# SHENAO ZHANG

shenao@gatech.edu | shenao-zhang.github.io

### **EDUCATION**

Georgia Institute of Technology

M.S. in ECE (Electrical and Computer Engineering), GPA: 3.81/4.00

May 2020 - May. 2022 Atlanta, GA

South China University of Technology

B.Eng. in EE (Electronic and Information Engineering, Innovation Class)

Aug. 2016 - May 2020 Guangzhou, China

University of California, Berkeley

Visiting student at Department of EECS, GPA: 3.90/4.00

Jan. 2019 - May 2019 Berkeley, CA

### RESEARCH INTERESTS

My research centers around reinforcement learning (RL), especially model-based RL. I'm interested in developing data-efficient RL algorithms that have both theoretical guarantees and strong empirical performance with application to robotic and multi-agent systems.

# PUBLICATIONS AND PREPRINTS

- [1] **Shenao Zhang**, "Conservative Dual Policy Optimization for Efficient Model-Based Reinforcement Learning", Thirty-sixth Conference on Neural Information Processing Systems (NeurIPS), 2022. [PDF].
- [2] **Shenao Zhang**, Boyi Liu, Yan Li, Zhaoran Wang, Tuo Zhao, "Model-Based Reparameterization Policy Gradient: Theory and Practical Algorithms", in submission to *International Conference on Machine Learning (ICML)*, 2023. [PDF].
- [3] **Shenao Zhang**, Wanxin Jin, Zhaoran Wang, "Model-Based First-Order Policy Gradient for Contact Dynamics", in submission to *International Conference on Machine Learning (ICML)*, 2023. [PDF]
- [4] **Shenao Zhang**, Li Shen, Lei Han, Li Shen, "Learning Meta Representation for Agents in Multi-Agent Reinforcement Learning", *ICLR Workshop on Gamification and Multiagent Solutions*, 2022. Under review at *Machine Learning Journal*. [PDF]
- [5] **Shenao Zhang**, Li Shen, Zhifeng Li, Wei Liu, "Structure-Regularized Attention for Deformable Object Representation", NeurIPS Workshop on Object Representations for Learning and Reasoning, 2020. [PDF]
- [6] Dazheng Hu, Huabiao Qin\*, Hongmei Liu\*, **Shenao Zhang**\*, "Gaze Tracking Algorithm Based on Projective Mapping Correction and Gaze Point Compensation in Natural Light", *International Conference on Control and Automation (ICCA)*, 2019. [PDF]

#### RESEARCH EXPERIENCE

## Northwestern University

Research Intern. Advisor: Zhaoran Wang

Aug. 2022 - Present Remote

• First-Order Policy Gradient for Contact Dynamics: We study the FOPG with complementarity-based models for robotics systems that experience hard contact. We show that the convergence and gradient variance of FOPG are closely related to the model stiffness, which is determined by the centering parameter μ when solving the complementarity problem. Therefore, we propose Analytic Barrier Smoothing with a contact-aware centering parameter. By discovering its connection with randomized smoothing, we show that analytic smoothing is the best linear approximation of the LCP solution and establish its gradient bias upper bound.

Georgia Tech

Sep. 2020 - Aug. 2022 and Zhaoran Wang Atlanta, GA

Research Intern. Advisors: Tuo Zhao and Zhaoran Wang

- Model-Based Reparameterization Policy Gradient Methods: We establish the first convergence analysis result for model-based RP PGMs, and our theory identifies the smoothness of the function approximators as a major determining factor that affects the quality of gradient estimation. Based on our theory, we further propose a spectral normalization method, which can effectively mitigate the exploding variance due to long model unrolls. Experiments are provided to support our theory and method: With a proper normalization, we can significantly reduce the gradient variance of model-based RP PGMs and improve their convergence, leading to equal or better performance than their counterparts based on other gradient estimators, e.g., Likelihood Ratio (LR) gradient estimator.
- Conservative Dual Policy Optimization for Efficient MBRL: Previous provable model-based RL achieves the global optimality by assuming that the model has restricted complexity, which rarely holds in nonlinear settings. In practice when the model is poorly generalized, as measured by the model complexity, an exploration step can only eliminate a small portion of the model hypothesis, causing over-exploration. To solve this issue, I proposed Conservative Dual Policy Optimization (CDPO). CDPO optimizes an intermediate policy under a stable reference model and then maximizes the expected policy value. I proved the statistical equivalence between CDPO and posterior-sampling RL in Bayesian regret, with which the global optimality of CDPO can be concluded. Moreover, I established the monotonic policy value improvement result of CDPO to ensure efficient exploration. The experiments in tabular and MuJoCo tasks support the claims and reveal the superiority of the proposed method.

Tencent AI Lab
Research Intern. Advisors: Li Shen, Lei Han and Li Shen
Shenzhen, China

- Generalizability of Multi-Agent RL: To make RL algorithms generalizable in population-varying multi-agent systems, we proposed *Meta Representations for Agents* (MRA) that adopts multi-modal latent policies and a constrained mutual information maximization objective to discover the common strategic knowledge and diverse strategic modes. We proved that the learned policies can reach the Nash Equilibrium in every evaluation Markov game if with a sufficiently large latent space.
- Visual Representation of Structured Data: For visual tasks including person re-id and face recognition, we proposed to formulate feature interactions in a structured manner. Our Structure-Regularized Attention captures informative patterns between neighbor nodes. Higher-level contextual information can then be accessed to enhance the desired features.

# TEACHING EXPERIENCE

Head TA of CS 7648: Interactive Robot Learning (Fall 2021) at Georgia Tech.

## SELECTED PROJECTS

Object Detection
Project paper: Coarse-to-Fine Attention, advised by Bo Wu

May 2019 - Oct. 2019 Columbia University

Cloth Simulation using OpenGL Shader

Project website: ffjmmm.github.io/CS184-final/webpage, advised by Ren Ng

Jan. 2019 - May 2019 UC Berkeley

# PROFESSIONAL SERVICE

Conference Review: NeurIPS 20/21/22, ICLR 22/23, AISTATS 22/23, RSS 21, ICML 22.

Journal Review: Neurocomputing.

# HONORS AND AWARDS

NeurIPS Scholar Award	2022
Georgia Tech Level A Premier Merit-Based Scholarship	2020-2021
Second Prize in 2018 Undergraduate Electronics Design Contest	2018
Third Prize in 2018 Intel Undergraduate Embedded System Contest	2018
Outstanding Freshman Scholarship (Awarded to 30 among 6,500 students)	2016