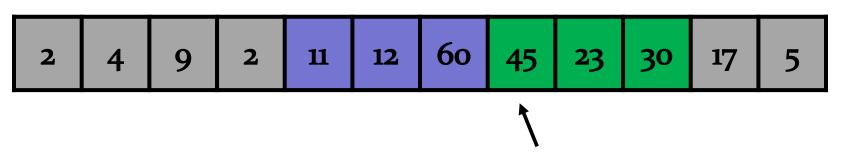
Invariant says this peak is *really* a peak.

#### Key property:

- If we recurse in the right half, then every peak in the right half is a peak in the array.

#### Proof: use the invariant (inductively)

- If 45 is a peak in the new array but not the old array, then we would not recurse on the right side.
  - → If left edge is a peak in new array, then it is a peak.



If 45 is a peak in right half and we recurse on right half, then it is a peak.

#### **Correctness:**

1. There exists a peak in the range [begin, end].

2. Every peak in[begin, end] is a peak in [1, n].



#### Running time?



FindPeak(A, n)

if A[n/2] is a peak then return n/2

**else if** A[n/2+1] > A[n/2] **then** 

Search for peak in right half.

else if A[n/2-1] > A[n/2] then

Search for peak in left half.

#### **Running time:**

Time to find a peak in an array of size n

$$T(n) = T(n/2) + \theta(1)$$

Recursion

Time for comparing A[n/2] with neighbors

#### **Running time:**

Time for comparing A[n/2] with neighbors

$$T(n) = T(n/2) + \theta(1)$$

Unrolling the recurrence:

$$T(n) = \theta(1) + \theta(1) + ... + \theta(1) = O(\log n)$$

Recursion

#### Unrolling the recurrence:

$$\frac{\text{Rule:}}{\text{T(X)} = \text{T(X/2)} + \text{O(1)}}$$

$$T(n) = T(n/2) + \theta(1)$$

$$= T(n/4) + \theta(1) + \theta(1)$$

$$= T(n/8) + \theta(1) + \theta(1) + \theta(1)$$
....

• • •

$$= T(1) + \theta(1) + ... + \theta(1) =$$

$$= \theta(1) + \theta(1) + ... + \theta(1) =$$

#### Unrolling the recurrence:

$$\frac{\text{Rule:}}{T(X) = T(X/2) + O(1)}$$

$$T(n) = T(n/2) + \theta(1)$$

$$= T(n/4) + \theta(1) + \theta(1)$$

$$= T(n/8) + \theta(1) + \theta(1) + \theta(1)$$
...

• • •

$$= T(1) + \theta(1) + ... + \theta(1) =$$

$$= \theta(1) + \theta(1) + ... + \theta(1) =$$

Number of times you can divide n by 2 until you reach 1.

# How many times can you divide a number *n* in half before you reach 1?

- 1. n/4
- 2.  $\sqrt{n}$
- $\checkmark$ 3.  $\log_2(n)$ 
  - 4.  $\arctan(1+\sqrt{5}/2n)$
  - 5. I don't know.

How many times can you divide a number  $\boldsymbol{n}$  in half before you reach 1?

$$2 \times 2 \times \dots \times 2 = 2^{\log(n)} = n$$

$$\log(n)$$

Note: I always assume  $\log = \log_2$  $O(\log_2 n) = O(\log n)$ 

#### **Running time:**

Time to find a peak in an array of size n

 $T(n) = T(n/2) + \theta(1)$ 

Time for comparing A[n/2] with neighbors

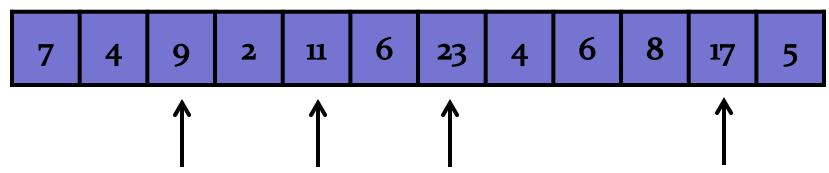
Unrolling the recurrence:

$$T(n) = \theta(1) + \theta(1) + \dots + \theta(1) = O(\log n)$$

$$\log(n)$$

Recursion

Input: Some array A[o..n-1]



Output: a local maximum in A

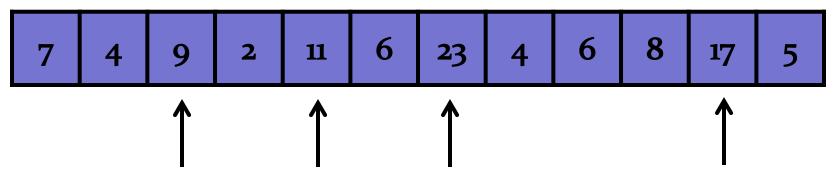
$$A[i-1] < A[i]$$
 and  $A[i+1] < A[i]$ 

Assume that

$$A[-1] = A[n] = -MAX_INT$$



Input: Some array A[o..n-1]



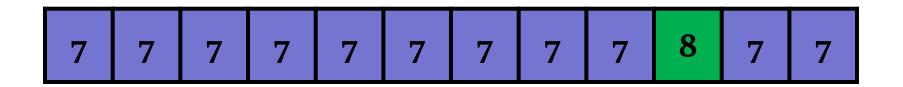
Output: a local maximum in A

$$A[i-1] < A[i]$$
 and  $A[i+1] < A[i]$ 

Can we find *steep* peaks efficiently (in O(log n) time) using the same approach?



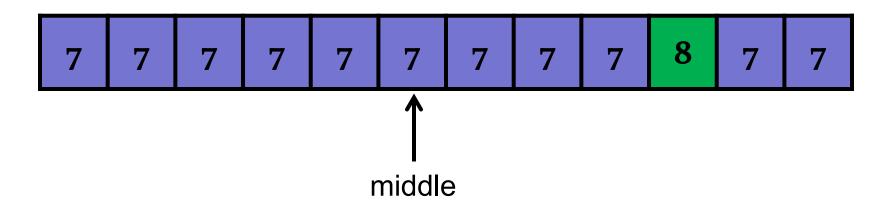
Problematic example:



#### **Inuitively:**

There are n different positions to search for the steep peak, and no hints as to where it might be found!

Problematic example:



Which side does the algorithm recurse on?

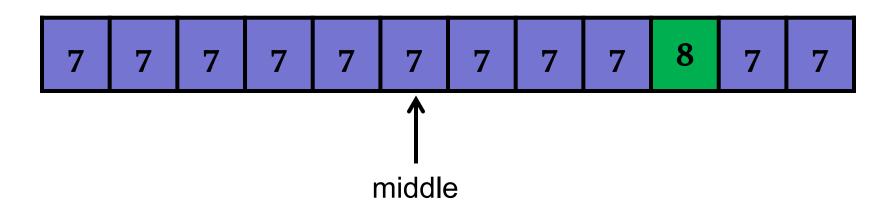
# Regular Peaks vs Steep Peaks

Missing else condition? We have found a peak, but not a steep peak!

```
FindPeak(A, n)
    if A[n/2+1] > A[n/2] then
        FindPeak (A[n/2+1..n], n/2)
    else if A[n/2-1] > A[n/2] then
        FindPeak (A[1..n/2-1], n/2)
    else A[n/2] is a peak; return n/2
```



Problematic example:

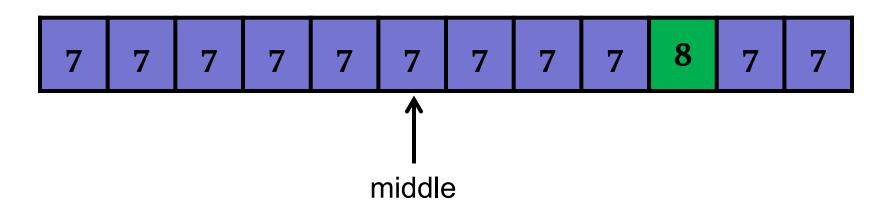


What happens if you recurse on both sides?

• • •

if 
$$A[n/2-1] == A[n/2] == A[n/2+1]$$
 then  
Recurse on left & right sides

Problematic example:



What happens if you recurse on both sides?

Recurrence: T(n) = 2T(n/2) + O(1)

# Steep Peak Finding

#### Unrolling the recurrence:

$$\frac{\text{Rule:}}{T(X) = 2T(X/2) + 1}$$

$$T(n) = 2T(n/2) + 1$$

$$= 2(2T(n/4) + 1) + 1 = 4T(n/4) + 2 + 1$$

$$= 8T(n/8) + 4 + 2 + 1$$

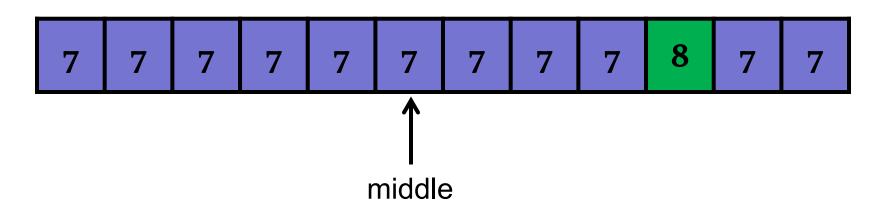
$$= 16T(n/16) + 8 + 4 + 2 + 1$$

• • •

$$= nT(1) + n/2 + n/4 + n/8 + ... + 1 =$$

$$= \theta(n)$$

Problematic example:



What happens if you recurse on both sides?

Recurrence: T(n) = 2T(n/2) + O(1) = O(n)

### Summary

#### Peak finding algorithm:

Key idea: Binary Search

Running time: O(log n)

#### Onwards...

The 2<sup>nd</sup> dimension!

