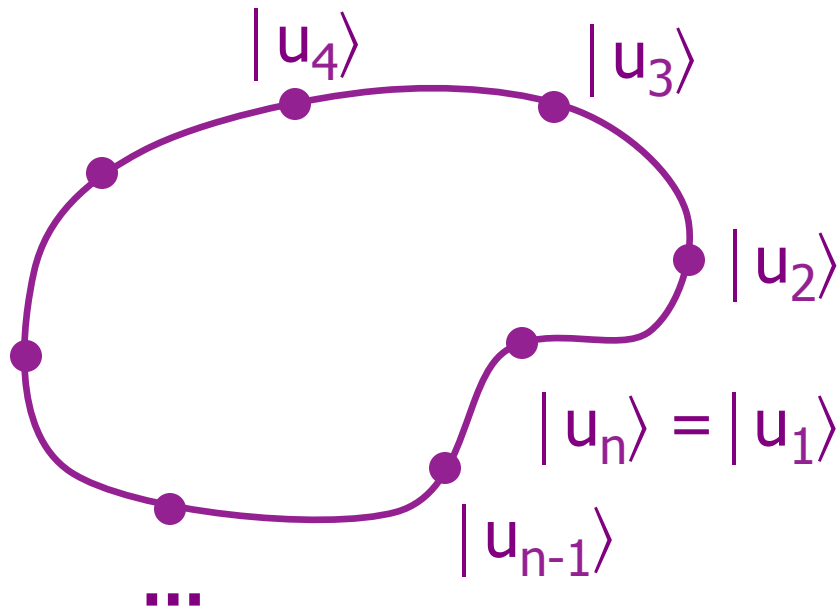

Theory of Berry phases for Bloch states: Polarization and more

David Vanderbilt
Rutgers University

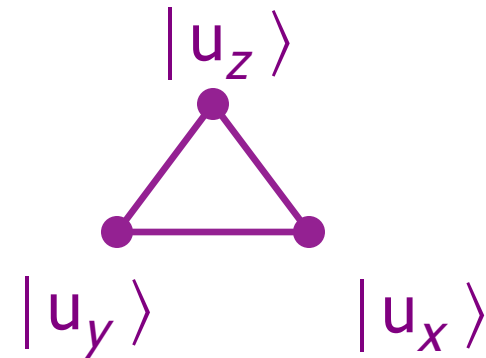
Outline

- Intro to Berry phases and curvatures
- Electric polarization and Wannier functions
- Anomalous Hall effect
- Orbital magnetization
- Linear magnetoelectric coupling
- Topological insulators: Next lecture
- Summary

Berry phases



Example:



$$\phi = -\text{Im} \ln \left[\langle u_1 | u_2 \rangle \langle u_2 | u_3 \rangle \dots \langle u_{n-1} | u_n \rangle \right]$$

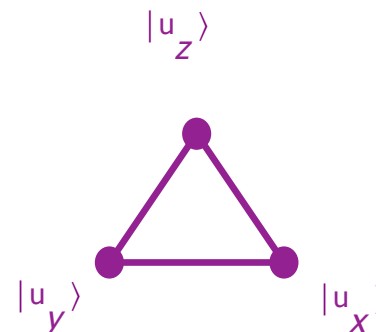
Check: $|\tilde{u}_2\rangle = e^{i\beta} |u_2\rangle$ has no effect.

Example

$$\text{Let } |u_z\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\text{Let } |u_x\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

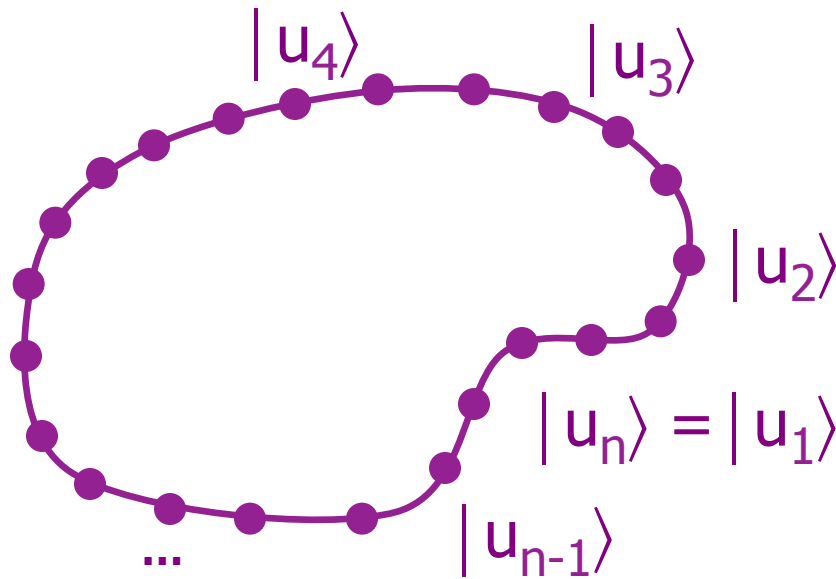
$$\text{Let } |u_y\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$$



$$\begin{aligned} \text{Then } \phi &= \text{Arg } \langle u_z | u_x \rangle \langle u_x | u_y \rangle \langle u_y | u_z \rangle \\ &= \text{Arg } (1) (1 + i) (1) \\ &= \pi/4 \end{aligned}$$



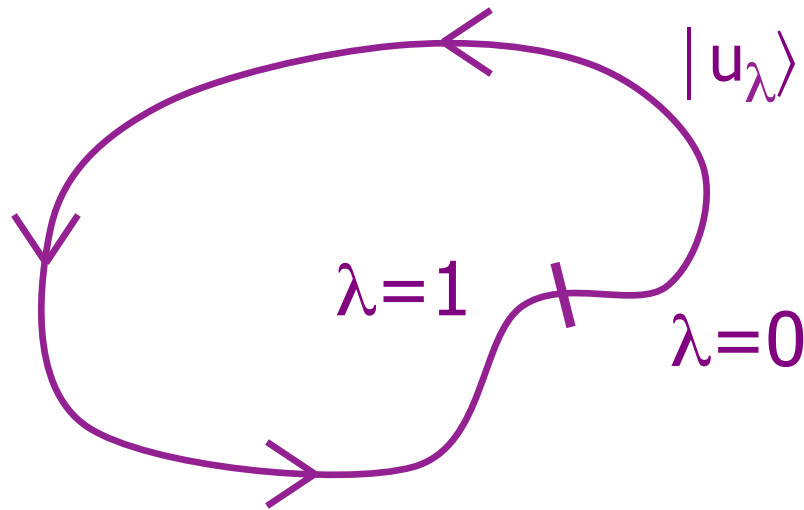
Berry phases



Now take limit
that density of
points $\rightarrow \infty$

$$\phi = -\text{Im} \ln \left[\langle u_1 | u_2 \rangle \langle u_2 | u_3 \rangle \dots \langle u_{n-1} | u_n \rangle \right]$$

Berry phases



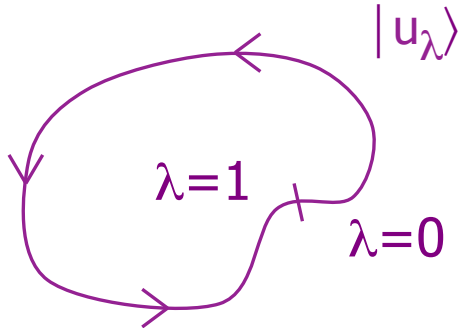
$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{du_\lambda}{d\lambda} \rangle$$

ϕ is well-defined
modulo 2π

$\Rightarrow \phi$ is a phase

$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$

Berry phases



ϕ is well-defined modulo 2π

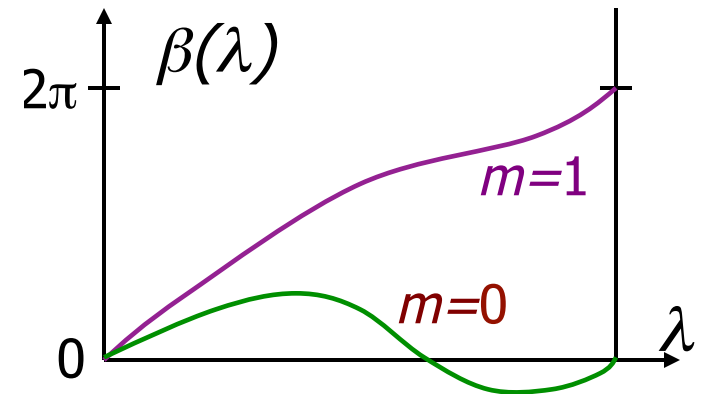
$\Rightarrow \phi$ is a phase

$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$

Let

$$|\tilde{u}_\lambda\rangle = e^{-i\beta(\lambda)} |u_\lambda\rangle \text{ with } \beta(1) - \beta(0) = 2\pi m$$

$$\Rightarrow \tilde{\phi} = \phi + 2\pi m$$



Berry phases

Berry potential

$$A(\lambda) = i \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$

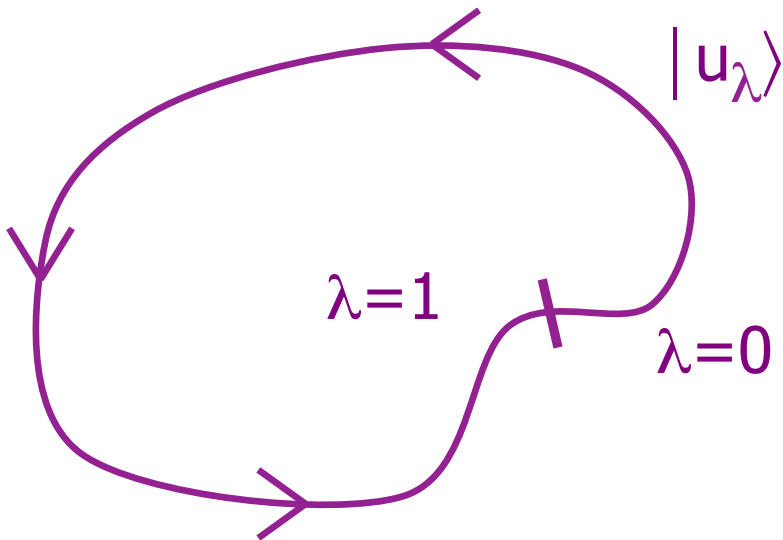
Berry phase

$$\phi = \oint A(\lambda) d\lambda$$

Gauge transformation:

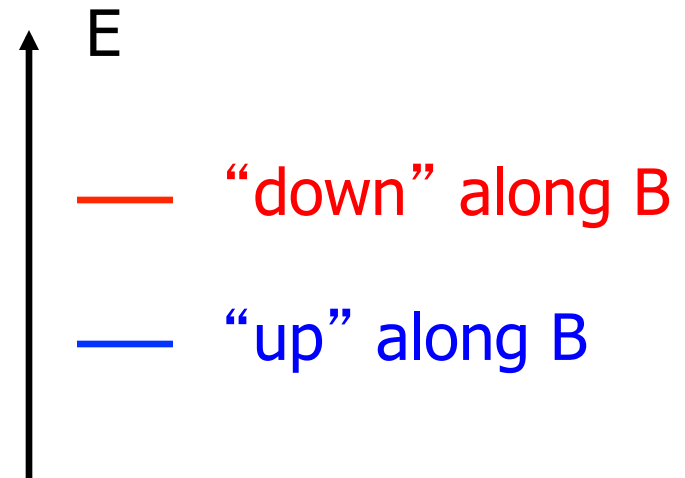
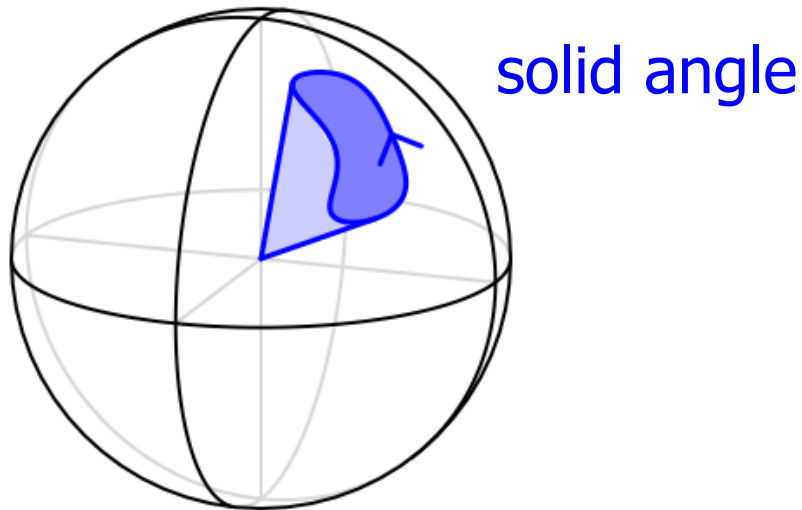
$$|\tilde{u}_\lambda\rangle = e^{-i\beta(\lambda)} |u_\lambda\rangle$$

A is gauge-dependent but
 ϕ is well-defined modulo 2π



Berry phase and curvature

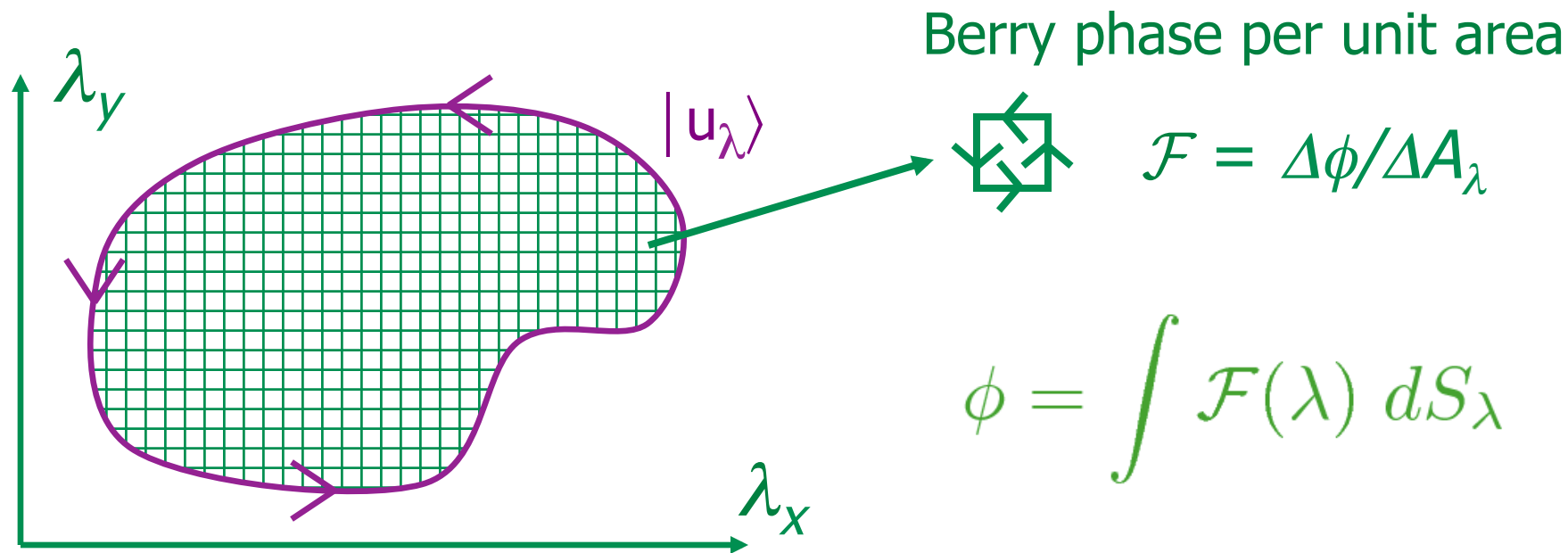
Famous example: Spinor in magnetic field



$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$

$$\phi = (\text{solid angle})/2$$

Berry curvature



$$\phi = \int \mathcal{F}(\lambda) dS_\lambda$$

$$\mathcal{F} = -2 \operatorname{Im} \left\langle \frac{du}{d\lambda_x} \left| \frac{du}{d\lambda_y} \right. \right\rangle$$

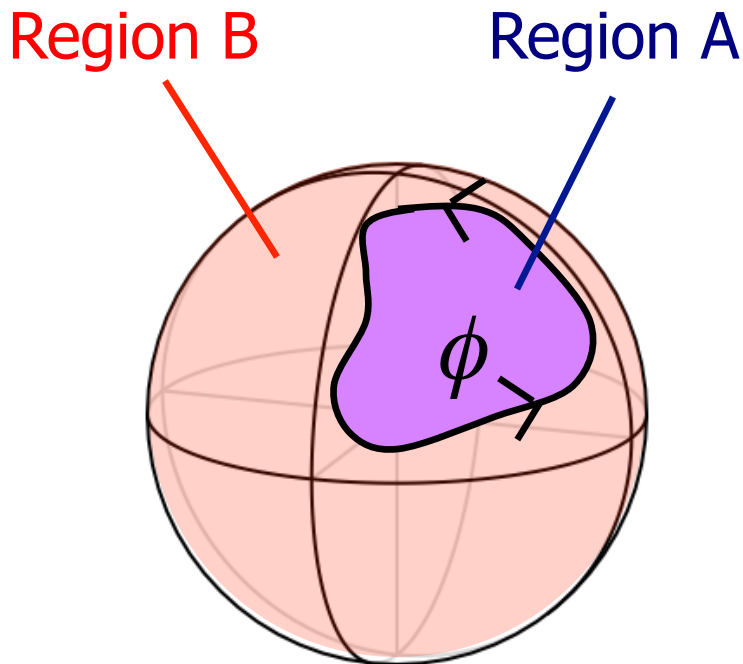
$$\phi = -\operatorname{Im} \oint d\lambda \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$



Chern theorem

The integral of the Berry curvature
over any closed 2D manifold
must be $2\pi C$
where C is an integer
known as the Chern number.

Chern theorem



Stokes applied to A:

$$\phi = \int_A \mathcal{F}(\lambda) dS_\lambda \mod 2\pi$$

Stokes applied to B:

$$\phi = - \int_B \mathcal{F}(\lambda) dS_\lambda \mod 2\pi$$

Subtract:

$$0 = \oint \mathcal{F}(\lambda) dS_\lambda \mod 2\pi$$

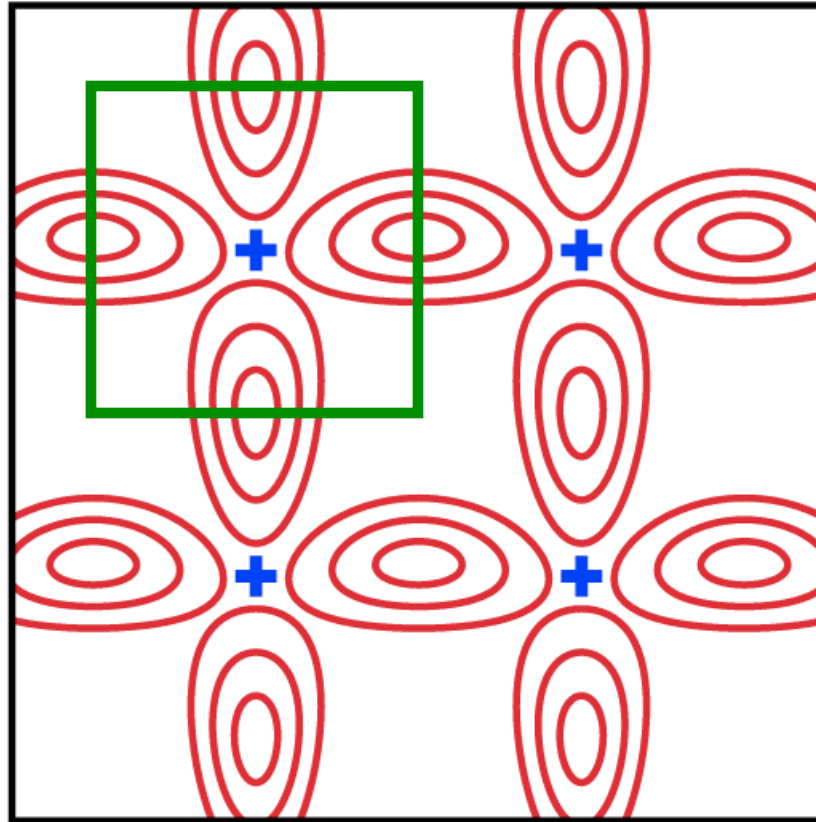
$$\text{Chern theorem: } \oint \mathcal{F}(\lambda) dS_\lambda = 2\pi C$$



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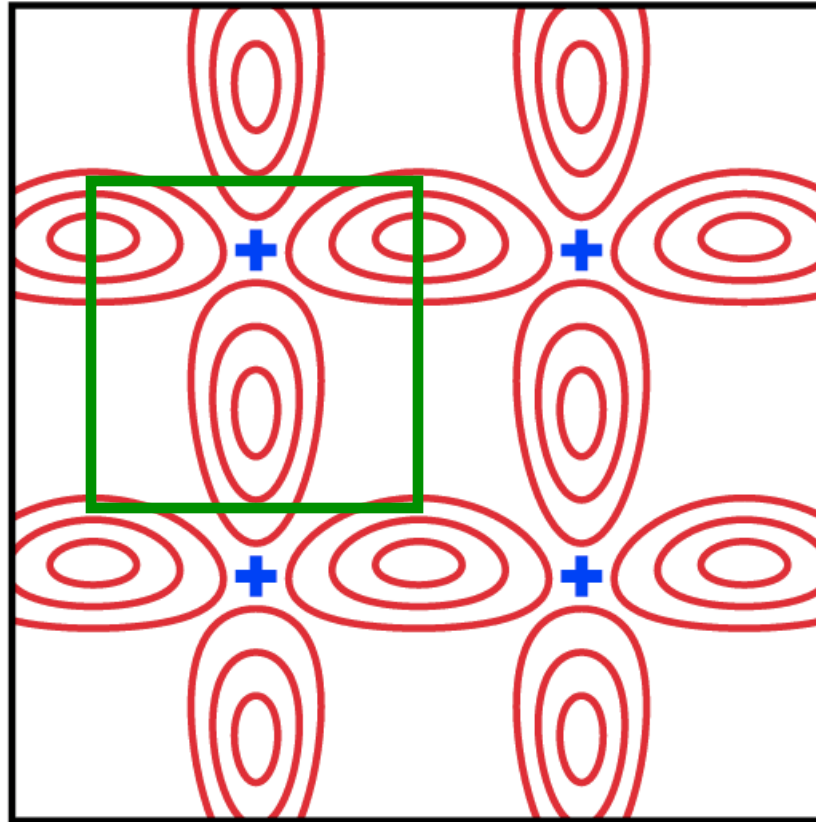
$$\mathbf{P} = \mathbf{d}_{\text{cell}} / V_{\text{cell}} ?$$



$$\mathbf{d}_{\text{cell}} = \int_{\text{cell}} \mathbf{r} \rho(\mathbf{r}) d^3r$$

$$\mathbf{d}_{\text{cell}} \approx \mathbf{0}$$

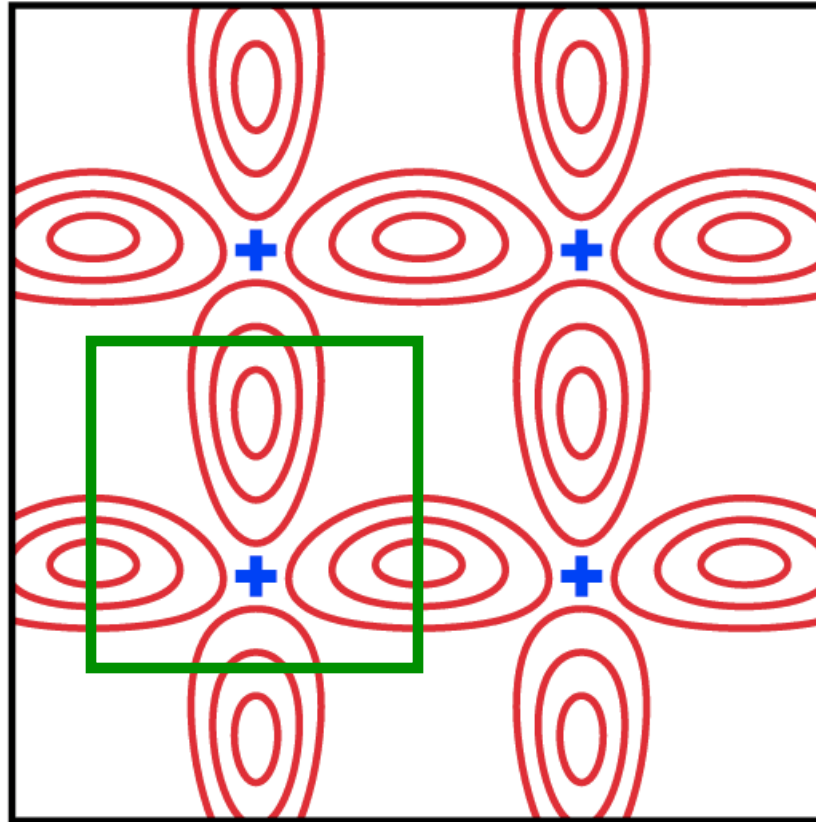
$$\mathbf{P} = \mathbf{d}_{\text{cell}} / V_{\text{cell}} ?$$



$$\mathbf{d}_{\text{cell}} = \int_{\text{cell}} \mathbf{r} \rho(\mathbf{r}) d^3r$$

$$\mathbf{d}_{\text{cell}} = \uparrow$$

$$\mathbf{P} = \mathbf{d}_{\text{cell}} / V_{\text{cell}} ?$$



$$\mathbf{d}_{\text{cell}} = \int_{\text{cell}} \mathbf{r} \rho(\mathbf{r}) d^3r$$

$$\mathbf{d}_{\text{cell}} = \downarrow$$

Modern Theory of Polarization

Problem:

Knowledge of bulk charge density $\rho(\mathbf{r})$ is not enough, even in principle, to determine \mathbf{P} !

Solution:

Go beyond $|\psi_{n\mathbf{k}}(\mathbf{r})|^2$ to access Berry phase information hidden in $\psi_{n\mathbf{k}}(\mathbf{r})$



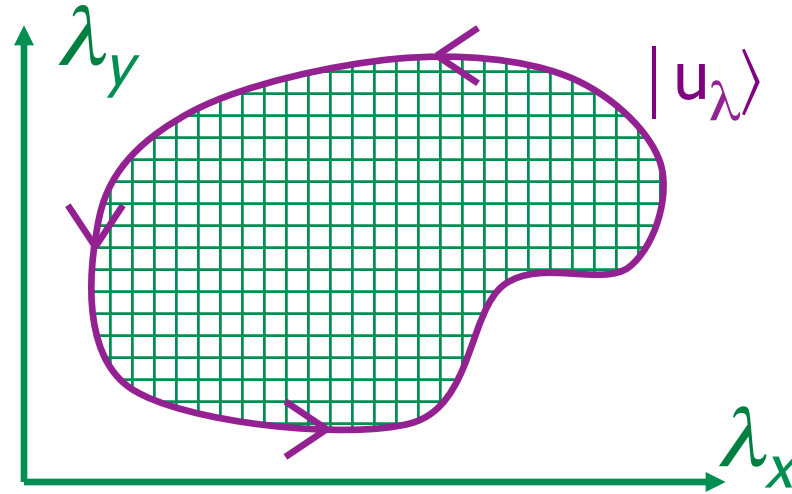
Resta, *Ferroelectrics* 136,
51 (1992)



King-Smith and Vanderbilt,
PRB 47, 1651 (1993)



Berry phases in crystalline insulators



$$(\lambda_x, \lambda_y) \Rightarrow (k, \lambda)$$

General
Parametric
Hamiltonian

1D insulator
with adiabatic
parameter

Change of notation

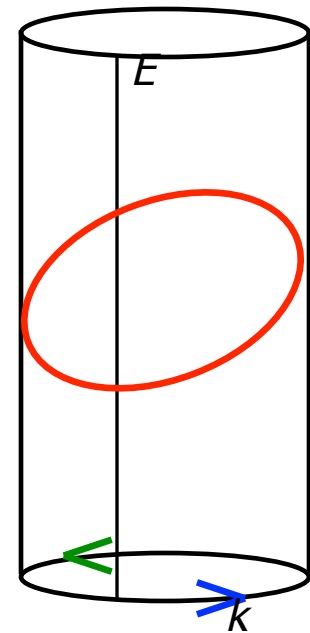
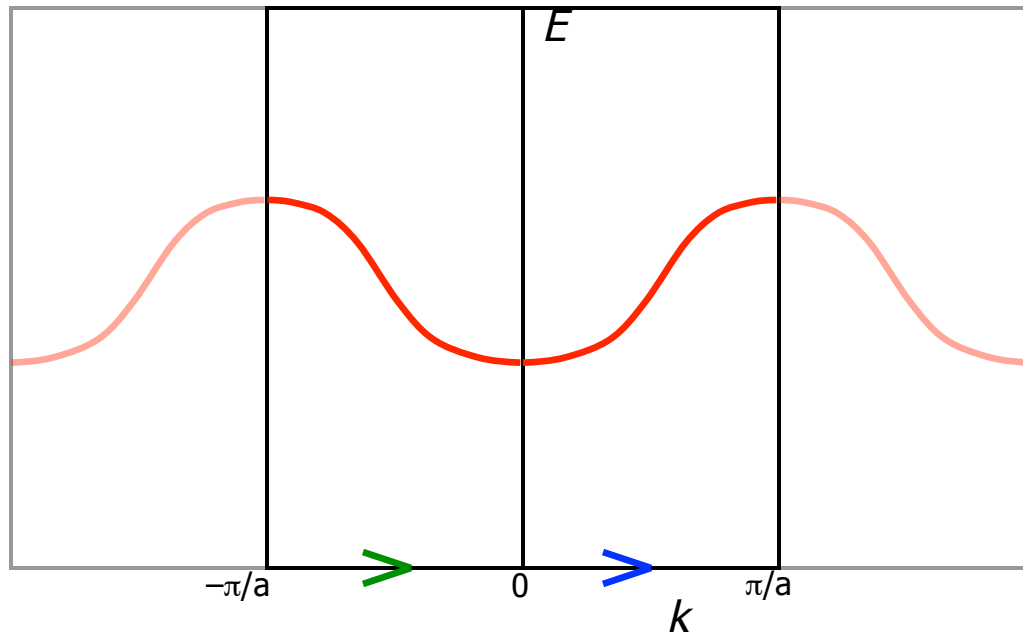
Berry curvature: $\mathcal{F} \rightarrow \Omega$

$$\phi = \int \Omega(\lambda) dS_\lambda$$

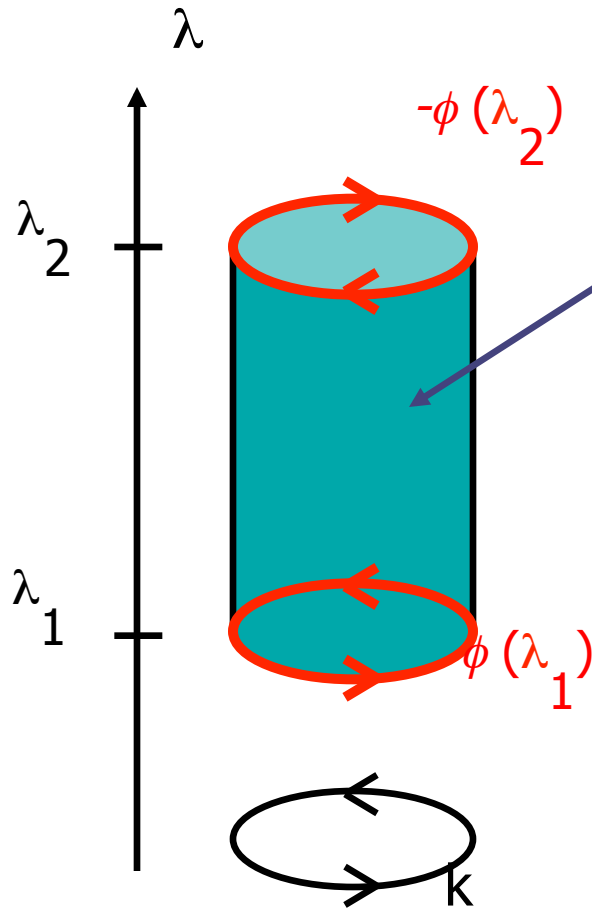
$$\Omega = -2 \operatorname{Im} \left\langle \frac{du}{d\lambda_x} \left| \frac{du}{d\lambda_y} \right. \right\rangle$$

1D: BZ is really a loop

- Reciprocal space is really periodic
- Brillouin zone can be regarded as a loop



Parametric 1D Ham. (Open path)



Berry curvature $\Omega^{(k\lambda)}$
(Resta, 1993)

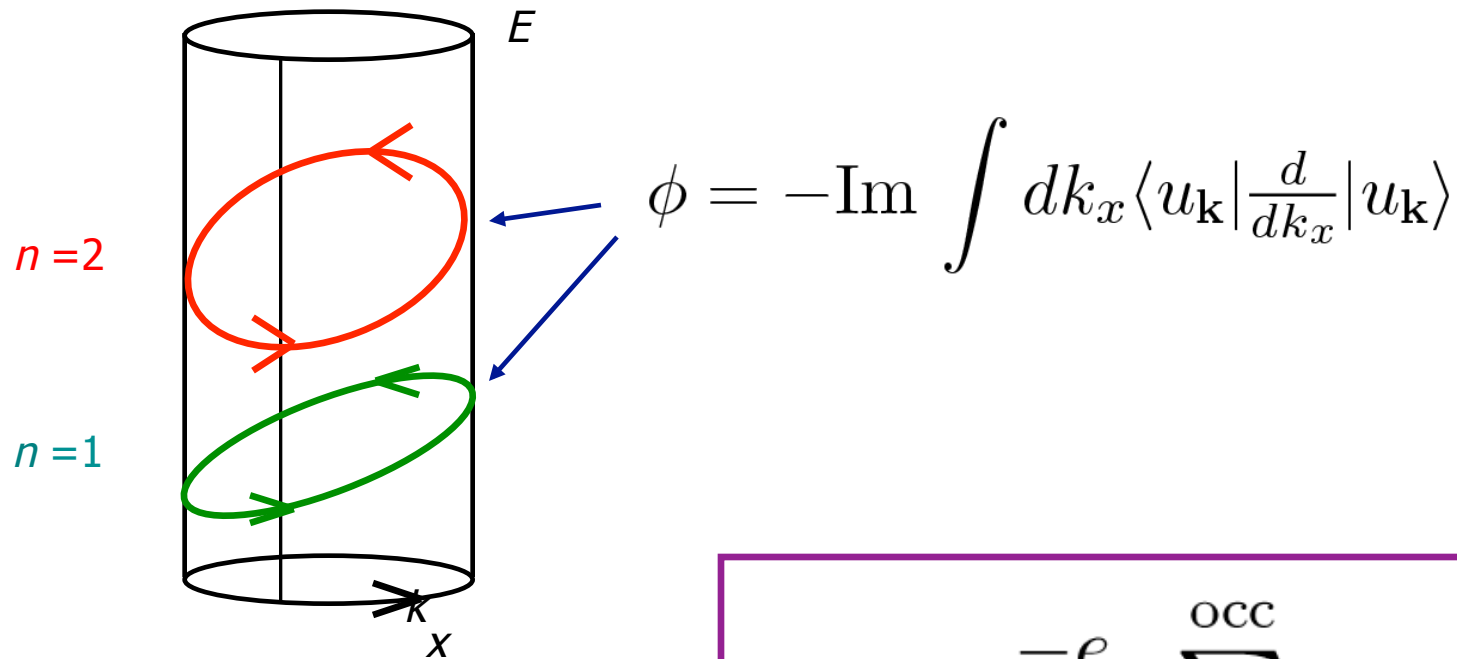
$$\Delta P = -\frac{e}{2\pi} \oint dk \int_{\lambda_1}^{\lambda_2} d\lambda \Omega^{(k\lambda)}$$

$$= \frac{e}{2\pi} \phi(\lambda_2) - \frac{e}{2\pi} \phi(\lambda_1)$$

$$P(\lambda) = \frac{e}{2\pi} \phi(\lambda)$$

(modulo e)

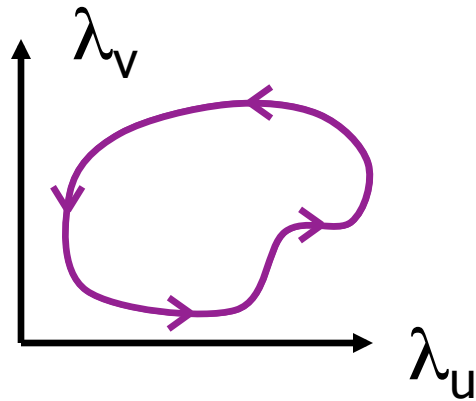
1D: Polarization



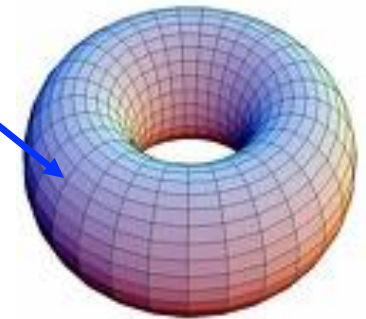
$$P = \frac{-e}{2\pi} \sum_n^{\text{occ}} \phi_n$$

King-Smith & V., 1993

Parametric 1D Ham. (Closed path)



$\Omega(k, \lambda)$



(k, λ) space

Under an adiabatic cycle,

$$\Delta P = \frac{e}{2\pi} \oint d\lambda \oint dk \Omega(k, \lambda)$$

By Chern theorem,

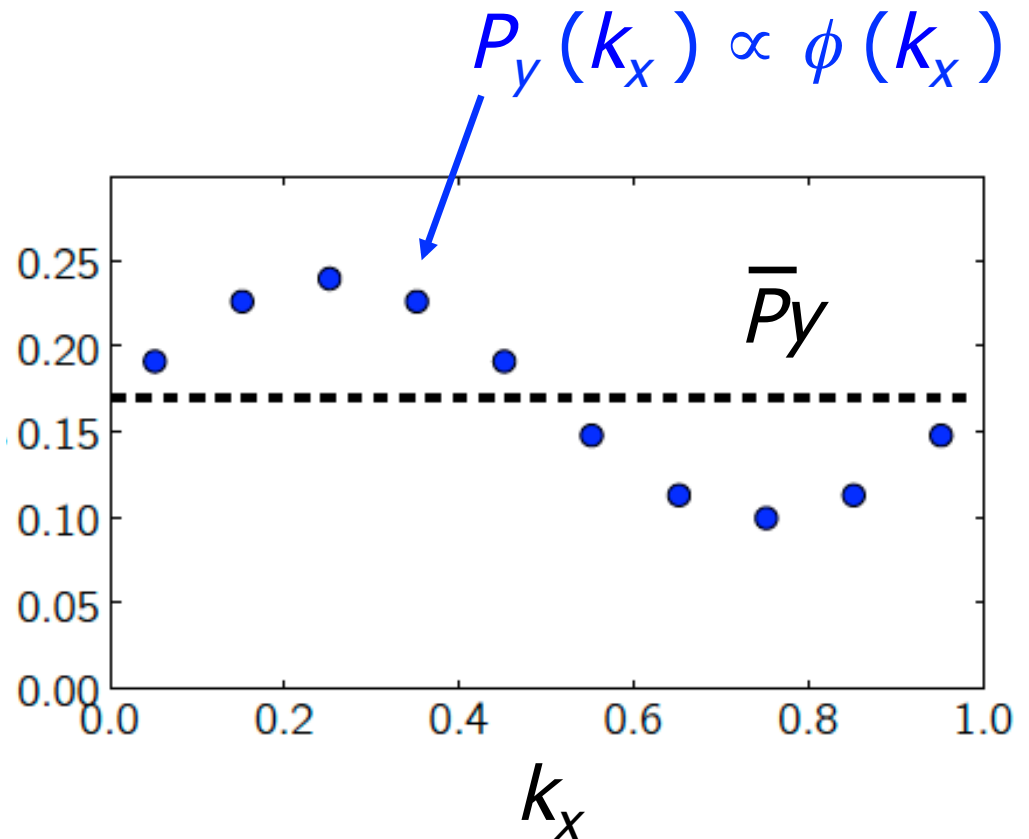
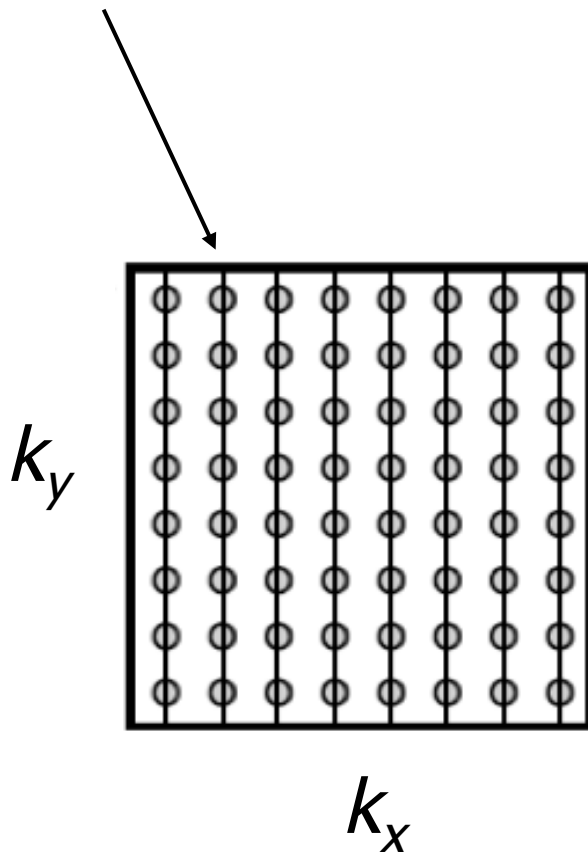
$$\Delta P = n e$$

(n = TKNN invariant = integer)

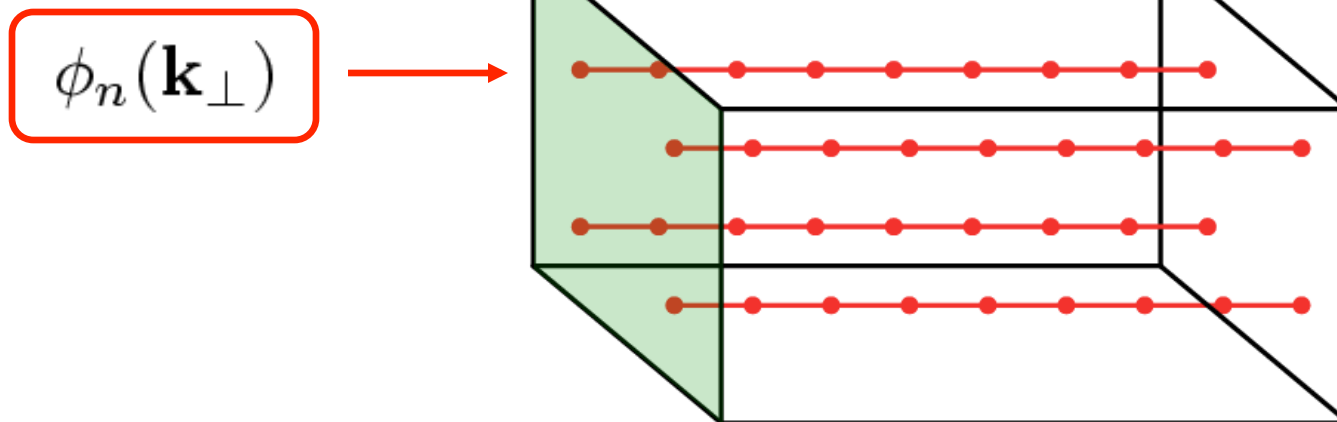


Polarization in a 2D insulator

$$\phi(k_x) = -\text{Im} \ln [\langle u_1 | u_2 \rangle \langle u_2 | u_3 \rangle \dots \langle u_{n-1} | u_n \rangle]$$



Discretized formula in 3D



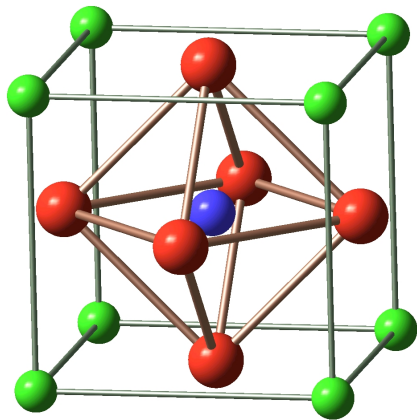
$$\mathbf{P}_n^{\text{elec}} = \frac{-1}{2\pi} \frac{e}{\Omega} \sum_j \phi_{n,j} \mathbf{R}_j \quad \text{where} \quad \phi_{n,j} = \frac{1}{N_{\mathbf{k}_\perp}} \sum_{\mathbf{k}_\perp} \phi_n(\mathbf{k}_\perp)$$

$$\mathbf{P} = \mathbf{P}^{\text{elec}} + \mathbf{P}^{\text{ion}} \quad \text{where} \quad \mathbf{P}^{\text{ion}} = \frac{e}{V_{\text{cell}}} \sum_{\tau} Z_{\tau}^{\text{ion}} \mathbf{r}_{\tau}$$

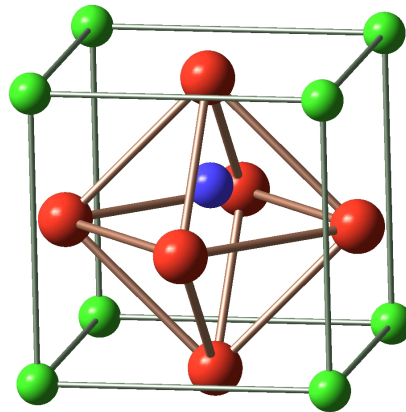


Sample Application: Born Z^*

$$Z_{j\alpha\beta}^* = \frac{dP_\alpha}{dR_{j\beta}} \simeq \frac{\Delta P_\alpha}{\Delta R_{j\beta}}$$



Paraelectric



Ferroelectric

$$Z^*(\text{Ba}) = +2 e \quad ?$$

$$Z^*(\text{Ti}) = +4 e \quad ?$$

$$Z^*(\text{O}_\text{I}) = -2 e \quad ?$$

$$Z^*(\text{O}_\text{II}) = -2 e \quad ?$$



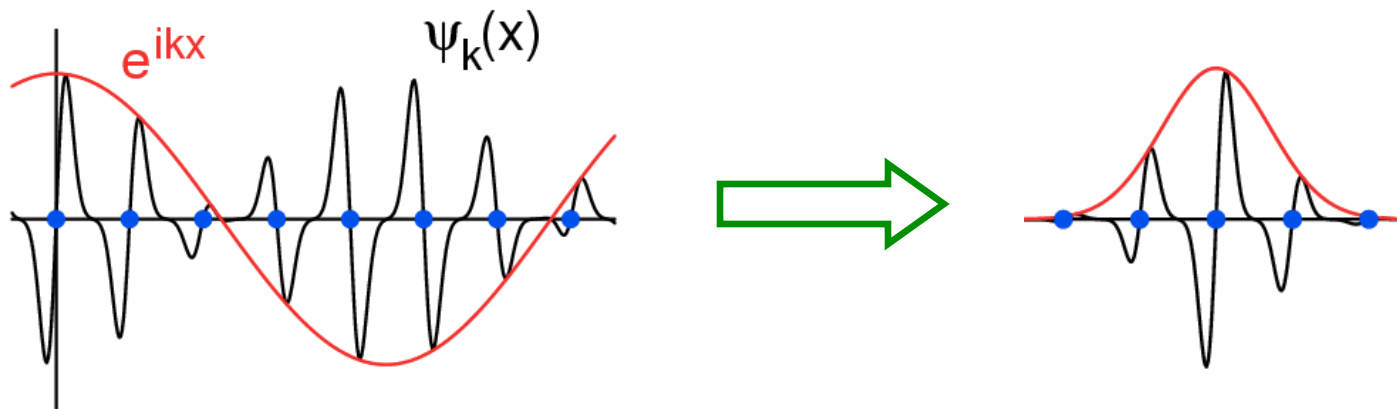
Summary: Theory of Polarization

- \mathbf{P} cannot be expressed in terms of the bulk charge density
- \mathbf{P} can be expressed in terms of the Berry phases of the Bloch bands
- Provides practical approach to calculation of \mathbf{P}
- Alternate and equivalent view: Wannier functions

Tutorial on Wannier functions

Choose Wannier functions as

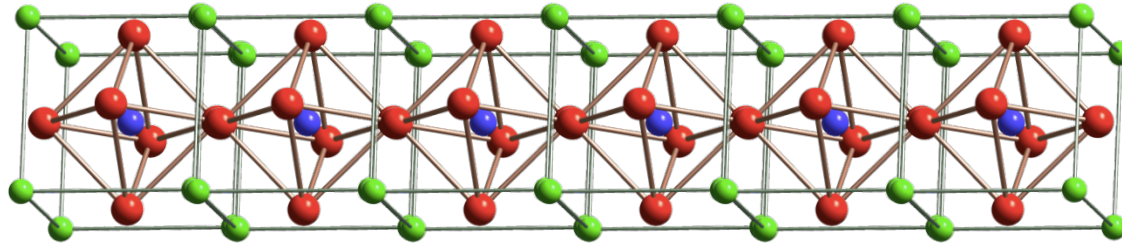
$$w_n(\mathbf{r} - \mathbf{R}) = \int_{BZ} \psi_{n\mathbf{k}}(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{R}} d\mathbf{k}$$



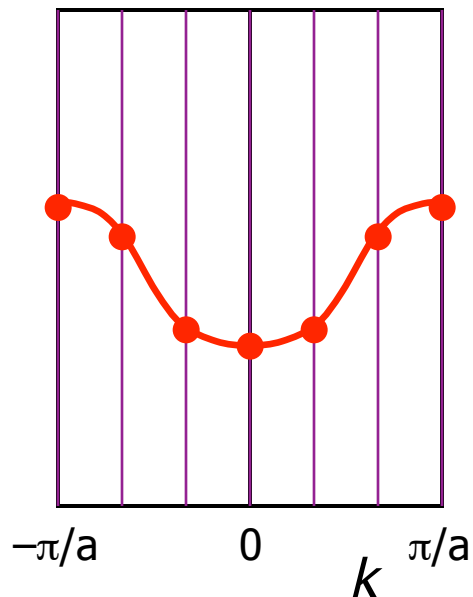
Form wave-packet = “Wannier function”

Tutorial on Wannier functions

Crystal in real space:

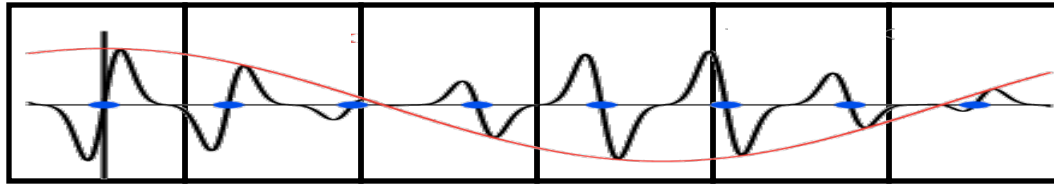


Brillouin zone in reciprocal space:

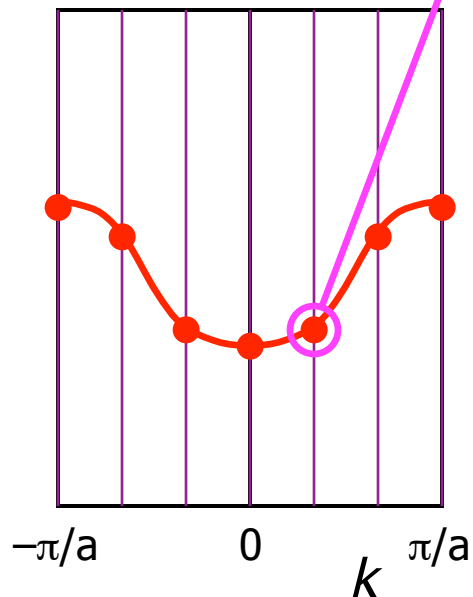


Tutorial on Wannier functions

Crystal in real space:

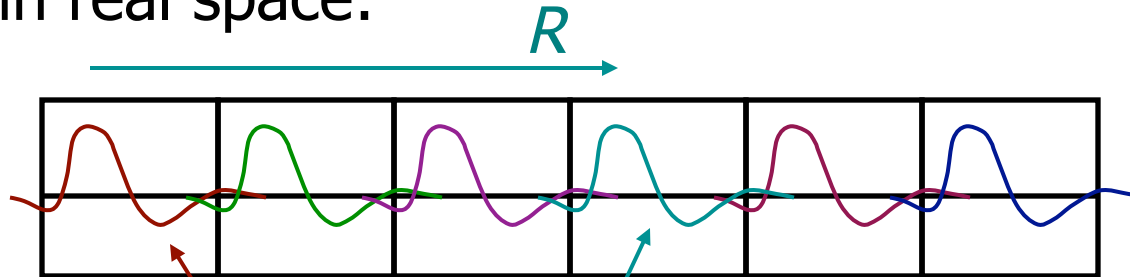


Brillouin zone in reciprocal space:

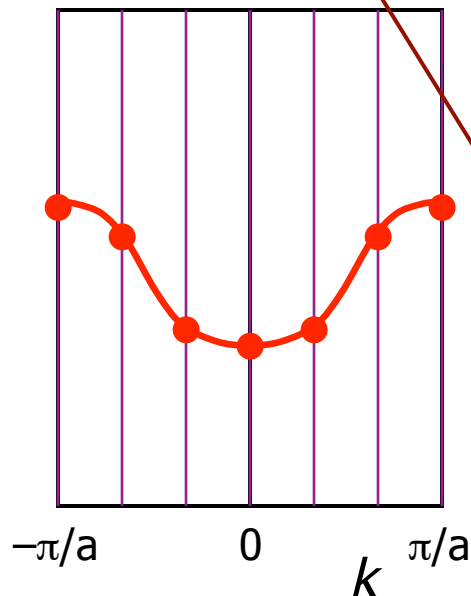


Tutorial on Wannier functions

Crystal in real space:



Brillouin zone in reciprocal space:



$$w_{\mathbf{R}}(\mathbf{r}) = \sum_{\mathbf{k}} \psi_{\mathbf{k}}(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{R}} d\mathbf{k}$$

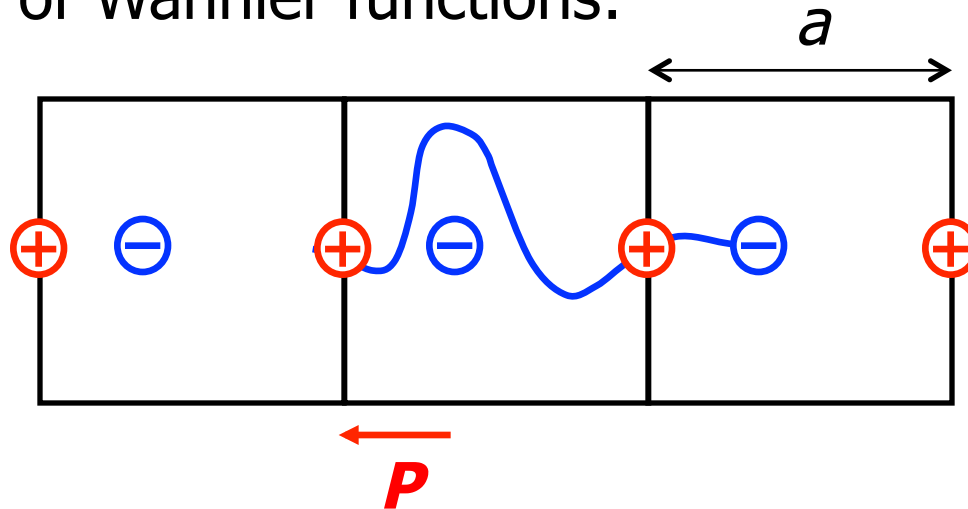
$$w_0(\mathbf{r}) = \sum_{\mathbf{k}} \psi_{\mathbf{k}}(\mathbf{r}) d\mathbf{k}$$

Unitary
transformation



Tutorial on Wannier functions

Centers of Wannier functions:



$$\langle w_0 | \mathbf{r} | w_0 \rangle = ?$$

Tutorial on Wannier functions

Centers of Wannier functions:

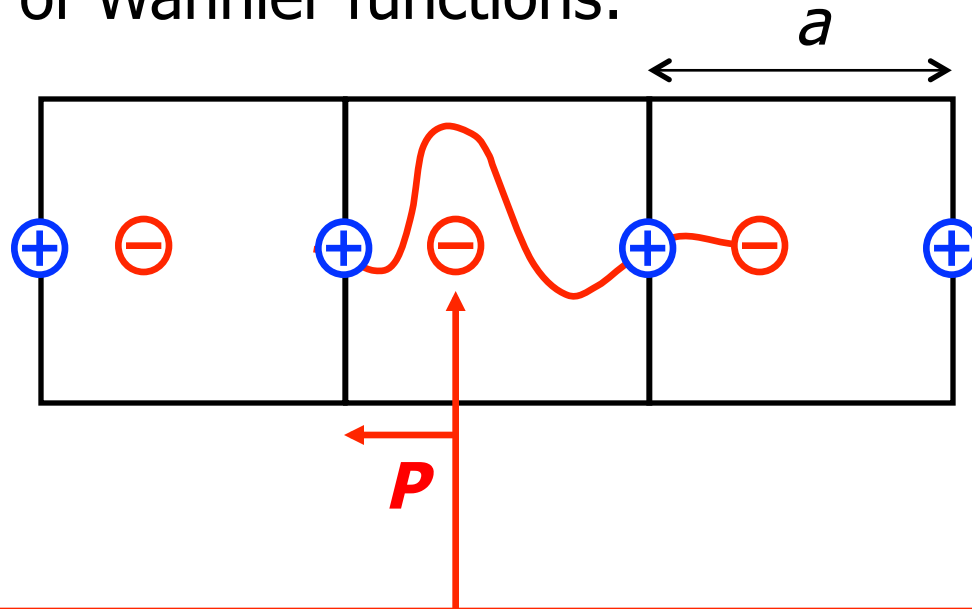
$$\begin{aligned} |w_0\rangle &= \frac{V}{(2\pi)^3} \int_{\text{BZ}} d\mathbf{k} |\psi_{\mathbf{k}}\rangle \\ &= \frac{V}{(2\pi)^3} \int_{\text{BZ}} d\mathbf{k} e^{i\mathbf{k}\cdot\mathbf{r}} |u_{\mathbf{k}}\rangle \end{aligned}$$

$$\begin{aligned} \mathbf{r} |w_0\rangle &= \frac{V}{(2\pi)^3} \int_{\text{BZ}} d\mathbf{k} (-i\nabla_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}}) |u_{\mathbf{k}}\rangle \\ &= i \frac{V}{(2\pi)^3} \int_{\text{BZ}} d\mathbf{k} e^{i\mathbf{k}\cdot\mathbf{r}} (\nabla_{\mathbf{k}} |u_{\mathbf{k}}\rangle) \end{aligned}$$

$$\langle w_0 | \mathbf{r} | w_0 \rangle = i \frac{V}{(2\pi)^3} \int_{\text{BZ}} d\mathbf{k} \langle u_{\mathbf{k}} | \nabla_{\mathbf{k}} | u_{\mathbf{k}} \rangle$$

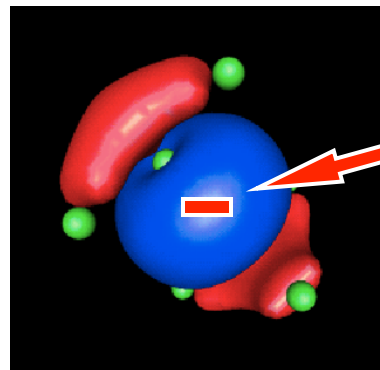
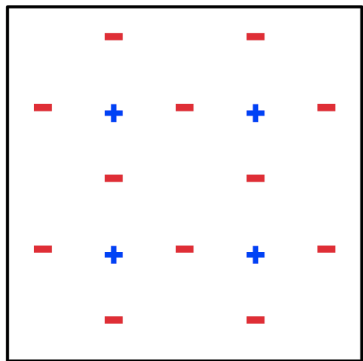
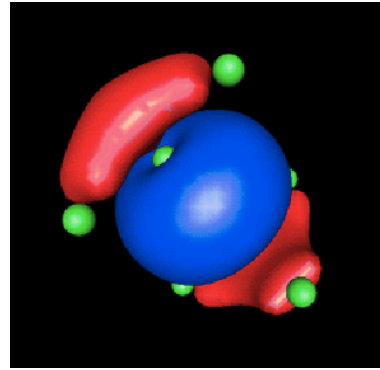
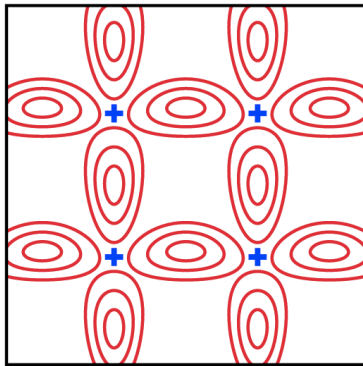
Polarization \leftrightarrow Wannier centers

Centers of Wannier functions:



$$\begin{aligned}\langle w_0 | x | w_0 \rangle &= i \frac{a}{2\pi} \int_{\text{BZ}} dk \left\langle u_k \left| \frac{d}{dk} \right| u_k \right\rangle \\ &= a \frac{\phi}{2\pi}\end{aligned}$$

Polarization \leftrightarrow Wannier centers



Wannier
center
 $\bar{\mathbf{r}}_n$

Total polarization

$$\mathbf{P} = \underbrace{\frac{e}{V_{\text{cell}}} \sum_{\tau} Z_{\tau}^{\text{ion}} \mathbf{r}_{\tau}}_{\text{Ionic polarization}} + \underbrace{\frac{-e}{V_{\text{cell}}} \sum_n \bar{\mathbf{r}}_n}_{\text{Electronic polarization}}$$

Each term only well defined modulo $e\mathbf{R}/V_{\text{cell}}$

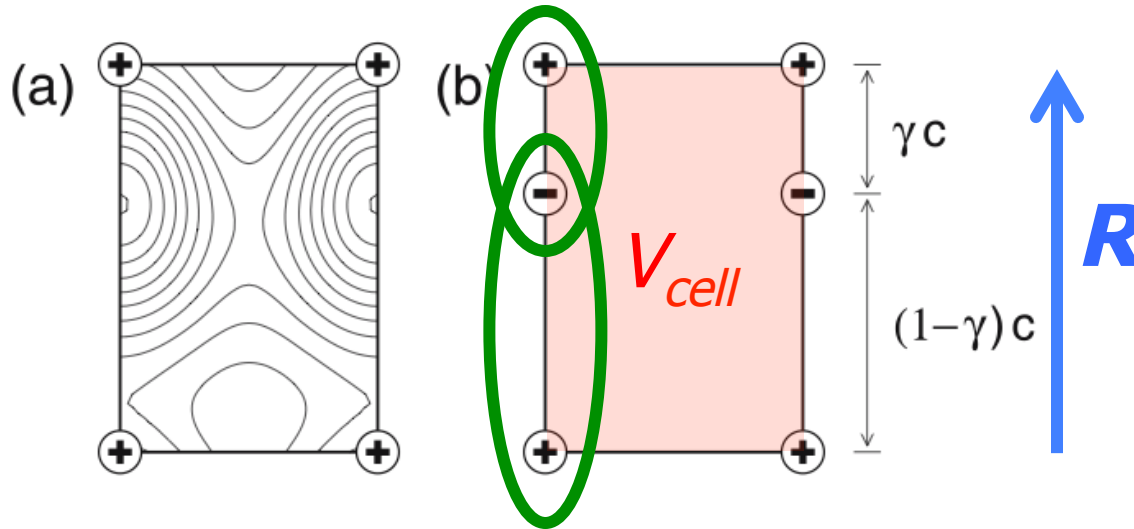


Quantum of polarization

$$\Delta\phi \rightarrow \phi + 2\pi \quad \rightarrow \quad \mathbf{P} \rightarrow \mathbf{P} + \Delta\mathbf{P}$$

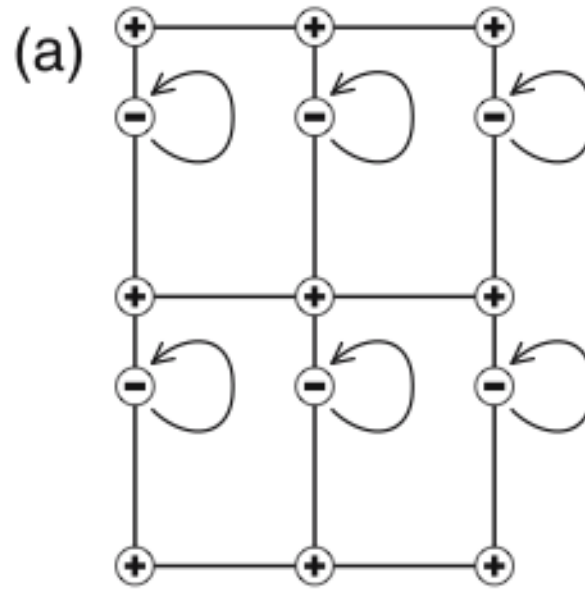
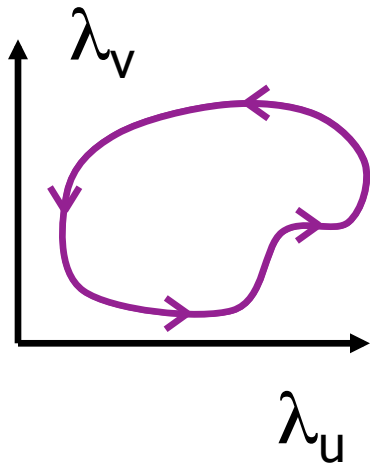
- Spin-polarized systems (spinor bands)
 - 1D: $\Delta P = ea/a = e$ (C)
 - 2D: $\Delta \mathbf{P} = e\mathbf{R}/A_{\text{cell}}$ (C/m) (\mathbf{R} = lattice vector)
 - 3D: $\Delta \mathbf{P} = e\mathbf{R}/V_{\text{cell}}$ (C/m²)
- Spin-paired systems (non-magnetic)
 - 1D: $\Delta P = 2ea/a = 2e$ (C)
 - 2D: $\Delta \mathbf{P} = 2e\mathbf{R}/A_{\text{cell}}$ (C/m)
 - 3D: $\Delta \mathbf{P} = 2e\mathbf{R}/V_{\text{cell}}$ (C/m²)

Quantum of polarization

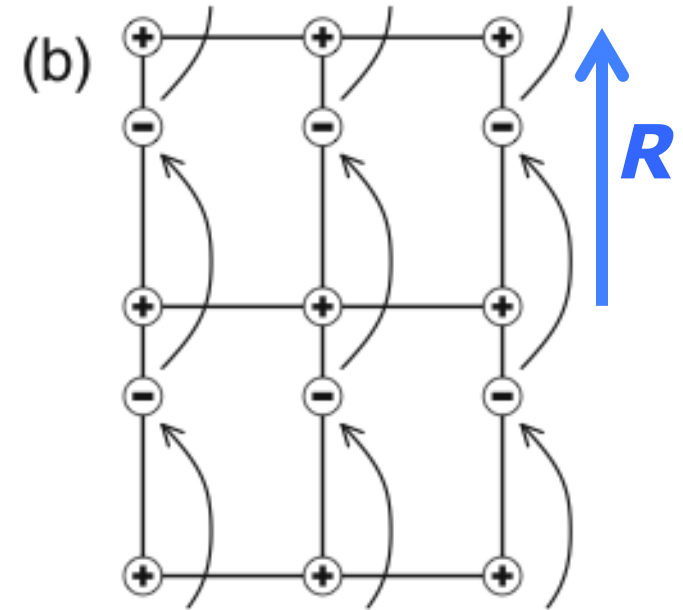


$$\Delta \mathbf{P} = e \mathbf{R} / V_{cell}$$

Quantum of \mathbf{P} under adiabatic cycle

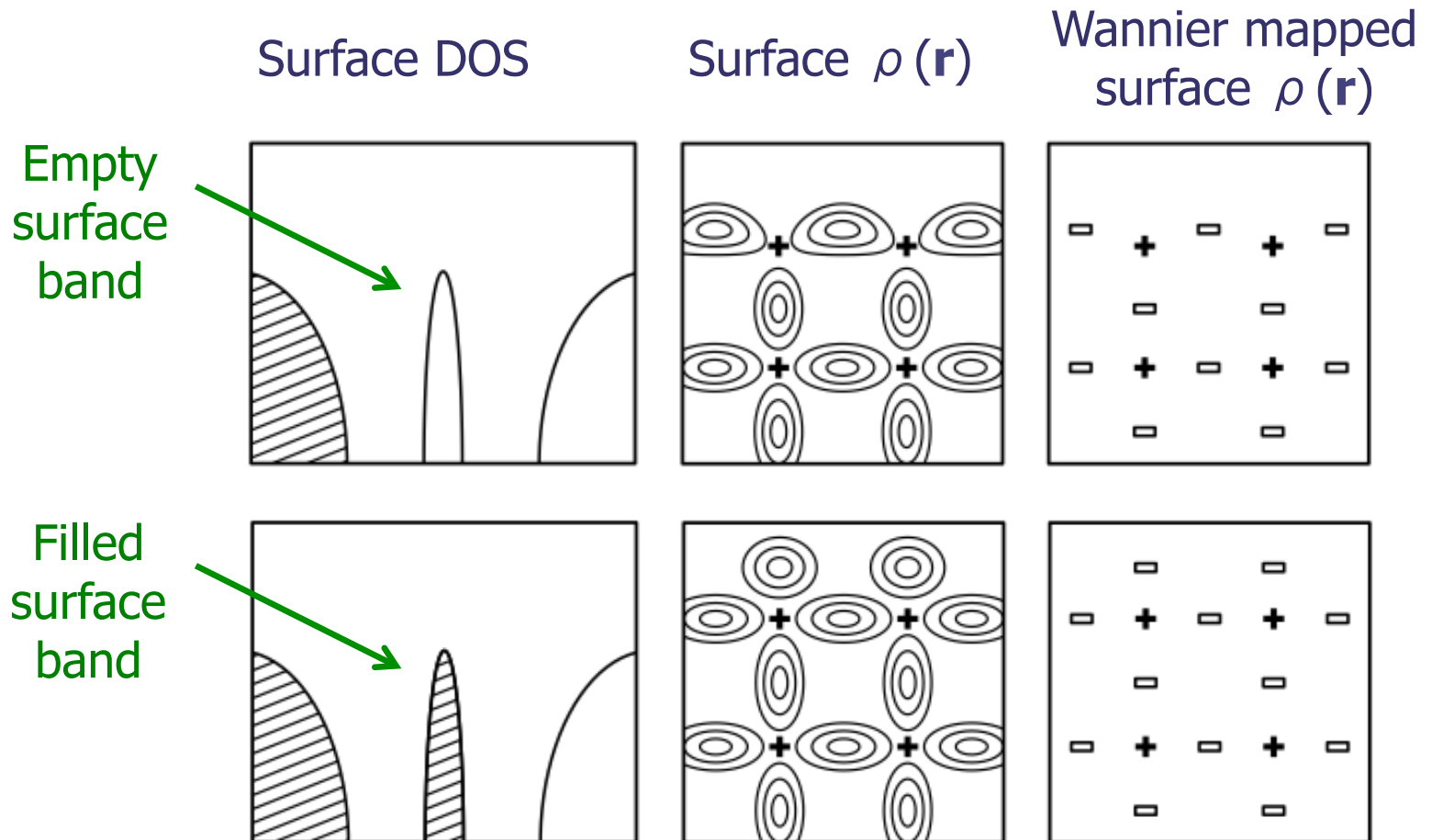


$$\Delta \mathbf{P} = 0$$



$$\Delta \mathbf{P} = e \mathbf{R} / V_{cell}$$

Quantum of \mathbf{P} and surface charge



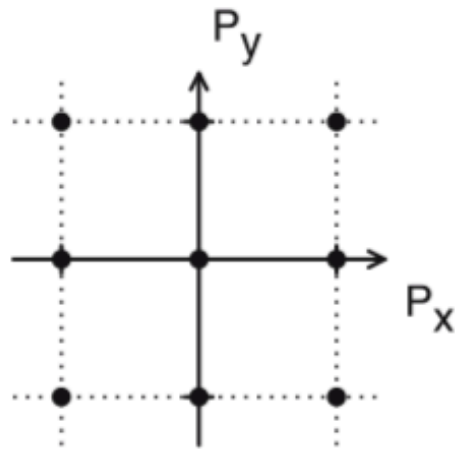
$$\Delta \mathbf{P} = e \mathbf{R} / V_{\text{cell}} \longleftrightarrow \Delta \sigma = \Delta \mathbf{P} \cdot \hat{n} = e / A_{\text{surf}}$$



Polarization as a lattice-valued quantity

$$\Delta \mathbf{P} = e \mathbf{R} / V_{\text{cell}}$$

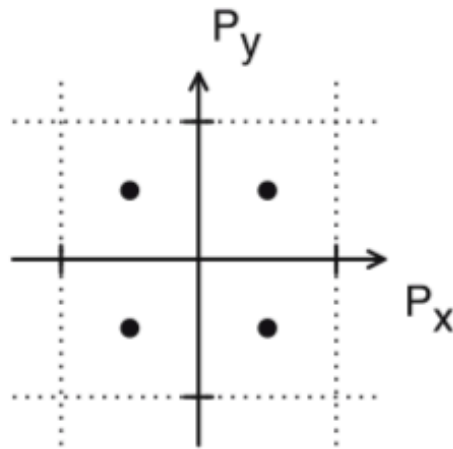
Cubic crystal



$$\begin{aligned} \mathbf{P}_0 &= 0 \\ \mathbf{P}_0 &= (a, 0) \\ &\text{etc.} \end{aligned}$$

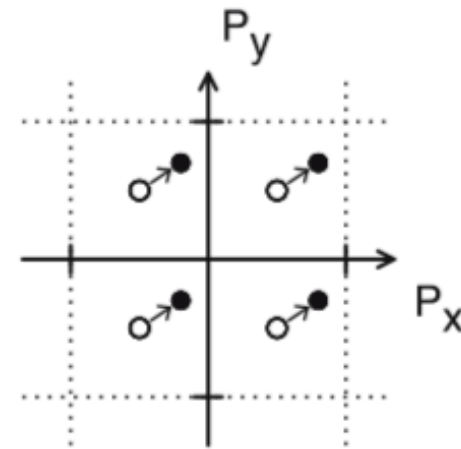
\mathbf{P}_0 = "Formal polarization"

Cubic crystal



$$\begin{aligned} \mathbf{P}_0 &= (-a/2, a/2) \\ \mathbf{P}_0 &= (a/2, a/2) \\ &\text{etc.} \end{aligned}$$

Distorted
Cubic crystal

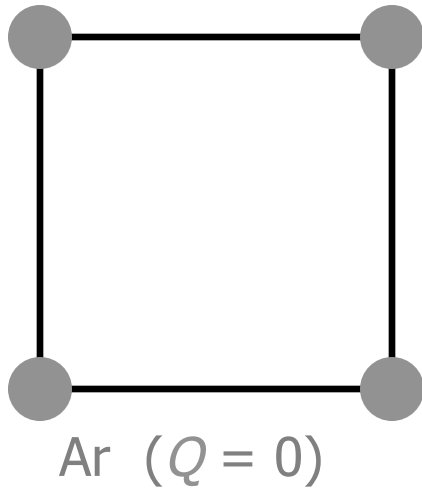


$$\mathbf{P}_0 + \Delta \mathbf{P}$$

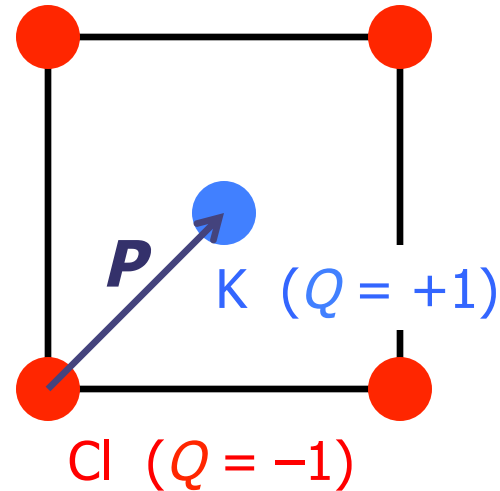
$\Delta \mathbf{P}$ = "Effective pol."
= "Spontaneous pol."



Examples



Unit cell of
"2D Ar crystal"
 $\mathbf{P} = 0$ etc.



Unit cell of
"2D KCl crystal"
 $\mathbf{P} = (a/2, a/2)$ etc.

Review articles on theory of polarization

- Three useful reviews:

MACROSCOPIC POLARIZATION IN CRYSTALLINE DIELECTRICS - THE GEOMETRIC PHASE APPROACH

By: RESTA, R

REVIEWS OF MODERN PHYSICS Volume: 66 Issue: 3 Pages: 899-915 Published: JUL 1994

D. Vanderbilt and R. Resta, "Quantum electrostatics of insulators: Polarization, Wannier functions, and electric fields," in *Conceptual foundations of materials properties: A standard model for calculation of ground- and excited-state properties*, S.G. Louie and M.L. Cohen, eds. (Elsevier, The Netherlands, 2006), pp. 139-163. ([request article](#))

R. Resta and D. Vanderbilt, "Theory of Polarization: A Modern Approach," in *Physics of Ferroelectrics: a Modern Perspective*, ed. by K.M. Rabe, C.H. Ahn, and J.-M. Triscone (Springer-Verlag, 2007, Berlin), pp. 31-68. ([local preprint](#))

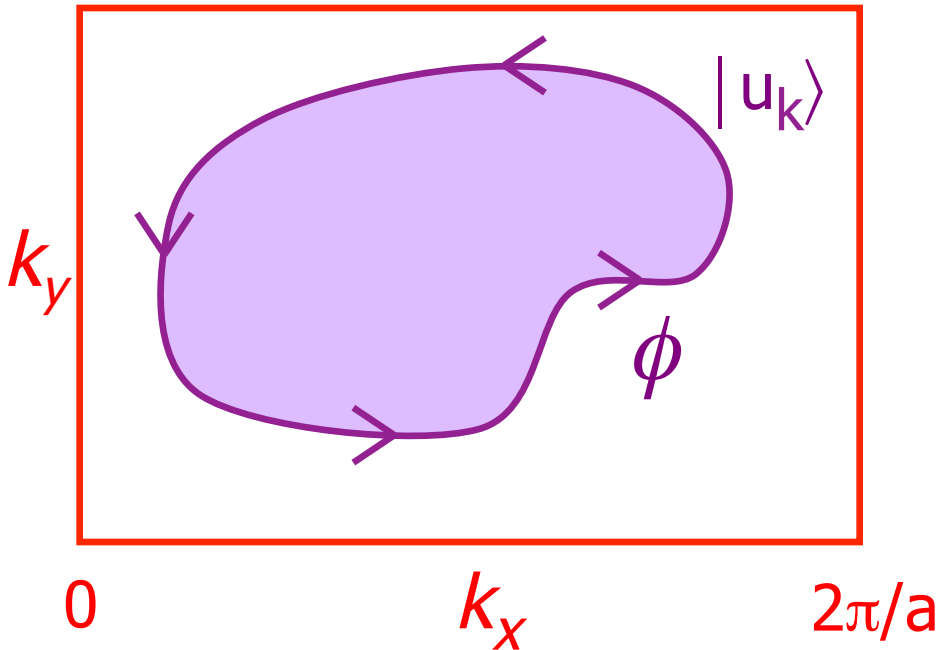
- Currently posted at

<http://www.physics.rutgers.edu/~dhv/tmp>

Outline

- Intro to Berry phases and curvatures
- Electric polarization and Wannier functions
- **Anomalous Hall effect**
- Orbital magnetization
- Linear magnetoelectric coupling
- Topological insulators: Next lecture
- Summary

Berry phase and curvature in the BZ



$$u_{\mathbf{k}}(\mathbf{r}) = e^{-i\mathbf{k} \cdot \mathbf{r}} \underbrace{\psi_{\mathbf{k}}(\mathbf{r})}_{\text{Bloch function}}$$

Bloch function

Berry potential:

$$\mathbf{A}(\mathbf{k}) = -\text{Im} \langle u_{\mathbf{k}} | \nabla_{\mathbf{k}} | u_{\mathbf{k}} \rangle$$

Berry phase:

$$\phi = \oint \mathbf{A}(\mathbf{k}) \cdot d\mathbf{k}$$

Berry curvature:

$$\Omega(\mathbf{k}) = \nabla \times \mathbf{A}$$

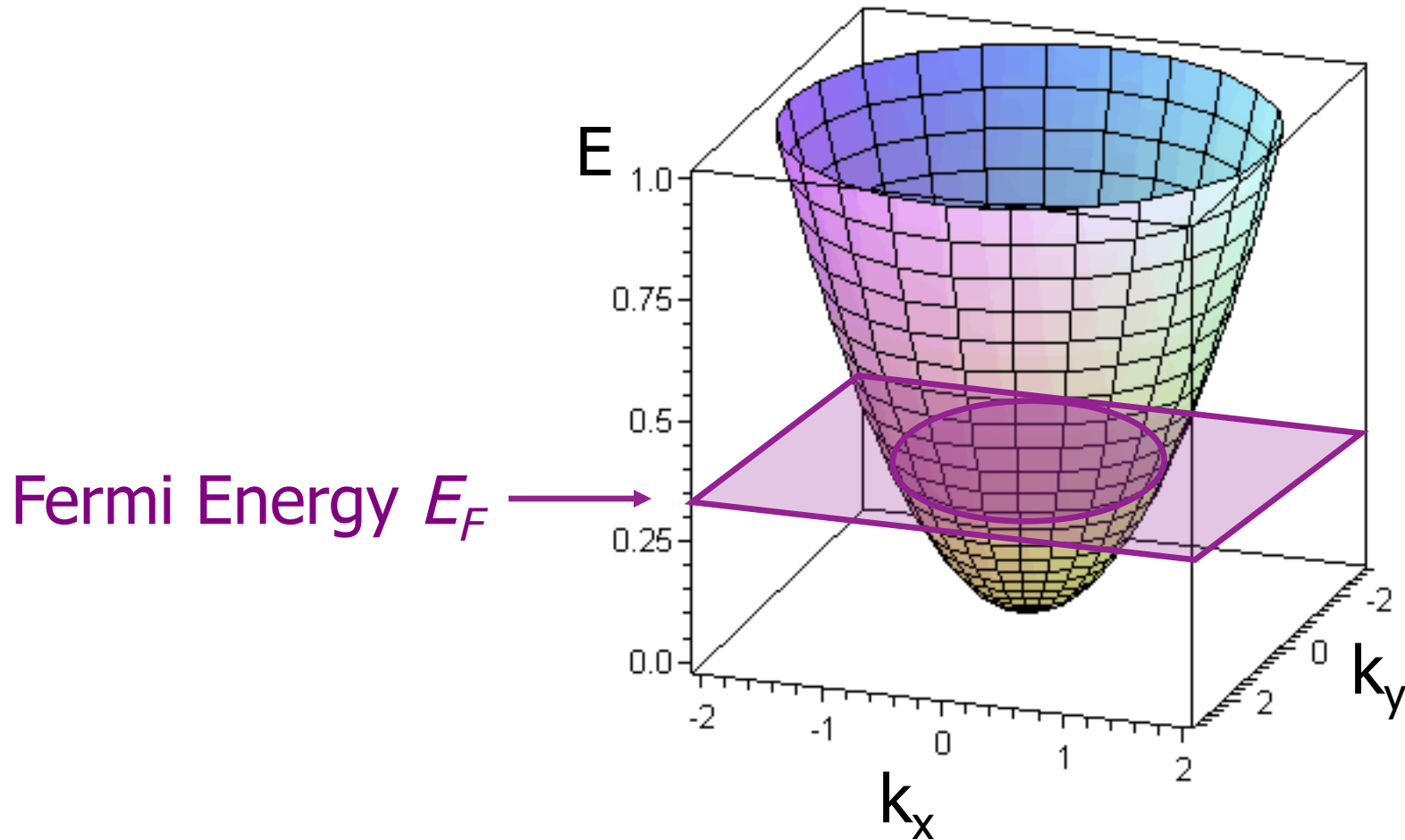
$$\Omega_z(\mathbf{k}) = -2\text{Im} \left\langle \frac{du}{dk_x} \left| \frac{du}{dk_y} \right. \right\rangle$$

Stoke's theorem:

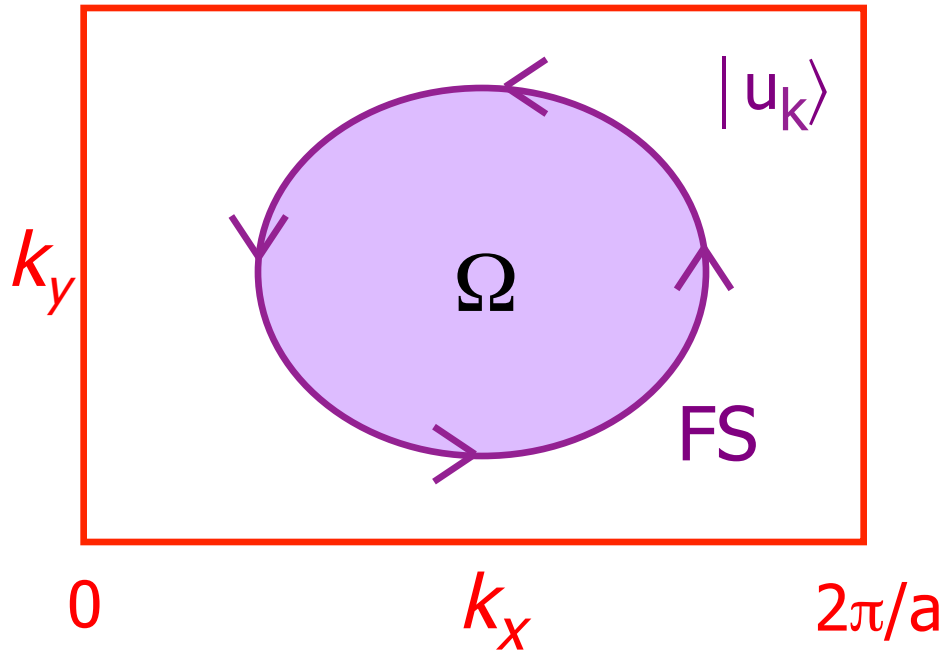
$$\phi = \int \Omega_z(\mathbf{k}) d^2k$$



Bandstructure of a metal



Non-magnetic metal: no net Berry curvature



If centrosymmetric too,
then $\Omega=0$

Time-reversal
symmetry



$$u(k_x, k_y) = u^*(-k_x, -k_y)$$



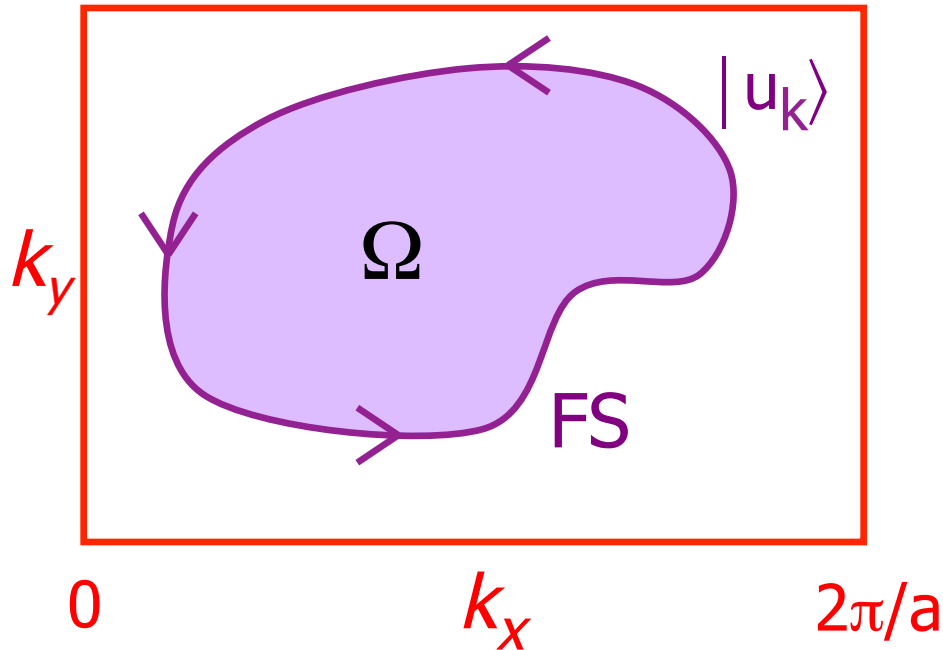
$$\Omega(\mathbf{k}) = -\Omega(-\mathbf{k})$$



$$\phi = 0$$



Magnetic metal: things get interesting

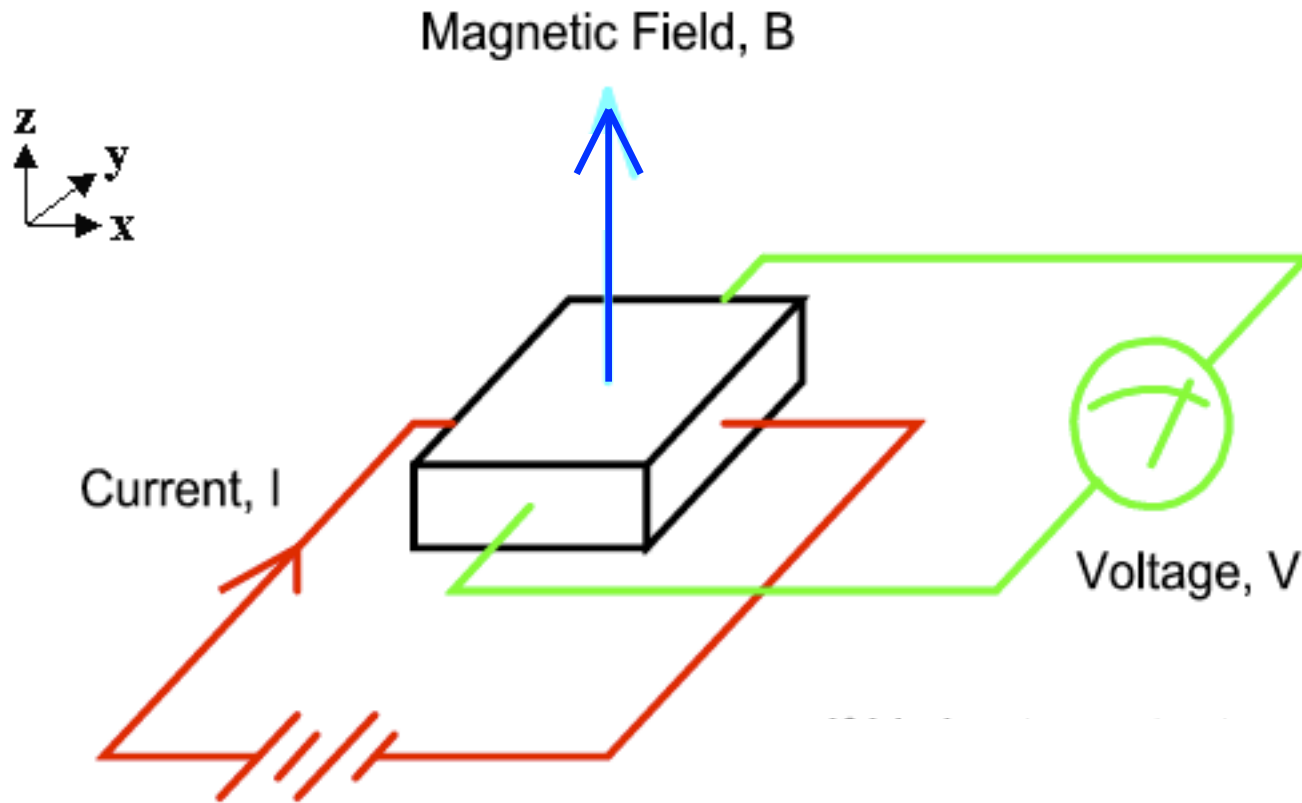


$$\Omega_z(\mathbf{k}) = -2\text{Im} \left\langle \frac{du}{dk_x} \left| \frac{du}{dk_y} \right. \right\rangle$$

$$\phi = \int_{\text{FS}} \Omega_z(\mathbf{k}) d^2k$$

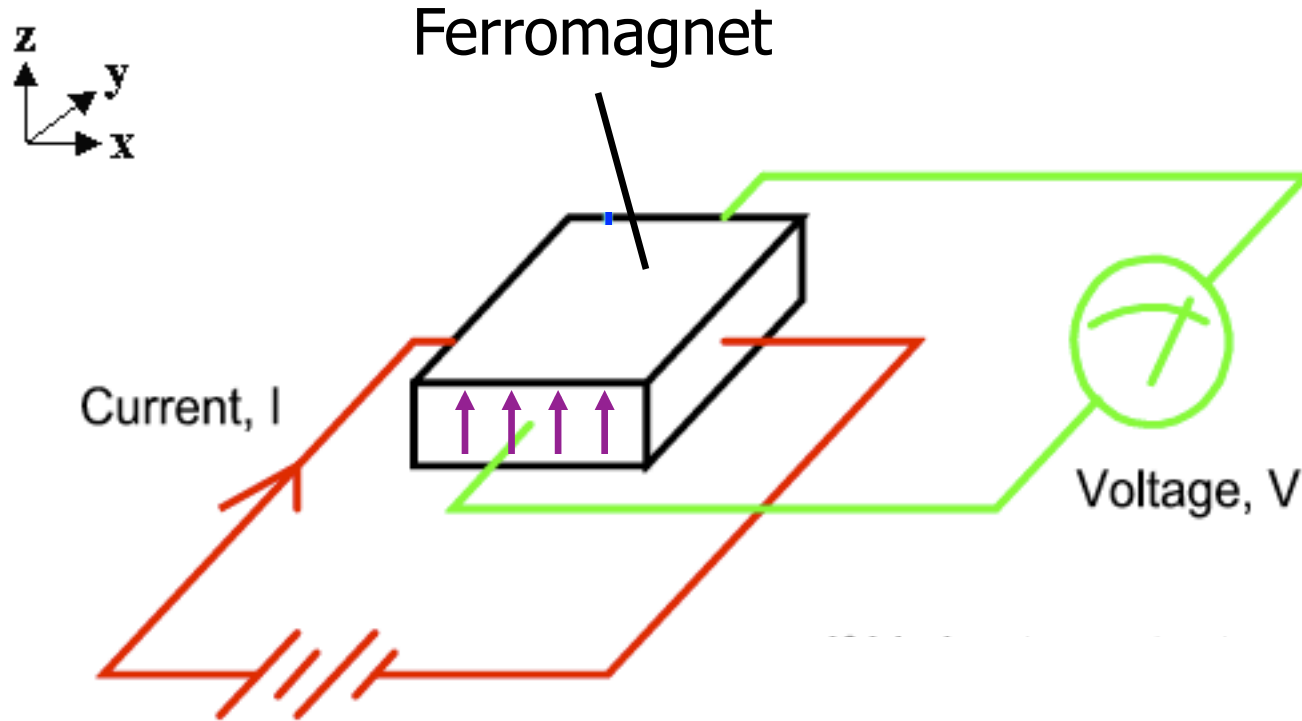
$$\sigma_{xy}^{\text{AHE}} = \frac{-e^2}{(2\pi)^3 \hbar} \sum_n \int d^3k f_{n\mathbf{k}} \Omega_{n,z}(\mathbf{k}) \quad (3\text{D})$$

Ordinary Hall conductivity



$$R_H = \frac{E_y}{j_x B} = \frac{dV_H}{IB} = -\frac{1}{ne}$$

Anomalous Hall conductivity (AHC)



$$R_{AH} = \frac{E_y}{j_x}$$

Anomalous Hall conductivity (AHC)

- Karplus-Luttinger theory (1954)
 - Scattering-free, intrinsic
- Skew-scattering mechanism (1955)
 - Impurity scattering
- Side-jump mechanism (1970)
 - Impurity or phonon scattering
- Berry-phase theory (1999)
 - Restatement of Karplus-Luttinger

Sundaram and Niu, PRB
59, 14925 (1999).

$$\sigma_{xy}^{\text{AHE}} = \frac{-e^2}{(2\pi)^3 \hbar} \sum_n \int d^3 k f_{n\mathbf{k}} \Omega_{n,z}(\mathbf{k})$$

A pure bandstructure effect!



Summary of Results

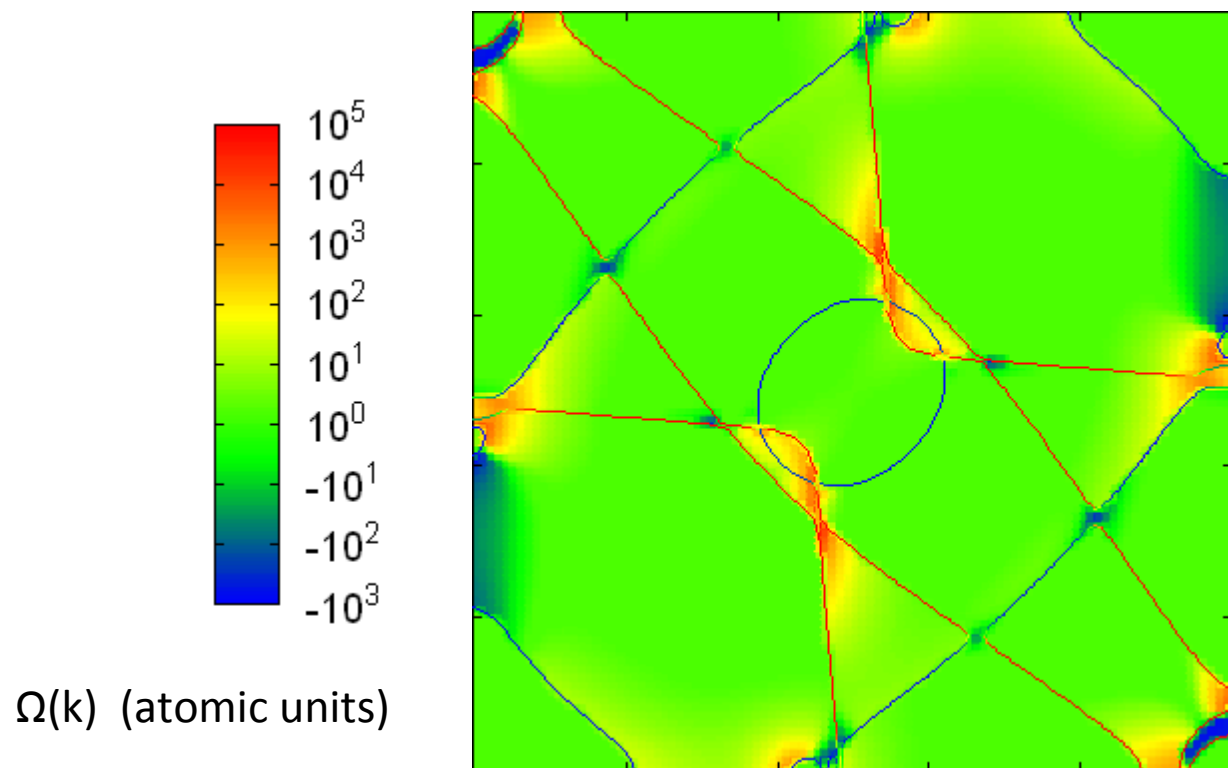
$$\text{AHC } (\Omega\text{cm})^{-1}$$

| | Bcc Fe | Fcc Ni | Hcp Co |
|--------------------|--------|--------|--------|
| Experimental Value | 1032 | -752 | 500 |
| Our theory | 771 | -2362 | 478 |

- Xinjie Wang, Jonathan R. Yates, Ivo Souza, and David Vanderbilt, *"Ab-initio calculation of the anomalous Hall conductivity by Wannier interpolation,"* Phys. Rev. B 74, 195118 (2006).
- Xinjie Wang, David Vanderbilt, Jonathan R. Yates, and Ivo Souza, *"Fermi-surface calculation of the anomalous Hall conductivity,"* Phys. Rev. B 76, 195109 (2007).



bcc Fe: Calculated Berry curvature over $k_y=0$ plane



DFT (LSDA):
Non-collinear
With spin-orbit

Plane-wave basis
(PWSCF)

Wannier
interpolation

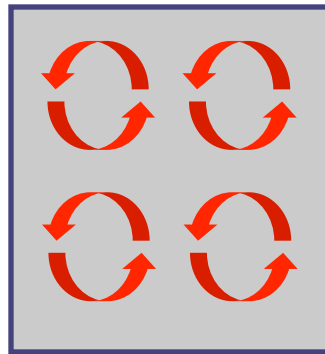
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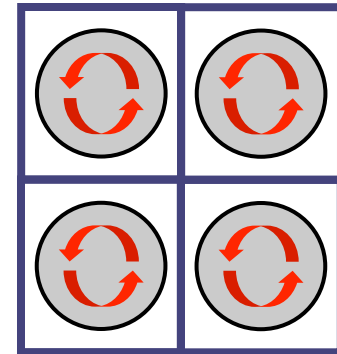
Interstitial regions are not empty!

Magnetization: $\mathbf{M} = \mathbf{M}_{\text{spin}} + \mathbf{M}_{\text{orbital}}$

Real crystals look like



not



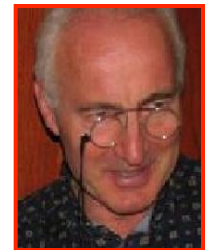
Previous work is mostly based on integrating currents inside muffin-tin spheres

But a knowledge of $\mathbf{J}(\mathbf{r})$ is insufficient, in principle, to determine \mathbf{M} !



Modern theory of orbital magnetization

- Semiclassical derivation
 - *D. Xiao, J. Shi, and Q. Niu, Phys. Rev. Lett. **95**, 137204 (2005).*
- Wannier representation derivation
 - *T. Thonhauser, D. Ceresoli, D. Vanderbilt, and R. Resta, Phys. Rev. Lett. **95**, 137205 (2005).*
 - *D. Ceresoli, T. Thonhauser, D. Vanderbilt, and R. Resta, Phys. Rev. B **74**, 024408 (2006).*
- Long-wave derivation
 - *J. Shi, G. Vignale, D. Xiao, and Q. Niu, Phys. Rev. Lett. **99**, 197202 (2007).*
- Calculations for Fe, Ni, Cu
 - *D. Ceresoli, U. Gerstmann, A.P. Seitsonen, and F. Mauri, Phys. Rev. B **81**, 060409 (2010).*
- Relation to magnetic circular dichroism
 - *I. Souza and D. Vanderbilt, Phys. Rev. B **77**, 054438 (2008).*



Orbital magnetization of 2D insulator

Magnetization of finite sample

$$M = \frac{q}{2Ac} \sum_j \langle \psi_j | x v_y - y v_x | \psi_j \rangle$$

“Extended”
orbital

$$= \frac{-iq}{2\hbar Ac} \sum_m \langle w_m | x [y, H] - y [x, H] | w_m \rangle$$

Localized
molecular
orbital

$$= \frac{-q}{\hbar Ac} \text{Im} \sum_m \langle w_m | x H y | w_m \rangle$$

Magnetization in thermodynamic limit

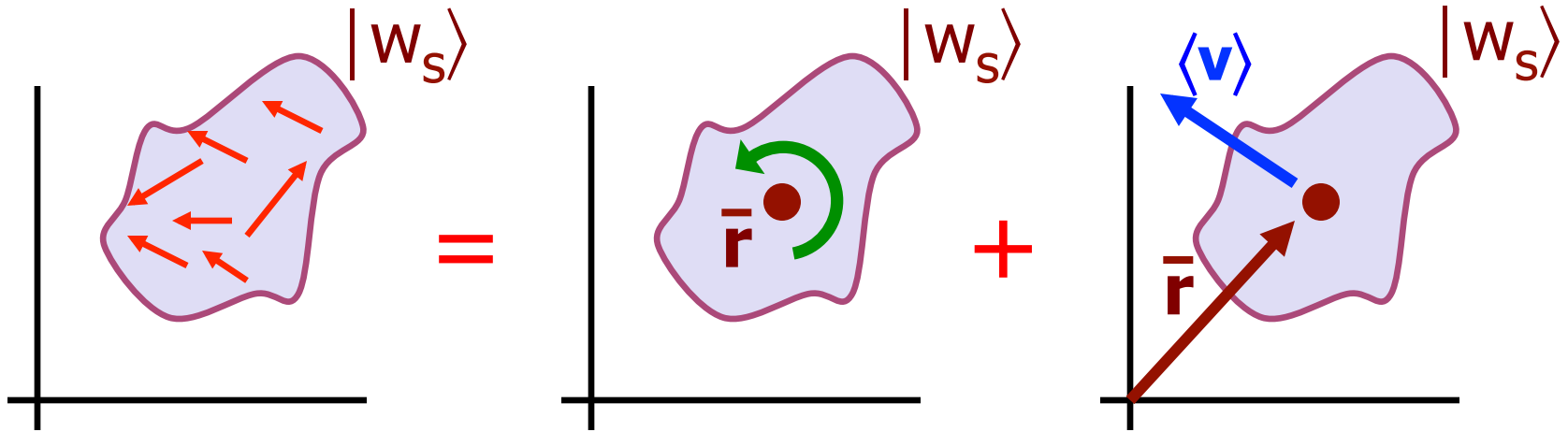
$$M_{\text{LC}} = \frac{-q}{\hbar c A_0} \text{Im} \langle 0 | x H y | 0 \rangle$$

Bulk
Wannier
function

Is this all?



What is missing?



$$\langle w_s | \mathbf{r} \times \mathbf{v} | w_s \rangle = \underbrace{\langle w_s | (\mathbf{r} - \bar{\mathbf{r}}) \times \mathbf{v} | w_s \rangle}_{\text{Local Circulation (LC)}} + \underbrace{\bar{\mathbf{r}} \times \langle w_s | \mathbf{v} | w_s \rangle}_{\text{Itinerant Circulation (IC)}}$$

Local Circulation
(LC)

Itinerant Circulation
(IC)



M_{orb} in Wannier representation

Local circulation

$$M_{\text{LC}} = \frac{-q}{\hbar c A_0} \text{Im} \langle \mathbf{0} | x H y | \mathbf{0} \rangle$$

Itinerant circulation

$$M_{\text{IC}} = \frac{-q}{2A_0\hbar c} \text{Im} \sum_{\mathbf{R}} \left(R_x \langle \mathbf{0} | y | \mathbf{R} \rangle - R_y \langle \mathbf{0} | x | \mathbf{R} \rangle \right) \langle \mathbf{R} | H | \mathbf{0} \rangle$$

Convert two terms to k-space

$$M_{\text{LC}} = \frac{-q}{\hbar c} \text{Im} \int_{\text{BZ}} \frac{d^2 k}{(2\pi)^2} \left\langle \frac{\partial u_{\mathbf{k}}}{\partial k_x} \middle| H_{\mathbf{k}} \middle| \frac{\partial u_{\mathbf{k}}}{\partial k_y} \right\rangle$$

$$M_{\text{IC}} = \frac{q}{2\hbar c} \int \frac{d^2 k}{(2\pi)^2} E(\mathbf{k}) \Omega(\mathbf{k})$$

$$\Omega_z(\mathbf{k}) = -2\text{Im} \left\langle \frac{du}{dk_x} \middle| \frac{du}{dk_y} \right\rangle$$

(Berry curvature)

$$M = \frac{-q}{\hbar c} \text{Im} \int_{\text{BZ}} \frac{d^2 k}{(2\pi)^2} \left\langle \frac{\partial u_{\mathbf{k}}}{\partial k_x} \middle| H_{\mathbf{k}} + E_{\mathbf{k}} \middle| \frac{\partial u_{\mathbf{k}}}{\partial k_y} \right\rangle$$

Results for M_{orb} of Fe, Co and Ni

| | | Modern Theory | | Muffin Tin |
|--------|--------|---------------|-----------|------------|
| | Axis | Expt. | This Work | Ref. 14 |
| bcc Fe | [001]* | 0.081 | 0.0761 | 0.0658 |
| bcc Fe | [111] | | 0.0759 | 0.0660 |
| hcp Co | [001]* | 0.133 | 0.0838 | 0.0957 |
| hcp Co | [100] | | 0.0829 | 0.0867 |
| fcc Ni | [111]* | 0.053 | 0.0467 | 0.0519 |
| fcc Ni | [001] | | 0.0469 | 0.0556 |

*Experimental easy axis.

¹⁴D. Ceresoli, U. Gerstmann, A. P. Seitsonen, and F. Mauri, *Phys. Rev. B* **81**, 060409(R) (2010).



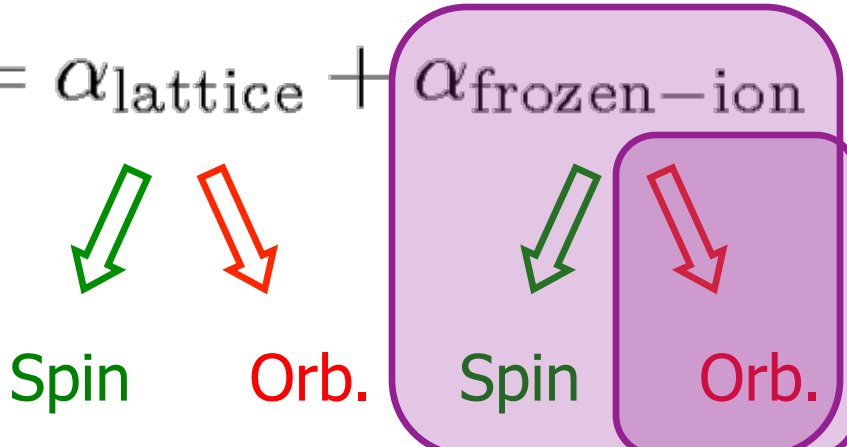
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Linear magnetoelectric coupling (MEC)

$$\alpha_{ij} = -\frac{d^2 E}{d\mathcal{E}_i dB_j} = \frac{dP_i}{dB_j} = \frac{dM_j}{d\mathcal{E}_i}$$

$$\alpha = \alpha_{\text{lattice}} + \alpha_{\text{frozen-ion}}$$


The diagram illustrates the decomposition of the magnetoelectric coefficient α . It shows $\alpha = \alpha_{\text{lattice}} + \alpha_{\text{frozen-ion}}$. Below α_{lattice} , a green arrow points to "Spin" and a red arrow points to "Orb.". Below $\alpha_{\text{frozen-ion}}$, which is enclosed in a purple box, there is a green arrow pointing to "Spin" and a red arrow pointing to "Orb.", which is itself enclosed in a smaller purple box.



Frozen-ion orbital MEC

$$\mathbf{M}^{\text{orb}}(\mathcal{E}) \propto \int d\mathbf{k} \langle \nabla_{\mathbf{k}} u_{\mathbf{k}}^{\mathcal{E}} | \times (H_{\mathbf{k}} + E_{\mathbf{k}}) | \nabla_{\mathbf{k}} u_{\mathbf{k}}^{\mathcal{E}} \rangle$$
$$+ e\mathcal{E} \int d\mathbf{k} \epsilon_{ijl} \text{tr} \left[A_i \nabla_{k_j} A_l - \frac{2i}{3} A_i A_j A_l \right]$$

Note Chern-Simons

where $\mathbf{A}(\mathbf{k}) = \langle u_{\mathbf{k}}^{\mathcal{E}} | i \nabla_{\mathbf{k}} | u_{\mathbf{k}}^{\mathcal{E}} \rangle$

Malashevich, Souza, Coh, and Vanderbilt
NJP **12** 053032 (2010)

Frozen-ion orbital MEC

$$\alpha_{da} = \alpha_{da}^{\text{LC}} + \alpha_{da}^{\text{IC}} + \alpha_{da}^{\text{geom}}$$

NG = non-geometric

$$\alpha_{da}^{\text{LC}} = -\frac{e}{\hbar c} \epsilon_{abc} \int \frac{d^3 k}{(2\pi)^3} \sum_n \text{Im} \langle \tilde{\partial}_b u_{n\mathbf{k}} | (\partial_c H_{\mathbf{k}}) | \tilde{\partial}_{\mathcal{E}_d} u_{n\mathbf{k}} \rangle$$

$$\alpha_{da}^{\text{IC}} = -\frac{e}{\hbar c} \epsilon_{abc} \int \frac{d^3 k}{(2\pi)^3} \sum_{mn} \text{Im} \left\{ \langle \tilde{\partial}_b u_{n\mathbf{k}} | \tilde{\partial}_{\mathcal{E}_d} u_{m\mathbf{k}} \rangle \langle u_{m\mathbf{k}} | (\partial_c H_{\mathbf{k}}) | u_{n\mathbf{k}} \rangle \right\}$$

$$\alpha_{da}^{\text{geom}} = \frac{\theta}{2\pi} \frac{e^2}{\hbar c} \delta_{da}$$

$$\theta_{\text{geom}} = -\frac{1}{4\pi} \int d^3 k \epsilon_{abc} \text{tr} \left[A_a \partial_b A_c - \frac{2i}{3} A_a A_b A_c \right]$$

CS = Chern-Simons

MASTANI School, Pune, India, July 10 2014



RUTGERS

Gauge properties of CS piece

$$\alpha_{da}^{\text{geom}} = \frac{\theta}{2\pi} \frac{e^2}{hc} \delta_{da}$$

$$\mathbf{A}(\mathbf{k}) = -\text{Im} \langle u_{\mathbf{k}} | \nabla_{\mathbf{k}} | u_{\mathbf{k}} \rangle$$

$$\theta_{\text{geom}} = -\frac{1}{4\pi} \int d^3k \epsilon_{abc} \text{tr} \left[A_a \partial_b A_c - \frac{2i}{3} A_a A_b A_c \right]$$

CS = Chern-Simons

It turns out that:

- θ_{geom} is only well-defined modulo 2π
- Just as ϕ_{Berry} is only well-defined modulo 2π
- In fact, there are close mathematical relations between the two...

Consequences for topological insulators...



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