

IIT KANPUR

CS345A - ALGORITHMSII

# Assignment 5

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# 1 An Atmospheric Science Experiment : Part-1

## 1.1 Instance of max-flow problem corresponding to instance of the given problem

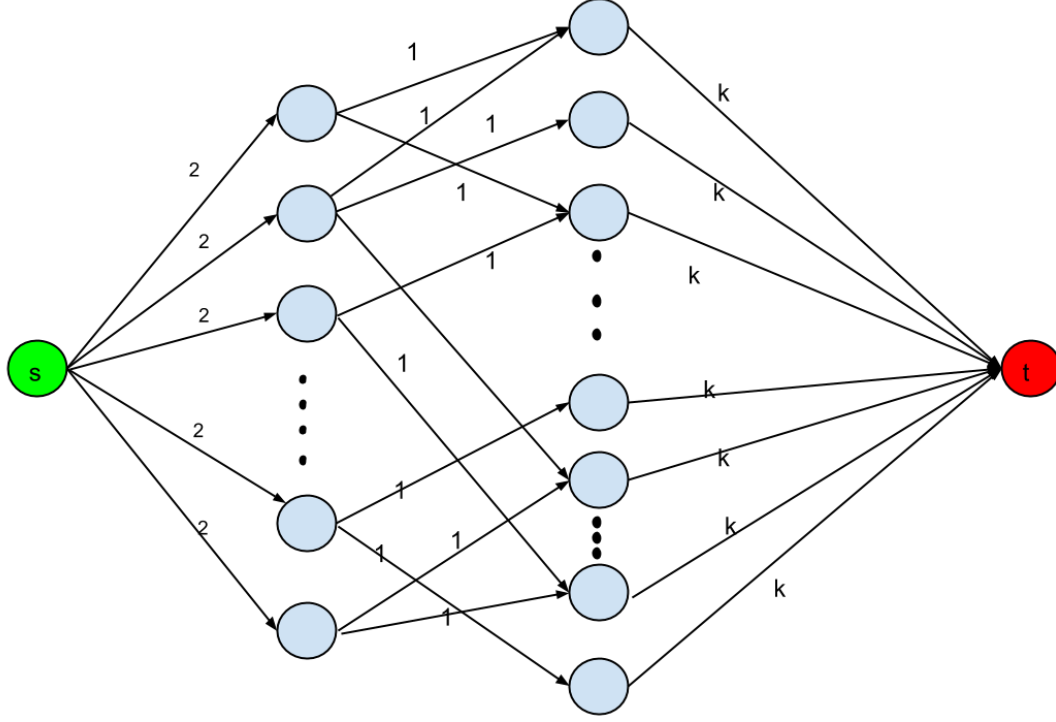


Figure 1: Figure for part-1

A directed graph  $G = (V, E)$ , where  $V$  is the set of vertices, and  $E$  is the set of edges of the graph.

- $\underline{V}$ : The set of vertices of the graph contains,
  - A source  $s$ .
  - $m$  vertices corresponding to  $m$  balloons.
  - $n$  vertices corresponding to  $n$  atmospheric conditions.
  - A sink  $t$ .
- $\underline{E}$ : The edges in the graph are,
  - $(s, i), \forall i \in m$  vertices (corresponding to balloons),  $s$  is the source, and  $\text{capacity}(s, i) = 2$ .
  - $(i, j), \forall i \in m$  vertices (corresponding to balloons) to  $j, \forall j \in S_i$ . Here edge capacity for all  $(i, j) = 1$ .
  - $(j, t), \forall j \in n$  vertices (corresponding to conditions),  $t$  is the sink, and  $\text{capacity}(j, t) = k$ .

## 1.2 Theorem

There is a way to measure each condition by  $k$  different balloons, while each balloon only measures at most two conditions **iff** the value of maximum flow from  $s$  to  $t$  is  $nk$ .

## 1.3 Proof

- **Proof for ( $\Rightarrow$ ):** If there is a way to measure each condition by  $k$  different balloons, while each balloon measures at most two conditions, for given  $n$  conditions, the sets  $S_i$  for each of the  $m$  balloons and  $k$ , then the value of maximum flow from  $s$  to  $t$  in  $G$  must be  $nk$ .

### Proof:

Let  $A$  be the set of  $m$  vertices corresponding to the balloons and  $B$  be the set of  $n$  vertices corresponding to the conditions.

Thus,  $|A| = m$ , and  $|B| = n$ .

Let  $S$  be a way to measure each condition by  $k$  different balloons while each balloon measures at most two conditions. Let  $S_j$  be the set of  $k$  balloons measuring condition  $j \in B$ .

### Construction of corresponding max-flow:

- For each  $(j, t)$ , where  $j \in B$ , assign flow from  $j$  to  $t$  to  $k$ .
- For each  $(i, j)$ , where  $j \in B$ , and  $i \in S_j$ , assign flow from  $i$  to  $j$  to 1.
- For each  $(s, i)$ , where  $i \in A$ , assign flow from  $s$  to  $i$  to  $\sum_j flow(i, j)$  where  $j \in B$ .

### Validity of constraints :

#### – Capacity constraints:

- \* For each  $(s, i)$ , where  $i \in A$ ,  $flow(s, i) = \sum_j flow(i, j) \leq 2 = capacity(s, i)$ .
- \* For each  $(i, j)$ , if balloon  $i$  measures condition  $j$ , then  $flow(s, i) = 1$ , otherwise 0. Thus,  $flow(s, i) \leq capacity(i, j)$ .
- \* For each  $(j, t)$ ,  $flow(j, t) = k = capacity(j, t)$ .
- \* Thus, all capacity constraints are satisfied.

#### – Conservation constraints:

- \* The only intermediate vertices are  $A \cup B$ .
- \* For each vertex  $i$  in  $A$ ,  $f_{in}(i) = f_{out}(i) = \sum_j flow(i, j)$  where  $j \in B$ .
- \* For each vertex  $j$  in  $B$ ,  $f_{in}(j) = k$ , since  $k$  balloons measure condition  $j$ . Also,  $f_{out}(j) = k$ .

\* Thus,  $f_{in}(j) - f_{out}(j) = 0 \forall u \in V - \{s, t\}$ .

We know that value of max flow in  $G \leq nk$ , since  $|B| = n$ , and  $f_{out}(j)$  for each  $j \in B = k$ .

Also, as per flows constructed above, value of flow =  $nk$  (Thus, it is the max-flow possible).

- **Proof for ( $\leq$ ):** If the value of maximum flow from  $s$  to  $t$  in  $G$  is  $nk$ , then there exists a way to measure each condition according to given constraints.

**Proof:**

- For each  $(s, i)$ , where  $i \in A$ , capacity is 2, hence balloon  $i$  can measure at most 2 conditions.
- For each  $(i, j)$ , where  $i \in A$ , and  $j \in B$ , capacity is 1, thus ensuring a balloon doesn't measure same condition twice. Since, flow is  $nk$ , there will be  $k$   $i$  with flow 1 on a given  $j$ .
- For each  $(j, t)$ , where  $j \in B$ , capacity is  $k$ , hence ensuring one condition is measured by at most  $k$  balloons.
- Thus, we can see this is a solution of the problem satisfying all constraints.

## 2 An Atmospheric Science Experiment : Part-2

### 2.1 Instance of max-flow problem corresponding to instance of the given problem

A directed graph  $G = (V, E)$ , where  $V$  is the set of vertices, and  $E$  is the set of edges of the graph.

- $\underline{V}$ : The set of vertices of the graph contains,
  - A source  $s$ .
  - 3 set of vertices,  $A1, A2, A3$  corresponding to balloons from three contractors such that  $|A1| + |A2| + |A3| = m$ .
  - 3 set of  $n$  vertices,  $B1, B2, B3$  corresponding to conditions for different contractors.
  - $n$  vertices corresponding to each condition (set  $C$ ).
  - A sink  $t$ .
- $\underline{E}$ : The edges in the graph are,
  - $(s, i), \forall i \in A1, A2, A3$  (corresponding to balloons),  $s$  is the source, and  $\text{capacity}(s, i) = 2$ .

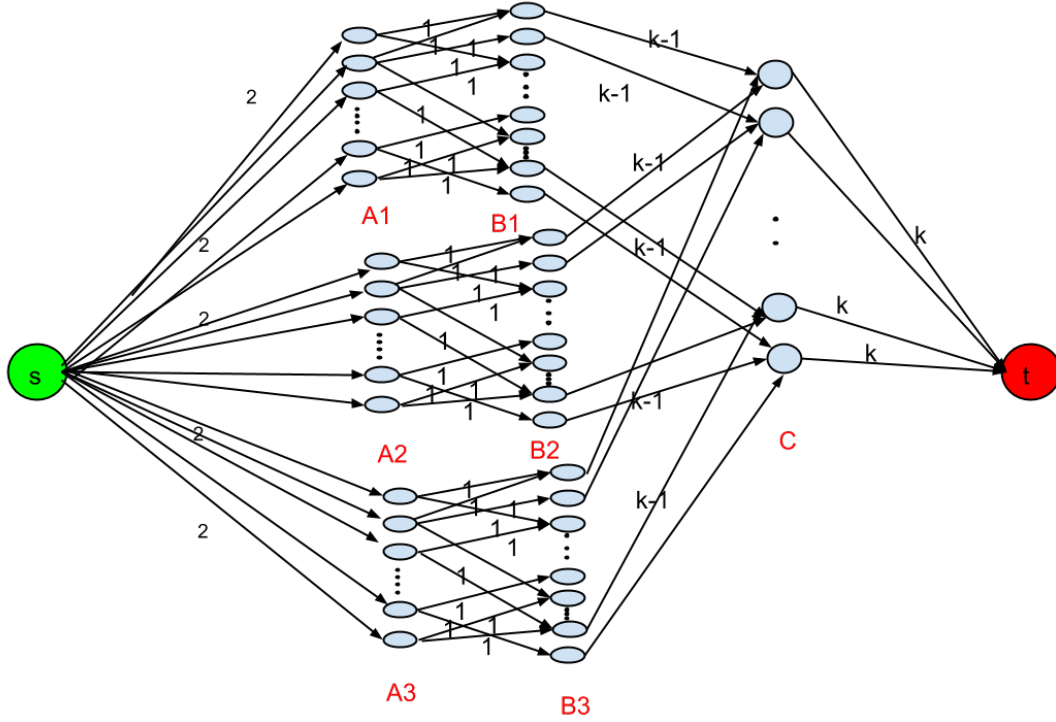


Figure 2: Figure for part-2

- $(i, j), \forall i \in A1 \text{ to } j \in B1, \forall i \in A2 \text{ to } j \in B2, \forall i \in A3 \text{ to } j \in B3$ . Here all edges have capacity 1.
- $(j, l), i^{th}$  vertex of each  $B_x(x=1,2,3)$  maps to  $i^{th}$  vertex of  $C$ , with capacity of each edge  $k - 1$ .
- $(l, t), \forall l \in C, t$  is the sink, and  $\text{capacity}(l, t) = k$ .

## 2.2 Theorem

There is a way to measure each condition by  $k$  different balloons, while each balloon only measures at most two conditions and no condition exists such that all  $k$  measurements come from balloons produced by a single contractor **iff** the value of maximum flow from  $s$  to  $t$  is  $nk$ .

## 2.3 Proof

- **Proof for  $(\Rightarrow)$ :** If there is a way to measure each condition by  $k$  different balloons, while each balloon measures at most two conditions and no condition exists such that all  $k$  measurements come from balloons produced by a single contractor, for given  $n$  conditions, the sets  $S_i$  for each of the  $m$  balloons and  $k$ , then the value of maximum flow from  $s$  to  $t$  in  $G$  must be  $nk$ .

**Proof:**

Let  $S$  be a way to measure each condition by  $k$  different balloons while each balloon measures at most two conditions and no condition exists such that all  $k$  measurements come from balloons produced by a single contractor. Let  $S_l$  be the set of  $k$  balloons measuring condition  $l \in C$ .

**Construction of corresponding max-flow:**

- For each  $(l, t)$ , where  $l \in C$ , assign flow from  $l$  to  $t$  to  $k$ .
- For each  $(i, j)$ , where  $j \in B_x$ , where  $(1 \leq x \leq 3)$ , and  $i \in S_l$ , assign flow from  $i$  to  $j$  to 1. To the remaining  $i \in B1 \cup B2 \cup B3$ , assign flow from  $i$  as 0.
- For each  $(s, i)$ , where  $i \in A_x$ , assign flow from  $s$  to  $i$  to  $\sum_j flow(i, j)$  where  $j \in B_x$  and  $1 \leq x \leq 3$ .
- For each  $(j, l)$ , where  $j \in B_x$  and  $1 \leq x \leq 3$  and  $l \in C$ , assign flow from  $j$  to  $l$  to  $\sum_j flow(i, j)$  where  $i \in A_x$ .

**Validity of constraints :**

– **Capacity constraints:**

- \* For each  $(s, i)$ , where  $i \in A$ ,  $flow(s, i) = \sum_j flow(i, j) \leq 2 = capacity(s, i)$ .
- \* For each  $(i, j)$ , if balloon  $i$  measures condition  $j$ , then  $flow(s, i) = 1$ , otherwise 0. Thus,  $flow(s, i) \leq capacity(i, j)$ .
- \* For each  $(j, l)$ , where  $l \in C$ , flow  $\leq k-1 = capacity$  of the edge.
- \* For each  $(l, t)$ ,  $flow(l, t) = k = capacity(l, t)$ .
- \* Thus, all capacity constraints are satisfied.

– **Conservation constraints:**

- \* The only intermediate vertices are  $A_x \cup B_x \cup C$  and  $1 \leq x \leq 3$ .
- \* For each vertex  $i$  in  $A_x$ ,  $f_{in}(i) = f_{out}(i) = \sum_j flow(i, j)$  where  $j \in B_x$ .
- \* For each vertex  $j$  in  $B_x$ ,  $f_{in}(j) = \sum_j flow(i, j)$  where  $i$  in  $A_x$ .
- \* For each  $l \in C$ ,  $f_{out}(l) = k$ , and  $f_{out}(l) = \sum_j flow(i, j) k$ , since  $S$  is a solution.
- \* Thus,  $f_{in}(j) - f_{out}(j) = 0 \forall u \in V - \{s, t\}$ .

We know that value of max flow in  $G \leq nk$ , since  $|C| = n$ , and  $f_{out}(l)$  for each  $l \in C = k$ .

Also, as per flows constructed above, value of flow =  $nk$  (Thus, it is the max-flow possible).

- **Proof for ( $\leq$ ):** If the value of maximum flow from  $s$  to  $t$  in  $G$  is  $nk$ , then there exists a way to measure each condition according to given constraints.

**Proof:**

- For each  $(s, i)$ , where  $i \in A_x$ , capacity is 2, hence balloon  $i$  can measure at most 2 conditions.
- For each  $(i, j)$ , where  $i \in A_x$ , and  $j \in B_x$ , capacity is 1, thus ensuring a balloon doesn't measure same condition twice.
- For each  $(j, l)$ , capacity of the edge is  $k - 1$ , ensuring all  $k$  balloons are not from the same contractor.
- For each  $(l, t)$ , where  $l \in C$ , capacity is  $k$ , hence ensuring one condition is measured by at most  $k$  balloons.
- Thus, we can see this is a solution of the problem satisfying all constraints.



### 3 Job Scheduling using Max-Flow

#### 3.1 Problem

Each job  $j$  has an arrival time  $a_j$  when it is first available for processing, a length  $l_j$  which indicates how much processing time it needs and a deadline  $d_j$  by which it must be finished. ( $0 \leq j \leq d_j - a_j$ .) Each job can be run on any of the processors, but only on one at a time; it can also be preempted and resumed from where it left off (possibly after a delay). Moreover, the collection of processors is not entirely static either: you have an overall pool of  $k$  possible processors; but for each processor  $i$  there is an interval of time  $[t_i, t'_i]$  during which it is available; it is unavailable at all other times. Given all this data about job requirement and processor availability, we have to decide whether the jobs can all be completed or not.

#### 3.2 An Instance of the Max-Flow problem:

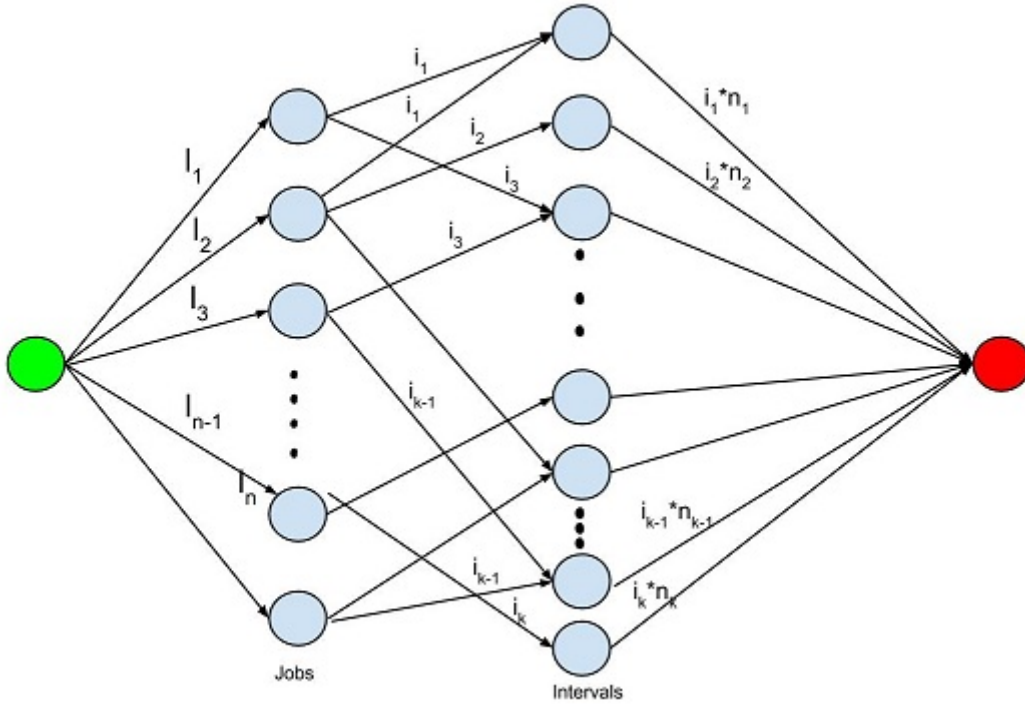


Figure 3: A graph representing the Max-Flow diagram of the instance.

**Salient features of the graph:**

- $l_i$  is the length of the  $i^{th}$  job.
- $i_j$  is the length of the  $j^{th}$  interval.
- $n_j$  is the number of processors active during the  $j^{th}$  interval.

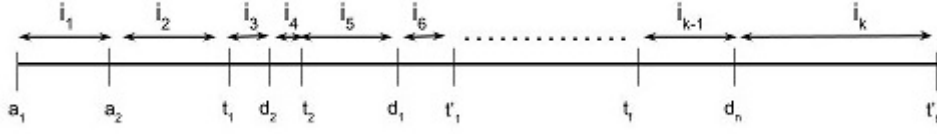


Figure 4: Distribution of Intervals: An Interval timeline

### Salient Points for creating intervals

- Plot the times  $a_j, d_j \forall$  job  $j$  and  $t_i, t'_i \forall$  processor  $i$  on a horizontal axis.

### Validity of the interval set

The proposed interval distribution is valid because a job can be preempted only in the following 3 cases:

- A new job is to be processed.
- Deadline of the on-going job has been reached.
- The current job has been completed.
- A processor has completed its tenure or a new one enters for scheduling.

Since the distribution is done keeping the above cases in mind, the proposed distribution scheme is valid.

### Construction of the Network Flow graph:

- insert a start point  $s$ , all the  $n$  jobs, all the intervals  $i$  and an end point  $t$ .
- Create an edge from  $s$  to job  $j_i$  with capacity  $l_i \forall$  jobs in the job set.
- Create an edge from each job  $j$  in the job set to each interval set node  $i$  whose interval lies within  $a_j$  and  $d_j$ ; with the capacities being the  $\text{length}(i_i)$  of the intervals.
- Create an edge from interval set node  $i$  to end point  $f \forall$  intervals; with capacity of edge being  $i_i * n_i$ . where  $i_i = \text{length of interval } i$  and  $n_i = \text{number of processor active during the } i^{\text{th}} \text{ interval}$ .

## 3.3 Theorem

All the jobs can be scheduled before their deadline, **if and only if**  $\exists$  a **maximum flow** from  $s$  to  $t$  of value  $\sum_{i=1}^n l_i$  where  $n = \text{total number of jobs}$ .

### 3.4 Proof

- Forward: If the jobs can be scheduled before their deadline, then  $\exists$  a **maximum flow** from  $s$  to  $t$  of value  $\sum_{i=1}^n l_i$  where  $n$  = total number of jobs.  
Let  $Sched(j)$  denote the schedule for the  $j^{th}$  job.

**Assigning the values of flow:**

- For each edge  $(u, v)$  between the job set and the interval set, where  $u \in$  job set and  $v \in$  set of intervals present in  $Sched(u)$ ; flow  $f(u, v)$  = amount of time allotted to  $u$  in interval  $v$ . Set  $f(u, v)$  to 0 for other edges.
- For each edge  $(s, u)$  from the start point  $s$  to nodes of job set, flow  $f(s, u) = l_u$ ; where  $l_u$  is the length for the job node  $u$ .
- For each edge  $(v, t)$  between interval set and the end point  $t$ , flow  $f(v, t) = \sum_u f(u, v)$ .

**Satisfaction of Conservation constraint:**

- For each node  $u \in$  job set, input flow  $f_{in} = l_u$ ;  
output flow  $f_{out} = \sum_v f(u, v) = l_u$  (since every job  $u$  must be processed for  $l_u$  time in the scheduler according to the given constraints.)  
Hence,  $f_{out} - f_{in} = 0$ .
- For each node  $v \in$  interval set, output flow  $f_{out} = \sum_u f(u, v) = f_{in}$  (trivial).  
Hence,  $f_{out} - f_{in} = 0$ .

**Satisfaction of Capacity constraint:**

- For each edge  $(s, u)$  from the start point  $s$  to nodes of job set,  
 $capacity(s, u) = f(s, u) = l_u$ ; where  $l_u$  is the length for the job node  $u$ .
- For each edge  $(u, v)$  between the job set and the interval set,  
where  $u \in$  job set and  $v \in$  interval set;  
flow  $f(u, v)$  = amount of time allotted to  $u$  in interval  $v$ .  
 $Capacity(u, v)$  = length of interval  $v$  which is obviously greater than  $f(u, v)$ .
- For each edge  $(v, t)$  between interval set and the end point  $t$ ,  
flow  $f(v, t) = \sum_u f(u, v)$ , and  $capacity(v, t) = i_v * n_v$ .  
where  $i_v$  = length of interval  $v$  and  $n_v$  = number of processor active during the  $v^{th}$  interval.  
Since the Schedule is valid therefore,  $f(v, t) \leq capacity(v, t)$ .  
Hence the capacity constraint is satisfied for all the edges.

$$\begin{aligned}
 \text{Let the flow in the network be } f. \quad f &\leq \sum_u capacity(s, u) \\
 \sum_u capacity(s, u) &= \sum_{i=1}^n l_i \\
 \rightarrow f &\leq \sum_{i=1}^n l_i \\
 f(s, u) = l_u &\rightarrow f = \sum_{i=1}^n l_i \\
 \text{where } n &= \text{total number of jobs.}
 \end{aligned}$$

Hence  $f$  is a max flow in the given network.

- Backward: If  $\exists$  a **maximum flow** from  $s$  to  $t$  of value  $\sum_{i=1}^n l_i$  where  $n$  = total number of jobs, then the jobs can be scheduled before their deadline.

Let  $f = \sum_{i=1}^n l_i$  where  $n$  = total number of jobs; be the maximum flow.

**Construction of a Schedule from Max-Flow  $f$ :**

- Integrality theorem states that  $f$  will be integral.
- **Processing time:**  
 $\sum_u f(s, u) = \sum_{i=1}^n l_i$  and  $f = \sum_{i=1}^n l_i$  where  $n$  = total number of jobs, therefore each job  $u$  gets a processing time of  $l_u$  as given by the scheduling constraint.
- **Meeting the deadline:**  
Each node  $u$  in the job set has edge only to those nodes  $v$  in the interval set which lie between  $u$ 's arrival and deadline. Therefore, all the jobs will be scheduled after their arrival and before their deadline.
- **Availability of Processors:**  
 $capacity(v, t) = i_v * n_v$ . where  $i_v$  = length of interval  $v$  and  $n_v$  = number of processor active during the  $v^{th}$  interval. The  $n_v$  part in the capacity lets only the active processors schedule the jobs.
- **concurrency control:**  
Every edge between a job and an interval is assigned the capacity of the length of that interval. Therefore, assigning a job to multiple processors simultaneously is forbidden in case of max flow of  $f$ .
- **Building the schedule  $Sched(u)$ :**  
For each edge  $(u, v)$  between the job set and the interval set, where  $u \in$  job set and  $v \in$  interval set; if  $f(u, v) > 0$ , we add that interval  $v$  and the processor in  $Sched(u)$  giving us a valid scheduler satisfying all the given constraints.