

# The curvature and dimension of a closed surface

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## Abstract

The curvature of a closed surface can lead to fractional dimension. In this paper, the properties of the 2-sphere surface of a three-dimensional ball and the  $2.x$ -dimensional surface of a three-dimensional fractal set are considered. Tessellation is used to approximate each surface, primarily because the  $2.x$ -dimensional surface of a three-dimensional fractal set is otherwise non-differentiable (having no well-defined surface normals).

## 1 The tessellation of a closed surface

Approximating the surface of a three-dimensional shape via triangular tessellation (a mesh) allows us to calculate the surface's dimension  $D \in (2.0, 3.0)$ .

First we calculate, for each triangle, the average dot product of the triangle's face normal  $\hat{n}_i$  and its three neighbouring triangles' face normals  $\hat{o}_1, \hat{o}_2, \hat{o}_3$ :

$$d_i = \frac{\hat{n}_i \cdot \hat{o}_1 + \hat{n}_i \cdot \hat{o}_2 + \hat{n}_i \cdot \hat{o}_3}{3} \in (-1.0, 1.0]. \quad (1)$$

Because we assume that there are three neighbours per triangle, the mesh must be *closed* (no cracks or holes, precisely two triangles per edge). The reason why the value  $-1.0$  is not achievable is because that would lead to intersecting triangles.

Then we calculate the normalized measure of curvature:

$$k_i = \frac{1 - d_i}{2} \in [0.0, 1.0). \quad (2)$$

Once  $k_i$  has been calculated for all triangles, we can then calculate the average normalized measure of curvature  $K$ , where  $t$  is the number of triangles in the mesh:

$$K = \frac{1}{t} \sum_{i=1}^t k_i = \frac{k_1 + k_2 + \dots + k_t}{t} \in (0.0, 1.0). \quad (3)$$

The reason why the value  $0.0$  is not achievable is because we are dealing with a closed surface, and so there's bound to be *some* curvature.

The dimension of the closed surface is:

$$D = 2 + K \in (2.0, 3.0). \quad (4)$$

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## 2 Marching Cubes

In this paper, Marching Cubes [1, 2] is used to generate the 2. $x$ -dimensional closed meshes. The entire C++ code for generating a mesh can be found at [3]. The entire C++ code for calculating a mesh's dimension can be found at [4].

Where  $r \in [2, \infty)$  is the *integer* sampling resolution,  $g_{\max} \in (-\infty, \infty)$  is the sampling grid maximum extent,  $g_{\min} \in (-\infty, \infty)$  is the sampling grid minimum extent, and  $g_{\max} > g_{\min}$ , the Marching Cubes step size is:

$$\ell = \frac{g_{\max} - g_{\min}}{r - 1} \in (0.0, \infty). \quad (5)$$

In this paper  $g_{\max} = 1.5$ ,  $g_{\min} = -1.5$ , and  $r$  is variable.

Analogously, Marching Squares [5] can be used to generate 1. $x$ -dimensional closed line paths, where  $D \in (1.0, 2.0)$ . See Figures 1 - 3 for some examples of a line path.

## 3 Conclusions

For a 2-sphere, the *local* curvature all but vanishes as  $\ell$  decreases (e.g. as  $r$  increases):

$$\lim_{\ell \rightarrow 0.0} K(\ell) = 0.0. \quad (6)$$

This results in a dimension of practically (but never quite) 2.0, which is to be expected from a non-fractal surface. See Figures 4 - 6.

On the other hand, for the 2. $x$ -dimensional surface of a three-dimensional fractal set, the local curvature does not vanish as  $\ell$  decreases:

$$\lim_{\ell \rightarrow 0.0} K(\ell) \neq 0.0. \quad (7)$$

This results in a dimension considerably greater than 2.0, but not equal to or greater than 3.0, which is to be expected from a fractal surface. See Figures 7 - 10.

As far as we know, this method of calculating the dimension of a closed surface is new.

## References

- [1] Lorensen, W. E.; Cline, Harvey E. (1987). "Marching cubes: A high resolution 3d surface construction algorithm". ACM Computer Graphics. 21 (4): 163–169
- [2] <http://paulbourke.net/geometry/polygonise/>
- [3] [https://github.com/sjhalayka/marching\\_cubes](https://github.com/sjhalayka/marching_cubes)
- [4] <https://github.com/sjhalayka/meshdim>
- [5] [https://en.wikipedia.org/wiki/Marching\\_squares](https://en.wikipedia.org/wiki/Marching_squares)

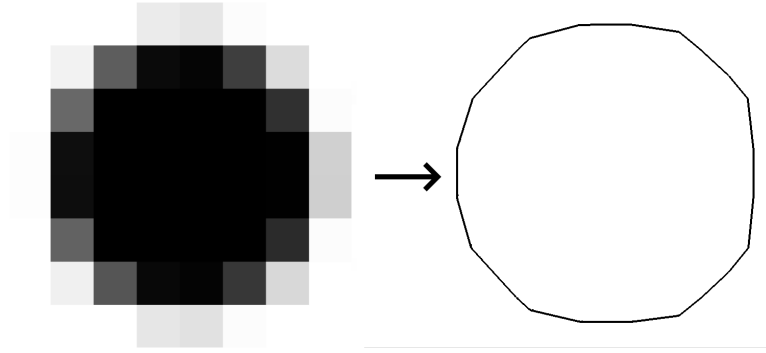


Figure 1: Example input and output of the Marching Squares algorithm, approximating a 1-sphere (a circle), where  $r = 10$ .

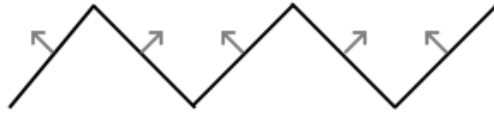


Figure 2: Illustrated is a section of a closed line path, with surface normals. The average dot product of neighbouring line segments is  $d_i = 0.0$ . This leads to a normalized measure of  $k_i = (1 - d_i)/2 = 0.5$ , which in turn leads to an average normalized measure of  $K = 0.5$ . The dimension is  $D = 1 + K = 1.5$ .

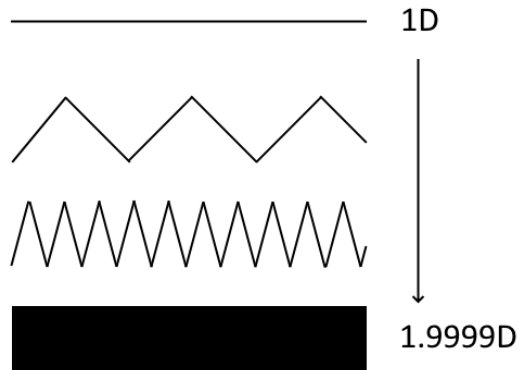


Figure 3: A section of a closed line path as it goes from dimension 1.0 (at top) to 1.9999 (at bottom). In the end, where the dimension is 1.9999, the result is practically a rectangle. The reason why the dimension cannot be 2.0 is because that would lead to intersecting line segments.



Figure 4: Low resolution ( $r = 10$ ) surface for the iterative equation is  $Z = Z^2$ . The surface's dimension is 2.02.

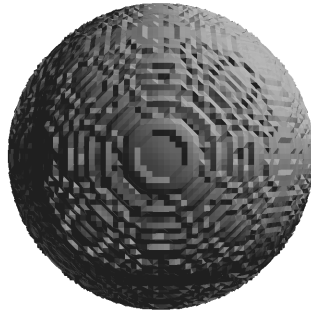


Figure 5: Medium resolution ( $r = 100$ ) surface for the iterative equation is  $Z = Z^2$ . The surface's dimension is 2.06.

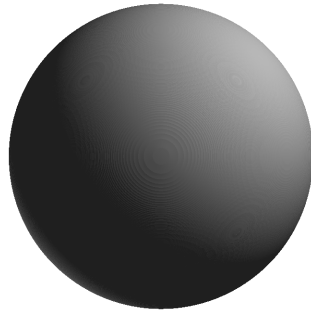


Figure 6: High resolution ( $r = 1000$ ) surface for the iterative equation is  $Z = Z^2$ . The surface's dimension is practically 2.0.

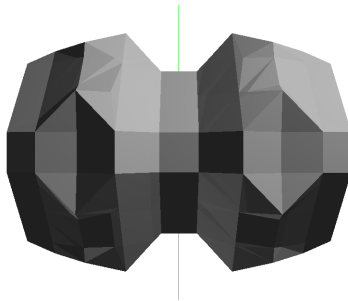


Figure 7: Low resolution ( $r = 10$ ) surface for the iterative equation is  $Z = Z \cos(Z)$ . The surface's dimension is 2.05.

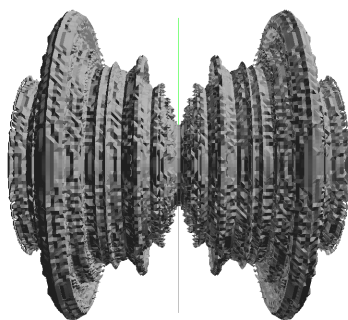


Figure 8: Medium resolution ( $r = 100$ ) surface for the iterative equation is  $Z = Z \cos(Z)$ . The surface's dimension is 2.11.

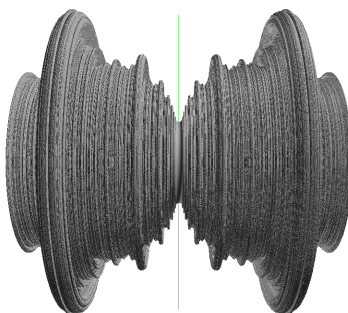


Figure 9: High resolution ( $r = 1000$ ) surface for the iterative equation is  $Z = Z \cos(Z)$ . The surface's dimension is 2.08.

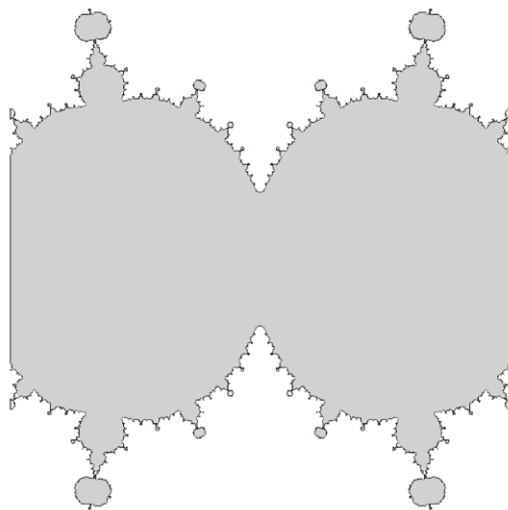


Figure 10: A two-dimensional slice of  $Z = Z \cos(Z)$ , showing the fractal nature of the set.