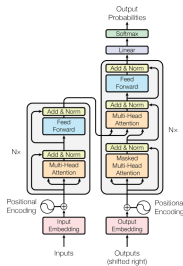


# Transformer

## Transformer-XL



### Learning goals

- Understand BPE
- Understand the Transformer Encoder + Decoder
- Understand how they are connected
- Understand the limitations for long sequences

# LIMITATION OF THE TRANSFORMER

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types.  $n$  is the sequence length,  $d$  is the representation dimension,  $k$  is the kernel size of convolutions and  $r$  the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

not cool

cool

Source: Vaswani et al. (2017)

## Advantage:

- Every token can *directly* attend to each other token
- Cf. RNN: At worst  $n$  sequential operations (last to first token)

## Severe Limitation:

- Every token attends to each other token (incl. itself)  
→ We need to calculate  $n^2$  attention weights
- Computational complexity of Transformer scales quadratically with the sequence length  
→ Longer sequences are disproportionately expensive

# TRANSFORMER-XL

## Key facts:

- Objective: Autoregressive Language Modeling task
- Transformer decoder model
- Addresses long sequences
- Assumption: *No* infinite memory & compute; limited resources
- (Possible) Solution Vanilla Transformer:
  - Split corpus into shorter segments
  - Limited contextual information
- Solution Transformer-XL:
  - Segment-level recurrence mechanism
  - Able to model longer-term dependencies

# TRANSFORMER-XL

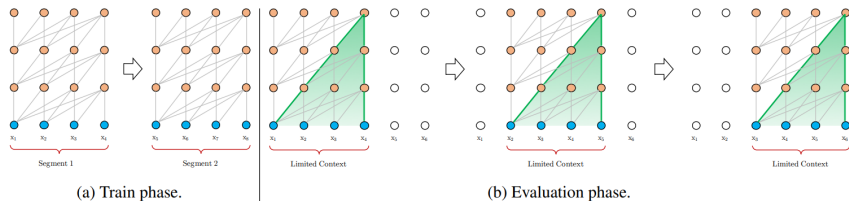


Figure 1: Illustration of the vanilla model with a segment length 4.

Source: Dai et al. (2019)

- Contextual information limited to segments
- Does not respect semantic or syntactic boundaries

# TRANSFORMER-XL

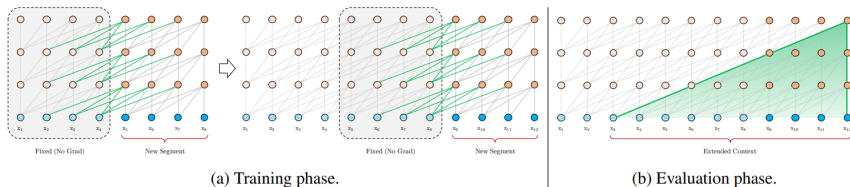


Figure 2: Illustration of the Transformer-XL model with a segment length 4.

Source: Dai et al. (2019)

- Caches hidden states from the previous segment
- Contextual information flows across segments

# SEGMENT RECURRENCE

- Let  $s_\tau = [x_{\tau,1}, \dots, x_{\tau,L}]$  and  $s_{\tau+1} = [x_{\tau+1,1}, \dots, x_{\tau+1,L}]$  be two consecutive segments of length  $L$ .
- Let  $h_\tau^n \in \mathbb{R}^{L \times d}$  denote the  $n$ -th layer hidden states for  $s_\tau$ .
- Using segment recurrence, the  $n$ -th layer hidden states for the following segment  $s_{\tau+1}$  are computed as follows:

$$\tilde{h}_{\tau+1}^{n-1} = \text{Concat}[SG(h_\tau^{n-1}), h_{\tau+1}^{n-1}]$$

$$q_{\tau+1}^n = h_{\tau+1}^{n-1} W_q^T; \quad k_{\tau+1}^n = \tilde{h}_{\tau+1}^{n-1} W_k^T; \quad v_{\tau+1}^n = \tilde{h}_{\tau+1}^{n-1} W_v^T$$

$$h_{\tau+1}^n = \text{Trafo}(q_{\tau+1}^n, k_{\tau+1}^n, v_{\tau+1}^n),$$

where  $SG(\cdot)$  stands for "stop-gradient".

# RELATIVE POSITIONAL ENCODINGS

**Problem:**

