



Alineamiento de lecturas y llamado de variantes

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Advertencia

- Los flujos de trabajo son complejos, compuesto de muchas etapas con varias alternativas de argumentos en cada uno
- Existen muchas (posiblemente infinitas) formas de realizar los análisis que veremos en esta clase
- Si bien existen “mejores prácticas”, éstas requieren ser adaptadas a cada situación, lo cual siempre es responsabilidad de analista.
- El objetivo de la clase no es revisar todas las alternativas ni recomendar alguna en particular, sino **entregar los elementos teóricos para que usted pueda tomar decisiones informadas** y encontrar información adicional útil.

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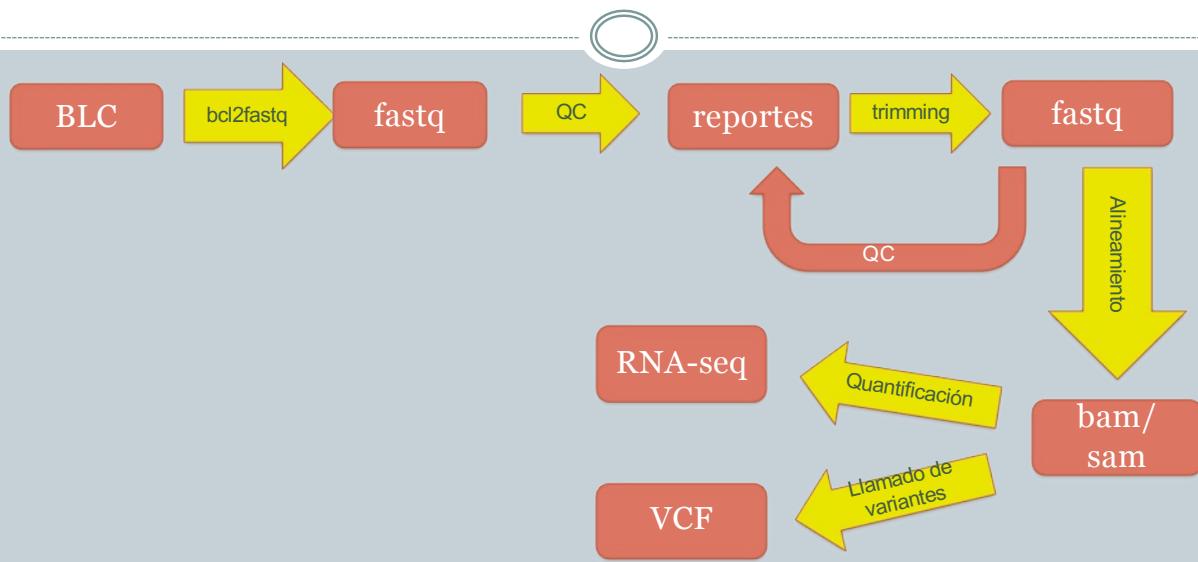
Temas a cubrir

Parte I: Alineamiento

1. Flujos de trabajo NGS con alineamiento
2. Qué es un alineamiento de secuencias
3. Algunos algoritmos
4. Control de calidad del alineamiento

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Algunos flujos de análisis que requieren alineamiento



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Raw sequencing data: Fastq format

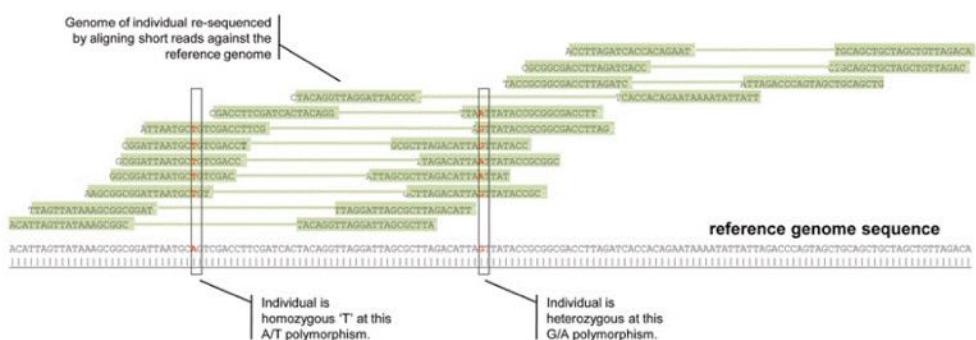
```

@IL31_4368:1:1:996:8507:2
TCCCTTACCCCCAAGCTCCATACCCCTTAATGCCCACACCTTACCTTAGGA
+
FFCEFFFFEEFFFFFEFFFEFFFCFC<EEFEFFCFF<;EEFF=FEE?FCE
@IL31_4368:1:1:996:21421/2
CAAAAACCTTCACTTACCTGCCGGTTCCCAGTTACATTCCACTGTTGAC
+
>DBDDB,B9BAA4AAB7BB?7BBB=91;+*@;5<87+*=/*@?9=73=.7) 7*
@IL31_4368:1:1:997:10572/2
GATCTTCTGTGACTTGAAAGAAAATGTGTTACATATTACATTTGTCCCCATTG
+
E?=EECE<EEEE98EEEAEEDB??BE@AEAB><EEABCEEDEC<<EBDA=DEE
@IL31_4368:1:1:997:15684/2
CAGCCTCAGATTCACTGAACTTCATAATTCAACAGCTGCAGCTGAAACAGCAGGAC
+
EEEDEEE9EAEEDEEEEEEECEAAEEDEE<CD=D=*BCAC?;CB,<D@,
@IL31_4368:1:1:997:15249/2
AATGTTCTGAAACCTCTGAGAAAGCAAATATTATTTAATGAAAAATCCTTAT
+
EDEEC;EEE;EEE?EECE;7AEEEEEE07EECEA;D6D>+EE4E7EEE4;E=EA
@IL31_4368:1:1:997:6273/2
ACATTACCAAGCCAAGGAAACTTACCTTCAAGAATTAGACAGTTCAATTG
+
EEAAffffEFcFAFFAFCCFFEF>EFFFB?ABA@ECEE=<F@DE@DDF;
@IL31_4368:1:1:997:1657/2
CCACCTCTCAATGTTCCATATGGCAGGGACTCAGCACAGGTGGATTAAT
(...)
```

- Instrument serial #
- Lane
- Swath
- X coord
- Y coord
- Read direction

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Alineamiento de lecturas de secuencias



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Preguntas clave

-
1. ¿Qué queremos alinear?
 2. ¿Cómo valoraremos un buen alineamiento?
 3. ¿Cómo encontramos el mejor alineamiento?

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¿Qué queremos alienar?

-
- **alineación global:** encuentre la mejor coincidencia de ambas secuencias en su totalidad
 - **alineación local:** encuentra la mejor coincidencia de subsecuencias
 - **alineación semi-global:** encuentre la mejor coincidencia sin penalizar las brechas en los extremos de la alineación

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El espacio de posibles alineamientos

- Estos son algunos posibles alineamientos de las palabras ELV y VIS

ELV	-ELV	--ELV	ELV-
VIS	VIS-	VIS--	-VIS
E-LV	ELV--	EL-V	
VIS-	--VIS	-VIS	

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¿Cómo valoraremos un buen alineamiento?

- Función de penalización de espacios (gaps)
 - $w(k) = \text{costo de un espacio de largo } k \text{ en la secuencia}$
 - La más simple es una función lineal: $w(k) = g \times k$
 - g es una constante

- Matriz de sustitución
 - $s(a, b)$ indica la puntuación de alinear el carácter a con el carácter b
 - La más simple es $s(a, b) = \{+1 \text{ si } a=b, -1 \text{ si } a \neq b\}$

	A	G	C	T
A	1	-1	-1	-1
G	-1	1	-1	-1
C	-1	-1	1	-1
T	-1	-1	-1	1

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Valoración de un alineamiento

- El puntaje de una alineación es la suma de los puntajes para pares de caracteres alineados más los puntajes de las brechas
- ejemplo: dada el siguiente alineamiento

VAHV---D--DMPNALSALSSDLHAHKL
AIQLQVTGVVVTDATLKNLGSVHVSKG

El puntaje se calcula:

$$s(V,A) + s(A,I) + s(H,Q) + s(V,L) + 3g + s(D,G) + 2g \dots$$

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¿Cómo encontramos el mejor alineamiento?

- ¿Cuál sería el mejor alineamiento de estas dos cadenas de caracteres?

T H A T T I N H A T
C A T I N H A T

1. Aproximación ingenua

1. Enumerar todas las posibles subcadenas de cada cadena
2. Compararlas mediante un puntaje
3. Elegir la pareja de mayor puntaje

Tiempo requerido: $O(n^2) O(n^2) = O(n^4)$

Posibles alineamientos

$$\binom{2n}{n} = \frac{(2n)!}{(n!)^2} \approx \frac{2^{2n}}{\sqrt{\pi n}}$$

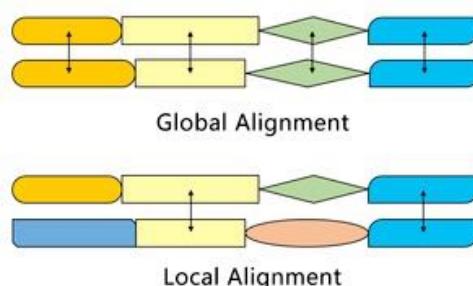
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Solución por programación dinámica

- **Programación dinámica:** resuelva una instancia de un problema aprovechando las soluciones para subpartes del problema
 - reducir el problema de la mejor alineación de dos secuencias a la mejor alineación de todos los prefijos de las secuencias
 - Evitar recalcular las puntuaciones ya consideradas.
- Utilizado por primera vez en alineación por Needleman & Wunsch, Journal of Molecular Biology, 1970

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Alineamiento Global vs Local



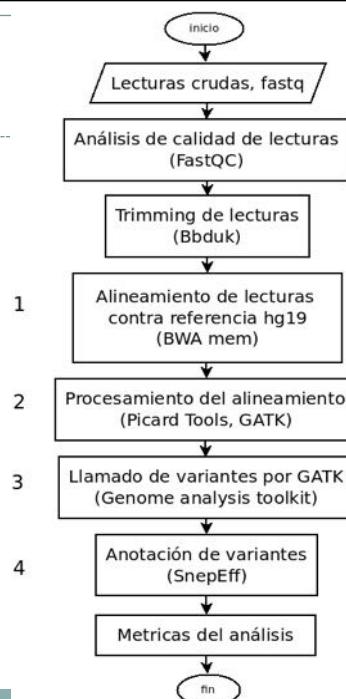
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Alineador BWA

Burrows-Wheeler Aligner (BWA) SW Package

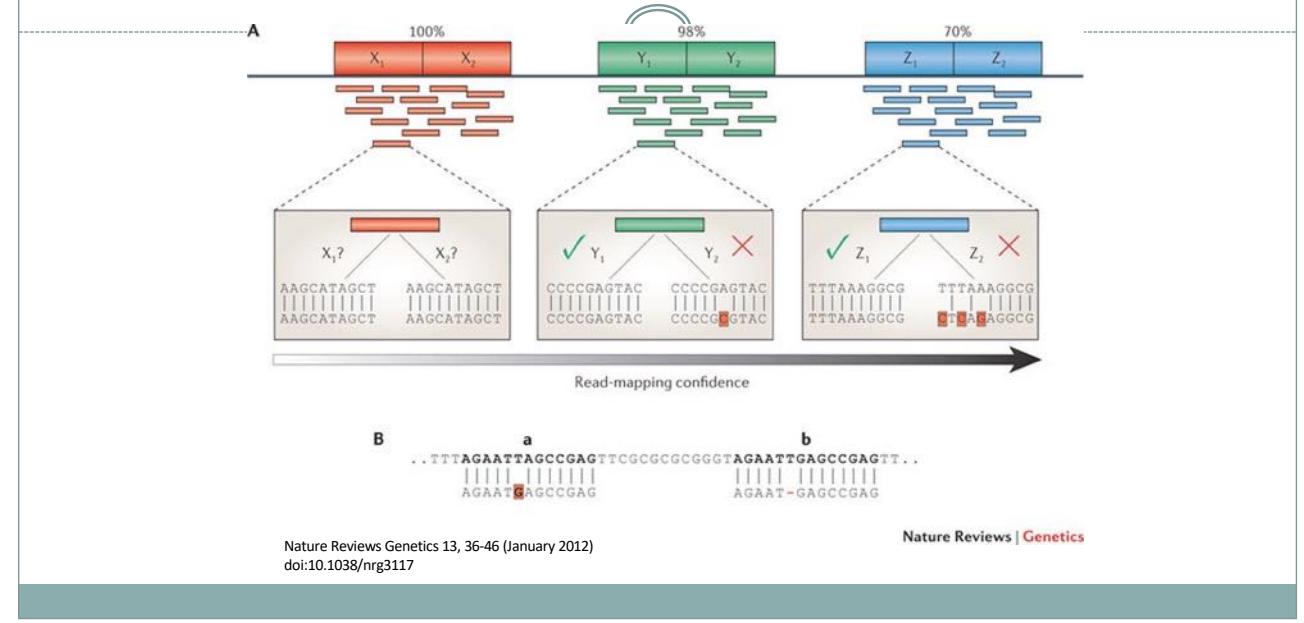
- ❑ Use **Burrows-Wheeler Transform** to “index” the human genome and allow memory-efficient and fast string matching between sequence read and reference genome.
- ❑ **BWA**: Short-read algorithm, alter the read sequence such that it matches the reference exactly.
- ❑ **BWA-SW**: Long-read algorithm, sample reference subsequences and perform Smith-Waterman alignment between the subsequences and the read.
- ❑ **BWA-MEM**:
 - Similar features to BWA-SW
 - Long-read alignment
 - Seed and extend with SW
 - Finds larger gaps
 - Faster! Generally supersedes BWA-SW

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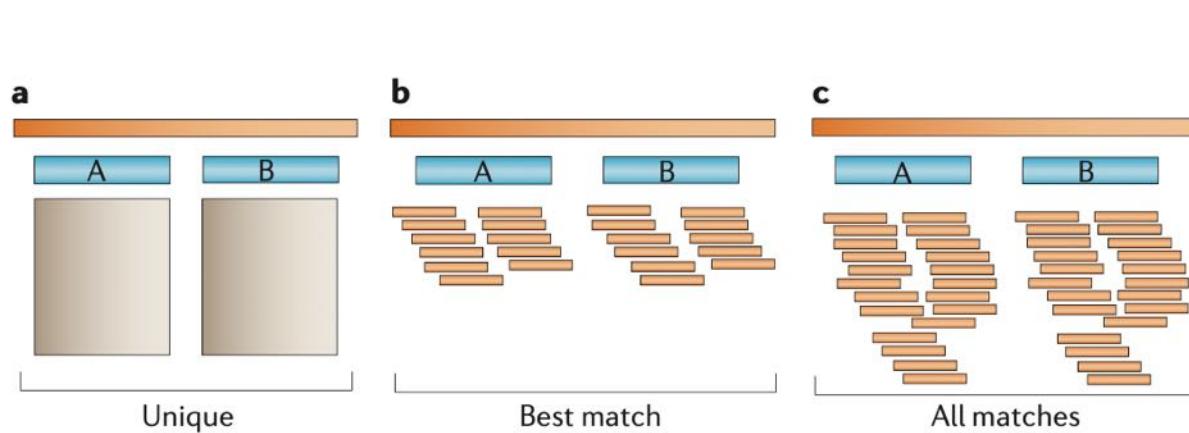
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Alineamiento en Regiones Repetidas



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Estrategias de reporte para lecturas con más de un mapeo (multi-reads)



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Format Sequence Alignment Map (SAM)

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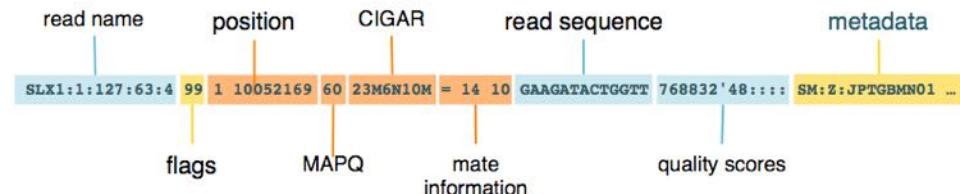
Tag	Description
QHD	The header line. The first line if present.
VN*	Format version. Accepted format: $l^* [0-9]^+ \backslash. [0-9]^+ \$ /$.
SO	Sorting order of alignments. Valid values: unknown (default), unsorted, queryname and coordinate. For coordinate sort, the major sort key is the RNAME field, with order defined by the order of SQ lines in the header. The minor sort key is the POS field. For alignments with equal RNAME and POS, order is arbitrary. All alignments with '*' in RNAME field follow alignments with some other value but otherwise are in arbitrary order.
GO	Grouping of alignments, indicating that similar alignment records are grouped together but the file is not necessarily sorted overall. Valid values: none (default), query (alignments are grouped by QNAME), and reference (alignments are grouped by RNAME/POS).
SQ	Reference sequence dictionary. The order of SQ lines defines the alignment sorting order.
SN*	Reference sequence name. Each SQ line must have a unique SN tag. The value of this field is used in the alignment records in RNAME and RNEXT fields. Regular expression: $[!-+--<>] [!-]*$
LN*	Reference sequence length. Range: $[1, 2^{31}-1]$
AS	Genome assembly identifier.
M5	MD5 checksum of the sequence in the uppercase, excluding spaces but including pads (as '*'s).
SP	Species.
UR	URI of the sequence. This value may start with one of the standard protocols, e.g http: or ftp:. If it does not start with one of these protocols, it is assumed to be a file-system path.
RG	Read group. Unordered multiple RG lines are allowed.
ID*	Read group identifier. Each RG line must have a unique ID. The value of ID is used in the RG tags of alignment records. Must be unique among all read groups in header section. Read group IDs may be modified when merging SAM files in order to handle collisions.
CN	Name of sequencing center producing the read.
DS	Description.
DT	Date the run was produced (ISO8601 date or date/time).
FO	Flow order. The array of nucleotide bases that correspond to the nucleotides used for each flow of each read. Multi-base flows are encoded in IUPAC format, and non-nucleotide flows by various other characters. Format: $\backslash[^*] [ACMGRSVNYHKDBN]+$
KS	The array of nucleotide bases that correspond to the key sequence of each read.
LB	Library.
PG	Programs used for processing the read group.
PI	Predicted median insert size.
PL	Platform/technology used to produce the reads. Valid values: CAPILLARY, LS454, ILLUMINA, SOLID, HELICOS,ONTTRENT,ONT, and PACBIO.
PM	Platform model. Free-form text providing further details of the platform/technology used.
PU	Platform unit (e.g. flowcell-barcode lane for Illumina or slide for SOLiD). Unique identifier.
SM	Sample. Use pool name where a pool is being sequenced.
QPG	Program.
ID*	Program record identifier. Each QPG line must have a unique ID. The value of ID is used in the alignment PG tag and PP tags of other QPG lines. PG IDs may be modified when merging SAM files in order to handle collisions.
PN	Program name
CL	Command line

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Archivo SAM: registros



HEADER containing metadata (sequence dictionary, read group definitions etc)
RECORDS containing structured read information (1 line per read record)



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CIGAR



```
RefPos: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19
Reference: C C A T A C T G A A C T G A C T A A C
Read: ACTAGAAATGGCT
```

Aligning these two:

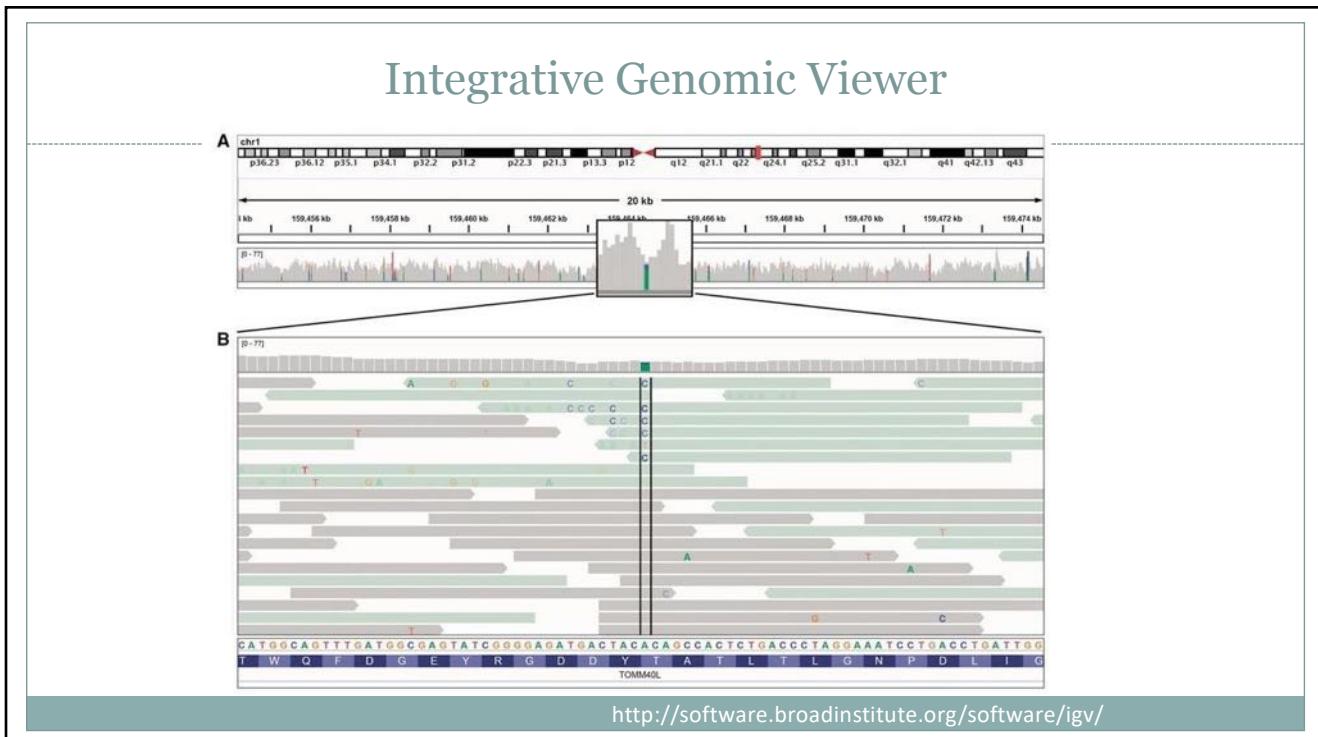
```
RefPos: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19
Reference: C C A T A C T G A A C T G A C T A A C
Read: A C T A G A A T G G C T
```

With the alignment above, you get:
POS: 5 CIGAR: 3M1I3M1D5M

Cada operación está representada por un número seguido de una letra que especifica el tipo de evento:

- M representa coincidencias o diferencias,
- I inserciones en la lectura,
- D deletiones respecto a la referencia,
- N saltos (por ejemplo, intrones en datos de ARN-seq),
- S secuencias suavizadas (soft clipping),
- H secuencias recortadas (hard clipping).

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¿Qué mirar en una visualización de alineamiento?

- Cobertura en la región de interés
- Posible sesgo de variantes entre R1 y R2 o por hebra (strand bias)
- Variantes que estén en los extremos
- INDELs en los extremos
- Sustituciones alrededor de los INDELs (realizar realineamiento local)

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Métricas básicas de calidad

- % de lecturas mapeados
- % de lecturas únicamente mapeados
- % de lecturas efectivamente mapeados (luego de eliminar duplicados)
- Profundidad promedio (\bar{x})
- Cobertura (% de la región blanco que fue cubierta con lecturas)
- Calidad de mapeo (QMAP para cada lectura) -> tasa de error
- Distribución de tamaños de inserto (para librerías pareadas)
- % de lecturas en el blanco (*on target*)
- Enriquecimiento de región blanco (*on target*)

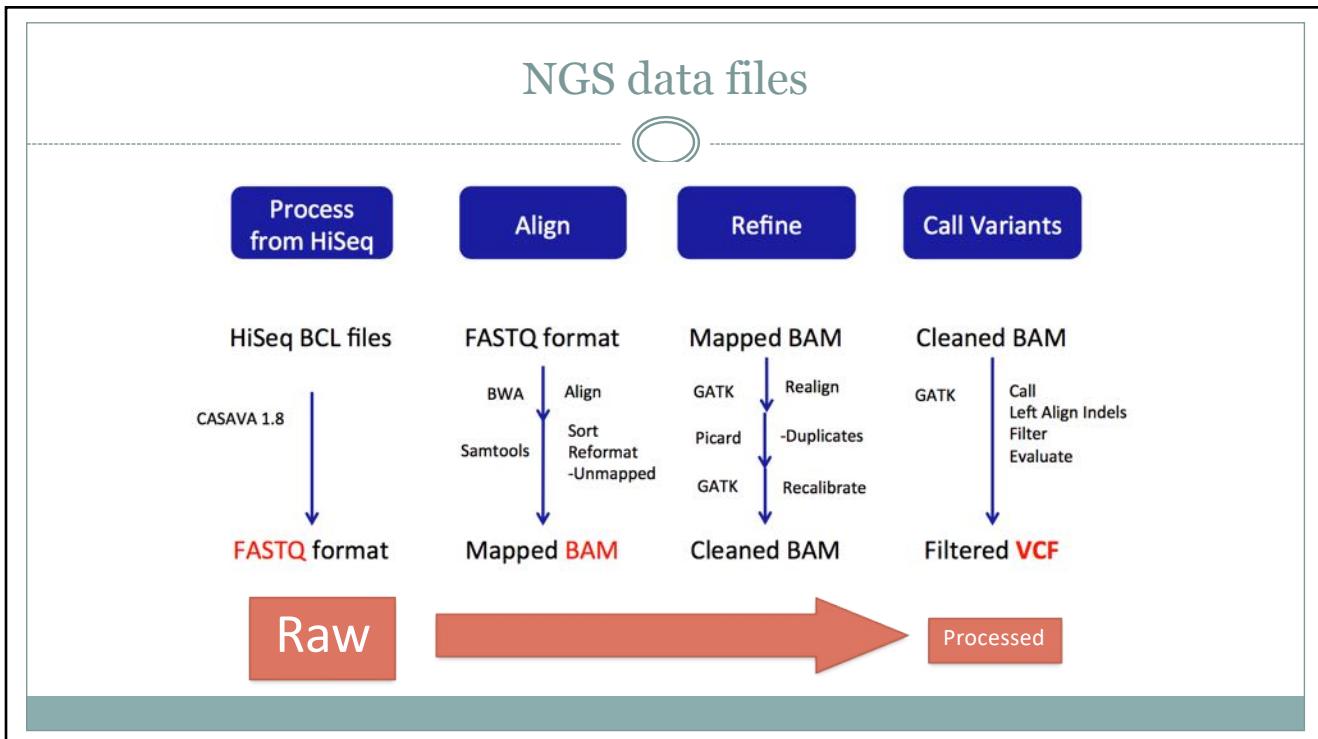
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Temas a cubrir

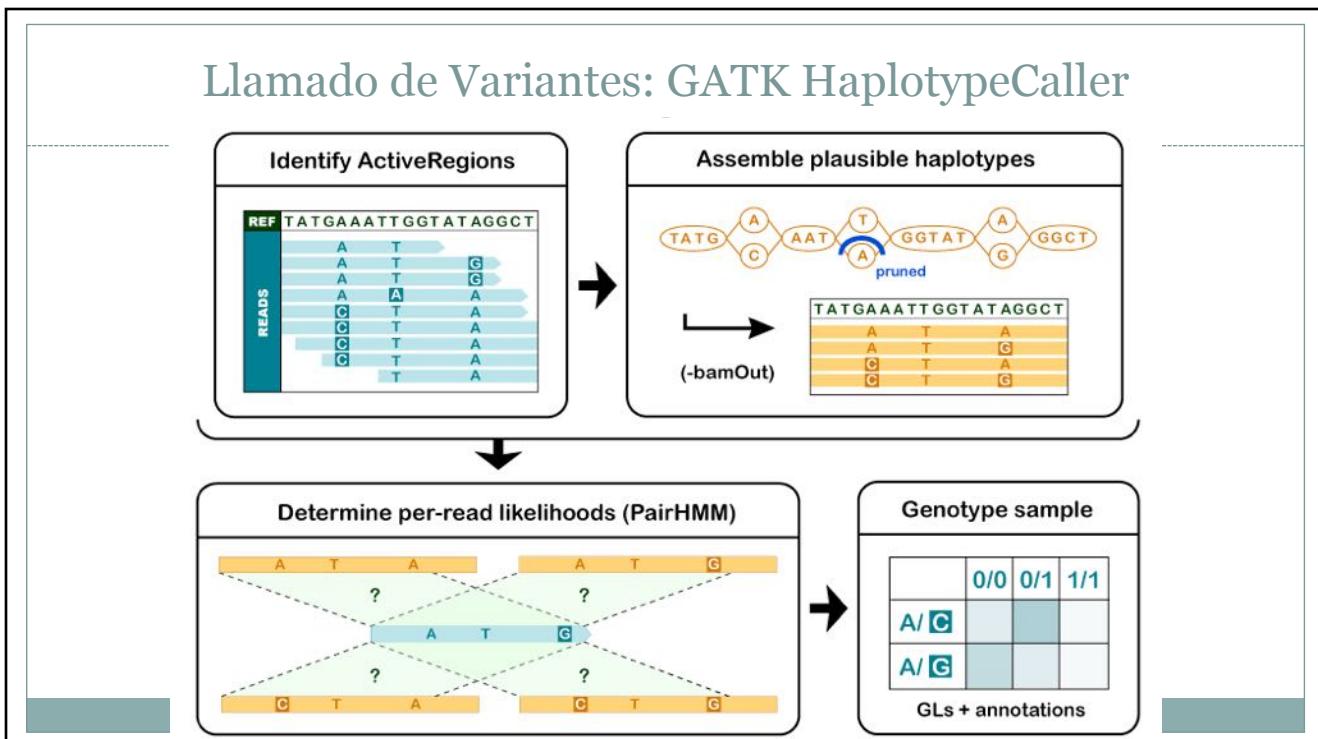
Parte II: Llamado de variantes

1. Workflow
2. Archivos SAM (y BAM)
3. Mejores prácticas de GATK
4. Base quality score recalibration (BQSR)
5. Variant Call Format (VCF)
6. Anotación de Variantes

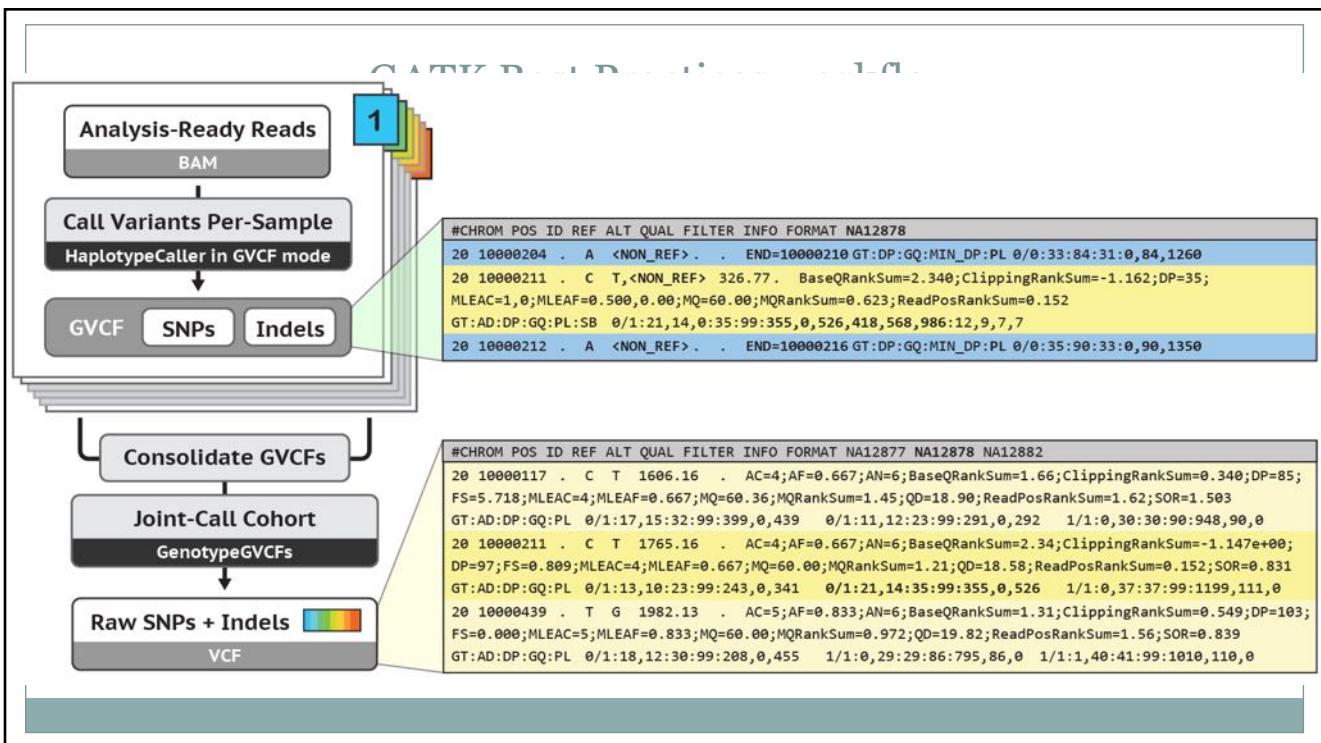
26



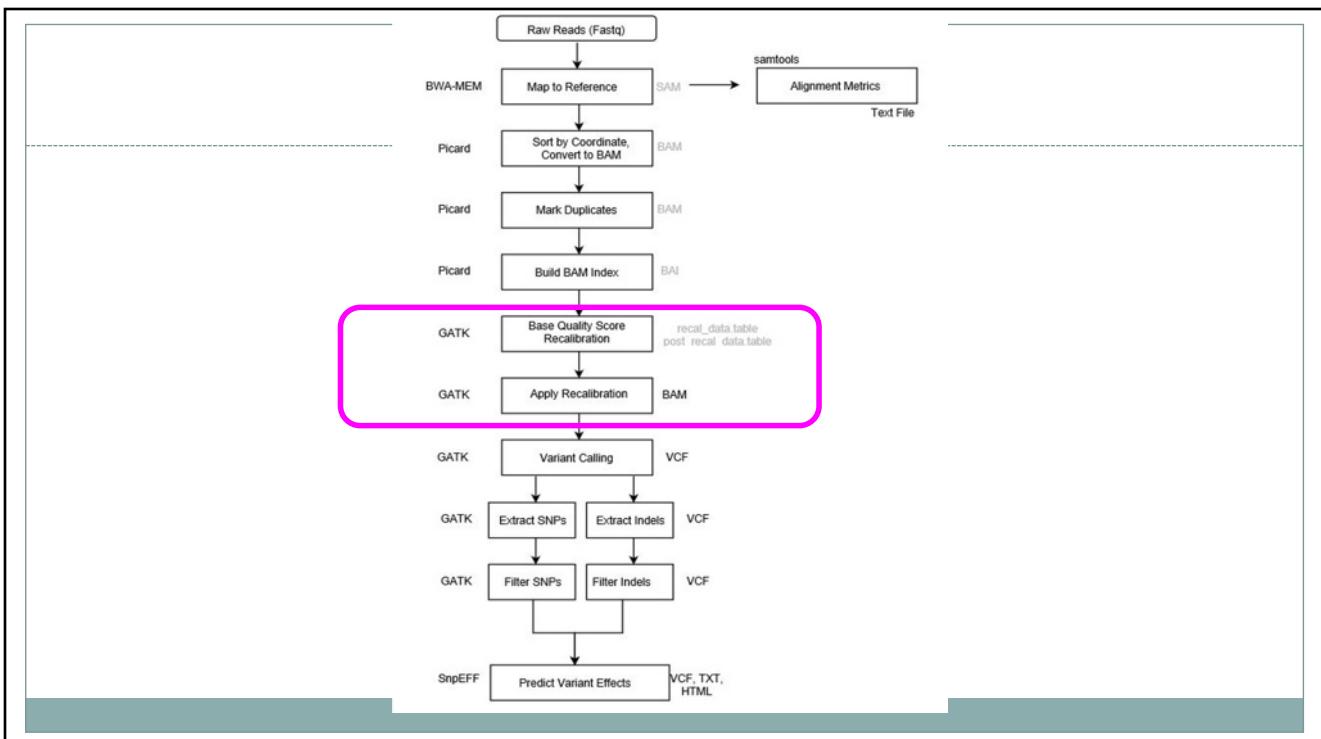
27



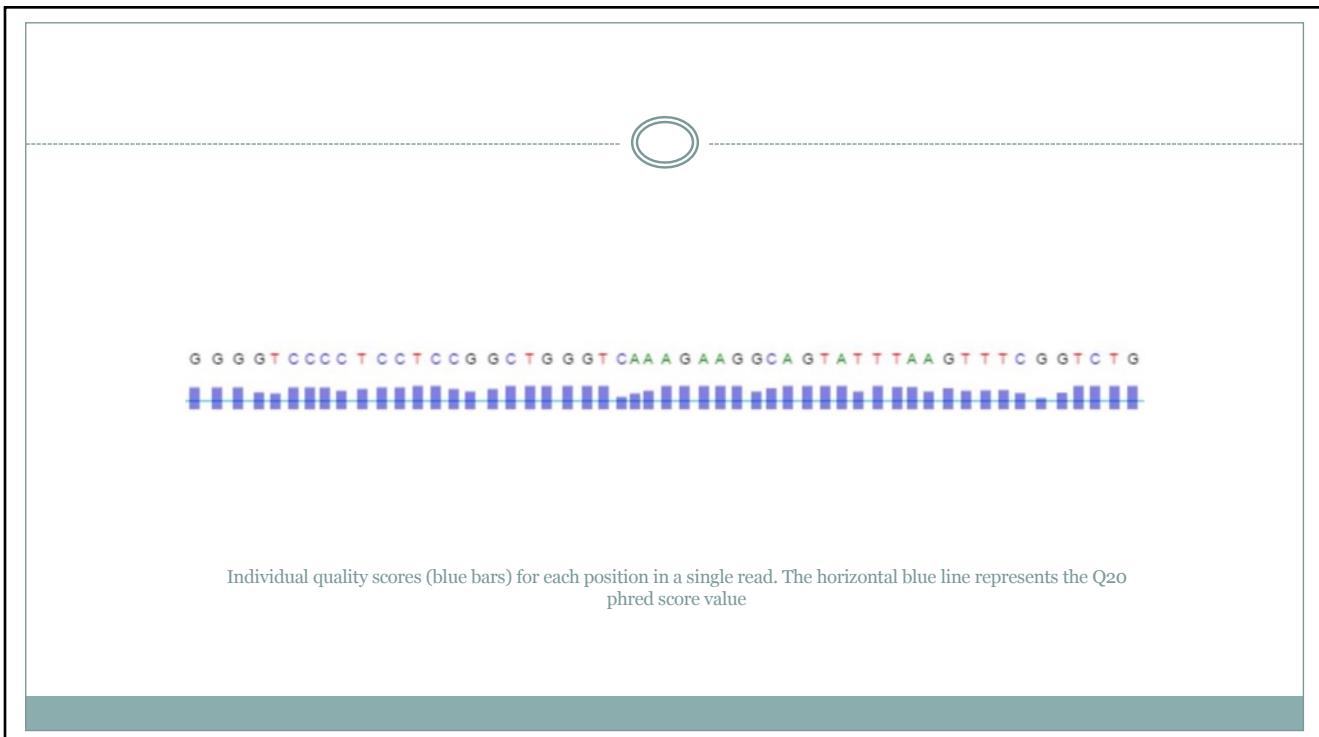
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Why do we care about quality scores so much?

- Variant calling algorithms rely on the quality score assigned to the individual base calls
- Tells us how much we can trust that particular observation to inform us about the biological truth of the site
- If we have a basecall that has a low quality score, that means we're not sure we actually read that A correctly, and it could actually be something else
- So we won't trust it as much as other base calls that have higher qualities
- We use that score to weigh the evidence that we have for or against a variant existing at a particular site

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Why Recalibrate?

- Scores produced by the machines are subject to various sources of systematic technical error
- Leads to over- or under-estimated base quality scores in the data.
- Errors can arise due to the physics or the chemistry of how the sequencing reaction works, possibly manufacturing flaws in the equipment.

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Why Recalibrate?

Base quality score recalibration (BQSR) is a process in which we apply machine learning to model these errors empirically and adjust the quality scores accordingly.

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How does BQSR work?

1. You provide GATK Base Recalibrator with a set of known variants.
2. GATK Base Recalibrator analyzes all reads looking for mismatches between the read and reference, skipping those positions which are included in the set of known variants (from step 1).
3. GATK Base Recalibrator computes statistics on the mismatches (identified in step 2) based on the reported quality score, the position in the read, the sequencing context (ex: preceding and current nucleotide).
4. Based on the statistics computed in step 3, an empirical quality score is assigned to each mismatch, overwriting the original reported quality score.

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¿Cómo funciona BSQR?

1. Proporciona a *GATK Base Recalibrator* un conjunto de variantes conocidas.
2. Se analizan todas las lecturas buscando diferencias (*mismatches*) entre la lectura y la referencia, omitiendo aquellas posiciones que se incluyen en el conjunto de variantes conocidas (del paso 1).
3. Se calculan estadísticas para las diferencias identificadas en el paso 2 en función de los puntajes de calidad informados, la posición en la lectura, el contexto de secuenciación (por ejemplo, nucleótido anterior y actual).
4. Con base en las estadísticas calculadas en el paso 3, se asigna un puntaje de calidad empírico a cada diferencia, sobrescribiendo el puntaje original.

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Variant annotations are the “features” of the model

VCF record for an A/G SNP at 22:49582364

22 49582364	.	A	G	198.96	.
AC=3; AF=0.50; AN=6; DP=87; MLEAC=3; MLEAF=0.50; MQ=71.31; MQ0=22; QD=2.29; SB=-31.76 GT:DP:GQ	INFO field	AC	No. chromosomes carrying alt allele	MLEAF	Max likelihood AF
		AN	Total no. of chromosomes	MQ	RMS MAPQ of all reads
		AF	Allele frequency	MQ0	No. of MAPQ 0 reads at locus
		DP	Depth of coverage	QD	QUAL score over depth
		MLEAC	Max likelihood AC		
		0/1:12:99	0/1:11:89	0/1:28:37	

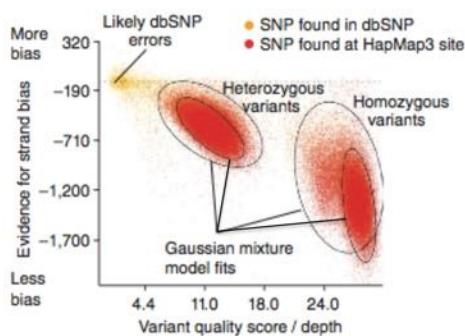
Note that VQSR will only look at INFO annotations;

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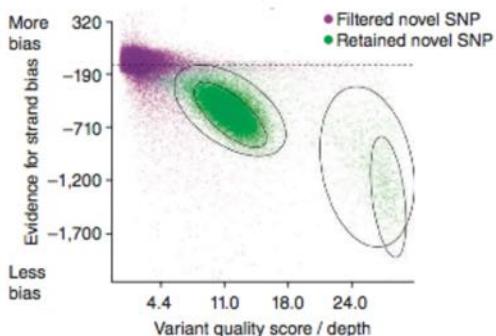
Two steps: (1) train a model then (2) apply to callset

Basic idea: training on high-confidence known sites to determine the probability that other sites are true

(1) Train model using HapMap

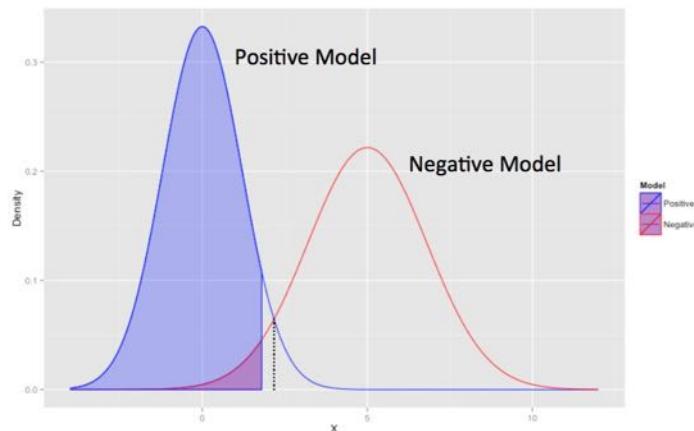


(2) Apply model to callset



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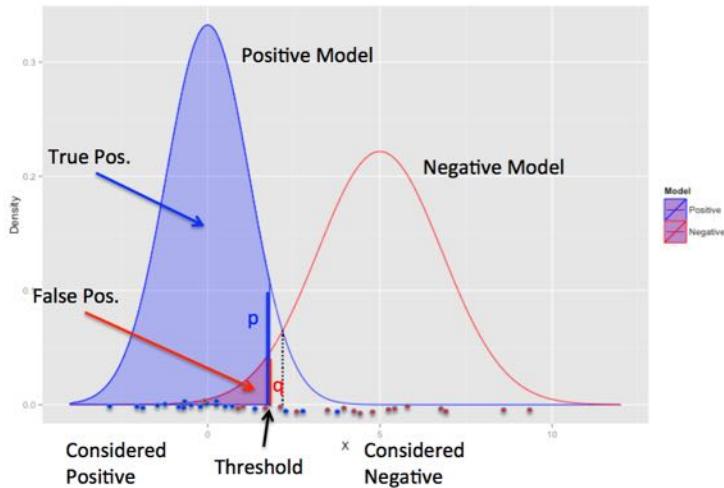
There are in fact two components to the model



- A **negative model** is also built during training
- It represents the probability of variants to be **false positives**

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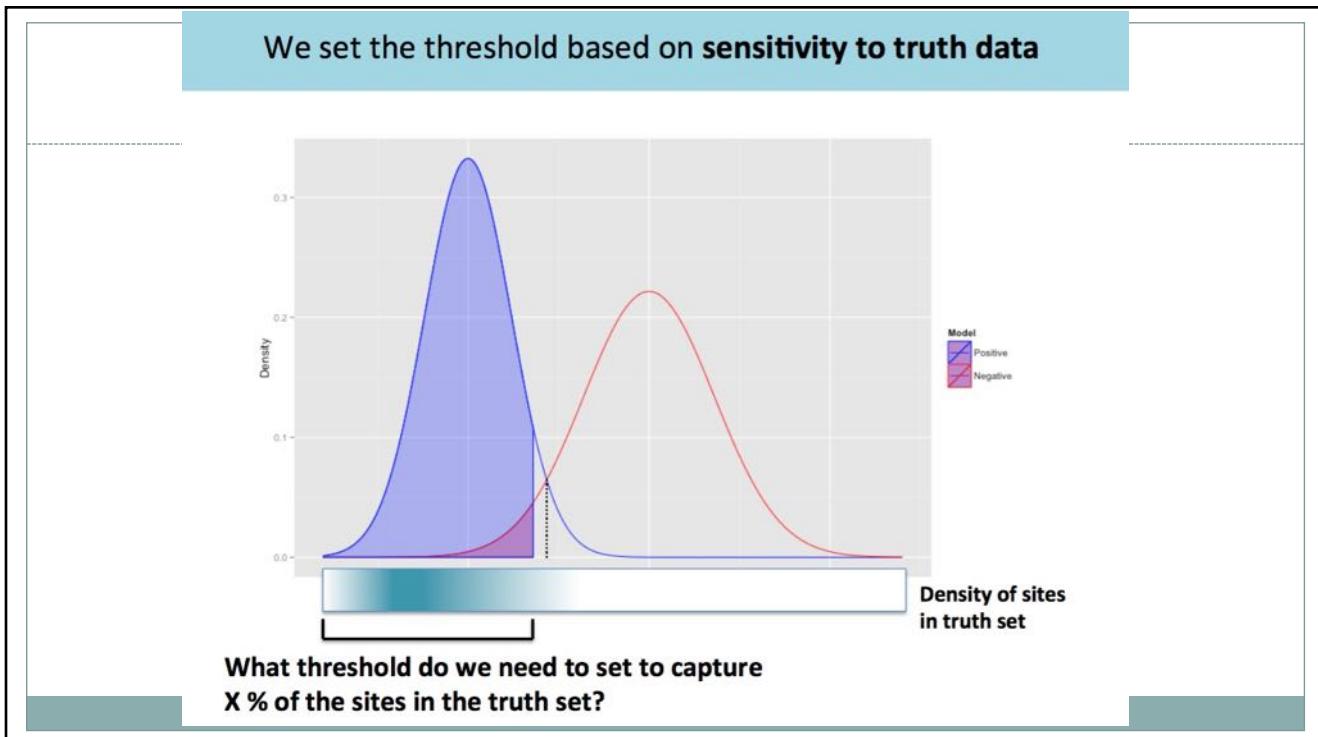
The VQSLOD threshold is a tradeoff between TP and FP



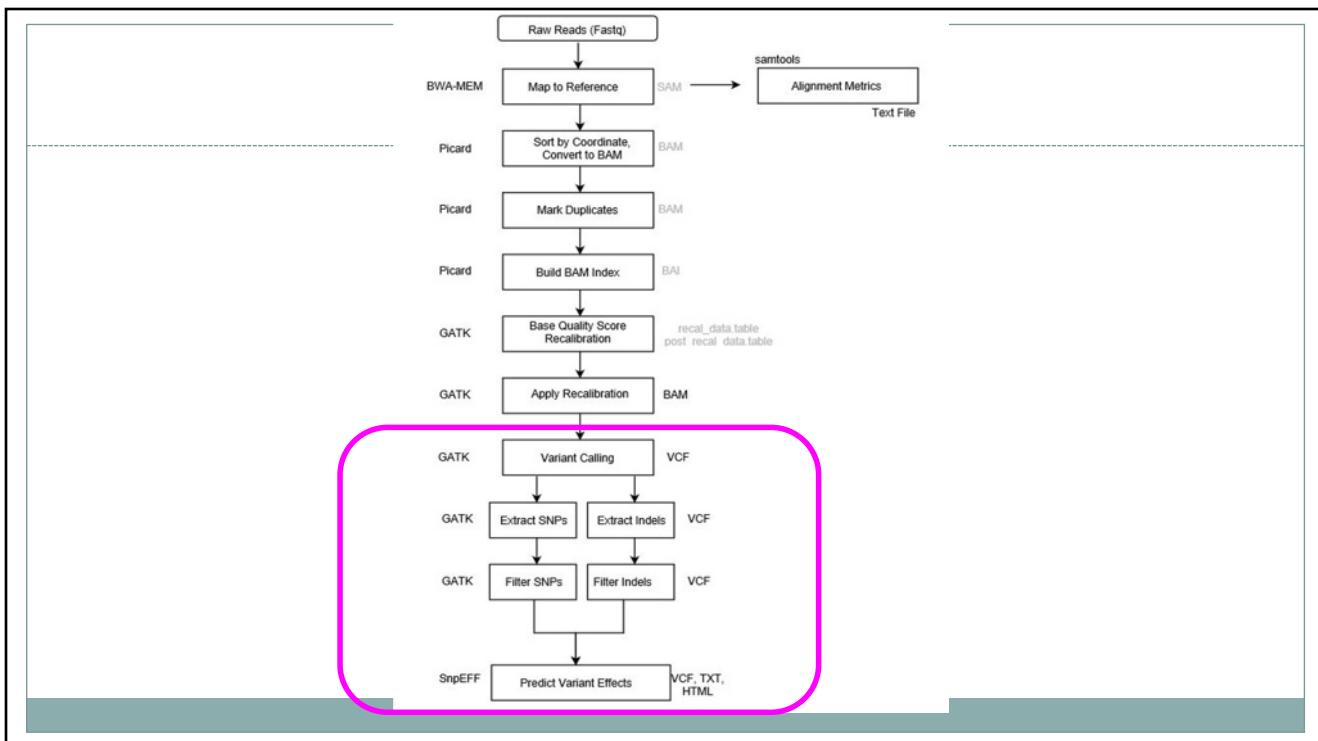
$$\text{VQSLOD}(x) = \log(p(x)/q(x))$$

(VQSLOD is distinct from QUALI)

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1) Call Variants

- We use the GATK HaplotypeCaller tool
- This step is designed to maximize sensitivity in order to minimize false negatives, i.e. failing to identify real variants
- Creates a single file with both SNPs and indels
- We extract each type of variant into it's own file so we can process them individually

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2) Filter Variants

- The first step is designed to maximize sensitivity and is thus very lenient in calling variants
- Good because it minimizes the chance of missing real variants
- But means that we need to filter the raw call set in order to reduce the amount of false positives
- Important in order to obtain the the highest-quality call set possible

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Sesgos de variantes detectadas

The diagram shows four types of sequencing bias:

- neutral**: All reads have equal probability of being forward or reverse strand.
- strand bias**: Forward strand reads are more abundant than reverse strand reads.
- cycle bias**: Shorter reads are more abundant than longer reads.
- placement bias**: Reads are more likely to start at specific positions.

A green star highlights the **allele imbalance** section.

allele imbalance

$P(S) \propto \prod_{b \in \{B\}}$

$$\begin{aligned} & \text{multinom}(|R \equiv b| \forall b_1, \dots, b_K; |R|, f_i, \dots, f_K) \\ & \times \text{binom}(|\text{forwardStrand}(\{R \equiv b\})|; |\{R \equiv b\}|, 1/2) \\ & \times \text{binom}(|\text{placedLeft}(\{R \equiv b\})|; |\{R \equiv b\}|, 1/2) \\ & \times \text{binom}(|\text{placedRight}(\{R \equiv b\})|; |\{R \equiv b\}|, 1/2) \end{aligned}$$

<https://ekg.github.io/2015/12/08/How-to-freebayes>

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Freebayes – Llamador de variantes basado en haplotipos

The table shows variant regions and observed haplotypes:

Ref	Variant Region	Variant Region
TACCGAT	CATTGGATCA	CGATTCC...GCATTGC
TACCGAT	CATTGGATCA	CGATTCC...GCATTGC
ACCGAT	TATTG _C ATCG	CGATTCC...GCATTGC
ACCGAT	CATTGGATCA	CGATTCC...GCATTGC
ACCGAT	TATTGGATCG	CGATTCC...GCATTGC
CCCGAT	C-TTGGATCA	CGATTCC...GCATTGC
CCCGAT	CATGGGATCA	CGATTCC...GCATTGC

Observed Haplotypes

CATTGGATCA	x8	(A) ₇	x10
TATTGGATCG	x9	(A) ₆	x7
CTTGGATCA	x1	(A) ₅	x1
CATGGGATCA	x1	(A) ₄	x1
...		...	

<https://github.com/freebayes/freebayes>

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3) Annotation

- We use SnpEff
- Annotates and predicts the effects of variants on genes
 - Codon changes
 - Amino acid changes
 - Genomic region
 - Functional effect (silent, missense)
- SnpEff has pre-built databases for thousands of genomes

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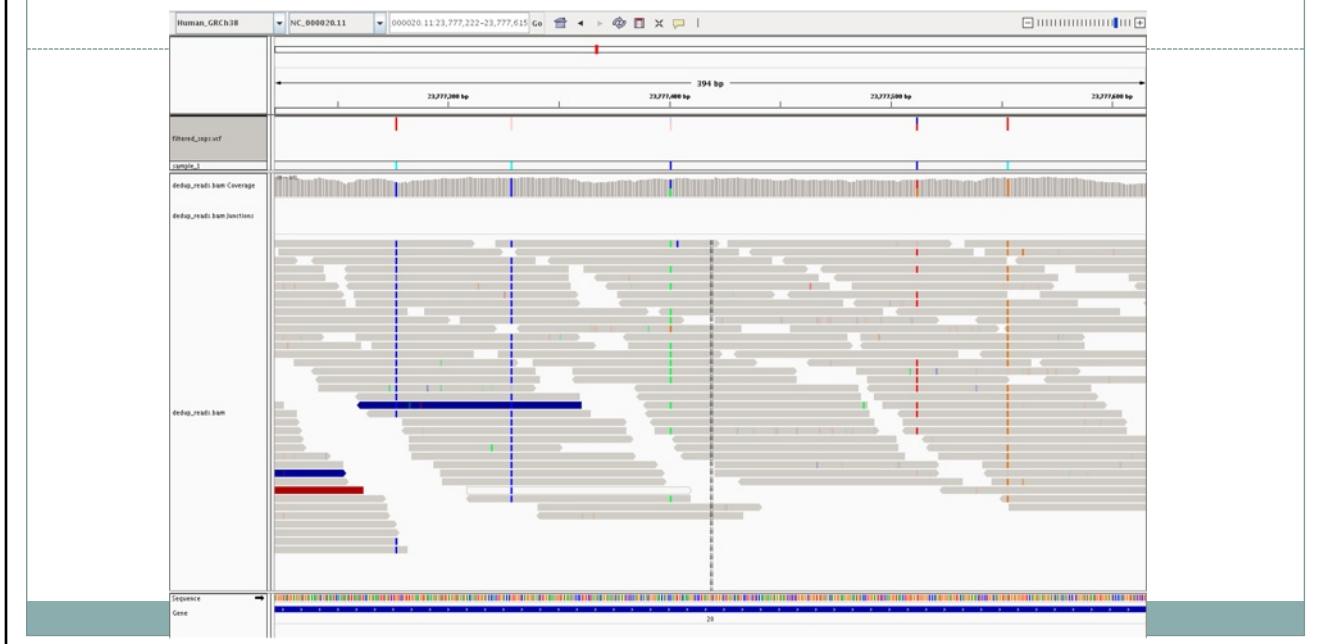
Archivo VCF

```
##fileformat=VCFv4.0
##fileDate=20090805
##source=myImputationProgramV3.1
##reference=1000GenomesPilot-NCBI36
##phasing=partial
##INFO<ID=NS,Number=1,Type=Integer,Description="Number of Samples With Data">
##INFO<ID=DP,Number=1,Type=Integer,Description="Total Depth">
##INFO<ID=AF,Number=.,Type=Float,Description="Allele Frequency">
##INFO<ID=AA,Number=1,Type=String,Description="Ancestral Allele">
##INFO<ID=DB,Number=0,Type=Flag,Description="dbSNP membership, build 129">
##INFO<ID=H2,Number=0,Type=Flag,Description="HapMap2 membership">
##FILTER=<ID=q10,Description="Quality below 10">
##FILTER=<ID=s50,Description="Less than 50% of samples have data">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=HQ,Number=2,Type=Integer,Description="Haplotype Quality">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT NA00001 NA00002 NA00003
20 14370 rs6054257 G A 29 PASS NS=3;DP=14;AF=0.5;DB:H2 GT:GQ:DP:HQ 0|0:48:1:51,51|1|0:48:8:51,51 1/1:43:5:...
20 17330 . T A 3 q10 NS=3;DP=11;AF=0.017 GT:GQ:DP:HQ 0|0:49:3:58,50|0|1:3:5:65,3 0/0:41:3
20 1110696 rs6040355 A GT 67 PASS NS=2;DP=10;AF=0.333,0.667;AA=T;DB GT:GQ:DP:HQ 1|2:21:6:23,27 2|1:2:0:18,2 2/2:35:4
20 1230237 . T . 47 PASS NS=3;DP=13;AA=T GT:GQ:DP:HQ 0|0:54:7:56,60|0|0:48:4:51,51 0/0:61:2
20 1234567 microsat1 GTCT G,GTACT 50 PASS NS=3;DP=9;AA=G GT:GQ:DP 0|1:35:4 0/2:17:2 1/1:40:3
```

<http://www.internationalgenome.org/wiki/Analysis/vcf4.0/>

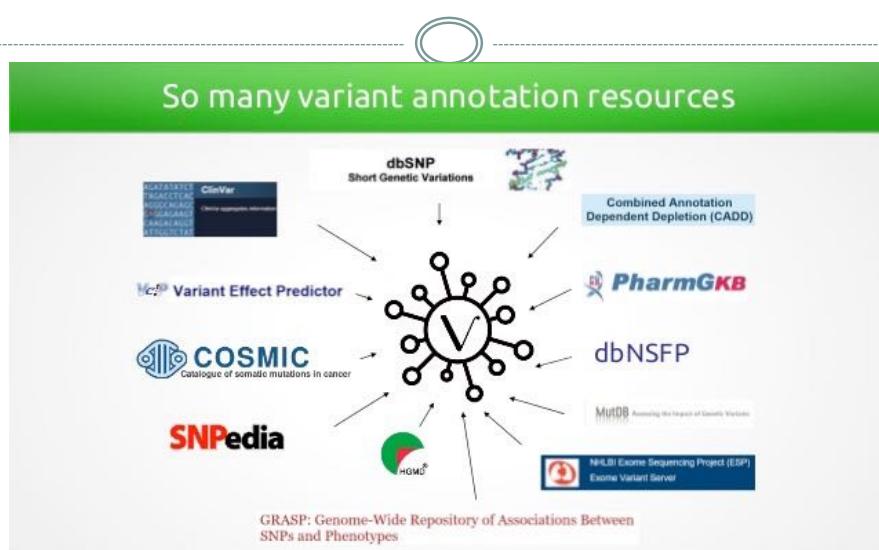
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4) Visualization - IGV



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Variant Annotation



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Variant Annotation: SnpEff

- Variant annotation and effect prediction tool. It annotates and predicts the effects of genetic variants (such as amino acid changes).
- Many effects are calculated: such as SYNONYMOUS_CODING, NON_SYNONYMOUS_CODING, FRAME_SHIFT, STOP_GAINED just to name a few.

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SnpEff: Public databases

- **ENCODE** datasets are supported by SnpEff (by means of BigWig files provided by ENCODE project).
- **Epigenome Roadmap** provides data-sets that can be used with SnpEff.
- **TFBS** Transcription factor binding site predictions can be annotated. Motif data used in this annotations is generated by Jaspar and ENSEMBL projects
- **NextProt** database can be used to annotate protein domains as well as important functional sites in a protein (e.g. phosphorylation site)

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CADD - Combined Annotation Dependent Depletion

- Puntaje que integra múltiples anotaciones en una métrica contrastando variantes que sobrevivieron a la selección natural con mutaciones simuladas
- Generalmente, se considera que:
 - Puntuaciones CADD mayores o iguales a 20 son sugestivas de un efecto patogénico importante, ya que corresponden al 1% más dañino de todas las variantes posibles en el genoma humano.
 - Puntuaciones entre 10 y 20 indican variantes con un probable efecto funcional o moderadamente perjudicial.
 - Valores por debajo de 10 suelen considerarse variantes benignas o poco dañinas.

<https://cadd.gs.washington.edu/>

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Puntajes CADD



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Software Libre

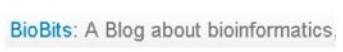
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Resources in NGS data analysis

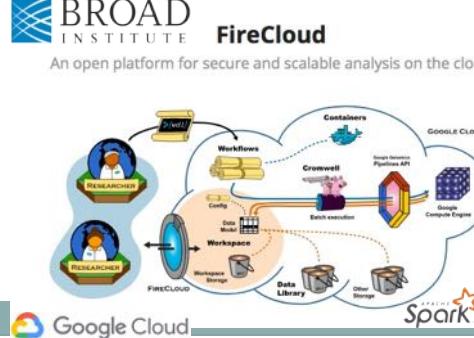
Public forums:


SEQanswers
 the next generation sequencing community


Bioconductor
 OPEN SOURCE SOFTWARE FOR BIOINFORMATICS


BioBits: A Blog about bioinformatics.


Biostars
 BIOINFORMATICS EXPLAINED

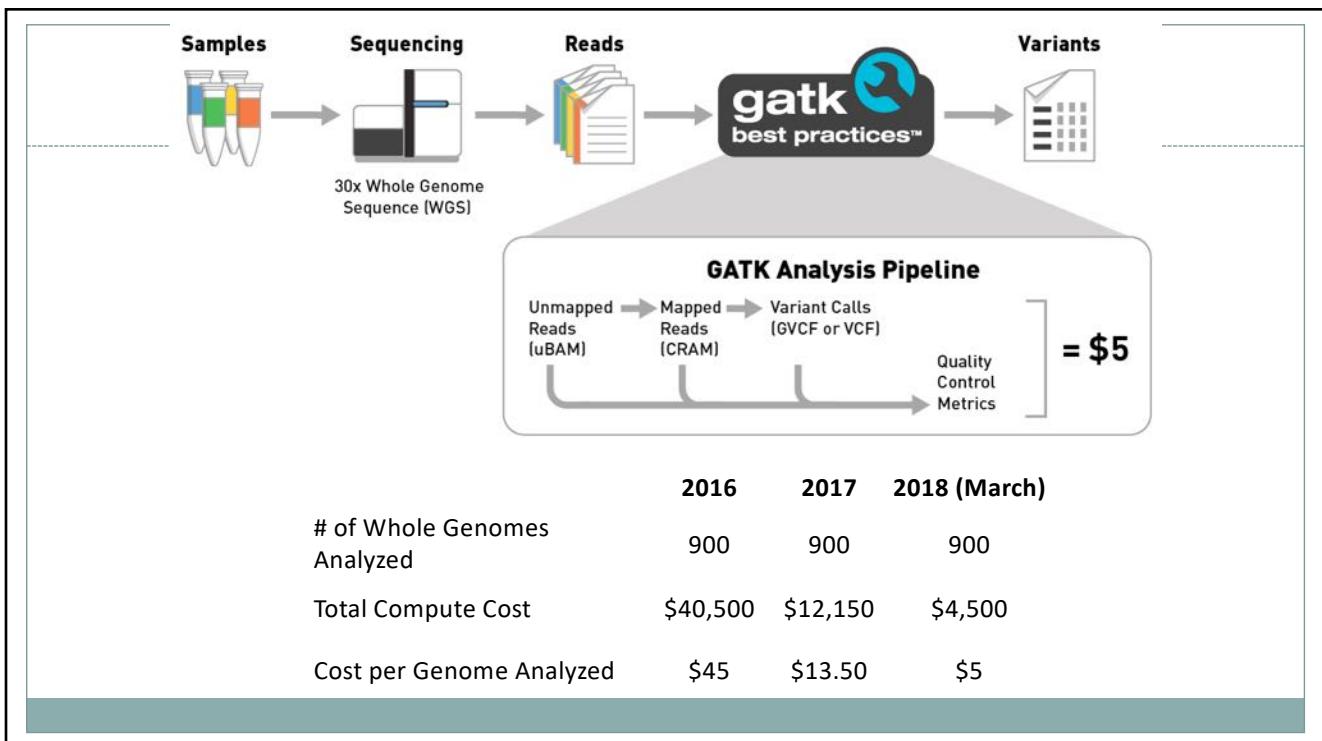


BROAD INSTITUTE FireCloud
 An open platform for secure and scalable analysis on the cloud



Azure Databricks

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