# KARLSRUHE INSTITUTE OF TECHNOLOGY

# SOFTWARE ENGINEERING PRACTICE

# rootJS

Node.js bindings for ROOT 6

Jonas Schwabe Theo Beffart Sachin Rajgopal Christoph Wolff Christoph Haas Maximilian Früh

supervised by Dr. Marek Szuba



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# 1. Purpose

### **Project Goal**

The goal of this project is to create Node.js<sup>®</sup><sup>1</sup> bindings for ROOT<sup>2</sup>, thanks to which it will become possible to e.g. integrate ROOT into Node.js-based Web applications.

We aim specifically at ROOT 6 because its Low Level Virtual Machine(LLVM)-based C++ interpreter Cling offers many advantages, e.g. just-in-time compilation, over the one available in older ROOT versions.

# 1.1. Required criteria

The bindings should:

- work on Linux
- allow the user to interact with any ROOT class from the Node.js JavaScript interpreter
- accept C++ code for just-in-time compilation
- update dynamically following changes to C++ internals
- provide asynchronous wrappers for common I/O operations (i.e. file and tree access)

### 1.2. Optional criteria

The bindings should:

- support the streaming of data in JavaScript Object Notation (JSON) format compatible with JavaScript ROOT
- implement a web server based on Node.js to mimic the function of the ROOT HTTP server
- work OS independent (i.e. support Mac OS X, Linux operating systems)

# 1.3. Limiting criteria

The bindings should not:

- add any extending functionality to the existing ROOT framework
- necessarily support previous ROOT versions
- necessarily support future ROOT versions

<sup>1</sup>https://nodejs.org/

<sup>2</sup>https://root.cern.ch/



# 2. Product usage

rootJS will be used to create web-applications that can:

- Expose processed data (that might otherwise be hard to access) and then visualize it locally
- Interact with data both stored somewhere accessible for the server or streamed via remote procedure call (RPC)
- Run on any platform that supports a browser

### 2.1. Audience

Most users of rootJS will be used to working in Linux and with web servers. At the very least, they will be able to install ROOT and also be proficient in programming languages like JavaScript and C++.

- Scientists (e.g. particle physicists)
- Researchers
- Web-developers interested in creating applications based on ROOT

# 2.2. Operating conditions

rootJS will be used on servers that run ROOT and have access to the required data sources. As ROOT 6 currently runs on Linux and OS X only, usage of the bindings is limited to those platforms.



## 3. Product environment

#### Providing ROOT to Node.js

Node.js bindings for ROOT simplify the creation of client-server based ROOT applications. The bindings offer solutions based on state of the art web technology, especially the separation of data processing and visualization.

### 3.1. Software

#### 3.1.1 ROOT

ROOT is a software framework for data analysis and I/O. It can be used to process and especially visualize large amounts of scientific data, e.g. the petabytes of data recorded by the Large Hadron Collider experiments every year.

Since the framework comes with an interpreter for the C++ programming language it can be used for rapid and efficient prototyping. It also features a persistency mechanism for C++ objects. Therefore ROOT based applications are extensible and as feature rich as the C++ language itself. A detailed introduction to the ROOT framework can be found in the ROOT primer<sup>1</sup> on the CERN website.

Interfacing with ROOT is done dynamically, since ROOT shares all the necessary information in its (global) functions during runtime.

### 3.1.2 Node.js

Node.js is an open source runtime environment. It is used to develop server-side web applications and may act as a stand alone web server. It uses the Google V8 engine to execute JavaScript code.

The rootJS Binding-API will be developed as a so called native Node.js module written in C++. It interfaces directly with the V8 API to provide (non-blocking) encapsulation of ROOT objects as JavaScript equivalents.

### 3.2. Hardware

The Bindings simply provide data structures for the encapsulation of ROOT objects and functions. Hence the additional hardware requirements of the bindings themselves should be negligible compared to ROOT's.

Calling a ROOT function via the Binding-API inside a Node.js application will take up a minimal amount of additional resources compared to a direct function call inside a native ROOT application. In conclusion, there are no additional hardware requirements for using the bindings on a computer which was able to run native ROOT applications before - this includes almost any modern Desktop PC.

<sup>1</sup> https://root.cern.ch/root/html534/guides/primer/ROOTPrimer.html



# 4. Product interface and functions

The rootJS bindings will not have a user interface, neither a graphical user interface nor a command line interface. This section will therefore specify the basic API of rootJS.

| /I10/ | The rootJS module will expose a JavaScript object containing all accessible ROOT variables, functions and classes.  |
|-------|---|
| /I20/ | Exposed variables may contain scalar values, in which case they will be accessible in their JavaScript counterparts.  |
| /I30/ | Exposed variables may be objects, which are recursively converted to JavaScript objects until only scalar values remain.  |
| /I40/ | Exposed variables may be enums, in which case the identifier of the currently selected value is returned instead of the corresponding integer.  |
| /I50/ | Every exposed method will be accessible via a proxy method, which handles parameter overloading, as JavaScript does not support overloading. If there is no method to handle the passed arguments, an exception will be thrown.   |
| /I55/ | A method may be called with an additional callback method that will be called after the original method has been executed.  |
| /I60/ | Exposed classes will be accessible via constructors returning the corresponding objects. A constructor will be accessible through a proxy function to support parameter overloading. If there is no method to handle the passed arguments, an exception will be thrown. |
| /I65/ | A constructor can be called with an additional callback method that will be executed after the object has been constructed.   |
| /170/ | The classes are encapsulated in their namespaces from ROOT. Each namespace is an object containing namespaces or class constructors.  |
| /I80/ | Exceptions thrown by ROOT will be forwarded to JavaScript and can be treated as normal exceptions by JavaScript.  |
| /I90/ | Global variables are accessible via getter and setter methods to ensure their values are kept in sync with the ROOT framework.  |



# 5. Product data

The following data will be stored by the rootJS bindings:

| /D10/ | All ROOT classes and methods with their corresponding signatures are saved as they are dynamically mapped to JavaScript equivalents. They are also needed to support C/C++ specific features such as method overloading. |
|-------|--|
| /D20/ | The ROOT environment state is preserved between calls from Node.js. This means that objects and variables are available until the rootJS session has ended or a reset was triggered explicitly.                          |
| /D30/ | The application context is derived from $TApplication^1$ , storing at least the program name and path.   |
| /D40/ | A map of $v8::handle$ 's <sup>2</sup> identified by the address of ROOT objects will be stored to allow proper handling of cyclic references, i.e. prevention of stepping down in recursive object creation endlessly.   |

 $<sup>^{1}</sup> https://root.cern.ch/doc/master/classTApplication.html \\ ^{2} http://izs.me/v8-docs/classv8_1_1Handle.html$ 



# 6. System Models

# 6.1. Scenarios

The bindings do not affect core functionality of ROOT. Therefore we decided to give some examples on how the bindings might be used. The user in this case is a Node.js based application, that uses the rootJS bindings to interact with ROOT. As the actual implementation is not yet finalized, the scenarios only contain samples of simple interactions between an Application and ROOT.

### 6.1.1 Web Viewer Scenario

| Scenario Name                 | WebViewer  |
|-------------------------------|--|
| Abstract                      | A browser based GUI for realtime representation of ROOT graphs.                                  |
| Participating actor instances | WebViewer:Node.js; :ROOT; :rootJS  |
| Flow of events                |  |
|                               | 1. rootJS is up and running initialize has already been executed.                                |
|                               | 2. WebViewer calls the API method to get graphical output of the data ROOT has currently loaded. |
|                               | (a) rootJS processes the request and calls the corresponding ROOT functionality.                 |
|                               | (b) rootJS receives ROOT output and streams it to WebViewer.                                     |
|                               | 3. WebViewer uses the provided data to display the graph on its GUI.                             |
|                               | (a) Node.js invokes ROOT I/O operations.   |
|                               | i. ROOT loads data and provides raw visualization data.  |
|                               | (b) Node.js serializes data and streams it to WebViewer.   |
|                               | 4. WebViewer receives data and renders it in the browser.  |

Figure 6.1: WebViewer scenario

# 6.1.2 Event Viewer Scenario

| Scenario Name                 | EventViewer  |
|-------------------------------|--|
| Abstract                      | A Web based event viewer providing a visualisation of experimental data, showing signals particles have produced in the detector. The web viewer is split into the back-end, server part, with access to the data source and enough resources to process the data, and the front-end, client part, that is a modern-enough Web browser, and responsible only for visualisation itself and interaction with the user. |
| Participating actor instances | Server:Node.js Application; :ROOT; EventViewer:Browser; :rootJS  |
| Flow of events                |  |
|                               | 1. EventViewer requests visual updates from Server.  |
|                               | 2. Server interfaces with ROOT via rootJS.   |
|                               | 3. ROOT acquires data from external source.  |
|                               | (a) External, dedicated readout hardware is used to access the data source.  |
|                               | (b) ROOT processes incoming data in a timely manner.   |
|                               | 4. ROOT passes the data prepared for (3D) visualisation to the Server via rootJS.  |
|                               | 5. Server publishes its data as JSON stream over the web.  |
|                               | 6. EventViewer renders received data locally e.g. using WebGL.   |

Figure 6.2: EventViewer scenario

## 6.2. Use Cases

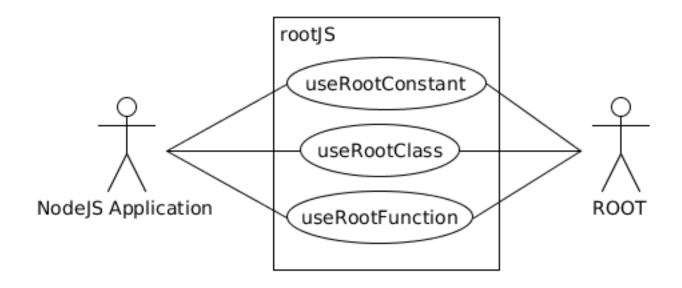


Figure 6.3: use case overview

# 6.2.1 Use Case 1: UseROOTConstant

| Use Case name                 | UseROOTConstant   |
|-------------------------------|---|
| Participating actor instances | Initiated by NodeJSApplication; Processed by rootJS; Communicates with ROOT |
| Flow of events                |   |
|                               | 1. The NodeJSApplication requests access to a ROOT constant.                |
|                               | 2. rootJS sends a request to the corresponding ROOT constant.               |
|                               | 3. ROOT returns the requested value.  |
|                               | 4. The value is passed from rootJS to the NodeJSApplication.                |
| Entry condition               | rootJS has been initialized   |
| Exit condition                | The value has been returned to the NodeJSApplication                        |

Figure 6.4: Use Case: UseROOTConstant

# 6.2.2 Use Case 2: UseROOTClass

| Use Case name                 | UseROOTClass  |
|-------------------------------|---|
| Participating actor instances | Initiated by NodeJSApplication Processed by rootJS, ProxyObject Communicates with ROOT    |
| Flow of events                |   |
|                               | 1. The ${\tt NodeJSApplication}$ requests access to a ROOT class by calling a constructor |
|                               | 2. rootJS sends a request to the corresponding ROOT class                                 |
|                               | 3. ROOT responds  |
|                               | 4. rootJS is allowed to create a ProxyObject of the requested ROOT class                  |
|                               | 5. rootJS stores the ProxyObject in the cache   |
|                               | 6. rootJS returns the reference of the ProxyObject to the NodeJSApplication               |
| Entry condition               | rootJS has been initialized   |
| Exit condition                | The reference of the ProxyObject has been return to the NodeJSApplication                 |

Figure 6.5: Use Case: UseROOTClass

# 6.2.3 Use Case 3: UseROOTFunction

| Use Case name                 | UseROOTFunction  |
|-------------------------------|--|
| Participating actor instances | Initiated by NodeJSApplication Processed by rootJS, ProxyObject Communicates with ROOT                 |
| Flow of events                |  |
|                               | 1. The NodeJSApplication requests access to a ROOT function  |
|                               | 2. rootJS sends a request to the corresponding ROOT function   |
|                               | 3. ROOT responds   |
|                               | 4. rootJS is allowed to create a ProxyObject of the class where the requested ROOT function is located |
|                               | 5. The ProxyObject calls the requested ROOT function   |
|                               | 6. rootJS returns the output of the function ProxyObject to the NodeJSApplication                      |
| Entry condition               | rootJS has been initialized  |
| Exit condition                | The reference of the ProxyObject has been return to the NodeJSApplication                              |

Figure 6.6: Use Case: UseROOTFunction

## 6.3. Object Models

Figure 6.2 illustrates what the rootJS architecture may look like. Client applications relying on this architecture will incorporate with the ROOT framework through a *ROOTPrototype* object. The API's entry point method *ROOTPrototype::init* uses V8 to create the actual interface to the available variables, functions and classes of ROOT.

Functions provided through this interface internally call *methodProxy* to determine the associated ROOT function via the callee's function name. This allows handling of supplied callback functions by passing them over the *args* parameter.

Constructor functions provided through the interface internally call classProxy instead of methodProxy to generate encapsulating JavaScript objects through the ProxyObjectFactory. A ROOTPrototype object provides the globalGetter and globalSetter methods to access ROOT's global variables. Again interfacing with global objects is done through ProxyObjects generated by the ProxyObjectFactory.

The ProxyObjectFactory instantiates a class realizing the ProxyObject interface. The actual class type is given through the supplied type parameter. If the getV8Handle method is called on ProxyObjects encapsulating C++ scalar types (like int, long, string, etc.) it will simply return the corresponding v8::Handle with the value at a defined address in memory.

However, calling the getV8Handle method on ProxyObjects encapsulating C++ objects will prompt recursive calls to the ProxyObjectFactory for every (member) variable the native object holds. This allows to dynamically assemble the encapsulating object. To handle cyclic references a caching mechanism (ProxyObjectCache), that stores already created v8::Handles, is used.

A sample process of interfacing with a ROOT object using this architecture is shown in Figure 6.4.

### 6.4. Dynamic Models

The following figure shows how rootJS initializes upon being called the first time by a client application. As bindings do not add any functionality of their own, the client application is not further specified. After the bindings are initialized the client application may use any ROOT functionality through a ROOTPrototype object provided by the rootJS API.

The following figure shoes how to open a root file via rootJS, after the usual initialization process has been completet (see above) a TFile object is beeing created using the rootJS API. A callback is provided because the file is beeing parsed by ROOT during the opening process, which might take some time depending on file size and complexity. The rootJS bindings call the TFile constructor before returning to the JavaScript application via callback, the constructor is beeing called by the class proxy. After the construction phase rootJS has a valid TFile object, which is then handed over to the CreateProxyObject factory method which creates the correct proxy object. The factory object recursively creates a v8 handle which is returned to the JavaScript code running in node, in this case via callback.

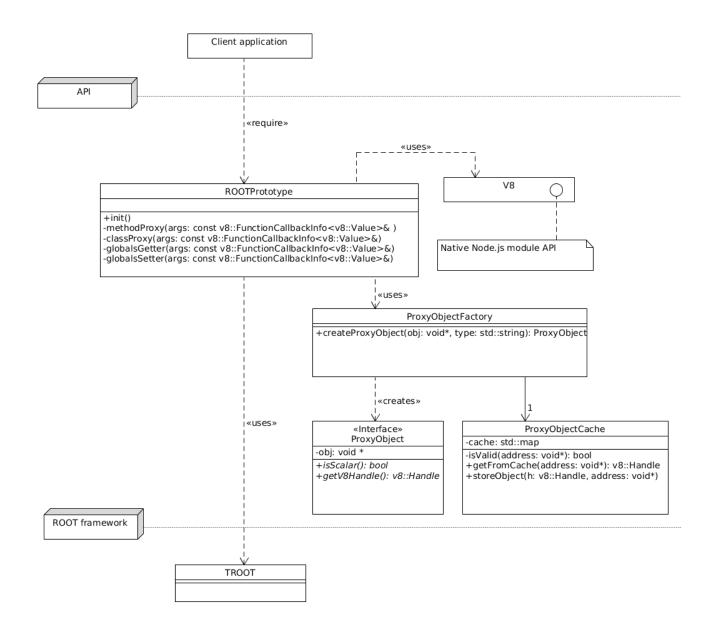


Figure 6.7: basic architecture draft

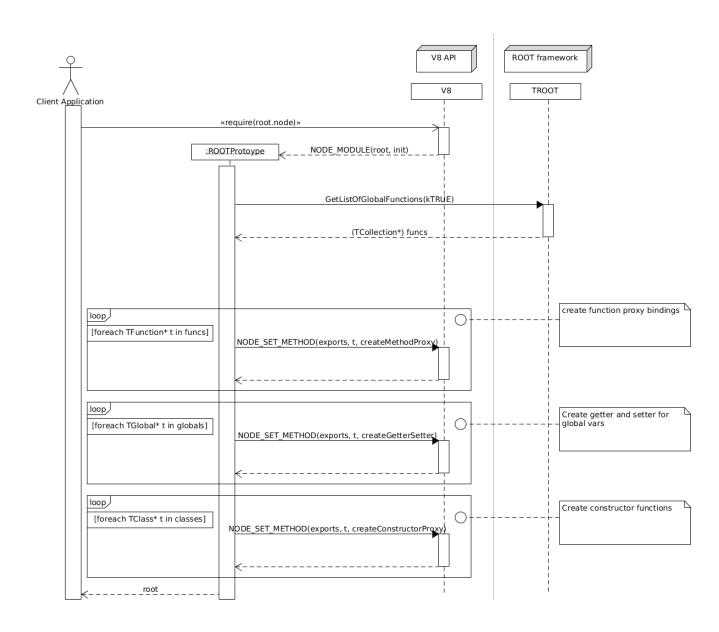


Figure 6.8: basic startup sequence

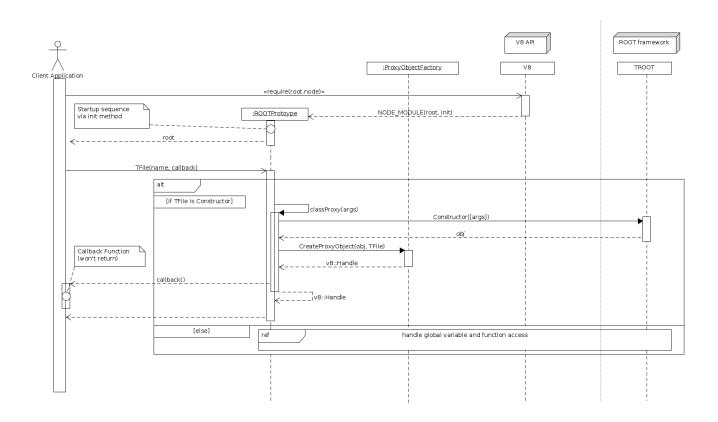


Figure 6.9: basic sequence used for opening a file



# 7. Global Test Cases

During the development process continuous integration tools will be used to run at least the following test cases:

| /T10/  | Read all global variables.  |
|--------|---|
| /T20/  | Write to all global variables that are not constant.  |
| /T30/  | Write to all global variables that are constant and ensure the correct Exception is thrown.   |
| /T40/  | Create instances of all classes with a public constructor.  |
| /T50/  | Call all methods of these objects with valid parameters, where valid means that the data type is correct, a method throwing an exception due to invalid input shall be considered as a passed test, a crash due to e.g. invalid memory read shall be considered as a failed test. |
| /T60/  | Read all public member variables of these classes.  |
| /T70/  | Write to all public member variables of these classes that are not constant.  |
| /T80/  | Write to all public member variables of these classes that are constant and ensure the correct exception is thrown.   |
| /T90/  | Create instances of classes with private constructors and ensure the correct exception is thrown.   |
| /T100/ | Apply the test cases described in $/T60/$ to $/T90/$ to static members and methods. Ensure correct exceptions are thrown.   |



# 8. Quality assurance

We will ensure correct functionality by writing unit tests for every class. Test cases will be run after every git push using continuous integration tools.

Furthermore a function test will be executed, testing if all exposed elements are really accessible and working (see global test cases).

We will use the  $Github\ issue\ tracker^1$  to track all issues we encounter, even issues that the reporter fixes himself should be tracked. Closing an issue in the issue tracker is only allowed if a test case is provided that both fails before and succeeds after the fix. This ensures that the same bug is not introduced multiple times by different people.

We will check the test coverage after every push to the Github repository. If the coverage decreases on a special method its developer needs to check if there is a branch that is not covered yet and possibly add new test cases for it.

<sup>1</sup>https://github.com/rootjs/rootjs/issues



# 9. Appendix

## 9.1. Glossary

### Application programming interface (API)

A collection of tools, libraries and documentation for creating software. APIs expose functionality through specified interfaces, which allows to develop independent from the specific implementation

### Asynchronous I/O

Using a synchronous I/O, an application does not have to wait for the data transmission to finish, but can continue in execution. This prevents leaving the CPU idle, as I/O operations are usually significantly slower than other tasks.

#### C++

A general purpose, object oriented programming language and one of the most widely used programming languages.

### Compiler

A computer program that translates source code into another language.

### Cling

An interactive C++ interpreter with a command line prompt that offers just-in-time compilation.

#### **CERN**

The European Organization for Nuclear Research.

#### enum

A data structure (enumeration) that contains named elements that can be compared to each other. Each element maps to a constant value.

#### Exception

Exception handling is the process of responding to the occurrence, during computation, of exceptions anomalous or exceptional conditions requiring special processing - often changing the normal flow of program execution.  $^1$ 

#### Framework

Extendable, generic software to be extended by user-written modules.

#### Git

A Version Control System (VCS).

<sup>1</sup>https://en.wikipedia.org/wiki/Exception\_handling

### Github

A host for Git repositories.

#### Google V8 JavaScript

An open-source JavaScript interpreter.

#### HTTP

The HyperText Transfer Protocol, used for data communication on the Internet.

### Interpreter

A computer program that directly executes written instructions, without compiling them first.

#### **JavaScript**

An interpreted programming or script language created by Netscape.

#### JavaScript Object Notation (JSON)

A data format used for exchanging (data) objects between applications. The JSON format is easy to read and write for humans.

### Just-in-time (JIT) compilation

Compilation method that tries to speed up interpreter based program execution by dynamically compiling the executed program (parts) at run time.

#### Language bindings

Expose an API to another programming language. This enables usage of low-level functionality in higher-level environments (such as the ROOT API in Node.js using the rootJS bindings).

#### Large Hadron Collider (LHC)

World's largest particle collider located in CERN.

### Linux

A free and open source computer operating system.

### Low Level Virtual Machine (LLVM)

A compiler infrastructure written in C++.

### Mac

A Unix based operating system created by Apple.

#### Method overloading

Declaring a method multiple times within the same namespace using different parameters.

### Method signature

A unique declaration which defines the name and the parameter list of a method.

#### Node.js

A runtime environment for developing server-side web applications written in JavaScript.

#### Object

An instance of a class containing data, such as variables, functions and data structures.

### Operating System

A piece of software managing software and hardware resources, input/output, and also controls the overall operation of the computer system.

### Web Graphics Library (WebGL)

WebGL enables hardware accelerated rendering of 3D content for web browsers.

#### ROOT

A framework for data processing; developed at CERN and particularly used by particle physicists.

### Remote procedure call (RPC)

Execute a routine in another address space without coding details for the remote interaction.

#### Stream

Potentially unlimited sequence of data elements made available over time.<sup>2</sup>

### Version Control System (VCS)

Version Control Systems are used to track and (if necessary) revert changes made on a software project.

### Windows

A DOS based operating system created by Microsoft.

<sup>&</sup>lt;sup>2</sup>https://en.wikipedia.org/wiki/Stream\_(computing)

## 9.2. Links

The Github repository for this document may be found at https://github.com/rootjs/specifications. The repository for the rootJS project itself is located at https://github.com/rootjs/rootjs.