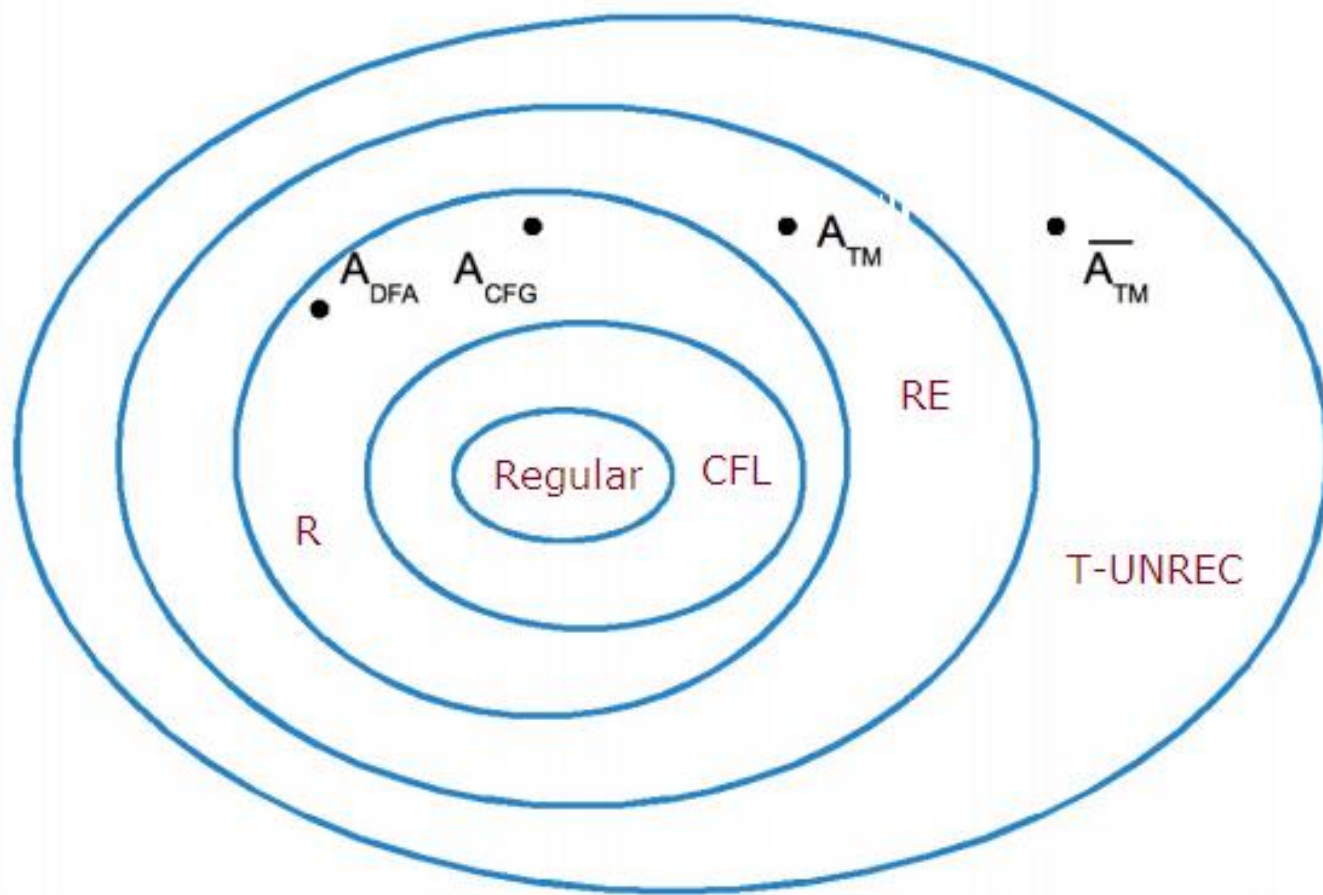


# Reducibility

A way to show some languages are  
undecidable !

<https://www.andrew.cmu.edu/user/ko/pdfs/lecture-16.pdf>

# THE LANDSCAPE OF THE CHOMSKY HIERARCHY



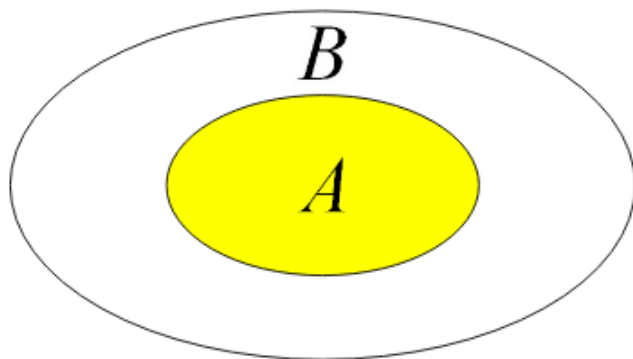
# REDUCIBILITY

- A **reduction** is a way of converting one problem to another problem, so that the solution to the second problem can be used to solve the first problem.
  - Finding the area of a rectangle, reduces to measuring its width and height
  - Solving a set of linear equations, reduces to inverting a matrix.

Problem  $A$  is reduced to problem  $B$



If we can solve problem  $B$  then  
we can solve problem  $A$ .



# A reduces to B

- $A \leq B$
- Find area of a rectangle  $\leq$  find length and find width of rectangle.
- Solving B means you know how to solve the fundamental ingredients (which are needed to solve A).
- A solution to B can be used to solve A.
- Note, a solution to A may not be enough to solve B.
  - Knowing area of a rectangular is not enough to find its length and width !!

# A reduces to B

- $A \leq B$
- Solving B means you know how to solve the fundamental ingredients (which are needed to solve A).
- A solution to B can be used to solve A.
- An algorithm that solves B can be converted to an algorithm that solves A

# A reduces to B

- $A \leq B$
- If B is decidable, then so is A.
- Contrapositive, if A is undecidable then so is B.



Problem  $A$  is reduced to problem  $B$



If  $B$  is decidable then  $A$  is decidable.



If  $A$  is undecidable then  $B$  is undecidable.



## PROVING UNDECIDABILITY VIA REDUCTIONS

### THEOREM 5.1

$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$  is undecidable.

## PROVING UNDECIDABILITY VIA REDUCTIONS

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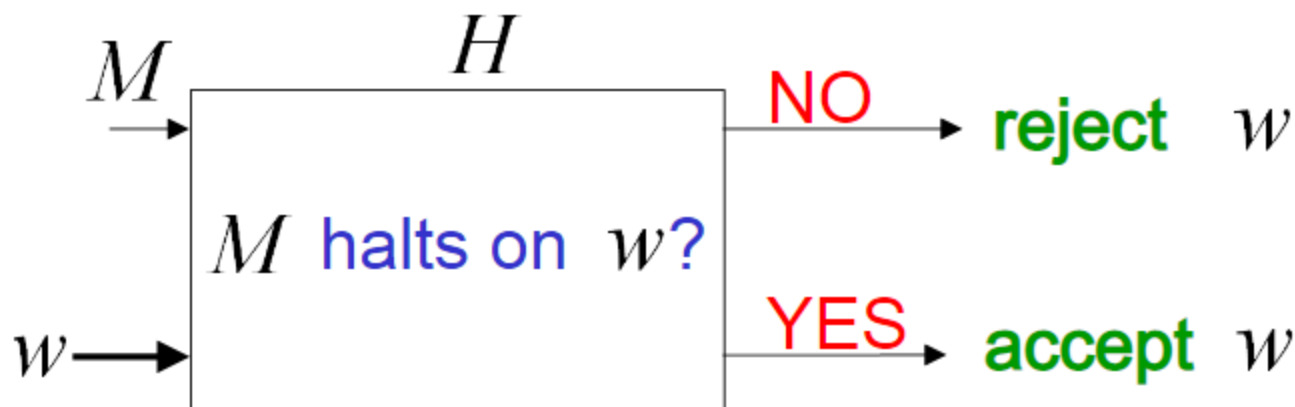
- We show that  $A_{TM}$  is reducible to  $HALT_{TM}$
- Since  $A_{TM}$  is undecidable, so is  $HALT_{TM}$

## PROVING UNDECIDABILITY VIA REDUCTIONS

### THEOREM 5.1

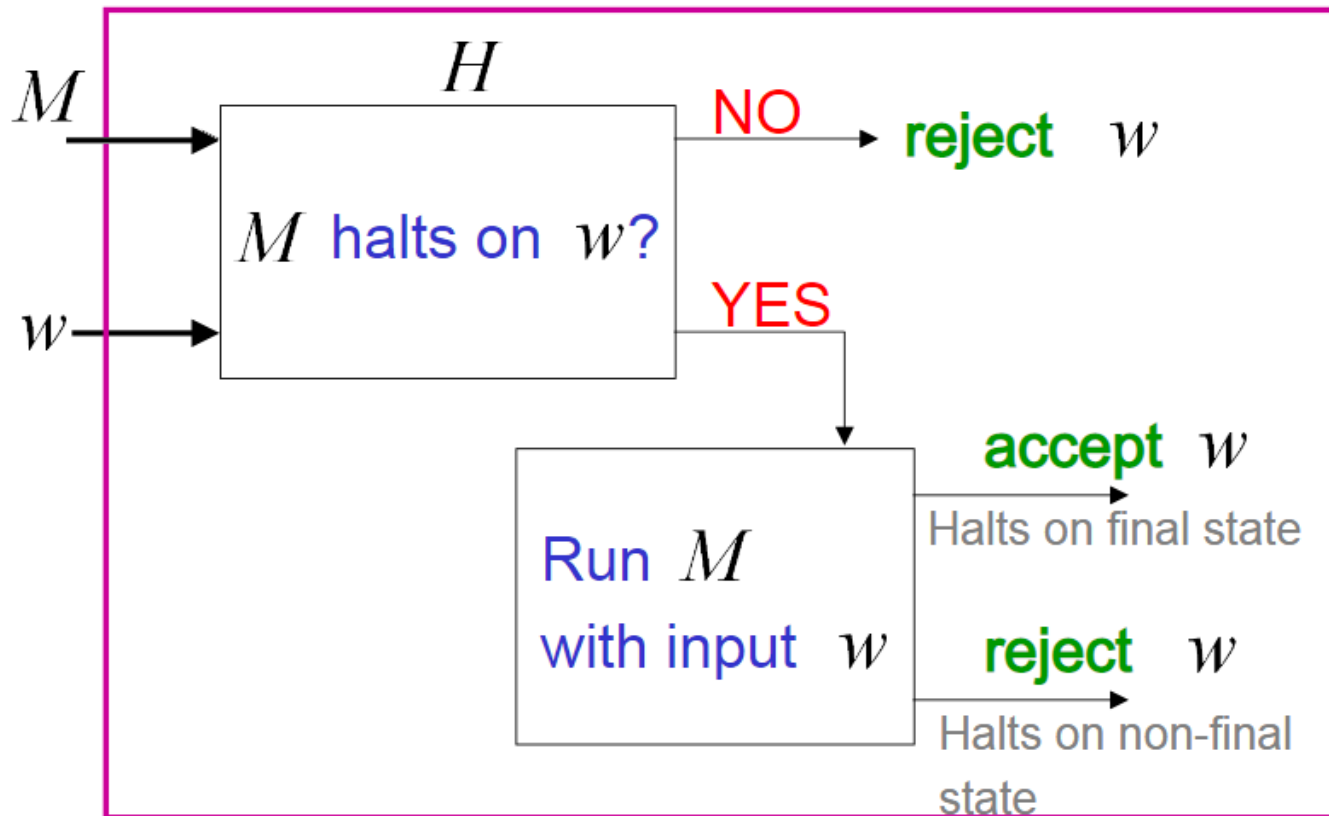
$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$  is undecidable.

- Suppose  $HALT_{TM}$  is decidable, this means

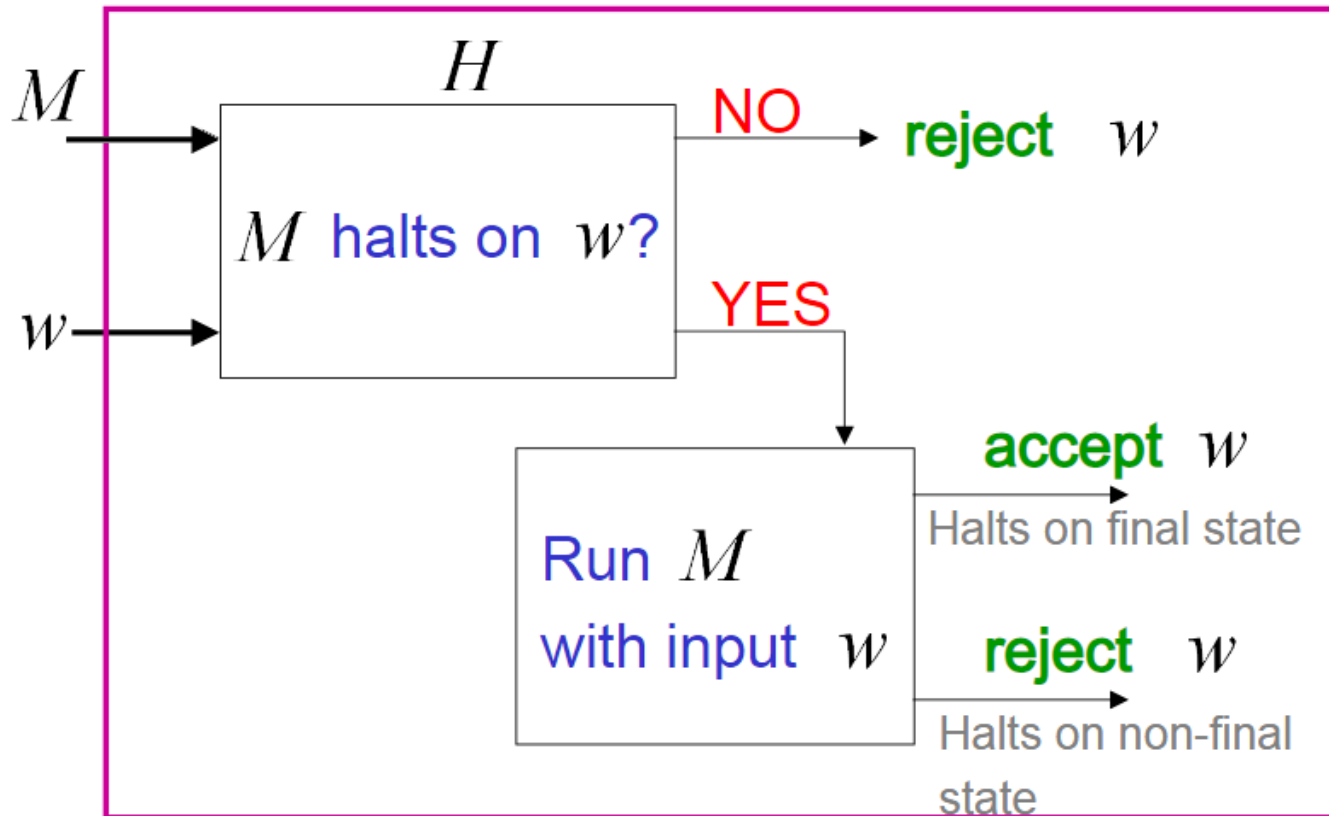


- Then  $A_{TM}$  is decidable.

- Then  $A_{TM}$  is decidable.



- Then  $A_{TM}$  is decidable.



- **Contradiction**

This diagram shows how  $A_{TM}$  can be reduced to  $HALT_{TM}$

## PROVING UNDECIDABILITY VIA REDUCTIONS

### THEOREM 5.2

$E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \Phi\}$  is undecidable.

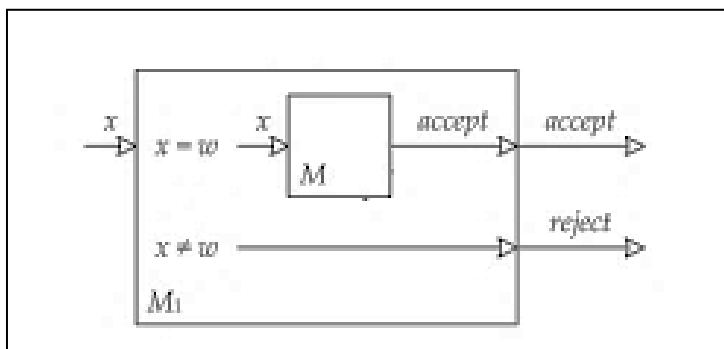
- A decider for  $A_{TM}$  via  $E_{TM}$  is possible.
- We are given  $\langle M, w \rangle$ , and asked to find whether  $\langle M, w \rangle \in A_{TM}$ ?
- For this, First create  $M_1$

## PROVING UNDECIDABILITY VIA REDUCTIONS

### THEOREM 5.2

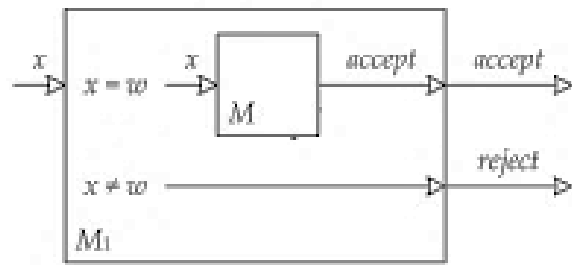
$E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \Phi\}$  is undecidable.

- A decider for  $A_{TM}$  via  $E_{TM}$  is possible.
- We are given  $\langle M, w \rangle$ , and asked to find whether  $\langle M, w \rangle \in A_{TM}$ ?
- For this, First create  $M_1$

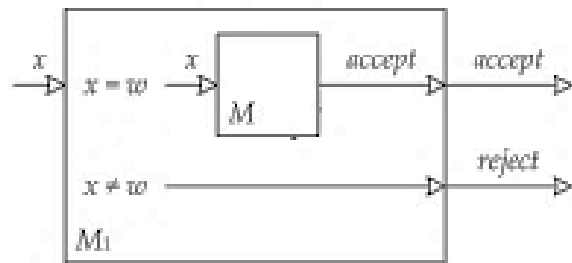


Now,  $L(M_1)$  is what?





- Now,  $L(M_1)$  is what?



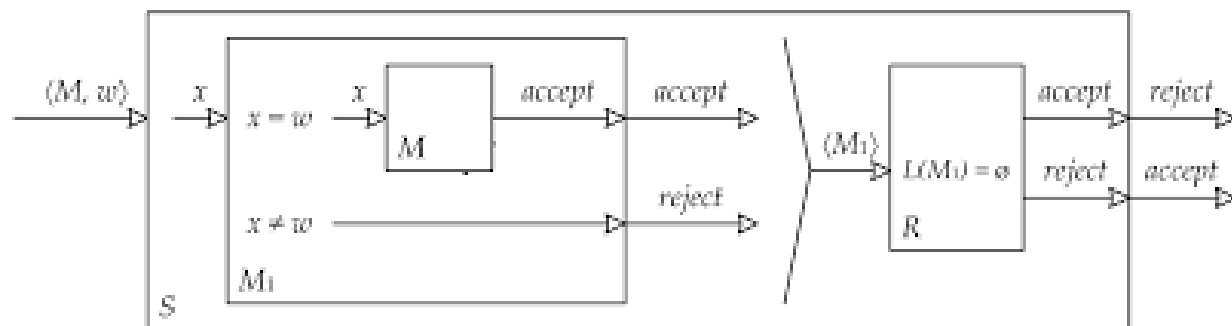
- Now,  $L(M_1)$  is what?
- $L(M_1)$  is either  $\{w\}$  or is  $\phi$
- Now, if  $M_1$  is in  $E_{TM}$ 
  - This means,  $L(M_1) = \phi$
  - This means,  $\langle M, w \rangle \notin A_{TM}$
- Now, if  $M_1$  is not in  $E_{TM}$ 
  - This means,  $L(M_1) \neq \phi$
  - This means,  $\langle M, w \rangle \in A_{TM}$

# PROVING UNDECIDABILITY VIA REDUCTIONS

## THEOREM 5.2

$E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \Phi\}$  is undecidable.

- A decider for  $A_{TM}$  via  $E_{TM}$  is possible.



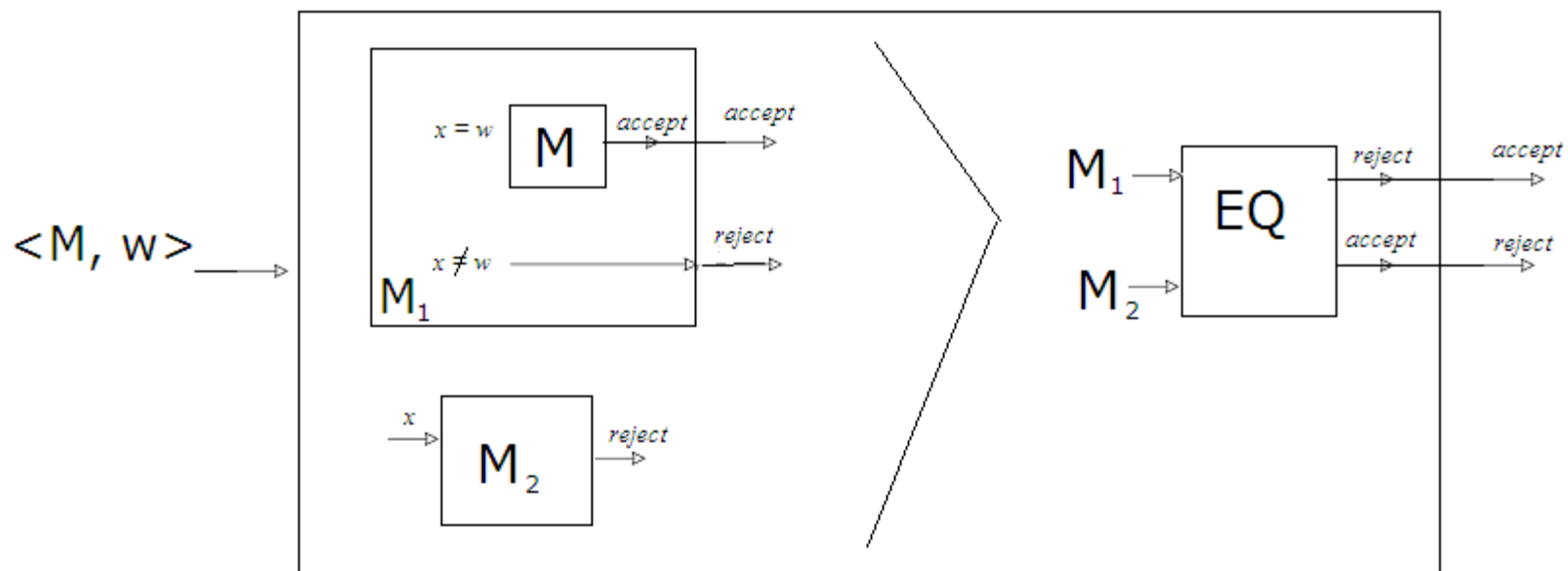
- That is,  $A_{TM}$  can be reduced to  $E_{TM}$ .
- Contradiction

# TESTING FOR LANGUAGE EQUALITY

## THEOREM 5.4

$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$  is undecidable.

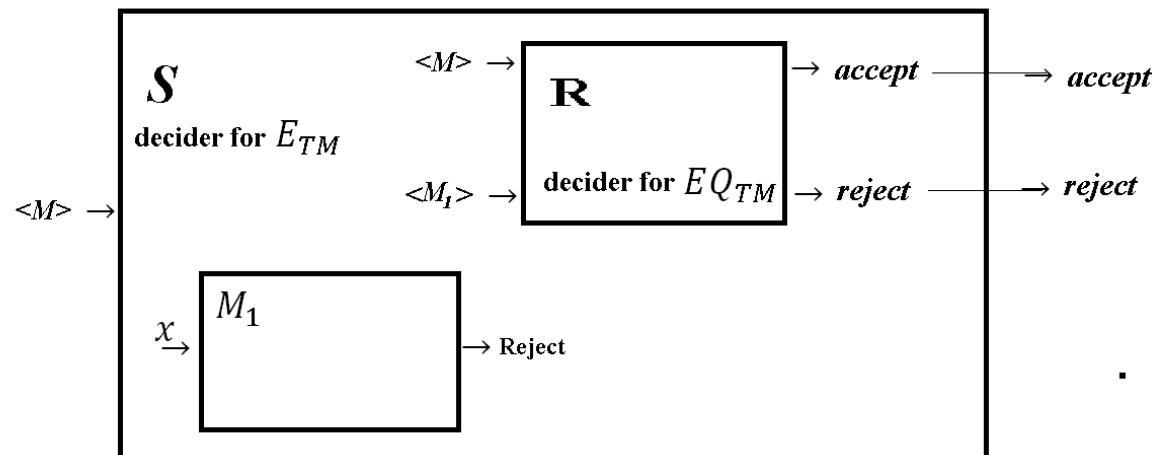
- Decider for  $A_{TM}$  via  $EQ_{TM}$
- Following is the reduction of  $A_{TM}$  to  $EQ_{TM}$



This is a decider for  $A_{TM}$

# Alternate way to show $EQ_{TM}$ is undecidable.

- Since, we know  $E_{TM}$  is undecidable,
- We can try to reduce  $E_{TM}$  to  $EQ_{TM}$
- Let  $R$  be a decider for  $EQ_{TM}$
- We can build a decider (call this  $S$ ) for  $E_{TM}$  by using  $R$

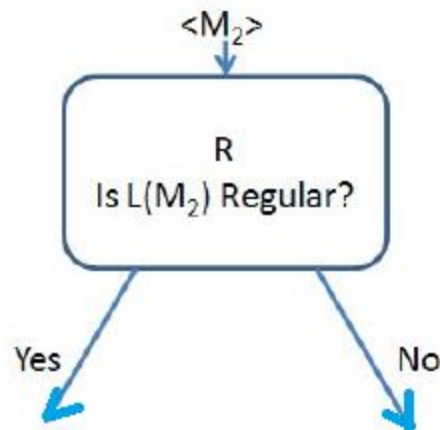


This is a decider for  $E_{TM}$

# TESTING FOR REGULARITY

$REGULAR_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language} \}$  is undecidable.

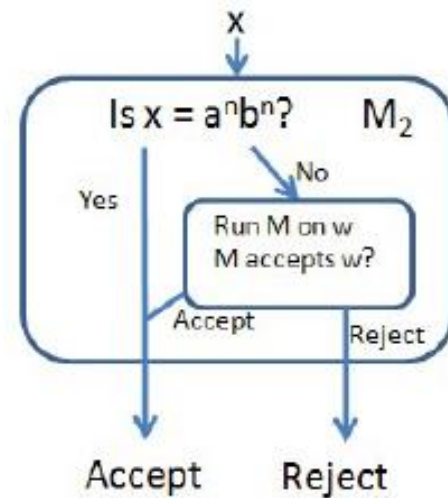
- If  $REGULAR_{TM}$  is decidable, then this can be used to decide  $A_{TM}$ .
- Let  $R$  be a decider for  $REGULAR_{TM}$ .



# How $R$ can be used to decide

$\langle M, w \rangle \in A_{TM}$  ?

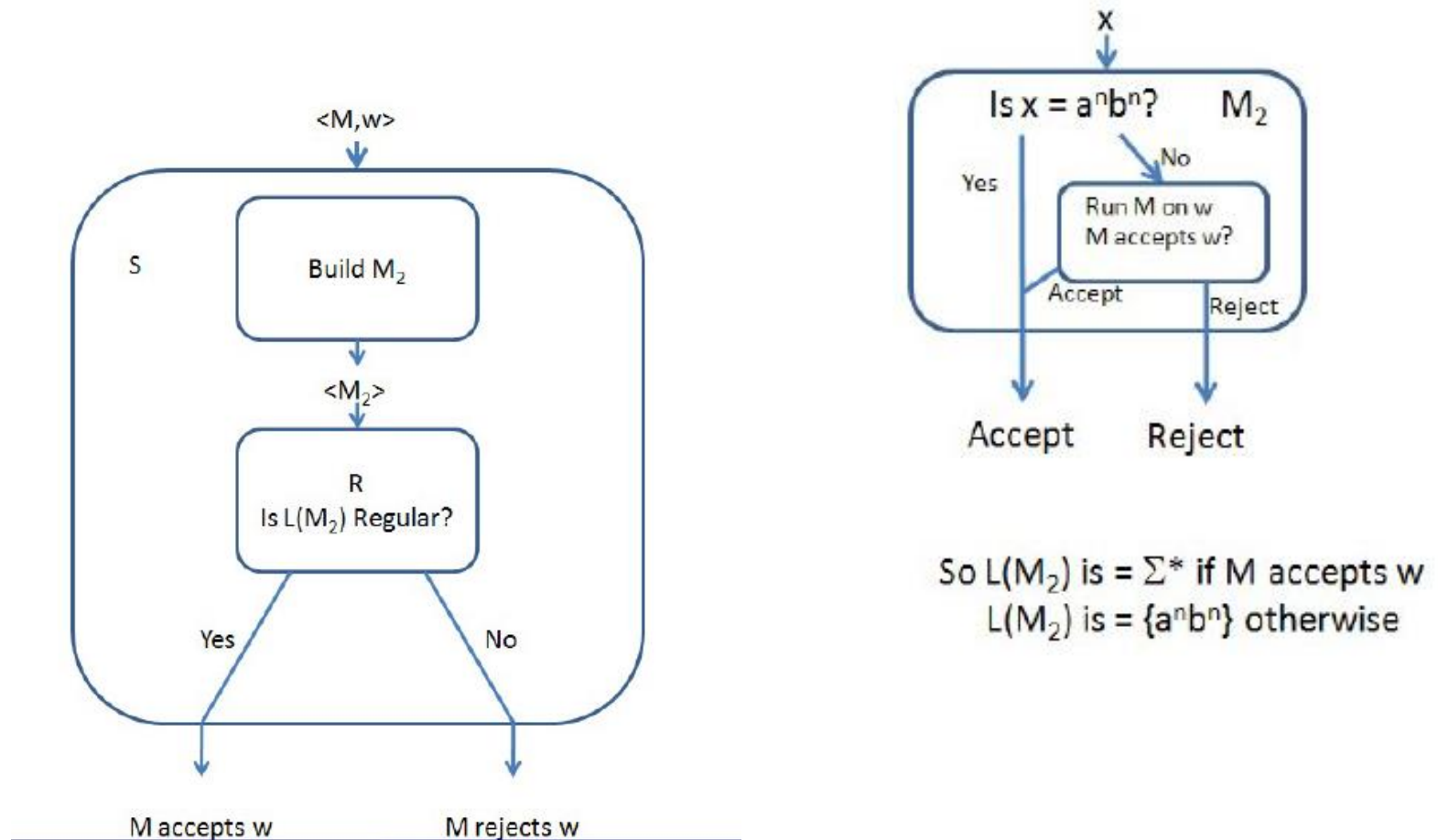
- Build  $M_2$  as shown



So  $L(M_2)$  is  $= \Sigma^*$  if  $M$  accepts  $w$   
 $L(M_2)$  is  $= \{a^n b^n\}$  otherwise

# How R can be used to decide $\langle M, w \rangle \in A_{TM}$ ?

- Build  $M_2$  as shown





- Similar to  $REGULAR_{TM}$ , we can show  $CFL_{TM}$  is undecidable.
  - That is, finding whether a TM's language is CFL or not is undecidable.
  - In fact, we can extend this. TM's language is finite or not is undecidable.
  - General theorem in this regard is called ***The Rice's Theorem.***

More formal way of reductions

# MAPPING REDUCTION

WE CAN GET MORE REFINED ANSWERS

# Computable function

- **DEFINITION 5.17**

A function  $f: \Sigma^* \rightarrow \Sigma^*$  is a *computable function* if some Turing machine  $M$ , on every input  $w$ , halts with just  $f(w)$  on its tape.

- For example,

we can make a machine that takes input  $\langle m, n \rangle$  and returns  $m + n$ , the sum of  $m$  and  $n$ .

# Mapping Reductions

**Definition:** Let  $A$  and  $B$  be two languages. We say that there is a **mapping reduction** from  $A$  to  $B$ , and denote

$$A \leq_m B$$

if there is a **computable function**

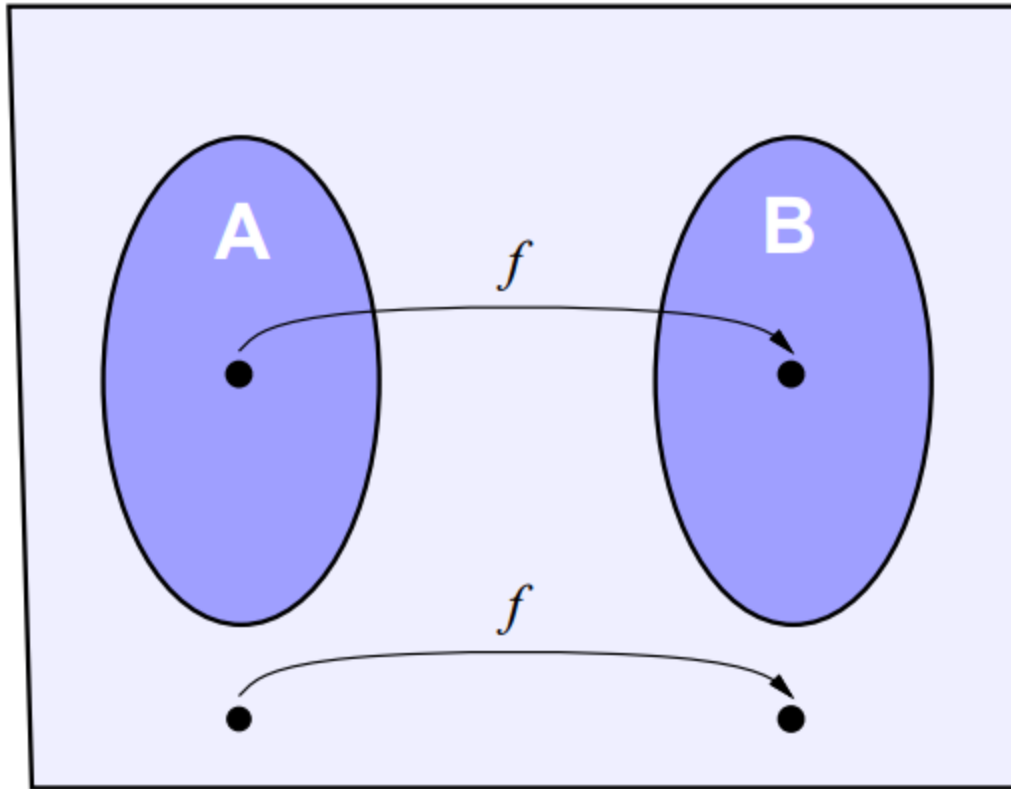
$$f : \Sigma^* \longrightarrow \Sigma^*$$

such that, for every  $w$ ,

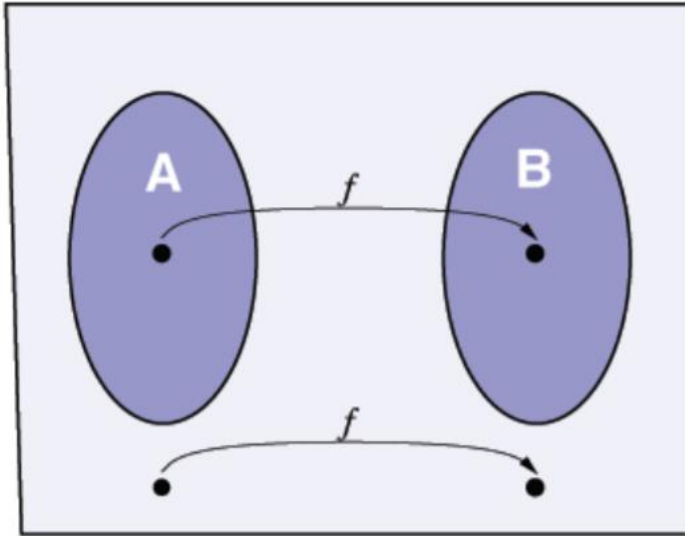
$$w \in A \iff f(w) \in B.$$

The function  $f$  is called the **reduction** from  $A$  to  $B$ .

# Mapping Reductions



A mapping reduction converts questions about membership in  $A$  to membership in  $B$



A mapping reduction converts questions about membership in  $A$  to membership in  $B$

Notice that  $A \leq_m B$  implies  $\overline{A} \leq_m \overline{B}$ .

# Mapping Reductions

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable.

**Proof:** Let

- $M$  be the decider for  $B$ , and
- $f$  the reduction from  $A$  to  $B$ .

Define  $N$ : On input  $w$

1. compute  $f(w)$
2. run  $M$  on input  $f(w)$  and output whatever  $M$  outputs.

# Mapping Reductions

**Corollary:** If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable.

In fact, this has been our principal tool for proving undecidability of languages other than  $A_{TM}$ .



## Example: Halting

Recall that

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid \text{TM } M \text{ accepts input } w \}$$

$$H_{\text{TM}} = \{ \langle M, w \rangle \mid \text{TM } M \text{ halts on input } w \}$$

Earlier we proved that

- $H_{\text{TM}}$  undecidable
- by (de facto) reduction from  $A_{\text{TM}}$ .

Let's reformulate this.

## Example: Halting

Define a **computable function**,  $f$ :

- input of form  $\langle M, w \rangle$
- output of form  $\langle M', w' \rangle$
- where  $\langle M, w \rangle \in A_{\text{TM}} \iff \langle M', w' \rangle \in H_{\text{TM}}$ .

## Example: Halting

The following machine computes this function  $f$ .

$F =$  on input  $\langle M, w \rangle$ :

- Construct the following machine  $M'$ .

$M'$ : on input  $x$

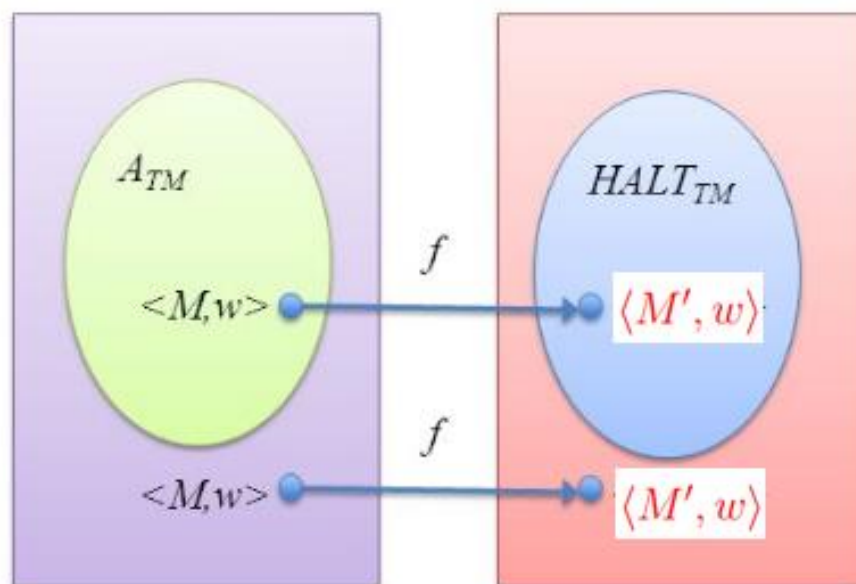
- run  $M$  on  $x$
- If  $M$  accepts, *accept*.
- if  $M$  rejects, **enter a loop**.

- output  $\langle M', w \rangle$

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

$$\leq_m$$

$$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM \& } M \text{ halts on input } w \}$$



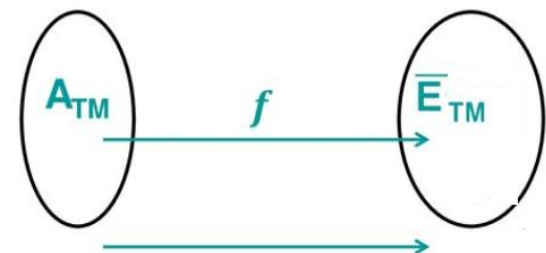
$$A_{TM} \leq_m \overline{E_{TM}}$$

- $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts } w \}$
- $\overline{E_{TM}} = \{ \langle M \rangle \mid L(M) \neq \phi \}$
- $f: \Sigma^* \rightarrow \Sigma^*$  can be defined as

Create  $M'$  : On input  $x$ ,

if  $x \neq w$ , output “Reject”;

if  $x = w$ , run  $w$  on  $M$ , output the result.



# Mapping Reductions: Reminders

---

Theorem 1:

If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable.

Theorem2 :

If  $A \leq_m B$  and  $B$  is recursively enumerable, then  $A$  is recursively enumerable.

## Mapping Reductions: Corollaries

---

Corollary 1: If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable.

Corollary 2: If  $A \leq_m B$  and  $A$  is not in  $\mathcal{RE}$ , then  $B$  is not in  $\mathcal{RE}$ .

Corollary 3: If  $A \leq_m B$  and  $A$  is not in  $co\mathcal{RE}$ , then  $B$  is not in  $co\mathcal{RE}$ .

## TM Equality

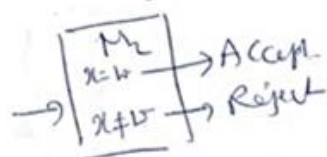
**Theorem:** Both  $EQ_{TM}$  and its complement,  $\overline{EQ_{TM}}$ , are not enumerable. Stated differently,  $EQ_{TM}$  is neither enumerable nor co-enumerable.

- We show that  $A_{TM}$  is reducible to  $EQ_{TM}$ . The **same function** is also a mapping reduction from  $\overline{A_{TM}}$  to  $\overline{EQ_{TM}}$ , and thus  $\overline{EQ_{TM}}$  is **not enumerable**.
- We then show that  $A_{TM}$  is reducible to  $\overline{EQ_{TM}}$ . The **new function** is also a mapping reduction from  $\overline{A_{TM}}$  to  $EQ_{TM}$ , and thus  $EQ_{TM}$  is **not enumerable**.





$$A_{TM} \leq_m EQ_{TM}$$



$$L(M_1) = \begin{cases} \{w\} & \text{if } M \text{ accepts } w \\ \phi, & \text{Otherwise} \end{cases}$$

$$L(M_2) = \{w\}$$



$$A_{TM} \leq \overline{EQ_{TM}}$$



$$L(M_1) = \begin{cases} \{w\} & \text{if } M \text{ accepts } w \\ \phi, & \text{Otherwise} \end{cases}$$

$$L(M_2) = \phi$$

# Alternate solutions found in the net.

$$A_{TM} \leq_m EQ_{TM}$$

**Proof:** The following TM computes the reduction:

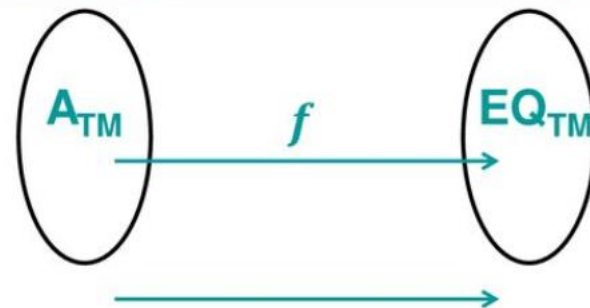
**F** = `` On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Construct TMs  $M', M''$ .

$M' =$  `` On input  $x$ ,  
1. Ignore the input.  
2. Run TM  $M$  on input  $w$ .  
3. If it accepts, **accept.**``

$M'' =$  `` **Accept.**``

2. **Output**  $\langle M', M'' \rangle$ .



$$L(M') = \begin{cases} \Sigma^*, & \text{if } M \text{ accepts } w \\ \phi, & \text{Otherwise} \end{cases}$$

$$L(M'') = \Sigma^*$$

$$A_{TM} \leq_m \overline{EQ_{TM}}$$

**Proof:** We give a mapping reduction  $A_{TM} \leq_m \overline{EQ_{TM}}$

The following TM computes the reduction:

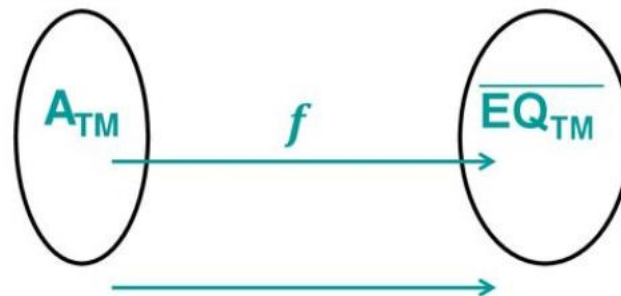
**F =** `` On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Construct TMs  $M', M''$ .

$M' =$  `` On input  $x$ ,  
 1. Ignore the input.  
 2. Run TM  $M$  on input  $w$ .  
 3. If it accepts, **accept.**”

$M'' =$  `` **Reject.**”

2. **Output**  $\langle M', M'' \rangle$ .”



$$L(M') = \begin{cases} \Sigma^*, & \text{if } M \text{ accepts } w \\ \phi, & \text{Otherwise} \end{cases}$$

$$L(M'') = \phi$$

# Language Hierarchy (revisited)

Set of Languages (= set of "set of strings")

Set of Decidable Language

Set of Recognizable Language

$\{0^n 1^n 2^n\}$

$\{ww\}$

$EQ_{TM}$

$\overline{EQ_{TM}}$

$A_{TM}$

$\overline{A_{TM}}$

$\{0^n 1^n\}$

$\{w \mid w = w^R\}$

Set of Context-Free Language

Set of Regular Language

$\{0^x 1^y\}$

$\{w \text{ with even } |w|\}$

## Non Trivial Properties of $\mathcal{RE}$ Languages

A few examples

- $L$  is finite.
- $L$  is infinite.
- $L$  contains the empty string.
- $L$  contains no prime number.
- $L$  is co-finite.
- . . .

All these are **non-trivial** properties of enumerable languages, since for each of them there is  $L_1, L_2 \in \mathcal{RE}$  such that  $L_1$  satisfies the property but  $L_2$  does not.

Are there any **trivial** properties of  $\mathcal{RE}$  languages?

## Rice's Theorem

**Theorem** Let  $\mathcal{C}$  be a proper non-empty subset of the set of enumerable languages. Denote by  $L_{\mathcal{C}}$  the set of all TMs encodings,  $\langle M \rangle$ , such that  $L(M)$  is in  $\mathcal{C}$ . Then  $L_{\mathcal{C}}$  is undecidable.

(See problem 5.22 in Sipser's book)

Proof by reduction from  $A_{\text{TM}}$ .

Given  $M$  and  $w$ , we will construct  $M_0$  such that:

- If  $M$  accepts  $w$ , then  $\langle M_0 \rangle \in L_{\mathcal{C}}$ .
- If  $M$  does not accept  $w$ , then  $\langle M_0 \rangle \notin L_{\mathcal{C}}$ .

<http://www.cs.tau.ac.il/~bchor/CM09/Compute9.pdf>

Has (towards end) some good slides on Rice's theorem and its consequences.



# POST CORRESPONDENCE PROBLEM

- Undecidability is not just confined to problems concerning automata and languages.
- There are other “natural” problems which can be proved undecidable.
- The **Post correspondence problem** (PCP) is a tiling problem over strings.
- A tile or a domino contains two strings,  $t$  and  $b$ ; e.g.,  $\begin{bmatrix} ca \\ a \end{bmatrix}$ .
- Suppose we have dominos

$$\left\{ \begin{bmatrix} b \\ ca \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ a \end{bmatrix}, \begin{bmatrix} abc \\ c \end{bmatrix} \right\}$$

- A **match** is a list of these dominos so that when concatenated the top and the bottom strings are identical. For example,

$$\begin{bmatrix} a \\ ab \end{bmatrix} \begin{bmatrix} b \\ ca \end{bmatrix} \begin{bmatrix} ca \\ a \end{bmatrix} \begin{bmatrix} a \\ ab \end{bmatrix} \begin{bmatrix} abc \\ c \end{bmatrix} = \frac{abcaaabc}{abcaaabc}$$



- The set of dominos  $\left\{ \left[ \frac{abc}{ab} \right], \left[ \frac{ca}{a} \right], \left[ \frac{acc}{ba} \right], \right\}$  does not have a solution.

# POST CORRESPONDENCE PROBLEM

## AN INSTANCE OF THE PCP

A PCP instance over  $\Sigma$  is a finite collection  $P$  of dominos

$$P = \left\{ \left[ \frac{t_1}{b_1} \right], \left[ \frac{t_2}{b_2} \right], \dots, \left[ \frac{t_k}{b_k} \right] \right\}$$

where for all  $i$ ,  $1 \leq i \leq k$ ,  $t_i, b_i \in \Sigma^*$ .

## MATCH

Given a PCP instance  $P$ , a **match** is a nonempty sequence

$$i_1, i_2, \dots, i_\ell$$

of numbers from  $\{1, 2, \dots, k\}$  (with repetition) such that

$$t_{i_1} t_{i_2} \cdots t_{i_\ell} = b_{i_1} b_{i_2} \cdots b_{i_\ell}$$

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of numbers from  $\{1, 2, \dots, k\}$  (with repetition) such that  
 $t_{i_1} t_{i_2} \dots t_{i_\ell} = b_{i_1} b_{i_2} \dots b_{i_\ell}$

## QUESTION:

Does a given PCP instance  $P$  have a match?

# POST CORRESPONDENCE PROBLEM

## QUESTION:

Does a given PCP instance  $P$  have a match?

## LANGUAGE FORMULATION:

$PCP = \{\langle P \rangle \mid P \text{ is a PCP instance and it has a match}\}$

## THEOREM 5.15

PCP is undecidable.

# POST CORRESPONDENCE PROBLEM

## QUESTION:

Does a given PCP instance  $P$  have a match?

## LANGUAGE FORMULATION:

$PCP = \{\langle P \rangle \mid P \text{ is a PCP instance and it has a match}\}$

## THEOREM 5.15

PCP is undecidable.

---

Proof: By reduction using computation histories. If PCP is decidable then so is  $A_{TM}$ . That is, if PCP has a match, then  $M$  accepts  $w$ .

- That is,  $A_{TM}$  can be reduced to  $PCP$ .
- This reduction is via a simplified  $PCP$  called  $MPCP$  (modified PCP).
- Several undecidable properties of CFGs are obtained by reducing them (i.e., the corresponding languages) from PCP.

