

# STATE-SPACE PLANNING

## CHAPTER 10

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# Outline

- ◊ State-space planning
- ◊ Progression planning (forward search)
- ◊ Heuristics
- ◊ Regression planning (backward search)
- ◊ Lifting

## State-space planning

- Planning procedures are often search procedures
- They differ by the search space they consider
- State-space planning explores the most obvious search space:
  - Nodes labelled by states of the world
  - Actions define successor states
  - Plans are paths from the initial node to a goal node
- Search space can be explored in many ways:
  - forward, backward
  - using a variety of strategies (breadth-first, depth-first, A\*, ...)
  - using a variety of heuristics
  - The STRIPS representation enables an efficient exploration and domain-independent heuristics

# Progression planning (forward search)

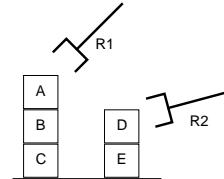
function FORWARD-SEARCH( $O, s_0, g$ ) returns an action sequence, or failure

*plan*  $\Rightarrow$

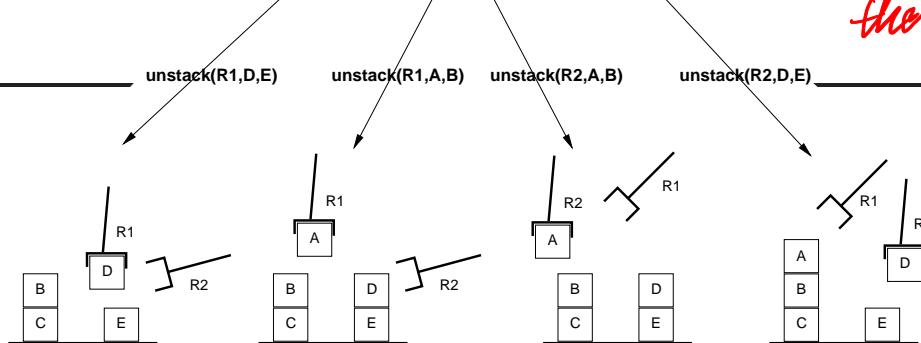
```

 $s \leftarrow s_0$ 
 $\pi \leftarrow \langle \rangle$ 
loop do
    if  $s$  satisfies  $g$  then return  $\pi$ 
     $E \leftarrow \{a \mid a \text{ is a ground instance of an operator in } O$ 
         $\text{such that } a \text{ is applicable in } s\}$ 
    if  $E = \{\}$  then return failure
    choose an action  $a \in E$ 
     $s \leftarrow \gamma(s, a)$ 
     $\pi \leftarrow \pi.a$ 
end

```



Non-deterministic  
that is not real



which way should we use to make the selection

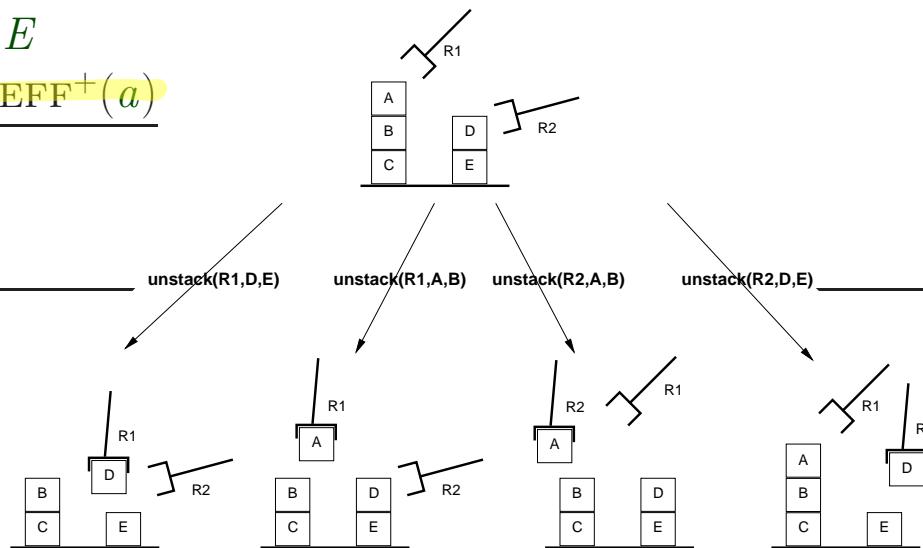
# Progression planning (forward search)

**function FORWARD-SEARCH( $O, s_0, g$ ) returns an action sequence, or failure**

```

 $s \leftarrow s_0$ 
 $\pi \leftarrow \langle \rangle$ 
loop do
    if  $g \subseteq s$  then return  $\pi$  // prob.-independent
     $E \leftarrow \{a \mid a \text{ is a ground instance of an operator in } O$ 
        such that  $\text{PRE}(a) \subseteq s\}$ 
    if  $E = \{\}$  then return failure
    choose an action  $a \in E$ 
     $s \leftarrow (s \setminus \text{EFF}^-(a)) \cup \text{EFF}^+(a)$ 
     $\pi \leftarrow \pi.a$ 
end

```



## Properties of FORWARD-SEARCH

FORWARD-SEARCH can be used in conjunction with any search strategy to implement choose, breadth-first search, depth-first search, iterative-deepening, greedy search, A\*, IDA\*, ...

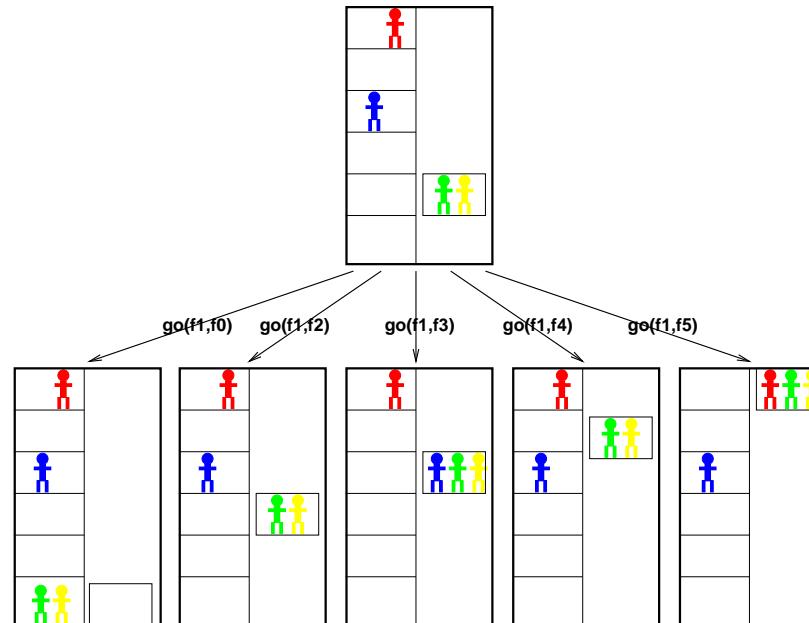
FORWARD-SEARCH is sound: any plan returned is guaranteed to be a solution to the problem.

FORWARD-SEARCH is complete: provided the underlying search strategy is complete, it will always return a solution to the problem if there is one.

For instance, when used with breadth-first search it will be complete, when used with depth-first search it will be complete if the state space is finite – in general, we need to detect and forbid loops. 

## Branching factor in FORWARD-SEARCH

FORWARD-SEARCH can have a large branching factor



It wastes a lot of time trying irrelevant actions

How do we cope with this?:

domain-specific: search control rules, heuristics

✗ domain-independent: heuristics extracted from the STRIPS problem description

backward search: from the goal to the initial state

## Domain-independent heuristics

Example: count number of unachieved goal propositions; fast, **inadmissible** and **not very informative**.

From the search lectures:

- An **admissible** heuristic is **optimistic**: it gives a lower bound on the true cost of a solution to the problem
- An admissible heuristic can be obtained by **relaxing** a problem  $P$  into a **simpler problem**  $P'$ : the cost of any optimal solution to  $P'$  is a lower bound on the cost of the optimal solution to  $P$

We **relax STRIPS problem** descriptions to obtain generic planning heuristics:

~~X~~ **delete relaxation heuristics**

- abstraction heuristics
- landmark heuristics

## Delete relaxation

Let  $P$  be a planning problem and let  $P^+$  be the relaxed problem obtained by ignoring the negative effects (delete list) of every action

$P^+$  is called the delete-relaxation of  $P$

$P^+$  is like  $P$  except that:  $\text{EFF}^-(a) = \{\}$  for all  $a$

"only make things true,  
not make them false"

A solution for  $P^+$  is called a relaxed plan.

## Delete relaxation

Let  $P$  be a planning problem and let  $P^+$  be the relaxed problem obtained by ignoring the negative effects (delete list) of every action

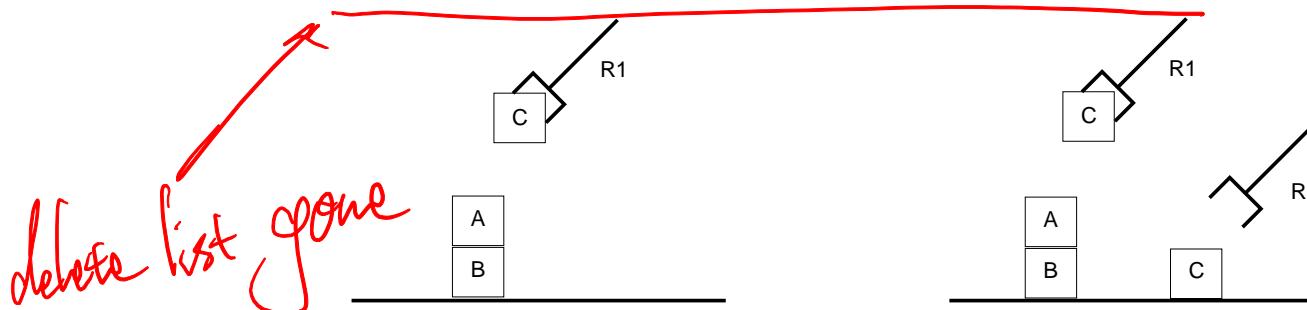
$P^+$  is called the **delete-relaxation** of  $P$

$P^+$  is like  $P$  except that:  $\text{EFF}^-(a) = \{\}$  for all  $a$

A solution for  $P^+$  is called a **relaxed plan**.

- $s = \{\text{on}(A, B), \text{clear}(A), \text{ontable}(B), \text{holding}(R1, C)\}$
- $a = \text{putdown}(R1, C)$ 
  - precondition  $\{\text{holding}(R1, C)\}$
  - effect  $\{\text{ontable}(C), \text{clear}(C), \text{handempty}(R1), \cancel{\text{holding}(R1, C)}\}$
- $\gamma(s, a) = \{\text{on}(A, B), \text{clear}(A), \text{ontable}(B), \text{holding}(R1, C),$   
 $\text{ontable}(C), \text{clear}(C), \text{handempty}(R1)\}$

↑  
removed



## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Real World (before)

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Real World (after)

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Relaxed World (before)

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Relaxed World (after)

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Real World (before)

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Real World (after)

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Relaxed World (before)

## Delete relaxation - intuition

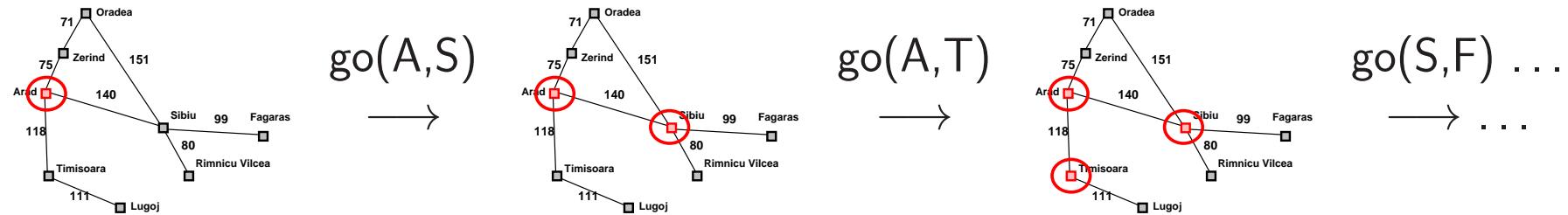
Delete-relaxed planning: once a fact becomes true, it remains true forever



*"impossible states"*

## Delete relaxation - intuition

Delete-relaxed planning: once a fact becomes true, it remains true forever



Once an action is applicable, it remains applicable

→ An action does not need to be applied more than once in a relaxed plan

## Delete relaxation heuristics

The cost  $h^+$  of an optimal solution to  $P^+$  is a lower bound on the cost of an optimal solution of for  $P$ , hence an admissible heuristic

But, finding an optimal solution for  $P^+$  is NP-hard

(PLANMIN is NP-complete for problems with only positive effects)

→ Need to further relax the problem to get efficient admissible heuristics  
gives the  $h^{\max}$  heuristic [Bonet & Geffner, 1999]

Finding an arbitrary solution for  $P^+$  (PLANSAT) is polynomial  
(PLANSAT is polynomial with only positive effects)

→ Relaxed plans are used to derive inadmissible heuristics  
gives the  $h^{\text{FF}}$  heuristic [Hoffmann & Nebel, AIJ 2001]

## $h^{\max}$ and $h^{\sum}$ heuristics

Relax the problem by ignoring the negative effects  $\text{EFF}^-$

$$\text{overall goal } g = p + q + r$$

Further relax the problem by ignoring interactions between subgoals

$$p, q, r$$

Heuristics  $h(s, g)$  estimate the minimum cost from  $s$  to  $g$ . When  $g = \{p\}$ :

- $h(s, \{p\}) = 0$  if  $p \in s$
- $h(s, \{p\}) = \infty$  if  $p \notin s$  and  $\forall a \in A, p \notin \text{EFF}^+(a)$
- $h(s, \{p\}) = \min_{\substack{a \in A \\ p \in \text{EFF}^+(a)}} [h(s, \text{PRE}(a)) + c(a)]$  otherwise

no action can produce  $p$

Recursive Def.

\* admissible  $h^{\max}$  heuristic: cost to reach a set is the max of costs.

looks at the critical path:  $h(s, g) = \max_{p \in g} h(s, \{p\})$

\* non-admissible  $h^{\sum}$  heuristic: cost to reach a set is the sum of costs.

assumes subgoal independence:  $h(s, g) = \sum_{p \in g} h(s, \{p\})$

• admissible: cost to reach a set is the max of costs to reach each pair.

generalisation  $h^m$  heuristic: max of costs to reach each subset of size  $m$

not important here.

better, but more expensive to compute.



## Computing $h^{\max}$

Let  $n$  be a node labelled by state  $s$  in an A\* search. We need to compute  $h(s, g)$  to evaluate  $n$  and put it in frontier.

It suffices to compute  $h(s, \{p\})$  for each proposition  $p$ .

for each proposition  $p$

if  $p \in s$  then  $H[p] \leftarrow 0$  else  $H[p] \leftarrow \infty$

repeat:

for each action  $a$

$H[a] \leftarrow \max_{p \in \text{PRE}(a)} H[p]$

for each proposition  $p \in \text{EFF}^+(a)$

$H[p] \leftarrow \min(H[p], H[a] + c(a))$

until a fixed point is reached

return  $h(s, g) = \max_{p \in g} H[p]$

Polynomial time algorithm. For  $h^{\text{sum}}$ , replace max with  $\sum$ .

## $h^{\text{FF}}$ heuristic

Delete-relaxation heuristics so far:

- $h^+$  too hard to compute
- $h^{\max}$  not very informative
- $h^{\sum}$  can greatly over-estimate  $h^*$

New heuristic  $h^{\text{FF}}$ : ↪ "Forward" Planner

- inadmissible
- compromise between  $h^{\max}$  and  $h^{\sum}$
- makes sense when actions have unit costs
- ① relaxed reachability + ② relaxed plan extraction

↑  
try to find a relaxed plan

## $h^{\text{FF}}$ heuristic - relaxed reachability

Level 0

ontable(A)

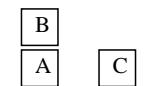
on(B,A)

clear(B)

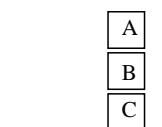
handempty(R)

clear(C)

ontable(C)

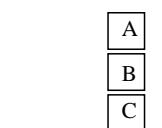
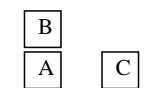
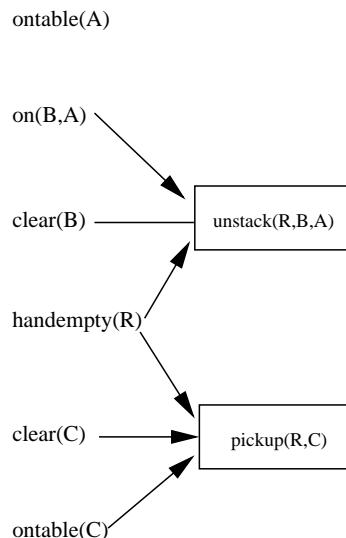


↑  
where (proposition / action)  
you can reach from the  
init state .



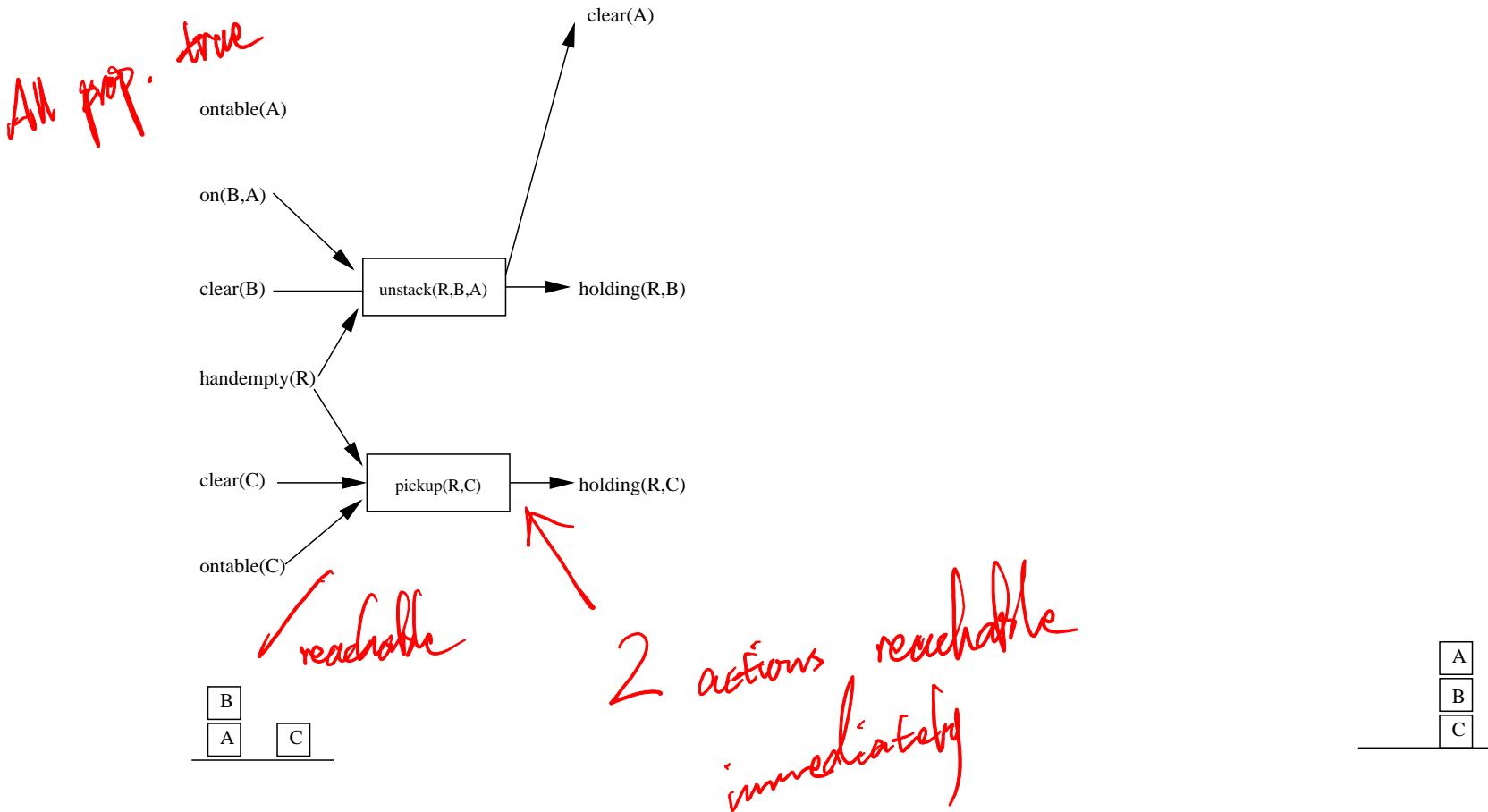
## $h^{\text{FF}}$ heuristic - relaxed reachability

Level 0      Level 1

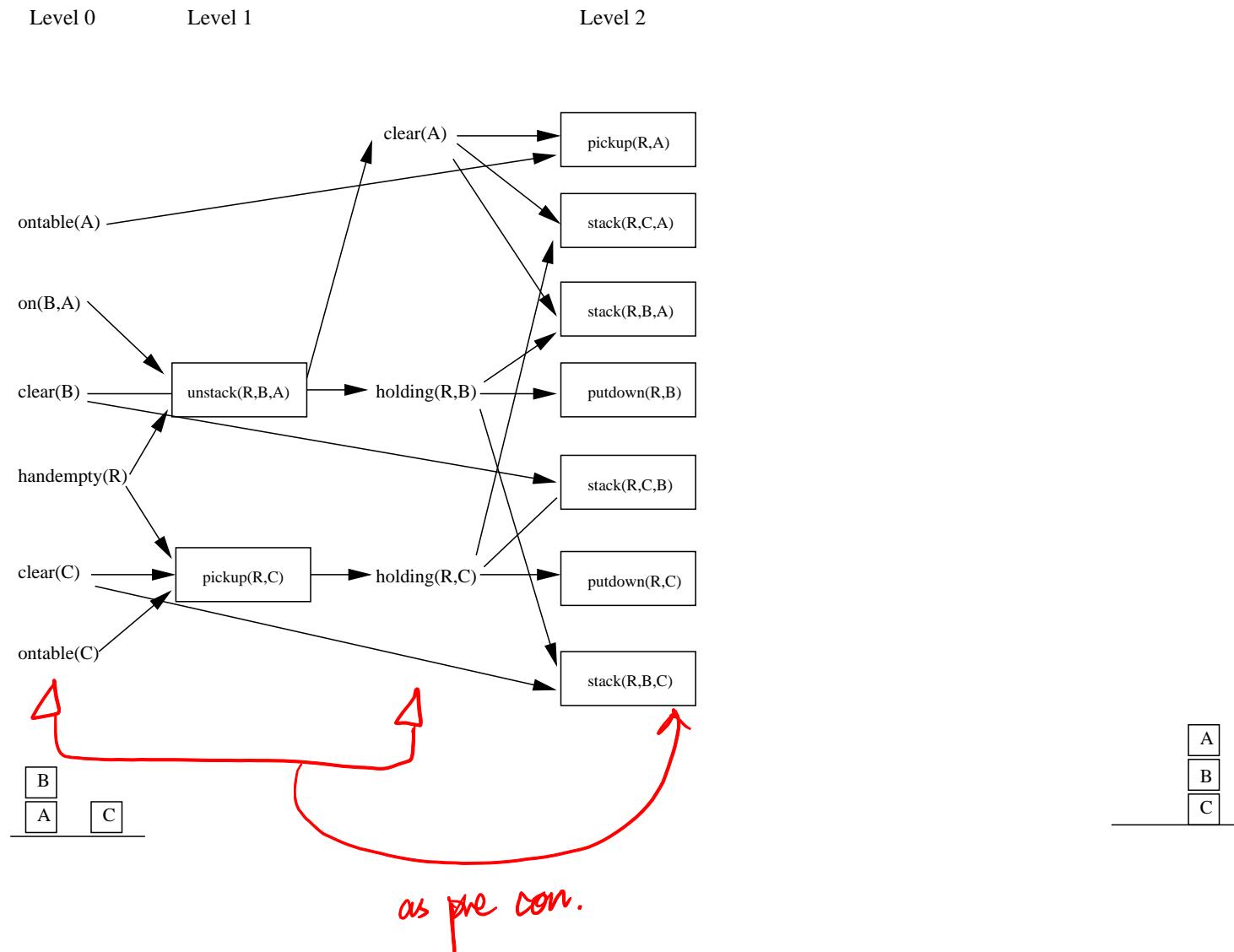


## $h^{\text{FF}}$ heuristic - relaxed reachability

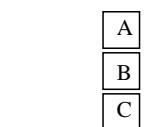
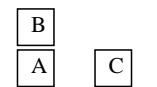
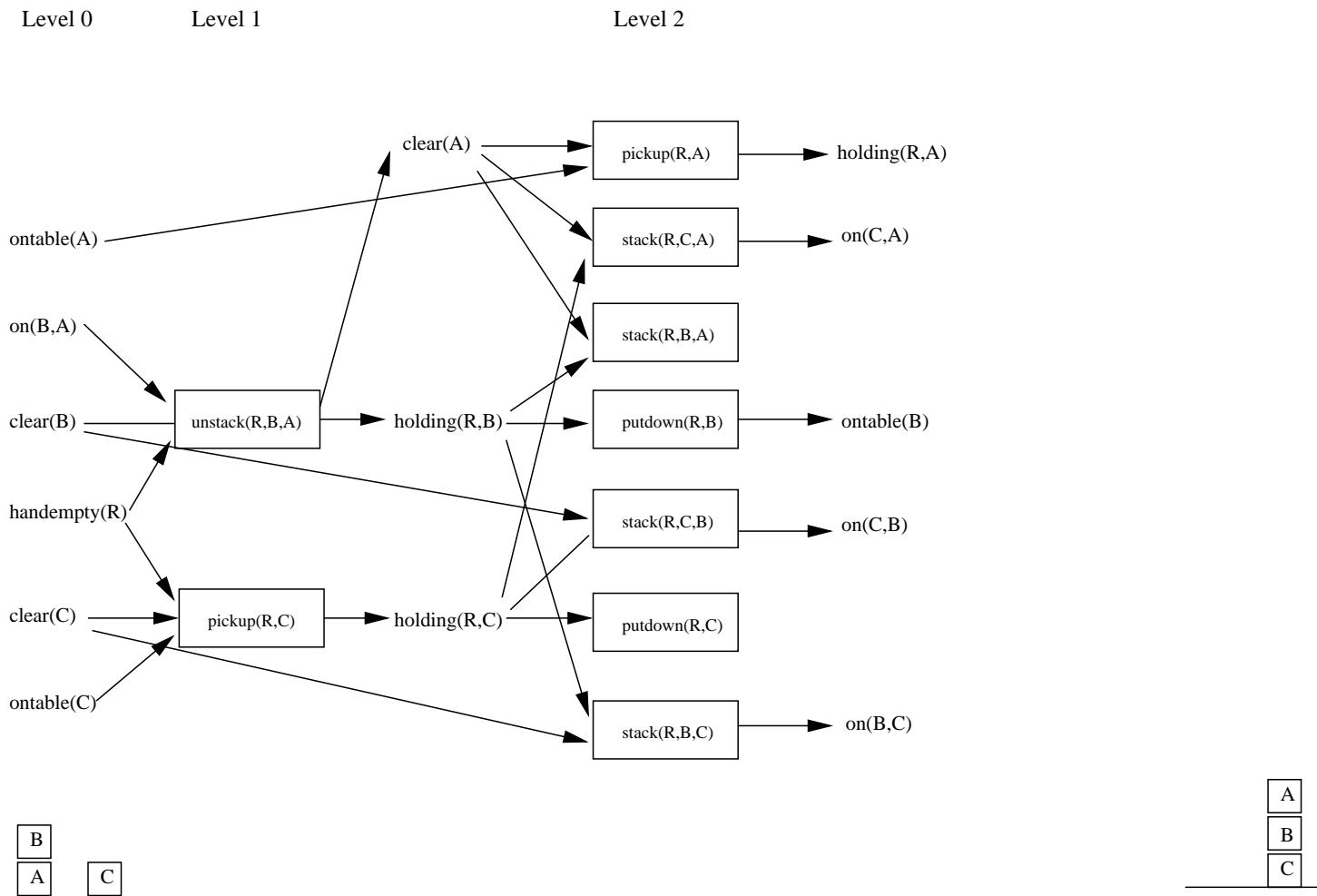
Level 0      Level 1



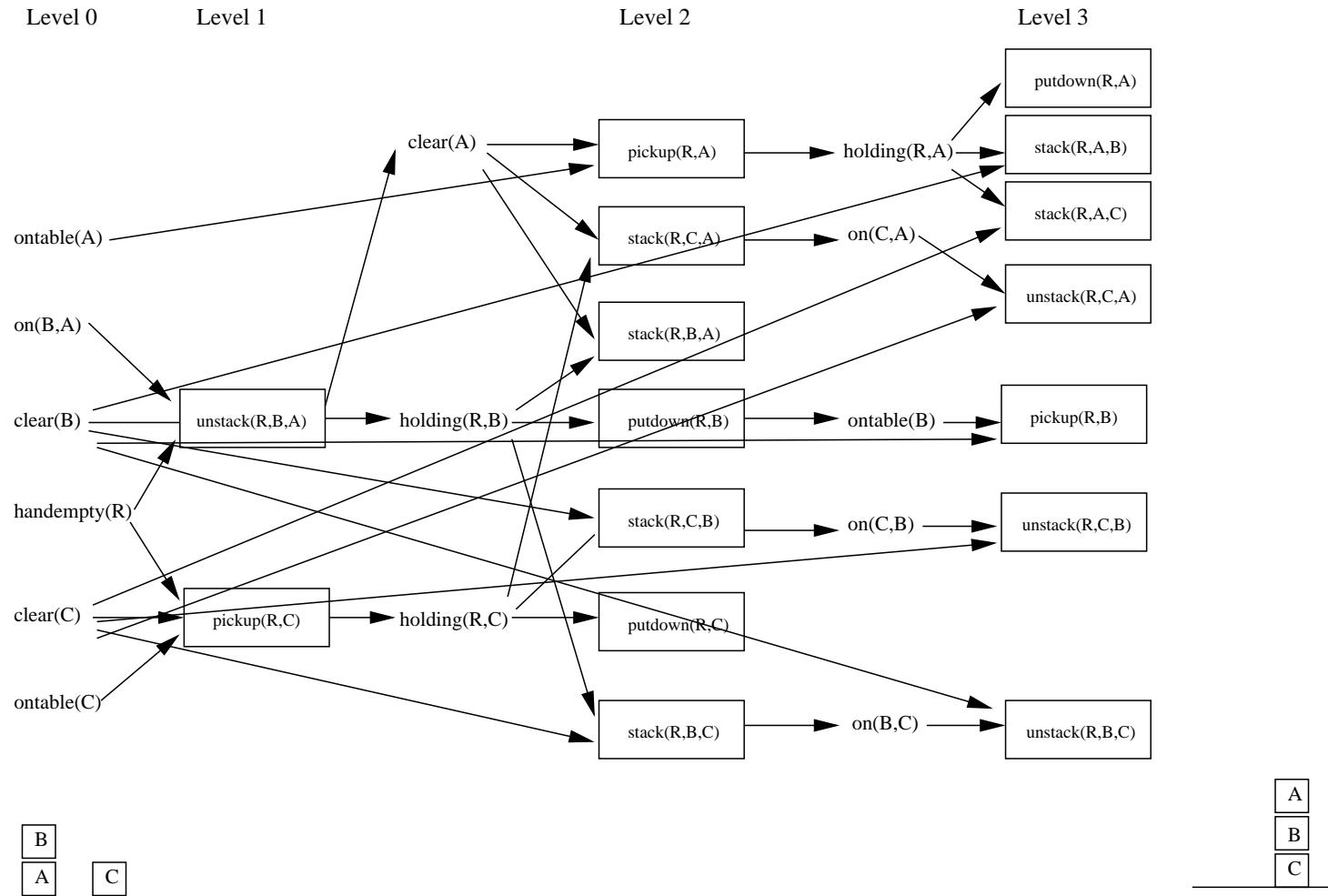
# $h^{\text{FF}}$ heuristic - relaxed reachability



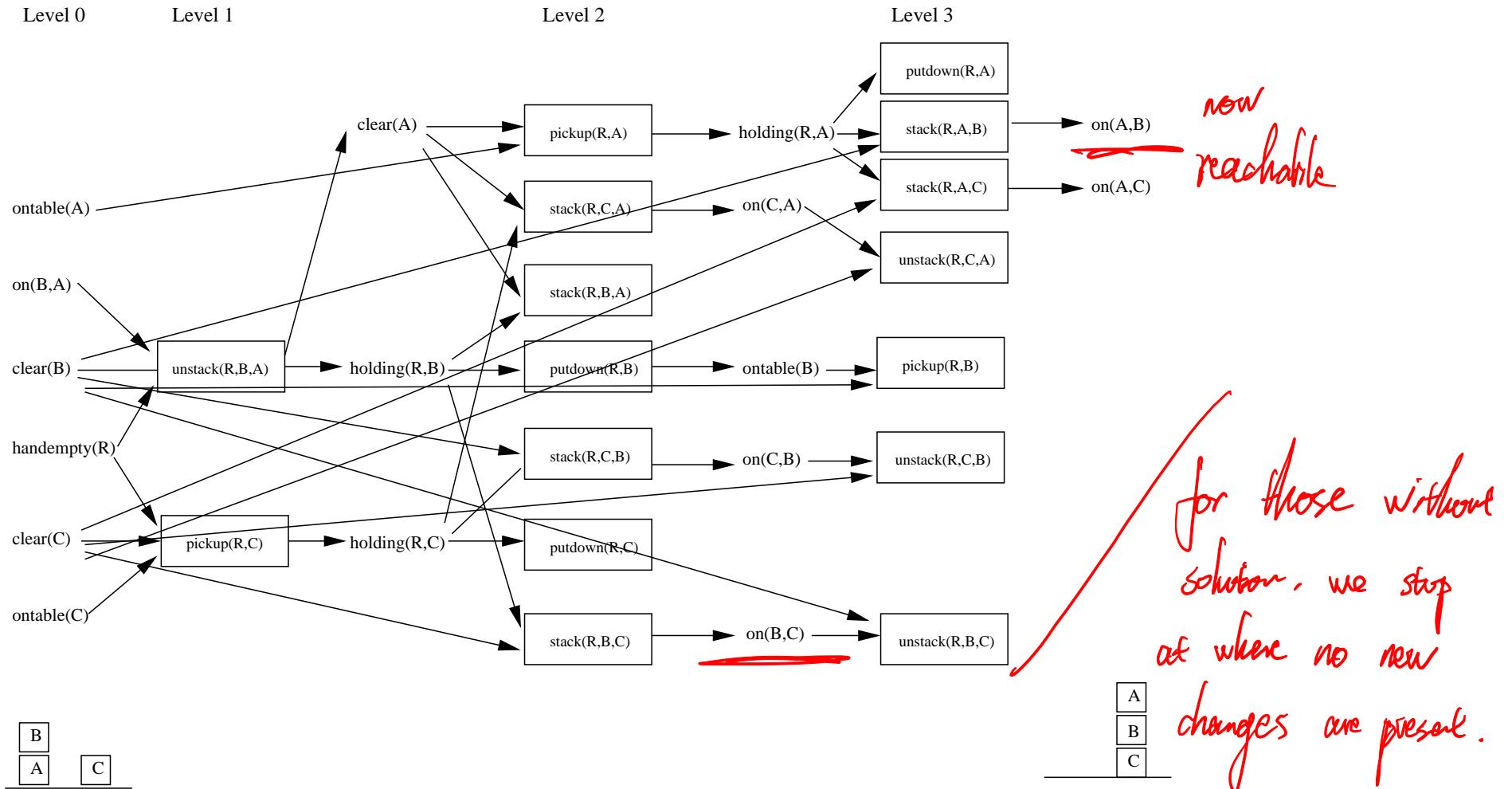
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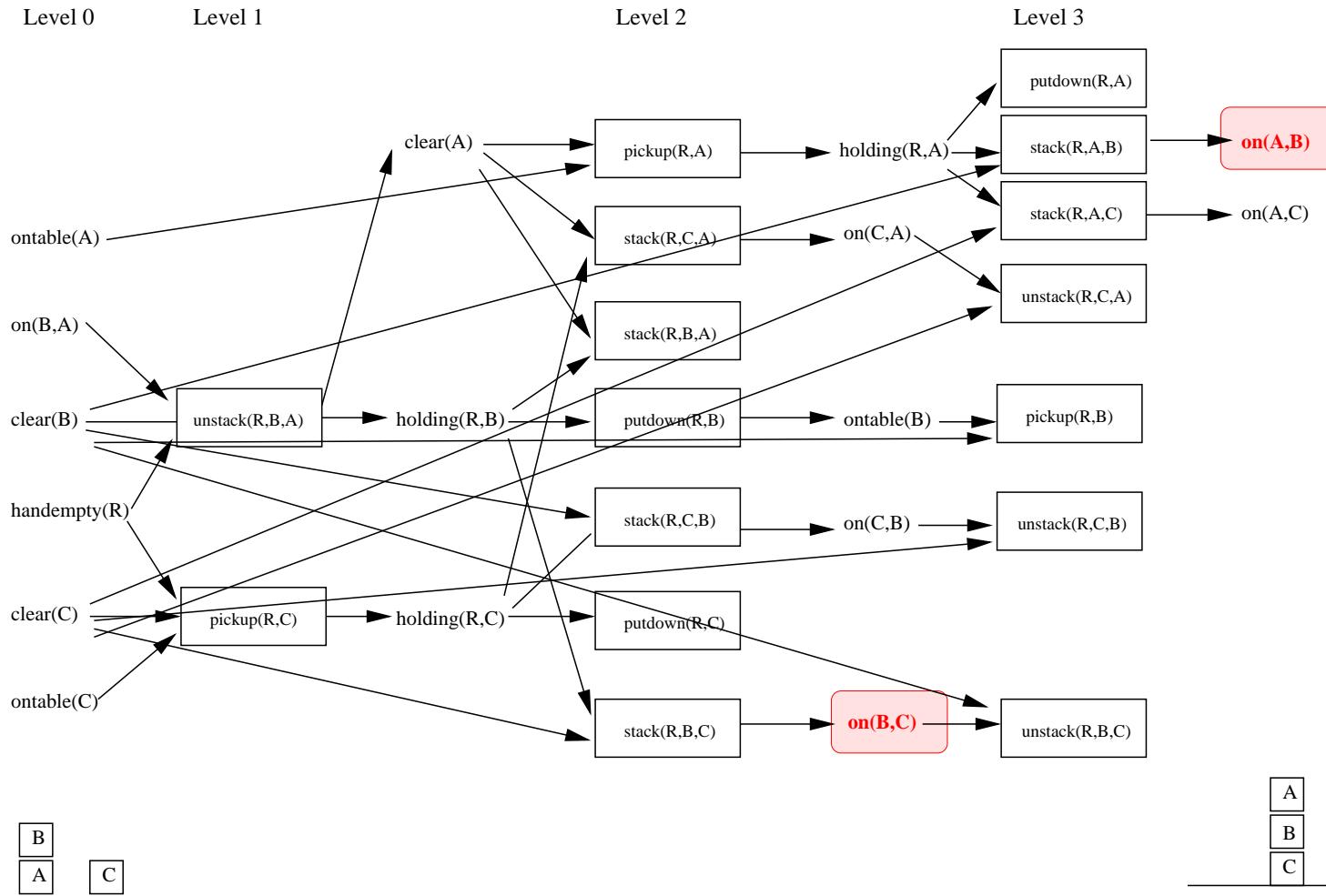
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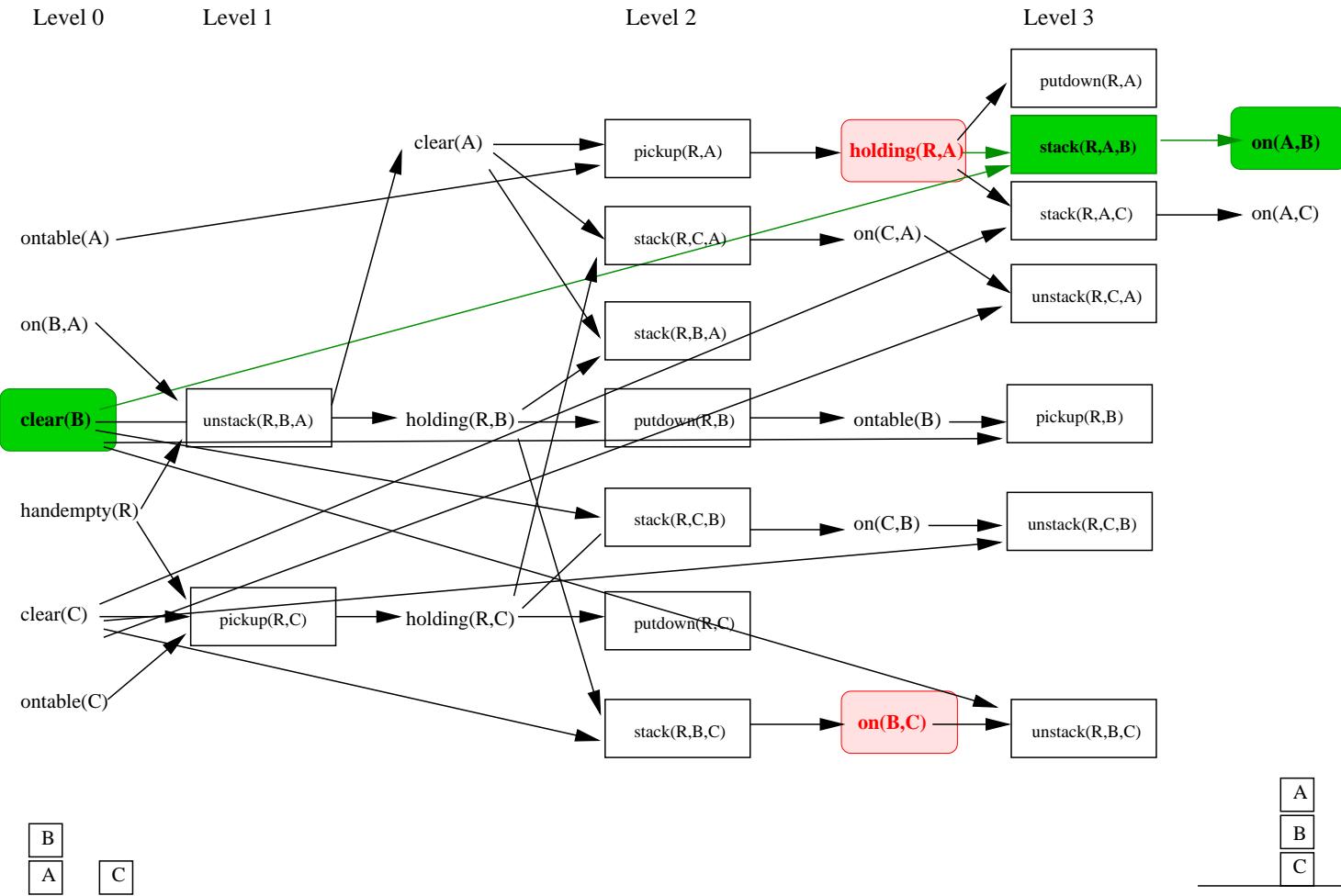
# $h^{\text{FF}}$ heuristic - relaxed reachability



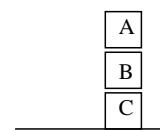
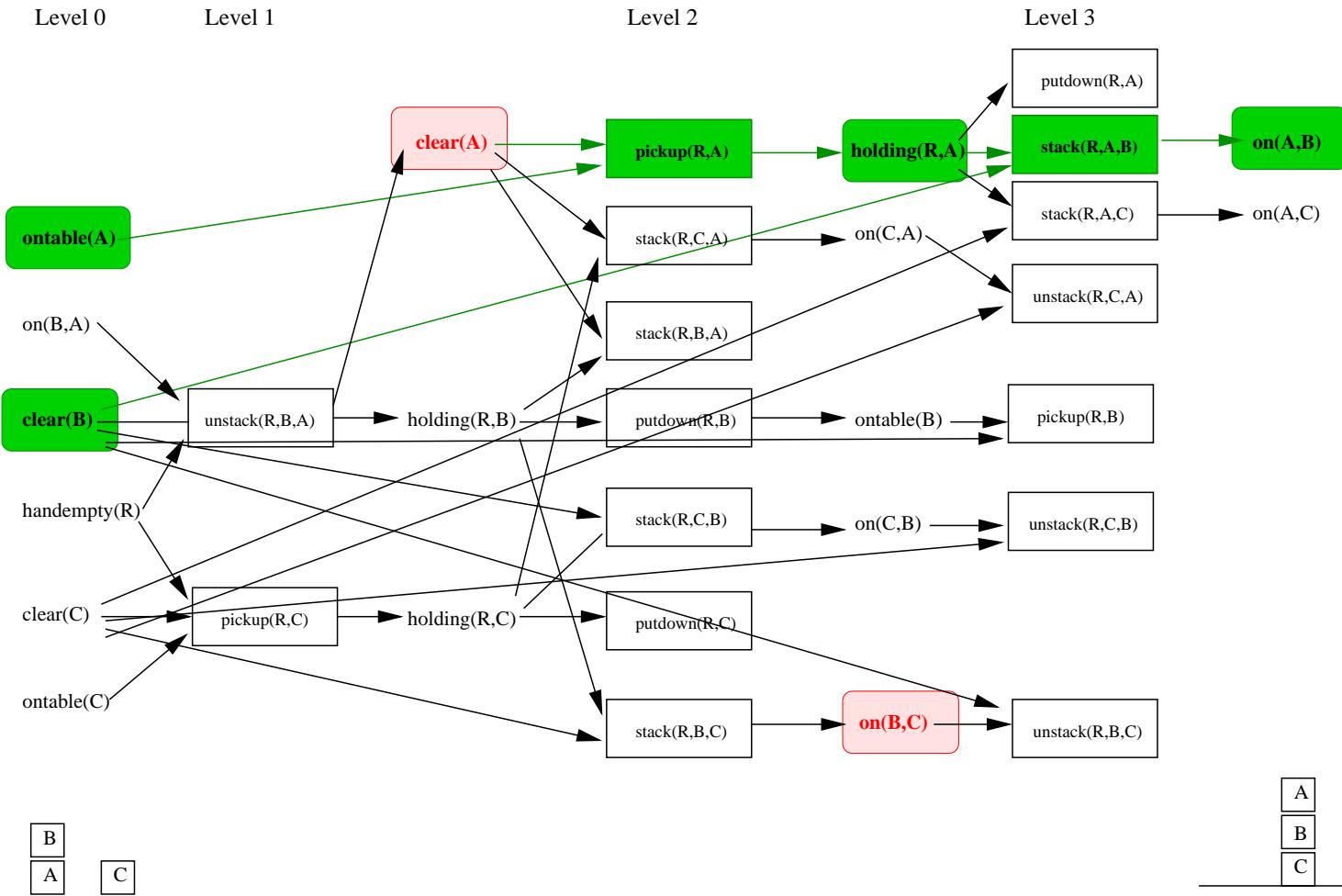
# $h^{\text{FF}}$ heuristic - plan extraction



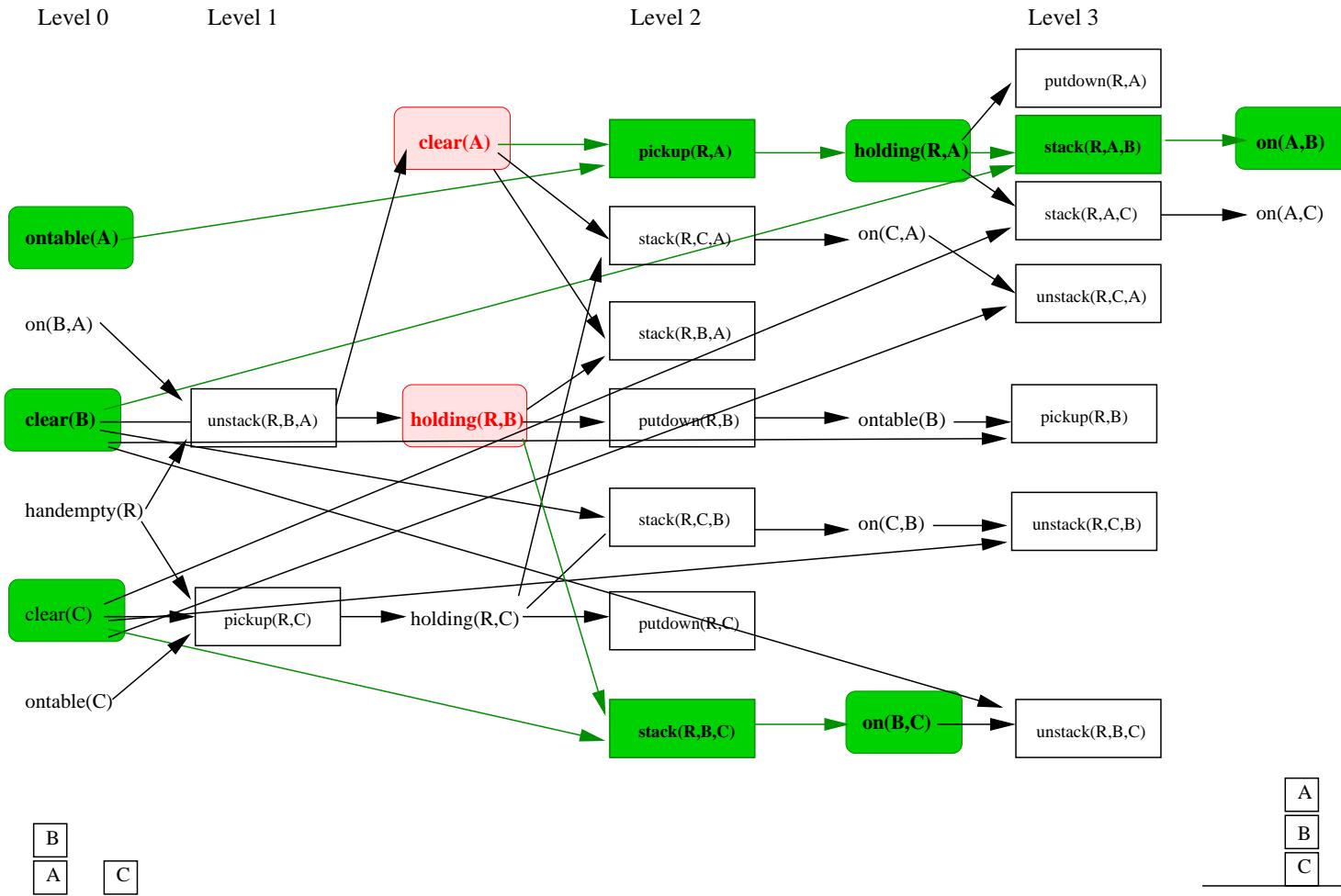
# $h^{\text{FF}}$ heuristic - plan extraction



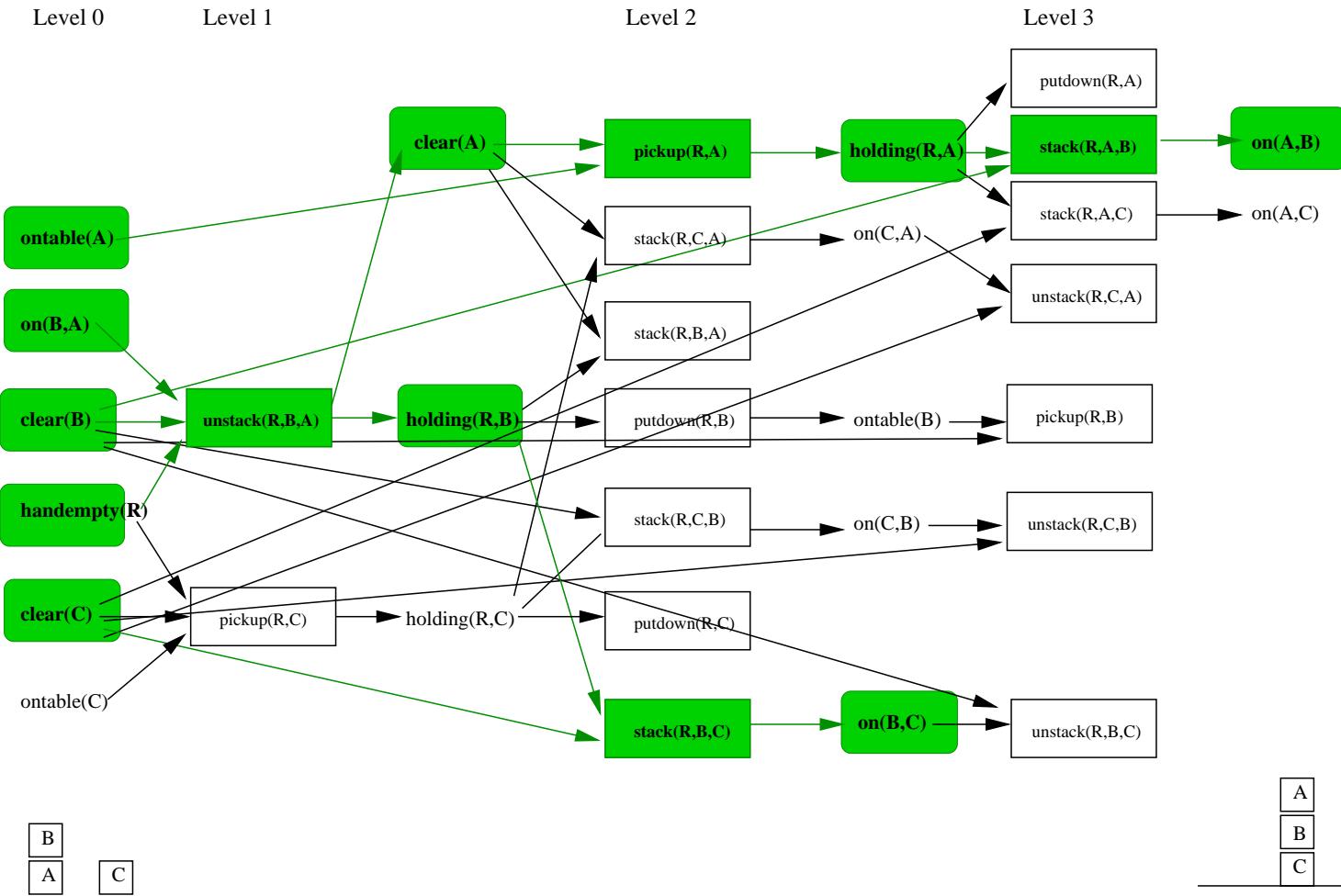
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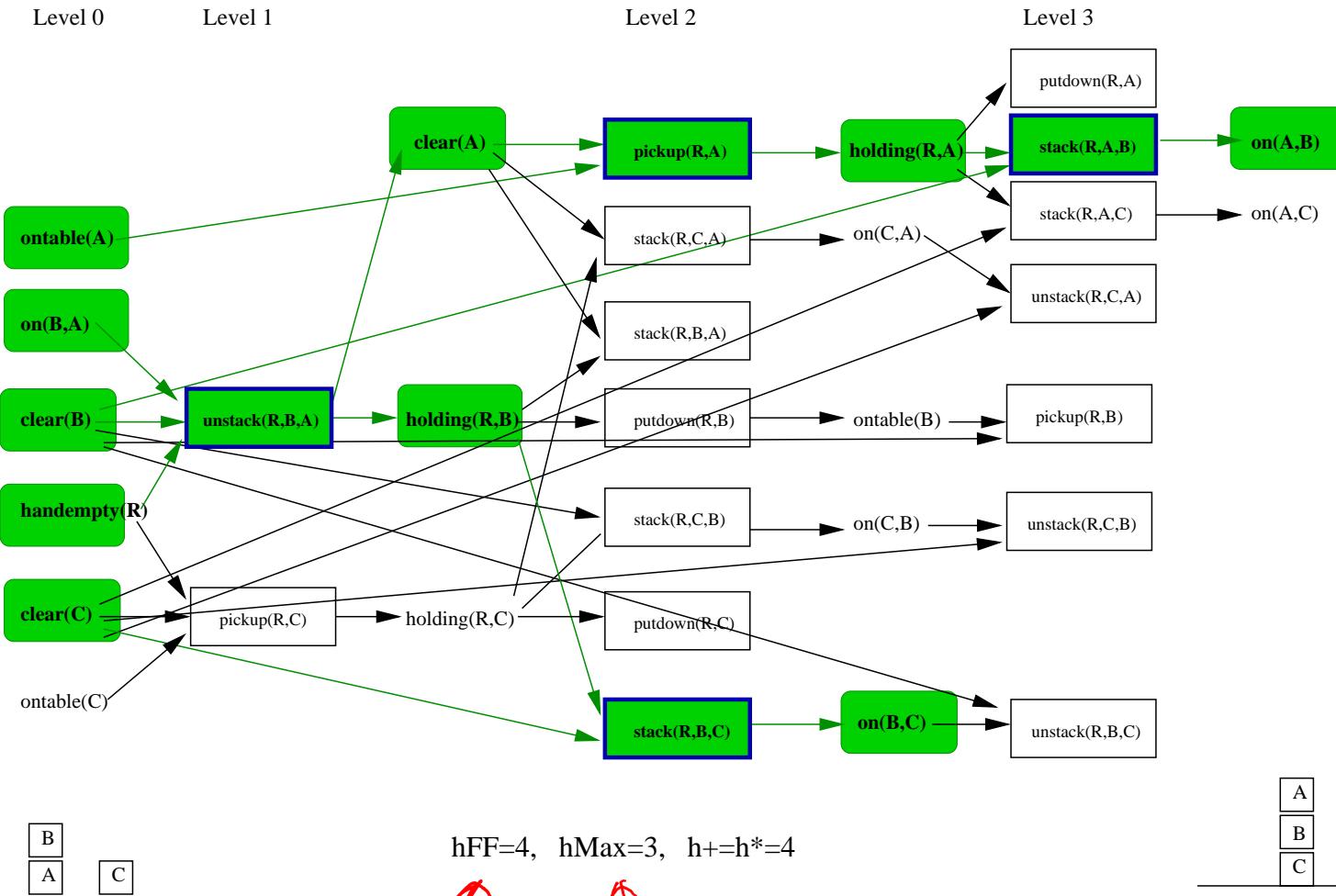
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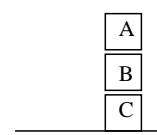
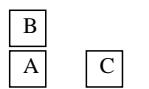
# $h^{FF}$ heuristic - plan extraction



$h^{FF}=4, h_{Max}=3, h+=h^*=4$

4 actions

W/ 3



## Relaxed reachability algorithm

Builds the graph of reachable propositions and actions until a fix point or the goal is reached. Returns failure if the goal isn't reachable.

```
function RELAXED-REACHABLE( $A, s, g$ ) returns a graph, or failure
     $P_0 \leftarrow s$ 
     $t \leftarrow 0$ 
    while  $g \notin P_t$  do
         $A_{t+1} \leftarrow \{a \in A \mid \text{PRE}(a) \subseteq P_t\}$  compute next actions
         $P_{t+1} \leftarrow P_t \cup_{a \in A_{t+1}} \text{EFF}^+(a)$ 
        if  $P_{t+1} = P_t$  then return failure
         $t \leftarrow t+1$ 
    end
    return  $\langle P_{i \in 1 \dots t}, A_{i \in 1 \dots t}, t \rangle$ 
```

hf we are  
at

↑  
*action set*

- for each proposition  $p$ ,  $\text{level}(p) = \min\{i \mid p \in P_i\}$  (note  $\min\{\} = \infty$ )
- for each action  $a$ ,  $\text{level}(a) = \min\{i \mid a \in A_i\}$

first hf. they appear

## Relaxed plan extraction algorithm

Works back from the last level to the first, selecting an action to achieve each goal, and inserting its precondition as new goals to be achieved.

```
function  $h^{FF}(A, s, g)$  returns  $h^{FF}(s)$ 
     $reach \leftarrow \text{RELAXED-REACHABILITY}(A, s, g)$  << get graph using previous algorithm
    if  $reach = \text{failure}$  then return  $\infty$ 
     $\langle P, A, t \rangle \leftarrow reach$ 
     $relaxed-plan \leftarrow \{ \}$ 
    for  $i \in 1 \dots t$  do  $goals_i \leftarrow \{ p \in g \mid \text{level}(p) = i \}$  end / allocate them to levels
    for  $i \in t \dots 1$  do
        for  $e \in goals_i$  do "What are the goals we need to achieve at level i"
            select an action  $a$  such that  $\text{level}(a) = i$  and  $e \in \text{EFF}^+(a)$ 
             $relaxed-plan \leftarrow relaxed-plan \cup \{ a \}$ 
            for  $p \in \text{PRE}(a)$  do  $goals_{\text{level}(p)} \leftarrow goals_{\text{level}(p)} \cup \{ p \}$  end
        end
    end
    return |relaxed-plan|
```

## Abstraction heuristics

Simplify the problem by ignoring parts of it.

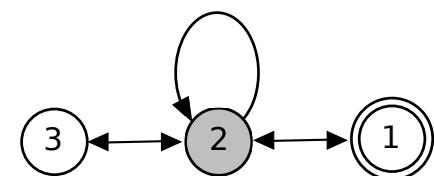
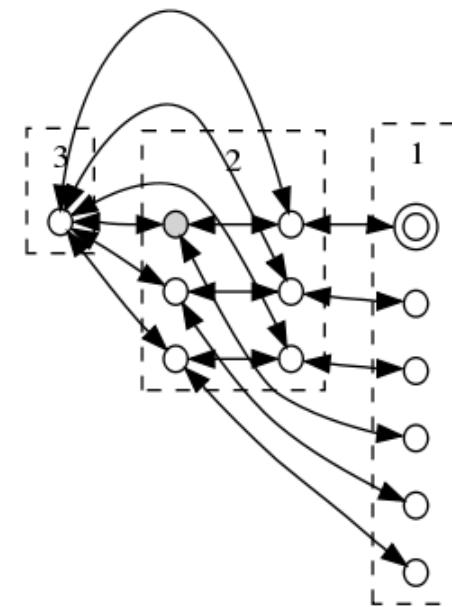
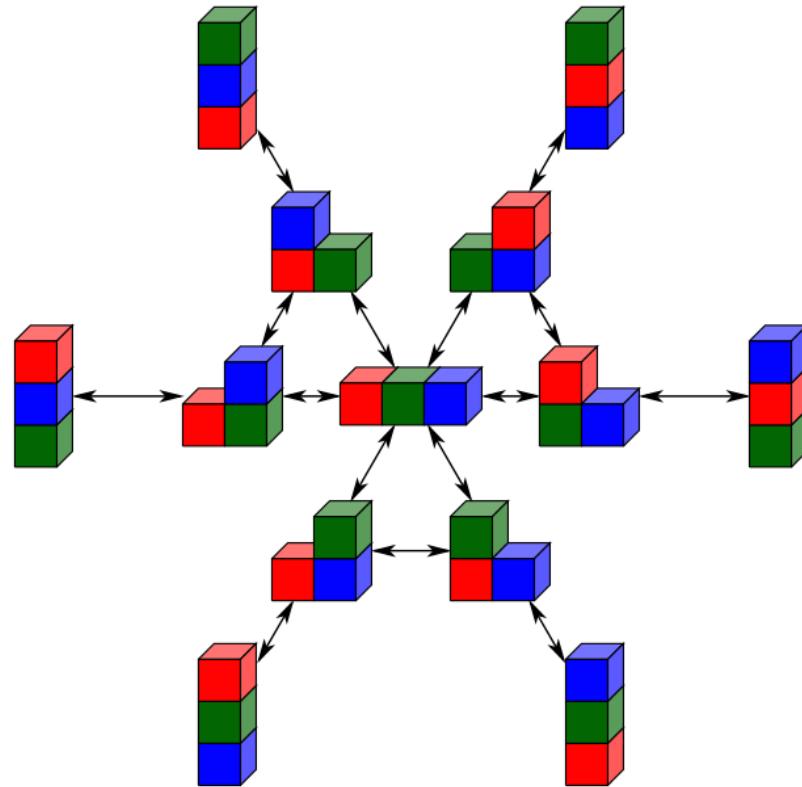
- Drop preconditions from actions
- Consider only a subset of predicates/propositions
- Count objects with a given property, ignoring the identity of objects  
e.g. count clear blocks
- Ignore so much that the abstract problem is small enough to be solved  
by uninformed search / then use solve as h.
- Use memory to avoid repeated searches (pattern databases)

Common

basically any part of it



## Example: counting clear blocks



## Formal definition

Problem  $P' = (S', A', \gamma', s'_0, S'_G, c')$  is an abstraction of  $P = (S, A, \gamma, s_0, S_G, c)$  if there exists an abstraction mapping  $\phi : S \mapsto S'$ , such that:

- $\phi$  preserves the initial state:

$$\phi(s_0) = s'_0$$

- $\phi$  preserves goal states:

$$\text{if } s \in S_G \text{ then } \phi(s) \in S'_G$$

- $\phi$  preserves transitions:

$$\text{if } \gamma(s, a) = t \text{ then } \exists a' \in A' \ \gamma'(\phi(s), a') = \phi(t) \text{ with } c'(a') \leq c(a)$$

The abstraction heuristic  $h^\phi(s, g)$  induced by  $\phi$  is given by the cost of the optimal path from  $\phi(s)$  to  $\phi(g)$  in  $P'$

Theorem:  $h^\phi$  is admissible (and consistent).

With the STRIPS representation, pattern database heuristics are defined by projecting the states on a subset of propositions (the pattern).

## Landmark heuristics

Proposition  $l$  is a **landmark** for problem  $P$  iff all plans for  $P$  make  $l$  true.

e.g.  $\text{clear}(B)$  is a landmark if any block below  $B$  is misplaced.

Landmark heuristic: counts the number of yet unachieved landmarks.

generalisation of the number of unachieved goals heuristic

used in the LAMA planner [Richter, AAAI 2008]

*they are needed  
in any plan*

Inadmissible (even if action costs are 1) as it assumes landmark independence.

Admissible versions exist.

*"How to compute?"*

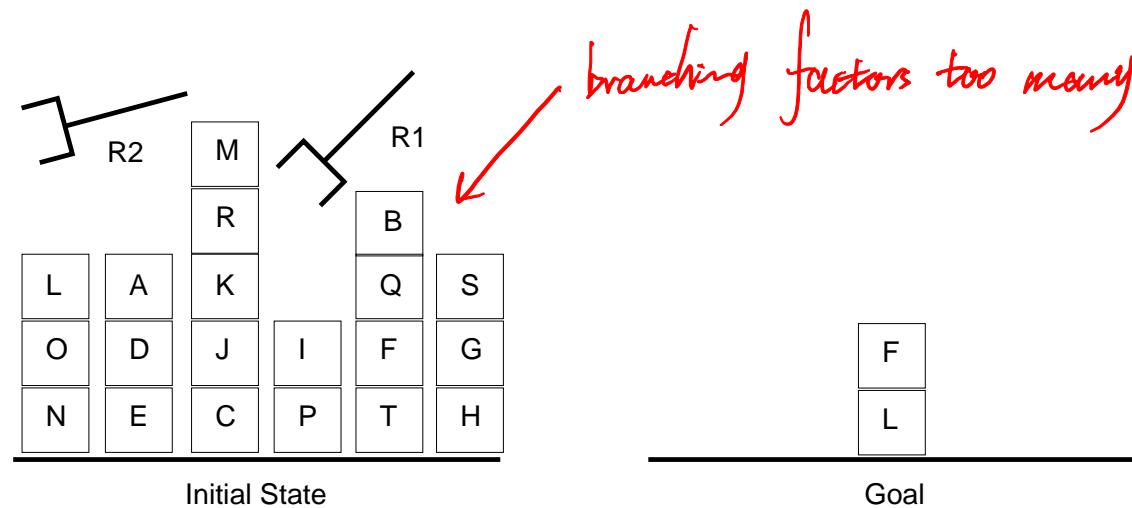
Sufficient condition for proposition  $l$  to be a landmark for problem  $P$ : the delete relaxation  $P^+$  is not solvable when  $l$  is removed from the add-list of all actions.

A complete landmark set can be computed in polynomial time for the delete relaxation, once as pre-processing. Gives a set of landmarks for  $P$ .

The current best heuristics are landmark heuristics variants

## Regression planning (backward search)

For some problems, goal directed search pays



For forward search, we started at the initial state and computed state transitions, leading to a new state  $\gamma(s, a)$

For backward search, we start at the goal and compute inverse state transitions a.k.a regression, leading to a new goal  $\gamma^{-1}(g, a) = g'$  [so that  $g'$  is the weakest pre-cond. of  $g$ ]  
What do we really mean by  $\gamma^{-1}(g, a)$ ? First we need to define relevance

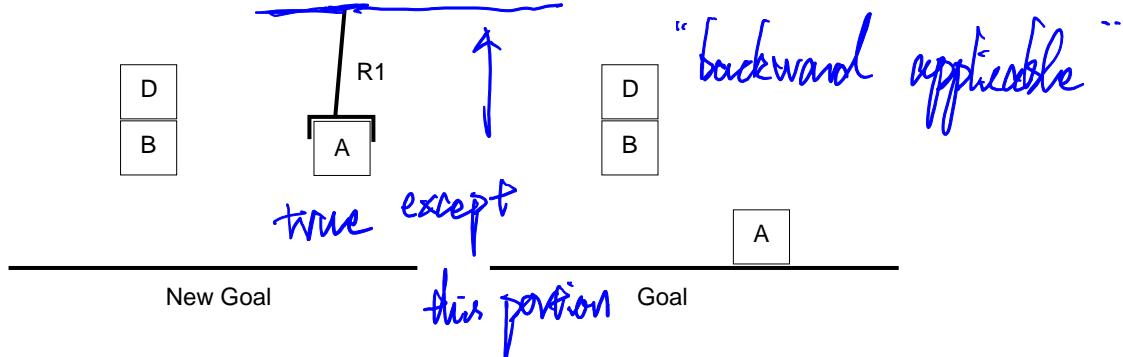
Compute state transition backwards

## Regression - relevance

- An action  $a$  is relevant for goal  $g$  if:

- it makes at least one of  $g$ 's propositions true:  $g \cap \text{EFF}^+(a) \neq \{\}$
- it does not make any of  $g$ 's proposition false:  $g \cap \text{EFF}^-(a) = \{\}$

- If  $a$  is relevant for  $g$  then:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

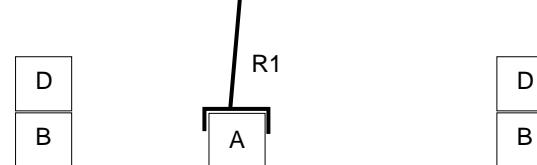


- Example:

- $g = \{\text{on}(D, B), \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$
- $a = \text{putdown}(R1, A)$
- operator  $\text{putdown}(r, x)$
- precondition  $\{\text{holding}(r, x)\}$
- effect  $\{\text{ontable}(x), \text{clear}(x), \text{handempty}(r), \neg\text{holding}(r, x)\}$
- $\gamma^{-1}(g, a) = \{\text{on}(D, B), \text{clear}(D), \text{holding}(R1, A)\}$

## Regression - relevance

- An action  $a$  is **relevant** for goal  $g$  if:
  - it makes at least one of  $g$ 's propositions true:  $g \cap \text{EFF}^+(a) \neq \{\}$
  - it does not make any of  $g$ 's proposition false:  $g \cap \text{EFF}^-(a) = \{\}$
- If  $a$  is relevant for  $g$  then:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



New Goal

Goal

- Example:

–  $g = \{\text{on}(D, B), \text{clear}(D), \underline{\text{ontable}(A)}, \underline{\text{clear}(A)}\}$

–  $a = \text{putdown}(R1, A)$

operator       $\text{putdown}(r, x)$

precondition     $\{\text{holding}(R1, A)\}$

effect             $\{\underline{\text{ontable}(A)}, \underline{\text{clear}(A)}, \text{handempty}(R1), \neg \text{holding}(R1, A)\}$

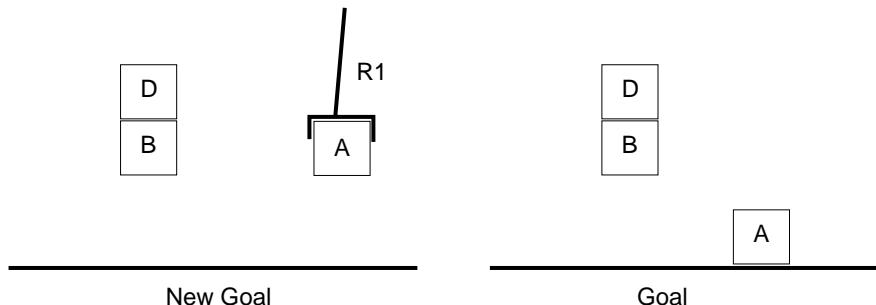
–  $\gamma^{-1}(g, a) = \{\text{on}(D, B), \text{clear}(D), \text{holding}(R1, A)\}$

① find whether it makes any goal true  
Y

② whether it makes any goal false. N

## Regression - relevance

- An action  $a$  is **relevant** for goal  $g$  if:
  - it makes at least one of  $g$ 's propositions true:  $g \cap \text{EFF}^+(a) \neq \{\}$
  - it does not make any of  $g$ 's proposition false:  $g \cap \text{EFF}^-(a) = \{\}$
- If  $a$  is relevant for  $g$  then:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

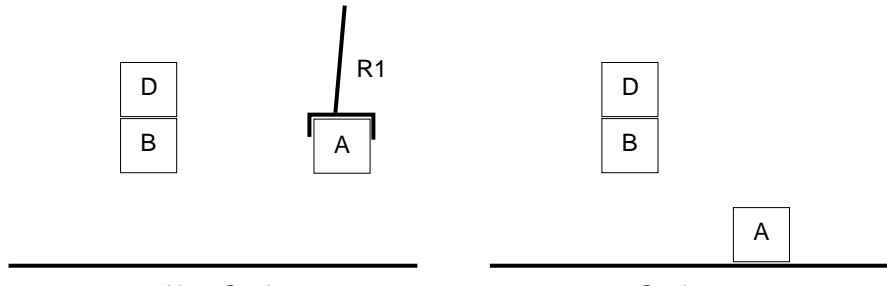


- Example:
  - $g = \{\text{on}(D, B), \text{clear}(D), \underline{\text{ontable}(A)}, \text{clear}(A)\}$
  - $a = \text{putdown}(R1, A)$ 

operator	$\text{putdown}(r, x)$
precondition	$\{\text{holding}(R1, A)\}$
effect	$\{\text{ontable}(A), \text{clear}(A), \text{handempty}(R1), \neg\text{holding}(R1, A)\}$
  - $\gamma^{-1}(g, a) = \{\text{on}(D, B), \text{clear}(D), \text{holding}(R1, A)\}$

## Regression - relevance

- An action  $a$  is relevant for goal  $\underline{g}$  if: *overall goal*
    - it makes at least one of  $g$ 's propositions true:  $g \cap \text{EFF}^+(a) \neq \{\}$
    - it does not make any of  $g$ 's proposition false:  $g \cap \text{EFF}^-(a) = \{\}$
  - If  $a$  is relevant for  $g$  then:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



- Example:

$-g = \{\text{on}(D, B), \text{clear}(D), \cancel{\text{ontable}(A)}, \cancel{\text{clear}(A)}\}$

$-a = \text{putdown}(\text{R1}, \text{A})$

## operator

Ward 1 (B1-A)

**precondition** {holding(R1, A)}

effect {ontable(A), clea

$$\gamma^{-1}(q, a) = \{\text{on}(D, B), \text{clear}(D), \text{holding}(R1, A)\}$$

- ① Remove Pos. Eff. of action in the goal here
- ② Add Precon.

a node here  
in the backward  
space.

# Regression planning (backward search)

function BACKWARD-SEARCH( $O, s_0, g$ ) returns an action sequence, or failure

$\pi \leftarrow \langle \rangle$  / empty plan  $\uparrow$  nodes

loop do

if  $s_0$  satisfies  $g$  then return  $\pi$

$E \leftarrow \{a \mid a \text{ is a ground instance of an operator in } O \text{ such that } a \text{ is relevant for } g\}$

if  $E = \{\}$  then return failure

~~choose~~ an action  $a \in E$

$g \leftarrow \gamma^{-1}(g, a)$

$\pi \leftarrow a.\pi$

end

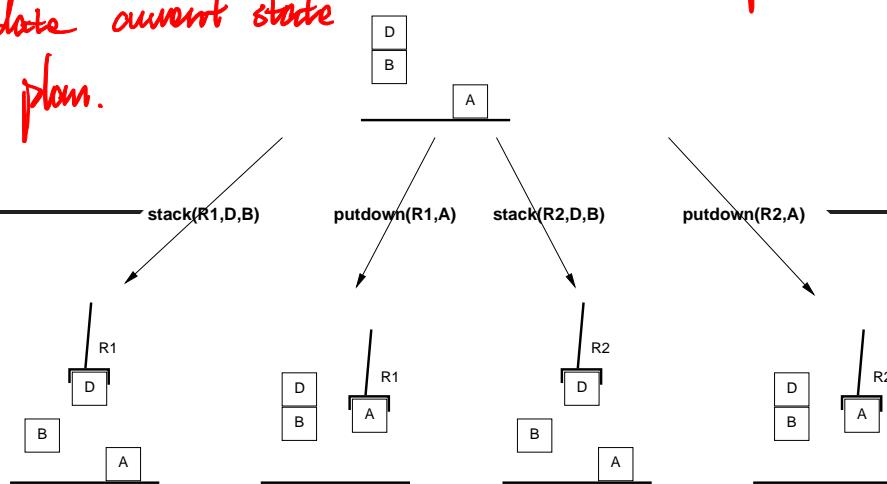
/ Update current state & plan.

start at the actual goal

↑  
states of operators

compute successors

"new goal"



# Regression planning (backward search)

**function** BACKWARD-SEARCH( $O, s_0, g$ ) **returns** an action sequence, or failure

$\pi \leftarrow \langle \rangle$  *« Empty Plan*

**loop do**

**if**  $g \subseteq s_0$  **then return**  $\pi$

$E \leftarrow \{a \mid a \text{ is a ground instance of an operator in } O$

such that  $g \cap \text{EFF}^+(a) \neq \{\}$  and  $g \cap \text{EFF}^-(a) = \{\}$

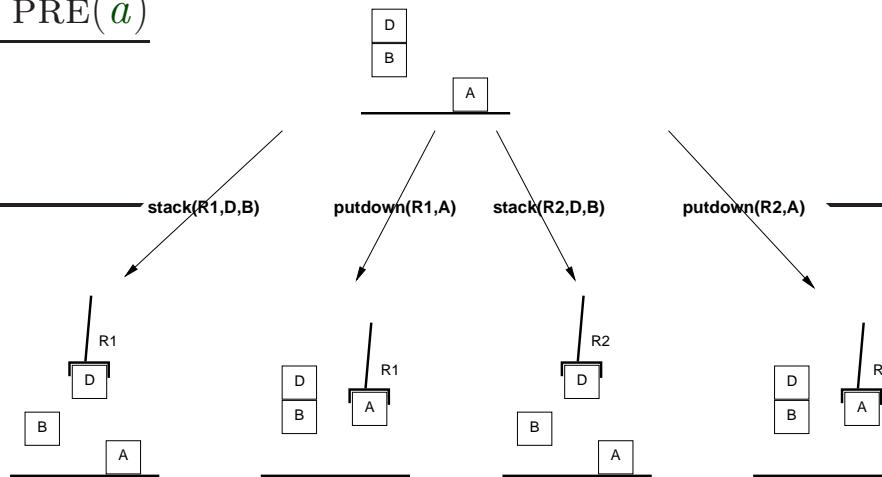
**if**  $E = \{\}$  **then return** failure

**choose** an action  $a \in E$

$g \leftarrow (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

$\pi \leftarrow a.\pi$

**end**



## Properties of BACKWARD-SEARCH

BACKWARD-SEARCH can be used in conjunction with any search strategy to implement choose, breadth-first search, depth-first search, iterative-deepening, greedy search, A\*, IDA\*, ...

BACKWARD-SEARCH is **sound**: any plan returned is guaranteed to be a solution to the problem.

BACKWARD-SEARCH is **complete**: provided the underlying search strategy is complete, it will always return a solution to the problem if there is one.

For instance, when used with breadth-first search it will be complete, when used with depth-first search it will be complete if the state space is finite – in general, need to detect and forbid loops, by checking that no previous goal is a subset of the current one.



Means you even have more problems to tackle than your initial problem!

↑  
goals are not fully described states

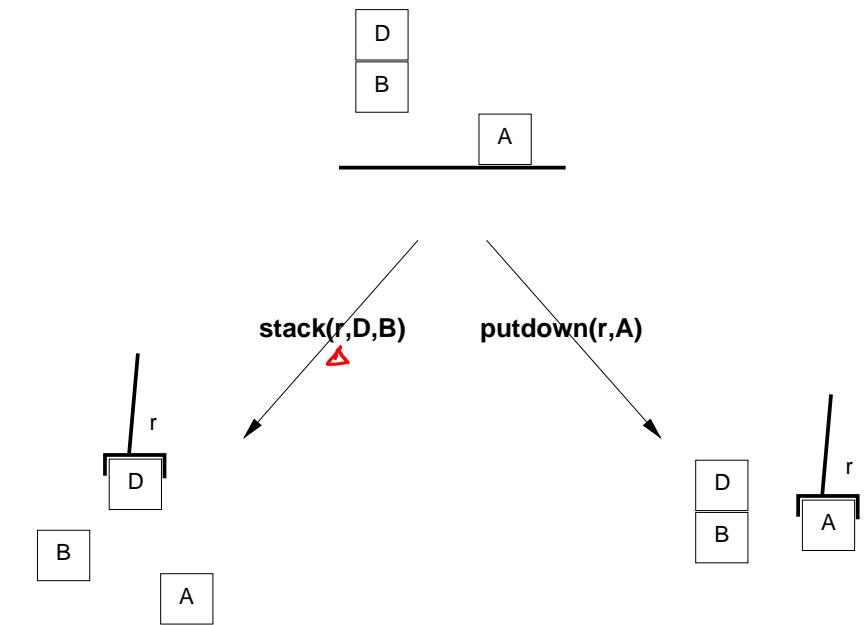
Heuristics: many of the state-space heuristics are symmetric.

Forward:  $h(s, g)$ . backwards:  $h(s_0, c)$  when  $c$  is the current goal.  
node ← ↑ final state  
init state ↑ 89 ↑ node

## Lifting / esp. for backwards

We can substantially reduce the branching factor if we only **partially instantiate** the operators.

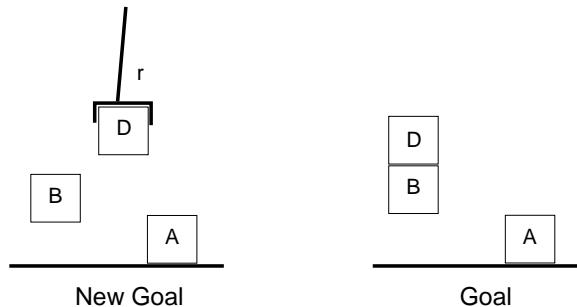
For instance, in the Blocks World, we may not need to distinguish between using robot hand R1 and robot hand R2. Just any hand will do:



## Lifted regression example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



$g \leftarrow \{\text{on}(D, B), \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$

$o \leftarrow \text{stack}(r, x, y)$

$\text{PRE} : \{\text{holding}(r, x), \text{clear}(y)\}$

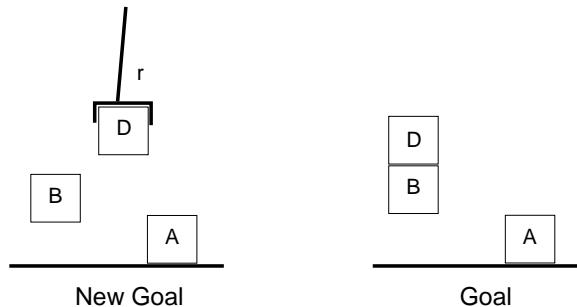
$\text{EFF} : \{\text{on}(x, y), \text{handempty}(r), \text{clear}(x),$   
 $\neg\text{holding}(r, x), \neg\text{clear}(y)\}$

need to find substitution so that  
make this operator relevant

## Lifted regression example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



$g \leftarrow \{\underline{\text{on}(D, B)}, \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$

$o \leftarrow \text{stack}(r, x, y)$

$\sigma \leftarrow \{x \leftarrow D, y \leftarrow B\}$

only instantiate  
what we need.

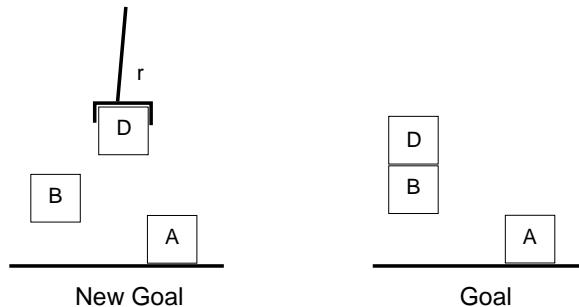
PRE :  $\{\text{holding}(r, D), \text{clear}(B)\}$

EFF :  $\{\text{on}(D, B), \text{handempty}(r), \text{clear}(D),$   
 $\neg\text{holding}(r, D), \neg\text{clear}(B)\}$

# Lifted regression example

**relevance:**  $g \cap \text{EFF}^+(a) \neq \{\}, g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



$g \leftarrow \{\text{on}(D, B), \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$

$o \leftarrow \text{stack}(r, x, y)$

$$\sigma \leftarrow \{x \leftarrow \text{D}, y \leftarrow \text{B}\}$$

PRE : ~~{holding( $r$ , D), clear(B)}~~

EFF :  $\{\text{on}(D, B), \text{handempty}(r), \text{clear}(D),$   
 $\neg\text{holding}(r, D), \neg\text{clear}(B)\}$

$g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \text{ontable}(A), \text{clear}(A)\}$

## Lifted backward search

More complicated because we have to keep track of the substitutions performed.

**function** LIFTED-BACKWARD-SEARCH( $O, s_0, g$ ) **returns** an action sequence, or failure

$\pi \leftarrow \langle \rangle$

**loop do**

**if**  $s_0$  satisfies  $g$  **then return**  $\pi$

$E \leftarrow \{(o, \sigma) \mid o \text{ is an operator}^a \text{ in } O \text{ relevant for } g$

**pairs!**

$\sigma$  is a substitution that unifies<sup>b</sup> an atom of  $g$  and an atom of  $\text{EFF}^+(o)$  to cause the relevance}

**if**  $E = \{\}$  **then return** failure

**choose** a pair  $(o, \sigma) \in E$

$g \leftarrow \gamma^{-1}(\sigma(g), \sigma(o))$

$\pi \leftarrow \sigma(o).\sigma(\pi)$

**end**

get 2 propositions that  
match.

<sup>a</sup>May need to be standardised by replacing variable symbols with new symbols that do not occur elsewhere.

<sup>b</sup>We take the most general unifier.

## Properties of LIFTED-BACKWARD-SEARCH

LIFTED-BACKWARD-SEARCH can be used in conjunction with any search strategy to implement **choose**, breadth-first search, depth-first search, iterative-deepening, greedy search, A\*, IDA\*, ...

LIFTED-BACKWARD-SEARCH is **sound**: any plan returned is guaranteed to be a solution to the problem.

LIFTED-BACKWARD-SEARCH is **complete**: provided the underlying search strategy is complete, it will always return a solution to the problem if there is one.

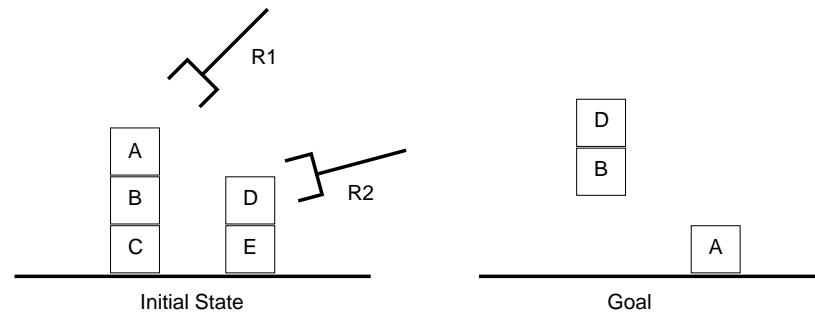
For instance, when used with breadth-first search it will be complete, when used with depth-first search it will be complete if the state space is finite – in general, we need to detect and forbid loops, by checking that no previous goal unifies with a subset of the current one.

more complex!

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

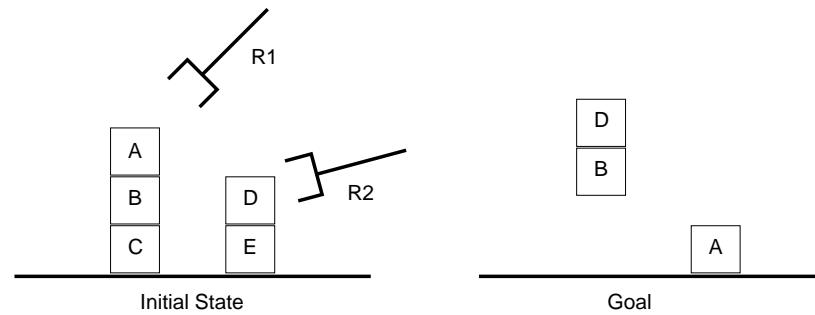


1.  $g \leftarrow \{\text{on}(D, B), \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$   
 $\pi \leftarrow \langle \rangle$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



1.  $g \leftarrow \{\underline{\text{on}(D, B)}, \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$

$$\pi \leftarrow \langle \rangle$$

$$o \leftarrow \text{stack}(r, x, y),$$

$$\sigma \leftarrow \{x \leftarrow D, y \leftarrow B\}$$

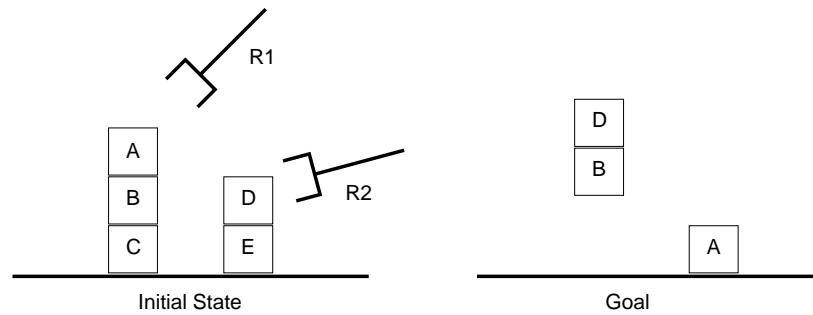
$$\text{PRE : } \{\text{holding}(r, D), \text{clear}(B)\}$$

$$\begin{aligned} \text{EFF : } & \{\text{on}(D, B), \text{handempty}(r), \text{clear}(D), \\ & \neg\text{holding}(r, D), \neg\text{clear}(B)\} \end{aligned}$$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



1.  $g \leftarrow \{\text{on}(D, B), \text{clear}(D), \text{ontable}(A), \text{clear}(A)\}$

$\pi \leftarrow \langle \rangle$

$o \leftarrow \text{stack}(r, x, y),$

$\sigma \leftarrow \{x \leftarrow D, y \leftarrow B\}$

PRE :  $\{\text{holding}(r, D), \text{clear}(B)\}$

EFF :  $\{\text{on}(D, B), \text{handempty}(r), \text{clear}(D),$   
 $\neg\text{holding}(r, D), \neg\text{clear}(B)\}$

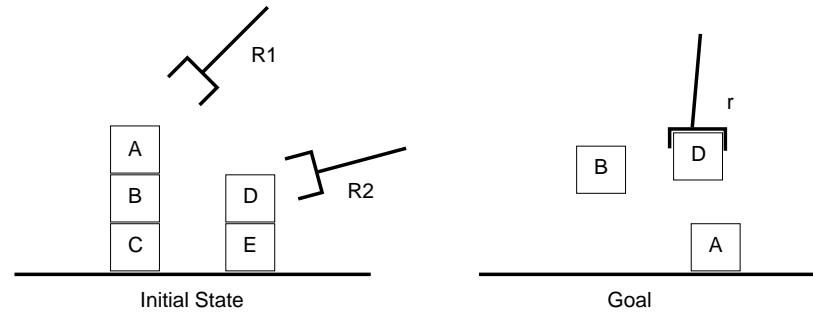
2.  $g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \text{ontable}(A), \text{clear}(A)\}$

$\pi \leftarrow \langle \text{stack}(r, D, B) \rangle$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

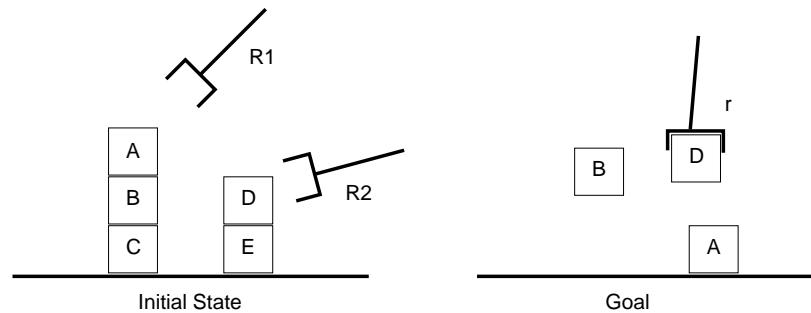


2.  $g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \text{ontable}(A), \text{clear}(A)\}$   
 $\pi \leftarrow \langle \text{stack}(r, D, B) \rangle$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



2.  $g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \underline{\text{ontable}(A)}, \text{clear}(A)\}$   
 $\pi \leftarrow \langle \text{stack}(r, D, B) \rangle$

$$o \leftarrow \text{putdown}(r', x), \\ \sigma \leftarrow \{x \leftarrow A\}$$

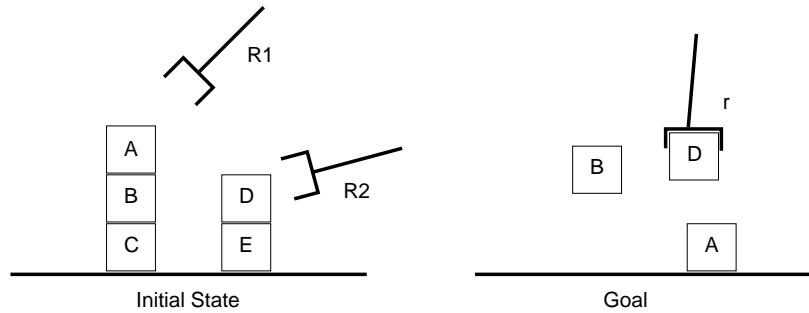
PRE :  $\{\text{holding}(r', A)\}$   
EFF :  $\{\text{ontable}(A), \text{handempty}(r'), \text{clear}(A), \neg \text{holding}(r', A)\}$

*diff- notations  
means it is diff. from r.*

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



$$2. \quad g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \cancel{\text{ontable}(A)}, \cancel{\text{clear}(A)}\}$$

$$\pi \leftarrow \langle \text{stack}(r, D, B) \rangle$$

$$\begin{aligned} o &\leftarrow \text{putdown}(r', x), \\ \sigma &\leftarrow \{x \leftarrow A\} \end{aligned}$$

$$\begin{aligned} \text{PRE : } & \{\text{holding}(r', A)\} \\ \text{EFF : } & \{\text{ontable}(A), \text{handempty}(r'), \text{clear}(A), \\ & \neg \text{holding}(r', A)\} \end{aligned}$$

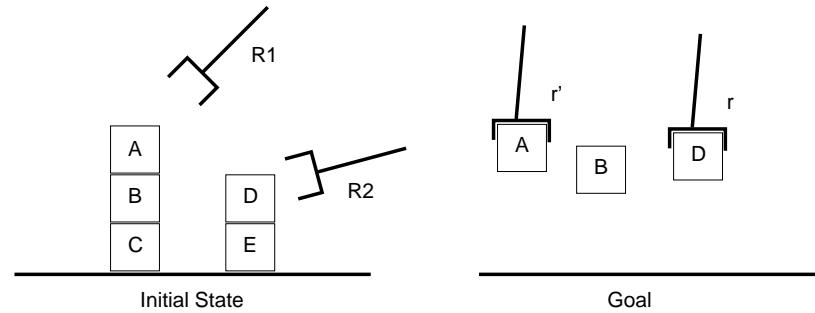
$$3. \quad g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \underline{\text{holding}(r', A)}\}$$

$$\pi \leftarrow \langle \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

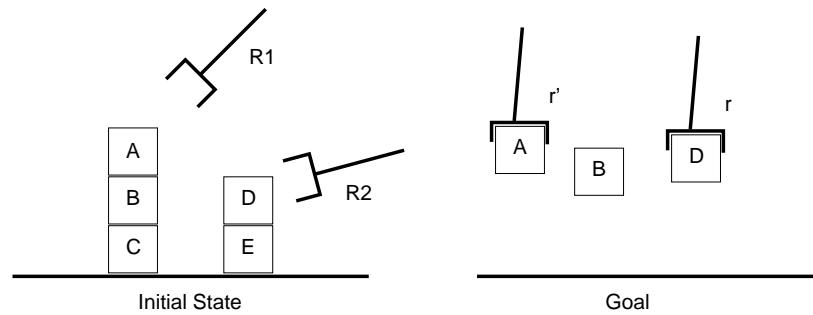


3.  $g \leftarrow \{\text{holding}(r, D), \text{clear}(B), \text{holding}(r', A)\}$   
 $\pi \leftarrow \langle \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



3.  $g \leftarrow \{\underline{\text{holding}(r, D)}, \text{clear}(B), \text{holding}(r', A)\}$   
 $\pi \leftarrow \langle \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$

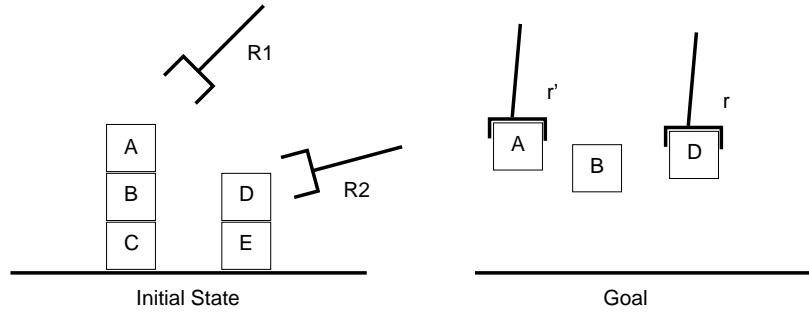
$$o \leftarrow \text{unstack}(r'', x, y), \\ \sigma \leftarrow \{r'' \leftarrow r, x \leftarrow D, y \neq B\}$$

PRE :  $\{\text{on}(D, y), \text{clear}(D), \text{handempty}(r)\}$   
EFF :  $\{\text{holding}(r, D), \text{clear}(y),$   
 $\neg\text{handempty}(r), \neg\text{on}(D, y), \neg\text{clear}(D)\}$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{ \}$ ,  $g \cap \text{EFF}^-(a) = \{ \}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



$$3. \quad g \leftarrow \{ \text{holding}(r/D), \text{clear}(B), \text{holding}(r', A) \}$$

$$\pi \leftarrow \langle \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$$

$$o \leftarrow \text{unstack}(r'', x, y),$$

$$\sigma \leftarrow \{ r'' \leftarrow r, x \leftarrow D, y \neq B \}$$

$$\text{PRE : } \{ \text{on}(D, y), \text{clear}(D), \text{handempty}(r) \}$$

$$\begin{aligned} \text{EFF : } & \{ \text{holding}(r, D), \text{clear}(y), \\ & \neg \text{handempty}(r), \neg \text{on}(D, y), \neg \text{clear}(D) \} \end{aligned}$$

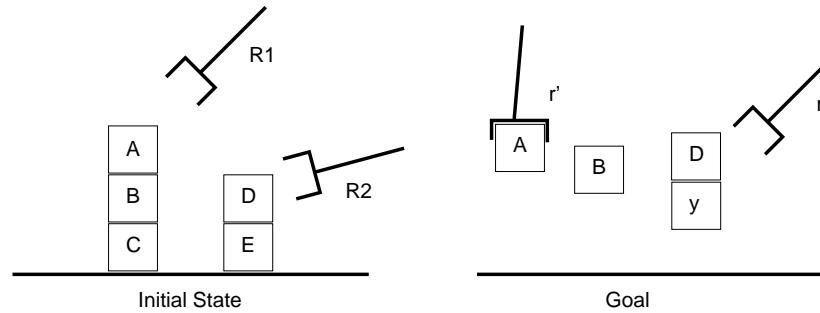
$$4. \quad g \leftarrow \{ \text{on}(D, y), \text{clear}(D), \text{handempty}(r), \text{clear}(B), \text{holding}(r', A), y \neq B \}$$

$$\pi \leftarrow \langle \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, B) \rangle \text{ with } y \neq B$$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

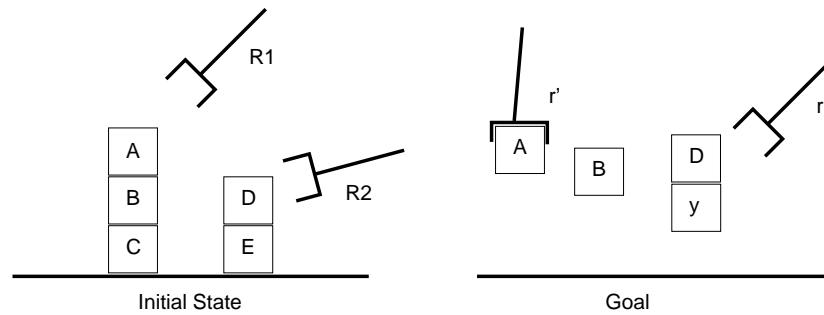


4.  $g \leftarrow \{\text{on}(D, y), \text{clear}(D), \text{handempty}(r), \text{clear}(B), \text{holding}(r', A), y \neq B\}$   
 $\pi \leftarrow \langle \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$  with  $y \neq B$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}, g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



4.  $g \leftarrow \{\text{on}(D, y), \text{clear}(D), \text{handempty}(r), \text{clear}(B), \underline{\text{holding}(r', A)}, y \neq B\}$   
 $\pi \leftarrow \langle \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$  with  $y \neq B$

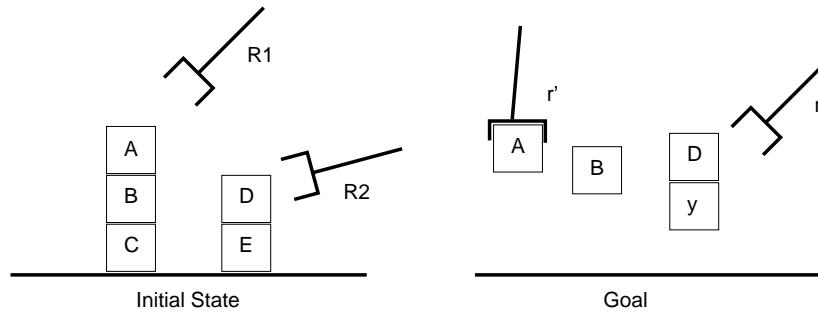
$$o \leftarrow \text{unstack}(r'', x, y'), \\ \sigma \leftarrow \{r'' \leftarrow r', x \leftarrow \mathbf{A}, y' \leftarrow \mathbf{B}, r' \neq r\}$$

PRE :  $\{\text{on}(A, B), \text{clear}(A), \text{handempty}(r')\}$   
 EFF :  $\{\text{holding}(r', A), \text{clear}(B),$   
 $\neg\text{handempty}(r'), \neg\text{on}(A, B), \neg\text{clear}(A)\}$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



$$4. \quad g \leftarrow \{\text{on}(D, y), \text{clear}(D), \text{handempty}(r), \cancel{\text{clear}(B)}, \cancel{\text{holding}(r', A)}, y \neq B\}$$

$$\pi \leftarrow \langle \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, B) \rangle \text{ with } y \neq B$$

$$\begin{aligned} o &\leftarrow \text{unstack}(r'', x, y'), \\ \sigma &\leftarrow \{r'' \leftarrow r', x \leftarrow A, y' \leftarrow B, r' \neq r\} \end{aligned}$$

$$\begin{aligned} \text{PRE : } & \{\text{on}(A, B), \text{clear}(A), \text{handempty}(r')\} \\ \text{EFF : } & \{\text{holding}(r', A), \text{clear}(B), \\ & \neg \text{handempty}(r'), \neg \text{on}(A, B), \neg \text{clear}(A)\} \end{aligned}$$

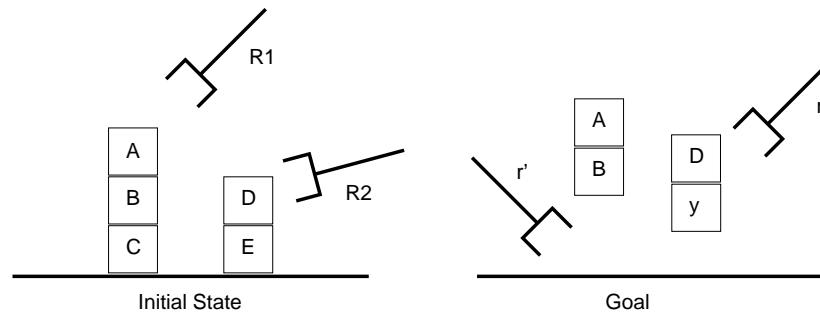
$$5. \quad g \leftarrow \{\text{on}(D, y), \text{clear}(D), \text{handempty}(r), \text{on}(A, B), \text{clear}(A), \text{handempty}(r'), y \neq B, r \neq r'\}$$

$$\pi \leftarrow \langle \text{unstack}(r', A, B), \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, B) \rangle \text{ with } y \neq B, r \neq r'$$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$

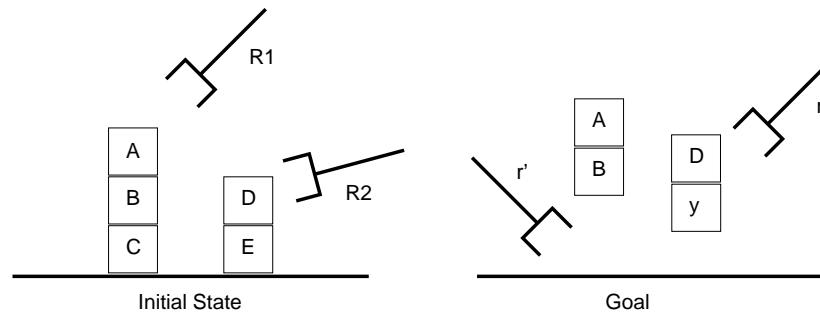


5.  $g \leftarrow \{\text{on}(D, y), \text{clear}(D), \text{handempty}(r), \text{on}(A, B), \text{clear}(A), \text{handempty}(r'), y \neq B, r \neq r'\}$   
 $\pi \leftarrow \langle \text{unstack}(r', A, B), \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, B) \rangle$  with  $y \neq B, r \neq r'$

## Trace example

relevance:  $g \cap \text{EFF}^+(a) \neq \{\}$ ,  $g \cap \text{EFF}^-(a) = \{\}$

inverse transition:  $\gamma^{-1}(g, a) = (g \setminus \text{EFF}^+(a)) \cup \text{PRE}(a)$



5.  $g \leftarrow \{\text{on}(D, y), \text{clear}(D), \text{handempty}(r), \text{on}(A, B), \text{clear}(A), \text{handempty}(r'), y \neq B, r \neq r'\}$   
 $\pi \leftarrow \langle \text{unstack}(r', A, B), \text{unstack}(r, D, y), \text{putdown}(r', A), \text{stack}(r, D, E) \rangle$  with  $y \neq B, r \neq r'$

initial state:  $s = \{\text{on}(D, E), \text{clear}(D), \text{handempty}(R1), \text{on}(A, B), \text{clear}(A), \text{handempty}(R2), \dots\}$   
 $s$  satisfies  $g$ :  $\sigma \leftarrow \{r \leftarrow R1, r' \leftarrow R2, y \leftarrow E\}$

result plan:  $\pi \leftarrow \langle \text{unstack}(R2, A, B), \text{unstack}(R1, D, E), \text{putdown}(R2, A), \text{stack}(R1, D, B) \rangle$

↑  
plan w/ sub.

## Summary

Planning = Search + KR.

The STRIPS representation uses a logical language to represent properties of states; actions are represented by their preconditions and add/delete effects

STRIPS enables algorithms to exploit the structure of the problem. ADL is a useful extension of STRIPS. PDDL supports both and many extensions

Propositional STRIPS planning is PSPACE-complete

State-space planning produces totally-ordered plans by a forward or backward search in the state space. This requires domain-independent heuristics or domain-specific control rules to be efficient

Delete-relaxation heuristics ignore delete lists and subgoal interactions. Abstractions and landmarks lead to other powerful heuristics

Backward search requires the ability to regress goal sets and leads to a lifted algorithm which does not need to fully instantiate operators