

Wiki MAT240 2011F MAT240 Algebra I (with assignments)

TA hours BA 6283 Wednesday 3-4PM and Thursday 10-11AM

'Question site' LaTeX

Sets A set is a collection of objects. If the set  $X$  contains  $x$ , we write

$$x \in X$$

$$\text{eg. } \textcircled{1} \{ \text{red, green, blue} \} = P$$

$$\textcircled{2} \{ 0, 1 \} = F_2$$

$$\textcircled{3} \mathbb{Z} = \{ \dots, -1, 0, 1, \dots \}$$

$$\textcircled{4} \mathbb{Q} = \left\{ \frac{p}{q} \mid p \in \mathbb{Z} \text{ and } q \in \mathbb{Z} - \{0\} \right\}$$

\textcircled{5}  $\mathbb{R}$  = the set of real numbers.

\textcircled{6}  $\mathbb{C}$  = the complex numbers.

### Operations on sets

\textcircled{1} If  $X, Y$  are sets, their union is defined to be  $X \cup Y = \{ z \mid z \in X \text{ or } z \in Y \}$

$$\text{eg. } P \cup F_2 = \{ 0, 1, \text{red, green, blue} \}$$

\textcircled{2} their intersection is defined to be  $X \cap Y$

$$X \cap Y = \{ z \mid z \in X \text{ and } z \in Y \}$$

$$\text{eg. } P \cap F_2 = \emptyset$$

$$Q \cap \mathbb{Z} = \mathbb{Z}$$

element  
of set of sum

### Maps between Sets

Definition: A map (or function) from the set  $X$  to the set  $Y$  assigns to each point in  $X$  a unique value in  $Y$

Notation  $f: X \rightarrow Y$

$$x \rightarrow f(x)$$

e.g. \textcircled{1} map from  $\mathbb{R}$  to  $\mathbb{R}$   $f: \mathbb{R} \rightarrow \mathbb{R}$  is a real-valued function on  $\mathbb{R}$   
 e.g.  $f(x) = x^2 + x$

\textcircled{2} RGB color is a map  $P = \{\text{red, green, blue}\} \xrightarrow{\text{color}} \{0, 1, 2, \dots, 255\}$

$$C: P \rightarrow \{0, 1, 2, \dots, 255\}$$

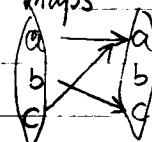
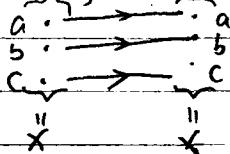
Let's focus on maps between finite sets.

$$\text{e.g. } \{:\} \rightarrow \{:\}$$

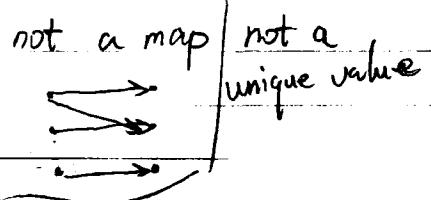
$$\textcircled{2} \{:\} \rightarrow \{:\}$$

$$\textcircled{1} \{:\} \rightarrow \{:\}$$

Let  $X = \{a, b, c\}$  example of maps  $f: X \rightarrow X$



is a map  
but not an injection



not a map  
unique value

Definition: A map  $f: X \rightarrow Y$  is an injection when  $f(x) = f(y)$  only when  $x = y$ .

$$(x=y) \Rightarrow (f(x)=f(y))$$

$(x=y) \Leftarrow (f(x)=f(y))$  is ~~not~~ only true for  $f$  is injection

Definition: A map  $f: X \rightarrow Y$  is an surjection when every possible value in  $Y$  is assigned to some element in  $X$ .

in other words, for all elements  $y \in Y$ , there exists an element  $x \in X$  such that  $f(x) = y$

such that

Definition:  $f: X \rightarrow Y$  is a bijection, when it's both injection & surjection

$$\text{e.g. } \begin{array}{c} \longleftarrow \\ \rightarrow \\ \rightarrow \end{array}$$

$f$  finds  $\equiv \overline{X} \times \overline{Y}$

Bijection  $X \rightarrow X$  = Permutation

$\times \times$

$X = \{a, b, c\}$     $Y = \{d, e\}$

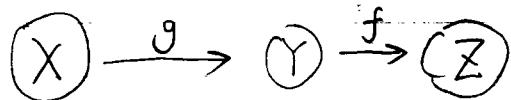
not injection  
not surjection

surjective  
not injective

there can be no injections  $X \rightarrow Y$

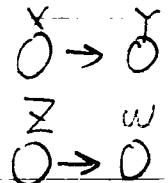
### Composition of maps

If  $f: Y \rightarrow Z$  and  $g: X \rightarrow Y$  are maps of sets



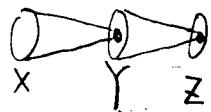
so we define a new map call the composition of  $f$  and  $g$ :  
 $f \circ g : X \rightarrow Z$  defined by  $(f \circ g)(x) = f(g(x)) \in Z$

Warning if  $f: X \rightarrow Y$     $\begin{matrix} X \\ \circlearrowleft \\ Y \end{matrix}$   
 $g: Z \rightarrow W$     $\begin{matrix} Z \\ \circlearrowleft \\ W \\ \circlearrowleft \\ W \end{matrix}$



no composition is defined

Need the domain of  $f$  must agree ~~the~~ codomain of  $g$ .



Example of composition:

$$X = \{a, b, c\}$$

$$\left( \begin{matrix} \bullet & \times \\ \circlearrowleft & \circlearrowright \\ \xrightarrow[g]{x} x & \xrightarrow[f]{x} x \end{matrix} \right) = \begin{matrix} \bullet & \times \\ \times & \times \\ \times & \times \end{matrix}$$

Theorem: the composition of bijections is a bijection.

Proof: Suppose  $f$  and  $g$  are bijections  $X \rightarrow \cancel{X} X$

I must show  $f \circ g$  is also a bijection

i.e. must show  $f \circ g$  is ① injective

② surjective

① must check that if  $f(g(x)) = f(g(y))$  then  $x = y$ .

② must  $(f \circ g)$  is surjective

i.e. every  $y \in X$  is ~~covered~~ covered, i.e. there exists  $x \in X$  such that  $f(g(x)) = y$

for ① focus on  $f$ . first, it is an ~~inj.~~ injection

$$\text{so } f(g(x)) = f(g(y)) \Rightarrow g(x) = g(y) \quad (\text{f inj.})$$

now focus on  $g$  it is an injection

$$g(x) = g(y) \Rightarrow x = y \quad (g \text{ is inj.})$$

done showing  $f \circ g$  inj.

② since  $f$  is a surj.  $\exists$  some  $z \in X$

such that  $f(z) = y$ . But  $g$  also a surjection

so  $\exists x \in X$ , such that  $g(x) = z$  Then  $f(g(x)) = y$

~~STA~~ MAT240

Sheldon Axler, Linear algebra done right  
2nd ed.

TUT RM 117 Next week

What is a vector space?

物理

A scalar is a numerical quantity in  $\mathbb{R}$ ,  $\mathbb{C}$ , or any field.

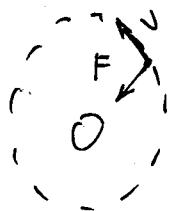
These model mass, length, energy etc.

A vector has "magnitude" and "direction".

物理

• Force

• Velocity



spin of an electron

"spin state" is a superposition of two classical states up & down

$$|\psi\rangle = \alpha|up\rangle + \beta|down\rangle$$

a vector quantity

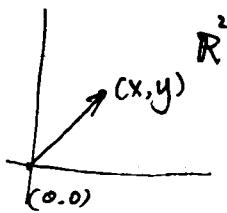
numbers in  $\mathbb{C}$

$$\frac{|\alpha|^2}{|\alpha|^2 + |\beta|^2} \text{ up}$$

properties

Key properties of vectors

e.g.  $\mathbb{R}^2 = \{ \text{ordered pairs } (x, y) \text{ of real numbers} \}$



(0,0) is called the origin

it allows any point  $(x,y)$  to be viewed as an arrow  $(0,0) \rightarrow (x,y)$

$\mathbb{R}^2$  has two operations:

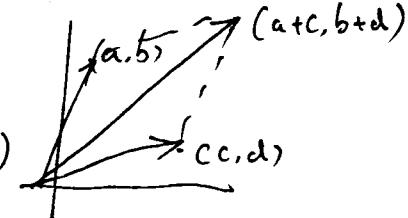
① Vector addition :  ~~$\langle a, b \rangle + \langle c, d \rangle$~~

$$(a, b) + (c, d) = \cancel{(a+b)}$$

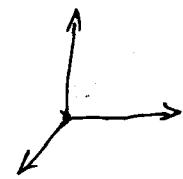
$$(a+c, b+d) \quad (c, d)$$

definition of plus operation

② scalar multiplication if  $\lambda \in \mathbb{R}$ , then  
define  $\lambda \cdot (a, b) = (\lambda a, \lambda b)$



example ②  $\mathbb{R}^3 = \{(x, y, z) : x, y, z \in \mathbb{R}\}$



$$(a, b, c) + (d, e, f) = (a+d, b+e, c+f)$$

$$\lambda(a, b, c) = (\lambda a, \lambda b, \lambda c)$$

### ③ n-dimensional space $\mathbb{R}^n$

$$\mathbb{R}^n = \{(x_1, x_2, \dots, x_n) : x_i \in \mathbb{R}, \text{ for all } i\}$$

n-tuple on list of n#s.  
type

④ If  $X$  is any set, define  $\mathbb{R}^X = \{\text{function from } X \text{ to } \mathbb{R}\}$   
this also has two operations  $\text{and } \lambda \in \mathbb{R}$

If  $f, g$  are functions  $X \rightarrow \mathbb{R}$  such  
then  $(f+g)(x)$  is the function that

$$(f+g)(x) = f(x) + g(x) \quad \text{for all } x \in X$$

$\lambda \cdot f$  is the function st.  $(\lambda f)(x) = \lambda(f(x))$  for all  $x \in X$

note:  $\mathbb{R}^X$  also has a "zero" or origin

i.e. the special element

$$\begin{pmatrix} \underline{0} : X \rightarrow \mathbb{R} \\ 0(x) = 0 \text{ for all } x \in X \end{pmatrix}$$

Definition: A vector space over  $\mathbb{R}$  numbers is: scalar multiplication  
a set  $V$  and two operations  $+$  and  $\cdot$ .

$\uparrow$  vector addition

$+$  takes a pair  $u, v \in V$  and gives  $u+v \in V$

$\cdot$  takes a pair  $\lambda \in \mathbb{R}$  and  $v \in V$  and give  $\lambda \cdot v \in V$

SUCH THAT

for  $+$ :  $u+v=v+u$  (commutative law)

:  $(u+v)+w = u+(v+w)$  (associative law)

: want a special element  $0 \in V$

"zero" s.t.  $0+v=v$  for all  $v \in V$

: want opposite of any vector: for any  $v$ , there is a w s.t.  $v+w=0$

$$\text{for } \bullet : \begin{cases} (ab) \cdot v = a(b \cdot v) \\ 1 \cdot v = v \end{cases}$$

$$\text{Both : } \begin{cases} (a+b) \cdot v = av + bv \\ a \cdot (u+v) = au + av \end{cases}$$

Sept. 20<sup>th</sup>

1. understand definition
2. linear subspace
3. New fields of numbers

Simple facts about a vector space

- ① If  $u+v=w+v$  then  $u=w$
- ② ~~the additive identity is unique.~~
- ③ the opposite of vector is unique
- ④  $0 \cdot \vec{v} = \vec{0}$
- ⑤  $(-1) \cdot \vec{v}$  is the opposite of  $v$ .
- ⑥  $\lambda \cdot \vec{0} = \vec{0}$

Proofs:

$$① \text{ If } \vec{u} + \vec{v} = \vec{w} + \vec{v}$$

take the opposite  $\vec{x}$  of  $\vec{v}$  (i.e.  $\vec{x} + \vec{v} = \vec{0}$ ), add it to both sides

$$(\vec{u} + \vec{v}) + \vec{x} = (\vec{w} + \vec{v}) + \vec{x}$$

use associative law  $\Rightarrow \vec{u} + (\vec{v} + \vec{x}) = \vec{w} + (\vec{v} + \vec{x})$

$$\vec{u} + \vec{0} = \vec{w} + \vec{0}$$

$\vec{0}$  is an additive ~~identity~~ identity

$$\vec{u} = \vec{w}$$

② Suppose  $\vec{0}$  and  $\vec{0}'$  are two additive identities.

Since  $\vec{0}$  is an additive identity,  $\vec{0} + \vec{v} = \vec{v}$

since  $\vec{0}'$  is an additive identity,  $\vec{0}' + \vec{v} = \vec{v}$

$$\Rightarrow \vec{0} + \vec{v} = \vec{0}' + \vec{v}$$

$$\Rightarrow \vec{0} = \vec{0}'$$

4168481290  
1100256518  
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③ Suppose  $\vec{y}, \vec{y}'$  are two opposites of  $\vec{x} \in V$

This means  $\vec{y} + \vec{x} = \vec{0}$  and  $\vec{y}' + \vec{x} = \vec{0}$

but then  $\vec{y} + \vec{x} = \vec{y}' + \vec{x}$

by ①  $\Rightarrow \vec{y} = \vec{y}'$

④ Start with  ~~$\vec{0}$~~   $\vec{0} \cdot \vec{v}$

$$\text{Ex } (\alpha + b) \cdot \vec{v} = \alpha \vec{v} + b \vec{v}$$

$$\text{since } \vec{0} + \vec{0} = \vec{0}$$

$$\vec{0} \cdot \vec{v} = (\vec{0} + \vec{0}) \cdot \vec{v} = \vec{0} \cdot \vec{v} + \cancel{\vec{0} \cdot \vec{v}}$$

(using distributive law)

since  $\vec{0}$  is an additive identity

$$\vec{0} + \vec{0} \cdot \vec{v} = \vec{0} \cdot \vec{v} = \vec{0} \cdot \vec{v} + \vec{0} \cdot \vec{v}$$

use ① to cancel

$$\vec{0} = \vec{0} \cdot \vec{v}$$

$$⑤ \quad \cancel{(-1) \cdot \vec{v} + 1 \cdot \vec{v} = \vec{0}}$$

using

$$\text{by the distributive law } (-1+1)\vec{v} = \vec{0}$$

$$\Rightarrow \vec{0} \cdot \vec{v} = \vec{0}$$

$$④ \rightarrow \vec{0} \cdot \vec{v} = \vec{0}$$

$$\Rightarrow (-1+1)\vec{v} = \vec{0}$$

$$\text{distributive } \Rightarrow (-1) \cdot \vec{v} + 1 \cdot \vec{v} = \vec{0}$$

$$\Rightarrow (-1) \cdot \vec{v} + \vec{v} = \vec{0}$$

## SUBSPACE

A subspace  $U$  of a vector space  $V$  is a subset  $U \subset V$  which itself is a ~~version~~ vector space, using the operations from  $V$ .

In other words

①  $\vec{0}$  must be in  $U$

② if  $u, v \in U$  then  $u+v \in U$

③ any scalar multiple of ~~the~~  $u \in U$ , must lie in  $U$ .

The rest of the axioms already hold in  $V$ , so they hold in all  $U$ .

## Subspaces examples

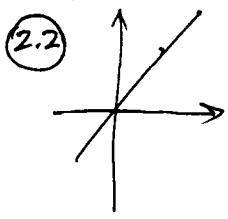
① Any vector ~~space~~ space  $V$  has two special spaces  $\{ \vec{V}, \{ \vec{0} \} \}$

② In  $\mathbb{R}^2$   $\{(0,0), \mathbb{R}^2\}$

②.1 consider  $\mathbb{Z} \subseteq \mathbb{R}^2$

(from  $m, n$  integers)

this satisfies ① & ② but not ③ NOT SUBSPACE



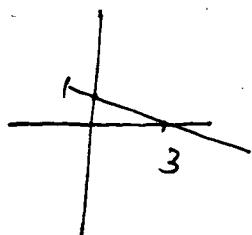
(2.2) All subspaces are either  $\{(0,0)\}$ ,  $\mathbb{R}^2$ , or a line through  $(0,0)$  (= line through origin  
 $= \{(x,y) : ax+by=0\}$

↳ homogeneous linear equation

e.g.  $a=1, b=0 \quad \{x=0\}$   
 $a=0, b=1 \quad \{y=0\}$

(2.3) what if ~~the other~~  $\{(x,y) : ax+by=3\}$

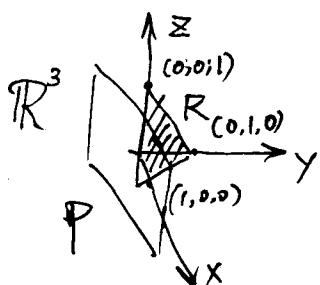
inhomogeneous linear equation



$a=1$   
 $b=3 \quad x+3y=3$

NOT SUBSPACE

(3)



(3.1)  $\{(x,y,z) : x+y+z=0\} = P$  is a subspace

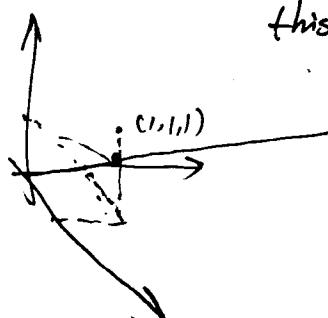
(3.2)  $\{(x,y,z) : x+y+z=1\} = R$  not a subspace

Remark about ex. 2, 3.

There's a geometric way of thinking about linear equations.

$\{(x,y,z) : ax+by+cz=d, a,b,c,d \text{ are real numbers}$   
defines a plane in  $V=\mathbb{R}^3$  and it gives a subspace  
exactly, when  $d=0$

(3.3)  $\{t \cdot (1,1,1) : t \in \mathbb{R}\}$  and it defines a subspace.  
this is a LINE through  $\vec{0}$



FACT all subspaces ~~of  $\mathbb{R}^3$~~  of  $\mathbb{R}^3$  are:

- $\{0\}$
- line through  $\vec{0}$
- plane through  $\vec{0}$
- $\mathbb{R}^3$

## Fields

Definition: A field is a number system "like  $\mathbb{R}$ "

$$\begin{array}{lll} \text{• 2 operations} & +, x & + \quad x \\ \text{such that} & \text{commutative} & a+b=b+a \quad ab=ba \end{array}$$

$$\exists \text{ identity elements} \begin{array}{lll} \text{associative} & (a+b)+c=a+(b+c) & (ab)c=a(bc) \\ 0, 1 & 0+b=b & 1 \times a=a \end{array}$$

inverse      for all  $a, \exists b, ab=1$ ; for all  $a \neq 0, \exists b$  st.  $ab=1$

final axiom distributive law  $\Leftrightarrow ab+c = ab + ac$

focus on  $\mathbb{R}, \mathbb{C}$ , finite field  $\mathbb{F}_p$

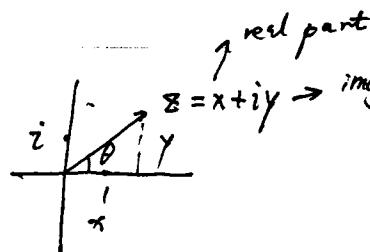
$$\text{Ex 1. } \mathbb{C} = \{a+bi : a, b \in \mathbb{R}\} \quad (\text{same as } \mathbb{R}^2)$$

$$\text{operations } \oplus (a+bi) + (c+di) = (a+c) + (b+d)i$$

$$\otimes (a+bi)(c+di) = ac - bd + (ad + bc)i$$

$$i^2 = -1$$

Tips from trigonometry for  $\mathbb{C}$



A complex number  $z = x + iy$  has a length  $r = \sqrt{x^2 + y^2}$  and an argument  $\theta$

$$\text{and } z = r e^{i\theta} \quad \text{where } e^{i\theta} = \cos\theta + i\sin\theta$$

$$z = r \cdot \cos\theta + r \cdot i\sin\theta$$

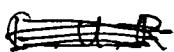


Advantage of writing  $z = r e^{i\theta}$  is that multiplication is easy:

$$\left. \begin{array}{l} z_1 = r_1 e^{i\theta_1} \\ z_2 = r_2 e^{i\theta_2} \end{array} \right\} \Rightarrow z_1 z_2 = r_1 r_2 e^{i(\theta_1 + \theta_2)}$$



## Fields



C  
U  
R  
U  
Q

Recall  $\mathbb{F}$  has  $+$

- commut & associate
- distributive law
- distinct 0, 1 identities
- inverse (for  $x, o$  not invertible)

smallest field

+	0	1
0	0	1
1	1	0

$$\mathbb{F}_2 = \{0, 1\}$$

x	0	1
0	0	0
1	0	1

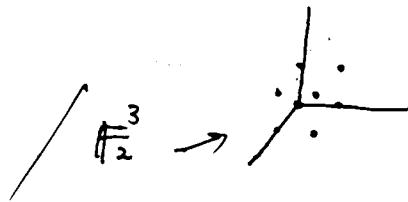
a particular field  
 $l+l=0$

interpretation: 0 means "false" 1 means "true"

$+$   $\leftrightarrow$  "XOR"

$\times$   $\leftrightarrow$  "AND"

What do  $\mathbb{F}_2$ -vectors look like?



$$(\mathbb{F}_2)^n = \{(a, b) : a \in \mathbb{F}_2, b \in \mathbb{F}_2\}$$

• •

○ •

Example 1

$(\mathbb{F}_2)^n$  is a vector space with  $2^n$  elements

 can be described by a vector  $(a_1, \dots, a_n) \in \mathbb{F}_2^n$

$(\mathbb{F}_2)^2$  what do subspaces look like?

- |                  |               |
|------------------|---------------|
| (0, 0)           | zero subspace |
| (0, 0), (0, 1)   |               |
| (0, 0), (1, 0)   |               |
| (0, 0), (1, 1)   |               |
| $\mathbb{F}_2^2$ | full space    |

$\left. \begin{array}{l} \text{three lines in } \mathbb{F}_2^2 \\ \text{only } 5 \text{ subspaces} \end{array} \right\}$

Example 2.  $\mathbb{F}_3 = \{0, 1, 2\}$  "modular arithmetic mod 3"  
"throw out mult. of 3"

+	0, 1, 2	x	0, 1, 2
0	0, 1, 2	0	0, 0, 0
1	1, 2, 0	1	0, 1, 2
2	2, 0, 1	2	0, 2, 1

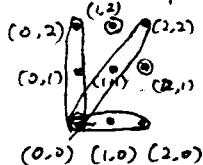
$$\frac{1}{2} = 2$$

vector spaces over  $\mathbb{F}_3$  (example)

$$(\mathbb{F}_3)^n = \{(a_1, \dots, a_n) : a_i \in \mathbb{F}_3 \text{ for all } i\}$$

$$\lambda \in \mathbb{F}_3 \quad \lambda \cdot (a_1, \dots, a_n) = (\lambda a_1, \dots, \lambda a_n)$$

$$(\mathbb{F}_3)^2 = \text{pairs } (x, y), x, y \in \mathbb{F}_3$$



$(\mathbb{F}_3)^2$  has only 9  $\neq$  vectors

Subspaces of  $(\mathbb{F}_3)^2$

$$\textcircled{1} \{ (0,0) \}$$

$$\textcircled{2} \{ (0,0), (1,0), (2,0) \}$$

$$\textcircled{3} \{ (0,0), (1,1), (2,2) \}$$

$$\textcircled{4} \{ (0,0), (2,1) \} \quad \text{if } \{ (0,0), (2,1) \} \text{ is a subspace, then } 2 \cdot (2,1) \text{ also in subspace}$$

$\downarrow$   
 ~~$\{ (0,0), (2,1), (1,2) \}$~~  may not "look" like  
 a line but it is  
 a subspace.

$$2 \cdot (2,1) = (1,2)$$

$(1,2), (2,1), (0,0)$  form a line?

$$\textcircled{5} \{ (0,0), (0,1), (0,2) \}$$

$$\textcircled{6} \mathbb{F}_3^2$$

Ex 3.

$$\mathbb{F}_4 = \{0, 1, 2, 3\}$$

mod 4 arithmetic

+	0 1 2 3
0	0 1 2 3
1	1 2 3 0
2	2 3 0 1
3	3 0 1 2

+	0 1 2 3
0	0 0 0 0
1	0 1 2 3
2	0 2 0 2
3	0 3 2 1

shows there's no inverse for 2  
 FAIL  
 $\Downarrow$   
 NOT A FIELD

Why does  $\mathbb{F}_4$  fail? "4 is not prime"  $4=2 \times 2 \neq 0$

if 2 has ~~an~~ inverse  $0=2 \cdot 2 \cdot 2 \Rightarrow 2=0$

Proposition 13 is a vector space of  $\mathbb{R}^n$

Proof.  $\checkmark$

~~E Close~~

Proposition V vector space

$U_1, \dots, U_m \subseteq V$  subspaces

Then  $U_1 + \dots + U_n$  also a subspace of  $V$

Proof: Is the zero vector  $0$  an element of  $U_1, U_2, \dots, U_n$

$$0 = \underbrace{0 + \dots + 0}_n$$

$$0 \in U_1, \dots, U_n$$

closed under addition:  $\omega, \theta \in U_1 + \dots + U_n$

write  $\theta = u_1 + \dots + u_n$  where  $u_i \in U_1, \dots, u_n \in U_n$   
 $\omega = u'_1 + \dots + u'_n$   $u'_i \in U_1, \dots, u'_n \in U_n$

$$\Rightarrow \theta + \omega = (u_1 + u'_1) + (u_2 + u'_2) + \dots + (u_n + u'_n)$$

$\downarrow$   
in  $U_1$     in  $U_2$     ...    in  $U_n$

$u_i + u'_i \in U_i$  because  $U_i$  is a subspace of  $V$  and  $u_i, u'_i \in U_i$

$$\Rightarrow \theta + \omega \in U_1 + \dots + U_n$$

Closed under scalar multi

$$\lambda \in \mathbb{R}, \theta \in U_1 + \dots + U_n$$

$$\theta = u_1 + u_2 + \dots + u_n, u_1 \in U_1, u_2 \in U_2, \dots, u_n \in U_n$$

$$\lambda \theta = (\lambda u_1) + (\lambda u_2) + \dots + (\lambda u_n)$$

$\lambda u_i \in U_i$ , because  $U_i$  is a subspace of  $V$

etc.

$$\lambda \theta \in U_1 + \dots + U_n$$

$\therefore U_1 + U_2 + \dots + U_n$  is a subspace of  $V$ .

MAT240 Sept. 27<sup>th</sup>

## Building Subspaces

Recall for subsets

$$\begin{bmatrix} U_1 \cap U_2 \\ U_1 \cup U_2 \end{bmatrix} \Rightarrow \text{two new subsets.}$$

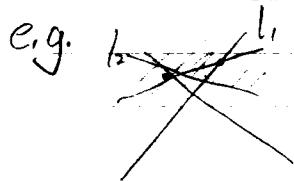
For vector spaces

If  $U_1, U_2$  subspaces of  $V$

Then  $U_1 \cap U_2$  is a subspace also.

But  $U_1 \cup U_2$  is not a subspace in general.

Idea: fix this by defining  $U_1 + U_2 = \{u_1 + u_2 : u_1 \in U_1 \text{ and } u_2 \in U_2\}$



This is a subspace

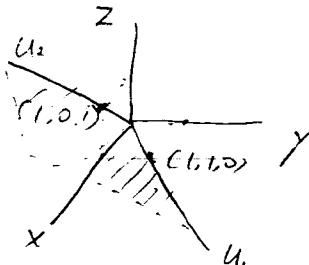
Why? ①  $0$  is in  $U_1 + U_2$ .

$$\begin{aligned} ② (u_1 + u_2) + (u_1' + u_2') &= (u_1 + u_1') + (u_2 + u_2') \\ &\in U_1 + U_2 \end{aligned}$$

③ scalar multiples

Example of  $+$ ,  $\cap$  on subspace.

$$\begin{aligned} ① U_1 &= \{\lambda(1, 1, 0) : \lambda \in \mathbb{R}\} \subset \mathbb{R}^3 \\ U_2 &= \{\lambda(1, 0, 1) : \lambda \in \mathbb{R}\} \subset \mathbb{R}^3 \end{aligned}$$



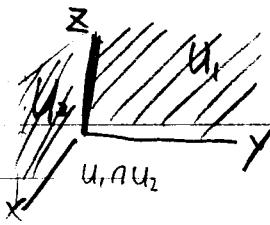
$$U_1 \cap U_2 = \{0\}$$

PLANE  $U_1 + U_2$

$$\begin{aligned} U_1 + U_2 &= \{a(1, 1, 0) + b(1, 0, 1) : a, b \in \mathbb{R}\} = \{(a, a, 0) + (b, 0, b) : a, b \in \mathbb{R}\} \\ &= \{(a+b, a, b) : a, b \in \mathbb{R}\} \\ &= \{x, y, z : x-y-z=0\} \end{aligned}$$

$$\textcircled{2} \quad U_1 = \{(x, y, z) : x=0\}$$

$$U_2 = \{(x, y, z) : y=0\}$$



$$U_1 \cap U_2 = \{(x, y, z) : x=0, y=0\}$$

$$U_1 + U_2 = \{(0, y, z) + (s, 0, t) : y, z, s, t \in \mathbb{R}\}$$

$$= \{(s, y, z+t) : s, y, z, t \in \mathbb{R}\} = \mathbb{R}^3$$

Direct Sum

In example ① If  $v \in U_1 + U_2$

$$\text{then } v = u_1 + u_2, u_1 \in U_1, u_2 \in U_2$$



But I claim there is only one possible choice for  $u_1, u_2$  (unique)

Why?

$$v = (a+b, a, b) \text{ for some } a, b \in \mathbb{R}$$

$$\text{want } v = \lambda_1(1, 0, 0) + \lambda_2(0, 0, 1)$$

has only one solution

$$(\lambda_1, \lambda_1, 0) + (\lambda_2, 0, \lambda_2) = (a+b, a, b)$$

$$\Rightarrow \lambda_1 = a$$

$$\lambda_2 = b$$

$\Rightarrow$  there is only one way to choose  $u_1 \in U_1, u_2 \in U_2$   
s.t.  $v = u_1 + u_2$

Definition: we say a sum  $U_1 + U_2$  is direct when each  $v \in U_1 + U_2$  can be written as  $v = u_1 + u_2, u_1 \in U_1, u_2 \in U_2$  but ~~not~~ in only one way.

(2) In example 2. the sum is not direct.

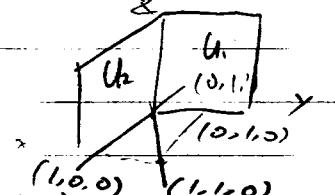
$$U_1 = \{(x, y, z), x=0\}$$

$$U_2 = \{(x, y, z), y=0\}$$

take  $(1, 1, 0) \in \mathbb{R}^3$

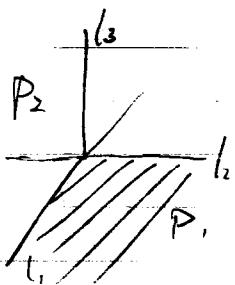
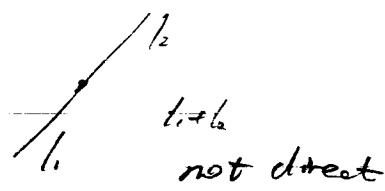
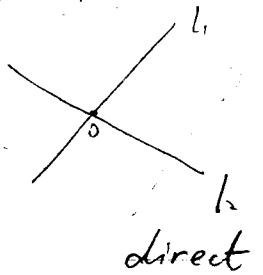
$$\text{so can write } (1, 1, 0) = (1, 0, 0) + (0, 1, 0)$$

$$\text{but also } (1, 1, 0) = (0, 1, 1) + (1, 0, -1)$$



Rephrasing:

If you are building a subspace by sums.



$l_1 \oplus l_2 = \text{plane } P_1$   ~~$P_1$  any line out of  $P_1$~~  not direct sum  
( $P_2$ )  $P_2 = R^3$

$$P_2 = l_1 \oplus l_3$$

Direct: (efficient)

$$P_1 + P_2 \quad \text{not a direct sum}$$

Reformulations of Direct condition:

Proposition The sum  $U_1 + U_2$  is direct if and only ~~if~~ if  $\circledast$   
 $U_1 + U_2 = \{0\}$  is only possible when  $u_1 = u_2 = 0$

Proof:  $\Rightarrow$  Suppose  $U_1 + U_2$  is direct, then ~~a unique~~ is unique  
then  $0$  can be written uniquely as a  
sum  $u_1 + u_2$ ,  $u_1 \in U_1$ ,  $u_2 \in U_2$   
but we know  $0 = 0 + 0$ , so  $u_1 = 0$ ,  $u_2 = 0$

$\Leftarrow$  Suppose  $\circledast$  is true, must prove  $U_1 + U_2$  is direct.

take  $v \in U_1 + U_2$ , must show  $v = u_1 + u_2$  for unique  $u_1, u_2$ .

$\Rightarrow v = u_1 + u_2$  for some  $u_1 \in U_1$ ,  $u_2 \in U_2$  to show

uniqueness, suppose  $v = w_1 + w_2$ ,  $w_1 \in U_1$ ,  $w_2 \in U_2$

then  $v = u_1 + u_2 = w_1 + w_2 \Rightarrow 0 = (w_1 + w_2) - (u_1 + u_2) = \overbrace{(w_1 - u_1)}^{m U_1} + \overbrace{(w_2 - u_2)}^{n U_2}$

$\Rightarrow u_1 = w_1$ ,  $u_2 = w_2$

Prop: The sum  $U_1 + U_2$  is direct if and only iff  $U_1 \cap U_2 = \{0\}$ .

Proof: If sum  $U_1 + U_2$  is direct

Suppose  $w \in U_1 \cap U_2$

Want to show  $w = 0$

$$\text{but: } 0 = w + (-w)$$

(should be unique) and  $0 = 0 + 0$   
so  $w = 0$  by directions

$\Leftarrow$  Suppose  $U_1 \cap U_2 = \{0\}$ , must show  $U_1 + U_2$  is direct. take  $v \in U_1 + U_2$

$\Rightarrow v = u_1 + u_2$  for  $u_1 \in U_1, u_2 \in U_2$

Is it unique? If  $v = w_1 + w_2$  for  $w_1 \in U_1, w_2 \in U_2$

$$\text{then } (u_1 + u_2) = (w_1 + w_2) \Rightarrow u_1 - w_1 = \cancel{w_2} - \cancel{u_2}$$

this means  $u_1 - w_1 \in U_1 \cap U_2 = \{0\}$

$$\Rightarrow u_1 = \cancel{w_1}$$

It also means  $w_2 - u_2 \in U_1 \cap U_2 \Rightarrow w_2 = u_2$

example:  $V = P(\mathbb{R})$

= vector space of polynomials with real coefficients.

$$= \{a_0 + a_1x + a_2x^2 + \dots + a_mx^m : a_i \in \mathbb{R}, m = \{0, 1, 2, \dots\}\}$$

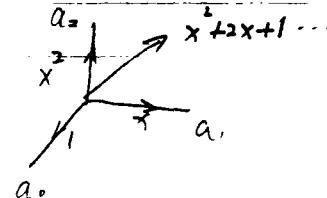
in one variable

Subspaces of  $V$ :

$$P_k(\mathbb{R}) = \{ \text{polynomials of degree } \leq k \}$$

$$P_2(\mathbb{R}) = \{ a_0 + a_1x + a_2x^2 : a_0, a_1, a_2 \in \mathbb{R} \}$$

quadratic polynomials



taken  $P_2(\mathbb{R}), U_1 = P_3(\mathbb{R})$  two spaces in  $P(\mathbb{R})$

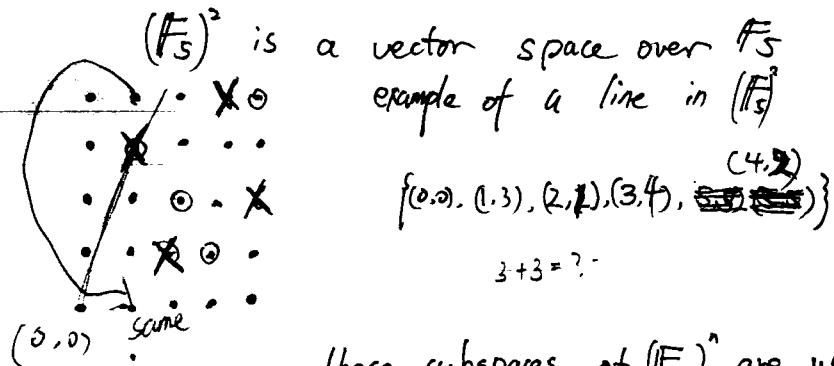
$$\begin{aligned} Q: \text{what is } U_1 + U_2 &= \{a_0 + a_1x + a_2x^2 + b_0 + b_1x + b_2x^2 + b_3x^3 : a_i, b_j \in \mathbb{R}, \forall i, j\} \\ &= \{a_0 + b_0 + (a_1 + b_1)x + (a_2 + b_2)x^2 + b_3x^3\} \\ &= P_3(\mathbb{R}) = U_2 \end{aligned}$$

Q: What is  $U_1 \cap U_2$

$$\begin{aligned} A: U_1 \cap U_2 &= \{\text{polynomials of } f \in U_1 \text{ and } f \in U_2\} \\ &= \{f = a_0 + a_1x + a_2x^2\} = U_1 \end{aligned}$$

Note:  $U_1 + U_2$  is not direct.

modular arithmetic mod  $q$  only gives a field when  $q$  is prime.  
 we call these  $\mathbb{F}_p$  ( $p$ : prime)  
 the most common finite field.  
 example :



these subspaces of  $(\mathbb{F}_p)^n$  are used in error correcting codes.

idea: tell before hand message lies in  
 a subspace  $e \subset (\mathbb{F}_p)^n$  if the message is garbled,  
 take closest point in  $e$  allows correction.

example: Hamming code is a subspace  $e$  in  $(\mathbb{F}_2)^7$  (has  $128 = 2^7$  elements)

which has 16 elements.

16

MAT 240 TUT

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Def. A field consists of a set  $F$ , and two maps  $+, \times : F \times F \rightarrow F$ , satisfying several properties (compatibility conditions).

$$F = \{0, 1\}$$

$$0 + 0 = 0$$

$$1 + 0 = 1$$

$$0 + 1 = 1$$

$$1 + 1 = 0$$

## ABOUT Exerzition 1

Exercise 3.

#3

Set  $\mathbb{R}^2$

Candidate addition:  $(x+y) + (u+v) = (x+u, y+v)$

Candidate Scalar Multi:  $\lambda(x,y) = (\lambda x, 0)$ ,  $\lambda \in \mathbb{R}$

Q Is this a vector over  $\mathbb{R}$ ?

$$(1,1) + (x,y) = (x+1, 0) \quad \forall x,y \in \mathbb{R} \text{ for all } \\ \neq (1,1)$$

$$\forall x,y \in \mathbb{R}, (1,1) + (x,y) \neq (1,1)$$

$\Rightarrow$  There does not exist a zero ~~for~~ vector

Conclusion: there exists an element of  $\mathbb{R}^2$ ,  $v$ , such that  $v+w \neq v$  for any  $w \in \mathbb{R}^2$  (contradiction)

Another way:  ~~$\cancel{1 \cdot (1,1)} = 1 \cdot (1,1) = (1,0) \neq (1,1)$~~

Axiom:  $\exists 0 \in V$ , st.  $\forall v \in V$ ,  $v+0=v$

$\exists 0 \in V$  st.  $\forall w \in V$ ,  $v+w \neq v$

another is:  $\forall v \in V$ ,  $1 \cdot v = v$

negate  $\exists v \in V$ , st.  $1 \cdot v \neq v$

On the other hand,

$Q_3(\mathbb{R})$  = polynomials of the form  $\{a_0 + a_1x + a_2x^2 + a_3x^3 + \dots + a_nx^n\}$

Q: Is  $Q_3$  a subspace? Yes! (3 steps to check)

Q: What is  $Q_3 \cap P_2$ ? = {0}

Prop  $\Rightarrow$  This means  $Q_3(\mathbb{R}) + P_2(\mathbb{R})$  is a direct sum.

OK,  $Q_3(\mathbb{R}) + P_2(\mathbb{R})$  is direct

Is it true that  $\underbrace{Q_3(\mathbb{R}) \oplus P_2(\mathbb{R})}_{\text{this direct sum}} = P(\mathbb{R})$ ?

To prove  $Q_3(\mathbb{R}) + P_2(\mathbb{R}) = P(\mathbb{R})$

we'll show  $LHS \subseteq RHS$ ,  $RHS \subseteq LHS$

$LHS \subseteq RHS$  is obvious from definition of sum of subspaces

(it's a subspace)

$RHS \subseteq LHS \Leftrightarrow$  Take any polynomial  $f(x) = a_0 + a_1x + \dots + a_nx^n$

If we split it:

$$f(x) = P_2 + Q_3 = f \in LHS$$

Mat 240 Sept. 29<sup>th</sup>

$U_1, U_2 \subset V$  subspaces

① Intersection of  $U_1$  with  $U_2$ :  $U_1 \cap U_2 = \{v \in V : v \in U_1, v \in U_2\}$

② Sum of  $U_1$  and  $U_2$ :  $U_1 + U_2 = \{u_1 + u_2 : u_1 \in U_1, u_2 \in U_2\}$

We say  $U_1 + U_2$  is direct when every element of  $U_1 + U_2$  has a unique decomposition as a sum of two elements  $u_1 \in U_1, u_2 \in U_2$ .

If  $v \in U_1 + U_2$ ,  $v = u_1 + u_2 = u'_1 + u'_2$

then  $u_1 = u'_1, u_2 = u'_2$

Prop: The sum  $U_1 + U_2$  is direct if and only if  $U_1 \cap U_2 = \{0\}$   
(notation for direct sum:  $U_1 \oplus U_2$ )

## Span

Definition: The span of a vector is

$$\text{Span}(v) = \{av : a \in F\}$$

Example. In  $V = \mathbb{R}^3$ , if  $v = (a, b, c) \neq (0, 0, 0)$

then  $\text{Span}(v)$  is the line through  $(a, b, c)$  and  $(0, 0, 0)$

If  $v = (0, 0, 0)$   $\text{Span}(v) = \{0\}$

## Span of 2 vectors:

$$\text{Span}(v_1, v_2) = \underbrace{\{av_1 + bv_2 : a, b \in F\}}_{\text{all "linear combinations" of } v_1, v_2}$$

$$\text{Span}(v_1, v_2) = \text{Span}(v_1) + \text{Span}(v_2)$$

$$\text{Span}(v_1, v_1) = \text{Span}(v_1) + \text{Span}(v_1) = \text{Span}(v_1) \quad (\text{not direct!})$$

$$V = \mathbb{R}^3 \text{ Span}(v_1, v_2)$$

• If  $v_1, v_2 = 0$ , then  $\text{Span}(v_1, v_2) = \{0\}$ ,  $\text{Span}(v_2)$  if  $v_2 \neq 0$

• If  $v_1 \neq 0$ , and  $v_2 \in \text{Span}(v_1)$ ,  $\text{Span}(v_1, v_2) = \text{Span}(v_1)$  - line through  $v_1$  and  $0$ .

• If  $v_1 \neq 0$ , and  $v_2 \notin \text{Span}(v_1)$ ,  $\text{Span}(v_1, v_2)$  is a plane containing  $0$ .

$$\begin{aligned} \text{Span}(v_1, \dots, v_m) &= \{a_1 v_1 + a_2 v_2 + \dots + a_m v_m : a_1, \dots, a_m \in F\} \\ &= \text{Span}(v_1) + \text{Span}(v_2) + \dots + \text{Span}(v_m) \end{aligned}$$

$$\boxed{\text{Span}(\ ) = \{0\}}$$

vectors  $v_1, \dots, v_m$

Definition: A vector space  $V$  is a finite-dimensional when  $V = \text{Span}(v_1, \dots, v_m)$  for some vectors  $v_1, \dots, v_m$

$$V = \left\{ f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n \mid a_0, \dots, a_n \in F, n \geq 0 \right\}$$

$= \text{span}\{1, x, x^2, x^3, \dots\}$  not finite-dimensional

Definition:  $v_1, \dots, v_m$  are linearly dependent if  $\exists$  scalars  $a_1, \dots, a_m$  not all zero, s.t.

$$a_1v_1 + \dots + a_mv_m = 0$$

Suppose  $a_i \neq 0$   $a_i v_i = -\sum_{k \neq i} a_k v_k$

$$v_i = \underbrace{a_1 v_1 + \dots + a_{i-1} v_{i-1}}_{\text{no } v_i} \left( -\sum_{k \neq i} a_k v_k \right) / a_i$$

$$v_i = \underbrace{\text{Span}(v_1, v_2, \dots, v_m)}_{\text{no } v_i}$$

Consider  $(1, 0), (0, 1), (2, 1) \in \mathbb{R}^3$

linear dependent

$$2(1, 0) - (2, 1) + (0, 1) = (0, 0)$$

~~if~~  $(v_1, \dots, v_m, 0)$

$$100(0) + 0v_1 + 0v_2 + \dots + 0v_m = 0$$

Example 3:

$$(1, 3, 5), (-2, 1, -3), (-4, 9, 1) \in \mathbb{R}^3$$

linearly independent

$$a \begin{pmatrix} 1 \\ 3 \\ 5 \end{pmatrix} + b \begin{pmatrix} -2 \\ 1 \\ 3 \end{pmatrix} = \begin{pmatrix} -4 \\ 9 \\ 1 \end{pmatrix}$$
$$\left. \begin{array}{l} a - 2b = -4 \\ 3a + b = 9 \\ 5a + 3b = 1 \end{array} \right\} \Rightarrow \begin{array}{l} a = 2 \\ b = 3 \end{array}$$

$$2(1, 3, 5) + 3(-2, 1, -3) = (4, 9, 1)$$

$$2(1, 3, 5) + 3(-2, 1, -3) - (-4, 9, 1) = (0, 0, 0)$$

$\therefore$  List is dependent.

When the  
 $(v_1, \dots, v_m)$  is linearly independent  $\rightarrow$  only linear solution  
 $a_1v_1 + a_2v_2 + \dots + a_mv_m = 0$  is the one with  $a_1 = \dots = a_m = 0$

MAT240 TUT Sept 29th

~~Fix~~ Fix a field  $\mathbb{F}$ .

Def: A  $\mathbb{F}$ -vector space (vector space  $\mathbb{F}$ ) is a set  $V$ , together with two maps ~~maps~~

In  $\mathbb{F}_2$  one sometimes writes  $|+|=0$

$|$  means "the set containing 1"

$0$  means "the set containing 0"

$$\mathbb{F}_2: 5+6$$

$5+6=11$  is in the set containing 4

$$5+6=4 \text{ in } \mathbb{F}_7$$

Assignment 2#

Exercise 3

$$\boxed{\mathbb{F}_2^3}$$

Suppose that  $S \subseteq \mathbb{F}_2^3$  contains at least 3 elements. Then  $S$  contains two ~~distinct~~ non-zero elements,  $v$  and  $w$ .

that is a  
subspace.

Note that  $v+w \in S$  as well. Since  $S$  is subspace

Observe that  $v+w \neq 0, v+w \neq v, v+w \neq w$ .

Mark: We know that  $v+v=0$   
 $(x,y,z) + (x,y,z) = (x+x, y+y, z+z) \quad x,y,z \in \mathbb{F}_2$

So, if  $v+w=0$ , then  $v+w=v+v \Rightarrow v=w$  contradiction

$\therefore S$  contains at least 4 elements.

If suffices to show that if  $S$  has at least 5 elements

then it must have 8 elements.

Suppose  $S$  has at least 5 elements

Let  $v, w$  be as before

Recall that  $\{0, v, w, v+w\}$  is a 4-element subset of  $S$ .

Choose  $d \in S$  s.t.  $d \neq 0, v, w$  or  $v+w$

Consider the below elements of  $S$  (noting that  $S$  is a subspace)  
 $d, d+v, d+w, d+v+w$

These are 4 ~~distinct~~ distinct elements of  $S$

(If and ~~if~~ any two of these were equal, then, by cancelling ~~of~~  $d$ 's,

we would contradict the fact that  $o, v, w$  and  $v+w$  are all distinct.

To show that  $S$  has 8 elements, it remains to prove that

$$\{d, d+v, d+w, d+v+w\} \cap \{o, v, w, v+w\} = \emptyset$$

~~①  $d \neq o, v, w, v+w$~~  (by hypothesis)

② Notice that  ~~$d$~~  =  $\{d+s : s \in \underline{\underline{S}}\}$

If the intersection were non-empty, then  $d+s_1 = s_2$  for some  $s_1, s_2 \in \underline{\underline{S}}$

$$\Rightarrow d = s_2 - s_1$$

MAT240 Oct. 4th

LAST TIME

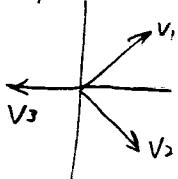
Span of a list of vectors

$$\text{Span}(\ ) = \{\}$$

empty

$$\text{Span}(v_1, \dots, v_n) = \{a_1 v_1 + \dots + a_n v_n : a_i \in \mathbb{F}, \forall i \in V\}$$

Ex:  $\text{Span}(v_1, v_2, v_3) \quad v \in \mathbb{R}^2$



Def: A list is linearly dependent when it's not empty and there is a linear relation

$$a_1 v_1 + \dots + a_n v_n = 0$$

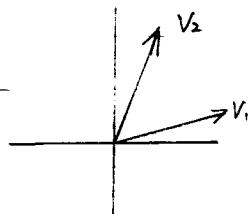
$$\text{with not all } a_i = 0$$

Def: A list is linearly independent when it's not linearly dependent.

i.e. list is either empty or the only linear relation is the zero relation  $a_i = 0, \forall i$

$$a_1 v_1 + \dots + a_n v_n = 0$$

TODAY: What is dimension?



Idea: dimension of  $V$  is related to the length of a spanning set

Warning: this breaks down if the list is linearly dependent.

Throwing away redundant vector

Lemma: Suppose  $(v_1, \dots, v_m)$  is linearly dependent and  $v_i \neq 0$  (otherwise, throw out)

Then there is a vector in the list,

which is in  $\text{Span}(v_1, \dots, v_{j-1})$

and if we throw out  $v_j$ , Span of remaining list is unchanged

Proof: Since this list is linearly dependent  
there are  $a_i \in \mathbb{F}$ , not all zero, with

$$a_1 v_1 + \dots + a_m v_m = 0$$

Let  $\alpha_j$  be the last non-zero constant  
then we can solve for  $v_j$ :

$$\alpha_j v_j = -\alpha_1 v_1 - \dots - \alpha_{j-1} v_{j-1}$$

$$v_j = -\frac{1}{\alpha_j} (\alpha_1 v_1 + \dots + \alpha_{j-1} v_{j-1}) \in \text{Span}(v_1, \dots, v_{j-1})$$

for second part

$$\text{Span}(v_1, \dots, v_m) = \text{Span}(v_1, \dots, \overset{\text{that means}}{v_j}, \dots, v_m)$$

It's clear that this  $\supseteq$  holds

To show  $\subseteq$ : take  $w \in \text{Span}(v_1, \dots, v_m)$

$$\text{then } w = c_1 v_1 + \dots + c_m v_m$$

then plug in expression for  $v_j$

$$w = (c_1 - \frac{c_j}{\alpha_j} \alpha_1) v_1 + \dots + (c_m - \frac{c_j}{\alpha_j} \alpha_m) v_m + \dots + (c_{j-1} - \frac{c_j}{\alpha_j} \alpha_{j-1}) v_{j-1} + \dots + (c_m - \frac{c_j}{\alpha_j} \alpha_m) v_m$$

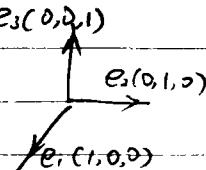
Definition: A BASIS for the finite dimensional space  $V$  is a linearly independent list  $(v_1, \dots, v_m)$  which spans  $V$ .

Note: the empty list is basis for  $\{0\}$

e.g. ① The standard basis of  $\mathbb{F}^n$  is the list

$$( (1, 0, 0, \dots, 0), (0, 1, 0, \dots, 0), (0, 0, 1, \dots, 0), \dots, (0, 0, 0, \dots, 1) )$$

$\mathbb{R}^3$  The standard basis for  $\mathbb{R}^3$  is  $(e_1, e_2, e_3)$



Why is it a basis?

Check 2 things      ②  $\text{Span} = V$ , lin. indep

$$\begin{aligned} ① \text{Span}(e_1, \dots, e_n) &= \{a_1 e_1 + a_2 e_2 + \dots + a_n e_n : a_i \in \mathbb{F}\} \\ &= \{(a_1, a_2, \dots, a_n) : a_i \in \mathbb{F}\} \\ &= \mathbb{F}^n \end{aligned}$$

② to show lin. indep.

check that any linear relation must be zero.

Suppose there is a linear relation

$$c_1e_1 + \dots + c_n e_n = 0$$

This means  $(c_1, \dots, c_n) = (0, \dots, 0)$

This means  $c_i = 0, \forall i$ , zero relation ■

Warning: in general, a vector space will not have a special basis. It has many bases, no special one.

non-standard basis for  $\mathbb{R}^2$

$((1,1), (0,1))$  is also a basis for  $\mathbb{R}^2$

why?  $\text{Span} = \mathbb{R}^2$  lin. indep

to prove ~~that~~ this span, take  $(a, b) \in \mathbb{R}^2$

$$\text{write } (a, b) = c_1(1, 1) + c_2(0, 1)$$

$$a = c_1$$

$$b = c_1 + c_2$$

$$\text{solution } c_1 = a$$

$$c_2 = b - a$$

$$(a, b) = a(1, 1) + (b-a)(0, 1)$$

② lin. indep. Suppose

$$\lambda_1(1, 1) + \lambda_2(0, 1) = 0 \iff \lambda_1 = 0, \lambda_1 + \lambda_2 = 0 \quad \text{solution: } \lambda_1 = \lambda_2 = 0 \quad \square$$

Thm: Every finite dimensional v space has a basis.

Prof: Recall "finite dimensional" means  $V$  is spanned by a list  $(v_1, \dots, v_n)$

(If  $V = \{0\}$ , the empty list () is a basis)

Idea: We'll start with spanning list use lemma to throw out redundant vectors.

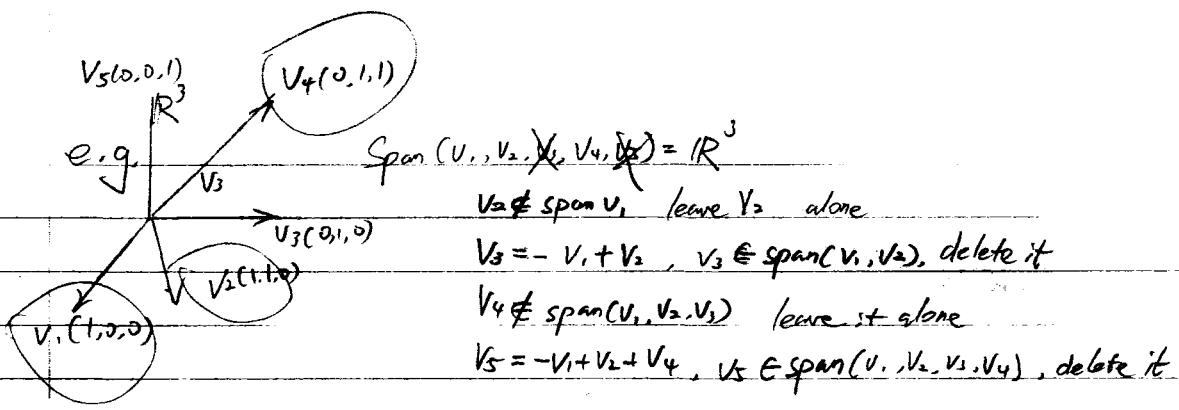
If  $(v_1, \dots, v_n)$  is lin. independent, done.

If \_\_\_\_\_ is lin. dependent, need to throw out stuff.

First, throw out any zero vectors

for all  $i = 2, \dots, n$  If  $v_i \in \text{Span}(v_1, \dots, v_{i-1})$ , remove it.

Claim: the resulting list is lin. indep. and spans  $V$ .



Result  $(V_1, V_2, V_4)$

Theorem: Any lin. independent can be extended to a basis of the finite dimensional  $V$ .

given  $(V_1, V_2)$  lin. indep.

e.g. theorems says can extend to  $(v_1, v_2, v_3)$  basis

Proof: Suppose  $(v_1, \dots, v_n)$  is lin. indep; want to extend it to a basis.

Since  $V$  is finite dimensional, so it has a spanning set.

$$V = \text{Span}(w_1, \dots, w_n)$$

$(w_1, \dots, w_n)$  spans  $V$

Check if  $w \in \text{span}(v_1, \dots, v_m)$ , if it is, don't add  $w$ , to  $(v, \dots, v_m)$ .

If not, extend:  $(v_1, \dots, v_n, w_1)$

Step 2 If  $w_j$  is in Span of the list so far, don't add it. if not, extend list.

After  $n$  steps, get a list  $(v_1, v_2, \dots, v_m, w_1, \dots, w_k)$  which is a BASIS.

Check the final list is a basis.

- ① If spans  $V$  since each  $w_i$  is in span of final list.
  - ② It is linearly independent by lemma

**Remark.** this theorem justifies idea that can build a basis as follow,

- ① pick  $v_1 \neq 0$       ③ pick  $v_3 \notin \text{span}(v_1, v_2)$   
 ② pick  $v_2 \notin \text{span}(v_1)$       continue

Mat240 Oct. 6<sup>th</sup>

Dimension:

Definition: A Basis for a finite dimensional vector space is a list  $(v_1, \dots, v_m)$  of vectors which

- span  $V$
- ~~linearly independent~~

Want to define dimension

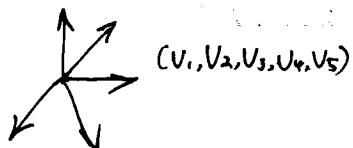
$$\dim V = \text{length of a basis for } V.$$

before we do this, we must check all bases have some length.

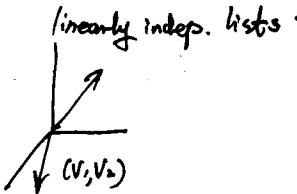
Theorem: length of a linearly independent list is always  $\leq$  length of a spanning list.

Intuition: "linearly indep. lists are efficient".

spanning list



$$(v_1, v_2, v_3, v_4, v_5)$$



linearly indep. lists

$$(v_1, v_2)$$

Proof: let  $(u_1, \dots, u_m)$  be lin. indept. ~~not~~

$(w_1, \dots, w_n)$  be a spanning list

Strategy: pair each  $u_i$  with a unique  $w_j$ , with some  $w_i$ 's left over. (possibly)

Step 1  $\text{Span}(w_1, \dots, w_n) = V$

$$\Rightarrow u_1 \in \text{Span}(w_1, \dots, w_n)$$

$\Rightarrow (u_1, w_1, w_2, w_3, \dots, w_n)$  is linearly dependent

lemma  $\Rightarrow$  there must be a ~~vector~~  $w_{i_1}$  in the span of previous vectors.

So we will pair  $u_1 \leftrightarrow w_{i_1}$

remove  $w_{i_1}$  from the list. Span unchanged.

Step 2  $\text{Span}(u_1, w_2, \hat{w_{i_1}}, \dots, w_n) = V$

$$\Rightarrow u_2 \in \text{Span}(u_1, w_2, \hat{w_{i_1}}, \dots, w_n)$$

$\Rightarrow (u_1, u_2, w_2, \dots, \hat{w_{i_1}}, w_n)$  linearly dependent

lemma  $\Rightarrow$  there must be a vector in the span of previous  
but it's not  $u_1, u_2$  since these are lin. independent

So, must be a  $w_{i_2}$ , ~~pair it with  $u_2$~~ , throw it out, continue.

Step j.  $\text{Span}(u_1, u_2, \dots, w_1, \dots; \hat{w}_{i_2}, \hat{w}_{i_2}, \dots, w_n) = V$

$u_{j+1} \in \text{span}$

$\Rightarrow \cancel{(u_1, \dots, u_j, u_{j+1}, w_1, \dots, \hat{w}_{i_2}, \hat{w}_{i_2}, \dots, \hat{w}_{i_j}, \dots, u_n)}$  lin. dependent

$\Rightarrow$  ~~must~~ be a vector in span of prev. not  $u$ . must be  $w_{i_{j+1}}$

Terminate after  $m$  steps.

each  $u_i$  corresponds to a unique  $w_j \Rightarrow \boxed{m \leq n}$

Corollary

of  $V$

~~Corollary~~: Any two basis have same length.

Proof: If  $(v_1, \dots, v_m)$ ,  $(w_1, \dots, w_n)$  are bases for  $V$ .

then  $(v_1, \dots, v_m)$  lin. indep. and  $(w_1, \dots, w_n)$  spans  $V$ .

and  $(v_1, \dots, v_m)$  spans  $V$  and  $(w_1, \dots, w_n)$  is linear indept.  $n \leq m$ .

$$\Rightarrow \boxed{m = n}$$

Def:  $\text{Dim}(V) = \text{length(basis)}$

Example: fancy basis for polynomials. (Lagrange interpolation)

$V = P_n(\mathbb{F})$  = polynomials of degree  $\leq n$ .

$V$  has basis  $(1, x, x^2, x^3, \dots, x^n) \Rightarrow \dim V = n+1$

new basis: pick number  $c_0, c_1, \dots, c_n$ .

Define a list of polynomials  $(f_0, f_1, \dots, f_n)$

where  $f_i(x) = \prod_{\substack{0 \leq k \leq n \\ k \neq i}} \frac{(x - c_k)}{(c_i - c_k)} = \underbrace{\left( \frac{x - c_0}{c_i - c_0} \right) \cdot \left( \frac{x - c_1}{c_i - c_1} \right) \cdots \left( \frac{x - c_{i-1}}{c_i - c_{i-1}} \right) \cdot \left( \frac{x - c_{i+1}}{c_i - c_{i+1}} \right) \cdots \left( \frac{x - c_n}{c_i - c_n} \right)}$

$n$  terms  $\Rightarrow f_i$  degree  $\leq n$

Claim this is a basis

key property  $\cancel{f_i} f_i(c_j) = \begin{cases} 0 & j \neq i \\ 1 & j = i \end{cases}$

check linearly independent independence.

Suppose have a linear relation

$$a_0 f_0 + a_1 f_1 + \dots + a_n f_n = 0$$

must show all  $a_i = 0$

to do it

① evaluate this combo on  $C_0$ , get  $a_0 = 0$  }  $\Rightarrow$  linearly independent

② evaluate on  $C_1$ , get  $a_1 = 0$

⋮

③ evaluate on  $C_n$ , get  $a_n = 0$ .

but  $\dim V = n+1$

So we have a spanning list length  $n+1$  ( $x, x^2, x^3, \dots, x^n$ )  
if it didn't span, we could add a vector to list, not in span.  
get lin. indep. list. longer than  $n+1$ . contradiction Theorem.

MAT240 October 11th

Recall  $V$  finite dimensional

Thm.  $V$  has a basis

Thm. Every linearly independent list can be extended to a basis

Thm. Linearly independent list is shorter than any spanning list

Thm. Bases for  $V$  have same length.

Def.  $\dim V = \text{length (basis)}$

Corollary: ① Any spanning list with  $\dim V$  elements, it is a basis.

② Any lin. independent list with length =  $\dim V$ , it is a basis.

Examples of Bases (again)

①  $\mathbb{F}^n$  has bases  $(1, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, 0, \dots, 0, 1)$  of  $n$ .

$$\Rightarrow \dim \mathbb{F}^n = n$$

②  $\dim P_n(\mathbb{F}) = n+1$  with basis  
 $(1, x, x^2, \dots, x^n)$

Alternate basis for  $P_n(\mathbb{F})$  adapted to  $n+1$  distinct numbers  $c_0, \dots, c_n \in \mathbb{F}$   
defined  $(f_0, \dots, f_n)$   $f_i = \prod_{k \neq i} \frac{x - c_k}{c_i - c_k}$  key prop:  $f_i(c_j) = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases}$

To check it is a basis:

① check lin. independent. If  $a_0 f_0 + \dots + a_n f_n = 0$   
then  $(a_0 f_0 + \dots + a_n f_n)(c_i) = 0$

$$\text{i.e. } a_i = 0 \quad \forall i$$

② length = dim, done. it's a basis.

How to use this basis.

Q: ~~#~~ find a poly of deg. 2 with value 2, 1, 3 @ pts. -1, 1, 2

A: we use basis adapted to  $(-1, 1, 2) = (c_3, c_1, c_2)$

$$f_0 = \frac{(x-1)(x-2)}{(-1)(-2)} = \frac{1}{6}(x^2 - 3x + 2)$$

$$f_1 = \frac{(-x)(x-2)}{(1-(-1))(1-2)} = \frac{1}{2} (x^2 - x - 2)$$

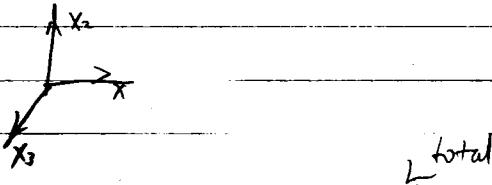
$$f_2 = \frac{(x-1)(x-2)}{(2-(-1))(2-1)} = \frac{1}{3} (x^2 - 4)$$

Take  $f_0 + 1 \cdot f_1 + 3 \cdot f_2$  has desired behaviors

$$\begin{aligned} &= \left( \frac{1}{3} - \frac{1}{2} + 1 \right) x^2 + \left( -1 + \frac{1}{2} \right) x + \frac{2}{3} (-1 + 1) \\ &= \frac{1}{6} (5x^2 - 3x + 4) \end{aligned}$$

(Lagrange  
interpolation)

Rem.  $\dim_{\mathbb{R}}(\mathbb{F}) = 3$



Ex: If field  $\mathbb{F} = \mathbb{F}^2$  lists one numbers  $\mathbb{F}^2$  is a v.v. over  $\mathbb{F}$   
 $\dim(\mathbb{F}) = 1$  because it has basis of leg  $\neq$  than 1.  
e.g.  $1 \in \mathbb{F}$  g pride basis  
if  $a \in \mathbb{F}$ ,  $a = a + 1$

in particular,  $\mathbb{C}$  is 1 dim.  $\mathbb{C}$  vector space but  $\mathbb{C}$  is ~~not~~ also a v.space over  $\mathbb{R}$ .

(i.e.) this means, schedule mult, only by seminar  $\mathbb{R}$

Why is  $(i, j) \alpha$  basis?

① Is it span? any  $z \in \mathbb{C}$ ,  $z = x + yi = x + y \cdot i$

② Is it linearly independent if  $a + bi = 0$ ,  $a, b \in \mathbb{R}$

$$\Rightarrow a + bi = 0 \Leftrightarrow a = b = 0$$

## Gaussian Elimination

① is list  $(v_1, \dots, v_m)$  linearly indep?

② what is the dimension of its span?

use a basis to investigate systematically

Algorithm: sequence of moves on list (modify list)  
each move does not affect span, in. indep. of list

Moves: ① Swap  $v_i \leftrightarrow v_j$   $(v_1, \dots, v_m)$

$$(v_1, \dots, v_{i-1}, v_j, \dots, v_{j-1}, v_i, \dots, v_n)$$

③ nonzero rescaling: replace  $v_i$  with  $\lambda v_i$ ,  $\lambda \neq 0$

④ replace  $v_i$  with  $v_i + \lambda v_j$ , leave  $v_j$  alone

$$\text{e.g. } (v_1, v_2, v_3) \rightarrow (v_1, v_2 + 3v_3, v_3)$$

$$\text{Claim: } \text{Span}(v_1, \dots, v_n) = \text{Span}(v_1, \dots, v_{i-1}, v_i + \lambda v_j, \dots, v_n)$$

$\Rightarrow$  clear since each vector is a combo of  $(v_1, \dots, v_n)$

$\subseteq$

$$v_i = 1 \cdot (v_i + \lambda v_j) + (-\lambda)v_j$$

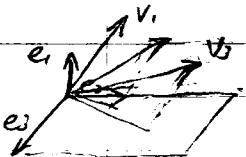
$$v_k = 1 \cdot v_k \quad \forall k \neq i$$

Check that  $(v_1, \dots, v_m)$  lin. indep. iff  $(v_1, \dots, v_{i-1}, v_i + \lambda v_j, \dots, v_m)$  is lin. indep.

Question: Given a list, we use moves to "simplify" the list until obvious if lin. indep. or not, what dim span is.

Basic idea of simplification (use basis)

$$v_i = a_1 e_1 + a_2 e_2 + \dots + a_n e_n \quad a_1, a_2, \dots, a_n \in F$$



rescale  $v_1$  so that component in  $e_1$  direction is 1  
move  $v_2$  into place by adding multiple of  $v_1$

computationally,  $v_1 = a_{11}e_1 + a_{12}e_2 + \dots + a_{1n}e_n$   
 $v_2 = a_{21}e_1 + a_{22}e_2 + \dots + a_{2n}e_n$

$$v_k = a_{k1}e_1 + a_{k2}e_2 + \dots + a_{kn}e_n$$

$a_{ij}$  = coordinates of  $v_i$  in the basis

used basis to convert list  $(v_1, \dots, v_k)$   
 to a list  $(c_{11}, \dots, c_{1n}),$   
 $(c_{21}, \dots, c_{2n}),$   
 $\vdots$   
 $(c_{k1}, \dots, c_{kn}).$

$k \times n$  matrix

$$\begin{bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & & \vdots \\ a_{k1} & \cdots & a_{kn} \end{bmatrix}$$

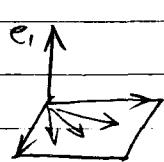
"row operation"

moves become:

- switching 2 rows

- rescaling ( $\neq 0$ ) row

- adding a mult. of a row to another row.



First step: If the first column is all zero

it means all the vectors are in ~~the~~  $\text{span}(e_2, e_3, e_4, \dots, e_n)$

hence vectors do not span  $V$  and we can ignore first column.  
 work on the rest of the matrix.

if second column is zero etc.

Same thing goes for ~~any~~

Second step: consider first column where not all = 0

$$\text{e.g. } \begin{bmatrix} 0 & 0 & 0 & 6 & 0 \\ 0 & 0 & 3 & 7 & 0 \\ 0 & 0 & 3 & 8 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 0 & 0 & 2 & 7 & 0 \\ 0 & 0 & 3 & 7 & 0 \\ 0 & 0 & 3 & 8 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 0 & 0 & 1 & \frac{7}{2} & 0 \\ 0 & 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 3 & 0 \end{bmatrix}$$

take ~~the~~<sup>a</sup> row with nonzero entry in that column.  
 switch it with 1st row

Third Step And rescale this row so it starts with 1

Forth Step Add multiple of 1st row to any lower row w/nonzero coordinate in the column to make this = 0

$$\rightarrow \begin{bmatrix} 0 & 0 & 1 & \frac{7}{2} & 0 \\ 0 & 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Recursion

Step

As a result, matrix is in form:

$$\begin{bmatrix} 0 & \cdots & 0 & 1 & * & * \\ \vdots & & \vdots & \boxed{1} & \boxed{*} & \boxed{*} \\ 0 & \cdots & 0 & 0 & * & * \end{bmatrix}$$

now focus on this <sup>a</sup> and repeat steps

$$\begin{bmatrix} 0 & \cdots & 0 & 1 & * & * \\ 0 & \cdots & 0 & 0 & 1 & * \\ 0 & \cdots & 0 & 0 & 0 & 1 \end{bmatrix}$$

area

continue for all

~~shortest~~ submatrices

get

$$\left[ \begin{array}{cccc|c} 0 & 0 & 1 & * & \\ 0 & 0 & 0 & 1 & * \\ 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

Row Echelon form  
(end of Gaussian elimination) ~~algorithm~~

Claim: this is simple form where  
 linearly indep. and  $\dim(\text{span})$   
 are obvious

$$\left[ \begin{array}{c} 0 \end{array} \right] \rightarrow \text{Row Echelon} \rightarrow \text{all } v_i = 0$$

$w_1 \left[ \begin{array}{c} 1 \\ 0 \\ 0 \end{array} \right]$ ,  $w_2 \left[ \begin{array}{c} 2 \\ 1 \\ 0 \end{array} \right]$ ,  $w_3 \left[ \begin{array}{c} 4 \\ 3 \\ 0 \end{array} \right]$   
 not lin. ~~indep.~~ indep. (but original 3 vectors could all be nonzero)  
 RE  $\Rightarrow$  original vectors are lin. dependent

Claim  $w_1, w_2$  are linearly independent  $aw_1 + bw_2 = 0$

$$\begin{matrix} (a & 2a & 4a) \\ (0 & b & 3b) \\ \xrightarrow{\quad} (a, 2a+b, 3b+4a) = 0 \end{matrix}$$

$$a=b=0$$

in general, the nonzero rows of RE form must be lin. indep.  
 and so # of these gives  $\dim \text{span}(v_1, \dots, v_k)$

MAT240 October 13th

LAST TIME

given list  $(v_1, \dots, v_k)$  in a  $n$ -dimensional space  $V$

choose basis  $(e_1, \dots, e_n)$  for  $V$ , and write

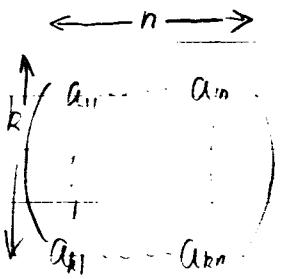
$$v_i = a_{1i}e_1 + \dots + a_{ni}e_n$$

:

:

$$v_k = a_{1k}e_1 + \dots + a_{nk}e_n$$

information is  
 $k \times n$  matrix



idea: Simplify using moves  $\rightarrow$  don't change span

①  $v_i \leftrightarrow v_j$     ②  $v_i \xrightarrow{\lambda} \lambda v_i$     ③  $v_i \xrightarrow{\lambda} v_i + \lambda v_j$

(Row ops)    Until

RE: good

A diagram of a matrix in row echelon form. It has 4 rows and 5 columns. The first row has a leading 1. The second row has a leading 1. The third row has a leading 1. The fourth row has a leading 1. There are asterisks (\*) in the entries above the leading ones. An arrow points from the right towards the matrix.

since  
nonzero rows  
give a basis  
for  $\text{span}(v_1, \dots, v_n)$

This algorithm is used to - determine basis for  $\text{span}(v_1, \dots, v_n)$   
- solve a system of linear equations  
- (later) inverting matrices

Q  
7.5

$$(1, 0, 2, 3)$$

vectors in  $\mathbb{R}^4$

$$(0, 2, 1, -1)$$

linearly indep?

$$(0, 1, 1, 0)$$

If not give a nonzero relation:

$$(1, 1, 1, 1)$$

$$\begin{array}{c}
 \left( \begin{array}{l} 1,0,2,3 \\ 0,2,1,-1 \\ 0,1,1,0 \\ 1,1,1,1 \end{array} \right) \xrightarrow{\downarrow V_4 \rightarrow V_4 - V_1} \left( \begin{array}{l} 1,0,2,3 \\ 0,1,1,0 \\ 0,0,-1,-1 \\ 0,1,-1,-2 \end{array} \right) \xrightarrow{\uparrow V_3 \rightarrow V_3 - 2V_2} \left( \begin{array}{l} 1,0,2,3 \\ 0,1,1,0 \\ 0,0,-1,-1 \\ 0,0,-2,-2 \end{array} \right) \\
 \left( \begin{array}{l} 1,0,2,3 \\ 0,2,1,-1 \\ 0,1,1,0 \\ 0,1,-1,-2 \end{array} \right) \xleftrightarrow{V_3 \leftrightarrow V_2} \left( \begin{array}{l} 1,0,2,3 \\ 0,1,1,0 \\ 0,2,1,-1 \\ 0,1,-1,-2 \end{array} \right) \xrightarrow{V_4 \rightarrow V_4 + V_2} \left( \begin{array}{l} 1,0,2,3 \\ 0,1,1,0 \\ 0,0,1,1 \\ 0,0,0,-2 \end{array} \right) \xrightarrow{V_4 \rightarrow V_4 + V_2} \left( \begin{array}{l} 1,0,2,3 \\ 0,1,1,0 \\ 0,0,1,1 \\ 0,0,0,0 \end{array} \right)
 \end{array}$$

Conclusion:  $\text{Span}(v_1, v_2, v_3, v_4)$  has a basis of length 3  
 i.e.,  $\dim \text{Span}(v_1, v_2, v_3, v_4) = 3$   
 $(v_1, v_2, v_3, v_4)$  not linearly independent

At the end, 4<sup>th</sup> row is  $0 = (0, 0, 0, 0)$

$$\begin{aligned}
 (0,0,0,0) &= v_4 + 2v_3 \quad \text{in} \quad \text{step} \\
 &= v_4 + 2(-v_3) \\
 &= (v_4 - v_2) + 2(-v_3) \\
 &= (v_4 - v_2) + 2(-(v_3 - 2v_2)) \\
 &= v_4 - v_3 - 2(v_2 - 2v_3) \\
 &= (v_4 - v_1) - v_3 - 2(v_2 - 2v_3) \\
 &= -v_1 - 2v_2 + 3v_3 + v_4 = 0
 \end{aligned}$$

Check

$$\begin{array}{r}
 -1,0-2-3 \\
 0-4-2-2 \\
 0\ 3\ 3\ 0 \\
 \hline
 1\ 1\ 1\ 1 \\
 \hline
 0\ 0\ 0\ 0
 \end{array}$$

② When is  $\begin{pmatrix} -t, 0, r \\ 0, 1, s \\ (-t, 1, 1) \end{pmatrix}$  vectors in  $\mathbb{Q}^3$  linearly independent?

$$\text{algorithm: } \begin{pmatrix} -1 & 0 & r \\ 0 & 1 & s \\ -t & 1 & 1 \end{pmatrix} \xrightarrow{r_1 \mapsto -r_1} \begin{pmatrix} 1 & 0 & -r \\ 0 & 1 & s \\ -t & 1 & 1 \end{pmatrix} \xrightarrow{r_3 \mapsto r_3 + (-1)r_2} \begin{pmatrix} 1 & 0 & -r \\ 0 & 1 & s \\ 0 & 0 & (1-t+r) \end{pmatrix} \rightarrow$$

last step

$$\left( \begin{array}{ccc} 1 & 0 & -r \\ 0 & 1 & s \\ 0 & 0 & | -tr-s \end{array} \right) \rightarrow \begin{array}{l} \text{If } 1-tr-s \neq 0 \\ r_3 \mapsto \left( \frac{1}{1-tr-s} \right) r_3 \end{array} \quad \left( \begin{array}{ccc} 1 & 0 & -r \\ 0 & 1 & s \\ 0 & 0 & 1 \end{array} \right)$$

lin. indep.

(A) Condition is  $|1-tr-s \neq 0|$  lin. dep.

(in)homogeneous

Example (3) If given a system of linear equations  
 1st eq.  $a_{11}x_1 + \dots + a_{1n}x_n = b_1$

$k^{th}$  eq.  $a_{k1}x_1 + \dots + a_{kn}x_n = b_k$

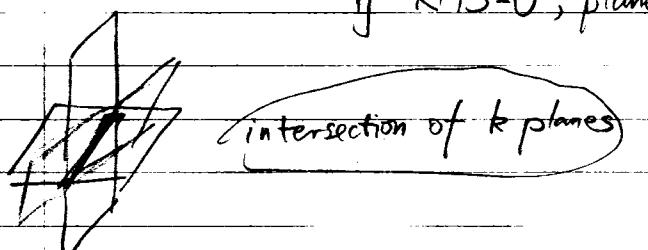
$a_{ij}, b_i \in F$

"system of  $k$  linear equations in  $n$  unknowns"

Solve for  $x_1, \dots, x_k$

$(n-1)$ -dimensional

(note each equation defines a plane in  $F^n$   
 if RHS=0, plane contains  $(0, 0, \dots, 0)$ )



WARNING: IF equations are homogeneous 0 is always a solution.

IF inhomogeneous, may not be any solution.

MAT240 October 20th

### LINEAR MAPS (aka. linear transformation)

Let  $V$  and  $W$  are vector spaces over  $\mathbb{F}$ .

A linear map  $T$  from  $V$  to  $W \rightarrow T: V \rightarrow W$  is a map

st.  $\begin{cases} \textcircled{1} T(v_1 + v_2) = T(v_1) + T(v_2) & \forall v_1, v_2 \in V \\ \textcircled{2} \text{ for all } \lambda \in \mathbb{F} \\ \quad T(\lambda v) = \lambda T(v) & , \quad \forall v \in V \end{cases}$

combine these:  $T(\lambda v_1 + v_2) = \lambda T(v_1) + T(v_2)$

e.g.  $f: \mathbb{R} \rightarrow \mathbb{R}$

linear means  $f(ax+b) = af(x) + f(b)$   
 $f(x) = x$

note:  $f(0) = 0$  for linear map

$f(x) = ax + b$  only linear when  $b=0$   $\mathbb{R} \rightarrow \mathbb{R}$

\* Example 1  $f: V \rightarrow W$

zero map  $f(v) = 0, \forall v$

\* Example 2 identity map  $V \rightarrow V$

$I_V(u) = u, I(u_1 + u_2) = u_1 + u_2 = I(u_1) + I(u_2)$

$I(\lambda u) = \lambda u = \lambda I(u)$

Example 3 linear maps  $P(\mathbb{F}) \rightarrow P(\mathbb{F})$

(3.1) differentiation,

$D(f) = f'$

e.g.  $D(a_0 + a_1x + \dots + a_nx^n) = a_1 + 2a_2x + \dots + na_nx^{n-1}$

$D$  is linear because  $(f+g)' = f' + g'$

$(\lambda f)' = \lambda \cdot f'$

(3.2) If we fix a polynomial  $P_0$  (e.g.  $P_0 = x^2$ ), we get a linear map  $T_{P_0}: P(\mathbb{F}) \rightarrow P(\mathbb{F})$

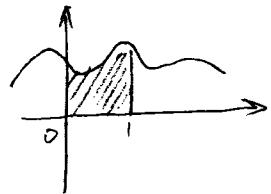
$T_{P_0}(f) = P_0 \cdot f$

e.g. for  $P_0 = x^2$

$T_x(x+1) = x^2(x+1)$

Why linear?  $P_0(f_1 + f_2) = P_0 f_1 + P_0 f_2$

$P_0(\lambda f) = \lambda P_0 f$



### Example 4

linear map:  $P(\mathbb{R}) \rightarrow \mathbb{R}$   
 $\infty\text{-dim}$        $1\text{-dim}$

Vsp /  $\mathbb{R}$       Vsp /  $\mathbb{R}$

$$T(f) = \int_0^1 f(x) dx$$

$$\begin{aligned} \text{Linear? } T(f_1 + f_2) &= \int_0^1 (f_1 + f_2)(x) dx \\ &= \int_0^1 f_1(x) dx + \int_0^1 f_2(x) dx \\ &= T(f_1) + T_2(f_2) \end{aligned}$$

### Example 5 Shift operators $\mathbb{F}^\infty \rightarrow \mathbb{F}^\infty$

$$(a_1, a_2, \dots) \mapsto a_i \in \mathbb{F}$$

1.  $r$  defined as:

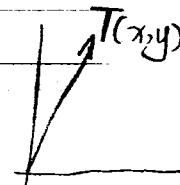
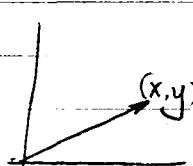
$$l(a_1, a_2, a_3, \dots) = (a_2, a_3, a_1, \dots)$$

$$r(a_1, a_2, a_3, \dots) = (0, a_1, a_2, \dots)$$

$$l((a_i)_{i \in \mathbb{N}} + (b_i)_{i \in \mathbb{N}}) = l((a_i + b_i)_{i \in \mathbb{N}}) = (a_2 + b_2, a_3 + b_3, \dots)$$

### Example 6 $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$

$$T(x, y) = \begin{pmatrix} a_{11}x + a_{12}y, a_{21}x + a_{22}y \\ a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \in \mathbb{R}$$

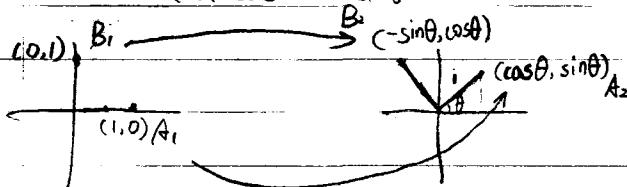


Special cases:

$$(i) \quad \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{get zero map}$$

$$(ii) \quad \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \text{get } T(x, y) = (x, y) \text{ identity map}$$

$$(iii) \quad \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \quad \text{for fixed } \theta \in \mathbb{R}$$



Proposition:  $L(V, W)$  is a vector space

Definition: Let  $L(V, W)$  be the set of all linear maps from  $V$  to  $W$ .

$$(T_1 + T_2)(V) = T_1(V) + T_2(V)$$

$$(\lambda T)(V) = \lambda T(V)$$

MA7240 Oct. 25<sup>th</sup>

Linear Maps  $V, W$  Vsp over  $\mathbb{F}$

$L(V, W)$  = space of linear maps

$$T: V \rightarrow W$$

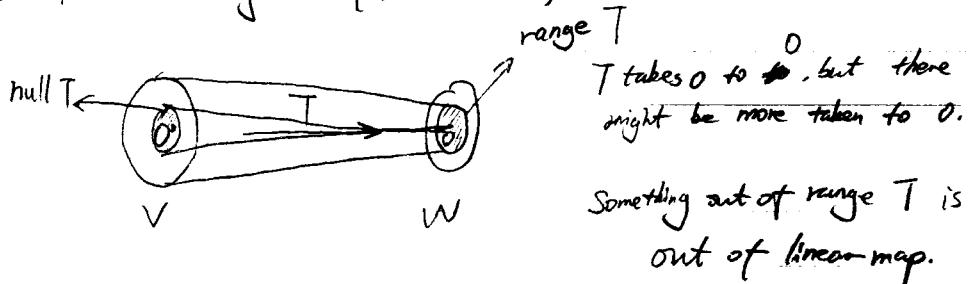
is a vector space over  $\mathbb{F}$ .

For a fixed  $T: V \rightarrow W$

There are two special subspaces

null  $T$  "kernel" =  $\{v \in V, \text{ s.t. } T(v) = 0\}$

range  $T$  "image" =  $\{T(v); v \in V\}$



Why are null  $T$ , range  $T$  subspaces of  $V, W$ .

(null  $T$ ) If  $v_1, v_2 \in \text{null } T$   $\lambda \in \mathbb{F}$   $T(\lambda v_1 + v_2) = \lambda T v_1 + T v_2 = 0 \Rightarrow \text{null } T \text{ is a subspace}$

(range  $T$ )

If  $w_1, w_2 \in \text{range } T$ , this means  $\exists v_1, v_2 \in V$

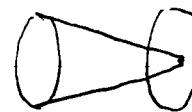
$$\begin{aligned} w_1 &= T(v_1) \\ w_2 &= T(v_2) \end{aligned} \Rightarrow \lambda w_1 + w_2 = \lambda T(v_1) + T(v_2) = T(\lambda v_1 + v_2)$$

extreme examples

① zero map  $V \xrightarrow{T} W$

$$\text{range } T = \{0\}$$

$$\text{null } T = V$$

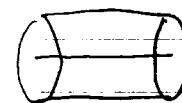


NOT INJ  
NOT SUR

② identity map  $I(V) = V$

$$\text{range } T = V$$

$$\text{null } T = \{0\}$$



NOT INJ

③  $(v_1, v_2, \dots, v_k)$  List of vectors in  $V$  define a linear map

$$\mathbb{F}^k \xrightarrow{T} V$$

$$T(a_1 v_1 + \dots + a_k v_k) = a_1 v_1 + \dots + a_k v_k$$

$$\text{range } T = \text{span}(v_1, v_2, \dots, v_k)$$

$T$  surjective  $\Leftrightarrow (v_1, \dots, v_k)$  spans  $V$

$T$  injective  $\Leftrightarrow (v_1, \dots, v_k)$  linearly independent

$T$  is inj+surj iff  $(v_1, \dots, v_k)$  is a basis.

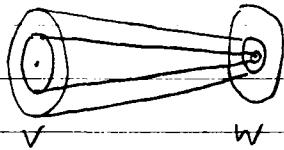
Def:  $T$  is called injective when  $\text{null } T = \{0\}$   
surjective when  $\text{range } T = W$

note: injective implies that  $Tv_1 = Tv_2$  then  $v_1 = v_2$

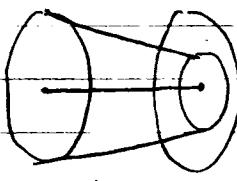
(why?)

$(T(v_1 - v_2)) = 0$ ,  $v_1 - v_2$  is a null space so  $v_1 = v_2$ ,  $v_1 - v_2 = 0$ )

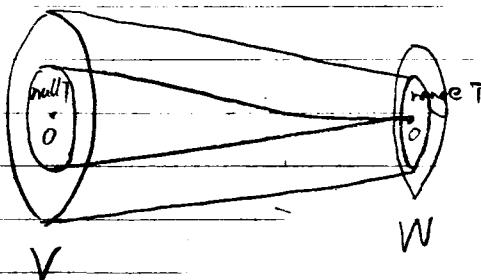
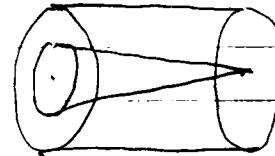
general map



injective map



surjective map



Thm: If  $V$  is finite dimensional, then  $\nexists$

$$\boxed{\dim \text{null } T + \dim \text{range } T = \dim V}$$

"nullity"      "rank"

rank-nullity theorem

Sketch of proof.

Idea: find a basis for  $V$  related to bases for null  $T$  & basis for range  $T$ .

Since  $\text{null } T \subset V$ , it is finite dimensional

choose a basis  $(u_1, \dots, u_k)$  for null  $T$

using a previous result, extend to a basis of  $V$ .

$$(u_1, \dots, u_k, w_1, \dots, w_m)$$

Claim  $(T(u_1), T(u_2), \dots, T(w_m))$  is a basis for range  $T$ .

$$\dim V = k + m$$

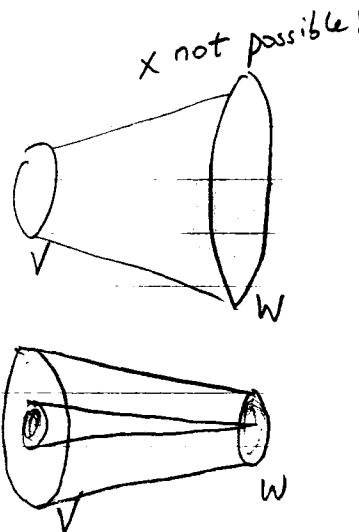
$$= \dim \text{null } T + \dim \text{range } T$$

### Corollary

① If  $\dim V < \dim W$ , linear  
no surject maps  $V \rightarrow W$ ,

② If  $\dim V > \dim W$   
null T forced to be nonzero

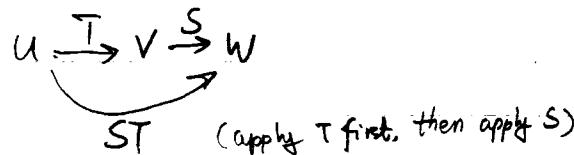
no injective linear maps  $V \rightarrow W$   
(why? ....)



### Composition:

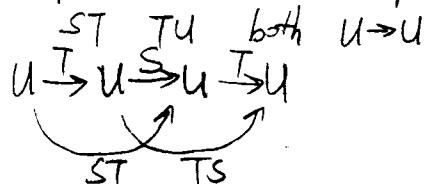
If  $T: U \rightarrow V$        $U, V, W$   
 $S: V \rightarrow W$       vsp over it

The composition of these is  $ST: U \rightarrow W$   
defined by  $ST(u) = S(Tu)$



- ~~warning:~~ ① The composition only makes sense in one order  
② first act the map on RHS, like  $ST$  instead of  $TS$   
(reversal in notation)

③ If  $U = V = W$  then composition does make sense in both orders.



but  $ST$  need not equal to  $TS$ .

(non-commutative)

$$S: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad S(x,y) = (x,0)$$

$$T: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad T(x,y) = (y,x)$$

$$ST(x,y) = (y,0)$$

$$TS(x,y) = (0,x)$$

## Matrices

Describe a linear map  $T: V \rightarrow W$

using a basis  $\beta = (v_1, \dots, v_n)$  for  $V$

$\tau = (w_1, \dots, w_k)$  for  $W$

① Any vector  $x \in V$  is  $x = \sum_{i=1}^n x_i v_i$

as a matrix.

$$[x]^\beta = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$$

② The linear map  $T$  is determined by ~~the~~ action on  $\beta$ .

$$T(v_j) = \sum_{i=1}^k a_{ij} w_i \text{ in } F$$

as a matrix we write

$$[T]_\beta^\tau = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{k1} & \dots & a_{kn} \end{bmatrix} \quad \begin{array}{l} \text{jth column is result} \\ \text{of } T(v_j) \text{ expanded in} \\ \text{basis } \tau. \end{array}$$

③ How to figure out  $Tx$  if you know  $[x]^\beta$  and  $[T]_\beta^\tau$   
i.e. what is matrix of  $(Tv)$  in basis  $\tau$ ?  
so we define

$$[Tx]^\tau = \underbrace{[T]_\beta^\tau}_{\text{definition of this operation is}} [x]^\beta$$

$$\begin{aligned} [T]_\beta^\tau \cdot [x]^\beta &= [Tx]^\tau \\ &= [T \left( \sum_{j=1}^n x_j v_j \right)] \\ &= \left[ \sum_{j=1}^n x_j \sum_{i=1}^k a_{ij} w_i \right]^\tau = \begin{bmatrix} \sum_{j=1}^n a_{1j} x_j \\ \sum_{j=1}^n a_{2j} x_j \\ \vdots \\ \sum_{j=1}^n a_{kj} x_j \end{bmatrix} \end{aligned}$$

example:

$$P_0(\mathbb{Q}) \xrightarrow[\text{derivative}]{} P_1(\mathbb{Q})$$

$$\dim P_2 = 3$$

$$\beta = (1, x, x^2)$$

$$\dim P_1 = 2$$

$$\tau = (1, x)$$

$$[D]_{\beta}^{\tau} = \begin{pmatrix} & & \\ & 2 \times 3 & \\ & & \end{pmatrix}$$

$$D(1) = 0 \times 1 + 0x$$

$$D(x) = 1 + 0x$$

$$D(x^2) = 0 + 2x$$

$$[D]_{\beta}^{\tau} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

$$D: P_n \rightarrow P_{n-1}$$

$$\dim P_n = n+1$$

$$\dim P_{n-1} = n$$

$$\beta = (1, x, \dots, x^n) \quad \tau = (1, x, \dots, x^{n-1})$$

$$[D]_{\beta}^{\tau} = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 2 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 3 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & 0 & \cdots & n \end{pmatrix}$$

### matrix multiplication

$$U \xrightarrow{\quad} V \xrightarrow{\quad} W$$

$$\alpha = (u_1, u_2, \dots, u_m) \quad \text{basis for } U$$

$$\beta = (v_1, v_2, \dots, v_n) \quad \cdots \quad V$$

$$\tau = (w_1, w_2, \dots, w_k) \quad \cdots \quad W$$

S has matrix  $[S]_{\alpha}^{\beta}$ , T has matrix  $[T]_{\beta}^{\tau}$

Def: matrix mult.  $[T]_{\beta}^{\tau} \cdot [S]_{\alpha}^{\beta}$

is the matrix

$$[T \cdot S]_{\alpha}^{\tau}$$
 of TS

### work out details

$$[TS]_{\alpha}^{\tau} = \begin{bmatrix} c_{11} & \cdots & c_{1m} \\ \vdots & \ddots & \vdots \\ c_{k1} & \cdots & c_{km} \end{bmatrix}$$

$$(TS)(u_j) = \sum_{i=1}^k c_{ij} w_i$$

$$(TS)(u_j) = T(Su_j) = T\left(\sum_p b_{pj} v_p\right) = \sum_p T b_{pj} v_p = \sum_{p=1}^n b_{pj} \left(\sum_{i=1}^k a_{ip} w_i\right) = \sum_{i=1}^k \left(\sum_{p=1}^n a_{ip} b_{pj}\right) w_i$$

$$[T]_{\beta}^{\tau} = \begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{k1} & \cdots & a_{kn} \end{pmatrix}$$

$$[S]_{\alpha}^{\beta} = \begin{pmatrix} b_{11} & \cdots & b_{1m} \\ \vdots & \ddots & \vdots \\ b_{k1} & \cdots & b_{km} \end{pmatrix}$$

$$(TS)(w_j) = \sum (c_{ij})(w_i)$$

$$c_{ij} = \sum_{p=1}^n a_{ip} b_{pj}$$

$$\begin{pmatrix} [T] \\ \begin{pmatrix} a_{11} & a_{1n} \\ \vdots & \vdots \\ a_{k1} & a_{kn} \end{pmatrix} \end{pmatrix} \begin{pmatrix} [S] \\ \begin{pmatrix} b_{11} & b_{1m} \\ \vdots & \vdots \\ b_{m1} & b_{mm} \end{pmatrix} \end{pmatrix} = \begin{pmatrix} [TS] \\ \begin{pmatrix} c_{11} & c_{1m} \\ \vdots & \vdots \\ c_{k1} & c_{km} \end{pmatrix} \end{pmatrix}$$

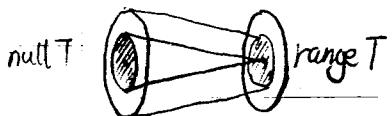
MAT240 October 27th

Recall

$$V \xrightarrow{T} W$$

If  $V$  is finite dimensional

$$\dim V = \dim \text{null } T + \dim \text{range } T$$



Matrix

$$V \xrightarrow{T} W$$

$$\beta = (v_1, v_2, \dots, v_n) \quad \tau = (w_1, w_2, \dots, w_k) \quad \text{choose bases}$$

$$[T]_{\beta}^{\tau} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{k1} & a_{k2} & \dots & a_{kn} \end{bmatrix}$$

columns are the coefficients of  $Tv_j$  in basis  $\tau$ .

$$\text{vector } x \in V \quad [x]_{\beta}^{\tau} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

to find coordinates of  $Tx$  in basis  $\tau$

$$\begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{k1} & \dots & a_{kn} \end{bmatrix} \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} ? \\ \vdots \\ ? \end{bmatrix} \xrightarrow{\text{columns}} [Tx]_{\tau}^{\tau}$$

The matrix of a composition

$$\begin{array}{ccc} U & \xrightarrow{S} & V & \xrightarrow{T} & W \\ \text{domain} & \overset{m}{\underset{n}{\text{of}}} & \overset{k}{\underset{l}{\text{of}}} & & \overset{k}{\underset{l}{\text{of}}} \end{array}$$

$$\text{matrix } [TS]_{\alpha}^{\gamma} = \left[ \begin{array}{c|c} T & S \\ \hline k \times n & n \times m \end{array} \right]_{\alpha}^{\gamma}$$

$$i \begin{bmatrix} j \\ \vdots \\ l \end{bmatrix} \xrightarrow{\text{columns}} c_{ij} = \sum_p a_{ip} b_{pj}$$

$\mathbb{F}^{k \times n}$  means  
 $k \times n$  matrices  
entries in  $\mathbb{F}$ .

① Remark:

$$\begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{k1} & \dots & a_{kn} \end{bmatrix}$$

defines a linear map  $\mathbb{F}^n \xrightarrow{\quad} \mathbb{F}^k$   
 $\mathbb{F}^n \xrightarrow{\quad} \mathbb{F}^k$   
 (n columns)

define a linear map  $\mathbb{F}^n \xrightarrow{A} \mathbb{F}^k$

the range of  $A$  is a subspace of  $\mathbb{F}^k$  spanned by  $(Av_1, \dots, Av_n) = \text{span}(\text{column}(A))$

column of  $A$  = "the column space"

② The null space of  $A$  is the set of  $\begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$  s.t.  $[A] \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$

$$\text{i.e.: } \{x \in \mathbb{F}^k; Ax=0 \text{ in } \mathbb{F}^k\}$$

but notice  $Ax=0$  is: a system of  $k$  linear equations:

$$\begin{array}{l} \begin{bmatrix} a_{11} & \cdots & a_{1n} \end{bmatrix} \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix} \Rightarrow \begin{array}{l} a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n = 0 \\ \vdots \\ a_{k1}x_1 + a_{k2}x_2 + \cdots + a_{kn}x_n = 0 \end{array} \\ \vdots \end{array}$$

so, finding  $\text{null}(A) =$  same as solving system of  $(k)$  linear equations in  $(n)$  unknowns.

EXAMPLE  
 $\mathbb{R}^3 \xrightarrow[S]{T} \mathbb{R}^3$  linear maps

$$[T]_e^e = \begin{bmatrix} a & b \\ c & d \\ e & f \end{bmatrix} \quad [S]_e^e = \begin{bmatrix} g & h & i \\ j & k & l \end{bmatrix}$$

can produce many linear maps:

$$ST: \mathbb{R}^3 \xrightarrow[S]{T} \mathbb{R}^3 \rightarrow ST = \begin{bmatrix} g & h & i \\ j & k & l \end{bmatrix} \begin{bmatrix} a & b \\ c & d \\ e & f \end{bmatrix} = \begin{bmatrix} ga+hb+ie, gb+hd+if \\ ja+kc+le, jb+kd, lf \end{bmatrix}$$

$$TS: \mathbb{R}^3 \xrightarrow[T]{S} \mathbb{R}^3$$

$$STS: \mathbb{R}^3 \xrightarrow[S]{TS} \mathbb{R}^3$$

$$TST: \mathbb{R}^3 \xrightarrow[T]{S} \mathbb{R}^3$$

How to change basis?

$$V \xrightarrow{T} W$$

$$[T]_{\beta}^{\tau} \quad \text{want to find} \quad [T]_{\beta'}^{\tau'}$$

old bases  $\beta, \tau$       new bases  $\beta', \tau'$

$$[T]_{\beta'}^{\tau'} = [I_w T I_v]_{\beta'}^{\tau'} = [I_w]_{\beta'}^{\tau'} [T]_{\beta}^{\tau} [I_v]_{\beta'}^{\tau}$$

change of basis matrices

MAT240 Nov. 1st

### Linear Maps : Bases and Inverses.

- Linear maps between vec. spaces can be represented by matrices:  
if  $V$  has dim  $m$  and  $W$  has dim  $n$  and  $T: V \rightarrow W$  is a linear map,  
then matrix representation of  $T$  has size  $n \times m$

$$\begin{matrix} m \text{ columns} & v \in V \\ n \text{ rows} & \left[ \begin{array}{c|c|c} \vdots & \vdots & \vdots \\ \hline v_1 & v_2 & v_3 \end{array} \right] \end{matrix} \left\{ \begin{array}{l} m \\ n \end{array} \right\} = \left[ \begin{array}{c|c|c} \vdots & \vdots & \vdots \\ \hline T(v_1) & T(v_2) & T(v_3) \end{array} \right]$$

$\xrightarrow{\substack{\text{basis for } W \\ \downarrow \text{basis for } V}}$

jth column is coords at  $T(v_j)$  in the basis  $\tau$ ,  
where  $v_j \in \beta$

$$\begin{matrix} V & \xrightarrow{I_V} & V & \xrightarrow{T} & W & \xrightarrow{I_W} & W \\ \beta & & \beta & & \tau & & \tau' \end{matrix}$$

$\xrightarrow{T}$

$$\boxed{[T]_{\beta}^{\tau'} = [I_W]^{\tau} [T]_{\beta}^{\tau} [I_V]_{\beta}^{\beta}}$$

change of basis

$[I_V]_{\beta}^{\beta} \rightarrow$  each column is the coords of a  $\beta'$  vector written as a lin. comb. of  $\beta$  vectors.

$$[I_V]_{\beta}^{\beta} = I_{\dim V}$$

E.g.  $D: P_2(\mathbb{R}) \rightarrow P_1(\mathbb{R})$  taking polys of degrees  $\leq 2$  (real coefficients) to ones at  $\deg \leq 1$  (by differentiation)

Basis of  $P_2$  is  $\beta = (1, x, x^2)$  ~~not~~ of  $P_1$  is  $\tau = (1, x)$

$$D(1) = 0 = 0 \cdot 1 + 0 \cdot x$$

$$D(x) = 1 = 1 \cdot 1 + 0 \cdot x$$

$$D(x^2) = 2x = 0 \cdot 1 + 2 \cdot x$$

$$[D]_{\beta}^{\tau} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$1 = 1 \cdot 1 + 0 \cdot x$$

$$x = (x-1) + 1 = 1 \cdot 1 + 1 \cdot (x-1)$$

$$1 = 1 \cdot 1 + 0 \cdot x + 0 \cdot x^2$$

$$(x-2) = -2 \cdot 1 + 1 \cdot x + 0 \cdot x^2$$

$$(x-2)^2 = x^2 - 4x + 4$$

$$= 4 \cdot 1 - 4 \cdot x + 1 \cdot x^2$$

if  $\beta = (x_1, 1, x^2)$ ,  $\tau = (x, 1)$

$$[D]_{\beta}^{\tau} = \begin{pmatrix} 0 & 0 & 2 \\ 1 & 0 & 0 \end{pmatrix}$$

if  $\beta = ((x-2)^2, (x-2), 1)$

~~$$[D]_{\beta}^{\tau} = \begin{pmatrix} 0 & 0 & 0 \\ 4 & 1 & 0 \end{pmatrix}$$~~

$$\checkmark [I_P]_{\beta}^{\beta} = \begin{pmatrix} -4 & -2 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

$$[I_P]_{\tau}^{\tau} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$[D]_{\beta}^{\alpha} = [I_{\beta}]^{\alpha} [D]_{\beta}^{\beta} [I_{\beta}]^{\beta}$$

$$= \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 4 & -2 & 1 \\ -4 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} -2 & 1 & 0 \\ 2 & 0 & 0 \end{pmatrix}$$

### Invertible Maps

Definition: A linear map  $T: V \rightarrow W$  is invertible when  $\exists$  another linear map  $S: W \rightarrow V$  st.  $ST = I_V, TS = I_W$ . (different 2 identity maps)

• Is  $I_V$  invertible?

$$\text{Yes } I_V^2 = I_V$$

$$I_V \cdot I_V = I_V \quad S \cdot I_V = I_V \quad T = I_V$$

So,  $I_V$  is invertible

• Is 0 map invertible?

$$0: V \rightarrow W$$

Suppose it is, then  $\exists S: W \rightarrow V$  such that

$$S \cdot 0 = I_V \quad 0 \cdot S = I_W$$

$$0 = I_V \quad 0 = I_W$$

this implies  $V$  &  $W$  are just (0 vectors) { }.

If not, not invertible.

\* 0 invertible when  $V, W$  just both 0.

• If  $\exists S: W \rightarrow V$  st.  $TS = I_W$ , then  $ST = I_V$

Counterexample.  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^1$  represented by  $[3 \ 4]$ .  
 $S: \mathbb{R}^1 \rightarrow \mathbb{R}^2$  represented by  $\begin{bmatrix} -1 \\ 1 \end{bmatrix}$

$$TS = [3 \ 4] \begin{bmatrix} -1 \\ 1 \end{bmatrix} = [1] = I_{\mathbb{R}^1}$$

T / F

$$ST = \begin{bmatrix} -1 \\ 1 \end{bmatrix} [3, 4] = \begin{bmatrix} -3 & -4 \\ 3 & 4 \end{bmatrix} \neq I_{\mathbb{R}^2}$$

Can have  $T$  have 2 distinct inverse?

Say  $S, S'$  both satisfy the conditions.

$$\begin{aligned} ST &= I \\ (ST)S' &= IS' \\ S(TS') &= S \cdot I \end{aligned} \quad \Rightarrow S = S'$$

The the INVERSE is always UNIQUE.

"We may speak of the inverse of  $T$  should it exist denote it  $T^{-1}$ )

### THEOREM:

$T$  is invertible iff  $T$  injective + surjective "1:1" "onto"

Proof:  $\Rightarrow T^{-1}$  exists Let  $v \in \text{null}(T)$

$$v = I_v v = T^{-1} \cdot T v = T^{-1} \cdot 0 = 0$$

Therefore  $\text{null}(T) = \{0\}$

$\Rightarrow T$  is injective

$w \in W$ , then  $T^{-1}w \in V$

let this vector be  $u \in V$ ,  $u = T^{-1}w$

$$\text{so } Tu = T(T^{-1}w) = (TT^{-1})w = Iw = w$$

so for each  $w \in W \exists$  something in  $V$  that goes to it under  $T$ , i.e.  $T$  is surjective.

The other direction

$\Leftarrow T$  1:1, onto. want to construct  $T^{-1}$ . for any  $w \in W$ , the surjectivity of  $T$  means  $\exists u \in V$  s.t.  $Tu = w$

$u$  is, furthermore, necessarily unique (by injectivity of  $T$ ).

So define  $Sw = u$  ( $S: W \rightarrow V$ )

$$ST = I_V? \quad STu = Su = u \quad ST \text{ sends } u \text{ to } u \quad \forall u \in V.$$

$$ST = I_V \checkmark$$

$$TS = I_w? \quad TSu = Tu = w \quad TS \text{ sends } w \text{ to } w \quad \forall w \in W$$

$$TS = I_w \checkmark$$

Check:  $S$  is linear. Then declare  $S$  to be  $T^{-1}$  it satisfies everything.

Done.

Definition: Two vector spaces are called isomorphic when there is an invertible linear map between them.

Theorem: Two finite-dim ~~vectors~~ vector spaces are iso iff they have same dim.  
(over same  $\mathbb{F}$ )

Proof:  $\Rightarrow$  Suppose  $T^{-1}$  exists

Take  $(v_1, \dots, v_n)$  basis of  $V$ . (Let  $n = \dim V, m = \dim W$ )

Claim:  $(Tv_1, \dots, Tv_n)$  are lin. indep. in  $W$ .

$$a_1Tv_1 + a_2Tv_2 + \dots + a_nTv_n = 0_W$$

$$T(a_1v_1 + \dots + a_nv_n) = 0_W$$

But  $T^{-1}$  implies that  $T$  is injective

so  $a_1v_1 + \dots + a_nv_n = 0_V$  cuz  $\text{null}(T) = \{0\}$

But  $(v_1, \dots, v_n)$  are lin. indep. in  $V \Rightarrow a_1 = \dots = a_n = 0$ .

$\therefore (Tv_1, \dots, Tv_n)$  are lin. indep. in  $W$ .

$$\Rightarrow \dim W \geq n = \dim V$$

On the other hand,  $T$  is surjective, so if  $(w_1, \dots, w_n)$  is basis of  $W$ ,  $(T^{-1}w_1, \dots, T^{-1}w_n)$  are vectors in  $V$ .

Claim:  $(T^{-1}w_1, T^{-1}w_2, \dots, T^{-1}w_n)$  lin. indep. in  $V$ .

Same argument, just need to know first

that  $T^{-1}$  is injective. ( $T^{-1}$  is invertible  $\Leftrightarrow T$  is its inverse!)

$\therefore T^{-1}$  injective (previous theorem)

$$\therefore \dim V \geq m = \dim W$$

$$m = n \Rightarrow \dim V = \dim W$$

$\Leftarrow$  Suppose  $\dim W = \dim V$ . Need to construct invertible  $T: V \rightarrow W$ .

Choose bases  $\alpha = (v_1, \dots, v_n)$ ,  $\beta = (w_1, \dots, w_n)$  for  $V$  and  $W$  respectively.

Define  $T: \alpha \rightarrow \beta$ , by  $Tv_j = w_j$ .

This determines a unique linear map  $T: V \rightarrow W$

$$\begin{aligned} T(x) &= T(a_1v_1 + \dots + a_nv_n) = a_1Tv_1 + a_2Tv_2 + \dots + a_nTv_n \\ &= a_1w_1 + a_2w_2 + \dots + a_nw_n \end{aligned}$$

so  $T: V \rightarrow W$  determined by what  $T: \alpha \rightarrow \beta$  does!

Is  $T: 1:1$ ? Let  $x \in \text{null}(T)$   $x = a_1v_1 + \dots + a_nv_n$

$$Tx = 0 \quad a_1Tv_1 + \dots + a_nTv_n = a_1w_1 + \dots + a_nw_n = 0$$

But  $w_1, \dots, w_n$  lin. indep.

$$a_1 = \dots = a_n = 0$$

So  $x=0$ , i.e.,  $\text{null}(T)=0$

i.e.,  $T$  is 1:1

Is  $T$  onto? take  $y \in W$ ,  $y = b_1 w_1 + \dots + b_n w_n$

$$\begin{aligned} T(b_1 v_1 + \dots + b_n v_n) &= b_1 T v_1 + \dots + b_n T v_n \\ &\in V \\ &= b_1 w_1 + \dots + b_n w_n \end{aligned}$$

So we found that sth. in  $V$  that goes to  $y$ !

$T$  is surjective.

$T^{-1}$  exists!

Done.

MAT245 ~~Nov~~ Nov 3<sup>rd</sup>

Def: A linear map  $T: U \rightarrow V$  is called invertible when  $\exists S: V \rightarrow U$   
st.  $ST = I_U$   
 $TS = I_V$

Thm: A linear map  $T: U \rightarrow V$  is invertible  $\Leftrightarrow$  it is surjective & injective.

(injective  $\Leftrightarrow \text{Null}(T) = \{0\}$ )

(surjective  $\Leftrightarrow \text{Range}(T) = V$ )

$$u \leftrightarrow v \cdot Tu$$

Def:  $T: U \rightarrow V$  an invertible linear map is called as (vector space)  
isomorphism.  $U$  and  $V$  are isomorphic. (~~two~~ an invertible linear maps between  
the two)

Def: An isomorphism  $T: V \rightarrow V$  is called an automorphism.

$$T: \mathbb{R}^3 \rightarrow \mathbb{R}^3 \quad T(x, y, z) = (x+y, y+z, z+x)$$

$T$  is an isomorphism, but the same map is not ~~an~~ an  
isomorphism over  $(\mathbb{F}_2)^3$

$$e_1 = (1, 0, 0), e_2 = (0, 1, 0), e_3 = (0, 0, 1)$$

$$T(e_1) = e_1 + e_3$$

$$T(e_2) = e_2 + e_1$$

$$T(e_3) = e_3 + e_2$$

$$\text{if } T(x, y, z) = (0, 0, 0) \Rightarrow x+y = y+z = z+x = 0 \Rightarrow x=y=z=0$$

$$\text{Null}(T) = \{0\}$$

$$\text{over } (\mathbb{F}_2)^3 \quad T(1, 1, 1) = (1+1, 1+1, 1+1) = (0, 0, 0)$$

$$\text{Null}(T) \neq \{0\}$$

Prop:  $T: V \rightarrow W$  is linear and  $\dim V \neq \dim W$ ,  $W \subset \mathbb{C}$

Then 1.  $T$  injective iff invertible

2.  $T$  surjective iff invertible

Prof:  $\dim V = \dim \text{Null } T + \dim \text{Range } T$

If  $T$  is injective,  $n = \dim \text{range } T + 0 \Rightarrow \dim \text{Range } T = n = \dim W$   
 $\Rightarrow \text{range } T = W$

Similarly if  $T$  is surjective, then the  $\dim \text{Range } T = \dim W = n$ .

$$\Rightarrow \dim \text{null } T = 0 \Rightarrow \text{Null } T = \{0\}$$

$T: V \rightarrow W$

$V$  and  $W$  finite dimensional

$$\dim V = \dim W$$



$T$  surjective  $\bar{T}$  injective

Thm: If  $\dim V = \dim W = n$

$$A = [T]_B^S \text{ is } n \times n$$

$A$  invertible  $\Leftrightarrow$  cols of

$A$  are lin. indep.

$\Leftrightarrow$  Rows of  $A$  are linearly indep.

Proof: cols of  $A$  span the range

$$A: \mathbb{F}^n \rightarrow \mathbb{F}^n$$

$A$  invertible  $\Leftrightarrow$  injective + surjective

which means columns of  $A$  are lin. ind.

$A\vec{x} = \vec{0}$  gives a system of  $n$  linear equations

Rows of  $A$  independent

RREF  $A$  gives a unique solution  $\vec{x} = \vec{0}$

$A$  injective

Invertible  $\Leftrightarrow$  injective  $\Leftrightarrow$  surjective

↑  
independent rows  
↓  
independent columns

Finding  $A^{-1}$

Doing GE to  $A$  we get Id Matrix.

Each operation corresponds to an elementary matrix

$$R_3 - R_2 \rightarrow \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 2 \end{array} \right) \quad \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & -1 & 1 \end{array} \right)$$

$$R_1 - R_2 \rightarrow \left( \begin{array}{ccc} 1 & 0 & 1 \\ 0 & 1 & -1 \\ 0 & 0 & 2 \end{array} \right) \quad \left( \begin{array}{ccc} 1 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right)$$

$$\frac{1}{2}R_3 \rightarrow \left( \begin{array}{ccc} 1 & 0 & 1 \\ 0 & 1 & -1 \\ 0 & 0 & 1 \end{array} \right) \quad \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \frac{1}{2} \end{array} \right)$$

$$R_2 + R_3 \rightarrow \left( \begin{array}{ccc} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \quad \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right)$$

$$R_1 - R_3 \xrightarrow{Id} \left( \begin{array}{ccc} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \quad \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right)$$

$$A^{-1} \left( \begin{array}{ccc} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \dots$$

$$A = \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{array} \right)$$

$$-R_2 \left( \begin{array}{cc|cc} 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{array} \right)$$

$$R_2 - R_1 \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & -1 & 1 & 0 \\ \hline 0 & 1 & 0 & 0 \end{array} \right)$$

$$\frac{1}{2}R_3 \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ \hline 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{1}{2} & \frac{1}{2} \end{array} \right)$$

$$R_1 + R_2 \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & -1 & 1 & 0 \\ \hline 1 & 0 & 0 & 0 \end{array} \right)$$

$$R_3 + R_2 \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & -1 & 1 & 0 \\ \hline 0 & 0 & -1 & 0 \end{array} \right)$$

$$R_2 + R_3 \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{array} \right) \xrightarrow{A^{-1}}$$

$$R_1 - R_3 \left( \begin{array}{cc|cc} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{array} \right)$$

$V$  finite dim v.s.p       $\dim V = n$

$L(V) = \text{linear maps } T: V \rightarrow V$  "operators".

$$= L(V, V)$$

$$\dim L(V) = n^2$$

(square  $n \times n$  matrices)

Remark: When representing one of the operators  $T \in L(V)$  as a matrix, only need one basis  $\beta = (v_1, \dots, v_n)$  for  $V$ .

$$[T]_{\beta}^{\beta}$$

If we change basis for  $\beta'$

$$[T]_{\beta'}^{\beta'} = [I]_{\beta'}^{\beta} \cdot [T]_{\beta}^{\beta} \cdot [I]_{\beta}^{\beta'}$$

These are inverses of each other.

$$\boxed{A' = P \cdot A \cdot P^{-1}}$$

Terminology: When  $A, A' \in \mathbb{F}^{n \times n}$  are related by  $A' = PAP^{-1}$ , we say  $A$  and  $A'$  are SIMILAR.

Q: What kinds of linear operators are there?  
Classification

A: Jordan Canonical form

Simplest case:

① zero operator  $0 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$

② identity operator  $I = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

③  $\lambda I$ ,  $\lambda \in \mathbb{F}$

rescaling by  $\lambda$

$$[\lambda I]_{\beta}^{\beta} = \begin{bmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{bmatrix}$$

④ diagonal matrix

$$\begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_n \end{bmatrix}$$

rescaling each basis elt.  
by its own factor.

Warning for ④ a diagonal matrix need not be diagonal in a different basis.

$$\begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} \frac{3}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{3}{2} \end{pmatrix}$$

↑  
change of basis

Question for ④: Given an operator  $T$ ,

is there a basis for which  $[T]_{\beta}^{\beta}$  is diagonal?

"Is  $T$  diagonalizable?"

not always?

The answer is that not always possible.

But over  $\mathbb{C}$  numbers, almost all operators are

diagonalizable but NOT ALL!

Defn: ①  $\lambda \in \mathbb{F}$  is an EIGENVALUE of  $T \in L(V)$   
when there is a ~~nonzero~~ nonzero  $v \in V$  s.t.  $Tv = \lambda v$

In other words:  $\dim(\ker(T - \lambda \cdot I)) > 0$

② If  $\lambda$  is eigenvalue, then any vector in  $\ker(T - \lambda \cdot I)$

is called an eigenvector

i.e. any vector  $u$  st.  $Tu = \lambda u$

eigenspace

Remark: The purpose of "eigen" stuff is to try to find a basis in which  $T$  is diagonal.

$$[T]_{\beta}^{\beta} = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \quad \begin{array}{l} Tv_1 = \lambda_1 v_1 \\ Tv_2 = \lambda_2 v_2 \end{array} \quad \begin{array}{l} \text{the basis} \\ \text{is eigenvector} \end{array}$$

$$Tv_n = \lambda_n v_n$$

e.g.  $\lambda \cdot I$  has eigenvalue  $\lambda$

why? because  $u \neq 0$  satisfies

$$Tu = \lambda u$$

Then every vector in  $V$  is an eigenvector.

\* The two obstacles preventing diagonalization?

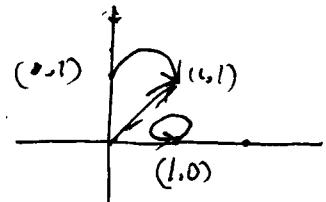
① operator has no eigenvalue at all.

e.g.  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \quad 0 < \theta < \pi$

as a map  $\mathbb{R}^2 \rightarrow \mathbb{R}^2$   
note: over  $\mathbb{C}$  this is not a problem.

② "Cycling"

Look at this matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$



$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} + \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$



not sent to itself  
but itself plus another vector of the previous matrix.

A shear transformation.

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = 1 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

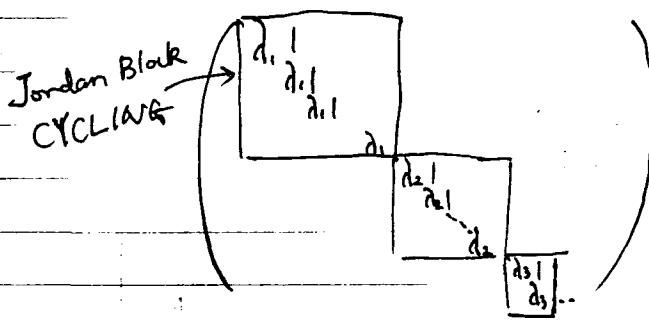
↑ eigenvector  
↑ eigenvalue

$$T \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$$T \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

What we will see:

Over  $\mathbb{C}$ , always possible to find a basis where  $[T]_B^B$  has the following shape.



Warning  
Blocks could be  $1 \times 1, 2 \times 2, \dots k \times k$  —

$$\begin{matrix} d_1 & & \\ & d_2 & \\ & & d_3 \\ & & & \ddots \end{matrix}$$

$| \times |$

Warning Some  $d_i$  may coincide

$$I = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

MAT 240 Nov. 15th

eigenvalues & eigenvectors

Recall:  $\lambda \in \mathbb{F}$  is eigenvalue when  $\text{null}(T - \lambda) \neq \{0\}$ . (i.e.  $Tu = \lambda u$  for some  $u \neq 0$ ).

null( $T - \lambda$ ) is eigenspace corresponding to  $\lambda$ .  
||  
✓ eigenvector.

Warning: may not be any eigenvalue in  $\mathbb{F}$

(even there's always one)

- even with eigenvector, may not be a basis of eigenvector.

$\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}$   $0 < \theta < \pi$  no eigenvalues at all in  $\mathbb{R}$ .  
(but one in  $\mathbb{C}$ ).

Shield or Cycling

$$\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \text{ or } \begin{pmatrix} 2 & 1 \\ 2 & 1 \end{pmatrix} = A$$

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$



eigenvalue of  $A$

null( $A - \lambda$ )  $\neq \{0\}$

$2$  is an eigenvalue

$$\begin{pmatrix} 2-\lambda & 1 & 0 \\ 0 & 2-\lambda & 1 \\ 0 & 0 & 2-\lambda \end{pmatrix}$$

suppose  $\lambda \neq 2$

$$2-\lambda \neq 0$$

this is invertible

$$\text{null} = \{0\}$$

$\Rightarrow$  so only have eigenvalue 2.

Just because  $\lambda=2$  is only eigenvalue

there still may be many ~~eigenvectors~~ eigenvectors

$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$   $\leftarrow$  has a basis  
of eigenvector

$$\begin{aligned} \text{null}(A-2) \\ \text{null} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \text{span} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \\ \dim \text{null}(A-2) = 1 \end{aligned}$$

$\Rightarrow A$  has one eigenvalue 2  
 $\dim \text{eigenspace } \text{span}(\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix})$

S:

$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$	$\begin{pmatrix} 2 & 1 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 2 \end{pmatrix}$	$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 2 \end{pmatrix}$	$\xrightarrow{\text{null}(A-2)}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$	$\xrightarrow{\text{2-di nullspace}}$
$\dim \text{null}(A-2)$	③	①	②		

Thm: If  $\lambda_1, \dots, \lambda_m$  are distinct eigenvalues of  $T \in L(V)$   
 with nonzero  $(v_1, \dots, v_m)$  eigenvectors  $Tv_i = \lambda_i v_i$

Then they are linearly independent.

Cor: maximum of  $\dim V$  eigenvalues.

Proof: suppose  $a_1 v_1 + \dots + a_m v_m = 0$

take  $v_{k+1}$  the first vector which is ~~redundant~~ redundant,  
 i.e.  $v_{k+1} = a_1 v_1 + \dots + a_k v_k$

$$Tv_{k+1} = \lambda_{k+1} v_{k+1} = a_1 \lambda_1 v_1 + \dots + a_k \lambda_k v_k$$

①

$$\text{On the other hand, } \lambda_{k+1} v_{k+1} = a_1 \lambda_{k+1} v_1 + \dots + \cancel{a_{k+1} \lambda_{k+1} v_{k+1}}, a_k \lambda_{k+1} v_k \quad \text{②}$$

$$\text{① - ②: } a_1 (\lambda_1 - \lambda_{k+1}) v_1 + a_2 (\lambda_2 - \lambda_{k+1}) v_2 + \dots + a_k (\lambda_k - \lambda_{k+1}) v_k = 0$$

~~non-zero~~ -----

$$(\lambda_1 - \lambda_{k+1})(a_1 v_1 + \dots + a_k v_k) = 0$$

$$\Rightarrow a_1 = a_2 = \dots = a_k = 0 \Rightarrow \cancel{v_{k+1}} = 0$$

contradiction.

Thm: every  $T \in L(V)$   $V$  finite dim over  $\mathbb{C}$ , has at least 1 eigenvalue.

Proof: Take  $v \in V, v \neq 0$

Suppose  $\dim V = n$

form list  $(v, Tv, T^2 v, \dots, T^n v)$  has length  $n+1$ .

$\Rightarrow$  list is lin. dep.

$\exists a_i \in \mathbb{C}$ , not all 0.

s.t.  $a_0 v + a_1 T v + \dots + a_n T^n v = 0$

$(\cancel{a_0} + \cancel{a_1 z} + \dots + a_n z^n) v = 0$  polynomial in 1 variable

$$(a_0 + a_1 z + a_2 z^2 + \dots + a_n z^n)$$

Let  $a_m$  be the last non-zero of  $a_i$ 's

$\Rightarrow p(z)$  has degree  $m$

because we are over  $\mathbb{C}$ , the polynomial factors ... into linear factors.

$$p(z) = c(z-\lambda_1) \dots (z-\lambda_m) \quad \begin{matrix} c \neq 0 \\ \lambda_i \end{matrix} \text{ numbers in } \mathbb{C}$$

$$\Rightarrow c(T-\lambda_1) \dots (T-\lambda_m)v = 0$$

$\lambda$  dead so one of the  $(T-\lambda_i)$  killed it

$\Rightarrow$  one of the  $\lambda_i$  is eigenvalue.

Comment  $\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \quad 0 < \theta < \pi \rightarrow$  no eigenvalue

The interpretation of this

this that we would get a quadratic real polynomial

no real solutions  $\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$

Motivation:

$$\begin{pmatrix} \lambda & 1 \\ 0 & \lambda \end{pmatrix} \quad \text{degree eigenvalue } \lambda \quad \text{null}(T-\lambda) \quad \dim = 1$$

$$(T-\lambda) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad (T-\lambda)^2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

Similarly

$$\begin{pmatrix} \lambda & 1 & 0 & 0 \\ 0 & \lambda & 1 & 0 \\ 0 & 0 & \lambda & 1 \\ 0 & 0 & 0 & \lambda \end{pmatrix} - \lambda = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (T-\lambda)^4 = 0$$

Def<sup>n</sup> If  $\lambda$  eigenvalue of  $T \in L(V)$

~~the~~ null  $(T-\lambda)^k$  is the generalized eigenvector

✓ generalized eigenvector for  $\lambda$ .

e.g.  $D = \frac{d}{dt}$  acts on diff. functions on  $\mathbb{R}$   $f(t)$

$$De^{kt} = \lambda e^{kt} \text{ for any } \lambda \in \mathbb{R}$$

exponential  $f^n$ ,  $e^{\lambda t}$  is eigenvector for eig.  $\lambda$

actually only eigenvector for  $\lambda$  (up to rescaling)

What about generalized eigenvectors?

$$\text{null } (D - \lambda)^2 = \{f : (D - \lambda)^2 f = 0\}$$

$$\text{null } (D - \lambda)^2 = \left\{ f : \frac{d^2 f}{dt^2} - 2\lambda \frac{df}{dt} + \lambda^2 f = 0 \right\}$$

$$\text{or } (D - \lambda)(D - \lambda)f = 0$$

$$\Rightarrow (D - \lambda)f = C e^{\lambda t} \quad (\text{because that's the only eigenvector})$$

$$\Rightarrow Df - \lambda f = C e^{\lambda t}$$

$$\frac{df}{dt} - \lambda f = C e^{\lambda t}$$

$$\frac{d}{dt}(f e^{-\lambda t}) = \left(\frac{df}{dt}\right) e^{-\lambda t} - \lambda f e^{-\lambda t} = \left(\frac{df}{dt} - \lambda f\right) e^{-\lambda t}$$

$$\frac{d}{dt}(f e^{-\lambda t}) = C$$

$$f e^{-\lambda t} = \cancel{A} \cancel{B} C t + B \quad \text{for some constant } C, B.$$

$$\Rightarrow \boxed{f = C t e^{\lambda t} + B e^{\lambda t}} \xrightarrow{\substack{\text{eigenvector} \\ \text{generalized eigenvector}}$$

write  $D$  as a matrix on  $\text{span}(e^{\lambda t}, t e^{\lambda t})$

$$D = \begin{pmatrix} \lambda & 1 \\ 0 & \lambda \end{pmatrix} \quad \text{derivative } \not= \text{ always has plenty of Jordan blocks}$$

Thm: Decomposition thm:

if  $(\lambda_1, \dots, \lambda_m)$  distinct numbers

then  ~~$\text{null}(T - \lambda_1)^{k_1} \cap \dots \cap \text{null}(T - \lambda_m)^{k_m} = \text{null}(T - \lambda_i)^{k_i}$~~

the sum  $\text{null}(T - \lambda_1)^{k_1} \oplus \dots \oplus \text{null}(T - \lambda_m)^{k_m}$  is direct.

and equals  $= \text{null}(T - \lambda_1)^{k_1} \dots (T - \lambda_m)^{k_m}$

Remark: special case  $k_i = 1, \forall i$

factor polynomial s

Application:

diff. eqn  $y''' - 4y'' + 5y' - 2y = 0$

general solution?

$$D = \frac{d}{dt} \quad (D^3 - 4D^2 + 5D - 2)y = 0$$

$$(D-1)^2(D-2)y = 0$$

$$\text{null } (D-1)^2(D-2) = \text{null } (D-1)^2 \oplus \text{null } (D-2)$$

$$Ce^t + Dte^t$$

$$C_1e^t + C_2te^t + C_3e^{2t}$$

↑ eigenvector

$$\lambda = 1$$

$$\lambda = 1$$

gen... eigenvector

$\Rightarrow$  get a 3-dim space of solution.

MA7240 November 17<sup>th</sup>

Last time

① If  $T \in L(V)$  with  $n = \dim V$  different eigenvalues  $\lambda_1, \dots, \lambda_n$ .  
Then  $(v_1, \dots, v_n)$  is a basis.

( $v_i$ , nonzero eigenvector for  $\lambda_i$ )  
i.e.  $V = \text{null}(T - \lambda_1) \oplus \text{null}(T - \lambda_2) \oplus \dots \oplus \text{null}(T - \lambda_n)$

$v_1 \quad v_2 \quad v_n$

② If  $V$  is complex vector space

Then  $T$  has at least  $\frac{1}{2}$  eigenvalue.

at  $(v, T^1 v, T^2 v, \dots, T^n v)$

$$p(T)v = 0$$

$$c(z - \lambda_1) \dots (z - \lambda_m)$$

$T \in L(V) \cong F^n$   
Spectrum  $\underbrace{\lambda_1, \lambda_2, \dots, \lambda_n}_{\text{(set of eigenvalues)}}$   $F$

$T \in L(\mathbb{R}^n) \subset \cancel{L(\mathbb{C}^n)} L(\mathbb{C}^n)$   
↑  $n \times n$  real matrix      ↑  $n \times n$  complex matrix

### Polynomials applied to operators

Look for polynomials  $p(z)$  s.t.  $p(T) = 0$

e.g.  $T = \begin{bmatrix} 1 & & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 1 \end{bmatrix} \quad T - I = 0$

$$p(z) = z - 1$$

$$p(z) \text{ s.t. } p(T) = 0$$

②  $T = \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \\ \vdots & \ddots \\ 0 & \lambda_n \end{bmatrix} \quad (z - \lambda_1)(z - \lambda_2) \quad \cancel{\text{quadratic polynomial}}$

$$\begin{pmatrix} 0 & 0 \\ 0 & \lambda_2 - \lambda_1 \end{pmatrix} \begin{pmatrix} \lambda_1 - \lambda_2 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix}$$

$$p(z) = (z - \lambda_1) \dots (z - \lambda_n)$$

degree- $n$  polynomial

Suppose that you know  ~~$p(T) = 0$~~  for  $p(z) = (z - \lambda_1)(z - \lambda_2) \dots (z - \lambda_n)$

$$n = \dim V$$

$\lambda_i$  different

$$P(T)V = 0 \quad \forall V$$

$$\textcircled{3} \quad \begin{pmatrix} 2 & 1 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 2 \end{pmatrix} \quad (T - 2I) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

$$(T - 2I)^3 = 0 \quad \text{i.e. polynomials}$$

$(z - 2)^3$  cubic minimal polynomial

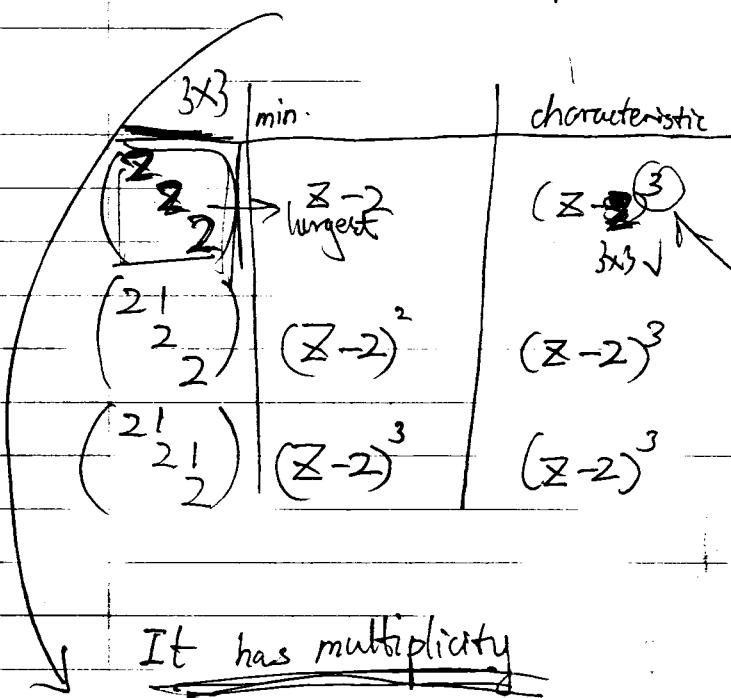
Terminology:  $\textcircled{1}$  Minimal

$\textcircled{2}$  Characteristic polynomial of  $T$

coeff. = 1

startest  $z^n + az^{n-1} + \dots$

It's the monic polynomial of smallest degree  $p(T) = 0$



It has multiplicity

$$\textcircled{4} \quad \begin{pmatrix} 2 & 1 & & \\ 0 & 2 & 1 & \\ & 0 & 2 & \\ & & 0 & 3 \\ & & & 3 \end{pmatrix}$$

eigenvalues 2, 3

3 Jordan blocks size (3, 1)  $\lambda=2$ , size 2  $\lambda=3$

characteristic:  $(z-2)(z-3)^2$

total degree 6

minimal:  $(z-2)(z-3)^2$

$$\begin{pmatrix} 3 & & & \\ & 3 & 1 & \\ & 3 & 3 & \\ & & & 3 \end{pmatrix}$$

Remark minimal polynomial tells you the size of the largest Jordan block for each of the ~~the~~ eigenvalue.

For example: minimal poly:  $(z-1)^2$ , so it is:  $\begin{pmatrix} 4 & & & \\ & 4 & & \\ & & 4 & \\ & & & 0 \end{pmatrix}$

If min. poly is  $(z-1)z^2$  and char. poly. is  $(z-0)^3 z^2$

$$\begin{pmatrix} 1 & & \\ & 1 & \\ & & 0 \end{pmatrix}$$

SHOULD BE multiplicity=3

$$\begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 0 \end{pmatrix} \checkmark$$

Prop: the minimal polynomial  $p$  divides any other polynomial  $g$  s.t.  $g(T)=0$

Reason:  $g(z) = p(z) \cdot a(z) + r(z)$   $\deg r < \deg p$

Apply to  $T$   $g(T)=0 = p(T) \cdot a(T) + r(T)$   $\Rightarrow$  s.t.  $r(T)=0$  contradiction

so  $r=0$

This  $p$  actually divides  $g$ .

Why must there be any polynomials killing  $T$ ?

The answer

Thm: recall  $\dim L(V) = n^2$   $n = \dim V$   
 $(I, T, T^2, T^3, \dots, T^{n^2})$

This must be linearly dependent  
which means that ~~is~~

$$a_0 I + a_1 T + a_2 T^2 + \dots + a_{n^2} T^{n^2} = 0$$

Decomposition theorem

MAT240 Nov. 22nd

Recall:

1) eigenvalue  $\Leftrightarrow \text{null}(T-\lambda)$  nonzero

eigenspace

$\text{null}(T-\lambda)^2$

$\text{null}(T-\lambda)^3$

TOTAL  
LOSS!

generalized  
eigen space

$\dots \text{null}(T-\lambda)^m$  →  
largest

Main Tool: Decomposition Thm.

Thm: If  $P(T) = 0$  for  $p = (z - \lambda_1)^{k_1} \cdots (z - \lambda_m)^{k_m}$ ,  $\lambda_i$  distinct  
then  $V = \text{null}(T - \lambda_1)^{k_1} \oplus \cdots \oplus \text{null}(T - \lambda_m)^{k_m}$

( $V$  is a direct sum of the generalized eigenspaces of  $T$ )

Step 1: RHS is a direct sum

Suppose we have  $v_1 + v_2 + \cdots + v_m = 0$ .  $v_i$  is in  $\text{null}(T - \lambda_i)^{k_i}$

want to show that  $v_i = 0 \quad \forall i$ .

$(T - \lambda_i)^{k_i}$  kills  $\text{null}(T - \lambda_i)^{k_i}$

but it is invertible on  $\text{null}(T - \lambda_2)^{k_2}$  or ... or  $\text{null}(T - \lambda_m)^{k_m}$

Lemma: ① If  $T^k = 0$  then  $I - T$  is invertible.

Proof:  $(I - T)^{-1} = I + T + T^2 + \cdots + T^{k-1}$

$$(I + T + T^2 + \cdots + T^{k-1}) (I - T) = I$$

This is the Inverse!

② If  $(T - a)^k = 0$  for some  $a$ , then  $(T - b)$  is invertible when  $b \neq a$ .

$$(T - b)^{-1} = \frac{1}{b-a} (I + \frac{T-a}{b-a} + (\frac{T-a}{b-a})^2 + \cdots + (\frac{T-a}{b-a})^{k-1})$$

(Lemma: on subspace  $\text{null}(T - \lambda_2)^{k_2} = V(\lambda_2)$ )

we have  $(T - \lambda_2)^{k_2} = 0$  and  $(T - \lambda_2)^{k_2}$  invertible.

Apply  $\prod_{i \neq j} (T - \lambda_i)^{k_i}$  to  $v_1 + \cdots + v_m$ :

$$\text{get } \prod_{i \neq j} (T - \lambda_i)^{k_i} v_j = 0$$

but each of the  $(T - \lambda_i)^{k_i}, i \neq j$  are invertible

π: product

on  $V(\lambda_j) = \text{null } (T - \lambda_j)^{k_j} \Rightarrow \boxed{V_j = 0}$

Step 2: Show that the ~~RHS~~  $= V$  (not a proper subspace)  
 must show every  $v \in V$  can be decomposed as  $v = v_1 + \dots + v_m$   
 $v_i = \text{null } (T - \lambda_i)^{k_i}$

Induction: ①  $V(\lambda_1) = \text{null } (T - \lambda_1)^{k_1}$

②  $V(\lambda_1) \oplus V(\lambda_2) = \cancel{\text{null } (T - \lambda_1)^{k_1}} \cdot \cancel{\text{null } (T - \lambda_2)^{k_2}} = \text{null } ((T - \lambda_1)^{k_1} \cdot (T - \lambda_2)^{k_2})$

③  $V(\lambda_1) \oplus V(\lambda_2) \oplus V(\lambda_3) = \cancel{\text{null } (T - \lambda_1)^{k_1}} \cdot \cancel{\text{null } (T - \lambda_2)^{k_2}} \cdot \cancel{\text{null } (T - \lambda_3)^{k_3}}$

⋮  
 (end)  $V(\lambda_1) \oplus V(\lambda_2) \oplus \dots \oplus V(\lambda_m) = \text{null } ((T - \lambda_1)^{k_1} \cdots (T - \lambda_m)^{k_m}) = V$

first step:

$$V(\lambda_1) \oplus V(\lambda_2) \subseteq \text{null } ((T - \lambda_1)^{k_1} (T - \lambda_2)^{k_2})$$

OK

$$u + v \quad (T - \lambda_1)^{k_1} (T - \lambda_2)^{k_2} (u + v)$$

$$(T - \lambda_2)^{k_2} (T - \lambda_1)^{k_1} \underbrace{u}_{\text{OK}} + \underbrace{(T - \lambda_2)^{k_2} (T - \lambda_1)^{k_1} v}_{\text{OK}}$$

$$0 + 0 = 0$$

To show  $\supseteq$ :  $V(\lambda_1) \oplus V(\lambda_2) \supseteq \text{null } ((T - \lambda_1)^{k_1} (T - \lambda_2)^{k_2})$

let  $v \in \text{null } ((T - \lambda_1)^{k_1} (T - \lambda_2)^{k_2})$

$$(T - \lambda_1)^{k_1} (T - \lambda_2)^{k_2} v = 0$$

$$\Rightarrow (T - \lambda_2)^{k_2} v \in \text{null } (T - \lambda_1)^{k_1}$$

goal:  
 $v = v_1 + v_2$   
 $\downarrow$   
 $V(\lambda_1) \quad V(\lambda_2)$

now  $(T - \lambda_2)^{k_2}$  is invertible on  $\text{null } (T - \lambda_2)^{k_2}$

(Lemma)

Let  $w_1 = (T - \lambda_2)^{k_2} v_1$  (inverse only on  $V(\lambda_2)$ )

$$v - w_1 \in \text{null } (T - \lambda_2)^{k_2}$$

$$(T - \lambda_2)^{k_2} (v - w_1) = v_1 - v_1 = 0$$

$\Rightarrow$  define  $w_2 = v - w_1$

get  $v = w_1 + w_2$

$$\begin{matrix} \cap \\ V(\lambda_1) \end{matrix} \quad \begin{matrix} \cap \\ V(\lambda_2) \end{matrix}$$

rest of induction uses the same principle

$$(T - \lambda_1)^{k_1} \cdots (T - \lambda_m)^{k_m} = 0$$

$$\Rightarrow \sum_{\substack{i=1 \\ i \neq j}}^m (T - \lambda_i) v \in \text{null } (T - \lambda_j)^{k_j}$$

apply inverse of to  $v_j$  get  $w_j$ .

$$\Gamma_{(T-\lambda_1)}^{k_1} (T-\lambda_2)^{k_2} v = 0$$

$$V = V(\lambda_1) \oplus V(\lambda_2)$$

$$\downarrow \quad \downarrow \quad \downarrow$$

$$v = w_1 + u_2$$

$$(T-\lambda_1)^{k_1} (T-\lambda_2)^{k_2} v = 0 \text{ Find them}$$

apply

$$(T-\lambda_2)^{k_2} v = (T-\lambda_1)^{k_1} w + 0$$

to solve for  $w$ , write  $(T-\lambda_2)^{-k_2}$   
~~w~~  $w = (T-\lambda_2)^{-k_2} v$ , inverse on  $V(\lambda_1)$

Example of application of this

$$\textcircled{1} \quad y''' - 4y'' + 5y' - 2y = 0 \quad \text{homogeneous linear ODE}$$

$$(D^3 - 4D^2 + 5D - 2)y = 0$$

$$(D-1)^2(D-2)y = 0$$

$$\text{find null } (D-1)^2(D-2) = V$$

$$\stackrel{\text{Thm}}{\Rightarrow} V = \text{null}(D-1)^2 \oplus \text{null}(D-2)$$

$$\text{span}(e^t, te^t) \quad \text{span}(e^{2t})$$

$$\text{general solution } Ae^t + Bte^t + Ce^{2t} \quad A, B, C \in \mathbb{R}$$

$$\text{Ex2. } y''' - 4y'' + 5y' - 2y = e^{3t} \quad \text{inhomogeneous}$$

$$(D-1)^2(D-2)y = e^{3t}$$

notice in null(D-3)

$$(D-1)^2(D-2)(D-3)y = (D-3)e^{3t} = 0 \quad \text{④}$$

$$\text{null}(D-1)^2(D-2)(D-3) = \text{span}(e^t, te^t, e^{2t}, e^{3t})$$

general solution:

→ null(T-2)

$$Ly = (Ae^t + Bte^t + Ce^{2t} + De^{3t}) \rightarrow \text{null}(T-3)$$

must solve ④

$$\text{Apply } (D-1)^2(D-2) \text{ get } (D-1)^2(D-2) F e^{3t} = e^{3t}$$

null(D-3)

$$\text{but } (D-2)e^{3t} = 3e^{3t} - 2e^{3t} = 1 \cdot e^{3t}$$

$$(D-1)e^{3t} = (3-1)e^{3t} = 2e^{3t}$$

$$\Rightarrow 4Fe^{3t} = e^{3t}$$

$$F = \frac{1}{4}$$

idean.  $T = D$

$$\text{ODE} \leftrightarrow P(T)y = 0 \quad P = T^3 + 2T^2 + \dots$$

$\text{Sol}^{ns}$  = vectorspace  $V$  on which  $P(T) = 0$

Thm If you factor  $p = (z - \lambda_1)^{k_1} \cdots (z - \lambda_m)^{k_m}$

$$V = \text{null}(T - \lambda_1)^{k_1} \oplus \cdots \oplus \text{null}(T - \lambda_m)^{k_m}$$

spans  $\text{span}(e^{\lambda t}, te^{\lambda t}, t^2 e^{\lambda t})$

How to find a Jordan Basis?

①  $V$  decomposes  $V = V(\lambda_1) \oplus \cdots \oplus V(\lambda_m)$

so I only need to explain how to do it for  $V(\lambda_i)$   
work in  ~~$\mathbb{C}^n$~~

$$V(\lambda) = \text{null}(T - \lambda)^k$$

② It may be very easy, if you know  $\dim V(\lambda)$

know minimum polynomial

$$\text{e.g. } P_{\min}(T) = (T - \lambda)^2 \text{ and } \dim V = 2$$

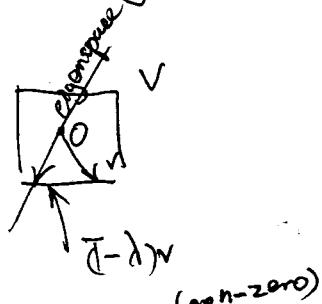
$\begin{pmatrix} \lambda & 1 \\ & \lambda \end{pmatrix} \Rightarrow$  we must find 1 eigenvector  $Tu = \lambda u$

1 cyclic vector  $v$  s.t.

$v$  satisfies  $(T - \lambda)v = 0$  } this is what  
 $(T - \lambda)v = u$  } must be solved

why not pick any  $v \in V$  (know  $(T - \lambda)^2 v = 0$ )  
then define  $u = (T - \lambda)v$ , it must be an eigenvector

warning: must choose  $v \in V$  not in eigenspace



computationally,  
how to pick  $v$  not  
in  $\text{null}(T - \lambda)$ ?

first choose an nonzero eigenvector  $u$   
extend to a basis  $(u, v)$   
then  $((T - \lambda)v, v)$  is Jordan B.

$$\text{Ex (easy). } P_{\min} = (\lambda - 1)^3 \quad \dim V = 5$$

$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

2x2      or      2 " 1x1"

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

for this  $\dim(\text{null}(T-1)) = 3 \quad \left. \begin{array}{l} \\ \end{array} \right\} 2 \text{ cases.}$   
 $\dim(\text{null}(T-1)) = 2$

Idea: to find the shape of Jordan form we need to know  
~~the dimension~~  $\dim(\text{null}(T-\lambda))^k$  for  $k=1, 2, 3, \dots$

$$(t_1, t_2, t_3, \dots) \quad t_i = \dim \text{null}(T-\lambda)^i.$$

Sequence  $\vec{t} = (2, 4, 5, 5, \dots)$   
 2 eigenvectors

Sequence.  $\vec{t} = (3, 4, 5, 5, \dots)$

Q : suppose eigenvalue = {3}  
 and  $\vec{t} = (4, 6, 7, 7, \dots)$   
 What is the Jordan form?

~~7-d space~~

4 Jordan blocks,  $6-4=2$  of them are larger than  $1 \times 1$ .

$$\begin{matrix} 3 \\ 3 \\ 3 \\ 1 \end{matrix}$$

$$\begin{matrix} 3 \\ 3 \\ 3 \\ 3 \\ 3 \\ 3 \end{matrix}$$

$$\Rightarrow \begin{matrix} 3 & & & \\ 3 & 3 & & \\ 3 & 1 & & \\ 3 & & & \\ 3 & & & \\ 3 & 1 & & \\ 3 & & & \end{matrix}$$

$7-6=1$  of them is larger than  $2 \times 2$

MAT246 Nov. 24th

## Finding a Jordan basis (partial explain)

T operator  $\vee$  f.d.  $\subset$  v.sp

① If min poly.  $\underline{p(z)}$ . factor  $\underline{\underline{p(z)}}$   $p(z) = (z - \lambda_1)^{k_1} \cdots (z - \lambda_m)^{k_m}$   
by Decomposition theorem.  $V = V(\lambda_1) \oplus \cdots \oplus V(\lambda_m)$

( $V(\lambda_i)$  generalized eigenvector for eigenvalue  $\lambda_i$ )

So just work in each  $V(\lambda_i)$  separately

find Jordan Basis from  $V(\lambda_1), V(\lambda_2), \dots$ , put them together

② now suppose T has  $p(z) = \underline{\underline{\underline{p(z)}}} (z - \lambda)^k$  ( $k < \dim V$ )  
must find Jordan basis

③ figure out shape,  $\vec{t}(t_1, t_2, \dots)$

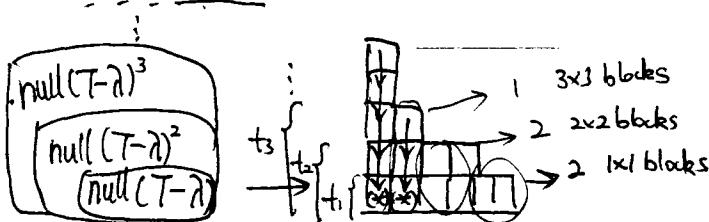
$t_i = \dim \text{null}(T - \lambda)^i$

$\vec{t} = t_1 = \dim(\text{eigenspace}) = \text{total # of Jordan blocks (including } 1 \times 1 \text{)}$   
(Since each Jordan block has exactly 1 eigenvector)

$t_2 \geq t_1$  and  $t_2 - t_1 = \# \text{ of J.B. of size } > 1$

↑ since  $\text{null}(T - \lambda)^2 \subset \text{null}(T - \lambda)^1$

$t_3 \geq t_2$      $t_3 - t_2 = \# \text{ J.B. size } > 2$



If  $v_i \in \text{null}(T - \lambda)^2$  but not in  $\text{null}(T - \lambda)$

$(T - \lambda)v_i \in \text{null}(T - \lambda)$  this is sent to (\*) (to a previous one)

KILLS

The corner vectors  
generate everything down  
by apply  $(T - \lambda)$ .

MAT240 Nov. 29 th

### Determinants

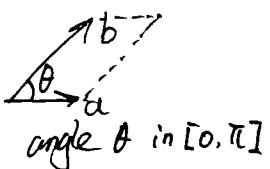
The determinant of operator  $T \in L(V)$  is a number  $\in \mathbb{F}$   
 nonzero  $\Leftrightarrow T$  invertible

model for oriented volume

if  $T \in \mathbb{R}^{n \times n}$ , then  $\det T = \text{oriented volume of box } N/\text{edges} = \text{columns}$

Euclidean

Oriented area in plane.



Area is given by:

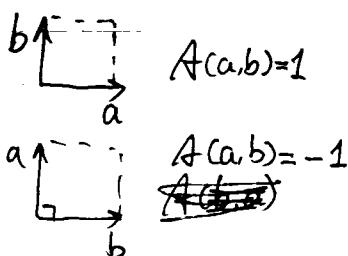
$$\text{Area} = |a| \cdot |b| \cdot \sin \theta$$

Oriented area

$$A(a, b) = \pm |a| |b| \sin \theta$$

+ if  $\theta$  from  $a$  to  $b$   
 counterclockwise

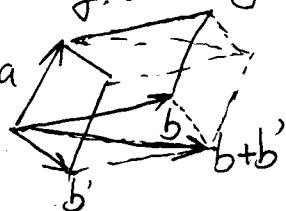
- otherwise



essentially,  $A(a, b)$  gives -Area

( $e_1, e_2$ )

good property:

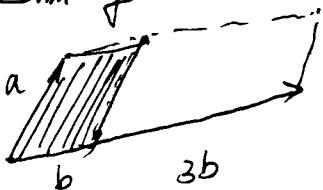


- comparison orientation  $(a, b)$  and

$$A(a, b) + A(a, b') = A(a, b+b')$$

Area is linear in each of the two  
 arguments  $a, b$ . "bilinear".

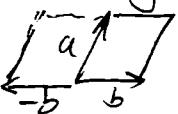
Similarly



$$A(a, 3b) = 3A(a, b)$$

$\lambda \in \mathbb{R}$ , e.g. 3

need negative areas so that  $A(a, -b)$



$$A(a, -b) = -A(a, b)$$

makes sense

## Exterior Algebra $V$ -vectorspace / $\mathbb{F}$ $\mathbb{F} = \mathbb{R}, \mathbb{C}$

Create new vector spaces:  $\boxed{V}$   $\Lambda^0 V = \mathbb{F} \Lambda^0 V$   
 $\Lambda^1 V = V$   
 $\Lambda^2 V = \Lambda^2 V$   
 $\boxed{\Lambda^n V \Rightarrow n = \dim V}$   
 ~~$\Lambda^k V = \mathbb{F}$~~

①  $\Lambda^0 V = \mathbb{F} \checkmark$

②  $\Lambda^1 V = V \checkmark$

③  $\Lambda^2 V = \text{"formal area"} \rightarrow \text{vectorspace of formal linear combos of expressions } u \wedge v \text{ where } u, v \in V$

i.e.  $\Lambda^2 V = \{u_1 \wedge v_1 + u_2 \wedge v_2 + \dots + u_k \wedge v_k\}$

such that  $u \wedge (v_1 + v_2) = u \wedge v_1 + u \wedge v_2$

$\uparrow$  sum in  $\Lambda V$        $\uparrow$  sum in  $\Lambda^2 V$

•  $u \wedge (\lambda v) = \lambda(u \wedge v)$

•  ~~$u \wedge v = -v \wedge u$~~

" $\wedge$ " doesn't mean  
an operation,  
it's a new  
vector space

again: ④  $u \wedge (v_1 + v_2) = u \wedge v_1 + u \wedge v_2$

⑤  $u \wedge (\lambda v) = \lambda(u \wedge v)$

⑥  $u \wedge v = -v \wedge u$

(SAME PROPERTIES OF Area ( $u, v$ ) !)  $\Rightarrow$   $u \wedge v$  is bilinear

$$(u_1 + u_2) \wedge v = u_1 \wedge v + u_2 \wedge v$$

e.g.  $\mathbb{R}^2$  has a standard basis  $(e_1, e_2)$

$$\Lambda^2(\mathbb{R}^2) = \{u_1 \wedge v_1 + u_2 \wedge v_2 + \dots + u_k \wedge v_k\}$$

$$(ae_1 + be_2) \wedge (ce_1 + de_2)$$

$$(a, e_1 + be_2) \wedge (c, e_1 + de_2)$$

|| rule ④

$$(a, e_1 + be_2) \wedge ce_1 + (a, e_1 + be_2) \wedge de_2$$

|| rule ④ ③ ②

$$\cancel{ac(e_1 \wedge e_1) + bc(e_2 \wedge e_1) + ad(e_1 \wedge e_2) + bd(e_2 \wedge e_2)}$$

Idea: "edge" understands

linear dependence.

notice: If  $u \wedge v = -v \wedge u$   
then  $u \wedge u = -u \wedge u$   
so  $u \wedge u = 0$

$$0 + bc(e_2 \wedge e_1) + ad(e_1 \wedge e_2) + 0$$

$$= ad(e_1 \wedge e_2) - bc(e_1 \wedge e_2)$$

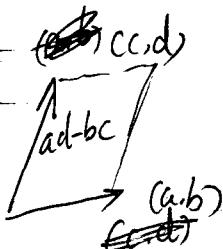
$$=(ad - bc)e_1 \wedge e_2$$

||

$$A(\vec{a}, \vec{b})e_1 \wedge e_2 = \det \begin{pmatrix} a & c \\ b & d \end{pmatrix} = ad - bc$$

$$\vec{a}: (a, e_1 + be_2)$$

$$\vec{b}: (c, e_1 + de_2)$$



Remark: if you replace  $\vec{a}$  with  $\vec{a} + \vec{b}$  then  $(\vec{a} + \vec{b}) \wedge \vec{b}$

$$\vec{a} \wedge \overset{\text{if } \vec{a} = \vec{a} + \vec{b}}{\vec{b}} + \vec{b} \wedge \vec{b}$$

$$\vec{a} \wedge \vec{b} + 0 = \vec{a} \wedge \vec{b}$$

Conclusion (dim 2)

Def: ① If  $e_1, e_2$  basis  $(Ae_1) \wedge (Ae_2) = (\det A)(e_1 \wedge e_2)$

(number defined to be  $\det A$ )

② If  $T \in L(V)$  then it defines a linear operator on  $\Lambda^2 V$   
 $\downarrow \Lambda^2 T$

$$\text{by } (\Lambda^2 T)(u \wedge v) = (Tu) \wedge (Tv)$$

If  $V$  has dim 2,  $\Lambda^2 V$  is 1-dimensional (spanned by  $e_1 \wedge e_2$ )

so ~~( $\Lambda^2 T$ )~~ must be scalar multiplication by a number  $\in F$

this number is the determinant by defn.

$$(\Lambda^2 T) = (\det T) \cdot I$$

Increase dim  $n$   $V = \mathbb{R}^3$

$$(a_1 e_1 + a_2 e_2 + a_3 e_3) \wedge (b_1 e_1 + b_2 e_2 + b_3 e_3) \in \Lambda^2 V$$

$$\begin{aligned} \binom{3}{2} \text{ terms: } &= (a_1 b_2 - a_2 b_1) e_1 \wedge e_2 + \\ & (a_1 b_3 - a_3 b_1) e_1 \wedge e_3 + \\ & (a_2 b_3 - a_3 b_2) e_2 \wedge e_3 \end{aligned} \quad \left( \text{compare with } x \right)$$

We started with  $\dim V = 3$ , got  $\dim \Lambda^2 V = \binom{3}{2} = 3$

So If  $V = \mathbb{R}^3$ , we can define isomorphism  $\Lambda^2 \mathbb{R}^3 \rightarrow \mathbb{R}^3$

$$e_1 \wedge e_2 \mapsto e_3$$

$$e_2 \wedge e_3 \mapsto e_1$$

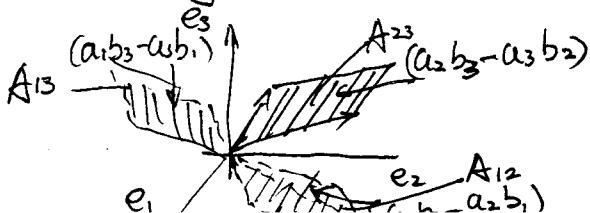
$$e_3 \wedge e_1 \mapsto e_2$$

$$\text{So } \varphi((a_1 e_1 + a_2 e_2 + a_3 e_3) \wedge (b_1 e_1 + b_2 e_2 + b_3 e_3))$$

$$= (a_1 b_2 - a_2 b_1) e_3 + (a_1 b_3 - a_3 b_1) e_1 + (a_2 b_3 - a_3 b_2) e_2$$

$$= (a_1 e_1 + a_2 e_2 + a_3 e_3) \times (b_1 e_1 + b_2 e_2 + b_3 e_3)$$

Insight: 2-d area in 3 dimension is a vector.



actual area

$$= \sqrt{A_{12}^2 + A_{23}^2 + A_{13}^2}$$

Volume in  $\mathbb{R}^3$ : volume is trilinear, so we need  $\Lambda^3 V$

$$\Lambda^3 V = \{ u_1 \wedge v_1 \wedge w_1 + u_2 \wedge v_2 \wedge w_2 + \dots + u_k \wedge v_k \wedge w_k \} \quad \text{where } u_i, v_i, w_i \in V$$

rules: distributes

in  $\mathbb{R}^3$  "any pair  $uv = vu$ "  
 $a = a_1 e_1 + a_2 e_2 + a_3 e_3$

$$b = b_1 e_1 + b_2 e_2 + b_3 e_3$$

$$c = c_1 e_1 + c_2 e_2 + c_3 e_3$$

$$a \wedge b \wedge c = a_1 b_2 c_3 (e_1 \wedge e_2 \wedge e_3) +$$

$$a_1 b_3 c_2 (e_1 \wedge e_3 \wedge e_2) +$$

$$a_2 b_1 c_3 (e_2 \wedge e_1 \wedge e_3) +$$

$$(a_2 b_3 c_1) (e_2 \wedge e_3 \wedge e_1) +$$

$$(a_3 b_1 c_2) (e_3 \wedge e_1 \wedge e_2) +$$

$$(a_3 b_2 c_1) (e_3 \wedge e_2 \wedge e_1)$$

$$= -a_1 b_3 c_2 (e_1 \wedge e_2 \wedge e_3)$$

$$= -a_2 b_1 c_3 (e_2 \wedge e_1 \wedge e_3)$$

$$= \cancel{+} a_2 b_3 c_1 (e_1 \wedge e_2 \wedge e_3)$$

$$= + a_3 b_1 c_2 (e_1 \wedge e_2 \wedge e_3)$$

$$= -a_3 b_2 c_1 (e_1 \wedge e_2 \wedge e_3)$$

$$= \boxed{(a_1 b_2 c_3 - a_1 b_3 c_2 - a_2 b_1 c_3 + a_2 b_3 c_1 + a_3 b_1 c_2 - a_3 b_2 c_1)(e_1 \wedge e_2 \wedge e_3)}$$

volume (a, b, c)

$$\det \begin{pmatrix} a_1 & b_1 & c_1 \\ a_2 & b_2 & c_2 \\ a_3 & b_3 & c_3 \end{pmatrix}$$

If  $\dim V = 3$ ,  $\Lambda^3 V$  1-dimensional

i.e. if  $V = \text{span}(e_1, e_2, e_3)$ ,  $\Lambda^3 V = \text{Span}(e_1 \wedge e_2 \wedge e_3)$

and  $(Te_1) \wedge (Te_2) \wedge (Te_3) = \boxed{\det T} e_1 \wedge e_2 \wedge e_3$

Recap: In dim 3 Area has 3 components  $\leftrightarrow \dim(\Lambda^2 V) = 3$   
 Volume has 1 component  $\leftrightarrow \dim(\Lambda^3 V) = 1$

~~Comment~~. Expansion along column:

$$\left( \begin{array}{c} a_1 e_1 \\ + \\ a_2 e_2 \\ + \\ a_3 e_3 \end{array} \right) \wedge \left( \begin{array}{c} b_1 e_1 \\ + \\ b_2 e_2 \\ + \\ b_3 e_3 \end{array} \right) \wedge \left( \begin{array}{c} c_1 e_1 \\ + \\ c_2 e_2 \\ + \\ c_3 e_3 \end{array} \right) = (a_1 e_1) \wedge \boxed{\begin{array}{c} b_1 e_1 \\ b_2 e_2 \\ b_3 e_3 \end{array}} \wedge \boxed{\begin{array}{c} c_1 e_1 \\ c_2 e_2 \\ c_3 e_3 \end{array}}$$

$$+ (a_2 e_2) \wedge \boxed{\begin{array}{c} b_1 e_1 \\ b_2 e_2 \\ b_3 e_3 \end{array}} \wedge \boxed{\begin{array}{c} c_1 e_1 \\ c_2 e_2 \\ c_3 e_3 \end{array}}$$

$$+ (a_3 e_3) \wedge \boxed{\begin{array}{c} b_1 e_1 \\ b_2 e_2 \\ b_3 e_3 \end{array}} \wedge \boxed{\begin{array}{c} c_1 e_1 \\ c_2 e_2 \\ c_3 e_3 \end{array}}$$

$$= a_1 \det \begin{pmatrix} b_2 & c_2 \\ b_3 & c_3 \end{pmatrix} \cdot e_1 \wedge e_2 \wedge e_3$$

+

$$a_2 \det \begin{pmatrix} b_1 & c_1 \\ b_3 & c_3 \end{pmatrix} \cdot e_2 \wedge e_1 \wedge e_3$$

+

$$a_3 \det \begin{pmatrix} b_1 & c_1 \\ b_2 & c_2 \end{pmatrix} \cdot e_3 \wedge e_1 \wedge e_2$$

$$= \cancel{a_1 \det \begin{pmatrix} b_1 & c_2 \\ b_3 & c_3 \end{pmatrix}} a_1 \left| \begin{array}{cc} b_2 & c_2 \\ b_3 & c_3 \end{array} \right| - a_2 \left| \begin{array}{cc} b_1 & c_1 \\ b_3 & c_3 \end{array} \right| + a_3 \left| \begin{array}{cc} b_1 & c_1 \\ b_2 & c_2 \end{array} \right|$$

$$= \det \begin{pmatrix} a_1 & \cancel{b_1 & c_1} \\ a_2 & b_2 & c_2 \\ a_3 & b_3 & c_3 \end{pmatrix}$$

Why? because  $\wedge^n V$  has dimension 1

In general

If  $\dim V = n$

an operator  $T: V \rightarrow V$

agrees a map  $\wedge^n V \rightarrow \wedge^n V$

defined by  $u_1 \wedge \dots \wedge u_n \mapsto T u_1 \wedge T u_2 \wedge \dots \wedge T u_n$

(This map must be scalar multiplication by a constant, this constant =  $\det T$ )

24/  
30

## Exercise 1

1. Solution:  $(a+bi)^{-1} = c+di$ 

so  $(a+bi)(c+di) = 1$

$$ac + (bc+ad)i - bd = 1$$

$$\begin{cases} ac - bd = 1 \\ bc + ad = 0 \end{cases}$$

(2)

solve these two equations about c, d

so we can get

$$\left. \begin{array}{l} c = \frac{a}{a^2+b^2} \\ d = \frac{-b}{a^2+b^2} \end{array} \right\}$$

Why?

2. Proof: The cube of  $\frac{-1+i\sqrt{3}}{2}$  is  $\left(\frac{-1+i\sqrt{3}}{2}\right)^3$ .

which is equal to.

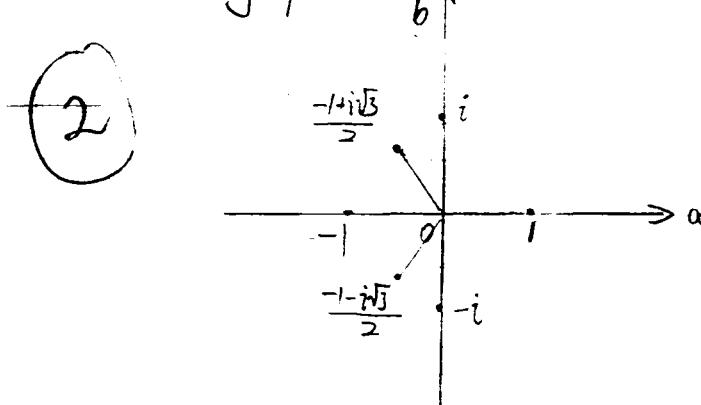
$$\begin{aligned} \left(\frac{-1+i\sqrt{3}}{2}\right)^3 &= \left(\frac{1-i\sqrt{3}+(-3)}{4}\right) \left(\frac{-1+i\sqrt{3}}{2}\right) \\ &= \left(\frac{-1-i\sqrt{3}}{2}\right) \left(\frac{-1+i\sqrt{3}}{2}\right) \\ &= 1 \end{aligned}$$

(3)

End of the proof. ✓

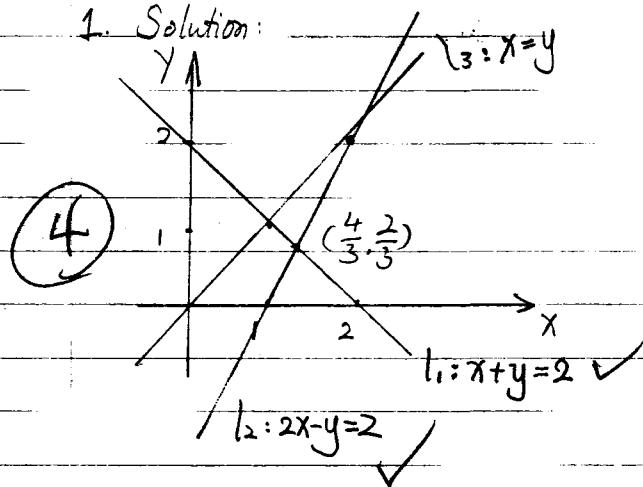
3. Solution: The elements in S are  $S = \left\{ 1, \frac{-1+i\sqrt{3}}{2}, \frac{-1-i\sqrt{3}}{2} \right\}$  Why?  
The elements in T are  $T = \{-1, 1, i, -i\}$ 

The graph is:



## Exercise 2

1. Solution:



(4)

$$\begin{cases} y = 2 - x \\ y = 2x - 2 \end{cases}$$

so  $\begin{cases} x = \frac{4}{3} \\ y = \frac{2}{3} \end{cases}$

thus the intersection of  $l_1$  and  $l_3$  is point  $(\frac{4}{3}, \frac{2}{3})$

2. Solution:

(3)

$$\begin{cases} y = x \\ y = 2 - x \end{cases} \Rightarrow x = 1, y = 1$$

$$\begin{cases} y = x \\ y = 2x - 2 \end{cases} \Rightarrow x = 2, y = 2$$

$\Rightarrow$  The intersections of  $l_1 \cap l_3$  and  $l_2 \cap l_3$  are  $(1, 1)$  and  $(2, 2)$ .

and there is the intersection of all three lines  $l_1 \cap l_2 \cap l_3$  is  $\emptyset$ .  
Why?

3.  $l_3$  is linear subspace

Proof: As  $l_3$  is the only line which goes through the origin  $(0,0)$  if we name the line  $l_3$  as a vector space  $U$ .  
Then  $0 \in U$

(2)

We choose two points on the  $l_3$ ,  $u = (x_1, y_1)$  and  $v = (x_2, y_2)$

which satisfy  $u, v \in U$

and  $u+v = (x_1+x_2, y_1+y_2)$

since the points on  $l_3$  has the property that  $x=y$

So  $u+v = (x_1+x_2, y_1+y_2) = (x_1+x_2, x_1+x_2) \in U$

Using the point  $u = (x_1, y_1)$  above, multiply it by  $a \in \mathbb{R}$ ,

we will have  $au = a(x_1, y_1) \in U$  Why?  $- au =$

In a word,  $l_3$  is a linear subspace.

$$(ax_1, ay_1) =$$

$$(ax_1, ax_1) \in U.$$

End of the proof.

Exercise 3:

Solution: No,  $V$  is not a vector space.

According to the operation mentioned above,

$$c \cdot (x, y) = (cx, 0)$$

Let's suppose  $c=1$ , thus

$$1 \cdot (x, y) = (x, 0)$$

Since  $y=0$  is not certain,

Therefore  $V$  does not ~~satisfy~~ satisfy the property of a vector space.  
which means,  $V$  is not a vector space.

The end of the proof.

You should mention that the axiom requires that

$1 \cdot v = v \quad \forall v \in V$ , and then give an instance  
in which this is violated.

e.g.  $1 \cdot (1, 1) = (1, 0) \neq (1, 1)$ .

Review

1. Vector spaces

Fields :  $\mathbb{F}_p$  finite finite with prime # ?  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$ .

- $\mathbb{F}^n$  n-dim' space most important because  $\mathbb{F}^n = \{\text{maps } X \rightarrow \mathbb{F}\}$  a set
- Any finite-dim' v. space over  $\mathbb{F}$  is isomorphic to  $\mathbb{F}^n$
- Sequences  $(x_1, x_2, \dots) x_i \in \mathbb{F}$
- $V, W$  v. spaces  $L(V, W)$  v. space  $V^*$  v. space
- $T$  linear map  $V \rightarrow \mathbb{F}^n$
- $V$  has a basis  $\Leftrightarrow$  isomorphism  $\Leftrightarrow$  invertible  $\Leftrightarrow$  injective & surjective  $\Leftrightarrow$  null  $T = \{0\}$  range  $T = \mathbb{F}^n$

To understand [basis] need

- linear (in)dependence
  - span
- } Gaussian elim. alg or row reduction

Def. A list  $(v_1, \dots, v_n)$  is lin independent when the only lin. relation  $a_1v_1 + \dots + a_nv_n = 0$  is when  $a_1 = \dots = a_n = 0$ .

Basis  $(u_1, \dots, u_k)$ 

lin. indept.  $(v_1, \dots, v_k)$  lin. indept  $\Leftrightarrow v_1, \dots, v_k \neq 0$  (wedge product)

Ex:  $(1, x, y)$   
 $(1, t, 0)$  are they  $\stackrel{\text{lin.}}{\text{indep.}}$ ?  
 $(0, 1, 1)$

$\downarrow$  RDW REDUCTION

$$\left( \begin{array}{ccc|c} 1 & x & y & \\ 0 & 1 & t & \\ 0 & 0 & 1-y-t+x & \end{array} \right)$$

~~$\left( \begin{array}{ccc|c} 1 & x & y & \\ 0 & 1 & t & \\ 0 & 0 & 1-y-t+x & \end{array} \right)$~~

lin. indept.

$$1-y-t+x \neq 0$$

$$(\text{indep.} \Leftrightarrow 1-y-t+x=0)$$

Given  $u_1, \dots, u_k$ , find a basis for span of the list.

Linear equations

$$\begin{matrix} Ax=b \\ \mathbb{F}^{k \times n} \quad \mathbb{F}^n \quad \mathbb{F}^k \\ \text{matrix} \end{matrix}$$

$$\left[ \begin{matrix} & & & x_1 & \\ & \vdots & & = & b_1 \text{ (given)} \\ k \text{ given} & & & & b_k \\ & & x_n & & \end{matrix} \right]$$

Solve that?  
 Exist solution?

is  $b$  in range( $A$ )?

### Matrix convention

matrix of operator  $[A]^n$   
 from  $\mathbb{F}^n$  to  $\mathbb{F}^n$  has columns  $\begin{bmatrix} A\mathbf{e}_1 & A\mathbf{e}_2 & A\mathbf{e}_3 & \dots & A\mathbf{e}_n \end{bmatrix}$

$$[A] \cdot \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} = 1^{\text{st column}}$$

suppose it is,  $\exists \mathbf{x}_0$  with  $A\mathbf{x}_0 = \mathbf{b}$

Are there any other solutions?

Yes! if ~~A~~ is not injective  $\Leftrightarrow \text{null } A \neq \{0\}$   
 $\mathbf{x} \in \text{null}(A)$   $A(\mathbf{x}_0 + \mathbf{x}) = A\mathbf{x}_0 = \mathbf{b}$

Therefore, ~~b~~ It has solutions iff  $\mathbf{b}$  is given in the range of  $A$ .

The number of solutions depends on ~~whether~~ whether  $\text{null } A$  is  $\{0\}$ .

### How to implement?

~~Ax = b~~

~~$E_m E_{m-1} \dots E_1 A \mathbf{x} = E_m \dots E_1 \mathbf{b}$~~

$$\left( \begin{array}{cccc|c} 1 & * & \dots & 0 & b_1 \\ 0 & 1 & * & \dots & b_2 \\ 0 & 0 & 1 & \dots & b_3 \\ 0 & 0 & 0 & 0 & b_k \end{array} \right) \left( \begin{array}{c} x_1 \\ \vdots \\ x_n \end{array} \right) = \left( \begin{array}{c} b_1 \\ \vdots \\ b_k \end{array} \right)$$

For instance:

$$\left( \begin{array}{ccccc} 1 & 0 & 2 & 2 & 3 \\ 0 & 1 & 0 & 0 & 4 \\ 0 & 0 & 0 & 1 & 5 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right) \left( \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{array} \right) = \left( \begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \end{array} \right)$$

no solution by looking at  
the last row

$$0 \cdot x_1 + 0 \cdot x_2 + 0 \cdot x_3 + 0 \cdot x_4 + 0 \cdot x_5 = 4 \quad ?$$

lin. indep. columns.  
span the range.



$$\begin{aligned} x_1 + 2x_2 + 2x_4 + 3x_5 &= 1 \\ x_2 + 4x_5 &= 2 \\ 3x_4 + 5x_5 &= 3 \end{aligned}$$

range is 3-dim.

$$\text{take } \begin{cases} x_5 = t \\ x_3 = s \end{cases}, x_4 = 3 - 5t, x_2 = 2 - 4t, x_1 = 1 - 2s - 6t + 3t = -5 + 5t$$

just take  $t = s = 0$ .

$$\text{then } x_1 = -5, x_2 = 2, x_3 = 0, x_4 = 3, x_5 = 0$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{pmatrix} = \begin{pmatrix} -5 \\ 2 \\ 0 \\ 3 \\ 0 \end{pmatrix} + s \begin{pmatrix} -2 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} + t \begin{pmatrix} 7 \\ 0 \\ 0 \\ -4 \\ 5 \end{pmatrix}$$

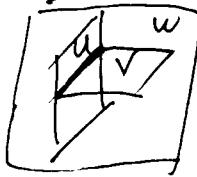
two-dim null space  
particular solution.

dim range = 3

dim null = 2

rank nullity theorem!

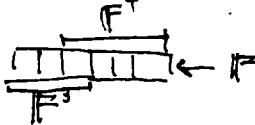
## Intersections

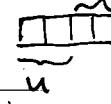


$$\dim(U \cup V) = \dim U + \dim V - \dim(U \cap V)$$

↙  
Subspaces

$$\dim(U \cup V) = \dim U + \dim V \quad \text{only if } \frac{U \cup V}{U \cap V} \text{ is direct}$$

e.g. ①   $\dim(U \cap V) = \begin{cases} 0 \\ 1 \\ 2 \\ 3 \end{cases}$  can't be zero

e.g. ②   $\dim(U \cap V) = \begin{cases} 0 \\ 1 \\ 2 \end{cases}$

$$\begin{aligned} \dim(U \cap V) &= \dim U + \dim V - \dim(U \cup V) \\ &\geq \dim U + \dim V - \dim W \end{aligned}$$

$$\dim(U \cap V) \leq \min(\dim U, \dim V)$$

## Eigenvalue of operators

Def.  $\lambda \in F$  eigenvalue when  $\text{null}(T - \lambda)$  not  $\{0\}$

Def. eigenvector  $v \in \text{eigenspace}$ .

~~No eigenvalues~~ Warning:  $T$  needn't have eigenvalue, but over  $\mathbb{C}$ , has at least 1.

Why? polynomial  $p(z)$  s.t.  $p(T) = 0$

factor it  $p(z) = (z - \lambda_1) \cdots (z - \lambda_m)$  (only possible over  $\mathbb{C}$ )

e.g. for  $\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} p(z) = z$  for   $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} p(z) = z(z-1)^2$

$$(T - \lambda_1) \cdots (T - \lambda_m) = 0$$

If  $T - \lambda_i$  had  $\{0\}$  nullspace  $V_i$ ,

then  $(T - \lambda_i)$  invertible  $V_i \Rightarrow$  one must have nonzero nullspace

Def. a generalized eigenspace for eigenvalue  $\lambda$ .  
is  $\text{null}(T - \lambda)^{\dim V}$

basis of eigenvectors

$T$  has 1 eigenvalue, is it true that  $T$  not diagonalisable?  
 $(T \in \mathbb{R}^3)$

distinct No. it could be diagonalisable.

What if  $T$  has 2 eigenvalues? , is  $T$  necessarily diagonalisable?  
 $\lambda_1, \lambda_2 \in \mathbb{R}$

No.

could be like this

$$\left( \begin{array}{cc|c} \lambda_1 & 1 & \\ & \lambda_2 & \\ \hline & & \lambda_2 \end{array} \right) \xrightarrow{\text{E}} \left( \begin{array}{ccc} \lambda_1 & & \\ & \lambda_2 & \\ & & \lambda_2 \end{array} \right)$$

diagonal  $\Rightarrow$  find eigenvalue

$$: \begin{pmatrix} 1 & 2 \\ 3 & 5 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \lambda \begin{pmatrix} x \\ y \end{pmatrix} \quad \begin{pmatrix} 1-\lambda & 2 \\ 3 & 5-\lambda \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

find  $\lambda$  s.t. null  $\begin{pmatrix} 1-\lambda & 2 \\ 3 & 5-\lambda \end{pmatrix}$  non zero

$$\begin{pmatrix} 1-\lambda & 2 \\ 3 & 5-\lambda \end{pmatrix} \rightarrow \begin{pmatrix} 1-\lambda & 2 \\ 1 & \frac{5-\lambda}{3} \end{pmatrix} \rightarrow \begin{pmatrix} 1 & \frac{5-\lambda}{3} \\ 0 & 2-(1-\lambda)\frac{5-\lambda}{3} \end{pmatrix}$$

nonzero nullspace  $\Leftrightarrow (3 \times 2) - (1-\lambda)(5-\lambda) = 0 \Leftrightarrow -\lambda^2 + 6\lambda + 1 = 0$

diagonalisable over  $\mathbb{R}$

$$\lambda^2 - 6\lambda - 1 = 0$$

two distinct real solutions

② find eigenvectors  $\beta = (u_1, u_2)$

solve system with 2 values of  $\lambda$ .

$$\begin{pmatrix} 1 & 2 \\ 3 & 5 \end{pmatrix} = [T]_{\mathbb{R}}^e = P D P^{-1} = \begin{pmatrix} [I]_{\mathbb{R}}^e & [T]_{\mathbb{R}}^{\beta} [I]_{\mathbb{R}}^{\beta} \end{pmatrix}$$

↑ Standard basis      ↑ diagonal

columns are  $\beta$  in term of  $e$ .

Invert

$$\left( \begin{array}{cc|cc} 1 & 2 & 1 & 0 \\ 3 & 5 & 0 & 1 \end{array} \right)$$

$$\left( \begin{array}{cc|cc} 0 & -1 & -3 & 1 \\ 1 & 0 & \rightarrow 2 & \end{array} \right)$$

$$\left( \begin{array}{cc|cc} 0 & 1 & 3 & -1 \\ 1 & 0 & -5 & 2 \end{array} \right)$$

$$\left( \begin{array}{cc|cc} 1 & 0 & -5 & 2 \\ 0 & 1 & 3 & -1 \end{array} \right) \rightarrow \text{got it.}$$

## Exerzition II

### Exercise 1

1. Proof: Let  $U_3$  be the intersection of  $U_1 \cap U_2$

Assume  $u, v \in U_3$ .

thus  $u, v \in U_1, u, v \in U_2$

since  $U_1, U_2$  are two subspaces

so  $u+v \in U_1, u+v \in U_2$  ✓

$\Rightarrow u+v \in U_3 \Rightarrow U_3$  is indeed closed under addition.

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Assume  $x \in U_3, a \in F$ .

As  $U_3 \subseteq U_1$ ,  $U_1$  is a subspace of  $V$  which is closed under scalar multiplication

Therefore  $ax \in U_1$  ✓

Similarly  $ax \in U_2$  ✓

Thus  $ax \in U_3 \Rightarrow U_3$  is closed under multiplication ✓

(3)

As  $U_1, U_2$  are two subspaces of  $V$

so  $0 \in U_1, 0 \in U_2$

$\Rightarrow 0 \in U_3 \Rightarrow U_3$  has additive identity ✓

In a word, the intersection  $U_1 \cap U_2$  is also a subspace of  $V$ .

2. Proof: Assume  $u, v \in \bigcap_{n \in \mathbb{N}} U_n$

As  $U_1 \supseteq \bigcap_{n \in \mathbb{N}} U_n$

so  $u, v \in U_1$  ✓

since  $U_1$  is a subspace of  $V$

so  $u+v \in U_1$  ✓

Similarly we can prove  $u+v \in U_2, U_3 \dots U_n$  ✓

Thus  $u+v \in \bigcap_{n \in \mathbb{N}} U_n$  ✓

Assume  $x \in \bigcap_{n \in \mathbb{N}} U_n, a \in F$

As  $U_1 \supseteq \bigcap_{n \in \mathbb{N}} U_n$ ,  $U_1$  is a subspace of  $V$

so  $ax \in U_1$

Similarly we can prove  $ax \in U_2, U_3 \dots U_n$

Thus  $ax \in \bigcap_{n \in \mathbb{N}} U_n$  ✓

As  $U_1, U_2, U_3, \dots, U_n$  are subspaces of  $V$

so  $0 \in U_1, U_2, \dots, U_n$

hence  $0 \in \bigcap_{n=1}^n U_n$

4

Therefore,  $\bigcap_{n=1}^n U_n$  is a subspace of  $V$ .

3. Proof: If neither  $U_1$  nor  $U_2$  is contained in the other, then

$\exists u_1 \in U_1, u_2 \in U_2$  such that

$u_1 \notin U_2, u_2 \notin U_1$

Since  $U_1$  is closed under addition

$u_1 \in U_1$  and  $u_2 \in U_2$  such that

$so u_1 + u_2 \notin U_1$

3

Similarly  $u_1 + u_2 \notin U_2$

Hence  $U_1 \cup U_2$  is not closed under addition

and  $U_1 \cup U_2$  is not a subspace of  $V$ .

But if  $U_1 \subseteq U_2$  or  $U_2 \supseteq U_1$ , then we can

get  $U_1 \cup U_2 = U_2$  or  $U_1 \cup U_2 = U_1$  is a subspace of  $V$ . Yes

You really should  
provide a specific  
counter-example.

## Exercise 2.

1. Proof: Let  $f$  and  $g$  be two functions of  $V_e$  and  $c \in \mathbb{R}$ .

$$\text{Then } (f+cg)(x) = f(x) + c \cdot g(x) = f(x) + c \cdot g(x) = (f+cg)(x)$$

Similarly, if  $f$  and  $g$  are two functions of  $V_o$  and  $c \in \mathbb{R}$

$$\begin{aligned} \text{Then } (f+cg)(-x) &= f(-x) + c \cdot g(-x) = -f(x) - c \cdot g(x) = -(f(x) + c \cdot g(x)) \\ &= -(f+cg)(x) \end{aligned}$$

Thus both  $V_e$  and  $V_o$  are closed under addition and scalar multiplication.

So  $V_e$  and  $V_o$  are subspaces?

Does each of  $V_e, V_o$  contain  $0$ ?

2. Proof: Suppose there exist two functions  $g$  and  $h$ , such that

$g \in V_e, h \in V_o$

$$\therefore g = \frac{1}{2}[f(x) + f(-x)]$$

$$h = \frac{1}{2}[f(x) - f(-x)]$$

$$\therefore f(x) = g + h \Rightarrow V_e + V_o \in V$$

vice versa

$$\therefore V = V_e + V_o$$

3

3. Proof: Suppose that  $g=h$  such that

$$\frac{1}{2}[f(x)+f(-x)] = \frac{1}{2}[f(x)-f(-x)]$$

$$f(x)+f(-x) = f(x)-f(-x)$$

$$2f(-x)=0$$

$$f(-x)=0$$

①

For an odd function when  $f(-x)=f(x)=0$   
such that  $-x=x=0$

$$\text{so } V_e \cap V_o = \{0\}$$

Then suppose that

$$0 = v_e + v_o \quad \text{for } v_e \in V_e, v_o \in V_o$$

$$\text{so } v_e = -v_o \in V_o$$

Thus  $v_e \in V_e \cap V_o$  } It suffices to just prove  
hence  $v_e = 0$   $V_e \cap V_o = \{0\}$ .

$$\text{so } v_o = v_e = 0$$

$$\text{Therefore } V = V_e \oplus V_o$$

?

4. Solution: Suppose  $f_e(x) + f_o(x) = e^x \dots \textcircled{1}$

$$f_e(-x) - f_o(-x) = e^{-x}$$

$$\therefore -f_o(x) + f_e(x) = e^{-x} \dots \textcircled{2}$$

$$\textcircled{1} - \textcircled{2} = 2f_o(x) = e^x - e^{-x}$$

$$\therefore f_o(x) = \frac{e^x - e^{-x}}{2}$$

①

$$\text{Then } f_e(x) = e^x - \frac{e^x - e^{-x}}{2} - \frac{e^x + e^{-x}}{2} = 0$$

$$\text{No, } f_e(x) = \frac{1}{2}(e^x + e^{-x})$$

$$\begin{array}{r}
 +01 \\
 001 \\
 \hline
 110
 \end{array}$$

### Exercise 3

1. Proof: As the set of triples  $(x, y, z)$  only has 2 possible numbers, 1 and 0.

So, ? elements?

So, the possible sets are  $(0, 0, 0), (0, 0, 1), (0, 1, 0), (1, 0, 0), (0, 1, 1), (1, 0, 1)$ ,  $(1, 1, 0), (1, 1, 1)$ .

1

If the sets can form a subspace of  $V$ .

it should contain the vector 0. ✓ element  
thus we should have at least one (set  $\{0, 0, 0\}$ )

PS: Do we  
really have to  
use Lagrange's Theorem  
or other unknown  
method to solve  
this? No.

And the if the subspace has other elements

their sum should also be in  $V$  (closed under addition)

so we could have subspaces with  $\{0, 0, 0\}$  and one other element

In this method we can have 4 elements subspaces and of course  
the largest subspace,  $V$  itself (with 8 elements).

Why?

### 2. Solution:

One:  $\{(0, 0, 0)\}$  ✓

Two:  $\{(0, 0, 0), (0, 0, 1)\}, \{(0, 0, 0), (0, 1, 0)\}, \{(0, 0, 0), (1, 0, 0)\}, \{(0, 0, 0), (1, 1, 0)\}, \{(0, 0, 0), (0, 1, 1)\}$   
 $\{(0, 0, 0), (1, 1, 1)\}$  missing one.

Four:  $\{(0, 0, 0), (0, 0, 1), (0, 1, 0), (0, 1, 1)\}, \{(0, 0, 0), (0, 0, 1), (1, 0, 0), (1, 0, 1)\}$   
 $\{(0, 0, 0), (1, 0, 0), (0, 1, 0), (1, 1, 0)\}, \{(0, 0, 0), (1, 0, 0), (0, 1, 1), (1, 1, 1)\}$   
 $\{(0, 0, 0), (0, 1, 0), (1, 0, 1), (1, 1, 1)\}, \{(0, 0, 0), (0, 0, 1), (1, 1, 0), (1, 1, 1)\}$  missing one.

Eight:  $\{(0, 0, 0), (0, 1, 0), (0, 0, 1), (1, 0, 0), (1, 1, 0), (0, 1, 1), (1, 0, 1), (1, 1, 1)\}$

### 3. Solution: $x=y=z$ Why?

Use Span?

2

## Mat240 Exerzition III

## Exercise 1

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1. Proof:  $\because (v_1, v_2, v_3, v_4)$  spans  $V$ 

$$\therefore V = \text{Span}(v_1, v_2, v_3, v_4)$$

$$= \{a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4 : a_1, a_2, a_3, a_4 \in \mathbb{F}\}$$

$$\therefore a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4 = a_1(v_1 - v_2) + (a_1 + a_2)(v_2 - v_3) + (a_1 + a_2 + a_3)(v_3 - v_4) + (a_1 + a_2 + a_3 + a_4)v_4$$

$$\therefore \text{span}(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4) = V$$

$$\therefore (v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4) \text{ spans } V.$$

4

2. Proof:  $\because (v_1, v_2, v_3, v_4)$  is linearly independent.

$\therefore$  only  $a_1 = a_2 = a_3 = a_4 = 0$  makes  $V = a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4 = 0$

$$\therefore V = a_1(v_1 - v_2) + (a_1 + a_2)(v_2 - v_3) + (a_1 + a_2 + a_3)(v_3 - v_4) + (a_1 + a_2 + a_3 + a_4)v_4 = 0$$

(2) This also not Suppose that  $\exists$  four coefficients  $b_1, b_2, b_3, b_4$ , s.t.,  $V = b_1(v_1 - v_2) + b_2(v_2 - v_3) + b_3(v_3 - v_4) + b_4 v_4$ . Thus  $a_1 - b_1 = (a_1 + a_2) - b_1 = (a_1 + a_2 + a_3) - b_1 = (a_1 + a_2 + a_3 + a_4) - b_1 = 0$ . So  $a_1 = b_1, a_1 + a_2 = b_2, a_1 + a_2 + a_3 = b_3, a_1 + a_2 + a_3 + a_4 = b_4$ . Contradiction.

Therefore,  $\text{span}(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$  has only one representation as a linear combination of  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$ . Hence,  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$  is linearly independent.

3. Proof: As the list of vectors  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4 - v_1)$  satisfy

$$1 \cdot (v_1 - v_2) + 1 \cdot (v_2 - v_3) + 1 \cdot (v_3 - v_4) + 1 \cdot (v_4 - v_1) = 0$$

such that  $a_1 = a_2 = a_3 = a_4 = 1 \neq 0$

Therefore  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4 - v_1)$  is linearly dependent.

2

## Exercise 2 Setting equations that

1. Solution: Suppose it is linearly independent.

$$a(-1, 1, 1, 1) + b(1, -1, 1, 1) + c(1, 1, -1, 1) + d(1, 1, 1, -1) = 0 \quad , \text{s.t.}$$

$$\begin{cases} -a + b + c + d = 0 \\ a - b + c + d = 0 \end{cases}$$

$$\begin{cases} a + b - c + d = 0 \\ a + b + c - d = 0 \end{cases}$$

$$\begin{cases} a = 0 \\ b = 0 \\ c = 0 \\ d = 0 \end{cases}$$

f plan

Thus the sequence is linearly independent.

2. Solution: Setting equations that:

$$a(1,0) + b(1,0) + c(0,1) + d(0,1) = 0$$

$$\begin{cases} a+bi=0 \\ c+di=0 \end{cases} \Rightarrow a=b=c=d=0$$

∴  $\textcircled{1}$

X

Therefore the sequence is linearly independent.

3. Solution: Setting equations that:

$$ax^2 + b(x^2+1) + c(x^2+2) = 0$$

$$(a+b+c)x^2 + b+2c = 0$$

$$\therefore b = -2c, a+b+c = a-2c+c = a-c = 0$$

$$\therefore a = c$$

∴  $\textcircled{2}$

As this equation above could have more than one set of solutions.  
thus the sequence is not linearly independent.

4. Solution: Setting equations that:

$$ax^2 + b(x+1)^2 + c(x+2) = 0$$

$$(a+b+c)x^2 + (2b+4c)x + (b+4c) = 0$$

∴  $\textcircled{3}$

As  $2b+4c=0$  and  $b+4c=0$  could not be satisfied  
at the same time

No, if just  
means  $b=0$ .

so the sequence is not linearly independent. X

5. Solution Setting equations that

$$x(1,1,0) + y(1,0,1) + z(0,1,1) = 0$$

$$(x+y) + (x+z) + (y+z) = 0$$

∴  $\textcircled{2}$

As the solutions could be  $x=y=z=1$  or  $x=y=z=0$

so the sequence is not linearly independent

### Exercise 3

Solution: In  $(\mathbb{F}_2)^5$ , we want to make a list of vectors linearly independent. so

Observation:  $a_1V_1 + a_2V_2 + a_3V_3 = 0$ ,  $V_1 = (x_1, x_2, x_3, x_4, x_5)$ ,  $V_2 = (y_1, y_2, y_3, y_4, y_5)$ ,  $V_3 = (z_1, z_2, z_3, z_4, z_5)$ ,  $x, y, z \in \{0, 1\}$   
thus for each elements in  $V_1, V_2, V_3$  the only occasions for  $x+y+z \neq 0$  are

$$1+1+1=1, 1+0+0=1, 0+1+0=1, 0+0+1=1$$

and there are  $2^3 = 8$  possible combinations available. X

So the possibility is  $P_1 = \left(\frac{4}{8}\right)^5 = \frac{1}{2^5} = \frac{1}{32}$  (other elements will be the same as first element)

Similarly, in  $(\mathbb{F}_3)^5$  and  $(\mathbb{F}_5)^5$ ,

there are  $3^5$  and  $5^5$  possible combinations.

① for  $(\mathbb{F}_3)^5$  the possible combinations that make  $x_1+y_1+z_1 \neq 0$  are:

$$\begin{array}{llllll} 0+0+1=1 & 0+0+2=2 & 0+1+1=2 & 0+2+2=1 & 1+1+2=1 & 2+2+1=2 \\ 0+1+0=1 & 0+2+0=2 & 1+0+1=2 & 2+0+2=1 & 1+2+1=1 & 2+1+2=2 \\ 1+0+0=1 & 2+0+0=2 & 1+1+0=2 & 2+2+0=1 & 2+1+1=1 & 1+2+2=2 \end{array}$$

$$\text{So, } P_2 = \left(\frac{3 \times 6}{3^3}\right)^5 = \left(\frac{2}{3}\right)^5$$

② for  $(\mathbb{F}_5)^5$  the possible combinations that make  $x_1+y_1+z_1 \neq 0$  are:

$$\begin{array}{llll} 0+0+1=1 & 010 & 020 & 030 \\ 0+0+2=2 & 011 & 021 & 031 \\ 0+0+3=3 & 012 & 022 & 032 \\ 0+0+4=4 & 013 & 023 & 033 \\ & 014 & 024 & 034 \\ & 015 & 025 & 035 \end{array} \quad \left. \begin{array}{l} 040 \\ 041 \\ 042 \\ 043 \\ 044 \end{array} \right\} 5 \times 4 = 20$$

$$20 \times 5 = 100$$

$$\text{So, } P_3 = \left(\frac{100}{5^3}\right)^5 = \left(\frac{4}{5}\right)^5$$

Thus the possibility is increasing.

#### Exercise 4.

$$\text{Solution: } f(x) = a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0$$

$$\text{If } \exists \lambda \in \mathbb{R}, \text{ then } \lambda f(x) = \lambda a_4x^4 + \lambda a_3x^3 + \lambda a_2x^2 + \lambda a_1x + \lambda a_0 \in V$$

so we know that it satisfies scalar multiplication.

$$\text{If } f(x) = a'_4x^4 + a'_3x^3 + a'_2x^2 + a'_1x + a'_0$$

$$\text{then } f(x) + f'(x) = (a_4 + a'_4)x^4 + (a_3 + a'_3)x^3 + (a_2 + a'_2)x^2 + (a_1 + a'_1)x + (a_0 + a'_0) \in V$$

so we know that it satisfies vector addition.

And we also know that  $f(0) = 0$

thus it has additive identity.

thus  $f$  is a subspace of  $V$ .

$$\text{So } f = \text{span}(x^0, x^1, x^2, x^3, x^4)$$

$$\text{As } f(1) = f(0) = 0$$

$$\text{So } a_0x^0 + a_1x^1 + a_2x^2 + a_3x^3 + a_4x^4 = 0$$

Suppose there exists another set of constant numbers, such that

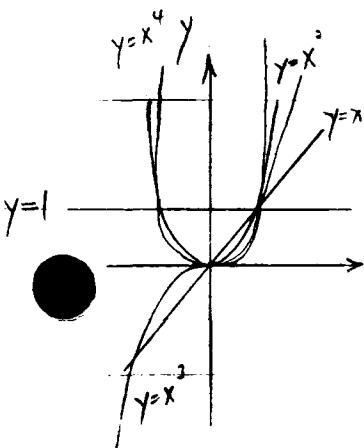
$$b_0x^0 + b_1x^1 + b_2x^2 + b_3x^3 + b_4x^4 = 0$$

$$\text{So } (a_0 - b_0)x^0 + (a_1 - b_1)x^1 + (a_2 - b_2)x^2 + (a_3 - b_3)x^3 + (a_4 - b_4)x^4 = 0$$

$$\text{Thus } a_0 = b_0, a_1 = b_1, a_2 = b_2, a_3 = b_3, a_4 = b_4$$

There is only one set of coefficients for  $f$ . (linearly independent)

Therefore,  $(1, x, x^2, x^3, x^4)$  is a basis for  $f$ .



## Exerzition II.

## Exercise 3.

$$(V_1, V_2, V_3) \in (\mathbb{F}_2)^5 \times (\mathbb{F}_2)^5 \times (\mathbb{F}_2)^5$$

$$2^5 - 1 = 31$$

$$\text{Total: } 2^5 \times 2^5 \times 2^5$$

$$\Leftrightarrow V_1 \neq 0, V_2 \notin \text{span}\{V_1\}, \dots, V_3 \notin \text{span}\{V_1, V_2\}$$

$\Rightarrow$  is immediate

$$V_1 \neq 0 \wedge V_2 \notin \text{span}\{V_1\} \Rightarrow V_3 = aV_1 \text{ for some } a$$

$$aV_1 + V_2 + 0 \cdot V_3 = 0 \Rightarrow V_2 \notin \text{span}\{V_1\}$$

$$\text{if } V_3 \in \text{span}\{V_1, V_2\} \Rightarrow V_3 = a_1 V_1 + a_2 V_2 \Rightarrow a_1 V_1 + a_2 V_2 - V_3 = 0 \Rightarrow V_3 \notin \text{span}\{V_1, V_2\}$$

$$\text{Suppose } V_1 \neq 0, V_2 \notin \text{span}\{V_1\}, V_3 \notin \text{span}\{V_1, V_2\}$$

$$a_1 V_1 + a_2 V_2 + a_3 V_3 = 0$$

$$\text{if } a_3 \neq 0 \quad \cancel{a_1 V_1 + a_2 V_2 + a_3 V_3 = 0} \quad a_3 V_3 = -a_1 V_1 - a_2 V_2$$

$$\Rightarrow V_3 = -\frac{a_1}{a_3} V_1 - \frac{a_2}{a_3} V_2 \quad (\text{contradiction})$$

$$\Rightarrow a_3 = 0, a_1 V_1 + a_2 V_2 = 0, \text{ if } a_2 \neq 0, V_2 = \frac{-a_1}{a_2} V_1 \Rightarrow V_2 \in \text{span}\{V_1\} \quad (\text{contradiction})$$

$$a_1 = a_2 = 0 \Rightarrow a_1 V_1 = 0 \Rightarrow a_1 = 0$$

$$(2^5 - 1)(2^5 - 2) \cancel{(2^5 - 1)}(2^5 - 4) = (31 \times 30 \times 28) / (2^5)^3 =$$

$$\frac{(P^5 - 1)(P^5 - P)(P^5 - P^2)}{(P^5)^3} \rightarrow 1$$

## Exercise 4

$$U = \{f \in P_+(IR) : f(0) = f(1) = 0\}$$

$$0 \in U \quad (h(x) = 0, h(x) \in P_+(IR), h(0) = 0 = h(1))$$

$$\text{Suppose } f, g \in U \Rightarrow (f+g)(0) = f(0) + g(0) = 0 + 0 = 0$$

$$(f+g)(1) = 0$$

$$\forall af \in U$$

$$a \in IR, f \in U, (af)(1) = af(1) = a \cdot 0 = 0, (af)(0) = af(0) = a \cdot 0 = 0$$

$$f(a) = 0, \text{ iff } (x-a) \text{ is a divisor of } f.$$

$$f(x) = (x-a)p(x)$$

$$f(-1) = 0$$

$$f \in U, f(0) = 0 = f(1) \Rightarrow x \text{ & } x-1 \text{ both divide } f(x)$$

$$f(x) = x(x-1)g(x)$$

$$g \in P_+(IR)$$

$$f(x) = x(x-1)(ax^2 + bx + c)$$
$$= \cancel{x}(x-1) + \cancel{b}x^2(x-1) + \cancel{c}x^3(x-1)$$
$$\{ x(x-1), x^2(x-1), x^3(x-1) \}$$

$$0 = \cancel{x}(x-1) + \cancel{b}x^2(x-1) + \cancel{c}x^3(x-1) = cx^4 - ax^3 + bx^3 - bx^2 + ax^4 - ax^3$$
$$= ax^4 + (b-a)x^3 + (c-b)x^2 - cx = 0$$
$$\begin{cases} a=0 \\ b=c=0 \end{cases}$$

## Exercise 1

Solution: Suppose  $V = (v_1, v_2, v_3, v_4)$ .

then  $a_1v_1 + a_2v_2 + a_3v_3 + a_4v_4 = 0$ , that is

Why? {  $a_1(2, 3, 0, 0) + a_2(0, 0, 1, -1) + a_3(1, 0, 0, 4) + a_4(0, 0, 0, 2) = 0$   
 $a_1 = a_2 = a_3 = a_4 = 0$  is the only choice to satisfy this equation  
thus  $V$  is linearly independent.

As  $\mathbb{R}^4$  is finite dimensional, using the proposition that every linearly independent list of vectors in  $\mathbb{R}^4$  with length  $\dim \mathbb{R}^4$  (which is 4) is a basis of  $\mathbb{R}^4$

So  $(v_1, v_2, v_3, v_4)$  is a basis for  $\mathbb{R}^4$ .

Then the coordinates of standard basis vectors in  $(v_1, v_2, v_3, v_4)$  satisfy that. ( $x_i, y_i, z_i, m_i \in \mathbb{R}, i \in \{1, 2, 3, 4\}$ )

$$(1, 0, 0, 0) = x_1(2, 3, 0, 0) + x_2(0, 0, 1, -1) + x_3(1, 0, 0, 4) + x_4(0, 0, 0, 2)$$

$$(0, 1, 0, 0) = y_1(2, 3, 0, 0) + y_2(0, 0, 1, -1) + y_3(1, 0, 0, 4) + y_4(0, 0, 0, 2)$$

$$(0, 0, 1, 0) = z_1(2, 3, 0, 0) + z_2(0, 0, 1, -1) + z_3(1, 0, 0, 4) + z_4(0, 0, 0, 2)$$

$$(0, 0, 0, 1) = m_1(2, 3, 0, 0) + m_2(0, 0, 1, -1) + m_3(1, 0, 0, 4) + m_4(0, 0, 0, 2)$$

hence

$\begin{cases} 2x_1 + x_3 = 1 \\ 3x_1 = 0 \\ x_2 = 0 \\ -x_2 + 4x_3 + 2x_4 = 0 \end{cases}$	$\begin{cases} 2y_1 + y_3 = 0 \\ 3y_1 = 1 \\ y_2 = 0 \\ -y_2 + 4y_3 + 2y_4 = 0 \end{cases}$	$\begin{cases} 2z_1 + z_3 = 0 \\ 3z_1 = 0 \\ z_2 = 1 \\ -z_2 + 4z_3 + 2z_4 = 0 \end{cases}$	$\begin{cases} 2m_1 + m_3 = 0 \\ 3m_1 = 0 \\ m_2 = 0 \\ -m_2 + 4m_3 + 2m_4 = 1 \end{cases}$
---	---	---	---

Solving these equations we can get

$$x_1 = 0, x_2 = 0, x_3 = 1, x_4 = -2$$

$$y_1 = \frac{1}{3}, y_2 = 0, y_3 = -\frac{2}{3}, y_4 = \frac{4}{3}$$

$$z_1 = 0, z_2 = 1, z_3 = 0, z_4 = \frac{1}{2}$$

$$m_1 = 0, m_2 = 0, m_3 = 0, m_4 = \frac{1}{2}$$

Therefore, the coordinates of each of standard basis vectors are:  $0, 0, 1, -2$  for  $(1, 0, 0, 0)$ ;  $\frac{1}{3}, 0, -\frac{2}{3}, \frac{4}{3}$  for  $(0, 1, 0, 0)$ ;  $0, 1, 0, \frac{1}{2}$  for  $(0, 0, 1, 0)$ ; and  $0, 0, 0, \frac{1}{2}$  for  $(0, 0, 0, 1)$ .

## Exercise 2

1. Proof: Suppose vectors  $v_1, v_2 \in V$  such that  $f(v_1) = f(v_2) = 0$

so  $v_1, v_2 \in H_f$

Since  $f(v+0) = f(v) + f(0)$

so  $f(0) = 0 \Rightarrow 0 \in H_f$  (additive identity)

Besides  $v_1, v_2 \in V$ ,  $f(v_1 + v_2) = f(v_1) + f(v_2) = 0 + 0 = 0 \Rightarrow v_1 + v_2 \in H_f$  (closed under addition)

$\lambda \in F$ ,  $f(\lambda v_1) = \lambda f(v_1) = \lambda \cdot 0 = 0 \Rightarrow \lambda v_1 \in H_f$  (closed under scalar multiplication)

Therefore,  $H_f$  is a linear subspace of  $V$ . ■

2 Proof: With the problem 1 above, we can take  $(x_1, x_2, \dots, x_n)$  as the vector  $v$ ,

$a_1 x_1 + a_2 x_2 + \dots + a_n x_n$  as the function  $f$  about  $(x_1, x_2, \dots, x_n)$ .

Suppose vectors  $v_1, v_2 \in F^n$  such that  $f(v_1) = f(v_2) = a_1 x_1 + \dots + a_n x_n = a_1 x'_1 + \dots + a_n x'_n = 0$

( $v_1, v_2 \in H_{(a_1, \dots, a_n)}$ )

Since  $f(v_1) + f(v_2) = a_1 x_1 + \dots + a_n x_n + a_1 x'_1 + \dots + a_n x'_n = a_1(x_1 + x'_1) + \dots + a_n(x_n + x'_n) = 0 + 0 = 0$

So  $(x_1 + x'_1, x_2 + x'_2, \dots, x_n + x'_n) \in H_{(a_1, \dots, a_n)}$  (closed under addition)

Since  $\lambda \in F$ ,  $f(\lambda v) = a_1 \lambda x_1 + \dots + a_n \lambda x_n = \lambda(a_1 x_1 + \dots + a_n x_n) = \lambda f(v)$

So  $(\lambda x_1, \lambda x_2, \dots, \lambda x_n) \in H_{(a_1, \dots, a_n)}$  (closed under scalar multiplication)

Besides for any  $(x_1, x_2, \dots, x_n) = 0$ ,  $a_1 x_1 + \dots + a_n x_n = 0$  is true

Hence  $0 \in H_{(a_1, \dots, a_n)}$  (additive identity)

Therefore  $H_{(a_1, \dots, a_n)}$  is a linear subspace of  $F^n$ . ■

## 3. Solution:

As  $(a_1, a_2, a_3) = 1, 2, 3$ , we can get

$$H_{(1, 2, 3)} = \{ (x_1, x_2, x_3) \in \mathbb{R}^3 : x_1 + 2x_2 + 3x_3 = 0 \}$$

Thus the basis could be  $(1, -\frac{1}{2}, 0), (1, 0, -\frac{1}{3})$

as the only choice of  $b_1 = b_2 = 0$  that makes

$b_1(1, -\frac{1}{2}, 0) + b_2(1, 0, -\frac{1}{3}) = 0 \Rightarrow$  The basis is linearly independent

As  $x_3$  in  $(x_1, x_2, x_3)$  can be written as  $x_3 = \frac{-x_1 - 2x_2}{3}$

$\therefore m(1, -\frac{1}{2}, 0) + n(1, 0, -\frac{1}{3}) = (m, -\frac{1}{2}m, -\frac{1}{3}n)$

it also satisfies  $-\frac{1}{3}n = \frac{-m - n + m}{3}$

Therefore  $\text{Span}(1, -\frac{1}{2}, 0), (1, 0, -\frac{1}{3}) = \mathbb{R}^3$

Hence  $((1, -\frac{1}{2}, 0), (1, 0, -\frac{1}{3}))$  is a basis of  $H_{(1, 2, 3)}$ , and  $\dim H_{(1, 2, 3)} = 2$

## Exercise 3

1. Proof: Let  $f, g \in V^*$  be arbitrary elements

Need to show  $f+g \in V^*$

(i) As the conditions in Exercise 2 says, for  $v_1, v_2 \in V$

$$f(v_1+v_2) = f(v_1) + f(v_2), \quad g(v_1+v_2) = g(v_1) + g(v_2)$$

$$\text{Since } f(v_1+v_2) + g(v_1+v_2) = f+g(v_1+v_2)$$

$$\begin{aligned} \text{So } f+g(v_1+v_2) &= f(v_1) + g(v_1) + f(v_2) + g(v_2) \\ &= f(v_1) + f(v_2) + g(v_1) + g(v_2) \end{aligned}$$

(closed under addition)

~~that~~  
~~closed~~  
~~(f+g) is linear~~  
Actually you  
have just shown  
that  $f+g$  is linear  
You must also  
prove that  
 $f+g$  is linear

(ii) Similarly, in  $V$  we have  $f(\lambda v_i) = \lambda f(v_i)$ ,  $g(\lambda v_i) = \lambda g(v_i)$  for  $\lambda \in F$   
so  $f+g(\lambda v_i) = f(\lambda v_i) + g(\lambda v_i) = \lambda f(v_i) + \lambda g(v_i) = \lambda(f+g)(v_i)$  multiplication  
(closed under scalar)

(iii) Finally as  $f(v_i+0) = f(v_i) + f(0)$   
so  $f(0) = 0$ ,  $0 \in V^*$  (additive identity).

Therefore  $V^*$  is a linear subspace of the vector space  $IF^*$  of all functions from  $V$  to  $F$ .

2. Proof: If we want to prove  $f_i$  is a linear function, we have to show

$$f_i(v_1+v_2) = f_i(v_1) + f_i(v_2) \text{ and } f_i(\lambda v_i) = \lambda f_i(v_i) \text{ for all } \lambda \in F, v_1, v_2 \in V, v_i = x_1e_1 + \dots + x_n e_n$$

$$(i). f_i(\lambda v_i) = f_i(\lambda \cdot (x_1e_1 + \dots + x_n e_n)) = f_i(\lambda x_1 e_1 + \dots + \lambda x_n e_n)$$

$$\text{As } f_i(v) = x_i.$$

$$\text{so } f_i(\lambda v) = f_i(\lambda x_1 e_1 + \dots + \lambda x_n e_n) = \lambda \cdot x_i \quad \textcircled{1}$$

$$\lambda f_i(v_i) = \lambda \cdot x_i \quad \textcircled{2}$$

$$\text{According to } \textcircled{1} \& \textcircled{2} \text{ we get } f_i(\lambda v_i) = \lambda f_i(v_i) \checkmark$$

$$(ii). f_i(v_1+v_2) = f_i(x_1e_1 + \dots + x_ne_n + x'_1e_1 + \dots + x'_ne_n) = x_i + x'_i \quad \textcircled{3}$$

$$f_i(v_1) + f_i(v_2) = f_i(x_1e_1 + \dots + x_ne_n) + f_i(x'_1e_1 + \dots + x'_ne_n) = x_i + x'_i \quad \textcircled{4}$$

$$\text{According to } \textcircled{3} \& \textcircled{4} \text{ we get } f_i(v_1+v_2) = f_i(v_1) + f_i(v_2)$$

Therefore  $f_i$  is a linear function.  $\blacksquare$

3. Proof: Suppose  $V$  is finite dimensional,  $\dim V = n$ , such that  $\exists$  a basis  $(e_1, \dots, e_n)$

And suppose that  $(f_1, f_2, \dots, f_n)$  is a list of elements in  $V^*$

As for  $\forall v \in V$  can be written as  $v = x_1e_1 + \dots + x_ne_n$ ,  $x_i \in F$

and  $(e_1, \dots, e_n)$  are linearly independent

Why? As for  $\forall v^* \in V^*$  can be written as  $v^* = a_1f_1 + a_2f_2 + \dots + a_nf_n$  in a unique way,  $a_i \in F \Rightarrow \text{span}(f_1, \dots, f_n) = V^*$

#### Exercise 4

Proof: Suppose  $x_m$  is the element of  $\mathbb{F}^{\infty}$ , such that

(8)

$$x_m = (0, \dots, 0, 1, 0, \dots), \text{ for } i \text{ is a positive integer.}$$

"1" is the  $m$ th coordinate of  $x_m$ , others are 0s.

So the list  $(x_1, x_2, \dots, x_m)$  is linearly independent because the only choice of  $a_1, \dots, a_m \in \mathbb{F}$  that makes

$$a_1 x_1 + \dots + a_m x_m = 0 \text{ is } a_1 = \dots = a_m = 0.$$

Hence the length of list  $(x_1, \dots, x_m)$  is  $m$ .

Since in this infinite sequences, there always exists a spanning list with a larger length, e.g.  $(x_1, \dots, x_{m+1})$  whose length is  $m+1 > m$ .

This contradicts the theorem that in a finite-dimensional vector space, the length of every linearly independent list of vectors is less than or equal to the length of every spanning list of vectors.

Therefore  $\mathbb{F}^{\infty}$  is infinite dimensional.

Followings are Exercise 3.3:

( $\rightarrow$ ) Besides the only choice to show that  $a_1 f_1 + a_2 f_2 + \dots + a_n f_n = 0$  is that  $a_1 = a_2 = \dots = a_n = 0 \Rightarrow (f_1, \dots, f_n)$  is linearly independent

Approach: Hence  $(f_1, \dots, f_n)$  both spans  $V^*$  and it is linearly independent

$\Rightarrow (f_1, \dots, f_n)$  is a basis of  $V^*$

Thus  $V^*$  is finite dimensional and  $\dim V^* = \dim V = n$

TUT

Key ideas You can do the 3 moves on the equations without changing the solution.

$$\left[ \begin{array}{c|cc|c} & a_{11} & \dots & a_{1n} & b_1 \\ \hline k & & & & \\ & a_{k1} & \dots & a_{kn} & b_k \\ \hline n & \xrightarrow{k-1} & & & \end{array} \right]$$

RE

$$\left( \left[ \begin{array}{c|cc|c} & 1 & & \\ \hline 0 & & 1 & \\ \hline 0 & & 0 & 1 \end{array} \right] \right)$$

get a new system of  $k$  equations

simpler to analyze

and same solution set as original system.

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$$\begin{array}{l} A_1 (3, 2, 0, 1) \\ A_2 (-1, 1, 2, 0) \\ A_3 (0, 1, 1, 0) \\ A_4 (1, 1, 1, 1) \end{array} \xrightarrow{\left( \begin{array}{cccc} 3, 2, 0, 1 \\ -1, 1, 2, 0 \\ 0, 1, 1, 0 \\ 1, 1, 1, 1 \end{array} \right)} \left( \begin{array}{cccc} 1, 1, 1, 1 \\ -1, 1, 2, 0 \\ 0, 1, 1, 0 \\ 3, 2, 0, 1 \end{array} \right) \xrightarrow{\left( \begin{array}{cccc} 1, 1, 1, 1 \\ -1, 1, 2, 0 \\ 0, 1, 1, 0 \\ 3, 2, 0, 1 \end{array} \right)} \left( \begin{array}{cccc} 1, 1, 1, 1 \\ 0, 2, 3, 1 \\ 0, 1, 1, 0 \\ 0, -1, 3, -2 \end{array} \right)$$

$$\left( \begin{array}{cccc} 1, 1, 1, 1 \\ 0, 1, \frac{3}{2}, \frac{1}{2} \\ 0, 0, -2, -2 \\ 0, 0, -3, -3 \end{array} \right) \xrightarrow{\left( \begin{array}{cccc} 1, 1, 1, 1 \\ 0, 1, \frac{3}{2}, \frac{1}{2} \\ 0, 0, 1, 1 \\ 0, 0, 0, 0 \end{array} \right)}$$

Assignment 3

$(1, 0), (i, 0), (0, 1), (0, i)$  lin. dep or lin. indep.

$$\begin{array}{l} a+bi=0 \\ c+di=0 \end{array} \quad \begin{array}{l} a=1, b=-i \\ c=1, d=-i \end{array}$$

$$(1, 0) + i(0, 1) + 0(0, 1) + i(0, 1) = 0 \quad \text{lin. dep.}$$

Prop: If  $V$  is an  $n$ -dimensional vector space

then any list of vectors on  $V$  of length  $>n$   
is linearly dependent

Assignment 4.4 Proof: Assume that  $\mathbb{F}^\infty$  is finite-dimensional and of dimension  $n$ .

Consider the list

$$(1, 0, 0, \dots), (0, 1, 0, \dots), (0, 0, 1, \dots), \dots (0, 0, 0, \dots, 0, 1, 0, \dots)$$

This list is linearly independent. However proposition  $\downarrow_{n+1}$   
implies that it is linearly dependent.

This is a contradiction. ■

### Exercise 3.3

Def: Let  $V$  be a vector space over a field  $\mathbb{F}$

The dual of  $V$ ,  $V^*$  is defined by

$$V^* = \{f: V \rightarrow \mathbb{F} \mid f \text{ is linear}\} \text{ (as a set)}$$

Addition:  $(f+g)(v) = f(v) + g(v)$

Scalar Mult:  $(\lambda f)(v) = \lambda f(v)$

Let  $(e_1, \dots, e_n)$  be a basis of  $V$

$f_i(v) =$  the coefficient of  $e_i$  in the rep. of  $v$  in term of  $e_1, \dots, e_n$

Claim:  $f_1, \dots, f_n$  is a basis of  $V^*$

Proof: Spanning set:  $\varphi \in V^*$

$$\Rightarrow \varphi = \varphi(e_1)f_1 + \dots + \varphi(e_n)f_n$$

why?

$$V = a_1e_1 + \dots + a_ne_n \in V$$

$$= f_1(v)e_1 + \dots + f_n(v)e_n$$

$$\varphi(v) = f_1(v)\varphi(e_1) + \dots + f_n(v)\varphi(e_n)$$

(induction)

$$\Rightarrow (f_1, \dots, f_n) \text{ spans } V^*$$

Linearly independent:

$$a_1f_1 + \dots + a_nf_n = 0 \text{ (the zero-function)}$$

$$0 = (a_1f_1 + \dots + a_nf_n)(e_j) \quad j=1, \dots, n$$

$$\mathbb{F} = a_1f_1(e_j) + \dots + a_nf_n(e_j) \quad e_j = 0 \cdot e_1 + \dots + 0 \cdot e_{j-1} + 1 \cdot e_j + \dots + 0 \cdot e_n$$

$$= a_j \quad j=1, \dots, n$$

$\Rightarrow$  Linearly independent

So proved.

## Exerzitien V

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## Exercise 1.

a) Writing in Row Echelon form:

$$\left[ \begin{array}{ccc|c} 1 & -1 & 2 & 1 \\ 1 & 0 & 2 & 1 \\ 1 & -3 & 4 & 2 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|c} 1 & -1 & 2 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & -2 & 2 & 1 \end{array} \right]$$

$$\rightarrow \left[ \begin{array}{ccc|c} 1 & -1 & 2 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & -1 & 1 & \frac{1}{2} \end{array} \right] \rightarrow \left[ \begin{array}{ccc|c} 1 & -1 & 2 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & \frac{1}{2} \end{array} \right]$$

so the linear system has solutions.

$$\begin{cases} x_1 = x_2 - 2x_3 + 1 = 0 - 2 \times \frac{1}{2} + 1 = 0 \\ x_2 = 0 \\ x_3 = \frac{1}{2} \end{cases}$$

The solution set is  $\{(0, 0, \frac{1}{2})\}$ 

b) Writing in Row Echelon form:

$$\left[ \begin{array}{cccc|c} 1 & -2 & 1 & 2 & 1 \\ 1 & 1 & -1 & 1 & 2 \\ 1 & 7 & -5 & -1 & 3 \end{array} \right] \rightarrow \left[ \begin{array}{cccc|c} 1 & 1 & -1 & 1 & 2 \\ 1 & -2 & 1 & 2 & 1 \\ 1 & 7 & -5 & -1 & 3 \end{array} \right] \rightarrow \left[ \begin{array}{cccc|c} 1 & 1 & -1 & 1 & 2 \\ 0 & 3 & -2 & -1 & 1 \\ 0 & -6 & 4 & 2 & -1 \end{array} \right]$$

$$\rightarrow \left[ \begin{array}{cccc|c} 1 & 1 & -1 & 1 & 2 \\ 0 & 3 & -2 & -1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{array} \right]$$

so the linear system has no solutions.

c) Writing in Row Echelon form:

$$\left[ \begin{array}{ccc|c} 1 & 2 & 0 & -2 \\ 1 & 0 & 2 & -3 \\ 0 & 1 & -1 & 2 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|c} 1 & 2 & 0 & -2 \\ 0 & 2 & -2 & 1 \\ 0 & 1 & -1 & 2 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|c} 1 & 2 & 0 & -2 \\ 0 & 1 & -1 & \frac{1}{2} \\ 0 & 1 & -1 & 2 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|c} 1 & 2 & 0 & -2 \\ 0 & 1 & -1 & \frac{1}{2} \\ 0 & 0 & 0 & -\frac{3}{2} \end{array} \right]$$

so the linear system has no solutions.

d) Writing in Row Echelon form:

$$\left[ \begin{array}{cccc|c} 1 & 2 & 6 & -17 \\ 2 & 1 & 1 & 8 \\ 3 & 1 & -1 & 15 \\ 1 & 3 & 10 & -5 \end{array} \right] \rightarrow \left[ \begin{array}{cccc|c} 1 & 2 & 6 & -17 \\ 0 & 3 & 11 & -10 \\ 0 & 5 & 19 & -18 \\ 0 & -1 & -4 & 4 \end{array} \right] \rightarrow \left[ \begin{array}{cccc|c} 1 & 2 & 6 & -17 \\ 0 & 1 & 4 & -4 \\ 0 & 3 & 11 & -10 \\ 0 & 5 & 19 & -18 \end{array} \right] \rightarrow \left[ \begin{array}{cccc|c} 1 & 2 & 6 & -17 \\ 0 & 1 & 4 & -4 \\ 0 & 0 & 1 & -2 \\ 0 & 0 & 1 & -2 \end{array} \right]$$

$$\rightarrow \left[ \begin{array}{ccc|c} 1 & 2 & 6 & -1 \\ 0 & 1 & 4 & -4 \\ 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 \end{array} \right]$$

(10)

So the linear system has solutions.

$$\begin{cases} x_1 = -2x_2 - 6x_3 - 1 = -2 \times 4 - 6 \times (-2) = -8 + 12 - 1 = 3 \\ x_2 = -4x_3 - 4 = -4 \times (-2) - 4 = 4 \\ x_3 = -2 \end{cases}$$

The solution set is  $\{(3, 4, -2)\}$ .

### Exercise 2

1. Proof: Suppose  $v \in V$

$$P^2v = Pv \quad \text{Id}_V v = v$$

$$\text{then } Qv = v - Pv$$

$$\begin{aligned} Q^2v &= Q(v - Pv) = v - Pv - P(Qv - Pv) \\ &= v - Pv - Pv + P^2v \\ &= v - Pv \end{aligned}$$

$$\text{Thus } Q^2 = Q$$

(2)

2. Proof: Suppose  $v$  is a vector in  $V$ , such that

$$PQv = P(v - Pv) = Pv - P^2v = 0$$

$$QPv = Pv - P^2v = 0$$

$$\text{So } PQ = QP$$

(2)

3. Proof: As  $P$  is a linear map from  $V$  to  $V$ .

Suppose  $\exists u \in V$ , s.t.  $u = Pv$

$$\text{As } P^2v = P(Pv) = Pu$$

$$Pu = u$$

So  $Pu = u \Rightarrow P$  is identity map or zero map.

① If  $P$  is  $\text{Id}_V$ , thus  $N = \{0\}$  (as 0 is the only one to make  $P(0) = 0\})$

Then  $R \neq \emptyset$

$$\text{So } N \cap R = \{0\}$$

② If  $P$  is zero map, thus  $R = \{0\}$  (then  $N \neq \emptyset$  So  $N \cap R = \{0\}$ )

?

4. Proof: According to Problem 2.1 and 2.3

$$Pv = v, Qv = \text{Id}_V \cdot Pv$$

$$\text{So } Pv + Qv = Pv + \text{Id}_V \cdot Pv = \text{Id}_V \cdot v = v$$

(2)

such that  $P(v) = v$

5. Proof: ① If  $P$  is identity map, only  $P(v) = v$

$$\therefore N = \{0\}$$

$$\therefore R = V$$

$$\therefore R + N = V$$

$$\therefore N \cap R = \{0\}$$

$$\therefore N \oplus R = V$$

(1)

② If  $P$  is zero map, such that  $P(v) = 0$

$$\therefore R = \{0\}$$

$$\therefore N = V \quad \therefore R + N = V \quad \therefore N \cap R = \{0\} \quad \therefore N \oplus R = V$$

Exercise 3.

1. Proof: Suppose the two components of  $F^n(v_0)$  are  $x_n, y_n$ .

$$F(v_0) = (1, 1+0) = (1, 1) \quad x_1 = 1$$

$$\text{Let } F^{n-2}(v_0) = (a, b) \quad x_{n-2} = a$$

$$F^2(v_0) = (1, 1+1) = (1, 2) \quad x_2 = 1$$

$$F^{n-1}(v_0) = (b, a+b) \quad x_{n-1} = b$$

$$F^3(v_0) = (2, 1+2) = (2, 3) \quad x_3 = 2$$

$$F^n(v_0) = (a+b, a+2b) \quad x_n = a+b$$

...

(3)

So we find  $x_n = x_{n-2} + x_{n-1}$ , which is in the Fibonacci sequence.

Therefore  $n$ th number is given by the first component of  $F^n(v_0)$ .

2. Solution: Suppose the matrix is  $\begin{bmatrix} x & y \\ z & m \end{bmatrix}$ .

$$\text{so } \begin{bmatrix} x & y \\ z & m \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} = \begin{bmatrix} b \\ ab \end{bmatrix} \quad (v = av_1 + bv_2 = (a, b))$$

$$\text{as } v_1 = (1, 0), v_2 = (0, 1)$$

$$\begin{cases} xa+yb=b \\ za+mb=ab \end{cases} = \begin{cases} x=0 & y=1 \\ z=1 & m=1 \end{cases}$$

$$\text{so } A = [F]_e^e = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}$$

$$\text{Then } A^2 = \begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix}, \quad A^3 = \begin{bmatrix} 1 & 2 \\ 2 & 3 \end{bmatrix}, \quad A^4 = \begin{bmatrix} 2 & 3 \\ 3 & 5 \end{bmatrix}, \quad A^5 = \begin{bmatrix} 3 & 5 \\ 5 & 8 \end{bmatrix}$$

$$3. \text{ Solution: } F(1, \frac{1+\sqrt{5}}{2}) = (\frac{1+\sqrt{5}}{2}, \frac{1+\sqrt{5}}{2})$$

$$F(-\frac{1+\sqrt{5}}{2}, 1) = (1, \frac{1-(1+\sqrt{5})}{2})$$

Suppose that  $\begin{cases} x = \frac{1+\sqrt{5}}{2} \\ y = \frac{1+\sqrt{5}}{2} \end{cases} \Rightarrow \begin{cases} x = \frac{1+\sqrt{5}}{2} \\ y = 0 \end{cases}$

$\exists$  a matrix

$$\begin{bmatrix} x & 0 \\ 0 & y \end{bmatrix}$$

$$\text{So } B = [F]_b^b = \begin{bmatrix} \frac{1+\sqrt{5}}{2} & 0 \\ 0 & \frac{1+\sqrt{5}}{2} \end{bmatrix} \quad \begin{bmatrix} 1-\sqrt{5} \\ 2 \end{bmatrix}$$

$$\text{Hence } B^k = \begin{bmatrix} \left(\frac{1+\sqrt{5}}{2}\right)^k & 0 \\ 0 & \left(\frac{1+\sqrt{5}}{2}\right)^k \end{bmatrix}$$

$$4. \text{ Solution: as } \cancel{V_1, V_2 \in b}, V_1 = (1, \frac{1+\sqrt{5}}{2}), V_2 = (-\frac{1+\sqrt{5}}{2}, 1)$$

$$\text{so } v_0 = aV_1 + bV_2 = (a, \frac{1+\sqrt{5}}{2}a) + (-\frac{1+\sqrt{5}}{2}b, b) = (0, 1)$$

$$\therefore \text{then } a =$$

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Exercise 3

part(3) "The Fibonacci one"

$V, W$  are vector spaces over a ~~field~~ field  $\mathbb{F}$ .

$\{v_1, \dots, v_n\}$  basis for  $V$ .

$\{w_1, \dots, w_m\}$  basis for  $W$ .

Suppose  $F: V \rightarrow W$  is linear.

$$F(v_i) = a_{11}w_1 + a_{12}w_2 + \dots + a_{1m}w_m$$

$$F(v_n) = a_{n1}w_1 + a_{n2}w_2 + \dots + a_{nm}w_m$$

In general

$$F(v_j) = \sum_{i=1}^m a_{ij}w_i, a_{ij} \in \mathbb{F}$$

We have assembled an  $m \times n$  matrix with entries in  $\mathbb{F}$ .

$$B = \{v_1, \dots, v_n\} \quad C = \{w_1, \dots, w_m\}$$

The matrix  $[F]_B^C$  is given by

$$[F]_B^C = \begin{pmatrix} a_{11} & \dots & a_{1m} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nm} \end{pmatrix}$$

Upshot: To evaluate  $F$  on a given  $v \in V$ , find the coordinates of  $v$  with respect to the basis  $B$  of  $V$ .

$$v = b_1v_1 + \dots + b_nv_n \quad \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}$$

$$\text{Evaluate } [F]_B^C \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix} = \begin{pmatrix} c_1 \\ \vdots \\ c_m \end{pmatrix}$$

note that  $\begin{pmatrix} c_1 \\ \vdots \\ c_m \end{pmatrix}$  is the column of coordinates  $F(v)$  with respect to the basis  $C$  of  $W$ . Namely,  $F(v) = c_1w_1 + \dots + c_mw_m$

For ③ We consider the basis

$$b = \left\{ f(1), \frac{1+\sqrt{5}}{2}, \left(-\frac{1+\sqrt{5}}{2}, 1\right) \right\}$$

we want to find  $[F]_B^b$

$$F\left(1, \frac{1+\sqrt{5}}{2}\right) = \left(\frac{1+\sqrt{5}}{2}, \frac{3+\sqrt{5}}{2}\right) = \boxed{1} \left(1, \frac{1+\sqrt{5}}{2}\right) + \boxed{1} \left(-\frac{1+\sqrt{5}}{2}, 1\right)$$

$$F\left(-\frac{1+\sqrt{5}}{2}, 1\right) = \left(1, \frac{1-\sqrt{5}}{2}\right) = \boxed{1} \left(1, \frac{1+\sqrt{5}}{2}\right) + \boxed{-1} \left(-\frac{1+\sqrt{5}}{2}, 1\right)$$

$$\begin{array}{ccc} \frac{1+\sqrt{5}}{2} (a_{11}) & & 0 (a_{21}) \\ \uparrow & \nearrow & \downarrow \\ 0 (a_{12}) & & \frac{1-\sqrt{5}}{2} (a_{22}) \end{array}$$

$$B[F]_B^b = \begin{pmatrix} \frac{1+\sqrt{5}}{2} & 0 \\ 0 & \frac{1-\sqrt{5}}{2} \end{pmatrix}$$

$$\text{Observation: } \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}^k = \begin{pmatrix} a^k & 0 \\ 0 & b^k \end{pmatrix} \quad \forall k \in \mathbb{N}$$

So . . .

$$(4) \quad v_0 = (0, 1)$$

$$(0, 1) = \square \left( 1 + \frac{1+\sqrt{5}}{2} \right) + \square \left( -\frac{1+\sqrt{5}}{2}, 1 \right)$$

$$= a_1(1, \omega) + a_2(-\omega, 1)$$

$$\Rightarrow a_1 - a_2\omega = 0 \quad a_1 = \frac{\omega}{\omega^2+1}, \quad a_2 = \frac{1}{\omega^2+1}$$

$$a_1\omega + a_2 = 1$$

$v_0$  in coordinate

$F^n(v_0)$  is represented by the coordinate vector  $B^n k$  with  $\dots \rightarrow b$

$$k = \begin{pmatrix} \frac{\omega}{\omega^2+1} \\ \frac{1}{\omega^2+1} \end{pmatrix}$$

$$B^n k = \begin{pmatrix} \omega^n & 0 \\ 0 & (1-\omega)^n \end{pmatrix} \begin{pmatrix} \frac{\omega}{\omega^2+1} \\ \frac{1}{\omega^2+1} \end{pmatrix} = \begin{pmatrix} \frac{\omega^{n+1}}{\omega^2+1} \\ \frac{(1-\omega)^n}{\omega^2+1} \end{pmatrix}$$

This is the coord vector for  $F^n(v_0)$  in terms of  $b$ .

$$\Rightarrow F^n(v_0) = \frac{\omega^{n+1}}{\omega^2+1} (1, \omega) + \frac{(1-\omega)^n}{\omega^2+1} (-\omega, 1)$$

$$= \left( \frac{\omega^{n+1} - \omega(1-\omega)^n}{\omega^2+1}, \frac{\omega^{n+2} + (1-\omega)^n}{\omega^2+1} \right)$$

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## Exerzition VI

### Exercise 1.

1. Solution: As  $[x_1 \ x_2 \ x_3 \ x_4 \ x_5] [L] = [x_2 \ x_3 \ x_4 \ x_5 \ 0]$

$$\text{Then } x_1 \cdot 0 + x_2 \cdot 1 + x_3 \cdot 0 + x_4 \cdot 0 + x_5 \cdot 0 = x_2$$

$$x_1 \cdot 0 + x_2 \cdot 0 + x_3 \cdot 1 + x_4 \cdot 0 + x_5 \cdot 0 = x_3$$

$$x_1 \cdot 0 + x_2 \cdot 0 + x_3 \cdot 0 + x_4 \cdot 1 + x_5 \cdot 0 = x_4$$

$$x_1 \cdot 0 + x_2 \cdot 0 + x_3 \cdot 0 + x_4 \cdot 0 + x_5 \cdot 1 = x_5$$

$$x_1 \cdot 0 + x_2 \cdot 0 + x_3 \cdot 0 + x_4 \cdot 0 + x_5 \cdot 0 = 0$$

$$\text{So } [L] = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix} \rightarrow \text{almost, but not quite right}$$

### 2. Solution:

$$[L^2] = [x_2 \ x_3 \ x_4 \ x_5 \ 0] \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix} = [x_3 \ x_4 \ x_5 \ 0 \ 0]$$

$$[L^3] = [x_3 \ x_4 \ x_5 \ 0 \ 0] \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix} = [x_4 \ x_5 \ 0 \ 0 \ 0]$$

$$[L^4] = [x_4 \ x_5 \ 0 \ 0 \ 0] \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix} = [x_5 \ 0 \ 0 \ 0 \ 0]$$

$$[L^5] = [x_5 \ 0 \ 0 \ 0 \ 0] [L] = [0 \ 0 \ 0 \ 0 \ 0]$$

...

$$[L^n] = [0 \ 0 \ 0 \ 0 \ 0] [L] = [0 \ 0 \ 0 \ 0 \ 0] \quad \text{for all } n \in \mathbb{N}, n > 5$$

### 3. Solution: For $k=1$

$$\text{as } [L] \cdot [L^4] = [0 \ 0 \ 0 \ 0 \ 0]$$

$\text{null}(L)$  can be written as  $(a, 0, 0, 0, 0) = a(1, 0, 0, 0, 0)$

$$\text{so } \dim \text{null}(L) = 1$$

By theorem that  $\dim \text{range}(L) = \dim \mathbb{R}^5 - \dim \text{null}(L) = 5 - 1 = 4$

$$\text{Similarly, for } k=2, \text{ as } [L^2] \cdot [L^3] = [0 \ 0 \ 0 \ 0 \ 0]$$

$\text{null}(L^2)$  can be written as  $(a, b, 0, 0, 0) = a(1, 0, 0, 0, 0) + b(0, 1, 0, 0, 0)$

$$\text{so } \dim \text{null}(L) = 2$$

By theorem that  $\dim \text{range}(L) = \dim \mathbb{R}^5 - \dim \text{null}(L) = 5 - 2 = 3$

## Exercise 2

1. Proof: Suppose that  $u_1, u_2 \in U$  and  $Su_1 = Su_2$

$$\text{Then } u_1 = Iu_1 = TSu_1 = T(Su_1) = Tu_1 = u_2$$

$$\text{so } u_1 = u_2$$

Hence  $S$  is injective.

Suppose now that  $u_3 \in U$ .

$$\text{Then } u_3 = TSu_3 = Iu_3 = u_3$$

This implies that the range of  $T$  is  
 $u_3$  is in

Thus range  $T = U$ .

Hence  $T$  is surjective.

$$\text{For } k=3, [L^3] \cdot [L] = [0 0 0 0]$$

null( $L^3$ ) can be written as  $(a, b, c, d)$

$$\text{which is } a(1, 0, 0, 0) + b(0, 1, 0, 0) + c(0, 0, 1, 0)$$

$$\text{so } \dim \text{null}(L^3) = 3$$

$$\text{and } \dim \text{range}(L^3) = \dim \mathbb{R}^4 - \dim \text{null}(L^3) \\ = 4 - 3 = 1$$

$$\text{For } k=4, [L^4] \cdot [L] = [0 0 0 0]$$

null( $L^4$ ) can be written as  $(a, b, c, d, e)$

$$\text{which is } a(1, 0, 0, 0, 0) + b(0, 1, 0, 0, 0) + c(0, 0, 1, 0, 0) \\ + d(0, 0, 0, 1, 0)$$

$$\text{so } \dim \text{null}(L^4) = 4$$

$$\text{and } \dim \text{range}(L^4) = \dim \mathbb{R}^5 - \dim \text{null}(L^4) \\ = 5 - 4 = 1$$

$$\text{For } k \geq 5, [L^k] \cdot [L] = [0 0 0 0]$$

null( $L^k$ ) can be written as  $(a, b, c, d, e)$

$$= a(1, 0, 0, 0, 0) + b(0, 1, 0, 0, 0) + c(0, 0, 1, 0, 0) +$$

$$d(0, 0, 0, 1, 0) + e(0, 0, 0, 0, 1)$$

$$\text{so } \dim \text{null}(L^5) = 5$$

$$\text{and } \dim \text{range}(L^5) = \dim \mathbb{R}^5 - \dim \text{null}(L^5) \\ = 5 - 5 = 0$$

2. Proof: Want to show  $ST = I_{\mathbb{R}}$

Suppose  $S: \mathbb{R}^2 \rightarrow \mathbb{R}^4$ , such as  $[3 4]$

$T: \mathbb{R}^4 \rightarrow \mathbb{R}^5$ , such as  $\begin{bmatrix} -1 \\ 1 \end{bmatrix}$

$$\text{so } TS = [3 4] \begin{bmatrix} -1 \\ 1 \end{bmatrix} = [1] = I_{\mathbb{R}^2}$$

$$ST = \begin{bmatrix} -1 \\ 1 \end{bmatrix} [3 4] = \begin{bmatrix} -3 & -4 \\ 3 & 4 \end{bmatrix} \neq I_{\mathbb{R}^5}$$

Therefore  $S, T$  are not invertible.

So  $S, T$  are not isomorphisms.

3. Proof: Suppose  $\exists v$ , s.t.  $v \in \text{range}(S), v \in \text{null}(T)$

and  $v = Su$  for some  $u \in U$ .

and  $Tv = 0$  since  $v \in \text{null}(T)$ .

$$\text{then } Tv = TSu = Iu = u = 0$$

$$v = Su \Rightarrow v = S_0 \Rightarrow v = 0 \quad (S \text{ is injective})$$

Thus  $\text{Null}(T) \cap \text{range}(S) = \{0\}$ .  $\square$

Let  $v \in V$ , then  $0 = STv = STv$

$$= STI_{\mathbb{R}} - STI_{\mathbb{R}}$$

$$= ST(I_{\mathbb{R}} - ST)v$$

$$= ST(I_{\mathbb{R}} - ST)v$$

$\therefore I_{\mathbb{R}} - STv$  is in  $\text{null}(ST)$

As  $S$  is injective,  $STv = 0 \Rightarrow Tv = 0$

$$\Rightarrow \text{null}(ST) = \text{null}(T)$$

As  $T$  is surjective, so  $Tv = u \Rightarrow STv$  is in  $\text{range}(S)$

$$\text{Thus } STv + I_{\mathbb{R}} - STv = I_{\mathbb{R}} \Rightarrow \text{range}(S) + \text{null}(T) = V$$

According to ① & ②, therefore  $\text{range}(S) \oplus \text{null}(T) = V$

2.4. Proof:  $\because TS, ST$  are injective and surjective by the definition of isomorphism.

Suppose  $Su = 0$ , for  $u \in U$ .

$$T_0 = 0$$

So  $T_0 = TSu = 0$  but  $ST$  and  $TS$  are injective  
 then  $u = 0$ ,  $S$  is injective. ①

Similarly let  $Tv = 0$ , for  $v \in V$

$$S_0 = 0$$

$S_0 = STv = 0$  but  $ST$  and  $TS$  are injective  
 then  $v = 0$ ,  $T$  is injective. ②

Since  $TS$  is surjective, we can say

$\exists u_1 \in U$  such that  $TSu_1 = u_2$  for  $\forall u_2 \in U$ .

Then  $Su_1 = v$  for some  $v \in V$

~~Thus  $TSu_1 = Tv = u_2$~~

So  $T$  is surjective. ③

Similarly  $ST$  is surjective, we can say

$\exists v_1 \in V$  such that  $STv_1 = v_2$  for  $\forall v_2 \in V$

Then  $Tv_1 = u$  for some  $u \in U$

~~Thus  $STv_1 = Su = v_2$~~

So  $S$  is surjective. ④

According to ① ② ③ ④ we have  $S$  and  $T$  are both injective and surjective.

Therefore,  $S$  and  $T$  are themselves isomorphisms.

## Exercise 3.

1. Prof. As  $T: \text{Mat}(2,2, \mathbb{Q}) \rightarrow (2,2, \mathbb{Q})$  via  $T(X) = AX - XA$ 

Then we have

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} a & b \\ c & d \end{pmatrix} - \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a+c & b+d \\ c & d \end{pmatrix} - \begin{pmatrix} a & a+b \\ c & c+d \end{pmatrix}$$

$$= \begin{pmatrix} c & d-a \\ 0 & -c \end{pmatrix}$$

Suppose  $[Y] = \begin{pmatrix} e & f \\ g & h \end{pmatrix}$ , then  $T(Y) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} e & f \\ g & h \end{pmatrix} - \begin{pmatrix} e & f \\ g & h \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

$$= \begin{pmatrix} e+g & f+h \\ g & h \end{pmatrix} - \begin{pmatrix} e & e+f \\ g & g+h \end{pmatrix} = \begin{pmatrix} g-h-e \\ 0 & -g \end{pmatrix}$$

so  $T(X+Y) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a+e & b+f \\ c+g & d+h \end{pmatrix} - \begin{pmatrix} a+e & b+f \\ c+g & d+h \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

$$= \begin{pmatrix} a+e+c+g & b+f+d+h \\ c+g & d+h \end{pmatrix} - \begin{pmatrix} a+e & a+e+b+f \\ c+g & c+g+d+h \end{pmatrix}$$

$$= \begin{pmatrix} c+g & d+h-a-e \\ 0 & -c-g \end{pmatrix}$$

$T(X) + T(Y) = \begin{pmatrix} c & d-a \\ 0 & -c \end{pmatrix} + \begin{pmatrix} g & h-e \\ 0 & -g \end{pmatrix} = \begin{pmatrix} c+g & d+h-e-a \\ 0 & -c-g \end{pmatrix}$

Thus  $T(X+Y) = T(X) + T(Y)$

Then suppose  $\lambda \in \mathbb{F}$  such that  $T(\lambda x) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda a & \lambda b \\ \lambda c & \lambda d \end{pmatrix} - \begin{pmatrix} \lambda a & \lambda b \\ \lambda c & \lambda d \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

$$= \begin{pmatrix} \lambda c & \lambda(d-a) \\ 0 & -\lambda c \end{pmatrix}$$

thus  $T(\lambda x) = \lambda T(x)$

Therefore  $T$  is a linear map.

2. Solution: Want to find  $\text{null}(T) = 0$ 

Suppose  $T(X) = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $[X] = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$

So we have  $c=0$ ,  $d-a=0$ ,

thus  $[X] = \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} = a \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + b \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$

$a \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + b \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = 0$

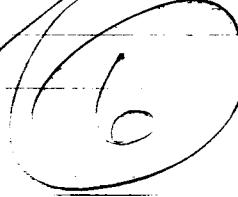
as only when  $a=b=0$ .

so the basis is  $(\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix})$

And  $\text{range}(T) = \begin{pmatrix} C & d-a \\ 0 & c \end{pmatrix}$  by part 1.

$$\text{so } \text{range}(T) = C \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + (d-a) \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

so the basis is  $\left( \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \right)$



#### Exercise 4.

1. Proof:  $\because S^n = 0$

$$\therefore I - S^n = I - 0 = I$$

$$\therefore I - S^n = (I - S)(I^{n-1} + I^{n-2}S + I^{n-3}S^2 + \dots + IS^{n-2} + S^{n-1})$$

$$= (I - S)(I + S + S^2 + \dots + S^{n-1})$$

$$= (S - I)(-I - S - S^2 - \dots - S^{n-1})$$

$$= I$$

$$\therefore (S - I)^{-1} = -I - S - S^2 - \dots - S^{n-1}$$

This  $S - I$  is an isomorphism.

You must also establish that

$$(-I - S - \dots - S^{n-1})(S - I) = I$$

2. Solution: According to 4.1, we have

$$(S - I)^{-1} = -I - S - S^2 - \dots - S^{n-1} \quad \text{for } S: W \rightarrow W, S^n = 0$$

As  $L: \mathbb{R}^5 \rightarrow \mathbb{R}^5, L^k = 0$  for  $k \geq 5$

$$\text{so } (L - I)^{-1} = -I - L - L^2 - \dots - L^{k-1}$$

$$\text{For } k = 1, (L - I)^{-1} = -I = (-x_1 \quad -x_2 \quad -x_3 \quad -x_4 \quad -x_5)$$

$$k = 2, (L - I)^{-1} = -I - L = (-x_1 - x_2 \quad -x_2 - x_3 \quad -x_3 - x_4 \quad -x_4 - x_5 \quad -x_5)$$

$$k = 3, (L - I)^{-1} = -I - L - L^2 = (-x_1 - x_2 - x_3 \quad -x_2 - x_3 - x_4 \quad -x_3 - x_4 - x_5 \quad -x_4 - x_5 \quad -x_5)$$

$$k = 4, (L - I)^{-1} = -I - L - L^2 - L^3 = (-x_1 - x_2 - x_3 - x_4 \quad -x_2 - x_3 - x_4 - x_5 \quad -x_3 - x_4 - x_5 \quad -x_4 - x_5 \quad -x_5)$$

$$k \geq 5, (L - I)^{-1} = -I - L - L^2 - L^3 - L^4 = -I - L - L^2 - L^3 - L^4$$

$$= (-x_1 - x_2 - x_3 - x_4 - x_5 \quad -x_2 - x_3 - x_4 - x_5 \quad -x_3 - x_4 - x_5 \quad -x_4 - x_5 \quad -x_5)$$

2

3. Proof: Want to show  $T-bI$  invertible  $\Leftrightarrow a \neq b$

$\Rightarrow T-bI$  is invertible,  $\exists$  an inverse  $W$  such that

$$W \cdot (T-bI) = I \quad \text{Is it true that } (W(T-bI))^n =$$

$$\therefore I^n = I \quad W^n(T-bI)^n = I^n = I \quad W^n(T-bI)^n ?$$

Suppose now  $a=b$ , then  $W^n(T-bI)^n = W^n(T-aI)^n = W^n \cdot 0 = 0 \neq I$

Therefore  $a \neq b$

$\Leftarrow a \neq b, (T-aI)^n = 0$ , then we have:

$$\left(\frac{1}{b-a}(T-aI)\right)^n = 0$$

$$\text{Let } S = \frac{1}{b-a} \cdot (T-aI), S^n = 0$$

$$\text{So } S-I = \frac{1}{b-a} \cdot (T-aI) - I = \frac{T-aI}{b-a} - \frac{I(b-a)}{b-a} = \frac{T-bI}{b-a} = \frac{1}{b-a}(T-bI)$$

by Question 4.1 we can know that for  $S^n = 0$ .

$$I-S^n = I-0 = I$$

$$\begin{aligned} \text{Then } I-S^n &= (I-S)(I^{n-1} + I^{n-2}S + \dots + S^{n-1}) \\ &= (S-I)(-I-S-\dots-S^{n-1}) \\ &= I \end{aligned}$$

Thus  $(S-I)$  is invertible or  $\frac{1}{b-a}(T-bI)$  is invertible

$$\text{As } \left(\frac{1}{b-a}(T-bI)\right) \cdot ((-I-S-\dots-S^{n-1})(b-a)) = (T-bI) \cdot \left(\frac{1}{b-a}(b-a) \cdot (-I-S-\dots-S^{n-1})\right) = I$$

So  $T-bI$  is invertible

Therefore  $T-bI$  is invertible if and only if  $a \neq b$ .

You should also

$$\text{mention that } \left(\frac{1}{b-a}(-I+S+\dots+S^{n-1})\right)(T-bI) = I$$

$$\theta \in (0, \pi)$$

$$T = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}$$

1. Does there exist a non-zero vector  $u \in \mathbb{R}^2$  s.t.  $Tu = \lambda u$  for some  $\lambda \in \mathbb{R}$ ?

(Is there a vector  $u \in \mathbb{R}^2$  such that  $Tu = \lambda u$ , for some nonzero  $\lambda \in \mathbb{R}$ ?)

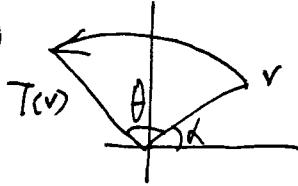
Yes. For each non-zero  $\lambda$ , note that  $T(0) = 0 = \lambda \cdot 0$ .

Claim: The only vector that  $T$  scales is zero vector.

Proof: Suppose that  $v \in \mathbb{R}^2$  is non-zero, ~~the~~  $\lambda \in \mathbb{C}$

Observation:  $v = (R\cos\alpha, R\sin\alpha)$  for some  $R > 0$  and  ~~$\alpha \in [0, 2\pi]$~~

$$T(v) = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} R\cos\alpha \\ R\sin\alpha \end{pmatrix} = \begin{pmatrix} R\cos(\alpha + \theta) \\ R\sin(\alpha + \theta) \end{pmatrix}$$



2. For  $\lambda \in \mathbb{C}$ , solve the eq'n  $T(v) = \lambda v$  for  $v \in \mathbb{C}^2$

(Recall:  $T$  scales  $v$  on  $V \Leftrightarrow T(v) = \lambda v$  for some  $\lambda \in \mathbb{C}$ )

$$\begin{aligned} T(v) = \lambda v &\Leftrightarrow T(v) - \lambda v = 0 \\ &\Leftrightarrow T(v) - \lambda \cdot I(v) = 0 \\ &\Leftrightarrow (T - \lambda I)(v) = 0 \end{aligned}$$

The set of solutions to  $T(v) = \lambda v$  is null ( $T - \lambda I$ )

$$T - \lambda I = \begin{pmatrix} \cos\theta - \lambda & -\sin\theta \\ \sin\theta & \cos\theta - \lambda \end{pmatrix}$$

Find the null space of  $(T - \lambda I)$  matrix.

use Row Reduction

Note Since  $\theta \in (0, \pi)$   $\sin\theta \neq 0$

$$\begin{pmatrix} \cos\theta - \lambda & -\sin\theta \\ \sin\theta & \cos\theta - \lambda \end{pmatrix} \rightarrow \begin{pmatrix} \sin\theta & \cos\theta - \lambda \\ \cos\theta - \lambda & -\sin\theta \end{pmatrix} \rightarrow \begin{pmatrix} 1 & \frac{\cos\theta - \lambda}{\sin\theta} \\ \cos\theta - \lambda & -\sin\theta \end{pmatrix} \rightarrow \begin{pmatrix} 1 & \frac{\cos\theta - \lambda}{\sin\theta} \\ 0 & -\sin\theta - \frac{\cos\theta - \lambda}{\sin\theta} \end{pmatrix}$$

$$\begin{pmatrix} \cos\theta - \lambda & -\sin\theta \\ \sin\theta & \cos\theta - \lambda \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

Solve it we get  $x = y = 0$

$$\begin{cases} x(1, -i) : x \in \mathbb{C} \\ x(1, i) : x \in \mathbb{C} \end{cases}$$

We have shown that

① If  $\lambda \neq \cos \theta + i \sin \theta$  or  $\cos \theta - i \sin \theta$ , then the only solution to  $T(v) = \lambda v$  is the zero vector.

If

② If  $\lambda = \cos \theta + i \sin \theta$  then the solutions to  $T(v) = \lambda v$  are

$$\{x \cdot (1, i) : x \in \mathbb{C}\} = \text{span}(1, i)$$

③ If  $\lambda = \cos \theta - i \sin \theta$ , then the solutions to  $T(v) = \lambda v$  are

$$\{x \cdot (1, -i) : x \in \mathbb{C}\} = \text{span}(1, -i)$$

$\Rightarrow$  ~~scales~~  $v \in \mathbb{C}^2$  scaled by  $T \Leftrightarrow v$  belongs to the set

$$\{\cancel{x(1, i)} : x \in \mathbb{C}\} \cup \{\cancel{x(1, -i)} : x \in \mathbb{C}\}$$

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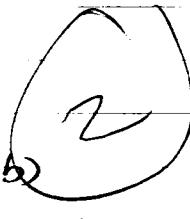
Rui Qiu #999292509

## Exerzition VII

## Exercise 1.

1. Proof: We have

$$\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \lambda \begin{pmatrix} a \\ b \end{pmatrix}$$



$$\begin{aligned} \text{then } \cos\theta \cdot a - \sin\theta \cdot b &= \lambda a \\ \sin\theta \cdot a + \cos\theta \cdot b &= \lambda b \end{aligned} \Rightarrow \begin{array}{l} \lambda = \cos\theta \text{ has a solution} \\ \text{when } a=0, b=0 \end{array}$$

Therefore, if  $u=(0,0)$ ,  $Tu=\lambda u$ .

Exercise 2:

1. Proof  $\text{Tr}(cA+B) = \sum_{k=1}^n (c a_{kk} + b_{kk}) = \sum_{k=1}^n c a_{kk} + \sum_{k=1}^n b_{kk}$

$$= c \sum_{k=1}^n a_{kk} + \sum_{k=1}^n b_{kk}$$

(3)

$$= c \text{Tr}(A) + \text{Tr}(B)$$

Therefore  $\text{Tr}$  is a linear function.

2. Proof:  $\text{Tr}(AB) = \sum_{i=1}^n \sum_{k=1}^n a_{ik} b_{ki}$

$$\text{Tr}(BA) = \sum_{k=1}^n \sum_{i=1}^n a_{ki} b_{ik}$$

But  $\sum_{i=1}^n \sum_{k=1}^n a_{ik} b_{ki} = \sum_{k=1}^n \sum_{i=1}^n a_{ki} b_{ik}$

Why?

Therefore  $\text{Tr}(AB) = \text{Tr}(BA)$

(3)

3. Proof: From part 2 we know that  $\text{Tr}(AB) = \text{Tr}(BA)$

Let  $PA = C$ ,  $P^{-1} = D$ , then we have

$$\text{Tr}(CD) = \text{Tr}(DC)$$

$$\text{Thus } \text{Tr}(PAP^{-1}) = \text{Tr}(P^{-1}PA)$$

As  $I = P^{-1}P$

$$\text{Tr}(P^{-1}PA) = \text{Tr}(IA) = \text{Tr}(A)$$

Therefore  $\text{Tr}(PAP^{-1}) = \text{Tr}(A)$

(3)

## Exercise 3.

Solution:

 Name four matrices  $T_1, T_2, T_3, T_4$ 

$$T_1: \left[ \begin{array}{cc|cc} 1 & 2 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cc|cc} 1 & 1 & 0 & 1 \\ 1 & 2 & 1 & 0 \end{array} \right] \Rightarrow \left[ \begin{array}{cc|cc} 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & -1 \end{array} \right] \Rightarrow \left[ \begin{array}{cc|cc} 1 & 0 & -1 & 2 \\ 0 & 1 & 1 & -1 \end{array} \right]$$

rank = dim range  $T_1 = 2$        $T_1^{-1} = \begin{bmatrix} -1 & 2 \\ 1 & -1 \end{bmatrix}$

$$T_2: \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 1 & 3 & 4 & 0 & 1 & 0 \\ 2 & 3 & -1 & 0 & 0 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 0 & -1 & -3 & 1 & -1 & 0 \\ 0 & 1 & 3 & 2 & 0 & -1 \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 0 & 1 & 3 & -1 & 1 & 0 \\ 0 & 1 & 3 & 2 & 0 & -1 \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 0 & 1 & 3 & -1 & 1 & 0 \\ 0 & 0 & 0 & -3 & 1 & 1 \end{array} \right]$$

rank = dim range  $T_2 = 2$ .  $T_2^{-1}$  does not exist.

$$T_3: \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ -1 & 1 & 2 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 0 & 3 & 3 & 1 & 1 & 0 \\ 0 & 2 & 0 & 1 & 0 & -1 \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & \frac{1}{3} & \frac{1}{3} & 0 \\ 0 & 1 & 0 & \frac{1}{2} & 0 & -\frac{1}{2} \end{array} \right]$$

$$\Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & \frac{1}{2} & 0 & -\frac{1}{2} \\ 0 & 0 & 1 & -\frac{1}{6} & \frac{1}{3} & \frac{1}{2} \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 2 & 0 & \frac{7}{6} & -\frac{1}{3} & -\frac{1}{2} \\ 0 & 1 & 0 & \frac{1}{2} & 0 & -\frac{1}{2} \\ 0 & 0 & 1 & -\frac{1}{6} & \frac{1}{3} & \frac{1}{2} \end{array} \right] \Rightarrow \left[ \begin{array}{ccc|cc} 1 & 0 & 0 & \frac{1}{6} & -\frac{1}{3} & \frac{1}{2} \\ 0 & 1 & 0 & \frac{1}{2} & 0 & -\frac{1}{2} \\ 0 & 0 & 1 & -\frac{1}{6} & \frac{1}{3} & \frac{1}{2} \end{array} \right]$$

rank = dim range  $T_3 = 3$        $T_3^{-1} = \begin{bmatrix} \frac{1}{6} & -\frac{1}{3} & \frac{1}{2} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ -\frac{1}{6} & \frac{1}{3} & \frac{1}{2} \end{bmatrix}$

$$T_4: \left[ \begin{array}{cccc|cc} 1 & 2 & 1 & 0 & 1 & 0 & 0 & 0 \\ 2 & 5 & 5 & 1 & 0 & 1 & 0 & 0 \\ -2 & -3 & 0 & 3 & 0 & 0 & 1 & 0 \\ 3 & 4 & -2 & -3 & 0 & 0 & 0 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc|cc} 1 & 2 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 3 & 1 & -2 & 1 & 0 & 0 \\ 0 & 1 & 2 & 3 & 2 & 0 & 1 & 0 \\ 0 & -2 & -5 & -3 & -3 & 0 & 0 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & -4 & -3 & -2 & 0 & 0 & 1 \\ 0 & 1 & 3 & 1 & -2 & 1 & 0 & 0 \\ 0 & 1 & 2 & 3 & 2 & 0 & 1 & 0 \\ 0 & -2 & -5 & -3 & -3 & 0 & 0 & 1 \end{array} \right]$$

$$\Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & -4 & -3 & -2 & 0 & 0 & 1 \\ 0 & 1 & 3 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & -1 & 3 & 1 & 0 & 2 & 1 \\ 0 & 0 & 1 & -1 & -7 & 2 & 0 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & -4 & -3 & -2 & 0 & 0 & 1 \\ 0 & 1 & -3 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & -1 & 3 & 1 & 0 & 2 & 1 \\ 0 & 0 & 0 & 2 & -6 & 2 & 2 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & -4 & -3 & -2 & 0 & 0 & 1 \\ 0 & 1 & 3 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & -1 & 3 & 1 & 0 & 2 & 1 \\ 0 & 0 & 0 & 1 & -3 & 1 & 1 & 1 \end{array} \right]$$

$$\Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & -4 & -3 & -2 & 0 & 0 & 1 \\ 0 & 1 & 3 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -10 & 3 & 1 & 2 \\ 0 & 0 & 0 & 1 & -3 & 1 & 1 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & -4 & -3 & -2 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 31 & -9 & -4 & -7 \\ 0 & 0 & 1 & 0 & -10 & 3 & 1 & 2 \\ 0 & 0 & 0 & 1 & -3 & 1 & 1 & 1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc|cc} 1 & 0 & 0 & 0 & -51 & 15 & 7 & 12 \\ 0 & 1 & 0 & 0 & 31 & -9 & -4 & -7 \\ 0 & 0 & 1 & 0 & -10 & 3 & 1 & 2 \\ 0 & 0 & 0 & 1 & -3 & 1 & 1 & 1 \end{array} \right]$$

rank = dim range  $T_4 = 4$

$$T_4^{-1} = \begin{bmatrix} -5 & 15 & 7 & 12 \\ 3 & -9 & -4 & -7 \\ -10 & 3 & 1 & 2 \\ -3 & 1 & 1 & 1 \end{bmatrix}$$

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STAPLE!

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MAT240

Exerzition VI

Exercise 1.

1. Solution: We want to show that  $a, b, c, d$  holds a condition  
 $\Leftrightarrow T$  is invertible which means  $\exists T^{-1}$  such that  $TT^{-1} = I$ .  
As  $T \in \mathbb{F}^{2x2}$ , so  $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$

What is  $a \neq 0$ ?

Using row reduction we can get  $T^{-1}$

$$\begin{array}{c|cc|cc} a & b & 1 & 0 \\ c & d & 0 & 1 \end{array} \xrightarrow{r_1 \times \frac{1}{a}} \begin{array}{c|cc|cc} \frac{1}{a} & b & 1 & 0 \\ c & d & 0 & 1 \end{array} \xrightarrow{r_2 - r_1 \times c} \begin{array}{c|cc|cc} \frac{1}{a} & b & 1 & 0 \\ 0 & d - \frac{bc}{a} & -\frac{c}{a} & 1 \end{array} \xrightarrow{\text{row } 2 \times \frac{a}{ad-bc}} \begin{array}{c|cc|cc} \frac{1}{a} & b & 1 & 0 \\ 0 & 1 & \frac{c}{ad-bc} & \frac{a}{ad-bc} \end{array}$$

$$\xrightarrow{r_1 \times \frac{a}{b} - r_2} \begin{array}{c|cc|cc} 0 & 0 & \frac{1}{b - \frac{c}{ad-bc}} & \frac{a}{ad-bc} \\ 0 & 1 & \frac{c}{ad-bc} & \frac{a}{ad-bc} \end{array} \xrightarrow{r_1 \times \frac{b}{a}} \begin{array}{c|cc|cc} 1 & 0 & \frac{d}{ad-bc} & \frac{b}{ad-bc} \\ 0 & 1 & \frac{-c}{ad-bc} & \frac{a}{ad-bc} \end{array}$$

What to show that  $T^{-1}$  exists, so  $ad-bc \neq 0$  as a vital condition.

SEE (\*) BELOW

2. According to Question 1.1,  $T^{-1} = \frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$ .

3. Solution:  $\Rightarrow$  Suppose that  $T$  has an eigenvalue  $\lambda$ .

$$\text{So } Tr = \lambda v \Rightarrow (T - \lambda I)v = 0$$

As  $v$  is a non-zero vector, so  $T - \lambda = \begin{pmatrix} a & b \\ c & d \end{pmatrix} - \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} = \begin{pmatrix} a-\lambda & b \\ c & d-\lambda \end{pmatrix} = 0$

$$\text{Then } (a-\lambda)(d-\lambda) - bc = \lambda^2 - (a+d)\lambda + ad - bc = 0$$

As  $T$  has eigenvalue values

$$\text{hence } (a+d)^2 - 4(ad-bc) = (a-d)^2 + 4bc \geq 0$$

So if  $T$  has eigenvalues, then  $(a-d)^2 + 4bc \geq 0$

$\Leftarrow$  As  $(a-d)^2 - 4bc \geq 0$ , so  $(a+d)^2 - 4(ad-bc) \geq 0$

Therefore in the equation  $\lambda^2 - (a+d)\lambda + (ad-bc) = (a-\lambda)(d-\lambda) - bc \geq 0$   
has one solution for real numbers

Then we suppose that  $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $\alpha I = \begin{pmatrix} d & 0 \\ 0 & d \end{pmatrix}$

obviously we see that  $T - \alpha I = 0 \Rightarrow T = \alpha I$

Both sides multiply a non-zero vector  $v$ , such that

$$Tv = \alpha Iv = \alpha v$$

Therefore  $T$  has an eigenvalue  $\alpha$ .

Example for a matrix which doesn't have eigenvalue:  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \quad 0 < \theta < \pi$

[\* PART] We have to prove this from the other direction.

This is not true. Suppose that  $T = \frac{1}{ad-bc} \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  counter operation of

If  $ad \neq bc$  then  $\frac{1}{ad-bc} \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  exists, by row reduction we can get the conclusion that  $T \cdot T^{-1} = I$ , completing the proof.

## Exercise 2

1. Solution: Written in a matrix form:

$$\begin{pmatrix} \frac{dx_1}{dt} \\ \frac{dx_2}{dt} \\ \frac{dx_3}{dt} \end{pmatrix} = \frac{dx}{dt} = AX = A \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 2x_1 + x_2 \\ x_1 + 3x_2 + x_3 \\ x_2 + 2x_3 \end{pmatrix} = \begin{pmatrix} 2 & 1 & 0 \\ 1 & 3 & 1 \\ 0 & 1 & 2 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

2

$Y = PX$  2. Solution? As  $PAP^{-1}$  is diagonal,  $A$  is similar with  $PAP^{-1}$ .  
Then  $P^{-1}PX = P^{-1}Y$

thus  $A$  has an eigenvalue  $\lambda$ , such that

$$A - \lambda I = 0 \Rightarrow \begin{pmatrix} 2-\lambda & 1 & 0 \\ 1 & 3-\lambda & 1 \\ 0 & 1 & 2-\lambda \end{pmatrix} = 0$$

Suppose  $V = \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$  and  $A(v) - \lambda v = 0$

$$\begin{aligned} & \text{So } (2-\lambda)(3-\lambda)(1) - 1 \times (1 \ 0) + 0 \times (1 \ 0) \\ &= (2-\lambda)(3-\lambda)(2-\lambda) - (2-\lambda) \\ &= (2-\lambda)(6-5\lambda+\lambda^2-2) \\ &= (2-\lambda)(\lambda-1)(\lambda-4) = 0 \end{aligned}$$

Therefore  $\lambda$  equals to 1, 2 or 4.

① Take  $\lambda=1$  into  $A - \lambda I = \begin{pmatrix} 2-1 & 1 & 0 \\ 1 & 3-1 & 1 \\ 0 & 1 & 2-1 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 1 \end{pmatrix}$

Using Row Reduction we get  $\begin{pmatrix} 1 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

Suppose  $V = \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$  Then we have  $\begin{cases} v_1 + v_2 = 0 \Rightarrow v_1 = -v_2 \\ v_2 + v_3 = 0 \Rightarrow v_2 = -v_3 \end{cases}$

Let  $v_1 = t \in \mathbb{F}$  so  $v = \begin{pmatrix} t \\ -t \\ t \end{pmatrix} = t \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$

Thus  $\begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$  is an eigenvector.

② Take  $\lambda=2$  into  $A-\lambda I = \begin{pmatrix} 2-2 & 1 & 0 \\ 1 & 3-2 & 1 \\ 0 & 1 & 2-2 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

Using Row Reduction we get  $\begin{pmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

so, similarly we have  $\begin{cases} V_1 + V_2 + V_3 = 0 \\ V_2 = 0 \end{cases} \Rightarrow V_1 = -V_3$

Let  $V_1 = t \in \mathbb{F}$  so  $V = \begin{pmatrix} t \\ 0 \\ -t \end{pmatrix} = t \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$

Thus  $\begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$  is an eigenvector.

③ Take  $\lambda=4$  into  $A-\lambda I = \begin{pmatrix} 2-4 & 1 & 0 \\ 1 & 3-4 & 1 \\ 0 & 1 & 2-4 \end{pmatrix} = \begin{pmatrix} -2 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & -2 \end{pmatrix}$

Using Row Reduction we get  $\begin{pmatrix} -2 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & -2 \end{pmatrix} = \begin{pmatrix} 1 & -1 & 1 \\ 0 & -1 & 2 \\ 0 & 1 & -2 \end{pmatrix} = \begin{pmatrix} 1 & -1 & 1 \\ 0 & -1 & 2 \\ 0 & 0 & 0 \end{pmatrix}$

Similarly we have  $\begin{cases} V_1 - V_2 + V_3 = 0 \\ -V_2 + 2V_3 = 0 \end{cases} \Rightarrow \begin{cases} V_1 = V_3 \\ V_2 = 2V_1 \end{cases}$

Let  $V_1 = t \in \mathbb{F}$  so  $V = \begin{pmatrix} t \\ 2t \\ t \end{pmatrix} = t \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$

Thus  $\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$  is an eigenvector.

Combining ②③, the three eigenvectors from a matrix  $P^{-1}$

which is  $P^{-1} = \begin{pmatrix} 1 & 1 & 1 \\ -1 & 0 & 2 \\ 1 & -1 & 1 \end{pmatrix}$

3. Solution: As  $P^{-1} = \begin{pmatrix} 1 & 1 & 1 \\ -1 & 0 & 2 \\ 1 & -1 & 1 \end{pmatrix}$ ,  $\Phi = \begin{pmatrix} \frac{1}{2} & -\frac{1}{3} & \frac{1}{3} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{6} \end{pmatrix}$  (by Row Reduction procedure omitted).

Then  $\frac{dY}{dt} = (PAP^{-1})Y = \begin{pmatrix} \frac{1}{2} & -\frac{1}{3} & \frac{1}{3} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{6} \end{pmatrix} \begin{pmatrix} 2 & 1 & 0 \\ 1 & 3 & 1 \\ 0 & 1 & 2 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \\ -1 & 0 & 2 \\ 1 & -1 & 1 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & -\frac{1}{3} & \frac{1}{3} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{6} \end{pmatrix} \begin{pmatrix} 1 & 2 & 4 \\ -1 & 0 & 8 \\ 1 & -2 & 4 \end{pmatrix} Y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \end{pmatrix} Y$

As  $(PAP^{-1})$  is diagonal, so we should do Row Reduction to

$$\begin{pmatrix} 4 & 0 & -1 \\ -2 & 2 & -5 \\ 0 & 0 & 1 \end{pmatrix} \xrightarrow{\text{Row Reduction}} \begin{pmatrix} 4 & 0 & 0 \\ -2 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \xrightarrow{\text{Row Reduction}} \begin{pmatrix} 4 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \xrightarrow{\text{Row Reduction}} \begin{pmatrix} 4 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Thus we have  $\frac{dY}{dt} = \begin{pmatrix} Y_1 \\ Y_2 \\ Y_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 4 \end{pmatrix} \begin{pmatrix} Y_1 \\ Y_2 \\ Y_3 \end{pmatrix} = \begin{pmatrix} Y_1 \\ 2Y_2 \\ 4Y_3 \end{pmatrix}$

Hence  $Y_1 = C_1 e^{t^2}$ ,  $Y_2 = C_2 e^{2t}$ ,  $Y_3 = C_3 e^{4t}$

Then  $Y = \begin{pmatrix} C_1 e^{t^2} \\ C_2 e^{2t} \\ C_3 e^{4t} \end{pmatrix}$

Because  $Y = P X \Rightarrow P^{-1} Y = P^{-1} P X = (P^{-1} P) X = I X = X$

$$\Rightarrow X = P^{-1} Y = \begin{pmatrix} 1 & 1 & 1 \\ -1 & 0 & 2 \\ 1 & -1 & 1 \end{pmatrix} \begin{pmatrix} C_1 e^{t^2} \\ C_2 e^{2t} \\ C_3 e^{4t} \end{pmatrix} = \begin{pmatrix} C_1 e^{t^2} + C_2 e^{2t} + C_3 e^{4t} \\ -C_1 e^{t^2} + 2C_3 e^{4t} \\ C_1 e^{t^2} - C_2 e^{2t} + C_3 e^{4t} \end{pmatrix}$$

Therefore the solutions are:  $X_1 = C_1 e^{t^2} + C_2 e^{2t} + C_3 e^{4t}$

$\checkmark$   $X_2 = -C_1 e^{t^2} + 2C_3 e^{4t}$

$\checkmark$   $X_3 = C_1 e^{t^2} - C_2 e^{2t} + C_3 e^{4t}$

### Exercise 3.

1. Solution: According to question,

$$J_k(\lambda) = \begin{pmatrix} \lambda & 1 & \cdots & 0 \\ 0 & \lambda & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \cdots & \lambda \end{pmatrix}$$

$$J_k(\lambda) - \lambda I = \begin{pmatrix} \lambda & 1 & \cdots & 0 \\ 0 & \lambda & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \cdots & \lambda \end{pmatrix} - \begin{pmatrix} \lambda & \cdots & 0 \\ 0 & \lambda & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda \end{pmatrix} = \begin{pmatrix} 0 & 1 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix}$$

As we can see, the number of independent column is  $k-n$ , and  $\dim \text{null}$  is total number  $k$  minus independent column. Therefore,  $k - (k-n) = n$ .

Thus  $\dim \text{null}(J_k(\lambda) - \lambda I)^n = n$

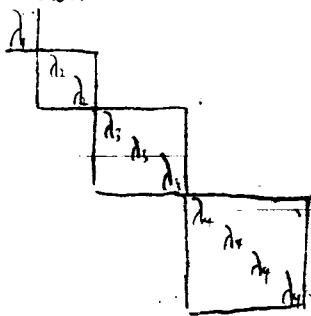
What happens if  $k=2$  and  $n=10$ ?

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2. Solution:



The property of Jordan Block shows that Total size of this matrix is

$$i_1 \times 1 + i_2 \times 2 + \dots + i_m \times m$$

And number of independent column of  $(A - \lambda I)^n$  is :

$$(1-n)i_1 + (2-n)i_2 + \dots + (m-n)i_m$$

if  $J-n < 0$ , then let  $J-n=0$ , for  $\forall J \in \{1, 2, \dots, m\}$

Therefore,  $\dim \text{Null}(A - \lambda I)^n = \text{total size} - \text{independent column}$

$$= \sum_1^k i_k \cdot k - \sum_1^k (k-n) I_k \Rightarrow \text{for every } k-n < 0, k-n=0$$

Your answer is

Not stated properly.

This does not make sense.

④

#### Exercise 4.

Proof: Suppose  $\lambda$  is the eigenvalue of  $ST$ , eigenvector is  $v$ , such that  $S(T(v)) = \lambda v$ . Thus

$$T(S(T(v))) = \lambda T(v)$$

and so  $\lambda$  is also the eigenvalue of  $TS$ , unless  $T(v)=0$ .

If  $T(v)=0$ , then  $\text{null}(T) \neq \{0\}$ , therefore  $\lambda=0$ .

because  $0 = S(T(v)) = \lambda v$  and  $v \neq 0$ )

As  $V$  is finite dimensional

$$\dim V = \dim \text{null}(T) + \text{rank}(T) = \dim \text{null}(TS) + \text{rank}(TS)$$

As  $\text{range}(TS) \subseteq \text{range}(T)$ , we can get that

$$\dim \text{null}(TS) \geq \dim \text{null}(T) > 0$$

so  $\text{null}(TS)$  is nontrivial ✓

Thus (the) eigenvalue of  $TS$  is  $\lambda=0$ . ✓

(If we switch  $T$  and  $S$ , the proof will be similar.)

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## Exerzition IX

## Exercise 1

nxn stochastic

1. Proof. Suppose that there exist 2 matrices which are  $\begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix}$  and  $\begin{bmatrix} b_{11} & \dots & b_{1n} \\ \vdots & \ddots & \vdots \\ b_{m1} & \dots & b_{mn} \end{bmatrix}$

$$\text{then } \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix} \begin{bmatrix} b_{11} & \dots & b_{1n} \\ \vdots & \ddots & \vdots \\ b_{m1} & \dots & b_{mn} \end{bmatrix} = \begin{bmatrix} a_{11}b_{11} + \dots + a_{1n}b_{1n} & a_{11}b_{12} + \dots + a_{1n}b_{1n} \\ \vdots & \vdots \\ a_{m1}b_{11} + \dots + a_{mn}b_{1n} & a_{m1}b_{12} + \dots + a_{mn}b_{1n} \end{bmatrix}$$

Hence the sums of the entries in two columns of the product satisfy.

$$(a_{11}b_{11} + \dots + a_{1n}b_{1n}) + \dots + (a_{m1}b_{11} + \dots + a_{mn}b_{1n}) = b_{11}(a_{11} + \dots + a_{1n}) + \dots + b_{1n}(a_{11} + \dots + a_{1n}) \quad \textcircled{1}$$

$$(a_{11}b_{12} + \dots + a_{1n}b_{1n}) + \dots + (a_{m1}b_{12} + \dots + a_{mn}b_{1n}) = b_{12}(a_{11} + \dots + a_{1n}) + \dots + b_{1n}(a_{11} + \dots + a_{1n}) \quad \textcircled{2}$$

By the definition of stochastic matrix that,

$$a_{11} + \dots + a_{1n} = \dots = a_{m1} + \dots + a_{mn} = b_{11} + \dots + b_{1n} = \dots = b_{m1} + \dots + b_{mn} = 1$$

Therefore \textcircled{1} & \textcircled{2} can be written as

$$b_{11}(a_{11} + \dots + a_{1n}) + \dots + b_{1n}(a_{11} + \dots + a_{1n}) = b_{11} + \dots + b_{1n} = 1$$

$$b_{m1}(a_{11} + \dots + a_{1n}) + \dots + b_{mn}(a_{11} + \dots + a_{1n}) = b_{m1} + \dots + b_{mn} = 1$$

So the product of two stochastic matrices is also stochastic.

and sum of entries of AB is non-negative.  
Also, why are the entries of AB non-negative?

2. Proof. Suppose  $\exists$  a vector  $\begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix}^n$  in the image of  $(A - I)$ . Suppose  $A = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix}$

Then the transpose of A,  $A^T$  is a row-stochastic.

$$A^T = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix}$$

$$\text{Thus } A^T \cdot \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix} \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11} + \dots + a_{1n} \\ \vdots \\ a_{m1} + \dots + a_{mn} \end{bmatrix} = \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix}$$

Name vector  $\begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} = u$ , then we have  $A^T u = 1 \cdot u$

So the eigenvalue of  $A^T$  is  $\lambda = 1$ .

Since A and  $A^T$  share the same eigenvalue

Thus the eigenvalue of A is 1.

[Don't know whether we can use the property of transpose to prove this]

So the other way to prove, I think, is following:

Proof: Suppose that  $A = [a_{ij}]$  is a stochastic matrix, which is  $R^{n \times n}$   
 Then  $A - I = \begin{bmatrix} a_{11} - 1 & a_{12} \\ a_{21} & \ddots \\ \vdots & \vdots \\ a_{n1} & \dots & a_{nn} - 1 \end{bmatrix} = [b_{ij}]$

Good!

$$\therefore \sum_{i=1}^n a_{ij} = 1$$

$$\therefore \sum_{i=1}^n b_{ij} = (a_{11} - 1) + a_{21} + a_{31} + \dots + a_{n1} - 1 = 0$$

$\therefore$  The matrix  $(A - I)$  is column dependent

$A - I$   $\therefore (A - I)$  is not surjective, as it is a square matrix, this implies that it is not injective, either.

$$\therefore \text{null}(A) \neq \{0\}$$

$\therefore$  There exists some eigenvector for  $A$

$\therefore 1$  is an eigenvalue.

3. Solution Let the time staying with each bureaucrats be  $x_1, x_2, x_3, x_4, x_5$ .

then we have the relations among 5 letters.

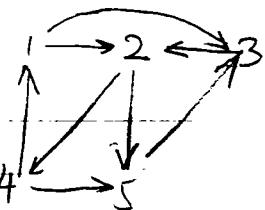
$$x_1 = \frac{1}{2}x_4$$

$$x_2 = \frac{1}{2}x_1 + x_3$$

$$x_3 = \frac{1}{2}x_1 + x_5$$

$$x_4 = \frac{1}{2}x_2$$

$$x_5 = \frac{1}{2}x_4 + \frac{1}{2}x_2$$



$$\begin{array}{c} A \\ \left( \begin{array}{ccccc} 0 & 0 & 0 & \frac{1}{2} & 0 \\ \frac{1}{2} & 0 & 1 & 0 & 0 \\ \frac{1}{2} & 0 & 0 & 0 & 1 \\ 0 & \frac{1}{2} & 0 & 0 & 0 \\ 0 & \frac{1}{2} & 0 & \frac{1}{2} & 0 \end{array} \right) \end{array} \begin{array}{c} X \\ \left( \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{array} \right) \end{array} = \begin{array}{c} X \\ \left( \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{array} \right) \end{array} \quad (4)$$

$$\text{So } Ax = 1 \cdot X \Rightarrow (A - I)X = 0$$

$$\text{Solve this we get } X = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{pmatrix} = \begin{pmatrix} 2k \\ 8k \\ 7k \\ 4k \\ 6k \end{pmatrix} \quad (k \in \mathbb{R})$$

Ranking  $x_1$  to  $x_5$  we get  $x_2 > x_3 > x_5 > x_4 > x_1$

So the 5 bureaucrats from most time-wasting to the least are 2, 3, 5, 4, 1

## Exercise 2.

Solution: The matrix  $A = \begin{bmatrix} 2 & 1 & 1 \\ 0 & 2 & 1 \\ 0 & 0 & 1 \end{bmatrix}$  with  $\lambda_1=2, \lambda_2=1$ .

$$A - 2I = \begin{bmatrix} 2-2 & 1 & 1 \\ 0 & 2-2 & 1 \\ 0 & 0 & 1-2 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & -1 \end{bmatrix} \xrightarrow{\text{Row Reduction}} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix} \quad (\text{Rank}=2)$$

then  $\dim \text{null}(A - 2I) = 3 - 2 = 1$

thus  $\exists$  one Jordan Block of size 2 for the eigenvalue  $\lambda_1=2$

$$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{So the minimal polynomial of matrix } A \text{ is}$$

$$p(A) = (A-2I)^2(A-1) \quad \checkmark$$

We have  $PAP^{-1} = \begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$  let  $P^{-1} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix}$

$$\therefore P^{-1}(PAP^{-1})P = P^{-1} \begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$AP^{-1} = P^{-1} \begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\begin{pmatrix} 2 & 1 & 1 \\ 0 & 2 & 1 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} = \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \begin{pmatrix} 2 & 1 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 2a+d+g & 2b+e+h & 2c+f+i \\ 2d+g & 2e+h & 2f+i \\ g & h & i \end{pmatrix} = \begin{pmatrix} 2a & a+2b & c \\ 2d & d+2e & f \\ 2g & g+2h & i \end{pmatrix}$$

$$\Rightarrow \begin{cases} g=2g \Rightarrow g=0 \\ g+2h=h \Rightarrow h=0 \\ 2e+h=d+2e \Rightarrow d=0 \end{cases} \Rightarrow \begin{pmatrix} 2a & 2b+e & 2c+f+i \\ 0 & 2e & 2f+i \\ 0 & 0 & i \end{pmatrix} = \begin{pmatrix} 2a & a+2b & c \\ 0 & 2e & f \\ 0 & 0 & i \end{pmatrix}$$

$$\Rightarrow \begin{cases} 2b+e=a+2b \Rightarrow a=e \\ 2c+f+i=c \Rightarrow c=0 \\ 2f+i=f \Rightarrow f+i=0 \end{cases} \Rightarrow \begin{pmatrix} 2a & 2b+a & 0 \\ 0 & 2a & f \\ 0 & 0 & i \end{pmatrix} = \begin{pmatrix} 2a & a+2b & 0 \\ 0 & 2a & f \\ 0 & 0 & i \end{pmatrix}$$

So let  $\begin{cases} a=e=1 \\ b=1 \\ g=h=d=c=0 \\ f=1 \\ i=-1 \end{cases}$

then we get  $P^{-1} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$

Finally we use Row Reduction to calculate P:

$$\left( \begin{array}{cc|c} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & -1 \end{array} \right) \xrightarrow{r_3 \leftrightarrow r_2} \left( \begin{array}{cc|c} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \xrightarrow{r_2 \leftrightarrow r_3} \left( \begin{array}{cc|c} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{array} \right) \xrightarrow{r_1 - r_2} \left( \begin{array}{cc|c} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{array} \right)$$

$$R_1 \rightarrow R_1 - R_2 \Rightarrow \left( \begin{array}{cc|c} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right)$$

Thus  $P = \left( \begin{array}{ccc} 1 & -1 & -1 \\ 0 & 1 & 1 \\ 0 & 0 & -1 \end{array} \right)$

(10)

2d  
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## Exerzition X

### Exercise 1.

1. Solution: For every  $u$  that makes  $S(Tu) = 0$ , there are two possible situations:

- ①  $Tu = 0$ ,  $u \in \text{null}(T)$ , then  $S(Tu) = S(0) = 0$ .
- ②  $Tu \neq 0$ , then  $Tu \in \text{null } S$ , so  $S(Tu) = 0$ .

(1)

Notice that in ②  $Tu \in \text{range}(T)$

By Rank-Nullity Theorem that,

$$\dim(\text{null}(ST)) = \dim(\text{null}(T/\text{null}(S))) + \dim(\text{range}(T/\text{null}(S)))$$

$$\text{As } \text{null}(T/\text{null}(S)) = \text{null } T, \text{ range}(T/\text{null}(S)) = \text{null}(S) \cap \text{range}(T)$$

$$\text{Therefore } \dim(\text{null}(ST)) = \dim(\text{null}(T)) + \dim(\text{null}(S) \cap \text{range}(T))$$

2. Proof: Suppose there exists a linear map  $T'$  such that

$$\text{null } ST \rightarrow V \text{ by } T'u = Tu$$

If  $u \in \text{null}(ST)$ , then  $S(Tu) = 0$

So  $Tu \in \text{null } S$

So  $\text{range } T' \subset \text{null } S$

$$\text{Now we have } \dim \text{null}(ST) = \dim \text{null}(T') + \dim \text{range}(T') \quad (\text{by Theorem 3.4})$$

$$\leq \dim \text{null}(T') + \dim \text{null}(S)$$

$$\leq \dim \text{null}(T) + \dim \text{null}(S)$$

According to Question 1.1 that  $\dim \text{null}(ST) = \dim(T) + \dim(\text{null}(S) \cap \text{range}(T))$

$$\text{so we have } \dim(\text{null}(S) \cap \text{range}(T)) \leq \dim \text{null}(S)$$

thus  $\text{null}(S) \subseteq \text{range}(T)$

(2)

3. Proof: Since  $T$  is injective, if  $Tu = Tv$ , then  $u = v$ .

Set  $a = Tu, b = Tv$ , since  $S$  is injective,

$$so Sa = S(Tu) = STv = Sb, \text{ for } a = Tu = Tv = b$$

Therefore,  $ST$  is also injective.

(1)

Give an example.

Now suppose that  $\dim U = 3, \dim V = \dim W = 2$ .

Since  $T$  is surjective, so  $\dim \text{range}(T) = \dim V = 2, \dim \text{null}(W) = 3 - 2 = 1$

then  $\dim \text{range}(T) = \dim V = \dim W = 2$ , satisfies that  $S$  is injective.

But  $\dim U \neq \dim W$ , which means  $ST$  between  $V$  and  $W$  is not one-to-one.

This  $ST$  is not necessarily injective.

Claim:  $(e^x, xe^x, \dots, x^n e^x)$  spans null  $((D-I)^{n+1})$

Proof. Since  $\text{span}(e^x) = \text{null}(D-I)$

Suppose  $a_0 \in \mathbb{F}$ , then  $\text{span}(e^x) = \{a_0 e^x\}$

$$\text{so } (D-I)(a_0 e^x) = a_0 e^x - a_0 e^x = 0.$$

Thus for  $n=0$ , the hypothesis is true.  $\checkmark$

We want to prove the hypothesis is also true for  $n=k+1$ .

If  $n=k+1$ , then  $\text{span}(e^x, xe^x, \dots, x^{k+1} e^x) = \{a_0 e^x + a_1 xe^x + a_2 x^2 e^x + \dots + a_{k+1} x^{k+1} e^x\}$

Then we add an operator  $(D-I)$  to this span, we get.

$$\begin{aligned} & (D-I)(a_0 e^x + a_1 xe^x + a_2 x^2 e^x + \dots + a_{k+1} x^{k+1} e^x) \\ &= (a_0 e^x + a_1 e^x + a_1 xe^x + 2a_2 x e^x + a_2 x^2 e^x + \dots + (k+1)a_{k+1} x^k e^x + a_{k+1} x^{k+1} e^x) \\ &\quad - (a_0 e^x + a_1 xe^x + a_2 x^2 e^x + \dots + a_{k+1} x^{k+1} e^x) \\ &= a_1 e^x + 2a_2 x e^x + 3a_3 x^2 e^x + \dots + (k+1)a_{k+1} x^k e^x \end{aligned}$$

So we eliminate the first term with  $a_0$ .

Then we continue to operate on  $(D-I)$ :

$$\begin{aligned} & (D-I)(a_1 e^x + 2a_2 x e^x + 3a_3 x^2 e^x + \dots + (k+1)a_{k+1} x^k e^x) \\ &= (a_1 e^x + 2a_2 e^x + 2a_2 x e^x + 6a_3 x e^x + 3a_3 x^2 e^x + \dots + k(k+1)a_{k+1} x^{k-1} e^x + (k+1)a_{k+1} x^k e^x) \\ &\quad - (a_1 e^x + 2a_2 x e^x + 3a_3 x^2 e^x + \dots + (k+1)a_{k+1} x^k e^x) \\ &= 2a_2 e^x + 6a_3 x e^x + \dots + k(k+1)a_{k+1} x^{k-1} e^x \end{aligned}$$

So we eliminate the second term with  $a_1$ .

Thus by repeating  $(D-I)$   $(k+2)$  times, we can eliminate all  $(k+2)$  terms.

Therefore,  $(D-I)^{k+2} (a_0 e^x + a_1 xe^x + a_2 x^2 e^x + \dots + a_{k+1} x^{k+1} e^x) = 0$  (for  $n=k+1$ , it's true)

Then when  $n=k+2$ ,  $\text{span}(e^x, xe^x, \dots, x^{k+1} e^x, x^{k+2} e^x) = \{a_0 e^x + a_1 xe^x + \dots + a_{k+1} x^{k+1} e^x + a_{k+2} x^{k+2} e^x\}$

$$\begin{aligned} & \text{So } (D-I)^{k+3} (a_0 e^x + a_1 xe^x + \dots + a_{k+1} x^{k+1} e^x + a_{k+2} x^{k+2} e^x) \\ &= (D-I)^{k+3} (a_0 e^x + a_1 xe^x + \dots + a_{k+1} x^{k+1} e^x) + (D-I)^{k+3} a_{k+2} x^{k+2} e^x \\ &= (D-I)^{k+2} (a_0 e^x + a_1 xe^x + \dots + a_{k+1} x^{k+1} e^x) + 0 \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

Hence hypothesis is also true when  $n=k+2$

Proved.

This just proves that  $\text{null}((D-I)^{k+3})$  contains  
the span.

## Exercise 2.

1. Proof: Since  $(L - \lambda I)(S_1, S_2, \dots) = (S_2 - \lambda S_1, S_3 - \lambda S_2, \dots)$   
 $= 0$

$$\text{So } \lambda S_1 = S_2, \lambda^2 S_1 = S_3, \dots$$

Sequence  $S$  can be written as  $(S_1, \lambda S_1, \lambda^2 S_1, \dots)$  and  $\lambda$  can be any number.

$$\text{When } \lambda = 1, S = (S_1, S_1, S_1, \dots) = S_1(1, 1, 1, \dots)$$

as  $S_1$  is a constant, then (1) spans eigenspace.  
 Then we have

$$(L - I)(n) = (2, 3, 4, \dots) - (1, 2, 3, \dots) = (1, 1, 1, \dots) \quad \checkmark$$

$$(L - I)(n^2) = L(n^2) - I(n^2) = (n+1)^2 - n^2 = 2(n) + (1)$$

$$\begin{aligned} (L - I)^2(n^2) &= (L - I)(L - I)(n^2) = (L - I)(2(n) + (1)) \\ &= 2(L - I)(n) + (L - I)(1) \\ &= 2(1) + 0 \end{aligned}$$

$$\text{but } (L - I)(2(1)) = 2(1) + 0 = 0$$

$$\text{Therefore } (L - I)^3(n^2) = (L - I)(L - I)^2(n^2) = (L - I)(0) = 0$$

Thus  $(n^2)$  is in null  $(L - I)^3$ .

Using us  
a generalized eigenvector

$$2. \text{ Solution: } \because t_n = 1^3 + 2^3 + \dots + n^3 = \left(\frac{n(n+1)}{2}\right)^2$$

$$\begin{aligned} \therefore t &= \left(\frac{n(n+1)}{2}\right)^2 = \left(\frac{1}{4}n^2(n^2 + 2n + 1)\right) = \left(\frac{1}{4}n^4 + \frac{1}{4}2n^3 + \frac{1}{4}n^2\right) \\ &= \left(\frac{1}{4}n^4 + \frac{1}{2}n^3 + \frac{1}{4}n^2\right) \end{aligned}$$

$$2. \text{ Solution: } \because t_{10} = \left(\frac{1(1+1)}{2}\right)^2 = 1^3$$

Assume that  $\left(\frac{n(n+1)}{2}\right)^2$  is the formula we want to get.

have to prove  $n+1$  is true.

$$\therefore \left(\frac{n(n+1)}{2}\right)^2 + (n+1)^3 = \frac{n^2(n+1)^2}{4} + \frac{4(n+1)^3}{4} = \frac{(n+1)^2(n^2 + 4(n+1))}{4}$$

$$= \frac{(n+1)^2(n+2)^2}{4} = \left(\frac{(n+1)(n+2)}{2}\right)^2 \quad . \text{ It's true.}$$

$$\therefore t_n = 1^3 + 2^3 + \dots + n^3 = \left(\frac{n(n+1)}{2}\right)^2 \quad \checkmark$$

$$\therefore t = \left(\frac{(n(n+1))^2}{2}\right) = \left(\frac{1}{4}n^2(n^2 + 2n + 1)\right) = \left(\frac{1}{4}n^4 + \frac{1}{2}n^3 + \frac{1}{4}n^2\right)$$

Exercise 3.  $|V| = \prod_{0 \leq j < i \leq n} (C_i - C_j)$  Rui Qiu 999292509

Claim:  $\boxed{|V| = \prod_{0 \leq j < i \leq n} (C_i - C_j)}$  (\*)

Proof:

By induction, when  $n=1$ , we have

$$\det V = \begin{vmatrix} 1 & C_0 \\ 1 & C_1 \end{vmatrix} = C_1 - C_0, \text{ (*) is true.}$$

We want to prove (\*) is true for any  $n \in \mathbb{N}^*$ .

Let  $n=k+1$

Let the  $(k+1)$ th column minus  $C_0$  times  $(k+1)$ th column,  
the  $(k+1)$ th column minus  $C_0$  times  $k$ th column.

that is, each column minus  $C_0$  times its left-side column, from right to left.  
so we can get:

$$|V| = \begin{vmatrix} 1 & 0 & 0 & \cdots & 0 \\ 1 & C_1 - C_0 & C_1^2 - C_0 C_1 & \cdots & C_1^{k+1} - C_0 C_1^{k+1} \\ 1 & C_2 - C_0 & C_2^2 - C_0 C_2 & \cdots & C_2^{k+1} - C_0 C_2^{k+1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & C_{k+1} - C_0 & C_{k+1}^2 - C_0 C_{k+1} & \cdots & C_{k+1}^{k+1} - C_0 C_{k+1}^{k+1} \end{vmatrix} = \begin{vmatrix} C_1 - C_0 & C_1^2 - C_0 C_1 & \cdots & C_1^{k+1} - C_0 C_1^{k+1} \\ C_2 - C_0 & C_2^2 - C_0 C_2 & \cdots & C_2^{k+1} - C_0 C_2^{k+1} \\ \vdots & \vdots & \ddots & \vdots \\ C_{k+1} - C_0 & C_{k+1}^2 - C_0 C_{k+1} & \cdots & C_{k+1}^{k+1} - C_0 C_{k+1}^{k+1} \end{vmatrix}$$

$$= (C_1 - C_0)(C_2 - C_0) \cdots (C_{k+1} - C_0) \begin{vmatrix} 1 & C_1 & \cdots & C_1^{k+1} \\ 1 & C_2 & \cdots & C_2^{k+1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & C_{k+1} & \cdots & C_{k+1}^{k+1} \end{vmatrix}$$

Since we get a new  $n \times n$  matrix which is similar to previous  $V$  matrix  
 $(k+1) \times (k+1)$

Notice that  $(C_i^k - C_0^k) - C_0(C_i^{k-1} - C_0^{k-1}) = (C_i - C_0)C_i^{k-1}$ . So we can extract all these factors, we get:

$$|V|_{k+1} = \prod_{i=1}^{k+1} (C_i - C_0) \begin{vmatrix} 1 & C_1 & \cdots & C_1^{k+1} \\ 1 & C_2 & \cdots & C_2^{k+1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & C_{k+1} & \cdots & C_{k+1}^{k+1} \end{vmatrix} = \prod_{i=1}^{k+1} (C_i - C_0) \cdot |V|_k$$

Therefore  $|V|_n = \prod_{i=1}^n (C_i - C_0) \cdot \prod_{1 \leq j < i \leq n} (C_i - C_j) = \prod_{0 \leq j < i \leq n} (C_i - C_j)$

