



## 7. Chapter: Analysis of frequency dependent structures – 2<sup>nd</sup> order filters

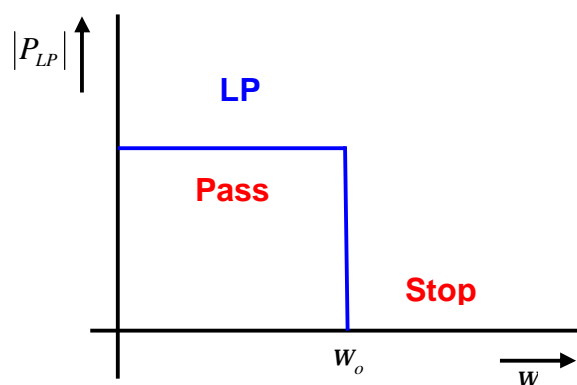
	<b>Time of study:</b> 6 hours
	<b>Goals: the student should be able to</b>
	<ul style="list-style-type: none"><li>• define ideal transfer functions of 2<sup>nd</sup> order filters: Low Pass (LP); High Pass (HP); Band Pass (BP) and Band Reject (BR; band stop, notch)</li><li>• define basic properties of common approximation functions (Butterworth, Chebyshev, Bessel)</li><li>• analyze 2<sup>nd</sup> order filter properties – with an ideal amplifiers</li><li>• judge an influence of real amplifier properties on LP filters</li></ul>



### Text

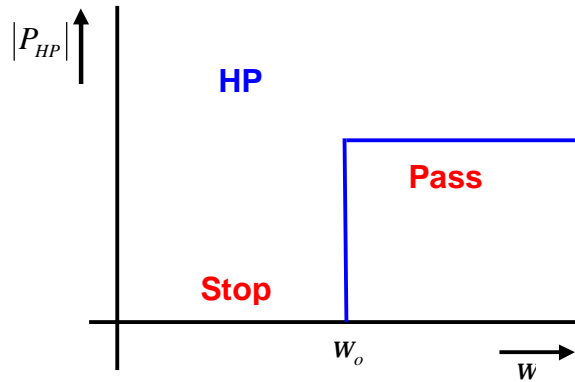
Filters are classified according to the functions they are to perform, in terms of ranges of frequencies, as *pass bands* and *stop bands*.

**A LOWPASS (LP)** filter characteristic is one in which the pass band extends from  $\omega = 0$  to  $\omega = \omega_0$ , where  $\omega_0$  is known as the *cutoff frequency* – fig. 1.



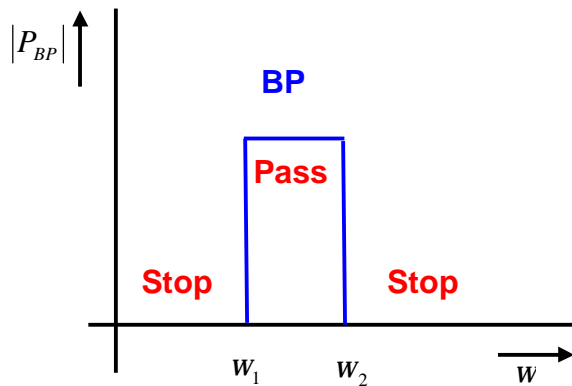
**Fig. 1** Magnitude response  $|P_{LP}|$  of an ideal lowpass-brick wall

A **HIGHPASS (HP)** filter is the complement to the lowpass filter in that the frequency range from 0 to  $\omega_0$  is a stop band, while from  $\omega_0$  to infinity is a pass band – fig. 2.



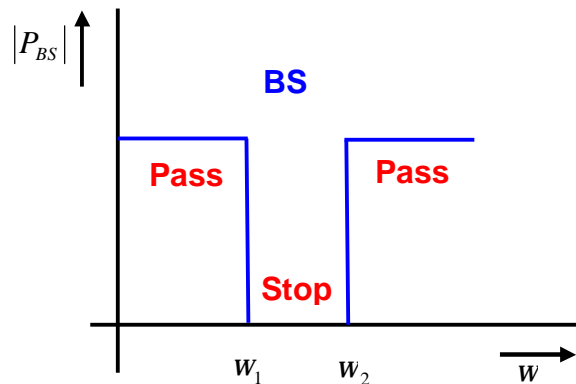
**Fig. 2** Magnitude response  $|P_{HP}|$  of an ideal highpass-brick wall

A **BANDPASS (BP)** filter is one which frequencies extending from  $\omega_1$  to  $\omega_2$  are passed, while other frequencies are stopped – fig. 3.



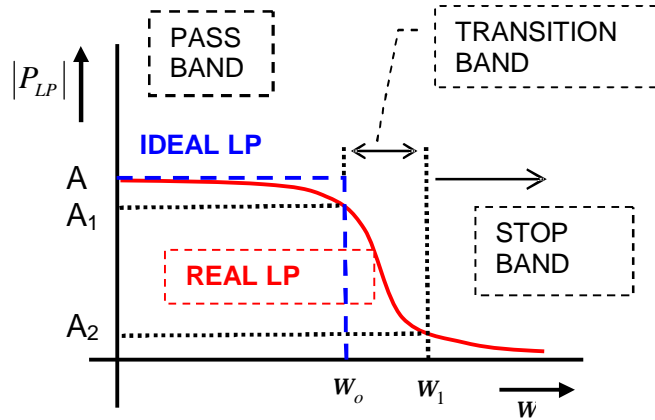
**Fig. 3** Magnitude response  $|P_{BP}|$  of an ideal bandpass-brick wall

A **BANDSTOP (BS)** filter is the complement of the bandpass filter where the frequencies from  $\omega_1$  to  $\omega_2$  are stopped and others are passed – fig. 3. These filters are sometimes known as *notch* filters or as *reject* filters.



**Fig. 4** Magnitude response  $|P_{BS}|$  of an ideal bandstop-brick wall

It is not possible to realize the ideal characteristic above with a finite number of elements (it needs infinite number of elements). Realistic LP characteristic is shown in fig. 5. The sharpness of the transition from stop band to pass band can be controlled to some extent in the design of the filters.



**Fig. 5** Magnitude response  $|P_{LP}|$  of a real lowpass

**A PASS BAND** – the magnitude of the transfer function  $|P_{LP}|$  is always greater than a value designated  $A_1$  [the *attenuation*  $a_1 = 20 \log(A/A_1)$  is less than a value designated as  $a_{\max}$  ].

**A STOP BAND** – the magnitude of the transfer function  $|P_{LP}|$  is always less than a value designated  $A_2$  [the *attenuation*  $a_2 = 20 \log(A/A_2)$  is greater than a value designated as  $a_{\min}$  ].

**A TRANSITION BAND** – a band of frequencies between the stop band and pass band.

*The same definitions are analogously valid for the other above described filter types.*

### Approximation LP normalized functions – general – for a cascade realization:

fig. 6, tab. 1, 2, 3.

*Even  $n$  (order  $n = 2, 4, 6, \dots$ ) – cascade realization by means  $n/2$  second order filters.*

$$P_{LP}(s) = \prod_{k=1}^{n/2} A_k \frac{b_k}{s^2 + a_k s + b_k}$$

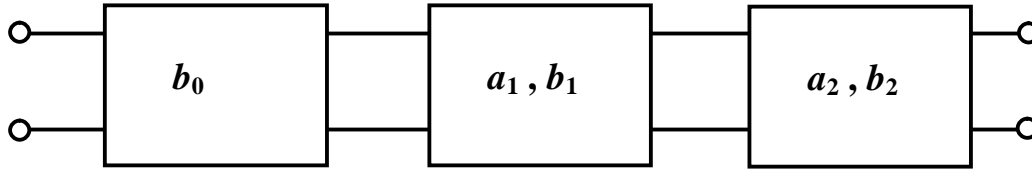
*Odd  $n$  (order  $n = 1, 3, 5, \dots$ ) – cascade realization by means one first order filter and  $(n-1)/2$  second order filters.*

$$P_{LP}(s) = \frac{A_0}{s + b_0} \times \prod_{k=1}^{(n-1)/2} A_k \frac{b_k}{s^2 + a_k s + b_k}$$

If we need denormalized LP we substitute  $s = p/w_0$ .

If we need denormalized HP we substitute  $s = w_0/p$ .

*Here  $\omega_0$  is generally the “all filter frequency”.*



**Fig. 6** An example of cascade filter realization –  $n = 5$  (5<sup>th</sup> order filter)

Three commonly used filter types (approximation) are:

- Butterworth
- Chebyshev
- Bessel

### Butterworth LP (maximally flat magnitude); $b_k = 1$

$$a_k = 2 \cdot \sin \frac{(2k-1)p}{2n}; \quad Q_k = 1/a_k \quad \text{- a quality factor}$$

This filter has the flattest possible pass-band magnitude response. Attenuation is – 3 dB at the design cutoff frequency, always. Attenuation above the cutoff frequency is a moderately steep 20-dB per decade per pole (per “every one order”) – fig.7. The pulse response of the Butterworth filter has moderate overshoot and ringing.

$$|P_{LP}| = 1 / \sqrt{1 + (w/w_0)^{2n}}; \quad n - \text{Given number of poles (filter order)}$$

$$|P_{LP}(w_0)| = 1 / \sqrt{1 + (1)^{2n}} = 1 / \sqrt{2} \Rightarrow |P_{LP}(w_0)|_{dB} = 20 \cdot \log(2)^{-0.5} = -3 \text{ dB} \quad \text{- always}$$

$$|P_{LP}(w \geq w_0)| \cong 1 / \sqrt{(w/w_0)^{2n}} = (w/w_0)^{-n} \Rightarrow$$

$$|P_{LP}(w \geq w_0)|_{dB} = -n \cdot 20 \cdot \log(w/w_0) \Rightarrow \text{slope is } -n \times 20 \text{ dB/dec}$$

### Approximation LP function – for cascade realization

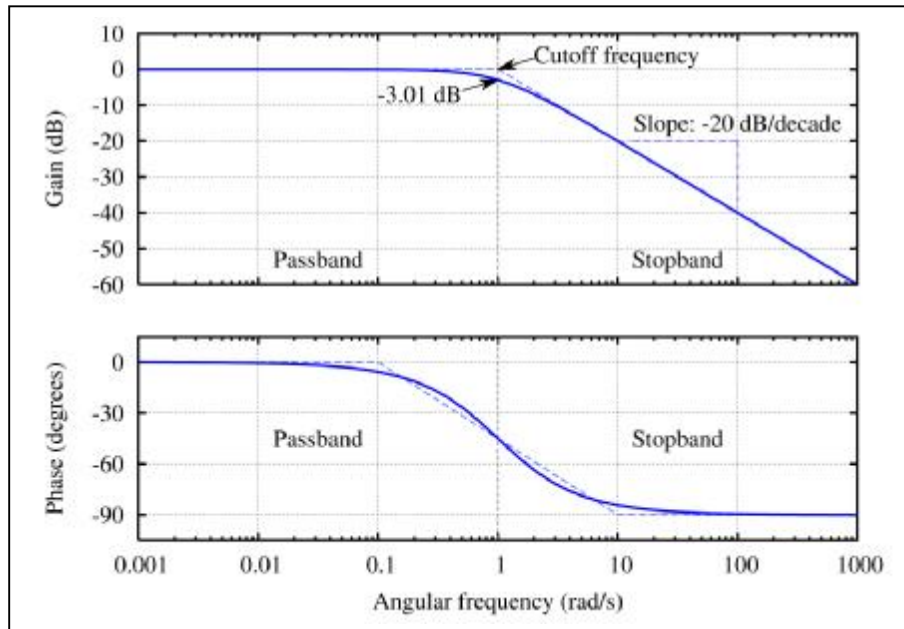
*Even  $n$  (order  $n = 2, 4, 6, \dots$ ) – cascade realization by means  $n/2$  second order filters.*

$$P_{LP}(p) = P_{LP}(s = p/w_0) = \prod_{k=1}^{n/2} \frac{A_k}{(p/w_0)^2 + a_k(p/w_0) + 1}$$

$$P_{LP}(p) = \prod_{k=1}^{n/2} \frac{A_k w_0^2}{p^2 + a_k w_0 p + w_0^2} = \prod_{k=1}^{n/2} \frac{A_k w_0^2}{p^2 + p w_0 / Q_k + w_0^2}$$

*Odd  $n$  (order  $n = 1, 3, 5, \dots$ ) – cascade realization by means one first order filter and  $(n-1)/2$  second order filters – analogously we get*

$$P_{LP}(p) = \frac{A_0 w_0}{p + w_0} \times \prod_{k=1}^{(n-1)/2} \frac{A_k w_0^2}{p^2 + a_k w_0 p + w_0^2}$$



**Fig. 7** The 1<sup>st</sup> order Butterworth lowpass

Example 1:

Derive  $a_1$  and  $a_2$  if you need Butterworth LP, the 4<sup>th</sup> order.

*Solution:*

It is even  $n = 4$ , thus  $k = 1$  to  $n/2 = 1$  to 2, thus

$$k = 1: a_1 = 2 \cdot \sin \frac{(2k-1)p}{2n} = 2 \cdot \sin(p/8) = 0,7653668$$

$$k = 2: a_2 = 2 \cdot \sin \frac{(2 \cdot 2 - 1)p}{2n} = 2 \cdot \sin(3p/8) = 1,847759$$

n	$b_0$	$a_1$	$b_1$	$a_2$	$b_2$
2	-	1,414 214	1, 000 000	-	-
3	1, 000 000	1, 000 000	1, 000 000	-	-
4	-	0,765 367	1, 000 000	1,847 759	1, 000 000
5	1, 000000	0,618 034	1, 000 000	1,618 034	1, 000 000

Tab.1: Butterworth filter

**Determining needed  $n$  for the Butterworth lowpass**

To determine  $n$ , we start with equation  $|P_{LP}| = A / \sqrt{1 + (w/w_0)^{2n}}$  - see fig. 5. We require  $A(w) \leq A_2$  if  $w \geq w_1$ . Attenuation on the frequency  $w_1$  is  $a = a_2 = 20 \cdot \log(A/A_2)$  (in dB). Further it means that  $A_2 = |P_{LP}(w = w_1)| = A / \sqrt{1 + (w_1/w_0)^{2n}}$ . We substitute value of the  $A_2$  and we get

$$a = 20 \log(A / A_2) = 20 \log \left( \frac{A}{A / \sqrt{1 + (w_1 / w_0)^{2n}}} \right) = 10 \log(1 + (w_1 / w_0)^{2n}) \Rightarrow$$

$$a / 10 = \log(1 + (w_1 / w_0)^{2n}) \Rightarrow 10^{a/10} = 1 + (w_1 / w_0)^{2n} \Rightarrow$$

$$10^{a/10} - 1 = (w_1 / w_0)^{2n} \Rightarrow \log(10^{a/10} - 1) = 2 \cdot n \cdot \log(w_1 / w_0) \Rightarrow$$

$$n = \frac{\log(10^{a/10} - 1)}{2 \cdot \log(w_1 / w_0)}$$

*This is the needed Butterworth filter order (n) if we require the attenuation value a on the frequency  $\omega_1$  – fig. 5.*

### Butterworth HP (maximally flat magnitude) – fig. 8

If we need Butterworth HP models we just substitute  $s \rightarrow w_0 / p$ . We get

$$|P_{HP}| = 1 / \sqrt{1 + (w_0 / w)^{2n}} ; n - \text{Given number of poles (filter order)}$$

$$|P_{HP}(w_0)| = 1 / \sqrt{1 + (1)^{2n}} = 1 / \sqrt{2} \Rightarrow |P_{LP}(w_0)|_{dB} = 20 \cdot \log(2)^{-0.5} = -3 \text{ dB} - \text{always}$$

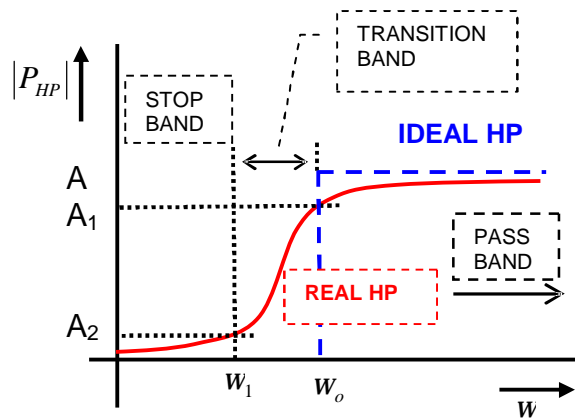
$$|P_{HP}(w_0 \geq w)| \cong 1 / \sqrt{(w_0 / w)^{2n}} = (w / w_0)^n \Rightarrow$$

$$|P_{HP}(w_0 \geq w)|_{dB} = +n \cdot 20 \cdot \log(w / w_0) \Rightarrow \text{slope is } +n \times 20 \text{ dB / dec}$$

$$P_{HP}(p) = P_{HP}(s = w_0 / p) = \prod_{k=1}^{n/2} \frac{A_k}{(w_0 / p)^2 + a_k (w_0 / p) + 1} = \prod_{k=1}^{n/2} \frac{A_k \cdot p^2}{p^2 + a_k p + w_0^2}$$

and accordingly

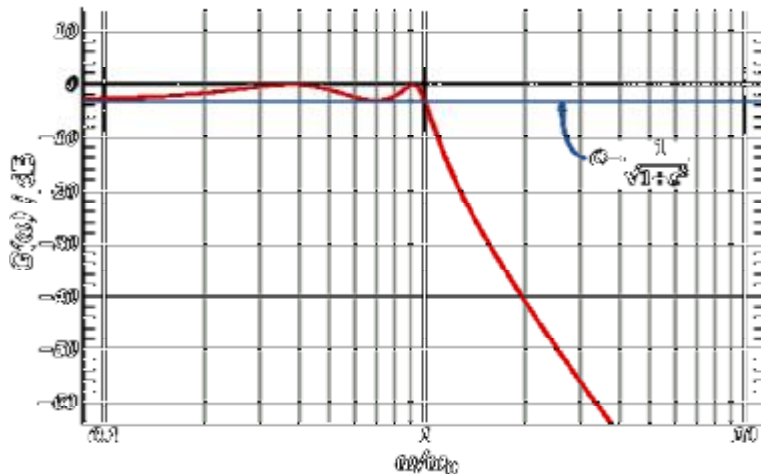
$$P_{LP}(p) = \frac{A_0 \cdot p}{p + 1} \times \prod_{k=1}^{(n-1)/2} \frac{A_k \cdot p^2}{p^2 + a_k p + w_0^2}$$



**Fig. 8** Magnitude response  $|P_{HP}|$  of a real highpass

### Chebyshev (equal ripple magnitude), tab 2a, b, c, d

Chebyshev cutoff frequency is defined as the frequency at which the response falls below the ripple band. For a given number of poles (filter order), a steeper cutoff can be achieved by allowing more bandpass ripple – fig. 9. The Chebyshev has even more ringing in its pulse response than the Butterworth.



**Fig. 9** An example of the Chebyshev magnitude response

n	$b_0$	$a_1$	$b_1$	$a_2$	$b_2$
2	-	1,425 625	1,516 203	-	-
3	0,626 456	0,626 456	1,142 448	-	-
4	-	0,350 706	1,063 519	0,846 680	0,356 412
5	0,362 320	0,223 926	1,035 784	0,586 245	0,476 676

Tab.2a: Chebyshev filter – bandpass ripple  $G = 0,5$  dB

n	$b_0$	$a_1$	$b_1$	$a_2$	$b_2$
2	-	1,097 734	1,102 510	-	-
3	0,494 171	0,494 171	0,994 205	-	-
4	-	0,279 072	0,986 505	0,673 739	0,279 398
5	0,289 493	0,178 917	0,988 315	0,468 410	0,429 298

Tab.2b Chebyshev filter – bandpass ripple  $G = 1$  dB

n	$b_0$	$a_1$	$b_1$	$a_2$	$b_2$
2	-	0,803 816	0,823 060	-	-
3	0,368 911	0,368 911	0,886 095	-	-
4	-	0,209 775	0,928 675	0,506 440	0,221 568
5	0,218 308	0,134 922	0,952 167	0,353 230	0,393 150

Tab.2c Chebyshev filter – bandpass ripple  $G = 2$  dB

### Example 2:

Derive denormalized *Chebyshev* HP transfer functions, the 3<sup>th</sup> order, bandpass ripple 2 dB  
*Solution:*

We use Tab. 2c and substitution  $s = w_0 / p$  :

$$\begin{aligned}
 P_{HP}(p) &= P_{HP}(s = w_0 / p) = \frac{A_0 b_0}{w_0 / p + b_0} \times \prod_{k=1}^{(3-1)/2} \frac{A_k b_k}{w_0^2 / p^2 + a_k w_0 / p + b_k} = \\
 &= \frac{A_0 p}{p + w_0 / b_0} \cdot \frac{A_1 b_1}{w_0^2 / p^2 + a_1 w_0 / p + b_1} = \frac{A_0 p}{p + w_0 / b_0} \cdot \frac{A_1 \cdot p^2}{p^2 + w_0 p a_1 / b_1 + w_0^2 / b_1} = \\
 &= \frac{A_0 p}{p + w_0 / 0,368911} \cdot \frac{A_1 \cdot p^2}{p^2 + w_0 p \cdot 0,368911 / 0,886095 + w_0^2 / 0,886095} = \\
 &= \frac{A_0 p}{p + w_0 \cdot 2,7107} \cdot \frac{A_1 \cdot p^2}{p^2 + w_0 p \cdot 0,4163 + w_0^2 \cdot 1,1285}
 \end{aligned}$$

Thus we must realize the cascade connection of the 1<sup>st</sup> order HP filter with a partial characteristic frequency  $w_p = w_0 / b_0 = w_0 \cdot 2,7107$  and of the 2<sup>nd</sup> order HP filter with a partial characteristic frequency  $w_p = \sqrt{w_0^2 / b_1} = w_0 / \sqrt{b_1} = w_0 \cdot 1,0623$  because generally model of the second order denominator is always  $p^2 + p \cdot w_p / Q_p + w_p^2$  - its quality factor we get from equation  $w_0 a_1 / b_1 = w_p / Q_p$  thus

$$Q_p = w_p b_1 / (w_0 a_1) = (w_0 / \sqrt{b_1}) \cdot b_1 / (w_0 a_1) = \sqrt{b_1} / a_1 = 2,5516$$


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It is valid that [3-dB frequency of LP Chebyshev](#) filters is

$$\frac{w_3}{w_0} = \cosh\left(\frac{\arg \cosh(1/e)}{n}\right); \arg \cosh x = \ln(x + \sqrt{x^2 - 1})$$

where we know that bandpass ripple (in dB) is  $G = 20 \cdot \log \sqrt{1 + e^2} = 10 \cdot \log(1 + e^2)$ .

For [HP Chebyshev](#) is valid reciprocal formula  $\frac{w_0}{w_3} = \cosh\left(\frac{\arg \cosh(1/e)}{n}\right)$

### Example 3:

Determine the 3-dB frequency of the:

- 3<sup>rd</sup> order LP Chebyshev filter with bandpass ripple  $G = 1$  dB.
- 5<sup>th</sup> order LP Chebyshev filter with bandpass ripple  $G = 0,5$  dB.
- 3<sup>rd</sup> order HP Chebyshev filter with bandpass ripple  $G = 1$  dB.

*Solution:*

$$\text{Ad a) } G = 10 \cdot \log(1 + e^2) \Rightarrow e = \sqrt{10^{G/10} - 1} \Rightarrow e = \sqrt{10^{0,1} - 1} = 0,5088$$

$$\frac{w_3}{w_0} = \cosh\left(\frac{\arg \cosh(1/0,5088)}{3}\right) = \cosh\left(\frac{\arg \cosh 1,96541}{3}\right) = \cosh\left(\frac{\ln(3,6574)}{3}\right) = 1,095$$



Ad b)  $G = 10 \cdot \log(1 + e^2) \Rightarrow e = \sqrt{10^{G/10} - 1} \Rightarrow e = \sqrt{10^{0,05} - 1} = 0,3493$

$$\frac{w_3}{w_0} = \cosh\left(\frac{\arg \cosh(1/0,3493)}{5}\right) = \cosh\left(\frac{\arg \cosh 2,8628}{5}\right) = \cosh\left(\frac{\ln(5,5452)}{5}\right) = 1,059$$

Ad c) We do reciprocal calculus for the HP – we use result of a) solution:

$$\frac{w_0}{w_3} = 1,095 \Rightarrow w_3 = w_0 / 1,095$$

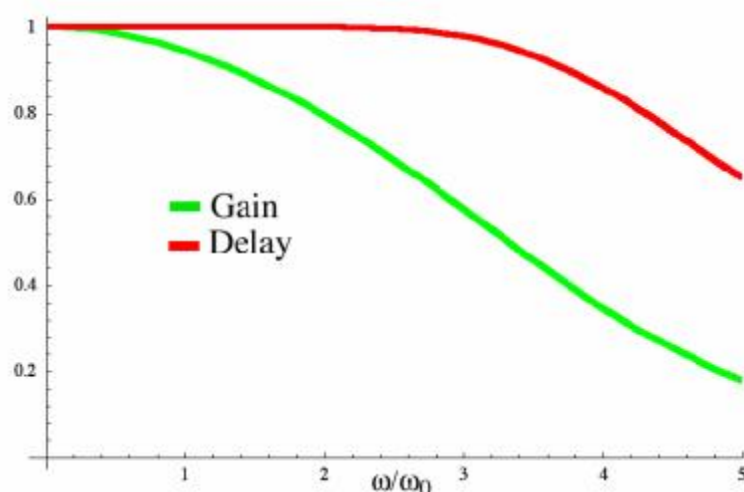
See Tab. 2d, too.

Chebyshev LP filter order n	bandpass ripple G		
	0,5 dB	1 dB	2 dB
2	1,390	1,218	1,074
3	1,168	1,095	1,033
4	1,093	1,053	1,018
5	1,059	1,034	1,012
	$\omega_3 / \omega_0$		

Tab. 2d 3-dB frequencies of some Chebyshev LP filters (HP is reciprocal)

### Bessel (maximally flat time delay; also called Thomson), tab 3a, b

Due to its linear phase response, this filter has excellent pulse response (minimal overshoot and ringing) – its group delay  $t = -dj / dw$  is constant, ideally  $t = 1/w_0$ , really see fig.10 -  $t(w = w_0) = 0,9231/w_0$  for  $n = 2$ ;  $t(w = w_0) = 0,9964/w_0$  for  $n = 3$ ; and  $t(w = w_0) = 0,9999/w_0$  for  $n = 4$ . For a given number of poles (filter order), its magnitude response is neither as flat, nor its attenuation beyond the -3 – dB cutoff frequency as steep as the Butterworth.



**Fig. 10** An example of the Bessel magnitude response and group delay

n	$b_0$	$a_1$	$b_1$	$a_2$	$b_2$
2	-	3,000 000	3,000 000	-	-
3	2,322 185	3,677 815	6,459 433	-	-
4	-	5,792 421	9,140 131	4,207 579	11,487 800
5	3,646 739	6,703 913	14,272 481	4,649 349	18,156 315

Tab.3a Bessel filter

Denormalized Bessel transfer functions we get analogously as above.

Example 4:

Derive denormalized *Bessel* LP transfer functions, the 4<sup>th</sup> order.

*Solution:*

We use Tab. 3 and substitution  $s = p / w_0$  :

$$\begin{aligned}
 P_{LP}(p) &= P_{LP}(s = p / w_0) = \prod_{k=1}^2 A_k \frac{b_k}{(p / w_0)^2 + a_k p / w_0 + b_k} = \\
 &= \frac{A_1 b_1 w_0^2}{p^2 + p a_1 w_0 + b_1 w_0^2} \times \frac{A_2 b_2 w_0^2}{p^2 + p a_2 w_0 + b_2 w_0^2} = \\
 &= \frac{A_1 \cdot 9,140131 \cdot w_0^2}{p^2 + p \cdot 5,792421 \cdot w_0 + 9,140131 \cdot w_0^2} \times \frac{A_1 \cdot 11,487800 \cdot w_0^2}{p^2 + p \cdot 4,207579 \cdot w_0 + 11,487800 \cdot w_0^2}
 \end{aligned}$$

Thus we must realize the cascade connection of two 2<sup>nd</sup> orders LP filters. A generally model of the second order denominator is always  $p^2 + p \cdot w_p / Q_p + w_p^2$  thus:

*The first second order filter:*  $w_{p1} = w_0 \sqrt{b_1} = w_0 \cdot 3,0323$  ;

$$a_1 w_0 = w_{p1} / Q_{p1} \Rightarrow Q_{p1} = \sqrt{b_1} / a_1 = 0,5219$$

*The second second order filter:*  $w_{p2} = w_0 \sqrt{b_2} = w_0 \cdot 3,3894$  ;

$$a_2 w_0 = w_{p2} / Q_{p2} \Rightarrow Q_{p2} = \sqrt{b_2} / a_2 = 0,8055$$

[3-dB frequencies of LP Bessel](#) filters are in Tab. 3b. For the HP Bessel filter we use reciprocal data.

filter order n	2	3	4	5
$w_3 / w_0$	1,36	1,75	2,11	2,42

Tab.3b 3-dB frequencies of Bessel filters

## Summary

### Butterworth Response

*Advantages:* It provides maximally flat magnitude response in the pass-band. It has good all-around performance. Its pulse response is better than Chebyshev. Its rate of attenuation is better than that of Bessel.

*Disadvantages:* Some overshoot and ringing is exhibited in step response.

### Chebyshev Response

*Advantages:* It provides better attenuation beyond the pass-band than Butterworth.

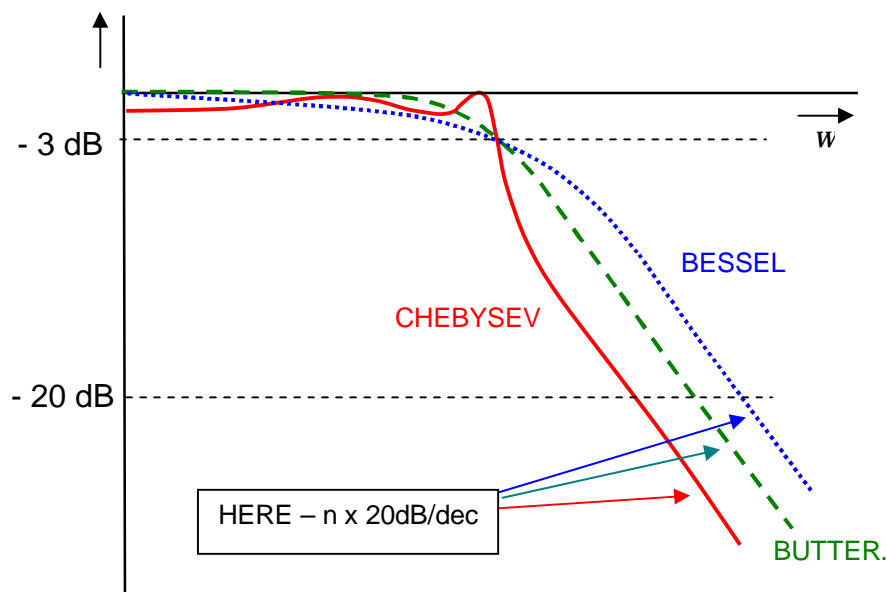
*Disadvantages:* Ripple in pass-band may be objectionable. There is considerable ringing in step response.

### Bessel Response

*Advantages:* It provides best step response: very little overshoot or ringing.

*Disadvantages:* It exhibits slower rate of attenuation beyond the pass-band than Butterworth.

See fig. 11, too.



**Fig. 11** Qualitative comparison of filter magnitudes for the same 3 dB frequency and the same filter order n

## Basic low-pass network functions

Single pole (1<sup>st</sup> order LP) – the single-pole low-pass transfer function in the complex frequency variables is

$$\hat{P}_{LP1}(p = jw) = \frac{A_0 w_p}{p + w_p}$$

$w_p$  - is just the characteristics frequency of this 1<sup>st</sup> pole LP (not of the “all filter” if we use cascade realization).

The **magnitude of the transfer** function for the response to sinusoidal steady-state excitation is

$$P_{LP1}(w) = \sqrt{\frac{A_0^2 w_p^2}{w^2 + w_p^2}}$$

The **phase is**

$$j(w) = \arg \frac{A_0 w_p}{jw + w_p} = -\arctg(w/w_p)$$

The **group delay** is generally (always)  $t = -dj(w)/dw$

**Complex Conjugate Pole Pair (2<sup>nd</sup> order LP)** – the complex-conjugate-pole-pair LP transfer function and the sinusoidal steady-state magnitude function are

$$\hat{P}_{LP2}(p = jw) = A_0 \cdot \frac{w_p^2}{p^2 + aw_p p + w_p^2} = A_0 \cdot \frac{w_p^2}{p^2 + pw_p/Q + w_p^2}$$

$A_0; a = 1/Q; w_p$  - just the properties of this 2<sup>nd</sup> pole LP (not of the “all filter” if we use cascade realization).

$$P_{LP2}(w) = \sqrt{\frac{A_0^2 w_p^4}{(w_p^2 - w^2)^2 + (aw_p w)^2}} = \left| x = \frac{w}{w_p} \right| = \frac{A_0}{\sqrt{(1 - x^2)^2 + (ax)^2}}$$

Differentiation with respect to  $x$  gives (it is enough to use denominator)

$$\frac{d}{dx} [(1 - x^2)^2 + (ax)^2] = 2 \cdot (1 - x^2)(-2x) + 2a^2 x = 2x \cdot (2x^2 - 2 + a^2)$$

Now we can determine that magnitude maximum is if (function extreme)

$$(2x_m^2 - 2 + a^2) = 0$$

So we get

$$x_m^2 = 1 - a^2/2 \Rightarrow \left( \frac{w_m}{w_p} \right)^2 = 1 - a^2/2 \Rightarrow w_m = w_p \cdot \sqrt{1 - a^2/2} = w_p \cdot \sqrt{1 - 1/(2Q^2)}$$

The ***magnitude has a peak*** at  $w_m = w_p \cdot \sqrt{1 - a^2/2} = w_p \cdot \sqrt{1 - 1/(2Q^2)}$  for  $a \leq \sqrt{2}$ , ***value of this peak*** is (fig.12)

$$P_{LP2}(w_m) = P_{LP2MAX} |x = x_m| = \frac{A_0}{\sqrt{(1-x_m^2)^2 + (ax_m)^2}} = \frac{A_0}{\sqrt{(1-(1-a^2/2)^2 + a^2(1-a^2/2))}} =$$

$$= \frac{A_0}{a \cdot \sqrt{1-a^2/4}} = \frac{A_0 \cdot Q}{\sqrt{1-1/(4Q^2)}}$$

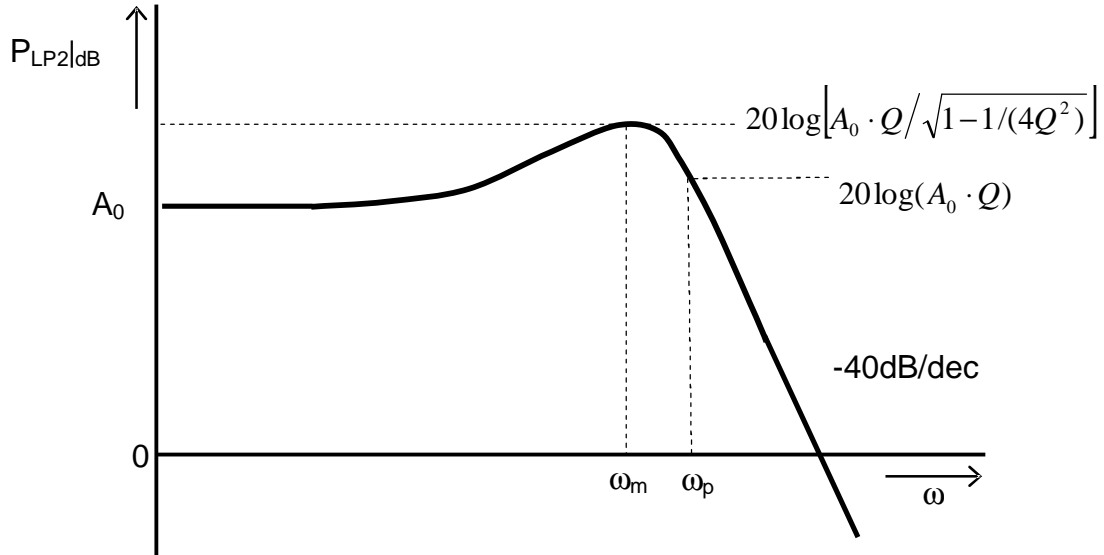


Fig. 12 Magnitude of the LP2 in dB:  $P_{LP2}|_{dB} = 20 \log P_{LP2}$

### Basic high-pass network functions

Single pole (1<sup>st</sup> order HP) – the single-pole high-pass transfer function in the complex frequency variables is

$$\hat{P}_{HP1}(p = jw) = \frac{A_0 p}{p + w_p}$$

$w_p$  - is just the characteristics frequency of this 1<sup>st</sup> pole HP (not of the “all filter” if we use cascade realization).

The magnitude of the transfer function for the response to sinusoidal steady-state excitation is

$$P_{HP1}(w) = \sqrt{\frac{A_0^2 w^2}{w^2 + w_p^2}}$$

The phase is

$$j(w) = \arg \frac{A_0 jw}{jw + w_p} = p/2 - \arctg(w/w_p)$$

The group delay is generally (always)  $t = -dj(w)/dw$

**Complex Conjugate Pole Pair (2<sup>nd</sup> order HP)** – the complex-conjugate-pole-pair HP transfer function and the sinusoidal steady-state magnitude are

$$\hat{P}_{HP2}(p = j\omega) = A_0 \cdot \frac{p^2}{p^2 + a\omega_p p + \omega_p^2} = A_0 \cdot \frac{p^2}{p^2 + p\omega_p / Q + \omega_p^2}$$

$A_0$ ;  $a = 1/Q$ ;  $\omega_p$  - just the properties of this 2<sup>nd</sup> pole HP (not of the “all filter” if we use cascade realization).

$$P_{HP2}(\omega) = \sqrt{\frac{A_0^2 \omega^4}{(\omega_p^2 - \omega^2)^2 + (a\omega_p \omega)^2}} = \left| x = \frac{\omega_p}{\omega} \right| = \frac{A_0}{\sqrt{(x^2 - 1)^2 + (ax)^2}} = \frac{A_0}{\sqrt{(1 - x^2)^2 + (ax)^2}}$$

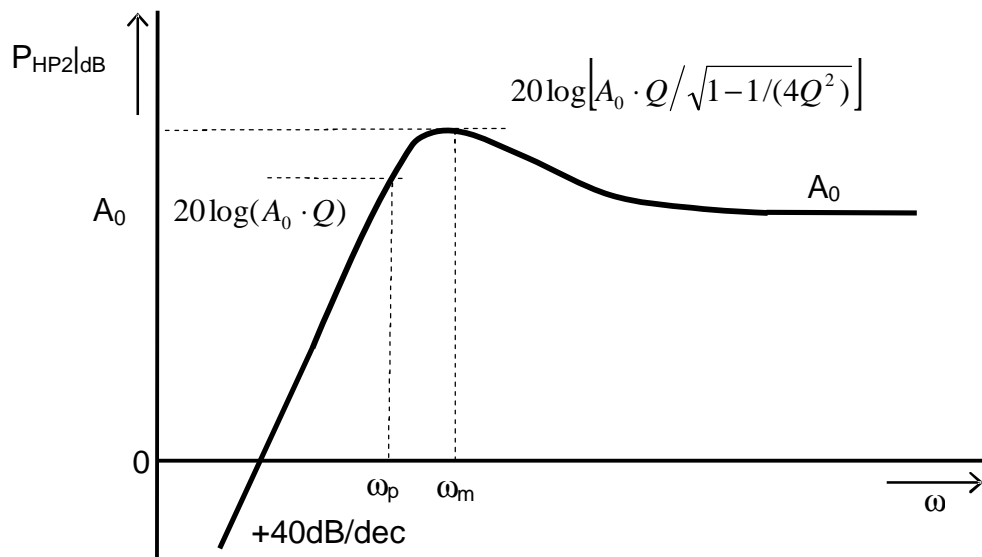
Now we easy get again that

$$x_m^2 = 1 - a^2 / 2 \Rightarrow \left( \frac{\omega_p}{\omega_m} \right)^2 = 1 - a^2 / 2 \Rightarrow \omega_m = \omega_p / \sqrt{1 - a^2 / 2} = \omega_p / \sqrt{1 - 1/(2Q^2)}$$

The **magnitude has a peak** at  $\omega_m = \omega_p / \sqrt{1 - a^2 / 2} = \omega_p / \sqrt{1 - 1/(2Q^2)}$  for  $a \leq \sqrt{2}$ , **value of this peak** is (fig.13)

$$P_{HP2}(\omega_m) = P_{HP2MAX} \left| x = x_m \right| = \frac{A_0}{\sqrt{(1 - x_m^2)^2 + (ax_m)^2}} = \frac{A_0}{\sqrt{(1 - (1 - a^2 / 2))^2 + a^2(1 - a^2 / 2)}} =$$

$$= \frac{A_0}{a \cdot \sqrt{1 - a^2 / 4}} = \frac{A_0 \cdot Q}{\sqrt{1 - 1/(4Q^2)}}$$



**Fig. 13 Magnitude of the HP2 in dB:**  $P_{HP2}|_{dB} = 20 \log P_{HP2}$

*Properties described above we use for tuning partial active filter stages to get needed properties of the “all cascade filter connection”.*

### Band-pass network function (2<sup>nd</sup> order), fig. 14

The complex-conjugate-pole-pair BP transfer function and the sinusoidal steady-state magnitude are

$$P_{BP} = \frac{A_0 p w_p / Q}{p^2 + p w_p / Q + w_p^2}$$

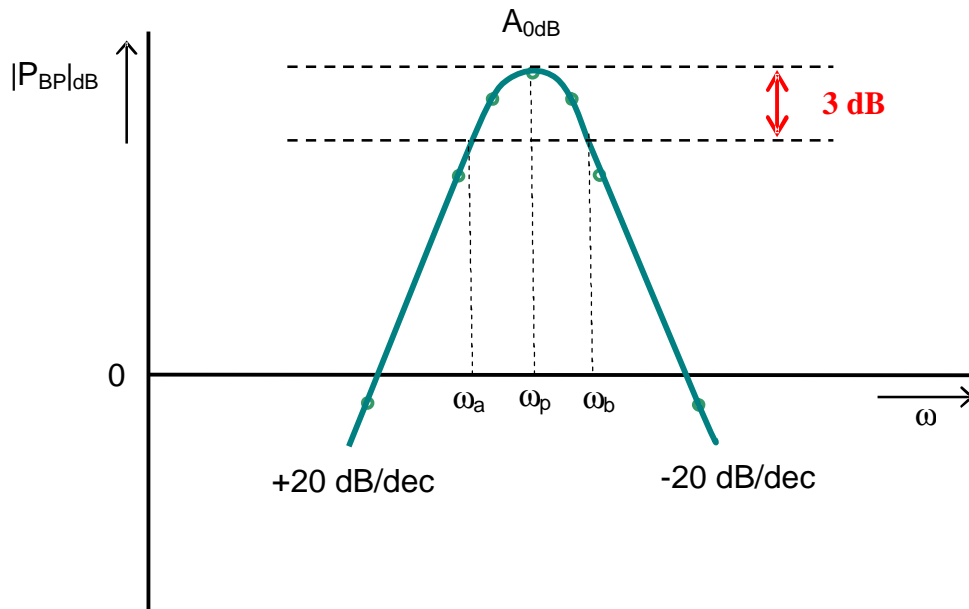
$$|P_{BP}| = \frac{A_0 w w_p / Q}{\sqrt{(w_p^2 - w^2)^2 + (w w_p / Q)^2}} = \frac{A_0}{\sqrt{\frac{(w_p^2 - w^2)^2 + (w w_p / Q)^2}{(w w_p / Q)^2}}} = \frac{A_0}{\sqrt{\left(\frac{w_p^2 - w^2}{w w_p}\right)^2 Q^2 + 1}} =$$

$$= \left| x = \frac{w}{w_p} \right| = \frac{A_0}{\sqrt{(1/x - x)^2 Q^2 + 1}}$$

$$|P_{BP}(x=1)| = \frac{A_0}{\sqrt{(1/1-1)^2 Q^2 + 1}} = A_0$$

$$|P_{BP}(x \leq 1)| \cong \frac{A_0}{\sqrt{(1/x)^2 Q^2}} = A_0 \cdot x / Q \Rightarrow \text{the first asymptote is } +20 \text{ dB/dec}$$

$$|P_{BP}(x \geq 1)| \cong \frac{A_0}{\sqrt{(-x^2)^2 Q^2}} = A_0 / (x \cdot Q) \Rightarrow \text{the second asymptote is } -20 \text{ dB/dec}$$



**Fig. 14** Qualitative depiction of the BP magnitude

We next compute the 3-dB relative frequencies  $x_3$  for the bandpass response. It means that it must be

$$\begin{aligned} |P_{BP}|_{3dB} &= \frac{A_0}{\sqrt{(1/x_3 - x_3)^2 Q^2 + 1}} = \frac{A_0}{\sqrt{2}} \Rightarrow (1/x_3 - x_3)^2 Q^2 + 1 = 2 \Rightarrow \\ (1/x_3 - x_3)^2 &= 1/Q^2 \Rightarrow (1/x_3 - x_3) = \pm 1/Q \Rightarrow x_3^2 \pm x_3/Q - 1 = 0 \Rightarrow \end{aligned}$$

We get only two physically (positive) right roots (two negative roots we neglect):

$$x_{3a} = \sqrt{1 + \left(\frac{1}{2Q}\right)^2} - \frac{1}{2Q} \quad \text{and} \quad x_{3b} = \sqrt{1 + \left(\frac{1}{2Q}\right)^2} + \frac{1}{2Q}$$

Thus is valid:

$$\begin{aligned} \frac{w_a}{w_p} &= \sqrt{1 + \left(\frac{1}{2Q}\right)^2} - \frac{1}{2Q} \Rightarrow w_a = w_p \cdot \left( \sqrt{1 + \left(\frac{1}{2Q}\right)^2} - \frac{1}{2Q} \right) \\ \frac{w_b}{w_p} &= \sqrt{1 + \left(\frac{1}{2Q}\right)^2} + \frac{1}{2Q} \Rightarrow w_b = w_p \cdot \left( \sqrt{1 + \left(\frac{1}{2Q}\right)^2} + \frac{1}{2Q} \right) \end{aligned}$$

These frequencies are identified in fig. 14. We easy determine that

$$w_a \cdot w_b = w_p^2$$

and frequency difference of these frequencies defines the [bandwidth](#) (BW)

$$BW = w_b - w_a = \frac{w_p}{Q} \Rightarrow Q = \frac{w_p}{BW} = \frac{w_p}{w_b - w_a}$$

### **Band-stop network function (2<sup>nd</sup> order), fig.15**

The complex-conjugate-pole-pair BS transfer function and the sinusoidal steady-state magnitude are

$$P_{BS} = A_0 \frac{p^2 + w_o^2}{p^2 + pw_o/Q + w_o^2}$$

$$|P_{BS}| = A_0 \cdot \frac{|-w^2 + w_p^2|}{\sqrt{(w_p^2 - w^2)^2 + (ww_p/Q)^2}}$$

$$|P_{BS}(w \leq w_p)| = A_0 \cdot \frac{-w^2 + w_p^2}{\sqrt{(w_p^2 - w^2)^2 + (ww_p/Q)^2}} = \left| x = \frac{w}{w_p} \right| = A_0 \cdot \frac{1 - x^2}{\sqrt{(1 - x^2)^2 + (x/Q)^2}}$$

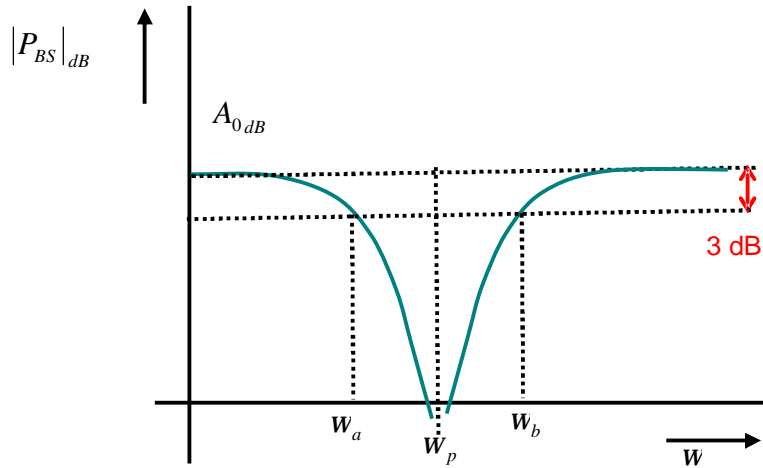


$$|P_{BS}(x=1)| = A_0 \frac{1-1^2}{\sqrt{(1-1^2)^2 + (1/Q)^2}} = 0$$

$$|P_{BS}(x=0)| = A_0 \frac{1-0^2}{\sqrt{(1-0^2)^2 + (0/Q)^2}} = A_0$$

$$|P_{BS}(w \geq w_p)| = A_0 \cdot \frac{+w^2 - w_p^2}{\sqrt{(w_p^2 - w^2)^2 + (ww_p/Q)^2}} = \left| x = \frac{w}{w_p} \right| = A_0 \cdot \frac{x^2 - 1}{\sqrt{(1-x^2)^2 + (x/Q)^2}}$$

$$|P_{BS}(x \rightarrow \infty)| = A_0 \cdot \lim_{x \rightarrow \infty} \frac{x^2 - 1}{\sqrt{(1-x^2)^2 + (x/Q)^2}} = A_0$$



**Fig. 15** Qualitative depiction of the BS magnitude

We next compute the 3-dB relative frequencies  $x_3$  for the bandstop response. It means that it must be

$$|P_{BS}|_{3dB} = A_0 \cdot \frac{1-x_3^2}{\sqrt{(1-x_3^2)^2 + (x_3/Q)^2}} = \frac{A_0}{\sqrt{2}} \Rightarrow \frac{1-x_3^2}{\sqrt{(1-x_3^2)^2 + (x_3/Q)^2}} = \frac{1}{\sqrt{2}} \Rightarrow$$

$$\frac{1}{\sqrt{\frac{(1-x_3^2)^2}{(1-x_3^2)^2} + \frac{(x_3/Q)^2}{(1-x_3^2)^2}}} = \frac{1}{\sqrt{2}} \Rightarrow \frac{(x_3/Q)^2}{(1-x_3^2)^2} = 1 \Rightarrow$$

$$\frac{(x_3/Q)}{(1-x_3^2)} = \pm 1 \Rightarrow \pm x_3^2 + x_3/Q \pm 1 = 0$$

This equation gives the same physically right roots as it was for BP, so

$$w_a = w_p \cdot \left( \sqrt{1 + \left( \frac{1}{2Q} \right)^2} - \frac{1}{2Q} \right)$$

$$w_b = w_p \cdot \left( \sqrt{1 + \left( \frac{1}{2Q} \right)^2} + \frac{1}{2Q} \right)$$

These frequencies are identified in fig. 15. We easily determine that

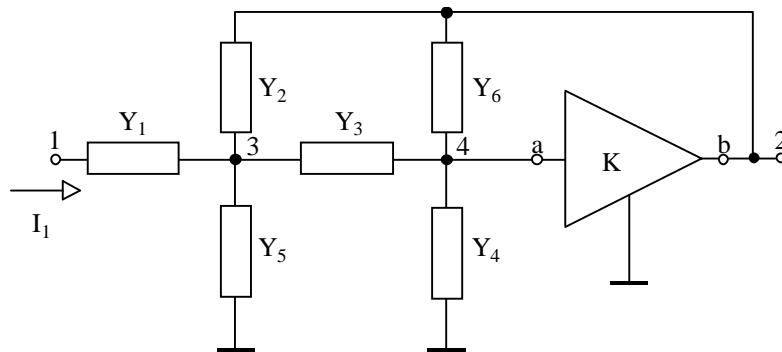
$$w_a \cdot w_b = w_p^2$$

and frequency difference of these frequencies defines the [bandwidth](#) (BW) of the bandstop filter now:

$$BW = w_b - w_a = \frac{w_p}{Q} \Rightarrow Q = \frac{w_p}{BW} = \frac{w_p}{w_b - w_a}$$

### Examples of second order filter realization

A useful structure you can see in fig. 16.



**Fig. 16** The Bridgman-Brennar multiple-loop feedback biquad

We know the admittance model of the amplifier  $K$  – see Chapter 3:

	<b>a</b>	<b>b</b>
<b>a</b>	0	0
<b>b</b>	$-KG_o$	$G_o$

$$\begin{bmatrix} U_a \\ U_b \end{bmatrix} = \begin{bmatrix} I_a \\ I_b \end{bmatrix}$$

So we can easily determine an admittance model of the Bridgman-Brennar multiple-loop feedback biquad:

	1	2(b)	3	4(a)			
1	$Y_1$	0	$-Y_1$	0	$x$	$U_1$	$I_1$
2(b)	0	$Y_2+Y_6+(G_o)$	$-Y_2$	$-Y_6+(-KG_o)$		$U_2$	0
3	$-Y_1$	$-Y_2$	$Y_1+Y_2+Y_3+Y_5$	$-Y_3$		$U_3$	0
4(a)	0	$-Y_6$	$-Y_3$	$Y_3+Y_4+Y_6$		$U_4$	0

From this system of equations we derive, after some algebraic simplification, the **basic transfer function** (we suppose zero output resistance, thus  $G_o \rightarrow \infty$ ):

$$\left. \frac{U_2}{U_1} \right|_{G_o \rightarrow \infty} = \frac{KY_1Y_3}{Y_4(Y_1+Y_2+Y_3+Y_5)+Y_3(Y_1+Y_5)+(1-K)[Y_2Y_3+Y_6(Y_1+Y_2+Y_3+Y_5)]}$$

If we **choose**  $K \rightarrow -\infty$  (an ideal inverting operational amplifier) we get

$$\left. \frac{U_2}{U_1} \right|_{\substack{G_o \rightarrow \infty \\ K \rightarrow -\infty}} = \frac{-Y_1Y_3}{[Y_2Y_3+Y_6(Y_1+Y_2+Y_3+Y_5)]}$$

In this case the admittance  $Y_4$  has no effect on the transfer function (zero voltage across it).

If we **choose**  $K > 0$  we get filters with finite gain – **Sallen and Key circuit**. If  $Y_6 = Y_5 = 0$  we get

$$\left. \frac{U_2}{U_1} \right|_{G_o \rightarrow \infty} = \frac{KY_1Y_3}{Y_1Y_3+Y_4(Y_1+Y_2+Y_3)+Y_2Y_3(1-K)}$$

If  $Y_6 = 0$  only we get

$$\left. \frac{U_2}{U_1} \right|_{G_o \rightarrow \infty} = \frac{KY_1Y_3}{Y_4(Y_1+Y_2+Y_3+Y_5)+Y_3(Y_1+Y_5)+Y_2Y_3(1-K)}$$

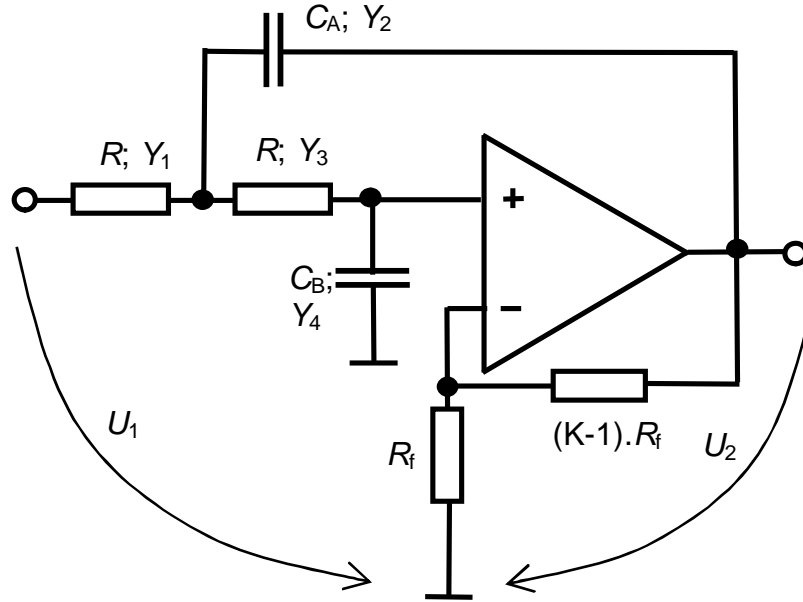
An appropriate choice of admittances gives us desired transfer functions.

### Sallen-Key LP (2<sup>nd</sup> order)

The basic circuit you can see in fig. 17. It is evident that  $Y_6 = Y_5 = 0$  and

$$Y_1 = G_1, Y_2 = p C_A, Y_3 = G_3, Y_4 = p C_B$$

An operational amplifier acts as noninverting amplifier with a finite gain  $K$ .



**Fig. 17** One possible Sallen- Key LP configuration

Thus we can get (after some algebraic simplification)

$$\hat{P}_{LP2}(p = j\omega) = A_0 \cdot \frac{\omega_p^2}{p^2 + p\omega_p/Q + \omega_p^2}$$

where

$$\omega_p^2 = \frac{1}{R_1 R_3 C_A C_B}$$

$$2x = 1/Q = \sqrt{\frac{R_3 C_B}{R_1 C_A}} + \sqrt{\frac{R_1 C_B}{R_3 C_A}} + \sqrt{\frac{R_1 C_A}{R_3 C_B}} \cdot (1 - K)$$

$$A_o \equiv K$$

The first usual choice is:  $R_1 = R_3 = R$  and  $C_B = C_A = C$ . In this case we get

$$\omega_p^2 = \frac{1}{R^2 C^2}$$

$$2x = 1/Q = 3 - K$$

**This filter is stable only if  $K < 3$ .**

We can not define beforehand the filter gain, now. From these simple equations we can easily determine formulas suitable for this type **filter design** (we want  $\omega_p$  and  $Q$ ):

I. We chose value of the  $C$ .

II. We determine  $R = 1/(\omega_p C)$

III. We determine needed  $K = 3 - 1/Q = 3 - 2\xi$ . We chose suitable value of the  $R_f$  and determine corresponding value  $(K-1).R_f$

The second usual choice is:  **$R_1 = R_3 = R$  and  $K = 1$** ;  $(K-1) \cdot R_f$  – short circuit;  $R_f$  – open.

In this case we get

$$w_p^2 = \frac{1}{R^2 C_A C_B}$$

$$2x = 1/Q = 2 \cdot \sqrt{C_B/C_A}$$

If we chose  $R$ , we can determine that

$$C_A = \frac{2Q}{w_p R}; \quad C_B = \frac{1}{2Q w_p R}$$

**This filter is stable always – theoretically.**

BOX

$$w_p^2 = \frac{1}{R^2 C_A C_B} \Rightarrow C_A = \frac{1}{R^2 w_p^2 C_B}; \quad 1/Q = 2 \cdot \sqrt{C_B/C_A} = 2 \cdot \sqrt{\frac{C_B}{1/(R^2 w_p^2 C_B)}} =$$

$$= 2 \cdot \sqrt{C_B^2 R^2 w_p^2} \Rightarrow C_B = \frac{1}{2Q R w_p}$$

$$C_A = \frac{1}{R^2 w_p^2 C_B} = \frac{1}{R^2 w_p^2 / (2Q R w_p)} = \frac{2Q}{R w_p}$$

The **design procedure** is very simple

I. We chose value of the  $R$ .

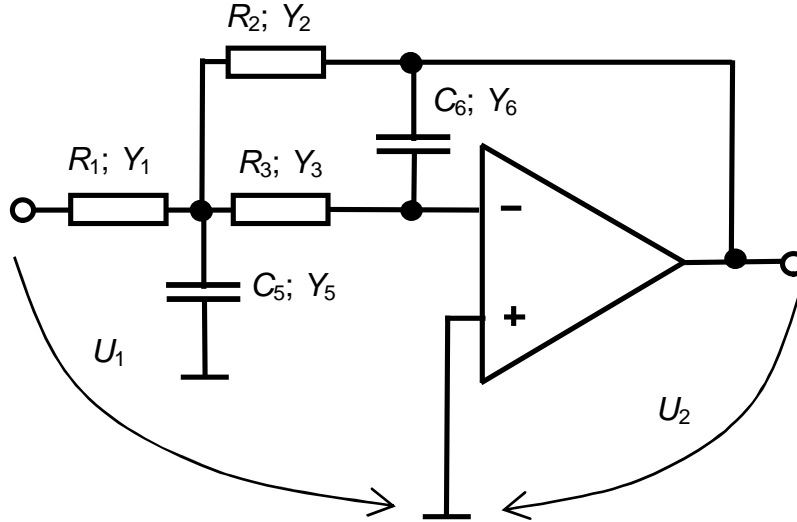
II. We determine  $C_A = \frac{2Q}{R w_p}$  and  $C_B = \frac{1}{2Q R w_p}$

### **Inverting LP (2<sup>nd</sup> order)**

If we choose  $K \rightarrow -\infty$  and  $Y_1 = G_1$ ,  $Y_2 = G_2$ ,  $Y_3 = G_3$ ,  $Y_5 = pC_5$  a  $Y_6 = pC_6$  (fig. 18) we easy get

$$P_{LP2} = U_2 / U_1 = - \frac{1/(R_1 R_3 C_5 C_6)}{p^2 + p \frac{1}{C_5} \left( \frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} \right) + \frac{1}{R_2 R_3 C_5 C_6}} = |rearranging| =$$

$$= - \frac{R_2}{R_1} \cdot \frac{1/(R_2 R_3 C_5 C_6)}{p^2 + p \frac{1}{C_5} \left( \frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} \right) + \frac{1}{R_2 R_3 C_5 C_6}}$$



**Fig. 18** One possible inverting LP configuration

It is evident now that – compare relation  $\hat{P}_{LP2}(p = j\omega) = A_0 \cdot \frac{w_p^2}{p^2 + pw_p/Q + w_p^2}$  –

$$w_p^2 = \frac{1}{R_2 R_3 C_5 C_6}$$

$$A_o = -\frac{R_2}{R_1}$$

$$2xw_p = w_p / Q = \frac{1}{C_5} \left( \frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} \right)$$

After rearranging we get

$$1/Q = 2x = \sqrt{\frac{C_6}{C_5}} \cdot \left( \sqrt{\frac{R_2 R_3}{R_1^2}} + \sqrt{\frac{R_3}{R_2}} + \sqrt{\frac{R_2}{R_3}} \right)$$

From these equations we can determine formulas suitable for this type *filter design* (we want  $A_o$ ,  $\omega_p$  and  $Q$ ). We have just three equations. But we must determine five circuit elements. Thus we must choose two elements. Usually we choose  $C_5 = C$  and  $C_6 = m \cdot C$ . Now we can determine that

$$w_p^2 = \frac{1}{R_2 R_3 m C^2}; \quad A_o = -\frac{R_2}{R_1}; \quad 1/Q = \sqrt{m} \cdot \left( \sqrt{\frac{R_2 R_3}{R_1^2}} + \sqrt{\frac{R_3}{R_2}} + \sqrt{\frac{R_2}{R_3}} \right)$$

and from these equations we derive

$$R_1 = \frac{1}{2 \cdot |A_o| \cdot Q \cdot m \cdot w_p \cdot C} \left[ 1 \pm \sqrt{1 - 4m(|A_o| + 1)Q^2} \right]$$

$$R_2 = |A_o| \cdot R_1$$

$$R_3 = \frac{1}{w_p^2 R_2 m C^2}$$

BOX

$$A_o = -\frac{R_2}{R_1} \Rightarrow R_2 = |A_o| \cdot R_1; \quad w_p^2 = \frac{1}{R_2 R_3 m C^2} \Rightarrow R_3 = \frac{1}{R_2 w_p^2 m C^2};$$

$$1/Q = \sqrt{m} \cdot \left( \sqrt{\frac{R_2 R_3}{R_1^2}} + \sqrt{\frac{R_3}{R_2}} + \sqrt{\frac{R_2}{R_3}} \right) = \sqrt{m} \cdot \left( \sqrt{\frac{1}{\frac{w_p^2 m C^2}{R_1^2}}} + \sqrt{\frac{1}{R_2^2 w_p^2 m C^2}} + \sqrt{R_2^2 w_p^2 m C^2} \right)$$

$$1/Q = \sqrt{m} \cdot \left( \sqrt{\frac{1}{w_p^2 m C^2 R_1^2}} + \sqrt{\frac{1}{|A_o|^2 R_1^2 w_p^2 m C^2}} + \sqrt{|A_o|^2 R_1^2 w_p^2 m C^2} \right) \quad |rearranging gives|$$

$$R_1^2 - \frac{R_1}{|A_o| \cdot m \cdot w_p \cdot C \cdot Q} + \frac{|A_o| + 1}{|A_o|^2 \cdot m \cdot w_p \cdot C^2} = 0. \text{ Now we easy determine that}$$

$$R_{1a,b} = \frac{\frac{1}{|A_o| \cdot m \cdot w_p \cdot C \cdot Q} \pm \sqrt{\left( \frac{1}{|A_o| \cdot m \cdot w_p \cdot C \cdot Q} \right)^2 - \frac{4 \cdot (|A_o| + 1)}{|A_o|^2 \cdot m \cdot w_p \cdot C^2}}}{2}$$

$$R_{1a,b} = \frac{1}{2 \cdot |A_o| \cdot Q \cdot m \cdot w_p \cdot C} \left[ 1 \pm \sqrt{1 - 4m(|A_o| + 1)Q^2} \right]$$

Physically right solution is  $R_1 > 0$  (positive value of the  $R_1$ ) – so it must be

$$1 - 4m(|A_o| + 1)Q^2 > 0$$

Often we choose just extreme case  $1 - 4m(|A_o| + 1)Q^2 = 0$ , thus

$$m = \frac{1}{4 \cdot (|A_o| + 1) \cdot Q^2}$$

The *design procedure* is now:

I. We chose appropriate value of the  $C_6 = m C = m C_5$

II. We determine  $C = C_5 = C_6 / m = C_6 \cdot [4 \cdot (|A_o| + 1) \cdot Q^2]$

III. We determine

$$R_1 = \frac{1}{2 \cdot |A_o| \cdot Q \cdot m \cdot w_p \cdot C} = \frac{1}{2 \cdot |A_o| \cdot Q \cdot w_p \cdot C_6}$$

$$R_2 = |A_o| \cdot R_1 = \frac{1}{2 \cdot Q \cdot w_p \cdot C_6}$$

$$R_3 = \frac{1}{w_p^2 R_2 m C^2} = \frac{1}{w_p^2 \cdot (R_2 m C) \cdot C} = \left| R_2 m C = R_2 C_6 = \frac{1}{2 \cdot Q \cdot w_p} \right| = \frac{1}{2 \cdot Q \cdot (|A_o| + 1) \cdot w_p \cdot C_6}$$

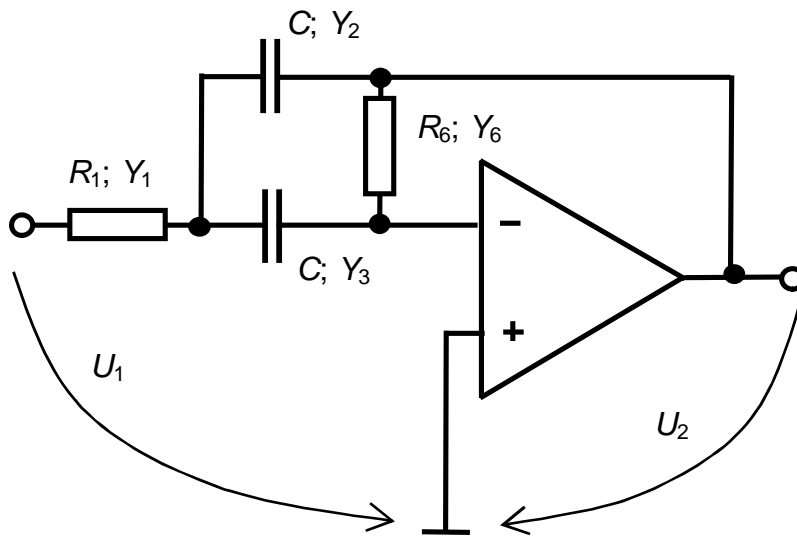
### Inverting BP (2<sup>nd</sup> order)

If we choose  $K \rightarrow -\infty$  and  $Y_1 = G_1$ ,  $Y_2 = Y_3 = pC$ ,  $Y_5 = 0$  a  $Y_6 = G_6$  (fig. 19) we get

$$\hat{P}_{BP2}(p = jw) = A_o \cdot \frac{pw_p / Q}{p^2 + pw_p / Q + w_p^2}$$

where

$$w_p^2 = \frac{1}{R_1 R_6 C^2}; \quad A_o = -R_6 / (2R_1); \quad 2x = 1/Q = 2 \cdot \sqrt{\frac{R_1}{R_6}}$$



**Fig. 19** One possible inverting BP configuration



BOX

$$\begin{aligned}
 \left. \frac{U_2}{U_1} \right|_{Y_5=0, K \rightarrow -\infty} &= \frac{-Y_1 Y_3}{Y_2 Y_3 + Y_6 (Y_1 + Y_2 + Y_3)} = \frac{-G_1 p C}{p^2 C^2 + G_6 (G_1 + p C + p C)} = \\
 &= \frac{-G_1 p C}{p^2 C^2 + 2 p C G_6 + G_1 G_6} = -\frac{G_1 C}{C^2} \cdot \frac{p}{p^2 + p \frac{2 G_6}{C} + \frac{G_1 G_6}{C^2}} = \\
 &= -\frac{G_1 C}{C^2} \cdot \frac{p \cdot \frac{2 G_6}{C} \cdot \frac{C}{2 G_6}}{p^2 + p \cdot \frac{2 G_6}{C} + \frac{G_1 G_6}{C^2}} = -\frac{G_1 C}{C^2} \cdot \frac{C}{2 G_6} \cdot \frac{p \cdot \frac{2 G_6}{C}}{p^2 + p \cdot \frac{2 G_6}{C} + \frac{G_1 G_6}{C^2}} = \\
 &= -\frac{R_6}{2 R_1} \cdot \frac{p \cdot \frac{2}{C R_6}}{p^2 + p \cdot \frac{2}{C R_6} + \frac{1}{R_1 R_6 C^2}} \Rightarrow w_p^2 = \frac{1}{R_1 R_6 C^2}; \quad A_0 = -\frac{R_6}{2 R_1}
 \end{aligned}$$

$$\frac{w_p}{Q} = \frac{2}{C R_6} \Rightarrow Q = \frac{w_p C R_6}{2} = \frac{1}{2} \cdot \sqrt{\frac{R_6}{R_1}} \Rightarrow R_6 = 4 Q^2 R_1 \Rightarrow$$

$A_0 = -2 Q^2 \Rightarrow$  we can not choose this parameter in this simple circuit

$$w_p^2 = \frac{1}{R_1 R_6 C^2} \Rightarrow C^2 = \frac{1}{R_1 R_6 w_p^2} \Rightarrow C = \frac{1}{2 Q R_1 w_p}$$

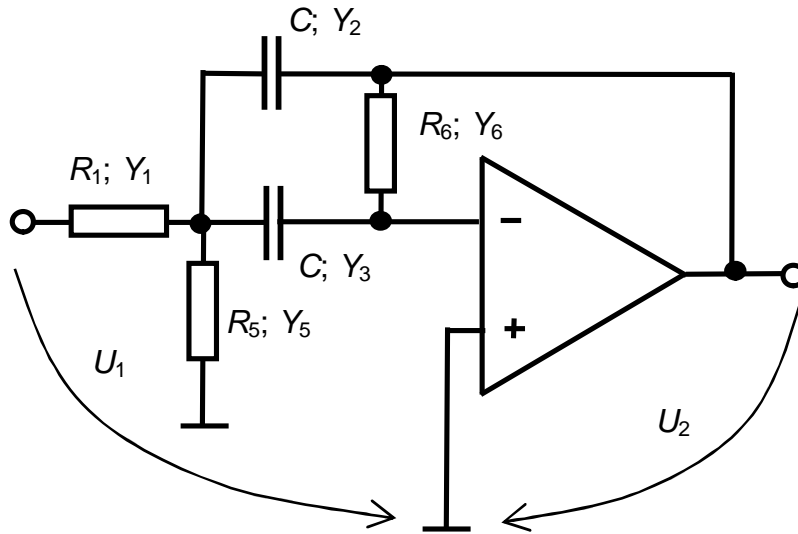
The *design procedure* is now:

I. We chose appropriate value of the  $R_1$

II. We determine  $R_6 = 4 Q^2 R_1$

III. We determine  $C = \frac{1}{2 Q R_1 w_p}$ ; the filter  $A_0 = -2 Q^2$  we can not change in this simple circuit (fig. 19)

If we need define the  $A_0$ , too, we must choose more complex circuit in fig. 20. The other one resistor  $R_5$  allows us to do it.



**Fig. 20** More complex inverting BP configuration

In this case we get ( $Y_5 = G_5$ ):

$$w_p^2 = \frac{1}{(R_1 // R_5) R_6 C^2}$$

$$A_o = -R_6 / (2R_1)$$

$$2x = 1/Q = 2 \cdot \sqrt{\frac{R_1 // R_5}{R_6}}$$

This set of equations allows us determine formulas needed to *design procedure*, if we choose appropriate value of  $C$ :

BOX

$$1/Q = 2 \cdot \sqrt{\frac{R_1 // R_5}{R_6}} \Rightarrow R_1 // R_5 = \frac{R_1 R_5}{R_1 + R_5} = \frac{R_6}{4Q^2} \Rightarrow$$

$$w_p^2 = \frac{1}{(R_1 // R_5) R_6 C^2} = \frac{1}{\left(\frac{R_6}{4Q^2}\right) R_6 C^2} \Rightarrow R_6 = \frac{2Q}{w_p C}$$

$$|A_o| = R_6 / (2R_1) \Rightarrow R_1 = \frac{R_6}{2 \cdot |A_o|} \Rightarrow R_1 = \frac{Q}{w_p C \cdot |A_o|}$$

$$R_1 // R_5 = \frac{R_1 R_5}{R_1 + R_5} = \frac{R_6}{4Q^2} \Rightarrow 4Q^2 R_1 R_5 = R_6 \cdot (R_1 + R_5) \Rightarrow$$

$$4Q^2 R_1 R_5 - R_6 R_5 = R_6 R_1 \Rightarrow R_5 = \frac{R_6 R_1}{4Q^2 R_1 - R_6} = \frac{R_6}{4Q^2 - R_6 / R_1} = \frac{\frac{2Q}{w_p C}}{4Q^2 - 2 \cdot |A_o|} \Rightarrow$$

$$R_5 = \frac{Q}{(2 \cdot Q^2 - |A_o|) \cdot w_p C}$$

The *design procedure* is now:

I. We chose appropriate value of the  $C$  (fig. 20)

II. We determine

$$R_1 = \frac{Q}{w_p C \cdot |A_o|}; \quad R_5 = \frac{Q}{(2 \cdot Q^2 - |A_o|) \cdot w_p C}; \quad R_6 = \frac{2Q}{w_p C}$$

It is evident that physically right solution is  $R_5 > 0$  so that we may choose  $2Q^2 > |A_o|$  only if we have  $C_3 = C_2 = C$ .

### Inverting HP (2<sup>nd</sup> order)

If we choose  $K \rightarrow -\infty$  and  $Y_1 = pC_1$ ,  $Y_2 = pC_2$ ,  $Y_3 = pC_3$ ,  $Y_5 = G_5$ ,  $Y_6 = G_6$  (fig. 21) we get

$$\hat{P}_{HP2}(p = jw) = A_o \cdot \frac{p^2}{p^2 + pw_p/Q + w_p^2}$$

where  $w_p^2 = \frac{1}{R_5 R_6 C_2 C_3}$

$$A_o = -C_1 / C_2$$

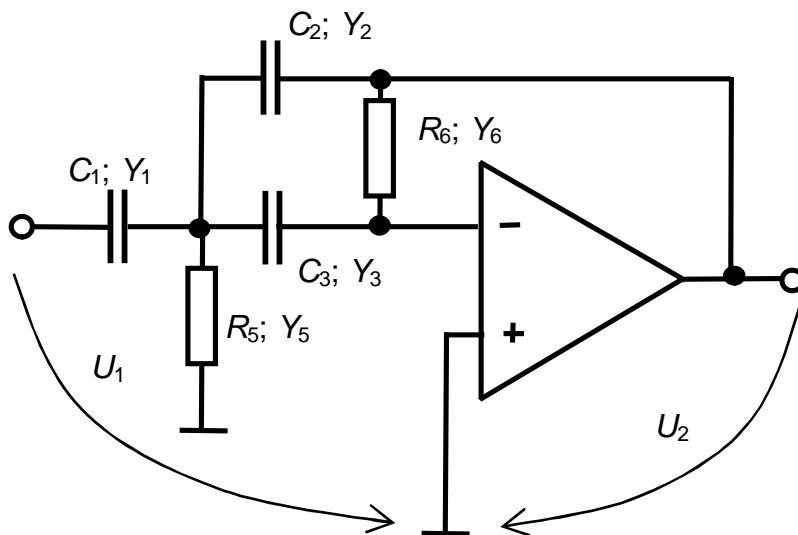
$$w_p / Q = \left( \frac{C_1}{C_2 C_3} + \frac{1}{C_2} + \frac{1}{C_3} \right) / R_6$$

Usually we choose  $C_1 = C_3 = C$  and we can get from above equations next useful “design formulas”:

$$C_2 = C / |A_o|$$

$$R_5 = |A_o| / [(2 \cdot |K_o| + 1) Q w_p C]$$

$$R_6 = Q (2 \cdot |A_o| + 1) / (w_p C)$$



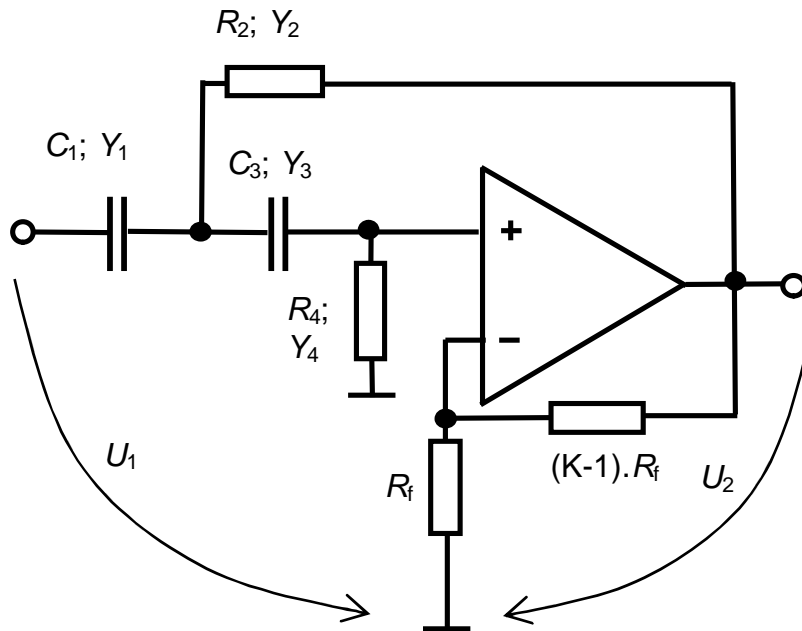
**Fig. 21** Inverting HP circuit

### Sallen-Key HP (2<sup>nd</sup> order)

The basic circuit you can see in fig. 22. It is evident that  $Y_6 = Y_5 = 0$  and

$$Y_1 = p C_1, Y_2 = G_2, Y_3 = p C_3, Y_4 = G_4$$

An operational amplifier acts as noninverting amplifier with a finite gain  $K$ .



**Fig. 22** One possible Sallen- Key HP configuration

If  $C_1 = C_3 = C$ ,  $R_2 = R_4 = R$  than

$$\hat{P}_{HP2}(p = j\omega) = A_0 \cdot \frac{p^2}{p^2 + p\omega_p/Q + \omega_p^2}$$

where

$$\omega_p^2 = \frac{1}{(RC)^2}; \quad 2x = 1/Q = 3 - K; \quad A_o = K$$

We can not define beforehand the filter gain, now. From these simple equations we can easily determine formulas suitable for this type *filter design* (we want  $\omega_p$  and  $Q$ ):

I. We chose value of the  $C$ .

II. We determine  $R = 1/(\omega_p C)$

III. We determine needed  $K = 3 - 1/Q = 3 - 2\xi$ . We chose suitable value of the  $R_f$  and determine corresponding value  $(K-1).R_f$

**This filter is stable only if  $K < 3$ .**

The second usual choice is:  $C_1 = C_3 = C$  and  $K = 1$ ;  $(K-1) \cdot R_f$  – short circuit;  $R_f$  – open.  
Than is valid

$$\omega_p^2 = \frac{1}{C^2 R_2 R_4}; \quad 2x = 1/Q = 2 \cdot \sqrt{R_2 / R_4}; \quad A_o = 1$$

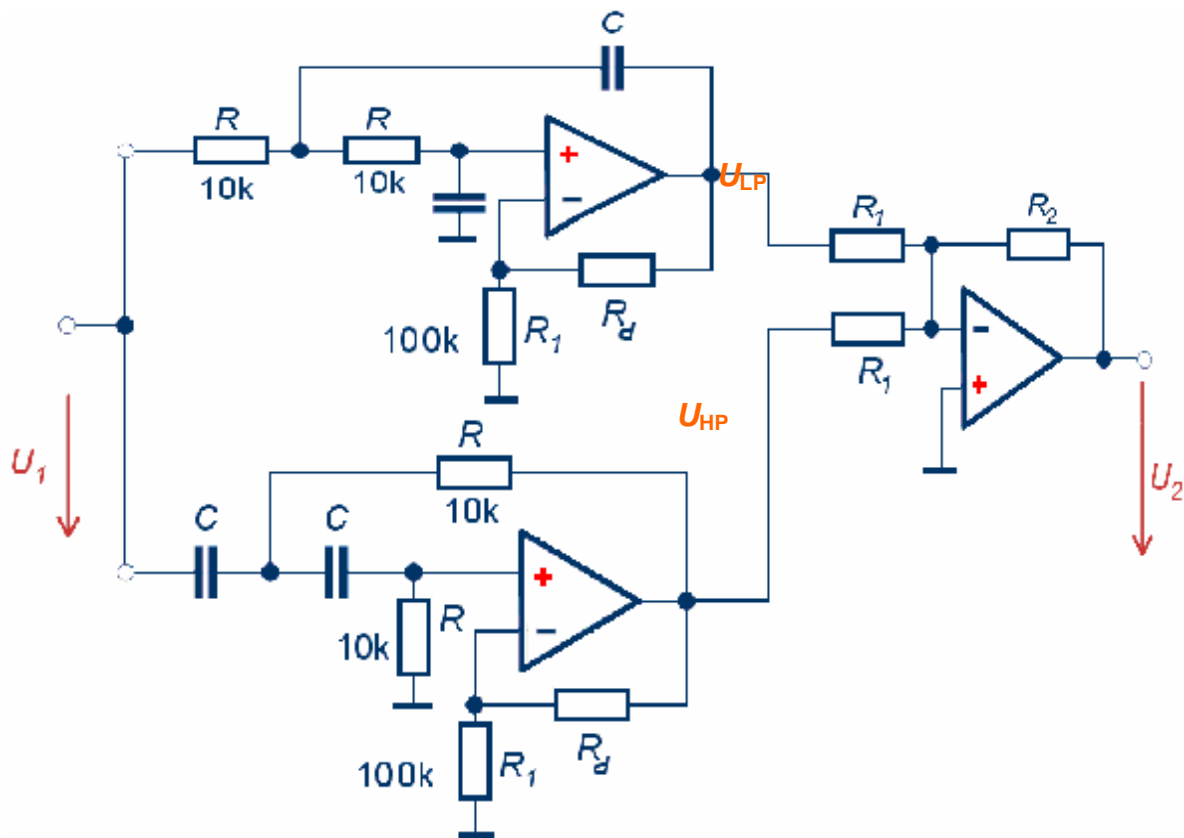
From these simple equations we can easy determine formulas suitable for this type **filter design** (we want  $\omega_p$  and  $Q$ ):

I. We chose value of the  $C$ .

II. We determine  $R_2 = \frac{1}{2Q\omega_p C}$ ;  $R_4 = \frac{2Q}{\omega_p C}$

### Notch filter (2<sup>nd</sup> order; bandstop)

The very simple realization of the notch filter is in fig. 23. The upper operational amplifier creates LP (2<sup>nd</sup> order) filter. The bottom operational creates HP (2<sup>nd</sup> order) filter. These filters must have the same properties – it means  $\omega_p$ ,  $Q$  and  $K$  (thus  $R_d$ ). The third operational amplifier creates the inverting adder amplifier.



**Fig. 23** One possible notch filter configuration – by means of Sallen-Key LP and HP filters – no cascade connection

It is evident that

$$U_{LP} = U_1 \cdot P_{LP2} = U_1 \cdot A_0 \cdot \frac{w_p^2}{p^2 + pw_p/Q + w_p^2}$$

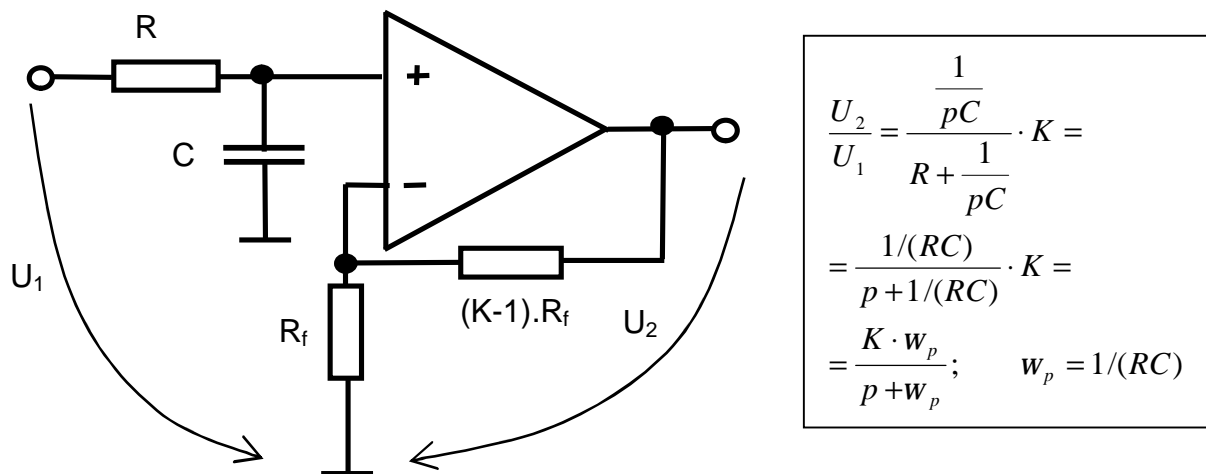
$$U_{HP} = U_1 \cdot P_{HP2} = U_1 \cdot A_0 \cdot \frac{p^2}{p^2 + pw_p/Q + w_p^2}$$

$$w_p^2 = \frac{1}{(RC)^2}; \quad 2x = 1/Q = 3 - K; \quad A_o = K = 1 + R_d / R_1$$

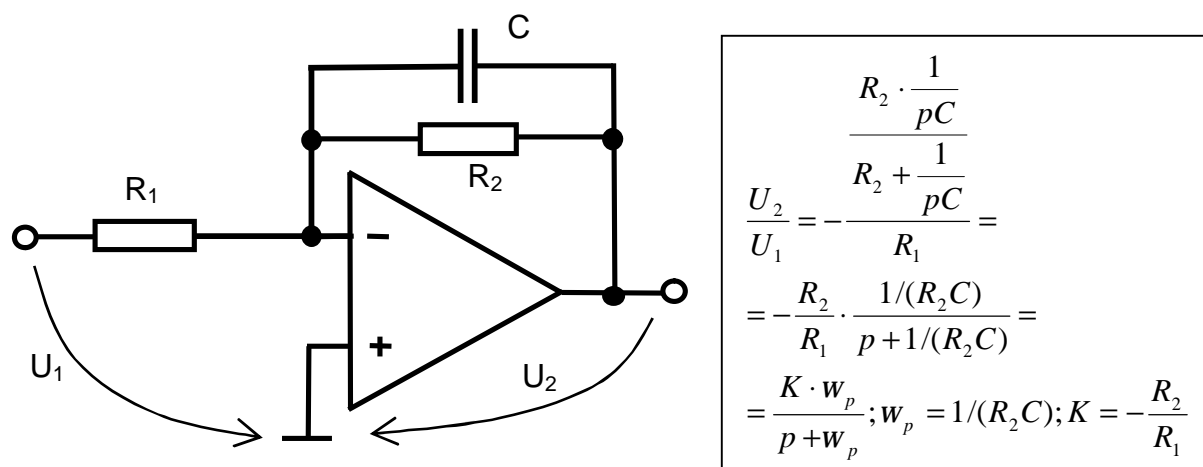
$$U_2 = -\frac{R_2}{R_1} \cdot (U_{LP} + U_{HP}) = -\frac{R_2}{R_1} \cdot K \cdot U_1 \cdot \left( \frac{w_p^2}{p^2 + pw_p/Q + w_p^2} + \frac{p^2}{p^2 + pw_p/Q + w_p^2} \right) \Rightarrow$$

$$U_2 / U_1 = -\frac{R_2}{R_1} \cdot K \cdot \frac{p^2 + w_p^2}{p^2 + pw_p/Q + w_p^2} \text{ - This is the notch filter – really.}$$

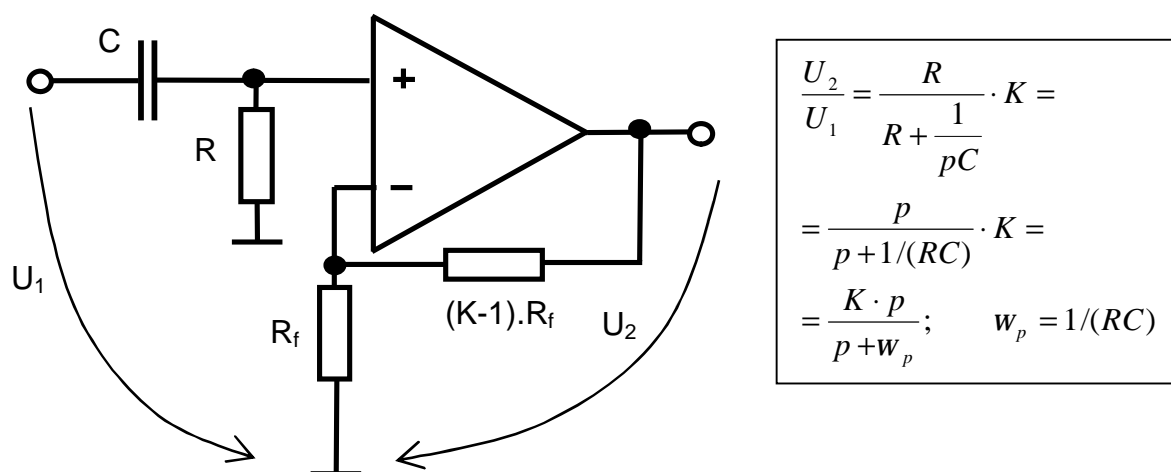
### Examples of first order filter realization



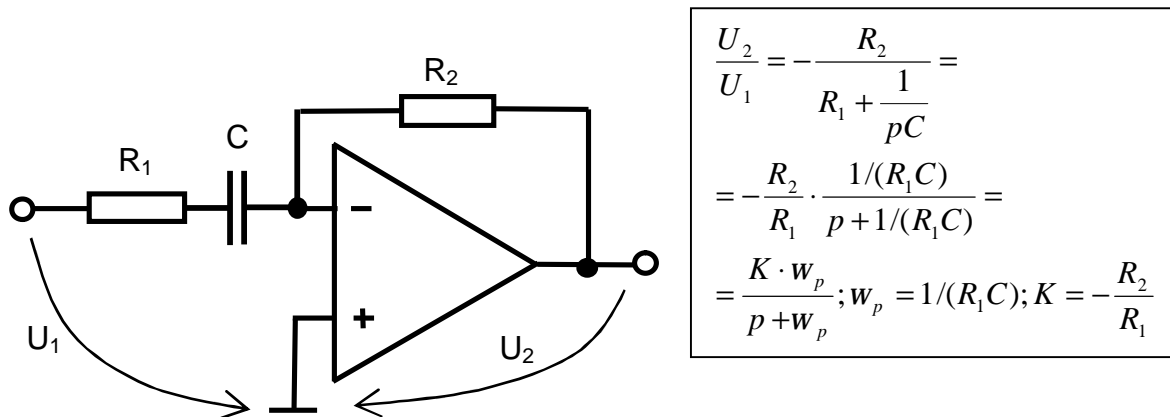
**Fig. 24** Noninverting 1<sup>st</sup> order LP; an operational amplifier creates noninverting structure with gain K; a characteristic frequency is  $w_p = 1/(RC)$ ;  $A_0 = K$



**Fig. 25** Inverting 1<sup>st</sup> order LP; an operational amplifier creates inverting structure with DC gain  $K = -R_2/R_1$  ; a characteristic frequency is  $w_p = 1/(RC)$  ;  $A_0 = K$



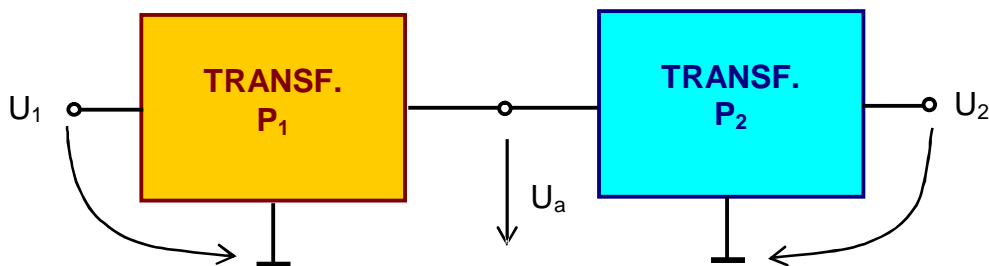
**Fig. 26** Noninverting 1<sup>st</sup> order HP; an operational amplifier creates noninverting structure with gain  $K$ ; a characteristic frequency is  $w_p = 1/(RC)$  ;  $A_0 = K$



**Fig. 27** Inverting 1<sup>st</sup> order HP; an operational amplifier creates inverting structure with AC gain  $K = -R_2/R_1$  ; a characteristic frequency is  $w_p = 1/(RC)$  ;  $A_0 = K$

### Basic cascade - connections

Cascade connections give us opportunity to create others transfer functions.



**Fig. 28** Cascade connection of two filters with transfer functions  $P_1 = U_a/U_1$  a  $P_2 = U_2/U_a$

It is evident that

$$P = U_2 / U_1 = (U_a / U_1) \cdot (U_2 / U_a) = P_1 \cdot P_2$$

Further

$$|P| = |P_1 \cdot P_2| = |P_1| \cdot |P_2|$$

Thus in dB is valid

$$|P|_{dB} = 20 \log(|P_1| \cdot |P_2|) = 20 \log|P_1| + 20 \log|P_2| = |P_1|_{dB} + |P_2|_{dB}$$



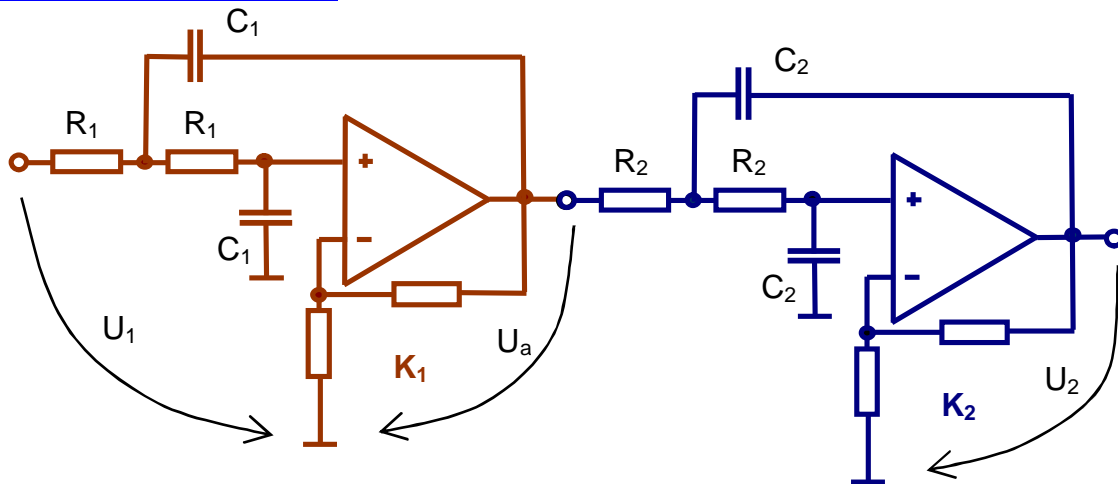
### The last formula

$$|P|_{dB} = |P_1|_{dB} + |P_2|_{dB}$$

is very important. From this formula we can deduce that:

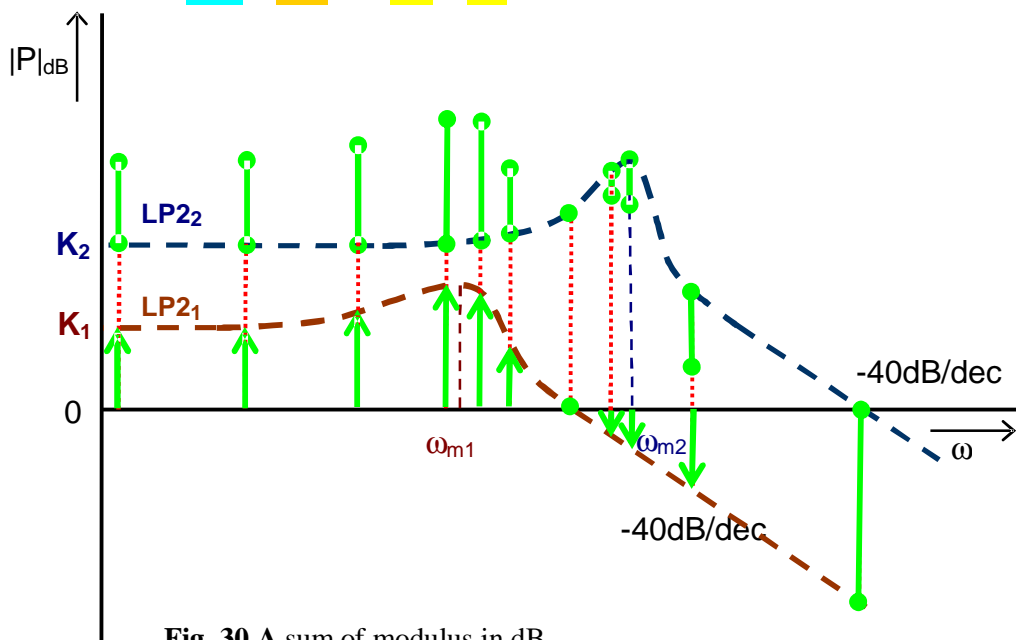
*If we know the “decibel description” of the transfer function modulus  $P_1$  (dB) and  $P_2$  (dB), the resulting modulus of cascade connection we get as a sum  $|P_1|_{dB} + |P_2|_{dB}$ .*

### Two LP2s cascade – fig. 29



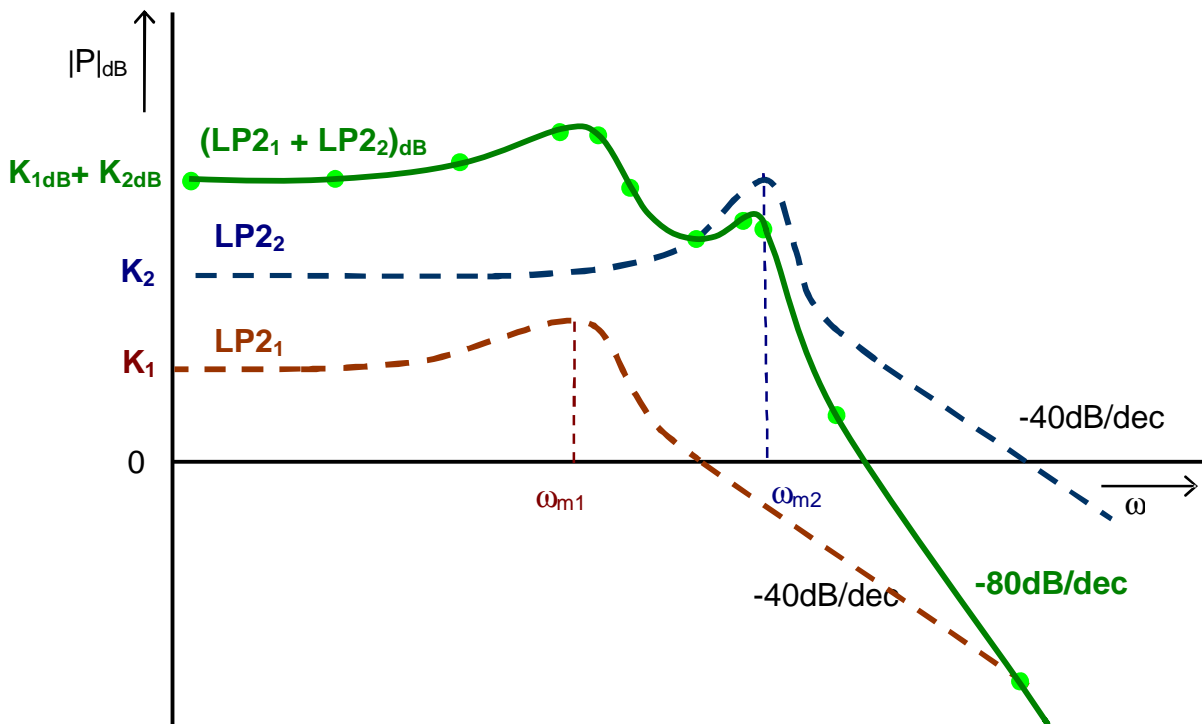
**Fig. 29** Cascade connection of two LP2; LP2<sub>1</sub> ( $w_{p1} = 1/(R_1 C_1)$ ;  $Q_1 = 1/(3 - K_1)$ ) and LP2<sub>2</sub> ( $w_{p2} = 1/(R_2 C_2)$ ;  $Q_2 = 1/(3 - K_2)$ )

If we choose suitable  $w_{p1} = 1/(R_1 C_1)$ ,  $Q_1 = 1/(3 - K_1)$ ,  $w_{p2} = 1/(R_2 C_2)$  and  $Q_2 = 1/(3 - K_2)$  we can get different frequency responses. A qualitative description you can see in fig. 30; for  $w_{p2} > w_{p1}$  and  $Q_2 > Q_1$ .



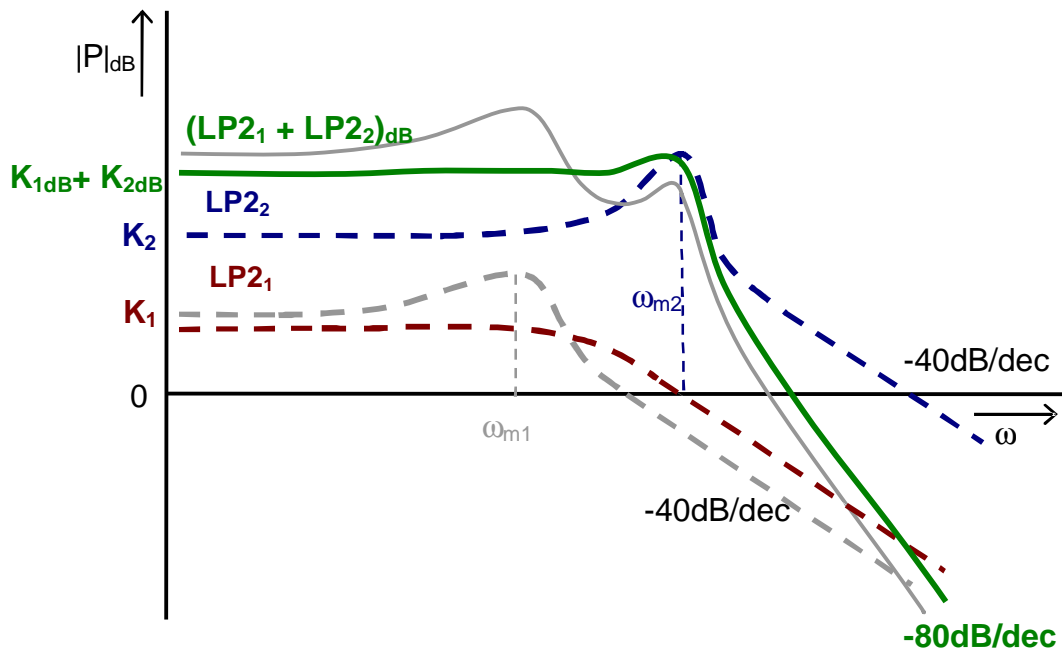
**Fig. 30** A sum of modulus in dB

The final modulus we can see in fig. 31.



**Fig. 31** A final sum of modulus in dB  $|P|_{dB} = |P_{DP21}|_{dB} + |P_{DP22}|_{dB}$

If we increase  $\omega_{01}$  and decrease quality factor  $Q_1$  ( $K_1$ ), we can get more friendly response – gray line depicts previous situation – fig. 32.



**Fig. 32** A sum of modulus after increasing  $\omega_{01}$  and decreasing  $Q_1$  ( $K_1$ )

If we decrease quality factor  $Q_2$  ( $K_2$ ) now, we can another improvement of the modulus – gray line depicts previous situation – fig. 33.

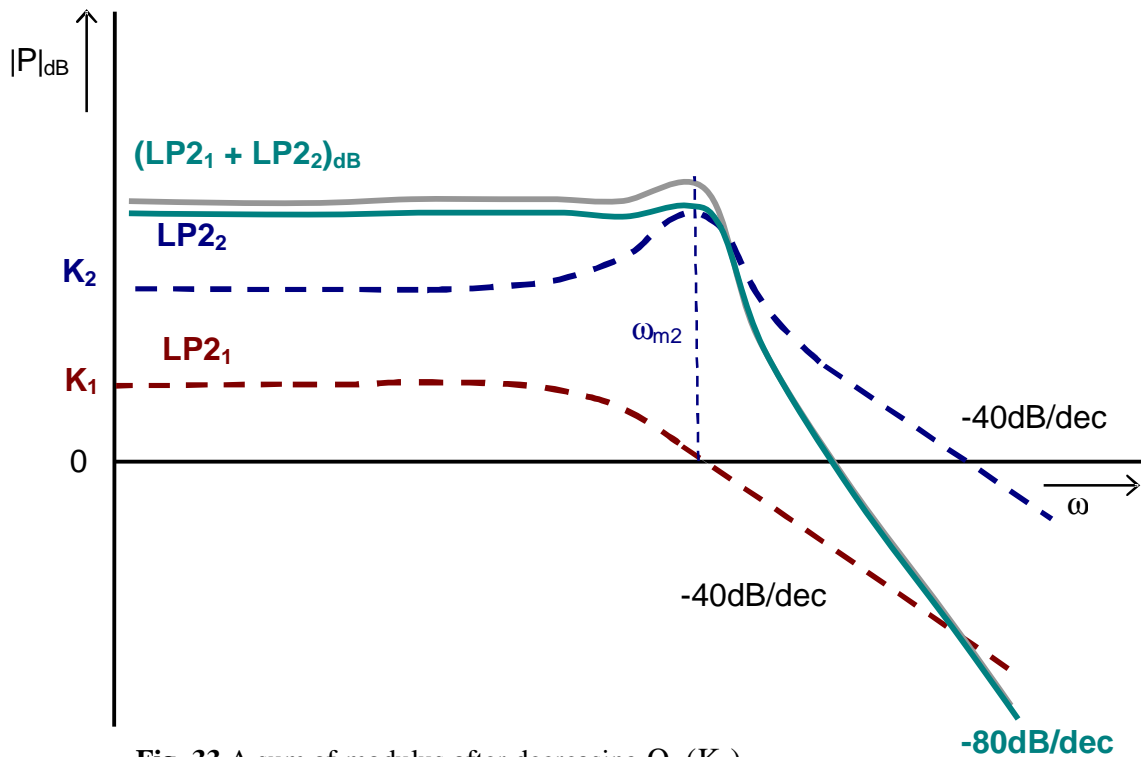


Fig. 33 A sum of modulus after decreasing  $Q_2$  ( $K_2$ )

The all history of the filter adjustment you can see in fig. 34.

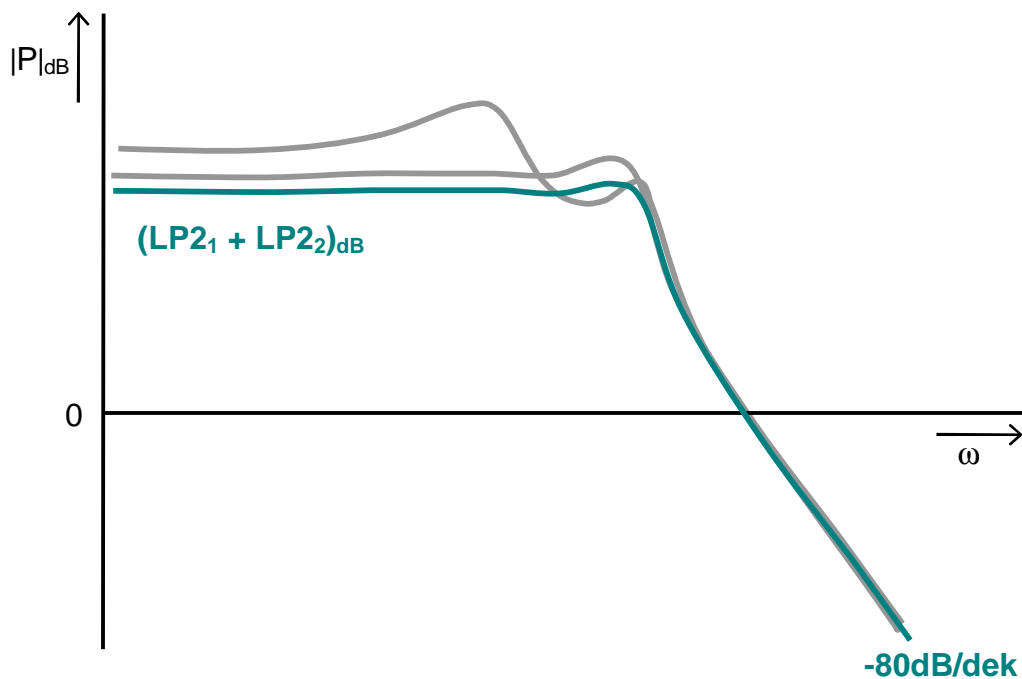


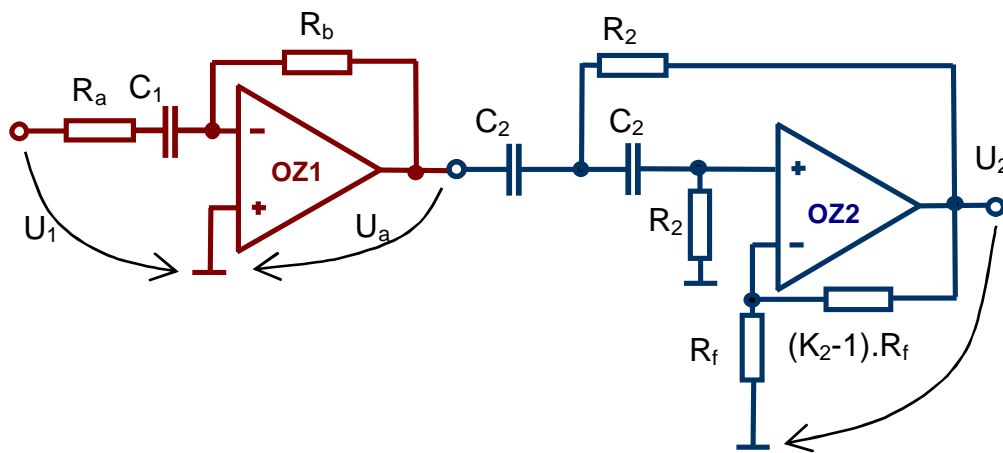
Fig. 33 A qualitative depiction of the filter adjustment history:

$$|P|_{dB} = |P_{LP21}|_{dB} + |P_{LP22}|_{dB}$$

We can see that a final filter slope is  $-80$  dB/dec – this means we have LP filter of 4<sup>th</sup> order. It was created intuitively. Needed  $\omega_{p1}$ ,  $\omega_{p2}$  and  $Q_1$ ,  $Q_2$ , we can get from graphs in figures.

If we take these parameters from known approximation functions, we get filter properties in accordance with used approximation.

### Two HPs cascade – fig. 34



**Fig. 34** Cascade connection of two HPs; HP1 (OZ1) – 1<sup>st</sup> order inverting high pass;  
HP2 (OZ2) – 2<sup>nd</sup> order noninverting highpass

It is evident that

$$P_1 = P_{HP1} = \frac{U_a}{U_1} = -\frac{R_b}{R_a + 1/(pC_1)} = -\frac{R_b}{R_a} \cdot \frac{p}{p + 1/(R_a C_1)} \Rightarrow$$

$$K_1 = -\frac{R_b}{R_a}; \quad \omega_{p1} = 1/(R_a C_1).$$

$$P_2 = P_{HP2}; \quad K_2; \quad \omega_{p2} = 1/(R_2 C_2)$$

A qualitative depiction of modulus summing is in fig. 35. If we decrease quality factor  $Q_2$  (it means  $K_2$ ) we improve the final frequency response – fig. 36. For low frequencies are filter slope  $+60$  dB/dec – it is 3<sup>rd</sup> order HP.

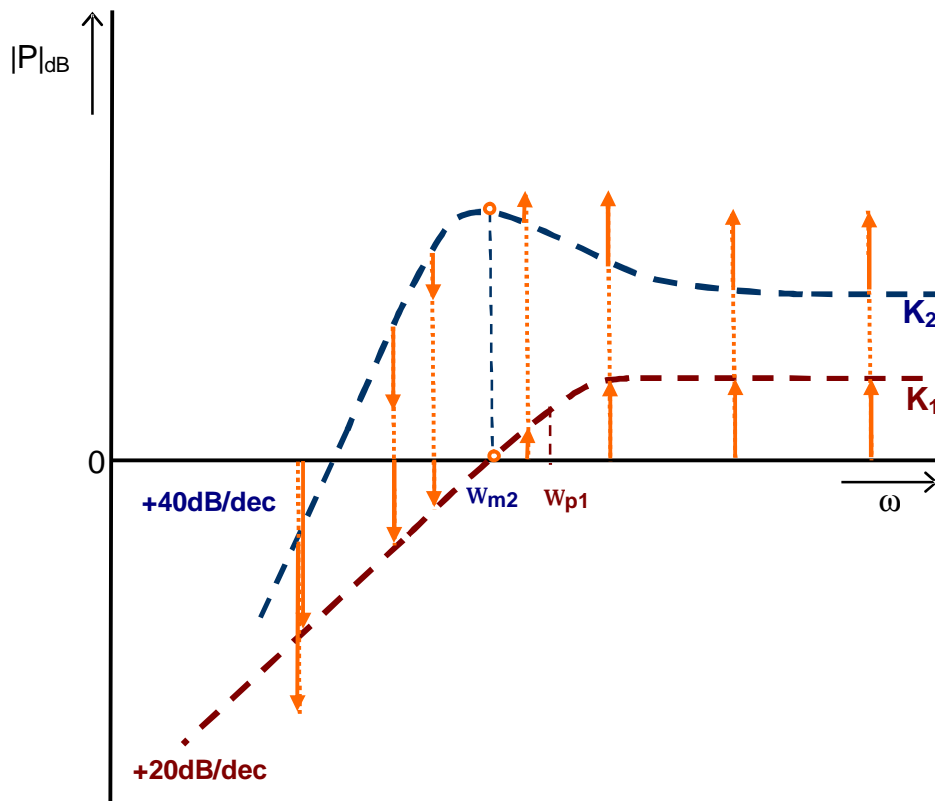


Fig. 35 A qualitative depiction of HP modulus summing

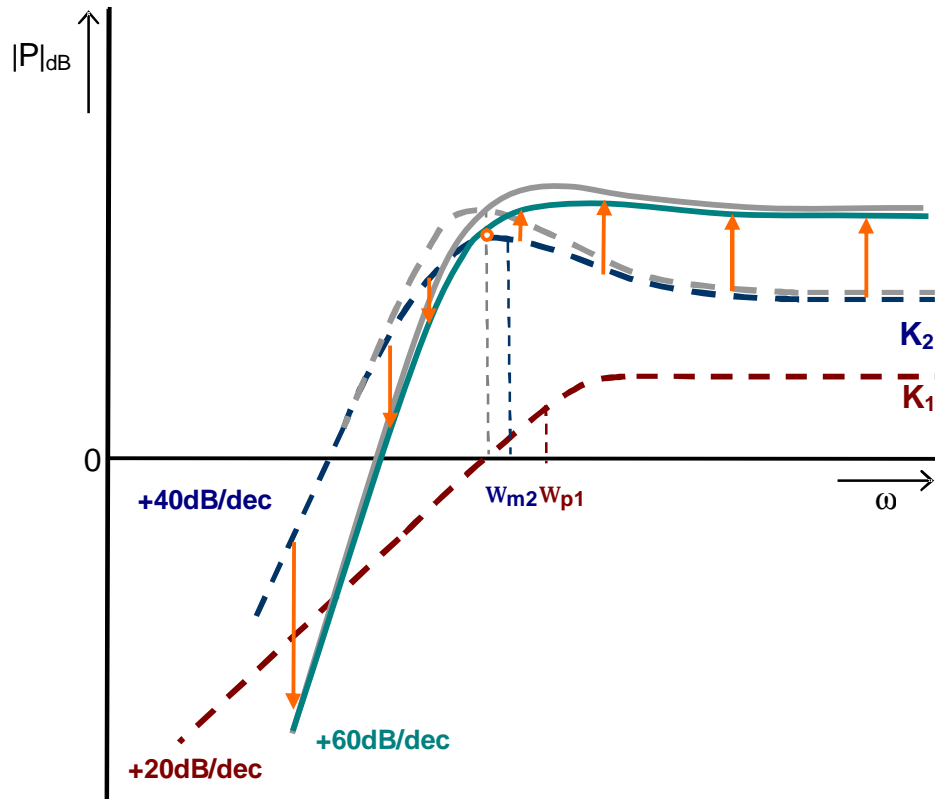
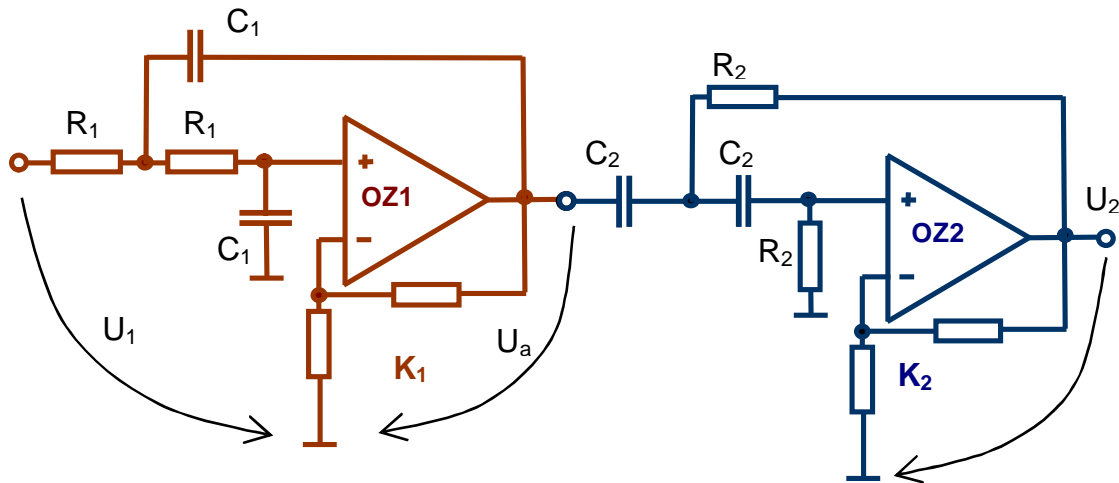


Fig. 36 A qualitative depiction of HP modulus summing

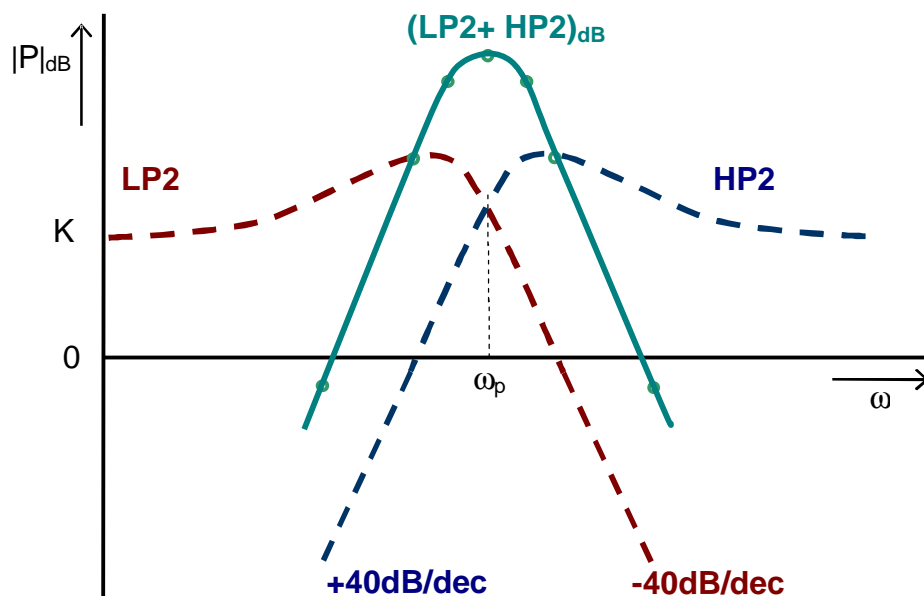
$$|P|_{dB} = |P_{HP1}|_{dB} + |P_{HP2}|_{dB} - \text{after adjustment of HP2}$$

Cascade connection of LP2 and HP2 – fig. 37



**Fig. 37** Cascade connection of LP2 ( $w_{p1} = 1/(R_1 C_1)$ ;  $Q_1 = 1/(3 - K_1)$ ) and  
HP2 ( $w_{p2} = 1/(R_2 C_2)$ ;  $Q_2 = 1/(3 - K_2)$ )

Let us choose  $K_1 = K_2 = K$  (thus  $Q_1 = Q_2 = Q$ ). A situation for  $w_{p1} = w_{p2} = w_p$  is depicted in fig. 38.



**Fig. 38** A qualitative depiction of modulus summing:  $|P|_{dB} = |P_{LP2}|_{dB} + |P_{HP2}|_{dB}$  if  
 $w_{p1} = w_{p2} = w_p$  and  $K_1 = K_2 = K$  ( $Q_1 = Q_2 = Q$ )

This frequency response describes 4<sup>th</sup> order bandpass:

$$P = P_1 \cdot P_2 = P_{LP2} \cdot P_{HP2} = \frac{K w_p^2}{p^2 + p w_p / Q + w_p^2} \cdot \frac{K p^2}{p^2 + p w_p / Q + w_p^2} \Rightarrow$$

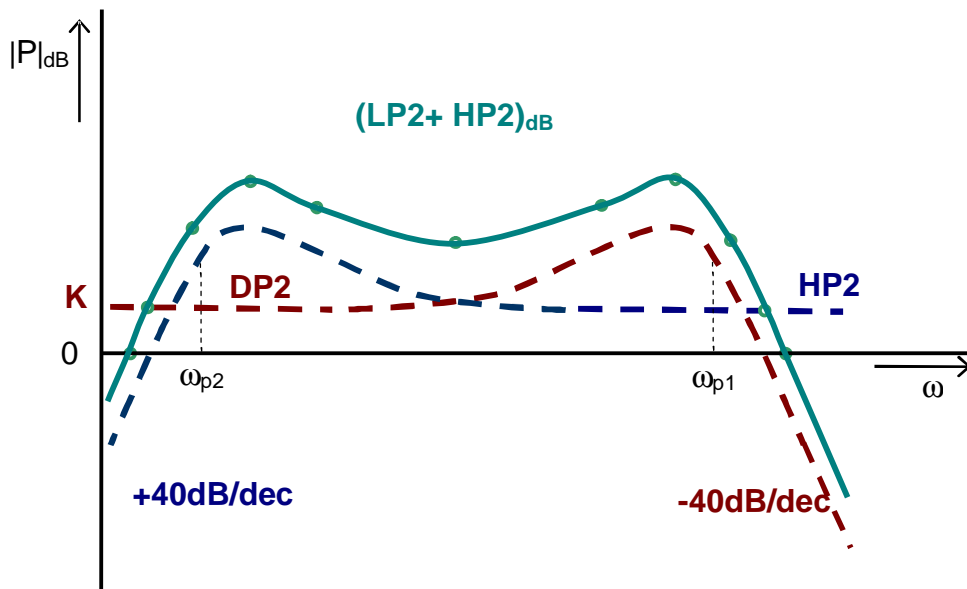
$$P = K^2 Q^2 \cdot \left( \frac{p w_p / Q}{p^2 + p w_p / Q + w_p^2} \right)^2$$

$$P(w = w_p) = K^2 Q^2 \cdot \left( \frac{j w_p \cdot w_p / Q}{-w_p^2 + j w_p \cdot w_p / Q + w_p^2} \right)^2 = K^2 Q^2$$

$$P(w \ll w_p) \rightarrow K^2 Q^2 \cdot \left( \frac{j w \cdot w_p / Q}{w_p^2} \right)^2 = -K^2 (w / w_p)^2 \text{ - asymptote with slope} \\ +40 \text{ dB/dec}$$

$$P(w \gg w_p) \rightarrow K^2 Q^2 \cdot \left( \frac{j w \cdot w_p / Q}{(j w)^2} \right)^2 = -K^2 (w_p / w)^2 \text{ - asymptote with slope} \\ -40 \text{ dB/dec}$$

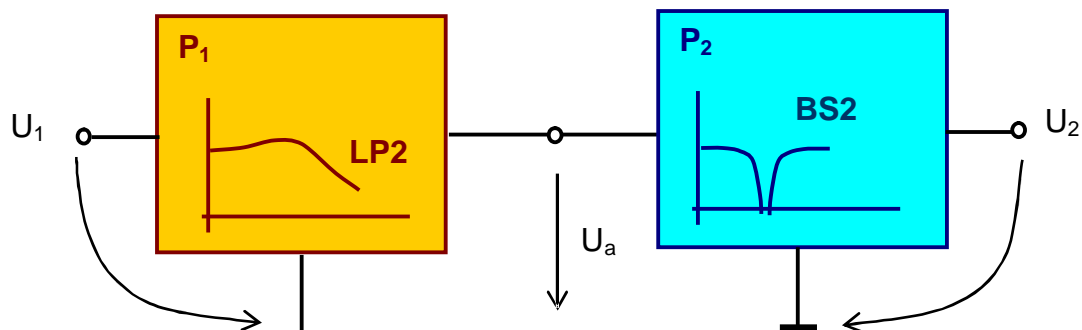
Let us choose  $K_1 = K_2 = K$  (thus  $Q_1 = Q_2 = Q$ ) and  $w_{p1} \gg w_{p2}$  - we get wideband bandpass – fig. 39.



**Fig. 39** A qualitative depiction of modulus summing:  $|P|_{dB} = |P_{LP2}|_{dB} + |P_{HP2}|_{dB}$  if  $w_{p1} \gg w_{p2}$  and  $K_1 = K_2 = K$  ( $Q_1 = Q_2 = Q$ )

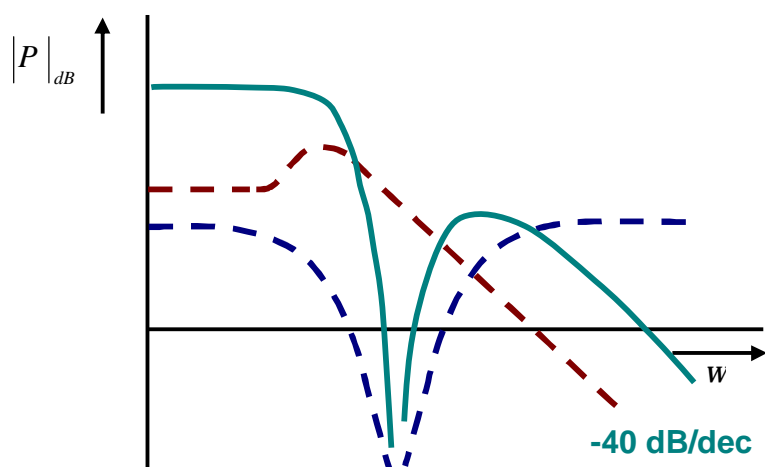
If we adjust quality factors we change frequency response, again.

Cascade connection of LP2 and BS (notch) – fig. 40



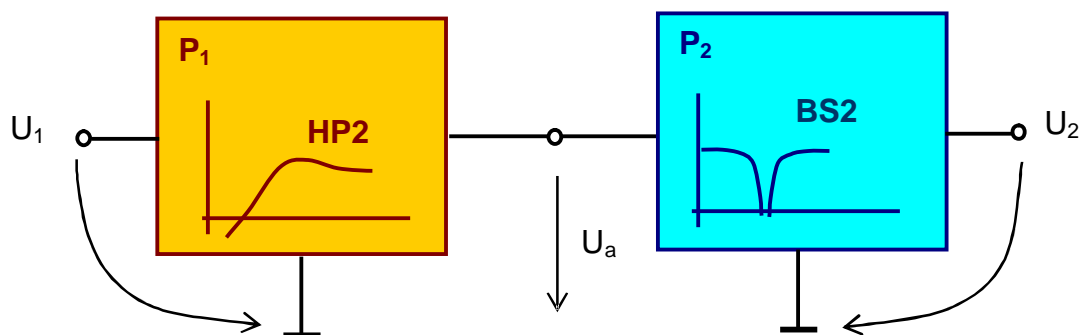
**Fig. 40** Cascade connection of LP2 and bandstop filter

That way we get a **low-pass filter with „zero frequency”** - fig. 41.



**Fig. 41** A qualitative depiction of modulus of cascade connection LP2 and BS

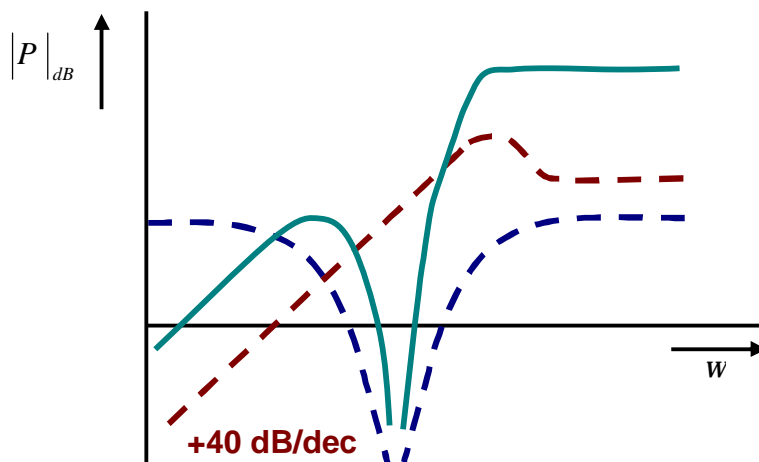
Cascade connection of HP2 and BS (notch) – fig. 42



**Fig. 42** Cascade connection of HP2 and bandstop filter



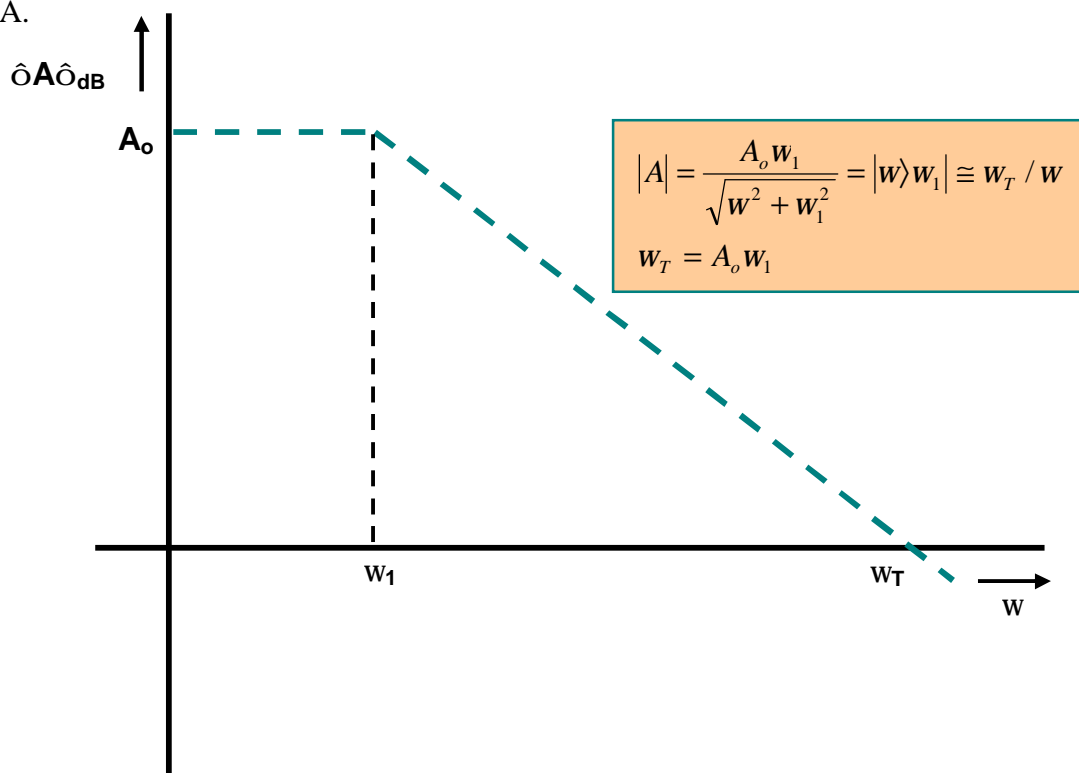
That way we get a **high-pass filter with „zero frequency”** - fig. 43.



**Fig. 41** A qualitative depiction of modulus of cascade connection HP2 and BS

### No ideal operational amplifiers

An operational amplifier is not ideal circuit component. The most degradation is a change of its gain as frequency increases – fig. 42; a real operational amplifier modulus of gain A.



**Fig. 42** A real operational amplifier modulus of gain A.

*All above done outcomes are valid if needed modulus of transfer function is much less as the operational amplifier modulus  $|A|$  - preferably for 40 dB (and more).*

In fig.43 we can see **blue lines** – these mete the above demands. **Red lines** define transfers which no mete the above demands (**“graphical criterion”**). It is evident that in real circuitry we are not able to construct an ideal HP or BS structures – high frequencies are problematic in any case (with any real operational amplifier).

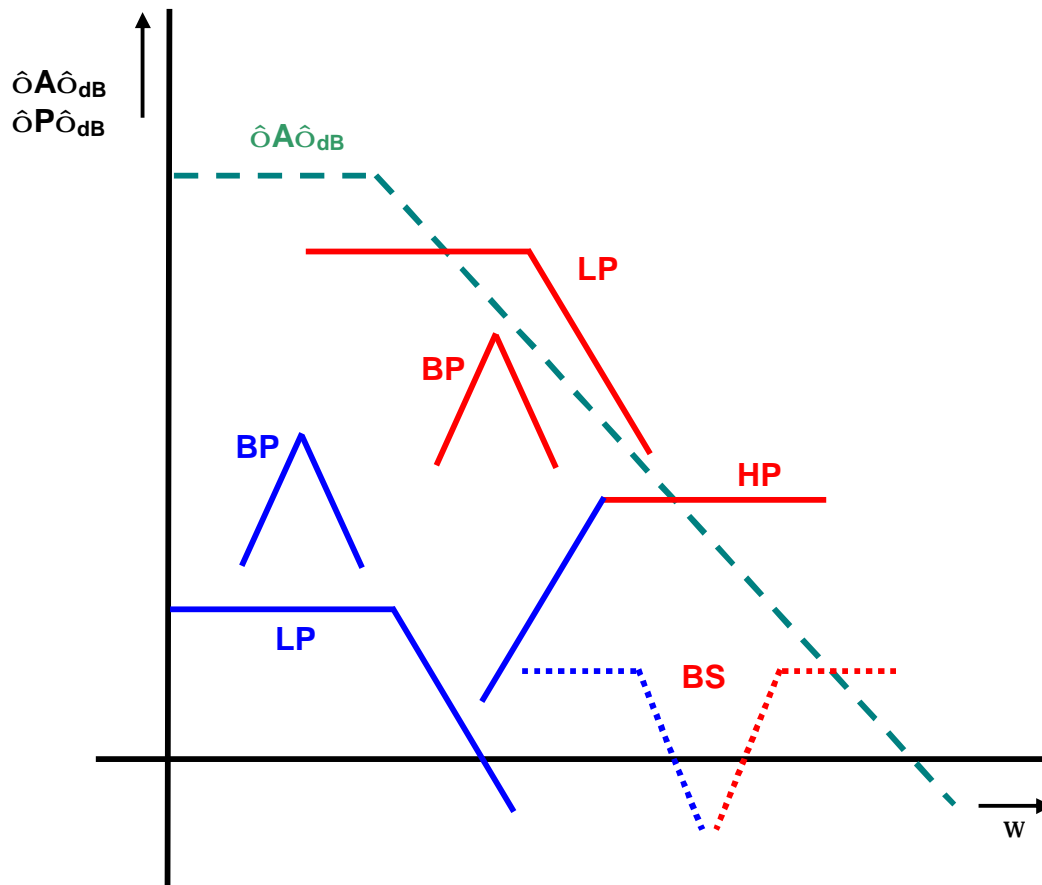


Fig. 43 A „**Graphical criterion of operational amplifier usability**”: modulus of needed transfer function is much less than modulus of OPA gain – **blue lines** – satisfactory functions; **red lines** - not satisfactory functions



## Basic texts



## Other text



## Questions



### Answers you find in this text

1. Define mathematical models of 2<sup>nd</sup> order filters (LP, HP, BP, BS – notch).
2. How many elements needs an ideal LP filter (brick-wall frequency response)?
3. What approximation has maximally flat magnitude?
4. How we can get a BS (notch) filter from LP and HP filters (the same characteristic frequency and Q)?
5. What is it a “peak” of magnitude (LP2, HP2) - how is it connected with quality factor Q?



## Problems

1. It is required that we design the second order low pass filter. However, only one op – amp is available and the stockroom has only 0,1  $\mu\text{F}$  capacitors. It does have a good stock of resistors. You are to design a filter to meet the following specifications:

- (a) The response is to be Butterworth.
- (b) The characteristic frequency  $f_0$  is 159,15 Hz.
- (c) You can choose any low frequency gain.

2. It is required that we design the third order low pass filter. You are to design a filter to meet the following specifications:

- (a) The response is to be Butterworth.
- (b) The characteristic frequency  $f_0$  is 159,15 Hz.
- (c) We need low frequency gain just 1.

3. It is required that we design the third order low pass filter. You are to design a filter to meet the following specifications:

- (a) The response is to be Butterworth.
- (b) The characteristic frequency  $f_0$  is 1591,5 Hz.
- (c) We need low frequency gain just 1.

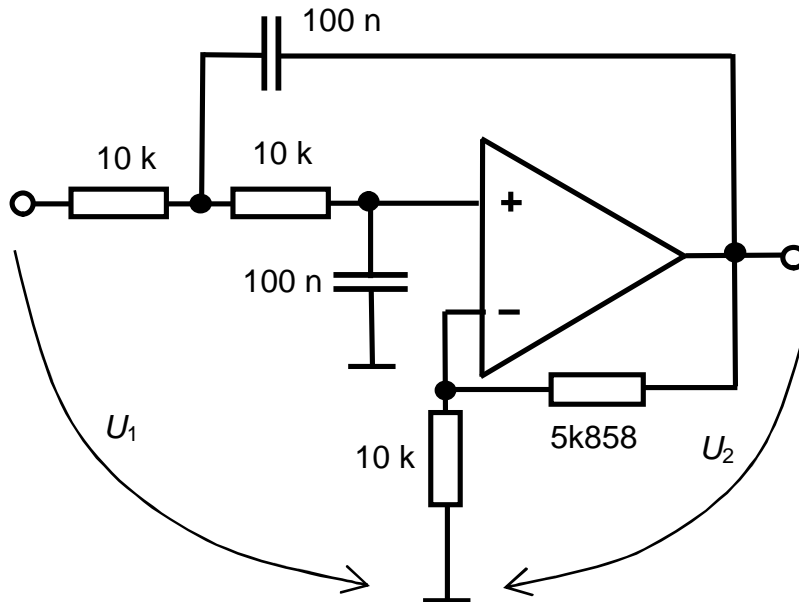
4. It is required that we design the third order low pass filter. You are to design a filter to meet the following specifications:

- (a) The response is to be Butterworth.
- (b) The characteristic frequency  $f_0$  is 15,915 Hz.
- (c) We need low frequency gain just 1.



## Problems key

**Ad 1)** See Tab.1: Butterworth filter;  $a_1 = 1,414\ 214$  thus  $Q = 1/a_1 = 0,7071$ ;  $b_1 = 1$  so  $\omega_p = b_1\omega_0 = 2\pi f_0 = 1000\ \text{rad.s}^{-1}$ . We can choose the circuit in fig.17 for example – fig.44.



**Fig. 44** One possible solution of the problem 1

Then

I. We chose value of the  $C = 100\ \text{nF}$ .

II. We determine  $R = 1/(\omega_p C) = 1/(10^3 \cdot 10^{-7}) = 10\ \text{k}\Omega$ .

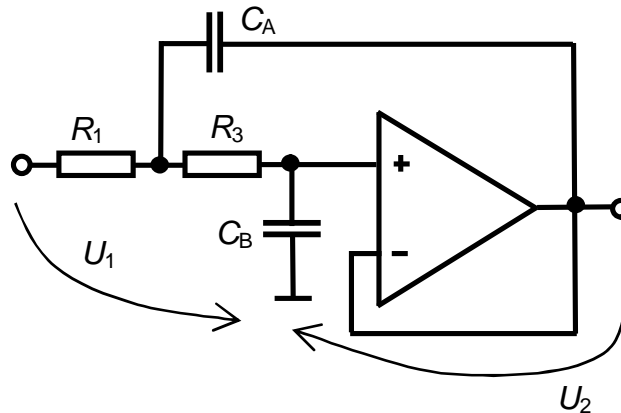
III. We determine needed  $K = 3 - 1/Q = 3 - 1/0,7071 = 1,5858$ . We chose suitable value of the  $R_f = 10\ \text{k}\Omega$  and determine corresponding value  $(K-1) \cdot R_f = 0,5858 \cdot R_f = 5,858\ \text{k}\Omega$ .

**Ad 2)** See Tab.1: Butterworth filter;

n	$b_0$	$a_1$	$b_1$
3	1, 000000	1, 000000	1, 000000

Thus we must use a cascade connection of one the second order LP filter:  $a_1 = 1,000000$ ;  $b_1 = 1,000000 \rightarrow Q_1 = 1/a_1 = 1$  and  $\omega_p = b_1\omega_0 = 2\pi f_0 = 1000\ \text{rad.s}^{-1}$  and  $Q = 0,7071$ ; and one the first order LP with  $\omega_p = b_1\omega_0 = 2\pi f_0 = 1000\ \text{rad.s}^{-1}$ .

For the second order filter part we can choose the circuit in fig.17 for example – with  $K = 1$  – see fig. 45 – and a usual choice  $R_1 = R_3 = R$ .



**Fig. 45** The second order part of the all filter with low pass gain 1

Then

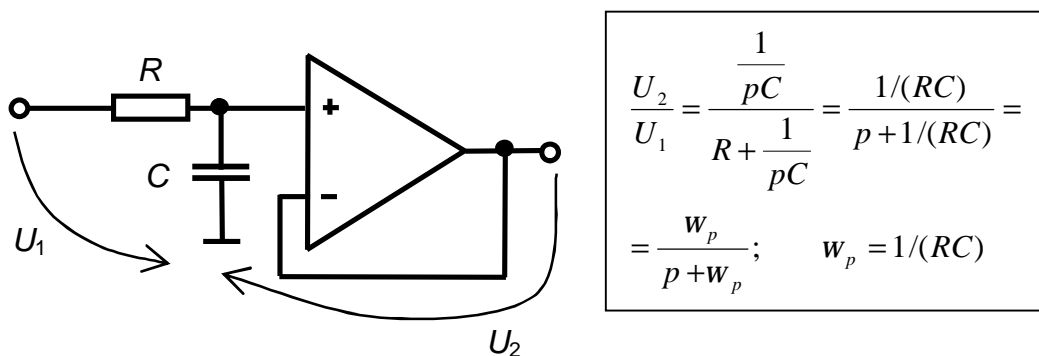
I. We chose value of the  $R = 10 \text{ k}\Omega$ .

II. We determine

$$C_A = \frac{2Q_1}{Rw_p} = \frac{2}{Rw_p} = \frac{2}{10^4 \cdot 10^3} = 2 \cdot 10^{-7} \text{ F} \quad (200 \text{ nF})$$

$$C_B = \frac{1}{2Q_1 R w_p} = \frac{1}{2 \cdot R w_p} = \frac{C_A}{4} = 0,5 \cdot 10^{-7} \text{ F} \quad (50 \text{ nF})$$

For the first order filter part we can choose the circuit in fig.24 for example – with  $K = 1$  – see fig. 46.

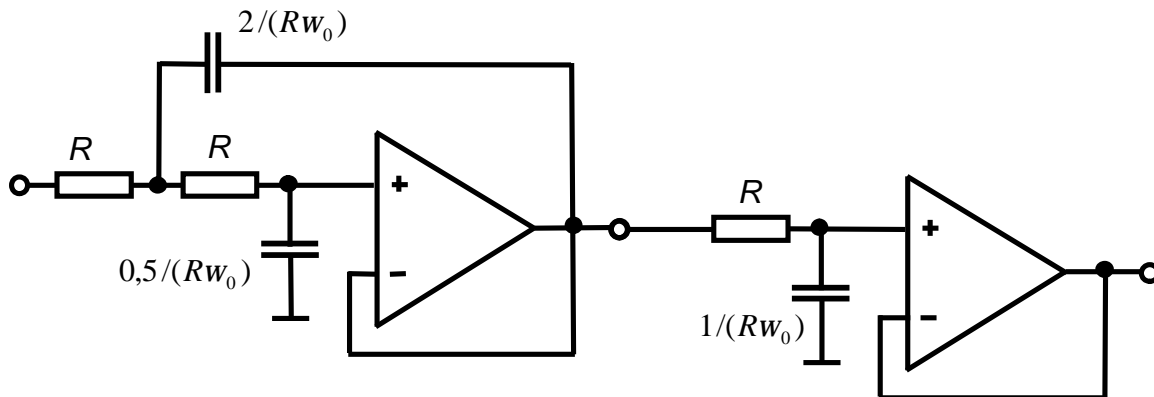


**Fig. 46** The first order part of the all filter with low pass gain 1

I. We chose value of the  $R = 10 \text{ k}\Omega$ .

II. We determine  $C = \frac{1}{Rw_p} = 10^{-7} \text{ F} \quad (100 \text{ nF})$

Then the “whole” 3<sup>rd</sup> order Butterworth LP we can see in fig. 47.



**Fig. 47** The whole third order Butterworth LP with low pass gain 1; if  $\omega_0 = 10^3$  and  $R = 10^4$  then  $1/(R\omega_0) = 10^{-7}$

**Ad 3)** We can use the solution of the problem 2. It is evident that needed new characteristic frequency is ten times larger than 159, 15 Hz. Thus it is enough to divide all capacitances by 10 (or it is enough to divide all resistances by 10) to get needed characteristic frequency. Now is valid  $1/(R\omega_0) = 10^{-8}$ . Or we can to divide all capacitances by 2 and all resistances by 5, for example.

**Ad 4)** We can use the solution of the problem 2. It is evident that needed new characteristic frequency is ten times smaller than 159, 15 Hz. Thus it is enough to multiple all capacitances by 10 (or it is enough to multiple all resistances by 10) to get needed characteristic frequency. Now is valid  $1/(R\omega_0) = 10^{-6}$ . Or we can to multiple all capacitances by 2 and all resistances by 5, for example.



## Recommendation

**If you can solve and answer more than circa 60 % of the problems and questions, you may continue your study.**

1. 7. 2009

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Josef PUNČOCHÁŘ

## ANALYSIS OF SALLEN AND KEY LOW-PASS FILTERS WITH REAL OPERATIONAL AMPLIFIERS

### Abstract

Generalized nodal voltage analysis is very simple and useful in the analysis of linear active networks with active n-terminals, thus it is useful for the analysis of Sallen and Key filters, too. In the first order analysis of filters it is most convenient to assume the op amp to be ideal. In exacting applications, the design must be based on a more accurate op amp model. It has been found that the op amp's bandwidth  $f_T$  and output resistance  $R_o$  are the most limiting factors for Sallen and Key second-order low-pass filters.

### 1. INTRODUCTION

Generalized nodal voltage analysis is very simple and useful in the analysis of linear active networks with active n-terminals, thus, it is useful for the analysis of Sallen and Key filters, too.

Sallen and Key second-order filters (Fig.1) are very important because higher-order filters can be designed using them. In the first order analysis of filters it is most convenient to assume the op amp to be ideal. If the op amp is not ideal, its parameters ( $A_0$ ,  $\omega_1$ ,  $R_o$ ) can have a drastic effect on filter response.

### 2. GENERALIZED NODAL VOLTAGE ANALYSIS

Let us revise basic rules of the generalized nodal voltage analysis [10, 11]:

- 1) First we determine the admittance matrix of the circuit „without“ active n-terminal („passive part“ of the circuit);  $I_i$  are exciting currents,  $U_i$  are nodal voltages,  $Y_{11}$ , ...,  $Y_{mm}$  are elements of the admittance matrix;  $Y_{kk}$  are sums of admittances of elements connected to the k-th node - they are always positive;  $Y_{rs}$  are sums of admittances of elements connected between the r-th and s-th nodes - all these elements are negative.

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Doc. Dr. Ing. ; katedra teoretické elektrotechniky

- 2) Now we rewrite matrices of the active n-terminals in the same system of nodes (in the admittance matrix „without“ active n-terminals).
- 3) In „places of coincidences“ we add the respective matrix elements from the matrices of active n-terminals.
- 4) The resultant admittance matrix, thus, the circuit equations, describe the linear active network and we can solve the problem - the analysis of circuit with active n-terminals by means of Cramer's rule.

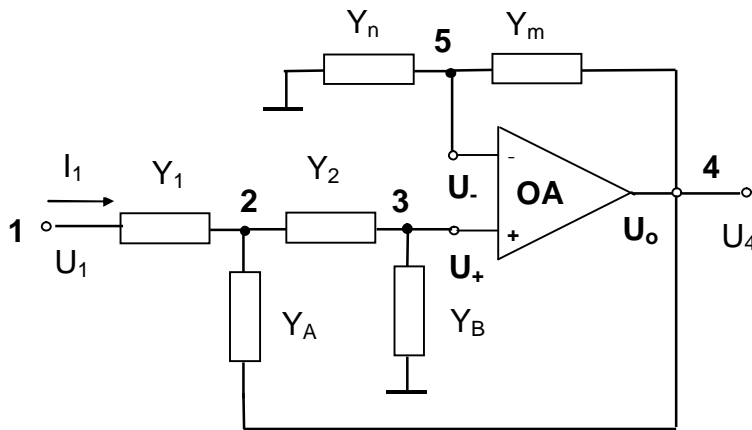


Fig.1. Sallen and Key filters

It is evident that for the generalized voltage analysis of Sallen and Key second-order low-pass filters ( Fig.1) it would be known an **admittance matrix of real operational amplifier**.

## 2.1 A matrix description of op amps

The assignment and sign convention for input and output voltages and currents of operational amplifiers is shown in Fig.2.

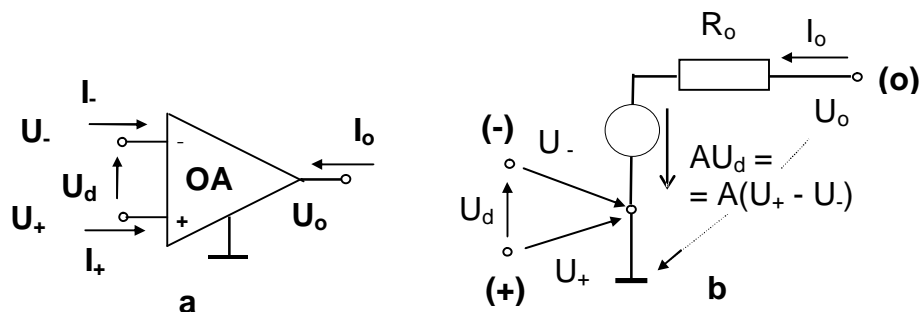


Fig.2. a) Symbol for an operational amplifier ; b) signal model of OA



The ground (reference) terminal provides a reference point for the three others (Fig. 2b):

- noninverting input (+)
- inverting input (-)
- output (o)

The simplified signal model (for  $I_+ = I_- = 0$ ) is shown in Fig.2b. We can easily determine equations

$$I_+ = 0 \cdot U_+ + 0 \cdot U_- + 0 \cdot U_o$$

$$I_- = 0 \cdot U_+ + 0 \cdot U_- + 0 \cdot U_o$$

$$I_o = [U_o - A(U_+ - U_-)]/R_o = -AG_o U_+ + AG_o U_- + G_o U_o$$

A matrix form (model) of the equations is

$$\begin{matrix} & (+) & (-) & (o) \\ \begin{matrix} (+) \\ (-) \\ (o) \end{matrix} & \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ -AG_o & AG_o & G_o \end{bmatrix} & \begin{bmatrix} U_+ \\ U_- \\ U_o \end{bmatrix} & = & \begin{bmatrix} I_+ \\ I_- \\ I_o \end{bmatrix} \end{matrix} \quad (1)$$

The eq.(1) describes the matrix admittance model of the operational amplifier with the output resistance  $R_o (= 1/G_o)$  and voltage gain  $A$ .

## 2.2 Results for real and ideal op amps

Now we can analyze the linear electronic circuit in Fig.1. First we number nodes. There is only one signal current source  $I_1$  in the circuit. We use the admittance matrix from the eq. (1) and basic rules of generalized nodal voltage analysis - we get:

	1	2	3 (+)	4 (o)	5 (-)		
1	$Y_1$	$-Y_1$	0	0	0	$U_1$	$I_1$
2	$-Y_1$	$Y_1 + Y_A + Y_2$	$-Y_2$	$-Y_A$	0	$U_2$	0
3 (+)	0	$-Y_2$	$Y_2 + Y_B + (0)$	$0 + (0)$	$0 + (0)$	$U_3$	0
4 (o)	0	$-Y_A$	$0 + (-AG_o)$	$Y_A + Y_m + (G_o)$	$-Y_m + (AG_o)$	$U_4$	0
5 (-)	0	0	$0 + (0)$	$-Y_m + (0)$	$Y_m + Y_n + (0)$	$U_5$	0

ROW COL.

$(+) \leftrightarrow (-)$  - „coincidences“; we add respective matrix elements from the eq.(1);

e.g.:  $(o) \leftrightarrow (-)$  give us ...  $+ (AG_o)$ ,  $(-) \leftrightarrow (o)$  give us ...  $+ (0)$

This set of equations defines the electronic circuit in the Fig.1 with the real operational amplifier (we suppose zero input currents only).

In general, the frequency response of the op amp is determined by many poles and zeros; however, in order to assure stability in closed-loop feedback configurations, most modern op amps are designed to have a dominant real pole at  $p = -\omega_l$ , so the suitable model of an op amp voltage gain [1, 2, 8] is ( $p = j\omega$  for steady state solution)

$$A(p) = \omega_T / (p + \omega_1) \cong \omega_T / p \quad (2)$$

consequently

$$A(s) = (\omega_T / \omega_0) / (p / \omega_0) = \gamma / s \quad (3)$$

where  $\omega_T$  is gain-bandwidth product defined as  $\omega_T = A_0 \omega_1$ ;  $A_0$  is the op amp's dc gain;  $\omega_1$  is the 3-dB frequency;  $\gamma = \omega_T / \omega_0$  is the normalized gain-bandwidth product;  $s = p / \omega_0$  is the normalized frequency ( $j\omega / \omega_0$  for steady state solution).

In reality output impedance has an effect on filters response, too. The op amp open - circuit impedance is considered to be ohmic,  $R_o = 1/G_o$  [1].

Solving matrix set of equations [3 ] for

$$\begin{aligned} Y_1 = G_1 = 1/R_1; & \quad Y_2 = G_2 = 1/R_2; & \quad Y_A = pC_A; & \quad Y_B = pC_B; \\ Y_m = G_m = 1/R_m; & \quad Y_n = G_n = 1/R_n \end{aligned}$$

give us voltage transfer function  $P(s) = U_4/U_1$  of the analyzed circuit ( A - see eq.(3)):

$$P(s) = \frac{R_o/R_1}{D + R_o/R_{12}} \cdot \frac{s^3 + s^2 w_0/w_A + g R_1/R_o}{s^3 + s^2 \frac{g/H + D/Q + Hw_0/w'_A}{D + R_o/R_{12}} + s \frac{g/(HQ) + D}{D + R_o/R_{12}} + \frac{g/H}{D + R_o/R_{12}}} \quad (4)$$

where

$$\begin{aligned} \omega_0 = 1/\sqrt{R_1 R_2 C_A C_B} & \quad \text{is the ideal characteristic frequency} \\ H = 1 + R_m/R_n & \quad \text{is the ideal „dc gain“} \end{aligned}$$

$$1/Q = \sqrt{\frac{R_1 C_B}{R_2 C_A}} + \sqrt{\frac{R_2 C_B}{R_1 C_A}} + \sqrt{\frac{R_1 C_A}{R_2 C_B}} (1 - H) \quad \text{defines the „ideal Q“}$$

$$D = (R_m + R_n + R_o) / (R_m + R_n) = |R_m + R_n \rangle \rangle R_o \approx 1$$

$$w_A = 1/(R_1 C_A); \quad R_{12} = R_1 R_2 / (R_1 + R_2); \quad 1/w'_A = (R_1 + R_o / (DH)) C_A$$

For an ideal op amp ( $\gamma \rightarrow \infty$ ) we can derive the known „ideal normalized low-pass“ transfer function

$$P_{id} = U_o / U_i = H / (s^2 + s/Q + 1) \quad (4a)$$

### 3. DISCUSSION OF RESULTS

The loci of **zeros** ( **poles** ) are obtained by factoring the numerator (denominator) of eq.(4) for different values of  $\gamma$ ,  $R_o/R_1$  and  $Q$ .

#### 3.1 Zeros - feedforward transmission [3, 4, 5]

The feedforward transmission through the Sallen and Key feedback impedances („into  $R_o$ “) takes place at high frequencies as a result of the decrease in the open-loop gain  $A(s)$ . The transfer function  $P(s)$  has a cubic equation in the numerator. It can be determined that for  $\omega R_2 C_B \gg 1$  we can write

$$s^3 + s^2 \omega_0 / \omega_A + g R_1 / R_o \cong s^3 + g R_1 / R_o \quad (5)$$

This has three solutions for  $s$  which make the numerator equal to zero:

$$s_{Z1} = -\sqrt[3]{g R_1 / R_o} \quad ; \quad s_{Z2,3} = |s_{Z1}| \cdot \exp(\pm j\pi / 3)$$

It means that

$$|s_{Z1}| = |s_{Z2}| = |s_{Z3}| = \sqrt[3]{g R_1 / R_o}$$

consequently the zero frequency is ( $|s_Z| = \omega_Z / \omega_0$ )

$$\omega_Z = \omega_{Z1} = \omega_{Z2} = \omega_{Z3} = \omega_0 \cdot \sqrt[3]{g R_1 / R_o} = \sqrt[3]{\omega_0^2 \omega_T R_1 / R_o} \quad (6)$$

In the frequency domain (Fig.3), those three zeros will manifest themselves by stopping the decrease in the closed-loop gain. If  $\omega_Z \gg \omega_0$  we can derive

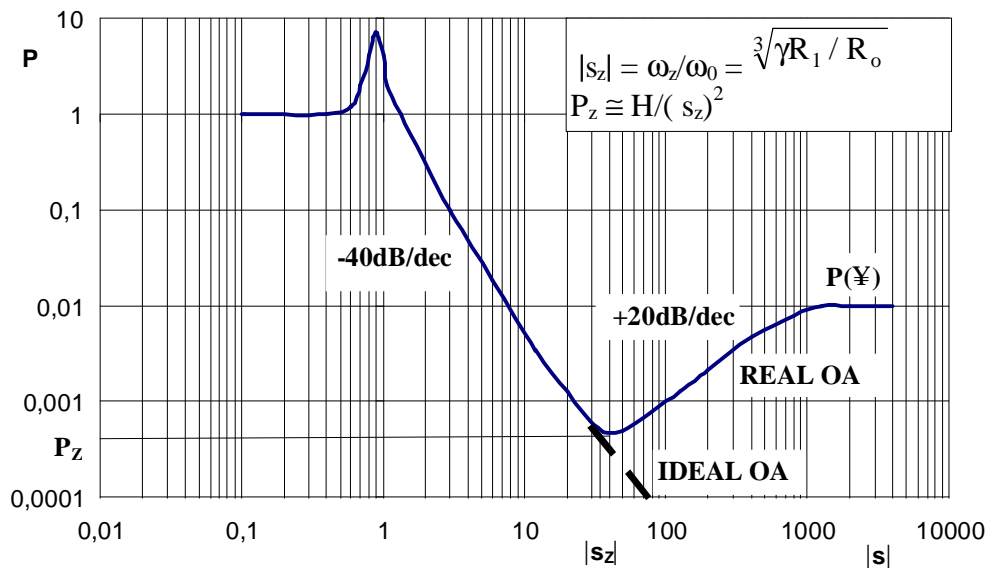


Fig.3. Frequency response of an ideal and real operational amplifier

$$P_Z \cong P_{id}(s = s_Z) = \frac{H}{s_Z^2 + s_Z / Q + 1} \cong \frac{H}{s_Z^2} = \frac{Hw_0^2}{w_Z^2} \quad (7)$$

and (refer to Fig.3)

$$P_{zdB} = 20 \log P_Z = 20 \log H - 20 \log(w_Z / w_0)^2 = 20 \log H - \frac{40}{3} \log \left( \frac{w_T R_1}{w_0 R_o} \right) \quad (8)$$

As the value of  $s$  becomes larger, the transfer function increases and approaches value ( $R_1 \gg R_o$ )

$$P(\infty) \cong 20 \log(R_o / R_1) \quad (9)$$

where  $P(\infty)$  is the **feedforward transmission for**  $|s| > w_T / \omega_0$ .

The effect of op amp parameters on the over-all filter performance has been simulated by a computer program (MCII) and also was tested in the laboratory. Results show that the filter response is in accordance with previous equations - Fig.5.

### 3.2 Poles - real characteristic frequency [3, 12]

The loci of **poles** are obtained by factoring the denominator of eq.(4) for different values of  $\gamma$ ,  $R_o/R_1$  and  $Q$ . The equation

$$s^3 + bs^2 + cs + d = 0 \quad (10)$$

has one real root [b, c, d - see denominator of eq.(4) ], and if this is found, say  $s = s_1$  (**high frequency pole**), the equation can be factorized into the suitable form

$$(s - s_1) \cdot (s^2 + sk_r / Q_r + k_r^2) = 0 \quad (11)$$

or

$$(s - s_1) \cdot (s - s_2) \cdot (s - s_3) = 0 \quad (12)$$

where  $s_{2,3} = -\alpha \pm j\beta$  are two complex-conjugate roots - **dominant poles**.

Comparing eq.(11) and eq.(12),  $k_r$ ,  $Q_r$ ,  $\alpha$  and  $\beta$  must satisfy:

$$k_r / Q_r = 2a \quad \text{or} \quad a = k_r / (2Q_r) \quad (13)$$

$$k_r^2 = a^2 + b^2 \quad \text{or} \quad b^2 = k_r^2 [1 - 1/(4Q_r^2)] \quad (14)$$

$$Q_r = \sqrt{a^2 + b^2} / (2a) \quad (15)$$

where  $k_r = \omega_r/\omega_0$  is the normalized characteristic frequency „with real operational amplifier“  $Q_r$  is the „real Q“.

Comparing eq.(10) and eq.(11) we get (exact equations)

$$s_1 = -b + k_r / Q_r \quad (16)$$

$$k_r^2 = d / (-s_1) \quad (17)$$

$$Q_r = \sqrt{d(-s_1)} / (c - k_r^2) \quad (18)$$

We are able to solve eq.(10) completely if we know the real root  $s_1$ .

It is evident that for the op amp with  $\gamma \gg 1$  is  $b \gg 1$ ,  $k_r \rightarrow 1$ ,  $Q_r \rightarrow Q$ , consequently  $s_1 \approx -b$ . Combining this and eqs.(17), (18) and again (16) gives

$$s_1 \approx -b + c / b - d / b^2 \quad (19)$$

Repeated combining eq.(19) and eqs.(17), (18) and (16) gives (for  $b^2 \gg c$ )

$$s_1 \approx -b + bc / (b^2 - c) - d / b^2 \quad (20)$$

For example, we assume  $H = 1$  ( $R_m = 0$ ,  $R_n \rightarrow \infty$ ),  $R_1 = R_2 = R$ . The expressions for  $\omega_o^2$ ,  $1/Q$ ,  $R_{12}$ ,  $1/\omega'_A$  simplify to:  $w_o^2 = 1/(R^2 C_A C_B)$ ;  $1/Q = 2 \cdot \sqrt{C_B / C_A}$ ;  $R_{12} = R/2$ ;  $1/w'_A = (R + R_o)C_A$ ; consequently:

$$w_o/w'_A = 2Q(1 + R_o / R);$$

$$b = \frac{g + 1/Q + 2Q(1 + R_o / R)}{1 + 2R_o / R}; \quad c = \frac{g/Q + 1}{1 + 2R_o / R}; \quad d = \frac{g}{1 + 2R_o / R}$$

The results are summarized in Table 1.

#### 4. EXPERIMENTS

To overcome (partly) the effect of the op amp output resistance we can modify the RC network. What is required is a circuit having a falling response above frequency  $f_z = \omega_z/(2\pi)$ . This can be done by falling  $R_1$  into two parts and adding a compensating capacitor  $C_k$ . In the frequency domain, this new pole manifests itself by stopping the increase in the closed-loop gain (above  $f_z$ ) and by decrease in the closed-loop gain for frequencies above  $f_T/H$ .

Table 1. Some results of analysis - poles

Notes: ECS - Exact Cardan's Solution; HNE - Has Not Effect; NE - No Exist;

IOA - Ideal Op Amp; ROA - Real Op Amp

EQUATIONS→			(20)	(17)	(18)	ECS	(17)	(18)	NO- TES
Q	$\gamma$	$R_o/R$	$-s_1$	$k_r$	$Q_r$	$-s_1$	$k_r$	$Q_r$	
<b>0,5</b>	$\infty$	HNE	NE	1	0,5	NE	1	0,5	IOA
	100	0	101,2	→	→	101,2	0,9949	0,5025	ROA
		0,1	83,933	→	→	83,933	0,9964	0,5023	
		0,5	49,751	→	→	49,750	1,0025	0,5013	
	35	0	36,059	→	→	36,058	0,9852	0,5073	ROA
		0,1	29,799	0,9893	0,5067	29,797	0,9894	0,5066	
		0,5	17,258	1,0070	0,5039	17,251	1,0072	0,5038	
	15	0	16,142	0,9640	0,5175	16,136	0,9642	0,5174	ROA
		0,1	13,206	0,9729	0,5163	13,198	0,9732	0,5161	
		0,5	7,2913	1,0141	0,5110	7,2564	1,0166	0,5099	
	<b>0,6</b>	0,1	30,042	→	→	30,042	0,9853	0,6107	ROA
	<b>1</b>		30,891	→	→	30,893	0,9717	1,0331	
	<b>3</b>		34,664	→	→	34,664	0,9173	3,2732	
	<b>10</b>		47,517	→	→	47,517	0,7835	11,871	
<b>3</b>	200	0,1	172,12	0,9840	3,0561	←	←	←	ROA
	100		88,799	→	→	88,800	0,9687	3,1086	
	15		18,038	0,8325	3,4864	18,039	0,8324	3,4864	
	8		12,237	0,7381	3,5975	12,240	0,7380	3,5977	
<b>10</b>	200	0,1	184,99	→	→	184,99	0,9492	10,578	ROA
	100		101,67	→	→	101,67	0,9053	11,027	
	15		30,921	0,6358	11,709	30,862	0,6364	11,703	
	200	0	220,1	→	→	220,1	0,9532	10,443	ROA
	200	0,5	114,97	→	→	114,97	0,9326	11,134	
	$C_A/C_B = 400$								

The practical configuration of the Sallen and Key low-pass filter is in Fig.4. Table 2 and Fig.5 summarize properties of this filter.

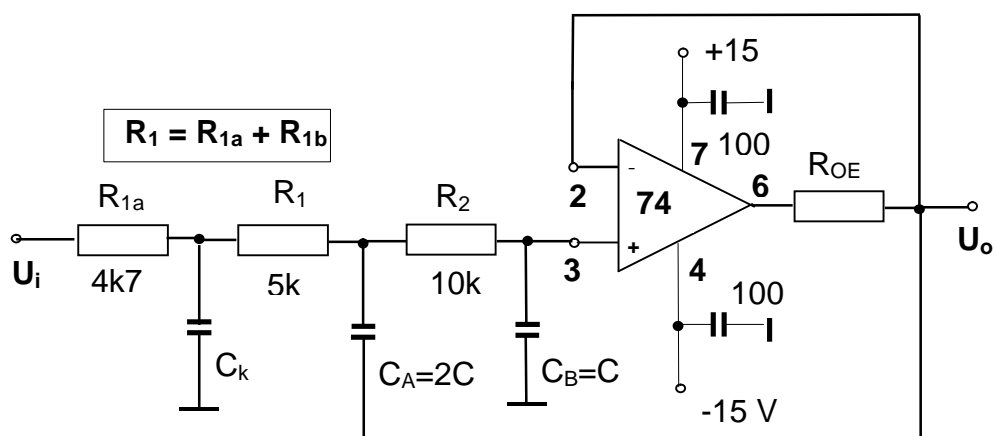


Fig.4. Practical realization of the Sallen and Key low-pass filter with the „741“ [ $R_o \cong 70 \Omega$ ;  $f_T \cong 0,6 \text{ MHz}$  -  $f_T$  measured]

It is evident that

$$H = 1 + 0/\infty = 1$$

$$R_1 = R_2 = R = 10 \text{ k}\Omega$$

$$C_A = 2C_B = 2C$$

consequently

$$f_0 = 1/(2\pi\sqrt{2} RC)$$

$$Q = 0,5 (C_A/C_B)^{1/2} = 0,707 \dots \text{Butterworth approximation.}$$

Table 2. Some results of the circuit in Fig.4 analysis and measurement

f	P(f) = U <sub>o</sub> /U <sub>i</sub> (U <sub>i</sub> = 1 V)				
Hz	[- /dB]				
40	0.948/ -0.46	0.946/ -0.48	0.947/ -0.47	-	-
60	0.800/ -1.94	0.798/ -1.96	0.800/ -1.94	-	-
80	0.603/ -4.40	0.599/ -4.45	0.600/ -4.43	-	-
100	0.432/ -7.30	0.431/ -7.32	0.433/ -7.28	-	-
200	0.119/ -18.5	0.119/ -18.5	0.117/ -18.6	-	-
500	0.0193/ -	0.0191/ -34.4	0.0195/ -34.2	-	-
1 k	4.62.10 <sup>-3</sup> / -	4.52.10 <sup>-3</sup> / -	4.57.10 <sup>-3</sup> / -	0.990/ -0.09	0.987/ -0.11
2 k	1.15.10 <sup>-3</sup> / -	1.00.10 <sup>-3</sup> / -	9.77.10 <sup>-4</sup> / -	0.979/ -0.18	0.971/ -0.26
3 k	5.62.10 <sup>-4</sup> / -	5.69.10 <sup>-4</sup> / -	<b>6.26.10<sup>-4</sup>/ -</b>	0.882/ -1.09	0.869/ -1.21
4 k	3.80.10 <sup>-4</sup> / -	<b>3.55.10<sup>-4</sup>/ -</b>	<b>4.90.10<sup>-4</sup>/ -</b>	0.750/ -2.50	0.748/ -2.52
5 k	2.51.10 <sup>-4</sup> / -	<b>2.60.10<sup>-4</sup>/ -</b>	<b>5.31.10<sup>-4</sup>/ -</b>	0.541/ -5.34	0.530/ -5.51
7 k	<b>1.41.10<sup>-4</sup>/ -</b>	<b>2.99.10<sup>-4</sup>/ -</b>	7.08.10 <sup>-4</sup> / -	0.299/ -10.5	0.295/ -10.6
8 k	<b>1.26.10<sup>-4</sup>/ -</b>	3.35.10 <sup>-4</sup> / -	7.94.10 <sup>-4</sup> / -	0.211/ -13.5	0.209/ -13.6
10 k	<b>1.58.10<sup>-4</sup>/ -</b>	4.12.10 <sup>-4</sup> / -	1.05.10 <sup>-3</sup> / -	0.155/ -16.2	0.150/ -16.5
20k	2.24.10 <sup>-4</sup> / -	7.50.10 <sup>-4</sup> / -	2.11.10 <sup>-3</sup> / -	0.0376/ -	0.0372/ -
50k	4.22.10 <sup>-4</sup> / -	1.76.10 <sup>-3</sup> / -	5.01.10 <sup>-3</sup> / -	5.96.10 <sup>-3</sup> / -	5.89.10 <sup>-3</sup> / -
100 k	7.76.10 <sup>-4</sup> / -	3.80.10 <sup>-3</sup> / -	1.00.10 <sup>-2</sup> / -	<b>1.64.10<sup>-3</sup>/ -</b>	1.60.10 <sup>-3</sup> / -
200 k	1.51.10 <sup>-3</sup> / -	7.08.10 <sup>-3</sup> / -	0.0197/ -34.1	<b>1.30.10<sup>-3</sup>/ -</b>	1.26.10 <sup>-3</sup> / -
300 k	2.07.10 <sup>-3</sup> / -	1.00.10 <sup>-2</sup> / -	0.0316/ -30.0	1.80.10 <sup>-3</sup> / -	1.26.10 <sup>-3</sup> / -
500 k	3.80.10 <sup>-3</sup> / -	0.0162/ -35.8	0.0468/ -26.6	3.09.10 <sup>-3</sup> / -	1.17.10 <sup>-3</sup> / -
700 k	4.90.10 <sup>-3</sup> / -	0.0211/ -33.5	0.0442/ -27.1	4.42.10 <sup>-3</sup> / -	7.24.10 <sup>-4</sup> / -
1 M	6.61.10 <sup>-3</sup> / -	0.0248/ -32.1	0.0432/ -27.3	5.96.10 <sup>-3</sup> / -	5.01.10 <sup>-4</sup> / -
1.5 M	8.91.10 <sup>-3</sup> / -	0.0254/ -31.9	0.0422/ -27.5	7.85.10 <sup>-3</sup> / -	3.98.10 <sup>-4</sup> / -
2 M	9.66.10 <sup>-3</sup> / -	0.0260/ -31.7	0.0412/ -27.7	9.23.10 <sup>-3</sup> / -	3.16.10 <sup>-4</sup> / -
C [nF]	163			2,8	
R <sub>o</sub> [Ω]	70	220	540	70	
C <sub>K</sub> [pF]	no			no	440
f <sub>0</sub> [Hz]	69			4019	
γ = f <sub>T</sub> /f <sub>0</sub>	8696			149,3	
γR <sub>i</sub> /R <sub>o</sub>	1,24.10 <sup>6</sup>	3,95.10 <sup>5</sup>	1,61.10 <sup>5</sup>	2,13.10 <sup>4</sup>	
f <sub>Z</sub> [kHz]-	7,412	5,063	3,754	111,407	
P(∞)[dB]-	-43,1	-33,2	-25,4	-43,1	
P <sub>Z</sub> [dB] -	-81	-74	-69	-57,7	

The output resistance of operational amplifier is changed by means of  $R_{OE}$  (see Fig.4), thus

$$R_o \cong 70 \, \Omega + R_{OE}$$

where  $70 \, \Omega$  is „the output quality“ of 741.

From the eq.(6) we can get „zero frequency“  $f_z$ :

$$f_z \cong f_0 \cdot \sqrt[3]{\gamma R_1 / R_o} = \sqrt[3]{f_0^2 f_T R_1 / R_o}$$

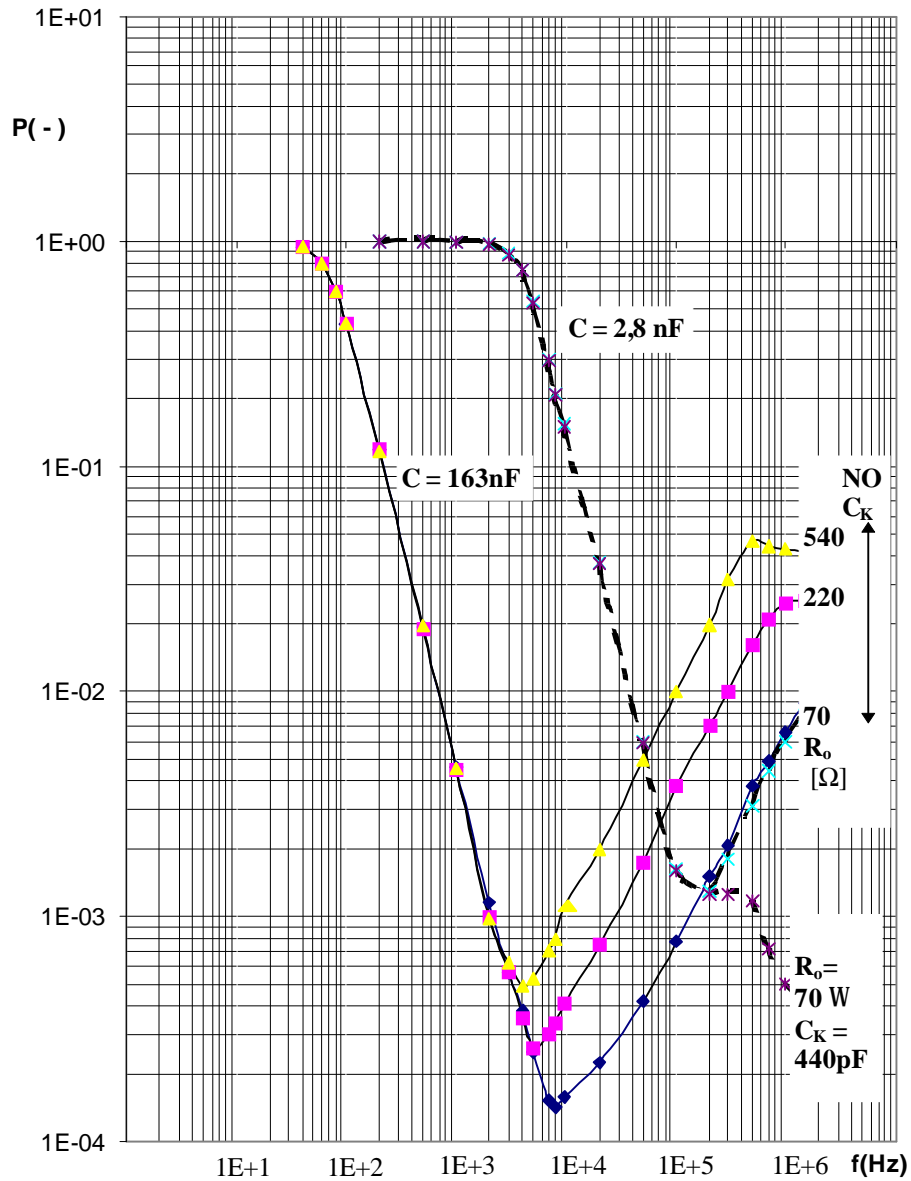


Fig.5. Measured frequency response of the circuit in Fig.4



## CONCLUSION

The dominant poles are the pair of complex poles that correspond to the ideal poles but are shifted because of finite gain-bandwidth product and nonzero output resistance  $R_o$ . To see the effect graphically, we can use eqs.(13) and (14) and draw loci of the dominant poles. The results show that for  $Q < 1$  the output resistance  $R_o$  compensates the negative influence of the finiteness. For  $Q's > 1$  this is not valid; for increasing  $R_o$  the normalized characteristic frequency  $k_f$  invariably decreases from the ideal value 1.

Results in the Table 1 show that for determining  $s_1$  (to our purpose) we can use eq.(20), we need not use the exact Cardan's solution.

But the feedforward transmission (due to  $R_o$  and finite  $\gamma$ ) is unpleasant problem, at all events. To overcome (partly) this effect, we can modify the RC network. We fall  $R_1$  into two parts and add compensating capacitor  $C_k$ , see Fig.4. It is a good solution for the low  $Q$ 's second order Sallen & Key low-pass filters.

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## APPENDIX B Band stop filters with real operational amplifiers

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**Abstract:** This paper deals with influence of first pole of op amp upon properties of two band stop filters. There are new equations for real characteristic frequency  $\omega_{0r}$  ( $f_{0r}$ ). Generalized nodal voltage analysis is used. Both finite input resistance and nonzero output resistance does not have so important influence and are omitted.

**Key words:** Filter, operational amplifier, real properties, admittance matrix

### Generalized nodal voltage analysis

Circuits are analyzed by the means of generalized nodal voltage analysis. There are several basic rules of analysis.

- 1) First we determine admittance matrix of the circuit without active elements (only passive part).  $Y_{11} \dots Y_{nn}$  are elements of matrix.  $Y_{kk}$  are sums of admittance of elements connected to the k-th node and they are always positive.  $Y_{rs}$  are sums of admittances of elements connected between the r-th and s-th nodes and all these elements are negative.  $I_i$  is vector of exciting currents and  $U_i$  is vector of nodal voltages.
- 2) Now we rewrite matrices of the active elements in the same system of nodes (in the passive matrix)
- 3) In the places of coincidences we add the respective matrix elements from the active matrix
- 4) The resultant matrix contains describes of active elements. For solving we can use Cramer's rules.

It is necessary to know admittance matrix of active elements if we can use this method. The shape of admittance matrix of op amp is [6, 7]:

$$\begin{array}{c} (+) \quad (-) \quad (o) \\ \begin{array}{|c|c|c|} \hline 0 & 0 & 0 \\ \hline 0 & 0 & 0 \\ \hline -AG_0 & AG_0 & G_0 \\ \hline \end{array} * \begin{array}{|c|} \hline U_+ \\ \hline U_- \\ \hline U_o \\ \hline \end{array} = \begin{array}{|c|} \hline I_+ \\ \hline I_- \\ \hline I_o \\ \hline \end{array} \end{array} \quad (1)$$

We have to take into account nonzero output resistance  $R_o$ , otherwise it is not possible to determine the matrix (1). In the resultant equation we can equate  $R_o$  to zero and simplify the equation. An  $A$  is an op amp voltage gain. The gain  $A$  is not constant and real, but is frequency dependent. Generally the op amp has three parts and its amplifier characteristic has three poles, but if second and third poles are in place where the gain is lower than 1 (0 dB), they can be omitted. In this case the gain can be described by next equations:

$$\bar{A} = A_0 / (1 + j\omega / \omega_1) = |\omega| / \omega_1 = A_0 \omega_1 / j\omega = \omega_T / p \quad (2)$$

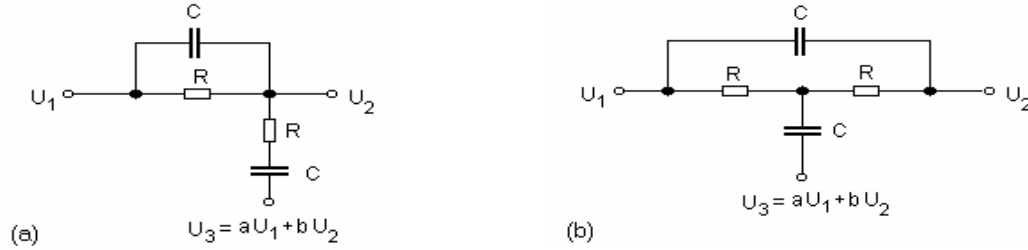
where

$A_0$  is dc gain

$p$  is  $j\omega$  (for steady state harmonic solution)

## Analysis of band stop filters

Now we can try to analyze some selected circuits. Selected circuits are a Wien bridge, and a T-bridge. The Wien bridge and T-bridge are different circuits, but its transfer function is the same, more see in [3, 4].



**Fig.1** a) Wien bridge as band stop filter  
b) T-bridge as band stop filter  
( $a, b$  – external transfers of circuit)

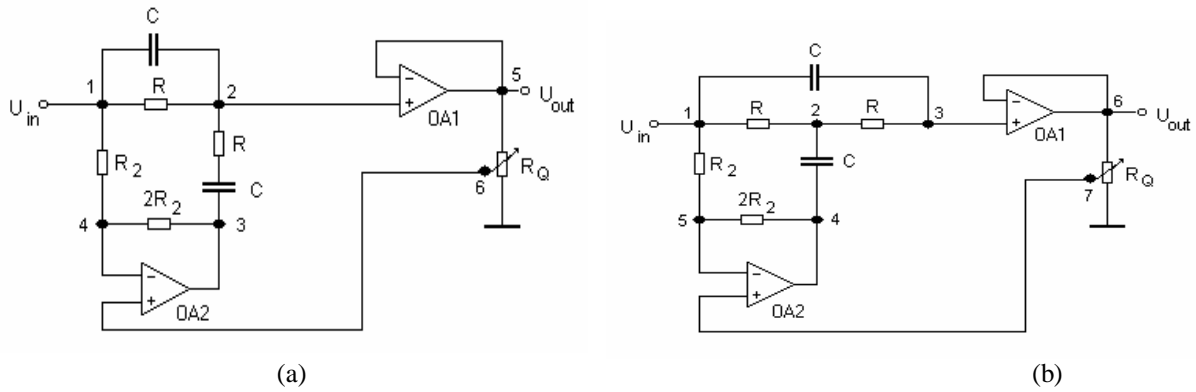
Voltage  $U_3$  is created in external adding and amplifying circuits. These circuits can contain op amps. Theoretical equations for circuits in Fig. 1 are:

$$H(p) = \frac{p^2 + p(2+a)w_0 + w_0^2}{p^2 + p(3-b)w_0 + w_0^2} \quad (3)$$

$$\bullet \sim = 1/(RC) \quad (4)$$

$$Q = \frac{w_0}{w_2 - w_1} = \frac{1}{3-b} \quad (5)$$

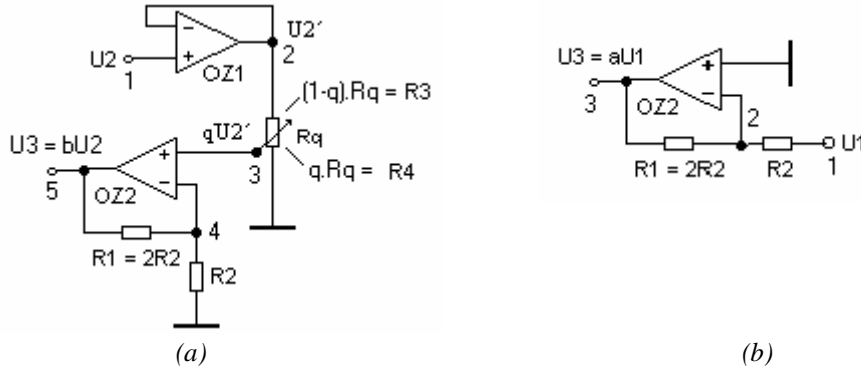
A voltage transfer on  $\omega_0$  ( $f_0$ ) is zero if  $a = -2$ .  $Q$  and  $\omega_0$  ( $f_0$ ) can be changed independently. Ones of the possible real configurations with op amps are in Fig. 2.



**Fig.2** a) Real configuration of band stop filter with Wien bridge and two OA  
b) Real configuration of band stop filter with T-bridge and two OA

OA1 creates voltage follower and OA2 creates adding and amplifying circuit. Because real properties of op amps influence external transfers  $a, b$  only, we can calculate elements  $a, b$  and substitute them to the theoretical eq.(3).

In the first we must determine models for transfers  $a, b$ . For this we use generally known superposition theorem, see Fig.3.



**Fig.3** Circuits for determining of a) external transfer  $b$  ( $U_1 = 0$  - Fig.2 a,b - node 1);  
b) external transfer  $a$  ( $U_+$  of OA2 is zero); OA  $\equiv$  OZ

Then we write passive matrix of circuits and consequently we add matrix description of op amps. This matrix model we will solve by means of Cramer's rules. If the properties of op amps are the same, the matrix of Fig.3a has next shape. We suppose only one exciting current  $I$  incoming to node 1.

$$\begin{bmatrix} I \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ -AG_0 & G_3+G_0(1+A) & -G_3 & 0 & 0 \\ 0 & -G_3 & G_3+G_4 & 0 & 0 \\ 0 & 0 & 0 & G_1+G_2 & -G_2 \\ 0 & 0 & -AG_0 & -G_2+AG_0 & G_2+G_0 \end{bmatrix} * \begin{bmatrix} U_2 \\ U_2' \\ qU_2' \\ U_4 \\ U_3 \end{bmatrix} \quad (6)$$

The result of solving is external transfer  $b$ . Output resistance  $R_0$  in resultant equations is zero. After arrangement we get:

$$b = \frac{U_3}{U_2} = \frac{\Delta_5}{\Delta_1} = \frac{3qA^2}{(1+A)(3+A)} \quad (7)$$

where

$$q = \frac{R_4}{R_3 + R_4}$$

is dividing rate of  $R_q$

For  $A \rightarrow \infty$  is  $b = 3q$ .

A solving for external transfer  $a$  is made by the same way. If we respect real properties of op amps we have next matrix model:

$$\begin{bmatrix} I \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} G_1 & -G_1 & 0 \\ -G_1 & G_1+G_2 & -G_2 \\ 0 & -G_2+AG_0 & G_0+G_2 \end{bmatrix} * \begin{bmatrix} U_1 \\ U_x \\ U_3 \end{bmatrix} \quad (8)$$

The external transfer  $a$  is again calculated by means of Cramer's rules and in resultant equations is output resistance  $R_0 = 0$ .

$$a = \frac{U_3}{U_1} = \frac{\Delta_3}{\Delta_1} = -\frac{2A}{3+A} \quad (9)$$

It is evident that for  $A \rightarrow \infty$  is a constant and equals  $-2$ . This is requirement for zero transfer on  $\omega_0$  ( $f_0$ ), how was said before. Now we substitute (2) to (7) and (9). Then we substitute (7) and (9) to theoretical eq.(3). Because output signal is taken from output of AO1 we must come into question the transfer function of OA1.

$$H(p)_{OA1} = \frac{A}{(1+A)} = \frac{w_T}{w_T + p}$$

This is transfer function of voltage follower OA1 itself. The transfer function (3) is now.

$$H(p) = \frac{p^2 + 2pw_0 \left(1 - \frac{A}{3+A}\right) + w_0^2}{p^2 + 3pw_0 \left(1 - \frac{qA^2}{(1+A)(3+A)}\right) + w_0^2} \cdot \frac{A}{1+A} =$$

$$\frac{p^2 + \frac{6p^2 w_0}{p + w_T} + w_0^2}{p^2 + 3pw_0 \left( \frac{(p + w_T)(3p + w_T) - qw_T^2}{(p + w_T)(3p + w_T)} \right) + w_0^2} \cdot \frac{w_T}{p + w_T} \quad (10)$$

The transfer function is fourth order now. The solving of this function is rather difficult, but it is possible to derive, by means of Matlab or Derive, from this function that real characteristic frequency  $\omega_{0r}$  ( $f_{0r}$ ) is:

$$w_{0r} = \frac{\sqrt{3}}{9} \sqrt{\sqrt{81w_0^4 + 756w_0^3 w_T + 234w_0^2 w_T^2 + 24w_0 w_T^3 + w_T^4} - 18w_0^2 - 12w_0 w_T - w_T^2} \quad (11)$$

This function good corresponds to both PC simulation and measurement with real devices. Real frequency  $\omega_{0r}$  ( $f_{0r}$ ) is always lower then ideal  $\omega_0$  ( $f_0$ ).

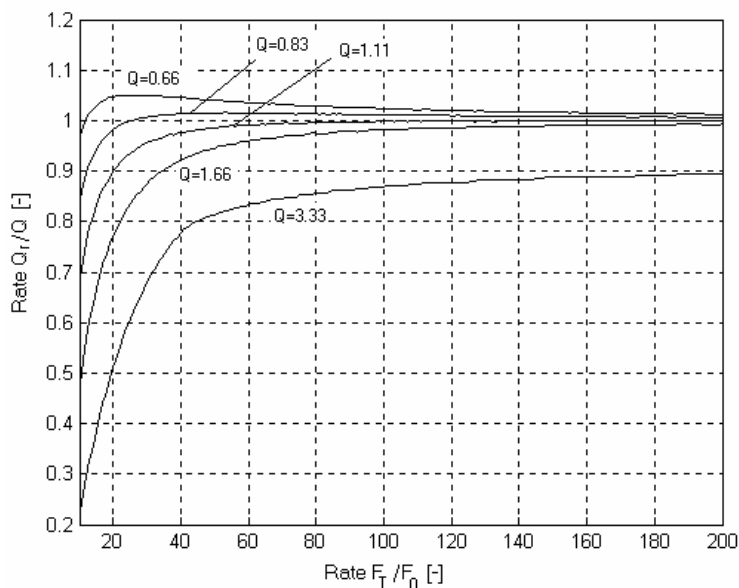
A derivation of expression for real quality factor  $Q_r$  was not done, because fourth order of transfer function. Only graph obtains from numerical solution is at hand. Behavior of  $Q_r$  is not so explicit. For  $Q = 0,66$  is  $Q_r$  higher then  $Q$ . Situation is in Fig.5. Theoretical frequency  $f_0$  is 98,9 kHz. ( $R = 10 \text{ k}\Omega$ ,  $R_2 = 22 \text{ k}\Omega$ ,  $C = 160 \text{ pF}$ ). We have done numerical solution of eq.(10) in Matlab and eq.(11), too. We have simulated both circuits by means of PC simulation program MicroCap 7. Real measurement was done for the circuit Fig.2b with several types of op amps. All results are summarized in tab. 1.

**Tab.1** A comparison of different solution of influence  $f_T$  upon  $f_0$  for different types of op amps ( $f_T$  is catalog value)

	$f_T = 1\text{MHz}$ (UA741)	$f_T = 4\text{MHz}$ (TL072)	$f_T = 15\text{MHz}$ (LM318)
Numerical solution of eq.(10)	78,8 kHz	92,3 kHz	97,1 kHz
Result of eq.(11)	78,0 kHz	92,0 kHz	96,9 kHz
MicroCap VII	78,3 kHz	92,5 kHz	96,8 kHz
Measurement	76,0 kHz	86,0 kHz	93,0 kHz

Values obtained from eq.(10), eq.(11) and from simulation program MicroCap 7 are very close and results of real measurement are enough close, too. Catalog value  $f_T$  need not be absolute precision.

There are courses of  $Q_r/Q$  versus  $f_T/f_0$  in Fig.4. Value of  $Q_r$  may be higher then  $Q$  in particular district, for  $Q = 0,66$ . For  $Q = 3,33$  ( $q = 0,9$ ) is  $Q_r$  appreciably lower then  $Q$  even for  $f_T/f_0 = 200$ ! Because eq.(10) is fourth order, explicit function for real quality factor  $Q_r$  was not found.



**Fig.4** Rate  $Q_r/Q$  versus  $f_T/f_0$  for several values  $Q$

## Conclusion

It is evident, that for use of ideal eqs.(3-5) the rate  $f_T/f_0$  have to be 100/1 or higher, otherwise the deviation is enough great. Moreover the attenuation on  $\omega_0(f_0)$  is not infinite and decreases with decrease of rate  $f_T/f_0$ .

One important fact was not emphasized. Transfer function over  $\omega_T(f_T)$  decreases about 20 dB/dek. In this area band stop filter works as low pass filter. This is influence of output voltage follower OA1.

The results obtained by means of algebraic or numeric solution were verified in simulation program MicroCap 7. This program is very good useful for quick verification and simulations. All results of real measurement, PC simulations and mathematic calculations are in tab.1.

If we want to have frequency  $\omega_0(f_0)$  still the same, without dependency on  $\omega_T(f_T)$ , we can use another type of circuit. One suitable is bridge double T. The circuit is a little more complicated, but frequency  $\omega_0(f_0)$  depends on  $\omega_T(f_T)$  very few. More information is in [2, 4], for example.

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