

# CS 220: Recursion

## The Art of Self Reference

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# Part 2 of CS220 - Data Structures

- Lists and Dictionaries
- CSV and JSON
- Objects and References
- Fancy Functions
  - Recursion
  - Generators
  - Functions are Objects
- Files
- Errors

# Goal: use self-reference is a meaningful way

**Hofstadter's Law:** “*It always takes longer than you expect, even when you take into account Hofstadter's Law.*”

(From Gödel, Escher, Bach)

good advice for CS assignments!

“Dialectical Materialism is materialism that involves dialectic.”

“The Marxist theory (adopted as the official philosophy of the Soviet communists) that political and historical events result from the conflict of social forces and are interpretable as a series of contradictions and their solutions. The conflict is believed to be caused by material needs.”

# Goal: use self-reference is a meaningful way

**Hofstadter's Law:** “It always takes longer than you expect, even when you take into account **Hofstadter's Law.**”

(From Gödel, Escher, Bach)

**mountain:** “a landmass that projects conspicuously above its surroundings and is higher than a **hill**”

**hill:** “a usually rounded natural elevation of land lower than a **mountain**”

(Example of **unhelpful** self reference from Merriam-Webster dictionary)

# Overview: Learning Objectives

Recursive definitions and recursive information

- What is a **recursive definition/structure**?
- Arbitrarily vs. infinitely

Recursive code

- What is **recursive code**?
- Why write recursive code?
- Where do computers keep local variables for recursive calls?
- What happens to programs with **infinite recursion**?

Read *Think Python*

- ♦ Ch 5: “Recursion” through “Infinite Recursion”
- ♦ Ch 6: “More Recursion” through end

# What is Recursion?

## **Recursive** definitions

- Contain the term in the body
- Dictionaries, mathematical definitions, etc

A number  $x$  is a **positive even number** if:

- $x$  is 2

**OR**

- $x$  equals another **positive even number** plus two

# What is Recursion?

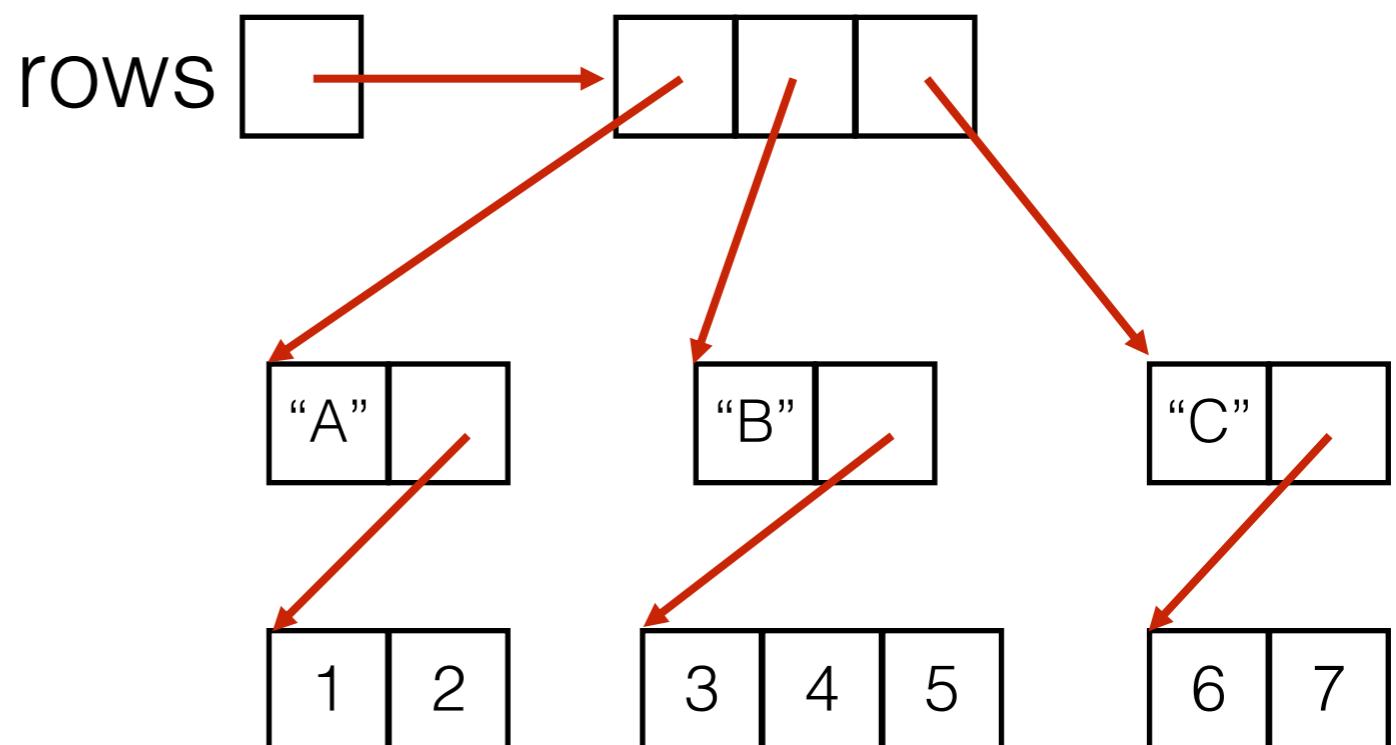
## **Recursive** definitions

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- Dictionaries, mathematical definitions, etc

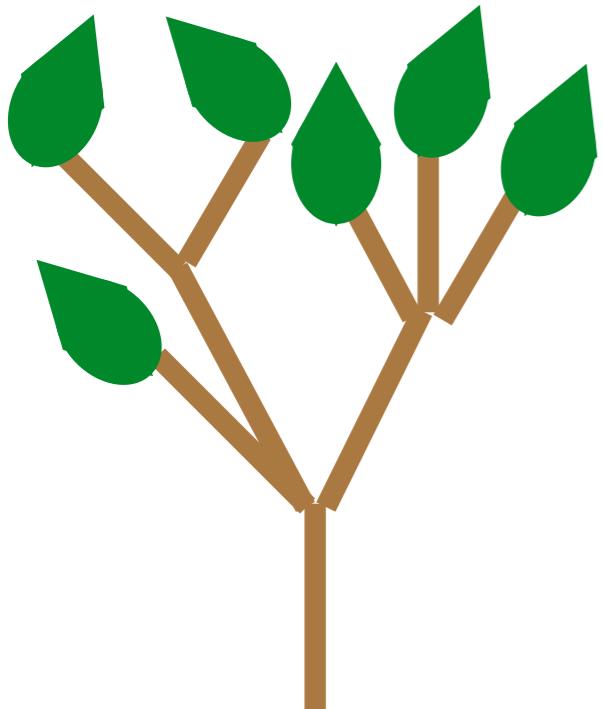
## **Recursive** structures may refer to structures of the same type

- data structures or real-world structures

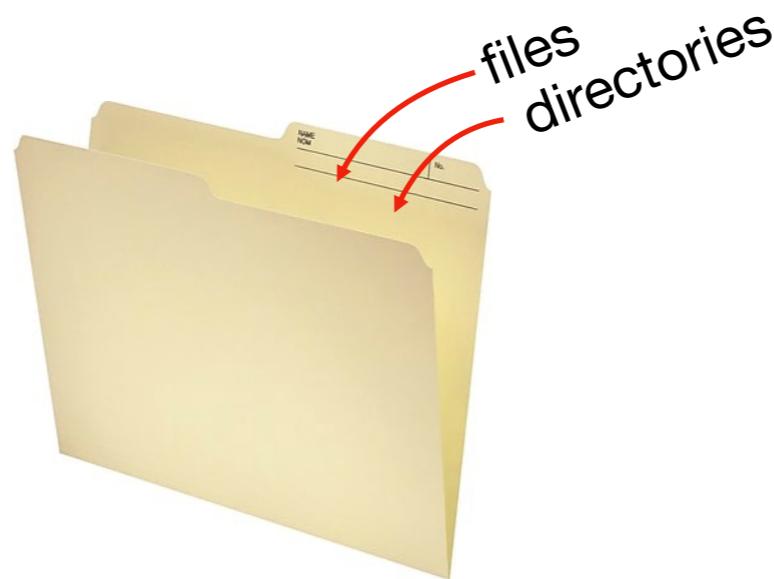
```
rows = [  
    ["A", [1,2]],  
    ["B", [3,4,5]],  
    ["C", [6,7]]  
]
```



# Recursive structures are EVERYWHERE!



nature



files

```
{  
  "name": "alice",  
  "grade": "A",  
  "score": 96,  
  "exams": {  
    "midterm": {"points": 94,  
                "total": 100},  
    "final": {"points": 98,  
              "total": 100}  
  }  
}
```

formats

# Example: Trees (Finite Recursion)

**Term:** branch

**Def:** wooden stick, with an end  
splitting into other branches, OR  
terminating with a leaf

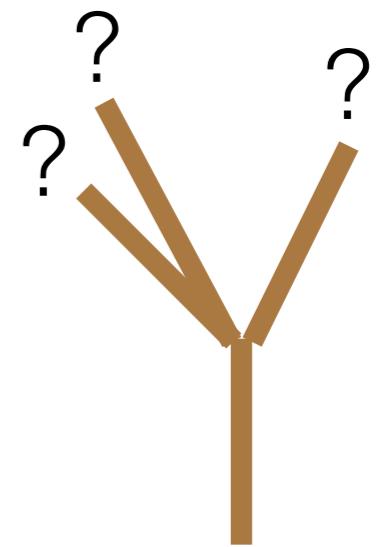
?



# Example: Trees (Finite Recursion)

**Term:** branch

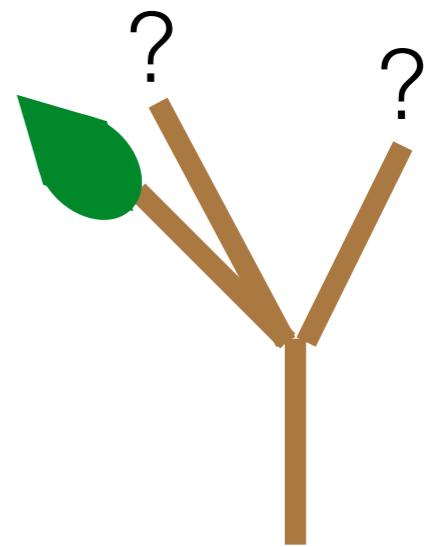
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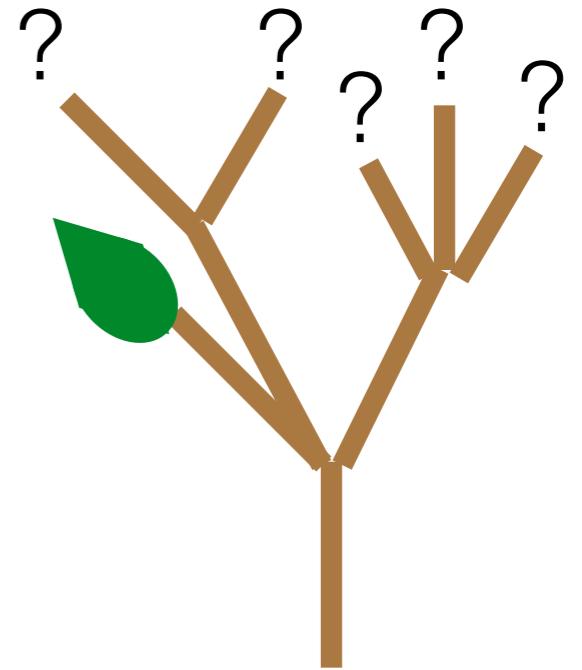
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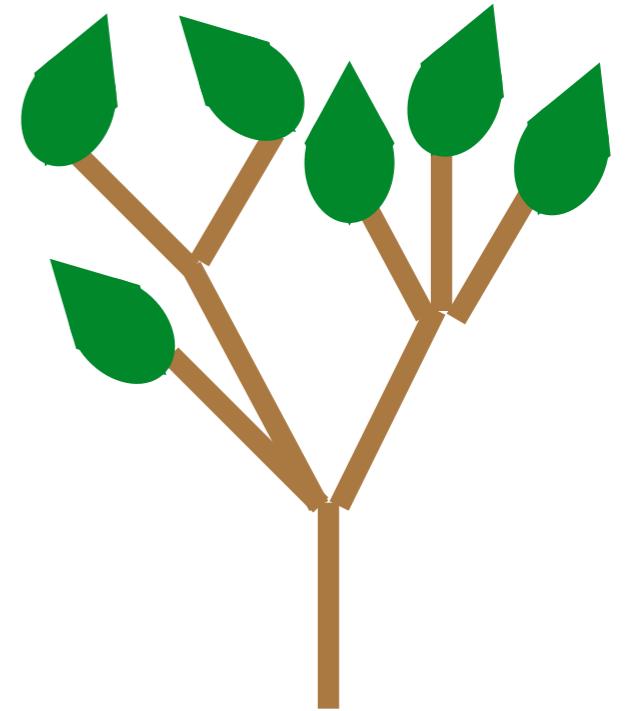
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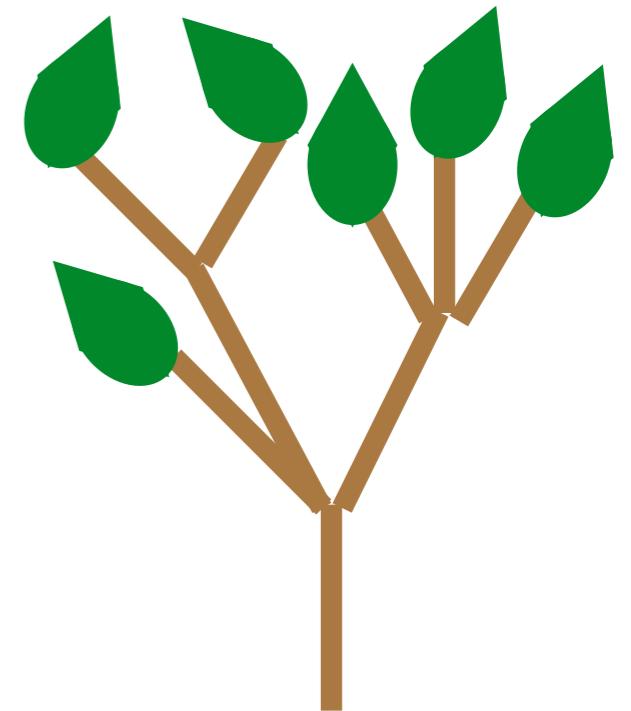
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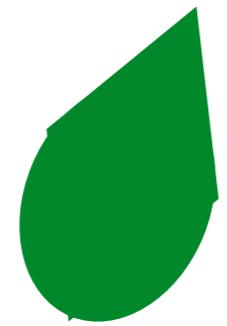
**Def:** wooden stick, with an end splitting into other branches, OR terminating with a leaf

trees are finite:  
eventual **base case**  
allows completion

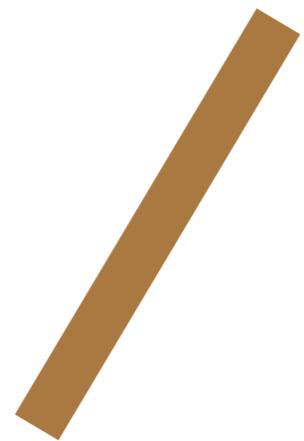
trees are arbitrarily large:  
**recursive case** allows  
indefinite growth



arbitrarily != infinitely



base case (leaf)

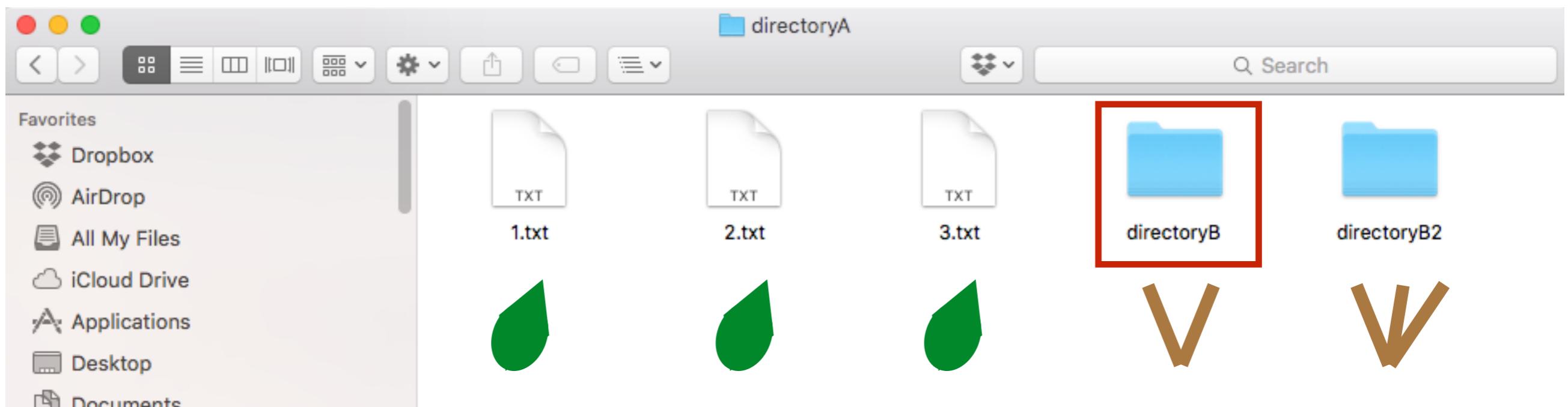


recursive case (branch)

# Example: Directories (aka folders)

**Term:** directory

**Def:** a collection of files and **directories**



*file system tree*

*recursive because def contains term*

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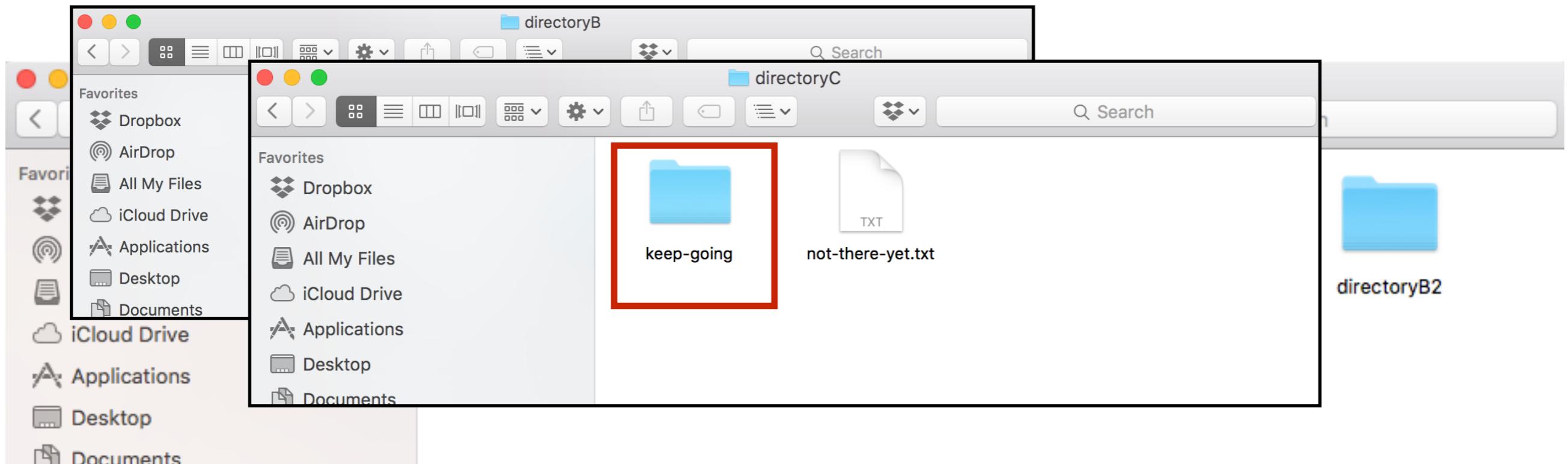


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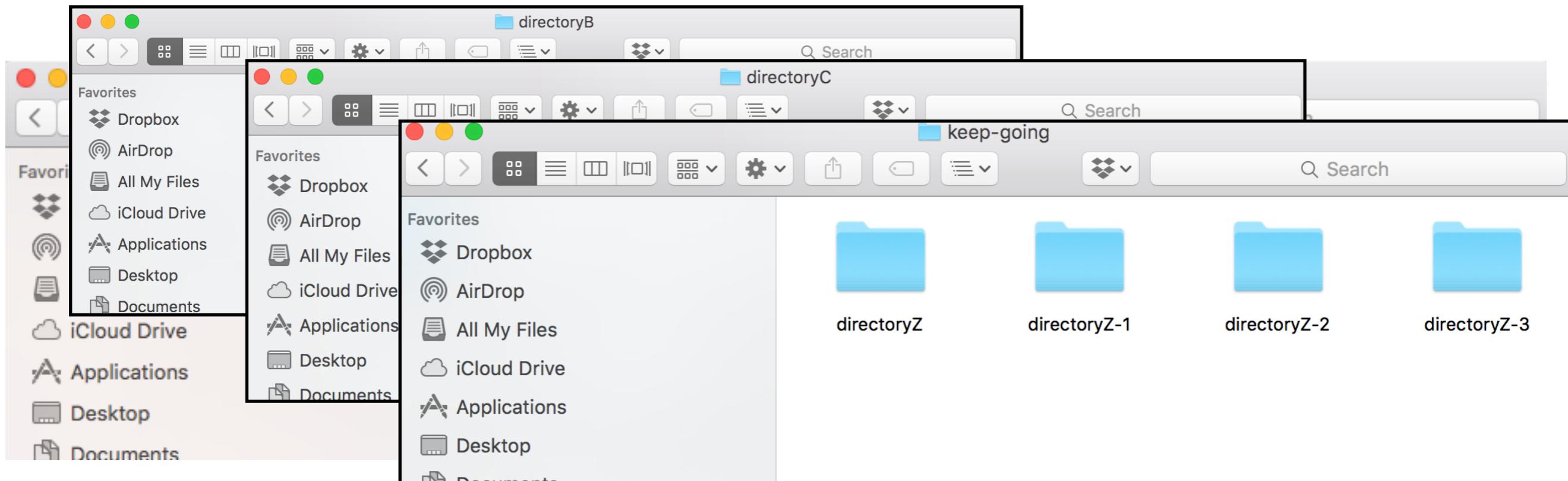
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*file system tree*

# Example: (simplified) JSON Format

**Example JSON Dictionary:**

```
{  
  "name": "alice",  
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}
```

**Term:** *json-dict*

**Def:** a set of *json-mapping*'s

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**Term:** *json-mapping*

**Def:** a *json-string* (**KEY**) paired with a  
*json-string* OR *json-number*  
OR *json-dict* (**VALUE**)

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recursive self reference isn't always direct!

# Example: (simplified) JSON Format

## Example JSON Dictionary:

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{  
  "name": "alice",  
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# Overview: Learning Objectives

Recursive information

- What is a **recursive definition/structure**?
- Arbitrarily vs. infinitely

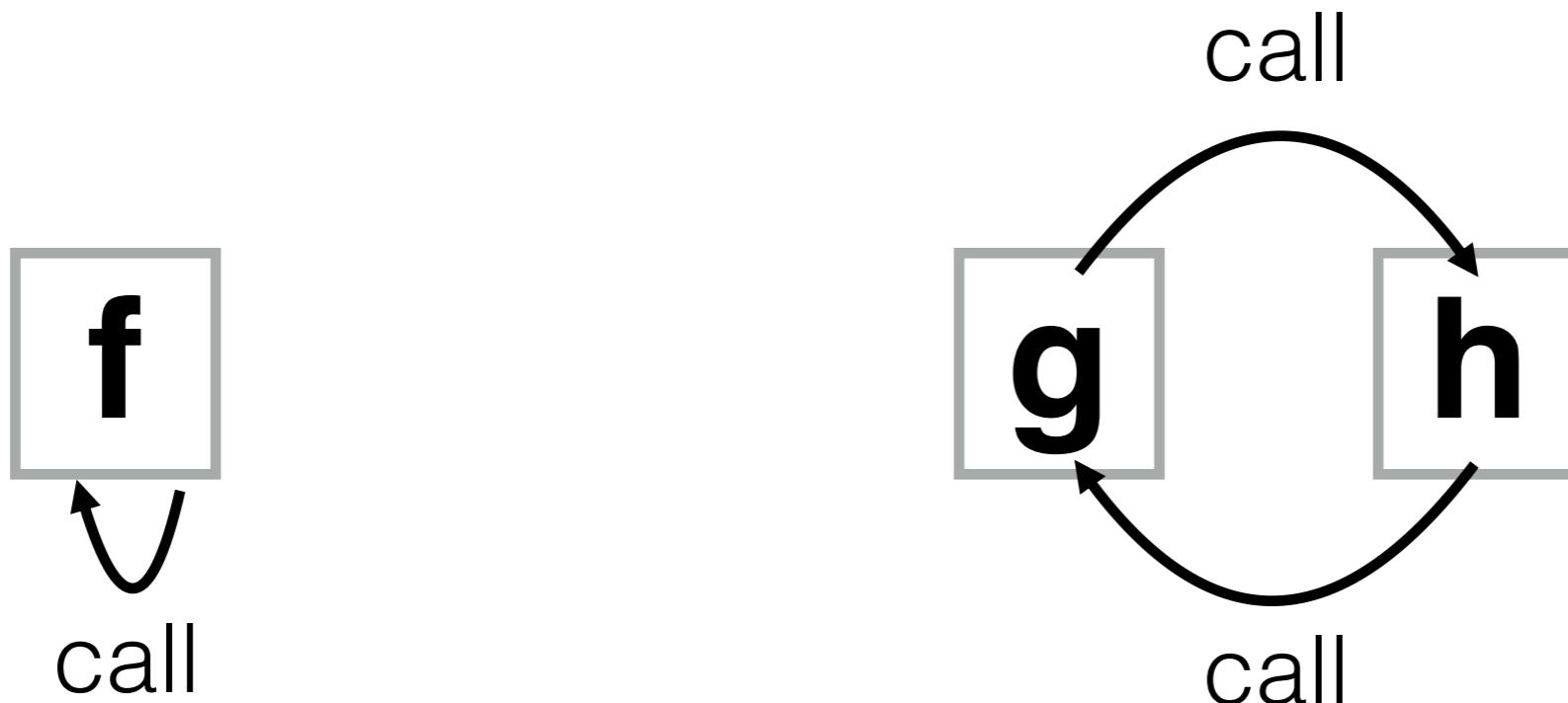
Recursive code

- What is **recursive code**?
- Why write recursive code?
- Where do computers keep local variables for recursive calls?
- What happens to programs with **infinite recursion**?

# Recursive Code

What is it?

- A function that calls itself (possibly indirectly)



# Recursive Code

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- A function that calls itself (possibly indirectly)

```
def f():
    # other code
    f()
    # other code
```

```
def g():
    # other code
    h()
    # other code

def h():
    # other code
    g()
    # other code
```

# Recursive Code

What is it?

- A function that calls itself (possibly indirectly)

Motivation: don't know how big the data is before execution

- Need either **iteration** or **recursion**
- In theory, these techniques are equally powerful

Why recurse? (instead of always iterating)

- in practice, often easier
- recursive code corresponds to recursive data
- reduce a big problem into a smaller problem

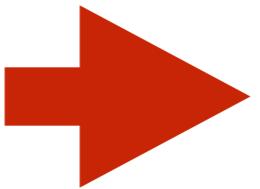


<https://texastreesurgeons.com/services/tree-removal/>

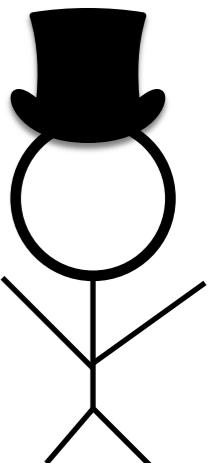
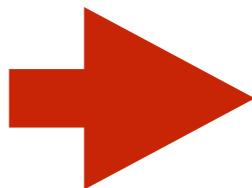


# Recursive Student Counting

eager CS 220 students  
in the front row



wise and benevolent  
teacher wearing a top hat



# Recursive Student Counting

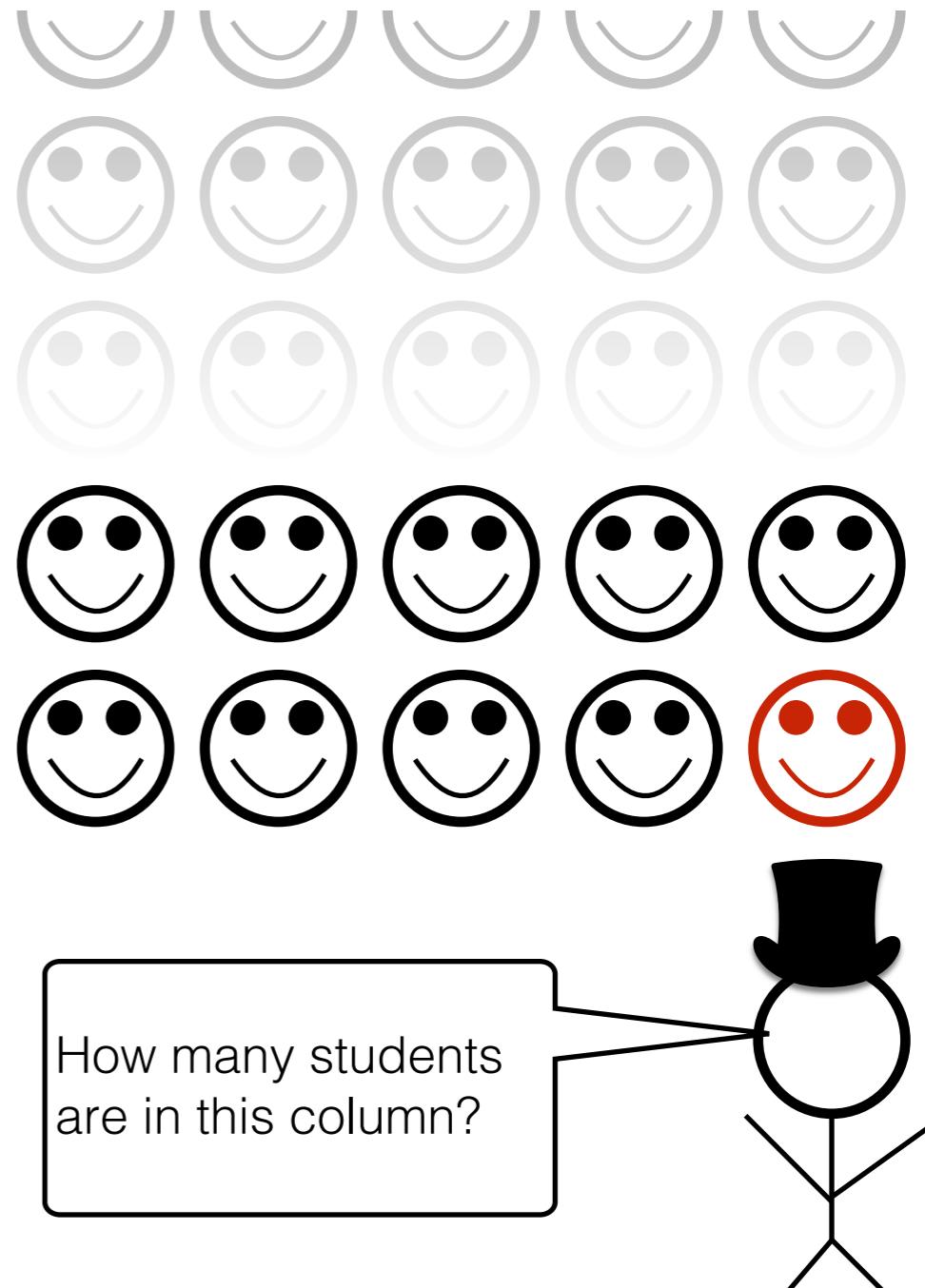
Imagine:

A teacher wants to know how many students are in a column.

**What should each student ask the person behind them?**

Constraints:

- It is dark, you **can't** see the back
- You **can't** get up to count
- You **may** talk to adjacent students
- Mic is broken (students in back can't hear from front)



# Recursive Student Counting

Strategy: **reframe** question as “*how many students are behind you?*”

*Reframing is the hardest part*

how many are behind you?



# Recursive Student Counting

Strategy: reframe question as “*how many students are behind you?*”

Process:

**if** nobody is behind you: **say** 0  
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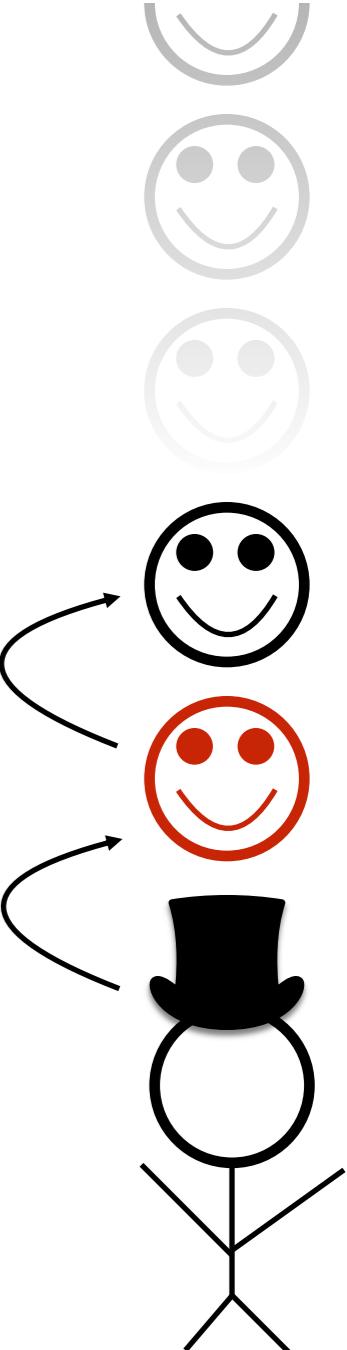
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how many are behind you?



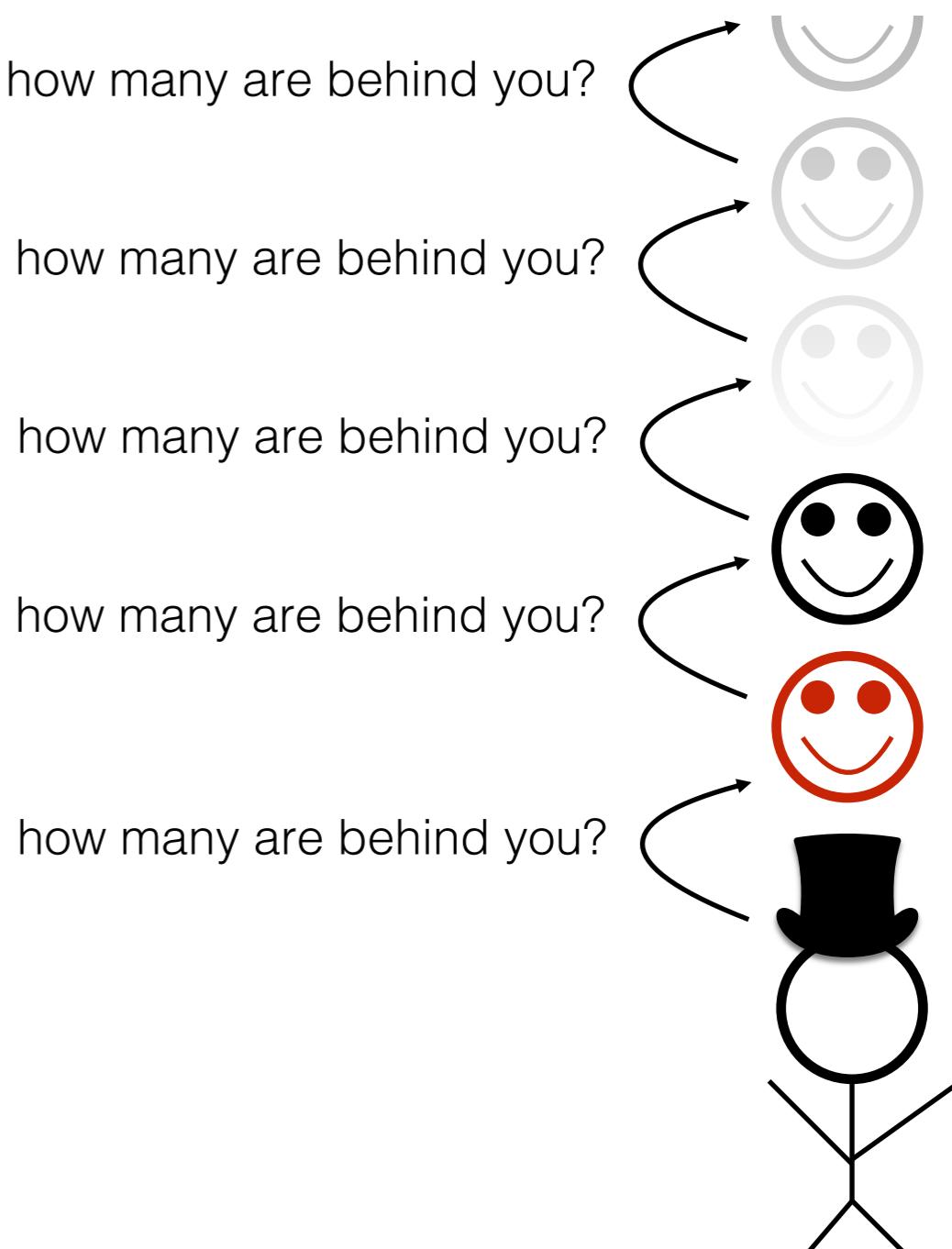
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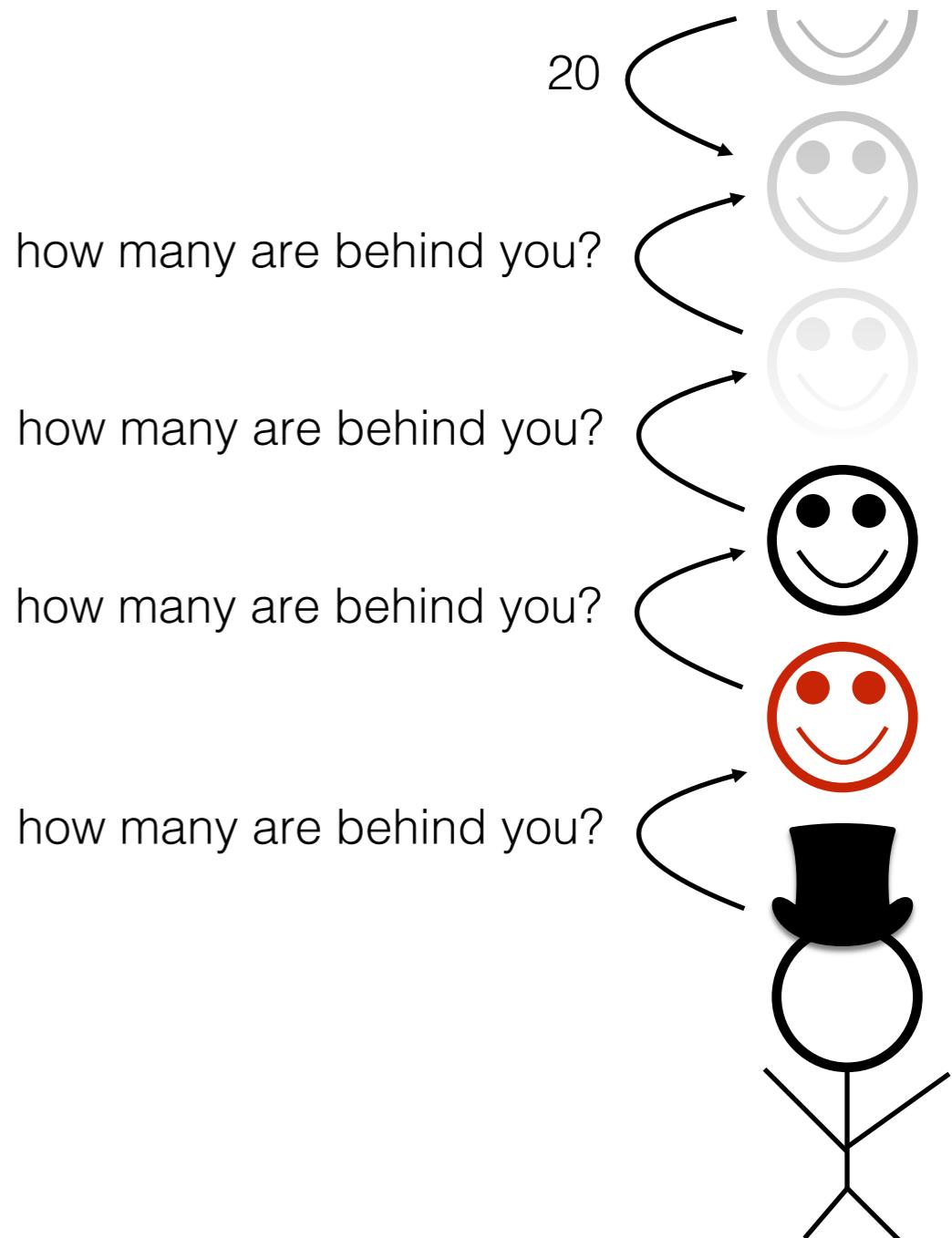


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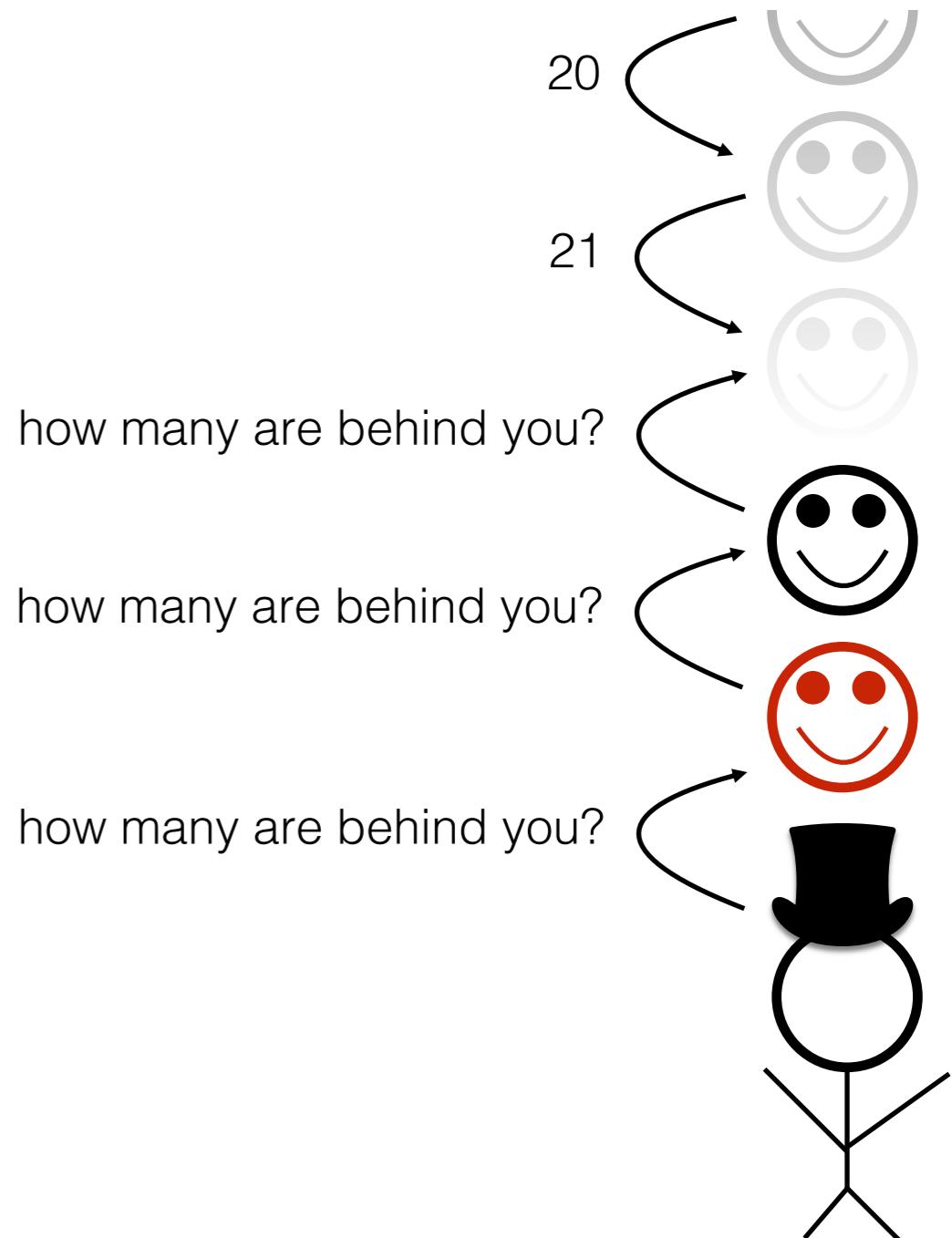


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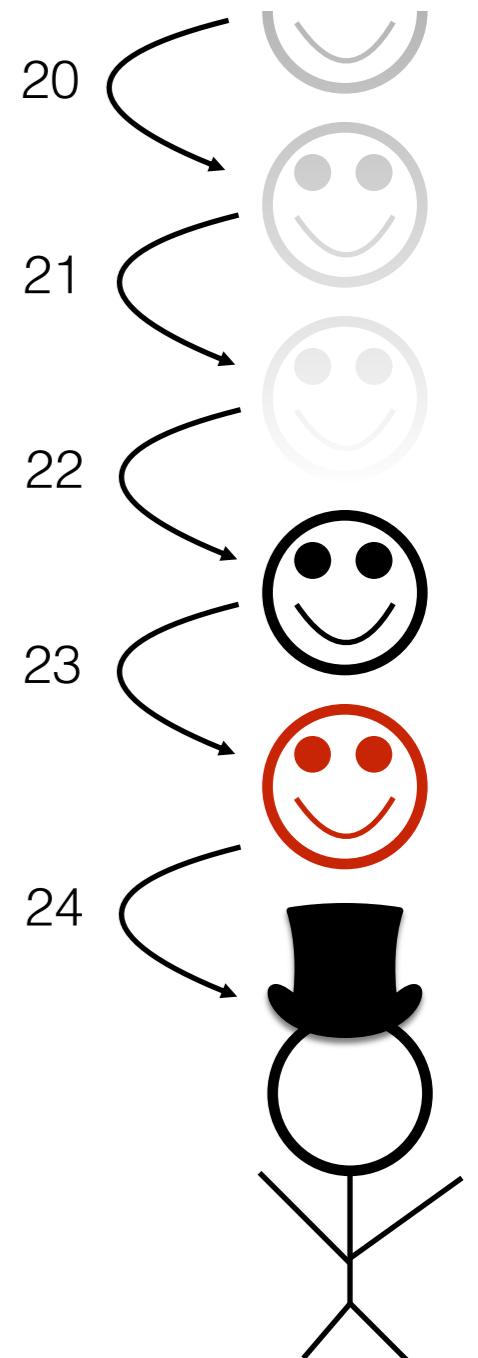


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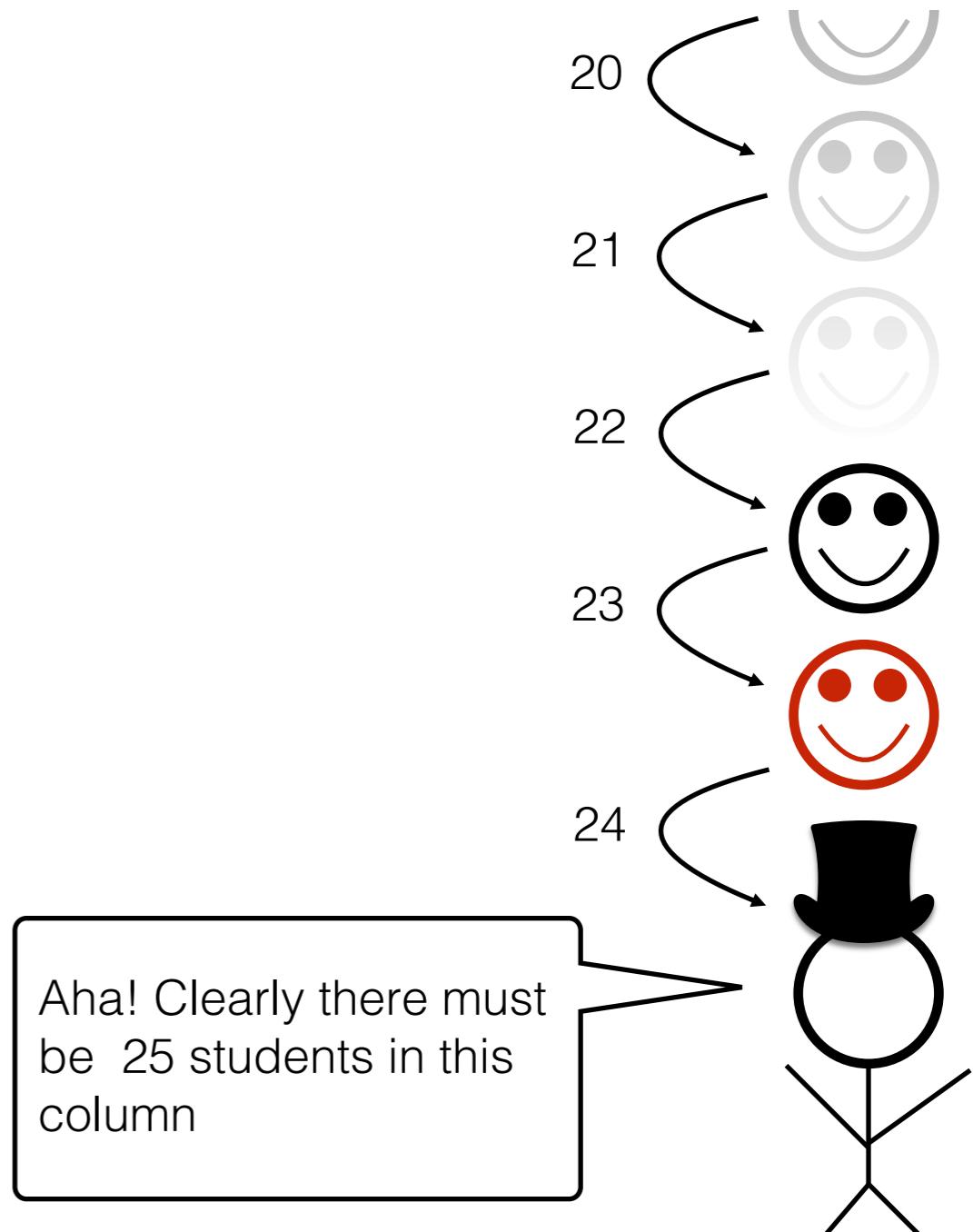


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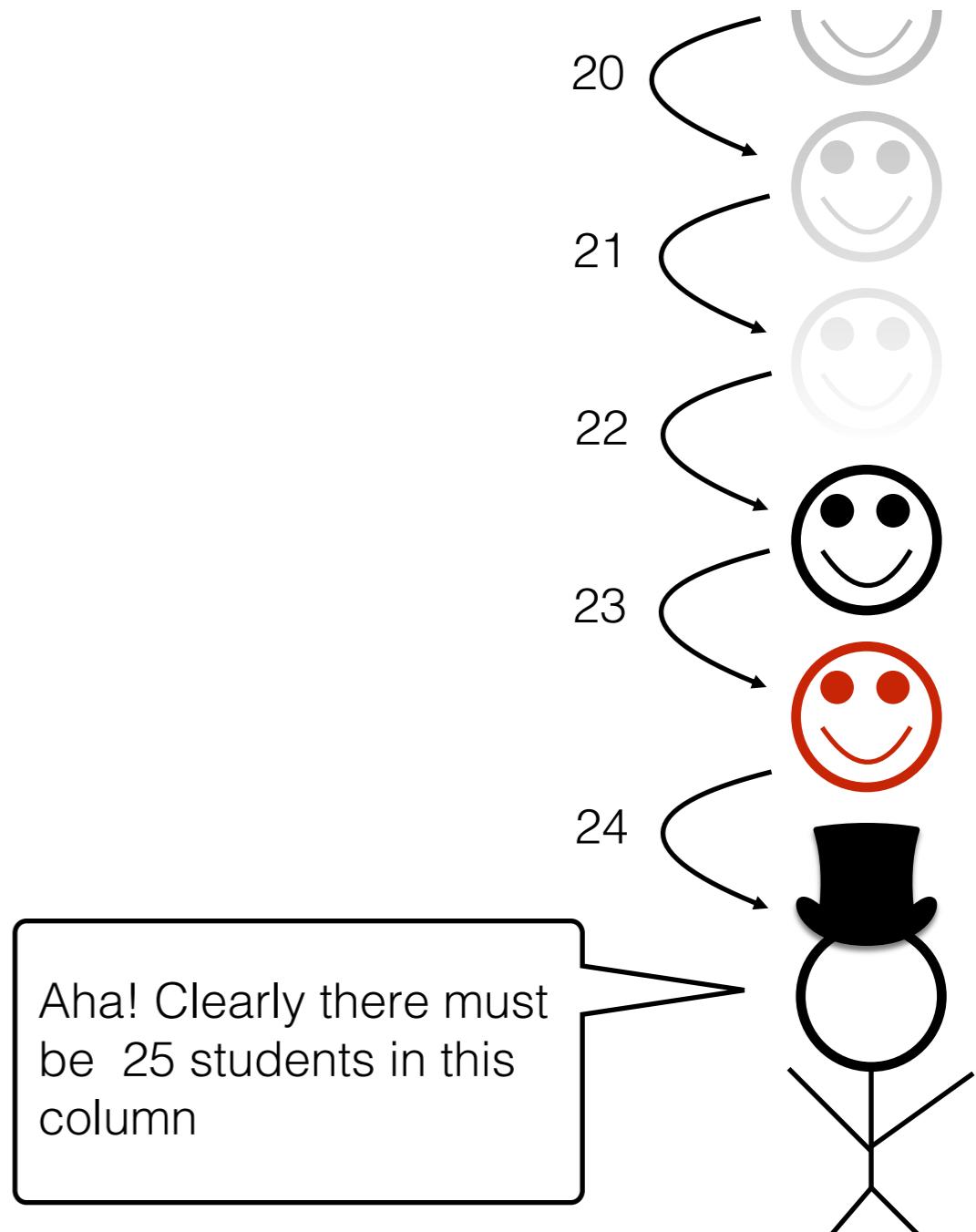
Strategy: reframe question as  
***“how many students are behind you?”***

Process:

**if** nobody is behind you: **say** 0  
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Observations:

- Each student runs the **same** “code”
- Each student has their **own** “state”



# Practice: Reframing Factorials

$$N! = 1 \times 2 \times 3 \times \dots \times (N-2) \times (N-1) \times N$$

# Example: Factorials

## 1. Examples:

$$1! = 1$$

$$2! = 1 * 2 = 2$$

$$3! = 1 * 2 * 3 = 6$$

$$4! = 1 * 2 * 3 * 4 = 24$$

$$5! = 1 * 2 * 3 * 4 * 5 = 120$$

## 2. Self Reference:

## 3. Recursive Definition:

## 4. Python Code:

```
def fact(n):  
    pass # TODO
```

Goal: work from examples to get to recursive code

# Example: Factorials

## 1. Examples:

$1! = 1$  *simplest example*

$2! = 1 * 2 = 2$

$3! = 1 * 2 * 3 = 6$

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*look for patterns that allow  
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$$1! =$$

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$$5! = 4! * 5$$

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$$1! = 1$$

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## 2. Self Reference:

$$1! = 1 \quad \textit{don't need a pattern}$$

$$2! = 1! * 2 \quad \textit{at the start}$$

$$3! = 2! * 3$$

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## 3. Recursive Definition:

*convert self-referring examples  
to a recursive definition*

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1! is 1

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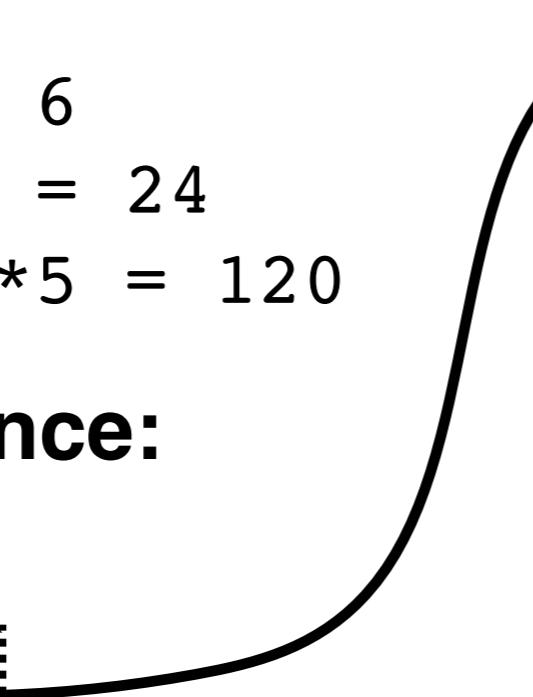
1! is 1 

N! is ???

for N>1

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N! is  $(N-1)! * N$  for  $N > 1$  

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$$5! = 1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 = 120$$

## 2. Self Reference:

$$1! = 1$$

$$2! = 1! \cdot 2$$

$$3! = 2! \cdot 3$$

$$4! = 3! \cdot 4$$

$$5! = 4! \cdot 5$$

## 3. Recursive Definition:

1! is 1 

N! is  $(N-1)! * N$  for  $N > 1$  

## 4. Python Code:

```
def fact(n):  
    if n == 1:   
        return 1
```

# Example: Factorials

## 1. Examples:

$$1! = 1$$

$$2! = 1 \cdot 2 = 2$$

$$3! = 1 \cdot 2 \cdot 3 = 6$$

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1! is 1 🌿

N! is  $(N-1)! * N$  for  $N > 1$  ✎

## 4. Python Code:

```
def fact(n):
    if n == 1: 🌿
        return 1
    p = fact(n-1) ✎
    return n * p
```



*Rule 1: Base case should always be defined and be terminal*

*Rule 2: Recursive case should make progress towards base case*

# Example: Factorials

## 1. Examples:

$$1! = 1$$

$$2! = 1 * 2 = 2$$

$$3! = 1 * 2 * 3 = 6$$

$$4! = 1 * 2 * 3 * 4 = 24$$

$$5! = 1 * 2 * 3 * 4 * 5 = 120$$

## 2. Self Reference:

$$1! = 1$$

$$2! = 1! * 2$$

$$3! = 2! * 3$$

$$4! = 3! * 4$$

$$5! = 4! * 5$$

## 3. Recursive Definition:

1! is 1 

N! is  $(N-1)! * N$  for  $N > 1$  

## 4. Python Code:

```
def fact(n):
    if n == 1: 
        return 1
    p = fact(n-1) 
    return n * p
```

Let's "run" it!

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

somebody  
called fact(4)

**fact(n=4)**

Note, this is **not** a stack frame!  
We're tracing code line-by-line.  
Boxes represent which invocation.

# Tracing Factorial

```
def fact(n):  
    → if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

# Tracing Factorial

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def fact(n):  
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```

**fact(n=4)**

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# Tracing Factorial

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def fact(n):  
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```

**fact(n=4)**

if n == 1:

**fact(n=3)**

# Tracing Factorial

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def fact(n):  
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    return n * p
```

**fact(n=4)**

if n == 1:

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if n == 1:

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```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

# Tracing Factorial

```
def fact(n):  
    → if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

# Tracing Factorial

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def fact(n):  
    if n == 1:   
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```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

# Tracing Factorial

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def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        →      return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1



# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1

return 2

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1

return 2

p = 2

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1

return 2

p = 2

return 6

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1

return 2

p = 2

return 6

p = 6

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```



**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1

return 2

p = 2

return 6

p = 6

return 24

# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1 

return 2

p = 2 

return 6

p = 6 

return 24



# Tracing Factorial

```
def fact(n):  
    if n == 1:   
        return 1  
    p = fact(n-1)   
    return n * p
```

How does Python keep  
all the variables separate?

frames to the rescue!

**fact(n=4)**

if n == 1:

**fact(n=3)**

if n == 1:

**fact(n=2)**

if n == 1:

**fact(n=1)**

if n == 1:

return 1

p = 1

return 2

p = 2

return 6

p = 6

return 24

# Deep Dive: Invocation State

In recursion, each function invocation has its **own state**, but multiple invocations **share code**.

Variables for an invocation exist in a **frame**

- the frames are stored in the **stack**



# Deep Dive: Invocation State

In recursion, each function invocation has its **own state**, but multiple invocations **share code**.

Variables for an invocation exist in a **frame**

- the frames are stored in the **stack**
- one invocation is active at a time: its frame is on the top of stack



# Deep Dive: Invocation State

In recursion, each function invocation has its **own state**, but multiple invocations **share code**.

Variables for an invocation exist in a **frame**

- the frames are stored in the **stack**
- one invocation is active at a time: its frame is on the top of stack
- if a function calls itself, there will be multiple frames at the same time for the multiple invocations of the same function

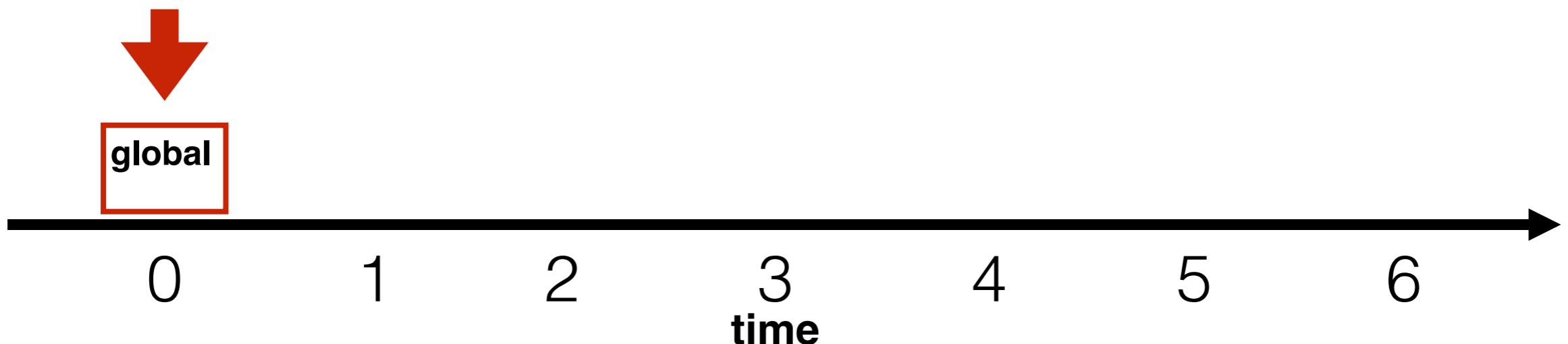


# Deep Dive: Runtime Stack

```
def fact(n):  
    if n == 1:  
        return 1  
    p = fact(n-1)  
    return n * p
```

call fact(3)

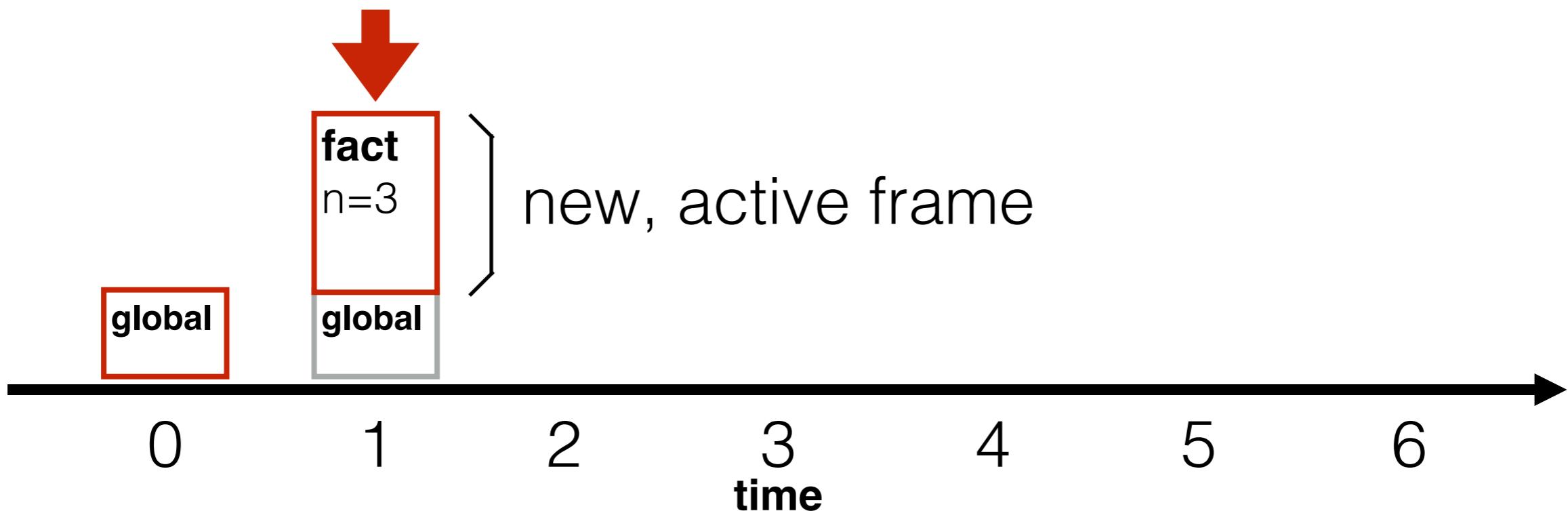
Current  
Runtime Stack



# Deep Dive: Runtime Stack

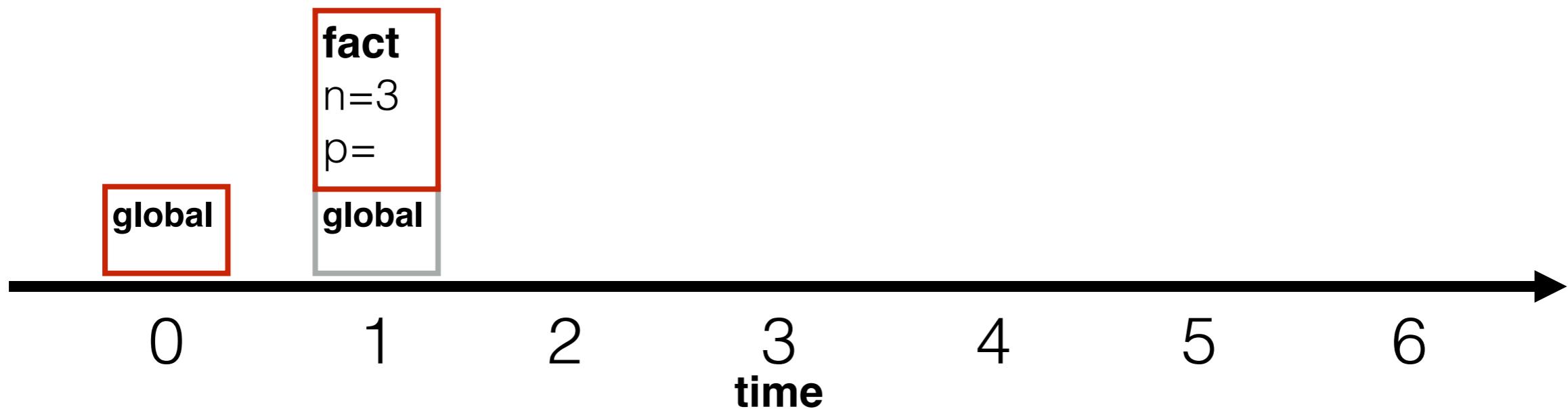
```
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    if n == 1:  
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    p = fact(n-1)  
    return n * p
```

Current  
Runtime Stack



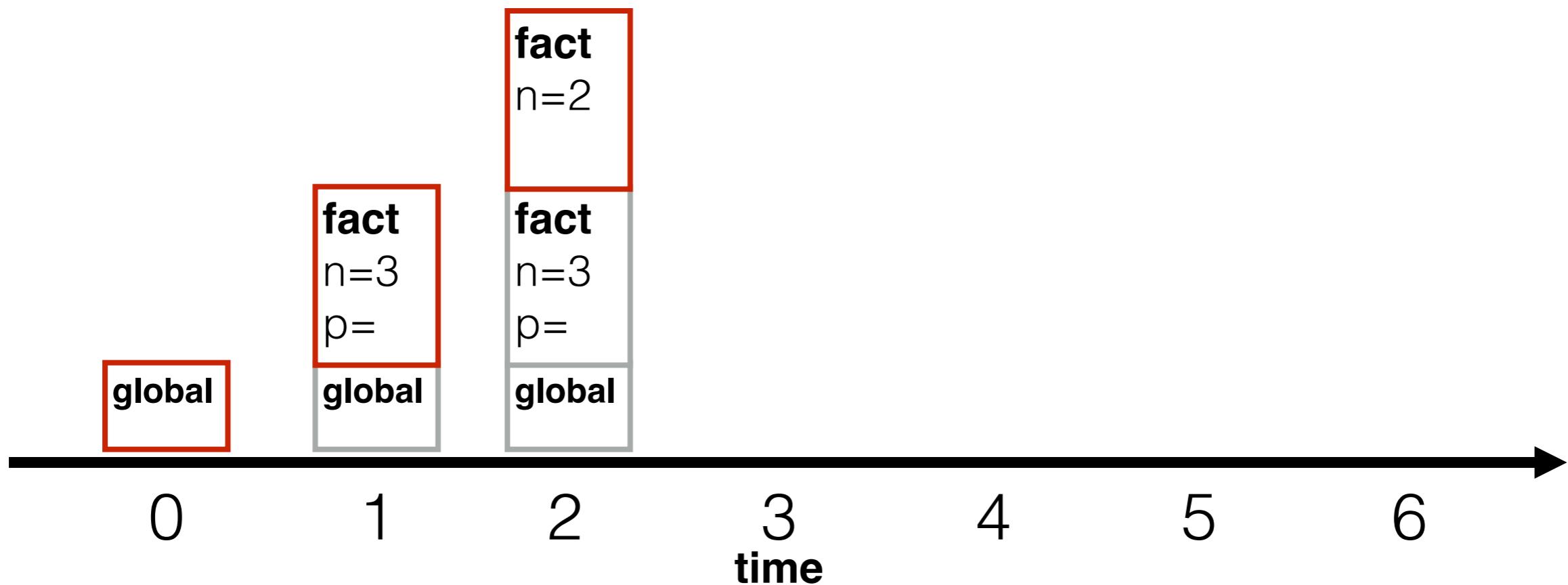
# Deep Dive: Runtime Stack

```
def fact(n):  
    if n == 1:  
        return 1  
    p = fact(n-1) ← Red arrow  
    return n * p
```



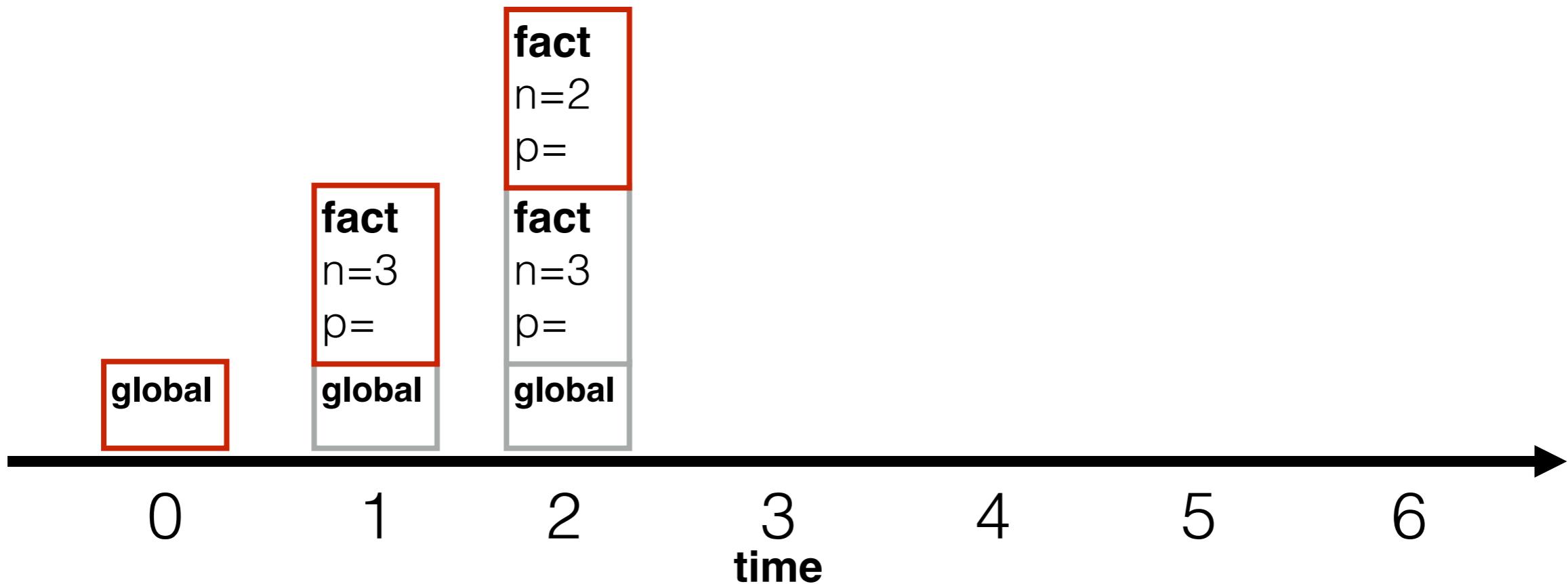
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```

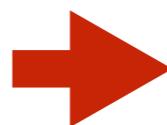


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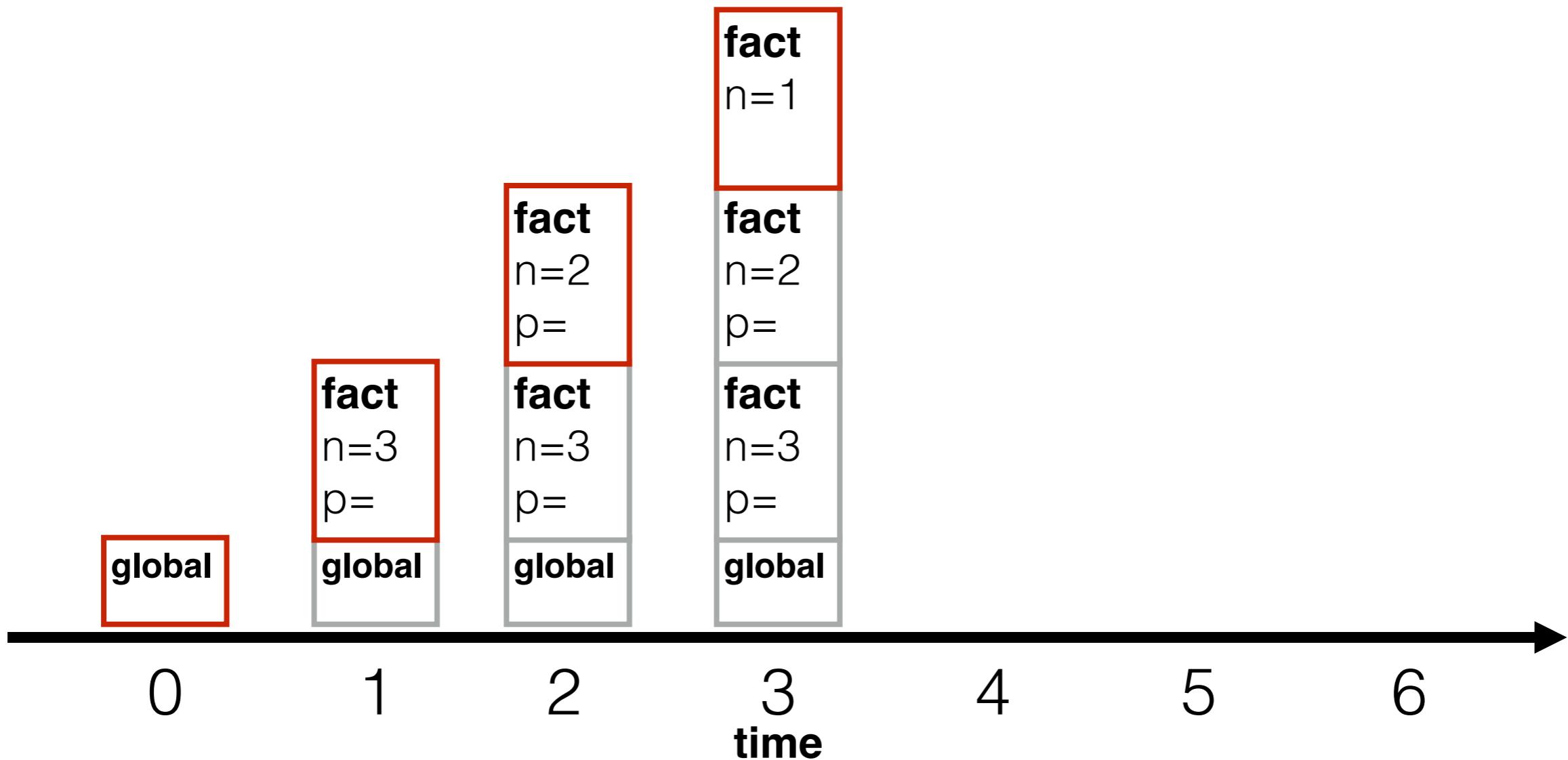
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```



# Deep Dive: Runtime Stack

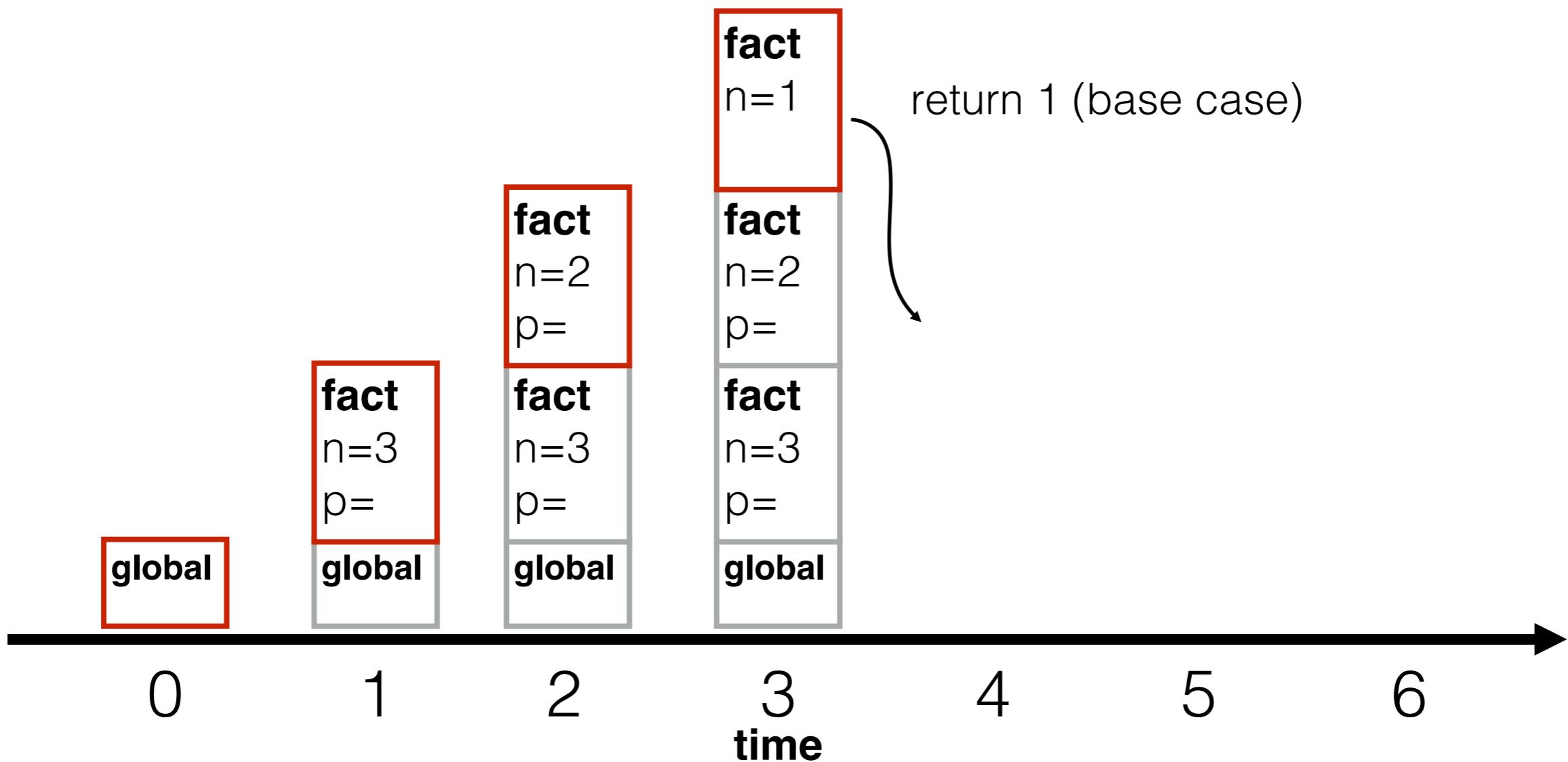


```
def fact(n):  
    if n == 1:  
        return 1  
    p = fact(n-1)  
    return n * p
```



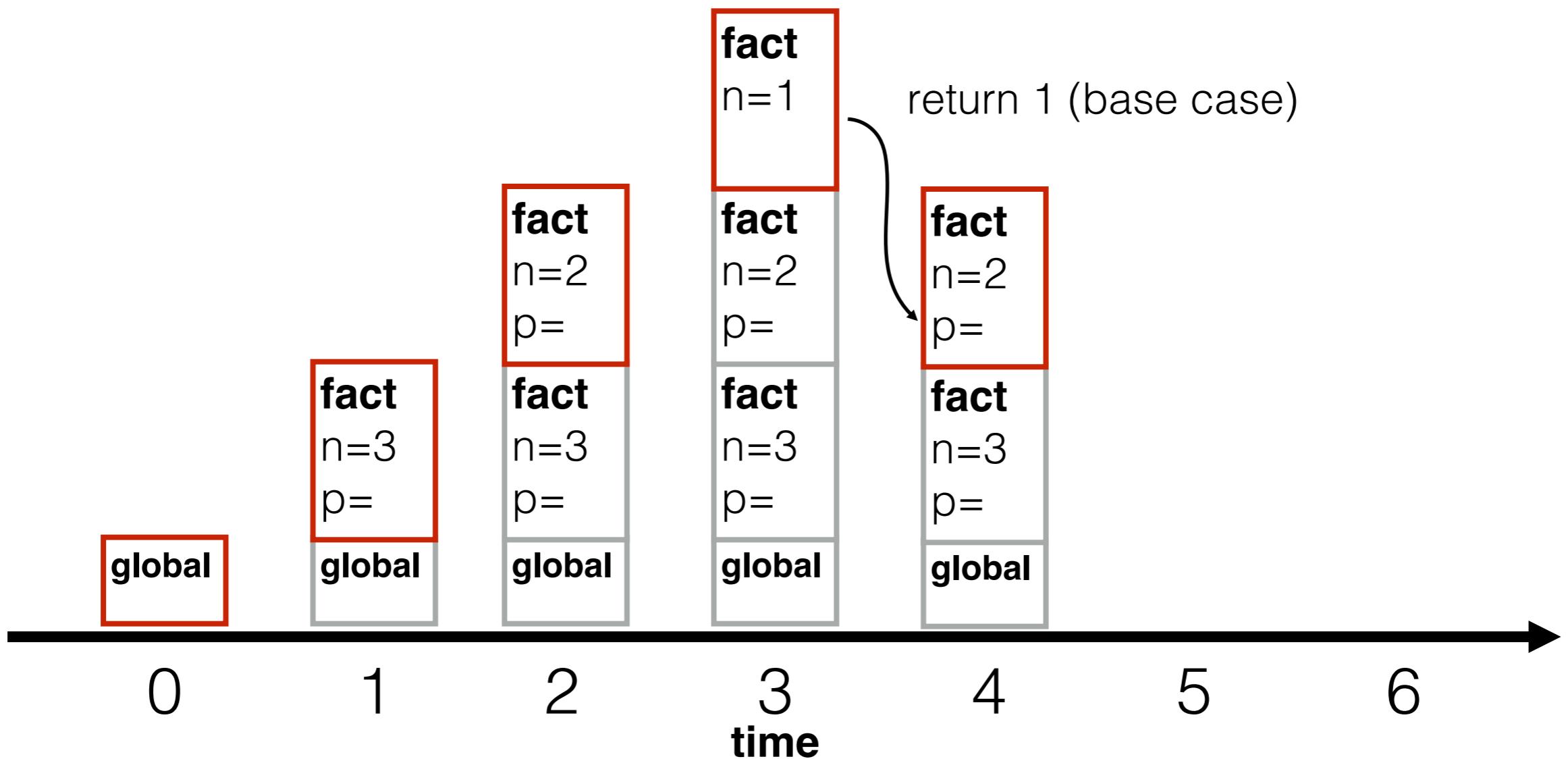
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    return n * p
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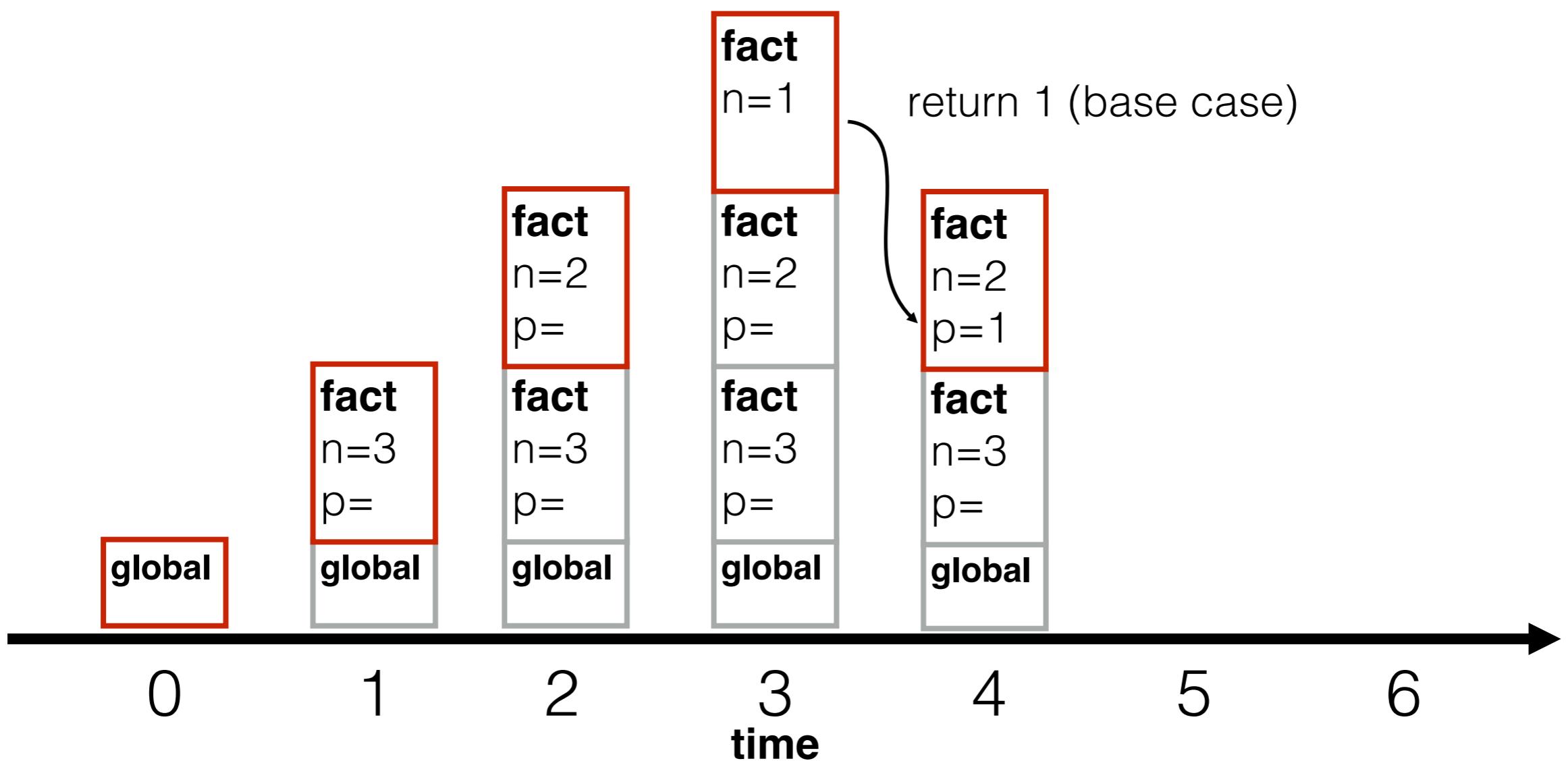
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```



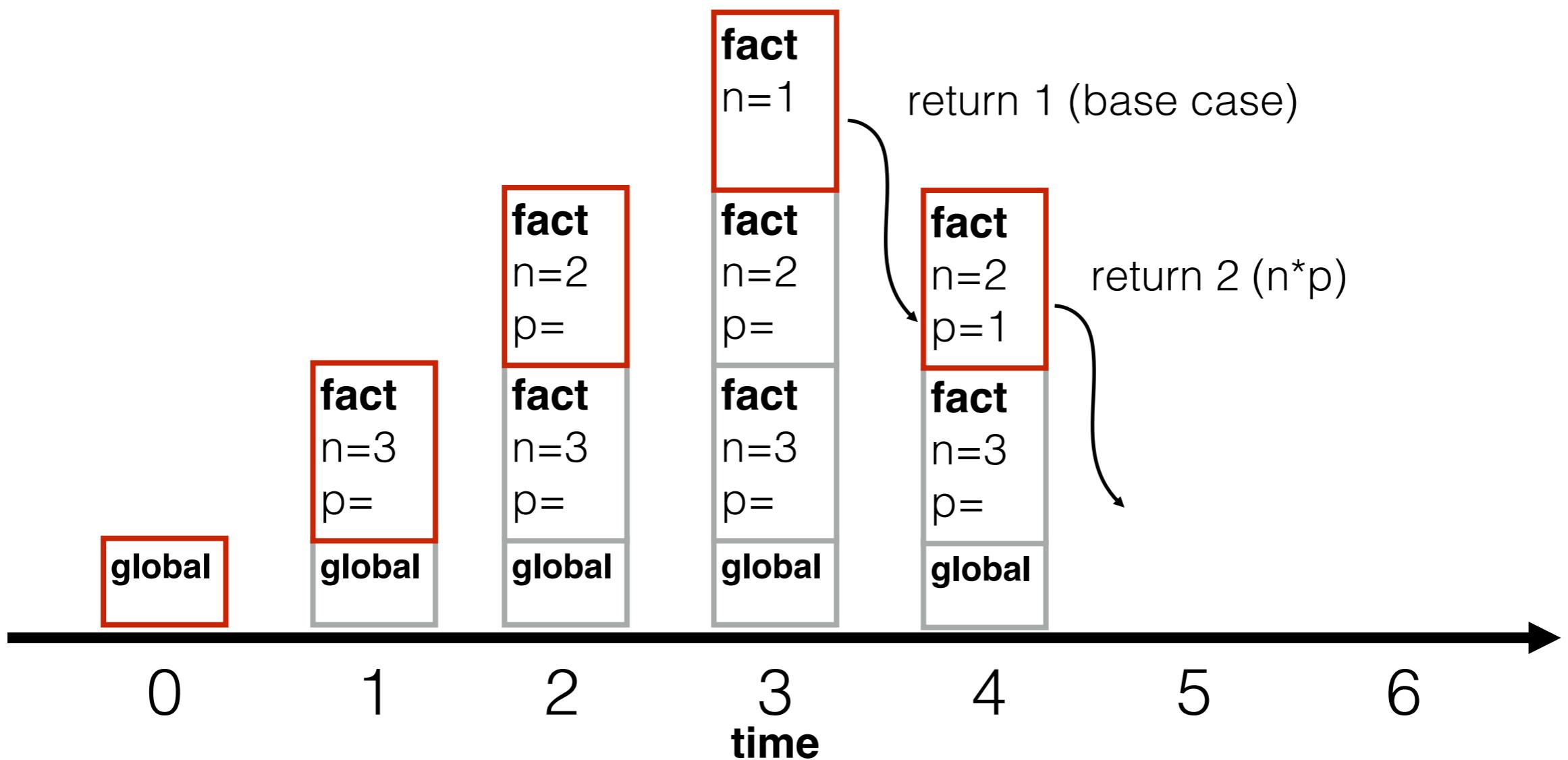
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```



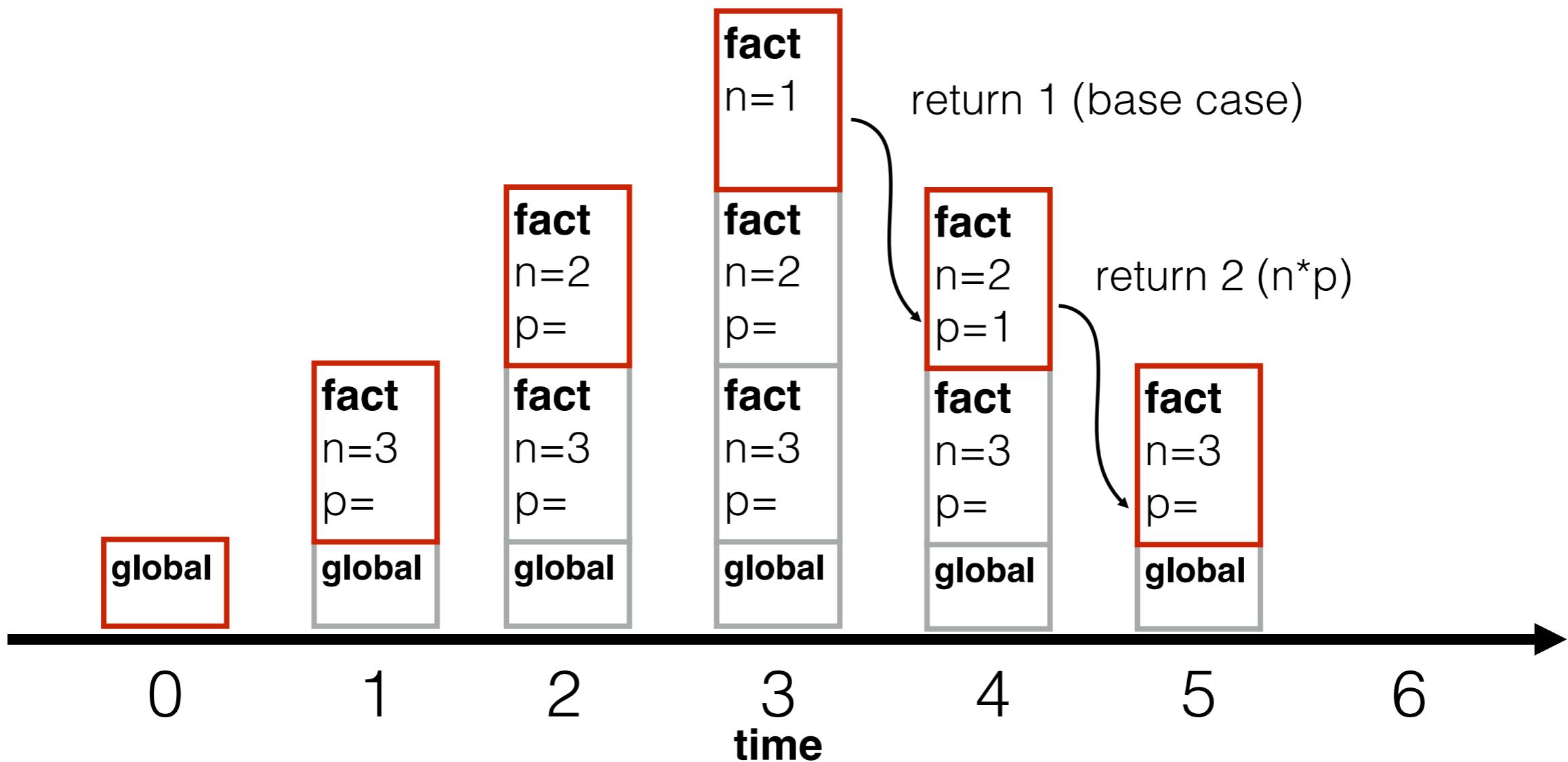
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    p = fact(n-1)  
    return n * p
```



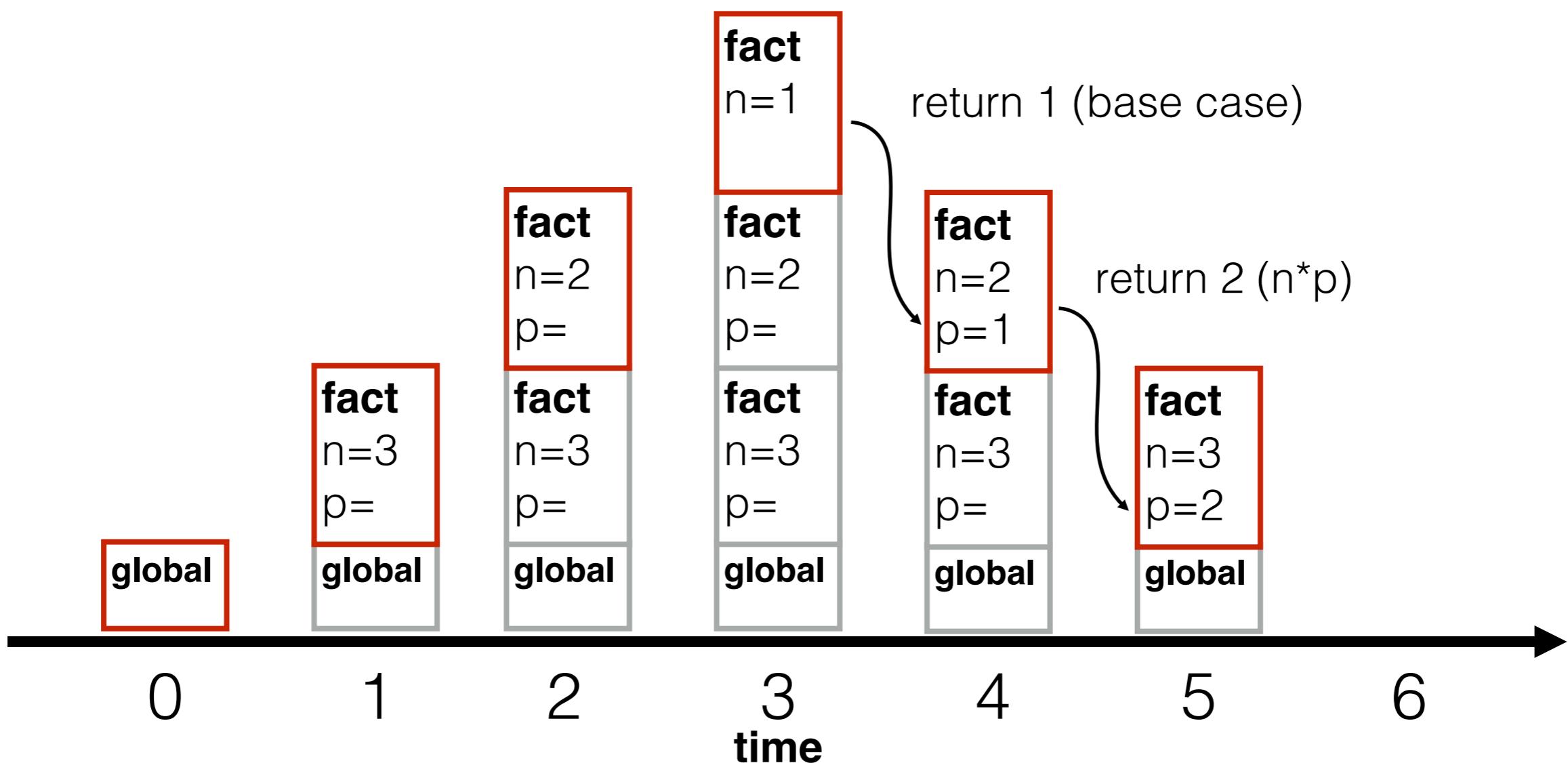
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    if n == 1:  
        return 1  
    p = fact(n-1)  
    return n * p
```



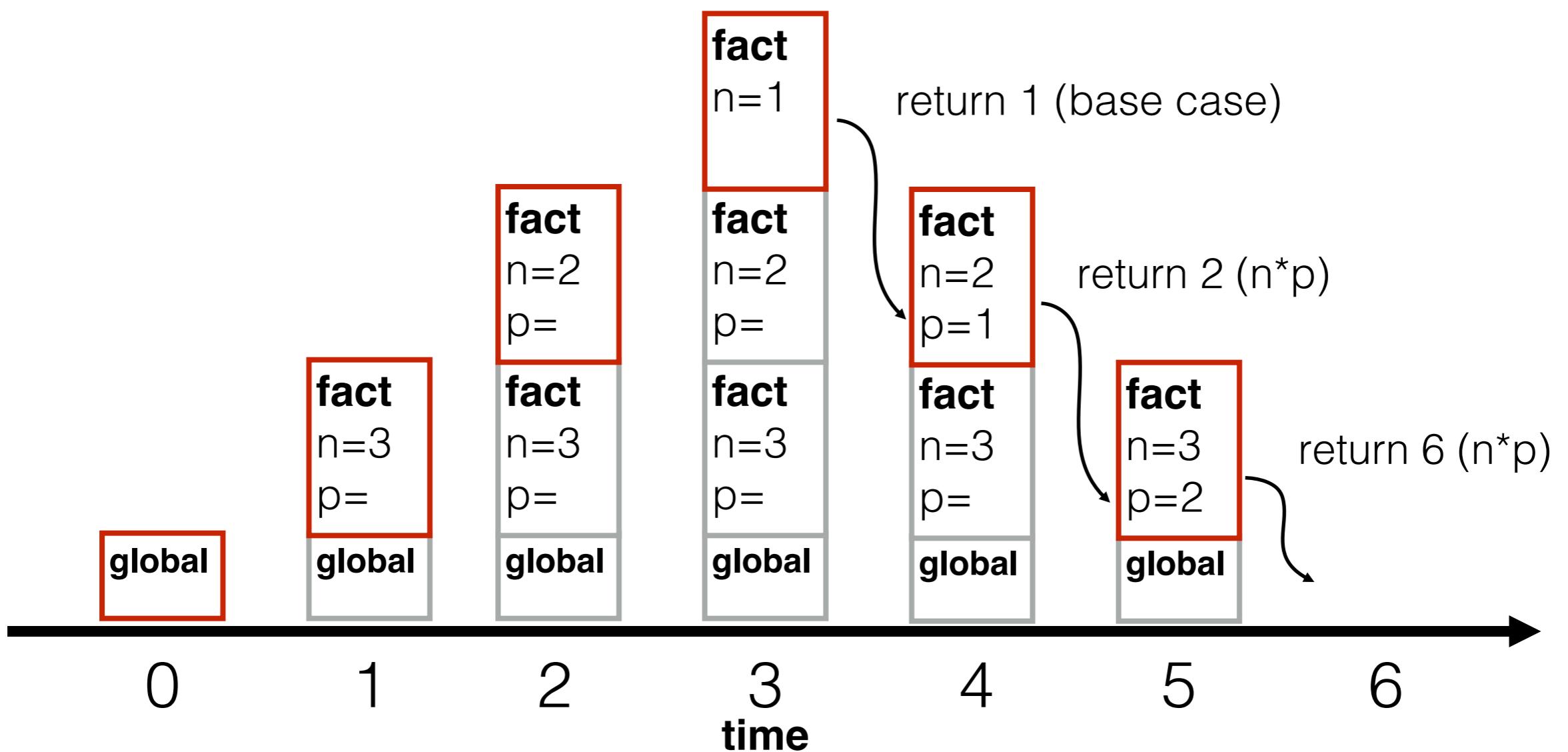
# Deep Dive: Runtime Stack

```
def fact(n):  
    if n == 1:  
        return 1  
    p = fact(n-1)  
    return n * p
```



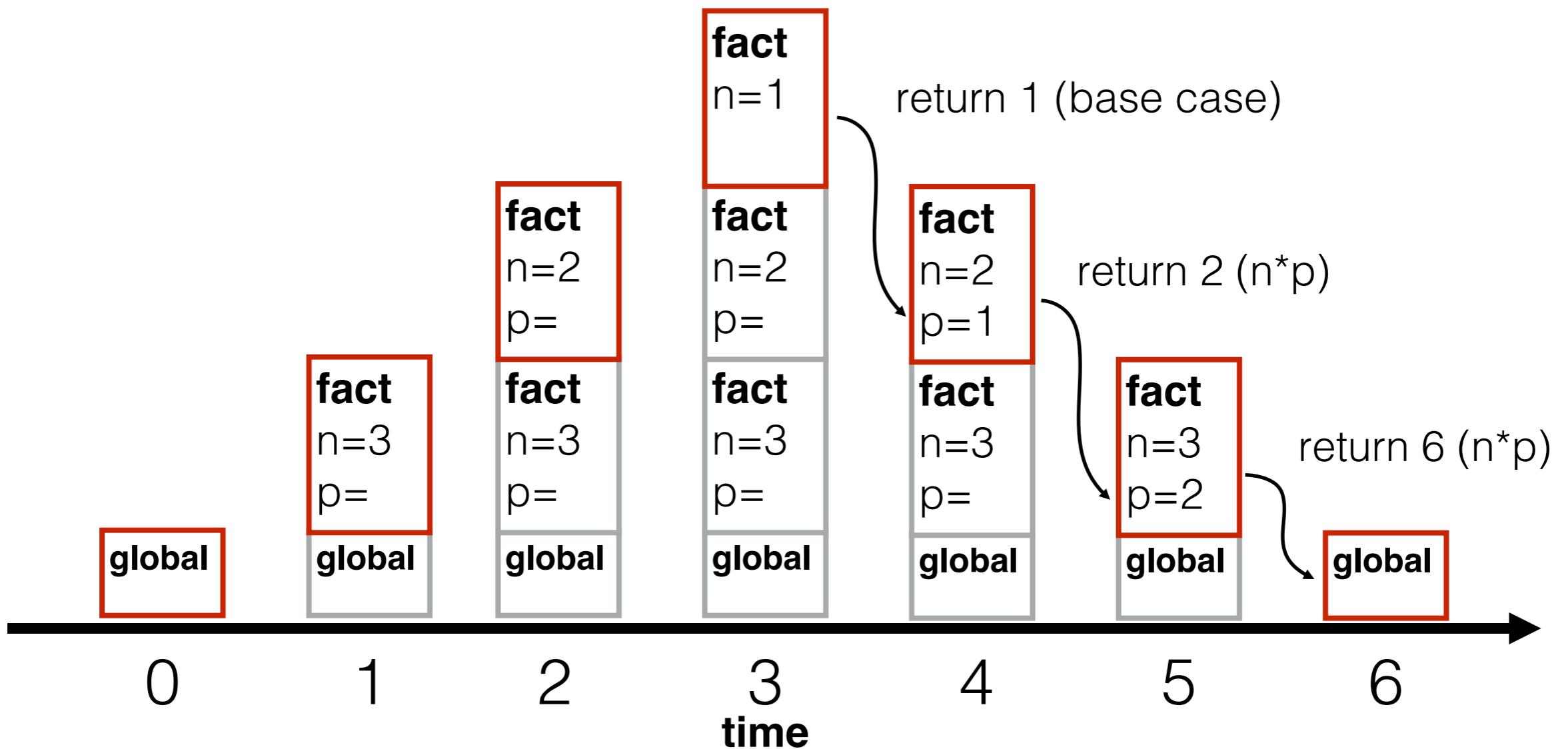
# Deep Dive: Runtime Stack

```
def fact(n):  
    if n == 1:  
        return 1  
    p = fact(n-1)  
    return n * p
```



# Deep Dive: Runtime Stack

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```



# “Infinite” Recursion Bugs

What happens if:

- factorial is called with a negative number?
- 

```
def fact(n):  
    if n == 1:  
        return 1  
    p = fact(n-1)  
    return n * p
```

-1

never  
terminates



# “Infinite” Recursion Bugs

What happens if:

- factorial is called with a negative number?
- we forgot the “n == 1” check?

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

3

fact
n=2
fact
n=3
global

# “Infinite” Recursion Bugs

What happens if:

- factorial is called with a negative number?
- we forgot the “`n == 1`” check?

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

never  
terminates



A curved arrow points from the value '3' above the code down to the recursive call `p = fact(n-1)`, indicating that the function is being called with `n=2`.

<b>fact</b>	
n=-1	
<b>fact</b>	
n=0	
<b>fact</b>	
n=1	
<b>fact</b>	
n=2	
<b>fact</b>	
n=3	
<b>global</b>	

# Coding Demos

# Demo 1: Pretty Print

Goal: format nested lists of bullet points

## Input:

- The recursive lists

## Output:

- Appropriately-tabbed items

## Example:

```
>>> pretty_print(["A", ["1", "2", "3", ],  
                  "B", ["4", ["i", "ii"] ] ] )  
*A  
  *1  
  *2  
  *3  
*B  
  *4  
    *i  
    *ii
```

# Demo 2: Recursive List Search

Goal: does a given number exist in a recursive structure?

## Input:

- A number
- A list of numbers and lists (which contain other numbers and lists)

## Output:

- True if there's a list containing the number, else False

## Example:

```
>>> contains(3, [1,2,[4,[[3],[8,9]],5,6]])
```

True

```
>>> contains(12, [1,2,[4,[[3],[8,9]],5,6]])
```

False

# Conclusion: Review Learning Objectives

# Learning Objectives: Recursive Information

## What is a **recursive definition/structure**?

- Definition contains term
- Structure refers to others of same type
- Example: a dictionary contains dictionaries (which may contain...)



recursive case



base case

# Learning Objectives: Recursive Code

## What is **recursive code**?

- Function that sometimes itself (maybe indirectly)

## Why write recursive code?

- Real-world data/structures are recursive; intuitive for code to reflect data

## Where do computers keep local variables for recursive calls?

- In a section of memory called a “frame”
- Only one function is **active** at a time, so keep frames in a stack

## What happens to programs with **infinite recursion**?

- Calls keep pushing more frames
- Exhaust memory, throw **StackOverflowError**

# Questions?

