# Adding Delimited and Composable Control to a Production Programming Environment

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## **Abstract**

Operators for delimiting control and for capturing composable continuations litter the landscape of theoretical programming language research. Numerous papers explain their advantages, how the operators explain each other (or don't), and other aspects of the operators' existence. Production programming languages, however, do not support these operators, partly because their relationship to existing and demonstrably useful constructs—such as exceptions and dynamic binding—remains relatively unexplored.

In this paper, we report on our effort of translating the theory of delimited and composable control into a viable implementation for a production system. The report shows how this effort involved a substantial design element, including work with a formal model, as well as significant practical exploration and engineering.

The resulting version of PLT Scheme incorporates the expressive combination of delimited and composable control alongside dynamic-wind, dynamic binding, and exception handling. None of the additional operators subvert the intended benefits of existing control operators, so that programmers can freely mix and match control operators.

Categories and Subject Descriptors D.3.3 [Programming Languages]: Language Constructs and Features—Control structures

General Terms Design, Languages

## 1. An F in Production

Delimited-control operators have appeared far more often in research papers (Felleisen 1988; Danvy and Filinski 1990; Hieb and Dybvig 1990; Sitaram and Felleisen 1990; Queinnec and Serpette 1991; Sitaram 1993; Gunter et al. 1995; Shan 2004; Biernacki et al. 2006; Kiselyov et al. 2006; Dybvig et al. 2006) than in production programming environments (Gasbichler and Sperber 2002). One obstacle, at least for some run-time system implementations, is the difficulty of adding higher-order control to the existing implementation. A broader problem, however, is that delimited control operators semantically interfere with other pre-existing operators, such as dynamic-wind and dynamic bindings.

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ICFP'07, October 1-3, 2007, Freiburg, Germany. Copyright © 2007 ACM 978-1-59593-815-2/07/0010...\$5.00 ously implement exceptions—but they cannot express exceptions in a language that already has call/cc (Laird 2002; Thielecke 2000; Riecke and Thielecke 1999). The failure boils down to the plementation of exceptions using call/cc.

A related confusion surrounds the relative expressiveness of call/cc, shift, and control. It is well understood (Gasbichler and Sperber 2002) that defining shift and reset in terms of call/cc produces a shift with incorrect space complexity;

Due to this semantic interference, simulations of delimited control do not immediately yield production-quality implementations. For example, a Scheme library can use call/cc to simulate delimited continuations (Sitaram and Felleisen 1990; Filinski 1994; Kiselyov 2005b; Dybvig et al. 2006), but other libraries that use call/cc directly or that use dynamic-wind can interfere with the simulation (Dybvig et al. 2006).

Over the past year, we have integrated a full set of delimitedcontrol operators within PLT Scheme, ensuring that all of them interact properly with the rest of the Scheme programming language (Kelsey et al. 1998) as well as pre-existing extensions in PLT Scheme (Flatt 2007). Specifically, PLT Scheme's prompts and composable continuations have a well-defined and useful interaction with call/cc, dynamic-wind, dynamic binding via continuation marks (Clements et al. 2001), and exceptions.

In this paper, we report on the key aspects of our experience with this process: the design, the semantic model, its role in the implementation effort, and our initial uses. As expected, adding delimited continuations significantly simplified the read-eval-print loop implementation in DrScheme (Findler et al. 2002)—consistent with the original motivation for prompts by Felleisen (1988). We also found that associating a prompt with an abort handler clarified our exception handling mechanisms. Finally, delimited control significantly improved the PLT web server's implementation.

The rest of the paper proceeds as follows. In section 2, we define the task at hand. In section 3, we gradually introduce the control constructs of PLT Scheme—delimited control, continuation marks, exceptions, dynamic-wind—and we explain how they interact. In section 4, we present briefly our formal model. In section 5, we comment on PLT Scheme's implementation of the model. In section 6, we report our practical experience.

# 2. Having It All

A language ought to provide a minimal set of constructs from which other constructs can be built (Kelsey et al. 1998). Unfortunately, the question of whether a set of constructs can support other constructs is not always easy to answer.

Consider whether continuations can express (Felleisen 1991)

exceptions. The answer is that continuations plus state can obvi-

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continuation tails get captured by the call/cc encoding when they would not be captured by a direct implementation of shift. It is less widely noted that the Danvy and Filinski (1990) implementation of call/cc using shift,

$$(\lambda(f)(\text{shift } k(k(f(\lambda(x)(\text{shift } c(kx)))))))$$

has a similar problem. In particular, their implementations turns

$$((\lambda(c)((call/cc call/cc)c))(call/cc call/cc))$$

into an infinite loop of unbounded size, instead of bounded size, because prompts inserted by shift pile up. Similarly, the simulations of control0 using shift by Shan (2004) and Kiselyov (2005a) are extensionally correct, but do not have the expected space complexity, again because prompts can pile up when using the simulated control0.

Certainly, some control operators can be implemented in terms of simpler control operators, especially when the simpler operators can be hidden to prevent external interference. The goal of our work, however, is *not* to implement a minimal set of operators for the core of a run-time system. Instead, our goal is to specify an expressive set of operators for all PLT Scheme programmers, not just to privileged modules. We consider it imperative that these operators smoothly function with the already existing operators and that they do not violate the existing operators' expected behaviors. Programmers can thus freely compose all of the constructs.

This goal drives our specification to include dynamic-wind and call/cc as primitives, and to build on a form of prompts that accommodates exception handling (Sitaram 1993). In doing so, we help to fill a large gap between the frontiers of theory and practice:

- Dybvig et al. (2006) provide a thorough and up-to-date account
  of the theory and implementation of delimited continuations,
  but they note in closing that a complete account for a production
  language must include exceptions and dynamic-wind.
- Gasbichler and Sperber (2002) produced a direct implementation of shift and reset for Scheme48 (Kelsey and Rees 2007). Their work has not yet become part of the Scheme48 distribution, partly because the interaction with dynamic binding, exceptions, and dynamic-wind was not worked out.<sup>2</sup>

# 3. Intuition, Specification, and Rationale

Adding delimited and composable continuations to PLT Scheme involves four aspects:

- the actual linguistic mechanism of capturing and applying such continuations;
- the interaction with the existing dynamic-binding mechanism;
- its use to implement an exception handling mechanism; and
- the interaction with conventional, non-composable continuations and the dynamic-wind mechanism.

All of this is subject to the constraint that existing constructs must respect the control delimiter in the proper manner, while delimited and composable continuations must also respect the existing constructs' intended guarantees.

This section develops the intuition behind the revised implementation of control operators in PLT Scheme. Since intuition can be deceiving, we use stylized pictures that easily map to an executable reduction semantics (Matthews et al. 2004) based on evaluation contexts.

Most programmers intuit a program's execution as processing one expression at a time, where some combination of a stack and program counter specifies how to continue with the expression's result. A programmer using a functional language further understands that this deconstruction happens at the expression level: evaluating a sub-expression, as opposed to calling a function, pushes onto the evaluation stack:

$$(v_{I}(((\lambda(x)x)v_{3})v_{2})) = ((\lambda(x)x)v_{3})$$

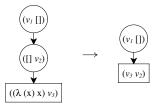
In the above picture, the expression to evaluate is shown in a box, and the chain of circles for the context represents the *current continuation*. In each circle, [] indicates where a computed value is used in the continuation.

This picture of an expression and continuation corresponds directly to the usual way of defining a language with a reduction semantics, where evaluation is driven by a context grammar E:

$$e ::= x | v | (e e)$$
  
 $v ::= (\lambda (x) e)$   
 $E ::= [] | (E e) | (v E)$ 

$$E_I[((\lambda(x_I) e_I) v_I)] \longrightarrow E_I[e_I\{v_I/x_I\}]$$

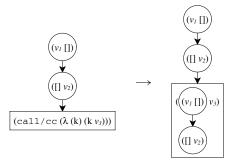
Formally, then, the continuation is the  $E_1$  that surrounds the current redex, and an evaluation step manipulates the redex term and continuation. Pictorially, an evaluation corresponds to manipulating the expression box and continuation chain:



Most reduction rules adjust only the expression box and the continuation frame just above it, but reduction rules for control operators may manipulate the whole chain.

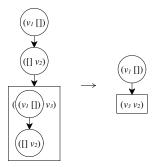
#### 3.1 Continuations and Prompts

In pictures, a call/cc operation that captures the current continuation copies the continuation chain into the redex box:



Applying a captured continuation replaces the entire current continuation with a captured one, filling the bottommost [] of the applied continuation with a given value:

<sup>&</sup>lt;sup>2</sup> Michael Sperber, personal communication, 2007.

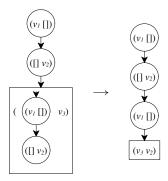


Formally, we model call/cc by extending the set of values to include captured continuations, marked with a cont wrapper:

$$v ::= \dots \mid call/cc \mid (cont E)$$

$$E_I[(\operatorname{call/cc}(\lambda(x_I) e_I))] \longrightarrow E_I[e_I\{(\operatorname{cont} E_I) | x_I\}]$$
 [call/ct]
$$E_I[((\operatorname{cont} E_2) v_I)] \longrightarrow E_2[v_I]$$
 [cont<sub>1</sub>]

As an alternative to call/cc, we could add a call/comp operation to capture a *composable continuation* that extends the current continuation when applied, instead of replacing it:



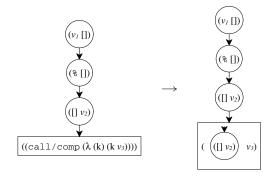
 $v ::= \dots \mid \text{call/comp} \mid (\text{comp } E)$ 

$$\begin{split} &E_{I}[(\text{call/comp}\,(\lambda\,(x_{I})\,e_{I}))] & [\text{call/comp}_{2}] \\ &\longrightarrow E_{I}[e_{I}\{(\text{comp}\,E_{I})/x_{I}\}] & \\ &E_{I}[((\text{comp}\,E_{2})\,v_{I})] &\longrightarrow E_{I}[E_{2}[v_{I}]] & [\text{comp}_{2}] \end{split}$$

We designate a composable continuation in pictures by placing an arrow at its top. The formal semantics uses comp instead of cont.

Realistic implementations do not provide composable continuations in quite this way, however. In a realistic continuation, the initial frame terminates the computation, perhaps by exiting a process at the OS level, so that composition is not useful. Useful composition requires a way to delimit the captured continuation, so that it does not include the process-terminating frame.

One way to delimit a continuation is to include a special kind of continuation node, a *prompt* (represented by %), that determines the end of the chain:



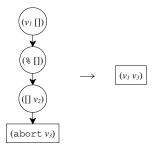
$$\begin{array}{l} e ::= \dots \mid (\% \ e) \\ E ::= \dots \mid (\% \ E) \end{array}$$

[call/comp<sub>3</sub>]

$$E_I[(\% E_2[(\text{cal1/comp}(\lambda(x_I) e_I))]])]$$
  
 $\to E_I[(\% E_2[e_I\{(\text{comp} E_2)/x_I\}])]$   
where  $E_2 \neq E_3[(\% E_I)]$  for any  $E_3$ ,  $E_4$ 

In addition to enabling composable continuations, the delimiting effect of prompts on non-composable continuations can help programmers create composable abstractions. For example, a programmer can wrap a prompt around a callback procedure, so that the callback's implementation can use continuations without gaining access to the dynamic context of the actual call. One such example is the call to eval in the implementation of a read-eval-print loop (REPL). It is a callback that should not allow access to (or be affected by) the implementation of the REPL (Felleisen 1988). As we consider the interaction of prompts with other control operations, we want to ensure that this encapsulation property of prompts is preserved.

Non-composable continuations can be expressed in terms of composable continuations if we add an abort mechanism to the language. Although abort is often bundled together with the capture operation (Felleisen 1988; Danvy and Filinski 1990; Dybvig et al. 2006), we provide it separately in anticipation of its interaction with other operations, especially dynamic-wind. The abort operator drops the current (delimited) continuation, substituting a given value in its place:



v ::= ... | abort

$$E_I[(\& E_2[(\mathsf{abort}\ v_I)])] \longrightarrow E_I[v_I]$$
 [abort4] where  $E_2 \neq E_3[(\& E_4)]$  for any  $E_3$ ,  $E_4$ 

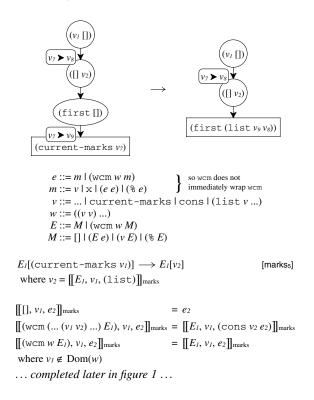
With respect to the many composable control operators in the literature, we have opted for a variant where capture does not include the delimiting prompt, and composition does not introduce a prompt. Previous work explores the design space related to this choice in depth (Shan 2004; Kiselyov 2005a; Biernacki et al. 2006; Dybvig et al. 2006); when taking into account space complexity, only the design that does not include or add a prompt is known to express the others (Dybvig et al. 2006).

In summary, our primitives for delimited control include prompts, aborting to a prompt, capturing a continuation up to a prompt, and composing the current continuation with a captured continuation.

## 3.2 Dynamic Binding via Continuation Marks

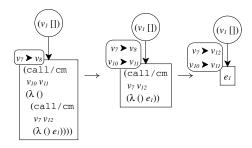
For bindings that are associated with a dynamic context instead of a lexical context, a delimited continuation should capture a corresponding delimited set of dynamic bindings (Kiselyov et al. 2006). PLT Scheme supports such dynamic bindings through *continuation marks* (Clements et al. 2001). A distinguishing feature of continuation marks is that they provide a particular guarantee about space consumption for bindings added in tail position with respect to existing bindings. This extra facet of continuation marks makes them suitable for use in debugging instrumentation (Clements et al. 2001), security checks (Clements and Felleisen 2004), and redundant contract elimination (Herman et al. 2007) in languages that guarantee tail recursion.

As the name suggests, a continuation mark is intuitively attached to a continuation frame. Each mark  $v_7 \triangleright v_8$  pairs a key  $v_7$  with a value  $v_8$ , and each continuation frame can have any number of marks with distinct keys.<sup>3</sup> More precisely, a frame's marks are associated with its []; the pictures work best when we draw a frame's bindings on the node below it:

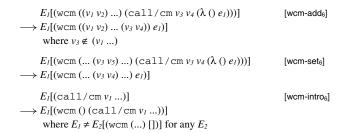


As illustrated above, a current-marks procedure returns the list of all bindings in the current context for a given key, starting with the nearest binding.

The call/cm procedure adds or replaces a binding in the current continuation's immediate frame:

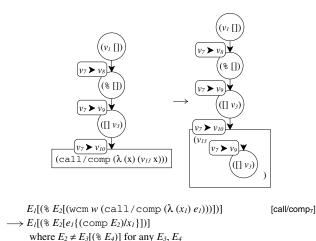


 $v ::= \dots \mid call/cm$ 



Since call/cm replaces any existing binding instead of creating a new one, inserting call/cm calls with a fixed number of keys does not change the asymptotic space consumption of a program.

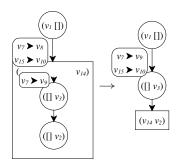
Naturally, capturing a delimited sequence of continuation frames also captures the marks associated with each frame. The marks associated with the delimiting prompt frame's [] are included, since they were added inside the prompt:



The marks associated with the call/comp redex need not be captured, because the redex corresponds to the innermost [] in the captured continuation, which is filled with a value when the continuation is composed. That is, at compose time, there is no opportunity to call current-marks in the innermost [] of a captured continuation.

The marks drawn on an outermost captured frame, meanwhile, correspond to the redex frame at composition time. If the composing redex already has marks, those existing marks must be merged with (or replaced by) marks from the captured continuation:

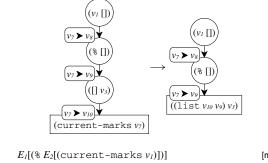
 $<sup>^3</sup>$  The subscripts on  $v_7$  and  $v_8$  are arbitrary, but meta-variables with the same subscript tend to play the same role across all examples.



$$E_I[((\text{comp }E_2) \ v_I)] \longrightarrow E_I[E_3[v_I]]$$
 [comp<sub>8</sub>] where  $E_3 = [\![E_2]\!]_{\text{wcm}}$ 

This merging reflects the interaction of tail-call guarantees for both continuation marks and our composable continuations.

One more detail requires attention: as defined, current-marks inspects the marks of the complete continuation, potentially defeating the encapsulation that is supposed to be provided by prompt. Therefore, current-marks must stop at the innermost prompt:



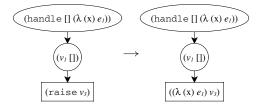
$$E_I[(\& E_2[(\text{current-marks } v_I)])]$$
 [marks<sub>9</sub>]   
 $\longrightarrow E_I[(\& E_2[[[E_2, v_I, (\text{list})]]_{\text{marks}}])]$  where  $E_2 \neq E_I[(\& E_4)]$  for any  $E_3, E_4$ 

In summary, our primitives for dynamic binding are current-marks and call/cm. Dynamic bindings are captured along with their associated continuations frames in a natural way; space guarantees are preserved by capturing and merging bindings consistently at the boundaries of continuations.

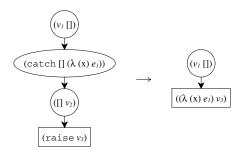
#### 3.3 Exception Handling

Although the Scheme standard (Kelsey et al. 1998) does not deal with exceptions, both PLT Scheme and a draft report for Scheme (Sperber (Ed.) 2007) include a two-layer design for exception handling:

A low-level layer provides the mechanism for binding an exception handler for a dynamic context. It also supports chaining to the next deeper handler without leaving the dynamic context of the expression that threw the exception, in case the handler can recover from the exception and resume the computation:<sup>4</sup>

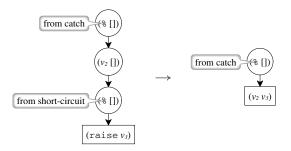


A high-level layer is analogous to try-catch in Java. It
provides the mechanism for dispatching to a specific handler
based on the kind of the exception, and also for calling the
handler in the context of the catching expression instead of the
throwing expression. The latter is the interesting facet:



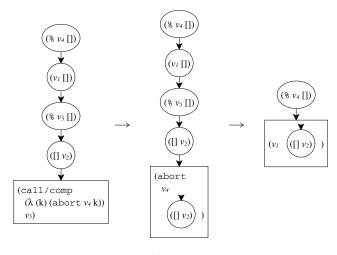
The constructs prescribed in the preceding subsections suffice to implement handle, catch, and raise, instead of making exception operators primitive. For the low-level layer, continuation marks support the binding of an exception handler to a dynamic context, and the list of mark values returned by current-marks supports the implementation of chaining. For the high-level layer, prompts and aborts support escaping to the context of an exception-catching expression.

A prompt that is used to short-circuit a computation, however, can interfere with a prompt that is installed by catch:



To avoid this collision, we can distinguish prompts by using tags, just as the balloons above suggest (Sitaram and Felleisen 1990). Then, prompts for orthogonal purposes using distinct tags can be composed in a larger program. To support prompt tags, we change the % form to start with a tag expression, and we change call/comp and abort to specify a tag:

<sup>&</sup>lt;sup>4</sup> For simplicity, we ignore details concerning the exception handler that is in effect while running an exception handler, though the draft-standard details fit in our model and implementation.



$$\begin{array}{l} e ::= \dots \mid (\% \ e \ e) \\ E ::= \dots \mid (\% \ E \ e) \mid (\% \ v \ E) \end{array}$$

$$\begin{split} E_I[(\$ \ v_I \ E_2[(\texttt{call}/\texttt{comp}\ (\lambda \ (x_I) \ e_I) \ v_I)])] & \qquad [\texttt{call}/\texttt{comp}_{10}] \\ \longrightarrow E_I[(\$ \ v_I \ E_2[e_I\{(\texttt{comp}\ E_2)/x_I\}])] & \qquad \\ \text{where} \ E_2 \neq E_3[(\$ \ v_I \ E_4)] \ \text{for any} \ E_3, E_4 \\ E_I[(\$ \ v_I \ E_2[(\texttt{abort}\ v_I \ v_2)])] & \longrightarrow E_I[v_2] & \qquad [\texttt{abort}_{10}] \end{split}$$

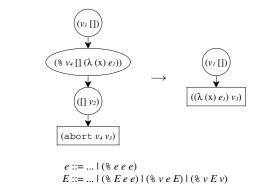
where  $E_2 \neq E_3[(\% v_I E_4)]$  for any  $E_3$ ,  $E_4$ We also change current-marks to require a prompt tag in addition to a mark key. For getting marks, capturing a continuation,

continuation must include a prompt using the tag.

Along the same lines as distinguishing different kinds of prompts, adding an abort handler to % helps distinguish normal

returns from aborts (Sitaram 1993):

or aborting, a prompt tag acts as a kind of capability: the current



 $E_I[(\$ v_I E_2[(\text{abort } v_I v_2)] v_3)] \longrightarrow E_I[(v_3 v_2)]$  [abort<sub>11</sub>] where  $E_2 \neq E_3[(\$ v_I E_4 v_4)]$  for any  $E_3, E_4, v_4$ 

Abort handlers serve a more general purpose than implementing catch. For example, the reset half of a shift-reset (Danvy and Filinski 1990) can be implemented by using % and an abort handler that re-installs the prompt before applying the abort argument. This pattern leads to implementations of shift, reset, control, and prompt that work sensibly together, just as in Kiselyov's simulation (Kiselyov 2005b); see the control.ss library distributed with PLT Scheme for details.

Prompt tags and handlers can be implemented in terms of prompts without tags and handlers, but the untagged prompt operators must be hidden from application programmers (Sitaram and Felleisen 1990). Thus, from a programmer's perspective, tagged prompts with handlers act as the primitives. Fortunately, these

primitives easily express other control operators with distinguished prompts (Gunter et al. 1995; Queinnec and Serpette 1991; Hieb and Dybvig 1990); again, see the control.ss library for details.

Defining exception handling in terms of tagged prompts and continuation marks leads to the right interaction of exception handlers and delimited control: delimited continuations capture (only) exception handlers installed within the delimited region; a program cannot directly access an exception handler beyond the prompts whose tags are accessible; and exception-handler chaining uses the chain in place at the time that an exception handler is called, as opposed to the chain in place when the exception handler is bound.

The only way that exception handling needs further primitive support is to establish a specific protocol for exceptions. That is, to allow primitive operations to raise exceptions, a mark key must be specified for binding handlers via handle. The catch form then can be implemented using handle and its own private prompt tag. In addition, a default prompt tag might be specified as the target for escapes by a built-in default handler:

```
\begin{aligned} &(\text{handle}\,e_I\left(\lambda\left(x\right)\,e_2\right)) \\ &= \, \left(\text{call/cm} \right. \\ &\quad \text{handle-mark-key}\left(\lambda\left(x\right)\,e_2\right) \\ &\quad \left(\lambda\left(\right)\,e_I\right)) \end{aligned} &(\text{catch}\,e_I\left(\lambda\left(x\right)\,e_2\right)) \\ &= \, \left(\$\,\,\text{catch-tag} \right. \\ &\quad \left(\text{handle}\,e_I\left(\lambda\left(x\right)\,\left(\text{abort catch-tag}\,x\right)\right)\right) \\ &\quad \left(\lambda\left(x\right)\,e_2\right)) \end{aligned} &(\text{raise}\,v_I) \\ &= \, \left(\text{default-exn-handler} \right. \\ &\quad \left(\text{fold}\left(\lambda\left(v\,h\right)\,\left(h\,v\right)\right) \right. \\ &\quad v_I \\ &\quad \left(\text{current-marks handle-mark-key}\right)\right) \end{aligned}
```

In summary, we have no need to introduce specific primitives for exceptions. In support of exceptions and other abstractions built with delimited-control operators, however, we add tags and abort handlers as primitive features of prompts.

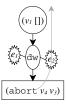
#### 3.4 Dynamic Wind

When interacting with external processes, a portion of a computation may need to modify the state of the world on entry to, and on exit from, a computation (Friedman and Haynes 1985). For example, a computation may depend on a file that is opened for writing. Scheme's <code>dynamic-wind</code> allows such state to be prepared and finalized when jumping into or out of the middle of a computation via continuations.

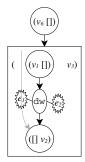
A dynamic-wind call consumes three thunks: a pre-thunk to prepare state, a body-thunk to run the computation, and a post-thunk to finalize state. In the absence of continuation applications, the pre-thunk is run for its side-effect, the body is run to obtain a result for the dynamic-wind call, and then the post-thunk is run for its side-effect before returning from the dynamic-wind.

When a computation wrapped by a dynamic-wind is aborted, then the corresponding post thunk is executed. Similarly, when a continuation application resumes a computation that is wrapped by dynamic-wind, then the corresponding pre-thunk is run.

Pictorially, we can represent pre- and post-thunks in a continuation as spurs on a dw node that is produced by a dynamic-wind call. Aborting a computation runs spurs on the right, in the order that they are encountered by a line simulating the abort:



Applying a continuation runs through the left spurs:

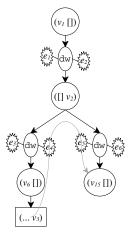


Before pinning down more precisely what it means to run a spur, there is an additional facet of Scheme's dynamic-wind to consider. Suppose that a computation involving an open file itself uses continuation jumps internally. Since the jumps are confined within the computation that uses the file, the file should not be closed and then re-opened (which can have any number of externally visible effects) for the internal jumps.

Given this background, we can now restate our goal for combining abort and composable continuations with call/cc and dynamic-wind: they must interact so that the programmer's intended effects are paired with the intended computations, no matter how the computations are composed.

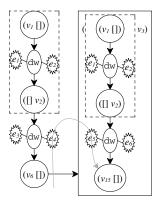
Prompts provide a good way to think about this problem, and the control filters of Hieb et al. (1994) provide dynamic-wind-like behavior with delimited continuations. The designers of Scheme, however, were confined to a language without prompts; in extending PLT Scheme, in turn, we are confined by the existing code base to provide call/cc and dynamic-wind in a way that is consistent with Scheme.

To make use of dynamic-wind without prompts, Scheme programmers envision their computation as a tree, where a continuation application jumps from one leaf in the tree to another:



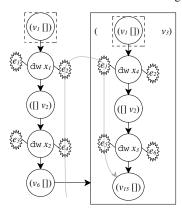
When such a jump occurs, the pre- and post-thunks of the common part of the tree are ignored; only those in the different parts are run.

The Scheme programmer's tree does not fit the picture of evaluation that we have been using (i.e., evaluation from one chain to another chain). The graphical intuition carries over to our pictures, however, if we juxtapose the source and destination contexts for a continuation application within a single chain. Specifically, if we draw the redex box to the right of the current continuation, instead of below it, and if we outline the part of the current and target continuations that are the same, then we can infer the Schemer's tree:

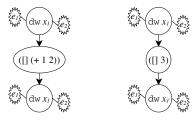


That is, continuation application has to compare the current (up to the prompt) continuation and target continuation to see whether they have a common prefix.

Still, the graphical identity reflected by the dotted box is not quite the same identity as the tree nodes in a Scheme programmer's intuition. The tree nodes correspond to frame creations, not to frames that happen to be textually equivalent. In particular, two dw frames might have the same pre- and post-thunks, but correspond dynamically to opening different files. To enable the detection of dynamically equivalent dw frames, the evaluation of dynamic—wind must generate an identity for the frame, which we draw as a variable in the frame. Evaluation of a continuation jump can then use the identities of dw frames to determine sharing:

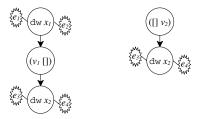


Without composable continuations, a dw frame with a specific identity always appears at most once in a continuation, which simplifies the comparison for common chains. With composable continuations, however, a programmer can capture a group of dw frames and then re-assemble them with multiple instances and different intermediate frames:



Should these continuations be treated the same or different when jumping from one to the other? Treating them as different turns out to be impractical, because it can expose compiler optimizations. That is, a compiler might optimize  $(+\ 1\ 2)$  to 3; if frames are really compared at the level of their content, then such optimization differences become detectable within the language (which is generally against the spirit of optimizations). Our solution is to make comparison consider *only* the dw frames when determining continuation sharing, so no pre- or post-thunks would execute when jumping from one of the above continuations to the other.

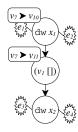
Only *prefixes* with the same dw frames are a match. For example, when jumping from the first to the second of the following continuations:<sup>5</sup>



both of the post-thunks ( $e_4$  and  $e_2$ ) in the left-hand continuation are run, and then the pre-thunk ( $e_3$ ) of the right-hand continuation is run. Even though both continuations have a dw frame labelled  $x_2$ , the frame is not in a common prefix, even ignoring non-dw frames. In particular, the pre- and post-thunks associated with the  $x_2$  frame may behave differently depending on side-effects from the  $x_1$  frame's thunks, so the  $x_1$  frame's thunks should be run.

Having determined the way that gray arrows are drawn, we know which pre- and post-thunks are expected to run. We must still say exactly how they are to be run, and our specification must address three points:

 Each pre- or post-thunk should be executed in the dynamic environment of its dw frame, which may not match either the source or target continuations. For example, in



 $e_2$  should be executed in a context where  $v_7$  is dynamically mapped to  $v_{10}$ , whereas  $e_4$  should be evaluated in a context where  $v_7$  is mapped to  $v_{11}$ .

 A pre- or post-thunk may itself capture a continuation, abort, or apply a continuation. Although the current Scheme standard leaves this case unspecified (Kelsey et al. 1998), both the PLT Scheme documentation and a recent draft standard (Sperber (Ed.) 2007) specify the behavior of continuation jumps in preand post-thunks.

<sup>&</sup>lt;sup>5</sup> To arrive at this corner case, suppose that the continuation

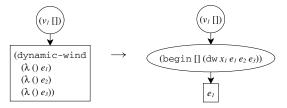


is composed in the context ([]  $v_2$ ) with a value that captures a non-composable continuation, then composed again in (dw  $x_1$   $e_1$  ( $v_1$  [])  $e_2$ ), with the next step as a jump to the non-composable continuation.

 In addition to installing thunks for the jump of continuations, dynamic-wind must evaluate the pre-thunk on normal entry and the post-thunk on normal exit. As always, the pre-thunk must be evaluated in a context that does not include its dw frame, and the same for the post-thunk.

To address these points, we define dynamic-wind and continuation application in a way that shifts pre- and post-thunks into the usual redex position, for which we are already defining dynamic binding and continuation manipulation.

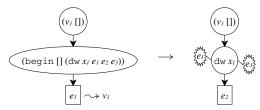
We need three rules for dynamic-wind independent of continuations. The first turns a dynamic-wind into a dw, generating an identifier for the dw, and shifts the pre-thunk into the redex:



see formal rule [dw] in figure 1 below

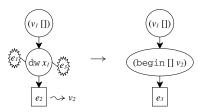
At this point, a dw expression has been created, but it is a sub-expression in a continuation, as opposed to being a continuation frame directly, so it does not yet create spurs in the continuation. Thus, the pre-thunk is run without spurs for its corresponding dw form. The generated begin continuation frame eventually discards the result from the pre-thunk, since it is executed only for its effect

As the pre-thunk produces a value—suppose that  $e_1$  locally reduces to  $v_1$ , which is discarded—the dw form shifts into its own frame with spurs, and the body expression  $e_2$  becomes the redex:



see formal rule [begin-v] in figure 1 below

As the body expression produces a value—suppose that  $e_2$  locally reduces to  $v_2$ —the dw frame is removed, the result value is recorded in a new begin frame, and the post thunk is moved into the redex position:



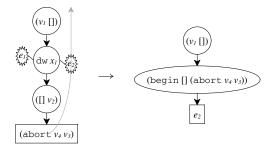
see formal rule [dw-result] in figure 1 below

Again, the post-thunk is run in a context without spurs from its own dw. The value from the post-thunk is discarded via the generated begin expression.

Finally, the rules for continuation jumps with dw rely on an inferred gray arrow to determine the first pre- or post-thunk to run. Given this first thunk, the continuation and redex are transformed to evaluate the thunk's body in the correct dynamic context. Since

there are three forms that trigger jumps, we end up with three new pictures: one for aborting, one for applying a composable continuation, and one for applying a non-composable continuation.

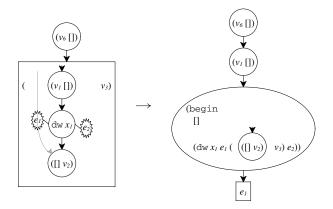
If aborting triggers a post-thunk, then the transformation trims the continuation through the dw frame, and otherwise resembles a normal dynamic-wind return:



see formal rule [abort-post] in figure 1 below

The original abort is preserved in the transformation, so that it continues when the post-thunk completes. Eventually, when no preor post-thunks need to run, our earlier rule completes the abort.

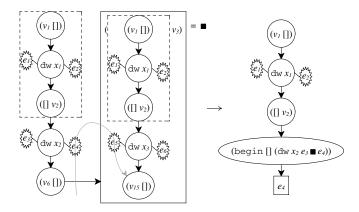
If applying a composable continuation involves pre-thunks, the transformation composes the continuation down to the dynamic—wind frame. A generated begin frame composes the rest of the continuation within a dw form:



see formal rule [comp-pre] in figure 1 below

Again, a continuation application is preserved in the transformation so that the composition continues after pre-thunk is finished.

Calling a non-composable continuation may involve either a pre- or post-thunk. The transformation partially adjusts the current continuation, as in the composable case. Unlike the composable case, however, the target continuation itself is not adjusted. Instead, further evaluation relies on recomputing the shared tree to determine the next thunk to run:



see formal rule [cont-post] in figure 1 below

In the picture, stands for the continuation application in the redex box. Essentially the same pictorial rule works for pre-thunks, where captured continuation frames before the pre-thunk dw, if any, are copied to the current continuation.

Omitted from the last picture is the detail that a non-composable continuation must embed a prompt tag as well as a chain of continuation frames, because sharing must be determined with respect to a particular prompt. See figure 1 for the complete rule.

In summary, direct support for Scheme-style dynamic-wind implies direct support for non-composable continuations, because the latter implies an algorithm for selecting pre- and post-thunks that does not fall out from the other operations. At the same time, the specification of continuation aborts and composition must change so that these thunks are executed consistently. Defining abort to trigger post-thunks, in turn, explains why we kept the abort operation separate from continuation capture: merely capturing a continuation should not require execution of pre- or post-thunks.

### 4. Combined Model

Figure 1 contains formal reduction rules corresponding to the intuitive rules and implementation descriptions of the previous section. The model is actually formulated in PLT Redex (Matthews et al. 2004), which is an executable domain-specific language for reduction semantics. The model comes with a substantial test suite, derived from PLT Scheme's test suite for control operators. <sup>6</sup> To avoid transcription errors, the typeset form of the model in figure 1 is mechanically derived from the executable, tested specification.

The portion of the model included in figure 1 omits standard rules, such as  $\beta_{\nu}$ -reduction. The reduction relation is  $\longrightarrow$ , which is defined by [wcm-intro] and the rule  $e_1 \rightsquigarrow e_2 \Rightarrow E_1[e_1] \longrightarrow E_1[e_2]$ . All other reduction rules define the local reduction relation  $\rightsquigarrow$ .

A few aspects of the grammar deserve some explanation. Specifically, the grammar of expressions e is recursive via m, thus constraining expressions so that a wcm form never immediately wraps another wcm form. Contexts are similarly constrained, but with a further distinction between arbitrary evaluation contexts E and contexts E and contexts E that have no dw frames. Furthermore, E is a context that is either empty or ends with a dw frame; it helps ensure deterministic pattern matching for shared dw chains. The subset of values E0 values E1 is referenced by the [wcm-intro] reduction rule.

The SAMEDWS and NOSHARED metafunctions guide the rules for aborts and continuation jumps. Specifically, SAMEDWS compares two continuations to check whether they have the same dw

<sup>&</sup>lt;sup>6</sup> Available from http://www.cs.utah.edu/plt/delim-cont/.

```
(% v_1 v_2 v_3) \rightsquigarrow v_2
                                                                                                  [prompt-v]
       (\text{begin } v e_l) \rightsquigarrow e_l
                                                                                                  [begin-v]
                                                                                                                                 e := m \mid (wcm \ w \ m)
       (dynamic-wind (\lambda () e_1) (\lambda () e_2) (\lambda () e_3))
                                                                                                  [dw]
                                                                                                                                m := x | v | (e e ...) | (begin e e) | (% e e e) | (dw x e e e)
                                                                                                                                 v ::= (\text{list } v ...) \mid (\lambda (x ...) e) \mid (\text{cont } v E) \mid (\text{comp } E)
 \rightsquigarrow (begin e_1 (dw x_1 e_1 e_2 e_3))
                                                                                                                                       |dynamic-wind|abort|current-marks
        where x_i fresh
                                                                                                                                       I cons I u
       (\operatorname{dw} x e_1 v_1 e_3) \rightsquigarrow (\operatorname{begin} e_3 v_1)
                                                                                                  [dw-v]
                                                                                                                                u ::= \verb|call/cc|| \verb|call/comp|| \verb|call/cm||
                                                                                                                                w ::= ((v \ v) ...)
       (% v_1 W_2[(abort v_1 v_2)] v_3) \rightsquigarrow (v_3 v_2)
                                                                                                  [abort]
                                                                                                                                E ::= W \mid W[(\operatorname{dw} x \ e \ E \ e)]
        where W_2 \neq E[(\% v_1 E v)]
                                                                                                                                W ::= M \mid (\text{wcm } w M)
                                                                                                                                M ::= [] | (v ... W e ...) | (begin W e) | (% v W v)
       (\operatorname{dw} x_1 e_1 W_2[(\operatorname{abort} v_1 v_2)] e_2)
                                                                                                  [abort-post]
                                                                                                                                D ::= [] \mid E[(\operatorname{dw} x \ e \ [] \ e)]
\rightsquigarrow (begin e_2 (abort v_1 v_2))
        where W_2 \neq E[(\% v_1 E v)]
       ( v_2 E_2 [(wcm w_1 (call/comp v_1 v_2))] v_3 )
                                                                                                  [call/comp]
                                                                                                                                                                            SAMEDWS: E \times E \rightarrow bool
\rightsquigarrow (% v_2 E_2[(\text{wcm } w_1 (v_1 (\text{comp } E_2)))] v_3)
        where E_2 \neq E[(\% v_2 E v)]
                                                                                                                                SAMEDWS(W_1, W_2)
                                                                                                                                                                                 = true
                                                                                                                                SAMEDWS(W_I[(dw x_I e_I E_I e_2)], = SAMEDWS(E_I, E_2)
       ((\text{comp } W_1[(\text{dw } x_1 e_1 E_2 e_2)]) v_1)
                                                                                                  [comp-pre]
                                                                                                                                                W_2[(dw x_1 e_1 E_2 e_2)])
\rightsquigarrow \llbracket W_I[(\text{begin } e_I (\text{dw } x_I e_I ((\text{comp } E_2) v_I) e_2))] \rrbracket_{\text{wcm}}
                                                                                                                                otherwise
                                                                                                                                                                                 = false
       ((\text{comp } W_I) v_I) \rightsquigarrow \llbracket W_I[v_I] \rrbracket_{\text{wcm}}
                                                                                                  [comp]
       (% v_2 E_2[(wcm w_1 (call/cc v_1 v_2))] v_3)
                                                                                                  [call/cc]
                                                                                                                                                                            NoShared : E \times E \rightarrow bool
\rightsquigarrow (% v_2 E_2[(\text{wcm } w_1 (v_1 (\text{cont } v_2 E_2)))] v_3)
        where E_2 \neq E[(\% v_2 E v)]
                                                                                                                                NOSHARED(W_1[(\operatorname{dw} x_1 e_1 E_1 e_2)], = false
                                                                                                                                                W_2[(dw x_1 e_1 E_2 e_2)])
       (% v_2 D_2[E_3[(dw x_1 e_1 W_5[((cont v_2 D_6[E_4]) v_1)] e_2)]] v_3)
                                                                                                  [cont-post]
                                                                                                                                otherwise
                                                                                                                                                                                 = true
\rightsquigarrow (% v_2 D_2[E_3[(\text{begin } e_2 ((\text{cont } v_2 D_6[E_4]) v_I))]] v_3)
        where D_2[E_3] \neq E[(\% v_2 E v)], SAMEDWS(D_2, D_6),
                  W_5 \neq E[(\% v_2 E v)],
                 NOSHARED(E_3[(dw x_1 e_1 W_5 e_2)], E_4)
                                                                                                                                                                                           \llbracket \bullet \rrbracket_{\text{wcm}} : e \to e
       (% v_1 D_2[W_3[((cont v_1 k_1) v_2)]] v_3)
                                                                                                  [cont-pre]
\rightsquigarrow (% v_1 D_6[W_4[(\text{begin } e_1 (\text{dw } x_1 e_1 ((\text{cont } v_1 k_1) v_2) e_2))]] v_3)
                                                                                                                                [e_I]_{\text{wcm}}
                                                                                                                                                                                  = e_1
        where k_1 = D_6[W_4[(dw x_1 e_1 E_5 e_2)]], D_2[W_3] \neq E[(% v_1 E v)],
                                                                                                                                where e_1 \neq (wcm \ w \ e)
                  SAMEDWS(D_2, D_6).
                                                                                                                                [[(wcm()e_l)]]_{wcm}
                                                                                                                                                                                 = e_1
                 NOSHARED(W_3, W_4[(dw x_1 e_1 E_5 e_2)])
                                                                                                                                [[(wcm ((v_1 v_2) (v_3 v_4) ...) e_1)]]_{wcm}
       ( v_1 D_2[W_3[((cont v_1 D_6[W_4]) v_2)]] v_3)
                                                                                                  [cont]
                                                                                                                                = (call/cm v_1 v_2 (\lambda () [(wcm ((v_3 v_4) ...) e_1)])_{wcm}))
\rightsquigarrow (% v_1 D_6[W_4[v_2]] v_3)
        where D_2[W_3] \neq E[(\% v_1 E v)], SAMEDWS(D_2, D_6),
                 NOSHARED(W_3, W_4)
                                                                                                                                                                    [ \bullet, \bullet, \bullet ]_{\text{marks}} : E \times v \times e \rightarrow e
       (% v_2 E_2[(current-marks v_1 v_2)] v_3)
                                                                                                  [marks]
\rightsquigarrow (% v_2 E_2[\llbracket E_2, v_I, (list) \rrbracket_{marks}] v_3)
                                                                                                                                [[], v, e_2]_{\text{marks}}
                                                                                                                                                                          = e_2
        where E_2 \neq E[(\% v_2 E v)]
                                                                                                                                [[(\text{wcm } w_1 E_I), v_I, e_2]]_{\text{marks}} = [[E_I, v_I, (\text{cons } v_3 e_2)]]_{\text{marks}}
       (\text{wcm } w \ v_I) \rightsquigarrow v_I
                                                                                                  [wcm-v]
                                                                                                                                where w_1 = ((v \ v) \dots (v_1 \ v_3) \ (v \ v) \dots)
                                                                                                                                [[(wcm w_1 E_1), v_1, e_2]]_{marks} = [[E_1, v_1, e_2]]_{marks}
       (wcm ((v_1 v_2) ... (v_3 v_4) (v_5 v_6) ...)
                                                                                                  [wcm-set]
               (call/cm v_3 v_7 (\lambda () e_I)))
                                                                                                                                where v_I \notin \text{Dom}(w_I)
\rightsquigarrow (wcm ((v_1 \ v_2) ... (v_3 \ v_7) (v_5 \ v_6) ...) e_1)
                                                                                                                                [(v ... E_1 e ...), v_1, e_2]_{\text{marks}} = [[E_1, v_1, e_2]_{\text{marks}}]
       (wcm((v_1 v_2) ...) (call/cm v_3 v_4 (\lambda () e_1)))
                                                                                                  [wcm-add]
                                                                                                                                [[(\text{begin } E_1 e), v_1, e_2]]_{\text{marks}} = [[E_1, v_1, e_2]]_{\text{marks}}
\rightsquigarrow (wcm ((v_1 \ v_2) ... (v_3 \ v_4)) e_1)
                                                                                                                                [[(% v E_1 v), v_1, e_2]]_{\text{marks}}
                                                                                                                                                                         = \llbracket E_1, v_1, e_2 \rrbracket_{\text{marks}}
        where v_3 \notin (v_1 ...)
                                                                                                                                [(dw \ x \ e \ E_1 \ e), \ v_1, \ e_2]_{\text{marks}} = [[E_1, v_1, \ e_2]]_{\text{marks}}
       E_I[(u_I \ v_I \dots)] \longrightarrow E_I[(\text{wcm} () (u_I \ v_I \dots))]
                                                                                                  [wcm-intro]
       where E_I \neq E[(wcm \ w \ [])]
```

Figure 1. Combined grammar and reduction rules

frames with the same tags; NOSHARED compares two continuations to make sure that they do not have a common dw prefix.

## 5. Implementation

PLT Scheme version 360 included the first release of our new set of control operators. That release contained several flaws: exception-handler chaining was determined at handler-installation time instead of exception-raise time, and continuation jumping did not properly handle the subtleties of using control operators in dynamic-wind pre- and post-thunks. Aside from one detail discussed below, version 370 (the current release) of PLT Scheme faithfully implements the model and passes its tests.

Naturally, reconciling the tests of the model and implementation exposed a bug that we would not have discovered otherwise. When composing a continuation that was captured in a dynamic-wind pre- or post-thunk, and when the captured continuation includes a different dw frame that is also still in the current continuation at composition time, the implementation failed to run the captured pre-thunk. Only careful inspection of the model's behavior allowed us to see that the implementation's result was incorrect.

The architecture of our implementation is similar to that of Dybvig et al. (2006). To achieve the correct space complexity for continuation composition, our implementation uses continuation marks to discover and eliminate empty continuations for the metacontinuation. Continuation marks, in turn, are implemented with a cache in each continuation frame. This cache enables O(1) amortized access to the first mark for a given tag. This first-mark operation is used to efficiently find a prompt for a given tag.

To simplify the preceding presentation, we have not used the actual names of primitives in PLT Scheme. The table in figure 2 provides a mapping from the paper forms to the implemented forms. The control.ss library distributed with PLT Scheme provides more succinct names for combinations of these primitives.

In addition to the name changes, the actual implementation uses a distinct class of values for prompt tags, and it defines a default prompt tag. The make-continuation-prompt-tag and default-continuation-prompt-tag procedures produce fresh and default prompt tags, respectively. The latter is the default for call-with-current-continuation's second argument, and a prompt using the default tag wraps every top-level evaluation; thus, Scheme programmers do not need to change existing code that uses continuations.

The default prompt tag is also part of the built-in protocol for exception handling, in that the default exception handler aborts to the default tag after printing an error message. Consistent with this protocol, when an abort handler is omitted for call-with-continuation-prompt, the default abort handler accepts a single thunk argument that it applies under a new prompt (using the same prompt tag and the same default prompt handler).

The continuation-mark-set-first procedure is like current-marks, but it returns only the first element of the list. Besides finding prompts internally, this operation is useful for accessing dynamic bindings (with amortized constant-time access) for the common case where only the current binding is needed.

Our current implementation differs from the model in one detail and by design: capturing a continuation preserves marks in the immediate continuation frame, instead of omitting them as prescribed in section 3.2. PLT Scheme provides a continuation-marks procedure that extract marks from a given continuation, instead of from the current continuation, which makes captured immediate marks accessible when they would be inaccessible otherwise. Although the continuation-marks procedure has been at part of PLT Scheme for years, we have not yet found a use for it—which suggests that the operation should be removed, and that our implementation should be adjusted to match the model.

```
in paper
                   in PLT Scheme
                   call-with-continuation-prompt
                     body is a thunk as the first argument;
                     only the first argument is required;
                     an abort handler can accept multiple values
abort
                   abort-current-continuation
                     accepts any number of abort values
call/cc
                   call-with-current-continuation
                     only the first argument is required
call/comp
                   call-with-composable-continuation
                     only the first argument is required
call/cm
                   with-continuation-mark
                     body is an expression instead of a thunk
                   current-continuation-marks
current-marks
                     only the first argument is required;
                     also: continuation-mark-set-first
```

Figure 2. Operators in paper versus PLT Scheme

```
(define (evaluate-from-port port complete-program?)
  (define tag (default-continuation-prompt-tag))
  (define (loop)
    (let ([expr (get-next-expression port)])
      (unless (eof-object? expr)
        ; Delimit each top-level evaluation:
        (call-with-continuation-prompt
         (\lambda () (eval expr)) tag
         (if complete-program?
              ; Load mode: don't continue
              (\lambda \text{ args}
                 (abort-current-continuation
                 tag void))
              ; REPL mode: run thunk and continue
              (λ (thunk)
                 (call-with-continuation-prompt
                  thunk tag))))
        (loop))))
  ; Catch possible escape in load mode:
  (call-with-continuation-prompt loop))
```

Figure 3. Evaluation for REPL and Load

PLT Scheme provides pre-emptive threads in addition to continuations. Threads can be mostly implemented with continuations, but not without interference from many other constructs (Gasbichler et al. 2003). Fortunately, threads that work with non-composable continuations also accommodate prompts and composable continuations with few additional considerations. Indeed, adding prompts to PLT helped remove a restriction on moving continuations among threads. Nevertheless, one facet of our thread implementation interacts badly with delimited continuations: dynamic bindings created with parameterize, which are inherited by newly created threads, are not consistently delimited by prompts. We expect to fix this problem, which stems from our current data-structure choice.

#### 6. Experience

Prompts help isolate a REPL implementation from the expressions that it evaluates, and vice-versa. A text-based REPL must manipulate state that implements a text stream; without prompts, a REPL's implementation is complicated by the possibility that the state-manipulating code might get captured in a continuation. In DrScheme's REPL for graphical programs, the problem is even worse, because expression evaluation requires a callback in a thread that is also used for GUI callbacks. Adding prompts to PLT Scheme allowed us to remove some error-prone code in DrScheme and replace it with a straightforward code that is 1/3 as long. We can also more easily experiment with variations of REPL behavior in

DrScheme, such as controlling the span of captured continuations when multiple expressions are submitted at once to the REPL.

The abort protocol with the default prompt tag provides further flexibility in defining the escape behavior for interactive evaluation. The MzScheme and DrScheme REPLs, for example, wrap evaluation in a prompt using the default tag, and using a handler that loops to continue evaluation. The file-loading procedure installs a similar prompt around each evaluation, so that a captured continuation does not include the load process; it uses an abort handler that reaborts, however, so that file loading stops on the first exception: see figure 3. Exploiting abort handlers in this way for REPLs is far more maintainable than the previously used tangle of callbacks.

A primary motivation for adding delimited continuations to PLT Scheme was the space of possibilities that it opens for improving the PLT web server (Krishnamurthi et al. 2007). Servlets use continuations to implement sessions, and such continuations previously captured parts of the driving server process, leading to the same complexity in the server as for REPLs—even worse, because the web server is multi-threaded. Indeed, delimited continuations allow the server to handle multiple session-specific requests concurrently instead of sequentially. Delimited continuations may also enable more composable servlets by allowing multiple elements on a web page to be manipulated independently (analogous to multiple threads), and by allowing servlets to act as filters for the results of other servlets (analogous to Apache's mod\_gzip compression).

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