### **Self-Tuning for Data-Efficient Deep Learning**

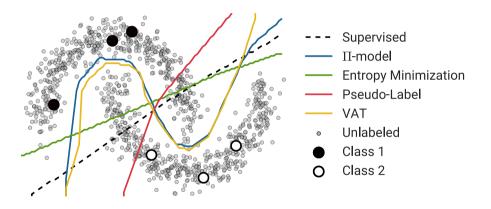
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wxm17@mails.tsinghua.edu.cn, https://wxm17.github.io/ International Conference on Machine Learning (ICML), 2021

# Semi-supervised Learning (SSL)

Simultaneously exploring both labeled and unlabeled data <sup>1</sup>



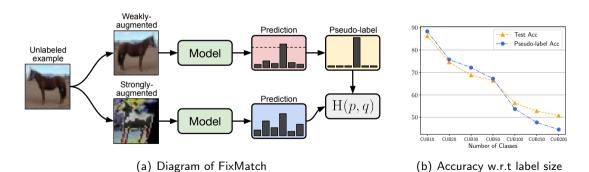
 $<sup>^{1}</sup>$ Oliver et al. Realistic Evaluation of Deep Semi-Supervised Learning Algorithms. NeurIPS 2018.

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### Delve into a State-of-the-art SSL Method: FixMatch<sup>2</sup>

**Main Idea**: Use the model's predictions on *weakly-augmented* unlabeled images to generate pseudo-labels for *strongly-augmented* versions of the same images.

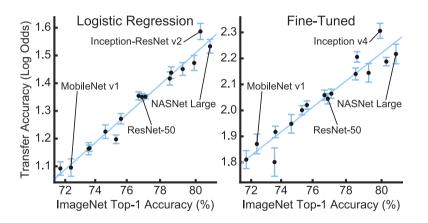
**Confirmation Bias**: The performance of a student is restricted by the teacher when learning from inaccurate pseudo-labels.



<sup>2</sup>Sohn et al. Realistic Evaluation of Deep Semi-Supervised Learning Algorithms. NeurIPS 2018.

# **Transfer Learning (TL)**

Fine-tuning a pre-trained model to the target data <sup>3</sup>

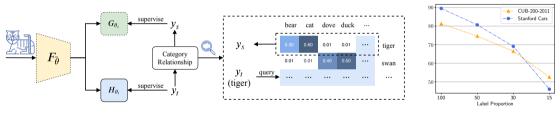


<sup>&</sup>lt;sup>3</sup>Kornblith et al. Do Better ImageNet Models Transfer Better? CVPR 2019.

# Delve into a State-of-the-art TL Method: Co-Tuning<sup>4</sup>

**Main Idea**: Learn the *relationship* between source categories and target categories from the pre-trained model with calibrated prediction to fully transfer pre-trained models.

**Model Shift**: The fine-tuned model shifts towards the limited labeled data, without exploring the intrinsic structure of unlabeled data.

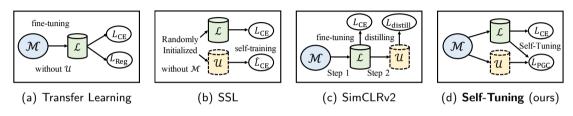


(a) Diagram of Co-Tuning

(b) Acc w.r.t label ratio

<sup>&</sup>lt;sup>4</sup> You et al. Co-Tuning for Transfer Learning. NeurIPS 2020.

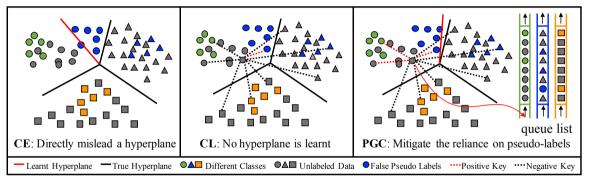
## **Data-Efficient Deep Learning**



**Figure:** Comparisons among techniques. (a) **Transfer Learning**: only fine-tuning on  $\mathcal{L}$  with a regularization term; (b) **Semi-supervised Learning**: a common practice for SSL is a CE loss on  $\mathcal{L}$  while self-training on  $\mathcal{U}$  without a decent pretrained model; (c) **SimCLRv2**: fine-tune model  $\mathcal{M}$  on  $\mathcal{L}$  first and then distill on  $\mathcal{U}$ ; (d) **Self-Tuning**: unify the exploration of  $\mathcal{L}$  and  $\mathcal{U}$  and the transfer of model  $\mathcal{M}$ .

#### **How to Tackle Confirmation Bias?**

- The Devil Lies in Cross-Entropy Loss
- Contrastive Learning Loss Underutilizes Labels



**Figure:** Conceptual comparison of various loss functions: (a) **CE**: cross-entropy loss will be easily misled by false pseudo-labels; (b) **CL**: contrastive learning loss underutilizes labels and pseudo-labels; (c) **PGC**: Pseudo Group Contrast mechanism to mitigate confirmation bias.

# From Contrastive Learning to Pseudo Group Contrast (PGC)

• Contrastive Learning: maximizes the similarity between the query q with its corresponding positive key  $k_0$  (a differently augmented view of the same data example)

$$L_{\rm CL} = -\log \frac{\exp(\mathbf{q} \cdot \mathbf{k}_0/\tau)}{\exp(\mathbf{q} \cdot \mathbf{k}_0/\tau) + \sum_{d=1}^{D} \exp(\mathbf{q} \cdot \mathbf{k}_d/\tau)},\tag{1}$$

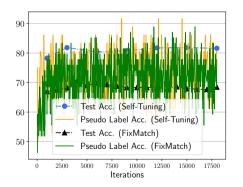
• **Pseudo Group Contrast**: introduces a group of positive keys in the same pseudo-class to contrast with all negative keys from other pseudo-classes.

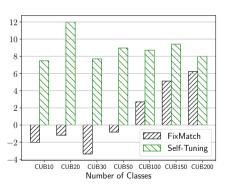
$$\widehat{L}_{PGC} = -\frac{1}{D+1} \sum_{d=0}^{D} \log \frac{\exp(\mathbf{q} \cdot \mathbf{k}_{d}^{\widehat{y}}/\tau)}{\exp(\mathbf{q} \cdot \mathbf{k}_{0}^{\widehat{y}}/\tau) + \sum_{c=1}^{\{1,2,\cdots,C\}} \sum_{j=1}^{D} \exp(\mathbf{q} \cdot \mathbf{k}_{j}^{c}/\tau)}, \quad (2)$$

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### Why can PGC boost the tolerance to false labels?

- The softmax function generates a predicted probability vector with a sum of 1. Positive keys  $\{k_0^{\widehat{y}}, k_1^{\widehat{y}}, k_2^{\widehat{y}}, \cdots, k_D^{\widehat{y}}\}$  from the same pseudo-class will compete with each other.
- If some pseudo-labels in the positive group are wrong, those keys with true pseudo-labels will win, since their representations are more similar to the query, compared to false ones.



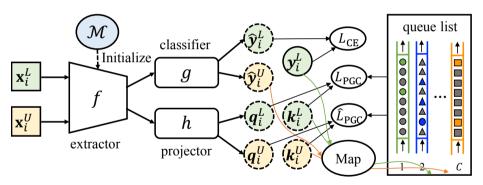


(a) Training Process on CUB30

(b)  $Acc_{test} - Acc_{pseudo_labels}$ 

# Model Shift: Unifying and Sharing

- ullet A unified form to fully exploit  $\mathcal{M}$ ,  $\mathcal{L}$  and  $\mathcal{U}$
- $\bullet$  A shared queue list across  ${\cal L}$  and  ${\cal U}$



**Figure:** The network architecture of Self-Tuning. The "Map" denotes a mapping function which assigns a newly-generated key to the corresoping queue according to its label or pseudo-label.

### **Experiments and Results**

Table 1. Classification accuracy (%) ↑ of Self-Tuning and various baselines on standard TL benchmarks (ResNet-50 pre-trained).

Dataset	Туре	  Method 	Label Proportion			
			15%	30%	50%	100%
CUB-200-2011	SSL	Fine-Tuning (baseline) L²-SP (Li et al., 2018) DELTA (Li et al., 2019) BSS (Chen et al., 2019) Co-Tuning (You et al., 2020) II-model (Laine & Aila, 2017) Pseudo-Labeling (Lee, 2013) Mean Teacher (Tarvainen & Valpola, 2017) UDA (Xie et al., 2020) FixMatch (Sohn et al., 2020) SimCLRv2 (Chen et al., 2020b) Co-Tuning + Pseudo-Labeling Co-Tuning + Mean Teacher	45.25±0.12 45.08±0.19 46.83±0.21 47.74±0.23 52.58±0.53 45.20±0.23 45.326±0.19 46.90±0.31 44.06±0.23 45.74±0.15	59.68±0.21 57.78±0.24 60.37±0.25 63.38±0.29 66.47±0.17 56.20±0.29 62.02±0.31 66.66±0.20 61.16±0.35 63.54±0.18 62.70±0.24 68.07±0.32	70.12±0.29 69.47±0.29 71.38±0.20 72.56±0.17 74.64±0.36 64.07±0.32 72.30±0.29 74.37±0.30 71.86±0.43 75.96±0.29 71.01±0.34 75.94±0.34	78.01±0.16 78.44±0.17 78.63±0.18 78.85±0.31 81.24±0.14
		Co-Tuning + FixMatch			$73.07 \pm 0.29$	_
		Self-Tuning (ours)	<b>64.17</b> ±0.47	<b>75.13</b> ±0.35	<b>80.22</b> ±0.36	<b>83.95</b> ±0.18

## **Summary**

- A new setup named data-efficient deep learning to unleash the power of both transfer learning and semi-supervised learning.
- To tackle model shift and confirmation bias problems, we propose *Self-Tuning* to unify the exploration of labeled and unlabeled data and the transfer of a pre-trained model.
- A general Pseudo Group Contrast mechanism to mitigate the reliance on pseudo-labels and boost the tolerance to false labels.
- Comprehensive experiments demonstrate that Self-Tuning outperforms its SSL and TL counterparts on five tasks by sharp margins.
- Code will be available at @ github.com/thuml/Self-Tuning