

# Basic Combinatorics - Spring

## ~ Home Assignment 5 ~

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### Problem 1

**claim.** *the number of surjective mappings from  $[n]$  to  $[k]$  is given by*

$$\sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n$$

*Proof.* denote

$$f_x = \{f : f^{-1}[C] \text{ s.t } [C] \subseteq [k], \quad |C| \leq |k-x|\}$$

to be the set of all function from  $[n]$  to subset of  $[k]$  where at least  $x$  element of  $k$  is not in the image of  $f_x$ . let look at  $f_1$ , we can choose 1 from  $k$  element to not be part of the image, it is  $\binom{k}{1}$ . now we have  $k-1$  elements to choose from  $n$  items, i.e which item from  $n_i \in n$  will map to  $k_j \in k$ . Hence we looking at total  $\binom{k}{1}(k-1)^n$  functions. and for general  $x$  it is  $|f_x| = \binom{k}{x}(k-x)^n$ . now lets  $f_0 = S$  to be the set of all function from  $[n]$  to  $[k]$ , since  $f_x \subseteq f_y$  for  $0 \leq x \leq y \leq k$  then :

$$f_{onto} \in \bigcap_{i=1}^k \overline{f_i} \Rightarrow |\bigcap_{i=1}^k \overline{f_i}| = |S - \bigcup_{i=1}^k f_i|$$

using inclusion exclusion principle we get that.

$$\binom{k}{0}k^n - \binom{k}{1}(k-1)^n + \binom{k}{2}(k-2)^n - \dots \pm \binom{k}{k-2}2^n \mp \binom{k}{k-1}1^n \pm \binom{k}{k}0^n$$

that is

$$\sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n$$

□

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<sup>1</sup>De Morgan

**Proposition 1.**

$$\sum_{i=0}^n (-1)^i \binom{n}{i} (n-i)^n = n!$$

*Proof.*  $\Rightarrow$  using the result above, for  $k = n$  its following that :

$$\sum_{i=0}^n (-1)^i \binom{n}{i} (n-i)^n = n!$$

$\Leftarrow$  the number of onto function from  $[n]$  to  $[n]$  is equivalence to to the number of ways to arrange  $n$  distinct elements in row , that is

$$n! = \sum_{i=0}^n (-1)^i \binom{n}{i} (n-i)^n$$

□

**Proposition 2.**

$$\sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n = 0 \quad \text{when } k > n.$$

*Proof.*  $\Rightarrow$  using the result above, for  $k > n$  its following that :

$$\sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n = 0$$

$\Leftarrow$  assume we have  $k$  pigeons, we need to find in how many ways we can place them all in  $n$  holes, when each one of them in different hole. after placing  $n - k$  of them the all the holes are full and we left with  $k - n > 0$  pigeons. following the Pigeonhole principle there are is-no option to do so, or equivalence to 0 ways. □

**Proposition 3.**

$$S(n, k) = \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n$$

where  $S(n, k)$  are the Stirling numbers of the second kind

*Proof.*  $\Rightarrow$  its immediate from the definition of  $S(n, k)$  :

$$S(n, k) = \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n$$

$\Leftarrow$  we can consider the the set  $S_k$ :

$$S_k := \{\{f^{-1}(x)\}, \forall x \in k\}$$

we are looking at total of  $k$  non-empty sets. the amount of subjective function from  $[n]$  to  $[k]$  is number of ways to distribute the elements of  $n$  into these sets, let  $S(n, S_k)$  be the number of ways to partition a set of  $n$  objects into  $S_k = |k|$  non-empty subsets. now we can notice that any  $k_i \in k$  can be associated with any of these sets i.e total of  $k!$ . and in total we get:

$$S(n, S_k)k! = S(n, k)k! = k! \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n = \sum_{i=0}^k (-1)^i \binom{k}{i} (k-i)^n$$

□

## Problem 2

**claim.** *the number of ways of coloring the integers  $\{1 \dots 2n\}$  using the colors red/blue in such a way that if  $i$  is colored red then so is  $i-1$ , is:*

$$\sum_{k=0}^n (-1)^k \binom{2n-k}{k} 2^{2n-2k} = 2n+1$$

*Proof.* I will use counting in two ways method to deduce the identity  
 $\Rightarrow$  we can consider the problem as placing  $2n$  items in a row and choose spot to place separator s.t any item to its left are red and all the other are blue. we looking at total of  $2n-2$  in between any two adjacent numbers from 1 to  $2n$ . by including 2 more additional option that all of them red or blue, we get that the total of number of ways to place the separator is given by  $2n+1$ .

$\Leftarrow$  There are in total  $S = 2^{2n}$  ways of coloring the integers. with same idea as above, we can consider the separator as choose pair of adjacent integers the first will be coloring with R and the second B and rest dont care, it is:

$$2^{2n-2} \binom{2n-1}{1}$$

now same idea for 2 paris

$$2^{2n-4} \binom{2n-1}{2}$$

and in general :

$$2^{2n-2i} \binom{2n-i}{i}$$

Using Inclusion exclusion principle we get that

$$2^{2n} - 2^{2n-2} \binom{2n-1}{1} + \dots \pm \binom{2n-n}{n} 2^{2n-2n}$$

that is :

$$\sum_{k=0}^n (-1)^k \binom{2n-k}{k} 2^{2n-2k}$$

□

### Problem 3

**Proposition 4.** *Let  $N$  be a set, then any  $k \subseteq N$  have bijection such that  $k \rightarrow \{0, 1\}^{|N|}$*

let define the following mapping

$$f : \left\{ \begin{array}{l} k \mapsto x \in N \mapsto \begin{cases} 0 & , \text{ if } x \notin N \\ 1 & , \text{ if } x \in N \end{cases} \end{array} \right. \quad f^{-1} \{ \{0, 1\}^N \mapsto \{x \in N \text{ s.t. } f(x) = 1\} \}$$

we can consider it as binary encode of the subset indicate 1 if the given integer in the subset and 0 otherwise

**claim.** *the number of subsets of size  $k$  of  $\{1, \dots, n\}$  which contain no pair of consecutive integers is given by  $\binom{n-k+1}{k}$*

*Proof.* using Proposition 4. subset  $k$  can represented as some binary string of length  $n$ , its yield that if in some string have two consecutive appearances 1 then this subset contain pair of consecutive integers. moreover we can notice that if  $n < 2k - 1$  then its can not contain pair of consecutive integers.

For given  $k$  let  $f(k)$  define the bijection of subset  $k$  for some  $n \geq 2k - 1$ . if we assume its not have any consecutive numbers, then its have  $k$  1's and

$n - k$  0's. since we know  $k - 1$  from the 0's must be followed by the first  $k - 1$  of 1's. hence the following problem becomes, how many ways could we distribute the remaining element i.e

$$n - (\underbrace{k}_{k \times 1's} + \underbrace{(k-1)}_{(k-1) \times 0's}) = n - 2k + 1$$

it is  $n - 2k + 1$  number of 0's in the  $k + 1$  optimal positions and. that is "Stars and bars"<sup>2</sup> problem :

$$\binom{n - 2k + 1 - 1}{k + 1 - 1} = \binom{n - 2k}{k}$$

□

## Problem 4

**Lemma 4.1.**

$$1 \geq m - \binom{m}{2} \quad m \geq 1, m \in \mathbb{N}$$

*Proof.*

$$\begin{aligned} 1 \geq m - \binom{m}{2} &\Leftrightarrow 1 \geq m - \frac{m^2 - m}{2} \\ m^2 - 3m + 2 &\geq 0 \Leftrightarrow (m - 1)(m - 2) \geq 0 \end{aligned}$$

And the right hand side greater than zero for any  $m \geq 2$

□

**Lemma 4.2.**

$$1 \leq m - \binom{m}{2} + \binom{m}{3} \quad m \geq 1, m \in \mathbb{N}$$

*Proof.*

$$\begin{aligned} 1 \leq m - \binom{m}{2} + \binom{m}{3} &\Leftrightarrow 1 \leq m - \frac{m^2 - m}{2} + \frac{m^3 - 3m^2 + 2m}{6} \\ &\Leftrightarrow 0 \leq m^3 - 6m^2 + 11m - 6 \\ &\Leftrightarrow 0 \leq (m - 3)(m - 2)(m - 1) \end{aligned}$$

<sup>2</sup>Not sure if saw in class - "Stars and Bars from Wikipedia"

The right hand size grater then zero for any  $m \geq 3$ , and equal 0 for  $m \in \{1, 2\}$  since  $m$  is an integer.  $\square$

Let  $A_1, A_2 \dots A_n$  be a family of  $n$  sets.

**claim 4.3.**

$$\left| \bigcup_{i=1}^n A_i \right| \geq \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i \leq j \leq n} |A_i \cap A_j|$$

*Proof.* to prove the following claim I will use "Donation to the Argument"<sup>3</sup> method. let assume that exists some  $a \in A_i$ . this  $a$  adding at most 1 to the left hand side. now consider  $a$  is part of some other  $m \geq 1$  sets, then at the right hand side its count  $\binom{m}{1}$  times at the first argument, and  $\binom{m}{2}$  in the second. Hence using Lemma 4.2 the inequality hold for any  $a \in A$ . and that lead to finish the proof  $\square$

**claim 4.4.**

$$\left| \bigcup_{i=1}^n A_i \right| \leq \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i \leq j \leq n} |A_i \cap A_j| + \sum_{1 \leq i \leq j \leq k \leq n} |A_i \cap A_j \cap A_k|$$

*Proof.* using same idea described above, let  $a \in A_i$  then  $a$  count once on the left hand-side. At the right hand-side  $a$  count  $\binom{m}{1}$  on the 1<sup>st</sup> term.  $\binom{m}{2}$  on the 2<sup>nd</sup> and  $\binom{m}{3}$  at the 3<sup>rd</sup> term. Hence using Lemma 4.2 the inequality hold for any  $a \in A$ . and that lead to finish the proof.  $\square$

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<sup>3</sup>To be honest I am not really sure what the name of this technique, Its kind of similar to "Counting derangements" I think