

Study Definition Repository (SDR)

Reference Implementation (RI) SDR API User Guide Version 6.0

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Document History

| Version No. | Date | Author | Revision Description |
|-------------|-------------|--------|---|
| V1.0 | 14-Mar-2022 | ACN | Initial Version |
| V1.5 | 14-Mar-2022 | ACN | Review comments addressed |
| V2.0 | 26-Apr-2022 | ACN | Updated API response for POST |
| V3.0 | 05-Aug-2022 | ACN | Updated with latest version of API Endpoints |
| V4.0 | 20-Mar-2023 | ACN | Added new sections to list USDM version specific Study endpoints in section 3.2, 3.3 and 3.4. Added section 3.7, 3.8 and 3.9 for Change audit, Raw JSON and eCPT export endpoints. |
| V4.1 | 26-Apr-2023 | ACN | Deprecated SDR RI Auth Token Endpoint in section 3 and added section 2.2 on steps to obtain user access token to access SDR RI API. |
| V5.0 | 23-Jun-2023 | ACN | Added API specifications for V3 endpoints |
| V6.0 | 17-Oct-2023 | ACN | Added API Key authorization specifications |

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1. Introduction

1.1. Overview

The SDR RI¹ implements the CDISC DDF Reference Architecture which include USDM model and API Specifications defined using the OpenAPI Specification (OAS). The API Layer of the SDR Reference Implementation complies with the OpenAPI Specification which allow systems to discover and understand the capabilities of the service without access to source code, documentation, or through network traffic inspection. When properly defined, a consumer can understand and interact with the remote service with a minimal amount of implementation logic.

It follows the REST architectural style that uses HTTP requests to GET and POST data. RESTful architecture is not linked with any technology or platform, it does not dictate exactly how to build an API. Instead, it introduces the best practices known as constraints. They describe how the server processes requests and responds to them. Operating within these constraints, the system gains desirable properties such as reliability, ease of use, improved scalability and security, low latency while enhancing the system performance and helping achieve technology independence in the process.

1.2. Scope of Document

This document details the list of API endpoints available in the Study Definition Repository Reference Implementation and provides sample Request and Response for each endpoint. These endpoints include implementation of the CDISC USDM API Specification and additional SDR Specific endpoints supporting the SDR RI features. It also explains the possible reasons behind the various error codes returned by the API. The technical design and implementation of these APIs is out of scope.

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¹The SDR Reference Implementation is an attempt to demonstrate the vision of the DDF initiative, not a commercial product. To be clear, TransCelerate does not endorse any particular software, system, or service. And the use of specific brands of products or services by TransCelerate and its collaboration partners in developing the SDR Reference Implementation should not be viewed as any endorsement of such products or services. To the extent that the SDR Reference Implementation incorporates or relies on any specific branded products or services, such as Azure, this resulted out of the practical necessities associated with making a reference implementation available to demonstrate the SDR's capabilities. Users are free to download the source code for the SDR from GitHub and design their own implementations in whatever environments they choose.



1.3. Intended Audience

The document is a guide for users/vendors who want to integrate and consume the SDR RI API to store and retrieve study definitions in USDM format.

1.4. Definitions and Acronyms

| Term / Abbreviation | Definition |
|---------------------|-----------------------------------|
| API | Application Programming Interface |
| DDF | Digital Data Flow |
| JSON | JavaScript Object Notation |
| REST | Representational State Transfer |
| SDR | Study Definition Repository |
| URL | Uniform Resource Locator |
| USDM | Unified Study Definitions Model |

2. Pre-Requisites

2.1. Self-signed Certificate Generation

Certificate can be created by using any one of the below Tools.

- Open SSL
- PowerShell

2.1.1. Certificate generation with OpenSSL

- i. Install the OpenSSL.
- ii. Run the OpenSSL Command Prompt and set the directory to the folder where certificate to be stored.

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Figure 1 OpenSSL Command Prompt

```
EM Win64 OpenSSL Command Prompt

Win64 OpenSSL Command Prompt

OpenSSL 3.0.3 3 May 2022 (Library: OpenSSL 3.0.3 3 May 2022)

built on: Med May 4 02:41:48 2022 UTC
platform: V=VallMed

platform: V=VallMed

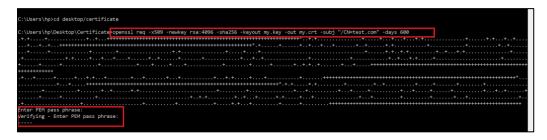
compiler: 1 / Z? / Fdossl_static.pdb / Gs0 / Gf / Gy / MD / M3 /wd4090 / nologo / O2 -DL_ENDIAN -DOPENSSL_PIC -D_USING_V110_SDK71_ -D_WINSOCK_DEPRECATED_NO_WARNINGS -D_WIN92_WINNIT-

GOPENSSLDIR: "C:\Program Files\Common Files\Co
```

iii. Run the below command → provide Enter PEM pass phrase and save the pass phrase to provide it on the command to generate .pfx certificate.

```
openssl req -x509 -newkey rsa:4096 -sha256 -keyout my.key -out my.crt -subj "/CN=test.com" -days 600
```

Figure 2 OpenSSL Command



iv. Run the below command to generate the .pfx certificate.

```
openssl pkcs12 -export -name "test.com" -out my.pfx -inkey my.key -in my.crt
```

Provide the below details.

Enter pass phrase for my.key: Provide the pass phrase passed on step 3

Enter Export Password: Provide the password for .pfx certificate and save it for later use.

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Figure 3 Export Certificate



v. Exported .pfx certificate will be stored in the directory where OpenSSL was installed.

Note: Share the .pfx certificate and the password to SDR Support team to get access to SDR API's on Demo Environment.

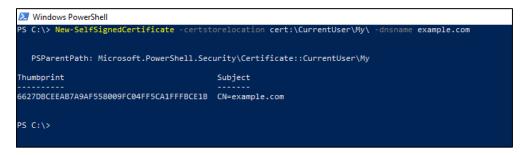
.

2.1.2. Certificate generation with PowerShell

 Open PowerShell and run the following command to generate the self-signed certificate.

New-SelfSignedCertificate -certstorelocation cert:\CurrentUser\My\ -dnsname example.com

Figure 4 PowerShell Command

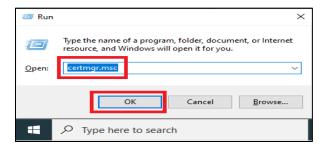


ii. To Export the certificate in .pfx format, go to run → type certmgr.msc and click Ok.

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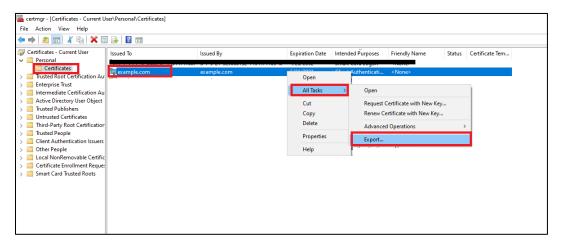


Figure 5 Run Window



iii. In certificate manager go to personal → certificates → select the generated certificate and right click select All Tasks → Select Export.

Figure 6 Certificate Manager Console



iv. In Certificate Export Wizard click Next to continue.

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Welcome to the Certificate Export Wizard

Welcome to the Certificate Export Wizard

This wizard helps you copy certificates, certificate trust lists and certificate revocation lists from a certificate store to your disk.

A certificate, which is issued by a certification authority, is a confirmation of your identity and contains information used to protect data or to establish secure network connections. A certificate store is the system area where certificates are kept.

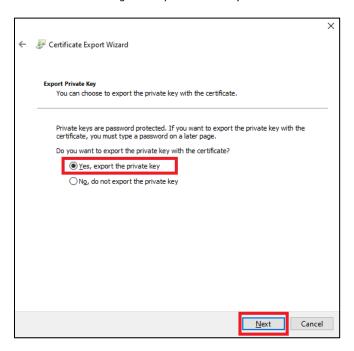
To continue, click Next.

Figure 7 Certificate Export Wizard

v. Select export the private key option and click next.

Figure 8 Export Private Key

<u>N</u>ext Cancel



vi. Leave the defaults and click next.

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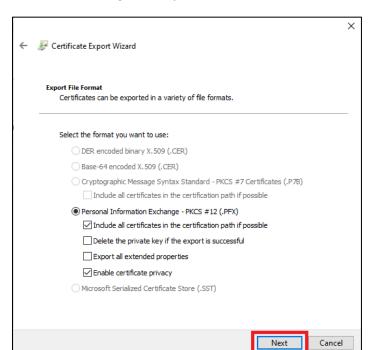


Figure 9 Certificate Format .PFX

vii. Provide the password for certificate and click next.

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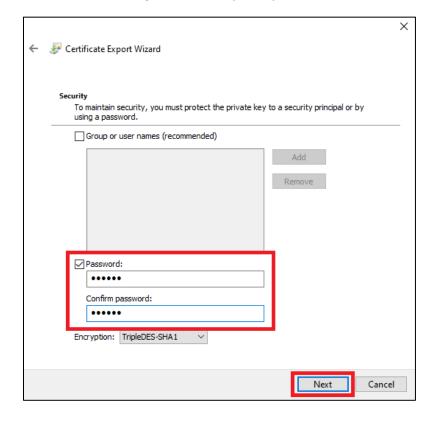


Figure 10 Password for Certificate

viii. Select the path to save the certificate.

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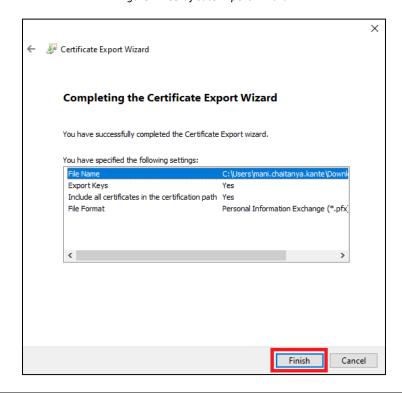
File to Export
Specify the name of the file you want to export

File name:
|certificate.pfx|
| Rowse...

Figure 11 Certificate File Name

ix. Click on Finish.

Figure 12 Certificate Export Wizard



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x. Exported .pfx certificate will be stored on the folder path provided on step 8.

Note: Share the .pfx certificate and the password to SDR Support team to get access to SDR API's on Demo environment.

2.2. Authorization

To Access SDR RI APIs, an access token or API-Key must be passed as an authorization header in the request for API endpoints. The choice of which method of authentication you use is up to you.

2.2.1. Access Token

A user can get an access token by the following ways.

- i. Using SDR RI UI to get access token. SDR UI application Link **DDF SDR UI**
- ii. Using any one of Microsoft Authentication Flows if the details of the SDR API hosted environment are available. Refer **Authentication Flows** for more details.

2.2.2. API-Key Generation

API-Key can be generated through Developer portal. Refer the document **SDR RI API Key Generation**.

3. API End Points

SDR Reference Implementation exposes the following REST Endpoints to deliver application functionality including storing and retrieving study definitions. All the SDR RI API endpoints conform to the CDISC USDM format mentioned in USDM Conformance for SDR RI V1 for USDM Version 1.0, in USDM Conformance for SDR RI V2 for USDM Version 1.9 and in USDM Conformance for SDR RI V2.0.1 for USDM Version 2.0. For the Reference Implementation, only the required field validations mentioned in the CDISC USDM document have been included.

3.1. API Version -> USDM Version Mapping

USDM version specific Study endpoints will allow users to create studies conforming to a specific USDM version (1 major and any related minor versions) and validate the study

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against conformance rules corresponding to that version. This endpoint allows user to get mapping between SDR RI API versions and CDISC USDM versions.

Table 1 GET API version ->USDM Version Mapping Endpoint

| Method | URL |
|--------|---------------|
| GET | /api/versions |

REQUEST PARAMETERS

Table 2: GET API version -> USDM Version Mapping Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|---------------|--------|-----------|---|
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJ SUzI1NiIsIng1dCl6IjJaUXBKM1 VwYmpBWVhZR2FYRUpsOGx WMFRPSSIsImtpZCl6IjJaUXBK M1VwYmpBWVhZR2FYRUpsO GxWMFRPSSJ9.eyJhdWQiOiJh cGk6Ly9iZDhiZjM2Zi0yYTczLTQ xMzYtODQxOS0wYzIwY2U5NG M1YmMiLCJpc3MiOiJodHRwcz ovL3N0cy53aW5kb3dzLm5ldC9 hM |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddde3 7b16d |

RESPONSE

Table 3 GET API version ->USDM Version Mapping Response

| Status | Response | Comments |
|--------|----------|----------|
| | | |

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```
200
          "SDRVersions": [
            {
               "apiVersion": "mvp",
               "usdmVersions": [
                 "mvp"
              ]
            },
               "apiVersion": "v1",
              "usdmVersions": [
                 "1.0"
              ]
            },
              "apiVersion": "v2",
               "usdmVersions": [
                 "1.9"
              ]
            }
400
                                                                       Application
                                                                       Exception
          "statusCode": "400",
          "message": "An error occurred"
```

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| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
|-----|--|---|
| 403 | Forbidden | Invalid Client Certificate |
| 404 | { "statusCode": "404", "message": "The requested study document not found" } | Requested Study Id is not found, or the request URL path is not valid |
| 500 | Internal Server Error | Web server/Web API down |

3.2. V1 API (USDM Version - 1.0)

3.2.1. **GET Study**

This endpoint allows user to retrieve the different study elements for a particular study for a specific SDR upload version.

Table 4 GET Study V1 Endpoint

| Method | URL |
|--------|------------------------------------|
| GET | /api/v1/studydefinitions/{studyld} |

REQUEST PARAMETERS

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Table 5 GET Study Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|--|------------------|---------|-----------|---|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | Sdruploadversion | Integer | No | 2 |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJ SUzI1NilsIng1dCl6ljJaUXBKM1 VwYmpBWVhZR2FYRUpsOGx WMFRPSSIsImtpZCl6ljJaUXBK M1VwYmpBWVhZR2FYRUpsO GxWMFRPSSJ9.eyJhdWQiOiJh cGk6Ly9iZDhiZjM2Zi0yYTczLTQ xMzYtODQxOS0wYzIwY2U5NG M1YmMiLCJpc3MiOiJodHRwcz ovL3N0cy53aW5kb3dzLm5ldC9 hM |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddde3 7b16d |
| Header | usdmVersion | String | Yes | 1.0 |

RESPONSE

Table 6 GET Study V1 Response

| Status | Response | Comments |
|--------|--|----------|
| 200 | Refer Response for GET StudyV1 for the JSON Data | |

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| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
|-----|--|---|
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | { "statusCode": "404", "message": "The requested study document not found" } | Requested Study Id is not found, or the request URL path is not valid |
| 500 | Internal Server Error | Web server/Web API down |

3.2.2. GET Study Design

Retrieves the different study design elements for a particular study for SDR upload version.

Table 7 GET Study Design V1 Endpoint

| Method | URL |
|--------|-----------------------|
| GET | /api/v1/ studydesigns |

REQUEST PARAMETERS

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Table 8 GET Study Design V1 Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|---------------------|---------|-----------|--|
| Query Parameter | study_uuid | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | sdruploadversion | Integer | No | 3 |
| Header (Either Authorization bearer token or API-Key) | norization er token | | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NiIsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 1.0 |

RESPONSE

Table 9 GET Study Design V1 Response

| | Status | Response | Comments |
|---|--------|----------|----------|
| ١ | | | |

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| 200 | Refer Response for GET Study DesignV1 for the JSON Data | Successful |
|-----|---|---|
| 400 | <pre>{ "statusCode": "400", "message": "An error occurred" }</pre> | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" } OR { "statusCode": "404", "message": "The requested study design not found" }</pre> | This can occur when requested Study Id is not found or Study Design is not found. |
| 500 | Internal Server Error | Web server/Web API down |

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3.2.3. POST Study Definitions

This endpoint allows user to add and/or update a study definition in SDR that conforms to the USDM structure. The study GET endpoint allows the users to fetch data for individual section whereas the section specific adds/updates are not in scope of SDR Reference Implementation.

3.2.3.1. POST - Add Study Definition

For the first time, when a study is pushed into the SDR, the uuid fields in the JSON data must be empty. SDR will generate UUID for the uuid fields.

Table 10 POST Study V1 Endpoint

| Method | URL |
|--------|--------------------------|
| POST | /api/v1/studydefinitions |

REQUEST PARAMETERS

Table 11 POST Study V1 Request Parameters

| Туре | Param Name | Sample Values |
|--|-------------|---|
| Body | | Refer Request Body for POST – Add New Study DefinitionV1 for the JSON Data |
| Header (Either Authoriza on beare token or API-Key) | | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NilsIng1dCl6ljJaUXBKM1Vw YmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6ljJaUXBKM1Vw YmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6Ly9i ZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1YmMiLC Jpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |
| | x-api-key | a7fecf90a4f643eda0b27dddde37b16d |
| Header | usdmVersion | 1.0 |

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RESPONSE

Table 12 POST Study V1 Response

| Status | Response | Comments |
|--------|---|--|
| 201 | Refer Response Body for POST – Add Study DefinitionV1 for the JSON Data | Successful. For a new study document added into SDR, the unique IDs for Study and Study Design are generated by system and returned in response body along with SDR Upload Version is defaulted to "1". For updates to an existing study, the version is incremented by 1. |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

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3.2.3.2. POST - Update Study Definition

- i. For adding new elements to an existing study definition section
 - o **uuid** for the study should be passed in the request body
 - uuid for the study and uuid for the studyDesign should be passed when updating study design data.
 - New elements should be passed without id field data along with existing elements including SDR generated id values in the request body.
 - SDR will generate UUID for only the new elements mentioned in the request and update the data for existing elements that had a valid UUID in the request.
- ii. For example, there is an existing study definition in SDR as mentioned in Study Definition <u>Existing DataV1</u>. If a new element is to be added to study objectives, the existing study data with the new element must be sent in the request body as mentioned in Request Body for POST <u>Update New Study DefinitionV1</u>.

Table 13 POST Study V1 Endpoint

| Method | URL |
|--------|--------------------------|
| POST | /api/v1/studydefinitions |

REQUEST PARAMETERS

Table 14 POST Study V1 Request Parameters

| Туре | Param | Values |
|--|-------|---|
| Body | | Refer Request Body for POST – Update New Study DefinitionV1 for the JSON Data |
| Header (Either Authoriza on beare | | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NilsIng1dCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6L y9iZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |

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| token or API-Key) | x-api-key | a7fecf90a4f643eda0b27dddde37b16d |
|----------------------|-------------|----------------------------------|
| Header | usdmVersion | 1.0 |

RESPONSE

Table 15 POST Study V1 Response

| Status | Response | Comments |
|--------|--|---|
| 201 | Refer Response Body for POST – Update Study DefinitionV1 for the JSON Data | Successful. The new element in the section will be added on along with the existing element. |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

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3.3. V2 API (USDM Version - 1.9)

3.3.1. **GET Study**

This endpoint allows user to retrieve the different study elements for a particular study for a specific SDR upload version. This endpoint also allows user to retrieve specific sections.

LIST OF STUDY SECTIONS:

- studyTitle
- studyVersion
- studyType
- studyRationale
- studyAcronym
- studyIdentifiers
- studyPhase
- businessTherapeuticAreas
- studyProtocolVersions
- studyDesigns

Table 16 GET Study V2 Endpoint

| Method | URL |
|--------|------------------------------------|
| GET | /api/v2/studydefinitions/{studyld} |

REQUEST PARAMETERS

Table 17 GET Study V2 Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|-------------------|------------|--------|-----------|--|
| Path Parameter | Studyld | String | | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |

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| Query Parameter | sdruploadversion | Integer | No | 2 |
|---|------------------|---------|-----|---|
| Query Parameter | Listofelements | string | No | The parameter value should be comma separated values of the section names. For example, "studyDesigns,studyIdentifiers" |
| Header (Either Authorizatio n bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJ SUzl1NilsIng1dCl6ljJaUXBKM1 VwYmpBWVhZR2FYRUpsOGx WMFRPSSIsImtpZCl6ljJaUXBK M1VwYmpBWVhZR2FYRUpsO GxWMFRPSSJ9.eyJhdWQiOiJh cGk6Ly9iZDhiZjM2Zi0yYTczLTQ xMzYtODQxOS0wYzIwY2U5NG M1YmMiLCJpc3MiOiJodHRwcz ovL3N0cy53aW5kb3dzLm5ldC9 hM |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddde3 7b16d |
| Header | usdmVersion | String | Yes | 1.9 |

RESPONSE

Table 18 GET Study V2 Response

| Status | Response | Comments |
|--------|----------|----------|
| | | |

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| 200 | Refer Response for GET StudyV1.9 for the JSON Data | |
|-----|--|---|
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | { "statusCode": "404", "message": "The requested study document not found" } | Requested Study Id is not found, or the request URL path is not valid |
| 500 | Internal Server Error | Web server/Web API down |

3.3.2. GET Study Design

Retrieves the different study design elements for a particular study for the SDR upload version. This endpoint also allows the user to retrieve specific study design sections.

LIST OF STUDY DESIGN SECTIONS:

- studyDesignName
- studyDesignDescription
- trialIntentType
- trialType
- interventionModel
- studyCells
- studyIndications
- studyInvestigationalInterventions

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- studyPopulations
- studyObjectives
- studyScheduleTimelines
- therapeuticAreas
- studyEstimands
- encounters
- activities
- studyDesignRationale
- studyDesignBlindingScheme
- biomedicalConcepts
- bcCategories
- bcSurrogates

Table 19 GET Study Design V2 Endpoint

| Method | URL |
|--------|-----------------------|
| GET | /api/v2/ studydesigns |

REQUEST PARAMETERS

Table 20 GET Study Design Request V2 Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|--------------------|------------------|---------|-----------|---|
| Query Parameter | study_uuid | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | sdruploadversion | Integer | No | 3 |
| Query Parameter | listofelements | String | No | The parameter should be comma separated values of the section names. For example, |

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| | | | | "activities,encounters" |
|---|---------------|--------|-----|--|
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NiIsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 1.9 |

RESPONSE

Table 21 GET Study Design V2 Response

| Status | Response | Comments |
|--------|---|-----------------------|
| | Refer Response for GET Study DesignV1.9 for the JSON Data | Successful |
| 400 | { | Application Exception |
| | "statusCode": "400", | |
| | "message": "An error occurred" | |

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| | } | |
|-----|---|---|
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" } OR { "statusCode": "404", "message": "The requested study design not found" }</pre> | This can occur when requested Study Id is not found or Study Design is not found. |
| 500 | Internal Server Error | Web server/Web API down |

3.3.3. POST/PUT Study Definitions

This endpoint allows user to add and/or update a study definition in SDR that conforms to the USDM structure. The PUT endpoint supports updates to specific study elements only when entire study data is sent in the request body. If partial study elements (conforming to specific USDM version) are sent in request, the API will create study version with only the elements sent in request.

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3.3.3.1. POST - Add Study Definition

For the first time, when a study is pushed into the SDR, the studyld field in the JSON data must be empty. SDR will generate UUID for the studyld field. The Id for the other classes is mandatory, user defined, and expected to be sent in the request.

Table 22 POST Study V2 Endpoint

| Method | URL |
|--------|--------------------------|
| POST | /api/v2/studydefinitions |

REQUEST PARAMETERS

Table 23 POST Study V2 Request Parameters

| Туре | Param | Values |
|--|-------------|---|
| Body | | Refer Request Body for POST – Add New Study DefinitionV1.9 for the JSON Data |
| Header (Either Authoriza on beare token or API-Key) | | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NiIsIng1dCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6L y9iZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |
| | x-api-key | a7fecf90a4f643eda0b27dddde37b16d |
| Header | usdmVersion | 1.9 |

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Table 24 POST Study V2 Response

| Status | Response | Comments |
|--------|---|--|
| 201 | Refer Response Body for POST – Add Study DefinitionV1.9 for the JSON Data | Successful. For a new study document added into SDR, the unique IDs for Study and Study Design are generated by system and returned in response body along with SDR Upload Version is defaulted to "1". For updates to an existing study, the version is incremented by 1. |
| 400 | <pre>{ "statusCode": "400", "message": "An error occurred" }</pre> | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.3.3.2. PUT - Update Study Definition

i. For adding new elements to an existing study definition section

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- New elements should be passed along with existing elements in the request body.
- ii. For example, there is an existing study definition in SDR as mentioned in Study Definition – <u>Existing DataV1.9</u>. If a new element is to be added to study objectives, the existing study data with the new element must be sent in the request body as mentioned in Request Body for PUT – <u>Update New Study DefinitionV1.9</u>.

Table 25 PUT Study V2 Endpoint

| Method | URL |
|--------|------------------------------------|
| PUT | /api/v2/studydefinitions/{studyld} |

REQUEST PARAMETERS

Table 26 PUT Study V2 Request Parameters

| Туре | Param | Values |
|--|-------------|---|
| Body | | Refer Request Body for PUT – Update New Study DefinitionV1.9 for the JSON Data |
| Header (Either Authoriza on beare token or API-Key) | | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NiIsIng1dCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6L y9iZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |
| ,, | x-api-key | a7fecf90a4f643eda0b27dddde37b16d |
| Header | usdmVersion | 1.9 |

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Table 27 PUT Study V2 Response

| Status | Response | Comments |
|--------|---|---|
| 201 | Refer Response Body for PUT – <u>Update Study DefinitionV1.9</u> for the JSON Data | Successful. The new element in the section will be added on along with the existing element. |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.3.4. GET Schedule of Activities (SoA)

This endpoint allows user to retrieve the Schedule of Activities (SoA) for a particular study. Also, the endpoint has optional parameter to retrieve the SoA for a particular study design and a particular schedule timeline.

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Table 28 GET SoA V2 Endpoint

| Method | URL |
|--------|--|
| GET | /api/v2/ studydefinitions/{studyld}/studydesigns/soa |

REQUEST PARAMETERS

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Table 29 GET SoA Request V2 Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|------------------|---------|-----------|--|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | Sdruploadversion | Integer | No | 3 |
| Query Parameter | Studydesignid | String | No | Value in studyDesignId |
| Query Parameter | studyWorkflowid | String | No | This is any one of the values in scheduleTimelineId under studyScheduleTimelines. |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCI 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 1.9 |

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Table 30 GET SoA V2 Response

| Status | Response | Comments |
|--------|--|---|
| 200 | Refer Response for GET SoAV1.9 for the JSON Data | Successful. In the response, Workflow related fields will represent the data of scheduleTimeline (studyWorkflows \rightarrow studyScheduleTimelines, workflowId \rightarrow scheduleTimelineId and workflowDescription \rightarrow scheduleTimelineDescription) |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" } OR</pre> | This can occur when requested Study Id is not found or Study Design is not found. |
| | \ { | |

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| | "statusCode": "404", | |
|-----|---|-------------------------|
| | "message": "The requested study design not found" | |
| | } | |
| 500 | Internal Server Error | Web server/Web API down |

3.3.5. GET eCPT Export

This endpoint allows user to retrieve the eCPT ²Export for each study design in a given study that conforms to USDM Version 1.9 that is stored in SDR.

Table 31 GET eCPT V2 Endpoint

| Method | URL |
|--------|--|
| GET | /api/v2/studydefinitions/{studyld}/studydesigns/ecpt |

REQUEST PARAMETERS

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² The eCPT is an electronic version of the Common Protocol Template developed by TransCelerate. For more information about the eCPT, see the eCPT section of the TransCelerate website, available here: https://www.transceleratebiopharmainc.com/assets/clinical-content-reuse-solutions/



Table 32 GET eCPT Request V2 Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|------------------|---------|-----------|--|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | Sdruploadversion | Integer | No | 3 |
| Query Parameter | Studydesignid | String | No | Value in studyDesignId |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 1.9 |

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Table 33 GET eCPT V2 Response

| Status | Response | Comments |
|--------|---|---|
| 200 | Refer Response Body for GET eCPT Mapping V1.9 for the JSON Data | |
| 400 | <pre>{ "statusCode": "400", "message": "An error occurred" }</pre> | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" } OR { "statusCode": "404", "message": "The requested study design not found" }</pre> | This can occur when requested Study Id is not found or Study Design is not found. |

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| 500 | Internal Server Error | Web server/Web API down |
|-----|-----------------------|-------------------------|
| | | |

3.4. V3 API (USDM Version - 2.0)

3.4.1. **GET Study**

This endpoint allows user to retrieve the different study elements for a particular study for a specific SDR upload version. This endpoint also allows user to retrieve specific sections.

LIST OF STUDY SECTIONS:

- studyTitle
- studyVersion
- studyType
- studyRationale
- studyAcronym
- studyldentifiers
- studyPhase
- businessTherapeuticAreas
- studyProtocolVersions
- studyDesigns

Table 34 GET Study V3 Endpoint

| Method | URL |
|--------|------------------------------------|
| GET | /api/v3/studydefinitions/{studyld} |

REQUEST PARAMETERS

Table 35 GET Study V3 Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|-------------------|------------|--------|-----------|--|
| Path Parameter | studyld | String | | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |

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| Query Parameter | sdruploadversion | Integer | No | 2 |
|---|------------------|---------|-----|---|
| Query Parameter | Listofelements | string | No | The parameter value should be comma separated values of the section names. For example, "studyDesigns,studyIdentifiers" |
| Header (Either Authorizatio n bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJ SUzl1NilsIng1dCl6ljJaUXBKM1 VwYmpBWVhZR2FYRUpsOGx WMFRPSSIsImtpZCl6ljJaUXBK M1VwYmpBWVhZR2FYRUpsO GxWMFRPSSJ9.eyJhdWQiOiJh cGk6Ly9iZDhiZjM2Zi0yYTczLTQ xMzYtODQxOS0wYzIwY2U5NG M1YmMiLCJpc3MiOiJodHRwcz ovL3N0cy53aW5kb3dzLm5ldC9 hM |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddde3 7b16d |
| Header | usdmVersion | String | Yes | 2.0 |

Table 36 GET Study V3 Response

| Status | Response | Comments |
|--------|----------|----------|
| | | |

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| 200 | Refer Response for GET StudyV2.0 for the JSON Data | |
|-----|--|---|
| 400 | <pre>{ "statusCode": "400", "message": "An error occurred" }</pre> | Application Exception |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | { "statusCode": "404", "message": "The requested study document not found" } | Requested Study Id is not found, or the request URL path is not valid |
| 500 | Internal Server Error | Web server/Web API down |

3.4.2. GET Study Design

Retrieves the different study design elements for a particular study for the SDR upload version. This endpoint also allows the user to retrieve specific study design sections.

LIST OF STUDY DESIGN SECTIONS:

- studyDesignName
- studyDesignDescription
- trialIntentTypes
- trialType
- interventionModel
- studyCells
- studyIndications
- studyInvestigationalInterventions

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- studyPopulations
- studyObjectives
- studyScheduleTimelines
- therapeuticAreas
- studyEstimands
- encounters
- activities
- studyDesignRationale
- studyDesignBlindingScheme
- biomedicalConcepts
- bcCategories
- bcSurrogates
- studyepochs
- studyelements
- studyarms

Table 37 GET Study Design V3 Endpoint

| Method | URL |
|--------|-----------------------|
| GET | /api/v3/ studydesigns |

REQUEST PARAMETERS

Table 38 GET Study Design Request V3 Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|--------------------|------------------|---------|-----------|--|
| Query Parameter | studyld | String | 100 | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | sdruploadversion | Integer | No | 3 |
| Query Parameter | listofelements | String | No | The parameter should be comma separated |

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| | | | | values of the section names. For example, "activities,encounters" |
|---|---------------|--------|-----|--|
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCI 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 2.0 |

Table 39 GET Study Design V3 Response

| Status | Response | Comments |
|--------|---|-----------------------|
| | Refer Response for GET Study DesignV2.0 for the JSON Data | Successful |
| 400 | { | Application Exception |

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| | "statusCode": "400", | |
|-----|---|---|
| | "message": "An error occurred" | |
| | } | |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" } OR { "statusCode": "404", "message": "The requested study design not found" }</pre> | This can occur when requested Study Id is not found or Study Design is not found. |
| 500 | Internal Server Error | Web server/Web API down |

3.4.3. POST/PUT Study Definitions

This endpoint allows user to add and/or update a study definition in SDR that conforms to the USDM structure. The PUT endpoint supports updates to specific study elements only when entire study data is sent in the request body. If partial study elements (conforming to specific USDM version) are sent in request, the API will create study version with only the elements sent in request.

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3.4.3.1. POST - Add Study Definition

For the first time, when a study is pushed into the SDR, the studyld field in the JSON data must be empty. SDR will generate UUID for the studyld field. The Id for the other classes is mandatory, user defined, and expected to be sent in the request.

Table 40 POST Study V3 Endpoint

| Method | URL |
|--------|--------------------------|
| POST | /api/v3/studydefinitions |

REQUEST PARAMETERS

Table 41 POST Study V3 Request Parameters

| Туре | Param | Values |
|--|-------------|---|
| Body | | Refer Request Body for POST – Add New Study DefinitionV2.0 for the JSON Data |
| Header (Either Authoriza on beare token or API-Key) | | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NiIsIng1dCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6L y9iZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |
| - 7, | x-api-key | a7fecf90a4f643eda0b27dddde37b16d |
| Header | usdmVersion | 2.0 |

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Table 42 POST Study V3 Response

| Status | Response | Comments |
|--------|---|--|
| 201 | Refer Response Body for POST – Add Study DefinitionV2.0 for the JSON Data | Successful. For a new study document added into SDR, the unique IDs for Study and Study Design are generated by system and returned in response body along with SDR Upload Version is defaulted to "1". For updates to an existing study, the version is incremented by 1. |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.4.3.2. PUT - Update Study Definition

iii. For adding new elements to an existing study definition section

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- New elements should be passed along with existing elements in the request body.
- iv. For example, there is an existing study definition in SDR as mentioned in Study Definition **Existing DataV2.0**. If a new element is to be added to study objectives, the existing study data with the new element must be sent in the request body as mentioned in Request Body for PUT **Update New Study DefinitionV2.0**.

Table 43 PUT Study V3 Endpoint

| Method | URL |
|--------|------------------------------------|
| PUT | /api/v3/studydefinitions/{studyld} |

REQUEST PARAMETERS

Table 44 PUT Study V3 Request Parameters

| Туре | Param | Values |
|--|-------------|---|
| Body | | Refer Request Body for PUT – Update New Study DefinitionV2.0 for the JSON Data |
| Header (Either Authoriza on beare token or API-Key) | | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NiIsIng1dCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6L y9iZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |
| - 7, | x-api-key | a7fecf90a4f643eda0b27dddde37b16d |
| Header | usdmVersion | 2.0 |

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Table 45 PUT Study V3 Response

| Status | Response | Comments |
|--------|---|---|
| 201 | Refer Response Body for PUT – Update Study DefinitionV2.0 for the JSON Data | Successful. The new element in the section will be added on along with the existing element. |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.4.4. GET Schedule of Activities (SoA)

This endpoint allows user to retrieve the Schedule of Activities (SoA) for a particular study. Also, the endpoint has optional parameter to retrieve the SoA for a particular study design and a particular schedule timeline.

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Table 46 GET SoA V3 Endpoint

| Method | URL |
|--------|--|
| GET | /api/v3/ studydefinitions/{studyld}/studydesigns/soa |

REQUEST PARAMETERS

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Table 47 GET SoA Request V3 Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|------------------|---------|-----------|--|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | Sdruploadversion | Integer | No | 3 |
| Query Parameter | Studydesignid | String | No | Value in studyDesignId |
| Query Parameter | studyWorkflowid | String | No | This is any one of the values in scheduleTimelineId under studyScheduleTimelines. |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCI 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 2.0 |

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Table 48 GET SoA V3 Response

| Status | Response | Comments |
|--------|--|---|
| 200 | Refer Response for GET SoAV2.0 for the JSON Data | Successful. In the response, Workflow related fields will represent the data of scheduleTimeline (studyWorkflows \rightarrow studyScheduleTimelines, workflowId \rightarrow scheduleTimelineId and workflowDescription \rightarrow scheduleTimelineDescription) |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" } OR</pre> | This can occur when requested Study Id is not found or Study Design is not found. |
| | { | |

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| | "statusCode": "404", | |
|-----|---|-------------------------|
| | "message": "The requested study design not found" | |
| | } | |
| 500 | Internal Server Error | Web server/Web API down |

3.4.5. GET eCPT Export

This endpoint allows user to retrieve the eCPT Export for each study design in a given study that conforms to USDM Version 2.0 that is stored in SDR.

Table 49 GET eCPT V3 Endpoint

| Method | URL |
|--------|--|
| GET | /api/v3/studydefinitions/{studyld}/studydesigns/ecpt |

REQUEST PARAMETERS

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Table 50 GET eCPT Request V3 Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|------------------|---------|-----------|--|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | Sdruploadversion | Integer | No | 3 |
| Query Parameter | Studydesignid | String | No | Value in studyDesignId |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 2.0 |

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Table 51 GET eCPT V3 Response

| Status | Response | Comments |
|--------|--|---|
| 200 | Refer Response for GET eCPT V2.0 for the JSON Data | Successful. In the response, Workflow related fields will represent the data of scheduleTimeline (studyWorkflows → studyScheduleTimelines, workflowId → scheduleTimelineId and workflowDescription → scheduleTimelineDescription) |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | { "statusCode": "404", "message": "The requested study document not found" } OR { "statusCode": "404", | This can occur when requested Study Id is not found or Study Design is not found. |

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| | "message": "The requested study design not found" | |
|-----|---|-------------------------|
| | } | |
| 500 | Internal Server Error | Web server/Web API down |

3.4.6. POST Validate USDM Conformance

This endpoint allows user to validate whether the study definition conforms to USDM Version 2.0 without submitting the study definitions. If the study definition is conformant with USDM Version 2.0, success response code (200 - Ok) will be returned, otherwise the endpoint will return list of conformance errors with failure response code (400 – Bad Request).

Table 52 POST Validate USDM Conformance V3 Endpoint

| Method | URL |
|--------|--|
| POST | /api/v3/studydefinitions/validate-usdm-conformance |

REQUEST PARAMETERS

Table 53 POST Validate USDM Conformance V3 Request Parameters

| Туре | Param | Values |
|--------|---------------|---|
| Body | | Refer Request Body for POST – Add New Study DefinitionV2.0 for the JSON Data |
| Header | Authorization | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NilsIng1dCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSIsImtpZCl6IjJaUXBKM1V wYmpBWVhZR2FYRUpsOGxWMFRPSSJ9.eyJhdWQiOiJhcGk6L y9iZDhiZjM2Zi0yYTczLTQxMzYtODQxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL3N0cy53aW5kb3dzLm5ldC9hM |
| Header | usdmVersion | 2.0 |

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Table 54 POST Validate USDM Conformance V3 Response

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| Status | Response | Comments |
|--------|--------------------------------|---|
| 200 | | Successful. If the Study Definitions is |
| | } | conformant with the USDM conformance rules, API will give 200 Ok response |
| 400 | { | Validation Failed. |
| | | If the Study |
| | | Definitions is not |
| | "study.studyTitle": [| conformant with the |
| | | USDM conformance |
| | | rules, API will give 400 |
| | } | Bad Request response |
| | } | , cop on co |
| 400 | | Application Exception |
| | "statusCode": "400", | |
| | "message": "An error occurred" | |
| | } | |

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| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
|-----|---|---|
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.4.7. GET Version Comparison

This endpoint allows user to compare two SDR Upload Versions of study definitions that conforms to USDM Version 2.0. The endpoint will list down the changes between the two SDR Upload Versions of a specific study definition that was sent in the request.

Table 55 GET Version Comparison V3 Endpoint

| Method | URL |
|--------|---|
| GET | /api/v3/studydefinitions/version-comparison |

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REQUEST PARAMETERS

Table 56 GET eCPT V3 Response

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|-------------------------|---------|-----------|--|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Query Parameter | Sdruploadversionon e | Integer | Yes | 3 |
| Query Parameter | Sdruploadversiontw o | Integer | Yes | 2 |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NiIsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |
| Header | usdmVersion | String | Yes | 2.0 |

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Table 57 POST Study V3 Response

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| Status | Response | Comments |
|--------|--|-------------------------------|
| 200 | { | Successful. |
| | "studyld": "7dd4d676-8714-42d3-b2a9-c189b59fad67", | The API will list down the |
| | "LHS": { | differences |
| | "entryDateTime": "2023-06-21T12:52:48.216Z", | between the two SDR Upload |
| | "SDRUploadVersion": 1 | Versions sent in the request. |
| | }, | ine request. |
| | "RHS": { | |
| | "entryDateTime": "2023-06-21T12:53:16.601Z", | |
| | "SDRUploadVersion": 2 | |
| | }, | |
| | "elementsChanged": [| |
| | "study.studyTitle", | |
| | "study.studyAcronym", | |
| | "study.studyldentifiers[1].studyldentifier", | |
| |] | |
| | } | |
| 400 | { | Application |
| | "statusCode": "400", | Exception |
| | "message": "An error occurred" | |
| | } | |

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| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
|-----|---|---|
| 403 | Forbidden | Invalid Client Certificate |
| 404 | Resource Not Found | When the request URL is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.5. Study History

This endpoint allows user to retrieve the metadata information for Studies residing in the SDR.

Table 58 GET Study History Endpoint

| Method | URL |
|--------|-------------------------------|
| GET | /api/studydefinitions/history |

REQUEST PARAMETERS

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Table 59 GET Study History - Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|---------------|--------|-----------|--|
| Query Parameter | FromDate | Date | No | 2022-Mar-10 |
| Query Parameter | ToDate | Date | No | 2022-Mar-15 |
| Query Parameter | studyTitle | String | No | "Study On Oncology" |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |

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Table 60 GET Study History Response

| Status Code | Response | Comments |
|----------------|--|------------|
| 200 | [| Successful |
| | { | |
| | "studyId": "9533aa2d-472a-4722-94eb-1a7b153b241a", | |
| | "SDRUploadVersion": [| |
| | { | |
| | "uploadVersion": 1, | |
| | "entryDateTime": "2023-06-21T11:34:40.43798Z", | |
| | "studyTitle": "Study of Stage III Biliary Duct Cancer", | |
| | "studyVersion": "1", | |
| | "studyldentifiers": [| |
| | { | |
| | "studyldentifierId": "c4663375-29be-4b37-9a7d-b2ef927fdf64", | |
| | "studyldentifier": "CT-GOV-1234", | |
| | "studyldentifierScope": { | |
| | "organisationId": "96dd6b83-2d34-45ac-9d08-3e647b2c7316", | |
| | "organisationIdentifier": "CT-GOV", | |
| | "organisationIdentifierScheme": "FDA", | |
| | "organisationName": "ClinicalTrials.gov", | |
| | "organisationType": { | |
| | "codeld": "8fcecddf-bbe2-4e71-ad97- 114cbc3f1cba", | |
| | "code": "C2365x", | |

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```
"codeSystem": "http:www.cdisc.org",
                    "codeSystemVersion": "1",
                    "decode": "Clinical Study Sponsor"
                 },
                 "organizationLegalAddress": {
                    "text": "text",
                    "line": " line2",
                    "city": " city",
                    "district": "district ",
                    "state": "state ",
                    "postalCode": " postalCode",
                    "country": {
                       "codeId": "8fcecddf-bbe2-4e71-ad97-
114cbc3f1cba",
                       "code": "C2365x",
                       "codeSystem": "http:www.cdisc.org",
                       "codeSystemVersion": "1",
                       "decode": "Egypt"
                    }
                 }
            }
          "protocolVersions": [
            "1"
         ],
```

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```
"usdmVersion": "1.9",
                    "links": {
                      "studyDefinitions": "/v2/studydefinitions/9533aa2d-
          472a-4722-94eb-1a7b153b241a?sdruploadversion=1",
                      "auditTrail": "/studydefinitions/9533aa2d-472a-
          4722-94eb-1a7b153b241a/audittrail",
                      "studyDesigns": [
                        {
                           "studyDesignId": "SD01",
                           "studyDesignLink":
          "/v2/studydesigns?study_uuid=9533aa2d-472a-4722-94eb-
          1a7b153b241a&sdruploadversion=1&studydesign_uuid=SD01"
                        }
                      ]
                   }
                 }
            }
          ]
400
                                                                       Application
          {
                                                                       Exception
            "statusCode": "400",
            "message": "An error occurred"
          }
401
                                                                       When the API is
                                                                        invoked without
           "statusCode": "401",
                                                                       access token or
           "message": "Access Denied"
                                                                       an expired
                                                                       access token,
```

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| | } | then the API will give an unauthorized response. |
|-----|---|---|
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" }</pre> | When Study Title is given in query param and there is no matching study definition in SDR, or the requested URI is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.6. **GET Revision history**

This endpoint allows the user to retrieve the revision history for a study residing in the SDR Repository. The SDR captures the history of all changes done on a study definition, including entry date time, entry system and sdr upload version.

Table 61 GET Revision History Endpoint

| Method | URL |
|--------|---|
| GET | /api/studydefinitions/{studyld}/revisionhistory |

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Table 62 GET Revision History - Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|---------------|--------|-----------|--|
| Query Parameter | FromDate | Date | No | 2022-Mar-10 |
| Query Parameter | ToDate | Date | No | 2022-Mar-15 |
| Path Parameter | studyld | String | Yes | 11adcf54-82cf-40d2-81a5- 092d4e5b6819 |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGci OiJSUzI1NilsIng1dCl6IjJaUX BKM1VwYmpBWVhZR2FYR UpsOGxWMFRPSSIsImtpZCl 6IjJaUXBKM1VwYmpBWVhZ R2FYRUpsOGxWMFRPSSJ9 .eyJhdWQiOiJhcGk6Ly9iZDhi ZjM2Zi0yYTczLTQxMzYtOD QxOS0wYzIwY2U5NGM1Ym MiLCJpc3MiOiJodHRwczovL 3N0cy53aW5kb3dzLm5ldC9h M |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddd e37b16d |

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Table 63 GET Revision History Response

| Status Code | Response | Comments |
|----------------|--|------------|
| 200 | { | Successful |
| | "studyld": "11adcf54-82cf-40d2-81a5-092d4e5b6819", | |
| | "auditTrail": [| |
| | { | |
| | "entryDateTime": "2023-03-16T05:31:04.927Z", | |
| | "usdmVersion": "1.9", | |
| | "SDRUploadVersion": 2, | |
| | "links": { | |
| | "studyDefinitions": "/v2/studydefinitions/11adcf54-82cf-40d2-81a5-092d4e5b6819?sdruploadversion=2", | |
| | "auditTrail": "/studydefinitions/11adcf54-82cf-40d2-81a5-092d4e5b6819/audittrail", | |
| | "studyDesigns": [| |
| | { | |
| | "studyDesignId": "SD01", | |
| | "studyDesignLink": "/v2/studydesigns?study_uuid=11adcf54-82cf-40d2-81a5- 092d4e5b6819&sdruploadversion=2&studydesign_uuid=SD01" | |
| | } | |
| |], | |
| | "SoA": "/v2/studydefinitions/11adcf54-82cf-40d2-81a5-092d4e5b6819/studydesigns/soa?sdruploadversion=2" | |
| | } | |
| | }, | |

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```
"entryDateTime": "2023-03-16T05:30:26.628Z",
                 "usdmVersion": "1.9",
                 "SDRUploadVersion": 1,
                 "links": {
                    "studyDefinitions": "/v2/studydefinitions/11adcf54-
          82cf-40d2-81a5-092d4e5b6819?sdruploadversion=1",
                    "auditTrail": "/studydefinitions/11adcf54-82cf-40d2-
          81a5-092d4e5b6819/audittrail",
                    "studyDesigns": [
                      {
                        "studyDesignId": "SD01",
                        "studyDesignLink":
          "/v2/studydesigns?study_uuid=11adcf54-82cf-40d2-81a5-
          092d4e5b6819&sdruploadversion=1&studydesign_uuid=SD01"
                      }
                   ],
                    "SoA": "/v2/studydefinitions/11adcf54-82cf-40d2-
          81a5-092d4e5b6819/studydesigns/soa?sdruploadversion=1"
                 }
               }
            ]
          }
400
                                                                        Application
          {
                                                                        Exception
             "statusCode": "400",
             "message": "An error occurred"
          }
```

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| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |
|-----|---|---|
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" }</pre> | When Study Title is given in query param and there is no matching study definition in SDR, or the requested URI is not valid. |
| 500 | Internal Server Error | Web server/Web API down |

3.7. GET Change Audit

This endpoint allows user to retrieve the change audit for a particular study.

Table 64 GET Change Audit Endpoint

| Method | URL |
|--------|---|
| GET | /api/studydefinitions/{studyld}/changeaudit |

REQUEST PARAMETERS

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Table 65 GET Change Audit Request Parameters

| Туре | Param Name | Values | Mandatory | Sample Values |
|---|---------------|--------|-----------|---|
| Path Parameter | Studyld | String | Yes | 25f58eb5-bda8-4c85-8a27- 685af9f6743d |
| Header (Either Authorization bearer token or API-Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJ SUzI1NilsIng1dCl6ljJaUXBKM1 VwYmpBWVhZR2FYRUpsOGx WMFRPSSIsImtpZCl6ljJaUXBK M1VwYmpBWVhZR2FYRUpsO GxWMFRPSSJ9.eyJhdWQiOiJh cGk6Ly9iZDhiZjM2Zi0yYTczLTQ xMzYtODQxOS0wYzIwY2U5NG M1YmMiLCJpc3MiOiJodHRwcz ovL3N0cy53aW5kb3dzLm5ldC9 hM |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddde3 7b16d |

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Table 66 GET Change Audit Response

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| Status | Response | Comments |
|--------|---|---|
| 200 | <pre>{ "changeAudit": { "studyId": "8059cf15-57e9-45e3-a64b-5681896a0ffe", "changes": [</pre> | |
| 400 | { "statusCode": "400", "message": "An error occurred" } | Application Exception |
| 401 | { "statusCode": "401", "message": "Access Denied" } | When the API is invoked without access token or an expired access token, then the API will give an unauthorized response. |

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| 403 | Forbidden | Invalid Client Certificate |
|-----|---|---|
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" }</pre> | Requested Study Id is not found, or the request URL path is not valid |
| 500 | Internal Server Error | Web server/Web API down |

3.8. GET Raw JSON

This endpoint allows user to retrieve a particular study irrespective of the usdmVersion that is stored in SDR. This is useful for retrieving study data in plain text (stringified JSON), which is conformant to a USDM version that is not being supported in the current running instance of SDR. At a given point in time SDR will only support 3 USDM versions. When it is upgraded to a version that doesn't support any of the earlier versions of USDM, but the repository has studies conforming to those deprecated version, the data can be still retrieved via this endpoint. The version specific endpoints of that deprecated USDM version would have been decommissioned.

Table 67 GET Raw JSON Endpoint

| Method | URL |
|--------|---|
| GET | /api/studydefinitions/{studyld}/rawdata |

REQUEST PARAMETERS

Table 68 GET Raw JSON Request Parameters

| Type Param Name Values Mandatory Sample Values | Туре | Param Name | Values | Mandatory | Sample Values |
|--|------|------------|--------|-----------|---------------|
|--|------|------------|--------|-----------|---------------|

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| Path Paramet er | studyld | String | Yes | 25f58eb5-bda8-4c85-8a27-685af9f6743d |
|--|---------------|--------|-----|--|
| Header (Either Authoriz ation bearer token or API- Key) | Authorization | String | Yes | Bearer eyJ0eXAiOiJKV1QiLCJhbGciOiJSUzI1NiIsI ng1dCl6ljJaUXBKM1VwYmpBWVhZR2FY RUpsOGxWMFRPSSIsImtpZCl6ljJaUXBK M1VwYmpBWVhZR2FYRUpsOGxWMFRP SSJ9.eyJhdWQiOiJhcGk6Ly9iZDhiZjM2Zi0 yYTczLTQxMzYtODQxOS0wYzIwY2U5NG M1YmMiLCJpc3MiOiJodHRwczovL3N0cy5 3aW5kb3dzLm5ldC9hM |
| | x-api-key | String | Yes | a7fecf90a4f643eda0b27dddde37b16d |

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Table 69 GET Raw JSON Response

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| Status | Response | Comment s |
|--------|--|-----------------------|
| 200 | "studyDefinitions": "{\"clinicalStudy\":\\"studyId\":\\"7637afd1-d667-47c0-a842- 780e129b73f8\\",\"studyTite\":\\"Study of Biliary Duct Cancer\",\"study Version\\":\\"\\"\\"studyType\\":\\"codeld\":\"7bbb6fd9-7e43-4dbf-90fa-3de117dc76d2\\",\"code\\":\\"C98388\\",\"codeSystem\\":\\"http:www.cdisc.org\\",\"codeSystemVersion\\":\\"2022-03-24\\",\"decode\\":\\"NTERVENTIONAL\\",\"studyRationale\\":\\"Rational\\",\"studydentifier\\":\\"CT-GOV-1234\\",\"studyldentifierScope\\":\\"organisationIdentifier\\":\\"CT-GOV-1234\\",\"studyldentifierScope\\":\\"organisationIdentifier\\":\\"CT-GOV\\",\"organisationIdentifierScope\\":\\"FDA\\",\\"organisationName\\\":\\"ClinicalTrials.gov\\",\"organisationType\\":\\"codeSystem\\":\\"http:www.cdisc.org\\",\"organisationLegalAddress\\",\\"codeSystem\\":\\"http:www.cdisc.org\\",\"organisationLegalAddress\\",\\"code\\":\\"http:\\"\",\"incode\\":\\"postalCode\\":\\"po | |
| 400 | { | Application Exception |

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| Status | Response | Comment s |
|--------|---|--|
| 401 | "statusCode": "400", "message": "An error occurred" } | W/hon the |
| 401 | <pre>{ "statusCode": "401", "message": "Access Denied" }</pre> | When the API is invoked without access token or an expired access token, then the API will give an unauthoriz ed response. |
| 403 | Forbidden | Invalid Client Certificate |
| 404 | <pre>{ "statusCode": "404", "message": "The requested study document not found" }</pre> | Requested Study Id is not found, or the request URL path is not valid |
| 500 | Internal Server Error | Web server/Web API down |

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4. Testing the API Endpoint

4.1. Postman REST client Setup

This section details steps to test the SDR RI API endpoints using a REST client. For the purpose of this document the tool used is Postman REST Client.

PRE-REQUISITES:

- Self-Signed .pfx certificate configured for SDR API Access.
- Install Postman Tool

STEPS:

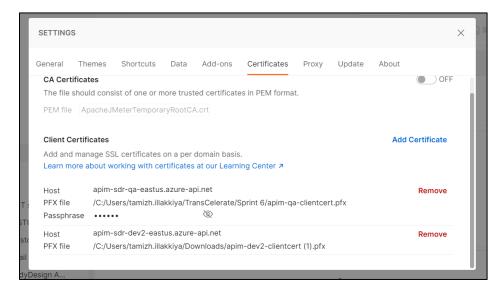
i. Open postman and go to "Settings" option.

Figure 13 Postman Upload Certificate



ii. Go to "Certificates" tab and upload the client certificate and provide the Host Name and Passphrase (certificate password) for the client certificate.

Figure 14 Postman Add Client Certificate



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4.2. Accessing the GET API

STEPS:

i. Enter the URL for the GET Request URL (APIM endpoint) as mentioned below.

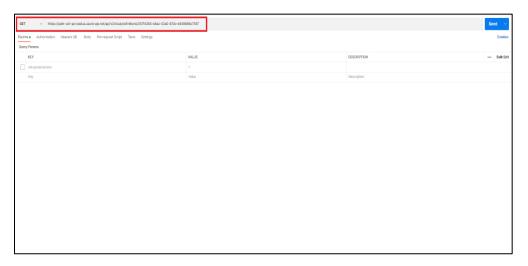
https://apim-sdr-envname-eastus.azure-api.net/api/v3/studydefinitions/{studyId}

ii. Get the **StudyId** from <u>Response for GET StudyV2.0</u> and replace the Study ID path parameter value in the GET URL.

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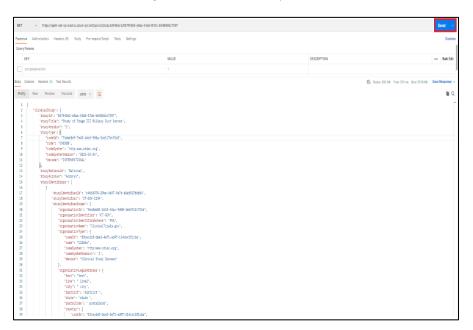


Figure 15 Postman set HTTP Request for GET



iii. Click on the "Send" button to get the study definition data for given studyld.

Figure 16 Postman Send Request



iv. For a valid certificate and correct SDR API URL, the API Response of HTTP Status code 200 along with the data will be returned.

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Figure 17 Postman HTTP Response

Similarly, below response codes returned by SDR APIM endpoints can also be tested in Postman. These scenarios can be tested in any of the REST API tools other than Postman REST client.

- 400 The server cannot or will not process the request due to something that is perceived to be a client error
- 403 indicates that the server understands the request but refuses to authorize it
- 404 indicates that the server cannot find the requested resource
- Incorrect Domain/hostname
- Incorrect Protocol
- Incorrect Method

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