

# CS 106B

## Lecture 11: Sorting

Friday, October 21, 2016

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Programming Abstractions

Fall 2016

Stanford University

Computer Science Department

Lecturer: Chris Gregg

reading:

Programming Abstractions in C++, Section 10.2



# Today's Topics

- Logistics
  - We will have a midterm review, TBA
  - Midterm materials (old exams, study guides, etc.) will come out next week.
- Throwing a string error
- Recursive != exponential computational complexity
- Sorting
  - Insertion Sort
  - Selection Sort
  - Merge Sort
  - Quicksort
  - Other sorts you might want to look at:
    - Radix Sort
    - Shell Sort
    - Tim Sort
    - Heap Sort (we will cover heaps later in the course)
    - Bogosort



# Throwing an Exception

- For the Serpinski Triangle option in MetaAcademy assignment says, "*If the order passed is negative, your function should throw a string exception.*"
- What does it mean to "throw a string exception?"
- An "exception" is your program's way of pulling the fire alarm — something drastic happened, and your program does not like it. In fact, if an exception is not "handled" by the rest of your program, it crashes! It is not a particularly graceful way to handle bad input from the user.
- If you were going to use your Serpinski function in a program you wrote, you would want to check the input before calling the function — in other words, make sure your program never creates a situation where a function needs to throw an exception.



# Throwing an Exception

- To throw an exception:

```
throw("Illegal level: Serpinski level must be non-negative.");
```

- This will crash the program.
- You can "catch" exceptions that have been thrown by other functions, but that is beyond our scope — see here for details: [https://www.tutorialspoint.com/cplusplus/cpp\\_exceptions\\_handling.htm](https://www.tutorialspoint.com/cplusplus/cpp_exceptions_handling.htm)



(that is a creepy hand)



# Recursion != Exponential Computational Complexity

- In the previous lecture, we discussed the recursive fibonacci function:

```
long fibonacci(int n) {  
    if (n == 0) {  
        return 0;  
    }  
    if (n == 1) {  
        return 1;  
    }  
    return fibonacci(n-1) + fibonacci(n-2);  
}
```

- This function happens to have exponential computational complexity because each recursive call has two additional recursions. Not all recursive functions are exponential. What is the complexity of the following?

```
long factorial(int n) {  
    if (n == 0) {  
        return 1;  
    }  
    return n * factorial(n-1);  
}
```

- Answer:  $O(n)$
- There is only one more recursive call for each additional  $n$ .

- For the midterm:
  - You should be able to determine basic Big-O for non-recursive functions, and we will cover more examples in detail.



# Big-O Warmup

```
// What is the Big-O of the following?  
void mysteryFunc(Vector<int>& v) {  
    int n = v.size();  
    for (int i = 1; i <= n; i++) {  
        for (int j = 0; j < i; j++) {  
            cout << "CS 106B Rocks (Trees?)" << endl;  
        }  
    }  
}
```

How many times does the cout happen?

i	1	2	3	...	n
couts	1	2	3	...	n

total couts:	$1+2+3+\dots+n$



# Big-O Warmup

total couts:	$1+2+3+\dots+n$
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What is this function?

$$1 + 2 + 3 + \dots + n = \frac{n \times (n + 1)}{2}$$
$$= \frac{n^2 + n}{2} = \boxed{\frac{n^2}{2} + \frac{n}{2}} \quad O(n^2)$$



# Sorting!

- In general, sorting consists of putting elements into a particular order, most often the order is numerical or lexicographical (i.e., alphabetic).
- In order for a list to be sorted, it must:
  - be in nondecreasing order (each element must be no smaller than the previous element)
  - be a permutation of the input



# Sorting!

- Sorting is a well-researched subject, although new algorithms do arise (see Timsort, from 2002)
- Fundamentally, *comparison* sorts at best have a complexity of  **$O(n \log n)$** .
- We also need to consider the space complexity: some sorts can be done in place, meaning the sorting does not take extra memory. This can be an important factor when choosing a sorting algorithm!

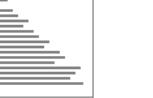
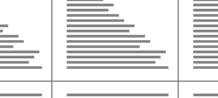
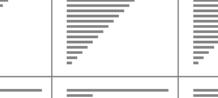
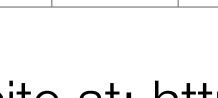


(must sort)



# Sorting!

- In-place sorting can be “stable” or “unstable”: a stable sort retains the order of elements with the same key, from the original unsorted list to the final, sorted, list
- There are some phenomenal online sorting demonstrations: see the “Sorting Algorithm Animations” website:
  - <http://www.sorting-algorithms.com>, or the animation site at: <http://www.cs.usfca.edu/~galles/visualization/ComparisonSort.html> or the cool “15 sorts in 6 minutes” video on YouTube: <https://www.youtube.com/watch?v=kPRA0W1kECg>

	 Insertion	 Selection	 Bubble	 Shell	 Merge	 Heap	 Quick	 Quick3
								
								
								
								



# Sorts

- There are many, many different ways to sort elements in a list.  
We will look at the following:

Insertion Sort  
Selection Sort  
Merge Sort  
Quicksort



# Sorts

Insertion Sort  
Selection Sort  
Merge Sort  
Quicksort



# Insertion Sort

Insertion sort: orders a list of values by repetitively inserting a particular value into a sorted subset of the list

More specifically:

- consider the first item to be a sorted sublist of length 1
- insert second item into sorted sublist, shifting first item if needed
- insert third item into sorted sublist, shifting items 1-2 as needed
- ...
- repeat until all values have been inserted into their proper positions



# Insertion Sort

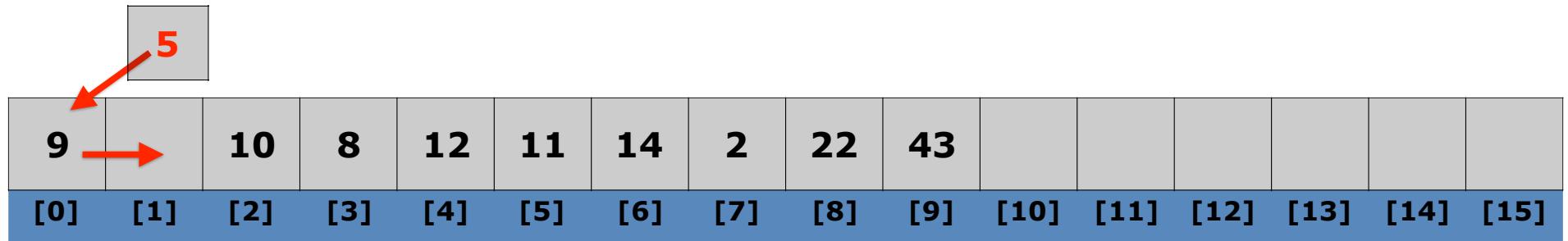
9	5	10	8	12	11	14	2	22	43						
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	[11]	[12]	[13]	[14]	[15]

Algorithm:

- iterate through the list (starting with the second element)
- at each element, shuffle the neighbors below that element up until the proper place is found for the element, and place it there.



# Insertion Sort



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# Insertion Sort

in place already (i.e., already bigger than 9)

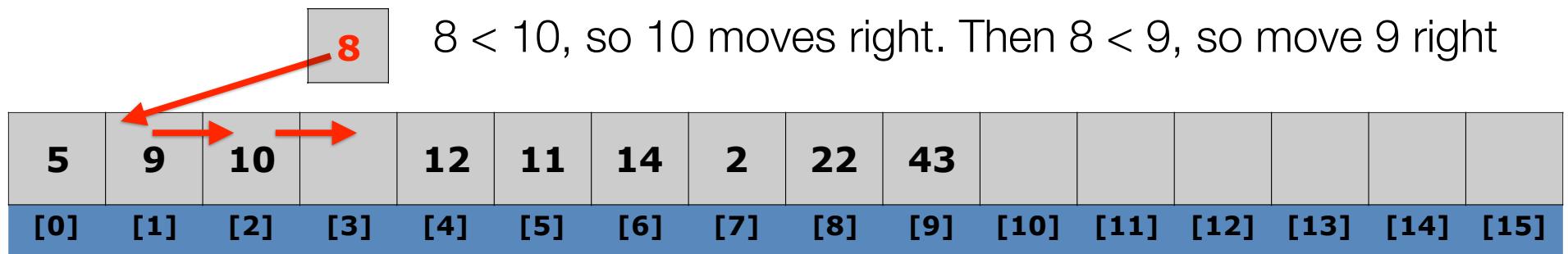
5	9	10	8	12	11	14	2	22	43						
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# Insertion Sort



Algorithm:

- iterate through the list (starting with the second element)
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# Insertion Sort

in place already (i.e., already bigger than 10)

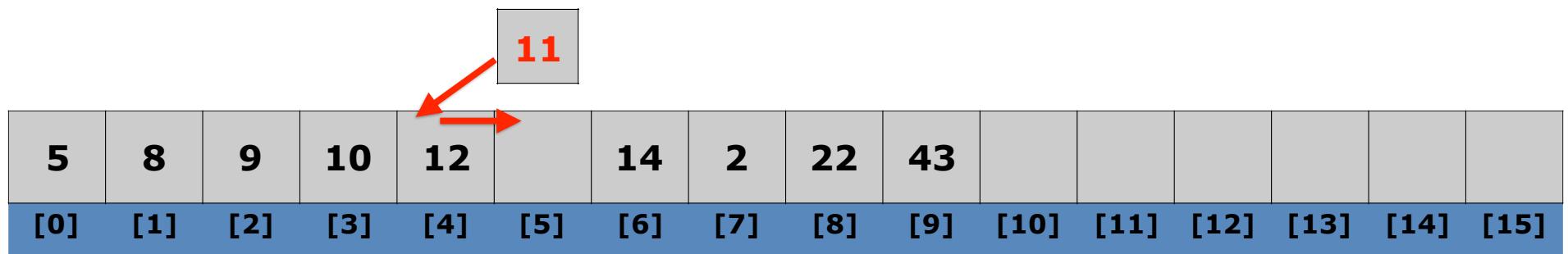
5	8	9	10	12	11	14	2	22	43						
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# Insertion Sort



Algorithm:

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# Insertion Sort

in place already (i.e., already bigger than 12)

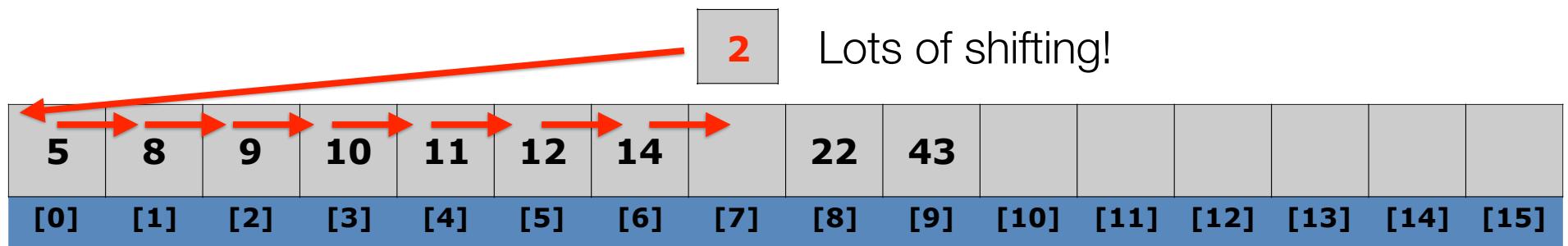
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Algorithm:

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# Insertion Sort



Algorithm:

- iterate through the list (starting with the second element)
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# Insertion Sort

Okay

2	5	8	9	10	11	12	14	22	43						
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	[11]	[12]	[13]	[14]	[15]

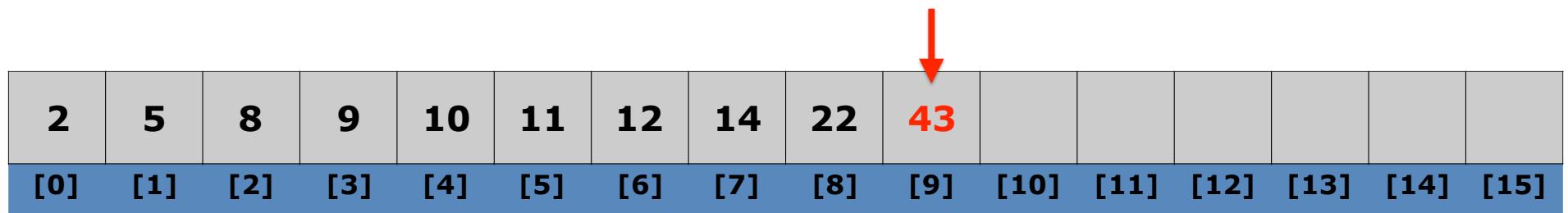
Algorithm:

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# Insertion Sort

Okay



Complexity:

Worst performance:  $O(n^2)$  (why?)

Best performance:  $O(n)$

- Average performance:  $O(n^2)$  (but very fast for small arrays!)
- Worst case space complexity:  $O(n)$  total (plus one for swapping)



# Insertion Sort Code

```
// Rearranges the elements of v into sorted order.
void insertionSort(Vector<int>& v) {
    for (int i = 1; i < v.size(); i++) {
        int temp = v[i];
        // slide elements right to make room for v[i]
        int j = i;
        while (j >= 1 && v[j - 1] > temp) {
            v[j] = v[j - 1];
            j--;
        }
        v[j] = temp;
    }
}
```



# Sorts

Insertion Sort

Selection Sort

Merge Sort

Quicksort



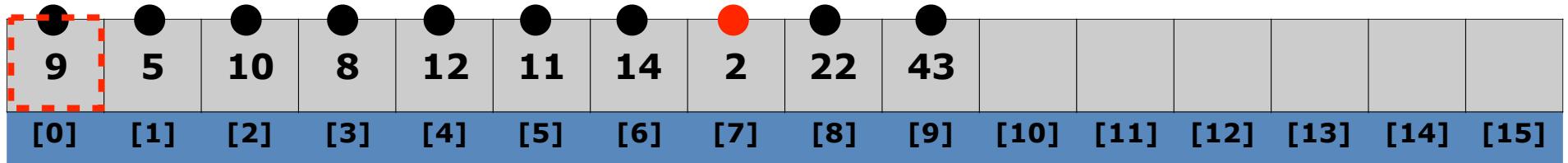
# Selection Sort

9	5	10	8	12	11	14	2	22	43						
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	[11]	[12]	[13]	[14]	[15]

- Selection Sort is another in-place sort that has a simple algorithm:
  - Find the smallest item in the list, and exchange it with the left-most unsorted element.
  - Repeat the process from the first unsorted element.
- See animation at: <http://www.cs.usfca.edu/~galles/visualization/ComparisonSort.html>



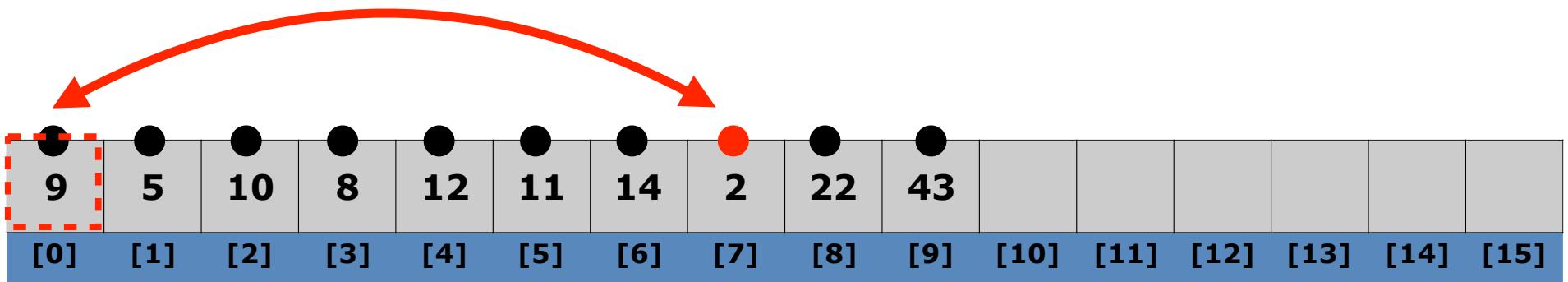
# Selection Sort



- Algorithm
  - Find the **smallest item in the list**, and exchange it with the left-most unsorted element.
  - Repeat the process from the first unsorted element.
- Selection sort is particularly slow, because it needs to go through **the entire list** each time to find the smallest item.



# Selection Sort

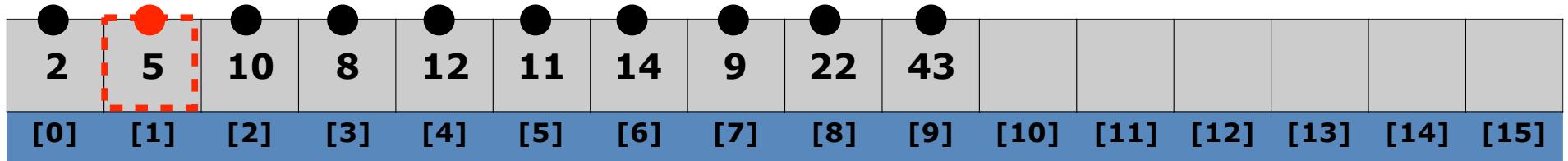


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# Selection Sort

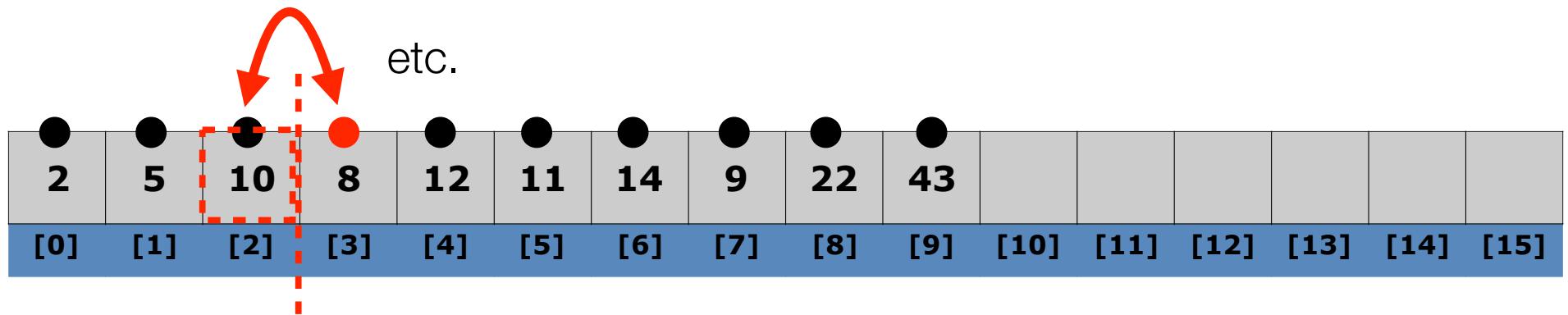
(no swap necessary)



- Algorithm
  - Find the **smallest item in the list**, and exchange it with the left-most unsorted element.
  - Repeat the process from the first unsorted element.
- Selection sort is particularly slow, because it needs to go through **the entire list** each time to find the smallest item.



# Selection Sort



- Complexity:
  - Worst performance:  $O(n^2)$
  - Best performance:  $O(n^2)$
  - Average performance:  $O(n^2)$
  - Worst case space complexity:  $O(n)$  total (plus one for swapping)



# Selection Sort Code

```
// Rearranges elements of v into sorted order
// using selection sort algorithm
void selectionSort(Vector<int>& v) {
    for (int i = 0; i < v.size() - 1; i++) {
        // find index of smallest remaining value
        int min = i;
        for (int j = i + 1; j < v.size(); j++) {
            if (v[j] < v[min]) {
                min = j;
            }
        }
        // swap smallest value to proper place, v[i]
        if (i != min) {
            int temp = v[i];
            v[i] = v[min];
            v[min] = temp;
        }
    }
}
```



# Sorts

Insertion Sort  
Selection Sort  
Merge Sort  
Quicksort



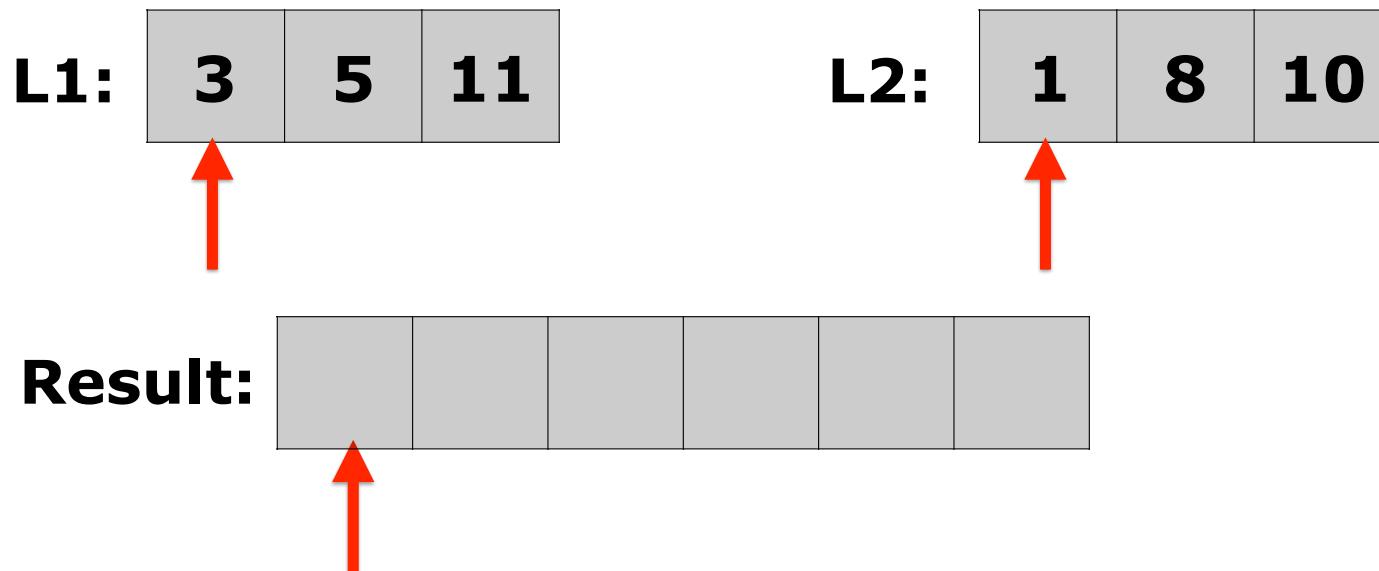
# Merge Sort

- Merge Sort is another comparison-based sorting algorithm and it is a *divide-and-conquer* sort.
- Merge Sort can be coded recursively
- In essence, you are merging sorted lists, e.g.,
- $L1 = \{3,5,11\}$     $L2 = \{1,8,10\}$
- $\text{merge}(L1,L2) = \{1,3,5,8,10,11\}$



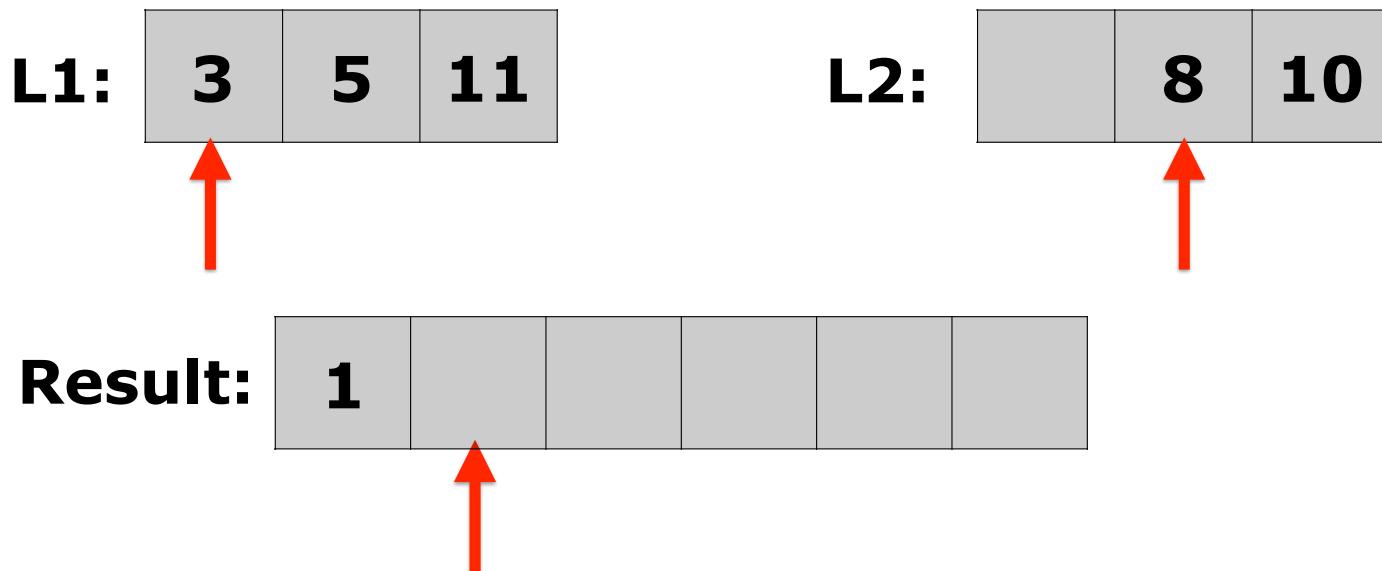
# Merge Sort

- Merging two sorted lists is easy:



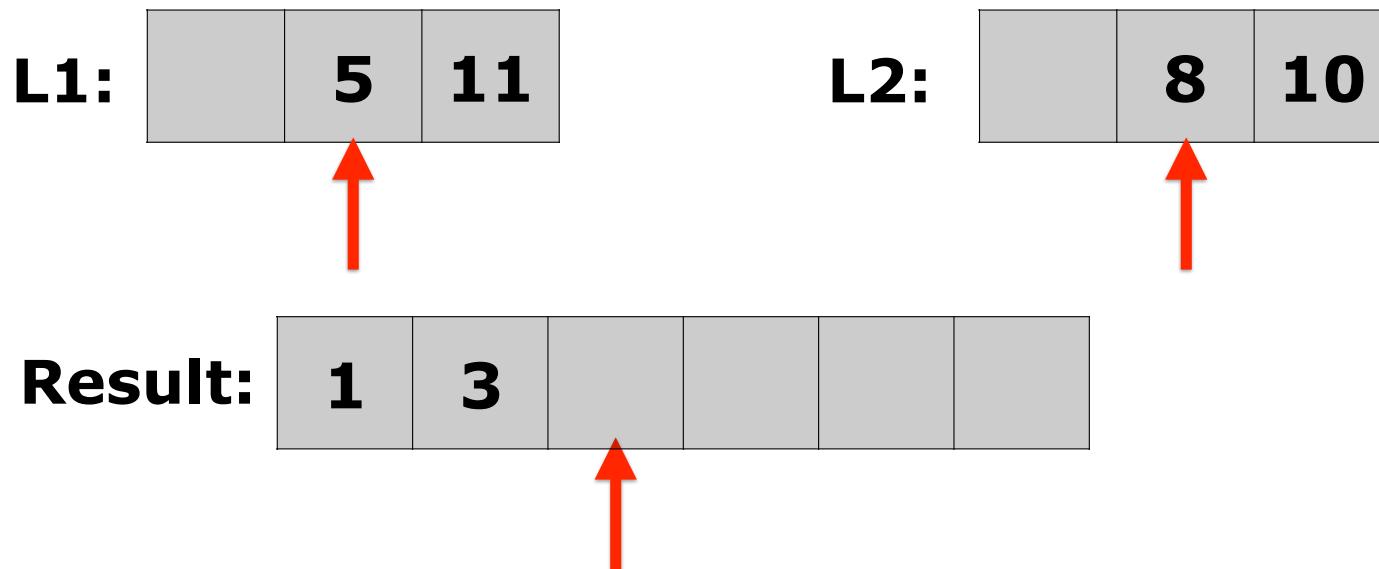
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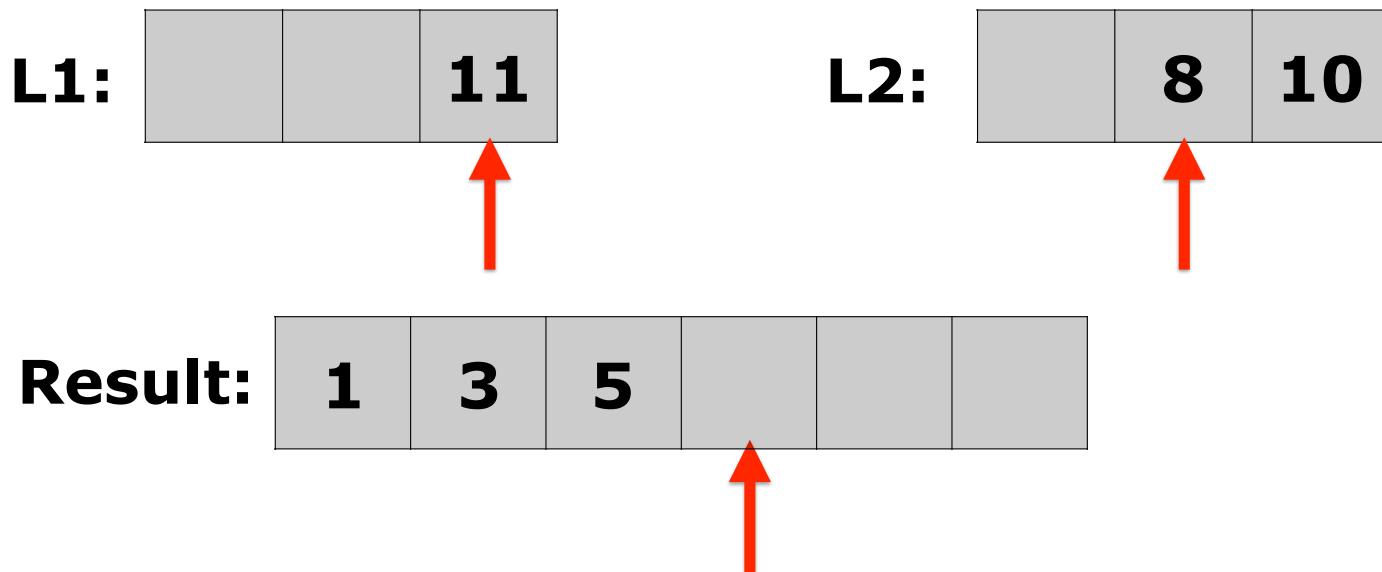
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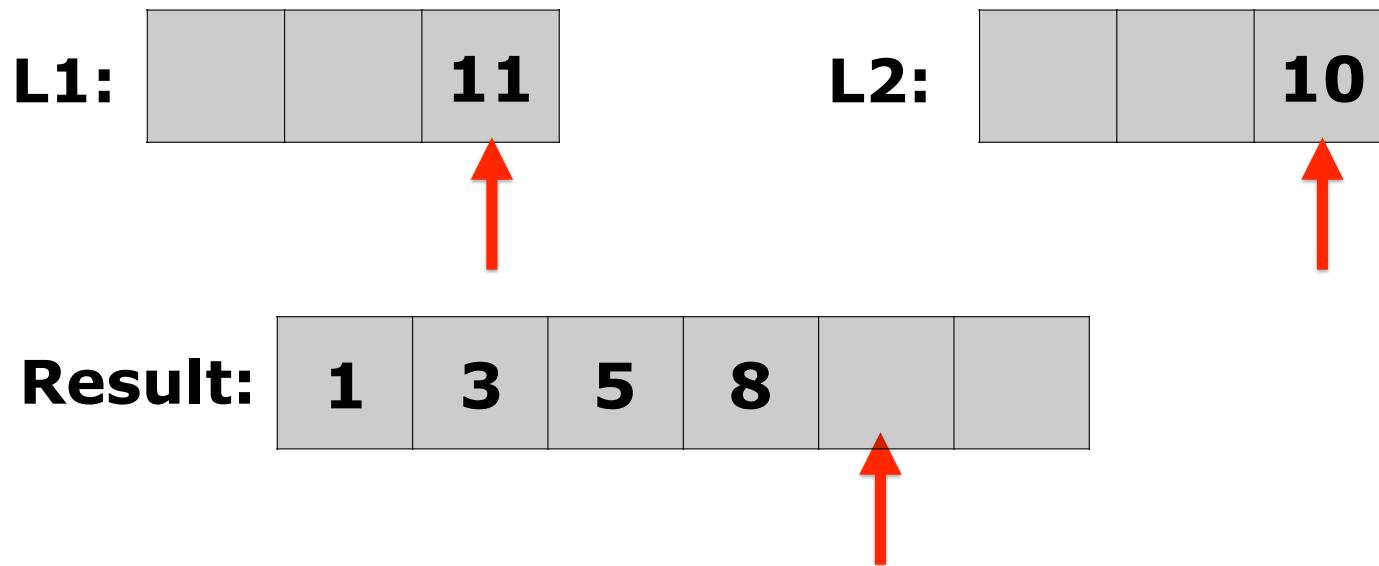
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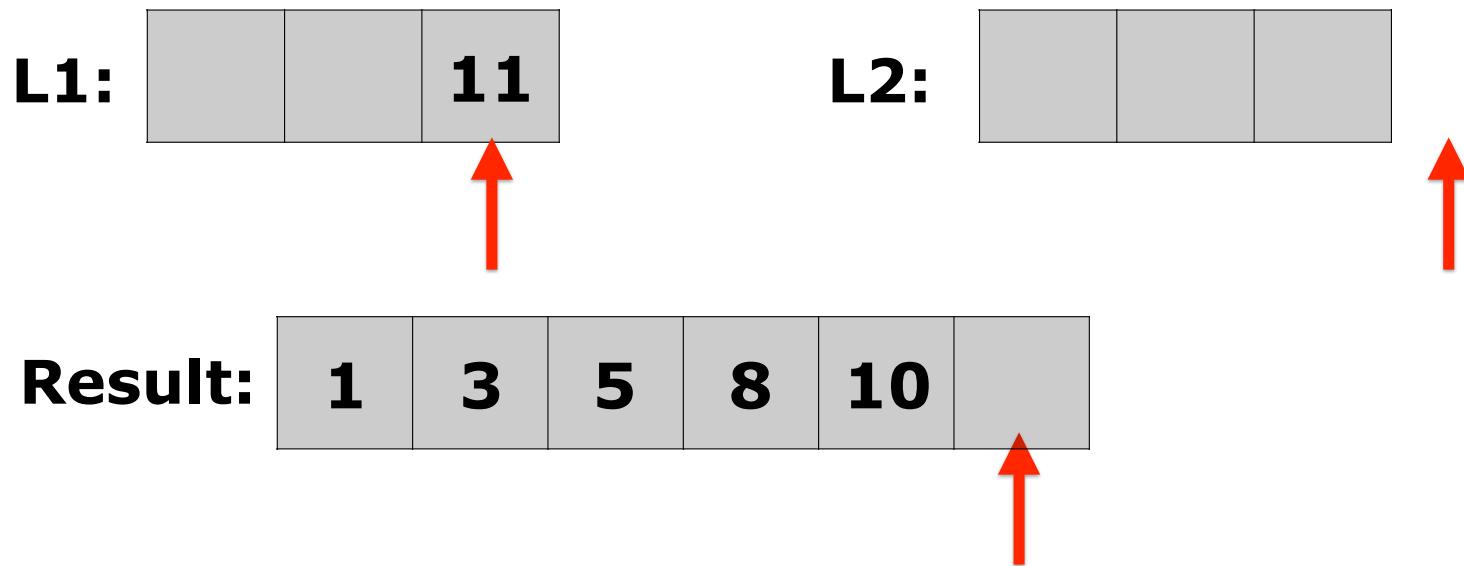
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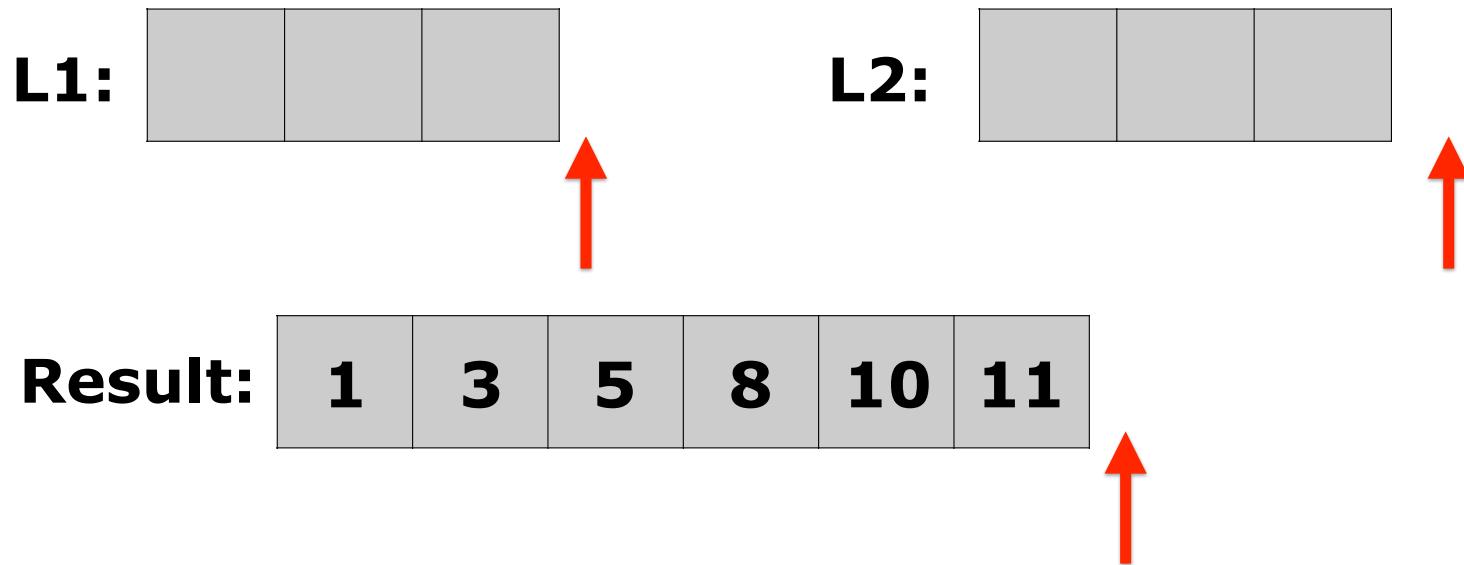
# Merge Sort

- Merging two sorted lists is easy:



# Merge Sort

- Merging two sorted lists is easy:



# Merge Sort

- Full algorithm:
  - Divide the unsorted list into  $n$  sublists, each containing 1 element (a list of 1 element is considered sorted).
  - Repeatedly merge sublists to produce new sorted sublists until there is only 1 sublist remaining. This will be the sorted list.



# Merge Sort: Full Example

<b>99</b>	<b>6</b>	<b>86</b>	<b>15</b>	<b>58</b>	<b>35</b>	<b>86</b>	<b>4</b>	<b>0</b>
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# Merge Sort: Full Example

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<b>99</b>	<b>6</b>
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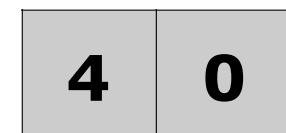
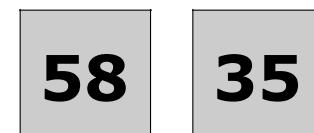
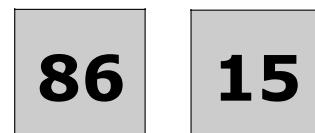
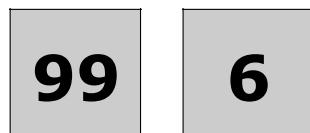
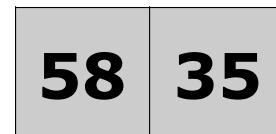
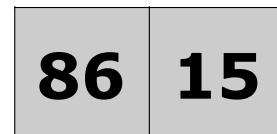
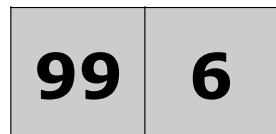
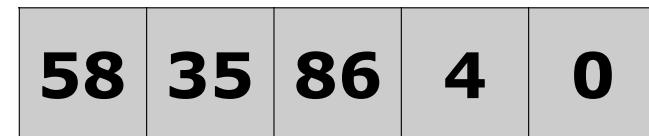
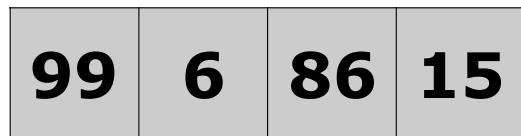
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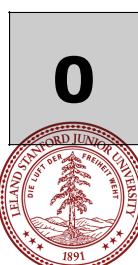
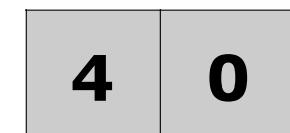
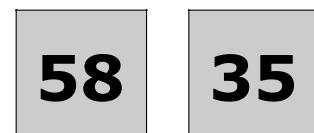
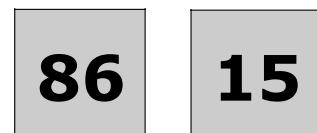
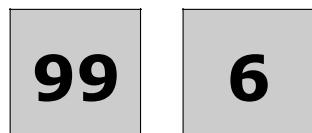
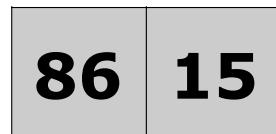
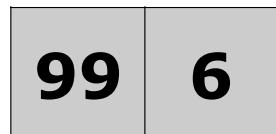
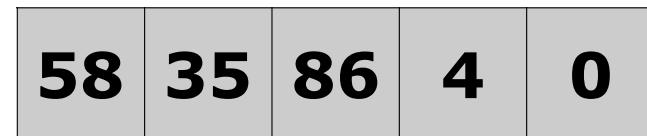
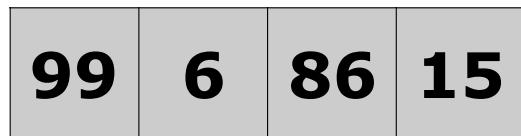
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# Merge Sort: Full Example



# Merge Sort: Full Example



# Merge Sort: Full Example



**99**

**6**

**86**

**15**

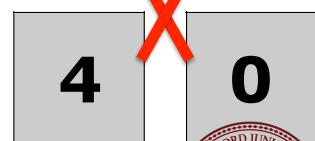
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**35**

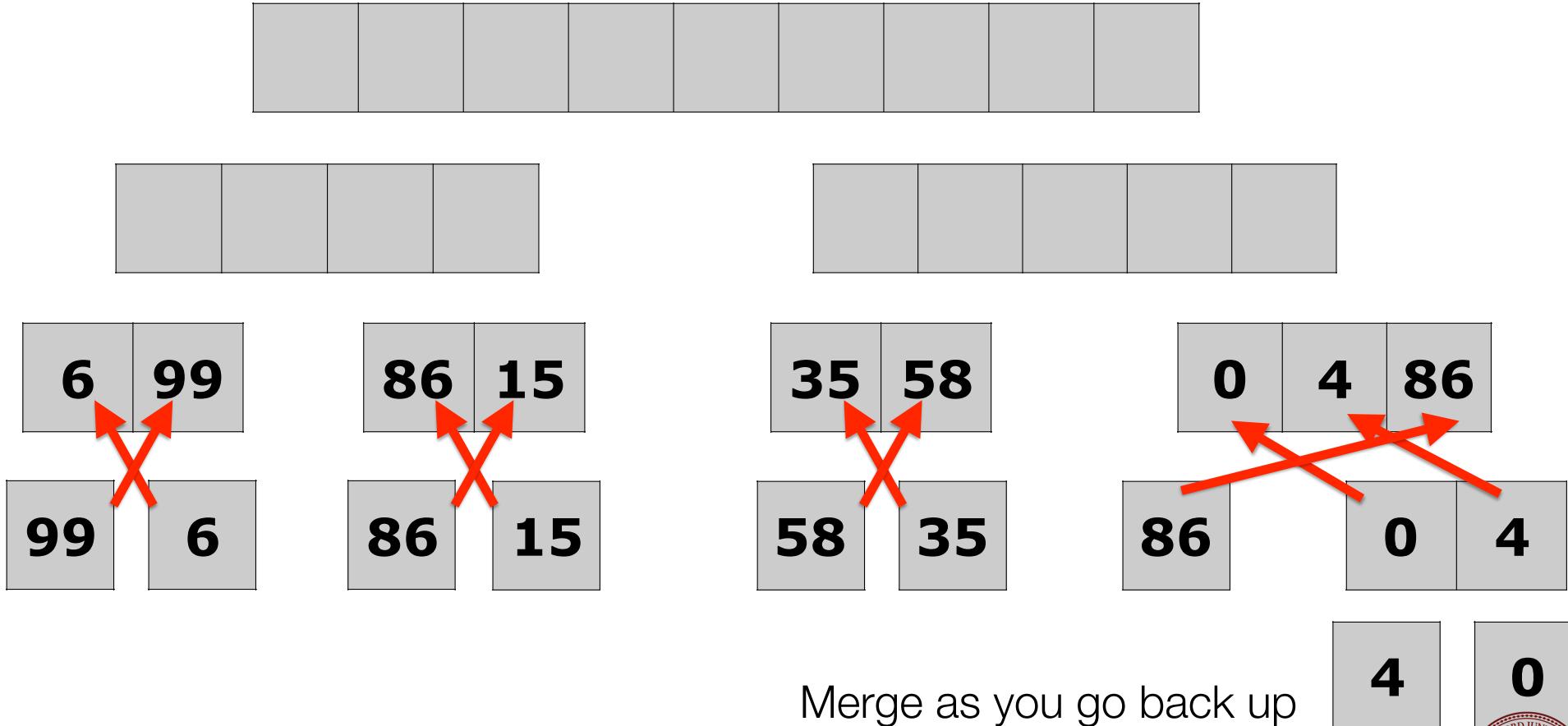
**86**

**0** **4**

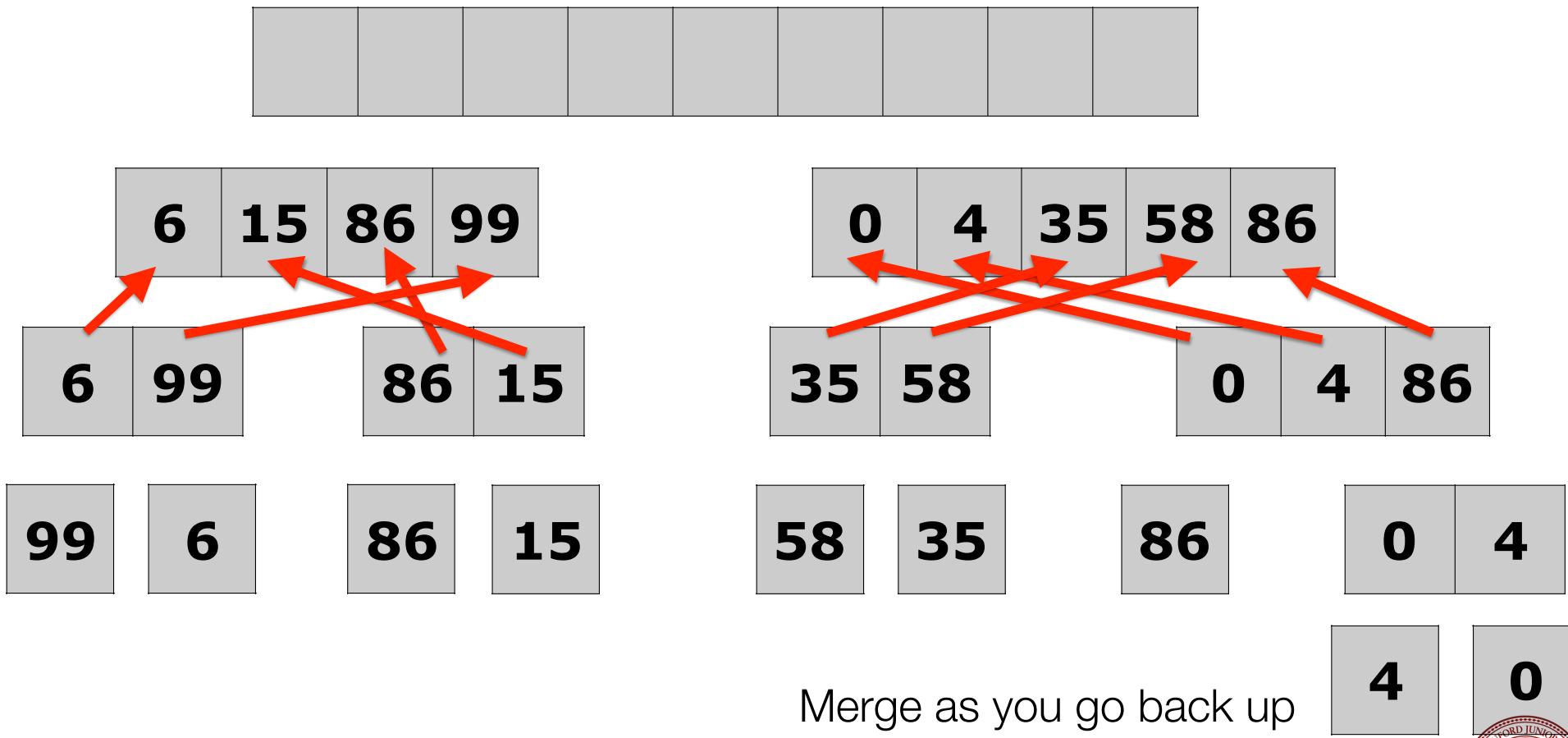
Merge as you go back up



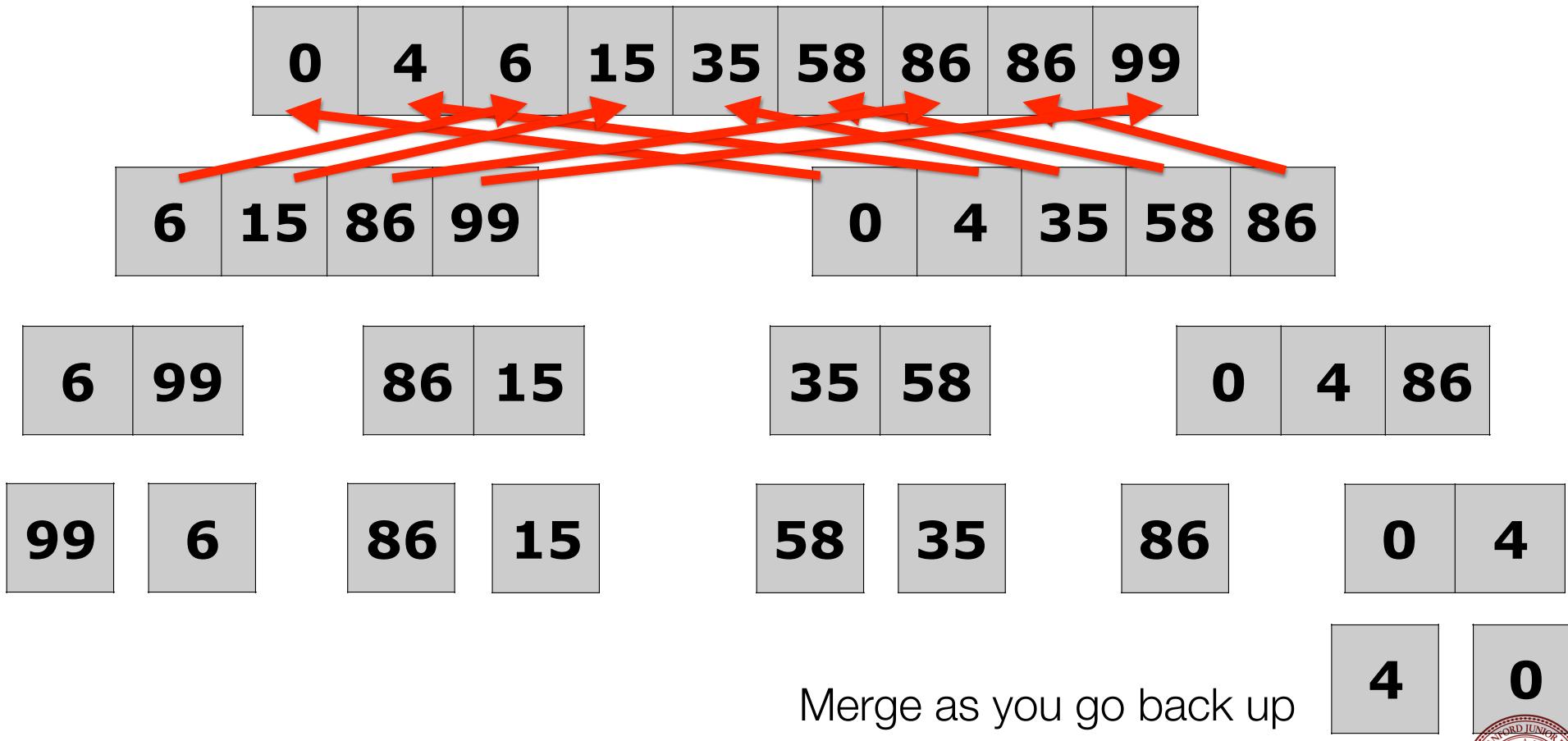
# Merge Sort: Full Example



# Merge Sort: Full Example



# Merge Sort: Full Example



# Merge Sort: Space Complexity

<b>0</b>	<b>4</b>	<b>6</b>	<b>15</b>	<b>35</b>	<b>58</b>	<b>86</b>	<b>86</b>	<b>99</b>
----------	----------	----------	-----------	-----------	-----------	-----------	-----------	-----------

- Merge Sort can be completed in place, but
  - It takes more time because elements may have to be shifted often
- It can also use “double storage” with a temporary array.
  - This is fast, because no elements need to be shifted
  - It takes double the memory, which makes it inefficient for in-memory sorts.



# Merge Sort: Time Complexity

0	4	6	15	35	58	86	86	99
---	---	---	----	----	----	----	----	----

- The Double Memory merge sort has a worst-case time complexity of  **$O(n \log n)$**  (this is great!)
- Best case is also  **$O(n \log n)$**
- Average case is  **$O(n \log n)$**
- Note: We would like you to understand this analysis (and know the outcomes above), but it is not something we will expect you to reinvent on the midterm.



# Merge Sort Code (Recursive!)

```
// Rearranges the elements of v into sorted order using
// the merge sort algorithm.
void mergeSort(Vector<int>& v) {
    if (v.size() >= 2) {
        // split vector into two halves
        Vector<int> left;
        for (int i = 0; i < v.size()/2; i++) {
            left += v[i];
        }
        Vector<int> right;
        for (int i = v.size()/2; i < v.size(); i++) {
            right += v[i];
        }
        // recursively sort the two halves
        mergeSort(left);
        mergeSort(right);
        // merge the sorted halves into a sorted whole
        v.clear();
        merge(v, left, right);
    }
}
```



# Merge Halves Code

```
// Merges the left/right elements into a sorted result.  
// Precondition: left/right are sorted  
void merge(Vector<int>& result, Vector<int>& left, Vector<int>& right) {  
    int i1 = 0;    // index into left side  
    int i2 = 0;    // index into right side  
    for (int i = 0; i < left.size() + right.size(); i++) {  
        if (i2 >= right.size()  
            || (i1 < left.size()  
                && left[i1] <= right[i2])) {  
            // take from left  
            result += left[i1];  
            i1++;  
        } else {  
            // take from right  
            result += right[i2];  
            i2++;  
        }  
    }  
}
```



# Sorts

Insertion Sort  
Selection Sort  
Merge Sort  
Quicksort



# Quicksort

- Quicksort is a sorting algorithm that is often faster than most other types of sorts.
- However, although it has an average  **$O(n \log n)$**  time complexity, it also has a worst-case  **$O(n^2)$**  time complexity, though this rarely occurs.



# Quicksort

- Quicksort is another divide-and-conquer algorithm.
- The basic idea is to **divide** a list into two smaller sub-lists: **the low elements and the high elements**. Then, the algorithm can recursively sort the sub-lists.



# Quicksort Algorithm

- **Pick an element**, called a **pivot**, from the list
- **Reorder** the list so that all elements with **values less than the pivot come before the pivot**, while all elements with values **greater than the pivot come after it**. After this partitioning, the pivot is in its final position. This is called the partition operation.
- **Recursively apply the above steps to the sub-list of elements** with smaller values and separately to the sub-list of elements with greater values.
- The **base case** of the recursion is for **lists of 0 or 1** elements, which do not need to be sorted.

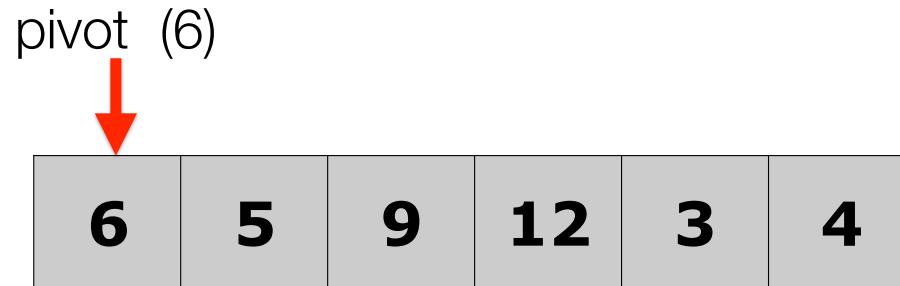


# Quicksort Algorithm

- We have two ways to perform quicksort:
  - The **naive** algorithm: create new lists for each sub-sort, leading to an overhead of  $n$  additional memory.
  - The **in-place** algorithm, which swaps elements.

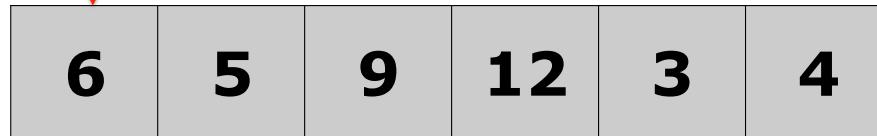


# Quicksort Algorithm: Naive



# Quicksort Algorithm: Naive

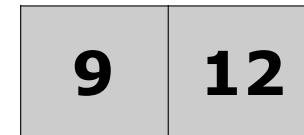
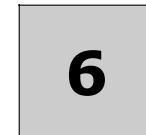
pivot (6)



< 6



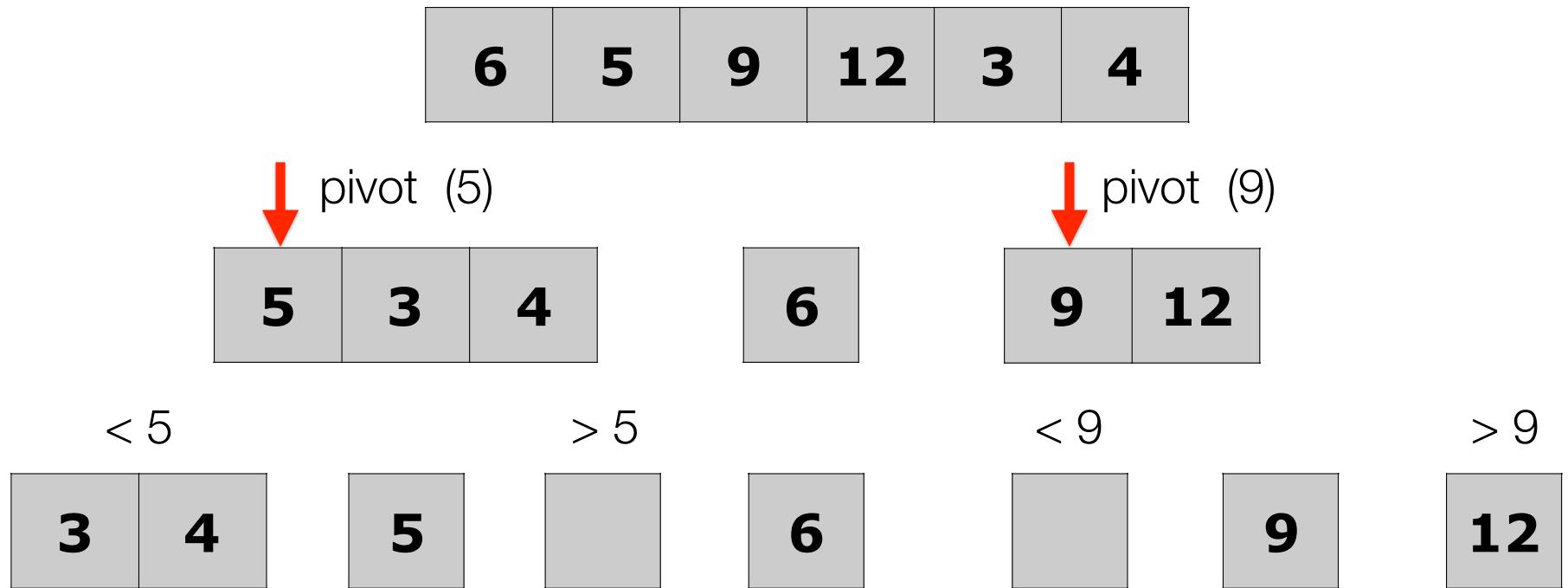
> 6



Partition into two new lists -- less than the pivot on the left, and greater than the pivot on the right.  
Even if all elements go into one list, that was just a poor partition.



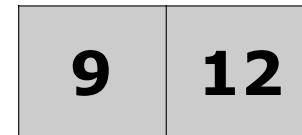
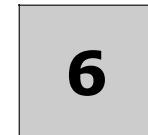
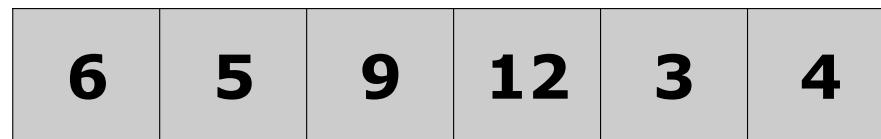
# Quicksort Algorithm: Naive



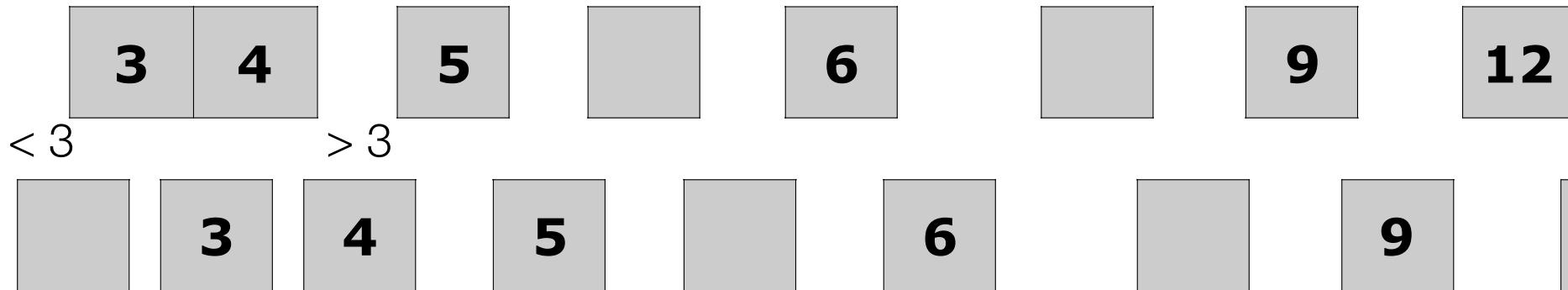
Keep partitioning the sub-lists



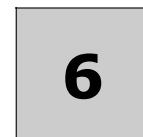
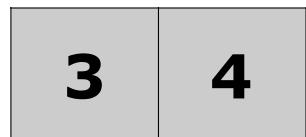
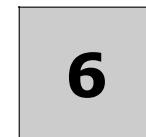
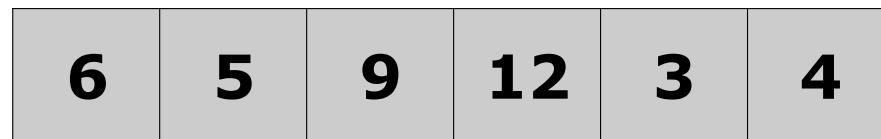
# Quicksort Algorithm: Naive



↓ pivot (3)



# Quicksort Algorithm: Naive



# Quicksort Algorithm: Naive Code

```
Vector<int> naiveQuickSortHelper(Vector<int> v) { // not passed by reference!
    // base case: list of 0 or 1
    if (v.size() < 2) {
        return v;
    }
    int pivot = v[0];      // choose pivot to be left-most element

    // create two new vectors to partition into
    Vector<int> left, right;

    // put all elements <= pivot into left, and all elements > pivot into right
    for (int i=1; i<v.size(); i++) {
        if (v[i] <= pivot) {
            left.add(v[i]);
        }
        else {
            right.add(v[i]);
        }
    }
    left = naiveQuickSortHelper(left); // recursively handle the left
    right = naiveQuickSortHelper(right); // recursively handle the right

    left.add(pivot); // put the pivot at the end of the left

    return left + right; // return the combination of left and right
}
```



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
6	5	9	12	3	4

↑  
pivot (6)

In-place, recursive algorithm:

```
int quickSort(vector<int> &v, int leftIndex, int rightIndex);
```

- Pick your pivot, and swap it with the end element.
- Traverse the list from the beginning (left) forwards until the value should be to the *right* of the pivot.
- Traverse the list from the end (right) backwards until the value should be to the *left* of the pivot.
- Swap the pivot (now at the end) with the element where the left/right cross.

**This is best described with a detailed example...**



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
6	5	9	12	3	4

↑  
pivot (6)

- Pick your pivot, and swap it with the end element.

**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
4	5	9	12	3	6

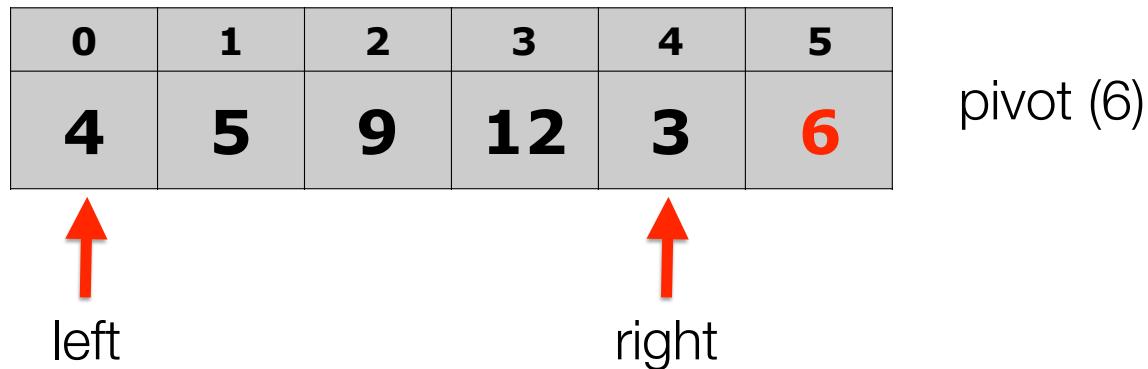
↑  
pivot (6)

- Pick your pivot, and swap it with the end element.

```
quickSort(vector, 0, 5)
```



# Quicksort Algorithm: In-Place



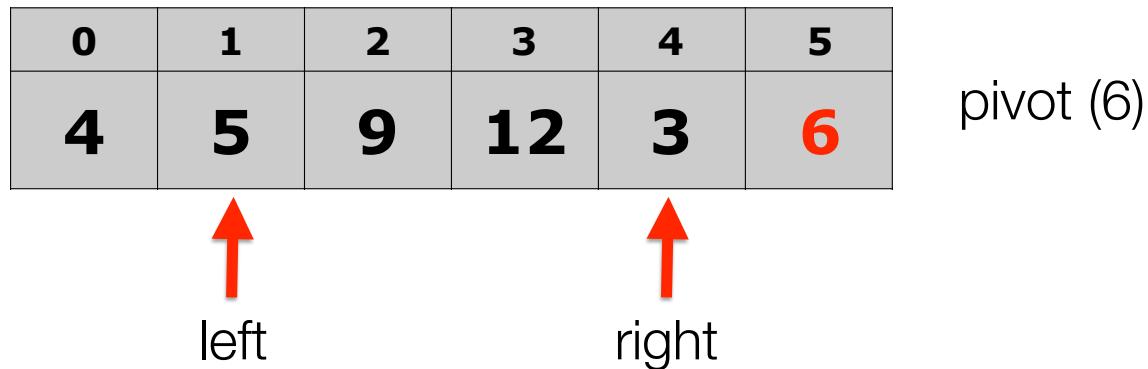
Choose the "left" / "right" indices to be at the start (after the pivot) / end of your vector.

Traverse the list from the beginning (left) forwards until the value should be to the *right* of the pivot.

**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place

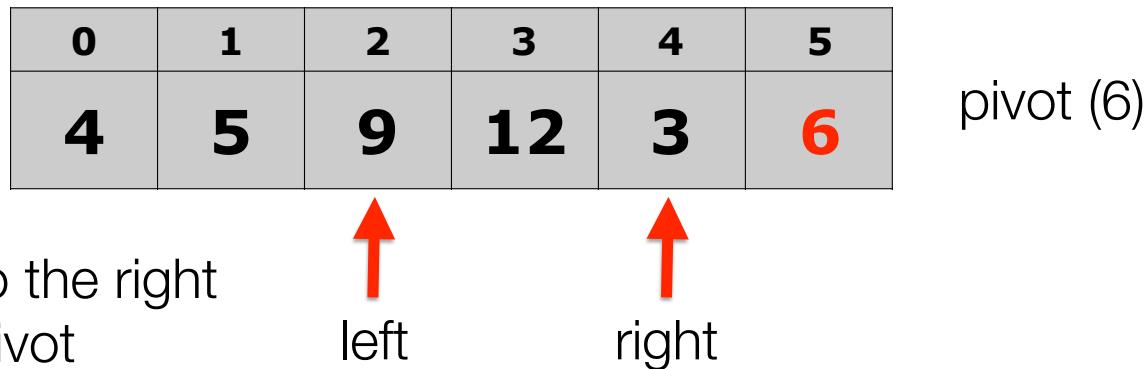


Traverse the list from the beginning (left) forwards until the value should be to the *right* of the pivot.

**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place



- Traverse the list from the end (right) backwards until the value should be to the *left* of the pivot.

**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
4	5	9	12	3	6

pivot (6)



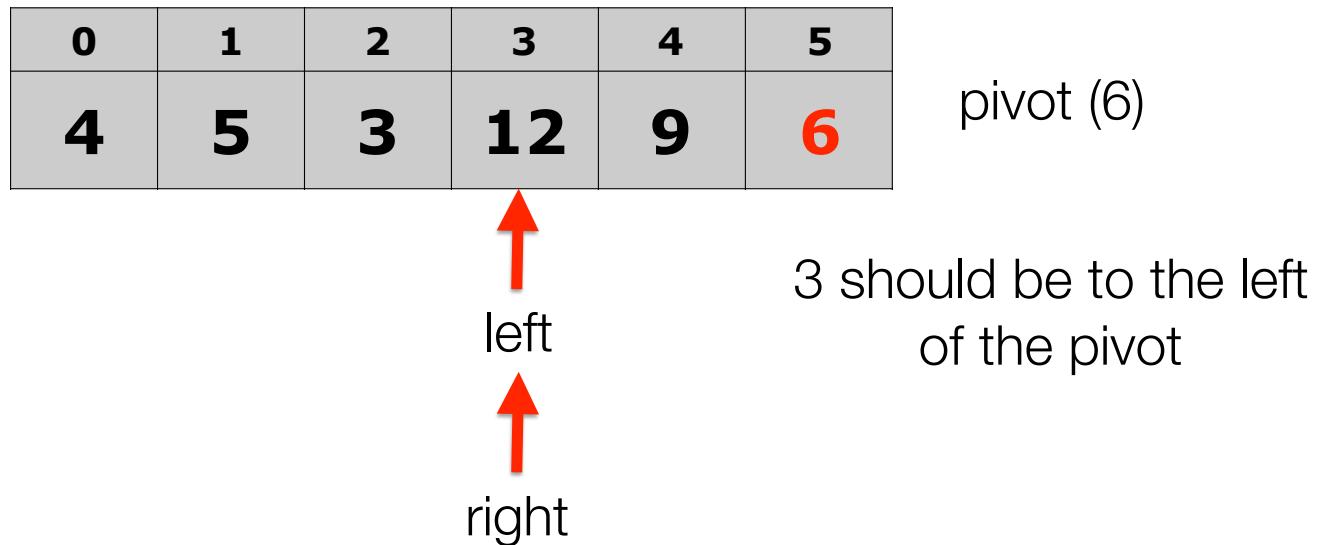
3 should be to the left  
of the pivot

- The left element and the right element are out of order, so we swap them, and move our left/right indices.

**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place



- The left element and the right element are out of order, so we swap them, and move our left/right indices.

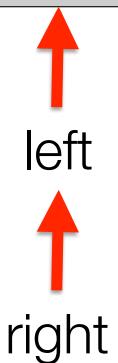
**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
4	5	3	12	9	6

pivot (6)



3 should be to the left  
of the pivot

When the left and right cross each other, we return the index of the left/right, and then swap the left and the pivot.

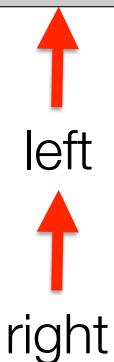
**quickSort(vector, 0, 5)**



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
4	5	3	6	9	12

pivot (6)



3 should be to the left  
of the pivot

When the left and right cross each other, we return the index of the left/right, and then swap the left and the pivot.

**return left;**

Notice that we have partitioned correctly: all the elements to the left of the pivot are less than the pivot, and all the elements to the right are greater than the pivot.



# Quicksort Algorithm: In-Place

0	1	2	3	4	5
4	5	3	6	9	12

Recursively call quickSort() on the two new partitions  
The original pivot is now in the proper place and does not need to be re-sorted.

**quickSort(vector, 0, 2)**

**quickSort(vector, 4, 5)**



# Quicksort Algorithm: Choosing the Pivot

0	1	2	3	4	5
4	5	3	6	9	12

- One interesting issue with quicksort is the decision about choosing the pivot.
- If the left-most element is always chosen as the pivot, already-sorted arrays will have  $O(n^2)$  behavior (why?)
- Therefore, choosing a pivot that is random works well, or choosing the middle item as the pivot.



# Quicksort Algorithm: Repeated Elements

0	1	2	3	4	5
5	5	4	6	5	5

- Repeated elements also cause quicksort to slow down.
- If the whole list was the same value, each recursion would cause all elements to go into one partition, which degrades to  $O(n^2)$
- The solution is to separate the values into three groups: values less than the pivot, values equal to the pivot, and values greater than the pivot (sometimes called Quick3)



# Quicksort Algorithm: Big-O

0	1	2	3	4	5
3	5	4	6	12	9

- Best-case time complexity:  $O(n \log n)$
- Worst-case time complexity:  $O(n^2)$
- Average time complexity:  $O(n \log n)$
- Space complexity: naive:  $O(n)$  extra, in-place:  $O(\log n)$  extra (because of recursion)



# Quicksort In-place Code

```
/*
 * Rearranges the elements of v into sorted order using
 * a recursive quick sort algorithm.
 */
void quickSort(Vector<int>& v) {
    quickSortHelper(v, 0, v.size() - 1);
}
```

We need a helper function to pass along left and right.



# Quicksort In-place Code: Helper Function

```
void quickSortHelper(Vector<int>& v, int min, int max) {
    if (min >= max) { // base case; no need to sort
        return;
    }

    // choose pivot; we'll use the first element (might be bad!)
    int pivot = v[min];
    swap(v, min, max); // move pivot to end

    // partition the two sides of the array
    int middle = partition(v, min, max - 1, pivot);

    swap(v, middle, max); // restore pivot to proper location

    // recursively sort the left and right partitions
    quickSortHelper(v, min, middle - 1);
    quickSortHelper(v, middle + 1, max);
}
```



# Quicksort In-place Code: Partition Function

```
// Partitions a with elements < pivot on left and
// elements > pivot on right;
// returns index of element that should be swapped with pivot
int partition(Vector<int>& v, int left, int right, int pivot) {
    while (left <= right) {
        // move index markers left, right toward center
        // until we find a pair of out-of-order elements
        while (left <= right && v[left] < pivot) {
            left++;
        }
        while (left <= right && v[right] > pivot) {
            right--;
        }

        if (left <= right) {
            swap(v, left++, right--);
        }
    }
    return left;
}
```



# Recap

Sorting Big-O Cheat Sheet			
Sort	Worst Case	Best Case	Average Case
Insertion	$O(n^2)$	$O(n)$	$O(n^2)$
Selection	$O(n^2)$	$O(n^2)$	$O(n^2)$
Merge	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$
Quicksort	$O(n^2)$	$O(n \log n)$	$O(n \log n)$



# References and Advanced Reading

- **References:**

- [http://en.wikipedia.org/wiki/Sorting\\_algorithm](http://en.wikipedia.org/wiki/Sorting_algorithm) (excellent)
- <http://www.sorting-algorithms.com> (fantastic visualization)
- More online visualizations: <http://www.cs.usfca.edu/~galles/visualization/Algorithms.html> (excellent)
- Excellent mergesort video: <https://www.youtube.com/watch?v=GCae1WNvnZM>
- Excellent quicksort video: [https://www.youtube.com/watch?v=XE4VP\\_8Y0BU](https://www.youtube.com/watch?v=XE4VP_8Y0BU)
- Full quicksort trace: <http://goo.gl/vOgaT5>

- **Advanced Reading:**

- YouTube video, 15 sorts in 6 minutes: <https://www.youtube.com/watch?v=kPRA0W1kECg> (fun, with sound!)
- Amazing folk dance sorts: <https://www.youtube.com/channel/UCIqiLefbVHsOAXDAxQJH7Xw>
- Radix Sort: [https://en.wikipedia.org/wiki/Radix\\_sort](https://en.wikipedia.org/wiki/Radix_sort)
- Good radix animation: <https://www.cs.auckland.ac.nz/software/AlgAnim/radixsort.html>
- Shell Sort: <https://en.wikipedia.org/wiki/Shellsort>
- Bogosort: <https://en.wikipedia.org/wiki/Bogosort>



# Extra Slides



## Radix Sort

- Radix sort is *not* a comparison sort, and works in a completely different manner than other sorts.
- Radix sort uses “buckets” to sort elements, based on which decimal place it is currently working on.
- On each pass, it looks at a different decimal place (ones, tens, hundreds, etc.)
- The “buckets” are individual arrays, and for decimal numbers, we have ten buckets (for the decimal digits 0-9)

# Radix Sort: Example (Least Significant Bit first)

Pass 1: units digit

310	213	023	130	013	301	222	032	201	111	323	002	330	102	231	120
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

Bucket Number	Contents					Units Digit
0	310	130	330	120		0
1	301	201	111	231		1
2	222	032	002	102		2
3	213	023	013	323		3

## Radix Sort: Example (Least Significant Bit first)

Pass 2: tens digit (after concatenating buckets)

310	130	330	120	301	201	111	231	222	032	002	102	213	023	013	323
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

Bucket Number	Contents				Tens Digit
0	301	201	002	102	0
1	310	111	213	013	1
2	120	222	023	323	2
3	130	330	231	032	3

## Radix Sort: Example (Least Significant Bit first)

Pass 3: hundreds digit (after concatenating buckets)

301	201	002	102	310	111	213	013	120	222	023	323	130	330	231	032
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

Bucket Number	Contents				Hundreds Digit
0	002	013	023	032	0
1	102	111	120	130	1
2	201	213	222	231	2
3	301	310	323	330	3

Now the values are in order (and need to be concatenated)

## Radix Sort

- In practice, the bins are generally queues (see animation Java Applet at <https://www.cs.auckland.ac.nz/software/AlgAnim/radixsort.html>)
- Time complexity is tricky, because radix sort isn't a comparison algorithm. In general, worst-case is  $O(d*n)$  for  $n$  keys which have  $d$  digits.
- Space complexity:  $O(d + N)$  because of necessary buckets.

## Radix Sort

- If a radix sort is by most significant bit first, then the ordering is lexicographic, e.g.,
- b, d, e, bed, cat, bale, car sorts to:
  - b, bale, bed, car, cat, d, e
- 1,2,3,4,5,6,7,8,9,10 sorts to:
  - 1,10,2,3,4,5,6,7,8,9 (as if the keys were padded with spaces to the right, e.g., 1 = 1\_, 2 = 2\_, etc.)

## **Are there other O(n) Sorting Algorithms?**

- **What would it mean to have an O(n) sorting algorithm, that will sort a list by only going through the original list a single time?**
- **How do teachers sort tests alphabetically?**

# Bucket Sort

- There is a sort called “bucket sort” (with a “bucket size” of 1) that can run in  $O(n)$  time. There are, however, caveats.
- The idea:
  - If you know the range of possible values, set up an array long enough to hold one of each value (initialized to 0, or initialized with a linked list).
  - Iterate through the list, and simply update the count for each item in the array.
  - At the end, the new array will have the counts for each value, and you must loop through that array to pull out the non-zero values.

# Bucket Sort

- Max value: 15

0	1	2	3	4	5	6
6	5	9	12	9	4	5

# First, create an array that can hold up to 15

## Bucket Sort

- Max value: 15

0	1	2	3	4	5	6
6	5	9	12	9	4	5

Next, iterate through the original array, and update counts as you reach a value.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	0	0	0	1	2	1	0	0	2	0	0	3	0	0	0

## Bucket Sort

- Max value: 15

0	1	2	3	4	5	6
6	5	9	12	9	4	5

Now, read off the counts back into the original array.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	0	0	0	1	2	1	0	0	2	0	0	3	0	0	0

0	1	2	3	4	5	6
4	5	5	6	9	9	12

## Bucket Sort Caveats

- You must know the range, and be able to create an array large enough to hold one of each in the *entire* range. (However, you could have bucket sizes that are greater than one, which would mean that you then sort individually in each bucket, which would be worse performance).
- Asymptotically:  $O(\text{size of range})$  not  $O(n)$ . If you have a huge range but a small number of elements, this is not a good sort.

## Bucket Sort Uses

- A teacher who needs to sort a stack of papers alphabetically by student name will generally use a bucket sort to group names by first letter of last names. Then, an insertion sort can be used on each individual bucket.
- This is relatively fast for humans, and generally pretty fast for computers.