### **Updates!**

- Office hours are now: Wednesday, 4:15-5:15 pm, BA3219
- Assignment 1 has been posted to <a href="http://www.teach.cs.toronto.edu/~csc384h/winter/">http://www.teach.cs.toronto.edu/~csc384h/winter/</a>
  - In particular, the written component has been posted.

#### A1 Help Sessions:

- Friday, January 26, 11:00 am, Pratt 378 (6 King's College Road).
- Tuesday, January 30 11:00 am, Location TBD.
- Thursday, February 1, 4:00 pm, Location TBD.
- Friday, February 2, 11:00 am, Location TBD.
- The A1 TA, Andrew Perrault, is also available via Piazza for questions concerning the assignment. If you have a question of a personal nature, please email Andrew at t1perrau teach dot cs dot utoronto dot ca or an instructor; place A1 and 384 in the subject header of your message.

### **Quick Review**

Consider a search algorithm where the evaluation function is

$$f(n) = (2 - w)*g(n) + w*h(n)$$

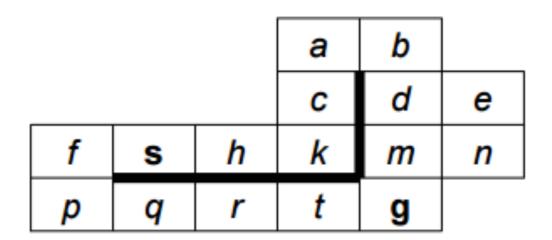
What kind of search does this perform when w = 0?

When w = 1?

When w = 2?

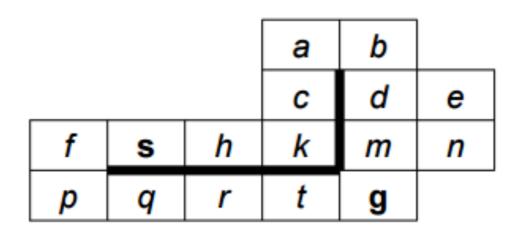
For what values of w is this algorithm guaranteed to be optimal given an admissible heuristic (i.e. h(n))?

### **Quick Review**



Assume you run depth-first-search until it expands the goal node. Assume that you always try to expand East first, then South, then West, then North. Assume your version of depth first search avoids loops: it never expands a state on the current path. What is the order of state expansion?

### **Quick Review**



Next, you decide to use a Manhattan Distance Metric heuristic function h(state) = shortest number of steps from state to g if there were no barriers So, for example, h(k) = 2, h(s) = 4, h(g) = 0

Greedy best-first search: order of states expanded?

A\* heuristic search: order of states expanded?

NB: there may be more than I correct answer depending on how you break ties.

#### **Game Tree Search**

- Chapter 5.1, 5.2, 5.3, 5.6 cover some of the material we cover here.
   Section 5.6 has an interesting overview of State-of-the-Art game playing programs.
- Section 5.5 extends the ideas to games with uncertainty (We won't cover that material but it makes for interesting reading).

Acknowledgements: Thanks to Craig Boutilier, Andrew Moore, Faheim Bacchus, Hojjat Ghaderi, Dan Klein, Pieter Abbeel, Rich Zemel, Sheila McIlraith, Russel and Norvig and an increasingly long list of others, from whom these slides have been adapted.

### **Generalizing Our Search Problems**

So far our search problems have assumed we have complete control of environment

- State does not change unless our program changes it.
- All we need to compute is a path to a goal state.

This assumption not always reasonable

- Environments may be stochastic (e.g., the weather, traffic accidents).
- There may be others whose interests conflict with yours
- Searches we have covered thus far may find a path to a goal, but this
  path may not hold in situations where other intelligent agents are
  changing states in response to your actions.

### **Generalizing Our Search Problems**

- We need to generalize our view of search to handle state changes that are not in the control of the player (or agent).
- One generalization (today's topic) yields game tree search
  - A game tree can account for actions of more than one payer or agent.
  - Agents are all acting to maximize their profits
  - Others' profits might not have a positive effect on your profits.

### What are Key Features of a Game?

#### Players have their own interests

- e.g., each player (or agent) has a different goal; or assigns different costs to different paths/states
- Each player (or agent) tries to alter the world so as to best benefit itself.

#### Games are hard because:

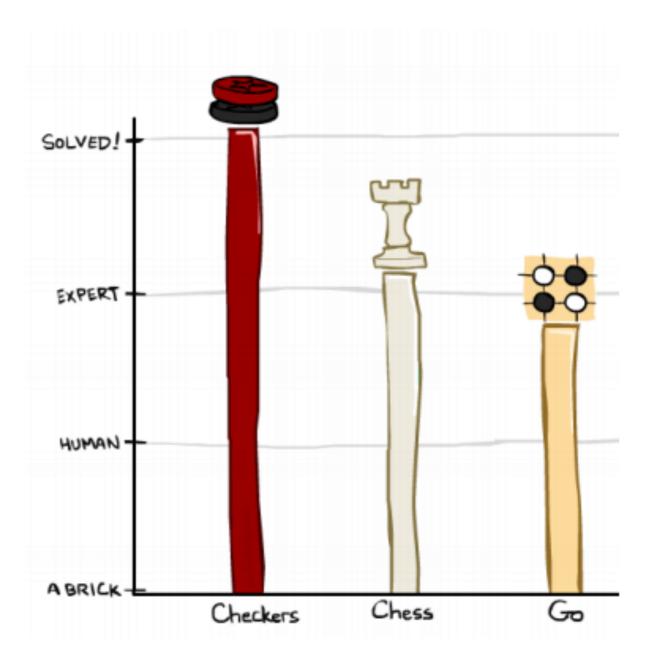
- How you should play depends on how you think the other person will play; but how they play depends on how they think you will play; so how you should play depends on how you think they think you will play; but how they play should depend on how they think you think they think you will play; ...

### **Game Playing State-of-the-Art**

**Checkers:** 1950: First computer player. 1994: First computer champion: Chinook ended 40-year-reign of human champion Marion Tinsley using complete 8-piece endgame. 2007: Checkers solved!

Chess: 1997: Deep Blue defeats human champion Gary Kasparov in a six-game match. Deep Blue examined 200M positions per second, used very sophisticated evaluation and undisclosed methods for extending some lines of search up to 40 ply. Current programs are even better, if less historic.

**Go:** Best program AlphaGo has beaten best Go players. In Go, b > 300! Classic programs use pattern knowledge bases, but AlphaGo uses Monte Carlo (randomized) tree search methods, along with Neural Nets to compute heuristic



(slide from Klein and Abbel)

#### **Game Properties**

#### Two-player:

i.e., games that are not for three, not for one, not for six or eight.
 For two. Only two.

#### Discrete:

Games states or decisions can be mapped on discrete values.

#### Finite:

• There are only a finite number of states and possible decisions that can be made.

### **Game Properties**

#### Zero-sum: Fully competitive

- Fully competitive: if one player wins, the other loses an equal amount;
   e.g. Poker you win what the other player lose
- •Note that some games don't have this property: outcomes may be preferred by both players, or at least values of states aren't diametrically opposed

Deterministic: no chance involved

•no dice, or random deals of cards, or coin flips, etc.

Perfect information: all aspects of the state are fully observable

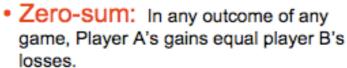
•e.g., no hidden cards

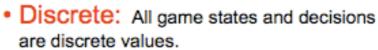
Which of these are: 2-player zero-sum discrete finite deterministic games of perfect information

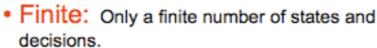




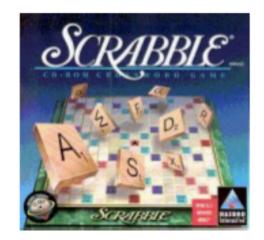


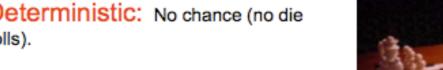






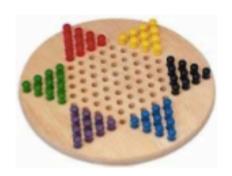








 Perfect information: Both players can see the state, and each decision is made sequentially (no simultaneous moves).





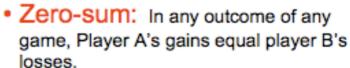


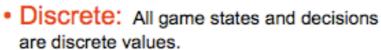
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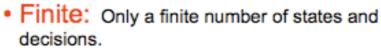


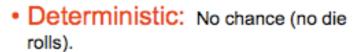












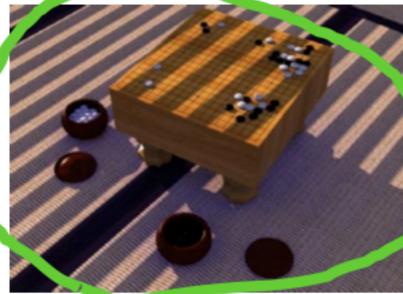


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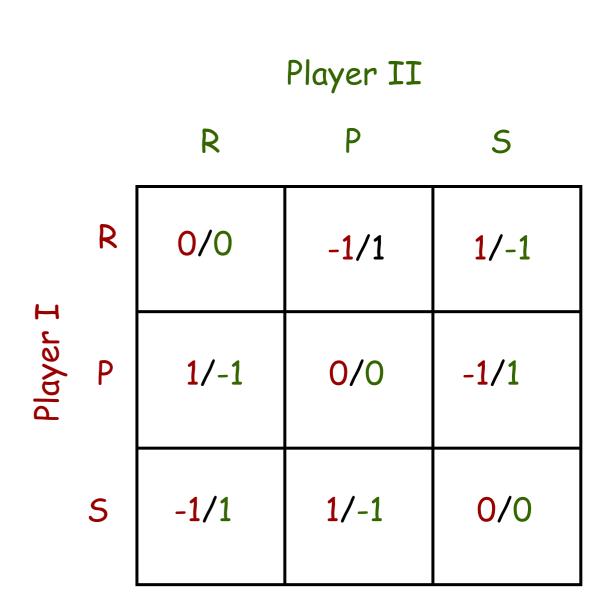






### Game Example: Rock, Paper, Scissors

- Scissors cut paper, paper covers rock, rock smashes scissors
- Represented as a matrix: Player I chooses a row, Player II chooses a column
- Payoff to each player in each cell (Pl.I / Pl.II)
- 1: win, 0: tie, -1: loss so it's zero-sum



### **Extensive Form Two-Player Zero-Sum Games**

- Key point of R,P,S: what you should do depends on what other player does
- But R,P,S is a simple "one shot" game
  - single move each
  - in game theory: a strategic or normal form game
- Many games extend over multiple moves
  - turn-taking: players act alternatively
  - e.g., chess, checkers, etc.
  - in game theory: extensive form games
- We will focus on the extensive form
  - that's where the computational questions emerge

### **Two-Player Zero-Sum Game – Definition**

- Two players A (Max) and B (Min)
- Set of states S (a finite set of states of the game)
- An *initial state* I  $\epsilon$  S (where game begins)
- Terminal positions T ⊆ P (Terminal states of the game: states where the game is over)
- Successors (or Succs a function that takes a state as input and returns a set of possible next states to whomever is due to move)
- *Utility* or *payoff function*  $V: T \to \mathbb{R}$  (a mapping from terminal states to real numbers that show good is each terminal state for player A and bad for player B.)
  - Why don't we need a utility function for player B?



### **Two-Player Zero-Sum Game – Intuition**

Players alternate moves (starting with A, or Max)

Game ends when some terminal t ∈ T is reached

A game state: a state-player pair

- Tells us what state we're in and whose move it is
- Utility function and terminals replace goals
  - A, or Max, wants to maximize the terminal payoff
  - B, or Min, wants to minimize the terminal payoff

#### Think of it as:

- A, or Max, gets V(t) and B, or Min, gets –V(t) for terminal node t
- This is why it's called zero (or constant) sum

#### **Game Tree**

#### Game tree looks like a search tree

- Layers reflect alternating moves between A and B
- The search tree in game playing is a sub-tree of the game tree

#### Player A doesn't decide where to go alone

 After A moves to a state, B decides which of the states children to move to

#### Thus A must have a *strategy*

- Must know what to do for each possible move of B
- One sequence of moves will not suffice: "What to do" will depend on how B will play

# Nim: informal description

- 1. We begin with a number of piles of matches.
- 2. In one's turn one may remove any number of matches from one pile.
- The last person to remove a match loses.

In *II-Nim*, one begins with two piles, each with two matches...

# Nim: informal description

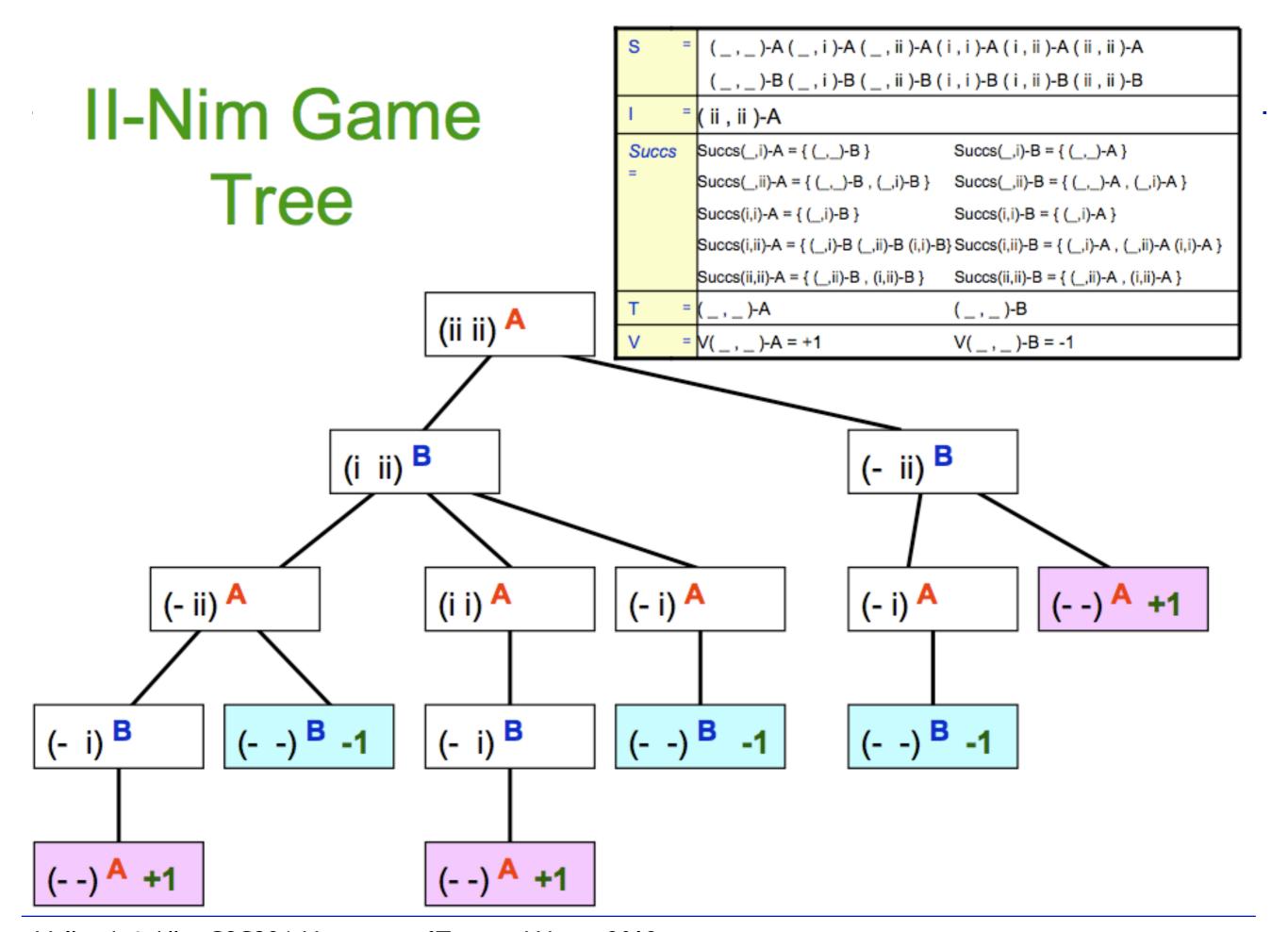
- A common trick: By symmetry, some of the states are trivially equivalent (e.g. (\_,ii)-A and (ii,\_\_)-A). Make them one state by some canonical description (e.g. left pile never

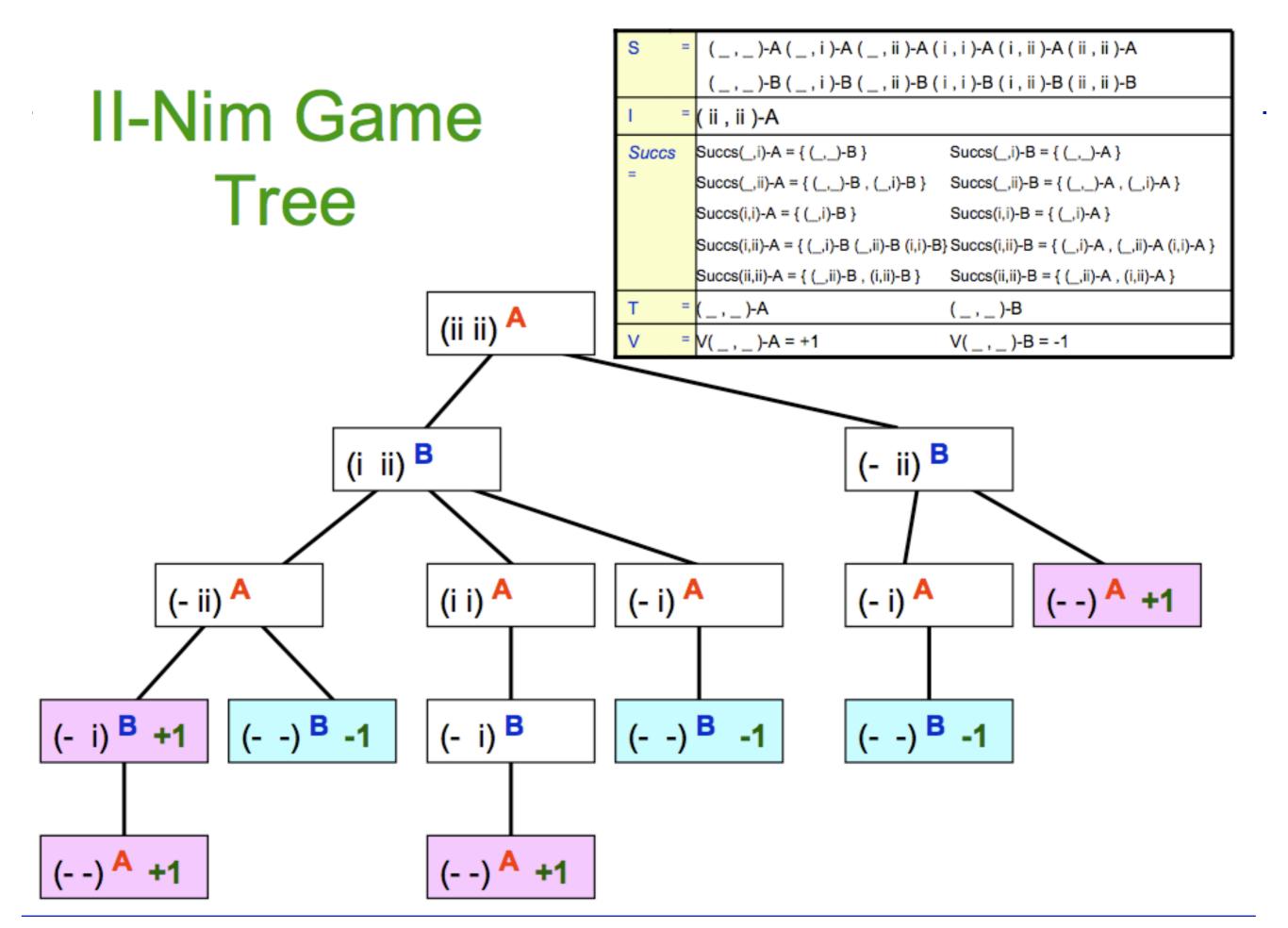
\_\_\_\_\_ matches, each with two piles...

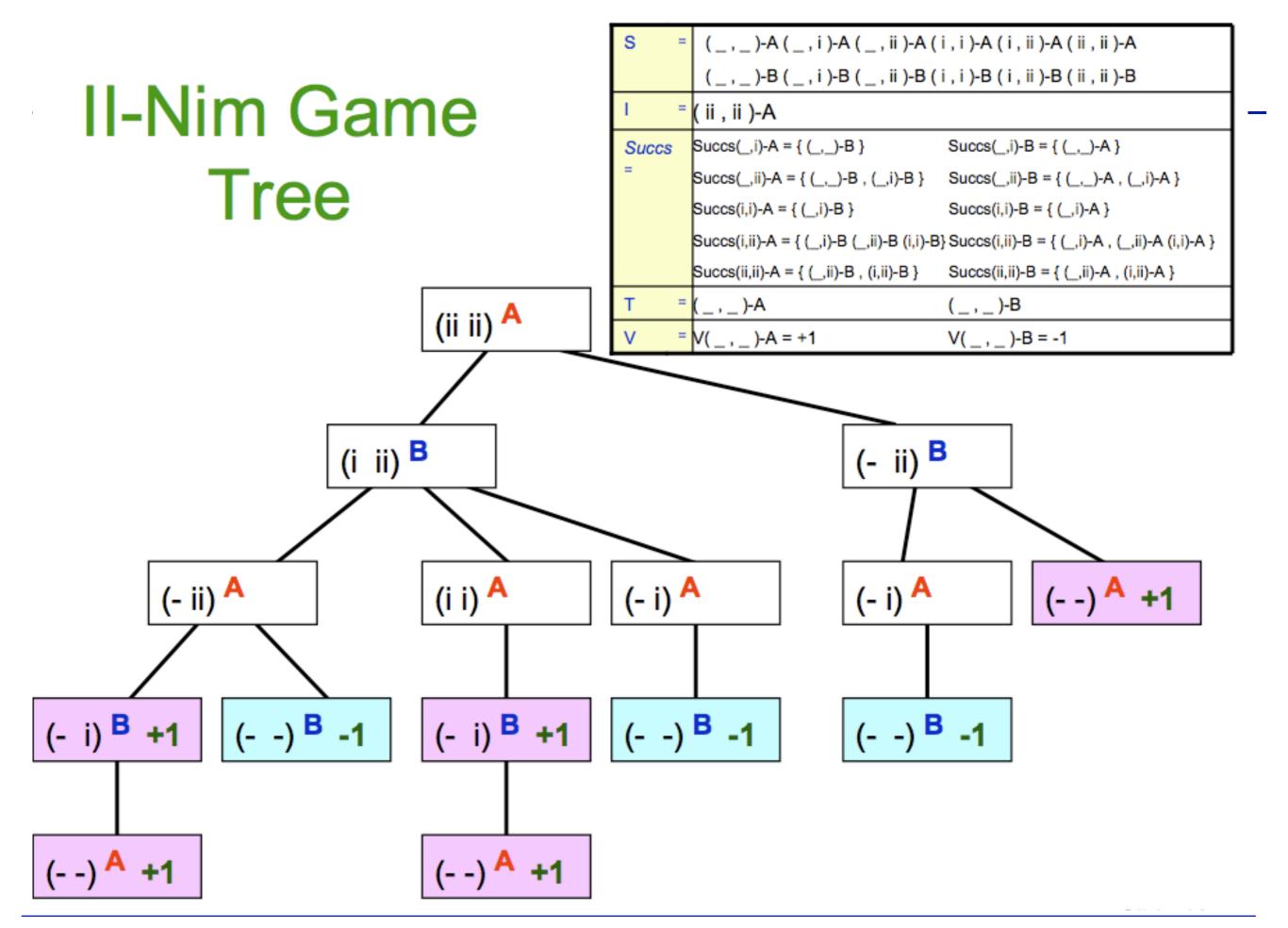
larger than right). (\_,\_)-A (\_,i)-A (\_,ii)-A (i,i)-A (i,ii)-A ( ii , ii )-A (\_,\_)-B (\_,i)-B (\_,ii)-B (i,i)-B (i,ii)-B ( ii , ii )-B

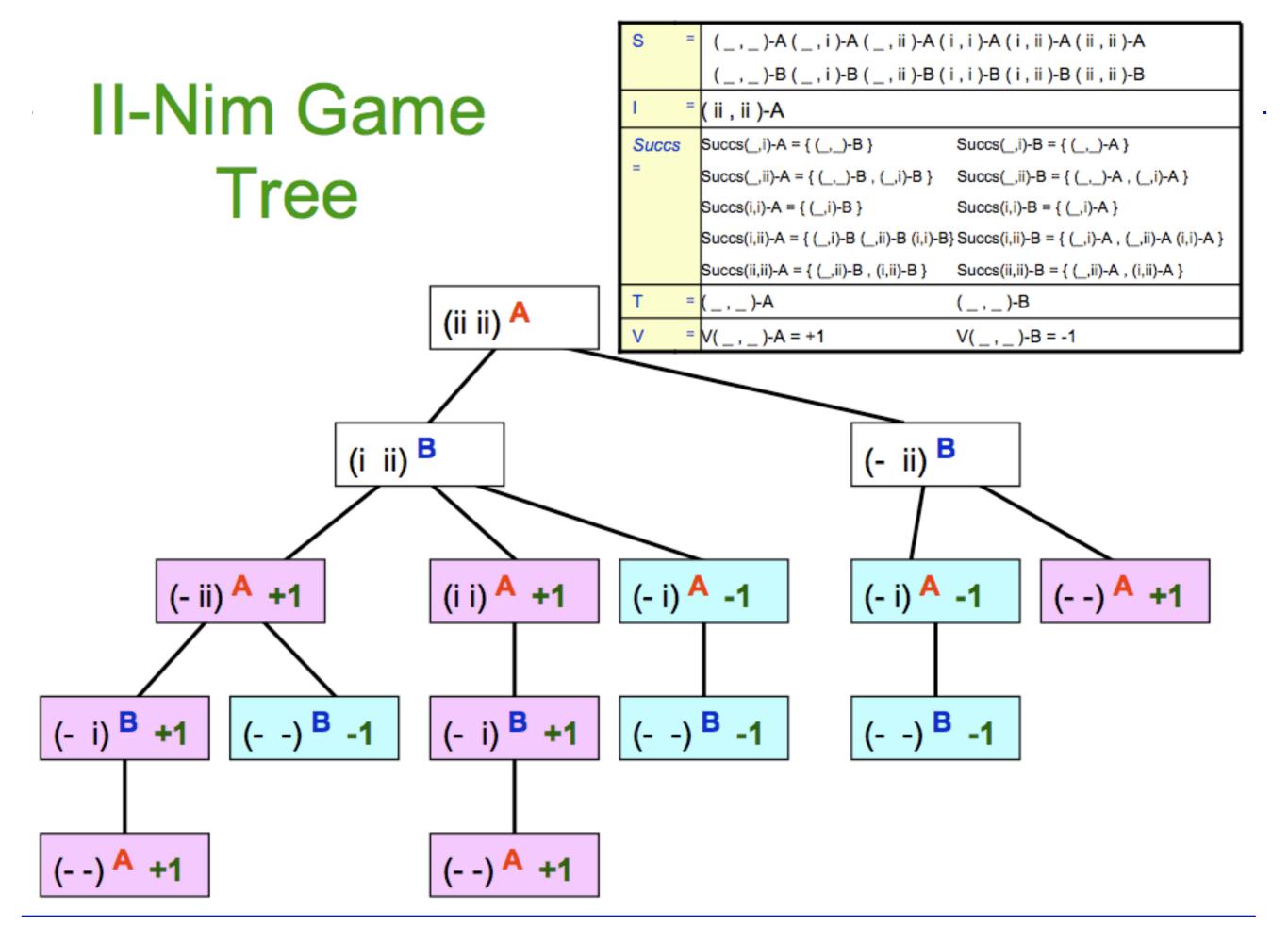
## II-Nim

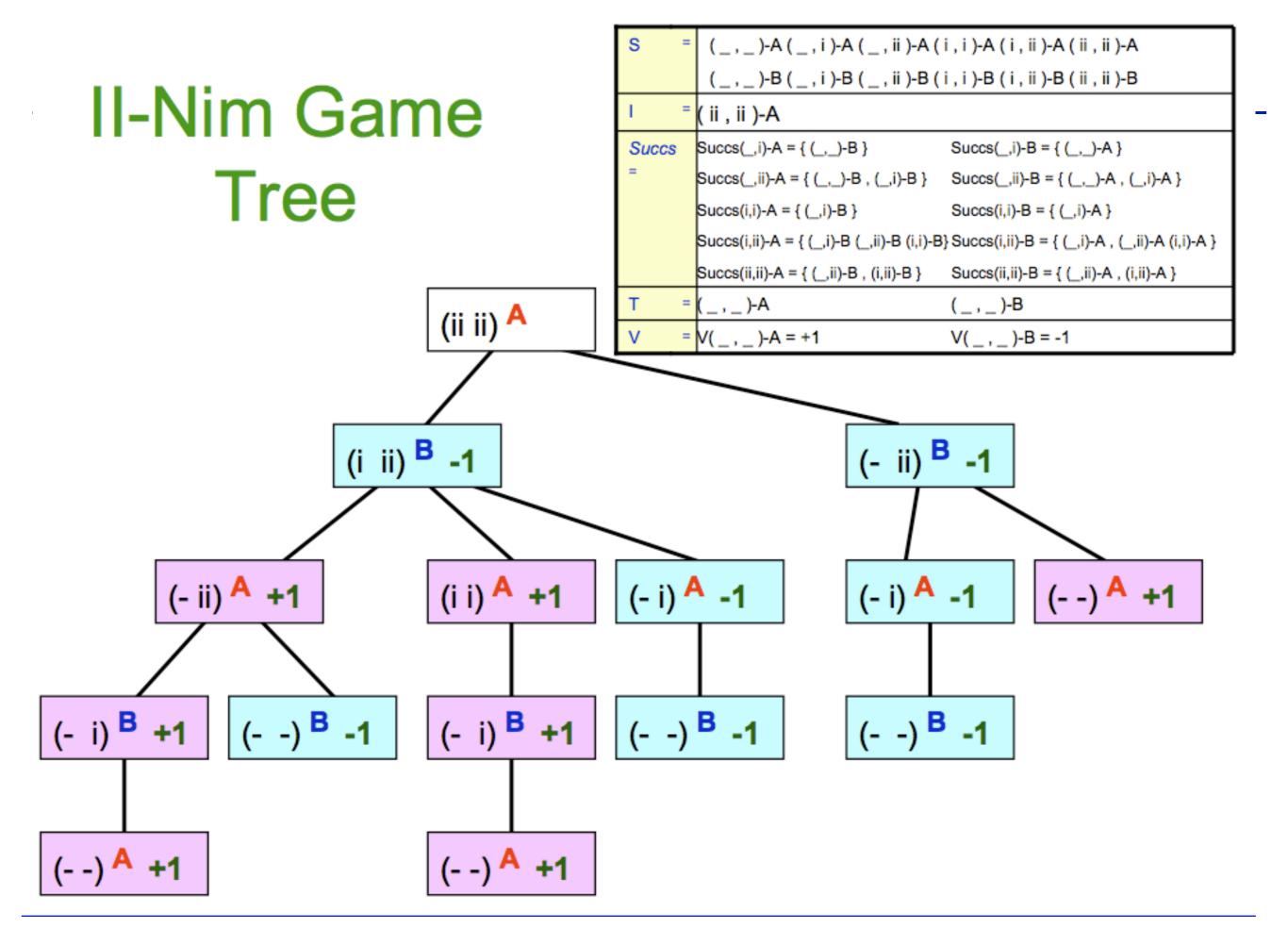
S	Ш	a finite set of states (note: state includes information sufficient to deduce who is due to move)	(_,_)-A(_,i)-A(_,ii)-A(i,i)-A(i,ii)-A(ii,ii)-A (_,_)-B(_,i)-B(_,ii)-B(i,i)-B(i,ii)-B(ii,ii)-B	
1	=	the initial state	( ii , ii )-A	
Succs	=	state as input and returns a set of possible next states available to whoever is due to move	Succs(_,i)-A = { (_,_)-B } Succs(_,ii)-A = { (_,_)-B , (_,i)-B } Succs(i,i)-A = { (_,i)-B } Succs(i,ii)-A = { (_,i)-B (_,ii)-B (i,i)-B} Succs(ii,ii)-A = { (_,ii)-B , (i,ii)-B }	Succs(_,i)-B = { (_,_)-A } Succs(_,ii)-B = { (_,_)-A , (_,i)-A } Succs(i,i)-B = { (_,i)-A } Succs(i,ii)-B = { (_,i)-A , (_,ii)-A (i,i)-A } Succs(ii,ii)-B = { (_,ii)-A , (i,ii)-A }
Т	=	a subset of S. It is the terminal states	( _ , _ )-A	( _ , _ )-B
V	=	Maps from terminal states to real numbers. It is the amount that A wins from B.	V( _ , _ )-A = +1	V( _ , _ )-B = -1

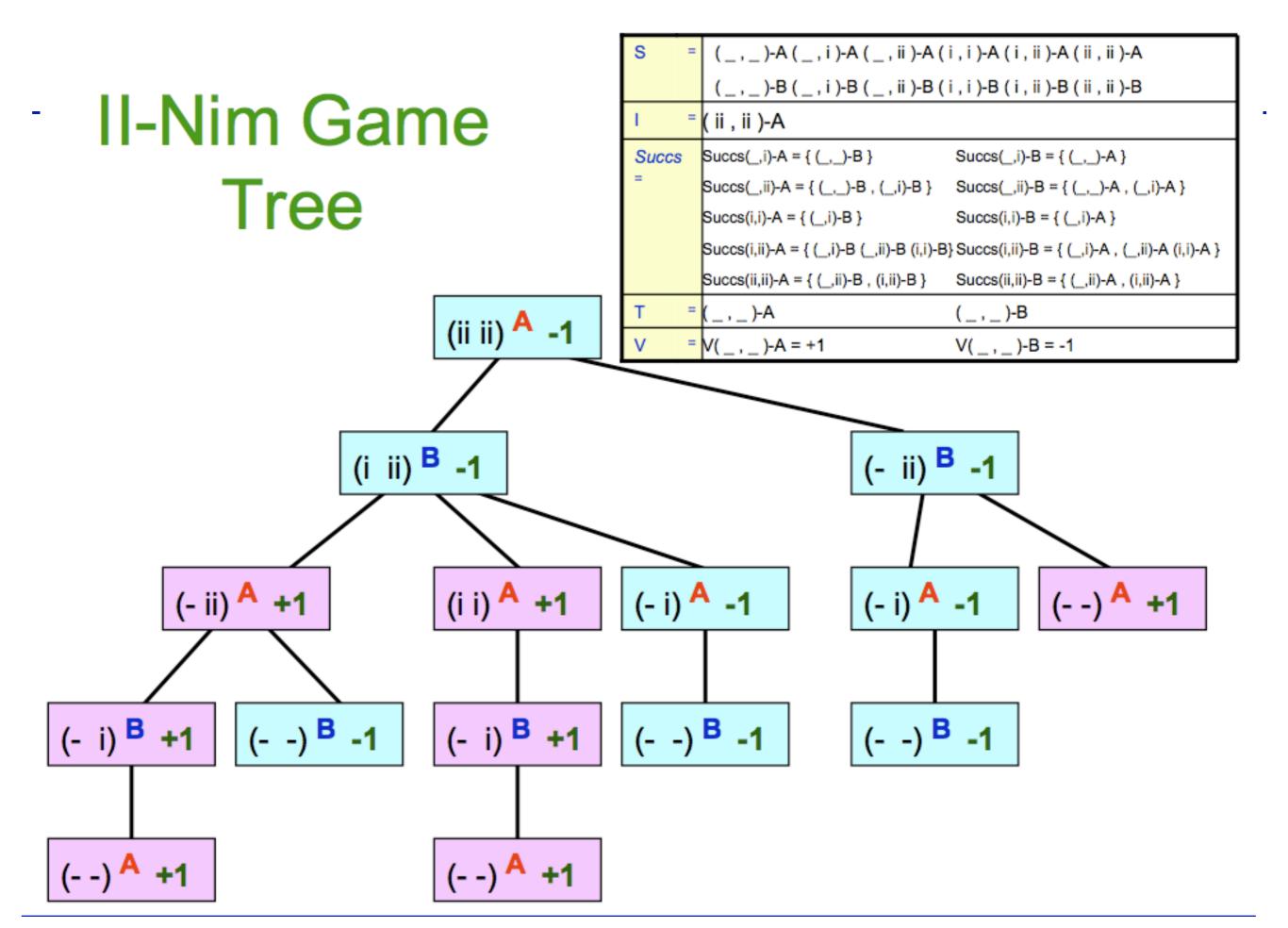












### The MiniMax Strategy

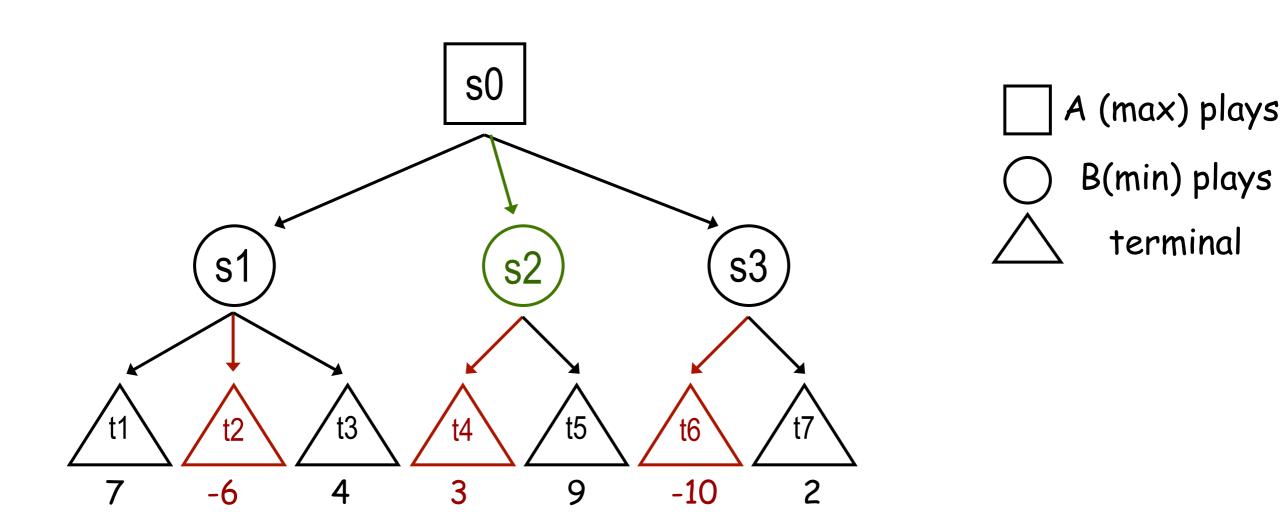
Assume that the other player will always play their best move

- you always play a move that will minimize the payoff that could be gained by the other player.
- My minimizing the other player's payoff, you maximize your own.

Note that if you know that Min will play poorly in some circumstances, there might be a better strategy than MiniMax (i.e., a strategy that gives you a better payoff).

In the absence of that knowledge, MiniMax "plays it safe"

### MiniMax Strategy payoffs



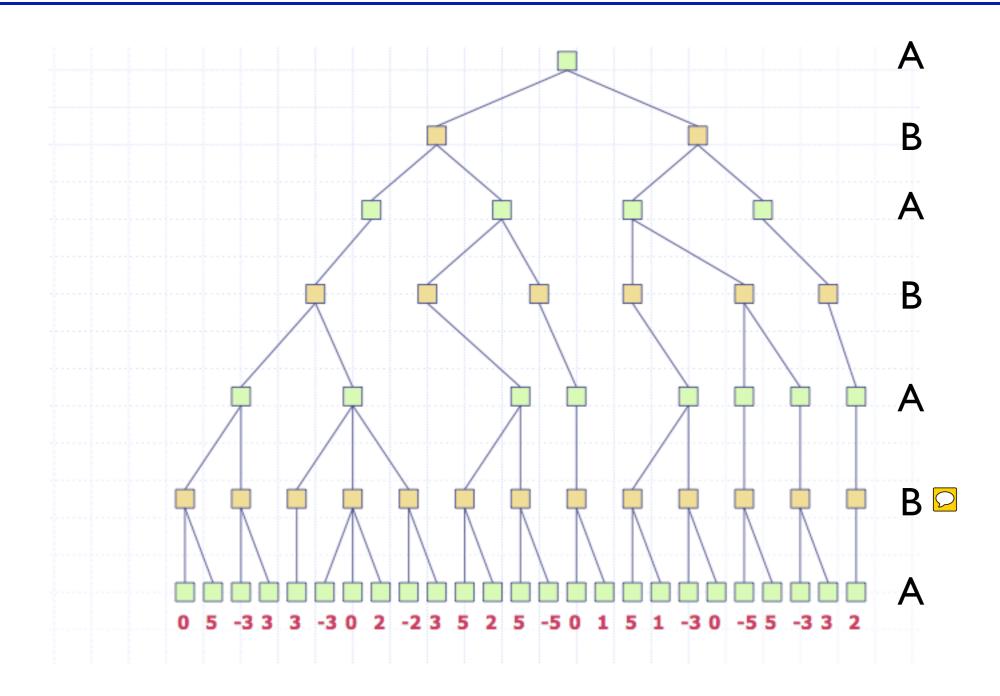
The terminal nodes have a utility value (V). We can compute a "utility" for the non-terminal states by assuming both players always play their best move.

### **MiniMax Strategy**

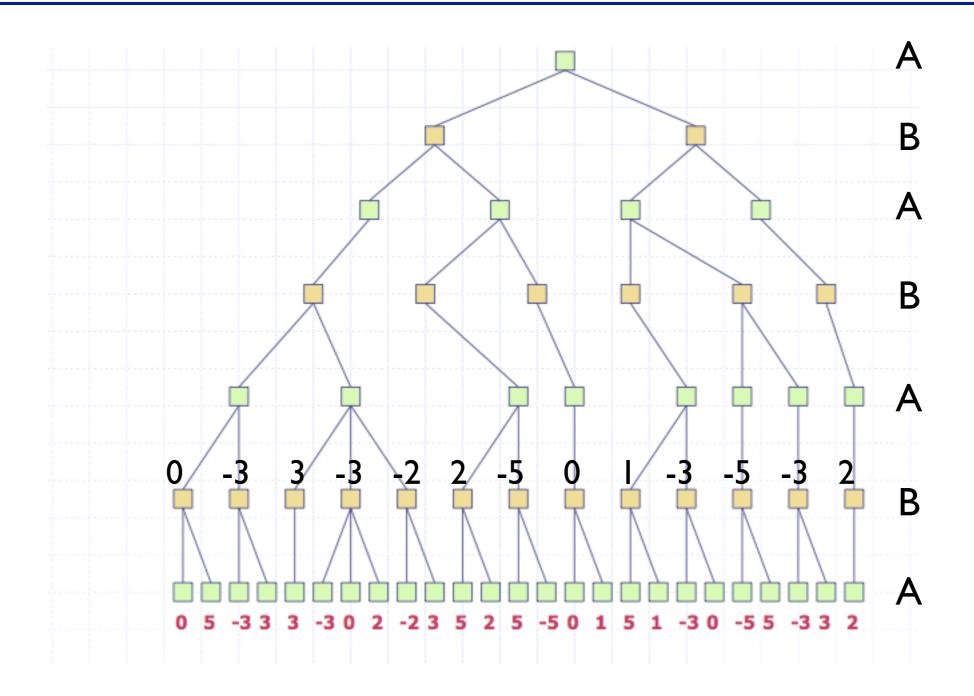
- Build full game tree (all leaves are terminals)
  - Root is start state, edges are possible moves, etc.
  - Label terminal nodes with utilities
- Back values up the tree
  - V(t) is defined for all terminals (part of input)
  - $V(n) = \min \{V(c) : c \text{ is a child of } n\} \text{ if } n \text{ is a Min node}$
  - $V(n) = \max \{V(c) : c \text{ is a child of } n\} \text{ if } n \text{ is a Max node}$

### **MiniMax Strategy**

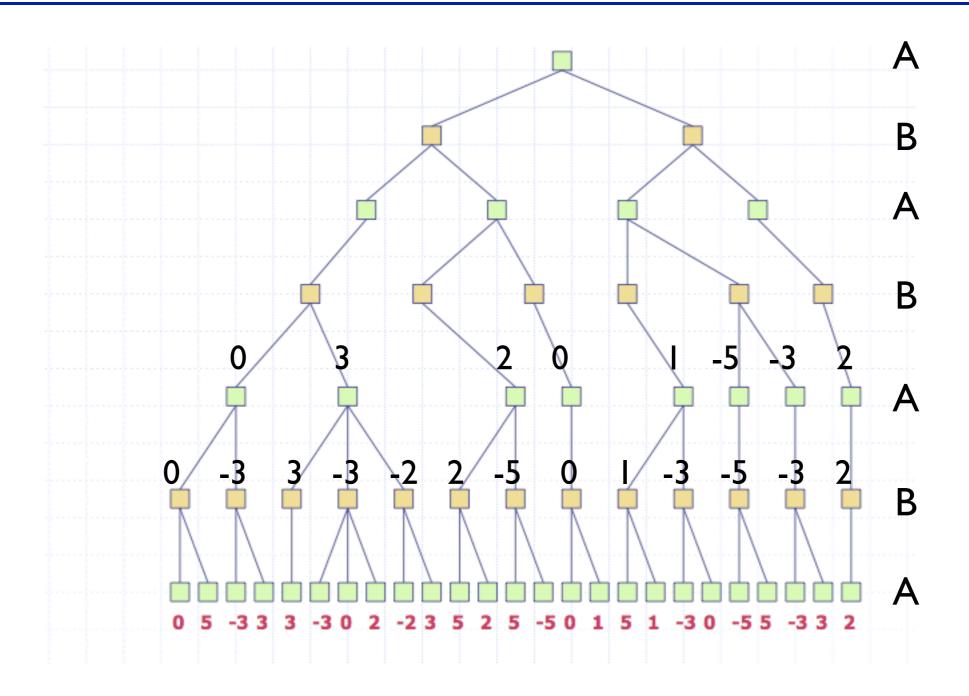
- The values labeling each state are the values that Max will achieve in that state if both Max and Min play their best moves.
  - Max plays a move to change the state to the highest valued min child.
  - Min plays a move to change the state to the lowest valued max child.
- If Min plays poorly, Max could do better, but never worse.
  - If Max, however knows that Min will play poorly, there might be a better strategy of play for Max than MiniMax.



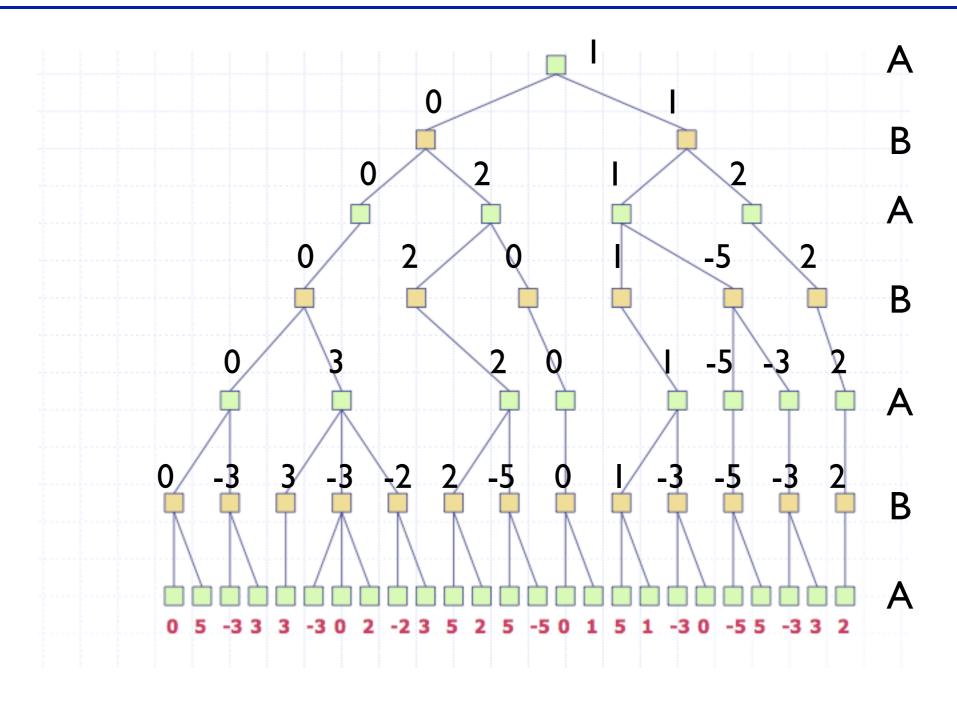
Let's practice by computing all the game theoretic values for nodes in this tree.



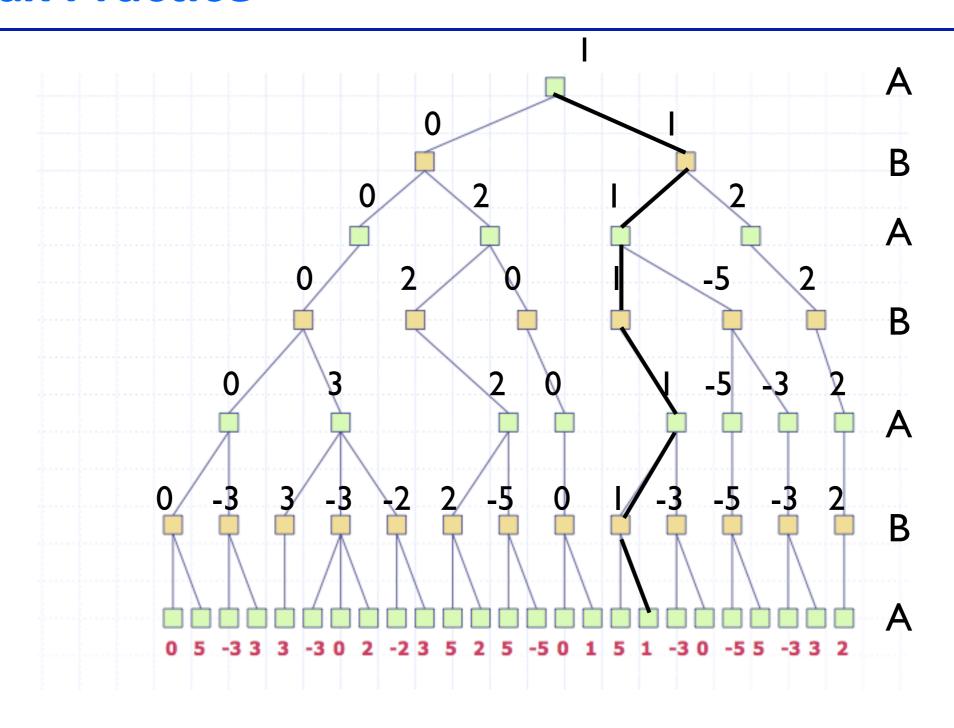
Let's practice by computing all the game theoretic values for nodes in this tree.



Let's practice by computing all the game theoretic values for nodes in this tree.



If both players play rationally, what path will be followed through this tree?



## **Depth-First Implementation of MiniMax**

- Building the entire game tree and backing up values gives each player their strategy.
- However, the game tree is exponential in size.
- Furthermore, as we will see later it is not necessary to know all of the tree.
- To solve these problems we find a depth-first implementation of minimax.
- We run the depth-first search after each move to compute what is the next move for the MAX player. (We could do the same for the MIN player).
- This avoids explicitly representing the exponentially sized game tree: we
  just compute each move as it is needed.

#### **Depth-First Implementation of MiniMax**

```
DFMiniMax(n, Player) //return Utility of state n given that
                      //Player is MIN or MAX
If n is TERMINAL
Return V(n) //Return terminal states utility
           //(V is specified as part of game)
//Apply Player's moves to get successor states.
ChildList = n.Successors(Player)
If Player == MIN
    return minimum of DFMiniMax(c, MAX) over c ∈ ChildList
Else //Player is MAX
    return maximum of DFMiniMax(c, MIN) over c ∈ ChildList
```

## **Depth-First Implementation of MiniMax**

- Notice that the game tree has to have finite depth for this to work
- Advantage of DF implementation: space efficient
- MiniMax will expand O(b<sup>d</sup>) states, which is both a BEST and WORST case scenario.
  - We must traverse the entire search tree to evaluate all options
  - We can't be lucky as in regular search and find a path to a goal before searching the entire tree.

## **Pruning**

# It is not necessary to examine entire tree to make correct MiniMax decision

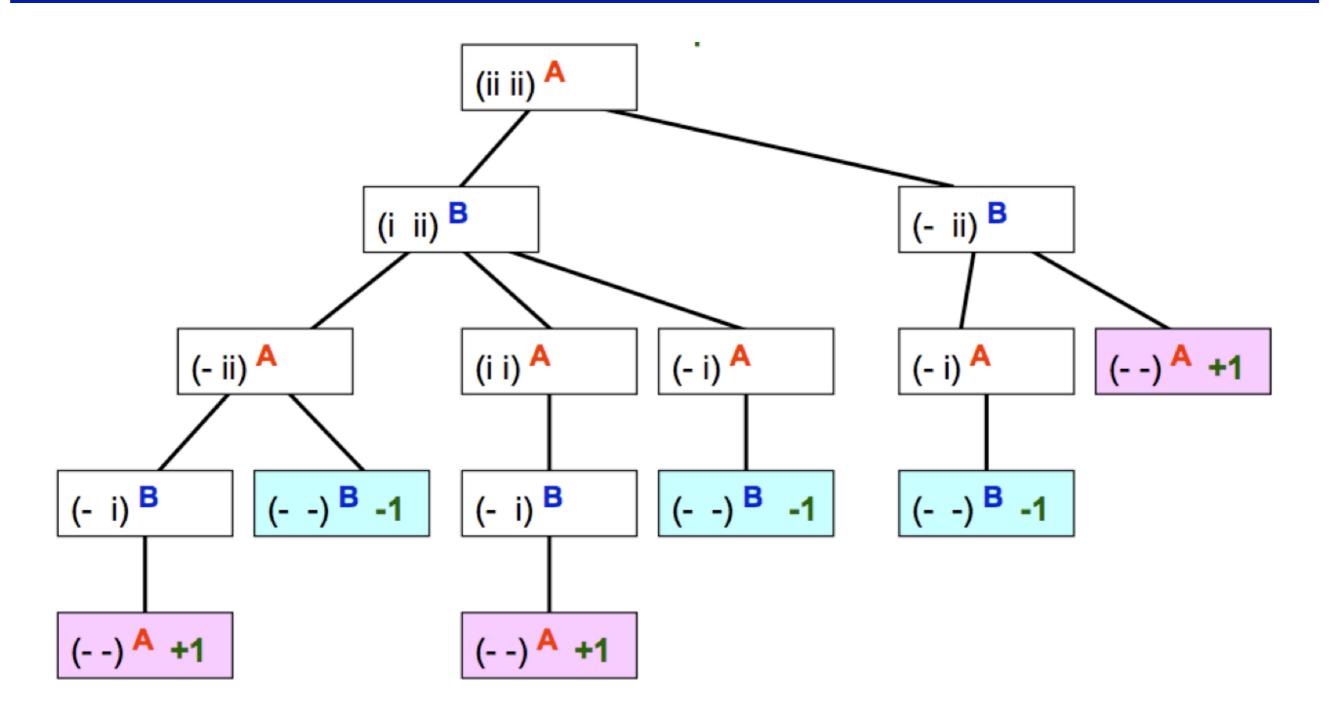
#### Assume depth-first generation of tree

- After generating value for only some of n's children we can prove that we'll never reach n in a MiniMax strategy.
- So we needn't generate or evaluate any further children of n!

#### Two types of pruning (cuts):

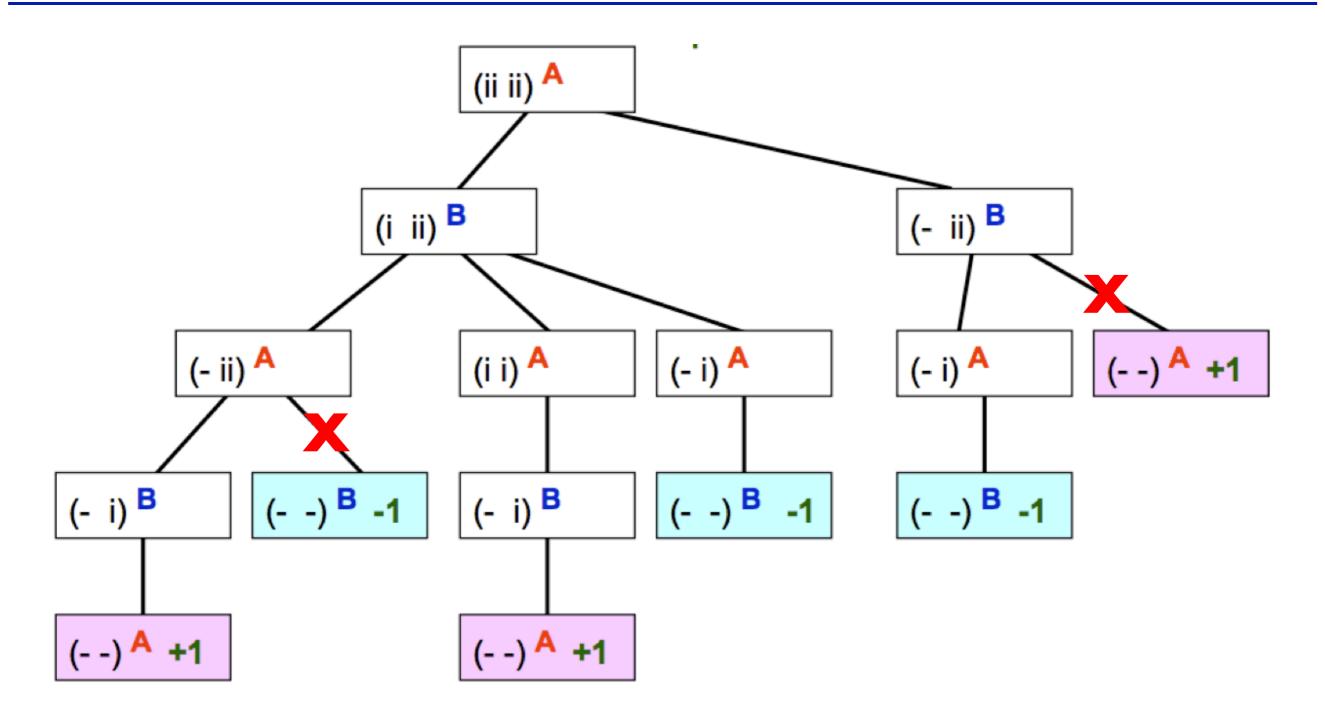
- pruning of max nodes ( $\alpha$ -cuts)
- pruning of min nodes (β -cuts)

#### **Pruning**



Assume the only values of terminals are -1 and 1 and we're running a DFS implementation of MiniMax. Where can we prune our tree?

#### **Pruning**

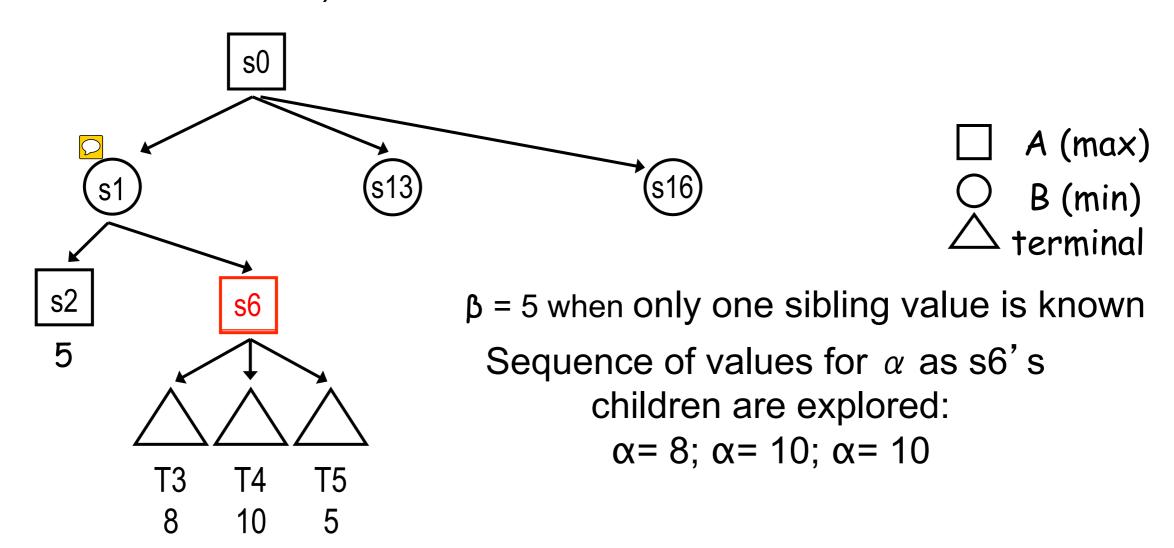


If any state is a forced win for a current player, don't bother evaluating additional successors. This can end up pruning a lot of your tree!

# **Cutting Max Nodes (Alpha Cuts)**

#### At a Max node *n*:

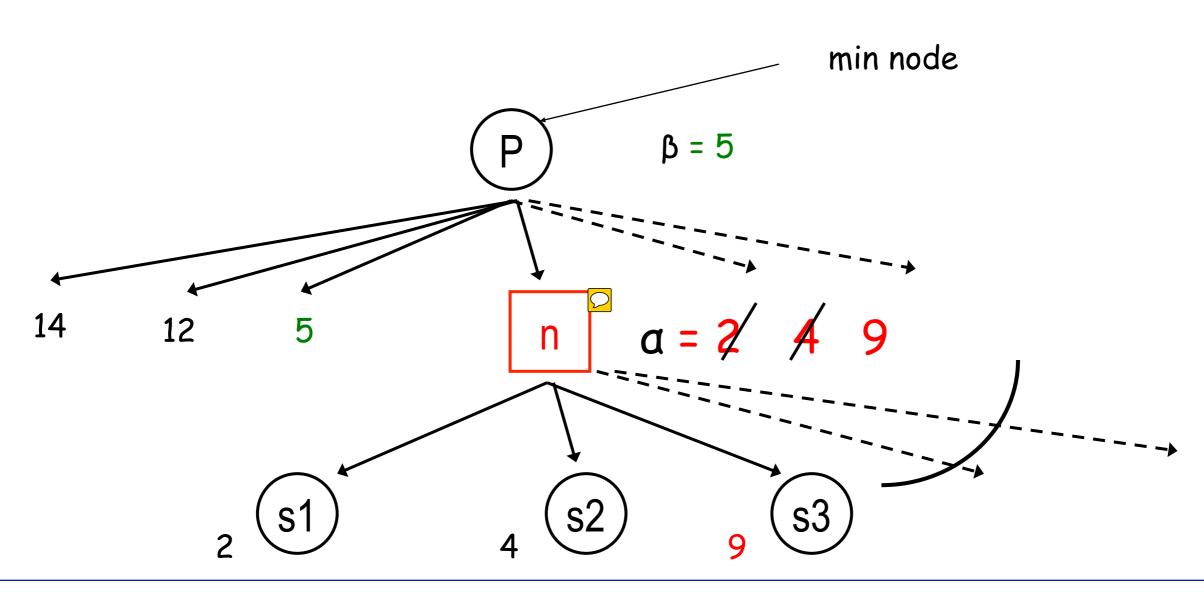
- Let  $\beta$  be the lowest value of n s siblings examined so far (siblings to the left of n that have already been searched)
- Let  $\alpha$  be the highest value of n' s children examined so far (changes as children examined)



# **Cutting Max Nodes (Alpha Cuts)**

While at a Max node n, if  $\alpha$  becomes  $\geq \beta$  we can stop expanding the children of n

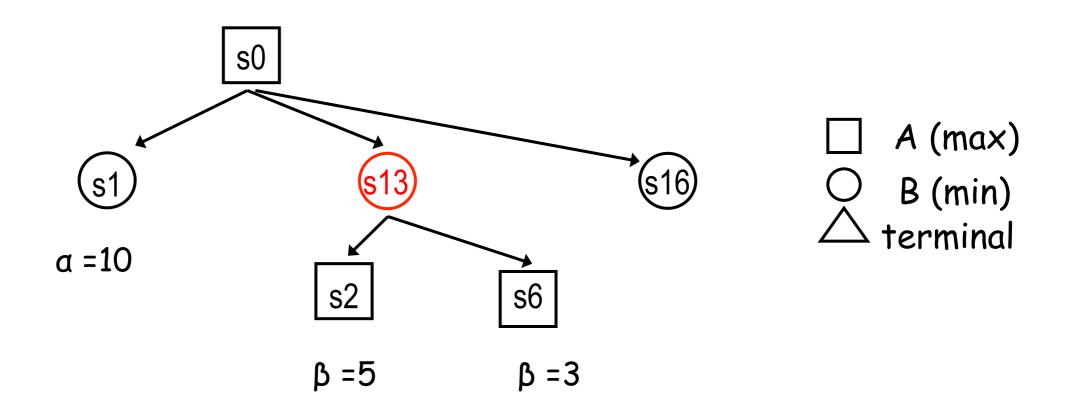
 Min will never choose to move from n's parent to n since it would choose one of n's lower valued siblings first.



# **Cutting Min Nodes (Beta Cuts)**

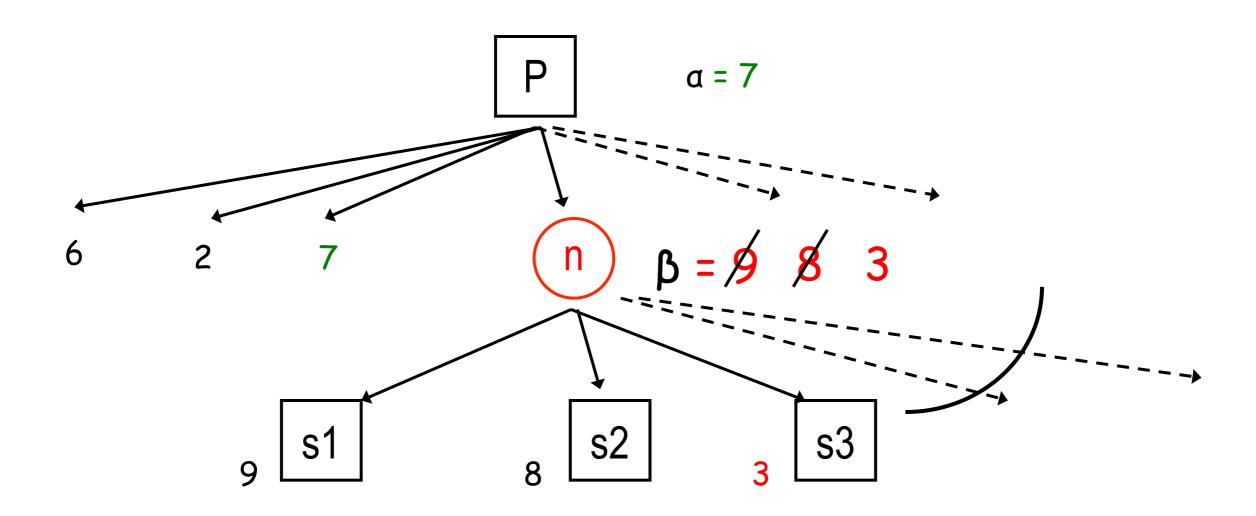
#### At a Min node *n*:

- Let α be the highest value of n's sibling's examined so far (fixed when evaluating n)
- Let β be the lowest value of n's children examined so far (changes as children examined)



# **Cutting Min Nodes (Beta Cuts)**

- If  $\beta$  becomes  $\leq \alpha$  we can stop expanding the children of n.
  - Max will never choose to move from n's parent to n since it would choose one of n's higher value siblings first.



#### **Implementing Alpha-Beta Pruning**

```
AlphaBeta(n, Player, alpha, beta) //return Utility of state
If n is TERMINAL
    return V(n) //Return terminal states utility
ChildList = n.Successors(Player)
If Player == MAX
 for c in ChildList
    alpha = max(alpha, AlphaBeta(c, MIN, alpha, beta))
    If beta <= alpha</pre>
         break
 return alpha
Else //Player == MIN
  for c in ChildList
    beta = min(beta, AlphaBeta(c, MAX, alpha, beta))
    If beta <= alpha</pre>
         break
 return beta
```

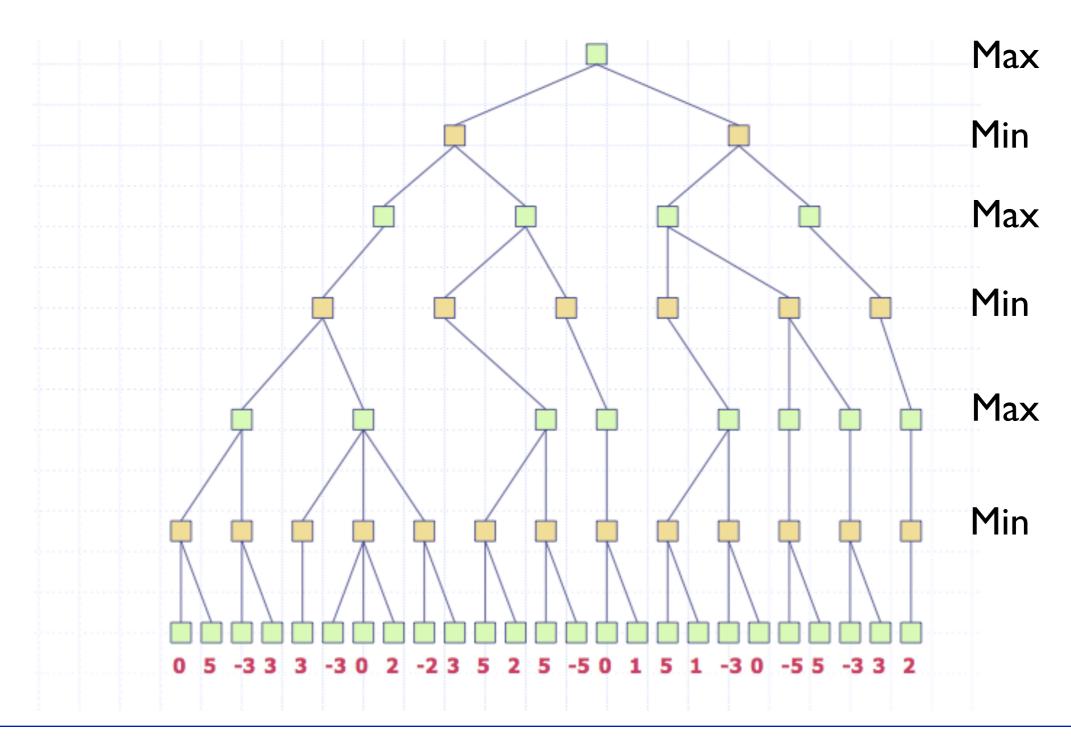
# **Implementing Alpha-Beta Pruning**

Initial call

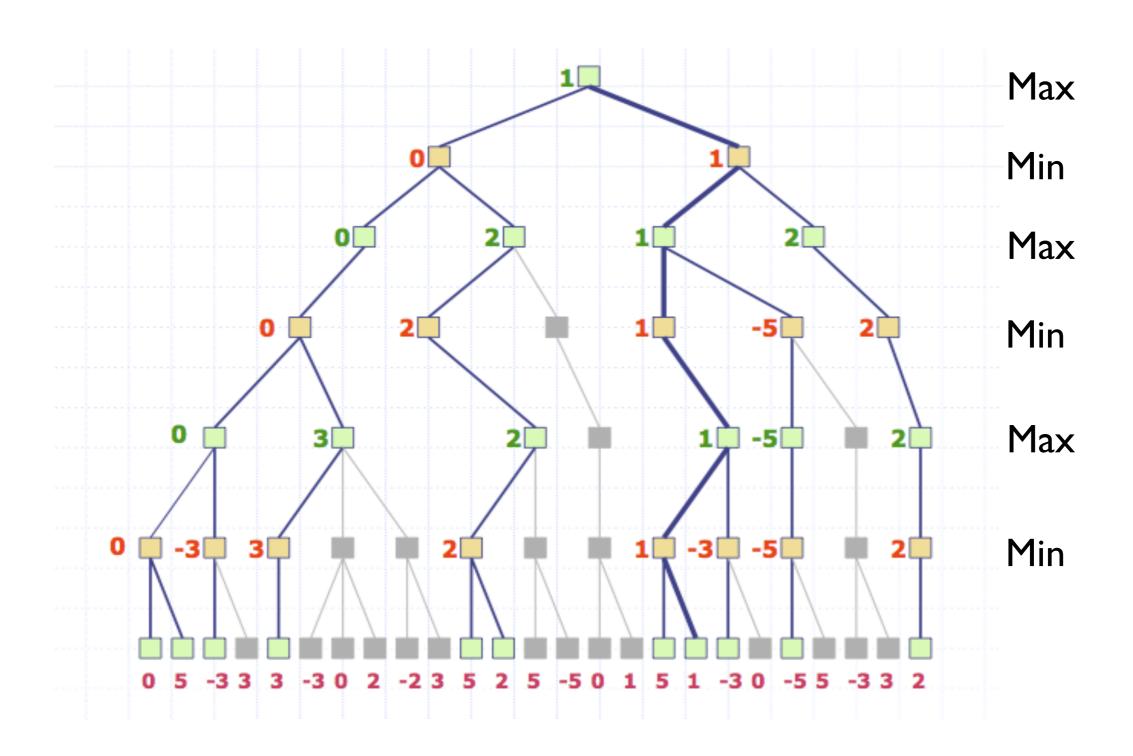
AlphaBeta(START\_NODE, Player, -infinity, +infinity)

# **Example**

Which computations can we avoid here, assuming we expand nodes left to right?



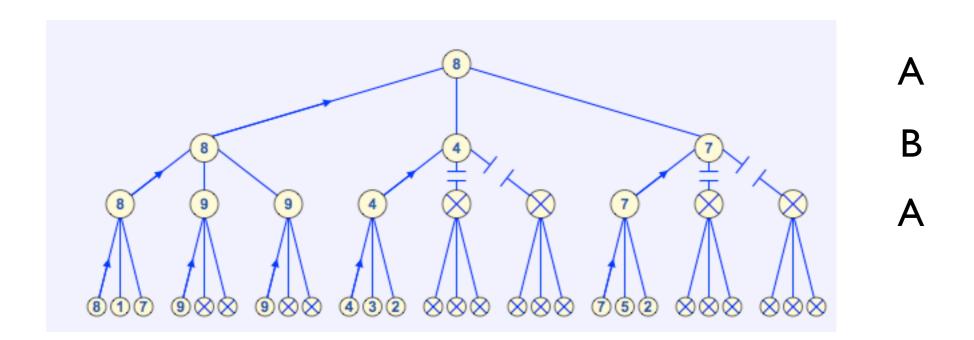
# **Example**



# **Ordering of moves**

- At each node prunes occur when alpha exceeds beta. Beta is updated an MIN nodes and alpha is updated at MAX nodes.
- For MIN nodes the most pruning occurs if the best move for MIN (child yielding lowest value) is explored first. (Triggers value <= alpha return early)
- For MAX nodes the most pruning occurs if the best move for MAX (child yielding highest value) is explored first. (Triggers value >= beta return early).
- We don't know which child has highest or lowest value without doing all of the work!
- But we can use heuristics to estimate the value, and then choose the child with highest (lowest) heuristic value.
- This can make a tremendous difference in practice.

# Effectiveness of alpha beta pruning



This is an example of the best case scenario for alpha beta pruning. The effective branching factor of the first layer is b. The effective branching of the second is 1. The effective layer of the third is b. And so on.

Complexity of the alpha beta search, in best case scenario?

# Effectiveness of alpha beta pruning

- With no pruning, you have to explore O(b<sup>d</sup>) nodes, which makes the run time of a search with pruning the same as plain MiniMax.
- If, however, the move ordering for the search is optimal (meaning the best moves are searched first), the number of nodes we need to search using alpha beta pruning O(bd/2). That means you can, in theory, search twice as deep!
- In Deep Blue, they found that alpha beta pruning meant the average branching factor at each node was about 6 instead of 35.

# **Rational Opponents**

Storing your strategy is a potential issue:

- you must store "decisions" for each node you can reach by playing optimally
- if your opponent has unique rational choices, this "decision" reflects a single branch through game tree
- if there are "ties", opponent could choose any one of the "tied" moves: which means you must store a strategy for each sub-tree
- What if your opponent doesn't play rationally? Will your stored strategies work?
- Alternative is to re-compute moves at each stage
- In general, space is an issue.

# **Expectimax Search**

If you don't know what kind of strategy your opponent uses, then Minimax might play it too safe.

- Ensures you do as well as possible given the worst case (very smart opponent)
- Might not lead to the best possible outcomes.

One important generalization is to consider probabilistic opponents, where your opponent chooses moves by chance.

• i.e., it may be more likely your opponent picks the best action, but occasionally it may picks the worst.

Probability is also useful when your opponent is "nature" or when there are chance moves in the game, like throwing of dice.

# **Expectimax Search**

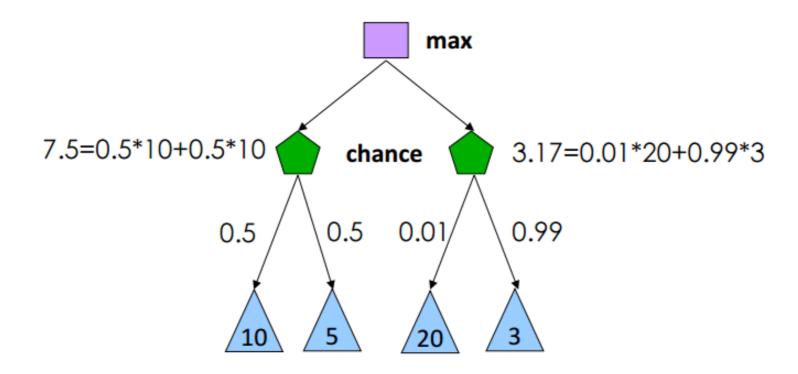
Expectimax search computes "average" values for nodes

MAX nodes are the same as in minimax search

Chance nodes are like MIN nodes but choice of move is uncertain

At chance nodes we calculate "expected value", which is a weighted "average"

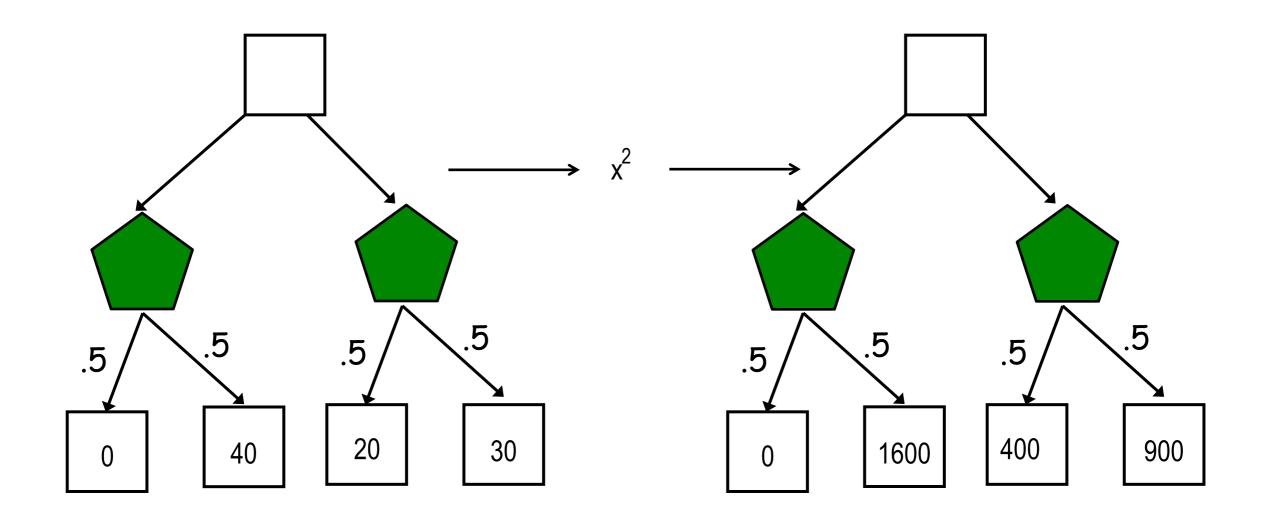
MAX will pick nodes that maximize "expected value".



MAX should pick the child with the greatest expected value.

Can we prune here?

# **Expectimax Utilities**



For Minimax, utilities assigned to terminals just have to get the relative order of values right. We can scale them any way we want.

For Expectimax, we need both order *and* magnitudes of terminal values must have meaning!

# **Expectimax Search**

```
Expectimax(pos): #Return best move for player(pos)
                #and MAX's value for pos.
  best move = None
  if terminal(pos):
    return best move, utility(pos)
  if player(pos) == MAX: value = -infinity
  if player(pos) == CHANCE: value = 0
  for move in actions (pos):
    nxt pos = result(pos, move)
    nxt val,nxt move = Expectimax (nxt pos)
    if player == MAX and value < nxt val:</pre>
      value, best move = nxt val, move
    if player == CHANCE:
      value = value + prob(move) * nxt val
  return best move, value
  #no best move for CHANCE player
```

#### **Practical Matters**

"Real" games are too large to enumerate tree

- e.g., chess branching factor is roughly 35
- Depth 10 tree: 2,700,000,000,000,000 nodes
- Even alpha-beta pruning won't help here!

#### We must limit depth of search tree

- Can't expand all the way to terminal nodes
- We must make heuristic estimates about the values of the (non-terminal) states at the leaves of the tree
- These heuristics are often called evaluation function
- evaluation functions are often learned

# **Examples of heuristic functions for games**

- Example for tic tac toe: h(n) = [# of 3 lengths that are left open for player A] - [# of 3 lengths that are left open for player B].
- Alan Turing's function for chess: h(n) = A(n)/B(n) where
   A(n) is the sum of the point value for player A's pieces and
   B(n) is the sum for player B.
- Most evaluation functions are specified as a weighted sum of features:  $h(n) = w_1*feature_1(n) + w_2*feature_2(n) + ...$   $w_i*feature_i(n)$ . Weights can be learned.
- Deep Blue used about 6000 features in its evaluation function.

# **Examples of heuristic functions for games**

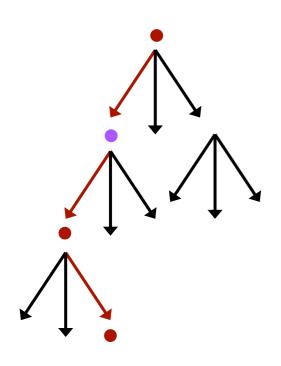
How might you estimate "goodness" in:

- Chess?
- Battlefield?
- Pacman?

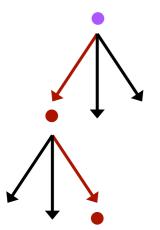
## **An Aside on Large Search Problems**

- Issue: inability to expand tree to terminal nodes is relevant even in standard search
  - Often we can't expect A\* to reach a goal by expanding full frontier
  - So we often limit our look-ahead, and make moves before we actually know the true path to the goal
  - Sometimes called online or real-time search
- In this case, we use the heuristic function not just to guide our search, but also to commit to moves we actually make
  - In general, guarantees of optimality are lost, but we reduce computational/memory expense dramatically

#### **Real-time Search**



- 1. Run A\* (or our favorite search algorithm) until we are forced to make a move or run out of memory. Note: no leaves are goals yet.
- 2. Use an evaluation function f(n) to decide which path looks best (let's say it is the red one).
  - 3. Take the first step along the best path (red), by actually making that move.



4. Restart search at the node we reach by making that move. (We may actually cache the results of the relevant part of first search tree if it's hanging around, as it would with A\*).

#### **Issues with A\* for Games**

- What if we stop our search at a level in the search tree where subsequent moves dramatically change our evaluation?
- What if our opponent pushes this level off of the search horizon?
- Often, it makes sense to make the depth we search to dynamically decided.