

# Week 8

Sunday, March 13, 2022 4:43 PM

## 3.7 From Regular Expressions to Automata

Regular Expression is the notation for describing lexical analyzers

↳ implementation of this pattern recognition software requires a simulation of a DFA or NFA.



Since DFA is simpler,

we need to convert

NFA → DFA's that accept the same language.

Convert NFA to DFA: technique known as The Subset Construction

→ General Idea: Each state of the constructed DFA corresponds to a set of NFA states.

→ After reading input  $a_1 a_2 \dots a_n$ , the DFA is in that state which corresponds to the set of states that the NFA can reach from its start state onto following a path  $a_1 a_2 \dots a_n$ .

### Algorithm:

Input: an NFA,  $N$ .

Output: A DFA  $D$ , accepting the same language as  $N$ .

Method:

→ Construct a Transition Table  $D_{tran}$  for  $D$ .

↳ Each state of  $D$  is a set of NFA states

↳  $D$  will simulate "in parallel" all possible moves  $N$  can make w/a given input.

→ The 1<sup>st</sup> problem is to deal with  $\epsilon$  transitions

→ The table shows functions that describe the basic computations on  $N$  states needed in the algorithm.

↳  $s$  is a single state of  $N$ .

↳  $T$  is a set of states of  $N$ .

OPERATION	DESCRIPTION
$\epsilon\text{-closure}(s)$	Set of NFA states reachable from NFA state $s$ on $\epsilon$ -transitions alone.
$\epsilon\text{-closure}(T)$	Set of NFA states reachable from some NFA state $s$ in set $T$ on $\epsilon$ -transitions alone; $= \bigcup_{s \in T} \epsilon\text{-closure}(s)$ .
$\text{move}(T, a)$	Set of NFA states to which there is a transition on input symbol $a$ from some state $s$ in $T$ .

$s_0$ : start state.

1. Before reading the first symbol from input,  $N$  can be in any of the states of  $\epsilon\text{-closure}(s_0)$

2. Suppose  $N$  can be in set of states  $T$  after reading string  $x$ .

↳ If it next reads input  $a$ ,  $N$  can immediately go to any of the states in  $\text{move}(T, a)$

↳ But also after reading  $a$ , it may also make  $\epsilon$ -transitions  
∴  $N$  could be in any state  $\epsilon\text{-closure}(\text{move}(T, a))$  after input  $xa$ .

3. The construction of set  $D_{states}$  and its transition function  $D_{tran}$ , is shown below:

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initially,  $\epsilon\text{-closure}(s_0)$  is the only state in  $Dstates$ , and it is unmarked;
while ( there is an unmarked state  $T$  in  $Dstates$  ) {
    mark  $T$ ;
    for ( each input symbol  $a$  ) {
         $U = \epsilon\text{-closure}(\text{move}(T, a))$ ;
        if (  $U$  is not in  $Dstates$  )
            add  $U$  as an unmarked state to  $Dstates$ ;
         $Dtran[T, a] = U$ ;
    }
}

```

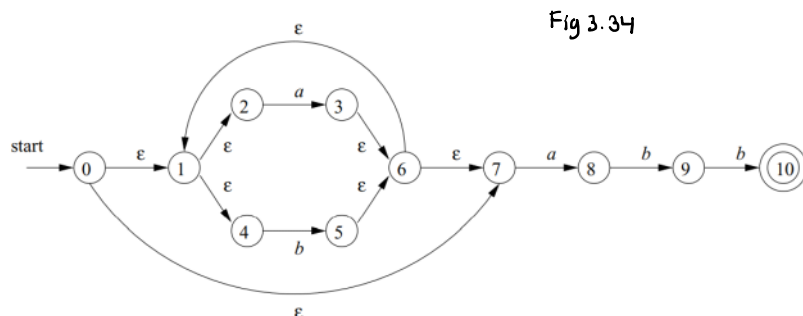
4. The start state of  $D$  is  $\epsilon\text{-closure}(s_0)$ 
  - ↳ The accepting states of  $D$  are all those sets of  $N$ 's states that include at least one accepting state of  $N$ .
5. We only need to show how  $\epsilon\text{-closure}(T)$  is computed for any set of NFA states  $T$ . This process is a graph search from a set of states. Imagine that only  $\epsilon$ -labeled edges are available in the graph:

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push all states of  $T$  onto stack;
initialize  $\epsilon\text{-closure}(T)$  to  $T$ ;
while ( stack is not empty ) {
    pop  $t$ , the top element, off stack;
    for ( each state  $u$  with an edge from  $t$  to  $u$  labeled  $\epsilon$  )
        if (  $u$  is not in  $\epsilon\text{-closure}(T)$  ) {
            add  $u$  to  $\epsilon\text{-closure}(T)$ ;
            push  $u$  onto stack;
        }
}

```

Example, let's apply algorithm to NFA:



1. At the start state  $A$  of the equivalent DFA is  $\epsilon\text{-closure}(0)$ , or  $A = \{0, 1, 2, 4, 7\}$ : exactly the states reachable from  $s_0$  via a path all of whose edges have label  $\epsilon$ .
  - ↳ a path can have  $\emptyset$  edges, so state 0 is reachable from itself by an  $\epsilon$  path.
- The input alphabet is  $\Sigma = \{a, b\}$ , so
2. Mark  $A$  and compute

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Dtran[A, a] =  $\epsilon\text{-closure}(\text{move}(A, a))$ 
Dtran[A, b] =  $\epsilon\text{-closure}(\text{move}(A, b))$ 

```

3. Among  $A$  states  $\{0, 1, 2, 4, 7\}$ , only 2 and 7 have transitions on  $a$ , to 3 and 8, respectively.
  - ↳ Thus  $\text{move}(A, a) = \{3, 8\}$
  - $\epsilon\text{-closure}(\{3, 8\}) = \{1, 2, 3, 4, 6, 7, 8\}$
  - So we conclude

$B = Dtran[A, a] = \epsilon\text{-closure}(\text{move}(A, a)) = \epsilon\text{-closure}(\{3, 8\}) = \{1, 2, 3, 4, 6, 7, 8\}$

4. Computing  $Dtran[A, b]$ : Among states in  $A$ , only 4 has a transition on  $b$ , and it goes to 5. Thus,

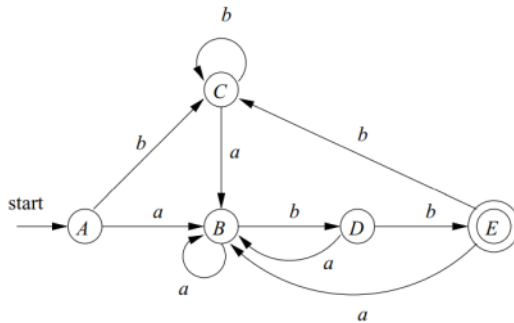
$C = Dtran[A, b] = \epsilon\text{-closure}(\{5\}) = \{1, 2, 4, 5, 6, 7\}$

$$C = \text{Dtran}[A, b] = \varepsilon\text{-closure}(\{5\}) = \{1, 2, 4, 5, 6, 7\}$$

	NFA STATE		DFA STATE			
					a	b
• A contains 0: start state	{0, 1, 2, 4, 7}		A		B	C
	{1, 2, 3, 4, 6, 7, 8}		B		B	D
• E has 10: accepting state	{1, 2, 4, 5, 6, 7}		C		B	C
	{1, 2, 4, 5, 6, 7, 9}		D		B	E
	{1, 2, 4, 5, 6, 7, 10}		E		B	C

Transition Table  
Dtran for DFA  
"D"

5. Continue this process with the unmarked sets B and C  
 ↳ eventually all the states of the DFA are marked.  
 ↳ guaranteed, since there are  $2^{11}$  different subsets of a set of 11 NFA states.



↳ Result of applying the Subset Construction to Fig 3.34