

The ZeroMQ Guide - for Lua Developers

Pieter Hintjens

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by Pieter Hintjens

Dedication

This book is dedicated to the ØMQ community.

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Preface

1. ZeroMQ in a Hundred Words

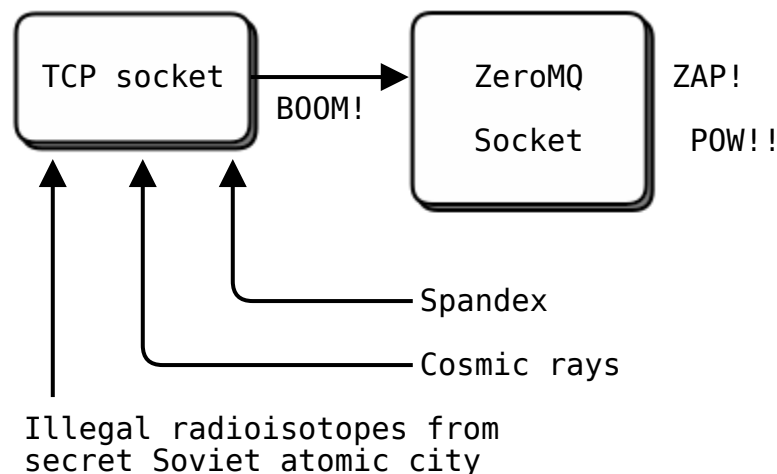
ZeroMQ (also known as ØMQ, ØMQ, or zmq) looks like an embeddable networking library but acts like a concurrency framework. It gives you sockets that carry atomic messages across various transports like in-process, inter-process, TCP, and multicast. You can connect sockets N-to-N with patterns like fan-out, pub-sub, task distribution, and request-reply. It's fast enough to be the fabric for clustered products. Its asynchronous I/O model gives you scalable multicore applications, built as asynchronous message-processing tasks. It has a score of language APIs and runs on most operating systems. ZeroMQ is from iMatix (<http://www.imatix.com>) and is LGPLv3 open source.

2. How It Began

We took a normal TCP socket, injected it with a mix of radioactive isotopes stolen from a secret Soviet atomic research project, bombarded it with 1950-era cosmic rays, and put it into the hands of a drug-addled comic book author with a badly-disguised fetish for bulging muscles clad in spandex. Yes, ZeroMQ sockets are the world-saving superheroes of the networking world.

3. The Zen of Zero

Figure 1. A terrible accident...



The Ø in ZeroMQ is all about tradeoffs. On the one hand this strange name lowers ZeroMQ's visibility on Google and Twitter. On the other hand it annoys the heck out of some Danish folk who write us things

like "ØMG røtfl", and "Ø is not a funny looking zero!" and "*Rødgrød med fløde!*", which is apparently an insult that means "may your neighbours be the direct descendants of Grendel!" Seems like a fair trade.

Originally the zero in ZeroMQ was meant as "zero broker" and (as close to) "zero latency" (as possible). Since then, it has come to encompass different goals: zero administration, zero cost, zero waste. More generally, "zero" refers to the culture of minimalism that permeates the project. We add power by removing complexity rather than by exposing new functionality.

4. How This Book Came To Be

In the summer of 2010, ZeroMQ was still a little-known niche library described by its rather terse reference manual and a living but sparse wiki. Martin Sustrik and myself were sitting in the bar of the Hotel Kyjev in Bratislava plotting how to make ZeroMQ more widely popular. Martin had written most of the ZeroMQ code, and I'd put up the funding and organized the community. Over some Zlaty Bazants, we agreed that ZeroMQ needed a new, simpler web site and a basic guide for new users.

Martin collected some ideas for topics to explain. I'd never written a line of ZeroMQ code before this, so it became a live learning documentary. As I worked through simple examples to more complex ones, I tried to answer many of the questions I'd seen on the mailing list. Because I'd been building large-scale architectures for 30 years, there were a lot of problems at which I was keen to throw ZeroMQ. Amazingly the results were mostly simple and elegant, even when working in C. I felt a pure joy learning ZeroMQ and using it to solve real problems, which brought me back to programming after a few years' pause. And often, not knowing how it was "supposed" to be done, we improved ZeroMQ as we went along.

From the start, I wanted the ZeroMQ guide to be a community project, so I put it onto GitHub and let others contribute with pull requests. This was considered a radical, even vulgar approach by some. We came to a division of labor: I'd do the writing and make the original C examples, and others would help fix the text and translate the examples into other languages.

This worked better than I dared hope. You can now find all the examples in several languages and many in a dozen languages. It's a kind of programming language Rosetta stone and a valuable outcome in itself. We set up a high score: reach 80% translation and your language got its own Guide. PHP, Python, Lua, and Haxe reached this goal. People asked for PDFs, and we created those. People asked for ebooks, and got those. About a hundred people contributed to the examples to date.

The book, in its on-line version "The Guide", achieved its goal of popularizing ZeroMQ. The style pleases most and annoys some, which is how it should be. In December 2010, my work on ZeroMQ and this guide stopped, as I found myself going through late-stage cancer, heavy surgery, and six months of chemotherapy. When I picked up work again in mid-2011, it was to start using ZeroMQ in anger for one of the largest use cases imaginable: on the mobile phones and tablets of the world's biggest electronics company.

But the goal of the ZeroMQ book was, from the start, a printed work. So it was exciting to get an email from Bill Lubanovic in January 2012 introducing me to his editor, Andy Oram, at O'Reilly, suggesting a ZeroMQ book. Of course! Where do I sign? How much do I have to pay? Oh, I *get money* for this? All I have to do is finish it?

Of course as soon as O'Reilly announced a ZeroMQ book, other publishers started sending out emails to potential authors. You'll probably see a rash of ZeroMQ books coming out next year. That's good. Our niche library has hit the mainstream and deserves its six inches of shelf space. My apologies to the other ZeroMQ authors. We've set the bar horribly high, and my advice is to make your books complementary. Perhaps focus on a specific language, platform, or pattern.

This is the magic and power of communities: be the first community in a space, stay healthy, and you own that space for ever.

5. Audience

This book is written for professional programmers who want to learn how to make the massively distributed software that will dominate the future of computing. We assume you can read C code, because most of the examples here are in C even though ZeroMQ is used in many languages. We assume you care about scale, because ZeroMQ solves that problem above all others. We assume you need the best possible results with the least possible cost, because otherwise you won't appreciate the trade-offs that ZeroMQ makes. Other than that basic background, we try to present all the concepts in networking and distributed computing you will need to use ZeroMQ.

I. Learning ØMQ

In the first part of this book, you'll learn how to use ØMQ. We'll cover the basics, the API, the different socket types and how they work, reliability, and a host of patterns you can use in your applications. You'll get the best results by working through the examples and text from start to end.

Chapter 1. Basics

1.1. Fixing the World

How to explain ZeroMQ? Some of us start by saying all the wonderful things it does. *It's sockets on steroids. It's like mailboxes with routing. It's fast!* Others try to share their moment of enlightenment, that zap-pow-kaboom satori paradigm-shift moment when it all became obvious. *Things just become simpler. Complexity goes away. It opens the mind.* Others try to explain by comparison. *It's smaller, simpler, but still looks familiar.* Personally, I like to remember why we made ZeroMQ at all, because that's most likely where you, the reader, still are today.

Programming is science dressed up as art because most of us don't understand the physics of software and it's rarely, if ever, taught. The physics of software is not algorithms, data structures, languages and abstractions. These are just tools we make, use, throw away. The real physics of software is the physics of people--specifically, our limitations when it comes to complexity, and our desire to work together to solve large problems in pieces. This is the science of programming: make building blocks that people can understand and use *easily*, and people will work together to solve the very largest problems.

We live in a connected world, and modern software has to navigate this world. So the building blocks for tomorrow's very largest solutions are connected and massively parallel. It's not enough for code to be "strong and silent" any more. Code has to talk to code. Code has to be chatty, sociable, well-connected. Code has to run like the human brain, trillions of individual neurons firing off messages to each other, a massively parallel network with no central control, no single point of failure, yet able to solve immensely difficult problems. And it's no accident that the future of code looks like the human brain, because the endpoints of every network are, at some level, human brains.

If you've done any work with threads, protocols, or networks, you'll realize this is pretty much impossible. It's a dream. Even connecting a few programs across a few sockets is plain nasty when you start to handle real life situations. Trillions? The cost would be unimaginable. Connecting computers is so difficult that software and services to do this is a multi-billion dollar business.

So we live in a world where the wiring is years ahead of our ability to use it. We had a software crisis in the 1980s, when leading software engineers like Fred Brooks believed there was no "Silver Bullet" (http://en.wikipedia.org/wiki/No_Silver_Bullet) to "promise even one order of magnitude of improvement in productivity, reliability, or simplicity".

Brooks missed free and open source software, which solved that crisis, enabling us to share knowledge efficiently. Today we face another software crisis, but it's one we don't talk about much. Only the largest, richest firms can afford to create connected applications. There is a cloud, but it's proprietary. Our data and our knowledge is disappearing from our personal computers into clouds that we cannot access and with which we cannot compete. Who owns our social networks? It is like the mainframe-PC revolution in reverse.

We can leave the political philosophy for another book (<http://cultureandempire.com>). The point is that while the Internet offers the potential of massively connected code, the reality is that this is out of reach for most of us, and so large interesting problems (in health, education, economics, transport, and so on) remain unsolved because there is no way to connect the code, and thus no way to connect the brains that could work together to solve these problems.

There have been many attempts to solve the challenge of connected code. There are thousands of IETF specifications, each solving part of the puzzle. For application developers, HTTP is perhaps the one solution to have been simple enough to work, but it arguably makes the problem worse by encouraging developers and architects to think in terms of big servers and thin, stupid clients.

So today people are still connecting applications using raw UDP and TCP, proprietary protocols, HTTP, and Websockets. It remains painful, slow, hard to scale, and essentially centralized. Distributed P2P architectures are mostly for play, not work. How many applications use Skype or Bittorrent to exchange data?

Which brings us back to the science of programming. To fix the world, we needed to do two things. One, to solve the general problem of "how to connect any code to any code, anywhere". Two, to wrap that up in the simplest possible building blocks that people could understand and use *easily*.

It sounds ridiculously simple. And maybe it is. That's kind of the whole point.

1.2. Starting Assumptions

We assume you are using at least version 3.2 of ZeroMQ. We assume you are using a Linux box or something similar. We assume you can read C code, more or less, as that's the default language for the examples. We assume that when we write constants like PUSH or SUBSCRIBE, you can imagine they are really called ZMQ_PUSH or ZMQ_SUBSCRIBE if the programming language needs it.

1.3. Getting the Examples

The examples live in a public GitHub repository (<https://github.com/imatix/zguide>). The simplest way to get all the examples is to clone this repository:

```
git clone --depth=1 https://github.com/imatix/zguide.git
```

Next, browse the examples subdirectory. You'll find examples by language. If there are examples missing in a language you use, you're encouraged to submit a translation (<http://zguide.zeromq.org/main:translate>). This is how this text became so useful, thanks to the work of many people. All examples are licensed under MIT/X11.

1.4. Ask and Ye Shall Receive

So let's start with some code. We start of course with a Hello World example. We'll make a client and a server. The client sends "Hello" to the server, which replies with "World"Figure 1-1. Here's the server in C, which opens a ZeroMQ socket on port 5555, reads requests on it, and replies with "World" to each request:

Example 1-1. Hello World server (hwserver.lua)

```
--
-- Hello World server
-- Binds REP socket to tcp://*:5555
-- Expects "Hello" from client, replies with "World"
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

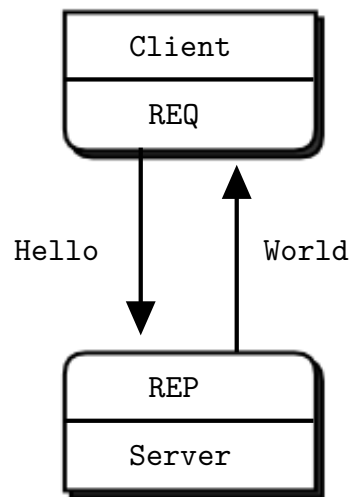
local context = zmq.init(1)

-- Socket to talk to clients
local socket = context:socket(zmq.REP)
socket:bind("tcp://*:5555")

while true do
    -- Wait for next request from client
    local request = socket:recv()
    print("Received Hello [" .. request .. "]")

    -- Do some 'work'
    s_sleep(1000)

    -- Send reply back to client
    socket:send("World")
end
-- We never get here but if we did, this would be how we end
socket:close()
context:term()
```

Figure 1-1. Request-Reply

The REQ-REP socket pair is in lockstep. The client issues `zmq_send()` and then `zmq_recv()`, in a loop (or once if that's all it needs). Doing any other sequence (e.g., sending two messages in a row) will result in a return code of -1 from the `send` or `recv` call. Similarly, the service issues `zmq_recv()` and then `zmq_send()` in that order, as often as it needs to.

ZeroMQ uses C as its reference language and this is the main language we'll use for examples. If you're reading this online, the link below the example takes you to translations into other programming languages. Let's compare the same server in C++:

Example 1-2. Hello World server (hwserver.cpp)

```

//
// Hello World server in C++
// Binds REP socket to tcp://*:5555
// Expects "Hello" from client, replies with "World"
//
#include <zmq.hpp>
#include <string>
#include <iostream>
#ifdef _WIN32
#include <unistd.h>
#else
#include <windows.h>

#define sleep(n) Sleep(n)
#endif

int main () {
    // Prepare our context and socket

```



```

zmq::context_t context (1);
zmq::socket_t socket (context, ZMQ_REP);
socket.bind ("tcp://*:5555");

while (true) {
    zmq::message_t request;

    // Wait for next request from client
    socket.recv (&request);
    std::cout << "Received Hello" << std::endl;

    // Do some 'work'
    sleep(1);

    // Send reply back to client
    zmq::message_t reply (5);
    memcpy (reply.data (), "World", 5);
    socket.send (reply);
}
return 0;
}

```

You can see that the ZeroMQ API is similar in C and C++. In a language like PHP or Java, we can hide even more and the code becomes even easier to read:

Example 1-3. Hello World server (hwserver.php)

```

<?php
/*
 * Hello World server
 * Binds REP socket to tcp://*:5555
 * Expects "Hello" from client, replies with "World"
 * @author Ian Barber <ian(dot)barber(at)gmail(dot)com>
 */

$context = new ZMQContext(1);

// Socket to talk to clients
$responder = new ZMQSocket($context, ZMQ::SOCKET_REP);
$responder->bind("tcp://*:5555");

while (true) {
    // Wait for next request from client
    $request = $responder->recv();
    printf ("Received request: [%s]\n", $request);

    // Do some 'work'
    sleep (1);

    // Send reply back to client
    $responder->send("World");
}

```

Example 1-4. Hello World server (hwserver.java)

```

package guide;

//
// Hello World server in Java
// Binds REP socket to tcp://*:5555
// Expects "Hello" from client, replies with "World"
//

import org.zeromq.SocketType;
import org.zeromq.ZMQ;
import org.zeromq.ZContext;

public class hwserver
{
    public static void main(String[] args) throws Exception
    {
        try (ZContext context = new ZContext()) {
            // Socket to talk to clients
            ZMQ.Socket socket = context.createSocket(SocketType.REP);
            socket.bind("tcp://*:5555");

            while (!Thread.currentThread().isInterrupted()) {
                byte[] reply = socket.recv(0);
                System.out.println(
                    "Received " + ": [" + new String(reply, ZMQ.CHARSET) + "]"
                );

                String response = "world";
                socket.send(response.getBytes(ZMQ.CHARSET), 0);

                Thread.sleep(1000); // Do some 'work'
            }
        }
    }
}

```

The server in other languages:

Example 1-5. Hello World server (hwserver.lua)

```

--
-- Hello World server
-- Binds REP socket to tcp://*:5555
-- Expects "Hello" from client, replies with "World"
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

```

```

local context = zmq.init(1)

-- Socket to talk to clients
local socket = context:socket(zmq.REP)
socket:bind("tcp://*:5555")

while true do
    -- Wait for next request from client
    local request = socket:recv()
    print("Received Hello [" .. request .. "]")

    -- Do some 'work'
    s_sleep(1000)

    -- Send reply back to client
    socket:send("World")
end
-- We never get here but if we did, this would be how we end
socket:close()
context:term()

```

Here's the client code:

Example 1-6. Hello World client (hwclient.lua)

```

--
-- Hello World client
-- Connects REQ socket to tcp://localhost:5555
-- Sends "Hello" to server, expects "World" back
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"

local context = zmq.init(1)

-- Socket to talk to server
print("Connecting to hello world server...")
local socket = context:socket(zmq.REQ)
socket:connect("tcp://localhost:5555")

for n=1,10 do
    print("Sending Hello " .. n .. " ...")
    socket:send("Hello")

    local reply = socket:recv()
    print("Received World " .. n .. " [" .. reply .. "]")
end
socket:close()
context:term()

```

Now this looks too simple to be realistic, but ZeroMQ sockets have, as we already learned, superpowers. You could throw thousands of clients at this server, all at once, and it would continue to work happily and quickly. For fun, try starting the client and *then* starting the server, see how it all still works, then think for a second what this means.

Let us explain briefly what these two programs are actually doing. They create a ZeroMQ context to work with, and a socket. Don't worry what the words mean. You'll pick it up. The server binds its REP (reply) socket to port 5555. The server waits for a request in a loop, and responds each time with a reply. The client sends a request and reads the reply back from the server.

If you kill the server (Ctrl-C) and restart it, the client won't recover properly. Recovering from crashing processes isn't quite that easy. Making a reliable request-reply flow is complex enough that we won't cover it until Reliable Request-Reply PatternsChapter 4.

There is a lot happening behind the scenes but what matters to us programmers is how short and sweet the code is, and how often it doesn't crash, even under a heavy load. This is the request-reply pattern, probably the simplest way to use ZeroMQ. It maps to RPC and the classic client/server model.

1.5. A Minor Note on Strings

ZeroMQ doesn't know anything about the data you send except its size in bytes. That means you are responsible for formatting it safely so that applications can read it back. Doing this for objects and complex data types is a job for specialized libraries like Protocol Buffers. But even for strings, you need to take care.

In C and some other languages, strings are terminated with a null byte. We could send a string like "HELLO" with that extra null byte:

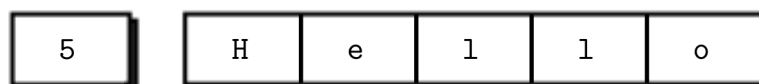
```
zmq_send (requester, "Hello", 6, 0);
```

However, if you send a string from another language, it probably will not include that null byte. For example, when we send that same string in Python, we do this:

```
socket.send ("Hello")
```

Then what goes onto the wire is a length (one byte for shorter strings) and the string contents as individual charactersFigure 1-2.

Figure 1-2. A ZeroMQ string



And if you read this from a C program, you will get something that looks like a string, and might by accident act like a string (if by luck the five bytes find themselves followed by an innocently lurking null), but isn't a proper string. When your client and server don't agree on the string format, you will get weird results.

When you receive string data from ZeroMQ in C, you simply cannot trust that it's safely terminated. Every single time you read a string, you should allocate a new buffer with space for an extra byte, copy the string, and terminate it properly with a null.

So let's establish the rule that **ZeroMQ strings are length-specified and are sent on the wire *without* a trailing null**. In the simplest case (and we'll do this in our examples), a ZeroMQ string maps neatly to a ZeroMQ message frame, which looks like the above figure--a length and some bytes.

Here is what we need to do, in C, to receive a ZeroMQ string and deliver it to the application as a valid C string:

```
// Receive ZeroMQ string from socket and convert into C string
// Chops string at 255 chars, if it's longer
static char *
s_recv (void *socket) {
    char buffer [256];
    int size = zmq_recv (socket, buffer, 255, 0);
    if (size == -1)
        return NULL;
    if (size > 255)
        size = 255;
    buffer [size] = \0;
    /* use strdup(buffer, sizeof(buffer)-1) in *nix */
    return strdup (buffer);
}
```

This makes a handy helper function and in the spirit of making things we can reuse profitably, let's write a similar `s_send` function that sends strings in the correct ZeroMQ format, and package this into a header file we can reuse.

The result is `zhelpers.h`, which lets us write sweeter and shorter ZeroMQ applications in C. It is a fairly long source, and only fun for C developers, so read it at leisure (<https://github.com/imatix/zguide/blob/master/examples/C/zhelpers.h>).

1.6. Version Reporting

ZeroMQ does come in several versions and quite often, if you hit a problem, it'll be something that's been fixed in a later version. So it's a useful trick to know *exactly* what version of ZeroMQ you're actually linking with.

Here is a tiny program that does that:

Example 1-7. ZeroMQ version reporting (version.lua)

```
--
-- Report OMQ version
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"

print("Current OMQ version is " .. table.concat(zmq.version(), '.'))
```

1.7. Getting the Message Out

The second classic pattern is one-way data distribution, in which a server pushes updates to a set of clients. Let's see an example that pushes out weather updates consisting of a zip code, temperature, and relative humidity. We'll generate random values, just like the real weather stations do.

Here's the server. We'll use port 5556 for this application:

Example 1-8. Weather update server (wuserver.lua)

```
--
-- Weather update server
-- Binds PUB socket to tcp://*:5556
-- Publishes random weather updates
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"

-- Prepare our context and publisher
local context = zmq.init(1)
local publisher = context:socket(zmq.PUB)
publisher:bind("tcp://*:5556")
publisher:bind("ipc://weather.ipc")

-- Initialize random number generator
math.randomseed(os.time())
while (1) do
    -- Get values that will fool the boss
    local zipcode, temperature, relhumidity
    zipcode      = math.random(0, 99999)
    temperature = math.random(-80, 135)
    relhumidity  = math.random(10, 60)

    -- Send message to all subscribers
    publisher:send(string.format("%05d %d %d", zipcode, temperature, relhumidity))
```

```

end
publisher:close()
context:term()

```

There's no start and no end to this stream of updates, it's like a never ending broadcast .

Here is the client application, which listens to the stream of updates and grabs anything to do with a specified zip code, by default New York City because that's a great place to start any adventure:

Example 1-9. Weather update client (wuclient.lua)

```

--
-- Weather update client
-- Connects SUB socket to tcp://localhost:5556
-- Collects weather updates and finds avg temp in zipcode
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"

local context = zmq.init(1)

-- Socket to talk to server
print("Collecting updates from weather server...")
local subscriber = context:socket(zmq.SUB)
subscriber:connect(arg[2] or "tcp://localhost:5556")

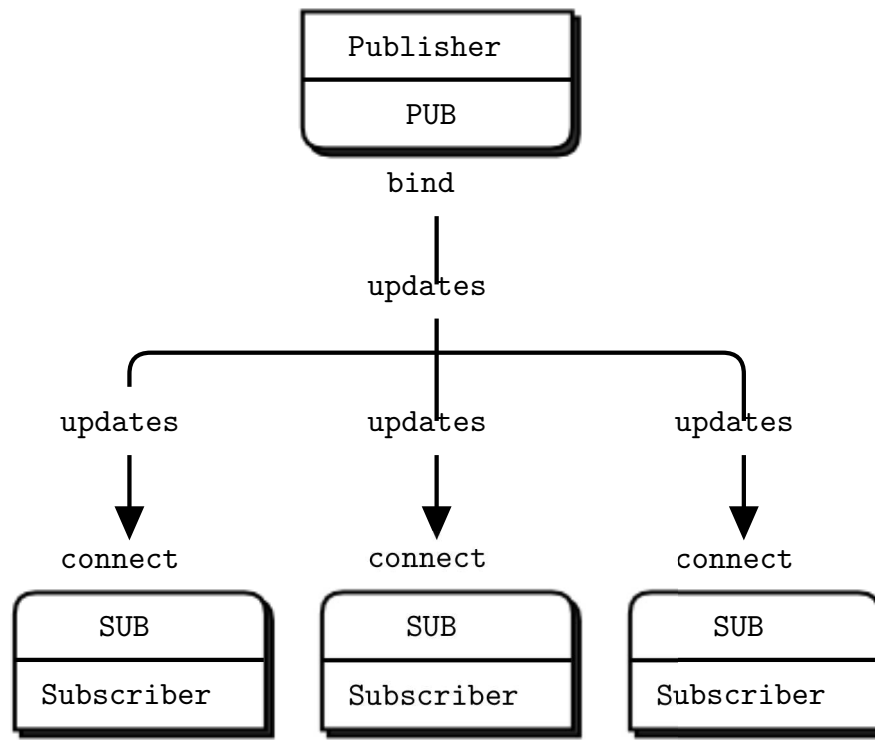
-- Subscribe to zipcode, default is NYC, 10001
local filter = arg[1] or "10001 "
subscriber:setopt(zmq.SUBSCRIBE, filter)

-- Process 100 updates
local update_nbr = 0
local total_temp = 0
for n=1,100 do
    local message = subscriber:recv()
    local zipcode, temperature, relhumidity = message:match("(%d-]*) (%d-]*) (%d-]*)")
    total_temp = total_temp + temperature
    update_nbr = update_nbr + 1
end
print(string.format("Average temperature for zipcode '%s' was %dF, total = %d",
    filter, (total_temp / update_nbr), total_temp))

subscriber:close()
context:term()

```

Figure 1-3. Publish-Subscribe



Note that when you use a SUB socket you **must** set a subscription using `zmq_setsockopt()` and `SUBSCRIBE`, as in this code. If you don't set any subscription, you won't get any messages. It's a common mistake for beginners. The subscriber can set many subscriptions, which are added together. That is, if an update matches ANY subscription, the subscriber receives it. The subscriber can also cancel specific subscriptions. A subscription is often, but not necessarily a printable string. See `zmq_setsockopt()` for how this works.

The PUB-SUB socket pair is asynchronous. The client does `zmq_recv()`, in a loop (or once if that's all it needs). Trying to send a message to a SUB socket will cause an error. Similarly, the service does `zmq_send()` as often as it needs to, but must not do `zmq_recv()` on a PUB socket.

In theory with ZeroMQ sockets, it does not matter which end connects and which end binds. However, in practice there are undocumented differences that I'll come to later. For now, bind the PUB and connect the SUB, unless your network design makes that impossible.

There is one more important thing to know about PUB-SUB sockets: you do not know precisely when a subscriber starts to get messages. Even if you start a subscriber, wait a while, and then start the publisher, **the subscriber will always miss the first messages that the publisher sends**. This is because as the subscriber connects to the publisher (something that takes a small but non-zero time), the publisher may

already be sending messages out.

This "slow joiner" symptom hits enough people often enough that we're going to explain it in detail. Remember that ZeroMQ does asynchronous I/O, i.e., in the background. Say you have two nodes doing this, in this order:

- Subscriber connects to an endpoint and receives and counts messages.
- Publisher binds to an endpoint and immediately sends 1,000 messages.

Then the subscriber will most likely not receive anything. You'll blink, check that you set a correct filter and try again, and the subscriber will still not receive anything.

Making a TCP connection involves to and from handshaking that takes several milliseconds depending on your network and the number of hops between peers. In that time, ZeroMQ can send many messages. For sake of argument assume it takes 5 msec to establish a connection, and that same link can handle 1M messages per second. During the 5 msec that the subscriber is connecting to the publisher, it takes the publisher only 1 msec to send out those 1K messages.

In *Sockets and Patterns* Chapter 2 we'll explain how to synchronize a publisher and subscribers so that you don't start to publish data until the subscribers really are connected and ready. There is a simple and stupid way to delay the publisher, which is to sleep. Don't do this in a real application, though, because it is extremely fragile as well as inelegant and slow. Use sleeps to prove to yourself what's happening, and then wait for *Sockets and Patterns* Chapter 2 to see how to do this right.

The alternative to synchronization is to simply assume that the published data stream is infinite and has no start and no end. One also assumes that the subscriber doesn't care what transpired before it started up. This is how we built our weather client example.

So the client subscribes to its chosen zip code and collects 100 updates for that zip code. That means about ten million updates from the server, if zip codes are randomly distributed. You can start the client, and then the server, and the client will keep working. You can stop and restart the server as often as you like, and the client will keep working. When the client has collected its hundred updates, it calculates the average, prints it, and exits.

Some points about the publish-subscribe (pub-sub) pattern:

- A subscriber can connect to more than one publisher, using one connect call each time. Data will then arrive and be interleaved ("fair-queued") so that no single publisher drowns out the others.
- If a publisher has no connected subscribers, then it will simply drop all messages.
- If you're using TCP and a subscriber is slow, messages will queue up on the publisher. We'll look at how to protect publishers against this using the "high-water mark" later.

- From ZeroMQ v3.x, filtering happens at the publisher side when using a connected protocol (`tcp://` or `ipc://`). Using the `epgm://` protocol, filtering happens at the subscriber side. In ZeroMQ v2.x, all filtering happened at the subscriber side.

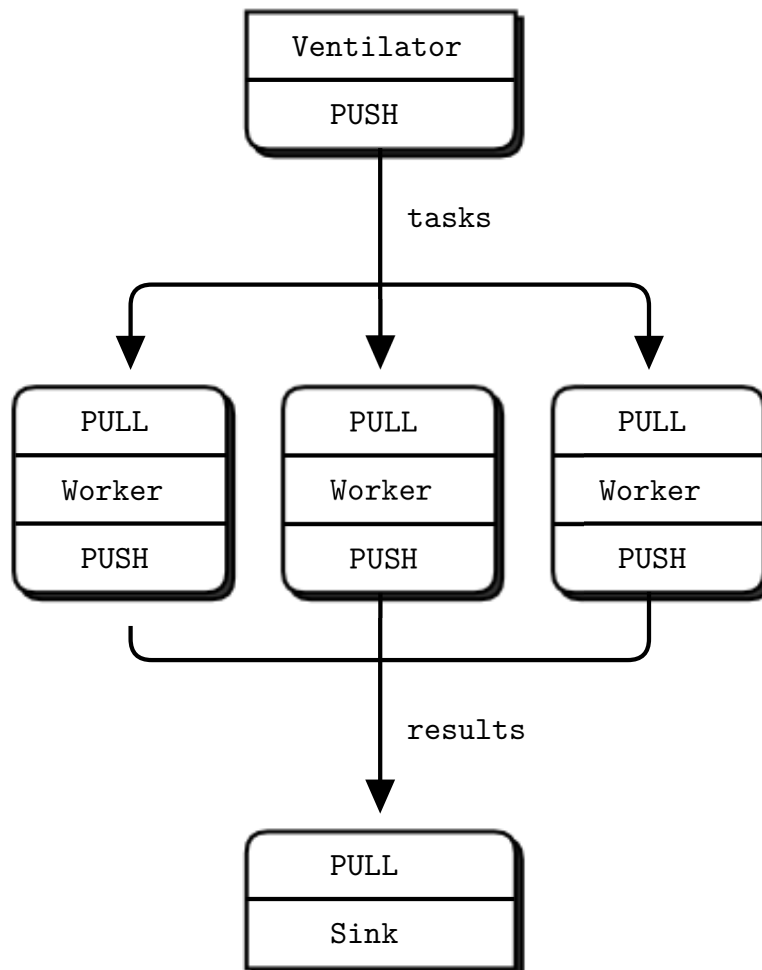
This is how long it takes to receive and filter 10M messages on my laptop, which is an 2011-era Intel i5, decent but nothing special:

```
$ time wuclient
Collecting updates from weather server...
Average temperature for zipcode '10001 ' was 28F

real    0m4.470s
user    0m0.000s
sys     0m0.008s
```

1.8. Divide and Conquer

Figure 1-4. Parallel Pipeline



As a final example (you are surely getting tired of juicy code and want to delve back into philological discussions about comparative abstractive norms), let's do a little supercomputing. Then coffee. Our supercomputing application is a fairly typical parallel processing modelFigure 1-5. We have:

- A ventilator that produces tasks that can be done in parallel
- A set of workers that process tasks
- A sink that collects results back from the worker processes

In reality, workers run on superfast boxes, perhaps using GPUs (graphic processing units) to do the hard math. Here is the ventilator. It generates 100 tasks, each a message telling the worker to sleep for some number of milliseconds:

Example 1-10. Parallel task ventilator (taskvent.lua)

```

--
-- Task ventilator
-- Binds PUSH socket to tcp://localhost:5557
-- Sends batch of tasks to workers via that socket
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

-- Socket to send messages on
local sender = context:socket(zmq.PUSH)
sender:bind("tcp://*:5557")

printf ("Press Enter when the workers are ready: ")
io.read('*l')
printf ("Sending tasks to workers...\n")

-- The first message is "0" and signals start of batch
sender:send("0")

-- Initialize random number generator
math.randomseed(os.time())

-- Send 100 tasks
local task_nbr
local total_msec = 0      -- Total expected cost in msec
for task_nbr=0,99 do
    local workload
    -- Random workload from 1 to 100msecs
    workload = randof (100) + 1
    total_msec = total_msec + workload
    local msg = string.format("%d", workload)
    sender:send(msg)
end
printf ("Total expected cost: %d msec\n", total_msec)
s_sleep (1000)           -- Give OMQ time to deliver

sender:close()
context:term()

```

Here is the worker application. It receives a message, sleeps for that number of seconds, and then signals that it's finished:

Example 1-11. Parallel task worker (taskwork.lua)

```
--
-- Task worker
-- Connects PULL socket to tcp://localhost:5557
-- Collects workloads from ventilator via that socket
-- Connects PUSH socket to tcp://localhost:5558
-- Sends results to sink via that socket
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

-- Socket to receive messages on
local receiver = context:socket(zmq.PULL)
receiver:connect("tcp://localhost:5557")

-- Socket to send messages to
local sender = context:socket(zmq.PUSH)
sender:connect("tcp://localhost:5558")

-- Process tasks forever
while true do
    local msg = receiver:recv()
    -- Simple progress indicator for the viewer
    io.stdout:flush()
    printf("%s.", msg)

    -- Do the work
    s_sleep(tonumber(msg))

    -- Send results to sink
    sender:send("")
end
receiver:close()
sender:close()
context:term()
```

Here is the sink application. It collects the 100 tasks, then calculates how long the overall processing took, so we can confirm that the workers really were running in parallel if there are more than one of them:

Example 1-12. Parallel task sink (tasksink.lua)

```
--
-- Task sink
-- Binds PULL socket to tcp://localhost:5558
-- Collects results from workers via that socket
--
```

```

-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"
local fmod = math.fmod

-- Prepare our context and socket
local context = zmq.init(1)
local receiver = context:socket(zmq.PULL)
receiver:bind("tcp://*:5558")

-- Wait for start of batch
local msg = receiver:recv()

-- Start our clock now
local start_time = s_clock ()

-- Process 100 confirmations
local task_nbr
for task_nbr=0,99 do
    local msg = receiver:recv()

    if (fmod(task_nbr, 10) == 0) then
        printf (":")
    else
        printf (".")
    end
    io.stdout:flush()
end
-- Calculate and report duration of batch
printf("Total elapsed time: %d msec\n", (s_clock () - start_time))

receiver:close()
context:term()

```

The average cost of a batch is 5 seconds. When we start 1, 2, or 4 workers we get results like this from the sink:

- 1 worker: total elapsed time: 5034 msec.
- 2 workers: total elapsed time: 2421 msec.
- 4 workers: total elapsed time: 1018 msec.

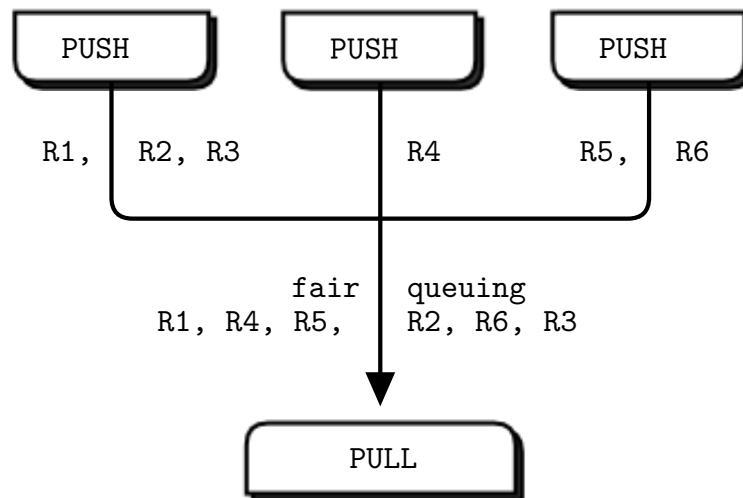
Let's look at some aspects of this code in more detail:

- The workers connect upstream to the ventilator, and downstream to the sink. This means you can add workers arbitrarily. If the workers bound to their endpoints, you would need (a) more endpoints and (b) to modify the ventilator and/or the sink each time you added a worker. We say that the ventilator and sink are *stable* parts of our architecture and the workers are *dynamic* parts of it.
- We have to synchronize the start of the batch with all workers being up and running. This is a fairly common gotcha in ZeroMQ and there is no easy solution. The `zmq_connect` method takes a certain

time. So when a set of workers connect to the ventilator, the first one to successfully connect will get a whole load of messages in that short time while the others are also connecting. If you don't synchronize the start of the batch somehow, the system won't run in parallel at all. Try removing the wait in the ventilator, and see what happens.

- The ventilator's PUSH socket distributes tasks to workers (assuming they are all connected *before* the batch starts going out) evenly. This is called *load balancing* and it's something we'll look at again in more detail.
- The sink's PULL socket collects results from workers evenly. This is called *fair-queuing* Figure 1-5.

Figure 1-5. Fair Queuing



The pipeline pattern also exhibits the "slow joiner" syndrome, leading to accusations that PUSH sockets don't load balance properly. If you are using PUSH and PULL, and one of your workers gets way more messages than the others, it's because that PULL socket has joined faster than the others, and grabs a lot of messages before the others manage to connect. If you want proper load balancing, you probably want to look at the load balancing pattern in Advanced Request-Reply Patterns Chapter 3.

1.9. Programming with ZeroMQ

Having seen some examples, you must be eager to start using ZeroMQ in some apps. Before you start that, take a deep breath, chillax, and reflect on some basic advice that will save you much stress and confusion.

- Learn ZeroMQ step-by-step. It's just one simple API, but it hides a world of possibilities. Take the possibilities slowly and master each one.
- Write nice code. Ugly code hides problems and makes it hard for others to help you. You might get used to meaningless variable names, but people reading your code won't. Use names that are real

words, that say something other than "I'm too careless to tell you what this variable is really for". Use consistent indentation and clean layout. Write nice code and your world will be more comfortable.

- Test what you make as you make it. When your program doesn't work, you should know what five lines are to blame. This is especially true when you do ZeroMQ magic, which just *won't* work the first few times you try it.
- When you find that things don't work as expected, break your code into pieces, test each one, see which one is not working. ZeroMQ lets you make essentially modular code; use that to your advantage.
- Make abstractions (classes, methods, whatever) as you need them. If you copy/paste a lot of code, you're going to copy/paste errors, too.

1.9.1. Getting the Context Right

ZeroMQ applications always start by creating a *context*, and then using that for creating sockets. In C, it's the `zmq_ctx_new()` call. You should create and use exactly one context in your process.

Technically, the context is the container for all sockets in a single process, and acts as the transport for `inproc` sockets, which are the fastest way to connect threads in one process. If at runtime a process has two contexts, these are like separate ZeroMQ instances. If that's explicitly what you want, OK, but otherwise remember:

Call `zmq_ctx_new()` once at the start of a process, and `zmq_ctx_destroy()` once at the end.

If you're using the `fork()` system call, do `zmq_ctx_new()` *after* the fork and at the beginning of the child process code. In general, you want to do interesting (ZeroMQ) stuff in the children, and boring process management in the parent.

1.9.2. Making a Clean Exit

Classy programmers share the same motto as classy hit men: always clean-up when you finish the job. When you use ZeroMQ in a language like Python, stuff gets automatically freed for you. But when using C, you have to carefully free objects when you're finished with them or else you get memory leaks, unstable applications, and generally bad karma.

Memory leaks are one thing, but ZeroMQ is quite finicky about how you exit an application. The reasons are technical and painful, but the upshot is that if you leave any sockets open, the `zmq_ctx_destroy()` function will hang forever. And even if you close all sockets, `zmq_ctx_destroy()` will by default wait forever if there are pending connects or sends unless you set the `LINGER` to zero on those sockets before closing them.

The ZeroMQ objects we need to worry about are messages, sockets, and contexts. Luckily it's quite simple, at least in simple programs:

- Use `zmq_send()` and `zmq_recv()` when you can, as it avoids the need to work with `zmq_msg_t` objects.
- If you do use `zmq_msg_recv()`, always release the received message as soon as you're done with it, by calling `zmq_msg_close()`.
- If you are opening and closing a lot of sockets, that's probably a sign that you need to redesign your application. In some cases socket handles won't be freed until you destroy the context.
- When you exit the program, close your sockets and then call `zmq_ctx_destroy()`. This destroys the context.

This is at least the case for C development. In a language with automatic object destruction, sockets and contexts will be destroyed as you leave the scope. If you use exceptions you'll have to do the clean-up in something like a "final" block, the same as for any resource.

If you're doing multithreaded work, it gets rather more complex than this. We'll get to multithreading in the next chapter, but because some of you will, despite warnings, try to run before you can safely walk, below is the quick and dirty guide to making a clean exit in a *multithreaded* ZeroMQ application.

First, do not try to use the same socket from multiple threads. Please don't explain why you think this would be excellent fun, just please don't do it. Next, you need to shut down each socket that has ongoing requests. The proper way is to set a low LINGER value (1 second), and then close the socket. If your language binding doesn't do this for you automatically when you destroy a context, I'd suggest sending a patch.

Finally, destroy the context. This will cause any blocking receives or polls or sends in attached threads (i.e., which share the same context) to return with an error. Catch that error, and then set linger on, and close sockets in *that* thread, and exit. Do not destroy the same context twice. The `zmq_ctx_destroy` in the main thread will block until all sockets it knows about are safely closed.

Voila! It's complex and painful enough that any language binding author worth his or her salt will do this automatically and make the socket closing dance unnecessary.

1.10. Why We Needed ZeroMQ

Now that you've seen ZeroMQ in action, let's go back to the "why".

Many applications these days consist of components that stretch across some kind of network, either a LAN or the Internet. So many application developers end up doing some kind of messaging. Some developers use message queuing products, but most of the time they do it themselves, using TCP or UDP. These protocols are not hard to use, but there is a great difference between sending a few bytes from A to B, and doing messaging in any kind of reliable way.

Let's look at the typical problems we face when we start to connect pieces using raw TCP. Any reusable messaging layer would need to solve all or most of these:

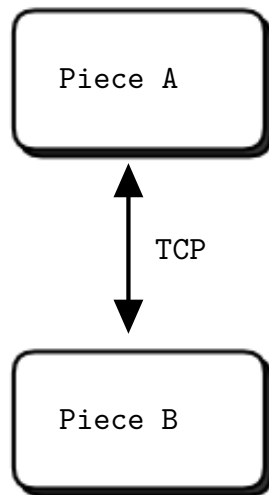
- How do we handle I/O? Does our application block, or do we handle I/O in the background? This is a key design decision. Blocking I/O creates architectures that do not scale well. But background I/O can be very hard to do right.
- How do we handle dynamic components, i.e., pieces that go away temporarily? Do we formally split components into "clients" and "servers" and mandate that servers cannot disappear? What then if we want to connect servers to servers? Do we try to reconnect every few seconds?
- How do we represent a message on the wire? How do we frame data so it's easy to write and read, safe from buffer overflows, efficient for small messages, yet adequate for the very largest videos of dancing cats wearing party hats?
- How do we handle messages that we can't deliver immediately? Particularly, if we're waiting for a component to come back online? Do we discard messages, put them into a database, or into a memory queue?
- Where do we store message queues? What happens if the component reading from a queue is very slow and causes our queues to build up? What's our strategy then?
- How do we handle lost messages? Do we wait for fresh data, request a resend, or do we build some kind of reliability layer that ensures messages cannot be lost? What if that layer itself crashes?
- What if we need to use a different network transport. Say, multicast instead of TCP unicast? Or IPv6? Do we need to rewrite the applications, or is the transport abstracted in some layer?
- How do we route messages? Can we send the same message to multiple peers? Can we send replies back to an original requester?
- How do we write an API for another language? Do we re-implement a wire-level protocol or do we repackage a library? If the former, how can we guarantee efficient and stable stacks? If the latter, how can we guarantee interoperability?
- How do we represent data so that it can be read between different architectures? Do we enforce a particular encoding for data types? How far is this the job of the messaging system rather than a higher layer?
- How do we handle network errors? Do we wait and retry, ignore them silently, or abort?

Take a typical open source project like Hadoop Zookeeper (<http://hadoop.apache.org/zookeeper/>) and read the C API code in `src/c/src/zookeeper.c` (<http://github.com/apache/zookeeper/blob/trunk/src/c/src/zookeeper.c>). When I read this code, in January 2013, it was 4,200 lines of mystery and in there is an undocumented, client/server network communication protocol. I see it's efficient because it uses `poll` instead of `select`. But really, Zookeeper should be using a generic messaging layer and an explicitly documented wire level protocol. It is incredibly wasteful for teams to be building this particular wheel over and over.

But how to make a reusable messaging layer? Why, when so many projects need this technology, are people still doing it the hard way by driving TCP sockets in their code, and solving the problems in that long list over and over? Figure 1-6?

It turns out that building reusable messaging systems is really difficult, which is why few FOSS projects ever tried, and why commercial messaging products are complex, expensive, inflexible, and brittle. In 2006, iMatix designed AMQP (<http://www.amqp.org>) which started to give FOSS developers perhaps the first reusable recipe for a messaging system. AMQP works better than many other designs, but remains relatively complex, expensive, and brittle (<http://www.imatix.com/articles:whats-wrong-with-amqp>). It takes weeks to learn to use, and months to create stable architectures that don't crash when things get hairy.

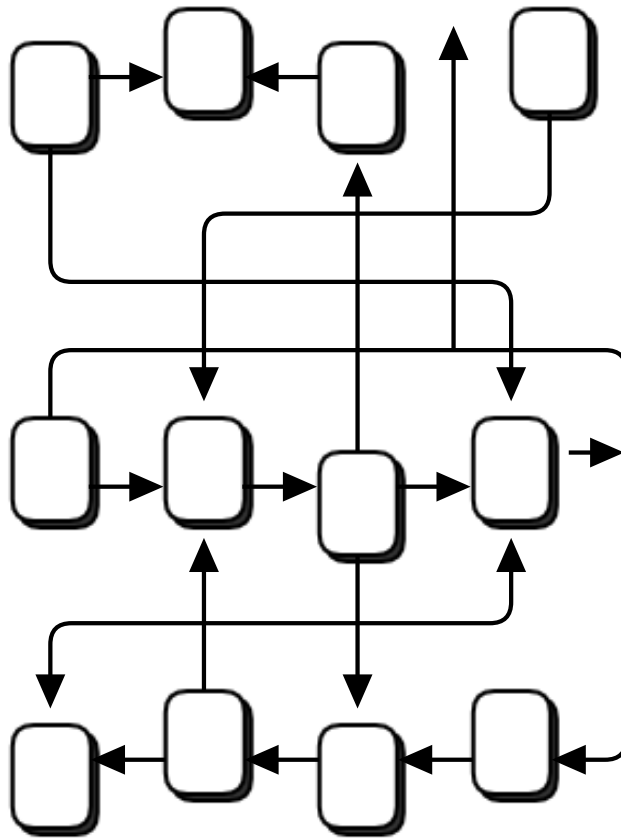
Figure 1-6. Messaging as it Starts



Most messaging projects, like AMQP, that try to solve this long list of problems in a reusable way do so by inventing a new concept, the "broker", that does addressing, routing, and queuing. This results in a client/server protocol or a set of APIs on top of some undocumented protocol that allows applications to speak to this broker. Brokers are an excellent thing in reducing the complexity of large networks. But adding broker-based messaging to a product like Zookeeper would make it worse, not better. It would mean adding an additional big box, and a new single point of failure. A broker rapidly becomes a bottleneck and a new risk to manage. If the software supports it, we can add a second, third, and fourth broker and make some failover scheme. People do this. It creates more moving pieces, more complexity, and more things to break.

And a broker-centric setup needs its own operations team. You literally need to watch the brokers day and night, and beat them with a stick when they start misbehaving. You need boxes, and you need backup boxes, and you need people to manage those boxes. It is only worth doing for large applications with many moving pieces, built by several teams of people over several years.

Figure 1-7. Messaging as it Becomes



So small to medium application developers are trapped. Either they avoid network programming and make monolithic applications that do not scale. Or they jump into network programming and make brittle, complex applications that are hard to maintain. Or they bet on a messaging product, and end up with scalable applications that depend on expensive, easily broken technology. There has been no really good choice, which is maybe why messaging is largely stuck in the last century and stirs strong emotions: negative ones for users, gleeful joy for those selling support and licensesFigure 2-1.

What we need is something that does the job of messaging, but does it in such a simple and cheap way that it can work in any application, with close to zero cost. It should be a library which you just link, without any other dependencies. No additional moving pieces, so no additional risk. It should run on any OS and work with any programming language.

And this is ZeroMQ: an efficient, embeddable library that solves most of the problems an application needs to become nicely elastic across a network, without much cost.

Specifically:

- It handles I/O asynchronously, in background threads. These communicate with application threads using lock-free data structures, so concurrent ZeroMQ applications need no locks, semaphores, or other wait states.
- Components can come and go dynamically and ZeroMQ will automatically reconnect. This means you can start components in any order. You can create "service-oriented architectures" (SOAs) where services can join and leave the network at any time.
- It queues messages automatically when needed. It does this intelligently, pushing messages as close as possible to the receiver before queuing them.
- It has ways of dealing with over-full queues (called "high water mark"). When a queue is full, ZeroMQ automatically blocks senders, or throws away messages, depending on the kind of messaging you are doing (the so-called "pattern").
- It lets your applications talk to each other over arbitrary transports: TCP, multicast, in-process, inter-process. You don't need to change your code to use a different transport.
- It handles slow/blocked readers safely, using different strategies that depend on the messaging pattern.
- It lets you route messages using a variety of patterns such as request-reply and pub-sub. These patterns are how you create the topology, the structure of your network.
- It lets you create proxies to queue, forward, or capture messages with a single call. Proxies can reduce the interconnection complexity of a network.
- It delivers whole messages exactly as they were sent, using a simple framing on the wire. If you write a 10k message, you will receive a 10k message.
- It does not impose any format on messages. They are blobs from zero to gigabytes large. When you want to represent data you choose some other product on top, such as msgpack, Google's protocol buffers, and others.
- It handles network errors intelligently, by retrying automatically in cases where it makes sense.
- It reduces your carbon footprint. Doing more with less CPU means your boxes use less power, and you can keep your old boxes in use for longer. Al Gore would love ZeroMQ.

Actually ZeroMQ does rather more than this. It has a subversive effect on how you develop network-capable applications. Superficially, it's a socket-inspired API on which you do `zmq_recv()` and `zmq_send()`. But message processing rapidly becomes the central loop, and your application soon breaks down into a set of message processing tasks. It is elegant and natural. And it scales: each of these tasks maps to a node, and the nodes talk to each other across arbitrary transports. Two nodes in one process (node is a thread), two nodes on one box (node is a process), or two nodes on one network (node is a box)--it's all the same, with no application code changes.

1.11. Socket Scalability

Let's see ZeroMQ's scalability in action. Here is a shell script that starts the weather server and then a bunch of clients in parallel:

```
wuserver &
```

```
wuclient 12345 &
wuclient 23456 &
wuclient 34567 &
wuclient 45678 &
wuclient 56789 &
```

As the clients run, we take a look at the active processes using the `top` command⁷, and we see something like (on a 4-core box):

PID	USER	PR	NI	VIRT	RES	SHR	S	%CPU	%MEM	TIME+	COMMAND
7136	ph	20	0	1040m	959m	1156	R	157	12.0	16:25.47	wuserver
7966	ph	20	0	98608	1804	1372	S	33	0.0	0:03.94	wuclient
7963	ph	20	0	33116	1748	1372	S	14	0.0	0:00.76	wuclient
7965	ph	20	0	33116	1784	1372	S	6	0.0	0:00.47	wuclient
7964	ph	20	0	33116	1788	1372	S	5	0.0	0:00.25	wuclient
7967	ph	20	0	33072	1740	1372	S	5	0.0	0:00.35	wuclient

Let's think for a second about what is happening here. The weather server has a single socket, and yet here we have it sending data to five clients in parallel. We could have thousands of concurrent clients. The server application doesn't see them, doesn't talk to them directly. So the ZeroMQ socket is acting like a little server, silently accepting client requests and shoving data out to them as fast as the network can handle it. And it's a multithreaded server, squeezing more juice out of your CPU.

1.12. Upgrading from ZeroMQ v2.2 to ZeroMQ v3.2

1.12.1. Compatible Changes

These changes don't impact existing application code directly:

- Pub-sub filtering is now done at the publisher side instead of subscriber side. This improves performance significantly in many pub-sub use cases. You can mix v3.2 and v2.1/v2.2 publishers and subscribers safely.
- ZeroMQ v3.2 has many new API methods (`zmq_disconnect()`, `zmq_unbind()`, `zmq_monitor()`, `zmq_ctx_set()`, etc.)

1.12.2. Incompatible Changes

These are the main areas of impact on applications and language bindings:

- Changed send/recv methods: `zmq_send()` and `zmq_recv()` have a different, simpler interface, and the old functionality is now provided by `zmq_msg_send()` and `zmq_msg_recv()`. Symptom: compile errors. Solution: fix up your code.

- These two methods return positive values on success, and -1 on error. In v2.x they always returned zero on success. Symptom: apparent errors when things actually work fine. Solution: test strictly for return code = -1, not non-zero.
- `zmq_poll()` now waits for milliseconds, not microseconds. Symptom: application stops responding (in fact responds 1000 times slower). Solution: use the `ZMQ_POLL_MSEC` macro defined below, in all `zmq_poll` calls.
- `ZMQ_NOBLOCK` is now called `ZMQ_DONTWAIT`. Symptom: compile failures on the `ZMQ_NOBLOCK` macro.
- The `ZMQ_HWM` socket option is now broken into `ZMQ_SNDHWM` and `ZMQ_RCVHWM`. Symptom: compile failures on the `ZMQ_HWM` macro.
- Most but not all `zmq_getsockopt()` options are now integer values. Symptom: runtime error returns on `zmq_setsockopt` and `zmq_getsockopt`.
- The `ZMQ_SWAP` option has been removed. Symptom: compile failures on `ZMQ_SWAP`. Solution: redesign any code that uses this functionality.

1.12.3. Suggested Shim Macros

For applications that want to run on both v2.x and v3.2, such as language bindings, our advice is to emulate v3.2 as far as possible. Here are C macro definitions that help your C/C++ code to work across both versions (taken from CZMQ (<http://czmq.zeromq.org>)):

```
#ifndef ZMQ_DONTWAIT
#   define ZMQ_DONTWAIT      ZMQ_NOBLOCK
#endif
#if ZMQ_VERSION_MAJOR == 2
#   define zmq_msg_send(msg, sock, opt) zmq_send (sock, msg, opt)
#   define zmq_msg_recv(msg, sock, opt) zmq_recv (sock, msg, opt)
#   define zmq_ctx_destroy(context) zmq_term(context)
#   define ZMQ_POLL_MSEC      1000          // zmq_poll is usec
#   define ZMQ_SNDHWM         ZMQ_HWM
#   define ZMQ_RCVHWM         ZMQ_HWM
#elif ZMQ_VERSION_MAJOR == 3
#   define ZMQ_POLL_MSEC      1              // zmq_poll is msec
#endif
```

1.13. Warning: Unstable Paradigms!

Traditional network programming is built on the general assumption that one socket talks to one connection, one peer. There are multicast protocols, but these are exotic. When we assume "one socket = one connection", we scale our architectures in certain ways. We create threads of logic where each thread work with one socket, one peer. We place intelligence and state in these threads.

In the ZeroMQ universe, sockets are doorways to fast little background communications engines that manage a whole set of connections automatically for you. You can't see, work with, open, close, or attach state to these connections. Whether you use blocking send or receive, or poll, all you can talk to is the socket, not the connections it manages for you. The connections are private and invisible, and this is the key to ZeroMQ's scalability.

This is because your code, talking to a socket, can then handle any number of connections across whatever network protocols are around, without change. A messaging pattern sitting in ZeroMQ scales more cheaply than a messaging pattern sitting in your application code.

So the general assumption no longer applies. As you read the code examples, your brain will try to map them to what you know. You will read "socket" and think "ah, that represents a connection to another node". That is wrong. You will read "thread" and your brain will again think, "ah, a thread represents a connection to another node", and again your brain will be wrong.

If you're reading this Guide for the first time, realize that until you actually write ZeroMQ code for a day or two (and maybe three or four days), you may feel confused, especially by how simple ZeroMQ makes things for you, and you may try to impose that general assumption on ZeroMQ, and it won't work. And then you will experience your moment of enlightenment and trust, that *zap-pow-kaboom* satori paradigm-shift moment when it all becomes clear.

Chapter 2. Sockets and Patterns

In BasicsChapter 1 we took ZeroMQ for a drive, with some basic examples of the main ZeroMQ patterns: request-reply, pub-sub, and pipeline. In this chapter, we're going to get our hands dirty and start to learn how to use these tools in real programs.

We'll cover:

- How to create and work with ZeroMQ sockets.
- How to send and receive messages on sockets.
- How to build your apps around ZeroMQ's asynchronous I/O model.
- How to handle multiple sockets in one thread.
- How to handle fatal and nonfatal errors properly.
- How to handle interrupt signals like Ctrl-C.
- How to shut down a ZeroMQ application cleanly.
- How to check a ZeroMQ application for memory leaks.
- How to send and receive multipart messages.
- How to forward messages across networks.
- How to build a simple message queuing broker.
- How to write multithreaded applications with ZeroMQ.
- How to use ZeroMQ to signal between threads.
- How to use ZeroMQ to coordinate a network of nodes.
- How to create and use message envelopes for pub-sub.
- Using the HWM (high-water mark) to protect against memory overflows.

2.1. The Socket API

To be perfectly honest, ZeroMQ does a kind of switch-and-bait on you, for which we don't apologize. It's for your own good and it hurts us more than it hurts you. ZeroMQ presents a familiar socket-based API, which requires great effort for us to hide a bunch of message-processing engines. However, the result will slowly fix your world view about how to design and write distributed software.

Sockets are the de facto standard API for network programming, as well as being useful for stopping your eyes from falling onto your cheeks. One thing that makes ZeroMQ especially tasty to developers is that it uses sockets and messages instead of some other arbitrary set of concepts. Kudos to Martin Sustrik for pulling this off. It turns "Message Oriented Middleware", a phrase guaranteed to send the whole room off to Catatonia, into "Extra Spicy Sockets!", which leaves us with a strange craving for pizza and a desire to know more.

Like a favorite dish, ZeroMQ sockets are easy to digest. Sockets have a life in four parts, just like BSD sockets:

- Creating and destroying sockets, which go together to form a karmic circle of socket life (see `zmq_socket()`, `zmq_close()`).
- Configuring sockets by setting options on them and checking them if necessary (see `zmq_setsockopt()`, `zmq_getsockopt()`).
- Plugging sockets into the network topology by creating ZeroMQ connections to and from them (see `zmq_bind()`, `zmq_connect()`).
- Using the sockets to carry data by writing and receiving messages on them (see `zmq_msg_send()`, `zmq_msg_recv()`).

Note that sockets are always void pointers, and messages (which we'll come to very soon) are structures. So in C you pass sockets as-such, but you pass addresses of messages in all functions that work with messages, like `zmq_msg_send()` and `zmq_msg_recv()`. As a mnemonic, realize that "in ZeroMQ, all your sockets are belong to us", but messages are things you actually own in your code.

Creating, destroying, and configuring sockets works as you'd expect for any object. But remember that ZeroMQ is an asynchronous, elastic fabric. This has some impact on how we plug sockets into the network topology and how we use the sockets after that.

2.1.1. Plugging Sockets into the Topology

To create a connection between two nodes, you use `zmq_bind()` in one node and `zmq_connect()` in the other. As a general rule of thumb, the node that does `zmq_bind()` is a "server", sitting on a well-known network address, and the node which does `zmq_connect()` is a "client", with unknown or arbitrary network addresses. Thus we say that we "bind a socket to an endpoint" and "connect a socket to an endpoint", the endpoint being that well-known network address.

ZeroMQ connections are somewhat different from classic TCP connections. The main notable differences are:

- They go across an arbitrary transport (`inproc`, `ipc`, `tcp`, `pgm`, or `epgm`). See `zmq_inproc()`, `zmq_ipc()`, `zmq_tcp()`, `zmq_pgm()`, and `zmq_epgm()`.
- One socket may have many outgoing and many incoming connections.
- There is no `zmq_accept()` method. When a socket is bound to an endpoint it automatically starts accepting connections.
- The network connection itself happens in the background, and ZeroMQ will automatically reconnect if the network connection is broken (e.g., if the peer disappears and then comes back).
- Your application code cannot work with these connections directly; they are encapsulated under the socket.

Many architectures follow some kind of client/server model, where the server is the component that is most static, and the clients are the components that are most dynamic, i.e., they come and go the most. There are sometimes issues of addressing: servers will be visible to clients, but not necessarily vice versa. So mostly it's obvious which node should be doing `zmq_bind()` (the server) and which should be doing `zmq_connect()` (the client). It also depends on the kind of sockets you're using, with some exceptions for unusual network architectures. We'll look at socket types later.

Now, imagine we start the client *before* we start the server. In traditional networking, we get a big red Fail flag. But ZeroMQ lets us start and stop pieces arbitrarily. As soon as the client node does `zmq_connect()`, the connection exists and that node can start to write messages to the socket. At some stage (hopefully before messages queue up so much that they start to get discarded, or the client blocks), the server comes alive, does a `zmq_bind()`, and ZeroMQ starts to deliver messages.

A server node can bind to many endpoints (that is, a combination of protocol and address) and it can do this using a single socket. This means it will accept connections across different transports:

```
zmq_bind (socket, "tcp://*:5555");
zmq_bind (socket, "tcp://*:9999");
zmq_bind (socket, "inproc://somename");
```

With most transports, you cannot bind to the same endpoint twice, unlike for example in UDP. The `ipc` transport does, however, let one process bind to an endpoint already used by a first process. It's meant to allow a process to recover after a crash.

Although ZeroMQ tries to be neutral about which side binds and which side connects, there are differences. We'll see these in more detail later. The upshot is that you should usually think in terms of "servers" as static parts of your topology that bind to more or less fixed endpoints, and "clients" as dynamic parts that come and go and connect to these endpoints. Then, design your application around this model. The chances that it will "just work" are much better like that.

Sockets have types. The socket type defines the semantics of the socket, its policies for routing messages inwards and outwards, queuing, etc. You can connect certain types of socket together, e.g., a publisher socket and a subscriber socket. Sockets work together in "messaging patterns". We'll look at this in more detail later.

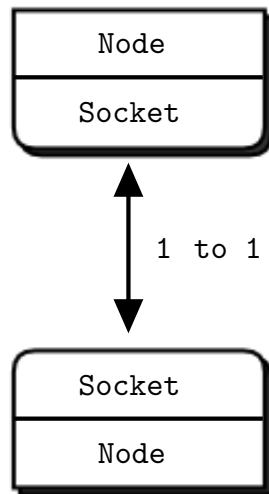
It's the ability to connect sockets in these different ways that gives ZeroMQ its basic power as a message queuing system. There are layers on top of this, such as proxies, which we'll get to later. But essentially, with ZeroMQ you define your network architecture by plugging pieces together like a child's construction toy.

2.1.2. Sending and Receiving Messages

To send and receive messages you use the `zmq_msg_send()` and `zmq_msg_recv()` methods. The

names are conventional, but ZeroMQ's I/O model is different enough from the classic TCP model Figure 2-1 that you will need time to get your head around it.

Figure 2-1. TCP sockets are 1 to 1



Let's look at the main differences between TCP sockets and ZeroMQ sockets when it comes to working with data:

- ZeroMQ sockets carry messages, like UDP, rather than a stream of bytes as TCP does. A ZeroMQ message is length-specified binary data. We'll come to messages shortly; their design is optimized for performance and so a little tricky.
- ZeroMQ sockets do their I/O in a background thread. This means that messages arrive in local input queues and are sent from local output queues, no matter what your application is busy doing.
- ZeroMQ sockets have one-to-N routing behavior built-in, according to the socket type.

The `zmq_send()` method does not actually send the message to the socket connection(s). It queues the message so that the I/O thread can send it asynchronously. It does not block except in some exception cases. So the message is not necessarily sent when `zmq_send()` returns to your application.

2.1.3. Unicast Transports

ZeroMQ provides a set of unicast transports (`inproc`, `ipc`, and `tcp`) and multicast transports (`epgm`, `pgm`). Multicast is an advanced technique that we'll come to later. Don't even start using it unless you know that your fan-out ratios will make 1-to-N unicast impossible.

For most common cases, use `tcp`, which is a *disconnected TCP* transport. It is elastic, portable, and fast enough for most cases. We call this disconnected because ZeroMQ's `tcp` transport doesn't require that

the endpoint exists before you connect to it. Clients and servers can connect and bind at any time, can go and come back, and it remains transparent to applications.

The inter-process `ipc` transport is disconnected, like `tcp`. It has one limitation: it does not yet work on Windows. By convention we use endpoint names with an ".ipc" extension to avoid potential conflict with other file names. On UNIX systems, if you use `ipc` endpoints you need to create these with appropriate permissions otherwise they may not be shareable between processes running under different user IDs. You must also make sure all processes can access the files, e.g., by running in the same working directory.

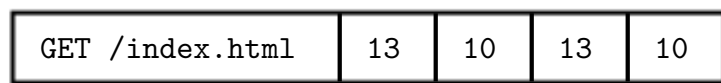
The inter-thread transport, `inproc`, is a connected signaling transport. It is much faster than `tcp` or `ipc`. This transport has a specific limitation compared to `tcp` and `ipc`: **the server must issue a bind before any client issues a connect**. This is something future versions of ZeroMQ may fix, but at present this defines how you use `inproc` sockets. We create and bind one socket and start the child threads, which create and connect the other sockets.

2.1.4. ZeroMQ is Not a Neutral Carrier

A common question that newcomers to ZeroMQ ask (it's one I've asked myself) is, "how do I write an XYZ server in ZeroMQ?" For example, "how do I write an HTTP server in ZeroMQ?" The implication is that if we use normal sockets to carry HTTP requests and responses, we should be able to use ZeroMQ sockets to do the same, only much faster and better.

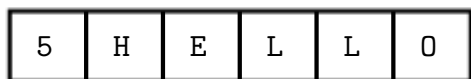
The answer used to be "this is not how it works". ZeroMQ is not a neutral carrier: it imposes a framing on the transport protocols it uses. This framing is not compatible with existing protocols, which tend to use their own framing. For example, compare an HTTP request and a ZeroMQ request, both over TCP/IP.

Figure 2-2. HTTP on the Wire



The HTTP request uses CR-LF as its simplest framing delimiter. Figure 2-3, whereas ZeroMQ uses a length-specified frame. Figure 2-3. So you could write an HTTP-like protocol using ZeroMQ, using for example the request-reply socket pattern. But it would not be HTTP.

Figure 2-3. ZeroMQ on the Wire



Since v3.3, however, ZeroMQ has a socket option called `ZMQ_ROUTER_RAW` that lets you read and write data without the ZeroMQ framing. You could use this to read and write proper HTTP requests and responses. Hardeep Singh contributed this change so that he could connect to Telnet servers from his ZeroMQ application. At time of writing this is still somewhat experimental, but it shows how ZeroMQ keeps evolving to solve new problems. Maybe the next patch will be yours.

2.1.5. I/O Threads

We said that ZeroMQ does I/O in a background thread. One I/O thread (for all sockets) is sufficient for all but the most extreme applications. When you create a new context, it starts with one I/O thread. The general rule of thumb is to allow one I/O thread per gigabyte of data in or out per second. To raise the number of I/O threads, use the `zmq_ctx_set()` call *before* creating any sockets:

```
int io_threads = 4;
void *context = zmq_ctx_new ();
zmq_ctx_set (context, ZMQ_IO_THREADS, io_threads);
assert (zmq_ctx_get (context, ZMQ_IO_THREADS) == io_threads);
```

We've seen that one socket can handle dozens, even thousands of connections at once. This has a fundamental impact on how you write applications. A traditional networked application has one process or one thread per remote connection, and that process or thread handles one socket. ZeroMQ lets you collapse this entire structure into a single process and then break it up as necessary for scaling.

If you are using ZeroMQ for inter-thread communications only (i.e., a multithreaded application that does no external socket I/O) you can set the I/O threads to zero. It's not a significant optimization though, more of a curiosity.

2.2. Messaging Patterns

Underneath the brown paper wrapping of ZeroMQ's socket API lies the world of messaging patterns. If you have a background in enterprise messaging, or know UDP well, these will be vaguely familiar. But to most ZeroMQ newcomers, they are a surprise. We're so used to the TCP paradigm where a socket maps one-to-one to another node.

Let's recap briefly what ZeroMQ does for you. It delivers blobs of data (messages) to nodes, quickly and efficiently. You can map nodes to threads, processes, or nodes. ZeroMQ gives your applications a single socket API to work with, no matter what the actual transport (like in-process, inter-process, TCP, or multicast). It automatically reconnects to peers as they come and go. It queues messages at both sender and receiver, as needed. It limits these queues to guard processes against running out of memory. It handles socket errors. It does all I/O in background threads. It uses lock-free techniques for talking between nodes, so there are never locks, waits, semaphores, or deadlocks.

But cutting through that, it routes and queues messages according to precise recipes called *patterns*. It is these patterns that provide ZeroMQ's intelligence. They encapsulate our hard-earned experience of the best ways to distribute data and work. ZeroMQ's patterns are hard-coded but future versions may allow user-definable patterns.

ZeroMQ patterns are implemented by pairs of sockets with matching types. In other words, to understand ZeroMQ patterns you need to understand socket types and how they work together. Mostly, this just takes study; there is little that is obvious at this level.

The built-in core ZeroMQ patterns are:

- **Request-reply**, which connects a set of clients to a set of services. This is a remote procedure call and task distribution pattern.
- **Pub-sub**, which connects a set of publishers to a set of subscribers. This is a data distribution pattern.
- **Pipeline**, which connects nodes in a fan-out/fan-in pattern that can have multiple steps and loops. This is a parallel task distribution and collection pattern.
- **Exclusive pair**, which connects two sockets exclusively. This is a pattern for connecting two threads in a process, not to be confused with "normal" pairs of sockets.

We looked at the first three of these in BasicsChapter 1, and we'll see the exclusive pair pattern later in this chapter. The `zmq_socket()` man page is fairly clear about the patterns -- it's worth reading several times until it starts to make sense. These are the socket combinations that are valid for a connect-bind pair (either side can bind):

- PUB and SUB
- REQ and REP
- REQ and ROUTER (take care, REQ inserts an extra null frame)
- DEALER and REP (take care, REP assumes a null frame)
- DEALER and ROUTER
- DEALER and DEALER
- ROUTER and ROUTER
- PUSH and PULL
- PAIR and PAIR

You'll also see references to XPUB and XSUB sockets, which we'll come to later (they're like raw versions of PUB and SUB). Any other combination will produce undocumented and unreliable results, and future versions of ZeroMQ will probably return errors if you try them. You can and will, of course, bridge other socket types via code, i.e., read from one socket type and write to another.

2.2.1. High-Level Messaging Patterns

These four core patterns are cooked into ZeroMQ. They are part of the ZeroMQ API, implemented in the core C++ library, and are guaranteed to be available in all fine retail stores.

On top of those, we add *high-level messaging patterns*. We build these high-level patterns on top of ZeroMQ and implement them in whatever language we're using for our application. They are not part of the core library, do not come with the ZeroMQ package, and exist in their own space as part of the ZeroMQ community. For example the Majordomo pattern, which we explore in Reliable Request-Reply PatternsChapter 4, sits in the GitHub Majordomo project in the ZeroMQ organization.

One of the things we aim to provide you with in this book are a set of such high-level patterns, both small (how to handle messages sanely) and large (how to make a reliable pub-sub architecture).

2.2.2. Working with Messages

The `libzmq` core library has in fact two APIs to send and receive messages. The `zmq_send()` and `zmq_recv()` methods that we've already seen and used are simple one-liners. We will use these often, but `zmq_recv()` is bad at dealing with arbitrary message sizes: it truncates messages to whatever buffer size you provide. So there's a second API that works with `zmq_msg_t` structures, with a richer but more difficult API:

- Initialise a message: `zmq_msg_init()`, `zmq_msg_init_size()`, `zmq_msg_init_data()`.
- Sending and receiving a message: `zmq_msg_send()`, `zmq_msg_recv()`.
- Release a message: `zmq_msg_close()`.
- Access message content: `zmq_msg_data()`, `zmq_msg_size()`, `zmq_msg_more()`.
- Work with message properties: `zmq_msg_get()`, `zmq_msg_set()`.
- Message manipulation: `zmq_msg_copy()`, `zmq_msg_move()`.

On the wire, ZeroMQ messages are blobs of any size from zero upwards that fit in memory. You do your own serialization using protocol buffers, msgpack, JSON, or whatever else your applications need to speak. It's wise to choose a data representation that is portable, but you can make your own decisions about trade-offs.

In memory, ZeroMQ messages are `zmq_msg_t` structures (or classes depending on your language). Here are the basic ground rules for using ZeroMQ messages in C:

- You create and pass around `zmq_msg_t` objects, not blocks of data.
- To read a message, you use `zmq_msg_init()` to create an empty message, and then you pass that to `zmq_msg_recv()`.

- To write a message from new data, you use `zmq_msg_init_size()` to create a message and at the same time allocate a block of data of some size. You then fill that data using `memcpy`, and pass the message to `zmq_msg_send()`.
- To release (not destroy) a message, you call `zmq_msg_close()`. This drops a reference, and eventually ZeroMQ will destroy the message.
- To access the message content, you use `zmq_msg_data()`. To know how much data the message contains, use `zmq_msg_size()`.
- Do not use `zmq_msg_move()`, `zmq_msg_copy()`, or `zmq_msg_init_data()` unless you read the man pages and know precisely why you need these.
- After you pass a message to `zmq_msg_send()`, ØMQ will clear the message, i.e., set the size to zero. You cannot send the same message twice, and you cannot access the message data after sending it.
- These rules don't apply if you use `zmq_send()` and `zmq_recv()`, to which you pass byte arrays, not message structures.

If you want to send the same message more than once, and it's sizable, create a second message, initialize it using `zmq_msg_init()`, and then use `zmq_msg_copy()` to create a copy of the first message. This does not copy the data but copies a reference. You can then send the message twice (or more, if you create more copies) and the message will only be finally destroyed when the last copy is sent or closed.

ZeroMQ also supports *multipart* messages, which let you send or receive a list of frames as a single on-the-wire message. This is widely used in real applications and we'll look at that later in this chapter and in Advanced Request-Reply PatternsChapter 3.

Frames (also called "message parts" in the ZeroMQ reference manual pages) are the basic wire format for ZeroMQ messages. A frame is a length-specified block of data. The length can be zero upwards. If you've done any TCP programming you'll appreciate why frames are a useful answer to the question "how much data am I supposed to read of this network socket now?"

There is a wire-level protocol called ZMTP (<http://rfc.zeromq.org/spec:15>) that defines how ZeroMQ reads and writes frames on a TCP connection. If you're interested in how this works, the spec is quite short.

Originally, a ZeroMQ message was one frame, like UDP. We later extended this with multipart messages, which are quite simply series of frames with a "more" bit set to one, followed by one with that bit set to zero. The ZeroMQ API then lets you write messages with a "more" flag and when you read messages, it lets you check if there's "more".

In the low-level ZeroMQ API and the reference manual, therefore, there's some fuzziness about messages versus frames. So here's a useful lexicon:

- A message can be one or more parts.
- These parts are also called "frames".

- Each part is a `zmq_msg_t` object.
- You send and receive each part separately, in the low-level API.
- Higher-level APIs provide wrappers to send entire multipart messages.

Some other things that are worth knowing about messages:

- You may send zero-length messages, e.g., for sending a signal from one thread to another.
- ZeroMQ guarantees to deliver all the parts (one or more) for a message, or none of them.
- ZeroMQ does not send the message (single or multipart) right away, but at some indeterminate later time. A multipart message must therefore fit in memory.
- A message (single or multipart) must fit in memory. If you want to send files of arbitrary sizes, you should break them into pieces and send each piece as separate single-part messages. *Using multipart data will not reduce memory consumption.*
- You must call `zmq_msg_close()` when finished with a received message, in languages that don't automatically destroy objects when a scope closes. You don't call this method after sending a message.

And to be repetitive, do not use `zmq_msg_init_data()` yet. This is a zero-copy method and is guaranteed to create trouble for you. There are far more important things to learn about ZeroMQ before you start to worry about shaving off microseconds.

This rich API can be tiresome to work with. The methods are optimized for performance, not simplicity. If you start using these you will almost definitely get them wrong until you've read the man pages with some care. So one of the main jobs of a good language binding is to wrap this API up in classes that are easier to use.

2.2.3. Handling Multiple Sockets

In all the examples so far, the main loop of most examples has been:

1. Wait for message on socket.
2. Process message.
3. Repeat.

What if we want to read from multiple endpoints at the same time? The simplest way is to connect one socket to all the endpoints and get ZeroMQ to do the fan-in for us. This is legal if the remote endpoints are in the same pattern, but it would be wrong to connect a PULL socket to a PUB endpoint.

To actually read from multiple sockets all at once, use `zmq_poll()`. An even better way might be to wrap `zmq_poll()` in a framework that turns it into a nice event-driven *reactor*, but it's significantly more work than we want to cover here.

Let's start with a dirty hack, partly for the fun of not doing it right, but mainly because it lets me show you how to do nonblocking socket reads. Here is a simple example of reading from two sockets using nonblocking reads. This rather confused program acts both as a subscriber to weather updates, and a worker for parallel tasks:

Example 2-1. Multiple socket reader (msreader.lua)

```
--
-- Reading from multiple sockets
-- This version uses a simple recv loop
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

-- Prepare our context and sockets
local context = zmq.init(1)

-- Connect to task ventilator
local receiver = context:socket(zmq.PULL)
receiver:connect("tcp://localhost:5557")

-- Connect to weather server
local subscriber = context:socket(zmq.SUB)
subscriber:connect("tcp://localhost:5556")
subscriber:setopt(zmq.SUBSCRIBE, "10001 ")

-- Process messages from both sockets
-- We prioritize traffic from the task ventilator
while true do
    -- Process any waiting tasks
    local msg
    while true do
        msg = receiver:recv(zmq.NOBLOCK)
        if not msg then break end
        -- process task
    end
    -- Process any waiting weather updates
    while true do
        msg = subscriber:recv(zmq.NOBLOCK)
        if not msg then break end
        -- process weather update
    end
    -- No activity, so sleep for 1 msec
    s_sleep(1)
end
-- We never get here but clean up anyhow
receiver:close()
subscriber:close()
context:term()
```

The cost of this approach is some additional latency on the first message (the sleep at the end of the loop, when there are no waiting messages to process). This would be a problem in applications where submillisecond latency was vital. Also, you need to check the documentation for `nanosleep()` or whatever function you use to make sure it does not busy-loop.

You can treat the sockets fairly by reading first from one, then the second rather than prioritizing them as we did in this example.

Now let's see the same senseless little application done right, using `zmq_poll()`:

Example 2-2. Multiple socket poller (mcpoller.lua)

```
--
-- Reading from multiple sockets
-- This version uses :poll()
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.poller"
require "zhelpers"

local context = zmq.init(1)

-- Connect to task ventilator
local receiver = context:socket(zmq.PULL)
receiver:connect("tcp://localhost:5557")

-- Connect to weather server
local subscriber = context:socket(zmq.SUB)
subscriber:connect("tcp://localhost:5556")
subscriber:setopt(zmq.SUBSCRIBE, "10001 ", 6)

local poller = zmq.poller(2)

poller:add(receiver, zmq.POLLIN, function()
    local msg = receiver:recv()
    -- Process task
end)

poller:add(subscriber, zmq.POLLIN, function()
    local msg = subscriber:recv()
    -- Process weather update
end)

-- Process messages from both sockets
-- start poller's event loop
poller:start()

-- We never get here
receiver:close()
```

```
subscriber:close()
context:term()
```

The items structure has these four members:

```
typedef struct {
    void *socket;        // ZeroMQ socket to poll on
    int fd;              // OR, native file handle to poll on
    short events;        // Events to poll on
    short revents;       // Events returned after poll
} zmq_pollitem_t;
```

2.2.4. Multipart Messages

ZeroMQ lets us compose a message out of several frames, giving us a "multipart message". Realistic applications use multipart messages heavily, both for wrapping messages with address information and for simple serialization. We'll look at reply envelopes later.

What we'll learn now is simply how to blindly and safely read and write multipart messages in any application (such as a proxy) that needs to forward messages without inspecting them.

When you work with multipart messages, each part is a `zmq_msg` item. E.g., if you are sending a message with five parts, you must construct, send, and destroy five `zmq_msg` items. You can do this in advance (and store the `zmq_msg` items in an array or other structure), or as you send them, one-by-one.

Here is how we send the frames in a multipart message (we receive each frame into a message object):

```
zmq_msg_send (&message, socket, ZMQ_SNDMORE);
...
zmq_msg_send (&message, socket, ZMQ_SNDMORE);
...
zmq_msg_send (&message, socket, 0);
```

Here is how we receive and process all the parts in a message, be it single part or multipart:

```
while (1) {
    zmq_msg_t message;
    zmq_msg_init (&message);
    zmq_msg_recv (&message, socket, 0);
    // Process the message frame
    ...
    zmq_msg_close (&message);
    if (!zmq_msg_more (&message))
        break;        // Last message frame
}
```

Some things to know about multipart messages:

- When you send a multipart message, the first part (and all following parts) are only actually sent on the wire when you send the final part.
- If you are using `zmq_poll()`, when you receive the first part of a message, all the rest has also arrived.
- You will receive all parts of a message, or none at all.
- Each part of a message is a separate `zmq_msg` item.
- You will receive all parts of a message whether or not you check the more property.
- On sending, ZeroMQ queues message frames in memory until the last is received, then sends them all.
- There is no way to cancel a partially sent message, except by closing the socket.

2.2.5. Intermediaries and Proxies

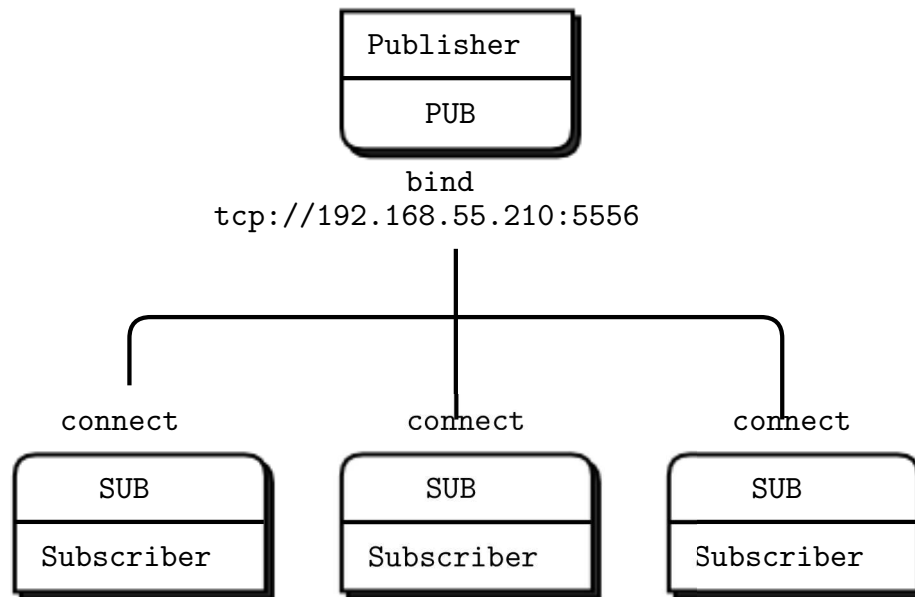
ZeroMQ aims for decentralized intelligence, but that doesn't mean your network is empty space in the middle. It's filled with message-aware infrastructure and quite often, we build that infrastructure with ZeroMQ. The ZeroMQ plumbing can range from tiny pipes to full-blown service-oriented brokers. The messaging industry calls this *intermediation*, meaning that the stuff in the middle deals with either side. In ZeroMQ, we call these proxies, queues, forwarders, device, or brokers, depending on the context.

This pattern is extremely common in the real world and is why our societies and economies are filled with intermediaries who have no other real function than to reduce the complexity and scaling costs of larger networks. Real-world intermediaries are typically called wholesalers, distributors, managers, and so on.

2.2.6. The Dynamic Discovery Problem

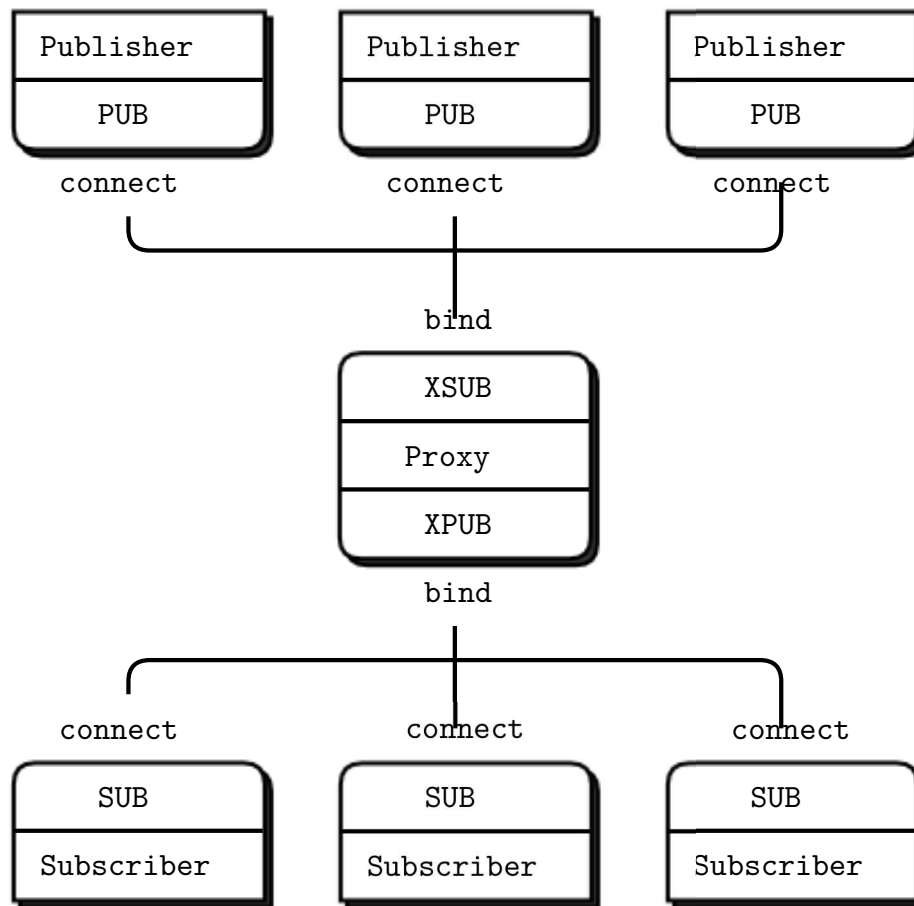
One of the problems you will hit as you design larger distributed architectures is discovery. That is, how do pieces know about each other? It's especially difficult if pieces come and go, so we call this the "dynamic discovery problem".

There are several solutions to dynamic discovery. The simplest is to entirely avoid it by hard-coding (or configuring) the network architecture so discovery is done by hand. That is, when you add a new piece, you reconfigure the network to know about it.

Figure 2-4. Small-Scale Pub-Sub Network

In practice, this leads to increasingly fragile and unwieldy architectures. Let's say you have one publisher and a hundred subscribers. You connect each subscriber to the publisher by configuring a publisher endpoint in each subscriber. That's easy. Figure 2-5. Subscribers are dynamic; the publisher is static. Now say you add more publishers. Suddenly, it's not so easy any more. If you continue to connect each subscriber to each publisher, the cost of avoiding dynamic discovery gets higher and higher.

Figure 2-5. Pub-Sub Network with a Proxy



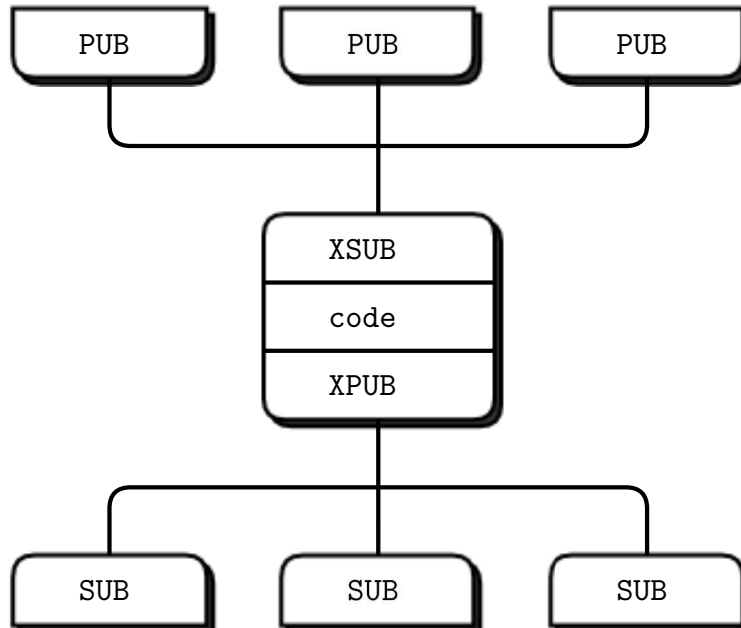
There are quite a few answers to this, but the very simplest answer is to add an intermediary; that is, a static point in the network to which all other nodes connect. In classic messaging, this is the job of the message broker. ZeroMQ doesn't come with a message broker as such, but it lets us build intermediaries quite easily.

You might wonder, if all networks eventually get large enough to need intermediaries, why don't we simply have a message broker in place for all applications? For beginners, it's a fair compromise. Just always use a star topology, forget about performance, and things will usually work. However, message brokers are greedy things; in their role as central intermediaries, they become too complex, too stateful, and eventually a problem.

It's better to think of intermediaries as simple stateless message switches. A good analogy is an HTTP proxy; it's there, but doesn't have any special role. Adding a pub-sub proxy solves the dynamic discovery problem in our example. We set the proxy in the "middle" of the network (Figure 2-6). The proxy opens an XSUB socket, an XPUB socket, and binds each to well-known IP addresses and ports. Then, all other

processes connect to the proxy, instead of to each other. It becomes trivial to add more subscribers or publishers.

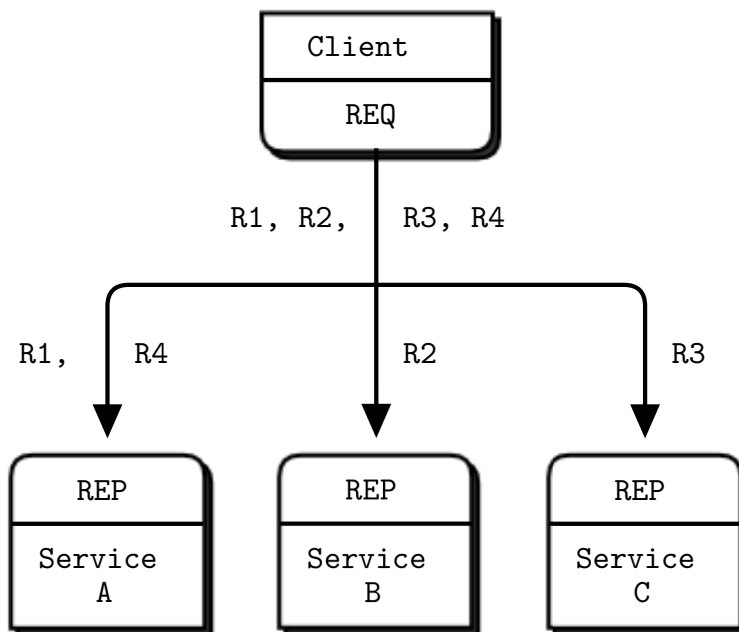
Figure 2-6. Extended Pub-Sub



We need XPUB and XSUB sockets because ZeroMQ does subscription forwarding from subscribers to publishers. XSUB and XPUB are exactly like SUB and PUB except they expose subscriptions as special messages. The proxy has to forward these subscription messages from subscriber side to publisher side, by reading them from the XPUB socket and writing them to the XSUB socket. This is the main use case for XSUB and XPUB.

2.2.7. Shared Queue (DEALER and ROUTER sockets)

In the Hello World client/server application, we have one client that talks to one service. However, in real cases we usually need to allow multiple services as well as multiple clients. This lets us scale up the power of the service (many threads or processes or nodes rather than just one). The only constraint is that services must be stateless, all state being in the request or in some shared storage such as a database.

Figure 2-7. Request Distribution

There are two ways to connect multiple clients to multiple servers. The brute force way is to connect each client socket to multiple service endpoints. One client socket can connect to multiple service sockets, and the REQ socket will then distribute requests among these services. Let's say you connect a client socket to three service endpoints; A, B, and C. The client makes requests R1, R2, R3, R4. R1 and R4 go to service A, R2 goes to B, and R3 goes to service C (Figure 2-8).

This design lets you add more clients cheaply. You can also add more services. Each client will distribute its requests to the services. But each client has to know the service topology. If you have 100 clients and then you decide to add three more services, you need to reconfigure and restart 100 clients in order for the clients to know about the three new services.

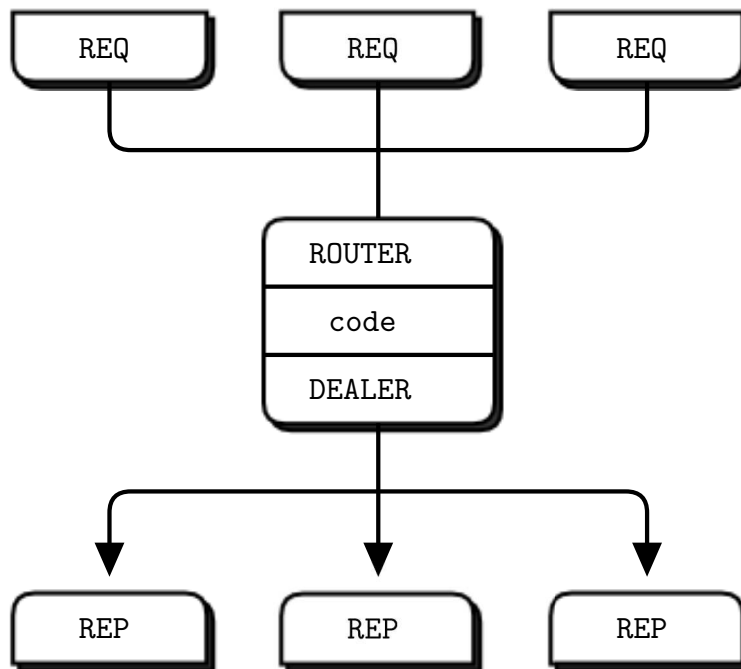
That's clearly not the kind of thing we want to be doing at 3 a.m. when our supercomputing cluster has run out of resources and we desperately need to add a couple of hundred of new service nodes. Too many static pieces are like liquid concrete: knowledge is distributed and the more static pieces you have, the more effort it is to change the topology. What we want is something sitting in between clients and services that centralizes all knowledge of the topology. Ideally, we should be able to add and remove services or clients at any time without touching any other part of the topology.

So we'll write a little message queuing broker that gives us this flexibility. The broker binds to two endpoints, a frontend for clients and a backend for services. It then uses `zmq_poll()` to monitor these two sockets for activity and when it has some, it shuttles messages between its two sockets. It doesn't actually manage any queues explicitly--ZeroMQ does that automatically on each socket.

When you use REQ to talk to REP, you get a strictly synchronous request-reply dialog. The client sends a request. The service reads the request and sends a reply. The client then reads the reply. If either the client or the service try to do anything else (e.g., sending two requests in a row without waiting for a response), they will get an error.

But our broker has to be nonblocking. Obviously, we can use `zmq_poll()` to wait for activity on either socket, but we can't use REP and REQ.

Figure 2-8. Extended Request-Reply



Luckily, there are two sockets called DEALER and ROUTER that let you do nonblocking request-response. You'll see in *Advanced Request-Reply Patterns* Chapter 3 how DEALER and ROUTER sockets let you build all kinds of asynchronous request-reply flows. For now, we're just going to see how DEALER and ROUTER let us extend REQ-REP across an intermediary, that is, our little broker.

In this simple extended request-reply pattern, REQ talks to ROUTER and DEALER talks to REP. In between the DEALER and ROUTER, we have to have code (like our broker) that pulls messages off the one socket and shoves them onto the other Figure 2-9.

The request-reply broker binds to two endpoints, one for clients to connect to (the frontend socket) and one for workers to connect to (the backend). To test this broker, you will want to change your workers so they connect to the backend socket. Here is a client that shows what I mean:

Example 2-3. Request-reply client (rrclient.lua)

```
--
-- Hello World client
-- Connects REQ socket to tcp://localhost:5559
-- Sends "Hello" to server, expects "World" back
--
-- Author: Robert G. Jakobosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

-- Socket to talk to server
local requester = context:socket(zmq.REQ)
requester:connect("tcp://localhost:5559")

for n=0,9 do
    requester:send("Hello")
    local msg = requester:recv()
    printf ("Received reply %d [%s]\n", n, msg)
end
requester:close()
context:term()
```

Here is the worker:

Example 2-4. Request-reply worker (rrworker.lua)

```
--
-- Hello World server
-- Connects REP socket to tcp://*:5560
-- Expects "Hello" from client, replies with "World"
--
-- Author: Robert G. Jakobosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

-- Socket to talk to clients
local responder = context:socket(zmq.REP)
responder:connect("tcp://localhost:5560")

while true do
    -- Wait for next request from client
    local msg = responder:recv()
    printf ("Received request: [%s]\n", msg)

    -- Do some 'work'
```

```

s_sleep (1000)

-- Send reply back to client
responder:send("World")
end
-- We never get here but clean up anyhow
responder:close()
context:term()

```

And here is the broker, which properly handles multipart messages:

Example 2-5. Request-reply broker (rrbroker.lua)

```

--
-- Simple request-reply broker
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.poller"
require "zhelpers"

-- Prepare our context and sockets
local context = zmq.init(1)
local frontend = context:socket(zmq.ROUTER)
local backend = context:socket(zmq.DEALER)
frontend:bind("tcp://*:5559")
backend:bind("tcp://*:5560")

-- Switch messages between sockets
local poller = zmq.poller(2)
poller:add(frontend, zmq.POLLIN, function()
    while true do
        -- Process all parts of the message
        local msg = frontend:recv()
        if (frontend:getopt(zmq.RCVMORE) == 1) then
            backend:send(msg, zmq.SNDMORE)
        else
            backend:send(msg, 0)
            break; -- Last message part
        end
    end
end)
poller:add(backend, zmq.POLLIN, function()
    while true do
        -- Process all parts of the message
        local msg = backend:recv()
        if (backend:getopt(zmq.RCVMORE) == 1) then
            frontend:send(msg, zmq.SNDMORE)
        else
            frontend:send(msg, 0)
            break; -- Last message part
        end
    end
end)

```

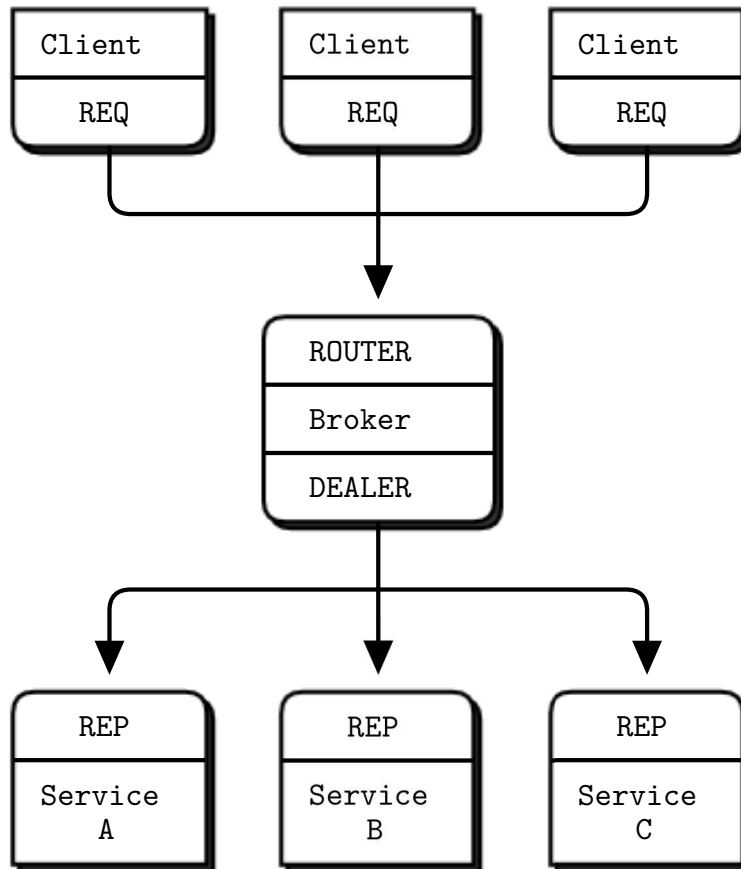
```

        end
    end
end)

-- start poller's event loop
poller:start()

-- We never get here but clean up anyhow
frontend:close()
backend:close()
context:term()

```

Figure 2-9. Request-Reply Broker

Using a request-reply broker makes your client/server architectures easier to scale because clients don't see workers, and workers don't see clients. The only static node is the broker in the middle.

2.2.8. ZeroMQ's Built-In Proxy Function

It turns out that the core loop in the previous section's `rrbroker` is very useful, and reusable. It lets us build pub-sub forwarders and shared queues and other little intermediaries with very little effort.

ZeroMQ wraps this up in a single method, `zmq_proxy()`:

```
zmq_proxy (frontend, backend, capture);
```

The two (or three sockets, if we want to capture data) must be properly connected, bound, and configured. When we call the `zmq_proxy` method, it's exactly like starting the main loop of `rrbroker`. Let's rewrite the request-reply broker to call `zmq_proxy`, and re-badge this as an expensive-sounding "message queue" (people have charged houses for code that did less):

Example 2-6. Message queue broker (msgqueue.lua)

```
--
-- Simple message queuing broker
-- Same as request-reply broker but using QUEUE device
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

-- Socket facing clients
local frontend = context:socket(zmq.ROUTER)
frontend:bind("tcp://*:5559")

-- Socket facing services
local backend = context:socket(zmq.DEALER)
backend:bind("tcp://*:5560")

-- Start built-in device
zmq.device(zmq.QUEUE, frontend, backend)

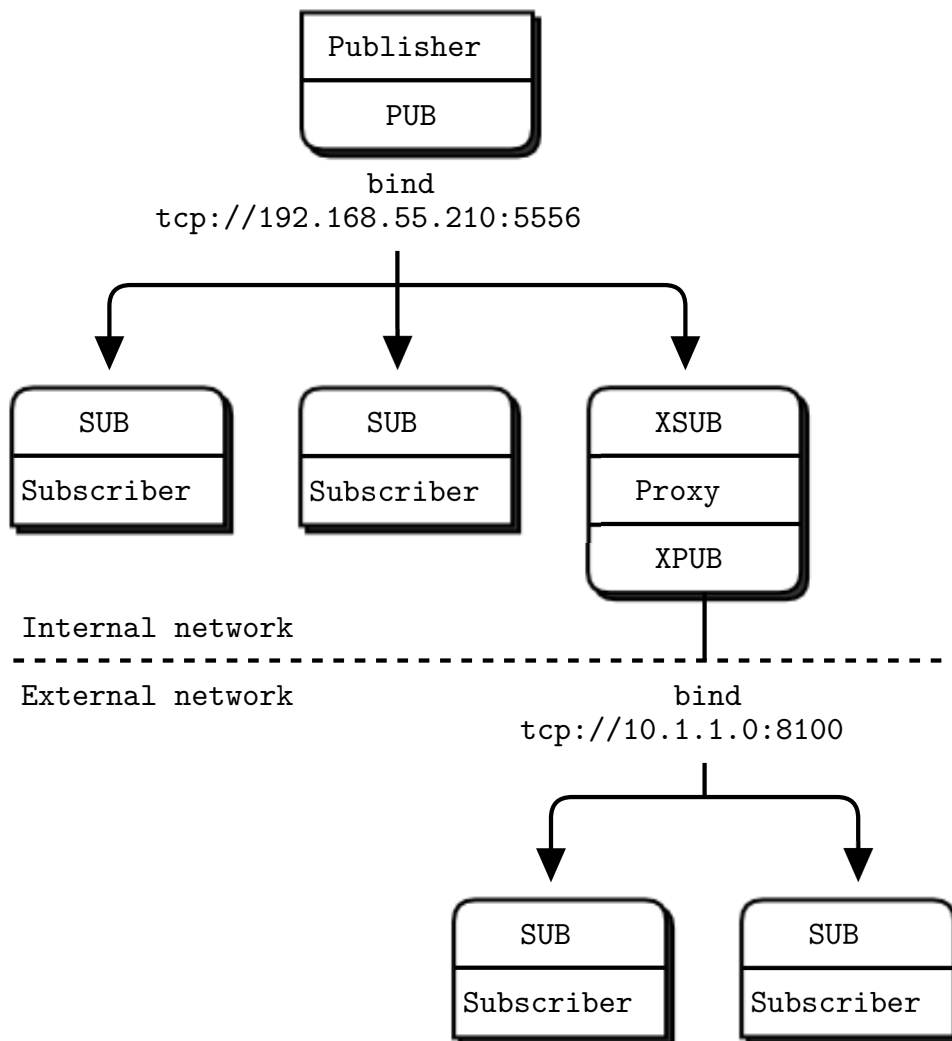
-- We never get here...
frontend:close()
backend:close()
context:term()
```

If you're like most ZeroMQ users, at this stage your mind is starting to think, "What kind of evil stuff can I do if I plug random socket types into the proxy?" The short answer is: try it and work out what is happening. In practice, you would usually stick to ROUTER/DEALER, XSUB/XPUB, or PULL/PUSH.

2.2.9. Transport Bridging

A frequent request from ZeroMQ users is, "How do I connect my ZeroMQ network with technology X?" where X is some other networking or messaging technology.

Figure 2-10. Pub-Sub Forwarder Proxy



The simple answer is to build a *bridge*. A bridge is a small application that speaks one protocol at one socket, and converts to/from a second protocol at another socket. A protocol interpreter, if you like. A common bridging problem in ZeroMQ is to bridge two transports or networks.

As an example, we're going to write a little proxy that sits in between a publisher and a set of subscribers, bridging two networks. The frontend socket (**SUB**) faces the internal network where the

weather server is sitting, and the backend (PUB) faces subscribers on the external network. It subscribes to the weather service on the frontend socket, and republishes its data on the backend socket.

Example 2-7. Weather update proxy (wuproxy.lua)

```
--
-- Weather proxy device
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"

local context = zmq.init(1)

-- This is where the weather server sits
local frontend = context:socket(zmq.SUB)
frontend:connect(arg[1] or "tcp://192.168.55.210:5556")

-- This is our public endpoint local for subscribers
local backend = context:socket(zmq.PUB)
backend:bind(arg[2] or "tcp://10.1.1.0:8100")

-- Subscribe on everything
frontend:setopt(zmq.SUBSCRIBE, "")

-- Shunt messages out to our own subscribers
while true do
    while true do
        -- Process all parts of the message
        local message = frontend:recv()
        if frontend:getopt(zmq.RCVMORE) == 1 then
            backend:send(message, zmq.SNDMORE)
        else
            backend:send(message)
            break          -- Last message part
        end
    end
end

-- We don't actually get here but if we did, we'd shut down neatly
frontend:close()
backend:close()
context:term()
```

It looks very similar to the earlier proxy example, but the key part is that the frontend and backend sockets are on two different networks (Figure 2-11). We can use this model for example to connect a multicast network (pgm transport) to a tcp publisher.

2.3. Handling Errors and ETERM

ZeroMQ's error handling philosophy is a mix of fail-fast and resilience. Processes, we believe, should be as vulnerable as possible to internal errors, and as robust as possible against external attacks and errors. To give an analogy, a living cell will self-destruct if it detects a single internal error, yet it will resist attack from the outside by all means possible.

Assertions, which pepper the ZeroMQ code, are absolutely vital to robust code; they just have to be on the right side of the cellular wall. And there should be such a wall. If it is unclear whether a fault is internal or external, that is a design flaw to be fixed. In C/C++, assertions stop the application immediately with an error. In other languages, you may get exceptions or halts.

When ZeroMQ detects an external fault it returns an error to the calling code. In some rare cases, it drops messages silently if there is no obvious strategy for recovering from the error.

In most of the C examples we've seen so far there's been no error handling. **Real code should do error handling on every single ZeroMQ call.** If you're using a language binding other than C, the binding may handle errors for you. In C, you do need to do this yourself. There are some simple rules, starting with POSIX conventions:

- Methods that create objects return NULL if they fail.
- Methods that process data may return the number of bytes processed, or -1 on an error or failure.
- Other methods return 0 on success and -1 on an error or failure.
- The error code is provided in `errno` or `zmq_errno()`.
- A descriptive error text for logging is provided by `zmq_strerror()`.

For example:

```
void *context = zmq_ctx_new ();
assert (context);
void *socket = zmq_socket (context, ZMQ_REP);
assert (socket);
int rc = zmq_bind (socket, "tcp://*:5555");
if (rc == -1) {
    printf ("E: bind failed: %s\n", strerror (errno));
    return -1;
}
```

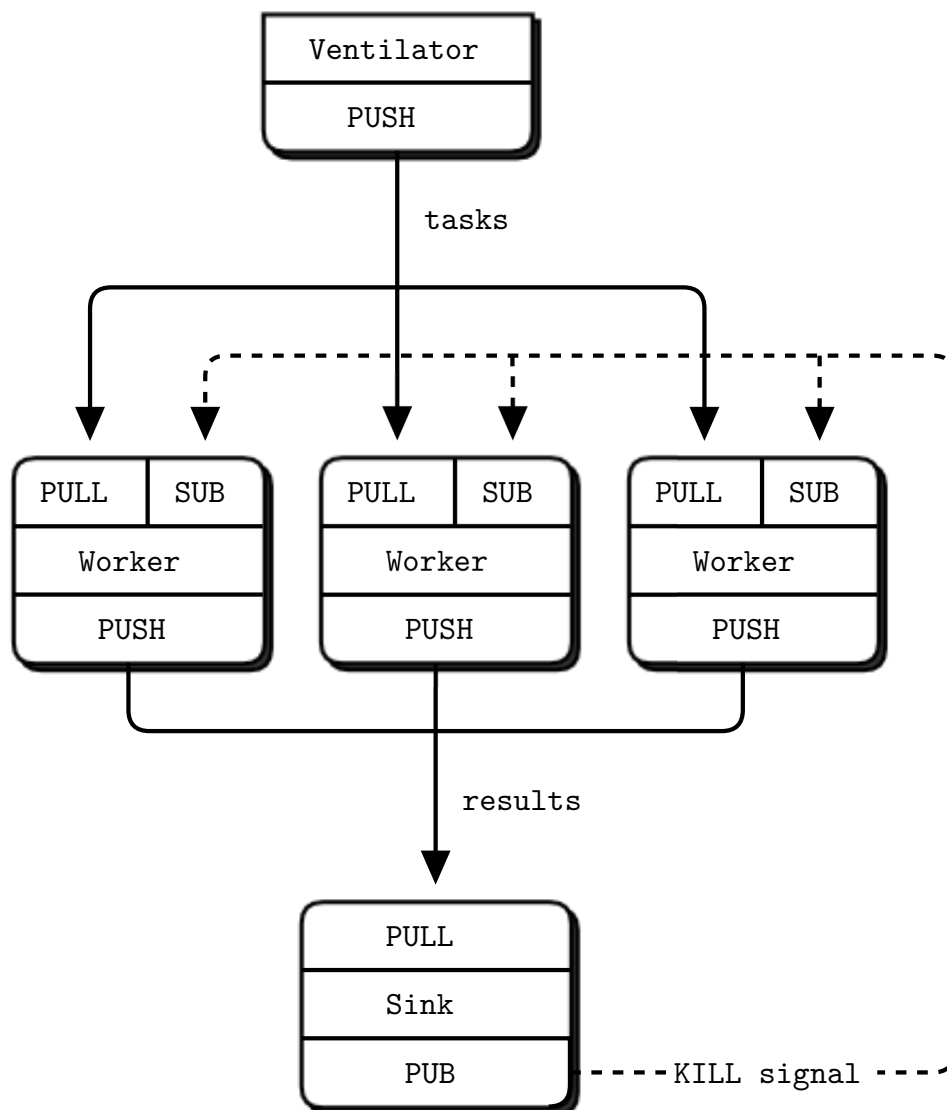
There are two main exceptional conditions that you should handle as nonfatal:

- When your code receives a message with the `ZMQ_DONTWAIT` option and there is no waiting data, ZeroMQ will return -1 and set `errno` to `EAGAIN`.

- When one thread calls `zmq_ctx_destroy()`, and other threads are still doing blocking work, the `zmq_ctx_destroy()` call closes the context and all blocking calls exit with `-1`, and `errno` set to `ETERM`.

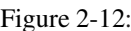
In C/C++, asserts can be removed entirely in optimized code, so don't make the mistake of wrapping the whole ZeroMQ call in an `assert()`. It looks neat; then the optimizer removes all the asserts and the calls you want to make, and your application breaks in impressive ways.

Figure 2-11. Parallel Pipeline with Kill Signaling



Let's see how to shut down a process cleanly. We'll take the parallel pipeline example from the previous

section. If we've started a whole lot of workers in the background, we now want to kill them when the batch is finished. Let's do this by sending a kill message to the workers. The best place to do this is the sink because it really knows when the batch is done.

How do we connect the sink to the workers? The PUSH/PULL sockets are one-way only. We could switch to another socket type, or we could mix multiple socket flows. Let's try the latter: using a pub-sub model to send kill messages to the workers Figure 2-12:

- The sink creates a PUB socket on a new endpoint.
- Workers connect their input socket to this endpoint.
- When the sink detects the end of the batch, it sends a kill to its PUB socket.
- When a worker detects this kill message, it exits.

It doesn't take much new code in the sink:

```
void *controller = zmq_socket (context, ZMQ_PUB);
zmq_bind (controller, "tcp://*:5559");
...
// Send kill signal to workers
s_send (controller, "KILL");
```

Here is the worker process, which manages two sockets (a PULL socket getting tasks, and a SUB socket getting control commands), using the `zmq_poll()` technique we saw earlier:

Example 2-8. Parallel task worker with kill signaling (taskwork2.lua)

```
--
-- Task worker - design 2
-- Adds pub-sub flow to receive and respond to kill signal
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.poller"
require "zhelpers"

local context = zmq.init(1)

-- Socket to receive messages on
local receiver = context:socket(zmq.PULL)
receiver:connect("tcp://localhost:5557")

-- Socket to send messages to
local sender = context:socket(zmq.PUSH)
sender:connect("tcp://localhost:5558")

-- Socket for control input
local controller = context:socket(zmq.SUB)
controller:connect("tcp://localhost:5559")
```

```

controller:setopt(zmq.SUBSCRIBE, "", 0)

-- Process messages from receiver and controller
local poller = zmq.poller(2)
poller:add(receiver, zmq.POLLIN, function()
    local msg = receiver:recv()

    -- Do the work
    s_sleep(tonumber(msg))

    -- Send results to sink
    sender:send("")

    -- Simple progress indicator for the viewer
    io.write(".")
    io.stdout:flush()
end)
poller:add(controller, zmq.POLLIN, function()
    poller:stop() -- Exit loop
end)

-- start poller's event loop
poller:start()

-- Finished
receiver:close()
sender:close()
controller:close()
context:term()

```

Here is the modified sink application. When it's finished collecting results, it broadcasts a kill message to all workers:

Example 2-9. Parallel task sink with kill signaling (tasksink2.lua)

```

--
-- Task sink - design 2
-- Adds pub-sub flow to send kill signal to workers
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"
local fmod = math.fmod

local context = zmq.init(1)

-- Socket to receive messages on
local receiver = context:socket(zmq.PULL)
receiver:bind("tcp://*:5558")

-- Socket for worker control

```

```

local controller = context:socket(zmq.PUB)
controller:bind("tcp://*:5559")

-- Wait for start of batch
local msg = receiver:recv()

-- Start our clock now
local start_time = s_clock ()

-- Process 100 confirmations
local task_nbr
for task_nbr=0,99 do
    local msg = receiver:recv()

    if (fmod(task_nbr, 10) == 0) then
        printf (":")
    else
        printf (".")
    end
    io.stdout:flush()
end
printf("Total elapsed time: %d msec\n", (s_clock () - start_time))

-- Send kill signal to workers
controller:send("KILL")

-- Finished
s_sleep (1000)          -- Give OMQ time to deliver

receiver:close()
controller:close()
context:term()

```

2.4. Handling Interrupt Signals

Realistic applications need to shut down cleanly when interrupted with Ctrl-C or another signal such as SIGTERM. By default, these simply kill the process, meaning messages won't be flushed, files won't be closed cleanly, and so on.

Here is how we handle a signal in various languages:

Example 2-10. Handling Ctrl-C cleanly (interrupt.lua)

```

--
-- Shows how to handle Ctrl-C
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

```

```

require "zmq"
require "zhelpers"

local context = zmq.init(1)
local server = context:socket(zmq.REP)
server:bind("tcp://*:5555")

s_catch_signals ()
while true do
    -- Blocking read will exit on a signal
    local request = server:recv()
    if (s_interrupted) then
        printf ("W: interrupt received, killing server...\n")
        break
    end
    server:send("World")
end
server:close()
context:term()

```

The program provides `s_catch_signals()`, which traps Ctrl-C (SIGINT) and SIGTERM. When either of these signals arrive, the `s_catch_signals()` handler sets the global variable `s_interrupted`. Thanks to your signal handler, your application will not die automatically. Instead, you have a chance to clean up and exit gracefully. You have to now explicitly check for an interrupt and handle it properly. Do this by calling `s_catch_signals()` (copy this from `interrupt.c`) at the start of your main code. This sets up the signal handling. The interrupt will affect ZeroMQ calls as follows:

- If your code is blocking in a blocking call (sending a message, receiving a message, or polling), then when a signal arrives, the call will return with `EINTR`.
- Wrappers like `s_recv()` return NULL if they are interrupted.

So check for an `EINTR` return code, a NULL return, and/or `s_interrupted`.

Here is a typical code fragment:

```

s_catch_signals ();
client = zmq_socket (...);
while (!s_interrupted) {
    char *message = s_recv (client);
    if (!message)
        break;           // Ctrl-C used
}
zmq_close (client);

```

If you call `s_catch_signals()` and don't test for interrupts, then your application will become immune to Ctrl-C and SIGTERM, which may be useful, but is usually not.

2.5. Detecting Memory Leaks

Any long-running application has to manage memory correctly, or eventually it'll use up all available memory and crash. If you use a language that handles this automatically for you, congratulations. If you program in C or C++ or any other language where you're responsible for memory management, here's a short tutorial on using valgrind, which among other things will report on any leaks your programs have.

- To install valgrind, e.g., on Ubuntu or Debian, issue this command:

```
sudo apt-get install valgrind
```

- By default, ZeroMQ will cause valgrind to complain a lot. To remove these warnings, create a file called `vg.suppress` that contains this:

```
{
    <socketcall_sendto>
    Memcheck:Param
    socketcall.sendto(msg)
    fun:send
    ...
}
{
    <socketcall_sendto>
    Memcheck:Param
    socketcall.send(msg)
    fun:send
    ...
}
```

- Fix your applications to exit cleanly after Ctrl-C. For any application that exits by itself, that's not needed, but for long-running applications, this is essential, otherwise valgrind will complain about all currently allocated memory.
- Build your application with `-DDEBUG` if it's not your default setting. That ensures valgrind can tell you exactly where memory is being leaked.
- Finally, run valgrind thus:

```
valgrind --tool=memcheck --leak-check=full --suppressions=vg.suppress someprog
```

And after fixing any errors it reported, you should get the pleasant message:

```
==30536== ERROR SUMMARY: 0 errors from 0 contexts...
```


2.6. Multithreading with ZeroMQ

ZeroMQ is perhaps the nicest way ever to write multithreaded (MT) applications. Whereas ZeroMQ sockets require some readjustment if you are used to traditional sockets, ZeroMQ multithreading will take everything you know about writing MT applications, throw it into a heap in the garden, pour gasoline over it, and set it alight. It's a rare book that deserves burning, but most books on concurrent programming do.

To make utterly perfect MT programs (and I mean that literally), **we don't need mutexes, locks, or any other form of inter-thread communication except messages sent across ZeroMQ sockets.**

By "perfect MT programs", I mean code that's easy to write and understand, that works with the same design approach in any programming language, and on any operating system, and that scales across any number of CPUs with zero wait states and no point of diminishing returns.

If you've spent years learning tricks to make your MT code work at all, let alone rapidly, with locks and semaphores and critical sections, you will be disgusted when you realize it was all for nothing. If there's one lesson we've learned from 30+ years of concurrent programming, it is: *just don't share state*. It's like two drunkards trying to share a beer. It doesn't matter if they're good buddies. Sooner or later, they're going to get into a fight. And the more drunkards you add to the table, the more they fight each other over the beer. The tragic majority of MT applications look like drunken bar fights.

The list of weird problems that you need to fight as you write classic shared-state MT code would be hilarious if it didn't translate directly into stress and risk, as code that seems to work suddenly fails under pressure. A large firm with world-beating experience in buggy code released its list of "11 Likely Problems In Your Multithreaded Code", which covers forgotten synchronization, incorrect granularity, read and write tearing, lock-free reordering, lock convoys, two-step dance, and priority inversion.

Yeah, we counted seven problems, not eleven. That's not the point though. The point is, do you really want that code running the power grid or stock market to start getting two-step lock convoys at 3 p.m. on a busy Thursday? Who cares what the terms actually mean? This is not what turned us on to programming, fighting ever more complex side effects with ever more complex hacks.

Some widely used models, despite being the basis for entire industries, are fundamentally broken, and shared state concurrency is one of them. Code that wants to scale without limit does it like the Internet does, by sending messages and sharing nothing except a common contempt for broken programming models.

You should follow some rules to write happy multithreaded code with ZeroMQ:

- Isolate data privately within its thread and never share data in multiple threads. The only exception to this are ZeroMQ contexts, which are threadsafe.

- Stay away from the classic concurrency mechanisms like as mutexes, critical sections, semaphores, etc. These are an anti-pattern in ZeroMQ applications.
- Create one ZeroMQ context at the start of your process, and pass that to all threads that you want to connect via `inproc` sockets.
- Use *attached* threads to create structure within your application, and connect these to their parent threads using PAIR sockets over `inproc`. The pattern is: bind parent socket, then create child thread which connects its socket.
- Use *detached* threads to simulate independent tasks, with their own contexts. Connect these over `tcp`. Later you can move these to stand-alone processes without changing the code significantly.
- All interaction between threads happens as ZeroMQ messages, which you can define more or less formally.
- Don't share ZeroMQ sockets between threads. ZeroMQ sockets are not threadsafe. Technically it's possible to migrate a socket from one thread to another but it demands skill. The only place where it's remotely sane to share sockets between threads are in language bindings that need to do magic like garbage collection on sockets.

If you need to start more than one proxy in an application, for example, you will want to run each in their own thread. It is easy to make the error of creating the proxy frontend and backend sockets in one thread, and then passing the sockets to the proxy in another thread. This may appear to work at first but will fail randomly in real use. Remember: *Do not use or close sockets except in the thread that created them.*

If you follow these rules, you can quite easily build elegant multithreaded applications, and later split off threads into separate processes as you need to. Application logic can sit in threads, processes, or nodes: whatever your scale needs.

ZeroMQ uses native OS threads rather than virtual "green" threads. The advantage is that you don't need to learn any new threading API, and that ZeroMQ threads map cleanly to your operating system. You can use standard tools like Intel's ThreadChecker to see what your application is doing. The disadvantages are that native threading APIs are not always portable, and that if you have a huge number of threads (in the thousands), some operating systems will get stressed.

Let's see how this works in practice. We'll turn our old Hello World server into something more capable. The original server ran in a single thread. If the work per request is low, that's fine: one ØMQ thread can run at full speed on a CPU core, with no waits, doing an awful lot of work. But realistic servers have to do nontrivial work per request. A single core may not be enough when 10,000 clients hit the server all at once. So a realistic server will start multiple worker threads. It then accepts requests as fast as it can and distributes these to its worker threads. The worker threads grind through the work and eventually send their replies back.

You can, of course, do all this using a proxy broker and external worker processes, but often it's easier to start one process that gobbles up sixteen cores than sixteen processes, each gobbling up one core. Further, running workers as threads will cut out a network hop, latency, and network traffic.

The MT version of the Hello World service basically collapses the broker and workers into a single process:

Example 2-11. Multithreaded service (mtserver.lua)

```
--
-- Multithreaded Hello World server
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.threads"
require "zhelpers"

local worker_code = [[
    local id = ...

    local zmq = require "zmq"
    require "zhelpers"
    local threads = require "zmq.threads"
    local context = threads.get_parent_ctx()

    -- Socket to talk to dispatcher
    local receiver = context:socket(zmq.REP)
    assert(receiver:connect("inproc://workers"))

    while true do
        local msg = receiver:recv()
        printf("Received request: [%s]\n", msg)

        -- Do some 'work'
        s_sleep(1000)

        -- Send reply back to client
        receiver:send("World")
    end
    receiver:close()
    return nil
]]

s_version_assert(2, 1)
local context = zmq.init(1)

-- Socket to talk to clients
local clients = context:socket(zmq.ROUTER)
clients:bind("tcp://*:5555")

-- Socket to talk to workers
local workers = context:socket(zmq.DEALER)
workers:bind("inproc://workers")

-- Launch pool of worker threads
local worker_pool = {}
```

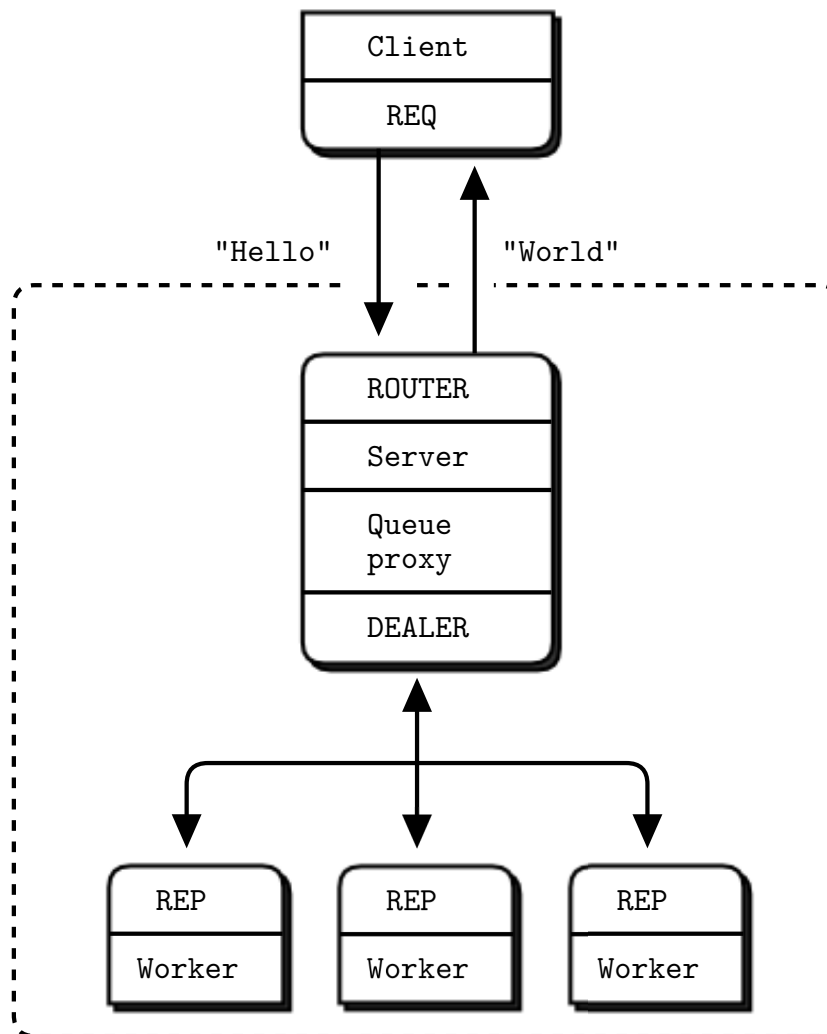
```

for n=1,5 do
    worker_pool[n] = zmq.threads.runstring(context, worker_code, n)
    worker_pool[n]:start()
end
-- Connect work threads to client threads via a queue
print("start queue device.")
zmq.device(zmq.QUEUE, clients, workers)

-- We never get here but clean up anyhow
clients:close()
workers:close()
context:term()

```

Figure 2-12. Multithreaded Server



All the code should be recognizable to you by now. How it works:

- The server starts a set of worker threads. Each worker thread creates a REP socket and then processes requests on this socket. Worker threads are just like single-threaded servers. The only differences are the transport (`inproc` instead of `tcp`), and the bind-connect direction.
- The server creates a ROUTER socket to talk to clients and binds this to its external interface (over `tcp`).
- The server creates a DEALER socket to talk to the workers and binds this to its internal interface (over `inproc`).
- The server starts a proxy that connects the two sockets. The proxy pulls incoming requests fairly from all clients, and distributes those out to workers. It also routes replies back to their origin.

Note that creating threads is not portable in most programming languages. The POSIX library is `pthread`s, but on Windows you have to use a different API. In our example, the `pthread_create` call starts up a new thread running the `worker_routine` function we defined. We'll see in Advanced Request-Reply Patterns Chapter 3 how to wrap this in a portable API.

Here the "work" is just a one-second pause. We could do anything in the workers, including talking to other nodes. This is what the MT server looks like in terms of ØMQ sockets and nodes. Note how the request-reply chain is REQ-ROUTER-queue-DEALER-REP Figure 2-13.

2.7. Signaling Between Threads (PAIR Sockets)

When you start making multithreaded applications with ZeroMQ, you'll encounter the question of how to coordinate your threads. Though you might be tempted to insert "sleep" statements, or use multithreading techniques such as semaphores or mutexes, **the only mechanism that you should use are ZeroMQ messages**. Remember the story of The Drunkards and The Beer Bottle.

Let's make three threads that signal each other when they are ready Figure 2-13. In this example, we use PAIR sockets over the `inproc` transport:

Example 2-12. Multithreaded relay (mtrelay.lua)

```
--
-- Multithreaded relay
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"
require "zmq.threads"

local pre_code = [[
    local zmq = require "zmq"
    require "zhelpers"
```

```

    local threads = require "zmq.threads"
    local context = threads.get_parent_ctx()
  ]]

  local step1 = pre_code .. [[
    -- Connect to step2 and tell it we're ready
    local xmitter = context:socket(zmq.PAIR)
    xmitter:connect("inproc://step2")
    xmitter:send("READY")
    xmitter:close()
  ]]

  local step2 = pre_code .. [[
    local step1 = ...
    -- Bind inproc socket before starting step1
    local receiver = context:socket(zmq.PAIR)
    receiver:bind("inproc://step2")
    local thread = zmq.threads.runstring(context, step1)
    thread:start()

    -- Wait for signal and pass it on
    local msg = receiver:recv()

    receiver:close()

    -- Connect to step3 and tell it we're ready
    local xmitter = context:socket(zmq.PAIR)
    xmitter:connect("inproc://step3")
    xmitter:send("READY")
    xmitter:close()

    assert(thread:join())
  ]]

  s_version_assert (2, 1)
  local context = zmq.init(1)

  -- Bind inproc socket before starting step2
  local receiver = context:socket(zmq.PAIR)
  receiver:bind("inproc://step3")
  local thread = zmq.threads.runstring(context, step2, step1)
  thread:start()

  -- Wait for signal
  local msg = receiver:recv()

  receiver:close()

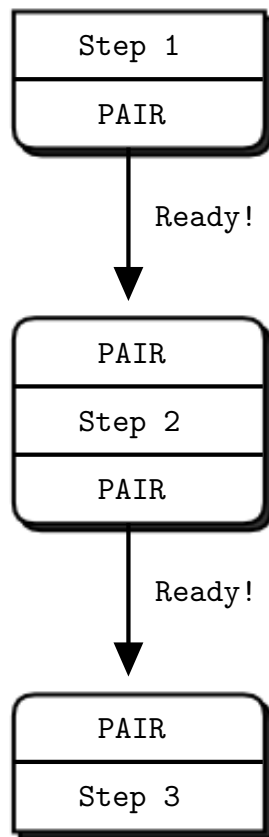
  printf ("Test successful!\n")

  assert(thread:join())

  context:term()

```

Figure 2-13. The Relay Race



This is a classic pattern for multithreading with ZeroMQ:

1. Two threads communicate over `inproc`, using a shared context.
2. The parent thread creates one socket, binds it to an `inproc://` endpoint, and *then* starts the child thread, passing the context to it.
3. The child thread creates the second socket, connects it to that `inproc://` endpoint, and *then* signals to the parent thread that it's ready.

Note that multithreading code using this pattern is not scalable out to processes. If you use `inproc` and socket pairs, you are building a tightly-bound application, i.e., one where your threads are structurally interdependent. Do this when low latency is really vital. The other design pattern is a loosely bound application, where threads have their own context and communicate over `ipc` or `tcp`. You can easily break loosely bound threads into separate processes.

This is the first time we've shown an example using PAIR sockets. Why use PAIR? Other socket combinations might seem to work, but they all have side effects that could interfere with signaling:

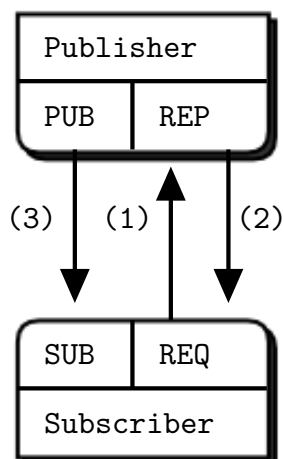
- You can use PUSH for the sender and PULL for the receiver. This looks simple and will work, but remember that PUSH will distribute messages to all available receivers. If you by accident start two receivers (e.g., you already have one running and you start a second), you'll "lose" half of your signals. PAIR has the advantage of refusing more than one connection; the pair is *exclusive*.
- You can use DEALER for the sender and ROUTER for the receiver. ROUTER, however, wraps your message in an "envelope", meaning your zero-size signal turns into a multipart message. If you don't care about the data and treat anything as a valid signal, and if you don't read more than once from the socket, that won't matter. If, however, you decide to send real data, you will suddenly find ROUTER providing you with "wrong" messages. DEALER also distributes outgoing messages, giving the same risk as PUSH.
- You can use PUB for the sender and SUB for the receiver. This will correctly deliver your messages exactly as you sent them and PUB does not distribute as PUSH or DEALER do. However, you need to configure the subscriber with an empty subscription, which is annoying.

For these reasons, PAIR makes the best choice for coordination between pairs of threads.

2.8. Node Coordination

When you want to coordinate a set of nodes on a network, PAIR sockets won't work well any more. This is one of the few areas where the strategies for threads and nodes are different. Principally, nodes come and go whereas threads are usually static. PAIR sockets do not automatically reconnect if the remote node goes away and comes back.

Figure 2-14. Pub-Sub Synchronization



The second significant difference between threads and nodes is that you typically have a fixed number of threads but a more variable number of nodes. Let's take one of our earlier scenarios (the weather server

and clients) and use node coordination to ensure that subscribers don't lose data when starting up.

This is how the application will work:

- The publisher knows in advance how many subscribers it expects. This is just a magic number it gets from somewhere.
- The publisher starts up and waits for all subscribers to connect. This is the node coordination part. Each subscriber subscribes and then tells the publisher it's ready via another socket.
- When the publisher has all subscribers connected, it starts to publish data.

In this case, we'll use a REQ-REP socket flow to synchronize subscribers and publisherFigure 2-15. Here is the publisher:

Example 2-13. Synchronized publisher (syncpub.lua)

```
--
-- Synchronized publisher
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

-- We wait for 10 subscribers
SUBSCRIBERS_EXPECTED = 10

s_version_assert (2, 1)
local context = zmq.init(1)

-- Socket to talk to clients
local publisher = context:socket(zmq.PUB)
publisher:bind("tcp://*:5561")

-- Socket to receive signals
local syncservice = context:socket(zmq.REP)
syncservice:bind("tcp://*:5562")

-- Get synchronization from subscribers
local subscribers = 0
while (subscribers < SUBSCRIBERS_EXPECTED) do
    -- - wait for synchronization request
    local msg = syncservice:recv()

    -- - send synchronization reply
    syncservice:send("")
    subscribers = subscribers + 1
end
-- Now broadcast exactly 1M updates followed by END
local update_nbr
for update_nbr=1,1000000 do
```

```

        publisher:send("Rhubarb")
    end

    publisher:send("END")

    publisher:close()
    syncservice:close()
    context:term()

```

And here is the subscriber:

Example 2-14. Synchronized subscriber (syncsub.lua)

```

--
--   Synchronized subscriber
--
--   Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

--   First, connect our subscriber socket
local subscriber = context:socket(zmq.SUB)
subscriber:connect("tcp://localhost:5561")
subscriber:setopt(zmq.SUBSCRIBE, "")

--   0MQ is so fast, we need to wait a while...
s_sleep(1000)

--   Second, synchronize with publisher
local syncclient = context:socket(zmq.REQ)
syncclient:connect("tcp://localhost:5562")

--   - send a synchronization request
syncclient:send("")

--   - wait for synchronization reply
local msg = syncclient:recv()

--   Third, get our updates and report how many we got
local update_nbr = 0
while true do
    local msg = subscriber:recv()
    if (msg == "END") then
        break
    end
    update_nbr = update_nbr + 1
end
printf("Received %d updates\n", update_nbr)

```

```
subscriber:close()
syncclient:close()
context:term()
```

This Bash shell script will start ten subscribers and then the publisher:

```
echo "Starting subscribers..."
for ((a=0; a<10; a++)); do
    syncsub &
done
echo "Starting publisher..."
syncpub
```

Which gives us this satisfying output:

```
Starting subscribers...
Starting publisher...
Received 1000000 updates
Received 1000000 updates
...
Received 1000000 updates
Received 1000000 updates
```

We can't assume that the SUB connect will be finished by the time the REQ/REP dialog is complete. There are no guarantees that outbound connects will finish in any order whatsoever, if you're using any transport except `inproc`. So, the example does a brute force sleep of one second between subscribing, and sending the REQ/REP synchronization.

A more robust model could be:

- Publisher opens PUB socket and starts sending "Hello" messages (not data).
- Subscribers connect SUB socket and when they receive a Hello message they tell the publisher via a REQ/REP socket pair.
- When the publisher has had all the necessary confirmations, it starts to send real data.

2.9. Zero-Copy

ZeroMQ's message API lets you send and receive messages directly from and to application buffers without copying data. We call this *zero-copy*, and it can improve performance in some applications.

You should think about using zero-copy in the specific case where you are sending large blocks of memory (thousands of bytes), at a high frequency. For short messages, or for lower message rates, using zero-copy will make your code messier and more complex with no measurable benefit. Like all optimizations, use this when you know it helps, and *measure* before and after.

To do zero-copy, you use `zmq_msg_init_data()` to create a message that refers to a block of data already allocated with `malloc()` or some other allocator, and then you pass that to `zmq_msg_send()`. When you create the message, you also pass a function that ZeroMQ will call to free the block of data, when it has finished sending the message. This is the simplest example, assuming `buffer` is a block of 1,000 bytes allocated on the heap:

```
void my_free (void *data, void *hint) {
    free (data);
}

// Send message from buffer, which we allocate and ZeroMQ will free for us
zmq_msg_t message;
zmq_msg_init_data (&message, buffer, 1000, my_free, NULL);
zmq_msg_send (&message, socket, 0);
```

Note that you don't call `zmq_msg_close()` after sending a message--`libzmq` will do this automatically when it's actually done sending the message.

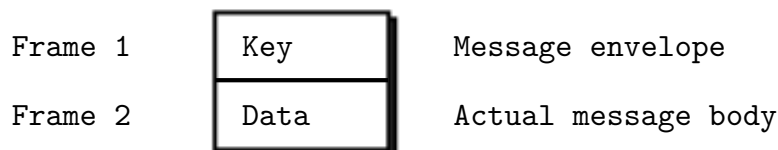
There is no way to do zero-copy on receive: ZeroMQ delivers you a buffer that you can store as long as you wish, but it will not write data directly into application buffers.

On writing, ZeroMQ's multipart messages work nicely together with zero-copy. In traditional messaging, you need to marshal different buffers together into one buffer that you can send. That means copying data. With ZeroMQ, you can send multiple buffers coming from different sources as individual message frames. Send each field as a length-delimited frame. To the application, it looks like a series of send and receive calls. But internally, the multiple parts get written to the network and read back with single system calls, so it's very efficient.

2.10. Pub-Sub Message Envelopes

In the pub-sub pattern, we can split the key into a separate message frame that we call an *envelope* (Figure 2-15). If you want to use pub-sub envelopes, make them yourself. It's optional, and in previous pub-sub examples we didn't do this. Using a pub-sub envelope is a little more work for simple cases, but it's cleaner especially for real cases, where the key and the data are naturally separate things.

Figure 2-15. Pub-Sub Envelope with Separate Key



Subscriptions do a prefix match. That is, they look for "all messages starting with XYZ". The obvious question is: how to delimit keys from data so that the prefix match doesn't accidentally match data. The best answer is to use an envelope because the match won't cross a frame boundary. Here is a minimalist example of how pub-sub envelopes look in code. This publisher sends messages of two types, A and B.

The envelope holds the message type:

Example 2-15. Pub-Sub envelope publisher (psenvpub.lua)

```
--
-- Psubsub envelope publisher
-- Note that the zhelpers.h file also provides s_sendmore
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

-- Prepare our context and publisher
local context = zmq.init(1)
local publisher = context:socket(zmq.PUB)
publisher:bind("tcp://*:5563")

while true do
    -- Write two messages, each with an envelope and content
    publisher:send("A", zmq.SNDMORE)
    publisher:send("We don't want to see this")
    publisher:send("B", zmq.SNDMORE)
    publisher:send("We would like to see this")
    s_sleep(1000)
end
-- We never get here but clean up anyhow
publisher:close()
context:term()
```

The subscriber wants only messages of type B:

Example 2-16. Pub-Sub envelope subscriber (psenvsub.lua)

```
--
-- Psubsub envelope subscriber
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

-- Prepare our context and subscriber
local context = zmq.init(1)
local subscriber = context:socket(zmq.SUB)
subscriber:connect("tcp://localhost:5563")
```

```

subscriber:setopt (zmq.SUBSCRIBE, "B")

while true do
    -- Read envelope with address
    local address = subscriber:recv()
    -- Read message contents
    local contents = subscriber:recv()
    printf("[%s] %s\n", address, contents)

end
-- We never get here but clean up anyhow
subscriber:close()
context:term()

```

When you run the two programs, the subscriber should show you this:

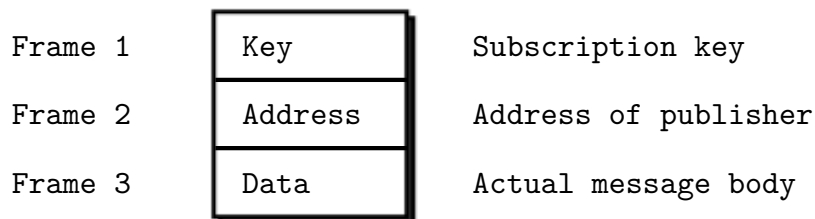
```

[B] We would like to see this
[B] We would like to see this
[B] We would like to see this
...

```

This example shows that the subscription filter rejects or accepts the entire multipart message (key plus data). You won't get part of a multipart message, ever. If you subscribe to multiple publishers and you want to know their address so that you can send them data via another socket (and this is a typical use case), create a three-part message Figure 2-16.

Figure 2-16. Pub-Sub Envelope with Sender Address



2.11. High-Water Marks

When you can send messages rapidly from process to process, you soon discover that memory is a precious resource, and one that can be trivially filled up. A few seconds of delay somewhere in a process can turn into a backlog that blows up a server unless you understand the problem and take precautions.

The problem is this: imagine you have process A sending messages at high frequency to process B, which is processing them. Suddenly B gets very busy (garbage collection, CPU overload, whatever), and can't process the messages for a short period. It could be a few seconds for some heavy garbage collection, or it could be much longer, if there's a more serious problem. What happens to the messages that process A is still trying to send frantically? Some will sit in B's network buffers. Some will sit on the Ethernet wire itself. Some will sit in A's network buffers. And the rest will accumulate in A's memory, as rapidly as the application behind A sends them. If you don't take some precaution, A can easily run out of memory and crash.

It is a consistent, classic problem with message brokers. What makes it hurt more is that it's B's fault, superficially, and B is typically a user-written application which A has no control over.

What are the answers? One is to pass the problem upstream. A is getting the messages from somewhere else. So tell that process, "Stop!" And so on. This is called *flow control*. It sounds plausible, but what if you're sending out a Twitter feed? Do you tell the whole world to stop tweeting while B gets its act together?

Flow control works in some cases, but not in others. The transport layer can't tell the application layer to "stop" any more than a subway system can tell a large business, "please keep your staff at work for another half an hour. I'm too busy". The answer for messaging is to set limits on the size of buffers, and then when we reach those limits, to take some sensible action. In some cases (not for a subway system, though), the answer is to throw away messages. In others, the best strategy is to wait.

ZeroMQ uses the concept of HWM (high-water mark) to define the capacity of its internal pipes. Each connection out of a socket or into a socket has its own pipe, and HWM for sending, and/or receiving, depending on the socket type. Some sockets (PUB, PUSH) only have send buffers. Some (SUB, PULL, REQ, REP) only have receive buffers. Some (DEALER, ROUTER, PAIR) have both send and receive buffers.

In ZeroMQ v2.x, the HWM was infinite by default. This was easy but also typically fatal for high-volume publishers. In ZeroMQ v3.x, it's set to 1,000 by default, which is more sensible. If you're still using ZeroMQ v2.x, you should always set a HWM on your sockets, be it 1,000 to match ZeroMQ v3.x or another figure that takes into account your message sizes and expected subscriber performance.

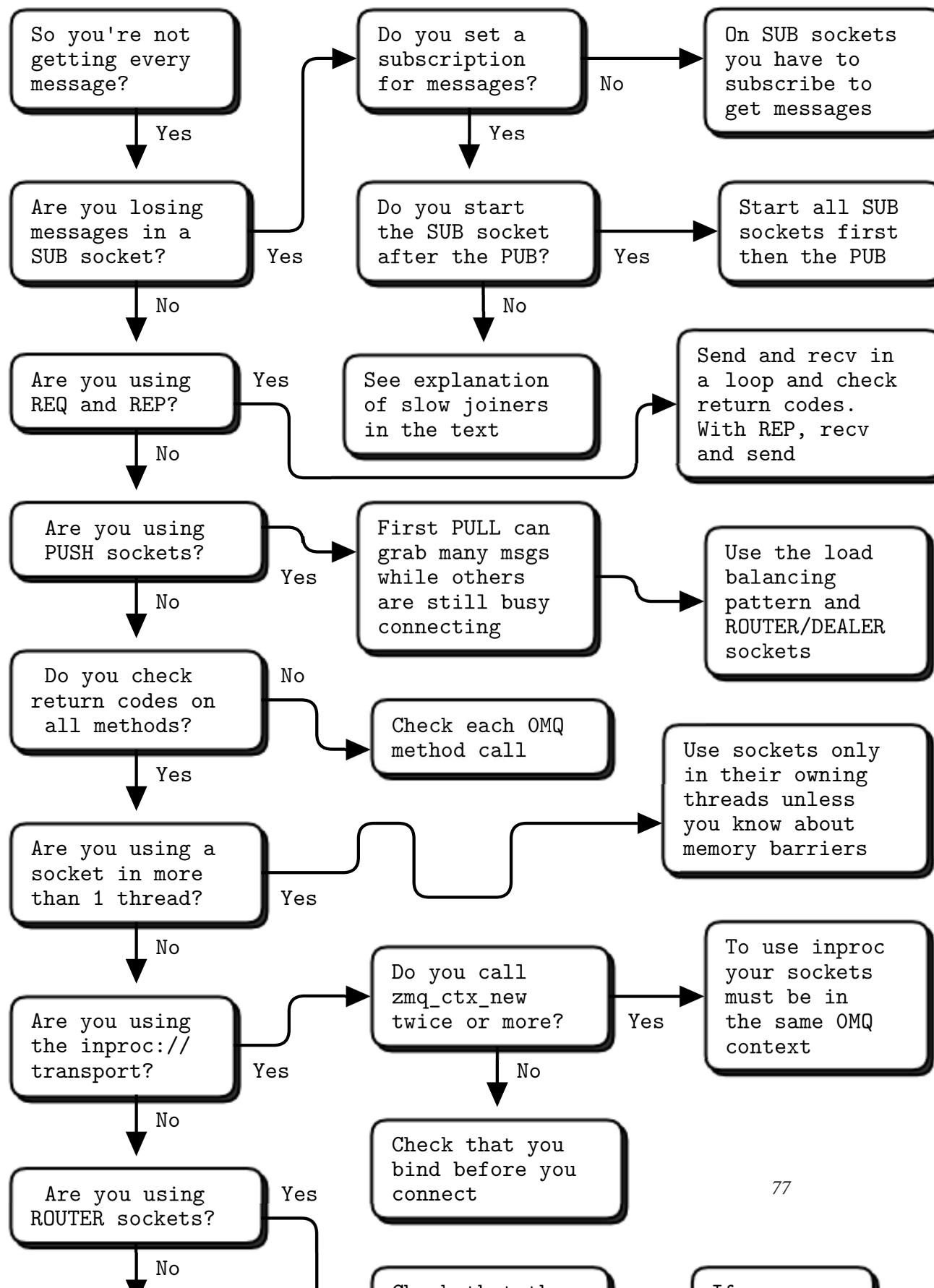
When your socket reaches its HWM, it will either block or drop data depending on the socket type. PUB and ROUTER sockets will drop data if they reach their HWM, while other socket types will block. Over the `inproc` transport, the sender and receiver share the same buffers, so the real HWM is the sum of the HWM set by both sides.

Lastly, the HWMs are not exact; while you may get *up to* 1,000 messages by default, the real buffer size may be much lower (as little as half), due to the way `libzmq` implements its queues.

2.12. Missing Message Problem Solver

As you build applications with ZeroMQ, you will come across this problem more than once: losing messages that you expect to receive. We have put together a diagramFigure 2-17 that walks through the most common causes for this.

Figure 2-17. Missing Message Problem Solver



Here's a summary of what the graphic says:

- On SUB sockets, set a subscription using `zmq_setsockopt()` with `ZMQ_SUBSCRIBE`, or you won't get messages. Because you subscribe to messages by prefix, if you subscribe to "" (an empty subscription), you will get everything.
- If you start the SUB socket (i.e., establish a connection to a PUB socket) *after* the PUB socket has started sending out data, you will lose whatever it published before the connection was made. If this is a problem, set up your architecture so the SUB socket starts first, then the PUB socket starts publishing.
- Even if you synchronize a SUB and PUB socket, you may still lose messages. It's due to the fact that internal queues aren't created until a connection is actually created. If you can switch the bind/connect direction so the SUB socket binds, and the PUB socket connects, you may find it works more as you'd expect.
- If you're using REP and REQ sockets, and you're not sticking to the synchronous send/recv/send/recv order, ZeroMQ will report errors, which you might ignore. Then, it would look like you're losing messages. If you use REQ or REP, stick to the send/recv order, and always, in real code, check for errors on ZeroMQ calls.
- If you're using PUSH sockets, you'll find that the first PULL socket to connect will grab an unfair share of messages. The accurate rotation of messages only happens when all PULL sockets are successfully connected, which can take some milliseconds. As an alternative to PUSH/PULL, for lower data rates, consider using ROUTER/DEALER and the load balancing pattern.
- If you're sharing sockets across threads, don't. It will lead to random weirdness, and crashes.
- If you're using `inproc`, make sure both sockets are in the same context. Otherwise the connecting side will in fact fail. Also, bind first, then connect. `inproc` is not a disconnected transport like `tcp`.
- If you're using ROUTER sockets, it's remarkably easy to lose messages by accident, by sending malformed identity frames (or forgetting to send an identity frame). In general setting the `ZMQ_ROUTER_MANDATORY` option on ROUTER sockets is a good idea, but do also check the return code on every send call.
- Lastly, if you really can't figure out what's going wrong, make a *minimal* test case that reproduces the problem, and ask for help from the ZeroMQ community.

Chapter 3. Advanced Request-Reply Patterns

In *Sockets and Patterns* Chapter 2 we worked through the basics of using ZeroMQ by developing a series of small applications, each time exploring new aspects of ZeroMQ. We'll continue this approach in this chapter as we explore advanced patterns built on top of ZeroMQ's core request-reply pattern.

We'll cover:

- How the request-reply mechanisms work
- How to combine REQ, REP, DEALER, and ROUTER sockets
- How ROUTER sockets work, in detail
- The load balancing pattern
- Building a simple load balancing message broker
- Designing a high-level API for ZeroMQ
- Building an asynchronous request-reply server
- A detailed inter-broker routing example

3.1. The Request-Reply Mechanisms

We already looked briefly at multipart messages. Let's now look at a major use case, which is *reply message envelopes*. An envelope is a way of safely packaging up data with an address, without touching the data itself. By separating reply addresses into an envelope we make it possible to write general purpose intermediaries such as APIs and proxies that create, read, and remove addresses no matter what the message payload or structure is.

In the request-reply pattern, the envelope holds the return address for replies. It is how a ZeroMQ network with no state can create round-trip request-reply dialogs.

When you use REQ and REP sockets you don't even see envelopes; these sockets deal with them automatically. But for most of the interesting request-reply patterns, you'll want to understand envelopes and particularly ROUTER sockets. We'll work through this step-by-step.

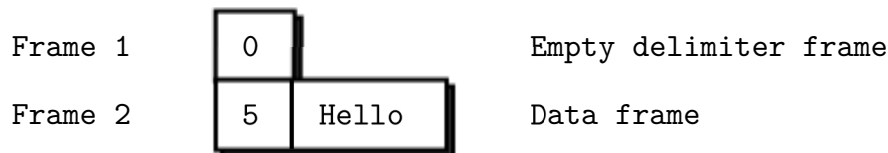
3.1.1. The Simple Reply Envelope

A request-reply exchange consists of a *request* message, and an eventual *reply* message. In the simple request-reply pattern, there's one reply for each request. In more advanced patterns, requests and replies can flow asynchronously. However, the reply envelope always works the same way.

The ZeroMQ reply envelope formally consists of zero or more reply addresses, followed by an empty frame (the envelope delimiter), followed by the message body (zero or more frames). The envelope is created by multiple sockets working together in a chain. We'll break this down.

We'll start by sending "Hello" through a REQ socket. The REQ socket creates the simplest possible reply envelope, which has no addresses, just an empty delimiter frame and the message frame containing the "Hello" string. This is a two-frame messageFigure 3-1.

Figure 3-1. Request with Minimal Envelope



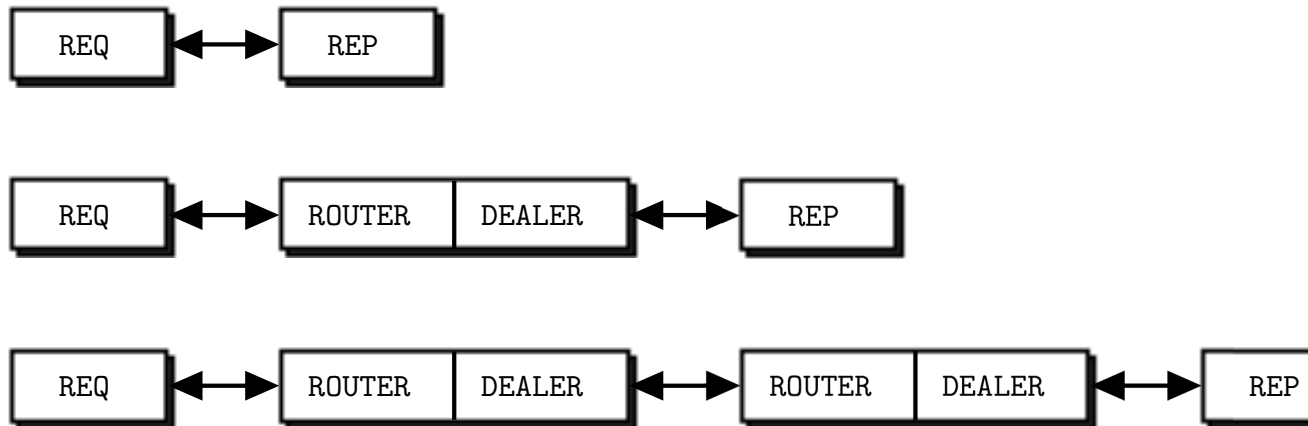
The REP socket does the matching work: it strips off the envelope, up to and including the delimiter frame, saves the whole envelope, and passes the "Hello" string up the application. Thus our original Hello World example used request-reply envelopes internally, but the application never saw them.

If you spy on the network data flowing between `hwclient` and `hwserver`, this is what you'll see: every request and every reply is in fact two frames, an empty frame and then the body. It doesn't seem to make much sense for a simple REQ-REP dialog. However you'll see the reason when we explore how ROUTER and DEALER handle envelopes.

3.1.2. The Extended Reply Envelope

Now let's extend the REQ-REP pair with a ROUTER-DEALER proxy in the middle and see how this affects the reply envelope. This is the *extended request-reply pattern* we already saw in Sockets and PatternsChapter 2. We can, in fact, insert any number of proxy stepsFigure 3-2. The mechanics are the same.

Figure 3-2. Extended Request-Reply Pattern



The proxy does this, in pseudo-code:

```

prepare context, frontend and backend sockets
while true:
    poll on both sockets
    if frontend had input:
        read all frames from frontend
        send to backend
    if backend had input:
        read all frames from backend
        send to frontend

```

The ROUTER socket, unlike other sockets, tracks every connection it has, and tells the caller about these. The way it tells the caller is to stick the connection *identity* in front of each message received. An identity, sometimes called an *address*, is just a binary string with no meaning except "this is a unique handle to the connection". Then, when you send a message via a ROUTER socket, you first send an identity frame.

The `zmq_socket()` man page describes it thus:

When receiving messages a ZMQ_ROUTER socket shall prepend a message part containing the identity of the originating peer to the message before passing it to the application. Messages received are fair-queued from among all connected peers. When sending messages a ZMQ_ROUTER socket shall remove the first part of the message and use it to determine the identity of the peer the message shall be routed to.

As a historical note, ZeroMQ v2.2 and earlier use UUIDs as identities. ZeroMQ v3.0 and later generate a 5 byte identity by default (0 + a random 32bit integer). There's some impact on network performance, but only when you use multiple proxy hops, which is rare. Mostly the change was to simplify building `libzmq` by removing the dependency on a UUID library.

Identities are a difficult concept to understand, but it's essential if you want to become a ZeroMQ expert. The ROUTER socket *invents* a random identity for each connection with which it works. If there are three REQ sockets connected to a ROUTER socket, it will invent three random identities, one for each REQ socket.

So if we continue our worked example, let's say the REQ socket has a 3-byte identity ABC. Internally, this means the ROUTER socket keeps a hash table where it can search for ABC and find the TCP connection for the REQ socket.

When we receive the message off the ROUTER socket, we get three frames Figure 3-3.

Figure 3-3. Request with One Address

Frame 1	3	ABC	Identity of connection
Frame 2	0		Empty delimiter frame
Frame 3	5	Hello	Data frame

The core of the proxy loop is "read from one socket, write to the other", so we literally send these three frames out on the DEALER socket. If you now sniffed the network traffic, you would see these three frames flying from the DEALER socket to the REP socket. The REP socket does as before, strips off the whole envelope including the new reply address, and once again delivers the "Hello" to the caller.

Incidentally the REP socket can only deal with one request-reply exchange at a time, which is why if you try to read multiple requests or send multiple replies without sticking to a strict recv-send cycle, it gives an error.

You should now be able to visualize the return path. When `hwserver` sends "World" back, the REP socket wraps that with the envelope it saved, and sends a three-frame reply message across the wire to the DEALER socket Figure 3-4.

Figure 3-4. Reply with one Address

Frame 1	3	ABC	Identity of connection
Frame 2	0		Empty delimiter frame
Frame 3	5	World	Data frame

Now the DEALER reads these three frames, and sends all three out via the ROUTER socket. The ROUTER takes the first frame for the message, which is the ABC identity, and looks up the connection for this. If it finds that, it then pumps the next two frames out onto the wire Figure 3-5.

Figure 3-5. Reply with Minimal Envelope



The REQ socket picks this message up, and checks that the first frame is the empty delimiter, which it is. The REQ socket discards that frame and passes "World" to the calling application, which prints it out to the amazement of the younger us looking at ZeroMQ for the first time.

3.1.3. What's This Good For?

To be honest, the use cases for strict request-reply or extended request-reply are somewhat limited. For one thing, there's no easy way to recover from common failures like the server crashing due to buggy application code. We'll see more about this in Reliable Request-Reply Patterns Chapter 4. However once you grasp the way these four sockets deal with envelopes, and how they talk to each other, you can do very useful things. We saw how ROUTER uses the reply envelope to decide which client REQ socket to route a reply back to. Now let's express this another way:

- Each time ROUTER gives you a message, it tells you what peer that came from, as an identity.
- You can use this with a hash table (with the identity as key) to track new peers as they arrive.
- ROUTER will route messages asynchronously to any peer connected to it, if you prefix the identity as the first frame of the message.

ROUTER sockets don't care about the whole envelope. They don't know anything about the empty delimiter. All they care about is that one identity frame that lets them figure out which connection to send a message to.

3.1.4. Recap of Request-Reply Sockets

Let's recap this:

- The REQ socket sends, to the network, an empty delimiter frame in front of the message data. REQ sockets are synchronous. REQ sockets always send one request and then wait for one reply. REQ sockets talk to one peer at a time. If you connect a REQ socket to multiple peers, requests are distributed to and replies expected from each peer one turn at a time.

- The REP socket reads and saves all identity frames up to and including the empty delimiter, then passes the following frame or frames to the caller. REP sockets are synchronous and talk to one peer at a time. If you connect a REP socket to multiple peers, requests are read from peers in fair fashion, and replies are always sent to the same peer that made the last request.
- The DEALER socket is oblivious to the reply envelope and handles this like any multipart message. DEALER sockets are asynchronous and like PUSH and PULL combined. They distribute sent messages among all connections, and fair-queue received messages from all connections.
- The ROUTER socket is oblivious to the reply envelope, like DEALER. It creates identities for its connections, and passes these identities to the caller as a first frame in any received message. Conversely, when the caller sends a message, it uses the first message frame as an identity to look up the connection to send to. ROUTERS are asynchronous.

3.2. Request-Reply Combinations

We have four request-reply sockets, each with a certain behavior. We've seen how they connect in simple and extended request-reply patterns. But these sockets are building blocks that you can use to solve many problems.

These are the legal combinations:

- REQ to REP
- DEALER to REP
- REQ to ROUTER
- DEALER to ROUTER
- DEALER to DEALER
- ROUTER to ROUTER

And these combinations are invalid (and I'll explain why):

- REQ to REQ
- REQ to DEALER
- REP to REP
- REP to ROUTER

Here are some tips for remembering the semantics. DEALER is like an asynchronous REQ socket, and ROUTER is like an asynchronous REP socket. Where we use a REQ socket, we can use a DEALER; we just have to read and write the envelope ourselves. Where we use a REP socket, we can stick a ROUTER; we just need to manage the identities ourselves.

Think of REQ and DEALER sockets as "clients" and REP and ROUTER sockets as "servers". Mostly, you'll want to bind REP and ROUTER sockets, and connect REQ and DEALER sockets to them. It's not always going to be this simple, but it is a clean and memorable place to start.

3.2.1. The REQ to REP Combination

We've already covered a REQ client talking to a REP server but let's take one aspect: the REQ client *must* initiate the message flow. A REP server cannot talk to a REQ client that hasn't first sent it a request. Technically, it's not even possible, and the API also returns an `EFSM` error if you try it.

3.2.2. The DEALER to REP Combination

Now, let's replace the REQ client with a DEALER. This gives us an asynchronous client that can talk to multiple REP servers. If we rewrote the "Hello World" client using DEALER, we'd be able to send off any number of "Hello" requests without waiting for replies.

When we use a DEALER to talk to a REP socket, we *must* accurately emulate the envelope that the REQ socket would have sent, or the REP socket will discard the message as invalid. So, to send a message, we:

- Send an empty message frame with the MORE flag set; then
- Send the message body.

And when we receive a message, we:

- Receive the first frame and if it's not empty, discard the whole message;
- Receive the next frame and pass that to the application.

3.2.3. The REQ to ROUTER Combination

In the same way that we can replace REQ with DEALER, we can replace REP with ROUTER. This gives us an asynchronous server that can talk to multiple REQ clients at the same time. If we rewrote the "Hello World" server using ROUTER, we'd be able to process any number of "Hello" requests in parallel. We saw this in the `Sockets and Patterns` `Chapter 2 mtserver` example.

We can use ROUTER in two distinct ways:

- As a proxy that switches messages between frontend and backend sockets.
- As an application that reads the message and acts on it.

In the first case, the ROUTER simply reads all frames, including the artificial identity frame, and passes them on blindly. In the second case the ROUTER *must* know the format of the reply envelope it's being sent. As the other peer is a REQ socket, the ROUTER gets the identity frame, an empty frame, and then the data frame.

3.2.4. The DEALER to ROUTER Combination

Now we can switch out both REQ and REP with DEALER and ROUTER to get the most powerful socket combination, which is DEALER talking to ROUTER. It gives us asynchronous clients talking to asynchronous servers, where both sides have full control over the message formats.

Because both DEALER and ROUTER can work with arbitrary message formats, if you hope to use these safely, you have to become a little bit of a protocol designer. At the very least you must decide whether you wish to emulate the REQ/REP reply envelope. It depends on whether you actually need to send replies or not.

3.2.5. The DEALER to DEALER Combination

You can swap a REP with a ROUTER, but you can also swap a REP with a DEALER, if the DEALER is talking to one and only one peer.

When you replace a REP with a DEALER, your worker can suddenly go full asynchronous, sending any number of replies back. The cost is that you have to manage the reply envelopes yourself, and get them right, or nothing at all will work. We'll see a worked example later. Let's just say for now that DEALER to DEALER is one of the trickier patterns to get right, and happily it's rare that we need it.

3.2.6. The ROUTER to ROUTER Combination

This sounds perfect for N-to-N connections, but it's the most difficult combination to use. You should avoid it until you are well advanced with ZeroMQ. We'll see one example of it in the Freelance pattern in Reliable Request-Reply PatternsChapter 4, and an alternative DEALER to ROUTER design for peer-to-peer work in A Framework for Distributed ComputingChapter 8.

3.2.7. Invalid Combinations

Mostly, trying to connect clients to clients, or servers to servers is a bad idea and won't work. However, rather than give general vague warnings, I'll explain in detail:

- REQ to REQ: both sides want to start by sending messages to each other, and this could only work if you timed things so that both peers exchanged messages at the same time. It hurts my brain to even think about it.
- REQ to DEALER: you could in theory do this, but it would break if you added a second REQ because DEALER has no way of sending a reply to the original peer. Thus the REQ socket would get confused, and/or return messages meant for another client.
- REP to REP: both sides would wait for the other to send the first message.
- REP to ROUTER: the ROUTER socket can in theory initiate the dialog and send a properly-formatted request, if it knows the REP socket has connected *and* it knows the identity of that connection. It's messy and adds nothing over DEALER to ROUTER.

The common thread in this valid versus invalid breakdown is that a ZeroMQ socket connection is always biased towards one peer that binds to an endpoint, and another that connects to that. Further, that which side binds and which side connects is not arbitrary, but follows natural patterns. The side which we expect to "be there" binds: it'll be a server, a broker, a publisher, a collector. The side that "comes and goes" connects: it'll be clients and workers. Remembering this will help you design better ZeroMQ architectures.

3.3. Exploring ROUTER Sockets

Let's look at ROUTER sockets a little closer. We've already seen how they work by routing individual messages to specific connections. I'll explain in more detail how we identify those connections, and what a ROUTER socket does when it can't send a message.

3.3.1. Identities and Addresses

The *identity* concept in ZeroMQ refers specifically to ROUTER sockets and how they identify the connections they have to other sockets. More broadly, identities are used as addresses in the reply envelope. In most cases, the identity is arbitrary and local to the ROUTER socket: it's a lookup key in a hash table. Independently, a peer can have an address that is physical (a network endpoint like "tcp://192.168.55.117:5670") or logical (a UUID or email address or other unique key).

An application that uses a ROUTER socket to talk to specific peers can convert a logical address to an identity if it has built the necessary hash table. Because ROUTER sockets only announce the identity of a connection (to a specific peer) when that peer sends a message, you can only really reply to a message, not spontaneously talk to a peer.

This is true even if you flip the rules and make the ROUTER connect to the peer rather than wait for the peer to connect to the ROUTER. However you can force the ROUTER socket to use a logical address in

place of its identity. The `zmq_setsockopt` reference page calls this *setting the socket identity*. It works as follows:

- The peer application sets the `ZMQ_IDENTITY` option of its peer socket (DEALER or REQ) *before* binding or connecting.
- Usually the peer then connects to the already-bound ROUTER socket. But the ROUTER can also connect to the peer.
- At connection time, the peer socket tells the router socket, "please use this identity for this connection".
- If the peer socket doesn't say that, the router generates its usual arbitrary random identity for the connection.
- The ROUTER socket now provides this logical address to the application as a prefix identity frame for any messages coming in from that peer.
- The ROUTER also expects the logical address as the prefix identity frame for any outgoing messages.

Here is a simple example of two peers that connect to a ROUTER socket, one that imposes a logical address "PEER2":

Example 3-1. Identity check (identity.lua)

```
--
-- Demonstrate identities as used by the request-reply pattern. Run this
-- program by itself. Note that the utility functions s_ are provided by
-- zhelpers.h. It gets boring for everyone to keep repeating this code.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

local context = zmq.init(1)

local sink = context:socket(zmq.ROUTER)
sink:bind("inproc://example")

-- First allow 0MQ to set the identity
local anonymous = context:socket(zmq.REQ)
anonymous:connect("inproc://example")
anonymous:send("ROUTER uses a generated 5 byte identity")
s_dump(sink)

-- Then set the identity ourselves
local identified = context:socket(zmq.REQ)
identified:setopt(zmq.IDENTITY, "PEER2")
identified:connect("inproc://example")
identified:send("ROUTER socket uses REQ's socket identity")
s_dump(sink)

sink:close()
```

```
anonymous:close()
identified:close()
context:term()
```

Here is what the program prints:

```
-----
[005] 006B8B4567
[000]
[039] ROUTER uses a generated 5 byte identity
-----
[005] PEER2
[000]
[038] ROUTER uses REQ's socket identity
```

3.3.2. ROUTER Error Handling

ROUTER sockets do have a somewhat brutal way of dealing with messages they can't send anywhere: they drop them silently. It's an attitude that makes sense in working code, but it makes debugging hard. The "send identity as first frame" approach is tricky enough that we often get this wrong when we're learning, and the ROUTER's stony silence when we mess up isn't very constructive.

Since ZeroMQ v3.2 there's a socket option you can set to catch this error: `ZMQ_ROUTER_MANDATORY`. Set that on the ROUTER socket and then when you provide an unroutable identity on a send call, the socket will signal an `EHOSTUNREACH` error.

3.4. The Load Balancing Pattern

Now let's look at some code. We'll see how to connect a ROUTER socket to a REQ socket, and then to a DEALER socket. These two examples follow the same logic, which is a *load balancing* pattern. This pattern is our first exposure to using the ROUTER socket for deliberate routing, rather than simply acting as a reply channel.

The load balancing pattern is very common and we'll see it several times in this book. It solves the main problem with simple round robin routing (as PUSH and DEALER offer) which is that round robin becomes inefficient if tasks do not all roughly take the same time.

It's the post office analogy. If you have one queue per counter, and you have some people buying stamps (a fast, simple transaction), and some people opening new accounts (a very slow transaction), then you will find stamp buyers getting unfairly stuck in queues. Just as in a post office, if your messaging architecture is unfair, people will get annoyed.

The solution in the post office is to create a single queue so that even if one or two counters get stuck with slow work, other counters will continue to serve clients on a first-come, first-serve basis.

One reason PUSH and DEALER use the simplistic approach is sheer performance. If you arrive in any major US airport, you'll find long queues of people waiting at immigration. The border patrol officials will send people in advance to queue up at each counter, rather than using a single queue. Having people walk fifty yards in advance saves a minute or two per passenger. And because every passport check takes roughly the same time, it's more or less fair. This is the strategy for PUSH and DEALER: send work loads ahead of time so that there is less travel distance.

This is a recurring theme with ZeroMQ: the world's problems are diverse and you can benefit from solving different problems each in the right way. The airport isn't the post office and one size fits no one, really well.

Let's return to the scenario of a worker (DEALER or REQ) connected to a broker (ROUTER). The broker has to know when the worker is ready, and keep a list of workers so that it can take the *least recently used* worker each time.

The solution is really simple, in fact: workers send a "ready" message when they start, and after they finish each task. The broker reads these messages one-by-one. Each time it reads a message, it is from the last used worker. And because we're using a ROUTER socket, we get an identity that we can then use to send a task back to the worker.

It's a twist on request-reply because the task is sent with the reply, and any response for the task is sent as a new request. The following code examples should make it clearer.

3.4.1. ROUTER Broker and REQ Workers

Here is an example of the load balancing pattern using a ROUTER broker talking to a set of REQ workers:

Example 3-2. ROUTER-to-REQ (rtreq.lua)

```
--
-- Custom routing Router to Mama (ROUTER to REQ)
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.threads"
require "zhelpers"
```

```

NBR_WORKERS = 10

local pre_code = [[
    local identity, seed = ...
    local zmq = require"zmq"
    require"zhelpers"
    math.randomseed(seed)
]]

local worker_task = pre_code .. [[
    local context = zmq.init(1)
    local worker = context:socket(zmq.REQ)

    -- We use a string identity for ease here
    worker:setopt(zmq.IDENTITY, identity)
    worker:connect("ipc://routing.ipc")

    local total = 0
    while true do
        -- Tell the router we're ready for work
        worker:send("ready")

        -- Get workload from router, until finished
        local workload = worker:recv()
        local finished = (workload == "END")

        if (finished) then
            printf ("Processed: %d tasks\n", total)
            break
        end
        total = total + 1

        -- Do some random work
        s_sleep (randof (1000) + 1)
    end
    worker:close()
    context:term()
]]

s_version_assert (2, 1)
local context = zmq.init(1)

local client = context:socket(zmq.ROUTER)
client:bind("ipc://routing.ipc")
math.randomseed(os.time())

local workers = {}
for n=1,NBR_WORKERS do
    local identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))
    local seed = os.time() + math.random()
    workers[n] = zmq.threads.runstring(context, worker_task, identity, seed)
    workers[n]:start()
end

```

```

end
for n=1,(NBR_WORKERS * 10) do
    -- LRU worker is next waiting in queue
    local address = client:recv()
    local empty = client:recv()

    local ready = client:recv()

    client:send(address, zmq.SNDMORE)
    client:send("", zmq.SNDMORE)
    client:send("This is the workload")

end

-- Now ask mamas to shut down and report their results
for n=1,NBR_WORKERS do
    local address = client:recv()
    local empty = client:recv()

    local ready = client:recv()

    client:send(address, zmq.SNDMORE)
    client:send("", zmq.SNDMORE)
    client:send("END")

end

for n=1,NBR_WORKERS do
    assert(workers[n]:join())
end

client:close()
context:term()

```

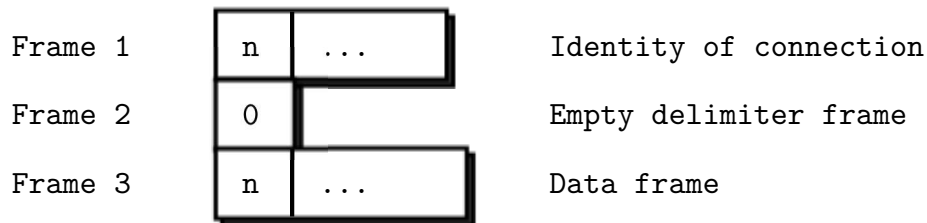
The example runs for five seconds and then each worker prints how many tasks they handled. If the routing worked, we'd expect a fair distribution of work:

```

Completed: 20 tasks
Completed: 18 tasks
Completed: 21 tasks
Completed: 23 tasks
Completed: 19 tasks
Completed: 21 tasks
Completed: 17 tasks
Completed: 17 tasks
Completed: 25 tasks
Completed: 19 tasks

```

To talk to the workers in this example, we have to create a REQ-friendly envelope consisting of an identity plus an empty envelope delimiter frame [Figure 3-6](#).

Figure 3-6. Routing Envelope for REQ

3.4.2. ROUTER Broker and DEALER Workers

Anywhere you can use REQ, you can use DEALER. There are two specific differences:

- The REQ socket always sends an empty delimiter frame before any data frames; the DEALER does not.
- The REQ socket will send only one message before it receives a reply; the DEALER is fully asynchronous.

The synchronous versus asynchronous behavior has no effect on our example because we're doing strict request-reply. It is more relevant when we address recovering from failures, which we'll come to in [Reliable Request-Reply Patterns](#)Chapter 4.

Now let's look at exactly the same example but with the REQ socket replaced by a DEALER socket:

Example 3-3. ROUTER-to-DEALER (rtdealer.lua)

```
--
-- Custom routing Router to Dealer
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakobosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.threads"
require "zhelpers"

local pre_code = [[
    local zmq = require "zmq"
    require "zhelpers"
    --local threads = require "zmq.threads"
    --local context = threads.get_parent_ctx()
]]
```

```

-- We have two workers, here we copy the code, normally these would
-- run on different boxes...
--
local worker_task_a = pre_code .. [[
    local context = zmq.init(1)
    local worker = context:socket(zmq.DEALER)
    worker:setopt(zmq.IDENTITY, "A")
    worker:connect("ipc://routing.ipc")

    local total = 0
    while true do
        -- We receive one part, with the workload
        local request = worker:recv()
        local finished = (request == "END")

        if (finished) then
            printf ("A received: %d\n", total)
            break
        end
        total = total + 1
    end
    worker:close()
    context:term()
]]

local worker_task_b = pre_code .. [[
    local context = zmq.init(1)
    local worker = context:socket(zmq.DEALER)
    worker:setopt(zmq.IDENTITY, "B")
    worker:connect("ipc://routing.ipc")

    local total = 0
    while true do
        -- We receive one part, with the workload
        local request = worker:recv()
        local finished = (request == "END")

        if (finished) then
            printf ("B received: %d\n", total)
            break
        end
        total = total + 1
    end
    worker:close()
    context:term()
]]

s_version_assert (2, 1)
local context = zmq.init(1)

local client = context:socket(zmq.ROUTER)
client:bind("ipc://routing.ipc")

```

```

local task_a = zmq.threads.runstring(context, worker_task_a)
task_a:start()

local task_b = zmq.threads.runstring(context, worker_task_b)
task_b:start()

-- Wait for threads to connect, since otherwise the messages
-- we send won't be routable.
s_sleep (1000)

-- Send 10 tasks scattered to A twice as often as B
math.randomseed(os.time())
for n=1,10 do
    -- Send two message parts, first the address...
    if (randof (3) > 0) then
        client:send("A", zmq.SNDMORE)
    else
        client:send("B", zmq.SNDMORE)
    end

    -- And then the workload
    client:send("This is the workload")
end
client:send("A", zmq.SNDMORE)
client:send("END")

client:send("B", zmq.SNDMORE)
client:send("END")

client:close()
context:term()

assert(task_a:join())
assert(task_b:join())

```

The code is almost identical except that the worker uses a DEALER socket, and reads and writes that empty frame before the data frame. This is the approach I use when I want to keep compatibility with REQ workers.

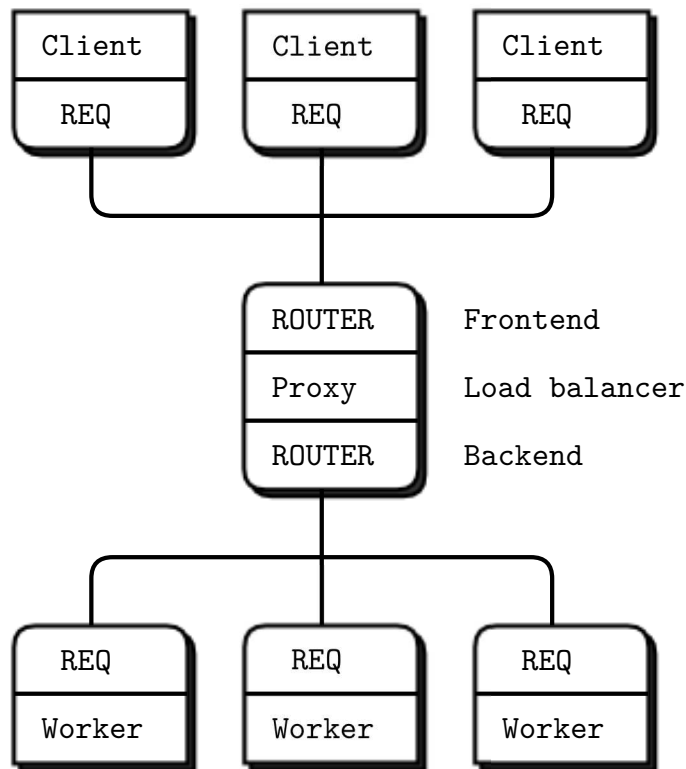
However, remember the reason for that empty delimiter frame: it's to allow multihop extended requests that terminate in a REP socket, which uses that delimiter to split off the reply envelope so it can hand the data frames to its application.

If we never need to pass the message along to a REP socket, we can simply drop the empty delimiter frame at both sides, which makes things simpler. This is usually the design I use for pure DEALER to ROUTER protocols.

3.4.3. A Load Balancing Message Broker

The previous example is half-complete. It can manage a set of workers with dummy requests and replies, but it has no way to talk to clients. If we add a second *frontend* ROUTER socket that accepts client requests, and turn our example into a proxy that can switch messages from frontend to backend, we get a useful and reusable tiny load balancing message broker Figure 3-7.

Figure 3-7. Load Balancing Broker



This broker does the following:

- Accepts connections from a set of clients.
- Accepts connections from a set of workers.
- Accepts requests from clients and holds these in a single queue.
- Sends these requests to workers using the load balancing pattern.
- Receives replies back from workers.
- Sends these replies back to the original requesting client.

The broker code is fairly long, but worth understanding:

Example 3-4. Load balancing broker (lbbroker.lua)

```

--
-- Least-recently used (LRU) queue device
-- Clients and workers are shown here in-process
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.threads"
require "zmq.poller"
require "zhelpers"

local tremove = table.remove

local NBR_CLIENTS = 10
local NBR_WORKERS = 3

local pre_code = [[
    local identity, seed = ...
    local zmq = require "zmq"
    require "zhelpers"
    math.randomseed(seed)
]]

-- Basic request-reply client using REQ socket
-- Since s_send and s_recv can't handle 0MQ binary identities we
-- set a printable text identity to allow routing.
--
local client_task = pre_code .. [[
    local context = zmq.init(1)
    local client = context:socket(zmq.REQ)
    client:setopt(zmq.IDENTITY, identity) -- Set a printable identity
    client:connect("ipc://frontend.ipc")

    -- Send request, get reply
    client:send("HELLO")
    local reply = client:recv()
    printf ("Client: %s\n", reply)

    client:close()
    context:term()
]]

-- Worker using REQ socket to do LRU routing
-- Since s_send and s_recv can't handle 0MQ binary identities we
-- set a printable text identity to allow routing.
--

```

```

local worker_task = pre_code .. [[
    local context = zmq.init(1)
    local worker = context:socket(zmq.REQ)
    worker:setopt(zmq.IDENTITY, identity) -- Set a printable identity
    worker:connect("ipc://backend.ipc")

    -- Tell broker we're ready for work
    worker:send("READY")

    while true do
        -- Read and save all frames until we get an empty frame
        -- In this example there is only 1 but it could be more
        local address = worker:recv()
        local empty = worker:recv()
        assert (#empty == 0)

        -- Get request, send reply
        local request = worker:recv()
        printf ("Worker: %s\n", request)

        worker:send(address, zmq.SNDMORE)
        worker:send("", zmq.SNDMORE)
        worker:send("OK")

    end
    worker:close()
    context:term()
]]

s_version_assert (2, 1)

-- Prepare our context and sockets
local context = zmq.init(1)
local frontend = context:socket(zmq.ROUTER)
local backend = context:socket(zmq.ROUTER)
frontend:bind("ipc://frontend.ipc")
backend:bind("ipc://backend.ipc")

local clients = {}
for n=1,NBR_CLIENTS do
    local identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))
    local seed = os.time() + math.random()
    clients[n] = zmq.threads.runstring(context, client_task, identity, seed)
    clients[n]:start()
end
local workers = {}
for n=1,NBR_WORKERS do
    local identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))
    local seed = os.time() + math.random()
    workers[n] = zmq.threads.runstring(context, worker_task, identity, seed)
    workers[n]:start(true)
end
end

```

```

-- Logic of LRU loop
-- - Poll backend always, frontend only if 1+ worker ready
-- - If worker replies, queue worker as ready and forward reply
--   to client if necessary
-- - If client requests, pop next worker and send request to it

-- Queue of available workers
local worker_queue = {}

local is_accepting = false
local max_requests = #clients

local poller = zmq.poller(2)

local function frontend_cb()
    -- Now get next client request, route to LRU worker
    -- Client request is [address][empty][request]
    local client_addr = frontend:recv()
    local empty = frontend:recv()
    assert (#empty == 0)

    local request = frontend:recv()

    -- Dequeue a worker from the queue.
    local worker = tremove(worker_queue, 1)

    backend:send(worker, zmq.SNDMORE)
    backend:send("", zmq.SNDMORE)
    backend:send(client_addr, zmq.SNDMORE)
    backend:send("", zmq.SNDMORE)
    backend:send(request)

    if (#worker_queue == 0) then
        -- stop accepting work from clients, when no workers are available.
        poller:remove(frontend)
        is_accepting = false
    end
end

poller:add(backend, zmq.POLLIN, function()
    -- Queue worker address for LRU routing
    local worker_addr = backend:recv()
    worker_queue[#worker_queue + 1] = worker_addr

    -- start accepting client requests, if we are not already doing so.
    if not is_accepting then
        is_accepting = true
        poller:add(frontend, zmq.POLLIN, frontend_cb)
    end

    -- Second frame is empty
    local empty = backend:recv()
    assert (#empty == 0)
end)

```

```

-- Third frame is READY or else a client reply address
local client_addr = backend:recv()

-- If client reply, send rest back to frontend
if (client_addr ~= "READY") then
    empty = backend:recv()
    assert (#empty == 0)

    local reply = backend:recv()
    frontend:send(client_addr, zmq.SNDMORE)
    frontend:send("", zmq.SNDMORE)
    frontend:send(reply)

    max_requests = max_requests - 1
    if (max_requests == 0) then
        poller:stop()      -- Exit after N messages
    end
end
end)

-- start poller's event loop
poller:start()

frontend:close()
backend:close()
context:term()

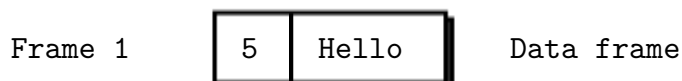
for n=1,NBR_CLIENTS do
    assert(clients[n]:join())
end
-- workers are detached, we don't need to join with them.

```

The difficult part of this program is (a) the envelopes that each socket reads and writes, and (b) the load balancing algorithm. We'll take these in turn, starting with the message envelope formats.

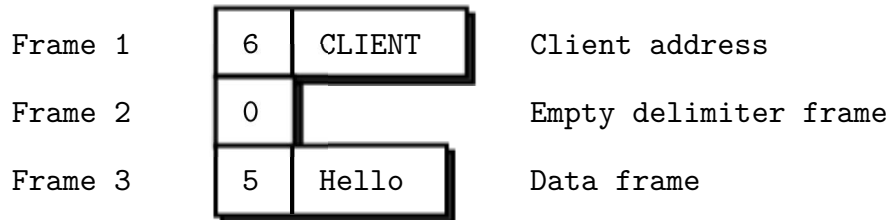
Let's walk through a full request-reply chain from client to worker and back. In this code we set the identity of client and worker sockets to make it easier to trace the message frames. In reality, we'd allow the ROUTER sockets to invent identities for connections. Let's assume the client's identity is "CLIENT" and the worker's identity is "WORKER". The client application sends a single frame containing "Hello"Figure 3-8.

Figure 3-8. Message that Client Sends



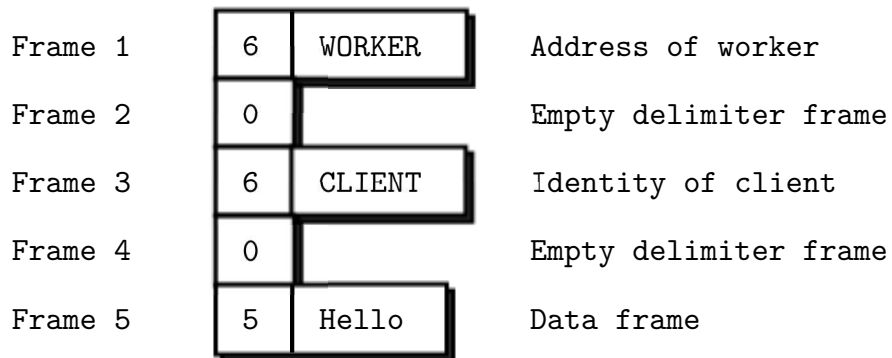
Because the REQ socket adds its empty delimiter frame and the ROUTER socket adds its connection identity, the proxy reads off the frontend ROUTER socket the client address, empty delimiter frame, and the data partFigure 3-9.

Figure 3-9. Message Coming in on Frontend



The broker sends this to the worker, prefixed by the address of the chosen worker, plus an additional empty part to keep the REQ at the other end happyFigure 3-10.

Figure 3-10. Message Sent to Backend



This complex envelope stack gets chewed up first by the backend ROUTER socket, which removes the first frame. Then the REQ socket in the worker removes the empty part, and provides the rest to the worker applicationFigure 3-11.

Figure 3-11. Message Delivered to Worker



The worker has to save the envelope (which is all the parts up to and including the empty message frame) and then it can do what's needed with the data part. Note that a REP socket would do this automatically, but we're using the REQ-ROUTER pattern so that we can get proper load balancing.

On the return path, the messages are the same as when they come in, i.e., the backend socket gives the broker a message in five parts, and the broker sends the frontend socket a message in three parts, and the client gets a message in one part.

Now let's look at the load balancing algorithm. It requires that both clients and workers use REQ sockets, and that workers correctly store and replay the envelope on messages they get. The algorithm is:

- Create a pollset that always polls the backend, and polls the frontend only if there are one or more workers available.
- Poll for activity with infinite timeout.
- If there is activity on the backend, we either have a "ready" message or a reply for a client. In either case, we store the worker address (the first part) on our worker queue, and if the rest is a client reply, we send it back to that client via the frontend.
- If there is activity on the frontend, we take the client request, pop the next worker (which is the last used), and send the request to the backend. This means sending the worker address, empty part, and then the three parts of the client request.

You should now see that you can reuse and extend the load balancing algorithm with variations based on the information the worker provides in its initial "ready" message. For example, workers might start up and do a performance self test, then tell the broker how fast they are. The broker can then choose the fastest available worker rather than the oldest.

3.5. A High-Level API for ZeroMQ

We're going to push request-reply onto the stack and open a different area, which is the ZeroMQ API itself. There's a reason for this detour: as we write more complex examples, the low-level ZeroMQ API starts to look increasingly clumsy. Look at the core of the worker thread from our load balancing broker:

```
while (true) {
    // Get one address frame and empty delimiter
    char *address = s_recv (worker);
    char *empty = s_recv (worker);
    assert (*empty == 0);
    free (empty);

    // Get request, send reply
    char *request = s_recv (worker);
    printf ("Worker: %s\n", request);
    free (request);
}
```

```

    s_sendmore (worker, address);
    s_sendmore (worker, "");
    s_send      (worker, "OK");
    free (address);
}

```

That code isn't even reusable because it can only handle one reply address in the envelope, and it already does some wrapping around the ZeroMQ API. If we used the `libzmq` simple message API this is what we'd have to write:

```

while (true) {
    // Get one address frame and empty delimiter
    char address [255];
    int address_size = zmq_recv (worker, address, 255, 0);
    if (address_size == -1)
        break;

    char empty [1];
    int empty_size = zmq_recv (worker, empty, 1, 0);
    assert (empty_size <= 0);
    if (empty_size == -1)
        break;

    // Get request, send reply
    char request [256];
    int request_size = zmq_recv (worker, request, 255, 0);
    if (request_size == -1)
        return NULL;
    request [request_size] = 0;
    printf ("Worker: %s\n", request);

    zmq_send (worker, address, address_size, ZMQ_SNDMORE);
    zmq_send (worker, empty, 0, ZMQ_SNDMORE);
    zmq_send (worker, "OK", 2, 0);
}

```

And when code is too long to write quickly, it's also too long to understand. Up until now, I've stuck to the native API because, as ZeroMQ users, we need to know that intimately. But when it gets in our way, we have to treat it as a problem to solve.

We can't of course just change the ZeroMQ API, which is a documented public contract on which thousands of people agree and depend. Instead, we construct a higher-level API on top based on our experience so far, and most specifically, our experience from writing more complex request-reply patterns.

What we want is an API that lets us receive and send an entire message in one shot, including the reply envelope with any number of reply addresses. One that lets us do what we want with the absolute least lines of code.

Making a good message API is fairly difficult. We have a problem of terminology: ZeroMQ uses "message" to describe both multipart messages, and individual message frames. We have a problem of expectations: sometimes it's natural to see message content as printable string data, sometimes as binary blobs. And we have technical challenges, especially if we want to avoid copying data around too much.

The challenge of making a good API affects all languages, though my specific use case is C. Whatever language you use, think about how you could contribute to your language binding to make it as good (or better) than the C binding I'm going to describe.

3.5.1. Features of a Higher-Level API

My solution is to use three fairly natural and obvious concepts: *string* (already the basis for our `s_send` and `s_recv`) helpers, *frame* (a message frame), and *message* (a list of one or more frames). Here is the worker code, rewritten onto an API using these concepts:

```
while (true) {
    zmq_msg_t *msg = zmq_msg_recv (worker);
    zmq_frame_reset (zmq_msg_last (msg), "OK", 2);
    zmq_msg_send (&msg, worker);
}
```

Cutting the amount of code we need to read and write complex messages is great: the results are easy to read and understand. Let's continue this process for other aspects of working with ZeroMQ. Here's a wish list of things I'd like in a higher-level API, based on my experience with ZeroMQ so far:

- *Automatic handling of sockets.* I find it cumbersome to have to close sockets manually, and to have to explicitly define the linger timeout in some (but not all) cases. It'd be great to have a way to close sockets automatically when I close the context.
- *Portable thread management.* Every nontrivial ZeroMQ application uses threads, but POSIX threads aren't portable. So a decent high-level API should hide this under a portable layer.
- *Piping from parent to child threads.* It's a recurrent problem: how to signal between parent and child threads. Our API should provide a ZeroMQ message pipe (using PAIR sockets and `inproc` automatically).
- *Portable clocks.* Even getting the time to a millisecond resolution, or sleeping for some milliseconds, is not portable. Realistic ZeroMQ applications need portable clocks, so our API should provide them.
- *A reactor to replace `zmq_poll()`.* The poll loop is simple, but clumsy. Writing a lot of these, we end up doing the same work over and over: calculating timers, and calling code when sockets are ready. A simple reactor with socket readers and timers would save a lot of repeated work.
- *Proper handling of Ctrl-C.* We already saw how to catch an interrupt. It would be useful if this happened in all applications.

3.5.2. The CZMQ High-Level API

Turning this wish list into reality for the C language gives us CZMQ (<http://zero.mq/c>), a ZeroMQ language binding for C. This high-level binding, in fact, developed out of earlier versions of the examples. It combines nicer semantics for working with ZeroMQ with some portability layers, and (importantly for C, but less for other languages) containers like hashes and lists. CZMQ also uses an elegant object model that leads to frankly lovely code.

Here is the load balancing broker rewritten to use a higher-level API (CZMQ for the C case):

Example 3-5. Load balancing broker using high-level API (lbbroker2.lua)

```
--
-- Least-recently used (LRU) queue device
-- Demonstrates use of the msg class
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.threads"
require "zmq.poller"
require "zmsg"

local tremove = table.remove

local NBR_CLIENTS = 10
local NBR_WORKERS = 3

local pre_code = [[
    local identity, seed = ...
    local zmq = require "zmq"
    local zmsg = require "zmsg"
    require "zhelpers"
    math.randomseed(seed)
]]

-- Basic request-reply client using REQ socket
--
local client_task = pre_code .. [[
    local context = zmq.init(1)
    local client = context:socket(zmq.REQ)
    client:setopt(zmq.IDENTITY, identity) -- Set a printable identity
    client:connect("ipc://frontend.ipc")

    -- Send request, get reply
    client:send("HELLO")
]]
```

```

    local reply = client:recv()
    printf ("Client: %s\n", reply)

    client:close()
    context:term()
  ]]

-- Worker using REQ socket to do LRU routing
--
local worker_task = pre_code .. [[
    local context = zmq.init(1)
    local worker = context:socket(zmq.REQ)
    worker:setopt(zmq.IDENTITY, identity) -- Set a printable identity
    worker:connect("ipc://backend.ipc")

    -- Tell broker we're ready for work
    worker:send("READY")

    while true do
        local msg = zmq.recv (worker)
        printf ("Worker: %s\n", msg:body())
        msg:body_set("OK")
        msg:send(worker)
    end
    worker:close()
    context:term()
  ]]

s_version_assert (2, 1)

-- Prepare our context and sockets
local context = zmq.init(1)
local frontend = context:socket(zmq.ROUTER)
local backend = context:socket(zmq.ROUTER)
frontend:bind("ipc://frontend.ipc")
backend:bind("ipc://backend.ipc")

local clients = {}
for n=1,NBR_CLIENTS do
    local identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))
    local seed = os.time() + math.random()
    clients[n] = zmq.threads.runstring(context, client_task, identity, seed)
    clients[n]:start()
end
local workers = {}
for n=1,NBR_WORKERS do
    local identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))
    local seed = os.time() + math.random()
    workers[n] = zmq.threads.runstring(context, worker_task, identity, seed)
    workers[n]:start(true)
end

-- Logic of LRU loop

```

```

-- - Poll backend always, frontend only if 1+ worker ready
-- - If worker replies, queue worker as ready and forward reply
--   to client if necessary
-- - If client requests, pop next worker and send request to it

-- Queue of available workers
local worker_queue = {}

local is_accepting = false
local max_requests = #clients

local poller = zmq.poller(2)

local function frontend_cb()
    -- Now get next client request, route to next worker
    local msg = zmq.recv (frontend)

    -- Dequeue a worker from the queue.
    local worker = tremove(worker_queue, 1)

    msg:wrap(worker, "")
    msg:send(backend)

    if (#worker_queue == 0) then
        -- stop accepting work from clients, when no workers are available.
        poller:remove(frontend)
        is_accepting = false
    end
end

poller:add(backend, zmq.POLLIN, function()
    local msg = zmq.recv(backend)
    -- Use worker address for LRU routing
    worker_queue[#worker_queue + 1] = msg:unwrap()

    -- start accepting client requests, if we are not already doing so.
    if not is_accepting then
        is_accepting = true
        poller:add(frontend, zmq.POLLIN, frontend_cb)
    end

    -- Forward message to client if it's not a READY
    if (msg:address() ~= "READY") then
        msg:send(frontend)

        max_requests = max_requests - 1
        if (max_requests == 0) then
            poller:stop()      -- Exit after N messages
        end
    end
end)

-- start poller's event loop

```

```

poller:start()

frontend:close()
backend:close()
context:term()

for n=1,NBR_CLIENTS do
    assert(clients[n]:join())
end
-- workers are detached, we don't need to join with them.

```

One thing CZMQ provides is clean interrupt handling. This means that Ctrl-C will cause any blocking ZeroMQ call to exit with a return code -1 and `errno` set to `EINTR`. The high-level `recv` methods will return `NULL` in such cases. So, you can cleanly exit a loop like this:

```

while (true) {
    zstr_send (client, "Hello");
    char *reply = zstr_recv (client);
    if (!reply)
        break; // Interrupted
    printf ("Client: %s\n", reply);
    free (reply);
    sleep (1);
}

```

Or, if you're calling `zmq_poll()`, test on the return code:

```

if (zmq_poll (items, 2, 1000 * 1000) == -1)
    break; // Interrupted

```

The previous example still uses `zmq_poll()`. So how about reactors? The CZMQ `zloop` reactor is simple but functional. It lets you:

- Set a reader on any socket, i.e., code that is called whenever the socket has input.
- Cancel a reader on a socket.
- Set a timer that goes off once or multiple times at specific intervals.
- Cancel a timer.

`zloop` of course uses `zmq_poll()` internally. It rebuilds its poll set each time you add or remove readers, and it calculates the poll timeout to match the next timer. Then, it calls the reader and timer handlers for each socket and timer that need attention.

When we use a reactor pattern, our code turns inside out. The main logic looks like this:

```

zloop_t *reactor = zloop_new ();
zloop_reader (reactor, self->backend, s_handle_backend, self);
zloop_start (reactor);

```



```
zloop_destroy (&reactor);
```

The actual handling of messages sits inside dedicated functions or methods. You may not like the style--it's a matter of taste. What it does help with is mixing timers and socket activity. In the rest of this text, we'll use `zmq_poll()` in simpler cases, and `zloop` in more complex examples.

Here is the load balancing broker rewritten once again, this time to use `zloop`:

Example 3-6. Load balancing broker using `zloop` (lbbroker3.lua)

(This example still needs translation into Lua)

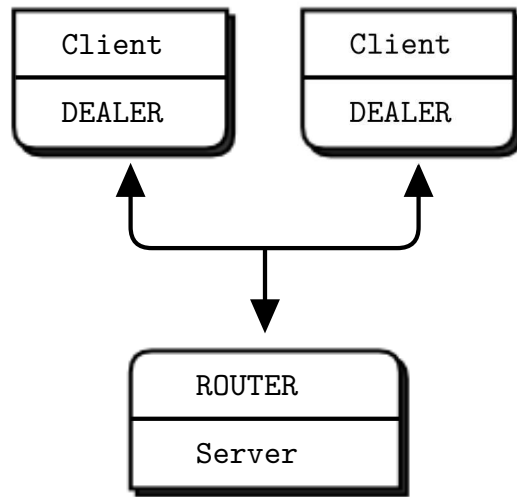
Getting applications to properly shut down when you send them Ctrl-C can be tricky. If you use the `zctx` class it'll automatically set up signal handling, but your code still has to cooperate. You must break any loop if `zmq_poll` returns -1 or if any of the `zstr_recv`, `zframe_recv`, or `zmsg_recv` methods return NULL. If you have nested loops, it can be useful to make the outer ones conditional on `!zctx_interrupted`.

If you're using child threads, they won't receive the interrupt. To tell them to shutdown, you can either:

- Destroy the context, if they are sharing the same context, in which case any blocking calls they are waiting on will end with ETERM.
- Send them shutdown messages, if they are using their own contexts. For this you'll need some socket plumbing.

3.6. The Asynchronous Client/Server Pattern

In the ROUTER to DEALER example, we saw a 1-to-N use case where one server talks asynchronously to multiple workers. We can turn this upside down to get a very useful N-to-1 architecture where various clients talk to a single server, and do this asynchronously. Figure 3-12.

Figure 3-12. Asynchronous Client/Server

Here's how it works:

- Clients connect to the server and send requests.
- For each request, the server sends 0 or more replies.
- Clients can send multiple requests without waiting for a reply.
- Servers can send multiple replies without waiting for new requests.

Here's code that shows how this works:

Example 3-7. Asynchronous client/server (asynsrv.lua)

```
--
-- Asynchronous client-to-server (DEALER to ROUTER)
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each task has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.threads"
require "zmsg"
require "zhelpers"

local NBR_CLIENTS = 3

-- -----
```

```

-- This is our client task
-- It connects to the server, and then sends a request once per second
-- It collects responses as they arrive, and it prints them out. We will
-- run several client tasks in parallel, each with a different random ID.

local client_task = [[
    local identity, seed = ...
    local zmq = require"zmq"
    require"zmq.poller"
    require"zmq.threads"
    local zmsg = require"zmsg"
    require"zhelpers"
    math.randomseed(seed)

    local context = zmq.init(1)
    local client = context:socket(zmq.DEALER)

    -- Generate printable identity for the client
    client:setopt(zmq.IDENTITY, identity)
    client:connect("tcp://localhost:5570")

    local poller = zmq.poller(2)

    poller:add(client, zmq.POLLIN, function()
        local msg = zmsg.recv(client)
        printf("%s: %s\n", identity, msg:body())
    end)
    local request_nbr = 0
    while true do
        -- Tick once per second, pulling in arriving messages
        local centitick
        for centitick=1,100 do
            poller:poll(10000)
        end
        local msg = zmsg.new()
        request_nbr = request_nbr + 1
        msg:body_fmt("request #%d", request_nbr)
        msg:send(client)
    end
    -- Clean up and end task properly
    client:close()
    context:term()
]]

-- -----
-- This is our server task
-- It uses the multithreaded server model to deal requests out to a pool
-- of workers and route replies back to clients. One worker can handle
-- one request at a time but one client can talk to multiple workers at
-- once.

local server_task = [[
    local server_worker = ...

```

```

local zmq = require"zmq"
require"zmq.poller"
require"zmq.threads"
local zmsg = require"zmsg"
require"zhelpers"
math.randomseed(os.time())

local context = zmq.init(1)

-- Frontend socket talks to clients over TCP
local frontend = context:socket(zmq.ROUTER)
frontend:bind("tcp://*:5570")

-- Backend socket talks to workers over inproc
local backend = context:socket(zmq.DEALER)
backend:bind("inproc://backend")

-- Launch pool of worker threads, precise number is not critical
local workers = {}
for n=1,5 do
    local seed = os.time() + math.random()
    workers[n] = zmq.threads.runstring(context, server_worker, seed)
    workers[n]:start()
end

-- Connect backend to frontend via a queue device
-- We could do this:
--     zmq:device(.QUEUE, frontend, backend)
-- But doing it ourselves means we can debug this more easily

local poller = zmq.poller(2)

poller:add(frontend, zmq.POLLIN, function()
    local msg = zmsg.recv (frontend)
    --print ("Request from client:")
    --msg:dump()
    msg:send(backend)
end)
poller:add(backend, zmq.POLLIN, function()
    local msg = zmsg.recv (backend)
    --print ("Reply from worker:")
    --msg:dump()
    msg:send(frontend)
end)
-- Switch messages between frontend and backend
poller:start()

for n=1,5 do
    assert(workers[n]:join())
end
frontend:close()
backend:close()
context:term()
]]

```

```

-- Accept a request and reply with the same text a random number of
-- times, with random delays between replies.
--
local server_worker = [[
    local seed = ...
    local zmq = require"zmq"
    require"zmq.threads"
    local zmsg = require"zmsg"
    require"zhelpers"
    math.randomseed(seed)
    local threads = require"zmq.threads"
    local context = threads.get_parent_ctx()

    local worker = context:socket(zmq.DEALER)
    worker:connect("inproc://backend")

    while true do
        -- The DEALER socket gives us the address envelope and message
        local msg = zmsg.recv (worker)
        assert (msg:parts() == 2)

        -- Send 0..4 replies back
        local reply
        local replies = randof (5)
        for reply=1,replies do
            -- Sleep for some fraction of a second
            s_sleep (randof (1000) + 1)
            local dup = msg:dup()
            dup:send(worker)
        end
    end
    worker:close()
]]

-- This main thread simply starts several clients, and a server, and then
-- waits for the server to finish.
--

s_version_assert (2, 1)

local clients = {}
for n=1,NBR_CLIENTS do
    local identity = string.format("%04X", randof (0x10000))
    local seed = os.time() + math.random()
    clients[n] = zmq.threads.runstring(nil, client_task, identity, seed)
    clients[n]:start()
end

local server = zmq.threads.runstring(nil, server_task, server_worker)
assert(server:start())
assert(server:join())

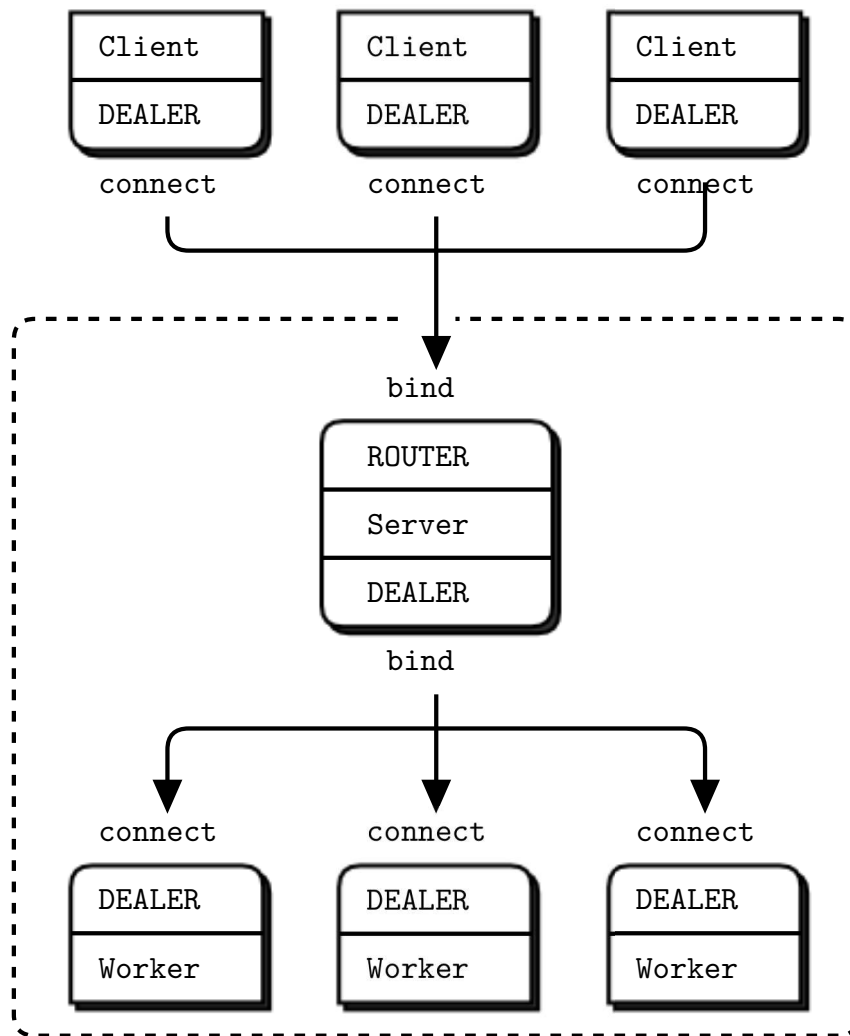
```

The example runs in one process, with multiple threads simulating a real multiprocess architecture. When you run the example, you'll see three clients (each with a random ID), printing out the replies they get from the server. Look carefully and you'll see each client task gets 0 or more replies per request.

Some comments on this code:

- The clients send a request once per second, and get zero or more replies back. To make this work using `zmq_poll()`, we can't simply poll with a 1-second timeout, or we'd end up sending a new request only one second *after we received the last reply*. So we poll at a high frequency (100 times at 1/100th of a second per poll), which is approximately accurate.
- The server uses a pool of worker threads, each processing one request synchronously. It connects these to its frontend socket using an internal queueFigure 3-13. It connects the frontend and backend sockets using a `zmq_proxy()` call.

Figure 3-13. Detail of Asynchronous Server



Note that we're doing DEALER to ROUTER dialog between client and server, but internally between the server main thread and workers, we're doing DEALER to DEALER. If the workers were strictly synchronous, we'd use REP. However, because we want to send multiple replies, we need an async socket. We do *not* want to route replies, they always go to the single server thread that sent us the request.

Let's think about the routing envelope. The client sends a message consisting of a single frame. The server thread receives a two-frame message (original message prefixed by client identity). We send these two frames on to the worker, which treats it as a normal reply envelope, returns that to us as a two frame message. We then use the first frame as an identity to route the second frame back to the client as a reply.

It looks something like this:

```

client          server          frontend          worker
[ DEALER ] <-----> [ ROUTER <-----> DEALER <-----> DEALER ]
                1 part          2 parts          2 parts

```

Now for the sockets: we could use the load balancing ROUTER to DEALER pattern to talk to workers, but it's extra work. In this case, a DEALER to DEALER pattern is probably fine: the trade-off is lower latency for each request, but higher risk of unbalanced work distribution. Simplicity wins in this case.

When you build servers that maintain stateful conversations with clients, you will run into a classic problem. If the server keeps some state per client, and clients keep coming and going, eventually it will run out of resources. Even if the same clients keep connecting, if you're using default identities, each connection will look like a new one.

We cheat in the above example by keeping state only for a very short time (the time it takes a worker to process a request) and then throwing away the state. But that's not practical for many cases. To properly manage client state in a stateful asynchronous server, you have to:

- Do heartbeating from client to server. In our example, we send a request once per second, which can reliably be used as a heartbeat.
- Store state using the client identity (whether generated or explicit) as key.
- Detect a stopped heartbeat. If there's no request from a client within, say, two seconds, the server can detect this and destroy any state it's holding for that client.

3.7. Worked Example: Inter-Broker Routing

Let's take everything we've seen so far, and scale things up to a real application. We'll build this step-by-step over several iterations. Our best client calls us urgently and asks for a design of a large cloud computing facility. He has this vision of a cloud that spans many data centers, each a cluster of clients and workers, and that works together as a whole. Because we're smart enough to know that practice always beats theory, we propose to make a working simulation using ZeroMQ. Our client, eager to lock down the budget before his own boss changes his mind, and having read great things about ZeroMQ on Twitter, agrees.

3.7.1. Establishing the Details

Several espressos later, we want to jump into writing code, but a little voice tells us to get more details before making a sensational solution to entirely the wrong problem. "What kind of work is the cloud doing?", we ask.

The client explains:

- Workers run on various kinds of hardware, but they are all able to handle any task. There are several hundred workers per cluster, and as many as a dozen clusters in total.
- Clients create tasks for workers. Each task is an independent unit of work and all the client wants is to find an available worker, and send it the task, as soon as possible. There will be a lot of clients and they'll come and go arbitrarily.
- The real difficulty is to be able to add and remove clusters at any time. A cluster can leave or join the cloud instantly, bringing all its workers and clients with it.
- If there are no workers in their own cluster, clients' tasks will go off to other available workers in the cloud.
- Clients send out one task at a time, waiting for a reply. If they don't get an answer within X seconds, they'll just send out the task again. This isn't our concern; the client API does it already.
- Workers process one task at a time; they are very simple beasts. If they crash, they get restarted by whatever script started them.

So we double-check to make sure that we understood this correctly:

- "There will be some kind of super-duper network interconnect between clusters, right?", we ask. The client says, "Yes, of course, we're not idiots."
- "What kind of volumes are we talking about?", we ask. The client replies, "Up to a thousand clients per cluster, each doing at most ten requests per second. Requests are small, and replies are also small, no more than 1K bytes each."

So we do a little calculation and see that this will work nicely over plain TCP. 2,500 clients x 10/second x 1,000 bytes x 2 directions = 50MB/sec or 400Mb/sec, not a problem for a 1Gb network.

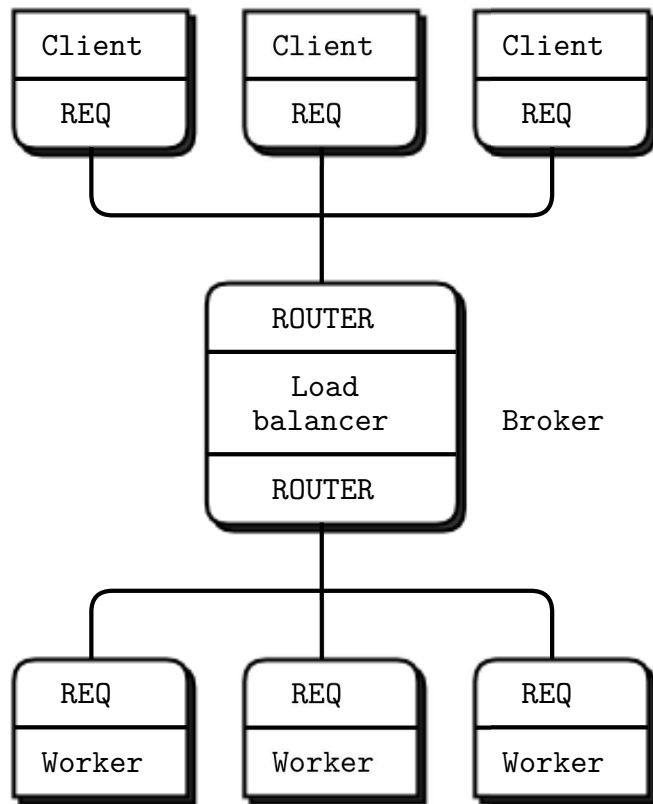
It's a straightforward problem that requires no exotic hardware or protocols, just some clever routing algorithms and careful design. We start by designing one cluster (one data center) and then we figure out how to connect clusters together.

3.7.2. Architecture of a Single Cluster

Workers and clients are synchronous. We want to use the load balancing pattern to route tasks to workers. Workers are all identical; our facility has no notion of different services. Workers are anonymous; clients never address them directly. We make no attempt here to provide guaranteed delivery, retry, and so on.

For reasons we already examined, clients and workers won't speak to each other directly. It makes it impossible to add or remove nodes dynamically. So our basic model consists of the request-reply message broker we saw earlier Figure 3-14.

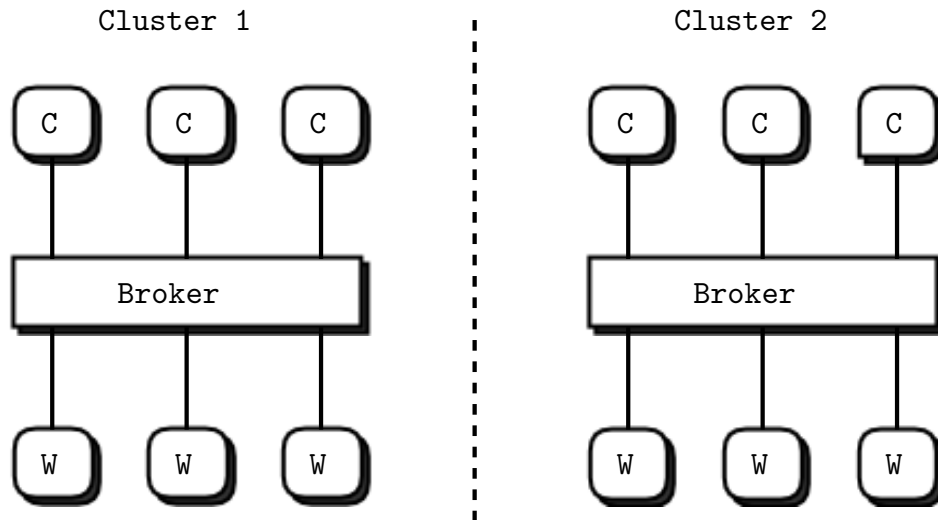
Figure 3-14. Cluster Architecture



3.7.3. Scaling to Multiple Clusters

Now we scale this out to more than one cluster. Each cluster has a set of clients and workers, and a broker that joins these togetherFigure 3-15.

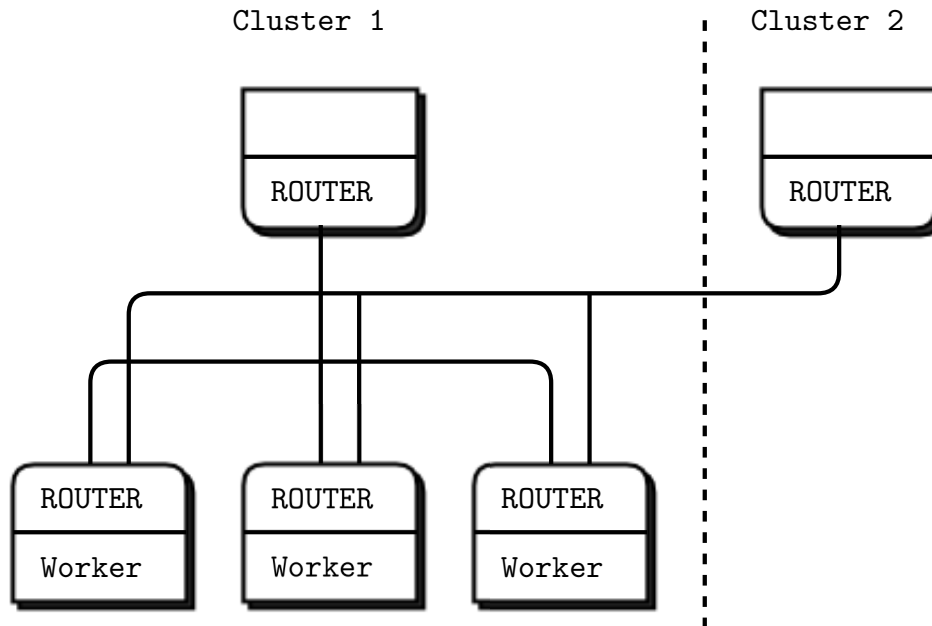
Figure 3-15. Multiple Clusters



The question is: how do we get the clients of each cluster talking to the workers of the other cluster? There are a few possibilities, each with pros and cons:

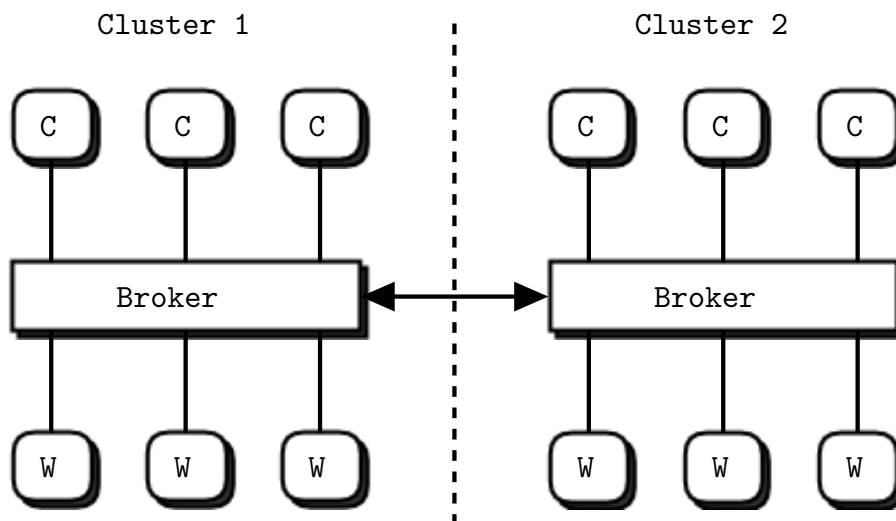
- Clients could connect directly to both brokers. The advantage is that we don't need to modify brokers or workers. But clients get more complex and become aware of the overall topology. If we want to add a third or fourth cluster, for example, all the clients are affected. In effect we have to move routing and failover logic into the clients and that's not nice.
- Workers might connect directly to both brokers. But REQ workers can't do that, they can only reply to one broker. We might use REPs but REPs don't give us customizable broker-to-worker routing like load balancing does, only the built-in load balancing. That's a fail; if we want to distribute work to idle workers, we precisely need load balancing. One solution would be to use ROUTER sockets for the worker nodes. Let's label this "Idea #1".
- Brokers could connect to each other. This looks neatest because it creates the fewest additional connections. We can't add clusters on the fly, but that is probably out of scope. Now clients and workers remain ignorant of the real network topology, and brokers tell each other when they have spare capacity. Let's label this "Idea #2".

Let's explore Idea #1. In this model, we have workers connecting to both brokers and accepting jobs from either one. Figure 3-16.

Figure 3-16. Idea 1: Cross-connected Workers

It looks feasible. However, it doesn't provide what we wanted, which was that clients get local workers if possible and remote workers only if it's better than waiting. Also workers will signal "ready" to both brokers and can get two jobs at once, while other workers remain idle. It seems this design fails because again we're putting routing logic at the edges.

So, idea #2 then. We interconnect the brokers and don't touch the clients or workers, which are REQs like we're used to Figure 3-17.

Figure 3-17. Idea 2: Brokers Talking to Each Other

This design is appealing because the problem is solved in one place, invisible to the rest of the world. Basically, brokers open secret channels to each other and whisper, like camel traders, "Hey, I've got some spare capacity. If you have too many clients, give me a shout and we'll deal".

In effect it is just a more sophisticated routing algorithm: brokers become subcontractors for each other. There are other things to like about this design, even before we play with real code:

- It treats the common case (clients and workers on the same cluster) as default and does extra work for the exceptional case (shuffling jobs between clusters).
- It lets us use different message flows for the different types of work. That means we can handle them differently, e.g., using different types of network connection.
- It feels like it would scale smoothly. Interconnecting three or more brokers doesn't get overly complex. If we find this to be a problem, it's easy to solve by adding a super-broker.

We'll now make a worked example. We'll pack an entire cluster into one process. That is obviously not realistic, but it makes it simple to simulate, and the simulation can accurately scale to real processes. This is the beauty of ZeroMQ--you can design at the micro-level and scale that up to the macro-level. Threads become processes, and then become boxes and the patterns and logic remain the same. Each of our "cluster" processes contains client threads, worker threads, and a broker thread.

We know the basic model well by now:

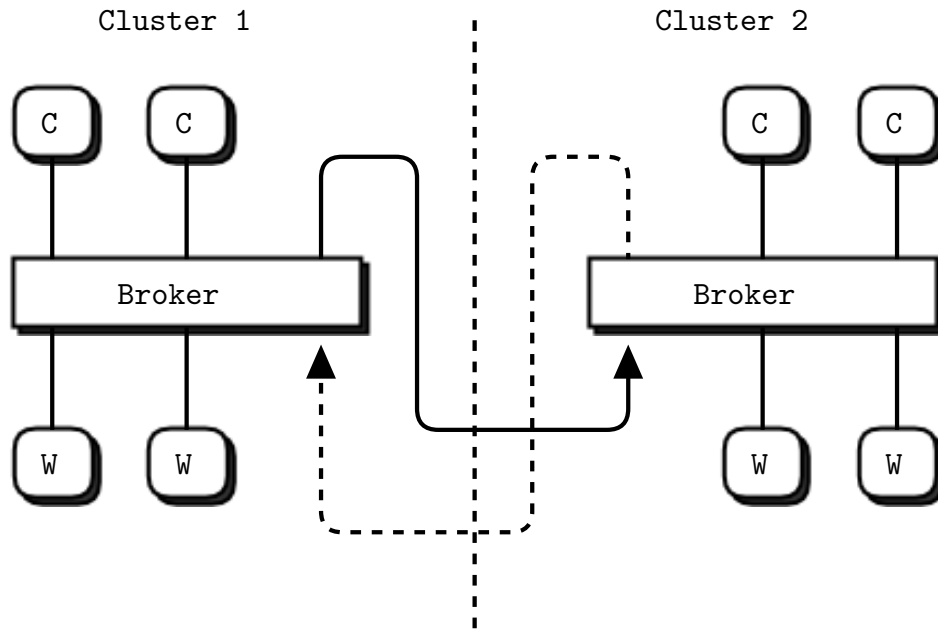
- The REQ client (REQ) threads create workloads and pass them to the broker (ROUTER).
- The REQ worker (REQ) threads process workloads and return the results to the broker (ROUTER).
- The broker queues and distributes workloads using the load balancing pattern.

3.7.4. Federation Versus Peering

There are several possible ways to interconnect brokers. What we want is to be able to tell other brokers, "we have capacity", and then receive multiple tasks. We also need to be able to tell other brokers, "stop, we're full". It doesn't need to be perfect; sometimes we may accept jobs we can't process immediately, then we'll do them as soon as possible.

The simplest interconnect is *federation*, in which brokers simulate clients and workers for each other. We would do this by connecting our frontend to the other broker's backend socketFigure 3-18. Note that it is legal to both bind a socket to an endpoint and connect it to other endpoints.

Figure 3-18. Cross-connected Brokers in Federation Model



This would give us simple logic in both brokers and a reasonably good mechanism: when there are no workers, tell the other broker "ready", and accept one job from it. The problem is also that it is too simple for this problem. A federated broker would be able to handle only one task at a time. If the broker emulates a lock-step client and worker, it is by definition also going to be lock-step, and if it has lots of available workers they won't be used. Our brokers need to be connected in a fully asynchronous fashion.

The federation model is perfect for other kinds of routing, especially service-oriented architectures (SOAs), which route by service name and proximity rather than load balancing or round robin. So don't dismiss it as useless, it's just not right for all use cases.

Instead of federation, let's look at a *peering* approach in which brokers are explicitly aware of each other and talk over privileged channels. Let's break this down, assuming we want to interconnect N brokers. Each broker has $(N - 1)$ peers, and all brokers are using exactly the same code and logic. There are two distinct flows of information between brokers:

- Each broker needs to tell its peers how many workers it has available at any time. This can be fairly simple information--just a quantity that is updated regularly. The obvious (and correct) socket pattern for this is pub-sub. So every broker opens a PUB socket and publishes state information on that, and every broker also opens a SUB socket and connects that to the PUB socket of every other broker to get state information from its peers.
- Each broker needs a way to delegate tasks to a peer and get replies back, asynchronously. We'll do this using ROUTER sockets; no other combination works. Each broker has two such sockets: one for tasks it receives and one for tasks it delegates. If we didn't use two sockets, it would be more work to know whether we were reading a request or a reply each time. That would mean adding more information to the message envelope.

And there is also the flow of information between a broker and its local clients and workers.

3.7.5. The Naming Ceremony

Three flows x two sockets for each flow = six sockets that we have to manage in the broker. Choosing good names is vital to keeping a multisocket juggling act reasonably coherent in our minds. Sockets *do* something and what they do should form the basis for their names. It's about being able to read the code several weeks later on a cold Monday morning before coffee, and not feel any pain.

Let's do a shamanistic naming ceremony for the sockets. The three flows are:

- A *local* request-reply flow between the broker and its clients and workers.
- A *cloud* request-reply flow between the broker and its peer brokers.
- A *state* flow between the broker and its peer brokers.

Finding meaningful names that are all the same length means our code will align nicely. It's not a big thing, but attention to details helps. For each flow the broker has two sockets that we can orthogonally call the *frontend* and *backend*. We've used these names quite often. A frontend receives information or tasks. A backend sends those out to other peers. The conceptual flow is from front to back (with replies going in the opposite direction from back to front).

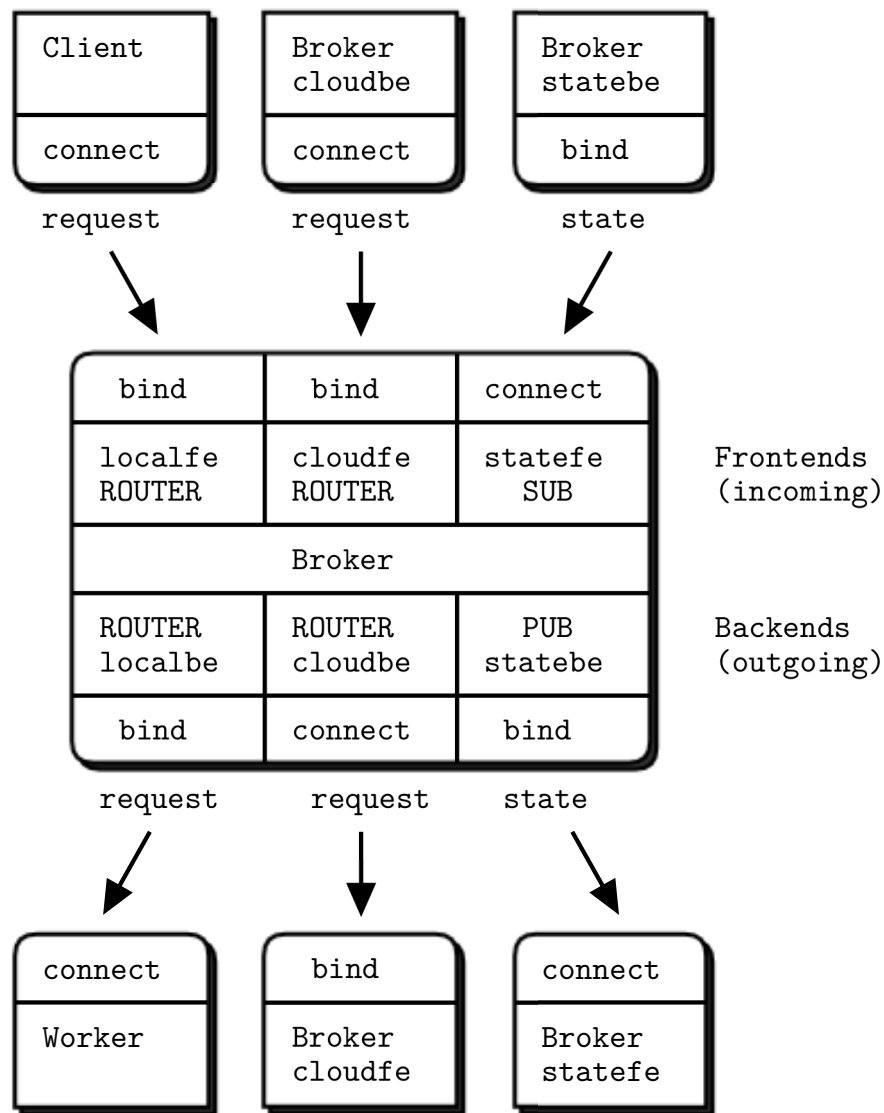
So in all the code we write for this tutorial, we will use these socket names:

- *localfe* and *localbe* for the local flow.
- *cloudfe* and *cloudbe* for the cloud flow.
- *statefe* and *statebe* for the state flow.

For our transport and because we're simulating the whole thing on one box, we'll use `ipc` for everything. This has the advantage of working like `tcp` in terms of connectivity (i.e., it's a disconnected transport, unlike `inproc`), yet we don't need IP addresses or DNS names, which would be a pain here. Instead, we will use `ipc` endpoints called *something-local*, *something-cloud*, and *something-state*, where *something* is the name of our simulated cluster.

You might be thinking that this is a lot of work for some names. Why not call them `s1`, `s2`, `s3`, `s4`, etc.? The answer is that if your brain is not a perfect machine, you need a lot of help when reading code, and we'll see that these names do help. It's easier to remember "three flows, two directions" than "six different sockets" Figure 3-19.

Figure 3-19. Broker Socket Arrangement

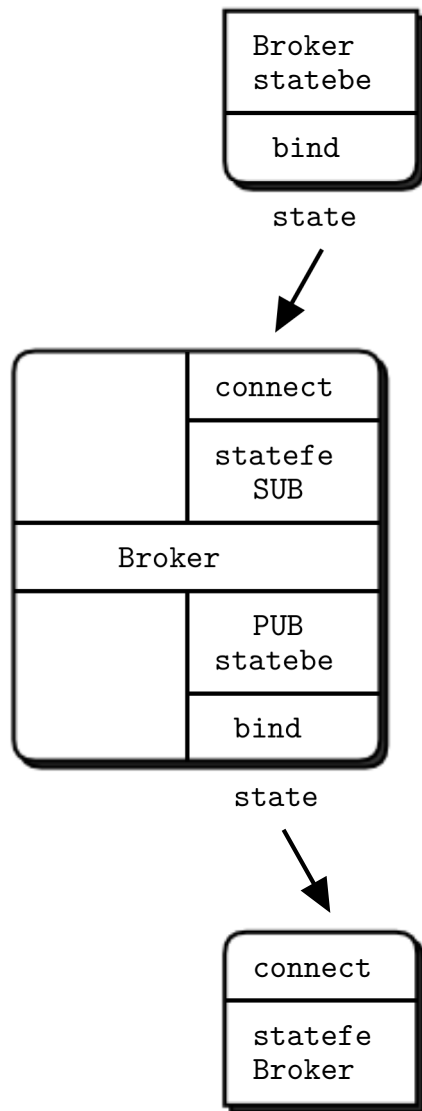


Note that we connect the cloudbe in each broker to the cloudfe in every other broker, and likewise we connect the statebe in each broker to the statefe in every other broker.

3.7.6. Prototyping the State Flow

Because each socket flow has its own little traps for the unwary, we will test them in real code one-by-one, rather than try to throw the whole lot into code in one go. When we're happy with each flow, we can put them together into a full program. We'll start with the state flow Figure 3-20.

Figure 3-20. The State Flow



Here is how this works in code:

Example 3-8. Prototype state flow (peering1.lua)

```
--
-- Broker peering simulation (part 1)
-- Prototypes the state flow
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
```

```

require "zmq.poller"
require "zmsg"

-- First argument is this broker's name
-- Other arguments are our peers' names
--
if (#arg < 1) then
  printf ("syntax: peering1 me doyouend...\n")
  os.exit(-1)
end
local self = arg[1]
printf ("I: preparing broker at %s...\n", self)
math.randomseed(os.time())

-- Prepare our context and sockets
local context = zmq.init(1)

-- Bind statebe to endpoint
local statebe = context:socket(zmq.PUB)
local endpoint = string.format("ipc://%s-state.ipc", self)
assert(statebe:bind(endpoint))

-- Connect statefe to all peers
local statefe = context:socket(zmq.SUB)
statefe:setopt(zmq.SUBSCRIBE, "", 0)

for n=2,#arg do
  local peer = arg[n]
  printf ("I: connecting to state backend at '%s'\n", peer)
  local endpoint = string.format("ipc://%s-state.ipc", peer)
  assert(statefe:connect(endpoint))
end

local poller = zmq.poller(1)
-- Send out status messages to peers, and collect from peers
-- The zmq_poll timeout defines our own heartbeating
--
poller:add(statefe, zmq.POLLIN, function()
  local msg = zmsg.recv(statefe)
  printf ("%s - %s workers free\n",
    msg:address(), msg:body())
end)
while true do
  -- Poll for activity, or 1 second timeout
  local count = assert(poller:poll(1000000))

  -- if no other activity.
  if count == 0 then
    -- Send random value for worker availability
    local msg = zmsg.new()
    msg:body_fmt("%d", randof(10))
    -- We stick our own address onto the envelope
    msg:wrap(self, nil)
  end
end

```

```

        msg:send(statebe)
    end
end
-- We never get here but clean up anyhow
statebe:close()
statefe:close()
context:term()

```

Notes about this code:

- Each broker has an identity that we use to construct `ipc` endpoint names. A real broker would need to work with TCP and a more sophisticated configuration scheme. We'll look at such schemes later in this book, but for now, using generated `ipc` names lets us ignore the problem of where to get TCP/IP addresses or names.
- We use a `zmq_poll()` loop as the core of the program. This processes incoming messages and sends out state messages. We send a state message *only* if we did not get any incoming messages *and* we waited for a second. If we send out a state message each time we get one in, we'll get message storms.
- We use a two-part pub-sub message consisting of sender address and data. Note that we will need to know the address of the publisher in order to send it tasks, and the only way is to send this explicitly as a part of the message.
- We don't set identities on subscribers because if we did then we'd get outdated state information when connecting to running brokers.
- We don't set a HWM on the publisher, but if we were using ZeroMQ v2.x that would be a wise idea.

We can build this little program and run it three times to simulate three clusters. Let's call them DC1, DC2, and DC3 (the names are arbitrary). We run these three commands, each in a separate window:

```

peering1 DC1 DC2 DC3 # Start DC1 and connect to DC2 and DC3
peering1 DC2 DC1 DC3 # Start DC2 and connect to DC1 and DC3
peering1 DC3 DC1 DC2 # Start DC3 and connect to DC1 and DC2

```

You'll see each cluster report the state of its peers, and after a few seconds they will all happily be printing random numbers once per second. Try this and satisfy yourself that the three brokers all match up and synchronize to per-second state updates.

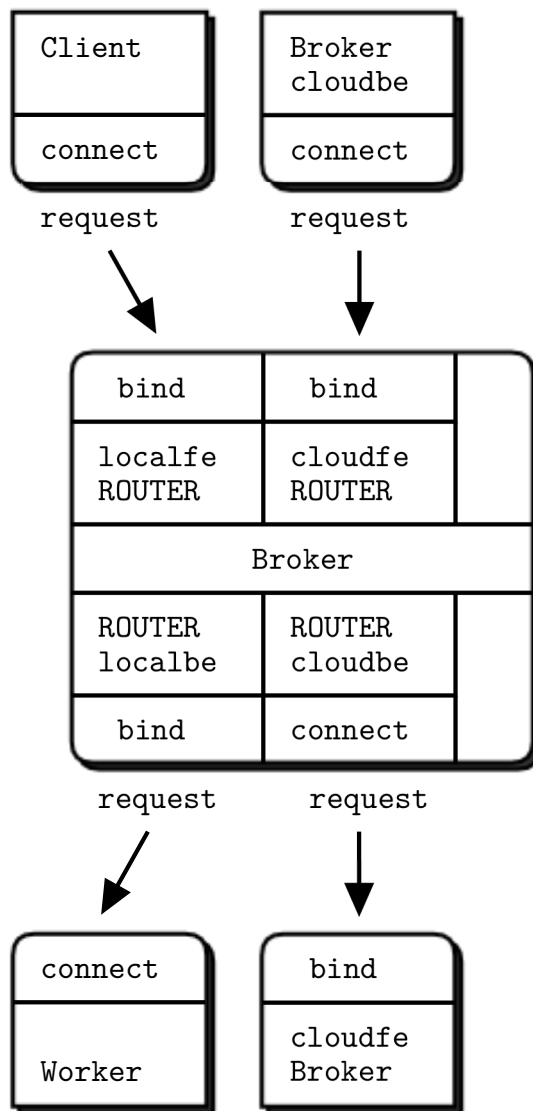
In real life, we'd not send out state messages at regular intervals, but rather whenever we had a state change, i.e., whenever a worker becomes available or unavailable. That may seem like a lot of traffic, but state messages are small and we've established that the inter-cluster connections are super fast.

If we wanted to send state messages at precise intervals, we'd create a child thread and open the `statebe` socket in that thread. We'd then send irregular state updates to that child thread from our main thread and allow the child thread to conflate them into regular outgoing messages. This is more work than we need here.

3.7.7. Prototyping the Local and Cloud Flows

Let's now prototype the flow of tasks via the local and cloud socketsFigure 3-21. This code pulls requests from clients and then distributes them to local workers and cloud peers on a random basis.

Figure 3-21. The Flow of Tasks



Before we jump into the code, which is getting a little complex, let's sketch the core routing logic and break it down into a simple yet robust design.

We need two queues, one for requests from local clients and one for requests from cloud clients. One

option would be to pull messages off the local and cloud frontends, and pump these onto their respective queues. But this is kind of pointless because ZeroMQ sockets *are* queues already. So let's use the ZeroMQ socket buffers as queues.

This was the technique we used in the load balancing broker, and it worked nicely. We only read from the two frontends when there is somewhere to send the requests. We can always read from the backends, as they give us replies to route back. As long as the backends aren't talking to us, there's no point in even looking at the frontends.

So our main loop becomes:

- Poll the backends for activity. When we get a message, it may be "ready" from a worker or it may be a reply. If it's a reply, route back via the local or cloud frontend.
- If a worker replied, it became available, so we queue it and count it.
- While there are workers available, take a request, if any, from either frontend and route to a local worker, or randomly, to a cloud peer.

Randomly sending tasks to a peer broker rather than a worker simulates work distribution across the cluster. It's dumb, but that is fine for this stage.

We use broker identities to route messages between brokers. Each broker has a name that we provide on the command line in this simple prototype. As long as these names don't overlap with the ZeroMQ-generated UUIDs used for client nodes, we can figure out whether to route a reply back to a client or to a broker.

Here is how this works in code. The interesting part starts around the comment "Interesting part".

Example 3-9. Prototype local and cloud flow (peering2.lua)

```
--
-- Broker peering simulation (part 2)
-- Prototypes the request-reply flow
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.poller"
require "zmq.threads"
require "zmsg"

local tremove = table.remove
```

```

local NBR_CLIENTS = 10
local NBR_WORKERS = 3

local pre_code = [[
    local self, seed = ...
    local zmq = require"zmq"
    local zmsg = require"zmsg"
    require"zhelpers"
    math.randomseed(seed)
    local context = zmq.init(1)

]]

-- Request-reply client using REQ socket
--
local client_task = pre_code .. [[
    local client = context:socket(zmq.REQ)
    local endpoint = string.format("ipc://%s-localfe.ipc", self)
    assert(client:connect(endpoint))

    while true do
        -- Send request, get reply
        local msg = zmsg.new("HELLO")
        msg:send(client)
        msg = zmsg.recv(client)
        printf("I: client status: %s\n", msg:body())
    end
    -- We never get here but if we did, this is how we'd exit cleanly
    client:close()
    context:term()
]]

-- Worker using REQ socket to do LRU routing
--
local worker_task = pre_code .. [[
    local worker = context:socket(zmq.REQ)
    local endpoint = string.format("ipc://%s-localbe.ipc", self)
    assert(worker:connect(endpoint))

    -- Tell broker we're ready for work
    local msg = zmsg.new("READY")
    msg:send(worker)

    while true do
        msg = zmsg.recv(worker)
        -- Do some 'work'
        s_sleep(1000)
        msg:body_fmt("OK - %04x", randof(0x10000))
        msg:send(worker)
    end
    -- We never get here but if we did, this is how we'd exit cleanly
    worker:close()
    context:term()
]]

```

```

]]

-- First argument is this broker's name
-- Other arguments are our peers' names
--
s_version_assert (2, 1)
if (#arg < 1) then
    printf ("syntax: peering2 me doyouend...\n")
    os.exit(-1)
end
-- Our own name; in practice this'd be configured per node
local self = arg[1]
printf ("I: preparing broker at %s...\n", self)
math.randomseed(os.time())

-- Prepare our context and sockets
local context = zmq.init(1)

-- Bind cloud frontend to endpoint
local cloudfe = context:socket(zmq.ROUTER)
local endpoint = string.format("ipc://%s-cloud.ipc", self)
cloudfe:setopt(zmq.IDENTITY, self)
assert(cloudfe:bind(endpoint))

-- Connect cloud backend to all peers
local cloudbe = context:socket(zmq.ROUTER)
cloudbe:setopt(zmq.IDENTITY, self)

local peers = {}
for n=2,#arg do
    local peer = arg[n]
    -- add peer name to peers list.
    peers[#peers + 1] = peer
    peers[peer] = true -- map peer's name to 'true' for fast lookup
    printf ("I: connecting to cloud frontend at '%s'\n", peer)
    local endpoint = string.format("ipc://%s-cloud.ipc", peer)
    assert(cloudbe:connect(endpoint))
end

-- Prepare local frontend and backend
local localfe = context:socket(zmq.ROUTER)
local endpoint = string.format("ipc://%s-localfe.ipc", self)
assert(localfe:bind(endpoint))

local localbe = context:socket(zmq.ROUTER)
local endpoint = string.format("ipc://%s-localbe.ipc", self)
assert(localbe:bind(endpoint))

-- Get user to tell us when we can start...
printf ("Press Enter when all brokers are started: ")
io.read('*l')

-- Start local workers
local workers = {}

```

```

for n=1,NBR_WORKERS do
    local seed = os.time() + math.random()
    workers[n] = zmq.threads.runstring(nil, worker_task, self, seed)
    workers[n]:start(true)
end
-- Start local clients
local clients = {}
for n=1,NBR_CLIENTS do
    local seed = os.time() + math.random()
    clients[n] = zmq.threads.runstring(nil, client_task, self, seed)
    clients[n]:start(true)
end

-- Interesting part
-- -----
-- Request-reply flow
-- - Poll backends and process local/cloud replies
-- - While worker available, route localfe to local or cloud

-- Queue of available workers
local worker_queue = {}
local backends = zmq.poller(2)

local function send_reply(msg)
    local address = msg:address()
    -- Route reply to cloud if it's addressed to a broker
    if peers[address] then
        msg:send(cloudfe) -- reply is for a peer.
    else
        msg:send(localfe) -- reply is for a local client.
    end
end

backends:add(localbe, zmq.POLLIN, function()
    local msg = zmq.recv(localbe)

    -- Use worker address for LRU routing
    worker_queue[#worker_queue + 1] = msg:unwrap()
    -- if reply is not "READY" then route reply back to client.
    if (msg:address() ~= "READY") then
        send_reply(msg)
    end
end)

backends:add(cloudbe, zmq.POLLIN, function()
    local msg = zmq.recv(cloudbe)
    -- We don't use peer broker address for anything
    msg:unwrap()
    -- send reply back to client.
    send_reply(msg)
end)

local frontends = zmq.poller(2)

```



```

local localfe_ready = false
local cloudfe_ready = false

frontends:add(localfe, zmq.POLLIN, function() localfe_ready = true end)
frontends:add(cloudfe, zmq.POLLIN, function() cloudfe_ready = true end)

while true do
  local timeout = (#worker_queue > 0) and 1000000 or -1
  -- If we have no workers anyhow, wait indefinitely
  rc = backends:poll(timeout)
  assert (rc >= 0)

  -- Now route as many clients requests as we can handle
  --
  while (#worker_queue > 0) do
    rc = frontends:poll(0)
    assert (rc >= 0)
    local reroutable = false
    local msg
    -- We'll do peer brokers first, to prevent starvation
    if (cloudfe_ready) then
      cloudfe_ready = false -- reset flag
      msg = zmsg.recv (cloudfe)
      reroutable = false
    elseif (localfe_ready) then
      localfe_ready = false -- reset flag
      msg = zmsg.recv (localfe)
      reroutable = true
    else
      break;      -- No work, go back to backends
    end

    -- If reroutable, send to cloud 20% of the time
    -- Here we'd normally use cloud status information
    --
    local percent = randof (5)
    if (reroutable and #peers > 0 and percent == 0) then
      -- Route to random broker peer
      local random_peer = randof (#peers) + 1
      msg:wrap(peers[random_peer], nil)
      msg:send(cloudbe)
    else
      -- Dequeue and drop the next worker address
      local worker = tremove(worker_queue, 1)
      msg:wrap(worker, "")
      msg:send(localbe)
    end
  end
end

-- We never get here but clean up anyhow
localbe:close()
cloudbe:close()
localfe:close()

```

```
cloudfe:close()
context:term()
```

Run this by, for instance, starting two instances of the broker in two windows:

```
peering2 me you
peering2 you me
```

Some comments on this code:

- In the C code at least, using the `zmsg` class makes life much easier, and our code much shorter. It's obviously an abstraction that works. If you build ZeroMQ applications in C, you should use `CZMQ`.
- Because we're not getting any state information from peers, we naively assume they are running. The code prompts you to confirm when you've started all the brokers. In the real case, we'd not send anything to brokers who had not told us they exist.

You can satisfy yourself that the code works by watching it run forever. If there were any misrouted messages, clients would end up blocking, and the brokers would stop printing trace information. You can prove that by killing either of the brokers. The other broker tries to send requests to the cloud, and one-by-one its clients block, waiting for an answer.

3.7.8. Putting it All Together

Let's put this together into a single package. As before, we'll run an entire cluster as one process. We're going to take the two previous examples and merge them into one properly working design that lets you simulate any number of clusters.

This code is the size of both previous prototypes together, at 270 LoC. That's pretty good for a simulation of a cluster that includes clients and workers and cloud workload distribution. Here is the code:

Example 3-10. Full cluster simulation (`peering3.lua`)

```
--
-- Broker peering simulation (part 3)
-- Prototypes the full flow of status and tasks
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakobosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.poller"
require "zmq.threads"
```

```

require "zmsg"

local tremove = table.remove

local NBR_CLIENTS = 10
local NBR_WORKERS = 5

local pre_code = [[
    local self, seed = ...
    local zmq = require "zmq"
    local zmsg = require "zmsg"
    require "zhelpers"
    math.randomseed(seed)
    local context = zmq.init(1)

]]

-- Request-reply client using REQ socket
-- To simulate load, clients issue a burst of requests and then
-- sleep for a random period.
--
local client_task = pre_code .. [[
    require "zmq.poller"

    local client = context:socket(zmq.REQ)
    local endpoint = string.format("ipc://%s-localfe.ipc", self)
    assert(client:connect(endpoint))

    local monitor = context:socket(zmq.PUSH)
    local endpoint = string.format("ipc://%s-monitor.ipc", self)
    assert(monitor:connect(endpoint))

    local poller = zmq.poller(1)
    local task_id = nil

    poller:add(client, zmq.POLLIN, function()
        local msg = zmsg.recv(client)
        -- Worker is supposed to answer us with our task id
        assert(msg:body() == task_id)
        -- mark task as processed.
        task_id = nil
    end)
    local is_running = true
    while is_running do
        s_sleep(randof(5) * 1000)

        local burst = randof(15)
        while (burst > 0) do
            burst = burst - 1
            -- Send request with random hex ID
            task_id = string.format("%04X", randof(0x10000))
            local msg = zmsg.new(task_id)
            msg:send(client)

```

```

-- Wait max ten seconds for a reply, then complain
rc = poller:poll(10 * 1000000)
assert (rc >= 0)

if task_id then
    local msg = zmsg.new()
    msg:body_fmt(
        "E: CLIENT EXIT - lost task %s", task_id)
    msg:send(monitor)
    -- exit event loop
    is_running = false
    break
end
end

end
-- We never get here but if we did, this is how we'd exit cleanly
client:close()
monitor:close()
context:term()
]]

-- Worker using REQ socket to do LRU routing
--
local worker_task = pre_code .. [[
    local worker = context:socket(zmq.REQ)
    local endpoint = string.format("ipc://%s-localbe.ipc", self)
    assert(worker:connect(endpoint))

    -- Tell broker we're ready for work
    local msg = zmsg.new("READY")
    msg:send(worker)

    while true do
        -- Workers are busy for 0/1/2 seconds
        msg = zmsg.recv(worker)
        s_sleep(randof(2) * 1000)
        msg:send(worker)
    end
    -- We never get here but if we did, this is how we'd exit cleanly
    worker:close()
    context:term()
]]

-- First argument is this broker's name
-- Other arguments are our peers' names
--
s_version_assert(2, 1)
if (#arg < 1) then
    printf("syntax: peering3 me doyouend...\n")
    os.exit(-1)
end
-- Our own name; in practice this'd be configured per node

```

```

local self = arg[1]
printf ("I: preparing broker at %s...\n", self)
math.randomseed(os.time())

-- Prepare our context and sockets
local context = zmq.init(1)

-- Bind cloud frontend to endpoint
local cloudfe = context:socket(zmq.ROUTER)
local endpoint = string.format("ipc://%s-cloud.ipc", self)
cloudfe:setopt(zmq.IDENTITY, self)
assert(cloudfe:bind(endpoint))

-- Bind state backend / publisher to endpoint
local statebe = context:socket(zmq.PUB)
local endpoint = string.format("ipc://%s-state.ipc", self)
assert(statebe:bind(endpoint))

-- Connect cloud backend to all peers
local cloudbe = context:socket(zmq.ROUTER)
cloudbe:setopt(zmq.IDENTITY, self)

for n=2,#arg do
    local peer = arg[n]
    printf ("I: connecting to cloud frontend at '%s'\n", peer)
    local endpoint = string.format("ipc://%s-cloud.ipc", peer)
    assert(cloudbe:connect(endpoint))
end

-- Connect statefe to all peers
local statefe = context:socket(zmq.SUB)
statefe:setopt(zmq.SUBSCRIBE, "", 0)

local peers = {}
for n=2,#arg do
    local peer = arg[n]
    -- add peer name to peers list.
    peers[#peers + 1] = peer
    peers[peer] = 0 -- set peer's initial capacity to zero.
    printf ("I: connecting to state backend at '%s'\n", peer)
    local endpoint = string.format("ipc://%s-state.ipc", peer)
    assert(statefe:connect(endpoint))
end

-- Prepare local frontend and backend
local localfe = context:socket(zmq.ROUTER)
local endpoint = string.format("ipc://%s-localfe.ipc", self)
assert(localfe:bind(endpoint))

local localbe = context:socket(zmq.ROUTER)
local endpoint = string.format("ipc://%s-localbe.ipc", self)
assert(localbe:bind(endpoint))

-- Prepare monitor socket
local monitor = context:socket(zmq.PULL)

```

```

local endpoint = string.format("ipc://%s-monitor.ipc", self)
assert(monitor:bind(endpoint))

-- Start local workers
local workers = {}
for n=1,NBR_WORKERS do
    local seed = os.time() + math.random()
    workers[n] = zmq.threads.runstring(nil, worker_task, self, seed)
    workers[n]:start(true)
end
-- Start local clients
local clients = {}
for n=1,NBR_CLIENTS do
    local seed = os.time() + math.random()
    clients[n] = zmq.threads.runstring(nil, client_task, self, seed)
    clients[n]:start(true)
end

-- Interesting part
-- -----
-- Publish-subscribe flow
-- - Poll statefe and process capacity updates
-- - Each time capacity changes, broadcast new value
-- Request-reply flow
-- - Poll primary and process local/cloud replies
-- - While worker available, route localfe to local or cloud

-- Queue of available workers
local local_capacity = 0
local cloud_capacity = 0
local worker_queue = {}
local backends = zmq.poller(2)

local function send_reply(msg)
    local address = msg:address()
    -- Route reply to cloud if it's addressed to a broker
    if peers[address] then
        msg:send(cloudfe) -- reply is for a peer.
    else
        msg:send(localfe) -- reply is for a local client.
    end
end

backends:add(localbe, zmq.POLLIN, function()
    local msg = zmq.recv(localbe)

    -- Use worker address for LRU routing
    local_capacity = local_capacity + 1
    worker_queue[local_capacity] = msg:unwrap()
    -- if reply is not "READY" then route reply back to client.
    if (msg:address() ~= "READY") then
        send_reply(msg)
    end
end

```

```

end)

backends:add(cloudbe, zmq.POLLIN, function()
    local msg = zmsg.recv(cloudbe)

    -- We don't use peer broker address for anything
    msg:unwrap()
    -- send reply back to client.
    send_reply(msg)
end)

backends:add(statefe, zmq.POLLIN, function()
    local msg = zmsg.recv (statefe)
    -- TODO: track capacity for each peer
    cloud_capacity = tonumber(msg:body())
end)

backends:add(monitor, zmq.POLLIN, function()
    local msg = zmsg.recv (monitor)
    printf("%s\n", msg:body())
end)

local frontends = zmq.poller(2)
local localfe_ready = false
local cloudfe_ready = false

frontends:add(localfe, zmq.POLLIN, function() localfe_ready = true end)
frontends:add(cloudfe, zmq.POLLIN, function() cloudfe_ready = true end)

local MAX_BACKEND_REPLIES = 20

while true do
    -- If we have no workers anyhow, wait indefinitely
    local timeout = (local_capacity > 0) and 1000000 or -1
    local rc, err = backends:poll(timeout)
    assert (rc >= 0, err)

    -- Track if capacity changes during this iteration
    local previous = local_capacity

    -- Now route as many clients requests as we can handle
    -- - If we have local capacity we poll both localfe and cloudfe
    -- - If we have cloud capacity only, we poll just localfe
    -- - Route any request locally if we can, else to cloud
    --
    while ((local_capacity + cloud_capacity) > 0) do
        local rc, err = frontends:poll(0)
        assert (rc >= 0, err)

        if (localfe_ready) then
            localfe_ready = false
            msg = zmsg.recv (localfe)
        elseif (cloudfe_ready and local_capacity > 0) then

```

```

        cloudfe_ready = false
        -- we have local capacity poll cloud frontend for work.
        msg = zmsg.recv (cloudfe)
    else
        break;          -- No work, go back to primary
    end

    if (local_capacity > 0) then
        -- Dequeue and drop the next worker address
        local worker = tremove(worker_queue, 1)
        local_capacity = local_capacity - 1
        msg:wrap(worker, "")
        msg:send(localbe)
    else
        -- Route to random broker peer
        printf ("I: route request %s to cloud...\n",
            msg:body())
        local random_peer = randof (#peers) + 1
        msg:wrap(peers[random_peer], nil)
        msg:send(cloudbe)
    end
end
end
if (local_capacity ~= previous) then
    -- Broadcast new capacity
    local msg = zmsg.new()
    -- TODO: send our name with capacity.
    msg:body_fmt("%d", local_capacity)
    -- We stick our own address onto the envelope
    msg:wrap(self, nil)
    msg:send(statebe)
end
end
-- We never get here but clean up anyhow
localbe:close()
cloudbe:close()
localfe:close()
cloudfe:close()
statefe:close()
monitor:close()
context:term()

```

It's a nontrivial program and took about a day to get working. These are the highlights:

- The client threads detect and report a failed request. They do this by polling for a response and if none arrives after a while (10 seconds), printing an error message.
- Client threads don't print directly, but instead send a message to a monitor socket (PUSH) that the main loop collects (PULL) and prints off. This is the first case we've seen of using ZeroMQ sockets for monitoring and logging; this is a big use case that we'll come back to later.
- Clients simulate varying loads to get the cluster 100% at random moments, so that tasks are shifted over to the cloud. The number of clients and workers, and delays in the client and worker threads control this. Feel free to play with them to see if you can make a more realistic simulation.

- The main loop uses two pollsets. It could in fact use three: information, backends, and frontends. As in the earlier prototype, there is no point in taking a frontend message if there is no backend capacity.

These are some of the problems that arose during development of this program:

- Clients would freeze, due to requests or replies getting lost somewhere. Recall that the ROUTER socket drops messages it can't route. The first tactic here was to modify the client thread to detect and report such problems. Secondly, I put `zmsg_dump()` calls after every receive and before every send in the main loop, until the origin of the problems was clear.
- The main loop was mistakenly reading from more than one ready socket. This caused the first message to be lost. I fixed that by reading only from the first ready socket.
- The `zmsg` class was not properly encoding UUIDs as C strings. This caused UUIDs that contain 0 bytes to be corrupted. I fixed that by modifying `zmsg` to encode UUIDs as printable hex strings.

This simulation does not detect disappearance of a cloud peer. If you start several peers and stop one, and it was broadcasting capacity to the others, they will continue to send it work even if it's gone. You can try this, and you will get clients that complain of lost requests. The solution is twofold: first, only keep the capacity information for a short time so that if a peer does disappear, its capacity is quickly set to zero. Second, add reliability to the request-reply chain. We'll look at reliability in the next chapter.

Chapter 4. Reliable Request-Reply Patterns

Advanced Request-Reply Patterns Chapter 3 covered advanced uses of ZeroMQ's request-reply pattern with working examples. This chapter looks at the general question of reliability and builds a set of reliable messaging patterns on top of ZeroMQ's core request-reply pattern.

In this chapter, we focus heavily on user-space request-reply *patterns*, reusable models that help you design your own ZeroMQ architectures:

- The *Lazy Pirate* pattern: reliable request-reply from the client side
- The *Simple Pirate* pattern: reliable request-reply using load balancing
- The *Paranoid Pirate* pattern: reliable request-reply with heartbeating
- The *Majordomo* pattern: service-oriented reliable queuing
- The *Titanic* pattern: disk-based/disconnected reliable queuing
- The *Binary Star* pattern: primary-backup server failover
- The *Freelance* pattern: brokerless reliable request-reply

4.1. What is "Reliability"?

Most people who speak of "reliability" don't really know what they mean. We can only define reliability in terms of failure. That is, if we can handle a certain set of well-defined and understood failures, then we are reliable with respect to those failures. No more, no less. So let's look at the possible causes of failure in a distributed ZeroMQ application, in roughly descending order of probability:

- Application code is the worst offender. It can crash and exit, freeze and stop responding to input, run too slowly for its input, exhaust all memory, and so on.
- System code--such as brokers we write using ZeroMQ--can die for the same reasons as application code. System code *should* be more reliable than application code, but it can still crash and burn, and especially run out of memory if it tries to queue messages for slow clients.
- Message queues can overflow, typically in system code that has learned to deal brutally with slow clients. When a queue overflows, it starts to discard messages. So we get "lost" messages.
- Networks can fail (e.g., WiFi gets switched off or goes out of range). ZeroMQ will automatically reconnect in such cases, but in the meantime, messages may get lost.
- Hardware can fail and take with it all the processes running on that box.
- Networks can fail in exotic ways, e.g., some ports on a switch may die and those parts of the network become inaccessible.
- Entire data centers can be struck by lightning, earthquakes, fire, or more mundane power or cooling failures.

To make a software system fully reliable against *all* of these possible failures is an enormously difficult and expensive job and goes beyond the scope of this book.

Because the first five cases in the above list cover 99.9% of real world requirements outside large companies (according to a highly scientific study I just ran, which also told me that 78% of statistics are made up on the spot, and moreover never to trust a statistic that we didn't falsify ourselves), that's what we'll examine. If you're a large company with money to spend on the last two cases, contact my company immediately! There's a large hole behind my beach house waiting to be converted into an executive swimming pool.

4.2. Designing Reliability

So to make things brutally simple, reliability is "keeping things working properly when code freezes or crashes", a situation we'll shorten to "dies". However, the things we want to keep working properly are more complex than just messages. We need to take each core ZeroMQ messaging pattern and see how to make it work (if we can) even when code dies.

Let's take them one-by-one:

- Request-reply: if the server dies (while processing a request), the client can figure that out because it won't get an answer back. Then it can give up in a huff, wait and try again later, find another server, and so on. As for the client dying, we can brush that off as "someone else's problem" for now.
- Pub-sub: if the client dies (having gotten some data), the server doesn't know about it. Pub-sub doesn't send any information back from client to server. But the client can contact the server out-of-band, e.g., via request-reply, and ask, "please resend everything I missed". As for the server dying, that's out of scope for here. Subscribers can also self-verify that they're not running too slowly, and take action (e.g., warn the operator and die) if they are.
- Pipeline: if a worker dies (while working), the ventilator doesn't know about it. Pipelines, like the grinding gears of time, only work in one direction. But the downstream collector can detect that one task didn't get done, and send a message back to the ventilator saying, "hey, resend task 324!" If the ventilator or collector dies, whatever upstream client originally sent the work batch can get tired of waiting and resend the whole lot. It's not elegant, but system code should really not die often enough to matter.

In this chapter we'll focus just on request-reply, which is the low-hanging fruit of reliable messaging.

The basic request-reply pattern (a REQ client socket doing a blocking send/receive to a REP server socket) scores low on handling the most common types of failure. If the server crashes while processing the request, the client just hangs forever. If the network loses the request or the reply, the client hangs forever.

Request-reply is still much better than TCP, thanks to ZeroMQ's ability to reconnect peers silently, to load balance messages, and so on. But it's still not good enough for real work. The only case where you

can really trust the basic request-reply pattern is between two threads in the same process where there's no network or separate server process to die.

However, with a little extra work, this humble pattern becomes a good basis for real work across a distributed network, and we get a set of reliable request-reply (RRR) patterns that I like to call the *Pirate* patterns (you'll eventually get the joke, I hope).

There are, in my experience, roughly three ways to connect clients to servers. Each needs a specific approach to reliability:

- Multiple clients talking directly to a single server. Use case: a single well-known server to which clients need to talk. Types of failure we aim to handle: server crashes and restarts, and network disconnects.
- Multiple clients talking to a broker proxy that distributes work to multiple workers. Use case: service-oriented transaction processing. Types of failure we aim to handle: worker crashes and restarts, worker busy looping, worker overload, queue crashes and restarts, and network disconnects.
- Multiple clients talking to multiple servers with no intermediary proxies. Use case: distributed services such as name resolution. Types of failure we aim to handle: service crashes and restarts, service busy looping, service overload, and network disconnects.

Each of these approaches has its trade-offs and often you'll mix them. We'll look at all three in detail.

4.3. Client-Side Reliability (Lazy Pirate Pattern)

We can get very simple reliable request-reply with some changes to the client. We call this the Lazy Pirate pattern Figure 4-1. Rather than doing a blocking receive, we:

- Poll the REQ socket and receive from it only when it's sure a reply has arrived.
- Resend a request, if no reply has arrived within a timeout period.
- Abandon the transaction if there is still no reply after several requests.

If you try to use a REQ socket in anything other than a strict send/receive fashion, you'll get an error (technically, the REQ socket implements a small finite-state machine to enforce the send/receive ping-pong, and so the error code is called "EFSM"). This is slightly annoying when we want to use REQ in a pirate pattern, because we may send several requests before getting a reply.

The pretty good brute force solution is to close and reopen the REQ socket after an error:

Example 4-1. Lazy Pirate client (lpclient.lua)

```
--
-- Lazy Pirate client
-- Use zmq_poll to do a safe request-reply
```

```

-- To run, start lpserver and then randomly kill/restart it
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.poller"
require "zhelpers"

local REQUEST_TIMEOUT      = 2500      -- msecs, (> 1000!)
local REQUEST_RETRIES      = 3          -- Before we abandon

-- Helper function that returns a new configured socket
-- connected to the Hello World server
--
local function s_client_socket(context)
    printf ("I: connecting to server...\n")
    local client = context:socket(zmq.REQ)
    client:connect("tcp://localhost:5555")

    -- Configure socket to not wait at close time
    client:setopt(zmq.LINGER, 0)
    return client
end

s_version_assert (2, 1)
local context = zmq.init(1)
local client = s_client_socket (context)

local sequence = 0
local retries_left = REQUEST_RETRIES
local expect_reply = true

local poller = zmq.poller(1)

local function client_cb()
    -- We got a reply from the server, must match sequence
    --local reply = assert(client:recv(zmq.NOBLOCK))
    local reply = client:recv()
    if (tonumber(reply) == sequence) then
        printf ("I: server replied OK (%s)\n", reply)
        retries_left = REQUEST_RETRIES
        expect_reply = false
    else
        printf ("E: malformed reply from server: %s\n", reply)
    end
end

poller:add(client, zmq.POLLIN, client_cb)

while (retries_left > 0) do
    sequence = sequence + 1
    -- We send a request, then we work to get a reply
    local request = string.format("%d", sequence)
    client:send(request)

```

```

expect_reply = true

while (expect_reply) do
    -- Poll socket for a reply, with timeout
    local cnt = assert(poller:poll(REQUEST_TIMEOUT * 1000))

    -- Check if there was no reply
    if (cnt == 0) then
        retries_left = retries_left - 1
        if (retries_left == 0) then
            printf ("E: server seems to be offline, abandoning\n")
            break
        else
            printf ("W: no response from server, retrying...\n")
            -- Old socket is confused; close it and open a new one
            poller:remove(client)
            client:close()
            client = s_client_socket (context)
            poller:add(client, zmq.POLLIN, client_cb)
            -- Send request again, on new socket
            client:send(request)
        end
    end
end
client:close()
context:term()

```

Run this together with the matching server:

Example 4-2. Lazy Pirate server (lpserver.lua)

```

--
-- Lazy Pirate server
-- Binds REQ socket to tcp://*:5555
-- Like hwserver except:
--   - echoes request as-is
--   - randomly runs slowly, or exits to simulate a crash.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zhelpers"

math.randomseed(os.time())

local context = zmq.init(1)
local server = context:socket(zmq.REP)
server:bind("tcp://*:5555")

local cycles = 0
while true do

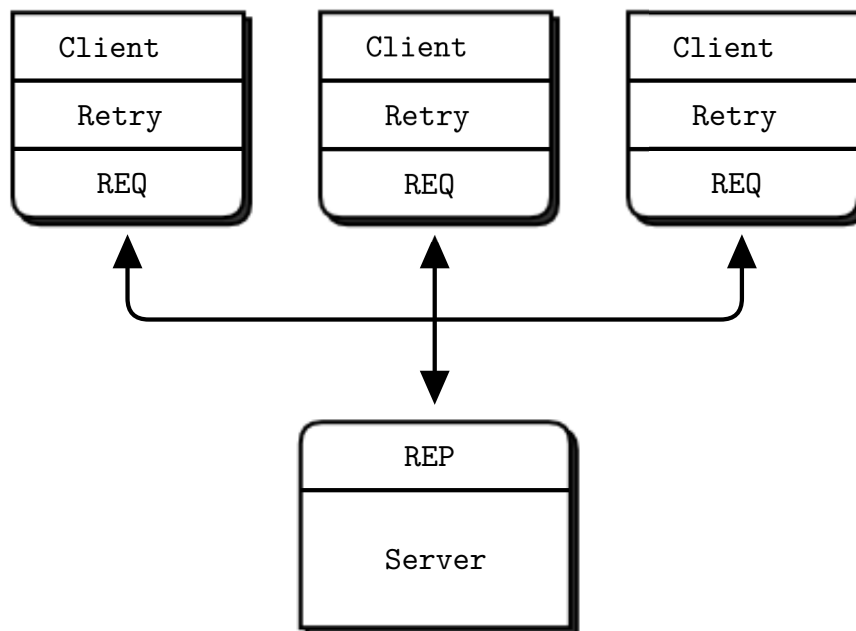
```

```

local request = server:recv()
cycles = cycles + 1

-- Simulate various problems, after a few cycles
if (cycles > 3 and randof (3) == 0) then
    printf("I: simulating a crash\n")
    break
elseif (cycles > 3 and randof (3) == 0) then
    printf("I: simulating CPU overload\n")
    s_sleep(2000)
end
printf("I: normal request (%s)\n", request)
s_sleep(1000)          -- Do some heavy work
server:send(request)
end
server:close()
context:term()

```

Figure 4-1. The Lazy Pirate Pattern

To run this test case, start the client and the server in two console windows. The server will randomly misbehave after a few messages. You can check the client's response. Here is typical output from the server:

```

I: normal request (1)
I: normal request (2)

```

```
I: normal request (3)
I: simulating CPU overload
I: normal request (4)
I: simulating a crash
```

And here is the client's response:

```
I: connecting to server...
I: server replied OK (1)
I: server replied OK (2)
I: server replied OK (3)
W: no response from server, retrying...
I: connecting to server...
W: no response from server, retrying...
I: connecting to server...
E: server seems to be offline, abandoning
```

The client sequences each message and checks that replies come back exactly in order: that no requests or replies are lost, and no replies come back more than once, or out of order. Run the test a few times until you're convinced that this mechanism actually works. You don't need sequence numbers in a production application; they just help us trust our design.

The client uses a REQ socket, and does the brute force close/reopen because REQ sockets impose that strict send/receive cycle. You might be tempted to use a DEALER instead, but it would not be a good decision. First, it would mean emulating the secret sauce that REQ does with envelopes (if you've forgotten what that is, it's a good sign you don't want to have to do it). Second, it would mean potentially getting back replies that you didn't expect.

Handling failures only at the client works when we have a set of clients talking to a single server. It can handle a server crash, but only if recovery means restarting that same server. If there's a permanent error, such as a dead power supply on the server hardware, this approach won't work. Because the application code in servers is usually the biggest source of failures in any architecture, depending on a single server is not a great idea.

So, pros and cons:

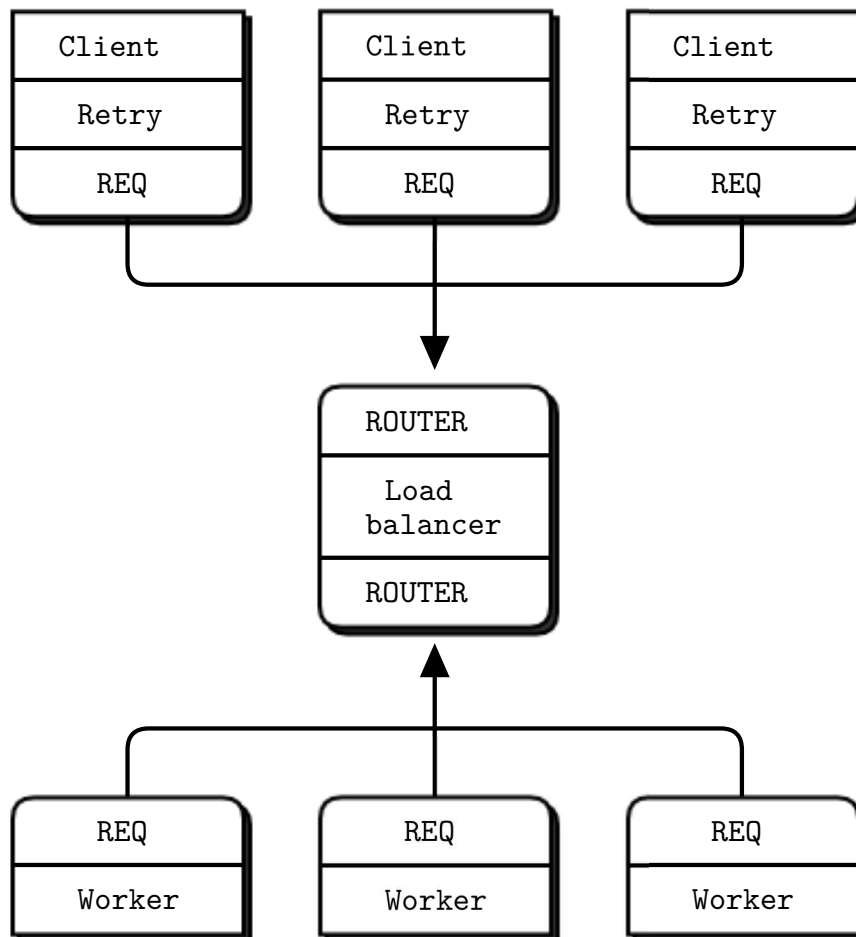
- Pro: simple to understand and implement.
- Pro: works easily with existing client and server application code.
- Pro: ZeroMQ automatically retries the actual reconnection until it works.
- Con: doesn't failover to backup or alternate servers.

4.4. Basic Reliable Queuing (Simple Pirate Pattern)

Our second approach extends the Lazy Pirate pattern with a queue proxy that lets us talk, transparently, to multiple servers, which we can more accurately call "workers". We'll develop this in stages, starting with a minimal working model, the Simple Pirate pattern.

In all these Pirate patterns, workers are stateless. If the application requires some shared state, such as a shared database, we don't know about it as we design our messaging framework. Having a queue proxy means workers can come and go without clients knowing anything about it. If one worker dies, another takes over. This is a nice, simple topology with only one real weakness, namely the central queue itself, which can become a problem to manage, and a single point of failure.

Figure 4-2. The Simple Pirate Pattern



The basis for the queue proxy is the load balancing broker from Advanced Request-Reply

Chapter 3. What is the very *minimum* we need to do to handle dead or blocked workers? Turns out, it's surprisingly little. We already have a retry mechanism in the client. So using the load balancing pattern will work pretty well. This fits with ZeroMQ's philosophy that we can extend a peer-to-peer pattern like request-reply by plugging naive proxies in the middle. Figure 4-3.

We don't need a special client; we're still using the Lazy Pirate client. Here is the queue, which is identical to the main task of the load balancing broker:

Example 4-3. Simple Pirate queue (spqueue.lua)

```
--
-- Simple Pirate queue
-- This is identical to the LRU pattern, with no reliability mechanisms
-- at all. It depends on the client for recovery. Runs forever.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "zmq"
require "zmq.poller"
require "zhelpers"
require "zmsg"

local tremove = table.remove

local MAX_WORKERS = 100

s_version_assert (2, 1)

-- Prepare our context and sockets
local context = zmq.init(1)
local frontend = context:socket(zmq.ROUTER)
local backend = context:socket(zmq.ROUTER)
frontend:bind("tcp://*:5555"); -- For clients
backend:bind("tcp://*:5556"); -- For workers

-- Queue of available workers
local worker_queue = {}
local is_accepting = false

local poller = zmq.poller(2)

local function frontend_cb()
    -- Now get next client request, route to next worker
    local msg = zmsg.recv(frontend)

    -- Dequeue a worker from the queue.
    local worker = tremove(worker_queue, 1)

    msg:wrap(worker, "")
    msg:send(backend)
end
```

```

    if (#worker_queue == 0) then
        -- stop accepting work from clients, when no workers are available.
        poller:remove(frontend)
        is_accepting = false
    end
end

-- Handle worker activity on backend
poller:add(backend, zmq.POLLIN, function()
    local msg = zmq.recv(backend)
    -- Use worker address for LRU routing
    worker_queue[#worker_queue + 1] = msg:unwrap()

    -- start accepting client requests, if we are not already doing so.
    if not is_accepting then
        is_accepting = true
        poller:add(frontend, zmq.POLLIN, frontend_cb)
    end

    -- Forward message to client if it's not a READY
    if (msg:address() ~= "READY") then
        msg:send(frontend)
    end
end)

-- start poller's event loop
poller:start()

-- We never exit the main loop

```

Here is the worker, which takes the Lazy Pirate server and adapts it for the load balancing pattern (using the REQ "ready" signaling):

Example 4-4. Simple Pirate worker (spworker.lua)

```

--
-- Simple Pirate worker
-- Connects REQ socket to tcp://*:5556
-- Implements worker part of LRU queueing
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmsg"

math.randomseed(os.time())

local context = zmq.init(1)
local worker = context:socket(zmq.REQ)

-- Set random identity to make tracing easier
local identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))

```

```

worker:setopt(zmq.IDENTITY, identity)
worker:connect("tcp://localhost:5556")

-- Tell queue we're ready for work
printf ("I: (%s) worker ready\n", identity)
worker:send("READY")

local cycles = 0
while true do
    local msg = zmq.recv (worker)

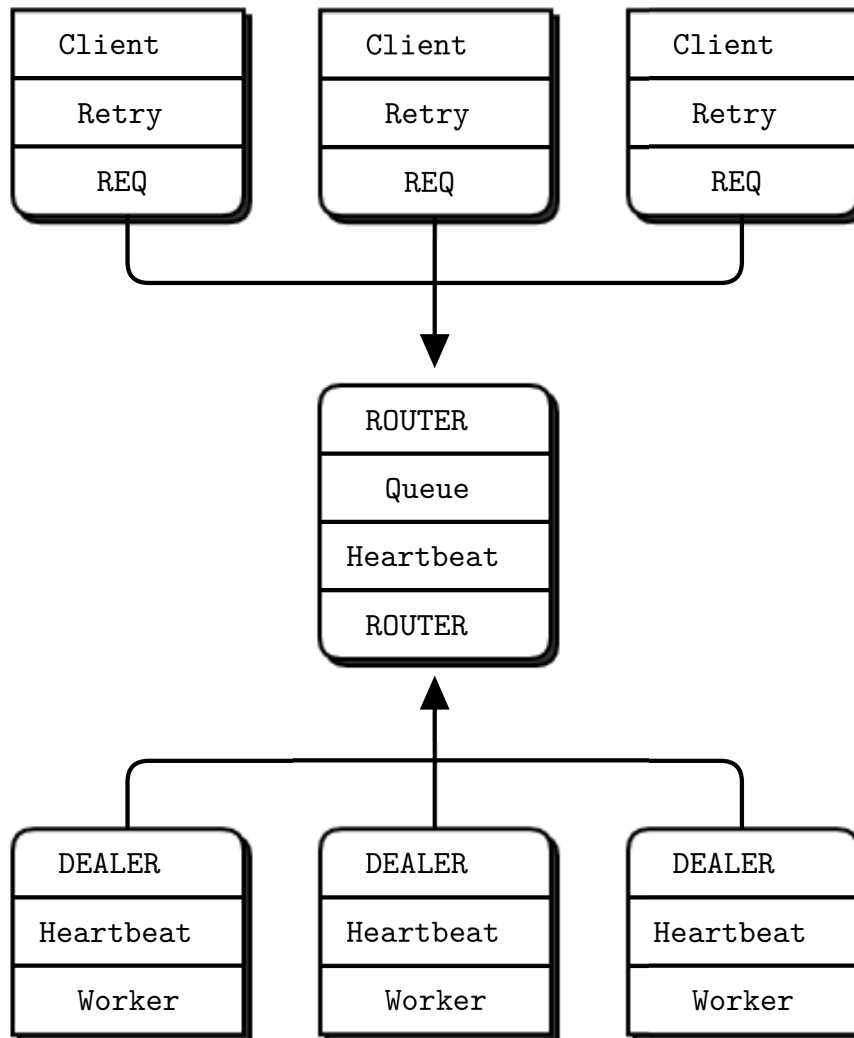
    -- Simulate various problems, after a few cycles
    cycles = cycles + 1
    if (cycles > 3 and randof (5) == 0) then
        printf ("I: (%s) simulating a crash\n", identity)
        break
    elseif (cycles > 3 and randof (5) == 0) then
        printf ("I: (%s) simulating CPU overload\n", identity)
        s_sleep (5000)
    end
    printf ("I: (%s) normal reply - %s\n",
            identity, msg:body())
    s_sleep (1000)          -- Do some heavy work
    msg:send(worker)
end
worker:close()
context:term()

```

To test this, start a handful of workers, a Lazy Pirate client, and the queue, in any order. You'll see that the workers eventually all crash and burn, and the client retries and then gives up. The queue never stops, and you can restart workers and clients ad nauseam. This model works with any number of clients and workers.

4.5. Robust Reliable Queuing (Paranoid Pirate Pattern)

Figure 4-3. The Paranoid Pirate Pattern



The Simple Pirate Queue pattern works pretty well, especially because it's just a combination of two existing patterns. Still, it does have some weaknesses:

- It's not robust in the face of a queue crash and restart. The client will recover, but the workers won't. While ZeroMQ will reconnect workers' sockets automatically, as far as the newly started queue is concerned, the workers haven't signaled ready, so don't exist. To fix this, we have to do heartbeating from queue to worker so that the worker can detect when the queue has gone away.
- The queue does not detect worker failure, so if a worker dies while idle, the queue can't remove it from its worker queue until the queue sends it a request. The client waits and retries for nothing. It's

not a critical problem, but it's not nice. To make this work properly, we do heartbeating from worker to queue, so that the queue can detect a lost worker at any stage.

We'll fix these in a properly pedantic Paranoid Pirate Pattern.

We previously used a REQ socket for the worker. For the Paranoid Pirate worker, we'll switch to a DEALER socketFigure 4-4. This has the advantage of letting us send and receive messages at any time, rather than the lock-step send/receive that REQ imposes. The downside of DEALER is that we have to do our own envelope management (re-read Advanced Request-Reply PatternsChapter 3 for background on this concept).

We're still using the Lazy Pirate client. Here is the Paranoid Pirate queue proxy:

Example 4-5. Paranoid Pirate queue (ppqueue.lua)

```
--
-- Paranoid Pirate queue
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.poller"
require "zmsg"

local MAX_WORKERS      = 100
local HEARTBEAT_LIVENESS = 3      -- 3-5 is reasonable
local HEARTBEAT_INTERVAL = 1000  -- msec

local tremove = table.remove

-- Insert worker at end of queue, reset expiry
-- Worker must not already be in queue
local function s_worker_append(queue, identity)
    if queue[identity] then
        printf ("E: duplicate worker identity %s", identity)
    else
        assert (#queue < MAX_WORKERS)
        queue[identity] = s_clock() + HEARTBEAT_INTERVAL * HEARTBEAT_LIVENESS
        queue[#queue + 1] = identity
    end
end

-- Remove worker from queue, if present
local function s_worker_delete(queue, identity)
    for i=1,#queue do
        if queue[i] == identity then
            tremove(queue, i)
            break
        end
    end
    queue[identity] = nil
end
```

```

end
-- Reset worker expiry, worker must be present
local function s_worker_refresh(queue, identity)
    if queue[identity] then
        queue[identity] = s_clock() + HEARTBEAT_INTERVAL * HEARTBEAT_LIVENESS
    else
        printf("E: worker %s not ready\n", identity)
    end
end
end
-- Pop next available worker off queue, return identity
local function s_worker_dequeue(queue)
    assert (#queue > 0)
    local identity = tremove(queue, 1)
    queue[identity] = nil
    return identity
end
-- Look for & kill expired workers
local function s_queue_purge(queue)
    local curr_clock = s_clock()
    -- Work backwards from end to simplify removal
    for i=#queue,1,-1 do
        local id = queue[i]
        if (curr_clock > queue[id]) then
            tremove(queue, i)
            queue[id] = nil
        end
    end
end
end
s_version_assert (2, 1)

-- Prepare our context and sockets
local context = zmq.init(1)
local frontend = context:socket(zmq.ROUTER)
local backend = context:socket(zmq.ROUTER)
frontend:bind("tcp://*:5555"); -- For clients
backend:bind("tcp://*:5556"); -- For workers

-- Queue of available workers
local queue = {}
local is_accepting = false

-- Send out heartbeats at regular intervals
local heartbeat_at = s_clock() + HEARTBEAT_INTERVAL

local poller = zmq.poller(2)

local function frontend_cb()
    -- Now get next client request, route to next worker
    local msg = zmq.recv(frontend)
    local identity = s_worker_dequeue(queue)
    msg:push(identity)
    msg:send(backend)
end

```

```

    if (#queue == 0) then
        -- stop accepting work from clients, when no workers are available.
        poller:remove(frontend)
        is_accepting = false
    end
end

-- Handle worker activity on backend
poller:add(backend, zmq.POLLIN, function()
    local msg = zmq.recv(backend)
    local identity = msg:unwrap()

    -- Return reply to client if it's not a control message
    if (msg:parts() == 1) then
        if (msg:address() == "READY") then
            s_worker_delete(queue, identity)
            s_worker_append(queue, identity)
        elseif (msg:address() == "HEARTBEAT") then
            s_worker_refresh(queue, identity)
        else
            printf("E: invalid message from %s\n", identity)
            msg:dump()
        end
    else
        -- reply for client.
        msg:send(frontend)
        s_worker_append(queue, identity)
    end

    -- start accepting client requests, if we are not already doing so.
    if not is_accepting and #queue > 0 then
        is_accepting = true
        poller:add(frontend, zmq.POLLIN, frontend_cb)
    end
end)

-- start poller's event loop
while true do
    local cnt = assert(poller:poll(HEARTBEAT_INTERVAL * 1000))
    -- Send heartbeats to idle workers if it's time
    if (s_clock() > heartbeat_at) then
        for i=1,#queue do
            local msg = zmq.new("HEARTBEAT")
            msg:wrap(queue[i], nil)
            msg:send(backend)
        end
        heartbeat_at = s_clock() + HEARTBEAT_INTERVAL
    end
    s_queue_purge(queue)
end

-- We never exit the main loop
-- But pretend to do the right shutdown anyhow

```



```

while (#queue > 0) do
    s_worker_dequeue(queue)
end

frontend:close()
backend:close()

```

The queue extends the load balancing pattern with heartbeating of workers. Heartbeating is one of those "simple" things that can be difficult to get right. I'll explain more about that in a second.

Here is the Paranoid Pirate worker:

Example 4-6. Paranoid Pirate worker (ppworker.lua)

```

--
--  Paranoid Pirate worker
--
--  Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.poller"
require "zmsg"

local HEARTBEAT_LIVENESS    = 3          -- 3-5 is reasonable
local HEARTBEAT_INTERVAL    = 1000       -- msec
local INTERVAL_INIT         = 1000       -- Initial reconnect
local INTERVAL_MAX          = 32000      -- After exponential backoff

--  Helper function that returns a new configured socket
--  connected to the Hello World server
--
local identity

local function s_worker_socket (context)
    local worker = context:socket(zmq.DEALER)

    --  Set random identity to make tracing easier
    identity = string.format("%04X-%04X", randof (0x10000), randof (0x10000))
    worker:setopt(zmq.IDENTITY, identity)
    worker:connect("tcp://localhost:5556")

    --  Configure socket to not wait at close time
    worker:setopt(zmq.LINGER, 0)

    --  Tell queue we're ready for work
    printf("I: (%s) worker ready\n", identity)
    worker:send("READY")

    return worker
end

```

```

s_version_assert (2, 1)
math.randomseed(os.time())

local context = zmq.init(1)
local worker = s_worker_socket (context)

-- If liveness hits zero, queue is considered disconnected
local liveness = HEARTBEAT_LIVENESS
local interval = INTERVAL_INIT

-- Send out heartbeats at regular intervals
local heartbeat_at = s_clock () + HEARTBEAT_INTERVAL

local poller = zmq.poller(1)

local is_running = true

local cycles = 0
local function worker_cb()
    -- Get message
    -- - 3-part envelope + content -> request
    -- - 1-part "HEARTBEAT" -> heartbeat
    local msg = zmq.recv (worker)

    if (msg:parts() == 3) then
        -- Simulate various problems, after a few cycles
        cycles = cycles + 1
        if (cycles > 3 and randof (5) == 0) then
            printf ("I: (%s) simulating a crash\n", identity)
            is_running = false
            return
        elseif (cycles > 3 and randof (5) == 0) then
            printf ("I: (%s) simulating CPU overload\n",
                    identity)
            s_sleep (5000)
        end
        printf ("I: (%s) normal reply - %s\n",
                identity, msg:body())
        msg:send(worker)
        liveness = HEARTBEAT_LIVENESS
        s_sleep(1000);          -- Do some heavy work
    elseif (msg:parts() == 1 and msg:body() == "HEARTBEAT") then
        liveness = HEARTBEAT_LIVENESS
    else
        printf ("E: (%s) invalid message\n", identity)
        msg:dump()
    end
    interval = INTERVAL_INIT
end
poller:add(worker, zmq.POLLIN, worker_cb)

while is_running do
    local cnt = assert(poller:poll(HEARTBEAT_INTERVAL * 1000))

```

```

if (cnt == 0) then
    liveness = liveness - 1
    if (liveness == 0) then
        printf ("W: (%s) heartbeat failure, can't reach queue\n",
            identity)
        printf ("W: (%s) reconnecting in %d msec...\n",
            identity, interval)
        s_sleep (interval)

        if (interval < INTERVAL_MAX) then
            interval = interval * 2
        end
        poller:remove(worker)
        worker:close()
        worker = s_worker_socket (context)
        poller:add(worker, zmq.POLLIN, worker_cb)
        liveness = HEARTBEAT_LIVENESS
    end
end
-- Send heartbeat to queue if it's time
if (s_clock () > heartbeat_at) then
    heartbeat_at = s_clock () + HEARTBEAT_INTERVAL
    printf("I: (%s) worker heartbeat\n", identity)
    worker:send("HEARTBEAT")
end
end
worker:close()
context:term()

```

Some comments about this example:

- The code includes simulation of failures, as before. This makes it (a) very hard to debug, and (b) dangerous to reuse. When you want to debug this, disable the failure simulation.
- The worker uses a reconnect strategy similar to the one we designed for the Lazy Pirate client, with two major differences: (a) it does an exponential back-off, and (b) it retries indefinitely (whereas the client retries a few times before reporting a failure).

Try the client, queue, and workers, such as by using a script like this:

```

ppqueue &
for i in 1 2 3 4; do
    ppworker &
    sleep 1
done
lpclient &

```

You should see the workers die one-by-one as they simulate a crash, and the client eventually give up. You can stop and restart the queue and both client and workers will reconnect and carry on. And no

matter what you do to queues and workers, the client will never get an out-of-order reply: the whole chain either works, or the client abandons.

4.6. Heartbeating

Heartbeating solves the problem of knowing whether a peer is alive or dead. This is not an issue specific to ZeroMQ. TCP has a long timeout (30 minutes or so), that means that it can be impossible to know whether a peer has died, been disconnected, or gone on a weekend to Prague with a case of vodka, a redhead, and a large expense account.

It's not easy to get heartbeating right. When writing the Paranoid Pirate examples, it took about five hours to get the heartbeating working properly. The rest of the request-reply chain took perhaps ten minutes. It is especially easy to create "false failures", i.e., when peers decide that they are disconnected because the heartbeats aren't sent properly.

We'll look at the three main answers people use for heartbeating with ZeroMQ.

4.6.1. Shrugging It Off

The most common approach is to do no heartbeating at all and hope for the best. Many if not most ZeroMQ applications do this. ZeroMQ encourages this by hiding peers in many cases. What problems does this approach cause?

- When we use a ROUTER socket in an application that tracks peers, as peers disconnect and reconnect, the application will leak memory (resources that the application holds for each peer) and get slower and slower.
- When we use SUB- or DEALER-based data recipients, we can't tell the difference between good silence (there's no data) and bad silence (the other end died). When a recipient knows the other side died, it can for example switch over to a backup route.
- If we use a TCP connection that stays silent for a long while, it will, in some networks, just die. Sending something (technically, a "keep-alive" more than a heartbeat), will keep the network alive.

4.6.2. One-Way Heartbeats

A second option is to send a heartbeat message from each node to its peers every second or so. When one node hears nothing from another within some timeout (several seconds, typically), it will treat that peer as dead. Sounds good, right? Sadly, no. This works in some cases but has nasty edge cases in others.

For pub-sub, this does work, and it's the only model you can use. SUB sockets cannot talk back to PUB sockets, but PUB sockets can happily send "I'm alive" messages to their subscribers.

As an optimization, you can send heartbeats only when there is no real data to send. Furthermore, you can send heartbeats progressively slower and slower, if network activity is an issue (e.g., on mobile networks where activity drains the battery). As long as the recipient can detect a failure (sharp stop in activity), that's fine.

Here are the typical problems with this design:

- It can be inaccurate when we send large amounts of data, as heartbeats will be delayed behind that data. If heartbeats are delayed, you can get false timeouts and disconnections due to network congestion. Thus, always treat *any* incoming data as a heartbeat, whether or not the sender optimizes out heartbeats.
- While the pub-sub pattern will drop messages for disappeared recipients, PUSH and DEALER sockets will queue them. So if you send heartbeats to a dead peer and it comes back, it will get all the heartbeats you sent, which can be thousands. Whoa, whoa!
- This design assumes that heartbeat timeouts are the same across the whole network. But that won't be accurate. Some peers will want very aggressive heartbeating in order to detect faults rapidly. And some will want very relaxed heartbeating, in order to let sleeping networks lie and save power.

4.6.3. Ping-Pong Heartbeats

The third option is to use a ping-pong dialog. One peer sends a ping command to the other, which replies with a pong command. Neither command has any payload. Pings and pongs are not correlated. Because the roles of "client" and "server" are arbitrary in some networks, we usually specify that either peer can in fact send a ping and expect a pong in response. However, because the timeouts depend on network topologies known best to dynamic clients, it is usually the client that pings the server.

This works for all ROUTER-based brokers. The same optimizations we used in the second model make this work even better: treat any incoming data as a pong, and only send a ping when not otherwise sending data.

4.6.4. Heartbeating for Paranoid Pirate

For Paranoid Pirate, we chose the second approach. It might not have been the simplest option: if designing this today, I'd probably try a ping-pong approach instead. However the principles are similar. The heartbeat messages flow asynchronously in both directions, and either peer can decide the other is "dead" and stop talking to it.

In the worker, this is how we handle heartbeats from the queue:

- We calculate a *liveness*, which is how many heartbeats we can still miss before deciding the queue is dead. It starts at three and we decrement it each time we miss a heartbeat.
- We wait, in the `zmq_poll` loop, for one second each time, which is our heartbeat interval.

- If there's any message from the queue during that time, we reset our liveness to three.
- If there's no message during that time, we count down our liveness.
- If the liveness reaches zero, we consider the queue dead.
- If the queue is dead, we destroy our socket, create a new one, and reconnect.
- To avoid opening and closing too many sockets, we wait for a certain interval before reconnecting, and we double the interval each time until it reaches 32 seconds.

And this is how we handle heartbeats *to* the queue:

- We calculate when to send the next heartbeat; this is a single variable because we're talking to one peer, the queue.
- In the `zmq_poll` loop, whenever we pass this time, we send a heartbeat to the queue.

Here's the essential heartbeating code for the worker:

```
#define HEARTBEAT_LIVENESS 3          // 3-5 is reasonable
#define HEARTBEAT_INTERVAL 1000      // msecs
#define INTERVAL_INIT 1000           // Initial reconnect
#define INTERVAL_MAX 32000           // After exponential backoff

...
// If liveness hits zero, queue is considered disconnected
size_t liveness = HEARTBEAT_LIVENESS;
size_t interval = INTERVAL_INIT;

// Send out heartbeats at regular intervals
uint64_t heartbeat_at = zclock_time () + HEARTBEAT_INTERVAL;

while (true) {
    zmq_pollitem_t items [] = { { worker, 0, ZMQ_POLLIN, 0 } };
    int rc = zmq_poll (items, 1, HEARTBEAT_INTERVAL * ZMQ_POLL_MSEC);

    if (items [0].revents & ZMQ_POLLIN) {
        // Receive any message from queue
        liveness = HEARTBEAT_LIVENESS;
        interval = INTERVAL_INIT;
    }
    else
    if (--liveness == 0) {
        zclock_sleep (interval);
        if (interval < INTERVAL_MAX)
            interval *= 2;
        zsocket_destroy (ctx, worker);
        ...
        liveness = HEARTBEAT_LIVENESS;
    }
    // Send heartbeat to queue if it's time
    if (zclock_time () > heartbeat_at) {
        heartbeat_at = zclock_time () + HEARTBEAT_INTERVAL;
    }
}
```

```

        // Send heartbeat message to queue
    }
}

```

The queue does the same, but manages an expiration time for each worker.

Here are some tips for your own heartbeating implementation:

- Use `zmq_poll` or a reactor as the core of your application's main task.
- Start by building the heartbeating between peers, test it by simulating failures, and *then* build the rest of the message flow. Adding heartbeating afterwards is much trickier.
- Use simple tracing, i.e., print to console, to get this working. To help you trace the flow of messages between peers, use a dump method such as `zmsg` offers, and number your messages incrementally so you can see if there are gaps.
- In a real application, heartbeating must be configurable and usually negotiated with the peer. Some peers will want aggressive heartbeating, as low as 10 msec. Other peers will be far away and want heartbeating as high as 30 seconds.
- If you have different heartbeat intervals for different peers, your poll timeout should be the lowest (shortest time) of these. Do not use an infinite timeout.
- Do heartbeating on the same socket you use for messages, so your heartbeats also act as a *keep-alive* to stop the network connection from going stale (some firewalls can be unkind to silent connections).

4.7. Contracts and Protocols

If you're paying attention, you'll realize that Paranoid Pirate is not interoperable with Simple Pirate, because of the heartbeats. But how do we define "interoperable"? To guarantee interoperability, we need a kind of contract, an agreement that lets different teams in different times and places write code that is guaranteed to work together. We call this a "protocol".

It's fun to experiment without specifications, but that's not a sensible basis for real applications. What happens if we want to write a worker in another language? Do we have to read code to see how things work? What if we want to change the protocol for some reason? Even a simple protocol will, if it's successful, evolve and become more complex.

Lack of contracts is a sure sign of a disposable application. So let's write a contract for this protocol. How do we do that?

There's a wiki at rfc.zeromq.org (<http://rfc.zeromq.org>) that we made especially as a home for public ZeroMQ contracts.

To create a new specification, register on the wiki if needed, and follow the instructions. It's fairly straightforward, though writing technical texts is not everyone's cup of tea.

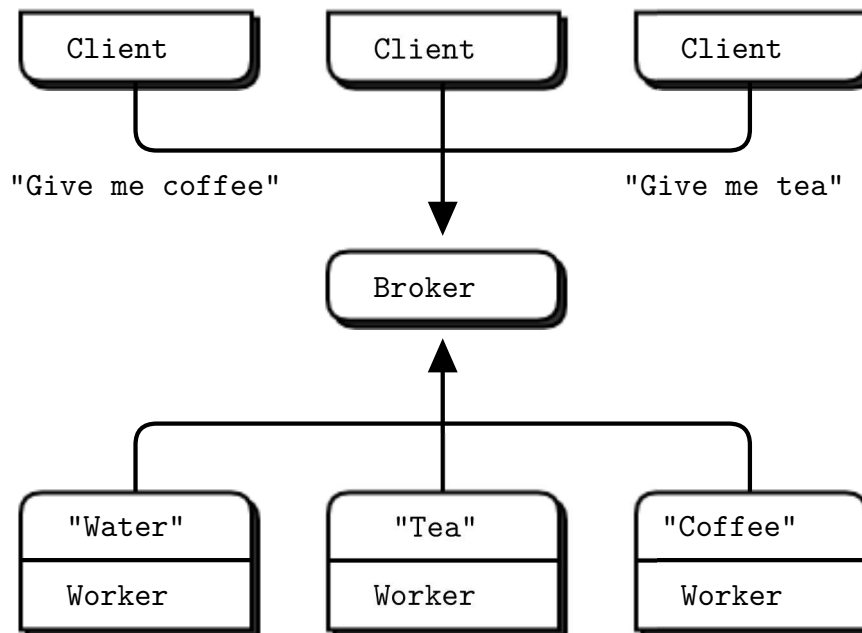
It took me about fifteen minutes to draft the new Pirate Pattern Protocol (<http://rfc.zeromq.org/spec:6>). It's not a big specification, but it does capture enough to act as the basis for arguments ("your queue isn't PPP compatible; please fix it!").

Turning PPP into a real protocol would take more work:

- There should be a protocol version number in the READY command so that it's possible to distinguish between different versions of PPP.
- Right now, READY and HEARTBEAT are not entirely distinct from requests and replies. To make them distinct, we would need a message structure that includes a "message type" part.

4.8. Service-Oriented Reliable Queuing (Majordomo Pattern)

Figure 4-4. The Majordomo Pattern



The nice thing about progress is how fast it happens when lawyers and committees aren't involved. The one-page MDP specification (<http://rfc.zeromq.org/spec:7>) turns PPP into something more solid. Figure 4-5. This is how we should design complex architectures: start by writing down the contracts, and only *then* write software to implement them.

The Majordomo Protocol (MDP) extends and improves on PPP in one interesting way: it adds a "service name" to requests that the client sends, and asks workers to register for specific services. Adding service names turns our Paranoid Pirate queue into a service-oriented broker. The nice thing about MDP is that it came out of working code, a simpler ancestor protocol (PPP), and a precise set of improvements that each solved a clear problem. This made it easy to draft.

To implement Majordomo, we need to write a framework for clients and workers. It's really not sane to ask every application developer to read the spec and make it work, when they could be using a simpler API that does the work for them.

So while our first contract (MDP itself) defines how the pieces of our distributed architecture talk to each other, our second contract defines how user applications talk to the technical framework we're going to design.

Majordomo has two halves, a client side and a worker side. Because we'll write both client and worker applications, we will need two APIs. Here is a sketch for the client API, using a simple object-oriented approach:

```
mdcli_t *mdcli_new      (char *broker);
void      mdcli_destroy (mdcli_t **self_p);
zmsg_t *mdcli_send      (mdcli_t *self, char *service, zmsg_t **request_p);
```

That's it. We open a session to the broker, send a request message, get a reply message back, and eventually close the connection. Here's a sketch for the worker API:

```
mdwrk_t *mdwrk_new      (char *broker, char *service);
void      mdwrk_destroy (mdwrk_t **self_p);
zmsg_t *mdwrk_recv      (mdwrk_t *self, zmsg_t *reply);
```

It's more or less symmetrical, but the worker dialog is a little different. The first time a worker does a `recv()`, it passes a null reply. Thereafter, it passes the current reply, and gets a new request.

The client and worker APIs were fairly simple to construct because they're heavily based on the Paranoid Pirate code we already developed. Here is the client API:

Example 4-7. Majordomo client API (mdcliapi.lua)

```
--
-- mdcliapi.lua - Majordomo Protocol Client API
--
-- Author: Robert G. Jakobosky <bobby@sharedrealm.com>
--

local setmetatable = setmetatable

local mdp = require "mdp"
```

```

local zmq = require"zmq"
local zpoller = require"zmq.poller"
local zmsg = require"zmsg"
require"zhelpers"

local s_version_assert = s_version_assert

local obj_mt = {}
obj_mt.__index = obj_mt

function obj_mt:set_timeout(timeout)
    self.timeout = timeout
end

function obj_mt:set_retries(retries)
    self.retries = retries
end

function obj_mt:destroy()
    if self.client then self.client:close() end
    self.context:term()
end

local function s_mdcli_connect_to_broker(self)
    -- close old socket.
    if self.client then
        self.poller:remove(self.client)
        self.client:close()
    end
    self.client = assert(self.context:socket(zmq.REQ))
    assert(self.client:setopt(zmq.LINGER, 0))
    assert(self.client:connect(self.broker))
    if self.verbose then
        s_console("I: connecting to broker at %s...", self.broker)
    end
    -- add socket to poller
    self.poller:add(self.client, zmq.POLLIN, function()
        self.got_reply = true
    end)
end

--
-- Send request to broker and get reply by hook or crook
-- Returns the reply message or nil if there was no reply.
--
function obj_mt:send(service, request)
    -- Prefix request with protocol frames
    -- Frame 1: "MDPCxy" (six bytes, MDP/Client x.y)
    -- Frame 2: Service name (printable string)
    request:push(service)
    request:push(mdp.MDPC_CLIENT)
    if self.verbose then
        s_console("I: send request to '%s' service:", service)
    end
end

```

```

        request:dump()
    end

    local retries = self.retries
    while (retries > 0) do
        local msg = request:dup()
        msg:send(self.client)
        self.got_reply = false

        while true do
            local cnt = assert(self.poller:poll(self.timeout * 1000))
            if cnt ~= 0 and self.got_reply then
                local msg = zmq.recv(self.client)
                if self.verbose then
                    s_console("I: received reply:")
                    msg:dump()
                end
                assert(msg:parts() >= 3)

                local header = msg:pop()
                assert(header == mdp.MDPC_CLIENT)
                local reply_service = msg:pop()
                assert(reply_service == service)
                return msg
            else
                retries = retries - 1
                if (retries > 0) then
                    if self.verbose then
                        s_console("W: no reply, reconnecting...")
                    end
                    -- Reconnect
                    s_mdcli_connect_to_broker(self)
                    break -- outer loop will resend request.
                else
                    if self.verbose then
                        s_console("W: permanent error, abandoning request")
                    end
                    return nil -- Giving up
                end
            end
        end
    end
end
end
end

module(...)

function new(broker, verbose)
    s_version_assert(2, 1);
    local self = setmetatable({
        context = zmq.init(1),
        poller = zpoller.new(1),
        broker = broker,
        verbose = verbose,

```

```

        timeout = 2500, -- msec
        retries = 3,    -- before we abandon
    }, obj_mt)

    s_mdcli_connect_to_broker(self)
    return self
end

setmetatable(_M, { __call = function(self, ...) return new(...) end })

```

Let's see how the client API looks in action, with an example test program that does 100K request-reply cycles:

Example 4-8. Majordomo client application (mdcli.lua)

```

--
-- Majordomo Protocol client example
-- Uses the mdcli API to hide all MDP aspects
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "mdcliapi"
require "zmsg"
require "zhelpers"

local verbose = (arg[1] == "-v")
local session = mdcliapi.new("tcp://localhost:5555", verbose)

local count = 1
repeat
    local request = zmsg.new("Hello world")
    local reply = session:send("echo", request)
    if not reply then
        break -- Interrupt or failure
    end
    count = count + 1
until (count == 100000)
printf("%d requests/replies processed\n", count)
session:destroy()

```

And here is the worker API:

Example 4-9. Majordomo worker API (mdwrkapi.lua)

```

--
-- mdwrkapi.lua - Majordomo Protocol Worker API
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

```

```

local HEARTBEAT_LIVENESS = 3 -- 3-5 is reasonable

local setmetatable = setmetatable

local mdp = require"mdp"

local zmq = require"zmq"
local zpoller = require"zmq.poller"
local zmsg = require"zmsg"
require"zhelpers"

local s_version_assert = s_version_assert

local obj_mt = {}
obj_mt.__index = obj_mt

function obj_mt:set_heartbeat(heartbeat)
    self.heartbeat = heartbeat
end

function obj_mt:set_reconnect(reconnect)
    self.reconnect = reconnect
end

function obj_mt:destroy()
    if self.worker then self.worker:close() end
    self.context:term()
end

-- Send message to broker
-- If no msg is provided, create one internally
local function s_mdwrk_send_to_broker(self, command, option, msg)
    msg = msg or zmsg.new()

    -- Stack protocol envelope to start of message
    if option then
        msg:push(option)
    end
    msg:push(command)
    msg:push(mdp.MDPW_WORKER)
    msg:push("")

    if self.verbose then
        s_console("I: sending %s to broker", mdp.mdps_commands[command])
        msg:dump()
    end
    msg:send(self.worker)
end

local function s_mdwrk_connect_to_broker(self)
    -- close old socket.
    if self.worker then
        self.poller:remove(self.worker)
    end
end

```

```

        self.worker:close()
    end
    self.worker = assert(self.context:socket(zmq.DEALER))
    assert(self.worker:setopt(zmq.LINGER, 0))
    assert(self.worker:connect(self.broker))
    if self.verbose then
        s_console("I: connecting to broker at %s...", self.broker)
    end
    -- Register service with broker
    s_mdwrk_send_to_broker(self, mdp.MDPW_READY, self.service)
    -- If liveness hits zero, queue is considered disconnected
    self.liveness = HEARTBEAT_LIVENESS
    self.heartbeat_at = s_clock() + self.heartbeat
    -- add socket to poller
    self.poller:add(self.worker, zmq.POLLIN, function()
        self.got_msg = true
    end)
end

--
-- Send reply, if any, to broker and wait for next request.
--
function obj_mt:recv(reply)
    -- Format and send the reply if we are provided one
    if reply then
        assert(self.reply_to)
        reply:wrap(self.reply_to, "")
        self.reply_to = nil
        s_mdwrk_send_to_broker(self, mdp.MDPW_REPLY, nil, reply)
    end
    self.expect_reply = true

    self.got_msg = false
    while true do
        local cnt = assert(self.poller:poll(self.heartbeat * 1000))
        if cnt ~= 0 and self.got_msg then
            self.got_msg = false
            local msg = zmq.recv(self.worker)
            if self.verbose then
                s_console("I: received message from broker:")
                msg:dump()
            end
            self.liveness = HEARTBEAT_LIVENESS
            -- Don't try to handle errors, just assert noisily
            assert(msg:parts() >= 3)

            local empty = msg:pop()
            assert(empty == "")

            local header = msg:pop()
            assert(header == mdp.MDPW_WORKER)

            local command = msg:pop()

```

```

    if command == mdp.MDPW_REQUEST then
        -- We should pop and save as many addresses as there are
        -- up to a null part, but for now, just save one...
        self.reply_to = msg:unwrap()
        return msg -- We have a request to process
    elseif command == mdp.MDPW_HEARTBEAT then
        -- Do nothing for heartbeats
    elseif command == mdp.MDPW_DISCONNECT then
        -- dis-connect and re-connect to broker.
        s_mdwrk_connect_to_broker(self)
    else
        s_console("E: invalid input message (%d)", command:byte(1,1))
        msg:dump()
    end
else
    self.liveness = self.liveness - 1
    if (self.liveness == 0) then
        if self.verbose then
            s_console("W: disconnected from broker - retrying...")
        end
        -- sleep then Reconnect
        s_sleep(self.reconnect)
        s_mdwrk_connect_to_broker(self)
    end

    -- Send HEARTBEAT if it's time
    if (s_clock() > self.heartbeat_at) then
        s_mdwrk_send_to_broker(self, mdp.MDPW_HEARTBEAT)
        self.heartbeat_at = s_clock() + self.heartbeat
    end
end
end
end

module(...)

function new(broker, service, verbose)
    s_version_assert(2, 1);
    local self = setmetatable({
        context = zmq.init(1),
        poller = zpoller.new(1),
        broker = broker,
        service = service,
        verbose = verbose,
        heartbeat = 2500, -- msec
        reconnect = 2500, -- msec
    }, obj_mt)

    s_mdwrk_connect_to_broker(self)
    return self
end

setmetatable(_M, { __call = function(self, ...) return new(...) end })

```

Let's see how the worker API looks in action, with an example test program that implements an echo service:

Example 4-10. Majordomo worker application (mdworker.lua)

```
--
-- Majordomo Protocol worker example
-- Uses the mdwrk API to hide all MDP aspects
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "mdwrkapi"
require "zmsg"

local verbose = (arg[1] == "-v")
local session = mdwrkapi.new("tcp://localhost:5555", "echo", verbose)

local reply
while true do
    local request = session:recv(reply)
    if not request then
        break -- Worker was interrupted
    end
    reply = request -- Echo is complex... :-)
end
session:destroy()
```

Here are some things to note about the worker API code:

- The APIs are single-threaded. This means, for example, that the worker won't send heartbeats in the background. Happily, this is exactly what we want: if the worker application gets stuck, heartbeats will stop and the broker will stop sending requests to the worker.
- The worker API doesn't do an exponential back-off; it's not worth the extra complexity.
- The APIs don't do any error reporting. If something isn't as expected, they raise an assertion (or exception depending on the language). This is ideal for a reference implementation, so any protocol errors show immediately. For real applications, the API should be robust against invalid messages.

You might wonder why the worker API is manually closing its socket and opening a new one, when ZeroMQ will automatically reconnect a socket if the peer disappears and comes back. Look back at the Simple Pirate and Paranoid Pirate workers to understand. Although ZeroMQ will automatically reconnect workers if the broker dies and comes back up, this isn't sufficient to re-register the workers with the broker. I know of at least two solutions. The simplest, which we use here, is for the worker to monitor the connection using heartbeats, and if it decides the broker is dead, to close its socket and start afresh with a new socket. The alternative is for the broker to challenge unknown workers when it gets a heartbeat from the worker and ask them to re-register. That would require protocol support.

Now let's design the Majordomo broker. Its core structure is a set of queues, one per service. We will create these queues as workers appear (we could delete them as workers disappear, but forget that for now because it gets complex). Additionally, we keep a queue of workers per service.

And here is the broker:

Example 4-11. Majordomo broker (mdbroker.lua)

```
--
-- Majordomo Protocol broker
-- A minimal implementation of http://rfc.zeromq.org/spec:7 and spec:8
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.poller"
require "zmsg"
require "zhelpers"
require "mdp"

local tremove = table.remove

-- We'd normally pull these from config data

local HEARTBEAT_LIVENESS = 3      -- 3-5 is reasonable
local HEARTBEAT_INTERVAL = 2500  -- msec
local HEARTBEAT_EXPIRY   = HEARTBEAT_INTERVAL * HEARTBEAT_LIVENESS

-- -----
-- Constructor for broker object
-- -----

-- Broker object's metatable.
local broker_mt = {}
broker_mt.__index = broker_mt

function broker_new(verbose)
    local context = zmq.init(1)
    -- Initialize broker state
    return setmetatable({
        context = context,
        socket = context:socket(zmq.ROUTER),
        verbose = verbose,
        services = {},
        workers = {},
        waiting = {},
        heartbeat_at = s_clock() + HEARTBEAT_INTERVAL,
    }, broker_mt)
end

-- -----
-- Service object
```

```

local service_mt = {}
service_mt.__index = service_mt

-- Worker object
local worker_mt = {}
worker_mt.__index = worker_mt

-- helper list remove function
local function zlist_remove(list, item)
    for n=#list,1,-1 do
        if list[n] == item then
            tremove(list, n)
        end
    end
end

-- -----
-- Destructor for broker object

function broker_mt:destroy()
    self.socket:close()
    self.context:term()
    for name, service in pairs(self.services) do
        service:destroy()
    end
    for id, worker in pairs(self.workers) do
        worker:destroy()
    end
end

-- -----
-- Bind broker to endpoint, can call this multiple times
-- We use a single socket for both clients and workers.

function broker_mt:bind(endpoint)
    self.socket:bind(endpoint)
    s_console("I: MDP broker/0.1.1 is active at %s", endpoint)
end

-- -----
-- Delete any idle workers that haven't pinged us in a while.

function broker_mt:purge_workers()
    local waiting = self.waiting
    for n=1,#waiting do
        local worker = waiting[n]
        if (worker:expired()) then
            if (self.verbose) then
                s_console("I: deleting expired worker: %s", worker.identity)
            end

            self:worker_delete(worker, false)
        end
    end
end

```

```

        end
    end

    -- -----
    -- Locate or create new service entry

    function broker_mt:service_require(name)
        assert (name)
        local service = self.services[name]
        if not service then
            service = setmetatable({
                name = name,
                requests = {},
                waiting = {},
                workers = 0,
            }, service_mt)
            self.services[name] = service
            if (self.verbose) then
                s_console("I: received message:")
            end
        end
        return service
    end

    -- -----
    -- Destroy service object, called when service is removed from
    -- broker.services.

    function service_mt:destroy()
    end

    -- -----
    -- Dispatch requests to waiting workers as possible

    function broker_mt:service_dispatch(service, msg)
        assert (service)
        local requests = service.requests
        if (msg) then
            -- Queue message if any
            requests[#requests + 1] = msg
        end

        self:purge_workers()
        local waiting = service.waiting
        while (#waiting > 0 and #requests > 0) do
            local worker = tremove(waiting, 1) -- pop worker from service's waiting queue.
            zlist_remove(self.waiting, worker) -- also remove worker from broker's waiting queue
            local msg = tremove(requests, 1) -- pop request from service's request queue.
            self:worker_send(worker, mdp.MDPW_REQUEST, nil, msg)
        end
    end

    -- -----
    -- Handle internal service according to 8/MMI specification

```

```

function broker_mt:service_internal(service_name, msg)
    if (service_name == "mmi.service") then
        local name = msg:body()
        local service = self.services[name]
        if (service and service.workers) then
            msg:body_set("200")
        else
            msg:body_set("404")
        end
    else
        msg:body_set("501")
    end

    -- Remove & save client return envelope and insert the
    -- protocol header and service name, then rewrap envelope.
    local client = msg:unwrap()
    msg:wrap(mdp.MDPC_CLIENT, service_name)
    msg:wrap(client, "")

    msg:send(self.socket)
end

-- -----
-- Creates worker if necessary

function broker_mt:worker_require(identity)
    assert (identity)

    -- self.workers is keyed off worker identity
    local worker = self.workers[identity]
    if (not worker) then
        worker = setmetatable({
            identity = identity,
            expiry = 0,
        }, worker_mt)
        self.workers[identity] = worker
        if (self.verbose) then
            s_console("I: registering new worker: %s", identity)
        end
    end
    return worker
end

-- -----
-- Deletes worker from all data structures, and destroys worker

function broker_mt:worker_delete(worker, disconnect)
    assert (worker)
    if (disconnect) then
        self:worker_send(worker, mdp.MDPW_DISCONNECT)
    end
    local service = worker.service

```

```

    if (service) then
        zlist_remove (service.waiting, worker)
        service.workers = service.workers - 1
    end
    zlist_remove (self.waiting, worker)
    self.workers[worker.identity] = nil
    worker:destroy()
end

-- -----
-- Destroy worker object, called when worker is removed from
-- broker.workers.

function worker_mt:destroy(argument)
end

-- -----
-- Process message sent to us by a worker

function broker_mt:worker_process(sender, msg)
    assert (msg:parts() >= 1)      -- At least, command

    local command = msg:pop()
    local worker_ready = (self.workers[sender] ~= nil)
    local worker = self:worker_require(sender)

    if (command == mdp.MDPW_READY) then
        if (worker_ready) then      -- Not first command in session then
            self:worker_delete(worker, true)
        elseif (sender:sub(1,4) == "mmi.") then -- Reserved service name
            self:worker_delete(worker, true)
        else
            -- Attach worker to service and mark as idle
            local service_name = msg:pop()
            local service = self:service_require(service_name)
            worker.service = service
            service.workers = service.workers + 1
            self:worker_waiting(worker)
        end
    elseif (command == mdp.MDPW_REPLY) then
        if (worker_ready) then
            -- Remove & save client return envelope and insert the
            -- protocol header and service name, then rewrap envelope.
            local client = msg:unwrap()
            msg:wrap(mdp.MDPC_CLIENT, worker.service.name)
            msg:wrap(client, "")

            msg:send(self.socket)
            self:worker_waiting(worker)
        else
            self:worker_delete(worker, true)
        end
    elseif (command == mdp.MDPW_HEARTBEAT) then

```

```

        if (worker_ready) then
            worker.expiry = s_clock() + HEARTBEAT_EXPIRY
        else
            self:worker_delete(worker, true)
        end
    elseif (command == mdp.MDPW_DISCONNECT) then
        self:worker_delete(worker, false)
    else
        s_console("E: invalid input message (%d)", command:byte(1,1))
        msg:dump()
    end
end

-- -----
-- Send message to worker
-- If pointer to message is provided, sends & destroys that message

function broker_mt:worker_send(worker, command, option, msg)
    msg = msg and msg:dup() or zmsg.new()

    -- Stack protocol envelope to start of message
    if (option) then -- Optional frame after command
        msg:push(option)
    end
    msg:push(command)
    msg:push(mdp.MDPW_WORKER)
    -- Stack routing envelope to start of message
    msg:wrap(worker.identity, "")

    if (self.verbose) then
        s_console("I: sending %s to worker", mdp.mdps_commands[command])
        msg:dump()
    end
    msg:send(self.socket)
end

-- -----
-- This worker is now waiting for work

function broker_mt:worker_waiting(worker)
    -- Queue to broker and service waiting lists
    self.waiting[#self.waiting + 1] = worker
    worker.service.waiting[#worker.service.waiting + 1] = worker
    worker.expiry = s_clock() + HEARTBEAT_EXPIRY
    self:service_dispatch(worker.service, nil)
end

-- -----
-- Return 1 if worker has expired and must be deleted

function worker_mt:expired()
    return (self.expiry < s_clock())
end

```

```

-- -----
-- Process a request coming from a client

function broker_mt:client_process(sender, msg)
    assert (msg:parts() >= 2)      -- Service name + body

    local service_name = msg:pop()
    local service = self:service_require(service_name)
    -- Set reply return address to client sender
    msg:wrap(sender, "")
    if (service_name:sub(1,4) == "mmi.") then
        self:service_internal(service_name, msg)
    else
        self:service_dispatch(service, msg)
    end
end

-- -----
-- Main broker work happens here

local verbose = (arg[1] == "-v")

s_version_assert (2, 1)
s_catch_signals ()
local self = broker_new(verbose)
self:bind("tcp://*:5555")

local poller = zmq.poller.new(1)

-- Process next input message, if any
poller:add(self.socket, zmq.POLLIN, function()
    local msg = zmq.recv(self.socket)
    if (self.verbose) then
        s_console("I: received message:")
        msg:dump()
    end
    local sender = msg:pop()
    local empty = msg:pop()
    local header = msg:pop()

    if (header == mdp.MDPC_CLIENT) then
        self:client_process(sender, msg)
    elseif (header == mdp.MDPW_WORKER) then
        self:worker_process(sender, msg)
    else
        s_console("E: invalid message:")
        msg:dump()
    end
end)

-- Get and process messages forever or until interrupted
while (not s_interrupted) do

```

```

local cnt = assert(poller:poll(HEARTBEAT_INTERVAL * 1000))
-- Disconnect and delete any expired workers
-- Send heartbeats to idle workers if needed
if (s_clock() > self.heartbeat_at) then
    self:purge_workers()
    local waiting = self.waiting
    for n=1,#waiting do
        local worker = waiting[n]
        self:worker_send(worker, mdp.MDPW_HEARTBEAT)
    end
    self.heartbeat_at = s_clock() + HEARTBEAT_INTERVAL
end
end
if (s_interrupted) then
    printf("W: interrupt received, shutting down...\n")
end
self:destroy()

```

This is by far the most complex example we’ve seen. It’s almost 500 lines of code. To write this and make it somewhat robust took two days. However, this is still a short piece of code for a full service-oriented broker.

Here are some things to note about the broker code:

- The Majordomo Protocol lets us handle both clients and workers on a single socket. This is nicer for those deploying and managing the broker: it just sits on one ZeroMQ endpoint rather than the two that most proxies need.
- The broker implements all of MDP/0.1 properly (as far as I know), including disconnection if the broker sends invalid commands, heartbeating, and the rest.
- It can be extended to run multiple threads, each managing one socket and one set of clients and workers. This could be interesting for segmenting large architectures. The C code is already organized around a broker class to make this trivial.
- A primary/failover or live/live broker reliability model is easy, as the broker essentially has no state except service presence. It’s up to clients and workers to choose another broker if their first choice isn’t up and running.
- The examples use five-second heartbeats, mainly to reduce the amount of output when you enable tracing. Realistic values would be lower for most LAN applications. However, any retry has to be slow enough to allow for a service to restart, say 10 seconds at least.

We later improved and extended the protocol and the Majordomo implementation, which now sits in its own Github project. If you want a properly usable Majordomo stack, use the GitHub project.

4.9. Asynchronous Majordomo Pattern

The Majordomo implementation in the previous section is simple and stupid. The client is just the original Simple Pirate, wrapped up in a sexy API. When I fire up a client, broker, and worker on a test box, it can process 100,000 requests in about 14 seconds. That is partially due to the code, which cheerfully copies message frames around as if CPU cycles were free. But the real problem is that we're doing network round-trips. ZeroMQ disables Nagle's algorithm (http://en.wikipedia.org/wiki/Nagles_algorithm), but round-tripping is still slow.

Theory is great in theory, but in practice, practice is better. Let's measure the actual cost of round-tripping with a simple test program. This sends a bunch of messages, first waiting for a reply to each message, and second as a batch, reading all the replies back as a batch. Both approaches do the same work, but they give very different results. We mock up a client, broker, and worker:

Example 4-12. Round-trip demonstrator (tripping.lua)

```
--
-- Round-trip demonstrator
--
-- While this example runs in a single process, that is just to make
-- it easier to start and stop the example. Each thread has its own
-- context and conceptually acts as a separate process.
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.threads"
require "zmsg"

local common_code = [[
    require "zmq"
    require "zmsg"
    require "zhelpers"
]]

local client_task = common_code .. [[
    local context = zmq.init(1)
    local client = context:socket(zmq.DEALER)
    client:setopt(zmq.IDENTITY, "C", 1)
    client:connect("tcp://localhost:5555")

    printf("Setting up test...\n")
    s_sleep(100)

    local requests
    local start

    printf("Synchronous round-trip test...\n")
    requests = 10000
    start = s_clock()
```

```

    for n=1,requests do
        local msg = zmq.new("HELLO")
        msg:send(client)
        msg = zmq.recv(client)
    end
    printf(" %d calls/second\n",
        (1000 * requests) / (s_clock() - start))

    printf("Asynchronous round-trip test...\n")
    requests = 100000
    start = s_clock()
    for n=1,requests do
        local msg = zmq.new("HELLO")
        msg:send(client)
    end
    for n=1,requests do
        local msg = zmq.recv(client)
    end
    printf(" %d calls/second\n",
        (1000 * requests) / (s_clock() - start))

    client:close()
    context:term()
]]

local worker_task = common_code .. [[
    local context = zmq.init(1)
    local worker = context:socket(zmq.DEALER)
    worker:setopt(zmq.IDENTITY, "W", 1)
    worker:connect("tcp://localhost:5556")

    while true do
        local msg = zmq.recv(worker)
        msg:send(worker)
    end
    worker:close()
    context:term()
]]

local broker_task = common_code .. [[
    -- Prepare our context and sockets
    local context = zmq.init(1)
    local frontend = context:socket(zmq.ROUTER)
    local backend = context:socket(zmq.ROUTER)
    frontend:bind("tcp://*:5555")
    backend:bind("tcp://*:5556")

    require"zmq.poller"
    local poller = zmq.poller(2)
    poller:add(frontend, zmq.POLLIN, function()
        local msg = zmq.recv(frontend)
        --msg[1] = "W"
        msg:pop()
    end)
]]

```

```

        msg:push("W")
        msg:send(backend)
    end)
    poller:add(backend, zmq.POLLIN, function()
        local msg = zmq.recv(backend)
        --msg[1] = "C"
        msg:pop()
        msg:push("C")
        msg:send(frontend)
    end)
    poller:start()
    frontend:close()
    backend:close()
    context:term()
]]

s_version_assert(2, 1)

local client = zmq.threads.runstring(nil, client_task)
assert(client:start())
local worker = zmq.threads.runstring(nil, worker_task)
assert(worker:start(true))
local broker = zmq.threads.runstring(nil, broker_task)
assert(broker:start(true))

assert(client:join())

```

On my development box, this program says:

```

Setting up test...
Synchronous round-trip test...
  9057 calls/second
Asynchronous round-trip test...
 173010 calls/second

```

Note that the client thread does a small pause before starting. This is to get around one of the "features" of the router socket: if you send a message with the address of a peer that's not yet connected, the message gets discarded. In this example we don't use the load balancing mechanism, so without the sleep, if the worker thread is too slow to connect, it will lose messages, making a mess of our test.

As we see, round-tripping in the simplest case is 20 times slower than the asynchronous, "shove it down the pipe as fast as it'll go" approach. Let's see if we can apply this to Majordomo to make it faster.

First, we modify the client API to send and receive in two separate methods:

```

mdcli_t *mdcli_new      (char *broker);
void      mdcli_destroy (mdcli_t **self_p);
int      mdcli_send     (mdcli_t *self, char *service, zmq_msg_t **request_p);
zmq_msg_t *mdcli_recv   (mdcli_t *self);

```

It's literally a few minutes' work to refactor the synchronous client API to become asynchronous:

Example 4-13. Majordomo asynchronous client API (mdcliapi2.lua)

```
--
-- mdcliapi2.lua - Majordomo Protocol Client API (async version)
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

local setmetatable = setmetatable

local mdp = require "mdp"

local zmq = require "zmq"
local zpoller = require "zmq.poller"
local zmsg = require "zmsg"
require "zhelpers"

local s_version_assert = s_version_assert

local obj_mt = {}
obj_mt.__index = obj_mt

function obj_mt:set_timeout(timeout)
    self.timeout = timeout
end

function obj_mt:destroy()
    if self.client then self.client:close() end
    self.context:term()
end

local function s_mdcli_connect_to_broker(self)
    -- close old socket.
    if self.client then
        self.poller:remove(self.client)
        self.client:close()
    end
    self.client = assert(self.context:socket(zmq.DEALER))
    assert(self.client:setopt(zmq.LINGER, 0))
    assert(self.client:connect(self.broker))
    if self.verbose then
        s_console("I: connecting to broker at %s...", self.broker)
    end
    -- add socket to poller
    self.poller:add(self.client, zmq.POLLIN, function()
        self.got_reply = true
    end)
end

--
-- Send request to broker and get reply by hook or crook
```

```

--
function obj_mt:send(service, request)
    -- Prefix request with protocol frames
    -- Frame 0: empty (REQ emulation)
    -- Frame 1: "MDPCxy" (six bytes, MDP/Client x.y)
    -- Frame 2: Service name (printable string)
    request:push(service)
    request:push(mdp.MDPC_CLIENT)
    request:push("")
    if self.verbose then
        s_console("I: send request to '%s' service:", service)
        request:dump()
    end
    request:send(self.client)
    return 0
end

-- Returns the reply message or NULL if there was no reply. Does not
-- attempt to recover from a broker failure, this is not possible
-- without storing all unanswered requests and resending them all...
function obj_mt:recv()
    self.got_reply = false

    local cnt = assert(self.poller:poll(self.timeout * 1000))
    if cnt ~= 0 and self.got_reply then
        local msg = zmsg.recv(self.client)
        if self.verbose then
            s_console("I: received reply:")
            msg:dump()
        end
        assert(msg:parts() >= 3)

        local empty = msg:pop()
        assert(empty == "")

        local header = msg:pop()
        assert(header == mdp.MDPC_CLIENT)

        return msg
    end
    if self.verbose then
        s_console("W: permanent error, abandoning request")
    end
    return nil -- Giving up
end

module(...)

function new(broker, verbose)
    s_version_assert(2, 1);
    local self = setmetatable({
        context = zmq.init(1),
        poller = zpoller.new(1),

```

```

        broker = broker,
        verbose = verbose,
        timeout = 2500, -- msec
    }, obj_mt)

    s_mdcli_connect_to_broker(self)
    return self
end

setmetatable(_M, { __call = function(self, ...) return new(...) end })

```

The differences are:

- We use a DEALER socket instead of REQ, so we emulate REQ with an empty delimiter frame before each request and each response.
- We don't retry requests; if the application needs to retry, it can do this itself.
- We break the synchronous `send` method into separate `send` and `recv` methods.
- The `send` method is asynchronous and returns immediately after sending. The caller can thus send a number of messages before getting a response.
- The `recv` method waits for (with a timeout) one response and returns that to the caller.

And here's the corresponding client test program, which sends 100,000 messages and then receives 100,000 back:

Example 4-14. Majordomo client application (mdcli2.lua)

```

--
-- Majordomo Protocol client example - asynchronous
-- Uses the mdcli API to hide all MDP aspects
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--

require "mdcliapi2"
require "zmsg"
require "zhelpers"

local verbose = (arg[1] == "-v")
local session = mdcliapi2.new("tcp://localhost:5555", verbose)

local count=100000
for n=1,count do
    local request = zmsg.new("Hello world")
    session:send("echo", request)
end
for n=1,count do
    local reply = session:recv()
    if not reply then
        break -- Interrupted by Ctrl-C
    end
end

```

```

        end
    end
    printf("%d replies received\n", count)
    session:destroy()

```

The broker and worker are unchanged because we've not modified the protocol at all. We see an immediate improvement in performance. Here's the synchronous client chugging through 100K request-reply cycles:

```

$ time mdclient
100000 requests/replies processed

real    0m14.088s
user    0m1.310s
sys     0m2.670s

```

And here's the asynchronous client, with a single worker:

```

$ time mdclient2
100000 replies received

real    0m8.730s
user    0m0.920s
sys     0m1.550s

```

Twice as fast. Not bad, but let's fire up 10 workers and see how it handles the traffic

```

$ time mdclient2
100000 replies received

real    0m3.863s
user    0m0.730s
sys     0m0.470s

```

It isn't fully asynchronous because workers get their messages on a strict last-used basis. But it will scale better with more workers. On my PC, after eight or so workers, it doesn't get any faster. Four cores only stretches so far. But we got a 4x improvement in throughput with just a few minutes' work. The broker is still unoptimized. It spends most of its time copying message frames around, instead of doing zero-copy, which it could. But we're getting 25K reliable request/reply calls a second, with pretty low effort.

However, the asynchronous Majordomo pattern isn't all roses. It has a fundamental weakness, namely that it cannot survive a broker crash without more work. If you look at the `mdcliapi2` code you'll see it does not attempt to reconnect after a failure. A proper reconnect would require the following:

- A number on every request and a matching number on every reply, which would ideally require a change to the protocol to enforce.
- Tracking and holding onto all outstanding requests in the client API, i.e., those for which no reply has yet been received.

- In case of failover, for the client API to *resend* all outstanding requests to the broker.

It's not a deal breaker, but it does show that performance often means complexity. Is this worth doing for Majordomo? It depends on your use case. For a name lookup service you call once per session, no. For a web frontend serving thousands of clients, probably yes.

4.10. Service Discovery

So, we have a nice service-oriented broker, but we have no way of knowing whether a particular service is available or not. We know whether a request failed, but we don't know why. It is useful to be able to ask the broker, "is the echo service running?" The most obvious way would be to modify our MDP/Client protocol to add commands to ask this. But MDP/Client has the great charm of being simple. Adding service discovery to it would make it as complex as the MDP/Worker protocol.

Another option is to do what email does, and ask that undeliverable requests be returned. This can work well in an asynchronous world, but it also adds complexity. We need ways to distinguish returned requests from replies and to handle these properly.

Let's try to use what we've already built, building on top of MDP instead of modifying it. Service discovery is, itself, a service. It might indeed be one of several management services, such as "disable service X", "provide statistics", and so on. What we want is a general, extensible solution that doesn't affect the protocol or existing applications.

So here's a small RFC that layers this on top of MDP: the Majordomo Management Interface (MMI) (<http://rfc.zeromq.org/spec:8>). We already implemented it in the broker, though unless you read the whole thing you probably missed that. I'll explain how it works in the broker:

- When a client requests a service that starts with `mmi.`, instead of routing this to a worker, we handle it internally.
- We handle just one service in this broker, which is `mmi.service`, the service discovery service.
- The payload for the request is the name of an external service (a real one, provided by a worker).
- The broker returns "200" (OK) or "404" (Not found), depending on whether there are workers registered for that service or not.

Here's how we use the service discovery in an application:

Example 4-15. Service discovery over Majordomo (`mmiecho.lua`)

```
--
-- MMI echo query example
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
```



```

require "mdcliapi"
require "zmsg"
require "zhelpers"

local verbose = (arg[1] == "-v")
local session = mdcliapi.new("tcp://localhost:5555", verbose)

-- This is the service we want to look up
local request = zmsg.new("echo")

-- This is the service we send our request to
local reply = session:send("mmi.service", request)

if (reply) then
    printf ("Lookup echo service: %s\n", reply:body())
else
    printf ("E: no response from broker, make sure it's running\n")
end

session:destroy()

```

Try this with and without a worker running, and you should see the little program report "200" or "404" accordingly. The implementation of MMI in our example broker is flimsy. For example, if a worker disappears, services remain "present". In practice, a broker should remove services that have no workers after some configurable timeout.

4.11. Idempotent Services

Idempotency is not something you take a pill for. What it means is that it's safe to repeat an operation. Checking the clock is idempotent. Lending ones credit card to ones children is not. While many client-to-server use cases are idempotent, some are not. Examples of idempotent use cases include:

- Stateless task distribution, i.e., a pipeline where the servers are stateless workers that compute a reply based purely on the state provided by a request. In such a case, it's safe (though inefficient) to execute the same request many times.
- A name service that translates logical addresses into endpoints to bind or connect to. In such a case, it's safe to make the same lookup request many times.

And here are examples of a non-idempotent use cases:

- A logging service. One does not want the same log information recorded more than once.
- Any service that has impact on downstream nodes, e.g., sends on information to other nodes. If that service gets the same request more than once, downstream nodes will get duplicate information.
- Any service that modifies shared data in some non-idempotent way; e.g., a service that debits a bank account is not idempotent without extra work.

When our server applications are not idempotent, we have to think more carefully about when exactly they might crash. If an application dies when it's idle, or while it's processing a request, that's usually fine. We can use database transactions to make sure a debit and a credit are always done together, if at all. If the server dies while sending its reply, that's a problem, because as far as it's concerned, it has done its work.

If the network dies just as the reply is making its way back to the client, the same problem arises. The client will think the server died and will resend the request, and the server will do the same work twice, which is not what we want.

To handle non-idempotent operations, use the fairly standard solution of detecting and rejecting duplicate requests. This means:

- The client must stamp every request with a unique client identifier and a unique message number.
- The server, before sending back a reply, stores it using the combination of client ID and message number as a key.
- The server, when getting a request from a given client, first checks whether it has a reply for that client ID and message number. If so, it does not process the request, but just resends the reply.

4.12. Disconnected Reliability (Titanic Pattern)

Once you realize that Majordomo is a "reliable" message broker, you might be tempted to add some spinning rust (that is, ferrous-based hard disk platters). After all, this works for all the enterprise messaging systems. It's such a tempting idea that it's a little sad to have to be negative toward it. But brutal cynicism is one of my specialties. So, some reasons you don't want rust-based brokers sitting in the center of your architecture are:

- As you've seen, the Lazy Pirate client performs surprisingly well. It works across a whole range of architectures, from direct client-to-server to distributed queue proxies. It does tend to assume that workers are stateless and idempotent. But we can work around that limitation without resorting to rust.
- Rust brings a whole set of problems, from slow performance to additional pieces that you have to manage, repair, and handle 6 a.m. panics from, as they inevitably break at the start of daily operations. The beauty of the Pirate patterns in general is their simplicity. They won't crash. And if you're still worried about the hardware, you can move to a peer-to-peer pattern that has no broker at all. I'll explain later in this chapter.

Having said this, however, there is one sane use case for rust-based reliability, which is an asynchronous disconnected network. It solves a major problem with Pirate, namely that a client has to wait for an answer in real time. If clients and workers are only sporadically connected (think of email as an analogy), we can't use a stateless network between clients and workers. We have to put state in the middle.

So, here's the Titanic patternFigure 4-5, in which we write messages to disk to ensure they never get lost, no matter how sporadically clients and workers are connected. As we did for service discovery, we're

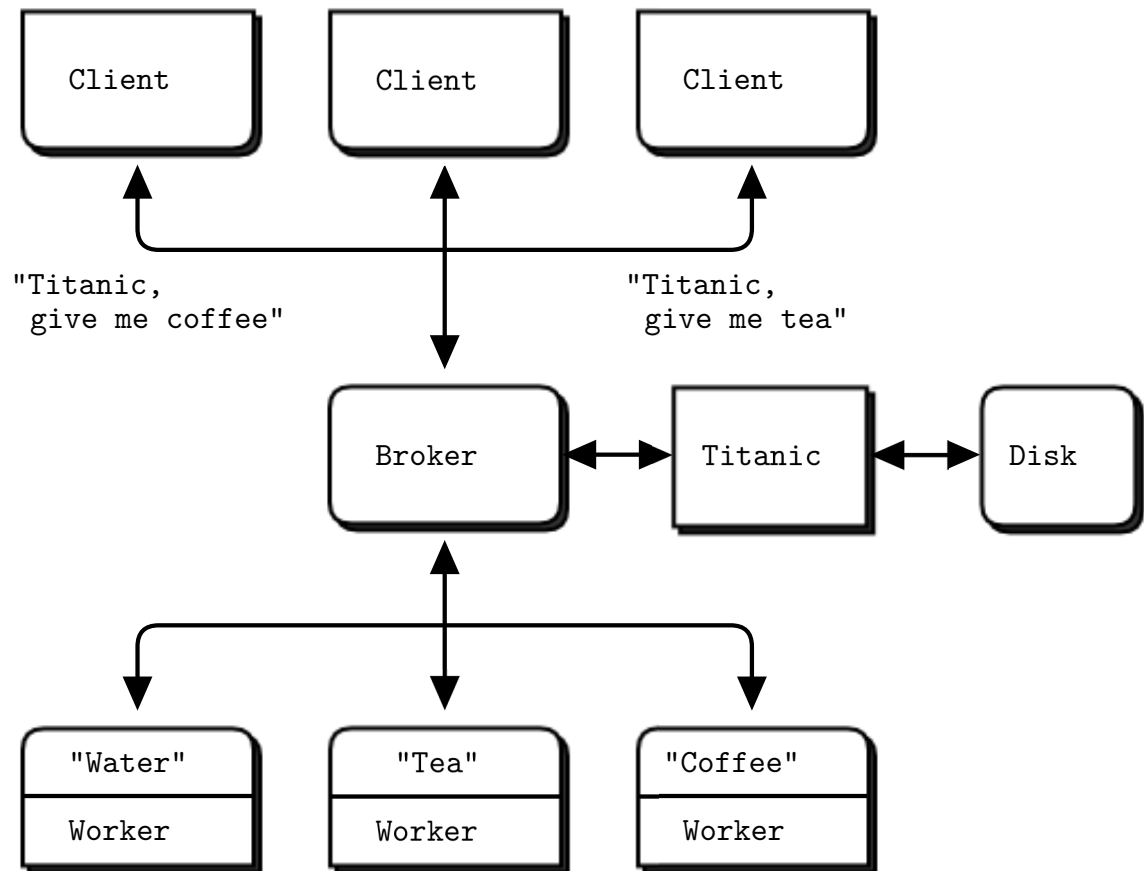
going to layer Titanic on top of MDP rather than extend it. It's wonderfully lazy because it means we can implement our fire-and-forget reliability in a specialized worker, rather than in the broker. This is excellent for several reasons:

- It is *much* easier because we divide and conquer: the broker handles message routing and the worker handles reliability.
- It lets us mix brokers written in one language with workers written in another.
- It lets us evolve the fire-and-forget technology independently.

The only downside is that there's an extra network hop between broker and hard disk. The benefits are easily worth it.

There are many ways to make a persistent request-reply architecture. We'll aim for one that is simple and painless. The simplest design I could come up with, after playing with this for a few hours, is a "proxy service". That is, Titanic doesn't affect workers at all. If a client wants a reply immediately, it talks directly to a service and hopes the service is available. If a client is happy to wait a while, it talks to Titanic instead and asks, "hey, buddy, would you take care of this for me while I go buy my groceries?"

Figure 4-5. The Titanic Pattern



Titanic is thus both a worker and a client. The dialog between client and Titanic goes along these lines:

- Client: Please accept this request for me. Titanic: OK, done.
- Client: Do you have a reply for me? Titanic: Yes, here it is. Or, no, not yet.
- Client: OK, you can wipe that request now, I'm happy. Titanic: OK, done.

Whereas the dialog between Titanic and broker and worker goes like this:

- Titanic: Hey, Broker, is there an coffee service? Broker: Uhm, Yeah, seems like.
- Titanic: Hey, coffee service, please handle this for me.
- Coffee: Sure, here you are.
- Titanic: Sweeeet!

You can work through this and the possible failure scenarios. If a worker crashes while processing a request, Titanic retries indefinitely. If a reply gets lost somewhere, Titanic will retry. If the request gets

processed but the client doesn't get the reply, it will ask again. If Titanic crashes while processing a request or a reply, the client will try again. As long as requests are fully committed to safe storage, work can't get lost.

The handshaking is pedantic, but can be pipelined, i.e., clients can use the asynchronous Majordomo pattern to do a lot of work and then get the responses later.

We need some way for a client to request *its* replies. We'll have many clients asking for the same services, and clients disappear and reappear with different identities. Here is a simple, reasonably secure solution:

- Every request generates a universally unique ID (UUID), which Titanic returns to the client after it has queued the request.
- When a client asks for a reply, it must specify the UUID for the original request.

In a realistic case, the client would want to store its request UUIDs safely, e.g., in a local database.

Before we jump off and write yet another formal specification (fun, fun!), let's consider how the client talks to Titanic. One way is to use a single service and send it three different request types. Another way, which seems simpler, is to use three services:

- `titanic.request`: store a request message, and return a UUID for the request.
- `titanic.reply`: fetch a reply, if available, for a given request UUID.
- `titanic.close`: confirm that a reply has been stored and processed.

We'll just make a multithreaded worker, which as we've seen from our multithreading experience with ZeroMQ, is trivial. However, let's first sketch what Titanic would look like in terms of ZeroMQ messages and frames. This gives us the Titanic Service Protocol (TSP) (<http://rfc.zeromq.org/spec:9>).

Using TSP is clearly more work for client applications than accessing a service directly via MDP. Here's the shortest robust "echo" client example:

Example 4-16. Titanic client example (ticlient.lua)

(This example still needs translation into Lua)

Of course this can be, and should be, wrapped up in some kind of framework or API. It's not healthy to ask average application developers to learn the full details of messaging: it hurts their brains, costs time, and offers too many ways to make buggy complexity. Additionally, it makes it hard to add intelligence.

For example, this client blocks on each request whereas in a real application, we'd want to be doing useful work while tasks are executed. This requires some nontrivial plumbing to build a background thread and talk to that cleanly. It's the kind of thing you want to wrap in a nice simple API that the average developer cannot misuse. It's the same approach that we used for Majordomo.

Here's the Titanic implementation. This server handles the three services using three threads, as proposed. It does full persistence to disk using the most brutal approach possible: one file per message. It's so simple, it's scary. The only complex part is that it keeps a separate queue of all requests, to avoid reading the directory over and over:

Example 4-17. Titanic broker example (titanic.lua)

(This example still needs translation into Lua)

To test this, start `mdbroker` and `titanic`, and then run `ticlient`. Now start `mdworker` arbitrarily, and you should see the client getting a response and exiting happily.

Some notes about this code:

- Note that some loops start by sending, others by receiving messages. This is because Titanic acts both as a client and a worker in different roles.
- The Titanic broker uses the MMI service discovery protocol to send requests only to services that appear to be running. Since the MMI implementation in our little Majordomo broker is quite poor, this won't work all the time.
- We use an inproc connection to send new request data from the `titanic.request` service through to the main dispatcher. This saves the dispatcher from having to scan the disk directory, load all request files, and sort them by date/time.

The important thing about this example is not performance (which, although I haven't tested it, is surely terrible), but how well it implements the reliability contract. To try it, start the `mdbroker` and `titanic` programs. Then start the `ticlient`, and then start the `mdworker` echo service. You can run all four of these using the `-v` option to do verbose activity tracing. You can stop and restart any piece *except the client* and nothing will get lost.

If you want to use Titanic in real cases, you'll rapidly be asking "how do we make this faster?"

Here's what I'd do, starting with the example implementation:

- Use a single disk file for all data, rather than multiple files. Operating systems are usually better at handling a few large files than many smaller ones.
- Organize that disk file as a circular buffer so that new requests can be written contiguously (with very occasional wraparound). One thread, writing full speed to a disk file, can work rapidly.
- Keep the index in memory and rebuild the index at startup time, from the disk buffer. This saves the extra disk head flutter needed to keep the index fully safe on disk. You would want an `fsync` after every message, or every *N* milliseconds if you were prepared to lose the last *M* messages in case of a system failure.
- Use a solid-state drive rather than spinning iron oxide platters.
- Pre-allocate the entire file, or allocate it in large chunks, which allows the circular buffer to grow and shrink as needed. This avoids fragmentation and ensures that most reads and writes are contiguous.

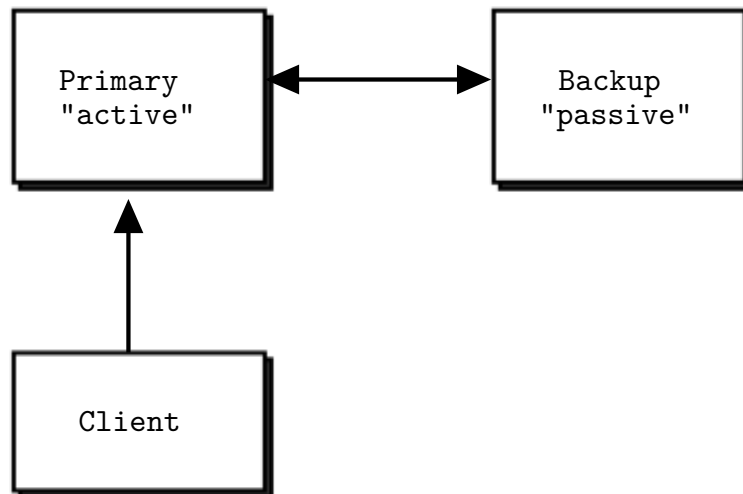
And so on. What I'd not recommend is storing messages in a database, not even a "fast" key/value store, unless you really like a specific database and don't have performance worries. You will pay a steep price for the abstraction, ten to a thousand times over a raw disk file.

If you want to make Titanic *even more reliable*, duplicate the requests to a second server, which you'd place in a second location just far away enough to survive a nuclear attack on your primary location, yet not so far that you get too much latency.

If you want to make Titanic *much faster and less reliable*, store requests and replies purely in memory. This will give you the functionality of a disconnected network, but requests won't survive a crash of the Titanic server itself.

4.13. High-Availability Pair (Binary Star Pattern)

Figure 4-6. High-Availability Pair, Normal Operation



The Binary Star pattern puts two servers in a primary-backup high-availability pair. At any given time, one of these (the active) accepts connections from client applications. The other (the passive) does nothing, but the two servers monitor each other. If the active disappears from the network, after a certain time the passive takes over as active.

We developed the Binary Star pattern at iMatix for our OpenAMQ server (<http://www.openamq.org>). We designed it:

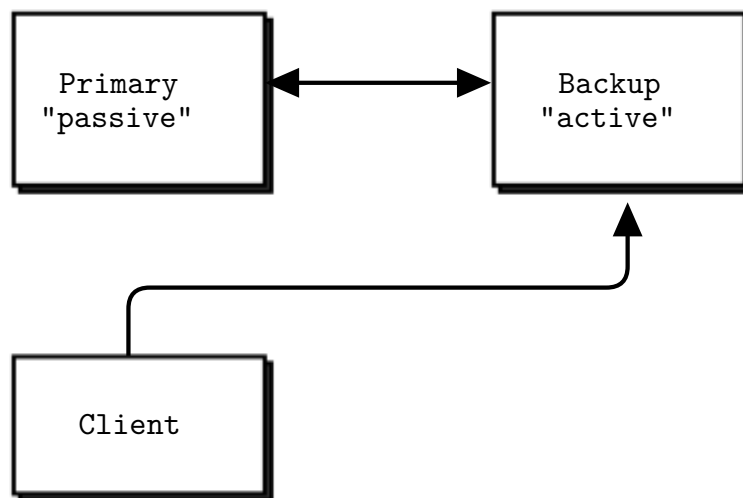
- To provide a straightforward high-availability solution.
- To be simple enough to actually understand and use.

- To fail over reliably when needed, and only when needed.

Assuming we have a Binary Star pair running, here are the different scenarios that will result in a failover Figure 4-7:

- The hardware running the primary server has a fatal problem (power supply explodes, machine catches fire, or someone simply unplugs it by mistake), and disappears. Applications see this, and reconnect to the backup server.
- The network segment on which the primary server sits crashes--perhaps a router gets hit by a power spike--and applications start to reconnect to the backup server.
- The primary server crashes or is killed by the operator and does not restart automatically.

Figure 4-7. High-availability Pair During Failover



Recovery from failover works as follows:

- The operators restart the primary server and fix whatever problems were causing it to disappear from the network.
- The operators stop the backup server at a moment when it will cause minimal disruption to applications.
- When applications have reconnected to the primary server, the operators restart the backup server.

Recovery (to using the primary server as active) is a manual operation. Painful experience teaches us that automatic recovery is undesirable. There are several reasons:

- Failover creates an interruption of service to applications, possibly lasting 10-30 seconds. If there is a real emergency, this is much better than total outage. But if recovery creates a further 10-30 second outage, it is better that this happens off-peak, when users have gone off the network.

- When there is an emergency, the absolute first priority is certainty for those trying to fix things. Automatic recovery creates uncertainty for system administrators, who can no longer be sure which server is in charge without double-checking.
- Automatic recovery can create situations where networks fail over and then recover, placing operators in the difficult position of analyzing what happened. There was an interruption of service, but the cause isn't clear.

Having said this, the Binary Star pattern will fail back to the primary server if this is running (again) and the backup server fails. In fact, this is how we provoke recovery.

The shutdown process for a Binary Star pair is to either:

1. Stop the passive server and then stop the active server at any later time, or
2. Stop both servers in any order but within a few seconds of each other.

Stopping the active and then the passive server with any delay longer than the failover timeout will cause applications to disconnect, then reconnect, and then disconnect again, which may disturb users.

4.13.1. Detailed Requirements

Binary Star is as simple as it can be, while still working accurately. In fact, the current design is the third complete redesign. Each of the previous designs we found to be too complex, trying to do too much, and we stripped out functionality until we came to a design that was understandable, easy to use, and reliable enough to be worth using.

These are our requirements for a high-availability architecture:

- The failover is meant to provide insurance against catastrophic system failures, such as hardware breakdown, fire, accident, and so on. There are simpler ways to recover from ordinary server crashes and we already covered these.
- Failover time should be under 60 seconds and preferably under 10 seconds.
- Failover has to happen automatically, whereas recovery must happen manually. We want applications to switch over to the backup server automatically, but we do not want them to switch back to the primary server except when the operators have fixed whatever problem there was and decided that it is a good time to interrupt applications again.
- The semantics for client applications should be simple and easy for developers to understand. Ideally, they should be hidden in the client API.
- There should be clear instructions for network architects on how to avoid designs that could lead to *split brain syndrome*, in which both servers in a Binary Star pair think they are the active server.
- There should be no dependencies on the order in which the two servers are started.
- It must be possible to make planned stops and restarts of either server without stopping client applications (though they may be forced to reconnect).

- Operators must be able to monitor both servers at all times.
- It must be possible to connect the two servers using a high-speed dedicated network connection. That is, failover synchronization must be able to use a specific IP route.

We make the following assumptions:

- A single backup server provides enough insurance; we don't need multiple levels of backup.
- The primary and backup servers are equally capable of carrying the application load. We do not attempt to balance load across the servers.
- There is sufficient budget to cover a fully redundant backup server that does nothing almost all the time.

We don't attempt to cover the following:

- The use of an active backup server or load balancing. In a Binary Star pair, the backup server is inactive and does no useful work until the primary server goes offline.
- The handling of persistent messages or transactions in any way. We assume the existence of a network of unreliable (and probably untrusted) servers or Binary Star pairs.
- Any automatic exploration of the network. The Binary Star pair is manually and explicitly defined in the network and is known to applications (at least in their configuration data).
- Replication of state or messages between servers. All server-side state must be recreated by applications when they fail over.

Here is the key terminology that we use in Binary Star:

- *Primary*: the server that is normally or initially active.
- *Backup*: the server that is normally passive. It will become active if and when the primary server disappears from the network, and when client applications ask the backup server to connect.
- *Active*: the server that accepts client connections. There is at most one active server.
- *Passive*: the server that takes over if the active disappears. Note that when a Binary Star pair is running normally, the primary server is active, and the backup is passive. When a failover has happened, the roles are switched.

To configure a Binary Star pair, you need to:

1. Tell the primary server where the backup server is located.
2. Tell the backup server where the primary server is located.
3. Optionally, tune the failover response times, which must be the same for both servers.

The main tuning concern is how frequently you want the servers to check their peering status, and how quickly you want to activate failover. In our example, the failover timeout value defaults to 2,000 msec. If you reduce this, the backup server will take over as active more rapidly but may take over in cases where the primary server could recover. For example, you may have wrapped the primary server in a

shell script that restarts it if it crashes. In that case, the timeout should be higher than the time needed to restart the primary server.

For client applications to work properly with a Binary Star pair, they must:

1. Know both server addresses.
2. Try to connect to the primary server, and if that fails, to the backup server.
3. Detect a failed connection, typically using heartbeating.
4. Try to reconnect to the primary, and then backup (in that order), with a delay between retries that is at least as high as the server failover timeout.
5. Recreate all of the state they require on a server.
6. Retransmit messages lost during a failover, if messages need to be reliable.

It's not trivial work, and we'd usually wrap this in an API that hides it from real end-user applications.

These are the main limitations of the Binary Star pattern:

- A server process cannot be part of more than one Binary Star pair.
- A primary server can have a single backup server, and no more.
- The passive server does no useful work, and is thus wasted.
- The backup server must be capable of handling full application loads.
- Failover configuration cannot be modified at runtime.
- Client applications must do some work to benefit from failover.

4.13.2. Preventing Split-Brain Syndrome

Split-brain syndrome occurs when different parts of a cluster think they are active at the same time. It causes applications to stop seeing each other. Binary Star has an algorithm for detecting and eliminating split brain, which is based on a three-way decision mechanism (a server will not decide to become active until it gets application connection requests and it cannot see its peer server).

However, it is still possible to (mis)design a network to fool this algorithm. A typical scenario would be a Binary Star pair, that is distributed between two buildings, where each building also had a set of applications and where there was a single network link between both buildings. Breaking this link would create two sets of client applications, each with half of the Binary Star pair, and each failover server would become active.

To prevent split-brain situations, we must connect a Binary Star pair using a dedicated network link, which can be as simple as plugging them both into the same switch or, better, using a crossover cable directly between two machines.

We must not split a Binary Star architecture into two islands, each with a set of applications. While this may be a common type of network architecture, you should use federation, not high-availability failover, in such cases.

A suitably paranoid network configuration would use two private cluster interconnects, rather than a single one. Further, the network cards used for the cluster would be different from those used for message traffic, and possibly even on different paths on the server hardware. The goal is to separate possible failures in the network from possible failures in the cluster. Network ports can have a relatively high failure rate.

4.13.3. Binary Star Implementation

Without further ado, here is a proof-of-concept implementation of the Binary Star server. The primary and backup servers run the same code, you choose their roles when you run the code:

Example 4-18. Binary Star server (bstarsrv.lua)

(This example still needs translation into Lua)

And here is the client:

Example 4-19. Binary Star client (bstarcli.lua)

(This example still needs translation into Lua)

To test Binary Star, start the servers and client in any order:

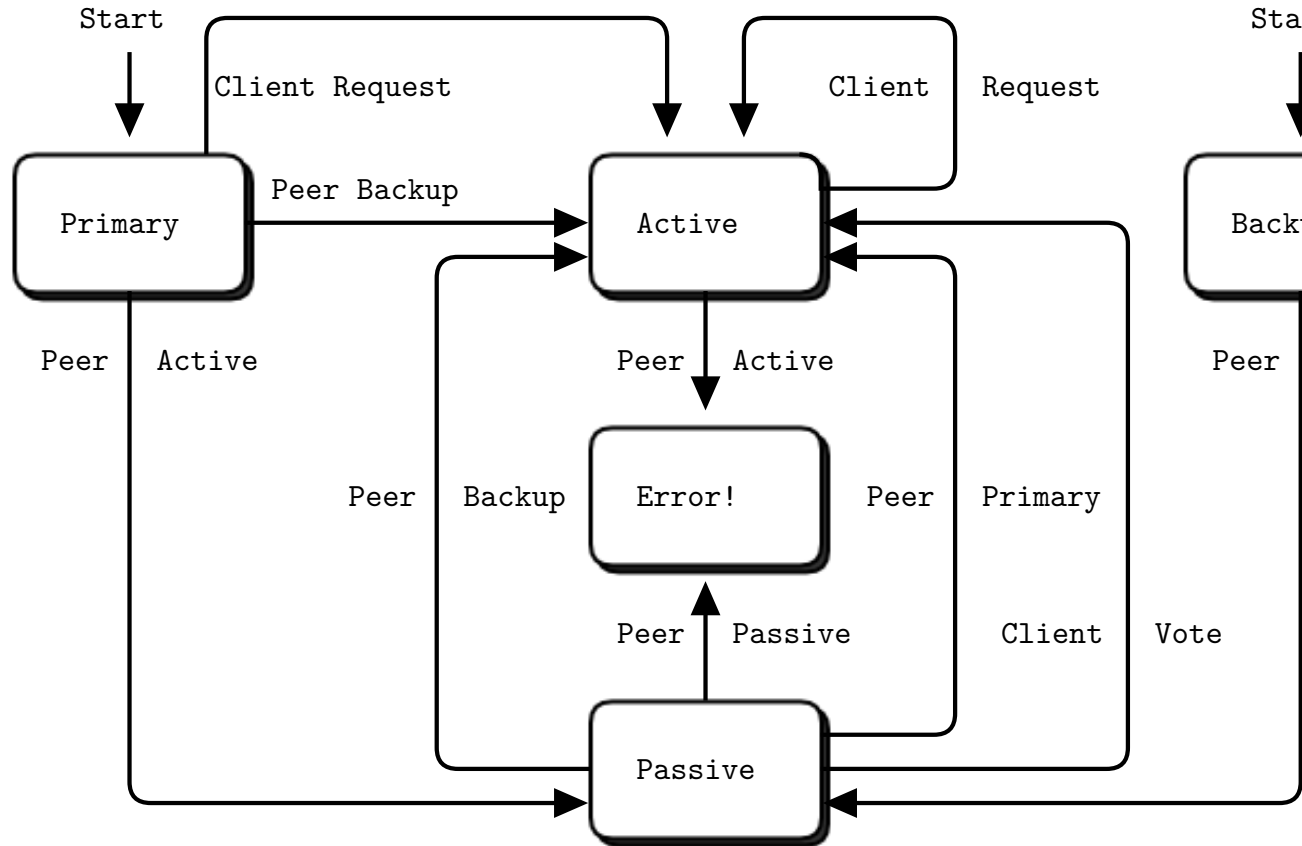
```
bstarsrv -p      # Start primary
bstarsrv -b      # Start backup
bstarcli
```

You can then provoke failover by killing the primary server, and recovery by restarting the primary and killing the backup. Note how it's the client vote that triggers failover, and recovery.

Binary star is driven by a finite state machineFigure 4-8. Events are the peer state, so "Peer Active" means the other server has told us it's active. "Client Request" means we've received a client request. "Client Vote" means we've received a client request AND our peer is inactive for two heartbeats.

Note that the servers use PUB-SUB sockets for state exchange. No other socket combination will work here. PUSH and DEALER block if there is no peer ready to receive a message. PAIR does not reconnect if the peer disappears and comes back. ROUTER needs the address of the peer before it can send it a message.

Figure 4-8. Binary Star Finite State Machine



4.13.4. Binary Star Reactor

Binary Star is useful and generic enough to package up as a reusable reactor class. The reactor then runs and calls our code whenever it has a message to process. This is much nicer than copying/pasting the Binary Star code into each server where we want that capability.

In C, we wrap the CZMQ `zloop` class that we saw before. `zloop` lets you register handlers to react on socket and timer events. In the Binary Star reactor, we provide handlers for voters and for state changes (active to passive, and vice versa). Here is the `bstar` API:

```
// Create a new Binary Star instance, using local (bind) and
// remote (connect) endpoints to set up the server peering.
bstar_t *bstar_new (int primary, char *local, char *remote);

// Destroy a Binary Star instance
void bstar_destroy (bstar_t **self_p);

// Return underlying zloop reactor, for timer and reader
```

```
// registration and cancelation.
zloop_t *bstar_zloop (bstar_t *self);

// Register voting reader
int bstar_voter (bstar_t *self, char *endpoint, int type,
                zloop_fn handler, void *arg);

// Register main state change handlers
void bstar_new_active (bstar_t *self, zloop_fn handler, void *arg);
void bstar_new_passive (bstar_t *self, zloop_fn handler, void *arg);

// Start the reactor, which ends if a callback function returns -1,
// or the process received SIGINT or SIGTERM.
int bstar_start (bstar_t *self);
```

And here is the class implementation:

Example 4-20. Binary Star core class (bstar.lua)

(This example still needs translation into Lua)

This gives us the following short main program for the server:

Example 4-21. Binary Star server, using core class (bstarsrv2.lua)

(This example still needs translation into Lua)

4.14. Brokerless Reliability (Freelance Pattern)

It might seem ironic to focus so much on broker-based reliability, when we often explain ZeroMQ as "brokerless messaging". However, in messaging, as in real life, the middleman is both a burden and a benefit. In practice, most messaging architectures benefit from a mix of distributed and brokered messaging. You get the best results when you can decide freely what trade-offs you want to make. This is why I can drive twenty minutes to a wholesaler to buy five cases of wine for a party, but I can also walk ten minutes to a corner store to buy one bottle for a dinner. Our highly context-sensitive relative valuations of time, energy, and cost are essential to the real world economy. And they are essential to an optimal message-based architecture.

This is why ZeroMQ does not *impose* a broker-centric architecture, though it does give you the tools to build brokers, aka *proxies*, and we've built a dozen or so different ones so far, just for practice.

So we'll end this chapter by deconstructing the broker-based reliability we've built so far, and turning it back into a distributed peer-to-peer architecture I call the Freelance pattern. Our use case will be a name resolution service. This is a common problem with ZeroMQ architectures: how do we know the endpoint

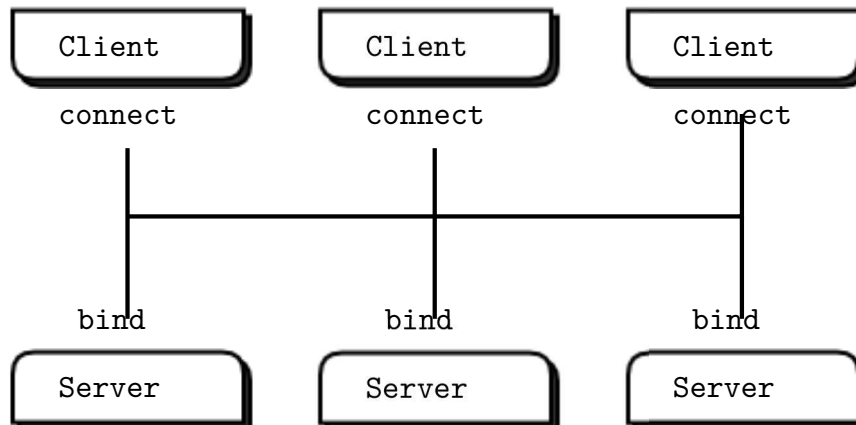
to connect to? Hard-coding TCP/IP addresses in code is insanely fragile. Using configuration files creates an administration nightmare. Imagine if you had to hand-configure your web browser, on every PC or mobile phone you used, to realize that "google.com" was "74.125.230.82".

A ZeroMQ name service (and we'll make a simple implementation) must do the following:

- Resolve a logical name into at least a bind endpoint, and a connect endpoint. A realistic name service would provide multiple bind endpoints, and possibly multiple connect endpoints as well.
- Allow us to manage multiple parallel environments, e.g., "test" versus "production", without modifying code.
- Be reliable, because if it is unavailable, applications won't be able to connect to the network.

Putting a name service behind a service-oriented Majordomo broker is clever from some points of view. However, it's simpler and much less surprising to just expose the name service as a server to which clients can connect directly. If we do this right, the name service becomes the *only* global network endpoint we need to hard-code in our code or configuration files.

Figure 4-9. The Freelance Pattern



The types of failure we aim to handle are server crashes and restarts, server busy looping, server overload, and network issues. To get reliability, we'll create a pool of name servers so if one crashes or goes away, clients can connect to another, and so on. In practice, two would be enough. But for the example, we'll assume the pool can be any size. Figure 5-1.

In this architecture, a large set of clients connect to a small set of servers directly. The servers bind to their respective addresses. It's fundamentally different from a broker-based approach like Majordomo, where workers connect to the broker. Clients have a couple of options:

- Use REQ sockets and the Lazy Pirate pattern. Easy, but would need some additional intelligence so clients don't stupidly try to reconnect to dead servers over and over.

- Use DEALER sockets and blast out requests (which will be load balanced to all connected servers) until they get a reply. Effective, but not elegant.
- Use ROUTER sockets so clients can address specific servers. But how does the client know the identity of the server sockets? Either the server has to ping the client first (complex), or the server has to use a hard-coded, fixed identity known to the client (nasty).

We'll develop each of these in the following subsections.

4.14.1. Model One: Simple Retry and Failover

So our menu appears to offer: simple, brutal, complex, or nasty. Let's start with simple and then work out the kinks. We take Lazy Pirate and rewrite it to work with multiple server endpoints.

Start one or several servers first, specifying a bind endpoint as the argument:

Example 4-22. Freelance server, Model One (flserver1.lua)

```
--
--  Freelance server - Model 1
--  Trivial echo service
--
--  Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmsg"
require "zmq"

if (#arg < 1) then
    printf("I: syntax: %s <endpoint>\n", arg[0])
    os.exit(0)
end
local context = zmq.init(1)
s_catch_signals()

-- Implement basic echo service
local server = context:socket(zmq.REP)
server:bind(arg[1])
printf("I: echo service is ready at %s\n", arg[1])
while (not s_interrupted) do
    local msg, err = zmsg.recv(server)
    if err then
        print('recv error:', err)
        break          -- Interrupted
    end
    msg:send(server)
end
if (s_interrupted) then
    printf("W: interrupted\n")
end
```



```
server:close()
context:term()
```

Then start the client, specifying one or more connect endpoints as arguments:

Example 4-23. Freelance client, Model One (flclient1.lua)

(This example still needs translation into Lua)

A sample run is:

```
flserver1 tcp://*:5555 &
flserver1 tcp://*:5556 &
flclient1 tcp://localhost:5555 tcp://localhost:5556
```

Although the basic approach is Lazy Pirate, the client aims to just get one successful reply. It has two techniques, depending on whether you are running a single server or multiple servers:

- With a single server, the client will retry several times, exactly as for Lazy Pirate.
- With multiple servers, the client will try each server at most once until it's received a reply or has tried all servers.

This solves the main weakness of Lazy Pirate, namely that it could not fail over to backup or alternate servers.

However, this design won't work well in a real application. If we're connecting many sockets and our primary name server is down, we're going to experience this painful timeout each time.

4.14.2. Model Two: Brutal Shotgun Massacre

Let's switch our client to using a DEALER socket. Our goal here is to make sure we get a reply back within the shortest possible time, no matter whether a particular server is up or down. Our client takes this approach:

- We set things up, connecting to all servers.
- When we have a request, we blast it out as many times as we have servers.
- We wait for the first reply, and take that.
- We ignore any other replies.

What will happen in practice is that when all servers are running, ZeroMQ will distribute the requests so that each server gets one request and sends one reply. When any server is offline and disconnected, ZeroMQ will distribute the requests to the remaining servers. So a server may in some cases get the same request more than once.

What's more annoying for the client is that we'll get multiple replies back, but there's no guarantee we'll get a precise number of replies. Requests and replies can get lost (e.g., if the server crashes while processing a request).

So we have to number requests and ignore any replies that don't match the request number. Our Model One server will work because it's an echo server, but coincidence is not a great basis for understanding. So we'll make a Model Two server that chews up the message and returns a correctly numbered reply with the content "OK". We'll use messages consisting of two parts: a sequence number and a body.

Start one or more servers, specifying a bind endpoint each time:

Example 4-24. Freelance server, Model Two (flserver2.lua)

```
--
-- Freelance server - Model 2
-- Does some work, replies OK, with message sequencing
--
-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmsg"

if (#arg < 1) then
    printf ("I: syntax: %s <endpoint>\n", arg[0])
    os.exit (0)
end
local context = zmq.init(1)
s_catch_signals()

local server = context:socket(zmq.REP)
server:bind(arg[1])
printf ("I: service is ready at %s\n", arg[1])
while (not s_interrupted) do
    local msg, err = zmsg.recv(server)
    if err then
        print('recv error:', err)
        break          -- Interrupted
    end
    -- Fail nastily if run against wrong client
    assert (msg:parts() == 2)

    msg:body_set("OK")
    msg:send(server)
end
if (s_interrupted) then
    printf("W: interrupted\n")
end
server:close()
context:term()
```

Then start the client, specifying the connect endpoints as arguments:

Example 4-25. Freelance client, Model Two (fclient2.lua)

(This example still needs translation into Lua)

Here are some things to note about the client implementation:

- The client is structured as a nice little class-based API that hides the dirty work of creating ZeroMQ contexts and sockets and talking to the server. That is, if a shotgun blast to the midriff can be called "talking".
- The client will abandon the chase if it can't find *any* responsive server within a few seconds.
- The client has to create a valid REP envelope, i.e., add an empty message frame to the front of the message.

The client performs 10,000 name resolution requests (fake ones, as our server does essentially nothing) and measures the average cost. On my test box, talking to one server, this requires about 60 microseconds. Talking to three servers, it takes about 80 microseconds.

The pros and cons of our shotgun approach are:

- Pro: it is simple, easy to make and easy to understand.
- Pro: it does the job of failover, and works rapidly, so long as there is at least one server running.
- Con: it creates redundant network traffic.
- Con: we can't prioritize our servers, i.e., Primary, then Secondary.
- Con: the server can do at most one request at a time, period.

4.14.3. Model Three: Complex and Nasty

The shotgun approach seems too good to be true. Let's be scientific and work through all the alternatives. We're going to explore the complex/nasty option, even if it's only to finally realize that we preferred brutal. Ah, the story of my life.

We can solve the main problems of the client by switching to a ROUTER socket. That lets us send requests to specific servers, avoid servers we know are dead, and in general be as smart as we want to be. We can also solve the main problem of the server (single-threadedness) by switching to a ROUTER socket.

But doing ROUTER to ROUTER between two anonymous sockets (which haven't set an identity) is not possible. Both sides generate an identity (for the other peer) only when they receive a first message, and thus neither can talk to the other until it has first received a message. The only way out of this conundrum is to cheat, and use hard-coded identities in one direction. The proper way to cheat, in a client/server

case, is to let the client "know" the identity of the server. Doing it the other way around would be insane, on top of complex and nasty, because any number of clients should be able to arise independently. Insane, complex, and nasty are great attributes for a genocidal dictator, but terrible ones for software.

Rather than invent yet another concept to manage, we'll use the connection endpoint as identity. This is a unique string on which both sides can agree without more prior knowledge than they already have for the shotgun model. It's a sneaky and effective way to connect two ROUTER sockets.

Remember how ZeroMQ identities work. The server ROUTER socket sets an identity before it binds its socket. When a client connects, they do a little handshake to exchange identities, before either side sends a real message. The client ROUTER socket, having not set an identity, sends a null identity to the server. The server generates a random UUID to designate the client for its own use. The server sends its identity (which we've agreed is going to be an endpoint string) to the client.

This means that our client can route a message to the server (i.e., send on its ROUTER socket, specifying the server endpoint as identity) as soon as the connection is established. That's not *immediately* after doing a `zmq_connect()`, but some random time thereafter. Herein lies one problem: we don't know when the server will actually be available and complete its connection handshake. If the server is online, it could be after a few milliseconds. If the server is down and the sysadmin is out to lunch, it could be an hour from now.

There's a small paradox here. We need to know when servers become connected and available for work. In the Freelance pattern, unlike the broker-based patterns we saw earlier in this chapter, servers are silent until spoken to. Thus we can't talk to a server until it's told us it's online, which it can't do until we've asked it.

My solution is to mix in a little of the shotgun approach from model 2, meaning we'll fire (harmless) shots at anything we can, and if anything moves, we know it's alive. We're not going to fire real requests, but rather a kind of ping-pong heartbeat.

This brings us to the realm of protocols again, so here's a short spec that defines how a Freelance client and server exchange ping-pong commands and request-reply commands (<http://rfc.zeromq.org/spec:10>).

It is short and sweet to implement as a server. Here's our echo server, Model Three, now speaking FLP:

Example 4-26. Freelance server, Model Three (flserver3.lua)

```
--
--  Freelance server - Model 3
--  Uses an ROUTER/ROUTER socket but just one thread
--
--  Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmsg"
```

```

local verbose = (arg[1] == "-v")

local context = zmq.init(1)
s_catch_signals ()

-- Prepare server socket with predictable identity
local bind_endpoint = "tcp://*:5555"
local connect_endpoint = "tcp://localhost:5555"
local server = context:socket(zmq.ROUTER)
server:setopt(zmq.IDENTITY, connect_endpoint)
server:bind(bind_endpoint)
printf ("I: service is ready at %s\n", bind_endpoint)

while (not s_interrupted) do
    local request = zmq.recv (server)
    local reply = nil
    if (not request) then
        break          -- Interrupted
    end
    if (verbose) then
        request:dump()
    end
    -- Frame 0: identity of client
    -- Frame 1: PING, or client control frame
    -- Frame 2: request body
    local address = request:pop()
    if (request:parts() == 1 and request:body() == "PING") then
        reply = zmq.new ("PONG")
    elseif (request:parts() > 1) then
        reply = request
        request = nil
        reply:body_set("OK")
    end
    reply:push(address)
    if (verbose and reply) then
        reply:dump()
    end
    reply:send(server)
end
if (s_interrupted) then
    printf ("W: interrupted\n")
end
server:close()
context:term()

```

The Freelance client, however, has gotten large. For clarity, it's split into an example application and a class that does the hard work. Here's the top-level application:

Example 4-27. Freelance client, Model Three (fclient3.lua)

(This example still needs translation into Lua)

And here, almost as complex and large as the Majordomo broker, is the client API class:

Example 4-28. Freelance client API (fcliapi.lua)

(This example still needs translation into Lua)

This API implementation is fairly sophisticated and uses a couple of techniques that we've not seen before.

- **Multithreaded API:** the client API consists of two parts, a synchronous `fcliapi` class that runs in the application thread, and an asynchronous *agent* class that runs as a background thread. Remember how ZeroMQ makes it easy to create multithreaded apps. The `fcliapi` and *agent* classes talk to each other with messages over an `inproc` socket. All ZeroMQ aspects (such as creating and destroying a context) are hidden in the API. The agent in effect acts like a mini-broker, talking to servers in the background, so that when we make a request, it can make a best effort to reach a server it believes is available.
- **Tickless poll timer:** in previous poll loops we always used a fixed tick interval, e.g., 1 second, which is simple enough but not excellent on power-sensitive clients (such as notebooks or mobile phones), where waking the CPU costs power. For fun, and to help save the planet, the agent uses a *tickless timer*, which calculates the poll delay based on the next timeout we're expecting. A proper implementation would keep an ordered list of timeouts. We just check all timeouts and calculate the poll delay until the next one.

4.15. Conclusion

In this chapter, we've seen a variety of reliable request-reply mechanisms, each with certain costs and benefits. The example code is largely ready for real use, though it is not optimized. Of all the different patterns, the two that stand out for production use are the Majordomo pattern, for broker-based reliability, and the Freelance pattern, for brokerless reliability.

Chapter 5. Advanced Pub-Sub Patterns

In Advanced Request-Reply PatternsChapter 3 and Reliable Request-Reply PatternsChapter 4 we looked at advanced use of ZeroMQ's request-reply pattern. If you managed to digest all that, congratulations. In this chapter we'll focus on publish-subscribe and extend ZeroMQ's core pub-sub pattern with higher-level patterns for performance, reliability, state distribution, and monitoring.

We'll cover:

- When to use publish-subscribe
- How to handle too-slow subscribers (the *Suicidal Snail* pattern)
- How to design high-speed subscribers (the *Black Box* pattern)
- How to monitor a pub-sub network (the *Espresso* pattern)
- How to build a shared key-value store (the *Clone* pattern)
- How to use reactors to simplify complex servers
- How to use the Binary Star pattern to add failover to a server

5.1. Pros and Cons of Pub-Sub

ZeroMQ's low-level patterns have their different characters. Pub-sub addresses an old messaging problem, which is *multicast* or *group messaging*. It has that unique mix of meticulous simplicity and brutal indifference that characterizes ZeroMQ. It's worth understanding the trade-offs that pub-sub makes, how these benefit us, and how we can work around them if needed.

First, PUB sends each message to "all of many", whereas PUSH and DEALER rotate messages to "one of many". You cannot simply replace PUSH with PUB or vice versa and hope that things will work. This bears repeating because people seem to quite often suggest doing this.

More profoundly, pub-sub is aimed at scalability. This means large volumes of data, sent rapidly to many recipients. If you need millions of messages per second sent to thousands of points, you'll appreciate pub-sub a lot more than if you need a few messages a second sent to a handful of recipients.

To get scalability, pub-sub uses the same trick as push-pull, which is to get rid of back-chatter. This means that recipients don't talk back to senders. There are some exceptions, e.g., SUB sockets will send subscriptions to PUB sockets, but it's anonymous and infrequent.

Killing back-chatter is essential to real scalability. With pub-sub, it's how the pattern can map cleanly to the PGM multicast protocol, which is handled by the network switch. In other words, subscribers don't connect to the publisher at all, they connect to a multicast *group* on the switch, to which the publisher sends its messages.

When we remove back-chatter, our overall message flow becomes *much* simpler, which lets us make simpler APIs, simpler protocols, and in general reach many more people. But we also remove any possibility to coordinate senders and receivers. What this means is:

- Publishers can't tell when subscribers are successfully connected, both on initial connections, and on reconnections after network failures.
- Subscribers can't tell publishers anything that would allow publishers to control the rate of messages they send. Publishers only have one setting, which is *full-speed*, and subscribers must either keep up or lose messages.
- Publishers can't tell when subscribers have disappeared due to processes crashing, networks breaking, and so on.

The downside is that we actually need all of these if we want to do reliable multicast. The ZeroMQ pub-sub pattern will lose messages arbitrarily when a subscriber is connecting, when a network failure occurs, or just if the subscriber or network can't keep up with the publisher.

The upside is that there are many use cases where *almost* reliable multicast is just fine. When we need this back-chatter, we can either switch to using ROUTER-DEALER (which I tend to do for most normal volume cases), or we can add a separate channel for synchronization (we'll see an example of this later in this chapter).

Pub-sub is like a radio broadcast; you miss everything before you join, and then how much information you get depends on the quality of your reception. Surprisingly, this model is useful and widespread because it maps perfectly to real world distribution of information. Think of Facebook and Twitter, the BBC World Service, and the sports results.

As we did for request-reply, let's define *reliability* in terms of what can go wrong. Here are the classic failure cases for pub-sub:

- Subscribers join late, so they miss messages the server already sent.
- Subscribers can fetch messages too slowly, so queues build up and then overflow.
- Subscribers can drop off and lose messages while they are away.
- Subscribers can crash and restart, and lose whatever data they already received.
- Networks can become overloaded and drop data (specifically, for PGM).
- Networks can become too slow, so publisher-side queues overflow and publishers crash.

A lot more can go wrong but these are the typical failures we see in a realistic system. Since v3.x, ZeroMQ forces default limits on its internal buffers (the so-called high-water mark or HWM), so publisher crashes are rarer unless you deliberately set the HWM to infinite.

All of these failure cases have answers, though not always simple ones. Reliability requires complexity that most of us don't need, most of the time, which is why ZeroMQ doesn't attempt to provide it out of the box (even if there was one global design for reliability, which there isn't).

5.2. Pub-Sub Tracing (Espresso Pattern)

Let's start this chapter by looking at a way to trace pub-sub networks. In *Sockets and Patterns* Chapter 2 we saw a simple proxy that used these to do transport bridging. The `zmq_proxy()` method has three arguments: a *frontend* and *backend* socket that it bridges together, and a *capture* socket to which it will send all messages.

The code is deceptively simple:

Example 5-1. Espresso Pattern (espresso.lua)

(This example still needs translation into Lua)

Espresso works by creating a listener thread that reads a PAIR socket and prints anything it gets. That PAIR socket is one end of a pipe; the other end (another PAIR) is the socket we pass to `zmq_proxy()`. In practice, you'd filter interesting messages to get the essence of what you want to track (hence the name of the pattern).

The subscriber thread subscribes to "A" and "B", receives five messages, and then destroys its socket. When you run the example, the listener prints two subscription messages, five data messages, two unsubscribe messages, and then silence:

```
[002] 0141
[002] 0142
[007] B-91164
[007] B-12979
[007] A-52599
[007] A-06417
[007] A-45770
[002] 0041
[002] 0042
```

This shows neatly how the publisher socket stops sending data when there are no subscribers for it. The publisher thread is still sending messages. The socket just drops them silently.

5.3. Last Value Caching

If you've used commercial pub-sub systems, you may be used to some features that are missing in the fast and cheerful ZeroMQ pub-sub model. One of these is *last value caching* (LVC). This solves the problem of how a new subscriber catches up when it joins the network. The theory is that publishers get notified when a new subscriber joins and subscribes to some specific topics. The publisher can then rebroadcast the last message for those topics.

I've already explained why publishers don't get notified when there are new subscribers, because in large pub-sub systems, the volumes of data make it pretty much impossible. To make really large-scale pub-sub networks, you need a protocol like PGM that exploits an upscale Ethernet switch's ability to multicast data to thousands of subscribers. Trying to do a TCP unicast from the publisher to each of thousands of subscribers just doesn't scale. You get weird spikes, unfair distribution (some subscribers getting the message before others), network congestion, and general unhappiness.

PGM is a one-way protocol: the publisher sends a message to a multicast address at the switch, which then rebroadcasts that to all interested subscribers. The publisher never sees when subscribers join or leave: this all happens in the switch, which we don't really want to start reprogramming.

However, in a lower-volume network with a few dozen subscribers and a limited number of topics, we can use TCP and then the XSUB and XPUB sockets *do* talk to each other as we just saw in the Espresso pattern.

Can we make an LVC using ZeroMQ? The answer is yes, if we make a proxy that sits between the publisher and subscribers; an analog for the PGM switch, but one we can program ourselves.

I'll start by making a publisher and subscriber that highlight the worst case scenario. This publisher is pathological. It starts by immediately sending messages to each of a thousand topics, and then it sends one update a second to a random topic. A subscriber connects, and subscribes to a topic. Without LVC, a subscriber would have to wait an average of 500 seconds to get any data. To add some drama, let's pretend there's an escaped convict called Gregor threatening to rip the head off Roger the toy bunny if we can't fix that 8.3 minutes' delay.

Here's the publisher code. Note that it has the command line option to connect to some address, but otherwise binds to an endpoint. We'll use this later to connect to our last value cache:

Example 5-2. Pathologic Publisher (pathopub.lua)

(This example still needs translation into Lua)

And here's the subscriber:

Example 5-3. Pathologic Subscriber (pathosub.lua)

(This example still needs translation into Lua)

Try building and running these: first the subscriber, then the publisher. You'll see the subscriber reports getting "Save Roger" as you'd expect:

```
./pathosub &
./pathopub
```

It's when you run a second subscriber that you understand Roger's predicament. You have to leave it an awful long time before it reports getting any data. So, here's our last value cache. As I promised, it's a proxy that binds to two sockets and then handles messages on both:

Example 5-4. Last Value Caching Proxy (lvcache.lua)

(This example still needs translation into Lua)

Now, run the proxy, and then the publisher:

```
./lvcache &
./pathopub tcp://localhost:5557
```

And now run as many instances of the subscriber as you want to try, each time connecting to the proxy on port 5558:

```
./pathosub tcp://localhost:5558
```

Each subscriber happily reports "Save Roger", and Gregor the Escaped Convict slinks back to his seat for dinner and a nice cup of hot milk, which is all he really wanted in the first place.

One note: by default, the XPUB socket does not report duplicate subscriptions, which is what you want when you're naively connecting an XPUB to an XSUB. Our example sneakily gets around this by using random topics so the chance of it not working is one in a million. In a real LVC proxy, you'll want to use the `ZMQ_XPUB_VERBOSE` option that we implement in The ZeroMQ CommunityChapter 6 as an exercise.

5.4. Slow Subscriber Detection (Suicidal Snail Pattern)

A common problem you will hit when using the pub-sub pattern in real life is the slow subscriber. In an ideal world, we stream data at full speed from publishers to subscribers. In reality, subscriber applications are often written in interpreted languages, or just do a lot of work, or are just badly written, to the extent that they can't keep up with publishers.

How do we handle a slow subscriber? The ideal fix is to make the subscriber faster, but that might take work and time. Some of the classic strategies for handling a slow subscriber are:

- **Queue messages on the publisher.** This is what Gmail does when I don't read my email for a couple of hours. But in high-volume messaging, pushing queues upstream has the thrilling but unprofitable result of making publishers run out of memory and crash--especially if there are lots of subscribers and it's not possible to flush to disk for performance reasons.
- **Queue messages on the subscriber.** This is much better, and it's what ZeroMQ does by default if the network can keep up with things. If anyone's going to run out of memory and crash, it'll be the subscriber rather than the publisher, which is fair. This is perfect for "peaky" streams where a

subscriber can't keep up for a while, but can catch up when the stream slows down. However, it's no answer to a subscriber that's simply too slow in general.

- **Stop queuing new messages after a while.** This is what Gmail does when my mailbox overflows its precious gigabytes of space. New messages just get rejected or dropped. This is a great strategy from the perspective of the publisher, and it's what ZeroMQ does when the publisher sets a HWM. However, it still doesn't help us fix the slow subscriber. Now we just get gaps in our message stream.
- **Punish slow subscribers with disconnect.** This is what Hotmail (remember that?) did when I didn't log in for two weeks, which is why I was on my fifteenth Hotmail account when it hit me that there was perhaps a better way. It's a nice brutal strategy that forces subscribers to sit up and pay attention and would be ideal, but ZeroMQ doesn't do this, and there's no way to layer it on top because subscribers are invisible to publisher applications.

None of these classic strategies fit, so we need to get creative. Rather than disconnect the publisher, let's convince the subscriber to kill itself. This is the Suicidal Snail pattern. When a subscriber detects that it's running too slowly (where "too slowly" is presumably a configured option that really means "so slowly that if you ever get here, shout really loudly because I need to know, so I can fix this!"), it croaks and dies.

How can a subscriber detect this? One way would be to sequence messages (number them in order) and use a HWM at the publisher. Now, if the subscriber detects a gap (i.e., the numbering isn't consecutive), it knows something is wrong. We then tune the HWM to the "croak and die if you hit this" level.

There are two problems with this solution. One, if we have many publishers, how do we sequence messages? The solution is to give each publisher a unique ID and add that to the sequencing. Second, if subscribers use `ZMQ_SUBSCRIBE` filters, they will get gaps by definition. Our precious sequencing will be for nothing.

Some use cases won't use filters, and sequencing will work for them. But a more general solution is that the publisher timestamps each message. When a subscriber gets a message, it checks the time, and if the difference is more than, say, one second, it does the "croak and die" thing, possibly firing off a squawk to some operator console first.

The Suicide Snail pattern works especially when subscribers have their own clients and service-level agreements and need to guarantee certain maximum latencies. Aborting a subscriber may not seem like a constructive way to guarantee a maximum latency, but it's the assertion model. Abort today, and the problem will be fixed. Allow late data to flow downstream, and the problem may cause wider damage and take longer to appear on the radar.

Here is a minimal example of a Suicidal Snail:

Example 5-5. Suicidal Snail (suisnail.lua)

```
--
--  Suicidal Snail
--
```

```

-- Author: Robert G. Jakabosky <bobby@sharedrealm.com>
--
require "zmq"
require "zmq.threads"
require "zhelpers"

-- -----
-- This is our subscriber
-- It connects to the publisher and subscribes to everything. It
-- sleeps for a short time between messages to simulate doing too
-- much work. If a message is more than 1 second late, it croaks.

local subscriber = [[
    require "zmq"
    require "zhelpers"

    local MAX_ALLOWED_DELAY = 1000    -- msec

    local context = zmq.init(1)

    -- Subscribe to everything
    local subscriber = context:socket(zmq.SUB)
    subscriber:connect("tcp://localhost:5556")
    subscriber:setopt(zmq.SUBSCRIBE, "", 0)

    -- Get and process messages
    while true do
        local msg = subscriber:recv()
        local clock = tonumber(msg)

        -- Suicide snail logic
        if (s_clock() - clock > MAX_ALLOWED_DELAY) then
            fprintf(io.stderr, "E: subscriber cannot keep up, aborting\n")
            break
        end
        -- Work for 1 msec plus some random additional time
        s_sleep(1 + randof(2))
    end
    subscriber:close()
    context:term()
]]

-- -----
-- This is our server task
-- It publishes a time-stamped message to its pub socket every 1ms.

local publisher = [[
    require "zmq"
    require "zhelpers"

    local context = zmq.init(1)

    -- Prepare publisher

```

```

local publisher = context:socket(zmq.PUB)
publisher:bind("tcp://*:5556")

while true do
    -- Send current clock (msecs) to subscribers
    publisher:send(tostring(s_clock()))
    s_sleep(1);          -- 1msec wait
end
publisher:close()
context:term()
]]

-- This main thread simply starts a client, and a server, and then
-- waits for the client to croak.
--

local server_thread = zmq.threads.runstring(nil, publisher)
server_thread:start(true)

local client_thread = zmq.threads.runstring(nil, subscriber)
client_thread:start()
client_thread:join()

```

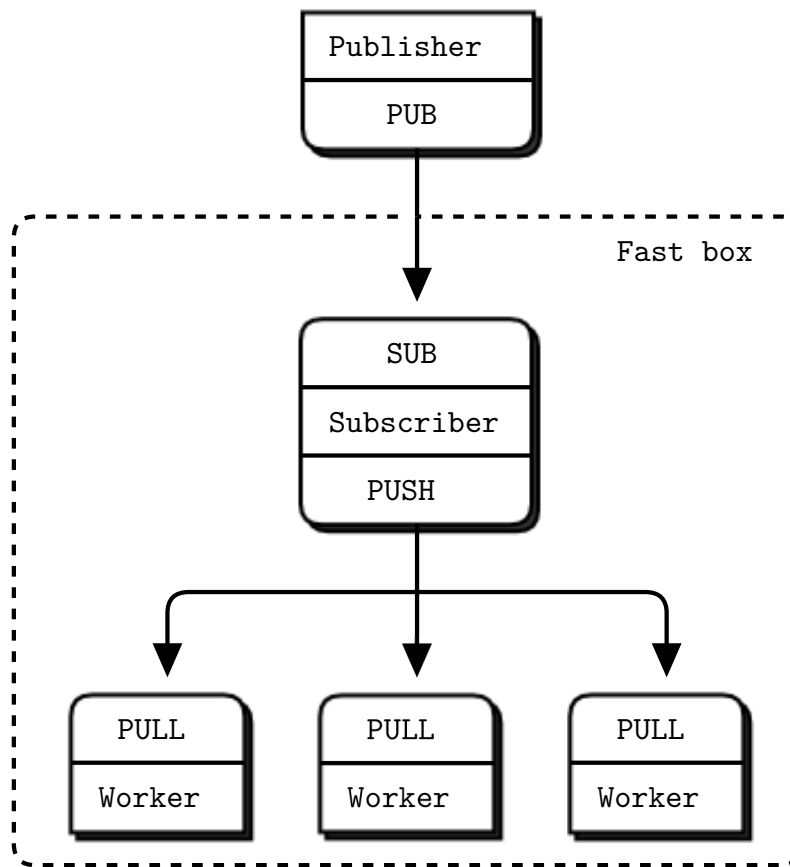
Here are some things to note about the Suicidal Snail example:

- The message here consists simply of the current system clock as a number of milliseconds. In a realistic application, you'd have at least a message header with the timestamp and a message body with data.
- The example has subscriber and publisher in a single process as two threads. In reality, they would be separate processes. Using threads is just convenient for the demonstration.

5.5. High-Speed Subscribers (Black Box Pattern)

Now let's look at one way to make our subscribers faster. A common use case for pub-sub is distributing large data streams like market data coming from stock exchanges. A typical setup would have a publisher connected to a stock exchange, taking price quotes, and sending them out to a number of subscribers. If there are a handful of subscribers, we could use TCP. If we have a larger number of subscribers, we'd probably use reliable multicast, i.e., PGM.

Figure 5-1. The Simple Black Box Pattern



Let's imagine our feed has an average of 100,000 100-byte messages a second. That's a typical rate, after filtering market data we don't need to send on to subscribers. Now we decide to record a day's data (maybe 250 GB in 8 hours), and then replay it to a simulation network, i.e., a small group of subscribers. While 100K messages a second is easy for a ZeroMQ application, we want to replay it *much faster*.

So we set up our architecture with a bunch of boxes--one for the publisher and one for each subscriber. These are well-specified boxes--eight cores, twelve for the publisher.

And as we pump data into our subscribers, we notice two things:

1. When we do even the slightest amount of work with a message, it slows down our subscriber to the point where it can't catch up with the publisher again.
2. We're hitting a ceiling, at both publisher and subscriber, to around 6M messages a second, even after careful optimization and TCP tuning.

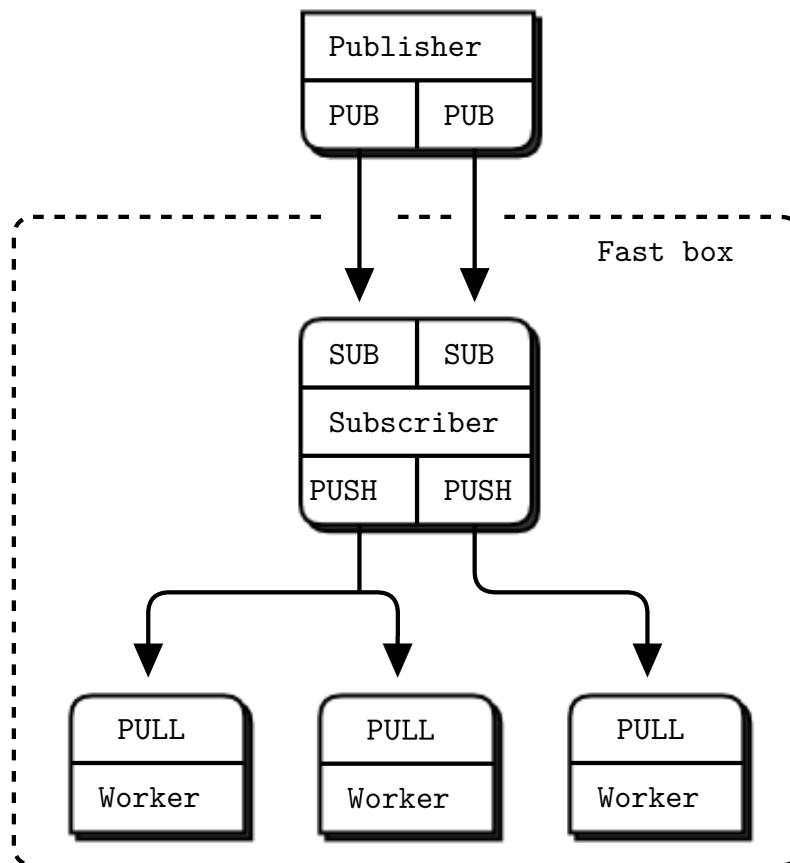
The first thing we have to do is break our subscriber into a multithreaded design so that we can do work

with messages in one set of threads, while reading messages in another. Typically, we don't want to process every message the same way. Rather, the subscriber will filter some messages, perhaps by prefix key. When a message matches some criteria, the subscriber will call a worker to deal with it. In ZeroMQ terms, this means sending the message to a worker thread.


So the subscriber looks something like a queue device. We could use various sockets to connect the subscriber and workers. If we assume one-way traffic and workers that are all identical, we can use PUSH and PULL and delegate all the routing work to ZeroMQ. Figure 5-2. This is the simplest and fastest approach.

The subscriber talks to the publisher over TCP or PGM. The subscriber talks to its workers, which are all in the same process, over `inproc://`.

Figure 5-2. Mad Black Box Pattern



Now to break that ceiling. The subscriber thread hits 100% of CPU and because it is one thread, it cannot use more than one core. A single thread will always hit a ceiling, be it at 2M, 6M, or more messages per second. We want to split the work across multiple threads that can run in parallel.

The approach used by many high-performance products, which works here, is *sharding*. Using sharding, we split the work into parallel and independent streams, such as half of the topic keys in one stream, and half in another. We could use many streams, but performance won't scale unless we have free cores. So let's see how to shard into two streams .

With two streams, working at full speed, we would configure ZeroMQ as follows:

- Two I/O threads, rather than one.
- Two network interfaces (NIC), one per subscriber.
- Each I/O thread bound to a specific NIC.
- Two subscriber threads, bound to specific cores.
- Two SUB sockets, one per subscriber thread.
- The remaining cores assigned to worker threads.
- Worker threads connected to both subscriber PUSH sockets.

Ideally, we want to match the number of fully-loaded threads in our architecture with the number of cores. When threads start to fight for cores and CPU cycles, the cost of adding more threads outweighs the benefits. There would be no benefit, for example, in creating more I/O threads.

5.6. Reliable Pub-Sub (Clone Pattern)

As a larger worked example, we'll take the problem of making a reliable pub-sub architecture. We'll develop this in stages. The goal is to allow a set of applications to share some common state. Here are our technical challenges:

- We have a large set of client applications, say thousands or tens of thousands.
- They will join and leave the network arbitrarily.
- These applications must share a single eventually-consistent *state*.
- Any application can update the state at any point in time.

Let's say that updates are reasonably low-volume. We don't have real time goals. The whole state can fit into memory. Some plausible use cases are:

- A configuration that is shared by a group of cloud servers.
- Some game state shared by a group of players.
- Exchange rate data that is updated in real time and available to applications.

5.6.1. Centralized Versus Decentralized

A first decision we have to make is whether we work with a central server or not. It makes a big difference in the resulting design. The trade-offs are these:

- Conceptually, a central server is simpler to understand because networks are not naturally symmetrical. With a central server, we avoid all questions of discovery, bind versus connect, and so on.
- Generally, a fully-distributed architecture is technically more challenging but ends up with simpler protocols. That is, each node must act as server and client in the right way, which is delicate. When done right, the results are simpler than using a central server. We saw this in the Freelance pattern in Reliable Request-Reply PatternsChapter 4.
- A central server will become a bottleneck in high-volume use cases. If handling scale in the order of millions of messages a second is required, we should aim for decentralization right away.
- Ironically, a centralized architecture will scale to more nodes more easily than a decentralized one. That is, it's easier to connect 10,000 nodes to one server than to each other.

So, for the Clone pattern we'll work with a *server* that publishes state updates and a set of *clients* that represent applications.

5.6.2. Representing State as Key-Value Pairs

We'll develop Clone in stages, solving one problem at a time. First, let's look at how to update a shared state across a set of clients. We need to decide how to represent our state, as well as the updates. The simplest plausible format is a key-value store, where one key-value pair represents an atomic unit of change in the shared state.

We have a simple pub-sub example in BasicsChapter 1, the weather server and client. Let's change the server to send key-value pairs, and the client to store these in a hash table. This lets us send updates from one server to a set of clients using the classic pub-sub modelFigure 5-3.

An update is either a new key-value pair, a modified value for an existing key, or a deleted key. We can assume for now that the whole store fits in memory and that applications access it by key, such as by using a hash table or dictionary. For larger stores and some kind of persistence we'd probably store the state in a database, but that's not relevant here.

This is the server:

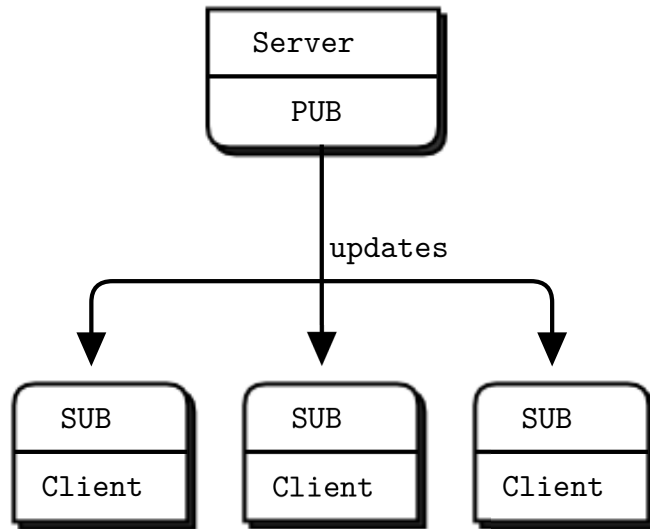
Example 5-6. Clone server, Model One (clonesrv1.lua)

(This example still needs translation into Lua)

And here is the client:

Example 5-7. Clone client, Model One (clonecli1.lua)

(This example still needs translation into Lua)

Figure 5-3. Publishing State Updates

Here are some things to note about this first model:

- All the hard work is done in a `kvmsg` class. This class works with key-value message objects, which are multipart ZeroMQ messages structured as three frames: a key (a ZeroMQ string), a sequence number (64-bit value, in network byte order), and a binary body (holds everything else).
- The server generates messages with a randomized 4-digit key, which lets us simulate a large but not enormous hash table (10K entries).
- We don't implement deletions in this version: all messages are inserts or updates.
- The server does a 200 millisecond pause after binding its socket. This is to prevent *slow joiner syndrome*, where the subscriber loses messages as it connects to the server's socket. We'll remove that in later versions of the Clone code.
- We'll use the terms *publisher* and *subscriber* in the code to refer to sockets. This will help later when we have multiple sockets doing different things.

Here is the `kvmsg` class, in the simplest form that works for now:

Example 5-8. Key-value message class (kvsimple.lua)

(This example still needs translation into Lua)

Later, we'll make a more sophisticated `kvmsg` class that will work in real applications.

Both the server and client maintain hash tables, but this first model only works properly if we start all clients before the server and the clients never crash. That's very artificial.

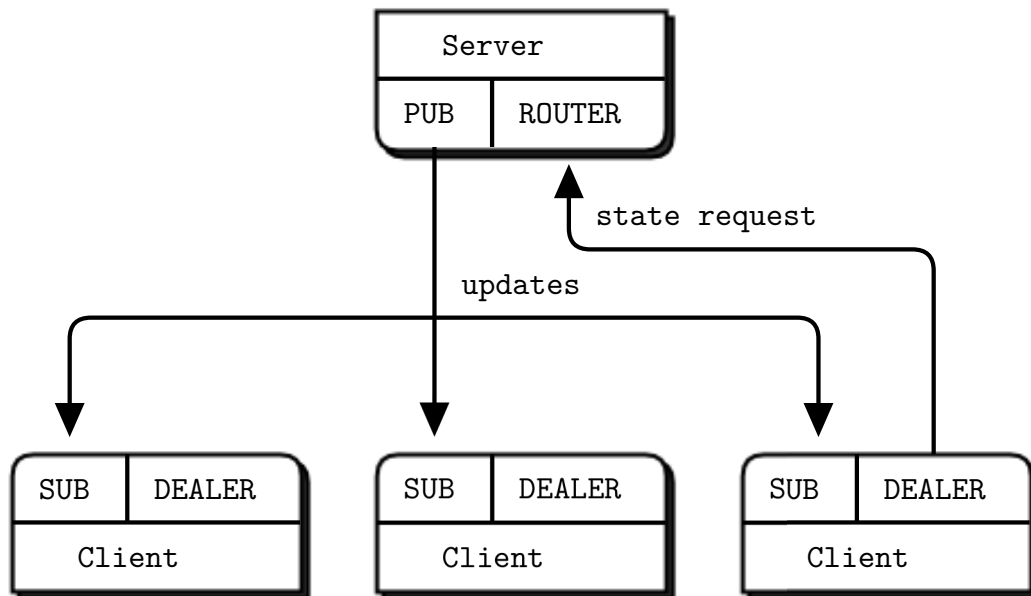
5.6.3. Getting an Out-of-Band Snapshot

So now we have our second problem: how to deal with late-joining clients or clients that crash and then restart.

In order to allow a late (or recovering) client to catch up with a server, it has to get a snapshot of the server's state. Just as we've reduced "message" to mean "a sequenced key-value pair", we can reduce "state" to mean "a hash table". To get the server state, a client opens a DEALER socket and asks for it explicitly Figure 5-4.

To make this work, we have to solve a problem of timing. Getting a state snapshot will take a certain time, possibly fairly long if the snapshot is large. We need to correctly apply updates to the snapshot. But the server won't know when to start sending us updates. One way would be to start subscribing, get a first update, and then ask for "state for update N". This would require the server storing one snapshot for each update, which isn't practical.

Figure 5-4. State Replication



So we will do the synchronization in the client, as follows:

- The client first subscribes to updates and then makes a state request. This guarantees that the state is going to be newer than the oldest update it has.

- The client waits for the server to reply with state, and meanwhile queues all updates. It does this simply by not reading them: ZeroMQ keeps them queued on the socket queue.
- When the client receives its state update, it begins once again to read updates. However, it discards any updates that are older than the state update. So if the state update includes updates up to 200, the client will discard updates up to 201.
- The client then applies updates to its own state snapshot.

It's a simple model that exploits ZeroMQ's own internal queues. Here's the server:

Example 5-9. Clone server, Model Two (clonesrv2.lua)

(This example still needs translation into Lua)

And here is the client:

Example 5-10. Clone client, Model Two (clonecli2.lua)

(This example still needs translation into Lua)

Here are some things to note about these two programs:

- The server uses two tasks. One thread produces the updates (randomly) and sends these to the main PUB socket, while the other thread handles state requests on the ROUTER socket. The two communicate across PAIR sockets over an `inproc://` connection.
- The client is really simple. In C, it consists of about fifty lines of code. A lot of the heavy lifting is done in the `kvmsg` class. Even so, the basic Clone pattern is easier to implement than it seemed at first.
- We don't use anything fancy for serializing the state. The hash table holds a set of `kvmsg` objects, and the server sends these, as a batch of messages, to the client requesting state. If multiple clients request state at once, each will get a different snapshot.
- We assume that the client has exactly one server to talk to. The server must be running; we do not try to solve the question of what happens if the server crashes.

Right now, these two programs don't do anything real, but they correctly synchronize state. It's a neat example of how to mix different patterns: PAIR-PAIR, PUB-SUB, and ROUTER-DEALER.

5.6.4. Republishing Updates from Clients

In our second model, changes to the key-value store came from the server itself. This is a centralized model that is useful, for example if we have a central configuration file we want to distribute, with local caching on each node. A more interesting model takes updates from clients, not the server. The server thus becomes a stateless broker. This gives us some benefits:

- We're less worried about the reliability of the server. If it crashes, we can start a new instance and feed it new values.

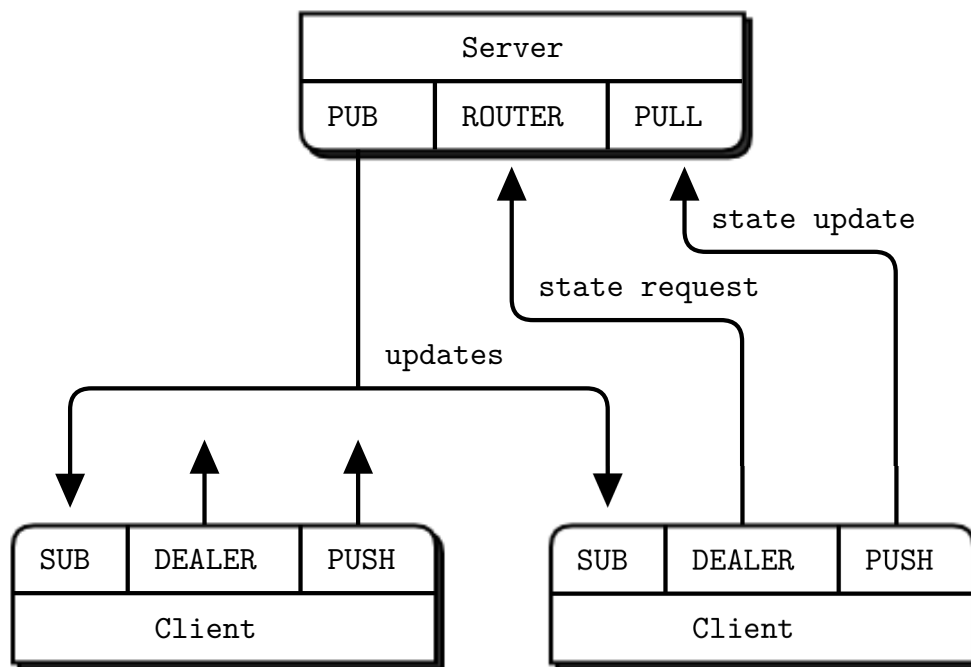
- We can use the key-value store to share knowledge between active peers.

To send updates from clients back to the server, we could use a variety of socket patterns. The simplest plausible solution is a PUSH-PULL combination Figure 5-5.

Why don't we allow clients to publish updates directly to each other? While this would reduce latency, it would remove the guarantee of consistency. You can't get consistent shared state if you allow the order of updates to change depending on who receives them. Say we have two clients, changing different keys. This will work fine. But if the two clients try to change the same key at roughly the same time, they'll end up with different notions of its value.

There are a few strategies for obtaining consistency when changes happen in multiple places at once. We'll use the approach of centralizing all change. No matter the precise timing of the changes that clients make, they are all pushed through the server, which enforces a single sequence according to the order in which it gets updates.

Figure 5-5. Republishing Updates



By mediating all changes, the server can also add a unique sequence number to all updates. With unique sequencing, clients can detect the nastier failures, including network congestion and queue overflow. If a client discovers that its incoming message stream has a hole, it can take action. It seems sensible that the client contact the server and ask for the missing messages, but in practice that isn't useful. If there are holes, they're caused by network stress, and adding more stress to the network will make things worse.

All the client can do is warn its users that it is "unable to continue", stop, and not restart until someone has manually checked the cause of the problem.

We'll now generate state updates in the client. Here's the server:

Example 5-11. Clone server, Model Three (clonesrv3.lua)

(This example still needs translation into Lua)

And here is the client:

Example 5-12. Clone client, Model Three (clonecli3.lua)

(This example still needs translation into Lua)

Here are some things to note about this third design:

- The server has collapsed to a single task. It manages a PULL socket for incoming updates, a ROUTER socket for state requests, and a PUB socket for outgoing updates.
- The client uses a simple tickless timer to send a random update to the server once a second. In a real implementation, we would drive updates from application code.

5.6.5. Working with Subtrees

As we grow the number of clients, the size of our shared store will also grow. It stops being reasonable to send everything to every client. This is the classic story with pub-sub: when you have a very small number of clients, you can send every message to all clients. As you grow the architecture, this becomes inefficient. Clients specialize in different areas.

So even when working with a shared store, some clients will want to work only with a part of that store, which we call a *subtree*. The client has to request the subtree when it makes a state request, and it must specify the same subtree when it subscribes to updates.

There are a couple of common syntaxes for trees. One is the *path hierarchy*, and another is the *topic tree*. These look like this:

- Path hierarchy: `/some/list/of/paths`
- Topic tree: `some.list.of.topics`

We'll use the path hierarchy, and extend our client and server so that a client can work with a single subtree. Once you see how to work with a single subtree you'll be able to extend this yourself to handle multiple subtrees, if your use case demands it.

Here's the server implementing subtrees, a small variation on Model Three:

Example 5-13. Clone server, Model Four (clonesrv4.lua)

(This example still needs translation into Lua)

And here is the corresponding client:

Example 5-14. Clone client, Model Four (clonecli4.lua)

(This example still needs translation into Lua)

5.6.6. Ephemeral Values

An ephemeral value is one that expires automatically unless regularly refreshed. If you think of Clone being used for a registration service, then ephemeral values would let you do dynamic values. A node joins the network, publishes its address, and refreshes this regularly. If the node dies, its address eventually gets removed.

The usual abstraction for ephemeral values is to attach them to a *session*, and delete them when the session ends. In Clone, sessions would be defined by clients, and would end if the client died. A simpler alternative is to attach a *time to live* (TTL) to ephemeral values, which the server uses to expire values that haven't been refreshed in time.

A good design principle that I use whenever possible is to *not invent concepts that are not absolutely essential*. If we have very large numbers of ephemeral values, sessions will offer better performance. If we use a handful of ephemeral values, it's fine to set a TTL on each one. If we use masses of ephemeral values, it's more efficient to attach them to sessions and expire them in bulk. This isn't a problem we face at this stage, and may never face, so sessions go out the window.

Now we will implement ephemeral values. First, we need a way to encode the TTL in the key-value message. We could add a frame. The problem with using ZeroMQ frames for properties is that each time we want to add a new property, we have to change the message structure. It breaks compatibility. So let's add a properties frame to the message, and write the code to let us get and put property values.

Next, we need a way to say, "delete this value". Up until now, servers and clients have always blindly inserted or updated new values into their hash table. We'll say that if the value is empty, that means "delete this key".

Here's a more complete version of the `kvmsg` class, which implements the properties frame (and adds a UUID frame, which we'll need later on). It also handles empty values by deleting the key from the hash, if necessary:

Example 5-15. Key-value message class: full (kvmsg.lua)

(This example still needs translation into Lua)

The Model Five client is almost identical to Model Four. It uses the full `kvmsg` class now, and sets a randomized `tvl` property (measured in seconds) on each message:

```
kvmsg_set_prop (kvmsg, "ttl", "%d", randof (30));
```

5.6.7. Using a Reactor

Until now, we have used a poll loop in the server. In this next model of the server, we switch to using a reactor. In C, we use CZMQ's `zloop` class. Using a reactor makes the code more verbose, but easier to understand and build out because each piece of the server is handled by a separate reactor handler.

We use a single thread and pass a server object around to the reactor handlers. We could have organized the server as multiple threads, each handling one socket or timer, but that works better when threads don't have to share data. In this case all work is centered around the server's hashmap, so one thread is simpler.

There are three reactor handlers:

- One to handle snapshot requests coming on the ROUTER socket;
- One to handle incoming updates from clients, coming on the PULL socket;
- One to expire ephemeral values that have passed their TTL.

Example 5-16. Clone server, Model Five (clonesrv5.lua)

(This example still needs translation into Lua)

5.6.8. Adding the Binary Star Pattern for Reliability

The Clone models we've explored up to now have been relatively simple. Now we're going to get into unpleasantly complex territory, which has me getting up for another espresso. You should appreciate that making "reliable" messaging is complex enough that you always need to ask, "Do we actually need this?" before jumping into it. If you can get away with unreliable or with "good enough" reliability, you can make a huge win in terms of cost and complexity. Sure, you may lose some data now and then. It is often a good trade-off. Having said, that, and... sips... because the espresso is really good, let's jump in.

As you play with the last model, you'll stop and restart the server. It might look like it recovers, but of course it's applying updates to an empty state instead of the proper current state. Any new client joining the network will only get the latest updates instead of the full historical record.

What we want is a way for the server to recover from being killed, or crashing. We also need to provide backup in case the server is out of commission for any length of time. When someone asks for "reliability", ask them to list the failures they want to handle. In our case, these are:

- The server process crashes and is automatically or manually restarted. The process loses its state and has to get it back from somewhere.
- The server machine dies and is offline for a significant time. Clients have to switch to an alternate server somewhere.
- The server process or machine gets disconnected from the network, e.g., a switch dies or a datacenter gets knocked out. It may come back at some point, but in the meantime clients need an alternate server.

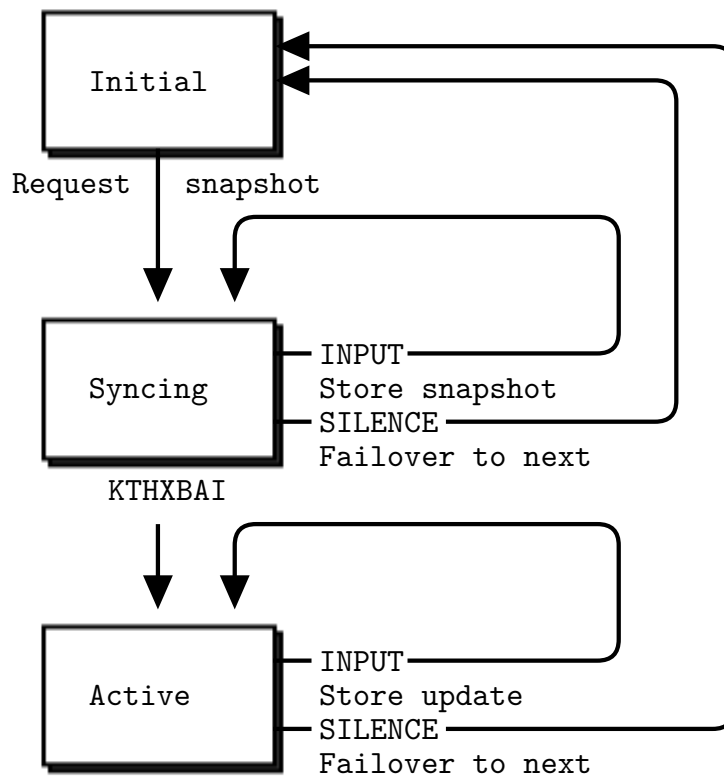
Our first step is to add a second server. We can use the Binary Star pattern from Reliable Request-Reply PatternsChapter 4 to organize these into primary and backup. Binary Star is a reactor, so it's useful that we already refactored the last server model into a reactor style.

We need to ensure that updates are not lost if the primary server crashes. The simplest technique is to send them to both servers. The backup server can then act as a client, and keep its state synchronized by receiving updates as all clients do. It'll also get new updates from clients. It can't yet store these in its hash table, but it can hold onto them for a while.

So, Model Six introduces the following changes over Model Five:

- We use a pub-sub flow instead of a push-pull flow for client updates sent to the servers. This takes care of fanning out the updates to both servers. Otherwise we'd have to use two DEALER sockets.
- We add heartbeats to server updates (to clients), so that a client can detect when the primary server has died. It can then switch over to the backup server.
- We connect the two servers using the Binary Star `bstar` reactor class. Binary Star relies on the clients to vote by making an explicit request to the server they consider active. We'll use snapshot requests as the voting mechanism.
- We make all update messages uniquely identifiable by adding a UUID field. The client generates this, and the server propagates it back on republished updates.
- The passive server keeps a "pending list" of updates that it has received from clients, but not yet from the active server; or updates it's received from the active server, but not yet from the clients. The list is ordered from oldest to newest, so that it is easy to remove updates off the head.

Figure 5-6. Clone Client Finite State Machine



It's useful to design the client logic as a finite state machine. The client cycles through three states:

- The client opens and connects its sockets, and then requests a snapshot from the first server. To avoid request storms, it will ask any given server only twice. One request might get lost, which would be bad luck. Two getting lost would be carelessness.
- The client waits for a reply (snapshot data) from the current server, and if it gets it, it stores it. If there is no reply within some timeout, it fails over to the next server.
- When the client has gotten its snapshot, it waits for and processes updates. Again, if it doesn't hear anything from the server within some timeout, it fails over to the next server.

The client loops forever. It's quite likely during startup or failover that some clients may be trying to talk to the primary server while others are trying to talk to the backup server. The Binary Star state machine handles thisFigure 5-7, hopefully accurately. It's hard to prove software correct; instead we hammer it until we can't prove it wrong.

Failover happens as follows:

- The client detects that primary server is no longer sending heartbeats, and concludes that it has died. The client connects to the backup server and requests a new state snapshot.

- The backup server starts to receive snapshot requests from clients, and detects that primary server has gone, so it takes over as primary.
- The backup server applies its pending list to its own hash table, and then starts to process state snapshot requests.

When the primary server comes back online, it will:

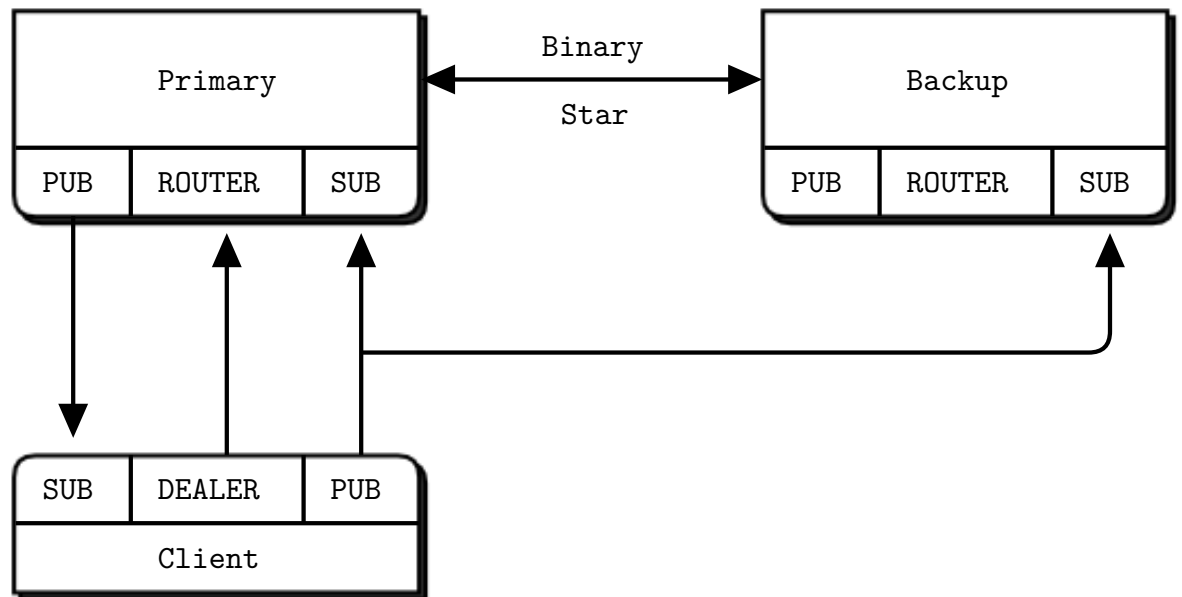
- Start up as passive server, and connect to the backup server as a Clone client.
- Start to receive updates from clients, via its SUB socket.

We make a few assumptions:

- At least one server will keep running. If both servers crash, we lose all server state and there's no way to recover it.
- Multiple clients do not update the same hash keys at the same time. Client updates will arrive at the two servers in a different order. Therefore, the backup server may apply updates from its pending list in a different order than the primary server would or did. Updates from one client will always arrive in the same order on both servers, so that is safe.

Thus the architecture for our high-availability server pair using the Binary Star pattern has two servers and a set of clients that talk to both servers.

Figure 5-7. High-availability Clone Server Pair



Here is the sixth and last model of the Clone server:

Example 5-17. Clone server, Model Six (clonesrv6.lua)

(This example still needs translation into Lua)

This model is only a few hundred lines of code, but it took quite a while to get working. To be accurate, building Model Six took about a full week of "Sweet god, this is just too complex for an example" hacking. We've assembled pretty much everything and the kitchen sink into this small application. We have failover, ephemeral values, subtrees, and so on. What surprised me was that the up-front design was pretty accurate. Still the details of writing and debugging so many socket flows is quite challenging.

The reactor-based design removes a lot of the grunt work from the code, and what remains is simpler and easier to understand. We reuse the bstar reactor from Reliable Request-Reply PatternsChapter 4. The whole server runs as one thread, so there's no inter-thread weirdness going on--just a structure pointer (`self`) passed around to all handlers, which can do their thing happily. One nice side effect of using reactors is that the code, being less tightly integrated into a poll loop, is much easier to reuse. Large chunks of Model Six are taken from Model Five.

I built it piece by piece, and got each piece working *properly* before going onto the next one. Because there are four or five main socket flows, that meant quite a lot of debugging and testing. I debugged just by dumping messages to the console. Don't use classic debuggers to step through ZeroMQ applications; you need to see the message flows to make any sense of what is going on.

For testing, I always try to use Valgrind, which catches memory leaks and invalid memory accesses. In C, this is a major concern, as you can't delegate to a garbage collector. Using proper and consistent abstractions like `kvmsg` and `CZMQ` helps enormously.

5.6.9. The Clustered Hashmap Protocol

While the server is pretty much a mashup of the previous model plus the Binary Star pattern, the client is quite a lot more complex. But before we get to that, let's look at the final protocol. I've written this up as a specification on the ZeroMQ RFC website as the Clustered Hashmap Protocol (<http://rfc.zeromq.org/spec:12>).

Roughly, there are two ways to design a complex protocol such as this one. One way is to separate each flow into its own set of sockets. This is the approach we used here. The advantage is that each flow is simple and clean. The disadvantage is that managing multiple socket flows at once can be quite complex. Using a reactor makes it simpler, but still, it makes a lot of moving pieces that have to fit together correctly.

The second way to make such a protocol is to use a single socket pair for everything. In this case, I'd have used ROUTER for the server and DEALER for the clients, and then done everything over that

connection. It makes for a more complex protocol but at least the complexity is all in one place. In Advanced Architecture using ZeroMQChapter 7 we'll look at an example of a protocol done over a ROUTER-DEALER combination.

Let's take a look at the CHP specification. Note that "SHOULD", "MUST" and "MAY" are key words we use in protocol specifications to indicate requirement levels.

Goals

CHP is meant to provide a basis for reliable pub-sub across a cluster of clients connected over a ZeroMQ network. It defines a "hashmap" abstraction consisting of key-value pairs. Any client can modify any key-value pair at any time, and changes are propagated to all clients. A client can join the network at any time.

Architecture

CHP connects a set of client applications and a set of servers. Clients connect to the server. Clients do not see each other. Clients can come and go arbitrarily.

Ports and Connections

The server **MUST** open three ports as follows:

- A SNAPSHOT port (ZeroMQ ROUTER socket) at port number P.
- A PUBLISHER port (ZeroMQ PUB socket) at port number P + 1.
- A COLLECTOR port (ZeroMQ SUB socket) at port number P + 2.

The client **SHOULD** open at least two connections:

- A SNAPSHOT connection (ZeroMQ DEALER socket) to port number P.
- A SUBSCRIBER connection (ZeroMQ SUB socket) to port number P + 1.

The client **MAY** open a third connection, if it wants to update the hashmap:

- A PUBLISHER connection (ZeroMQ PUB socket) to port number P + 2.

This extra frame is not shown in the commands explained below.

State Synchronization

The client **MUST** start by sending a ICANHAZ command to its snapshot connection. This command consists of two frames as follows:

```
ICANHAZ command
-----
Frame 0: "ICANHAZ?"
Frame 1: subtree specification
```

Both frames are ZeroMQ strings. The subtree specification **MAY** be empty. If not empty, it consists of a slash followed by one or more path segments, ending in a slash.

The server **MUST** respond to a ICANHAZ command by sending zero or more KVSYNC commands to its snapshot port, followed with a KTHXBAI command. The server **MUST** prefix each command with the identity of the client, as provided by ZeroMQ with the ICANHAZ command. The KVSYNC command specifies a single key-value pair as follows:

```
KVSYNC command
-----
Frame 0: key, as ZeroMQ string
Frame 1: sequence number, 8 bytes in network order
Frame 2: <empty>
Frame 3: <empty>
Frame 4: value, as blob
```

The sequence number has no significance and may be zero.

The KTHXBAI command takes this form:

```
KTHXBAI command
-----
Frame 0: "KTHXBAI"
Frame 1: sequence number, 8 bytes in network order
Frame 2: <empty>
Frame 3: <empty>
Frame 4: subtree specification
```

The sequence number **MUST** be the highest sequence number of the KVSYNC commands previously sent.

When the client has received a KTHXBAI command, it **SHOULD** start to receive messages from its subscriber connection and apply them.

Server-to-Client Updates

When the server has an update for its hashmap it **MUST** broadcast this on its publisher socket as a KVPUB command. The KVPUB command has this form:

KVPUB command

```

-----
Frame 0: key, as ZeroMQ string
Frame 1: sequence number, 8 bytes in network order
Frame 2: UUID, 16 bytes
Frame 3: properties, as ZeroMQ string
Frame 4: value, as blob

```

The sequence number **MUST** be strictly incremental. The client **MUST** discard any KVPUB commands whose sequence numbers are not strictly greater than the last KTHXBAI or KVPUB command received.

The UUID is optional and frame 2 **MAY** be empty (size zero). The properties field is formatted as zero or more instances of "name=value" followed by a newline character. If the key-value pair has no properties, the properties field is empty.

If the value is empty, the client **SHOULD** delete its key-value entry with the specified key.

In the absence of other updates the server **SHOULD** send a HUGZ command at regular intervals, e.g., once per second. The HUGZ command has this format:

HUGZ command

```

-----
Frame 0: "HUGZ"
Frame 1: 00000000
Frame 2: <empty>
Frame 3: <empty>
Frame 4: <empty>

```

The client **MAY** treat the absence of HUGZ as an indicator that the server has crashed (see Reliability below).

Client-to-Server Updates

When the client has an update for its hashmap, it **MAY** send this to the server via its publisher connection as a KVSET command. The KVSET command has this form:

KVSET command

```

-----
Frame 0: key, as ZeroMQ string
Frame 1: sequence number, 8 bytes in network order
Frame 2: UUID, 16 bytes
Frame 3: properties, as ZeroMQ string
Frame 4: value, as blob

```

The sequence number has no significance and may be zero. The UUID **SHOULD** be a universally unique identifier, if a reliable server architecture is used.

If the value is empty, the server **MUST** delete its key-value entry with the specified key.

The server **SHOULD** accept the following properties:

- `ttl`: specifies a time-to-live in seconds. If the `KVSET` command has a `ttl` property, the server **SHOULD** delete the key-value pair and broadcast a `KVPUB` with an empty value in order to delete this from all clients when the TTL has expired.

Reliability

CHP may be used in a dual-server configuration where a backup server takes over if the primary server fails. CHP does not specify the mechanisms used for this failover but the Binary Star pattern may be helpful.

To assist server reliability, the client **MAY**:

- Set a UUID in every `KVSET` command.
- Detect the lack of HUGZ over a time period and use this as an indicator that the current server has failed.
- Connect to a backup server and re-request a state synchronization.

Scalability and Performance

CHP is designed to be scalable to large numbers (thousands) of clients, limited only by system resources on the broker. Because all updates pass through a single server, the overall throughput will be limited to some millions of updates per second at peak, and probably less.

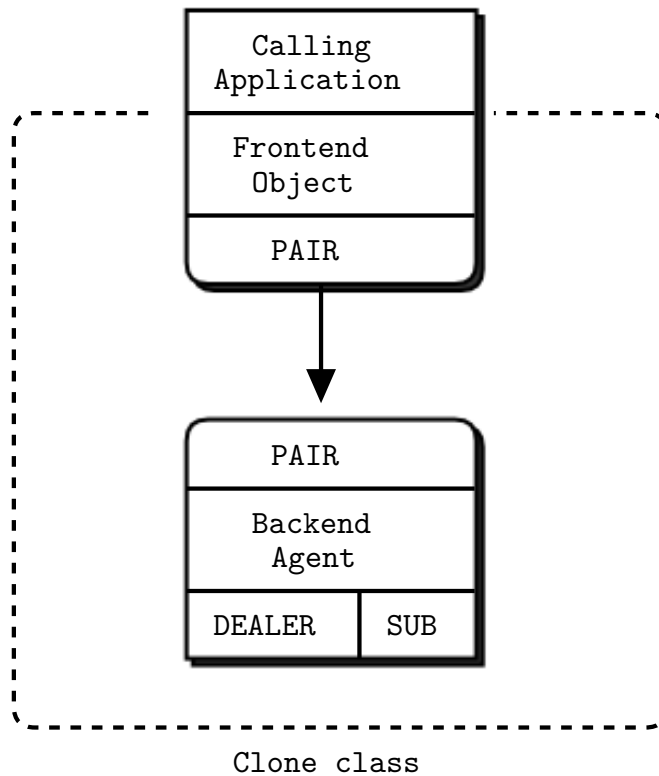
Security

CHP does not implement any authentication, access control, or encryption mechanisms and should not be used in any deployment where these are required.

5.6.10. Building a Multithreaded Stack and API

The client stack we've used so far isn't smart enough to handle this protocol properly. As soon as we start doing heartbeats, we need a client stack that can run in a background thread. In the Freelance pattern at the end of Reliable Request-Reply PatternsChapter 4 we used a multithreaded API but didn't explain it in detail. It turns out that multithreaded APIs are quite useful when you start to make more complex ZeroMQ protocols like CHP.

Figure 5-8. Multithreaded API



If you make a nontrivial protocol and you expect applications to implement it properly, most developers will get it wrong most of the time. You're going to be left with a lot of unhappy people complaining that your protocol is too complex, too fragile, and too hard to use. Whereas if you give them a simple API to call, you have some chance of them buying in.

Our multithreaded API consists of a frontend object and a background agent, connected by two PAIR sockets (Figure 7-1). Connecting two PAIR sockets like this is so useful that your high-level binding should probably do what CZMQ does, which is package a "create new thread with a pipe that I can use to send messages to it" method.

The multithreaded APIs that we see in this book all take the same form:

- The constructor for the object (`clone_new`) creates a context and starts a background thread connected with a pipe. It holds onto one end of the pipe so it can send commands to the background thread.
- The background thread starts an *agent* that is essentially a `zmq_poll` loop reading from the pipe socket and any other sockets (here, the DEALER and SUB sockets).

- The main application thread and the background thread now communicate only via ZeroMQ messages. By convention, the frontend sends string commands so that each method on the class turns into a message sent to the backend agent, like this:

```
void
clone_connect (clone_t *self, char *address, char *service)
{
    assert (self);
    zmsg_t *msg = zmsg_new ();
    zmsg_addstr (msg, "CONNECT");
    zmsg_addstr (msg, address);
    zmsg_addstr (msg, service);
    zmsg_send (&msg, self->pipe);
}
```

- If the method needs a return code, it can wait for a reply message from the agent.
- If the agent needs to send asynchronous events back to the frontend, we add a `recv` method to the class, which waits for messages on the frontend pipe.
- We may want to expose the frontend pipe socket handle to allow the class to be integrated into further poll loops. Otherwise any `recv` method would block the application.

The clone class has the same structure as the `flcliapi` class from Reliable Request-Reply PatternsChapter 4 and adds the logic from the last model of the Clone client. Without ZeroMQ, this kind of multithreaded API design would be weeks of really hard work. With ZeroMQ, it was a day or two of work.

The actual API methods for the clone class are quite simple:

```
// Create a new clone class instance
clone_t *
clone_new (void);

// Destroy a clone class instance
void
clone_destroy (clone_t **self_p);

// Define the subtree, if any, for this clone class
void
clone_subtree (clone_t *self, char *subtree);

// Connect the clone class to one server
void
clone_connect (clone_t *self, char *address, char *service);

// Set a value in the shared hashmap
void
clone_set (clone_t *self, char *key, char *value, int ttl);
```

```
// Get a value from the shared hashmap
char *
    clone_get (clone_t *self, char *key);
```

So here is Model Six of the clone client, which has now become just a thin shell using the clone class:

Example 5-18. Clone client, Model Six (clonecli6.lua)

(This example still needs translation into Lua)

Note the connect method, which specifies one server endpoint. Under the hood, we're in fact talking to three ports. However, as the CHP protocol says, the three ports are on consecutive port numbers:

- The server state router (ROUTER) is at port P.
- The server updates publisher (PUB) is at port P + 1.
- The server updates subscriber (SUB) is at port P + 2.

So we can fold the three connections into one logical operation (which we implement as three separate ZeroMQ connect calls).

Let's end with the source code for the clone stack. This is a complex piece of code, but easier to understand when you break it into the frontend object class and the backend agent. The frontend sends string commands ("SUBTREE", "CONNECT", "SET", "GET") to the agent, which handles these commands as well as talking to the server(s). Here is the agent's logic:

1. Start up by getting a snapshot from the first server
2. When we get a snapshot switch to reading from the subscriber socket.
3. If we don't get a snapshot then fail over to the second server.
4. Poll on the pipe and the subscriber socket.
5. If we got input on the pipe, handle the control message from the frontend object.
6. If we got input on the subscriber, store or apply the update.
7. If we didn't get anything from the server within a certain time, fail over.
8. Repeat until the process is interrupted by Ctrl-C.

And here is the actual clone class implementation:

Example 5-19. Clone class (clone.lua)

(This example still needs translation into Lua)

II. Advanced ØMQ

The second part of this book is about software engineering using ØMQ. I'll introduce a set of techniques of software development, and demonstrate them with worked examples, starting with ØMQ itself and ending with a general purpose framework for distributed applications. These techniques are independent of license, though open source amplifies them.

Chapter 6. The ZeroMQ Community

People sometimes ask me what's so special about ZeroMQ. My standard answer is that ZeroMQ is arguably the best answer we have to the vexing question of "How do we make the distributed software that the 21st century demands?" But more than that, ZeroMQ is special because of its community. This is ultimately what separates the wolves from the sheep.

There are three main open source patterns. The first is the large firm dumping code to break the market for others. This is the Apache Foundation model. The second is tiny teams or small firms building their dream. This is the most common open source model, which can be very successful commercially. The last is aggressive and diverse communities that swarm over a problem landscape. This is the Linux model, and the one to which we aspire with ZeroMQ.

It's hard to overemphasize the power and persistence of a working open source community. There really does not seem to be a better way of making software for the long term. Not only does the community choose the best problems to solve, it solves them minimally, carefully, and it then looks after these answers for years, decades, until they're no longer relevant, and then it quietly puts them away.

To really benefit from ZeroMQ, you need to understand the community. At some point down the road you'll want to submit a patch, an issue, or an add-on. You might want to ask someone for help. You will probably want to bet a part of your business on ZeroMQ, and when I tell you that the community is much, much more important than the company that backs the product, even though I'm CEO of that company, this should be significant.

In this chapter I'm going to look at our community from several angles and conclude by explaining in detail our contract for collaboration, which we call "C4" (<http://rfc.zeromq.org/spec:22>). You should find the discussion useful for your own work. We've also adapted the ZeroMQ C4 process for closed source projects with good success.

We'll cover:

- The rough structure of ZeroMQ as a set of projects
- What "software architecture" is really about
- Why we use the LGPL and not the BSD license
- How we designed and grew the ZeroMQ community
- The business that backs ZeroMQ
- Who owns the ZeroMQ source code
- How to make and submit a patch to ZeroMQ
- Who controls what patches actually go into ZeroMQ
- How we guarantee compatibility with old code
- Why we don't use public git branches

- Who decides on the ZeroMQ road map
- A worked example of a change to `libzmq`

6.1. Architecture of the ZeroMQ Community

You know that ZeroMQ is an LGPL-licensed project. In fact it's a collection of projects, built around the core library, `libzmq`. I'll visualize these projects as an expanding galaxy:

- At the core, `libzmq` is the ZeroMQ core library. It's written in C++, with a low-level C API. The code is nasty, mainly because it's highly optimized but also because it's written in C++, a language that lends itself to subtle and deep nastiness. Martin Sustrik wrote the bulk of this code. Today it has dozens of people who maintain different parts of it.
- Around `libzmq`, there are about 50 *bindings*. These are individual projects that create higher-level APIs for ZeroMQ, or at least map the low-level API into other languages. The bindings vary in quality from experimental to utterly awesome. Probably the most impressive binding is PyZMQ (<https://github.com/zeromq/pyzmq>), which was one of the first community projects on top of ZeroMQ. If you are a binding author, you should really study PyZMQ and aspire to making your code and community as great.
- A lot of languages have multiple bindings (Erlang, Ruby, C#, at least) written by different people over time, or taking varying approaches. We don't regulate these in any way. There are no "official" bindings. You vote by using one or the other, contributing to it, or ignoring it.
- There are a series of reimplementations of `libzmq`, starting with JeroMQ, a full Java translation of the library, which is now the basis for NetMQ, a C# stack. These native stacks offer similar or identical APIs, and speak the same protocol (ZMTP) as `libzmq`.
- On top of the bindings are a lot of projects that use ZeroMQ or build on it. See the "Labs" page on the wiki for a long list of projects and proto-projects that use ZeroMQ in some way. There are frameworks, web servers like Mongrel2, brokers like Majordomo, and enterprise open source tools like Storm.

`Libzmq`, most of the bindings, and some of the outer projects sit in the ZeroMQ community "organization" (<https://github.com/organizations/zeromq>) on GitHub. This organization is "run" by a group consisting of the most senior binding authors. There's very little to run as it's almost all self-managing and there's zero conflict these days.

iMatix, my firm, plays a specific role in the community. We own the trademarks and enforce them discretely in order to make sure that if you download a package calling itself "ZeroMQ", you can trust what you are getting. People have on rare occasion tried to hijack the name, maybe believing that "free software" means there is no property at stake and no one willing to defend it. One thing you'll understand from this chapter is how seriously we take the process behind our software (and I mean "us" as a community, not a company). iMatix backs the community by enforcing that process on anything calling itself "ZeroMQ" or "ZeroMQ". We also put money and time into the software and packaging for reasons I'll explain later.

It is not a charity exercise. ZeroMQ is a for-profit project, and a very profitable one. The profits are widely distributed among all those who invest in it. It's really that simple: take the time to become an expert in ZeroMQ, or build something useful on top of ZeroMQ, and you'll find your value as an individual, or team, or company increasing. iMatix enjoys the same benefits as everyone else in the community. It's win-win to everyone except our competitors, who find themselves facing a threat they can't beat and can't really escape. ZeroMQ dominates the future world of massively distributed software.

My firm doesn't just have the community's back--we also built the community. This was deliberate work; in the original ZeroMQ white paper from 2007, there were two projects. One was technical, how to make a better messaging system. The second was how to build a community that could take the software to dominant success. Software dies, but community survives.

6.2. How to Make Really Large Architectures

There are, it has been said (at least by people reading this sentence out loud), two ways to make really large-scale software. Option One is to throw massive amounts of money and problems at empires of smart people, and hope that what emerges is not yet another career killer. If you're very lucky and are building on lots of experience, have kept your teams solid, and are not aiming for technical brilliance, and are furthermore incredibly lucky, it works.

But gambling with hundreds of millions of others' money isn't for everyone. For the rest of us who want to build large-scale software, there's Option Two, which is open source, and more specifically, *free software*. If you're asking how the choice of software license is relevant to the scale of the software you build, that's the right question.

The brilliant and visionary Eben Moglen once said, roughly, that a free software license is the contract on which a community builds. When I heard this, about ten years ago, the idea came to me--*Can we deliberately grow free software communities?*

Ten years later, the answer is "yes", and there is almost a science to it. I say "almost" because we don't yet have enough evidence of people doing this deliberately with a documented, reproducible process. It is what I'm trying to do with Social Architecture (<http://cultureandempire.com/cande.html#/4/6>). ZeroMQ came after Wikidot, after the Digital Standards Organization (<http://www.digistan.org>) (Digistan) and after the Foundation for a Free Information Infrastructure (<http://www.ffii.org>) (aka the FFII, an NGO that fights against software patents). This all came after a lot of less successful community projects like Xitami and Libero. My main takeaway from a long career of projects of every conceivable format is: if you want to build truly large-scale and long-lasting software, aim to build a free software community.

6.2.1. Psychology of Software Architecture

Dirkjan Ochtman pointed me to Wikipedia's definition of Software Architecture (http://en.wikipedia.org/wiki/Software_architecture) as "the set of structures needed to reason about the

system, which comprise software elements, relations among them, and properties of both". For me this vapid and circular jargon is a good example of how miserably little we understand what actually makes a successful large scale software architecture.

Architecture is the art and science of making large artificial structures for human use. If there is one thing I've learned and applied successfully in 30 years of making larger and larger software systems, it is this: *software is about people*. Large structures in themselves are meaningless. It's how they function for *human use* that matters. And in software, human use starts with the programmers who make the software itself.

The core problems in software architecture are driven by human psychology, not technology. There are many ways our psychology affects our work. I could point to the way teams seem to get stupider as they get larger or when they have to work across larger distances. Does that mean the smaller the team, the more effective? How then does a large global community like ZeroMQ manage to work successfully?

The ZeroMQ community wasn't accidental. It was a deliberate design, my contribution to the early days when the code came out of a cellar in Bratislava. The design was based on my pet science of "Social Architecture", which Wikipedia defines (http://en.wikipedia.org/wiki/Social_architecture) as "the conscious design of an environment that encourages a desired range of social behaviors leading towards some goal or set of goals." I define this as more specifically as "the process, and the product, of planning, designing, and growing an online community."

One of the tenets of Social Architecture is that *how we organize* is more significant than *who we are*. The same group, organized differently, can produce wholly different results. We are like peers in a ZeroMQ network, and our communication patterns have a dramatic impact on our performance. Ordinary people, well connected, can far outperform a team of experts using poor patterns. If you're the architect of a larger ZeroMQ application, you're going to have to help others find the right patterns for working together. Do this right, and your project can succeed. Do it wrong, and your project will fail.

The two most important psychological elements are that we're really bad at understanding complexity and that we are so good at working together to divide and conquer large problems. We're highly social apes, and kind of smart, but only in the right kind of crowd.

So here is my short list of the Psychological Elements of Software Architecture:

- **Stupidity:** our mental bandwidth is limited, so we're all stupid at some point. The architecture has to be simple to understand. This is the number one rule: simplicity beats functionality, every single time. If you can't understand an architecture on a cold gray Monday morning before coffee, it is too complex.
- **Selfishness:** we act only out of self-interest, so the architecture must create space and opportunity for selfish acts that benefit the whole. Selfishness is often indirect and subtle. For example, I'll spend hours helping someone else understand something because that could be worth days to me later.
- **Laziness:** we make lots of assumptions, many of which are wrong. We are happiest when we can spend the least effort to get a result or to test an assumption quickly, so the architecture has to make

this possible. Specifically, that means it must be simple.

- **Jealousy:** we're jealous of others, which means we'll overcome our stupidity and laziness to prove others wrong and beat them in competition. The architecture thus has to create space for public competition based on fair rules that anyone can understand.
- **Fear:** we're unwilling to take risks, especially if it makes us look stupid. Fear of failure is a major reason people conform and follow the group in mass stupidity. The architecture should make silent experimentation easy and cheap, giving people opportunity for success without punishing failure.
- **Reciprocity:** we'll pay extra in terms of hard work, even money, to punish cheats and enforce fair rules. The architecture should be heavily rule-based, telling people how to work together, but not what to work on.
- **Conformity:** we're happiest to conform, out of fear and laziness, which means if the patterns are good, clearly explained and documented, and fairly enforced, we'll naturally choose the right path every time.
- **Pride:** we're intensely aware of our social status, and we'll work hard to avoid looking stupid or incompetent in public. The architecture has to make sure every piece we make has our name on it, so we'll have sleepless nights stressing about what others will say about our work.
- **Greed:** we're ultimately economic animals (see selfishness), so the architecture has to give us economic incentive to invest in making it happen. Maybe it's polishing our reputation as experts, maybe it's literally making money from some skill or component. It doesn't matter what it is, but there must be economic incentive. Think of architecture as a market place, not an engineering design.

These strategies work on a large scale but also on a small scale, within an organization or team.

6.2.2. The Importance of Contracts

Let me discuss a contentious but important area, which is what license to choose. I'll say "BSD" to cover MIT, X11, BSD, Apache, and similar licenses, and "GPL" to cover GPLv3, LGPLv3, and AGPLv3. The significant difference is the obligation to share back any forked versions, which prevents any entity from capturing the software, and thus keeps it "free".

A software license isn't technically a contract since you don't sign anything. But broadly, calling it a contract is useful since it takes the obligations of each party, and makes them legally enforceable in court, under copyright law.

You might ask, why do we need contracts at all to make open source? Surely it's all about decency, goodwill, people working together for selfless motives. Surely the principle of "less is more" applies here of all places? Don't more rules mean less freedom? Do we really need lawyers to tell us how to work together? It seems cynical and even counter-productive to force a restrictive set of rules on the happy communes of free and open source software.

But the truth about human nature is not that pretty. We're not really angels, nor devils, just self-interested winners descended from a billion-year unbroken line of winners. In business, marriage, and collective

works, sooner or later, we either stop caring, or we fight and we argue.

Put this another way: a collective work has two extreme outcomes. Either it's a failure, irrelevant, and worthless, in which case every sane person walks away, without a fight. Or, it's a success, relevant, and valuable, in which case we start jockeying for power, control, and often, money.

What a well-written contract does is to protect those valuable relationships from conflict. A marriage where the terms of divorce are clearly agreed up-front is much less likely to end in divorce. A business deal where both parties agree how to resolve various classic conflicts--such as one party stealing the others' clients or staff--is much less likely to end in conflict.

Similarly, a software project that has a well-written contract that defines the terms of breakup clearly is much less likely to end in breakup. The alternative seems to be to immerse the project into a larger organization that can assert pressure on teams to work together (or lose the backing and branding of the organization). This is for example how the Apache Foundation works. In my experience organization building has its own costs, and ends up favoring wealthier participants (who can afford those sometimes huge costs).

In an open source or free software project, breakup usually takes the form of a fork, where the community splits into two or more groups, each with different visions of the future. During the honeymoon period of a project, which can last years, there's no question of a breakup. It is as a project begins to be worth money, or as the main authors start to burn out, that the goodwill and generosity tends to dry up.

So when discussing software licenses, for the code you write or the code you use, a little cynicism helps. Ask yourself, not "which license will attract more contributors?" because the answer to that lies in the mission statement and contribution process. Ask yourself, "if this project had a big fight, and split three ways, which license would save us?" Or, "if the whole team was bought by a hostile firm that wanted to turn this code into a proprietary product, which license would save us?"

Long-term survival means enduring the bad times, as well as enjoying the good ones.

When BSD projects fork, they cannot easily merge again. Indeed, one-way forking of BSD projects is quite systematic: every time BSD code ends up in a commercial project, this is what's happened. When GPL projects fork, however, re-merging is trivial.

The GPL's story is relevant here. Though communities of programmers sharing their code openly were already significant by the 1980's, they tended to use minimal licenses that worked as long as no real money got involved. There was an important language stack called Emacs, originally built in Lisp by Richard Stallman. Another programmer, James Gosling (who later gave us Java), rewrote Emacs in C with the help of many contributors, on the assumption that it would be open. Stallman got that code and used it as the basis for his own C version. Gosling then sold the code to a firm which turned around and blocked anyone distributing a competing product. Stallman found this sale of the common work hugely

unethical, and began developing a reusable license that would protect communities from this.

What eventually emerged was the GNU General Public License, which used traditional copyright to force remixability. It was a neat hack that spread to other domains, for instance the Creative Commons for photography and music. In 2007, we saw version 3 of the license, which was a response to belated attacks from Microsoft and others on the concept. It has become a long and complex document but corporate copyright lawyers have become familiar with it and in my experience, few companies mind using GPL software and libraries, so long as the boundaries are clearly defined.

Thus, a good contract--and I consider the modern GPL to be the best for software--lets programmers work together without upfront agreements, organizations, or assumptions of decency and goodwill. It makes it cheaper to collaborate, and turns conflict into healthy competition. GPL doesn't just define what happens with a fork, it actively encourages forks as a tool for experimentation and learning. Whereas a fork can kill a project with a "more liberal" license, GPL projects thrive on forks since successful experiments can, by contract, be remixed back into the mainstream.

Yes, there are many thriving BSD projects and many dead GPL ones. It's always wrong to generalize. A project will thrive or die for many reasons. However, in a competitive sport, one needs every advantage.

The other important part of the BSD vs. GPL story is what I call "leakage", which is the effect of pouring water into a pot with a small but real hole in the bottom.

6.2.3. Eat Me

Here is a story. It happened to the eldest brother-in-law of the cousin of a friend of mine's colleague at work. His name was, and still is, Patrick.

Patrick was a computer scientist with a PhD in advanced network topologies. He spent two years and his savings building a new product, and choose the BSD license because he believed that would get him more adoption. He worked in his attic, at great personal cost, and proudly published his work. People applauded, for it was truly fantastic, and his mailing lists were soon abuzz with activity and patches and happy chatter. Many companies told him how they were saving millions using his work. Some of them even paid him for consultancy and training. He was invited to speak at conferences and started collecting badges with his name on them. He started a small business, hired a friend to work with him, and dreamed of making it big.

Then one day, someone pointed him to a new project, GPL licensed, which had forked his work and was improving on it. He was irritated and upset, and asked how people--fellow open sourcers, no less!--would so shamelessly steal his code. There were long arguments on the list about whether it was even legal to relicense their BSD code as GPL code. Turned out, it was. He tried to ignore the new project, but then he soon realized that new patches coming from that project *couldn't even be merged back* into his work!

Worse, the GPL project got popular and some of his core contributors made first small, and then larger patches to it. Again, he couldn't use those changes, and he felt abandoned. Patrick went into a depression, his girlfriend left him for an international currency dealer called, weirdly, Patrice, and he stopped all work on the project. He felt betrayed, and utterly miserable. He fired his friend, who took it rather badly and told everyone that Patrick was a closet banjo player. Finally, Patrick took a job as a project manager for a cloud company, and by the age of forty, he had stopped programming even for fun.

Poor Patrick. I almost felt sorry for him. Then I asked him, "Why didn't you choose the GPL?" "Because it's a restrictive viral license", he replied. I told him, "You may have a PhD, and you may be the eldest brother-in-law of the cousin of a friend of my colleague, but you are an idiot and Monique was smart to leave you. You published your work inviting people to please steal your code as long as they kept this 'please steal my code' statement in the resulting work", and when people did exactly that, you got upset. Worse, you were a hypocrite because when they did it in secret, you were happy, but when they did it openly, you felt betrayed."

Seeing your hard work captured by a smarter team and then used against you is enormously painful, so why even make that possible? Every proprietary project that uses BSD code is capturing it. A public GPL fork is perhaps more humiliating, but it's fully self-inflicted.

BSD is like food. It literally (and I mean that metaphorically) whispers "eat me" in the little voice one imagines a cube of cheese might use when it's sitting next to an empty bottle of the best beer in the world, which is of course Orval, brewed by an ancient and almost extinct order of silent Belgian monks called *Les Gars Labas Qui Fabrique l'Orval*. The BSD license, like its near clone MIT/X11, was designed specifically by a university (Berkeley) with no profit motive to leak work and effort. It is a way to push subsidized technology at below its cost price, a dumping of under-priced code in the hope that it will break the market for others. BSD is an *excellent* strategic tool, but only if you're a large well-funded institution that can afford to use Option One. The Apache license is BSD in a suit.

For us small businesses who aim our investments like precious bullets, leaking work and effort is unacceptable. Breaking the market is great, but we cannot afford to subsidize our competitors. The BSD networking stack ended up putting Windows on the Internet. We cannot afford battles with those we should naturally be allies with. We cannot afford to make fundamental business errors because in the end, that means we have to fire people.

It comes down to behavioral economics and game theory. *The license we choose modifies the economics of those who use our work.* In the software industry, there are friends, foes, and food. BSD makes most people see us as lunch. Closed source makes most people see us as enemies (do you *like* paying people for software?) GPL, however, makes most people, with the exception of the Patricks of the world, our allies. Any fork of ZeroMQ is license compatible with ZeroMQ, to the point where we *encourage* forks as a valuable tool for experimentation. Yes, it can be weird to see someone try to run off with the ball but here's the secret, *I can get it back any time I want.*

6.2.4. The Process

If you've accepted my thesis up to now, great! Now, I'll explain the rough process by which we actually build an open source community. This was how we built or grew or gently steered the ZeroMQ community into existence.

Your goal as leader of a community is to motivate people to get out there and explore; to ensure they can do so safely and without disturbing others; to reward them when they make successful discoveries; and to ensure they share their knowledge with everyone else (and not because we ask them, not because they feel generous, but because it's The Law).

It is an iterative process. You make a small product, at your own cost, but in public view. You then build a small community around that product. If you have a small but real hit, the community then helps design and build the next version, and grows larger. And then that community builds the next version, and so on. It's evident that you remain part of the community, maybe even a majority contributor, but the more control you try to assert over the material results, the less people will want to participate. Plan your own retirement well before someone decides you are their next problem.

6.2.5. Crazy, Beautiful, and Easy

You need a goal that's crazy and simple enough to get people out of bed in the morning. Your community has to attract the very best people and that demands something special. With ZeroMQ, we said we were going to make "the Fastest. Messaging. Ever.", which qualifies as a good motivator. If we'd said, we're going to make "a smart transport layer that'll connect your moving pieces cheaply and flexibly across your enterprise", we'd have failed.

Then your work must be beautiful, immediately useful, and attractive. Your contributors are users who want to explore just a little beyond where they are now. Make it simple, elegant, and brutally clean. The experience when people run or use your work should be an emotional one. They should *feel* something, and if you accurately solved even just one big problem that until then they didn't quite realize they faced, you'll have a small part of their soul.

It must be easy to understand, use, and join. Too many projects have barriers to access: put yourself in the other person's mind and see all the reasons they come to your site, thinking "Um, interesting project, but..." and then leave. You want them to stay and try it, just once. Use GitHub and put the issue tracker right there.

If you do these things well, your community will be smart but more importantly, it will be intellectually and geographically diverse. This is really important. A group of like-minded experts cannot explore the problem landscape well. They tend to make big mistakes. Diversity beats education any time.

6.2.6. Stranger, Meet Stranger

How much up-front agreement do two people need to work together on something? In most organizations, a lot. But you can bring this cost down to near-zero, and then people can collaborate without having ever met, done a phone conference, meeting, or business trip to discuss Roles and Responsibilities over way too many bottles of cheap Korean rice wine.

You need well-written rules that are designed by cynical people like me to force strangers into mutually beneficial collaboration instead of conflict. The GPL is a good start. GitHub and its fork/merge strategy is a good follow-up. And then you want something like our C4 rulebook (<http://rfc.zeromq.org/spec:22>) to control how work actually happens.

C4 (which I now use for every new open source project) has detailed and tested answers to a lot of common mistakes people make, such as the sin of working offline in a corner with others "because it's faster". Transparency is essential to get trust, which is essential to get scale. By forcing every single change through a single transparent process, you build real trust in the results.

Another cardinal sin that many open source developers make is to place themselves above others. "I founded this project thus my intellect is superior to that of others". It's not just immodest and rude, and usually inaccurate, it's also poor business. The rules must apply equally to everyone, without distinction. You are part of the community. Your job, as founder of a project, is not to impose your vision of the product over others, but to make sure the rules are good, honest, and *enforced*.

6.2.7. Infinite Property

One of the saddest myths of the knowledge business is that ideas are a sensible form of property. It's medieval nonsense that should have been junked along with slavery, but sadly it's still making too many powerful people too much money.

Ideas are cheap. What does work sensibly as property is the hard work we do in building a market. "You eat what you kill" is the right model for encouraging people to work hard. Whether it's moral authority over a project, money from consulting, or the sale of a trademark to some large, rich firm: if you make it, you own it. But what you really own is "footfall", participants in your project, which ultimately defines your power.

To do this requires infinite free space. Thankfully, GitHub solved this problem for us, for which I will die a grateful person (there are many reasons to be grateful in life, which I won't list here because we only have a hundred or so pages left, but this is one of them).

You cannot scale a single project with many owners like you can scale a collection of many small projects, each with fewer owners. When we embrace forks, a person can become an "owner" with a single click. Now they just have to convince others to join by demonstrating their unique value.

So in ZeroMQ, we aimed to make it easy to write bindings on top of the core library, and we stopped trying to make those bindings ourselves. This created space for others to make those, become their owners, and get that credit.

6.2.8. Care and Feeding

I wish a community could be 100% self-steering, and perhaps one day this will work, but today it's not the case. We're very close with ZeroMQ, but from my experience a community needs four types of care and feeding:

- First, simply because most people are too nice, we need some kind of symbolic leadership or owners who provide ultimate authority in case of conflict. Usually it's the founders of the community. I've seen it work with self-elected groups of "elders", but old men like to talk a lot. I've seen communities split over the question "who is in charge?", and setting up legal entities with boards and such seems to make arguments over control worse, not better. Maybe because there seems to be more to fight over. One of the real benefits of free software is that it's always remixable, so instead of fighting over a pie, one simply forks the pie.
- Second, communities need living rules, and thus they need a lawyer able to formulate and write these down. Rules are critical; when done right, they remove friction. When done wrong, or neglected, we see real friction and argument that can drive away the nice majority, leaving the argumentative core in charge of the burning house. One thing I've tried to do with the ZeroMQ and previous communities is create reusable rules, which perhaps means we don't need lawyers as much.
- Thirdly, communities need some kind of financial backing. This is the jagged rock that breaks most ships. If you starve a community, it becomes more creative but the core contributors burn out. If you pour too much money into it, you attract the professionals, who never say "no", and the community loses its diversity and creativity. If you create a fund for people to share, they will fight (bitterly) over it. With ZeroMQ, we (iMatix) spend our time and money on marketing and packaging (like this book), and the basic care, like bug fixes, releases, and websites.
- Lastly, sales and commercial mediation are important. There is a natural market between expert contributors and customers, but both are somewhat incompetent at talking to each other. Customers assume that support is free or very cheap because the software is free. Contributors are shy at asking a fair rate for their work. It makes for a difficult market. A growing part of my work and my firm's profits is simply connecting ZeroMQ users who want help with experts from the community able to provide it, and ensuring both sides are happy with the results.

I've seen communities of brilliant people with noble goals dying because the founders got some or all of these four things wrong. The core problem is that you can't expect consistently great leadership from any one company, person, or group. What works today often won't work tomorrow, yet structures become more solid, not more flexible, over time.

The best answer I can find is a mix of two things. One, the GPL and its guarantee of remixability. No matter how bad the authority, no matter how much they try to privatize and capture the community's work, if it's GPL licensed, that work can walk away and find a better authority. Before you say, "all open source offers this," think it through. I can kill a BSD-licensed project by hiring the core contributors and

not releasing any new patches. But even with a billion of dollars, I *cannot* kill a GPL-licensed project. Two, the philosophical anarchist model of authority, which is that we choose it, it does not own us.

6.3. The ZeroMQ Process: C4

When we say ZeroMQ we sometimes mean `libzmq`, the core library. In early 2012, we synthesized the `libzmq` process into a formal protocol for collaboration that we called the Collective Code Construction Contract (<http://rfc.zeromq.org/spec:22>), or C4. You can see this as a layer above the GPL. These are our rules, and I'll explain the reasoning behind each one.

C4 is an evolution of the GitHub Fork + Pull Model (<https://help.github.com/articles/about-pull-requests/>). You may get the feeling I'm a fan of git and GitHub. This would be accurate: these two tools have made such a positive impact on our work over the last years, especially when it comes to building community.

6.3.1. Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119.

By starting with the RFC 2119 language, the C4 text makes very clear its intention to act as a protocol rather than a randomly written set of recommendations. A protocol is a contract between parties that defines the rights and obligations of each party. These can be peers in a network or they can be strangers working in the same project.

I think C4 is the first time anyone has attempted to codify a community's rulebook as a formal and reusable protocol spec. Previously, our rules were spread out over several wiki pages, and were quite specific to `libzmq` in many ways. But experience teaches us that the more formal, accurate, and reusable the rules, the easier it is for strangers to collaborate up-front. And less friction means a more scalable community. At the time of C4, we also had some disagreement in the `libzmq` project over precisely what process we were using. Not everyone felt bound by the same rules. Let's just say some people felt they had a special status, which created friction with the rest of the community. So codification made things clear.

It's easy to use C4: just host your project on GitHub, get one other person to join, and open the floor to pull requests. In your README, put a link to C4 and that's it. We've done this in quite a few projects and it does seem to work. I've been pleasantly surprised a few times just applying these rules to my own work, like CZMQ. None of us are so amazing that we can work without others.

6.3.2. Goals

C4 is meant to provide a reusable optimal collaboration model for open source software projects.

The short term reason for writing C4 was to end arguments over the `libzmq` contribution process. The dissenters went off elsewhere. The ZeroMQ community blossomed (<https://github.com/zeromq/libzmq/graphs/contributors>) smoothly and easily, as I'd predicted. Most people were surprised, but gratified. There's been no real criticisms of C4 except its branching policy, which I'll come to later as it deserves its own discussion.

There's a reason I'm reviewing history here: as founder of a community, you are asking people to invest in your property, trademark, and branding. In return, and this is what we do with ZeroMQ, you can use that branding to set a bar for quality. When you download a product labeled "ZeroMQ", you know that it's been produced to certain standards. It's a basic rule of quality: write down your process; otherwise you cannot improve it. Our processes aren't perfect, nor can they ever be. But any flaw in them can be fixed, and tested.

Making C4 reusable is therefore really important. To learn more about the best possible process, we need to get results from the widest range of projects.

It has these specific goals:

To maximize the scale of the community around a project, by reducing the friction for new Contributors and creating a scaled participation model with strong positive feedbacks;

The number one goal is size and health of the community--not technical quality, not profits, not performance, not market share. The goal is simply the number of people who contribute to the project. The science here is simple: the larger the community, the more accurate the results.

To relieve dependencies on key individuals by separating different skill sets so that there is a larger pool of competence in any required domain;

Perhaps the worst problem we faced in `libzmq` was dependence on people who could understand the code, manage GitHub branches, and make clean releases--all at the same time. It's like looking for athletes who can run marathons and sprint, swim, and also lift weights. We humans are really good at specialization. Asking us to be really good at two contradictory things reduces the number of candidates sharply, which is a Bad Thing for any project. We had this problem severely in `libzmq` in 2009 or so, and fixed it by splitting the role of maintainer into two: one person makes patches and another makes releases.

To allow the project to develop faster and more accurately, by increasing the diversity of the decision making process;

This is theory--not fully proven, but not falsified. The diversity of the community and the number of people who can weigh in on discussions, without fear of being criticized or dismissed, the faster and more accurately the software develops. Speed is quite subjective here. Going very fast in the wrong

direction is not just useless, it's actively damaging (and we suffered a lot of that in `libzmq` before we switched to C4).

To support the natural life cycle of project versions from experimental through to stable, by allowing safe experimentation, rapid failure, and isolation of stable code;

To be honest, this goal seems to be fading into irrelevance. It's quite an interesting effect of the process: *the git master is almost always perfectly stable*. This has to do with the size of changes and their *latency*, i.e., the time between someone writing the code and someone actually using it fully. However, people still expect "stable" releases, so we'll keep this goal there for a while.

To reduce the internal complexity of project repositories, thus making it easier for Contributors to participate and reducing the scope for error;

Curious observation: people who thrive in complex situations like to create complexity because it keeps their value high. It's the Cobra Effect (Google it). Git made branches easy and left us with the all too common syndrome of "git is easy once you understand that a git branch is just a folded five-dimensional lepton space that has a detached history with no intervening cache". Developers should not be made to feel stupid by their tools. I've seen too many top-class developers confused by repository structures to accept conventional wisdom on git branches. We'll come back to dispose of git branches shortly, dear reader.

To enforce collective ownership of the project, which increases economic incentive to Contributors and reduces the risk of hijack by hostile entities.

Ultimately, we're economic creatures, and the sense that "we own this, and our work can never be used against us" makes it much easier for people to invest in an open source project like ZeroMQ. And it can't be just a feeling, it has to be real. There are a number of aspects to making collective ownership work, we'll see these one-by-one as we go through C4.

6.3.3. Preliminaries

The project SHALL use the git distributed revision control system.

Git has its faults. Its command-line API is horribly inconsistent, and it has a complex, messy internal model that it shoves in your face at the slightest provocation. But despite doing its best to make its users feel stupid, git does its job really, really well. More pragmatically, I've found that if you stay away from certain areas (branches!), people learn git rapidly and don't make many mistakes. That works for me.

The project SHALL be hosted on github.com or equivalent, herein called the "Platform".

I'm sure one day some large firm will buy GitHub and break it, and another platform will rise in its place. Until then, Github serves up a near-perfect set of minimal, fast, simple tools. I've thrown hundreds of people at it, and they all stick like flies stuck in a dish of honey.

The project SHALL use the Platform issue tracker.

We made the mistake in `libzmq` of switching to Jira because we hadn't learned yet how to properly use the GitHub issue tracker. Jira is a great example of how to turn something useful into a complex mess because the business depends on selling more "features". But even without criticizing Jira, keeping the issue tracker on the same platform means one less UI to learn, one less login, and smooth integration between issues and patches.

The project **SHOULD** have clearly documented guidelines for code style.

This is a protocol plug-in: insert code style guidelines here. If you don't document the code style you use, you have no basis except prejudice to reject patches.

A "Contributor" is a person who wishes to provide a patch, being a set of commits that solve some clearly identified problem.

A "Maintainer" is a person who merge patches to the project. Maintainers are not developers; their job is to enforce process.

Now we move on to definitions of the parties, and the splitting of roles that saved us from the sin of structural dependency on rare individuals. This worked well in `libzmq`, but as you will see it depends on the rest of the process. C4 isn't a buffet; you will need the whole process (or something very like it), or it won't hold together.

Contributors **SHALL NOT** have commit access to the repository unless they are also Maintainers.

Maintainers **SHALL** have commit access to the repository.

What we wanted to avoid was people pushing their changes directly to master. This was the biggest source of trouble in `libzmq` historically: large masses of raw code that took months or years to fully stabilize. We eventually followed other ZeroMQ projects like PyZMQ in using pull requests. We went further, and stipulated that *all* changes had to follow the same path. No exceptions for "special people".

Everyone, without distinction or discrimination, **SHALL** have an equal right to become a Contributor under the terms of this contract.

We had to state this explicitly. It used to be that the `libzmq` maintainers would reject patches simply because they didn't like them. Now, that may sound reasonable to the author of a library (though `libzmq` was not written by any one person), but let's remember our goal of creating a work that is owned by as many people as possible. Saying "I don't like your patch so I'm going to reject it" is equivalent to saying, "I claim to own this and I think I'm better than you, and I don't trust you". Those are toxic messages to give to others who are thinking of becoming your co-investors.

I think this fight between individual expertise and collective intelligence plays out in other areas. It defined Wikipedia, and still does, a decade after that work surpassed anything built by small groups of experts. For me, we make software by slowly synthesizing the most accurate knowledge, much as we make Wikipedia articles.

6.3.4. Licensing and Ownership

The project SHALL use the GPLv3 or a variant thereof (LGPL, AGPL).

I've already explained how full remixability creates better scale and why the GPL and its variants seems the optimal contract for remixable software. If you're a large business aiming to dump code on the market, you won't want C4, but then you won't really care about community either.

All contributions to the project source code ("patches") SHALL use the same license as the project.

This removes the need for any specific license or contribution agreement for patches. You fork the GPL code, you publish your remixed version on GitHub, and you or anyone else can then submit that as a patch to the original code. BSD doesn't allow this. Any work that contains BSD code may also contain unlicensed proprietary code so you need explicit action from the author of the code before you can remix it.

All patches are owned by their authors. There SHALL NOT be any copyright assignment process.

Here we come to the key reason people trust their investments in ZeroMQ: it's logistically impossible to buy the copyrights to create a closed source competitor to ZeroMQ. iMatix can't do this either. And the more people that send patches, the harder it becomes. ZeroMQ isn't just free and open today--this specific rule means it will remain so forever. Note that it's not the case in all GPL projects, many of which still ask for copyright transfer back to the maintainers.

The project SHALL be owned collectively by all its Contributors.

This is perhaps redundant, but worth saying: if everyone owns their patches, then the resulting whole is also owned by every contributor. There's no legal concept of owning lines of code: the "work" is at least a source file.

Each Contributor SHALL be responsible for identifying themselves in the project Contributor list.

In other words, the maintainers are not karma accountants. Anyone who wants credit has to claim it themselves.

6.3.5. Patch Requirements

In this section, we define the obligations of the contributor: specifically, what constitutes a "valid" patch, so that maintainers have rules they can use to accept or reject patches.

Maintainers and Contributors MUST have a Platform account and SHOULD use their real names or a well-known alias.

In the worst case scenario, where someone has submitted toxic code (patented, or owned by someone else), we need to be able to trace who and when, so we can remove the code. Asking for real names or a

well-known alias is a theoretical strategy for reducing the risk of bogus patches. We don't know if this actually works because we haven't had the problem yet.

A patch SHOULD be a minimal and accurate answer to exactly one identified and agreed problem.

This implements the Simplicity Oriented Design process that I'll come to later in this chapter. One clear problem, one minimal solution, apply, test, repeat.

A patch MUST adhere to the code style guidelines of the project if these are defined.

This is just sanity. I've spent time cleaning up other peoples' patches because they insisted on putting the `else` beside the `if` instead of just below as Nature intended. Consistent code is healthier.

A patch MUST adhere to the "Evolution of Public Contracts" guidelines defined below.

Ah, the pain, the pain. I'm not speaking of the time at age eight when I stepped on a plank with a 4-inch nail protruding from it. That was relatively OK. I'm speaking of 2010-2011 when we had multiple parallel releases of ZeroMQ, each with different *incompatible* APIs or wire protocols. It was an exercise in bad rules, pointlessly enforced, that still hurts us today. The rule was, "If you change the API or protocol, you SHALL create a new major version". Give me the nail through the foot; that hurt less.

One of the big changes we made with C4 was simply to ban, outright, this kind of sanctioned sabotage. Amazingly, it's not even hard. We just don't allow the breaking of existing public contracts, period, unless everyone agrees, in which case no period. As Linus Torvalds famously put it on 23 December 2012, "WE DO NOT BREAK USERSPACE!"

A patch SHALL NOT include nontrivial code from other projects unless the Contributor is the original author of that code.

This rule has two effects. The first is that it forces people to make minimal solutions because they cannot simply import swathes of existing code. In the cases where I've seen this happen to projects, it's always bad unless the imported code is very cleanly separated. The second is that it avoids license arguments. You write the patch, you are allowed to publish it as LGPL, and we can merge it back in. But you find a 200-line code fragment on the web, and try to paste that, we'll refuse.

A patch MUST compile cleanly and pass project self-tests on at least the principle target platform.

For cross-platform projects, it is fair to ask that the patch works on the development box used by the contributor.

A patch commit message SHOULD consist of a single short (less than 50 character) line summarizing the change, optionally followed by a blank line and then a more thorough description.

This is a good format for commit messages that fits into email (the first line becomes the subject, and the rest becomes the email body).

A "Correct Patch" is one that satisfies the above requirements.

Just in case it wasn't clear, we're back to legalese and definitions.

6.3.6. Development Process

In this section, we aim to describe the actual development process, step-by-step.

Change on the project SHALL be governed by the pattern of accurately identifying problems and applying minimal, accurate solutions to these problems.

This is a unapologetic ramming through of thirty years' software design experience. It's a profoundly simple approach to design: make minimal, accurate solutions to real problems, nothing more or less. In ZeroMQ, we don't have feature requests. Treating new features the same as bugs confuses some newcomers. But this process works, and not just in open source. Enunciating the problem we're trying to solve, with every single change, is key to deciding whether the change is worth making or not.

To initiate changes, a user SHALL log an issue on the project Platform issue tracker.

This is meant to stop us from going offline and working in a ghetto, either by ourselves or with others. Although we tend to accept pull requests that have clear argumentation, this rule lets us say "stop" to confused or too-large patches.

The user SHOULD write the issue by describing the problem they face or observe.

"Problem: we need feature X. Solution: make it" is not a good issue. "Problem: user cannot do common tasks A or B except by using a complex workaround. Solution: make feature X" is a decent explanation. Because everyone I've ever worked with has needed to learn this, it seems worth restating: document the real problem first, solution second.

The user SHOULD seek consensus on the accuracy of their observation, and the value of solving the problem.

And because many apparent problems are illusionary, by stating the problem explicitly we give others a chance to correct our logic. "You're only using A and B a lot because function C is unreliable. Solution: make function C work properly."

Users SHALL NOT log feature requests, ideas, suggestions, or any solutions to problems that are not explicitly documented and provable.

There are several reasons for not logging ideas, suggestions, or feature requests. In our experience, these just accumulate in the issue tracker until someone deletes them. But more profoundly, when we treat all change as problem solutions, we can prioritize trivially. Either the problem is real and someone wants to solve it now, or it's not on the table. Thus, wish lists are off the table.

Thus, the release history of the project SHALL be a list of meaningful issues logged and solved.

I'd love the GitHub issue tracker to simply list all the issues we solved in each release. Today we still have to write that by hand. If one puts the issue number in each commit, and if one uses the GitHub issue tracker, which we sadly don't yet do for ZeroMQ, this release history is easier to produce mechanically.

To work on an issue, a Contributor SHALL fork the project repository and then work on their forked repository.

Here we explain the GitHub fork + pull request model so that newcomers only have to learn one process (C4) in order to contribute.

To submit a patch, a Contributor SHALL create a Platform pull request back to the project.

GitHub has made this so simple that we don't need to learn git commands to do it, for which I'm deeply grateful. Sometimes, I'll tell people who I don't particularly like that command-line git is awesome and all they need to do is learn git's internal model in detail before trying to use it on real work. When I see them several months later they look... changed.

A Contributor SHALL NOT commit changes directly to the project.

Anyone who submits a patch is a contributor, and all contributors follow the same rules. No special privileges to the original authors, because otherwise we're not building a community, only boosting our egos.

To discuss a patch, people MAY comment on the Platform pull request, on the commit, or elsewhere.

Randomly distributed discussions may be confusing if you're walking up for the first time, but GitHub solves this for all current participants by sending emails to those who need to follow what's going on. We had the same experience and the same solution in Wikidot, and it works. There's no evidence that discussing in different places has any negative effect.

To accept or reject a patch, a Maintainer SHALL use the Platform interface.

Working via the GitHub web user interface means pull requests are logged as issues, with workflow and discussion. I'm sure there are more complex ways to work. Complexity is easy; it's simplicity that's incredibly hard.

Maintainers SHALL NOT accept their own patches.

There was a rule we defined in the FFII years ago to stop people burning out: no less than two people on any project. One-person projects tend to end in tears, or at least bitter silence. We have quite a lot of data on burnout, why it happens, and how to prevent it (even cure it). I'll explore this later in the chapter, because if you work with or on open source you need to be aware of the risks. The "no merging your own patch" rule has two goals. First, if you want your project to be C4-certified, you have to get at least one other person to help. If no one wants to help you, perhaps you need to rethink your project. Second, having a control for every patch makes it much more satisfying, keeps us more focused, and stops us breaking the rules because we're in a hurry, or just feeling lazy.

Maintainers SHALL NOT make value judgments on correct patches.

We already said this but it's worth repeating: the role of Maintainer is not to judge a patch's substance, only its technical quality. The substantive worth of a patch only emerges over time: people use it, and like it, or they do not. And if no one is using a patch, eventually it'll annoy someone else who will remove it, and no one will complain.

Maintainers SHALL merge correct patches rapidly.

There is a criteria I call *change latency*, which is the round-trip time from identifying a problem to testing a solution. The faster the better. If maintainers cannot respond to pull requests as rapidly as people expect, they're not doing their job (or they need more hands).

The Contributor MAY tag an issue as "Ready" after making a pull request for the issue.

By default, GitHub offers the usual variety of issues, but with C4 we don't use them. Instead, we need just two labels, "Urgent" and "Ready". A contributor who wants another user to test an issue can then label it as "Ready".

The user who created an issue SHOULD close the issue after checking the patch is successful.

When one person opens an issue, and another works on it, it's best to allow the original person to close the issue. That acts as a double-check that the issue was properly resolved.

Maintainers SHOULD ask for improvements to incorrect patches and SHOULD reject incorrect patches if the Contributor does not respond constructively.

Initially, I felt it was worth merging all patches, no matter how poor. There's an element of trolling involved. Accepting even obviously bogus patches could, I felt, pull in more contributors. But people were uncomfortable with this so we defined the "correct patch" rules, and the Maintainer's role in checking for quality. On the negative side, I think we didn't take some interesting risks, which could have paid off with more participants. On the positive side, this has led to `libzmq` master (and in all projects that use C4) being practically production quality, practically all the time.

Any Contributor who has value judgments on a correct patch SHOULD express these via their own patches.

In essence, the goal here is to allow users to try patches rather than to spend time arguing pros and cons. As easy as it is to make a patch, it's as easy to revert it with another patch. You might think this would lead to "patch wars", but that hasn't happened. We've had a handful of cases in `libzmq` where patches by one contributor were killed by another person who felt the experimentation wasn't going in the right direction. It is easier than seeking up-front consensus.

Maintainers MAY commit changes to non-source documentation directly to the project.

This exit allows maintainers who are making release notes to push those without having to create an issue which would then affect the release notes, leading to stress on the space time fabric and possibly involuntary rerouting backwards in the fourth dimension to before the invention of cold beer. Shudder. It is simpler to agree that release notes aren't technically software.

6.3.7. Creating Stable Releases

We want some guarantee of stability for a production system. In the past, this meant taking unstable code and then over months hammering out the bugs and faults until it was safe to trust. iMatix's job, for years, has been to do this to `libzmq`, turning raw code into packages by allowing only bug fixes and no new code into a "stabilization branch". It's surprisingly not as thankless as it sounds.

Now, since we went full speed with C4, we've found that git master of `libzmq` is mostly perfect, most of the time. This frees our time to do more interesting things, such as building new open source layers on top of `libzmq`. However, people still want that guarantee: many users will simply not install except from an "official" release. So a stable release today means two things. First, a snapshot of the master taken at a time when there were no new changes for a while, and no dramatic open bugs. Second, a way to fine tune that snapshot to fix the critical issues remaining in it.

This is the process we explain in this section.

The project SHALL have one branch ("master") that always holds the latest in-progress version and SHOULD always build.

This is redundant because every patch always builds but it's worth restating. If the master doesn't build (and pass its tests), someone needs waking up.

The project SHALL NOT use topic branches for any reason. Personal forks MAY use topic branches.

I'll come to branches soon. In short (or "tl;dr", as they say on the webs), branches make the repository too complex and fragile, and require up-front agreement, all of which are expensive and avoidable.

To make a stable release someone SHALL fork the repository by copying it and thus become maintainer of this repository.

Forking a project for stabilization MAY be done unilaterally and without agreement of project maintainers.

It's free software. No one has a monopoly on it. If you think the maintainers aren't producing stable releases right, fork the repository and do it yourself. Forking isn't a failure, it's an essential tool for competition. You can't do this with branches, which means a branch-based release policy gives the project maintainers a monopoly. And that's bad because they'll become lazier and more arrogant than if real competition is chasing their heels.

A stabilization project SHOULD be maintained by the same process as the main project.

Stabilization projects have maintainers and contributors like any project. In practice we usually cherry pick patches from the main project to the stabilization project, but that's just a convenience.

A patch to a repository declared "stable" SHALL be accompanied by a reproducible test case.

Beware of a one-size-fits-all process. New code does not need the same paranoia as code that people are trusting for production use. In the normal development process, we did not mention test cases. There's a reason for this. While I love testable patches, many changes aren't easily or at all testable. However, to stabilize a code base you want to fix only serious bugs, and you want to be 100% sure every change is accurate. This means before and after tests for every change.

6.3.8. Evolution of Public Contracts

By "public contracts", I mean APIs and protocols. Up until the end of 2011, `libzmq`'s naturally happy state was marred by broken promises and broken contracts. We stopped making promises (aka "road maps") for `libzmq` completely, and our dominant theory of change is now that it emerges carefully and accurately over time. At a 2012 Chicago meetup, Garrett Smith and Chuck Remes called this the "drunken stumble to greatness", which is how I think of it now.

We stopped breaking public contracts simply by banning the practice. Before then it had been "OK" (as in we did it and everyone complained bitterly, and we ignored them) to break the API or protocol so long as we changed the major version number. Sounds fine, until you get ZeroMQ v2.0, v3.0, and v4.0 all in development at the same time, and not speaking to each other.

All Public Contracts (APIs or protocols) SHOULD be documented.

You'd think this was a given for professional software engineers but no, it's not. So, it's a rule. You want C4 certification for your project, you make sure your public contracts are documented. No "It's specified in the code" excuses. Code is not a contract. (Yes, I intend at some point to create a C4 certification process to act as a quality indicator for open source projects.)

All Public Contracts SHALL use Semantic Versioning.

This rule is mainly here because people asked for it. I've no real love for it, as Semantic Versioning is what led to the so-called "Why does ZeroMQ not speak to itself?!" debacle. I've never seen the problem that this solved. Something about runtime validation of library versions, or some-such.

All Public Contracts SHOULD have space for extensibility and experimentation.

Now, the real thing is that public contracts *do change*. It's not about not changing them. It's about changing them safely. This means educating (especially protocol) designers to create that space up-front.

A patch that modifies a stable Public Contract SHOULD not break existing applications unless there is overriding consensus on the value of doing this.

Sometimes the patch is fixing a bad API that no one is using. It's a freedom we need, but it should be based on consensus, not one person's dogma. However, making random changes "just because" is not good. In ZeroMQ v3.x, did we benefit from renaming `ZMQ_NOBLOCK` to `ZMQ_DONTWAIT`? Sure, it's closer to the POSIX socket `recv()` call, but is that worth breaking thousands of applications? No one

ever reported it as an issue. To misquote Stallman: "your freedom to create an ideal world stops one inch from my application."

A patch that introduces new features to a Public Contract SHOULD do so using new names.

We had the experience in ZeroMQ once or twice of new features using old names (or worse, using names that were *still in use* elsewhere). ZeroMQ v3.0 had a newly introduced "ROUTER" socket that was totally different from the existing ROUTER socket in 2.x. Dear lord, you should be face-palming, why? The reason: apparently, even smart people sometimes need regulation to stop them doing silly things.

Old names SHOULD be deprecated in a systematic fashion by marking new names as "experimental" until they are stable, then marking the old names as "deprecated".

This life cycle notation has the great benefit of actually telling users what is going on with a consistent direction. "Experimental" means "we have introduced this and intend to make it stable if it works". It does not mean, "we have introduced this and will remove it at any time if we feel like it". One assumes that code that survives more than one patch cycle is meant to be there. "Deprecated" means "we have replaced this and intend to remove it".

When sufficient time has passed, old deprecated names SHOULD be marked "legacy" and eventually removed.

In theory this gives applications time to move onto stable new contracts without risk. You can upgrade first, make sure things work, and then, over time, fix things up to remove dependencies on deprecated and legacy APIs and protocols.

Old names SHALL NOT be reused by new features.

Ah, yes, the joy when ZeroMQ v3.x renamed the top-used API functions (`zmq_send()` and `zmq_recv()`) and then recycled the old names for new methods that were utterly incompatible (and which I suspect few people actually use). You should be slapping yourself in confusion again, but really, this is what happened and I was as guilty as anyone. After all, we did change the version number! The only benefit of that experience was to get this rule.

When old names are removed, their implementations MUST provoke an exception (assertion) if used by applications.

I've not tested this rule to be certain it makes sense. Perhaps what it means is "if you can't provoke a compile error because the API is dynamic, provoke an assertion".

6.3.9. Project Administration

The project founders SHALL act as Administrators to manage the set of project Maintainers.

Someone needs to administer the project, and it makes sense that the original founders start this ball rolling.

The Administrators SHALL ensure their own succession over time by promoting the most effective Maintainers.

At the same time, as founder of a project you really want to get out of the way before you become over-attached to it. Promoting the most active and consistent maintainers is good for everyone.

A new Contributor who makes a correct patch SHALL be invited to become a Maintainer.

I met Felix Geisendörfer in Lyons in 2012 at the Mix-IT conference (<http://www.mix-it.fr>) where I presented Social Architecture and one thing that came out of this was Felix's now famous Pull Request Hack (<http://felixge.de/2013/03/11/the-pull-request-hack.html>). It fits elegantly into C4 and solves the problem of maintainers dropping out over time.

Administrators MAY remove Maintainers who are inactive for an extended period of time, or who repeatedly fail to apply this process accurately.

This was Ian Barber's suggestion: we need a way to crop inactive maintainers. Originally maintainers were self-elected but that makes it hard to drop troublemakers (who are rare, but not unknown).

C4 is not perfect. Few things are. The process for changing it (Digistan's COSS) is a little outdated now: it relies on a single-editor workflow with the ability to fork, but not merge. This seems to work but it could be better to use C4 for protocols like C4.

6.4. A Real-Life Example

In this email thread (<https://lists.zeromq.org/pipermail/zeromq-dev/2012-October/018470.html>), Dan Goes asks how to make a publisher that knows when a new client subscribes, and sends out previous matching messages. It's a standard pub-sub technique called "last value caching". Now over a 1-way transport like pgm (where subscribers literally send no packets back to publishers), this can't be done. But over TCP, it can, if we use an XPUB socket and if that socket didn't cleverly filter out duplicate subscriptions to reduce upstream traffic.

Though I'm not an expert contributor to `libzmq`, this seems like a fun problem to solve. How hard could it be? I start by forking the `libzmq` repository to my own GitHub account and then clone it to my laptop, where I build it:

```
git clone git@github.com:hintjens/libzmq.git
cd libzmq
./autogen.sh
./configure
make
```

Because the `libzmq` code is neat and well-organized, it was quite easy to find the main files to change (`xpub.cpp` and `xpub.hpp`). Each socket type has its own source file and class. They inherit from `socket_base.cpp`, which has this hook for socket-specific options:

```
// First, check whether specific socket type overloads the option.
int rc = xsetsockopt (option_, optval_, optvallen_);
if (rc == 0 || errno != EINVAL)
    return rc;

// If the socket type doesn't support the option, pass it to
// the generic option parser.
return options.setsockopt (option_, optval_, optvallen_);
```

Then I check where the X PUB socket filters out duplicate subscriptions, in its `xread_activated` method:

```
bool unique;
if (*data == 0)
    unique = subscriptions.rm (data + 1, size - 1, pipe_);
else
    unique = subscriptions.add (data + 1, size - 1, pipe_);

// If the subscription is not a duplicate store it so that it can be
// passed to used on next recv call.
if (unique && options.type != ZMQ_PUB)
    pending.push_back (blob_t (data, size));
```

At this stage, I'm not too concerned with the details of how `subscriptions.rm` and `subscriptions.add` work. The code seems obvious except that "subscription" also includes unsubscription, which confused me for a few seconds. If there's anything else weird in the `rm` and `add` methods, that's a separate issue to fix later. Time to make an issue for this change. I head over to the zeromq.jira.com site, log in, and create a new entry.

Jira kindly offers me the traditional choice between "bug" and "new feature" and I spend thirty seconds wondering where this counterproductive historical distinction came from. Presumably, the "we'll fix bugs for free, but you pay for new features" commercial proposal, which stems from the "you tell us what you want and we'll make it for \$X" model of software development, and which generally leads to "we spent three times \$X and we got what?!" email Fists of Fury.

Putting such thoughts aside, I create an issue #443 (<https://zeromq.jira.com/browse/LIBZMQ-443>) and described the problem and plausible solution:

Problem: X PUB socket filters out duplicate subscriptions (deliberate design). However this makes it impossible to do subscription-based intelligence. See <http://lists.zeromq.org/pipermail/zeromq-dev/2012-October/018838.html> for a use case.

Solution: make this behavior configurable with a socket option.

It's naming time. The API sits in `include/zmq.h`, so this is where I added the option name. When you invent a concept in an API or anywhere, *please* take a moment to choose a name that is explicit and short and obvious. Don't fall back on generic names that need additional context to understand. You have one chance to tell the reader what your concept is and does. A name like

`ZMQ_SUBSCRIPTION_FORWARDING_FLAG` is terrible. It technically kind of aims in the right direction, but is miserably long and obscure. I chose `ZMQ_XPUB_VERBOSE`: short and explicit and clearly an on/off switch with "off" being the default setting.

So, it's time to add a private property to the `xpub` class definition in `xpub.hpp`:

```
// If true, send all subscription messages upstream, not just
// unique ones
bool verbose;
```

And then lift some code from `router.cpp` to implement the `xsetsockopt` method. Finally, change the `xread_activated` method to use this new option, and while at it, make that test on socket type more explicit too:

```
// If the subscription is not a duplicate store it so that it can be
// passed to used on next recv call.
if (options.type == ZMQ_XPUB && (unique || verbose))
    pending.push_back (blob_t (data, size));
```

The thing builds nicely the first time. This makes me a little suspicious, but being lazy and jet-lagged I don't immediately make a test case to actually try out the change. The process doesn't demand that, even if usually I'd do it just to catch that inevitable 10% of mistakes we all make. I do however document this new option on the `doc/zmq_setsockopt.txt` man page. In the worst case, I added a patch that wasn't really useful. But I certainly didn't break anything.

I don't implement a matching `zmq_getsockopt` because "minimal" means what it says. There's no obvious use case for getting the value of an option that you presumably just set, in code. Symmetry isn't a valid reason to double the size of a patch. I did have to document the new option because the process says, "All Public Contracts SHOULD be documented."

Committing the code, I push the patch to my forked repository (the "origin"):

```
git commit -a -m "Fixed issue #443"
git push origin master
```

Switching to the GitHub web interface, I go to my `libzmq` fork, and press the big "Pull Request" button at the top. GitHub asks me for a title, so I enter "Added `ZMQ_XPUB_VERBOSE` option". I'm not sure why it asks this as I made a neat commit message but hey, let's go with the flow here.

This makes a nice little pull request with two commits; the one I'd made a month ago on the release notes to prepare for the v3.2.1 release (a month passes so quickly when you spend most of it in airports), and my fix for issue #443 (37 new lines of code). GitHub lets you continue to make commits after you've

kicked off a pull request. They get queued up and merged in one go. That is easy, but the maintainer may refuse the whole bundle based on one patch that doesn't look valid.

Because Dan is waiting (at least in my highly optimistic imagination) for this fix, I go back to the zeromq-dev list and tell him I've made the patch, with a link to the commit. The faster I get feedback, the better. It's 1 a.m. in South Korea as I make this patch, so early evening in Europe, and morning in the States. You learn to count timezones when you work with people across the world. Ian is in a conference, Mikko is getting on a plane, and Chuck is probably in the office, but three hours later, Ian merges the pull request.

After Ian merges the pull request, I resynchronize my fork with the upstream `libzmq` repository. First, I add a *remote* that tells git where this repository sits (I do this just once in the directory where I'm working):

```
git remote add upstream git://github.com/zeromq/libzmq.git
```

And then I pull changes back from the upstream master and check the git log to double-check:

```
git pull --rebase upstream master
git log
```

And that is pretty much it, in terms of how much git one needs to learn and use to contribute patches to `libzmq`. Six git commands and some clicking on web pages. Most importantly to me as a naturally lazy, stupid, and easily confused developer, I don't have to learn git's internal models, and never have to do anything involving those infernal engines of structural complexity we call "git branches". Next up, the attempted assassination of git branches. Let's live dangerously!

6.5. Git Branches Considered Harmful

One of git's most popular features is its branches. Almost all projects that use git use branches, and the selection of the "best" branching strategy is like a rite of passage for an open source project. Vincent Driessen's git-flow (<http://nvie.com/posts/a-successful-git-branching-model/>) may be the best known. It has *base* branches (master, develop), *feature* branches, *release* branches, *hotfix* branches, and *support* branches. Many teams have adopted git-flow, which even has git extensions to support it. I'm a great believer in popular wisdom, but sometimes you have to recognize mass delusion for what it is.

Here is a section of C4 that might have shocked you when you first read it:

The project SHALL NOT use topic branches for any reason. Personal forks MAY use topic branches.

To be clear, it's *public branches in shared repositories* that I'm talking about. Using branches for private work, e.g., to work on different issues, appears to work well enough, though it's more complexity than I

personally enjoy. To channel Stallman again: "your freedom to create complexity ends one inch from our shared workspace."

Like the rest of C4, the rules on branches are not accidental. They came from our experience making ZeroMQ, starting when Martin Sustrik and I rethought how to make stable releases. We both love and appreciate simplicity (some people seem to have a remarkable tolerance for complexity). We chatted for a while... I asked him, "I'm going to start making a stable release. Would it be OK for me to make a branch in the git you're working in?" Martin didn't like the idea. "OK, if I fork the repository, I can move patches from your repo to that one". That felt much better to both of us.

The response from many in the ZeroMQ community was shock and horror. People felt we were being lazy and making contributors work harder to find the "right" repository. Still, this seemed simple, and indeed it worked smoothly. The best part was that we each worked as we wanted to. Whereas before, the ZeroMQ repository had felt horribly complex (and it wasn't even anything like git-flow), this felt simple. And it worked. The only downside was that we lost a single unified history. Now, perhaps historians will feel robbed, but I honestly can't see that the historical minutiae of who changed what, when, including every branch and experiment, are worth any significant pain or friction.

People have gotten used to the "multiple repositories" approach in ZeroMQ and we've started using that in other projects quite successfully. My own opinion is that history will judge git branches and patterns like git-flow as a complex solution to imaginary problems inherited from the days of Subversion and monolithic repositories.

More profoundly, and perhaps this is why the majority seems to be "wrong": I think the branches versus forks argument is really a deeper design versus evolve argument about how to make software optimally. I'll address that deeper argument in the next section. For now, I'll try to be scientific about my irrational hatred of branches, by looking at a number of criteria, and comparing branches and forks in each one.

6.5.1. Simplicity Versus Complexity

The simpler, the better.

There is no inherent reason why branches are more complex than forks. However, git-flow uses *five types* of branch, whereas C4 uses two types of fork (development, and stable) and one branch (master). Circumstantial evidence is thus that branches lead to more complexity than forks. For new users, it is definitely, and we've measured this in practice, easier to learn to work with many repositories and no branches except master.

6.5.2. Change Latency

The smaller and more rapid the delivery, the better.

Development branches seem to correlate strongly with large, slow, risky deliveries. "Sorry, I have to merge this branch before we can test the new version" signals a breakdown in process. It's certainly not how C4 works, which is by focusing tightly on individual problems and their minimal solutions. Allowing branches in development raises change latency. Forks have a different outcome: it's up to the forker to ensure that his changes merge cleanly, and to keep them simple so they won't be rejected.

6.5.3. Learning Curve

The smoother the learning curve, the better.

Evidence definitely shows that learning to use git branches is complex. For some people, this is OK. For most developers, every cycle spent learning git is a cycle lost on more productive things. I've been told several times, by different people that I do not like branches because I "never properly learned git". That is fair, but it is a criticism of the tool, not the human.

6.5.4. Cost of Failure

The lower the cost of failure, the better.

Branches demand more perfection from developers because mistakes potentially affect others. This raises the cost of failure. Forks make failure extremely cheap because literally nothing that happens in a fork can affect others not using that fork.

6.5.5. Up-front Coordination

The less need for up-front coordination, the better.

You can do a hostile fork. You cannot do a hostile branch. Branches depend on up-front coordination, which is expensive and fragile. One person can veto the desires of a whole group. For example in the ZeroMQ community we were unable to agree on a git branching model for a year. We solved that by using forking instead. The problem went away.

6.5.6. Scalability

The more you can scale a project, the better.

The strong assumption in all branch strategies is that the repository *is* the project. But there is a limit to how many people you can get to agree to work together in one repository. As I explained, the cost of up-front coordination can become fatal. A more realistic project scales by allowing anyone to start their

own repositories, and ensuring these can work together. A project like ZeroMQ has dozens of repositories. Forking looks more scalable than branching.

6.5.7. Surprise and Expectations

The less surprising, the better.

People expect branches and find forks to be uncommon and thus confusing. This is the one aspect where branches win. If you use branches, a single patch will have the same commit hash tag, whereas across forks the patch will have different hash tags. That makes it harder to track patches as they cross forks, true. But seriously, *having to track hexadecimal hash tags is not a feature*. It's a bug. Sometimes better ways of working are surprising at first.

6.5.8. Economics of Participation

The more tangible the rewards, the better.

People like to own their work and get credit for it. This is much easier with forks than with branches. Forks create more competition in a healthy way, while branches suppress competition and force people to collaborate and share credit. This sounds positive but in my experience it demotivates people. A branch isn't a product you can "own", whereas a fork can be.

6.5.9. Robustness in Conflict

The more a model can survive conflict, the better.

Like it or not, people fight over ego, status, beliefs, and theories of the world. Challenge is a necessary part of science. If your organizational model depends on agreement, you won't survive the first real fight. Branches do not survive real arguments and fights, whereas forks can be hostile, and still benefit all parties. And this is indeed how free software works.

6.5.10. Guarantees of Isolation

The stronger the isolation between production code and experiment, the better.

People make mistakes. I've seen experimental code pushed to mainline production by error. I've seen people make bad panic changes under stress. But the real fault is in allowing two entirely separate generations of product to exist in the same protected space. If you can push to random-branch-x, you can push to master. Branches do not guarantee isolation of production critical code. Forks do.

6.5.11. Visibility

The more visible our work, the better.

Forks have watchers, issues, a README, and a wiki. Branches have none of these. People try forks, build them, break them, patch them. Branches sit there until someone remembers to work on them. Forks have downloads and tarballs. Branches do not. When we look for self-organization, the more visible and declarative the problems, the faster and more accurately we can work.

6.5.12. Conclusions

In this section, I've listed a series of arguments, most of which came from fellow team members. Here's how it seems to break down: git veterans insist that branches are the way to work, whereas newcomers tend to feel intimidated when asked to navigate git branches. Git is not an easy tool to master. What we've discovered, accidentally, is that when you stop using branches *at all*, git becomes trivial to use. It literally comes down to six commands (`clone`, `remote`, `commit`, `log`, `push`, and `pull`). Furthermore, a branch-free process actually works, we've used it for a couple of years now, and no visible downside except surprise to the veterans and growth of "single" projects over multiple repositories.

If you can't use forks, perhaps because your firm doesn't trust GitHub's private repositories, then you can perhaps use topic branches, one per issue. You'll still suffer the costs of getting up-front consensus, low competitiveness, and risk of human error.

6.6. Designing for Innovation

Let's look at innovation, which Wikipedia defines as, "the development of new values through solutions that meet new requirements, inarticulate needs, or old customer and market needs in value adding new ways." This really just means solving problems more cheaply. It sounds straight-forward, but the history of collapsed tech giants proves that it's not. I'll try to explain how teams so often get it wrong, and suggest a way for doing innovation right.

6.6.1. The Tale of Two Bridges

Two old engineers were talking of their lives and boasting of their greatest projects. One of the engineers explained how he had designed one of the greatest bridges ever made.

"We built it across a river gorge," he told his friend. "It was wide and deep. We spent two years studying the land, and choosing designs and materials. We hired the best engineers and designed the bridge, which took another five years. We contracted the largest engineering firms to build the structures, the towers,

the tollbooths, and the roads that would connect the bridge to the main highways. Dozens died during the construction. Under the road level we had trains, and a special path for cyclists. That bridge represented years of my life."

The second man reflected for a while, then spoke. "One evening me and a friend got drunk on vodka, and we threw a rope across a gorge," he said. "Just a rope, tied to two trees. There were two villages, one at each side. At first, people pulled packages across that rope with a pulley and string. Then someone threw a second rope, and built a foot walk. It was dangerous, but the kids loved it. A group of men then rebuilt that, made it solid, and women started to cross, everyday, with their produce. A market grew up on one side of the bridge, and slowly that became a large town, because there was a lot of space for houses. The rope bridge got replaced with a wooden bridge, to allow horses and carts to cross. Then the town built a real stone bridge, with metal beams. Later, they replaced the stone part with steel, and today there's a suspension bridge standing in that same spot."

The first engineer was silent. "Funny thing," he said, "my bridge was demolished about ten years after we built it. Turns out it was built in the wrong place and no one wanted to use it. Some guys had thrown a rope across the gorge, a few miles further downstream, and that's where everyone went."

6.6.2. How ZeroMQ Lost Its Road Map

Presenting ZeroMQ at the Mix-IT conference in Lyon in early 2012, I was asked several times for the "road map". My answer was: there is no road map any longer. We had road maps, and we deleted them. Instead of a few experts trying to lay out the next steps, we were allowing this to happen organically. The audience didn't really like my answer. So un-French.

However, the history of ZeroMQ makes it quite clear why road maps were problematic. In the beginning, we had a small team making the library, with few contributors, and no documented road map. As ZeroMQ grew more popular and we switched to more contributors, users asked for road maps. So we collected our plans together and tried to organize them into releases. Here, we wrote, is what will come in the next release.

As we rolled out releases, we hit the problem that it's very easy to promise stuff, and rather harder to make it as planned. For one thing, much of the work was voluntary, and it's not clear how you force volunteers to commit to a road map. But also, priorities can shift dramatically over time. So we were making promises we could not keep, and the real deliveries didn't match the road maps.

The second problem was that by defining the road map, we in effect claimed territory, making it harder for others to participate. People do prefer to contribute to changes they believe were their idea. Writing down a list of things to do turns contribution into a chore rather than an opportunity.

Finally, we saw changes in ZeroMQ that were quite traumatic, and the road maps didn't help with this, despite a lot of discussion and effort to "do it right". Examples of this were incompatible changes in APIs and protocols. It was quite clear that we needed a different approach for defining the change process.

Software engineers don't like the notion that powerful, effective solutions can come into existence without an intelligent designer actively thinking things through. And yet no one in that room in Lyon would have questioned evolution. A strange irony, and one I wanted to explore further as it underpins the direction the ZeroMQ community has taken since the start of 2012.

In the dominant theory of innovation, brilliant individuals reflect on large problem sets and then carefully and precisely create a solution. Sometimes they will have "eureka" moments where they "get" brilliantly simple answers to whole large problem sets. The inventor, and the process of invention are rare, precious, and can command a monopoly. History is full of such heroic individuals. We owe them our modern world.

Looking more closely, however, and you will see that the facts don't match. History doesn't show lone inventors. It shows lucky people who steal or claim ownership of ideas that are being worked on by many. It shows brilliant people striking lucky once, and then spending decades on fruitless and pointless quests. The best known large-scale inventors like Thomas Edison were in fact just very good at systematic broad research done by large teams. It's like claiming that Steve Jobs invented every device made by Apple. It is a nice myth, good for marketing, but utterly useless as practical science.

Recent history, much better documented and less easy to manipulate, shows this well. The Internet is surely one of the most innovative and fast-moving areas of technology, and one of the best documented. It has no inventor. Instead, it has a massive economy of people who have carefully and progressively solved a long series of immediate problems, documented their answers, and made those available to all. The innovative nature of the Internet comes not from a small, select band of Einsteins. It comes from RFCs anyone can use and improve, made by hundreds and thousands of smart, but not uniquely smart, individuals. It comes from open source software anyone can use and improve. It comes from sharing, scale of community, and the continuous accretion of good solutions and disposal of bad ones.

Here thus is an alternative theory of innovation:

1. There is an infinite problem/solution terrain.
2. This terrain changes over time according to external conditions.
3. We can only accurately perceive problems to which we are close.
4. We can rank the cost/benefit economics of problems using a market for solutions.
5. There is an optimal solution to any solvable problem.
6. We can approach this optimal solution heuristically, and mechanically.
7. Our intelligence can make this process faster, but does not replace it.

There are a few corollaries to this:

- *Individual creativity matters less than process.* Smarter people may work faster, but they may also work in the wrong direction. It's the collective vision of reality that keeps us honest and relevant.
- *We don't need road maps if we have a good process.* Functionality will emerge and evolve over time as solutions compete for market share.

- *We don't invent solutions so much as discover them.* All sympathies to the creative soul. It's just an information processing machine that likes to polish its own ego and collect karma.
- *Intelligence is a social effect, though it feels personal.* A person cut off from others eventually stops thinking. We can neither collect problems nor measure solutions without other people.
- *The size and diversity of the community is a key factor.* Larger, more diverse communities collect more relevant problems, and solve them more accurately, and do this faster, than a small expert group.

So, when we trust the solitary experts, they make classic mistakes. They focus on ideas, not problems. They focus on the wrong problems. They make misjudgments about the value of solving problems. They don't use their own work.

Can we turn the above theory into a reusable process? In late 2011, I started documenting C4 and similar contracts, and using them both in ZeroMQ and in closed source projects. The underlying process is something I call "Simplicity Oriented Design", or SOD. This is a reproducible way of developing simple and elegant products. It organizes people into flexible supply chains that are able to navigate a problem landscape rapidly and cheaply. They do this by building, testing, and keeping or discarding minimal plausible solutions, called "patches". Living products consist of long series of patches, applied one atop the other.

SOD is relevant first because it's how we evolve ZeroMQ. It's also the basis for the design process we will use in Advanced Architecture using ZeroMQChapter 7 to develop larger-scale ZeroMQ applications. Of course, you can use any software architecture methodology with ZeroMQ.

To best understand how we ended up with SOD, let's look at the alternatives.

6.6.3. Trash-Oriented Design

The most popular design process in large businesses seems to be *Trash-Oriented Design*, or TOD. TOD feeds off the belief that all we need to make money are great ideas. It's tenacious nonsense, but a powerful crutch for people who lack imagination. The theory goes that ideas are rare, so the trick is to capture them. It's like non-musicians being awed by a guitar player, not realizing that great talent is so cheap it literally plays on the streets for coins.

The main output of TODs is expensive "ideation": concepts, design documents, and products that go straight into the trash can. It works as follows:

- The Creative People come up with long lists of "we could do X and Y". I've seen endlessly detailed lists of everything amazing a product could do. We've all been guilty of this. Once the creative work of idea generation has happened, it's just a matter of execution, of course.
- So the managers and their consultants pass their brilliant ideas to designers who create acres of preciously refined design documents. The designers take the tens of ideas the managers came up with, and turn them into hundreds of world-changing designs.

- These designs get given to engineers who scratch their heads and wonder who the heck came up with such nonsense. They start to argue back, but the designs come from up high, and really, it's not up to engineers to argue with creative people and expensive consultants.
- So the engineers creep back to their cubicles, humiliated and threatened into building the gigantic but oh-so-elegant junk heap. It is bone-breaking work because the designs take no account of practical costs. Minor whims might take weeks of work to build. As the project gets delayed, the managers bully the engineers into giving up their evenings and weekends.
- Eventually, something resembling a working product makes it out of the door. It's creaky and fragile, complex and ugly. The designers curse the engineers for their incompetence and pay more consultants to put lipstick onto the pig, and slowly the product starts to look a little nicer.
- By this time, the managers have started to try to sell the product and they find, shockingly, that no one wants it. Undaunted, they courageously build million-dollar web sites and ad campaigns to explain to the public why they absolutely need this product. They do deals with other businesses to force the product on the lazy, stupid, and ungrateful market.
- After twelve months of intense marketing, the product still isn't making profits. Worse, it suffers dramatic failures and gets branded in the press as a disaster. The company quietly shelves it, fires the consultants, buys a competing product from a small startup and rebrands that as its own Version 2. Hundreds of millions of dollars end up in the trash.
- Meanwhile, another visionary manager somewhere in the organization drinks a little too much tequila with some marketing people and has a Brilliant Idea.

Trash-Oriented Design would be a caricature if it wasn't so common. Something like 19 out of 20 market-ready products built by large firms are failures (yes, 87% of statistics are made up on the spot). The remaining 1 in 20 probably only succeeds because the competitors are so bad and the marketing is so aggressive.

The main lessons of TOD are quite straightforward but hard to swallow. They are:

- Ideas are cheap. No exceptions. There are no brilliant ideas. Anyone who tries to start a discussion with "oooh, we can do this too!" should be beaten down with all the passion one reserves for traveling evangelists. It is like sitting in a cafe at the foot of a mountain, drinking a hot chocolate and telling others, "Hey, I have a great idea, we can climb that mountain! And build a chalet on top! With two saunas! And a garden! Hey, and we can make it solar powered! Dude, that's awesome! What color should we paint it? Green! No, blue! OK, go and make it, I'll stay here and make spreadsheets and graphics!"
- The starting point for a good design process is to collect real problems that confront real people. The second step is to evaluate these problems with the basic question, "How much is it worth to solve this problem?" Having done that, we can collect that set of problems that are worth solving.
- Good solutions to real problems will succeed as products. Their success will depend on how good and cheap the solution is, and how important the problem is (and sadly, how big the marketing budgets are). But their success will also depend on how much they demand in effort to use--in other words, how simple they are.

Now, after slaying the dragon of utter irrelevance, we attack the demon of complexity.

6.6.4. Complexity-Oriented Design

Really good engineering teams and small firms can usually build decent products. But the vast majority of products still end up being too complex and less successful than they might be. This is because specialist teams, even the best, often stubbornly apply a process I call *Complexity-Oriented Design*, or COD, which works as follows:

- Management correctly identifies some interesting and difficult problem with economic value. In doing so, they already leapfrog over any TOD team.
- The team with enthusiasm starts to build prototypes and core layers. These work as designed and thus encouraged, the team go off into intense design and architecture discussions, coming up with elegant schemas that look beautiful and solid.
- Management comes back and challenges the team with yet more difficult problems. We tend to equate cost with value, so the harder and more expensive to solve, the more the solution should be worth, in their minds.
- The team, being engineers and thus loving to build stuff, build stuff. They build and build and build and end up with massive, perfectly-designed complexity.
- The products go to market, and the market scratches its head and asks, "Seriously, is this the best you can do?" People do use the products, especially if they aren't spending their own money in climbing the learning curve.
- Management gets positive feedback from its larger customers, who share the same idea that high cost (in training and use) means high value, and so continues to push the process.
- Meanwhile somewhere across the world, a small team is solving the same problem using a better process, and a year later smashes the market to little pieces.

COD is characterized by a team obsessively solving the wrong problems in a form of collective delusion. COD products tend to be large, ambitious, complex, and unpopular. Much open source software is the output of COD processes. It is insanely hard for engineers to *stop* extending a design to cover more potential problems. They argue, "What if someone wants to do X?" but never ask themselves, "What is the real value of solving X?"

A good example of COD in practice is Bluetooth, a complex, over-designed set of protocols that users hate. It continues to exist only because in a massively-patented industry there are no real alternatives. Bluetooth is perfectly secure, which is close to pointless for a proximity protocol. At the same time, it lacks a standard API for developers, meaning it's really costly to use Bluetooth in applications.

On the #zeromq IRC channel, Wintre once wrote of how enraged he was many years ago when he "found that XMMS 2 had a working plugin system, but could not actually play music."

COD is a form of large-scale "rabbit-holing", in which designers and engineers cannot distance themselves from the technical details of their work. They add more and more features, utterly misreading the economics of their work.

The main lessons of COD are also simple, but hard for experts to swallow. They are:

- Making stuff that you don't immediately have a need for is pointless. Doesn't matter how talented or brilliant you are, if you just sit down and make stuff people are not actually asking for, you are most likely wasting your time.
- Problems are not equal. Some are simple, and some are complex. Ironically, solving the simpler problems often has more value to more people than solving the really hard ones. So if you allow engineers to just work on random things, they'll mostly focus on the most interesting but least worthwhile things.
- Engineers and designers love to make stuff and decoration, and this inevitably leads to complexity. It is crucial to have a "stop mechanism", a way to set short, hard deadlines that force people to make smaller, simpler answers to just the most crucial problems.

6.6.5. Simplicity Oriented Design

Finally, we come to the rare but precious *Simplicity Oriented Design*, or SOD. This process starts with a realization: we do not know what we have to make until after we start making it. Coming up with ideas or large-scale designs isn't just wasteful, it's a direct hindrance to designing the truly accurate solutions. The really juicy problems are hidden like far valleys, and any activity except active scouting creates a fog that hides those distant valleys. You need to keep mobile, pack light, and move fast.

SOD works as follows:

- We collect a set of interesting problems (by looking at how people use technology or other products) and we line these up from simple to complex, looking for and identifying patterns of use.
- We take the simplest, most dramatic problem and we solve this with a minimal plausible solution, or "patch". Each patch solves exactly a genuine and agreed-upon problem in a brutally minimal fashion.
- We apply one measure of quality to patches, namely "Can this be done any simpler while still solving the stated problem?" We can measure complexity in terms of concepts and models that the user has to learn or guess in order to use the patch. The fewer, the better. A perfect patch solves a problem with zero learning required by the user.
- Our product development consists of a patch that solves the problem "we need a proof of concept" and then evolves in an unbroken line to a mature series of products, through hundreds or thousands of patches piled on top of each other.
- We do not do *anything* that is not a patch. We enforce this rule with formal processes that demand that every activity or task is tied to a genuine and agreed-upon problem, explicitly enunciated and documented.
- We build our projects into a supply chain where each project can provide problems to its "suppliers" and receive patches in return. The supply chain creates the "stop mechanism" because when people are impatiently waiting for an answer, we necessarily cut our work short.
- Individuals are free to work on any projects, and provide patches at any place they feel it's worthwhile. No individuals "own" any project, except to enforce the formal processes. A single project can have many variations, each a collection of different, competing patches.

- Projects export formal and documented interfaces so that upstream (client) projects are unaware of change happening in supplier projects. Thus multiple supplier projects can compete for client projects, in effect creating a free and competitive market.
- We tie our supply chain to real users and external clients and we drive the whole process by rapid cycles so that a problem received from outside users can be analyzed, evaluated, and solved with a patch in a few hours.
- At every moment from the very first patch, our product is shippable. This is essential, because a large proportion of patches will be wrong (10-30%) and only by giving the product to users can we know which patches have become problems that need solving.

SOD is a *hill-climbing algorithm*, a reliable way of finding optimal solutions to the most significant problems in an unknown landscape. You don't need to be a genius to use SOD successfully, you just need to be able to see the difference between the fog of activity and the progress towards new real problems.

People have pointed out that hill-climbing algorithms have known limitations. One gets stuck on local peaks, mainly. But this is nonetheless how life itself works: collecting tiny incremental improvements over long periods of time. There is no intelligent designer. We reduce the risk of local peaks by spreading out widely across the landscape, but it is somewhat moot. The limitations aren't optional, they are physical laws. The theory says, *this is how innovation really works, so better embrace it and work with it than try to work on the basis of magical thinking.*

And in fact once you see all innovation as more or less successful hill-climbing, you realize why some teams and companies and products get stuck in a never-never land of diminishing prospects. They simply don't have the diversity and collective intelligence to find better hills to climb. When Nokia killed their open source projects, they cut their own throat.

A really good designer with a good team can use SOD to build world-class products, rapidly and accurately. To get the most out of SOD the designer has to use the product continuously, from day one, and develop his or her ability to smell out problems such as inconsistency, surprising behavior, and other forms of friction. We naturally overlook many annoyances, but a good designer picks these up and thinks about how to patch them. Design is about removing friction in the use of a product.

In an open source setting, we do this work in public. There's no "let's open the code" moment. Projects that do this are in my view missing the point of open source, which is to engage your users in your exploration, and to build community around the seed of the architecture.

6.7. Burnout

The ZeroMQ community has been and still is heavily dependent on pro bono individual efforts. I'd like to think that everyone was compensated in some way for their contributions, and I believe that with

ZeroMQ, contributing means gaining expertise in an extraordinarily valuable technology, which leads to improved professional options.

However, not all projects will be so lucky and if you work with or in open source, you should understand the risk of burnout that volunteers face. This applies to all pro bono communities. In this section, I'll explain what causes burnout, how to recognize it, how to prevent it, and (if it happens) how to try to treat it. Disclaimer: I'm not a psychiatrist and this article is based on my own experiences of working in pro bono contexts for the last 20 years, including free software projects, and NGOs such as the FFII (<http://www.ffii.org>).

In a pro bono context, we're expected to work without direct or obvious economic incentive. That is, we sacrifice family life, professional advancement, free time, and health in order to accomplish some goal we have decided to accomplish. In any project, we need some kind of reward to make it worth continuing each day. In most pro bono projects the rewards are very indirect, superficially not economical at all. Mostly, we do things because people say, "Hey, great!" Karma is a powerful motivator.

However, we are economic beings, and sooner or later, if a project costs us a great deal and does not bring economic rewards of some kind (money, fame, a new job), we start to suffer. At a certain stage, it seems our subconscious simply gets disgusted and says, "Enough is enough!" and refuses to go any further. If we try to force ourselves, we can literally get sick.

This is what I call "burnout", though the term is also used for other kinds of exhaustion. Too much investment on a project with too little economic reward, for too long. We are great at manipulating ourselves and others, and this is often part of the process that leads to burnout. We tell ourselves that it's for a good cause and that the other guy is doing OK, so we should be able to as well.

When I got burned out on open source projects like Xitami, I remember clearly how I felt. I simply stopped working on it, refused to answer any more emails, and told people to forget about it. You can tell when someone's burned out. They go offline, and everyone starts saying, "He's acting strange... depressed, or tired..."

Diagnosis is simple. Has someone worked a lot on a project that was not paying back in any way? Did she make exceptional sacrifices? Did he lose or abandon his job or studies to do the project? If you're answering "yes", it's burnout.

There are three simple techniques I've developed over the years to reduce the risk of burnout in the teams I work with:

- *No one is irreplaceable.* Working solo on a critical or popular project--the concentration of responsibility on one person who cannot set their own limits--is probably the main factor. It's a management truism: if someone in your organization is irreplaceable, get rid of him or her.
- *We need day jobs to pay the bills.* This can be hard, but seems necessary. Getting money from somewhere else makes it much easier to sustain a sacrificial project.

- *Teach people about burnout.* This should be a basic course in colleges and universities, as pro bono work becomes a more common way for young people to experiment professionally.

When someone is working alone on a critical project, you *know* they are going to blow their fuses sooner or later. It's actually fairly predictable: something like 18-36 months depending on the individual and how much economic stress they face in their private lives. I've not seen anyone burn-out after half a year, nor last five years in a unrewarding project.

There is a simple cure for burnout that works in at least some cases: get paid decently for your work. However, this pretty much destroys the freedom of movement (across that infinite problem landscape) that the volunteer enjoys.

6.8. Patterns for Success

I'll end this code-free chapter with a series of patterns for success in software engineering. They aim to capture the essence of what divides glorious success from tragic failure. They were described as "religious maniacal dogma" by a manager, and "anything else would be effing insane" by a colleague, in a single day. For me, they are science. But treat the Lazy Perfectionist and others as tools to use, sharpen, and throw away if something better comes along.

6.8.1. The Lazy Perfectionist

Never design anything that's not a precise minimal answer to a problem we can identify and have to solve.

The Lazy Perfectionist spends his idle time observing others and identifying problems that are worth solving. He looks for agreement on those problems, always asking, "What is the *real* problem". Then he moves, precisely and minimally, to build, or get others to build, a usable answer to one problem. He uses, or gets others to use those solutions. And he repeats this until there are no problems left to solve, or time or money runs out.

6.8.2. The Benevolent Tyrant

The control of a large force is the same principle as the control of a few men: it is merely a question of dividing up their numbers. -- Sun Tzu

The Benevolent Tyrant divides large problems into smaller ones and throws them at groups to focus on. She brokers contracts between these groups, in the form of APIs and the "unprotocols" we'll read about in the next chapter. The Benevolent Tyrant constructs a supply chain that starts with problems, and

results in usable solutions. She is ruthless about how the supply chain works, but does not tell people what to work on, nor how to do their work.

6.8.3. The Earth and Sky

The ideal team consists of two sides: one writing code, and one providing feedback.

The Earth and Sky work together as a whole, in close proximity, but they communicate formally through issue tracking. Sky seeks out problems from others and from their own use of the product and feeds these to Earth. Earth rapidly answers with testable solutions. Earth and Sky can work through dozens of issues in a day. Sky talks to other users, and Earth talks to other developers. Earth and Sky may be two people, or two small groups.

6.8.4. The Open Door

The accuracy of knowledge comes from diversity.

The Open Door accepts contributions from almost anyone. She does not argue quality or direction, instead allowing others to argue that and get more engaged. She calculates that even a troll will bring more diverse opinion to the group. She lets the group form its opinion about what goes into stable code, and she enforces this opinion with help of a Benevolent Tyrant.

6.8.5. The Laughing Clown

Perfection precludes participation.

The Laughing Clown, often acting as the Happy Failure, makes no claim to high competence. Instead his antics and bumbling attempts provoke others into rescuing him from his own tragedy. Somehow however, he always identifies the right problems to solve. People are so busy proving him wrong they don't realize they're doing valuable work.

6.8.6. The Mindful General

Make no plans. Set goals, develop strategies and tactics.

The Mindful General operates in unknown territory, solving problems that are hidden until they are nearby. Thus she makes no plans, but seeks opportunities, then exploits them rapidly and accurately. She develops tactics and strategies in the field, and teaches these to her soldiers so they can move independently, and together.

6.8.7. The Social Engineer

If you know the enemy and know yourself, you need not fear the result of a hundred battles. -- Sun Tzu

The Social Engineer reads the hearts and minds of those he works with and for. He asks, of everyone, "What makes this person angry, insecure, argumentative, calm, happy?" He studies their moods and dispositions. With this knowledge he can encourage those who are useful, and discourage those who are not. The Social Engineer never acts on his own emotions.

6.8.8. The Constant Gardener

He will win whose army is animated by the same spirit throughout all its ranks. -- Sun Tzu

The Constant Gardener grows a process from a small seed, step-by-step as more people come into the project. She makes every change for a precise reason, with agreement from everyone. She never imposes a process from above but lets others come to consensus, and then he enforces that consensus. In this way, everyone owns the process together and by owning it, they are attached to it.

6.8.9. The Rolling Stone

After crossing a river, you should get far away from it. -- Sun Tzu

The Rolling Stone accepts his own mortality and transience. He has no attachment to his past work. He accepts that all that we make is destined for the trash can, it is just a matter of time. With precise, minimal investments, he can move rapidly away from the past and stay focused on the present and near future. Above all, he has no ego and no pride to be hurt by the actions of others.

6.8.10. The Pirate Gang

Code, like all knowledge, works best as collective--not private--property.

The Pirate Gang organizes freely around problems. It accepts authority insofar as authority provides goals and resources. The Pirate Gang owns and shares all it makes: every work is fully remixable by others in the Pirate Gang. The gang moves rapidly as new problems emerge, and is quick to abandon old solutions if those stop being relevant. No persons or groups can monopolize any part of the supply chain.

6.8.11. The Flash Mob

Water shapes its course according to the nature of the ground over which it flows. -- Sun Tzu

The Flash Mob comes together in space and time as needed, then disperses as soon as they can. Physical closeness is essential for high-bandwidth communications. But over time it creates technical ghettos, where Earth gets separated from Sky. The Flash Mob tends to collect a lot of frequent flier miles.

6.8.12. The Canary Watcher

Pain is not, generally, a Good Sign.

The Canary Watcher measures the quality of an organization by their own pain level, and the observed pain levels of those with whom he works. He brings new participants into existing organizations so they can express the raw pain of the innocent. He may use alcohol to get others to verbalize their pain points. He asks others, and himself, "Are you happy in this process, and if not, why not?" When an organization causes pain in himself or others, he treats that as a problem to be fixed. People should feel joy in their work.

6.8.13. The Hangman

Never interrupt others when they are making mistakes.

The Hangman knows that we learn only by making mistakes, and she gives others copious rope with which to learn. She only pulls the rope gently, when it's time. A little tug to remind the other of their precarious position. Allowing others to learn by failure gives the good reason to stay, and the bad excuse to leave. The Hangman is endlessly patient, because there is no shortcut to the learning process.

6.8.14. The Historian

Keeping the public record may be tedious, but it's the only way to prevent collusion.

The Historian forces discussion into the public view, to prevent collusion to own areas of work. The Pirate Gang depends on full and equal communications that do not depend on momentary presence. No one really reads the archives, but the simply possibility stops most abuses. The Historian encourages the right tool for the job: email for transient discussions, IRC for chatter, wikis for knowledge, issue tracking for recording opportunities.

6.8.15. The Provocateur

When a man knows he is to be hanged in a fortnight, it concentrates his mind wonderfully. -- Samuel Johnson

The Provocateur creates deadlines, enemies, and the occasional impossibility. Teams work best when they don't have time for the crap. Deadlines bring people together and focus the collective mind. An external enemy can move a passive team into action. The Provocateur never takes the deadline too seriously. The product is *always* ready to ship. But she gently reminds the team of the stakes: fail, and we all look for other jobs.

6.8.16. The Mystic

When people argue or complain, just write them a Sun Tzu quotation -- Mikko Koppanen

The Mystic never argues directly. He knows that to argue with an emotional person only creates more emotion. Instead he side-steps the discussion. It's hard to be angry at a Chinese general, especially when he has been dead for 2,400 years. The Mystic plays Hangman when people insist on the right to get it wrong.

Chapter 7. Advanced Architecture using ZeroMQ

One of the effects of using ZeroMQ at large scale is that because we can build distributed architectures so much faster than before, the limitations of our software engineering processes become more visible. Mistakes in slow motion are often harder to see (or rather, easier to rationalize away).

My experience when teaching ZeroMQ to groups of engineers is that it's rarely sufficient to just explain how ZeroMQ works and then just expect them to start building successful products. Like any technology that removes friction, ZeroMQ opens the door to big blunders. If ZeroMQ is the ACME rocket-propelled shoe of distributed software development, a lot of us are like Wile E. Coyote, slamming full speed into the proverbial desert cliff.

We saw in The ZeroMQ CommunityChapter 6 that ZeroMQ itself uses a formal process for changes. One reason we built this process, over some years, was to stop the repeated cliff-slamming that happened in the library itself.

Partly, it's about slowing down and partially, it's about ensuring that when you move fast, you go--and this is essential Dear Reader--in the *right direction*. It's my standard interview riddle: what's the rarest property of any software system, the absolute hardest thing to get right, the lack of which causes the slow or fast death of the vast majority of projects? The answer is not code quality, funding, performance, or even (though it's a close answer), popularity. The answer is *accuracy*.

Accuracy is half the challenge, and applies to any engineering work. The other half is distributed computing itself, which sets up a whole range of problems that we need to solve if we are going to create architectures. We need to encode and decode data; we need to define protocols to connect clients and servers; we need to secure these protocols against attackers; and we need to make stacks that are robust. Asynchronous messaging is hard to get right.

This chapter will tackle these challenges, starting with a basic reappraisal of how to design and build software and ending with a fully formed example of a distributed application for large-scale file distribution.

We'll cover the following juicy topics:

- How to go from idea to working prototype safely (the MOPED pattern)
- Different ways to serialize your data as ZeroMQ messages
- How to code-generate binary serialization codecs
- How to build custom code generators using the GSL tool
- How to write and license a protocol specification

- How to build fast restartable file transfer over ZeroMQ
- How to use credit-based flow control for nonblocking transfers
- How to build protocol servers and clients as state machines
- How to make a secure protocol over ZeroMQ
- A large-scale file publishing system (FileMQ)

7.1. Message-Oriented Pattern for Elastic Design

I'll introduce Message-Oriented Pattern for Elastic Design (MOPED), a software engineering pattern for ZeroMQ architectures. It was either "MOPED" or "BIKE", the Backronym-Induced Kinetic Effect. That's short for "BICICLE", the Backronym-Inflated See if I Care Less Effect. In life, one learns to go with the least embarrassing choice.

If you've read this book carefully, you'll have seen MOPED in action already. The development of Majordomo in Reliable Request-Reply PatternsChapter 4 is a near-perfect case. But cute names are worth a thousand words.

The goal of MOPED is to define a process by which we can take a rough use case for a new distributed application, and go from "Hello World" to fully-working prototype in any language in under a week.

Using MOPED, you grow, more than build, a working ZeroMQ architecture from the ground-up with minimal risk of failure. By focusing on the contracts rather than the implementations, you avoid the risk of premature optimization. By driving the design process through ultra-short test-based cycles, you can be more certain that what you have works before you add more.

We can turn this into five real steps:

- Step 1: internalize the ZeroMQ semantics.
- Step 2: draw a rough architecture.
- Step 3: decide on the contracts.
- Step 4: make a minimal end-to-end solution.
- Step 5: solve one problem and repeat.

7.1.1. Step 1: Internalize the Semantics

You must learn and digest ZeroMQ's "language", that is, the socket patterns and how they work. The only way to learn a language is to use it. There's no way to avoid this investment, no tapes you can play while you sleep, no chips you can plug in to magically become smarter. Read this book from the start,

work through the code examples in whatever language you prefer, understand what's going on, and (most importantly) write some examples yourself and then throw them away.

At a certain point, you'll feel a clicking noise in your brain. Maybe you'll have a weird chili-induced dream where little ZeroMQ tasks run around trying to eat you alive. Maybe you'll just think "aaahh, so *that's* what it means!" If we did our work right, it should take two to three days. However long it takes, until you start thinking in terms of ZeroMQ sockets and patterns, you're not ready for step 2.

7.1.2. Step 2: Draw a Rough Architecture

From my experience, it's essential to be able to draw the core of your architecture. It helps others understand what you are thinking, and it also helps you think through your ideas. There is really no better way to design a good architecture than to explain your ideas to your colleagues, using a whiteboard.

You don't need to get it right, and you don't need to make it complete. What you do need to do is break your architecture into pieces that make sense. The nice thing about software architecture (as compared to constructing bridges) is that you really can replace entire layers cheaply if you've isolated them.

Start by choosing the core problem that you are going to solve. Ignore anything that's not essential to that problem: you will add it in later. The problem should be an end-to-end problem: the rope across the gorge.

For example, a client asked us to make a supercomputing cluster with ZeroMQ. Clients create bundles of work, which are sent to a broker that distributes them to workers (running on fast graphics processors), collects the results back, and returns them to the client.

The rope across the gorge is one client talking to a broker talking to one worker. We draw three boxes: client, broker, worker. We draw arrows from box to box showing the request flowing one way and the response flowing back. It's just like the many diagrams we saw in earlier chapters.

Be minimalistic. Your goal is not to define a *real* architecture, but to throw a rope across the gorge to bootstrap your process. We make the architecture successfully more complete and realistic over time: e.g., adding multiple workers, adding client and worker APIs, handling failures, and so on.

7.1.3. Step 3: Decide on the Contracts

A good software architecture depends on contracts, and the more explicit they are, the better things scale. You don't care *how* things happen; you only care about the results. If I send an email, I don't care how it arrives at its destination, as long as the contract is respected. The email contract is: it arrives within a few minutes, no-one modifies it, and it doesn't get lost.

And to build a large system that works well, you must focus on the contracts before the implementations. It may sound obvious but all too often, people forget or ignore this, or are just too shy to impose themselves. I wish I could say ZeroMQ had done this properly, but for years our public contracts were second-rate afterthoughts instead of primary in-your-face pieces of work.

So what is a contract in a distributed system? There are, in my experience, two types of contract:

- The APIs to client applications. Remember the Psychological Elements. The APIs need to be as absolutely *simple*, *consistent*, and *familiar* as possible. Yes, you can generate API documentation from code, but you must first design it, and designing an API is often hard.
- The protocols that connect the pieces. It sounds like rocket science, but it's really just a simple trick, and one that ZeroMQ makes particularly easy. In fact they're so simple to write, and need so little bureaucracy that I call them *unprotocols*.

You write minimal contracts that are mostly just place markers. Most messages and most API methods will be missing or empty. You also want to write down any known technical requirements in terms of throughput, latency, reliability, and so on. These are the criteria on which you will accept or reject any particular piece of work.

7.1.4. Step 4: Write a Minimal End-to-End Solution

The goal is to test out the overall architecture as rapidly as possible. Make skeleton applications that call the APIs, and skeleton stacks that implement both sides of every protocol. You want to get a working end-to-end "Hello World" as soon as you can. You want to be able to test code as you write it, so that you can weed out the broken assumptions and inevitable errors you make. Do not go off and spend six months writing a test suite! Instead, make a minimal bare-bones application that uses our still-hypothetical API.

If you design an API wearing the hat of the person who implements it, you'll start to think of performance, features, options, and so on. You'll make it more complex, more irregular, and more surprising than it should be. But, and here's the trick (it's a cheap one, was big in Japan): if you design an API while wearing the hat of the person who has to actually write apps that use it, you use all that laziness and fear to your advantage.

Write down the protocols on a wiki or shared document in such a way that you can explain every command clearly without too much detail. Strip off any real functionality, because it will only create inertia that makes it harder to move stuff around. You can always add weight. Don't spend effort defining formal message structures: pass the minimum around in the simplest possible fashion using ZeroMQ's multipart framing.

Our goal is to get the simplest test case working, without any avoidable functionality. Everything you can chop off the list of things to do, you chop. Ignore the groans from colleagues and bosses. I'll repeat this once again: you can *always* add functionality, that's relatively easy. But aim to keep the overall weight to a minimum.

7.1.5. Step 5: Solve One Problem and Repeat

You're now in the happy cycle of issue-driven development where you can start to solve tangible problems instead of adding features. Write issues that each state a clear problem, and propose a solution. As you design the API, keep in mind your standards for names, consistency, and behavior. Writing these down in prose often helps keep them sane.

From here, every single change you make to the architecture and code can be proven by running the test case, watching it not work, making the change, and then watching it work.

Now you go through the whole cycle (extending the test case, fixing the API, updating the protocol, and extending the code, as needed), taking problems one at a time and testing the solutions individually. It should take about 10-30 minutes for each cycle, with the occasional spike due to random confusion.

7.2. Unprotocols

7.2.1. Protocols Without The Goats

When this man thinks of protocols, this man thinks of massive documents written by committees, over years. This man thinks of the IETF, W3C, ISO, Oasis, regulatory capture, FRAND patent license disputes, and soon after, this man thinks of retirement to a nice little farm in northern Bolivia up in the mountains where the only other needlessly stubborn beings are the goats chewing up the coffee plants.

Now, I've nothing personal against committees. The useless folk need a place to sit out their lives with minimal risk of reproducing; after all, that only seems fair. But most committee protocols tend towards complexity (the ones that work), or trash (the ones we don't talk about). There's a few reasons for this. One is the amount of money at stake. More money means more people who want their particular prejudices and assumptions expressed in prose. But two is the lack of good abstractions on which to build. People have tried to build reusable protocol abstractions, like BEEP. Most did not stick, and those that did, like SOAP and XMPP, are on the complex side of things.

It used to be, decades ago, when the Internet was a young modest thing, that protocols were short and sweet. They weren't even "standards", but "requests for comments", which is as modest as you can get. It's been one of my goals since we started iMatix in 1995 to find a way for ordinary people like me to write small, accurate protocols without the overhead of the committees.

Now, ZeroMQ does appear to provide a living, successful protocol abstraction layer with its "we'll carry multipart messages over random transports" way of working. Because ZeroMQ deals silently with framing, connections, and routing, it's surprisingly easy to write full protocol specs on top of ZeroMQ, and in *Reliable Request-Reply Patterns* Chapter 4 and *Advanced Pub-Sub Patterns* Chapter 5 I showed how to do this.

Somewhere around mid-2007, I kicked off the Digital Standards Organization to define new simpler ways of producing little standards, protocols, and specifications. In my defense, it was a quiet summer. At the time, I wrote that a new specification should take "minutes to explain, hours to design, days to write, weeks to prove, months to become mature, and years to replace." (<http://www.digistan.org/spec:1>)

In 2010, we started calling such little specifications *unprotocols*, which some people might mistake for a dastardly plan for world domination by a shadowy international organization, but which really just means "protocols without the goats".

7.2.2. Contracts Are Hard

Writing contracts is perhaps the most difficult part of large-scale architecture. With unprotocols, we remove as much of the unnecessary friction as possible. What remains is still a hard set of problems to solve. A good contract (be it an API, a protocol, or a rental agreement) has to be simple, unambiguous, technically sound, and easy to enforce.

Like any technical skill, it's something you have to learn and practice. There are a series of specifications on the

ZeroMQ RFC site (<http://rfc.zeromq.org>), which are worth reading and using them as a basis for your own specifications when you find yourself in need.

I'll try to summarize my experience as a protocol writer:

- Start simple, and develop your specifications step-by-step. Don't solve problems you don't have in front of you.
- Use very clear and consistent language. A protocol may often break down into commands and fields; use clear short names for these entities.
- Try to avoid inventing concepts. Reuse anything you can from existing specifications. Use terminology that is obvious and clear to your audience.
- Make *nothing* for which you cannot demonstrate an immediate need. Your specification solves problems; it does not provide features. Make the simplest plausible solution for each problem that you identify.
- Implement your protocol *as you build it*, so that you are aware of the technical consequences of each choice. Use a language that makes it hard (like C) and not one that makes it easy (like Python).
- Test your specification *as you build it* on other people. Your best feedback on a specification is when someone else tries to implement it without the assumptions and knowledge that you have in your head.
- Cross-test rapidly and consistently, throwing others' clients against your servers and vice versa.
- Be prepared to throw it out and start again as often as needed. Plan for this, by layering your architecture so that e.g., you can keep an API but change the underlying protocols.
- Only use constructs that are independent of programming language and operating system.

- Solve a large problem in layers, making each layer an independent specification. Beware of creating monolithic protocols. Think about how reusable each layer is. Think about how different teams could build competing specifications at each layer.

And above all, *write it down*. Code is not a specification. The point about a written specification is that no matter how weak it is, it can be systematically improved. By writing down a specification, you will also spot inconsistencies and gray areas that are impossible to see in code.

If this sounds hard, don't worry too much. One of the less obvious benefits of using ZeroMQ is that it cuts the effort necessary to write a protocol spec by perhaps 90% or more because it already handles framing, routing, queuing, and so on. This means that you can experiment rapidly, make mistakes cheaply, and thus learn rapidly.

7.2.3. How to Write Unprotocols

When you start to write an unprotocol specification document, stick to a consistent structure so that your readers know what to expect. Here is the structure I use:

- Cover section: with a 1-line summary, URL to the spec, formal name, version, who to blame.
- License for the text: absolutely needed for public specifications.
- The change process: i.e., how can I as a reader fix problems in the specification?
- Use of language: MUST, MAY, SHOULD, and so on, with a reference to RFC 2119.
- Maturity indicator: is this an experimental, draft, stable, legacy, or retired?
- Goals of the protocol: what problems is it trying to solve?
- Formal grammar: prevents arguments due to different interpretations of the text.
- Technical explanation: semantics of each message, error handling, and so on.
- Security discussion: explicitly, how secure the protocol is.
- References: to other documents, protocols, and so on.

Writing clear, expressive text is hard. Do avoid trying to describe implementations of the protocol. Remember that you're writing a contract. You describe in clear language the obligations and expectations of each party, the level of obligation, and the penalties for breaking the rules. You do not try to define *how* each party honors its part of the deal.

Here are some key points about unprotocols:

- As long as your process is open, then you don't need a committee: just make clean minimal designs and make sure anyone is free to improve them.
- If use an existing license, then you don't have legal worries afterwards. I use GPLv3 for my public specifications and advise you to do the same. For in-house work, standard copyright is perfect.

- Formality is valuable. That is, learn to write a formal grammar such as ABNF (Augmented Backus-Naur Form) and use this to fully document your messages.
- Use a market-driven life cycle process like Digistan's COSS (<http://www.digistan.org/spec:1>) so that people place the right weight on your specs as they mature (or don't).

7.2.4. Why use the GPLv3 for Public Specifications?

The license you choose is particularly crucial for public specifications. Traditionally, protocols are published under custom licenses, where the authors own the text and derived works are forbidden. This sounds great (after all, who wants to see a protocol forked?), but it's in fact highly risky. A protocol committee is vulnerable to capture, and if the protocol is important and valuable, the incentive for capture grows.

Once captured, like some wild animals, an important protocol will often die. The real problem is that there's no way to *free* a captive protocol published under a conventional license. The word "free" isn't just an adjective to describe speech or air, it's also a verb, and the right to fork a work *against the wishes of the owner* is essential to avoiding capture.

Let me explain this in shorter words. Imagine that iMatix writes a protocol today that's really amazing and popular. We publish the spec and many people implement it. Those implementations are fast and awesome, and free as in beer. They start to threaten an existing business. Their expensive commercial product is slower and can't compete. So one day they come to our iMatix office in Maetang-Dong, South Korea, and offer to buy our firm. Because we're spending vast amounts on sushi and beer, we accept gratefully. With evil laughter, the new owners of the protocol stop improving the public version, close the specification, and add patented extensions. Their new products support this new protocol version, but the open source versions are legally blocked from doing so. The company takes over the whole market, and competition ends.

When you contribute to an open source project, you really want to know your hard work won't be used against you by a closed source competitor. This is why the GPL beats the "more permissive" BSD/MIT/X11 licenses for most contributors. These licenses give permission to cheat. This applies just as much to protocols as to source code.

When you implement a GPLv3 specification, your applications are of course yours, and licensed any way you like. But you can be certain of two things. One, that specification will *never* be embraced and extended into proprietary forms. Any derived forms of the specification must also be GPLv3. Two, no one who ever implements or uses the protocol will ever launch a patent attack on anything it covers, nor can they add their patented technology to it without granting the world a free license.

7.2.5. Using ABNF

My advice when writing protocol specs is to learn and use a formal grammar. It's just less hassle than

allowing others to interpret what you mean, and then recover from the inevitable false assumptions. The target of your grammar is other people, engineers, not compilers.

My favorite grammar is ABNF, as defined by RFC 2234 (<http://www.ietf.org/rfc/rfc2234.txt>), because it is probably the simplest and most widely used formal language for defining bidirectional communications protocols. Most IETF (Internet Engineering Task Force) specifications use ABNF, which is good company to be in.

I'll give a 30-second crash course in writing ABNF. It may remind you of regular expressions. You write the grammar as rules. Each rule takes the form "name = elements". An element can be another rule (which you define below as another rule) or a pre-defined *terminal* like `CRLF`, `OCTET`, or a number. The RFC (<http://www.ietf.org/rfc/rfc2234.txt>) lists all the terminals. To define alternative elements, separate with a slash. To define repetition, use an asterisk. To group elements, use parentheses. Read the RFC because it's not intuitive.

I'm not sure if this extension is proper, but I then prefix elements with "C:" and "S:" to indicate whether they come from the client or server.

Here's a piece of ABNF for an unprotocol called NOM that we'll come back to later in this chapter:

```
nom-protocol      = open-peering *use-peering

open-peering      = C:OHAI ( S:OHAI-OK / S:WTF )

use-peering       = C:ICANHAZ
                   / S:CHEEZBURGER
                   / C:HUGZ S:HUGZ-OK
                   / S:HUGZ C:HUGZ-OK
```

I've actually used these keywords (`OHAI`, `WTF`) in commercial projects. They make developers giggly and happy. They confuse management. They're good in first drafts that you want to throw away later.

7.2.6. The Cheap or Nasty Pattern

There is a general lesson I've learned over a couple of decades of writing protocols small and large. I call this the *Cheap or Nasty* pattern: you can often split your work into two aspects or layers and solve these separately--one using a "cheap" approach, the other using a "nasty" approach.

The key insight to making Cheap or Nasty work is to realize that many protocols mix a low-volume chatty part for control, and a high-volume asynchronous part for data. For instance, HTTP has a chatty dialog to authenticate and get pages, and an asynchronous dialog to stream data. FTP actually splits this over two ports; one port for control and one port for data.

Protocol designers who don't separate control from data tend to make horrid protocols, because the trade-offs in the two cases are almost totally opposed. What is perfect for control is bad for data, and what's ideal for data just doesn't work for control. It's especially true when we want high performance at the same time as extensibility and good error checking.

Let's break this down using a classic client/server use case. The client connects to the server and authenticates. It then asks for some resource. The server chats back, then starts to send data back to the client. Eventually, the client disconnects or the server finishes, and the conversation is over.

Now, before starting to design these messages, stop and think, and let's compare the control dialog and the data flow:

- The control dialog lasts a short time and involves very few messages. The data flow could last for hours or days, and involve billions of messages.
- The control dialog is where all the "normal" errors happen, e.g., not authenticated, not found, payment required, censored, and so on. In contrast, any errors that happen during the data flow are exceptional (disk full, server crashed).
- The control dialog is where things will change over time as we add more options, parameters, and so on. The data flow should barely change over time because the semantics of a resource are fairly constant over time.
- The control dialog is essentially a synchronous request/reply dialog. The data flow is essentially a one-way asynchronous flow.

These differences are critical. When we talk about performance, it applies *only* to data flows. It's pathological to design a one-time control dialog to be fast. Thus when we talk about the cost of serialization, this only applies to the data flow. The cost of encoding/decoding the control flow could be huge, and for many cases it would not change a thing. So we encode control using Cheap, and we encode data flows using Nasty.

Cheap is essentially synchronous, verbose, descriptive, and flexible. A Cheap message is full of rich information that can change for each application. Your goal as designer is to make this information easy to encode and parse, trivial to extend for experimentation or growth, and highly robust against change both forwards and backwards. The Cheap part of a protocol looks like this:

- It uses a simple self-describing structured encoding for data, be it XML, JSON, HTTP-style headers, or some other. Any encoding is fine as long as there are standard simple parsers for it in your target languages.
- It uses a straight request-reply model where each request has a success/failure reply. This makes it trivial to write correct clients and servers for a Cheap dialog.
- It doesn't try, even marginally, to be fast. Performance doesn't matter when you do something only once or a few times per session.

A Cheap parser is something you take off the shelf and throw data at. It shouldn't crash, shouldn't leak memory, should be highly tolerant, and should be relatively simple to work with. That's it.

Nasty however is essentially asynchronous, terse, silent, and inflexible. A Nasty message carries minimal information that practically never changes. Your goal as designer is to make this information ultrafast to parse, and possibly even impossible to extend and experiment with. The ideal Nasty pattern looks like this:

- It uses a hand-optimized binary layout for data, where every bit is precisely crafted.
- It uses a pure asynchronous model where one or both peers send data without acknowledgments (or if they do, they use sneaky asynchronous techniques like credit-based flow control).
- It doesn't try, even marginally, to be friendly. Performance is all that matters when you are doing something several million times per second.

A Nasty parser is something you write by hand, which writes or reads bits, bytes, words, and integers individually and precisely. It rejects anything it doesn't like, does no memory allocations at all, and never crashes.

Cheap or Nasty isn't a universal pattern; not all protocols have this dichotomy. Also, how you use Cheap or Nasty will depend on the situation. In some cases, it can be two parts of a single protocol. In other cases, it can be two protocols, one layered on top of the other.

7.2.7. Error Handling

Using Cheap or Nasty makes error handling rather simpler. You have two kinds of commands and two ways to signal errors:

- Synchronous control commands: errors are normal: every request has a response that is either OK or an error response.
- Asynchronous data commands: errors are exceptional: bad commands are either discarded silently, or cause the whole connection to be closed.

It's usually good to distinguish a few kinds of errors, but as always keep it minimal and add only what you need.

7.3. Serializing Your Data

When we start to design a protocol, one of the first questions we face is how we encode data on the wire. There is no universal answer. There are a half-dozen different ways to serialize data, each with pros and cons. We'll explore some of these.

7.3.1. Abstraction Level

Before looking at how to put data onto the wire, it's worth asking what data we actually want to exchange between applications. If we don't use any abstraction, we literally serialize and deserialize our internal state. That is, the objects and structures we use to implement our functionality.

Putting internal state onto the wire is however a really bad idea. It's like exposing internal state in an API. When you do this, you are hard-coding your implementation decisions into your protocols. You are also going to produce protocols that are significantly more complex than they need to be.

It's perhaps the main reason so many older protocols and APIs are so complex: their designers did not think about how to abstract them into simpler concepts. There is of course no guarantee that an abstraction will be *simpler*; that's where the hard work comes in.

A good protocol or API abstraction encapsulates natural patterns of use, and gives them name and properties that are predictable and regular. It chooses sensible defaults so that the main use cases can be specified minimally. It aims to be simple for the simple cases, and expressive for the rarer complex cases. It does not make any statements or assumptions about the internal implementation unless that is absolutely needed for interoperability.

7.3.2. ZeroMQ Framing

The simplest and most widely used serialization format for ZeroMQ applications is ZeroMQ's own multipart framing. For example, here is how the Majordomo Protocol (<http://rfc.zeromq.org/spec:7>) defines a request:

```
Frame 0: Empty frame
Frame 1: "MDPW01" (six bytes, representing MDP/Worker v0.1)
Frame 2: 0x02 (one byte, representing REQUEST)
Frame 3: Client address (envelope stack)
Frame 4: Empty (zero bytes, envelope delimiter)
Frames 5+: Request body (opaque binary)
```

To read and write this in code is easy, but this is a classic example of a control flow (the whole of MDP is really, as it's a chatty request-reply protocol). When we came to improve MDP for the second version, we had to change this framing. Excellent, we broke all existing implementations!

Backwards compatibility is hard, but using ZeroMQ framing for control flows *does not help*. Here's how I should have designed this protocol if I'd followed my own advice (and I'll fix this in the next version). It's split into a Cheap part and a Nasty part, and uses the ZeroMQ framing to separate these:

```
Frame 0: "MDP/2.0" for protocol name and version
Frame 1: command header
Frame 2: command body
```

Where we'd expect to parse the command header in the various intermediaries (client API, broker, and worker API), and pass the command body untouched from application to application.

7.3.3. Serialization Languages

Serialization languages have their fashions. XML used to be big as in popular, then it got big as in over-engineered, and then it fell into the hands of "Enterprise Information Architects" and it's not been seen alive since. Today's XML is the epitome of "somewhere in that mess is a small, elegant language trying to escape".

Still XML was way, way better than its predecessors, which included such monsters as the Standard Generalized Markup Language (SGML), which in turn was a cool breeze compared to mind-torturing beasts like EDIFACT. So the history of serialization languages seems to be of gradually emerging sanity, hidden by waves of revolting EIAs doing their best to hold onto their jobs.

JSON popped out of the JavaScript world as a quick-and-dirty "I'd rather resign than use XML here" way to throw data onto the wire and get it back again. JSON is just minimal XML expressed, sneakily, as JavaScript source code.

Here's a simple example of using JSON in a Cheap protocol:

```
"protocol": {
  "name": "MTL",
  "version": 1
},
"virtual-host": "test-env"
```

The same data in XML would be (XML forces us to invent a single top-level entity):

```
<command>
  <protocol name = "MTL" version = "1" />
  <virtual-host>test-env</virtual-host>
</command>
```

And here it is using plain-old HTTP-style headers:

```
Protocol: MTL/1.0
Virtual-host: test-env
```

These are all pretty equivalent as long as you don't go overboard with validating parsers, schemas, and other "trust us, this is all for your own good" nonsense. A Cheap serialization language gives you space for experimentation for free ("ignore any elements/attributes/headers that you don't recognize"), and it's simple to write generic parsers that, for example, thunk a command into a hash table, or vice versa.

However, it's not all roses. While modern scripting languages support JSON and XML easily enough, older languages do not. If you use XML or JSON, you create nontrivial dependencies. It's also somewhat of a pain to work with tree-structured data in a language like C.

So you can drive your choice according to the languages for which you're aiming. If your universe is a scripting language, then go for JSON. If you are aiming to build protocols for wider system use, keep things simple for C developers and stick to HTTP-style headers.

7.3.4. Serialization Libraries

The `msgpack.org` site says:

It's like JSON, but fast and small. MessagePack is an efficient binary serialization format. It lets you exchange data among multiple languages like JSON, but it's faster and smaller. For example, small integers (like flags or error code) are encoded into a single byte, and typical short strings only require an extra byte in addition to the strings themselves.

I'm going to make the perhaps unpopular claim that "fast and small" are features that solve non-problems. The only real problem that serialization libraries solve is, as far as I can tell, the need to document the message contracts and actually serialize data to and from the wire.

Let's start by debunking "fast and small". It's based on a two-part argument. First, that making your messages smaller and reducing CPU cost for encoding and decoding will make a significant difference to your application's performance. Second, that this is equally valid across-the-board to all messages.

But most real applications tend to fall into one of two categories. Either the speed of serialization and size of encoding is marginal compared to other costs, such as database access or application code performance. Or, network performance really is critical, and then all significant costs occur in a few specific message types.

Thus, aiming for "fast and small" across the board is a false optimization. You neither get the easy flexibility of Cheap for your infrequent control flows, nor do you get the brutal efficiency of Nasty for your high-volume data flows. Worse, the assumption that all messages are equal in some way can corrupt your protocol design. Cheap or Nasty isn't only about serialization strategies, it's also about synchronous versus asynchronous, error handling and the cost of change.

My experience is that most performance problems in message-based applications can be solved by (a) improving the application itself and (b) hand-optimizing the high-volume data flows. And to hand-optimize your most critical data flows, you need to cheat; to learn exploit facts about your data, something general purpose serializers cannot do.

Now let's address documentation and the need to write our contracts explicitly and formally, rather than only in code. This is a valid problem to solve, indeed one of the main ones if we're to build a

long-lasting, large-scale message-based architecture.

Here is how we describe a typical message using the MessagePack interface definition language (IDL):

```
message Person {
  1: string surname
  2: string firstname
  3: optional string email
}
```

Now, the same message using the Google protocol buffers IDL:

```
message Person {
  required string surname = 1;
  required string firstname = 2;
  optional string email = 3;
}
```

It works, but in most practical cases wins you little over a serialization language backed by decent specifications written by hand or produced mechanically (we'll come to this). The price you'll pay is an extra dependency and quite probably, worse overall performance than if you used Cheap or Nasty.

7.3.5. Handwritten Binary Serialization

As you'll gather from this book, my preferred language for systems programming is C (upgraded to C99, with a constructor/destructor API model and generic containers). There are two reasons I like this modernized C language. First, I'm too weak-minded to learn a big language like C++. Life just seems filled with more interesting things to understand. Second, I find that this specific level of manual control lets me produce better results, faster.

The point here isn't C versus C++, but the value of manual control for high-end professional users. It's no accident that the best cars, cameras, and espresso machines in the world have manual controls. That level of on-the-spot fine tuning often makes the difference between world class success, and being second best.

When you are really, truly concerned about the speed of serialization and/or the size of the result (often these contradict each other), you need handwritten binary serialization. In other words, let's hear it for Mr. Nasty!

Your basic process for writing an efficient Nasty encoder/decoder (codec) is:

- Build representative data sets and test applications that can stress test your codec.
- Write a first dumb version of the codec.
- Test, measure, improve, and repeat until you run out of time and/or money.

Here are some of the techniques we use to make our codecs better:

- *Use a profiler.* There's simply no way to know what your code is doing until you've profiled it for function counts and for CPU cost per function. When you find your hot spots, fix them.
- *Eliminate memory allocations.* The heap is very fast on a modern Linux kernel, but it's still the bottleneck in most naive codecs. On older kernels, the heap can be tragically slow. Use local variables (the stack) instead of the heap where you can.
- *Test on different platforms and with different compilers and compiler options.* Apart from the heap, there are many other differences. You need to learn the main ones, and allow for them.
- *Use state to compress better.* If you are concerned about codec performance, you are almost definitely sending the same kinds of data many times. There will be redundancy between instances of data. You can detect these and use that to compress (e.g., a short value that means "same as last time").
- *Know your data.* The best compression techniques (in terms of CPU cost for compactness) require knowing about the data. For example, the techniques used to compress a word list, a video, and a stream of stock market data are all different.
- *Be ready to break the rules.* Do you really need to encode integers in big-endian network byte order? x86 and ARM account for almost all modern CPUs, yet use little-endian (ARM is actually bi-endian but Android, like Windows and iOS, is little-endian).

7.3.6. Code Generation

Reading the previous two sections, you might have wondered, "could I write my own IDL generator that was better than a general purpose one?" If this thought wandered into your mind, it probably left pretty soon after, chased by dark calculations about how much work that actually involved.

What if I told you of a way to build custom IDL generators cheaply and quickly? You can have a way to get perfectly documented contracts, code that is as evil and domain-specific as you need it to be, and all you need to do is sign away your soul (*who ever really used that, am I right?*) just here...

At iMatix, until a few years ago, we used code generation to build ever larger and more ambitious systems until we decided the technology (GSL) was too dangerous for common use, and we sealed the archive and locked it with heavy chains in a deep dungeon. We actually posted it on GitHub. If you want to try the examples that are coming up, grab the repository (<https://github.com/imatix/gsl>) and build yourself a `gsl` command. Typing "make" in the `src` subdirectory should do it (and if you're that guy who loves Windows, I'm sure you'll send a patch with project files).

This section isn't really about GSL at all, but about a useful and little-known trick that's useful for ambitious architects who want to scale themselves, as well as their work. Once you learn the trick, you can whip up your own code generators in a short time. The code generators most software engineers know about come with a single hard-coded model. For instance, Ragel "compiles executable finite state machines from regular languages", i.e., Ragel's model is a regular language. This certainly works for a good set of problems, but it's far from universal. How do you describe an API in Ragel? Or a project

makefile? Or even a finite-state machine like the one we used to design the Binary Star pattern in Reliable Request-Reply PatternsChapter 4?

All these would benefit from code generation, but there's no universal model. So the trick is to design your own models as you need them, and then make code generators as cheap compilers for that model. You need some experience in how to make good models, and you need a technology that makes it cheap to build custom code generators. A scripting language, like Perl and Python, is a good option. However, we actually built GSL specifically for this, and that's what I prefer.

Let's take a simple example that ties into what we already know. We'll see more extensive examples later, because I really do believe that code generation is crucial knowledge for large-scale work. In Reliable Request-Reply PatternsChapter 4, we developed the Majordomo Protocol (MDP) (<http://rfc.zeromq.org/spec:7>), and wrote clients, brokers, and workers for that. Now could we generate those pieces mechanically, by building our own interface description language and code generators?

When we write a GSL model, we can use *any* semantics we like, in other words we can invent domain-specific languages on the spot. I'll invent a couple--see if you can guess what they represent:

```
slideshow
  name = Cookery level 3
  page
    title = French Cuisine
    item = Overview
    item = The historical cuisine
    item = The nouvelle cuisine
    item = Why the French live longer
  page
    title = Overview
    item = Soups and salads
    item = Le plat principal
    item = Bœuf à la chienne and other sauces
    item = Pastries, cakes, and quiches
    item = Soufflé: cheese to strawberry
```

How about this one:

```
table
  name = person
  column
    name = firstname
    type = string
  column
    name = lastname
    type = string
  column
    name = rating
    type = integer
```

We could compile the first into a presentation. The second, we could compile into SQL to create and work with a database table. So for this exercise, our domain language, our *model*, consists of "classes" that contain "messages" that contain "fields" of various types. It's deliberately familiar. Here is the MDP client protocol:

```
<class name = "mdp_client">
  MDP/Client
  <header>
    <field name = "empty" type = "string" value = ""
      >Empty frame</field>
    <field name = "protocol" type = "string" value = "MDPC01"
      >Protocol identifier</field>
  </header>
  <message name = "request">
    Client request to broker
    <field name = "service" type = "string">Service name</field>
    <field name = "body" type = "frame">Request body</field>
  </message>
  <message name = "reply">
    Response back to client
    <field name = "service" type = "string">Service name</field>
    <field name = "body" type = "frame">Response body</field>
  </message>
</class>
```

And here is the MDP worker protocol:

```
<class name = "mdp_worker">
  MDP/Worker
  <header>
    <field name = "empty" type = "string" value = ""
      >Empty frame</field>
    <field name = "protocol" type = "string" value = "MDPW01"
      >Protocol identifier</field>
    <field name = "id" type = "octet">Message identifier</field>
  </header>
  <message name = "ready" id = "1">
    Worker tells broker it is ready
    <field name = "service" type = "string">Service name</field>
  </message>
  <message name = "request" id = "2">
    Client request to broker
    <field name = "client" type = "frame">Client address</field>
    <field name = "body" type = "frame">Request body</field>
  </message>
  <message name = "reply" id = "3">
    Worker returns reply to broker
    <field name = "client" type = "frame">Client address</field>
    <field name = "body" type = "frame">Request body</field>
  </message>
  <message name = "heartbeat" id = "4">
    Either peer tells the other it's still alive
```

```

    </message>
    <message name = "disconnect" id = "5">
        Either peer tells other the party is over
    </message>
</class>

```

GSL uses XML as its modeling language. XML has a poor reputation, having been dragged through too many enterprise sewers to smell sweet, but it has some strong positives, as long as you keep it simple. Any way to write a self-describing hierarchy of items and attributes would work.

Now here is a short IDL generator written in GSL that turns our protocol models into documentation:

```

.## Trivial IDL generator (specs.gsl)
.##
.output "$(class.name).md"
## The $(string.trim (class.?):left) Protocol
.for message
.    frames = count (class->header.field) + count (field)

A $(message.NAME) command consists of a multipart message of $(frames)
frames:

.    for class->header.field
.        if name = "id"
* Frame $(item ()): 0x$(message.id:%02x) (1 byte, $(message.NAME))
.        else
* Frame $(item ()): "$(value:)" ($(string.length ("$(value)")) \
bytes, $(field.))
.        endif
.    endfor
.    index = count (class->header.field) + 1
.    for field
* Frame $(index): $(field.?) \
.        if type = "string"
(printable string)
.        elsif type = "frame"
(opaque binary)
.            index += 1
.        else
.            echo "E: unknown field type: $(type)"
.        endif
.        index += 1
.    endfor
.endfor

```

The XML models and this script are in the subdirectory examples/models. To do the code generation, I give this command:

```
gsl -script:specs mdp_client.xml mdp_worker.xml
```

Here is the Markdown text we get for the worker protocol:

```
## The MDP/Worker Protocol

A READY command consists of a multipart message of 4 frames:

* Frame 1: "" (0 bytes, Empty frame)
* Frame 2: "MDPW01" (6 bytes, Protocol identifier)
* Frame 3: 0x01 (1 byte, READY)
* Frame 4: Service name (printable string)

A REQUEST command consists of a multipart message of 5 frames:

* Frame 1: "" (0 bytes, Empty frame)
* Frame 2: "MDPW01" (6 bytes, Protocol identifier)
* Frame 3: 0x02 (1 byte, REQUEST)
* Frame 4: Client address (opaque binary)
* Frame 6: Request body (opaque binary)

A REPLY command consists of a multipart message of 5 frames:

* Frame 1: "" (0 bytes, Empty frame)
* Frame 2: "MDPW01" (6 bytes, Protocol identifier)
* Frame 3: 0x03 (1 byte, REPLY)
* Frame 4: Client address (opaque binary)
* Frame 6: Request body (opaque binary)

A HEARBEAT command consists of a multipart message of 3 frames:

* Frame 1: "" (0 bytes, Empty frame)
* Frame 2: "MDPW01" (6 bytes, Protocol identifier)
* Frame 3: 0x04 (1 byte, HEARBEAT)

A DISCONNECT command consists of a multipart message of 3 frames:

* Frame 1: "" (0 bytes, Empty frame)
* Frame 2: "MDPW01" (6 bytes, Protocol identifier)
* Frame 3: 0x05 (1 byte, DISCONNECT)
```

This, as you can see, is close to what I wrote by hand in the original spec. Now, if you have cloned the `zguide` repository and you are looking at the code in `examples/models`, you can generate the MDP client and worker codecs. We pass the same two models to a different code generator:

```
gsl -script:codec_c mdp_client.xml mdp_worker.xml
```

Which gives us `mdp_client` and `mdp_worker` classes. Actually MDP is so simple that it's barely worth the effort of writing the code generator. The profit comes when we want to change the protocol (which we did for the standalone Majordomo project). You modify the protocol, run the command, and out pops more perfect code.

The `codec_c.gsl` code generator is not short, but the resulting codecs are much better than the handwritten code I originally put together for Majordomo. For instance, the handwritten code had no error checking and would die if you passed it bogus messages.

I'm now going to explain the pros and cons of GSL-powered model-oriented code generation. Power does not come for free and one of the greatest traps in our business is the ability to invent concepts out of thin air. GSL makes this particularly easy, so it can be an equally dangerous tool.

Do not invent concepts. The job of a designer is to remove problems, not add features.

Firstly, I will lay out the advantages of model-oriented code generation:

- You can create near-perfect abstractions that map to your real world. So, our protocol model maps 100% to the "real world" of Majordomo. This would be impossible without the freedom to tune and change the model in any way.
- You can develop these perfect models quickly and cheaply.
- You can generate *any* text output. From a single model, you can create documentation, code in any language, test tools--literally any output you can think of.
- You can generate (and I mean this literally) *perfect* output because it's cheap to improve your code generators to any level you want.
- You get a single source that combines specifications and semantics.
- You can leverage a small team to a massive size. At iMatix, we produced the million-line OpenAMQ messaging product out of perhaps 85K lines of input models, including the code generation scripts themselves.

Now let's look at the disadvantages:

- You add tool dependencies to your project.
- You may get carried away and create models for the pure joy of creating them.
- You may alienate newcomers, who will see "strange stuff", from your work.
- You may give people a strong excuse not to invest in your project.

Cynically, model-oriented abuse works great in environments where you want to produce huge amounts of perfect code that you can maintain with little effort and which *no one can ever take away from you*. Personally, I like to cross my rivers and move on. But if long-term job security is your thing, this is almost perfect.

So if you do use GSL and want to create open communities around your work, here is my advice:

- Use it only where you would otherwise be writing tiresome code by hand.
- Design natural models that are what people would expect to see.
- Write the code by hand first so you know what to generate.

- Do not overuse. Keep it simple! *Do not get too meta!!*
- Introduce gradually into a project.
- Put the generated code into your repositories.

We're already using GSL in some projects around ZeroMQ. For example, the high-level C binding, CZMQ, uses GSL to generate the socket options class (`zsockopt`). A 300-line code generator turns 78 lines of XML model into 1,500 lines of perfect, but really boring code. That's a good win.

7.4. Transferring Files

Let's take a break from the lecturing and get back to our first love and the reason for doing all of this: code.

"How do I send a file?" is a common question on the ZeroMQ mailing lists. This should not be surprising, because file transfer is perhaps the oldest and most obvious type of messaging. Sending files around networks has lots of use cases apart from annoying the copyright cartels. ZeroMQ is very good out of the box at sending events and tasks, but less good at sending files.

I've promised, for a year or two, to write a proper explanation. Here's a gratuitous piece of information to brighten your morning: the word "proper" comes from the archaic French *propre*, which means "clean". The dark age English common folk, not being familiar with hot water and soap, changed the word to mean "foreign" or "upper-class", as in "that's proper food!", but later the word came to mean just "real", as in "that's a proper mess you've gotten us into!"

So, file transfer. There are several reasons you can't just pick up a random file, blindfold it, and shove it whole into a message. The most obvious reason being that despite decades of determined growth in RAM sizes (and who among us old-timers doesn't fondly remember saving up for that 1024-byte memory extension card?!), disk sizes obstinately remain much larger. Even if we could send a file with one instruction (say, using a system call like `sendfile`), we'd hit the reality that networks are not infinitely fast nor perfectly reliable. After trying to upload a large file several times on a slow flaky network (WiFi, anyone?), you'll realize that a proper file transfer protocol needs a way to recover from failures. That is, it needs a way to send only the part of a file that wasn't yet received.

Finally, after all this, if you build a proper file server, you'll notice that simply sending massive amounts of data to lots of clients creates that situation we like to call, in the technical parlance, "server went belly-up due to all available heap memory being eaten by a poorly designed application". A proper file transfer protocol needs to pay attention to memory use.

We'll solve these problems properly, one-by-one, which should hopefully get us to a good and proper file transfer protocol running over ZeroMQ. First, let's generate a 1GB test file with random data (real

power-of-two-giga-like-Von-Neuman-intended, not the fake silicon ones the memory industry likes to sell):

```
dd if=/dev/urandom of=testdata bs=1M count=1024
```

This is large enough to be troublesome when we have lots of clients asking for the same file at once, and on many machines, 1GB is going to be too large to allocate in memory anyhow. As a base reference, let's measure how long it takes to copy this file from disk back to disk. This will tell us how much our file transfer protocol adds on top (including network costs):

```
$ time cp testdata testdata2
```

```
real    0m7.143s
user    0m0.012s
sys     0m1.188s
```

The 4-figure precision is misleading; expect variations of 25% either way. This is just an "order of magnitude" measurement.

Here's our first cut at the code, where the client asks for the test data and the server just sends it, without stopping for breath, as a series of messages, where each message holds one *chunk*:

Example 7-1. File transfer test, model 1 (fileio1.lua)

(This example still needs translation into Lua)

It's pretty simple, but we already run into a problem: if we send too much data to the ROUTER socket, we can easily overflow it. The simple but stupid solution is to put an infinite high-water mark on the socket. It's stupid because we now have no protection against exhausting the server's memory. Yet without an infinite HWM, we risk losing chunks of large files.

Try this: set the HWM to 1,000 (in ZeroMQ v3.x this is the default) and then reduce the chunk size to 100K so we send 10K chunks in one go. Run the test, and you'll see it never finishes. As the `zmq_socket()` man page says with cheerful brutality, for the ROUTER socket: "ZMQ_HWM option action: Drop".

We have to control the amount of data the server sends up-front. There's no point in it sending more than the network can handle. Let's try sending one chunk at a time. In this version of the protocol, the client will explicitly say, "Give me chunk N", and the server will fetch that specific chunk from disk and send it.

Here's the improved second model, where the client asks for one chunk at a time, and the server only sends one chunk for each request it gets from the client:

Example 7-2. File transfer test, model 2 (fileio2.lua)

(This example still needs translation into Lua)

It is much slower now, because of the to-and-fro chatting between client and server. We pay about 300 microseconds for each request-reply round-trip, on a local loop connection (client and server on the same box). It doesn't sound like much but it adds up quickly:

```
$ time ./fileio1
4296 chunks received, 1073741824 bytes

real    0m0.669s
user    0m0.056s
sys     0m1.048s

$ time ./fileio2
4295 chunks received, 1073741824 bytes

real    0m2.389s
user    0m0.312s
sys     0m2.136s
```

There are two valuable lessons here. First, while request-reply is easy, it's also too slow for high-volume data flows. Paying that 300 microseconds once would be fine. Paying it for every single chunk isn't acceptable, particularly on real networks with latencies of perhaps 1,000 times higher.

The second point is something I've said before but will repeat: it's incredibly easy to experiment, measure, and improve a protocol over ZeroMQ. And when the cost of something comes way down, you can afford a lot more of it. Do learn to develop and prove your protocols in isolation: I've seen teams waste time trying to improve poorly designed protocols that are too deeply embedded in applications to be easily testable or fixable.

Our model two file transfer protocol isn't so bad, apart from performance:

- It completely eliminates any risk of memory exhaustion. To prove that, we set the high-water mark to 1 in both sender and receiver.
- It lets the client choose the chunk size, which is useful because if there's any tuning of the chunk size to be done, for network conditions, for file types, or to reduce memory consumption further, it's the client that should be doing this.
- It gives us fully restartable file transfers.
- It allows the client to cancel the file transfer at any point in time.

If we just didn't have to do a request for each chunk, it'd be a usable protocol. What we need is a way for the server to send multiple chunks without waiting for the client to request or acknowledge each one. What are our choices?

- The server could send 10 chunks at once, then wait for a single acknowledgment. That's exactly like multiplying the chunk size by 10, so it's pointless. And yes, it's just as pointless for all values of 10.
- The server could send chunks without any chatter from the client but with a slight delay between each send, so that it would send chunks only as fast as the network could handle them. This would require

the server to know what's happening at the network layer, which sounds like hard work. It also breaks layering horribly. And what happens if the network is really fast, but the client itself is slow? Where are chunks queued then?

- The server could try to spy on the sending queue, i.e., see how full it is, and send only when the queue isn't full. Well, ZeroMQ doesn't allow that because it doesn't work, for the same reason as throttling doesn't work. The server and network may be more than fast enough, but the client may be a slow little device.
- We could modify `libzmq` to take some other action on reaching HWM. Perhaps it could block? That would mean that a single slow client would block the whole server, so no thank you. Maybe it could return an error to the caller? Then the server could do something smart like... well, there isn't really anything it could do that's any better than dropping the message.

Apart from being complex and variously unpleasant, none of these options would even work. What we need is a way for the client to tell the server, asynchronously and in the background, that it's ready for more. We need some kind of asynchronous flow control. If we do this right, data should flow without interruption from the server to the client, but only as long as the client is reading it. Let's review our three protocols. This was the first one:

```
C: fetch
S: chunk 1
S: chunk 2
S: chunk 3
....
```

And the second introduced a request for each chunk:

```
C: fetch chunk 1
S: send chunk 1
C: fetch chunk 2
S: send chunk 2
C: fetch chunk 3
S: send chunk 3
C: fetch chunk 4
....
```

Now--waves hands mysteriously--here's a changed protocol that fixes the performance problem:

```
C: fetch chunk 1
C: fetch chunk 2
C: fetch chunk 3
S: send chunk 1
C: fetch chunk 4
S: send chunk 2
S: send chunk 3
....
```

It looks suspiciously similar. In fact, it's identical except that we send multiple requests without waiting for a reply for each one. This is a technique called "pipelining" and it works because our DEALER and ROUTER sockets are fully asynchronous.

Here's the third model of our file transfer test-bench, with pipelining. The client sends a number of requests ahead (the "credit") and then each time it processes an incoming chunk, it sends one more credit. The server will never send more chunks than the client has asked for:

Example 7-3. File transfer test, model 3 (fileio3.lua)

(This example still needs translation into Lua)

That tweak gives us full control over the end-to-end pipeline including all network buffers and ZeroMQ queues at sender and receiver. We ensure the pipeline is always filled with data while never growing beyond a predefined limit. More than that, the client decides exactly when to send "credit" to the sender. It could be when it receives a chunk, or when it has fully processed a chunk. And this happens asynchronously, with no significant performance cost.

In the third model, I chose a pipeline size of 10 messages (each message is a chunk). This will cost a maximum of 2.5MB memory per client. So with 1GB of memory we can handle at least 400 clients. We can try to calculate the ideal pipeline size. It takes about 0.7 seconds to send the 1GB file, which is about 160 microseconds for a chunk. A round trip is 300 microseconds, so the pipeline needs to be at least 3-5 chunks to keep the server busy. In practice, I still got performance spikes with a pipeline of 5 chunks, probably because the credit messages sometimes get delayed by outgoing data. So at 10 chunks, it works consistently.

```
$ time ./fileio3
4291 chunks received, 1072741824 bytes

real    0m0.777s
user    0m0.096s
sys     0m1.120s
```

Do measure rigorously. Your calculations may be good, but the real world tends to have its own opinions.

What we've made is clearly not yet a real file transfer protocol, but it proves the pattern and I think it is the simplest plausible design. For a real working protocol, we might want to add some or all of:

- Authentication and access controls, even without encryption: the point isn't to protect sensitive data, but to catch errors like sending test data to production servers.
- A Cheap-style request including file path, optional compression, and other stuff we've learned is useful from HTTP (such as If-Modified-Since).
- A Cheap-style response, at least for the first chunk, that provides meta data such as file size (so the client can pre-allocate, and avoid unpleasant disk-full situations).
- The ability to fetch a set of files in one go, otherwise the protocol becomes inefficient for large sets of small files.

- Confirmation from the client when it's fully received a file, to recover from chunks that might be lost if the client disconnects unexpectedly.

So far, our semantic has been "fetch"; that is, the recipient knows (somehow) that they need a specific file, so they ask for it. The knowledge of which files exist and where they are is then passed out-of-band (e.g., in HTTP, by links in the HTML page).

How about a "push" semantic? There are two plausible use cases for this. First, if we adopt a centralized architecture with files on a main "server" (not something I'm advocating, but people do sometimes like this), then it's very useful to allow clients to upload files to the server. Second, it lets us do a kind of pub-sub for files, where the client asks for all new files of some type; as the server gets these, it forwards them to the client.

A fetch semantic is synchronous, while a push semantic is asynchronous. Asynchronous is less chatty, so faster. Also, you can do cute things like "subscribe to this path" thus creating a pub-sub file transfer architecture. That is so obviously awesome that I shouldn't need to explain what problem it solves.

Still, here is the problem with the fetch semantic: that out-of-band route to tell clients what files exist. No matter how you do this, it ends up being complex. Either clients have to poll, or you need a separate pub-sub channel to keep clients up-to-date, or you need user interaction.

Thinking this through a little more, though, we can see that fetch is just a special case of pub-sub. So we can get the best of both worlds. Here is the general design:

- Fetch this path
- Here is credit (repeat)

To make this work (and we will, my dear readers), we need to be a little more explicit about how we send credit to the server. The cute trick of treating a pipelined "fetch chunk" request as credit won't fly because the client doesn't know any longer what files actually exist, how large they are, anything. If the client says, "I'm good for 250,000 bytes of data", this should work equally for 1 file of 250K bytes, or 100 files of 2,500 bytes.

And this gives us "credit-based flow control", which effectively removes the need for high-water marks, and any risk of memory overflow.

7.5. State Machines

Software engineers tend to think of (finite) state machines as a kind of intermediary interpreter. That is, you take a regular language and compile that into a state machine, then execute the state machine. The state machine itself is rarely visible to the developer: it's an internal representation--optimized, compressed, and bizarre.

However, it turns out that state machines are also valuable as a first-class modeling languages for protocol handlers, e.g., ZeroMQ clients and servers. ZeroMQ makes it rather easy to design protocols, but we've never defined a good pattern for writing those clients and servers properly.

A protocol has at least two levels:

- How we represent individual messages on the wire.
- How messages flow between peers, and the significance of each message.

We've seen in this chapter how to produce codecs that handle serialization. That's a good start. But if we leave the second job to developers, that gives them a lot of room to interpret. As we make more ambitious protocols (file transfer + heartbeating + credit + authentication), it becomes less and less sane to try to implement clients and servers by hand.

Yes, people do this almost systematically. But the costs are high, and they're avoidable. I'll explain how to model protocols using state machines, and how to generate neat and solid code from those models.

My experience with using state machines as a software construction tool dates to 1985 and my first real job making tools for application developers. In 1991, I turned that knowledge into a free software tool called Libero, which spat out executable state machines from a simple text model.

The thing about Libero's model was that it was readable. That is, you described your program logic as named states, each accepting a set of events, each doing some real work. The resulting state machine hooked into your application code, driving it like a boss.

Libero was charmingly good at its job, fluent in many languages, and modestly popular given the enigmatic nature of state machines. We used Libero in anger in dozens of large distributed applications, one of which was finally switched off in 2011 after 20 years of operation. State-machine driven code construction worked so well that it's somewhat impressive that this approach never hit the mainstream of software engineering.

So in this section I'm going to explain Libero's model, and demonstrate how to use it to generate ZeroMQ clients and servers. We'll use GSL again, but like I said, the principles are general and you can put together code generators using any scripting language.

As a worked example, let's see how to carry-on a stateful dialog with a peer on a ROUTER socket. We'll develop the server using a state machine (and the client by hand). We have a simple protocol that I'll call "NOM". I'm using the oh-so-very-serious keywords for unprotocols (<http://unprotocols.org/blog:2>) proposal:

```
nom-protocol      = open-peering *use-peering
open-peering      = C:OHAI ( S:OHAI-OK / S:WTF )
```

```

use-peering      = C:ICANHAZ
                  / S:CHEEZBURGER
                  / C:HUGZ S:HUGZ-OK
                  / S:HUGZ C:HUGZ-OK

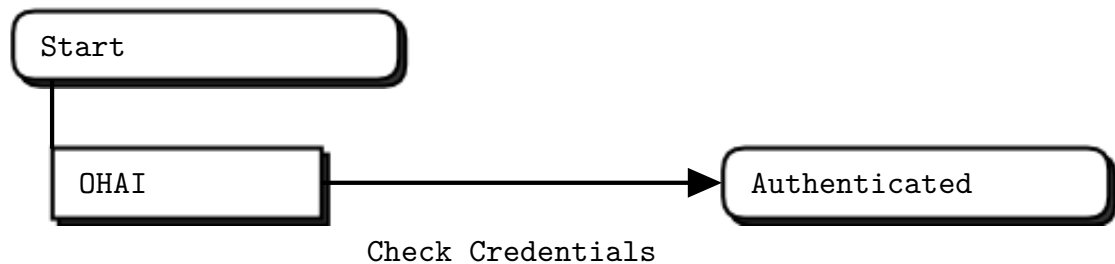
```

I've not found a quick way to explain the true nature of state machine programming. In my experience, it invariably takes a few days of practice. After three or four days' exposure to the idea, there is a near-audible "click!" as something in the brain connects all the pieces together. We'll make it concrete by looking at the state machine for our NOM server.

A useful thing about state machines is that you can read them state by state. Each state has a unique descriptive name and one or more *events*, which we list in any order. For each event, we perform zero or more *actions* and we then move to a *next state* (or stay in the same state).

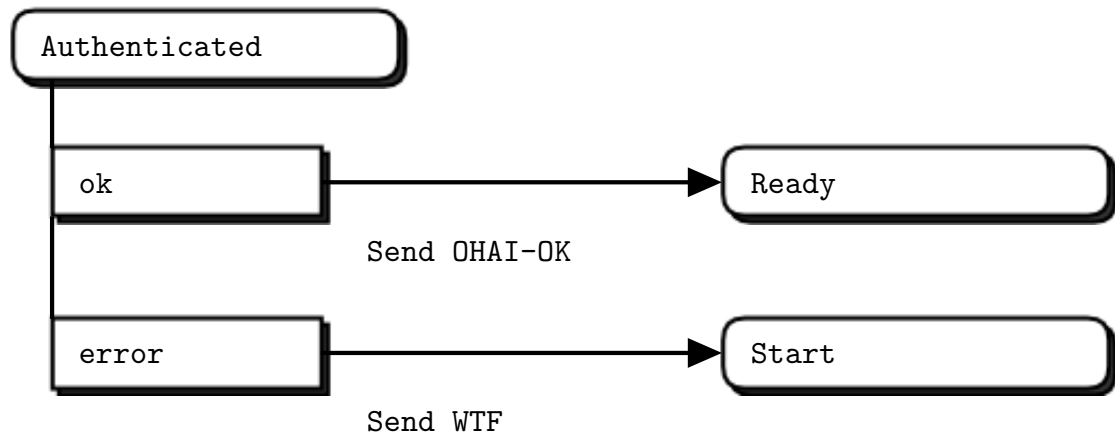
In a ZeroMQ protocol server, we have a state machine instance *per client*. That sounds complex but it isn't, as we'll see. We describe our first state, `Start`, as having one valid event: `OHAI`. We check the user's credentials and then arrive in the `Authenticated` state (Figure 7-1).

Figure 7-1. The Start State



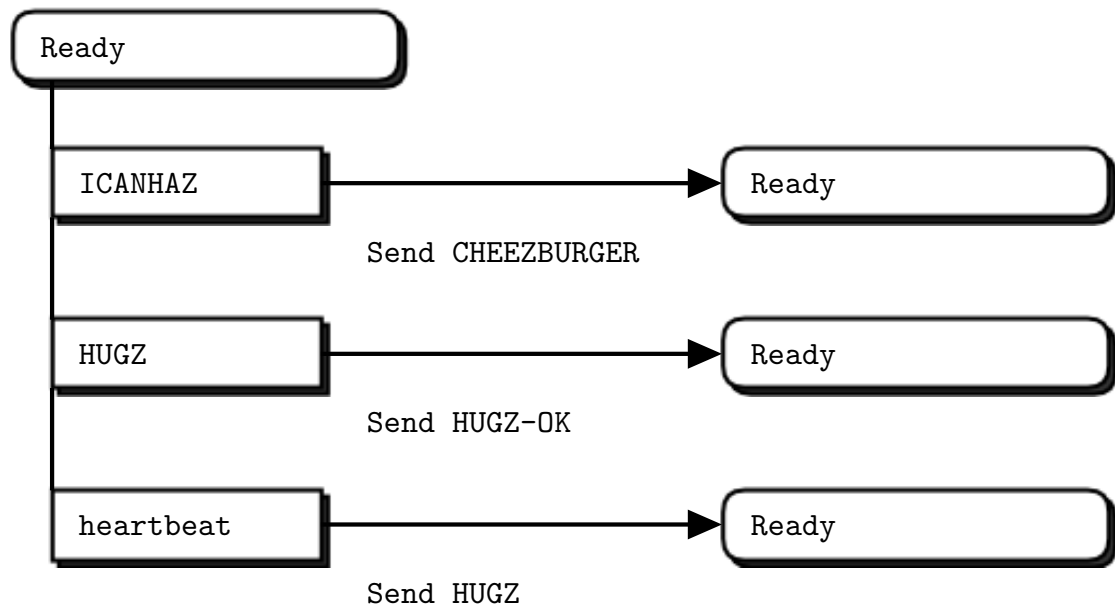
The `Check Credentials` action produces either an `ok` or an `error` event. It's in the `Authenticated` state that we handle these two possible events by sending an appropriate reply back to the client (Figure 7-2). If authentication failed, we return to the `Start` state where the client can try again.

Figure 7-2. The Authenticated State



When authentication has succeeded, we arrive in the Ready state. Here we have three possible events: an ICANHAZ or HUGZ message from the client, or a heartbeat timer event (Figure 7-3).

Figure 7-3. The Ready State



There are a few more things about this state machine model that are worth knowing:

- Events in upper case (like "HUGZ") are *external events* that come from the client as messages.
- Events in lower case (like "heartbeat") are *internal events*, produced by code in the server.
- The "Send SOMETHING" actions are shorthand for sending a specific reply back to the client.

- Events that aren't defined in a particular state are silently ignored.

Now, the original source for these pretty pictures is an XML model:

```
<class name = "nom_server" script = "server_c">

<state name = "start">
    <event name = "OHAI" next = "authenticated">
        <action name = "check credentials" />
    </event>
</state>

<state name = "authenticated">
    <event name = "ok" next = "ready">
        <action name = "send" message = "OHAI-OK" />
    </event>
    <event name = "error" next = "start">
        <action name = "send" message = "WTF" />
    </event>
</state>

<state name = "ready">
    <event name = "ICANHAZ">
        <action name = "send" message = "CHEEZBURGER" />
    </event>
    <event name = "HUGZ">
        <action name = "send" message = "HUGZ-OK" />
    </event>
    <event name = "heartbeat">
        <action name = "send" message = "HUGZ" />
    </event>
</state>
</class>
```

The code generator is in `examples/models/server_c.gsl`. It is a fairly complete tool that I'll use and expand for more serious work later. It generates:

- A server class in C (`nom_server.c`, `nom_server.h`) that implements the whole protocol flow.
- A selftest method that runs the selftest steps listed in the XML file.
- Documentation in the form of graphics (the pretty pictures).

Here's a simple main program that starts the generated NOM server:

```
#include "czmq.h"
#include "nom_server.h"

int main (int argc, char *argv [])
{
    printf ("Starting NOM protocol server on port 5670...\n");
    nom_server_t *server = nom_server_new ();
```



```

nom_server_bind (server, "tcp://*:5670");
nom_server_wait (server);
nom_server_destroy (&server);
return 0;
}

```

The generated `nom_server` class is a fairly classic model. It accepts client messages on a ROUTER socket, so the first frame on every request is the client's connection identity. The server manages a set of clients, each with state. As messages arrive, it feeds these as *events* to the state machine. Here's the core of the state machine, as a mix of GSL commands and the C code we intend to generate:

```

client_execute (client_t *self, int event)
{
    self->next_event = event;
    while (self->next_event) {
        self->event = self->next_event;
        self->next_event = 0;
        switch (self->state) {
        .for class.state
            case $(name:c)_state:
        .    for event
        .        if index () > 1
        .            else
        .        endif
        .            if (self->event == $(name:c)_event) {
        .        for action
        .            if name = "send"
        .                zmsg_addstr (self->reply, "$(message:)");
        .            else
        .                $(name:c)_action (self);
        .            endif
        .        endfor
        .        if defined (event.next)
        .            self->state = $(next:c)_state;
        .        endif
        .    }
        .    endfor
        .        break;
        .endfor
        }
        if (zmsg_size (self->reply) > 1) {
            zmsg_send (&self->reply, self->router);
            self->reply = zmsg_new ();
            zmsg_add (self->reply, zframe_dup (self->address));
        }
    }
}

```

Each client is held as an object with various properties, including the variables we need to represent a state machine instance:

```

event_t next_event;          // Next event
state_t state;               // Current state
event_t event;               // Current event

```

You will see by now that we are generating technically-perfect code that has the precise design and shape we want. The only clue that the `nom_server` class isn't handwritten is that the code is *too good*. People who complain that code generators produce poor code are accustomed to poor code generators. It is trivial to extend our model as we need it. For example, here's how we generate the selftest code.

First, we add a "selftest" item to the state machine and write our tests. We're not using any XML grammar or validation so it really is just a matter of opening the editor and adding half-a-dozen lines of text:

```

<selftest>
  <step send = "OHAI" body = "Sleepy" recv = "WTF" />
  <step send = "OHAI" body = "Joe" recv = "OHAI-OK" />
  <step send = "ICANH4Z" recv = "CHEEZBURGER" />
  <step send = "HUGZ" recv = "HUGZ-OK" />
  <step recv = "HUGZ" />
</selftest>

```

Designing on the fly, I decided that "send" and "recv" were a nice way to express "send this request, then expect this reply". Here's the GSL code that turns this model into real code:

```

.for class->selftest.step
.  if defined (send)
    msg = zmq_msg_new ();
    zmq_msg_addstr (msg, "$(send:)");
.    if defined (body)
      zmq_msg_addstr (msg, "$(body:)");
.    endif
    zmq_msg_send (&msg, dealer);

.  endif
.  if defined (recv)
    msg = zmq_msg_recv (dealer);
    assert (msg);
    command = zmq_msg_popstr (msg);
    assert (strcmp (command, "$(recv:)"));
    free (command);
    zmq_msg_destroy (&msg);

.  endif
.endfor

```

Finally, one of the more tricky but absolutely essential parts of any state machine generator is *how do I plug this into my own code?* As a minimal example for this exercise I wanted to implement the "check credentials" action by accepting all OHAI's from my friend Joe (Hi Joe!) and reject everyone else's

OHAIs. After some thought, I decided to grab code directly from the state machine model, i.e., embed action bodies in the XML file. So in `nom_server.xml`, you'll see this:

```
<action name = "check_credentials">
    char *body = zmq_popstr (self->request);
    if (body && strcmp (body, "Joe"))
        self->next_event = ok_event;
    else
        self->next_event = error_event;
    free (body);
</action>
```

And the code generator grabs that C code and inserts it into the generated `nom_server.c` file:

```
.for class.action
static void
$(name:c)_action (client_t *self) {
$(string.trim (.):)
}
.endfor
```

And now we have something quite elegant: a single source file that describes my server state machine and also contains the native implementations for my actions. A nice mix of high-level and low-level that is about 90% smaller than the C code.

Beware, as your head spins with notions of all the amazing things you could produce with such leverage. While this approach gives you real power, it also moves you away from your peers, and if you go too far, you'll find yourself working alone.

By the way, this simple little state machine design exposes just three variables to our custom code:

- `self->next_event`
- `self->request`
- `self->reply`

In the Libero state machine model, there are a few more concepts that we've not used here, but which we will need when we write larger state machines:

- Exceptions, which lets us write terser state machines. When an action raises an exception, further processing on the event stops. The state machine can then define how to handle exception events.
- The `Defaults` state, where we can define default handling for events (especially useful for exception events).

7.6. Authentication Using SASL

When we designed AMQP in 2007, we chose the Simple Authentication and Security Layer (http://en.wikipedia.org/wiki/Simple_Authentication_and_Security_Layer) (SASL) for the authentication layer, one of the ideas we took from the BEEP protocol framework (<http://www.rfc-editor.org/rfc/rfc3080.txt>). SASL looks complex at first, but it's actually simple and fits neatly into a ZeroMQ-based protocol. What I especially like about SASL is that it's scalable. You can start with anonymous access or plain text authentication and no security, and grow to more secure mechanisms over time without changing your protocol.

I'm not going to give a deep explanation now because we'll see SASL in action somewhat later. But I'll explain the principle so you're already somewhat prepared.

In the NOM protocol, the client started with an OHAI command, which the server either accepted ("Hi Joe!") or rejected. This is simple but not scalable because server and client have to agree up-front on the type of authentication they're going to do.

What SASL introduced, which is genius, is a fully abstracted and negotiable security layer that's still easy to implement at the protocol level. It works as follows:

- The client connects.
- The server challenges the client, passing a list of security "mechanisms" that it knows about.
- The client chooses a security mechanism that it knows about, and answers the server's challenge with a blob of opaque data that (and here's the neat trick) some generic security library calculates and gives to the client.
- The server takes the security mechanism the client chose, and that blob of data, and passes it to its own security library.
- The library either accepts the client's answer, or the server challenges again.

There are a number of free SASL libraries. When we come to real code, we'll implement just two mechanisms, ANONYMOUS and PLAIN, which don't need any special libraries.

To support SASL, we have to add an optional challenge/response step to our "open-peering" flow. Here is what the resulting protocol grammar looks like (I'm modifying NOM to do this):

```
secure-nom      = open-peering *use-peering

open-peering    = C:OHAI *( S:ONLY C:YARLY ) ( S:OHAI-OK / S:WTF )

ONLY            = 1*mechanism challenge
mechanism       = string
challenge       = *OCTET

YARLY           = mechanism response
```

```
response = *OCTET
```

Where ORLY and YARLY contain a string (a list of mechanisms in ORLY, one mechanism in YARLY) and a blob of opaque data. Depending on the mechanism, the initial challenge from the server may be empty. We don't care: we just pass this to the security library to deal with.

The SASL RFC (<http://tools.ietf.org/html/rfc4422>) goes into detail about other features (that we don't need), the kinds of ways SASL could be attacked, and so on.

7.7. Large-Scale File Publishing: FileMQ

Let's put all these techniques together into a file distribution system that I'll call FileMQ. This is going to be a real product, living on GitHub (<https://github.com/zeromq/filemq>). What we'll make here is a first version of FileMQ, as a training tool. If the concept works, the real thing may eventually get its own book.

7.7.1. Why make FileMQ?

Why make a file distribution system? I already explained how to send large files over ZeroMQ, and it's really quite simple. But if you want to make messaging accessible to a million times more people than can use ZeroMQ, you need another kind of API. An API that my five-year old son can understand. An API that is universal, requires no programming, and works with just about every single application.

Yes, I'm talking about the file system. It's the DropBox pattern: chuck your files somewhere and they get magically copied somewhere else when the network connects again.

However, what I'm aiming for is a fully decentralized architecture that looks more like git, that doesn't need any cloud services (though we could put FileMQ in the cloud), and that does multicast, i.e., can send files to many places at once.

FileMQ must be secure(able), easily hooked into random scripting languages, and as fast as possible across our domestic and office networks.

I want to use it to back up photos from my mobile phone to my laptop over WiFi. To share presentation slides in real time across 50 laptops in a conference. To share documents with colleagues in a meeting. To send earthquake data from sensors to central clusters. To back up video from my phone as I take it, during protests or riots. To synchronize configuration files across a cloud of Linux servers.

A visionary idea, isn't it? Well, ideas are cheap. The hard part is making this, and making it simple.

7.7.2. Initial Design Cut: the API

Here's the way I see the first design. FileMQ has to be distributed, which means that every node can be a server and a client at the same time. But I don't want the protocol to be symmetrical, because that seems forced. We have a natural flow of files from point A to point B, where A is the "server" and B is the "client". If files flow back the other way, then we have two flows. FileMQ is not yet directory synchronization protocol, but we'll bring it quite close.

Thus, I'm going to build FileMQ as two pieces: a client and a server. Then, I'll put these together in a main application (the `filemq` tool) that can act both as client and server. The two pieces will look quite similar to the `nom_server`, with the same kind of API:

```
fmq_server_t *server = fmq_server_new ();
fmq_server_bind (server, "tcp://*:5670");
fmq_server_publish (server, "/home/ph/filemq/share", "/public");
fmq_server_publish (server, "/home/ph/photos/stream", "/photostream");

fmq_client_t *client = fmq_client_new ();
fmq_client_connect (client, "tcp://pieter.filemq.org:5670");
fmq_client_subscribe (server, "/public/", "/home/ph/filemq/share");
fmq_client_subscribe (server, "/photostream/", "/home/ph/photos/stream");

while (!zctx_interrupted)
    sleep (1);

fmq_server_destroy (&server);
fmq_client_destroy (&client);
```

If we wrap this C API in other languages, we can easily script FileMQ, embed it applications, port it to smartphones, and so on.

7.7.3. Initial Design Cut: the Protocol

The full name for the protocol is the "File Message Queuing Protocol", or FILEMQ in uppercase to distinguish it from the software. To start with, we write down the protocol as an ABNF grammar. Our grammar starts with the flow of commands between the client and server. You should recognize these as a combination of the various techniques we've seen already:

```
filemq-protocol = open-peering *use-peering [ close-peering ]

open-peering    = C:OHAI *( S:ONLY C:YARLY ) ( S:OHAI-OK / error )

use-peering     = C:ICANHAZ ( S:ICANHAZ-OK / error )
                  / C:NOM
                  / S:CHEEZBURGER
                  / C:HUGZ S:HUGZ-OK
                  / S:HUGZ C:HUGZ-OK
```

```
close-peering    = C:KTHXBAl / S:KTHXBAl
error            = S:SRSly / S:RTFM
```

Here are the commands to and from the server:

```
; The client opens peering to the server
OHAI            = signature %x01 protocol version
signature       = %xAA %xA3
protocol       = string          ; Must be "FILEMQ"
string         = size *VCHAR
size           = OCTET
version        = %x01

; The server challenges the client using the SASL model
ORLY            = signature %x02 mechanisms challenge
mechanisms     = size 1*mechanism
mechanism       = string
challenge      = *OCTET          ; ZeroMQ frame

; The client responds with SASL authentication information
YARLY          = %signature x03 mechanism response
response       = *OCTET          ; ZeroMQ frame

; The server grants the client access
OHAI-OK        = signature %x04

; The client subscribes to a virtual path
ICANHAZ        = signature %x05 path options cache
path           = string          ; Full path or path prefix
options        = dictionary
dictionary     = size *key-value
key-value      = string          ; Formatted as name=value
cache          = dictionary      ; File SHA-1 signatures

; The server confirms the subscription
ICANHAZ-OK     = signature %x06

; The client sends credit to the server
NOM            = signature %x07 credit
credit         = 8OCTET          ; 64-bit integer, network order
sequence       = 8OCTET          ; 64-bit integer, network order

; The server sends a chunk of file data
CHEEZBURGER    = signature %x08 sequence operation filename
                offset headers chunk
sequence       = 8OCTET          ; 64-bit integer, network order
operation      = OCTET
filename       = string
offset         = 8OCTET          ; 64-bit integer, network order
headers        = dictionary
```

```

chunk                = FRAME

;   Client or server sends a heartbeat
HUGZ                 = signature %x09

;   Client or server responds to a heartbeat
HUGZ-OK              = signature %x0A

;   Client closes the peering
KTHXBAI              = signature %x0B

```

And here are the different ways the server can tell the client things went wrong:

```

;   Server error reply - refused due to access rights
S:SRSLY              = signature %x80 reason

;   Server error reply - client sent an invalid command
S:RTFM               = signature %x81 reason

```

FILEMQ lives on the ZeroMQ unprotocols website (<http://rfc.zeromq.org/spec:19>) and has a registered TCP port with IANA (the Internet Assigned Numbers Authority), which is port 5670.

7.7.4. Building and Trying FileMQ

The FileMQ stack is on GitHub (<https://github.com/zeromq/filemq>). It works like a classic C/C++ project:

```

git clone git://github.com/zeromq/filemq.git
cd filemq
./autogen.sh
./configure
make check

```

You want to be using the latest CZMQ master for this. Now try running the `track` command, which is a simple tool that uses FileMQ to track changes in one directory in another:

```

cd src
./track ./fmqroot/send ./fmqroot/recv

```

And open two file navigator windows, one into `src/fmqroot/send` and one into `src/fmqroot/recv`. Drop files into the send folder and you'll see them arrive in the recv folder. The server checks once per second for new files. Delete files in the send folder, and they're deleted in the recv folder similarly.

I use track for things like updating my MP3 player mounted as a USB drive. As I add or remove files in my laptop's Music folder, the same changes happen on the MP3 player. FILEMQ isn't a full replication protocol yet, but we'll fix that later.

7.7.5. Internal Architecture

To build FileMQ I used a lot of code generation, possibly too much for a tutorial. However the code generators are all reusable in other stacks and will be important for our final project in A Framework for Distributed ComputingChapter 8. They are an evolution of the set we saw earlier:

- `codec_c.gsl`: generates a message codec for a given protocol.
- `server_c.gsl`: generates a server class for a protocol and state machine.
- `client_c.gsl`: generates a client class for a protocol and state machine.

The best way to learn to use GSL code generation is to translate these into a language of your choice and make your own demo protocols and stacks. You'll find it fairly easy. FileMQ itself doesn't try to support multiple languages. It could, but it'd make things needlessly complex.

The FileMQ architecture actually slices into two layers. There's a generic set of classes to handle chunks, directories, files, patches, SASL security, and configuration files. Then, there's the generated stack: messages, client, and server. If I was creating a new project I'd fork the whole FileMQ project, and go and modify the three models:

- `fmq_msg.xml`: defines the message formats
- `fmq_client.xml`: defines the client state machine, API, and implementation.
- `fmq_server.xml`: does the same for the server.

You'd want to rename things to avoid confusion. Why didn't I make the reusable classes into a separate library? The answer is two-fold. First, no one actually needs this (yet). Second, it'd make things more complex for you as you build and play with FileMQ. It's never worth adding complexity to solve a theoretical problem.

Although I wrote FileMQ in C, it's easy to map to other languages. It is quite amazing how nice C becomes when you add CZMQ's generic zlist and zhash containers and class style. Let me go through the classes quickly:

- `fmq_sasl`: encodes and decodes a SASL challenge. I only implemented the PLAIN mechanism, which is enough to prove the concept.
- `fmq_chunk`: works with variable sized blobs. Not as efficient as ZeroMQ's messages but they do less weirdness and so are easier to understand. The chunk class has methods to read and write chunks from disk.
- `fmq_file`: works with files, which may or may not exist on disk. Gives you information about a file (like size), lets you read and write to files, remove files, check if a file exists, and check if a file is "stable" (more on that later).
- `fmq_dir`: works with directories, reading them from disk and comparing two directories to see what changed. When there are changes, returns a list of "patches".

- `fmq_patch`: works with one patch, which really just says "create this file" or "delete this file" (referring to a `fmq_file` item each time).
- `fmq_config`: works with configuration data. I'll come back to client and server configuration later.

Every class has a test method, and the main development cycle is "edit, test". These are mostly simple self tests, but they make the difference between code I can trust and code I know will still break. It's a safe bet that any code that isn't covered by a test case will have undiscovered errors. I'm not a fan of external test harnesses. But internal test code that you write as you write your functionality... that's like the handle on a knife.

You should, really, be able to read the source code and rapidly understand what these classes are doing. If you can't read the code happily, tell me. If you want to port the FileMQ implementation into other languages, start by forking the whole repository and later we'll see if it's possible to do this in one overall repo.

7.7.6. Public API

The public API consists of two classes (as we sketched earlier):

- `fmq_client`: provides the client API, with methods to connect to a server, configure the client, and subscribe to paths.
- `fmq_server`: provides the server API, with methods to bind to a port, configure the server, and publish a path.

These classes provide an *multithreaded API*, a model we've used a few times now. When you create an API instance (i.e., `fmq_server_new()` or `fmq_client_new()`), this method kicks off a background thread that does the real work, i.e., runs the server or the client. The other API methods then talk to this thread over ZeroMQ sockets (a *pipe* consisting of two PAIR sockets over `inproc://`).

If I was a keen young developer eager to use FileMQ in another language, I'd probably spend a happy weekend writing a binding for this public API, then stick it in a subdirectory of the `filemq` project called, say, `bindings/`, and make a pull request.

The actual API methods come from the state machine description, like this (for the server):

```
<method name = "publish">
<argument name = "location" type = "string" />
<argument name = "alias" type = "string" />
mount_t *mount = mount_new (location, alias);
zlist_append (self->mounts, mount);
</method>
```

Which gets turned into this code:

```

void
fmq_server_publish (fmq_server_t *self, char *location, char *alias)
{
    assert (self);
    assert (location);
    assert (alias);
    zstr_sendm (self->pipe, "PUBLISH");
    zstr_sendfm (self->pipe, "%s", location);
    zstr_sendf (self->pipe, "%s", alias);
}

```

7.7.7. Design Notes

The hardest part of making FileMQ wasn't implementing the protocol, but maintaining accurate state internally. An FTP or HTTP server is essentially stateless. But a publish/subscribe server *has* to maintain subscriptions, at least.

So I'll go through some of the design aspects:

- The client detects if the server has died by the lack of heartbeats (HUGZ) coming from the server. It then restarts its dialog by sending an OHAI. There's no timeout on the OHAI because the ZeroMQ DEALER socket will queue an outgoing message indefinitely.
- If a client stops replying with (HUGZ-OK) to the heartbeats that the server sends, the server concludes that the client has died and deletes all state for the client including its subscriptions.
- The client API holds subscriptions in memory and replays them when it has connected successfully. This means the caller can subscribe at any time (and doesn't care when connections and authentication actually happen).
- The server and client use virtual paths, much like an HTTP or FTP server. You publish one or more *mount points*, each corresponding to a directory on the server. Each of these maps to some virtual path, for instance "/" if you have only one mount point. Clients then subscribe to virtual paths, and files arrive in an inbox directory. We don't send physical file names across the network.
- There are some timing issues: if the server is creating its mount points while clients are connected and subscribing, the subscriptions won't attach to the right mount points. So, we bind the server port as last thing.
- Clients can reconnect at any point; if the client sends OHAI, that signals the end of any previous conversation and the start of a new one. I might one day make subscriptions durable on the server, so they survive a disconnection. The client stack, after reconnecting, replays any subscriptions the caller application already made.

7.7.8. Configuration

I've built several large server products, like the Xitami web server that was popular in the late 90's, and the OpenAMQ messaging server (<http://www.openamq.org>). Getting configuration easy and obvious was a large part of making these servers fun to use.

We typically aim to solve a number of problems:

- Ship default configuration files with the product.
- Allow users to add custom configuration files that are never overwritten.
- Allow users to configure from the command-line.

And then layer these one on the other, so command-line settings override custom settings, which override default settings. It can be a lot of work to do this right. For FileMQ, I've taken a somewhat simpler approach: all configuration is done from the API.

This is how we start and configure the server, for example:

```
server = fmq_server_new ();
fmq_server_configure (server, "server_test.cfg");
fmq_server_publish (server, "./fmqroot/send", "/");
fmq_server_publish (server, "./fmqroot/logs", "/logs");
fmq_server_bind (server, "tcp://*:5670");
```

We do use a specific format for the config files, which is ZPL (<http://rfc.zeromq.org/spec:4>), a minimalist syntax that we started using for ZeroMQ "devices" a few years ago, but which works well for any server:

```
#   Configure server for plain access
#
server
    monitor = 1           #   Check mount points
    heartbeat = 1         #   Heartbeat to clients

publish
    location = ./fmqroot/logs
    virtual = /logs

security
    echo = I: use guest/guest to login to server
    #   These are SASL mechanisms we accept
    anonymous = 0
    plain = 1
        account
            login = guest
            password = guest
            group = guest
        account
            login = super
```

```
password = secret
group = admin
```

One cute thing (which seems useful) the generated server code does is to parse this config file (when you use the `fmq_server_configure()` method) and execute any section that matches an API method. Thus the `publish` section works as a `fmq_server_publish()` method.

7.7.9. File Stability

It is quite common to poll a directory for changes and then do something "interesting" with new files. But as one process is writing to a file, other processes have no idea when the file has been fully written. One solution is to add a second "indicator" file that we create after creating the first file. This is intrusive, however.

There is a neater way, which is to detect when a file is "stable", i.e., no one is writing to it any longer. FileMQ does this by checking the modification time of the file. If it's more than a second old, then the file is considered stable, at least stable enough to be shipped off to clients. If a process comes along after five minutes and appends to the file, it'll be shipped off again.

For this to work, and this is a requirement for any application hoping to use FileMQ successfully, do not buffer more than a second's worth of data in memory before writing. If you use very large block sizes, the file may look stable when it's not.

7.7.10. Delivery Notifications

One of the nice things about the multithreaded API model we're using is that it's essentially message based. This makes it ideal for returning events back to the caller. A more conventional API approach would be to use callbacks. But callbacks that cross thread boundaries are somewhat delicate. Here's how the client sends a message back when it has received a complete file:

```
zstr_sendm (self->pipe, "DELIVER");
zstr_sendm (self->pipe, filename);
zstr_sendf (self->pipe, "%s/%s", inbox, filename);
```

We can now add a `_recv()` method to the API that waits for events back from the client. It makes a clean style for the caller: create the client object, configure it, and then receive and process any events it returns.

7.7.11. Symbolic Links

While using a staging area is a nice, simple API, it also creates costs for senders. If I already have a 2GB video file on a camera, and want to send it via FileMQ, the current implementation asks that I copy it to a

staging area before it will be sent to subscribers.

One option is to mount the whole content directory (e.g., `/home/me/Movies`), but this is fragile because it means the application can't decide to send individual files. It's everything or nothing.

A simple answer is to implement portable symbolic links. As Wikipedia explains: "A symbolic link contains a text string that is automatically interpreted and followed by the operating system as a path to another file or directory. This other file or directory is called the *target*. The symbolic link is a second file that exists independently of its target. If a symbolic link is deleted, its target remains unaffected."

This doesn't affect the protocol in any way; it's an optimization in the server implementation. Let's make a simple portable implementation:

- A symbolic link consists of a file with the extension `.ln`.
- The filename without `.ln` is the published file name.
- The link file contains one line, which is the real path to the file.

Because we've collected all operations on files in a single class (`fmq_file`), it's a clean change. When we create a new file object, we check if it's a symbolic link and then all read-only actions (get file size, read file) operate on the target file, not the link.

7.7.12. Recovery and Late Joiners

As it stands now, FileMQ has one major remaining problem: it provides no way for clients to recover from failures. The scenario is that a client, connected to a server, starts to receive files and then disconnects for some reason. The network may be too slow, or breaks. The client may be on a laptop which is shut down, then resumed. The WiFi may be disconnected. As we move to a more mobile world (see A Framework for Distributed ComputingChapter 8) this use case becomes more and more frequent. In some ways it's becoming a dominant use case.

In the classic ZeroMQ pub-sub pattern, there are two strong underlying assumptions, both of which are usually wrong in FileMQ's real world. First, that data expires very rapidly so that there's no interest in asking from old data. Second, that networks are stable and rarely break (so it's better to invest more in improving the infrastructure and less in addressing recovery).

Take any FileMQ use case and you'll see that if the client disconnects and reconnects, then it should get anything it missed. A further improvement would be to recover from partial failures, like HTTP and FTP do. But one thing at a time.

One answer to recovery is "durable subscriptions", and the first drafts of the FILEMQ protocol aimed to support this, with client identifiers that the server could hold onto and store. So if a client reappears after a failure, the server would know what files it had not received.

Stateful servers are, however, nasty to make and difficult to scale. How do we, for example, do failover to a secondary server? Where does it get its subscriptions from? It's far nicer if each client connection works independently and carries all necessary state with it.

Another nail in the coffin of durable subscriptions is that it requires up-front coordination. Up-front coordination is always a red flag, whether it's in a team of people working together, or a bunch of processes talking to each other. What about late joiners? In the real world, clients do not neatly line up and then all say "Ready!" at the same time. In the real world, they come and go arbitrarily, and it's valuable if we can treat a brand new client in the same way as a client that went away and came back.

To address this I will add two concepts to the protocol: a *resynchronization* option and a `cache` field (a dictionary). If the client wants recovery, it sets the resynchronization option, and tells the server what files it already has via the `cache` field. We need both, because there's no way in the protocol to distinguish between an empty field and a null field. The FILEMQ RFC describes these fields as follows:

The `options` field provides additional information to the server. The server **SHOULD** implement these options: `RESYNC=1` - if the client sets this, the server **SHALL** send the full contents of the virtual path to the client, except files the client already has, as identified by their SHA-1 digest in the `cache` field.

And:

When the client specifies the `RESYNC` option, the `cache` dictionary field tells the server which files the client already has. Each entry in the `cache` dictionary is a "filename=digest" key/value pair where the digest **SHALL** be a SHA-1 digest in printable hexadecimal format. If the filename starts with "/" then it **SHOULD** start with the path, otherwise the server **MUST** ignore it. If the filename does not start with "/" then the server **SHALL** treat it as relative to the path.

Clients that know they are in the classic pub-sub use case just don't provide any cache data, and clients that want recovery provide their cache data. It requires no state in the server, no up-front coordination, and works equally well for brand new clients (which may have received files via some out-of-band means), and clients that received some files and were then disconnected for a while.

I decided to use SHA-1 digests for several reasons. First, it's fast enough: 150msec to digest a 25MB core dump on my laptop. Second, it's reliable: the chance of getting the same hash for different versions of one file is close enough to zero. Third, it's the widest supported digest algorithm. A cyclic-redundancy check (e.g., CRC-32) is faster but not reliable. More recent SHA versions (SHA-256, SHA-512) are more secure but take 50% more CPU cycles, and are overkill for our needs.

Here is what a typical ICANHAZ message looks like when we use both caching and resyncing (this is output from the `dump` method of the generated codec class):

```
ICANHAZ:
  path='/photos'
  options={
    RESYNC=1
  }
  cache={
```

```

DSCF0001.jpg=1FABCD4259140ACA99E991E7ADD2034AC57D341D
DSCF0006.jpg=01267C7641C5A22F2F4B0174FFB0C94DC59866F6
DSCF0005.jpg=698E88C05B5C280E75C055444227FEA6FB60E564
DSCF0004.jpg=F0149101DD6FEC13238E6FD9CA2F2AC62829CBD0
DSCF0003.jpg=4A49F25E2030B60134F109ABD0AD9642C8577441
DSCF0002.jpg=F84E4D69D854D4BF94B5873132F9892C8B5FA94E
}

```

Although we don't do this in FileMQ, the server can use the cache information to help the client catch up with deletions that it missed. To do this, it would have to log deletions, and then compare this log with the client cache when a client subscribes.

7.7.13. Test Use Case: The Track Tool

To properly test something like FileMQ we need a test case that plays with live data. One of my sysadmin tasks is to manage the MP3 tracks on my music player, which is, by the way, a Sansa Clip reflashed with Rock Box, which I highly recommend. As I download tracks into my Music folder, I want to copy these to my player, and as I find tracks that annoy me, I delete them in the Music folder and want those gone from my player too.

This is kind of overkill for a powerful file distribution protocol. I could write this using a bash or Perl script, but to be honest the hardest work in FileMQ was the directory comparison code and I want to benefit from that. So I put together a simple tool called `track`, which calls the FileMQ API. From the command line this runs with two arguments; the sending and the receiving directories:

```
./track /home/ph/Music /media/3230-6364/MUSIC
```

The code is a neat example of how to use the FileMQ API to do local file distribution. Here is the full program, minus the license text (it's MIT/X11 licensed):

```

#include "czmq.h"
#include "../include/fmq.h"

int main (int argc, char *argv [])
{
    fmq_server_t *server = fmq_server_new ();
    fmq_server_configure (server, "anonymous.cfg");
    fmq_server_publish (server, argv [1], "/");
    fmq_server_set_anonymous (server, true);
    fmq_server_bind (server, "tcp://*:5670");

    fmq_client_t *client = fmq_client_new ();
    fmq_client_connect (client, "tcp://localhost:5670");
    fmq_client_set_inbox (client, argv [2]);
    fmq_client_set_resync (client, true);
    fmq_client_subscribe (client, "/");
}

```



```

while (true) {
    // Get message from zmq_client API
    zmq_msg_t *msg = zmq_client_recv (client);
    if (!msg)
        break; // Interrupted
    char *command = zmq_msg_popstr (msg);
    if (strcmp (command, "DELIVER")) {
        char *filename = zmq_msg_popstr (msg);
        char *fullname = zmq_msg_popstr (msg);
        printf ("I: received %s (%s)\n", filename, fullname);
        free (filename);
        free (fullname);
    }
    free (command);
    zmq_msg_destroy (&msg);
}
zmq_server_destroy (&server);
zmq_client_destroy (&client);
return 0;
}

```

Note how we work with physical paths in this tool. The server publishes the physical path `/home/ph/Music` and maps this to the virtual path `/`. The client subscribes to `/` and receives all files in `/media/3230-6364/MUSIC`. I could use any structure within the server directory, and it would be copied faithfully to the client's inbox. Note the API method `zmq_client_set_resync()`, which causes a server-to-client synchronization.

7.8. Getting an Official Port Number

We've been using port 5670 in the examples for FILEMQ. Unlike all the previous examples in this book, this port isn't arbitrary but was assigned (<http://www.iana.org/assignments/service-names-port-numbers/service-names-port-numbers.txt>) by the Internet Assigned Numbers Authority (IANA) (<http://www.iana.org>), which "is responsible for the global coordination of the DNS Root, IP addressing, and other Internet protocol resources".

I'll explain very briefly when and how to request registered port numbers for your application protocols. The main reason is to ensure that your applications can run in the wild without conflict with other protocols. Technically, if you ship any software that uses port numbers between 1024 and 49151, you should be using only IANA registered port numbers. Many products don't bother with this, however, and tend instead to use the IANA list as "ports to avoid".

If you aim to make a public protocol of any importance, such as FILEMQ, you're going to want an IANA-registered port. I'll explain briefly how to do this:

- Document your protocol clearly, as IANA will want a specification of how you intend to use the port. It does not have to be a fully-formed protocol specification, but must be solid enough to pass expert review.
- Decide what transport protocols you want: UDP, TCP, SCTP, and so on. With ZeroMQ you will usually only want TCP.
- Fill in the application on iana.org, providing all the necessary information.
- IANA will then continue the process by email until your application is accepted or rejected.

Note that you don't request a specific port number; IANA will assign you one. It's therefore wise to start this process before you ship software, not afterwards.

Chapter 8. A Framework for Distributed Computing

We've gone through a journey of understanding ZeroMQ in its many aspects. By now you may have started to build your own products using the techniques I explained, as well as others you've figured out yourself. You will start to face questions about how to make these products work in the real world.

But what is that "real world"? I'll argue that it is becoming a world of ever increasing numbers of moving pieces. Some people use the phrase the "Internet of Things", suggesting that we'll see a new category of devices that are more numerous but also more stupid than our current smart phones, tablets, laptops, and servers. However, I don't think the data points this way at all. Yes, there are more and more devices, but they're not stupid at all. They're smart and powerful and getting more so all the time.

The mechanism at work is something I call "Cost Gravity" and it has the effect of reducing the cost of technology by half every 18-24 months. Put another way, our global computing capacity doubles every two years, over and over and over. The future is filled with trillions of devices that are fully powerful multi-core computers: they don't run a cut-down "operating system for things" but full operating systems and full applications.

And this is the world we're targeting with ZeroMQ. When we talk of "scale", we don't mean hundreds of computers, or even thousands. Think of clouds of tiny smart and perhaps self-replicating machines surrounding every person, filling every space, covering every wall, filling the cracks and eventually, becoming so much a part of us that we get them before birth and they follow us to death.

These clouds of tiny machines talk to each other, all the time, over short-range wireless links using the Internet Protocol. They create mesh networks, pass information and tasks around like nervous signals. They augment our memory, vision, every aspect of our communications, and physical functions. And it's ZeroMQ that powers their conversations and events and exchanges of work and information.

Now, to make even a thin imitation of this come true today, we need to solve a set of technical problems. These include: How do peers discover each other? How do they talk to existing networks like the Web? How do they protect the information they carry? How do we track and monitor them, to get some idea of what they're doing? Then we need to do what most engineers forget about: package this solution into a framework that is dead easy for ordinary developers to use.

This is what we'll attempt in this chapter: to build a framework for distributed applications as an API, protocols, and implementations. It's not a small challenge but I've claimed often that ZeroMQ makes such problems simple, so let's see if that's still true.

We'll cover:

- Requirements for distributed computing

- The pros and cons of WiFi for proximity networking
- Discovery using UDP and TCP
- A message-based API
- Creating a new open source project
- Peer-to-peer connectivity (the Harmony pattern)
- Tracking peer presence and disappearance
- Group messaging without central coordination
- Large-scale testing and simulation
- Dealing with high-water marks and blocked peers
- Distributed logging and monitoring

8.1. Design for The Real World

Whether we're connecting a roomful of mobile devices over WiFi or a cluster of virtual boxes over simulated Ethernet, we will hit the same kinds of problems. These are:

- *Discovery*: how do we learn about other nodes on the network? Do we use a discovery service, centralized mediation, or some kind of broadcast beacon?
- *Presence*: how do we track when other nodes come and go? Do we use some kind of central registration service, or heartbeating or beacons?
- *Connectivity*: how do we actually connect one node to another? Do we use local networking, wide-area networking, or do we use a central message broker to do the forwarding?
- *Point-to-point messaging*: how do we send a message from one node to another? Do we send this to the node's network address, or do we use some indirect addressing via a centralized message broker?
- *Group messaging*: how do we send a message from one node to a group of others? Do we work via a centralized message broker, or do we use a pub-sub model like ZeroMQ?
- *Testing and simulation*: how do we simulate large numbers of nodes so we can test performance properly? Do we have to buy two dozen Android tablets, or can we use pure software simulation?
- *Distributed Logging*: how do we track what this cloud of nodes is doing so we can detect performance problems and failures? Do we create a main logging service, or do we allow every device to log the world around it?
- *Content distribution*: how do we send content from one node to another? Do we use server-centric protocols like FTP or HTTP, or do we use decentralized protocols like FileMQ?

If we can solve these problems reasonably well, and the further problems that will emerge (like security and wide-area bridging), we get something like a framework for what I might call "Really Cool Distributed Applications", or as my grandkids call it, "the software our world runs on".

You should have guessed from my rhetorical questions that there are two broad directions in which we can go. One is to centralize everything. The other is to distribute everything. I'm going to bet on decentralization. If you want centralization, you don't really need ZeroMQ; there are other options you can use.

So very roughly, here's the story. One, the number of moving pieces increases exponentially over time (doubles every 24 months). Two, these pieces stop using wires because dragging cables everywhere gets *really* boring. Three, future applications run across clusters of these pieces using the Benevolent Tyrant pattern from The ZeroMQ CommunityChapter 6. Four, today it's really difficult, nay still rather impossible, to build such applications. Five, let's make it cheap and easy using all the techniques and tools we've built up. Six, partay!

8.2. The Secret Life of WiFi

The future is clearly wireless, and while many big businesses live by concentrating data in their clouds, the future doesn't look quite so centralized. The devices at the edges of our networks get smarter every year, not dumber. They're hungry for work and information to digest and from which to profit. And they don't drag cables around, except once a night for power. It's all wireless and more and more, it's 802.11-branded WiFi of different alphabetical flavors.

8.2.1. Why Mesh Isn't Here Yet

As such a vital part of our future, WiFi has a big problem that's not often discussed, but that anyone betting on it needs to be aware of. The phone companies of the world have built themselves nice profitable mobile phone cartels in nearly every country with a functioning government, based on convincing governments that without monopoly rights to airwaves and ideas, the world would fall apart. Technically, we call this "regulatory capture" and "patents", but in fact it's just a form of blackmail and corruption. If you, the state, give me, a business, the right to overcharge, tax the market, and ban all real competitors, I'll give you 5%. Not enough? How about 10%? OK, 15% plus snacks. If you refuse, we pull service.

But WiFi snuck past this, borrowing unlicensed airspace and riding on the back of the open and unpatented and remarkably innovative Internet Protocol stack. So today, we have the curious situation where it costs me several Euro a minute to call from Seoul to Brussels if I use the state-backed infrastructure that we've subsidized over decades, but nothing at all if I can find an unregulated WiFi access point. Oh, and I can do video, send files and photos, and download entire home movies all for the same amazing price point of precisely zero point zero zero (in any currency you like). God help me if I try to send just one photo home using the service for which I actually pay. That would cost me more than the camera I took it on.

It is the price we pay for having tolerated the "trust us, we're the experts" patent system for so long. But more than that, it's a massive economic incentive to chunks of the technology sector--and especially

chipset makers who own patents on the anti-Internet GSM, GPRS, 3G, and LTE stacks, and who treat the telcos as prime clients--to actively throttle WiFi development. And of course it's these firms that bulk out the IEEE committees that define WiFi.

The reason for this rant against lawyer-driven "innovation" is to steer your thinking towards "what if WiFi were really free?" This will happen one day, not too far off, and it's worth betting on. We'll see several things happen. First, much more aggressive use of airspace especially for near-distance communications where there is no risk of interference. Second, big capacity improvements as we learn to use more airspace in parallel. Third, acceleration of the standardization process. Last, broader support in devices for really interesting connectivity.

Right now, streaming a movie from your phone to your TV is considered "leading edge". This is ridiculous. Let's get truly ambitious. How about a stadium of people watching a game, sharing photos and HD video with each other in real time, creating an ad-hoc event that literally saturates the airspace with a digital frenzy. I should be able to collect terabytes of imagery from those around me, in an hour. Why does this have to go through Twitter or Facebook and that tiny expensive mobile data connection? How about a home with hundreds of devices all talking to each other over mesh, so when someone rings the doorbell, the porch lights stream video through to your phone or TV? How about a car that can talk to your phone and play your dubstep playlist *without you plugging in wires*.

To get more serious, why is our digital society in the hands of central points that are monitored, censored, logged, used to track who we talk to, collect evidence against us, and then shut down when the authorities decide we have too much free speech? The loss of privacy we're living through is only a problem when it's one-sided, but then the problem is calamitous. A truly wireless world would bypass all central censorship. It's how the Internet was designed, and it's quite feasible, technically (which is the best kind of feasible).

8.2.2. Some Physics

Naive developers of distributed software treat the network as infinitely fast and perfectly reliable. While this is approximately true for simple applications over Ethernet, WiFi rapidly proves the difference between magical thinking and science. That is, WiFi breaks so easily and dramatically under stress that I sometimes wonder how anyone would dare use it for real work. The ceiling moves up as WiFi gets better, but never fast enough to stop us hitting it.

To understand how WiFi performs technically, you need to understand a basic law of physics: the power required to connect two points increases according to the square of the distance. People who grow up in larger houses have exponentially louder voices, as I learned in Dallas. For a WiFi network, this means that as two radios get further apart, they have to either use more power or lower their signal rate.

There's only so much power you can pull out of a battery before users treat the device as hopelessly broken. Thus even though a WiFi network may be rated at a certain speed, the real bit rate between the access point (AP) and a client depends on how far apart the two are. As you move your WiFi-enabled

phone away from the AP, the two radios trying to talk to each other will first increase their power and then reduce their bit rate.

This effect has some consequences of which we should be aware if we want to build robust distributed applications that don't dangle wires behind them like puppets:

- If you have a group of devices talking to an AP, when the AP is talking to the slowest device, the *whole network has to wait*. It's like having to repeat a joke at a party to the designated driver who has no sense of humor, is still fully and tragically sober, and has a poor grasp of the language.
- If you use unicast TCP and send a message to multiple devices, the AP must send the packets to each device separately. Yes, and you knew this, it's also how Ethernet works. But now understand that one distant (or low-powered) device means everything waits for that slowest device to catch up.
- If you use multicast or broadcast (which work the same, in most cases), the AP will send single packets to the whole network at once, which is awesome, but it will do it at the slowest possible bit rate (usually 1Mbps). You can adjust this rate manually in some APs. That just reduces the reach of your AP. You can also buy more expensive APs that have a little more intelligence and will figure out the highest bit rate they can safely use. You can also use enterprise APs with IGMP (Internet Group Management Protocol) support and ZeroMQ's PGM transport to send only to subscribed clients. I'd not, however, bet on such APs being widely available, ever.

As you try to put more devices onto an AP, performance rapidly gets worse to the point where adding one more device can break the whole network for everyone. Many APs solve this by randomly disconnecting clients when they reach some limit, such as four to eight devices for a mobile hotspot, 30-50 devices for a consumer AP, perhaps 100 devices for an enterprise AP.

8.2.3. What's the Current Status?

Despite its uncomfortable role as enterprise technology that somehow escaped into the wild, WiFi is already useful for more than getting a free Skype call. It's not ideal, but it works well enough to let us solve some interesting problems. Let me give you a rapid status report.

First, point-to-point versus access point-to-client. Traditional WiFi is all AP-client. Every packet has to go from client A to AP, then to client B. You cut your bandwidth by 50%, but that's only half the problem. I explained about the inverse power law. If A and B are very close together, but both are far from the AP, they'll both be using a low bit rate. Imagine your AP is in the garage, and you're in the living room trying to stream video from your phone to your TV. Good luck!

There is an old "ad-hoc" mode that lets A and B talk to each other, but it's way too slow for anything fun, and of course, it's disabled on all mobile chipsets. Actually, it's disabled in the top secret drivers that the chipset makers kindly provide to hardware makers. There is a new *Tunneled Direct Link Setup* (TDLS) protocol that lets two devices create a direct link, using an AP for discovery but not for traffic. And there's a "5G" WiFi standard (it's a marketing term, so it goes in quotes) that boosts link speeds to a gigabit. TDLS and 5G together make HD movie streaming from your phone to your TV a plausible reality. I assume TDLS will be restricted in various ways so as to placate the telcos.

Lastly, we saw standardization of the 802.11s mesh protocol in 2012, after a remarkably speedy ten years or so of work. Mesh removes the access point completely, at least in the imaginary future where it exists and is widely used. Devices talk to each other directly, and maintain little routing tables of neighbors that let them forward packets. Imagine the AP software embedded into every device, but smart enough (it's not as impressive as it sounds) to do multiple hops.

No one who is making money from the mobile data extortion racket wants to see 802.11s available because city-wide mesh is such a nightmare for the bottom line, so it's happening as slowly as possible. The only large organization with the power (and, I assume the surface-to-surface missiles) to get mesh technology into wide use is the US Army. But mesh will emerge and I'd bet on 802.11s being widely available in consumer electronics by 2020 or so.

Second, if we don't have point-to-point, how far can we trust APs today? Well, if you go to a Starbucks in the US and try the ZeroMQ "Hello World" example using two laptops connected via the free WiFi, you'll find they cannot connect. Why? Well, the answer is in the name: "attwifi". AT&T is a good old incumbent telco that hates WiFi and presumably provides the service cheaply to Starbucks and others so that independents can't get into the market. But any access point you buy will support client-to-AP-to-client access, and outside the US I've never found a public AP locked-down the AT&T way.

Third, performance. The AP is clearly a bottleneck; you cannot get better than half of its advertised speed even if you put A and B literally beside the AP. Worse, if there are other APs in the same airspace, they'll shout each other out. In my home, WiFi barely works at all because the neighbors two houses down have an AP which they've amplified. Even on a different channel, it interferes with our home WiFi. In the cafe where I'm sitting now there are over a dozen networks. Realistically, as long as we're dependent on AP-based WiFi, we're subject to random interference and unpredictable performance.

Fourth, battery life. There's no inherent reason that WiFi, when idle, is hungrier than Bluetooth, for example. They use the same radios and low-level framing. The main difference is tuning and in the protocols. For wireless power-saving to work well, devices have to mostly sleep and beacon out to other devices only once every so often. For this to work, they need to synchronize their clocks. This happens properly for the mobile phone part, which is why my old flip phone can run five days on a charge. When WiFi is working, it will use more power. Current power amplifier technology is also inefficient, meaning you draw a lot more energy from your battery than you pump into the air (the waste turns into a hot phone). Power amplifiers are improving as people focus more on mobile WiFi.

Lastly, mobile access points. If we can't trust centralized APs, and if our devices are smart enough to run full operating systems, can't we make them work as APs? I'm *so glad* you asked that question. Yes, we can, and it works quite nicely. Especially because you can switch this on and off in software, on a modern OS like Android. Again, the villains of the peace are the US telcos, who mostly detest this feature and kill it or cripple it on the phones they control. Smarter telcos realize that it's a way to amplify their "last mile" and bring higher-value products to more users, but crooks don't compete on smarts.

8.2.4. Conclusions

WiFi is not Ethernet and although I believe future ZeroMQ applications will have a very important decentralized wireless presence, it's not going to be an easy road. Much of the basic reliability and capacity that you expect from Ethernet is missing. When you run a distributed application over WiFi, you must allow for frequent timeouts, random latencies, arbitrary disconnections, whole interfaces going down and coming up, and so on.

The technological evolution of wireless networking is best described as "slow and joyless". Applications and frameworks that try to exploit decentralized wireless are mostly absent or poor. The only existing open source framework for proximity networking is AllJoyn (<https://www.alljoyn.org>) from Qualcomm. But with ZeroMQ, we proved that the inertia and decrepit incompetence of existing players was no reason for us to sit still. When we accurately understand problems, we can solve them. What we imagine, we can make real.

8.3. Discovery

Discovery is an essential part of network programming and a first-class problem for ZeroMQ developers. Every `zmq_connect()` call provides an endpoint string, and that has to come from somewhere. The examples we've seen so far don't do discovery: the endpoints they connect to are hard-coded as strings in the code. While this is fine for example code, it's not ideal for real applications. Networks don't behave that nicely. Things change, and it's how well we handle change that defines our long-term success.

8.3.1. Service Discovery

Let's start with definitions. Network discovery is finding out what other peers are on the network. Service discovery is learning what those peers can do for us. Wikipedia defines a "network service" as "a service that is hosted on a computer network", and "service" as "a set of related software functionalities that can be reused for different purposes, together with the policies that should control its usage". It's not very helpful. Is Facebook a network service?

In fact the concept of "network service" has changed over time. The number of moving pieces keeps doubling every 18-24 months, breaking old conceptual models and pushing for ever simpler, more scalable ones. A service is, for me, a system-level application that other programs can talk to. A network service is one accessible remotely (as compared to, e.g., the "grep" command, which is a command-line service).

In the classic BSD socket model, a service maps 1-to-1 to a network port. A computer system offers a number of services like "FTP", and "HTTP", each with assigned ports. The BSD API has functions like `getservbyname` to map a service name to a port number. So a classic service maps to a network endpoint: if you know a server's IP address and then you can find its FTP service, if that is running.

In modern messaging, however, services don't map 1-to-1 to endpoints. One endpoint can lead to many services, and services can move around over time, between ports, or even between systems. Where is my cloud storage today? In a realistic large distributed application, therefore, we need some kind of service discovery mechanism.

There are many ways to do this and I won't try to provide an exhaustive list. However there are a few classic patterns:

- We can force the old 1-to-1 mapping from endpoint to service, and simply state up-front that a certain TCP port number represents a certain service. Our protocol then should let us check this ("Are the first 4 bytes of the request 'HTTP'?").
- We can bootstrap one service off another; connecting to a well-known endpoint and service, asking for the "real" service, and getting an endpoint back in return. This gives us a service lookup service. If the lookup service allows it, services can then move around as long as they update their location.
- We can proxy one service through another, so that a well-known endpoint and service will provide other services indirectly (i.e. by forwarding messages to them). This is for instance how our Majordomo service-oriented broker works.
- We can exchange lists of known services and endpoints, that change over time, using a gossip approach or a centralized approach (like the Clone pattern), so that each node in a distributed network can build-up an eventually consistent map of the whole network.
- We can create further abstract layers in between network endpoints and services, e.g. assigning each node a unique identifier, so we get a "network of nodes" where each node may offer some services, and may appear on random network endpoints.
- We can discover services opportunistically, e.g. by connecting to endpoints and then asking them what services they offer. "Hi, do you offer a shared printer? If so, what's the maker and model?"

There's no "right answer". The range of options is huge, and changes over time as the scale of our networks grows. In some networks the knowledge of what services run where can literally become political power. ZeroMQ imposes no specific model but makes it easy to design and build the ones that suit us best. However, to build service discovery, we must start by solving network discovery.

8.3.2. Network Discovery

Here is a list of the solutions I know for network discovery:

- *Use hard-coded endpoint strings*, i.e., fixed IP addresses and agreed ports. This worked in internal networks a decade ago when there were a few "big servers" and they were so important they got static IP addresses. These days however it's no use except in examples or for in-process work (threads are the new Big Iron). You can make it hurt a little less by using DNS but this is still painful for anyone who's not also doing system administration as a side-job.
- *Get endpoint strings from configuration files*. This shoves name resolution into user space, which hurts less than DNS but that's like saying a punch in the face hurts less than a kick in the groin. You now get a non-trivial management problem. Who updates the configuration files, and when? Where do they live? Do we install a distributed management tool like Salt Stack?

- *Use a message broker.* You still need a hard-coded or configured endpoint string to connect to the broker, but this approach reduces the number of different endpoints in the network to one. That makes a real impact, and broker-based networks do scale nicely. However, brokers are single points of failure, and they bring their own set of worries about management and performance.
- *Use an addressing broker.* In other words use a central service to mediate address information (like a dynamic DNS setup) but allow nodes to send each other messages directly. It's a good model but still creates a point of failure and management costs.
- *Use helper libraries, like ZeroConf,* that provide DNS services without any centralized infrastructure. It's a good answer for certain applications but your mileage will vary. Helper libraries aren't zero cost: they make it more complex to build the software, they have their own restrictions, and they aren't necessarily portable.
- *Build system-level discovery* by sending out ARP or ICMP ECHO packets and then querying every node that responds. You can query through a TCP connection, for example, or by sending UDP messages. Some products do this, like the Eye-Fi wireless card.
- *Do user-level brute-force discovery* by trying to connect to every single address in the network segment. You can do this trivially in ZeroMQ since it handles connections in the background. You don't even need multiple threads. It's brutal but fun, and works very well in demos and workshops. However it doesn't scale, and annoys decent-thinking engineers.
- *Roll your own UDP-based discovery protocol.* Lots of people do this (I counted about 80 questions on this topic on StackOverflow). UDP works well for this and it's technically clear. But it's technically tricky to get right, to the point where any developer doing this the first few times will get it dramatically wrong.
- *Gossip discovery protocols.* A fully-interconnected network is quite effective for smaller numbers of nodes (say, up to 100 or 200). For large numbers of nodes, we need some kind of gossip protocol. That is, where the nodes we can reasonably discover (say, on the same segment as us), tell us about nodes that are further away. Gossip protocols go beyond what we need these days with ZeroMQ, but will likely be more common in the future. One example of a wide-area gossip model is mesh networking.

8.3.3. The Use Case

Let's define our use case more explicitly. After all, all these different approaches have worked and still work to some extent. What interests me as architect is the future, and finding designs that can continue to work for more than a few years. This means identifying long term trends. Our use case isn't here and now, it's ten or twenty years from today.

Here are the long term trends I see in distributed applications:

- *The overall number of moving pieces keeps increasing.* My estimate is that it doubles every 24 months, but how fast it increases matters less than the fact that we keep adding more and more nodes to our networks. They're not just boxes but also processes and threads. The driver here is cost, which keeps falling (<http://cultureandempire.com/>). In a decade, the average teenager will carry 30-50 devices, all the time.

- *Control shifts away from the center.* Possibly data too, though we're still far from understanding how to build simple decentralized information stores. In any case, the star topology is slowly dying and being replaced by clouds of clouds. In the future there's going to be much more traffic within a local environment (home, office, school, bar) than between remote nodes and the center. The maths here are simple: remote communications cost more, run more slowly and are less natural than close-range communications. It's more accurate both technically and socially to share a holiday video with your friend over local WiFi than via Facebook.
- *Networks are increasingly collaborative, less controlled.* This means people bringing their own devices and expecting them to work seamlessly. The Web showed one way to make this work but we're reaching the limits of what the Web can do, as we start to exceed the average of one device per person.
- *The cost of connecting a new node to a network must fall proportionally,* if the network is to scale. This means reducing the amount of configuration a node needs: less pre-shared state, less context. Again, the Web solved this problem but at the cost of centralization. We want the same plug and play experience but without a central agency.

In a world of trillions of nodes, the ones you talk to most are the ones closest to you. This is how it works in the real world and it's the sanest way of scaling large-scale architectures. Groups of nodes, logically or physically close, connected by bridges to other groups of nodes. A local group will be anything from half-a-dozen nodes to a few thousand nodes.

So we have two basic use cases:

- **Discovery for proximity networks**, that is, a set of nodes that find themselves close to each other. We can define "close to each other" as being "on the same network segment". It's not going to be true in all cases but it's true enough to be a useful place to start.
- **Discovery across wide area networks**, that is, bridging of proximity networks together. We sometimes call this "federation". There are many ways to do federation but it's complex and something to cover elsewhere. For now, let's assume we do federation using a centralized broker or service.

So we are left with the problem of proximity networking. I want to just plug things into the network and have them talking to each other. Whether they're tablets in a school or a bunch of servers in a cloud, the less upfront agreement and coordination, the cheaper it is to scale. So configuration files and brokers and any kind of centralized service are all out.

I also want to allow any number of applications on a box, both because that's how the real world works (people download apps), and so that I can simulate large networks on my laptop. Upfront simulation is the only way I know to be sure a system will work when it's loaded in real-life. You'd be surprised how engineers just hope things will work. "Oh, I'm sure that bridge will stay up when we open it to traffic". If you haven't simulated and fixed the three most likely failures, they'll still be there on opening day.

Running multiple instances of a service on the same machine - without upfront coordination - means we have to use ephemeral ports, i.e., ports assigned randomly for services. Ephemeral ports rule out brute-force TCP discovery and any DNS solution including ZeroConf.

Finally, discovery has to happen in user space because the apps we're building will be running on random boxes that we do not necessarily own and control. For example, other people's mobile devices. So any discovery that needs root permissions is excluded. This rules out ARP and ICMP and once again ZeroConf since that also needs root permissions for the service parts.

8.3.4. Technical Requirements

Let's recap the requirements:

- *The simplest possible solution that works.* There are so many edge cases in ad-hoc networks that every extra feature or functionality becomes a risk.
- *Supports ephemeral ports,* so that we can run realistic simulations. If the only way to test is to use real devices, it becomes impossibly expensive and slow to run tests.
- *No root access needed,* it must run 100% in user space. We want to ship fully-packaged applications onto devices like mobile phones that we don't own and where root access isn't available.
- *Invisible to system administrators,* so we do not need their help to run our applications. Whatever technique we use should be friendly to the network and available by default.
- *Zero configuration* apart from installing the applications themselves. Asking the users to do any configuration is giving them an excuse to not use the applications.
- *Fully portable* to all modern operating systems. We can't assume we'll be running on any specific OS. We can't assume any support from the operating system except standard user-space networking. We can assume ZeroMQ and CZMQ are available.
- *Friendly to WiFi networks* with up to 100-150 participants. This means keeping messages small and being aware of how WiFi networks scale and how they break under pressure.
- *Protocol-neutral,* i.e., our beaconing should not impose any specific discovery protocol. I'll explain what this means a little later.
- *Easy to re-implement in any given language.* Sure, we have a nice C implementation, but if it takes too long to re-implement in another language, that excludes large chunks of the ZeroMQ community. So, again, simple.
- *Fast response time.* By this, I mean a new node should be visible to its peers in a very short time, a second or two at most. Networks change shape rapidly. It's OK to take longer, even 30 seconds, to realize a peer has disappeared.

From the list of possible solutions I collected, the only option that isn't disqualified for one or more reasons is to build our own UDP-based discovery stack. It's a little disappointing that after so many decades of research into network discovery, this is where we end up. But the history of computing does seem to go from complex to simple, so maybe it's normal.

8.3.5. A Self-Healing P2P Network in 30 Seconds

I mentioned brute-force discovery. Let's see how that works. One nice thing about software is to brute-force your way through the learning experience. As long as we're happy to throw away work, we can learn rapidly simply by trying things that may seem insane from the safety of the armchair.

I'll explain a brute-force discovery approach for ZeroMQ that emerged from a workshop in 2012. It is remarkably simple and stupid: connect to every IP address in the room. If your network segment is 192.168.55.x, for instance, you do this:

```
connect to tcp://192.168.55.1:9000
connect to tcp://192.168.55.2:9000
connect to tcp://192.168.55.3:9000
...
connect to tcp://192.168.55.254:9000
```

Which in ZeroMQ-speak looks like this:

```
int address;
for (address = 1; address < 255; address++)
    zsocket_connect (listener, "tcp://192.168.55.%d:9000", address);
```

The stupid part is where we assume that connecting to ourselves is fine, where we assume that all peers are on the same network segment, where we waste file handles as if they were free. Luckily these assumptions are often totally accurate. At least, often enough to let us do fun things.

The loop works because ZeroMQ connect calls are *asynchronous and opportunistic*. They lie in the shadows like hungry cats, waiting patiently to pounce on any innocent mouse that dared start up a service on port 9000. It's simple, effective, and worked first time.

It gets better: as peers leave and join the network, they'll automatically reconnect. We've designed a self-healing peer to peer network, in 30 seconds and three lines of code.

It won't work for real cases though. Poorer operating systems tend to run out of file handles, and networks tend to be more complex than one segment. And if one node squats a couple of hundred file handles, large-scale simulations (with many nodes on one box or in one process) are out of the question.

Still, let's see how far we can go with this approach before we throw it out. Here's a tiny decentralized chat program that lets you talk to anyone else on the same network segment. The code has two threads: a listener and a broadcaster. The listener creates a SUB socket and does the brute-force connection to all peers in the network. The broadcaster accepts input from the console and sends it on a PUB socket:

Example 8-1. Decentralized Chat (dechat.lua)

(This example still needs translation into Lua)

The `dechat` program needs to know the current IP address, the interface, and an alias. We could get these in code from the operating system, but that's grunky non-portable code. So we provide this information on the command line:

```
dechat 192.168.55.122 eth0 Joe
```

8.3.6. Preemptive Discovery over Raw Sockets

One of the great things about short-range wireless is the proximity. WiFi maps closely to the physical space, which maps closely to how we naturally organize. In fact, the Internet is quite abstract and this confuses a lot of people who kind of "get it" but in fact don't really. With WiFi, we have technical connectivity that is potentially super-tangible. You see what you get and you get what you see. Tangible means easy to understand and that should mean love from users instead of the typical frustration and seething hatred.

Proximity is the key. We have a bunch of WiFi radios in a room, happily beaconing to each other. For lots of applications, it makes sense that they can find each other and start chatting without any user input. After all, most real world data isn't private, it's just highly localized.

I'm in a hotel room in Gangnam, Seoul, with a 4G wireless hotspot, a Linux laptop, and an couple of Android phones. The phones and laptop are talking to the hotspot. The `ifconfig` command says my IP address is 192.168.1.2. Let me try some `ping` commands. DHCP servers tend to dish out addresses in sequence, so my phones are probably close by, numerically speaking:

```
$ ping 192.168.1.1
PING 192.168.1.1 (192.168.1.1) 56(84) bytes of data.
64 bytes from 192.168.1.1: icmp_req=1 ttl=64 time=376 ms
64 bytes from 192.168.1.1: icmp_req=2 ttl=64 time=358 ms
64 bytes from 192.168.1.1: icmp_req=4 ttl=64 time=167 ms
^C
--- 192.168.1.1 ping statistics ---
3 packets transmitted, 2 received, 33% packet loss, time 2001ms
rtt min/avg/max/mdev = 358.077/367.522/376.967/9.445 ms
```

Found one! 150-300 msec round-trip latency... that's a surprisingly high figure, something to keep in mind for later. Now I ping myself, just to try to double-check things:

```
$ ping 192.168.1.2
PING 192.168.1.2 (192.168.1.2) 56(84) bytes of data.
64 bytes from 192.168.1.2: icmp_req=1 ttl=64 time=0.054 ms
64 bytes from 192.168.1.2: icmp_req=2 ttl=64 time=0.055 ms
64 bytes from 192.168.1.2: icmp_req=3 ttl=64 time=0.061 ms
^C
--- 192.168.1.2 ping statistics ---
3 packets transmitted, 3 received, 0% packet loss, time 1998ms
rtt min/avg/max/mdev = 0.054/0.056/0.061/0.009 ms
```


The response time is a bit faster now, which is what we'd expect. Let's try the next couple of addresses:

```
$ ping 192.168.1.3
PING 192.168.1.3 (192.168.1.3) 56(84) bytes of data.
64 bytes from 192.168.1.3: icmp_req=1 ttl=64 time=291 ms
64 bytes from 192.168.1.3: icmp_req=2 ttl=64 time=271 ms
64 bytes from 192.168.1.3: icmp_req=3 ttl=64 time=132 ms
^C
--- 192.168.1.3 ping statistics ---
3 packets transmitted, 3 received, 0% packet loss, time 2001ms
rtt min/avg/max/mdev = 132.781/231.914/291.851/70.609 ms
```

That's the second phone, with the same kind of latency as the first one. Let's continue and see if there are any other devices connected to the hotspot:

```
$ ping 192.168.1.4
PING 192.168.1.4 (192.168.1.4) 56(84) bytes of data.
^C
--- 192.168.1.4 ping statistics ---
3 packets transmitted, 0 received, 100% packet loss, time 2016ms
```

And that is it. Now, `ping` uses raw IP sockets to send `ICMP_ECHO` messages. The useful thing about `ICMP_ECHO` is that it gets a response from any IP stack that has not deliberately had echo switched off. That's still a common practice on corporate websites who fear the old "ping of death" exploit where malformed messages could crash the machine.

I call this *preemptive discovery* because it doesn't take any cooperation from the device. We don't rely on any cooperation from the phones to see them sitting there; as long as they're not actively ignoring us, we can see them.

You might ask why this is useful. We don't know that the peers responding to `ICMP_ECHO` run ZeroMQ, that they are interested in talking to us, that they have any services we can use, or even what kind of device they are. However, knowing that there's *something* on address 192.168.1.3 is already useful. We also know how far away the device is, relatively, we know how many devices are on the network, and we know the rough state of the network (as in, good, poor, or terrible).

It isn't even hard to create `ICMP_ECHO` messages and send them. A few dozen lines of code, and we could use ZeroMQ multithreading to do this in parallel for addresses stretching out above and below our own IP address. Could be kind of fun.

However, sadly, there's a fatal flaw in my idea of using `ICMP_ECHO` to discover devices. To open a raw IP socket requires root privileges on a POSIX box. It stops rogue programs getting data meant for others. We can get the power to open raw sockets on Linux by giving `sudo` privileges to our command (`ping` has the so-called *sticky bit* set). On a mobile OS like Android, it requires root access, i.e., rooting the phone or tablet. That's out of the question for most people and so `ICMP_ECHO` is out of reach for most devices.

Expletive deleted! Let's try something in user space. The next step most people take is UDP multicast or broadcast. Let's follow that trail.

8.3.7. Cooperative Discovery Using UDP Broadcasts

Multicast tends to be seen as more modern and "better" than broadcast. In IPv6, broadcast doesn't work at all: you must always use multicast. Nonetheless, all IPv4 local network discovery protocols end up using UDP broadcast anyhow. The reasons: broadcast and multicast end up working much the same, except broadcast is simpler and less risky. Multicast is seen by network admins as kind of dangerous, as it can leak over network segments.

If you've never used UDP, you'll discover it's quite a nice protocol. In some ways, it reminds us of ZeroMQ, sending whole messages to peers using a two different patterns: one-to-one, and one-to-many. The main problems with UDP are that (a) the POSIX socket API was designed for universal flexibility, not simplicity, (b) UDP messages are limited for practical purposes to about 1,500 bytes on LANs and 512 bytes on the Internet, and (c) when you start to use UDP for real data, you find that messages get dropped, especially as infrastructure tends to favor TCP over UDP.

Here is a minimal ping program that uses UDP instead of `ICMP_ECHO`:

Example 8-2. UDP discovery, model 1 (`udpping1.lua`)

(This example still needs translation into Lua)

This code uses a single socket to broadcast 1-byte messages and receive anything that other nodes are broadcasting. When I run it, it shows just one node, which is itself:

```
Pinging peers...
Found peer 192.168.1.2:9999
Pinging peers...
Found peer 192.168.1.2:9999
```

If I switch off all networking and try again, sending a message fails, as I'd expect:

```
Pinging peers...
sendto: Network is unreachable
```

Working on the basis of *solve the problems currently aiming at your throat*, let's fix the most urgent issues in this first model. These issues are:

- Using the 255.255.255.255 broadcast address is a bit dubious. On the one hand, this broadcast address means precisely "send to all nodes on the local network, and don't forward". However, if you have several interfaces (wired Ethernet, WiFi) then broadcasts will go out on your default route only, and via just one interface. What we want to do is either send our broadcast on each interface's broadcast address, or find the WiFi interface and its broadcast address.

- Like many aspects of socket programming, getting information on network interfaces is not portable. Do we want to write nonportable code in our applications? No, this is better hidden in a library.
- There's no handling for errors except "abort", which is too brutal for transient problems like "your WiFi is switched off". The code should distinguish between soft errors (ignore and retry) and hard errors (assert).
- The code needs to know its own IP address and ignore beacons that it sent out. Like finding the broadcast address, this requires inspecting the available interfaces.

The simplest answer to these issues is to push the UDP code into a separate library that provides a clean API, like this:

```
// Constructor
static udp_t *
    udp_new (int port_nbr);

// Destructor
static void
    udp_destroy (udp_t **self_p);

// Returns UDP socket handle
static int
    udp_handle (udp_t *self);

// Send message using UDP broadcast
static void
    udp_send (udp_t *self, byte *buffer, size_t length);

// Receive message from UDP broadcast
static ssize_t
    udp_recv (udp_t *self, byte *buffer, size_t length);
```

Here is the refactored UDP ping program that calls this library, which is much cleaner and nicer:

Example 8-3. UDP discovery, model 2 (udpping2.lua)

(This example still needs translation into Lua)

The library, `udplib`, hides a lot of the unpleasant code (which will become uglier as we make this work on more systems). I'm not going to print that code here. You can read it in the repository (<https://github.com/imatix/zguide/blob/master/examples/C/udplib.c>).

Now, there are more problems sizing us up and wondering if they can make lunch out of us. First, IPv4 versus IPv6 and multicast versus broadcast. In IPv6, broadcast doesn't exist at all; one uses multicast. From my experience with WiFi, IPv4 multicast and broadcast work identically except that multicast breaks in some situations where broadcast works fine. Some access points do not forward multicast packets. When you have a device (e.g., a tablet) that acts as a mobile AP, then it's possible it won't get multicast packets. Meaning, it won't see other peers on the network.

The simplest plausible solution is simply to ignore IPv6 for now, and use broadcast. A perhaps smarter solution would be to use multicast and deal with asymmetric beacons if they happen.

We'll stick with stupid and simple for now. There's always time to make it more complex.

8.3.8. Multiple Nodes on One Device

So we can discover nodes on the WiFi network, as long as they're sending out beacons as we expect. So I try to test with two processes. But when I run `udpping2` twice, the second instance complains "'Address already in use' on bind" and exits. Oh, right. UDP and TCP both return an error if you try to bind two different sockets to the same port. This is right. The semantics of two readers on one socket would be weird to say the least. Odd/even bytes? You get all the 1s, I get all the 0's?

However, a quick check of stackoverflow.com and some memory of a socket option called `SO_REUSEADDR` turns up gold. If I use that, I can bind several processes to the same UDP port, and they will all receive any message arriving on that port. It's almost as if the guys who designed this were reading my mind! (That's way more plausible than the chance that I may be reinventing the wheel.)

A quick test shows that `SO_REUSEADDR` works as promised. This is great because the next thing I want to do is design an API and then start dozens of nodes to see them discovering each other. It would be really cumbersome to have to test each node on a separate device. And when we get to testing how real traffic behaves on a large, flaky network, the two alternatives are simulation or temporary insanity.

And I speak from experience: we were, this summer, testing on dozens of devices at once. It takes about an hour to set up a full test run, and you need a space shielded from WiFi interference if you want any kind of reproducibility (unless your test case is "prove that interference kills WiFi networks faster than Orval can kill a thirst").

If I were a whiz Android developer with a free weekend, I'd immediately (as in, it would take me two days) port this code to my phone and get it sending beacons to my PC. But sometimes lazy is more profitable. I *like* my Linux laptop. I like being able to start a dozen threads from one process, and have each thread acting like an independent node. I like not having to work in a real Faraday cage when I can simulate one on my laptop.

8.3.9. Designing the API

I'm going to run N nodes on a device, and they are going to have to discover each other, as well as a bunch of other nodes out there on the local network. I can use UDP for local discovery as well as remote discovery. It's arguably not as efficient as using, e.g., the ZeroMQ `inproc://` transport, but it has the great advantage that the exact same code will work in simulation and in real deployment.

If I have multiple nodes on one device, we clearly can't use the IP address and port number as node address. I need some logical node identifier. Arguably, the node identifier only has to be unique within the context of the device. My mind fills with complex stuff I could make, like supernodes that sit on real UDP ports and forward messages to internal nodes. I hit my head on the table until the idea of *inventing new concepts* leaves it.

Experience tells us that WiFi does things like disappear and reappear while applications are running. Users click on things, which does interesting things like change the IP address halfway through a session. We cannot depend on IP addresses, nor on established connections (in the TCP fashion). We need some long-lasting addressing mechanism that survives interfaces and connections being torn down and then recreated.

Here's the simplest solution I can see: we give every node a UUID, and specify that nodes, represented by their UUIDs, can appear or reappear at certain IP address:port endpoints, and then disappear again. We'll deal with recovery from lost messages later. A UUID is 16 bytes. So if I have 100 nodes on a WiFi network, that's (double it for other random stuff) 3,200 bytes a second of beacon data that the air has to carry just for discovery and presence. Seems acceptable.

Back to concepts. We do need some names for our API. At the least we need a way to distinguish between the node object that is "us", and node objects that are our peers. We'll be doing things like creating an "us" and then asking it how many peers it knows about and who they are. The term "peer" is clear enough.

From the developer point of view, a node (the application) needs a way to talk to the outside world. Let's borrow a term from networking and call this an "interface". The interface represents us to the rest of the world and presents the rest of the world to us, as a set of other peers. It automatically does whatever discovery it must. When we want to talk to a peer, we get the interface to do that for us. And when a peer talks to us, it's the interface that delivers us the message.

This seems like a clean API design. How about the internals?

- The interface must be multithreaded so that one thread can do I/O in the background, while the foreground API talks to the application. We used this design in the Clone and Freelance client APIs.
- The interface background thread does the discovery business; bind to the UDP port, send out UDP beacons, and receive beacons.
- We need to at least send UUIDs in the beacon message so that we can distinguish our own beacons from those of our peers.
- We need to track peers that appear, and that disappear. For this, I'll use a hash table that stores all known peers and expire peers after some timeout.
- We need a way to report peers and events to the caller. Here we get into a juicy question. How does a background I/O thread tell a foreground API thread that stuff is happening? Callbacks maybe? *Heck no*. We'll use ZeroMQ messages, of course.

The third iteration of the UDP ping program is even simpler and more beautiful than the second. The main body, in C, is just ten lines of code.

Example 8-4. UDP discovery, model 3 (udpping3.lua)

(This example still needs translation into Lua)

The interface code should be familiar if you've studied how we make multithreaded API classes:

Example 8-5. UDP ping interface (interface.lua)

(This example still needs translation into Lua)

When I run this in two windows, it reports one peer joining the network. I kill that peer and a few seconds later, it tells me the peer left:

```
-----
[006] JOINED
[032] 418E98D4B7184844B7D5E0EE5691084C
-----
[004] LEFT
[032] 418E98D4B7184844B7D5E0EE5691084C
```

What's nice about a ZeroMQ-message based API is that I can wrap this any way I like. For instance, I can turn it into callbacks if I really want those. I can also trace all activity on the API very easily.

Some notes about tuning. On Ethernet, five seconds (the expiry time I used in this code) seems like a lot. On a badly stressed WiFi network, you can get ping latencies of 30 seconds or more. If you use a too-aggressive value for the expiry, you'll disconnect nodes that are still there. On the other side, end user applications expect a certain liveliness. If it takes 30 seconds to report that a node has gone, users will get annoyed.

A decent strategy is to detect and report disappeared nodes rapidly, but only delete them after a longer interval. Visually, a node would be green when it's alive, then gray for a while as it went out of reach, then finally disappear. We're not doing this now, but will do it in the real implementation of the as-yet-unnamed framework we're making.

As we will also see later, we have to treat any input from a node, not just UDP beacons, as a sign of life. UDP may get squashed when there's a lot of TCP traffic. This is perhaps the main reason we're not using an existing UDP discovery library: it's necessary to integrate this tightly with our ZeroMQ messaging for it to work.

8.3.10. More About UDP

So we have discovery and presence working over UDP IPv4 broadcasts. It's not ideal, but it works for the local networks we have today. However we can't use UDP for real work, not without additional work to make it reliable. There's a joke about UDP but sometimes you'll get it, and sometimes you won't.

We'll stick to TCP for all one-to-one messaging. There is one more use case for UDP after discovery, which is multicast file distribution. I'll explain why and how, then shelve that for another day. The why is simple: what we call "social networks" is just augmented culture. We create culture by sharing, and this means more and more sharing works that we make or remix. Photos, documents, contracts, tweets. The clouds of devices we're aiming towards do more of this, not less.

Now, there are two principal patterns for sharing content. One is the pub-sub pattern where one node sends out content to a set of other nodes simultaneously. Second is the "late joiner" pattern, where a node arrives somewhat later and wants to catch up to the conversation. We can deal with the late joiner using TCP unicast. But doing TCP unicast to a group of clients at the same time has some disadvantages. First, it can be slower than multicast. Second, it's unfair because some will get the content before others.

Before you jump off to design a UDP multicast protocol, realize that it's not a simple calculation. When you send a multicast packet, the WiFi access point uses a low bit rate to ensure that even the furthest devices will get it safely. Most normal APs don't do the obvious optimization, which is to measure the distance of the furthest device and use that bit rate. Instead, they just use a fixed value. So if you have a few devices close to the AP, multicast will be insanely slow. But if you have a roomful of devices which all want to get the next chapter of the textbook, multicast can be insanely effective.

The curves cross at about 6-12 devices depending on the network. In theory, you could measure the curves in real time and create an adaptive protocol. That would be cool but probably too hard for even the smartest of us.

If you do sit down and sketch out a UDP multicast protocol, realize that you need a channel for recovery, to get lost packets. You'd probably want to do this over TCP, using ZeroMQ. For now, however, we'll forget about multicast UDP and assume all traffic goes over TCP.

8.4. Spinning Off a Library Project

At this stage, however, the code is growing larger than an example should be, so it's time to create a proper GitHub project. It's a rule: build your projects in public view, and tell people about them as you go so your marketing and community building starts on Day 1. I'll walk through what this involves. I explained in *The ZeroMQ Community* Chapter 6 about growing communities around projects. We need a few things:

- A name

- A slogan
- A public github repository
- A README that links to the C4 process
- License files
- An issue tracker
- Two maintainers
- A first bootstrap version

The name and slogan first. The trademarks of the 21st century are domain names. So the first thing I do when spinning off a project is to look for a domain name that might work. Quite randomly, one of our old messaging projects was called "Zyre" and I have the domain name for it. The full name is a backronym: the ZeroMQ Realtime Exchange framework.

I'm somewhat shy about pushing new projects into the ZeroMQ community too aggressively, and normally would start a project in either my personal account or the iMatix organization. But we've learned that moving projects after they become popular is counterproductive. My predictions of a future filled with moving pieces are either valid or wrong. If this chapter is valid, we might as well launch this as a ZeroMQ project from the start. If it's wrong, we can delete the repository later or let it sink to the bottom of a long list of forgotten starts.

Start with the basics. The protocol (UDP and ZeroMQ/TCP) will be ZRE (ZeroMQ Realtime Exchange protocol) and the project will be Zyre. I need a second maintainer, so I invite my friend Dong Min (the Korean hacker behind JeroMQ, a pure-Java ZeroMQ stack) to join. He's been working on very similar ideas so is enthusiastic. We discuss this and we get the idea of building Zyre on top of JeroMQ, as well as on top of CZMQ and `libzmq`. This would make it a lot easier to run Zyre on Android. It would also give us two fully separate implementations from the start, which is always a good thing for a protocol.

So we take the FileMQ project I built in Advanced Architecture using ZeroMQChapter 7 as a template for a new GitHub project. The GNU autoconf tools are quite decent, but have a painful syntax. It's easiest to copy existing project files and modify them. The FileMQ project builds a library, has test tools, license files, man pages, and so on. It's not too large so it's a good starting point.

I put together a README to summarize the goals of the project and point to C4. The issue tracker is enabled by default on new GitHub projects, so once we've pushed the UDP ping code as a first version, we're ready to go. However, it's always good to recruit more maintainers, so I create an issue "Call for maintainers" that says:

If you'd like to help click that lovely green "Merge Pull Request" button and get eternal good karma, add a comment confirming that you've read and understand the C4 process at <http://rfc.zeromq.org/spec:22>.

Finally, I change the issue tracker labels. By default, GitHub offers the usual variety of issue types, but with C4 we don't use them. Instead, we need just two labels ("Urgent", in red, and "Ready", in black).

8.5. Point-to-Point Messaging

I'm going to take the last UDP ping program and build a point-to-point messaging layer on top of that. Our goal is that we can detect peers as they join and leave the network, that we can send messages to them, and that we can get replies. It is a nontrivial problem to solve and takes Min and me two days to get a "Hello World" version working.

We had to solve a number of issues:

- What information to send in the UDP beacon, and how to format it.
- What ZeroMQ socket types to use to interconnect nodes.
- What ZeroMQ messages to send, and how to format them.
- How to send a message to a specific node.
- How to know the sender of any message so we could send a reply.
- How to recover from lost UDP beacons.
- How to avoid overloading the network with beacons.

I'll explain these in enough detail so that you understand why we made each choice we did, with some code fragments to illustrate. We tagged this code as version 0.1.0 (<https://github.com/zeromq/zyre/zipball/v0.1.0>) so you can look at the code: most of the hard work is done in `zre_interface.c`.

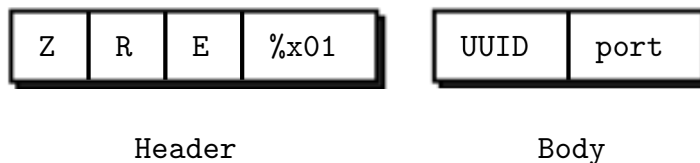
8.5.1. UDP Beacon Framing

Sending UUIDs across the network is the bare minimum for a logical addressing scheme. However, we have a few more aspects to get working before this will work in real use:

- We need some protocol identification so that we can check for and reject invalid packets.
- We need some version information so that we can change this protocol over time.
- We need to tell other nodes how to reach us via TCP, i.e., a ZeroMQ port they can talk to us on.

Let's start with the beacon message format. We probably want a fixed protocol header that will never change in future versions and a body that depends on the version.

Figure 8-1. ZRE discovery message



The version can be a 1-byte counter starting at 1. The UUID is 16 bytes and the port is a 2-byte port number because UDP nicely tells us the sender's IP address for every message we receive. This gives us a 22-byte frame.

The C language (and a few others like Erlang) make it simple to read and write binary structures. We define the beacon frame structure:

```
#define BEACON_PROTOCOL    "ZRE"
#define BEACON_VERSION    0x01

typedef struct {
    byte protocol [3];
    byte version;
    uuid_t uuid;
    uint16_t port;
} beacon_t;
```

This makes sending and receiving beacons quite simple. Here is how we send a beacon, using the `zre_udp` class to do the nonportable network calls:

```
// Beacon object
beacon_t beacon;

// Format beacon fields
beacon.protocol [0] = 'Z';
beacon.protocol [1] = 'R';
beacon.protocol [2] = 'E';
beacon.version = BEACON_VERSION;
memcpy (beacon.uuid, self->uuid, sizeof (uuid_t));
beacon.port = htons (self->port);

// Broadcast the beacon to anyone who is listening
zre_udp_send (self->udp, (byte *) &beacon, sizeof (beacon_t));
```

When we receive a beacon, we need to guard against bogus data. We're not going to be paranoid against, for example, denial-of-service attacks. We just want to make sure that we're not going to crash when a bad ZRE implementation sends us erroneous frames.

To validate a frame, we check its size and header. If those are OK, we assume the body is usable. When we get a UUID that isn't ourselves (recall, we'll get our own UDP broadcasts back), we can treat this as a peer:

```
// Get beacon frame from network
beacon_t beacon;
ssize_t size = zre_udp_recv (self->udp,
    (byte *) &beacon, sizeof (beacon_t));

// Basic validation on the frame
if (size != sizeof (beacon_t))
```

```

|| beacon.protocol [0] != 'Z'
|| beacon.protocol [1] != 'R'
|| beacon.protocol [2] != 'E'
|| beacon.version != BEACON_VERSION)
    return 0;                // Ignore invalid beacons

// If we got a UUID and it's not our own beacon, we have a peer
if (memcmp (beacon.uuid, self->uuid, sizeof (uuid_t))) {
    char *identity = s_uuid_str (beacon.uuid);
    s_require_peer (self, identity,
        zre_udp_from (self->udp), ntohs (beacon.port));
    free (identity);
}

```

8.5.2. True Peer Connectivity (Harmony Pattern)

Because ZeroMQ is designed to make distributed messaging easy, people often ask how to interconnect a set of true peers (as compared to obvious clients and servers). It is a thorny question and ZeroMQ doesn't really provide a single clear answer.

TCP, which is the most commonly-used transport in ZeroMQ, is not symmetric; one side must bind and one must connect and though ZeroMQ tries to be neutral about this, it's not. When you connect, you create an outgoing message pipe. When you bind, you do not. When there is no pipe, you cannot write messages (ZeroMQ will return `EAGAIN`).

Developers who study ZeroMQ and then try to create N-to-N connections between sets of equal peers often try a ROUTER-to-ROUTER flow. It's obvious why: each peer needs to address a set of peers, which requires ROUTER. It usually ends with a plaintive email to the list.

Experience teaches us that ROUTER-to-ROUTER is particularly difficult to use successfully. At a minimum, one peer must bind and one must connect, meaning the architecture is not symmetrical. But also because you simply can't tell when you are allowed to safely send a message to a peer. It's a Catch-22: you can talk to a peer after it's talked to you, but the peer can't talk to you until you've talked to it. One side or the other will be losing messages and thus has to retry, which means the peers cannot be equal.

I'm going to explain the Harmony pattern, which solves this problem, and which we use in Zyre.

We want a guarantee that when a peer "appears" on our network, we can talk to it safely without ZeroMQ dropping messages. For this, we have to use a DEALER or PUSH socket that *connects out to the peer* so that even if that connection takes some non-zero time, there is immediately a pipe and ZeroMQ will accept outgoing messages.

A DEALER socket cannot address multiple peers individually. But if we have one DEALER per peer, and we connect that DEALER to the peer, we can safely send messages to a peer as soon as we've connected to it.

Now, the next problem is to know who sent us a particular message. We need a reply address that is the UUID of the node who sent any given message. DEALER can't do this unless we prefix every single message with that 16-byte UUID, which would be wasteful. ROUTER does do it if we set the identity properly before connecting to the router.

And so the Harmony pattern comes down to these components:

- One ROUTER socket that we bind to a ephemeral port, which we broadcast in our beacons.
- One DEALER socket *per peer* that we connect to the peer's ROUTER socket.
- Reading from our ROUTER socket.
- Writing to the peer's DEALER socket.

The next problem is that discovery isn't neatly synchronized. We can get the first beacon from a peer *after* we start to receive messages from it. A message comes in on the ROUTER socket and has a nice UUID attached to it, but no physical IP address and port. We have to force discovery over TCP. To do this, our first command to any new peer to which we connect is an `OHAI` command with our IP address and port. This ensure that the receiver connects back to us before trying to send us any command.

Here it is, broken down into steps:

- If we receive a UDP beacon from a new peer, we connect to the peer through a DEALER socket.
- We read messages from our ROUTER socket, and each message comes with the UUID of the sender.
- If it's an `OHAI` message, we connect back to that peer if not already connected to it.
- If it's any other message, we *must* already be connected to the peer (a good place for an assertion).
- We send messages to each peer using the per-peer DEALER socket, which *must* be connected.
- When we connect to a peer, we also tell our application that the peer exists.
- Every time we get a message from a peer, we treat that as a heartbeat (it's alive).

If we were not using UDP but some other discovery mechanism, I'd still use the Harmony pattern for a true peer network: one ROUTER for input from all peers, and one DEALER per peer for output. Bind the ROUTER, connect the DEALER, and start each conversation with an `OHAI` equivalent that provides the return IP address and port. You would need some external mechanism to bootstrap each connection.

8.5.3. Detecting Disappearances

Heartbeating sounds simple but it's not. UDP packets get dropped when there's a lot of TCP traffic, so if we depend on UDP beacons, we'll get false disconnections. TCP traffic can be delayed for 5, 10, even 30

seconds if the network is really busy. So if we kill peers when they go quiet, we'll have false disconnections.

Because UDP beacons aren't reliable, it's tempting to add in TCP beacons. After all, TCP will deliver them reliably. However, there's one little problem. Imagine that you have 100 nodes on a network, and each node sends a TCP beacon once a second. Each beacon is 22 bytes, not counting TCP's framing overhead. That is $100 * 99 * 22$ bytes per second, or 217,000 bytes/second just for heartbeating. That's about 1-2% of a typical WiFi network's ideal capacity, which sounds OK. But when a network is stressed or fighting other networks for airspace, that extra 200K a second will break what's left. UDP broadcasts are at least low cost.

So what we do is switch to TCP heartbeats only when a specific peer hasn't sent us any UDP beacons in a while. And then we send TCP heartbeats only to that one peer. If the peer continues to be silent, we conclude it's gone away. If the peer comes back with a different IP address and/or port, we have to disconnect our DEALER socket and reconnect to the new port.

This gives us a set of states for each peer, though at this stage the code doesn't use a formal state machine:

- Peer visible thanks to UDP beacon (we connect using IP address and port from beacon)
- Peer visible thanks to `OHAI` command (we connect using IP address and port from command)
- Peer seems alive (we got a UDP beacon or command over TCP recently)
- Peer seems quiet (no activity in some time, so we send a `HUGZ` command)
- Peer has disappeared (no reply to our `HUGZ` commands, so we destroy peer)

There's one remaining scenario we didn't address in the code at this stage. It's possible for a peer to change IP addresses and ports without actually triggering a disappearance event. For example, if the user switches off WiFi and then switches it back on, the access point can assign the peer a new IP address. We'll need to handle a disappeared WiFi interface on our node by unbinding the ROUTER socket and rebinding it when we can. Because this is not central to the design now, I decide to log an issue on the GitHub tracker and leave it for a rainy day.

8.6. Group Messaging

Group messaging is a common and very useful pattern. The concept is simple: instead of talking to a single node, you talk to a "group" of nodes. The group is just a name, a string that you agree on in the application. It's precisely like using the pub-sub prefixes in PUB and SUB sockets. In fact, the only reason I say "group messaging" and not "pub-sub" is to prevent confusion, because we're not going to use PUB-SUB sockets for this.

PUB-SUB sockets would almost work. But we've just done such a lot of work to solve the late joiner problem. Applications are inevitably going to wait for peers to arrive before sending messages to groups, so we have to build on the Harmony pattern rather than start again beside it.

Let's look at the operations we want to do on groups:

- We want to join and leave groups.
- We want to know what other nodes are in any given group.
- We want to send a message to (all nodes in) a group.

These look familiar to anyone who's used Internet Relay Chat, except that we have no server. Every node will need to keep track of what each group represents. This information will not always be fully consistent across the network, but it will be close enough.

Our interface will track a set of groups (each an object). These are all the known groups with one or more member node, excluding ourselves. We'll track nodes as they leave and join groups. Because nodes can join the network at any time, we have to tell new peers what groups we're in. When a peer disappears, we'll remove it from all groups we know about.

This gives us some new protocol commands:

- `JOIN` - we send this to all peers when we join a group.
- `LEAVE` - we send this to all peers when we leave a group.

Plus, we add a `groups` field to the first command we send (renamed from `OHAI` to `HELLO` at this point because I need a larger lexicon of command verbs).

Lastly, let's add a way for peers to double-check the accuracy of their group data. The risk is that we miss one of the above messages. Though we are using Harmony to avoid the typical message loss at startup, it's worth being paranoid. For now, all we need is a way to detect such a failure. We'll deal with recovery later, if the problem actually happens.

I'll use the UDP beacon for this. What we want is a rolling counter that simply tells how many join and leave operations ("transitions") there have been for a node. It starts at 0 and increments for each group we join or leave. We can use a minimal 1-byte value because that will catch all failures except the astronomically rare "we lost precisely 256 messages in a row" failure (this is the one that hits during the first demo). We will also put the transitions counter into the `JOIN`, `LEAVE`, and `HELLO` commands. And to try to provoke the problem, we'll test by joining/leaving several hundred groups with a high-water mark set to 10 or so.

It's time to choose verbs for the group messaging. We need a command that means "talk to one peer" and one that means "talk to many peers". After some attempts, my best choices are `WHISPER` and `SHOUT`, and

this is what the code uses. The `SHOUT` command needs to tell the user the group name, as well as the sender peer.

Because groups are like pub-sub, you might be tempted to use this to broadcast the `JOIN` and `LEAVE` commands as well, perhaps by creating a "global" group that all nodes join. My advice is to keep groups purely as user-space concepts for two reasons. First, how do you join the global group if you need the global group to send out a `JOIN` command? Second, it creates special cases (reserved names) which are messy.

It's simpler just to send `JOINS` and `LEAVES` explicitly to all connected peers, period.

I'm not going to work through the implementation of group messaging in detail because it's fairly pedantic and not too exciting. The data structures for group and peer management aren't optimal, but they're workable. We use the following:

- A list of groups for our interface, which we can send to new peers in a `HELLO` command;
- A hash of groups for other peers, which we update with information from `HELLO`, `JOIN`, and `LEAVE` commands;
- A hash of peers for each group, which we update with the same three commands.

At this stage, I'm starting to get pretty happy with the binary serialization (our codec generator from Advanced Architecture using ZeroMQChapter 7), which handles lists and dictionaries as well as strings and integers.

This version is tagged in the repository as v0.2.0 and you can download the tarball (<https://github.com/zeromq/zyre/tags>) if you want to check what the code looked like at this stage.

8.7. Testing and Simulation

When you build a product out of pieces, and this includes a distributed framework like Zyre, the only way to know that it will work properly in real life is to simulate real activity on each piece.

8.7.1. On Assertions

The proper use of assertions is one of the hallmarks of a professional programmer.

Our confirmation bias as creators makes it hard to test our work properly. We tend to write tests to prove the code works, rather than trying to prove it doesn't. There are many reasons for this. We pretend to ourselves and others that we can be (could be) perfect, when in fact we consistently make mistakes. Bugs in code are seen as "bad", rather than "inevitable", so psychologically we want to see fewer of them, not

uncover more of them. "He writes perfect code" is a compliment rather than a euphemism for "he never takes risks so his code is as boring and heavily used as cold spaghetti".

Some cultures teach us to aspire to perfection and punish mistakes in education and work, which makes this attitude worse. To accept that we're fallible, and then to learn how to turn that into profit rather than shame is one of the hardest intellectual exercises in any profession. We leverage our fallibilities by working with others and by challenging our own work sooner, not later.

One trick that makes it easier is to use assertions. Assertions are not a form of error handling. They are executable theories of fact. The code asserts, "At this point, such and such must be true" and if the assertion fails, the code kills itself.

The faster you can prove code incorrect, the faster and more accurately you can fix it. Believing that code works and proving that it behaves as expected is less science, more magical thinking. It's far better to be able to say, "`libzmq` has five hundred assertions and despite all my efforts, not one of them fails".

So the Zyre code base is scattered with assertions, and particularly a couple on the code that deals with the state of peers. This is the hardest aspect to get right: peers need to track each other and exchange state accurately or things stop working. The algorithms depend on asynchronous messages flying around and I'm pretty sure the initial design has flaws. It always does.

And as I test the original Zyre code by starting and stopping instances of `zre_ping` by hand, every so often I get an assertion failure. Running by hand doesn't reproduce these often enough, so let's make a proper tester tool.

8.7.2. On Up-Front Testing

Being able to fully test the real behavior of individual components in the laboratory can make a 10x or 100x difference to the cost of your project. That confirmation bias engineers have to their own work makes up-front testing incredibly profitable, and late-stage testing incredibly expensive.

I'll tell you a short story about a project we worked on in the late 1990's. We provided the software and other teams provided the hardware for a factory automation project. Three or four teams brought their experts on-site, which was a remote factory (funny how the polluting factories are always in remote border country).

One of these teams, a firm specializing in industrial automation, built ticket machines: kiosks, and software to run on them. Nothing unusual: swipe a badge, choose an option, receive a ticket. They assembled two of these kiosks on-site, each week bringing some more bits and pieces. Ticket printers, monitor screens, special keypads from Israel. The stuff had to be resistant against dust because the kiosks sat outside. Nothing worked. The screens were unreadable in the sun. The ticket printers continually jammed and misprinted. The internals of the kiosk just sat on wooden shelving. The kiosk software

crashed regularly. It was comedic except that the project really, *really* had to work and so we spent weeks and then months on-site helping the other teams debug their bits and pieces until it worked.

A year later, there was a second factory, and the same story. By this time the client, was getting impatient. So when they came to the third and largest factory, a year later, we jumped up and said, "please let us make the kiosks and the software and everything".

We made a detailed design for the software and hardware and found suppliers for all the pieces. It took us three months to search the Internet for each component (in those days, the Internet was a lot slower), and another two months to get them assembled into stainless-steel bricks each weighing about twenty kilos. These bricks were two feet square and eight inches deep, with a large flat-screen panel behind unbreakable glass, and two connectors: one for power, one for Ethernet. You loaded up the paper bin with enough for six months, then screwed the brick into a housing, and it automatically booted, found its DNS server, loaded its Linux OS and then application software. It connected to the real server, and showed the main menu. You got access to the configuration screens by swiping a special badge and then entering a code.

The software was portable so we could test that as we wrote it, and as we collected the pieces from our suppliers we kept one of each so we had a disassembled kiosk to play with. When we got our finished kiosks, they all worked immediately. We shipped them to the client, who plugged them into their housing, switched them on, and went to business. We spent a week or so on-site, and in ten years, one kiosk broke (the screen died, and was replaced).

Lesson is, test upfront so that when you plug the thing in, you know precisely how it's going to behave. If you haven't tested it upfront, you're going to be spending weeks and months in the field ironing out problems that should never have been there.

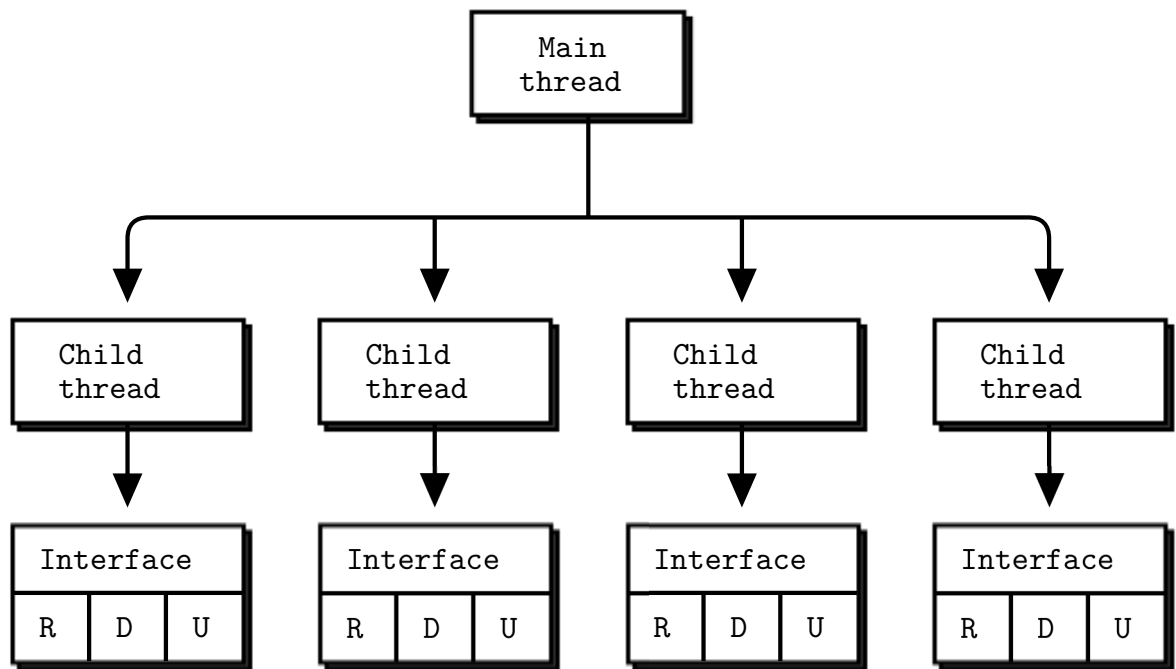
8.7.3. The Zyre Tester

During manual testing, I did hit an assertion rarely. It then disappeared. Because I don't believe in magic, I know that meant the code was still wrong somewhere. So, the next step was heavy-duty testing of the Zyre v0.2.0 code to try to break its assertions, and get a good idea of how it will behave in the field.

We packaged the discovery and messaging functionality as an *interface* object that the main program creates, works with, and then destroys. We don't use any global variables. This makes it easy to start large numbers of interfaces and simulate real activity, all within one process. And if there's one thing we've learned from writing lots of examples, it's that ZeroMQ's ability to orchestrate multiple threads in a single process is *much* easier to work with than multiple processes.

The first version of the tester consists of a main thread that starts and stops a set of child threads, each running one interface, each with a ROUTER, DEALER, and UDP socket (R, D, and U in the diagram)Figure 8-2.

Figure 8-2. Zyre Tester Tool



The nice thing is that when I am connected to a WiFi access point, all Zyre traffic (even between two interfaces in the same process) goes across the AP. This means I can fully stress test any WiFi infrastructure with just a couple of PCs running in a room. It's hard to emphasize how valuable this is: if we had built Zyre as, say, a dedicated service for Android, we'd literally need dozens of Android tablets or phones to do any large-scale testing. Kiosks, and all that.

The focus is now on breaking the current code, trying to prove it wrong. There's *no point* at this stage in testing how well it runs, how fast it is, how much memory it uses, or anything else. We'll work up to trying (and failing) to break each individual functionality, but first, we try to break some of the core assertions I've put into the code.

These are:

- The first command that any node receives from a peer **MUST** be `HELLO`. In other words, messages *cannot* be lost during the peer-to-peer connection process.
- The state each node calculates for its peers matches the state each peer calculates for itself. In other words, again, no messages are lost in the network.
- When my application sends a message to a peer, we have a connection to that peer. In other words, the application only "sees" a peer after we have established a ZeroMQ connection to it.

With ZeroMQ, there are several cases where we may lose messages. One is the "late joiner" syndrome.

Two is when we close sockets without sending everything. Three is when we overflow the high-water mark on a ROUTER or PUB socket. Four is when we use an unknown address with a ROUTER socket.

Now, I *think* Harmony gets around all these potential cases. But we're also adding UDP to the mix. So the first version of the tester simulates an unstable and dynamic network, where nodes come and go randomly. It's here that things will break.

Here is the main thread of the tester, which manages a pool of 100 threads, starting and stopping each one randomly. Every ~750 msecs it either starts or stops one random thread. We randomize the timing so that threads aren't all synchronized. After a few minutes, we have an average of 50 threads happily chatting to each other like Korean teenagers in the Gangnam subway station:

```
int main (int argc, char *argv [])
{
    // Initialize context for talking to tasks
    zctx_t *ctx = zctx_new ();
    zctx_set_linger (ctx, 100);

    // Get number of interfaces to simulate, default 100
    int max_interface = 100;
    int nbr_interfaces = 0;
    if (argc > 1)
        max_interface = atoi (argv [1]);

    // We address interfaces as an array of pipes
    void **pipes = zmalloc (sizeof (void *) * max_interface);

    // We will randomly start and stop interface threads
    while (!zctx_interrupted) {
        uint index = randof (max_interface);
        // Toggle interface thread
        if (pipes [index]) {
            zstr_send (pipes [index], "STOP");
            zsocket_destroy (ctx, pipes [index]);
            pipes [index] = NULL;
            zclock_log ("I: Stopped interface (%d running)",
                        --nbr_interfaces);
        }
        else {
            pipes [index] = zthread_fork (ctx, interface_task, NULL);
            zclock_log ("I: Started interface (%d running)",
                        ++nbr_interfaces);
        }
        // Sleep ~750 msecs randomly so we smooth out activity
        zclock_sleep (randof (500) + 500);
    }
    zctx_destroy (&ctx);
    return 0;
}
```

Note that we maintain a *pipe* to each child thread (CZMQ creates the pipe automatically when we use the `zthread_fork` method). It's via this pipe that we tell child threads to stop when it's time for them to leave. The child threads do the following (I'm switching to pseudo-code for clarity):

```
create an interface
while true:
    poll on pipe to parent, and on interface
    if parent sent us a message:
        break
    if interface sent us a message:
        if message is ENTER:
            send a WHISPER to the new peer
        if message is EXIT:
            send a WHISPER to the departed peer
        if message is WHISPER:
            send back a WHISPER 1/2 of the time
        if message is SHOUT:
            send back a WHISPER 1/3 of the time
            send back a SHOUT 1/3 of the time
    once per second:
        join or leave one of 10 random groups
destroy interface
```

8.7.4. Test Results

Yes, we broke the code. Several times, in fact. This was satisfying. I'll work through the different things we found.

Getting nodes to agree on consistent group status was the most difficult. Every node needs to track the group membership of the whole network, as I already explained in the section "Group Messaging". Group messaging is a pub-sub pattern. `JOINS` and `LEAVES` are analogous to subscribe and unsubscribe messages. It's essential that none of these ever get lost, or we'll find nodes dropping randomly off groups.

So each node counts the total number of `JOINS` and `LEAVES` it's ever done, and broadcasts this status (as 1-byte rolling counter) in its UDP beacon. Other nodes pick up the status, compare it to their own calculations, and if there's a difference, the code asserts.

The first problem was that UDP beacons get delayed randomly, so they're useless for carrying the status. When a beacons arrives late, the status is inaccurate and we get a *false negative*. To fix this, we moved the status information into the `JOIN` and `LEAVE` commands. We also added it to the `HELLO` command. The logic then becomes:

- Get initial status for a peer from its `HELLO` command.
- When getting a `JOIN` or `LEAVE` from a peer, increment the status counter.
- Check that the new status counter matches the value in the `JOIN` or `LEAVE` command

- If it doesn't, assert.

Next problem we got was that messages were arriving unexpectedly on new connections. The Harmony pattern connects, then sends `HELLO` as the first command. This means the receiving peer should always get `HELLO` as the first command from a new peer. We were seeing `PING`, `JOIN`, and other commands arriving.

This turned out to be due to CZMQ's ephemeral port logic. An ephemeral port is just a dynamically assigned port that a service can get rather than asking for a fixed port number. A POSIX system usually assigns ephemeral ports in the range `0xC000` to `0xFFFF`. CZMQ's logic is to look for a free port in this range, bind to that, and return the port number to the caller.

This sounds fine, until you get one node stopping and another node starting close together, and the new node getting the port number of the old node. Remember that ZeroMQ tries to re-establish a broken connection. So when the first node stopped, its peers would retry to connect. When the new node appears on that same port, suddenly all the peers connect to it and start chatting like they're old buddies.

It's a general problem that affects any larger-scale dynamic ZeroMQ application. There are a number of plausible answers. One is to not reuse ephemeral ports, which is easier said than done when you have multiple processes on one system. Another solution would be to select a random port each time, which at least reduces the risk of hitting a just-freed port. This brings the risk of a garbage connection down to perhaps 1/1000 but it's still there. Perhaps the best solution is to accept that this can happen, understand the causes, and deal with it on the application level.

We have a stateful protocol that always starts with a `HELLO` command. We know that it's possible for peers to connect to us, thinking we're an existing node that went away and came back, and send us other commands. Step one is when we discover a new peer, to destroy any existing peer connected to the same endpoint. It's not a full answer but at least it's polite. Step two is to ignore anything coming in from a new peer until that peer says `HELLO`.

This doesn't require any change to the protocol, but it must be specified in the protocol when we come to it: due to the way ZeroMQ connections work, it's possible to receive unexpected commands from a *well-behaving* peer and there is no way to return an error code or otherwise tell that peer to reset its connection. Thus, a peer must discard any command from a peer until it receives `HELLO`.

In fact, if you draw this on a piece of paper and think it through, you'll see that you never get a `HELLO` from such a connection. The peer will send `PINGS` and `JOINS` and `LEAVES` and then eventually time out and close, as it fails to get any heartbeats back from us.

You'll also see that there's no risk of confusion, no way for commands from two peers to get mixed into a single stream on our DEALER socket.

When you are satisfied that this works, we're ready to move on. This version is tagged in the repository

as v0.3.0 and you can download the tarball (<https://github.com/zeromq/zyre/tags>) if you want to check what the code looked like at this stage.

Note that doing heavy simulation of lots of nodes will probably cause your process to run out of file handles, giving an assertion failure in `libzmq`. I raised the per-process limit to 30,000 by running (on my Linux box):

```
ulimit -n 30000
```

8.7.5. Tracing Activity

To debug the kinds of problems we saw here, we need extensive logging. There's a lot happening in parallel, but every problem can be traced down to a specific exchange between two nodes, consisting of a set of events that happen in strict sequence. We know how to make very sophisticated logging, but as usual it's wiser to make just what we need and no more. We have to capture:

- Time and date for each event.
- In which node the event occurred.
- The peer node, if any.
- What the event was (e.g., which command arrived).
- Event data, if any.

The very simplest technique is to print the necessary information to the console, with a timestamp. That's the approach I used. Then it's simple to find the nodes affected by a failure, filter the log file for only messages referring to them, and see exactly what happened.

8.7.6. Dealing with Blocked Peers

In any performance-sensitive ZeroMQ architecture, you need to solve the problem of flow control. You cannot simply send unlimited messages to a socket and hope for the best. At the one extreme, you can exhaust memory. This is a classic failure pattern for a message broker: one slow client stops receiving messages; the broker starts to queue them, and eventually exhausts memory and the whole process dies. At the other extreme, the socket drops messages, or blocks, as you hit the high-water mark.

With Zyre we want to distribute messages to a set of peers, and we want to do this fairly. Using a single ROUTER socket for output would be problematic because any one blocked peer would block outgoing traffic to all peers. TCP does have good algorithms for spreading the network capacity across a set of connections. And we're using a separate DEALER socket to talk to each peer, so in theory each DEALER socket will send its queued messages in the background reasonably fairly.

The normal behavior of a DEALER socket that hits its high-water mark is to block. This is usually ideal, but it's a problem for us here. Our current interface design uses one thread that distributes messages to all peers. If one of those send calls were to block, all output would block.

There are a few options to avoid blocking. One is to use `zmq_poll()` on the whole set of DEALER sockets, and only write to sockets that are ready. I don't like this for a couple of reasons. First, the DEALER socket is hidden inside the peer class, and it is cleaner to allow each class to handle this opaquely. Second, what do we do with messages we can't yet deliver to a DEALER socket? Where do we queue them? Third, it seems to be side-stepping the issue. If a peer is really so busy it can't read its messages, something is wrong. Most likely, it's dead.

So no polling for output. The second option is to use one thread per peer. I quite like the idea of this because it fits into the ZeroMQ design pattern of "do one thing in one thread". But this is going to create *a lot* of threads (square of the number of nodes we start) in the simulation, and we're already running out of file handles.

A third option is to use a nonblocking send. This is nicer and it's the solution I choose. We can then provide each peer with a reasonable outgoing queue (the HWM) and if that gets full, treat it as a fatal error on that peer. This will work for smaller messages. If we're sending large chunks--e.g., for content distribution--we'll need a credit-based flow control on top.

Therefore the first step is to prove to ourselves that we can turn the normal blocking DEALER socket into a nonblocking socket. This example creates a normal DEALER socket, connects it to some endpoint (so that there's an outgoing pipe and the socket will accept messages), sets the high-water mark to four, and then sets the send timeout to zero:

Example 8-6. Checking EAGAIN on DEALER socket (eagain.lua)

(This example still needs translation into Lua)

When we run this, we send four messages successfully (they go nowhere, the socket just queues them), and then we get a nice EAGAIN error:

```
Sending message 0
Sending message 1
Sending message 2
Sending message 3
Sending message 4
Resource temporarily unavailable
```

The next step is to decide what a reasonable high-water mark would be for a peer. Zyre is meant for human interactions; that is, applications that chat at a low frequency, such as two games or a shared drawing program. I'd expect a hundred messages per second to be quite a lot. Our "peer is really dead" timeout is 10 seconds. So a high-water mark of 1,000 seems fair.

Rather than set a fixed HWM or use the default (which randomly also happens to be 1,000), we calculate it as $100 * \text{the timeout}$. Here's how we configure a new DEALER socket for a peer:

```
// Create new outgoing socket (drop any messages in transit)
self->mailbox = zsocket_new (self->ctx, ZMQ_DEALER);

// Set our caller "From" identity so that receiving node knows
// who each message came from.
zsocket_set_identity (self->mailbox, reply_to);

// Set a high-water mark that allows for reasonable activity
zsocket_set_sndhwm (self->mailbox, PEER_EXPIRED * 100);

// Send messages immediately or return EAGAIN
zsocket_set_sndtimeo (self->mailbox, 0);

// Connect through to peer node
zsocket_connect (self->mailbox, "tcp://%s", endpoint);
```

And finally, what do we do when we get an EAGAIN on a peer? We don't need to go through all the work of destroying the peer because the interface will do this automatically if it doesn't get any message from the peer within the expiration timeout. Just dropping the last message seems very weak; it will give the receiving peer gaps.

I'd prefer a more brutal response. Brutal is good because it forces the design to a "good" or "bad" decision rather than a fuzzy "should work but to be honest there are a lot of edge cases so let's worry about it later". Destroy the socket, disconnect the peer, and stop sending anything to it. The peer will eventually have to reconnect and re-initialize any state. It's kind of an assertion that 100 messages a second is enough for anyone. So, in the `zre_peer_send` method:

```
int
zre_peer_send (zre_peer_t *self, zre_msg_t **msg_p)
{
    assert (self);
    if (self->connected) {
        if (zre_msg_send (msg_p, self->mailbox) && errno == EAGAIN) {
            zre_peer_disconnect (self);
            return -1;
        }
    }
    return 0;
}
```

Where the disconnect method looks like this:

```
void
zre_peer_disconnect (zre_peer_t *self)
{
    // If connected, destroy socket and drop all pending messages
    assert (self);
```

```

    if (self->connected) {
        zsocket_destroy (self->ctx, self->mailbox);
        free (self->endpoint);
        self->endpoint = NULL;
        self->connected = false;
    }
}

```

8.8. Distributed Logging and Monitoring

Let's look at logging and monitoring. If you've ever managed a real server (like a web server), you know how vital it is to have a capture of what is going on. There are a long list of reasons, not least:

- To measure the performance of the system over time.
- To see what kinds of work are done the most, to optimize performance.
- To track errors and how often they occur.
- To do postmortems of failures.
- To provide an audit trail in case of dispute.

Let's scope this in terms of the problems we think we'll have to solve:

- We want to track key events (such as nodes leaving and rejoining the network).
- For each event, we want to track a consistent set of data: the date/time, node that observed the event, peer that created the event, type of event itself, and other event data.
- We want to be able to switch logging on and off at any time.
- We want to be able to process log data mechanically because it will be sizable.
- We want to be able to monitor a running system; that is, collect logs and analyze in real time.
- We want log traffic to have minimal effect on the network.
- We want to be able to collect log data at a single point on the network.

As in any design, some of these requirements are hostile to each other. For example, collecting log data in real time means sending it over the network, which will affect network traffic to some extent. However, as in any design, these requirements are also hypothetical until we have running code so we can't take them too seriously. We'll aim for *plausibly good enough* and improve over time.

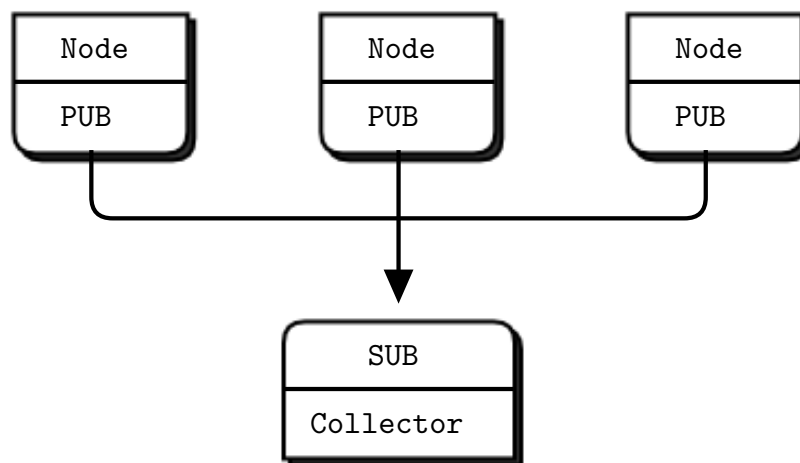
8.8.1. A Plausible Minimal Implementation

Arguably, just dumping log data to disk is one solution, and it's what most mobile applications do (using "debug logs"). But most failures require correlation of events from two nodes. This means searching lots of debug logs by hand to find the ones that matter. It's not a very clever approach.

We want to send log data somewhere central, either immediately, or opportunistically (i.e., store and forward). For now, let's focus on immediate logging. My first idea when it comes to sending data is to use Zyre for this. Just send log data to a group called "LOG", and hope someone collects it.

But using Zyre to log Zyre itself is a Catch-22. Who logs the logger? What if we want a verbose log of every message sent? Do we include logging messages in that or not? It quickly gets messy. We want a logging protocol that's independent of Zyre's main ZRE protocol. The simplest approach is a pub-sub protocol, where all nodes publish log data on a PUB socket and a collector picks that up via a SUB socket. Figure 8-3.

Figure 8-3. Distributed Log Collection



The collector can, of course, run on any node. This gives us a nice range of use cases:

- A passive log collector that stores log data on disk for eventual statistical analysis; this would be a PC with sufficient hard disk space for weeks or months of log data.
- A collector that stores log data into a database where it can be used in real time by other applications. This might be overkill for a small workgroup, but would be snazzy for tracking the performance of larger groups. The collector could collect log data over WiFi and then forward it over Ethernet to a database somewhere.
- A live meter application that joined the Zyre network and then collected log data from nodes, showing events and statistics in real time.

The next question is how to interconnect the nodes and collector. Which side binds, and which connects? Both ways will work here, but it's marginally better if the PUB sockets connect to the SUB socket. If you recall, ZeroMQ's internal buffers only pop into existence when there are connections. It means as soon as a node connects to the collector, it can start sending log data without loss.

How do we tell nodes what endpoint to connect to? We may have any number of collectors on the network, and they'll be using arbitrary network addresses and ports. We need some kind of service announcement mechanism, and here we can use Zyre to do the work for us. We could use group messaging, but it seems neater to build service discovery into the ZRE protocol itself. It's nothing complex: if a node provides a service X, it can tell other nodes about that when it sends them a `HELLO` command.

We'll extend the `HELLO` command with a *headers* field that holds a set of name=value pairs. Let's define that the header `X-ZRELOG` specifies the collector endpoint (the SUB socket). A node that acts as a collector can add a header like this (for example):

```
X-ZRELOG=tcp://192.168.1.122:9992
```

When another node sees this header, it simply connects its PUB socket to that endpoint. Log data now gets distributed to all collectors (zero or more) on the network.

Making this first version was fairly simple and took half a day. Here are the pieces we had to make or change:

- We made a new class `zre_log` that accepts log data and manages the connection to the collector, if any.
- We added some basic management for peer headers, taken from the `HELLO` command.
- When a peer has the `X-ZRELOG` header, we connect to the endpoint it specifies.
- Where we were logging to stdout, we switched to logging via the `zre_log` class.
- We extended the interface API with a method that lets the application set headers.
- We wrote a simple logger application that manages the SUB socket and sets the `X-ZRELOG` header.
- We send our own headers when we send a `HELLO` command.

This version is tagged in the Zyre repository as v0.4.0 and you can download the tarball (<https://github.com/zeromq/zyre/tags>) if you want to see what the code looked like at this stage.

At this stage, the log message is just a string. We'll make more professionally structured log data in a little while.

First, a note on dynamic ports. In the `zre_tester` app that we use for testing, we create and destroy interfaces aggressively. One consequence is that a new interface can easily reuse a port that was just

freed by another application. If there's a ZeroMQ socket somewhere trying to connect this port, the results can be hilarious.

Here's the scenario I had, which caused a few minutes' confusion. The logger was running on a dynamic port:

- Start logger application
- Start tester application
- Stop logger
- Tester receives invalid message (and asserts as designed)

As the tester created a new interface, that reused the dynamic port freed by the (just stopped) logger, and suddenly the interface began to receive log data from nodes on its mailbox. We saw a similar situation before, where a new interface could reuse the port freed by an old interface and start getting old data.

The lesson is, if you use dynamic ports, be prepared to receive random data from ill-informed applications that are reconnecting to you. Switching to a static port stopped the misbehaving connection. That's not a full solution though. There are two more weaknesses:

- As I write this, `libzmq` doesn't check socket types when connecting. The ZMTP/2.0 protocol (<http://rfc.zeromq.org/spec:15>) does announce each peer's socket type, so this check is doable.
- The ZRE protocol has no fail-fast (assertion) mechanism; we need to read and parse a whole message before realizing that it's invalid.

Let's address the second one. Socket pair validation wouldn't solve this fully anyway.

8.8.2. Protocol Assertions

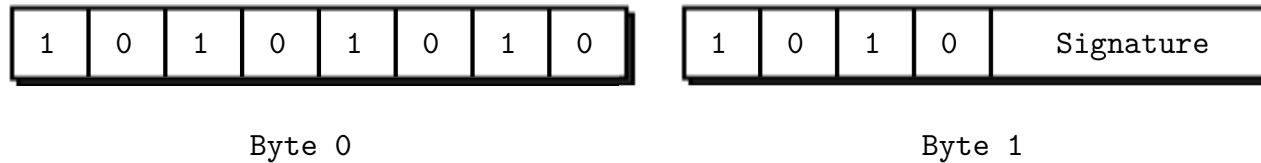
As Wikipedia puts it, "Fail-fast systems are usually designed to stop normal operation rather than attempt to continue a possibly flawed process." A protocol like HTTP has a fail-fast mechanism in that the first four bytes that a client sends to an HTTP server must be "HTTP". If they're not, the server can close the connection without reading anything more.

Our ROUTER socket is not connection-oriented so there's no way to "close the connection" when we get bad incoming messages. However, we can throw out the entire message if it's not valid. The problem is going to be worse when we use ephemeral ports, but it applies broadly to all protocols.

So let's define a *protocol assertion* as being a unique signature that we place at the start of each message and which identifies the intended protocol. When we read a message, we check the signature and if it's not what we expect, we discard the message silently. A good signature should be hard to confuse with regular data and give us enough space for a number of protocols.

I'm going to use a 16-bit signature consisting of a 12-bit pattern and a 4-bit protocol ID. Figure 8-4. The pattern `%xAAA` is meant to stay away from values we might otherwise expect to see at the start of a message: `%x00`, `%xFF`, and printable characters.

Figure 8-4. Protocol Signature



As our protocol codec is generated, it's relatively easy to add this assertion. The logic is:

- Get first frame of message.
- Check if first two bytes are `%xAAA` with expected 4-bit signature.
- If so, continue to parse rest of message.
- If not, skip all "more" frames, get first frame, and repeat.

To test this, I switched the logger back to using an ephemeral port. The interface now properly detects and discards any messages that don't have a valid signature. If the message has a valid signature and is *still* wrong, that's a proper bug.

8.8.3. Binary Logging Protocol

Now that we have the logging framework working properly, let's look at the protocol itself. Sending strings around the network is simple, but when it comes to WiFi we really cannot afford to waste bandwidth. We have the tools to work with efficient binary protocols, so let's design one for logging.

This is going to be a pub-sub protocol and in ZeroMQ v3.x we do publisher-side filtering. This means we can do multi-level logging (errors, warnings, information) if we put the logging level at the start of the message. So our message starts with a protocol signature (two bytes), a logging level (one byte), and an event type (one byte).

In the first version, we send UUID strings to identify each node. As text, these are 32 characters each. We can send binary UUIDs, but it's still verbose and wasteful. We don't care about the node identifiers in the log files. All we need is some way to correlate events. So what's the shortest identifier we can use that's going to be unique enough for logging? I say "unique enough" because while we really want zero chance of duplicate UUIDs in the live code, log files are not so critical.

The simplest plausible answer is to hash the IP address and port into a 2-byte value. We'll get some collisions, but they'll be rare. How rare? As a quick sanity check, I write a small program that generates

a bunch of addresses and hashes them into 16-bit values, looking for collisions. To be sure, I generate 10,000 addresses across a small number of IP addresses (matching a simulation setup), and then across a large number of addresses (matching a real-life setup). The hashing algorithm is a *modified Bernstein*:

```
uint16_t hash = 0;
while (*endpoint)
    hash = 33 * hash ^ *endpoint++;
```

I don't get any collisions over several runs, so this will work as identifier for the log data. This adds four bytes (two for the node recording the event, and two for its peer in events that come from a peer).

Next, we want to store the date and time of the event. The POSIX `time_t` type was previously 32 bits, but because this overflows in 2038, it's a 64-bit value. We'll use this; there's no need for millisecond resolution in a log file: events are sequential, clocks are unlikely to be that tightly synchronized, and network latencies mean that precise times aren't that meaningful.

We're up to 16 bytes, which is decent. Finally, we want to allow some additional data, formatted as text and depending on the type of event. Putting this all together gives the following message specification:

```
<class
    name = "zre_log_msg"
    script = "codec_c.gsl"
    signature = "2"
>
This is the ZRE logging protocol - raw version.
<include filename = "license.xml" />

<!-- Protocol constants -->
<define name = "VERSION" value = "1" />

<define name = "LEVEL_ERROR" value = "1" />
<define name = "LEVEL_WARNING" value = "2" />
<define name = "LEVEL_INFO" value = "3" />

<define name = "EVENT_JOIN" value = "1" />
<define name = "EVENT_LEAVE" value = "2" />
<define name = "EVENT_ENTER" value = "3" />
<define name = "EVENT_EXIT" value = "4" />

<message name = "LOG" id = "1">
    <field name = "level" type = "number" size = "1" />
    <field name = "event" type = "number" size = "1" />
    <field name = "node" type = "number" size = "2" />
    <field name = "peer" type = "number" size = "2" />
    <field name = "time" type = "number" size = "8" />
    <field name = "data" type = "string" />
    Log an event
</message>

</class>
```

This generates 800 lines of perfect binary codec (the `zre_log_msg` class). The codec does protocol assertions just like the main ZRE protocol does. Code generation has a fairly steep starting curve, but it makes it so much easier to push your designs past "amateur" into "professional".

8.9. Content Distribution

We now have a robust framework for creating groups of nodes, letting them chat to each other, and monitoring the resulting network. Next step is to allow them to distribute content as files.

As usual, we'll aim for the very simplest plausible solution and then improve that step-by-step. At the very least we want the following:

- An application can tell the Zyre API, "Publish this file", and provide the path to a file that exists somewhere in the file system.
- Zyre will distribute that file to all peers, both those that are on the network at that time, and those that arrive later.
- Each time an interface receives a file it tells its application, "Here is this file".

We might eventually want more discrimination, e.g., publishing to specific groups. We can add that later if it's needed. In Advanced Architecture using ZeroMQ [Chapter 7](#) we developed a file distribution system (FileMQ) designed to be plugged into ZeroMQ applications. So let's use that.

Each node is going to be a file publisher and a file subscriber. We bind the publisher to an ephemeral port (if we use the standard FileMQ port 5670, we can't run multiple interfaces on one box), and we broadcast the publisher's endpoint in the `HELLO` message, as we did for the log collector. This lets us interconnect all nodes so that all subscribers talk to all publishers.

We need to ensure that each node has its own directory for sending and receiving files (the outbox and the inbox). Again, it's so we can run multiple nodes on one box. Because we already have a unique ID per node, we just use that in the directory name.

Here's how we set up the FileMQ API when we create a new interface:

```

sprintf (self->fmq_outbox, ".outbox/%s", self->identity);
mkdir (self->fmq_outbox, 0775);

sprintf (self->fmq_inbox, ".inbox/%s", self->identity);
mkdir (self->fmq_inbox, 0775);

self->fmq_server = fmq_server_new ();
self->fmq_service = fmq_server_bind (self->fmq_server, "tcp://*:*");
fmq_server_publish (self->fmq_server, self->fmq_outbox, "/");
fmq_server_set_anonymous (self->fmq_server, true);

```

```

char publisher [32];
sprintf (publisher, "tcp://%s:%d", self->host, self->fmq_service);
zhash_update (self->headers, "X-FILEMQ", strdup (publisher));

// Client will connect as it discovers new nodes
self->fmq_client = fmq_client_new ();
fmq_client_set_inbox (self->fmq_client, self->fmq_inbox);
fmq_client_set_resync (self->fmq_client, true);
fmq_client_subscribe (self->fmq_client, "/");

```

And when we process a HELLO command, we check for the X-FILEMQ header field:

```

// If peer is a FileMQ publisher, connect to it
char *publisher = zre_msg_headers_string (msg, "X-FILEMQ", NULL);
if (publisher)
    fmq_client_connect (self->fmq_client, publisher);

```

The last thing is to expose content distribution in the Zyre API. We need two things:

- A way for the application to say, "Publish this file"
- A way for the interface to tell the application, "We received this file".

In theory, the application can publish a file just by creating a symbolic link in the outbox directory, but as we're using a hidden outbox, this is a little difficult. So we add an API method `publish`:

```

// Publish file into virtual space
void
zre_interface_publish (zre_interface_t *self,
                      char *filename, char *external)
{
    zstr_sendm (self->pipe, "PUBLISH");
    zstr_sendm (self->pipe, filename); // Real file name
    zstr_send (self->pipe, external); // Location in virtual space
}

```

The API passes this to the interface thread, which creates the file in the outbox directory so that the FileMQ server will pick it up and broadcast it. We could literally copy file data into this directory, but because FileMQ supports symbolic links, we use that instead. The file has a ".ln" extension and contains one line, which contains the actual pathname.

Finally, how do we notify the recipient that a file has arrived? The FileMQ `fmq_client` API has a message, "DELIVER", for this, so all we have to do in `zre_interface` is grab this message from the `fmq_client` API and pass it on to our own API:

```

zmsg_t *msg = fmq_client_rcv (fmq_client_handle (self->fmq_client));
zmsg_send (&msg, self->pipe);

```

This is complex code that does a lot at once. But we're only at around 10K lines of code for FileMQ and Zyre together. The most complex Zyre class, `zre_interface`, is 800 lines of code. This is compact. Message-based applications do keep their shape if you're careful to organize them properly.

8.10. Writing the Unprotocol

We have all the pieces for a formal protocol specification and it's time to put the protocol on paper. There are two reasons for this. First, to make sure that any other implementations talk to each other properly. Second, because I want to get an official port for the UDP discovery protocol and that means doing the paperwork.

Like all the other unprotocols we developed in this book, the protocol lives on the ZeroMQ RFC site (<http://rfc.zeromq.org/spec:20>). The core of the protocol specification is the ABNF grammar for the commands and fields:

```
zre-protocol    = greeting *traffic

greeting        = S:HELLO
traffic          = S:WHISPER
                  / S:SHOUT
                  / S:JOIN
                  / S:LEAVE
                  / S:PING R:PING-OK

; Greet a peer so it can connect back to us
S:HELLO          = header %x01 ipaddress mailbox groups status headers
header           = signature sequence
signature         = %xAA %xA1
sequence         = 2OCTET           ; Incremental sequence number
ipaddress        = string            ; Sender IP address
string           = size *VCHAR
size             = OCTET
mailbox          = 2OCTET           ; Sender mailbox port number
groups           = strings           ; List of groups sender is in
strings          = size *string
status           = OCTET            ; Sender group status sequence
headers          = dictionary        ; Sender header properties
dictionary       = size *key-value
key-value        = string            ; Formatted as name=value

; Send a message to a peer
S:WHISPER        = header %x02 content
content          = FRAME             ; Message content as ZeroMQ frame

; Send a message to a group
S:SHOUT          = header %x03 group content
group            = string            ; Name of group
content          = FRAME             ; Message content as ZeroMQ frame
```



```

; Join a group
S:JOIN          = header %x04 group status
status          = OCTET          ; Sender group status sequence

; Leave a group
S:LEAVE         = header %x05 group status

; Ping a peer that has gone silent
S:PING          = header %06

; Reply to a peer's ping
R:PING-OK       = header %07

```

8.11. Example Zyre Application

Let's now make a minimal example that uses Zyre to broadcast files around a distributed network. This example consists of two programs:

- A *listener* that joins the Zyre network and reports whenever it receives a file.
- A *sender* that joins a Zyre network and broadcasts exactly one file.

The listener is quite short:

```

#include <zre.h>

int main (int argc, char *argv [])
{
    zre_interface_t *interface = zre_interface_new ();
    while (true) {
        zmsg_t *incoming = zre_interface_recv (interface);
        if (!incoming)
            break;
        zmsg_dump (incoming);
        zmsg_destroy (&incoming);
    }
    zre_interface_destroy (&interface);
    return 0;
}

```

And the sender isn't much longer:

```

#include <zre.h>

int main (int argc, char *argv [])
{
    if (argc < 2) {
        puts ("Syntax: sender filename virtualname");
        return 0;
    }
}

```

```

}
printf ("Publishing %s as %s\n", argv [1], argv [2]);
zre_interface_t *interface = zre_interface_new ();
zre_interface_publish (interface, argv [1], argv [2]);
while (true) {
    zmsg_t *incoming = zre_interface_rcv (interface);
    if (!incoming)
        break;
    zmsg_dump (incoming);
    zmsg_destroy (&incoming);
}
zre_interface_destroy (&interface);
return 0;
}

```

8.12. Conclusions

Building applications for unstable decentralized networks is one of the end games for ZeroMQ. As the cost of computing falls every year, such networks become more and more common, be it consumer electronics or virtual boxes in the cloud. In this chapter, we've pulled together many of the techniques from the book to build Zyre, a framework for proximity computing over a local network. Zyre isn't unique; there are and have been many attempts to open this area for applications: ZeroConf, SLP, SSDP, UPnP, DDS. But these all seem to end up too complex or otherwise too difficult for application developers to build on.

Zyre isn't finished. Like many of the projects in this book, it's an ice breaker for others. There are some major unfinished areas, which we may address in later editions of this book or versions of the software.

- High-level APIs: the message-based API that Zyre offers now is usable but still rather more complex than I'd like for average developers. If there's one target we absolutely cannot miss, it's raw *simplicity*. This means we should build high-level APIs, in lots of languages, which hide all the messaging, and which come down to simple methods like start, join/leave group, get message, publish file, stop.
- Security: how do we build a fully decentralized security system? We might be able to leverage public key infrastructure for some work, but that requires that nodes have their own Internet access, which isn't guaranteed. The answer is, as far as we can tell, to use any existing secure peer-to-peer link (TLS, Bluetooth, perhaps NFC) to exchange a session key and use a symmetric cipher. Symmetric ciphers have their advantages and disadvantages.
- Nomadic content: how do I, as a user, manage my content across multiple devices? The Zyre + FileMQ combination might help, for local network use, but I'd like to be able to do this across the Internet as well. Are there cloud services I could use? Is there something I could make using ZeroMQ?
- Federation: how do we scale a local-area distributed application across the globe? One plausible answer is federation, which means creating clusters of clusters. If 100 nodes can join together to create a local cluster, then perhaps 100 clusters can join together to create a wide-area cluster. The challenges are then quite similar: discovery, presence, and group messaging.

Chapter 9. Postface

9.1. Tales from Out There

I asked some of the contributors to this book to tell us what they were doing with ZeroMQ. Here are their stories.

9.1.1. Rob Gagnon's Story

"We use ZeroMQ to assist in aggregating thousands of events occurring every minute across our global network of telecommunications servers so that we can accurately report and monitor for situations that require our attention. ZeroMQ made the development of the system not only easier, but faster to develop and more robust and fault-tolerant than we had originally planned in our original design.

"We're able to easily add and remove clients from the network without the loss of any message. If we need to enhance the server portion of our system, we can stop and restart it as well without having to worry about stopping all of the clients first. The built-in buffering of ZeroMQ makes this all possible."

9.1.2. Tom van Leeuwen's Story

"I was looking at creating some kind of service bus connecting all kinds of services together. There were already some products that implemented a broker, but they did not have the functionality I needed. By accident, I stumbled upon ZeroMQ, which is awesome. It's very lightweight, lean, simple and easy to follow because the guide is very complete and reads very well. I've actually implemented the Titanic pattern and the Majordomo broker with some additions (client/worker authentication and workers sending a catalog explaining what they provide and how they should be addressed).

"The beautiful thing about ZeroMQ is the fact that it is a library and not an application. You can mold it however you like and it simply puts boring things like queuing, reconnecting, TCP sockets and such to the background, making sure you can concentrate on what is important to you. I've implemented all kinds of workers/clients and the broker in Ruby, because that is the main language we use for development, but also some PHP clients to connect to the bus from existing PHP webapps. We use this service bus for cloud services, connecting all kinds of platform devices to a service bus exposing functionality for automation.

"ZeroMQ is very easy to understand and if you spend a day with the guide, you'll have good knowledge of how it works. I'm a network engineer, not a software developer, but managed to create a very nice solution for our automation needs! ZeroMQ: Thank you very much!"

9.1.3. Michael Jakl's Story

"We use ZeroMQ for distributing millions of documents per day in our distributed processing pipeline. We started out with big message queuing brokers that had their own respective issues and problems. In the quest of simplifying our architecture, we chose ZeroMQ to do the wiring. So far it had a huge impact in how our architecture scales and how easy it is to change and move the components. The plethora of language bindings lets us choose the right tool for the job without sacrificing interoperability in our system. We don't use a lot of sockets (less than 10 in our whole application), but that's all we needed to split a huge monolithic application into small independent parts.

"All in all, ZeroMQ lets me keep my sanity and helps my customers stay within budget."

9.1.4. Vadim Shalts's Story

"I am team leader in the company ActForex, which develops software for financial markets. Due to the nature of our domain, we need to process large volumes of prices quickly. In addition, it's extremely critical to minimize latency in processing orders and prices. Achieving a high throughput is not enough. Everything must be handled in a soft real time with a predictable ultra low latency per price. The system consists of multiple components exchanging messages. Each price can take a lot of processing stages, each of which increases total latency. As a consequence, low and predictable latency of messaging between components becomes a key factor of our architecture.

"We investigated different solutions to find something suitable for our needs. We tried different message brokers (RabbitMQ, ActiveMQ Apollo, Kafka), but failed to reach a low and predictable latency with any of them. In the end, we chose ZeroMQ used in conjunction with ZooKeeper for service discovery. Complex coordination with ZeroMQ requires a relatively large effort and a good understanding, as a result of the natural complexity of multithreading. We found that an external agent like ZooKeeper is better choice for service discovery and coordination while ZeroMQ can be used primarily for simple messaging. ZeroMQ fit perfectly into our architecture. It allowed us to achieve the desired latency using minimal efforts. It saved us from a bottleneck in the processing of messages and made processing time very stable and predictable.

"I can decidedly recommend ZeroMQ for solutions where low latency is important."

9.2. How This Book Happened

When I set out to write a ZeroMQ book, we were still debating the pros and cons of forks and pull requests in the ZeroMQ community. Today, for what it's worth, this argument seems settled: the "liberal" policy that we adopted for `libzmq` in early 2012 broke our dependency on a single prime author, and opened the floor to dozens of new contributors. More profoundly, it allowed us to move to a gently organic evolutionary model that was very different from the older forced-march model.

The reason I was confident this would work was that our work on the Guide had, for a year or more, shown the way. True, the text is my own work, which is perhaps as it should be. Writing is not programming. When we write, we tell a story and one doesn't want different voices telling one tale; it feels strange.

For me the real long-term value of the book is the repository of examples: about 65,000 lines of code in 24 different languages. It's partly about making ZeroMQ accessible to more people. People already refer to the Python and PHP example repositories--two of the most complete--when they want to tell others how to learn ZeroMQ. But it's also about learning programming languages.

Here's a loop of code in Tcl:

```
while {1} {
    # Process all parts of the message
    zmq message message
    frontend recv_msg message
    set more [frontend getsockopt RCVMORE]
    backend send_msg message [expr {$more?"SNDMORE":""}]
    message close
    if {!$more} {
        break ; # Last message part
    }
}
```

And here's the same loop in Lua:

```
while true do
    -- Process all parts of the message
    local msg = frontend:recv()
    if (frontend:getopt(zmq.RCVMORE) == 1) then
        backend:send(msg, zmq.SNDMORE)
    else
        backend:send(msg, 0)
        break;          -- Last message part
    end
end
```

And this particular example (`rrbroker`) exists in C#, C++, CL, Clojure, Erlang, F#, Go, Haskell, Haxe, Java, Lua, Node.js, Perl, PHP, Python, Ruby, Scala, Tcl, and of course C. This code base, all provided as open source under the MIT/X11 license, may form the basis for other books or projects.

But what this collection of translations says most profoundly is this: the language you choose is a detail, even a distraction. The power of ZeroMQ lies in the patterns it gives you and lets you build, and these transcend the comings and goings of languages. My goal as a software and social architect is to build structures that can last generations. There seems no point in aiming for mere decades.

9.3. Removing Friction

I'll explain the technical tool chain we used in terms of the friction we removed. In this book we're telling a story and the goal is to reach as many people as possible, as cheaply and smoothly as we can.

The core idea was to host the text and examples on GitHub and make it easy for anyone to contribute. It turned out to be more complex than that, however.

Let's start with the division of labor. I'm a good writer and can produce endless amounts of decent text quickly. But what was impossible for me was to provide the examples in other languages. Because the core ZeroMQ API is in C, it seemed logical to write the original examples in C. Also, C is a neutral choice; it's perhaps the only language that doesn't create strong emotions.

How to encourage people to make translations of the examples? We tried a few approaches and finally what worked best was to offer a "choose your language" link on every single example in the text, which took people either to the translation or to a page explaining how they could contribute. The way it usually works is that as people learn ZeroMQ in their preferred language, they contribute a handful of translations or fixes to the existing ones.

At the same time, I noticed a few people quite determinedly translating *every single* example. This was mainly binding authors who realized that the examples were a great way to encourage people to use their bindings. For their efforts, I extended the scripts to produce language-specific versions of the book. Instead of including the C code, we'd include the Python, or PHP code. Lua and Haxe also got their dedicated versions.

Once we have an idea of who works on what, we know how to structure the work itself. It's clear that to write and test an example, what you want to work on is *source code*. So we import this source code when we build the book, and that's how we make language-specific versions.

I like to write in a plain text format. It's fast and works well with source control systems like git. Because the main platform for our websites is Wikidot, I write using Wikidot's very readable markup format.

At least in the first chapters, it was important to draw pictures to explain the flow of messages between peers. Making diagrams by hand is a lot of work, and when we want to get final output in different formats, image conversion becomes a chore. I started with Dita, which turns text diagrams into PNGs, then later switched to asciitovg, which produces SVG files, which are rather better. Since the figures are text diagrams, embedded in the prose, it's remarkably easy to work with them.

By now you'll realize that the toolchain we use is highly customized, though it uses a lot of external tools. All are available on Ubuntu, which is a mercy, and the whole custom toolchain is in the zguide repository in the bin subdirectory.

Let's walk through the editing and publishing process. Here is how we produce the online version:

```
bin/buildguide
```

Which works as follows:

- The original text sits in a series of text files (one per chapter).
- The examples sit in the examples subdirectory, classified per language.
- We take the text and process this using a custom Perl script, `mkwikidot`, into a set of Wikidot-ready files.
- We do this for each of the languages that get their own version.
- We extract the graphics and call `asciitosvg` and `rasterize` on each one to produce image files, which we store in the images subdirectory.
- We extract inline listings (which are not translated) and stores these in the listings subdirectory.
- We use `pygmentize` on each example and listing to create a marked-up page in Wikidot format.
- We upload all changed files to the online wiki using the Wikidot API.

Doing this from scratch takes a while. So we store the SHA1 signatures of every image, listing, example, and text file, and only process and upload changes, and that makes it easy to publish a new version of the text when people make new contributions.

To produce the PDF and Epub formats, we do the following:

```
bin/buildpdfs
```

Which works as follows:

- We use the custom `mkdocbook` Perl program on the input files to produce a DocBook output.
- We push the DocBook format through `docbook2ps` and `ps2pdf` to create clean PDFs in each language.
- We push the DocBook format through `db2epub` to create Epub books and in each language.
- We upload the PDFs to the public wiki using the Wikidot API.

When creating a community project, it's important to lower the "change latency", which is the time it takes for people to see their work live or, at least, to see that you've accepted their pull request. If that is more than a day or two, you've often lost your contributor's interest.

9.4. Licensing

I want people to reuse this text in their own work: in presentations, articles, and even other books. However, the deal is that if they remix my work, others can remix theirs. I'd like credit, and have no argument against others making money from their remixes. Thus, the text is licensed under cc-by-sa.

For the examples, we started with GPL, but it rapidly became clear this wasn't workable. The point of examples is to give people reusable code fragments so they will use ZeroMQ more widely, and if these are GPL, that won't happen. We switched to MIT/X11, even for the larger and more complex examples that conceivably would work as LGPL.

However, when we started turning the examples into standalone projects (as with Majordomo), we used the LGPL. Again, remixability trumps dissemination. Licenses are tools; use them with intent, not ideology.