



# Hands-on Workshop: Introduction to International Medical Data Standard OMOP CDM for Observational Research

21 September 2023

Supported by



ศูนย์ความเป็นเลิศด้านชีววิทยาศาสตร์ (องค์การมหาชน)  
Thailand Center of Excellence for Life Sciences  
(Public Organization)



# Agenda



<https://bit.ly/omop-workshop-slide>

เวลา	หัวข้อ	วัตถุประสงค์
09:00 – 09:15	ลงทะเบียน	
09:15 – 09:30	เปิดการอบรม และแนะนำภาพรวม โดย ผศ.ดร.ประพัฒน์ สุริยผล	
<b>09:30 – 10:30</b>	<b>Introduction to OMOP CDM and OHDSI</b>	ทำความรู้จักกับ OMOP CDM และ OHDSI
10:30 – 10:45	รับประทานอาหารว่าง (เช้า)	
<b>10:45 – 11:15</b>	<b>Inspiring Experience from Singapore</b> โดย Asst. Prof. Mengling ‘Mornin’ Feng	เห็นตัวอย่างการนำ OMOP CDM และ OHDSI Tools ไปใช้ในงานวิจัย และความร่วมมือจากภาคส่วนต่าง ๆ ในประเทศไทยเพื่อนบ้าน
<b>11:15 – 12:00</b>	<b>OHDSI Tools: Athena &amp; Atlas</b>	สามารถใช้งานเครื่องมือ Athena และ Atlas
12:00 – 13:00	รับประทานอาหารกลางวัน	
<b>13:00 – 14:30</b>	<b>OHDSI Tools: Cohort Definition &amp; Characterization</b>	สามารถกำหนดกลุ่ม Cohort เพื่อการวิจัย และวิเคราะห์เชิงสถิติ ต่าง ๆ เป็นต้น
14:30 – 14:45	รับประทานอาหารว่าง (บ่าย)	
<b>14:45 – 15:45</b>	<b>OHDSI Tools: Patient-level Prediction</b>	สามารถสร้างโมเดลการทำนายรายผู้ป่วยได้
<b>15:45 – 16:15</b>	<b>Data Governance for Research</b>	เข้าใจหลักการทางธรรมาภิบาลข้อมูล เกี่ยวกับการวิจัย
16:15 – 17:00	Networking Event (optional)	สร้างความรู้จักกันระหว่างผู้เข้าร่วมอบรม เพื่อการสร้างเครือข่ายวิจัยร่วมกันในอนาคต



# OHDSI, pronounced "Odyssey"

Observational Health Data Sciences and Informatics





# Meet Your Today's Guide for OMOP/OHDSI Journey



Natthawut 'Max' Adulyanukosol

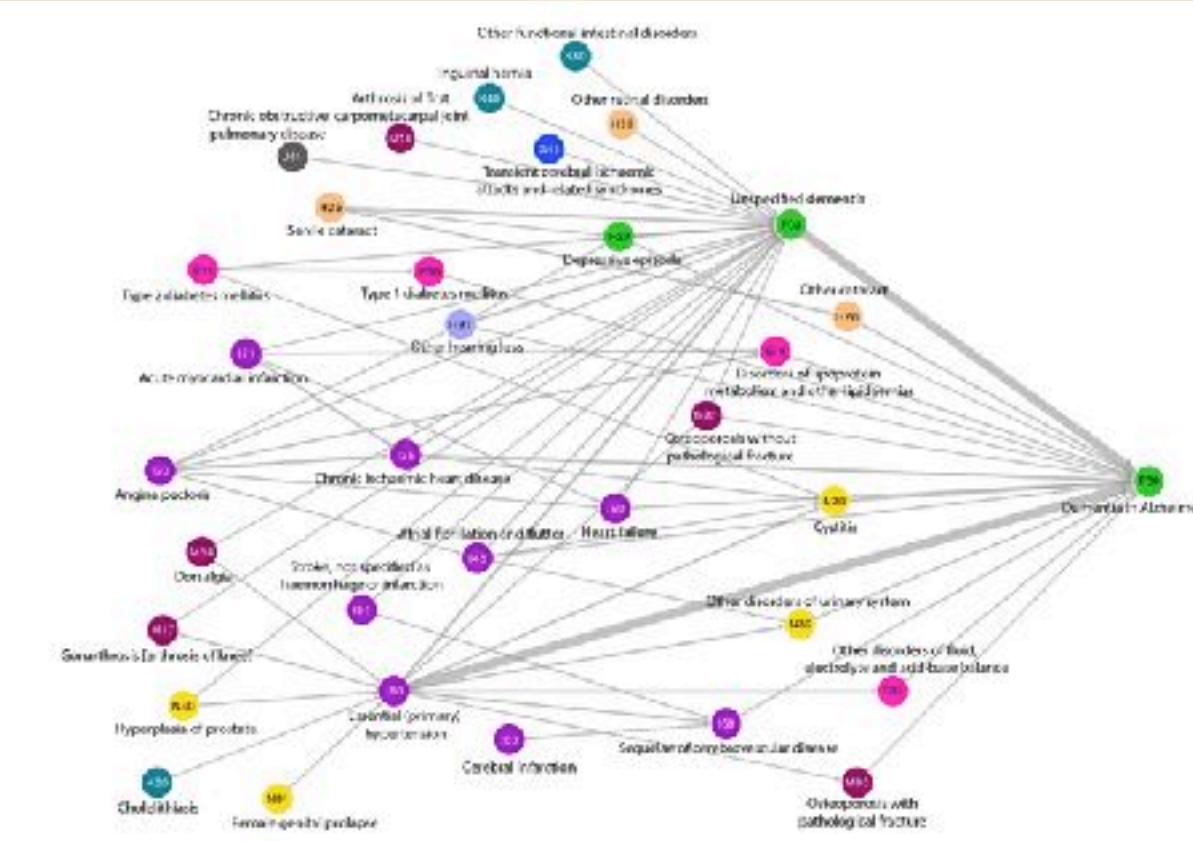
MSc (*cand.scient.*) in Bioinformatics, BA Hons (*Cantab*) in Natural Sciences, CIPM

Deputy Director, Siriraj Informatics and Data Innovation Center (SiData+)

PhD Biomedical and Health Informatics Student, University of North Carolina at Chapel Hill, USA

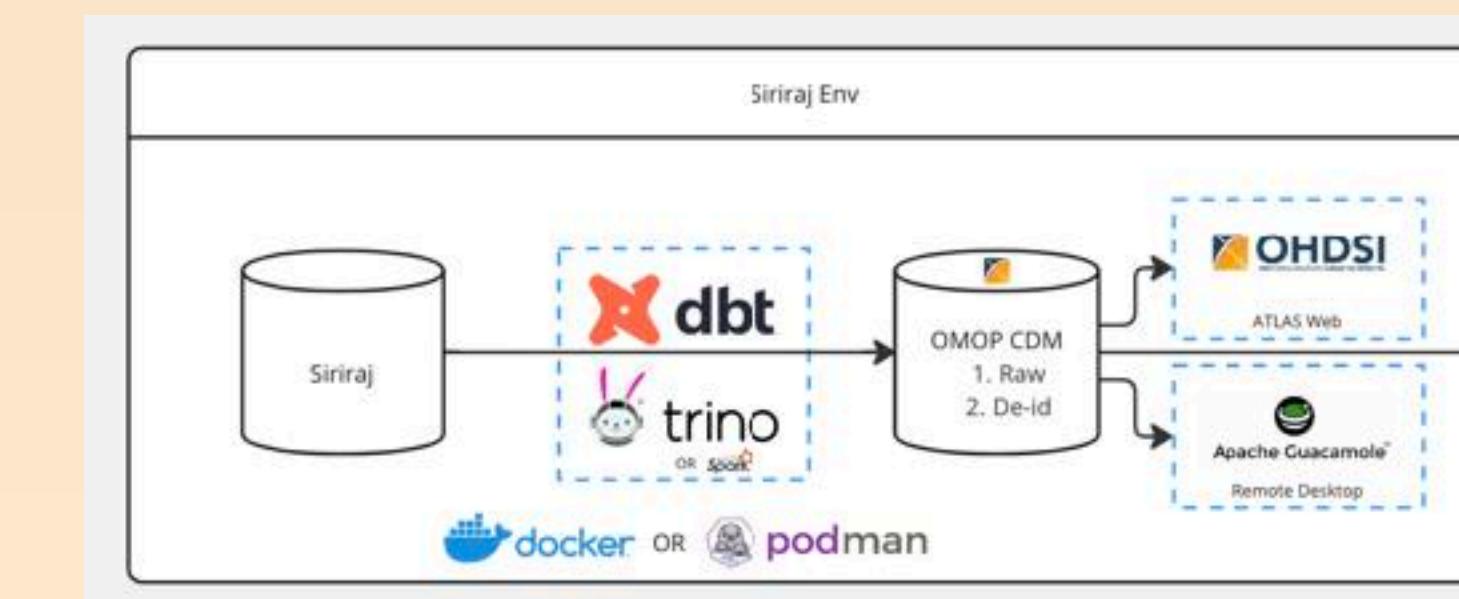
Past Work in Denmark 🇩🇰

Disease Trajectory Model on  
Danish National Claims Registry



Ongoing Work in Thailand 🇹🇭

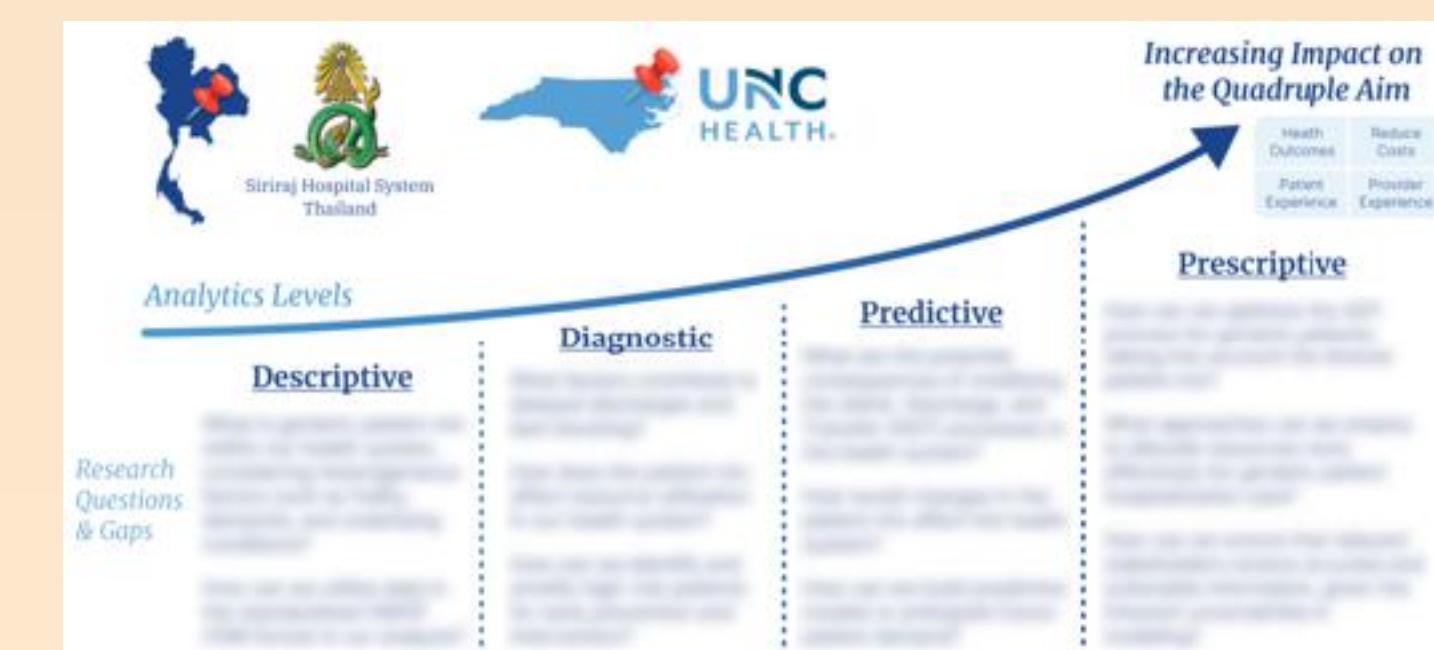
OMOP ETL Project Lead  
at Siriraj & NHSO



*There will be a separate workshop focusing on ETL & conversion.*

Ongoing Work in USA 🇺🇸

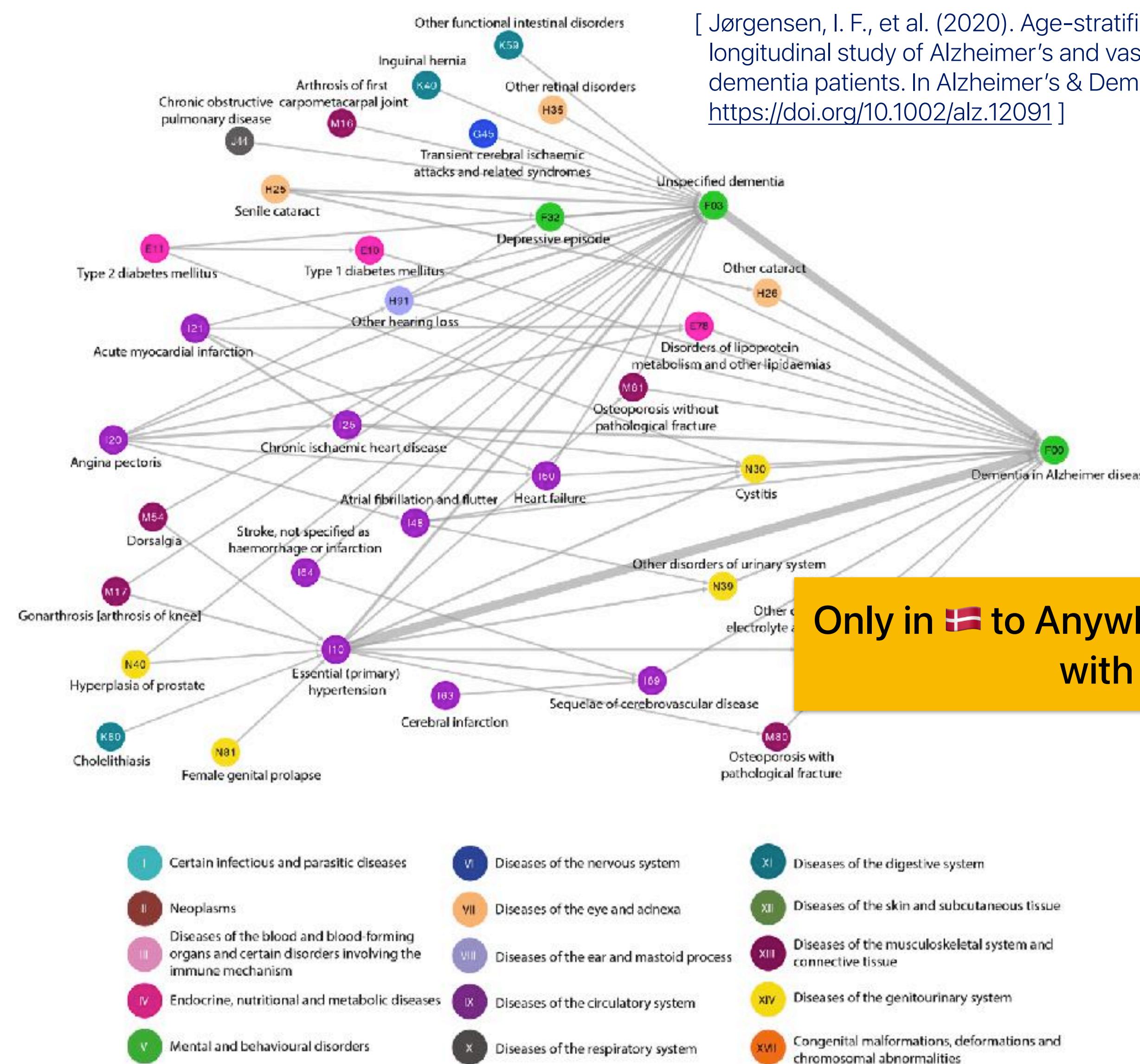
ML & Visual Analytics Platform for  
Geriatric Hospital System Mgmt



on OMOP CDM



# Can we make our model more GENERALIZABLE?



[ Jørgensen, I. F., et al. (2020). Age-stratified longitudinal study of Alzheimer's and vascular dementia patients. In *Alzheimer's & Dementia*. <https://doi.org/10.1002/alz.12091> ]

## Trajectories: a framework for detecting temporal clinical event sequences from health data standardized to the Observational Medical Outcomes Partnership (OMOP) Common Data Model

Kadri Künnapuu<sup>1</sup>, Solomon Ioannou<sup>2</sup>, Kadri Ligi<sup>1,3</sup>, Raivo Kolde<sup>3</sup>, Sven Laur<sup>1,3</sup>, Jaak Vilo<sup>1,3,4</sup>, Peter R. Rijnbeek<sup>2</sup>, and Sulev Reisberg<sup>1,3,4</sup>

<sup>1</sup>STACC, Tartu, Estonia, <sup>2</sup>Department of Medical Informatics, Erasmus University Medical Center, Rotterdam, the Netherlands, <sup>3</sup>Institute of Computer Science, University of Tartu, Tartu, Estonia, and <sup>4</sup>Quretec, Tartu, Estonia

The screenshot shows the GitHub repository page for "Trajectories" (Public). The repository has 10 issues, 4 forks, and 3 stars. The README.md file is visible, describing the package as a tool for detecting and visualizing statistically significant event sequences in OMOP CDM data. The Prerequisites section lists requirements for running the package. The Installation section provides instructions for setting up the environment. On the right, there are sections for About (no description), Activity (recent commits), and Releases (no releases published). The Packages section indicates no packages have been published.

[ Künnapuu, K., et al. (2022). <https://doi.org/10.1093/jamiaopen/ooac021> ]  
[ <https://github.com/EHDEN/Trajectories/> ]



# How can we pool large amount of data for research in a short period of time?

**Clinical Epidemiology**

**Dovepress**  
open access to scientific and medical research

**ORIGINAL RESEARCH**

**Unraveling COVID-19: A Large-Scale Characterization of 4.5 Million COVID-19 Cases Using CHARYBDIS**

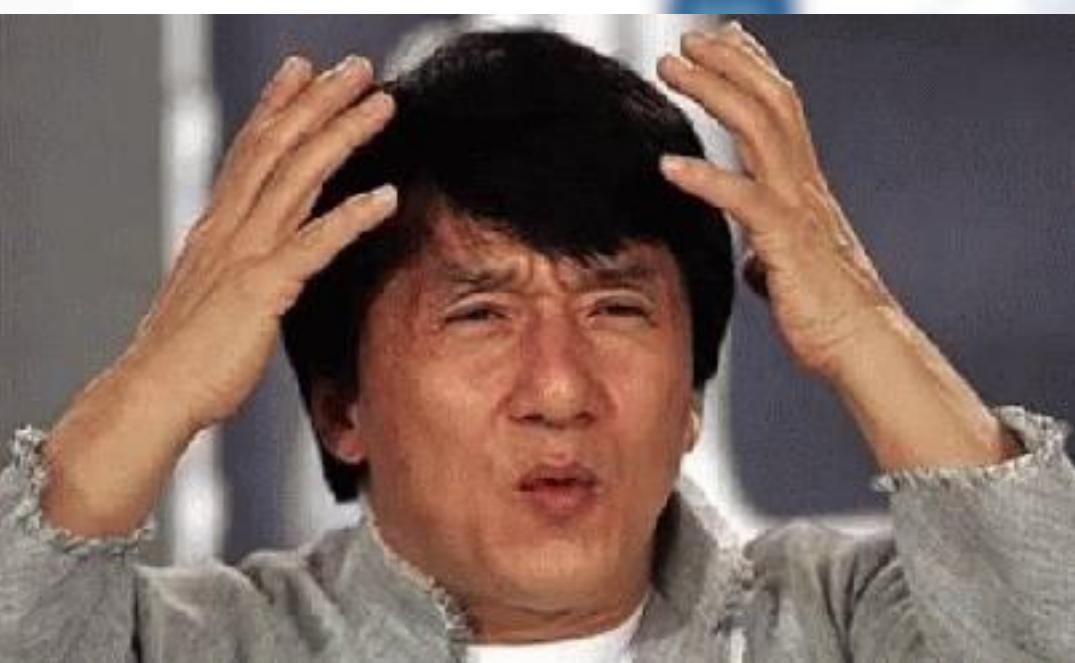
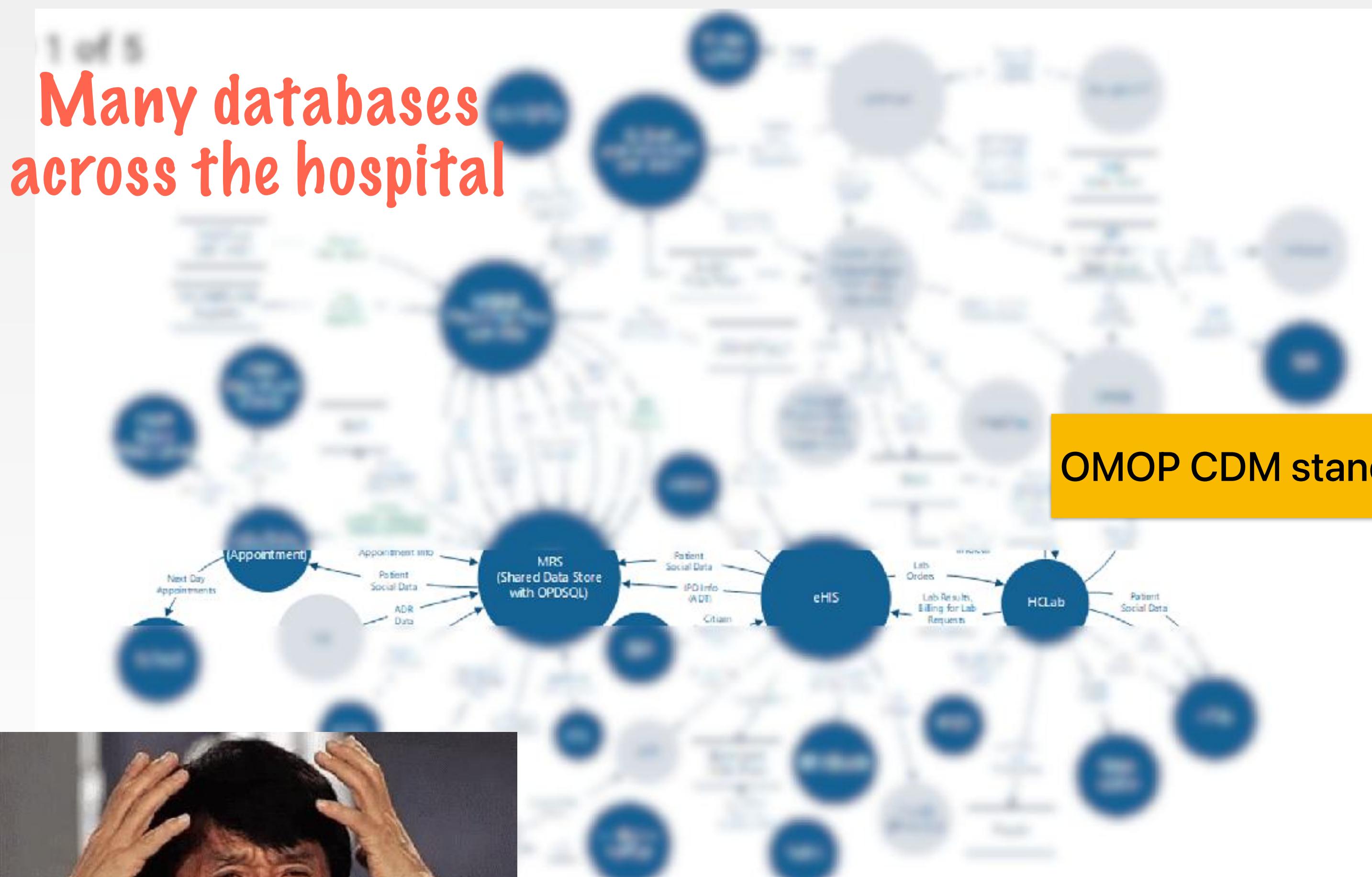
Kristin Kostka <sup>1,2</sup>, Talita Duarte-Salles <sup>3</sup>, Albert Prats-Uribé <sup>4</sup>, Anthony G Sena <sup>5,6</sup>, Andrea Pistillo <sup>3</sup>, Sara Khalid <sup>4</sup>, Lana YH Lai <sup>7</sup>, Asieh Golozar <sup>8,9</sup>, Thamir M Alshammari <sup>10</sup>, Dalia M Dawoud <sup>11</sup>, Fredrik Nyberg <sup>12</sup>, Adam B Wilcox <sup>13,14</sup>, Alan Andryc <sup>15</sup>, Andrew Williams <sup>15</sup>, Anna Ostropolets <sup>16</sup>, Carlos Areia <sup>17</sup>, Chi Young Jung <sup>18</sup>, Christopher A Harle <sup>19</sup>, Christian G Reich <sup>1,2</sup>, Clair Blacketer <sup>5,6</sup>, Daniel R Morales <sup>20</sup>, David A Dorr <sup>21</sup>, Edward Burn <sup>1,22</sup>, Elena Roel <sup>3,22</sup>, Eng Hooi Tan <sup>4</sup>, Evan Minty <sup>23</sup>, Frank DeFalco <sup>5</sup>, Gabriel de Maeztu <sup>24</sup>, Gigi Lipori <sup>19</sup>, Hiba Alghoul <sup>25</sup>, Hong Zhu <sup>26</sup>, Jason A Thomas <sup>13</sup>, Jiang Bian <sup>19</sup>, Jimyung Park <sup>27</sup>, Jordi Martínez Roldán <sup>28</sup>, Jose D Posada <sup>29</sup>, Juan M Banda <sup>30</sup>, Juan P Horcajada <sup>31</sup>, Julianna Kohler <sup>32</sup>, Karishma Shah <sup>33</sup>, Karthik Natarajan <sup>16,34</sup>, Kristine E Lynch <sup>35,36</sup>, Li Liu <sup>37</sup>, Lisa M Schilling <sup>38</sup>, Martina Recalde <sup>32,22</sup>, Matthew Spotnitz <sup>14</sup>, Mengchun Gong <sup>49</sup>, Michael E Matheny <sup>40,41</sup>, Neus Valveny <sup>42</sup>, Nicole G Weiskopf <sup>21</sup>, Nigam Shah <sup>29</sup>, Osaid Alser <sup>43</sup>, Paula Casajust <sup>42</sup>, Rae Woong Park <sup>27,44</sup>, Robert Schuff <sup>21</sup>, Sarah Seager <sup>1</sup>, Scott L DuVall <sup>35,36</sup>, Seng Chan You <sup>45</sup>, Seokyung Song <sup>46</sup>, Sergio Fernández-Bertolín <sup>3</sup>, Stephen Fortin <sup>5</sup>, Tanja Magoc <sup>19</sup>, Thomas Falconer <sup>16</sup>, Vignesh Subbian <sup>47</sup>, Vojtech Huser <sup>48</sup>, Waheed-Ul-Rahman Ahmed <sup>1,33,49</sup>, William Carter <sup>38</sup>, Yin Guan <sup>50</sup>, Yankuic Galvan <sup>19</sup>, Xing He <sup>19</sup>, Peter R Rijnbeek <sup>6</sup>, George Hripcak <sup>16,34</sup>, Patrick B Ryan <sup>5,16</sup>, Marc A Suchard <sup>51</sup>, Daniel Prieto-Alhambra <sup>14</sup>

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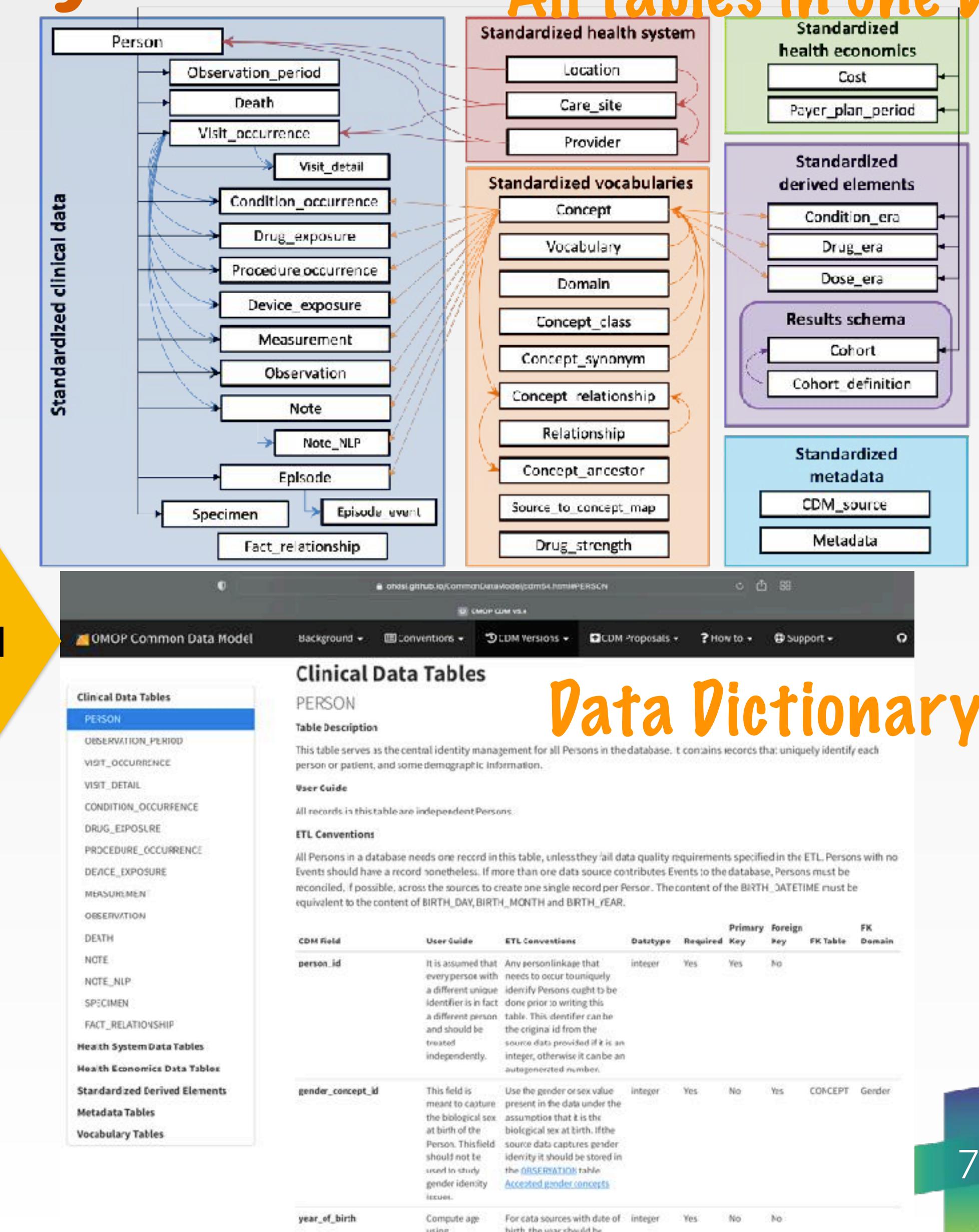


# How can we make EHR data readily available for research?

All tables in one DB



OMOP CDM standard



Siriraj Informatics and  
Data Innovation Center



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

# Introduction to OMOP CDM and OHDSI

Supported by



ศูนย์ความเป็นเลิศด้านชีววิทยาศาสตร์ (องค์การมหาชน)  
Thailand Center of Excellence for Life Sciences  
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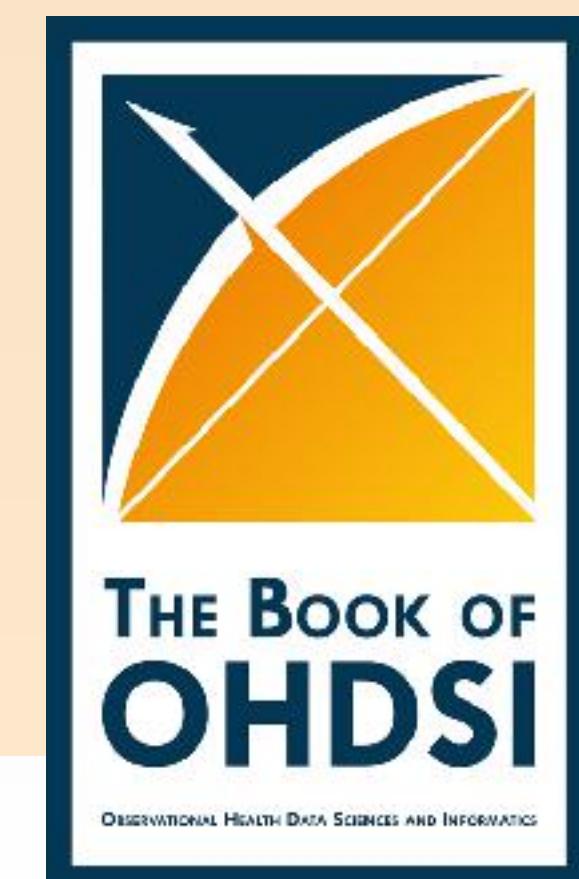




# Session Overview

Introduction to OMOP CDM and OHDSI @ 09:30 – 10:30 (60 min)

Why? Background & Questions	How? Methods & Materials	What? Objectives
<ul style="list-style-type: none"><li>□ What are the challenges in healthcare data standardization?</li><li>□ What are OMOP CDM and OHDSI? Why do they exist?</li><li>□ What are real-world data (RWD) and real-world evidence (RWE)?</li><li>□ How can OMOP/OHDSI help my research?</li></ul>	<ul style="list-style-type: none"><li>◆ Selected contents from The Book of OHDSI chapter 1 – 6 <a href="https://ohdsi.github.io/TheBookOfOhdsi">https://ohdsi.github.io/TheBookOfOhdsi</a></li><li>◆ <a href="https://www.ohdsi.org">https://www.ohdsi.org</a></li></ul>	<ul style="list-style-type: none"><li>★ Get the big picture of OMOP CDM and OHDSI ecosystem</li><li>★ Understand how OMOP CDM handles real-world data (RWD) and generate reproducible real-world evidence (RWE)</li><li>★ Take home: Importance of data standardization for reproducibility and collaboration</li></ul>





	Randomized controlled trial	Pragmatic clinical trial	Real-world observational study
Selection criteria	Predefined inclusion and exclusion criteria	Minimal; real-world patient population(s)	Minimal; real-world patient population(s)
Data collection	Rigorous process	Real world + additional sources	Real world
Monitoring	Strict monitoring	Routine clinical care	Routine clinical care
Follow-up	Usually shorter follow-up and frequent visits	Longer follow-up, with few mandatory visits	Longer follow-up, with no mandatory visits
Medication adherence	High	Low	Low
Outcomes	Usually include hard or objective outcomes; few may be patient reported	May be entirely subjective or patient reported; occasionally objective	Dependent on data captured at patient-clinician interaction
Data quality and internal validity	Excellent	Intermediate	Questionable
Cost per patient	High	Intermediate	Low
Stakeholder audience	Traditionally of value to regulatory authorities and clinicians	Of value to regulatory authorities, payers, and clinicians	Traditionally of value to payers and clinicians

Fig. 1. Comparison of a randomized controlled trial, pragmatic clinical trial, and real-world observational study [14,16–18].

OBSERVATIONAL MEDICAL OUTCOMES PARTNERSHIP  
est. 2009



For Healthcare Services



### Different types of observational data:

#### **Populations**

- Pediatric vs. elderly
- Socioeconomic disparities

#### **Care setting**

- Inpatient vs. outpatient
- Primary vs. secondary care

#### **Data capture process**

- Administrative claims
- Electronic health records
- Clinical registries

#### **Health system**

- Insured vs. uninsured
- Country policies



### Types of evidence desired:

#### **Clinical characterization**

- Clinical trial feasibility
- Treatment utilization
- Disease natural history
- Quality improvement

#### **Population-level effect estimation**

- Safety surveillance
- Comparative effectiveness

#### **Patient-level prediction**

- Precision medicine
- Disease interception

# LEGEND Hypertension Study 2019

Real-world evidence — pharmacoepidemiology



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

THE LANCET

Articles

## Comprehensive comparative effectiveness and safety of first-line antihypertensive drug classes: a systematic, multinational, large-scale analysis

Marc A Suchard, Martijn J Schuemie, Harlan M Krumholz, Seng Chan You, Ruijun Chen, Nicole Pratt, Christian G Reich, Jon Duke, David Madigan, George Hripcak, Patrick B Ryan



"The study factors insurance claim data and electronic health records from 4.9 million patients across nine observational databases, making it the most comprehensive one ever on first-line antihypertensives."

"First-Line Thiazide Diuretic Users Experience 15% Fewer Adverse Cardiovascular Outcomes Than ACE Inhibitor Users"

Currently running LEGEND T2DM study

<https://github.com/ohdsi-studies/LegendT2dm>

[ Suchard, M. A., et al. (2019). [https://doi.org/10.1016/s0140-6736\(19\)32317-7](https://doi.org/10.1016/s0140-6736(19)32317-7) ]

[ <https://www.ohdsi.org/ohdsi-news-updates/legend-hypertension-study/> ]

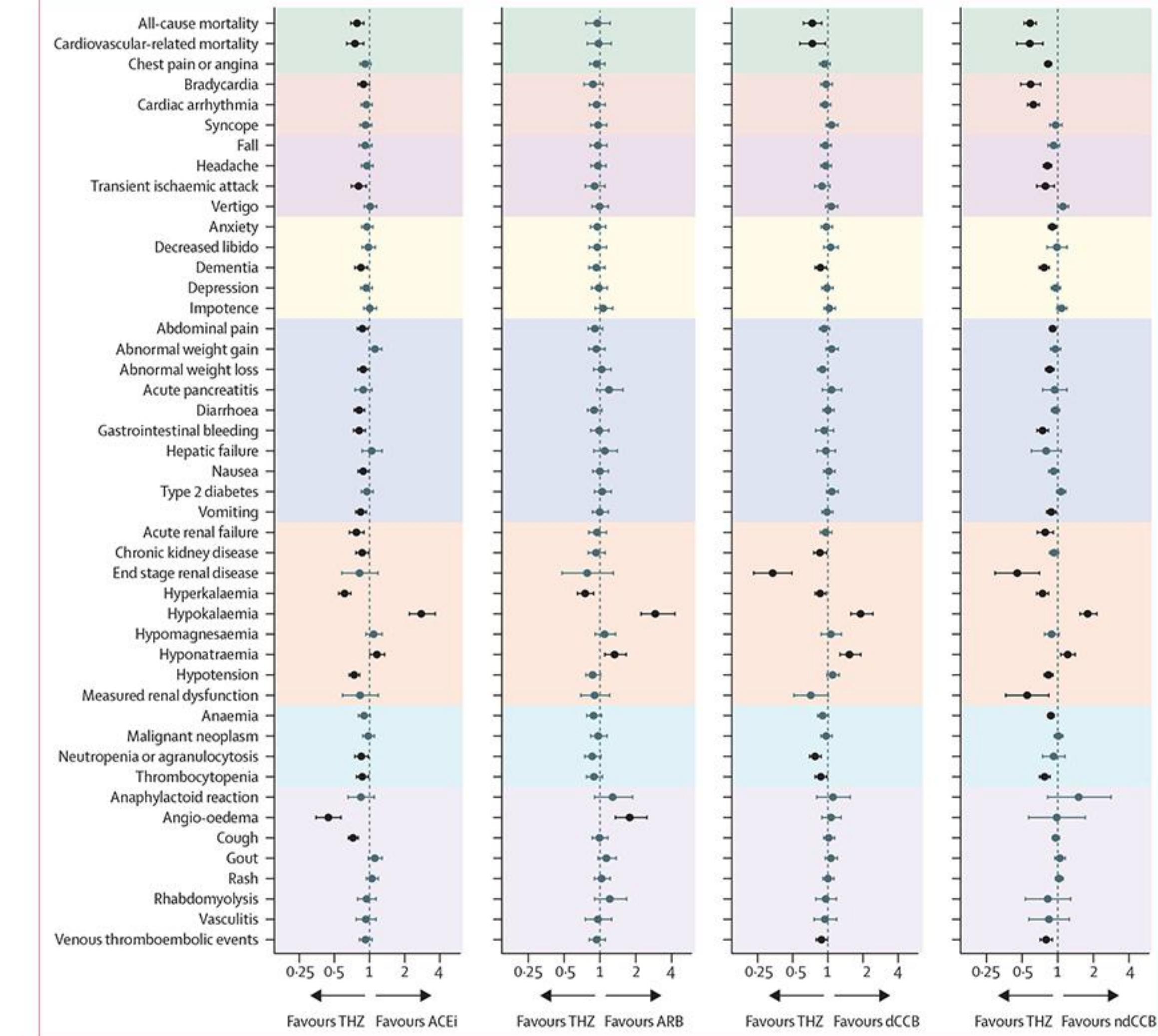
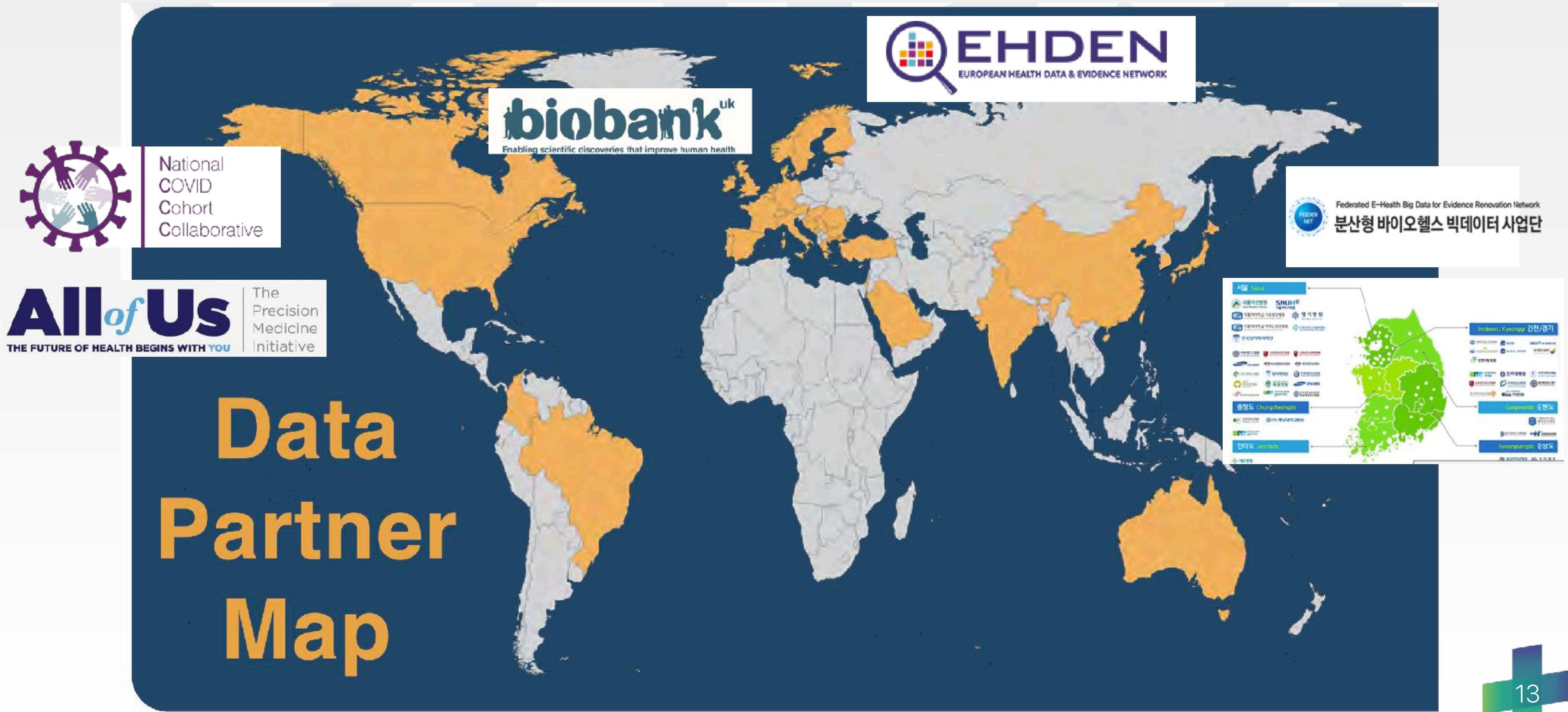


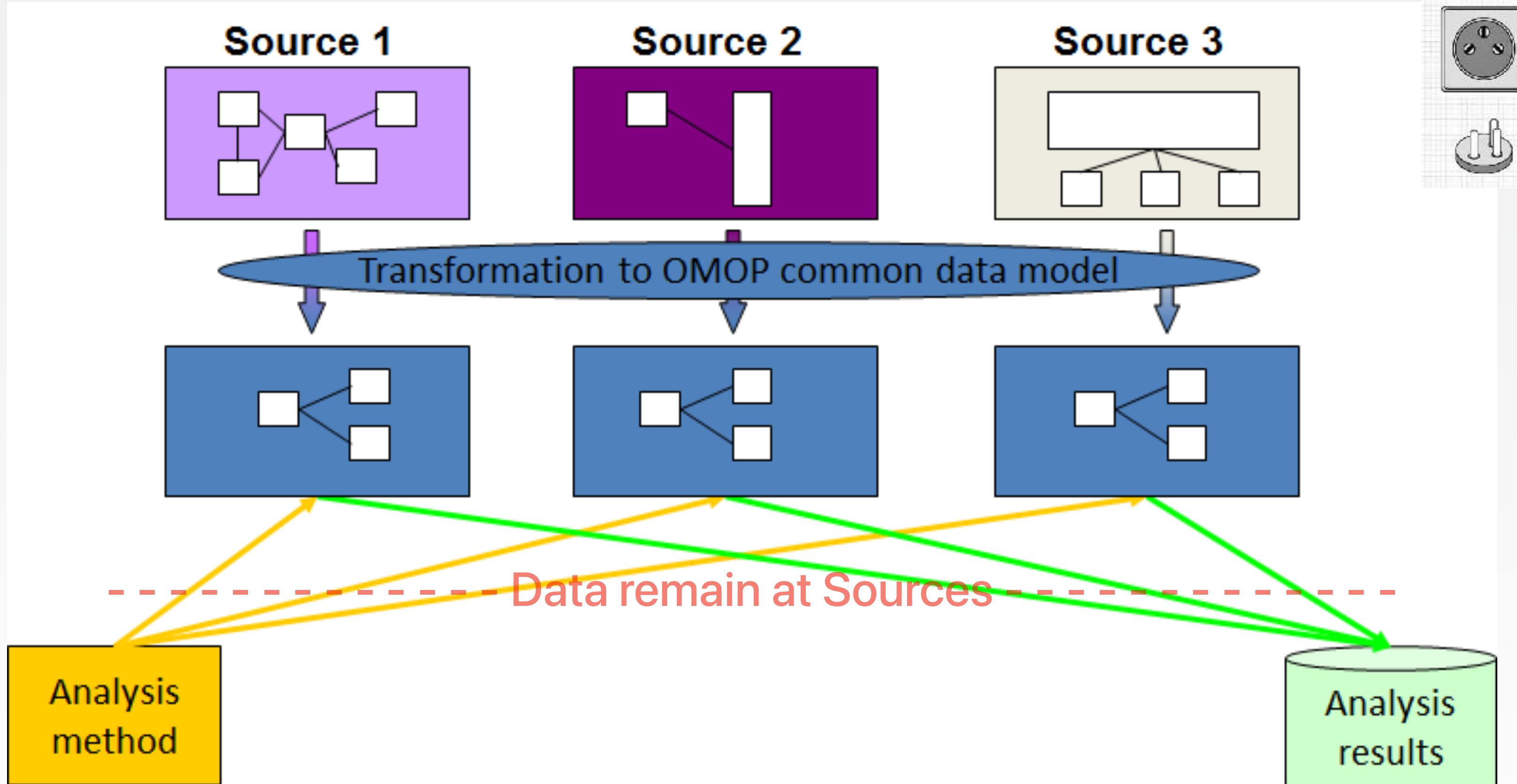
Figure 2: Meta-analytic safety profiles comparing THZ to ACEi, ARB, dCCB, and ndCCB new users across 46 outcomes listed on product labels. Points and lines identify HR estimates with their 95% CIs, respectively. Outcomes in grey signify that the CI covers HR of 1 (null hypothesis of no differential risk). THZ=thiazide or thiazide-like diuretics. ACEi=angiotensin converting-enzyme inhibitors. ARB=angiotensin receptor blockers. dCCB=dihydropyridine calcium channel blockers. ndCCB=non-dihydropyridine calcium channel blockers. HR=hazard ratio.



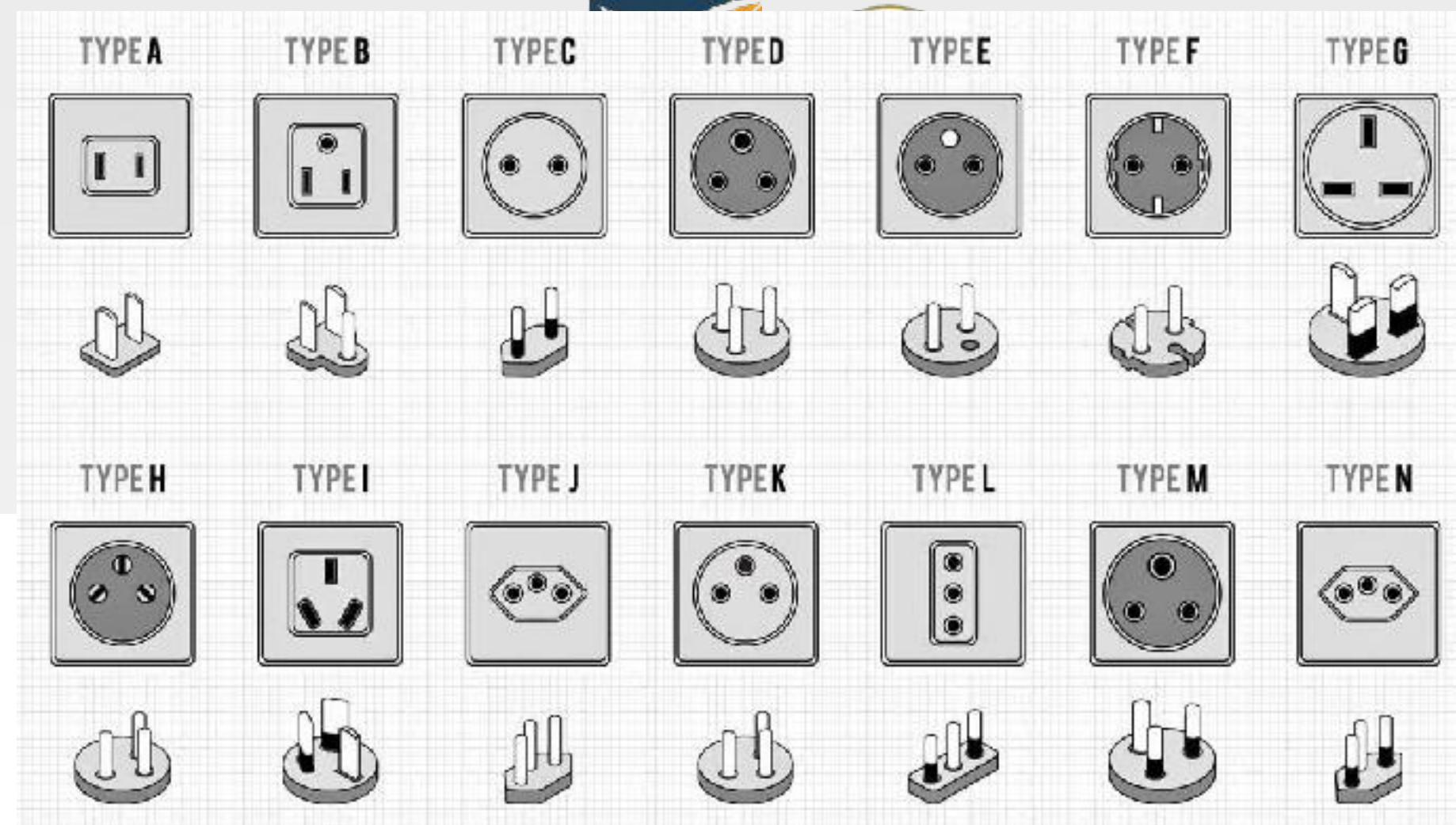
# OMOP CDM Data Partners



# OMOP CDM



[ <https://www.ohdsi.org/data-standardization/> ]

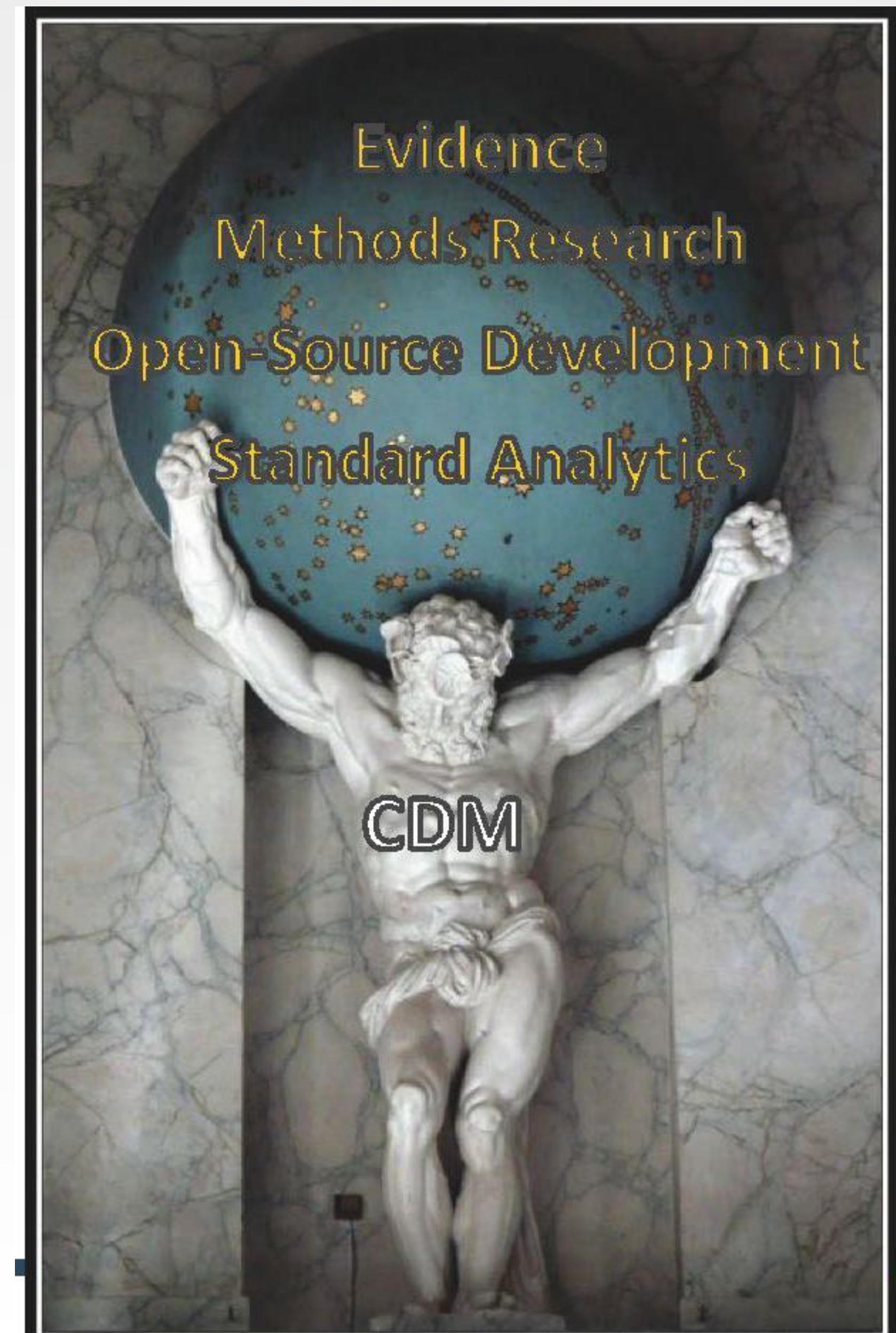




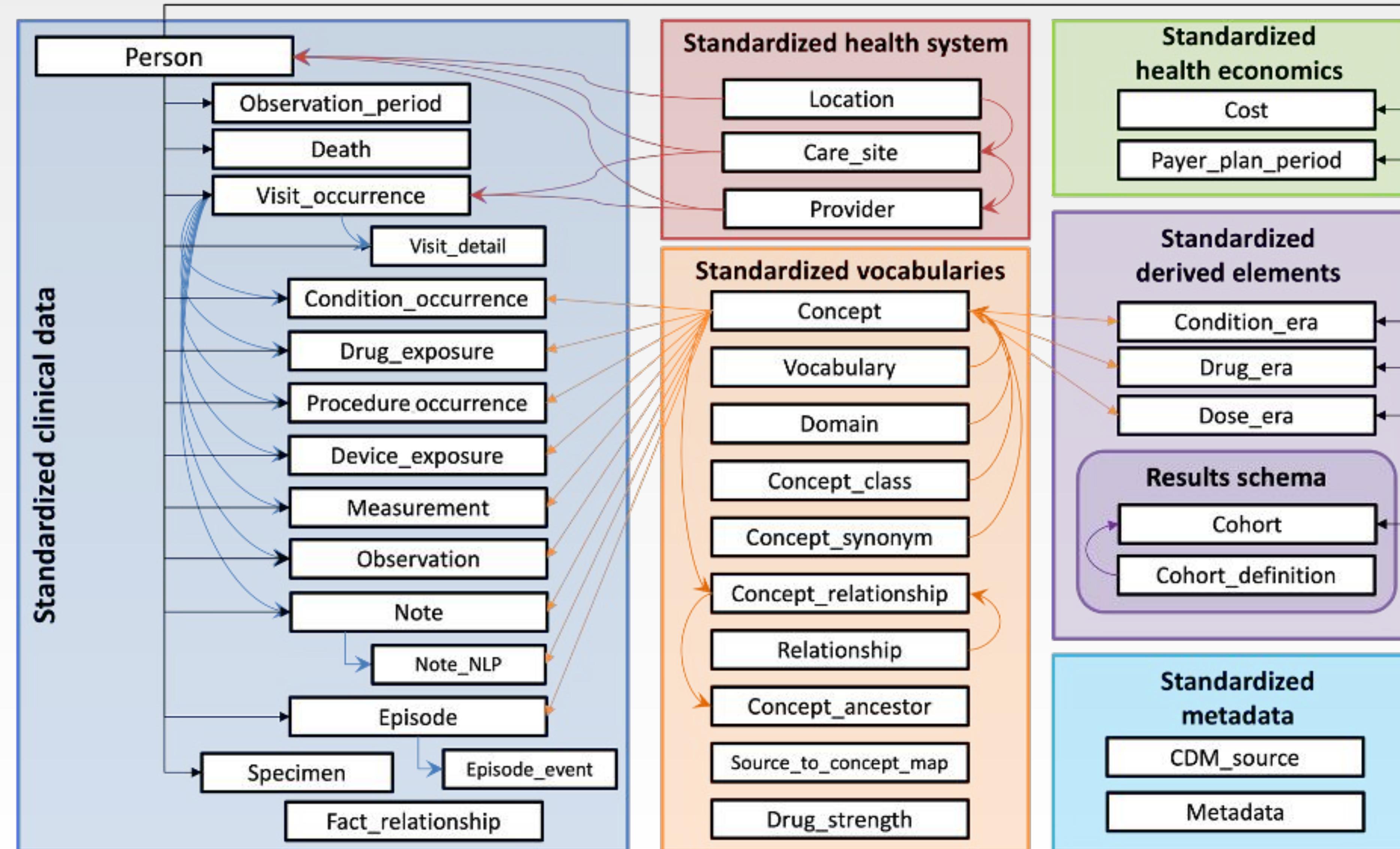
# OMOP CDM

The OMOP CDM is a system of **tables, vocabularies, and conventions** that allow observational health data to be standardized.

It is this standard approach that facilitates rapid innovation in the areas of open-source development, methods research, and evidence generation.



# OMOP CDM: Tables



# OMOP CDM: Table Groups

1. **Clinical data** ข้อมูลทางคลินิก มี 17 ตาราง (table) อาทิ Person (ข้อมูลบุคคล) Observation\_period (ระยะเวลาที่เข้ารับบริการ) Visit\_occurrence (การเข้ารับบริการ) Visit\_detail (รายละเอียดการเข้ารับบริการ) Condition\_occurrence (ผลการวินิจฉัยและการของโรค) Drug\_exposure (ยาที่ได้รับ) Procedure\_occurrence (หัตถการ) Device\_exposure (อุปกรณ์ทางการแพทย์) Measurement (ผลการตรวจทางห้องปฏิบัติการและภายในภาพ) Observation (ผลการตรวจอื่น ๆ) Death (การเสียชีวิต) Note (บันทึกข้อมูลผู้ป่วย) Specimen (สิ่งส่งตรวจ)
2. **Vocabularies** คลังคำศัพท์ต้นทาง และ คลังคำศัพท์มาตรฐาน เช่น SNOMED-CT, LOINC, RxNORM เป็นต้น สำหรับการใช้ข้อมูลร่วมกันระดับนานาชาติ
3. **Health system** ข้อมูลเกี่ยวกับสถานพยาบาล
4. **Health economics** ข้อมูลเกี่ยวกับค่าใช้จ่ายในการบริการ
5. **Derived elements** ข้อมูลที่สรุปรวมเพิ่มเติม เช่น ระยะเวลาที่เป็นโรค ระยะเวลาที่ได้รับยา การแบ่งกลุ่มผู้ป่วย (cohort)
6. **Metadata** ข้อมูลประกอบ CDM เพิ่มเติม เช่น ที่มา เวอร์ชัน วันที่ปรับปรุง



# OMOP CDM Example data

<https://console.cloud.google.com/marketplace/product/hhs/synpuf>

Google Cloud Search (/) for resources, docs, products and more Search

Explorer + ADD Untitled condition\_occurrence

Type to search

Viewing workspace resources.

SHOW STARRED ONLY

- cms\_synthetic\_patient\_d...
- care\_site
- concept
- concept\_ancestor
- concept\_class
- concept\_relationship
- condition\_era
- condition\_occurrence
- cost
- death
- device\_exposure
- domain
- dose\_era
- drug\_era
- drug\_exposure
- drug\_strength
- location
- observation
- observation\_period
- payer\_plan\_period
- person
- procedure\_occurrence

condition\_occurrence

QUERY SHARE COPY SNAPSHOT DELETE EXPORT REFRESH

Row	condition_occurrence_id	person_id	condition_concept_id	condition_start_date	condition_start_datetime	condition_end_date	condition_end_datetime	condition_type_concept_id
1	220921831	1777949	261071	2008-10-28	null	2008-10-31	null	38000200
2	42594168	342652	4241530	2009-04-27	null	2009-05-14	null	38000200
3	218275046	1756699	4241530	2008-11-19	null	2008-11-24	null	38000200
4	76042151	612129	4241530	2008-07-24	null	2008-07-28	null	38000200
5	162062751	1304158	4241530	2010-01-20	null	2010-01-24	null	38000200
6	5772561	46213	4241530	2010-09-08	null	2010-09-10	null	38000200
7	37530154	301933	4241530	2008-06-03	null	2008-06-11	null	38000200
8	196938993	1584896	4241530	2009-05-17	null	2009-06-16	null	38000200
9	163191706	1313302	4241530	2008-09-05	null	2008-09-07	null	38000200
10	14886214	119841	196328	2009-09-04	null	2009-09-24	null	38000200
11	220912584	1777879	196328	2009-12-28	null	2010-01-03	null	38000200
12	62633057	503870	198678	2009-05-05	null	2009-05-06	null	38000200
13	289358	2283	198678	2008-01-03	null	2008-01-05	null	38000200
14	271745974	2186698	198678	2008-10-08	null	2008-10-16	null	38000200
15	103142343	829773	198678	2009-09-03	null	2009-09-04	null	38000200
16	38190708	307258	198678	2009-03-21	null	2009-03-23	null	38000200
17	51042392	410911	198678	2008-07-30	null	2008-08-02	null	38000200
18	104095465	837522	198678	2009-01-06	null	2009-01-07	null	38000200
19	155801453	1253874	198678	2008-11-02	null	2008-11-03	null	38000200
20	213866122	1721078	198678	2008-09-23	null	2008-09-28	null	38000200
21	69006930	555292	198678	2009-11-04	null	2009-11-08	null	38000200
22	104717966	842580	198678	2009-09-08	null	2009-09-12	null	38000200
23	16118338	129677	198678	2008-06-01	null	2008-06-01	null	38000200
24	60244296	484848	198678	2008-11-30	null	2008-12-10	null	38000200
25	272245044	2100844	198678	2008-07-02	null	2008-07-07	null	38000200

# Who created and maintain OMOP?

2009: **Observational Medical Outcomes Partnership (OMOP)** was a public-private partnership, chaired by the US Food and Drug Administration, administered by the Foundation for the National Institutes of Health, and funded by a consortium of pharmaceutical companies that collaborated with academic researchers and health data partners to establish a research program that sought to advance the science of active medical product safety surveillance using observational healthcare data.



since 2014: **Observational Health Data Sciences and Informatics (OHDSI)** is an open-science community that aims to improve health by empowering the community to collaboratively generate the evidence that promotes better health decisions and better care.

# OHDSI's Vision

A world in which observational research produces a comprehensive understanding of health and disease

*through these objectives:*

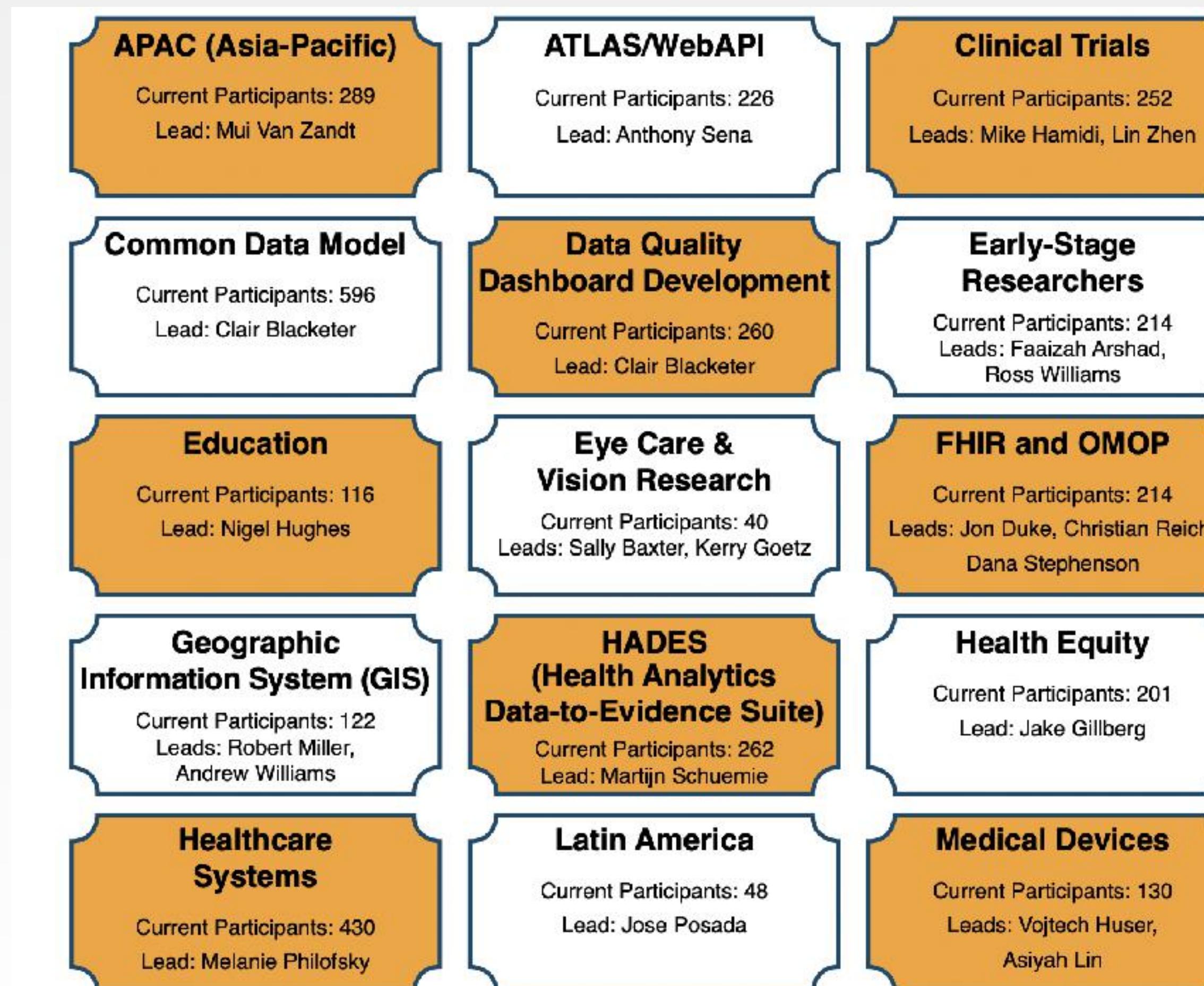
Innovation, Reproducibility, Community, Collaboration, Openness, Beneficence



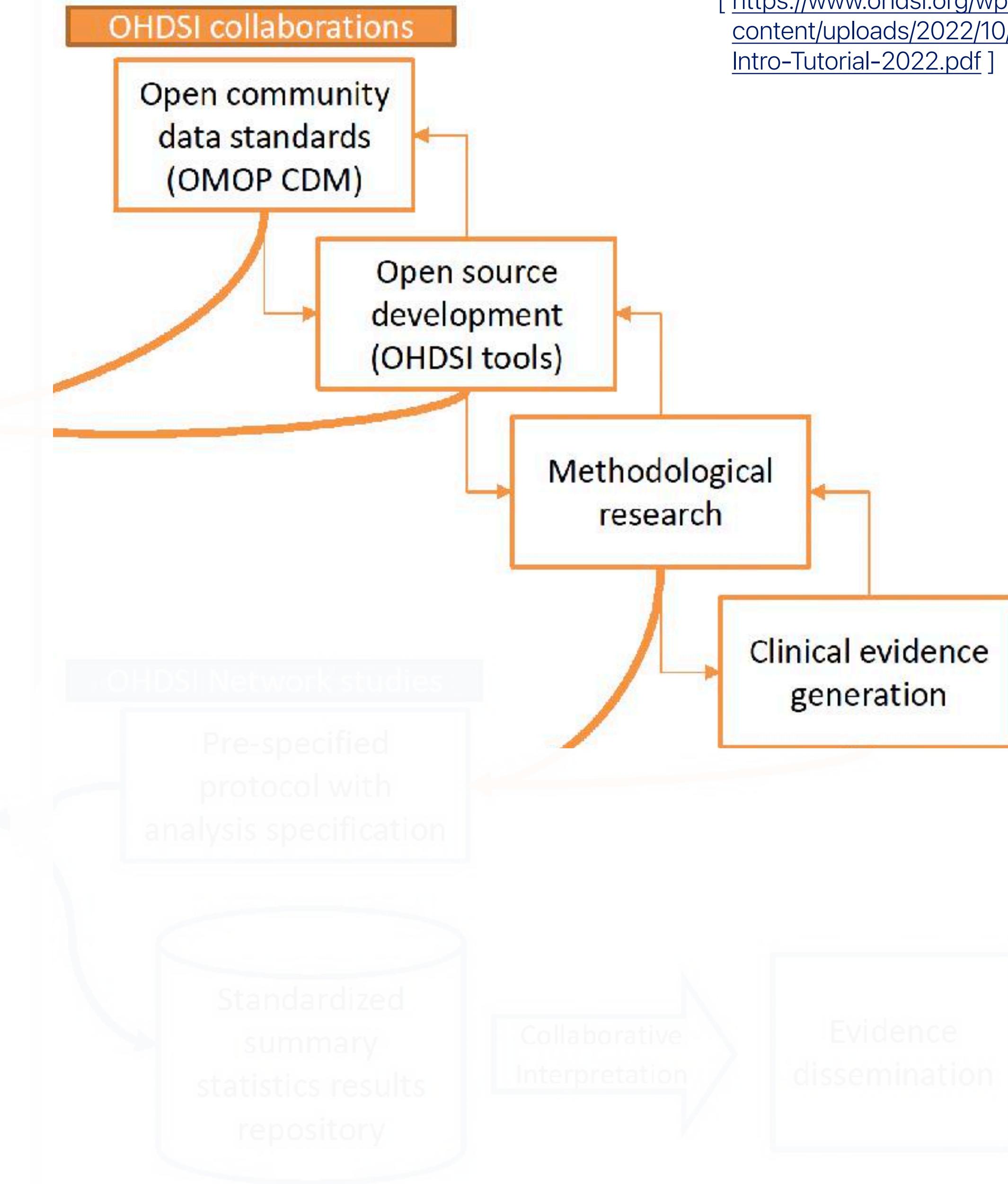


# OHDSI Workgroup

as of 2022

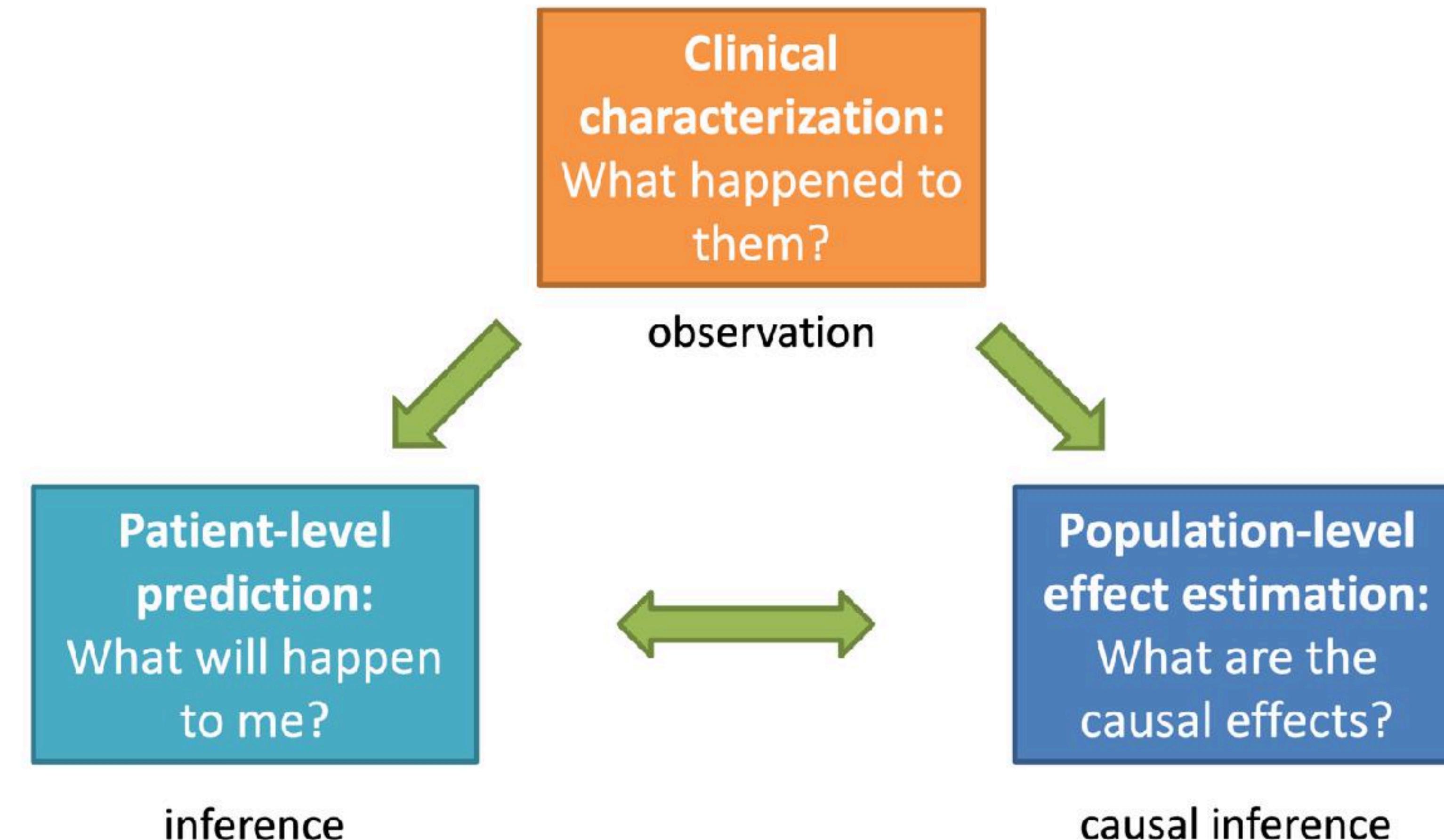


[ <https://www.ohdsi.org/wp-content/uploads/2022/10/Intro-Tutorial-2022.pdf> ]





# Main Analytics/Research Use Cases



# Characterization

Clinical  
characterization:  
What happened to  
them?

observation



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

## Typical Questions

- How many patients...?
- How often does...?
- What proportion of patients...?
- What is the distribution of values for lab...?
- What are the HbA1c levels for patients with...?
- What are the lab values for patients...?
- What is the median length of exposure for patients on....?
- What are the trends over time in...?
- What are other drugs that these patients are using?
- What are concomitant therapies?
- Do we have enough cases of...?
- Would it be feasible to study X...?
- What are the demographics of...?
- What are the risk factors of...? (if identifying a specific risk factor, maybe estimation, not prediction)
- What are the predictors of...?

## Desired output

- Count or percentage
- Averages
- Descriptive statistics
- Incidence rate
- Prevalence
- Cohort
- Rule-based phenotype
- Drug utilization
- Disease natural history
- Adherence
- Co-morbidity profile
- Treatment pathways
- Line of therapy

# Population-Level Estimation

**Population-level effect estimation:**  
What are the causal effects?

causal inference



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

## Typical Questions

- What is the effect of...?
- What if I do intervention...?
- Which treatment works better?
- What is the risk of X on Y?
- What is the time-to-event of...?

## Desired output

- Relative risk
- Hazards ratio
- Odds ratio
- Average treatment effect
- Causal effect
- Association
- Correlation
- Safety surveillance
- Comparative effectiveness

The data can provide answers to questions like:

- For patients newly diagnosed with atrial fibrillation, in the first year after therapy initiation, does warfarin cause more major bleeds than dabigatran?
- Does the causal effect of metformin on diarrhea vary by age?

# Characterization

Patient-level  
prediction:  
What will happen  
to me?

inference



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

## Typical Questions

- What is the chance that this patient will...?
- Who are candidates for...?

## Desired output

- Probability for an individual
- Prediction model
- High/low risk groups
- Probabilistic phenotype

The data can provide answers to questions like:

- For a specific patient newly diagnosed with major depressive disorder, what is the probability the patient will attempt suicide in the first year following diagnosis?
- For a specific patient newly diagnosed with atrial fibrillation, in the first year after therapy initiation with warfarin, what is the probability the patient suffers an ischemic stroke?



# Catalog: Published Studies

<https://dash.ohdsi.org/pubmed>





# Catalog: Past & Ongoing Studies

<https://data.ohdsi.org/OhdsiStudies/>

 OHDSI Studies

OHDSI is a global, open-science community that is committed to generating real-world evidence to both support clinical decision-making and advance the methodology within this field. We have collaborated on many network studies across our community, many of which (both past and ongoing) are listed in this table. Please click on any listing that interests you to learn more about the study, and how you can potentially collaborate to generate reliable, reproducible evidence.

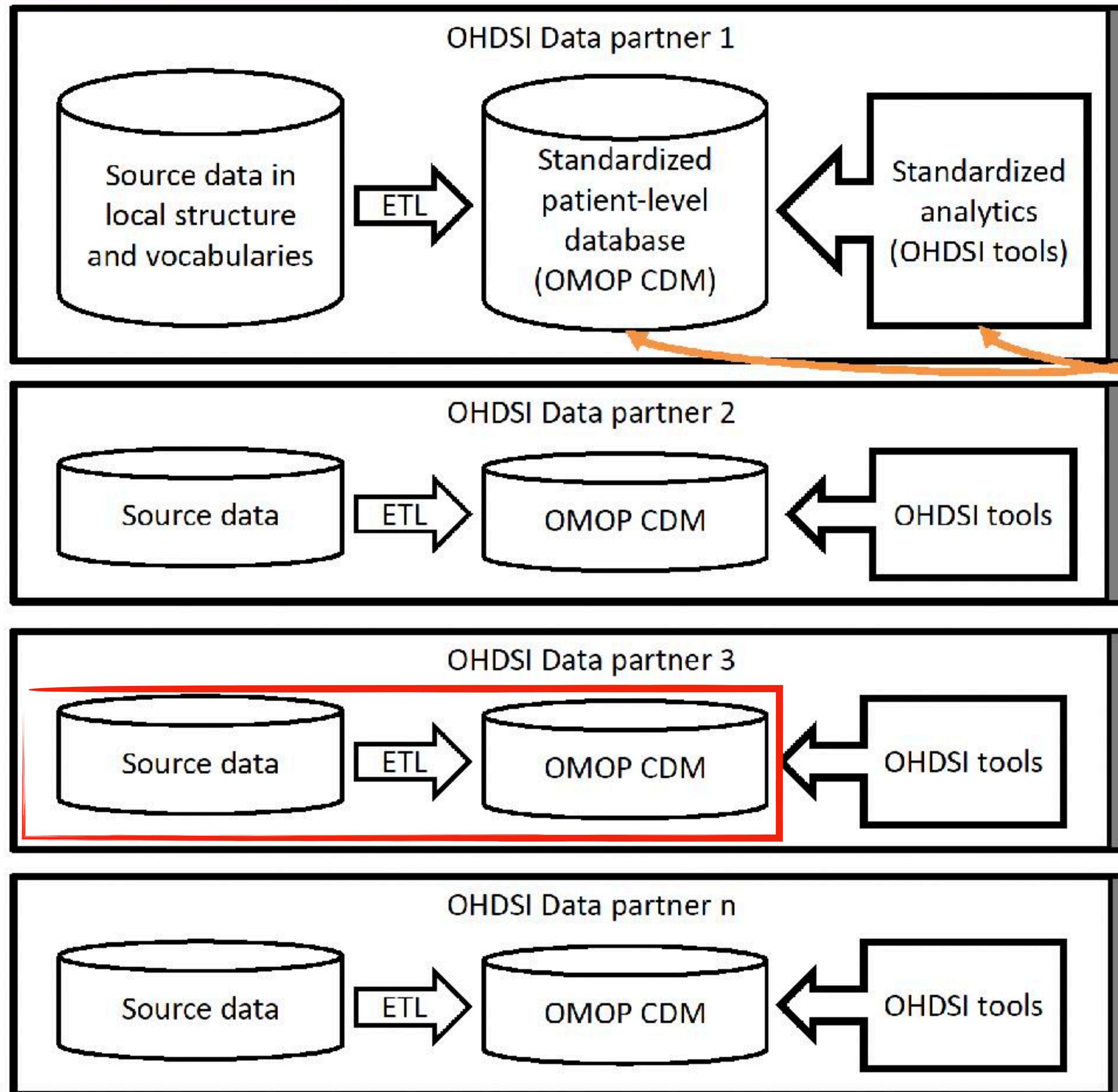
Show 15 entries Search:

Title	Use cases	Study type	Tags	Status	Lead(s)	Start date	Last change
All	All	All	All	All	All	All	All
Deep Learning Comparison	Patient-Level Prediction	Methods Research	Deep Learning	Repo Created		2023-09-12	
Large-scale Evidence Generation and Evaluation acr...	Population-Level Estimation	Clinical Application		Results Available	Marc A. Suchard	2023-09-11	
Small-Sample Comparative-Effect Estimation Evaluat...	Population-Level Estimation	Methods Research		Started	Martijn Schuemie	2020-12-03	2023-09-11
Phenotype Library Diagnostics	Characterization	Clinical Application		Results Available	Gowtham Rao	2020-10-08	2023-08-31
Covid-19 vaccine adverse events of special interes...	Characterization	Methods Research	Phenotype error correction, In...	Repo Created	James Weaver	2023-08-21	
Incorporating Measurement Values into Patient-Leve...	Patient-Level Prediction	Methods Research	Bayesian Inference, Missing Im...	Repo Created		2023-08-11	
Development and evaluation of an algorithm to link...	Characterization	Methods Research	Maternal and infant health	Results Available	James Weaver	2023-07-25	
Is fluoroquinolone use associated with the develop...				Repo Created	Jack Janetzki, Nicole Pratt, S...	2023-07-12	
Health Equity Research Assessment (HERA) Character...	Characterization	Clinical Application	OHDSI, Health Equity	Started	Noamie Elhadad	2023-07-06	
Risk of kidney failure associated with intravitrea...		Population-level estimation		Repo Created	Cindy X. Cai	2023-06-08	
Relative Risk of Cervical Neoplasms Associated wit...	Characterization and Populatio...	Clinical Application	iud	Design Finalized	Matthew Spotnitz and Karthik N...	2019-09-23	2023-05-24
Quantitative bias analysis for outcome phenotype e...	Population-Level Estimation	Methods Research	QBA	Results Available	James Weaver	2023-05-18	
olglmmCovid	Patient-Level Prediction	Clinical Application	COVID-19	Started	Jiayi Tong, Yong Chen, Jenna R...	2023-05-17	
dGEM (Decentralized Algorithm for Generalized Line...	Patient-Level Prediction	Clinical Application	COVID-19	Design Finalized	Jiayi Tong, Yong Chen, Jenna R...	2023-05-02	
Adverse Events of Special Interest within COVID-19...	Characterization	Clinical Application	COVID-19	Complete	Erica A Voss	2021-11-02	2023-04-18

Showing 1 to 15 of 97 entries

Previous 1 2 3 4 5 6 7 Next

Select a study to see details

**OHDSI data network****OHDSI collaborations**

Open community data standards (OMOP CDM)

Open source development (OHDSI tools)

Methodological research

Clinical evidence generation

**OHDSI Network studies**

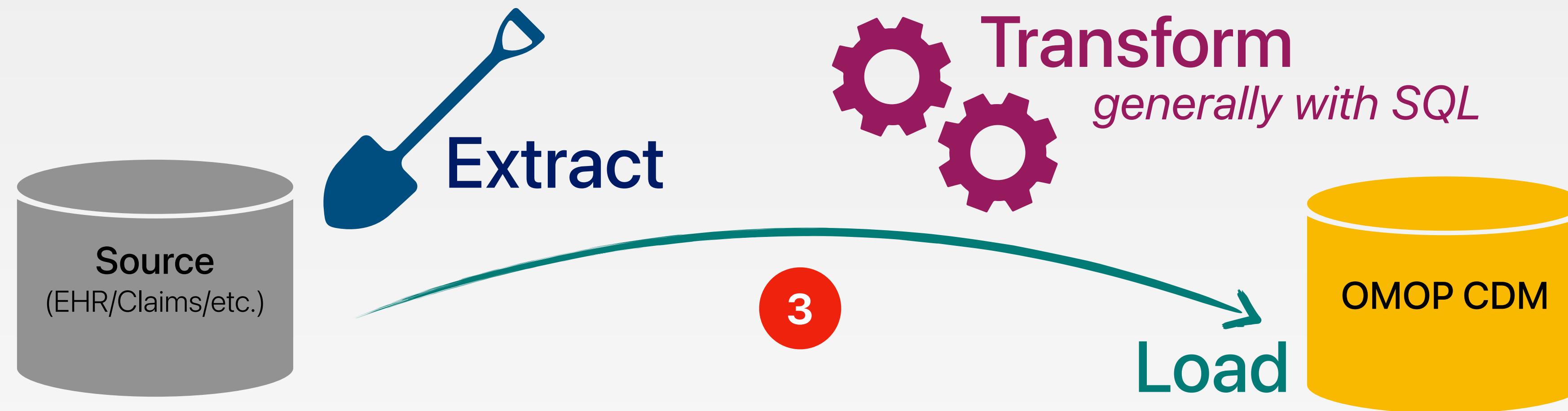
Pre-specified protocol with analysis specification

Standardized summary statistics results repository

Collaborative Interpretation

Evidence dissemination

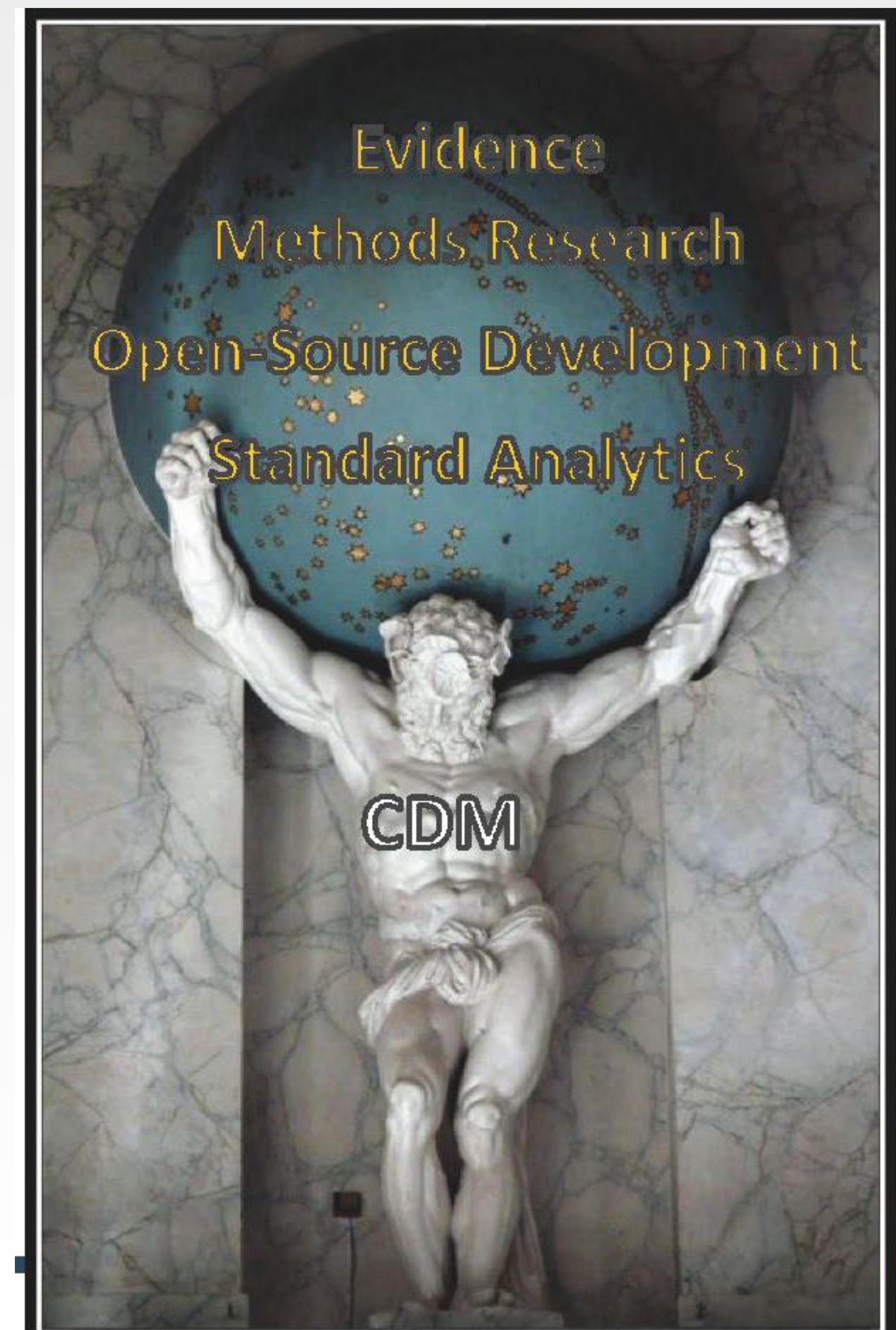
# Extract Transform Load (ETL)



# OMOP CDM

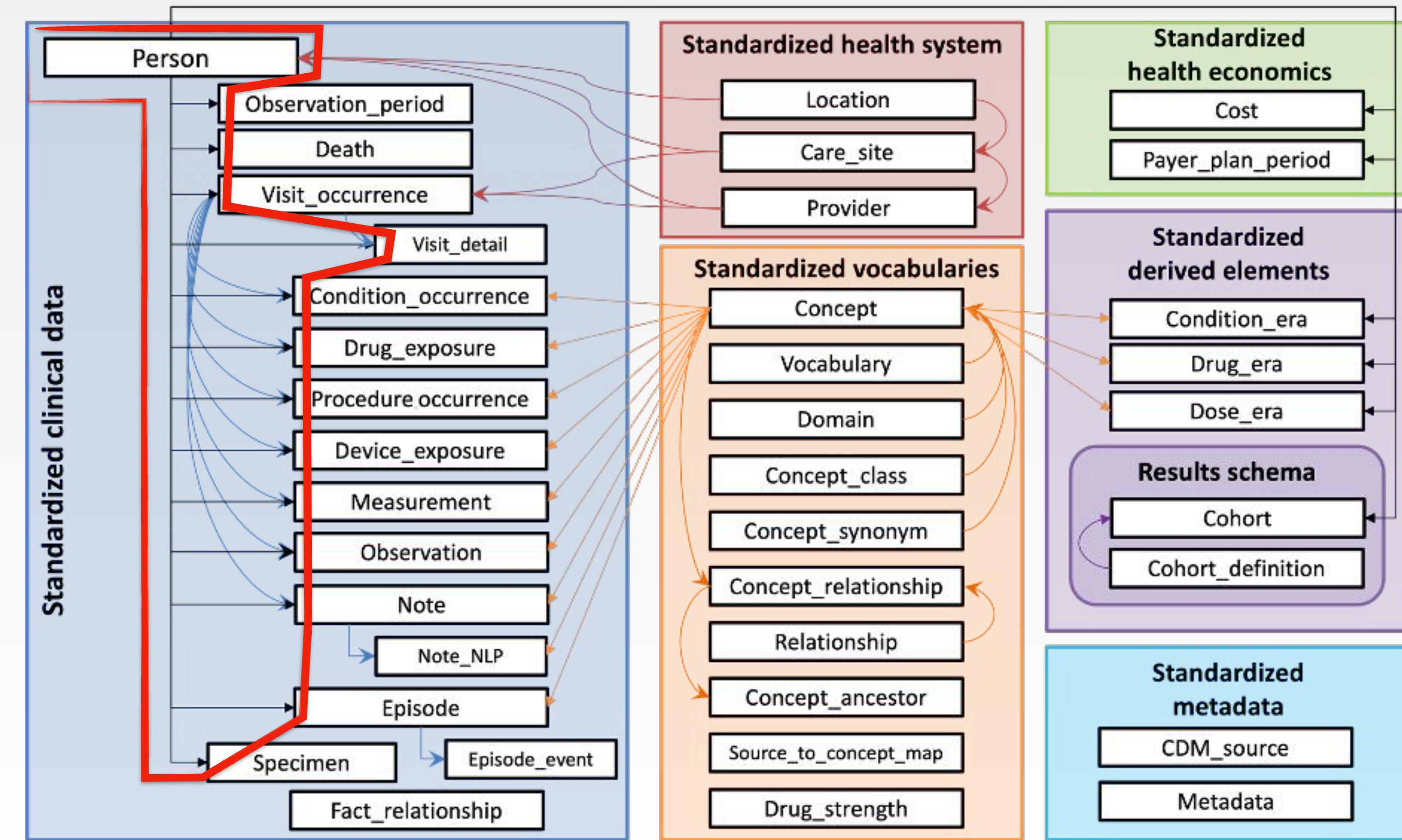
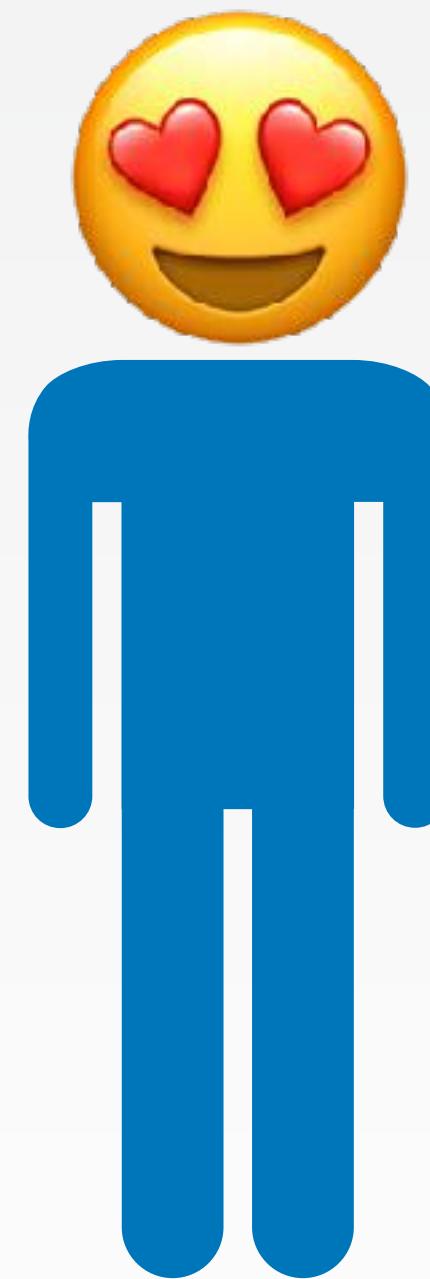
The OMOP CDM is a system of **tables, vocabularies, and conventions** that allow observational health data to be standardized.

It is this standard approach that facilitates rapid innovation in the areas of open-source development, methods research, and evidence generation.



# General Conventions

The OMOP CDM is a Person centric model





# General Conventions

- Required tables: **person** and **observation\_period**
- Common fields:
  - [**condition/procedure/drug\_exposure/measurement**\_id] รหัส transaction ของแต่ละตาราง เป็น primary key
  - [...]\_type\_concept\_id ประเภทที่มาข้อมูล เช่น EHR/Claims, IPD/OPD, Lab, Registry, Survey
  - [...]\_source\_value รหัสโรค/หัตถการ/ยา/แลป/อื่น ๆ ต้นทาง
  - [...]\_source\_concept\_id รหัสโรค/หัตถการ/ยา/แลป/อื่น ๆ ต้นทาง ที่ mapped เป็น ID ของ OMOP
  - [...]\_concept\_id รหัสโรค/หัตถการ/ยา/แลป/อื่น ๆ standard ที่ mapped เป็น ID ของ OMOP

condition_source_value	condition_source_concept_id	condition_concept_id	Standard Code
I10 <i>(ICD10 for Essential (primary) Hypertension)</i>	45591453	320128	59621000 <i>(SNOMED for Essential hypertension)</i>



# Table/Field Conventions

<https://ohdsi.github.io/CommonDataModel/cdm54.html>

## Clinical Data Tables

### PERSON

#### Table Description

This table serves as the central identity management for all Persons in the database. It contains records that uniquely identify each person or patient, and some demographic information.

#### User Guide

All records in this table are independent Persons.

#### ETL Conventions

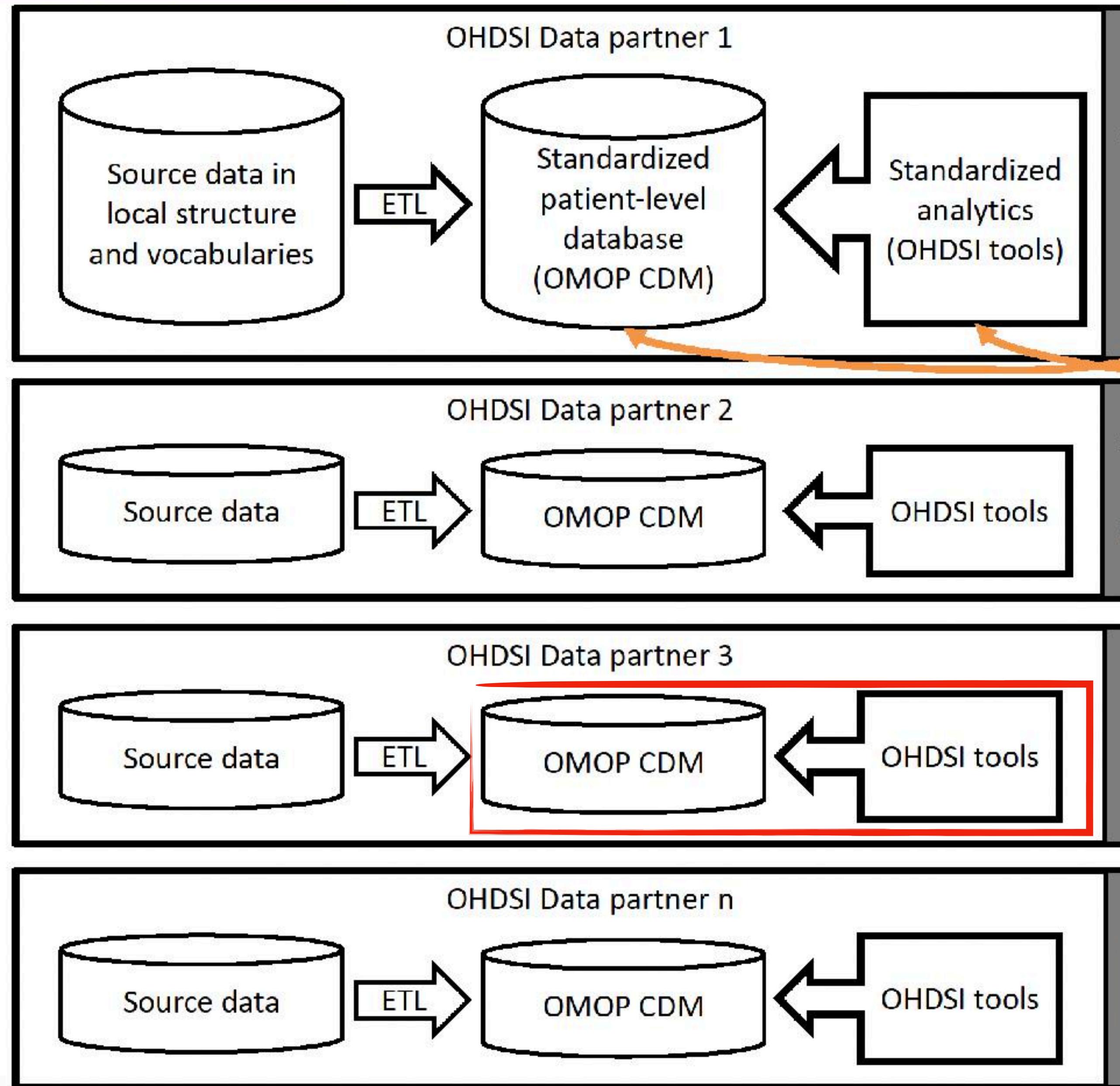
All Persons in a database needs one record in this table, unless they fail data quality requirements specified in the ETL. Persons with no Events should have a record nonetheless. If more than one data source contributes Events to the database, Persons must be reconciled, if possible, across the sources to create one single record per Person. The content of the BIRTH\_DATETIME must be equivalent to the content of BIRTH\_DAY, BIRTH\_MONTH and BIRTH\_YEAR.

CDM Field	User Guide	ETL Conventions	Datatype	Required	Primary Key	Foreign Key	FK Table	FK Domain
person_id	It is assumed that every person with a different unique identifier is in fact a different person and should be treated independently.	Any person linkage that needs to occur to uniquely identify Persons ought to be done prior to writing this table. This identifier can be the original id from the source data provided if it is an integer, otherwise it can be an autogenerated number.	integer	Yes	Yes	No		
gender_concept_id	This field is meant to capture the biological sex at birth of the Person. This field should not be used to study gender identity	Use the gender or sex value present in the data under the assumption that it is the biological sex at birth. If the source data captures gender identity it should be stored in the <a href="#">OBSERVATION</a> table. <a href="#">Accepted gender concepts</a>	integer	Yes	No	Yes	CONCEPT	Gender

แต่ละ Field มีหลักการเติมข้อมูลอย่างไร



แต่ละ Table มีหลักการเติมข้อมูลอย่างไร

**OHDSI data network****OHDSI collaborations**

Open community data standards (OMOP CDM)

Open source development (OHDSI tools)

Methodological research

Clinical evidence generation

**OHDSI Network studies**

Pre-specified protocol with analysis specification

Standardized summary statistics results repository

Collaborative Interpretation

Evidence dissemination



# OHDSI Tools

Web-based Tool:  
ATLAS

The screenshot shows the ATLAS web-based tool interface. On the left is a sidebar with various menu items: Home, Data Sources, Search, Concept Sets, Cohort Definitions, Characterizations, Cohort Pathways, Incidence Rates, Profiles, Estimation, Prediction, Jobs, Configuration, and Feedback. At the bottom of the sidebar, it says "Apache 2.0 open source software provided by OHDSI Join the Journey". The main area is titled "Cohort #1770710" and displays a search bar with the query "New users of ACE inhibitors as first-line monotherapy for hypertension". Below the search bar is a toolbar with buttons for Definition, Concept Sets, Generation, Reporting, Export, and Messages. A large input field below the toolbar contains the text "enter a cohort definition description here". Underneath this is a section titled "Cohort Entry Events" with a sub-section "Events having any of the following criteria:". It shows a dropdown menu set to "ACE inhibitors" with the option "for the first time in the person's history" selected. There are buttons for "+ Add Initial Event", "+ Add attribute...", and "Delete Criteria". Below this, there are fields for "with continuous observation of at least [365 days before and 0 days after event index date]" and "Limit initial events to [earliest event] per person". A "Restrict initial events" button is also present. At the bottom of the main area is a section titled "Inclusion Criteria" with a "New inclusion criteria" button. Below this are two numbered items: "1. has hypertension diagnosis in 1 yr prior to treatment" and "2. Has no prior antihypertensive drug exposures in medical".

Code-based Tools:  
HADES



- +Evidence Quality
- +Cohort construction and evaluation
- +Characterization
- +Patient-level prediction
- +Population-level estimation



[ <https://ohdsi.github.io/Hades/packages.html> ]



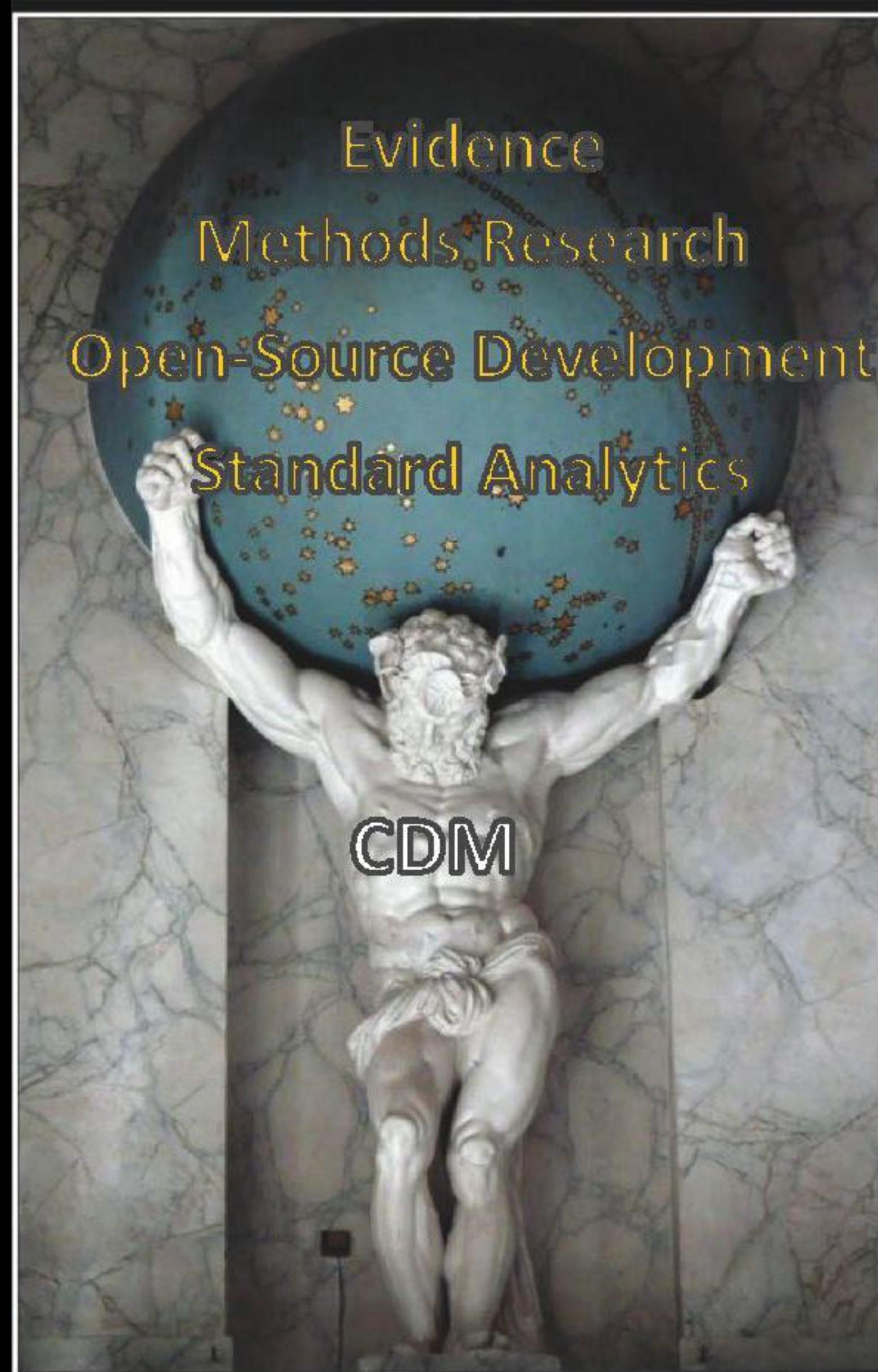
# Atlas

In Greek mythology, the Titan Atlas was responsible for bearing the weight of the heavens on his shoulders, a burden given to him as punishment by Zeus for leading the Titans in their battle with the Olympian Gods for control of the heavens. Father of many stars and a protagonist in one of Hercules' famous labors, Atlas was also known as a wise man and the founder of astronomy.

[ <https://www.worldhistory.org/atlas> ]

The term Atlas has been used to describe a collection of maps since the 16<sup>th</sup> century when Flemish geographer Gerardus Mercator published his work in honor of the mythological Titan.

[ [https://en.wikipedia.org/wiki/Atlas\\_\(mythology\)](https://en.wikipedia.org/wiki/Atlas_(mythology)) ]





# Hades

Hades was both the name of the ancient Greek god of the underworld (Roman name: Pluto) and the name of the shadowy place below the earth which was considered the final destination for the souls of the dead.

Following the overthrow of first the Titans and then the Giants by the Olympian gods, Hades drew lots with his brothers Zeus and Poseidon to decide which part of the world each would rule. Zeus received the sky, Poseidon the seas, and Hades the underworld.

[ <https://www.worldhistory.org/Hades> ]



Aviad Bublil (CC BY-SA)



# Where You Fit In

<https://ohdsi.github.io/TheBookOfOhdsi/WhereToBegin.html>

**I am a clinical researcher looking to start a study.** OHDSI loves to publish and has many resources available to expedite turning your research question into an analysis and a paper.

**I want to read and consume the information the OHDSI community produces.** Whether you're a patient, a practicing clinician or subject matter expertise in healthcare, OHDSI wants to provide you with high quality evidence to help you better understand health outcomes.

**I work in a healthcare leadership role.** I may be a data owner and/or represent one. I am evaluating the utility of the OMOP CDM and OHDSI analytical tools for my organization. More than 200 organizations around the world are collaborating in OHDSI, there's plenty of success stories to help showcase the value of this community.

**I am a database administrator looking to ETL/convert my institution's data to the OMOP CDM.** If you're just starting out on your ETL process, consult the OHDSI Community ETL Tutorial Slides or sign-up for the next offering at an upcoming OHDSI Symposium.

**I am a biostatistician and/or methods developer interested in contributing to the OHDSI tool stack.** You're savvy in R. You know how to commit to Git. Most of all, you're eager to bring your expertise to the OHDSI Methods Library and further develop these methodologies. We welcome your contributions!

**I am a software developer interested in building a tool that complements the OHDSI tool stack.** As part of the OHDSI mission, our tools are open source and governed under Apache licenses.

**I am a consultant looking to advise the OHDSI Community.** You're invited to join us at OHDSI Tutorials and consider giving back by contributing your expertise in the Symposium proceedings and OHDSI face-to-face meetings throughout the year.

**I am a student looking to learn more about OHDSI.** You're in the right place! Consider joining an OHDSI Community Call and introducing yourself. You are encouraged to delve into the OHDSI tutorials, attend OHDSI Symposia and face-to-face meetings to learn more about the methods and tools the OHDSI community offers.



# How Healthcare Systems Can Create Value by Adopting the OMOP CDM

John Methot, Melanie Philofsky, Brian J. Bush, Paul Nagy, Daniel Smith, Edward Smith  
OHDSI Healthcare Systems Interest Group



Poster: <https://www.ohdsi.org/2022showcase-78/>

## Background

In the OHDSI community there is wide belief that adoption of OHDSI has significant benefits for healthcare systems in both operations and research. However, that hypothesis is currently “expert opinion”. We describe here our plan to gather evidence on cost savings and other benefits that healthcare systems can realize by adopting the OMOP CDM. Our results can be used by researchers and IT staff as business justification for OMOP adoption.

The benefits fall into these categories:



## Methods

- A recent OHDSI-wide survey of organizations with OMOP CDMs revealed that approximately 250 respondents are healthcare organizations
- This ongoing project is an activity of the Healthcare Systems Interest Group. We surveyed members of the working group to collect and rank a set of realized and expected benefits.
- Our next step is to field a survey of OHDSI community members to identify healthcare systems that have adopted OMOP and collect quantitative estimates of associated cost savings across their activities.
- After collecting and analyzing survey data, we will author a publication containing quantitative evidence of cost savings and other benefits that healthcare organization can realize by adopting the OMOP CDM.

Do you represent a healthcare system?  
Please take our survey!



<https://bit.ly/OMOPAdopt>

## Results and Conclusions

- We produced a list of the top 10 benefits we hypothesize healthcare systems can realize from OMOP CDM adoption.
- We designed and fielded a survey to more accurately characterize healthcare system benefits.
- We used this poster and the 2022 OHDSI Global Symposium to advertise the survey and recruit respondents.



- We will aggregate and summarize the survey findings
- We will author a publication describing actual benefits realized by healthcare systems, advancing the topic from expert opinion to published research
- We will publicize the paper and promote its use as business justification for researchers and informatics staff seeking financial support for OMOP CDM adoption at their institutions

Authors: John Methot<sup>1</sup>, Melanie Philofsky<sup>2</sup>, Brian J. Bush<sup>3</sup>, Paul Nagy<sup>4</sup>, Daniel Smith<sup>5</sup>, Edward Smith<sup>6</sup>

<sup>1</sup>Dana-Farber Cancer Institute, <sup>2</sup>Odyssey Data Services, <sup>3</sup>Virginia Commonwealth University, <sup>4</sup>Johns Hopkins University, <sup>5</sup>Emory University, <sup>6</sup>University of Maryland Medical Center

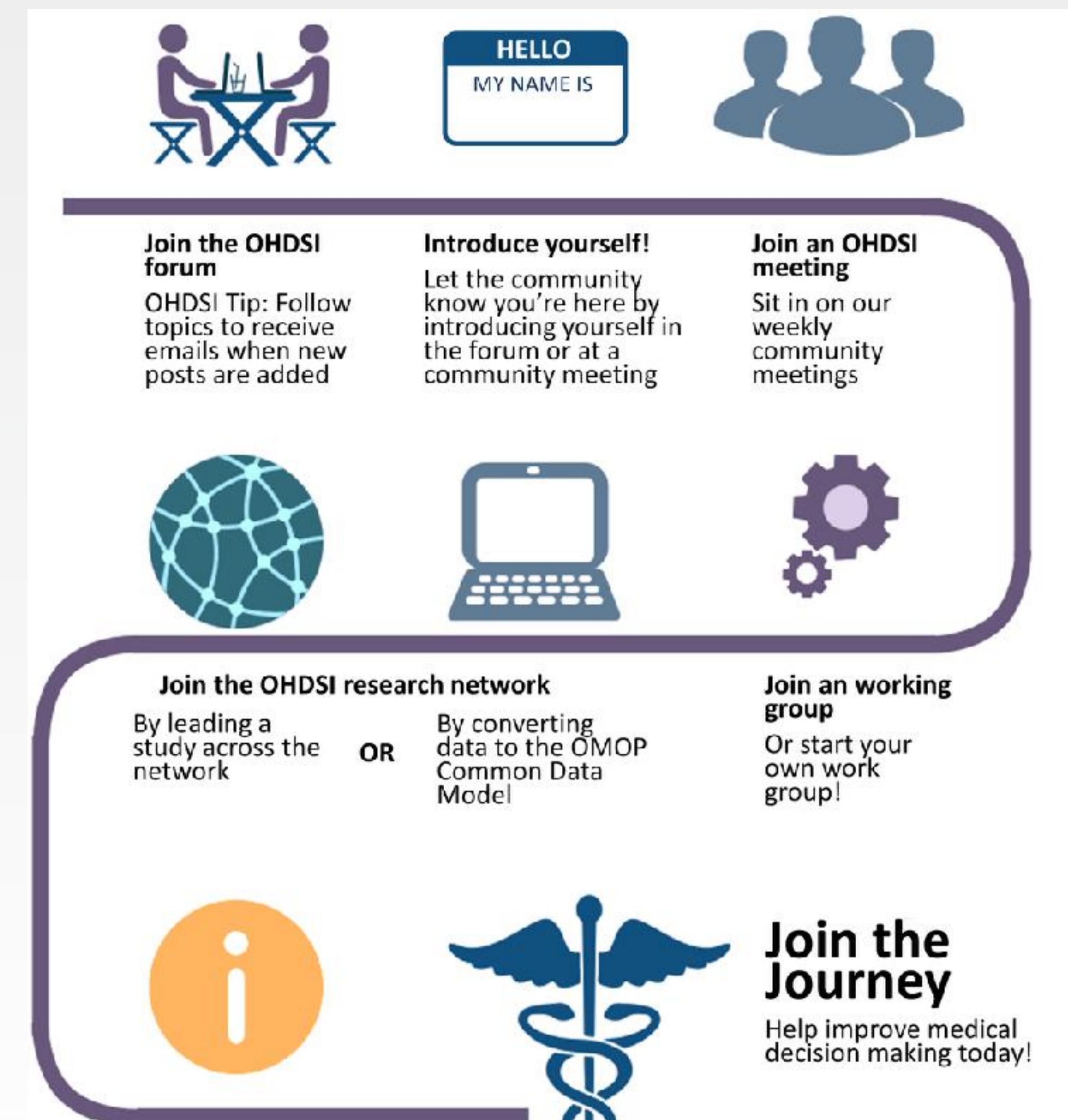
I work in a healthcare leadership role. I may be a data owner and/or represent one. I am evaluating the utility of the OMOP CDM and OHDSI analytical tools for my organization. More than 200 organizations around the world are collaborating in OHDSI, there's plenty of success stories to help showcase the value of this community.

[ <https://www.ohdsi.org/2022showcase-78/> ]



# Resources

1. The Book of OHDSI: <https://ohdsi.github.io/TheBookOfOhdsi>
2. EHDEN Academy: <https://academy.ehdङen.eu>
3. OHDSI Past Events: <https://www.ohdsi.org>
4. OHDSI Community Calls: <https://www.ohdsi.org/community-calls/>
5. OHDSI Forums: <http://forums.ohdsi.org>
6. YouTube: <https://www.youtube.com/@OHDSI>
7. Soon, Intro to OMOP in Thai 🇹🇭: <https://omop.sidata.plus>





# Inspiring Experience from Singapore

Asst. Prof. Mengling 'Mornin' Feng  
National University of Singapore

Supported by



ศูนย์ความเป็นเลิศด้านชีววิทยาศาสตร์ (องค์การมหาชน)  
Thailand Center of Excellence for Life Sciences  
(Public Organization)





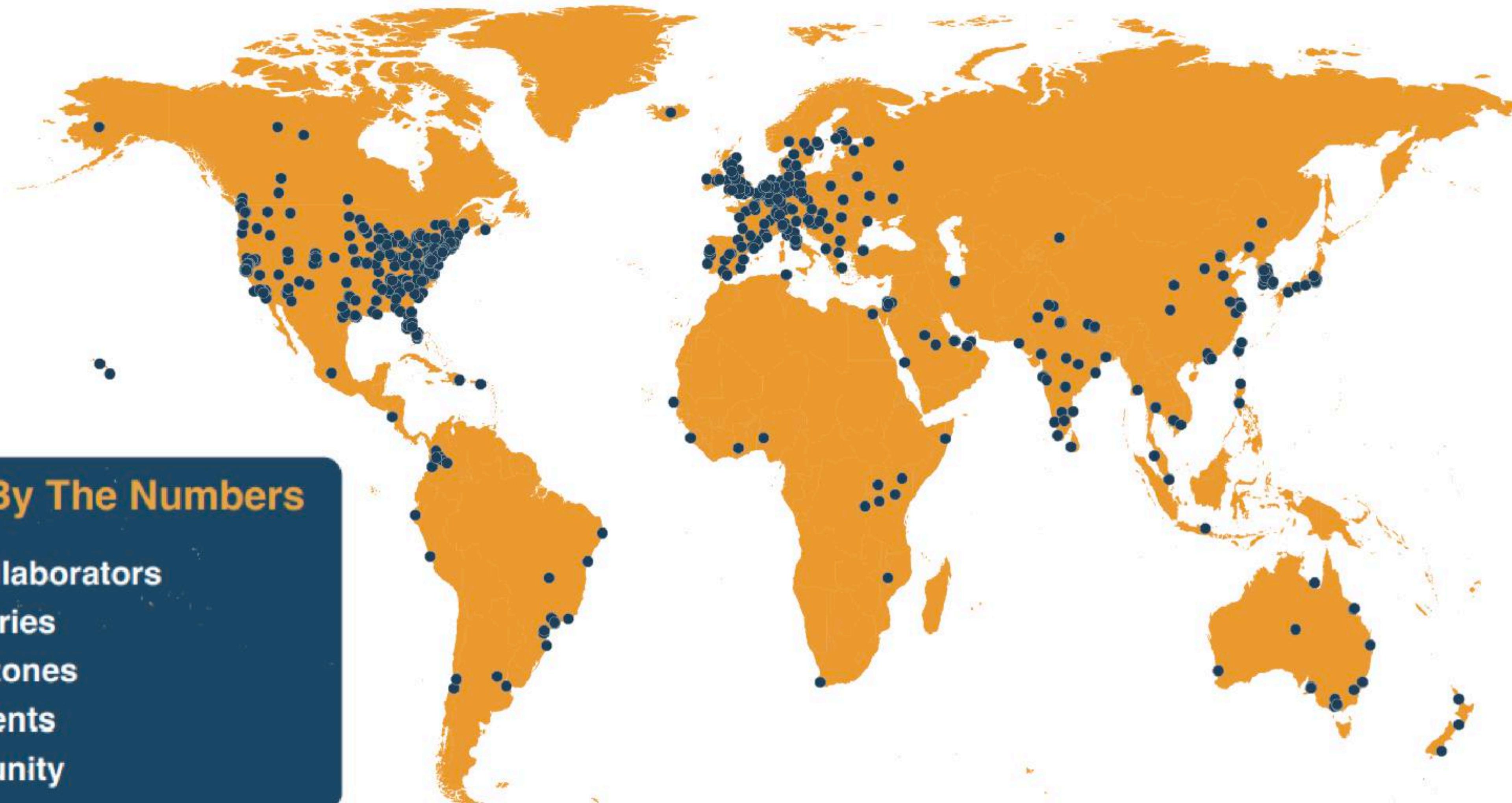
# Session Overview

Inspiring Experience from Singapore @ 10:45 – 11:15 (30 min)

Why? Background & Questions	How? Methods & Materials	What? Objectives
<ul style="list-style-type: none"><li><input type="checkbox"/> How do other countries/systems adopt OMOP/OHDSI?</li><li><input type="checkbox"/> What are their research products?</li></ul>	<ul style="list-style-type: none"><li>◆ Recorded talk from OHDSI Singapore Chapter Updates mid-2023</li><li>◆ Live Q&amp;A session with Mornin</li></ul>	<ul style="list-style-type: none"><li>★ See Singapore's OMOP/OHDSI adoption</li><li>★ Get inspired to further explore the global community and research opportunities</li></ul>



# Map of collaborators



## OHDSI By The Numbers

- 3,266 collaborators
- 80 countries
- 21 time zones
- 6 continents
- 1 community

[ <https://www.ohdsi.org/wp-content/uploads/2022/10/OHDSI-OurJourney-2022.pdf> ]



# OHDSI APAC Local Chapters



## OHDSI APAC - Our Asia-Pacific Community

OHDSI is a global, multi-stakeholder, interdisciplinary and open-science network that collaborates to bring out the value of health data through large-scale analytics. Our Asia-Pacific (APAC) community comprises seven regional chapters (Australia, China, India, Japan, Singapore, South Korea, Taiwan) and has led important OHDSI initiatives around the world.

### OHDSI APAC Community in Teams

The APAC community has its own group in the OHDSI MS Teams environment to promote greater collaboration on our collaborative efforts. First, [request access to our MS Teams Environment](#), then request access to [our OHDSI APAC workgroup](#).



### 2023 Asia-Pacific Community Calls

Date	Topic
August 17	European and APAC Symposium Recap
September 21	Training Session #5
October 19	Training Session #6
November 16	Global Symposium Recap and Training Session #7
December 21	APAC 2023 Recap and Year Closing

@OHDSI

www.ohdsi.org

#JoinTheJourney

in ohdsi

### APAC Monthly Community Call

Everybody is invited to the monthly OHDSI APAC community call, which takes place the third Thursday of each month at 12 pm Korea time. These calls are meant to provide updates, share research presentations, collaborate on topics of shared interest, and plenty more. The upcoming schedule is available to the right.

<https://ohdsi.org/apac/>

### 2023 APAC Symposium

July 13-14 • University of New South Wales • Sydney, Australia



The 2023 OHDSI APAC Symposium was held July 13-14 in Sydney, Australia at the University of New South Wales. Thank you to all the volunteers who helped put together this fantastic event. Videos of all presentations are included below, while videos from the tutorials are coming soon!

### Symposium Presentations

Welcome, Keynote

OHDSI APAC SYMPOSIUM SYDNEY, AUSTRALIA 13-14 July 2023

Speakers: Nicole Pratt (President CHDSI Australia Chapter, University of South Australia) and Patrick Ryan (Vice President, Observational Health Data Analytics, Janssen Research and Development)

Segments

Transforming health: What do regulators, clinicians, and consumers really want to know about healthcare and how can OHDSI help

A collaborative recipe for reliable real-world evidence

Speaker: Asleh Golozar (Vice President, Global Head of Data Science at Odysseus Data Services, Inc; Professor of the Practice & Director of Clinical Research at the OHDSI Center, Northeastern University)

<https://ohdsi.org/2023apacsymposium/>



# OHDSI Singapore



## Co-Chairs:

**Dr. Mengling 'Mornin' Feng**

Senior Assistant Director, National University Health System  
Assistant Professor, National University of Singapore

[ephfm@nus.edu.sg](mailto:ephfm@nus.edu.sg)



**Dr. Kee Yuan Ngiam**

Group Chief Technology Officer  
National University Health System

<https://youtu.be/bFMgX6oUUa4?si=RZwAKxvr6oSluBR5&t=2166>

Siriraj Informatics and  
Data Innovation Center



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

# OHDSI Tools: Athena & Atlas

Supported by



ศูนย์ความเป็นเลิศด้านชีววิทยาศาสตร์ (องค์การมหาชน)  
Thailand Center of Excellence for Life Sciences  
(Public Organization)



# Session Overview

OHDSI Tools: Athena & Atlas @ 11:15 – 12:00 (45 min)

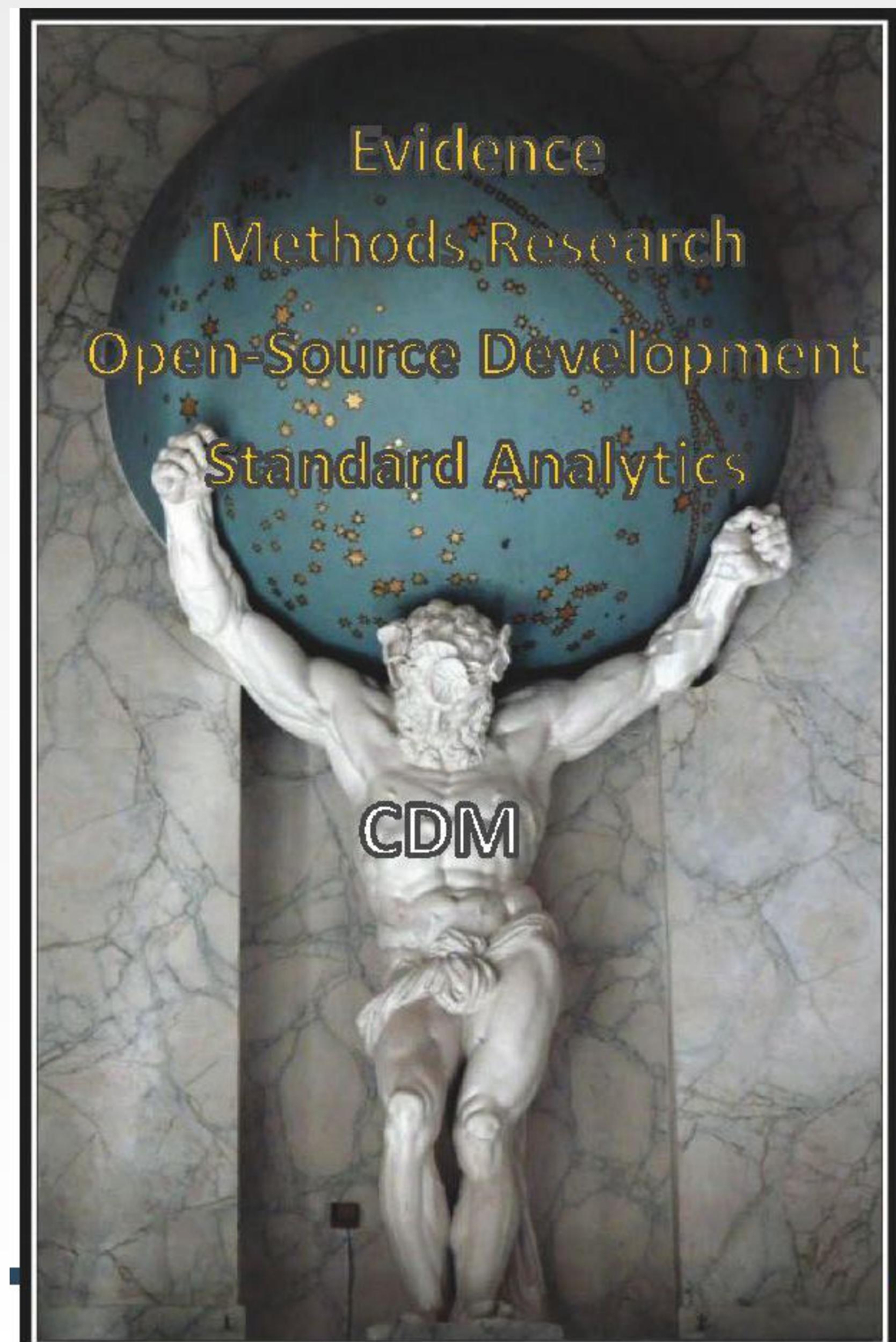
Why? Background & Questions	How? Methods & Materials	What? Objectives
<ul style="list-style-type: none"><li><input type="checkbox"/> How do OMOP record medical concepts? ICD-10/ICD-9? SNOMED?</li><li><input type="checkbox"/> How can we browse standard codes on Athena?</li><li><input type="checkbox"/> How can we use OMOP CDM via website, Atlas?</li></ul>	<ul style="list-style-type: none"><li>◆ Overview of Standard Concepts</li><li>◆ Features of Athena &amp; Atlas</li><li>◆ Hands-on: Vocabulary search in Athena</li><li>◆ Hands-on: Log-in to Atlas</li></ul>	<ul style="list-style-type: none"><li>★ Learn how to navigate Athena &amp; Atlas</li><li>★ Acquire practical experience through hands-on exercises</li></ul>



# OMOP CDM

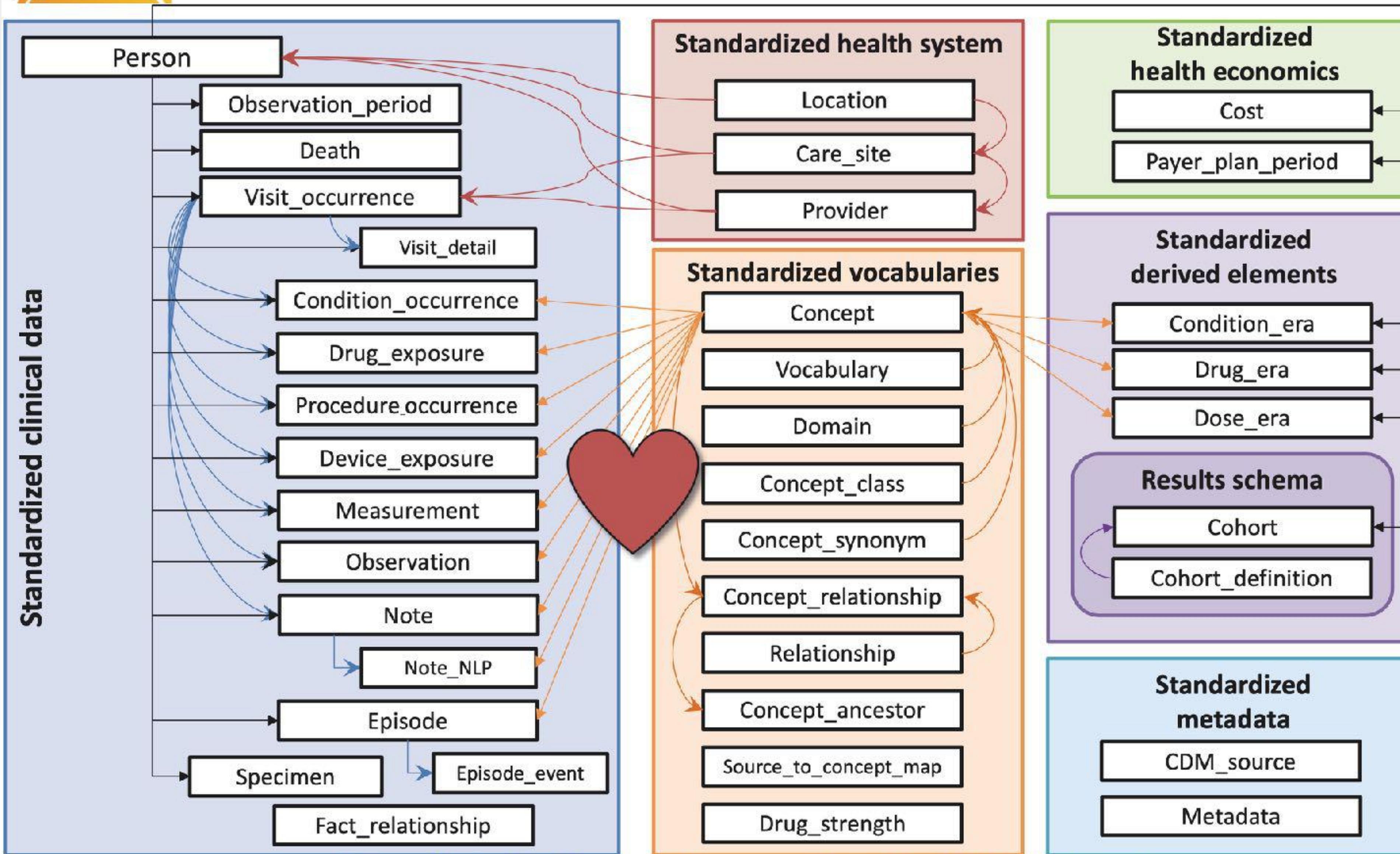
The OMOP CDM is a system of **tables, vocabularies, and conventions** that allow observational health data to be standardized.

It is this standard approach that facilitates rapid innovation in the areas of open-source development, methods research, and evidence generation.



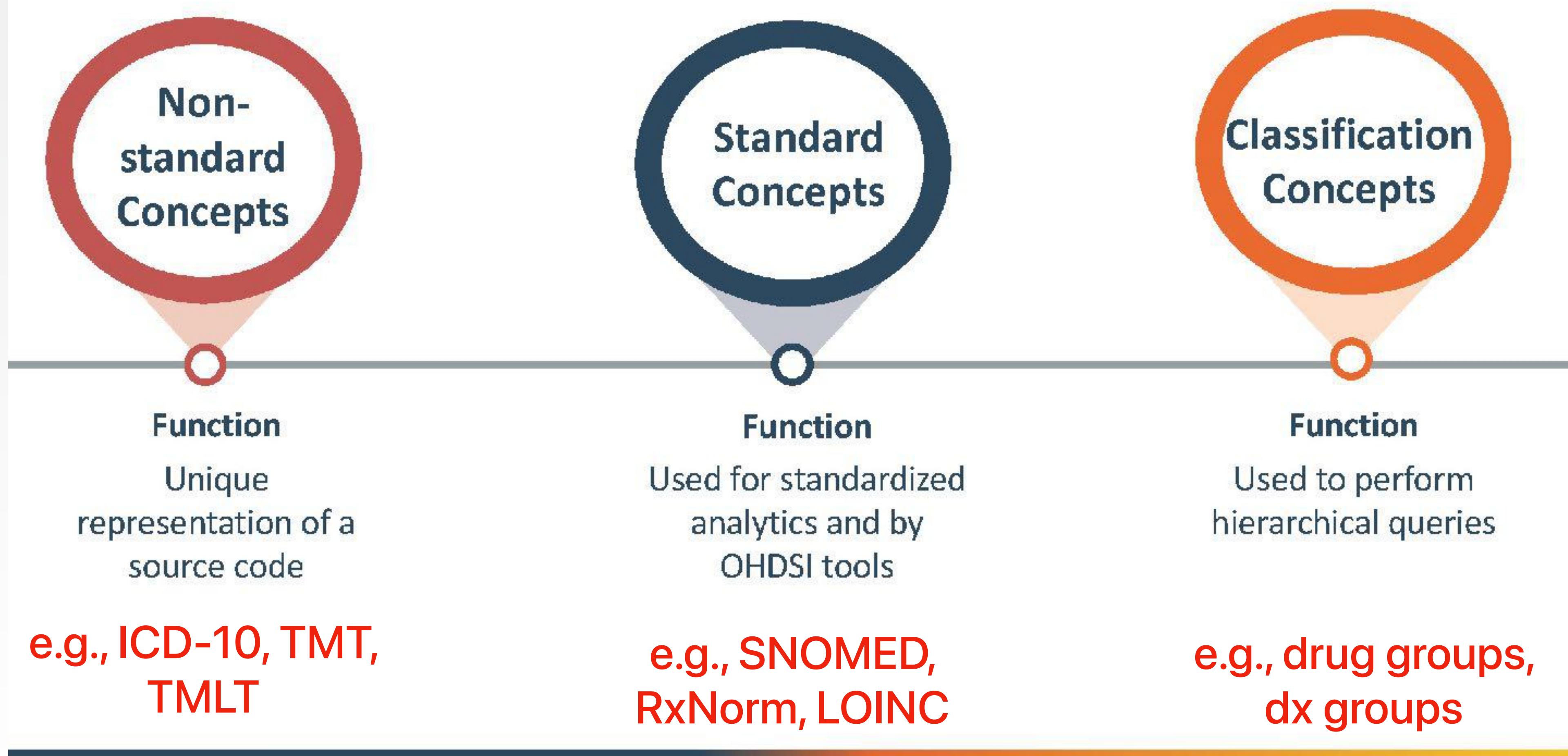


# OMOP CDM & Vocabulary





# Different Categories of Concepts



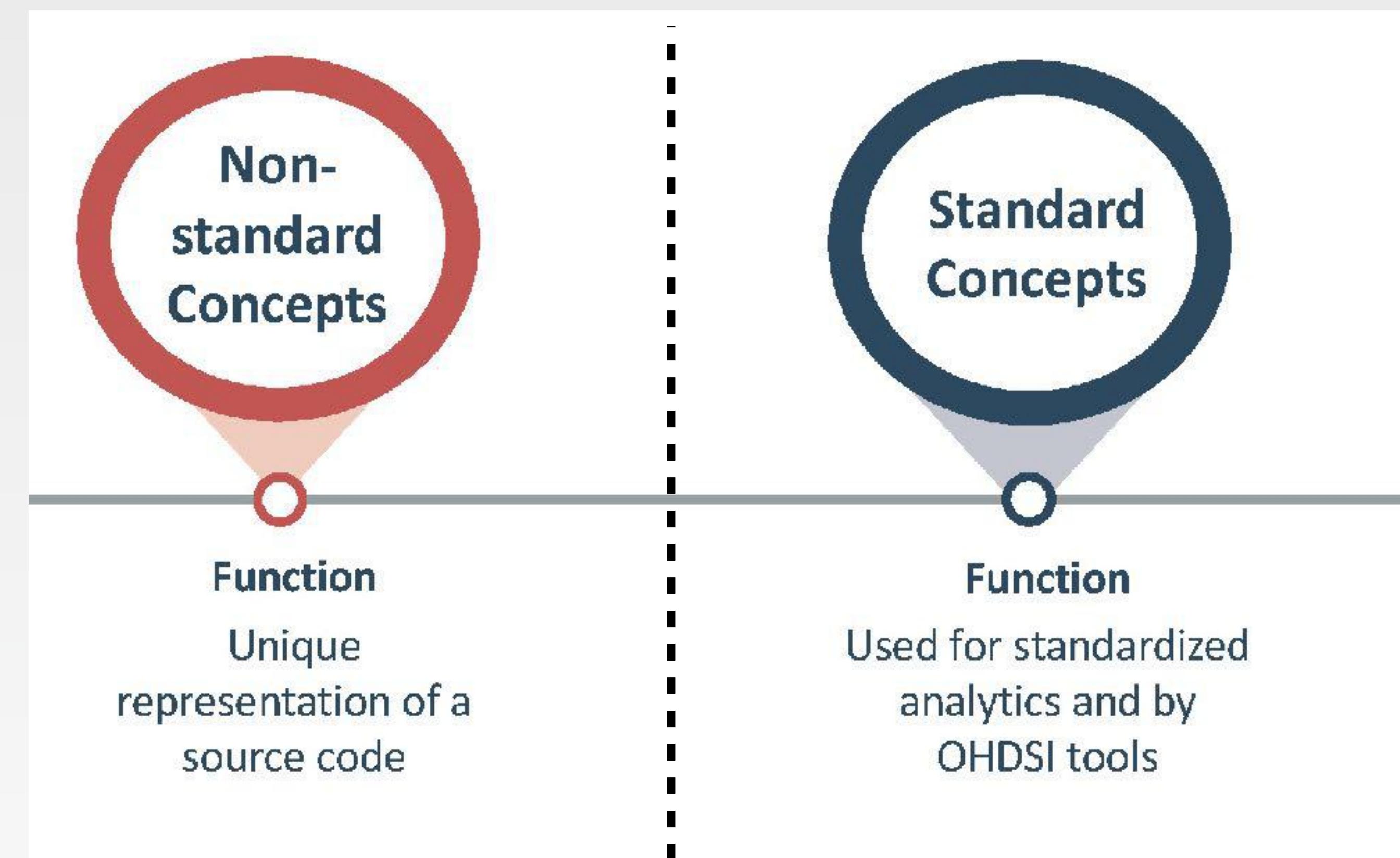


# Standard Concepts

<https://ohdsi.github.io/TheBookOfOhdsi/StandardizedVocabularies.html>

Table 5.2: List of vocabularies to utilize for Standard/non-standard/classification concept assignments.

Domain	for Standard Concepts	for source concepts	for classification concepts
Condition	SNOMED, ICD03	SNOMED Veterinary	MedDRA
Procedure	SNOMED, CPT4, HCPCS, ICD10PCS, ICD9Proc, OPCS4	SNOMED Veterinary, HemOnc, NAACCR	None at this point
Measurement	SNOMED, LOINC	SNOMED Veterinary, NAACCR, CPT4, HCPCS, OPCS4, PPI	None at this point
Drug	RxNorm, RxNorm Extension, CVX	HCPCS, CPT4, HemOnc, NAACCR	ATC
Device	SNOMED	Others, currently not normalized	None at this point
Observation	SNOMED	Others	None at this point
Visit	CMS Place of Service, ABMT, NUCC	SNOMED, HCPCS, CPT4, UB04	None at this point



condition_source_value	condition_source_concept_id	condition_concept_id	Standard Code
I10 <i>(ICD10 for Essential (primary) Hypertension)</i>	45591453	320128	59621000 <i>(SNOMED for Essential hypertension)</i>

- **\_source\_value** รหัสโรค/หัตถการ/ยา/แลป/อื่น ๆ ต้นทาง
- **\_source\_concept\_id** รหัสโรค/หัตถการ/ยา/แลป/อื่น ๆ ต้นทาง ที่ mapped เป็น ID ของ OMOP
- **\_concept\_id** รหัสโรค/หัตถการ/ยา/แลป/อื่น ๆ standard ที่ mapped เป็น ID ของ OMOP



# Demo: I10 Hypertension

<https://athena.ohdsi.org/search-terms/terms/45591453>

ATHENA

← Essential (primary) hypertension

SEARCH DOWNLOAD LOGIN ?

DETAILS		TERM CONNECTIONS (2)			
Domain ID	Condition	RELATIONSHIP	RELATES TO	CONCEPT ID	VOCABULARY
Concept Class ID	ICD10 Hierarchy	Is a	Hypertensive diseases	40475095	ICD10
Vocabulary ID	ICD10	Non-standard to Standard map (OMOP)	Essential hypertension	320128	SNOMED
Concept ID	45591453				
Concept code	I10				
Validity	Valid				
Concept	Non-standard				
Valid start	01-May-1990				
Valid end	31-Dec-2099				

# Hands-on: Find standard concept for I48 Atrial Fibrillation

<https://athena.ohdsi.org>



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

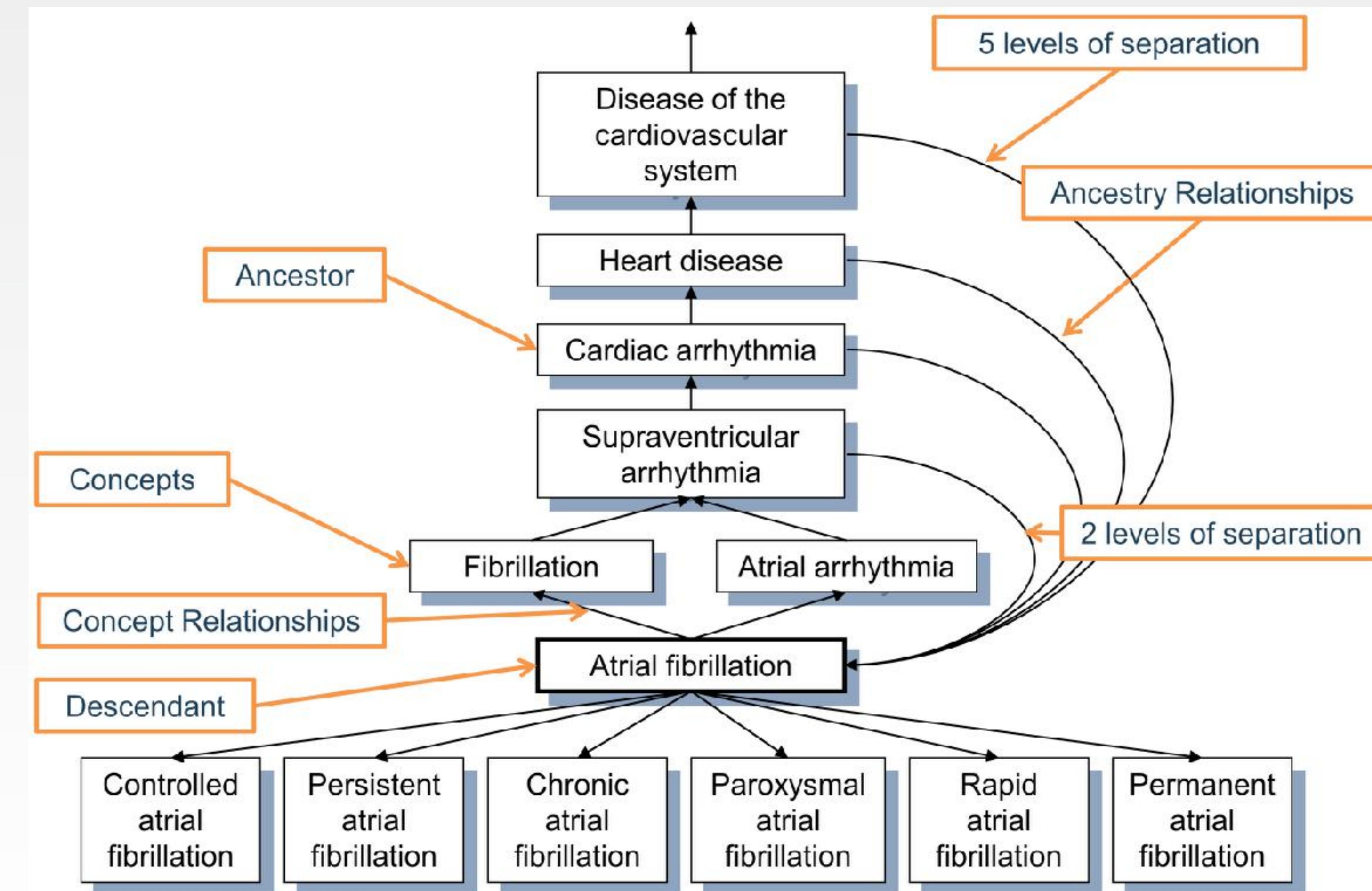
ATHENA

SEARCH BY KEYWORD i48

i48 X DOWNLOAD RESULTS Show by 15 ▾ items Total 6 items

DOMAIN	ID	CODE	NAME	CLASS	CONCEPT	VALIDITY	DOMAIN	VOCAB
CONCEPT	45596206	I48	Atrial fibrillation and flutter	ICD10 Hierarchy	Non-standard	Valid	Condition	ICD10
CLASS	1569170	I48	Atrial fibrillation and flutter	3-char nonbill code	Non-standard	Valid	Condition	ICD10CM
VOCAB	1414209	I48	Atrial fibrillation and flutter	ICD10 Hierarchy	Non-st	betsmove	ICD10CN	
VALIDITY	37084653	I48	Atrial fibrillation and flutter	ICD10 Hierarchy	Non-st		ICD10GM	
	42488510	I48	Atrial fibrillation and flutter	KCD7 code	Non-st		KCD7	
	37613128	I48	Atrial fibrillation and flutter	ICD10 Hierarchy	Non-st		CIM10	

# Hierarchy





# Hands-on: Find standard concept for Lisinopril\*

<https://athena.ohdsi.org> — Hint: filter only standard concept on the left panel

**Athena**

SEARCH BY KEYWORD  SEARCH DOWNLOAD LOGIN ?

lisinopril   
Standard

● DOMAIN   
● CONCEPT  A large red arrow points to this button.

Classification (2609)  
 Non-standard (10267)  
 Standard (3931)

● CLASS   
● VOCAB   
● VALIDITY

**DOWNLOAD RESULTS**

Show by 15 items Total 3,931 items 1 2 3 4 5 ... 263 >

ID	CODE	NAME	CLASS	CONCEPT	VALIDITY	DOMAIN	VOCAB
1308216	29046	<a href="#">lisinopril</a>	Ingredient	Standard	Valid	Drug	RxNorm
4164548	293502001	<a href="#">Lisinopril adverse reaction</a>	Clinical Finding	Standard	Valid	Observation	SNOMED
43530991	609542006	<a href="#">Non-allergic hypersensitivity to lisinopril</a>	Clinical Finding	Standard	Valid	Condition	SNOMED
44080078	OMOP1074709	<a href="#">Lisinopril 10 MG [Act Lisinopril]</a>	Branded Drug Comp	Standard	Valid	Drug	RxNorm Extension
43767413	OMOP682864	<a href="#">Lisinopril 10 MG [Lisinopril Abz]</a>	Branded Drug Comp	Standard	Valid	Drug	RxNorm Extension
40891128	OMOP2089090	<a href="#">Lisinopril 10 MG [LISINOPRIL ACTAVIS]</a>	Branded Drug Comp	Standard	Valid	Drug	RxNorm Extension
43587490	OMOP682878	<a href="#">Lisinopril 10 MG [Lisinopril Al]</a>	Branded Drug Comp	Standard	Valid	Drug	RxNorm Extension

\*Lisinopril is an ACE inhibitor.



# Athena

Athena was the goddess of wisdom, war, and the crafts. She was the favorite daughter of Zeus and was, perhaps, the wisest, most courageous, and certainly the most resourceful of the Olympian gods.

Athena is credited with giving Odysseus the idea of the Wooden Horse in the Trojan War.



Carole Raddato (CC BY-SA)

[ <https://www.worldhistory.org/athena/> ]



# Demo: Find Lisinopril on Atlas

<https://atlas-demo.sidata.plus/atlas>

backup: <https://atlas-demo.ohdsi.org/>, <https://atlas.ohdsi.org>

The screenshot shows the ATLAS search interface with the query 'lisinopril' entered in the search bar. The results table displays 3 entries across three categories: Vocabulary, Class, and Has Records.

Vocabulary	Class	Has Records
NDC (6365)	Ingredient	178
RxNorm Extension (3486)	Clinical Drug	7,924
SPL (2321)		178
SNOMED (447)		357
Branded Drug Form (173)	Drug	RxNorm
Brand Name (99)		
Clinical Drug Box (82)		
Clinical Drug (47)		
SPL (23)		
Domain		
Drug (12716)		
Observation (10)		
Measurement (8)		
Condition (2)		
Standard Concept		
Non-Standard (7303)		
Standard (3144)		
Classification (2289)		
Invalid Reason		
Valid (9019)		
Invalid (3717)		
Has Records		
false (12733)		
true (3)		
Has Descendant Records		
false (11743)		
true (993)		
Has Person Count		



# Concept Set Expressions

- **Concept Set:** logical expression to represent a list of concepts in the OHDSI vocabularies encompassing a clinical entity of interest
  - List of one or more concepts
  - Optional operator for each concepts in the list:
    - **Exclude:** Exclude this concept (and any of its descendants if selected) from the concept set.
    - **Descendants:** Consider not only this concept, but also all of its descendants.
    - **Mapped:** Allow to search for non-standard concepts.
- **Concept Set** can be thought of as a standardized, computer-executable equivalent of the code lists often used in observational studies.
- A concept set expression can be materialized into a list of concepts using any instance of the OHDSI vocabularies
  - JSON expression executed via webAPI into standard SQL query



# Demo: Create concept set for Lisinopril

<https://atlas-demo.sidata.plus/atlas>

backup: <https://atlas-demo.ohdsi.org/>, <https://atlas.ohdsi.org>

The screenshot shows the ATLAS search interface with the query 'lisinopril' entered in the search bar. The results table displays 3 entries across three pages. The columns include Id, Code, Name, Class, RC, DRC, PC, DPC, Domain, and Vocabulary.

Id	Code	Name	Class	RC	DRC	PC	DPC	Domain	Vocabulary
1808216	29046	lisinopril	Ingredient	178	7,924	178	357	Drug	RxNorm
19080128	314076	lisinopril 10 MG Oral Tablet	Clinical Drug	7,715	7,715	172	172	Drug	RxNorm
19080129	314077	lisinopril 20 MG Oral Tablet	Clinical Drug	31	31	7	7	Drug	RxNorm

The sidebar on the left lists various navigation options: Home, Data Sources, Search, Concept Sets, Cohort Definitions, Characterizations, Cohort Pathways, Incidence Rates, Profiles, Estimation, Prediction, Reusables, Jobs, Configuration, and Feedback. The footer indicates the software is Apache 2.0 open source software provided by OHDSI.



# Hands-on: Create concept set for Hypertension

<https://atlas-demo.sidata.plus/atlas>

backup: <https://atlas-demo.ohdsi.org/>, <https://atlas.ohdsi.org>

ATLAS

Concept Set #9

created by username1 on 2023-09-19 19:30, modified by username1 on 2023-09-19 19:30

[OHDSI2022] Hypertension

English | username1 | Logout

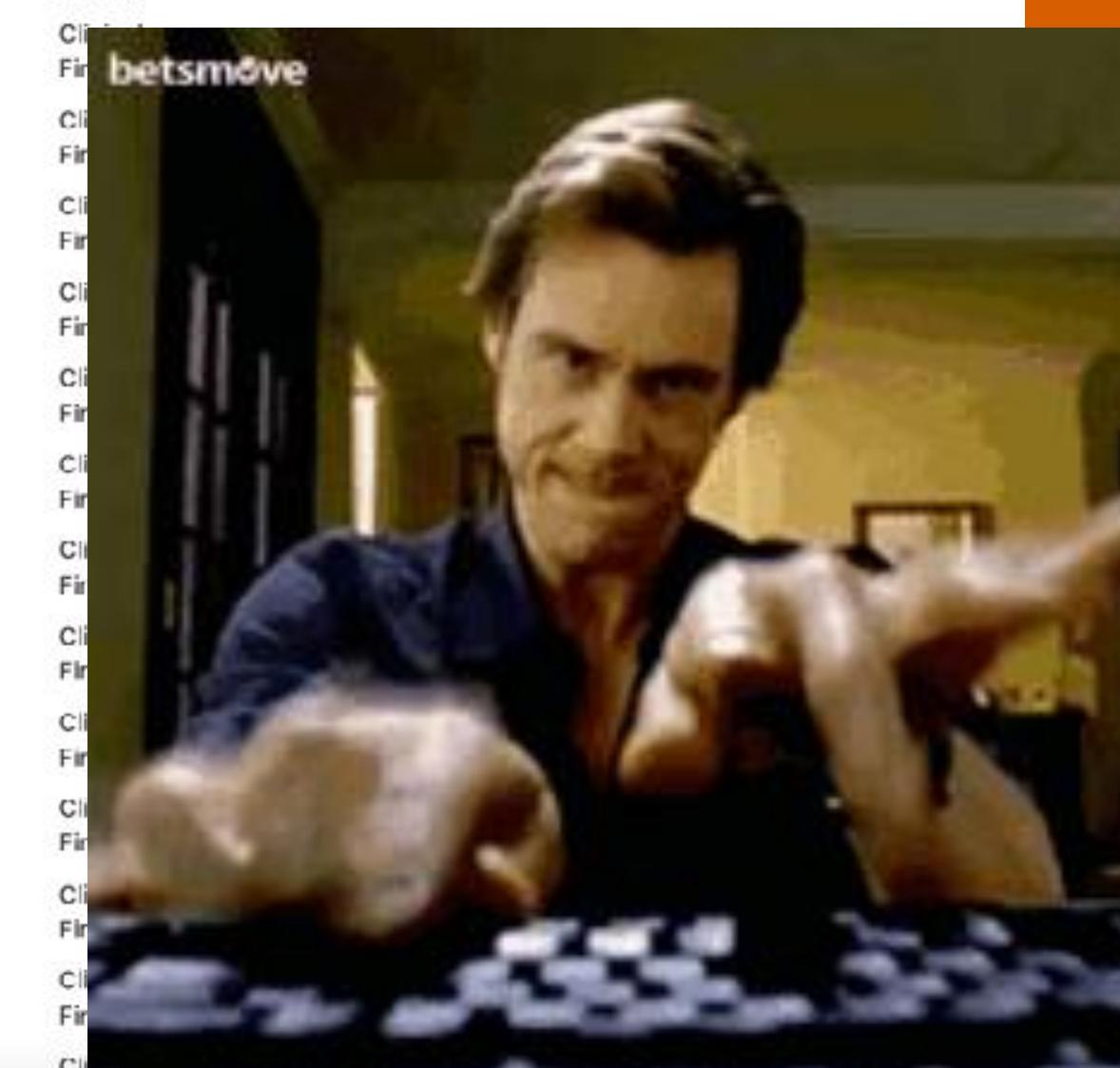
Concept Set Expression Included Concepts 242 Included Source Codes Recommend Export Import Compare Versions Messages

Show columns ▾ Copy CSV Show 50 entries

View record count for: amoa-cdm-synthea ▾ Filter: Search... Previous 1 2 3 4 5 Next

Vocabulary	Id	Code	Name	Class	RC	DRC	PC	DPC	Domain	Vocabulary	Ancestors
SNOMED (240)	320128	59621000	Essential hypertension	Clinical Finding	258	258	258	256	Condition	SNOMED	1
CMOF Extension (2)											
Class	439393	398254007	Pre-eclampsia	Clinical Finding	25	25	23	23	Condition	SNOMED	1
Clinical Finding (242)											
Domain	316865	38341003	Hypertensive disorder	Clinical Finding	betsmove						
Condition (241)											
Observation (1)											
Standard Concept	4279525	367390009	Hypertension in the obstetric context	Clinical Finding							
Standard (242)											
Invalid Reason	4118910	288250001	Maternal hypertension	Clinical Finding							
Valid (242)											
Has Records	4167493	48194001	Pregnancy-induced hypertension	Clinical Finding							
false (240)											
true (2)	45766198	703310005	Autosomal dominant progressive nephropathy with hypertension	Clinical Finding							
Has Descendant Records	4268756	62240004	Benign arteriolar nephrosclerosis	Clinical Finding							
false (235)											
true (6)	312648	1201005	Benign essential hypertension	Clinical Finding							
	4215640	71874008	Benign essential hypertension complicating AND/OR reason for care during childbirth	Clinical Finding							
	4034031	23717007	Benign essential hypertension complicating AND/OR reason for care during pregnancy	Clinical Finding							
	4148205	35303009	Benign essential hypertension complicating AND/OR reason for care during puerperium	Clinical Finding							
	321638	198942000	Benign essential hypertension complicating pregnancy, childbirth and the puerperium	Clinical Finding							
	314103	198944004	Benign essential hypertension complicating pregnancy, childbirth and the puerperium - delivered	Clinical Finding							
	320456	198945003	Benign essential hypertension complicating pregnancy, childbirth and the puerperium - delivered with postnatal	Clinical Finding							

Apache 2.0 open source software provided by OHDSI join the journey



Siriraj Informatics and  
Data Innovation Center



Mahidol University  
Faculty of Medicine  
Siriraj Hospital

# OHDSI Tools: Cohort Definition & Characterization

Supported by



ศูนย์ความเป็นเลิศด้านชีววิทยาศาสตร์ (องค์การมหาชน)  
Thailand Center of Excellence for Life Sciences  
(Public Organization)





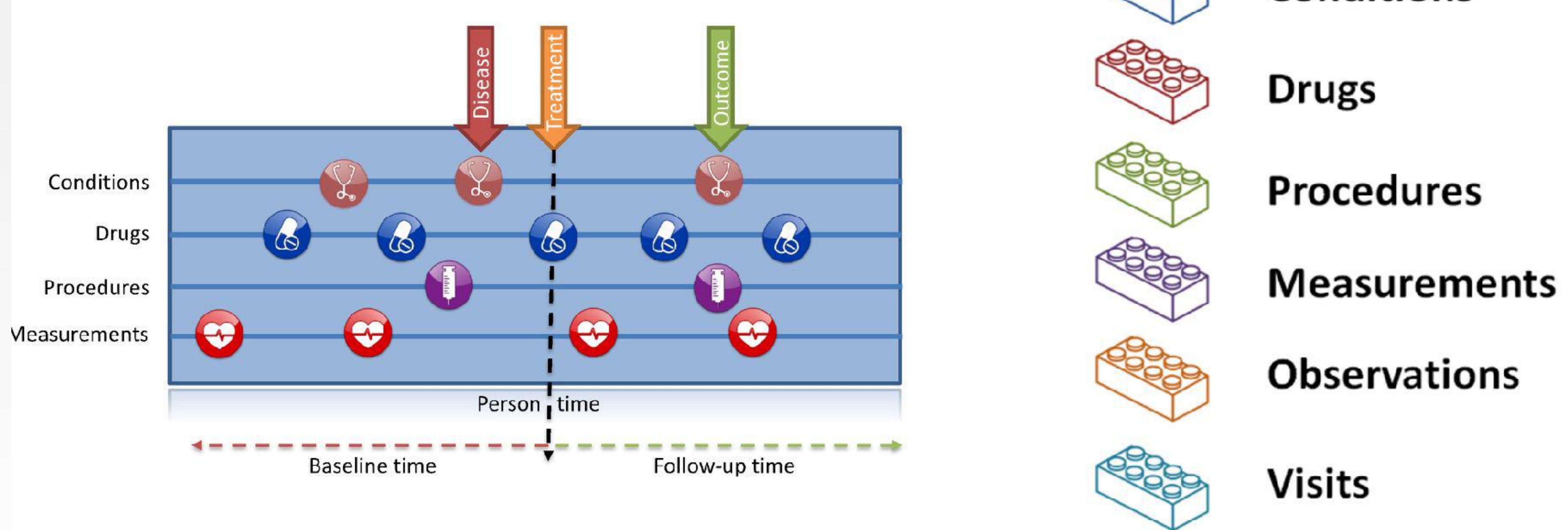
# Session Overview

OHDSI Tools: Cohort Definition & Characterization @ 13:00 – 14:30 (90 min)

Why? Background & Questions	How? Methods & Materials	What? Objectives
<ul style="list-style-type: none"><li><input type="checkbox"/> What is a cohort?</li><li><input type="checkbox"/> How can we specify research cohorts on Atlas? using Phenotype?</li><li><input type="checkbox"/> Can we do basic descriptive statistical analyses on cohorts?</li></ul>	<ul style="list-style-type: none"><li>◆ Basics of cohort definition, phenotype</li><li>◆ Hands-on: Defining a cohort using Atlas</li><li>◆ Hands-on: Cohort characterization with Atlas</li><li>◆ Most of slides from OHDSI2022 Tutorial sessions 3–5: <a href="https://www.ohdsi.org/ohdsi2022-tutorial/">https://www.ohdsi.org/ohdsi2022-tutorial/</a></li></ul>	<ul style="list-style-type: none"><li>★ Grasp the principles of cohort definition and characterization</li><li>★ Practical exercise in defining and characterizing cohorts using Atlas</li><li>★ Take home: Importance and practical know-how of cohort analytics</li></ul>



# Data are Like Lego Bricks for Phenotyping



# The common building block of all observational analysis: cohorts

## Required inputs:

Target cohort:  
Person  
cohort start date  
cohort end date

Comparator cohort:  
Person  
cohort start date  
cohort end date

Outcome cohort:  
Person  
cohort start date  
cohort end date

## Desired outputs:

Clinical characterization  
Baseline summary of exposures  
(treatment utilization)

Clinical characterization  
Baseline summary of outcome  
(disease natural history)

Incidence summary  
Proportion/rate of outcome  
occurring during time-at-risk for exposure

Population-level effect estimation  
Relative risk (HR, OR, IRR) of outcome  
occurring during time-at-risk for exposure

Patient-level prediction  
Probability of outcome occurring during  
time-at-risk for each patient in population



# Defining ‘phenotype’

*Journal of the American Medical Informatics Association*, 0(0), 2017, 1–6

doi: 10.1093/jamia/ocx110

Perspective



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Perspective

## High-fidelity phenotyping: richness and freedom from bias

George Hripcsak<sup>1</sup> and David J Albers<sup>1</sup>

- A phenotype is a specification of an observable, potentially changing state of an organism (as distinguished from the genotype, derived from genetic makeup).
- The term phenotype can be applied to patient characteristics inferred from electronic health record (EHR) data.
- The goal is to draw conclusions about a target concept based on raw EHR data, claims data, or other clinically relevant data.
- Phenotype algorithms – ie, algorithms that identify or characterize phenotypes – may be generated by domain experts and knowledge engineers, or through diverse forms of machine learning to generate novel representations of data.



# Combining billing codes, clinical notes, and medications from electronic health records provides superior phenotyping performance

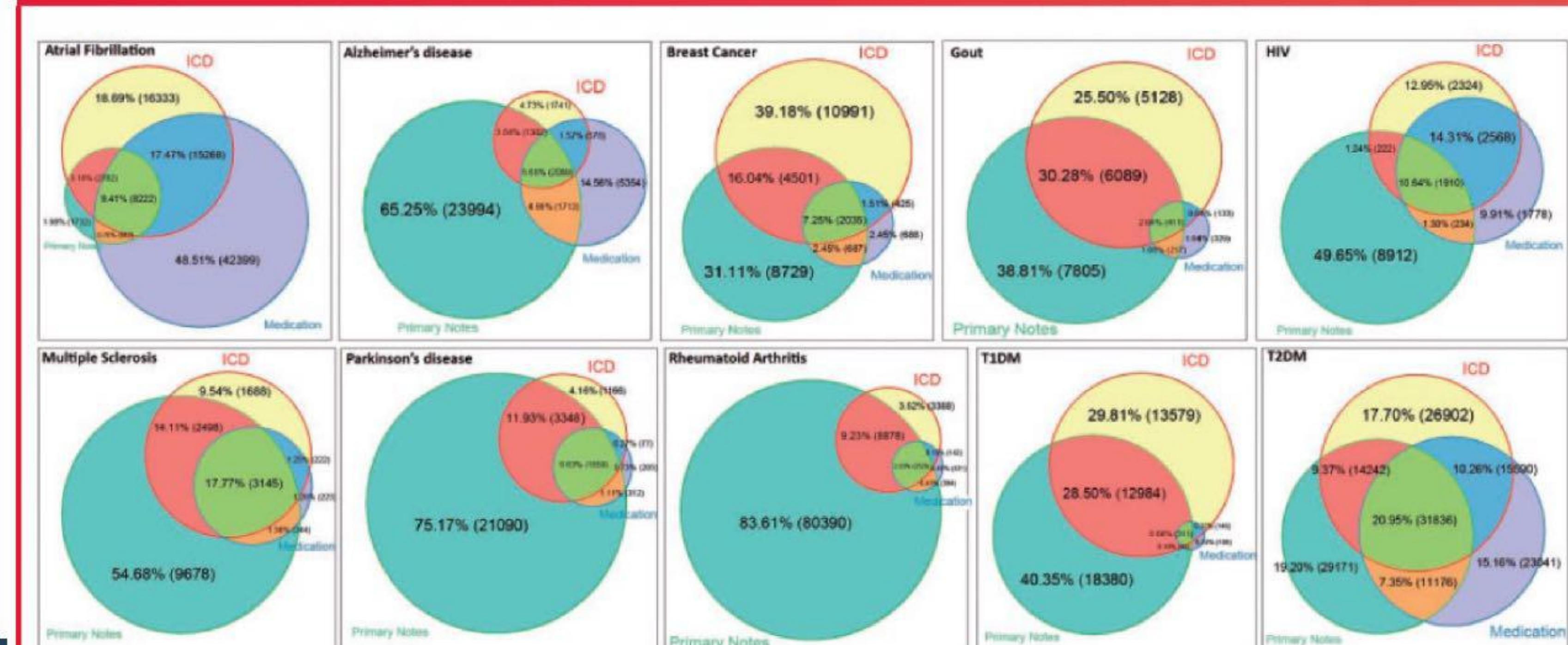
RECEIVED 8 January 2015  
REVISED 14 July 2015  
ACCEPTED 15 July 2015

PUBLISHED ONLINE FIRST 2 September 2015



Wei-Qi Wei<sup>1</sup>, Pedro L Teixeira<sup>1</sup>, Huan Mo<sup>1</sup>, Robert M Cronin<sup>1,2</sup>, Jeremy L Warner<sup>1,2</sup>, Joshua C Denny<sup>1,2</sup>

**Figure 1:** Weighted Venn diagrams of the distributions of patients with ICD-9, primary notes, and specific medications. Each color represents a resource. Different area colors represent the number of patients that were found within intersecting resources.





# OHDSI Phenotype Phebruary

<https://www.ohdsi.org/phenotype-phebruary-2023/>

- Feb. 1 • [Type 2 Diabetes Mellitus](#)
- Feb. 2 • [Type 1 Diabetes Mellitus](#)
- Feb. 3 • [Atrial Fibrillation](#)
- Feb. 4 • [Multiple Myeloma](#)
- Feb. 5 • [Alzheimer's Disease](#)
- Feb. 6 • [Hemorrhagic Events](#)
- Feb. 7 • [Neutropenia](#)
- Feb. 8 • [Kidney Stones](#)
- Feb. 9 • [Delirium](#)
- Feb. 10 • [Systemic Lupus Erythematosus](#)
- Feb. 11 • [Suicide Attempts](#)
- Feb. 12 • [Parkinson's Disease and Parkinsonism](#)
- Feb. 13 • [Attention Deficit Hyperactivity Disorder](#)
- Feb. 14 • [Hypertension \(Video Description\)](#)
- Feb. 15 • [Acute Myocardial Infarction](#)
- Feb. 16 • [Heart Failure](#)
- Feb. 17 • [Cardiomyopathy](#)
- Feb. 18 • [Multiple Sclerosis](#)
- Feb. 19 • [Triple Negative Breast Cancer](#)
- Feb. 20 • [Pulmonary Hypertension](#)
- Feb. 21 • [Prostate Cancer](#)
- Feb. 22 • [HIV](#)
- Feb. 23 • [Hidradenitis Suppurativa](#)
- Feb. 24 • [Anaphylaxis](#)
- Feb. 25 • [Depression](#)
- Feb. 26 • [Non-Small-Cell Lung Cancer](#)
- Feb. 27 • [Drug-Induced Liver Injury](#)
- Feb. 28 • [Severe Visual Impairment And Blindness](#)
- Bonus • [Acute Kidney Injury](#)

## Phenotype Phebruary 2023: How To Join The Effort

"Phenotype Phebruary" was a community-wide initiative to both develop and evaluate phenotypes for health outcomes that could be investigated by the community.

This is the second year of Phenotype Phebruary in the OHDSI community ([look back at Year 1 here](#)). It was introduced during the Jan. 31 community call ([watch here](#)), and went on throughout the month. This year, the leadership team of **Gowtham Rao** and **Azza Shoaiibi** helped identify 11 phenotypes that are being investigated throughout the month. Though the month has ended, the work continues. If you would like to join the discussions around any of the phenotypes, please visit the appropriate links below, which will take you to the proper threads on the OHDSI forums.

## What Did We Accomplish?



### Phenotype Phebruary 2023 in numbers

- 11 phenotypes discussed in the forums
  - 5 phenotypes finished peer review --> library
  - 5 phenotypes developed, evaluated and on their way to peer review
- 4 debates/discussions addressed
- 7 shiny apps on [data.ohdsi.org](#)
- 32 collaborators interacted in the forums or attended calls
- 9 Publications
  - 8 applied publications planned
  - 1 methods publication



## Join Our Community Efforts Around Any Of These Phenotypes

Announcements and Meeting/Workshop Links	Acute Pancreatitis	Anaphylaxis	Appendicitis
Acquired Neutropenia	Systemic Lupus Erythematosus	Acute Hepatic Failure	Idiopathic Inflammatory Myopathies
Parkinson's Disease	ST Elevation Myocardial Infarction	Neonatal Hypoxic Ischemic Encephalopathy	Neurofibromatosis type 1 with Optical Pathway Glioma



# OHDSI Phenotype Library on Atlas

<https://atlas-phenotype.ohdsi.org/>

ATLAS

Home Data Sources Search Concept Sets Cohort Definitions Characterizations Cohort Pathways Incidence Rates Profiles Estimation Prediction Reusables Jobs Configuration Feedback

Apache 2.0 open source software provided by OHDSI

Cohort Definitions

New Cohort

Show columns Copy CSV Show 50 entries Filter: Search... Previous 1 2 3 4 5 ... 18 Next

ID	Name	Created	Updated	Author
965	[P] 3-point MACE	09/20/2023 8:56 AM	09/20/2023 8:56 AM	rao@ohdsi.org
964	[P] Chronic kidney disease	09/20/2023 8:52 AM	09/20/2023 8:52 AM	rao@ohdsi.org
963	[P] Vomiting	09/20/2023 8:52 AM	09/20/2023 8:52 AM	rao@ohdsi.org
961	[P] Type 2 diabetes mellitus3	09/20/2023 8:50 AM	09/20/2023 8:50 AM	rao@ohdsi.org
960	[P] Transient ischemic attack3	09/20/2023 8:50 AM	09/20/2023 8:50 AM	rao@ohdsi.org
959	[P] Thrombocytopenia3	09/20/2023 8:50 AM	09/20/2023 8:50 AM	rao@ohdsi.org
958	[P] Syncope3	09/20/2023 8:50 AM	09/20/2023 8:50 AM	rao@ohdsi.org
957	[P] Type 2 diabetes mellitus	09/20/2023 8:45 AM	09/20/2023 8:45 AM	rao@ohdsi.org
956	[P] Transient ischemic attack	09/20/2023 8:45 AM	09/20/2023 8:45 AM	rao@ohdsi.org
955	[P] Thrombocytopenia	09/20/2023 8:45 AM	09/20/2023 8:45 AM	rao@ohdsi.org
954	[P] Syncope	09/20/2023 8:45 AM	09/20/2023 8:45 AM	rao@ohdsi.org
953	[P] Sudden cardiac death	09/20/2023 8:45 AM	09/20/2023 8:45 AM	rao@ohdsi.org
950	[P] Rhabdomyolysis2	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
948	[P] Rash	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
947	[P] Neutropenia or agranulocytosis	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
946	[P] Measured renal dysfunction	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
945	[P] Malignant neoplasm	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
944	[P] Ischemic stroke	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
943	[P] Impotence	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
942	[P] Hypomagnesemia	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org
941	[P] Hypokalemia	09/20/2023 8:43 AM	09/20/2023 8:43 AM	rao@ohdsi.org



# Cohorts: The common building block of all observational analysis

- **OHDSI's definition of 'cohort':** Cohort is a set of persons who satisfy one or more inclusion criteria for a duration of time
- **Cohort era:** a continuous period during which a person has satisfied a cohort's inclusion criteria
- **Cohort definition:** the specification for how to identify a cohort

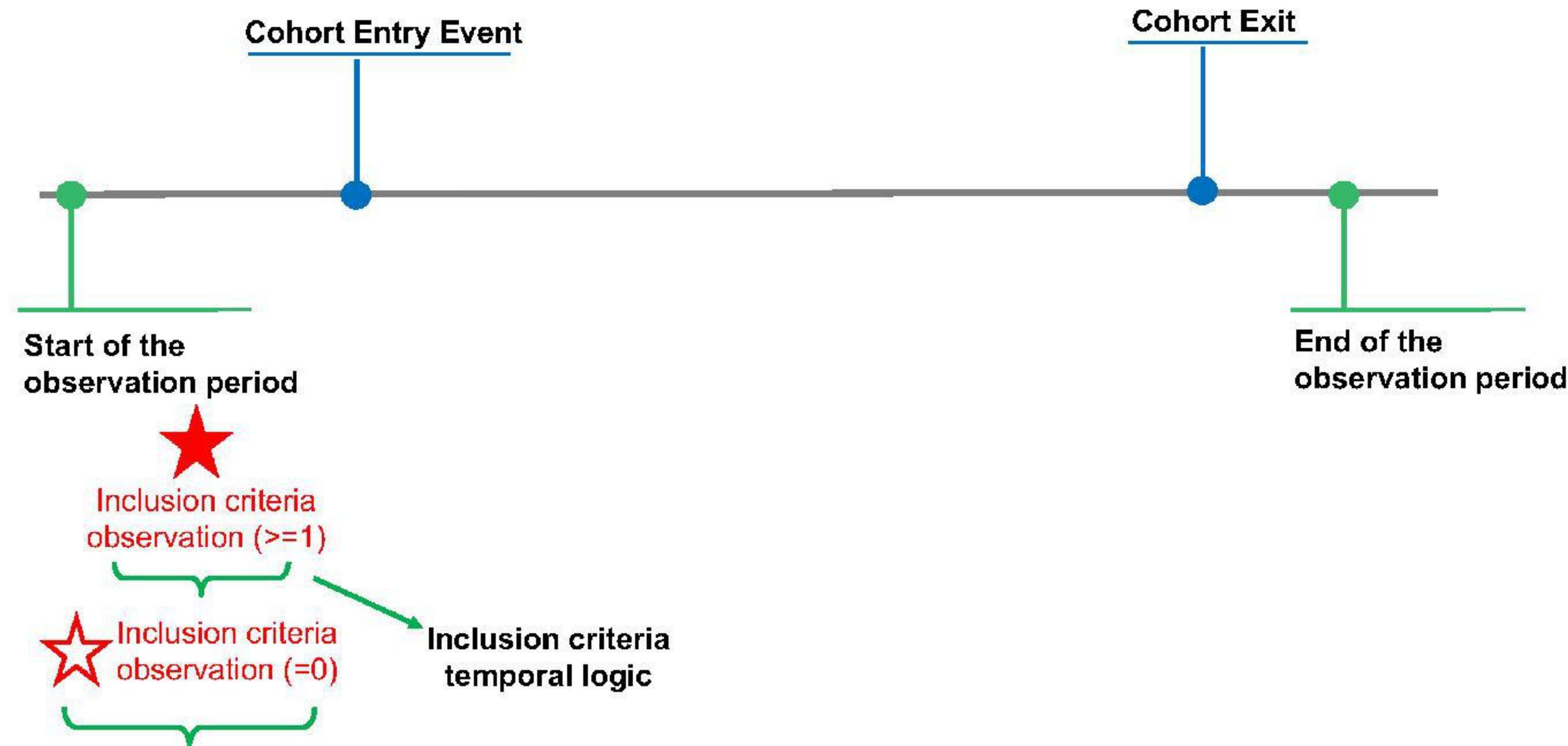
Objective consequences based on this cohort definition:

- One person may belong to multiple cohorts
- One person may belong to the same cohort at multiple different time periods
- One person may not belong to the same cohort multiple times during the same period of time
- One cohort may have **zero or more** members
- A codeset is **NOT** a cohort...

...logic for how to use the codeset in a criteria is required

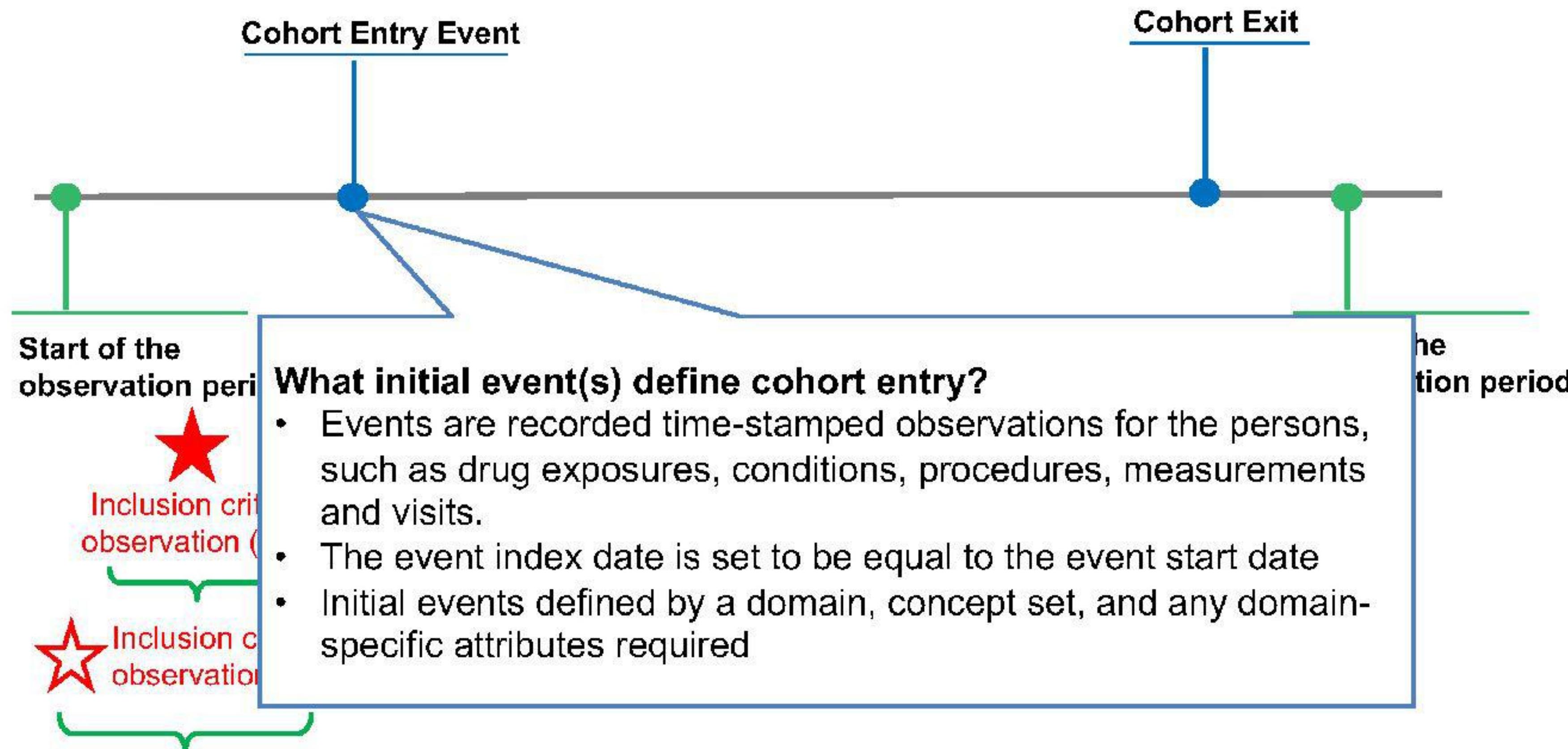


# The Anatomy of a Cohort Definition



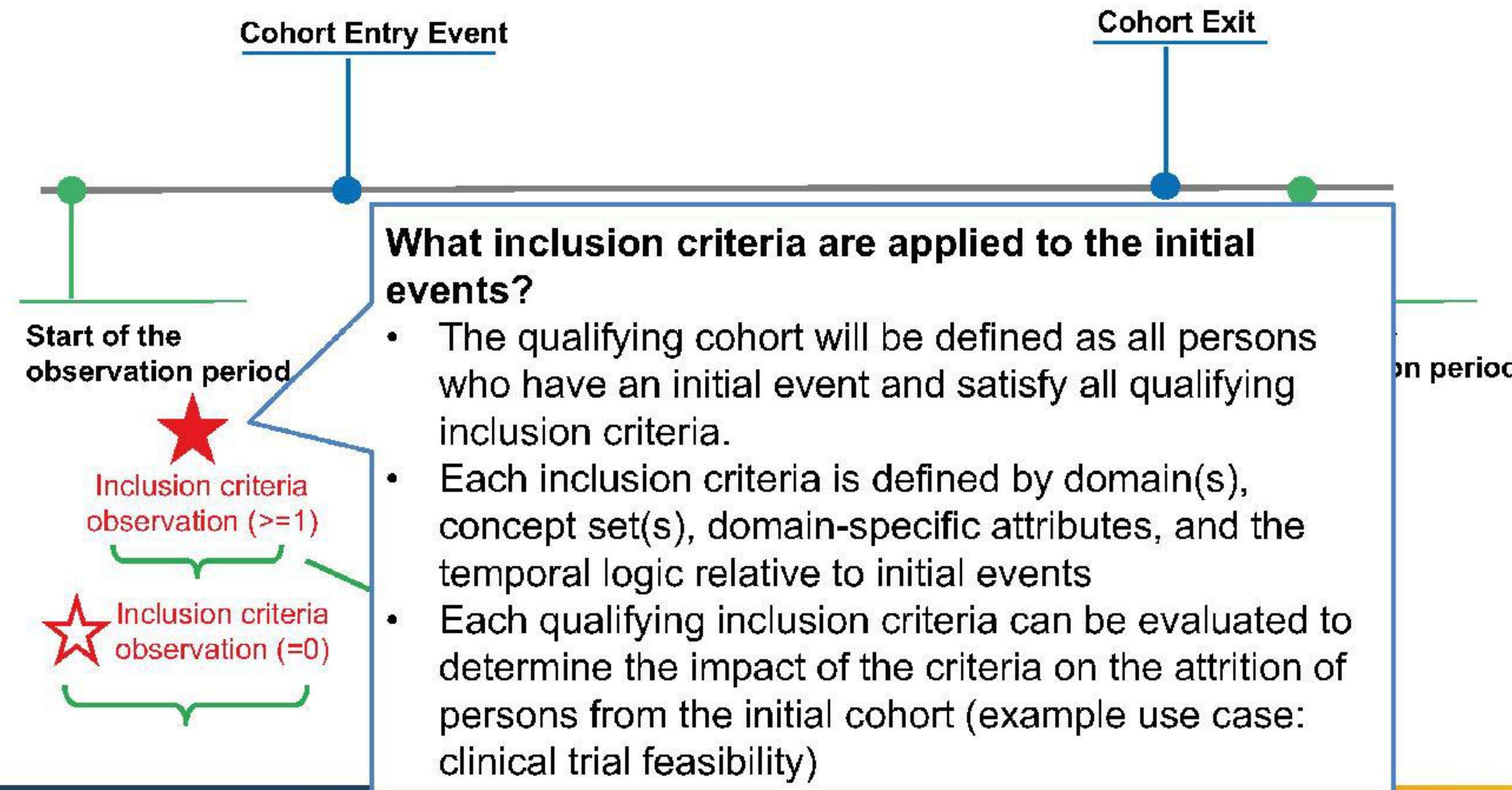


# The Anatomy of a Cohort Definition



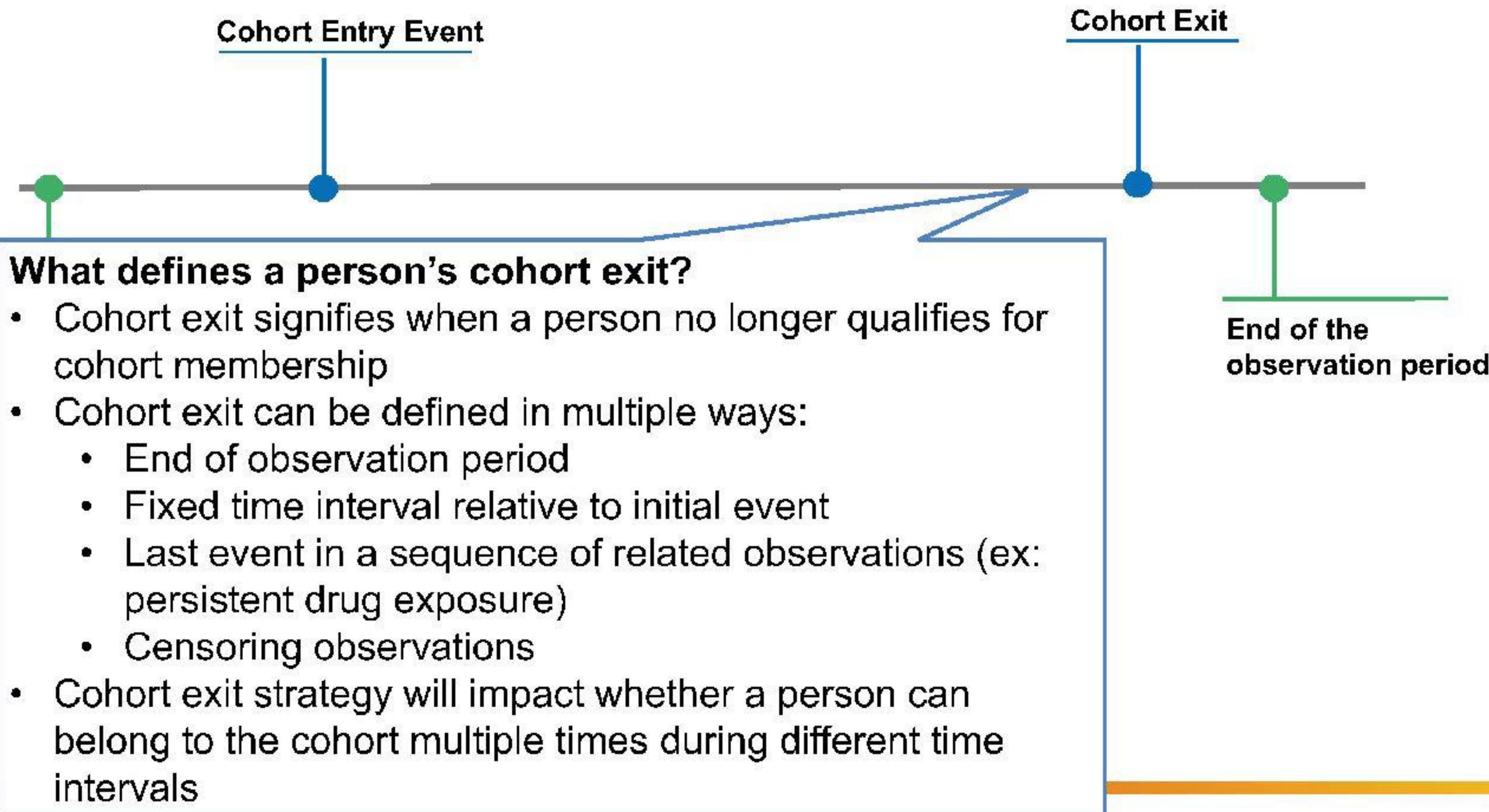


# The Anatomy of a Cohort Definition



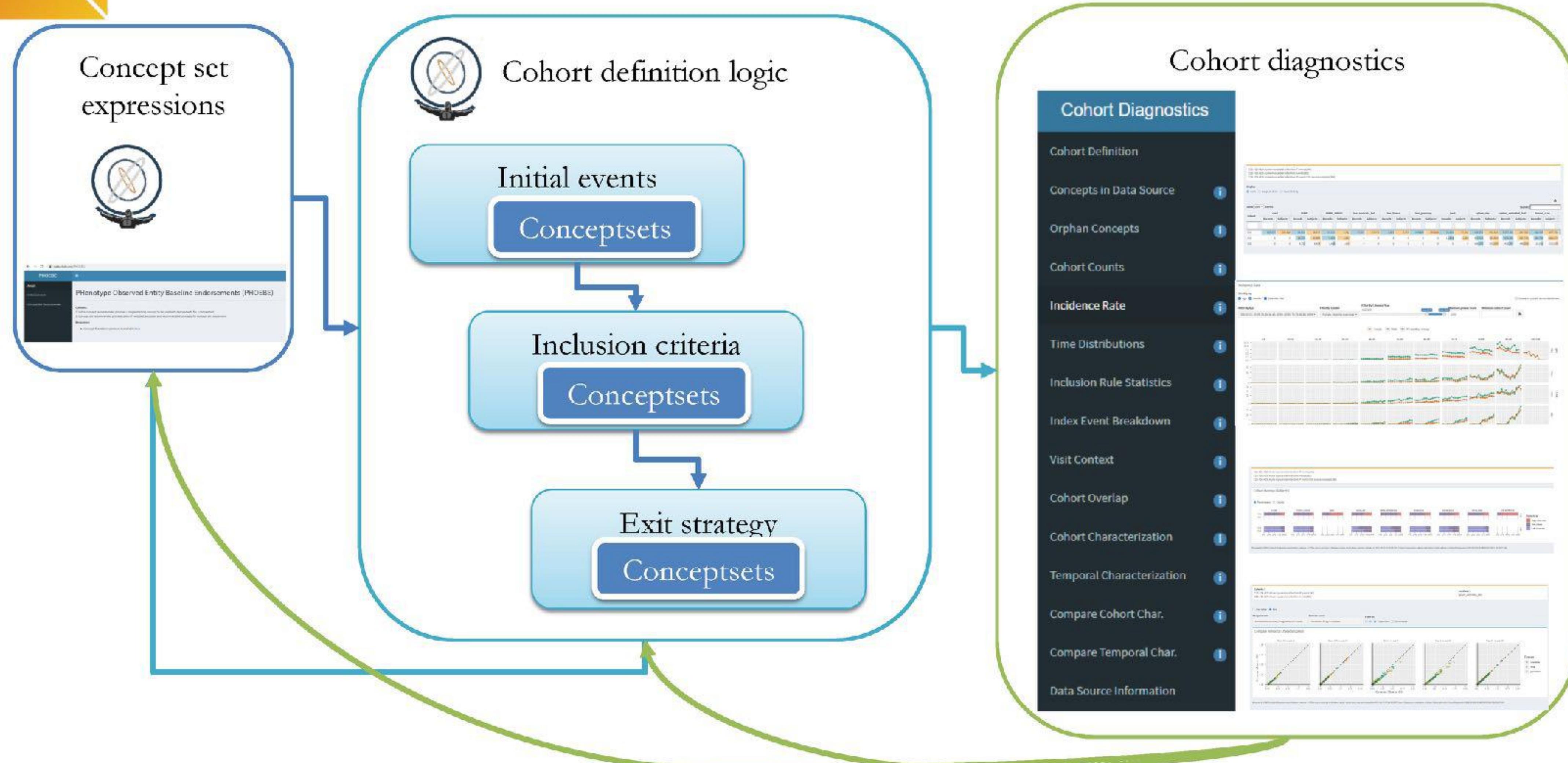


# The Anatomy of a Cohort Definition





# Phenotype development and evaluation workflow

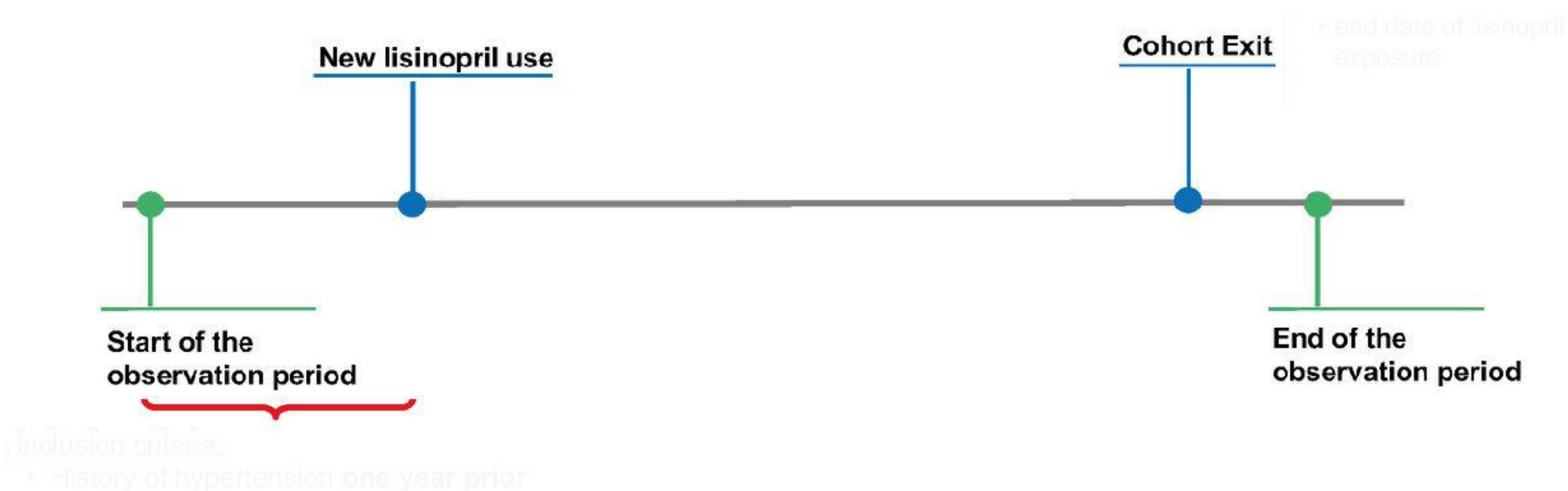


# Hands-on Practice: New Users of Lisinopril with prior Hypertension

on slide & on Atlas: <https://atlas-demo.sidata.plus/atlas>

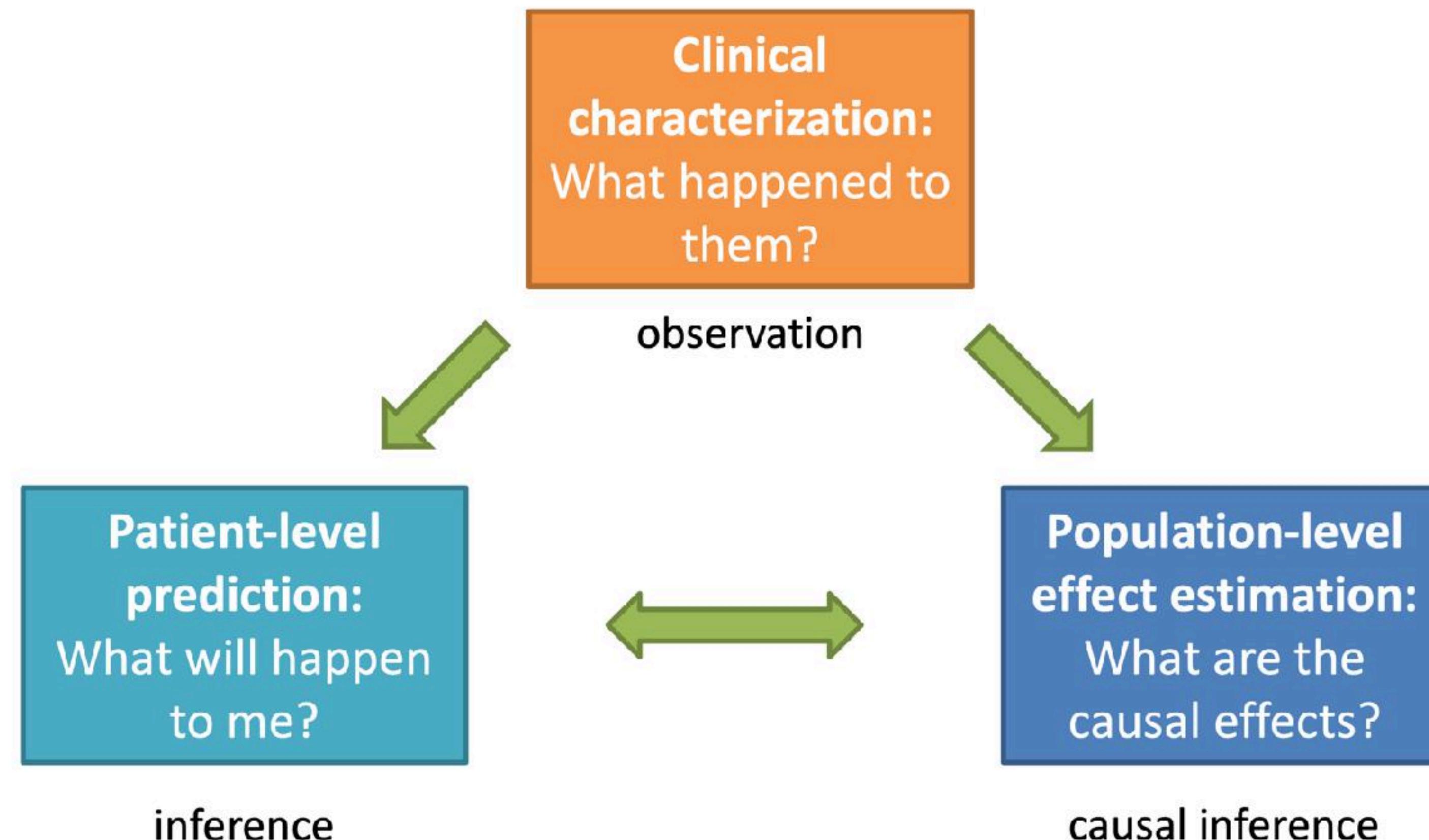


## Defining the “new users of lisinopril with prior hypertension” Cohort



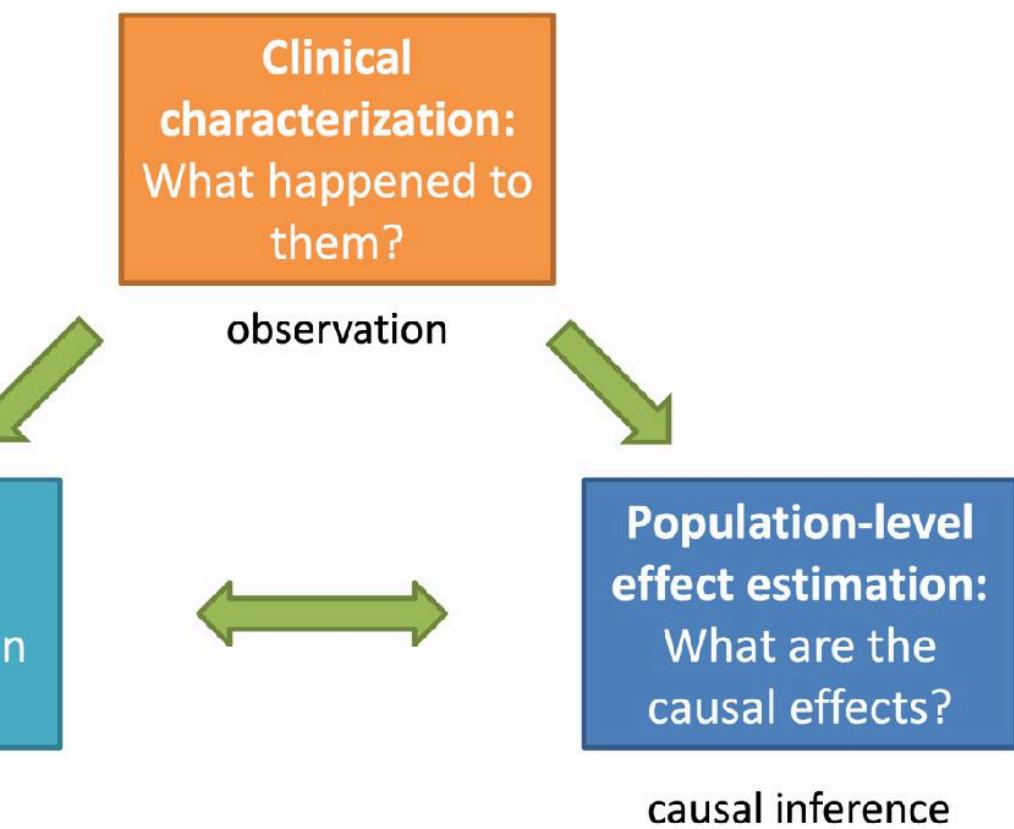
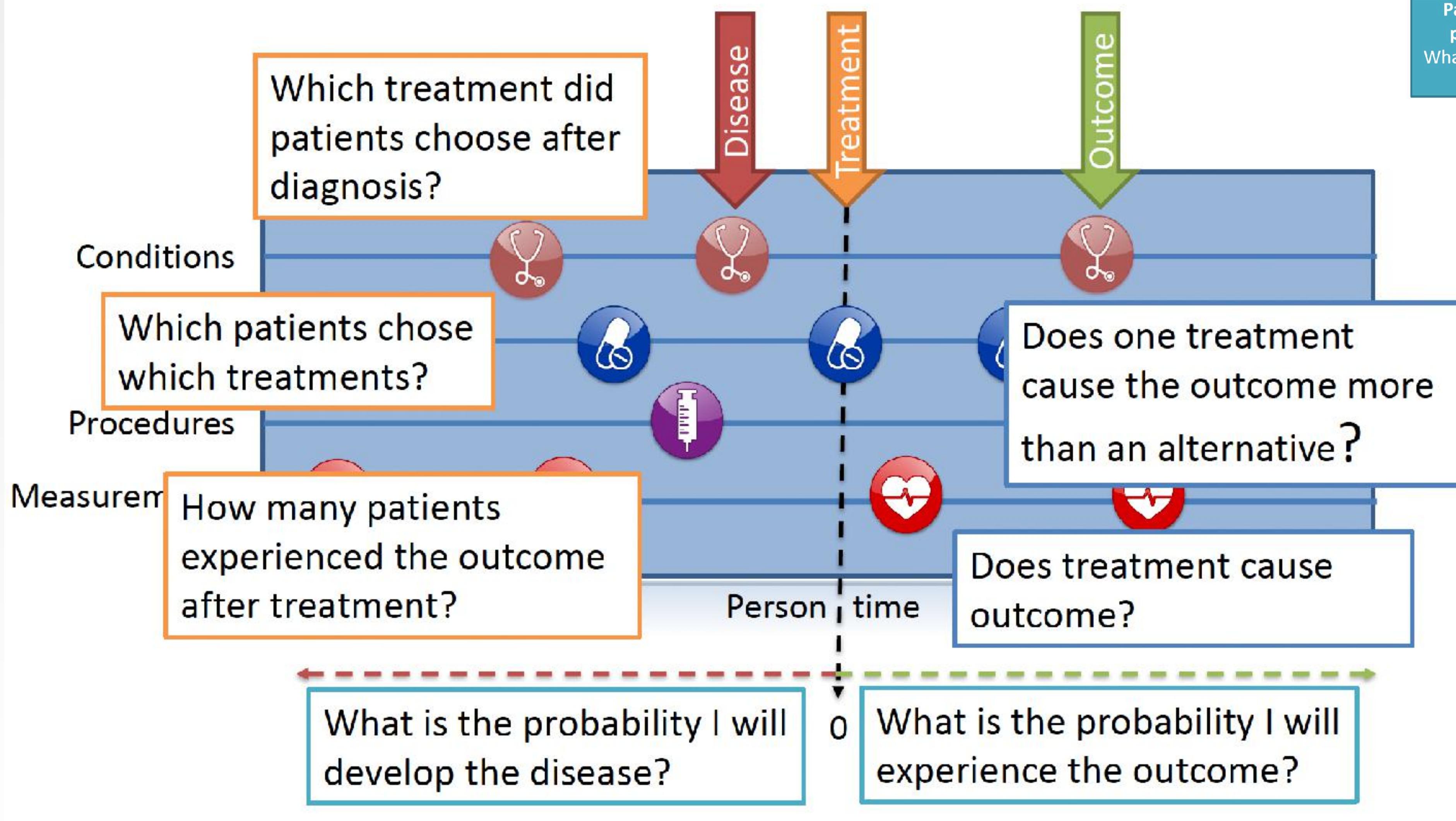


# Complementary evidence to inform the patient journey



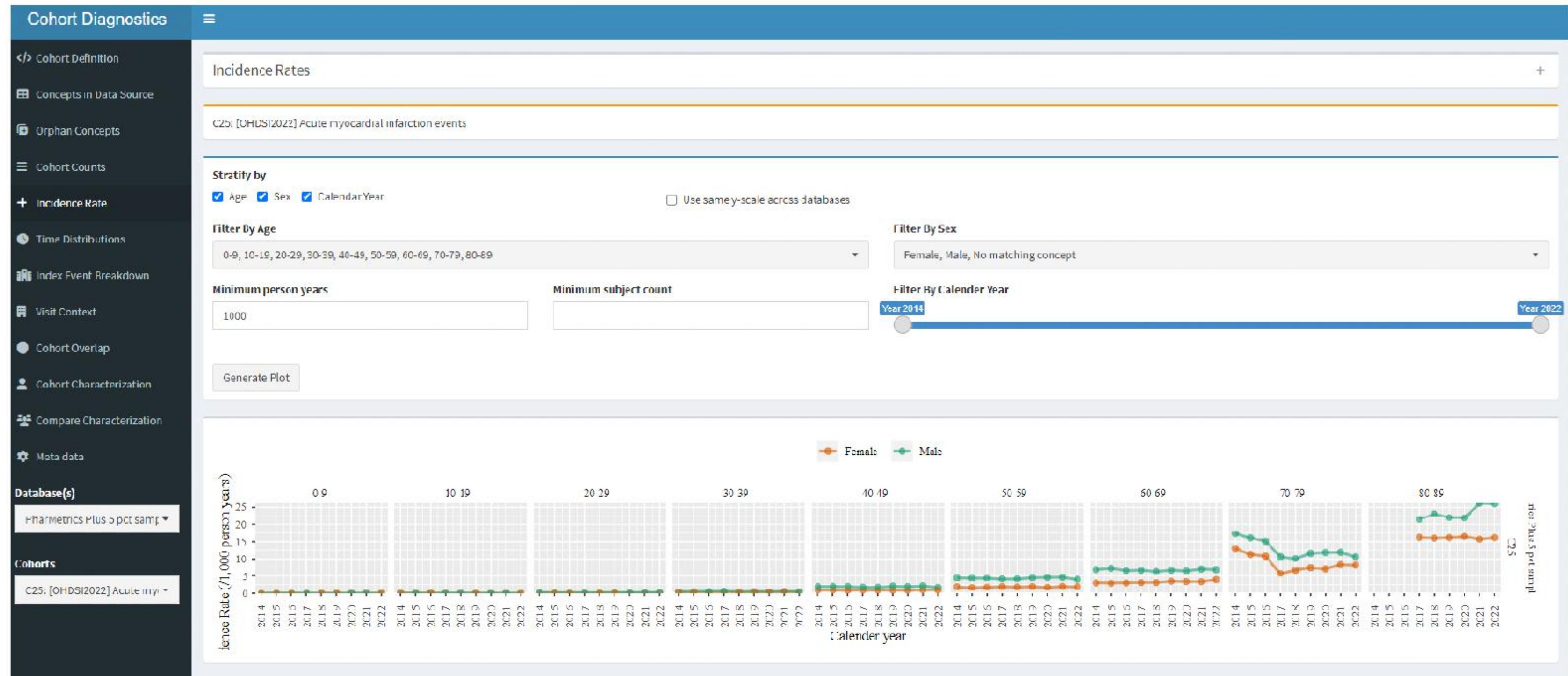


# Questions asked across the patient journey



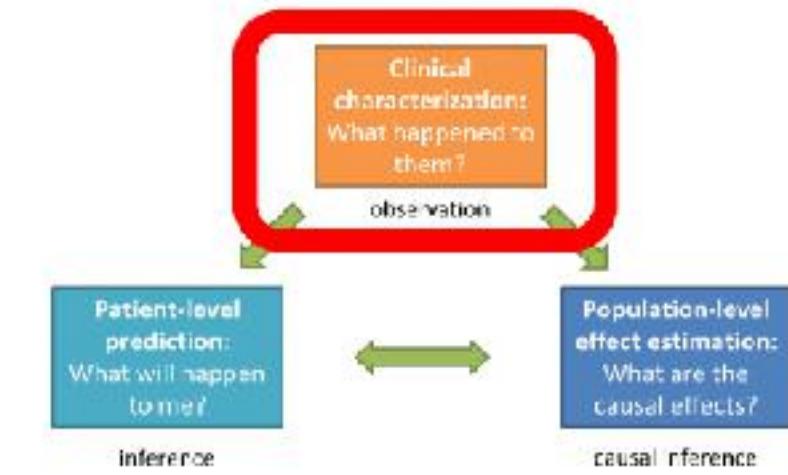


# Cohort Diagnostics – Incidence Rate





# OHDSI Characterization Framework



- Target cohort: who do you want to study?
- Stratification (pre-index): what subgroups do you want to study?
- Features of interest: what attributes do you want to look at and describe differences in?
- Time-at-risk: what windows of time do you want to describe features in?



# OHDSI in action: Clinical characterization

PNAS



COLLOQUIUM  
PAPER

## Characterizing treatment pathways at scale using the OHDSI network

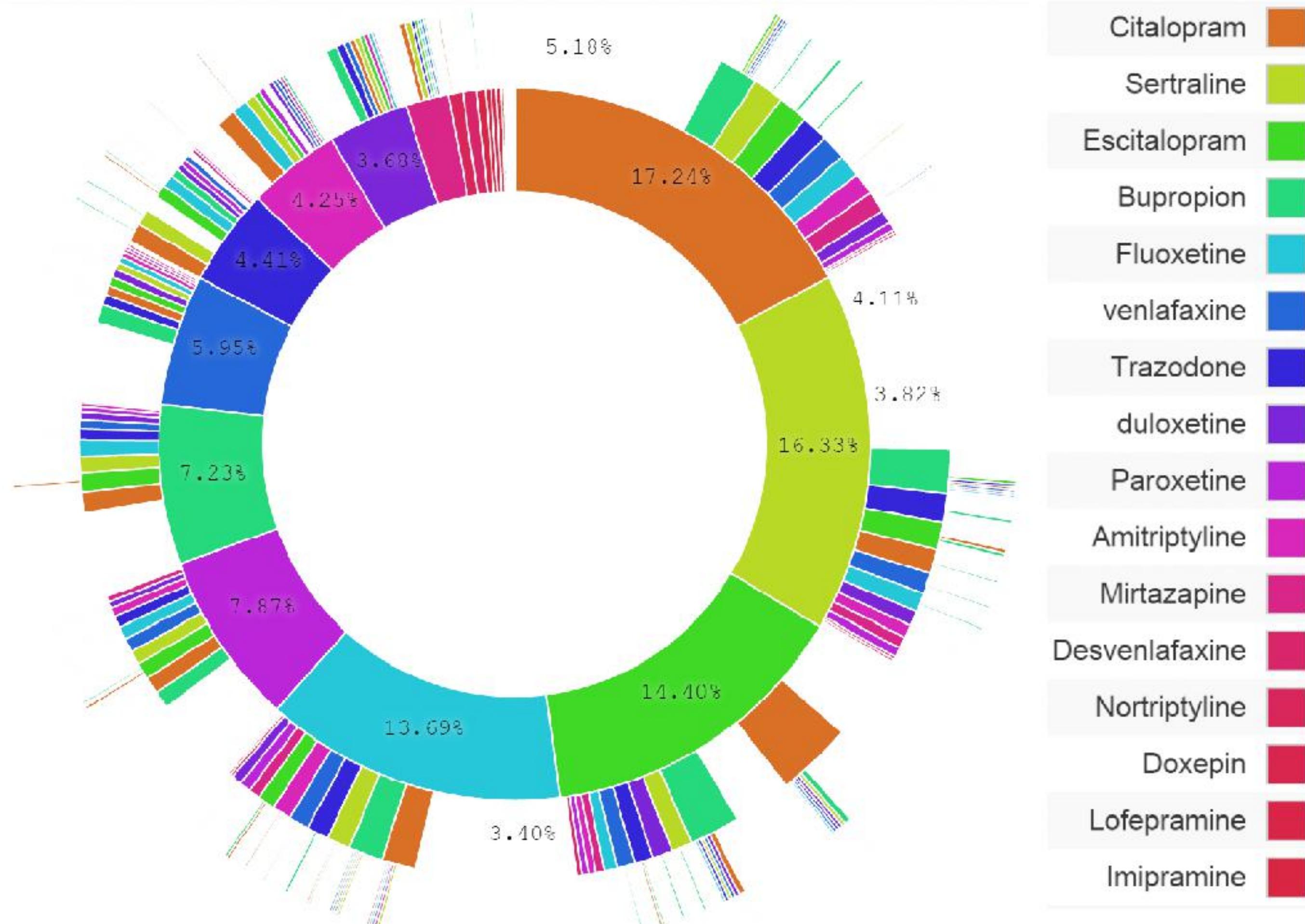
George Hripcsak<sup>a,b,c,1</sup>, Patrick B. Ryan<sup>c,d</sup>, Jon D. Duke<sup>c,e</sup>, Nigam H. Shah<sup>c,f</sup>, Rae Woong Park<sup>c,g</sup>, Vojtech Huser<sup>c,h</sup>, Marc A. Suchard<sup>c,i,j,k</sup>, Martijn J. Schuemie<sup>c,d</sup>, Frank J. DeFalco<sup>c,d</sup>, Adler Perotte<sup>a,c</sup>, Juan M. Banda<sup>c,f</sup>, Christian G. Reich<sup>c,l</sup>, Lisa M. Schilling<sup>c,m</sup>, Michael E. Matheny<sup>c,n,o</sup>, Daniella Meeker<sup>c,p,q</sup>, Nicole Pratt<sup>c,r</sup>, and David Madigan<sup>c,s</sup>

<sup>a</sup>Department of Biomedical Informatics, Columbia University Medical Center, New York, NY 10032; <sup>b</sup>Medical Informatics Services, NewYork-Presbyterian Hospital, New York, NY 10032; <sup>c</sup>Observational Health Data Sciences and Informatics, New York, NY 10032; <sup>d</sup>Epidemiology Analytics, Janssen Research and Development, Titusville, NJ 08560; <sup>e</sup>Center for Biomedical Informatics, Regenstrief Institute, Indianapolis, IN 46205; <sup>f</sup>Center for Biomedical Informatics Research, Stanford University, CA 94305; <sup>g</sup>Department of Biomedical Informatics, Ajou University School of Medicine, Suwon, South Korea, 443-380; <sup>h</sup>Lister Hill National Center for Biomedical Communications (National Library of Medicine), National Institutes of Health, Bethesda, MD 20894; <sup>i</sup>Department of Biomathematics, University of California, Los Angeles, CA 90095; <sup>j</sup>Department of Biostatistics, University of California, Los Angeles, CA 90095; <sup>k</sup>Department of Human Genetics, University of California, Los Angeles, CA 90095; <sup>l</sup>Real World Evidence Solutions, IMS Health, Burlington, MA 01809; <sup>m</sup>Department of Medicine, University of Colorado School of Medicine, Aurora, CO 80045; <sup>n</sup>Department of Biomedical Informatics, Vanderbilt University Medical Center, Nashville, TN 37212; <sup>o</sup>Geriatric Research, Education and Clinical Center, VA Tennessee Valley Healthcare System, Nashville, TN 37212; <sup>p</sup>Department of Preventive Medicine, University of Southern California, Los Angeles, CA 90089; <sup>q</sup>Department of Pediatrics, University of Southern California, Los Angeles, CA 90089; <sup>r</sup>Division of Health Sciences, University of South Australia, Adelaide, SA, Australia 5001; and <sup>s</sup>Department of Statistics, Columbia University, New York, NY 10027

Edited by Richard M. Shiffrin, Indiana University, Bloomington, IN, and approved April 5, 2016 (received for review June 14, 2015)



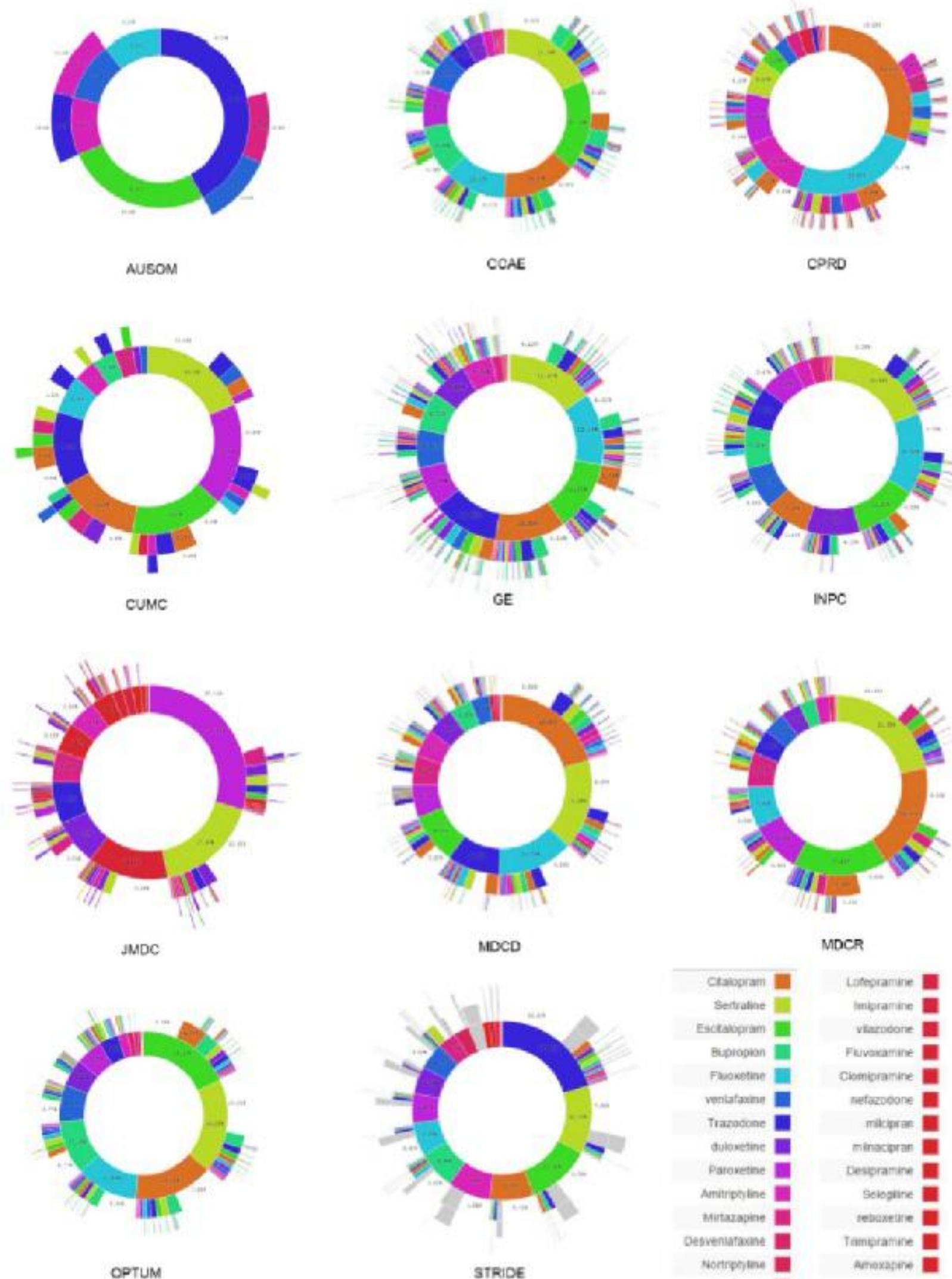
# How are patients with major depressive disorder *ACTUALLY* treated?



Hripcsak et al, PNAS, 2016



# How are patients with major depressive disorder *ACTUALLY* treated?



- Substantial variation in treatment practice across data sources, health systems, geographies, and over time
- Consistent heterogeneity in treatment choice as no source showed one preferred first-line treatment
- 11% of depressed patients followed a treatment pathway that was shared with no one else in any of the databases

Hripcsak et al, PNAS, 2016

Citalopram	Lofeprazine
SSRIs	Imipramine
SNRIs	vilazodone
TCAs	Fluvoxamine
MAOIs	Cloimpramine
Other Antidepressants	nefazodone
	milnacipran
	milnacipran
	Desipramine
	Selegiline
	reboxetine
	Tramipramine
	Amitriptyline



# Hands-on: Characterization of Lisinopril users

on Atlas <https://atlas-demo.sidata.plus/atlas>

ATLAS

- Home
- Data Sources
- Search
- Concept Sets
- Cohort Definitions
- Characterizations
- Cohort Pathways
- Incidence Rates
- Profiles
- Estimation
- Prediction
- Reusables
- Jobs
- Configuration
- Feedback

Apache 2.0  
open source software  
provided by  
 OHDSI  
join the journey

Characterization #2  
created by username1 on 2023-09-18 10:25  
Lisinopril users-all analyses

Design Concept Sets Executions Utilities Versions Messages

Enter the characterization description here

**Cohort characterization** is defined as the process of generating cohort level descriptive summary statistics from person level covariate data. Summary statistics of these person level covariates may be count, mean, sd, var, min, max, median, range, and quantiles. In addition, covariates during a period may be stratified into temporal units of time for time-series analysis such as fixed intervals of time relative to cohort\_start\_date (e.g. every 7 days, every 30 days etc.), or in absolute calendar intervals such as calendar-week, calendar-month, calendar-quarter, calendar-year.

**Cohort definition**

Import

Show 10 entries Filter: Search...

ID	Name	Edit cohort	Remove
4	new users of lisinopril with prior hypertension	Edit cohort	Remove

Showing 1 to 1 of 1 entries Previous 1 Next

**Feature analyses**

Import

Show 10 entries Filter: Search...

ID	Name	Description	Actions
1	Measurement Range Group Short Term	Covariates indicating whether measurements are below, within, or above normal range in the short term window.	Remove
2	Condition Group Era Start Long Term	One covariate per condition era rolled up to groups in the condition_era table starting in the long term window.	Remove
3	Drug Group Era Start Medium Term	One covariate per drug rolled up to ATC groups in the drug_era table starting in the medium term	Remove

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# OHDSI Tools: Patient-level Prediction

Supported by



ศูนย์ความเป็นเลิศด้านชีววิทยาศาสตร์ (องค์การมหาชน)  
Thailand Center of Excellence for Life Sciences  
(Public Organization)



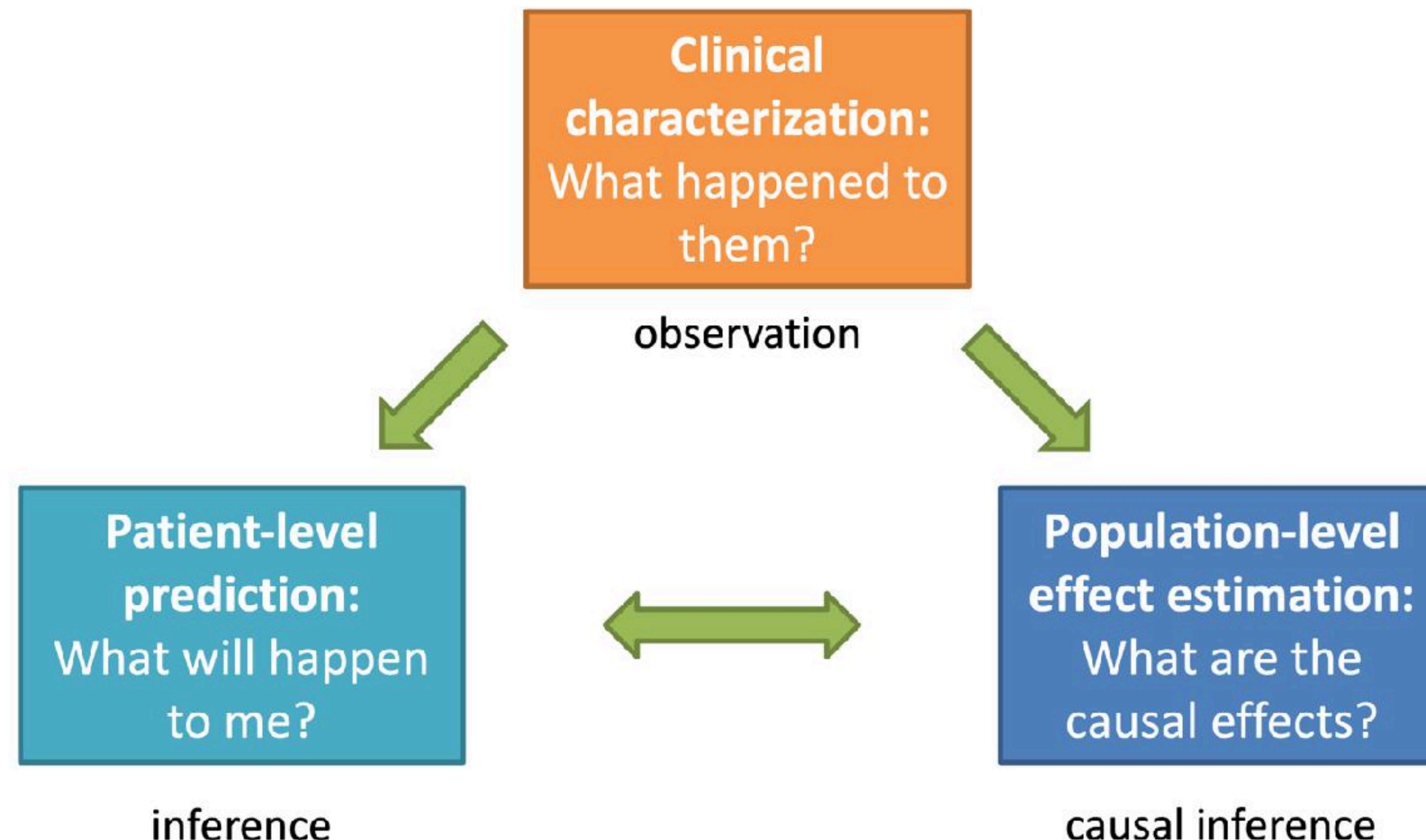
# Session Overview

## OHDSI Tools: Patient-level Prediction @ 14:45 – 15:45 (60 min)

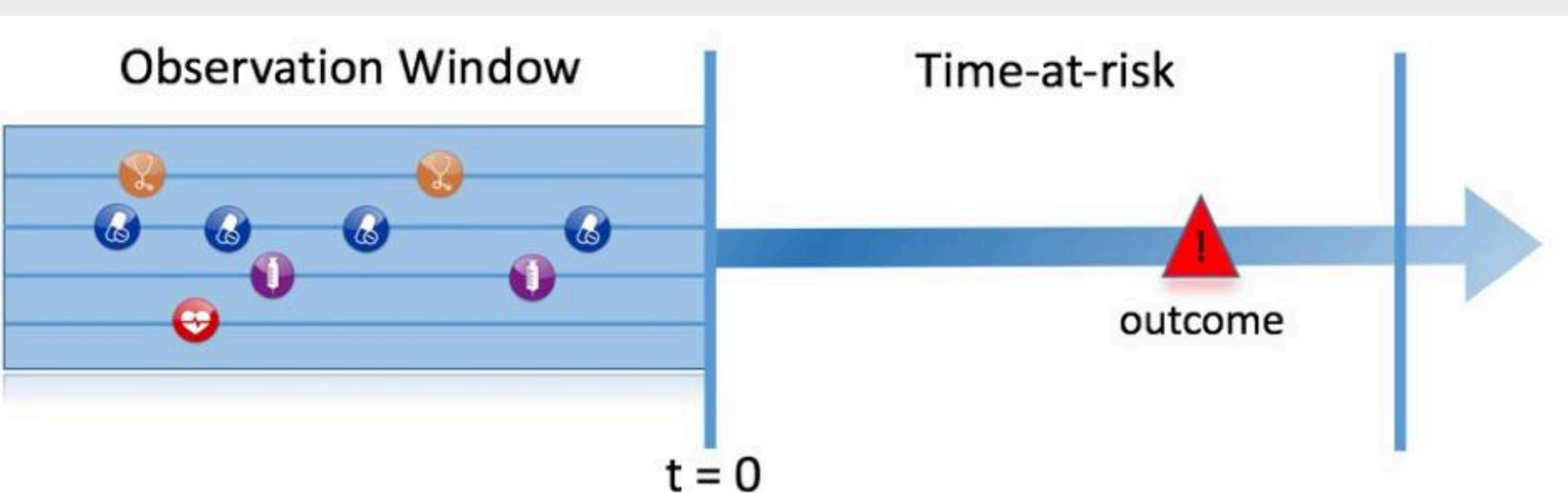
Why? Background & Questions	How? Methods & Materials	What? Objectives
<input type="checkbox"/> How can we make a prediction at patient-level?	<ul style="list-style-type: none"><li>◆ Introduce types of predictive models</li><li>◆ OHDSI tools for patient-level prediction</li><li>◆ Hands-on: Develop a simple prediction model in Atlas</li><li>◆ Most of slides from OHDSI2022 Tutorial session 7: <a href="https://www.ohdsi.org/ohdsi2022-tutorial/">https://www.ohdsi.org/ohdsi2022-tutorial/</a></li></ul>	<ul style="list-style-type: none"><li>★ Understand different types of prediction models</li><li>★ Acquire hands-on experience in developing a predictive model using Atlas</li></ul>



# Complementary evidence to inform the patient journey



## Observation Window



Type	Structure	Example
Disease onset and progression	Amongst patients who are newly diagnosed with <insert your favorite disease>, which patients will go on to have <another disease or related complication> within <time horizon from diagnosis>?	Among newly diagnosed AFib patients, which will go onto to have ischemic stroke in next 3 years?
Treatment choice	Amongst patients with <indicated disease> who are treated with either <treatment 1> or <treatment 2>, which patients were treated with <treatment 1> (on day 0)?	Among AFib patients who took either warfarin or rivaroxaban, which patients got warfarin? (as defined for propensity score model)
Treatment response	Amongst patients who are new users of <insert your favorite chronically-used drug>, which patients will <insert desired effect> in <time window>?	Which patients with T2DM who start on metformin stay on metformin after 3 years?
Treatment safety	Amongst patients who are new users of <insert your favorite drug>, which patients will experience <insert your favorite known adverse event from the drug profile> within <time horizon following exposure start>?	Among new users of warfarin, which patients will have GI bleed in 1 year?
Treatment adherence	Amongst patients who are new users of <insert your favorite chronically-used drug>, which patients will achieve <adherence metric threshold> at <time horizon>?	Which patients with T2DM who start on metformin achieve $\geq 80\%$ proportion of days covered at 1 year?



# Prediction task specification

Component	Description
Target population (T):	Who do you want to do the prediction for?
Outcome (O):	What are you predicting?
Time-at-risk (TAR):	When are you predicting?



# Demo: Patient-level Prediction

Predicting acute myocardial infarction among lisinopril new users

ATLAS

English | username1

Home Data Sources Search Concept Sets Cohort Definitions Characterizations Cohort Pathways Incidence Rates Profiles Estimation Prediction Reusables Jobs

Apache 2.0 open source software provided by OHDSI join the journey

Patient Level Prediction #4  
created by username1 on 2023-09-20 8:55, modified by username1 on 2023-09-20 8:55  
Predicting acute myocardial infarction among lisinopril new users

Specification Utilities Messages

enter a description here (1000 characters max)

VIEW: All Prediction Problem Settings Analysis Settings Execution Settings Training Settings

Prediction Problem Settings

Target Cohorts + Add Target Cohort

Show 10 entries Filter: Search...

Remove Name

[OHDSI2022] New users of lisinopril with prior hypertension

Showing 1 to 1 of 1 entries Previous 1 Next

# Best Practice Research

Jenna Reps, Peter R. Rijnbeek

2023-08-28

Source: vignettes/BestPractices.rmd

## Contents

Best practice publications using the OHDSI PatientLevelPrediction framework

## Best practice publications using the OHDSI PatientLevelPrediction framework

Topic	Research Summary	Link
Problem Specification	When is prediction suitable in observational data?	Guidelines needed
Data Creation	Comparison of cohort vs case-control design	<a href="#">Journal of Big Data</a>
Data Creation	Addressing loss to follow-up (right censoring)	<a href="#">BMC medical informatics and decision making</a>
Data Creation	Investigating how to address left censoring in features construction	<a href="#">BMC Medical Research Methodology</a>
Data Creation	Impact of over/under-sampling	Study being developed
Data Creation	Impact of phenotypes	Study Done - Paper submitted
Model development	How much data do we need for prediction - Learning curves at scale	<a href="#">Preprint link</a>
Model development	What impact does test/train/validation design have on model performance	<a href="#">BMJ Open</a>
Model development	What is the impact of the classifier	<a href="#">JAMIA</a>

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# Data Governance for Research

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Thailand Center of Excellence for Life Sciences  
(Public Organization)



# Session Overview

Data Governance for Research @ 15:45 – 16:15 (30 min)

Why? Background & Questions	How? Methods & Materials	What? Objectives
<ul style="list-style-type: none"><li><input type="checkbox"/> What are the ethical considerations for data in healthcare?</li><li><input type="checkbox"/> How does governance impact data quality and research integrity?</li></ul>	<ul style="list-style-type: none"><li>◆ Principles of Data Governance</li><li>◆ OMOP/OHDSI compliant governance practices</li></ul>	<ul style="list-style-type: none"><li>★ Comprehend the criticality of data governance in healthcare research</li><li>★ Understand how OMOP/ OHDSI complies with data governance norms</li></ul>

# Data Governance

## Promotion

*Support and Enhance  
Data Uses*

What are the data we have?

Where are the data?

How are the data collected and used?

Whose data is it?

How can we improve data quality?

How can we make the data more valuable?



### Deliverables

Policies, Procedures,  
Data Catalog,  
Metadata,  
Data Lineage,  
Data Quality Assurance

## Regulation

*Govern and Take Care of  
Data Uses*

How can we use the data given legal  
regulations & ethics guidelines?

How can we facilitate external parties'  
usage of our data assets given  
intellectual property & legal  
considerations?



# OHDSI Data Quality Dashboard

<https://ohdsi.github.io/DataQualityDashboard/>

The diagram illustrates the data pipeline. On the left, a yellow cylinder represents the **OMOP CDM**. An arrow points from it to a grey circle containing a blue 'R', representing the R programming language. Another arrow points from the 'R' to the **SYNTHETIC HEALTH DATABASE** dashboard.

**DATA QUALITY ASSESSMENT**

**SYNTHETIC HEALTH DATABASE**

DataQualityDashboard Version: 2.0.0.100  
Results generated at 2022-10-12 10:45:28 in 15 mins

	Verification				Validation				Total			
	Pass	Fail	Total	% Pass	Pass	Fail	Total	% Pass	Pass	Fail	Total	% Pass
Plausibility	2179	36	2215	98%	287	0	287	100%	2466	36	2502	99%
Conformance	996	11	1007	99%	180	0	180	100%	1176	11	1187	99%
Completeness	415	33	448	93%	12	4	16	75%	427	37	464	92%
Total	3590	80	3670	98%	479	4	483	99%	4069	84	4153	98%

2752 out of 4069 passed checks are Not Applicable, due to empty tables or fields.  
1 out of 84 failed checks are SQL errors.  
Corrected pass percentage for NA and Errors: 94% (1317/1400).



# A Harmonized Data Quality Assessment Terminology and Framework for the Secondary Use of Electronic Health Record Data

Michael G. Kahn, MD, PhD;<sup>i</sup> Tiffany J. Callahan, MPH;<sup>i</sup> Juliana Barnard, MA;<sup>i</sup> Alan E. Bauck;<sup>ii</sup> Jeff Brown, PhD;<sup>iii</sup> Bruce N. Davidson, PhD;<sup>iv</sup> Hossein Estiri, PhD;<sup>v</sup> Carsten Goerg, PhD;<sup>vi</sup> Erin Holve, PhD, MPH, MPP;<sup>vi</sup> Steven G. Johnson, MS;<sup>vii</sup> Siaw-Teng Liaw, MBBS, PhD, FRACGP, FACHI;<sup>viii</sup> Marianne Hamilton-Lopez, PhD, MPA;<sup>ix</sup> Daniella Meeker, PhD;<sup>x</sup> Toan C. Ong, PhD;<sup>xi</sup> Patrick Ryan, PhD;<sup>xii</sup> Ning Shang, PhD;<sup>xiii</sup> Nicole G. Weiskopf, PhD;<sup>xiv</sup> Chunhua Weng, PhD, FACMI;<sup>xv</sup> Meredith N. Zozus, PhD;<sup>xvi</sup> Lisa Schilling, MD<sup>xii</sup>

## ABSTRACT

**Objective:** Harmonized data quality (DQ) assessment terms, methods, and reporting practices can establish a common understanding of the strengths and limitations of electronic health record (EHR) data for operational analytics, quality improvement, and research. Existing published DQ terms were harmonized to a comprehensive unified terminology with definitions and examples and organized into a conceptual framework to support a common approach to defining whether EHR data is ‘fit’ for specific uses.

**Table 1. Harmonized DQ Terms, Definitions, and Examples: Organized by Verification and Validation Contexts Within Categories and Subcategories**

VERIFICATION		VALIDATION	
DEFINITION	EXAMPLE	DEFINITION	EXAMPLE
<b>CONFORMANCE: DO DATA VALUES ADHERE TO SPECIFIED STANDARDS AND FORMATS?</b>			
<b>VALUE CONFORMANCE</b>			
a. Data values conform to internal formatting constraints.  b. Data values conform to allowable values or ranges.	a. Sex is only one ASCII character.  b. Sex only has values "M," "F," or "U."	a. Data values conform to representational constraints based on external standards.	a. Values for primary language conform to ISO standards.
<b>RELATIONAL CONFORMANCE</b>			
a. Data values conform to relational constraints.  b. Unique (key) data values are not duplicated.  c. Changes to the data model or data model versioning.	a. Patient medical record number links to other tables as required.  b. A medical record number is assigned to a single patient.  c. Version 1 data does not include medical discharge hour.	a. Data values conform to relational constraints based on external standards.	a. Data values conform to all non-NULL requirements in a common multi-institutional data exchange format.
<b>COMPUTATIONAL CONFORMANCE</b>			
a. Computed values conform to computational or programming specifications.	a. Database- and hard-calculated Body Mass Index (BMI) values are identical.	a. Computed results based on published algorithms yield values that match validation values provided by external source.	a. Computed BMI percentiles yield identical values compared to test results and values provided by the CDC.
<b>COMPLETENESS: ARE DATA VALUES PRESENT?</b>			
a. The absence of data values at a single moment in time agrees with local or common expectations.  b. The absence of data values measured over time agrees with local or common expectations.	a. The encounter ID variable has missing values.  b. Gender should not be null.  c. Medical discharge time is missing for three consecutive days.	a. The absence of data values at a single moment in time agrees with trusted reference standards or external knowledge.  b. The absence of data values measured over time agrees with trusted reference standards or external knowledge.	a. The current encounter ID variable is missing twice as many values as the institutionally validated database.  b. A drop in ICD-9CM codes matches implementation of ICD-10CM
<b>PLAUSIBILITY: ARE DATA VALUES BELIEVABLE?</b>			
<b>UNIQUENESS PLAUSIBILITY</b>			
a. Data values that identify a single object are not duplicated.	a. Patients from a single institution do not have multiple medical record numbers.	a. Data values that identify a single object in an external source are not duplicated.	a. An institution's CMS facility identifier does not refer to a multiple institutions.



**Table 1. Harmonized DQ Terms, Definitions, and Examples: Organized by Verification and Validation Contexts Within Categories and Subcategories (Cont'd)**

VERIFICATION		VALIDATION	
DEFINITION	EXAMPLE	DEFINITION	EXAMPLE
<b>ATEMPORAL PLAUSIBILITY</b>			
a. Data values and distributions agree with an internal measurement or local knowledge.	a. Height and weight values are positive.	a. Data values and distributions (including subgroup distributions) agree with trusted reference standards or external knowledge.	a. HbA1c values from hospital and national reference lab are statistically similar under the same conditions.
b. Data values and distributions for independent measurements of the same fact are in agreement.	a. Counts of unique patients by diagnoses are as expected	b. Similar values for identical measurements are obtained from two independent databases representing the same observations with equal credibility.	a. Distribution of patients with cardiovascular disease diagnoses are similar to CDC rates for the same age and sex groups
c. Logical constraints between values agree with local or common knowledge (includes "expected" missingness).	a. Distribution of encounters per patient or medications per encounter distributions are as expected	c. Two dependent databases (e.g., database 1 abstracted from database 2) yield similar values for identical variables.	a. Readmission rates by age groups for Medicare patients agree with CMS values
d. Values of repeated measurement of the same fact show expected variability.	b. Serum glucose measurement is similar to finger stick glucose measurement. b. Oral and axillary temperatures are similar. c. Sex values agree with sex-specific contexts (pregnancy, prostate cancer). d. Height values are similar when taken by two separate nurses within the same facility using the same equipment.	b. Serum glucose measurement is similar to finger stick glucose measurement. b. Oral and axillary temperatures are similar. c. Sex values agree with sex-specific contexts (pregnancy, prostate cancer). d. Height values are similar when taken by two separate nurses within the same facility using the same equipment.	b. Diabetes ICD-9CM and CPT codes are similar between two independent claims databases serving similar populations.  c. Recorded date of birth is consistent between EHR data and registry data for the same patient.
<b>TEMPORAL PLAUSIBILITY</b>			
a. Observed or derived values conform to expected temporal properties.	a. Admission date occurs before discharge date.	a. Observed or derived values have similar temporal properties across one or more external comparators or gold standards.	a. Length of stay by outpatient procedure types conforms to Medicare data for similar populations.
b. Sequences of values that represent state transitions conform to expected properties.	b. Date of an initial immunization precedes date of a booster immunization.	b. Sequences of values that represent state transitions are similar to external comparators or gold standards.	b. Immunization sequences match the CDC recommendations.
c. Measures of data value density against a time-oriented denominator are expected <i>based on internal knowledge</i> .	c. Similar counts of patient observations between extraction-transformation-load cycles. c. Counts of emergency room visits by month shows expected spike during flu season. c. Medications per patient-day are as expected	c. Measures of data value density against a time-oriented denominator are expected <i>based on external knowledge</i> .	c. Counts of emergency room visits by month shows spike during flu season that are similar to local health department reports. c. Medications per patient-day matches claims data.

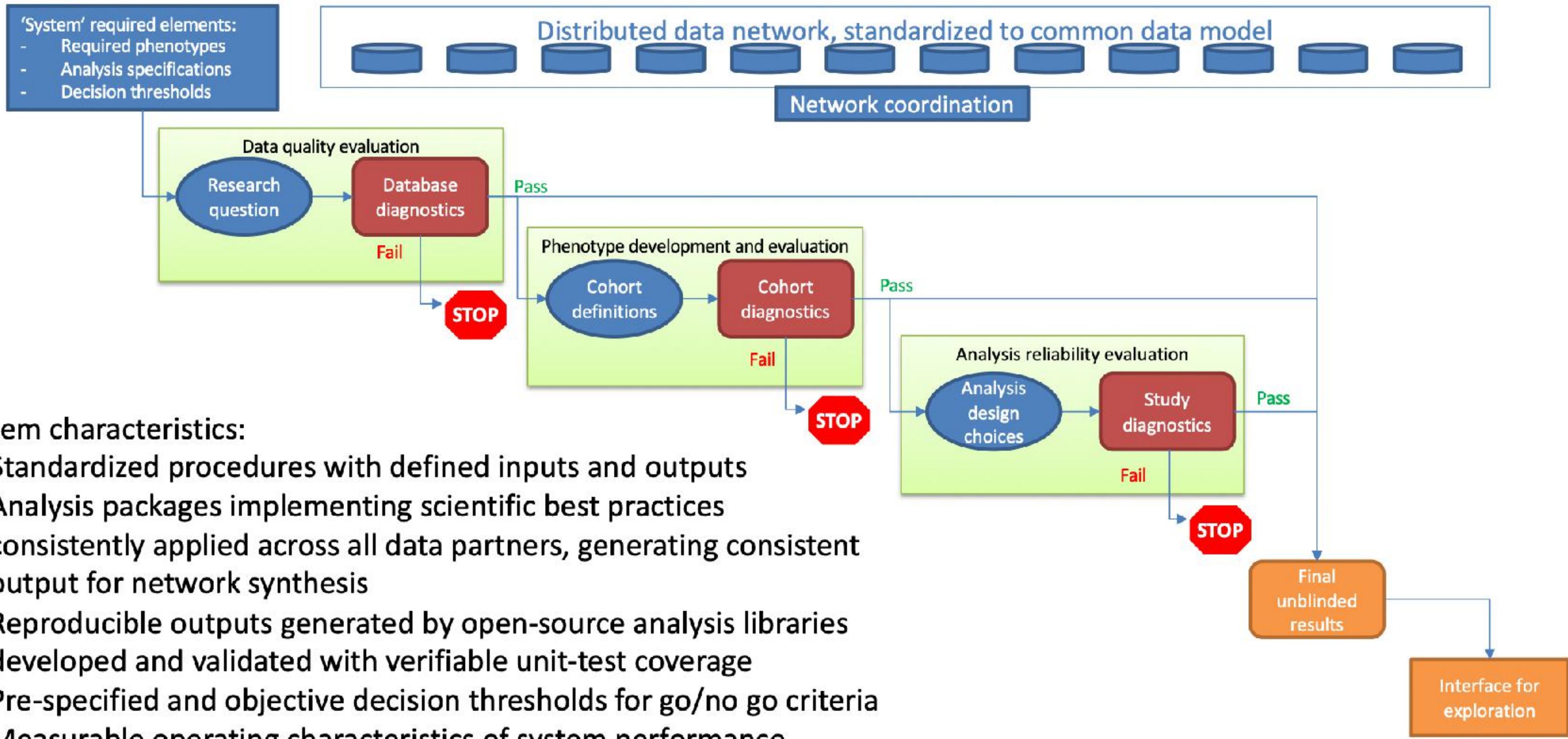
Notes: The lettering in each column can be used to map each definition to its corresponding example. Not every definition has a corresponding example.

Extract, Transform, Load ETL (ETL); International Organization for Standardization (ISO); Electronic Health Record (EHR) Data; International Classification of Diseases, Ninth and Tenth Revisions (ICD-9CM and ICD-10CM); Current Procedural Terminology (CPT); Centers for Medicare & Medicaid Services (CMS); Centers for Disease Control and Prevention (CDC).





# Engineering open science systems that build trust into the real-world evidence generation and dissemination process





# Secondary Use of Health Data

European review by Open Data Institute





# Secondary Use of Health Data

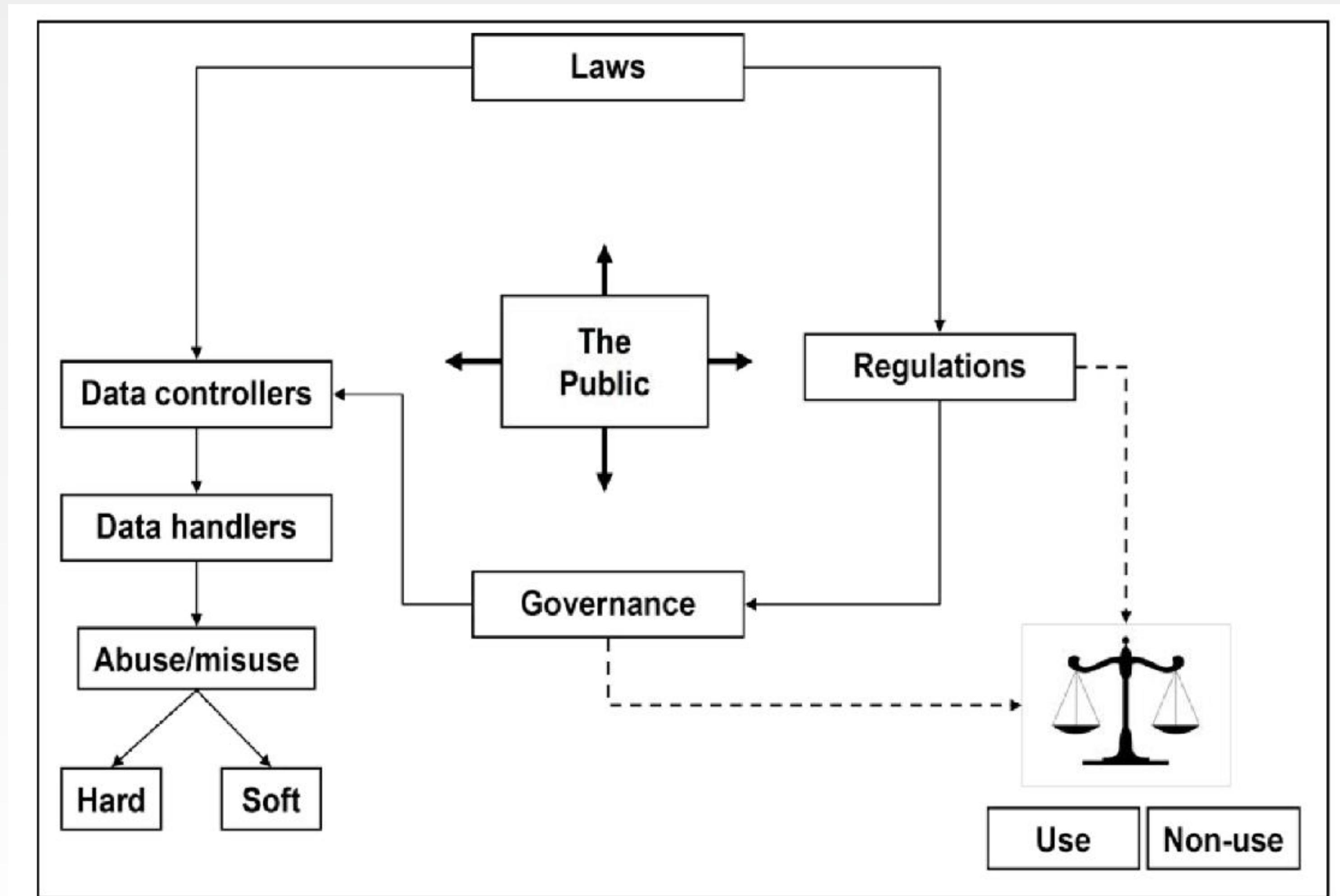
National example from UK

ในสหราชอาณาจักร เมื่อปี 2022 กระทรวง Health and Social Care ได้จัดทำการศึกษาและสรุปคำแนะนำการใช้ข้อมูลสุขภาพเพื่อการวิเคราะห์และวิจัย ในสหราชอาณาจักร ชื่อว่า "Better, broader, safer: using health data for research and analysis" หรือเรียกอย่างไม่เป็นทางการว่า Goldacre Review ตามชื่อของประธานคณะกรรมการศึกษา Professor Ben Goldacre จากมหาวิทยาลัย Oxford โดยแบ่งคำแนะนำไว้เป็น 6 ด้าน

1. Platforms and security
2. Modern, open working methods for NHS data
3. Data curation and knowledge management
4. NHS data analysts
5. Governance
6. Approaches and strategy



# Secondary Use of Health Data Governance





# Data Protection Measures

ເລີ່ມ ເຕັກ ຕອນພິເສດ ແລ້ວ ຈ

ຮາຍກິຈຈານບະກາ

二〇 ມີຖຸນາຍນ 二五六五

## ປະກາສຄນະກຽມກາຮັກຄົມຄຽມຂໍ້ອມູລສ່ວນບຸຄຄລ ເຮືອງ ມາຕຣກາຮັກຊາຄວາມມັນຄົງປລອດກັຍຂອງຜູ້ຄວບຄຸມຂໍ້ອມູລສ່ວນບຸຄຄລ ພ.ສ. 二五六五

ຂໍ້ອງ ໄ ຜູ້ຄວບຄຸມຂໍ້ອມູລສ່ວນບຸຄຄລມີໜ້າທີ່ຈັດໃຫ້ມີມາຕຣກາຮັກຊາຄວາມມັນຄົງປລອດກັຍ  
ທີ່ເໝາະສົມ ເພື່ອປັບກຳນົກກາຮັກສູງຫາຍ ເຂົ້າສິ່ງ ໃຊ້ ເປັນແປງ ແກ້ໄຂ ທີ່ເປີດແຜຍຂໍ້ອມູລສ່ວນບຸຄຄລ  
ໂດຍປາສຈາກອຳນາຈຫຼືໂດຍມີຂອບ ໂດຍມາຕຣກາຮັກຊາຄວາມມັນຄົງປລອດກັຍດັ່ງກ່າວ ອຢ່າງນ້ອຍຕ້ອງມີ  
ກາຣດຳເນີນກາຣ ດັ່ງຕ່ອໄປນີ້

(ຮ) ມາຕຣກາຮັກຊາຄວາມມັນຄົງປລອດກັຍດັ່ງກ່າວ ຈະຕ້ອງຄຣອບຄລຸມກາຮັກສູງຫາຍ ໃຊ້  
ແລະເປີດແຜຍຂໍ້ອມູລສ່ວນບຸຄຄລ ຕາມກູ້ມາຍວ່າດ້ວຍກາຮັກຄົມຄຽມຂໍ້ອມູລສ່ວນບຸຄຄລ ໄນວ່າຂໍ້ອມູລສ່ວນບຸຄຄລ  
ດັ່ງກ່າວຈະອູ້ໃນຮູ່ປະບວງເອກສາຮຫຼືໃນຮູ່ປະບວງອີເລັກທອນິກສີ ທີ່ຮູ່ປະບວງອື່ນໄດ້ກົດຕາມ

(ນ) ມາຕຣກາຮັກຊາຄວາມມັນຄົງປລອດກັຍດັ່ງກ່າວ ຈະຕ້ອງປະກອບດ້ວຍມາຕຣກາເຊີງຄົກ  
(organizational measures) ແລະມາຕຣກາເຊີງເທິກນິກ (technical measures) ທີ່ເໝາະສົມ ຜົ່ງອາຈ  
ຮັມຄື່ນມາຕຣກາທາງກາຍກາພ (physical measures) ທີ່ຈຳເປັນດ້ວຍ ໂດຍຄຳນິ່ງຄື່ນຮັບຄວາມເສື່ອງ  
ຕາມລັກຊະນະແລະວັດຖຸປະສົງຄົງກາຮັກສູງຫາຍ ໃຊ້ ແລະເປີດແຜຍຂໍ້ອມູລສ່ວນບຸຄຄລ ຕລອດຈນໂອກາສເກີດ  
ແລະຜລກຮະທບຈາກເຫຼຸກເລະເມີດຂໍ້ອມູລສ່ວນບຸຄຄລ

## 1. Organizational Measures, e.g.,

1.1. CIOMS Guideline 2016

1.2. Declaration of Taipei 2016

1.3. PDPA 2019

1.4. IRB

## 2. Technical Measures, e.g.,

2.1. Shift and Truncate for  
De-identification

2.2. Secure Remote Research  
Environment

2.3. Conventional security settings



# THE COUNCIL FOR INTERNATIONAL ORGANIZATIONS OF MEDICAL SCIENCES (CIOMS)

## International Ethical Guidelines for Health-related Research Involving Humans (2016),

### GUIDELINE 12: COLLECTION, STORAGE AND USE OF DATA IN HEALTH-RELATED RESEARCH

	สิ่งที่ต้องกำหนดตาม Commentary Guideline 12	สรุปใจความสำคัญ
1	to which legal entity the material is entrusted	นิติบุคคลที่ได้รับมอบหมายให้จัดการข้อมูล
2	how authorization from the donor is obtained	วิธีการได้มาซึ่งการอนุญาตให้ใช้ข้อมูลจากผู้ให้ข้อมูล
3	how the donor can retract this authorization	วิธีการยกเลิกการอนุญาตโดยผู้ให้ข้อมูล
4	in which circumstances donors need to be recontacted	เมื่อเกิดเหตุการณ์ใดจึงจะต้องติดต่อผู้ให้ข้อมูล
5	a procedure for determining whether unsolicited findings should be disclosed, and if so, how they should be managed	กระบวนการพิจารณาว่าควรเปิดเผยผลการค้นพบที่ไม่ได้ร้องขอหรือไม่ หากใช่ ควรจัดการอย่างไร
6	how the quality of the data collection is controlled	วิธีการควบคุมคุณภาพการเก็บรวบรวมข้อมูล
7	how confidentiality of the link between collected data and personal identifiers of the donors is maintained	วิธีการรักษาความลับระหว่างข้อมูลที่เก็บและตัวบ่งชี้ส่วนบุคคลของผู้ให้ข้อมูล
8	who may have access to the data for future research, and under what circumstances	ใครสามารถเข้าถึงข้อมูลเพื่อการวิจัยในอนาคต และในสถานการณ์ใด
9	which body may review research proposals for future use of the data	หน่วยงานใดสามารถตรวจสอบข้อเสนอการวิจัยสำหรับการใช้ข้อมูลในอนาคต
10	appropriate mechanisms for keeping donors informed of research outcomes	กลไกที่เหมาะสมสำหรับการแจ้งให้ผู้ให้ข้อมูลทราบผลการวิจัย



# THE COUNCIL FOR INTERNATIONAL ORGANIZATIONS OF MEDICAL SCIENCES (CIOMS)

## International Ethical Guidelines for Health-related Research Involving Humans (2016),

### GUIDELINE 12: COLLECTION, STORAGE AND USE OF DATA IN HEALTH-RELATED RESEARCH

(cont'd)

	สิ่งที่ต้องกำหนดตาม Commentary Guideline 12	สรุปใจความสำคัญ
11	how participatory engagement with patient groups or the wider community is organized	วิธีการจัดการมีส่วนร่วมกับกลุ่มผู้ป่วยหรือสังคม
12	to which other sources of personal information the results of analyses with data may be linked	แหล่งข้อมูลล้วนบุคคลอื่นที่ผลการวิเคราะห์ด้วยข้อมูลอาจถูกเชื่อมโยง
13	in broad terms, which types of research will be pursued	ประเภทของการวิจัยที่จะมีการดำเนินการโดยคร่าว
14	which types of research will be excluded or included only after recontacting the donor for consent	ประเภทของการวิจัยที่จะต้องดำเนินการได้หลังจากติดต่อผู้ให้ข้อมูลเพื่อขอความยินยอมแล้วเท่านั้น
15	to whom any benefits from the research are expected to accrue	ใครที่คาดหวังว่าจะได้รับประโยชน์จากการวิจัย
16	appropriate mechanisms for keeping participants informed of research outcomes	กลไกที่เหมาะสมสำหรับการแจ้งให้ผู้เข้าร่วมทราบผลการวิจัย
17	how the rights and welfare of individuals from whom the data were collected are not adversely affected	วิธีการที่สิทธิและสวัสดิภาพของบุคคลที่ข้อมูลถูกเก็บรวบรวมจะไม่ได้รับผลกระทบในทางลบ

# World Medical Association (WMA) DECLARATION OF TAIPEI ON ETHICAL CONSIDERATIONS REGARDING HEALTH DATABASES AND BIOBANKS (2016)

	Declaration of Taipei ข้อ 21	สรุปใจความสำคัญ
1	The purpose of the Health Database or Biobank	วัตถุประสงค์ของฐานข้อมูลสุขภาพหรือ Biobank
2	The nature of health data and biological material that will be contained in the Health Database or Biobank	ลักษณะของข้อมูลสุขภาพและวัตถุชีวภาพที่จะถูกจัดเก็บในฐานข้อมูลสุขภาพหรือ Biobank
3	Arrangements for the length of time for which the data or material will be stored	กำหนดระยะเวลาการจัดการเก็บข้อมูลหรือวัตถุ
4	Arrangements for regulations of the disposal and destruction of data or material	กำหนดระเบียบการกำจัดข้อมูลหรือวัตถุ
5	Arrangement for how the data and material will be documented and traceable in accordance with the consent of the concerned persons	กำหนดการจัดการวิธีการบันทึกและติดตามข้อมูลและวัตถุตามความยินยอมของบุคคลที่เกี่ยวข้อง
6	Arrangement for how the data and material will be dealt with in the event of change of ownership or closure	กำหนดการจัดการวิธีการจัดการข้อมูลและวัตถุในกรณีที่มีการเปลี่ยนเจ้าของหรือปิดโครงการ
7	Arrangement for obtaining appropriate consent or other legal basis for data or material collection	กำหนดการจัดการในการขอความยินยอมที่เหมาะสมหรือฐานกฎหมายอื่นสำหรับการเก็บข้อมูลหรือวัตถุ
8	Arrangements for protecting dignity, autonomy, privacy and preventing discrimination	กำหนดการจัดการในการปกป้องเกียรติยศ อิสระภาพ ความเป็นส่วนตัว และป้องกันการเลือกปฏิบัติ
9	Criteria and procedures concerning the access to and the sharing of the health data or biological material including the systematic use of Material Transfer Agreement (MTA) when necessary	เกณฑ์และขั้นตอนเกี่ยวกับการเข้าถึงและการแบ่งปันข้อมูลสุขภาพหรือวัตถุชีวภาพ รวมถึงระบบการใช้ Material Transfer Agreement (MTA) เมื่อจำเป็น

10	The person or persons who are responsible for the governance	บุคคลหรือผู้ที่รับผิดชอบในการจัดการธรรมาภิบาล
11	The security measures to prevent unauthorized access or inappropriate sharing	มาตรการรักษาความปลอดภัยเพื่อป้องกันการเข้าถึงโดยไม่ได้รับอนุญาตหรือการแบ่งปันที่ไม่เหมาะสม
12	The procedures for re-contacting participants where relevant	ขั้นตอนสำหรับการติดต่อผู้เข้าร่วมเข้าใหม่ที่เกี่ยวข้อง
13	The procedures for receiving and addressing enquiries and complaints	ขั้นตอนสำหรับรับและจัดการคำรบกวนและข้อร้องเรียน

# พระราชบัญญัติสุขภาพแห่งชาติ พ.ศ. 2550

มาตรา 7 ข้อมูลด้านสุขภาพของบุคคล เป็นความลับส่วนบุคคล ผู้ใดจะนำไปเปิดเผย ในประการที่น่าจะทำให้บุคคลนั้นเสียหาย ไม่ได้ เว้นแต่การเปิดเผยนั้นเป็นไปตามความประสงค์ของบุคคลนั้น โดยตรง หรือมีกฎหมายเฉพาะบัญญัติให้ต้องเปิดเผย แต่ไม่ว่าในกรณีใด ๆ ผู้ใดจะอาศัยอำนาจหรือสิทธิ์ตามกฎหมายว่าด้วยข้อมูลข่าวสารของราชการหรือกฎหมายอื่นเพื่อขอเอกสารเกี่ยวกับข้อมูลด้านสุขภาพของบุคคลที่ไม่ใช่ของตน ไม่ได้

มาตรา 9 ในกรณีที่ผู้ประกอบวิชาชีพด้านสาธารณสุขประสงค์จะใช้ผู้รับบริการเป็นส่วนหนึ่งของการทดลองในงานวิจัย ผู้ประกอบวิชาชีพด้านสาธารณสุขต้องแจ้งให้ผู้รับบริการทราบล่วงหน้า และต้องได้รับความยินยอมเป็นหนังสือจากผู้รับบริการก่อนจึงจะดำเนินการได้ ความยินยอมดังกล่าว ผู้รับบริการจะเพิกถอนเลี้ยเมื่อได้ก็ได้



# พระราชบัญญัติคุ้มครองข้อมูลส่วนบุคคล พ.ศ. 2562

## PDPA

### วัตถุประสงค์และฐานทางกฎหมายการประมวลผลข้อมูลส่วนบุคคล

มาตรา 26 ห้ามมิให้เก็บรวบรวมข้อมูลส่วนบุคคลเกี่ยวกับเชื้อชาติ เผ่าพันธุ์ ความคิดเห็นทางการเมือง ความเชื่อในลัทธิ ศาสนาหรือปรัชญา พฤติกรรมทางเพศ ประวัติอาชญากรรม ข้อมูลสุขภาพ ความพิการ ข้อมูลสหภาพแรงงาน ข้อมูลพันธุกรรม ข้อมูลชีวภาพ หรือข้อมูลอื่นใดซึ่งกระทบต่อเจ้าของข้อมูลส่วนบุคคล ในทำนองเดียวกันตามที่คณะกรรมการประกาศกำหนด โดยไม่ได้รับความยินยอมโดยชัดแจ้งจากเจ้าของข้อมูลส่วนบุคคล เว้นแต่

#### (5) เป็นการจำเป็นในการปฏิบัติตามกฎหมายเพื่อให้บรรลุวัตถุประสงค์เกี่ยวกับ

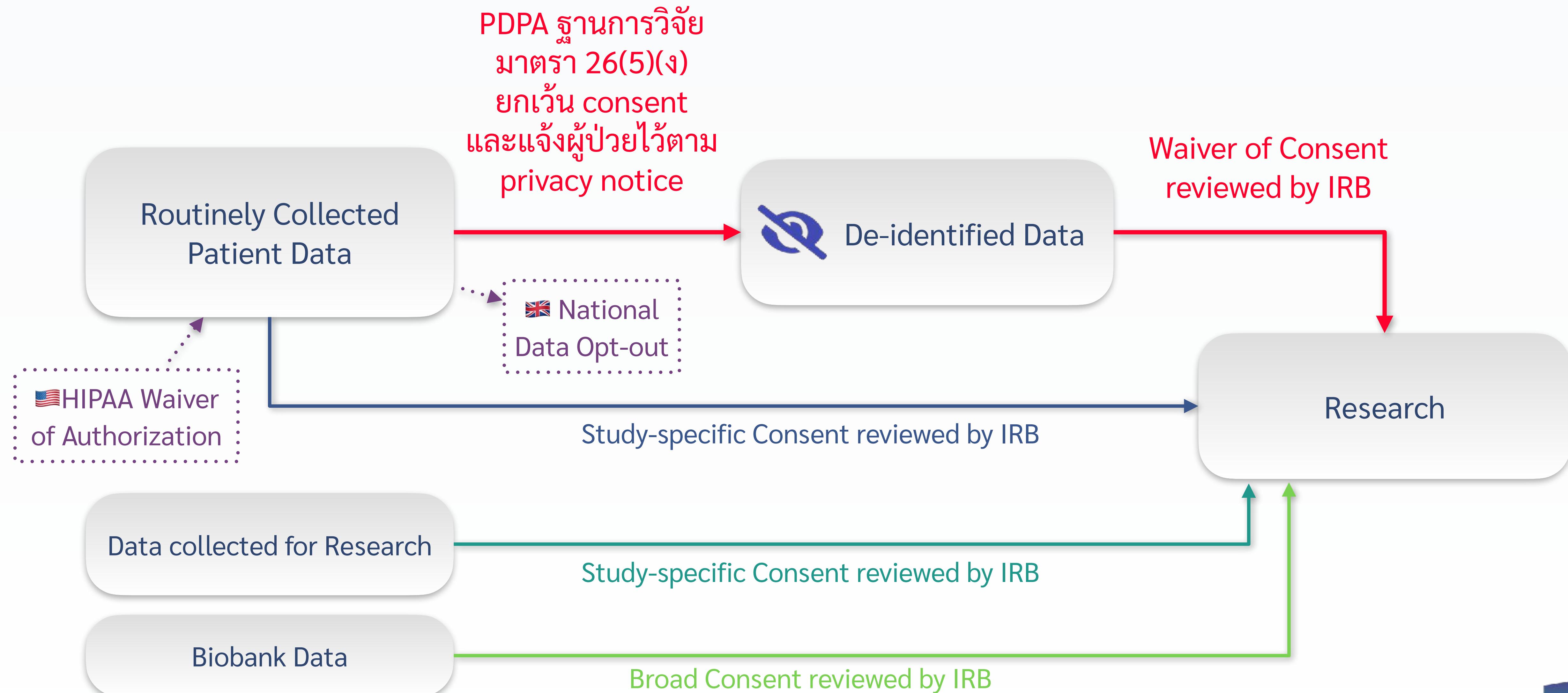
(ง) การศึกษาวิจัยทางวิทยาศาสตร์ ประวัติศาสตร์ หรือสติ๊ติ หรือประโยชน์สาธารณะอื่น ทั้งนี้ ต้องกระทำเพื่อให้บรรลุวัตถุประสงค์ดังกล่าว เพียงเท่าที่จำเป็นเท่านั้น และได้จัดให้มีมาตรการที่เหมาะสมเพื่อคุ้มครองสิทธิขั้นพื้นฐานและประโยชน์ของเจ้าของข้อมูลส่วนบุคคล ตามที่คณะกรรมการประกาศกำหนด

### สิทธิของเจ้าของข้อมูลส่วนบุคคล ตามพระราชบัญญัติฯ

มาตรา 32 เจ้าของข้อมูลส่วนบุคคลมีสิทธิคัดค้านการเก็บรวบรวม ใช้ หรือเปิดเผยข้อมูลส่วนบุคคลที่เกี่ยวกับตนเมื่อได้ดังต่อไปนี้

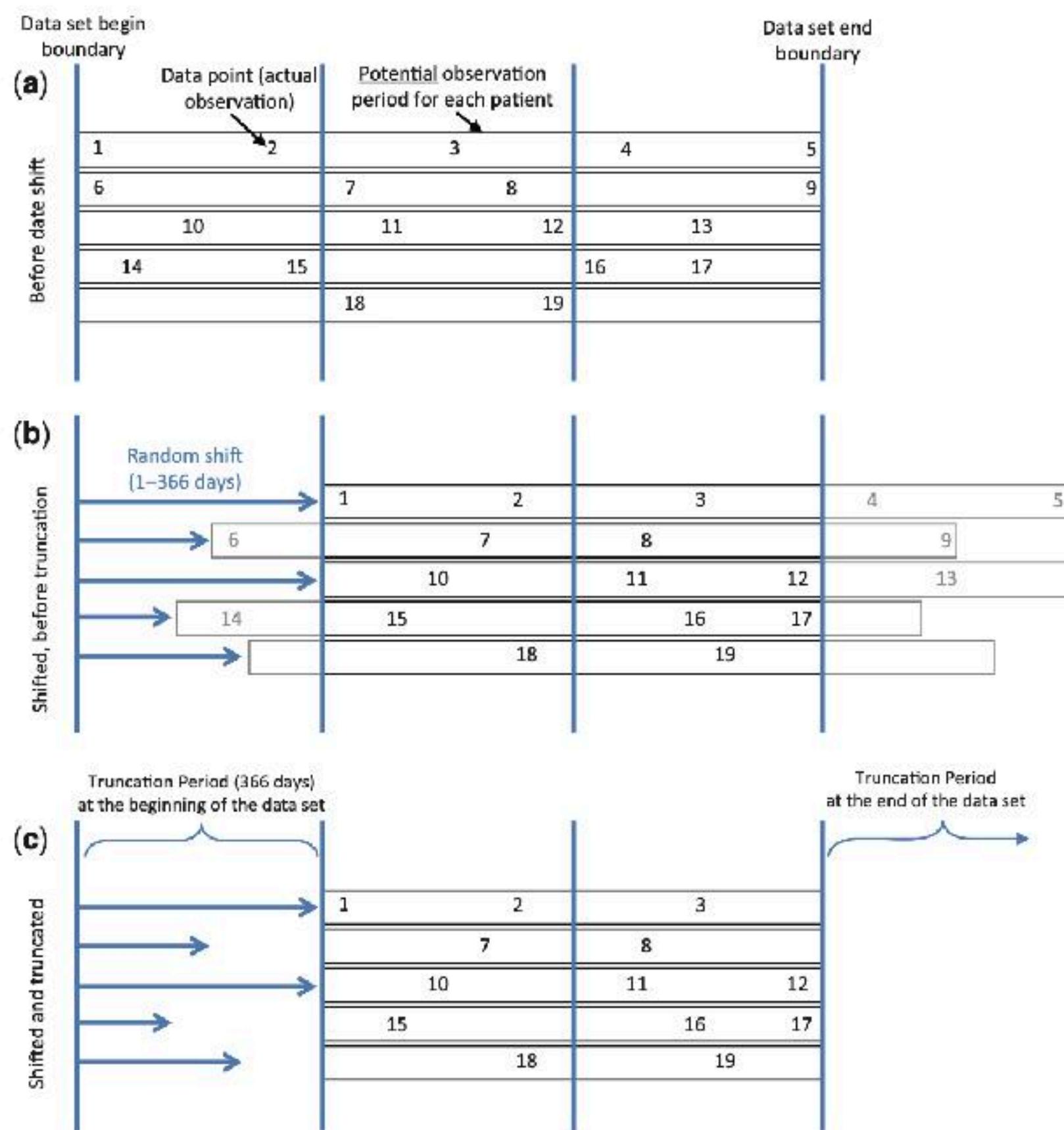
(3) กรณีที่เป็นการเก็บรวบรวม ใช้ หรือเปิดเผยข้อมูลส่วนบุคคลเพื่อวัตถุประสงค์เกี่ยวกับการศึกษาวิจัยทางวิทยาศาสตร์ ประวัติศาสตร์ หรือสติ๊ติ เว้นแต่เป็นการจำเป็นเพื่อการดำเนินการกิจเพื่อประโยชน์สาธารณะของผู้ควบคุมข้อมูลส่วนบุคคล

# รูปแบบการขอ consent การวิจัย จากข้อมูลชนิดต่าง ๆ



# Shift and Truncate (SANT) for De-identification

**Figure 1: Shift and Truncate.** Each row is a unique patient, each number is a unique data point for a patient, and each rectangle represents the time that the patient was *potentially* observed. (a) Original data set. Patients are potentially observed for 3 years (each vertical line marks 1 year). Patients need not have data, but simply the potential to have been observed (even if they lived elsewhere or were not born yet, someone had the potential to have been observed). (b) Shifted data set. Patient records are shifted forward by 1–366 days. Data points that were previously aligned across patients are no longer aligned, but points within a given patient remain at the same relative distances from each other. (c) Shifted and truncated data set. Data points from the first 366 days of the shifted data set and from the last 366 days of the shifted data set are removed from the data set.



## Preserving temporal relations in clinical data while maintaining privacy

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### ABSTRACT

**Objective** Maintaining patient privacy is a challenge in large-scale observational research. To assist in reducing the risk of identifying study subjects through publicly available data, we introduce a method for obscuring date information for clinical events and patient characteristics.

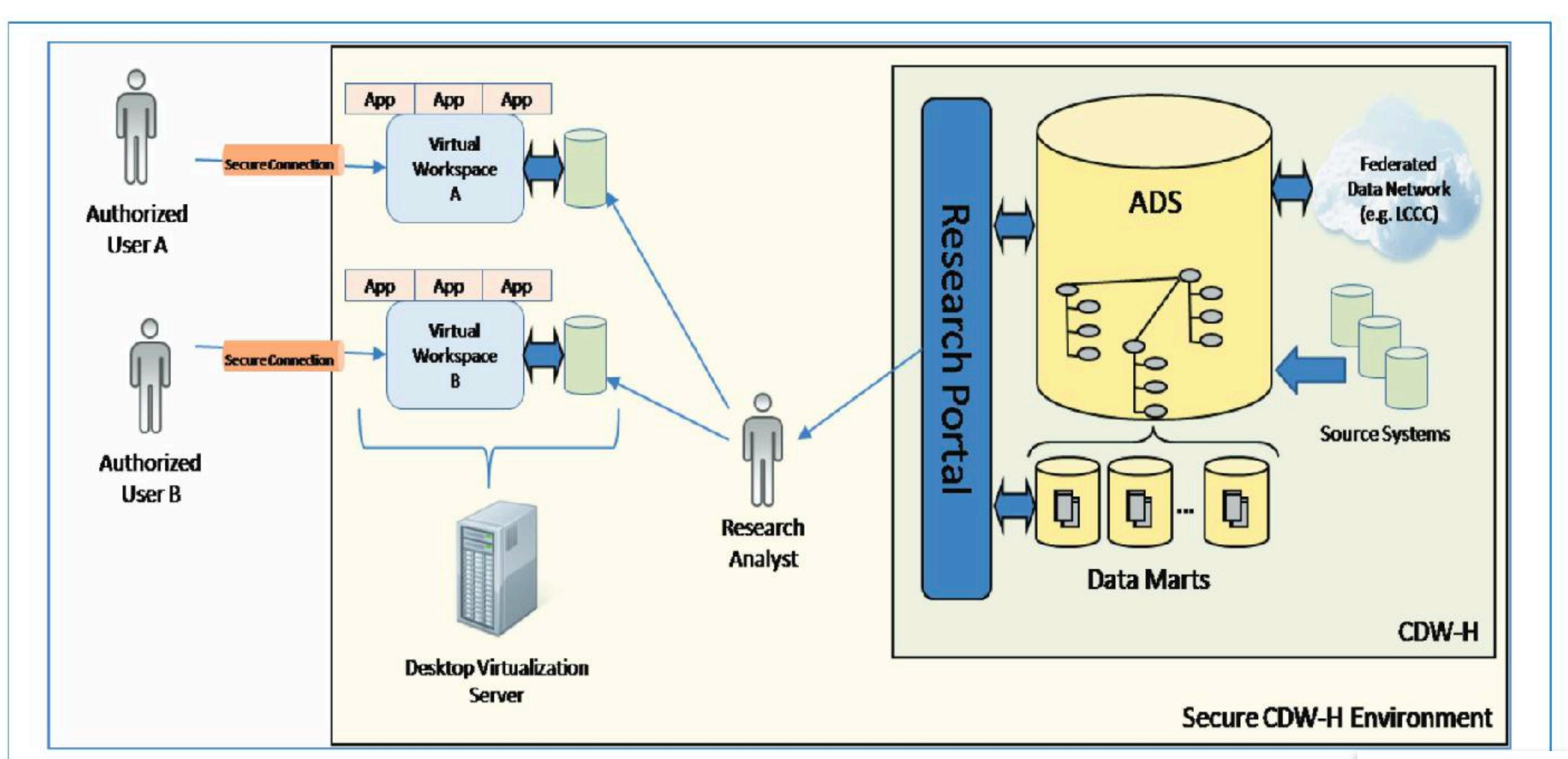
**Methods** The method, which we call Shift and Truncate (SANT), obscures date information to any desired granularity. Shift and Truncate first assigns each patient a random shift value, such that all dates in that patient's record are shifted by that amount. Data are then truncated from the beginning and end of the data set.

**Results** The data set can be proven to not disclose temporal information finer than the chosen granularity. Unlike previous strategies such as a simple shift, it remains robust to frequent – even daily – updates and robust to inferring dates at the beginning and end of date-shifted data sets. Time-of-day may be retained or obscured, depending on the goal and anticipated knowledge of the data recipient.

**Conclusions** The method can be useful as a scientific approach for reducing re-identification risk under the Privacy Rule of the Health Insurance Portability and Accountability Act and may contribute to qualification for the Safe Harbor implementation.



# Secure Remote Research Environment



**Figure 2.** Conceptual view of the SMRW environment.

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The Secure Medical Research Workspace: An IT Infrastructure to  
Enable Secure Research on Clinical Data

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