# Evaluation of Logic Programs with Built-Ins and Aggregation: A Calculus for Bag Relations

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Abstract. We present a scheme for translating logic programs with built-ins and aggregation into algebraic expressions that denote bag relations over ground terms of the Herbrand universe. To evaluate queries against these relations, we develop an operational semantics based on term rewriting of the algebraic expressions. This approach can exploit arithmetic identities and recovers a range of useful strategies, including lazy strategies that defer work until it becomes both possible and necessary. Code is available at https://github.com/matthewfl/dyna-R.

Keywords: Logic Programming, Relational Algebra, Rewriting Systems

# 1 Introduction

We are interested in developing execution strategies for deductive databases whose defining rules make use of aggregation, recursion, and arithmetic. Languages for specifying such deductive databases are expressive enough that it can be challenging to answer queries against a given database. Term rewriting systems are an attractive approach because they can start with the query-database pair itself as an intensional description of the answer, and then attempt to rearrange it into a more useful extensional description such as a relational table. We will give a notation for algebraically constructing potentially infinite bag relations from constants and built-in relations by unions, joins, projection, aggregation, and recursion. We show how programs in existing declarative languages such as Datalog, pure Prolog, and Dyna can be converted into this notation.

We will then sketch a term rewriting system for simplifying these algebraic expressions, which can in principle be used to answer queries against a bag relation. Term rewriting naturally handles materialization, delayed constraints, constraint propagation, short-circuit evaluation, lazy iteration, and enumerative strategies such as nested-iterator join.

There remains a practical challenge (which is beyond the scope of this paper): determining which rewrites to apply and when, much as in automated theorem proving. Our current implementation is essentially a priority rewrite

system with heuristic priorities and memoization.<sup>1</sup> While an improved execution engine is work in progress, our design includes fair (breadth-first) nondeterministic search, polyvariant specialization through generating new rewrite rules and programmable reduction strategies, static analysis by abstract interpretation, and guess-check-update strategies to solve recursive systems of constraints.

## 1.1 Approach

Dyna [4] is a generalization of Datalog [1,7] and pure Prolog [3,2]. Our methods apply to all three of these logic programming languages (and more trivially to languages like SQL that can be written in standard relational algebra).

We are given a Herbrand universe  $\mathcal{G}$  of ground terms. A Dyna program serves to define a partial map from  $\mathcal{G}$  to  $\mathcal{G}$ , which may be regarded as a set of key-value pairs. Datalog and Prolog are similar, but they can map a key only to true, so the program serves only to define the set of keys.

Given a program, a user may query the value of a specific key (ground term). More generally, a user may use a non-ground term to query the values of all keys that match it, so that the answer is itself a set of key-value pairs.

A set of key-value pairs—either a program or the answer to a query—may be interpreted as a relation on two  $\mathcal{G}$ -valued variables. Our method in this paper will be to describe the desired relation algebraically, building up the description from simpler relations using a relational algebra. These simpler relations can be over any number of variables; they may or may not have functional dependencies as the final key-value relation does; and they may be bag relations, i.e., a given tuple may appear in the relation more than once, or even infinitely many times. Given this description of the desired relation, we will use rewrite rules to simplify it into a form that is more useful to the user. Although we use a **term rewriting system**, we refer to the descriptions being rewritten as **R**-exprs (relational expressions) to avoid confusion with the terms of the object language, Dyna.

## 1.2 Dyna Examples

To illustrate the task, we first briefly give a couple of examples (adapted from [9]) of useful Dyna programs and queries against them. In § 4, we will sketch how to translate Dyna programs into our algebra.

First, we start with a canonical Datalog program written in standard Dyna notation to compute the shortest path in a graph. Additional rules not shown here define the values of start and the various edge terms.

```
path(start) min= 0.
path(Sink) min= path(Source) + edge(Source, Sink).
```

<sup>&</sup>lt;sup>1</sup> This is already more flexible than Prolog's SLD resolution, which confines its attention at any time to a specific subgoal term and will crash with an "instantiation fault" if that subgoal cannot be rewritten.

This program defines a map with keys such as edge("albany", "buffalo"), whose value is the distance from Albany to Buffalo, and keys such as path("chicago"), whose value is the total length of the shortest path from start to Chicago.

The second rule implies that the value of path("chicago") is the minimum value achieved by path(Source) + edge(Source, "chicago") for any instantiation of the variable Source. If there are no instantiations of Source such that path(Source) and edge(Source, "chicago") both have values, then path("chicago") is not a key in the database at all, meaning that Chicago is not reachable at all from start.<sup>2</sup>

How is this database used? A user who is located at start might query path("chicago") to find out how far away it is, or they might query path(Y) to find all reachable cities Y along with how far away they are. Other queries would return other objects in the database, such as edges.

In the above example, Sink and Source may range over only a finite set of cities. However, we can easily encounter cases that define infinite relations, as in the following program that runs a simple convolutional neural network:

```
\sigma(X) = 1/(1+\exp(-X)).
                                                 % define sigmoid function
out(J) = \sigma(in(J)).
                                                  % apply sigmoid function
in(J) += out(I) * edge(I,J).
                                                   % vector-matrix product
in(input(X,Y)) += pixel_brightness(X,Y)
                                                           % external input
loss += (out(J) - target(J))**2.
                                              % L2 loss of the predictions
edge(input(X,Y),hidden(X+DX,Y+DY)) = weight\_conv(DX,DY).
                                                                  % layer 1
edge(hidden(X,Y),output(Z)) = weight\_output(X,Y,Z).
                                                                  % layer 2
weight_output(X,Y,Z) := random(*,-1,1).
                                                         % init with random
weight_conv(DX,DY) := random(*,-1,1) for DX:-4..4, DY:-4..4.
```

Without giving a detailed exposition of this program, we point out that it again has edge keys, which specify the weighted edges of a neural network. This time, however, the rules that specify such edges define infinitely many of them, in a convolutional structure on an infinite set of neurons.

As a result, a query edge(I,J) must return a description of an infinite set of edges. Even so, only finitely many of these edges contribute to the value of loss, provided that the input image specifies pixel\_brightness(X,Y) at only finitely many (X,Y) coordinates, and the loss function specifies target values for only finitely many neurons. As a result, a clever system will be able to answer a query for loss in finite time, essentially by finding the paths between the pixels and the targets (which requires addition/subtraction of DX and DY) and by determining which of the infinitely many keys  $\sigma(X)$  need to compute their values in order to find the out activations of the neurons on these paths.

A version of this program indeed runs in our present implementation, although there is not space here to work through such a detailed example. Broadly speaking, our approach involves unrolling the definition of loss until it is possible to apply rewrites that can make progress on simplifying the definition, for example, by performing arithmetic on known quantities.

 $<sup>^2</sup>$  Unless "chicago" happens to be the value of the start key, in which case the first rule comes into play as well. In this case 0 is included in the minimum, so Chicago is always reachable with total distance of at most 0.

# 2 Syntax and Semantics of R-exprs

Let  $\mathcal{G}$  be the Herbrand universe of **ground terms** built from a given set  $\mathcal{F}$  of ranked functors. We treat constants (including numeric constants) as 0-ary functors. Let  $\mathcal{M} = \mathbb{N} \cup \{\infty\}$  be the set of **multiplicities**. A simple definition of a bag relation would be a map  $\mathcal{G}^n \to \mathcal{M}$  for some n. Such a bag relation would assign a multiplicity to each possible *ordered tuple* of ground terms. However, we will use names rather than positions to distinguish the roles of the n objects being related: in our scheme, the n tuple elements will be named by variables.

Let  $\mathcal{V}$  be a distinguished set of **variables**. An **environment**  $E: \mathcal{V} \mapsto \mathcal{G}$  is a partial function from variables  $\mathcal{V}$  to ground terms.

Below, we will inductively define the set  $\mathcal{R}$  of **R**-exprs. The reader may turn ahead to subsequent sections to see some examples. Each **R**-expr R has a finite set of **free variables** vars(R)  $\subseteq \mathcal{V}$ , namely the variables that appear in R in positions where they are not bound by an operator such as proj or sum. R serves to specify a **bag relation** whose columns are named by vars(R).

We will also inductively define the **semantic interpretation function**  $\llbracket \cdot \rrbracket_E$ , which assigns a multiplicity to any **R**-expr R such that  $\operatorname{vars}(\mathsf{R}) \subseteq \operatorname{domain}(E)$ . This is a function from such expressions to multiplicities. We can dually regard R as inducing a function  $E \mapsto \llbracket \mathsf{R} \rrbracket_E$  from environments with  $\operatorname{vars}(\mathsf{R}) \subseteq \operatorname{domain}(E)$  to multiplicities. Indeed, R induces a function from valuations of  $\operatorname{vars}(\mathsf{R})$  to multiplicities, since our definition of  $\llbracket \cdot \rrbracket_E$  will ensure that  $\llbracket \mathsf{R} \rrbracket_E$  depends only on the values that E gives to  $\operatorname{vars}(\mathsf{R})$ . A valuation of  $\operatorname{vars}(\mathsf{R})$  is in effect a tuple whose elements are named by  $\operatorname{vars}(\mathsf{R})$ . So in effect, R specifies a bag relation with  $\operatorname{vars}(\mathsf{R})$  as the column names.

We say that T is a **term** if  $T \in \mathcal{V}$  or  $T = f(T_1, ..., T_n)$  where  $f \in \mathcal{F}$  has rank n and  $T_1, ..., T_n$  are also terms. Terms typically appear in the object language (e.g., Dyna) as well as in our meta-language (**R**-exprs). Let  $\mathcal{T} \supseteq \mathcal{G}$  denote the set of terms. We extend E over  $\mathcal{T}$  in the obvious way:  $E(f(T_1, ..., T_n)) = f(E(T_1), ..., E(T_n))$ .

We now define  $[\![R]\!]_E$  for each type of **R**-expr R, thus also presenting the different types of **R**-exprs. First, we have **equality constraints** between non-ground terms, which are true in an environment that grounds those terms to be equal. True is represented by multiplicity 1, and false by multiplicity 0.

1. 
$$[T=U]_E = \text{ if } E(T) = E(U) \text{ then } 1 \text{ else } 0, \quad \text{where } T, U \in \mathcal{T}$$

We also have built-in constraints, such as

2.  $[\![ plus(I,J,K) ]\!]_E = \mathbf{if} [\![ I ]\!]_E + [\![ J ]\!]_E = [\![ K ]\!]_E \mathbf{then} \ 1 \mathbf{else} \ 0, \quad \text{where I, J, K} \in \mathcal{T}$  (use this constraint only in type-safe environments:  $[\![ I ]\!]_E, [\![ J ]\!]_E, [\![ K ]\!]_E \in \mathbb{R})$ 

The above **R**-exprs are said to be **constraints** because they always have multiplicity 1 or 0 in any environment. Taking the **union** of **R**-exprs via + may yield larger multiplicities:

$$3. \ \ [\![\mathbf{R}+\mathbf{S}]\!]_E = [\![\mathbf{R}]\!]_E + [\![\mathbf{S}]\!]_E, \qquad \text{where } \mathbf{R}, \mathbf{S} \in \mathcal{R}$$

The **R**-expr  $\emptyset$  denotes the empty bag relation, and more generally,  $M \in \mathcal{M}$  denotes the bag relation that contains M copies of the empty tuple:

4. 
$$[\![M]\!]_E = M$$
, where  $M \in \mathcal{M}$ 

When we join two bag relations, we must use multiplication \* to combine their multiplicities [8]:

5. 
$$[\mathbb{R} \times \mathbb{S}]_E = [\mathbb{R}]_E \cdot [\mathbb{S}]_E$$
, where  $\mathbb{R}, \mathbb{S} \in \mathcal{R}$ 

Notice that  $vars(R+S) = vars(R*S) = vars(R) \cup vars(S)$ . The names **intersect**, **join** (or **equijoin**), and **Cartesian product** are conventionally used for the cases of R\*S where (respectively) vars(R) = vars(S),  $|vars(R) \cap vars(S)| = 1$ , and  $|vars(R) \cap vars(S)| = 0$ . As a special case of cross product, notice that R\*3 denotes the same bag relation as R+R+R.

We next define **projection**, which removes a column X from a bag relation, summing the multiplicities of rows that have thus become equal. When we translate a logic program into an **R**-expr (§ 4), we will generally apply projection operators to each rule to eliminate its local variables.

6.  $[\![\operatorname{proj}(X,R)]\!]_E = \sum_{x \in \mathcal{G}} [\![R]\!]_{E[X=x]}$  where  $X \in \mathcal{V}, R \in \mathcal{R}$ , and where E[X=x] means a version of E that has been modified to set E(X)=x

Projection collapses each group of rows that are identical except for their value of X. **Summation** does the same, but instead of adding up the multiplicities of the rows in each possibly empty group, it adds up their X values to get a Y value for the new row, which has multiplicity 1. Thus, it removes column X but introduces a new column Y. The summation operator is defined as follows (note that  $SUMM \in \mathcal{F}$ ):

7.  $[A=sum(X,R)]_E = if E(A) = \sum_{x \in \mathcal{G}} x * [R]_{E[X=x]} then 1 else 0$  where  $A, X \in \mathcal{V}, R \in \mathcal{R}$ , and the \* in the summand means that we sum up  $[R]_{E[X=x]}$  copies of the value x. If there are no summands, the result of the  $\sum \cdots$  is defined to be the identity element  $id_{sum}$ .

Notice that an **R**-expr of this form is a constraint. sum is just one type of **aggregation operator**, based on the binary + operation and its identity element  $id_{sum} = 0$ . In exactly the same way, one may define other aggregation operators such as min, based on the binary min operation and its identity element  $id_{min} = \infty$ . Variants of these will be used in § 4 to implement the aggregations in += and min= rules like those in § 1.2.

In projections proj(X,R) and aggregations such as sum(X,R) and min(X,R), we say that occurrences of X within R are **bound** by the projection or aggregation operator, so that they are not in the vars of the resulting **R**-expr. However, the most basic aggregation operator does not need to bind a variable:

8. 
$$[M=count(R)]_E = if E(M) = [R]_E then 1 else 0$$

<sup>&</sup>lt;sup>3</sup> But notice that sum(X,R) does not correspond to  $\sum_{X} \cdots$  but rather to  $\sum_{row \in R} row[X]$ .

In effect, M=count(R) is a version of R that changes every tuple's multiplicity to 1 but records its original multiplicity in a new column M. It is equivalent to M=sum(N,(N=1)\*R) (where  $N \notin vars(R)$ ), but we define it separately here so that it can later serve as an intermediate form in the operational semantics.

Finally, it is convenient to augment the built-in constraint types with **user-defined** relation types. Choose a new functor of rank n that is  $\notin \mathcal{F}$ , such as f, and choose some **R**-expr  $R_f$  with vars $(R_f) \subseteq \{X_1, \ldots, X_n\}$  (which are n distinct variables) to serve as the **definition** (macro expansion) of f. Now define

9.  $[\![f(T_1,\ldots,T_n)]\!]_E = [\![R_f\{X_1\mapsto T_1,\ldots,X_n\mapsto T_n\}]\!]_E$  where  $T_1,\ldots,T_n\in \mathcal{T}\cup\mathcal{R}$  The  $\{\mapsto\}$  notation denotes substitution for variables, where bound variables of  $R_f$  are renamed to avoid capturing free variables of the  $T_i$ .

With user-defined relation types, it is possible for a user to write **R**-exprs that are circularly defined in terms of themselves or one another (similarly to a let rec construction in functional languages). Indeed, a Dyna program often does this. In this case, the definition of  $[\![\cdot]\!]_E$  is no longer a well-founded inductive definition. Nonetheless, we can still interpret the  $[\![\cdot \cdot \cdot]\!]_E = \cdots$  equations in the numbered points above as *constraints* on  $[\![\cdot]\!]_E$ , and attempt to solve for a semantic interpretation function  $[\![\cdot]\!]_E$  that satisfies these constraints [4].

### 3 Rewrite Rules

Where the previous section gave a denotational semantics for **R**-exprs, we now sketch an operational semantics. The basic idea is that we can use rewrite rules to simplify an **R**-expr until it is either a finite materialized relation—a list of tuples—or has some other convenient form. All of our rewrite rules are semantics-preserving, but some may be more helpful than others. For some **R**-exprs that involve infinite bag relations, there may be no way to eliminate all built-in constraints or aggregation operations. The reduced form then includes delayed constraints (just as in constraint logic programming) or delayed aggregators. Even so, conjoining this reduced form with a query can permit further simplification; therefore, some queries may still yield simple answers.

#### 3.1 Finite Materialized Relations

We may express the finite bag relation shown at left by a simple **sum-of-products R**-expr, shown at the right. In this example, the ground values being related are integers.

$$g = \begin{bmatrix} \frac{\mathsf{X}_1 \ \mathsf{X}_2}{1 \ 1} \\ 2 \ 6 \\ 2 \ 7 \\ 2 \ 7 \\ 5 \ 7 \end{bmatrix} \xrightarrow{\text{to } \mathbf{R} - \exp \mathbf{r}} \begin{cases} & & & & & & & & & \\ & (\ \mathsf{X}_1 = 1) * (\mathsf{X}_2 = 1) \\ & & + (\mathsf{X}_1 = 2) * (\mathsf{X}_2 = 6) \\ & & + (\mathsf{X}_1 = 2) * (\mathsf{X}_2 = 7) \\ & & + (\mathsf{X}_1 = 2) * (\mathsf{X}_2 = 7) \\ & & + (\mathsf{X}_1 = 5) * (\mathsf{X}_2 = 7) \end{pmatrix}$$
 (1)

We see that each individual row of the table (tuple) translates into a product (\*) of several (Variable = value) expressions, where the variable is the column's name and the value is the cell in the table. To encode multiple rows, the **R**-expr is simply the sum (+) of the **R**-exprs for the individual rows. When evaluated in a given environment, the **R**-expr is a sum of products of multiplicities. But abstracting over possible environments, it represents a union of Cartesian products of 1-tuples, yielding a bag relation.

We may use this **R**-expr as the basis of a new user-defined **R**-expr type g (case 9 of § 2) by taking its definition  $R_g$  to be this **R**-expr. Our **R**-exprs can now include constraints such as g(A,B) or g(A,7). When adding a new case in the denotational semantics in this way, we always match it in the operational semantics by introducing a corresponding rewrite rule  $g(X_1, X_2) \to R_g$ .

A sum-of-products  $\mathbf{R}$ -expr simply enumerates the tuples of a bag relation, precisely as a boolean expression in disjunctive normal form (that is, an "or-of-ands" expression) enumerates the satisfying assignments. Just as in the boolean case, a summand does not have to constrain every variable: it may have "don't care" elements. For example, (A=1)\*(B=1)+(A=2) describes an infinite relation because the second disjunct A=2 is true for any value of B.

## 3.2 Equality Constraints and Multiplicity Arithmetic

We may wish to query whether the relation g in the above section relates 2 to 7. Indeed it does—and twice. We may discover this by considering g(2,7), which rewrites immediately via substitution to an **R**-expr that has no variables at all  $((2=1)*(7=1)+\cdots)$ , and finding that this further reduces to the multiplicity 2.

How does this reduction work? First, we need to know how to evaluate the equality constraints: we need to rewrite  $2=1 \rightarrow 0$  but  $2=2 \rightarrow 1$ . The necessary rewrite rules are special cases of the structural unification rules, namely:

```
\begin{array}{lll} (f(\cup_1,\ldots,\cup_n)=g(\vee_1,\ldots,\vee_m)) \ \to \ \textbf{0} \ \textbf{if} \ f,g \in \mathcal{F} \ \textbf{and} \ (f,n) \neq (g,m) & \quad \text{$\triangleright$ functor clash} \\ (f(\cup_1,\ldots,\vee_n)=f(\vee_1,\ldots,\vee_n)) \ \to & \quad (\cup_1=\vee_1) \ \star \cdots \ \star \ (\cup_n=\vee_n) \ \textbf{if} \ f \in \mathcal{F} \ \textbf{and} \ n>0 \\ (f=f) \ \to \ 1 \ \textbf{if} \ f \in \mathcal{F} & \quad \quad \triangleright n=0 \ case \ of \ previous \ rule; \ includes \ constants \\ (X=T) \ \to \ \emptyset \ \textbf{if} \ X \in \mathcal{V} \ \textbf{and} \ T \in \mathcal{T} \ \textbf{and} \ T \ contains} \ X & \quad \quad \triangleright occurs \ check \end{array}
```

We now have an arithmetic expression, which we can simplify to the multiplicity 2 via rewrites that implement basic arithmetic on multiplicities  $\mathcal{M}$ :

```
M + N \rightarrow L \text{ if } M, N \in \mathcal{M} \text{ and } M+N=L
```

Above, we relied on the definition of the new relation type g, which allowed us to request a specialization of  $R_g$ . Do we need to make such a definition in order to query a given bag relation? No: we may do so by conjoining the **R**-expr with additional constraints. For example, to get the multiplicity of the pair (2,7) in  $R_g$ , we may write  $R_g*(X_1=2)*(X_2=7)$ . This filters the original relation to just the pairs that match (2,7), and simplifies to  $2*(X_1=2)*(X_2=7)$ . To accomplish this simplification, we need to use the following crucial rewrite:

```
(X=T)*R \to (X=T)*R\{X\mapsto T\} \text{ if } X\in \mathcal{V} \text{ and } T\in \mathcal{T} \\ \qquad \qquad \triangleright \textit{equality propagation}
```

As a more interesting example, the reader may consider simplifying the query  $R_g*lessthan(X_2,7)$ , which uses a built-in inequality constraint.

# 3.3 Joining Relations

Analogous to eq. (1), we define a second tabular relation f with a rewrite rule  $f(X_1, X_2) \to R_f$ .

$$f = \begin{bmatrix} X_1 & X_2 \\ 1 & 2 \\ 3 & 4 \end{bmatrix} \xrightarrow{\text{to } \mathbf{R} - \exp r} \qquad \mathsf{R}_{\mathsf{f}} = \begin{pmatrix} (\mathsf{X}_1 = 1) * (\mathsf{X}_2 = 2) \\ + (\mathsf{X}_1 = 3) * (\mathsf{X}_2 = 4) \end{pmatrix} \tag{2}$$

We can now consider simplifying the **R**-expr f(I, J) \* g(J, K), which the reader may recognize as an equijoin on f's second column and g's first column.<sup>4</sup> Notice that the **R**-expr has renamed these columns to its own free variables (I, J, K). Reusing the variable J in each factor is what gives rise to the join on the relevant column. (Compare f(I,J) \* g(J',K), which does not share the variable and so gives the Cartesian product of the f and g relations.)

We can "materialize" the equijoin by reducing it to a sum-of-products form as before, if we wish: (I=1)\*(J=2)\*(K=6) + 2\*(I=1)\*(J=2)\*(K=7)

To carry out such simplifications, we use the fact that multiplicities form a commutative semiring under + and \*. Since any **R**-expr evaluates to a multiplicity, these rewrites can be used to rearrange unions and joins of **R**-exprs Q, R, S  $\in \mathcal{R}$ :

```
1 * R \leftrightarrow R
                                                                                                        \triangleright multiplicative identity
0 * R \leftrightarrow 0
                                                                                                 \triangleright multiplicative \ annihilation
0 + R \leftrightarrow R
                                                                                                                 \triangleright additive\ identity
\infty * R \rightarrow \infty if R \in \mathcal{M} and R > 0
                                                                                                              \triangleright absorbing\ element
\infty + R \rightarrow \infty
                                                                                                              \triangleright absorbing\ element
R + S \leftrightarrow S + R;
                                                                                                                    \triangleright commutativity
Q + (R + S) \leftrightarrow (Q + R) + S; Q * (R * S) \leftrightarrow (Q * R) * S
                                                                                                                        \triangleright associativity
Q * (R + S) \leftrightarrow Q * R + Q * S
                                                                                                                       \triangleright distributivity
                                                                                                 \triangleright implicitly\ does\ M \rightarrow 1+N
R * M \rightarrow R + (R * N) if M, N \in \mathcal{M} and (1+N \rightarrow M)
R \leftrightarrow R \star R if R is a constraint
                                                                                                                 \triangleright as \ defined \ in \ \S \ 2
```

We can apply some of these rules to simplify our example as follows:

Notice that the factored intermediate form (I=1)\*((J=2)\*( (K=6)\*1 + (K=7)\*2 )) is more compact than the final sum of products, and may be preferable in some settings. In fact, it is an example of a **trie** representation of a bag relation. Like the root node of a trie, the expression partitions the bag of (I,J,K) tuples into summands according to the value of I. Each possible value of I (in this case only I=1) is multiplied by a trie representation of the bag of (J,K) tuples that can co-occur

 $<sup>^4</sup>$  This notation may be familiar from Datalog, except that we are writing the conjunction operation as  $\star$  rather than with a comma, to emphasize the fact that we are multiplying multiplicities rather than merely conjoining booleans.

with this I. That representation is a sum over possible values of J (in this case only J=2), which recurses again to a sum over possible values of K (K=6 and K=7). Finally, the multiplicities 1 and 2 are found at the leaves. A trie-shaped **R**-expr generally has a smaller branching factor than a sum-of-products **R**-expr. As a result, it is comparatively fast to query it for all tuples that strongly restrict I or (I,J) or (I,J,K), by narrowing down to the matching summand(s) at each node. For example, multiplying our example trie by the query I=5 gives an **R**-expr that can be immediately simplified to  $\emptyset$ , as the single summand (for I=1) does not match.

That example query also provides an occasion for a larger point. This trie simplification has the form (I=5)\*(I=1)\*R, an expression that in general may be simplified to 0 on the basis of the first two factors, without spending any work on simplifying the possibly large expression R. This is an example of **short-circuiting** evaluation—the same logic that allows a SAT solver or Prolog solver to backtrack immediately upon detecting a contradiction.

#### 3.4 Rewrite Rules for Built-In Constraints

Built-in constraints are an important ingredient in constructing infinite relations. While they are not the only method,<sup>5</sup> they have the advantage that libraries of built-in constraints such as plus(I,J,K) (case 2 of § 2) usually come with rewrite rules for reasoning about these constraints [6]. Some of the rewrite rules invoke opaque procedural code.

Recall that the arguments to a plus constraint are terms, typically either variables or numeric constants. Not all plus constraints can be rewritten, but a library should provide at least the following cases:

```
\begin{split} \text{plus}(\textbf{I},\textbf{J},\textbf{K}) &\rightarrow \underbrace{\mathbb{I}(\textbf{I}+\textbf{J}=\textbf{K})}_{\in \{0,1\}} \text{ if } \textbf{I},\textbf{J},\textbf{K} \in \mathbb{R} \\ \text{plus}(\textbf{I},\textbf{J},\textbf{X}) &\rightarrow (\textbf{X}=\textbf{I}+\textbf{J}) \text{ if } \textbf{I},\textbf{J} \in \mathbb{R} \text{ and } \textbf{X} \in \mathcal{V} \\ \text{plus}(\textbf{I},\textbf{X},\textbf{K}) &\rightarrow (\textbf{X}=\textbf{K}-\textbf{I}) \text{ if } \textbf{I},\textbf{K} \in \mathbb{R} \text{ and } \textbf{X} \in \mathcal{V} \\ \text{plus}(\textbf{X},\textbf{J},\textbf{K}) &\rightarrow (\textbf{X}=\textbf{K}-\textbf{J}) \text{ if } \textbf{J},\textbf{K} \in \mathbb{R} \text{ and } \textbf{X} \in \mathcal{V} \end{split}
```

The  $\mathbf{R}$ -expr  $\mathbb{R}$  = proj(J,plus(I,3,J)\*plus(J,4,K)) represents the infinite set of (I,K) pairs such that K = (I+3)+4 arithmetically. (The intermediate temporary variable J is projected out.) The rewrite rules already presented (plus a rewrite rule from § 3.5 below to handle proj) suffice to obtain a satisfactory answer to the query I=2 or K=9, by reducing either (I=2)\*R or R\*(K=9) to (I=2)\*(K=9).

On the other hand, if we wish to reduce R itself, the above rules do not apply. In the jargon, the two plus constraints within R remain as **delayed constraints**, which cannot do any work until more of their variable arguments are replaced by constants (e.g., due to equality propagation from a query, as above).

We can do better in this case with a library of additional rewrite rules that implement standard axioms of arithmetic [6], in particular the associative law.

<sup>&</sup>lt;sup>5</sup> Others are structural equality constraints and recursive user-defined constraints.

With these, R reduces to plus(I,7,K), which is a simpler description of this infinite relation. Such rules are known as **constraint propagators**. Other useful rewrite rules concerning plus include plus(0,7,Z)  $\rightarrow$  Z=Y and plus(X,Y,Y)  $\rightarrow$  X=0, since unlike the rules at the start of this section, they can make progress even on a single plus constraint whose arguments include more than one variable. Similarly, some useful constraint propagators for the lessthan relation include lessthan(J,J)  $\rightarrow$  0; the transitivity rule lessthan(I,J)\*lessthan(J,K)  $\rightarrow$  lessthan(I,J)\*lessthan(J,K)\*lessthan(I,K); and lessthan(0,I)\*plus(I,J,K)  $\rightarrow$  lessthan(0,I)\*plus(I,J,K)\*lessthan(J,K). All of these rules apply even if their arguments are variables, so they can apply early in a reduction before other rewrites have determined the values of those variables. Indeed, they can sometimes short-circuit the work of determining those values.

Like all rewrites, built-in rewrites  $R \to S$  must not change the denotation of R: they ensure  $[\![R]\!]_E = [\![S]\!]_E$  for all E. For example, lessthan(X,Y)\*lessthan(Y,X)  $\to^* \emptyset$  is semantics-preserving because both forms denote the empty bag relation.

#### 3.5 Projection

Projection is implemented using the following rewrite rules. The first two rules make it possible to push the proj(X,...) operator down through the sums and products of R, so that it applies to smaller subexpressions that mention X:

```
proj(X,R+S) \leftrightarrow proj(X,R) + proj(X,S) \Rightarrow distributivity \ over + proj(X,R*S) \leftrightarrow R*proj(X,S) if X \notin vars(R) \Rightarrow see \ also \ the \ R*\infty \ rule \ below
```

Using the following rewrite rules, we can then eliminate the projection operator from smaller expressions whose projection is easy to compute. (In other cases, it must remain as a delayed operator.) How are these rules justified? Observe that proj(X,R) in an environment E denotes the number of X values that are consistent with E's binding of R's other free variables. Thus, we may safely rewrite it as another expression that always achieves the same denotation.

```
\operatorname{proj}(X,(X=T)) \to 1 if T \in \mathcal{T} and T does not contain X \to occurs\ check \operatorname{proj}(A,(A=\operatorname{sum}(X,R))) \to 1 if A \notin \operatorname{vars}(R) \to \operatorname{cardinality}\ of\ an\ aggregated\ variable \operatorname{proj}(X,R) \to R \star \infty if X \notin \operatorname{vars}(R) \to \operatorname{cardinality}\ of\ a\ unconstrained\ variable \operatorname{proj}(X,\operatorname{bool}(X)) \to 2 \to \operatorname{cardinality}\ of\ a\ variable\ given\ a\ certain\ unary\ constraint \operatorname{proj}(X,\operatorname{int}(X)) \to \infty \to \operatorname{cardinality}\ of\ a\ variable\ given\ a\ certain\ unary\ constraint \operatorname{proj}(X,\operatorname{proj}(Y,\operatorname{nand}(X,Y))) \to 3 \to \operatorname{card}\ of\ a\ pair\ given\ a\ certain\ binary\ constraint As a simple example, let us \operatorname{project}\ column\ K out of the table \operatorname{g}(J,K) from eq. (1).
```

When multiple projection operators are used, we may push them down independently of each other, since they commute:

```
proj(X, proj(Y, R)) \rightarrow proj(Y, proj(X, R))
```

#### 3.6 Aggregation

The simple count aggregator from § 2 is implemented with the following rewrite rules, which resemble those for proj:

```
 \begin{tabular}{lll} $\mathsf{M}$=$count(R+S)$ $\to$ $\mathsf{proj}(L,(L=count(R)) * \mathsf{proj}(N,(N=count(S)) * \mathsf{plus}(L,N,M))))) \\ $\mathsf{M}$=$count(R*S)$ $\to$ $\mathsf{proj}(L (L=count(R)) * \mathsf{proj}(N,(N=count(S)) * times(L,N,M))))) \\ $\mathbf{if} \ \mathrm{vars}(R) \cap \mathrm{vars}(S) = \emptyset \\ $\mathsf{M}$=$count(R)$ $\to$ $(M=1)*R $\mathbf{if} $R$ is any constraint $\mathbf{and} $\mathsf{M} \notin \mathrm{vars}(R)$ \\ \end{tabular}
```

In the first two rules, L and N are new variables introduced by the right-hand side of the rule. (When the rewrite rule is applied, they will as usual be renamed if necessary to avoid capturing free variables in the arguments to the left-hand side; this is standard.) The third rule covers many cases: R could be X=T or A=sum(X,S), or a built-in such as plus(I,J,K) and bool(X). These are all constraints in the sense of § 2.

The following rewrite rules implement sum. (The rules for other aggregation operators are isomorphic.) The usual strategy is to rewrite A=sum(X,R) as a chain of plus constraints that maintain a running total. The following rules handle cases where R is expressed as a union of 0, 1, or 2 bag relations, respectively. (A larger union can be handled as a union of 2 relations, e.g., (Q+R)+S.)

```
 \begin{array}{lll} \text{A=sum}(\textbf{X}, \ \emptyset) \ \rightarrow \ (\text{A=id}_{\text{sum}}) \\ \text{A=sum}(\textbf{X}, \ (\textbf{X=T})) \ \rightarrow \ (\text{A=T}) \ \textbf{if} \ \textbf{T} \in \mathcal{T} \ \textbf{and} \ \textbf{T} \ \text{does not contain} \ \textbf{X} & \rhd occurs \ check \\ \text{A=sum}(\textbf{X}, \ \textbf{R+S}) \ \rightarrow \ \text{proj}(\textbf{B}, \ (\textbf{B=sum}(\textbf{X}, \textbf{R})) \ * \ \text{proj}(\textbf{C}, \ (\textbf{C=sum}(\textbf{X}, \textbf{S})) \ * \ \text{plus}(\textbf{B}, \textbf{C}, \textbf{A}))) \\ \end{array}
```

The second rule above handles only one of the base cases of 1 bag relation. We must add rules to cover other base cases, such as these: $^6$ 

```
A=sum(X, (X=sum(Y,R))) \rightarrow (A=sum(Y,R)) if X \notin vars(R)
A=sum(X, (X=min(Y,R))) \rightarrow (A=min(Y,R)) if X \notin vars(R)
```

Most important of all is this case, which is analogous to the second rule of § 3.5 and is needed to aggregate over sum-of-products constructions:

```
A=sum(X,R*S) \leftrightarrow plus\_copies(R,B,A)*(B=sum(X,S)) if X \notin vars(R)
```

Here,  $plus\_copies(M,B,A)$  for  $M \in \mathcal{M}$  constrains A to be the aggregation of  $M \in \mathcal{M}$  copies of the aggregated value B. The challenge is that in the general case we actually have  $plus\_copies(R,B,A)$ , so the multiplicity M may vary with the free variables of R. The desired denotational semantics are

```
\begin{split} & [\![\mathsf{plus\_copies}(\mathsf{R},\mathsf{B},\mathsf{A})]\!]_E = \ \mathbf{if} \ [\![\mathsf{R}]\!]_E \cdot [\![\mathsf{B}]\!]_E = [\![\mathsf{A}]\!]_E \ \mathbf{then} \ 1 \ \mathbf{else} \ 0 \\ & [\![\mathsf{min\_copies}(\mathsf{R},\mathsf{B},\mathsf{A})]\!]_E = \ \mathbf{if} \ ([\![\mathsf{R}]\!]_E = 0 \ \mathbf{and} \ [\![\mathsf{A}]\!]_E = \mathsf{id}_{\min}) \\ & \mathbf{or} \ ([\![\mathsf{R}]\!]_E > 0 \ \mathbf{and} \ [\![\mathsf{A}]\!]_E = [\![\mathsf{B}]\!]_E) \ \mathbf{then} \ 1 \ \mathbf{else} \ 0 \end{split} where \mathsf{R} \in \mathcal{R} and \mathsf{B}, \mathsf{A} \in \mathcal{T}
```

where we also show the interesting case of  $min\_copies(M,B,A)$ , which is needed to help define the min aggregator. We can implement these by the rewrite rules

<sup>&</sup>lt;sup>6</sup> As in § 3.5, we could also include rewrites for certain aggregations that have a known closed-form result, such as certain series sums.

```
\begin{aligned} & sum\_copies(R,B,A) \rightarrow proj(M,(M=count(R))*times(M,B,A)) \\ & min\_copies(R,B,A) \rightarrow proj(M,(M=count(R))*((M=0)*(A=id_{min})*lessthan(\emptyset,M)*(A=B))) \end{aligned}
```

Identities concerning aggregation yield additional rewrite rules. For example, since multiplication distributes over  $\sum$ , summations can be merged and factored via  $(B=sum(I,R))*(C=sum(J,S))*times(B,C,A) \leftrightarrow A=sum(K,R*S*times(I,J,K))$  provided that  $I \in vars(R), J \in vars(S), K \notin vars(R*S)$ , and  $vars(R) \cap vars(S) = \emptyset$ . Other distributive properties yield more rules of this sort. Moreover, projection and aggregation operators commute if they are over different free variables.

To conclude this section, we now attempt aggregation over infinite streams. We wish to evaluate A=exists(B,proj(I,peano(I)\*myconstraint(I)\*(B=true))) to determine whether there exists any Peano numeral that satisfies a given constraint. Here exists is the aggregation operator based on the binary or operation.

peano(I) represents the infinite bag of Peano numerals, once we define a user constraint via the rewrite rule peano(I)  $\rightarrow$  (X=zero) + proj(J,(I=s(J))\*peano(J)). Rewriting peano(I) provides an opportunity to apply the rule again (to peano(J)). After  $k \geq 0$  rewrites we obtain a representation of the original bag that explicitly lists the first k Peano numerals as well as a continuation that represents all Peano numerals  $\geq k$ :

$$\rightarrow \text{ (X=zero) + } \cdots \text{ + } \text{ (X=}\underbrace{\text{S}(\cdots\text{S}(\text{zero})\cdots)) + }_{k-1 \text{ times}} \text{ proj}(\text{J},(\text{ X=}\underbrace{\text{S}(\cdots\text{S}(\text{J})\cdots)))*peano}(\text{J})$$

Rewriting the exists query over this (k+1)-way union results in a chain of k or constraints. If one of the first k Peano numerals in fact satisfies myconstraint, then we can "short-circuit" the infinite regress and determine our answer without further expanding the continuation, thanks to the useful rewrite or(true, C, A)  $\rightarrow$  (A=true), which can apply even while C remains unknown.

In general, one can efficiently aggregate a function of the Peano numerals by alternating between expanding peano to draw the next numeral from the iterator, and rewriting the aggregating  $\mathbf{R}$ -expr to aggregate the value of the function at that numeral into a running "total." If the running total ever reaches an absorbing element a of the aggregator's binary operation—such as true for the or operation—then one will be able to simplify the expression to  $\mathbf{A} = a$  and terminate. We leave the details as an exercise.

## 4 Translation of Dynabases to **R**-exprs

The translation of a Dyna program to a single recursive  $\mathbf{R}$ -expr can be performed mechanically. We will illustrate the basic construction on the small contrived example below. We will focus on the first three rules, which define f in terms of g. The final rule, which defines g, will allow us to take note of a few subtleties.

```
12 f(X) += X*X.

13 f(4) += 3.

14 f(X) += g(X,Y).

15 g(4*C,Y) += C-1 for Y > 99.
```

Recall that a Dyna program represents a set of key-value pairs. is(Key, Val) is the conventional name for the key-value relation. The above program translates into the following user-defined constraint, which recursively invokes itself when the value of one key is defined in terms of the values of other keys.

Each of the 4 Dyna rules translates into an **R**-expr (as indicated by the comments above) that describes a bag of (Key,Result) pairs of ground terms. In each pair, Result represents a possible ground value of the rule's body (the Dyna expression to the right of the aggregator +=), and Key represents the corresponding grounding of the rule head (the term to the left of the aggregator), which will receive Result as an aggregand. Note that the same (Key,Result) pair may appear multiple times. Within each rule's **R**-expr, we project out the variables such as X and Y that appear locally within the rule, so that the **R**-expr's free variables are only Key and Result.<sup>7</sup>

Dyna mandates in-place evaluation of Dyna expressions that have values [4]. For each such expression, we create a new local variable to bind to the result. Above, the expressions such as X\*X, g(X,Y), 4\*C, and C-1 were evaluated using times, is, times, and minus constraints, respectively, and their results were assigned to new variables Result, Result, Temp, and Result. Importantly, g(X,Y) refers to a key of the Dyna program itself, so the Dyna program translated into an is constraint whose definition recursively invokes is(g(X,Y),Result).

The next step is to take the bag union (+) of these 4 bag relations. This yields the bag of all (Key,Result) pairs in the program. Finally, we wrap this combined bag in Val=sum(Result,...) to aggregate the Results for each Key into the Val for that key. This yields a set relation with exactly one value for each key. For Key=f(4), for example, the first and second rules will each contribute one Result, while the third rule will contribute as many Results as the map has keys of the form g(4,Y).

We use sum as our aggregation operator because all rules in this program specify the += aggregator. One might expect sum to be based on the binary operator that is implemented by the plus builtin, as described before, with identity element  $id_{sum} = 0$ . There is a complication, however: in Dyna, a Key that has

The salways legal to project out the local variables at the top level of the rule, e.g., proj(X,proj(Y,...)) for rule 3. However, we have already seen rewrite rules that can narrow the scope of proj(Y,...) to a sub-R-expr that contains all the copies of Y. Here we display the version after these rewrites have been done.

<sup>&</sup>lt;sup>8</sup> The reader should be able to see that the third Dyna rule will contribute infinitely many copies of ∅, one for each Y > 99. This is an example of multiplicity ∞. Fortunately, plus\_copies (invoked when rewriting the sum aggregation operator) knows that adding up any positive number of ∅ terms—even infinitely many— will give ∅.

no Results should not in fact be paired with Val=0. Rather, this Key should not appear as a key of the final relation at all! To achieve this, we augment  $\mathcal{F}$  with a new constant null (similar to Prolog's no), which represents "no results." We define  $id_{sum} = null$ , and we base sum on a modified version of plus for which null is indeed the identity (so the constraints plus(null,X,X) and plus\_copies(0,X,null) are both true for all X). All aggregation operators in Dyna make use of null in this way. Our Val=sum(Result,...) relation now obtains null (rather than 0) as the unique Val for each Key that has no aggregands. As a final step in expressing a Dyna program, we always remove from the bag all (Key, Val) pairs for which Val=null, by conjoining with a notnull(Val) constraint. This is how we finally obtain the **R**-expr above for is(Key, Val).

To query the Dyna program for the value of key f(4), we can reduce the expression is(f(4), Val), using previously discussed rewrite rules. We can get as far as this before it must carry out its own query g(4, Y):

where the local variable C captures the total contribution from rule 3, and 19 is the total contribution of the other rules. To reduce further, we now recursively expand is(g(4,Y),Result) and ultimately reduce it to Result=0 (meaning that g(4,Y) turns out to have value 0 for all ground terms Y). proj(Y,Result=0) reduces to  $(Result=0)*\infty$ —a bag relation with an infinite multiplicity—but then  $C=sum(Result,(Result=0)*\infty)$  reduces to C=0 (via plus\_copies, as footnote 8 noted). The full expression now easily reduces to Val=19, the correct answer.

What if the Dyna program has different rules with different aggregators? Then our translation takes the form

```
 is(Key,Val) \rightarrow Val=only(Val1, \quad (Val1=sum(Result, RSum)) \\ + \quad (Val1=min(Result, RMin)) \\ + \quad (Val1=only(Result, REq)) \\ + \quad \dots \qquad ) * notnull(Val)
```

where RSum is the bag union of the translated += rules as in the previous example, RMin is the bag union of the translated min= rules, REq is the bag union of the translated = rules, and so on. The new aggregation operator only is based on a binary operator that has identity  $id_{only} = null$  and combines any pair of non-null values into error. For each Key, therefore, Val is bound to the aggregated result Val1 of the *unique* aggregator whose rules contribute results to that key. If multiple aggregators contribute to the same key, the value is error.

A Dyna program may consist of multiple *dynabases* [4]. Each dynabase defines its own key-value mapping, using aggregation over only the rules in that dynabase, which may refer to the values of keys in other dynabases. In this case, instead of defining a single constraint is as an **R**-expr, we define a different named constraint for each dynabase as a different **R**-expr, where each of the **R**-exprs may call any of these named constraints.

<sup>&</sup>lt;sup>9</sup> A Dyna program is also supposed to give an error value to the key a if the program contains both the rules a = 3 and a = 4, or the rule a = f(X) when both f(0) and f(1) have values. So we also used only above as the aggregation operator for = rules.

# 5 Conclusions and Ongoing Work

We have shown how to algebraically represent the bag-relational semantics of any program written in a declarative logic-based language like Dyna. A query against a program can be evaluated by joining the query to the program and simplifying the resulting algebraic expression with appropriate term rewriting rules. It is congenial that this approach allows evaluation to flexibly make progress, propagate information through the expression, exploit arithmetic identities, and remove irrelevant subexpressions rather than wasting possibly infinite work on them.

In ongoing work, we are considering methods for practical interpretation and compilation of rewrite systems. Our goal is to construct an evaluator that will "learn to run fast" [9] by constructing or discovering reusable strategies for reducing expressions of frequently encountered sorts. In the case of cyclic rewrite systems, the system should be able to guess portions of a solution and then verify that the guesses are consistent with the rewrite rules. A forward chaining mechanism can be used to invalidate or correct inconsistent guesses, as is common in Datalog, and this mechanism can also be used for change propagation when the program or the query is externally updated [5].

**Acknowledgements.** This material is based upon work supported by the National Science Foundation under Grant No. 1629564. We thank Scott Smith for helpful comments, and the WRLA program chairs Santiago Escobar and Narciso Martí Oliet for allowing generous time to revise the paper.

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