

AutoElastic: Automatic Resource Elasticity for High Performance Applications in the Cloud

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Abstract—Elasticity is undoubtedly one of the most striking characteristics of cloud computing. Especially in the area of high performance computing (HPC), elasticity can be used to execute irregular and CPU-intensive applications. However, the on-the-fly increase/decrease in resources is more widespread in Web systems, which have their own IaaS-level load balancer. Considering the HPC area, current approaches usually focus on batch jobs or assumptions such as previous knowledge of application phases, source code rewriting or the stop-reconfigure-and-go approach for elasticity. In this context, this article presents AutoElastic, a PaaS-level elasticity model for HPC in the cloud. Its differential approach consists of providing elasticity for high performance applications without user intervention or source code modification. The scientific contributions of AutoElastic are twofold: (i) an Aging-based approach to resource allocation and deallocation actions to avoid unnecessary virtual machine (VM) reconfigurations (thrashing) and (ii) asynchronism in creating and terminating VMs in such a way that the application does not need to wait for completing these procedures. The prototype evaluation using OpenNebula middleware showed performance gains of up to 26 percent in the execution time of an application with the AutoElastic manager. Moreover, we obtained low intrusiveness for AutoElastic when reconfigurations do not occur.

Index Terms—Cloud elasticity, high-performance computing, asynchronism, resource management, self-organizing

1 INTRODUCTION

ELASTICITY is one of the strongest features that distinguishes cloud computing from other approaches of distributed systems [1], [2], [3]. It exploits the fact that resource allocation is a procedure that can be performed dynamically according to the demand for either the service or the user. Elasticity is an essential principle for the cloud model because it not only provides efficient resource sharing among users but also makes it feasible to have a pay-as-you-go computing style. Current state-of-the-art shows that the most common approach for elasticity is the replication of stand-alone virtual machines (VMs), when a particular threshold of a given metric or combination of metrics is reached [3]. The load balancer maintained by the cloud provider manages the service calls (which are usually identified by a URL or IP address) and redirects demands for the most suitable replica [4], [5], [6]. This mechanism was originally

developed for dynamic scaling server-based applications, such as web, e-mail and databases, to handle unpredictable workloads, enabling organizations to avoid the downfalls that are involved with non-elastic provisioning (*i.e.*, over- and under-provisioning) [7]. Thus, following this idea, cloud elasticity is also used in different areas, such as video on demand, online stores, BOINC applications, e-governance and Web services [8]. Despite the clear benefits of its adoption, elasticity poses some challenges to the high performance computing (HPC) application or service development. Although transparent to the user, the foregoing elasticity mechanism is suitable on loosely coupled programs in which replicas do not establish communication among themselves [9].

Today, multiple cloud computing providers focus on applications that demand high performance computing [10]. Despite the increasing adoption of high-speed network technologies, such as Infiniband and 10 Gigabit Ethernet and hardware-assisted virtualization [11], HPC applications still have difficulty when taking advantage of a variable number of resources for many reasons: (i) the typical development of such applications, that traditionally use Message Passing Interface (MPI) 1.0, involves a fixed number of processes (or threads), so not exploiting the eventual addition of new resources [8]; (ii) despite overcoming the previous limitation by providing dynamic process creation, the applications with MPI 2.0 are not ready, by default, to present an elastic behavior, *i.e.*, programmers must explicitly create or destroy processes during execution, besides managing communicators topology and load balancing by their self [12]; (iii) the fact of either a premature death of a process or a consolidation of a VM that hosts one or more processes

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from a tightly coupled parallel code can imply the termination of the application execution [8], [18]; (iv) the elasticity setup normally requires a previous configuration of lower/upper thresholds, rules and actions, and a definition of a load metric, which can involve both cloud computing expertise and a deep knowledge of the application code [5], [6], [9], [13].

To bypass these limitations in the joint-analysis of cloud elasticity and HPC applications, some approaches impose code rewriting [8], [13], former knowledge of the application phases [14], [15], [16], and the stop-reconfigure-and-go [8] mechanism. Particularly, this last approach is not suitable for short running applications, since the time that the application remains stopped can overcome the possible gains with elasticity. Besides these alternatives, resizing or vertical elasticity [9], [43] can also be employed to reconfigure CPU, memory or disk parameters of a VM. Although especially pertinent to MPI 1.0 applications, since the number of processes remains unchanged, this technique is bounded by the theoretical characteristics of the node that executes the VM. To the best of our knowledge, as described in Section 2, there is no model that covers distributed resource reconfiguration for HPC systems, addressing concomitantly the aforementioned elasticity problems and transparency in delivering this capability to the user. Considering this background, this article presents an elasticity model called AutoElastic to manage the allocation of virtual machines for HPC applications. AutoElastic acts at the PaaS level of a cloud, not imposing either modifications on the application source code or extra definitions of elasticity rules and actions by the programmer. Furthermore, AutoElastic proposes an operation that does not have any prior knowledge of the application, ignoring, for example, the expected time for concluding each one of its phases. AutoElastic brings two contributions to the state-of-the-art in HPC applications in the cloud:

- i) Use of an *Aging-based technique* [19] for managing cloud elasticity to avoid thrashing on VM allocation and deallocation procedures;
- ii) An infrastructure to provide *asynchronism* on creating and destroying virtual machines, providing parallelism of such procedures and regular application execution.

In addition to AutoElastic, this article also describes both the implementation and evaluation of a prototype that was developed using the OpenNebula [20] middleware. The prototype was used to run a numerical integration application over a private cloud under different situations of load (Ascending, Descending, Wave and Constant). The goal is to show the AutoElastic actions considering the load changes and the impact of both the loads and the asynchronism on the applications performance. The remainder of this article will first introduce related studies in Section 2. Section 3 presents the AutoElastic model, revealing how we developed the aforementioned contributions. Section 4 shows the AutoElastic prototype, the evaluation methodology and a discussion of the results. Finally, Section 5 expresses the final remarks, highlighting the contributions with quantitative data.

2 RELATED WORK

This section is organized to present the perspective of elasticity from both public cloud providers and academic research initiatives, showing the existing gap in the state-of-the-art on managing elasticity for HPC applications. Table 1 shows this compilation. Basically, cloud providers stand to present a robust solution that is ready to use, while academic research shows frameworks, plugins and/or new algorithms for the problem of elasticity in the cloud. In this article, the term elasticity refers to actions for requesting or releasing resources on-the-fly during service runtime [9]. Considering Table 1, the action mode will decide how resources will be dealt with as well as their types [21]. In the horizontal approach, the number of instances (VMs) is increased or decreased. On the other hand, the vertical approach resizes service attributes, such as the CPU, memory or disk capacity. The elasticity management policies are based on [9], which considers two main classifications in accordance with programmer intervention: manual or automatic. The first considers either the use of an application programming interface from the cloud middleware, a graphical or a command-line tool.

In the case of the automatic policy, there are two subdivisions: reactive and proactive. Reactive normally uses rules-condition-action statements and predefined thresholds for elasticity management. On the other hand, a proactive approach employs prediction techniques to anticipate the behavior of the system and to thereby decide the reconfiguration actions. Although the word automatic is used, both policies are expected to require a preliminary configuration from the user viewpoint to set up the initial parameters. According to Galante and Bona [9], there are three methods for providing elasticity: (i) VM replication, (ii) VM migration and (iii) VM redimensioning. The last method concerns the addition of processes for the purpose of exploiting new available resources.

2.1 Commercial and Open Source Initiatives

The commercial and open source initiatives are noted for addressing elasticity either manually, considering the user perception [20], [22], [25], [26], [28], [29], or through previous configurations (with reactive elasticity) [11], [23], [24], [27]. The manual approach is especially common in private clouds. Users can develop their own application for monitoring services that run on VMs, launching elasticity actions when necessary. However, some middleware, such as Amazon AWS, Windows Azure and Nimbus, provide configurable subsystems for service monitoring and elasticity management. Users must complete the rules and the limits of a metric to be monitored as well as the conditions and actions for reconfiguration. AWS provides an API and a graphical tool for these tasks. The monitoring is performed by CloudWatch, and the elasticity itself is managed reactively by Autoscaling [11].

Windows Azure offers a library, called Autoscaling Application Block, in which the user must add the application project for enabling the elasticity feature. This approach allows the programmer to use performance counters and to write elasticity rules. AzureWatch is another way of controlling the number of instances; it is a framework that works

TABLE 1
An Overview of Commercial and Academic Initiatives to Address Cloud Elasticity

Systems		Level	Action mode	Policy	Objective/Metric	Elasticity Method	Interface/Observations
Commercial, open source initiatives	OpenNebula [20]	IaaS	Horizontal and vertical	Manual	Defined by the user	Replication and migration	CLI, GUI and API
	Eucalyptus [22]	IaaS	Horizontal and vertical	Manual	Defined by the user	Replication and migration	CLI, GUI and API
	Amazon AWS [11]	IaaS, PaaS	Horizontal	Automatic, reactive with preconfiguration	CPU, network, memory and disc	Replication	Amazon AutoScale, CloudWatch and API
	Windows Azure [23]	IaaS, PaaS	Horizontal	Automatic, reactive with preconfiguration	CPU and network	Replication and migration	GUI and API
	Nimbus [24]	IaaS	Horizontal	Automatic, reactive with preconfiguration	CPU	Replication	GUI and Phantom plugin
	OpenStack [25]	IaaS	Horizontal	Manual	Defined by the user	Replication	CLI and API
	CloudStack [26]	IaaS	Horizontal and vertical	Manual	Defined by the user	Replication and migration	CLI, GUI and API
	RightScale [27]	IaaS	Horizontal	Automatic, reactive with preconfiguration	CPU, work queue	Replication	Specialized framework and GUI
Academic research initiatives	PRESS [31]	IaaS	Horizontal	Automatic, reactive	Service Level Objective (SLO)	Redimensioning	Framework for resource management with XEN
	ElasticMPI [8]	PaaS	Vertical	Automatic, reactive	CPU	Replication	Monitoring directives are inserted in the source code
	Work Queue [13]	PaaS	Horizontal	Manual	CPU	Redimensioning	Framework for Windows Azure and Amazon AWS
	COS [5]	PaaS	Horizontal	Automatic, reactive	CPU and network	Replication and Migration	Management on operating system-level
	Ming, Li e Humphrey [6]	IaaS	Horizontal	Automatic, proactive	Conclusion time of batch jobs	Replication	System that works over Windows Azure
	Lightweight Scaling [7]	IaaS	Horizontal and vertical	Automatic, reactive	CPU and memory	Replication, redimensioning	Framework for resource management
	Kingsfiher [21]	IaaS	Horizontal	Automatic, reactive and proactive	Budget (cost)	Replication and migration	Executes over OpenNebula
	Moreno e Xu [33]	IaaS	Horizontal and vertical	Automatic, proactive	Energy	Replication and migration	Framework for resource management
	Scattered [34]	IaaS	Horizontal	Automatic, proactive	CPU and network	Migration	Framework for resource management
	Sandpiper [35]	IaaS	Horizontal and vertical	Automatic, reactive an proactive	CPU, network and memory	Redimensioning and migration	Framework that works over XEN
	Elastack [36]	IaaS	Horizontal	Automatic, reactive	CPU	Replication	Plugin that works over OpenStack
	Elastic Queue Service [37]	IaaS	Horizontal and vertical	Automatic, reactive	Number of requests	Replication	Framework that works over Amazon AWS
	Elastic Site [24]	IaaS	Vertical	Automatic, reactive with preconfiguration	Number of requests	Replication, redimensioning	Resource manager for Nimbus
	Suleiman [38]	IaaS	Vertical	Automatic, reactive	CPU	Replication	Use Amazon AWS and CloudWatch
	Kaleidoscope [40]	IaaS	Horizontal	Automatic, reactive	CPU and memory	Replication (cloning)	Micro-elasticity by VM cloning

with CPU usage, history, mean response time and other data regarding the VM [23]. Another possibility in AzureWatch is to employ explicit action rules. The Nimbus system, in turn, works as a batch job scheduler for Amazon EC2-based cloud environments. Nimbus uses Phantom to monitor the

behavior of resources based on an observed metric. Phantom allows setting of the minimum and maximum number of VMs for a service execution, the number of VMs that will be launched when an upper limit is found and the number of VMs that will be consolidated when attaining a lower limit.

2.2 Academic Research Initiatives

The academic research initiatives aim to fill the gaps or improve the already existing elasticity approaches. In this way, the following subgroups can be extracted: (i) parallel and high performance computing [1], [5], [6], [7], [8], [13], [14], [16], [32], [36], [41]; (ii) budget-oriented (financial costs) [6], [21]; (iii) federated-based cloud computing [5], [24], [30]; (iv) predictive models for detecting behavior patterns on cloud services [6], [21], [31], [33], [34], [35]; (v) energy savings and overbooking of VMs over physical machines [14], [33]; (vi) real-time computing, addressing deadlines for the services [6], [8], [33], [37]; (vii) message-oriented middleware solutions [37]; and (viii) web-based workload and applications for both business and transactional areas [4], [32], [35], [38], [39].

In the following, the HPC subgroup is expanded due to its tight relation with this work. ElasticMPI offers elasticity for MPI applications by stop-and-relaunching the application with a newer resource configuration [8]. The system assumes that the user knows in advance the expected conclusion time for each phase of the program. The monitoring system can detect that the current configuration cannot fulfill the given deadline and adds more resources. Furthermore, the approach of ElasticMPI imposes changes in the application source code by inserting monitoring directives. Imai et al. proposes an operating system-level approach called COS [5], which provides a generic framework based on an actors-oriented programming language SALSA. When observing that all VMs are overloaded, migrations can occur both at the VM (from one node to another) and actor (from one VM to another) levels. Nevertheless, COS works only with applications that are strictly composed by SALSA migration-capable components.

Mao et al. [6] present the notion of self-scalability, in which the number of VM instances fluctuates in accordance with the service workload. Once the program has deadlines for executing each one of its phases, the proposal works with resources and VM allocations to meet those limits. Martin et al. [32] present a typical scenario of requests on a cloud service that acts as a load balancer. The elasticity changes the amount of worker VMs according to the service demands. Elastack, in its turn, is a system that runs over OpenStack and uses Serpentine to detect changes in the environment [36]. When finishing the workload on each instance, Serpentine warns the balancer that the target instance can be consolidated.

Kumar et al. [41] address elasticity on batch jobs. The application execution is not changed, but it works with malleable launching times. The anticipation of work is performed based on monitoring data. Michon et al. [16] work with different job mapping strategies for VMs: (i) all jobs to one VM; (ii) one job per VM and (iii) first fit. The input is marked by a series of batch jobs that must be mapped to VMs. The authors perform tests with fixed data regarding the set of jobs, execution times and time in queues. Moreover, they performed tests only with Bag-of-Tasks applications. Lin et al. [1] use the CloudSim simulator to enable their threshold-based dynamic resource allocation scheme. Instead of performing the monitoring activity at fixed intervals, their proposal turns the current interval malleable in accordance with the applications regularity. Despite this

advantage, the code execution must wait when blocking primitives for scaling up and down. Furthermore, there is no peak treatment when reaching the thresholds.

According to the academic initiatives presented in Table 1, a technique that is widely used for load balancing is VM live migration, which is common in traditional hypervisors such as Xen and KVM. The table also reveals that the primary metric of work is the CPU, including its clock and load, process execution times, number of instructions per job or process, redimensioning to the percentage of CPU usage and makespan. In addition, the elasticity is further explored at the IaaS level and in a reactive fashion. The initiatives are not uniform regarding the percentage to be used on the thresholds: 70 percent [4], 75 percent [5], 80 percent [40] and 90 percent [36], [38]. These values address upper limits that, when exceeded, trigger horizontal or vertical elasticity.

2.3 Analysis and Research Opportunities

This subsection presents some weak points regarding the studied systems in Sections 2.1 and 2.2. Systems that are available in the Web are characterized as general purpose initiatives and typically require user intervention on a previous elasticity configuration. In summary, we highlight the following weak points: (i) no analysis of sporadic peak situations when reaching a threshold [1], [32], [36]; (ii) need to change the application source code [8], [13], [42]; (iii) use of proprietary components that are not available as programming libraries for well-known operating systems such as GNU-Linux, Windows and MacOS [5], [8], [36]; (iv) need to know application behavior beforehand, such as the expected execution time of the components [8], [14], [15], [16]; (v) resource reconfiguration with a stop-reconfigure-and-go approach [8]; and (vi) communication among VMs at a constant rate [34].

Considering the specific area of parallel applications and elasticity, we highlight the following initiatives: [8], [13], [17], [32], [42], [43]. Among them, three initiatives execute iterative applications, where each new phase means that there is a new effort for redistributing the tasks to slaves [8], [13], [17]. The elasticity in [13] is offered manually, where the user captures monitoring data by using the framework proposed by the authors. Despite the gaps mentioned before regarding ElasticMPI [8], the strength is to offer (with some limitations) elasticity over existing MPI programs (versions 1 and 2). Jackson et al. [17] execute master-slave programs from NERSC as a benchmark for measuring the performance of cluster configurations on Amazon EC2. The solution proposed by Martin et al. [32] concerns the efficiency of addressing requests on a web server. This solution delegates and consolidates instances according to both the time between the request arrivals and the load on the worker VMs. Spinner et al. [43] propose vertical scaling middleware for individual VMs that run HPC applications. They argue that the horizontal approach is prohibitive in the HPC scope because, following them, a VM instantiation takes at least 1 min to be ready. Finally, elasticity is offered at the API-level, where the user manages resource reconfigurations by himself/herself [42]. As said earlier, this strategy requires cloud expertise of the programmer and would not be portable among different cloud deployments.

As being a time-consuming operation, the moment of an scaling out operation must be carefully analyzed to properly execute HPC applications in the cloud. Both Amazon AWS [11] and Windows Azure [23] expect x consecutive load observations outside the margin of a threshold to launch elasticity actions. Besides x and lower/upper thresholds, they also offer a parameter named cool-down, that refers to a period after an scaling operation which new elasticity actions are prohibited. In general, academic initiatives present the following strategies: (i) developed to run over Amazon AWS, they inherit the aforesaid conditions [11], [13], [38], [39]; (ii) as Amazon and Azure, the initiatives [5] and [15] also use a parameter x to represent the number of times that a thresholds must be exceeded; (iii) wait for y seconds after surpassing a threshold to enable a new elasticity action [3], besides the use of a cool-down period; (iv) after taking a single load observation, elasticity takes place if a threshold is exceeded [2], [16], [36], [40]; (v) an elasticity action is automatically triggered when occurring any violation to an service level agreement (SLA) or service level objective (SLO) [4], [21], [32], [37]; (vi) use of application knowledge, such as deadlines, to conclude parts of a workload, so if the deadline was reached, a new resource is added to improve the system performance [6], [8]. Strategies iv and v can present the thrashing problem (i.e., oscillations) on VM allocation and deallocation actions, since the application can be crossing a sudden peak. In addition, strategies i, ii and iii may not be reactive, presenting a false-negative scenario, since a either x or y can neglect the real need of an elasticity action. Finally, adopting one of these three strategies, the user will need a deep knowledge about the application code to setup the elasticity parameters, which may not be a trivial task.

3 AUTOELASTIC: PAAS-BASED MODEL FOR AUTOMATIC ELASTICITY MANAGEMENT

This section describes AutoElastic, which is an elasticity model that focuses on high performance applications. AutoElastic is based on reactive elasticity, in which the rules are defined without user intervention. The definition of elasticity rules is not a trivial task for several reasons. It involves the setup of one or more thresholds, types of resources, number of occurrences, definitions of peaks and monitoring windows. Furthermore, it is not uncommon to have situations in which optimized elasticity rules control the behavior of one application and present poor performance over other applications. Additionally, the scale-out elasticity triggers resource allocations that present a direct impact in the cost of cloud usage. Thus, the cost/benefit ratio should be carefully evaluated. AutoElastic's approach provides elasticity by hiding all of the resource reconfiguration actions from programmers, executing without any modifications in the application's code. In particular, AutoElastic addresses applications that do not use specific deadlines for concluding the subparts. Its differential approach covers two topics: (i) efficient control of VM launching and consolidation totally transparent to the user and (ii) a mechanism to execute HPC programs on the cloud in a non-prohibited way. Neither topic was found when analyzing related work.

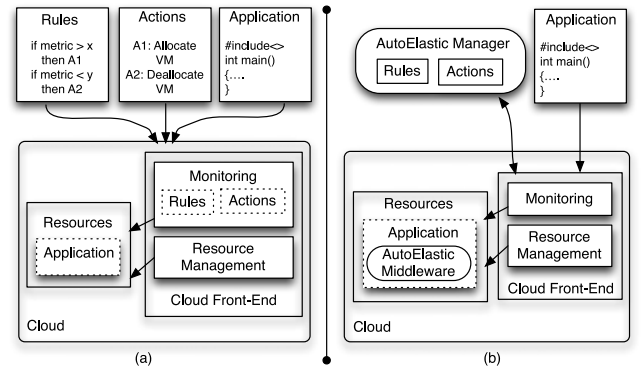


Fig. 1. (a) Approach adopted by Azure and Amazon AWS, in which the user must define elasticity rules and configure metrics beforehand; (b) AutoElastic idea.

3.1 Design Decisions

Fig. 1 depicts the main idea of AutoElastic. Acting at the PaaS level, it presents a middleware that can be seen as a communication library used for compiling the application. Moreover, it comprises an elasticity manager that controls resource reconfiguration on behalf of the cloud and user. AutoElastic was modeled with the following requirements and design decisions in mind: (i) users do not need to configure the elasticity mechanism; however, programmers can inform an service level agreement with the minimum and maximum number of VMs to run the application; (ii) programmers do not need to rewrite their application to profit from the cloud elasticity; (iii) the investigated scenario concerns a non-shared environment and the execution of a single application; (iv) AutoElastic offers a reactive, automatic and horizontal elasticity, following the replication strategy to enable it; (v) because it is a PaaS-based framework, AutoElastic comprises tools for transforming a parallel application to an elastic application transparently to users; and (vi) AutoElastic analyses the load peaks and sudden drops for not launching unnecessary actions, avoiding a phenomenon known as thrashing.

AutoElastic Manager considers data about the virtual machines load as input for rules and actions, thus acting on reconfiguring iterative-based master-slave parallel applications. Although trivial, this type of construction is used in several areas, such as genetic algorithms, Monte Carlo techniques, geometric transformations in computer graphics, cryptography algorithms and applications that follow the Embarrassingly Parallel computing model [8]. At a glance, the idea of offering elasticity to the user in an effortless manner while considering only the performance perspective, which is mandatory on HPC scenarios, is the main justification for the decisions that we adopted.

3.2 Architecture

Fig. 2 illustrates AutoElastic's components and how VMs are mapped on homogeneous nodes. AutoElastic Manager can either be assigned to a VM inside the cloud or act as a stand-alone program outside the cloud. This flexibility is achieved because of the use of the API offered by the cloud middleware. Here, we do not present the AutoElastic middleware because it is integrated with each application process. Regarding the application, there is a master and a

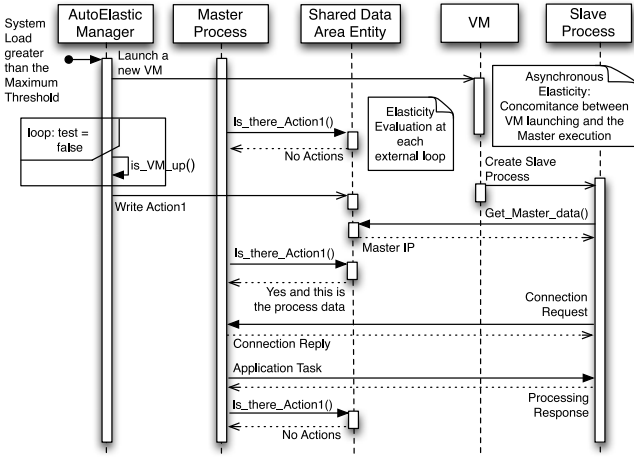


Fig. 3. Sequence diagram when detecting a system load greater than the maximum threshold.

making clear the concomitance between the master process and the procedure of VM allocation. Spinner et al. [43] emphasize that horizontal elasticity is prohibitive for HPC environments, but here we are proposing asynchronous elasticity as an alternative, to join both themes in an efficient way. Regarding the consolidation policy, the work grain is always a compute node and not a specific VM; as a result, all VMs that belong to a node will go down with such an action. This approach is explained by the fact that a node is not shared among other users and that this approach saves on energy consumption. In particular, Baliga et al. [45] claim that the number of VMs in a node is not a major influential factor on the energy consumption; instead, the fact that the node is on or off is influential.

As in [5], [11], AutoElastic monitoring activity is performed periodically. The manager captures the values of the CPU load metric on each VM and uses them in comparison with both minimum and maximum thresholds. Each monitoring observation entails the capture of this metric and its recording (allowing the use of temporal information) in a log-rotate fashion. Data are saved in the AutoElastic Manager repository. Fig. 4 presents the reactive-based model for the AutoElastic elasticity. Although the system contemplates three actions, this figure presents only the actions that are triggered by the AutoElastic Manager. In the conditions part, a function called $System_Load(j)$ is an arithmetic average of the $LP(i,j)$ (Load Prediction) of each node, where i stands for a VM, j is the current observation and n is the number of VMs that are running a slave process. Equations (1) and (2) present the aforesaid functions. $LP(i,j)$ operates with a parameter-denoted *window* that defines the number of values to be evaluated in the time series. Here, t means the index of the most recent observation, i.e., the value used in calling $System_Load(j)$ ($j=t$).

$$System_Load(j) = \frac{1}{n} \cdot \sum_{i=0}^{n-1} LP(i, j), \quad (1)$$

such that

$$LP(i, j) = \begin{cases} \frac{1}{2} L(i, j), & \text{if } j = t - window + 1, \\ \frac{1}{2} LP(i, j-1) + \frac{1}{2} L(i, j), & \text{if } j \neq t - window + 1, \end{cases} \quad (2)$$

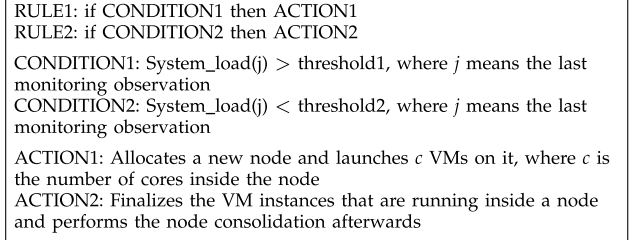


Fig. 4. Reactive-driven elastic model of AutoElastic.

where t is the value of j when $System_Load(j)$ is called, and $L(i, j)$ refers to the CPU load of VM i at the monitoring observation that is numbered j .

LP uses a *window* to operate the Aging concept [19]. It employs an exponentially weighted moving average in which the last measure has the strongest influence on the load index. In this context, if we decide that the weight of each observation must not be shorter than 1 percent, the *window* can be set to 6 because $\frac{1}{26}$ and $\frac{1}{27}$ express the 1.56 and 0.78 percent percentages, respectively. We employ the idea of Aging when addressing peak situations, especially to avoid either false-negative or false-positive elasticity actions. For example, assuming a maximum threshold of 80 percent, a *window* equal to 6 and monitoring values such as 82, 78, 81, 80, 77 and 93 (the most recent one), we have $LP(i, j) = \frac{1}{2} \cdot 93 + \frac{1}{4} \cdot 77 + \frac{1}{8} \cdot 80 + \frac{1}{16} \cdot 81 + \frac{1}{32} \cdot 78 + \frac{1}{64} \cdot 82 = 84.53$. This value enables resource reconfiguration. In contrast to the AutoElastic approach, Imai et al. [5] expect x consecutive observations outside the margin of the threshold. In this case, the use of x equal to either two, three or four samples does not imply elasticity, and the system will operate at its saturated capacity. In addition to this false-negative scenario, a false-positive happens when an application presents an unexpected peak or drop, so triggering an unnecessary scaling out or scaling in operation. Thus, AutoElastic's idea for thrashing avoidance contemplates the use of time series and weighted moving average to smooth possible noises on load observations.

3.4 Parallel Application Model

AutoElastic exploits data parallelism to handle iterative message-passing applications that are modeled as a master-slave. In this way, the composition of the communication framework began by analyzing the traditional interfaces of MPI 1.0 and MPI 2.0. In the former, process creation is given in a static approach, where a program starts and ends with the same number of processes. MPI 2.0 provides features that enable elasticity because it offers both the dynamic creation of new processes and the on-the-fly connection with other processes in the topology. AutoElastic parallel applications are designed according to the multiple program multiple data (MPMD) model [18], where multiple autonomous VMs execute simultaneously one type of program: master or slave. This decoupling helps to provide cloud elasticity because a specific VM template is generated for each program type to enable a more flexible scaling-out operation.

Fig. 5a presents a master-slave application that is supported by AutoElastic. As stated, it has an iterative behavior in which the master has a series of tasks,


```

1. size = initial_mapping(ports);
2. for (j=0; j< total_tasks; j++){
3.   publish_ports(ports, size);
4.   for (i=0; i< size; i++){
5.     connection_accept(slaves[i], ports[i]);
6.   }
7.   calculate_load(size, work[j], intervals);
8.   for (i=0; i< size; i++){
9.     task = create_task(work[j], intervals[i]);
10.    send_async(slaves[i], task);
11.  }
12.  for (i=0; i< size; i++){
13.    recv_sync(slaves[i], results[i]);
14.  }
15.  store_results(slave[j], results);
16.  for (i=0; i< size; i++){
17.    disconnect(slaves[i]);
18.  }
19.  unpublish_ports(ports);
20. }
(a)

1. master = lookup(master_address, naming);
2. port = create_port(IP_address, VM_id);
3. while (true){
4.   connection_request(master, port);
5.   recv_sync(master, task);
6.   result = compute(task);
7.   send_async(master, result);
8.   disconnect(master);
9. }
(b)

1. int changes = 0;
2. if (action == 1){
3.   changes += add_VMs();
4. }
5. else if (action == 2){
6.   changes -= drop_VMs();
7.   allow_consolidation(); // enabling action3
8. }
9. if (action == 1 or action == 2){
10.  reorganize_ports(ports);
11. }
12. size += changes;
(c)

```

Fig. 5. Application model in pseudo-language: (a) Master process; (b) slave process; (c) elasticity code to be inserted in the Master process at PaaS level by using either method overriding, source-to-source translation or wrapper technique.

sequentially distributing them through the slave processes. The distribution of tasks is emphasized in the external loop of Fig. 5a (lines 2 to 20). Based on the MPI 2.0 interface, AutoElastic works with the following groups of programming directives: (i) publication of connection ports, (ii) searching for a server using a specific port, (iii) connection accept, (iv) requesting a connection and (v) disconnection. Unlike the approach in which the master process dynamically launches other processes (using the spawn call), the proposed model operates according to the other MPI 2.0 approach for dynamic process management: point-to-point communication with Socket-like connections and disconnections [12]. The launching of a new VM automatically entails the execution of a slave process, which requests a connection to the master automatically. We emphasize that a program with AutoElastic does not need to follow the MPI 2.0 API and instead follows the semantics of each aforesaid directive.

Communications between master and slaves follow the asynchronous model, where sending operations are non-blocking and receiving operations are blocking (see lines 5 and 7 of Fig. 5b). The method in line 1 of the master process checks either a configuration file or arguments passed to the program to obtain the virtual machine identifiers and the IP address of each process. Based on the results, the master knows the number of slaves and creates port numbers to receive connections from each slave. The publishing of the ports occurs in the method of line 3. Programs with an outer loop are convenient for elasticity establishment because in the beginning of an iteration, it is possible to make resource reconfigurations without changing both the application syntax and semantics [43]. The transformation of the application shown in Fig. 5 in an elastic situation is performed at the PaaS level by applying one of the following methods: (i) in an object-oriented implementation, overriding the *publish_ports()* method for elasticity management; (ii) use of a source-to-source translator that inserts the elasticity code between lines 3 and 4 in the master code; (iii) development of a wrapper for procedural languages to change the method in line 3 of the master code transparently.

The additional code for enabling elasticity checks in the shared directory to determine whether there is any new

data from the AutoElastic Manager (see Fig. 5c). In the case of Action1, the manager already set up new VMs, and the application can add data of the new slaves in the slaves set. In case Action2 takes place, the application understands the order from the manager and reduces the number of VMs (and consequently, the number of processes in the parallel application) and triggers Action3. Although the initial focus of AutoElastic is in iterative master-slave applications, the use of MPI 2.0-like directives makes the inclusion of new processes and the reassembly of arbitrary communication topologies easier. At the implementation level, it is possible to optimize the connections if a process remains in the list of active processes. This circumstance is pertinent over TCP networks, which use a three-way handshake protocol known as an overhead source when connecting two end points.

4 EVALUATION

This section presents details about the AutoElastic prototype and a description of the evaluated application. Moreover, it covers the results and their linking with the contributions aforementioned in Section 1.

4.1 Prototype Implementation

We implemented an AutoElastic prototype for private clouds using OpenNebula v4.2. We implemented the AutoElastic Manager and the parallel application in Java. Two image templates for the virtual machines were provided: one for the master process and another for the slaves. The manager uses the Java-based OpenNebula API for both monitoring and elasticity activities. In addition, this API is also used for launching a parallel application in the cloud, which is associated with an SLA that can be supplied by the user. The SLA follows the XML-based WS-Agreement standard and reports the minimum and maximum number of VMs for testing the application.

There are some technical decisions that are imposed in the implementation of the AutoElastic prototype: (i) utilization of network file system to implement the AutoElastic module responsible for providing a private area for data sharing inside the cloud; (ii) AutoElastic employs periodic monitoring, which is associated with a period of 30 s (OpenNebula lower bound index); and (iii) Contemplating related work, 80 and 40 percent were used for maximum and minimum thresholds. Looking at decision (i), the manager uses the binary SCP from the SSH package to obtain or place files from/to the cloud front-end. These files are in a shared folder that is enabled with NFS and represents elasticity actions and process data. We follow this implementation because the AutoElastic Manager cannot access the NFS directly, unless it is placed inside the cloud.

4.2 Parallel Program and Environment Setup

The application used in the tests computes the numerical integration of a function $f(x)$ in a closed interval $[a, b]$. It was implemented using the Composite Trapezoidal rule from a Newton-Cotes postulation [46]. The Newton-Cotes formula can be useful if the value of the integrand is given at equally spaced points. Considering the partition of the interval $[a, b]$ into s equally spaced subintervals, each one with length h

TABLE 3
Functions to Express Different Load Patterns

Load	Load Function	Parameters			
		v	w	t	z
Constant	$load(x) = \frac{w}{2}$	-	1000000	-	-
Ascending	$load(x) = x * t * z$	-	-	0.2	500
Descending	$load(x) = w - (x * t * z)$	-	1000000	0.2	500
Wave	$load(x) = v * z * sen(t * x) + v * z + w$	1	500	0.00125	500000

In $load(x)$, x is the iteration index at application runtime.

($[x_i; x_{i+1}]$, for $i = 0, 1, 2, \dots, s-1$). Thus, $x_{i+1} - x_i = h = \frac{b-a}{s}$. The integral of $f(x)$ is defined as the sum of the areas of the s trapezoids contained in the interval $[a, b]$, as presented in Equation (3). Equation (4) shows the development of the integral in accordance with the Newton-Cotes postulation. This equation is used to develop parallel application modeling

$$\int_a^b f(x) dx \approx A_0 + A_1 + A_2 + A_3 + \dots + A_{s-1}, \quad (3)$$

where A_i = area of trapezoid i , with $i = 0, 1, 2, 3, \dots, s-1$.

$$\int_a^b f(x) dx \approx \frac{h}{2} \left[f(x_0) + f(x_s) + 2 \cdot \sum_{i=1}^{s-1} f(x_i) \right]. \quad (4)$$

The values of x_0 and x_s in Equation (4) are equal to a and b , respectively. In this context, s means the number of subintervals. Following this equation, there are $s+1$ $f(x)$ -like simple equations for obtaining the final result of the numerical integration. The master process must distribute these $s+1$ equations among the slaves. Logically, some slaves can receive more work than others when $s+1$ is not fully divisible by the number of slaves. Thus, the number of subintervals s will define the computational load for each equation.

Aiming at analyzing the parallel application on different input loads, four patterns were developed and named: Constant, Ascending, Descending and Wave. Table 3 and Fig. 6 show the equation of each pattern and the template used in the tests. The iterations in this figure mean the number of functions that are generated, resulting in the same number of numerical integrations. Additionally, the polynomial selected for the tests does not matter in this case because we are placing attention on the load variations and not on the result of the numerical integration itself.

Fig. 7 shows a graphical representation of each pattern. The x axis in the graph of Fig. 7 expresses the number of functions (one function per iteration) that are being tested, while the y axis informs the respective load. Again, the load means the number of subintervals s between the limits a and b , which in this experiment are 1 and 10, respectively.

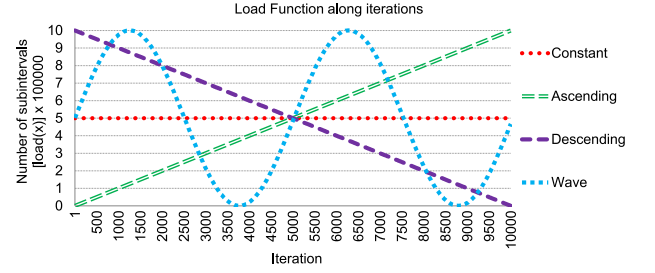


Fig. 7. Graphical vision of the load patterns.

The larger the number of intervals is, the greater the computational load for generating the numerical integration of the function. For the sake of simplicity, the same function is employed in the tests, but the number of subintervals for the integration varies.

Considering the cloud infrastructure, OpenNebula is executed in a cluster with 10 nodes. Each node has two processors, which are exclusively dedicated to the cloud middleware. AutoElastic Manager runs outside the Cloud and uses the OpenNebula API to control and launch VMs. Our SLA was set up for a minimum of two nodes (four VMs) and a maximum of 10 nodes (20 VMs). Finally, we adopt a *window* parameter that is equal to 6 in the load prediction (LP) function to ensure that the weight of each observation is larger than 1 percent (see Section 3.3 for detail).

4.3 Results and Discussion

We divided this subsection in two parts to debate elasticity behavior. Following, we present the goals and the metrics of each part:

- *Performance and elasticity viability.* The objective consists in presenting performance results when combining starting configurations, load patterns and two scenarios: (i) use of AutoElastic, enabling its self-organizing feature for dealing with elasticity; (ii) use of AutoElastic, managing load situations but without taking any elasticity action. The metrics measured in this evaluation are: (i) the time in seconds to execute the application; (ii) the number of load observations of the AutoElastic manager; (iii) a comparison index defined as *Resource* and; (iv) a *Cost* function. This last, presented in Equation (5), means an adaptation of the cost of a parallel computation [18] for elastic environments. Instead of using the number of processors, here *Resource* is evaluated empirically taking into account both the number of observations as *Obs* (i) and the quantity of VMs i employed in each observation (see Equation (6)). The AutoElastic's goal is to offer an elasticity model that is costly viable. In other words, a configuration solution may be classified as a bad if it is capable to reduce the execution time by the half with elasticity, but spends four times more energy, increasing the costs for that. Therefore, considering the values of the cost in Table 4, the objective is to preserve the truth of Inequality (7):

$$Cost = App_Time \times Resource \quad (5)$$

Polynomial	$+5;x^5;+x^2;+x^1$	$+5;x^5;+x^2;+x^1$	$+5;x^5;+x^2;+x^1$	$+5;x^5;+x^2;+x^1$
\$a, \$b	1,10	1,10	1,10	1,10
load	CONSTANT	ASCENDING	DESCENDING	WAVE
\$iterations	10000	10000	10000	10000
\$v, \$w, \$t, \$z	0,1000000,0,0	0,0,0.2,500	0,1000000,0.2,500	1,500,0.00125,500000
(a)	(b)	(c)	(d)	(e)

Fig. 6. (a) Template of the input file for the tests; (b), (c), (d) and (e) are instances of the template when observing the load functions in Table 3.

TABLE 4
Elasticity Results in Two Scenarios

Starting VMs	Load	Scenario i: AutoElastic with elasticity support				Scenario ii: AutoElastic without elasticity support			
		Time	Observations	Resource	Cost	Time	Observations	Resource	Cost
4	A	1978	65	$26*4 + 27*6 + 12*8 = 362$	716036	2426	81	$81*4 = 324$	786024
	D	1775	56	$4*4 + 16*6 + 36*8 = 400$	710000	2397	81	$81*4 = 324$	776628
	W	1895	59	$4*4 + 22*6 + 33*8 = 412$	780740	2444	82	$82*4 = 328$	801632
8	A	1789	57	$39*6 + 18*8 = 378$	676242	1555	52	$52*8 = 416$	646880
	D	1570	52	$8*6 + 44*8 = 400$	628000	1561	52	$52*8 = 416$	649376
	W	1686	55	$19*6 + 36*8 = 402$	677772	1599	53	$53*8 = 424$	677976

Here, A, D and W mean the ascending, descending and wave load patterns. The times are expressed in seconds.

such that

$$Resource = \sum_{i=1}^n (i \times Obs(i)), \quad (6)$$

then, the goal is to obtain

$$Cost_{\alpha} \leq Cost_{\beta}, \quad (7)$$

where α and β refer to the AutoElastic execution with and without elasticity support, respectively.

- *Analyzing asynchronous elasticity.* The goal is to present the benefits of asynchronous elasticity and the master process behavior when resource reconfiguration takes place. Regarding the measured metrics, we are observing both the times to launch a VM and to deliver it to application.

4.3.1 Performance and Elasticity Viability

Table 4 presents the results when executing the application over the aforementioned scenarios. The initial configuration starts from two or four nodes, with each node having two processors. Therefore, four and eight VMs are launched using two and four nodes, respectively. Figs. 8 and 9 depict the application's behavior with and without elasticity support, where the x -axis expresses the total time to execute the application.

The results with the Constant load function were the same in both scenarios because AutoElastic does not suggest any allocation or deallocation during the application execution. Consequently, 2,360 and 1,467 s were achieved when testing four and eight VMs for the initial situation. Specifically, as presented in Table 4, the use of four VMs at the program launch implies better results when comparing scenarios i and ii. Fig. 8a shows two moments of VM allocation when working with the Ascending function, providing a gain of 19 percent in the application time. The Descending load function achieves better results than the Ascending load function because the higher load in the beginning of the application forces two allocation actions sooner (see Fig. 8b). This configuration achieved a gain of approximately 26 percent when comparing AutoElastic with and without elasticity support. The Wave load function, on the other hand, presents the AutoElastic capacity to manage on-the-fly the number of VMs in accordance with the system load. Fig. 8c shows that when the load passes the limits of a threshold, an elasticity action is not triggered automatically because of the Aging concept that is employed by AutoElastic. Concerning the time perspective, elasticity provided gains of up to 22 percent when using this load function.

As a common feature in the load functions of Fig. 8, the scenario without elasticity support passes more than half of the execution above the threshold of 80 percent of CPU usage. At the same time, this configuration presents peaks

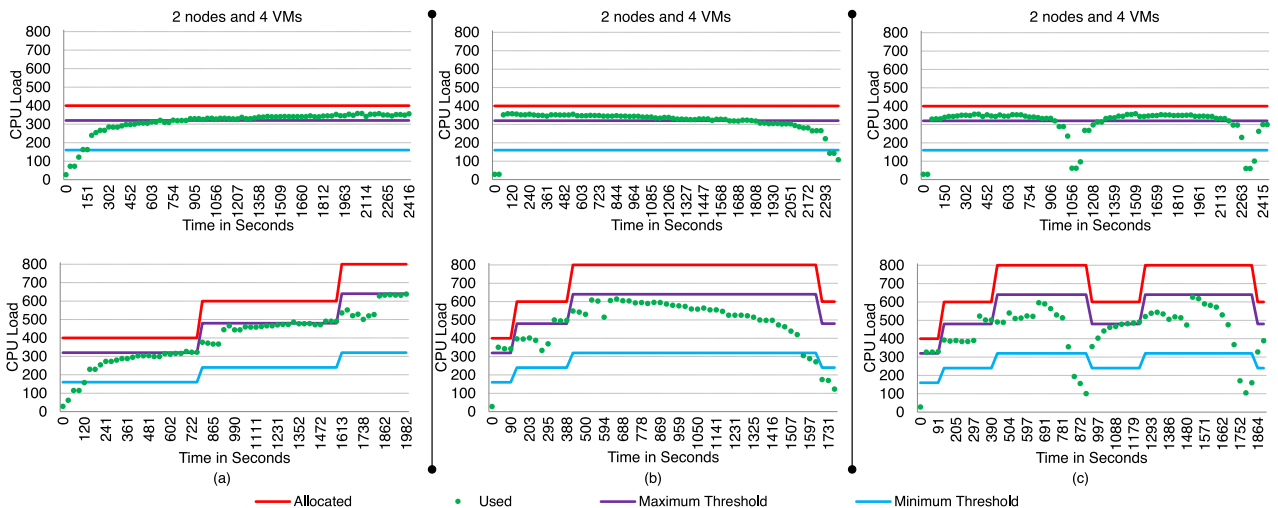


Fig. 8. CPU behavior when starting with two nodes and the following load patterns: (a) Ascending; (b) Descending; (c) Wave. Non-elastic and elastic executions are expressed in the upper and the bottom parts, respectively.

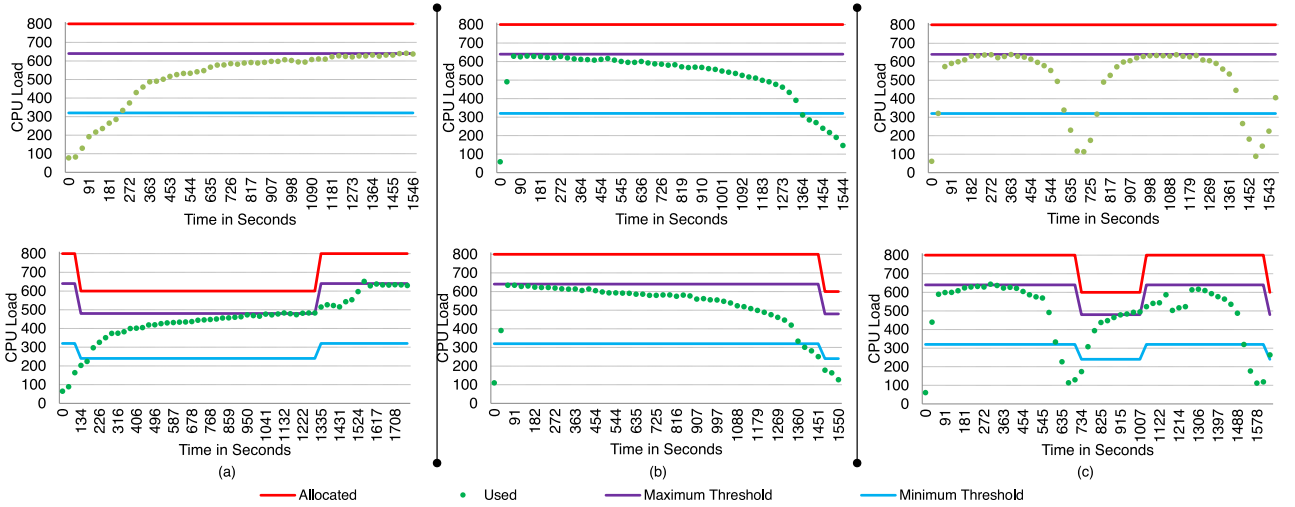


Fig. 9. CPU behavior when starting with four nodes and the following load patterns: (a) Ascending; (b) Descending; (c) Wave. Non-elastic execution is in the upper part, while elasticity capacity appears in the bottom.

of up to 89 percent of the load when considering the total amount of available CPU. On the other hand, the architecture is not saturated when using AutoElastic capabilities. In addition to the gains in the time perspective when starting with four VMs, AutoElastic also presents better values for the decision function. The gain in time is not cost prohibitive; thus, elasticity is useful in this context both in terms of the performance and pay-as-you-go relation.

Fig. 9 illustrates the results with eight VMs in the starting configuration, running the parallel application. Considering part (a) of this figure, AutoElastic starts reducing the number of VMs, remains using six VMs during 1,170 s and completes the execution again with eight VMs in the most intensive phase of the application. As presented in Fig. 9b, in the largest part of the execution, the used load remains between thresholds 640 and 320, which indicates that there are no elasticity actions. However, the reduction in the load occurs tenuously, which implies that the application takes a long time to reach the minimum threshold. Consequently, there is a waste of resources, mainly after crossing 900 s. In this context, a higher value for the minimum threshold could deallocate resources quickly, optimizing the *Resource* as presented in Equation (6). However, adaptable thresholds are not in the scope of this article and are being considered as future work. In addition, in contrast to Fig. 8, the start with eight VMs and without addressing the elasticity implies not crossing the maximum threshold of 80 percent or a 640 total CPU load. Thus, the execution without AutoElastic capabilities presents moments of underutilization of resources, but it is not possible to declare that the nodes were saturated at any time during the execution.

Despite not presenting good results in terms of the execution time, AutoElastic with eight VMs at the beginning of the application shows encouraging results when examining the decision function. In this respect, AutoElastic obtained a better mark on two out of three values of the decision function. Auto-adjusting the values of the thresholds (fixed at 80 and 40 percent) should help to control the waste of resources and to boost more reactive (de)allocation actions during the load observations, reaching a better tuning of the decision function (to reduce the applications time

using as small an amount of resources as possible). This work is not in the scope of this article and remains as future research for the next version of AutoElastic.

4.3.2 Analyzing Asynchronous Elasticity

Table 5 shows the times that are involved in the VM allocation actions. After analyzing the need for allocation, AutoElastic instantiates a new node (which is composed of two processors) and two instantiated virtual machines. This table presents nine moments at which the parallel architecture goes up. Six observations is the result of the average between observing the need and actually delivering the VMs for the parallel application. The template to create a VM for the slave process occupies 700 Mbytes in memory; hence, 1.4 Gbyte is transferred at each allocation action. On average, AutoElastic spends 3 min and 36 s to instantiate the virtual machines. The computation (from the applications perspective) and communication (from the VM transferring viewpoint) occur in parallel, near the cloud computing and HPC panoramas. In previous work we surveyed many elasticity initiatives and showed that the average time to launch a VM varies from 1 to 10 minutes, so AutoElastic's time is enclosed on such an interval [9]. More precisely, we can define as competitive the AutoElastic's time to completely deliver a new VM, where [3] and [6] showed values close to 10 minutes, while Marshall et al. [24] obtained 3 min and half for this procedure.

Fig. 10 depicts the impact of the connection and disconnection of processes on running slave processes. Each graph presents two CPUs, each one running a single VM in which only one slave process is actually running. Fig. 10a shows that the impact of disconnection is almost null because the master process closes only the sockets that have the processes that belong to the VMs that will be deallocated afterward. This part of the figure also shows a growing tendency toward CPU consumption because now fewer VMs must take on the application workload. Fig. 10b presents a clear gap in the CPU utilization of two running processes. In the allocation action, the master must establish two new connections synchronously. Only after completing this task, the

TABLE 5
Analyzing the Time Interval between Detecting the Need to Allocate and the Delivery of 2 VMs at Each Elasticity Action

Starting VMs	Load Function	Index of the observation		Instant of Time		Time interval to deliver the VMs
		Allocation of VMs	Delivering of VMs	Allocation of VMs	Delivering of VMs	
4	Ascending	26	32	752	958	206
		52	58	1557	1764	207
	Descending	4	10	90	295	205
		13	20	388	625	237
	Wave	4	10	91	297	206
		13	19	390	597	207
8	Ascending	38	45	1211	1447	236
		42	49	1283	1524	241
	Wave	34	40	1007	1214	207
					Average	216

The times are expressed in seconds.

master obtains the roll of current processes and can pass a uniform part of the work for each process. Moreover, it is possible to observe a short reduction in the CPU usage after crossing 1770 s because of the workload spread among more processes after an allocation action.

5 CONCLUSION

Cloud elasticity has been used for scaling traditional web applications to handle unpredictable workloads, which enables companies to avoid downfalls that are involved with fixed provisioning (over- and under-provisioning). Considering the current scenario, this article addressed cloud elasticity for HPC applications by presenting a model denoted AutoElastic. In brief, AutoElastic self-organizes the number

of virtual machines without any user intervention, providing benefits for both the cloud administrator (better energy consumption and resource sharing among the users) and the cloud user (application performance and overhead when migrating to the cloud). As the main scientific contribution to the state-of-the-art in the confluence of cloud and HPC systems, AutoElastic proposes the use of asynchronism for elasticity management. This feature implies not blocking the parallel application while a newly allocated VM is being transferred, which offers one of the most important capabilities of the cloud without prohibitive costs.

The AutoElastic's model for applications matches the socket-programming style that is offered by MPI-2, in which new processes can be easily added or removed, connected and disconnected, from the parallel computation. The evaluation demonstrated encouraging results on using the CPU metric for HPC elasticity. Despite addressing a processing-intensive application, they revealed that the load does not always remain close to 100 percent. We measured that the overhead to double the VMs is on average 3 min and 36 s, but during this interval, the application continues to execute normally. Furthermore, the evaluation presented a decision function that is expressed by multiplying the resource consumption by the performance, where AutoElastic achieved five positive results on six observations when compared with a non-elastic execution.

As future work, we concern the study of network and memory elasticity to employ these capabilities in the next versions of AutoElastic. We also plan to extend AutoElastic to cover divide-and-conquer and bulk-synchronous parallel applications.

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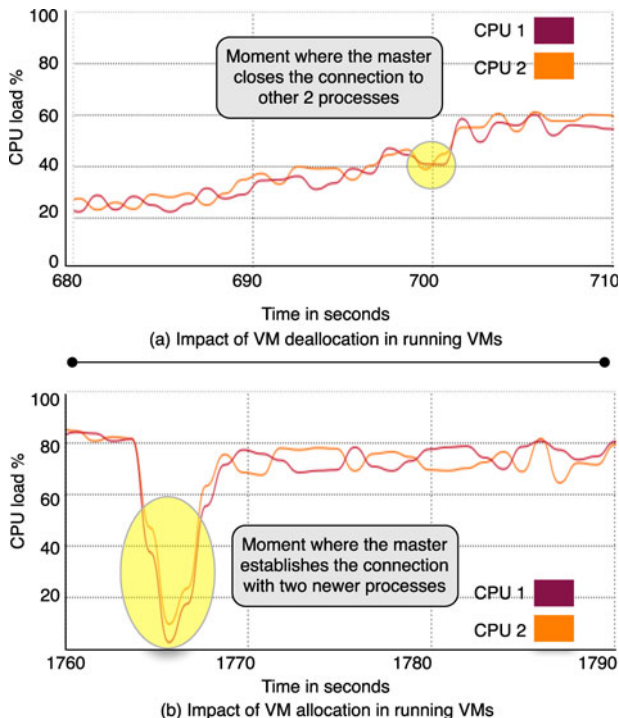


Fig. 10. Impact in the running processes regarding the disconnection and connection of other processes of the parallel application: (a) start with four VMs and wave function; (b) start with four VMs and ascending function.

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