# SHENAO ZHANG

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#### **EDUCATION**

Georgia Institute of Technology

M.S. in ECE (Electrical and Computer Engineering), GPA: 3.875/4.00

May 2020 - Feb. 2022 Atlanta, GA

South China University of Technology

B.Eng. in EE (Electronic and Information Engineering, Innovation Class)

Aug. 2016 - May 2020 Guangzhou, China

University of California, Berkeley

Visiting student at Department of EECS, GPA: 3.90/4.00

Jan. 2019 - May 2019 Berkeley, CA

## RESEARCH INTERESTS

My research centers around reinforcement learning (RL), especially model-based RL. I'm interested in developing and analyzing efficient RL algorithms with application to robotic systems.

#### SELECTED PUBLICATIONS

- [1] **Shenao Zhang**, Boyi Liu, Yan Li, Zhaoran Wang, Tuo Zhao. Model-Based Reparameterization Policy Gradient: Theory and Practical Algorithms. Preprint: shenao-zhang.github.io/RPgradRL/RPgrad.pdf
- [2] **Shenao Zhang**. Conservative Dual Policy Optimization for Efficient Model-Based Reinforcement Learning. Accepted at *Neural Information Processing Systems (NeurIPS)*, 2022. Paper: arxiv.org/abs/2209.07676
- [3] **Shenao Zhang**, Li Shen, Lei Han, Li Shen. Learning Meta Representation for Agents in Multi-Agent Reinforcement Learning. Accepted at *ICLR Workshop on Gamification and Multiagent Solutions*, 2022. Under review at *Machine Learning Journal*. Paper: arxiv.org/abs/2108.12988
- [4] **Shenao Zhang**, Li Shen, Zhifeng Li, Wei Liu. Structure-Regularized Attention for Deformable Object Representation. Accepted at *NeurIPS Workshop on Object Representations for Learning and Reasoning*, 2020. Paper: shenao-zhang.github.io/StRA-2020/StRA.pdf

### WORK IN PROGRESS

[5] **Shenao Zhang**, Wanxin Jin, Zhaoran Wang. Model-Based First-Order Policy Gradient for Contact Dynamics. Report link: https://shenao-zhang.github.io/contact/contact.pdf

### RESEARCH EXPERIENCE

## Northwestern University

Aug. 2022 - Present

Research Intern. Advisor: Zhaoran Wang

• First-Order Policy Gradient for Contact Dynamics (in progress): We study the FOPG with complementarity-based models for robotics systems that experience hard contact. We show that the convergence and gradient variance of FOPG are closely related to the model stiffness, which is determined by the centering parameter μ when solving the complementarity problem. Therefore, we propose the Analytic Barrier Smoothing with a contact-aware centering parameter. By discovering its connection with randomized smoothing, we show that analytic smoothing is the best linear approximation of the LCP solution and establish the bias of smoothing the system.

Georgia Tech

Sep. 2020 - Aug. 2022 Atlanta, GA

Research Intern. Advisors: Tuo Zhao and Zhaoran Wang

• Model-Based Reparameterization Policy Gradient Methods: We establish the first convergence analysis result for model-based RP PGMs, and our theory identifies the smoothness of the function approximators as a major determining factor that affects the quality of gradient estimation.

Based on our theory, we further propose a spectral normalization method, which can effectively mitigate the exploding variance due to long model unrolls. Experiments are provided to support our theory and method: With a proper normalization, we can significantly reduce the gradient variance of modelbased RP PGMs and improve their convergence, leading to equal or better performance than their counterparts based on other gradient estimators, e.g., Likelihood Ratio (LR) gradient estimator.

• Conservative Dual Policy Optimization for Efficient MBRL: Previous provable model-based RL achieves the global optimality by assuming that model families have a restricted complexity measure, which rarely holds for nonlinear models. We thus proposed Conservative Dual Policy Optimization (CDPO) which shelves the model sampling process with Referential Update and Constrained Conservative Update. We showed that CDPO not only has a monotonic improvement property, but also is asymptotically optimal with a  $\widetilde{\mathcal{O}}(\sqrt{T})$  Bayes expected regret. Experiments on several MuJoCo tasks also validate the principled over-exploration issue and the superiority of CDPO.

Tencent AI Lab

Research Intern. Advisors: Li Shen, Lei Han and Li Shen

Aug. 2019 - Sep. 2020

Shenzhen, China

- Generalizability of Multi-Agent RL: To make RL algorithms generalizable in population-varying multi-agent systems, we proposed *Meta Representations for Agents* (MRA) that adopts multi-modal latent policies and a constrained mutual information maximization objective to discover the common strategic knowledge and diverse strategic modes. We proved that the learned policies can reach the Nash Equilibrium in every evaluation Markov game if with a sufficiently large latent space.
- Visual Representation with Structured Data: For structured visual tasks including person reid and face recognition, we proposed to formulate feature interactions in a structured manner. Our Structure-Regularized Attention first captures informative patterns between neighbor nodes. Higher-level contextual information can then be accessed to enhance the desired features.

### TEACHING EXPERIENCE

Head TA of CS 7648: Interactive Robot Learning (Fall 2021) at Georgia Tech.

## SELECTED PROJECTS

Object DetectionMay 2019 - Oct. 2019Project paper: Coarse-to-Fine Attention, advised by Bo WuColumbia UniversityCloth Simulation using OpenGL ShaderJan. 2019 - May 2019Project website: ffjmmm.github.io/CS184-final/webpage, advised by Ren NgUC BerkeleyGaze Tracking AlgorithmsFeb. 2018 - Jan. 2019Project paper: Gaze Tracking in Natural Light, advised by Huabiao Qin and Mingkui TanSCUT

# PROFESSIONAL SERVICE

 $\textbf{Conference Review:} \ \ \text{NeurIPS } 2020/2021/2022, \ \text{ICLR } 2022/2023, \ \text{AISTATS } 2022/2023, \ \text{RSS } 2021, \ \text{ICML } 1000/2021/2022, \ \text{ICML }$ 

2022.

Journal Review: Neurocomputing.

## HONORS AND AWARDS

NeurIPS Scholar Award	2022
Georgia Tech Level A Premier Merit-Based Scholarship	2020-2021
Second Prize in 2018 Undergraduate Electronics Design Contest	2018
Third Prize in 2018 Intel Undergraduate Embedded System Contest	2018
Outstanding Freshman Scholarship (Awarded to 30 among 6.500 students)	2016

## RELEVANT COURSES

Undergraduate Courses: Computer Graphics (Berkeley CS 184), Intro to AI (Berkeley CS 188), Algorithms (Berkeley CS 170), Machine Perception, Information Theory, Deep Learning.

## Graduate Courses at Georgia Tech:

- Control Courses: Linear Systems and Controls (ECE 6550), Nonlinear Systems and Control (ECE 6552), Optimal Control and Optimization (ECE 6553), Autonomous Control of Robotic Systems (ECE 6562), Power Systems Control and Operation (ECE 6320).
- ML Courses: Statistical Machine Learning (ECE 6254), Mathematical Foundations of Machine Learning (ISyE 7750), Machine Learning Theory (CS 7545), Computational Data Analysis (CSE 6740).