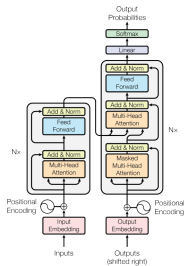


Transformer

Transformer-XL



Learning goals

- Understand the limitations for long sequences
- Understand the Segment Recurrence mechanism
- Understand relative positional encodings

LIMITATION OF THE TRANSFORMER

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

| Layer Type | Complexity per Layer | Sequential Operations | Maximum Path Length |
|-----------------------------|--------------------------|-----------------------|---------------------|
| Self-Attention | $O(n^2 \cdot d)$ | $O(1)$ | $O(1)$ |
| Recurrent | $O(n \cdot d^2)$ | $O(n)$ | $O(n)$ |
| Convolutional | $O(k \cdot n \cdot d^2)$ | $O(1)$ | $O(\log_k(n))$ |
| Self-Attention (restricted) | $O(r \cdot n \cdot d)$ | $O(1)$ | $O(n/r)$ |

not cool

cool

Source: Vaswani et al. (2017)

Advantage:

- Every token can *directly* attend to each other token
- Cf. RNN: At worst n sequential operations (last to first token)

Severe Limitation:

- Every token attends to each other token (incl. itself)
 - We need to calculate n^2 attention weights
- Computational complexity of Transformer scales quadratically with the sequence length
 - Longer sequences are disproportionately expensive

TRANSFORMER-XL

Key facts:

- Objective: Autoregressive Language Modeling task
- Transformer decoder model
- Addresses long sequences
- Assumption: *No* infinite memory & compute; limited resources
- (Possible) Solution Vanilla Transformer:
 - Split corpus into shorter segments
 - Limited contextual information
- Solution Transformer-XL:
 - Segment-level recurrence mechanism
 - Able to model longer-term dependencies

TRANSFORMER-XL

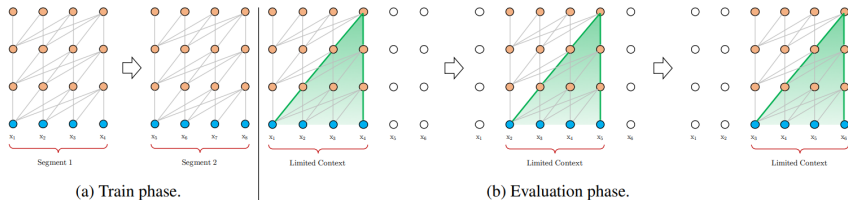


Figure 1: Illustration of the vanilla model with a segment length 4.

Source: Dai et al. (2019)

- Contextual information limited to segments
- Does not respect semantic or syntactic boundaries

TRANSFORMER-XL

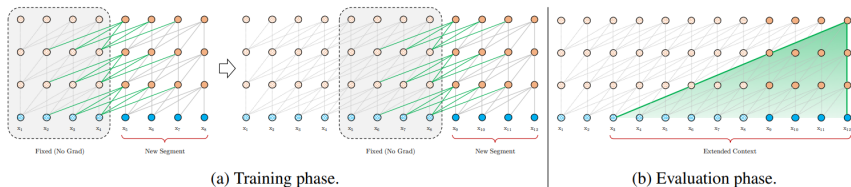


Figure 2: Illustration of the Transformer-XL model with a segment length 4.

Source: Dai et al. (2019)

- Caches hidden states from the previous segment
- Contextual information flows across segments

SEGMENT RECURRENCE

- Let $s_\tau = [x_{\tau,1}, \dots, x_{\tau,L}]$ and $s_{\tau+1} = [x_{\tau+1,1}, \dots, x_{\tau+1,L}]$ be two consecutive segments of length L .
- Let $h_\tau^n \in \mathbb{R}^{L \times d}$ denote the n -th layer hidden states for s_τ .
- Using segment recurrence, the n -th layer hidden states for the following segment $s_{\tau+1}$ are computed as follows:

$$\tilde{h}_{\tau+1}^{n-1} = \text{Concat}[SG(h_\tau^{n-1}), h_{\tau+1}^{n-1}]$$

$$q_{\tau+1}^n = h_{\tau+1}^{n-1} W_q^T; \quad k_{\tau+1}^n = \tilde{h}_{\tau+1}^{n-1} W_k^T; \quad v_{\tau+1}^n = \tilde{h}_{\tau+1}^{n-1} W_v^T$$

$$h_{\tau+1}^n = \text{Trafo}(q_{\tau+1}^n, k_{\tau+1}^n, v_{\tau+1}^n),$$

where $SG(\cdot)$ stands for "stop-gradient".

RELATIVE POSITIONAL ENCODINGS

Problem:

