# Groundness Analysis for Prolog: Implementation and Evaluation of the Domain Prop

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#### Abstract

The domain Prop [22, 8] is a conceptually simple and elegant abstract domain to compute groundness information for Prolog programs. In particular, abstract substitutions are represented by Boolean functions built using the logical connectives  $\Leftrightarrow$ ,  $\lor$ ,  $\land$ . Prop has raised much theoretical interest recently but little is known about the practical accuracy and efficiency of this domain. Experimental evaluation of Prop is particularly important since Prop theoretically needs to solve a co-NP-Complete problem. However, this complexity issue may not matter much in practice because the size of the abstract substitutions is bounded since Prop would only work on the clause variables in many frameworks.

In this paper, we describe an implementation of the domain Prop and we use it to instantiate a generic abstract interpretation algorithm [16, 12, 18]. A key feature of the implementation is the use of ordered binary decision graphs to provide a compact representation of many Boolean functions. The implementation (about 6000 lines of C) has been evaluated systematically and its efficiency and accuracy has been compared with two other abstract domains: the domain Mode (mode, same-value, sharing) and the domain Pattern (mode, same-value, sharing, pattern). A comparison with a reexecution algorithm [19] over the domain Mode, denoted Mode-Reex, is also given. The benchmark programs include symbolic equation-solvers, peephole optimizers, cutting-stock programs, and parsers. This is, to our knowledge, the first implementation and evaluation of Prop.

The experimental results are particularly interesting. The accuracy results for groundness inference indicate that, on our benchmark programs, Prop compares well in accuracy with Pattern, outperforms Mode by an order of magnitude, and is exactly as accurate as Mode-Reex. The efficiency results are even more surprising. They indicate that Prop is only twice as slow as Mode in the average, takes 70% of the time taken by Pattern, and 138% of the time taken by Mode-Reex. Results on on-line analysis are also reported.

## 1 Introduction

Abstract interpretation of Prolog has attracted many researchers in recent years. This effort is motivated by the need of optimization in Prolog compilers to be competitive with procedural languages and the declarative nature of the language which makes it more amenable to static

analysis. Considerable progress has been realised in this area in terms of the frameworks (e.g. [1, 3, 2, 7, 20, 21, 23, 30]), the algorithms (e.g. [2, 6, 15, 16, 26]), the abstract domains (e.g. [4, 14, 25]) and the implementations (e.g. [13, 18, 12, 29]).

An abstract domain which has raised much interest in recent years is the domain Prop proposed by Marriott and Sondergaard [22]. The domain is intended to compute groundness information in Prolog programs. It is conceptually simple and elegant since abstract substitutions are represented by Boolean functions built using the logical connectives  $\Leftrightarrow$ ,  $\lor$ ,  $\land$ . The domain has been further investigated in [8] and related to other abstract domains in [9].

Although the domain is properly understood from a theoretical standpoint, many practical questions regarding its efficiency and accuracy remain to be answered. In particular, the efficiency of Prop has been subject to much debate. On the one hand, it requires the solving of a co-NP-Complete problem (i.e. equivalence of two Boolean functions). On the other hand, in many frameworks, Prop would only deal with the variables appearing in the clauses whose number should be, in general, reasonably small. The accuracy of Prop is also an interesting problem since sophisticated dependencies between the variables can compensate the fact that Prop does not keep track of functors.

In this paper, we present an implementation of the domain Prop and we use it to instantiate a fast generic abstract interpretation algorithm for Prolog [16, 18, 12]. The key feature of our implementation of Prop is the use of ordered binary decision graphs [5] to represent Boolean functions in a compact way. The overall implementation (about 6000 lines of C) has been systematically evaluated and its efficiency and accuracy have been compared with two other abstract domains: the domain Mode (mode, same-value, sharing) and the domain Pattern (mode, same-value, sharing, pattern). A comparison with a reexecution algorithm over the domain mode, denoted Mode-Reex, is also given. The benchmark programs used include symbolic equation-solvers, peephole optimizers, cutting-stock programs, and parsers.

The experimental results are particularly interesting. The accuracy results for groundness inference indicate that, on our benchmark programs, Prop compares well in accuracy with Pattern, outperforms Mode by an order of magnitude, and is exactly as accurate that Mode-Reex. The efficiency results are even more surprising. They indicate that Prop is only twice as slow as Mode in the average, takes 70% of the time taken by Pattern, and 138% of the time taken by Mode-Reex. Results on on-line analysis are also reported and indicate that the efficiency of Prop remains competitive for this class of analyses. .

The rest of the paper is organized as follows. The first section describes the concrete semantics and the specification of the concrete operations. The second section describes the abstraction of the concrete semantics using the domain Prop and illustrates the analysis on a simple example. The third section describes the main ideas behind the implementation of Prop while the last section reports and discusses the experimental results.

#### 2 Concrete Semantics

This section briefly reviews our semantic framemork including the concrete substitutions, the specification of the concrete operations, and the concrete semantics.

# 2.1 Normalized Programs and Concrete Domain

We assume the existence of sets  $F_i$  and  $P_i$   $(i \ge 0)$  denoting sets of functors and predicate symbols of arity i and of an infinite set PV of program variables. Variables in PV are ordered and denoted by the  $x_1, x_2, \ldots, x_i, \ldots$ 

Normalized programs contain clauses with heads of the form  $p(x_1,\ldots,x_n)$  where  $n\geq 0$  and  $p\in P_n$ . Normalized clauses also contain bodies of the form  $l_1,\ldots,l_n$   $(n\geq 0)$  where the  $l_i$  are either procedure calls of the form  $p(x_{i_1},\ldots,x_{i_n})$  where  $x_{i_1},\ldots,x_{i_n}$  are all distinct variables and  $p\in P_n$  or built-in predicates of one of the forms  $x_i=x_j$   $(i\neq j)$  or  $x_i=f(x_{j_1},\ldots,x_{j_n})$  where  $i,j_1,\ldots,j_n$  are all distinct indices and  $f\in F_n$ .

The motivation behind these definitions is to allow the result of any predicate p/n to be expressed as a set of substitutions on program variables  $x_1, \ldots, x_n$ . Normalization may induce some loss of precision in abstract domains which are sensitive to the syntactical form of the programs.

We assume the existence of another infinite set RV of renaming variables. We distinguish two kinds of substitutions: program substitutions, denoted  $\theta$ , whose domain and codomain are subsets of PV and RV respectively, and standard substitutions, denoted  $\sigma$ , whose domain and codomain are subsets of RV. In the following, PS denotes the set of program substitutions,  $PS_D$  denotes the set of program substitutions the domain of which is D and SS the set of standard substitutions.

Let  $\theta$  be a program substitution and  $D \subseteq dom(\theta)$ . The restriction  $\theta'$  of  $\theta$  to D, denoted  $\theta_{/D}$ , is the substitution such that  $dom(\theta') = D$  and  $x_i\theta = x_i\theta'$  for all  $x_i \in D$ .

The definitions of substitution composition and most general unifier are the usual ones but are only used for standard substitutions. Program substitutions and standard substitutions can only be combined by applying a standard substitution  $\sigma$  to a program substitution  $\theta$ . The result, denoted  $\theta\sigma$ , is defined by  $dom(\theta\sigma) = dom(\theta)$  and  $x(\theta\sigma) = (x\theta)\sigma$  for all  $x \in dom(\theta)$ . For program substitutions, the notion of free variable is non-standard to avoid clashes between variables during renaming. A free variable is represented by a binding to a renaming variable that appears nowhere else. We say that a substitution  $\theta$  grounds a syntactic object  $\sigma$  when  $var(\sigma\theta)$  is empty, where var(t) is the set of variables in  $\tau$ . Let  $\sigma$  be a subset of  $\sigma$  is complete if and only if, for all  $\sigma$  and  $\sigma$  are variant implies that  $\sigma$  is that  $\sigma$  is complete if and only if, for all  $\sigma$  is and  $\sigma$  are variant implies that  $\sigma$  is the set of variables in  $\sigma$ .

Let D be a finite subset of PV.  $CS_D = \{\Theta : \forall \theta \in \Theta \ dom(\theta) = D \ \text{and} \ \Theta \ \text{is complete}\}$ .  $CS_D$  is a complete lattice wrt set inclusion  $\subseteq$ .

## 2.2 Concrete Operations

Union of Sets of Substitutions Let  $\Theta_1, \ldots, \Theta_n \in CS_D$ .

$$UNION(\Theta_1, \ldots, \Theta_n) = \Theta_1 \cup \ldots \cup \Theta_n.$$

Unification of two Variables Let  $D = \{x_1, x_2\}$  and  $\Theta \in CS_D$ .

$$AI\_VAR(\Theta) = \{\theta\sigma : \theta \in \Theta, \sigma \in SS, \text{ and } \sigma \in mgu(x_1\theta, x_2\theta)\}$$

**Unification of a Variable and a Functor** This operation unifies  $x_1$  with  $f(x_2, ..., x_n)$ . Let  $D = \{x_1, ..., x_n\}, \Theta \in CS_D$ , and  $f \in F_{n-1}$ .

ALFUNC(
$$\Theta, f$$
) =  $\{\theta \sigma : \theta \in \Theta, \sigma \in SS, \text{ and } \sigma \in mgu(x_1\theta, f(x_2, \dots, x_n)\theta)\}$ 

Restriction and Extension of a Set of Substitutions for a Clause The RESTRC operation restricts a set of substitutions on all variables in a clause to the variables in the head. The EXTC operation extends a set of substitutions on variables in the head of a clause to all variables in the clause. Let c a clause, D' be the set of variables in the head and D be the set of variables of c.

```
\begin{split} & \mathtt{RESTRC}(c,\Theta) &= & \{\theta_{/_D{'}}:\theta\in\Theta\}. \\ & \mathtt{EXTC}(c,\Theta) &= & \{\theta:dom(\theta)=D,\;\theta_{/_D{'}}\in\Theta,\;\mathrm{and}\;\forall x\in D\setminus D',\;x\;\mathrm{is\;free\;in}\;\theta\}. \end{split}
```

Restriction and Extension of a Set of Substitutions for a Literal The RESTRG operation expresses a set of substitutions  $\Theta$  in terms of the formal parameters  $x_1, \ldots, x_n$  corresponding to a literal l. The EXTG operation extends a set of substitutions  $\Theta$  with a set of substitutions  $\Theta'$  representing the result of executing a literal l on  $\Theta$ . Let D be the domain of  $\Theta$ ,  $D'' = \{x_{i_1}, \ldots, x_{i_n}\}$  the set of variables appearing in l exactly in that order, and  $D' = \{x_1, \ldots, x_n\}$ .

# 2.3 Sets of Concrete Tuples

We assume in the following an underlying program P. The semantics of P is captured by a set of concrete tuples of the form  $(\Theta_{in}, p, \Theta_{out})$  where  $\Theta_{out}$  is intended to represent the set of output substitutions obtained by executing  $p(x_1, \ldots, x_n)$  on the set of input substitutions  $\Theta_{in}$  and  $\Theta_{in}, \Theta_{out} \in CS_D$  with  $D = \{x_1, \ldots, x_n\}$ . We only consider functional sets sct of concrete tuples, implying that for all  $(\Theta, p)$ , there exists at most one set  $\Theta'$  such that  $(\Theta, p, \Theta') \in sct$ . This set is denoted  $sct(\Theta, p)$ . dom(sct) is the set of pairs  $(\Theta, p)$  for which there exists an  $\Theta'$  such that  $(\Theta, p, \Theta') \in sct$ . We call  $underlying\ domain\ UD$  the set of pairs  $(\Theta, p)$  where p is a predicate symbol of arity n in P,  $D = \{x_1, \ldots, x_n\}$  and  $\Theta \in CS_D$ . We denote SCT the set of all monotonic set of concrete tuples, i.e. those satisfying  $\Theta_1 \subseteq \Theta_2 \Rightarrow sct(\Theta_1, p) \subseteq sct(\Theta_2, p)$ , each time  $sct(\Theta_1, p)$  and  $sct(\Theta_2, p)$  are defined. We denote SCTT the set of all total, and continuous sets of concrete tuples, i.e. those for which any non-decreasing chain  $\Theta_1 \subseteq \Theta_2 \subseteq \ldots \subseteq \Theta_n \subseteq \ldots$  satisfies  $sct(\bigcup_{i=1}^{\infty} \Theta_i, p) = \bigcup_{i=1}^{\infty} \{sct(\Theta_i, p)\}$ . SCTT is endowed with a structure of cpo (i.e. complete partial order) by defining  $(1) \perp = \{(\Theta, p, \emptyset) : (\Theta, p) \in UD\}$  and  $(2) sct \leq sct' \equiv \forall (\Theta, p) \in UD\ sct(\Theta, p) \subseteq sct'(\Theta, p)$ .

# 2.4 A Fixpoint Concrete Semantics

The concrete semantics is defined in terms of three functions and one transformation given in Figure 1. We assume an underlying program P. p, c, g and l denotes respectively a procedure name, a clause, a sequence of literals and a literal, using only predicate symbols from P.

The transformation and functions are monotonic and continuous wrt SCCT and the canonical ordering on the cartesian product  $CS_D \times SCTT$  respectively. Since SCTT is a cpo, the semantics of logic programs is the least fixpoint of the transformation TSCT, denoted  $\mu(TSCT)$ . This fixpoint can be shown to be consistent wrt SLD-resolution in the following sense:

```
TSCT(sct) = \{(\Theta, p, \Theta') : (\Theta, p) \in UD \text{ and } \Theta' = T(\Theta, p, sct)\}.
T(\Theta, p, sct) = \mathtt{UNION}(\Theta_1, \ldots, \Theta_n)
where \Theta_i = T(\Theta, c_i, sct),
                c_1, \ldots, c_n are the clauses of p.
T(\Theta, c, sct) = \mathtt{RESTRC}(c, \Theta')
\mathbf{where}
              \Theta' = T(\texttt{EXTC}(c,\Theta), g, sct),
                g is the body of c.
T(\Theta, <>, sct) = \Theta.
T(\Theta, l.g, sct) = T(\Theta_3, g, sct)
             \Theta_3 = \mathtt{EXTG}(l, \Theta, \Theta_2),
where
                \Theta_2 = sct(\Theta_1, p)
                                                            if l is p(\ldots)
                          AI_VAR(\Theta_1)
                                                           if l is x_i = x_i
                          AI_FUNC(\Theta_1, f)
                                                           if l is x_i = f(\ldots),
                \Theta_1 = \mathtt{RESTRG}(l, \Theta).
```

Figure 1: The Semantic Transformation

**Theorem 1** Let P be a program,  $l = p(x_1, ..., x_n)$  be a literal,  $\theta_{in}$  be a program substitution with  $dom(\theta_{in}) = \{x_1, ..., x_n\}$ , sct be  $\mu(TSCT)$  and  $\Theta_{in} = \{\theta \in PS : \theta \text{ and } \theta_{in} \text{ are variant}\}$ . The following statement is true (we assume that SLD-Resolution uses renaming variables belonging to SS):

if  $\sigma$  is an answer-substitution of SLD-resolution applied to  $P \cup \{\leftarrow l\theta_{in}\}$ , then there exists a substitution  $\theta_{out} \in sct(\Theta_{in}, p)$  such that  $\theta_{out} = \theta_{in}\sigma$ .

# 3 The domain Prop

In this section, we give an overview of the domain Prop and present the definition of its abstract operations. The abstract semantics is simply obtained by replacing sets of concrete substitutions and concrete operations by abstract substitutions and abstract operations.

## 3.1 Abstract Substitutions

In Prop, a set of concrete substitutions over  $D = \{x_1, \ldots, x_n\}$  is represented by a Boolean function using variables from D, that is an element of  $(D \to Bool) \to Bool$ , where  $Bool = \{false, true\}$ . We only consider Boolean functions that can be represented by propositional formulas using variables from D, the truth values, and the logical connectives  $\vee, \wedge, \Leftrightarrow$ .  $x_1 \wedge x_2$  and  $x_1 \Leftrightarrow x_2 \wedge x_3$  are such formulas. In the following we denote a Boolean function by any of the propositional formulas which represent it. We also use  $\bot$  to denote the abstract substitution false.

**Definition 2** The domain Prop over  $D = \{x_1, \ldots, x_n\}$ , denoted Prop<sub>D</sub>, is the poset of Boolean functions that can be represented by propositional formulas constructed from D, the Boolean truth values, and the logical connectives  $\vee, \wedge, \Leftrightarrow$  and ordered by implication.

It is easy to see that Prop<sub>D</sub> is a finite lattice where the greatest lower bound is given by conjunction and the least upper bound by disjunction. Our implementation uses ordered binary decision graphs (OBDG) to represent Boolean functions since they allow many Boolean functions to have compact representations.

**Definition 3** A truth assignment over D is a function  $I: D \to Bool$ . The value of a Boolean function f wrt a truth assignment I is denoted I(f). When I(f) = true, we say that I satisfies f.

The basic intuition behind the domain Prop is that a substitution  $\theta$  is abstracted by a Boolean function f over D iff, for all instances  $\theta'$  of  $\theta$ , the truth assignment I defined by

$$I(x_i) = \text{true iff } \theta' \text{ grounds } x_i \ (1 \le i \le n)$$

satisfies f. For instance,  $x_1 \Leftrightarrow x_2$  abstracts the substitutions  $\{x_1/y_1, x_2/y_1\}, \{x_1/a, x_2/a\}$ , but not  $\{x_1/a, x_2/y\}$  nor  $\{x_1/y_1, x_2/y_2\}$ .

**Definition 4** The concretization function for  $Prop_D$  is a function  $Cc: Prop_D \to CS_D$  defined as follows:

$$Cc(f) = \{\theta \in PS_D \mid \forall \sigma \in SS : (assign (\theta \sigma))(f) = true\}$$

where  $assign: PS_D \to D \to Bool$  is defined by  $assign \theta x_i = true$  iff  $\theta$  grounds  $x_i$ .

The following definitions will be used later.

**Definition 5** The valuation of a function f wrt a variable  $x_i$  and a truth value b, denoted  $f|_{x_i=b}$ , is the function obtained by replacing  $x_i$  by b in f.

**Definition 6** The dependence set  $D_f$  of a Boolean function f is the set

$$D_f = \{x_i \mid f|_{x_i = true} \neq f|_{x_i = false}\}$$

**Definition 7** The normalization of a function f wrt  $[x_{i_1}, \ldots, x_{i_n}]$  is the boolean function obtained by replacing simultaneously  $x_{i_1}, \ldots, x_{i_n}$  by  $x_1, \ldots, x_n$  in f. This normalization is denoted norm  $f[x_{i_1}, \ldots, x_{i_n}]$ .

**Definition 8** The denormalization of a function f wrt  $[x_{i_1}, \ldots, x_{i_n}]$  is the boolean function obtained by replacing simultaneously  $x_1, \ldots, x_n$  by  $x_{i_1}, \ldots, x_{i_n}$  in f. This denormalization is denoted denorm  $f[x_{i_1}, \ldots, x_{i_n}]$ .

#### 3.2 Abstract Operations

We now define the abstract operations as safe approximations of the concrete operations. Recall that if  $o_C: (CS_{D_1} \times ... \times CS_{D_n}) \to CS_D$  and  $o_A: (Prop_{D_1} \times ... \times Prop_{D_n}) \to Prop_D$  are corresponding concrete and abstract operations,  $o_A$  safely approximate  $o_C$  if and only if

$$\forall f_1 \in Prop_{D_1} : \dots \forall f_n \in Prop_{D_n} : o_C(Cc(f_1), \dots, Cc(f_n)) \subseteq Cc(o_A(f_1, \dots, f_n)).$$

Union of abstract substitutions: the union of substitutions is simply implemented using disjunction.

UNION 
$$(f_1, \ldots, f_n) = f_1 \vee \ldots \vee f_n$$
.

Unification of two variables: unification of two variables adds an equivalence to the existing substitution.

$$AI\_VAR(f) = f \wedge (x_1 \Leftrightarrow x_2).$$

Unification of a variable and a functor: unification of a variable  $x_1$  and a functor  $t(x_2, \ldots, x_n)$  also uses equivalence.

AI\_FUNC(
$$f, t$$
) =  $f \wedge (x_1 \Leftrightarrow x_2 \wedge \ldots \wedge x_n)$ .

**Restriction of a clause substitution:** the restriction of a clause substitution simply restricts the Boolean function to the variables appearing in the head. Let  $\{x_{n+1}, \ldots, x_m\}$  be the variables appearing only in the body of c.

RESTRC(
$$c, f$$
) = elim\_all  $[x_{n+1}, \ldots, x_m]$   $f$ 

where

elim\_all 
$$[]$$
  $f$  =  $f$  elim\_all  $[x_j,\ldots,x_m]$   $f$  = elim\_all  $[x_{j+1},\ldots,x_m]$   $(f|_{x_j=true} \lor f|_{x_j=false})$   $(n < j \le m)$ 

Extension of a clause substitution: the extension of a clause substitution is trivial

$$EXTC(c, f) = f$$

**Restriction of a substitution before a literal:** the restriction of a substitution for a literal amounts to eliminating all variables not appearing in the literal and normalizing the resulting function. Let  $[x_{i_1}, \ldots, x_{i_n}]$  be the sequence of variable in a literal l and S the list of variables in  $D_f \setminus \{x_{i_1}, \ldots, x_{i_n}\}$ .

RESTRG
$$(l, f)$$
 = norm  $[x_{i_1}, \ldots, x_{i_n}]$  (elim\_all  $S$   $f$ ).

Extension of a substitution after a literal: the extension of a substitution after a literal amounts to denormalizing the substitution and taking its conjunction with the clause substitution. Let  $[x_{i_1}, \ldots, x_{i_n}]$  be the sequence of variable in a literal l.

$$\mathtt{EXTG}(l,f,f') = f \wedge \mathtt{denorm} [x_{i_1},\ldots,x_{i_n}] f'.$$

Note that Prop has some interesting properties. Contrary to many domains, the UNION operation is optimal, i.e. it represents exactly the union of the concrete substitutions. Prop loses precision only in the restriction operations.

```
qsort(X1 , X2 ) :-
    X3 = [],
    qsort( X1 , X2 , X3 ).

qsort(X1 , X2 , X3 ) :-
    X1 = [],
    X3 = X2.
qsort(X1 , X2 , X3 ) :-
    X1 = [ X4 | X5 ] ,
    partition( X5 , X4 , X6 , X7 ),
    X8 = [ X4 | X9 ] ,
    qsort( X6 , X2 , X8 ),
    qsort( X7 , X9 , X3 ).
```

Figure 2: Quicksort on Difference Lists in Normalized Form

#### 3.3 An Example

Figure 3 depicts the analysis of a quicksort algorithm using difference lists, whose normalized form is shown in Figure 2. Note that the first recursive call is performed with an open-ended list which makes the program difficult to analyse (i.e. many domains would lose precision). The trace of the execution shows the various abstract operations and their associated substitutions. Parts of the trace has been removed for clarity. In particular, the trace for the call to partition is omitted (line 16) as well as part of the first iteration of the second clause for one of the recursive calls to qsort/3 since it returns  $\bot$  and is shown during the second iteration (line 29). The Boolean functions are shown in a readable form. This is an slighly edited version of the output of our system which depicts substitutions in disjunctive normal form although the canonical form used by the algorithm is different. The abstract interpretation algorithm used to obtain the trace is the so-called prefix optimization algorithm which avoids reconsidering clauses and prefixes of clauses by keeping an advanced dependency graph [12]. The initial query has a first argument which is ground and a second argument which is a variable. This is abstracted by the formula  $x_1$  in the trace.

qsort/2 simply calls qsort/3 (line 4) whose first clause returns the substitution  $x_3 \wedge x_2 \wedge x_1$ , indicating that all its arguments are ground (line 9). The second clause calls qsort/3 with a substitution  $x_1$  (line 20) and this call restarts a new subcomputation. The result of this subcomputation is  $x_1 \wedge (x_2 \Leftrightarrow x_3)$  (line 43). This means that  $x_1$  and  $x_2$  will be ground as soon as  $x_3$  will be ground, and reciprocally. The second recursive call simply returns  $\bot$  for the first iteration (line 46) and  $x_3 \wedge x_2 \wedge x_1$  for the second iteration (line 53). As a consequence, all arguments of qsort/3 are ground at the exit of the clause (line 58) and qsort/2 returns a ground argument for its second argument.

The really interesting point in this example is the substitution returned by the nested call to qsort/3 which preserves an equivalence between the second and third argument. This enables the domain Prop to achieve maximal precision in this example without keeping track of functors and working only on the clause variables.

```
1 Try clause 1
        Exit EXTC x1
3
        Exit AI-FUNC x3 \wedge x1
        Call PRO-GOAL x3 \wedge x1
4
5
        Try clause 1
6
            Exit EXTC x3 ∧ x1
            Exit AI-FUNC x3 \wedge x1
            Exit AI-VAR x3 \wedge x2 \wedge x1
8
9
            Exit RESTRC x3 \( x2 \) x1
10
            Exit UNION x3 \wedge x2 \wedge x1
        Exit clause 1
11
12
        Try clause 2
1.3
            Exit EXTC x3 \wedge x1
14
            Exit AI-FUNC x5 \wedge x4 \wedge x3 \wedge x1
15
            Call PRO-GOAL partition x2 \wedge x1
16
17
            Exit PRO-GOAL partition x4 \wedge x3 \wedge x2 \wedge x1
            Exit EXTG x7 \wedge x6 \wedge x5 \wedge x4 \wedge x3 \wedge x
18
19
            Exit AI-FUNC (x9 \Leftrightarrow x8) \wedge x7 \wedge x6 \wedge x5 \wedge x4 \wedge x3 \wedge x1
20
            Call PRO-GOAL qsort x1
21
            Try clause 1
22
                Exit EXTC x1
23
                Exit AI-FUNC x1
24
                Exit AI-VAR (x3 \Leftrightarrow x2) \land x1
                Exit RESTRC (x3 \Leftrightarrow x2) \wedge x1
25
26
                Exit UNION (x3 \Leftrightarrow x2) \land x1
27
            Exit clause 1
28
            Try clause 2
29
30
                Exit RESTRC -
                Exit UNION (x3 \Leftrightarrow x2) \land x1
31
32
            Exit clause 2
33
            Try clause 2
34
                Call PRO-GOAL qsort x1
35
                Exit PRO-GOAL qsort (x3 \Leftrightarrow x2) \wedge x1
36
                Exit EXTG (x9 \Leftrightarrow x8 \Leftrightarrow x2) \wedge x7 \wedge x6 \wedge x5 \wedge x4 \wedge x3 \wedge x1
37
                Call PRO-GOAL qsort x1
38
                Exit PRO-GOAL (x3 \Leftrightarrow x2) \wedge x1
39
                Exit EXTG (x9 \Leftrightarrow x8 \Leftrightarrow x3 \Leftrightarrow x2) \wedge x7 \wedge x6 \wedge x5 \wedge x4 \wedge x1
                Exit RESTRC (x3 \Leftrightarrow x2) \wedge x1
40
                Exit UNION (x3 \Leftrightarrow x2) \land x1
41
42
            Exit clause 2
43
            Exit PRO-GOAL (x3 \Leftrightarrow x2) \wedge x1
44
            Exit EXTG (x9 \Leftrightarrow x8 \Leftrightarrow x2) \wedge x7 \wedge x6 \wedge x5 \wedge x4 \wedge x3 \wedge x1
            Call PRO-GOAL qsort x3 \( \text{x1} \)
45
46
            Exit PRO-GOAL qsort -
47
            Exit EXTG -
48
            Exit RESTRC -
            Exit UNION x3 \wedge x2 \wedge x1
49
50
        Exit clause 2
        Try clause 2
51
52
            Call PRO-GOAL qsort x3 \wedge x1
53
            Exit PRO-GOAL qsort x3 \wedge x2 \wedge x1
            Exit EXTG x9 \wedge x8 \wedge x7 \wedge x6 \wedge x5 \wedge x4 \wedge x3 \wedge x2 \wedge x1
54
            Exit RESTRC x3 \wedge x2 \wedge x1
55
56
            Exit UNION x3 \wedge x2 \wedge x1
57
        Exit clause 2
58
        Exit PRO-GOAL qsort x3 \wedge x2 \wedge x1
        Exit EXTG x3 \wedge x2 \wedge x1
59
        Exit RESTRC x2 \wedge x1
60
61
        Exit UNION x2 \land x1
62 Exit clause 1
```

Figure 3: Analysis of qsort/2 using Prop

# 4 Implementation

Our implementation of the domain Prop uses ordered binary decision graphs (OBDG) as a canonical form for Booleans functions [5]. We review the main concepts here.

OBDGs require a total ordering on the variables. The ordering can have a significant impact on the size of Boolean functions. Since there is no obvious good ordering for abstract interpretation, our implementation simply uses  $x_1 < x_2 < \ldots < x_n$ .

The data structure underlying OBDGs is a binary tree with a number of restrictions.

**Definition 9** [5] A function graph is a rooted, directed graph with vertex set V containing two types of vertices. A nonterminal vertex v has as attributes an index  $index(v) \in \{x_1, \ldots, x_n\}$  and two children low(v) and high(v) from V. A terminal vertex v has as attribute a value  $value(v) \in \{false, true\}$ . Futhermore, for any nonterminal vertex v, if low(v) is also nonterminal, then index(v) > index(low(v)). Similarly, if high(v) is nonterminal, then index(v) > index(high(v)).

The correspondance between function graphs and Boolean functions is given by the following definitions.

**Definition 10** [5] A function graph G having root vertex v denotes a function  $f_v$  defined recursively as

- 1. if v is a terminal vertex, then  $f_v = true$  if value(v) = true.  $f_v = false$  otherwise.
- 2. if v is a nonterminal vertex with  $index(v) = x_i$ , then  $f_v$  is the function

$$f_v(x_1,\ldots,x_n) = x_i \wedge f_{low(v)}(x_1,\ldots,x_n) \vee \neg x_i \wedge f_{high(v)}(x_1,\ldots,x_n)$$

OBDGs are simply function graphs where redundant vertices and duplicated subgraphs have been removed.

**Definition 11** [5] A function graph G is an ordered binary decision graph iff it contains no vertex v with low(v) = high(v) nor does it contain distinct vertices v and v' such that the subgraph rooted by v and v' are isomorphic<sup>1</sup>.

[5] describes several algorithms for reduction, restriction, and composition of OBDGs. Other algorithms (e.g. elimination, comparison) can be designed along the same principles. The main complexity results are given in Table 1. Contrary to the implementation of Bryant, our implementation uses hashtables instead of 2-dimensional arrays and avoids the sorting step of the reduce operation further reducing the complexity. In the complexity results, we assume that hashing takes constant time. We also note  $G_i$  the OBDG associated with a Boolean function  $f_i$  and note |G| the number of vertices in the graph G. Although each operation is polynomial, it is important to realize that the size of the resulting graph can be significantly larger than the inputs of the operation. A sequence of operations can thus lead to a graph whose size is exponential in terms of the inputs. This is to be expected since Boolean satisfiability is an NP-complete problem.

Figure 4 gives the Pascal code of the eliminate operation for illustration purposes. The main idea behind procedure ELIMINATE is to perform the disjunction and the valuations simultaneaously instead of performing first the two valuations and then the disjunction. The main task

<sup>&</sup>lt;sup>1</sup>Informally, two graphs are isomorphic if their structures and attributes match.

```
function ELIMINATE(f : OBDG; i : integer):OBDG;
   function ELIMINATE_STEP(f,g: OBDG; i: integer) : OBDG;
   var
      found: boolean;
      entry, idx : integer;
      u, flow, fhigh, glow, ghigh: OBDG;
   begin
      if f\uparrow.index = i then f := f\uparrow.low;
      if g\uparrow.index = i then g := g\uparrow.high;
      LOOKUP_ELIMINATE(f,g,found,entry);
      if found then ELIMINATE_STEP := hash_eliminate[entry].f
         case OR(f\uparrow.value,g\uparrow.value) of
         UNKNOWN:
             begin
             idx := MAX(f\uparrow.index,g\uparrow.index);
             if f\uparrow.index < idx then
             begin flow := f; high := f end
             else begin flow := f\uparrow.low; fhigh := f\uparrow.high end;
             if g\uparrow.index < idx then
             begin glow := g; ghigh := g end
             else begin glow := g\uparrow.low; ghigh := g\uparrow.high; end;
             u := CREATE_NODE(UNKNOWN,idx,nil,nil);
             hash_eliminate[entry].f := u;
             u\uparrow.low := ELIMINATE\_STEP(flow,glow,i);
             u↑.high := ELIMINATE_STEP(fhigh,ghigh,i);
            ELIMINATE_STEP := u
             end;
         FALSE:
             begin
             hash_eliminate[entry].f := FALSE_NODE;
             ELIMINATE_STEP := hash_eliminate[entry].f
             end;
         TRUE:
             begin
             hash_eliminate[entry].f := TRUE_NODE;
             ELIMINATE_STEP := hash_eliminate[entry].f
             end
         end
      end;
   ELIMINATE := REDUCE(ELIMINATE_STEP(f,f,i));
end;
```

Figure 4: The Implementation of the Eliminate Operation

procedure	$\operatorname{result}$	time complexity
Reduce	G reduced in canonical form	O( G )
Apply	$f_1  \left< op \right>  f_2$	$O( G_1  G_2 )$
Valuate	$f _{x_i=b}$	O( G )
Compose	$f_1 _{x_i=f_2}$	$O( G_1 ^2 G_2 )$
Compare	true iff $f_1=\overset{\cdot}{f}_2$	$O(min( G_1 , G_2 ))$
Eliminate	$f _{x=true} \lor f _{x=false}$	$O( G ^2)$

Table 1: Complexity Results of the Basic Operations on Graphs

is achieved by procedure ELIMINATE\_STEP whose result (a graph not normalized) is then normalized. ELIMINATE\_STEP(f,g,i) returns a graph representing  $f|_{x_i=false} \lor g|_{x_i=true}$ . Procedure ELIMINATE\_STEP carries out the valuation in its first lines by replacing f by f\.low and g by g\.nigh when the index of the node is i. The rest of the procedure simply performs the disjunction of the two graphs recursively. The general handling is in the UNKNOWN case of the case instruction which calls ELIMINATE\_STEP recursively twice after some preprocessing to ensure that the indices correspond to each other. Two optimizations are used. First, a hashtable keeps the results of the elimination operations performed so that subsequent calls to ELIMINATE\_STEP uses the results instead of recomputing. Second, a 3-value version of the logical or is applied at each step on the value fields of the nodes. This makes sure that the computation terminates early, e.g. as soon as one of the nodes is terminal and has the value 1.

# 5 Experimental Evaluation

In this section, we report experimental results about the efficiency and accuracy of Prop and compare it with two other abstract domains. Section 5.1 describes the benchmarks used in the experiments, Section 5.2 briefly reviews the domains used in our comparison, Section 5.3 provides some background about the algorithm used, Section 5.4 discusses the accuracy results and Section 5.4.1 discusses the efficiency results.

#### 5.1 The Programs Tested

The programs we use are hopefully representative of "pure" logic programs (i.e. without the use of dynamic predicates such as assert and retract). They are taken from a number of authors and used for various purposes from compiler writing to equation-solvers, combinatorial problems, and theorem-proving. Hence they should be representative of a large class of programs. In order to accommodate the many built-ins provided in Prolog implementations and not supported in our current implementation, some programs have been extended with some clauses achieving the effect of the built-ins. Examples are the predicates to achieve input/output, meta-predicates such as setof, bagof, arg, and functor. The clauses containing assert and retract have been dropped in the one program containing them (i.e. Syntax error handling in the reader program).

The program kalah is a program which plays the game of kalah. It is taken from [27] and implements an alpha-beta search procedure. The program press1 is a symbolic equation-solver program taken from [27] as well. Press2 is the same program but one literal is repeated to improve

precision<sup>2</sup>. The program cs is a cutting-stock program taken from [28]. It is a program used to generate a number of configurations representing various ways of cutting a wood board into small shelves. The program uses, in various ways, the nondeterminism of Prolog. The program Disj is taken from [11] and is the generate and test equivalent of a constraint program used to solve a disjunctive scheduling problem. This is also a program using the nondeterminism of Prolog. The program Read is the tokeniser and reader written by R. O'keefe and D.H.D. Warren for Prolog. It is mainly a deterministic program, with mutually recursive procedures. The program PG is a program written by W. Older to solve a specific mathematical problem. The program Gabriel is the Browse program taken from Gabriel benchmark. The program Plan (PL for short) is a planning program taken from Sterling & Shapiro. The program Queens is a simple program to solve the n-queens problem. Peep is a program written by S.Debray to carry out the peephole optimization in the SB-Prolog compiler. It is a deterministic program. We also use the traditional concatenation and quicksort programs, say Append (with input modes (var,var,ground)) and Qsort (difference lists sorting the small elements first).

#### 5.2 Overview of the Abstract Domains

#### 5.2.1 The domain Pattern

The abstract domain Pattern contains patterns (i.e. for each subterm, the main functor and a reference to its arguments are stored), sharing, same-value, and mode components. The domain is more sophisticated than the sharing domains of, for instance, [14, 25] and than the mode domains of, for instance, [29, 13]. It is best viewed as an abstraction of the domain of Bruynooghe and Janssens [4] where a pattern component has been added. The domain is fully described in [24] which contains also the proofs of monotonicity and consistency.

The key concept in the representation of the substitutions in this domain is the notion of a subterm. Given a substitution on a set of variables, an abstract substitution associates with each subterm the following information:

- its mode (Gro, Var, Ngv (i.e. neither ground nor variable) and all combinations of them);
- its pattern which specifies the main functor as well as the subterms which are its arguments;
- its possible sharing with other subterms.

Note that the pattern is optional. If it is omitted, the pattern is said to be undefined.

In addition to the above information, each variable in the domain of the substitution is associated to one of the subterms. Note that this information enables to express that two arguments have the same value (and hence that two variables are bound together). To identify the subterms in an unambiguous way, an index is associated to each of them. If there are n subterms, we make use of indices  $1, \ldots, n$ . For instance, the substitution

$$\{X_1 \leftarrow t * v , X_2 \leftarrow v , X_3 \leftarrow Y_1 \setminus [\ ]\}$$

will have 7 subterms. The association of indices to them could be for instance

$$\{(1, t * v), (2, t), (3, v), (4, v), (5, Y_1 \setminus []), (6, Y_1), (7, [])\}.$$

<sup>&</sup>lt;sup>2</sup>i.e. to simulate the effect of the reexecution strategy[19].

As mentioned previously, each index is associated with a mode taken from

$$\{\bot, Gro, Var, Ngv, Novar, Gv, Nogro, Any\}.$$

In the above example, we have the following associations

$$\{(1, Gro), (2, Gro), (3, Gro), (4, Gro), (5, Ngv), (6, Var), (7, Gro)\}.$$

The pattern component (possibly) assigns to an index an expression  $f(i_1, \ldots, i_n)$  where f is a function symbol of arity n and  $i_1, \ldots, i_n$  are indices. In our example, the pattern component will make the following associations

$$\{(1,2*3),(2,t),(3,v),(4,v),(5,6\setminus7),(7,[])\}.$$

Finally the sharing component specifies which indices, not associated with a pattern, may possibly share variables. We only restrict our attention to indices with no pattern since the other patterns already express some sharing information and we do not want to introduce inconsistencies between the components. The actual sharing relation can be derived from these two components. In our particular example, the only sharing is the couple (6,6) which expresses that variable  $Y_1$  shares a variable with itself.

#### 5.2.2 The Domain Mode

The domain of [24] is a reformulation of the domain of [2]. The domain could be viewed as a simplification of the elaborate domain where the pattern information has been omitted and the sharing has been simplified to an equivalence relation. Only three modes are considered: ground, var and any. Equality constraints can only hold between program variables (and not between subterms of the terms bound to them). The same restriction applies to sharing constraints. Moreover algorithms for primitive operations are significantly different. They are much simpler and the loss of accuracy is significant.

## 5.3 The Generic Abstract Interpretation Algorithm

The algorithm used in the experimental results is the so-called "prefix optimization" algorithm [12]. It is essentially our original algorithm [16, 18] augmented with an advanced dependency graph to avoid recomputing clauses or prefixes of clauses that would not bring additional information. The original algorithm is a top-down algorithm computing a small subset of the least fixpoint necessary to answer the query. It works at a fine granularity, i.e. it keeps multiple input/output patterns for each predicate. Both algorithms can be seen as particular implementations of Bruynooghe's operational framework [2] or, alternatively, as instantiations of a universal top-down fixpoint algorithm [17]. The generic abstract interpretation algorithm is, to our knowledge, the most efficient generic algorithm available for abstract interpretation of Prolog programs.

We also use the reexecution algorithm of [19]. This algorithm is essentially similar to the previous one, except that procedure calls and built-ins are systematically reexecuted to gain precision, exploiting the referential transparency of logic languages. The reexecution is also local to a clause. Reexecution turns out to be a versatile tool to keep the domain simple and increase precision substantially.

Program	Args	G-Mod	G-Pro	B-Mod	B-Pro	Procs	B-Mod-P	B-Pro-P
Append	3	1	1	0	0	1	0	0
CS	94	19	56	0	37	34	0	20
Disj	60	11	38	0	27	30	0	17
Gabriel	59	18	18	0	0	20	0	0
Kalah	123	35	79	0	44	44	0	36
Peep	63	22	39	0	17	19	0	9
PG	31	8	20	0	12	10	0	6
Plan	32	5	20	0	15	13	0	9
Press1	143	9	15	0	6	52	0	4
Press2	143	9	15	0	6	52	0	4
QSort	9	1	4	0	3	3	0	2
Queens	11	2	7	0	5	5	0	4
Read	122	34	34	0	0	43	0	0

Table 2: Accuracy of the Analysis on Inputs: Comparison of Mode and Prop

# 5.4 Accuracy

In this section, we compare the three domains with respect to their precision in computing groundness information. All domains allow to compute other interesting information: freeness and sharing information is computed by Mode and Pattern as well as pattern information for Pattern. Covering information can be computed by Prop and Pattern. We only concentrate on the groundness information here.

Tables 2 and 3 compare Mode and Prop for the input and output modes of all predicates. The first column reports the total number of arguments in the programs, the next two columns, G-Mod and G-Pro, the number of arguments inferred ground by Mode and Prop, the fourth column, B-Mod, reports the number of cases where Mode infers ground for an argument while Prop does not infer groundness, and the fifth column is just the opposite measure. The last columns compare the results at the level of the procedures (instead of at the level of arguments). These two domains were compared since they both work on the variables of the clauses and do not keep track of functors in the abstract domain. The results indicate that Prop is more precise than Mode. Mode never infers more information than Prop and loses precision compared to Prop in almost all programs.

Tables 4 and 5 report the same comparison for Prop and Pattern. Contrary to Prop, Pattern keeps track of the functors and works at the level of subterms. As a consequence, the size of its substitutions is not bounded a priori. The experimental results are particularly interesting and indicate that Prop and Pattern are very close in accuracy to compute groundness information in the benchmark programs. Pattern is slighly better on the input modes since it infers more groundness on Press2, all other results being the same. The loss of precision in Prop comes from the fact that it loses track of the functors. Boolean functions on the clause variables are are not enough in this case. The results on the output modes indicate than Prop is more accurate in some programs, Peep<sup>3</sup> and Qsort, while it loses precision on other programs, Read, Press1 and Press2. All other programs give the same results. The gain of precision in Qsort comes from the inherent loss of precision in Pattern when different clauses defining a predicate return results with different

<sup>&</sup>lt;sup>3</sup>The gain in accuracy is Peep is somewhat unreal since it is due to an imprecision in one of the operations of Pattern which can be corrected easily [18].

Program	Args	G-Mod	G-Pro	B-Mod	B-Pro	Procs	B-Mod-P	B-Pro-P
Append	3	2	3	0	1	1	0	1
CS	94	28	94	0	66	34	0	30
Disj	60	24	60	0	36	30	0	20
Gabriel	59	22	22	0	0	20	0	0
Kalah	123	55	121	0	66	44	0	36
Peep	63	30	55	0	25	19	0	13
PG	31	8	31	0	23	10	0	10
Plan	32	7	31	0	24	13	0	10
Press1	143	26	39	0	13	52	0	8
Press2	143	26	39	0	13	52	0	8
QSort	9	1	7	0	6	3	0	3
Queens	11	2	11	0	9	5	0	5
Read	122	68	70	0	2	43	0	2

Table 3: Accuracy of the Analysis on Outputs: Comparison of Mode and Prop

Program	Args	G-Pro	G-Pat	B-Pro	B-Pat	Procs	B-Pro-P	B-Pat-P
Append	3	1	1	0	0	1	0	0
CS	94	56	56	0	0	34	0	0
Disj	60	38	38	0	0	30	0	0
Gabriel	59	18	18	0	0	20	0	0
Kalah	123	79	79	0	0	44	0	0
Peep	63	39	39	0	0	19	0	0
PG	31	20	20	0	0	10	0	0
Plan	32	20	20	0	0	13	0	0
Press1	143	15	15	0	0	52	0	0
Press2	143	15	99	0	84	52	0	50
QSort	9	4	4	0	0	3	0	0
Queens	11	7	7	0	0	5	0	0
Read	122	34	34	0	0	43	0	0

Table 4: Accuracy of the Analysis on Inputs: Comparison of Prop and Pattern

Program	Args	G-Pro	G-Pat	B-Pro	B-Pat	Procs	B-Pro-P	B-Pat-P
Append	3	3	3	0	0	1	0	0
CS	94	94	94	0	0	34	0	0
Disj	60	60	60	0	0	30	0	0
Gabriel	59	22	22	0	0	20	0	0
Kalah	123	121	121	0	0	44	0	0
Peep	63	55	53	2	0	19	2	0
PG	31	31	31	0	0	10	0	0
Plan	32	31	31	0	0	13	0	0
Press1	143	39	40	0	1	52	0	0
Press2	143	39	140	0	101	52	0	47
QSort	9	7	6	1	0	3	1	0
Queens	11	11	11	0	0	5	0	0
Read	122	70	74	0	4	43	0	4

Table 5: Accuracy of the Analysis on Outputs: Comparison of Prop and Pattern

Program	Args	G-Pro	G-Pat	B-Pro	B-Pat
Append	3	33.33	33.33	0.00	0.00
CS	94	59.57	59.57	0.00	0.00
Disj	60	63.33	63.33	0.00	0.00
Gabriel	59	30.50	30.50	0.00	0.00
Kalah	123	64.22	64.22	0.00	0.00
Peep	63	61.90	61.90	0.00	0.00
PG	31	64.51	64.51	0.00	0.00
Plan	32	62.50	62.50	0.00	0.00
Press1	143	10.48	10.48	0.00	0.00
Press2	143	10.48	69.23	0.00	58.74
QSort	9	44.44	44.44	0.00	0.00
Queens	11	63.63	63.63	0.00	0.00
Read	122	27.86	27.86	0.00	0.00

Table 6: Accuracy of the Analysis on Inputs: Comparison of Prop and Pattern in Percentage

patterns. Prop avoids the drawback in this example by keeping dependencies between the variables, as explained previously in the trace. The loss of precision in Prop is always due to the fact that it only works on the clause variables and not on subterms of the terms bound to them.

Tables 6 and 7 report the same results in percentage. They indicate that both domains infer a high percentage of ground arguments on the benchmarks. On many programs, they infer more than 80% of ground arguments.

No table is given for the comparison of Prop and Mode-Reex since all results are exactly the same. There is no way to distinguish the precision of the algorithms on our benchmark. This surprising result is explained by the fact that reexecution in fact locally "simulates" Prop since Mode-Reex implicitly keeps all equations and propagates groundness using them. Nevertheless, Prop is better than Mode-Reex, in theory, because non local literals are not reexecuted inside a clause. Here is an artificial example of a program where Prop will derive groundness of the output, but Mode-Reex will not:

Program	Args	G-Pro	G-Pat	B-Pro	B-Pat
Append	3	100.00	100.00	0.00	0.00
CS	94	100.00	100.00	0.00	0.00
Disj	60	100.00	100.00	0.00	0.00
Gabriel	59	37.28	37.28	0.00	0.00
Kalah	123	98.37	98.37	0.00	0.00
Peep	63	87.30	84.12	3.17	0.00
PG	31	100.00	100.00	0.00	0.00
Plan	32	96.87	96.87	0.00	0.00
Press1	143	27.27	27.97	0.00	0.60
Press2	143	27.27	97.90	0.00	70.62
QSort	9	0.77	0.66	0.11	0.00
Queens	11	100.00	100.00	0.00	0.00
Read	122	57.37	60.65	0.00	3.27

Table 7: Accuracy of the Analysis on Outputs: Comparison of Prop and Pattern

```
q(X1) := X1 = f(X2, X3), p(X1, X2, X3).

p(X1, X2, X3) := X1 = a.

p(X1, X2, X3) := X2 = b, X3 = c.
```

In conclusion, the experimental results indicate that Prop has a remarkable accuracy although it does not keep track of functors. It outperforms Mode and compares well with Pattern. In many cases, the results are optimal or close to optimal (i.e. all groundness information is inferred correctly). It also achieves exactly the same precision as the reexecution algorithm on mode. This positive result is due to the ability of preserving sophisticated relationships between variables in Prop.

### 5.4.1 Efficiency

We now turn to the efficiency of Prop. Efficiency results about Prop were important to obtain since, on the one hand, equivalence of Boolean functions (i.e. determining if two Boolean expressions define the same function) is a co-NP-complete problem and, on the other hand, the complexity of Prop is bounded because our algorithm only works on the variables in the clauses.

Experimental results on Prop are given in Table 8. We report the computation times in seconds on a Sun Sparc 1 workstation (Sun 4/60), the number of procedure iterations and the number of clause iterations, and a number of ratios. The results indicate that the computation times are very reasonable. No program takes more than 18 seconds and most programs are under 5 seconds. The most time-consuming programs are Press1 and Press2 which are also the programs where Prop loses accuracy. Prop performs 29.29 goal iterations per second in the average. In contrast, Pattern and Mode perform 35.11 and 86.37 iterations per second indicating that the abstract operations in Prop are more expensive. This last result should be interpreted with care however since the first iteration of a goal is generally (but not always) more time consuming than the other due to the prefix optimization.

Program	Time	G-Iter	C-Iter	G-Iter/Time	C-Iter/Time
Append	0.00	2	4		
CS	3.88	50	94	12.88	24.22
Disj	3.00	45	88	15.00	29.33
Gabriel	1.32	47	114	35.60	86.36
Kalah	2.65	65	124	24.52	46.79
Peep	3.33	36	249	10.81	77.77
PG	0.46	16	31	37.78	67.38
Plan	0.34	19	41	55.88	120.58
Press1	16.91	287	866	16.97	51.21
Press2	17.48	287	878	16.41	50.22
QSort	0.16	7	15	43.75	93.75
Queens	0.12	9	17	75.00	141.66
Read	5.00	76	311	15.20	62.20
Mean				29.29	70.95

Table 8: Efficiency Results For the domain Prop

Program	Prop	Pattern	Mode	Mode-Reex	Prop/Pattern	Prop/Mode	Prop/Mode-Reex
Append	0.00	0.00	0.00	0.00			
CS	3.88	6.13	3.24	4.33	0.63	1.19	0.89
Disj	3.00	3.25	1.67	2.58	0.92	1.79	1.16
Gabriel	1.32	2.11	0.76	1.00	0.62	1.73	1.32
Kalah	2.65	6.73	1.48	2.11	0.39	1.79	1.25
Peep	3.33	6.17	2.49	3.21	0.53	1.33	1.03
PG	0.46	0.88	0.34	0.31	0.52	1.35	1.48
Plan	0.34	0.64	0.25	0.20	0.53	1.36	1.70
Press1	16.91	30.98	3.50	8.15	0.54	4.83	2.07
Press2	17.48	9.30	3.59	8.23	1.87	4.86	2.12
QSort	0.16	0.17	0.20	0.12	0.94	0.80	1.33
Queens	0.12	0.17	0.12	0.11	0.70	1.00	1.09
Read	5.00	16.00	3.15	4.29	0.31	1.58	1.16
Mean					0.70	1.96	1.38

Table 9: Computation Times: Comparison of the Domains

Program	Prop	Pattern	Mode	Mode-Reex	Prop/Pattern	Prop/Mode	Prop/Mode-Reex
Append	2	3	4	4	0.66	0.50	0.50
CS	50	85	81	64	0.58	0.61	0.78
Disj	45	68	62	53	0.66	0.72	0.84
Gabriel	47	81	80	84	0.58	0.58	0.55
Kalah	65	117	91	80	0.55	0.71	0.81
Peep	36	94	75	59	0.38	0.48	0.61
PG	16	38	34	20	0.42	0.47	0.80
Plan	19	36	46	29	0.52	0.41	0.65
Press1	287	552	238	350	0.51	1.20	0.82
Press2	287	210	238	350	1.36	1.20	0.82
QSort	7	13	26	12	0.53	0.30	0.58
Queens	9	15	23	11	0.60	0.39	0.81
Read	76	209	119	115	0.36	0.63	0.66
Mean					0.59	0.63	0.76

Table 10: Goal Iteration: Comparison of the Domains

Program	Time	P-Iter	C-Iter	P-Iter/Time	C-Iter/Time
Append	0.00	3	6		
CS	10.20	55	98	5.39	9.60
Disj	11.47	63	112	5.49	9.76
Gabriel	1.32	47	114	35.60	86.36
Kalah	3.12	73	139	23.39	44.55
Peep	8.37	60	418	7.16	49.94
PG	0.46	16	31	34.78	67.38
Plan	0.51	$^{26}$	49	50.98	96.07
Press1	8.43	140	422	16.60	50.05
Press2	8.96	140	428	15.62	47.76
QSort	0.36	11	26	30.55	72.22
Queens	0.26	14	27	53.84	103.84
Read	5.19	76	311	14.64	59.92
Mean				24.50	58.12

Table 11: Efficiency Results: On-line Analysis with the domain Prop

Program	Calls	Var	MaxV	MeanV	Size	MaxS	MeanS	MaxS/MaxV	MeanS/MeanV
Append	6	30	6	5.00	38	10	6.33	1.67	1.27
CS	307	4977	42	16.21	5226	107	17.02	2.55	1.05
Disj	269	4275	25	15.89	3514	38	13.06	1.52	0.82
Gabriel	226	1704	19	7.54	2242	31	9.92	1.63	1.32
Kalah	452	4662	19	10.31	4283	35	9.48	1.84	0.92
Peep	698	6121	15	8.77	5840	29	8.37	1.93	0.95
PG	82	751	16	9.16	820	30	10.00	1.88	1.09
Plan	79	387	8	4.90	506	13	6.41	1.62	1.31
Press1	2196	17344	17	7.90	22455	123	10.23	7.24	1.29
Press2	2248	17734	17	7.89	23097	123	10.27	7.24	1.30
QSort	31	208	9	6.71	236	18	7.61	2.00	1.13
Queens	33	217	10	6.58	235	14	7.12	1.40	1.08
Read	888	7611	22	8.57	7412	45	8.35	2.05	0.97
Mean									1.11

Table 12: Statistics on the Substitutions: Standard Analysis

We now compare the efficiency results of Prop with Pattern, Mode, and Mode-Reex. Table 9 compares the efficiency of Prop, Pattern, Mode, and Mode-Reex. It indicates that Prop takes 70% of the time of Pattern in the average, is twice as slow as Mode, and requires 138% of the time of Mode-Reex. Prop is faster than Pattern on all programs but Press2 where Prop loses precision compared to Pattern. On many programs, Prop is twice as fast as Pattern and three times as fast on Read. The last result is explained by the fact that no argument is ground in the second part of the program and hence Pattern makes many more iterations due to other information that it needs to compute (i.e. patterns and sharing). Pattern is also almost twice as fast as Prop on Press2. Prop is always slower than Mode-Reex except on program CS. In general, the differences between the two programs are small; Prop is however twice as slow as Mode-Reex on the Press programs. The case of CS can easily be explained by the fact that it contains very many unifications and that Prop abstracts the information in a better way.

Table 10 compares the goal iterations of Prop, Pattern, Mode and Mode-Reex. It indicates that, in the average, Prop makes about 60% of the iterations of Pattern, 63% of the iterations of Mode, and 76% of the iterations of Mode-Reex. Prop makes less iterations than Pattern on all programs but Press2.

Table 11 also reports some results to demonstrate that the good behaviour of Prop is not too strongly related to the fact that many arguments are or become ground during the execution. All programs have been run without any assumption on the input patterns (and/or the database). The results so obtained are useful for on-line analysis<sup>4</sup> where a general analysis of some components is performed once and specialized for the input patterns encountered during subsequent analysis. Prop is potentially an interesting domain for on-line analysis since it is possible to obtain a specialized output pattern by taking the conjunction of the input pattern and the general output pattern. For instance, append( $x_1, x_2, x_3$ ) returns  $x_3 \Leftrightarrow x_2 \land x_1$ , and  $qsort(x_1, x_2)$  returns  $x_1 \Leftrightarrow x_2$  which can both be specialized optimally. The experimental results indicate that Prop behaves very well once again. A number of programs (e.g. CS, Disj) are significantly slower but the computation times

<sup>&</sup>lt;sup>4</sup>See [10] for a definition of on-line analysis and a comparison with usual global analysis approaches.

Program	Calls	Var	MaxV	MeanV	Size	MaxS	MeanS	MaxS/MaxV	MeanS/MeanV
Append	7	36	6	5.14	53	14	7.57	2.33	1.47
CS	392	7125	42	18.18	14375	580	36.67	13.81	2.02
Disj	330	5838	25	17.69	13693	223	41.49	8.92	2.35
Gabriel	226	1704	19	7.54	2242	31	9.92	1.63	1.32
Kalah	449	4846	19	10.79	5093	47	11.34	2.47	1.05
Peep	1204	10937	15	9.08	13528	62	11.24	4.13	1.24
PG	82	751	16	9.16	837	30	10.21	1.88	1.11
Plan	98	520	8	5.31	668	20	6.82	2.50	1.28
Press1	1048	8804	17	8.40	13370	123	12.76	7.24	1.52
Press2	1070	8948	17	8.36	13580	123	12.69	7.24	1.52
QSort	62	409	9	6.60	525	25	8.47	2.78	1.28
Queens	62	458	10	7.39	559	25	9.02	2.50	1.22
Read	888	7611	22	8.57	7412	45	8.35	2.05	0.97
Mean									1.41

Table 13: Statistics on the Substitutions: On-line Analysis

remain acceptable (i.e. less than 12 seconds). Some other programs (such as the Press programs) are twice as fast than the previous version due mainly to a reduction in the number of iterations. This is because loss of precision happens in standard analysis of those programs. Therefore the initial abstract calls trigger more general ones which are the same as the initial calls in the on-line analysis. The ratios are also interesting and indicates that the abstract operations require more time in the on-line analysis; on all programs but Press2, the on-line analysis has lower ratios.

Finally, Tables 12 and 13 give some results on the sizes of the abstract substitutions. We collect information at call points, i.e. before each execution of a built-in or of a procedure call. The information collected concerns the variables that may occur in the clause substitution and the size of the graph at a call point. In the tables, Calls denotes the number of call points, Var the summation of the number of variables over all call points, MaxV the maximum number of variables over all points, and MeanV the average number of variables. Size is the summation of all sizes of the graph (i.e. the number of nodes in the graph) over all call points, MaxS the maximal size of a graph, and MeanS the mean of all sizes. We also give two ratios, MaxS/MaxV and Size/Var, the last one giving the number of nodes used per variable. The results on the standard queries indicates that the maximum size of a graph on all programs is 123 while the theoretical maximum is  $2^{42}$ . In the average, a graph uses 1.11 nodes per variable with a maximum of 1.32 over all programs. The ratio MaxS/MaxV is also never greater than 8. A couple of programs use less than 1 node per variable. The results of the on-line analysis indicate that the maximum size of a graph is 580 while, in the average, a graph uses 1.41 nodes per variable. The highest average value is 2.35 nodes per variable and the ratio MaxS/MaxV is also smaller than 14. The results clearly indicate the compactness of the representation and explain the behaviour of Prop.

In summary, the efficiency of Prop is somewhat intermediary between Mode and Pattern but less efficient than Mode-Reex. The result is rather positive since Prop has roughly the same precision as Pattern for groundness analysis. On our benchmarks, Mode-Reex and Prop are really close in accuracy and efficiency (with an advantage in efficiency for Mode-Reex). The results also indicate that on-line analysis is possible for Prop since the computation times remain reasonable. The

representation of the graphs is also very compact and explains the good behaviour of the domain.

# 6 Conclusion

Prop is an elegant and conceptually simple abstract domain proposed by Marriott and Sondergaard to compute groundness information in Prolog programs. In particular, abstract substitutions in Prop are represented by Boolean functions using the logical connectives  $\Leftrightarrow$ ,  $\lor$ ,  $\land$  only. Although Prop was well understood from a theoretical standpoint, many open practical issues remained to be answered. In particular, the efficiency of Prop has been subject to much debate since, on the one hand, it requires the solving of an co-NP-Complete problem (i.e. equivalence of two Boolean functions) but, on the other hand, many frameworks only deal with the variables appearing in the clauses whose number should be in general reasonably small.

In this paper, we have described an implementation and experimental evaluation of Prop. The implementation has resulted, at least for us, in a number of surprising results. First, the efficiency of Prop on our benchmarks is remarkable. Prop is only twice as slow as the simple abstract domain Mode; it takes, in the average, 70% of the time of the domain Pattern and 138% of the time of Mode-Reex. Second, the accuracy of Prop to compute groundness information is competitive with Pattern, outperforms Mode, and is exactly the same as Mode-Reex. The fact that Prop is competitive with Pattern although it does not keep track of functors is an interesting property of the expressiveness of the domain which can represent sophisticated dependencies between the variables. We have also shown that on-line analysis with Prop is feasible and that our implementation provides very compact representations of the graphs.

Future work will be devoted to two main issues. First abstract operations in Prop seem to take longer than in Pattern. Hence an optimization such the caching of the operations [12] should be even more interesting in this case. Second it would be interesting to combine Prop with a pattern component. The combination would probably achieve maximal precision on almost all practical programs but may result in a much higher computation cost since the size of the Boolean functions is no longer bounded. Conversely, as Pattern allows to express sophisticated equality constraints, it can reduce the number of variables in the Prop component. This could reduce the complexity in some cases. Therefore this issue seems worth investigating.

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