# The EE3 Smart House

Exploring the application of machine learning in the context of home automation

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#### **Executive Summary**

With modern societies continued demand for energy, dwindling natural resources and rising prices, technologies that can curb wasteful expenditure are becoming ever more relevant. In 2009, 28% of the total energy produced in the UK was consumed by households, 65.7% of which was derived from heating alone. Therefore there is a need for a product which can improve the efficiency of household central heating systems, and as a by product save people money. Our solution is an automated system which not only adjusts the home environment to suit the needs of the user, but also minimises wasteful energy consumption. With a unique machine learning approach, the system we propose in this report aims to revolutionise the way in which home environments are controlled.

The scope of existing home automation systems is varied, some providing only remote control while others just data processing. Autohome is a fully integrated system using a unique machine learning approach, that not only automates thermostat settings, but also provides user interaction and remote appliance control. As a result Autohome offers a more comprehensive service that any of its competitors, presenting its users with greater functionality and potential energy savings.

The Autohome solution can be broken down into two key layers; hardware and software. The hardware layer consists of a network of sensors situated in the users home that collects data on the internal conditions. The software layer sits on a server and processes the collected data to infer thermostat predictions. User interaction with the system is achieved through a mobile app and website. This allows the user to manually adjust the thermostat, remotely control appliances and view feedback statistics on their financial and energy expenditure. As a byproduct of making the user more aware of their energy usage, Autohome should encourage users to become more energy conscious.

Home automation is an emerging market which is expected to grow 16.1% year-on-year worldwide. However, for example, currently only 0.1% of UK and 5% of US homes have a home automation system. Therefore there is huge untapped potential in this market, with as yet no cemented market leader. Considering this, and also combining modern society's concerns over fossil fuel emissions with the current trend in smart interconnected devices, the conditions are ideal for Autohome to enter the market.

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# Introduction

# Problem Identification and Market Feasibility

## 1.1 Problem Identification

Modern society's continued and growing need for energy, combined with dwindling fossil fuel reserves and environmental concerns around CO2 emissions, has created an environment in which technology that can limit the wasteful expenditure of energy can thrive. In 2009 UK households consumed 501TWh accounting for 28-32% of the UK's total energy expenditure [1]. Central heating undeniably plays its role here, and following the current trend of a 3.7 degree centigrade rise in the internal temperature of houses since 1970[2], and rising numbers of UK households its contribution is only set to increase. As a result there is a need for products that can improve the efficiency of a household heating system.

Current household heating systems are controlled manually via a thermostat, which is neither convenient for the user or sensitive to the actual environmental conditions. In today's busy world few people have the time or discipline to consistently alter their thermostat to fit their own dynamic schedule or the variable nature of the weather. This is not only wasteful in terms of energy but is also very expensive. Therefore there is a niche for a system that can learn and adapt to the chaotic nature of people's lives and the weather.

The proposed solution to this problem is to create a machine learning system that once trained by the user, intelligently checks whether the occupants of the house are in, out or asleep; and sets the thermostat setting in accordance with this and the external conditions. This system should therefore save energy and make the user experience more convenient while not compromising on the comfort of the user. As a by product of saving energy the system should also save the user money in the long term; an important consideration is that in the UK 2.6 million households are in fuel poverty, and hence spend at least 10% of their income on heating [3].

# 1.2 Market and Product Feasibility

Objects are increasingly gaining the ability to communicate and sense their environment, forming what is broadly defined as the Internet of Things. This ability to collect and transmit a vast amount of data in real-time gives rise to new insights, allowing for better day-to-day decisions to be made.

The home automation market forms a subset of the Internet of Things market, with both expected to grow worldwide in 2013 with a CAGR of 16.1%[4] and CAGR¹ of 33.2%[5], respectively. Thus it is the ideal time to build a home automation product when so many enablers exist.

### 1.2.1 Product Feasibility

The first enabler of a home automation product is the reduction in cost of low power processing components. For example the processor used for AutoHome costs £6.39 per unit[6], with sensors often costing less than £1 which means that when assembled an affordable sub £150 build price is expected. The second enabler is the increased penetration of smartphones and wireless technology that allows the possibility of an elegant home automation implementation, along with increased consumer demand for such technological solutions. Thirdly is the rise of cloud computing which is the main enabler of AutoHome, as data collected in real-time cannot be as efficiently processed on the mbed as the dataset becomes larger (sensor data being sampled at 5 minute intervals leads to 8640 data-points per house per month) - this is especially true of the computationally intensive machine learning algorithms. Computations are instead done on remote servers(such as Amazon's EC2 compute solution used for AutoHome), which are often more powerful and manage computing loads more efficiently. Additionally current

<sup>&</sup>lt;sup>1</sup>Compounded Annual Growth rate

server solutions offer competitive rates priced at \$0.060 per hour and above, relatively affordable operating costs for computing power.

## 1.2.2 Market Feasibility

With 17.5 million owner occupied homes in the UK and 87.4 million owner occupied homes in the US the home automation market has a huge potential. However only 0.1% and 5% of homes, in the UK and US respectively, have such home automation systems. This poor market penetration is due to multiple factors - such as the current state of home automation products, with many of them only offering remote automation and not smart automation. The key difference being that remote automation requires constant human interaction to control the house's settings (though scheduling is possible it is not truly smart), whereas smart automation provides a frictionless experience, constantly adapting to the user's needs. A second reason is the high prices (over-£300) of currently available systems[7], which are also described as clunky and tiresome to install. Thirdly is the lack of incentives, as most systems merely act as an additional way to control the house - which amounts to paying a premium to install extra light switches. There is thus little value seen in the cost of such devices, leaving room for a category of affordable, frictionless and smart home automation products which give the consumer clear value - such as saving money and energy.

Additionally recent UK policy has mandated that all UK energy providers must retrofit a smart meter in homes by 2020[8], meaning there is an additional market involving teaming up with energy providers to provide such systems.

The main market segments are homeowners, where retrofitting this device will be necessary. The other major segment is that of new homes, where there is a focus on delivering modern and energy conscious habitats - meaning that a deeper integration is possible, leading to even more efficient system.

## 1.2.3 Competitors

Many companies exist in the home automation market, such as AMX, Control4, iControl Networks and Vivint. However most of these only offer remote automation, as explained earlier, though they cover more components of a house, such as window shutter, TV control, house music and personal CCTV. The table below gives a breakdown.

	AutoHome	Control4	Vivint	Nest Thermo-	Opower
				stat	
Cost	£150-£200	<£650	Starts at	£161	N/A (B2B com-
			£38/month		pany)
Features	-Smart ther-	-Availability by	-Offer Home	-Smart temper-	-Very good at
	mostat	modules (starter	Automation,	ature control	energy analytics
	-Advanced	kit \$1000)	Energy Man-	-Strong brand in	-Sold via energy
	house state	-Work across resi-	agement and	part due to be-	companies
	detection	dential, commercial	Home Security	ing made by ex-	-Provides intelli-
	-lighting and	and hospitality	solutions	Apple engineers	gent software for
	smart meter	industries	-Provide intu-	-Focused on sav-	thermostats
	offered	-Offer in depth	itive solutions	ing money	-Purely focused
	-focused on	control including	-No real	-Does not re-	on energy
	energy savings	security solutions	"smart" au-	quire contractor	
	-easily instal-	-Requires contrac-	tomation	for installation	
	lable	tor for installation	-Requires con-		
			tractor for		
			installation		
Comparison	N/A	AutoHome	Smarter than	Possibly main	Competes on the
		smarter, focus-	Control4 but	competitor.	algorithm/ma-
		ing more on energy	not to the	Offers similar	chine learning
		saving features	extent Auto-	"intelligent"	side but offers
			Home offers, no	algorithms but	no other home
			monthly fee for	does not use as	automation solu-
			AutoHome.	much data to	tions.
				regress on as	
				AutoHome.	

# Top Level Design

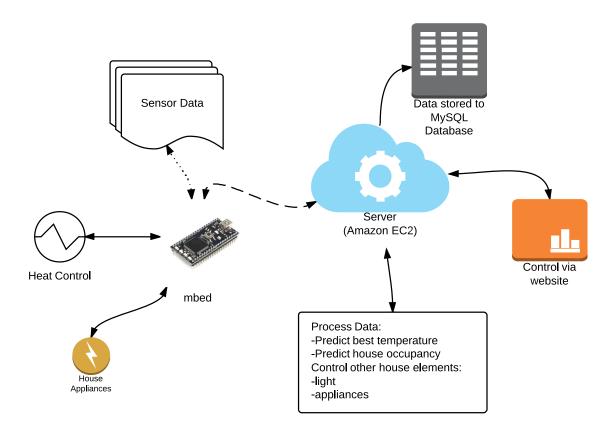


Figure 2.1: Overall System Design

# Modular Design

## 3.1 Hardware

For the first stage a prototype would be developed, which would include temperature and humidity measurement; an xbee module and a control interface; as well as the ability for the microprocessor to connect to the internet. To facilitate prototyping an 'mbed', prototyping board was used. This board is similar to a microcontroller breakout board but with many extra features, including a USB to FTDI connection for ease of programming, reset buttons and LEDs. This allowed for quick development and allowed basic prototyping to go ahead at an early stage. Each module was then developed in isolation using the mbed board, and then the final programme was written to combine the various elements together, after which the final link up of software and hardware was devised.

### 3.1.1 mbed

The 'mbed' prototyping board contains an LPC1768 processor which is a 32-bit ARM cortex-M3 microcontroller and the board can be powered either through a USB connection or a 4.5-9.0V input voltage. Figure 3.1 shows the various pins that are available with the mbed board, including a regulated 3.3V supply voltage which is used to power several of the main components.

## 3.1.2 Wireless Communications

As discussed in the top-level design, two wireless protocols would play pivotal roles in bringing the entire system together, with the standard wireless local area

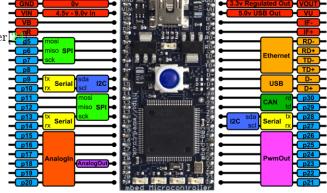


Figure 3.1: mbed NXP LPC1768 pinout diagram

network (or Wi-Fi) to communicate with the internet via wireless routers which are now found within over 70% of UK households [25]. Then the xbee protocol was used to communicate with the various sensors and components around the house back to the microprocessor, due to both its low-power usage and the ability to easily create mesh networks. The mbed could then be used to pass the information and commands between the two networks (and hence our servers) as required.

#### Wi-Fi

As stated above there is a reasonable expectation that any home buying our smart thermostat will have wireless internet, both due to the target market and the high proportion of households with wireless routers. The roving networks Wifly RN-XV module was chosen due to its easy integration into the mbed system and the ability to easily call an ultra-low sleep mode during periods of decreased activity. One slight disadvantage was the inability of the module to join enterprise security networks at the current moment of time, with an updated firmware expected to make such connections possible within a year.

The Wifly module was then set up as shown in the overall circuit diagram (Appendix Section 8.1.1), using one of the 3 serial pair (RX and TX) connections available on the mbed. Simple ASCII commands using a serial console (in this case PuTTY) were used to experiment with the Wifly module, allowing demonstrable access to the internet which was tested using several standard ISP provided home routers.

Communicating with the server could be done via several methods, such as http and the new websockets. Both protocols were experimented, with websockets ultimately being chosen, this was due to the ability to have constant 2-way communication between the mbed and server, which could be set-up simply and easily. Using pre-existing libraries the correct SSID and WPA2-PSK code was placed in the Wifly, and then at the beginning of the programme the module is commanded to join the network (see appendix for code). One disadvantage that was

found was that if the module failed to join a network over several resets (of the mbed) it would crash and have to be rebooted, either by power cycling or using command mode via PuTTY.

#### **XBee**

The XBee modules plays a paramount role in servicing communications between all the individual sensors and the MBED which is at the heart of everything. We chose the XBee as we believed that it provided the best features for our design. The XBee ZNET protocol can be used created a mesh network from all of the XBees. This is ideal for application in a household environment where consumers could add extra sensors which could possibly be placed a significant distance away from the central unit.

Figure 3.2 helps show how the mesh network operates. Our design incorporates one XBee co-coordinator on the central unit with all of the peripherals being configured as router XBees. The XBees which we used had the specifications listed below; the model which was used is the standard XBee not the XBee PRO.

The XBee specifications allow a 40m indoor range which is more than enough to cover most households. On top of this is the fact that each XBee can use it's 40m range to form a mesh network allowing us to greatly increase the effective range of the network. The transmission power is far less than the WiFly equivalent. The power of the XBee is only 2mW even in boost mode vs. an average transmission power of 446mW of the lowest output power rating on the WiFly module in 802.11g mode.

There are two modes of communication to the XBee module, one is called transparent mode or AT mode and the other is the API (Application Programming Interface) mode. Our design is based upon the API mode as it allows greater flexibility and is significantly faster. This could be seen that when issuing commands to the XBee via AT, such as a change to a digital

Legend:

C - Coordinator

R - Router

E - End device

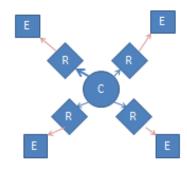


Figure 3.2: Diagram depicting the arrangement of the mesh network.

output pin level, there would be a noticeable amount of lag until transition occurred which was not found with API firmware. One other option which the API firmware provided the ability to easily address individual XBees in order to send them commands. This is critical as unique commands are associated with each peripheral type. The AT firmware requires that two registers be set with separate commands to change the destination address when sending to a specific XBee. This creates additional processing load for both the XBee and the central processing unit as well as reducing the speed at which commands can be sent to the XBees. API mode allows 64 bit addressing of XBees within an API frame, allowing a more efficient and convenient method of sending commands with the API framework.

The XBee uses a custom API framework which we created for the purposes of this project. This allows the MBED to construct basic API frames and send them out over its UART port. We have currently only implemented the frames which are required for our project to work though as it is a time consuming process to write and test the operation of a specific command. Currently the framework supports: Requests for the PAN ID, Changing the PAN ID, Sending data packets to other XBees, Requesting the value of all analogue and digital input pins, setting digital levels on the digital output pins.

Details of the framework, its use with different modules, the custom developed API and observed issues can be found in the appendix section 8.1.1.

### Limitations and improvements

Had there been more time to debug and implement a more robust framework it would have been possible to improve it in a few ways. The first is to get a fully working UART interrupt such that everything can then be called on demand and not consume power when its not needed as well as providing more control on the data coming into the servers. This could be useful in order to throttle some of the connections if the server architecture wouldn't be able to handle the load. One more interesting thing would be to write custom firmware for the XBees. By doing this we could offload menial tasks from the MBED and put them onto the XBee. This could be useful if we wanted to convert the power calculations from their raw values to their actual values. This could then be offloaded from the MBED. This would help as the MBED uses a lot more power in its current form than one of the XBee modules. The power drawn has been measured at around 0.7W under normal operating conditions which is considerably higher than the XBee. By taking the load off the mbed we can activate and optimise a sleep routine for the MBED.

This can also be further advanced by changing the MBED with another model such as the one based on the Cortex M0+ from Freescale. This would allow even more power efficient operation as long as there was still enough processing power to run everything. The issue with the M0 is that it does have less connectivity options such as UARTs meaning that some external hardware might have to be used to compensate, thereby increasing the cost.

Lastly the MBED has its own RTOS<sup>1</sup>. By using an RTOS it would be possible to thread the web socket operations and the xbee operations in order to get more throughput from the microcontroller as well as massively reducing latency as well. This would come in useful with the power routine and its large wait cycle, allowing the

<sup>&</sup>lt;sup>1</sup>Real Time Operating System

websocket to be serviced in the meantime. This would however increase complexity and thus would have taken more time to implement and debug.

#### 3.1.3 Sensors

#### Temperature sensor

In order to measure temperature an 8-pin digital sensor was used that has a 2 wire serial interface with the microcontroller, using the I2C pins p28 and p27 upon the mbed board. This was the TMP75AIDR from Texas Instruments and for sensing the temperature, the chip itself is used with thermal paths running through the packaging. This is then converted internally to a digital temperature output with an accuracy of  $\pm 0.5$ °C, which is then communicated to the microcontroller via I2C.

The I2C is a multimaster bus which allows easy digital communication with multiple devices if required, and hence the slave address must be set using 3 pin inputs which should match up to the chosen address in the software. Setting the 3 pins to ground gives the address 0x48 which we can see in the temperature configuration section of the code. Using libraries previously developed for mbed, a simple programme was devised (see appendix) which would only use a 9-bit resolution (set in the temperature configuration code) as a resolution of 0.0625°C(12-bit resolution) was deemed unnecessary. The chip itself was an SMD (surface mount device) and hence a small breakout was constructed to allow ease of use with breadboards.

### **Humidity** sensor

Within the thermostat algorithm, internal humidity would play a role and so the low voltage HIH-5030 humidity sensor was acquired, also being an SMD model a small breakout board was required once again. The device has only 3 pins, a  $V_{cc}$ , ground, and analogue output which when measured can be used to determine the relative humidity (RH) of the atmosphere to  $\pm 3\%$ .

Using the information supplied in the datasheet two equations were identified, one which could supply the sensor RH from the output voltage, and another to determine the true RH as the sensor RH is also affected by the temperature. Hence the temperature sensor is also required, the final equations within the mbed programme were then

$$SensorRH = \left(\frac{Voltage \times 5}{3.3} - 0.1515\right) / 0.00636 \tag{3.1}$$

$$SensorRH = \left(\frac{Voltage \times 5}{3.3} - 0.1515\right)/0.00636$$

$$TrueRH = \frac{SensorRH}{1.0546 - (0.00216 \times Temperature)}$$
(3.1)

The final true relative humidity would then be calculated within the mbed, which would use an analogue-todigital pin (ADC) to measure the output voltage of the sensor, the result can then be displayed and returned to the servers as required.

## Wireless Power Monitoring

The ability to monitor the power consumption of a house in real time is useful in both making the user aware of the impact their habits have on their electricity bill and also to justify any saving the auto-home enables. This is by no means a new idea, and the British government, among many others, intend on a smart meter roll out scheme whereby every home in the UK will have a real time power monitor, or "smart meter", by 2020[9]. With this same power information, it is also possible to detect the appliances that are running in the house with non-intrusive load monitoring[10] (NILM), which can indicated unnecessary power usage when nobody is in the house, and switch these off to save power. With this considered, a power monitor is a useful part of the system, and was designed to make accurate measurements with minimum power usage and transmit this to the central unit.

Although measuring power seems a trivial exercise, at AC there are many ways of doing it. One way of making the measurement is calculating the power by summing many instantaneous voltage and current products over a period and divide by the number of samples. Although this is probably the most reliable and accurate method, it is also rather computationally intensive for a low powered 8-bit micro-controller. With the assumption that the voltage and current will have a reasonably consistent shape (sinusoidal), another simpler method is to measure the peak-peak voltage and current, and translate to RMS with a constant factor. However, this is quite a large assumption to make, and for many appliances such as switch mode laptop supplies, the current signal will be very different to that of a light bulb, but this will be less significant when looking at the total draw of a house giving an overestimation of the power usage, which isn't a bad thing.

With the peak-peak voltage/current measurements and a constant factor (for true sinusoids  $\frac{\sqrt{2}}{2}$ ), the conversions can be made to RMS and the apparent power calculated from the product of the two. However, to be able to accurately calculate the dissipative real power, or characterise a load in terms of individual appliance signatures, the phase information must also be recorded. Again, for simplicity and ease of debugging, a comparator  $\to XOR \to$ low-pass filter phase difference detector was used to give a third ADC input corresponding to the angle between the voltage and current. Again, by only a simple constant conversion factor, the angle and power factor can easily be calculated.

## Motion Sensor

An important feature of the home automation project is the ability to detect whether there is anyone within the house. To do this we are using multiple passive infrared motion sensors, located within key locations, to monitor movement. This will provide one of the main sources of data for our learning algorithms. Our sensor itself is a long range infrared sensor with a detection range of up to 12m. With a detection angle of up to 102 degrees, this ensures that for the average sized house, all motion within a room will be detected (if placed in one corner). After an initial stabilisation time of roughly 30 seconds, the sensor will output a digital high when the detecting target is present and a digital low otherwise. This is fed straight to the XBee and then to the mbed for processing.

The method of detection for the sensor is via infrared radiation. This is perfectly suited for human detection from the radiation given out by their body temperature. It also rules out the potential of false triggering from wind blowing on open doors or curtains. However, this does not remove the problem of detection from pets. This is dealt with by the algorithm instead. The sensor consists of multiple polarized detection zones, each zone surrounded by 4 of opposite polarity. When the target moves across these detection zones, the combined polarity varies, hence movement is detected. However, a problem may occur if a target enters a positive and negative detection zone at the same time. In this case the signals will cancel each other and no detection notified. This will usually only occur near the maximum detection range, where the target is smaller in respect to the detection zone. Therefore it is not a significant problem as most rooms would not reach this distance.

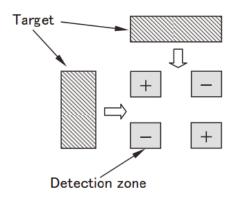


Figure 3.3: Space detection

Some other features of the sensor include a metallic can which encloses the sensing circuit. This increases the signal to noise ratio of the sensor. The result provides protection from the false detection of external electromagnetic fields caused by mobile phones and other electronic devices. The simplicity of the device along with the negligible power drawn when no detection is present means that very little total power is consumed during operation. The

Sensor Vdd

GND T Load

OUT

Vdd : Input power source (DC)
GND : GND
GND : GND

Figure 3.4: Motion sensor circuit diagram

circuit is very simple and can be seen in figure 3.4. The load is replaced by the XBee and resistor values set to output current/voltage. The input power source is the standard 3.3V used for our XBee and mbed.

## 3.1.4 Other Hardware

#### Lighting

As part of the focus to improve user comfort and energy savings, another important feature of the home automation system is the capability to remotely control lights around the house. A large portion of a homeowner's electricity bill is contributed by lighting in the house. In the U.S, this figure is roughly 11 percent of a household's entire energy budget, and is significantly greater for commercial buildings [12]. Therefore only keeping the lighting on when necessary can provide substantial savings.

Our main feature is to keep track of all lighting in the house, allowing the user to see the states of the lights on either an app on their phone or via the website. The user can then change the state of the lights to on, off or auto accordingly. Notifications can also be set to alert the user if any light has been on for a certain length of time. The auto setting will access the motion sensors in the selected room to set those lights to be motion detected. People tend to have various preferences and opinions when it comes to

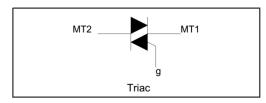


Figure 3.5: Triac diode diagram

motion detecting lights; therefore our system allows the user full control to choose what suits them best in each

room. For example, when in the living room watching TV, the auto setting may not be appropriate as the light will alternate between on/off due to the lack of movement. But the setting can be very convenient for a toilet, as it is used for shorter periods. All these features can ensure no lights are left switched on by accident.

Forward On-state QUADRANT QUADRANT current T2+Reverse Forward T<sub>H</sub> voltage voltage Off-state T2-QUADRANT QUADRANT Triac V/I characteristic

Figure 3.6: Triac V/I characteristics

To remotely control the light switch, we use our mbed to send a signal via the zigbee wireless to an XBee module next to the switch. The XBee will then output a voltage as an input to a XOR gate, with the other being the manual switch located on the wall. Toggling either input will trigger an optoisolator, which will control the high power triac switch, turning it on/off. The triac is connected directly to the mains line of the light bulb, and will conduct only when triggered. The state of the light bulb will then be sent back via the XBee, updating the website and app.

The characteristics of a triac are ideal for our purpose of switching on/off the mains voltage. As shown in figure 3.5, it is made on two diodes with a gate connection leading from the side of MT1. The function can be comparable to a MOSFET, conducting in either direction when the gate requirements are met. However, unlike the MOSFET, the triac can conduct both AC and DC currents. This is vital as the mains voltage is always an AC. The gate of a triac is triggered by a current, rather than voltage for a MOSFET, and will remain conducting until the current drops below the latching current. For an alternating current, this means the triac will switch off twice every cycle. The V/I characteristics can be seen in figure 3.6.

To control the gate current of the triac, a zero-crossing optoisolator is used. The zero-crossing section ensures the gate of the triac is switched on when the current reaches 0. This is important as the phase of the current will be zero, so no noise is generated. Without it, switching could occur at peak voltages, which not only would produce EM noise, but also result in a large  $\frac{dV}{dt}$  and potentially blowing the load. The optoisolator also keeps the mains voltage electrically isolated from the XBee circuit. This prevents the mains voltage blowing up the XBee in the case of a short circuit or if the triac blows. Isolation is achieved by simply using an LED to trigger a phototransistor. Hence, the input of the Xbee is attached to pins 1 and 2, and the triac to pins 4 and 6 (figure 3.7).

Not only does remotely controlled lighting reduce energy costs, it can also serve as a tool to provide home convenience for users. With a touch of the phone, no-longer do users need to feel around

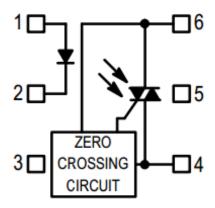


Figure 3.7: Triac module diagram

in the dark for the switch to use the toilet at night, or to get out of bed to switch lights off. Because the feature simply controls the mains supply, it can be easily applied to all electronic appliances. TVs, dishwashers and washing machines are just a few examples of common household appliances that are often left on standby. Switching these off when not in use can provide further cost cuts in the long run.

# 3.2 Software

*Note:* Software was developed in parallel of the hardware, thus no past data collection was available. Instead data made publicly available for the purpose of smart homes research used. The main sources used were the University of Massachusetts Amherst SMART\* dataset [11] as well as Washington State's Tulum dataset [13].

### 3.2.1 Server Architecture and Technologies

The main server architecture works on a LAMP<sup>2</sup> server as this provides the system with a database (MySQL) to store all the collected sensor data, a means of processing this data (Python with the numerical NumPy and SciPy libraries) and easily controlling the system (HTTP server with PHP allowing delivery of interactive web-pages).

To enable the website to be able to access the data that is stored in the database, such as the predicted timetable or the past weather information, the server-side programming language of PHP was used. This is the language that is generally used for database access on websites, as it has good support for SQL databases and is easily used in conjunction with HTML. All PHP code is also executed on the server, before being returned to the end-user. This makes it useful as the code must include the database username and password, which would otherwise be passed to the user in the source code, giving anyone access to the database and the ability to alter information. PHP can also be used to create session variables on the server, which allows the creation of a basic login system. Again, as the code is executed server side, a redirect to the login page can be made if the user is not logged in, which will be executed before any of the html is loaded.

The only disadvantage of PHP being executed server side is that it cannot be used to write executable functions on the same page, which is needed when making changes to the timetable and a few other parts of the website. However using ajax from the jquery library, we can call other web pages without leaving the current page, and even pass variables to them which provides a solution for us.

PHP is also used for calling the weather API, to get the current weather conditions. The code gets the contents from a specially generated URL that contains the current weather conditions in JSON format. This can then be decoded, making each weather element easily accessible and these can then be stored into a database. This code is then be called every five minutes using a simple python script running constantly on the server.

#### Server Software

To control the system a constantly running program, monitoring, processing and relaying all the messages passed is needed. Thus a server-side program which could both send and receive messages to and from system modules was made.

To pass on messages the websocket technology was used. While a relatively new technology, websockets were chosen for their ideal use in real-time applications. This avoids using old 'hacks' such as using HTTP POST and GET requests<sup>3</sup> at irregular intervals to achieve a near-realtime connection. This is because websockets provide a full duplex connection with no need of polling<sup>4</sup> from the client. This would allow the message to turn off a light, or turn the temperature up, to be sent and received nearly instantly - thus being as useful if not more than a real switch.

The main server was written in Python, chosen for its ease of use and good integration with web technologies, using the tornado framework as code base. The server works by receiving messages in JSON<sup>5</sup> and passing them on to the appropriate program. For example if a user, via the website page, requests the current house temperature the server receives it and passes it on to the mbed module. Alternatively if the mbed sends the current sensor data to the server a separate script is called to add the values to a database and process this data to predict the most ideal temperature, for example. All the server code can be found in the appendix section 8.2.1.

<sup>&</sup>lt;sup>2</sup>The LAMP acronym refers to the first letters of Linux, Apache(a HTTP server), MySQL (database solution), and PHP or Python, the main components to build most web servers.

<sup>&</sup>lt;sup>3</sup>HTTP POST and GET requests are the traditional way in which webpages get accessed

<sup>&</sup>lt;sup>4</sup>i.e. calling the server to ask for a reply

<sup>&</sup>lt;sup>5</sup>JSON stands for JavaScript Object Notation and is a human readable standard allowing simple data structures to be represented. For example to encode the variable name='Alex' and age=26 the following message would be sent:{"name":"Alex","age":26}

Figure 3.8: Flow diagram of the server's general function

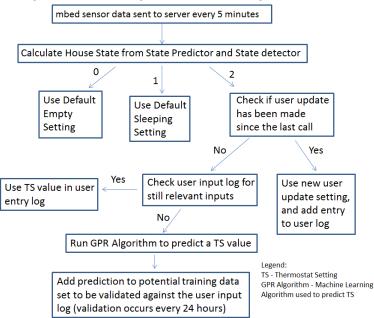


Figure 3.8 is an overview of how the server works when receiving the mbed data to then control the house's thermostat setting.

## 3.2.2 Hidden Markov Model for Occupation State Detection

#### 3.2.3 Timetable Prediction

While a Hidden Markov Model provides a good way to determine the current state of the house another essential feature to ensure comfort and optimize energy usage is timetable prediction. Different methods were considered such as using the Hidden Markov Model to predict future states, taking an average state of every day of the week or making an ARMA/ARIMA model. The main criteria for choosing a method was an efficient one which could predict to a good degree of accuracy what will happen in about 6 hours in the future. Thus a method suggested by Microsoft Research [14] was implemented and adapted.

The idea behind the proposed solution is to look at  $n_p$  past states to predict the future  $n_f$  data-points by finding other similar instances. We thus measure similarity from taking the hamming distance of each time-series vector. Indeed each vector is in the form  $\vec{t}_{vp} = [0, 1, 1, 1, 2, 2, \dots, 2, 1, 0]$ , with 0 signifying an away state, 1 a present state and 2 a sleeping state, meaning that taking the Hamming distance between two such vectors is possible. From this a determined number of similar days can be found to use their known future data-points to predict the current future. This is summarized in Algorithm 1.

```
Data: Time-series vector of past n_p states - t_{vp}

Result: Time-series vector of future n_f expected states - t_{vf}

take current time and give it an index n_n;

for i index of all past days do

| set t_{vd} equal to the n_n - n_p to n_n data-points of day_i (i..e the same time range than t_{vp} but in the present);

| store in D_i the Hamming distance of t_{vd} and t_{vp};

end

get the indices of the 4 closest days in terms of Hamming Distance from D_i;

from these selected days get the n_n to n_n + n_f data-points;

get the mode of these selected days and store in t_{vf};

return t_{vf}
```

#### Algorithm 1: Timetable State prediction

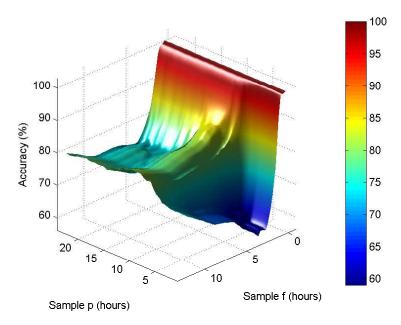
To find the optimal number of days to use to predict future expected states by taking their mode - i.e. should the closest x or y days be used - various prediction scenarios were run with the results presented in section 8.2.2. They show that, for the used dataset (3 months long), the optimal days to take the mode of was 4 as this consistently gave the highest average accuracy and one of the lowest standard deviations<sup>6</sup>.

In deciding how long the vector  $\vec{t}_{vp}$  (i.e. the length of time to look back into the past to predict the future

<sup>&</sup>lt;sup>6</sup>Over 3 months worth of data, about 80 days have their future states predicted and the accuracy of each of these instances is recorded, yielding an average and standard deviation for accuracy over different parameters

states) should be a MATLAB script testing for the accuracy of different settings<sup>7</sup>. In Figure 3.9 the results were plotted and suggest that taking the last 12 hours of house states gives us the most insight into the future - with the accuracy varying little with regards to the time looked into the future, except for very short amounts of time or 12 hours onwards which is because a house state is not likely to imminently change and that as we approach 24 hours we approach a day's length which is typically regular in nature.

Figure 3.9: Model of accuracy using time length past values (sample p) compared to the future hours predicted (sample f)



Data used: University of Massachusetts Amherst SMART\* dataset [11]

## 3.2.4 Machine Learning for Thermostat Setting Prediction

A fundamental part of the functionality of the system is the ability to be able to predict the user's desired thermostat setting given the state of the house and external conditions. This can be achieved through a machine learning algorithm, which regresses through the training data provided by the user to predict a thermostat setting given external conditions not present in the training set. Two regression algorithms were developed, and in this section both are discussed.

### Linear Regression

The initial implementation involved implementing a basic linear regression model to fit past data. This works by finding the parameters of the vector  $\vec{\theta}$  which forms the prediction function  $h(x,\theta) = \sum\limits_{i=0}^n \theta_i x_i = \theta^T x$  where  $\vec{x}$  is the input data and n is the number of parameters. To fit the parameters we have a dataset of x's with it's corresponding values of y. From this a cost function  $J(\theta) = \frac{1}{2m} \left(\sum\limits_{i=1}^m \left(h(x_{(i)},\theta) - y_{(i)}\right)^2 + \lambda \sum\limits_{i=1}^n \theta_i^2\right)$  is defined, where m is the number of data-points. Thus by choosing a  $\theta$  which closely fits the input data we can see that  $J(\theta)$  will be minimized. (The  $\lambda \sum\limits_{i=1}^n \theta_i^2$  term is there to ensure that  $\theta$ 's magnitude is not too large

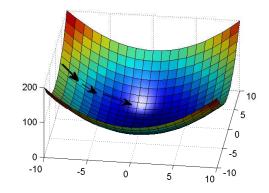


Figure 3.10: A convex cost function

- avoiding the problem of the prediction function not being general enough for future, unknown inputs). Minimizing  $\theta$  is a matter of performing a process called gradient descent which involves following the gradient direction. Figure 3.10 shows 3 arrows each representing the gradient at 3 different points. It can be seen from this that following the gradient leads to a less steep gradient until a minimum is reach - in which case the gradient will be zero<sup>8</sup>.

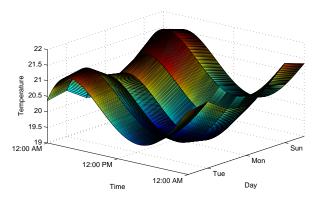
Using the SMART\* dataset a  $\theta$  was found to fit the past data whose features include inside & outside humidity,

<sup>&</sup>lt;sup>7</sup>This was done by taking the states of each day in a 3 month long dataset separately, removing it from the data set, and trying to predict the future(know to us but unknown to the algorithm) states.

<sup>&</sup>lt;sup>8</sup>This method also works on non-convex functions but will only return a local minima - however  $J(\theta)$  is always convex for the case of linear regression

outside temperature, wind-speed, time of the day and day of the week with output inside temperature. From this Figure 3.11 was made, where all other features except time and day of the week were kept constant, to give an idea of the type of model created. It can be observed that this current model implies a trend of lower temperatures on weekdays with temperature consistently lower during sleeping hours - which implies that the model works in learning from past house activities.

Figure 3.11: Model of a house's temperature with time and day of the week as variables. Gradient descent was used to fit a  $4^{th}$  order function.



#### Gaussian Process Regression

The issue with using 'non-Bayesian paradigm' algorithms, i.e. ones that typically assume no prior distribution or structure on a hypothesis, is that the regression curve is found (or trained) by minimizing the empirical error. However what is actually desired is to minimize the error on future predictions. This requires a Bayesian setting [15, 22].

Using a Bayesian setting a structure or prior is assumed, which, in the case of GPR, is a Multivariate Gaussian distribution [15, 16]. Parametric techniques, for example linear regression, restrict the class of functions which can fit the training data and then optimize parameters by minimizing the error between the target data set and the predicted values [15, 23]. GPR in contrast allows every possible function but gives each a prior probability, which can then be used to calculate a posterior probability once the training data has been taken into account. In this way then we can think of the GPR as filtering the functions in terms of their likelihood given the training data. As a result, while parametric techniques can generate curves that closely fit the data, only Bayesian techniques like GPR can quantify the expected error and therefore work to minimize it [15, 17, 18, 20].

#### Notes on Notation:

In this section, bold font indicates vectors and matrices while non-bold indicates scalars and functions. If a character denotes a function then the emboldened version of the same character represents a set or vector of elements taken by evaluating that function at certain points:

- Features vectors are denoted by x.
- The training data is a set of feature points. In matrix form it is denoted by X and represents a collection of feature vectors. 'n' is the number of training examples in our training set.
- f(x) (or,in suppressed argument form, f) is the hypothesis function we wish to infer.  $f^*$  is the prediction we want to make about the function f(x) evaluated at a point  $x^*$  not present in the training set.
- y(x) (in suppressed argument form as y) is the function of observed thermostat results, i.e. the underlying function we wish to infer plus a noise term:  $y(x) = f(x) + \epsilon$ , where  $\epsilon \sim \mathcal{N}(0, \sigma_n^2 \mathbf{I})$  is Independent Additive White Gaussian Noise (IAWGN) with  $var(\epsilon) = \sigma_n^2$ . The target data of the training set is denoted by y and is simply a vector of elements of y(x) evaluated at different points.
- ' $\theta$ ' Indicates the hyperparameters that are used to define the covariance function, in vector form this is denoted ' $\theta$ '.

It is important to bear in mind that a vector or matrix is a way of expressing a set of data points. If these data points are random then this data set can be written as a random vector or matrix whose elements are random variables each with a probability distribution, which in the case of GPR is Gaussian. As a result data sets, made up of a collection of random variables each with a Gaussian distribution can be expressed as a multivariate Gaussian distribution.

#### Prior and Hyperparameters:

As previously discussed GPR is a non-parametric technique, which makes it a powerful tool as no assumptions need to be made on the hypothesis function directly, instead only on the probability distribution of the hypothesis

function. The reason that GPR is a good choice in this case is because Gaussian distributions are continuous (important as the hypothesis function we wish to infer is continuous) and flexible. The prior for GPR is defined as:

$$f \sim GP(\mathbf{0}, k(\mathbf{x}_i, \mathbf{x}_i)) \tag{3.3}$$

The above equation states that without any information the distribution of possible hypothesis functions is defined by a Gaussian Process with a mean function of 0 and a covariance function k. As a result to specify the Gaussian prior all that is required is to describe its covariance function (since the mean function can be set to 0 without any loss of generality) [15]. The kernel deployed in this particular application is the squared exponential, also called the Gaussian Kernel, with an added noise term [15]:

$$k(\boldsymbol{x_i}, \boldsymbol{x_j}) = \sigma_f^2 \exp\left(-\frac{1}{2}(\boldsymbol{x_i} - \boldsymbol{x_j})^T \boldsymbol{M} (\boldsymbol{x_i} - \boldsymbol{x_j})\right) + \sigma_n^2 \boldsymbol{\delta_{ij}}$$
(3.4)

 $x_i$  and  $x_j$  are input feature vectors,  $\sigma_f^2$  is the 'signal power' which represents the overall scale variation of the latent (not yet manifested) thermostat setting.  $\sigma_n^2$  is the 'noise power' representing the noise variation or 'jitter' in the data [23]. Physically this is the manifestation of the users own error in their thermostat setting. For example, on a particularly cold day the user may be tempted to initially turn up their thermostat setting beyond what they actually find comfortable or need.  $\delta_{ij}$  is the Kronecker product, meaning that the noise term is only added to the variance of a point[23]. This is due to the nature of the noise being IAWGN i.e. the noise on different samples is independent. Finally M is a diagonal matrix containing the length scales of each feature [15]:

$$\mathbf{M} = \begin{bmatrix} \frac{1}{l_1^2} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \frac{1}{l_2^2} \end{bmatrix}$$
 (3.5)

Length scales represent the distance in a feature dimension that must be moved before the function value changes significantly. Large length scales imply irrelevant features while short length scales mean that the error in the prediction grows rapidly away from the data points [23]. Having individual length scales for each feature is more flexible than having just one general length scale as there will be variation in length scales from feature to feature.

The error squared exponential kernel was chosen as it provides a smooth (infinitely differentiable) hypothesis and is generally the most widely used and applicable kernel in machine learning.

### Hyperparameter Optimization:

To achieve accurate predictions the hyperparameters need to be optimized to ensure the model best fits the training data. In this way the task of 'teaching' a Gaussian Process Regressor is therefore equivalent to optimizing the hyperparameters to fit the training data. The marginal likelihood is the 'model evidence' and by maximising the marginal likelihood the optimum hyperparameters can be found (See appendix for more information)[15, 18, 21, 22].

The probability of the target data 'y' given the training data, hyperparameters and assumed prior distribution is the called the likelihood [21, 22]:

$$P(y \mid X, \theta, f) \sim \mathcal{N}(f, \sigma_n^2 I)$$
 (3.6)

This distribution makes intuitive sense considering that  $y(x) = f(x) + \epsilon$  [15, 19] and that the any collection of samples drawn from a Gaussian Process naturally has a Multivariate Gaussian Distribution. The marginal likelihood,  $P(y|X, \theta)$ , is found by taking the conditional probability of the likelihood given certain values of f, and then averaging this by integrating over all values of f. This is equivalent to taking the expectation:

$$P(\boldsymbol{y} \mid \boldsymbol{X}, \boldsymbol{\theta}) = E\{P(\boldsymbol{y} \mid \boldsymbol{X}, \boldsymbol{\theta}, f)\} = \int P(\boldsymbol{y} \mid \boldsymbol{X}, \boldsymbol{\theta}, f) P(f \mid \boldsymbol{X}, \boldsymbol{\theta}) df$$
(3.7)

 $P(f|X, \theta) \sim N(0, K)$  is the probability distribution of the function we wish to infer without any target set. In this way, it is equivalent to simply drawing points from our prior distribution. We can see therefore that both the prior set and the likelihood set are both Gaussian. The product of two Gaussians is also Gaussian, integrating over f it can be proved that [15]:

$$y|X, \theta \sim \mathcal{N}(0, K + \sigma_n^2 I)$$
 (3.8)

Referring to the equation for the PDF of a Gaussian Multivariable Distribution, and setting  $C = K + \sigma_n^2 I$  the PDF for the Marginal Likelihood (see Appendix) can be defined:

$$P(\boldsymbol{y}|\boldsymbol{X},\boldsymbol{\theta}) = 2\pi^{-\left(\frac{n}{2}\right)}|\boldsymbol{C}|^{-0.5} \exp\left(-\frac{1}{2}y^{T}\boldsymbol{C}^{-1}y\right)$$
(3.9)

In its current form it is not particularly conducive to the task of optimization. A common and successful

technique for optimizing any parameters is to turn the problem into a minimization task, and find a global or acceptably good local minima using gradient descent. Taking the natural log of the equation:

$$\log(P(y|X,\theta)) = -\left(\frac{n}{2}\right)\log(2\pi) - \frac{1}{2}\log(|C|) - \frac{1}{2}y^{T}C^{-1}y$$
(3.10)

Rearranging and multiplying by negative one we end up with what is known as the 'Negative Log Marginal Likelihood' or NLML [21, 22]:

$$-\log\left(P\left(\boldsymbol{y}|\boldsymbol{X},\boldsymbol{\theta}\right)\right) = \frac{1}{2}y^{T}C^{-1}y + \frac{1}{2}\log\left(|C|\right) + \frac{n}{2}\log\left(2\pi\right) \tag{3.11}$$

Note that by minimizing the NLML we are maximising the Marginal Likelihood and therefore gradient descent techniques can be applied. First the hyperparameters are initialised randomly and then taking the partial differential of the NLML with respect to each hyperparameter the error curve can be traversed (error curve is the NLML as a function of the hyperparameters) to find a minima. Denoting the NLML as  $L(\theta)$  [22]:

$$\frac{\partial L\left(\boldsymbol{\theta}\right)}{\partial \theta_{i}} = \frac{1}{2} tr\left(\boldsymbol{C}^{-1} \frac{\partial \boldsymbol{C}}{\partial \theta_{i}}\right) - \frac{1}{2} \boldsymbol{y}^{T} C^{-1} \frac{\partial \boldsymbol{C}}{\partial \theta_{i}} C^{-1} \boldsymbol{y}$$
(3.12)

A basic gradient descent function can be used to update the hyperparameters by subtracting a value proportional to the partial derivative of the NLML with the hyperparameter in question. Over the process of many iterations, and given an appropriately sized step size  $\alpha$  (i.e. one that is not too large so as to diverge or too small so as to converge too slowly), the NLML will converge to a point of zero gradient. As we are subtracting this term this convergence limits towards a minima, not a saddle point or maxima:

$$\theta_i = \theta_i - \alpha \frac{\partial L(\theta)}{\partial \theta_i} \tag{3.13}$$

Unfortunately this problem is not convex (i.e. the error curve is not convex) and therefore there does not exist a global minima. Instead there is the potential for many minima and maxima depending on our selection of training data. As a result all that can be hoped for is that gradient descent gives us an acceptable local minimum.

The convergence point depends on what the initialisation of the hyperparameters; different initialisations lead to different starting points on the error curve and therefore potentially different local minima. The practical approach taken to solve this issue was to perform a grid search over an area of the error curve (that seemed most logical given our instincts on the data), perform gradient at points initialised in divisions of this area and then choose the area that seemed to give the lowest average NLML. The division with the lowest NLML can then be selected as the new area of consideration, and the process can be repeated till an appreciable initialisation range is found. This, and the computational complexity of all the algorithms discussed in this section, is discussed in more detail in the results section.

#### Intuition behind Gaussian Process Regression:

With a Gaussian prior the assumption is made that the space of possible functions that we can use as our hypothesis is normally distributed. By then observing data, i.e. getting a training set, the distribution can be conditioned on the training set. By then finding the mean or average of this distribution function that best, or most likely fits the user's desired thermostat setting given certain environmental conditions, can be found. Points in the training data set can be seen as anchors in this function distribution having zero variance [15, 17, 20, 23].

Considering a new input feature vector  $\mathbf{x}^*$  and a desired prediction about  $f(\mathbf{x}^*)$  ( $f^*$  for convenience) then adding this point to the training data results in a joint Gaussian distribution [15]:

$$\begin{bmatrix} \mathbf{y} \\ \mathbf{f}^* \end{bmatrix} \sim \mathcal{N} \left( \mathbf{0}, \begin{bmatrix} \mathbf{K} + \sigma_n^2 \mathbf{I} & \mathbf{K}_* \\ \mathbf{K}_*^T & \mathbf{K}_{**} \end{bmatrix} \right)$$
(3.14)

Here  $K_* = \begin{bmatrix} k(x_*, x_1) \\ \vdots \\ k(x_*, x_n) \end{bmatrix}$  denotes the covariance matrix (or in this case, as  $x^*$  is a single vector, a vector) of the

input feature vector with each of the training examples. As already discussed the objective is to determine the best or most likely value of  $f^*$  given the training data. As a result what is of greatest relevance is the conditional probability of the predicted value given the training data. This is known as the 'posterior probability', and can be derived from Bayes Theorem:

$$P(f^*|\mathbf{X}, \mathbf{y}, \boldsymbol{\theta}) = \frac{P(\mathbf{f}|\mathbf{X}, \boldsymbol{\theta}) P(\mathbf{y}|\mathbf{X}, \boldsymbol{\theta}, \mathbf{f})}{P(\mathbf{y}|\mathbf{X}, \boldsymbol{\theta})}$$
(3.15)

Referring to the set of definitions given above we can write this alternatively as [15]:

$$posterior = \frac{prior \times likelihood}{marginal\ likelihood}$$
(3.16)

The marginal likelihood is a 'normalizing constant', i.e. a constant as it is the Likelihood function marginalized over f. Therefore the posterior probability can be more simply written as [15, 21, 22]:

$$P(f^* \mid X, y, \theta) \propto P(f \mid X, \theta) P(y \mid X, \theta, f)$$
 (3.17)

As the prior and the likelihood function both follow a Gaussian distribution then the posterior probability distribution is also Gaussian (intuitively multiplying two exponentials together renders another exponential). Using some basic results found in Linear Algebra [19] this Gaussian distribution can be shown to have a mean and standard deviation of the following [15]:

$$P(f^*|y,X) \sim \mathcal{N}(K_*^T(K + \sigma_n^2 I)^{-1}y, K_{**} - K_*^T(K + \sigma_n^2 I)^{-1}K_*)$$
 (3.18)

The above equation describes the distribution of the possible hypothesis functions given the data available. The average or mean of this distribution gives the most likely regression function given the data; therefore the mean of this distribution is the best estimate of  $f^*$ :

$$\hat{f}^* = K_*^T (K + \sigma_n^2 I)^{-1} y \tag{3.19}$$

The confidence in the prediction is described by the variance  $K_{**} - K_*^T (K + \sigma_n^2 I)^{-1} K_*$ , as a result GPR can calculate a best estimate of the desired thermostat setting given the training data and also gives a metric for the confidence the system has in the prediction.

## 3.3 User Interface

#### 3.3.1 Website

The website was designed to be easy to use and minimalistic as to keep it as user friendly as possible. All text is placed inside lightened boxes, otherwise it became difficult to read against the background.

Trying to access any page other than the login page before logging in, will result in a redirect to the login page. Upon logging in, the user is forwarded to the main home page. The home page greets the user by their username, giving the site a more personal feel. This page also contains a toggle slider to turn the system off, for instance if they are going away for an extended period of time.

The control page gives direct control of the lights remotely via a simple toggle switch. The switch also updates in real time, so if the light is turned on or off manually, this will instantly be updated on the website. This means that you can check if you have left your light on when you are not at home and even turn it off if you have.

The statistics page primarily displays the schedule for the house, showing the user when they are expected to be in, out or asleep. If the user disagrees with the prediction, they are also able to update the schedule themselves, with user corrections being displayed in a different colour.

At the bottom of the page, the user can view graphs of past external weather conditions, as well as past internal conditions, including the power usage of the house.

The thermostat page has the primary use of updating the current thermostat setting manually. Every time the user does this, the value is put into a table along with the most recent external and internal conditions, so that the algorithm that selects the temperature can learn from this.

The user can also change the maximum and minimum temperatures that the algorithm selects, as well as their preferred sleeping temperature.

#### 3.3.2 Mobile App

Along with the website the decision was made to develop an application (or app) for smartphones, this was to be done for the android operating system and then would later be ported to the it's various competitors. The reasons for this were, that android was the most popular operating system for smartphones; the multitude of available examples and developer information, and most importantly the open (hence free) source nature of the operating system.

Using Eclipse combined with android SDK (software development kit) tools a basic app was built with a separate temperature and lights page which would then be used to change the thermostat setting and turn the lights on and off (with toggle buttons). Using an open source android websocket library the app is able to communicate with the server and adjust the various attributes of the system.

# System Analysis and Use

# 4.1 Savings Performance

Justifying the home automation system in terms of saving energy over the conventional thermostat is critical in justifying its usefulness. Firstly, the motivation to cut down the use of domestic heating is significant; housing accounted for over 28.3% of the UK's energy consumption in 2009[1] at 501 TWh. Of this, a staggering 65.7% is accounted for the heating alone. Naturally, cutting this will make a significant impact on total energy usage and the environment.

In order to estimate the energy the system can save, it is important to first investigate the usage from conventional thermostats. In recent years, a continual improvement in minimising heat loss is shown the graph below. In 2008, the total heat loss is at 253.7 W/K. This means that for every degree of temperature difference between inside and outside, over 250 Watts are needed to maintain the desired internal temperature.

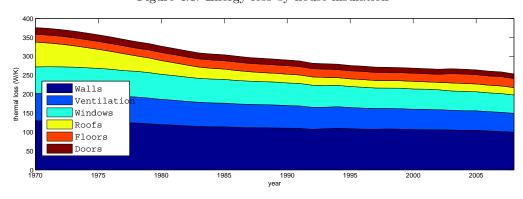
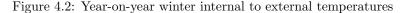
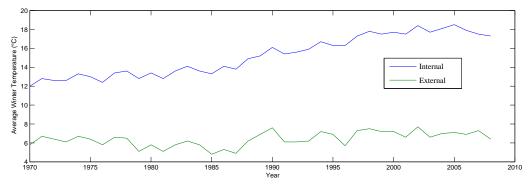


Figure 4.1: Energy loss by house insulation





From this data, an estimation of the power usage can be made from the average winter temperature difference between inside and out. Taking data from 2008, the average temperature difference was 10.9 °C. With a thermal loss of 253.7 W/K, this means a power of 2.77 kW is required to heat the average home. The weighted average fuel price is 6.02p/kWh: meaning it costs 16.7 pence for every hour the central heating is switched on. Assuming that the heating is used for 12 hours a day, this means a daily cost of £2. Considering that the weekly expenditure on lights, heating and power is £18.90, this seems to be a reasonable estimate.

Above are the approximate hourly savings the home automation system can provide the average UK household during winter months per hour. Although these appear small, if the heating was turned down for 7 hours a day by 2 °Cwhilst the occupants are sleeping, and 1 hour or so of unnecessary heating is reduced every day, then the daily

System prediction benefit	Approximate hourly saving $(\pounds)$	
Turning down temperature in sleep state	1.53 p/K	
Turn off heating whilst house is unoccupied	16.7 p	

saving the system would provide will be around £0.40. This is a reduction in 20%, which over the course of a year could be £130 for the average UK gas bill of £653 on direct debit.

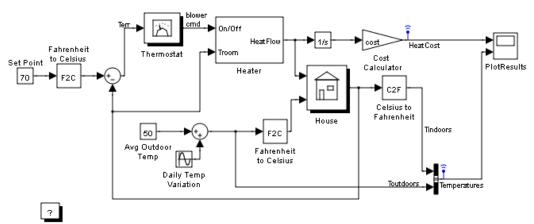
Another way of estimating the amount the system could save is by thermally modelling a house and calculating the energy used for different thermostat settings: one set like a traditional thermostat, which maintains a temperature for 12 hours a day through the week and the entirety of Sunday; and one using simulated occupation data, which a machine learning algorithm could hopefully predict.

Fortunately, MATLAB has a Simulink model for a house heating system, so making the simulations was reasonably straightforward. The program was modified by firstly matching the program parameters to the thermal resistance, temperature and average p/kWh of a UK home as specified above. Additionally, the constant thermostat setting was replaced by a switchable signal generator giving either the AutoHome or conventional thermostat settings. The schematic for this model is shown in Figure 4.3.

Figure 4.3: The House Heating Simulink Model

#### Thermal Model of a House

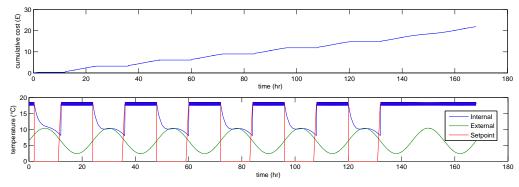
Note: Time given in units of hours



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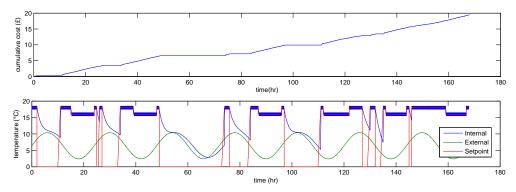
The model works by switching on/off a "blower", which heats 1 kg of air every second to 50 °Cwhen the internal temperature of the house deviates from the setpoint by more than 0.5 °C. A feedback loop inside the house block takes the thermal losses from the temperature gradient into account. Integrating the thermal power going into the house gives the energy used, which the cost can be calculated from. A weeks worth of thermostat settings (168 hours) is fed into the system for the conventional and occupancy setpoints, and the results shown in the graphs below. The external temperature, shown as green in the lower plots, oscillates sinusoidally with an amplitude of 4 °C around the average winter temperature in 2008 of 6.4 °C.

Figure 4.4: Simulation result for a week's worth of conventional thermostat program heating cost and temperatures



The first thing to note is that the estimated costs are in the same ballpark of those predicted by simple calculation earlier at £21.90 and £19.48 respectively, which represents a saving of almost £2.50. Although this a quite a modest saving, it is worth revisiting the other aim of the automation system, which is to increase the comfort and convenience of the house's heating. Clearly the occupancy of the house is non uniform unlike the setting of the conventional system, which means there will be times when the house is either unnecessarily heated or at an uncomfortable temperature.

Figure 4.5: Simulation result for a week's worth of predicted occupancy and corresponding thermostat setting cost and temperatures



## 4.2 Hardware Performance

Each module of the hardware was first developed separately and tested so in order tp fix any issues at their earliest stage. These were then all connected and the programmes combined to form a singular master programme which would control the whole mbed system.

First the digital temperature sensor was set up and programmed as discussed in section 3.1.3 after which initial tests found the temperature to be very low, however with the addition of pull-up resistors on the SCL and SDA pins the temperature was found to be as expected, this was then tested using an advanced handheld temperature sensor and was found to be accurate to  $\pm 0.5^{\circ}$ C as expected.

With the temperature sensor now completed the humidity sensor could be utilised, as it requires the local temperature in order to calculate the correct humidity from the input voltage which is measured using an ADC port on the mbed. This was then tested by measuring the outside environment with the humidity returned from the weather API, this was found to return similar values though the humidity sensor itself was not particularly stable and varied by several % points. However this would be as expected as the datasheet tells us the  $\pm 3\%$ .

With the local system complete, the wifly module was set up and connected to an access point setup using a laptop (as it cannot connect to enterprise enabled wifi). It was also tested using a standard home router which was supplied by the ISP company. After which a websocket connection was set up, this was generally successful but ran into a number of problems.

The first and major problem was that the websocket would close after an unknown period of time for unknown reasons. Furthermore the <code>is\_connected</code> function failed to report when the websocket would disconnect, the general solution came from the common ping-pong idea. Where a ping was regularly sent from the mbed and the server would then return pong, if this return 'pong' was not detected by the mbed mode it would then re-join the websocket, however this was only partially successful and sometimes failed completely, and so a reset then reboot command was sent to the wifly module after which it would then reconnect quickly with no failures.

## 4.3 Software Performance

### 4.3.1 Timetable Prediction

Every three hours<sup>1</sup> the timetable prediction program(described in section 3.2.3) is called to predict what it expects the current day to look like, as well as what it expects (though with lower confidence) the other days of the week. This is shown in Figure 4.6 which shows that the user is allowed to override the estimated timetable for advanced energy savings - however as current systems work purely by such scheduling and are often seen as inefficient this use is discouraged.

This timetable is used if the current state is either away or asleep and is expected to be inside (which also implies awake) in the next 15 minutes<sup>2</sup>. When this is the case the house is heated up to the expected comfortable temperature (determined by the algorithm in section 3.2.4). It will sometimes be the case that the user does not come back when expected. In such a case a half-hour window will be given for the house state to become inside (i.e. detect someone is present) before ignoring this timetable and instead waiting for the house state to actually become inside to avoid energy waste (at the expense of compromising user comfort).

Testing the real world use of the predictor was a challenge as a lot of data would need to be collected - a feat not possible in the amount of time given. Instead the UMass SMART\* dataset[11] was used to test this data and determine the accuracy (which also allowed for finding the best parameters) as seen in Figure 3.9. From this we can see that the expected accuracy is 85% which is a reasonably good performance which however requires a fallback

 $<sup>^{1}</sup>$ This value can be changed but was chosen to be three hours as the algorithm works best by regressing on 6 hours of past data - however to accommodate for unexpected events and offer more granularity 3 hours was chosen as a good compromise

 $<sup>^2</sup>$ The certainty - i.e. if the algorithm is 50% sure or 75% sure - can be changed but is set to 50 by default

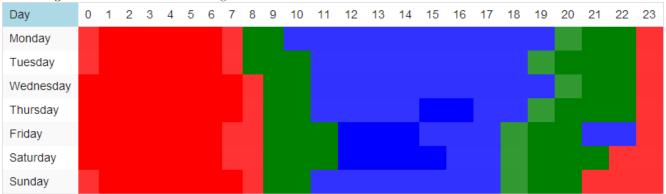
& override in case of failure - which is described above.

Figure 4.6: Timetable presented on website

#### Legend:

Red: Asleep - Green: Inside - Blue: Away

Dark: Algorithm Predicted State - Light: User Set State



## 4.3.2 Website Usage

The website was primarily tested in college using Google Chrome. This is because the site is only accessible internally to college (although it can be connect to elsewhere using the VPN) and Chrome is the only browser on the college computers that is up to date. Using a recent browser is important to the website, as it has regular use of websockets; a protocol only introduced in HTML5, along with a few other features not supported in older browsers. Unfortunately the other browsers on campus are not up to date enough to support websockets.

The first test for each page was to simply check for errors in the console window and address any issues that arose. After this, any major change made to the website was heavily tested for bugs by a handful of people. Any changes that were needed were made, with this process being repeated again for the updated version. The websockets could be tested easily by checking the websocket server log for messages, with the results of anything returned being displayed directly on the website.

Ajax requests could be checked in one of two ways. Firstly it can be checked that they are actually called and receive a response by using the network console window. This lets you see detailed information about any request, such as what data is sent with the request and any data that is returned. Secondly, because the ajax requests all deal with database handling, it is possible to check the relevant tables using mysql directly.

It is possible to check that the weather API script is collecting data correctly by viewing the graphs on the statistics page.

Once the website was fully functional in Chrome, it was also tested in latest versions of Internet Explorer and Firefox. A few small modifications were needed to ensure cross browser compatibility which were easily made. The site was also tested on IE9 mobile, where it failed due to lack of support for websockets, but was otherwise fine and also on safari for iOS, where it worked fully. The site is not however optimised for mobile use, this is where a phone app would come in use.

# 4.4 System Practicality

The practicality of the system was determined by assessing the ease at which it could be installed into a house. It is important the the entire system can be easily retro fitted to a house. Otherwise, only the relatively small number of new builds could get the benefits the system has to offer, which would render it both economically invalid and removes any real potential to impact the national or worldwide energy consumption. For each of the hardware modules, important considerations are how the component will be installed, maintained, the intrusion caused to the users and the security of it.

The majority of UK households have a gas fired boiler, which is controlled by either bimetallic coil switch in mechanical thermostats or a relay in programmable flavours. For these, only four wires are needed: live, neutral and the two switch wires for the relay. Connecting the main mbed module to this can either be done directly or with a separate boiler relay unit included connected to the wireless ZigBee network. The thermostat then maintains the temperature at a given setpoint by switching on/off this relay. Whether the house has an oil, electric or gas boiler or a ground source heat pump, the thermostat can control a local temperature with ignorance. Installing such a system will be identical to any commercial programmable thermostat, which can be done by any plumber or even by the homeowner with relative ease.

Similarly, with the light switches, the electronics is simple enough to be integrated into a conventional light switch housing, and can be replaced easily. The power supply can be derived off the mains that it is switching.

Furthermore, since the lightswitch is effectively just a wirelessly controllable mains supply with a bulb attached to it, the design can be used in any domestic appliance and embedded into a traditional mains socket or integrated into the appliance itself.

As for the power meter, the same current clip design is used in many of the commercially available 'smart meters' that are designed for the user to simply install themselves due to the safety of not having to touch the mains at any point. Although not implemented on the prototype, a very simple and sensible way to power to unit is through the voltage sense transformer by rectifying, smoothing and applying to the regulation circuit.

Motion sensors need to be in critical places such as main living spaces such as kitchen, bathroom and lounges, but also in bedrooms to determine sleep state. Naturally, the idea of having a wirelessly transmitting motion sensor especially in a bedroom may seem like an invasion of privacy, in reality they are only a passive infrared receiver with a digital output giving a change in radiation due to motion. Therefore, no information apart from the activity of the house, which is what the system is trying to ascertain, can be extrapolated.

# 4.5 System Unit Cost

*Note*: A breakdown of costs can be found in the appendix section 8.3.

As can be seen in the table above the final cost of the prototype came to £212.34 (including breadboard). While this is above our expected costs this does not take into account any benefits from economies of scale, plus the advantages from component switching when working on PCB (as opposed to breakout boards required for breadboard). Furthermore the mbed development board is the most expensive unit for our prototype, however when finally developed only the microprocessor (and some other debugging components) will be required. This would drop the cost of the microprocessor from £41.38 to just £5.51 (for a single unit, lower for multiples) and so already it can be seen that there is significant scope for reductions before the final design.

# Further Development

There are many ways in which this system could be enhanced, for example adding cameras or microphones to increase the detection rate of human presence. However many of these come at the cost of overcomplicating the device (and its installation) - for example the proposition of adding a camera not only complicates the installation and adds little benefits but also introduces privacy problems (many people would object to the installation of a camera in their home) - ultimately causing the product to be less attractive. Thus further developments must take this into account to genuinely improve the product and make it more attractive and useful rather than loading it with features.

## 5.1 LCD Screen

# 5.2 Modular Network Setup

One major improvement to our design would be the addition of a simple, ease of use module setup feature. This would be where different components could be purchased separately by the consumer and then with a simple few button touches would be connected into the network as a fully functional device, this could be done by using some of the abilities of Xbees which allows new Xbees to be easily included into a new network using an API framework.

## 5.3 Smart Door Lock

This improvement would involve offering a module which can be installed on the main door of the house and compliment a usual key lock by offering a wireless solution. The advantages of such a lock would be the ability to open the main door simply via a digital key adding more possibilities for key control. For example the keys could be given to guests temporarily or only work for the cleaning lady at certain times and deactivated remotely. Digital security would evidently be a high priority of such a device.

Additionally this type of device, while very new, is not innovative in itself as there are already two companies trying to enter this market - Lockitron and August Lock. The real interest in offering this as a module would be near 95-99% certainty in house occupancy<sup>1</sup>. Indeed by combining the house motion sensors with main door traffic flow (which can be determined whether someone has just entered the house or not). The state can be determined by a process similar to:

- Key used to enter house: Assume someone is entering house thus presence is inferred
- **Key not used**: Assume that someone is either leaving the house or guests are being let in. Differentiate by: *No motion detected in house up to 5 minutes after event*: Assume house empty (otherwise motion would be detected when moving inside house)

Motion detected 5 minutes after event: Infer presence in house

While a Hidden Markov Model will still need to be relied upon to clearly differentiate states this method is expected to greatly increase house estimation accuracy while adding a useful module to the system.

<sup>&</sup>lt;sup>1</sup>Assuming no pets which is another issue to deal with

# Conclusion

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# **Appendix**

## 8.1 Hardware

#### 8.1.1 mbed

#### Main Control Code

```
#include "mbed.h"
#include "TMP175.h"
    #include "WiflyInterface.h"
#include "HTTPClient.h"
    #include HITPCHENT.n
#include "Websocket.h"
#include "SDFileSystem.h"
   #include "SDFilesystem
#include "picojson.h"
#include "header.h"
#include "xbee.h"
#include "MODSERIAL.h"
    #define ATIClength 23 // 23 gives best results
    AnalogIn ain(p18);
                                            // Humidity pin
    Serial wifi(p13,p14);
                                            // Wifly module pins
    DigitalOut rst1(p26);
                                           // Temperature reset pin
   DigitalOut WiFlyreset(p30); //direct reset signal into WiFly for errorhandler WiflyInterface wifly(p13, p14,p19,p20,"TP-LINK_804327", "C1804327", WPA); //wifly module set-up SDFileSystem sd(p5, p6, p7, p8, "sd"); // SD card set up
    //Tickers set for recurring functions
    Ticker sendPower;
    Ticker measureMotion;
    Ticker lightstatus;
   Ticker dataSend;
    TMP175 mySensor(p28,p27); // Temperature set up
    Websocket wsoc("ws://ee-ug1.ee.ic.ac.uk:8888/ws"); //websocket address
    picojson::value v; //JSON pars:
XBEE myxbee; //Xbee function
                                //JSON parsing variable
    MODSERIAL pc(USBTX, USBRX); //Debugging using serial terminal MODSERIAL xbee(p9,p10); //Modserial allows increased buffer size for xbee
   MODSERIAL xbee(p9,p10);
DigitalOut led(LED1);
DigitalOut flash(LED4);
33
    //initialise variables
   char response[ATIClength];
char orderedresponse[ATIClength];
    char str[512];
char recv[512];
    float humidity;
    float Temp;
    float thermostat = 15;
    int pCurrent=0;
    int pPhase=0;
    int pVoltage=0;
    int motionCount =0;
    int bufferpos= 0;
    int a=0;
    //64 bit addresses of XBEEs
    char MROaddress[8] = {0x00,0x13,0xA2,0x00,0x40,0x8B,0x71,0x55};//motion sensor XBEE char MR2address[8] = {0x00,0x13,0xA2,0x00,0x40,0x8B,0x70,0x79};//power monitoring XBEE
    char MR3address[8] = {0x00,0x13,0xA2,0x00,0x40,0x8B,0x71,0x45};//Light control XBEE
    //extern char MR1address[8];
    extern char * test;
extern char * MR1address;//pointer to array which holds the currently selected address.
57
    bool lightStatus=false;
59
    void swapaddress(char address[])
    /* used to swap xbee address as required for communication with the
```

```
63
        different xbees */
64
65
        MR1address=&address[0];
66
67
   }
68
   void readATICresponseISR(MODSERIAL_IRQ_INFO *q)
69
70 {
71
72
        MODSERIAL * serial= q->serial;
73
74
75
        if(serial->readable())
            response[bufferpos]=serial->rxGetLastChar();//read in char but dont delete from circular buffer
76
77
78
79
        pc.printf("IRQ REPLAY %d IS %2X LONG\n",bufferpos, response[bufferpos]);
80
        if(bufferpos == ATIClength) {
81
            bufferpos = 0;
82
        if(serial->rxBufferFull()==true) {
83
84
            serial->rxBufferFlush();//flush the buffer once it is full.
            if(serial->rxBufferEmpty()==true)
85
86
                pc.printf("BUFFER FLUSHED\n");
            bufferpos=0;
87
        }
88
89
90
   }
91
   void readATICresponseISR2()
92
93
94
95
        int pos;
        if(xbee.readable()) {
96
97
            response[bufferpos]=xbee.getc();//clear bytes from FIF0
98
99
100
        bufferpos++;
       pc.printf(" %d IS %2X LONG\n", bufferpos, response[bufferpos]);
103
        if(bufferpos == ATIClength-1) {
104
            bufferpos = 0;
105
            pos=myxbee.lastpacketpos(response,ATIClength);
106
107
            pc.printf("packet starts here at byte %d pos\n the packet delimiter is %2X \n",pos+1,response[pos]);
108
            pc.printf("DIGITAL HEX VARS ARE %2X and %2X\n",response[ATIClength-4],response[ATIClength-3]);//extract the
        digital values from sent packet
        }
112
113 }
   void lightCheck()
116
        //function to call xbee light check function and to send the status to the sever
118
119
         disable irg():
        myxbee.SENDLIGHTSTATUSREQ();
120
121
        \label{light_ID} $$//\operatorname{sprintf(str, "{\type\":\light_request_response\" , \light_ID\":1 , \light_ID\": %d }", \ lightStatus); $$
        //wsoc.send(str);
122
123
        __enable_irq();
124 }
125
   void pinapona()
126
127
        ping pong function used to detect whether websockets are still running
128
        and then reset and reboot wifly module then reconnect to websocket */
129
130
        __disable_irq();
        134
135
136
        wait_ms(100);
        //if server responds then websockets are working so return//
        if(wsoc.read(recv)) {
   pc.printf("%s\n",recv);
   //if(strcmp("{\"type\":\"pong\"}",recv) == 0)
138
139
140
            __enable_irq();
141
142
            return:
143
        //otherwise reset and reboot wifly module
144
        WiFlyreset=0;
145
146
        pc.printf("RESETTING WIFLY\n");
147
        wait_ms(200);//make an active low pulse
148
        WiFlyreset=1;
        wait_ms(100);//give time to reset
149
        wifly.send("reboot",6); //send reboot cmd to wifly module
150
        wait_ms(200);
        wifly.init(); //Use DHCP
        wait(0.5);
154
        wifly.connect(); //connect to wifi
```

```
155
       wait(0.5);
157
       //websocket reconnect
158
159
       if(wsoc.connect() == 1) {
       pc.printf("This was a truimph!");
} else {
160
161
           pc.printf("failed!");
162
           // pingpong();
164
       __enable_irq();
165
166
       return;
167
168 }
170
   int main()
171
172
173
       //set baud rate
       pc.baud(115200);
       xbee.baud(115200);
176
177
       //wifly reset at start
       __disable_irq();
WiFlyreset=1;
178
       wait(2):
180
       WiFlvreset=0:
181
       wait(2);
182
183
       WiFlyreset=1;
184
       //set xbee addresses
MR1address=&MR2address[0];
185
186
187
188
       pc.printf("Test Wifly!\r\n");
189
190
191
       mySensor.vSetConfigurationTMP175(SHUTDOWN_MODE_OFF|COMPARATOR_MODE|POLARITY_0|FAULT_QUEUE_6|RESOLUTION_9,0x48); //
         Temperature set-up
       wait_ms(400);
193
194
       wifly.reboot(); //reboot wifly
       wait(1.0);
195
196
       wifly.init(); //Use DHCP
197
       wait(1.0);
       wifly.connect(); //connect to wifi
198
       wait(1.0):
       wsoc.connect(); //connect to websocket
200
       __enable_irq();
201
202
203
       sendPower.attach(&myxbee,&XBEE::SENDPOWERREQ, 5);
204
       //ticker polling power sensor for data
205
       wait_ms(1000);
206
207
208
       measureMotion.attach(&myxbee,&XBEE::SENDMOTIONREQ,3.0);
209
       //ticker polling motion sensor
210
       wait_ms(1000);
211
       lightstatus.attach(&lightCheck,3);
212
213
       //ticker polling whether the light is on or off
214
215
       dataSend.attach(&senddata,17);
       // {\tt send power, humidity, temperature and motion data to server} \\
216
217
       while (1) {
218
219
220
           221
222
           Temp=mySensor; //get temperature in variable 'temp'
223
224
           225
226
           humidity = ain.read();
humidity = (((humidity*5)/3.3)-0.1515)/0.00636;
227
228
           humidity = humidity/(1.0546-(0.00216*Temp));
229
230
231
232
           233
234
           recv[0] = '\0';
235
                                  //set recieve string to 0
           wait_ms(1500);
236
237
238
239
           if(wsoc.read(recv)) {    //if communication recieve pass data to recieve function
240
               pc.printf("%s\r\n", recv);
241
               recievedata():
242
243
244
246
               //otherwise check websocket is still running
```

#### code/homeauto-main.cpp

```
#ifndef header_h
   #define header_h
   #include "Websocket.h"
   #include "picojson.h"
#include "mbed.h"
#include "xbee.h"
11
13
   extern Websocket wsoc; //websocket address
extern picojson::value v;
   extern char str[512];
   extern char recv[512];
extern float humidity;
extern float Temp;
20
   extern float power;
21
23
   extern float thermostat;
24
   extern XBEE myxbee;
25
   void lightsignal():
26
   void senddata();
27
   void thermoupdate();
   void recievedata();
30
   void powerconv();
31
   #endif
32
```

#### code/homeauto-header.h

```
#include "header.h
   #define PI 3.1415926
   extern void swapaddress(char address[]);
   extern char MR0address[8];
   extern char MR2address[8];
extern bool lightStatus;
   float PCurrent;
   float PVoltage;
   float PPhase;
   float power;
14
   void senddata()
16
   ^{/st} function sends temperature, humidity, power and motion data back to the server at regular intervals ^{st/}
       __disable_irq();
                        //function to work out correct power measurement from calibration data
20
       powerconv();
21
       sprintf(str, " {\"type\":\"house_measurement\" , \"Temperature\":%f, \"Humidity\":%f, \"power\":%f, \"Motion\":%f
22
       }", Temp, humidity, power, motionCount); //place in string //data sent after stored in string
23
24
       wsoc.send(str);
25
       __enable_irq();
  }
26
27
28
   float cosine_func(float x){
30
       31
32
       return x;
33
34
36
   void powerconv()
37
   /* function uses previously collected power data to calibrate the sensor correctly
38
       first working out the voltage, current and phase and then real power
39
       using known power equations
40
       PVoltage = 0.3535*pVoltage - 2.6009;
PCurrent = 0.0022*pCurrent - 0.0065;
42
43
```

```
PPhase = (PI/1024)*pPhase;
power = PVoltage*PCurrent*cos(PPhase);
 44
45
46
    }
47
 48
49
    void lightsignal()
50
    ^{\prime *} function to turn on and off the lights, using the JSON string parsing to find which light has been selected to turn off ^{*}/
51
53
          int lightid = (int)v.get("light_ID").get<double>();
55
          //string parsing to get light id
         swapaddress(MR3address);
56
57
         //select correct xbee address
58
59
          /*switch function selects correct light and then using the "status"
          variable from the JSON string sets light to on or off */
60
61
         switch( lightid ) {
62
              case 1:
                   if(v.get("status").get<double>() == 1) {
   //call xbee and turn light on
63
64
65
                        myxbee.SENDLIGHTONREQMOD();
66
 67
                        //call xbbe and turn light off
myxbee.SENDLIGHTOFFREQMOD();
68
69
70
 71
                   break;
              case 2:
                   if(v.get("status").get<double>() == 1) {
 74
                         //call xbee and turn light on
                   } else {
 75
                        //call xbbe and turn light off
 76
 77
 78
 79
              default:
80
                   break;
81
         }
    }
82
83
84
85
    void thermoupdate()
86
    ^{\prime *} updates thermostat setting to correct variable from website ^{*\prime}
87
         thermostat = v.get("setting").get<double>();
88
    }
89
90
91
    void recievedata()
93
94
         Function that is called when data is recieved from the websocket,
          the string is then copied to a pointer type and parsed (using
95
          JSON functions) to determine the type and then calls other
96
         functions depending on the type
97
98
99
         char * json = (char*) malloc(strlen(recv)+1);
100
         strcpy(json, recv);
103
         string err = picojson::parse(v, json, json + strlen(json));
104
         //error function
         /*move the 'type' in JSON string into a string type which is then compared to expected types from the server, after which actions are taken accordingly */ \,
106
107
         char type[200]:
108
         strcpy(type,v.get("type").get<string>().c_str());
printf("%s",type);
if( strcmp(type, "light_request") == 0) {
109
110
111
              //request whether light is on or off
sprintf(str, "{\"type\":\"light_request_response\" , \"light_ID\":1 , \"status\": %d }", lightStatus);
112
113
              wsoc.send(str);
         } else if( strcmp(type, "light_control") == 0) {
               //turn on or off light
116
              lightsignal();
117
118
         } else if( strcmp(type, "thermostat_request") == 0) {
              //request temperature setting of thermostat, which is returned, alond with house temperature sprintf(str, "{\"type\":\"thermostat_request_response\", \"temperature\":%f, \"setting\": %f }", Temp,
119
120
          thermostat);
121
              wsoc.send(str);
         } else if( strcmp(type, "thermostat_control") == 0) {
122
123
               //thermostat setting has been updated from website
124
              thermoupdate();
         }
125
126
127
         return;
129 }
```

code/homeauto-functions.cpp

#### XBee

#### API Framework

Figure 8.1: XBee and XBee PRO wireless specifications

Specification	XBee ZNet 2.5	XBee PRO ZNet 2.5
Performance	•	
Indoor/Urban Range	up to 133 ft. (40 m)	up to 300 ft. (100 m)
Outdoor RF line-of-sight Range	up to 400 ft. (120 m)	up to 1 mile (1.6 km)
Transmit Power Output	2mW (+3dBm), boost mode enabled 1.25mW (+1dBm), boost mode disabled	50mW (+17dBm) 10mW (+10 dBm) for International variant
RF Data Rate	250,000 bps	250,000 bps
Serial Interface Data Rate (software selectable)	1200 - 230400 bps (non-standard baud rates also supported)	1200 - 230400 bps (non-standard baud rates also supported)
Receiver Sensitivity	-96 dBm, boost mode enabled -95 dBm, boost mode disabled	-102 dBm

The API framework consisted of 3 main sections. First is a function specific frame creator which allows an explicitly specified frame to be transmitted to the UART on the XBee. These frame creator functions are able to calculate their own checksums and account for different addresses as well. This allowed the specific frame requests to be transmitted at request. Next a function called **readreply()** was created in order to capture the reply from the XBees and store it in an array for that function. In most cases the reply came almost immediately, thus there was no need to wait at all for the reply. There are different variants of readreply which show the reply bytes if needed. Last is the **readpacket()** function which decoded the reply depending on what it is a reply to. This was achieved by scanning the incoming data and picking out a packet from the reply then looking at the frame specifier type which allowed us to see what kind of response it was. Once this had been done, it was possible to then decode the data from those packets in each case. As mentioned earlier the analogue reading which is a remote AT IS command response has 2 frame ids returned depending on which function created it. Sensor Interfaces.

Our sensors use a variety of these features.

The Motion sensors use the analogue inputs. The API frame for measuring the value on the ADC inputs is sent in the SENDSENSORREQ() function. This function also reads the reply and decodes the appropriate bits to get the analogue value back. The ADC input only goes from 0 to 1.2V though so the levels it can read are different from the motion sensor output which is VDD-0.5 where VDD is 3.3V. Thus we used a potential divider to scale the voltage down around 0.8V for motion and 0.5V for no motion. This allows us to see when motion has been detected.

#### Light

In order to control the light switches with the XBee, we had to implement extra digital logic. This was controlled from two sides, where the physical light switch was one and the digital output from the XBee was the other. In order to service the web socket requests the digital IO functions for the XBee were called by using either SENDLIGHTONREQ() or SENDLIGHTOFFREQ(). These functions either pulled the pin high or low depending on which one was called. This gave the other input to the XOR gate which allows the triac circuit to turn the light on or off accordingly. The other job the XBee has is to measure the current output of the XOR gate in order to get the current status of the light. This is needed in order to update the graphic on the website relating to the relevant light toggle switch. In the end two ways were attempted to accomplish this.

The first was a polling based method which we ended up using; this method uses a similar analogue function as the motion sensor readings used. This was done in order to save a bit of time when writing the code, as the speed of detection was not an issue. When the function SENDLIGHTSTATUS() is called the XBee calls for an analogue reading which is a scaled down version of the digital level on the XOR gate. As well as giving an analogue reading a different frame ID is returned in order to differentiate between motion sensor readings and light switch readings. These are then loaded into global variables and passed via web socket. Our second method was to use UART interrupts along with the XBee pin change detection feature. The XBee can detect rising and falling edges on its monitored digital inputs. When an edge occurs the corresponding API frame is transmitted. The interrupts on the UART allow the MBED to listen for this reply which could come in at any time. Unfortunately the result turned out to be buggy as the modified serial library with a circular buffer had strange behaviour. getc() would not read the characters in the correct order, whilst using a function called rxGetLastChar() the operation worked fine until the buffer filled up. After flushing this buffer the UART only read in 5 or 6 bytes at a time. The standard serial library worked fine with the interrupts on the UART however not all of the XBee frames can be captured correctly

as there is only a 16 byte buffer on the FIFO. Thus this idea was not used in the end. Since we chose to go down the polling route, there is a 3 second lag on how long it takes for the graphic on the webpage to update.

#### Power

The power sensor interfaced slightly differently to the other sensors. As there is a ATmega microcontroller on the sensor circuit it was decided that transferring the data as 16 bit short integers over UART would be most efficient. This was the case as the ADCs in the XBee are only 10 bit and would introduce more error into the measurements. Due to the serial interrupts not working as expected a workaround was devised, as it was not possible to just send a raw UART frame we leveraged the ATmega controller to help us. The power readings are taken on a regular poll using a standard timer in the MBED like every other function. When this timer activates the digital output on the power XBee is pulled high. This causes an interrupt to occur on the rising edge of the signal which causes the ATmega controller to explicitly send a new API frame containing raw data with the numbers to the co-ordinator XBee. A small wait happens in between these two functions in order to make sure that the circular buffer is filled with the UART data. After receiving and decoding the data, a request to set the XBee digital pin low is sent, and the sensor will be ready for another reading the next time SENDPOWERREQ() is called.

## Issues with interrupts

At the beginning the ideal vision of how we would have handled XBee communication would have been to use ISRs to service requests as needed. For simplicities sake due to timing we decided that a polling based method would be more robust and just as viable. In order to use an interrupt based approach we would have to have had full interrupt capability on all of the UART ports so that ISRs could be activated upon the required JSON strings entering the system. Taking a poll based approach we used the timers on the Cortex-M3 in order to activate interrupts at specified time intervals. One problem with this was the fact that whilst one ISR was running, it could not be interrupted at all, so nested interrupts were not possible with this approach. If the ISR was interrupted again whilst sending out an API frame to the UART it would simply be discarded as invalid data. If an interrupt occured when the XBee received a frame, that data would then have been missed as well and the whole thing would have had to have been done again. Thus all interrupts were disabled globally for the duration of the XBee ISRs. This was done with the function calls \_\_disable\_irq() and \_\_enable\_irq. This ultimately limits the amount of processing that can occur on the CPU core as the ISRs are effectively blocking functions, and thus nothing else can run whilst they do. In the case of the power routine, there is a 200ms wait in there which squanders a lot of cycles however it has to be there in order to capture the response, thus in this instance an interruptable UART would have been superior. With polling comes inevitable latency between polls as well which can be several seconds. This is most noticeable when polling for the light context at which point the true context isn't updated for up to 3 seconds if the most recent poll has just passed. This causes a slight glitchyness on the web interface, however after the next poll it would correct itself.

#### $\mathbf{Code}$

```
#include
            "mbed.h'
   #include <vector>// alternate way of getting array length as standard wasnt working.
   #include "MODSERIAL.h"
   #include "xbee.h"
   #define ATIDlength 50
   #define ATISLENGTH 18
   #define ATresponselength 9
   #define MAXMESSAGELENGTH 100
10
   DigitalOut mvled(LED1):
   extern MODSERIAL pc;
   extern MODSERIAL xbee;
   char ATIDplaceholder[ATIDlength];
18
   //char BOSSaddress[8]= {0x00,0x13,0xA2,0x00,0x40,0x8B,0x71,0xDE};
   //char MR1address[8] = {0x00,0x13,0xA2,0x00,0x40,0x8B,0x71,0x55};
   char * MR1address;
   char * test;
vector <char> comvec;
23
24
   int hextoint(char MSB, char LSB)
25
27
       int total=0;
28
       total=(int)MSB*256+(int)LSB:
29
30
       return total;
33
   void XBEE::swapaddress(char address[]){
35
   //address pointed to becomes the one passed in argument.
36
37
   MR1address=&address[0];
39
40
```

```
41 char * XBEE::findcurrentaddress(){
42
   return MR1address;
43
44
45
   }
46
47
    char XBEE::checksum(char hexchar[])
48
       //calculate checksume.
49
        int length;
50
        int calculatedchecksum;
        int total=0;
53
        length = (int)hexchar[1]+(int)hexchar[2];
55
        for(int i=3; i<3+length; i++) {//ignore delimiter and length bytes.
56
            total=total+(int)hexchar[i];
58
   //pc.printf("%2x\n",hexchar[i]);
59
        //pc.printf("%d\n",total);
60
61
        calculatedchecksum=0xFF-(total & 0xFF);
62
        //pc.printf("%2x\n",calculatedchecksum);
63
64
65
        return calculatedchecksum:
66
   }
67
68
69
   int XBEE::lastpacketpos(char packet[], int arraylength)//find last packet position in big reply string
71
       //improvement -- detect all packet positions not just last one.
72
        int currentlastpos=0;
73
74
        //pc.printf("array length is...%df\n",arraylength);
75
77
        for(int i=0; i<arraylength; i++) { //static array, so valid method.
78
            if(packet[i]==0x7E)
                currentlastpos=i;
80
81
82
83
        return currentlastpos;
84
   }
85
86
   void XBEE::readpacket(char packetstring[],int packetpos,int packetstringlength)
87
88
90
        if(packetstringlength != 0) {
91
            char snip[128];//makes a copy of current packet, a preparation for detecting multiple packets in returned
         string.
            enum packettype {ATcommandresponse.LocalATcommand.Modemstatus.Txreply.RemoteATcommandresponse.RemoteTxreply};
92
            enum packettype packetstatus;
93
            enum ATcommand {ATIS,ATID};//supported AT commands
94
95
            enum ATcommand command;
96
            int bytes =packetstring[packetpos+1]*256+packetstring[packetpos+2];
            //pc.printf("number of bytes in packet is %d\n", bytes);
97
            char status = packetstring[packetpos+3];//get frame specifier
98
            pc.printf("status is %2x\n",status);
for(int j=0; j<br/>bytes+2+1; j++) { //make a copy of current packet
99
100
101
                snip[j]=packetstring[packetpos+j];
103
            char calculatedchecksum=checksum(snip):
            //pc.printf("calculated checksum is %2x\n",calculatedchecksum);
105
            //pc.printf("given checksum is %2x\n",packetstring[packetpos+bytes+2+1]);
106
            bool valid;
108
            if(calculatedchecksum==packetstring[packetpos+bytes+2+1]) {
                switch (status) {//assign meaningful specifiers
112
                     case 0x88:
114
                         packetstatus=ATcommandresponse;
                         pc.printf("AT command response packet detected\n");
                         break;
                     case 0x8A:
118
                         packetstatus=Modemstatus;
                         break;
120
                     case 0x08:
                         packetstatus=LocalATcommand;
                         pc.printf("LOCAL AT command response packet detected\n"):
124
                     case 0x8B:
                         packetstatus=Txreply;
126
                         pc.printf("TX response packet detected\n");
                         if(packetstring[packetpos+2+5]==0x00)
128
                             pc.printf("packet was sent sucessfully\n");
                         else
130
                             pc.printf("Something went wrong\n");
132
                         break;
```

```
133
                                                  case 0x97:
                                                             packetstatus=RemoteATcommandresponse:
134
135
                                                             pc.printf("AT command equivalent is \ensuremath{\mbox{\sc n''}}, packetstring[packetpos+2+2+8+2+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+2+8+2+1], packetstring[packetpos+2+2+8+2+1], packetstring[packetpos+2+2+8+2+1], packetstring[packetpos+2+2+8+2+8+1], packetstring[packetpos+2+2+8+2+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+2+8+1], packetstring[packetpos+2+8+1], packetstring[packetpos+2+8+1], packetstring[packetpos+2+8+1], packetstring[packetpos+2+8+1], packetstring[packetpos+2+1], packetstring[packetpos+2+1], packetstring[packetpos+2+2+1], packetstring[packetpos+2+1], packetstr
136
                     packetpos+2+2+8+2+2]);//2/2/8/2===length offset/cmd type and frameID/64bit address/16 bit address
137
                                                             char pt1,pt2;
                                                             pt1=packetstring[packetpos+2+2+8+2+1];
138
                                                             pt2=packetstring[packetpos+2+2+8+2+2];
if(pt1=='I' && pt2=='S') {
140
                                                                       command=ATIS;
141
142
143
144
                                                             break:
                                                  case 0x90:
145
                                                             int messagestartpos =0;
146
                                                             int datapos=0;
147
                                                             packetstatus=RemoteTxreply;
148
149
                                                             pc.printf("REMOTE TX PACKET DETECTED");
150
                                                             {\tt messagestartpos=packetpos+2+2+8+2+1};\\
                                                             pc.printf("char \%c\%c\%c \ \ \ "", \ packetstring[messagestartpos], packetstring[messagestartpos+1],\\
                     packetstring[messagestartpos+2]);
                                                             if(packetstring[messagestartpos]=='p' && packetstring[messagestartpos+1]=='w' && packetstring[
                     messagestartpos+2]=='r') {//condition for power and not just a standard message
                                                                      \label{lem:datapos} $$  datapos=message startpos+3; $$  pc.printf("VCP is $%2x%2x%2x%2x%2x%2x\n",packetstring[datapos],packetstring[datapos+1], $$  $$  (a) $$  (a) $$  (b) $$  (b) $$  (b) $$  (c) 
                     packetstring[datapos+2],packetstring[datapos+3],packetstring[datapos+4],packetstring[datapos+5]);
                                                                       //get raw values from power uC.
                                                                       pVoltage=(int)packetstring[datapos]*256+(int)packetstring[datapos+1];
                                                                       pCurrent=(int)packetstring[datapos+2]*256+(int)packetstring[datapos+3];
158
                                                                       pPhase=(int)packetstring[datapos+4]*256+(int)packetstring[datapos+5];
160
                                                             } else {
161
                                                             //IMPROVEMENT -- implment message printer
162
                                                                      pc.printf("standard message\n");
163
164
165
                                                             }
166
                                                             break:
167
168
169
170
                                        }
172
                                        if(command==ATIS) {
                                                  pc.printf("SENSOR READING REQUESTED\n");
173
                                                  pc.printf("%2x%2x\n",packetstring[packetpos+2+bytes-1],packetstring[packetpos+2+bytes]);
174
                                                   int num=(packetstring[packetpos+2+bytes-1]*256)+packetstring[packetpos+2+bytes];
                                                   float voltage=0;
176
                                                  voltage=(float)num/1023*1.2;
                                                  pc.printf("rawis %d\n",num);
pc.printf("voltage is %fV\n",voltage);
178
179
                                                  if(voltage<0.72&&packetstring[packetpos+2+2]==0x01/*gives us Frame ID*/){</pre>
180
                                                            pc.printf("movement detected\n");
181
182
                                                             motionCount++:
183
                                                  else if(voltage >=0.72 && packetstring[packetpos+2+2]==0x01){//no motion detected if voltage is 0.8V pc.printf("NADA\n");
184
185
186
                                                     else if(voltage < 0.5 && packetstring[packetpos+2+2]==0x02){</pre>
187
188
                                                     pc.printf("Light is off\n");//if frame ID is 2 then it must have been a light packet.
                                                     lightStatus=false;
189
190
191
                                                     else if(voltage>= 0.5 && packetstring[packetpos+2+2]==0x02){
                                                    pc.printf("Light is on \n");
194
                                                     lightStatus=true;
195
196
197
                             }
198
199
                              else {
200
                                        pc.printf("the packet is messed up in some way\n");
201
202
203
204
                   }
205
206
207
         }
208
         char * XBEE::createmessagepacket(char message[],char address[])
209
210
211
                    static char packet[MAXMESSAGELENGTH +10];
212
                   short totallength=0;
213
         short length = 0;
214
                   short setuplength = 17;//number of bytes required for address+options etc. while(message[length]!='\0') {
215
216
217
                              length++;
                   }
218
219
                   pc.printf("length of input message data is %hd\n",length);
220
221
```

```
222
        totallength=length+setuplength;
        pc.printf("number of bytes in this packet is%hd\n",totallength);
223
224
225
        packet[0]=0x7E;
226
        packet[1] = (0xFF00 \& (totallength-3)); //-3 \ for \ frame \ delimiters \ and \ len \ MSB/LSB
227
        packet[2]=(0x00FF & (totallength-3));
228
        packet[3]=0x10;
        packet[4]=0x01;
229
        packet[5]=address[0];
230
        packet[6]=address[1];
231
        packet[7]=address[2];
232
233
        packet[8]=address[3];
234
        packet[9]=address[4];
        packet[10]=address[5];
235
        packet[11]=address[6];
236
        packet[12]=address[7];
237
        packet[13]=0xFF;
238
239
        packet[14]=0xFE;
240
        packet[15]=0x00;
241
        packet[16]=0x00;
        for(int i=0; i<length; i++) {</pre>
242
243
             packet[setuplength+i]=message[i];
             pc.printf("%c wass added to message\n",packet[setuplength+i]);
244
245
246
        packet[setuplength+length]=checksum(packet);
247
        for(int i=0; i<totallength+1; i++) { //+1 to get chesum output.
248
249
250
             pc.printf("created packet byte %d is %2x\n",i+1,packet[i]);
251
252
        }
253
        return packet;
254
255
256
   }
258
    void XBEE::readreply(char reply[], int &replylength)//if/for implementation, doesnt work well unless reading something
          very specific
    {
        replylength =0;
260
        if(xbee.readable()) {
261
262
263
             for(int i=0; i<18; i++) {</pre>
    reply[i]=xbee.getc();
//pc.printf("reply byte %d iz %2x\n",replylength,reply[i]);
264
265
266
                 replylength++;
267
             }
268
269
270
        }
271
272
   }
273
    void XBEE::readreply2(char reply[], int &replylength)//shows bytes read in.
274
276
        replylength =0;
277
        while(xbee.readable()) {
278
279
             reply[replylength]=xbee.getc();
280
            pc.printf("reply byte %d iz %2x\n",replylength,reply[replylength]);
281
282
            replylength++;
283
284
285
286
        }
287
288
289
        pc.printf("Length of reply is %d\n",replylength);
290
291
   }
292
293
294
    void XBEE::readreply3(char reply[], int &replylength)//no bytes shown, so completes a lot quicker as printf is bad for
295
          interrupts.
    {
296
        replylength =0;
297
        while(xbee.readable()) {
298
299
300
301
            reply[replylength]=xbee.getc();
302
            replylength++;
303
304
306
307
        }
308
309
310
311
312 }
```

```
313
314
315
316
    char * XBEE::createsensorpacket(char address[])
317
318
        static char packet[ATISLENGTH];
319
        short totallength=18;//number of bytes required for address+options etc.
320
322
323
324
        //pc.printf("number of bytes in this packet is%hd\n",totallength);
325
        packet[0]=0x7E;
        packet[1]=(0xFF00 & (totallength-3));//-3 for frame delimiters and len MSB/LSB
327
        packet[2]=(0x00FF & (totallength-3));
328
        packet[3]=0x17;
329
330
        packet[4]=0x01;
331
        packet[5]=address[0];
332
        packet[6]=address[1];
        packet[7]=address[2];
333
334
        packet[8]=address[3];
        packet[9]=address[4];
335
336
        packet[10]=address[5];
337
        packet[11]=address[6];
338
        packet[12]=address[7];
        packet[13]=0xFF;
        packet[14]=0xFE;
340
341
        packet[15]=0x00;
        packet[16]=0x49;//I
342
343
        packet[17]=0x53;//S
        packet[totallength]=checksum(packet);
344
345
346
347
348
        return packet;
349
350
   }
351
    char * XBEE::createlightStatuspacket(char address[]) //could be altered to void if needed
353
354
355
356
        static char packet[ATISLENGTH]:
357
        short totallength=18;//number of bytes required for address+options etc.
358
359
360
361
362
        //pc.printf("number of bytes in this packet is%hd\n",totallength);
363
        packet[0]=0x7E;
364
        packet[1]=(0xFF00 & (totallength-3));//-3 for frame delimiters and len MSB/LSB
365
        packet[2]=(0x00FF & (totallength-3));
366
367
        packet[3]=0x17;
368
        packet[4]=0x02;
369
        packet[5]=address[0];
370
        packet [6] = address[1]:
        packet[7]=address[2];
371
372
        packet[8]=address[3];
        packet[9]=address[4];
373
374
        packet[10] = address[5];
375
        packet[11]=address[6];
        packet[12]=address[7];
        packet[13]=0xFF;
377
        packet[14]=0xFE;
378
        packet[15]=0x00;
379
        packet[16]=0x49;//I
380
381
        packet[17]=0x53;//S
        packet[totallength]=checksum(packet);
382
383
384
385
386
        return packet;
387
388
   }
389
390
    char * XBEE::createlightonpacket(char address[]) //could be altered to void if needed
391
392
393
394
        static char packet[ATISLENGTH];
short totallength=19;//number of bytes required for address+options etc.
395
396
397
398
399
        //pc.printf("number of bytes in this packet is%hd\n",totallength);
400
401
        packet[0]=0x7E:
        packet[1]=(0xFF00 & (totallength-3));//-3 for frame delimiters and len MSB/LSB
402
        packet[2]=(0x00FF & (totallength-3));
403
404
        packet[3]=0x17;
405
        packet[4]=0x01;
```

```
406
               packet[5]=address[0];
407
               packet[6]=address[1]:
                packet[7]=address[2];
408
               packet[8]=address[3];
409
410
               packet[9]=address[4];
411
                packet[10]=address[5];
412
               packet[11]=address[6];
               packet[12]=address[7];
413
               packet[13]=0xFF;
414
               packet[14]=0xFE;
415
               packet[15]=0x02;
416
417
               packet[16]=0x44;
418
               packet[17]=0x30;
               packet[18]=0x05;//make output high
419
               packet[totallength]=checksum(packet);
420
421
422
423
424
               return packet;
425
426 }
427
       char * XBEE::createlightoffpacket(char address[]) //could be altered to void if needed
428
429
430
                static char packet[ATISLENGTH];
431
               short totallength=19;//number of bytes required for address+options etc.
432
433
434
435
436
             // pc.printf("number of bytes in this packet is%hd\n",totallength);
437
               packet[0]=0x7E;
438
               packet[1]=(0xFF00 & (totallength-3));//-3 for frame delimiters and len MSB/LSB
439
               packet[2]=(0x00FF & (totallength-3));
440
441
               packet[3]=0x17;
442
               packet[4]=0x01;
443
               packet[5]=address[0];
               packet[6]=address[1];
444
               packet[7]=address[2];
445
446
               packet[8]=address[3];
               packet[9]=address[4];
447
448
               packet[10] = address[5];
449
               packet[11]=address[6];
               packet[12]=address[7];
450
               packet[13]=0xFF;
451
               packet[14]=0xFE;
452
               packet[15]=0x02;
453
454
               packet[16]=0x44;
455
               packet[17]=0x30;
               packet[18]=0x04;//make output low
456
457
               packet[totallength]=checksum(packet);
458
459
460
461
               return packet;
462
463
      }
464
465
       void XBEE::readaddress(char address[]){
466
467
      pc.printf("address is \ \%2x\%2x\%2x\%2x\%2x\%2x\%2x\%2x\%2x \land n", address[0], address[1], address[2], address[3], address[4], address[5], address[6], addres
468
                 address[6].address[7]):
469
       }//printf current address pointer
470
471
472
473
       void XBEE::SENDMESSAGE()
474
       {
475
476
                int length=0;
477
                int replylength=0;
478
                char cstr[MAXMESSAGELENGTH];
479
               char reply[MAXMESSAGELENGTH];
char * msgptr;
480
481
               pc.printf("entermessage\n");
482
               pc.scanf("%s",cstr);
483
                while(cstr[length]!='\0') {//find length of input string
484
485
                       length++;
486
487
488
489
490
               pc.printf("length of input is %d\n",length);
491
492
               length=length+18;
493
               msgptr=createmessagepacket(cstr,MR1address);
494
495
               pc.printf("message packet created\n");
496
497
                if(xbee.writeable()) {//send packet down UART
```

```
498
             for(int i=0; i<length; i++) {</pre>
499
500
501
                  xbee.putc(*(msgptr+i));
502
                  pc.printf("contents of message packet byte %d is %2x\n", i,*(msgptr+i));
503
             }
504
        }
505
        readreply2(reply, replylength);
507
        readpacket(reply, lastpacketpos(reply, replylength), replylength);
508
509
         for(int i=0; i< replylength; i++) {
            pc.printf("reply is %2x and %d\n",reply[i],replylength);
512
513
514 }
515
516
    void XBEE::SENDSENSORREQ()
518
519
         __disable_irq();
         int length=19;
520
521
        int replylength=0;
        char reply[MAXMESSAGELENGTH];
char * msgptr;
523
        char * temp=findcurrentaddress();
526
527
528
        msqptr=createsensorpacket(MR1address);
530
        pc.printf("SENSOR packet created\n");
532
533
        if(xbee.writeable()) {
             for(int i=0; i<length; i++) {</pre>
536
                 //pc.printf("contents of message packet byte %d is %2x\n", i,*(msgptr+i));
538
                  xbee.putc(*(msgptr+i));
539
540
541
             }
542
        readreply2(reply, replylength);
543
        readpacket(reply, lastpacketpos(reply, replylength), replylength);
544
545
546
547
             swapaddress(temp);
548
             __enable_irq();
   }
551
    void XBEE::SENDMOTIONREQ(){
    __disable_irq();
char * temp=findcurrentaddress();
swapaddress(MROaddress);
553
554
    SENDSENSORREQ();
556
557
   __enable_irq();
}
    swapaddress(temp);
558
    void XBEE::SENDLIGHTONREQ()
{
559
560
561
562
563
564
        readaddress(MR1address);
565
        int length=20;
566
        int replylength=0;
        char reply[MAXMESSAGELENGTH];
char * msgptr;
567
568
569
570
571
        msgptr=createlightonpacket(MR1address);
572
573
        //pc.printf("message packet created\n");
575
        if(xbee.writeable()) {
576
577
578
             for(int i=0; i<length; i++) {
579
    //pc.printf("contents of message packet byte %d is %2x\n", i,*(msgptr+i));
580
                  xbee.putc(*(msgptr+i));
581
582
             }
584
        }
585
586
   }
587
    void XBEE::SENDLIGHTOFFREQ()
588
589
590
```

```
591
         int length=20;
593
         int replylength=0;
594
         char reply[MAXMESSAGELENGTH];
char * msgptr;
595
596
597
599
         msgptr=createlightoffpacket(MR1address);
600
         pc.printf("message packet created\n");
601
602
603
         if(xbee.writeable()) {
604
605
              for(int i=0; i<length; i++) {</pre>
606
    //pc.printf("contents of message packet byte %d is %2x\n", i,*(msgptr+i));
607
608
609
                   xbee.putc(*(msgptr+i));
610
              }
611
612
    readreply2(reply,replylength);
613
614
    readpacket(reply,lastpacketpos(reply,replylength),replylength);
615
616
617
618
619
    }
620
621
    void xbeeATIDCHANGE()
{
622
623
624
         char PANID[2];
625
626
         short hex;
627
         char hexchar[10] = {0x7E,0x00,0x06,0x08,0x01,0x49,0x44,0x0E,0xE4,0x79};
628
629
         pc.printf("what do you want the PANID to be (in hex)?\n");
630
         pc.printf("what do you want the FANID to be (in hex)?\in ),
pc.scanf("%x", &hex);//hex input
pc.printf("%hd\n",hex);
pc.printf("%4x\n",hex);
PANID[1]=hex/256;//shift left bvy 8 bits so that can fit in char(0xFF00 is too big).
631
632
633
634
         PANID[0]=hex & 0x00FF;
635
         hexchar[7]=PANID[1];
636
         hexchar[8]=PANID[0];
637
638
         hexchar[9]=checksum(hexchar);
639
640
641
         pc.printf("BEGIN");
642
         for(int i=0; i<10; i++) {</pre>
643
644
645
              pc.printf("%2x\n",hexchar[i]);
646
         }
647
648
         pc.printf("END");
649
650
651
         if(xbee.writeable()) {
652
              for(int i=0; i<10; i++) {</pre>
653
                   xbee.putc(hexchar[i]);
654
655
656
              }
657
658
         }
659
660
661
663
664
665
666
667
668
669
    void XBEE::xbeeATID()
670
671
672
         char hexchar[8] = \{0x7E, 0x00, 0x04, 0x08, 0x01, 0x49, 0x44, 0x69\};
673
         char temp;
674
675
         int length=0;
676
         checksum(hexchar);
677
         if(xbee.writeable()) {
678
              for(int i=0; i<=7; i++) {</pre>
679
                   xbee.putc(hexchar[i]);
680
681
682
              }
683
```

```
684
        }
685
686
687
688
        for(int i=0; i<ATIDlength; i++) {</pre>
689
            if(xbee.readable()) {
690
                 temp=xbee.getc();
                 ATIDplaceholder[i]=temp:
691
                 comvec.push_back(temp);
692
                 pc.printf("%2x\n",temp);
693
694
695
            }
696
697
        }
698
699
        pc.printf("done\n");
700
701
        while(ATIDplaceholder[length]!='\0') {
702
            length++;
703
        pc.printf("stringlength size is%d\n",length);
704
        pc.printf("vector size is ... %d\n",comvec.size());
pc.printf("last packet pos starts at ... %d\n",lastpacketpos(ATIDplaceholder,comvec.size()));
705
706
707
        readpacket(ATIDplaceholder,lastpacketpos(ATIDplaceholder,comvec.size()),comvec.size());
708
        comvec.clear();
709
711 }
712
    char * XBEE::createlightonpacketMOD(char address[],requestType request) //could be altered to void if needed
713
714
715
        static char packet[ATISLENGTH];
716
        short totallength=19;//number of bytes required for address+options etc.
717
718
719
720
       // pc.printf("number of bytes in this packet is%hd\n",totallength);
721
722
        packet[0]=0x7E;
723
        packet[1]=(0xFF00 & (totallength-3));//-3 for frame delimiters and len MSB/LSB
724
        packet[2]=(0x00FF & (totallength-3));
725
726
        packet[3]=0x17;
727
        packet[4]=0x00;
        packet[5]=address[0];
728
        packet[6]=address[1];
        packet[7]=address[2];
730
731
        packet[8]=address[3];
        packet[9]=address[4];
732
733
        packet[10] = address[5];
        packet[11]=address[6];
734
        packet[12]=address[7];
735
        packet[13]=0xFF;
736
        packet[14]=0xFE;
737
738
        packet[15]=0x02;
739
        packet[16]=0x44;//D
        packet[17]=0x30;//0
packet[18]=0x05;//make output high
740
741
        packet[totallength]=checksum(packet);
742
743
744
        if(request==light){
745
        lightStatus=true;
746
   }
        return packet:
747
748
749
   }
750
    char * XBEE::createlightoffpacketMOD
                                                (char address[],requestType request) //could be altered to void if needed
752
753
754
        static char packet[ATISLENGTH];
        short totallength=19;//number of bytes required for address+options etc.
755
756
757
758
        //pc.printf("number of bytes in this packet is%hd\n".totallength):
759
760
761
        packet[1]=(0xFF00 & (totallength-3));//-3 for frame delimiters and len MSB/LSB
762
        packet[2]=(0x00FF & (totallength-3));
763
764
        packet[3]=0x17;
        packet[4]=0x00;
packet[5]=address[0];
765
766
        packet[6]=address[1];
767
768
        packet[7]=address[2];
769
        packet[8]=address[3];
770
        packet[9]=address[4];
771
        packet[10]=address[5];
772
773
        packet[11]=address[6];
        packet[12]=address[7];
774
        packet[13]=0xFF;
        packet[14]=0xFE;
776
        packet[15]=0x02;
```

```
777
        packet[16]=0x44;//D
778
        packet[17]=0x30;//0
        packet[18]=0x04;//make output low
779
780
        packet[totallength]=checksum(packet);
781
782
        if(request==light){
783
        lightStatus=false;
784
   }
785
        return packet;
786
787
788
   void XBEE::SENDLIGHTONREQMOD()
789
790
       __disable_irg();
791
792
793
794
        int length=20;
795
        int replylength=0;
       char reply[MAXMESSAGELENGTH];
char * msgptr;
796
797
798
799
800
        msgptr=createlightonpacketMOD(MR1address,light);
801
        pc.printf("light on packet created\n");
802
803
804
805
        if(xbee.writeable()) {
806
807
            //pc.printf("contents of message packet byte %d is %2x\n", i,*(msgptr+i));
808
809
                xbee.putc(*(msqptr+i));
810
811
812
            }
813
       }
814
815
816
        __enable_irq();
817
818
819
   }
820
   void XBEE::SENDLIGHTOFFREQMOD()
821
       __disable_irq();
822
823
824
826
        int length=20;
827
       int replylength=0;
       char reply[MAXMESSAGELENGTH];
char * msgptr;
828
829
830
831
832
        {\tt msgptr=createlightoffpacketMOD(MR1address,light);}
833
834
       pc.printf("light off packet created\n");
835
836
837
        if(xbee.writeable()) {
838
   839
840
                xbee.putc(*(msgptr+i));
841
842
843
            }
844
       }
845
846
     __enable_irq();
847
848
849
850
    void XBEE::SENDPOWERONREQMOD()
851
       __disable_irq();
852
853
854
855
        int length=20;
856
        int replylength=0;
        char reply[MAXMESSAGELENGTH];
char * msgptr;
857
858
859
860
861
862
        {\tt msgptr=createlightonpacketMOD\,(MR1address\,,power)\,;}
863
        pc.printf("light on packet created\n");
864
865
        if(xbee.writeable()) {
866
867
            for(int i=0; i<length; i++) {</pre>
869
   //pc.printf("contents \ of \ message \ packet \ byte \ %d \ is \ \%2x\n", \ i,*(msgptr+i));
```

```
870
                 xbee.putc(*(msgptr+i));
871
872
873
            }
875
876
        __enable_irg();
877
878
879
    }
880
881
    void XBEE::SENDPOWEROFFREQMOD()
882
        __disable_irq();
883
884
885
        int length=20;
886
887
        int replylength=0;
        char reply[MAXMESSAGELENGTH];
char * msgptr;
888
889
890
891
892
893
        msgptr=createlightoffpacketMOD(MR1address,power);
894
        pc.printf("light off packet created\n");
895
896
        if(xbee.writeable()) {
897
898
             for(int i=0; i<length; i++) {</pre>
899
    900
901
902
903
             }
        }
904
905
906
907
908
   __enable_irq();
}
909
910
911
912
    void XBEE::SENDPOWERREQ()
913 {
914
    __disable_irq();
    char * temp=findcurrentaddress();
    /* for(int i=0;i<8;i++){</pre>
915
916
917
918
        pc.printf("address is %2X\n",MR1address[i]);
919
        swapaddress(MR2address);//point to power XBEE
920
        /* for(int i=0; i<8; i++) {
921
        pc.printf("address iss %2X\n", MR1address[i]);
922
923
924
         char reply[MAXMESSAGELENGTH];
925
        int replylength=0;
926
        SENDPOWERONREOMOD()://send a digital on request with no reply for interrupt on rising edge for powrr uC
927
928
929
    wait(0.2);//wait for serial buffer to fill.
//pc.printf("INCOMING MESSAGE\n");
930
931
        readreply3(reply,replylength);//see what the uC replies with when it sends a message back
932
        readpacket(reply,lastpacketpos(reply,replylength),replylength);//extract raw data values from API frame.
933
934
935
936
        SENDPOWEROFFREQMOD();//set the interrupt pin on the uC to low ready for the next call.
937
        swapaddress(temp);
938
939
     _enable_irq();
940
941
    void XBEE::SENDLIGHTSTATUSREQ(){
942
943
    __disable_irq();
944
        int length=19;
945
        int replylength=0;
946
        char reply[MAXMESSAGELENGTH];
947
        char * msgptr;
char * temp=findcurrentaddress();
948
949
        /*for(int i=0;i<8;i++){
pc.printf("address is %2X\n",MR1address[i]);
}*/
950
951
952
        swapaddress(MR3address);//edit for #def power XBEE
953
954
        /*for(int i=0;i<8;i++){
955
        pc.printf("address iss %2X\n",MR1address[i]);
956
        }*/
957
958
959
960
961
        msgptr=createlightStatuspacket(MR1address);
962
        pc.printf("LIGHT STATUS packet created\n");
```

```
963
964
965
        if(xbee.writeable()) {
966
             for(int i=0; i<length; i++) {</pre>
967
968
                 //pc.printf("contents of message packet byte %d is 2x\n", i,*(msgptr+i));
969
970
                 xbee.putc(*(msgptr+i));
971
972
            }
973
974
        readreply2(reply, replylength);
975
        readpacket(reply,lastpacketpos(reply,replylength),replylength);
976
977
978
             swapaddress(temp);
979
980
             __enable_irq();
981
982
     }
```

## code/homeauto-xbeeC.cpp

```
#ifndef XBEE H
   #define XBEE_H
   #include "mbed.h"
   #include <vector>
   #include "MODSERIAL.h"
   //external globals declared in main loop which allow measurements to be passed from this library to the main loop.
   extern int pVoltage;
   extern int pCurrent;
   extern int pPhase;
   extern int motionCount;
   //addresses of the XBees
14
   extern char MROaddress[8];//motion
16
   extern char MR2address[8];//power
   extern char MR3address[8];//light control
   extern bool lightStatus;//current light status
20
21
   class XBEE{
22
   char checksum(char hexchar[]);//calculates checksum of given packet
   enum requestType{light,power};//enumeration for differentiating between light control analogue readings and power
        readings
   void readpacket(char packetstring[],int packetpos,int packetstringlength);//decode packet information.
   char * createmessagepacket(char message[],char address[]);//create API frame for sending ASCII messages
void readreply(char reply[], int &replylength);
26
27
   void readreply2(char reply[], int &replylength);//read the reply with all bytes shown
   void readreply3(char reply[],int &replylength);//read the reply with no prints (good for interrupts).
   char * createsensorpacket(char address[]);
30
   char * createlightStatuspacket(char address[]);
char * createlightonpacket(char address[]);
31
32
   char * createlightoffpacket(char address[]);
33
   char * createlightoffpacketMOD(char address[],requestType request);//MODIFIED packet so that there is no reply from
34
        the receiver XBEE so that it doesn't confuscate the ASCII string sent from power XBEE
25
   char * createlightonpacketMOD(char address[],requestType request);
   void readaddress(char address[]);
char * findcurrentaddress();//return pointer to array holding current address.
36
37
38
39
40
41
49
43
44
  public:
45
47
   void SENDSENSORREQ();//normal analogue reading on AD1
   void SENDMOTIONREQ();//send packet and decode motion sensor reply
   void SENDLIGHTONREQ();//turn light on using DO
49
   void SENDLIGHTOFFREQ();//turn light off using
50
51
   void SENDMESSAGE();
   void xbeeATID();//find PAN ID
   void xbeeATIDCHANGE();//change PAN ID
   void SENDLIGHTONREQMOD();//no reply for power uC
   void SENDLIGHTOFFREQMOD();//no reply for power uC
void SENDPOWERONREQMOD();//no reply for power uC
56
   void SENDPOWEROFFREQMOD();//no reply for power uC
   void SENDPOWERREQ();
   void SENDLIGHTSTATUSREQ();//find status of light connected to XBee which is currently pointed to
   void swapaddress(char address[]);
60
   int lastpacketpos(char packet[], int arraylength);
61
62
63
64
66
67
```

```
68
69
70
71 #endif
```

code/homeauto-xbee.h

# 8.1.2 Wireless Power Monitoring

Power Diagram

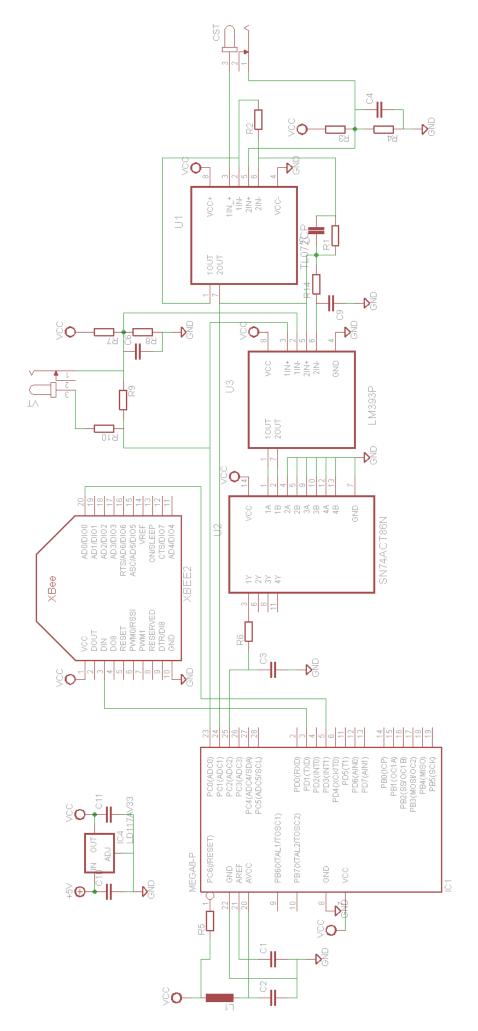


Figure 8.2: Power meter circuit diagram  $46\,$ 

## 8.2 Software

## 8.2.1 Server Code

```
#import required libraries
   import tornado.httpserver
   import tornado.websocket
   import tornado.ioloop
   import tornado.web
   import time
   import json
import requests
   import random
   import functions as mfunc
   import system_primary_functions as syspf
   #set user count to 0
13
   users = 0
   #custom function to test if a string is JSON
   def json_test(string):
           json.loads(string)
19
           return 1
       except:
20
21
           return 0
23
   #handle http
24
   class MainHandler(tornado.web.RequestHandler):
25
       def get(self):
           self.write('{"type":"message"."message":"Hello this is not the correct websocket address. Please add \ws."}')
26
   #websocket handler
27
   class WSHandler(tornado.websocket.WebSocketHandler):
     #keep track of all users currently connected
30
       clients = []
31
       def allow_draft76(self):
           # to use same draft as mbed library
32
           return True
33
     #on connection open
34
35
       def open(self):
36
       #add user to user list
37
           self.clients.append(self)
38
           print 'new connection
       #update user count
39
40
           global users
           users = users + 1
41
           print 'users : %d' % users
42
43
       #send welcome message
           self.write_message('{"type":"message","message":"Hello World"}')
44
       #log the event
45
46
           with open("tornado_ws.log", "a") as myfile:
47
                localtime = time.asctime( time.localtime(time.time()) )
48
                myfile.write('%s:new connection : %d users \r\n' % (localtime, users))
49
        #on message receipt
50
       def on_message(self, message):
       #log the event
           print 'message received %s' % message
52
           with open("tornado_ws.log", "a") as myfile:
53
54
                localtime = time.asctime( time.localtime(time.time()) )
5.5
                if message != '{"type":"ping"}':
                    myfile.write('%s:message received %s \r\n' % (localtime,message))
56
       #test if message is JSON and decide whether to process further if (json_test(message) == 1):
57
58
59
         print 'message is JSON'
         #extract data
60
61
                data = json.loads(message)
         #do different actions based on type of message
    if data['type'] == 'house_measurement':
        print "House measurement Data"
62
63
64
                    self.write_message('{"type":"message","message":"Sent to Mike GPR"}')
65
66
             67
68
69
                        print response
for client in self.clients:
70
71
                            client.write_message(response)
72
             except:
print "No temp'
73
74
                    try:
             #send data to the GPR script
75
             syspf.get_TS(data['Humidity'])
76
77
             except:
print "GPR failed"
           #post data to database
80
                    r = requests.post("http://ee-ug1.ee.ic.ac.uk/actual_web2/house_measurement.php", data=data)
                    print r.text
81
         #forward all messages with appropriate type
82
               elif data['type'] == 'light_request':
    for client in self.clients:
83
                        client.write_message(message)
86
                elif data['type'] == 'light_request_response':
87
                    for client in self.clients:
```

```
client.write_message(message)
                 elif data['type'] ==
89
                                         light control
                     for client in self.clients:
90
91
                         client.write_message(message)
                 elif data['type'] == 'thermostat_control':
                     for client in self.clients:
93
94
                         client.write_message(message)
                 elif data['type'] == 'ping':
95
            print 'pong
96
97
            #reply pong to a ping only to the client who sent it
            response = '{"type":"pong"
99
            self.write_message(response)
                elif data['type'] == 'thermostat_set':
    for client in self.clients:
100
                         client.write_message(message)
                 elif data['type'] == 'thermostat_request':
                     for client in self.clients:
                         client.write_message(message)
106
                 elif data['type'] == 'thermostat_request_response':
                     for client in self.clients:
                         client.write message(message)
108
                 else:
109
                    print "Other data"
            #else:
                  print 'message is not JSON'
113
        def on close(self):
114
        #remove client from list
            self.clients.remove(self)
            print 'connection closed
        #decrease user count
119
            global users
            users = users - 1
120
            print 'users : %d' % users
        #log it
            with open("tornado_ws.log", "a") as myfile:
                 localtime = time.asctime( time.localtime(time.time()) )
124
125
                 myfile.write('%s:connection closed : %d users \r\n' % (localtime,users))
126
   application = tornado.web.Application([
127
        (r"/", MainHandler),
128
        (r'/ws', WSHandler),
129
130
   1)
131
132
   #set up to run as process
   if __name__ == "__main__":
    http_server = tornado.httpserver.HTTPServer(application)
135
      #listen to 8888
        application.listen(8888)
        tornado.ioloop.IOLoop.instance().start()
```

code/tornado\_ws.py

## 8.2.2 Timetable Prediction

Choice of day

## Testing how many days to take the mode

Acc Avg:79.3% Std.Dev.:23% w/day len=13 s\_p=144 s\_f=144

```
Obtained from: University of Massachusetts Amherst SMART* dataset
Acc Avg: Is the average accuracy as prediction algorithm is tested on a full
3 months of data
Std.Dev.: is the standard deviation in the average accuracy for each day
len: is the number of days of which the mode is taken to predict the future
s_p: number of past samples used to take the hamming distance of - for example
144 samples corresponds to 12 hours when the sampling is 5 minutes (the case in this data)
s_f: number of future samples taken to then check the
accuracy against the know future data
Acc Avg:79.2% Std.Dev.:20% w/day len=2 s_p=144 s_f=144
Acc Avg:79.9% Std.Dev.:22% w/day len=3 s_p=144 s_f=144
Acc Avg:81.5% Std.Dev.:21% w/day len=4 s_p=144 s_f=144
Acc Avg:80.6% Std.Dev.:21% w/day len=5 s_p=144 s_f=144
Acc Avg:80.5% Std.Dev.:21% w/day len=6 s_p=144 s_f=144
Acc Avg:79.5% Std.Dev.:21% w/day len=7 s_p=144 s_f=144
Acc Avg:79.0% Std.Dev.:22% w/day len=8 s_p=144 s_f=144
Acc Avg:79.0% Std.Dev.:22% w/day len=9 s_p=144 s_f=144
Acc Avg:79.0% Std.Dev.:22% w/day len=10 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=11 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=12 s_p=144 s_f=144
```

```
Acc Avg:79.3% Std.Dev.:23% w/day len=14 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=15 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=16 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=17 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=18 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=19 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=20 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=21 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=22 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=23 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=24 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=25 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=26 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=27 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=28 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=29 s_p=144 s_f=144
Acc Avg:79.3% Std.Dev.:23% w/day len=30 s_p=144 s_f=144
```

```
Acc Avg:74.9% Std.Dev.:26% w/day len=2 s_p=144 s_f=72
Acc Avg:76.9% Std.Dev.:27% w/day len=3 s_p=144 s_f=72
Acc Avg:80.1% Std.Dev.:27% w/day len=4 s_p=144 s_f=72
Acc Avg:78.3% Std.Dev.:28% w/day len=5 s_p=144 s_f=72
Acc Avg:77.8% Std.Dev.:29% w/day len=6 s_p=144 s_f=72
Acc Avg:75.9% Std.Dev.:29% w/day len=7 s_p=144 s_f=72
Acc Avg:74.8% Std.Dev.:31% w/day len=8 s_p=144 s_f=72
Acc Avg:74.8% Std.Dev.:31% w/day len=9 s_p=144 s_f=72
Acc Avg:74.8% Std.Dev.:31% w/day len=10 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=11 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=12 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=13 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=14 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=15 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=16 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=17 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=18 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=19 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=20 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=21 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=22 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=23 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=24 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=25 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=26 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=27 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=28 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=29 s_p=144 s_f=72
Acc Avg:75.4% Std.Dev.:31% w/day len=30 s_p=144 s_f=72
Acc Avg:79.6% Std.Dev.:25% w/day len=2 s_p=144 s_f=36
Acc Avg:80.6% Std.Dev.:25% w/day len=3 s_p=144 s_f=36
Acc Avg:84.0% Std.Dev.:25% w/day len=4 s_p=144 s_f=36
Acc Avg:81.2% Std.Dev.:28% w/day len=5 s_p=144 s_f=36
Acc Avg:80.5% Std.Dev.:28% w/day len=6 s_p=144 s_f=36
Acc Avg:77.8% Std.Dev.:29% w/day len=7 s_p=144 s_f=36
Acc Avg:76.2% Std.Dev.:30% w/day len=8 s_p=144 s_f=36
Acc Avg:76.2% Std.Dev.:30% w/day len=9 s_p=144 s_f=36
Acc Avg:76.2% Std.Dev.:30% w/day len=10 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=11 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=12 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=13 s_p=144 s_f=36
```

```
Acc Avg:77.1% Std.Dev.:30% w/day len=14 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=15 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=16 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=17 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=18 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=19 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=20 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=21 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=22 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=23 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=24 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=25 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=26 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=27 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=28 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=29 s_p=144 s_f=36
Acc Avg:77.1% Std.Dev.:30% w/day len=30 s_p=144 s_f=36
```

## Matlab Timetable Prediction Test Script

```
clc:
   clear all:
   clear;
   close all;
   %load data
   % load house_data
   %₹
   load housestate.mat
   clear t_mod
   clear total_firings
   clear p_state
  %}
   %%₹
   %setup variables
   load usualday
   t_range = dat;
   house_state = num';
   clear num;
   clear dat;
20
   %}
   %load threemonths
21
   % set all constants
22
   day_samples = 96;%288
   ratio = 288/day_samples; %ratio to 288 - used if non-5minute intervals
   sample_p = 144/ratio; %half a day
25
   sample_f = 144/ratio; %half a day
27
   %% day prediction
28
29
   %set time offset
   time_o = 90/ratio; %equivalent to 7:30 AM
31
   %store all data in a 3d array for optimisation
33
   acc_3d = [1 \ 1 \ 1];
34
   day_to_compare = 4; % days to perform a mode on
36
   days = 2:length(house_state)/day_samples-1; % days to cycle through
37
38
   for len=day_to_compare
        for sample_p = round(day_samples*(1:40)/40)
39
            for sample_f = round(day_samples*(1:40)/40)%[36 72 144]
40
                 %set timing variable
41
43
                 for day=days
44
                     \label{eq:day_index} day\_index \ = \ time\_o + (day - 1) * day\_samples + 1; \ \% day \ with \ time \ offset
45
                     %store data for future - i.e. to check for accuracy
day_f = house_state(day_index:day_index+sample_f-1);
46
47
                      %store data from past to compute Hamming distances
48
                     day_p = house_state(day_index-sample_p:day_index-1);
49
                     %prepare data on states
                                                 - removing excess data
50
                     states = house_state;
51
                     {\tt states(day\_index-sample\_p:day\_index+sample\_f-1) = 3; \textit{ \%} {\tt set to 3 as this is impossible state} \\
52
                     %loop through each day to calculate hamming distance of each %day relative to each other
53
                     for i=1:length(states)/day_samples-1
                                 disp(sprintf('Day: %d',i))
disp(datestr(t_range(i*day_samples-sample_p+time_o+1)))
56
                                 disp(datestr(t_range(i*day_samples+time_o)))
57
                          comp = [day\_p; states(i*day\_samples-sample\_p+time\_o+1:i*day\_samples+time\_o)];
58
59
                          D(i) = pdist(comp, 'hamming');
60
                                 figure;
                                 subplot(2,1,1), plot(states(i*day_samples-sample_p+time_o+1:i*day_samples+time_o))
                                 subplot(2,1,2), plot(day_p)
            %
                                 title(sprintf('Day:%d Hamming distance:%.2f',i,D(i)))
```

```
end
                      %get minimum values of D with their indexes
65
66
                      [sortedValues, sortIndex] = sort(D, 'ascend');
67
                      maxIndex = sortIndex(1:len); %get minimum hamming distance days
                      %make sure not to go out of range
                      if any(maxIndex == length(states)/day_samples-1)
69
                          index = find(maxIndex==length(states)/day_samples-1);
70
71
72
73
                          maxIndex(index) = sortIndex(len+1);
                      %days_hd stores all the days with the smallest hamming distance
                      days_hd = ones(sample_f,len)';
                      for i=maxIndex
                                 disp(datestr(t_range(i*day_samples+time_o+1)))
disp(datestr(t_range(i*day_samples+time_o+sample_f)))
76
77
78
            %
                          \label{eq:dayshd} \texttt{days\_hd} \;\; \texttt{;house\_state(i*day\_samples+time\_o+1:i*day\_samples+time\_o+sample\_f)];}
79
80
                      %strip first value
81
                      days_hd = days_hd(2:end,:)';
82
                      days_hd = mode(days_hd');
                          days_hd(days_hd==2) = 1;
83
                          day_f(day_f==2) = 1;
84
85
                      %calc accuracy
86
                     acc = [acc 100*(1-pdist([days_hd; day_f], 'hamming'))];
87
            %
                            subplot(2,1,1), plot(days_hd)
subplot(2,1,2), plot(day_f)
88
89
            %
90
                 end
91
                 acc = acc(2:end);
92
                 %print data
                 fprintf('Acc Avg:%2.1f%% Std.Dev.:%2.f%% w/day len=%d s_p=%d s_f=%d\n',mean(acc),std(acc),len,sample_p,
         sample_f);
94
                 acc_3d = [acc_3d; sample_p, sample_f, mean(acc)];
            end
95
       end
96
97
   end
   %store in variable to allow 3d plot
   acc_3d = acc_3d(2:end,:);
```

general\_prediction.m

## Python code to predict timetable

```
#import all used modules
   import MySQLdb
   import gc
   import numpy as np
   import scipy as sp
   from scipy import spatial
from scipy import stats
   from scipy import signal
   import math
   import sys
   import time
   from datetime import datetime, timedelta
14
   #from past data predicts the expect day states
   #in: day present states
   #out: expected future states
   def get_future_samples(day_p):
    #initialize distance array
18
     D = np.array([])
     #loop through each day finding the closest days in terms of hamming distance
     for i in range(1,days-2):
       #get array to compare
comp = np.array([day_p,house_state[i*day_samples-sample_p+time_o:i*day_samples+time_o]])[:,:]
23
24
        #store hamming distance in D
25
       D = np.append(D,sp.spatial.distance.pdist(comp,'hamming'))
26
     #sort by index
28
     sortIndex = np.argsort(D)
29
     days_hd = []
30
     #get days to compare
for i in sortIndex[0:day_to_compare]:
31
32
       \tt days\_hd.append(house\_state[i*day\_samples+time\_o:i*day\_samples+time\_o+sample\_f])
33
     #convert to array
     days_hd = np.array(days_hd)
34
3.5
     #get the mode
36
     days_hd = sp.stats.mode(days_hd)[0][0]
     return days_hd
37
38
   #custom discrete decimating array function
39
   def decimate_array(x, q):
     x_out = []
41
     if not isinstance(q, int):
    raise TypeError("q must be an integer")
49
43
     if len(x)%q != 0:
44
       raise NameError("Cannot decimate!")
45
     for i in range(0,len(x)/q):
47
       x\_out.append(int(sp.stats.mode(x[i*q:(i+1)*q])[0][0]))\\
48
     return x_out
```

```
#custom array flattening function
def flatten_array(x):
50
51
      y = []
      for i in range(0,len(x)):
        y.append(x[i][0])
5.4
      return y
55
56
    #define constants
57
    day_samples = 288
58
    ratio = 288/day_samples
    #samples to regress on
sample_p = 144/ratio
 60
61
    #samples to look into the future of
62
    sample_f = 288/ratio
63
    day_to_compare = 4 # days to perform a mode on
64
    #get minutes
66
   minutes_sample = int(24*60/day_samples);
67
68
69
70
    print "Welcome to Seb Grubb's (c) 2013 TimeTable filler & Predictor"
 71
    print "****
 72
   print '''
 73
74
 76
 79
 80
 81
 82
 83
 85
 86
 87
 88
 89
 90
 91
92
93
94
    print "Legal notice: all incorrect future predictions are not \n\the responsibility of AutoHome"
95
    #connect to sql and get all relevant data
    db = MySQLdb.connect(host="127.0.0.1", port=3306, user="root", passwd="London123", db="Data")
98
    cursor = db.cursor()
    #get data
99
    cursor.execute("SELECT State FROM H_State")
100
    row = cursor.fetchall()
    house_state = flatten_array(np.asarray(row))
    #get data
    cursor.execute("SELECT Timestamp FROM H_State")
104
    date = np.asarray(cursor.fetchall())
#close SQL connection
105
106
    db.close()
108
    gc.collect()
109
110
    #get date of last sample
    {\tt date\_object = datetime.fromtimestamp(int(date[len(date)-1][0])/1000.0)}
    #prepare variables needed
    print "preparing past data"
114
    days = int(math.ceil(len(house_state)/float(day_samples))) -1
    print "
    day_index = (days)*day_samples
   print ".",
time_o = len(house_state)-day_index
118
   print "."
120
121
    #store past samples
123
    print "processing data",
    day_p = house_state[day_index-sample_p:day_index]
124
    #get estimated future states
    day_f = get_future_samples(day_p) #stores future predicted values
126
    day_predict = house_state[day_index:day_index+time_o]#stores the values of a day to fill in.
127
    print ".",
    #convert to correct types
129
   day_predict = np.asarray(day_predict)
day_f = np.asarray(day_f).astype(int)
print ".",
130
    #append to old data
133
    day_predict = np.append(day_predict,day_f)
    day_predict = day_predict[0:day_samples]
136
    day_predict = decimate_array(day_predict,12)
   print
139
    #prepare to send to sql
140 #0 - away, 1 - in, 2 - asleep - my data
141 #0 - asleep, 1 - in, 2 -out
```

```
142 for i,v in enumerate(day_predict):
      if v==0:
143
        day_predict[i] = 2
144
145
      if v==2:
        day_predict[i] = 0
   #send to mySQL
print "sending to mySQL",
147
148
    #store in correctly named table
149
   day_index_name = "Auto_%s" % ((date_object+timedelta(minutes=144*5)).strftime('%A'))
151
   db = MySQLdb.connect(host="127.0.0.1", port=3306, user="root", passwd="London123", db="House_states")
    cursor = db.cursor()
154
    print
    for i,v in enumerate(day_predict):
      command = "UPDATE '%s'\nSET state=%d\nWHERE Hour=%d;" % (day_index_name,v,i)
      cursor.execute(command)
160
   #process other days in the week
day_list = range(0,(date_object+timedelta(minutes=144*5)).weekday())
161
162
   day_list.extend(range((date_object+timedelta(minutes=144*5)).weekday()+1,7))
163
    #loop through every last 4 days of the week and take the mode of each day
164
    for d in day_list:
166
      iteration = 0
      day_states_data = []
167
      for i in range(days-1.-1.-1):
         if \ datetime.fromtimestamp(int(date[i*day\_samples][0])/1000.0).weekday() == d: \\
169
170
          day_states_data.append(house_state[i*day_samples:(i+1)*day_samples])
17
        if iteration >= day_to_compare:
          break
      day_index_name = "Auto_%s" % (datetime.fromtimestamp(int(date[i*day_samples][0])/1000.0).strftime('%A'))
174
      day_states_data = decimate_array(np.asarray(sp.stats.mode(day_states_data)[0][0]).astype(int),12)
176
      #invert 2 and 0
      for i,v in enumerate(day_states_data):
        if v==0:
179
          day_states_data[i] = 2
        if v==2:
180
          day_states_data[i] = 0
181
      for i,v in enumerate(day_states_data):
182
        command = "UPDATE '%s'\nSET state=%d\nWHERE Hour=%d;" % (day_index_name,v,i)
183
        cursor.execute(command)
185
    #close SQL connection
186
   db.close()
   gc.collect()
187
188
189
    print'''
191
192
193
194
19
197
198
                    /o
199
200
201
20
203
204
205
206
```

sql-ttfill.py

## 8.2.3 Gaussian Process Regression

## General Machine Learning (ML) Terminology

**Features** are the input variables to the algorithm, i.e. if the algorithm is the function that outputs thermostat settings, then the features of the ML algorithm are the inputs or the feature space/domain. Features are inputted as vectors typically denoted by **x**. Our choice of features was dictated by what made logical sense by the data available to us. The features selected are listed below:

- 1. External Temperature
- 2. External Humidity
- 3. Internal Humidity
- 4. Wind Speed
- 5. Wind Direction

(Internal temperature was omitted as this would provide unwanted feedback on the feedback from the control system, which the thermostat uses to regulate the internal temperature)

- 1. **Training data set** is the set of feature vectors or 'training examples' in the feature space that the algorithm uses to fit its regression curve in order to predict the thermostat setting for different conditions.
- 2. **Target data set** is the set of outputs (in this context thermostat settings) for each of the training data points.
- 3. **Model Parameters** are the set of unknown coefficients of the model that is applied to the data. Linear Regression is an example of a parametric approach in which a model is chosen and then the parameters of the model are optimized to best fit the data.
- 4. **Hyperparameters** are important in non- parametric models such as Gaussian Process Regression. Gaussian Process Regression has no direct parameters to find or optimize, but it does have parameters for the prior, i.e. the coefficients of the covariance function. These need to be optimized to fit the data in a similar way to model parameters in parametric regression.
- 5. Hypothesis also known as the regression curve is the function that is inferred from the training data.

#### Terms and Definitions for GPR:

- 1. **Random Variable** A random variable is a variable that can take on a set of possible values each with an associated probability.
- 2. **Prior Probability** –the probability distribution that one assigns to a quantity that expresses the uncertainty about the process before any data is observed. In GPR we assume a Gaussian distribution prior.
- 3. Likelihood the probability of the system parameters given the data that is observed.
- 4.  $Marginal\ Likelihood\$ —the likelihood function integrated over certain parameters. In this way the parameters have been 'marginalized'. It is also known as the 'model evidence' because integrating this over the function f gives the probability of the data given the chosen hyperparameters.
- 5. **Posterior Probability** –The conditional probability that is assigned once relevant data and information is taken into account.
- 6. Covariance A measure of how much two random variables change with one another.
- 7. Stochastic Process is a collection of random variables.
- 8. **Covariance Function** For a stochastic process or random field, a covariance function describes the spatial covariance of points in the random field located at certain points in space. Covariance functions belong to a family of mapping functions called 'kernels'.
- 9. **Multivariate Gaussian distribution** –a generalization of the standard Gaussian or Normal distribution to multiple dimensions. It is described by a mean vector with a separate mean for each dimension, and a covariance matrix (a generalization of variance to multiple dimensions). It gives a similarity measure of each point with every other point in the distribution and is expressed as:

$$\beta \sim N(\mu, \mathbf{K})$$

 $\beta$  is a multi-dimensional random vector,  $\mu$  is a mean vector defining the mean for each dimension, and **K** is the covariance matrix, detailing how much each random variable of **x** changes with another, defined as:

$$\mathbf{K} = \begin{bmatrix} \begin{pmatrix} k(\mathbf{x_1}, \ \mathbf{x_1}) & \cdots & k(\mathbf{x_1}, \ \mathbf{x_n}) \\ \vdots & \ddots & \vdots \\ k(\mathbf{x_n}, \ \mathbf{x_1}) & \cdots & k(\mathbf{x_n}, \ \mathbf{x_n}) \end{pmatrix} \end{bmatrix}$$

The Probability Density Function (PDF) of a Multivariable Gaussian Distribution is:

$$pdf_{\beta} = P\left(\beta = \mathbf{a}\right) = (2\pi)^{-\left(\frac{n}{2}\right)} |\mathbf{K}|^{-0.5} \exp\left(-\frac{1}{2}(\mathbf{a} - \mu)^T \mathbf{K}^{-1}(\mathbf{a} - \mu)\right)$$
(8.1)

1. **Gaussian Process** - Is a stochastic process whose random variables, associated with each point in the random domain space, have a normal distribution. A Gaussian process can be thought of/visualised as an infinite dimensional Gaussian distribution. It is defined as:

$$f(\mathbf{x}) \sim GP(m(\mathbf{x}), k(\mathbf{x_i}, \mathbf{x_i}))$$

 $m(\mathbf{x})$  is the mean function and ' $k(\mathbf{x_i}, \mathbf{x_j})$ ' is the similarity or covariance function that measures the similarity between two random variables of the Gaussian process. For ease of computation, and without loss of generality we can set m(x) = 0 as summing an infinite array of possible functions averages to 0. An important property of a Gaussian Process is that it obeys the consistency requirement; i.e. inspection of a larger set of random variables does not change the distribution of a smaller set. Every finite collection of these random variables can be described with a multivariate Gaussian distribution.

## Algorithms and their Complexity:

For this system to operate effectively three algorithms/functions are required; one to search the error curve to find a range of hyperparameter initialisations that find an acceptable minima, a second to train the GPR and a third to run the GPR and return a predicted thermostat setting. In this section 'm' denotes the number of features and 'n' the number of examples in the training set. Furthermore n>>m, n>> any loop counters

## Algorithm 1: Hyperparameter Search

Description:

Called every time a significant change in the training data is detected, so fairly infrequently (e.g. the first time the training data reaches its maximum capacity, or when a significant amount of data is changed).

#### Pseudo code:

- 1. Import training data into X and cross validation data into  $x^*$
- 2. Define upper and lower bound (ub and lb) as the boundaries within which to initialise  $\theta$  values. Initialise over some very large range.
- 3. Grid Search:

while 
$$(ub - lb) > 1$$

$$increment = (ub - lb)/numb_div$$

 $lb_{temp} = lb$ 

for  $j = 1 - (numb_div - 1)$ 

Initialise  $\theta$  in range(lb\_temp, ub)

Train the GPR

Run the cross validation data through 'GPR Run'

$$AvError[j] = \frac{1}{n} \sum_{i=1}^{n} \left\{ \frac{(y_i - ypred_i) * 100}{y_i} \right\}$$

minIndex = index of minimum element in AvError[j]

ub = lb + minIndex\*increment

lb = lb + (minIndex-1)\*increment

1. Write upper bound and lower bound values to SQL database to be used by 'GPR train'

[Total Complexity 
$$\approx \log_{numb_{div}} (ub - lb) * numb_{div} * (O(n) + O(n^2) + O(n^3)) \approx O(n^3)$$
]

## Algorithm 2: GPR Train

#### **Description:**

Called every time the training data set is updated. Calculates the inverse covariance matrix (plus noise) and the optimized hyperparameters, which are then both written back to the SQL database to be used by the 'GPR run' algorithm. As an aside the complexity of the kernel  $k(\mathbf{x_n}, \mathbf{x_n})$  is, considering only multiplications, divisions, subtractions and additions,  $(m^2 + m + 1) \approx O(m^2)$ 

## Pseudo code:

- 1. Import training data from SQL database into  ${\bf X}$  and the target data into  ${\bf y}$
- 2. Normalize  $\mathbf{X}$ :  $\forall x_i \ in \ \mathbf{X}, \ x_i = \frac{(x_i \mu)}{\sigma_n}$  (his ensures that all features are equally important and improves performance for gradient descent as each dimension has a similar range of relevant magnitudes)
- 3. Initialise hyperparameters,  $\theta$ , by taking values from a uniform distribution with limits found by the hyperparameter search
- 4. Gradient Descent:

for j = 1->max\_iters **or** until  $L\left(\theta\right)$  not decreasing **or**  $L\left(\theta\right) < desired\_error$ 

$$\mathbf{C} = \begin{bmatrix} \begin{pmatrix} k\left(\mathbf{x_{1}}, \ \mathbf{x_{1}}\right) + \sigma_{n}^{2}\delta_{\mathbf{i}j} & \cdots & k\left(\mathbf{x_{1}}, \ \mathbf{x_{n}}\right) + \sigma_{n}^{2}\delta_{\mathbf{i}j} \\ \vdots & \ddots & \vdots \\ k\left(\mathbf{x_{n}}, \ \mathbf{x_{1}}\right) + \sigma_{n}^{2}\delta_{\mathbf{i}j} & \cdots & k\left(\mathbf{x_{n}}, \ \mathbf{x_{n}}\right) + \sigma_{n}^{2}\delta_{\mathbf{i}j} \end{pmatrix} \right] [n^{2}(2m^{2} + 1) \approx O\left(n^{2}m^{2}\right)]$$

$$L(\theta) = \frac{1}{2} y^T C^{-1} y + \frac{1}{2} \log(|C|) + \left(\frac{n}{2}\right) \log(2\pi) \left[O\left(n^2\right) + O\left(n^3\right) \approx O\left(n^3\right)\right]$$

for  $i = 1-> numb_hyperparameters$ 

$$\theta_i = \theta_i - \alpha \frac{\partial L(\theta)}{\partial \theta_i} [n^3 + 2n + 2n^2 \approx O(n^3)]$$

Return  $\theta$ 

- 1. Using optimized  $\theta$  values calculate  $\mathbf{C} = \begin{bmatrix} k(\mathbf{x_1}, \ \mathbf{x_1}) + \sigma_n^2 \delta_{\mathbf{i}j} & \cdots & k(\mathbf{x_1}, \ \mathbf{x_n}) + \sigma_n^2 \delta_{\mathbf{i}j} \\ \vdots & \ddots & \vdots \\ k(\mathbf{x_n}, \ \mathbf{x_1}) + \sigma_n^2 \delta_{\mathbf{i}j} & \cdots & k(\mathbf{x_n}, \ \mathbf{x_n}) + \sigma_n^2 \delta_{\mathbf{i}j} \end{bmatrix}$
- 2. Calculate  $\mathbf{C}^{-1}$   $[O(n^3)]$
- 3. Write  $\theta$  and  $\mathbf{C^{-1}}$  to SQL database

$$[Total\ Complexity = 3O\left(n^3\right) + O\left(n^2m^2\right) \approx O(n^3)$$

## Algorithm 3: GPR Run

#### Description:

Algorithm is called every time the embed requests a new thermostat setting. Returns the desired thermostat setting and the confidence with which the prediction is made.

#### Pseudo code:

- 1. Import training data into  $\mathbf{X}$ , target data into  $\mathbf{y}$ , new input feature vector  $\mathbf{x}^*$ , the hyperparameters  $\theta$  and  $\mathbf{C}^{-1}$
- 2. Calculate  $\mathbf{K}_{*} = \begin{bmatrix} k(\mathbf{x}_{*}, \ \mathbf{x}_{1}) \\ \vdots \\ k(\mathbf{x}_{*}, \ \mathbf{x}_{n}) \end{bmatrix} [n(m^{2} + m + 1) \approx O(nm^{2})]$
- 3. Calculate  $\mathbf{K}_{**} = k(\mathbf{x}_*, \mathbf{x}_*) [O(m^2)]$
- 4. Predicted Thermostat Setting:  $TS = \mathbf{K}_{*}^{\mathbf{T}} (\mathbf{K} + \sigma_{n}^{2} \mathbf{I})^{-1} \mathbf{y} \ [2n^{2} \approx O(n^{2})]$
- 5. Confidence:  $TS_{conf} = \mathbf{K}_{**} \mathbf{K}_{*}^{\mathbf{T}} (\mathbf{K} + \sigma_{n}^{2} \mathbf{I})^{-1} \mathbf{K}_{*} [1 + 2n^{2} \approx O(n^{2})]$

$$[Total\ Complexity = O\left(nm^2\right) + 2O\left(n^2\right) + O\left(m^2\right) \approx O\left(n^2\right)]$$

## Code

```
#!/usr/bin/python

from numpy import *
from math import *

#COVARIANCE FUNCTION

#Calculates the covariance, i.e. a measure of the similarity between two points

#suing the error squared or Gaussian kernel

def cov_func(x1, x2, N, sig_pow):

    diff = x1 - x2
    temp = dot(diff.transpose(), M)
    k = sig_pow*exp(-0.5*dot(temp, diff))

#print k

return k

#/CALCULATE COVARIANCE MATRIX K

#Uses the covariance function to calculate the covariance matrix of the multivariate gaussian

#distribution of the training data points.

def calc_K(X, theta):
```

```
#Number of Input features
25
      m = len(X[0])
26
27
      #Number of data points
      n = len(X)
29
      #Initialise matrix M
30
      M = zeros((m.m))
31
      for i in range(m):
        M[i][i] = 1/(theta[i+2]*theta[i+2])
33
 35
      #Initialise then calculate
      K = zeros((n,n))
for i in range(n):
36
37
              for j in range(i, n):
   K[i][j] = cov_func(X[i].transpose(), X[j].transpose(), M, theta[0])
38
39
          K[j][i] = K[i][j]
 40
 41
      return K
 42
43
    #CALCULATE 'C'
44
45
    #'C' is just the notation for the covariance matrix K plus a noise term that represents
    #jitter in the training data
 46
 47
    def calc_C(K, noise_pow):
 48
      a = len(K)
 49
      temp = noise_pow*eye(a)
      C = K + temp
50
     return C
51
54
    #PARTIAL DIFFERENTIATE C WITH RESPECT TO HYPERPARAMETERS
   #Funtion is necessary to carry out gradient descent. Returns a vector containing the #evaluated partial differential of NLML with respect to each of the hyperparameters
55
    def grad_C(theta, X, K, C):
57
      #a is the number of hyperparameters
60
      a = len(theta)
      \#n is the number of data points in the training set, note that K and C are nxn
61
      n = len(C)
62
      #m is the number of data features
63
      m = len(X[0])
64
 65
 66
67
      #Initialise gradient of C
68
      partial_diff_C = zeros((a,n,n))
69
70
      #Find partial diff with respect to signal power
      partial_diff_C[0] = 2*theta[0]*K
 73
      #Find partial diff with respect to the noise power
 74
      partial_diff_C[1] = 2*theta[1]*eye(n)
75
76
 77
      #Find partial diff with respect to the different scale lengths
 78
      for k in range(2,a):
 79
        for i in range(n):
            for j in range(i, n):
diff = (X[i][k-2] - X[j][k-2])**2
80
81
                   1 = theta[k]
82
             temp = (diff/(1**3))
83
                          partial_diff_C[k][i][j] = temp*K[i][j]
 85
                                partial\_diff\_C[k][j][i] = partial\_diff\_C[k][i][j] \\ \# Note that C and K are symmetric, therefore
          only need to calculate half the elements
86
      return partial diff C
87
88
90
    #CALCULATE THE GRADIENT OF THE NEGATIVE LOG MARGINAL LIKLIHOOD (NLML) WITH RESPECT TO ONE OF THE FEATURES
91
    #Calculates the partial differential of the NLML with respect to a single hyper parameter
92
    def calc_pdiff_nlml(C, y, pdiff_C):
93
94
      inv_C = linalg.inv(C)
      \label{eq:diff_L} diff_L = 0.5*(trace(dot(inv_C, pdiff_C)) - dot(y.transpose(), dot(inv_C, dot(pdiff_C, dot(inv_C, y)))))
96
      return diff_L
97
9.8
99
100
    #CALCULATE THE NEGATIVE LOG MARGINAL LIKLIHOOD (NLML)
    #Calculates the Negative Log Marginal Likelihood
    def calc_nlml(C, y):
103
     n = len(C)
L = 0.5*(log(linalg.det(C)) + dot(y.transpose(),dot(linalg.inv(C), y)) + n*log(2*pi))
107
109 #GRADIENT DESCENT
110 #Carry out gradient descent to find a minima to optimize the hyper parameters with respect to
   #minimising the NLML.
def gradient_descent(alpha, init_theta, X, y, error_level, max_iters):
     m = len(init_theta)
      L_hist = ones(max_iters+2)
     pdiff_L = zeros(m)
```

```
theta = init_theta
      j = 0
118
      K = calc_K(X, theta)
            C = calc_C(K,theta[1])
            L_hist[j] = calc_nlml(C,y)
122
      successful = True
      while(L_hist[j] > error_level):
125
        j = j+1
        K = calc_K(X, theta)
126
127
        C = calc_C(K,theta[1])
        L_{hist[j]} = calc_{nlml(C,y)}
128
        pdiffCs = grad_C(theta, X, K, C)
129
        for k in range(m):
130
          p_diffL = calc_pdiff_nlml(C, y, pdiffCs[k])
          theta[k] = theta[k] - alpha*p_diffL
133
134
       if(j>max_iters):
    print("Failure to converge below desired error level after number of iterations =")
135
136
137
          print("Consider increasing value of alpha to decrease convergence time")
138
139
          successful = False
140
          break
141
        if(L_hist[j] > L_hist[j-1]):
142
          print("Failure to converge below required error level, nlml not decreasing with each iteration, local minima
         reached, consider decreasing alpha")
          print("Number of iterations =")
          print j
145
          successful = False
146
          break
147
148
149
     if(successful == True):
        print("Convergence below desired error level successful after number of iterations =")
     return (theta, successful, L_hist[j], L_hist, j)
154
155
156
157
   #CALCULATE K *
158
   #Calculate the covariance of the input data point with each the points in the training set
   def calc_K_star(x, X, theta):
160
      #Number of Input features
161
           m = len(X[0])
162
            #Number of data points
163
164
            n = len(X)
            #Initialise matrix M
165
            M = zeros((m,m))
166
            for i in range(m):
                    M[i][i] = 1/(theta[i+2]*theta[i+2])
168
     K_star = zeros(n)
169
170
171
     for j in range(n):
     K_star[j] = cov_func(x.transpose(), X[j].transpose(), M, theta[0])
return K_star
174
   #CALCULATE EXPECTED THERMOSTAT SETTING
   def calc_E_TS(inv_C, K_star, y):
    expected_TS = dot(K_star, dot(inv_C, y))
178
      return expected_TS
   #NORMALIZE INPUT DATA BEFORE CARRYING OUT GRADIENT DESCENT
180
    #Normalisation is necessary becuase otherwise differences in the order of magnitude between
   #different features would result in a warped (and much slower) path of descent
183
   def normalize_data(X):
     X = X.transpose()
184
     numfeatures = len(X)
185
     numdata = len(X[0])
186
     norm_X = zeros((numfeatures, numdata))
187
188
189
     for j in range(numfeatures):
190
       u = mean(X[j])
        u = u*ones(numdata)
191
        stan_dev = std(X[j])
193
        stan_dev
        norm_X[j] = (1/stan_dev)*(X[j] - u)
194
195
     return norm_X.transpose()
196
197 #NORMALIZE TRAINING AND INPUT DATA ACCORDING TO TRAINING DATA NORMALISATION
   #Normalizes the training data and also the input data. Note the training data is normalized
198
   #first and then the mean and std are taken and used to normalize the input. This ensures the same
199
    #transformation occurs on the input data as on the training data and therfore that the relationship
   #with the thermostat setting is the same.
201
202
   def normalize_input(X, x):
203
     X = X.transpose()
            numfeatures = len(X)
204
            numdata = len(X[0])
205
            norm_X = zeros((numfeatures, numdata))
207
     norm_x = zeros(numfeatures)
```

```
208
              for i in range(numfeatures):
209
                       u = mean(X[j])
210
211
                       u_vec = u*ones(numdata)
                       stan_dev = std(X[j])
212
213
                       stan_dev
                       norm_X[j] = (1/stan_dev)*(X[j] - u_vec)
214
        norm_x[j] = (1/stan_dev)*(x[j] - u)
215
            return norm_X.transpose(), norm_x
216
217
218
    #Function used to find the index and value of an element in an #array that is closest to the argument 'value'. Example application #is finding closest time to the present time in a database.
220
221
    def find_nearest(array, value):
222
223
      min_index = 0
225
      min_diff = array[min_index] - value
226
      diff = zeros(len(array))
      min_value = array[min_index]
228
      for j in range(len(array)):
229
        diff[j] = abs(array[j] - value)
230
231
         if(diff[j] <= min_diff):</pre>
232
           min_index = j
           min_diff = diff[min_index]
233
           min_value = array[j]
234
235
236
      return min_index, min_value, min_diff
    #Calculates a 'similarity' metric for two vectors. An example application is in finding entries in the training data
238
    base whose #external conditions best match a new training data point that needs to be swapped in.
239
    def calc_similarity(a, b):
240
241
      if(len(a) != len(b)):
        print("Error: cannot calculate similarity between vectors as do not have the same number of elements")
243
      sim = 0
244
      for j in range(len(a)):
        sum = sum + abs(a[j] - b[j])
246
247
248
      return sum
```

#### code/gpr\_functions.py

```
#!/usr/bin/python
   import MySQLdb
   import math
   import random
   import sqlite3
   import time
    from functions import *
   from websocket import create_connection
   from datetime import datetime
   from scipy import *
from numpy import *
   #GPR RIIN ·
   #Function reads in training data, trained hyperparameters and inverse C and uses them to calculate
   #a thermostat estimate. This is then returned to be sent via websockets back to the embed module.
   def active_TS(x):
18
      #print("active_TS function entered, calculating TS value using Gaussian Process Regression...")
      db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data")
      cur = db.cursor()
      #Read in trained hyperparameters to theta from SQL database
cur.execute("SELECT * FROM trained_theta_values")
22
23
      row = cur.fetchall()
numb_hp = len(row[0])
25
      theta = zeros(numb_hp)
26
27
      for j in range(numb_hp):
28
               theta[j] = row[0][j]
      #Read in inv_C from SQL database
cur.execute("SELECT * FROM inv_C")
29
30
      rows = cur.fetchall()
31
32
      numrows = int(math.sqrt(len(rows)))
      inv_C = ones((numrows, numrows))
for j in range(numrows):
33
34
35
               for i in range(numrows):
      inv_C[j][i] = rows[i][2]
#Import the training data required in calculation
cur.execute("SELECT * FROM training_data")
36
37
38
39
      rows = cur.fetchall()
      numrows = len(rows)
numcols = len(rows[0])
X = zeros((numrows, (numcols-1)))
y = zeros((numrows, 1))
40
41
42
43
44
      for j in range((numrows)):
45
           y[j] = rows[j][0]
46
      for j in range(numrows):
47
        for i in range(1, numcols):
```

```
X[j][(i-1)] = rows[j][i]
49
      #Normalize Data
50
51
      X, x = normalize_input(X, x)
53
      \#Calculate\ K\_star\ and\ then\ calculate\ expected\ TS\ value\ given\ input\ x
      K_star = calc_K_star(x, X, theta)
54
      m = len(X[0])
55
      M = zeros((m,m))
56
      for i in range(m):
        M[i][i] = 1/(theta[i+2]*theta[i+2])
 59
      K_starstar = cov_func(x, x, M, theta[0])
      TS = calc_E_TS(inv_C, K_star, y)
TS_conf = K_starstar - dot(K_star, dot(inv_C, K_star.transpose()))
60
61
      print "Predicted TS: %d, Confidence in prediction: %d" % (TS, TS_conf)
62
      return TS, TS_conf
63
64
65
66
67
    #Function is necessary as for practical reasons because the training data set cannot be allowed to grow indefinitly.
68
         Instead
69
    #new predicted values have to be swapped in for older training examples. Before they can be considered however new
         training
    #data points have to be cross validated agains the user input log to make sure they were not over ridden. This
         function takes each
    #entry in the user log in turn and removes any entry in potential data entry that is within a certain time the user
         entry. All potential
    #training data points that pass this test are passed into temp to be inserted into the training data set by insert_td.
    def validate_TD(sig_time):
      print("Validating potential new training data against user log...")
db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data")
      cur = db.cursor()
 76
 77
 78
      clean time = 86400
 79
 80
      #Import the potential training data
81
      cur.execute("SELECT * FROM potential_new_training_data")
      rows = cur.fetchall()
82
      if(len(rows) > 0):
83
84
        ptd = zeros((len(rows), len(rows[0])))
        for j in range(len(rows)):
 85
 86
          for i in range(len(rows[0])):
87
            ptd[j][i] = rows[j][i]
88
        89
90
        rows = cur.fetchall()
91
        user_log = zeros((len(rows), len(rows[0])))
93
        for j in range(len(rows)):
          for i in range(len(rows[0])):
94
95
            user_log[j][i] = rows[j][i]
96
97
        #PROCESS
        for j in range(len(ptd)):
98
99
          val_pass = True
100
           for i in range(len(user_log)):
            time_diff = (user_log[i][0] - ptd[j][6])
print time_diff
103
             if(time_diff < 0):</pre>
               val_pass = True
             elif((time_diff > 0) & (time_diff<(sig_time*60))):</pre>
106
               val_pass = False
107
               break
             elif(time_diff > (sig_time*60)):
108
               val_pass = True
109
          print("Validation test result: ", val_pass)
          if(val_pass == True):
         print("Entry in potential new training data with following id passed: ", j)
command = "INSERT INTO temp (thermostat_setting, external_temp, external_humidity, internal_humidity,
wind_speed, wind_direction) VALUES ('%s', '%s', '%s', '%s', '%s', '%s') "%(ptd[j][0], ptd[j][1], ptd[j][2], ptd[j]
113
114
         ][3], ptd[j][4], ptd[j][5])
             print("Sending following command to database to add entry to table 'temp': ", command)
115
             cur.execute(command)
        print("Operation done, all entries that passed vaildation test moved to 'temp' for insertion into 'training data'.
118
        cur.execute("TRUNCATE TABLE potential_new_training_data")
120
        db.close()
121
      print("Removing old data entries in user log")
      #Get current timestamp (ms)
      current_timestamp = int(time.time())
124
      time_bound = current_timestamp - clean_time
125
      command = "DELETE FROM user_TS_input_log WHERE time_stamp < '%d'" % int(time_bound)</pre>
      cur.execute(command)
127
128
      print("Validation process complete")
129
130
48 #Function is necessary as for practical reasons because the training data set cannot be allowed to grow indefinitly.
432 #new predicted values have to be swapped in for older training examples. This function takes all the data points that
```

```
pass
#the validation test and adds as many of them as it can to the training set till capacity is reached. After this new
          training examples
    #are swapped in by removing the training points that are closest in the feature space.
    def insert_new_td(desired_training_set_size):
    print("INSERTING NEW DATA INTO THE TRAINING DATA SET...")
    db = MySQLdb.connect("127.0.0.1","mike","ChickenKorma","GPR_Training_Data")
136
138
       cur = db.cursor()
140
       #Import the number of new entries
       cur.execute("SELECT COUNT(*) FROM temp")
141
142
       result=cur.fetchone()
      numb_new_entries = result[0]
print("Number of new entries: ", numb_new_entries)
144
145
       #Import new data to be added to training data if it exists
146
      if(numb_new_entries > 0):
    cur.execute("SELECT * FROM temp")
147
148
149
         rows = cur.fetchall()
         temp = zeros((len(rows), len(rows[0])))
         for i in range(len(rows)):
           for i in range(len(rows[0])):
153
              temp[j][i] = rows[j][i]
         print("Data to be inserted: ", temp)
154
         #Import the current training data
         cur.execute("SELECT * FROM training data")
         rows = cur.fetchall()
158
         numrows = len(rows)
         numcols = len(rows[0])
160
         X = zeros((numrows, numcols))
161
         for j in range((numrows)):
   for i in range(numcols):
162
163
164
              X[i][i] = rows[i][i]
         X_{norm} = normalize_data(X) # Normalize to ensure each feature has equal significance in the sum
165
166
         numb_training_points = len(X)
167
168
         if(numb_new_entries <= abs(desired_training_set_size - numb_training_points)):#Automatically insert all points if</pre>
169
          there is enough capacity in the training set.
           print("TRAINING SET NOT AT CAPACITY - INSERTING ALL NEW POINTS")
170
            for j in range(len(temp)):
              cur.execute("INSERT INTO training_data (thermostat_setting, external_temp, external_humidity,
          internal_humidity, wind_speed, wind_direction) VALUES (%s, %s, %s, %s, %s, %s, %s)", (temp[j][0], temp[j][1], temp[j
          [2], temp[j][3], temp[j][4], temp[j][5]))
           print("TRAINING SET NEAR CAPACITY - REPLACING OBSELETE POINTS")#Automatically insert new points till capacity is
            numb_auto_insert = abs(desired_training_set_size - numb_training_points)
177
            print("Number to auto-insert into the training data set: ", numb_auto_insert)
           if(numb_auto_insert > 0):
178
              for j in range(numb_auto_insert):
          cur.execute("INSERT INTO training_data (thermostat_setting, external_temp, external_humidity,
internal_humidity, wind_speed, wind_direction) VALUES (%s, %s, %s, %s, %s, %s)", (temp[j][0], temp[j][1], temp[j]
180
          [2], temp[j][3], temp[j][4], temp[j][5]))
181
            for j in range(numb_auto_insert, len(temp)):#Swap in new training points for old ones that are closest to them
182
          in the feature space.
              similarities = zeros(len(X))
183
              for i in range(len(X_norm)):
184
185
                 similarities[i] = calc_similarity(temp[j], X_norm[i])
          index_replace = similarities.argmax()
command = "UPDATE 'training_data' SET 'thermostat_setting'='%s', 'external_temp'='%s', 'external_humidity'='%s'
', 'internal_humidity'='%s', 'wind_speed'='%s', 'wind_direction'='%s' WHERE 'thermostat_setting'='%s' AND '
external_temp'='%s' AND 'external_humidity'='%s' AND 'internal_humidity'='%s' AND 'wind_speed'='%s' AND '
wind_direction'='%s';" % (temp[j][0], temp[j][1], temp[j][2], temp[j][3], temp[j][4], temp[j][5], X[index_replace
186
187
          ][0], X[index_replace][1], X[index_replace][2], X[index_replace][3], X[index_replace][4], X[index_replace][5])
              cur.execute(command)
188
              print("Sent following command to sequel database: ", command)
189
         cur.execute("TRUNCATE TABLE temp")
190
      db.close()
191
192
193
194
    #GPR TRAIN:
195
    #Function trains the GPR by optimizing hyperparameters using gradient descent
    def train_GPR(alpha, max_iters, error_level, init_lower, init_upper, num_init):
    print("TRAINING GPR ALGORITHM")
196
197
       #READ IN TRAINING DATA
198
       # Open database connection
199
       db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data" )
200
       # prepare a cursor object using cursor() method
201
      cur = db.cursor()
202
       #Read in training data from SQL database
203
       cur.execute("SELECT * FROM training_data")
204
       rows = cur.fetchall()
       # disconnect from server
206
207
       db.close()
208
       #PUT DATA INTO MATRICES
209
       #Find the number of rows (data points) and columns (Features
210
       numrows = len(rows)
211
      numcols = len(rows[0])
```

```
213
      #Process data into input feature data matrix X and actual outputs associated y
      X = zeros((numrows, (numcols-1)))
y = zeros((numrows, 1))
214
215
216
      for j in range((numrows)):
           y[j] = rows[j][0]
217
218
      for j in range(numrows):
219
         for i in range(1, numcols):
220
           X[i][(i-1)] = rows[i][i]
221
222
      #NORMALIZE DATA
223
224
      X = normalize_data(X)
225
      #OPTIMISE AND DEFINE HYPERPARAMETERS USING GRADIENT DESCENT
226
      #Number of Input features
227
      m = len(X[0])
228
229
      #Number of data points
230
      n = len(y)
231
      theta_init = random.uniform(init_lower,init_upper,(m+2))
      theta, successful, nlml, nlml_history, exit_iters = gradient_descent(alpha, theta_init, X, y, error_level, max_iters
233
234
      #Carry out gradient descenet multiple times as with different initialisations may get different local minima and
235
         therefore
      #worse or better performance. Select the set of hyperparameters that gives the lowest NLML.
236
      for j in range(num_init):
   print("j is: ", j)
237
238
239
         #Define and initialise theta, note is a row vector
         theta_init = random.uniform(init_lower,init_upper,(m+2))
240
        print("Initial Theta values: ", theta_init)
#Use gradient descent to find optimized parameters
theta_test, successful, nlml_test, nlml_history, exit_iters = gradient_descent(alpha, theta_init, X, y,
error_level, max_iters)
241
249
243
         print("Initial nlm1:", nlml_history[0])
print("Final nlm1: ", nlm1)
245
246
         if(nlml_test < nlml):</pre>
247
           theta = theta_test
248
           nlml = nlml_test
      print("Theta optimized: ", theta)
249
250
251
252
      #CALCULATE MODEL VALUES
      #Calculate K with optimized hyperparameters K = calc_K(X), theta)
253
254
      #Calculate 'C'
255
      C = calc_C(K, theta[1])
256
      #Calculate inverse of
257
      inv_C = linalg.inv(C)
258
259
      #OUTPUT INVERSE C AND THETA VALUES TO DATABASE TABLES
260
      # Open database connection
      db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data")
261
      cursor = db.cursor()
#Empty table of obselete theta values
262
263
      cursor.execute("TRUNCATE TABLE trained_theta_values")
264
      #Insert trained theta values into the database cursor.execute("INSERT INTO trained_theta_values (signal_power, noise_power, external_temp, external_humidity
265
266
          internal_humidity, wind_speed, wind_direction) VALUES (%s, %s, %s, %s, %s, %s, %s)", (theta[0], theta[1], theta
          [2], theta[3], theta[4], theta[5], theta[6]))
      #Empty table of obselete inv_C values
267
      cursor.execute("TRUNCATE TABLE inv_C")
268
      #Insert inv_C values into the database
269
      for j in range(n):
   for i in range(n):
270
271
           cursor.execute("INSERT INTO inv_C (row_number, column_number, value) VALUES (%s, %s, %s)", (j, i, inv_C[j][i]))
272
      db.close()
273
274
      print("GPR Algorithm trained")
275
    #Function is called everytime a thermostat setting is requested.
277
278 #Description: Function reads in input data and recent user input tables. If the predicted state is occupied, and there
           has been a user input (i.e. a user input since the last call of this function) then
    #the user input is used, otherwise former inputs from the user (found in the user log table) are used if they are
    within a certain time range of the current timestamp. Else a TS value is generated by #the GPR algorithm. If the state is 'empty' or 'asleep' default settings are adopted.
280
281
    def get_TS(internal_humidity):
      #DEFINE VARIABLES USED IN PROGRAM
282
      #k is time in minutes that a user update holds for
283
      alpha = 0.0000001
284
      error_level = 1
      max_iters = 100
286
287
      numb_init = 10
      k = 60
288
      unoccupied temp = 2
289
      desired_training_set_size = 500
290
      #Initialise TS
291
      TS = 0
292
293
      #GET HYPERPARAMETER INITIALISATION RANGE
294
      db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "algorithm_testing")
295
      cur = db.cursor()
296
      cur.execute("SELECT * FROM hyperparameter_range")
298
      row = cur.fetchall()
```

```
299
      1b = row[0][0]
       ub = row[0][1]
300
       db.close()
301
302
       #GET DATA REOUIRED
303
      db = MySQLdb.connect("127.0.0.1","mike","ChickenKorma","Data" )
cur = db.cursor()
304
305
      #Import user settings
cur.execute("SELECT *
306
                               * FROM limits")
307
       row = cur.fetchall()
308
       max\_temp = row[0][0]
309
310
       min\_temp = row[0][1]
      print("max_temp is: ", max_temp)
print("min_temp is: ", min_temp)
311
312
313
314
315
      print("sleeping_temp is: ", sleeping_temp)
316
317
       #Import the input for this call
318
      x, predicted_state, current_timestamp = get_input(internal_humidity)
       print("DATA TO BE USED TO GENERATE TS IF NO USER INPUT")
320
      print("New input data entry: ",x)
print("State: ",predicted_state)
321
322
       print("Timestamp: ", current_timestamp)
323
       print("ASCERTAINING IF THERE HAS BEEN A USER UPDATE...")
       #Ascertain if there has been a recent user update
327
       cur.execute("SELECT * FROM user_update")
       rows = cur.fetchall()
328
329
       numb_recent_updates = len(rows)
       print("Number of user updates in last k minutes: ", numb_recent_updates)
330
331
332
      if(numb_recent_updates > 0):
333
         new_user_update = True
334
         user_update = zeros((len(rows), len(rows[0])))
         for j in range(len(rows)):
335
336
           for i in range(len(rows[0]))
           user_update[j][i] = rows[j][i]
print("user update data: ", user_update)
337
338
339
340
341
         new_user_update = False
342
343
       print("State of user update: ". new user update)
344
       db.close()
345
346
       #PROCESS
347
348
       #If the user has made a change since the last call then use this
      if(new_user_update == True):
   print("USER UPDATE DETECTED - USER INPUT PATH TAKEN")
349
350
         if(predicted_state == 0):
351
352
           print("State: Unoccupied, setting TS to unoccupied setting...")
            TS = unoccupied_temp
353
354
         elif(predicted_state == 1):
           print("State: Occupied, setting TS to user setting...")
TS = user_update[(numb_recent_updates-1)][0]
355
356
         elif(predicted_state == 2):
357
358
           print("State: Asleep, setting TS to sleeping setting...")
359
           TS = sleeping_temp
360
         else:
           print("Error: 'predicted_state' value not valid:", predicted_state)
361
362
363
         if(TS > max_temp):
364
           TS = max_temp
365
366
367
         elif(TS < min_temp):</pre>
           TS = min temp
368
369
370
           print("TS within boundry conditions")
371
372
373
         print("TS value selected",TS)
374
         #OUTPUT TS TO THE EMBED
375
         print("OUTPUT TO EMBED...")
376
         ws = create_connection("ws://ec2-54-214-164-65.us-west-2.compute.amazonaws.com:8888/ws")
377
         print("Sending Thermostat Setting...")
command = '{"type": "thermostat_control" , "setting" : %d}' % int(TS)
print("Output to embed: ", command)
378
379
380
         ws.send(command)
381
         print("Thermostat Setting Sent with value of: ", TS)
382
383
         ws.close()
384
385
386
         #HANDLE DATABASE
         db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data")
387
         cur = db.cursor()
388
         #Upload the user input to the temp file and retrain GPR algorithm
389
         cur.execute("INSERT INTO temp (thermostat_setting, external_temp, external_humidity, internal_humidity, wind_speed
, wind_direction) VALUES (%s, %s, %s, %s, %s, %s)", (user_update[(numb_recent_updates-1)][0], user_update[(
390
```

```
numb_recent_updates-1)][1], user_update[(numb_recent_updates-1)][2], user_update[(numb_recent_updates-1)][3],
user_update[(numb_recent_updates-1)][4], user_update[(numb_recent_updates-1)][5]))
         #Upload the user input to the user log
391
        command = "INSERT INTO user_TS_input_log (thermostat_setting, external_temp, external_humidity, internal_humidity,
wind_speed, wind_direction, time_stamp) VALUES (%s, %s, %s, %s, %s, %s, %s, %s) % (user_update[(numb_recent_updates)
392
         -1)][0], user_update[(numb_recent_updates-1)][1], user_update[(numb_recent_updates-1)][2], user_update[(
         numb_recent_updates-1)][3], user_update[(numb_recent_updates-1)][4], user_update[(numb_recent_updates-1)][5],
        user_update[(numb_recent_updates-1)][6])
print("Uploading following entry into user log: ", command)
393
394
         cur.execute(command)
         #Retrain Algorithm and truncate 'temp' afterwards
395
396
        insert_new_td(desired_training_set_size)
        train_GPR(alpha, max_iters, error_level, lb, ub, numb_init)
397
398
        db.close()
399
        db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "Data" )
400
        cur = db.cursor()
401
        #Truncate user_data (user input)
402
403
        cur.execute("TRUNCATE TABLE user_update")
404
        #Truncate Data (input)
        cur.execute("TRUNCATE TABLE Data")
405
406
407
      #NO INPUT SINCE LAST CALL...
408
409
      else:
        print("USER UPDATE NOT DETECTED - CHECKING FOR FORMER STILL RELEVANT USER INPUTS...")
410
411
        #Import user log data
412
413
        db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data" )
414
        cur = db.cursor()
        cur.execute("SELECT * FROM user_TS_input_log")
415
        rows = cur.fetchall()
416
        numb_user_entries = len(rows)
417
        user_time_stamps = zeros(numb_user_entries)
418
419
420
         #Load in the time stamps in the user log
421
        for j in range(numb_user_entries):
422
           user_time_stamps[j] = rows[j][0]
423
        print("Numer of entries in user log: ", numb_user_entries)
424
425
        print("User Log timestamps:", user_time_stamps)
426
427
        if(numb_user_entries > 0):
           #Check if any entries in the user log are relevant
id, closest_user_timestamp, min_diff = find_nearest(user_time_stamps,current_timestamp)
428
429
           if(fabs(current\_timestamp - closest\_user\_timestamp) < (k*60)): #Note timestamp is in milliseconds, k*60*10^3 ms
430
         in k minutes
             use_user_input = True
431
432
433
             use_user_input = False
434
435
           print("Current Time Stamp: ", current_timestamp)
436
           print("Closest time difference to current timestamp: ", min_diff)
437
438
439
        else:
440
          use_user_input = False
441
        print("State of use user log input: ", use_user_input)
442
443
444
445
        if(predicted_state == 0):
           print("State: Unoccupied, setting TS to unoccupied setting")
TS = unoccupied_temp
446
447
        elif(predicted state == 1):
448
           if(use_user_input == True):
449
             print("Former user input still relevant, referring back to last user log entry...")
450
             TS = user_TS(current_timestamp)
451
452
           else:
             print("Former user entries no longer relevant, generating TS from GPR algorithm...")
453
             TS, TS_conf = active_TS(x)
454
        elif(predicted_state == 2):
455
          print("State: Asleep, setting TS to sleeping setting...")
456
           TS = sleeping_temp
457
458
         else:
           print("Error: 'predicted_state' value not valid:")
450
           print predicted_state
460
461
462
         #Bound the TS setting
        if(TS > max_temp):
463
464
           TS = max_temp
465
        elif(TS < min temp):</pre>
466
          TS = min temp
467
         else:
468
           print("TS within boundry conditions")
469
470
471
        #OUTPUT TS TO THE EMBED
        print("OUTPUT TO EMBED...")
472
        ws = create_connection("ws://ec2-54-214-164-65.us-west-2.compute.amazonaws.com:8888/ws")
473
        print("Sending Thermostat Setting...")
474
         command = '{"type": "thermostat_control" , "setting" : %d}' % int(TS)
476
        print("Output to embed: ", command)
```

```
477
        ws.send(command)
        print("Thermostat Setting Sent with value of: ", TS)
478
         ws.close()
479
480
481
482
        #HANDLE DATABASE UPDATES
        if((use_user_input == False) & (predicted_state == 1)):
483
           db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "GPR_Training_Data")
484
           cur = db.cursor()
485
           #Upload input to potential new training data
486
          cur.execute("INSERT INTO potential_new_training_data (thermostat_setting, external_temp, external_humidity,
internal_humidity, wind_speed, wind_direction, time_stamp) VALUES (%s, %s, %s, %s, %s, %s, %s)", (TS, x[0][0], x
         [0][1], x[0][2], x[0][3], x[0][4], current_timestamp))
488
           db.close()
489
490
491
492
493
    #Function takes all the data in the potential_training_data table, runs a validation check against the
    user_TS_input_log and then outputs the data entries #that pass into the 'temp' data table these are then inserted into the training data table and the GPR retrain
494
         algorithm is re-run. The temp and potential data table are then truncated
    #and all entries older than a day in the user log are also removed. Note user inputs are automatically added to the
495
         training set as soon as they are detected. Called approximatly
    #every 24 hours.
496
    def retrain with updates():
497
      #GET HYPERPARAMETER INITIALISATION RANGE
498
      db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "algorithm_testing" )
499
      cur = db.cursor()
500
      cur.execute("SELECT * FROM hyperparameter_range")
      row = cur.fetchall()
lb = row[0][0]
503
      ub = row[0][1]
      db.close()
506
507
      #PARAMETER DEFINITIONS
508
      alpha = 0.0000001
      error level = 1
      max iters = 100
      numb_init = 10
      sig\_time = 60
512
      desired_training_set_size = 500
      #Check data against user log entries, if within a certain time distance then don't insert into the table 'temp'.
   Once complete truncates 'potential_training_data' and removes old entries in user log
      validate TD(sig time)
      #Insert data in 'temp' table into the 'training_data' table appropriatly. Once complete truncates 'temp'
518
      insert_new_td(desired_training_set_size)
520
      #Retrain Algorithm, i.e. obtain new theta values and correlation matrix
      train_GPR(alpha, max_iters, error_level, lb, ub, numb_init)
524
525
    #HYPERPARAMETER SEARCH:
526
    #Different initialisations of theta lead to different local minima and therefore different performance. This function
527
         searches the NLML space and initializes
    #the hyperparameters in sub regions. It checks the performance of each region by comparing the predictions with the
528
         actual values from a test set (used to train
    #the data) and a cross validation set (an independant set) and calculates the percentage error for both. The region
         with the best performance is then subdivided again
    #until a certain initialisation range is reached. It then returns this initialisation range to the database to be used
   by all future 'train calls' to initialise the hyperparameters.#
530
    #This function is simply put a range finder and gives an idea of where to look for the best minima, it is only called when there are very large changes in the data set.
    def hyperparameter_search():
      #INITIALISE SYSTEM PARAMETERS
      alpha = 0.00001
      error level = 1
      max\_iters = 50
536
      num\_init = 20
538
      #IMPORT INPUT DATA
539
540
      db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "algorithm_testing" )
541
      cur = dh.cursor()
      #Read in training data
543
      cur.execute("SELECT * FROM training_data")
      rows = cur.fetchall()
546
      train_d = zeros((len(rows), len(rows[0])))
      for j in range(len(rows)):
   for i in range(len(rows[0])):
548
           train d[i][i] = rows[i][i]
549
550
      #Read in test data
551
      cur.execute("SELECT * FROM test_data")
552
      rows = cur.fetchall()
      test_d = zeros((len(rows), (len(rows[0])-1)))
554
      TS_test = zeros((len(rows)))
      #print len(rows)
      for j in range(len(rows)):
558
        TS_test[j] = rows[j][0]
```

```
for i in range(1,len(rows[0])):
           test_d[j][(i-1)] = rows[j][i]
560
561
562
      lower_bound = 0
      upper_bound = 100
563
564
      numb_divs = 10
565
      m = len(test_d[0])
566
      #Perform the grid search
567
      while((upper_bound - lower_bound) > 4):
568
         increment = (upper_bound - lower_bound)/numb_divs
569
         print "Considering range of initialisations %d to %d:" % (lower_bound, upper_bound)
print "Increment is: %d" % (increment)
lb_temp = lower_bound
570
571
         ub_temp = lb_temp + increment
         av_err = zeros((numb_divs))
574
         for k in range((numb_divs)):
576
           #TRAIN ALGORITHM:
577
           train_GPR(alpha, max_iters, error_level, lb_temp, ub_temp, num_init)
578
           #Initialise
           err = zeros((len(TS_test)))
           gpr_res = zeros((len(TS_test)))
580
           gpr_conf = zeros((len(TS_test)))
581
           for j in range(len(test_d)):
582
             gpr_res[j], gpr_conf[j] = active_TS(test_d[j])
err[j] = 100*((abs(TS_test[j] - gpr_res[j]))/TS_test[j])
583
584
             av_err[k] = av_err[k] + err[j]
585
586
587
           av_err[k] = av_err[k]/(len(test_d))
           print "Error bound for division %d-%d is: %d" % (lb_temp, ub_temp, av_err[k])
588
589
           lb\_temp = ub\_temp
590
           ub_temp = ub_temp + increment
591
         ind_min_err = np.argmin(av_err)
         print "the index of the minimum division is: %d" % (ind_min_err)
593
594
         upper_bound = lower_bound + (ind_min_err*increment)
595
         lower_bound = upper_bound - increment
596
         print "Theta values found to be best initialised in this range are between %d - %d" % (lower_bound, upper_bound)
597
598
599
      print "Theta values found to be best initialised in this range are between %d - %d" % (lower_bound, upper_bound)
      print("Initialised with these Boundaries we have...")
600
601
602
      train_GPR(alpha, max_iters, error_level, lower_bound, upper_bound,num_init)
      err = zeros((len(TS test)))
603
      apr res = zeros((len(TS test)))
604
      gpr_conf = zeros((len(TS_test)))
605
       av_err = 0
606
      for j in range(len(test_d)):
607
        gpr_res[j], gpr_conf[j] = active_TS(test_d[j])
err[j] = 100*((abs(TS_test[j] - gpr_res[j]))/TS_test[j])#Calculate error on each prediction
608
609
         av_err = av_err + err[i]
610
      av_err = av_err/len(test_d)#Calculate the % error in the test set
611
612
613
      min = np.argmin(err)
614
      max = np.argmax(err)
615
      print("TS values from test set", TS_test)
616
      print("TS values calculated", gpr_res)
print("Variance of GPR values", gpr_conf)
print("Percentage Error List:", err)
617
618
619
      print "Max percentage error in estimate: %d" % (err[max])
print "Min percentage error in estimate: %d" % (err[min])
620
621
      print "Average Percentage Error in estimates: %d" % (av_err)
622
623
      cur.execute("TRUNCATE TABLE hyperparameter_range")
624
      cur.execute("INSERT INTO hyperparameter_range (lower_bound, upper_bound) VALUES (%s, %s)" % (lower_bound,
625
         upper_bound))
626
627
628
629
630
631
632
    #With insufficient data to run the state detection algorithm this function is used to generate values
633
    def estimate current state():
      x = random.randint(1,100)
634
      if x <= 10:
635
         return 0
636
      elif x <= 66:</pre>
637
638
        return 1
639
      else:
        return 2
640
641
642
    #Function is run before 'get_TS' is called to compile an input vector
    def get_input(internal_humidity):
   db = MySQLdb.connect("127.0.0.1","mike","ChickenKorma","Data")
645
646
      cur = db.cursor()
      #Import environmental data from Data2
648
649
      command = "SELECT Temperature, Humidity, Wind_speed, Wind_direction FROM Data2 WHERE Time_stamp=(select MAX(
          Time_stamp) from Data2)"
```

```
650
      print("Command sent to SQL database: ", command)
      cur.execute(command)
651
      temp = cur.fetchone()
652
      print temp
653
      #Get the state of the house:
654
      state = find_state()
655
656
      #Get current Time stamp
      current_timestamp = int(time.time())/1000
#Format Oututs:
657
659
      x = zeros((5))
      x[0] = temp[0]
660
661
      x[1] = temp[1]
662
      x[2] = internal humidity
      x[3] = temp[2]
663
      x[4] = temp[3]
664
      return x, state, current_timestamp
665
666
667
668
669
4Function uses a logic table to select a state by comparing the predicted and detected state. It then returns this
        state to
    #the 'get_TS' function.
671
    def find_state():
672
      localtime = time.localtime(time.time())
print "Day is: %d" % (localtime[6])
673
674
      print "Hour is: %d" % (localtime[3])
675
676
677
      hour = localtime[3]
678
679
      db = MySQLdb.connect("127.0.0.1", "mike", "ChickenKorma", "House_states")
680
      cur = db.cursor()
681
      #First need find the correct day, the data is puleld of the same table that feeds the website.
682
683
      if(localtime[6] == 0):
684
        command = "SELECT state FROM Monday WHERE Hour = '%d'" % (hour)
685
        print("Command sent to SQL database: ", command)
686
        cur.execute(command)
        temp = cur.fetchone()
pred_state = int(temp[0])
687
688
689
690
      elif(localtime[6] == 1):
        command = "SELECT state FROM Tuesday WHERE Hour = '%d'" % (hour)
691
692
        print("Command sent to SQL database: ", command)
        cur.execute(command)
693
        temp = cur.fetchone()
694
        pred_state = int(temp[0])
695
696
      elif(localtime[6] == 2):
697
        command = "SELECT state FROM Wednesday WHERE Hour = '%d'" % (hour)
print("Command sent to SQL database: ", command)
cur.execute(command)
698
699
700
        temp = cur.fetchone()
701
        pred_state = temp[0]
702
703
704
      elif(localtime[6] == 3):
        command = "SELECT state FROM Thursday WHERE Hour = '%d'" % (hour)
print("Command sent to SQL database: ", command)
705
706
        cur.execute(command)
707
708
        temp = cur.fetchone()
        pred_state = temp[0]
709
710
711
      elif(localtime[6] == 4):
        command = "SELECT state FROM Friday WHERE Hour = '%d'" % (hour)
        print("Command sent to SQL database: ", command)
713
714
        cur.execute(command)
        temp = cur.fetchone()
715
716
        pred_state = temp[0]
717
      elif(localtime[6] == 5):
718
        command = "SELECT state FROM Saturday WHERE Hour = '%d'" % (hour)
719
        print("Command sent to SQL database: ", command)
720
        cur.execute(command)
721
        temp = cur.fetchone()
722
723
        pred_state = temp[0]
724
      elif(localtime[6] == 6):
       command = "SELECT state FROM Sunday WHERE Hour = '%d'" % (hour)
726
        print("Command sent to SQL database: ", command)
727
        cur.execute(command)
728
729
        temp = cur.fetchone()
730
        pred_state = temp[0]
      else:
        print "Day not recognized, day = '%d'" % (localtime[6])
732
733
      db.close()
734
735
      rt_state = estimate_current_state()
736
      print type(rt_state)
737
      print type(pred_state)
738
    #Logic table
739
      if(rt_state == 0 and (pred_state == 0 or pred_state == 3)):
741
      elif(rt_state == 0 and (pred_state == 1 or pred_state == 4)):
```

```
742
743
     state = 1
elif(rt_state == 0 and (pred_state == 2 or pred_state == 5)):
744
        state = 0
745
     elif(rt_state == 1 and (pred_state == 0 or pred_state == 3)):
746
      elif(rt_state == 1 and (pred_state == 1 or pred_state == 4)):
747
748
       state = 1
749
750
     elif(rt_state == 1 and (pred_state == 2 or pred_state == 5)):
        state = 2
751
      elif(rt_state == 2 and (pred_state == 0 or pred_state == 3)):
752
        state = 2
753
      elif(rt_state == 2 and (pred_state == 1 or pred_state == 4)):
754
755
     state = 1
elif(rt_state == 2 and (pred_state == 2 or pred_state == 5)):
756
       state = 2
757
        print "Real time and predicted state not recognised, rt_state = '%d', pred_state = '%d'" % (rt_state, pred_state)
758
759
760
     return state
761
762 random.seed()
```

code/gpr\_system\_primary\_functions.py

## 8.3 Costs

Component	Price/unit	Quantity	Total price
Wifly module	£22.41	1	£22.41
Mbed	£41.38	1	£41.38
Motion sensor	£12.24	1	£12.24
Zigbee	£17.55	4	£70.20
Temperature Sensor	£1.21	1	£1.21
Breadboard	£3.15	5	£15.75
XBee to DIP Adapter	£2.50	4	£10.00
Humidity Sensor	£3.42	1	£3.42
Amega88 MCU	£2.66	1	£2.66
9V AC-AC adapter	£6.25	1	£6.25
2.1mm socket	£0.65	1	£0.65
Triac	£2.70	2	£5.40
Optocoupler	£1.07	1	£1.07
TL072 opamp	£0.68	2	£1.36
100W SPOT ES	£0.32	1	£0.32
Comparator	£0.17	4	£0.68
XOR gate	£0.26	2	£0.52
DC power adapter	£8.52	1	£8.52
Lead kettle	£2.20	1	£2.20
Voltage regulator	£0.60	1	£0.60
Small breadboard	£3.00	1	£3.00
Voltage regulator	£0.60	2	£1.20
2.1mm socket	£0.65	2	£1.30
		Total	£212.34